Abstract

We consider a finite relational vocabulary σ and a first order theory T for σ composed of symmetry and anti-reflexivity axioms. We define a binomial random model of finite σ-structures that satisfy T and show that first order properties have well defined asymptotic probabilities in the sparse case. It is also shown that those limit probabilities are well-behaved with respect to some parameters that represent edge densities. An application of these results to the problem of random Boolean satisfiability is presented afterwards. We show that there is no first order property of k-CNF formulas that implies unsatisfiability and holds for almost all typical unsatisfiable formulas when the number of clauses is linear.

Introduction

Since the work of Erdös and Rènyi on the evolution of random graphs [1] the study of the asymptotic properties of random structures has played a relevant role in combinatorics and computer science. A central theme in this topic is, given a succession $(G_n)_n$ of random structures of some sort and a property P, to determine the limit probability that G_n satisfies P or to determine whether that limit exists.

One approach that has proven to be useful is to classify the properties P according to the logical languages they can be defined in. We say that the succession $(G_n)_n$ obeys a convergence law with respect to some logical language $\mathscr{L}$ if for any given property P expressible in $\mathscr{L}$ the probability that G_n satisfies P tends to some limit as n grows to infinity. We say that $(G_n)_n$ obeys a zero-one law with respect to $\mathscr{L}$ if that limit is always either zero or one. The seminal theorem on this topic, due to Fagin [2] and Glebskii et al. [3] independently, states that if G_n denotes a labeled graph with n vertices picked uniformly at random among all $2^{\binom{n}{2}}$ possible then $(G_n)_n$ satisfies a zero-one law with respect to the first order (FO) language of graphs.

Originally this result was proven in the broader context of relational structures but it was in the theory of random graphs were the study of other zero-one and convergence laws became more prominent. In particular, the asymptotic behavior of FO logic in the binomial model of random graphs $G(n,p)$ has been extensively studied. In this model, introduced by Gilbert [4], a random graph is obtained from n labeled vertices by adding each possible edge with probability p independently. When $p = 1/2$ this distribution of random graphs coincides with the uniform one, mentioned above. In general, for the case where p is a constant probability a slight generalization of the proofs in [2] and [3] works and $G(n,p)$ satisfies a zero-one law for FO logic. If we consider $p(n)$ a decreasing function of the form $n^{-\alpha}$ we can ask the question of what are the values of α for which $G(n,p(n))$ obeys a zero-one or a convergence law for FO logic. In [5] Shelah and Spencer gave a complete answer for the range $\alpha \in (0,1)$. Among other results, they proved that if α is an irrational number in this interval then $G(n,p(n))$ obeys a zero-one law for FO logic, while if α is a rational number in the same range then $G(n,p(n))$ does not even satisfy a convergence law for FO logic. The case $\alpha = 1$ was later solved by Lynch in [6]. A weaker form of the main theorem in that article states the following:

Theorem 0.1. *For any FO sentence ϕ, the function $F_\phi : (0,\infty) \to [0,1]$ given by*

$$F_\phi(\beta) = \lim_{n \to \infty} \Pr\big(\mathrm{G}(n,\beta/n) \text{ satisfies } \phi\big)$$

is well defined and analytic. In particular, for any $\beta \geq 0$ the model $\mathrm{G}(n,\beta/n)$ obeys a convergence law for FO logic.

The analyticity of these asymptotic probabilities with respect to the parameter β implies that FO properties cannot "capture" sudden changes that occur in the random graph $\mathrm{G}(n,\beta/n)$ as β changes. Given $p(n)$ a probability, P a property of graphs, and Q a sufficient condition for P - i.e., a property that implies P -, we say that Q explains P if $G(n,p(n))$ satisfies the converse implication $P \implies Q$ asymptotically almost surely (a.a.s.). A notable example of this phenomenon happens in the range $p(n) = \log(n)/n + \beta/n$ with β constant. Erdös and Rènyi [1] showed that for probabilities of this form $G(n,p(n))$ a.a.s. is disconnected only if it contains an isolated vertex. An observation by Albert Atserias is the following:

Theorem 0.2. *Let c be a real constant such that $\lim_{n \to \infty} \Pr\big(G(n,c/n) \text{ is not 3-colorable }\big) > 0$. Then there is no FO graph property that explains non-3-colorability for $G(n,c/n)$.*

The short proof of this theorem is as follows: It is a known fact that there are positive constants $c_0 \leq c_1$ such that $G(n, c/n)$ is a.a.s 3-colorable if $c < c_0$ and it is a.a.s non 3-colorable if $c > c_1$ REFERENCES NEEDED. Suppose that P is a FO graph property that implies non-3-colorability. Then, because of this implication, for all values of c

$$\lim_{n \to \infty} \Pr\big(G(n, c/n) \text{ satisfies } P\big) \leq \lim_{n \to \infty} \Pr\big(G(n, c/n) \text{ is not 3-colorable}\big).$$

In consequence the asymptotic probability that $G(n, c/n)$ satisfies P is zero when $c < c_0$. By Lynch's theorem, if P is definable in FO logic then this asymptotic probability varies analytically with c. Using the fact that any analytic function that takes value zero in a non-empty interval must equal zero everywhere, we obtain that $G(n, c/n)$ a.a.s does not satisfy P for any value of c. As a consequence the theorem follows.

The aim of this work is to extend Lynch's result to arbitrary relational structures were the relations are subject to some predetermined symmetry and anti-reflexivity axioms. This was originally motivated by an application to the study of random k-CNF formulas. Since [7] it is known that for each k there are constants c_0, c_1 such that a random k-CNF formula with cn clauses over n variables

1 Preliminaries

1.1 General notation

Given a positive natural number n, we will write $[n]$ to denote the set $1, 2, \ldots, n$.

Given a set S and a natural number $k \in \mathbb{N}$ we will use $\binom{S}{k}$ to denote the set of subsets of S whose size is k.

Let S be a set, a a positive natural number, and Φ a group of permutations over $[a]$. Then Φ acts naturally over S^a in the following way: Given $g \in \Phi$ and $(x_1, \ldots, x_a)$ we define $g(x_1, \ldots, x_a) = (x_{g(1)}, \ldots, x_{g(a)})$. We will denote by S^a/Φ to the quotient of the set S^a by this action. Given an element $(x_1, \ldots, x_a) \in S^a$ we will denote its equivalence class in S^a/Φ by $[x_1, \ldots, x_a]$. Thus, for any $g \in \Phi$, by definition $[x_1, \ldots, x_a] = [x_{g(1)}, \ldots, x_{g(a)}]$. The notation $(x_1, \ldots, x_a)$ will be reserved to ordered tuples while $[x_1, \ldots, x_a]$ will denote an ordered tuple modulo the action of some arbitrary group of permutations. Which group is this will depend on the ambient set where $[x_1, \ldots, x_a]$ belongs and it should either be clear from context or not be relevant.

We will denote ordered lists of elements by $\bar{x} := x_1, \ldots, x_a$. This way, expressions like $(\bar{x})$ or $[\bar{x}]$ would mean $(x_1, \ldots, x_a)$ and $[x_1, \ldots, x_a]$ respectively. Sometimes we will directly write $\bar{x}$ without specifying the list it names nor its length when it is understood or not relevant.

Given two real functions over the natural numbers $f, g : \mathbb{N} \to \mathbb{R}$ we will write $f = O(g)$ to mean that there exists some constant $C \in \mathbb{R}$ such that $f(n) \leq Cg(n)$ for n sufficiently large, as usual. If $g(n) \neq 0$ for sufficiently large values of n then we will write $f \simeq g$ if $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 1$.

1.2 Logical preliminaries

We will assume a certain degree of familiarity with the concepts. For a more complete exposition of the topics presented here one can consult [8].

A relational vocabulary σ is a collection of relation symbols $(R_1, \ldots, R_m, \ldots)$ where each relation symbol R_i has associated a natural a_i number called its arity. A σ-structure $\mathfrak{A}$ is composed of a set A, called the universe of $\mathfrak{A}$, equipped with relations $R_1^{\mathfrak{A}} \subseteq A^{a_1}, \ldots, R_m^{\mathfrak{A}} \subseteq A^{a_m}$. When σ is understood we may refer to σ-structures as relational structures or simply as structures. A structure is called finite if its universe is a finite set.

In the first order language $FO[\sigma]$ with signature σ formulas are formed by variables $x_1, \ldots, x_i, \ldots$, the relation symbols in σ, the equal symbol $=$, the usual Boolean connectives $\neg, \wedge, \vee, \ldots$, the existential and universal quantifiers $\exists, \forall$, and the parentheses $), ($. Then formulas in $FO[\sigma]$ are defined as follows.

- The expression $R(y_1, \ldots, y_a)$, where the y_i's are variables and R is a relation symbol in σ with arity a, belongs to $FO[\sigma]$.

- The expression $y_1 = y_2$, where y_1, y_2 are variables, belongs to $FO[\sigma]$.

- Given formulas $\phi, \psi \in FO[\sigma]$, any Boolean combination of them $\neg(\phi), (\phi \wedge \psi), (\phi \vee \psi), \ldots$ belongs to $FO[\sigma]$ as well.

- Given a formula $\phi \in FO[\sigma]$ and x a variable that does not appear bounded by a quantifier in ϕ, the expressions $\forall x(\phi)$ and $\exists x(\phi)$ belong both to $FO[\sigma]$.

We will write $\forall y_1, y_2, \ldots, y_m$ or simply $\forall \bar{y}$ instead of $\forall y_1, \forall y_2, \ldots, \forall y_m$ and likewise for the quantifier $\exists$.

We define the set of free variables of a formula as usual. We will use the notation $\phi(\bar{y})$ to refer to a formula $\phi \in FO[\sigma]$ to denote that its free variables are the ones in $\bar{y}$. Formulas with no free variables are called sentences and formulas with no quantifiers are called open formulas.

The quantifier rank of a formula ϕ, denoted by $qr(\phi)$, is defined as the maximum number of nested quantifiers in ϕ.

Sentences in $FO[\sigma]$ are interpreted over σ-structures in the natural way. Given an structure $\mathscr{A}$, and a sentence $\phi \in FO[\sigma]$ we write $\mathscr{A} \models \phi$ to denote that $\mathscr{A}$ satisfies ϕ. If $\psi(\bar{y})$ is a formula, $\bar{a}$ are elements in the universe of $\mathscr{A}$, and $\bar{y}$ and $\bar{a}$ are lists of the same size, then we write $\mathscr{A} \models \psi(\bar{a})$ to mean that $\mathscr{A}$ satisfies ψ when the free variables in $\bar{y}$ are interpreted as the elements in $\bar{a}$.

> Deberá al menos mencionar un par de trabajos que estudien random k-SAT y propiedades a.a.s suficientes para no-satisfacibilidad

1.3 Structures as multi-hypergraphs

For the rest of the article consider fixed:

- Positive natural numbers t, $\bar{a} = a_1, \ldots, a_t$, with all the a_i's greater than 1.

- A relational vocabulary $\sigma = \{\bar{R}\}$, with $\bar{R} = R_1, \ldots, R_t$ such that a_i is the arity of R_i.

- Groups $\bar{\Phi} = \Phi_1, \ldots, \Phi_t$ such that each Φ_i is consists of permutations on $[a_i]$ with the usual composition as its operation.

- Sets $\bar{P} = P_1, \ldots, P_t$ satisfying $P_i \subseteq \binom{[a_i]}{2}$

We will only consider relational structures where the relations are of arity at least two. This restriction is not necessary, but it makes notation easier.

We can think of structures in $\mathscr{C}^{\sigma}_{\bar{\Phi},\bar{P}}$ as "multi-hypergraphs" with t edge sets whose edges are of sizes $\bar{a}$ respectively , are invariant under permutations in $\bar{\Phi}$ resp., and do not contain repetitions of vertices in the positions given by $\bar{P}$ resp. We make this observation formal in the following definitions:

We define the class $\mathscr{C}$ as the class of σ-structures that satisfy the following axioms:

- *Symmetry axioms*: For each $1 \leq s \leq t$ and each $g \in \Phi_s$:

$$\forall x_1,\ldots,x_{a_s}\big(R_s(x_1,\ldots,x_{a_s}) \iff R_s(x_{g(1)},\ldots,x_{g(a_s)})\big)$$

- *Anti-reflexivity axioms*: For each $1 \leq s \leq t$ and $\{i,j\} \in P_s$

$$\forall x_1,\ldots,x_{a_s}\big((x_i = x_j) \implies \neg R_s(x_1,\ldots,x_{a_s})\big)$$

We can think of structures in $\mathscr{C}^{\sigma}_{\bar{\Phi},\bar{P}}$ as "multi-hypergraphs" with t edge sets whose edges are of sizes $\bar{a}$ respectively , are invariant under permutations in $\bar{\Phi}$ resp., and do not contain repetitions of vertices in the positions given by $\bar{P}$ resp. We make this observation formal in the following definitions:

Definition 1.1. Let V be a set, a be a positive natural number, Φ be a group of permutations over $[a]$ and $P \subseteq \binom{[a]}{2}$. We define the **total edge set over V with edge size a, symmetry group Φ and anti-reflexivity restrictions P** as the set

$$E^V_{a,\Phi,P} = (V^a/\Phi) \setminus \{[x_1,\ldots,x_a] \,|\, x_1,\ldots,x_a \in V \wedge x_i = x_j \text{ for some } \{i,j\} \in P\}.$$

That is, $E^V_{a,\Phi,P}$ contains all the "tuples modulo the permutations in ϕ" excluding those that contain some repetition of vertices in the positions given by P.

Definition 1.2. A **multi-hypergraph with with t edge sets, edge sizes given by $\bar{a}$, symmetry groups $\bar{\Phi}$, and anti-reflexivity restrictions** $\bar{P}$ is a pair $G = (V(G),\overline{E(G)})$, where $\overline{E(G)} = E_1(G),\ldots,E_t(G)$ and for each i, $E_i(G) \subseteq E^V_{a_i,\Phi_i,P_i}$.

For the sake of word economy the expression "multi-hypergraph with t edge sets, with edge sizes given by $\bar{a}$, symmetry groups $\bar{\Phi}$, and anti-reflexivity restrictions $\bar{P}$" will be replaced simply by "hypergraph ". The word "hypergraph" will not hold any other meaning than this for the rest of this writing except for the places where it is explicitly stated.

Hypergraphs, as we have defined them, can be naturally interpreted as structures from $\mathscr{C}^{\sigma}_{\bar{\Phi},\bar{P}}$ in the following way: given $G = (V,\bar{E})$, we consider V to be the universe of G, and for any i we define $R^G_i \subseteq V^{a_i}$ as the set such that $(\bar{x}) \in V^{a_i}$, $(\bar{x}) \in R^G_i$ if and only if $[\bar{x}] \in E_i$. Under this interpretation hypergraphs, by definition, satisfy the symmetry and anti-reflexivity axioms given above. It is also easy to see that this interpretation induces a one-to-one identification between structures in $\mathscr{C}^{\sigma}_{\bar{\Phi},\bar{P}}$ and hypergraphs.

We will use standard nomenclature and notation from graph theory. This way, we will call vertex set to $V(G)$ and vertices to its elements. Likewise, each of the $E_i(G)$'s will be called an edge set and its elements, edges. Given an edge set $E_i(G)$, the index i will be called its color, and the number a_i its size. Thus, we will say that an edge $e \in E_i(G)$ has color i and size a_i.

Given a set of vertices $U \subseteq V(G)$, we will denote by $G[U]$ the hypergraph induced by G on U. That is, $G[U]$ is an hypergraph $H = (V(H), \overline{E(H)})$ such that $V(H) = U$ and for any list $\bar{v}$ of vertices in U, $[\bar{v}] \in E_i(H)$ if and only if $[\bar{v}] \in E_i(G)$.

An homomorphism between two hypergraphs G and H a map $f : V(G) \to V(H)$ that sends edges from G to edges in H of the same color. That is, if vertices $v_1, \ldots, v_{a_i}$ form an edge $[v_1, \ldots, v_{a_i}] \in E_i(G)$, then $[f(v_1), \ldots, f(v_{a_i})] \in E_i(H)$. If f is injective then it is called a monomorphism. If f is bijective and its inverse is also an homomorphism between H and G then f is called an isomorphism.

The group of automorphisms $Aut(G)$ of an hypergraph G is the group of isomorphisms between G and itself.

Given two hypergraphs G and H, a copy of H in G is a sub-hypergraph $H_2 \subseteq G$ isomorphic to H. The copy is called induced if H_2 is an induced sub-hypergraph. We will call a labeled copy of H in G to a monomorphism $f : H \to G$. It is satisfied that the number of labeled copies of H in G is $|Aut(H)|$ times the number of copies of H in G.

The excess $ex(G)$ of an hypergraph G is the number

$$ex(G) = \Big(\sum_{i=1}^{t} (a_i - 1)|E_i(G)| \Big) - |V(G)|.$$

That is, the excess of G is its "weighted number of edges" minus its number of vertices.

Before moving on we need to introduce some additional notation. NOTACION

Given an hypergraph G we define the following metric, d, over $V(G)$:

$$d^G(v, u) = \min_{\substack{H \text{ subgraph of } G \\ H \text{ connected} \\ v, u \in V(H)}} |V(H)| - 1.$$

That is, the distance between v and u is the minimum size of a connected graph H containing both vertices, minus one. If such graph does not exist we define $d^G(u, v) = \infty$. This definition extends naturally to subsets $X, Y \subseteq V(G)$:

$$d^G(X, Y) = \min_{\substack{x \in X \\ y \in Y}} d^G(x, y).$$

As usual, when $X = \{x\}$ we will omit the brackets and write $d^G(x, Y)$ instead of $d^G(\{x\}, Y)$, for example. When G is understood or not relevant we will usually simply denote the distance by d instead of d^G.

Given set of vertices vertex, $X \subseteq V(G)$, we denote by $N^G(X; r)$ the r-neighborhood of X in G. That is, $N^G(X; r) = G[Y]$, where $Y \subseteq V(G)$ is the set:

$$Y := \{u \in V(G) \mid d(X, u) \leq r\}.$$

In particular, when X is a singleton $\{v\}$, we will write $N^G(v; r)$ instead of $N^G(\{v\}; r)$. As before, we will usually drop the "G" from our notation when G is understood or not relevant.

1.4 The random model

Let $p_1 \ldots, p_t$ real numbers between zero and one, and let $\bar{p} = p_1, \ldots, p_t$. The random model $G^{\mathscr{C}}(n, \bar{p})$ is the discrete probability space that assigns to each hypergraph G whose vertex set $V(G)$ is $[n]$ the following probability:

$$\Pr(G) = \prod_{i=1}^{t} p_i^{|E_i(G)|} (1 - p_i)^{|E_{a_i, \Phi_i, P_i}^{[n]}| - |E_i(G)|}.$$

Equivalently, this is the probability space obtained by assigning to each edge with color i, $e \in E_{a_i, \Phi_i, P_i}^{[n]}$ probability p_i independently.

As in the case of Lynch's theorem, we are interested in the "sparse regime" of $G^{\mathscr{C}}(n, \bar{p})$, were the expected number of edges each color is linear. This is achieved when each of the p_i's are of the form $\beta_i / n^{a_i - 1}$ for some non-negative real numbers $\beta_1, \ldots, \beta_t$. Let $\bar{\beta} := \beta_1, \ldots, \beta_t$. We will write $\bar{p}(n, \bar{\beta})$ to denote the list $\beta_1 / n^{a_1 - 1}, \ldots, \beta_t / n^{a_t - 1}$. We will treat the parameters $\beta_1, \ldots, \beta_t$ as fixed real constants for the most part and will abbreviate $\bar{p}(n, \bar{\beta})$ as $\bar{p}(n)$.

Our goal is to prove the following theorem:

Theorem 1.1. *Let ϕ be a sentence in $FO[\sigma]$. Then the function $F_\phi : [0, \infty)^t \to \mathbb{R}$ given by*

$$\bar{\beta} \mapsto \lim_{n \to \infty} Pr\big(G^{\mathscr{C}}(n, \bar{p}(n, \bar{\beta})) \models \phi\big)$$

is well defined and analytic.

1.5 Ehrenfeucht-Fraisse Games

Let G_1 and G_2 be hypergraphs. We define the k round Ehrenfeucht-Fraisse game on G_1 and G_2, denoted by $\text{EHR}_k(G_1, G_2)$, as follows: The game is played between two players, Spoiler and Duplicator, and the number of rounds, k, is known for both from the start. At the beginning of each round Spoiler chooses a vertex from either $V(G_1)$ or $V(G_2)$ and Duplicator responds by choosing a vertex from the other set. Let us denote by v_i, resp. u_i the vertex from G_1, resp. from G_2, chosen in the i-th round, for $i \in [k]$. At the end of the k-th round Duplicator wins if the following holds:

- For any $i, j \in [k]$, $v_i = v_j \iff u_i = u_j$.

- Given indices $i_1, \ldots, i_a \in [k]$, and a color $c \in [t]$, $[v_{i_1}, \ldots, v_{i_a}] \in E_c(G_1) \iff [u_{i_1}, \ldots, u_{i_a}] \in E_c(G_2)$.

We define the equivalence relation $=_k$ between hypergraphs as follows: We say that $G_1 =_k G_2$ if for any sentence $\phi \in FO[\sigma]$ with $qr(\phi) \leq k$ then $G_1 \models \phi$ if and only if $G_2 \models \phi$.

The following is satisfied:

Theorem 1.2 (Ehrenfeut, 9)**.** *Let G_1 and G_2 be hypergraphs. Then Duplicator wins $\text{EHR}_k(G_1, G_2)$ if and only if $G_1 =_k G_2$.*

Now consider $\bar{v}$, and $\bar{u}$ lists of vertices of the same length, l, from G_1 and G_2 respectively. We define the k round Ehrenfeucht-Fraisse game on G_1 and G_2 with initial position given by $\bar{v}$

and $\bar{u}$, denoted by $\text{EHR}_k(G_1, \bar{v}, G_2, \bar{u})$, the same way as $\text{EHR}_k(G_1, G_2)$, but in this case the game has l extra rounds at the beginning where the vertices in $\bar{v}$ and $\bar{u}$ are played successively. After this, k more rounds are played normally.

We also define the k-round distance Ehrenfeucht-Fraisse game on G_1 and G_2, denoted by $d\text{EHR}_k(G_1, G_2)$, the same way as $\text{EHR}_k(G_1, G_2)$, but now, in order for Duplicator to win the game, the following additional condition has to be satisfied at the end of the k-th round:

- For any $i, j \in [k]$, $d^{G_1}(v_i, v_j) = d^{G_2}(u_i, u_j)$.

Given $\bar{v}$, and $\bar{u}$ lists of vertices of the same length, from G_1 and G_2 respectively we define the game $d\text{EHR}_k(G_1, \bar{v}, G_2, \bar{u})$ analogously to $\text{EHR}_k(G_1, \bar{v}, G_2, \bar{u})$.

1.6 Outline of the proof

We show now an outline of the proof.

We show that for any quantifier rank k there are some classes of hypergraphs $C_1^k, \ldots, C_{n_k}^k$ such that

(1) a.a.s the rank k type of any two graphs in the same class coincide,
(2) a.a.s. any random graph belongs to some of them, and
(3) the limit probability of random graph belonging to any of them is an analytic expression on the parameters $\bar{\beta}$.

After this is archived the theorem follows easily.

The objective of next sections will be to define the classes $C_1, \ldots, C_{n_k}$ and to show that they satisfy properties (1), (2) and (3).

Explicar esto mejor

1.7 Some winning strategies for Duplicator

The aim of this section is to show the winning strategy for Duplicator that is going to be used in our proofs.

Let G_1 and G_2 be hypergraphs, and let $\bar{v} \subseteq V(G_1), \bar{u} \subseteq V(G_2)$ be lists of vertices of the same size. We say that $N(\bar{v}; r)$ and $N(\bar{u}; r)$ are k-similar, or that $\bar{v}$ and $\bar{u}$ have k-similar r-neighborhoods, if Duplicator wins $d\text{EHR}_k(N(\bar{v}; r), \bar{v}, N(\bar{u}; r), \bar{u})$.

If $X \subseteq V(G_1)$ and $Y \subseteq V(G_2)$ are sets of vertices we say that X and Y have k-similar r-neighborhoods if we can order their vertices to form lists $\bar{v}$, resp. $\bar{u}$ such that $N(\bar{v}; r)$ and $N(\bar{u}; r)$ are k-similar.

Now suppose that $X \subseteq V(G_1)$ and $Y \subseteq V(G_2)$ can be partitioned into lists $X = \bar{v_1} \cup \cdots \cup \bar{v_a}$ and $Y = \bar{u_1} \cup \cdots \cup \bar{u_b}$ such that $N(\bar{v_i}; r)$'s, and the $N(\bar{u_i}; r)$'s, are connected and disjoint. We say that $N(X; r)$ and $N(Y; r)$ are k-agreeable, or that they have k-agreeable neighborhoods, if any $\bar{w}$ among the $\bar{v_i}$'s or among the $\bar{u_i}$'s satisfies:

- The number of $\bar{v_i}$'s and the number of $\bar{u_i}$'s satisfying that "$\bar{v_i}$ (resp. $\bar{u_i}$) and $\bar{w}$ have k-similar r-neighborhoods" are the same or are both greater or equal than k.

The main theorem of this section,which is a slight strengthening of Theorem 2.6.7 from [10], is the following:

Theorem 1.3. *Set $r = (3^k - 1)/2$. Let G_1, G_2 be hypergraphs, and suppose there exist sets $X \subseteq V(G_1)$, $Y \subseteq V(G_2)$ with the following properties:*

(1) $N(X;r)$ and $N(Y;r)$ are k-agreeable.

(2) Let $r' \le r$. Let $v \in V(G_1)$ such that $d(v,X) > 2r' + 1$, and let $u_1,\ldots,u_{k-1} \in V(G_2)$. Then there exists a vertex $u \in V(G_2)$ with u,v having k-similar r'-neighborhoods and satisfying $d(u,u_i) > 2r' + 1$ for all u_i's as well as $d(u,Y) > 2r' + 1$.

(3) Let $r' \le r$. Let $u \in V(G_2)$ such that $d(u,Y) > 2r' + 1$, and let $v_1,\ldots,v_{k-1} \in V(G_1)$. Then there exists a vertex $v \in V(G_1)$ with v,u having k-similar r'-neighborhoods and satisfying $d(v,v_i) > 2r' + 1$ for all v_i's as well as $d(v,X) > 2r' + 1$.

Then Duplicator wins $\text{EHR}_k(G_1,G_2)$.

In order to prove this theorem we need to make two observations and prove a previous lemma.

Observation 1.1. *Let H_1, H_2 be hypergraphs and $\bar{v}, \bar{u}$, be lists of vertices from $V(H_1)$ and $V(H_2)$ respectively. Suppose that Duplicator wins $d\text{EHR}_k(H_1,\bar{v},H_2,\bar{u})$. Then, for any r Duplicator also wins $d\text{EHR}_k(N(\bar{v};r),\bar{v},N(\bar{u};r),\bar{u})$. In particular, given hypergraphs G_1,G_2 and sets $X \subseteq V(G_1)$, $Y \subseteq V(G_2)$ such that $N(X;r)$ and $N(Y;r)$ are k-similar, then for any $r' \le r$ the graphs $N(X;r')$ and $N(Y;r')$ are k-similar as well.*

Observation 1.2. *Let H_1, H_2 be hypergraphs and $\bar{v}, \bar{u}$, be lists of vertices from $V(H_1)$ and $V(H_2)$ respectively. Suppose Duplicator wins $d\text{EHR}_k(H_1,\bar{v},H_2,\bar{u})$. Let $v' \in V(H_1), u' \in V(H_2)$ be vertices played in the first round of an instance of the game where Duplicator is following a winning strategy. Then Duplicator also wins $d\text{EHR}_{k-1}(H_1,\overline{v_2},H_2,\overline{u_2})$, where $\overline{v_2} := \bar{v},v'$ and $\overline{u_2} := \bar{u},u'$.*

Lemma 1.1. *Let G_1, G_2 be hypergraphs and $\bar{v}, \bar{u}$, be lists of vertices from $V(G_1)$ and $V(G_2)$ respectively. Let r be greater than zero. Suppose that $N(\bar{v};3r+1)$ and $N(\bar{u};3r+1)$ are k-similar. Let $v' \in V(G_1), u' \in V(G_2)$ be vertices played in the first round of an instance of $d\text{EHR}_k(N(\bar{v};3r+1),\bar{v},N(\bar{u};3r+1),\bar{u})$ where Duplicator is following a winning strategy. Further suppose that $d(\bar{v},v_2) \le 2r+1$ (and in consequence $d(\bar{u},u_2) \le 2r+1$ as well). Let $\overline{v_2} := \bar{v},v'$ and $\overline{u_2} := \bar{u},u'$. Then $N(\overline{v_2};r)$ and $N(\overline{u_2};r)$ are $(k-1)$-similar*

Proof. Using observation 1.2 we get that Duplicator wins

$$d\text{EHR}_k(N^{G_1}(\bar{v};3r+1),\overline{v_2},N^{G_2}(\bar{u};3r+1),\overline{u_2})$$

as well. Call $H_1 = N^{G_1}(\bar{v};3r+1)$, $H_2 = N^{G_2}(\bar{u};3r+1)$. Then by observation 1.2 Duplicator wins

$$d\text{EHR}_k(N^{H_1}(\overline{v_2};r),\overline{v_2},N^{H_2}(\overline{u_2};r),\overline{u_2}).$$

Because of this if we prove $N^{G_1}(\overline{v_2};r) = N^{H_1}(\overline{v_2};r)$ and $N^{G_2}(\overline{u_2};r) = N^{H_2}(\overline{u_2};r)$, then we are finished. Let $z \in N^{G_1}(v';r)$. Then $d(z,\bar{v}) \le d(z,v') + d(v',\bar{v}) = 3r+1$. In consequence, $N^{G_1}(v;r) \subset H_1$. Thus, $N^{G_1}(\overline{v_2};r) \subseteq H_1$, and $N^{G_1}(\overline{v_2};r) = N^{H_1}(\overline{v_2};r)$. Analogously we obtain $N^{G_2}(\overline{u_2};r) = N^{H_2}(\overline{u_2};r)$, as we wanted. $\square$

Now we are in conditions to prove theorem 1.3.

Proof of theorem 1.3. Define $r_0 = 0$ and $r_i = 3r_{i-1} + 1$ for $i > 0$. Let us denote by w_i and z_i the vertices played in G_1 and G_2 respectively during the i-th round of $\text{EHR}_k(G_1, G_2)$.

Let $\overline{v_1}, \ldots, \overline{v_a}$ and $\overline{u_1}, \ldots, \overline{u_b}$ be lists forming partitions of X and Y respectively, and assume they are as in the definition of k-agreeability. Set

$$\mathscr{X}[0] = \{\overline{v_1}, \ldots, \overline{v_a}\}, \quad \mathscr{Y}[0] = \{\overline{u_1}, \ldots, \overline{u_b}\}.$$

That is, $\mathscr{X}[0]$ and $\mathscr{Y}[0]$ are the whose elements are the $\overline{v_i}$'s and $\overline{u_i}$'s respectively. At the end of the s-th round $\mathscr{X}[s-1]$, resp. $\mathscr{Y}[s-1]$, will be updated into $\mathscr{X}[s]$, resp. $\mathscr{Y}[s]$, by performing on it some of the following operations: adding a new list to it, appending one vertex to an existing list, and marking a list with the index s. Duplicator will keep track of the sets $\mathscr{X}[s]$ and $\mathscr{Y}[s]$.

We show first an strategy for Duplicator and will prove its correctness afterwards. The strategy is as follows: At the beginning of the s-th round suppose Spoiler plays w_s in G_1. The case where they play z_s in G_2 is symmetric. Call $r = r_{k-s}$. There are three possibilities.

Case 1: The vertex w_s satisfies $d(w_s, \bar{v}) > 2r + 1$ for all $\bar{v} \in \mathscr{X}[s-1]$. Then Duplicator can find a vertex z_s in G_2 such that $d(z_s, \bar{u}) > 2r + 1$ for all $\bar{u} \in \mathscr{Y}[s-1]$ satisfying that w_s and z_s have $(k-s)$-similar r-neighborhoods. To form $\mathscr{X}[s]$ and $\mathscr{Y}[s]$, add to $\mathscr{X}[s-1]$ and $\mathscr{Y}[s-1]$ the lists consisting of only w_s and only z_s respectively, and mark them with the number s.

Case 2: The vertex w_s satisfies $d(w_s, \bar{v}) \leq 2r + 1$ for a unique $\bar{v} \in \mathscr{X}[s-1]$, and $\bar{v}$ is marked. In this case, find the list $\bar{u} \in \mathscr{Y}[s-1]$ with the same mark. Duplicator then can chose $z_s \in N(\bar{u}, 2r + 1)$ in response to w_s according to a winning strategy for

$$d\text{EHR}_{k-s}(N(\bar{v}, 3r + 1), \bar{v}, N(\bar{u}, 3r + 1), \bar{u}).$$

To form $\mathscr{X}[s]$ and $\mathscr{Y}[s]$, append w_s and z_s to $\bar{v}$ and $\bar{u}$ respectively.

Case 3: The vertex w_s satisfies $d(w_s, \bar{v}) \leq 2r + 1$ for a unique $\bar{v} \in \mathscr{X}[s-1]$, and $\bar{v}$ is not marked. In this case we can find a non-marked list $\bar{u} \in \mathscr{Y}[s-1]$ such that $\bar{v}$ and $\bar{u}$ have $(k-s)$-similar $(3r + 1)$-neighborhoods. Duplicator then can chose $z_s \in N(\bar{u}, 2r + 1)$ in response to w_s according to a winning strategy for

$$d\text{EHR}_{k-s}(N(\bar{v}, 3r + 1), \bar{v}, N(\bar{u}, 3r + 1), \bar{u}).$$

To form $\mathscr{X}[s]$ and $\mathscr{Y}[s]$, append w_s and z_s to $\bar{v}$ and $\bar{u}$ respectively, and mark those lists with the number s.

All that is left now is to prove the correctness of the strategy. We show that at the end the s-th round, if two lists $\bar{v} \in \mathscr{X}[s]$ and $\bar{u} \in \mathscr{Z}[s]$ have the same mark then $\bar{v}$ and $\bar{u}$ have $(k-s)$-similar r_{k-s}-neighborhoods. This happens trivially at the end of the zeroth round -i.e., the beginning of the game- as there are no marked lists. Assume the statement holds up to the end of the $(s-1)$-th round, where $s > 0$.

Case 1: Notice that the lists in $\mathscr{Y}[s-1]$ only contain the vertices previously played in G_2 and the ones from Y. Thus, assumption (3) of the theorem, (or assumption (2) in the symmetric case where Spoiler plays in G_2) assures us that Duplicator can always find such z_s sufficiently far away from all the other lists. In this case, the only new marked lists in $\mathscr{X}[s]$ and $\mathscr{Y}[s]$ are the ones consisting of w_s and z_s respectively. By assumption w_s and z_s have $(k-s)$-similar r_{k-s}-neighborhoods.

Case 2: Notice that by the induction hypothesis $\bar{v}$ and $\bar{u}$ have $(k-s+1)$-similar r_{s-k+1}-neighborhoods, and in consequence a winning strategy for Duplicator exists. Using lemma 1.1 we obtain that the extended lists $\bar{v}, w_s$ and $\bar{u}, z_s$ have $(k-s)$-similar r_{s-k}-neighborhoods.

Case 3: This case is analogous to the previous one. The definition of k-agreeability implies that there is such an unmarked list $\bar{u}$ available. Using lemma 1.1 we obtain that the extended lists $\bar{v}, w_s$ and $\bar{u}, z_s$ have $(k-s)$-similar r_{s-k}-neighborhoods.

In the three cases, if $\bar{v}$ and $\bar{v}$ are lists in $\mathscr{X}[s-1]$ and $\mathscr{Y}[s-1]$ respectively that share the same mark and remain unmodified in $\mathscr{X}[s]$ and $\mathscr{Y}[s]$, then by the induction hypothesis $\bar{v}$ and $\bar{v}$ have $(k-s+1)$-similar r_{k-s+1}-neighborhoods. This easily implies that they also have $(k-s)$-similar r_{k-s}-neighborhoods.

At the end of the game, if $\bar{v} \in \mathscr{X}[k]$ and $\bar{u} \in \mathscr{Y}[k]$ are lists with the same mark then the natural mapping between $\bar{v}$ and $\bar{u}$ defines an isomorphism between $G_1[\bar{v}]$ and $G_2[\bar{u}]$. $\qquad\square$

> Quizás reordenando esta demostración se puede acortar o se entiende mejor.

1.8 Types of trees

We define tree T as a connected hypergraph such that $ex(T) = -1$. We define a vertex-rooted tree (T,v) as a tree T with a distinguished vertex $v \in V(T)$ called its root. We will usually omit the root when it is not relevant and write just T instead of (T,v). We define the set of initial edges of a vertex-rooted tree (T,v) as the set of edges in T that contain v.

Given a rooted tree (T,v), and a vertex $u \in V(T)$, we define $\tau_{(T,v)}(u)$ as the tree $T[X]$ induced on the set $X := \{ w \in V(T) \,|\, d(v,w) = d(v,u) + d(u,w) \}$, to which we assign u as the root. That is, $\tau_{(T,v)}(u)$ is the tree consisting of those vertices whose only path to v contains u.

We define the radius of a vertex-rooted, or edge-rooted, tree as the maximum distance between its marked vertex and any other one.

Fix a natural number k. We will define two equivalence relations, one between rooted trees and another between pairs (T,e) of rooted trees T and initial edges $e \in E(T)$. We will name both relations k-equivalence relations and denote them by $\simeq_k$. They are defined recursively as follows:

- Any two trees with radius zero are k-equivalent. Notice that those trees consist only of one vertex: their respective roots.

- Suppose that the k-equivalence relation has been defined for rooted trees with radius at most r.

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– Let T_1 and T_2 be rooted trees with radius at most $r+1$, and let e_1, e_2 be initial edges of T_1 and T_2 respectively. Then $(T_1, e_1) \simeq_k (T_2, e_2)$ if e_1 and e_2 have the same color and there is a bijection $f : e_1 \rightarrow e_2$ between the vertices in e_1 and e_2 such that:

  * If $e_1 = [u_1, \ldots, u_a]$ then $e_2 = [f(u_1), \ldots, f(u_a)]$.
  * If v_1 and v_2 are the roots of T_1 and T_2 respectively, then $v_2 = f(v_1)$.
  * For any vertex different from the root $u \in e_1$, it is satisfied that

$$\tau_{(T_1, v_1)}(u) \simeq_k \tau_{(T_2, v_2)}(f(u)).$$

– Let T_1 and T_2 be rooted trees with radius at most $r+1$. Then $T_1 \simeq_k T_2$ if for any chosen $T = T_1$ or $T = T_2$ and any initial edge $e \in E(T)$, the "quantity of initial edges e_1 from T_1 that satisfy $(T_1, e_1) \simeq_k (T, e)$" and the "quantity of initial edges e_2 from T_2 that satisfy $(T_2, e_2) \simeq_k (T, e)$" are the same or are both greater than $k-1$.

We want prove the following

Theorem 1.4. *Let (T_1, v_1) and (T_2, v_2) be rooted trees. Then, if they are k-equivalent Duplicator wins $d\mathrm{EHR}_k(T_1, v_1, T_2, v_2)$.*

Before proceeding with the proof that we need an auxiliary result. Let (T, v) be a rooted tree and e an initial edge of T. We define $Tree_{(T_v)}(e)$ as the induced tree $T[X]$ on the set $X := \{v\} \cup \{u \in V(T) \mid d(v, u) = |e| + d(e, v)\}$, to which we assign v as the root. In other words, $Tree_{(T_v)}(e)$ is the tree formed of v and all the vertices in T whose only path to v contain e. Now we can check the following:

Lemma 1.2. *Fix $r > 0$. Suppose that theorem 1.4 holds for rooted trees with radii at most r. Let (T_1, v_1) and (T_2, v_2) be rooted trees with radii at most $r+1$. Let e_1 and e_2 be initial edges of T_1 and T_2 respectively satisfying $(T_1, e_1) \simeq_k (T_2, e_2)$. Name $T_1' = Tree_{(T_1, v_1)}(e_1)$ and $T_2' = Tree_{(T_2, v_2)}(e_2)$. Then Duplicator wins $d\mathrm{EHR}k(T_1', v_1, T_2', v_2)$.*

Proof. We show a winning strategy for Duplicator. Suppose that in the *i*-th round of the game Spoiler plays on T_1'. The other case is symmetric. Let $f : e_1 \rightarrow e_2$ be a bijection as in the definition of $(T_1, e_1) \simeq_k (T_2, e_2)$. There are two possibilities:

- If Spoiler plays a vertex v on e_1 then Duplicator can play $f(v)$ on e_2.

- Otherwise, Spoiler plays a vertex v that belongs to some $Tree_{(T_1', v_1)}(u)$ for a unique $u \in e_1$ different from the root v_1. By the definition of $(T_1, e_1) \simeq_k (T_2, e_2)$, $Tree_{(T_1', v_1)}(u) \simeq_k Tree_{(T_2', v_2)}(f(u))$. As both these trees have radii at most r, by assumption Duplicator has a winning strategy between them and they can follow it.

$\square$

Now we can prove the main theorem of this section:

Proof of theorem 1.4.

Notice that, as $T_1 \simeq_k T_2$, both T_1 and T_2 have the same radius r. We prove the result by induction on r. If $r = 0$ then both T_1 and T_2 consist of only one vertex and we are done.

Now let $r > 0$ and assume that the statement is true for all lesser values of r. We will show that there is a winning strategy for Duplicator in $d\text{EHR}_k(T_1, v_1, T_2, v_2)$. At the start of the game, set all the initial edges in T_1 and T_2 as non-marked. Suppose that in the i-th round Spoiler plays in T_1. The other case is symmetric.

- If Spoiler plays v_1 then Duplicator plays v_2.

- Otherwise, the vertex played by Spoiler belongs to $Tree_{(T_1, v_1)}(e_1)$ for a unique initial edge e_1 of T_1. There are two possibilities:

  - If e_1 is not marked yet, mark it with the index i. In this case, there is a non-marked initial edge e_2 in T_2 satisfying $(T_1, e_1) \simeq_k (T_2, e_2)$. Mark e_2 with the index i as well. Because of lemma 1.2, Duplicator has a winning strategy in

    $$d\text{EHR}k(Tree_{T_1}(e_1), v_1, Tree_{T_1}(e_2), v_2)$$

    and can play according to it.

  - If e_1 is already marked then there is a unique initial edge e_2 in T_2 marked with the same mark as e_1 and $(T_1, e_1) \simeq_k (T_2, e_2)$. Again, Because of lemma 1.2, Duplicator has a winning strategy in

    $$d\text{EHR}k(Tree_{T_1}(e_1), v_1, Tree_{T_1}(e_2), v_2)$$

    and can continue playing according to it.

  Then Duplicator can find an initial edge e_2 of T_2 such that $(T_1, e_1) \simeq_k (T_2, e_2)$. Because of lemma 1.2, Duplicator has a winning strategy in $d\text{EHR}k(Tree_{T_1}(e_1), v_1, Tree_{T_1}(e_2), v_2)$ and can play according to it.

$\square$

> probablemente con algún dibujo sencillo esta demostración se entienda mejor

1.9 Probabilistic results

Given a natural numbers n and l we will use $(n)_l$ to denote $n(n-1)\cdots(n-l+1)$ or 1 if $l = 0$.

Our main tool for computing probabilities will be the following multivariate version of Brun's Sieve (Theorem 1.23, [11]).

Theorem 1.5. *Fix $k \in \mathbb{N}$. For each $n \in \mathbb{N}$, let $X_{n,1}, \ldots, X_{n,k}$ be non-negative random integer variables over the same probability space. Let $\lambda_1, \ldots, \lambda_l$ be real numbers. Suppose that for any $r_1, \ldots, r_l \in \mathbb{N}$*

$$\lim_{n \to \infty} \text{E}\Big[\prod_{i=1}^{k}(X_{n,i})_{r_i}\Big] = \prod_{i=1}^{k}\frac{\lambda_i}{r_i!}.$$

Then the $X_{n,1}, \ldots, X_{n,k}$ converge in distribution to independent Poisson variables with means $\lambda_1, \ldots, \lambda_k$ respectively.

1.10 Almost all hypergraphs are simple

We say that a connected hypergraph G is **dense** if $ex(G) > 0$. Given $r \in \mathbb{N}$, we say that G is **r-simple** if G does not contain any dense subgraph H such that $diam(H) \leq r$. The goal of this section is to show that, for any fixed r, a.a.s G_n is r-simple.

Lemma 1.3. *Let H be an hypergraph. Then* $\mathrm{E}\big[\text{# copies of } H \text{ in } G_n\big] = \Theta(n^{-ex(H)})$ *as n tends to infinity.*

Proof. Given any one-to-one map $f \in [n]_{V(H)}$, let $X^H_{n,f}$ be the indicator variable that takes value one when f defines an monomorphism between H and G_n and zero otherwise. The probability that $X^H_{n,f} = 1$ is exactly $\prod_{i=1}^{t} \left(\frac{\beta_i}{n^{a_i-1}} \right)^{e_i(H)}$ which can be written as $C \cdot n^{-ex(H)-v(H)}$ for some constant C that does not depend on f nor n. Define $X^H_n = \sum_{f \in [n]_{V(H)}} X^H_{n,f}$. Then, by definition, the number of copies of H in G_n is exactly $X^H_n / |Aut(H)|$. Taking into account that

$$\mathrm{E}[X^H_n] = (n)_{v(H)} \cdot C \cdot n^{-\sum_{i=1}^{t}(a_i-1)\cdot e_i(H)} = \Theta(n^{-ex(H)}),$$

the result follows. $\square$

As a corollary of last result we get the following:

Lemma 1.4. *Let H be an hypergraph such that $ex(H) > 0$. Then a.a.s there are no copies of H in G_n.*

Proof. Because of the previous fact, $\mathrm{E}\big[\text{# copies of } H \text{ in } G_n\big] \xrightarrow{n \to \infty} 0$. An application of the first moment method yields the desired result. $\square$

A similar result that will be useful later is the following:

Lemma 1.5. *Let $\bar{v} := (v_1, \ldots, v_j) \in \mathbb{N}*$. Let H be an hypergraph such that $ex(H) > -j$. Then a.a.s there is no copy of H in G_n that contains all $v_1, \ldots, v_j$.*

Proof. It is sufficient to show that

$$\mathrm{E}\big[\text{# copies of } H \text{ in } G_n \text{ containing } \bar{v}\big] \xrightarrow{n \to \infty} 0. \tag{1}$$

Then, because of a first moment argument the result follows.

Suppose that $v(H) \geq j$. Otherwise the statement is trivial. As before, given any $f \in [n]_{V(H)}$, let $X^H_{n,f}$ be the random variable that takes value one if f is a monomorphism from H to G_n and zero otherwise. The probability that $X^H_{n,f}$ takes value one is $C \cdot n^{-ex(H)-v(H)}$ for some constant C independent from f and n. Let

$$Y^H_{n,\bar{x}} = \sum_{\substack{f \in [n]_{V(H)} \\ \bar{x} \subset Im(f)}} X^H_{n,f}.$$

The number of functions $f \in [n]_{V(H)}$ such that $v_1, \ldots, v_j \in Im(f)$ is $\Theta(n^{v(H)-j})$. In consequence $\mathrm{E}\big[Y^H_{n,\bar{v}}\big] = \Theta(n^{-ex(H)-j})$, and

$$\mathrm{E}\big[\text{# copies of } H \text{ in } G_n \text{ containing } \bar{v}\big] = \Theta(n^{-ex(H)-j}).$$

This, together with $ex(H) > -j$, proves eq. (1). $\square$

The main theorem of this section is the following

Theorem 1.6. *Let $r \in \mathbb{N}$. Then a.a.s G_n is r-simple.*

The first moment method alone is not sufficient to prove our claim because the amount of dense hypergraphs H such that $diam(H) \leq r$ is not finite in general. Thus, we need to prove that it suffices to prohibit a finite amount of dense sub-hypergraphs in order to guarantee that G_n is r-simple.

Lemma 1.6. *Let H be a dense hypergraph of radius r. Then H contains a dense sub-hypergraph H' with size no greater than $(a+2)(r+1)+2a$, where a is the largest edge size in H.*

Proof. Choose $x \in V(H)$. Successively remove from G edges e such that $d(x,e)$ is maximum until the resulting graph H' has excess no greater than 0. We have two cases:

- $ex(H') = -1$. Let $e = [x_1, \ldots, x_b]$ be the last removed edge and $e \cap H' = \{x_{i_1}, \ldots, x_{i_d}\}$. For any $j = 1, \ldots, d$ choose P_j a path of size no greater than $r+1$ joining x and x_{i_j} in H'. Then $P_1 \cup \ldots P_d \cup e$ is a dense sub-hypergraph of H of size less than $a(r+1) + a < (a+2)(r+1) + 2a$.

- $ex(H') = 0$. Let $e_1 = [x_1, \ldots, x_{b_1}]$ be the last removed edge. Continue removing the edges of G' that are at maximum distance from x until you obtain H'' with $ex(H'') = -1$. Let $e_2 = [y_1, \ldots, y_{b_2}]$ be the last removed edge this time. As before, let $e_1 \cap H' = \{x_{i_1}, \ldots, x_{i_d}\}$ and for $j = 1, \ldots, d$ let P_j a path of size no greater than $r+1$ joining x and x_{i_j} in H' Then $e_2 \cup H'' = \{y_{i_1}, y_{i_2}\}$. Let Q_1, Q_2 be paths size no greater than $r+1$ from x to y_{i_1} and y_{i_2} in H''. Then $Q_1 \cup Q_2 \cup e_2$ is a graph of likelihood 0 and size less than $2r+2+a$, and $Q_1 \cup Q_2 \cup P_1 \cup \cdots \cup P_d \cup e_1 \cup e_2$ is a critical graph with size less than $(2+a)(r+1)+2a$

$\square$

Now we are in conditions to prove theorem 1.6.

Proof. Because of last lemma there is a constant R such that "G does not contain dense hypergraphs of size bounded by R" implies that "G is r-simple". Thus,

$$\lim_{n \to \infty} \Pr(G_n \text{ is } r\text{-simple}) \geq \lim_{n \to \infty} \Pr(G_n \text{ does not contain dense hypergraphs of size bounded by } R).$$

Because of lemma 1.4, given any individual dense hypergraph, the probability that there are no copies of it in G_n tends to 1 as n goes to infinity. Using that there are a finite number of dense hypergraphs of size bounded by R we deduce that the RHS of last inequality tends to 1. $\square$

1.11 Probabilities of trees

During this section we want to study the asymptotic probability that the r-neighborhood of a given vertex $v \in \mathbb{N}$ in G_n is a tree that belongs to a given k-equivalence class of trees $\mathscr{T}$ with radius at most r. That is, we want to know

$$\lim_{n \to \infty} \Pr(T := N^{G_n}(v;r) \text{ is a tree, and } (T,v) \in \mathscr{T}).$$

Denote this limit by $\Pr[r, \mathscr{T}]$. Notice that the definition of $\Pr[r, \mathscr{T}]$ does not depend by the choice of v.

We define Λ and M as the minimal families of expressions with arguments $\bar{\beta}$ that satisfy the conditions: **(1)** $1 \in \Lambda$, **(2)** for any $b, i \in \mathbb{N}$ with $1 \le i \le c$, $b > 0$, and $\lambda_1, \ldots, \lambda_{a_i - 1} \in \Lambda$, the expression $(\beta_i / b) \prod_{j=1}^{a_i - 1} \lambda_j$ belongs to M, **(3)** for any $\mu \in M$ and any $n \in \mathbb{N}$ both $\mathrm{Poiss}_\mu(n)$ and $\mathrm{Poiss}_\mu(\ge n)$ are in Λ, and **(4)** for any $\lambda_1, \lambda_2 \in \Lambda$, the product $\lambda_1 \lambda_2$ belongs to Λ as well.

The goal of this section is to show that $\Pr[r, \mathscr{T}]$, as an expression with parameters $\bar{\beta}$, belongs to Λ for any choice of r and $\mathscr{T}$.

Lemma 1.7. *Let $\bar{v} \subset \mathbb{N}*$ be a finite set of fixed vertices and let $\sigma(\bar{x})$ be an open formula with no equality such that $length(\bar{x}) = length(\bar{v})$. Define $G'_n = G_n \setminus E(\bar{v})$. Fix $R \in \mathbb{N}$.*

- *Let A_n be the event that G'_n contains a path of size at most $R + 1$ between any two vertices $u, w \in \bar{v}$.*

- *Let B_n be the event that G'_n contains a cycle of size at most $R + 1$ that contains a vertex $u \in \bar{v}$.*

Then $\lim_{n \to \infty} \Pr(A_n \mid \sigma(\bar{v})) = 0$, and $\lim_{n \to \infty} \Pr(B_n \mid \sigma(\bar{v})) = 0$.

Proof. Notice that the events A_n and B_n do not concern the possible edges induced over $\bar{v}$. In consequence, because edges are independent in our random model, $\Pr(A_n \mid \sigma(\bar{v})) = \Pr(A_n)$ and $\Pr(B_n \mid \sigma(\bar{v})) = \Pr(B_n)$.

The facts that $\lim_{n \to \infty} \Pr(A_n) = 0$ and $\lim_{n \to \infty} \Pr(B_n) = 0$ follow from lemma 1.5 using that (1) the excess of any path is greater or equal than -1, (2) the amount of paths of size at most $R + 1$ is finite, (3) the excess of any cycle is zero, and (4) the amount of cycles of size at most $R + 1$ is finite. $\qquad\square$

Definition 1.3. We call an hypergraph G **saturated** if any proper sub-hypergraph $G' \subset H$ satisfies $ex(G') < ex(G)$.

The **center** of a connected hypergraph G is its maximal saturated sub-hypergraph and it is denoted by $Center(G)$. In the general case the center of an hypergraph is the union of the centers of its connected components.

Definition 1.4. Let G be a connected hypergraph and let $\bar{v} \in V(G)^*$. Then we call $Center(G, \bar{v})$ to the minimal connected hypergraph that contains $Center(G)$ and the vertices $\bar{v}$. In general, if G is an arbitrary hypergraph with connected components $G_1, \ldots, G_k$, and $\bar{v}$ are vertices $V(G)$, then we call $Center(G, \bar{v})$ to the union of $Center(G_i, V(G_i) \cap \bar{v})$ for all the connected components G_i.

Definition 1.5. Let G be an hypergraph G, let $\bar{u} \in V(G)^*$ and let $v \in \bar{u}$. Consider the graph $G' = G \setminus E\big(Center(G, \bar{u})\big)$. Then the connected components of G' are all trees. We call the **tree of v in $G(\bar{u})$**, denoted by $Tr\big(G(\bar{u}), v\big)$, to the connected component of G' to which v belongs with v as its root.

In this same situation, let $r \in \mathbb{N}$ and $H := N^G(\bar{u}; r)$. We call the **r-tree of v in $G(\bar{u})$**, denoted by $Tr\big(G(\bar{u}), v; r\big)$ to $Tr\big(H(\bar{u}), v\big)$.

Definition 1.6. Given a set Σ and an hypergraph G, a Σ-coloring of G is a partial function χ from $V(G)$ to Σ. A Σ-hypergraph (G, χ) is an hypergraph G equipped with a Σ-coloring of G, χ. When χ is understood or is not relevant we may omit it and write just G instead of (G, χ).

Given two Σ-hypergraphs (G_1, χ_1) and (G_2, χ_2), a Σ-isomorphism between them is an hypergraph isomorphism $f : V(G_1) \to V(G_2)$ satisfying that that for any $v \in V(G_1)$ either (1) $\chi_1(v) = \chi_2(f(v))$, or (2) both $\chi_1(v)$ and $\chi_2(f(v))$ are undefined. A Σ automorphism of a Σ-hypergraph G is a Σ-isomorphism from G to itself.

Let $(H, \chi_1), (G, \chi_2)$ be two Σ-hypergraphs. Then a copy of H in G is a sub-hypergraph $G_2 \subset G$ such that $(H, \chi_1) \simeq_\Sigma (G_2, \chi_2|_{G_2})$.

Notation: $Copies([n], (G, \chi))$ Notation: $H \subset_\Sigma G$.

Definition 1.7. Given a set Σ, a random Σ-coloring on G_n is a random partial function $\chi : [n] \to \Sigma$.

Let $\bar{u} \in [n]^*$, and let χ be a random coloring on G_n. We say that χ is $\bar{u}$-symmetric if for every $s \in \Sigma$ the probability $\Pr(\chi(v) = s)$ is the same for all $v \in [n] \setminus \bar{u}$.

Remark: A random coloring χ of G_n does not have to be independent from G_n. In fact, in the cases we are going to consider χ will be determined by G_n.

For each $n \in \mathbb{N}$ let χ_n be a random Σ-coloring of G_n. We say that the sequence χ_n is regular if:

(1) For each $n \in \mathbb{N}$ and $s \in \Sigma$ the probability $\Pr(\chi_n(v) = s)$ is the same for all vertices $v \in [n]$.

(2) For any vertex $v \in \mathbb{N}$ and any $s \in \Sigma$, the limit $\lim_{n \to \infty} \Pr(\chi_n(v) = s)$ exists. Notice that condition (1) implies that this limit does not depend on v.

If the χ_n's form a regular sequence of colorings we will write $\Pr[\chi, s]$ to denote the limit $\lim_{n \to \infty} \Pr(\chi_n(v) = s)$ in (2).

Definition 1.8. Let $\mathscr{F}$ be a family of hypergraphs, and for each $i \in [l]$ let $(\chi_{n,i})_{n \in \mathbb{N}}$ be a sequence of regular random Σ_i-colorings of G_n. We say that the sequences $(\chi_{n,i})$ are asymptotically stable with respect to $\mathscr{F}$ if for any

- fixed finite number of copies of hypergraphs from $\mathscr{F}$ in $\mathbb{N}$, $G^1, \ldots, G^k \in \bigcup_{H \in \mathscr{F}} Copies(\mathbb{N}, H)$,

- fixed different vertices $v_1, \ldots, v_a \in V(G^1) \cup \cdots \cup V(G^k)$, indices $i_1, \ldots, i_a \in [l]$ and labels $s_1 \in \Sigma_{i_1}, \ldots, s_a \in \Sigma_{i_a}$,

it is satisfied that

$$\lim_{n \to \infty} \Pr\left(\bigwedge_{c=1}^{l} \chi_{n,i_c}(v_c) = s_c \mid \bigwedge_{j=1}^{k} G^j \subset G_n \right) = \prod_{c=1}^{l} \Pr[\chi_{i,c}, s_c].$$

Theorem 1.7. *Let $l \in \mathbb{N}$. For any $i \in [l]$*

- *Let Σ_i be a set of colors.*

- *Let H_i be a unicycle and let η_i be a Σ_i-coloring of H_i.*

- Let $(\chi_{n,i})_{n \in \mathbb{N}}$ be a regular sequence of random Σ_i-colorings of $(G_n)_{n \in \mathbb{N}}$.

- Let $X_{n,i}$ be the random variable that counts the copies of (H_i, η_i) in $(G_n, \chi_{n,i})$.

Let $\mathscr{F} = \{H_1, \dots, H_l\}$. Suppose the sequences $(\chi_{n,i})$ are asymptotically stable with respect to $\mathscr{F}$. Then the random variables $X_{n,i}$ are asymptotically distributed like independent Poisson variables with means

$$\lambda_i := \frac{\left(\prod_{i=1}^{t} \beta_i^{e_i(H_i)}\right)\left(\prod_{s \in \Sigma_i} \Pr[\chi_i, s]^{|\eta^{-1}(s)|}\right)}{|Aut(H_i, \eta_i)|}.$$

That is, given any $b_1, \dots, b_l \in \mathbb{N}$,

$$\lim_{n \to \infty} \Pr\left(\bigwedge_{i=1}^{l} X_{n,i} = b_i\right) = \prod_{i=1}^{l} e^{-\lambda_i} \frac{\lambda_i^{b_i}}{b_i!}.$$

Proof. We have to show that for any $c_1, \dots, c_l \in \mathbb{N}$

$$\lim_{n \to \infty} \mathrm{E}\left[\prod_{i=1}^{l} (X_{n,i})_{c_i}\right] = \prod_{i=1}^{l} \lambda_i^{c_i}. \tag{2}$$

Then using theorem 1.5 the theorem follows.

For any $i \in [l]$, the random variable $(X_{n,i})_{c_i}$ counts the number of ordered tuples $(H_i^1, \eta_i^1), \dots,$ $(H_i^{c_i}, \eta_i^{c_i})$ such that for each $j \in [c_i]$, $(H_i^j, \eta_i^j) \subset (G_n, \chi_{n,i})$.

$\square$

Theorem 1.8. *The following are satisfied:*

(1) Let $r \in \mathbb{N}$ and let $\mathscr{T}$ be a k-equivalence class for trees with radii at most r. Then $\Pr[r, \mathscr{T}]$ exists and is an expression in Λ.

(2) Let $\bar{u} \in \mathbb{N}*$ be a list of different fixed vertices, and let $\phi[\bar{x}] \in FO[\sigma]$ be a consistent open sentence (i.e. with no bounded variables) such that $length(\bar{x}) = length(\bar{w})$. Let $= v_1, \dots, v_k \in \mathbb{N}$ be different vertices contained in $\bar{u}$. Let $r_1, \dots, r_k \in \mathbb{N}$ and let $\mathscr{T}_1, \dots \mathscr{T}_k$ be k-equivalence classes for trees such that each $\mathscr{T}_i$ has radii bounded by the correspondent r_i. Then

$$\lim_{n \to \infty} \Pr\left(\bigwedge_{i=1}^{k} Tr(G_n(\bar{u}), v_i; r) \in \mathscr{T}_i \mid \sigma(\bar{w})\right) = \prod_{i=1}^{k} \Pr[r_i, \mathscr{T}_i].$$

Proof. Let R be an upper bound of the radius of r and the r_i's in the statement. We will prove (1) and (2) together by induction on R.

Assume $R = 0$. We start by showing that (1) holds. Recall that all trees with radius zero are k-equivalent. Thus, if $\mathscr{T}$ is the unique k-equivalence class of trees with radius zero and $v \in \mathbb{N}$ is a fixed vertex then

$$\Pr[0; \mathscr{T}] = \lim_{n \to \infty} \Pr\left(T := N^{G_n}(v; 0) \text{ is a tree, and } (T, v) \in \mathscr{T}\right) = 1,$$

Indeed, $N^{G_n}(v;0)$ consists of a single vertex for all $n \geq v$, and the above equation follows. The expression 1 belongs to Λ, so (1) holds.

The case of (2) is analogous. As $R = 0$, then $\mathscr{T}_1 = \cdots = \mathscr{T}_k$ are the unique k-equivalence class of trees with radius zero. Then, given $\sigma, \bar{u}, v_1, \ldots, v_k$ as in the statement,

$$\lim_{n \to \infty} \Pr\big(\bigwedge_{i=1}^{k} Tr\big(G_n(\bar{u}), v_i; r\big) \in \mathscr{T}_i \,|\, \sigma(\overline{w})\big) = \prod_{i=1}^{k} \Pr[r_i, \mathscr{T}_i] = 1.$$

Because of (1), $\Pr[0, \mathscr{T}_i] = 1$ for all i's, and (2) holds.

Now let $R > 0$ and assume that both (1) and (2) hold for all lesser values of R.

Let $T_{n,i} = Tr\big(G_n(\bar{u}), v_i; r\big)$. Let $i \in [k]$ and let $\mathscr{E}$ be any k-equivalence class of initial edges of radius at most r_i. Then we define $X_{n,i,\mathscr{E}}$ as the random variable that counts the number of initial edges e such that $(T_{n,i}, e) \in \mathscr{E}$.

We are going to show that the variables $X_{n,i,\mathscr{E}}$ converge, as n tends to infinity, to independent Poisson variables $\text{Poiss}(\mu_{r_i,\mathscr{E}})$ whose means $\mu_{r_i,\mathscr{E}}$ are expressions in the family M. That is,

$$\lim_{n \to \infty} \Pr\big(\bigwedge_{i=1}^{k} \bigwedge_{\mathscr{E} \in EDGE_{r_i}} X_{n,i,\mathscr{E}} = a_{i,\mathscr{E}} \,|\, \sigma(\overline{w})\big) = \prod_{i=1}^{k} \prod_{\mathscr{E} \in EDGE_{r_i}} e^{-\mu_{r_i,\mathscr{E}}} \frac{\mu_{r_i,\mathscr{E}}^{a_{i,\mathscr{E}}}}{a_{i,\mathscr{E}}!} \tag{3}$$

Furthermore, for each i and $\mathscr{E}$ we will prove that the mean $\mu_{r_i,\mathscr{E}}$ does only depend on r_i and $\mathscr{E}$. This proves both (1), and (2).

We will prove eq. (3) using theorem 1.5. For each $i \in [k]$ and $\mathscr{E} \in EDGE_{r_i}$ we define $\mu[r_i, \mathscr{E}]$ in the following way. Let (T, e) be a representative of the equivalence class $\mathscr{E}$. Let p be the root of T and let j be the color of e. Let b be the number of bijections $f : e \to e$ satisfying that (1) $f(p) = p$, and (2) for any $q \in e$ different from p $\tau_{(T)}(q) \simeq_k \tau_{(T)}(f(q))$. For any $q \in e$ different from p, let $\mathscr{T}_q$ be the k-equivalence class of the tree $\tau_{(T)}(q)$. Then

$$\mu_{[r_i, \mathscr{E}]} = \frac{\beta_j}{b} \prod_{\substack{q \in e \\ q \neq p}} \Pr[r_i - a_j + 1, \mathscr{T}_q].$$

Now we have to prove that for any non-negative numbers, $(d_{i,\mathscr{E}})_{i \in [k] \mathscr{E} \in EDGE_{r_i}}$, it is satisfied

$$\lim_{n \to \infty} \mathbb{E}\left[\prod_{\substack{i \in [k] \\ \mathscr{E} \in EDGE_{r_i}}} \binom{X_n[i, \mathscr{E}]}{d[i, \mathscr{E}]} \right] = \prod_{\substack{i \in [k] \\ \mathscr{E} \in EDGE_{r_i}}} \frac{\mu[r_i, \mathscr{E}]^{d[i,\mathscr{E}]}}{d[i, \mathscr{E}]!}.$$

$\square$

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