

# Two Optimization Problems for Unit Disks\*

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## Abstract

We present an implementation of a recent algorithm to compute shortest-path trees in unit disk graphs in  $O(n \log n)$  worst-case time, where  $n$  is the number of disks.

In the minimum-separation problem, we are given  $n$  unit disks and two points  $s$  and  $t$ , not contained in any of the disks, and we want to compute the minimum number of disks one needs to retain so that any curve connecting  $s$  to  $t$  intersects some of the retained disks. We present a new algorithm solving this problem in  $O(n^2 \log^3 n)$  worst-case time and its implementation.

## 1 Introduction

In this paper we consider two geometric optimization problems in the plane where unit disks play a prominent role. For both problems we discuss efficient algorithms to solve them, provide an implementation of these algorithms, and present experimental results on the implementation.

The first problem we consider is computing a *shortest-path tree* in the (unweighted) intersection graph of unit disks. The input to the problem is a set  $\mathcal{D}$  of  $n$  disks of the same size, each disk represented by its center. The corresponding unit disk (intersection) graph has a vertex for each disk, and an edge connecting two disks  $D$  and  $D'$  of  $\mathcal{D}$  whenever  $D$  and  $D'$  intersect. An alternative, more convenient point of view, is to take as vertex set the set of centers of the disks, denoted by  $P$ , and connecting two points  $p$  and  $q$  of  $P$  whenever the Euclidean length  $|pq|$  is at most the diameter of a disk. The graph is unweighted. Given a root  $r \in P$ , the task is to compute a shortest-path tree from  $r$  in this graph. See Figure 1.

The second problem we consider is the *minimum-separation problem*. The input is a set  $\mathcal{D}$  of  $n$  unit disks in the plane and two points  $s$  and  $t$  not covered by any disks of  $\mathcal{D}$ . We say that  $\mathcal{D}$  *separates*  $s$  and  $t$  if each curve in the plane from  $s$  to  $t$  intersects some disk of  $\mathcal{D}$ . The task is to find the minimum cardinality subset of  $\mathcal{D}$  that separates  $s$  and  $t$ . See the left of Figure 1 for an example of an instance. Formally, we want to solve

$$\begin{aligned} \min \quad & |\mathcal{D}'| \\ \text{s.t.} \quad & \mathcal{D}' \subseteq \mathcal{D} \text{ and } \mathcal{D}' \text{ separates } s \text{ and } t. \end{aligned}$$

Unit disks are the most standard model used for wireless sensor networks; see for example [8, 11, 22]. Often the model is referred as UDG. This model provides an appropriate trade off between simplicity and accuracy. Other models are more accurate, as for example discussed in [14, 16], but obtaining efficient algorithms for them is much more difficult.

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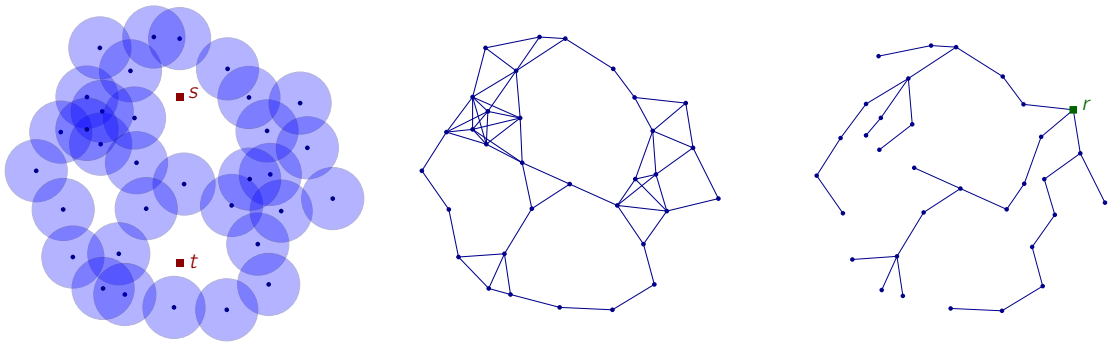


Figure 1: Left: unit disks and two additional points  $s$  and  $t$ . Middle: intersection graph of the disks. Right: a shortest-path tree in the graph.

While unit disks give a simple model, exploiting the geometric features of the model is often challenging. Shortest paths in unit disk graphs are essential for routing and are a basic subroutine for several other more complex tasks. A somehow unexpected application of shortest paths in unit-disk graphs to boundary recognition is given in [21]. The minimum-separation problem and variants thereof have been considered in [2, 9]. The problem is dual to the barrier-resilience problem considered in [1, 13, 15]. It is not obvious that the minimum-separation problem can be solved optimally in polynomial time, and the known algorithm for this uses as a subroutine shortest paths in unit disk graphs. Thus, both problems considered in this paper are related and it is worth to consider them together.

**Our contribution** We are aware of three algorithms to compute shortest-path trees in unit disk graphs in  $O(n \log n)$  worst-case time: one by Cabello and Jejčič [3], one by Chan and Skrepetos [5], and one Efrat, Itai and Katz [7]. Here we report on an implementation of a modification of the algorithm in [3], and compare it against two obvious alternatives. The only complex ingredients in the algorithm is computing the Delaunay triangulation and static nearest-neighbour queries, but efficient libraries are available for this. The algorithm of [7] would be substantially harder to implement and it has worse constants hidden in the  $O$ -notation. The algorithm of [5] for single source shortest paths is implementable and we expect that it would work good in practice. However, this last algorithm has been published only very recently, when we had completed our research.

As mentioned before, it is not obvious that the minimum-separation problem can be solved in polynomial time. In particular, the conference version [10] of [9] gave 2-approximation algorithm for the problem. Cabello and Giannopoulos [2] provide an exact algorithm that takes  $O(n^3)$  worst-case time and works for arbitrary shapes, not just disks. In this paper we improve this last algorithm to near-quadratic time for the special case of unit disks. The basic principle of the algorithm is the same, but several additional tools from Computational Geometry exploiting that we have unit disks have to be employed to reduce the worst-case running time. Furthermore, we implement a variant of the new, near-quadratic-time algorithm and report on the experiments.

**Assumptions** We will assume that *unit disk* means that it has radius  $1/2$ . Up to scaling the input data, this choice is irrelevant. However, it is convenient for the exposition because then the disks intersect whenever the distance between their centers is 1. The implementation and the experiments also make this assumption.

Henceforth  $P$  will be the set of centers of  $\mathcal{D}$ . All the computation will be concentrated on  $P$ . In particular, we assume that  $P$  is known. (For the shortest path problem, one could possibly consider weaker models based on adjacencies.)

We will work with the graph  $G_{\leq 1}(P)$  with vertex set  $P$  and an edge between two points  $p, q \in P$  whenever their Euclidean distance  $|pq|$  is at most 1. In the notation we remove the dependency on  $P$  and on the distance. Thus we just use  $G$  instead of  $G_{\leq 1}(P)$ . For simplicity of the theoretical exposition we will sometimes assume that  $G$  is connected. It is trivial to adapt to the general case, for example treating each connected component separately. The implementation does not make this assumption.

**Organization of the paper** In Section 2 we discuss the theoretical algorithms for both problems and their guarantees. In Section 3 we discuss the implementations and the experimental results.

## 2 Description of algorithms

### 2.1 Shortest-path tree in unit-disk graphs

We describe here the algorithm of Cabello and Jeřčič [3] to compute a shortest path tree in  $G$  from a given root point  $r \in P$ . As it is usually done for shortest path algorithms, we use tables  $dist[\cdot]$  and  $\pi[\cdot]$  indexed by the points of  $P$  to record, for each point  $p \in P$ , the distance  $d_G(s, p)$  and the ancestor of  $p$  in a shortest  $(s, p)$ -path.

The pseudocode of the algorithm, which we call UNWEIGHTEDSHORTESTPATH, is in Figure 2. We explain the intuition, taken almost verbatim from [3]. We start by computing the Delaunay triangulation  $DT(P)$  of  $P$ . We then proceed in rounds for increasing values of  $i$ , where at round  $i$  we find the set  $W_i$  of points at distance exactly  $i$  in  $G$  from the source  $r$ . We start with  $W_0 = \{r\}$ . At round  $i$ , we use  $DT(P)$  to grow a neighbourhood around the points of  $W_{i-1}$  that contains  $W_i$ . More precisely, we consider the points adjacent to  $W_{i-1}$  in  $DT(P)$  as candidate points for  $W_i$ . For each candidate point that is found to lie in  $W_i$ , we also take its adjacent vertices in  $DT(P)$  as new candidates to be included in  $W_i$ . For checking whether a candidate point  $p$  lies in  $W_i$  we use a data structure to find a nearest neighbour of  $p$  in  $W_{i-1}$ . If the distance from  $p$  to its nearest neighbour  $w$  in  $W_{i-1}$  is smaller than 1, then the shortest path tree is extended by connecting  $p$  to  $w$ .

Cabello and Jeřčič [3] show that the algorithm correctly computes the shortest-path tree from  $r$ . If for nearest neighbors we use a data structure that, for  $n$  points, has construction time  $T_c(n)$  and query time  $T_q(n)$ , and the Delaunay triangulation is computed in  $T_{DT}(n)$  time, then the algorithm takes  $O(T_{DT}(n) + T_c(n) + nT_q(n))$  time. Standard tools in Computational Geometry imply that  $T_{DT}(n) = O(n \log n)$ ,  $T_c(n) = O(n \log n)$  and  $T_q(n) = O(\log n)$ . This leads to the following.

**Theorem 1** (Cabello and Jeřčič [3]). *Let  $P$  be a set of  $n$  points in the plane and let  $r$  be a point from  $P$ . In time  $O(n \log n)$  we can compute a shortest path tree from  $r$  in the unweighted graph  $G_{\leq 1}(P)$ .*

It is clear that, when computing the shortest path tree from several sources, we only need to compute the Delaunay triangulation once.

### 2.2 Minimum separation with unit-disk

Cabello and Giannopoulos [2] present an algorithm for the minimum separation problem that in the worst-case runs in cubic-time. The algorithm has one feature that is both an

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UNWEIGHTEDSHORTESTPATH( $P, r$ )
1  build the Delaunay triangulation  $DT(P)$ 
2  for  $p \in P$ 
3       $dist[p] = \infty$ 
4       $\pi[p] = \text{NIL}$ 
5   $dist[r] = 0$ 
6   $W_0 = \{r\}$ 
7   $i = 1$ 
8  while  $W_{i-1} \neq \emptyset$ 
9      build data structure for nearest neighbour queries in  $W_{i-1}$ 
10      $Q = W_{i-1}$  // candidate points
11      $W_i = \emptyset$ 
12     while  $Q \neq \emptyset$ 
13          $q$  an arbitrary point of  $Q$ 
14         remove  $q$  from  $Q$ 
15         for  $qp$  edge in  $DT(P)$ 
16             if  $dist[p] = \infty$ 
17                  $w =$  nearest neighbour of  $p$  in  $W_{i-1}$ 
18                 if  $|pw| \leq 1$ 
19                      $dist[p] = i$ 
20                      $\pi[p] = w$ 
21                     add  $p$  to  $Q$ 
22                     add  $p$  to  $W_i$ 
23      $i = i + 1$ 
24 return  $dist[\cdot]$  and  $\pi[\cdot]$ 

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Figure 2: Algorithm from [3] to compute a shortest path tree in the unweighted case.

106 advantage and a disadvantage: it works for any reasonable shapes, like segments or ellipses,  
107 and not just unit disks. This means that it is very generic, which is good, but it cannot  
108 exploit any properties of unit disks.

109 In this section we are going to describe an algorithm to solve the minimum separation  
110 problem *for unit disks* in roughly quadratic time. The improvement is based on 3 ingredients.  
111 The first ingredient is a reinterpretation of the algorithm of [2] for disks. In the original  
112 algorithm, we had to select a point inside each shape. For disks there is a natural, obvious  
113 choice, the center of the disk. This allows for a simpler description and interpretation of  
114 the algorithm. We provide the description in Section 2.2.1

115 The second ingredient is the efficient algorithm for shortest-path trees for the graph  
116  $G$ . The third ingredient is a compact treatment of the edges of  $G$  using a few tools from  
117 Computational Geometry, namely range trees, point-line duality, and nearest-neighbour  
118 searches. This is explained in Section 2.2.2.

### 119 2.2.1 Generic algorithm specialized for unit disks

120 Let us first introduce some notation. Recall that  $s$  and  $t$  are the two points to separate.  
121 Each walk  $W$  in the graph  $G = G_{\leq 1}(P)$  defines a planar polygonal curve in the obvious

way: we connect the points of  $P$  with segments in the order given by  $W$ . We will relax the notation slightly and denote also by  $W$  the curve itself. For any spanning tree  $T$  of  $G$  and any edge  $e \in E(G) \setminus E(T)$ , let  $\text{cycle}(T, e)$  be the unique cycle in  $T + e$ . Finally, for any walk in  $G(P)$ , let  $\text{cr}_2(st, W)$  be the modulo 2 value of the number of crossings between the segment  $st$  and (the curve defined by)  $W$ . The following property is implicit in [2] and explicit in [4]:

Let  $T$  be any spanning tree of  $G$ . The set of unit disks with centers in  $P$  separate  $s$  and  $t$  if and only if there exists some edge  $e \in E(G) \setminus E(T)$  such that  $\text{cr}_2(st, \text{cycle}(T, e)) = 1$ .

A consequence of this is that finding a minimum separation amounts to finding a shortest cycle in  $G$  that crosses the segment  $st$  an odd number of times. Moreover, one can show that we can restrict our search to a very concrete family cycles, as follows. Consider any optimal cycle  $W^*$  and let  $r^*$  be any vertex in  $W^*$ . Fix a shortest-path tree  $T_{r^*}$  from  $r^*$  in  $G$ . When there are many, the choice of  $T_{r^*}$  is irrelevant. Then, the set of cycles

$$\{\text{cycle}(T_{r^*}, e) \mid e \in E(G) \setminus E(T_{r^*})\}$$

contains an optimal solution. This follows from the co-called 3-path condition. We include here the key property that implies this claim and spell out a self-contained proof. See [2] for very similar ideas.

**Lemma 2.** *Let  $W^*$  be a shortest cycle in  $G$  that crosses the segment  $st$  an odd number of times and let  $r^*$  be any vertex in  $W^*$ . Fix a shortest-path tree  $T_{r^*}$  from  $r^*$  in  $G$ . Then, the set of cycles  $\{\text{cycle}(T_{r^*}, e) \mid e \in E(G) \setminus E(T_{r^*})\}$  contains a shortest cycle of  $G$  that crosses  $st$  an odd number of times.*

*Proof.* For any points  $p$  and  $q$  of  $P$ , let  $T_{r^*}[p \rightarrow q]$  be the unique path contained in  $T_{r^*}$  from  $p$  to  $q$ . For every edge  $pq$  of  $G$ , let  $\text{walk}(T_{r^*}, pq)$  be the closed walk that follows  $T_{r^*}[r^* \rightarrow p]$ , then the edge  $pq$ , and finally  $T_{r^*}[q \rightarrow r^*]$ . We then have the following relation modulo 2:

$$\begin{aligned} & \sum_{pq \in W^*} \text{cr}_2(st, \text{walk}(T_{r^*}, pq)) \\ &= \sum_{pq \in W^*} (\text{cr}_2(st, T_{r^*}[r^* \rightarrow p]) + \text{cr}_2(st, pq) + \text{cr}_2(st, T_{r^*}[q \rightarrow r^*])) \\ &= \sum_{pq \in W^*} \text{cr}_2(st, pq) \\ &= \text{cr}_2(st, W^*) \\ &= 1. \end{aligned}$$

In the second equality we have used that each path  $T_{r^*}[r^* \rightarrow p]$  and its reverse  $T_{r^*}[p \rightarrow r^*]$  appears an even number of times in the sum, and thus cancel out modulo 2. Parity implies that, for some edge  $p_0q_0$  of  $W^*$ , we have  $\text{cr}_2(st, \text{walk}(T_{r^*}, p_0q_0)) = 1$ . It must be that  $p_0q_0 \notin E(T_{r^*})$  because for each edge  $pq$  of  $T_{r^*}$  it holds  $\text{cr}_2(st, \text{walk}(T_{r^*}, pq)) = 0$ .

Since  $\text{cr}_2(st, \text{walk}(T_{r^*}, p_0q_0)) = \text{cr}_2(st, \text{cycle}(T_{r^*}, p_0q_0))$  because the path from  $r^*$  to the lowest common ancestor of  $p$  and  $q$  in  $T_{r^*}$  is counted twice on the left side of the equality, we have  $\text{cr}_2(st, \text{cycle}(T_{r^*}, p_0q_0)) = 1$ .

Since  $r^*$  is a vertex of  $W^*$  and  $p_0q_0$  is an edge of  $W^*$ , the length of  $W^*$  is at least the length of  $T_{r^*}[r^* \rightarrow p_0]$  plus 1, for the edge  $p_0q_0$ , plus the length of  $T_{r^*}[q_0 \rightarrow r^*]$ . However,

153 this second part is exactly the length of  $walk(T_{r^*}, p_0q_0)$ , which is at least the length of  
 154  $cycle(T_{r^*}, p_0q_0)$ .

155 We have shown that, for some edge  $p_0q_0 \in E(G) \setminus E(T_{r^*})$ , the cycle  $cycle(T_{r^*}, p_0q_0)$  is  
 156 not longer than  $W^*$  and crosses  $st$  an odd number of times. The result follows.  $\square$

157 Since we do not know a vertex  $r^*$  in the shortest cycle of  $G$ , we just try all possible roots  
 158 as candidates. (This leads to the option of having a randomized algorithm, by selecting  
 159 some roots at random, for the case where the optimal solution is large.) Thus, for each  
 160 vertex  $r$  of  $G$ , we fix a shortest-path tree  $T_r$  from  $r$  in  $G$ , and then the size of the optimal  
 161 solution is given by

$$162 \quad \min\{1 + d_G(r, p) + d_G(r, q) \mid r \in P, pq \in E(G) \setminus E(T_r), \text{cr}_2(st, cycle(T_r, pq)) = 1\}.$$

163 The values  $\text{cr}_2(st, cycle(T_r, e))$  can be computed in constant amortized time per edge  
 164 with some easy bookkeeping, as follows. Consider a fixed tree  $T_r$ . For each point  $p \in P$  we  
 165 store  $N[p]$  as the parity of the number of crossings of the path in  $T_r$  from  $r$  to  $p$ . When  
 166  $p$  is not the root, the value  $N[p]$  can be computed from the value of its parent  $\pi[p]$  in  $T_r$   
 167 using that  $N[p] = N[\pi[p]] + \text{cr}_2(st, p\pi[p])$ . In the algorithm we have written it this way  
 168 (lines 4–6), but one can also compute the values at the time of computing the shortest  
 169 path tree  $T_r$ .

We then have for each shortest-path tree  $T_r$

$$\begin{aligned} \forall pq \in E(G) \setminus E(T_r) : \quad & \text{cr}_2(st, cycle(T_r, pq)) = N[p] + N[q] + \text{cr}_2(st, pq) \pmod{2} \\ \forall pq \in E(T_r) : \quad & 0 = N[p] + N[q] + \text{cr}_2(st, pq) \pmod{2} \end{aligned}$$

170 because crossings that are counted twice cancel out modulo 2. In particular, the path in  
 171  $T_r$  from  $r$  to the lowest common ancestor of  $p$  and  $q$  is counted twice. This implies that we  
 172 can just check for *all* edges  $pq$  of  $G$  whether the sum  $N[p] + N[q] + \text{cr}_2(st, pq)$  is 0 modulo  
 173 2. The final resulting algorithm, denoted as **GENERICMINIMUMSEPARATION**, is given in  
 174 Figure 3.

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GENERICMINIMUMSEPARATION( $P, s, t$ )
1   $best = \infty$  // length of the best separation so far
2  for  $r \in P$ 
3       $(dist[\ ], \pi[\ ]) =$  shortest path tree from  $r$  in  $G(P)$ 
      // Compute  $N[\ ]$ 
4       $N[r] = 0$ 
5      for  $p \in P \setminus \{r\}$  in non-decreasing values of  $dist[p]$ 
6           $N[p] = N[\pi[p]] + \text{cr}_2(st, p\pi[p]) \pmod{2}$ 
7      for  $pq \in E(G(P))$ 
8          if  $N[p] + N[q] + \text{cr}_2(pq, st) \pmod{2} = 1$ 
9               $best = \min\{best, dist[p] + dist[q] + 1\}$ 
10 return  $best$ 

```

Figure 3: Adaptation of the generic algorithm to compute the minimum separation for unit disks.

175 Let us look into the time complexity of the algorithm. For each point  $r \in P$  we have to  
 176 compute a shortest-path tree in  $G$ . This can be done in  $O(n \log n)$  in our case, as discussed

177 in Section 2.1. Then, for each edge  $pq$  of  $G$  some constant amount of work is done. Thus  
 178 for each point  $r$  we spend  $O(n \log n + |E(G)|)$ . This is cubic in the worst-case. We could  
 179 get an improved running time if we can treat all the edges of  $G$  compactly. This is what  
 180 we explain next.

### 181 2.2.2 Compact treatment of edges

182 From now on we will assume that  $s$  is the origin and  $t$  is the point  $(0, \tau)$ , with  $\tau \geq 0$ . Thus,  
 183 the segment  $st$  is vertical and  $t$  is above  $s$ . The implementation just assumes that  $st$  is  
 184 vertical with  $s$  below  $t$ . A simple rigid transformation can be applied to the input to get  
 185 to this setting.

186 We will use the data structure in the following lemma. It is essentially a multi-level  
 187 data structure consisting of a 2-dimensional range tree  $T$  with a data structure for nearest  
 188 neighbour at each node of the secondary structure of  $T$ .

189 **Lemma 3.** *Let  $B$  be a set of  $n$  points with positive  $x$ -coordinates. We can preprocess  
 190  $B$  in  $O(n \log^3 n)$  time such that, for any query point  $a$  with negative  $x$ -coordinate, we  
 191 can decide in  $O(\log^3 n)$  time whether the set  $\{b \in B \mid ab \text{ intersects } \sigma \text{ and } |ab| \leq 1\}$  is  
 192 empty. The same data structure can handle queries to know whether the set  $\{b \in B \mid$   
 193  $ab \text{ does not intersect } \sigma \text{ and } |ab| \leq 1\}$  is empty.*

194 *Proof.* We are going to use point-line duality and range trees. These are standard concepts  
 195 in Computational Geometry; see for example [6, Chapters 5 and 8]. We assume that the  
 196 reader is familiar with the topic. Figure 4 may be helpful in the following discussion.

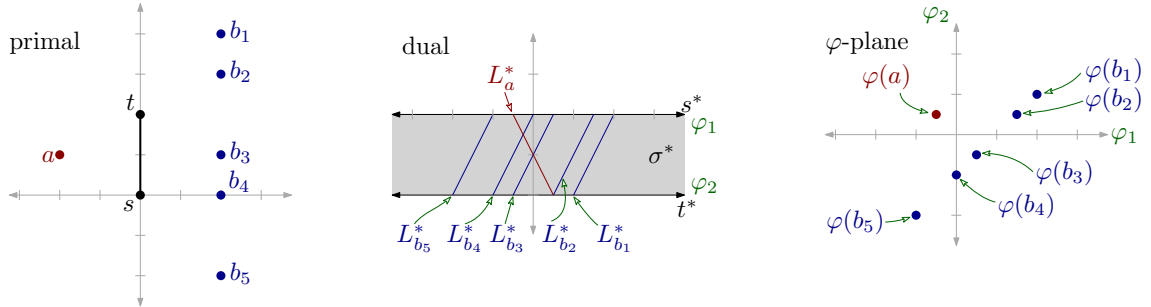


Figure 4: Transformation in the proof of Lemma 3.

197 We use the following precise point-line duality: the non-vertical line  $\ell \equiv y = mx + c$  is  
 198 mapped to the point  $\ell^* = (m, -c)$  and vice-versa. Let  $\mathbb{L}$  be the set of non-vertical lines.  
 199 Let  $\sigma$  be the line segment  $st$ . Let  $\sigma^*$  be the set of points dual to non-vertical lines that  
 200 intersect  $\sigma$ . Thus

$$201 \quad \sigma^* = \{\ell^* \mid \ell \in \mathbb{L}, \ell \cap \sigma \neq \emptyset\}.$$

202 Since we assumed that  $s = (0, 0)$  and  $t = (0, \tau)$ , in the dual space  $\sigma^*$  is the horizontal slab

$$203 \quad \sigma^* = \{(m, -c) \in \mathbb{R}^2 \mid 0 \leq c \leq \tau\}.$$

204 For every point  $p \in \mathbb{R}^2$ , outside the  $y$ -axis, let  $L_p^*$  be the set of points dual to the lines  
 205 through  $p$  that intersect  $\sigma$ :

$$206 \quad L_p^* = \{\ell^* \mid \ell \in \mathbb{L}, p \in \ell, \text{ and } \sigma \cap \ell \neq \emptyset\}.$$

207 In the dual space,  $L_p^*$  is a segment with endpoints  $(\varphi_1(p), 0)$  and  $(\varphi_2(p), -\tau)$ , for some  
 208 values  $\varphi_1(p)$  and  $\varphi_2(p)$  that are easily computable. Namely,  $\varphi_1(p)$  is the slope of the line

through  $p$  and  $(0, 0)$  while  $\varphi_2(p)$  is the slope of the line through  $p$  and  $(0, \tau)$ . The segment  $L_p^*$  is contained in the slab  $\sigma^*$  and has the endpoints on different boundaries of  $\sigma^*$ . Finally, define the mapping  $\varphi(p) = (\varphi_1(p), \varphi_2(p))$ . Thus,  $\varphi$  maps points in the plane with nonzero  $x$ -coordinate to points in the plane.

Let  $a$  be any point to the left of the  $y$ -axis and let  $b$  be a point to the right of the  $y$ -axis. The segment  $ab$  intersects  $\sigma$  if and only if  $L_a^*$  intersects  $L_b^*$ . Namely, an intersection of  $L_a^*$  and  $L_b^*$  is dual to the line through  $a$  and  $b$ . The segments  $L_a^*$  and  $L_b^*$  intersect if and only if the order of their endpoints on the boundaries of  $\sigma^*$  are reversed. Moreover, since  $a$  is to the left of the  $y$ -axis and  $b$  is to the right of the  $y$ -axis, if the segment  $ab$  intersects  $\sigma$ , then  $\varphi_1(a)$ , the slope of the line through  $a$  and  $(0, 0)$ , is smaller than  $\varphi_1(b)$ , the slope of the line through  $b$  and  $(0, 0)$ . Thus we have the following property:

$$ab \cap \sigma \neq \emptyset \iff \varphi_1(a) \leq \varphi_1(b) \text{ and } \varphi_2(a) \geq \varphi_2(b).$$

Given a point  $a$  to the left of the  $y$  axis, the set of points  $b \in B$  with the property that  $ab$  intersects  $\sigma$  corresponds to the points  $b$  with  $\varphi(b)$  in the bottom-right quadrant with apex  $\varphi(a)$ .

We can use a 2-dimensional range tree to store the point set  $\varphi(B)$ , where each point  $b \in B$  is identified with its image  $\varphi(b)$ . Moreover, for each node  $v$  in the secondary level of the range tree, we store a data structure for nearest neighbours for the canonical set  $P(v)$  of points that are stored below  $v$  in the secondary structure.

For any query  $a \in A$ , the points  $b \in B$  such that  $ab$  intersects  $\sigma$  are obtained by querying the 2-dimensional range tree for the points of  $\varphi(B)$  contained in the quadrant

$$\{(x, y) \mid \varphi_1(a) \leq x \text{ and } \varphi_2(a) \geq y\}.$$

This means that we get the set  $\{b \in B \mid ab \text{ intersects } \sigma\}$  as the union of canonical subsets  $P(v_1), \dots, P(v_k)$  for  $k = O(\log^2 n)$  nodes in the secondary levels of the 2-dimensional range tree. For each such canonical subset  $P(v_i)$ , we query for the nearest neighbour of  $a$ . If for some  $v_i$  we get a nearest neighbour at distance at most 1 from  $a$ , then we know that  $\{b \in B \mid ab \text{ intersects } \sigma \text{ and } |ab| \leq 1\}$  is non-empty. Otherwise the set is empty.

The construction time of the 2-dimensional range tree is  $O(n \log n)$ . Each point appears in  $O(\log^2 n)$  canonical subsets  $P(v)$ . This means that  $\sum_v |P(v)| = O(n \log^2 n)$ , where the sum iterates over all nodes  $v$  in the secondary data structure. Since for each node  $v$  in the secondary level we build a data structure for nearest neighbours, which takes  $O(|P(v)| \log |P(v)|)$ , the total construction time is  $O(n \log^3 n)$ . For the query time, the standard 2-dimensional range tree takes  $O(\log^2 n)$  time to find the  $O(\log^2 n)$  nodes  $v_1, \dots, v_k$  such that

$$\bigcup_{i=1}^k P(v_i) = \{b \in B \mid ab \text{ intersects } \sigma\},$$

and then we need additional  $O(\log n)$  time per node to query for a nearest neighbor.

Answering the queries for  $\{b \in B \mid ab \text{ does not intersect } \sigma \text{ and } |ab| \leq 1\}$  is done similarly (and the same data structure works), we just have to query for 2 of the other quadrants. (The top-left quadrant of  $\varphi(a)$  is always empty.)  $\square$

Inside the data structure of Lemma 3 we are using a data structure for nearest neighbours with construction time  $O(n \log n)$  and query time  $O(\log n)$ . If we would use another data structure for nearest neighbours with construction time  $T_c(n)$  and query time  $T_q(n)$ , then the construction time in Lemma 3 becomes  $O(T_c(n \log^2 n))$  and the query time is  $O(T_q(n) \cdot \log^2 n)$ .



253 From the theoretical perspective it would be more efficient to compute the union

$$254 \bigcup_{b \in B} \{(x, y) \in \mathbb{R}^2 \mid x < 0, |(x, y)b| \leq 1, (x, y) \text{ intersects } \sigma\}$$

255 and make point location there. Since the regions cannot have many crossings, good  
256 asymptotic bounds can be obtained. However, such approach seems to be only of theoretical  
257 interest and the improvement on the overall result is rather marginal.

258 Consider now a fixed root  $r$ . Assume that we have computed the shortest path tree  $T_r$   
259 and the corresponding tables  $\pi[\ ]$ ,  $\text{dist}[\ ]$  and  $N[\ ]$ , as discussed in Section 2.2.1. We group  
260 the points by their distance from  $r$ :

$$261 W_i = \{p \in P \mid \text{dist}[p] = i\}, \quad i = 0, 1, \dots$$

262 A standard property of BFS trees, that also holds here, is that all the distances from the  
263 root for any two adjacent vertices differ by at most 1. That is, the neighbours of a point  
264  $p \in P$  in  $G$  are contained in  $W_{\text{dist}[p]-1} \cup W_{\text{dist}[p]} \cup W_{\text{dist}[p]+1}$ . We will exploit this property.

We make groups  $L_i^j$  and  $R_i^j$  (where  $L$  stands for left and  $R$  for right) defined by

$$\begin{aligned} L_i^j &= \{p \in P \mid \text{dist}[p] = i, p.x < 0, N[p] = j\}, \quad \text{where } j = 0, 1 \text{ and } i = 0, 1, \dots \\ R_i^j &= \{p \in P \mid \text{dist}[p] = i, p.x > 0, N[p] = j\}, \quad \text{where } j = 0, 1 \text{ and } i = 0, 1, \dots \end{aligned}$$

265 We are interested in edges  $pq$  of  $G$  such that  $N[p] + N[q] + \text{cr}_2(st, pq) = 1 \pmod{2}$ . Up to  
266 symmetry (exchanging  $p$  and  $q$ ), this is equivalent to pairs of points  $(p, q)$  in one of the  
267 following two cases:

- 268 • for some  $i \in \mathbb{N}$  and some  $j \in \{0, 1\}$ , we have  $p \in L_i^j \cup R_i^j$ ,  $q \in L_i^{1-j} \cup R_i^{1-j} \cup L_{i-1}^{1-j} \cup R_{i-1}^{1-j}$ ,  
269  $|pq| \leq 1$ , and  $pq$  does not cross  $st$ ;
- 270 • for some  $i \in \mathbb{N}$  and some  $j \in \{0, 1\}$ , we have  $p \in L_i^j \cup R_i^j$ ,  $q \in L_i^j \cup R_i^j \cup L_{i-1}^j \cup R_{i-1}^j$ ,  
271  $|pq| \leq 1$ , and  $pq$  crosses  $st$ .

272 Each one of these cases can be solved efficiently. Up to symmetry, we have the following  
273 cases:

- 274 • If we want to search the candidates  $(p, q) \in L_i^j \times L_{i'}^{1-j}$  (that cannot cross  $st$  since they  
275 are on the same side of the  $y$ -axis), we first preprocess  $L_{i'}^{1-j}$  for nearest neighbours.  
276 Then, for each point  $p$  in  $L_i^j$ , we query the data structure to find its nearest neighbour  
277  $q_p$  in  $L_{i'}^{1-j}$ . If for some  $p$  we get that  $|pq_p| \leq 1$ , then we have obtained an edge  $pq_p$  of  $G$   
278 with  $\text{cr}_2(\text{cycle}(T_r, pq_p)) = 1$  and  $\text{dist}[p] + \text{dist}[q_p] + 1 = i + i' + 1$ . If for each  $p$  we  
279 have  $|pq_p| > 1$ , then  $L_i^j \times L_{i'}^{1-j}$  does not contain any edge of  $G$ . The overall running  
280 time, if  $m = |L_i^j| + |L_{i'}^{1-j}|$ , is  $O(m \log m)$ .
- 281 • If we want to search the candidates  $(p, q) \in L_i^j \times R_{i'}^j$  such that  $pq$  crosses  $st$ , we  
282 first preprocess  $R_{i'}^j$  as discussed in Lemma 3 into a data structure. Then, for each  
283 point  $p \in L_i^j$  we query the data structure (for crossing  $st$ ). If we get some nonempty  
284 set, then there is an edge  $pq$  of  $G$  with  $p \in L_i^j$ ,  $q \in R_{i'}^j$ ,  $\text{cr}_2(\text{cycle}(T_r, pq)) = 1$  and  
285  $\text{dist}[p] + \text{dist}[q] + 1 = i + i' + 1$ . Otherwise, there is no edge  $pq \in L_i^j \times R_{i'}^j$  that crosses  
286  $st$ . The overall running time, if  $m = |L_i^j| + |R_{i'}^j|$ , is  $O(m \log^3 m)$ .

• If we want to search the candidates  $(p, q) \in L_i^j \times R_{i'}^{1-j}$  such that  $pq$  does not cross  $st$ , we first preprocess  $R_{i'}^{1-j}$  as in Lemma 3 into a data structure. Then, for each point  $p \in L_i^j$  we query the data structure (for not crossing  $st$ ). The remaining discussion is like in the previous item.

We conclude that each of the cases can be done in  $O(m \log^3 m)$  worst-case time, where  $m$  is the number of points involved in the case. Iterating over all possible values  $i$ , it is now easy to convert this into an algorithm that spends  $O(n \log^3 n)$  time per root  $r$ . We summarize the result we have obtained. This improves for the case of unit disks the previous, generic algorithm.

**Theorem 4.** *The minimum-separation problem for  $n$  unit disks can be solved in  $O(n^2 \log^3 n)$  time.*

*Proof.* Let  $P$  be the centers of the disks and, as before, consider the graph  $G = G_{\leq 1}(P)$ . For each root  $r \in P$  we build the shortest-path tree and the sets  $W_i, L_i^0, L_i^1, R_i^0, L_i^1$  for all  $i$  in  $O(n \log n)$  time. We then have at most  $n$  iterations where, at iteration  $i$ , we spend  $O(|W_i \cup W_{i-1}| \log^3 |W_i \cup W_{i-1}|)$  time. Since the sets  $W_i$  are disjoint, adding over  $i$ , this means that we spend  $O(n \log^3 n)$  time per root  $r \in P$ .

Correctness follows from the foregoing discussion and the fact that the algorithm is computing the same as the generic algorithm.  $\square$

The resulting new algorithm is given in Figure 5. As before, the variable *best* stores the length of the shortest cycle (or actually rooted closed walk) that we have found so far. We can start setting *best* =  $n + 1$  at start. If eventually we finish with the value *best* =  $n + 1$ , it means that there is no feasible solution for the separation problem. When we consider a root  $r$  we are interested in closed walks rooted at  $r$  and length at most *best*. Since any closed walk through a vertex of  $W_i$  has length at least  $2i$ , we only need to consider indices  $i$  such that  $2i < \text{best}$ . Moreover (and this is not described in the algorithm, but it is done in the implementation), we can consider first the pairs that give walks for length  $2i$  first, like for example  $L_i^0 \times L_{i-1}^1$  and then the ones that give length  $2i + 1$ , like for example  $L_i^0 \times L_i^1$ . If we use this order, as soon as we find an edge in the while-loop, we can finish the work for the root  $r$ , and move onto the next root.

### 3 Implementation and experiments

We have implemented the algorithms of Section 2 in C++ using CGAL version 4.6.3 [20] because it provides the more complex procedures we need: Delaunay triangulations and Voronoi diagrams [12], range trees [17], and nearest neighbours [19]. Although in some cases we had to make small modifications, it was very helpful to have the CGAL code available as a starting point. The coordinates of the points were Cartesian doubles.

Experiments were carried out in a laptop with CPU i5-5200U at 2.20 Ghz, 8GB of RAM, and Windows 10. *All times we report are in seconds.*

**Data generation** Data points were generated uniformly at random in the following polygonal domains: rectangles without holes, rectangles with a "small" rectangular hole, rectangles with a "large" rectangular hole, rectangles with 4 "small" rectangular holes, and rectangles with 4 "large" rectangular holes. The precise proportions of the domains with holes are in Figures 6 and 7. We generated 1K, 2K, 5K, 10K, 20K and 50K points for the cases where the outer rectangle has sizes  $4 \times 1, 8 \times 2, \dots, 128 \times 32$ . The data was generated

```

SEPARATIONUNITDISKSCOMPACT( $P, s, t$ )
1   $best = n + 1$  // length of the best separation so far
2  for  $r \in P$ 
3      ( $dist[\ ], \pi[\ ]$ ) = shortest path tree from  $r$  in  $G_{\leq 1}(P)$ 
      // Compute the levels  $W_i$ 
4      for  $i = 0 \dots n$ 
5           $W_i =$  new empty list
6      for  $p \in P$ 
7          add  $p$  to  $W_{dist[p]}$ 
      // Compute  $N[\ ]$  for the elements of  $W_i$  and
      // and construct  $L_i^0, L_i^1, R_i^0, R_i^1$ 
8       $N[r] = 0$ 
9      for  $i = 1 \dots n$ 
10         for  $p \in W_i$ 
11              $N[p] = N[\pi[p]] + \mathbf{cr}_2(st, p\pi[p]) \pmod{2}$ 
12             if  $p$  to the left of the  $y$ -axis
13                 add  $p$  to  $L_i^{N[p]}$ 
14             if  $p$  to the right of the  $y$ -axis
15                 add  $p$  to  $R_i^{N[p]}$ 
16      $i = 1$ 
17     while  $2i < best$  and  $W_i \neq \emptyset$ 
        // length  $2i$ ; within each side of the  $y$ -axis
18     search candidates in  $L_i^0 \times L_{i-1}^1$ 
19     search candidates in  $L_i^1 \times L_{i-1}^0$ 
20     search candidates in  $R_i^0 \times R_{i-1}^1$ 
21     search candidates in  $R_i^1 \times R_{i-1}^0$ 
        // length  $2i$ ; across  $y$ -axis crossing  $\sigma$ 
22     search candidates in  $L_i^0 \times R_{i-1}^0$  crossing  $\sigma$ 
23     search candidates in  $L_i^1 \times R_{i-1}^1$  crossing  $\sigma$ 
24     search candidates in  $L_{i-1}^0 \times R_i^0$  crossing  $\sigma$ 
25     search candidates in  $L_{i-1}^1 \times R_i^1$  crossing  $\sigma$ 
        // length  $2i$ ; across  $y$ -axis not crossing  $\sigma$ 
26     search candidates in  $L_i^0 \times R_{i-1}^1$  not crossing  $\sigma$ 
27     search candidates in  $L_i^1 \times R_{i-1}^0$  not crossing  $\sigma$ 
28     search candidates in  $L_{i-1}^0 \times R_i^1$  not crossing  $\sigma$ 
29     search candidates in  $L_{i-1}^1 \times R_i^0$  not crossing  $\sigma$ 
        // length  $2i + 1$ ; within each side of the  $y$ -axis
30     search candidates in  $L_i^0 \times L_i^1$ 
31     search candidates in  $R_i^0 \times R_i^1$ 
        // length  $2i + 1$ ; across  $y$ -axis crossing  $\sigma$ 
32     search candidates in  $L_i^0 \times R_i^0$  crossing  $\sigma$ 
33     search candidates in  $L_i^1 \times R_i^1$  crossing  $\sigma$ 
        // length  $2i + 1$ ; across  $y$ -axis not crossing  $\sigma$ 
34     search candidates in  $L_i^0 \times R_i^1$  not crossing  $\sigma$ 
35     search candidates in  $L_i^1 \times R_i^0$  not crossing  $\sigma$ 
36      $i = i + 1$ 
37 return  $best$ 

```

Figure 5: New algorithm for minimum separation with unit disks.

330 once and stored. For the minimum-separation problem  $s$  was placed in the middle of a  
331 hole and  $t$  vertically above  $s$  in the outer face. Some of these domains are not meaningful  
332 for the minimum-separation problem because the disks centered at the points cover  $s$ .

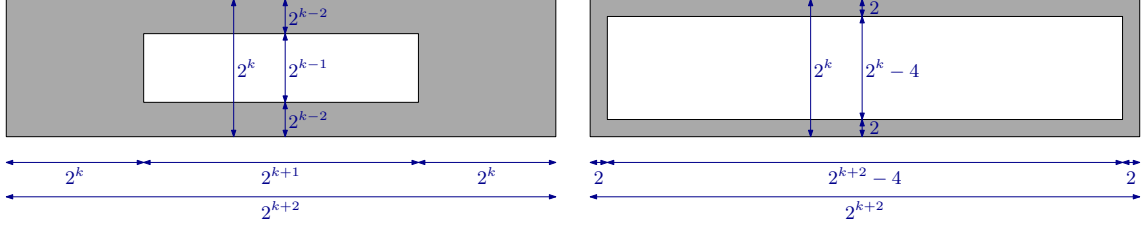


Figure 6: Data generation with a small hole (left) and a large hole (right).

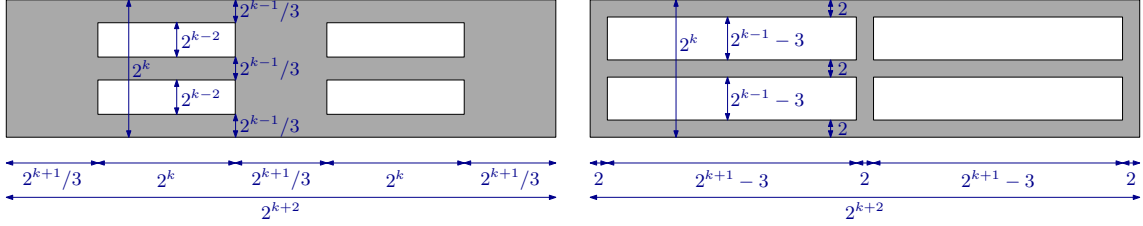


Figure 7: Data generation with four small holes (left) and four large holes (right).

333 **Shortest-path tree in unit-disk graphs** We have implemented the algorithm de-  
 334 scribed in Section 2.1. For the shortest-path tree we used the Delaunay triangulation as  
 335 provided by CGAL. The data structure for nearest neighbour queries is a small extension  
 336 of the one provided by [12], which in turn is based on the Delaunay triangulation. When  
 337 making a query for nearest neighbour, we have the option to provide an extra parameter  
 338 that acts as some sort of hint: if the nearest neighbour is near the hint, the algorithm is  
 339 faster. For our algorithm, using as hint the previous nearest neighbour reduced the running  
 340 time substantially, so we used this feature in the implementation. Note that this does not  
 341 come with guarantees. In the tables we refer to this algorithm as **SSSP**.

342 We compared the implementation with two obvious alternative algorithms to compute  
 343 shortest-path trees. The first alternative is to build the graph  $G = G_{\leq 1}(P)$  explicitly. Thus,  
 344 for each pair of points  $p, q$  we check whether their distance is at most one and add an edge  
 345 to a graph data structure. We can then use breadth-first-search (BFS) from the given root  
 346  $r$ . The preprocessing is quadratic, and the time spent to compute a shortest-path tree  
 347 depends on the density of the graph  $G$ . In the tables we refer to this algorithm as **BFS**.

348 The second alternative we consider is to use a unit-length grid. Two points  $(x, y)$  and  
 349  $(x', y')$  are in the same grid cell if and only if  $(\lfloor x \rfloor, \lfloor y \rfloor) = (\lfloor x' \rfloor, \lfloor y' \rfloor)$ . We store all the  
 350 points of a grid cell  $c$  in a list  $\ell(c)$ . The non-empty lists  $\ell(c)$  are stored in a dictionary,  
 351 where the bottom-left corner of the cell is used as key. We can then run some sort of BFS  
 352 using this structure. The list  $\ell(c)$  for a cell  $c$  maintains the points that have not been  
 353 visited by the BFS tree yet. When processing a point  $p$  in a cell  $c$ , we have to treat all  
 354 the points in the lists of  $c$  and its 8 adjacent cells as candidate points. Any point that is  
 355 adjacent to  $p$  is then removed from the list of its cell. The preprocessing is linear, and  
 356 the time spent to compute a shortest-path tree depends on the distribution of the points.  
 357 It is easy to produce cases where the algorithm would need quadratic time. For each  
 358 shortest-path tree we compute the lists and the dictionary anew. (This step is very fast in  
 359 any case.) In the tables we refer to this algorithm as **grid**.

360 As mentioned earlier, we did not implement the algorithm of Chan and Skrepetos [5]  
 361 because of time constraints. We expect that it would work good.

362 The measured times are in Tables 1–5. For SSSP and BFS we report the preprocessing  
 363 time that is independent of the source (like building the Delaunay triangulation or building

the graph) and the average time spent for a shortest-path tree over 50 choices of the root. For grid we just report the total running time; assigning points to the grid cells and putting them into a dictionary is almost negligible. As it can be seen, the results for SSSP are very much independent of the shape and, for dense point sets it outperforms the other algorithms.

While the algorithm SSSP has guarantees in the worst case, for BFS and grid one can construct instances where the behavior will be substantially bad. For example, to the instance with 10K points in a rectangle of size  $32 \times 8$  with a small hole we added 1K points quite cluttered. The increase in time with respect to the original instance was for SSSP 9,7% (preprocessing) and 13,6% (one root), for BFS it was 21,9% (preprocessing) and 56,5% (one root), and for grid it was 25%.

Rectangle without holes		20K points					
size rectangle		$4 \times 1$	$8 \times 2$	$16 \times 4$	$32 \times 8$	$64 \times 16$	$128 \times 32$
SSSP preprocessing		0.025	0.027	0.025	0.025	0.025	0.026
SSSP average/root		0.131	0.130	0.127	0.126	0.129	0.136
BFS preprocessing		25.057	20.433	17.773	17.734	17.347	17.179
BFS average/root		3.406	1.359	0.404	0.088	0.025	0.009
grid		1.605	1.647	0.695	0.227	0.089	0.053
		50K points					
SSSP preprocessing		0.091	0.091	0.070	0.071	0.070	0.069
SSSP average/root		0.592	0.562	0.375	0.377	0.372	0.366
BFS preprocessing		3min	159.812	144.965	140.404	131.854	132.475
BFS average/root	memory limit		9.378	2.789	0.584	0.144	0.044
grid		11.567	13.660	4.592	1.346	0.432	0.187

Table 1: Times for shortest paths in rectangles without holes.

Rectangle 1 small hole		10K points					
size rectangle	$4 \times 1$	$8 \times 2$	$16 \times 4$	$32 \times 8$	$64 \times 16$	$128 \times 32$	
SSSP preprocessing	0.021	0.012	0.012	0.012	0.012	0.012	
SSSP average/root	0.104	0.059	0.060	0.061	0.064	0.070	
BFS preprocessing	8.500	4.300	4.100	4.100	4.000	4.000	
BFS average/root	1.183	0.318	0.091	0.026	0.008	0.003	
grid	0.486	0.513	0.168	0.072	0.035	0.026	
		20K points					
SSSP preprocessing	0.027	0.026	0.025	0.025	0.025	0.025	
SSSP average/root	0.142	0.137	0.136	0.136	0.138	0.145	
BFS preprocessing	24.813	19.817	18.396	17.976	17.542	17.313	
BFS average/root	3.253	1.328	0.467	0.108	0.031	0.011	
grid	2.181	2.627	0.668	0.262	0.104	0.060	

Table 2: Times for shortest paths in rectangles with a small hole.

**Minimum separation with unit-disk** We have implemented the algorithm GENER-ICMINIMUMSEPARATION and the new algorithm based on a compact treatment of the edges. The shortest-path trees are constructed using the algorithm of Section 2.1. The table  $N[\ ]$  and the sets  $L_i^0, L_i^1, R_i^0, R_i^1$  are constructed at the time of computing the shortest-path tree.

Rectangle 1 large hole size rectangle	5K points			10K points		
	32 × 8	64 × 16	128 × 32	32 × 8	64 × 16	128 × 32
SSSP preprocessing	0.006	0.006	0.006	0.012	0.013	0.014
SSSP average/root	0.027	0.027	0.027	0.057	0.055	0.054
BFS preprocessing	1.010	0.994	0.983	4.043	4.070	4.105
BFS average/root	0.008	0.004	0.002	0.031	0.015	0.008
grid	0.043	0.021	0.017	0.573	0.541	0.172

Table 3: Times for shortest paths in rectangles with a large hole.

Rectangle 4 small holes size rectangle	10K points			20K points		
	32 × 8	64 × 16	128 × 32	32 × 8	64 × 16	128 × 32
SSSP preprocessing	0.021	0.018	0.018	0.027	0.026	0.026
SSSP average/root	0.056	0.058	0.064	0.125	0.126	0.131
BFS preprocessing	6.291	6.102	6.364	18.325	17.887	17.256
BFS average/root	0.033	0.010	0.004	0.102	0.031	0.010
grid	0.064	0.031	0.023	0.230	0.096	0.055

Table 4: Times for shortest paths in rectangles with 4 small holes.

In the data structure of Lemma 3, we do use a 2-dimensional tree as the primary structure, making some modifications of [17]. In the secondary structure, for nearest neighbour, instead of using Voronoi diagrams, we used a small modification of the *kd*-trees implemented in [19]. In some preliminary experiments this seemed to be a better choice. In our modification, we make a range search query for points at distance at most 1, and finish the search whenever we get the first point. In the new algorithm, before calling to the function to candidates pairs, like for example  $L_i^0 \times R_1^i$ , we test that both sets are non-empty. This simple test reduced the time by 30-50% in our test cases.

Besides the new algorithm we also implemented the generic algorithm of Section 2.2.1. The measured times are in Tables 6–7. For the case of 4 holes we always put  $t$  above the rectangle and  $s$  in one hole. It seems that the choice of the hole does not substantially affect the experimental time in our setting. We also constructed an instance with the rectangle of size  $32 \times 8$ , one small hole, the original 2K points, and 1K extra points quite cluttered around the portion of  $st$  in the domain. The generic algorithm took 427 seconds and the new algorithm took 259 seconds.

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Rectangle 4 large holes size rectangle	5K points			10K points		
	$32 \times 8$	$64 \times 16$	$128 \times 32$	$32 \times 8$	$64 \times 16$	$128 \times 32$
SSSP preprocessing	0.007	0.009	0.009	0.012	0.018	0.019
SSSP average/root	0.027	0.028	0.026	0.057	0.054	0.053
BFS preprocessing	1.420	1.420	1.390	5.740	5.660	5.720
BFS average/root	0.007	0.003	0.002	0.028	0.013	0.007
grid	0.019	0.013	0.010	0.060	0.038	0.027

Table 5: Times for shortest paths in rectangles with 4 large holes.

Rectangle 1 small hole size rectangle	2K points			
	$8 \times 2$	$16 \times 4$	$32 \times 8$	$64 \times 16$
new separation algorithm	65	64	53	38
generic algorithm	215	87	43	29

Table 6: Times for minimum separation with 1 hole.

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Rectangle 4 holes size rectangle	2K points, small holes			5K points, large holes		
	$32 \times 8$	$64 \times 16$	$128 \times 32$	$32 \times 8$	$64 \times 16$	$128 \times 32$
new separation algorithm	29	35	35	413	451	388
generic algorithm	80	40	30	416	266	206

Table 7: Times for minimum separation with 4 holes.

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