

# **Games101**

2023-02-28 11:45

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## Lecture1: Overview

- 如何判断好: 画面亮就是好(全局光照)
- 内容: 光栅化 / 几何 / 光线追踪 / 动画模拟

光栅化:

- 将几何投影, 实时(30fps)

几何:

- 如何表示物体, 如何变化

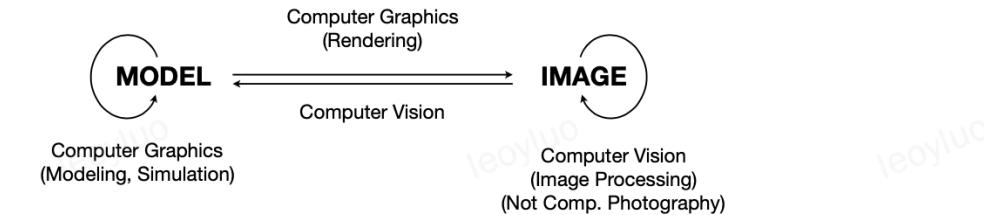
光线追踪:

- 慢, 但是真实, 需要离线
- 实时光线追踪

动画模拟:

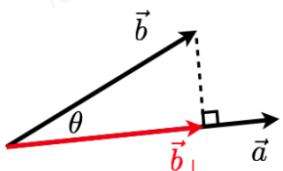
OpenGL/DirectX/Vulkan: 只是图形学api, 在此不提

计算机视觉 vs 图形学: 界限越来越模糊, 一个是推理理解猜测, 另一个真实建模



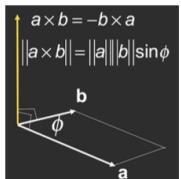
## Lecture2: linear algebra

向量点乘



- dot product:  $a \cdot b = \|a\| \|b\| \cos(\theta)$
- in Cartesian Coord:  $a \cdot b = (x_a y_a) (x_b y_b) = x_a x_b + y_a y_b$
- 用于找向量夹角, 向量投影

向量叉乘



- cross product:  $a \times b = \|a\| \|b\| \sin(\theta)$
- 右手法则: 从 $a$ 到 $b$ 大拇指方向. (opengl等api可能用左手坐标系)
- $a \times b = -b \times a; a \times a = 0$

## Cross Product: Cartesian Formula?

$$\vec{a} \times \vec{b} = \begin{pmatrix} y_a z_b - y_b z_a \\ z_a x_b - x_a z_b \\ x_a y_b - y_a x_b \end{pmatrix}$$

- Later in this lecture

$$\vec{a} \times \vec{b} = A^* b = \begin{pmatrix} 0 & -z_a & y_a \\ z_a & 0 & -x_a \\ -y_a & x_a & 0 \end{pmatrix} \begin{pmatrix} x_b \\ y_b \\ z_b \end{pmatrix}$$

dual matrix of vector a

- 用于求解两个向量的顺序 / 求解内外(点是否在多边形内部)

构建坐标系

## Orthonormal Coordinate Frames

- Any set of 3 vectors (in 3D) that

$$\|\vec{u}\| = \|\vec{v}\| = \|\vec{w}\| = 1$$

$$\vec{u} \cdot \vec{v} = \vec{v} \cdot \vec{w} = \vec{u} \cdot \vec{w} = 0$$

$$\vec{w} = \vec{u} \times \vec{v} \quad (\text{right-handed})$$

$$\vec{p} = (\vec{p} \cdot \vec{u})\vec{u} + (\vec{p} \cdot \vec{v})\vec{v} + (\vec{p} \cdot \vec{w})\vec{w}$$

(projection)

- 单位向量互相垂直, 分解p向量

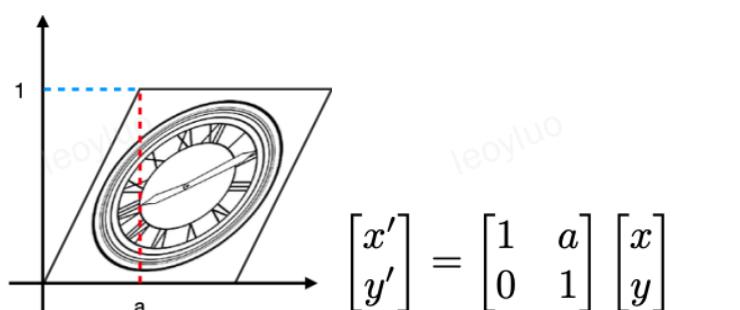
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## Lecture3: Transformation

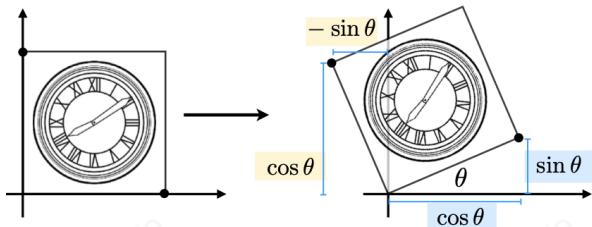
Scale

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} s_x & 0 \\ 0 & s_y \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

Shear Matrix



Rotate



$$R_\theta = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

- 线性变换:  $x' = Mx$

Homogeneous Coord:

- Why needs it?

Translation cannot be represented in matrix form

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} t_x \\ t_y \end{bmatrix}$$

- > (So, translation is NOT linear transform!)

- How to represent?

Add a third coordinate (**w-coordinate**)

- 2D point  $= (x, y, 1)^T$
- 2D vector  $= (x, y, 0)^T$

In homogeneous coordinates,

$$\begin{pmatrix} x \\ y \\ w \end{pmatrix} \text{ is the 2D point } \begin{pmatrix} x/w \\ y/w \\ 1 \end{pmatrix}, w \neq 0$$

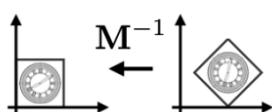
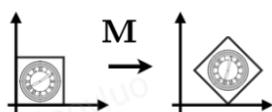
- point + point = mid\_point in Homo Coord

Affine Transformation

$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} a & b & t_x \\ c & d & t_y \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

. = linear + translation

Inverse



$$R_{-\theta} = R_\theta^{-1} \quad (\text{by definition})$$

正交矩阵

Compose

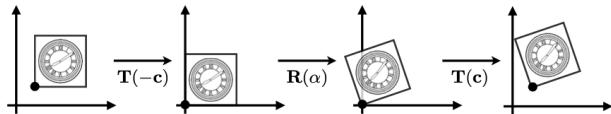
$$A_n(\dots A_2(A_1(\mathbf{x}))) = \mathbf{A}_n \cdots \mathbf{A}_2 \cdot \mathbf{A}_1 \cdot \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

Pre-multiply  $n$  matrices to obtain a single matrix representing combined transform . Order Matters !

Rotate on given point

**How to rotate around a given point  $\mathbf{c}$ ?**

- 1. Translate center to origin
- 2. Rotate
- 3. Translate back



**Matrix representation?**

$$\mathbf{T}(\mathbf{c}) \cdot \mathbf{R}(\alpha) \cdot \mathbf{T}(-\mathbf{c})$$

3D transformation

$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} a & b & c & t_x \\ d & e & f & t_y \\ g & h & i & t_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$


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## Lecture4: Transformation (cont)

Rotation around axis

$$\mathbf{R}_x(\alpha) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \alpha & -\sin \alpha & 0 \\ 0 & \sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\mathbf{R}_y(\alpha) = \begin{pmatrix} \cos \alpha & 0 & \sin \alpha & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \alpha & 0 & \cos \alpha & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\mathbf{R}_z(\alpha) = \begin{pmatrix} \cos \alpha & -\sin \alpha & 0 & 0 \\ \sin \alpha & \cos \alpha & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Anything strange about  $\mathbf{R}_y$

$$\mathbf{y} \times \mathbf{z} = \mathbf{x}$$

$$\mathbf{x} \times \mathbf{y} = \mathbf{z}$$

$$\mathbf{z} \times \mathbf{x} = \mathbf{y}, \text{ so } \mathbf{y}'s \text{ order is different } (-\alpha)$$

Euler Angles

**Compose any 3D rotation from  $\mathbf{R}_x, \mathbf{R}_y, \mathbf{R}_z$ ?**

$$\mathbf{R}_{xyz}(\alpha, \beta, \gamma) = \mathbf{R}_x(\alpha) \mathbf{R}_y(\beta) \mathbf{R}_z(\gamma)$$

- So-called **Euler angles**

Rodrigues' Rotation Formula

### Rotation by angle $\alpha$ around axis $n$

$$R(n, \alpha) = \cos(\alpha) I + (1 - \cos(\alpha)) nn^T + \sin(\alpha) \underbrace{\begin{pmatrix} 0 & -n_z & n_y \\ n_z & 0 & -n_y \\ -n_y & n_x & 0 \end{pmatrix}}_N$$

N是叉乘矩阵

推导可见 [https://sites.cs.ucsb.edu/~lingqi/teaching/resources/GAMES101\\_Lecture\\_04\\_supp.pdf](https://sites.cs.ucsb.edu/~lingqi/teaching/resources/GAMES101_Lecture_04_supp.pdf) <https://zhuanlan.zhihu.com/p/79061355>

### View/Camera Transformation

- $M_{view}$  in math?

- Let's write  $M_{view} = R_{view} T_{view}$

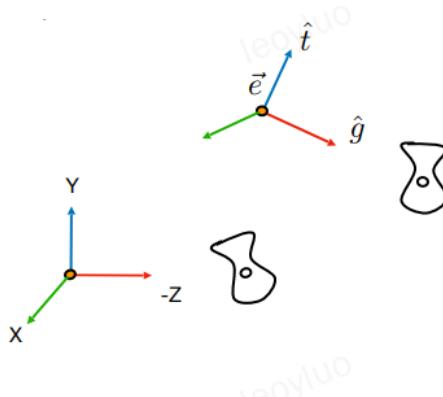
- Translate e to origin

$$T_{view} = \begin{bmatrix} 1 & 0 & 0 & -x_e \\ 0 & 1 & 0 & -y_e \\ 0 & 0 & 1 & -z_e \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- Rotate g to -Z, t to Y, (g x t) To X

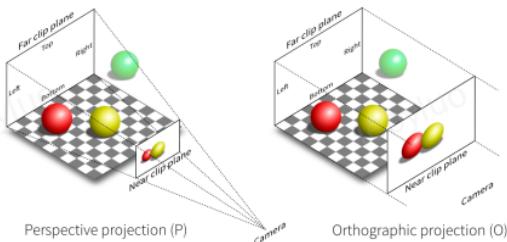
- Consider its inverse rotation: X to (g x t), Y to t, Z to -g

$$R_{view}^{-1} = \begin{bmatrix} x_{\hat{g} \times \hat{t}} & x_t & x_{-g} & 0 \\ y_{\hat{g} \times \hat{t}} & y_t & y_{-g} & 0 \\ z_{\hat{g} \times \hat{t}} & z_t & z_{-g} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad \text{WHY?} \quad R_{view} = \begin{bmatrix} x_{\hat{g} \times \hat{t}} & y_{\hat{g} \times \hat{t}} & z_{\hat{g} \times \hat{t}} & 0 \\ x_t & y_t & z_t & 0 \\ x_{-g} & y_{-g} & z_{-g} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



### Projection

- Perspective projection vs. orthographic projection

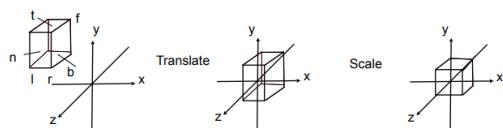


## Orthographic Projection

- Transformation matrix?

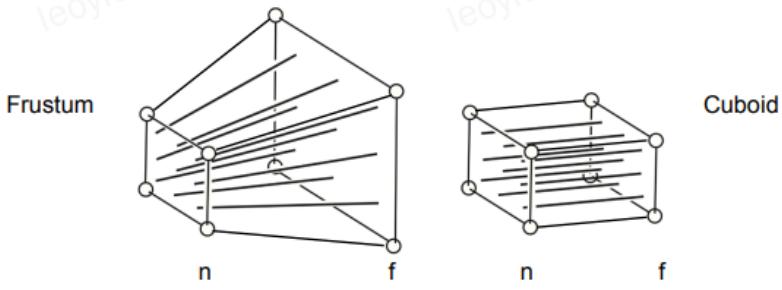
- Translate (center) to origin first, then scale (length/width/height to 2)

$$M_{ortho} = \begin{bmatrix} \frac{2}{r-l} & 0 & 0 & 0 \\ 0 & \frac{2}{t-b} & 0 & 0 \\ 0 & 0 & \frac{2}{n-f} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & -\frac{r+l}{2} \\ 0 & 1 & 0 & -\frac{t+b}{2} \\ 0 & 0 & 1 & -\frac{n+f}{2} \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



目的是为了将3d点转换到一个(-1, 1)三次方的cube当中

### Perspective Projection



在这里插入图片描述

constrain: 对于在近平面和远平面的点,  $z$ 不会变化。近平面上的点xyz都不变

对于点 $(x, y, z, 1)$ , 变换之后得到 $(nx/z, ny/z, n+f - nf/z, 1)$ , 有几个收获:

- 当 $z=n$ 时, 新的点仍为 $(x, y, z, 1)$ , 近的点没有变化
- 当 $z=f$ 时, 新的点为 $(nx/f, ny/f, f, 1)$ , 深度 $z$ 不变,  $xy$ 向内收,  $(0,0,f,1)$ 仍在原处
- 设 $t = z' - z = n+f - nf/z - z = (z-n)(f-z)/z$ 
  - 当 $z=n$ 或 $f$ 时,  $t$ 不变,  $z'=z$ 深度不变
  - 当 $z>f$ 或 $z<n$ 时,  $t<0$ ,  $z'<z$ . 当点在frustum外面时, 变化使得 $z$ 缩小
  - 当 $n<z<f$ 时,  $t>0$ ,  $z'>z$ , 当点在内部时, 变化使得 $z$ 变大

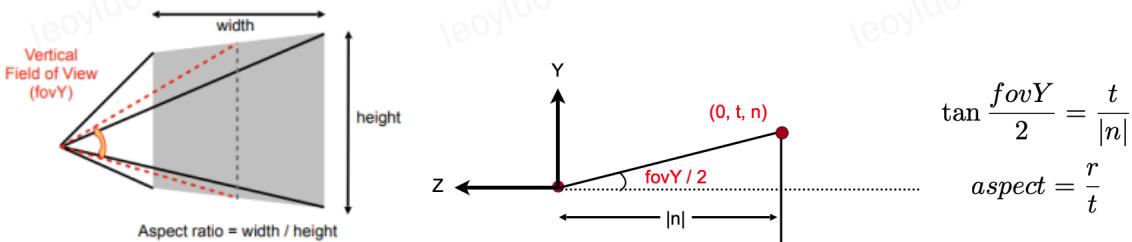
## 投影总结

所以, 总的来说, 从世界坐标转换到屏幕坐标, 其变换过程为:

1. MVP变换求投影空间坐标:  $ProjPoint = WorldPoint * Model * View * Project$
2. 由投影空间坐标求NDC坐标:  $NDCPoint(x, y, z, w) = ProjPoint(\frac{x}{w}, \frac{y}{w}, \frac{z}{w}, 1)$
3. 由NDC坐标转空间坐标:  

$$ScreenPoint(x, y, z) = NDCPpoint(\frac{width}{2} * x + \frac{width}{2} + left, \frac{height}{2} * y + \frac{height}{2} + top, z)$$

## Lecture5: Rasterization 1 (Triangles)

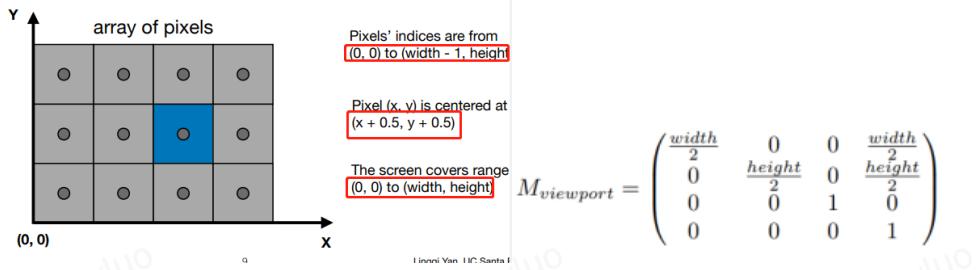


Rasterize: color on screen

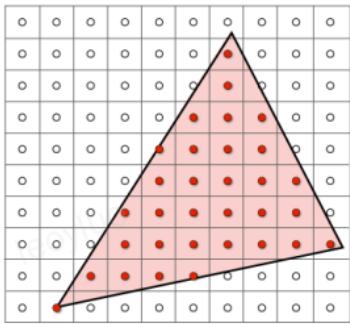
屏幕空间

## Defining the screen space

- Slightly different from the "tiger book"

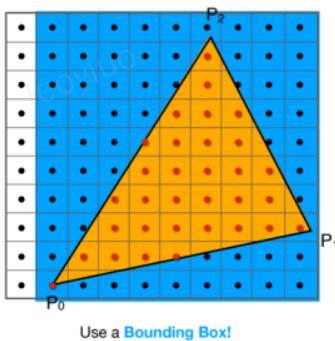


## 三角形的光栅化



判断每个像素中心是不是在三角形内： 使用叉积，判断  $p_i \rightarrow o$  和  $p_i \rightarrow p_{i+1}$  的叉积符号。全正或者全负才是在里面的

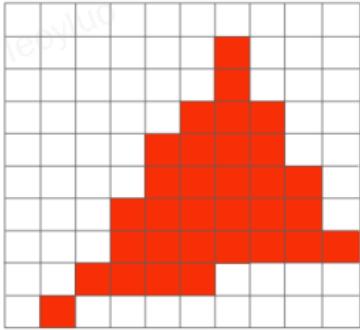
优化：只需要在bbox内的才需要被计算



问题：锯齿、走样！因为像素的有一定的大小导致

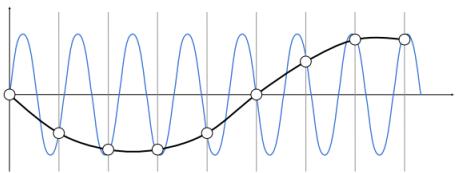
## Lecture6: Rasterization 2 (Antialiasing and Z-Buffering)

### 锯齿问题



## 走样-定义

### Undersampling Creates Frequency Aliases



High-frequency signal is insufficiently sampled: samples erroneously appear to be from a low-frequency signal

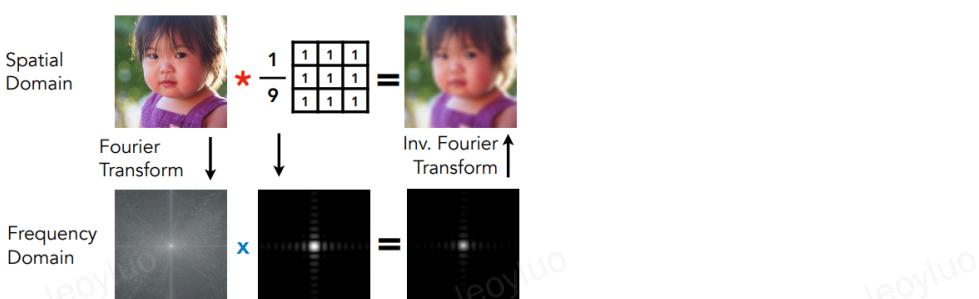
Two frequencies that are indistinguishable at a given sampling rate are called "aliases"

滤波:

- 高通滤波 (high pass) : 只留下高频的信息, 多是edge
- 低通滤波 (low pass) : 只留下低频的信息, 丢失细节, 只剩下模糊色块

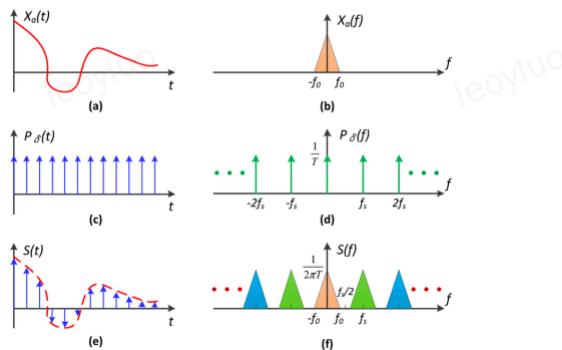
## 卷积与傅里叶变换

### Convolution Theorem



- 在图像上滤波 (时域) = 频域  $\times$  卷积核的频域
- 越大 (小) 的卷积核 = 越低频 (高) 的信息

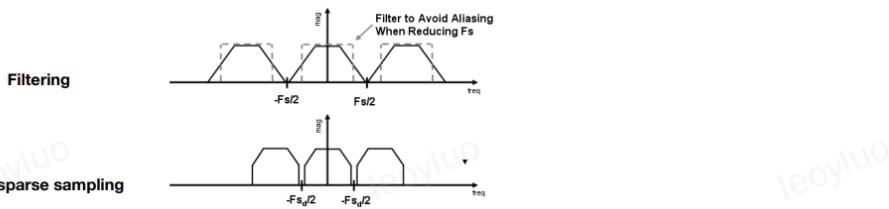
## 采样



- 采样就是重复频域图
- 当频谱的间隔太小时，到时重叠，带来走样

### Anti-aliasing

- 高频显示器采样（开销高）

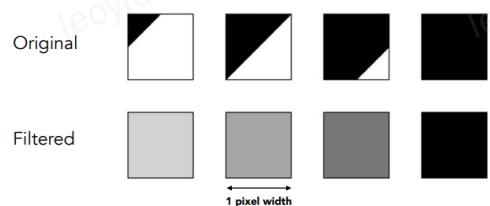


- 先模糊（去除高频），再采样避免重叠。对于此处图形学而言，就是边界色彩需要模糊加权

方法：用三角形覆盖像素面积作为filter结果

### Antialiasing by Computing Average Pixel Value

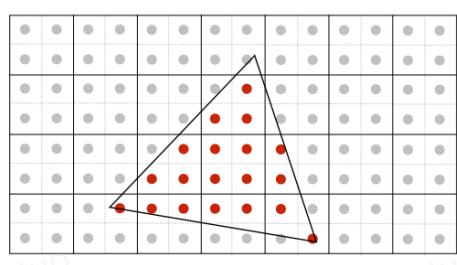
In rasterizing one triangle, the average value inside a pixel area of  $f(x,y) = \text{inside}(\text{triangle},x,y)$  is equal to the area of the pixel covered by the triangle.



### Antialiasing By Supersampling (MSAA)

- 一种采样方法，近似求出average area

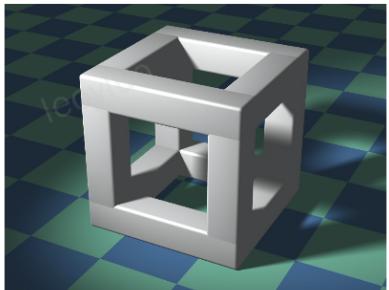
Take  $N \times N$  samples in each pixel.



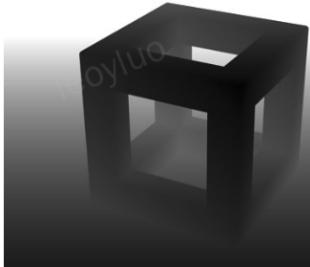
- cons: 增大了计算量

### Z-Buffer

解决遮挡关系带来的影响



Rendering



Depth / Z buffer

Image source: Dominic Alves, flickr.

## Z-Buffer Algorithm

Initialize depth buffer to  $\infty$

During rasterization:

```
for (each triangle T)
    for (each sample (x,y,z) in T)
        if (z < zbuffer[x,y])           // closest sample so far
            framebuffer[x,y] = rgb;     // update color
            zbuffer[x,y] = z;           // update depth
        else
            ;                         // do nothing, this sample is occluded
```

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## Lecture 7: Shading 1 (Illumination, Shading and Graphics Pipeline)

### ▪ 定义

## Shading: Definition

\* In Merriam-Webster Dictionary

**shad·ing**, [ʃeɪdɪŋ], noun

The darkening or coloring of an illustration or diagram with parallel lines or a block of color.

\* In this course

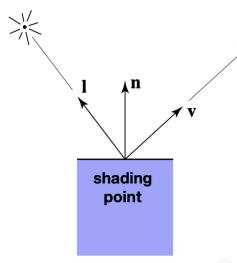
The process of **applying a material** to an object.

### ▪ 输入 (what to RGB)

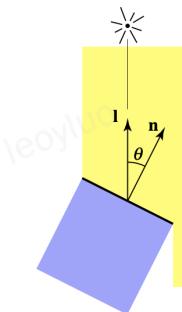
Compute light reflected toward camera at a specific **shading point**

Inputs:

- Viewer direction,  $v$
- Surface normal,  $n$
- Light direction,  $l$  (for each of many lights)
- Surface parameters (color, shininess, ...)



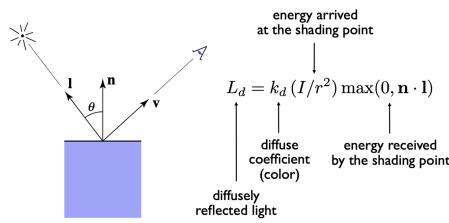
### ▪ 能量接收



### Lambertian (Diffuse) Shading

Shading **independent** of view direction

In general, light per unit area is proportional to  $\cos \theta = \mathbf{l} \cdot \mathbf{n}$



漫反射颜色建模

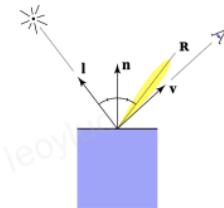
### ■ 高光

- 高光方向, R为镜面反射方向. 当v R接近的时候, 可以观测到高光

### Specular Term (Blinn-Phong)

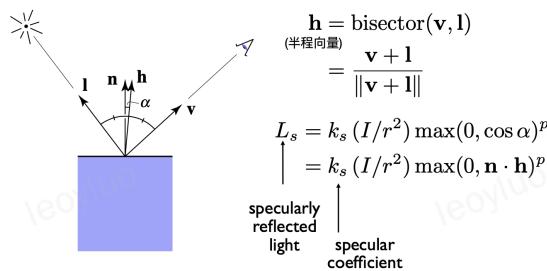
Intensity **depends** on view direction

- Bright near mirror reflection direction



$\mathbf{v}$  close to mirror direction  $\Leftrightarrow$  **half vector** near normal

- Measure "near" by dot product of unit vectors

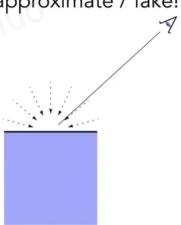


等价于法向量和h是否接近, p控制高光大小

### ■ 环境光

Shading that **does not** depend on anything

- Add constant color to account for disregarded illumination and fill in black shadows
- This is approximate / fake!



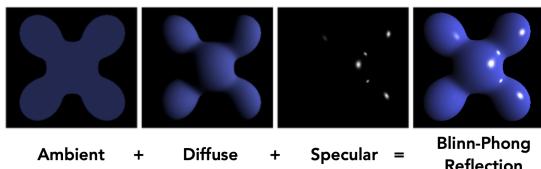
$$L_a = k_a I_a$$

↑  
ambient coefficient  
reflected ambient light

与观测位置无关, 常数颜色(大胆的假设)

### ■ blinn-phong着色模型

#### Blinn-Phong Reflection Model



$$\begin{aligned} L &= L_a + L_d + L_s \\ &= k_a I_a + k_d (I/r^2) \max(0, \mathbf{n} \cdot \mathbf{l}) + k_s (I/r^2) \max(0, \mathbf{n} \cdot \mathbf{h})^p \end{aligned}$$

主要就是跟光照系数, 以及光照/观测方向相关

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## Lecture8: Shading 2 (Illumination, Shading and Graphics Pipeline)

### ■ Shading 频率

What caused the shading difference?



■ (1) 每个三角形算一次normal

Flat shading

(2) 每个三角形算3次

Gouraud shading

(3) 每个三角形, 插值中间的normal, 每个像素都算一次

Phong shading

当面的数量足够复杂, 三种方式达到统一

### ■ 法线计算

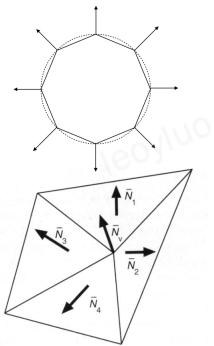
Best to get vertex normals from the underlying geometry

- e.g. consider a sphere

Otherwise have to infer vertex normals from triangle faces

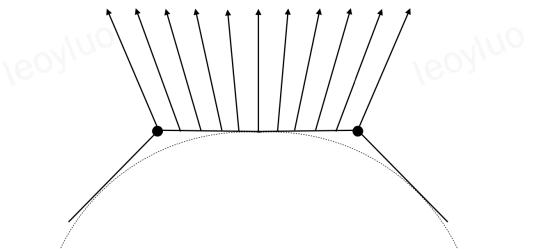
- Simple scheme: **average surrounding face normals**

$$N_v = \frac{\sum_i N_i}{\|\sum_i N_i\|}$$



逐顶点发现就是附近三角形中心发现的平均(简单平均, 加权平均等等)

**Barycentric interpolation** (introducing soon)  
of vertex normals

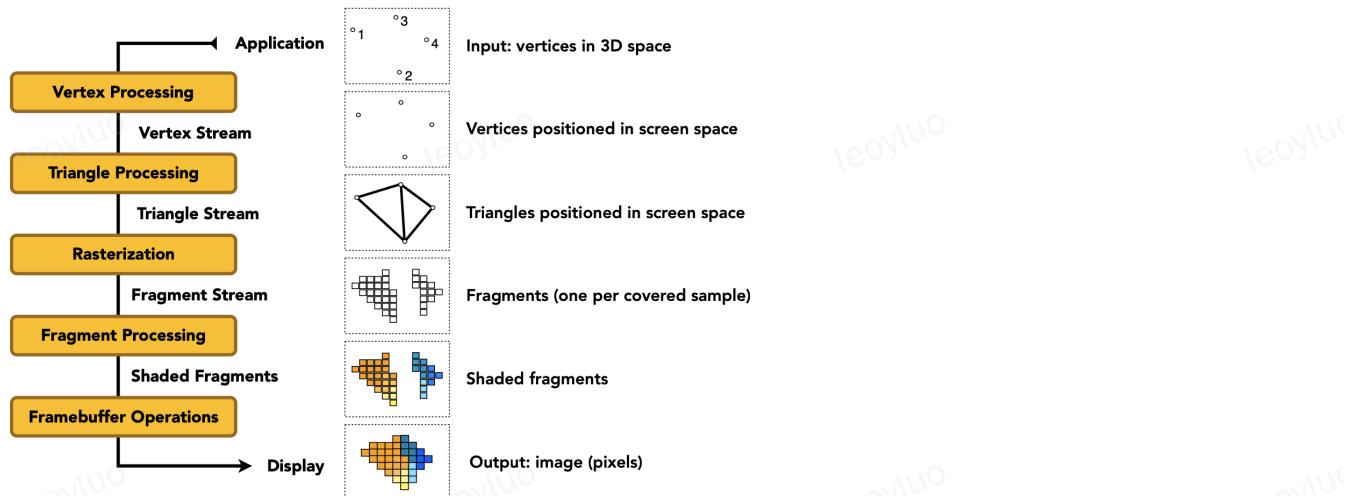


Don't forget to **normalize** the interpolated directions

逐像素计算, 要重心坐标

### ■ 图形管线

# Graphics Pipeline



Example GLSL fragment shader program

```
uniform sampler2D myTexture;
uniform vec3 lightDir;
varying vec2 uv;
varying vec3 norm;

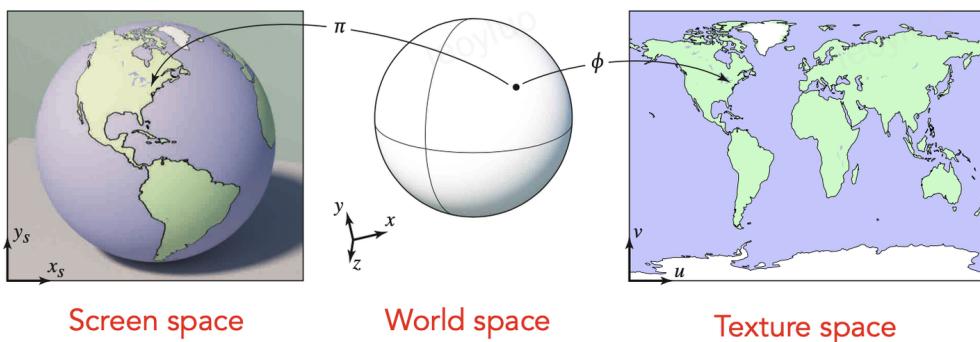
void diffuseShader()
{
    vec3 kd;
    kd = texture2d(myTexture, uv);
    kd *= clamp(dot(-lightDir, norm), 0.0, 1.0);
    gl_FragColor = vec4(kd, 1.0);
}
```

- Shader function executes once per fragment.
- Outputs color of surface at the current fragment's screen sample position.
- This shader performs a texture lookup to obtain the surface's material color at this point, then performs a diffuse lighting calculation.

简单的shader函数，处理每个像素的phong漫反射着色器

## Lecture9:Shading 3 (Illumination, Shading and Graphics Pipeline)

- texture 纹理映射



三维坐标的uv映射

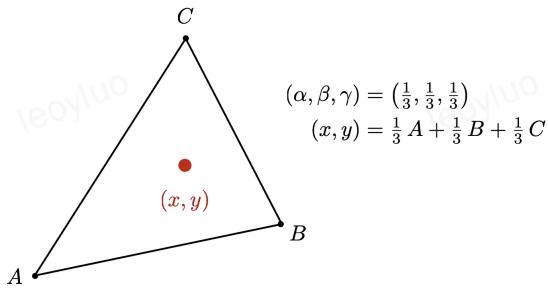
纹理可以被映射多次(需要纹理设计的好, 否则有间隙) tiled

- 重心坐标

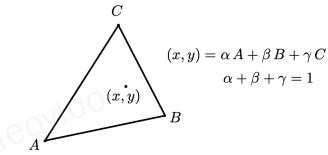
- 三角形内任意属性都可以插值(位置, 深度, 颜色, 法向量, 材质)
- 投影后会变, 需要在三维间xyz插值

## Barycentric Coordinates

What's the barycentric coordinate of the centroid?



## Barycentric Coordinates: Formulas



$$\alpha = \frac{-(x - x_B)(y_C - y_B) + (y - y_B)(x_C - x_B)}{-(x_A - x_B)(y_C - y_B) + (y_A - y_B)(x_C - x_B)}$$

$$\beta = \frac{-(x - x_C)(y_A - y_C) + (y - y_C)(x_A - x_C)}{-(x_B - x_C)(y_A - y_C) + (y_B - y_C)(x_A - x_C)}$$

$$\gamma = 1 - \alpha - \beta$$

任意坐标插值

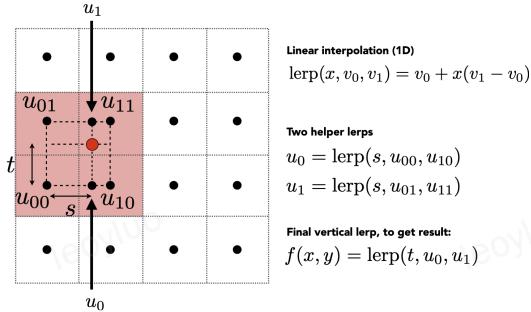
等面积的三角形。

### ■ 纹理应用

#### (1) 纹理扩增

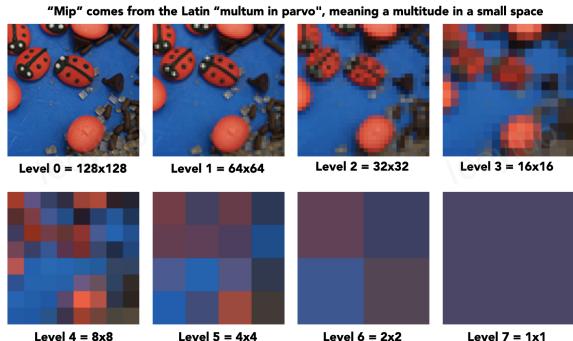
- 从低分辨率到高分辨率的变化 – 双线性插值

### Bilinear Interpolation



#### (2) 不同位置的像素对应同样的纹理，覆盖范围不一样

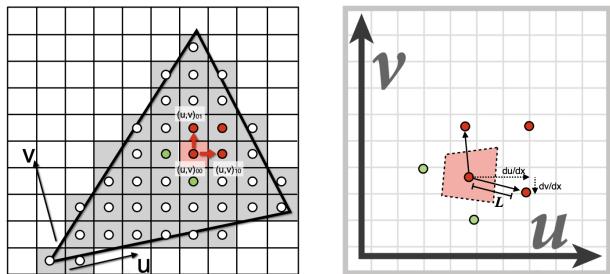
- mipmap (范围查询) – fast/approx/square



$\log(n)$ 层, 从最大重新生成, 多了1/3的存储空间

mipmap level计算

### Computing Mipmap Level D

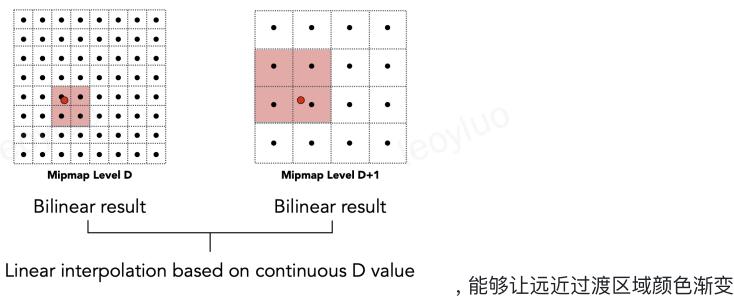


$$D = \log_2 L \quad L = \max \left( \sqrt{\left( \frac{du}{dx} \right)^2 + \left( \frac{dv}{dx} \right)^2}, \sqrt{\left( \frac{du}{dy} \right)^2 + \left( \frac{dv}{dy} \right)^2} \right)$$

对应纹理空间上的近似求解, 在 $\log_2(L)$ 上查询mipmap

mipmap两层之间插值

### Trilinear Interpolation



, 能够让远近过渡区域颜色渐变

### 缺陷

- mipmap都是正方形区域, 不能解决长方形区域的变化
- 各向异性过滤

### Anisotropic Filtering

Ripmaps and summed area tables

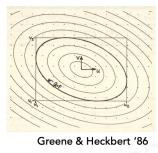
- Can look up axis-aligned rectangular zones
- Diagonal footprints still a problem



Wikipedia

EWA filtering

- Use multiple lookups
- Weighted average
- Mipmap hierarchy still helps
- Can handle irregular footprints



Greene & Heckbert '86

### 纹理究竟是什么

### Many, Many Uses for Texturing

In modern GPUs, texture = memory + range query (filtering)

- General method to bring data to fragment calculations

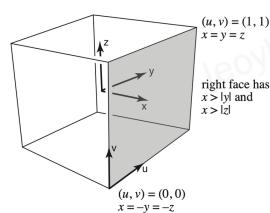
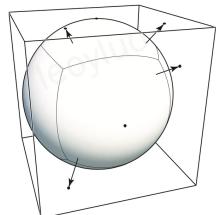
Many applications

- Environment lighting
- Store microgeometry
- Procedural textures
- Solid modeling
- Volume rendering
- ...

一块内存, 方便快速查询, 可以表示很多东西

### 应用

### Cube Map



A vector maps to cube point along that direction.  
The cube is textured with 6 square texture maps.

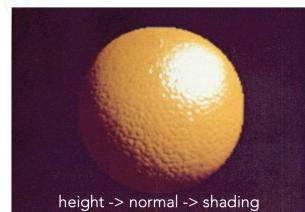
环境贴图

- Textures doesn't have to only represent colors

- What if it stores the height / normal?
- Bump / normal mapping
- **Fake** the detailed geometry



Relative height to the  
underlying surface



height -> normal -> shading

凹凸贴图(切线扰动获取)

新法线

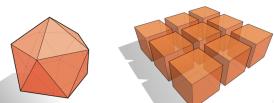
- 各种类型: 曲面, 布料, 水滴, 多层次场景, 毛发, 原子

## 表示方式

### Many Ways to Represent Geometry

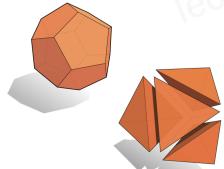
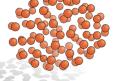
#### Implicit

- algebraic surface
- level sets
- distance functions
- ...



#### Explicit

- point cloud
- polygon mesh
- subdivision, NURBS
- ...



Each choice best suited to a different task/type of geometry

#### ■ 显式

- 点云, mesh
- parametric mapping

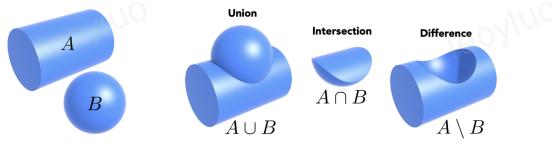
#### ■ 隐式

- $f(x, y, z) = 0$  满足表达式, 表示平面 – 很多时候比较难知道几何形状是什么样的
- 容易判断某个点在内还是在外

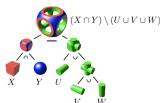
## CSG – 基本几何的组合

### Constructive Solid Geometry (Implicit)

Combine implicit geometry via Boolean operations



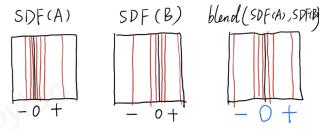
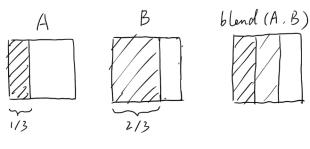
Boolean expressions:



## sdf – 距离函数

### Distance Functions (Implicit)

An Example: Blending (linear interp.) a moving boundary



边界融合

#### ■ mesh文件格式

## The Wavefront Object File (.obj) Format

Commonly used in Graphics research

Just a text file that specifies vertices, normals, texture coordinates and their connectivities

```

1: # This is a comment
3 v 1.000000 -1.000000 -1.000000
4 v 1.000000 -1.000000 1.000000
5 v -1.000000 -1.000000 1.000000
6 v -1.000000 -1.000000 -1.000000
7 v 0.999999 1.000000 1.000001
8 v -0.999999 1.000000 1.000001
9 v -1.000000 1.000000 1.000000
10 v 1.000000 1.000000 1.000000
11 vt 0.748973 0.759441
12 vt 0.492779 0.562284
13 vt 0.249435 0.736399
14 vt 0.584711 0.587672
15 vt 0.601865 0.753398
16 vt 0.601865 0.753398
17 vt 0.601865 0.753398
18 vt 0.601865 0.753398
19 vt 0.601865 0.753398
20 vt 0.601865 0.753398
21 vt 0.601865 0.753398
22 vt 0.601865 0.753398
23 vt 0.601865 0.753398
24 vt 0.601865 0.753398
25 vt 0.601865 0.753398
26 vt 0.601865 0.753398
27 vt 0.601865 0.753398
28 vt 0.601865 0.753398
29 vt 0.601865 0.753398
30 vt 0.601865 0.753398
31 vt 0.601865 0.753398
32 vt 0.601865 0.753398
33 vt 0.601865 0.753398
34 vt 0.601865 0.753398
35 vt 0.601865 0.753398
36 f 5/1/1 1/2/1 4/3/1
37 f 5/1/1 1/2/2 4/3/2
38 f 3/5/2 7/6/2 8/7/2
39 f 3/5/2 8/7/2 4/8/2
40 f 1/2/5 5/1/5 2/9/5
41 f 6/18/4 7/6/4 3/5/4
42 f 1/2/5 5/1/5 2/9/5
43 f 2/1/7 6/19/6 6/9/6
44 f 2/1/7 6/19/6 6/18/7
45 f 8/1/7 7/12/7 6/18/7
46 f 8/1/7 7/2/8 6/13/8
47 f 1/2/8 3/13/8 4/14/8

```

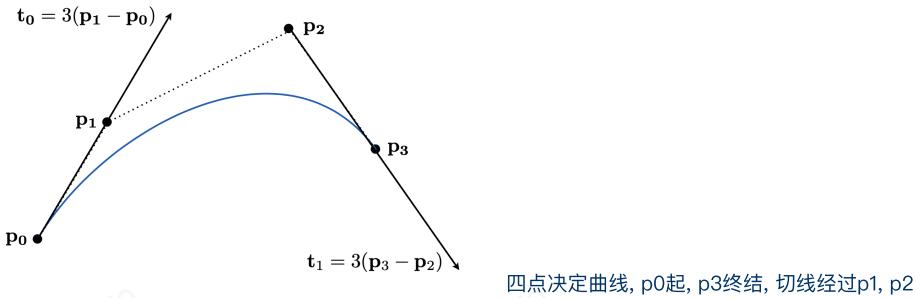
v: 顶点, vn: 顶点法线, vt: 每个面的纹理坐标, f: 哪些顶点组成面片, v/vt/vn

=====

## Lecture11: Geometry II (Curve and Surface)

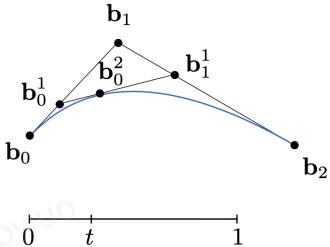
### ■ Bezier Curves

Defining Cubic Bézier Curve With Tangents



### ■ de Casteljau 算法

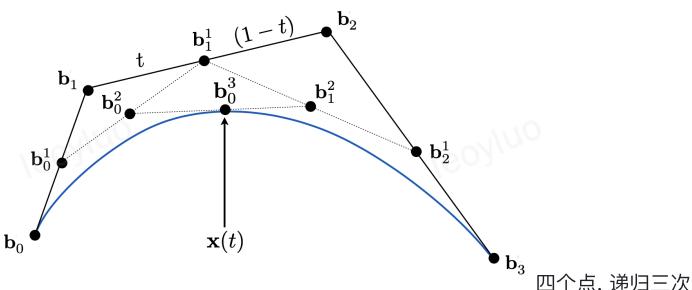
Run the same algorithm for every  $t$  in  $[0, 1]$



三角形为例, 两条边的t切割, 递归继续lerp

### Four input points in total

Same recursive linear interpolations



n个点的代数形式

## Bézier Curve – General Algebraic Formula

Bernstein form of a Bézier curve of order n:

$$\mathbf{b}^n(t) = \mathbf{b}_0^n(t) = \sum_{j=0}^n \mathbf{b}_j B_j^n(t)$$

↑  
Bézier curve order n  
(vector polynomial of degree n)  
↑  
Bernstein polynomial  
(scalar polynomial of degree n)  
↑  
Bézier control points  
(vector in  $\mathbb{R}^N$ )

Bernstein polynomials:

$$B_i^n(t) = \binom{n}{i} t^i (1-t)^{n-i}$$

性质

### Properties of Bézier Curves

Interpolates endpoints

- For cubic Bézier:  $\mathbf{b}(0) = \mathbf{b}_0$ ;  $\mathbf{b}(1) = \mathbf{b}_3$

Tangent to end segments

- Cubic case:  $\mathbf{b}'(0) = 3(\mathbf{b}_1 - \mathbf{b}_0)$ ;  $\mathbf{b}'(1) = 3(\mathbf{b}_3 - \mathbf{b}_2)$

Affine transformation property

- Transform curve by transforming control points

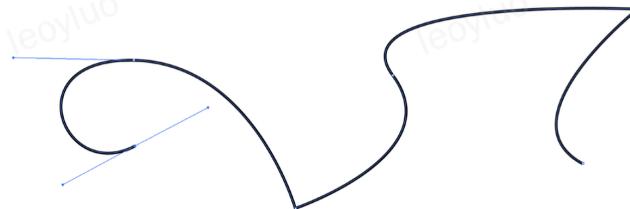
Convex hull property

- Curve is within convex hull of control points

过起始点 / 切线过p1, p2 / 几何变换只要变换顶点就可以 / 凸包性质

piecewise bezier 曲线

### Piecewise cubic Bézier the most common technique



Widely used (fonts, paths, Illustrator, Keynote, ...)

避免太高阶的计算, 每次只用四个点进行曲线计算, 并联合曲线(所以中间有急剧变化点)

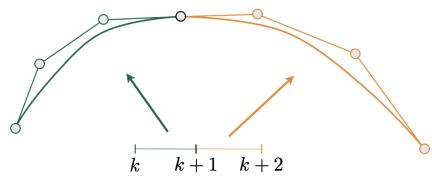
### Piecewise Bézier Curve – Continuity

Two Bézier curves

$$\mathbf{a} : [k, k+1] \rightarrow \mathbb{R}^N$$

$$\mathbf{b} : [k+1, k+2] \rightarrow \mathbb{R}^N$$

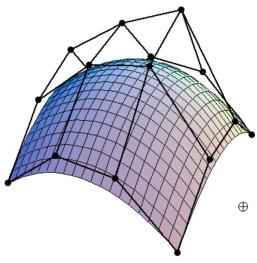
Assuming integer partitions here,  
can generalize



连续性: c0连续(交界点一致即可) / c1连续(左右切线连续且一样大)

贝塞尔曲面

## Bicubic Bézier Surface Patch



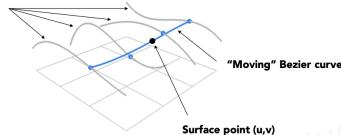
Bezier surface and  $4 \times 4$  array of control points

## Method: Separable 1D de Casteljau Algorithm

Goal: Evaluate surface position corresponding to  $(u,v)$

$(u,v)$ -separable application of de Casteljau algorithm

- Use de Casteljau to evaluate point  $u$  on each of the 4 Bezier curves in  $u$ . This gives 4 control points for the “moving” Bezier curve
- Use 1D de Casteljau to evaluate point  $v$  on the “moving” curve



每4个一列的点构建曲线，再插值获取曲面

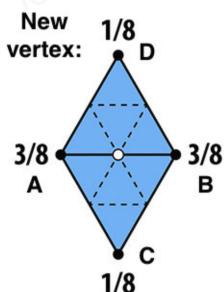
- 可以通过  $u, v$  获取面上任意一个xyz点

## Lecture12: Geometry III (Mesh Processing)

### Loop subdivision

- 只能用于三角形面

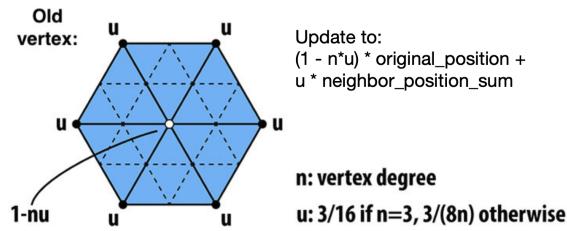
For new vertices:



Update to:  
 $3/8 * (A + B) + 1/8 * (C + D)$

### Loop Subdivision — Update

For old vertices (e.g. degree 6 vertices here):



n: vertex degree  
 $u: 3/16$  if  $n=3$ ,  $3/(8n)$  otherwise

老顶点和新的顶点都要进行调

整

### Catmull–Clark Subdivision

- 引入奇异点，消除非四边形面。之后的细分再也没有新的奇异点

#### FYI: Catmull-Clark Vertex Update Rules (Quad Mesh)

$$\text{Face point } f = \frac{v_1 + v_2 + v_3 + v_4}{4}$$

$$\text{Edge point } e = \frac{v_1 + v_2 + f_1 + f_2}{4}$$

#### Loop with Sharp Creases



$$\text{Vertex point } v = \frac{f_1 + f_2 + f_3 + f_4 + 2(m_1 + m_2 + m_3 + m_4) + 4I}{16}$$

$m$  mid-point of edge  
 $p$  old “vertex point”

#### Catmull-Clark with Sharp Creases



Figure from: Hakenberg et al. Volume Enclosed by Subdivision Surfaces with Sharp Creases

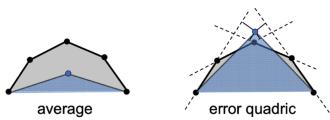
细分都是平滑化

### Mesh简化

## Quadric Error Metrics

(二次误差度量)

- How much geometric error is introduced by simplification?
- Not a good idea to perform local averaging of vertices
- Quadric error: new vertex should minimize its **sum of square distance** (L2 distance) to **previously related triangle planes!**



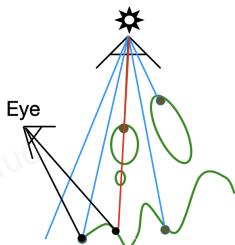
[http://graphics.stanford.edu/courses/cs668-10-fall/LectureSlides/08\\_Simplification.pdf](http://graphics.stanford.edu/courses/cs668-10-fall/LectureSlides/08_Simplification.pdf)

通过最小堆逐渐优化 大的误差

## Shadow Mapping

### Pass 2B: Project to light

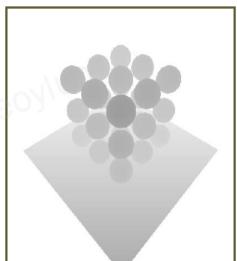
- Project visible points in eye view back to light source



阴影应该是不能同时被观测点和光源看到的区域

## Visualizing Shadow Mapping

- The depth buffer from the light's point-of-view



FYI: from the  
light's point-of-view  
again

光源到场景的深度图, 观测点深度对比判断阴影

## Lecture13: Ray Tracing

### 光线的假设

#### Light Rays

Three ideas about light rays

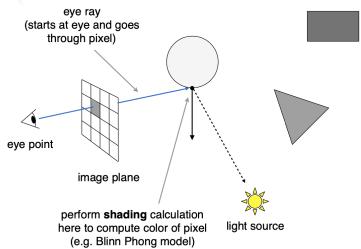
1. Light travels in **straight lines** (though this is wrong)
2. Light rays **do not "collide"** with each other if they cross (though this is still wrong)
3. Light rays travel from the **light sources to the eye** (but the physics is invariant under path reversal - reciprocity).

"And if you gaze long into an abyss, the abyss also gazes into you." — Friedrich Wilhelm Nietzsche (translated)

走直线, 不碰撞, 从光源到眼睛

## Ray Casting - Shading Pixels (Local Only)

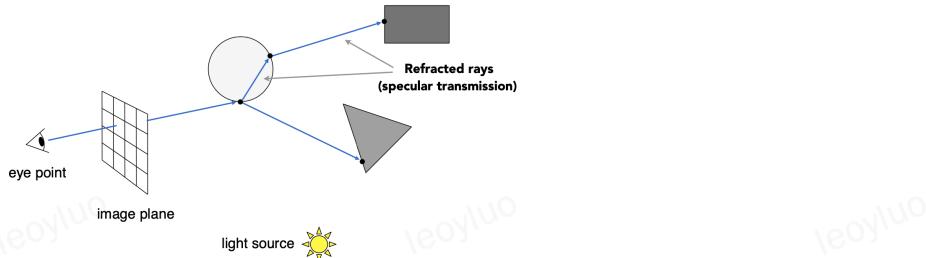
### Pinhole Camera Model



从眼睛发出光源, 第一个交点, 反射光与光源的判断

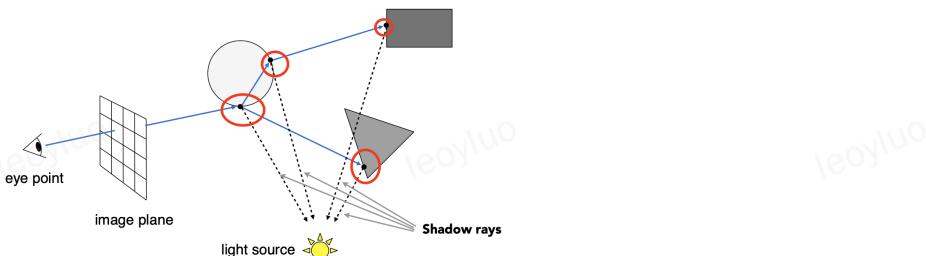
### 光的折射与反射

## Recursive Ray Tracing



### Whitted-Style Ray Tracing

## Recursive Ray Tracing



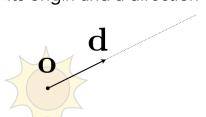
左右的碰撞点都对pixel的颜色有所贡献(每个点都是shading函数)

### Ray与几何的交点

## Ray Equation

Ray is defined by its origin and a direction vector

Example:



Ray equation:

$$\mathbf{r}(t) = \mathbf{o} + t\mathbf{d} \quad 0 \leq t < \infty$$

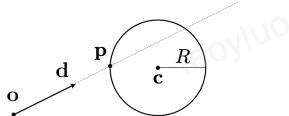
point along ray    "time"    origin (normalized) direction

ray的定义, 为射线

## Ray Intersection With Sphere

Solve for intersection:

$$(\mathbf{o} + t\mathbf{d} - \mathbf{c})^2 - R^2 = 0$$



$$at^2 + bt + c = 0, \text{ where}$$

$$a = \mathbf{d} \cdot \mathbf{d}$$

$$b = 2(\mathbf{o} - \mathbf{c}) \cdot \mathbf{d}$$

$$c = (\mathbf{o} - \mathbf{c}) \cdot (\mathbf{o} - \mathbf{c}) - R^2$$

$$t = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$



光线与球的交点

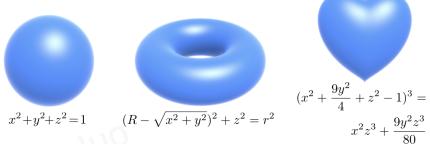
## Ray Intersection With Implicit Surface

$$\text{Ray: } \mathbf{r}(t) = \mathbf{o} + t\mathbf{d}, 0 \leq t < \infty$$

$$\text{General implicit surface: } \mathbf{p} : f(\mathbf{p}) = 0$$

$$\text{Substitute ray equation: } f(\mathbf{o} + t\mathbf{d}) = 0$$

Solve for **real, positive** roots



与任何隐式表面的求根 (数值计算)

## 光线与mesh求交

- 与每个三角形求交(数量级太大), 找到最近的t

### Ray Intersection With Plane

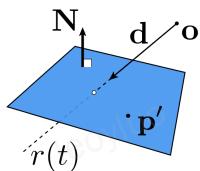
Ray equation:

$$\mathbf{r}(t) = \mathbf{o} + t\mathbf{d}, 0 \leq t < \infty$$

Plane equation:

$$\mathbf{p} : (\mathbf{p} - \mathbf{p}') \cdot \mathbf{N} = 0$$

Solve for intersection



Set  $\mathbf{p} = \mathbf{r}(t)$  and solve for  $t$

$$(\mathbf{p} - \mathbf{p}') \cdot \mathbf{N} = (\mathbf{o} + t\mathbf{d} - \mathbf{p}') \cdot \mathbf{N} = 0$$

$$t = \frac{(\mathbf{p}' - \mathbf{o}) \cdot \mathbf{N}}{\mathbf{d} \cdot \mathbf{N}}$$

Check:  $0 \leq t < \infty$

与平面先求交, 判断点是否在三角形内

- Möller Trumbore Algorithm (直接进行ray与三角形的判断) 使用了重心坐标

### Möller Trumbore Algorithm

A faster approach, giving barycentric coordinate directly

Derivation in the discussion section!

$$\bar{\mathbf{O}} + t\bar{\mathbf{D}} = (1 - b_1 - b_2)\bar{\mathbf{P}}_0 + b_1\bar{\mathbf{P}}_1 + b_2\bar{\mathbf{P}}_2$$

Where:

$$\begin{bmatrix} t \\ b_1 \\ b_2 \end{bmatrix} = \frac{1}{\bar{\mathbf{S}}_1 \cdot \bar{\mathbf{E}}_1} \begin{bmatrix} \bar{\mathbf{S}}_2 \cdot \bar{\mathbf{E}}_2 \\ \bar{\mathbf{S}}_1 \cdot \bar{\mathbf{S}} \\ \bar{\mathbf{S}}_2 \cdot \bar{\mathbf{D}} \end{bmatrix}$$

Recall: How to determine if the "intersection" is inside the triangle?

$$\bar{\mathbf{E}}_1 = \bar{\mathbf{P}}_1 - \bar{\mathbf{P}}_0$$

$$\bar{\mathbf{E}}_2 = \bar{\mathbf{P}}_2 - \bar{\mathbf{P}}_0$$

$$\bar{\mathbf{S}} = \bar{\mathbf{O}} - \bar{\mathbf{P}}_0$$

Hint:  $(1-b_1-b_2), b_1, b_2$  are barycentric coordinates!

$$\text{Cost} = (1 \text{ div}, 27 \text{ mul}, 17 \text{ add})$$

$$\bar{\mathbf{S}}_1 = \bar{\mathbf{D}} \times \bar{\mathbf{E}}_2$$

$$\bar{\mathbf{S}}_2 = \bar{\mathbf{S}} \times \bar{\mathbf{E}}_1$$

## 包围盒

## Ray-Intersection With Box

Understanding: **box is the intersection of 3 pairs of slabs**

Specifically:

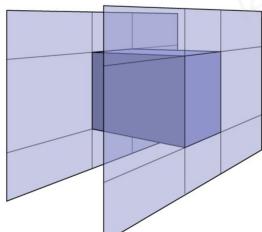
We often use an

**Axis-Aligned**

**Bounding Box (AABB)**

(轴对齐包围盒)

i.e. any side of the BB  
is along either x, y, or z  
axis



用包围盒可以减少对物体上的三角面的计算

### 包围盒求交

#### Ray Intersection with Axis-Aligned Box

- Recall: a box (3D) = three pairs of infinitely large slabs
- Key ideas
  - The ray enters the box **only when** it enters all pairs of slabs
  - The ray exits the box **as long as** it exits any pair of slabs
- For each pair, calculate the  $t_{\min}$  and  $t_{\max}$  (**negative is fine**)
- For the 3D box,  $t_{\text{enter}} = \max\{t_{\min}\}$ ,  $t_{\text{exit}} = \min\{t_{\max}\}$
- If  $t_{\text{enter}} < t_{\text{exit}}$ , we know the ray **stays a while** in the box  
(so they must intersect!) (not done yet, see the next slide)

#### Ray Intersection with Axis-Aligned Box

- However, ray is not a line
  - Should check whether  $t$  is negative for physical correctness!
- What if  $t_{\text{exit}} < 0$ ?
  - The box is "behind" the ray — no intersection!
- What if  $t_{\text{exit}} \geq 0$  and  $t_{\text{enter}} < 0$ ?
  - The ray's origin is inside the box — have intersection!
- In summary, ray and AABB intersect iff
  - $t_{\text{enter}} < t_{\text{exit}} \& t_{\text{exit}} \geq 0$

### Why Axis-Aligned?

General

$$t = \frac{(\mathbf{p}' - \mathbf{o}) \cdot \mathbf{N}}{\mathbf{d} \cdot \mathbf{N}}$$

3 subtractions, 6 multiplies, 1 division

Slabs perpendicular to x-axis

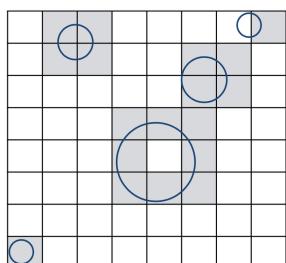
$$t = \frac{\mathbf{p}'_x - \mathbf{o}_x}{\mathbf{d}_x}$$

1 subtraction, 1 division

## Lecture14: Ray Tracing

如何使用aabb包围盒加速?

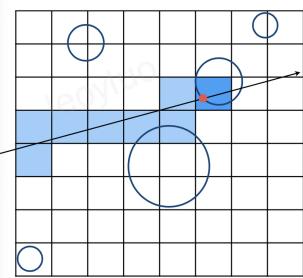
#### Preprocess – Build Acceleration Grid



- Find bounding box
- Create grid
- Store each object in overlapping cells

提前构建包围盒, 并且把包围盒划分成grid

## Ray-Scene Intersection



Step through grid in ray traversal order

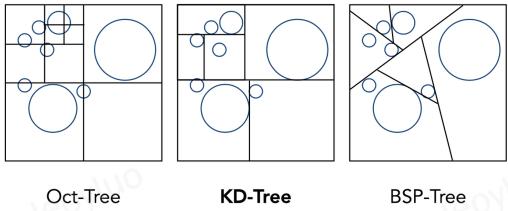
For each grid cell  
Test intersection  
with all objects  
stored at that cell

光线经过格子，判断格子是否包含，在判断与物体求交（需要判断ray经过哪些格子）

- 格子数量的划分影响了计算效率(太少没有加速, 太多遍历格子的数量太多)

## Spatial Partition空间划分

### Spatial Partitioning Examples



Oct-Tree

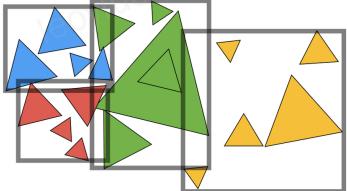
KD-Tree

BSP-Tree

Note: you could have these in both 2D and 3D. In lecture we will illustrate principles in 2D.

## Bounding Volume Hierarchy (BVH) – object partition

### Summary: Building BVHs



- Find bounding box
- Recursively split set of objects in two subsets
- Recompute the bounding box of the subsets
- Stop when necessary
- Store objects in each leaf node

- 每个物体属于单独某个包围盒
- 包围盒之间会有overlap

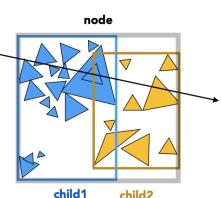
### BVH Traversal

```
Intersect(Ray ray, BVH node) {
    if (ray misses node.bbox) return;

    if (node is a leaf node)
        test intersection with all objs;
        return closest intersection;

    hit1 = Intersect(ray, node.child1);
    hit2 = Intersect(ray, node.child2);

    return the closer of hit1, hit2;
}
```



bvh traversal, 递归算法

=====

## Lecture15: Ray Tracing

### ■ 辐射度量学

Definition: Radiant energy is the energy of electromagnetic radiation. It is measured in units of joules, and denoted by the symbol:

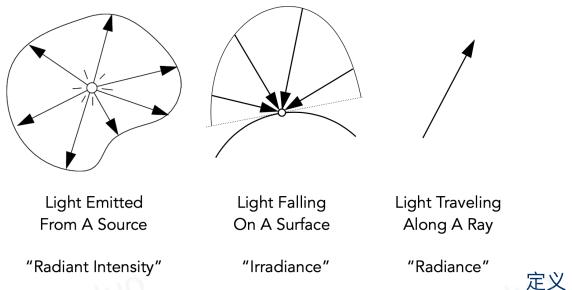
$$Q \text{ [J = Joule]}$$

Definition: Radiant flux (power) is the energy emitted, reflected, transmitted or received, per unit time.

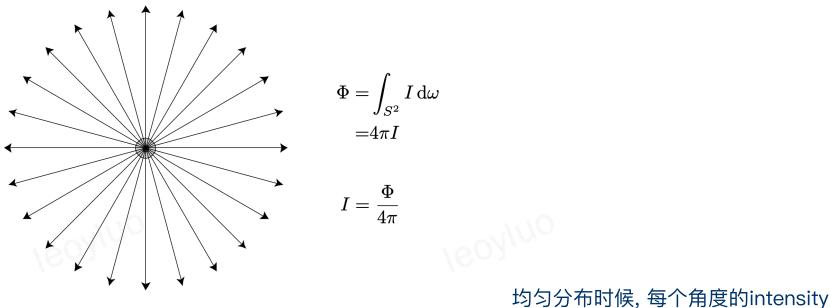
$$\Phi \equiv \frac{dQ}{dt} \text{ [W = Watt] [lm = lumen]}^*$$

能量与功率

### Important Light Measurements of Interest



### Isotropic Point Source

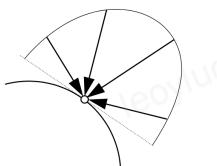


### Irradiance

Definition: The irradiance is the power per unit area incident on a surface point.

$$E(\mathbf{x}) \equiv \frac{d\Phi(\mathbf{x})}{dA}$$

$$\left[ \frac{\text{W}}{\text{m}^2} \right] \left[ \frac{\text{lm}}{\text{m}^2} = \text{lux} \right]$$

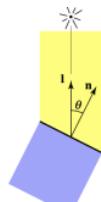


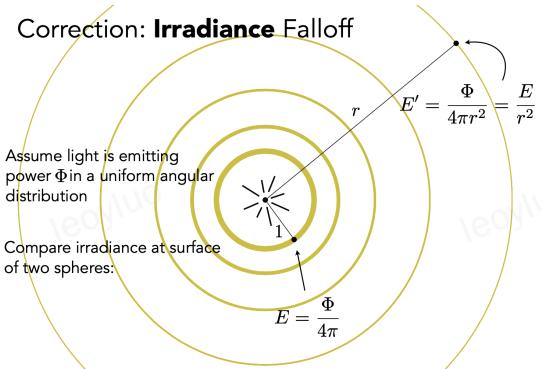
power per area (intensity: power\_per second)

In general, power per unit area is proportional to  $\cos \theta = \mathbf{l} \cdot \mathbf{n}$

$$E = \frac{\Phi}{A} \cos \theta$$

面积与光线方向相关





intensity不衰减, irradiance会衰减

## Radiance

Definition: The radiance (luminance) is the power emitted, reflected, transmitted or received by a surface, **per unit solid angle, per projected unit area**.

$$L(p, \omega) \equiv \frac{d^2\Phi(p, \omega)}{d\omega dA \cos \theta}$$

$\cos \theta$  accounts for projected surface area

$$\left[ \frac{\text{W}}{\text{sr m}^2} \right] \left[ \frac{\text{cd}}{\text{m}^2} = \frac{\text{lm}}{\text{sr m}^2} = \text{nit} \right]$$

是intensity和irradiance的结合, per area \* per solid angle

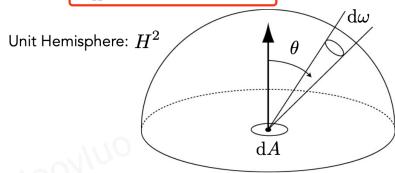
## Irradiance vs. Radiance

Irradiance: total power received by area  $dA$

Radiance: power received by area  $dA$  from "direction"  $d\omega$

$$dE(p, \omega) = L_i(p, \omega) \cos \theta d\omega$$

$$E(p) = \int_{H^2} L_i(p, \omega) \cos \theta d\omega$$



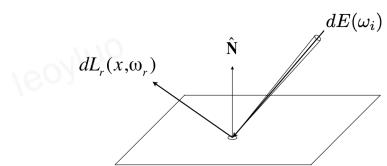
### ■ BRDF

- 定义某个入射方向, 对某个反射方向的效果

## Reflection at a Point

Radiance from direction  $\omega_i$  turns into the power  $E$  that  $dA$  receives

Then power  $E$  will become the radiance to any other direction  $\omega_r$ .



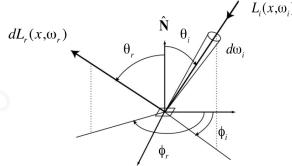
Differential irradiance incoming:  $dE(\omega_i) = L(\omega_i) \cos \theta_i d\omega_i$

Differential radiance exiting (due to  $dE(\omega_i)$ ):  $dL_r(\omega_r)$

固定入射角, 分配到每个出方向的比例

## BRDF

The Bidirectional Reflectance Distribution Function (BRDF) represents how much light is reflected into each outgoing direction  $\omega_r$  from each incoming direction



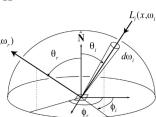
$$f_r(\omega_i \rightarrow \omega_r) = \frac{dL_r(\omega_r)}{dE_i(\omega_i)} = \frac{dL_r(\omega_r)}{L_i(\omega_i) \cos \theta_i d\omega_i} \left[ \frac{1}{sr} \right]$$

镜面反射: 只往一个方向; 漫反射: 多个方向分布

## Challenge: Recursive Equation

Reflected radiance depends on incoming radiance

$$L_r(p, \omega_r) = \int_{H^2} f_r(p, \omega_i \rightarrow \omega_r) L_i(p, \omega_i) \cos \theta_i d\omega_i$$



But incoming radiance depends on reflected radiance (at another point in the scene)

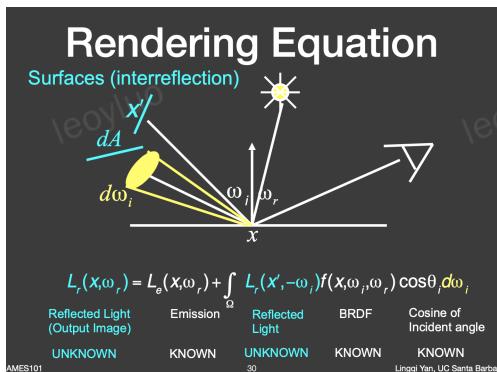
某个方向出射, 需要积分所有输入方向的贡献

### 渲染方程

- 包含自己发射的光, 和入射的光

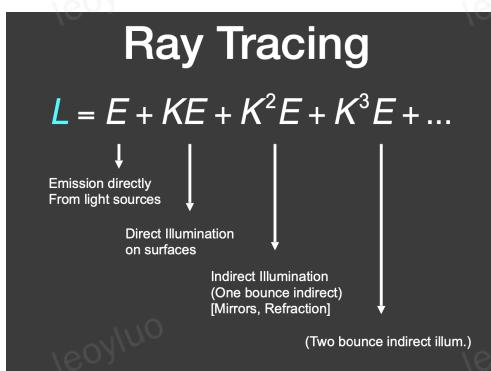
## The Rendering Equation

$$L_o(p, \omega_o) = L_e(p, \omega_o) + \int_{\Omega^+} L_i(p, \omega_i) f_r(p, \omega_i, \omega_o) (n \cdot \omega_i) d\omega_i$$



入射源: 点光源/面光源/其他物体反射的光源 (有递归)

简化式

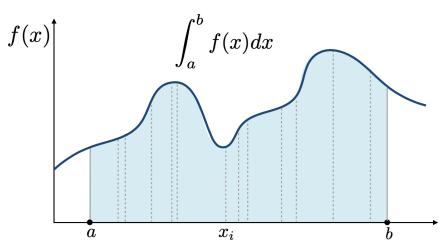


## Lecture16: Ray Tracing(Monte Carlo path tracing).

- 蒙特卡洛积分

### Monte Carlo Integration

Why: we want to solve an integral, but it can be too difficult to solve analytically.



### Monte Carlo Integration

Let us define the Monte Carlo estimator for the definite integral of given function  $f(x)$

Definite integral

$$\int_a^b f(x)dx$$

Random variable

$$X_i \sim p(x)$$

Monte Carlo estimator

$$F_N = \frac{1}{N} \sum_{i=1}^N \frac{f(X_i)}{p(X_i)}$$

大量采样, 取平均值

- Path tracing
- 基于whitted-style ray tracing(反射会弹射, 漫反射终止) – 实际上假设不完善

### A Simple Monte Carlo Solution

$$L_o(p, \omega_o) \approx \frac{1}{N} \sum_{i=1}^N \frac{L_i(p, \omega_i) f_r(p, \omega_i, \omega_o) (n \cdot \omega_i)}{p(\omega_i)}$$

```
shade(p, wo)
    Randomly choose N directions wi-pdf
    Lo = 0.0
    For each wi
        Trace a ray r(p, wi)
        If ray r hit the light
            Lo += (1 / N) * L_i * f_r * cosine / pdf(wi)
    Return Lo
```

基于蒙特卡洛的brdf积分(此处只有直接光照)

### Introducing Global Illumination

```
shade(p, wo)
    Randomly choose N directions wi-pdf
    Lo = 0.0
    For each wi
        Trace a ray r(p, wi)
        If ray r hit the light
            Lo += (1 / N) * L_i * f_r * cosine / pdf(wi)
        Else If ray r hit an object at q
            Lo += (1 / N) * shade(q, -wi) * f_r * cosine
            / pdf(wi)
    Return Lo
```

Is it done? No.

全局光照 (递归其他的物体! 间接光源)

### 间接光照 – 问题

- 指数爆炸(每次递归非常多ray, 栈溢出)
  - 只选择一个反射方向, 由反射probability决定 (这就是path tracing!)
  - 每个像素选择多个ray进行平均, 减少variance(ray generation的offset)
- 不会停止(无限反射)
  - russian roulette(RR): 随机选择是否射出光线

## Solution: Russian Roulette (RR)

Previously, we always shoot a ray at a shading point and get the shading result  $\text{Lo}$

Suppose we manually set a probability  $P$  ( $0 < P < 1$ )

With probability  $P$ , shoot a ray and return the shading result divided by  $P$ :  $\text{Lo} / P$

With probability  $1-P$ , don't shoot a ray and you'll get  $0$

In this way, you can still expect to get  $\text{Lo}$ !

$$E = P * (\text{Lo} / P) + (1 - P) * 0 = \text{Lo}$$

## Solution: Russian Roulette (RR)

```
shade(p, wo)
    Manually specify a probability P_RR
    Randomly select ksi in a uniform dist. in [0, 1]
    If (ksi > P_RR) return 0.0;

    Randomly choose ONE direction wi-pdf(w)
    Trace a ray r(p, wi)
    If ray r hit the light
        Return L_i * f_r * cosine / pdf(wi) / P_RR
    Else If ray r hit an object at q
        Return shade(q, -wi) * f_r * cosine / pdf(wi) / P_RR
```

### ■ 低效光线采样

- 均匀的采样,许多的ray打不到光源会被浪费

### Sampling the Light

Need to make the rendering equation as an integral of  $dA$

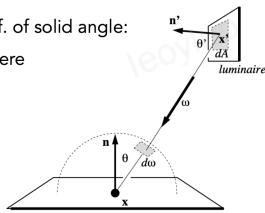
Need the relationship between  $d\omega$  and  $dA$

Easy! Recall the alternative def. of solid angle:

Projected area on the unit sphere

$$d\omega = \frac{dA \cos \theta'}{\|x' - x\|^2}$$

(Note:  $\theta'$ , not  $\theta$ )



把光源投影到采样域上,再光源投射区域上采样

- 新的概率在A上进行

### Sampling the Light

```
shade(p, wo)
    # Contribution from the light source.
    Uniformly sample the light at x' (pdf_light = 1 / A)
    L_dir = L_i * f_r * cos theta * cos theta' / |x' - p|^2 / pdf_light

    # Contribution from other reflectors.
    L_indir = 0.0
    Test Russian Roulette with probability P_RR
    Uniformly sample the hemisphere toward wi (pdf_hemi = 1 / 2pi)
    Trace a ray r(p, wi)
    If ray r hit a non-emitting object at q
        L_indir = shade(q, -wi) * f_r * cos theta / pdf_hemi / P_RR

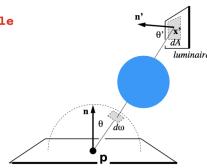
    Return L_dir + L_indir
```

直接光源高效采样,间接光源RR

### Sampling the Light

One final thing: how do we know if the sample on the light is not blocked or not?

```
# Contribution from the light source.
L_dir = 0.0
Uniformly sample the light at x' (pdf_light = 1 / A)
Shoot a ray from p to x'
If the ray is not blocked in the middle
    L_dir = ...
```



Now path tracing is finally done!

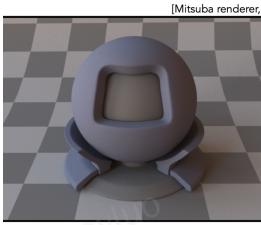
考虑光源是否被遮盖

- radiance和最后的rgb并不一定一致,有可能经过更多的图像处理获取最后的rgb

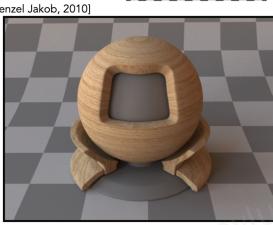
## Lecture17: Material and Appearance

material == brdf

## Diffuse / Lambertian Material (BRDF)



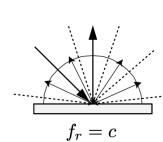
Uniform colored diffuse BRDF



Textured diffuse BRDF

## Diffuse / Lambertian Material

Light is equally reflected in each output direction



$$\text{Suppose the incident lighting is uniform:}$$

$$L_o(\omega_o) = \int_{H^2} f_r L_i(\omega_i) \cos \theta_i d\omega_i$$

$$= f_r L_i \int_{H^2} (\omega_i) \cos \theta_i d\omega_i$$

$$= \pi f_r L_i$$

$$f_r = \frac{\rho}{\pi} \quad \text{--- albedo (color)}$$

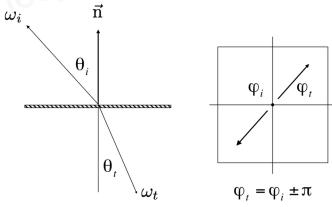
## ■ 各种反射/折射形式(略) – call散射

- brdf, btdf, bsdf

## ■ 折射定律

### Snell's Law

Transmitted angle depends on  
index of refraction (IOR) for incident ray  
index of refraction (IOR) for exiting ray



Medium	$\eta^*$
Vacuum	1.0
Air (sea level)	1.00029
Water (20°C)	1.333
Glass	1.5-1.6
Diamond	2.42

\* index of refraction is wavelength dependent (these are averages)

$$\eta_i \sin \theta_i = \eta_t \sin \theta_t$$

### Law of Refraction

$$\begin{aligned} \eta_i \sin \theta_i &= \eta_t \sin \theta_t \\ \cos \theta_t &= \sqrt{1 - \sin^2 \theta_t} \\ &= \sqrt{1 - \left(\frac{\eta_i}{\eta_t}\right)^2 \sin^2 \theta_i} \\ &= \sqrt{1 - \left(\frac{\eta_i}{\eta_t}\right)^2 (1 - \cos^2 \theta_i)} \end{aligned}$$

#### Total internal reflection:

When light is moving from a more optically dense medium to a less optically dense medium:  $\frac{\eta_i}{\eta_t} > 1$   
Light incident on boundary from large enough angle will not exit medium.

有可能无法折射(折射率大-》小), 全反射

## ■ brdf性质

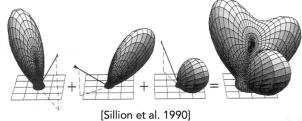
### Properties of BRDFs

- Non-negativity

$$f_r(\omega_i \rightarrow \omega_r) \geq 0$$

- Linearity

$$L_r(p, \omega_r) = \int_{H^2} f_r(p, \omega_i \rightarrow \omega_r) L_i(p, \omega_i) \cos \theta_i d\omega_i$$

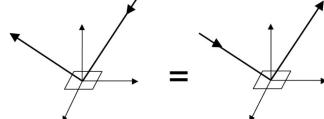


[Sillion et al. 1990]

### Properties of BRDFs

- Reciprocity principle

$$f_r(\omega_r \rightarrow \omega_i) = f_r(\omega_i \rightarrow \omega_r)$$



- Energy conservation

$$\forall \omega_r \int_{H^2} f_r(\omega_i \rightarrow \omega_r) \cos \theta_i d\omega_i \leq 1$$

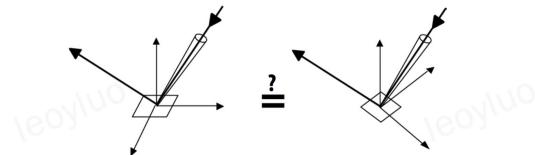
### Properties of BRDFs

- Isotropic vs. anisotropic

- If isotropic,  $f_r(\theta_i, \phi_i; \theta_r, \phi_r) = f_r(\theta_i, \theta_r, \phi_r - \phi_i)$

- Then, from reciprocity,

$$f_r(\theta_i, \theta_r, \phi_r - \phi_i) = f_r(\theta_r, \theta_i, \phi_i - \phi_r) = f_r(\theta_i, \theta_r, |\phi_r - \phi_i|)$$

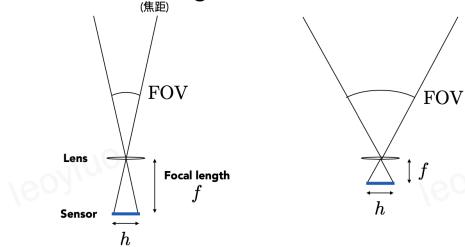


=====

## Lecture18: Advanced Topics In Rendering

## Lecture20: Cameras, Lens

### Effect of Focal Length on FOV



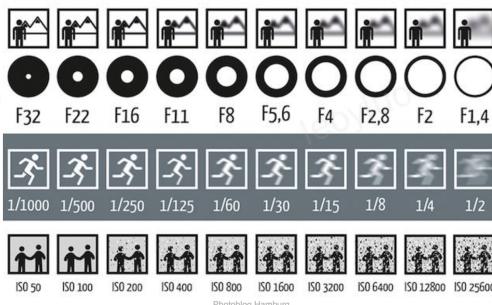
For a fixed sensor size, decreasing the focal length increases the field of view.

$$\text{FOV} = 2 \arctan \left( \frac{h}{2f} \right)$$

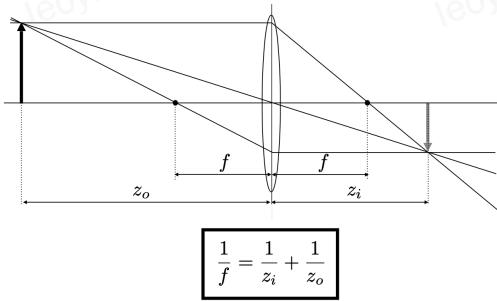
### Exposure

- $H = T \times E$
- Exposure = time  $\times$  irradiance
- Exposure time ( $T$ )
  - Controlled by shutter
- Irradiance ( $E$ )
  - Power of light falling on a unit area of sensor
  - Controlled by lens aperture and focal length

### Exposure: Aperture, Shutter, Gain (ISO)



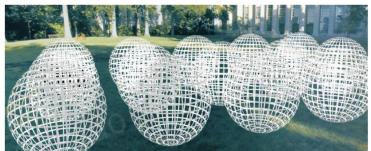
### The Thin Lens Equation



## Lecture21: Color And Perception

- 全光函数 (VR)

### The Plenoptic Function



$$P(\theta, \phi, \lambda, t, V_x, V_y, V_z)$$

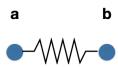
- Can reconstruct every **possible view** at every **moment** from every **position**, at every **wavelength**
- Contains every photograph, every movie, everything that anyone has ever seen! it completely captures our visual reality! Not bad for a function...

## Lecture22&23: Animation

## ■ 弹簧系统

### Non-Zero Length Spring

Spring with non-zero rest length



$$f_{a \rightarrow b} = k_s \frac{\mathbf{b} - \mathbf{a}}{\|\mathbf{b} - \mathbf{a}\|} (\|\mathbf{b} - \mathbf{a}\| - l)$$

Rest length

### Internal Damping for Spring

Damp only the internal, spring-driven motion

$$\mathbf{f}_b = -k_d \frac{\mathbf{b} - \mathbf{a}}{\|\mathbf{b} - \mathbf{a}\|} (\dot{\mathbf{b}} - \dot{\mathbf{a}}) \cdot \frac{\mathbf{b} - \mathbf{a}}{\|\mathbf{b} - \mathbf{a}\|}$$

Relative velocity of b,  
assuming a is static (vector)

Damping force applied on b

Relative velocity projected to  
the direction from a to b (scalar)

Direction from a to b

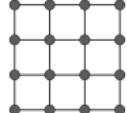
- Viscous drag only on change in spring length
  - Won't slow group motion for the spring system (e.g. global translation or rotation of the group)
- Note: This is only one specific type of damping

拉力与摩擦力

## ■ 高维弹簧结构

### Structures from Springs

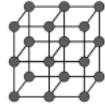
Sheets



Blocks



Others



## ■ 粒子系统, 动力学/逆动力学

### Tiny-render代码

- <https://github.com/ssloy/tinyrenderer>
- 用于学习光栅化基本知识的实现

#### ■ 基本框架

```

tinyrenderer
├── obj
│   ├── .gitignore
│   └── .gitpod.yml
└── CMakeLists.txt
└── Dockerfile
└── geometry.cpp
    └── geometry.h
└── LICENSE.txt
└── main.cpp
└── model.cpp
└── model.h
└── our_gl.cpp
└── our_gl.h
└── README.md
└── tgaimage.cpp
└── tgaimage.h

```

#### ■ (1) MVP相机参数和几何计算

##### geometry, our\_gl.cpp

```

#include "our_gl.h"

mat<4,4> ModelView;
mat<4,4> Viewport;
mat<4,4> Projection;

void viewport(const int x, const int y, const int w, const int h) {
    Viewport = {{(w/2., 0, 0, x+w/2.), {0, h/2., 0, y+h/2.}, {0, 0, 1, 0}, {0, 0, 0, 1}}};
}

void projection(const double f) // check https://en.wikipedia.org/wiki/Camera_matrix
    Projection = {{(1, 0, 0, 0), {0, -1, 0, 0}, {0, 0, 1, 0}, {0, 0, -1/f, 0}}};

void lookat(const vec3 eye, const vec3 center, const vec3 up) { // check https://github.com/ssloy/tinyrenderer/wiki/Lesson-5-Moving-the-camera
    vec3 z = (center-eye).normalized();
    vec3 x = cross(up,z).normalized();
    vec3 y = cross(z,x).normalized();
    mat<4,4> MInv = {{(x.x, x.y, x.z, 0), {y.x, y.y, y.z, 0}, {z.x, z.y, z.z, 0}, {0, 0, 0, 1}}};
    mat<4,4> Tr = {{(1, 0, 0, -eye.x), {0, 1, 0, -eye.y}, {0, 0, 1, -eye.z}, {0, 0, 0, 1}}};
    ModelView = MInv*Tr;
}

```

## ■ (2) obj模型读取与texture/diff/spec

### ■ model.cpp

```

Model::Model(const std::string filename) {
    std::ifstream in;
    std::string line;
    if (!in.is_open()) return;
    std::string line_c;
    while (!in.eof()) {
        std::getline(in, line);
        std::istringstream iss(line.c_str());
        char trash;
        if (!(line.compare(0, 2, "# "))) {
            iss >> trash;
            vec3 v;
            for (int i=0;i<3;i++) iss >> v[i];
            m_verts.push_back(v);
        } else if (!(line.compare(0, 3, "vn "))) {
            iss >> trash >> trash;
            vec3 n;
            for (int i=0;i<3;i++) iss >> n[i];
            m_normals.push_back(normalize(n));
        } else if (!(line.compare(0, 3, "vt "))) {
            iss >> trash >> trash;
            vec2 uv;
            for (int i=0;i<2;i++) iss >> uv[i];
            m_textures.push_back(uv);
        } else if (line.compare(0, 2, "f ")) {
            int f,t,u;
            iss >> f >> t >> u;
            int c[3];
            for (int i=0;i<3;i++) {
                iss >> c[i];
            }
            m_faces.push_back(c);
        }
    }
}

```

## (3) blind-phong模型计算

### ■ 类似opengl的shader构建

### ■ main.cpp, our\_gl.cpp

```

int main(int argc, char** argv) {
    if (2>argc) {
        std::cerr << "Usage: " << argv[0] << " obj/model.obj" << std::endl;
        return 1;
    }
    TGAImage framebuffer(width, height, TGAImage::RGB); // the output image
    lookat(eye, center, up); // build the ModelView matrix
    viewport(width/8, height/8, width*3/4, height*3/4); // build the Viewport matrix
    projection((eye-center).norm()); // build the Projection matrix
    std::vector<double> zbuffer(width*height, std::numeric_limits<double>::max());
    for (int m=1; m<argc; m++) { // iterate through all input objects
        Model model(argv[m]);
        Shader shader(model);
        for (int i=0; i<model.nfaces(); i++) { // for every triangle
            vec4 clip_vert[3]; // triangle coordinates (clip coordinates), written by VS, read by FS
            for (int j : {0,1,2}) {
                shader.vertex(i, j, clip_vert[j]); // call the vertex shader for each triangle vertex
                triangle(clip_vert, shader, framebuffer, zbuffer); // actual rasterization routine call
            }
        }
        framebuffer.write_tga_file("framebuffer.tga");
        return 0;
    }
}

```

MVP Model, basic loop for each face processing

```

struct Shader : IShader {
    const Model &model;
    vec3 uniform_l; // light direction in view coordinates
    mat<3,3> varying_uv; // triangle uv coordinates, written by the vertex shader, read by the fragment shader
    mat<3,3> varying_nv; // normal per vertex to be interpolated by FS
    mat<3,3> view_tris; // triangle in view coordinates

    Shader(const Model &m) : model(m) {
        uniform_l = proj3x3((ModelViewembeded<4>(light_dir, 0.)).normalized()); // transform the light vector to view coordinates
    }

    virtual void vertex(const int iface, const int nthvert, vec4& gl_Position) {
        varying_nv.set_col(iface, model.vert(iface, nthvert));
        varying_nv.set_col(nthvert, model.vert(iface, nthvert));
        gl_Position = ModelViewembeded<4>(model.vert(iface, nthvert));
        view_tris.set_col(nthvert, proj3x3(gl_Position));
        gl_Position = Projection*gl_Position;
    }

    virtual bool fragment(const vec3 bar, TGAColor &gl_FragColor) {
        vec3 bn = (varying_nv*bar).normalized(); // per-vertex normal interpolation
        vec2 uv = varying_uv*bar; // tex coord interpolation

        // for the math refer to the tangent space normal mapping lecture
        // https://github.com/silou/tinylrenderer/wiki/lesson-06-tangent-space-normal-mapping
        mat<3,3> AI = mat<3,3>(view_tris.col(1) - view_tris.col(0), view_tris.col(2) - view_tris.col(0), bn).invert();
        vec3 i = AI * vec3(varying_uv[0][1] - varying_uv[0][0], varying_uv[0][2] - varying_uv[0][0], 0);
        vec3 j = AI * vec3(varying_uv[1][1] - varying_uv[1][0], varying_uv[1][2] - varying_uv[1][0], 0);
        mat<3,3> B = mat<3,3>(i.normalized(), j.normalized(), bn).transpose();

        vec3 n = (B * model.normal(uv)).normalized(); // transform the normal from the texture to the tangent space
        double diff = std::max(0., n.unorm(1)); // diffuse light intensity
        vec3 r = (vec3(uniform_l)*2 - vec3(uniform_l).unorm(1)); // reflected light direction, specular mapping is described here: https://github.com/silou/tinylrenderer/wiki/less
        double spec = std::pow(std::max(-r.z, 0.), 5)*sample2D(model.specular(), uv[0]); // specular intensity, note that the camera lies on the z-axis (in view), therefore s
        TGAColor c = sample2D(model.diffuse(), uv);

        for (int i : {0,1,2})
            gl_FragColor[i] = std::min(max(0., n.unorm(1) * (1.0 + r.z*(diff + spec)), 255)); // (a bit of ambient light, diff + spec) clamp the result
    }
}

```

vert project, triangle rasterization, blind-phong model

```

void triangle(const vec4 clip_verts[3], IShader &shader, TGAImage &image, std::vector<double> &zbuffer) {
    vec4 pts[3] = { Viewport*clip_verts[0], Viewport*clip_verts[1], Viewport*clip_verts[2] }; // triangle screen coordinates before persp. division
    vec2 pts2[3] = { proj2x4(pts[0]/pts[0][3]), proj2x4(pts[1]/pts[1][3]), proj2x4(pts[2]/pts[2][3]) }; // triangle screen coordinates after persp. division

    int bboxmin[2] = { image.width()-1, image.height()-1 };
    int bboxmax[2] = { 0, 0 };
    for (int i=0; i<3; i++)
        for (int j=0; j<2; j++) {
            bboxmin[j] = std::min(bboxmin[j], static_cast<int>(pts2[i][j]));
            bboxmax[j] = std::max(bboxmax[j], static_cast<int>(pts2[i][j]));
        }
    #pragma omp parallel for
    for (int x=std::max(bboxmin[0], 0); x<std::min(bboxmax[0], image.width()); x++) {
        for (int y=std::max(bboxmin[1], 0); y<std::min(bboxmax[1], image.height()); y++) {
            vec3 bc_screen = barvertic(pts2, static_cast<double>(x), static_cast<double>(y));
            vec3 bc_clip = (bc_screen.x/pts[0][3], bc_screen.y/pts[1][3], bc_screen.z/pts[2][3]);
            bc_clip = bc_clip/vec3(bc_clip.x*bc_clip.y*bc_clip.z); // check https://github.com/silou/tinylrenderer/wiki/technical-difficulties-linear-interpolation-with-perspective
            double frag_depth = vec3(clip_verts[0][2], clip_verts[1][2], clip_verts[2][2]).dot(bc_clip);
            if (bc_screen.x<0 || bc_screen.y<0 || bc_screen.z<0 || frag_depth > zbuffer[x+y*image.width()]) continue;
            TGAColor color;
            if (!shader.fragment(bc_clip, color)) // fragment shader can discard current fragment
                zbuffer[x+y*image.width()] = frag_depth;
            image.set(x, y, color);
        }
    }
}

```

z\_buffer and bbox for triangle

```
=====
```

## Tiny-raytracing代码

- <https://github.com/ssloy/tinyraytracer>

### ■ 材质定义

```
struct Material {
    float refractive_index = 1;
    float albedo[4] = {2,0,0,0};
    vec3 diffuse_color = {0,0,0};
    float specular_exponent = 0;
};

constexpr Material     ivory = {1.0, {0.9, 0.5, 0.1, 0.0}, {0.4, 0.4, 0.3}, 50.};
constexpr Material     glass = {1.5, {0.0, 0.9, 0.1, 0.8}, {0.6, 0.7, 0.8}, 125.};
constexpr Material red_rubber = {1.0, {1.4, 0.3, 0.0, 0.0}, {0.3, 0.1, 0.1}, 10.};
constexpr Material mirror = {1.0, {0.0, 16.0, 0.8, 0.0}, {1.0, 1.0, 1.0}, 1425.};
```

### ■ 球体, 光源定义

```
constexpr Sphere spheres[] = {
    {{-3, 0, -16}, 2,      ivory},
    {{-1.0, -1.5, -12}, 2,      glass},
    {{ 1.5, -0.5, -18}, 3, red_rubber},
    {{ 7, 5, -18}, 4,      mirror}
};

constexpr vec3 lights[] = {
    {-20, 20, 20},
    { 30, 50, -25},
    { 30, 20, 30}
};
```

### ■ 反射与折射

```
vec3 reflect(const vec3 &I, const vec3 &N) {
    return I - N*2.f*(I*N);
}

vec3 refract(const vec3 &I, const vec3 &N, const float eta_t, const float eta_i=1.f) { // Snell's law
    float cosi = std::max(-1.f, std::min(1.f, I*N));
    if (cosi<0) return refract(I, -N, eta_i, eta_t); // if the ray comes from the inside the object, swap the air and the media
    float eta = eta_i / eta_t;
    float k = 1 - eta*eta*(1 - cosi*cosi);
    return k<0 ? vec3{1,0,0} : I*eta + N*(eta*cosi - std::sqrt(k)); // k<0 = total reflection, no ray to refract. I refract it anyways, this has no physical meaning
}
```

### ■ 初始逻辑

```
int main() {
    constexpr int width = 1024;
    constexpr int height = 768;
    constexpr float fov = 1.05; // 60 degrees field of view in radians
    std::vector<vec3> framebuffer(width*height);

#pragma omp parallel for
    for (int pix = 0; pix<width*height; pix++) { // actual rendering loop
        float dir_x = -(pix/width + 0.5) - width/2;
        float dir_y = -(pix/width + 0.5) + height/2; // this flips the image at the same time
        float dir_z = -height/(2.*tan(fov/2.));
        framebuffer[pix] = cast_ray(vec3{0,0,0}, vec3{dir_x, dir_y, dir_z}.normalized());
    }

    std::ofstream ofs("./out.ppm", std::ios::binary);
    ofs << "#P6" << width << " " << height << "255\n";
    for (vec3 &color : framebuffer) {
        float max = std::max(1.f, std::max(color[0], std::max(color[1], color[2])));
        for (int chan : {0,1,2})
            ofs << (char)(255 * color[chan]/max);
    }
    return 0;
}
```

每个像素射出一条光线, 向场景中进行计算(可OpenMP并行加速)

### ■ cast ray

- 检测与最近球体的碰撞, 递归计算(最多四次)折射与反射曲线曲线的颜色
- 与球体碰撞点的颜色, 是通过光源与碰撞点的颜色逆向获取的
- 着色函数类似于blind-phong(考虑diffuse, spec等等), 考虑光线方向的系数, 添加reflect和refract的颜色

```

vec3 cast_ray(const vec3 &orig, const vec3 &dir, const int depth=0) {
    auto [hit, point, N, material] = scene_intersect(orig, dir);
    if (depth>5 || !hit)
        return {0.2, 0.7, 0.8}; // background color

    vec3 reflect_dir = reflect(dir, N).normalized();
    vec3 refract_dir = refract(dir, N, material.refractive_index).normalized();
    vec3 reflect_color = cast_ray(point, reflect_dir, depth + 1);
    vec3 refract_color = cast_ray(point, refract_dir, depth + 1);

    float diffuse_light_intensity = 0, specular_light_intensity = 0;
    for (const vec3 &light : lights) { // checking if the point lies in the shadow of the light
        vec3 light_dir = (light - point).normalized();
        auto [hit, shadow_pt, trashnm, trashmat] = scene_intersect(point, light_dir);
        if (hit && (shadow_pt-point).norm() < (light-point).norm()) continue;
        diffuse_light_intensity += std::max(0.f, light_dir*N);
        specular_light_intensity += std::pow(std::max(0.f, -reflect(-light_dir, N)*dir), material.specular_exponent);
    }
    return material.diffuse_color * diffuse_light_intensity * material.albedo[0] + vec3{1., 1., 1.}*specular_light_intensity * material.albedo[1] + reflect_color*material.albedo[2] + refract_color*material.albedo[3];
}

```

## ■ scene intersect

```

std::tuple<bool,vec3,Material> scene_intersect(const vec3 &orig, const vec3 &dir) {
    vec3 pt, N;
    Material material;

    float nearest_dist = 1e10;
    if (std::abs(dir.y)>.001) { // intersect the ray with the checkerboard, avoid division by zero
        float d = -(orig.y+4)/dir.y; // the checkerboard plane has equation y = -4
        vec3 p = orig + dir*d;
        if (d>.001 && d<nearest_dist && std::abs(p.x)<10 && p.z<-10 && p.z>-30) {
            nearest_dist = d;
            pt = p;
            N = {0,1,0};
            material.diffuse_color = {int(.5*p.x+1000) + int(.5*p.z)} & 1 ? vec3{.3, .3, .3} : vec3{.3, .2, .1};
        }
    }

    for (const Sphere &s : spheres) { // intersect the ray with all spheres
        auto [intersection, d] = ray_sphere_intersect(orig, dir, s);
        if (!intersection || d>nearest_dist) continue;
        nearest_dist = d;
        pt = orig + dir*nearest_dist;
        N = (pt - s.center).normalized();
        material = s.material;
    }
    return {nearest_dist<1000, pt, N, material};
}

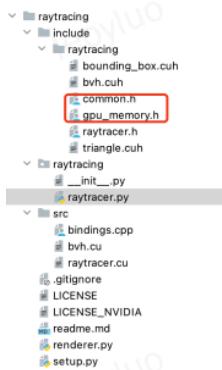
```

与每个球进行碰撞, 或者检测与checkboard的碰撞

## torch-bind ray-tracing代码

### ■ <https://github.com/ashawkey/raytracing>

- 基于torch的数据结构和tensor运算, 外加cuda/c++ extension的加速计算
- gui基于dearpygui
- 引入tinyudnn中的cuda kernel template和计算函数



实现bounding box和bvh

### ■ bvh构建 (核心实现都在bvh.cu中) 与计算

- 通过所有的triangles, 首先构建最大3d的aabb bbox
- 通过分层split构建bvh tree
- 渲染的时候通过ray和整个bvh进行碰撞检测, 找到对应的三角形, 然后计算该点的颜色, 深度, 法向量