# Two Optimization Problems for Unit Disks

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#### **Abstract**

We present an implementation of a recent algorithm to compute shortest-path trees in unit disk graphs in  $O(n \log n)$  worst-case time, where n is the number of disks.

In the minimum-separation problem, we are given n unit disks and two points s and t, not contained in any of the disks, and we want to compute the minimum number of disks one needs to retain so that any curve connecting s to t intersects some of the retained disks. We present a new algorithm solving this problem in  $O(n^2 \log^3 n)$  worst-case time and its implementation.

#### 1 Introduction

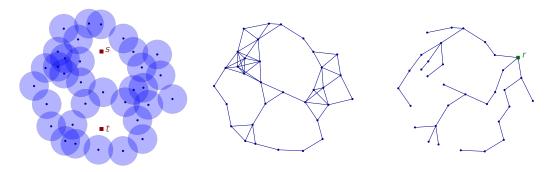
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In this paper we consider two geometric optimization problems in the plane where unit disks play a prominent role. For both problems we discuss efficient algorithms to solve them, provide an implementation of these algorithms, and present experimental results on the implementation.

The first problem we consider is computing a shortest-path tree in the (unweighted) intersection graph of unit disks. The input to the problem is a set  $\mathcal{D}$  of n disks of the same size, each disk represented by its center. The corresponding unit disk (intersection) graph has a vertex for each disk, and an edge connecting two disks D and D' of  $\mathcal{D}$  whenever D and D' intersect. An alternative, more convenient point of view, is to take as vertex set the set of centers of the disks, denoted by P, and connecting two points p and q of P whenever the Euclidean length |pq| is at most the diameter of a disk. The graph is unweighted. Given a root  $r \in P$ , the task is to compute a shortest-path tree from r in this graph. See Figure 1.



**Figure 1** Left: unit disks and two additional points s and t. Middle: intersection graph of the disks. Right: a shortest-path tree in the graph.

The second problem we consider is the *minimum-separation problem*. The input is a set  $\mathcal{D}$  of n unit disks in the plane and two points s and t not covered by any disks of  $\mathcal{D}$ . We

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say that  $\mathcal{D}$  separates s and t if each curve in the plane from s to t intersects some disk of  $\mathcal{D}$ . The task is to find the minimum cardinality subset of  $\mathcal{D}$  that separates s and t. See the left of Figure 1 for an example of an instance. Formally, we want to solve

```
s.t. \mathcal{D}' \subseteq \mathcal{D} and \mathcal{D}' separates s and t.
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Unit disks are the most standard model used for wireless sensor networks; see for example [7,9,21]. Often the model is referred as UDG. This model provides an appropriate trade off between simplicity and accuracy. Other models are more accurate, as for example discussed in [12, 15], but obtaining efficient algorithms for them is much more difficult.

While unit disks give a simple model, exploiting the geometric features of the model is often challenging. Shortest paths in unit disk graphs are essential for routing and are a basic subroutine for several other more complex tasks. A somehow unexpected application of shortest paths in unit-disk graphs to boundary recognition is given in [20]. The minimumseparation problem and variants thereof have been considered in [3,8,17]. The problem is dual to the barrier-resilience problem considered in [1,11,13,14]. It is not obvious that the minimum-separation problem can be solved optimally in polynomial time, and the known algorithm for this uses as a subroutine shortest paths in unit disk graphs. Thus, both problems considered in this paper are related and it is worth to consider them together.

Our contribution. We are aware of two algorithms to compute shortest-path trees in unit disk graphs in  $O(n \log n)$  worst-case time: one by Cabello and Jejčič [4] and one Efrat, Itai and Katz [6]. Here we report on an implementation of a modification of the algorithm in [4], and compare it against two obvious alternatives. The only complex ingredients in the algorithm is computing the Delaunay triangulation and static nearest-neighbour queries, but efficient libraries are available for this. The algorithm of [6] would be substantially harder to implement and it has worse constants hidden in the O-notation.

As mentioned before, it is not obvious that the minimum-separation problem can be solved in polynomial time. A 2-approximation algorithm is given by Gibson, Kanade, and Varadarajan [8]. Cabello and Giannopoulos [3] provide an exact algorithm that takes  $O(n^3)$ worst-case time and works for arbitrary shapes, not just disks. In this paper we improve this last algorithm to near-quadratic time for the special case of unit disks. The basic principle of the algorithm is the same, but several additional tools from Computational Geometry have to be employed to reduce the worst-case running time. We implement a variant of the new, near-quadratic-time algorithm and report on the experiments.

**Assumptions.** We will assume that unit disk means that it has radius 1/2. Up to scaling the input data, this choice is irrelevant. However, it is convenient for the exposition because then the disks intersect whenever the distance between their centers is 1. The implementation and the experiments also make this assumption.

Henceforth P will be the set of centers of  $\mathcal{D}$ . All the computation will be concentrated on P. In particular, we assume that P is known. (For the shortest path problem, one could possibly consider weaker models based on adjacencies. )

We will work with the graph  $G_{\leq 1}(P)$  with vertex set P and an edge between two points  $p,q \in P$  whenever their Euclidean distance |pq| is at most 1. In the notation we remove the dependency on P and on the distance. Thus we just use G instead of  $G_{\leq 1}(P)$ . For simplicity of the theoretical exposition we will sometimes assume that G is connected. It is trivial to adapt to the general case, for example treating each connected component separately. The implementation does not make this assumption.

Organization of the paper. In Section 2 we discuss the theoretical algorithms for both problems and their guarantees. In Section 3 we discuss the implementations and the experimental results.

### 2 Description of algorithms

#### 2.1 Shortest-path tree in unit-disk graphs

We describe here the algorithm of Cabello and Jejčič [4] to compute a shortest path tree in G from a given root point  $r \in P$ . As it is usually done for shortest path algorithms, we use tables  $dist[\cdot]$  and  $\pi[\cdot]$  indexed by the points of P to record, for each point  $p \in P$ , the distance  $d_G(s,p)$  and the ancestor of p in a shortest (s,p)-path.

The pseudocode of the algorithm, which we call UNWEIGHTEDSHORTESTPATH, is in Figure 2. We explain the intuition, taken almost verbatim from [4]. We start by computing the Delaunay triangulation DT(P) of P. We then proceed in rounds for increasing values of i, where at round i we find the set  $W_i$  of points at distance exactly i in G from the source r. We start with  $W_0 = \{r\}$ . At round i, we use DT(P) to grow a neighbourhood around the points of  $W_{i-1}$  that contains  $W_i$ . More precisely, we consider the points adjacent to  $W_{i-1}$  in DT(P) as candidate points for  $W_i$ . For each candidate point that is found to lie in  $W_i$ , we also take its adjacent vertices in DT(P) as new candidates to be included in  $W_i$ . For checking whether a candidate point p lies in  $W_i$  we use a data structure to find a nearest neighbour of p in  $W_{i-1}$ . If the distance from p to its nearest neighbour w in  $W_{i-1}$  is smaller than 1, then the shortest path tree is extended by connecting p to w.

Cabello and Jejčič [4] show that the algorithm correctly computes the shortest-path tree from r. If for nearest neighbors we use a data structure that, for n points, has construction time  $T_c(n)$  and query time  $T_q(n)$ , and the Delaunay triangulation is computed in  $T_{DT}(n)$  time, then the algorithm takes  $O(T_{DT}(n) + T_c(n) + nT_q(n))$  time. Standards tools in Computational Geometry imply that  $T_{DT}(n) = O(n \log n)$ ,  $T_c(n) = O(n \log n)$  and  $T_q(n) = O(\log n)$ . This leads to the following.

Theorem 1 (Cabello and Jejčič [4]). Let P be a set of n points in the plane and let r be a point from P. In time  $O(n \log n)$  we can compute a shortest path tree from r in the unweighted graph  $G_{\leq 1}(P)$ .

It is clear that, when computing the shortest path tree from several sources, we only need to compute the Delaunay triangulation once.

#### 2.2 Minimum separation with unit-disk

Cabello and Giannopoulos [3] present an algorithm for the minimum separation problem that in the worst-case runs in cubic-time. The algorithm has one feature that is both an advantage and a disadvantage: it works for any reasonable shapes, like segments or ellipses, and not just unit disks. This means that it is very generic, which is good, but it cannot exploit any properties of unit disks.

In this section we are going to describe an algorithm to solve the minimum separation problem for unit disks in roughly quadratic time. The improvement is based on 3 ingredients. The first ingredient is a reinterpretation of the algorithm of [3] for disks. In the original algorithm, we had to select a point inside each shape. For disks there is a natural, obvious choice, the center of the disk. This allows for a simpler description and interpretation of the algorithm. We provide the description in Section 2.2.1

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```
UNWEIGHTEDSHORTESTPATH(P, r)
     build the Delaunay triangulation DT(P)
 2
     for p \in P
 3
           dist[p] = \infty
           \pi[p] = NIL
 4
 5
     dist[r] = 0
 6
     W_0 = \{r\}
     i = 1
 7
 8
     while W_{i-1} \neq \emptyset
 9
           build data structure for nearest neighbour queries in W_{i-1}
10
           Q = W_{i-1}
                            // candidate points
           W_i = \emptyset
11
12
           while Q \neq \emptyset
                 q an arbitrary point of Q
13
                 remove q from Q
14
                 for qp edge in DT(P)
15
                      if dist[p] = \infty
16
                            w = \text{nearest neighbour of } p \text{ in } W_{i-1}
17
18
                            if |pw| \leq 1
19
                                  dist[p] = i
20
                                  \pi[p] = w
21
                                  add p to Q
                                  add p to W_i
22
23
           i = i + 1
24
     return dist[\cdot] and \pi[\cdot]
```

Figure 2 Algorithm from [4] to compute a shortest path tree in the unweighted case.

The second ingredient is the efficient algorithm for shortest-path trees for the graph G. The third ingredient is a compact treatment of the edges of G using a few tools from Computational Geometry, namely range trees, point-line duality, and nearest-neighbour searches. This is explained in Section 2.2.2.

#### 2.2.1 Generic algorithm specialized for unit disks

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Let us first introduce some notation. Recall that s and t are the two points to separate. Each walk W in the graph  $G = G_{\leq 1}(P)$  defines a planar polygonal curve in the obvious way: we connect the points of P with segments in the order given by W. We will relax the notation slightly and denote also by W the curve itself. For any spanning tree T of G and any edge  $e \in E(G) \setminus E(T)$ , let cycle(T,e) be the unique cycle in T+e. Finally, for any walk in G(P), let  $\operatorname{cr}_2(st,W)$  be the modulo 2 value of the number of crossings between the segment st and (the curve defined by) W. The following property is implicit in [3] and explicit in [5]:

Let T be any spanning tree of G. The set of unit disks with centers in P separate s and t if and only if there exists some edge  $e \in E(G) \setminus E(T)$  such that  $cr_2(st, cycle(T, e)) = 1$ .

A consequence of this is that finding a minimum separation amounts to finding a shortest cycle in G that crosses the segment st an odd number of times. Moreover, one can show that we can restrict our search to a very concrete family cycles, as follows. Consider any optimal cycle  $W^*$  and let  $r^*$  be any vertex in  $W^*$ . Fix a shortest-path tree  $T_{r^*}$  from  $r^*$  in G. When there are many, the choice of  $T_{r^*}$  is irrelevant. Then, the set of cycles  $\{cycle(T_{r^*},e) \mid e \in E(G) \setminus E(T_{r^*})\}$  contains an optimal solution. This follows from the co-called 3-path condition; see [3] for the ideas, and Appendix A.1 for a self-contained proof. Since we do not know  $r^*$ , we just try all possible roots. (This leads to the option of having a randomized algorithm, by selecting some roots at random, for the case where the optimal solution is large.) In summary, the size of the optimal solution is given by

```
\min\{1+d_G(r,p)+d_G(r,q)\mid r\in P,\ pq\in E(G)\setminus E(T_r),\ \operatorname{cr}_2(st,cycle(T_r,pq))=1\}.
```

The values  $\operatorname{cr}_2(st, cycle(T_r, e))$  can be computed in constant amortized time per edge with some easy bookkeeping. Consider a fixed tree  $T_r$ . For each point  $p \in P$  we store N[p] as the parity of the number of crossings of the path in  $T_r$  from r to p. When p is not the root, the value N[p] can be computed from the value of its parent  $\pi[p]$  in  $T_r$  using that  $N[p] = N[\pi[p]] + \operatorname{cr}_2(st, p\pi[p])$ . In the algorithm we have written it this way (lines 4–6), but one can also compute the values at the time of computing the shortest path tree  $T_r$ .

We then have for each shortest-path tree  $T_r$ 

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 \forall pq \in E(G) \setminus E(T_r): \quad \operatorname{cr}_2(st, \operatorname{cycle}(T_r, pq)) = N[p] + N[q] + \operatorname{cr}_2(st, pq) \pmod{2}   \forall pq \in E(T_r): \quad 0 = N[p] + N[q] + \operatorname{cr}_2(st, pq) \pmod{2}
```

because crossings that are counted twice cancel out modulo 2. In particular, the path in  $T_r$  from r to the lowest common ancestor of p and q is counted twice. This implies that we can just check for all edges pq of G whether the sum  $N[p] + N[q] + \operatorname{cr}_2(st, pq)$  is 0 modulo 2. The final resulting algorithm, denoted as GENERICMINIMUMSEPARATION, is given in Figure 3.

```
GENERICMINIMUMSEPARATION(P, s, t)
     best = \infty // length of the best separation so far
 3
           (dist[], \pi[]) = shortest path tree from r in G(P)
           /\!\!/ Compute N[\ ]
           N[r] = 0
           for p \in P \setminus \{r\} in non-decreasing values of dist[p]
                 N[p] = N[\pi[p]] + \operatorname{cr}_2(st, p\pi[p]) \pmod{2}
 7
           for pq \in E(G(P))
                 \mathbf{if}\ N[p] + N[q] + \mathtt{cr}_2(pq, st)\ (\mathrm{mod}\ 2) = 1
 8
 9
                       best = min\{best, dist[p] + dist[q] + 1\}
10
     return best
```

Figure 3 Adaptation of the generic algorithm to compute the minimum separation for unit disks.

Let us look into the time complexity of the algorithm. For each point  $r \in P$  we have to compute a shortest-path tree in G. This can be done in  $O(n \log n)$  in our case, as discussed in Section 2.1. Then, for each edge pq of G some constant amount of work is done. Thus for

each point r we spend  $O(n \log n + |E(G)|)$ . This is cubic in the worst-case. We could get an improved running time if we can treat all the edges of G compactly. This is what we explain next.

#### 2.2.2 Compact treatment of edges

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From now on we will assume that s is the origin and t is the point  $(0,\tau)$ , with  $\tau \geqslant 0$ . Thus, the segment st is vertical and t is above s. The implementation just assumes that st is vertical with s below t. A simple rigid transformation can be applied to the input to get to this setting.

We will use the data structure in the following lemma. It is essentially a multi-level data structure consisting of a 2-dimensional range tree T with a data structure for nearest neighbour at each node of the secondary structure of T.

▶ Lemma 2. Let B be a set of n points with positive x-coordinates. We can preprocess B in  $O(n\log^3 n)$  time such that, for any query point a with negative x-coordinate, we can decide in  $O(\log^3 n)$  time whether the set  $\{b \in B \mid ab \text{ intersects } \sigma \text{ and } |ab| \leq 1\}$  is empty. The same data structure can handle queries to know whether the set  $\{b \in B \mid ab \text{ does not intersect } \sigma \text{ and } |ab| \leq 1\}$  is empty.

**Proof.** We are going to use point-line duality and range trees. These are standard concepts in Computational Geometry; see for example [2, Chapters 5 and 8]. We assume that the reader is familiar with the topic. For readers that are not very familiar with point-line duality, Figure 7 in the appendix may be helpful.

We use the following precise point-line duality: the non-vertical line  $\ell \equiv y = mx + c$  is mapped to the point  $\ell^* = (m, -c)$  and vice-versa. Let  $\mathbb L$  be the set of non-vertical lines. Let  $\sigma$  be the line segment st. Let  $\sigma^*$  be the set of points dual to non-vertical lines that intersect  $\sigma$ . Thus

$$\sigma^* = \{l^* \mid \ell \in \mathbb{L}, \ell \cap \sigma \neq \emptyset\}.$$

Since we assumed that s = (0,0) and  $t = (0,\tau)$ , in the dual space  $\sigma^*$  is the horizontal slab

$$\sigma^* = \{ (m, -c) \in \mathbb{R}^2 \mid 0 \leqslant c \leqslant \tau \}.$$

For every point  $p \in \mathbb{R}^2$ , outside the y-axis, let  $L_p^*$  be the set of points dual to the lines through p that intersect  $\sigma$ :

$$L_p^* = \{\ell^* \mid \ell \in \mathbb{L}, p \in \ell, \text{ and } \sigma \cap \ell \neq \emptyset\}.$$

In the dual space,  $L_p^*$  is a segment with endpoints  $(\varphi_1(p), 0)$  and  $(\varphi_2(p), -\tau)$ , for some values  $\varphi_1(p)$  and  $\varphi_2(p)$  that are easily computable. Namely,  $\varphi_1(p)$  is the slope of the line through p and (0,0) while  $\varphi_2(p)$  is the slope of the line through p and  $(0,\tau)$ . The segment  $L_p^*$  is contained in the slab  $\sigma^*$  and has the endpoints on different boundaries of  $\sigma^*$ . Finally, define the mapping  $\varphi(p) = (\varphi_1(p), \varphi_2(p))$ . Thus,  $\varphi$  maps points in the plane with nonzero x-coordinate to points in the plane.

Let a be any point to the left of the y-axis and let b be a point to the right of the y-axis. The segment ab intersects  $\sigma$  if and only if  $L_a^*$  intersects  $L_b^*$ . Namely, an intersection of  $L_a^*$  and  $L_b^*$  is dual to the line through a and b. The segments  $L_a^*$  and  $L_b^*$  intersect if and only if the order of their endpoints on the boundaries of  $\sigma^*$  are reversed. Moreover, since a is to the left of the y-axis and b is to the right of the y-axis, if the segment ab intersects  $\sigma$ , then

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 $\varphi_1(a)$ , the slope of the line through a and (0,0), is smaller than  $\varphi_1(b)$ , the slope of the line through b and (0,0). Thus we have the following property:

$$ab \cap \sigma \neq \emptyset \iff \varphi_1(a) \leqslant \varphi_1(b)$$
 and  $\varphi_2(a) \geqslant \varphi_2(b)$ .

Given a point a to the left of the y axis, the set of points  $b \in B$  with the property that ab intersects  $\sigma$  corresponds to the points b with  $\varphi(b)$  in the bottom-right quadrant with apex  $\varphi(a)$ .

We can use a 2-dimensional range tree to store the point set  $\varphi(B)$ , where each point  $b \in B$  is identified with its image  $\varphi(b)$ . Moreover, for each node v in the secondary level of the range tree, we store a data structure for nearest neighbours for the canonical set P(v) of points that are stored below v in the secondary structure.

For any query  $a \in A$ , the points  $b \in B$  such that ab intersects  $\sigma$  are obtained by querying the 2-dimensional range tree for the points of  $\varphi(B)$  contained in the quadrant

$$\{(x,y) \mid \varphi_1(a) \leqslant x \text{ and } \varphi_2(a) \geqslant y\}.$$

This means that we get the set  $\{b \in B \mid ab \text{ intersects } \sigma\}$  as the union of canonical subsets  $P(v_1), \ldots, P(v_k)$  for  $k = O(\log^2 n)$  nodes in the secondary levels of the 2-dimensional range tree. For each such canonical subset  $P(v_i)$ , we query for the nearest neighbour of a. If for some  $v_i$  we get a nearest neighbour at distance at most 1 from a, then we know that  $\{b \in B \mid ab \text{ intersects } \sigma \text{ and } |ab| \leq 1\}$  is non-empty. Otherwise the set is empty.

The construction time of the 2-dimensional range tree is  $O(n\log n)$ . Each point appears in  $O(\log^2 n)$  canonical subsets P(v). This means that  $\sum_v |P(v)| = O(n\log^2 n)$ , where the sum iterates over all nodes v in the secondary data structure. Since for each node v in the secondary level we build a data structure for nearest neighbours, which takes  $O(|P(v)|\log|P(v)|)$ , the total construction time is  $O(n\log^3 n)$ . For the query time, the standard 2-dimensionsal range tree takes  $O(\log^2 n)$  time to find the  $O(\log^2 n)$  nodes  $v_1, \ldots, v_k$  such that

$$\bigcup_{i=1}^{k} P(v_i) = \{ b \in B \mid ab \text{ intersects } \sigma \},$$

and then we need additional  $O(\log n)$  time per node to query for a nearest neighbor.

Answering the queries for  $\{b \in B \mid ab \text{ does not intersect } \sigma \text{ and } |ab| \leq 1\}$  is done similarly (and the same data structure works), we just have to query for 2 of the other quadrants. (The top-left quadrant of  $\phi(a)$  is always empty.)

Inside the data structure of Lemma 2 we are using a data structure for nearest neighbours with construction time  $O(n \log n)$  and query time  $O(\log n)$ . If we would use another data structure for nearest neighbours with construction time  $T_c(n)$  and query time  $T_q(n)$ , then the construction time in Lemma 2 becomes  $O(T_c(n \log^2 n))$  and the query time is  $O(T_q(n) \cdot \log^2 n)$ .

From the theoretical perspective is would be more efficient to compute the union

$$\bigcup_{b \in B} \{(x, y) \in \mathbb{R}^2 \mid x < 0, \ |(x, y)b| \leqslant 1, \ (x, y) \text{ intersects } \sigma\}$$

and make point location there. Since the regions cannot have many crossings, good asymptotic bounds can be obtained. However, such approach seems to be only of theoretical interest and the improvement on the overall result is rather marginal.

Consider now a fixed root r. Assume that we have computed the shortest path tree  $T_r$  and the corresponding tables  $\pi[\ ]$ ,  $dist[\ ]$  and  $N[\ ]$ , as discussed in Section 2.2.1. We group the points by their distance from r:

$$W_i = \{ p \in P \mid dist[p] = i \}, \quad i = 0, 1, \dots$$

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A standard property of BFS trees, that also holds here, is that all the distances from the root for any two adjacent vertices differ by at most 1. That is, the neighbours of a point  $p \in P$  in G are contained in  $W_{dist[p]-1} \cup W_{dist[p]} \cup W_{dist[p]+1}$ . We will exploit this property. We make groups  $L_i^j$  and  $R_i^j$  (where L stands for left and R for right) defined by

```
\begin{array}{lll} L_i^j &=& \{p \in P \mid \mathit{dist}[p] = i, \ p.x < 0, \ N[p] = j\}, & \text{where } j = 0,1 \text{ and } i = 0,1,\dots \\ R_i^j &=& \{p \in P \mid \mathit{dist}[p] = i, \ p.x > 0, \ N[p] = j\}, & \text{where } j = 0,1 \text{ and } i = 0,1,\dots \end{array}
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We are interested in edges pq of G such that  $N[p] + N[q] + \operatorname{cr}_2(st, pq) = 1 \pmod{2}$ . Up to symmetry (exchanging p and q), this is equivalent to pairs of points (p,q) in one of the following two cases:

- for some  $i \in \mathbb{N}$  and some  $j \in \{0,1\}$ , we have  $p \in L_i^j \cup R_i^j$ ,  $q \in L_i^{1-j} \cup R_i^{1-j} \cup L_{i-1}^{1-j} \cup R_{i-1}^{1-j}$ ,  $|pq| \leq 1$ , and pq does not cross st;
- for some  $i \in \mathbb{N}$  and some  $j \in \{0,1\}$ , we have  $p \in L_i^j \cup R_i^j$ ,  $q \in L_i^j \cup R_i^j \cup L_{i-1}^j \cup R_{i-1}^j$ ,  $|pq| \leq 1$ , and pq crosses st.

Each one of these cases can be solved efficiently. Up to symmetry, we have the following cases:

- If we want to search the candidates  $(p,q) \in L_i^j \times L_{i'}^{1-j}$  (that cannot cross st since they are on the same side of the y-axis), we first preprocess  $L_{i'}^{1-j}$  for nearest neighbours. Then, for each point p in  $L_i^j$ , we query the data structure to find its nearest neighbour  $q_p$  in  $L_i^j$ . If for some p we get that  $|pq_p| \leq 1$ , then we have obtained an edge  $pq_p$  of G with  $\operatorname{cr}_2(cycle(T_r,pq_p))=1$  and  $\operatorname{dist}[p]+\operatorname{dist}[q_p]+1=i+i'+1$ . If for each p we have  $|pq_p|>1$ , then  $L_i^j \times L_{i'}^{1-j}$  does not contain any edge of G The overall running time, if  $m=|L_i^j|+|L_{i'}^{1-j}|$ , is  $O(m\log m)$ .
- If we want to search the candidates  $(p,q) \in L_i^j \times R_{i'}^j$  such that pq crosses st, we first preprocess  $R_{i'}^{1-j}$  as discussed in Lemma 2 into a data structure. Then, for each point  $p \in L_i^j$  we query the data structure (for crossing st). If we get some nonempty set, then there is an edge pq of G with  $p \in L_i^j$ ,  $q \in R_{i'}^j$ ,  $\operatorname{cr}_2(\operatorname{cycle}(T_r,pq)) = 1$  and  $\operatorname{dist}[p] + \operatorname{dist}[q] + 1 = i + i' + 1$ . Otherwise, there is no edge  $pq \in L_i^j \times R_{i'}^j$  that crosses st. The overall running time, if  $m = |L_i^j| + |R_{i'}^j|$ , is  $O(m \log^3 m)$ .
- If we want to search the candidates  $(p,q) \in L_i^j \times R_{i'}^{1-j}$  such that pq does not cross st, we first preprocess  $R_{i'}^{1-j}$  as in Lemma 2 into a data structure. Then, for each point  $p \in L_i^j$  we query the data structure (for not crossing st). The remaining discussion is like in the previous item.

We conclude that each of the cases can be done in  $O(m \log^3 m)$  worst-case time, where m is the number of points involved in the case. Iterating over all possible values i, it is now easy to convert this into an algorithm that spends  $O(n \log^3 n)$  time per root r. We summarize the result we have obtained. This improves for the case of unit disks the previous, generic algorithm.

▶ **Theorem 3.** The minimum-separation problem for n unit disks can be solved in  $O(n^2 \log^3 n)$  time.

**Proof.** Let P be the centers of the disks and, as before, consider the graph  $G = G_{\leq 1}(P)$ . For each root  $r \in P$  we build the shortest-path tree and the sets  $W_i, L_i^0, L_i^1, R_i^0, L_i^1$  for all i in  $O(n \log n)$  time. We then have at most n iterations where, in iteration i we spend  $O(|W_i \cup W_{i-1}| \log^3 |W_i \cup W_{i-1}|)$  time. Since the sets  $W_i$  are disjoint, adding over i, this means that we spend  $O(n \log^3 n)$  time per root  $r \in P$ .

Correctness follows from the foregoing discussion and the fact that the algorithm is computing the same as the generic algorithm.

```
/\!\!/ Work for root r \in P
       (dist[], \pi[]) = shortest path tree from r in G_{\leq 1}(P)
  1
 2
       Compute the levels W_0, W_1, \ldots
 3
       for i = 0 \dots n
 4
               Compute N[p] for each p in W_i
               Compute L_i^0, L_i^1, R_i^0, R_i^1
 5
 6
       i = 1
       while 2i < best and W_i \neq \emptyset
 7
               /\!\!/ within each side of the y-axis
               search candidates in
 8
               L_i^0\times L_{i-1}^1,\,L_i^1\times L_{i-1}^0,\,R_i^0\times R_{i-1}^1,\,R_i^1\times R_{i-1}^0,\,L_i^0\times L_i^1\text{ and }R_i^0\times R_i^1 #\text{across $y$-axis crosing $\sigma$
               search candidates crossing \sigma in
 9
                    L_i^0 \times R_{i-1}^0, \ L_i^1 \times R_{i-1}^1, \ L_{i-1}^0 \times R_i^0, \ L_{i-1}^1 \times R_i^1, \ L_i^0 \times R_i^0 \ \text{and} \ L_i^1 \times R_i^1
               /\!\!/ across y-axis not crosing \sigma
               search candidates not crossing \sigma in
10
                    L_i^0 \times R_{i-1}^1, \ L_i^1 \times R_{i-1}^0, \ L_{i-1}^1 \times R_i^0, \ L_{i-1}^0 \times R_i^1, \ L_i^0 \times R_i^1 \ \text{and} \ L_i^1 \times R_i^0
11
```

Figure 4 Work for each vertex in the new algorithm for minimum separation with unit disks.

The work for each root is described schematically in Figure 4. A slightly more precise version of the algorithm is given as Separation Unit Disks Compact in Appendix A.1. As before, the variable best stores the length of the shortest cycle (or actually rooted closed walk) that we have found so far. We can start setting best = n + 1 at start. If eventually we finish with the value best = n + 1, it means that there is no feasible solution for the separation problem. When we consider a root r we are interested in closed walks rooted at r and length at most best. Since any closed walk through a vertex of  $W_i$  has length at least 2i, we only need to consider indices i such that 2i < best. Moreover (and this is not described in the algorithm, but it is done in the implementation), we can consider first the pairs that give walks for length 2i first, like for example  $L_i^0 \times L_{i-1}^1$  and then the ones that give length 2i + 1, like for example  $L_i^0 \times L_i^1$ . If we use this order, as soon as we find an edge in the while-loop, we can finish the work for the root r, and move onto the next root.

#### 3 Implementation and experiments

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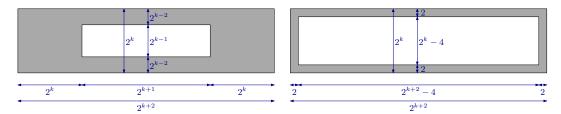
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We have implemented the algorithms of Section 2 in C++ using CGAL version 4.6.3 [19] because it provides the more complex procedures we need: Delaunay triangulations and Voronoi diagrams [10], range trees [16], and nearest neighboours [18]. Although in some cases we had to make small modifications, it was very helpful to have the CGAL code available as a starting point. The coordinates of the points were Cartesian doubles.

Experiments were carried out in a laptop with CPU i5-5200U at 2.20 Ghz, 8GB of RAM, and Windows 10. All times we report are in seconds.

**Data generation.** Data points were generated uniformly at random in the following polygonal domains: rectangles without holes, rectangles with a "small" rectangular hole,



**Figure 5** Data generation with a small hole (left) and a large hole (right).

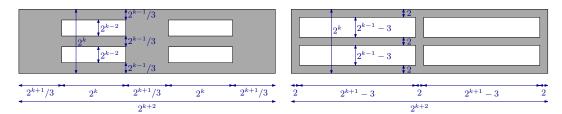


Figure 6 Data generation with four small holes (right) and four large holes (right).

rectangles with a "large" rectangular hole, rectangles with 4 "small" rectangular holes, and rectangles with 4 "large" rectangular holes. The precise proportions of the domains with holes are in Figures 5 and 6. We generated 1K, 2K, 5K, 10K, 20K and 50K points for the cases where the outer rectangle has sizes  $4\times1, 8\times2,\ldots,128\times32$ . The data was generated once and stored. For the minimum-separation problem s was placed in the middle of a hole and t vertically above s in the outer face. Some of these domains are not meaningful for the minimum-separation problem because the disks centered at the points cover s.

Shortest-path tree in unit-disk graphs. We have implemented the algorithm described in Section 2.1. For the shortest-path tree we used the Delaunay triangulation as provided by CGAL. The data structure for nearest neighbour queries is a small extension of the one provided by [10]. In the tables we refer to this algorithm as SSSP.

We compared the implementation with two obvious alternative algorithms to compute shortest-path trees. The first alternative is to build the graph  $G = G_{\leq 1}(P)$  explicitly. Thus, for each pair of points p,q we check whether their distance is at most one and add an edge to a graph data structure. We can then use breadth-first-search (BFS) from the given root r. The preprocessing is quadratic, and the time spent to compute a shortest-path tree depends on the density of the graph G. In the tables we refer to this algorithm as BFS.

The second alternative we consider is to use a unit-length grid. Two points (x,y) and (x',y') are in the same grid cell if and only if  $(\lfloor x \rfloor, \lfloor y \rfloor) = (\lfloor x' \rfloor, \lfloor y' \rfloor)$ . We store all the points of a grid cell c in a list  $\ell(c)$ . The non-empty lists  $\ell(c)$  are stored in a dictionary, where the bottom-left corner of the cell is used as key. We can then run some sort of BFS using this structure. The list  $\ell(c)$  for a cell c maintains the points that have not been visited by the BFS tree yet. When processing a point p in a cell c, we have to treat all the points in the lists of c and its 8 adjacent cells as candidate points. Any point that is adjacent to p is then removed from the list of its cell. The preprocessing is linear, and the time spent to compute a shortest-path tree depends on the distribution of the points. It is easy to produce cases where the algorithm would need quadratic time. For each shortest-path tree we compute the lists and the dictionary anew. (This step is very fast in any case.) In the tables we refer to this algorithm as grid.

The measured times are in Tables 1–4. For SSSP and BFS we report the preprocessing

Rectangle without holes	20K points						
size rectangle	$4 \times 1$	$8 \times 2$	$16 \times 4$	$32 \times 8$	$64 \times 16$	$128\times32$	
SSSP preprocessing	0.025	0.027	0.025	0.025	0.025	0.026	
SSSP average/root	0.131	0.130	0.127	0.126	0.129	0.136	
BFS preprocessing	25.057	20.433	17.773	17.734	17.347	17.179	
BFS average/root	3.406	1.359	0.404	0.088	0.025	0.009	
grid	1.605	1.647	0.695	0.227	0.089	0.053	
			50K poi	ints			
SSSP preprocessing	0.091	0.091	0.070	0.071	0.070	0.069	
SSSP average/root	0.592	0.562	0.375	0.377	0.372	0.366	
BFS preprocessing	>3min	159.812	144.965	140.404	131.854	132.475	
BFS average/root	memory limit	9.378	2.789	0.584	0.144	0.044	
grid	11.567	13.660	4.592	1.346	0.432	0.187	

**Table 1** Times for shortest paths in rectangles without holes.

Rectangle 1 small hole			10K	points		
size rectangle	$4 \times 1$	$8 \times 2$	$16 \times 4$	$32 \times 8$	$64 \times 16$	$128\times32$
SSSP preprocessing	0.021	0.012	0.012	0.012	0.012	0.012
SSSP average/root	0.104	0.059	0.060	0.061	0.064	0.070
BFS preprocessing	8.500	4.300	4.100	4.100	4.000	4.000
BFS average/root	1.183	0.318	0.091	0.026	0.008	0.003
grid	0.486	0.513	0.168	0.072	0.035	0.026
			20K	points		
SSSP preprocessing	0.027	0.026	0.025	0.025	0.025	0.025
SSSP average/root	0.142	0.137	0.136	0.136	0.138	0.145
BFS preprocessing	24.813	19.817	18.396	17.976	17.542	17.313
BFS average/root	3.253	1.328	0.467	0.108	0.031	0.011
grid	2.181	2.627	0.668	0.262	0.104	0.060

**Table 2** Times for shortest paths in rectangles with a small hole.

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time that is independent of the source (like building the Delaunay triangulation or building the graph) and the average time spent for a shortest-path tree over 50 choices of the root. For grid we just report the total running time; assigning points to the grid cells and putting them into a dictionary is almost negligible. As it can be seen, the results for sssp are very much independent of the shape and, for dense point sets it outperforms the other algorithms.

While the algorithm SSSP has guarantees in the worst case, for BFS and grid one can construct instances where the behavior will be substantially bad. For example, to the instance with 10K points in a rectangle of size  $32 \times 8$  with a small hole we added 1K points quite cluttered. The increase in time with respect to the original instance was for SSSP 9,7% (preprocessing) and 13,6% (one root), for BFS it was 21,9% (preprocessing) and 56,5% (one root), and for grid it was 25%.

Minimum separation with unit-disk. We have implemented the algorithm GENER-ICMINIMUMSEPARATION and the new algorithm based on a compact treatment of the edges. The shortest-path trees are constructed using the algorithm of Section 2.1. The table  $N[\ ]$  and the sets  $L_i^0, L_i^1, R_i^0, R_i^1$  are constructed at the time of computing the shortest-path tree.

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Rectangle 4 small holes	10K points			20K points		
size rectangle	$32 \times 8$	$64 \times 16$	$128\times32$	$32 \times 8$	$64 \times 16$	$128\times32$
SSSP preprocessing	0.021	0.018	0.018	0.027	0.026	0.026
SSSP average/root	0.056	0.058	0.064	0.125	0.126	0.131
BFS preprocessing	6.291	6.102	6.364	18.325	17.887	17.256
BFS average/root	0.033	0.010	0.004	0.102	0.031	0.010
grid	0.064	0.031	0.023	0.230	0.096	0.055

**Table 3** Times for shortest paths in rectangles with 4 small holes.

Rectangle 4 large holes	5K points			10K points		
size rectangle	$32 \times 8$	$64 \times 16$	$128\times32$	$32 \times 8$	$64 \times 16$	$128\times32$
SSSP preprocessing	0.007	0.009	0.009	0.012	0.018	0.019
SSSP average/root	0.027	0.028	0.026	0.057	0.054	0.053
BFS preprocessing	1.420	1.420	1.390	5.740	5.660	5.720
BFS average/root	0.007	0.003	0.002	0.028	0.013	0.007
grid	0.019	0.013	0.010	0.060	0.038	0.027

**Table 4** Times for shortest paths in rectangles with 4 large holes.

In the data structure of Lemma 2, we do use a 2-dimensional tree as the primary structure, making some modifications of [16]. In the secondary structure, for nearest neighbour, instead of using Voronoi diagrams, we used a small modification of the kd-trees implemented in [18]. In some preliminary experiments this seemed to be a better choice. In our modification, we make a range search query for points at distance at most 1, and finish the search whenever we get the first point. In the new algorithm, before calling to the function to candidates pairs, like for example  $L_i^0 \times R_1^i$ , we test that both sets are non-empty. This simple test reduced the time by 30-50% in our test cases.

Besides the new algorithm we also implemented the generic algorithm of Section 2.2.1. The measured times are in Tables 5–6. For the case of 4 holes we always put t above the rectangle and s in one hole. We also constructed an instance with the rectangle of size  $32 \times 8$ , one small hole, the original 2K points, and 1K extra points quite cluttered around the portion of st in the domain. The generic algorithm took 427 seconds and the new algorithm took 259 seconds.

Rectangle 1 small hole		2K		
size rectangle	$8 \times 2$	$16 \times 4$	$32 \times 8$	$64 \times 16$
new separation algorithm	65	64	53	38
generic algorithm	215	87	43	29

**Table 5** Times for minimum separation with 1 hole.

Rectangle 4 holes	2K points, small holes			5K points, large holes		
size rectangle	$32 \times 8$	$64 \times 16$	$128\times32$	$32 \times 8$	$64 \times 16$	$128\times32$
new separation algorithm	29	35	35	413	451	388
generic algorithm	80	40	30	416	266	206

**Table 6** Times for minimum separation with 4 holes.

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## A Appendix

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#### A.1 Additional material

▶ Lemma 4. Let  $W^*$  be a shortest cycle in G that crosses the segment st an odd number of times and let  $r^*$  be any vertex in  $W^*$ . Fix a shortest-path tree  $T_{r^*}$  from  $r^*$  in G. Then, the set of cycles  $\{cycle(T_{r^*},e) \mid e \in E(G) \setminus E(T_{r^*})\}$  contains a shortest cycle of G that crosses st an odd number of times.

Proof. The proof is a standard consequence of the 3-path condition and very similar to that given in [3]. We spell it out.

For any points p and q of P, let  $T_{r^*}[p \to q]$  be the unique path contained in  $T_{r^*}$  from p to q. For every edge pq of G, let  $walk(T_{r^*}, pq)$  be the closed walk that follows  $T_{r^*}[r^* \to p]$ , then the edge pq, and finally  $T_{r^*}[q \to r^*]$ . We then have the following relation modulo 2:

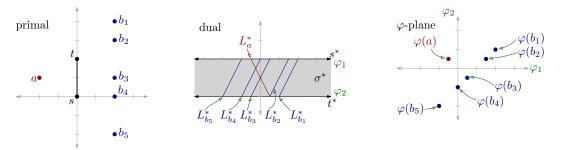
$$\begin{split} \sum_{pq \in W^*} \mathrm{cr}_2(st, walk(T_{r^*}, pq)) \; &= \; \sum_{pq \in W^*} \left( \mathrm{cr}_2(st, T_{r^*}[r^* \to p]) + \mathrm{cr}_2(st, pq) + \mathrm{cr}_2(st, T_{r^*}[q \to r^*]) \right) \\ &= \; \sum_{pq \in W^*} \mathrm{cr}_2(st, pq) \\ &= \; \mathrm{cr}_2(st, W^*) \\ &= \; 1 \end{split}$$

In the second equality we have used that each path  $T_{r^*}[r^* \to p]$  and its reverse  $T_{r^*}[p \to r^*]$  appears an even number of times in the sum, and thus cancel out modulo 2. Parity implies that, for some edge  $p_0q_0$  of  $W^*$ , we have  $\operatorname{cr}_2(st, walk(T_{r^*}, p_0q_0)) = 1$ . It must be that  $p_0q_0 \notin E(T_{r^*})$  because for each edge  $p_0$  of  $T_{r^*}$  it holds  $\operatorname{cr}_2(st, walk(T_{r^*}, p_0q)) = 0$ .

Since  $\operatorname{cr}_2(st, walk(T_{r^*}, p_0q_0)) = \operatorname{cr}_2(st, cycle(T_{r^*}, p_0q_0))$  because the path from  $r^*$  to the lowest common ancestor of p and q in  $T_{r^*}$  is counted twice on the left side of the equality, we have  $\operatorname{cr}_2(st, cycle(T_{r^*}, p_0q_0)) = 1$ .

Since  $r^*$  is a vertex of  $W^*$  and  $p_0q_0$  is an edge of  $W^*$ , the length of  $W^*$  is at least the length of  $T_{r^*}[r^* \to p_0]$  plus 1, for the edge  $p_0q_0$ , plus the length of  $T_{r^*}[q_0 \to r^*]$ . However, this second part is exactly the length of  $walk(T_{r^*}, p_0q_0)$ , which is at least the length of  $cycle(T_{r^*}, p_0q_0)$ .

We have shown that, for some edge  $p_0q_0 \in E(G) \setminus E(T_{r^*})$ , the cycle  $cycle(T_{r^*}, p_0q_0)$  is not longer than  $W^*$  and crosses st an odd number of times. The result follows.



**Figure 7** Transformation in the proof of Lemma 2.

```
SEPARATIONUNITDISKSCOMPACT(P, s, t)
      best = n + 1 // length of the best separation so far
 1
 2
      for r \in P
 3
             (dist[], \pi[]) = shortest path tree from r in G_{\leq 1}(P)
             /\!\!/ Compute the levels W_i
 4
             for i = 0 \dots n
 5
                    W_i = \text{new empty list}
 6
             for p \in P
 7
                    add p to W_{dist[p]}
             // Compute N[] for the elements of W_i and
             /\!\!/ and construct L_i^0, L_i^1, R_i^0, R_i^1
             N[r] = 0
 8
             for i = 1 \dots n
 9
10
                    for p \in W_i
                           N[p] = N[\pi[p]] + \operatorname{cr}_2(st, p\pi[p]) \pmod{2}
11
                           if p to the left of the y-axis
12
                                  add p to L_i^{N[p]}
13
14
                           if p to the right of the y-axis
                                  add p to R_i^{N[p]}
15
16
             i = 1
17
             while 2i < best and W_i \neq \emptyset
                    /\!\!/ length 2i; within each side of the y-axis
                    search candidates in L_i^0 \times L_{i-1}^1
18
                    search candidates in L_i^1 \times L_{i-1}^0
19
                    search candidates in R_i^0 \times R_{i-1}^1
20
21
                    search candidates in R_i^1 \times R_{i-1}^0
                    # length 2i; across y-axis crosing \sigma
                    search candidates in L_i^0 \times R_{i-1}^0 crossing \sigma
22
                    search candidates in L_i^1 \times R_{i-1}^1 crossing \sigma
23
                    search candidates in L_{i-1}^0 \times R_i^0 crossing \sigma
24
                    search candidates in L^1_{i-1}\times R^1_i crossing \sigma
25
                    # length 2i; across y-axis not crosing \sigma
                    search candidates in L^0_i \times R^1_{i-1} not crossing \sigma
26
                    search candidates in L^1_i \times R^0_{i-1} not crossing \sigma search candidates in L^0_{i-1} \times R^1_i not crossing \sigma search candidates in L^0_{i-1} \times R^1_i not crossing \sigma search candidates in L^1_{i-1} \times R^0_i not crossing \sigma
27
28
29
                    /\!\!/ length 2i + 1; within each side of the y-axis
30
                    search candidates in L_i^0 \times L_i^1
                    search candidates in R_i^0 \times R_i^1
31
                    // length 2i + 1; across y-axis crosing \sigma
                    search candidates in L_i^0 \times R_i^0 crossing \sigma
32
                    search candidates in L_i^1 \times R_i^1 crossing \sigma
33
                    # length 2i + 1; across y-axis not crosing \sigma
                    search candidates in L_i^0 \times R_i^1 not crossing \sigma search candidates in L_i^1 \times R_i^0 not crossing \sigma
34
35
36
37
      return best
```

Rectangle 1 large hole	5K points			10K points		
size rectangle	$32 \times 8$	$64 \times 16$	$128\times32$	$32 \times 8$	$64 \times 16$	$128\times32$
SSSP preprocessing	0.006	0.006	0.006	0.012	0.013	0.014
SSSP average/root	0.027	0.027	0.027	0.057	0.055	0.054
BFS preprocessing	1.010	0.994	0.983	4.043	4.070	4.105
BFS average/root	0.008	0.004	0.002	0.031	0.015	0.008
grid	0.043	0.021	0.017	0.573	0.541	0.172

**Table 7** Times for shortest paths in rectangles with a large hole.