

# Proof Sketches Regarding the Closure of Limiting Probabilities in Sparse Random Hyper-graphs

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## Preliminaries

Let  $G^d(n, p)$  denote the binomial random  $d$ -uniform hyper-graph on  $n$  vertices where each edge has probability  $p$ . We are interested in the case where  $p = \frac{c}{n^{d-1}}$  for some non-negative real constant  $c$ .

For the remainder of this writing we will work with  $d$ -uniform hyper-graphs with  $d \geq 3$  some fixed number. We will abbreviate “ $d$ -uniform hyper-graph” as “graph”.

We will call the **fragment**  $F_n$  to the union of the unicyclic components in  $G^d(n, c/n^{d-1})$ . In this setting, a unicycle is a connected graph  $H$  such that  $(d-1)e(H) - v(H) = 0$ .

We can show that for  $0 \leq c \leq (d-2)!$

$$\lim_{n \rightarrow \infty} \Pr(F_n \text{ is empty}) = e^{\frac{c}{2(d-2)!}} \sqrt{1 - c/(d-2)!}.$$

Instead of working with  $c$  it is more convenient to use  $r := c/(d-2)!$  as our parameter. We will denote the RHS of last equation as  $A(r)$ . The limit probability  $A(r)$  that a graph is acyclic reaches  $1/2$  when  $r = 0.898172\dots$

Given any graph  $H$  which is a disjoint union of unicycles, for  $0 \leq r < 1$  it is satisfied

$$\lim_{n \rightarrow \infty} \Pr(F_n \simeq H) = A(r)(re^{-r})^{e(H)} \frac{(d-2)!^{e(H)}}{|Aut(H)|}, \quad (1)$$

where  $Aut(H)$  denotes the group of automorphisms of  $H$ .

## For $0.898172\dots \leq r < 1$ there are no gaps

Fix  $0.898172\dots \leq r < 1$ . Let  $H_0, H_1, \dots$  be an enumeration of all graphs with unicyclic components such that  $p_0 \leq p_1 \leq \dots$ , where  $p_i$  is the limit probability that the fragment  $F_n$  is isomorphic to  $H_i$ , given by eq. (1). We want to show that

$$p_i \leq \sum_{j>i} p_j \quad \forall i. \quad (2)$$

Let  $q_i = p_i/A(r)$  and let  $s = re^{-r}$ . Then

$$q_i = s^{e(H_i)} \frac{(d-2)!^{e(H_i)}}{|Aut(H_i)|},$$

and eq. (2) holds iff

$$q_i \leq \sum_{j>i} q_j \quad \forall i. \quad (3)$$

We will call a vertex  $v \in V(H)$  **free** if it belongs to exactly one edge in  $H$ . Free vertices inside an edge are indistinguishable, so

$$\prod_{h \in E(H)} |Free(h)|! \leq |Aut(H)|,$$

where  $Free(h)$  denotes the number of free vertices in the edge  $h$ .

We call an edge  $e \in E(H)$  a **leaf** if it only contains one non-free vertex.

The following lemma is not strictly necessary. It will be only used once and its use could have been avoided in exchange of doing a more exhaustive enumeration of graphs so maybe that is the preferable option.

**Lemma.** For any graph  $H$  whose connected components are unicycles,

$$\frac{(d-2)!^{e(H)}}{|Aut(H)|} \leq \frac{(d-2)^2}{(d-1)^2}.$$

*Proof.* It suffices to prove the statement for unicycles, because

$$\frac{(d-2)!^{e(H)}}{|Aut(H)|} \leq \prod_i \frac{(d-2)!^{e(H_i)}}{|Aut(H_i)|},$$

where the  $H_i$ 's are the connected components of  $H$ .

Let  $\lambda = \lambda(H)$  be the number of leaves in  $H$ . We show by induction that

$$\prod_{h \in E(H)} \frac{(d-2)!}{|Free(h)|!} \leq \left( \frac{d-2}{d-1} \right)^\lambda. \quad (4)$$

If  $\lambda = 0$  then  $H$  is a cycle and each one of its edges contains exactly  $d-2$  free vertices, so

$$\prod_{h \in E(H)} \frac{(d-2)!}{|Free(h)|!} = 1 = \left( \frac{d-2}{d-1} \right)^0.$$

And  $H$  satisfies eq. (4).

Now let  $H$  be an unicycle satisfying eq. (4). Add a new edge  $h'$  to  $H$  to obtain another unicycle  $H'$ . Then  $h'$  intersects  $H$  in only one vertex  $v$ . In consequence  $h'$  is a leaf of  $H'$ .

Consider the case  $\lambda(H') = \lambda(H)$ , where no new leaves are created with the addition of  $h'$ . This means that  $v$  is a free vertex in one leaf  $f$  of  $H$  (that is,  $h'$  "grows" out of  $f$ ), and

$$\prod_{h \in E(H')} \frac{(d-2)!}{|Free(h)|!} = \prod_{h \in E(H)} \frac{(d-2)!}{|Free(h)|!}.$$

Otherwise  $\lambda(H') = \lambda(H) + 1$ . In this case  $h'$  intersects an edge of  $H$  that is not a leaf. Here, the case that maximizes  $\prod_{h \in E(H')} \frac{(d-2)!}{|Free(h)|!}$  is the one where  $h'$  grows out of a free vertex of an edge in  $H$  with exactly  $d-2$  free vertices. In this case

$$\prod_{h \in E(H')} \frac{(d-2)!}{|Free(h)|!} = \frac{d-2}{d-1} \prod_{h \in E(H)} \frac{(d-2)!}{|Free(h)|!},$$

and  $H'$  satisfies eq. (4) as well.

Finally, as all unicycles can be obtained adding edges to a cycle successively, eq. (4) holds for all unicycles.

To prove the original statement consider the cases  $\lambda = 0, \lambda = 1$  and  $\lambda \geq 2$ . If  $\lambda = 0$  then  $H$  is a cycle of length  $l \geq 2$  and  $|Aut(H)| = (d-2)!^l 2l$ , yielding

$$\frac{(d-2)!^{e(H)}}{|Aut(H)|} = \frac{1}{2l} \leq \frac{(d-2)^2}{(d-1)^2},$$

as  $1/2l \leq 1/4 \leq (d-2)^2/(d-1)^2$  for all  $l \geq 2, d \geq 3$ .

If  $\lambda = 1$  then  $H$  is a cycle with a path attached to it. In this case, there is a reflection of the cycle of  $H$  in  $Aut(H)$ , and in consequence  $2 \prod_{h \in E(H)} |Free(h)|! \leq |Aut(H)|$ . Using this and eq. (4) we get

$$\frac{(d-2)!^{e(H)}}{|Aut(H)|} \leq \frac{1}{2} \prod_{h \in E(H)} \frac{(d-2)!}{|Free(h)|!} \leq \frac{1}{2} \left( \frac{d-2}{d-1} \right)^2 \leq \left( \frac{d-2}{d-1} \right)^2,$$

as we wanted.

Finally, for the case  $\lambda \geq 2$  just eq. (4) suffices, as

$$\prod_{h \in E(H)} \frac{(d-2)!}{|Free(h)|!} \leq \left( \frac{d-2}{d-1} \right)^\lambda \leq \left( \frac{d-2}{d-1} \right)^2.$$

□

The bound in last lemma can be reached. Consider the family of graphs  $(T_{\alpha,\beta})$ , for  $\alpha, \beta > 0$ , where  $T_{\alpha,\beta}$  denotes the graph consisting of a triangle with two paths of length  $\alpha$  and  $\beta$  respectively attached to two of its free vertices, each one from a different edge. One can check

$$\frac{(d-2)!^{e(T_{\alpha,\beta})}}{|Aut(T_{\alpha,\beta})|} = \frac{(d-2)!^{\alpha+\beta+3}}{|Aut(T_{\alpha,\beta})|} = \begin{cases} \left( \frac{d-2}{d-1} \right)^2 & \text{for } \alpha \neq \beta, \text{ or} \\ \frac{1}{2} \left( \frac{d-2}{d-1} \right)^2 & \text{otherwise.} \end{cases}$$

Then, for  $k \geq 4$ , if we sum  $\frac{(d-2)!^k}{|Aut(H)|}$  for all different graphs with  $k$  edges of the form  $T_{\alpha,\beta}$  we obtain

$$\sum_{\alpha=1}^{\lfloor \frac{k-3}{2} \rfloor} \frac{(d-2)!^k}{|Aut(T_{\alpha,k-3-\alpha})|} = \frac{k-4}{2} \left( \frac{d-2}{d-1} \right)^2 \quad (5)$$

using considerations similar to the ones in the sketches of Tobias.

We introduce now another two families of graphs.

The graph  $B_{\alpha,\beta}$ , for  $\alpha, \beta > 0$ , is the one consisting of a two-cycle with two paths of length  $\alpha$  and  $\beta$  respectively attached to two of its free vertices, each one from a different edge. In this case

$$\frac{(d-2)!^{e(B_{\alpha,\beta})}}{|Aut(B_{\alpha,\beta})|} = \frac{(d-2)!^{\alpha+\beta+2}}{|Aut(B_{\alpha,\beta})|} = \begin{cases} \frac{1}{2} \left( \frac{d-2}{d-1} \right)^2 & \text{for } \alpha \neq \beta, \text{ or} \\ \frac{1}{4} \left( \frac{d-2}{d-1} \right)^2 & \text{otherwise.} \end{cases}$$

Summing  $\frac{(d-2)!^k}{|Aut(H)|}$  for all different graphs of the form  $B_{\alpha,\beta}$  with  $k \geq 3$  edges yields

$$\sum_{\alpha=1}^{\lfloor \frac{k-2}{2} \rfloor} \frac{(d-2)!^k}{|Aut(T_{\alpha,k-2-\alpha})|} = \frac{k-3}{4} \left( \frac{d-2}{d-1} \right)^2 \quad (6)$$

We define the graph  $O_{\alpha,\beta}$ , with  $\alpha > 1, \beta > 0$ , as the one formed by attaching a path of length  $\beta$  to a free vertex of a cycle of length  $\alpha$ . One can check that  $e(O_{\alpha,\beta}) = \alpha + \beta$  and that  $\frac{(d-2)!^{\alpha+\beta}}{|Aut(O_{\alpha,\beta})|} = \frac{1}{2} \left( \frac{d-2}{d-1} \right)$ . In consequence, summing  $\frac{(d-2)!^k}{|Aut(H)|}$  for all different graphs of the form  $O_{\alpha,\beta}$  with  $k \geq 2$  edges we obtain

$$\sum_{\alpha=2}^{k-1} \frac{(d-2)!^k}{|Aut(O_{\alpha,k-\alpha})|} = \frac{k-2}{2} \left( \frac{d-2}{d-1} \right). \quad (7)$$

For any  $i \in \mathbb{N}$ , let  $k = k(i)$  be the natural number such that

$$s^{k-1} \left( \frac{d-2}{d-1} \right)^2 \geq q_i > s^k \left( \frac{d-2}{d-1} \right)^2$$

Notice that because of our previous lemma  $k(i) - 1 \geq e(H_i)$ .

Let  $\mathcal{U}_k$  be the set of unlabeled graphs with  $k$  edges whose components are unicycles. We will show that eq. (3) is satisfied for the different possible values of  $k(q_i)$ .

If  $k \geq 4$  then

$$s^k \sum_{H \in \mathcal{U}_k} \frac{(d-2)!^k}{|Aut(H)|} \geq s^k \left[ \frac{k-4}{2} \left( \frac{d-2}{d-1} \right)^2 + \frac{k-2}{2} \left( \frac{d-2}{d-1} \right) \right] \geq s^k (k-3) \left( \frac{d-2}{d-1} \right)^2.$$

This is obtained taking into account the graphs of the form  $T_{\alpha,\beta}$  and  $O_{\alpha,\beta}$  in  $\mathcal{U}_k$  and using eq. (5) and eq. (5).

Using last inequality and that  $1/3 < s$ , if  $k = k(i) \geq 6$  then

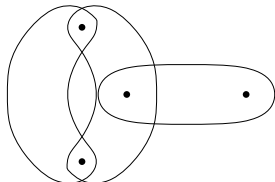
$$\sum_{j>i}^{q_i} > s^k \sum_{H \in \mathcal{U}_k} \frac{(d-2)!^k}{|Aut(H)|} > s^k (k-3) \left( \frac{d-2}{d-1} \right)^2 > s^{k-1} \left( \frac{d-2}{d-1} \right)^2 > q_i.$$

If  $k = k(i) = 5$  then

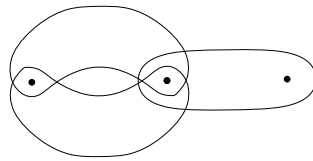
$$\sum_{j>i}^{q_i} > s^5 \sum_{H \in \mathcal{U}_5} \frac{(d-2)!^5}{|Aut(H)|} + s^6 \sum_{H \in \mathcal{U}_6} \frac{(d-2)!^6}{|Aut(H)|} > (s^5 2 + s^6 3) \left( \frac{d-2}{d-1} \right)^2 > s^4 \left( \frac{d-2}{d-1} \right)^2 \geq q_i.$$

The only cases left are  $k(i) = 4$  and  $k(i) = 3$ . We will study them together.

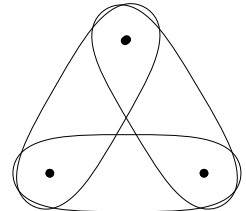
Assume  $k(i) \leq 4$ . Then  $e(H_i) \leq 3$  (this is the only place where we really use the lemma). If  $e(H_i) = 3$  then an enumeration of all unicycles with three edges gives  $q_i \leq s^3 \frac{1}{2} \left( \frac{d-2}{d-1} \right)$ .



$$(a) \quad \frac{(d-2)!^3}{|Aut(H)|} = \frac{1}{2} \left( \frac{d-1}{d-2} \right).$$



$$(b) \quad \frac{(d-2)!^3}{|Aut(H)|} = \frac{1}{2} \left( \frac{1}{d-2} \right).$$



$$(c) \quad \frac{(d-2)!^3}{|Aut(H)|} = \frac{1}{6}.$$

Using eq. (7) we can bound  $\sum_{H \in \mathcal{U}_l} \frac{(d-2)!^6}{|Aut(H)|}$  by  $\frac{l-2}{2} \left( \frac{d-2}{d-1} \right)$  for  $l = 4, 5$ , and we get

$$\sum_{j>i}^{q_i} > s^4 \sum_{H \in \mathcal{U}_4} \frac{(d-2)!^5}{|Aut(H)|} + s^5 \sum_{H \in \mathcal{U}_5} \frac{(d-2)!^6}{|Aut(H)|} > \left( \frac{s^4 2 + s^5 3}{2} \right) \left( \frac{d-2}{d-1} \right) > \frac{s^3}{2} \left( \frac{d-2}{d-1} \right) \geq q_i.$$

Finally if  $H_i$  has only two edges, then  $H_i = C_2$ , (here  $C_2$  denotes the cycle of length two, as usual) and  $q_1 = s^2 \frac{1}{4}$ . One can also check, using that  $s \leq 1/e$  and the previous enumeration of unicycles with three edges that  $q_i = q_1$ . That is, for any other graph  $H \in \mathcal{U}$ ,  $s^{e(H)} \frac{(d-2)!^{e(H)}}{|Aut(H)|} < \frac{s^2}{4}$ . Let us call  $\mathcal{T}$ ,  $\mathcal{B}$  and  $\mathcal{O}$  to the sets of graphs of the form  $T_{\alpha,\beta}$ ,  $B_{\alpha,\beta}$  and  $O_{\alpha,\beta}$  respectively. We have:

$$\sum_{j>1} q_j > s^3 \frac{(d-2)!^3}{|Aut(C_3)|} + \sum_{H \in \mathcal{B}} s^{e(H)} \frac{(d-2)!^{e(H)}}{|Aut(H)|} + \sum_{H \in \mathcal{O}} s^{e(H)} \frac{(d-2)!^{e(H)}}{|Aut(H)|}. \quad (8)$$

We can bound each of the terms in the LHS of last inequality. Using eq. (6) we obtain

$$\sum_{H \in \mathcal{B}} s^{e(H)} \frac{(d-2)!^{e(H)}}{|Aut(H)|} = \sum_{k=4}^{\infty} s^k \frac{k-3}{4} \left( \frac{d-2}{d-1} \right)^2.$$

And using that  $s > 1/3$ , and the fact that for  $a, b, c, x \in \mathbb{R}$  and  $|x| < 1$  we have

$$\sum_{n=0}^{\infty} c(a+nb)x^n = \frac{ac}{1-x} + \frac{bcx}{(1-x)^2},$$

we can get

$$\sum_{H \in \mathcal{B}} s^{e(H)} \frac{(d-2)!^{e(H)}}{|Aut(H)|} = \sum_{k=5}^{\infty} s^k \frac{k-4}{4} \left( \frac{d-2}{d-1} \right)^2 > s^4 \left( \frac{d-2}{d-1} \right)^2 \frac{9}{16} > s^3 \left( \frac{d-2}{d-1} \right)^2 \frac{3}{16}. \quad (9)$$

Analogously as before, using eq. (7) and the formula for the arithmetico-geometric series we get

$$\sum_{H \in \mathcal{O}} s^{e(H)} \frac{(d-2)!^{e(H)}}{|Aut(H)|} = \sum_{k=3}^{\infty} s^k \frac{k-2}{4} \left( \frac{d-2}{d-1} \right) > s^3 \left( \frac{d-2}{d-1} \right) \frac{9}{8} \quad (10)$$

Now, using eq. (9) and eq. (10) in eq. (8) and the fact that  $\frac{(d-2)!^3}{|Aut(C_3)|} = \frac{1}{6}$  we have

$$\sum_{j>1} q_j > s^3 \frac{1}{6} + s^3 \left( \frac{d-2}{d-1} \right)^2 \frac{3}{16} + s^3 \left( \frac{d-2}{d-1} \right) \frac{9}{8}.$$

Finally, substituting  $\left( \frac{d-2}{d-1} \right) \geq \frac{1}{2}$ , we obtain

$$\sum_{j>1} q_j > s^3 \frac{1}{6} + s^3 \frac{3}{64} + s^3 \frac{9}{16} = s^3 \frac{32+9+108}{192} > s^3 \frac{3}{4} > s^2 \frac{1}{4} = q_1,$$

as we wanted.