

¹ Observation of the Higgs boson in the WW^*
² channel and search for Higgs boson pair
³ production in the $b\bar{b}b\bar{b}$ channel with the
⁴ ATLAS detector

⁵ A DISSERTATION PRESENTED
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20 **Observation of the Higgs boson in the WW^* channel and search
21 for Higgs boson pair production in the $b\bar{b}b\bar{b}$ channel with the
22 ATLAS detector**

23 **ABSTRACT**

24 This dissertation presents two studies: the observation and measurement of the Higgs boson in the
25 $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ channel at $\sqrt{s} = 7$ TeV and $\sqrt{s} = 8$ TeV and a search for Higgs pair pro-
26 duction in the $HH \rightarrow b\bar{b}b\bar{b}$ channel at $\sqrt{s} = 13$ TeV with the ATLAS detector in pp collisions at the
27 Large Hadron Collider.

28 First, the discovery of a particle consistent with the Higgs in 4.8 fb^{-1} at $\sqrt{s} = 7$ TeV and 5.8 fb^{-1} at
29 $\sqrt{s} = 8$ TeV is discussed. Then, the measurement of the Higgs boson signal strength and cross section
30 in both the gluon fusion and vector boson fusion (VBF) production modes using 20.3 fb^{-1} of $\sqrt{s} =$
31 8 TeV data combined with 4.8 fb^{-1} of 7 TeV data is shown. The combined signal strength is measured
32 to be $\mu = 1.09^{+0.23}_{-0.21}$. The total observed significance of the $H \rightarrow WW^*$ process is observed to be
33 6.1σ (with 5.8σ expected). Advanced methods for background reduction and estimation, particularly in
34 same-flavor lepton final states, are shown. The VBF signal strength is measured to be $\mu_{\text{VBF}} = 1.27^{+0.53}_{-0.45}$
35 with an observed significance of 3.2σ (with 2.7σ expected). In the VBF channel, a selection requirement
36 based method, the precursor to the final multivariate technique used for the result, is detailed.

37 Finally, a search for Higgs pair production in the $b\bar{b}b\bar{b}$ final state with 3.2 fb^{-1} at $\sqrt{s} = 13$ TeV
38 is presented. A particular focus is placed on a tailored signal region for resonant production of Higgs
39 pairs at high masses, utilizing novel techniques in object reconstruction to increase signal acceptance in
40 boosted final state topologies. No significant excesses are observed, and upper limits on cross sections are
41 placed for spin-2 Randall Sundrum gravitons (RSG) and narrow spin-0 resonances. The cross section of
42 $\sigma(pp \rightarrow G_{\text{KK}}^* \rightarrow hh \rightarrow b\bar{b}b\bar{b})$ with $k/\bar{M}_{\text{Pl}} = 1$ is constrained to be less than 70 fb for masses in the
43 range $600 < m_{G_{\text{KK}}^*} < 3000 \text{ GeV}$. For the RSG model with $k/\bar{M}_{\text{Pl}} = 2$, cross sections limits between

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- ⁴⁴ 40 fb and 200 fb are set for the mass range of $500 < m_{G_{\text{KK}}^*} < 3000$ GeV. The cross section upper
⁴⁵ limits for $\sigma(pp \rightarrow H \rightarrow hh \rightarrow b\bar{b}b\bar{b})$ ranges from 30 to 300 fb in the mass range of $500 < m_H <$
⁴⁶ 3000 GeV.

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491

492

Introduction

493 The Higgs boson is often described as one of the cornerstones of the Standard Model. Since the concep-
494 tion of the Higgs mechanism as the source of electroweak symmetry breaking in the early 1960s, count-
495 less collider experiments have searched for this elusive particle. This dissertation presents the story of the
496 Higgs boson from its discovery to its use as a tool in the search for physics beyond the Standard Model
497 with the ATLAS detector at the Large Hadron Collider (LHC).

498 One of the first priorities of the early LHC was the search for the Higgs boson. This search was first
499 tackled in three main channels: $H \rightarrow \gamma\gamma$, $H \rightarrow ZZ^*$, and $H \rightarrow WW^*$. Each channel has its own
500 merits, but the WW^* is particularly suited to searching over a wide range of masses. The $H \rightarrow WW^*$
501 branching ratio is large and it is the primary decay channel above the $2m_W$ mass threshold.

502 While the rate of events produced in $H \rightarrow WW^*$ is large, the channel poses some challenges. First,
503 the most common mode of study for this channel is $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$. With neutrinos in the
504 final state, it is not possible to fully reconstruct the invariant mass of the parent Higgs like the $\gamma\gamma$ and

505 $ZZ \rightarrow 4\ell$ channels. Second, the final state topology is mimicked by a wide variety of backgrounds that
506 need to be properly estimated. This means tailored selection requirements for background reduction
507 and robust background estimation techniques must both be developed.

508 In 2012, the ATLAS and CMS experiments announced the discovery of a new particle consistent with
509 the Higgs boson [1, 2]. In ATLAS, this discovery was made with 4.8 fb^{-1} collected at $\sqrt{s} = 7 \text{ TeV}$ and
510 5.8 fb^{-1} at $\sqrt{s} = 8 \text{ TeV}$. The $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ analysis played an important role in this discovery.
511 After the discovery, measurement of the properties of the newly discovered particle and confirmation
512 of its consistency with the Standard Model Higgs were the main priorities. The WW^* channel is also
513 uniquely suited to these types of measurements. Because of its good rate, it offers some of the best cross
514 section measurements available among the various Higgs decay modes. It is also suited for measurement
515 of multiple Higgs production modes, like the vector boson fusion (VBF) mode, where incoming quarks
516 radiate W/Z bosons which fuse to make a Higgs. In VBF production with the WW^* decay channel,
517 the coupling of the Higgs to the W boson is present in both the production and decay which allows for
518 more precise measurements of this coupling than other channels which rely on gluon fusion production
519 (where gluons couple to the Higgs through a top loop in the production). The measurement of VBF
520 carries the additional challenge that its cross section is an order of magnitude smaller than that of gluon
521 fusion, meaning that the large branching ratio to WW^* offers an additional advantage in isolating this
522 production mode. In the final ATLAS Run 1 results, combining 20.3 fb^{-1} taken at $\sqrt{s} = 8 \text{ TeV}$ with
523 the 4.8 fb^{-1} collected at $\sqrt{s} = 7 \text{ TeV}$, the WW^* channel achieved its first observation of VBF produc-
524 tion of the Higgs.

525 After Run 1 of the LHC, with the existence of the Higgs now firmly established, the focus shifted to
526 searches for physics beyond the Standard Model. In particular, searches for high mass resonances bene-
527 fit from the LHC's increase to $\sqrt{s} = 13 \text{ TeV}$ in Run 2. The cross section for a generic gluon-initiated
528 resonance with a mass of 2 TeV increases tenfold in Run 2, making searches for high mass resonances a
529 high priority. The newly discovered Higgs can be used as a tool in these searches. After the discovery,
530 the Higgs boson provides a large swath of unmeasured phase space where new physics could be discov-
531 ered. Higgs pair production in the Standard Model has a low cross section that requires large datasets

532 (on the order of the LHC’s lifetime) for full measurement. However, new physics can modify this cross
533 section, especially through new resonances which decay to two Higgs bosons. Such high mass resonances
534 also produce difficult to recognize final state topologies due to the merging of decay products from high
535 momentum Higgs bosons. A search for Higgs pair production in the $HH \rightarrow b\bar{b}b\bar{b}$ final state was per-
536 formed with 3.2 fb^{-1} collected with ATLAS at $\sqrt{s} = 13 \text{ TeV}$ in 2015. The results are presented in this
537 dissertation with a focus on a dedicated signal region for boosted final states. This signal region uses new
538 techniques for recognizing jet substructure and b -tagging to the improve signal acceptance of high mass
539 resonances.

540 This dissertation begins by discussing the discovery of the Higgs and the role of the $H \rightarrow WW^* \rightarrow$
541 $\ell\nu\ell\nu$ channel. It then discusses the first observation of the VBF production mode in $H \rightarrow WW^* \rightarrow$
542 $\ell\nu\ell\nu$ with the full ATLAS Run 1 dataset, as well as the final combined Run 1 measurements from this
543 channel. Finally, it presents a search for Higgs pair production in the $HH \rightarrow b\bar{b}b\bar{b}$ channel. It is orga-
544 nized into four parts.

545 Part 1 presents the theoretical and experimental background required for the subsequent parts. Chap-
546 ter 1 gives an overview of Higgs physics, particularly single and double Higgs production in the Standard
547 Model and beyond. Chapter 2 presents details regarding the Large Hadron Collider and the ATLAS
548 experiment. The evolution of machine conditions, descriptions of the ATLAS sub-detectors, and an
549 overview of object reconstruction in ATLAS are all shown. A brief interlude on the ATLAS Muon New
550 Small Wheel upgrade is also given, as this upgrade has been a focus of my graduate work and will have
551 important impact on ATLAS’ ability to study the Higgs at the High Luminosity LHC.

552 Part 2 discusses the observation and measurement of the Higgs in the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$
553 channel in the ATLAS Run 1 dataset at $\sqrt{s} = 7$ and 8 TeV . Because I worked in this channel from
554 before the discovery through to the final analysis of the Run 1 dataset, Part 2 is organized in such a way
555 to allow easy presentation of multiple analyses on different subsets of the full Run 1 dataset. Chapter 3
556 presents a general overview of the $H \rightarrow WW^*$ analysis strategy and defines many of the variables and
557 common elements used in the rest of Part 2. Chapter 4 presents the discovery of the Higgs boson, fo-
558 cusing on the role of the WW^* channel in this discovery. Chapter 5 presents the first observation of the

559 VBF production mode of the Higgs in the WW^* channel, a study which was done on the full Run 1
560 ATLAS dataset. In this chapter, the focus is mainly on the selection cut-based VBF analysis. The cut-
561 based analysis was an important first step to the final VBF result which used a Boosted Decision Tree
562 (BDT). Where appropriate, connections between the cut-based and BDT analyses are shown and their
563 compatibility is discussed. Finally, the VBF analysis was an important input into the combined Run 1
564 $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ result, which used both the gluon fusion and VBF channels in a combined
565 fit to infer properties of the Higgs, including its couplings to the gauge bosons and its production cross
566 section. This is the topic of Chapter 6.

567 Part 3 presents a search for Higgs pair production in the $HH \rightarrow b\bar{b}b\bar{b}$ channel. Chapter 7 presents
568 an overview of this search in the boosted regime, where the Higgs pairs are the result of the decay of a
569 heavy resonance. Chapter 8 shows the combined results between the boosted regime and the resolved
570 regime, which is sensitive to lower mass resonances and non-resonant Higgs pair production. Finally,
571 Part 4 presents a conclusion and brief outlook of future Higgs physics with ATLAS.

Part I

Theoretical and Experimental Background

In modern physics, there is no such thing as “nothing.”

Richard Morris

1

574

575

The Physics of the Higgs Boson

576 This chapter presents an overview of the Standard Model of Particle Physics and in particular the physics
577 of the Higgs boson. First, a brief overview of the Standard Model and its history are presented. Then, a
578 description of the Higgs mechanism of electroweak symmetry breaking is given. Next, the physics of sin-
579 gle Higgs boson production and decay is described. The Standard Model also allows for production of
580 two Higgs bosons and this is detailed as well. Finally, di-Higgs production in two beyond the Standard
581 Model (BSM) theories - Randall-Sundrum gravitons (RSG) and Two Higgs Doublet Models (2HDM) -
582 is shown.

583 **I.I THE STANDARD MODEL OF PARTICLE PHYSICS**

584 The Standard Model (SM) of Particle Physics is a quantum field theory describing the fundamental
585 particles of nature and the forces that govern their interactions. Several comprehensive treatments of
586 the SM already exist in the literature [3–8] and this section will not rehash those. Rather, this section

587 presents a brief overview of the SM particles and forces in order to define them for subsequent discussions.
 588

589 The Standard Model consists of two primary categories of fundamental particles: fermions (spin 1/2
 590 particles) and bosons (integer spin particles). The SM also describes three forces: electromagnetism, the
 591 weak nuclear force, and the strong nuclear force. Gravity is not included in the theory and is largely irrele-
 592 vant at the scales currently probed by collider experiments. Within the fermions, there are both quarks
 593 (which interact via all three forces) and the leptons. The charged leptons interact via electromagnetic and
 594 weak interactions, while neutrinos (neutral leptons) interact only via the weak force. Within the bosons,
 595 there are the W^\pm and Z bosons (the mediators of the weak force), the gluon (g , the mediator of the
 596 strong force), and the photon (γ), the mediator of the electromagnetic force. Finally, there is the Higgs
 597 boson, a fundamental spin-0 particle resulting from the Higgs mechanism of electroweak symmetry
 598 breaking. Figure 1.1 summarizes the fermions and bosons of the SM.

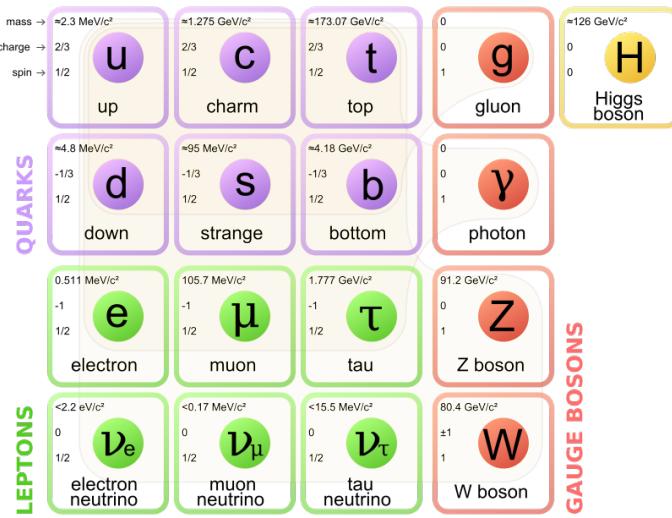


Figure 1.1: The particles of the Standard Model and their properties [6].

599 The Standard Model coalesced into a unified theoretical framework in the 1960s through the work
 600 of Glashow, Weinberg, Salam, and others on the theory of electroweak interactions [9–12]. This theory
 601 characterized both the electromagnetic and weak interactions as unified under a single gauge symmetry
 602 group, namely $SU(2) \times U(1)$. At low enough energy scales (on the order of the W and Z masses,
 603 the electroweak symmetry is broken, as evidenced by the fact that the weak bosons have mass while the

604 photon does not. The discovery of the Higgs boson in 2012 confirmed the Higgs mechanism as the most
 605 likely candidate for this electroweak symmetry breaking [1, 2]. The electroweak theory is then combined
 606 with the theory of quantum chromodynamics (which models the strong sector as a non-Abelian $SU(3)$
 607 gauge group) to form the complete SM [13].

608 1.2 ELECTROWEAK SYMMETRY BREAKING AND THE HIGGS

609 In the Standard Model Lagrangian, it is difficult to include mass terms for the W and Z bosons without
 610 breaking the fundamental gauge symmetry of the Lagrangian. A traditional mass term does not preserve
 611 the $SU(2) \times U(1)$ symmetry. Additionally, scattering of massive W and Z bosons violate unitarity
 612 and these diagrams diverge at high energy scales. In the 1960s, Higgs, Brout, Englert, Guralnik, Kibble,
 613 and Hagen developed a mechanism for spontaneous symmetry breaking via the addition of a complex
 614 scalar doublet to the SM. Three of the four real degrees of freedom of this complex field would go to the
 615 longitudinal modes of the W^\pm and Z , thus allowing them to have mass [14–17]. The remaining degree
 616 of freedom would manifest as an additional scalar, known now as the Higgs boson.

617 The mechanism works by introducing a Lagrangian for the newly introduced field that still respects
 618 the symmetry of the Standard Model inherently, but with a minimum at a non-zero vacuum expectation
 619 value for the field. In this minimum of the potential, the electroweak symmetry is broken. Specifically,
 620 consider a complex scalar doublet Φ with four degrees of freedom, as shown in equation 1.1.

$$\Phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \phi_1^+ + i\phi_2^+ \\ \phi_1^0 + i\phi_2^0 \end{pmatrix} \quad (1.1)$$

621 The minimal potential of a self-interacting Higgs that still respects the SM symmetry is given in equa-
 622 tion 1.2.

$$V(\Phi) = \mu^2 \Phi^\dagger \Phi + \lambda (\Phi^\dagger \Phi)^2 \quad (1.2)$$

623 If the μ^2 term of this potential is positive, then the potential has a minimum at $\Phi = 0$ and the SM

624 symmetry is preserved. However, if instead $\mu^2 < 0$, then the minimum is at a finite value of Φ , namely

$$\Phi_{\min} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix} \quad (1.3)$$

625 where $v = \sqrt{\mu^2/\lambda}$. Because this is the location of the minimum, it corresponds to the vacuum expecta-
626 tion value for the field ($\langle \Phi \rangle = \Phi_{\min}$). The excitations of the Higgs can then be parameterized as

$$\Phi = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix} \quad (1.4)$$

627 The full scalar Lagrangian, including the kinetic term, is then given as

$$\mathcal{L}_s = (D^\mu \Phi)^\dagger (D_\mu \Phi) - V(\Phi) \quad (1.5)$$

628 where the covariant derivative is defined as

$$D_\mu = \partial_\mu + \frac{ig}{2} \tau^a W_\mu^a + ig' Y B_\mu \quad (1.6)$$

629 and W^1, W^2, W^3 and B are the $SU(2)$ and $U(1)$ gauge fields of the electroweak theory, respectively. g
630 and g' are the corresponding coupling constants. With the scalar Lagrangian in place, the physical gauge
631 fields can then be written as

$$W_\mu^\pm = \frac{1}{\sqrt{2}} (W_\mu^1 \mp i W_\mu^2) \quad (1.7)$$

632

$$Z_\mu = \frac{-g' B_\mu + g W_\mu^3}{\sqrt{g^2 + g'^2}} \quad (1.8)$$

633

$$A_\mu = \frac{g B_\mu + g' W_\mu^3}{\sqrt{g^2 + g'^2}} \quad (1.9)$$

634 Equation 1.7 corresponds to the charged W^+ and W^- bosons, equation 1.8 corresponds to the neutral

635 Z boson, and equation 1.9 corresponds to the neutral photon. The masses of the particles also arise from
 636 the Lagrangian. The photon has zero mass, while the masses of the W and Z bosons are given in equa-
 637 tion 1.10.

$$\begin{aligned} M_W^2 &= \frac{1}{4}g^2v^2 \\ M_Z^2 &= \frac{1}{4}(g^2 + g'^2)v^2 \end{aligned} \quad (1.10)$$

638 The fermion masses also arise through a coupling with the Higgs via the Yukawa interaction (for a de-
 639 tailed description, see [8]). In this case the coupling between the Higgs and the fermions goes as

$$g_{Hf\bar{f}} = \frac{m_f}{v} \quad (1.11)$$

640 The full Lagrangian of Higgs interactions can be written as

$$\mathcal{L}_{\text{Higgs}} = -g_{Hf\bar{f}}\bar{f}fH + \frac{g_{HHH}}{6}H^3 + \frac{g_{HHHH}}{24}H^4 + \delta_V V_\mu V^\mu \left(g_{HV}VH + \frac{g_{HVV}}{2}H^2 \right) \quad (1.12)$$

641 with

$$\begin{aligned} g_{HV} &= \frac{2m_V^2}{v} & g_{HVV} &= \frac{2m_V^2}{v^2} \\ g_{HHH} &= \frac{3m_H^2}{v} & g_{HHHH} &= \frac{3m_H^2}{v^2} \end{aligned} \quad (1.13)$$

642 Here, V refers to the W^\pm and Z , and $\delta_W = 1$ while $\delta_Z = 1/2$. Phenomenologically, there are a few
 643 features of this Lagrangian that are useful to note. First, note that the Higgs mass is a free parameter
 644 of the theory that must be determined experimentally. Second, note that the coupling of the Higgs to
 645 the vector bosons and fermions scales with the masses of these particles, a fact that is important when
 646 considering both the production and decays of the particle. Also note that the branching ratio of the
 647 Higgs to W bosons will be twice that of the branching ratio to Z if the Higgs mass is large enough to
 648 produce the particles on shell because of the extra symmetry factor associated with the W coupling.
 649 Finally, note the presence of the cubic and quartic Higgs self interaction terms, which can lead to final
 650 states with multiple Higgs bosons produced.

651 1.3 HIGGS BOSON PRODUCTION AND DECAY

652 This section discusses the properties of Higgs production and decay mechanisms. The details presented
653 here will focus on the properties of a 125 GeV Higgs boson, as this is the mass closest to that of the
654 newly discovered Higgs.

655 1.3.1 HIGGS PRODUCTION

656 The Higgs is produced by four main production modes at the Large Hadron Collider - gluon-gluon
657 fusion (ggF), vector boson fusion (VBF), associated production with a W or Z boson, or associated
658 production with top quarks ($t\bar{t}H$). Figure 1.2 shows the Feynman diagrams for these four modes.

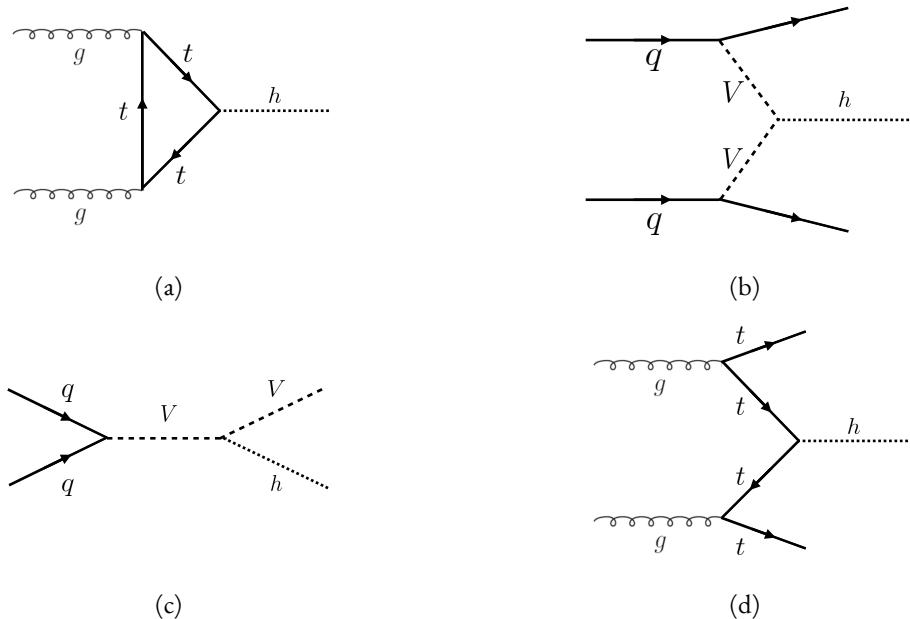


Figure 1.2: The four most common Higgs boson production modes at the LHC: (a) gluon-gluon fusion, (b) vector boson fusion, (c) $W/Z + H$ production, (d) $t\bar{t}H$ production

659 In gluon-gluon fusion, gluons from the incoming protons fuse via a top-quark loop to produce a
660 Higgs. The top quark is the dominant contribution in the loop due to its heavy mass and the fact that
661 the Higgs-fermion coupling constant scales with fermion mass. In vector boson fusion, the incoming
662 quarks each radiate a W or Z boson which fuse to produce the Higgs. This production mode results in
663 a final state with a Higgs boson and two additional jets which tend to be forward because they carry the

664 longitudinal momentum of the incoming partons. The Higgs can also be produced in association with a
 665 W or Z boson. The W/Z is produced normally and then radiates a Higgs (this mode is also sometimes
 666 known as “Higgs-strahlung”). Finally, the Higgs can be produced in association with two top quarks.
 667 Each incoming gluon splits into a $t\bar{t}$ pair, and one of the top pairs combines to create a Higgs. Figure 1.3
 668 shows the production cross section for a 125 GeV Higgs boson in each of these modes at a pp collider as
 669 a function of center of mass energy.

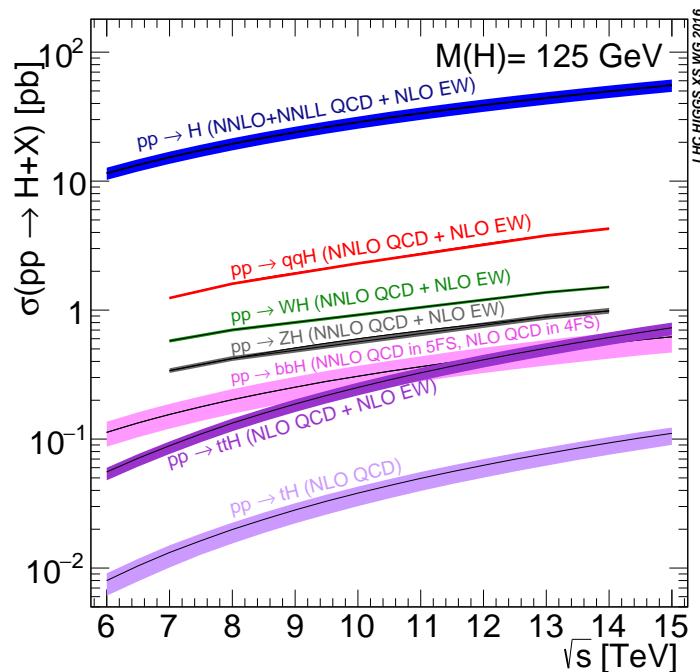


Figure 1.3: Higgs production cross sections as a function of center of mass energy (\sqrt{s}) at a pp collider [18].

670 In figure 1.3, note that gluon fusion has the largest cross section, while VBF is the second largest at
 671 approximately a factor of 10 smaller. The figure also includes the less commonly studied $b\bar{b}H$ and tH
 672 modes. The $b\bar{b}H$ and tH modes are not studied as commonly as $t\bar{t}H$ due to the larger background con-
 673 tributions and lower cross sections, respectively. At $\sqrt{s} = 8$ TeV, ggF production of a 125 GeV Higgs
 674 has a cross section of 19.47 pb, while VBF has a cross section of 1.601 pb [18]. The cross sections of all
 675 of the main Higgs production modes at this center of mass energy, as well as their uncertainties from
 676 varying the renormalization and factorization scales and PDFs, are summarized in table 1.1 for a 125 GeV
 677 Higgs.

Production mode	σ (pb)	QCD scale uncert. (%)	PDF + α_s uncert. (%)
Gluon fusion	19.47	+7.3/ - 8.0	3.1
Vector boson fusion	1.601	+0.3/ - 0.2	2.2
WH	0.7026	+0.6/ - 0.9	2.0
ZH	0.4208	+2.9/ - 2.4	1.7
$t\bar{t}H$	0.1330	+4.1/ - 9.2	4.3
bbH	0.2021	+20.7/ - 22.3	
tH (t -channel)	0.01869	+7.3/ - 16.5	4.6
tH (s -channel)	1.214×10^{-3}	+2.8/ - 2.4	2.8

Table 1.1: Production cross sections for a 125 GeV Higgs boson at $\sqrt{s} = 8$ TeV with scale and PDF uncertainties [18].

678 1.3.2 HIGGS BRANCHING RATIOS

679 The fact that the Higgs couples more strongly to more massive particles is crucial for understanding its
 680 branching ratios. The width for Higgs decays to fermions is given in equation 1.14 [5].

$$\Gamma(H \rightarrow f\bar{f}) = \frac{N_c \sqrt{2} G_F m_f^2 m_H}{8\pi} \quad (1.14)$$

681 In this case, N_c is the number of colors, G_F is the Fermi constant, m_f is the mass of the fermion, and
 682 m_H is the mass of the Higgs. Note that the width scales with the square of the fermion mass. (This also
 683 assumes that the Higgs mass is large enough to decay with both the fermions on shell.)

684 The decay width to WW is given in equation 1.15 [5].

$$\Gamma(H \rightarrow W^+W^-) = \frac{\sqrt{2} G_F M_W^2 m_H}{16\pi} \frac{\sqrt{1-x_W}}{x_W} (3x_W^2 - 4x_W + 4) \quad (1.15)$$

685 where m_W is the mass of the W and $x_W = 4M_W^2/m_H^2$. To get the branching ratio to ZZ , the equa-
 686 tion is divided by 2 to account for identical particles in the final state, and x_W is replaced with $x_Z =$
 687 $4M_Z^2/m_H^2$. This is shown in equation 1.16 [5].

$$\Gamma(H \rightarrow ZZ) = \frac{\sqrt{2} G_F M_Z^2 m_H}{32\pi} \frac{\sqrt{1-x_Z}}{x_Z} (3x_Z^2 - 4x_Z + 4) \quad (1.16)$$

688 These formulas can also be visualized as a function of Higgs mass. Figure 1.4 shows the branching ratios
 as a function of the Higgs mass. There are a few interesting features to note in this figure. First, note that

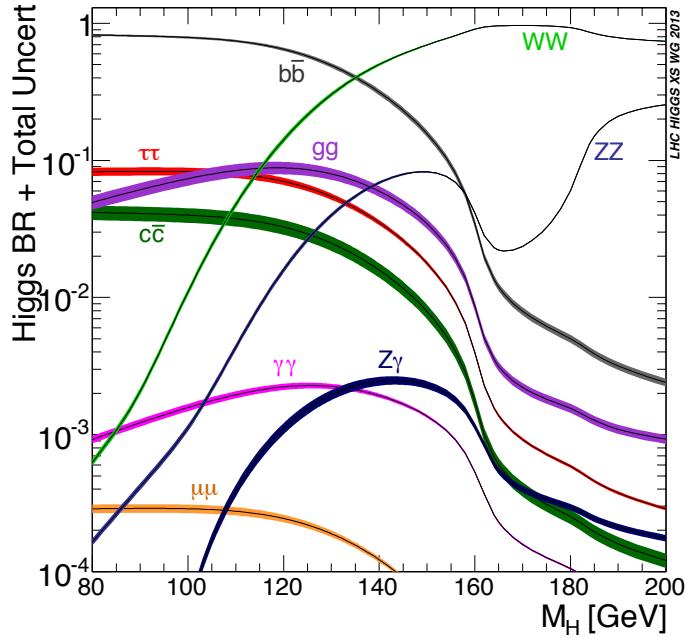


Figure 1.4: Higgs boson branching ratios as a function of m_H [18].

689
 690 at high Higgs masses, once on-shell production of both W and Z bosons is possible, these two decays
 691 are the dominant ones due to the large masses of the W/Z . Also note that the branching ratio to W s
 692 is twice that of Z s at these large masses due to the δ_V symmetry factor noted previously. At 125 GeV,
 693 the Higgs is accessible through many different decay modes. The largest branching ratio is the decay
 694 $H \rightarrow b\bar{b}$ at 58.24% [18]. This branching is larger than the WW/ZZ decays because one of the two
 695 bosons must be produced off-shell for $m_H = 125$ GeV. The second largest branching ratio is to WW^*
 696 at 21.37 % (before taking into account the branching ratios of the W). Table 1.2 summarizes the branch-
 697 ing ratios for a 125 GeV Higgs. Note that there is in fact a Higgs branching ratio to $\gamma\gamma$ even though
 698 photons are massless. This decay happens through a loop (the largest contributions to the loop are top
 699 and W) which suppresses the branching ratio.

700 Note that the branching ratios alone do not tell the full story of which Higgs channels are the most
 701 sensitive. For example, a $H \rightarrow b\bar{b}$ search in gluon fusion production is incredibly difficult due to the

Decay	Branching ratio (%)
$b\bar{b}$	58.24
WW^*	21.37
gg	8.187
$\tau\tau$	6.272
$c\bar{c}$	2.891
ZZ^*	2.619
$\gamma\gamma$	0.2270
$Z\gamma$	0.1533
$\mu\mu$	0.02176

Table 1.2: Branching ratios for a 125 GeV Higgs boson [18].

702 large QCD dijet background at the LHC. However, in associated production of the Higgs, where a W
 703 or Z gives additional final state particles that can be used to reduce background, a search for $H \rightarrow b\bar{b}$
 704 can be sensitive. The combinations of production and decay modes that are most commonly studied are
 705 summarized in table 1.3 [5].

Decay	Inclusive (incl. ggF)	VBF	WH/ZH	$t\bar{t}H$
$H \rightarrow \gamma\gamma$	✓	✓	✓	✓
$H \rightarrow b\bar{b}$			✓	✓
$H \rightarrow \tau^+\tau^-$		✓		
$H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$	✓	✓	✓	
$H \rightarrow ZZ \rightarrow 4\ell$	✓			
$H \rightarrow Z\gamma \rightarrow \ell\ell\gamma$	very low			

Table 1.3: Possible channels for Higgs searches. Checkmarks denote the most sensitive production modes [5].

706 1.4 HIGGS PAIR PRODUCTION IN THE STANDARD MODEL

707 The Standard Model also allows for processes that produce two Higgs bosons in the final state, known
 708 as Higgs pair production or di-Higgs production. The two main production mechanisms are shown
 709 in figure 1.5. The two diagrams in figure 1.5 interfere destructively with one another, resulting in a low
 710 overall cross section for di-Higgs production at the LHC. Nevertheless, Higgs pair production is quite
 711 interesting to study because it gives direct access to the λ parameter of the Higgs potential, also known as
 712 the Higgs self coupling. The diagram in figure 1.5(b) is sensitive to this coupling through the triple Higgs

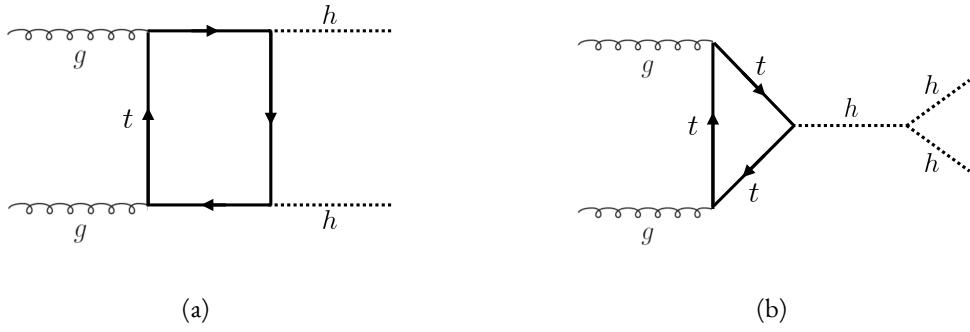


Figure 1.5: The two leading diagrams for Standard Model di-Higgs production at the LHC: (a) box diagram, (b) Higgs self coupling

713 vertex.

714 One can substitute the gluon fusion production of diagram 1.5(b) with any of the other production
715 modes previously discussed. These other modes do not suffer from interference with the box diagram
716 in figure 1.5(a) due to the presence of additional particles in the final state. They still have a lower cross
717 section than the gluon fusion mode, however. The cross sections for di-Higgs production in the differ-
718 ent modes, as well as their uncertainties, are shown in table 1.4 [19]. These are shown for $\sqrt{s} = 14$ TeV
719 as the higher center of mass energy is more sensitive to this process. Note that the scale of cross section
quoted is now in fb rather than pb.

Production mode	σ (fb)	Total uncert. (%)
Gluon fusion	33.89	+37.2 / - 27.8
Vector boson fusion	2.01	+7.6 / - 5.1
$W H H$	0.57	+3.7 / - 3.3
$Z H H$	0.42	+7.0 / - 5.5
$t \bar{t} H$	1.02	-

Table 1.4: Production cross sections for pair production of a 125 GeV Higgs boson at $\sqrt{s} = 14$ TeV with total uncertainty [19]. The uncertainties include QCD scale and PDF variations as well as uncertainties on α_S .

720

721 1.5 HIGGS PAIR PRODUCTION IN THEORIES BEYOND THE STANDARD MODEL

722 The Standard Model Higgs pair production cross section is rather small, and datasets on the scale of the
723 full lifetime of the LHC will be required to obtain sensitive measurements of the Higgs self-coupling.

724 However, the discovery of the Higgs also gives particle physicists a new tool that can be exploited in the
 725 search for new physics beyond the Standard Model. In particular, Higgs pair production is a promising
 726 channel in the search for new physics. The cross section for di-Higgs production can be altered through
 727 both resonant and non-resonant production of Higgs pairs. In non-resonant production, di-Higgs pro-
 728 duction vertices can arise from the presence of a new strong sector and additional colored particles [20–
 729 22]. Figure 1.6 shows examples of the types of vertices that can arise. In the resonant case, new heavy par-
 730 ticle can decay to Higgs pairs. Such new particles can include heavy Higgs bosons arising in two Higgs
 731 doublet models (2HDM) or Higgs portal models as well as heavy gravitons in Randall-Sundrum theo-
 732 ries [20, 23–29]. Figure 1.7 shows a generic diagram for a heavy resonance decaying to two Higgs bosons.
 733 In the 2HDM, X corresponds to the heavy CP-even scalar H . In the Randall-Sundrum model, X cor-
 734 responds to a heavy spin-2 graviton G . The next sections provide more detail on the phenomenology of

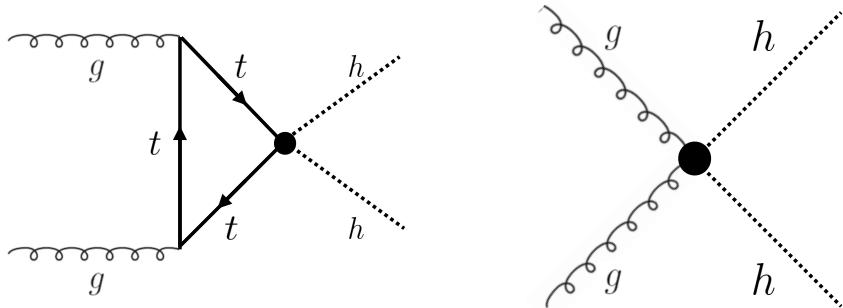


Figure 1.6: Diagrams with new vertices for non-resonant Higgs pair production arising in composite Higgs models

734
 735 resonant Higgs production in Randall-Sundrum and 2HDM models, as these models will later be tested
 736 in a dedicated search for resonant production of boosted Higgs pairs.

737 1.5.1 RANDALL-SUNDRUM GRAVITONS

738 The Randall-Sundrum model is a proposed solution to the hierarchy problem that posits a five-dimensional
 739 warped spacetime that contains two branes: one where the force of gravity is very strong and a second
 740 brane at the TeV scale corresponding to the known Standard Model sector [23]. In the theory, the
 741 branes are weakly coupled and the graviton probability function drops exponentially going from the
 742 gravity brane to the SM brane, rendering gravity weak on the SM brane. The experimental consequence

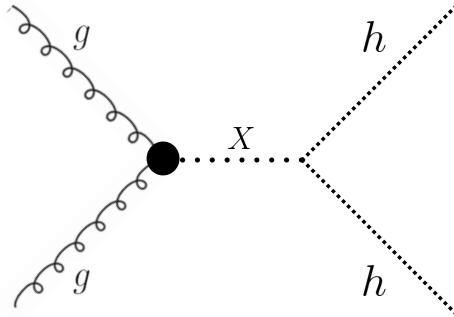


Figure 1.7: Generic Feynman diagram for resonant Higgs pair production in BSM theories

of this theory is a tower of widely spaced (in mass) Kaluza-Klein graviton resonances. In theories where the fermions are localized to the SM brane, production of gravitons from fermion pairs is suppressed and the primary mode of production is gluon fusion [24]. These gravitons have a substantial branching fraction to Higgs pairs, ranging from 6.43% for gravitons with a mass of 500 GeV to 7.66% at 3 TeV. Figure 1.8 shows the branching ratios of the spin-2 Randall Sundrum graviton (RSG) as a function of its mass. The predominant decays are to $t\bar{t}$ above the mass threshold for that channel.

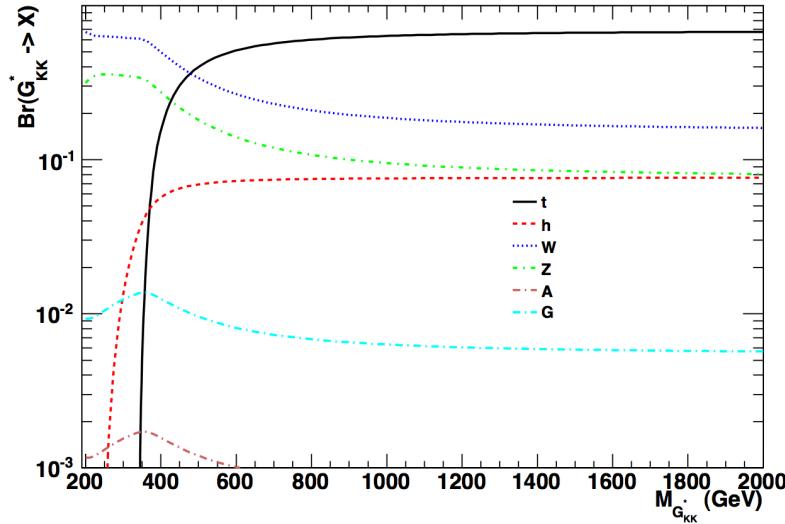


Figure 1.8: Branching ratios for a spin-2 Randall-Sundrum graviton as a function of mass computed in MadGraph with the CP3-Origins implementation [24, 30]

These models have two free parameters - the mass of the graviton and a curvature parameter k . Typically, rather than k , the theory is parameterized using $c \equiv k/\bar{M}_{\text{pl}}$, where \bar{M}_{pl} is the reduced Planck

mass. The cross section for production of the RSG decreases as a function of mass and is strongly dependent on the gluon PDF. The increase in center of mass energy from 8 to 13 TeV in LHC Run 2 greatly increases the cross section at higher mass. Figure 1.9 shows the cross section as a function of graviton mass at $\sqrt{s} = 13$ TeV for RSG models with $c = 1.0$ and $c = 2.0$.

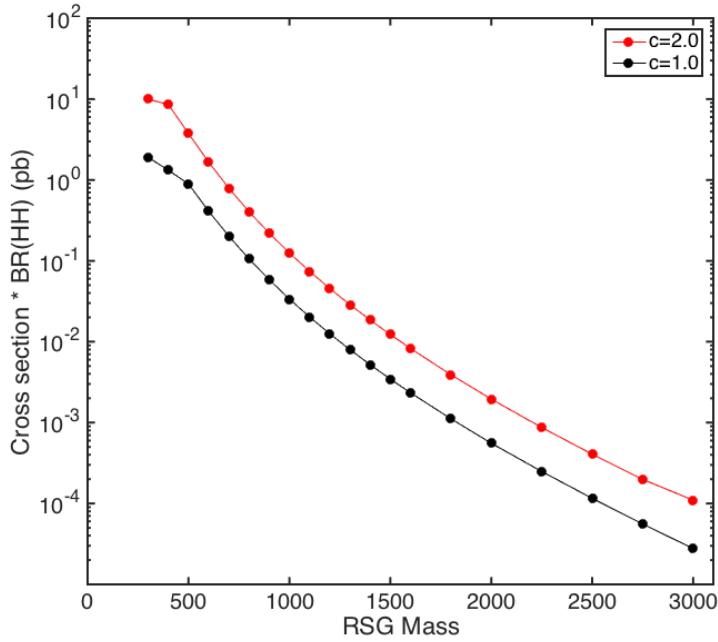


Figure 1.9: $\sigma \times \text{BR}(HH)$ for RSG as a function of mass computed in MadGraph with the CP3-Origins implementation [24, 30]

Another interesting feature of the theory is that the width of the graviton increases with both c and m_G . Figure 1.10 shows the graviton width for both $c = 1.0$ and $c = 2.0$ as a function of mass. In $c = 1.0$, the width starts at 8.365 GeV for a mass of 300 GeV and increases to 187.2 GeV at a mass of 3 TeV. Similarly, with $c = 2.0$, the width starts at 33.46 GeV for $m_G = 300$ GeV and increases to 748.8 GeV at a mass of 3 TeV.

1.5.2 TWO HIGGS DOUBLET MODELS

In Two Higgs Doublet Models (2HDM), a second complex scalar doublet is added to SM [26–28]. In this case, all four degrees of freedom in the second doublet correspond to new particles, meaning that

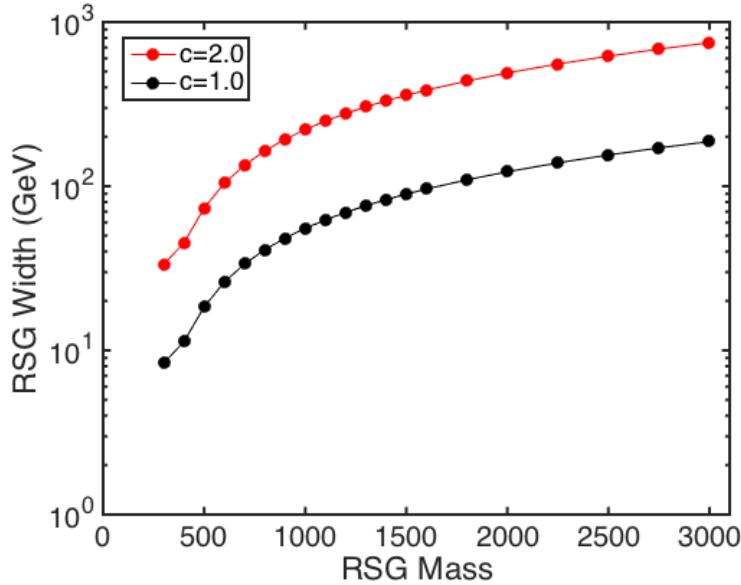


Figure 1.10: RSG width as a function of mass computed in MadGraph with the CP3-Origins implementation [24, 30]

there are five total scalars from the two Higgs doublets - h (light CP-even Higgs), H (heavy CP-even Higgs), A (heavy CP-odd Higgs), and H^\pm (charged Higgs). The model is parameterized by two main parameters. The first, $\tan \beta \equiv \frac{v_2}{v_1}$, is the ratio of the vacuum expectation values of the two Higgs doublets (where v_1 corresponds to the v in the SM Higgs model described above). The second parameter is α , a mixing angle between the heavy and light Higgs fields. Models are also often parameterized with $\cos(\beta - \alpha)$ rather than α directly. The limit where $\cos(\beta - \alpha) = 0$ is called the alignment limit, and it is in this limit that the light Higgs h has the same couplings as a Standard Model Higgs.

2HDM models are usually separated into two main types - Type I and Type II. In Type I models, the charged fermions only couple to the second Higgs doublet, leading to a fermiophobic light Higgs. In Type II models, up-type quarks couple to the first doublet while down-type quarks couple to the second doublet. One specific realization of a Type II 2HDM is the Minimal Supersymmetric Standard Model (MSSM).

Resonant di-Higgs production in this model can proceed through decays of the heavy CP-even Higgs $H \rightarrow hh$. The branching ratio for $H \rightarrow hh$ depends on the model type as well as the values of $\tan \beta$ and $\cos \beta - \alpha$. Figure 1.11 shows the branching ratios as a function of the mass of the heavy scalar H for

778 both Type I and Type II models. Depending on the type of model hh can be a substantial fraction of the
 779 decays of H .

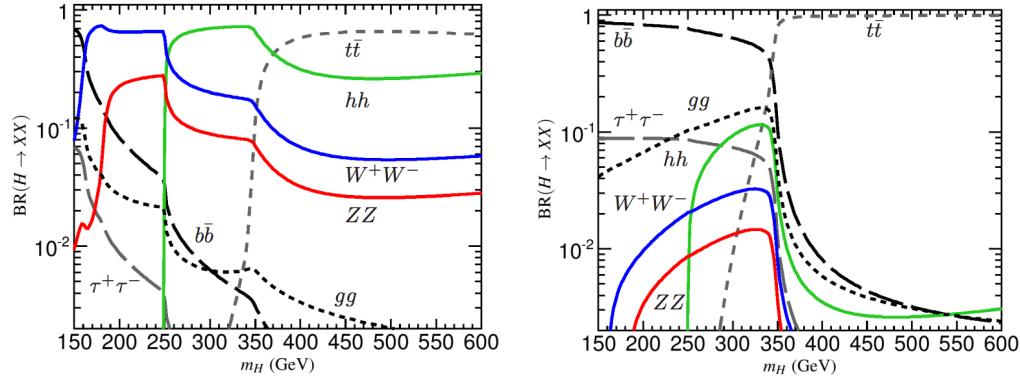


Figure 1.II: Branching ratios for heavy Higgs H in Type I (left) and Type II (right) 2HDM models with $\tan \beta = 1.5$ and $\cos(\beta - \alpha) = 0.1(0.01)$ for Type I (Type II). [28]

780 1.6 CONCLUSION

781 Studying the Higgs sector is essential for understanding the details of how mass arises in the Standard
 782 Model and how the electroweak symmetry is broken. The discovery of the Higgs boson also opens the
 783 door for its use as a tool to search for new physics, and Higgs pair production is an ideal candidate for
 784 this study. Even if no BSM physics is found in Higgs pair production, searches for Higgs pairs will put
 785 constraints on the Higgs self coupling and thus further knowledge of the Standard Model and the details
 786 of the Higgs potential.

*The enthusiasm and motivation to explore particle
physics at the high-energy frontier knows no borders
between the nations and regions of the planet.*

Peter Jenni

2

787

788

The ATLAS detector and the Large Hadron 789 Collider

790 This chapter presents an overview of the experimental systems used to conduct the measurements pre-
791 sented in this thesis. First, a brief overview of the accelerator, the Large Hadron Collider, will be given.
792 In this section, the accelerator conditions relevant to data-taking are presented as well. Next, an overview
793 of the ATLAS experiment is given. The basics of each sub-detector's role are summarized, as well as the
794 details of the datasets accumulated. Then, a brief interlude on the ATLAS Muon New Small Wheel up-
795 grade is presented. While this new detector does not have a direct impact on any of the datasets taken
796 so far, it will have an impact on future analyses and the work done on it is briefly summarized here. Fi-
797 nally, an overview of object reconstruction in ATLAS is given. While the details of all of the algorithms
798 will not be presented in detail, aspects of the reconstruction performance such as object resolutions are
799 shown as these are relevant to the two studies presented later in this thesis.

800 2.1 THE LARGE HADRON COLLIDER

801 The Large Hadron Collider (LHC) is a proton-proton collider at the CERN laboratory in Geneva,
802 Switzerland [31]. It is designed for a maximum collision center of mass energy of $\sqrt{s} = 14 \text{ TeV}$ and
803 has a circumference of 26.7 kilometers. Four main experiments are located at the interaction points (IP)
804 of the accelerator: ATLAS (A Toroidal LHC ApparatuS), CMS (the Compact Muon Solenoid), AL-
805 ICE (A Large Ion Collider Experiment), and LHCb [32–35]. The studies performed in this thesis were
806 all completed with the ATLAS detector. Figure 2.1 shows a schematic of the LHC ring and the various
807 experiments.

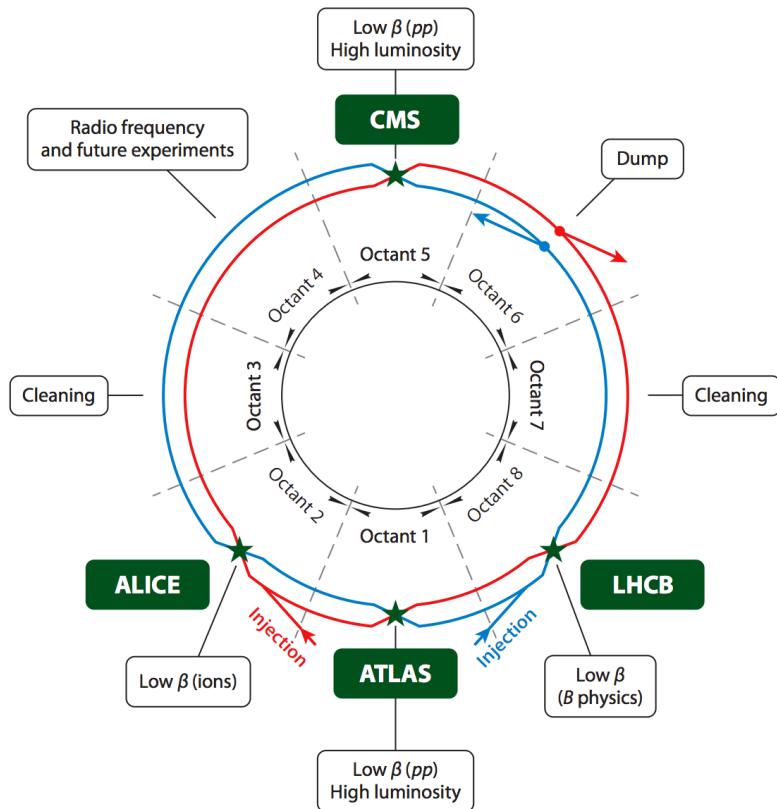


Figure 2.1: A schematic view of the LHC ring [36]

808 One of the most interesting features of the LHC is in its magnet design. Because the tunnel does not
809 have room for separate superconducting magnets for each of the beam pipes, the LHC employs a twin-
810 bore magnet design. Each magnet must hold an 8.3 Tesla magnetic field in order to bend the proton

811 beams at $\sqrt{s} = 14$ TeV. The superconducting magnets are cooled to a temperature of 1.9 Kelvin with
812 superfluid helium.

813 2.I.I INSTANTANEOUS LUMINOSITY

814 The rate of physics events expected from the accelerator is dependent on the instantaneous luminosity
815 of the machine and the cross section of the physics process, $R_{\text{events}} = L\sigma$. Here, R_{events} is the number
816 of events per second, L is the instantaneous luminosity of the machine, and σ is the cross section for the
817 physics process being measured. The instantaneous luminosity of the LHC is determined by numerous
818 factors related to machine conditions. Equation 2.1 gives the equation for instantaneous luminosity of
819 Gaussian beam profile [36].

$$L = \frac{N_b^2 n_b f_{\text{rev}} \gamma_r}{4\pi \epsilon_n \beta^*} F \quad (2.1)$$

820 The LHC collides protons in bunches, and in the above equation N_b is the number of protons per
821 bunch while n_b is the number of bunches per beam. Nominally, the LHC can hold up to 2808 pro-
822 ton bunches. f_{rev} is the revolution frequency. ϵ_n is the normalized transverse beam emittance, a mea-
823 surement of the average spread of the particles position-momentum space which has the dimension of
824 length. β^* is the value of the *beta* function for the beam at the interaction point. It relates the emittance
825 to the Gaussian width of the beam with $\sigma_{\text{beam}} = \sqrt{\epsilon \cdot \beta}$. F is a reduction factor that corrects for the
826 fact that the beams are colliding at an angle at the IP.

827 Another way of writing the instantaneous luminosity is shown in equation 2.2. In this case, the in-
828 stantaneous luminosity is written as the ratio of the rate of inelastic collisions with the inelastic cross
829 section [37].

$$L = \frac{R_{\text{inel}}}{\sigma_{\text{inel}}} = \frac{\mu n_b f_{\text{rev}}}{\sigma_{\text{inel}}} \quad (2.2)$$

830 In this case, μ is the average number of interactions per bunch crossing in the accelerator. μ is a useful
831 parameter for characterizing the amount of activity recorded in an experiment. As the instantaneous
832 luminosity and thus μ increase, there are more interactions per bunch crossing and more activity in the
833 detector. This is often characterized with $\langle \mu \rangle$, the measured per bunch crossing μ value averaged over

834 all bunch crossings. The interactions inside each bunch crossing that are not the main physics process of
 835 interest are often referred to as “pileup” interactions, and $\langle \mu \rangle$ is a measurement of the level of pileup in
 836 the detector.

837 **2.1.2 EVOLUTION OF MACHINE CONDITIONS**

838 This thesis uses datasets taken at three different center of mass energies: $\sqrt{s} = 7\text{ TeV}$ data taken in
 839 the year 2011, $\sqrt{s} = 8\text{ TeV}$ data taken in the year 2012, and $\sqrt{s} = 13\text{ TeV}$ data taken in the year
 840 2015. In addition to increasing center of mass energy, the instantaneous luminosity and parameters that
 841 determine it were evolving. Table 2.1 summarizes that machine conditions in each of these datasets.

	2011	2012	2015	Design
$\sqrt{s} [\text{TeV}]$	7	8	13	14
Number of bunches	1380	1380	1825	2808
Max. protons per bunch	1.45×10^{11}	1.7×10^{11}	1.2×10^{11}	1.15×10^{11}
Bunch spacing [ns]	50	50	25	25
Max. instantaneous luminosity [$\text{cm}^{-2}\text{s}^{-1}$]	3.7×10^{33}	7.7×10^{33}	5×10^{33}	10^{34}
$\beta^* [\text{m}]$	1.0	0.6	0.8	0.55
$\langle \mu \rangle$	11.6	20.7	13.7	-

Table 2.1: Evolution of LHC machine conditions [38, 39]

842 **2.2 THE ATLAS DETECTOR**

843 The ATLAS detector is a multi-purpose particle detector experiment at the LHC’s Point 1 [32]. It has
 844 nearly 4π coverage in solid angle around the interaction point. It consists of an inner detector for mea-
 845 suring charged particles, electromagnetic and hadronic calorimeters, and a muon spectrometer. Fig-
 846 ure 2.2 gives an overview of the detector.

847 **2.2.1 COORDINATE SYSTEM**

848 Before defining the properties of the individual detectors, it is important to establish the coordinate
 849 system used. Figure 2.3 shows a schematic of the coordinate system. The azimuthal plane (perpendicular

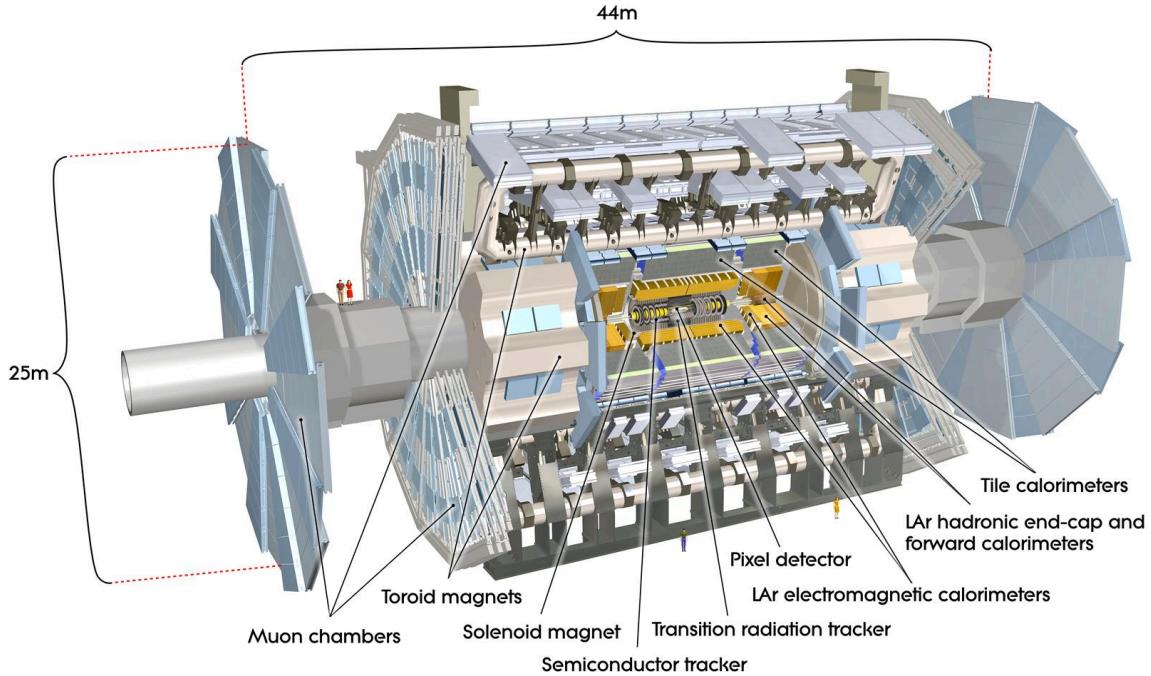


Figure 2.2: A full diagram of the ATLAS detector [32]

to the beam line) is defined as the x - y plane. The angle in this plane is referred to as ϕ . The angle relative to the beam axis is referred to as θ . Rather than using θ directly as a coordinate, the experiment often uses the pseudorapidity η . η is defined in equation 2.3.

$$\eta = \ln \left(\tan \left(\frac{\theta}{2} \right) \right) \quad (2.3)$$

Pseudorapidity is the massless approximation of rapidity, the angle used to parameterize boosts in special relativity. This is important for two reasons. First, it means that differences in η are Lorentz invariant. Second, particle production is roughly constant in pseudorapidity. Particles with η close to zero are referred to as “central”, while those at high $|\eta|$ are called “forward”. In general, two main detector topologies can be seen in figure 2.2. There are “barrel” elements, which surround the beam line cylindrically and are in the central region of the detector. In the forward region, there are “endcap” regions which are arranged as disks perpendicular to the beam line.

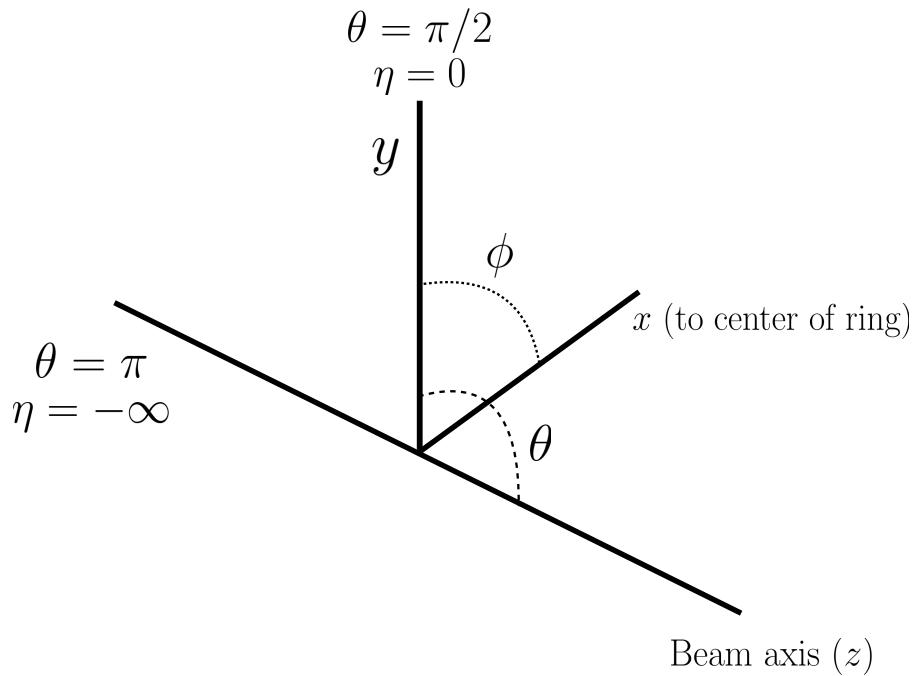


Figure 2.3: The ATLAS coordinate system

860 2.2.2 INNER DETECTOR

861 The ATLAS Inner Detector (ID) system is built for precision tracking of charged particles. It covers the
 862 range $|\eta| < 2.5$. In this range, approximately 1000 particles are generated every bunch crossing in the
 863 detector. This requires having fine granularity to achieve the resolutions required for good momentum
 864 measurement and vertex reconstruction.

865 The ID consists of three sub-components: the pixel detector, semiconductor tracker (SCT), and trans-
 866 sition radiation tracker (TRT). It is surrounded by a solenoid providing a 2 T axial magnetic field which
 867 bends particles in the transverse plane to allow for momentum measurement. Figure 2.4 shows the layout
 868 of each of these components.

869 PIXEL DETECTOR

870 The pixel detector is the first detector particles traverse after being generated in proton collisions and is
 871 the most granular detector. Its operation is crucial for precision tracking and vertex reconstruction as



Figure 2.4: Layout of the ATLAS Inner Detector system [40]

well as higher level object reconstruction like tagging of jets from b -quarks. The basic sensing element in this subdetector is a silicon pixel detector. The operating principle for the silicon pixels is that of a $p-n$ junction. When a charged particle passes through, it creates electron-hole pairs that are then separated by the electric field. The sensors are $250 \mu\text{m}$ thick and use oxygenated n -type wafers with readout pixels on the n^+ side of the detector [32]. Overall, the pixel detector has 1744 sensors and 80.4 million readout channels.

In the barrel region, the pixel detector has three concentric layers of sensors surrounding the beam-line. In the endcap region, it consists of disks perpendicular to the beam axis. The detector is segmented in the $R-\phi$ plane and in z . Usually, three pixel layers are crossed by a charged particle track. The intrinsic accuracies of the sensors are $10 \mu\text{m}$ in $R-\phi$ and $115 \mu\text{m}$ in z (or R for the endcap).

882 INSERTABLE B-LAYER

883 In Run 2, a new innermost pixel layer, known as the insertable B-layer (IBL), was added to the Inner
 884 Detector [41]. This layer was added to cope with the higher luminosities planned in LHC Run 2 and

885 at the high luminosity HL-LHC. Additionally it improves tracking position resolution which in turn
886 improves the vertexing and b -tagging capabilities in ATLAS. The detector sits directly on a new beam
887 pipe, only 33.25 mm away from the collision points in the azimuthal plane.

888 **SEMICONDUCTOR TRACKER (SCT)**

889 The semiconductor tracker (SCT) consists of silicon microstrips and comprises the next four layers of
890 the ID. This sub-detector has 6.4cm long sensors that are daisy-chained into strips with a strip pitch
891 of $80\ \mu\text{m}$ [32]. Some of the strips have a small stereo angle to allow for measurement of both angular
892 coordinates. In total there are 6.3 million readout channels. The intrinsic accuracies are $17\ \mu\text{m}$ in $R\phi$
893 and $580\ \mu\text{m}$ in z (or R in the endcap).

894 **TRANSITION RADIATION TRACKER (TRT)**

895 The transition radiation tracker (TRT) serves two purposes. First, it consists of 4mm diameter straw
896 tubes filled with a 70/27/3% gas mixture of xenon, carbon dioxide, and oxygen to provide tracking of
897 charged particles. Particles typically have 36 TRT straw tube hits per track. The material in between
898 the straws is designed to induce transition radiation which can be useful for particle identification. As
899 particles pass between media with different dielectric constants, they emit transition radiation that can
900 cause additional showers in the TRT. In particular it is useful for discrimination between electrons and
901 pions or other charged hadrons, as the amount of transition radiation is proportional to the Lorentz
902 factor of the particle.

903 **2.2.3 CALORIMETERS**

904 The calorimeter system consists of two main sub-components: a fine granularity electromagnetic calorime-
905 ter tailored for the measurement of photons and electrons and multiple coarser hadronic calorimeters
906 dedicated to the measurement of hadronic showers [32]. The calorimeter system has broader cover-
907 age than the inner detector, covering the region out to $|\eta| < 4.9$. It is also designed to deliver good
908 containment of showers so as to limit leakage into the muon system. Figure 2.5 shows the layout of the
909 calorimeter system.

910 Both the electromagnetic and hadronic calorimeters are sampling calorimeters. They alternate active
911 material for energy measurement with passive material for energy absorption. The materials used for
912 each purpose vary based on the type of calorimeter and its location in the detector.

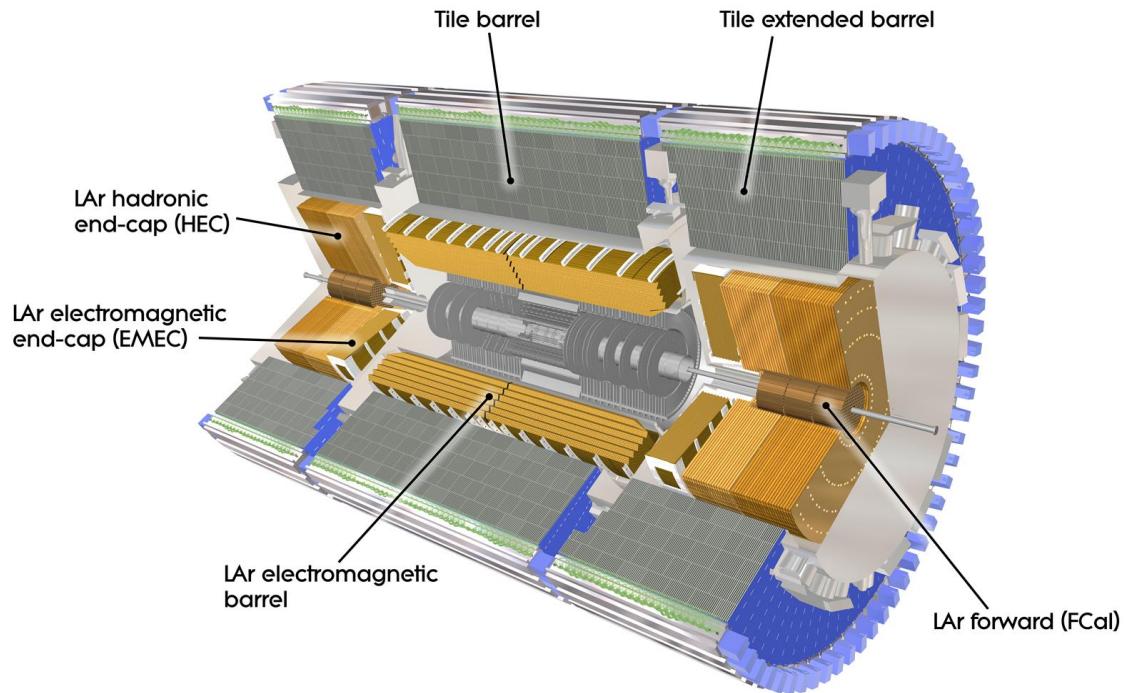


Figure 2.5: Layout of the ATLAS calorimeter system [32]

913 ELECTROMAGNETIC CALORIMETER

914 The electromagnetic calorimeter (EM calorimeter) use liquid Argon (LAr) as its active material and lead
915 as its passive material. It is arrange in an accordion geometry to increase the absorption area while still
916 allowing it to have no azimuthal cracks (complete symmetry in ϕ). The EM calorimeter is divided into a
917 barrel portion that extends to $|\eta| < 1.475$ and an endcap portion going from $1.375 < |\eta| < 3.2$. The
918 region where these two units overlap is called the “transition region”.

919 In order to provide good containment the calorimeter depth must be optimized. Typically, for elec-
920 tromagnetic calorimeters the depth is measured in radiation lengths. In general, the intensity of a par-
921 ticle beam attenuates exponentially in distance with a constant equal to the radiation length. That is,

922 $I(x) = I_0 e^{-x/X_0}$, where I is the intensity, x is the distance traveled, and X_0 is the radiation length.

923 The ATLAS EM calorimeter is designed to have > 22 radiation lengths in the barrel and > 24 in the
924 endcap [32].

925 **HADRONIC CALORIMETERS**

926 There are three types of hadronic calorimeters present in ATLAS: the tile calorimeter (TileCal), hadronic
927 endcap (HEC), and forward calorimeter (FCal). Each one is optimized for stopping of hadronic showers
928 and the materials chosen are specific to their placement in the detector.

929 The TileCal is a scintillating tile calorimeter placed directly outside the EM calorimeter. It uses steel as
930 the absorber and plastic scintillator tiles as the active material. It has coverage in the barrel at $|\eta| < 1.0$
931 and in the “extended barrel” region of $0.8 < |\eta| < 1.7$.

932 The HEC had two wheels perpendicular to the beam line per endcap and is located directly behind
933 the EM calorimeter endcap modules. The HEC covers the region from $1.5 < |\eta| < 3.2$, overlapping
934 slightly with both the tile calorimeter and the forward calorimeter. Like the EM calorimeter, it uses liq-
935 uid Argon as the active material, but it uses copper as the absorber.

936 The FCal covers the most forward regions of the calorimeter system, extending to the region of $3.1 <$
937 $|\eta| < 4.9$. It again uses liquid argon as its active material. For absorber, it consists of an innermost
938 module made of copper followed by a module made of tungsten.

939 The hadronic equivalent of radiation length is called the interaction length and is denoted as λ . In the
940 barrel, the hadronic calorimeter depth is approximately 9.7λ , while in the endcap is is 10λ . The outer
941 supports contribute an additional 1.3λ . This is been shown to be sufficient to limit punch-through of
942 showers to the muon system [32].

943 **2.2.4 MUON SPECTROMETER**

944 The muon spectrometer is dedicated to measuring the momentum and position of muons. It consists
945 of tracking and trigger chambers which are unique in the barrel and endcap regions. The magnetic field
946 for bending of muons is provided by a system of three large air-core toroid magnets (from which ATLAS

947 derives its name.) These magnets provide 1.5 to 5.5 Tm of bending power at $0 < |\eta| < 1.4$ and approx-
 948 imately 1 to 7.5 Tm in the endcap region of $1.6 < |\eta| < 2.7$. The entire muon system covers the range
 949 $0 < |\eta| < 2.7$. Monitored drift tubes (MDTs) are used for tracking in the barrel and the two outer
 950 layers of the endcap, while cathode strip chambers (CSCs) are used to provide tracking in the innermost
 951 endcap wheel. In the barrel, resistive plate chambers (RPCs) are used as trigger chambers while thin gap
 952 chambers (TGCs) are used in the endcap. Figure 2.6 shows the layout of the ATLAS muon system. The
 953 entire muon system is designed with the specification of providing a 10% momentum resolution for a
 954 1 TeV muon.

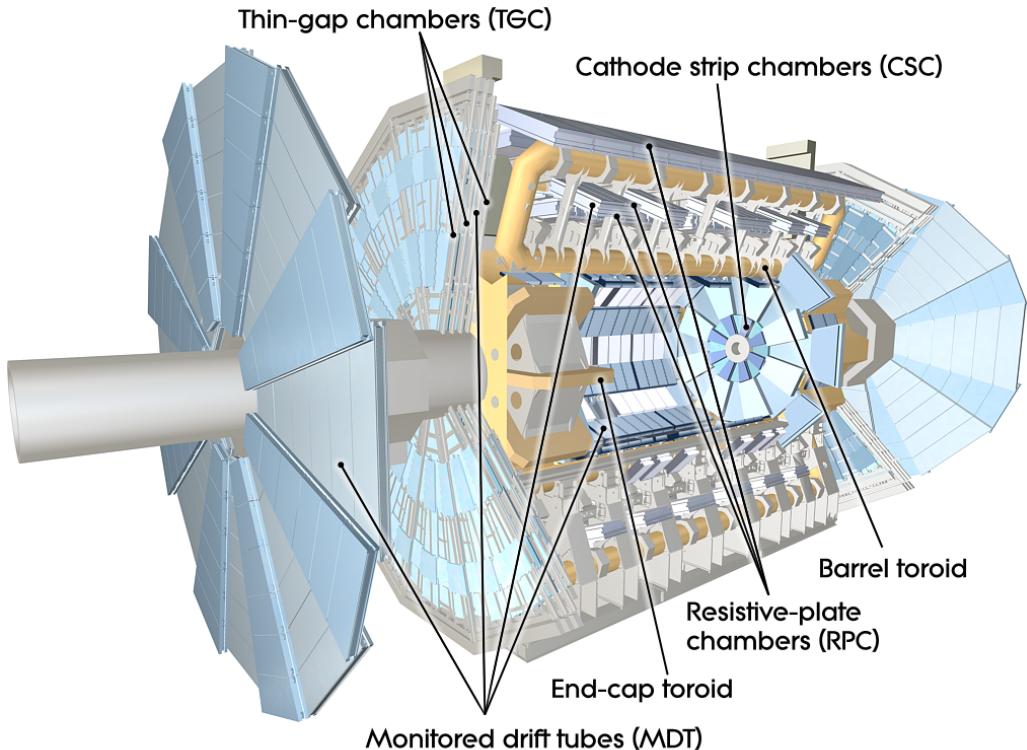


Figure 2.6: Layout of the ATLAS muon system [32]

955 MONITORED DRIFT TUBES (MDTs)

956 The monitored drift tubes (MDTs) are aluminum 3cm diameter tubes filled with a 93/7 % mixture of
 957 Argon and CO₂, with trace amounts of water. As a charged particle traverses the tube, it ionizes the gas

and the ions drift to a wire at the center of the tube. The radial distance of traversal of the particle in the tube is determined by the drift time of the electrons, allowing for fine position resolution. The tubes have an average resolution of $80 \mu\text{m}$ per tube and a maximum drift time of approximately 700ns. The tubes are oriented so that they give precision measurement in η and run along ϕ . They cover $|\eta| < 2.7$, except in the innermost layer of the endcap where they only go to $|\eta| < 2.0$ [32].

963 CATHODE STRIP CHAMBERS (CSCs)

964 The cathode strip chambers cover a narrow window of the innermost endcap region at $2.0 < |\eta| <$
965 2.7. In this region the background rates in the cavern are particularly high and the CSCs are designed
966 to handle these higher rates. The CSCs are multiwire proportional chambers with wires pointing in
967 the radial direction (away from the beam pipe). The wire serves as an anode and there are two types of
968 segmented cathode strip, one perpendicular to the wires which gives the precision measurement and one
969 parallel which provides the transverse coordinate. It has an 80/20 gas mixture of Argon and CO₂ [32].

970 RESISTIVE PLATE CHAMBERS (RPCs)

971 The resistive plate chambers (RPCs) are gaseous electrode-plate detectors covering the region $|\eta| <$
972 1.05. They consist of two resistive plates separated by a distance of 2 mm. The gas mixture used is a
973 94.7/5/0.3% mixture of C₂H₂F₄, Iso-C₄H₁₀, and SF₆. It has readout strips with a pitch of 23-35 mm
974 for both η and ϕ measurement and thus provides measurement of the azimuthal coordinate in the barrel
975 that the MDTs do not. The thin gas gap allows for a quick response time which makes it ideal for use in
976 the trigger. There are three layers of RPCs which are referred to as the three trigger stations. They allow
977 for both a low p_T and high p_T trigger. The coincidence of hits in the innermost chambers allows for
978 triggering of muons between 6 and 9 GeV, while the outermost layer allows the trigger to select high
979 momentum tracks in the range of 9 to 35 GeV [32].

980 THIN GAP CHAMBERS (TGCs)

981 The thin gap chambers (TGCs) are multiwire proportional chambers where the wire to cathode distance
982 (1.4mm) is smaller than the wire-to-wire distance (1.8 mm). They contain a gas mixture of CO₂ and

983 *n*-pentane and use a high electric field to gain good time resolution. They serve two functions in the
 984 end-cap system. First, they serve as the trigger chambers. Second, they also provide azimuthal coordi-
 985 nate measurement which the MDTs do not. They sit on the inner and middle layers of the endcap. The
 986 outermost layer's azimuthal coordinate is determined by extrapolation [32].

987 2.2.5 MAGNET SYSTEM

988 As mentioned previously, there are two independent magnet systems in ATLAS. The first is a 2 T solenoid
 989 field in the inner detector which provides bending in the azimuthal plane. The second is an approxi-
 990 mately 0.5 T toroidal field in the muon system which provides bending in η . Figure 2.7 shows the pre-
 991 dicted field integral as a function of $|\eta|$ [32].

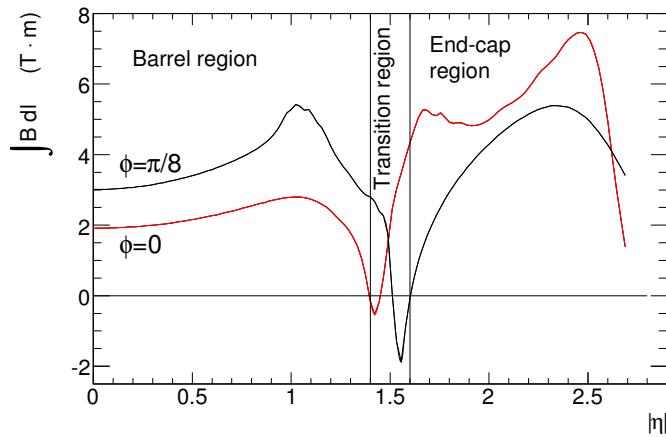


Figure 2.7: Predicted field integral as a function of $|\eta|$ for the ATLAS magnet system [32]

992 2.2.6 TRIGGER SYSTEM

993 The ATLAS trigger system searches for signatures of muons, electrons, photons, hadronically decay-
 994 ing τ leptons, and jets in order to save these events for further analysis. The trigger system in ATLAS
 995 is designed to reduce the maximum LHC event rate of 40 MHz to a more reasonable rate that can be
 996 recorded. The trigger first consists of a fast, hardware based system called the Level-1 (L1) trigger. The
 997 L1 trigger consists of independent dedicated detector sub-components that can seed regions of inter-
 998 est (RoIs) for further analysis downstream. For muons, the RPCs and TGCs are used, while in the

999 calorimeter coarsely grained sections of calorimeter cells called towers are used. Once regions of inter-
 1000 est are seeded, a software based system called the High Level Trigger (HLT) is used to reconstruct objects
 1001 and integrate information from different parts of the detector. In Run 1 of ATLAS, the HLT consisted
 1002 of two separate stages: the level 2 (L2) trigger and the event filter (EF).

1003 The maximum trigger rate that the L1 trigger can handle is 75 kHz. In the HLT, the rate of events
 1004 written to disk is approximately 200 Hz. Figure 2.8 shows the trigger rates for different L1 triggers in
 1005 2012 and 2015 for ATLAS [42].

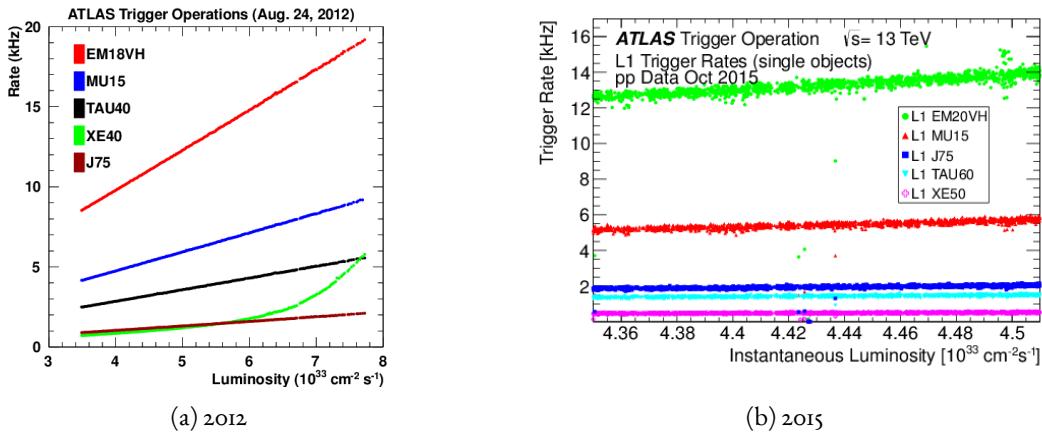


Figure 2.8: ATLAS trigger rates for Level-1 triggers as a function of instantaneous luminosity in 2012 and 2015 operation. These are single object triggers for electromagnetic clusters (EM), muons (MU), jets (J), missing energy (XE), and τ leptons (TAU). The threshold of the trigger is given in the name in GeV. [42]

1006 2.2.7 ATLAS DATASETS

1007 ATLAS has collected data at center of mass energies of 7, 8, and 13 TeV. Figure 2.9 shows the integrated
 1008 luminosity as a function of time for each of the three collected datasets. At $\sqrt{s} = 7$ TeV, ATLAS
 1009 recorded 5.08 fb^{-1} . Increased instantaneous luminosity in 2012 led to a larger dataset of 21.3 fb^{-1}
 1010 recorded at $\sqrt{s} = 8$ TeV. After Long Shutdown 1 (LS1) of the LHC and a restart in 2015, ATLAS
 1011 recorded 3.9 fb^{-1} of data at $\sqrt{s} = 13$ TeV. [43, 44]

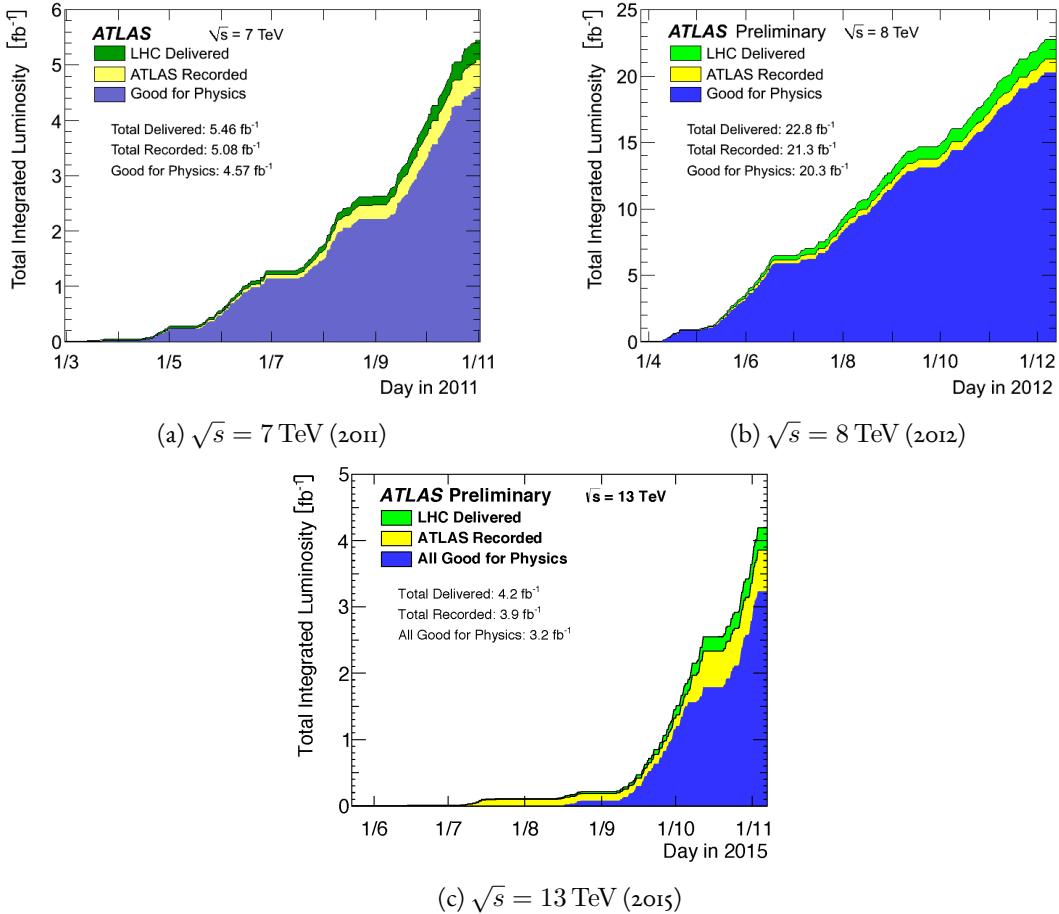


Figure 2.9: Instantaneous luminosity as a function of time for data recorded by ATLAS at different center of mass energies [43, 44]

2.2.8 DETECTOR PERFORMANCE

Table 2.2 summarizes the design requirements for each of the different sub-detectors. This table shows the energy and momentum resolution of each tracking, calorimetry, and muon measurements.

2.3 THE ATLAS MUON NEW SMALL WHEEL UPGRADE

As the LHC continues operation, it is scheduled to be upgraded in several phases to allow it to reach higher instantaneous luminosities and thus collect larger datasets. These conditions will open new doors for study of rare physics processes but will also present interesting challenges that must be faced. ATLAS will require new detector technologies to cope with the increased background rates in the cavern in these

	Required resolution
Tracking	$\sigma_{p_T}/p_T = 0.05\% p_T \oplus 1\%$
EM calorimetry	$\sigma_E/E = 10\%/\sqrt{E} \oplus 0.7\%$
Hadronic calorimetry	
Barrel and end-cap	$\sigma_E/E = 50\%/\sqrt{E} \oplus 3\%$
Forward	$\sigma_E/E = 100\%/\sqrt{E} \oplus 10\%$
Muon spectrometer	σ_{p_T}/p_T at $p_T = 1$ TeV

Table 2.2: Performance requirements for the ATLAS detector [32].

1020 high luminosity conditions. One such upgrade, scheduled to be installed during Long Shutdown 2
 1021 (LS2) of the LHC in 2018, is the ATLAS Muon New Small Wheel (NSW) upgrade [45]. The NSW will
 1022 replace the innermost end-cap wheel of the muon system with new technologies, as this is the part of the
 1023 muon detector closest to the beam and thus suffers from the highest rates.

1024 2.3.1 MOTIVATION

1025 The motivation of the NSW is two-fold. First, the objective is to alleviate the decreased tracking effi-
 1026 ciency that comes in a high rate environment. As figure 2.10, at the LHC design luminosity both the
 1027 efficiency of recording hits and reconstructing track segments in the MDTs decreases at the LHC design
 1028 luminosity.

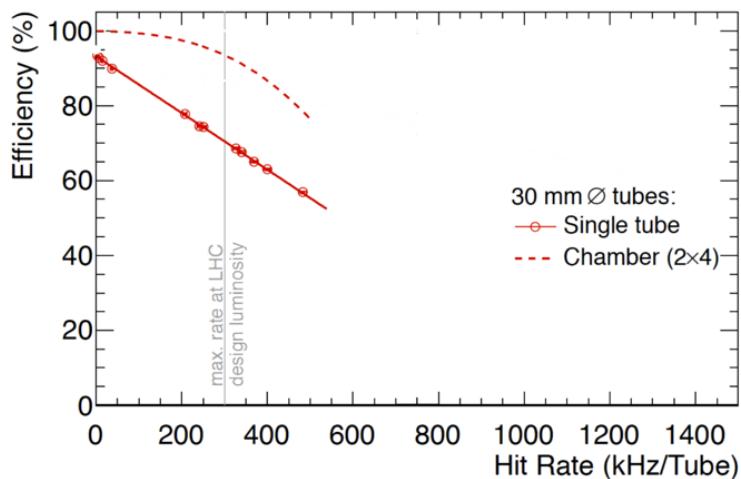


Figure 2.10: MDT tube hit (solid) and segment (dashed) efficiency as a function of hit rate per tube [45]

1029 Second, the NSW will work to alleviate the rate of fake triggers arising in the endcap. Figure 2.II
 1030 shows the extrapolated trigger rates as a function of the p_T threshold with and without the NSW up-
 1031 grade. As the figure shows, the NSW upgrade will reduce the trigger rate by an order of magnitude com-
 1032 pared to the current endcap trigger system.

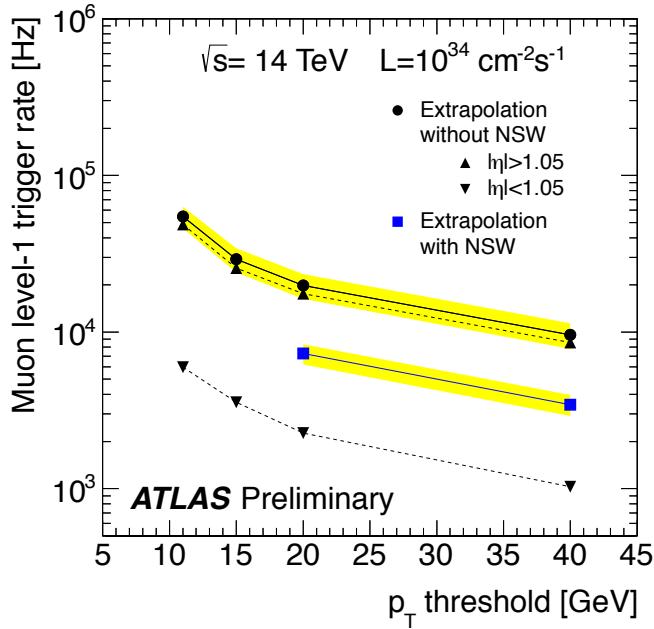


Figure 2.II: Trigger rate as a function of p_T threshold with and without the NSW upgrade [45]

1033 2.3.2 NSW DETECTOR TECHNOLOGIES

1034 The NSW will use two new detector technologies - micromesh gaseous structure detectors (micromegas)
 1035 and small-strip thin gap chambers (sTGCs) [45, 46]. Unlike the previous detectors, both of these detec-
 1036 tor technologies can be used for tracking or trigger. However, the micromegas is more suited to tracking
 1037 because of its good spatial resolution, while the sTGCs have better time resolution and are more suited
 1038 for the trigger. To maintain a fully redundant system, both technologies are used for both purposes.

1039 **MICROMEGAS**

1040 Micromegas detectors operate using a thin metallic mesh that sits approximately $100\ \mu\text{m}$ away from
1041 the readout electrodes to create the amplification region. Above this mesh, there is a drift region on the
1042 order of a few mm in length capped by a drift electrode. As a charged particle traverses the detector, it
1043 ionizes gas and the electrons drift down towards readout strips. The timing of the drift can be used to
1044 reconstruct the angle of traversal of the particle. This is illustrated in figure 2.12. The micromegas used in
1045 ATLAS will be resistive micromegas, where the readout electrodes are topped with resistive strips [47].
1046 This alleviates the risk of sparking in the large area detectors that ATLAS will use.

1047 In ATLAS, the micromegas drift gap will be 5 mm and the amplification gap will be $128\ \mu\text{m}$. They
1048 are filled with the same gas mixture as the MDTs. They will be stacked in an octuplet in an XXUV-
1049 UVXX geometry, where X refers to straight strips and U and V refer to stereo strips at an angle of $\pm 1.5^\circ$.
1050 This arrangement allows for measurement of the azimuthal coordinate and gives a large lever arm be-
1051 tween the straight strips for triggering purposes. Figure 2.12 shows the geometry of a single micromegas
1052 detector as well as its operating principle [45].

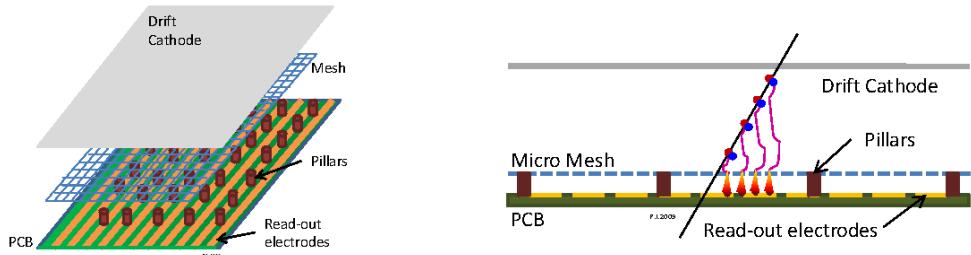


Figure 2.12: Illustrations of the geometry (left) and operating principle (right) of the micromegas detector [45]

1053 **sTGCs**

1054 The sTGCs are similar to the TGCs already described. They consist of gold-plated tungsten wires with
1055 a 1.8 mm pitch between two cathode planes 1.4 mm away from the wire plane. One cathode plane
1056 consists of strips with a 3.2 mm pitch (much smaller pitch than the TGCs), while the other consists
1057 of coarser pads that are used for defining regions of interest in the sTGC trigger algorithm. Figure 2.13
1058 shows the basic detector geometry.

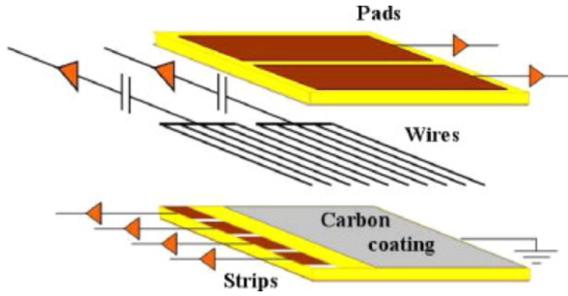


Figure 2.13: Geometry of the sTGC detector [45]

1059 2.3.3 PHYSICS IMPACT

1060 Maintaining low p_T thresholds for muons while still staying within the trigger rate budget at Level 1
 1061 (20 kHz) for the muon system is crucial for physics analyses to be successful in high luminosity condi-
 1062 tions. One realm where the lepton trigger threshold is especially important is in Higgs physics. In the
 1063 $H \rightarrow WW^*$ analysis, one of the W bosons is off shell and tends to decay to soft leptons. In associated
 1064 production of a Higgs with a W , the lepton is also important because the lepton provides the main han-
 1065 dle which allows the event to be triggered. Table 2.3 shows the impact of increasing the trigger thresholds
 1066 on these analyses. It shows that either raising the threshold or using only the barrel both have signifi-
 1067 cant impacts on the signal efficiency. With the NSW, the signal efficiency is largely maintained and the
 1068 triggers can be unprescaled.

Threshold	$H \rightarrow bb$ (%)	$H \rightarrow WW^*$ (%)
$p_T > 20$ GeV	93	94
$p_T > 40$ GeV	61	75
$p_T > 20$ GeV (barrel only)	43	72
$p_T > 20$ GeV (with NSW)	90	92

Table 2.3: Signal efficiencies for WH production with $H \rightarrow b\bar{b}$ and $H \rightarrow WW^* \rightarrow \mu\nu qq$ under different trigger configurations [45].

1069 2.4 OBJECT RECONSTRUCTION IN ATLAS

1070 ATLAS analyses first start by requiring the presence of certain reconstructed physics objects in the event.
 1071 This section will present a brief overview of the algorithms used to reconstruct electrons, muons, jets

1072 (including b -jets), and missing energy*. The performance of object reconstruction and measurement will
 1073 also be discussed as these are relevant to the analyses presented later. Figure 2.14 gives an overview of the
 1074 different sub-detectors that each type of particle will interact with in ATLAS.

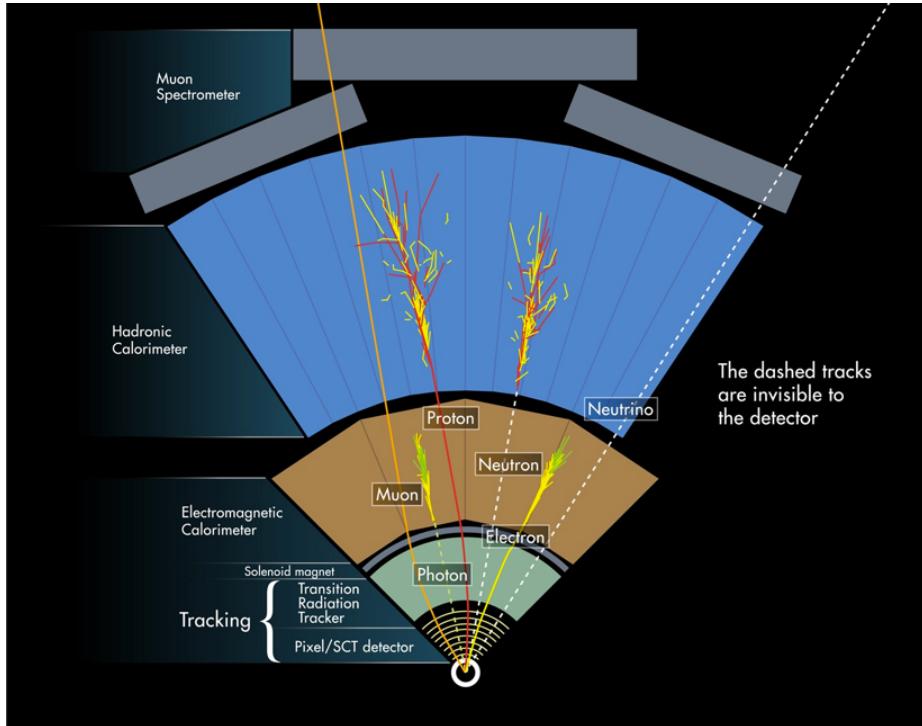


Figure 2.14: Illustration of particle interactions in ATLAS [48]

1075 2.4.I ELECTRONS

1076 Electrons in ATLAS will leave tracks in the inner detector and energy deposits in the electromagnetic
 1077 calorimeter. The algorithm for recognizing the signature of electrons proceeds in two steps: reconstruc-
 1078 tion and identification.

1079 In reconstruction, an electron candidate is formed by matching EM calorimeter deposits with ID
 1080 tracks. The algorithm first chooses seed clusters in the EM calorimeter by using a sliding window algo-
 1081 rithm that searches for towers with transverse energy larger than 2.5 GeV. In addition to seed clusters,
 1082 track candidates must be identified in the ID. The algorithm selects seed tracks with $p_T > 1$ GeV that

*Reconstruction algorithms for other objects, such as photons and τ leptons, are not detailed here as these objects are not used in the presented studies.

1083 do not fit well with a pion hypothesis. Once candidate tracks are selected, they are re-fit with a Gaussian
 1084 Sum Filter (GSF) algorithm to estimate electron parameters [49]. Finally, an electron candidate is
 1085 formed if at least one track matches to a seed cluster in the calorimeter. The full details of the reconstruc-
 1086 tion algorithm can be found in reference [50].

1087 Once an electron candidate is present, identification criteria must be applied in order to reject fake
 1088 electrons from background. Many different variables are used for this identification, most of them re-
 1089 lated to the shower shape in the EM calorimeter and the amount of leakage into the hadronic calorime-
 1090 ter, as well as information from the ID and in particular the TRT. There are both cut-based and likelihood-
 1091 based criteria that range from “loose” to “very tight”. For details, see reference [50].

1092 Figure 2.15 shows the algorithm’s reconstruction efficiency of true electrons for different identification
 1093 criteria as well as the electron energy resolution in simulation [50, 51]. The reconstruction efficiency is
 1094 measured using both Z and J/ψ tag and probe techniques.

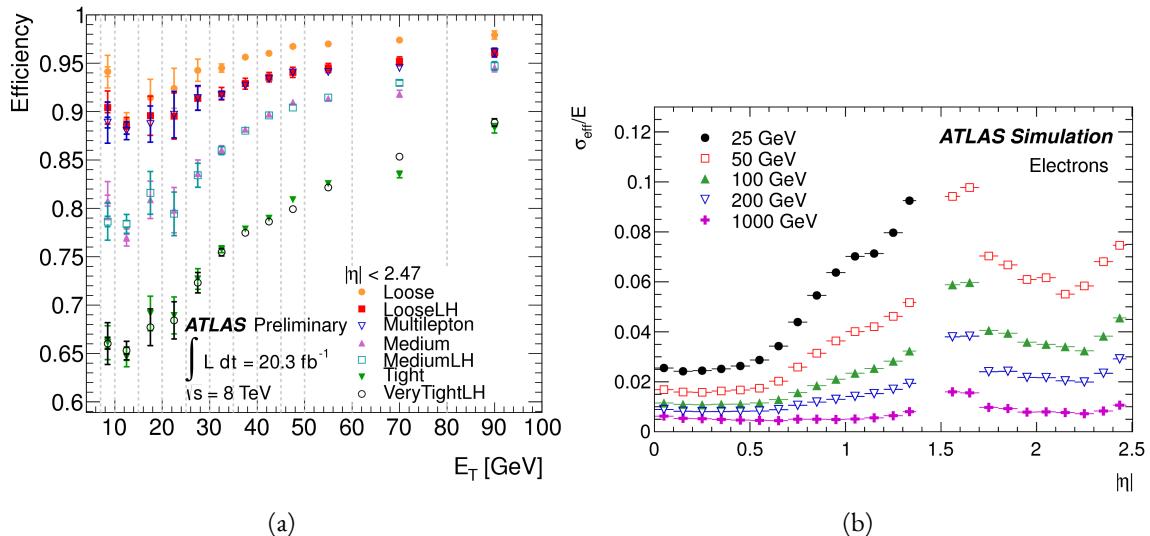


Figure 2.15: Electron performance: (a) reconstruction efficiency as a function of electron E_T [50] (b) energy resolution in simulation as a function of $|\eta|$ for different energy electrons [51]

1095 2.4.2 MUONS

1096 The ATLAS detector is designed to stop most particles before they reach the muon spectrometer. Muons,
 1097 however, are minimum ionizing particles, meaning that they will not lose a significant amount of energy

1098 through interactions with the detector and will thus pass through. Therefore, the muon reconstruction
1099 works to match tracks in the muon spectrometer with tracks in the inner detector.

1100 The first step of reconstruction is to reconstruct local straight line tracks, called segments, in each
1101 muon chamber. Segments are then fit to larger tracks that traverse the entire muon spectrometer. Such
1102 muon tracks are referred to as “standalone” tracks (SA) as they only use information from the muon
1103 spectrometer. The standalone tracks are then matched to tracks in the inner detector to form “com-
1104 bined” (CB) muons, where the combined ID and MS fit are used to determine the momentum and di-
1105 rection of the muon. To improve acceptance, segment-tagged and calorimeter-tagged muons are also
1106 reconstructed. In these cases, ID tracks are matched to segments in the MS and calorimeter deposits con-
1107 sistent with a minimum ionizing particle, respectively. The details of the reconstruction can be found in
1108 reference [52].

1109 As with electrons, once muon candidates are reconstructed they have identification criteria applied to
1110 reduce background. These criteria include the χ^2 match between the ID and MS tracks, the number of
1111 hits in the ID, overall ID and MS track fit quality, and additional variables [52]. The criteria range from
1112 “loose” to “tight” as with electrons.

1113 Figure 2.16 shows the muon reconstruction efficiency (measured with Z and J/ψ tag and probe) and
1114 invariant mass resolution [52].

1115 2.4.3 JETS

1116 When a quark or gluon is produced in collisions, it is not measured directly in ATLAS. Rather, due to
1117 QCD effects, it produces a collimated spray of hadrons in the direction of the original parton, which is
1118 known as a jet. Jets are reconstructed in ATLAS using energy deposits in the hadronic calorimeter. The
1119 first step is build “topological clusters” out of energy deposits in calorimeter cells [53, 54]. This is done
1120 using strategy where seed cells are chosen by picking cells whose energy measurements are four times the
1121 amount of noise expected for that cell. Adjacent cells with at least 2σ energy measurements are added
1122 to the cluster, then a final layer of clusters with energy above 0σ are added. Once calorimeter clusters
1123 are formed, they are clustered further into jet candidates using the anti- k_T jet clustering algorithm [55].

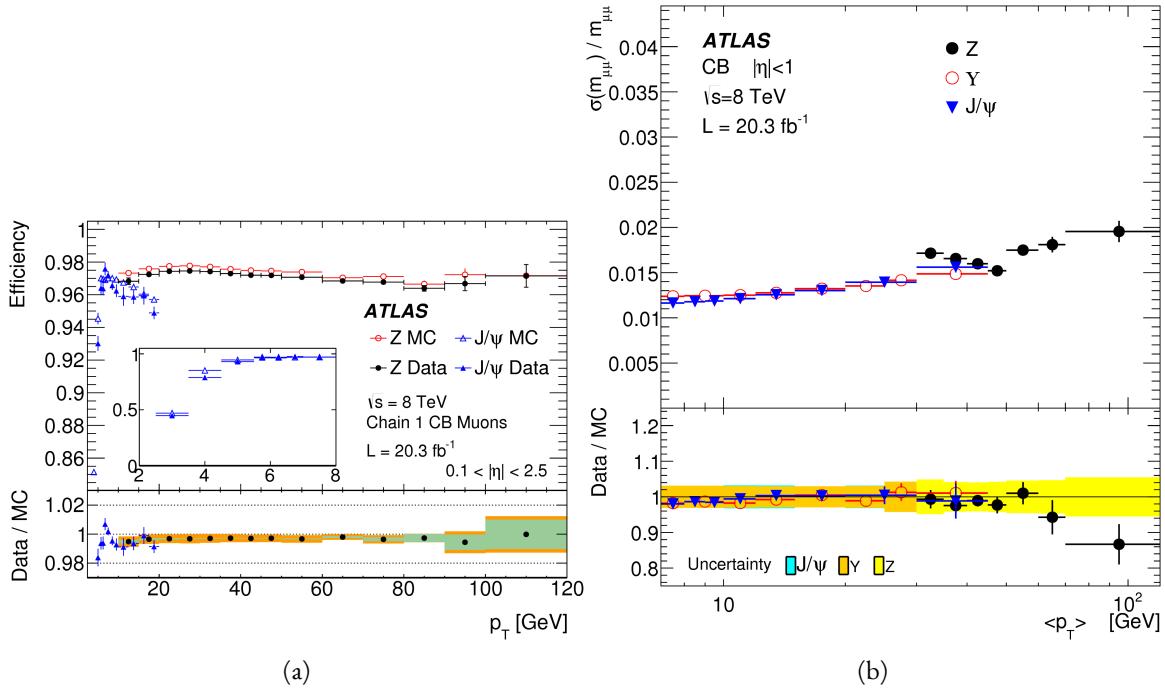


Figure 2.16: Muon performance: (a) reconstruction efficiency as a function of muon p_T (b) dimuon mass resolution as a function of average p_T [52]

1124 This algorithm uses a parameter R that appears in the denominator of the clustering distance metric and
 1125 defines the radial size of the jet in η - ϕ space.

1126 The energy response of the calorimeter must be properly characterized in order to reconstruct jet en-
 1127 ergy. Calorimeter clusters can be calibrated either with the EM calibration, where each cluster is assumed
 1128 to have come from the energy deposit of an electron or photon, or the LCW calibration, where local
 1129 cluster weights are computed to allow for local calibration of clusters as hadronic or electromagnetic.

1130 The details of the jet energy calibration are not detailed here and are discussed in reference [56].

1131 Figure 2.17 shows the jet energy response after calibration in Monte Carlo as a function of the true p_T
 1132 of the jet [56].

1133 2.4.4 b -TAGGING

1134 One important aspect of jet physics is the task of identifying the flavor of parton that produced the mea-
 1135 sured jet. While in general this is very difficult, jets from b -quarks offer an interesting case where such
 1136 identification is possible. B mesons have a lifetime on the order of 10^{-12} seconds, which makes a $c\tau$

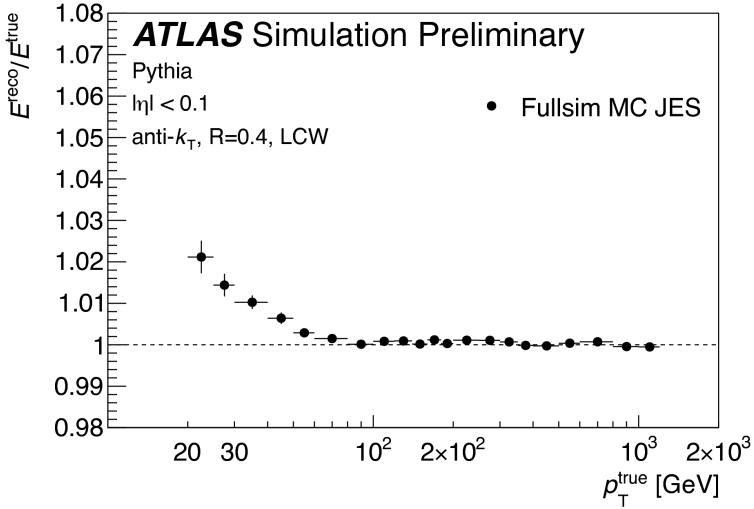


Figure 2.17: Jet energy response after calibration as a function of true p_T in simulation [56]

on the order of millimeters [6]. This type of displaced decay vertex can be identified in detectors like ATLAS and allows b -jets to be distinguished from other flavors of jets[†].

ATLAS uses a multivariate machine learning algorithm to identify jets from b -quarks. The inputs to this algorithm are determined from lower level reconstruction algorithms. There are three distinct algorithms that reconstruct variables which are used as input to the multivariate technique.

The first family is referred to as IPxD (where the x can either be 2 or 3). These algorithms use the transverse and longitudinal impact parameters d_0 and z_0 of the tracks inside a jet to determine their consistency with the primary vertex. They two or three dimensional (hence the x) templates for light flavor, charm, and bottom jets and then evaluate the likelihood of the jet coming from each of these types. The likelihood ratios are used as inputs to the multivariate algorithm.

The next two algorithms used as input are referred to as the secondary vertex (SV) and JetFitter (JF) algorithms. The SV algorithm uses tracks inside the jet to fit for vertices that are displaced from the primary vertex. The JF algorithm attempts to reconstruct the full flight path of the b by looking for multiple displaced vertices along the same line (as B decays often result in subsequent c decays).

In Run 1, the multivariate b -tagging algorithm used a neural network and was referred to as MV1.

[†]Jets from charm quarks can also be detected in this way but they do not live quite as long so the displacement of the vertex is harder to distinguish

1152 The details of this algorithm and its inputs are given in reference [57]. In Run 2, the number of inputs
 1153 was simplified and a boosted decision tree with 24 input variables was used, referred to as MV2. The
 1154 details of this algorithm are in reference [58]. Figure 2.18 shows the performance of each of these algo-
 1155 rithms.

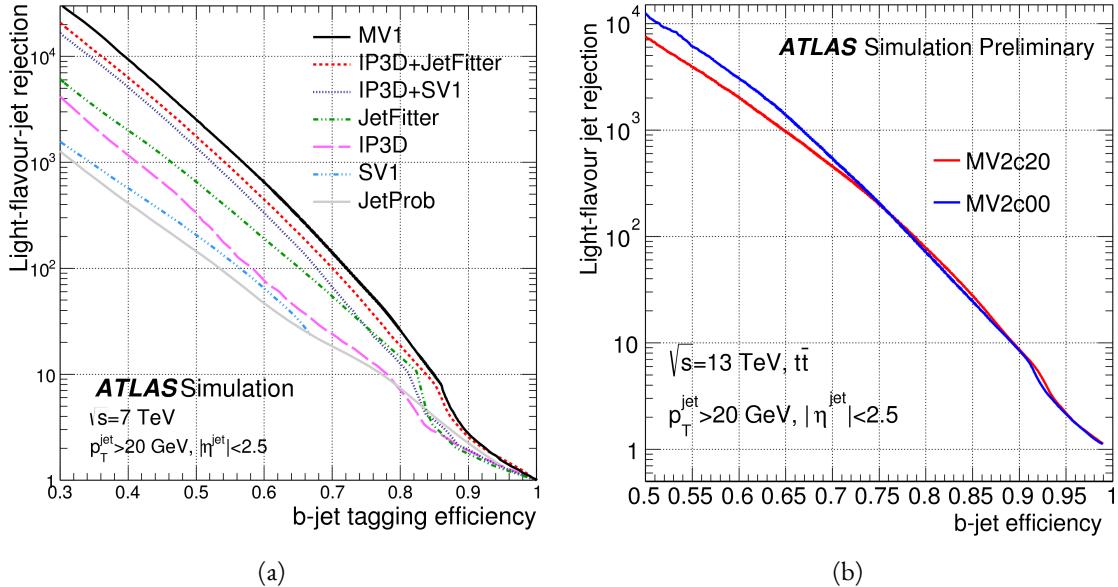


Figure 2.18: Light jet rejection (1/efficiency) vs. b -jet efficiency for MV1 and its input algorithms (a) [57] and MV2 (b) [58] in simulated $t\bar{t}$ events. The numbers in the algorithm names in (b) refer to the fraction of charm events used in the MV2 training.

1156 2.4.5 MISSING TRANSVERSE ENERGY

1157 As noted in figure 2.14, neutrinos produced in ATLAS will pass through the detector without inter-
 1158 acting. The only way of detecting the presence of particles like neutrinos (or BSM particles that are
 1159 long-lived) is to use missing transverse momentum. The basic principle of missing transverse energy is
 1160 to use the momentum balance of the incoming protons to infer the presence of missing particles. The
 1161 net longitudinal momentum of the incoming partons that collide is not known (since each carries an un-
 1162 known fraction of the proton's momentum). However, the protons (and thus incoming partons) have
 1163 no net momentum in the plane transverse to the beam line (the x - y plane). Therefore, if there are no
 1164 un-measured particles in the final state, the transverse momenta of all of the final state particles should

1165 balance. The magnitude of this imbalance is known as missing transverse momentum (E_T^{miss}).

1166 The basic calculation of missing transverse momentum from calorimeter cells is given in equation 2.4 [59].

1167

$$\begin{aligned} E_x^{\text{miss}} &= -\sum_{i=1}^{N_{\text{cell}}} E_i \sin \theta_i \cos \phi_i \\ E_y^{\text{miss}} &= -\sum_{i=1}^{N_{\text{cell}}} E_i \sin \theta_i \sin \phi_i \end{aligned} \quad (2.4)$$

1168 The E_T^{miss} calculation is separated into different terms based on the objects that the calorimeter clusters
1169 are associated with. This way, each cell's contribution is calibrated appropriately according to the object.

1170 This separation of terms is shown in equation 2.5 [59].

$$\begin{aligned} E_{x(y)}^{\text{miss,calo}} &= E_{x(y)}^{\text{miss},e} + E_{x(y)}^{\text{miss},\gamma} + E_{x(y)}^{\text{miss},\tau} + E_{x(y)}^{\text{miss,jets}} \\ &\quad + E_{x(y)}^{\text{miss,softjets}} + E_{x(y)}^{\text{miss},\mu} + E_{x(y)}^{\text{miss,CellOut}} \end{aligned} \quad (2.5)$$

1171 The CellOut term of the above equation corresponds to calorimeter cells with energy deposits that are
1172 not associated with other objects. The soft jets term comes from cells associated to jets with p_T between
1173 7 and 20 GeV, while the jets term comes from jets with $p_T > 20$ GeV. Because muons do not deposit
1174 significant energy in the calorimeter, the muon momentum is used for the muon term [59]. The final
1175 E_T^{miss} is calculated using equation 2.6.

$$E_T^{\text{miss}} = \sqrt{(E_x^{\text{miss}})^2 + (E_y^{\text{miss}})^2} \quad (2.6)$$

1176 Figure 2.19 shows the resolution of the components of the E_T^{miss} under different pileup suppression
1177 techniques [60].

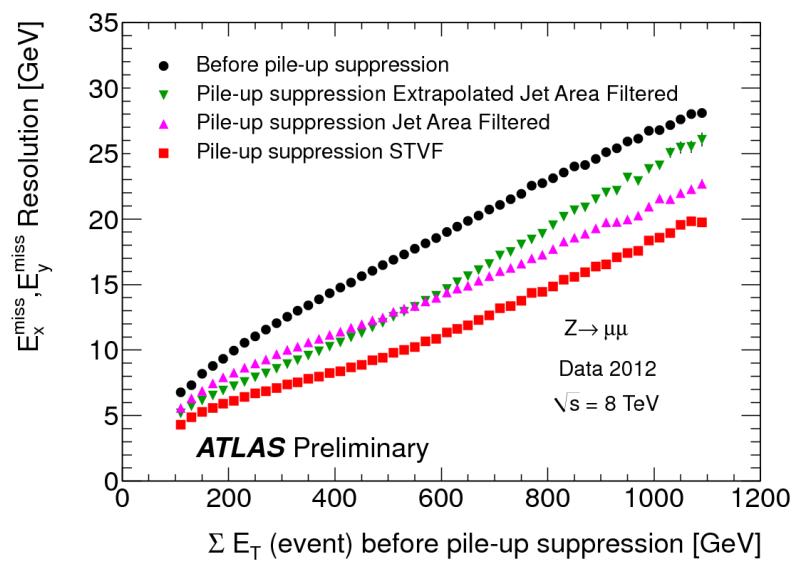


Figure 2.19: Resolution of E_T^{miss} components as a function of $\sum E_T$ before pileup suppression with different pileup techniques [60]

1178

Part II

1179

Observation and measurement of Higgs

1180

boson decays to WW^* in LHC Run I at

1181

$\sqrt{s} = 7$ and 8 TeV

*Basic research is what I am doing when I don't know
what I am doing.*

Wernher von Braun

3

1182

1183

$H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ Analysis Strategy

1184 3.1 INTRODUCTION

1185 This chapter presents an overview of the strategy for searching for a Higgs boson in the $H \rightarrow WW^* \rightarrow$
1186 $\ell\nu\ell\nu$ decay topology. Its purpose is to define in broad terms how the search and measurement are un-
1187 dertaken, before going into details on the specific sub-categories within the larger analysis. First, the
1188 properties of the Higgs signal are discussed and the associated backgrounds are presented. Next, the ob-
1189 servables used to enhance the signal to background ratio are defined. Finally, the parameters of interest
1190 in the search and measurement will be shown, along with a brief overview of the statistical treatment of
1191 the final Higgs candidates.

1192 Following this chapter, the results of three different studies within the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ channel
1193 are shown. Chapter 4 presents a search for Higgs boson production in gluon fusion mode and the role
1194 of the $H \rightarrow WW^*$ channel in its discovery. Chapter 5 shows the search and first observation in ATLAS
1195 of the Vector Boson Fusion (VBF) production mode of the Higgs in the $H \rightarrow WW^*$ decay channel.

1196 Finally, chapter 6 shows the combined Run 1 $H \rightarrow WW^*$ results for the measurement of the Higgs
1197 cross section and relative coupling strengths to other SM particles.

1198 3.2 THE $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ SIGNAL IN ATLAS

1199 The signal studied in this and subsequent chapters is the Higgs boson in the WW^* final state, where
1200 each W boson subsequently decays into a charged lepton and a neutrino. In the simplest decay path,
1201 the final state consists of two neutrinos and two charged leptons, each of which can be either an electron
1202 or a muon. If a W decays to a τ lepton, only leptonic decays of the τ are considered. The τ lepton pro-
1203 duce additional neutrinos in the final state but still yield two charged leptons (where each lepton is an
1204 electron or muon). Neutrinos are not detected in ATLAS, so the final state ultimately consists of two
1205 reconstructed leptons and missing transverse momentum (denoted as E_T^{miss}). Final states where both of
1206 the charged leptons are electrons or muons are referred to as the “same flavor” ($ee/\mu\mu$) final states, while
1207 those with one electron and one muon are referred to as “different flavor” ($e\mu$ or μe).

1208 There can be additional jets produced in association with the Higgs boson. As described in detail
1209 in Chapter 1, if the Higgs is produced via vector boson fusion production, there will be two additional
1210 forward jets in the event. In gluon fusion, one or more jets can be produced through initial state radi-
1211 ation from the incoming gluons. Because of the varying background composition as a function of jet
1212 multiplicity, each bin in this variable has its own dedicated requirements applied in the search and mea-
1213 surement. The $n_j = 0$ and $n_j = 1$ bins are dedicated to gluon fusion production, while the $n_j \geq 2$ bin
1214 has separate dedicated searches for ggF and VBF production.

1215 Figure 3.1 shows the relative branching fractions for the $H \rightarrow WW^*$ process, calculated from the Par-
1216 ticle Data Group values for the W and τ branching ratios [6]. The largest branching ratio corresponds
1217 to both W bosons decaying to quark pairs at 45.44%. The second largest ratio is for one W decaying lep-
1218 tonically and the other decaying to quarks, a branching ratio of 34.18%. In all cases, ℓ denotes either an
1219 electron or muon, and the leptonic branching ratios of the τ are included. For example, the $\ell\nu qq$ final
1220 state includes one W decaying to $e\nu$, $\mu\nu$, or $\tau\nu$. In the case of the $W \rightarrow \tau\nu$ decay, the τ lepton then
1221 decays to an electron or muon via $\tau \rightarrow \nu_\tau \ell \nu_\ell$. Final states with a τ_h refer to hadronic decays of the τ .

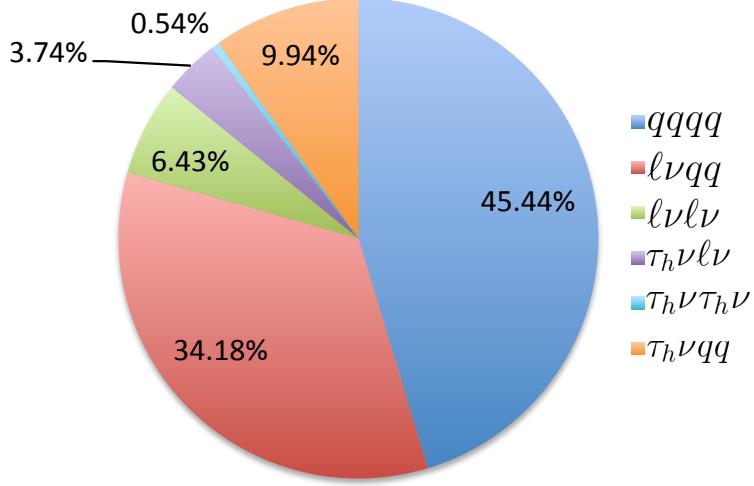


Figure 3.1: Branching ratios for a WW system. q refers to quarks. ℓ can be either an electron or muon, and the leptonic branching ratios of the τ are included. For example, the $\ell\nu qq$ final state includes one W decaying to $e\nu$, $\mu\nu$, or $\tau\nu$. τ_h refer to hadronic decays of the τ .

1222 The branching ratio of the $\ell\nu\ell\nu$ final state is 6.43%.

1223 While the $\ell\nu\ell\nu$ final state is not a large fraction of the branching ratio, there are significant advantages
 1224 to using this channel in an analysis. First, both the $qqqq$ and $\ell\nu qq$ channels suffer from a large QCD
 1225 multijet background, which is often difficult to model. Second, events in the the $\ell\nu\ell\nu$ channel in data
 1226 can be triggered more efficiently due to the presence of two leptons.

1227 3.3 BACKGROUND PROCESSES

1228 Many processes from the Standard Model can also produce a final state with two leptons and missing
 1229 transverse momentum. This section describes the dominant backgrounds to Higgs production and fur-
 1230 ther explains how they can be reduced. Table 3.1 summarizes the different background processes.

1231 3.3.1 STANDARD MODEL WW PRODUCTION

1232 Non-resonant Standard Model diboson production, as shown in figure 3.2, is an irreducible background
 1233 to Higgs boson production in the WW final state. It produces the same exact final state objects, namely

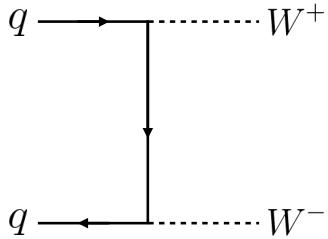


Figure 3.2: Feynman diagram for Standard Model WW production

1234 leptonically decaying W bosons. There are no additional objects in the final state that allow for back-
1235 ground reduction. Therefore the analysis solely relies on the correlations between the leptons to reduce
1236 this background.

1237 3.3.2 TOP QUARK PRODUCTION

1238 Top quark production can mimic the Higgs in the WW^* final state as well. Top quarks can be produced
1239 either in pairs ($t\bar{t}$ production) or singly (s -channel, t -channel, or associated production Wt). The domi-
1240 nant top background are $t\bar{t}$ and Wt production.

1241 Because top quarks decay via $t \rightarrow Wb$, top pair production can produce a final state with two W
1242 bosons that then decay leptonically. In Wt production, there are two real W bosons produced, as with
1243 $t\bar{t}$. In both cases, there is at least one b -jet in the final state. By vetoing on the presence of b -jets, these top
1244 quark backgrounds can be reduced. Figure 3.3 shows the Feynman diagrams for $t\bar{t}$ and Wt production.

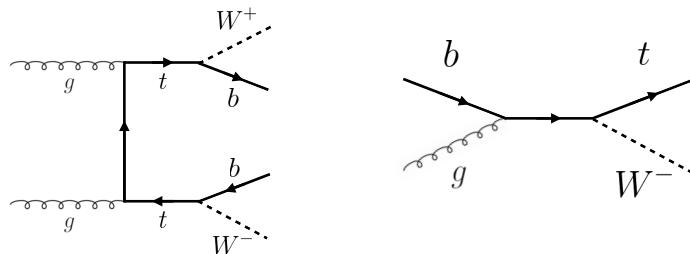


Figure 3.3: Feynman diagrams for top pair production (left) and Wt production (right)

1245 3.3.3 $W + \text{JETS BACKGROUND}$

1246 Single W boson production in association with jets is a unique background to Higgs production. The
1247 other backgrounds considered thus far have all included two prompt leptons, each decaying from a W
1248 boson, in the final state. In $W + \text{jets}$ production, however, only one reconstructed lepton originates from
1249 a W . The second reconstructed lepton is either an algorithmic “fake” or the result of non-prompt de-
1250 cays. In the first case, the lepton is a jet misidentified as a lepton by either the electron or muon recon-
1251 struction algorithms. In the second case, the lepton may be a real lepton but coming from semi-leptonic
1252 decays of particles inside the shower of the jet. This background can be reduced by requiring that the
1253 reconstructed lepton have little activity in the surrounding region of the calorimeter (also known as an
1254 “isolation”). Figure 3.4 shows the Feynman diagram for $W + \text{jets}$ production.

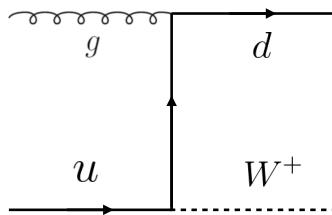


Figure 3.4: An example Feynman diagram of $W + \text{jets}$ production

1255 3.3.4 $Z/\gamma^* + \text{JETS BACKGROUND}$

1256 Production of a Z boson or virtual photon (also known as Drell-Yan and denoted with Z/γ^*) in associa-
1257 tion with jets is also a background to Higgs production. The Z boson decays to two leptons of the same
1258 flavor. When the Z/γ^* decays directly to electrons or muons, the background enters the same flavor fi-
1259 nal state. When the Z decays to two τ leptons the background can enter the different flavor final state as
1260 well. Figure 3.5 shows the production of a Z in association with one jet. Because there are no neutrinos
1261 in this final state, variables like E_T^{miss} can be used to reduce the background*.

The E_T^{miss} cut is much more effective for the reduction of Z/γ^ production in the same flavor final state. If the background enters the different flavor final state through τ decays, there will be neutrinos present. Other requirements on the lepton invariant mass are made to reduce the $Z/\gamma^* \rightarrow \tau\tau$ background.



Figure 3.5: An example Feynman diagram of Z +jets production

1262 3.3.5 SUBDOMINANT BACKGROUNDS

1263 There are additional processes which contribute to the background composition. These backgrounds are
 1264 subdominant and contribute less to the total background estimate than those discussed previously. The
 1265 first process is referred to as VV or “Other diboson” processes and includes multiple Standard Model
 1266 diboson processes, including WZ , ZZ , $W\gamma$, $W\gamma^*$, and $Z\gamma$ production. Additionally, there is a back-
 1267 ground contribution from QCD multijet production. While the cross section for this process is large, its
 1268 contribution to the WW^* final state is small because two jets must be misidentified as leptons.

Category	Process	Description
SM WW	$WW \rightarrow \ell\nu\ell\nu$	Real leptons and neutrinos
Top quark production	$t\bar{t} \rightarrow WbWb \rightarrow \ell\nu b\bar{\nu}b$	Real leptons, untagged b s
	$tW \rightarrow WbW \rightarrow \ell\nu\ell\nu b$	Real leptons, untagged b
	$t\bar{b}, t\bar{q}\bar{b}$	Untagged b , jet misidentified as lepton
Drell-Yan	$Z/\gamma^* \rightarrow ee, \mu\mu$	“Fake” E_T^{miss}
	$Z/\gamma^* \rightarrow \tau\tau \rightarrow \ell\nu\ell\nu\nu$	Real leptons and neutrinos
Other dibosons	$ZZ \rightarrow \ell\ell\nu\nu$	Real leptons and neutrinos
	$W\gamma^*, WZ \rightarrow \ell\nu\ell\ell, ZZ \rightarrow \ell\ell\ell\ell$	Unreconstructed leptons
	$W\gamma, Z\gamma$	γ reconstructed as e , unreconstructed lepton
W +jets	$Wj \rightarrow \ell\nu j$	Jet reconstructed as lepton
QCD multijet	jj	Jets reconstructed as leptons

Table 3.1: A summary of backgrounds to the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ signal

1269 3.4 SHARED SIGNAL REGION SELECTION REQUIREMENTS

1270 As presented in section 3.2, there are many different combinations of physics objects that can define a
 1271 $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ final state. The multiplicity of jets and the flavor combinations of the leptons

1272 both lead to many potential signal regions. Additionally, signal regions can be optimized separately to
 1273 be sensitive to the distinct production modes of the Higgs. Gluon fusion, vector boson fusion, and asso-
 1274 ciated production of a Higgs all lead to unique final state topologies. Figure 3.6 delineates the different
 1275 signal regions used in the gluon fusion and vector boson fusion $H \rightarrow WW^*$ analyses. While there are
 1276 different optimizations possible in each signal region, there are also some commonly shared selections
 1277 that will be described here.

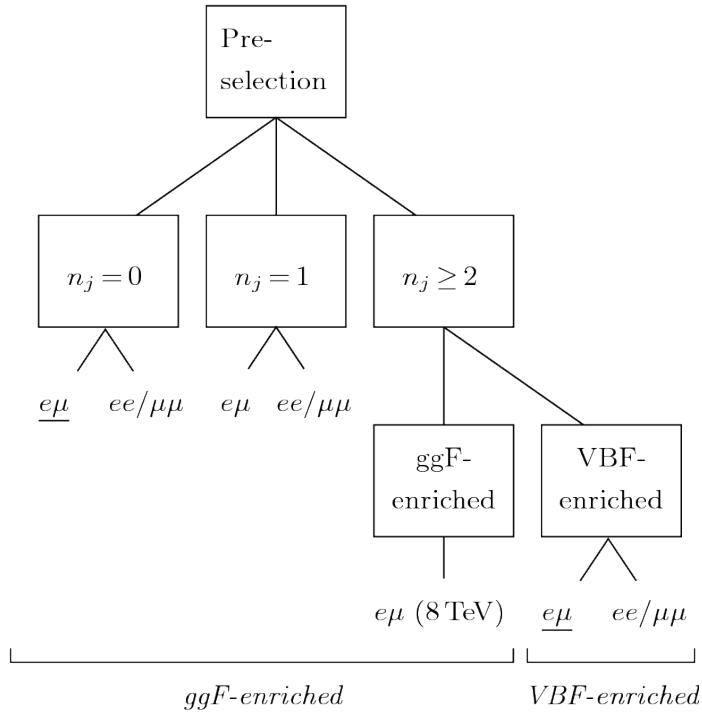


Figure 3.6: An illustration of the unique analysis signal regions [61]. The most sensitive regions for both gluon fusion and vector boson fusion production are underlined.

1278 3.4.I EVENT PRE-SELECTION

1279 Before being sorted into the distinct signal regions, basic requirements are applied to the reconstructed
 1280 objects in the event to select Higgs-like event candidates. First, two oppositely charged leptons are re-
 1281 quired. Once the leptons are selected, the last requirement for event pre-selection is the presence of
 1282 neutrinos. As neutrinos cannot be detected directly in ATLAS, E_T^{miss} can be used as a proxy for the
 1283 combined neutrino momentum in the transverse plane.

1284 In general, it is expected that the signal should have a harder E_T^{miss} spectrum than backgrounds, espe-
 1285 cially if these backgrounds do not contain neutrinos in the final state. When using E_T^{miss} , it is possible
 1286 mis-measurements of objects in the detector can lead to imbalances in the transverse plane. When such
 1287 a mis-measurement occurs, the E_T^{miss} vector in the transverse plane will often point in the same direc-
 1288 tion as the mis-measured object. Therefore, a new variable, $E_{T,\text{rel}}^{\text{miss}}$, is used in the pre-selection. $E_{T,\text{rel}}^{\text{miss}}$ is
 1289 defined in equation 3.1.

$$E_{T,\text{rel}}^{\text{miss}} = \begin{cases} E_T^{\text{miss}} \sin \Delta\phi_{\text{near}} & \text{if } \Delta\phi_{\text{near}} < \pi/2 \\ E_T^{\text{miss}} & \text{otherwise,} \end{cases} \quad (3.1)$$

1290 If the closest object to the E_T^{miss} vector is within $\pi/2$ radians in the transverse plane, the E_T^{miss} is pro-
 1291 jected away from this object. Otherwise, the normal E_T^{miss} vector is used. Figure 3.7 shows a graphical
 illustration of this concept.

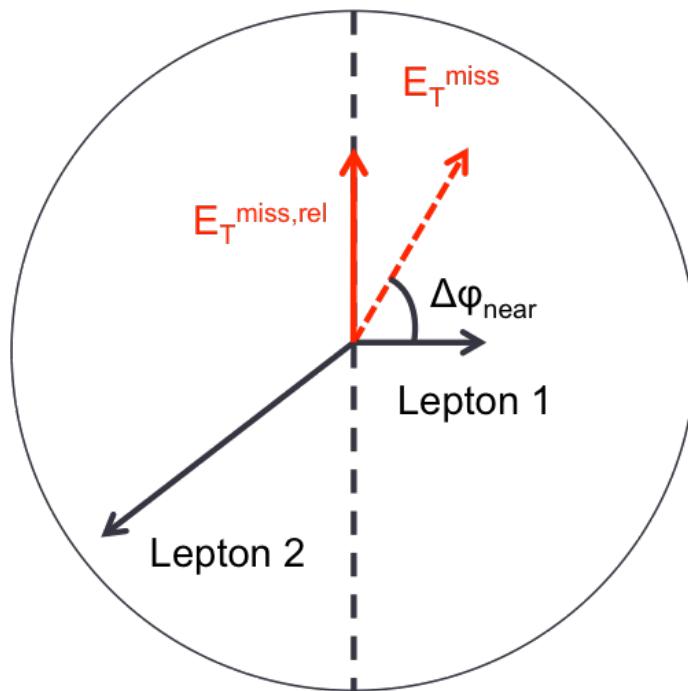


Figure 3.7: A graphical illustration of the $E_{T,\text{rel}}^{\text{miss}}$ calculation

1292 Once the lepton and E_T^{miss} pre-selections are made, the analysis is divided into different regions ac-
 1293 cording to jet multiplicity.

1295 3.4.2 JET MULTIPLICITY

1296 Jet multiplicity, denoted as n_j , is used to sub-divide the analysis into distinct signal regions. By creating
1297 separate signal regions, each bin in jet multiplicity becomes sensitive to different modes of Higgs produc-
1298 tion and different backgrounds.

1299 For example, the $n_j \geq 2$ region is more sensitive to VBF production because of the two high momen-
1300 tum jets produced at matrix element level. For gluon fusion production to enter this bin, two initial state
1301 radiation jets must be emitted.

1302 Figure 3.8 shows the jet multiplicity in both the different flavor and same flavor regions after the pre-
1303 selection. It also shows the background composition in the bins of n_b . A few trends from this distribu-
1304 tion are worth noting. The first is that the Drell-Yan background dominates in the same flavor chan-
1305 nels for $n_j \leq 1$. Second, the top background becomes a clear contributor to the total background for
1306 $n_j \geq 1$. Lastly, the SM WW production dominates in the $n_j = 0$ bin, as it is an irreducible back-
1307 ground to $H \rightarrow WW^*$ production. Because of these distinct features, each jet multiplicity bin is treated
1308 separately.

1309 3.5 BACKGROUND REDUCTION IN SAME-FLAVOR FINAL STATES

1310 As described in section 3.4.2, the background composition of the same flavor final states is different from
1311 that of the different flavor states. In particular, Drell Yan processes play a much larger role because the
1312 Z/γ^* decays to same flavor leptons. Because real neutrinos are absent in the Z/γ^* decays to ee and $\mu\mu$,
1313 a requirement on E_T^{miss} should largely reduce the background. However, as this section will demon-
1314 strate, with increasing pileup conditions the resolution of the calorimeter-based E_T^{miss} degrades greatly.
1315 Therefore, two new variables for Z/γ^* background reduction are constructed and described in this sec-
1316 tion.

1317 3.5.1 PILEUP AND E_T^{miss} RESOLUTION

1318 Secondary interactions of protons in the colliding bunches of the LHC (known as pileup interactions,
1319 described in detail in Chapter 2) deposit energy into the ATLAS calorimeter in addition to the energy

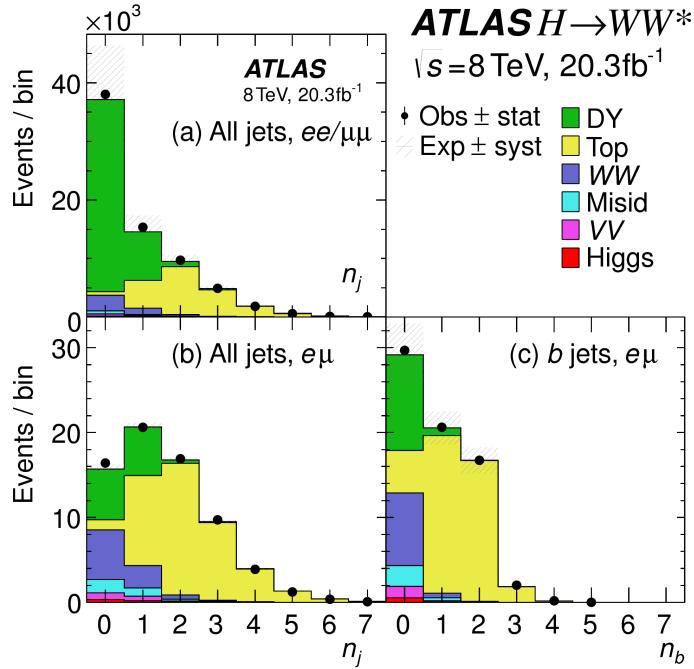


Figure 3.8: Predicted backgrounds (compared with data) as a function of n_j (a and b) and n_b (c) after pre-selection requirements

that comes from the hard scatter process of interest. The calculation of E_T^{miss} is fundamentally Poissonian. Summing up all of the energy deposits in individual calorimeter cells or clusters is similar to a counting experiment. The error on a mean of N in a Poisson distribution is \sqrt{N} , so the energy resolution scales as \sqrt{E} . As more energy is deposited in the calorimeter, the E_T^{miss} resolution degrades, meaning that the E_T^{miss} resolution is particularly sensitive to LHC instantaneous luminosity conditions.

Figure 3.9 shows an event display of a $Z/\gamma^* + \text{jets}$ event candidate with the twenty-five reconstructed primary vertices. This display illustrates that while the interaction of interest only has tracks coming from the hardest primary vertex, all of the secondary interactions deposit energy in the calorimeter as well.

Figure 3.10 shows the RMS of the E_T^{miss} distribution in $Z \rightarrow \mu\mu$ events (where there are no real neutrinos) as a function of the number of the average number of interactions. Under 2011 LHC conditions, this RMS was approximately 9 GeV, while under 2012 running conditions the resolution worsened to 12 GeV. The increase in pileup dilutes the E_T^{miss} variable's ability to reduce the Z/γ^* background.

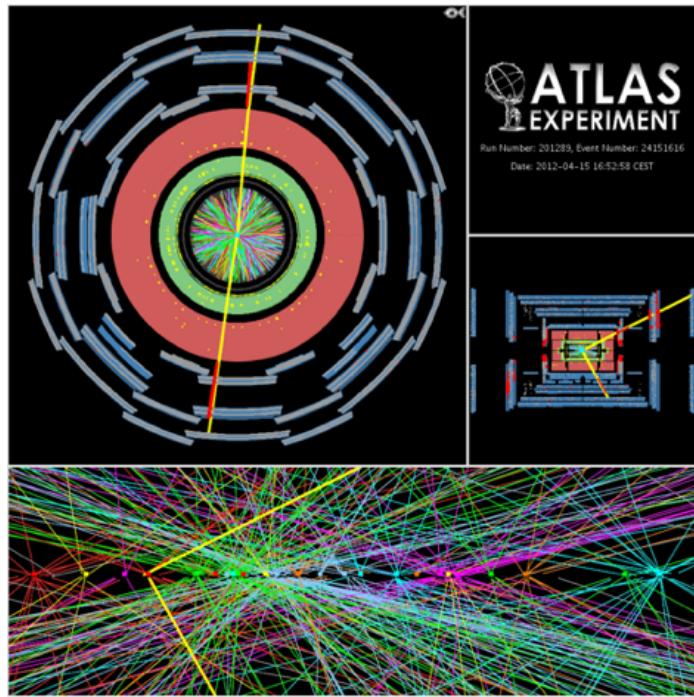


Figure 3.9: An event display of a $Z/\gamma^* + \text{jets}$ event illustrating the effect of pileup interactions

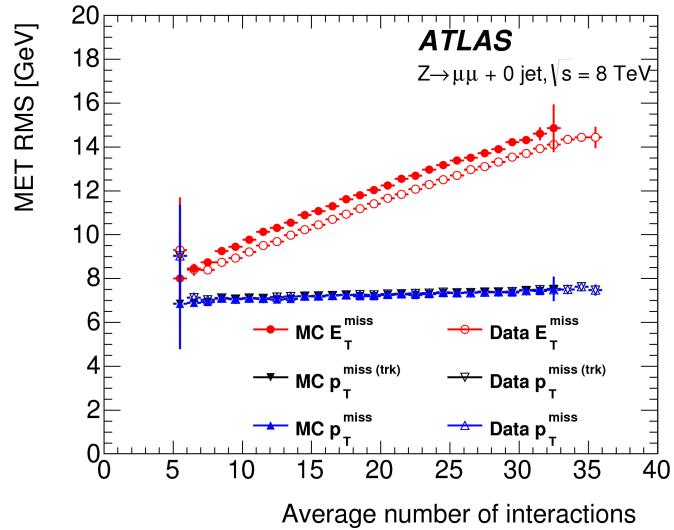


Figure 3.10: The RMS of different missing transverse momentum definitions as a function of the average number of interactions per bunch crossing

1333 3.5.2 TRACK-BASED DEFINITIONS OF MISSING TRANSVERSE MOMENTUM

1334 Because the increasing number of secondary proton-proton interactions degrades calorimeter-based
 1335 E_T^{miss} resolution, a new variable using only contributions from the primary interaction vertex is nec-

1336 essary to further reduce the Z/γ^* background. While it is not possible to associate calorimeter energy
 1337 deposits with a particular vertex, individual charged particle tracks in the Inner Detector are associated to
 1338 unique vertices. Thus, two track-based definitions of missing transverse momentum , using only tracks
 1339 coming from the primary vertex in the event, are used in the analysis. The simplest variable, $p_T^{\text{miss}(\text{trk})}$,
 1340 is the vectorial sum of the p_T of all of the tracks from the primary vertex and the selected leptons (ex-
 1341 cluding the tracks associated with the selected leptons to avoid double counting). Equation 3.2 defines
 1342 $p_T^{\text{miss}(\text{trk})}$.

$$p_T^{\text{miss}(\text{trk})} = - \left(\sum_{\text{selected leptons}} p_T + \sum_{\text{other tracks}} p_T \right), \quad (3.2)$$

1343 To further improve the resolution on the missing transverse momentum, the variable p_T^{miss} is used as
 1344 defined in equation 3.3. For selected leptons and jets, the nominal p_T measurements are used. Tracks
 1345 are used to estimate the soft component of the missing transverse momentum instead of calorimeter
 1346 measurements.

$$p_T^{\text{miss}} = - \left(\sum_{\text{selected leptons}} p_T + \sum_{\text{selected jets}} p_T + \sum_{\text{other tracks}} p_T \right), \quad (3.3)$$

1347 Figure 3.10 illustrates that these two new variables accomplish their intended purpose. The resolution as
 1348 a function of mean number of interactions for both $p_T^{\text{miss}(\text{trk})}$ and p_T^{miss} is much flatter than the depen-
 1349 dence for E_T^{miss} . Figure 3.11a shows the difference between the true and reconstructed values of missing
 1350 transverse momentum using both the track-based p_T^{miss} and calorimeter based E_T^{miss} . The RMS of the
 1351 distribution improves by 3.5 GeV when using p_T^{miss} .

1352 3.5.3 DISTINGUISHING Z/γ^* +JETS AND $H \rightarrow WW^*$ TOPOLOGIES

1353 In addition to measuring missing transverse momentum, another variable can be constructed to exploit
 1354 kinematic and topological differences between the Z/γ^* background and $H \rightarrow WW^*$ signal. Because
 1355 there are no real neutrinos in the final state (in the case of $Z/\gamma^* \rightarrow ee, \mu\mu$ decays), the dilepton system
 1356 will be balanced with the jets produced in the hard scatter. A new variable, f_{recoil} , is constructed to es-
 1357 timate the balance between the dilepton system and recoiling jets and is defined in equation 3.4. The
 1358 transverse plane is divided into four sections, or quadrants, with one quadrant centered on the dilepton

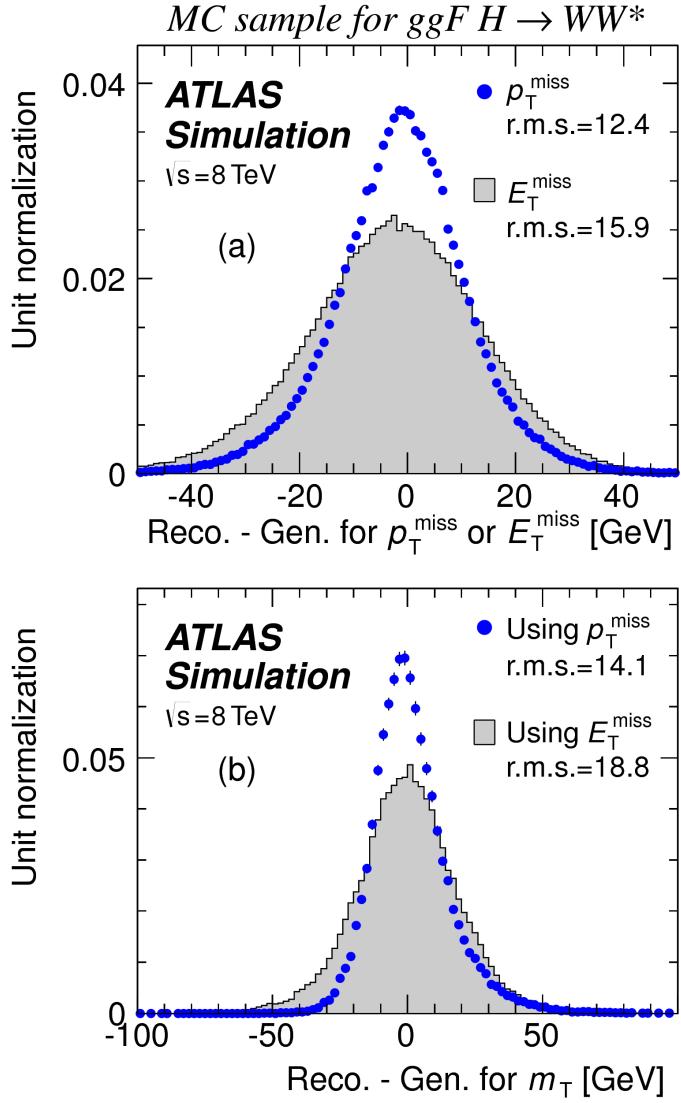


Figure 3.11: The difference between the true and reconstructed values of the missing transverse momentum (a) and m_T (b) in a gluon fusion signal sample

vector. The numerator of f_{recoil} is the magnitude of the vectorial sum of the p_T of jets in the quadrant opposite the dilepton system, weighted by each jet's Jet Vertex Fraction (JVF, described in chapter 2).
 The denominator is the magnitude of the dilepton p_T .

$$f_{\text{recoil}} = \left| \sum_{\text{jets } j \text{ in } \wedge} \text{JVF}_j \cdot \mathbf{p}_T^j \right| / p_T^{\ell\ell}. \quad (3.4)$$

1362 Figure 3.12 shows a shape comparison of the f_{recoil} distribution in a simulated $Z/\gamma^* + \text{jets}$ sample, a
 1363 $H \rightarrow WW^*$ signal sample, and other backgrounds that contain real neutrinos. The $Z/\gamma^* + \text{jets}$ events
 1364 tend to be more balanced between the dilepton system and recoiling jets, while the processes containing
 1365 real neutrinos are less balanced in the transverse plane. Thus, a requirement on f_{recoil} will reduce the
 1366 $Z/\gamma^* + \text{jets}$ background while maintaining a good signal efficiency.

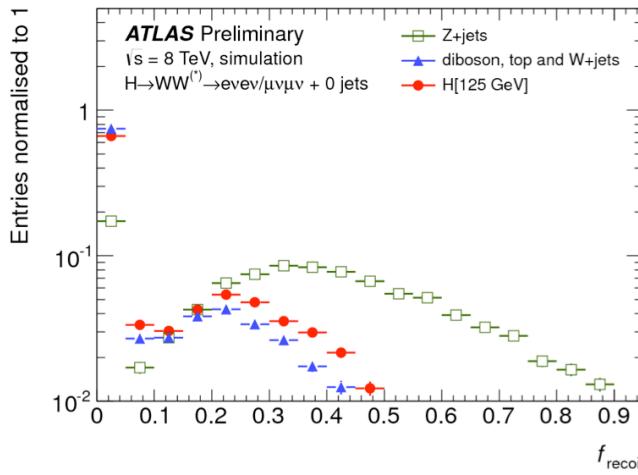


Figure 3.12: Comparison of f_{recoil} distributions for $Z/\gamma^* + \text{jets}$, $H \rightarrow WW^*$, and other backgrounds with real neutrinos.

1367 3.5.4 OPTIMIZING BACKGROUND REDUCTION SELECTION REQUIREMENTS

1368 The requirements on $p_T^{\text{miss}(\text{trk})}$ and f_{recoil} used to reduce the $Z + \text{jets}$ background must be optimized to
 1369 maximize expected signal significance in the same flavor channels. Figure 3.13 shows an optimization of
 1370 the combination of the two requirements in the gluon fusion zero jet bin. Each bin shows the expected
 1371 signal significance if the $p_{T,\text{rel}}^{\text{miss}(\text{trk})}$ is required to be greater than the left edge of the bin and the f_{recoil} is
 1372 required to be less than the top edge of the bin. The figure shows that the best signal significance comes
 1373 from requiring low values of f_{recoil} (< 0.05) and $p_{T,\text{rel}}^{\text{miss}(\text{trk})}$ values greater than 45 GeV.

1374 3.6 PARAMETERS OF INTEREST AND STATISTICAL TREATMENT

1375 As with any search or measurement, there are particular parameters of the Higgs that the $H \rightarrow WW^*$
 1376 analysis is interested in measuring. In this case, the parameters of interest are the mass of the Higgs bo-

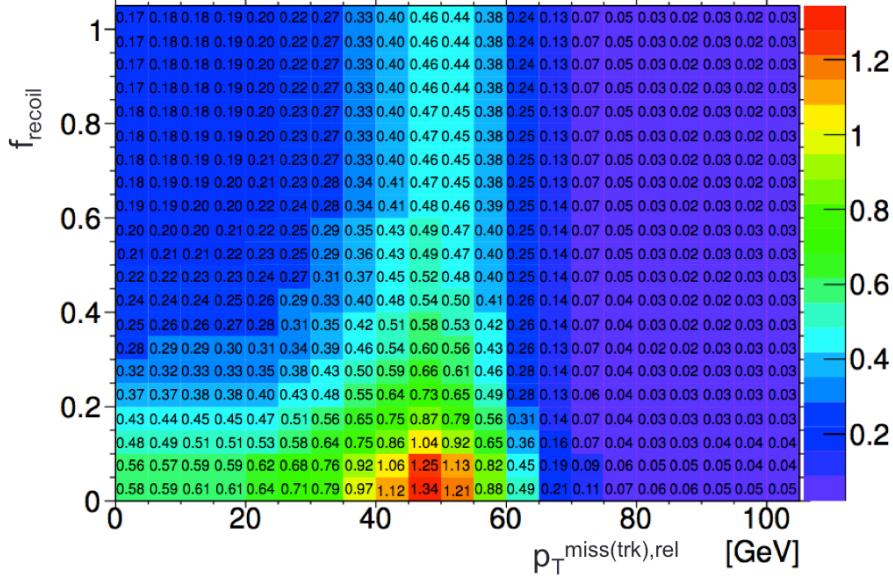


Figure 3.13: Signal significance as a function of required value for f_{recoil} and $p_{T,\text{rel}}^{\text{miss}(\text{trk})}$ in the ggF $H \rightarrow WW^*$ with $n_j = 0$

son and its production cross section. Because the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ process does not have a closed final state, it is not possible to measure the full invariant mass of the particle that may have produced the final state. However, a proxy for the invariant mass is defined using transverse plane information and detailed in section 3.6.1. The second parameter of interest is the ratio of the measured cross section to that expected from the Standard Model Higgs, which is denoted a μ . This is defined in equation 3.5.

$$\mu = \frac{\sigma}{\sigma_{\text{SM}}} \quad (3.5)$$

All of the likelihoods used in the statistical analysis of the final signal region events are parameterized as a function of μ . μ is a natural variable for hypothesis testing, as $\mu = 0$ corresponds to a background only hypothesis and $\mu = 1$ corresponds exactly to a Standard Model Higgs.

3.6.1 TRANSVERSE MASS

The $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ analysis cannot reconstruct the full invariant mass of the Higgs because of the neutrinos in the final state. The transverse mass serves as a proxy for the full invariant mass by

1388 exploiting information from the transverse plane. The transverse mass is defined in equation 3.6.

$$m_T = \sqrt{(E_T^{\ell\ell} + p_T^{\text{miss}})^2 - |\vec{p}_T^{\ell\ell} + \vec{p}_T^{\text{miss}}|^2}, \quad (3.6)$$

1389 Here the $E_T^{\ell\ell}$ and $p_T^{\ell\ell}$ are the transverse energy and momentum of the dilepton system, while p_T^{miss} is a
1390 proxy for the transverse momentum of the di-neutrino system. The track-based p_T^{miss} is used in the m_T
1391 rather than the calorimeter based E_T^{miss} because it has a better resolution on the true transverse mass.
1392 Figure 3.11b shows the improvement in the RMS of the difference between the true and reconstructed
1393 transverse mass in a ggF signal sample. The RMS improves by 4.7 GeV using p_T^{miss} in the m_T calcula-
1394 tion.

1395 **3.6.2 STATISTICAL TREATMENT[†]**

1396 **LIKELIHOOD FUNCTION**

1397 The statistical analysis of final event candidates is framed as a hypothesis test, where the null hypothe-
1398 sis is background-only (no Standard Model Higgs). The first step in the analysis is to form a likelihood
1399 function for the data. In its simplest form, this likelihood is the probability of observing the number
1400 of events seen in the final signal region given knowledge of the signal strength. Because observation of
1401 events is fundamentally a Poisson counting experiment, this simple likelihood can be expressed as a Pois-
1402 son probability of observing N events given a total number of predicted signal and background events.
1403 This basic likelihood is shown in equation 3.7.

$$\mathcal{L}(\mu) = P(N|\mu S + B) \quad (3.7)$$

1404 Here, P is the Poisson probability density function, N is the total number of observed events, μ is the
1405 signal strength, S is the predicted number of signal events, and B is the predicted number of back-
1406 ground events.

1407 In particle physics, certain background estimates are commonly normalized in so-called “control” re-

[†]Many thanks to Aaron Armbruster, whose thesis [62] inspired parts of this section.

1408 gions and those predictions are scaled by the same normalization factor in the signal region. This leads
1409 to a slightly more complicated likelihood, which is a function of both the signal strength and the back-
1410 ground normalization. This is shown in equation 3.8.

$$\mathcal{L}(\mu, \theta) = P(N|\mu S + \theta B) P(N_{\text{CR}}|\theta B_{\text{CR}}) \quad (3.8)$$

1411 Here, θ serves as a “nuisance parameter”, or a parameter that is not of primary interest but still enters the
1412 likelihood. The second Poisson term enforces that the background normalization be consistent with the
1413 number of observed events in data in the control region, N_{CR} .

1414 So far, these two formulations of likelihoods have assumed a single signal region and do not take into
1415 account any shape information of potential discriminating variables. The $H \rightarrow WW^*$ analysis is di-
1416 vided into many different categories, the counting experiment described above can be performed in each
1417 individual category. As mentioned in section 3.6.1, the transverse mass is used as the primary discriminat-
1418 ing variable in many of the $H \rightarrow WW^*$ sub-analyses. The same counting experiment can be performed
1419 in each bin of the m_T distribution to incorporate some shape information. Thus, the total likelihood
1420 becomes a product over signal regions and bins of the m_T distribution. Finally, there are usually many
1421 backgrounds that are normalized in control regions. The new formulation of the likelihood takes this
1422 into account by including a product over control regions in the second Poisson term. All of these modi-
1423 fications are shown in equation 3.9.

$$\mathcal{L}(\mu, \theta) = \prod_{\substack{\text{SRs } i \\ \text{bins } b}} P\left(N_{ib} \middle| \mu S_{ib} + \sum_{\text{bkg k}} \theta_k B_{kib}\right) \prod_{\text{CRs } l} P\left(N_l \middle| \sum_{\text{bkg k}} \theta_k B_{kl}\right) \quad (3.9)$$

1424 The final step to obtain the full likelihood used in the analysis is to add nuisance parameters for the
1425 systematic uncertainties. In cases where the uncertainty does not affect the shape of m_T bin-by-bin, each
1426 systematic uncertainty ϵ is allowed to affect the expected event yields through a linear response function
1427 of the nuisance parameter, namely $\nu(\theta) = (1 + \epsilon)\theta$. If instead the uncertainty does affect the shape,
1428 the effect is instead parameterized by $\nu_b(\theta) = 1 + \epsilon_b\theta$. The value of the nuisance parameters for the
1429 systematic uncertainty are constrained with a Gaussian term that is added to the likelihood as well. This

¹⁴³⁰ is of the form $g(\delta|\theta) = e^{-(\delta-\theta)^2/2}/\sqrt{2\pi}$, where δ is the central value and θ is a nuisance parameter.

¹⁴³¹ Finally, a last term is added to account for the statistical uncertainty in the Monte Carlo samples used,
¹⁴³² which adds an additional poisson term. The full likelihood used in the final statistical analysis is defined
¹⁴³³ in equation 3.10.

$$\begin{aligned} \mathcal{L}(\mu, \boldsymbol{\theta}) = & \prod_{\substack{\text{SRs i} \\ \text{bins b}}} P \left(N_{ib} \left| \mu S_{ib} \cdot \prod_{\substack{\text{sig.} \\ r}} \nu_{br}(\theta_r) + \sum_{\text{bkg k}} \theta_k B_{kib} \cdot \prod_{\substack{\text{bkg.} \\ \text{syst.} \\ s}} \nu_{bs}(\theta_s) \right. \right) \\ & \cdot \prod_{\text{CRs l}} P \left(N_l \left| \sum_{\text{bkg k}} \theta_k B_{kl} \right. \right) \\ & \cdot \prod_{\substack{\text{syst} \\ t}} g(\delta_t|\theta_t) \cdot \prod_{\text{bkg k}} P(\xi_k|\zeta_k \theta_k) \end{aligned} \quad (3.10)$$

¹⁴³⁴ The fourth term of the equation quantifies the uncertainty due to finite Monte Carlo sample size.

¹⁴³⁵ Here, ξ represents the central value of the background prediction, θ is the associated nuisance parameter,
¹⁴³⁶ $\zeta = (B/\delta B)^2$, where δB is the statistical uncertainty of B .

¹⁴³⁷ The best fit value of the signal strength μ is determined by finding the values of μ and $\boldsymbol{\theta}$ that maximize
¹⁴³⁸ the likelihood, while setting $\delta = 0$ and $\xi = \zeta$. Once the likelihood is defined, a test statistic must
¹⁴³⁹ be built for use in hypothesis testing.

¹⁴⁴⁰ TEST STATISTIC

¹⁴⁴¹ To distinguish whether the data match a background only or background and signal hypothesis, a test
¹⁴⁴² statistic must be used. The $H \rightarrow WW^*$ analysis uses the profile likelihood technique [63]. The first step
¹⁴⁴³ in formulating this test statistic is to define the profile likelihood ratio, shown in equation 3.II.

$$\lambda(\mu) = \frac{\mathcal{L}(\mu, \hat{\theta}_\mu)}{\mathcal{L}(\hat{\mu}, \hat{\theta})} \quad (3.II)$$

1444 Here $\hat{\theta}_\mu$ is the value of θ that maximizes the likelihood for the choice of μ being tested. Additionally, $\hat{\theta}$
1445 and $\hat{\mu}$ represent the values of θ and μ that gives the overall maximum value of the likelihood.

1446 Once this is defined, a test statistic q_μ is constructed. This is shown in equation 3.12.

$$q_\mu = -2 \ln \lambda(\mu) \quad (3.12)$$

1447 A higher value of q_μ indicates that the data are more incompatible with the hypothesized value of μ , and
1448 q_0 then corresponds to the value of the test statistic for the background only hypothesis. A p_0 value is
1449 then defined to quantify the compatibility between the data and the null hypothesis. The p_0 value is the
1450 probability of obtaining a value of q_0 larger than the observed value, and this is shown in equation 3.13.

$$p_0 = \int_{q_0^{\text{obs}}}^{\infty} f(q_\mu | \mu = 0) dq_\mu \quad (3.13)$$

1451 Here $f(q_\mu)$ is the probability distribution function of the test statistic. Finally, the p_0 value can be con-
1452 verted into a signal significance, using the formula in equation 3.14, or the one-sided tail of the Gaussian
1453 distribution.

$$Z_0 = \sqrt{2} \operatorname{erf}^{-1}(1 - 2p_0) \quad (3.14)$$

1454 The threshold for discovery used in particle physics is $Z_0 \geq 5$, more commonly known as a value of 5σ .

The real voyage of discovery consists not in seeking new landscapes, but in having new eyes.

Marcel Proust

4

1455

1456

1457

The discovery of the Higgs boson and the role of the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ channel

1458 4.1 INTRODUCTION

1459 This chapter presents the results of the search for the Higgs boson in 4.8 fb^{-1} collected at $\sqrt{s} = 7 \text{ TeV}$
1460 and 5.8 fb^{-1} at $\sqrt{s} = 8 \text{ TeV}$. The results of three searches at $\sqrt{s} = 8 \text{ TeV}$ in the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$,
1461 $H \rightarrow \gamma\gamma$, and $H \rightarrow ZZ \rightarrow 4\ell$ channels are combined with results of searches at $\sqrt{s} = 7 \text{ TeV}$
1462 in the same search channels (as well as the $H \rightarrow \tau\tau$ production and associated production searches for
1463 $H \rightarrow b\bar{b}$). The results of this combination are a 5.9σ detection of a new particle consistent with a Higgs
1464 boson. Rather than going into detail for all of the different Higgs decay searches, this chapter will discuss
1465 the three most sensitive channels and in particular focus on $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$. While the focus is
1466 on WW^* , some of the ZZ^* and $\gamma\gamma$ results are shown for completeness. The results not discussed here

¹⁴⁶⁷ can be found in the ATLAS Higgs discovery publication [1].

¹⁴⁶⁸ 4.2 DATA AND SIMULATION SAMPLES

¹⁴⁶⁹ The data sample used for the following results was taken in 2011 and 2012 at center of mass energies of 7
¹⁴⁷⁰ and 8 TeV, respectively, with 4.8 fb^{-1} collected at 7 TeV and 5.8 fb^{-1} collected at 8 TeV. Higgs pro-
¹⁴⁷¹ duction in the gluon fusion and vector boson fusion modes is modeled with **POWHEG** for the hard scat-
¹⁴⁷² tering event and **PYTHIA** for the showing and hadronization. Associated production of a Higgs with a
¹⁴⁷³ vector boson or top quarks is modeled via **PYTHIA**. Table 4.1 shows the Monte Carlo generators used for
¹⁴⁷⁴ modeling the signal and background processes relevant for the three analyses to be discussed.

Process	Generator
ggF, VBF H	POWHEG + PYTHIA
$WH, ZH, t\bar{t}H$	PYTHIA
$W + \text{jets}, Z/\gamma^* + \text{jets}$	ALPGEN + HERWIG
$t\bar{t}, tW, tb$	MC@NLO + HERWIG
tqb	ACERMC + PYTHIA
$q\bar{q} \rightarrow WW$	MC@NLO + HERWIG
$gg \rightarrow WW$	GG2WW+ HERWIG
$q\bar{q} \rightarrow ZZ$	POWHEG + PYTHIA
$gg \rightarrow ZZ$	GG2ZZ+ HERWIG
WZ	MADGRAPH+ PYTHIA , HERWIG
$W\gamma + \text{jets}$	ALPGEN + HERWIG
$W\gamma^*$	MADGRAPH+ PYTHIA
$q\bar{q}/gg \rightarrow \gamma\gamma$	SHERPA

Table 4.1: Monte carlo generators used to model signal and background for the Higgs search [1].

¹⁴⁷⁵ 4.3 $H \rightarrow WW \rightarrow e\nu\mu\nu$ SEARCH

¹⁴⁷⁶ The $H \rightarrow WW \rightarrow e\nu\mu\nu$ search is unique compared to the ZZ and $\gamma\gamma$ channels. The Higgs mass can-
¹⁴⁷⁷ not be fully reconstructed due to the presence of neutrinos in the final state, so the transverse mass m_T
¹⁴⁷⁸ is used as the final discriminating variable. Compared to the other channels, there are more backgrounds
¹⁴⁷⁹ here as well, as discussed in chapter 3. The same flavor final states are excluded from this search due to
¹⁴⁸⁰ high pileup in the 8 TeV dataset.

1481 4.3.I EVENT SELECTION

1482 The analysis requires two opposite charge isolated leptons, with the leading (sub-leading) lepton required
 1483 to have $p_T > 25(15)$ GeV. The events are separated into different signal regions depending on which
 1484 flavor of lepton is leading ($e\mu$ for leading electron, μe for leading muon). Strict lepton quality cuts are
 1485 applied to the sample to reduce backgrounds from fake leptons.

1486 Jets are reconstructed with the anti- k_T algorithm with a radius parameter $R = 0.4$. The jets are
 1487 required to have $p_T > 25$ GeV and $|eta| < 4.5$, with jets in the tracking volume required to have a
 1488 jet vertex fraction of 0.5 and jets in the forward region required to have $p_T > 30$ GeV. The analysis is
 1489 separated into three different signal regions based on jet multiplicity: $n_j = 0, 1, \geq 2$.

1490 To indicate the presence of neutrinos in the event, a requirement of $E_{T,\text{rel}}^{\text{miss}} > 25$ GeV is made*. This
 1491 requirement significantly reduces the QCD multijet and Z/γ^* + jets backgrounds. Figure 4.1 shows the
 1492 distribution of n_j in data and simulation after applying these “pre-selection” requirements.

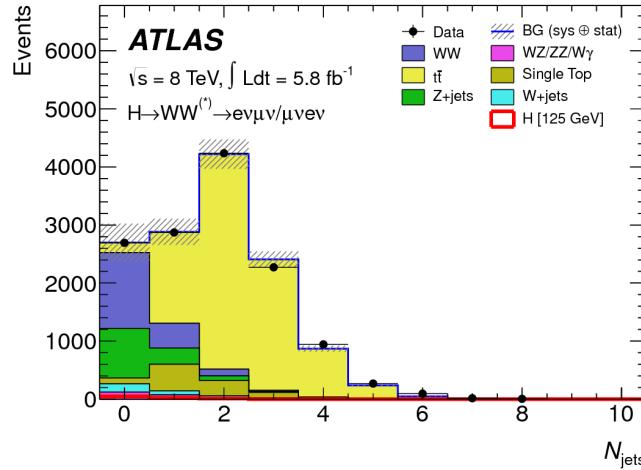


Figure 4.1: Jet multiplicity distribution in data and MC after applying lepton, jet, and $E_{T,\text{rel}}^{\text{miss}}$ selections. The WW and top backgrounds have been normalized using control samples, and the hashed band indicates the total uncertainty on the prediction. [1]

1493 Additional selections are applied to require the dilepton topology to correspond to that of a SM
 1494 Higgs. The requirements are presented here - more detailed discussion on the motivation for each re-
 1495 quirement is saved for Chapter 5. In all of the jet multiplicity channels, the dilepton system is required to

*For the definition of $E_{T,\text{rel}}^{\text{miss}}$, see chapter 3

1496 have a small gap in azimuthal angle, $\Delta\phi_{\ell\ell} < 1.8$. Similarly, the $m_{\ell\ell}$ is required to be less than 50 GeV
1497 in the lower jet multiplicity channels and less than 80 GeV in the $n_j \geq 2$ channel. In the $n_j = 0$ chan-
1498 nel, the magnitude of the dilepton p_T , $p_T^{\ell\ell}$, is required to be greater than 30 GeV.

1499 In the higher jet multiplicity channels ($n_j \geq 1$), the top background is a more important component
1500 and must be reduced. The total transverse momentum p_T^{sum} is thus required to be less than 30 GeV.
1501 Additionally, the di- τ invariant mass $m_{\tau\tau}$ (dilepton mass computed under the assumption that the neu-
1502 trinos from the τ decay are emitted collinear to the charged leptons) is used to reject $Z \rightarrow \tau\tau$ events by
1503 requiring $|m_{\tau\tau} - m_Z| > 25$ GeV. These variables are also discussed in more detail in Chapter 5.

1504 In the $n_j \geq 2$ channel, requirements are made to isolate the VBF contribution to Higgs production.
1505 The kinematics of the two leading jets are used to make these requirements. In particular, the event must
1506 have $\Delta y_{jj} > 3.8$ and $m_{jj} > 500$ GeV, along with a veto on having any additional jets with rapidity
1507 between the two leading jets.

1508 4.3.2 BACKGROUND ESTIMATION

1509 The details of the background estimation techniques used in the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ analysis are dis-
1510 cussed in section 5.5. As that section refers to a later iteration of the analysis, a general discussion is given
1511 here for completeness. The dominant backgrounds are SM WW production and top (both pair and
1512 single) production, and these backgrounds have their normalizations estimated from dedicated control
1513 regions while their shapes are taken from simulation.

1514 The control sample for the Standard Model WW background is defined by making the same require-
1515 ments as the signal region with the $m_{\ell\ell}$ requirement inverted (now requiring $m_{\ell\ell} > 80$ GeV) and
1516 removing the $\Delta\phi_{\ell\ell}$ requirement. This creates a control sample that is 70% (40%) pure in the 0(1)-jet
1517 region. The correction to the pure MC-based background estimate is quantified by defining a normal-
1518 ization factor β which is the ratio of the data yield to the MC yield ($N_{\text{data}}/N_{\text{MC}}$) in this control sample.
1519 Table 4.2 shows the WW normalization factors in the $n_j = 0$ and $n_j = 1$ bins (the $n_j \geq 2$ estimate is
1520 taken directly from MC).

1521 The top background estimate is also computed separately in each jet multiplicity bin. In the $n_j = 0$

n_j	β_{WW}	β_t
= 0	1.06 ± 0.06	1.11 ± 0.06
= 1	0.99 ± 0.15	1.11 ± 0.05
≥ 2	-	1.01 ± 0.26

Table 4.2: Normalization factors (ratio of data and MC yields in a control sample) for the Standard Model WW and top backgrounds in the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ analysis [1]. Only statistical uncertainties are shown.

channel, the background is first normalized using data after pre-selection requirements with no selection on n_j . Then, a dedicated b -tagged control sample is used to evaluate the ratio of one-jet to two-jet events in data. The details of this technique are shown in reference [64]. In the $n_j = 1$ and the $n_j \geq 2$ regions, the top background is normalized in a control sample where the signal region selections are applied, but the b -jet veto is reversed and the Higgs topology requirements on $m_{\ell\ell}$ and $\Delta\phi_{\ell\ell}$ are removed. The resulting normalization factors for these techniques are shown in table 4.2.

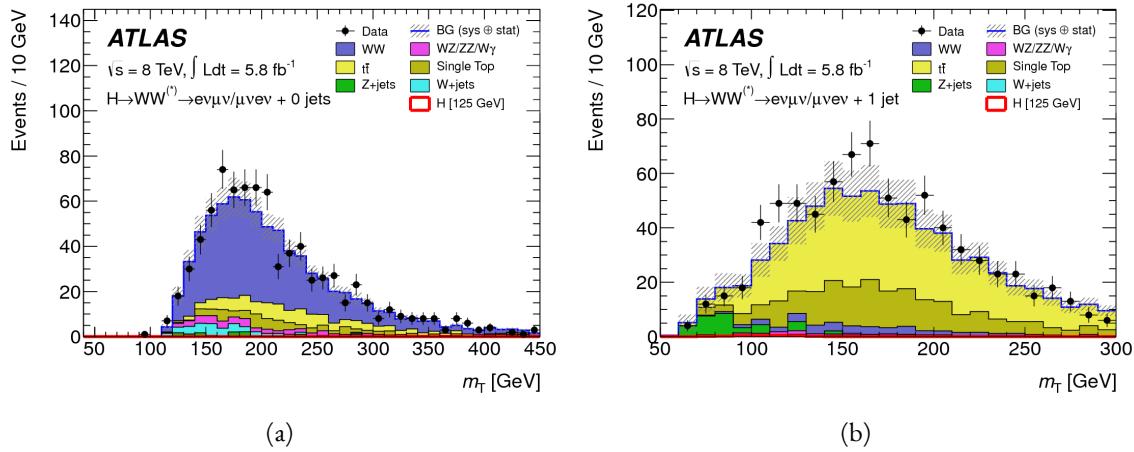


Figure 4.2: Comparison of m_T between data and simulation in the $n_j = 0$ WW (a) and $n_j = 1$ top (b) control samples [1]

The control samples which are used for background normalization can also be used to validate the modeling of the m_T distribution for each background. Figure 4.2 shows the comparison between data and MC for the m_T distribution after correcting the normalization of the backgrounds in the WW and top control regions. Good agreement between data and simulation is seen in both cases.

The $W+jets$ background estimate is taken entirely from data using a control sample with one well reconstructed lepton and one anti-identified lepton. All other backgrounds are taken purely from simu-

1534 lation.

1535 **4.3.3 SYSTEMATIC UNCERTAINTIES**

1536 The systematic uncertainties that have the largest impact on the analysis are the theoretical uncertainties
1537 associated with the signal cross section, and these are shared with the ZZ^* and $\gamma\gamma$ channels. The un-
1538 certainties resulting from variations of the QCD scale are $+7\% / -8\%$ on the final singal yield. Those
1539 coming from variations of the parton distribution function (PDF) used in the simulation add a $\pm 8\%$
1540 uncertainty on the yield. The uncertainties on the branching ratios of the Higgs are $\pm 5\%$.

1541 The main experimental uncertainties come from variations of the jet energy scale (JES), jet energy
1542 resolution, pile-up, E_T^{miss} , b -tagging efficiency, $W + \text{jets}$ background estimate, and integrated luminosity.
1543 For more details, see reference [1].

1544 **4.3.4 RESULTS**

1545 Table 4.3 shows the signal and background yields in the final signal region after normalizing the back-
1546 grounds according to the methods described above.

	$n_j = 0$	$n_j = 1$	$n_j \geq 2$
Signal	20 ± 4	5 ± 2	0.34 ± 0.07
WW	101 ± 13	12 ± 5	0.10 ± 0.14
Other dibosons	12 ± 3	1.9 ± 1.1	0.10 ± 0.10
$t\bar{t}$	8 ± 2	6 ± 2	0.15 ± 0.10
Single top	3.4 ± 1.5	3.7 ± 1.6	-
$Z/\gamma^* + \text{jets}$	1.9 ± 1.3	0.10 ± 0.10	-
$W + \text{jets}$	15 ± 7	2 ± 1	-
Total background	142 ± 16	26 ± 6	0.35 ± 0.18
Observed in data	185	38	0

Table 4.3: Data and expected yields for signal and background in the final $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ signal region.
Uncertainties shown are both statistical and systematic. [1]

1547 Figure 4.3 shows the m_T distribution in the $n_j \leq 1$ channels for 8 TeV data. (No events are observed
1548 in data in the $n_j \geq 2$ channels in this dataset). The excess shown here relatively flat as a function of
1549 hypothesized Higgs mass. The combined 7 and 8 TeV data gives an excess with local significance of 2.8σ
1550 with an expected significance of 2.3σ , corresponding to a μ measurement of 1.3 ± 0.5 .

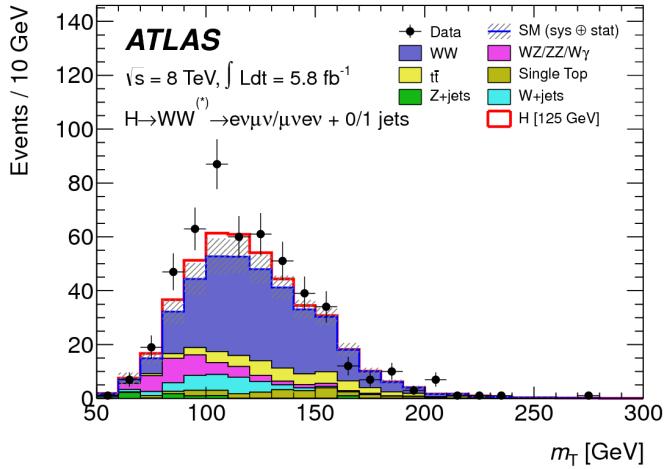


Figure 4.3: m_T distribution in the $H \rightarrow WW \rightarrow e\nu\mu\nu n_j \leq 1$ channels for 8 TeV data [1].

1551 4.4 $H \rightarrow \gamma\gamma$ SEARCH

1552 The $H \rightarrow \gamma\gamma$ search is in essence a search for a peaked excess above the falling SM diphoton mass spec-
 1553 trum, with $m_{\gamma\gamma}$ as the ultimate discriminating variable. Events are selected by requiring two isolated
 1554 photons, with the leading (sub-leading) photon required to have $E_T > 40(30)$ GeV. In the 8 TeV
 1555 data, the photons are required to pass cut-based identification criteria consistent with a photon in the
 1556 electromagnetic calorimeter and little leakage in the hadronic calorimeter.

1557 The main challenges for this analysis are accurate mass reconstruction and background estimation.
 1558 In order to accurately reconstruct the invariant mass of the di-photon system, both the energy and di-
 1559 rection of the photons must be measured well. Therefore, the identification of the primary vertex of the
 1560 hard interaction is particularly important, and is done using a multivariate likelihood which combines
 1561 information about the photon direction and vertex position. The background is modeled with a falling
 1562 spectrum in $m_{\gamma\gamma}$ that is parameterized by different functions depending on the category of the event.

1563 4.4.1 RESULTS

1564 The resulting diphoton mass spectrum is shown in figure 4.4. The best fit mass value in the $\gamma\gamma$ channel
 1565 alone in the combined 7 and 8 TeV data is 126.5 GeV. The local significance at this point is 4.5σ , with
 1566 an expected significance of 2.5σ . Therefore, the measured signal strength μ is 1.8 ± 0.5 in this channel.

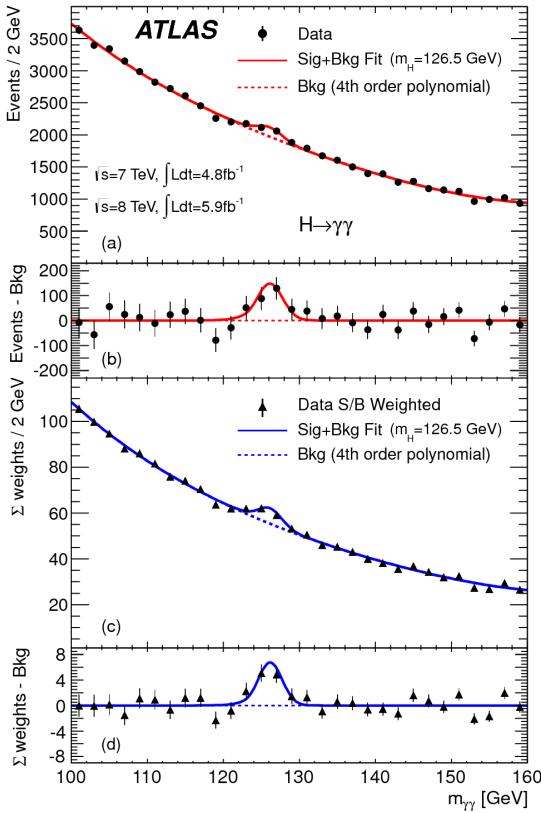


Figure 4.4: Diphoton mass spectrum in 7 and 8 TeV data. Panel a) shows the unweighted data distribution superimposed on the background fit, while panel c) shows the data where each event category is weighted by its signal to background ratio. Panels b) and d) show the respective distributions with background subtracted [1].

1567 4.5 $H \rightarrow ZZ \rightarrow 4\ell$ SEARCH

1568 The $H \rightarrow ZZ \rightarrow 4\ell$ analysis searches for a Standard Model Higgs boson decaying to two Z bosons,
 1569 each of which decays to a pair of same flavor, opposite charge isolated leptons. The ultimate discriminat-
 1570 ing variable is $m_{4\ell}$, or the invariant mass of the four selected leptons. The ℓ denotes an e or μ as with the
 1571 $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ analysis.

1572 Four distinct signal regions are constructed depending on the flavors of the final state, additionally
 1573 separated by the flavor of the leading lepton pair. These are referred to as $4e$, $2e2\mu$, $2\mu2e$, 4μ .

1574 The main backgrounds in the $H \rightarrow ZZ \rightarrow 4\ell$ search are continuum ZZ^* production, $Z +$ jets pro-
 1575 duction, and $t\bar{t}$. The $m_{4\ell}$ distribution for background is estimated from simulation. The normalization
 1576 of the SM ZZ^* background is also taken from MC simulation, while the $Z +$ jets and $t\bar{t}$ normalizations

1577 are taken from data-driven methods.

1578 **4.5.1 RESULTS**

1579 Figure 4.5 shows the $m_{4\ell}$ spectrum measured in the 7 and 8 TeV datasets. The total number of events
1580 observed in the window between 120 and 130 GeV is 13, with 6 events in the 4μ channel, 2 events in
1581 the $4e$ channel, and 5 events in the $2e2\mu/2\mu2e$. The best fit μ value in the combined 7 and 8 TeV data
1582 occurs at 125 GeV and is measured to be 1.2 ± 0.6 . The observed significance at this mass is 3.6σ , with
1583 an expected significance of 2.7σ .

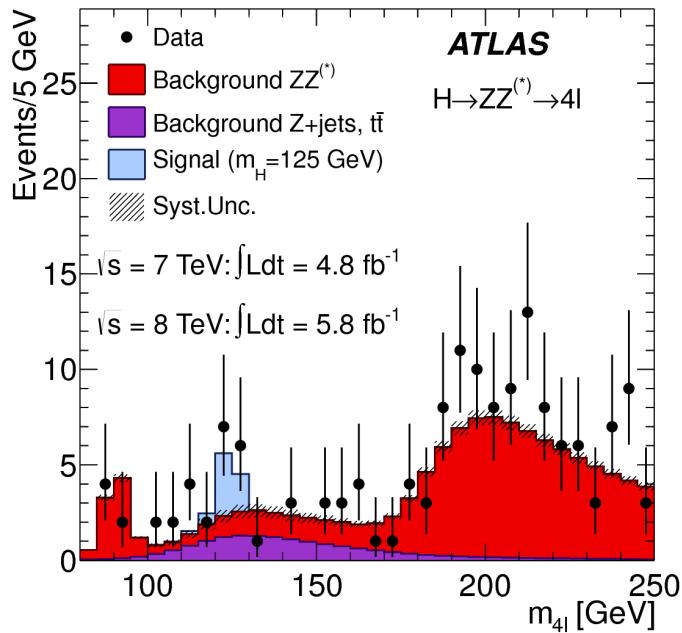


Figure 4.5: Four lepton invariant mass spectrum ($m_{4\ell}$) in 7 and 8 TeV data compared to background estimate. A 125 GeV SM Higgs signal is shown in blue [1].

1584 **4.6 COMBINED RESULTS**

1585 The statistical interpretation of the combined results is undertaken as described in section 3.6.2, with a
1586 hypothesis test based on a likelihood ratio parameterized by the Higgs signal strength μ . The null hy-
1587 pothesis corresponds to $\mu = 0$, while the SM Higgs corresponds to $\mu = 1$.

1588 Table 4.4 summarizes the properties of the individual channels as well as the significances of the ex-
 1589 cesses seen. The most significant observed local excess comes from the $\gamma\gamma$ channel. Figure 4.6 shows a
 1590 comparison of the observed local p_0 values as a function of hypothesized mass for the three different
 1591 search channels. Both the ZZ^* and $\gamma\gamma$ channels have very peaked excesses, while the WW^* excess can
 1592 be seen as very broad because the m_T distribution does not provide detailed information about the true
 1593 Higgs mass.

Channel	Fit var.	Observed Z_l	Expected Z_l	$\hat{\mu}$
$H \rightarrow ZZ^* \rightarrow 4\ell$	$m_{4\ell}$	3.6	2.7	1.2 ± 0.6
$H \rightarrow \gamma\gamma$	$m_{\gamma\gamma}$	4.5	2.5	1.8 ± 0.5
$H \rightarrow WW^* \rightarrow e\nu\mu\nu$	m_T	2.8	2.3	1.3 ± 0.5
Combined	-	6.0	4.9	1.4 ± 0.3

Table 4.4: Summary of the expected and observed significance and measured signal strengths in the combined 7 and 8 TeV datasets for the Higgs discovery analysis [1].

1594 Figure 4.7 shows the combined exclusion limit, p_0 , and signal strength. The highest local excess comes
 1595 at a value of 126.5 GeV and corresponds to a 6.0σ observed excess.

1596 Figure 4.8 shows a comparison of the measured signal strengths between the different Higgs search
 1597 channels. All measured μ are consistent with unity within their uncertainty, and the combined μ mea-
 1598 surement is 1.4 ± 0.3 .

1599 The likelihood can also be computed in a two-dimensional plane of m_H and μ , and this is shown in
 1600 figure 4.9. The figure shows that while the $\gamma\gamma$ and ZZ^* channels have very good mass resolution, the
 1601 excess in WW^* covers a broad mass range. The banana shape of the WW^* result is due to the fact that
 1602 the excess in this channel can either be explained by increasing the signal strength or by changing the
 1603 mass (and thus the cross section). The two parameters are correlated due to the lack of mass sensitivity in
 1604 this channel.

1605 Because multiple Higgs mass points are searched for, the local significance must be corrected for a
 1606 look-elsewhere effect to compute a true global significance. The global significance for finding a Higgs
 1607 anywhere in the mass range of 110 GeV to 600 GeV is 5.1σ . This increases slightly to 5.3σ if only mass
 1608 range from 110 to 150 GeV.

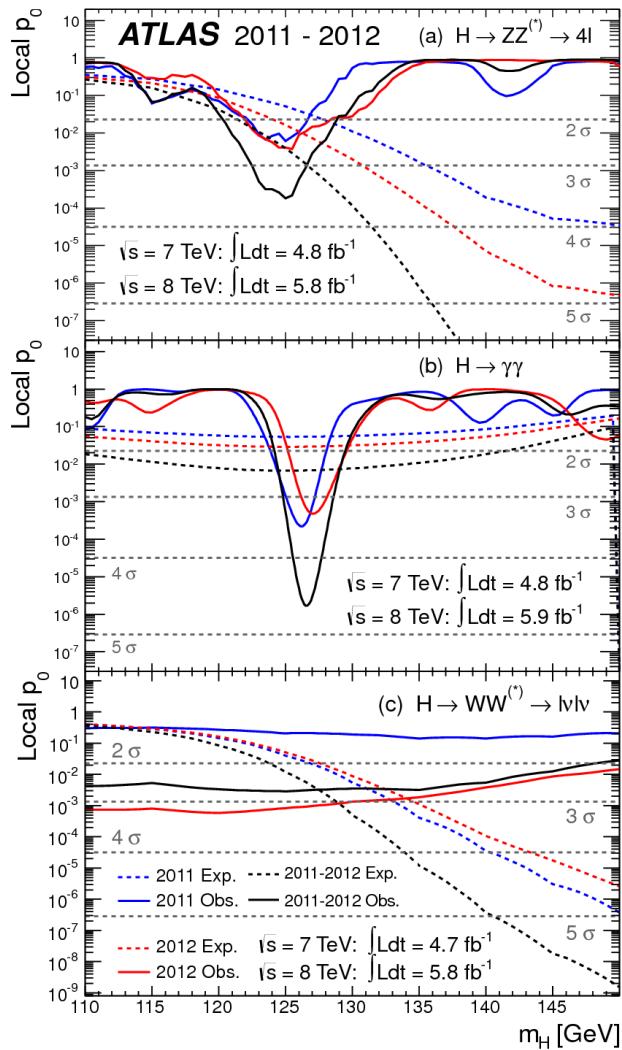


Figure 4.6: Local p_0 distribution as a function of hypothesized Higgs mass for the $H \rightarrow ZZ^* \rightarrow 4\ell$ (a), $H \rightarrow \gamma\gamma$ (b), and $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ (c) channels. Dashed curves show expected results, while solid curves show observed. Red curves are from 7 TeV data, blue curves from 8 TeV, and black curved combined [1].

1609 4.7 CONCLUSION

1610 A search for the production of a Standard Model Higgs boson was conducted in 4.8 fb^{-1} collected at
 1611 $\sqrt{s} = 7 \text{ TeV}$ and 5.8 fb^{-1} at $\sqrt{s} = 8 \text{ TeV}$. A new particle consistent with the Higgs boson was
 1612 observed, with a mass of 126.5 GeV and a global (local) significance of $5.1(6.0)\sigma$. This is the first dis-
 1613 covery level observation of a particle consistent with the Higgs.

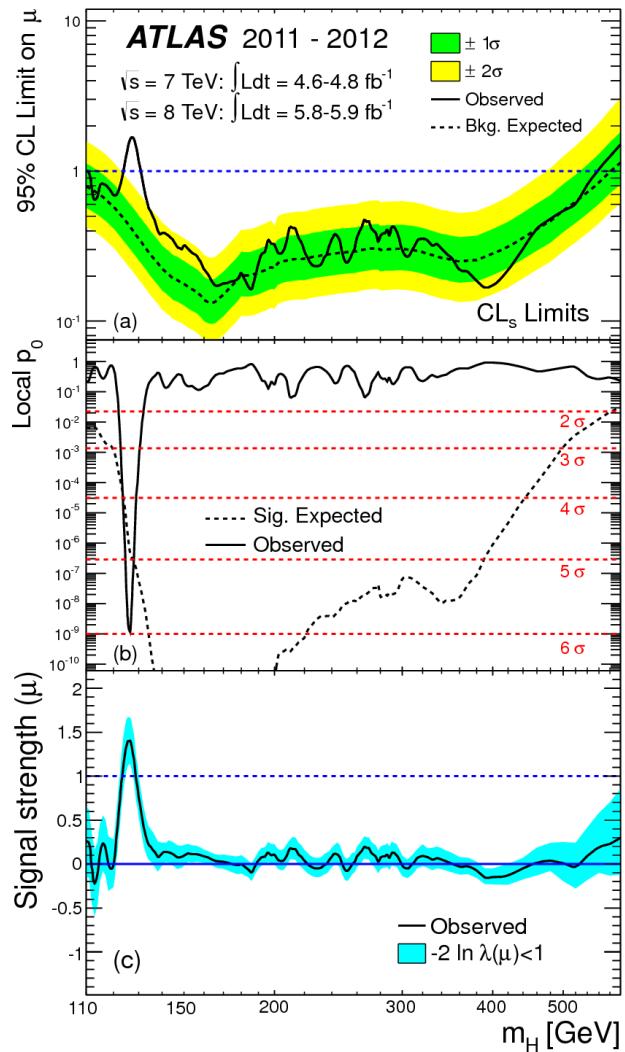


Figure 4.7: Combined 95% CL limits (a), local p_0 values (b), and signal strength measurement (c) as a function of Higgs mass [1].

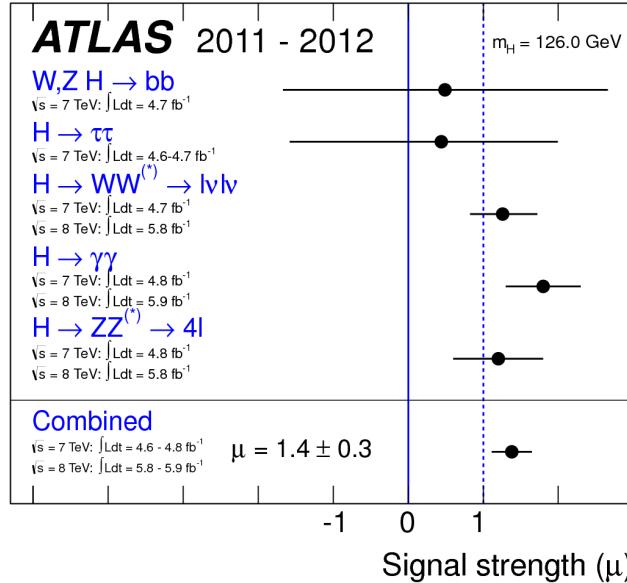


Figure 4.8: Comparison of measured signal strength μ for a 126 GeV Higgs in the 7 and 8 TeV datasets [1].

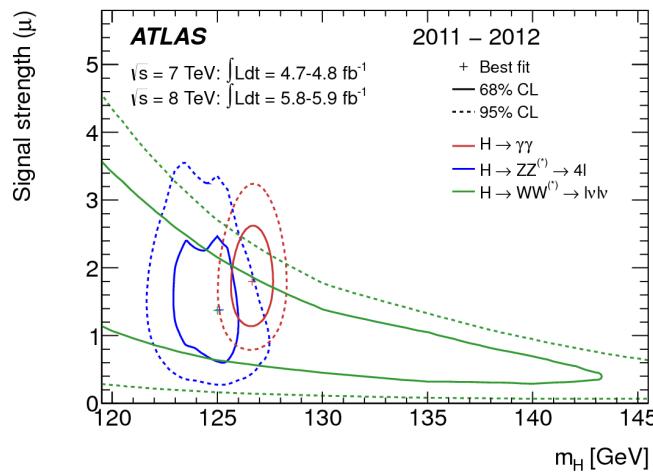


Figure 4.9: Two dimensional likelihood as a function of signal strength μ and Higgs mass m_H [1].

*The imagination of nature is far, far greater than the
imagination of man.*

Richard Feynman

5

1614

1615

Observation of Vector Boson Fusion

1616

production of $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$

1617

5.1 INTRODUCTION

1618 After the discovery of a particle consistent with the Higgs boson, the $H \rightarrow WW^*$ analysis had two main
1619 goals. The first goal was to increase the sensitivity of the analysis to fully confirm that the $H \rightarrow WW^*$
1620 process did indeed exist. The second goal was to characterize the particle as much as possible, including
1621 searching for the lower cross-section production modes, in order to confirm that it was indeed a Higgs
1622 boson. This chapter presents a dedicated search for Vector Boson Fusion (VBF) production of a Higgs
1623 boson decaying via the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ mode. First, basics of the topology of VBF production
1624 are presented. Then, the details of the analysis are shown, including signal region definition, background
1625 estimation techniques, and systematic uncertainties. Finally, the results of the analysis are presented. As

1626 will be shown, this analysis is the first and most sensitive observation of the VBF production mode of the
1627 Higgs on ATLAS.

1628 In the VBF channel, there are both a selection requirement based signal region analysis (known as the
1629 “cut-based”) and a multivariate analysis which uses a boosted decision tree (known as the BDT analysis).
1630 The focus of this chapter will be on the cut-based signal region, as this is an important component of the
1631 VBF analysis and in particular acts as strong validation for the final BDT result. Connections between
1632 the cut-based and BDT analyses will be discussed where appropriate.

1633 5.2 DATA AND SIMULATION SAMPLES

1634 The results presented here are with 20.3 fb^{-1} taken at $\sqrt{s} = 8 \text{ TeV}$ and 4.5 fb^{-1} taken at $\sqrt{s} = 7 \text{ TeV}$.
1635 The details of the LHC and detector conditions during this period are given in Chapter 2. The trigger
1636 selection defining the dataset is discussed in section 5.2.1. The simulation samples used for signal and
1637 background modeling are given in section 5.2.2.

1638 5.2.1 TRIGGERS

1639 The analysis uses a combination of single lepton and dilepton triggers to allow lowering of the p_T thresh-
1640 olds and increased signal acceptance. The p_T threshold on the leptons is a particularly important consid-
1641 eration for this signal. Because the second W produced in the decay can be off-shell, it tends to pro-
1642 duce lower momentum leptons. Thus, being able to lower the p_T threshold while still maintaining
1643 a low background rate is critical. Figure 5.1 shows an example of the subleading lepton p_T for a VBF
1644 $H \rightarrow WW^*$ signal compared to the corresponding $t\bar{t}$ background. Note that the lepton p_T spectrum is
1645 considerably softer in the signal sample.

1646 As discussed in Chapter 2, there are multiple levels in the ATLAS trigger system, and there are differ-
1647 ent p_T thresholds imposed for the leptons at each level. Additionally, some triggers have a loose selection
1648 on the isolation of the lepton (looser than that applied offline in the analysis object selection). Table 5.1
1649 shows the thresholds used for single lepton triggers, while table 5.2 shows the thresholds coming from
1650 di-lepton triggers. The single lepton trigger efficiency for muons that pass the analysis object selection is



Figure 5.1: A comparison of the subleading lepton p_T spectrum between VBF $H \rightarrow WW^*$ production and $t\bar{t}$ background

1651 70% for muons in the barrel region ($|\eta| < 1.05$) and 90% in the endcap region. The electron trigger ef-
 1652 ficiency increases with electron p_T but the average is approximately 90%. These efficiencies are measured
 1653 by combined performance and trigger signature groups [65, 66].

	Level-1 threshold	High-level threshold
Electron	18	$24i$
	30	60
Muon	15	$24i$
		36

Table 5.1: Single lepton triggers used for electrons and muons. A logical “or” of the triggers listed for each lepton type is taken. Units are in GeV, and the i denotes an isolation requirement in the trigger.

	Level-1 threshold	High-level threshold
ee	10 and 10	12 and 12
$\mu\mu$	15	18 and 8
$e\mu$	10 and 6	12 and 8

Table 5.2: Di-lepton triggers used for different flavor combinations. The two thresholds listed refer to leading and sub-leading leptons, respectively. The di-muon trigger only requires a single lepton at level-1.

1654 The combination of all triggers shown gives good efficiency for signal events. This efficiency is sum-
 1655 marized in table 5.3. The relative improvement in efficiency by adding the dilepton triggers is also shown

1656 in the same table. The largest gain comes in the $\mu\mu$ channel. Overall the trigger selection shows a good
1657 efficiency for $H \rightarrow WW^*$ signal events.

Channel	Trigger efficiency	Gain from 2ℓ trigger
ee	97%	9.1%
$\mu\mu$	89%	18.5%
$e\mu$	95%	8.3%
μe	81%	8.2%

Table 5.3: Trigger efficiency for signal events and relative gain of adding a dilepton trigger on top of the single lepton trigger selection. The first lepton is the leading, while the second is the sub-leading. Efficiencies shown here are for the ggF signal in the $n_j = 0$ category but are comparable for the VBF signal.

1658 5.2.2 MONTE CARLO SAMPLES

1659 Modeling of signal and background processes in the signal region, in particular for the m_T distribution,
1660 is an important consideration for the final interpretation of the analysis. Therefore, careful consideration
1661 must be paid to which Monte Carlo (MC) generators are used for specific processes. With the exception
1662 of the $W + \text{jet}$ and multijet backgrounds, the m_T shape used as the final discriminant is taken from simu-
1663 lation. (Many backgrounds are normalized from data, as described in section 5.5).

1664 Table 5.4 shows the MC generators used for the signal and background processes, as well as their cross
1665 sections. In order to include corrections up to next-to-leading order (NLO) in the QCD coupling con-
1666 stant α_s , the POWHEG [67] generator is often used. In some cases, only leading order generators like
1667 ACERMC [68] and GG2VV [69] are available for the process in question. If the process requires good
1668 modeling for very high parton multiplicities, the SHERPA [70] and ALPGEN [71] generators are used
1669 to provide merged calculations for five or fewer additional partons. These matrix element level calcula-
1670 tions must then be additionally matched to models of the underlying event, hadronization, and parton
1671 shower. There are four possible generators for this: SHERPA, PYTHIA 6 [72], PYTHIA 8 [73], or HERWIG
1672 [74] + JIMMY [75]. The simulation additionally requires an input parton distribution function (PDF).
1673 The CT10 [76] PDFs are used for SHERPA and POWHEG simulated samples, while CTEQ6L1 [77] is used
1674 for ALPGEN + HERWIG and ACERMC simulations. The Drell-Yan samples are reweighted to the MRST
1675 [78] PDFs, as these are found to give the best agreement between data and simulation.

Process	MC generator	$\sigma \cdot \mathcal{B}$ (pb)
Signal		
ggF $H \rightarrow WW^*$	POWHEG +PYTHIA 8	0.435
VBF $H \rightarrow WW^*$	POWHEG +PYTHIA 8	0.0356
VH $H \rightarrow WW^*$	PYTHIA 8	0.0253
WW		
$q\bar{q} \rightarrow WW$ and $qg \rightarrow WW$	POWHEG +PYTHIA 6	5.68
$gg \rightarrow WW$	GG2VV +HERWIG	0.196
$(q\bar{q} \rightarrow W) + (q\bar{q} \rightarrow W)$	PYTHIA 8	0.480
$q\bar{q} \rightarrow WW$	SHERPA	5.68
VBS $WW + 2$ jets	SHERPA	0.0397
Top quarks		
$t\bar{t}$	POWHEG +PYTHIA 6	26.6
Wt	POWHEG +PYTHIA 6	2.35
$t\bar{q}\bar{b}$	ACERMC +PYTHIA 6	28.4
$t\bar{b}$	POWHEG +PYTHIA 6	1.82
Other dibosons (VV)		
$W\gamma$ ($p_T^\gamma > 8$ GeV)	ALPGEN +HERWIG	369
$W\gamma^*$ ($m_{\ell\ell} \leq 7$ GeV)	SHERPA	12.2
WZ ($m_{\ell\ell} > 7$ GeV)	POWHEG +PYTHIA 8	12.7
VBS $WZ + 2$ jets	SHERPA	0.0126
($m_{\ell\ell} > 7$ GeV)		
$Z\gamma$ ($p_T^\gamma > 8$ GeV)	SHERPA	163
$Z\gamma^*$ (min. $m_{\ell\ell} \leq 4$ GeV)	SHERPA	7.31
ZZ ($m_{\ell\ell} > 4$ GeV)	POWHEG +PYTHIA 8	0.733
$ZZ \rightarrow \ell\ell\nu\nu$ ($m_{\ell\ell} > 4$ GeV)	POWHEG +PYTHIA 8	0.504
Drell-Yan		
Z ($m_{\ell\ell} > 10$ GeV)	ALPGEN +HERWIG	16500
VBF $Z + 2$ jets	SHERPA	5.36
($m_{\ell\ell} > 7$ GeV)		

Table 5.4: Monte Carlo samples used to model the signal and background processes [61].

Once the basic hard scattering process is simulated, it must be passed through a detector simulation and additional pile-up events must be overlaid. The pile-up events are modeled with PYTHIA 8, and the ATLAS detector is simulated with GEANT4 [79]. Because of the unique phase space of the $H \rightarrow WW^*$

1679 analysis, events are sometimes filtered at generator level to allow for more efficient generation of relevant
1680 events. The efficiency of the trigger in MC simulation does not always match the measured efficiency in
1681 data, so trigger scale factors are applied to correct the MC efficiency to the data. These are derived by the
1682 combined performance groups [65, 66].

1683 5.3 OBJECT SELECTION

1684 In order to define the signal region, the analysis must first select the objects to be considered. The de-
1685 tails of the object reconstruction algorithms are discussed in Chapter 2, while this section gives specific
1686 selection cuts used in the $H \rightarrow WW^*$ analysis.

1687 The first step in this process is to select a primary vertex candidates. The event's primary vertex is the
1688 vertex with the largest sum of p_T^2 for associated tracks and is required to have at least three tracks with
1689 $p_T > 450$ MeV. Many of the object selection cuts are then made relative to this chosen primary vertex.

1690 5.3.1 MUONS

1691 The analysis uses combined muon candidates, where a track in the Inner Detector has been matched
1692 to a standalone track in the Muon Spectrometer. The track parameters are combined statistically in the
1693 muon reconstruction algorithm [52]. The muons are required to be within $|\eta| < 2.5$ and have a $p_T >$
1694 10 GeV. To reduce backgrounds coming from mis-reconstructed leptons, there are requirements on
1695 the impact parameter of the muon relative to the primary vertex. The transverse impact parameter d_0
1696 is required to be small relative to its estimated uncertainty, the exact cut value being $d_0/\sigma_{d_0} < 3$. The
1697 longitudinal impact parameter z_0 must satisfy $|z_0 \sin \theta| < 1$ mm.

1698 As discussed previously, the muons must also be isolated. There are two types of lepton isolations
1699 that are calculated: track-based and calorimeter-based. For muons, the track-based isolation is defined
1700 using the scalar sum $\sum p_T$ for tracks with $p_T > 1$ GeV (excluding the muon's track) within a cone of
1701 $\Delta R = 0.3$ (0.4) for muon with $p_T > 15$ GeV ($10 < p_T < 15$ GeV). The final isolation requirement
1702 is made my requiring that this scalar sum be no more than a certain fraction of the muon's p_T . This re-
1703 quirement varies with muon p_T and the exact cuts are defined in table 5.5.

1704 The calorimeter-based muon isolation is defined using as a $\sum E_T$ calculated from calorimeter cells
 1705 using the same cone size as the track-based isolation but excluding cells with $\Delta R < 0.05$ around the
 1706 muon. This requirement is also defined as a cut on the ratio of the sum to the muon p_T and varies with
 1707 muon p_T . The cut values are also given in table 5.5.

1708 The isolation requirements loosen as a function of p_T to allow for larger signal acceptance. At low p_T ,
 1709 the isolation is tightened to reduce the $W + \text{jets}$ background which arises from a misidentified lepton.

p_T range (GeV)	Calorimeter isolation	Track isolation
10 – 15	0.06	0.06
15 – 20	0.12	0.08
20 – 25	0.18	0.12
> 25	0.30	0.12

Table 5.5: p_T dependent isolation requirements for muons. Muons are required to have the amount of calorimeter or track based cone sums be less than this fraction of their p_T .

1710 5.3.2 ELECTRONS

1711 Electrons are identified by matching reconstructed clusters in the electromagnetic calorimeter with tracks
 1712 in the inner detector. The electrons are identified using a likelihood based method [49, 50] which takes
 1713 into account the shower shapes in the calorimeter, the matching of tracks to clusters, and the amount of
 1714 transition radiation in the TRT. The electrons are required to have $|\eta| < 2.47$, and candidates in the
 1715 transition region between the barrel and endcap ($1.37 < |\eta| < 1.52$) are excluded. As the muons, the
 1716 electrons are required to have transverse impact parameter significance < 3 , while in the longitudinal
 1717 direction they must have $|z_0 \sin \theta| < 0.4$ mm. Some electron requirements also vary with electron E_T ,
 1718 and these requirements are summarized in table 5.6.

1719 The isolation for electrons are defined similarly to the muons but with unique cuts on the objects in-
 1720 cluded. The track-based isolation is defined using tracks with $p_T > 400$ MeV with cone sizes as defined
 1721 previously. The calorimeter-based isolation also uses the same cone size as the muon, but here the cells
 1722 within a 0.125×0.175 area in $\eta \times \phi$ around the electron cluster's barycenter are excluded. The other
 1723 difference with respect to muons is that the denominator of the isolation ratio is the electron's E_T rather
 1724 than p_T . The isolation cuts very with electron E_T and are defined in table 5.6.

1725 The electron is also required to not be consistent with a vertex coming from a photon conversion.

p_T range (GeV)	Quality cut	Calorimeter isolation	Track isolation
10 – 15	Very tight LH	0.20	0.06
15 – 20	Very tight LH	0.24	0.08
20 – 25	Very tight LH	0.28	0.10
> 25	Medium	0.28	0.10

Table 5.6: p_T dependent requirements for electrons. Electrons are required to have the amount of calorimeter or track based cone sums be less than this fraction of their E_T .

1726 5.3.3 JETS

1727 Jets are clustered with the anti- k_T reconstruction algorithm using a radius parameter of $R = 0.4$. They
1728 are required to have a jet vertex fraction (JVF) of at least 50%, meaning that half of the tracks associated
1729 with the jet originated from the primary vertex. Jets with no tracks associated (i.e. those outside the ac-
1730 ceptance of the ID) do not have this requirement applied. Jets are required to have $p_T > 25$ GeV if
1731 they are within the tracking acceptance ($|\eta| < 2.4$). Jets with $2.4 < |\eta| < 4.5$ are required to have
1732 $p_T > 30$ GeV. This tighter requirement reduces jets from pileup in the region where JVF requirements
1733 cannot be applied. The two highest p_T jets in the event are referred to as the “VBF” jets and used to com-
1734 pute various analysis selections later.

1735 Identification of b -jets is done using the MV1 algorithm and is limited to the acceptance of the ID
1736 ($|\eta| < 2.5$). The operating point of MV1 that is used is the one that is 85% efficient for identifying true
1737 b -jets. This operating point has a 10.3% of mis-tagging a light quark jet as a b -jet. In order to improve
1738 the rejection of b -jets, a lower threshold than the nominal p_T threshold described above is used. For the
1739 purposes of counting the number of b -jets, jets with p_T down to 20 GeV are used.

1740 5.3.4 OVERLAP REMOVAL

1741 There are some cases where certain reconstructed objects will overlap and one will have to be chosen
1742 (for example, an electron and a jet in the calorimeter). First, the case of lepton overlap is dealt with. If
1743 an electron candidate extends into the muon spectrometer, it is removed. If a muon or electron have a
1744 $\Delta R < 0.1$, the electron is removed and the muon is kept. If two electron candidates overlap within

1745 the same radius, then the higher E_T electron is kept. Next, the overlap between leptons and jets is con-
1746 sidered. If an electron and jet are within $\Delta R < 0.3$ of one another, the electron is kept and the jet is
1747 removed. However, if a muon and jet overlap within $\Delta R < 0.3$, the jet is kept (as it is likely that the
1748 muon is the result of a semileptonic decay inside the jet).

1749 Once the overlap removal is complete, the final set of objects used in the analysis is defined.

1750 5.4 ANALYSIS SELECTION

1751 The VBF analysis uses two distinct selections. The first is a more standard selection, referred to as “cut-
1752 based”, that applies requirements on the VBF variables and uses m_T as the final discriminating variable.
1753 The second is a looser selection that uses a Boosted Decision Tree (BDT) score as the final discriminator
1754 in order to take advantage of the detailed correlations between the VBF variables. While the BDT analy-
1755 sis is ultimately more sensitive, the cut-based serves as an important component of the analysis. First, the
1756 cut-based allows for confirming the modeling and validity of many variables used as input to the BDT.
1757 Second, because this is the first use of such an MVA technique in the $H \rightarrow WW^*$ analysis, the cut-based
1758 selection allows confirmation of the final BDT result with a more traditional analysis. The cut-based
1759 techniques are the focus of this chapter, but connections to the BDT result will be illustrated when ap-
1760 propriate.

1761 One important note is that because this analysis is dedicated to the measurement of the VBF pro-
1762 duction mode of the Higgs, events coming from gluon fusion production with the Higgs decaying via
1763 $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ are treated as background events. This will be seen throughout the various
1764 predictions shown.

1765 5.4.1 COMMON PRE-SELECTION

1766 Both the cut-based and BDT analyses have a common pre-selection that is applied before the main signal
1767 region requirements. The requirements on leptons are common to all n_j bins. The analysis requires two
1768 oppositely charged leptons, with the leading lepton required to have $p_T > 22$ GeV while the subleading
1769 lepton must have $p_T > 10$ GeV. Next, to remove low mass Z/γ^* events, a cut on the dilepton mass

1770 $m_{\ell\ell} > 10$ (12) GeV is applied in the different (same) flavor channel. In the same flavor channels, there is
1771 an additional veto placed on the region around the Z peak, requiring that $|m_{\ell\ell} - m_Z| > 15$ GeV.

1772 There are also requirements on the amount of missing transverse momentum in the event. These
1773 are only applied in the same flavor channels, as in the different flavor channels $t\bar{t}$ is the dominant back-
1774 ground in $n_j \geq 2$. The BDT analysis requires $p_T^{\text{miss}} > 40$ GeV and $E_T^{\text{miss}} > 45$ GeV. The cut-
1775 based analysis must select more tightly on these variables to have maximal sensitivity and thus requires
1776 $p_T^{\text{miss}} > 50$ GeV and $E_T^{\text{miss}} > 55$ GeV.

1777 Finally, because this analysis is focused on VBF, a requirement on the jet multiplicity is placed, with
1778 $n_j \geq 2$. Additionally, the analysis requires that there are no jets identified as b-quarks in the event, or
1779 $n_b = 0$.

1780 5.4.2 CUT-BASED SELECTION

1781 The cut-based selection places sequential requirements on variables reconstructed from the VBF jets in
1782 order to increase the signal to background ratio.

1783 GENERAL BACKGROUND REDUCTION

1784 Top pair production is the primary background in the $n_j \geq 2$ bin. Even though $n_b = 0$ is required,
1785 an additional variable is constructed to further suppress the top background. There is often additional
1786 QCD radiation that accompanies the $t\bar{t}$ system when it is produced. Therefore, a variable which tests for
1787 the presence of this additional radiation, p_T^{sum} , is constructed. It is defined in equation 5.1.

$$p_T^{\text{sum}} = p_T^{\ell\ell} + p_T^{\text{miss}} + \sum p_T^j \quad (5.1)$$

1788 The first cut after pre-selection in the cut-based analysis requires $p_T^{\text{sum}} < 15$ GeV to further suppress $t\bar{t}$
1789 production.

1790 In the different flavor channels, a cut is made to reduce the contamination from $Z \rightarrow \tau\tau$ decays.
1791 The di- τ invariant mass, $m_{\tau\tau}$, is constructed by assuming that the neutrinos from the τ decays were
1792 collinear with the leptons [80]. The analysis requires that this mass not be consistent with a Z by requir-

1793 ing $m_{\tau\tau} < m_Z - 25$ GeV.

1794 VBF TOPOLOGICAL CUTS

1795 The characteristic feature of VBF production of the Higgs is the presence of two additional forward jets
1796 coming from the incoming partons which radiate the vector bosons that make the Higgs. These jets are
1797 forward because the outgoing partons still carry the longitudinal momentum of the incoming partons.
1798 Figure 5.2 shows the distribution of the η for the leading jet in a VBF event compared to a background
1799 top pair production event. As can be seen, the VBF jets tend to be more forward in η , while the $t\bar{t}$ jets are
1800 more central.

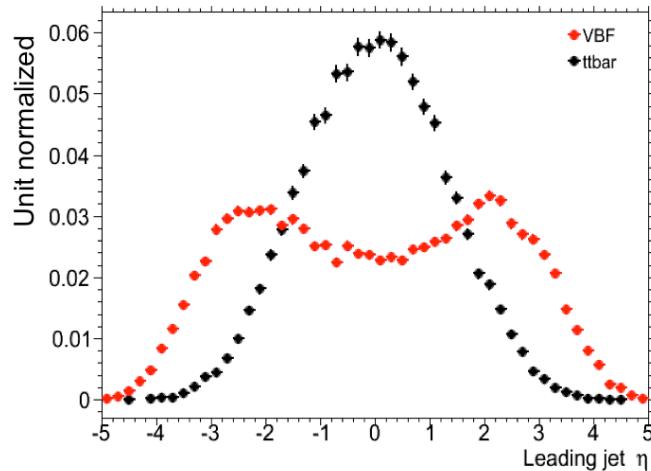


Figure 5.2: Leading jet η in VBF $H \rightarrow WW^*$ (red) and $t\bar{t}$ (black)

1801 Because the cross section for VBF production is an order of magnitude smaller than gluon fusion pro-
1802 duction, these forward jets must be used in order to better reduce background and achieve a good signal
1803 to background ratio. The dedicated VBF search selection requirements are constructed to maximally
1804 exploit the features of the unique VBF topology.

1805 Requirements on the VBF jets are collectively referred to as the “VBF topological cuts”. First, a re-
1806 quirement on the dijet invariant mass of the VBF jets, m_{jj} , is placed, requiring $m_{jj} > 600$ GeV. Next,
1807 the event is required to have a large gap in rapidity between the two VBF jets, or $\Delta y_{jj} > 3.6$. Both

1808 of these cuts put tight requirements on the presence of two forward, high p_T jets moving in opposite
1809 directions in the longitudinal plane.

1810 Beyond requiring the presence of the two forward VBF jets, the analysis also vetoes on the presence
1811 of any additional jets that fall between the two VBF jets. This cut is referred to as the central jet veto, or
1812 CJV. Any events with a third jet with $p_T > 20 \text{ GeV}$ whose rapidity is between the region defined by the
1813 two VBF jets are vetoed. This can be expressed in terms of a variable called the jet centrality, defined in
1814 equation 5.2.

$$C_{j3} = \left| \eta_{j3} - \frac{\eta_{j1} + \eta_{j2}}{2} \right| / \frac{|\eta_{j1} - \eta_{j2}|}{2}, \quad (5.2)$$

1815 Here, η_{j1} and η_{j2} are the pseudorapidities of the leading and subleading jets, respectively, while η_{j3} is
1816 the pseudorapidity of the extra jet in the event (if one exists). Intuitively, C_{j3} is zero when η_{j3} is directly
1817 centered between the two jets and unity when η_{j3} is aligned with either of the VBF jets. Thus, the CJV
1818 can be expressed as a requirement that $C_{j3} > 1$.

1819 The decay products of the Higgs tend to be central as well. Thus, the analysis also requires that both
1820 leptons in the analysis fall within the rapidity gap defined by the jets. This cut is referred to as the outside
1821 lepton veto, or OLV. A quantitative way to define the cut is to require that the centrality of each lepton
1822 (defined analogously to that of the third jet in equation 5.2) correspond to the lepton being within the jet
1823 rapidity gap, or $C_\ell < 1$ for both leptons.

1824 Figure 5.3a-c shows the m_{jj} , Δy_{jj} , and $C_{\ell 1}$ variables at the stage where all previous cuts in the se-
1825 quence have been made. The agreement between data and Monte Carlo is good, and the bottom panels
1826 show their power in discriminating the VBF signal from the background processes.

1827 The final signal region is also split into two bins of m_{jj} , with the first bin corresponding to $600 \text{ GeV} <$
1828 $m_{jj} < 1 \text{ TeV}$ and the second bin corresponding to $m_{jj} > 1 \text{ TeV}$. The first bin has more statistics but
1829 also a larger contribution from background, while the second bin has lower statistics but a 1:1 signal to
1830 background ratio.

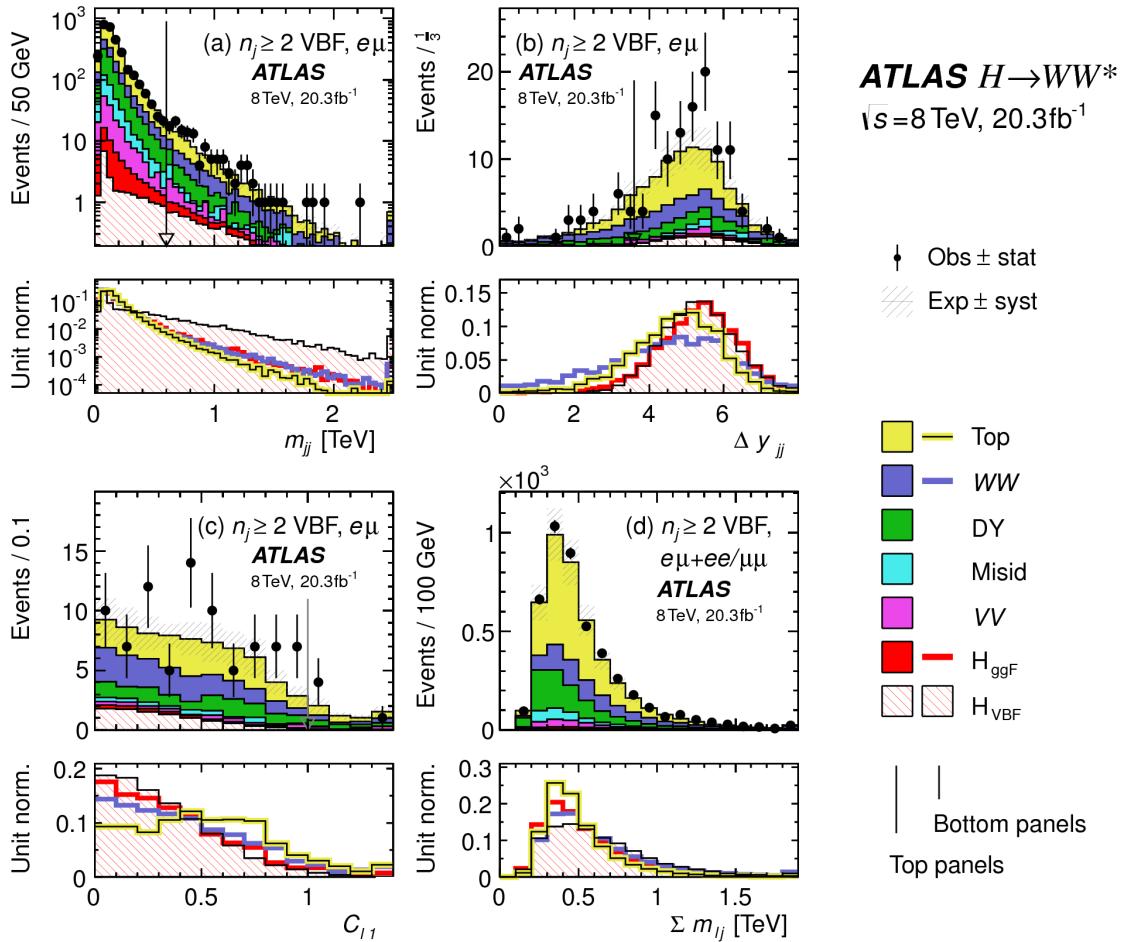


Figure 5.3: Distributions of (a) m_{jj} , (b) Δy_{jj} , (c) $C_{\ell 1}$, and (d) $\Sigma m_{\ell j}$, for the VBF analysis. The top panels compare simulation and data, while the bottom panels show normalized distributions for all background processes and signal [61].

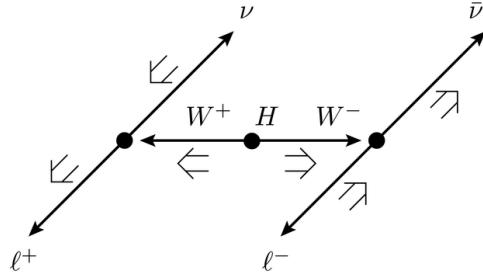


Figure 5.4: A cartoon of the WW final state. Momenta are represented with thin arrows, spins with thick arrows.
[61]

1831 HIGGS TOPOLOGICAL CUTS

1832 The final state leptons will exhibit unique correlations due to the fact that they are arising from the decay
 1833 of a spin zero resonance. In particular, the spins of the final state leptons and neutrinos must all cancel,
 1834 as shown in figure 5.4. Because the neutrino has a left handed chirality and the anti-neutrino has a right
 1835 handed chirality (in the massless neutrino approximation), the spin and momentum of the particles
 1836 will be anti-aligned and aligned, respectively. In the transverse plane, the momenta of all four final state
 1837 objects must cancel as well. With the constraint of having both the momenta and the spin alignments
 1838 cancel, the final state kinematics strongly prefer having a small angle between the leptons in the trans-
 1839 verse plane (low $\Delta\phi_{\ell\ell}$). This angular correlation will also lead to low values of the di-lepton invariant
 1840 mass $m_{\ell\ell}$. These unique signal final state kinematic correlations will be exploited to define the ultimate
 1841 signal region.

1842 The analysis places additional requirements on the final state leptons. Two requirements on dilepton
 1843 kinematics are made that are common with lower multiplicity jet bins as well. The angle between leptons
 1844 in the transverse plane, $\Delta\phi_{\ell\ell}$, is required to be less than 1.8 radians. Additionally, the dilepton mass $m_{\ell\ell}$
 1845 is required to be less than 50 GeV.

1846 The cut-based analysis uses m_T as the final discriminating variable as in the ggF focused analysis. The
 1847 optimal number of bins in m_T was found to be three bins, with the bin boundaries at 80 and 130 GeV.

1848 Table 5.7 shows the data and estimated signal and background yields from simulation as each cut de-
 1849 scribed above is made. The table shows how each cut reduces specific backgrounds and how the overall

1850 signal to background ratio grows through the various selection requirements.

1851 Figure 5.5 shows an ATLAS event display of a candidate event in the final signal region.

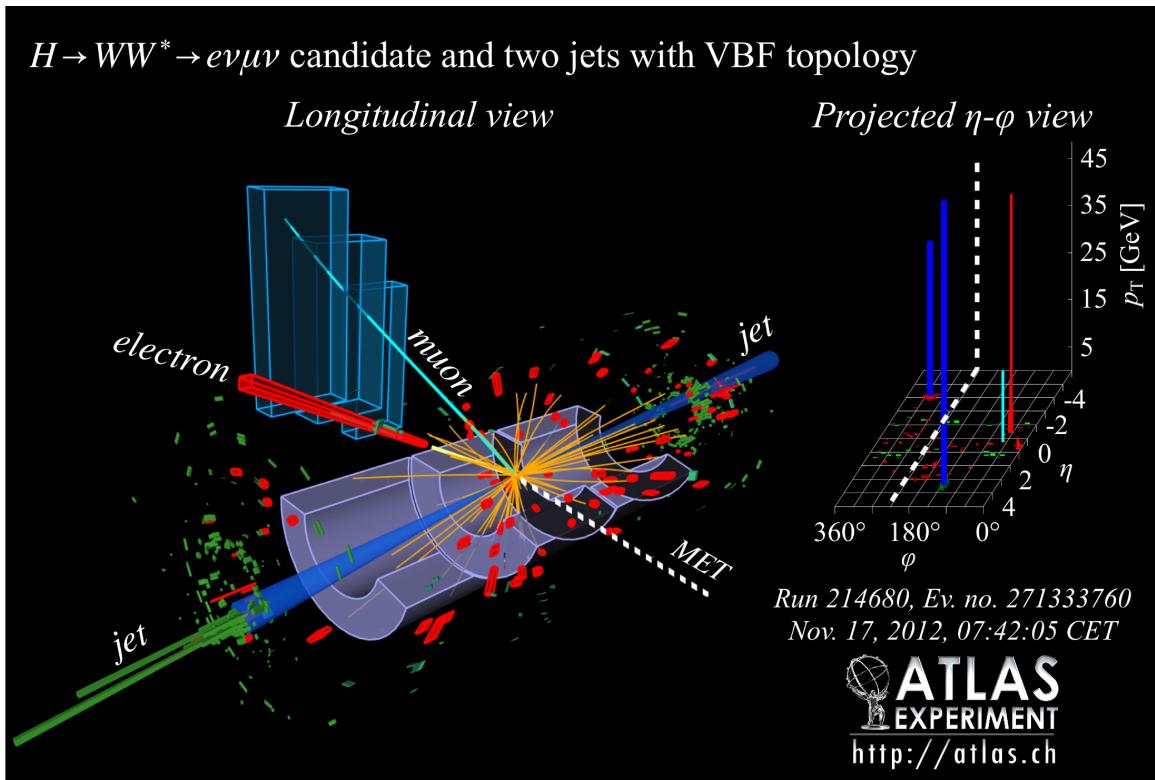


Figure 5.5: Event display of a VBF candidate event [61].

1852 5.4.3 BDT-BASED SELECTION

1853 The boosted decision tree based analysis takes a different philosophy compared to the cut-based. Rather
1854 than cutting sequentially on many variables, the BDT analysis uses many of these variables as inputs
1855 to the BDT and the output BDT score (O_{BDT}) as the final discriminant. The BDT is trained with the
1856 VBF $H \rightarrow WW^*$ simulation as the signal samples and all other processes as background, including ggF
1857 $H \rightarrow WW^*$ production. While the BDT based analysis is treated as a separate result, it has significant
1858 overlap with the cut-based selection.

Table 5.7: Event selection for the $n_j \geq 2$ VBF analysis in the 8 TeV cut-based analysis [61].

Selection	Summary						Composition of N_{bkg}									
	$N_{\text{obs}}/N_{\text{bkg}}$	N_{obs}	N_{bkg}	N_{ggF}	N_{VBF}	N_{signal}	N_{WW}	N_{WW}^{EW}	$N_{t\bar{t}}$	N_t	N_{Wj}	N_{jj}	N_{VV}	N_{misid}	$N_{Drell-Yan}$	$N_{ee/\mu\mu} N_{\tau\tau}^{\text{QCD}}$
$e\mu$ sample	1.00 ± 0.00	61434	61180	85	32	26	1350	68	51810	2970	847	308	380	51	3260	46
$n_b = 0$	1.02 ± 0.01	7818	7700	63	26	16	993	43	3000	367	313	193	273	35	2400	29
$p_T^{\text{sum}} < 15$	1.03 ± 0.01	5787	5630	46	23	13	781	38	1910	270	216	107	201	27	2010	23
$m_{\tau\tau} < m_Z - 25$	1.05 ± 0.02	3129	2970	40	20	9.9	484	22	1270	177	141	66	132	7.6	627	5.8
$m_{jj} > 600$	1.31 ± 0.12	131	100	2.3	8.2	—	18	8.9	40	5.3	1.8	2.4	5.1	0.1	15	1.0
$\Delta y_{jj} > 3.6$	1.33 ± 0.13	107	80	2.1	7.9	—	11.7	6.9	35	5.0	1.6	2.3	3.3	—	11.6	0.8
$C_{j3} > 1$	1.36 ± 0.18	58	43	1.3	6.6	—	6.9	5.6	14	3.0	1.3	1.3	2.0	—	6.8	0.6
$C_{l1} < 1, C_{l2} < 1$	1.42 ± 0.20	51	36	1.2	6.4	—	5.9	5.2	10.8	2.5	1.3	1.3	1.6	—	5.7	0.6
$m_{\ell\ell}, \Delta\phi_{\ell\ell}, m_{\text{T}}$	2.53 ± 0.71	14	5.5	0.8	4.7	—	1.0	0.5	1.1	0.3	0.3	0.3	0.6	—	0.5	0.2
$ee/\mu\mu$ sample	0.99 ± 0.01	26949	27190	31	14	10.1	594	37	23440	1320	230	8.6	137	690	679	16
$n_b, p_T^{\text{sum}}, m_{\tau\tau}$	1.03 ± 0.03	1344	1310	13	8.0	4.0	229	12.0	633	86	26	0.9	45	187	76	1.5
$m_{jj}, \Delta y_{jj}, C_{j3}, C_\ell$	1.39 ± 0.28	26	19	0.4	2.9	0.0	3.1	5.5	1.0	0.2	0.0	0.7	3.8	0.7	0.1	0.1
$m_{\ell\ell}, \Delta\phi_{\ell\ell}, m_{\text{T}}$	1.63 ± 0.69	6	3.7	0.3	2.2	0.0	0.4	0.2	0.6	0.2	0.0	0.1	1.5	0.3	0.1	0.1

1859 PRE-TRAINING SELECTION AND BDT INPUTS

1860 Before training, the common pre-selection cuts described in section 5.4.1 are applied. Additionally, the
1861 central jet veto and outside lepton veto described in section 5.4.2 are applied. The BDT has eight input
1862 variables, six of which are also variables that are used in the cut-based analysis. The six shared variables
1863 are p_T^{sum} , m_{jj} , Δy_{jj} , $m_{\ell\ell}$, $\Delta\phi_{\ell\ell}$, and m_T . The seventh variable input in the BDT is a combination of
1864 the variables used to do the OLV in the cut-based analysis. The BDT uses as input the sum of lepton
1865 centralities, or $\sum C_\ell = C_{\ell 1} + C_{\ell 2}$. The final BDT input variable, $\Sigma m_{\ell j}$, is constructed to account for
1866 the correlations between the jets and leptons in the event. It is the sum of the invariant masses of all four
1867 possible lepton-jet combinations.

1868 Figure 5.3d shows the agreement between data and simulation for the $\Sigma m_{\ell j}$ variable, as well as show-
1869 ing its discriminating power. Figure 5.6 shows the distributions of the Higgs topological variables that
1870 are shared between the cut-based and BDT analyses. Figure 5.7 shows the distributions of the VBF topo-
1871 logical variables shared between the cut-based and BDT analyses. In both cases, the VBF yield has been
1872 scaled by a factor of 50 to better show the shape difference compared to the backgrounds.

1873 Table ?? summarizes the cuts applied for the cut-based and analyses, as well as which variables are used
1874 as input to the BDT.

1875 5.5 BACKGROUND ESTIMATION

1876 This section describes the procedures used to estimate backgrounds for the VBF analysis in both the
1877 cut-based and BDT analyses.

1878 5.5.1 GENERAL STRATEGY

1879 Most of the backgrounds in the VBF analysis have shapes estimated from Monte Carlo simulation but
1880 normalizations derived from control regions in data. In essence, a normalization factor (denoted with
1881 β or abbreviated as NF) is derived by scaling the MC yield in the control region to the corresponding
1882 yield in data. Once this factor is derived, it can be used to scale the MC estimate of the background in the

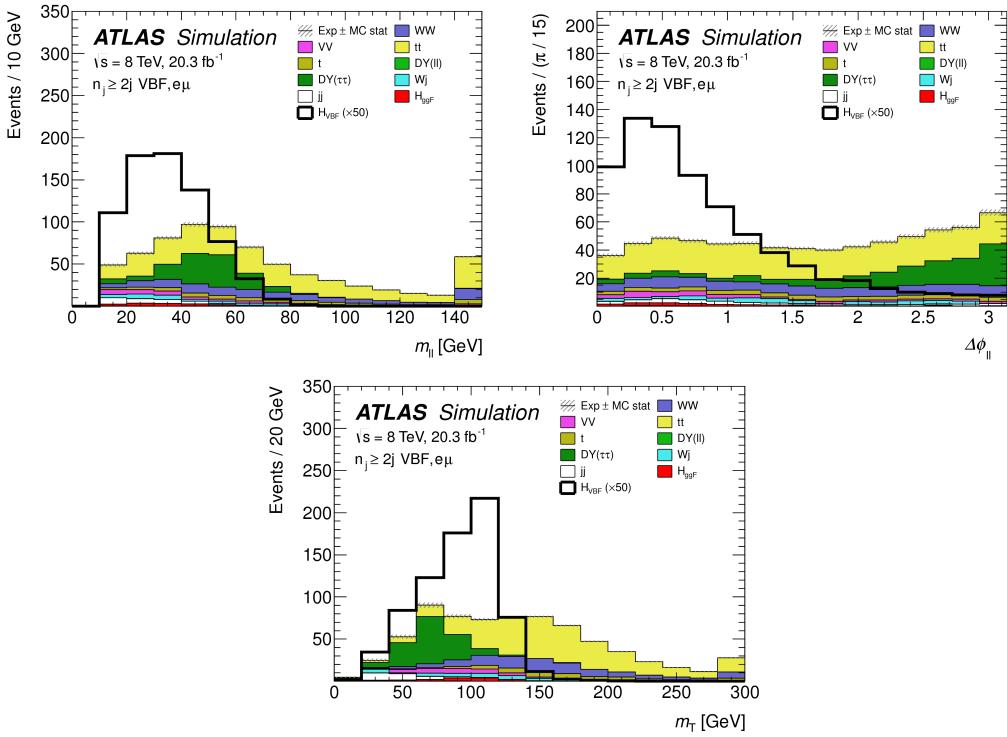


Figure 5.6: Distributions of m_{ll} (top left), $\Delta\phi_{ll}$ (top right), and m_T (bottom), Higgs topology variables used in the selection requirements of the cut-based signal region and as inputs to the BDT result. These are plotted after all of the BDT pre-training selection cuts [61].

1883 signal region. This is illustrated in equation 5.3.

$$B_{\text{SR}}^{\text{est}} = B_{\text{SR}} \times \frac{N_{\text{CR}}}{B_{\text{CR}}} \equiv B_{\text{SR}} \times \beta \quad (5.3)$$

1884 Here, B denotes the MC yield prediction in the denoted region, while N denotes the observed number
1885 of events in data in the denoted region.

1886 Another way of writing the same equation, in terms of an extrapolation factor α rather than a nor-
1887 malization factor β . The overall calculation is exactly the same. However, when phrased in this way, it
1888 shows how the uncertainty on the background estimation can be reduced. This is shown in equation 5.4.

$$B_{\text{SR}}^{\text{est}} = N_{\text{CR}} \times \frac{B_{\text{SR}}}{B_{\text{CR}}} \equiv N_{\text{CR}} \times \alpha \quad (5.4)$$

1889 Phrased this way, the equation shows that with enough statistics in the control region, a large theoretical

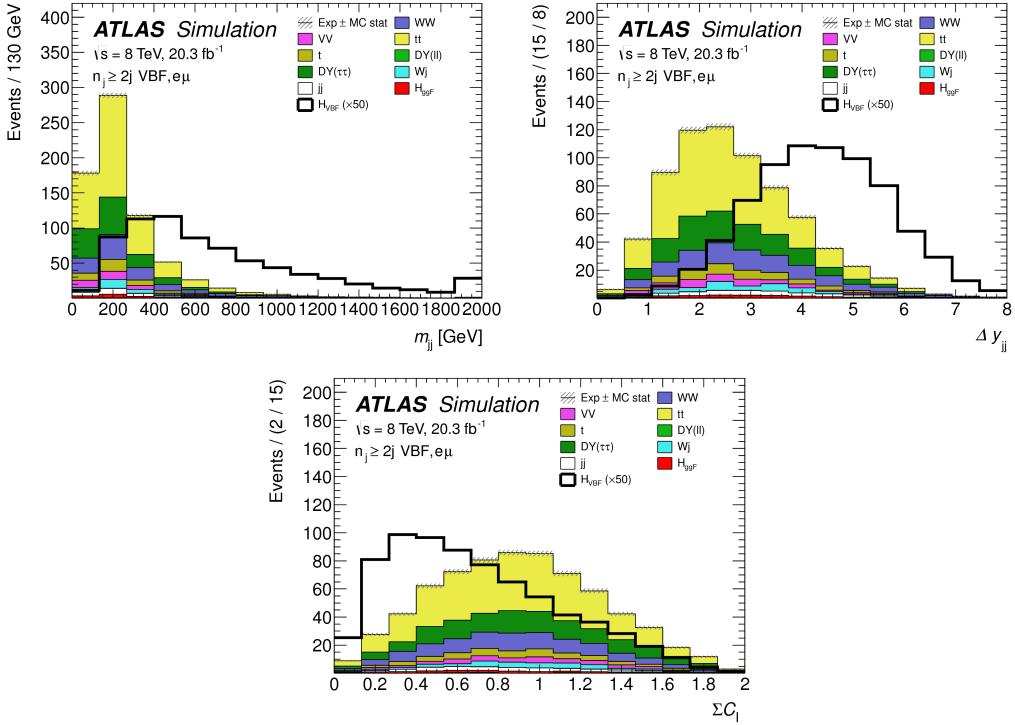


Figure 5.7: Distributions of m_{jj} (top left), Δy_{jj} (top right), $\sum C_\ell$ (bottom), VBF topology variables used in the selection requirements of the cut-based signal region and as inputs to the BDT result. These are plotted after all of the BDT pre-training selection cuts [61].

uncertainty on the overall background yield in the signal region can be replaced by a small statistical uncertainty coming from the number of data events in the CR and a smaller theoretical uncertainty on the extrapolation from the control region to the signal region.

5.5.2 TOP BACKGROUND

The normalization factor β_t for the top background in the VBF analysis is derived in a region required to have one b-tagged jet, or $n_b = 1$. In the cut-based analysis, normalization factors are computed at every stage of the cutflow by applying the appropriate cuts in the CR. These NF are then applied to the $t\bar{t}$ and single top event yields in the SR. In the BDT analysis, a single normalization factor is computed for each bin of O_{BDT} after applying the BDT pre-training cuts described previously. The computed normalization factors are derived with all flavor combinations combined in order to decrease statistical uncertainty. Additionally, in the BDT analysis, BDT bins 2 and 3 are merged for the same reason.

1902 Table 5.8 shows the evolution of the β_t through the cut-based selection. Table 5.9 shows the value
 1903 of the β_t in each bin of O_{BDT} . In all cases, the computed factors are relatively consistent with unity,
 1904 with the largest discrepancy coming in bin 1 of O_{BDT} . The normalization factors in the bins of O_{BDT}
 1905 are also consistent with those derived in the cut-based signal region, increasing confidence in the BDT
 1906 estimation.

Cut	β_t
$p_T^{\text{sum}} < 15 \text{ GeV}$	1.03 ± 0.01
$m_{\tau\tau} < m_Z - 25$	1.05 ± 0.01
$m_{jj} > 600 \text{ GeV}$	0.96 ± 0.06
$\Delta y_{jj} > 3.6$	1.02 ± 0.08
CJV	1.13 ± 0.16
OLV	1.01 ± 0.19
$m_{jj} < 1 \text{ TeV}$	0.94 ± 0.19
$m_{jj} > 1 \text{ TeV}$	1.48 ± 0.66

Table 5.8: Top normalization factors computed at each stage of the cut-based selection. Uncertainties are statistical only.

O_{BDT}	β_t
Bin0	1.09 ± 0.02
Bin1	1.58 ± 0.15
Bin2	0.95 ± 0.31
Bin3	0.95 ± 0.31

Table 5.9: Top normalization factors computed for each bin of O_{BDT} . Uncertainties are statistical only.

1907 Figure 5.8 shows the m_{jj} and O_{BDT} distributions in the top control region. Overall the modeling
 1908 looks consistent with the data.

1909 While these normalization factors can be computed and applied to the expected background yields
 1910 listed in tables like table 5.7, in the end the normalization of the top background is profiled (meaning
 1911 there is a dedicated Poisson constraint) and allowed to float in the final statistical fit.

1912 5.5.3 $Z/\gamma^* \rightarrow \tau\tau$ BACKGROUND

1913 In the different flavor channels, the $Z/\gamma^* \rightarrow \tau\tau$ background is an important one. Di-tau production
 1914 can produce an $e\mu$ final state if each τ lepton decays to a different flavor lepton.

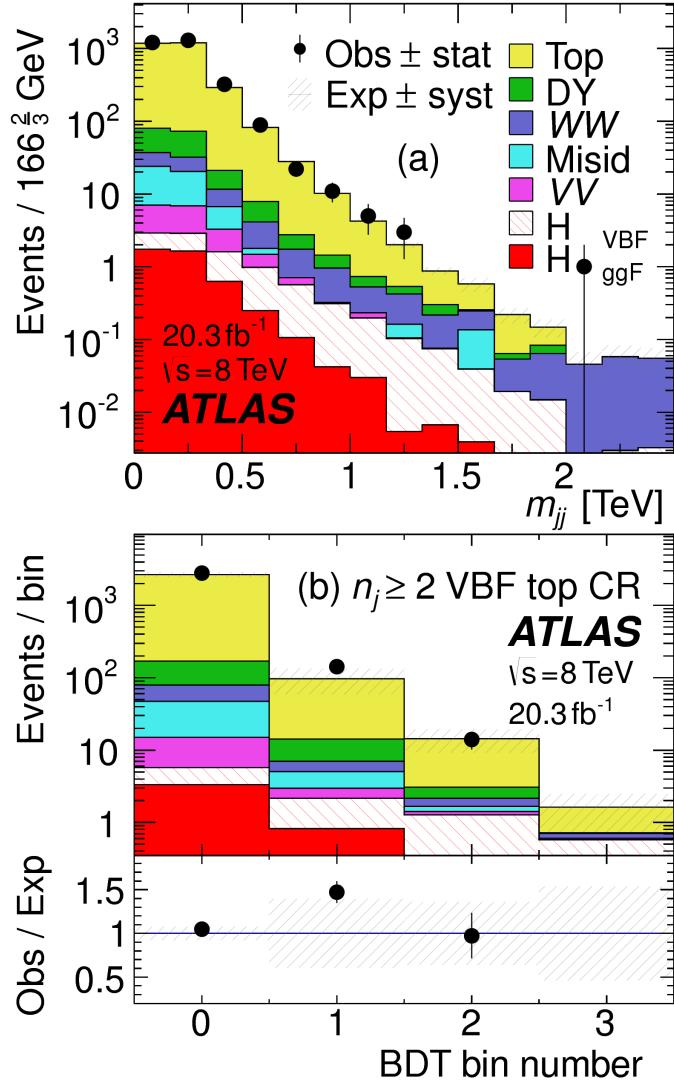


Figure 5.8: Distributions of m_{jj} (a) and O_{BDT} (b) in the VBF $n_b = 1$ top CR [61].

In the BDT analysis, a single normalization factor for the background is derived. A control region is defined using the pre-training selection cuts, except requiring that $|m_{\tau\tau} - m_Z| < 25 \text{ GeV}$ so that the region is enriched in $Z/\gamma^* \rightarrow \tau\tau$ background. Additional requirements of $m_{\ell\ell} < 80(75) \text{ GeV}$ in the different (same) flavor channel, as well as $O_{\text{BDT}} > -0.48$ are applied to increase the purity of the region. The final $\beta_{Z/\gamma^* \rightarrow \tau\tau}$ is calculated to be 0.9 ± 0.3 (statistical uncertainty only). Because of the small contribution of this background in the BDT analysis and the large statistical uncertainty, no additional systematics are calculated. The final SR estimate is scaled by this β and not allowed to float in

1922 the fit.

1923 The cut-based corrections are a bit more involved because they need to be applied selection by selec-
1924 tion, as well as in the final signal region for the fit. The region is defined including all SR cuts up to the
1925 $Z/\gamma^* \rightarrow \tau\tau$ veto, which is instead made into a Z mass peak requirement as for the BDT region. The
1926 $m_{\ell\ell}$ cut from the BDT region is included as well. The cut-based approach aims to correct the normal-
1927 ization of the $Z/\gamma^* \rightarrow \tau\tau$ background in two ways. First, an overall normalization factor is computed
1928 from the control region. However, the VBF topological cuts are not included in this region, and apply-
1929 ing them as is done in the top CR is not feasible due to limited statistics. So, instead, correction factors
1930 (CF) to the cut efficiencies of the VBF cuts are derived in a same flavor $Z \rightarrow \ell\ell$ control region, which has
1931 significantly more statistics. The CF is simply the ratio of the cut efficiencies in data and MC derived in
1932 this region. In the end, the overall background estimate is given by equation 5.5.

$$N_{Z/\gamma^* \rightarrow \tau\tau}^{\text{est}} = B_{Z/\gamma^* \rightarrow \tau\tau}^{\text{SR}} \times \beta_{\tau\tau} \times \frac{\epsilon_{\text{VBF cuts}}^{\text{data}}}{\epsilon_{\text{VBF cuts}}^{\text{MC}}} \quad (5.5)$$

1933 The hypothesis is that while the normalization correction must be derived in a dedicated region, the
1934 efficiency of the VBF cuts should not be sensitive to the type of Z/γ^* process and thus the larger control
1935 region can be exploited to derive the CF. Figure 5.9 shows a shape comparison for the m_{jj} variable in
1936 $Z \rightarrow \tau\tau$ events in the signal region and $Z \rightarrow \ell\ell$ events in the control region. The figure shows that the
1937 shapes are indeed comparable and thus any CF derived in the same flavor control region can reliably be
1938 applied in the signal region.

1939 Table 5.10 shows the overall normalization factor $\beta_{\tau\tau}$ and the efficiency correction factors for the var-
1940 ious VBF topological cuts. In general, the statistical uncertainties on the cut efficiency corrections are
1941 quite good, and the MC tends to underestimate the efficiency of the VBF cuts for the $Z/\gamma^* \rightarrow \tau\tau$ back-
1942 ground. The overall normalization factor is also consistent with that calculated for the BDT analysis.

1943 5.5.4 $Z/\gamma^* \rightarrow \ell\ell$ BACKGROUND

1944 In the same flavor channels, the $Z/\gamma^* \rightarrow \ell\ell$ background is dominant and thus must be estimated
1945 correctly. In both the BDT and cut-based analyses, the background is estimated using the so-called

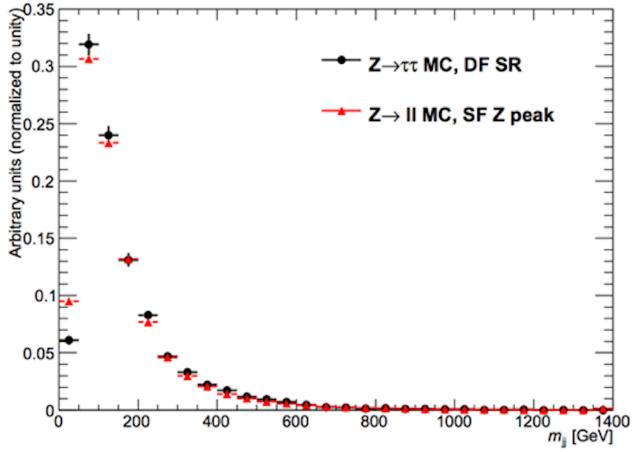


Figure 5.9: Comparison of m_{jj} shape in a same flavor $Z \rightarrow \ell\ell$ control region and the VBF cut-based signal region.

$\beta_{\tau\tau}$	0.97 ± 0.04
Cut	Correction factors
$m_{jj} > 600$ GeV	1.09 ± 0.01
$\Delta y_{jj} > 3.6$	1.14 ± 0.02
CJV	1.20 ± 0.02
OLV	1.17 ± 0.03
$m_{jj} < 1$ TeV	1.17 ± 0.06
$m_{jj} > 1$ TeV	1.18 ± 0.13

Table 5.10: $Z/\gamma^* \rightarrow \tau\tau$ correction factors for the VBF cut-based analysis. Uncertainties are statistical only.

“ABCD” method. The ABCD method creates four different regions by defining cuts on two variables.
 One of the regions (A) is the signal region, while the other regions are defined by inverting one of both
 of the cuts. in this case, the two variables used are $m_{\ell\ell}$ and E_T^{miss} , because inverting either of the SR cuts
 on these variables will give regions rich in the $Z/\gamma^* \rightarrow \ell\ell$ background. Figure 5.10 illustrates the general
 strategy for each region.

In both of the cut-based and BDT analyses, the Z peak region is defined with $|m_{\ell\ell} - m_Z| < 15$ GeV.
 In the cut-based analysis, low $m_{\ell\ell}$ corresponds to $m_{\ell\ell} < 50$ GeV (this defines the cut-based SR) while
 in the BDT it is $m_{\ell\ell} < 75$ GeV. In the cut-based, high and low E_T^{miss} are defined as opposite ends of
 the 55 GeV cut applied for the signal region definition. The BDT low E_T^{miss} region is between 25 and
 45 GeV, while the high E_T^{miss} region is $E_T^{\text{miss}} > 45$ GeV.

Once the regions are defined, the final signal region background estimate is done by taking the esti-

Region A (SR)	Region C
High E_T^{miss}	High E_T^{miss}
Low $m_{\ell\ell}$	Z peak
Region B	Region D
Low E_T^{miss}	Low E_T^{miss}
Low $m_{\ell\ell}$	Z peak

Figure 5.10: General illustration of the ABCD region definitions for $Z/\gamma^* \rightarrow \ell\ell$ background estimation.

1957 mate in region B and extrapolating it to the signal region (A) by multiplying it by the ratio of regions
 1958 C and D. Effectively, the Z peak region is used to estimate the efficiency of the E_T^{miss} cut in data, and
 1959 then this efficiency is applied in the low $m_{\ell\ell}$ region. An additional correction is also applied for the non-
 1960 closure of the method in MC. This is summarized in equations 5.6 and 5.7.

$$N_{Z/\gamma^*\rightarrow\ell\ell}^{\text{SR}} = N_{Z/\gamma^*\rightarrow\ell\ell}^{\text{B}} \times \frac{N_{Z/\gamma^*\rightarrow\ell\ell}^{\text{C}}}{N_{Z/\gamma^*\rightarrow\ell\ell}^{\text{D}}} \times f_{\text{corr}} \quad (5.6)$$

$$f_{\text{corr}} = \frac{B_{\text{MC}}^{\text{A}}/B_{\text{MC}}^{\text{B}}}{B_{\text{MC}}^{\text{C}}/B_{\text{MC}}^{\text{D}}} \quad (5.7)$$

1961 Here, the N refer to data yields in each region with the non Z/γ^* backgrounds subtracted, while B
 1962 refer to the Z/γ^* yields in MC in each region.

1963 A normalization factor $\beta_{\ell\ell}$ is computed for each analysis as the ratio of the predicted data yield to
 1964 the MC yield in the SR. The shape of the BDT distribution is taken from data region B, while the shape
 1965 of the m_T distribution in the cut-based analysis is taken from Z/γ^* MC in the SR. The values of the
 1966 $\beta_{\ell\ell}$ in the cut-based and BDT analyses from this method are summarized in table 5.11. They are quite
 1967 consistent with one another within the statistical uncertainties. In the cut-based analysis, the same cut
 1968 efficiency correction factors shown in table 5.10 are also applied (in product with the $\beta_{\ell\ell}$) in the same
 1969 flavor channels to this background, as they were derived in the Z peak region.

	β_t
BDT Bin 1	1.01 ± 0.15
BDT Bin 2	0.89 ± 0.28
Cut-based	0.81 ± 0.21

Table 5.II: $Z/\gamma^* \rightarrow \ell\ell$ normalization factors for cut-based and BDT analyses. Uncertainties are statistical only.

1970 5.5.5 WW AND OTHER DIBOSON BACKGROUNDS

1971 The WW and other diboson backgrounds have both their shape and normalization taken from MC
 1972 simulation. They are validated in dedicated control regions and found to agree with data well.

1973 As WW is the largest of these backgrounds and is irreducible, validating the estimate is of particular
 1974 importance. The validation region is constructed by requiring the pre-selection cuts on leptons and $m_{\ell\ell}$,
 1975 $n_b = 0$, and $m_T > 100$ GeV. The m_{T2} variable [81] is an additional discriminant that will isolate
 1976 the WW background, and a requirement of $m_{T2} > 160$ GeV is placed to define the WW validation
 1977 region. This cut gives a 60% purity for the validation region. The derived normalization factor in the
 1978 region is 1.15 ± 0.19 and is thus consistent with unity. Figure 5.II shows the m_{T2} distribution and how
 1979 it distinguishes the WW background.

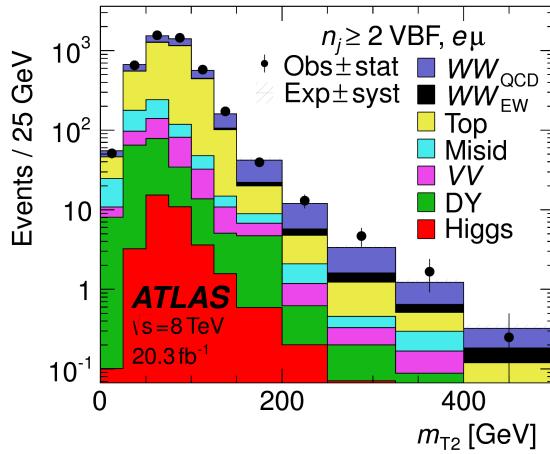


Figure 5.II: Distribution of m_{T2} in the WW validation region of the VBF analysis [61].

1980 5.5.6 HIGGS PRODUCTION VIA GLUON-GLUON FUSION

1981 Because this analysis is dedicated to measuring the VBF contribution to Higgs production, the com-
1982 ponent of Higgs production from gluon-gluon fusion is treated as a background. The shape is taken
1983 directly from simulation, using the generators described in table 5.4. In the final combined fit of all dif-
1984 ferent signal regions, the normalization is controlled by either a combined signal strength parameter μ ,
1985 which controls the normalization of both ggF and VBF production, or a separate parameter μ_{ggF} de-
1986 pending on the interpretation being presented in the final results.

1987 5.5.7 BACKGROUNDS WITH MISIDENTIFIED LEPTONS

1988 As discussed previously, the $W + \text{jets}$ and QCD multijet backgrounds are derived with fully data-driven
1989 methods. These backgrounds do not make a large contribution to the final VBF signal region but their
1990 estimation methods are discussed briefly here.

1991 $W + \text{jets}$ BACKGROUND

1992 The $W + \text{jets}$ background enters the signal region by having one of the jets mis-reconstructed as a lep-
1993 ton. The background is estimated by constructing a control sample with two leptons, where one lepton
1994 passes the usual lepton quality cuts but the second lepton fails one of those cuts (also known as the “anti-
1995 identified” lepton). This control region is rich in the $W + \text{jets}$ contribution because if a second lepton is
1996 reconstructed in a $W + \text{jets}$ event it is likely to be poor quality. The purity of this $W + \text{jets}$ control sample
1997 is 85% to 90% depending on the exact configuration of leptons in the final state.

1998 The signal region estimate of $W + \text{jets}$ is estimated by extrapolation from the control sample to the sig-
1999 nal region using extrapolation factors derived in a $Z + \text{jets}$ control sample in data. The extrapolation fac-
2000 tor is the ratio of the number of lepton candidates satisfying all quality criteria to the number of lepton
2001 candidates anti-identified. This ratio is measured in bins of p_T and η . Thus, the final signal region esti-
2002 mate (binned as the extrapolation factor is binned) is simply the number of events in the anti-identified
2003 lepton control sample multiplied by the extrapolation factor derived from the $Z + \text{jets}$ control sample.

2004 Figure 5.12 shows the extrapolation factors derived for electrons and muons.

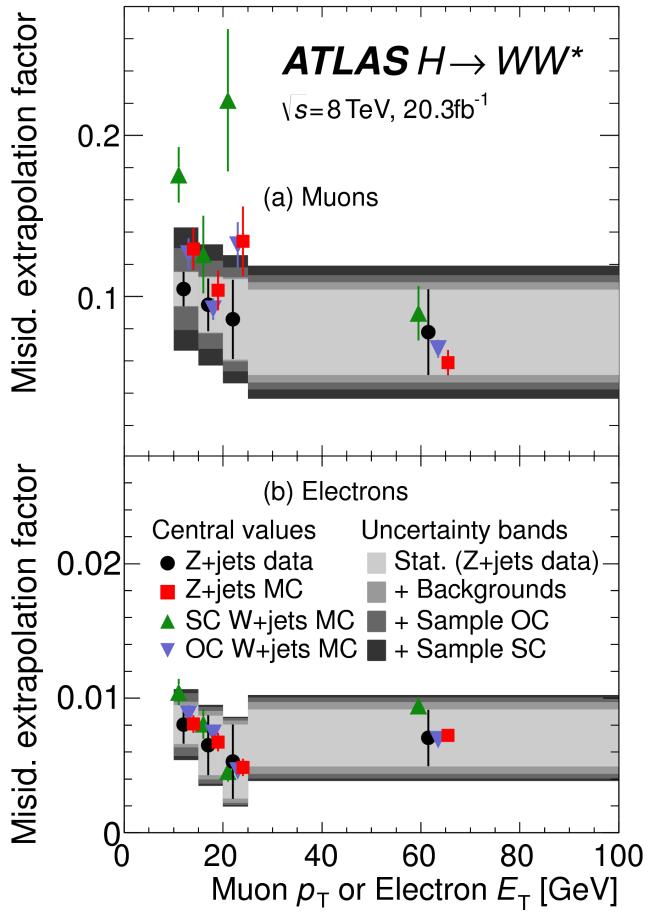


Figure 5.12: Extrapolation factors for the $W + \text{jets}$ estimate derived for muons (a) and electrons (b) as a function of lepton p_T [61].

2005 **QCD MULTIJET BACKGROUND**

2006 The method for estimating the multijet background is very similar to the $W + \text{jets}$ estimation method.
 2007 The control sample in this case has two anti-identified leptons but otherwise satisfies all signal region
 2008 requirements. The extrapolation factor is estimated from a multijet sample and applied twice to the
 2009 control sample.

2010 **5.5.8 BACKGROUND COMPOSITION IN FINAL SIGNAL REGION**

2011 After all of these estimation procedures, the final signal region composition can be calculated. The esti-
 2012 mated yields are all shown in table 5.7. Figure 5.13 shows the relative percentages of the different back-

2013 ground for the different flavor and same flavor final states. In $e\mu$, the leading backgrounds are top back-
2014 grounds, ggF Higgs, and SM WW production. In $ee/\mu\mu$, the leading background is Drell-Yan, fol-
2015 lowed by top and ggF Higgs.

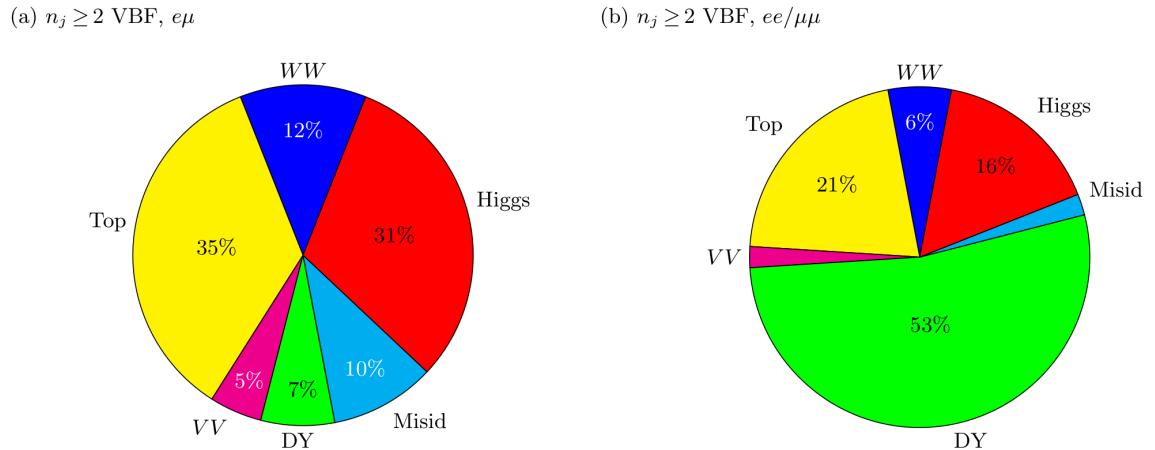


Figure 5.13: Background composition in final VBF signal region [61].

2016 5.6 SYSTEMATIC UNCERTAINTIES

2017 There are two main types of systematic uncertainties that are assessed for the analysis. First, theoreti-
2018 cal uncertainties associated with the various signal and background yield estimates are discussed. Then,
2019 experimental uncertainties due to detector effects are shown. Normalization uncertainties refer to uncer-
2020 tainties that affect the cross section of the process in question in the signal region being probed. Shape
2021 uncertainties refer to systematic uncertainties that affect the shape of the final discriminating variable
2022 (either m_T or O_{BDT}).

2023 5.6.1 THEORETICAL UNCERTAINTIES

2024 There are four main components to theoretical uncertainties assigned to signal and background pro-
2025 cesses taken from Monte Carlo. Each one is a different source of variation in the overall acceptance for
2026 that process. The first involves variation of the QCD renormalization and factorization scales used in
2027 the calculation. In this case, the two scales are varied independently and simultaneously by factors of
2028 two high or low and quantifying the resulting variation in normalization and shape for the process. This

approximates the correction to the cross section that would come from including the next order of the QCD calculation (referred to as scale uncertainty). Next, there is an uncertainty associated with the PDF set used in generating the events. The uncertainty eigenvectors for the given PDF set are studied, and the envelope of maximal variation is taken as an uncertainty. Finally, there are two uncertainties associated with the choice of MC software (referred to as PDF uncertainty). An uncertainty associated with the generator chosen for the hard scattering process is evaluated by keeping the parton showering software constant but varying the matrix element generator and taking the maximal variation as an uncertainty (referred to as the generator uncertainty). The converse variation can also be done, where the matrix element generator remains constant and the generator used for the underlying event/parton shower modeling is varied (referred to as the UE/PS uncertainty). In cases where the background is normalized in a control region, the systematic uncertainty arises from variations of the extrapolation factor α between the CR and the SR, which can affect the normalization of the background in the SR.

There are two additional uncertainties that are applied to the Higgs processes as well. First, there are uncertainties assigned to the Higgs total production cross section. Then, there are uncertainties assigned based on the fact that the analysis is done in exclusive jet bins and it is possible for signal events to migrate from one bin to the next depending on the presence or absence of jets. These are assigned using the Jet Veto Efficiency (JVE) procedure [18, 82] for ggF events and the Stewart-Tackmann (ST) method [83] for VBF production.

Table 5.12 shows the total theory uncertainties on the backgrounds in the cut-based analysis. These are the sum in quadrature of the uncertainties from each of the variations described above.

Process	Theory syst. (%)
ggF H	48
Top	26
QCD WW	37
$Z/\gamma^* \rightarrow \tau\tau$	6.1

Table 5.12: Systematic uncertainties for various processes in the cut-based VBF analysis, given in units of % change in yield. Values are given for the low m_{jj} signal region.

Figures 5.14 and 5.15 show the variations in the extrapolation factor from the PDF and QCD uncertainties on the top background estimate, binned in m_T , for the cut-based analysis. In both cases, there

2051 was no significant shape uncertainty but normalization uncertainties were assigned according to the
 2052 maximal variation. These uncertainties enter into the 26% total uncertainty on top quoted in table 5.12
 2053 While the estimate for the same-flavor $Z/\gamma^* \rightarrow \ell\ell$ background is data-driven, there is still a sys-
 2054 tematic uncertainty taken for the non-closure of the method in Monte Carlo. This is taken as the max-
 2055 imum of the deviation of the non-closure factor f_{corr} from unity and its uncertainty, or $\max(|1 -$
 2056 $f_{\text{corr}}|, \delta f_{\text{corr}})$. For the cut-based analysis this non-closure uncertainty 23%, while for the BDT analy-
 2057 sis it is 17%.

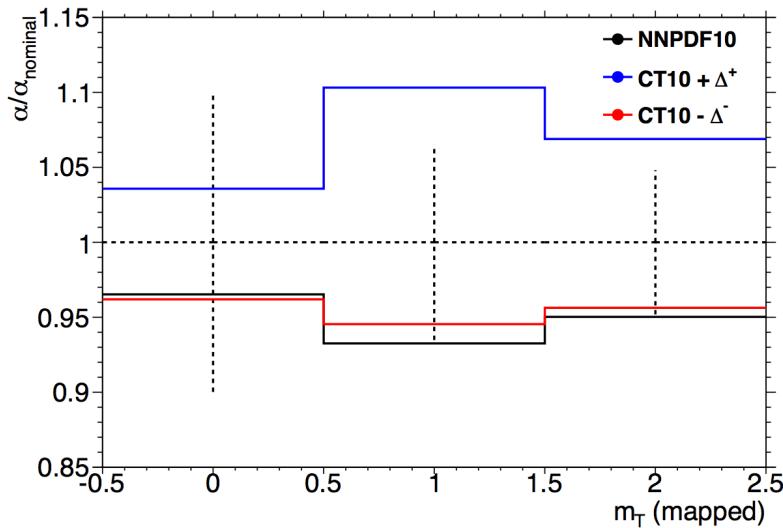


Figure 5.14: Variations in the top background extrapolation factor in the cut-based analysis due to PDF uncertainties, binned in m_T .

2058 5.6.2 EXPERIMENTAL UNCERTAINTIES

2059 In this analysis, the theoretical uncertainties end up being the most dominant, but there are some ex-
 2060 perimental uncertainties that make a contribution as well. The first is the uncertainty on the measured
 2061 integrated luminosity, which affects backgrounds whose normalization is taken from MC and is mea-
 2062 sured to be 2.8% in the 8 TeV dataset [84]. The dominant sources of uncertainty overall are uncertainties
 2063 on the jet energy scale and resolution and the b -tagging efficiency. Additional sources include lepton
 2064 uncertainties on identification, resolution, and trigger efficiency, as well as uncertainties on the missing
 2065 transverse momentum .

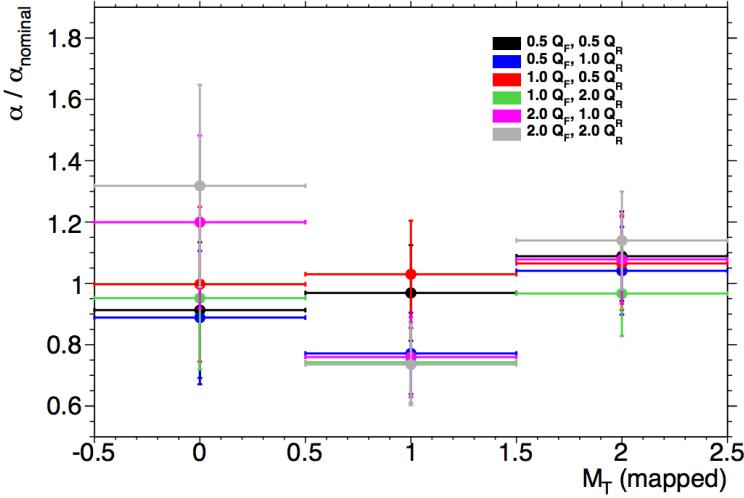


Figure 5.15: Variations in the top background extrapolation factor in the cut-based analysis due to QCD scale uncertainties, binned in m_T .

The jet energy scale uncertainty is split into several independent components, including jet-flavor dependent calorimeter response uncertainties, uncertainties on modeling of pile-up interactions, uncertainties on extrapolation from the central to forward detector regions, and MC non-closure [85]. The uncertainty on energy scale for jets used in this analysis ranges from 1% to 7% depending on the jet p_T and η . The jet energy resolution varies from 5% to 20%, with uncertainties ranging from 2% to 40% (the largest uncertainties occurring at the selection threshold).

The b-tagging efficiency is independently measured in data samples enriched in dileptonic decays of $t\bar{t}$ events or in events where a muon is reconstructed in the vicinity of a jet [86, 87]. The efficiencies and their uncertainties are binned in p_T and decomposed into uncorrelated components using an eigenvector method [88]. Uncertainties on the efficiency range from 1% to 7.8%. The uncertainty on the rate of misidentification of c -jets as b -jets ranges from 6-14%, while the uncertainty on the rate of light jet mis-tagging ranges from 9-19% depending on p_T and η .

The total experimental uncertainties on different signal and background components are summarized in table 5.13. They are compared to the level of other statistical and systematic uncertainties as well. Overall, the experimental uncertainties are sub-dominant compared to the statistical and theoretical uncertainties.

Sample	Total error	Stat. error	Expt. syst. err.	Theo. syst. err.
$n_j \geq 2$ VBF-enriched				
N_{sig}	13	-	6.8	12
N_{bkg}	9.2	4.7	6.4	4.5
N_{WW}	32	-	14	28
N_{top}	15	9.6	7.6	8.5
N_{misid}	22	-	12	19
N_{VV}	20	-	12	15
$N_{\tau\tau}$ (DY)	40	25	31	2.9
$N_{ee/\mu\mu}$ (DY)	19	11	15	-

Table 5.13: Composition of the post-fit uncertainties (in %) on the total signal (N_{sig}), total background (N_{bkg}), and individual background yields in the VBF analysis [61].

2082 5.7 RESULTS

2083 While the combined results of all the $H \rightarrow WW^*$ sub-analyses will be discussed in the next chapter, this
 2084 section presents the results of the VBF specific analysis and interpretations. As table 5.7 shows, the final
 2085 cut-based signal region contains 20 events in data with $m_T < 150$ GeV, 14 coming from the $e\mu$ channel
 2086 and 6 coming from the $ee + \mu\mu$ channel. The BDT analysis has many more candidates due to its looser
 2087 selection, and the yields in each bin of O_{BDT} are shown in table 5.14.

2088 Figure 5.16(a) shows the final distribution of data candidates compared to the expected m_T distri-
 2089 bution for signal and background. The data are very consistent with a VBF Higgs hypothesis. Fig-
 2090 ure 5.16(b) shows where the data candidates fall in the two-dimensional binning of m_T and m_{jj} used
 2091 in the fit for the cut-based analysis.

2092 Figure 5.17 shows the distributions of O_{BDT} and m_T in the VBF BDT analysis. Again the data are
 2093 quite consistent with a VBF Higgs hypothesis.

2094 Because the cut-based result is used as a validation for the BDT analysis and the two signal regions are
 2095 not fully orthogonal, it is interesting to explore which events overlap between the two analyses. Of the
 2096 twenty events in the cut-based signal region, only seven were not selected by the BDT analysis, while the
 2097 other thirteen also enter the BDT signal region. Figure 5.18 shows where the different analysis candidates
 2098 lie in the m_{jj} - m_T plane. This shows clearly that the advantage of the BDT analysis is that it can extract

(a) Before the BDT classification

Selection	Summary						Composition of N_{bkg}													
	$N_{\text{obs}}/N_{\text{bkg}}$	N_{bkg}	N_{obs}	N_{bkg}	N_{signal}	N_{eggF}	N_{VBF}	N_{VH}	N_{WW}^{SD}	N_{WW}^{EW}	$N_{t\bar{t}}$	N_t	N_{Wj}	N_{jj}	N_{VV}	N_{missid}	$N_{\text{Drell-Yan}}$	$N_{e/\mu}^{\text{SD}}$	$N_{e/\mu}^{\text{EW}}$	$N_{\tau\tau}^{\text{SD}}$
$e\mu$ sample	1.04 ± 0.04	718	689	13	15	2.0	90	II	327	42	29	23	31	2.2	130	2				
$ee/\mu\mu$ sample	1.18 ± 0.08	469	397	6.0	7.7	0.9	37	3	132	17	5.2	1.2	10.1	168	23	1				
(b) Bins in O_{BDT}																				
$e\mu$ sample																				
Bin 0 (not used)	1.02 ± 0.04	661	650	8.8	3.0	1.9	83	9	313	40	26	21	28	2.2	126	1				
Bin 1	0.99 ± 0.16	37	37	3.0	4.2	0.1	5.0	1.0	17	3.1	3.3	1.8	2.6	—	4.0	0.2				
Bin 2	2.26 ± 0.63	14	6.2	1.2	4.2	—	1.5	0.5	1.8	0.3	0.4	0.3	0.8	—	0.3	0.3				
Bin 3	5.41 ± 2.32	6	1.1	0.4	3.1	—	0.3	0.2	0.3	0.1	—	—	0.1	—	0.1	0.1				
$ee/\mu\mu$ sample																				
Bin 0 (not used)	1.91 ± 0.08	396	345	3.8	1.3	0.8	33	2	123	16	4.1	1.1	8.8	137	20.5	0.5				
Bin 1	0.82 ± 0.14	53	45	1.5	2.2	0.1	3.0	0.5	10.4	1.8	0.8	0.2	0.9	26	1.7	0.1				
Bin 2	1.77 ± 0.49	14	7.9	0.6	2.5	—	0.8	0.3	1.1	0.2	0.2	—	0.3	4.4	0.3	0.1				
Bin 3	6.32 ± 2.87	6	0.9	0.2	1.7	—	0.1	0.2	0.2	—	—	—	0.7	—	—	—				

Table 5.14: Event selection for the VBF BDT analysis. The event yields in (a) are shown after the pre-selection and the additional requirements applied before the BDT classification (see text). The event yields in (b) are given in bins in O_{BDT} after the classification [61].

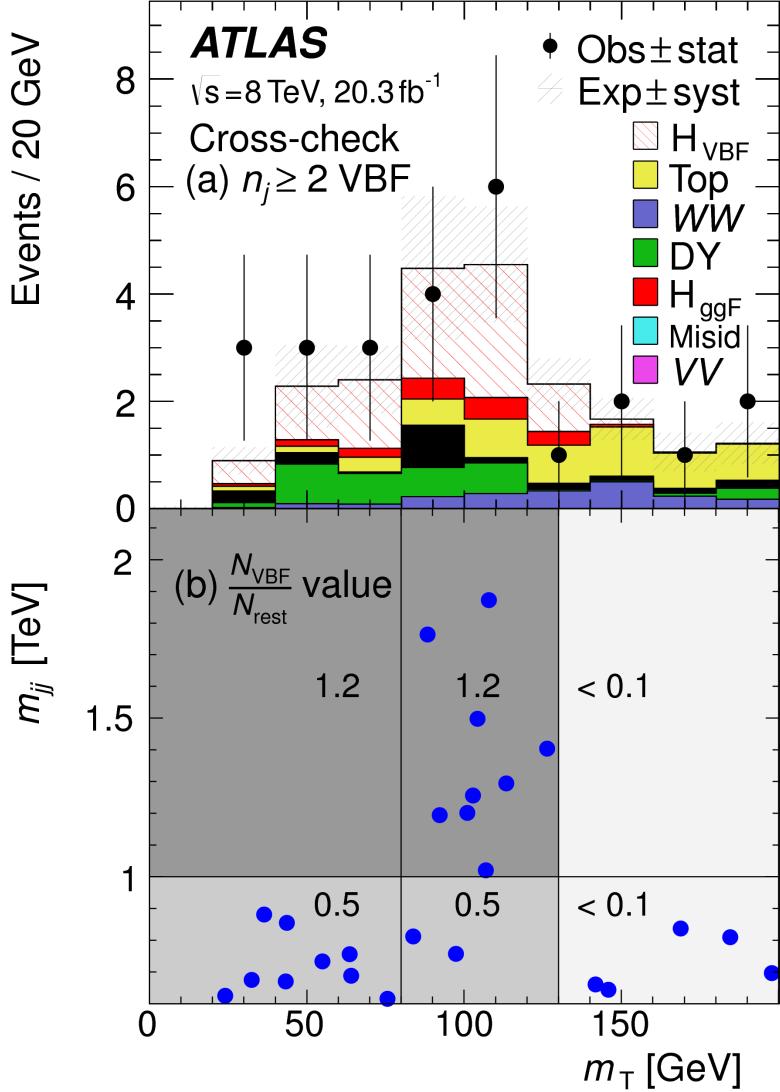


Figure 5.16: Post-fit distributions in the cut-based VBF analysis. Panel (a) shows the one-dimensional m_T distribution, while (b) shows the data candidates split into the bins of m_T and m_{jj} used in the final fit [61].

signal candidates lower m_{jj} region due to its ability to recognize correlations with other variables.
 While the context of these results in the broader $H \rightarrow WW^*$ statistical analysis will be presented in the next chapter, the significance of the VBF observation can be shown here. In the BDT analysis, the expected signal significance was 2.7σ , while the observed significance was 3.1σ . In the cut-based analysis, the expected significance was 2.1σ and the observed significance was 3.0σ . The compatibility between these two results can be evaluated by computing the probability of observing a larger difference

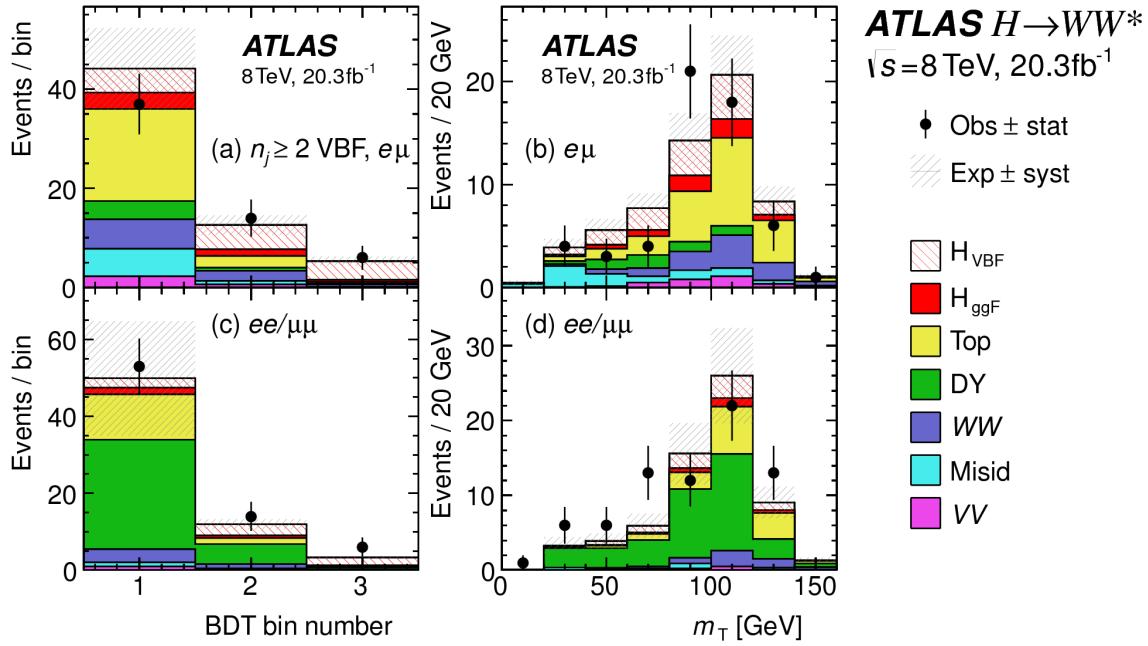


Figure 5.17: Postfit distributions in the BDT VBF analysis [61].

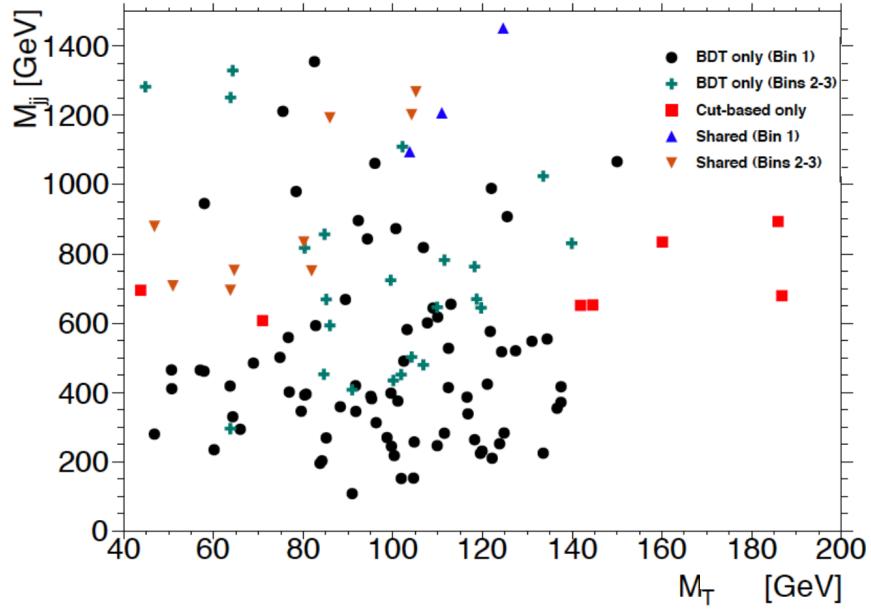


Figure 5.18: Overlap between cut-based and BDT VBF signal region candidates in the m_{jj} - m_T plane.

2105 in Z_0 values than the one measured. Using toy Monte Carlo with the ggF signal strength fixed to unity
2106 and considering only statistical uncertainties, this probability is computed to be 79%, indicating good
2107 agreement between the analyses. This result represents the first observation of the vector boson fusion
2108 production of a Higgs boson.

*The feeling is less like an ending than just another
starting point.*

Chuck Palahniuk

6

2109

2110

Combined Run I $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$

2111

results

2112 6.1 INTRODUCTION

2113 In the final statistical analysis of $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$, the dedicated gluon-gluon fusion and vector
2114 boson fusion sensitive signal regions are all combined into a single fit to determine the main parameters
2115 of interest, the Higgs signal strength μ and mass m_H . Therefore, while the specific requirements applied
2116 for the VBF sensitive analysis are discussed in chapter 5, the final measurement of these parameters can
2117 only be discussed in combination with the results of the ggF dedicated analysis. For example, because
2118 ggF Higgs production is considered a background in the VBF analysis, the ggF dedicated signal regions
2119 can actually constrain the normalization of this background in the VBF dedicated region.

2120 This chapter presents the combined interpretation of results in the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ analysis

SR category i				Fit var.	
n_j , flavor	$\otimes m_{\ell\ell}$	$\otimes p_T^{\ell 2}$	$\otimes \ell_2$		
$n_j = 0$	$e\mu$	$\otimes [10, 30, 55]$	$\otimes [10, 15, 20, \infty]$	$\otimes [e, \mu]$	m_T
	$ee/\mu\mu$	$\otimes [12, 55]$	$\otimes [10, \infty]$		m_T
$n_j = 1$	$e\mu$	$\otimes [10, 30, 55]$	$\otimes [10, 15, 20, \infty]$	$\otimes [e, \mu]$	m_T
	$ee/\mu\mu$	$\otimes [12, 55]$	$\otimes [10, \infty]$		m_T
$n_j \geq 2$ ggF	$e\mu$	$\otimes [10, 55]$	$\otimes [10, \infty]$		m_T
$n_j \geq 2$ VBF	$e\mu$	$\otimes [10, 50]$	$\otimes [10, \infty]$		O_{BDT}
	$ee/\mu\mu$	$\otimes [12, 50]$	$\otimes [10, \infty]$		O_{BDT}

Table 6.1: All signal regions definitions input into final statistical fit [61].

for gluon fusion and vector boson fusion Higgs production. First, the results of the dedicated gluon fusion search are presented. Then, a comparison of the individual production mode signal strengths (μ_{ggF} and μ_{VBF} and a measurement of the combined signal strength (μ) are shown. Subsequently, the measured values of the Higgs couplings to fermions and vector bosons is presented. Finally, the cross section measurement for ggF and VBF production are shown.

6.2 RESULTS OF DEDICATION GLUON FUSION $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ SEARCH

The details of the dedicated gluon fusion $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ search are not discussed in this thesis and instead left to more comprehensive sources [61]. However, a brief summary of the results are essential for describing the results of the full analysis and interpreting the results of the dedicated VBF search in this broader context.

Table 6.1 shows the individual signal regions that were input into the final statistical fit. The ggF dedicated bins use m_T as their discriminating variable and are separated into bins of p_T of the subleading lepton as well. The VBF dedicated bin uses the O_{BDT} distribution as its final discriminant.

Table 6.2 shows the yields in the various signal regions in both data and expected signal and back-

2135 grounds. The yields for signal and background are all scaled according to the final normalizations calcu-
2136 lated in the fit.

	N_{obs}	N_{bkg}	N_{ggF}	N_{VBF}
$n_j = 0$	3750	3430 ± 90	300 ± 50	8 ± 4
$n_j = 1$	1596	1470 ± 40	102 ± 26	17 ± 5
$n_j \geq 2, \text{ggF } e\mu$	1017	960 ± 40	37 ± 11	13 ± 1.4
$n_j \geq 2, \text{VBF}$	130	99 ± 9	7.7 ± 2.6	21 ± 3

Table 6.2: Post-fit yields in the different ggF and VBF dedicated signal regions [61].

2137 Figure 6.1 shows the final post-fit m_T distribution in the $n_j \leq 1$ regions. The data are very consistent with the hypothesis of ggF Higgs production. These yields are used as input, along with the VBF results

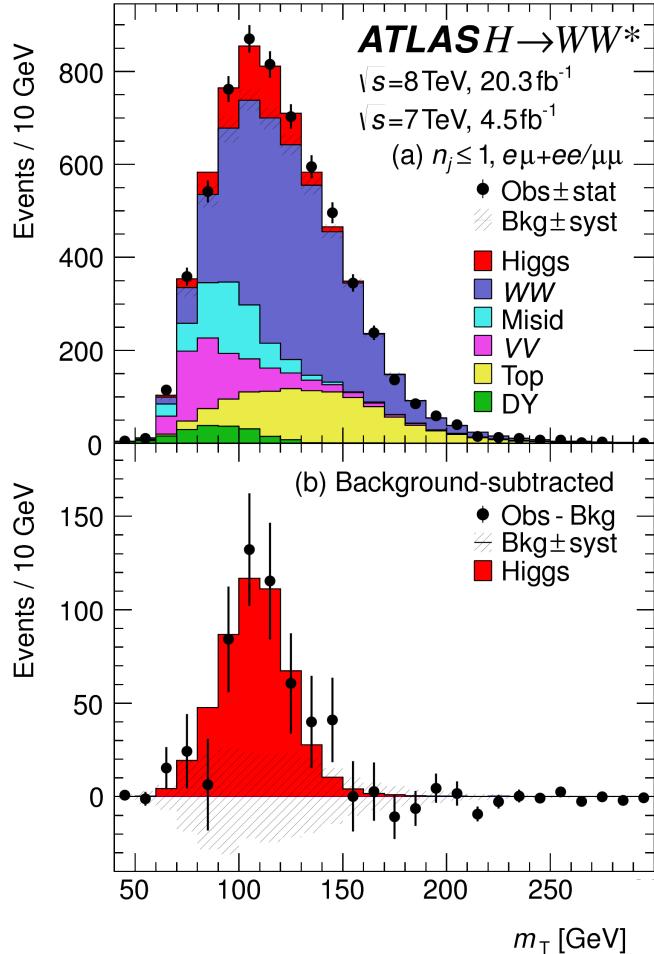


Figure 6.1: Post-fit m_T distribution in the $n_j \leq 1$ regions [61].

2138

2139 in chapter 5, for the physical interpretation of results presented in subsequent sections.

2140 **6.3 SIGNAL STRENGTH MEASUREMENTS IN ggF AND VBF PRODUCTION**

2141 When all of the signal regions are combined in the fit, there can be a combined measurement of the sig-
 2142 nal strength as well as the individual ggF and VBF signal strengths. The combined signal strength is the
 2143 ratio of the sum of the gluon fusion and VBF cross sections to the theory prediction, or a signal strength
 2144 for the total Higgs production cross section that this analysis is sensitive to. The final measured com-
 2145 bined signal strength μ is measured shown in equation 6.1.

$$\begin{aligned} \mu &= 1.09 \quad {}^{+0.16}_{-0.15} \text{ (stat.)} \quad {}^{+0.08}_{-0.07} \left(\begin{array}{l} \text{expt} \\ \text{syst} \end{array} \right) \quad {}^{+0.15}_{-0.12} \left(\begin{array}{l} \text{theo} \\ \text{syst} \end{array} \right) \quad \pm 0.03 \left(\begin{array}{l} \text{lumi} \\ \text{syst} \end{array} \right) \\ &= 1.09 \quad {}^{+0.16}_{-0.15} \text{ (stat)} \quad {}^{+0.17}_{-0.14} \text{ (syst)} \\ &= 1.09 \quad {}^{+0.23}_{-0.21}. \end{aligned} \tag{6.1}$$

2146 Figure 6.2 gives the best fit signal strength $\hat{\mu}$ as a function of the hypothesized Higgs mass. The value
 2147 at 125.36 GeV corresponds to the μ quoted in equation 6.1. This value of the Higgs mass is used because
 2148 it is the most precise mass measurement from ATLAS, a result of the combined $\gamma\gamma$ and ZZ mass mea-
 2149 surements [89].

2150 As explained in chapter 3, a probability p_0 can be computed using the test statistic q_0 to quantify the
 2151 probability that the background could fluctuate to produce an excess at least as large as the one observed
 2152 in the data. The local p_0 value is shown in figure 6.3 as a function of m_H . The minimum p_0 value is
 2153 at $m_H = 130$ GeV and corresponds to a significance of 6.1σ . The curve is relatively flat and the sig-
 2154 nificance is the same at 125.36 GeV within the quoted precision. The expected significance for a signal
 2155 with strength $\mu = 1.0$ is 5.8σ . This represents the first discovery level significance measurement in the
 2156 $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ analysis.

2157 All the results presented so far in this section have been for the combined gluon fusion and VBF pro-
 2158 duction modes. However, each signal strength can be calculated separately in the likelihood as well.
 2159 There are two ways to do this. First, the likelihood can be parameterized in terms of a single parameter,

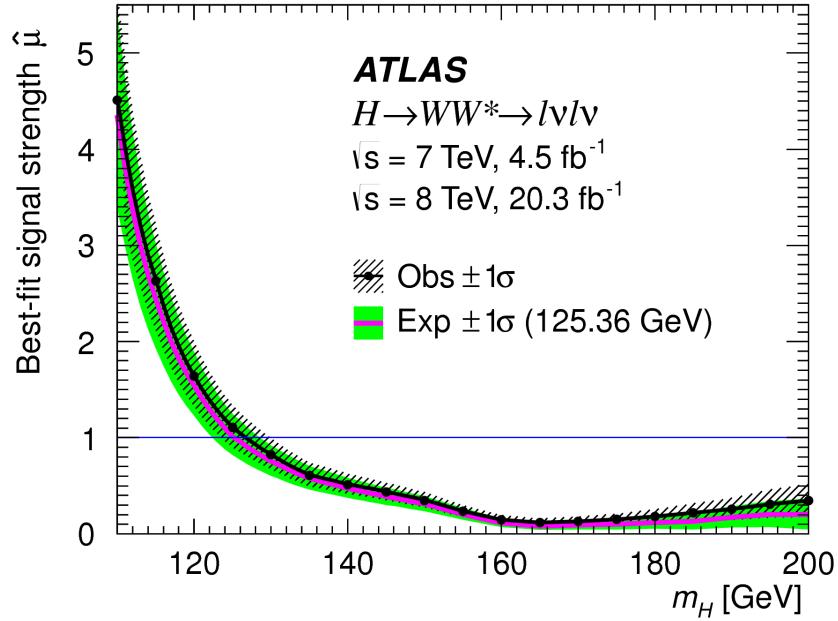


Figure 6.2: Best fit signal strength $\hat{\mu}$ as a function of hypothesized m_H [61].

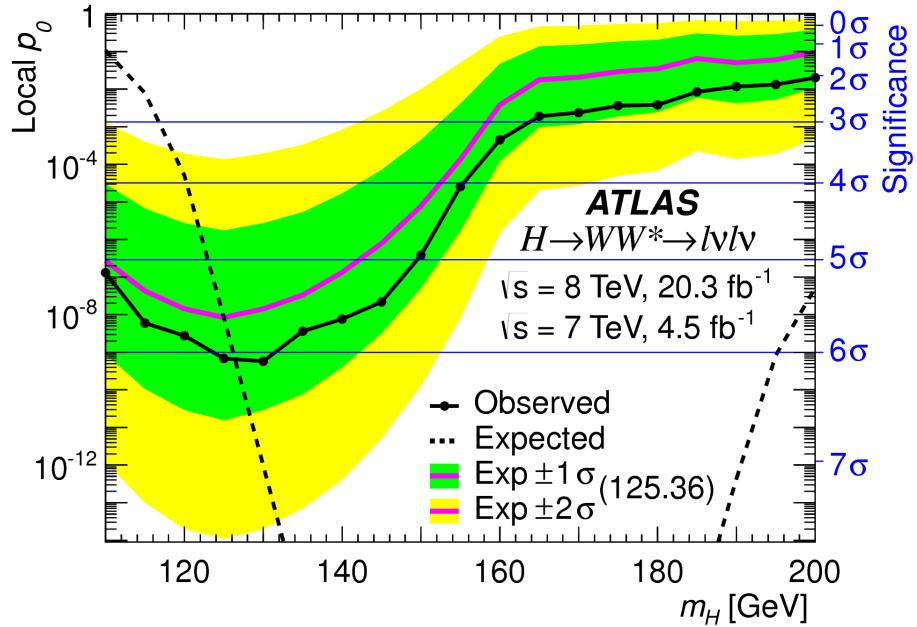


Figure 6.3: Local p_0 as a function of m_H [61].

2160 the ratio of the VBF and gluon fusion signal strengths. With this method, the significance of the VBF
2161 observation can be evaluated. Figure 6.4 shows the likelihood as a function of the ratio $\mu_{\text{VBF}}/\mu_{\text{ggF}}$.

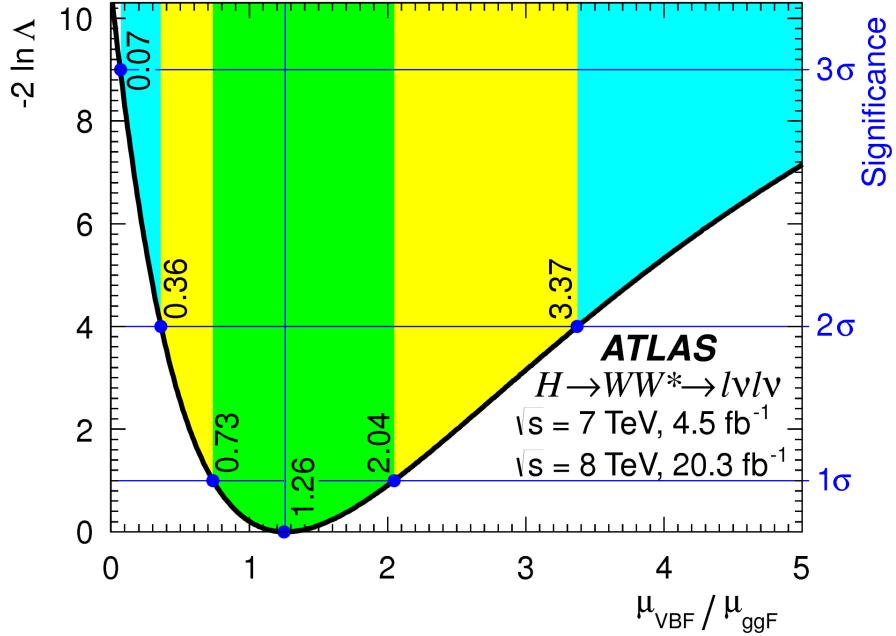


Figure 6.4: Likelihood as a function of $\mu_{\text{VBF}} / \mu_{\text{ggF}}$ [61].

2162 The best fit value of the ratio of signal strengths is shown in equation 6.2. Within the quoted uncer-
 2163 tainties, it is consistent with a ratio of unity.

$$\frac{\mu_{\text{VBF}}}{\mu_{\text{ggF}}} = 1.26^{+0.61}_{-0.45} (\text{stat.})^{+0.50}_{-0.26} (\text{syst.}) = 1.26^{+0.79}_{-0.53} \quad (6.2)$$

2164 The null hypothesis for VBF production corresponds to a ratio of $\mu_{\text{VBF}} / \mu_{\text{ggF}} = 0$. The likelihood in
 2165 figure 6.4 gives a significance of 3.2σ at $\mu_{\text{VBF}} / \mu_{\text{ggF}} = 0$, as quoted in chapter 5.

2166 In addition to the ratio of signal strengths, each signal strength can be varied independently in the
 2167 likelihood as well. Figure 6.5 shows the two dimensional likelihood scan in the $\mu_{\text{ggF}}-\mu_{\text{VBF}}$ plane. The
 2168 best fit values of the two signal strengths are shown in equation 6.3. Both are consistent with unity
 2169 within their uncertainties.

$$\begin{aligned} \mu_{\text{ggF}} &= 1.02 \pm 0.19^{+0.22}_{-0.18} = 1.02^{+0.29}_{-0.26} \\ \mu_{\text{VBF}} &= 1.27 \pm 0.40^{+0.44}_{-0.21} = 1.27^{+0.53}_{-0.45}. \end{aligned} \quad (6.3)$$

(stat.) (syst.)

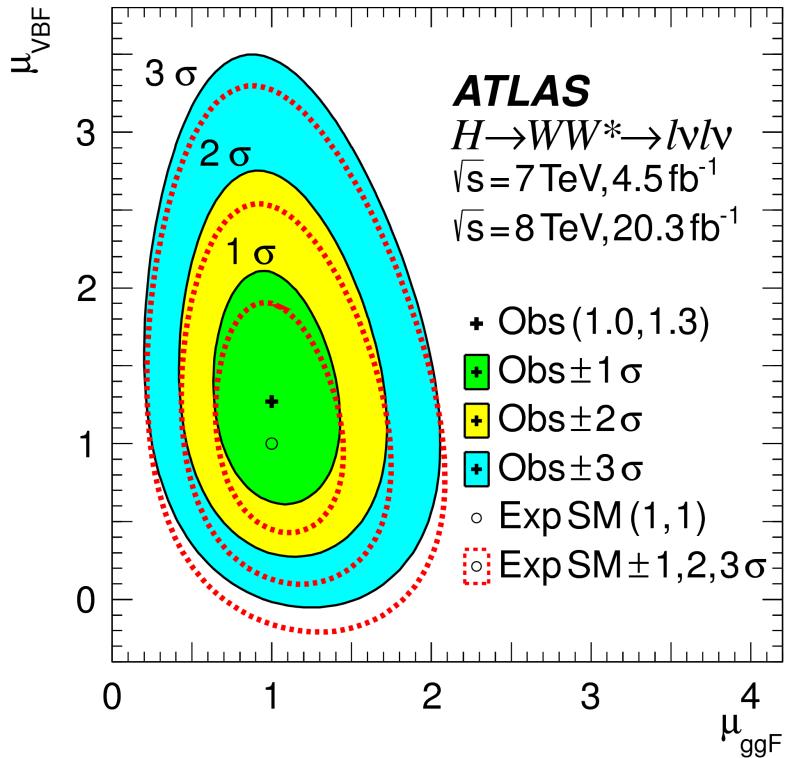


Figure 6.5: Likelihood scan as a function of μ_{VBF} and μ_{ggF} [61].

2170 6.4 MEASUREMENT OF HIGGS COUPLINGS TO VECTOR BOSONS AND FERMIONS

2171 Similar to the parameterization of signal strength, the couplings of the Higgs to fermions and bosons can
 2172 also be parameterized. The parameter of interest in this case is κ , or the ratio of the measured coupling
 2173 to the standard model expectation. Both the fermion and boson couplings have these so-called scale fac-
 2174 tors, κ_F for fermions and κ_V for bosons. Gluon fusion production is sensitive to the fermion couplings
 2175 through the top quark loops in its production, while VBF production is sensitive to the vector boson
 2176 couplings in its production. Both modes are sensitive to the vector boson couplings in their decays. The
 2177 signal strengths will have dependence on the coupling scale factors as described in equation 6.4 [18].

$$\begin{aligned} \mu_{\text{ggF}} &\propto \frac{\kappa_F^2 \cdot \kappa_V^2}{(\mathcal{B}_{H \rightarrow f\bar{f}} + \mathcal{B}_{H \rightarrow gg}) \kappa_F^2 + (\mathcal{B}_{H \rightarrow VV}) \kappa_V^2} \\ \mu_{\text{VBF}} &\propto \frac{\kappa_V^4}{(\mathcal{B}_{H \rightarrow f\bar{f}} + \mathcal{B}_{H \rightarrow gg}) \kappa_F^2 + (\mathcal{B}_{H \rightarrow VV}) \kappa_V^2}. \end{aligned} \quad (6.4)$$

²¹⁷⁸ Figure 6.6 shows the two-dimensional likelihood scan of κ_F and κ_V . The best-fit values are given in
²¹⁷⁹ equation 6.5. The best-fit values are consistent with unity within their uncertainties.

$$\begin{aligned} \kappa_F &= 0.93 & +0.24 & +0.21 & = 0.93 & +0.32 \\ & & -0.18 & -0.14 & & -0.23 \\ \kappa_V &= 1.04 & +0.07 & +0.07 & = 1.04 & \pm 0.11. \\ & & (stat.) & (syst.) & & \end{aligned} \quad (6.5)$$

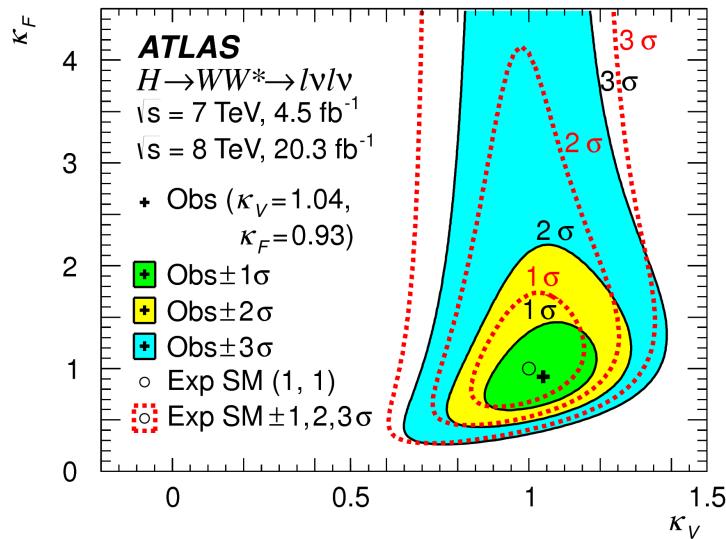


Figure 6.6: Likelihood scan as a function of κ_F and κ_V [61].

²¹⁸⁰

²¹⁸¹ 6.5 HIGGS PRODUCTION CROSS SECTION MEASUREMENT

²¹⁸² Another measurement that comes naturally from the signal strength numbers quoted earlier is the pro-
²¹⁸³ duction cross section and 7 and 8 TeV for both gluon fusion and VBF production. The general equa-
²¹⁸⁴ tion for calculating the cross section is given in equation 6.6.

$$\begin{aligned} (\sigma \cdot \mathcal{B}_{H \rightarrow WW^*})_{\text{obs}} &= \frac{(N_{\text{sig}})_{\text{obs}}}{\mathcal{A} \cdot \mathcal{C} \cdot \mathcal{B}_{WW \rightarrow l\nu l\nu}} \cdot \frac{1}{\int L dt} \\ &= \hat{\mu} \cdot (\sigma \cdot \mathcal{B}_{H \rightarrow WW^*})_{\text{exp}} \end{aligned} \quad (6.6)$$

2185 $(N_{\text{sig}})_{\text{obs}}$ is the number of events observed in data. \mathcal{A} is the geometric and kinematic acceptance of the
 2186 detector, while \mathcal{C} is the efficiency of the signal region selection for events that are reconstructed in the
 2187 detector. The branching ratio of a WW system to leptons must also be divided out. The production
 2188 cross section depends on the center of mass energy and the production mode desired (gluon fusion or
 2189 VBF), and so three separate cross section measurements are quoted in equation 6.7.

$$\begin{aligned}
 \sigma_{\text{ggf}}^{\text{7TeV}} \cdot \mathcal{B}_{H \rightarrow WW^*} &= 2.0 \pm 1.7 \begin{array}{l} +1.2 \\ -1.1 \end{array} = 2.0 \begin{array}{l} +2.1 \\ -2.0 \end{array} \text{ pb} \\
 \sigma_{\text{ggf}}^{\text{8TeV}} \cdot \mathcal{B}_{H \rightarrow WW^*} &= 4.6 \pm 0.9 \begin{array}{l} +0.8 \\ -0.7 \end{array} = 4.6 \begin{array}{l} +1.2 \\ -1.1 \end{array} \text{ pb} \\
 \sigma_{\text{vbf}}^{\text{8TeV}} \cdot \mathcal{B}_{H \rightarrow WW^*} &= 0.51 \begin{array}{l} +0.17 \\ -0.15 \end{array} \begin{array}{l} +0.13 \\ -0.08 \end{array} = 0.51 \begin{array}{l} +0.22 \\ -0.17 \end{array} \text{ pb.}
 \end{aligned} \tag{6.7}$$

(stat.) (syst.)

2190 The predicted cross section values for gluon fusion are 3.3 ± 0.4 pb at 7 TeV and 4.2 ± 0.5 pb at 8 TeV,
 2191 consistent with the measured values within their uncertainties. For vector boson fusion, the predicted
 2192 cross section is 0.35 ± 0.02 pb, again consistent with the measured value.

2193 6.6 CONCLUSION

2194 The combined analysis of the gluon fusion and vector boson fusion processes in $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$
 2195 in the 7 and 8 TeV datasets has yielded the first discovery level significance for Higgs production in this
 2196 decay channel. Additionally, precise measurements of the couplings to vector bosons and fermions are
 2197 given. Finally, signal strengths and cross sections for each production mode are measured. Figure 6.7
 2198 shows the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ measurements in comparison with other Higgs decay channels in
 2199 ATLAS. The measurement of signal strength from this channel remains the most sensitive in both the
 2200 gluon fusion and VBF production modes for the Run 1 dataset.

ATLAS

Individual analysis

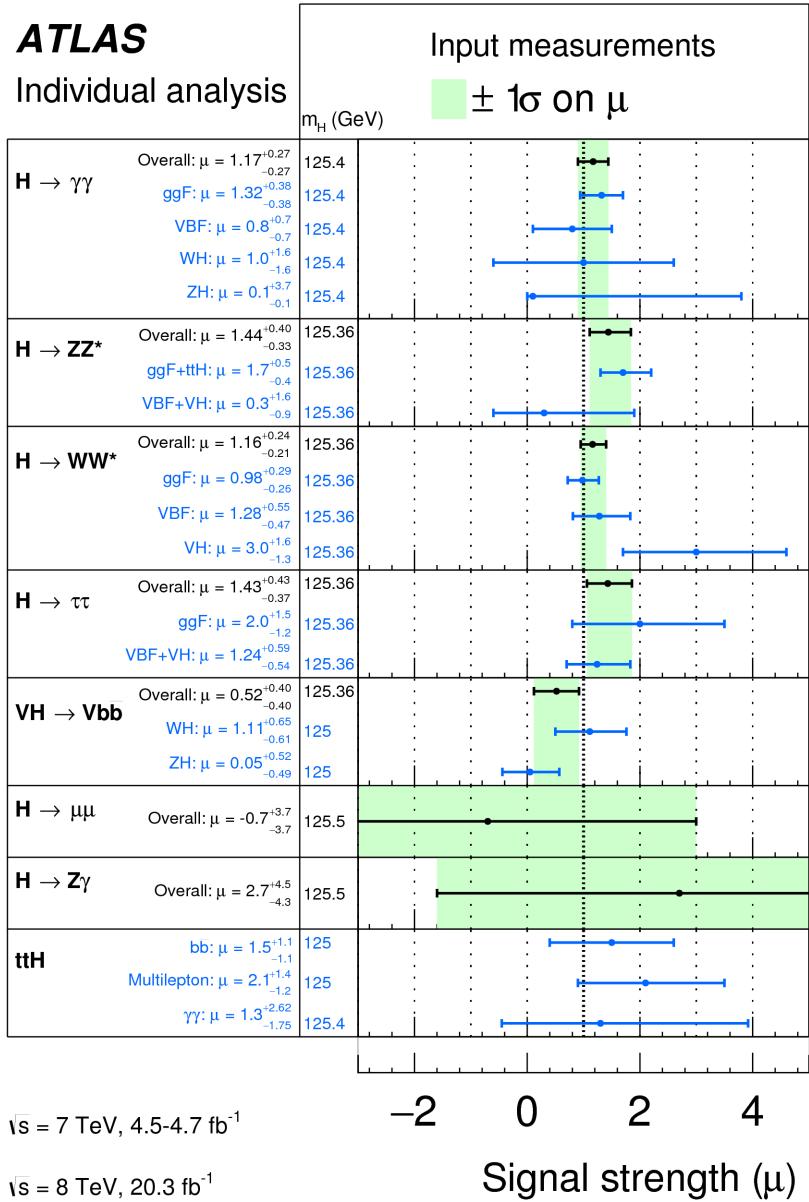


Figure 6.7: Comparison of signal strength measurements in different Higgs decay channels on ATLAS [90].

2201

Part III

2202

Search for Higgs pair production in the

2203

$HH \rightarrow b\bar{b}b\bar{b}$ channel in LHC Run 2 at $\sqrt{s} =$

2204

13 TeV

Passion is in all great searches and is necessary to all creative endeavors.

W. Eugene Smith

7

2205

2206 Search for Higgs pair production in boosted 2207 $b\bar{b}b\bar{b}$ final states

2208 7.1 INTRODUCTION

2209 After the discovery of the Higgs boson in the ATLAS Run 1 dataset and the subsequent measurements
2210 of its properties, the Higgs transformed into a potential tool in searches for physics beyond the Stan-
2211 dard Model. The pair production cross section of the Higgs can be enhanced through BSM physics.
2212 Studying di-Higgs production also probes the Higgs self-coupling, shedding light on the structure
2213 of the Higgs potential. This chapter presents a search for resonant production of a Higgs pair in the
2214 $X \rightarrow HH \rightarrow b\bar{b}b\bar{b}$ final state in 3.2 fb^{-1} of data collected at $\sqrt{s} = 13 \text{ TeV}$. In particular, this
2215 chapter focuses on a search for this final state in the regime where m_X is large ($\gtrsim 1 \text{ TeV}$) and the Higgs
2216 bosons in the decay are significantly boosted. A tailored selection for this boosted selection, using novel

techniques in jet substructure and b -tagging, is discussed. Then, the data-driven background estimate is presented. Finally, the results of the search are shown. The signal models used as benchmarks are a spin-2 Randall Sundrum graviton (RSG) and a narrow width spin-0 resonance. These models are described in more detail in Chapter 1. Limits on signal models are reserved for the next chapter where the results of this chapter are combined with the results of a separate selection dedicated to the lower m_X regime.

7.2 MOTIVATION

With the center of mass energy increase from $\sqrt{s} = 8 \text{ TeV}$ to $\sqrt{s} = 13 \text{ TeV}$, the LHC and ATLAS are able to probe new resonances at higher mass scales than previously accessible in Run 1. This is a powerful motivator for searching for a new resonance in the early 13 TeV data. Figure 7.1 shows the ratios of parton luminosities between 8 and 13 TeV for different resonance masses. For a resonance of $M_X = 2 \text{ TeV}$, the cross section at $\sqrt{s} = 13 \text{ TeV}$ is roughly a factor of 10 larger than at $\sqrt{s} = 8 \text{ TeV}$.

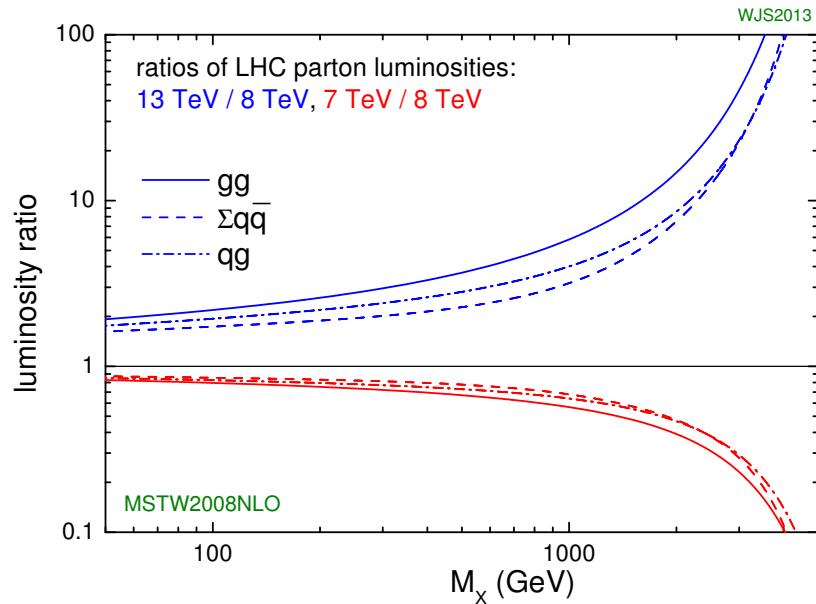


Figure 7.1: Parton luminosity ratios as a function of resonance mass M_X for 13/8 TeV and 7/8 TeV [91].

Higgs pair production offers a vast array of unprobed regions of phase space where searches for BSM physics can be made. Chapter 1 discusses some possibilities for both resonant and non-resonant enhance-

ment of the di-Higgs production cross section. Given the increased mass reach of the LHC in Run 2, it is particularly important to focus on resonant searches at high m_X . One consideration when conducting a search in the HH final state is which decay modes of the Higgs to consider. Figure 7.2 shows the branching ratio of the HH final state for different combinations of decays of each individual Higgs. As the largest branching ratio for the 125 GeV Higgs is $H \rightarrow b\bar{b}$, the $HH \rightarrow b\bar{b}b\bar{b}$ branching ratio is also the largest at 33%.

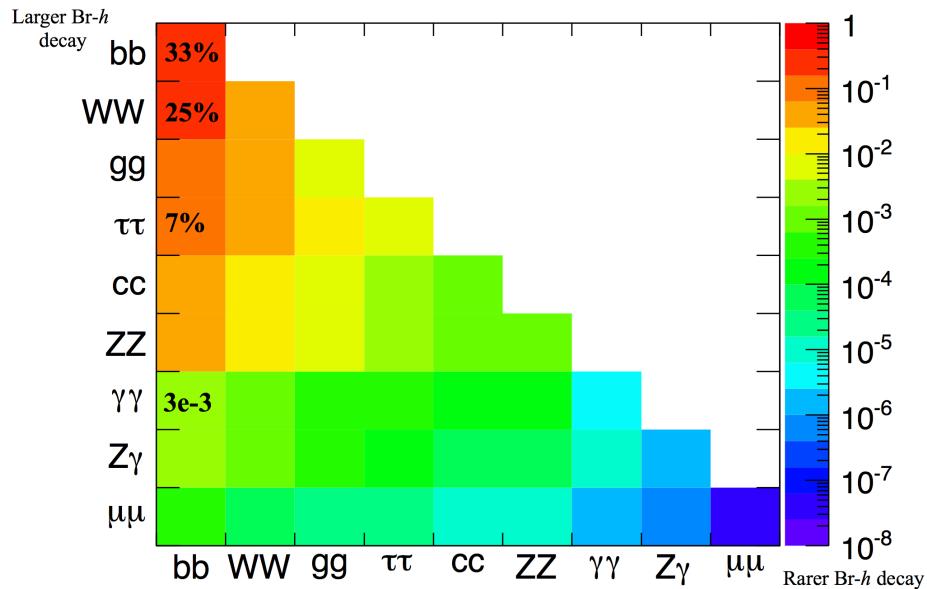


Figure 7.2: Summary of HH branching ratios [92].

At high m_X , the Higgs bosons resulting from the decay of a heavy resonance will have large p_T^* . The ΔR between the decay products of the Higgs is inversely proportional to the Higgs p_T , as shown in equation 7.1.

$$\Delta R \approx \frac{2m}{p_T} \quad (7.1)$$

Figure 7.3 shows the minimum ΔR between truth level B decay vertices in simulation samples for Randall-Sundrum gravitons of different masses. The figure shows that as the mass of the graviton increases, the ΔR distribution between the b quarks in the Higgs decay tends to shift to lower values. Because of this effect, it is necessary to tailor a selection to target these merged b -jets.

*In the limit that $m_H \ell \ell m_X$, the Higgs p_T is roughly $m_X/2$.

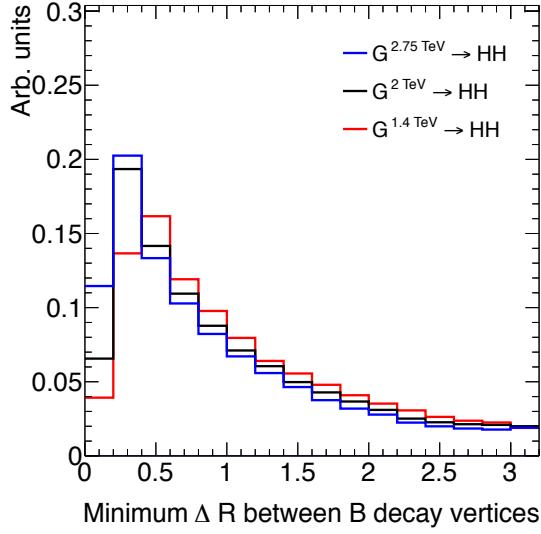


Figure 7.3: Minimum ΔR between B decay vertices for different RSG masses in a $G_{KK}^* \rightarrow HH \rightarrow 4b$ sample with $c = 1$

2243 7.3 DATA AND SIMULATION SAMPLES

2244 7.3.1 SIGNAL MODELS

2245 While the resonance search is by its nature generic (as it is a simple search for a peak in the $4b$ invariant
 2246 mass spectrum), there are two signal models that the selection requirements have been optimized for.
 2247 The first is Randall-Sundrum (RSG) model, where a tower of massive spin-2 Kaluza-Klein gravitons
 2248 is predicted. The second is a heavy narrow spin-0 resonance, the so-called “heavy Higgs”. This type of
 2249 resonance arises, for example, in the two Higgs doublet model (2HDM). More details about the physics
 2250 of these models and their motivation is given in chapter 1.

2251 Signal graviton (G_{KK}^*) events are generated at leading order (LO) with **MADGRAPH5 v2.2.2** [93].
 2252 The PDF set used is the **NNPDF2.3 LO** set [94]. For modeling parton shower and hadronization in jets,
 2253 **PYTHIA 8.186** is used with the A14 tune [73, 95]. The free parameters in the RSG model are the graviton mass and the coupling constant $c \equiv k/\bar{M}_{\text{Pl}}$ [†]. Both the production cross section and width of the graviton are proportional to c^2 . Samples are generated at both $c = 1$ and $c = 2$ for a variety of mass

[†] k is the curvature constant for the warped extra dimension and \bar{M}_{Pl} is the Planck mass divided by 8π

2256 points between 300 GeV and 3 TeV.

2257 The second signal sample is a heavy spin-0 resonance H with a fixed width of $\Gamma_H = 1$ GeV. This
2258 is generated with **MADGRAPH5** and uses the **CT10** PDF set [76]. The parton shower and hadronization
2259 are handled by **HERWIG ++** with the **CTEQ6L1** PDF set and the **UEEE5** event tune [77, 96, 97]. Because
2260 the width and branching ratios depend on 2HDM parameters, each mass point generated with this fixed
2261 width corresponds to a different point in the 2HDM parameter phase space. Mass points are generated
2262 between 300 GeV and 1 TeV as with the RSG signal samples.

2263 7.3.2 BACKGROUND SAMPLES

2264 While the dominant **QCD** multijet background is estimated with a fully data-driven method, the sub-
2265 dominant backgrounds $t\bar{t}$ and Z +jets are modeled with some input from simulation.

2266 $t\bar{t}$ events are simulated at next-to-leading order (NLO) with the **POWHEG-BOX** version 1 generator us-
2267 ing the **CT10** PDF set [98]. The parton shower, hadronization, and underlying event are simulated with
2268 **PYTHIA 6.428** with the **CTEQ6L1** PDF set [72]. The Perugia 2012 tune is used [99]. NNLO QCD cor-
2269 rections to the cross sections are computed in **Top++ 2.0** [100]. The top quark mass is set to 172.5 GeV.
2270 The shapes of distributions in $t\bar{t}$ are taken from MC while the normalization is taken from data.

2271 Finally, the Z +jets background is simulated with **PYTHIA 8.186** and the **NNPDF2.3** LO PDF set. This
2272 background is negligible compared to the others and is taken fully from MC.

2273 7.3.3 DATA SAMPLE AND TRIGGER

2274 This analysis is done on 3.2 fb^{-1} of data taken in 2015 at $\sqrt{s} = 13$ TeV. The details of the machine
2275 conditions during this time can be found in Chapter 2. Only data which was taken during stable beam
2276 conditions with all detectors functioning is used. Events must pass a trigger which requires a single
2277 360 GeV large radius ($R = 1.0$) jet to be reconstructed in the HLT. Figure 7.4 shows the trigger effi-
2278 ciency for various trigger options as a function of graviton mass. Above $m_G > 1$ TeV, the single large
2279 radius jet trigger is 99% efficient for events passing the signal selection.

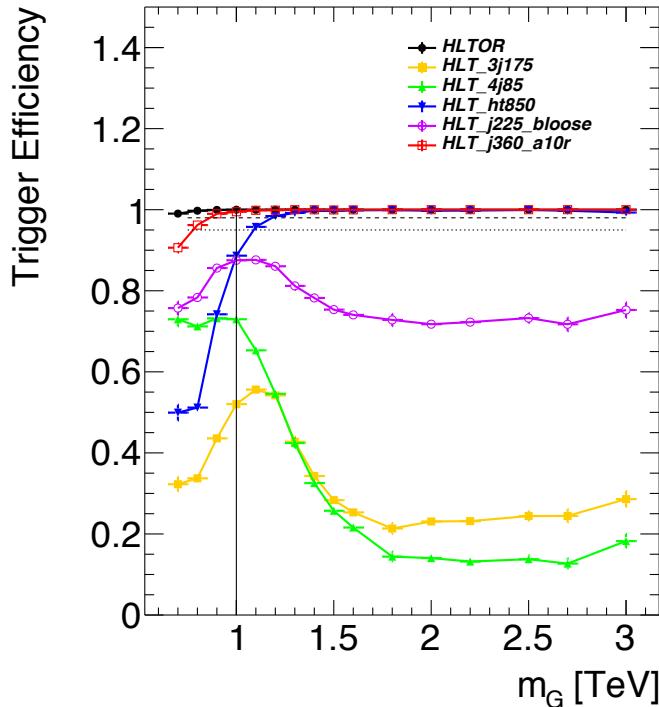


Figure 7.4: Trigger efficiency for events passing all signal region selections as a function of mass in $G_{KK}^* \rightarrow HH \rightarrow 4b$ samples with $c = 1$ [101]. In the trigger names, “j” refers to a jet or jets. “ht” refers to H_T , the scalar sum of transverse momenta in the event. “bloose” refers to a loose b -tagging requirement applied to the jet. “a10r” refers to anti- k_T jets with $R = 1.0$. The numbers at the end are the thresholds on the given quantity in GeV.

2280 7.4 EVENT RECONSTRUCTION AND OBJECT SELECTION

2281 The boosted selection first begins by defining a unique set of objects that can be exploited to increase
 2282 signal efficiency in the kinematic regime where the final state b -jets are very merged.

2283 7.4.1 LARGE RADIUS ($R = 1.0$) JETS

2284 The first step towards reconstructing the final state is to define objects that can be used to measure the
 2285 kinematics of the Higgs bosons. In the boosted selection anti- k_T jets with a radius parameter of 1.0
 2286 are used. These jets are much larger in angular size than the typical $R = 0.4$ jets and are intended to
 2287 encompass both jets resulting from the Higgs decay[‡]. The jets are built from clusters in the calorimeter

[‡]This is in contrast to the resolved selection, which uses two $R = 0.4$ anti- k_T jets for each Higgs

2288 calibrated with local calibration weighting [56].

2289 Because of the large extent of these jets, great care must be taken to remove potential contributions of
2290 calorimeter clusters from pile-up. This is done using a technique called jet trimming [102]. With trim-
2291 ming, the constituents of the large radius jet are re-clustered with a smaller radius with the k_T algorithm.
2292 Then, these so-called subjets are removed from the larger jet if $p_T^{\text{subjet}}/p_T^{\text{jet}} < f_{\text{cut}}$. In this analysis, the
2293 subjet radius is $R = 0.2$ and $f_{\text{cut}} = 0.05$. Trimming has been shown to improve the mass resolution
2294 of large radius jets. Figure 7.5 shows the effect of trimming on the large radius jet mass (M_J). Because
2295 the large radius jet fully contains the higgs decay products, its invariant mass should correspond to the
2296 125 GeV mass of the Higgs. The trimming algorithm brings the jet mass much closer to the expected
2297 Higgs mass and improves the mass resolution.

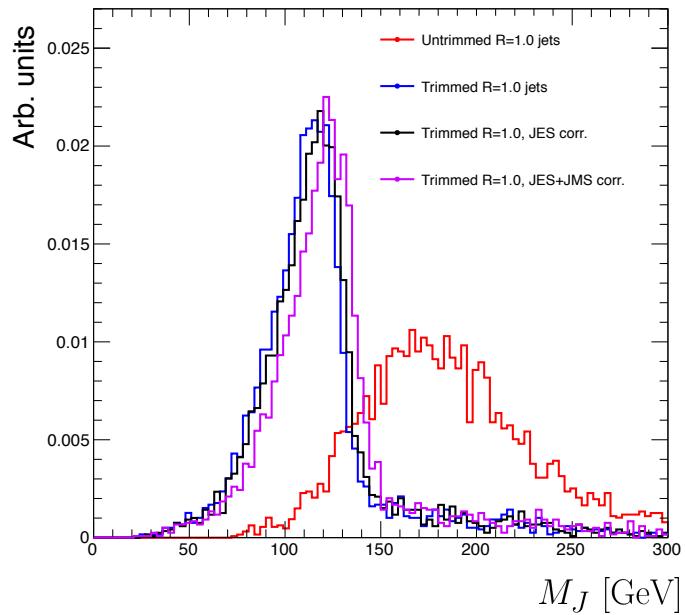


Figure 7.5: Comparison of untrimmed and trimmed jet masses for large radius jets in a RSG sample with $m_{G_{KK}^*} = 1 \text{ TeV}$. JES (JMS) refers to the standard jet energy (mass) scale calibration for ATLAS [56].

2298 The large radius jets are required to satisfy $250 < p_T < 1500 \text{ GeV}$. They must also be within
2299 $|\eta| < 2.0$ in order to ensure that the full jet is within the inner detector tracking volume. Finally, they
2300 are required to have $M_J > 50 \text{ GeV}$. The upper p_T cut and lower threshold on mass are applied to
2301 correspond to the kinematic range where uncertainties are available in ATLAS calibrations [103, 104].

2302 7.4.2 TRACK JETS AND b -TAGGING

2303 Because the b -jets from boosted Higgs decays are so close together (as illustrated in figure 7.3), narrow ra-
2304 dius jets are required to fully resolve both b -jets. The minimum radius feasible for jets based on calorime-
2305 ter deposits is determined by the calorimeter granularity. However, because b -tagging relies on informa-
2306 tion from the inner detector, it is possible to define another type of jet that can have a smaller radius and
2307 better b -tagging resolution. These jets are called “track jets” [104, 105].

2308 Track jets are formed by applying the usual anti- k_T clustering algorithm to tracks that are required
2309 to be consistent with the primary vertex. After the jet axis has been determined using these tracks, a sec-
2310 ond step of track association is also performed to add tracks that can be useful for b -tagging [105]. In this
2311 analysis, the tracks are clustered with a radius parameter of $R = 0.2$. This radius has been shown to
2312 give good performance in boosted Higgs tagging [104, 105]. Figure 7.6 shows a comparison among dif-
2313 ferent track jet radii of the efficiency for reconstructing two b -jets from each Higgs in a RSG sample as a
function of mass. Track jets with radius of 0.2 give the best performance, especially at high mass. In this

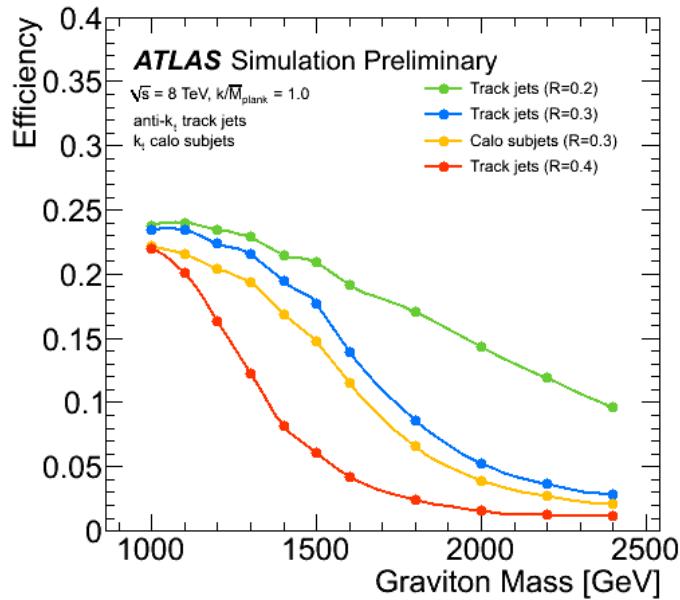


Figure 7.6: Efficiency of finding two b -jets from each Higgs in an RSG event using calorimeter jets with $R = 0.3$ or different track jet radii [105]

2315 analysis, track jets are required to have $p_T > 10 \text{ GeV}$ and $|\eta| < 2.5$. They must also have at least two
2316 tracks.

2317 7.4.3 MUONS

2318 Muons are used in this study to correct the four-momenta of calorimeter jets by accounting for semi-
2319 leptonic b decays. The muons used are combined ID and MS muons which must satisfy tight identifica-
2320 tion requirements [52]. The muons must have $p_T > 4 \text{ GeV}$ and $|\eta| < 2.5$. Table 7.1 summarizes the
2321 object requirements described in this section.

	R	p_T	$ \eta $	M
Calorimeter jets	1.0	$250 < p_T < 1500 \text{ GeV}$	< 2.0	$> 50 \text{ GeV}$
Track jets	0.2	$> 10 \text{ GeV}$	< 2.5	-
Muons	-	4 GeV	< 2.5	-

Table 7.1: Summary of requirements on objects used in the $X \rightarrow HH \rightarrow b\bar{b}b\bar{b}$ search

2322 7.5 EVENT SELECTION

2323 The first requirement in the boosted selection is for ≥ 2 large radius jets satisfying the selections outlined
2324 above. The two highest momentum large-R jets in the event are referred to as “Higgs candidates”. The
2325 leading jet is required to have $p_T > 350 \text{ GeV}$.

2326 Track jets satisfying the object selections are matched to Higgs candidate jets via ghost association [106].
2327 Each Higgs candidate must have at least 2 track jets associated with it. These basic requirements are illus-
2328 trated in figure 7.7

2329 The QCD multijet background produces less central jets than high mass resonances, so there is an ad-
2330 ditional requirement that the two Higgs candidates be close together in η . The large-R jets are required
2331 to satisfy $|\Delta\eta(JJ)| < 1.7$.

2332 The final set of requirements ensures that the Higgs candidates are consistent with expected proper-
2333 ties of the 125.0 GeV Higgs. First, a variable (X_{hh}) is defined to measure the consistency of both of the

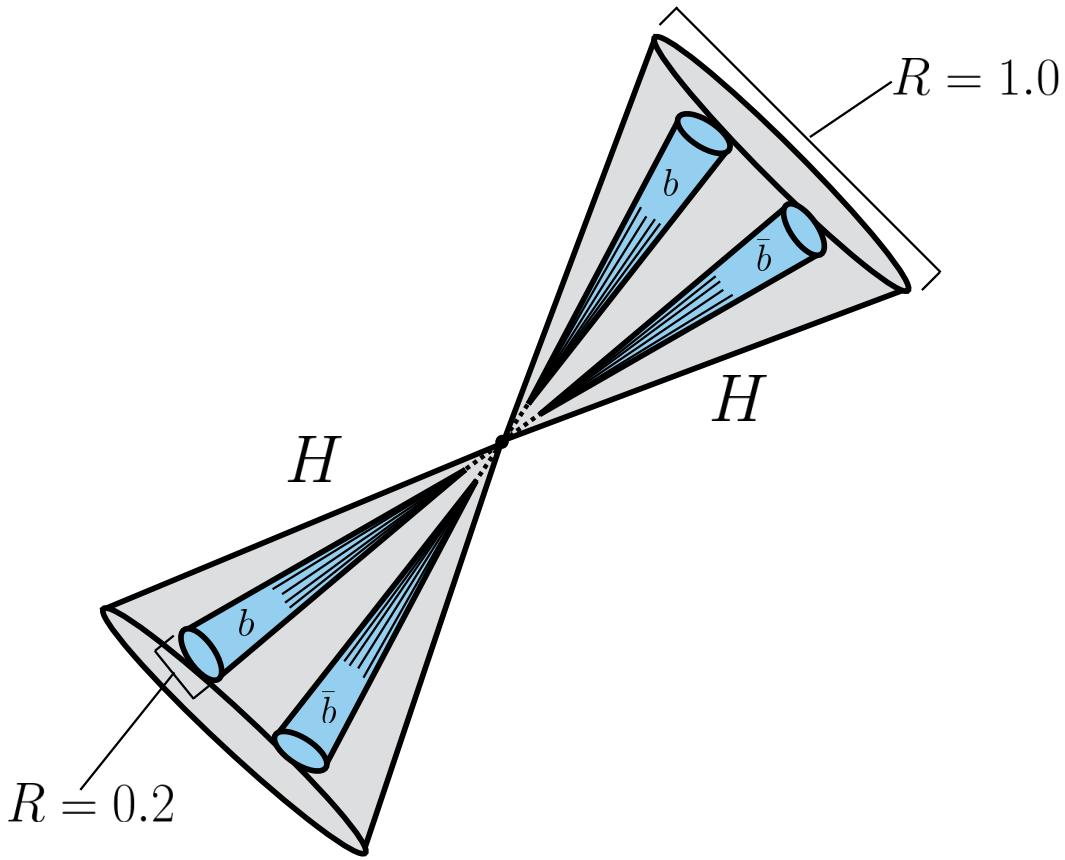


Figure 7.7: Illustration of the boosted selection requirements on Higgs candidates. Each large-radius calorimeter jet (Higgs candidate) must contain two track jets

²³³⁴ Higgs candidate jets with the SM Higgs mass. This is shown in equation 7.2.

$$X_{hh} = \sqrt{\left(\frac{M_J^{\text{lead}} - 124 \text{ GeV}}{0.1 M_J^{\text{lead}}}\right)^2 + \left(\frac{M_J^{\text{sublead}} - 115 \text{ GeV}}{0.1 M_J^{\text{sublead}}}\right)^2} \quad (7.2)$$

²³³⁵ The mass values in the X_{hh} formula are optimized to maximize signal efficiency. The sub-leading jet
²³³⁶ typically has a lower mass due to semi-leptonic b decays and final state radiation. X_{hh} effectively acts as
²³³⁷ a χ^2 measurement of the consistency of the two Higgs candidate masses with the signal hypothesis. The
²³³⁸ denominators of each term ($0.1 M$) give the uncertainty on the mass measurement for the large radius
²³³⁹ jets. Events are required to satisfy $X_{hh} < 1.6$.

²³⁴⁰ The last requirement applied is on the number of b -tagged track jets. There are two signal regions de-

fined. The first requires exactly four b -tagged track jets, two in each Higgs candidate (known as the $4b$ signal region). At high resonance masses, this requirement is inefficient, so an additional signal region requiring only three b -tagged track jets is also defined (known as the $3b$ signal region). While this has a larger background it is also more efficient for high resonance masses. For both signal regions, threshold on MV₂ score is chosen such that the algorithm is 77% efficiency in finding true b -jets[§]. Different working points were tested and this was found to be optimal. Appendix A has more details on this optimization.

Before making the requirement on X_{hh} , the masses of the Higgs candidates are corrected for semi-leptonic b decays using muons with the criteria outlined in the previous section. Any muons within a $\Delta R < 0.2$ of a b -tagged track jet have their four-momenta added to the four-momentum of the Higgs candidate. This correction does not affect the pre-selection requirements but does affect the X_{hh} requirement and the final invariant mass distribution used.

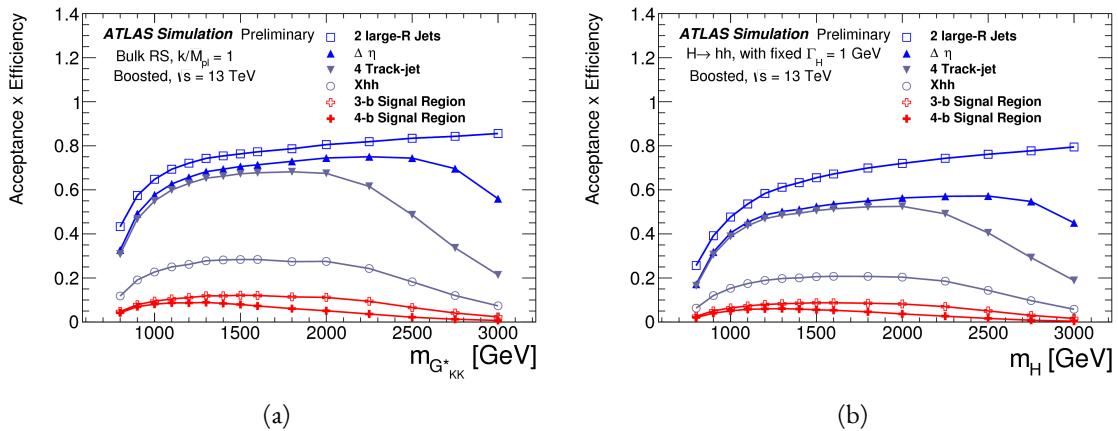


Figure 7.8: Acceptance \times efficiency as a function of mass for (a) RSG and (b) narrow heavy scalar signal models [107].

Figure 7.8 shows the product of acceptance and efficiency as a function of mass for both the RSG and narrow heavy scalar resonance signal models. After $m_X > 1$ TeV, the efficiency of the $4b$ requirement begins to decline. After $m_X > 2$ TeV, the efficiency of requiring two track jets in each Higgs candidate begins to decline as well. Both of these behaviors illustrate the difficulty of resolving the merged decay

[§]The specific MV₂ algorithm chosen is MV_{2c20}, where the fraction of charm events used in the training is 20%

2357 products at high mass. More details on the degradation of the b -tagging efficiency at high masses are
2358 shown in appendix B.

2359 Figure 7.9 shows a more detailed comparison of the signal efficiency in the $3b$ vs $4b$ signal regions for
2360 the RSG model. The efficiencies shown here are relative to all prior selection requirements.

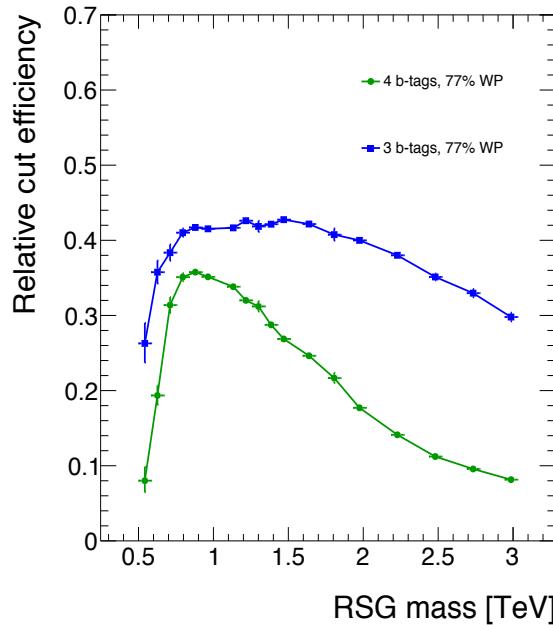


Figure 7.9: Efficiency of requiring 3 or 4 b -tagged track jets vs. RSG mass. The efficiency quoted is relative to the previous selection requirements (rather than an absolute efficiency).

2361 The final discriminating variable used in the boosted analysis is M_{2J} , the invariant mass of the two
2362 Higgs candidates. In order to improve the mass resolution, the four-momenta of each Higgs candidate
2363 are scaled by m_h/M_J . The effect of this correction is small in the boosted analysis but is done for consistency
2364 with the resolved selection.

2365 Table 7.2 shows the effect of the selection requirements on signal and background simulations as well
2366 as data.

2367 7.6 DATA-DRIVEN BACKGROUND ESTIMATION

2368 The largest background to this final state is QCD multijet production, constituting 80-90% of the total
2369 background. Because of the difficulties in modeling higher order QCD processes, this background is

Selection	Data	$m_{G_{KK}^*} = 1\text{TeV}$	$m_{G_{KK}^*} = 2\text{TeV}$	$t\bar{t}$	$Z + \text{jets}$
$N(\text{fiducial large-R jets}) \geq 2$	2202396	23.3	0.48	32345.2	4255.7
leading large-R jet $p_T > 350\text{ GeV}$	1873741	22.9	0.48	26511.7	3649.9
Both large-R jet $m > 50\text{ GeV}$	1854625	21.2	0.47	24369.8	3575.8
Both large-R jet $p_T < 1500\text{ GeV}$	1853601	21.2	0.46	24346.5	3572.9
$ \Delta\eta(JJ) < 1.7$	1435273	20.8	0.44	20751.0	3265.8
≥ 2 track-jets per large-R jet	1224727	19.8	0.40	18234.5	2692.6
$3 b\text{-tags}, X_{hh} < 1.6$	316	3.4	0.067	46.7	2.0
$4 b\text{-tags}, X_{hh} < 1.6$	20	2.9	0.030	1.4	0.0

Table 7.2: Effect of boosted selection on data, RSG signal models, $t\bar{t}$, and $Z + \text{jets}$. The numbers from simulation are normalized with the MC generator cross section and do not take into account the data driven estimates described in section 7.6 [108].

estimated with a fully data-driven method. The only other non-negligible background is $t\bar{t}$, constituting the other 10-20%. Due to the presence of $t\bar{t}$ in the sideband region where the QCD background will be estimated, the normalization of the QCD and $t\bar{t}$ backgrounds are simultaneously estimated.

7.6.1 MASS REGION DEFINITIONS

The first step in the data-driven background estimate is to define a sideband mass region where the background normalization can be derived. Additionally, a control region is defined where the background estimate can be validated. The control (CR) and sideband (SB) regions are defined using a radial distance in the two-dimensional large-R jet mass plane, R_{hh} , which is defined in equation 7.3.

$$R_{hh} = \sqrt{(M_J^{\text{lead}} - 124\text{ GeV})^2 + (M_J^{\text{sublead}} - 115\text{ GeV})^2} \quad (7.3)$$

Events in the sideband region are required to fail the signal region $X_{hh} < 1.6$ requirement and have $R_{hh} > 35.8\text{ GeV}$. The control region consists of those events which are not in the signal or sideband regions. Figure 7.10 shows the definition of the signal, control, and sideband mass regions. Table 7.3 summarizes the mass region selections for the three different regions used in the analysis.

[¶]The $Z + \text{jets}$ background is a sub-percent level contribution

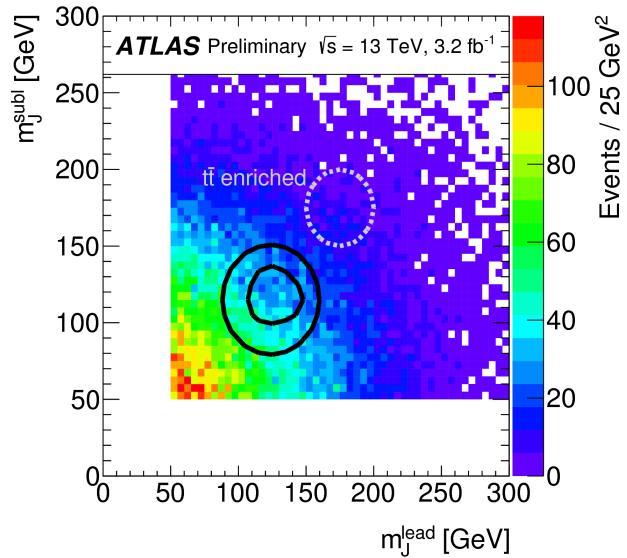


Figure 7.10: M_j^{sublead} vs. M_j^{lead} in a 2 b -tag data sample. The signal region is defined by the inner black contour ($X_{hh} < 1.6$) and the sideband region is defined by the outer contour ($R_{hh} > 35.8 \text{ GeV}$). The region between the black contours is the control region. The mass region which is enriched in $t\bar{t}$ background is also shown for illustration. [107]

Region	Requirement	Notes
Signal Region (SR)	$X_{hh} < 1.6$	-
Control Region (CR)	$R_{hh} < 35.8 \text{ GeV}$ and $X_{hh} > 1.6$	Used for validation of background estimates
Sideband Region (SB)	$R_{hh} > 35.8 \text{ GeV}$	Used to derive background normalization

Table 7.3: Mass region definitions used for background estimation

7.6.2 BACKGROUND ESTIMATION

The method for estimating the background in this analysis is similar to the ABCD method presented in Chapter 5. In this case, the two handles used to define different regions for the estimate are the number of b -tagged track jets and the mass regions. A region requiring exactly two b -tagged track jets in one large-R jet (referred to as the 2-tag or $2b$ region) is defined for use in the background estimate. The number of expected background events in the $3b$ and $4b$ signal regions is then given by equation 7.4.

$$N_{\text{bkg}}^{3(4)-\text{tag},\text{SR}} = \mu_{\text{Multijet}} N_{\text{Multijet}}^{2-\text{tag},\text{SR}} + \beta_{t\bar{t}} N_{t\bar{t}}^{3(4)-\text{tag},\text{SR}} + N_{Z+\text{jets}}^{3(4)-\text{tag},\text{SR}} \quad (7.4)$$

2388 In this equation, $N_{\text{bkg}}^{3(4)-\text{tag}}$ is the expected number of background events in the $3b$ or $4b$ signal regions.
 2389 $N_{\text{Multijet}}^{2-\text{tag}}$ is the number of multijet events in the 2-tag region. $N_{t\bar{t}}^{3(4)-\text{tag}}$ is the number of $t\bar{t}$ events
 2390 predicted in the MC for the $3b$ or $4b$ signal region, and the variable is similarly defined for the $Z+\text{jets}$
 2391 background. The $\beta_{t\bar{t}}$ parameter is a scale factor used to correct the normalization of the $t\bar{t}$ estimate in
 2392 the signal region. μ_{Multijet} is an extrapolation factor that is derived in the sideband region and used to
 2393 estimate the ratio of 2-tag events to 3(4)-tag events in the signal region. It is defined in equation 7.5.

$$\mu_{\text{Multijet}} = \frac{N_{\text{Multijet}}^{3(4)-\text{tag},\text{SB}}}{N_{\text{Multijet}}^{2-\text{tag},\text{SB}}} = \frac{N_{\text{data}}^{3(4)-\text{tag},\text{SB}} - \beta_{t\bar{t}} N_{t\bar{t}}^{3(4)-\text{tag},\text{SB}} - N_{Z+\text{jets}}^{3(4)-\text{tag},\text{SB}}}{N_{\text{data}}^{2-\text{tag},\text{SB}} - \beta_{t\bar{t}} N_{t\bar{t}}^{2-\text{tag},\text{SB}} - N_{Z+\text{jets}}^{2-\text{tag},\text{SB}}} \quad (7.5)$$

2394 The $t\bar{t}$ scale factor ($\beta_{t\bar{t}}$) and the QCD multijet extrapolation factor (μ_{Multijet}) are estimated together in
 2395 a simultaneous fit in the sideband region. Then, the number of events in the 2-tag signal region is used,
 2396 along with the $t\bar{t}$ estimate in the $3b$ and $4b$ signal regions and μ_{Multijet} , to estimate the total number of
 2397 background events in the two final signal regions. The shape of the final discriminant M_{2J} is also taken
 2398 from the 2-tag signal region where there are more statistics. This method is illustrated graphically in
 2399 figure 7.II.

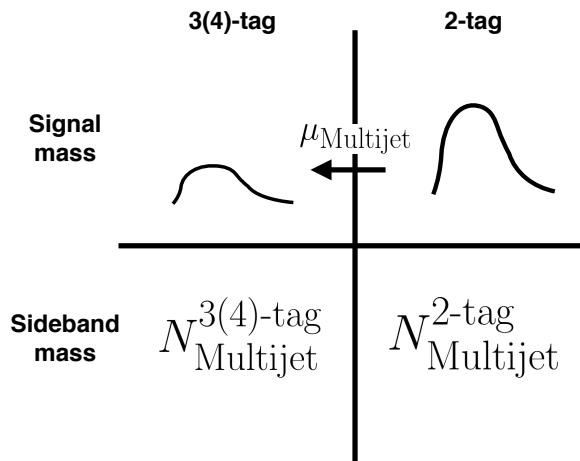


Figure 7.II: An illustration of the data-driven background estimation technique for the boosted analysis

2400 In the $3b$ region, the fit yields values of $\mu_{\text{Multijet}} = 0.160 \pm 0.03$ and $\beta_{t\bar{t}} = 1.02 \pm 0.09$. In the $4b$
 2401 region, the fit gives $\mu_{\text{Multijet}} = 0.0091 \pm 0.0007$ and $\beta_{t\bar{t}} = 0.82 \pm 0.39$. The uncertainties quoted

are statistical only. The larger uncertainties in the $4b$ values indicate the lower statistics available in that region.

Figure 7.12 shows the distributions of data and background estimates in the $3b$ and $4b$ sideband regions after the background fit has been done. The normalizations are constrained from the fit to match that of the data, but good modeling of the shape of the mass of the leading large-R jet is seen as well. The shapes of the kinematic distributions in the $4b$ region are taken from the $3b$ region due to the better MC statistics in that region.

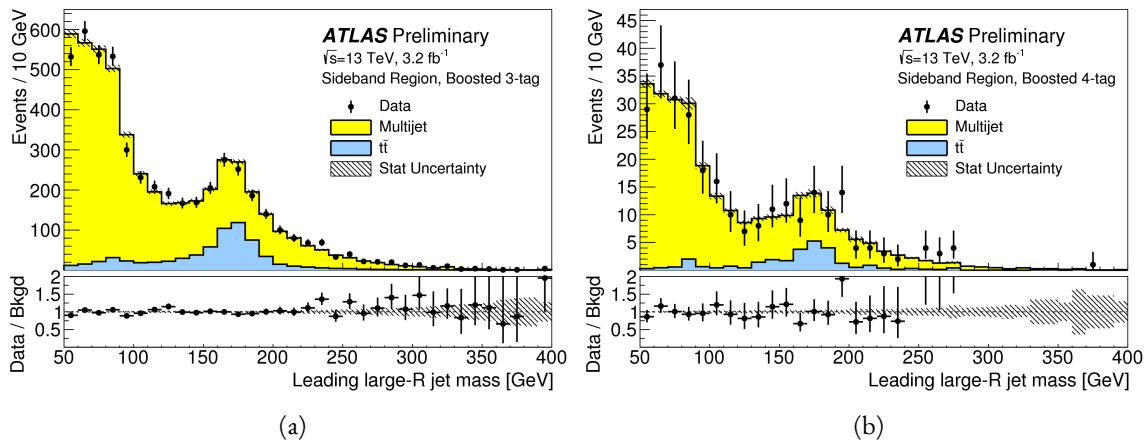


Figure 7.12: Leading large- R jet mass in the $3b$ (a) and $4b$ (b) sideband regions. The multijet and $t\bar{t}$ backgrounds are estimated using the data-driven methods described above. Because their normalizations are derived in the sideband region, the total background normalization is constrained by default to match the normalization of the data [107].

2409 7.6.3 BACKGROUND SHAPE FIT

As mentioned in the previous section, the background shape in the 3-tag and 4-tag signal regions is taken from the 2-tag signal mass region. Due to the limited statistics available, the background shapes are additionally smoothed after being extrapolated to the 3-tag and 4-tag signal regions. Only the data in the range $900 < M_{2J} < 2000$ GeV is included in the fit due to the limited statistics available above 2 TeV. Both the $t\bar{t}$ and QCD multijet background are independently fit with an exponential shape, $y = e^{ax+b}$. Other shapes are considered and used for the systematic uncertainties. Table 7.4 shows the fit values for the parameters. Because both the 3b and 4b QCD shapes come from the 2-tag region, the slopes derived

²⁴¹⁷ are very similar.

	a	b
QCD (4b)	0.00545 ± 0.00021	5.44 ± 0.24
$t\bar{t}$ (4b)	0.00746 ± 0.00021	4.88 ± 0.36
QCD (3b)	0.00545 ± 0.00021	8.30 ± 0.24
$t\bar{t}$ (3b)	0.00746 ± 0.00021	8.58 ± 0.36

Table 7.4: Parameters derived for exponential fit to background M_{2J} shape in the 3b and 4b signal regions [108]

²⁴¹⁸ 7.6.4 VALIDATION OF BACKGROUND ESTIMATE

²⁴¹⁹ The background estimate can be validated by using the method to estimate the number of events in the
²⁴²⁰ control mass region rather than the signal mass region. Figure 7.13 shows the M_{2J} distribution in the 3b
²⁴²¹ and 4b control regions, comparing data and background estimates. In both cases, both the background
²⁴²² shape and normalization are consistent with the data, indicating good agreement. The ratio of data to
²⁴²³ the background estimates is also fit to a line in the figure to test for any shape difference. The slope of the
²⁴²⁴ line is within 1σ (from the fit uncertainties) of flat, further indicating that the data is consistent with the
²⁴²⁵ background estimate in the control region.

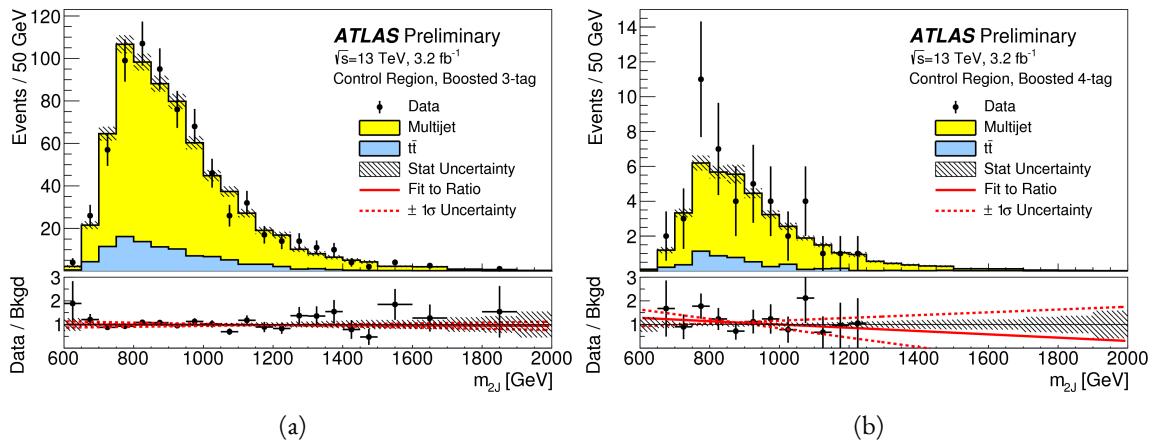


Figure 7.13: Di-jet invariant mass (M_{2J}) in the 3b (a) and 4b (b) control regions. The multijet and $t\bar{t}$ backgrounds are estimated using the data-driven methods described above [107].

²⁴²⁶ Table 7.5 shows the yields in data and background estimates in the 3-tag and 4-tag sideband and con-
²⁴²⁷ trol regions. Again, here, it can be seen that the total number of predicted background events from the

2428 data driven method is consistent with the number of data events in the region.

Sample (3-tag)	Sideband Region	Control Region
Multijet	4328 ± 27	607 ± 10
$t\bar{t}$	683.5 ± 8.1	99.6 ± 3.1
$Z+jets$	31.8 ± 3.7	7.7 ± 1.8
Total	5043 ± 28	715 ± 11
Data	5043	724
Sample (4-tag)	Sideband Region	Control Region
Multijet	247.4 ± 1.5	34.7 ± 0.6
$t\bar{t}$	28.4 ± 1.5	5.1 ± 0.7
$Z+jets$	3.4 ± 1.2	0.6 ± 0.5
Total	279.2 ± 2.5	40.3 ± 1.0
Data	279	45

Table 7.5: The number of events in data and predicted background events in the boosted 3-tag and 4-tag sideband and control regions. The uncertainties shown are statistical only. [107]

2429 **7.7 SYSTEMATIC UNCERTAINTIES**

2430 The systematic uncertainties in this analysis can be divided into two broad categories. The first type is
2431 uncertainties associated with the modeling of the signal processes. The second type of uncertainty is
2432 associated with both the shape and normalization of the background prediction.

2433 **7.7.1 SIGNAL MODELING UNCERTAINTIES**

2434 The signal modeling uncertainty has three main components: theoretical uncertainty on the acceptance,
2435 experimental uncertainties on the large-R jets, and experimental uncertainties on the track jets related to
2436 b -tagging. In this analysis the experimental uncertainties are the most significant.

2437 The first uncertainty on signal modeling is the theoretical uncertainty on the acceptance. As explained
2438 in section 5.6.1, there are four components to this uncertainty. The first is related to missing higher order
2439 terms from the matrix element calculations which is estimated by varying the QCD renormalization and

2440 factorization scales. The second is uncertainty due to the PDF set used. The third is a generator uncer-
2441 tainty which is estimated by modifying the generator used to model the underlying event and hadroniza-
2442 tion. Finally, there is an uncertainty associated with the modeling of the initial state and final state radia-
2443 tion (ISR/FSR). The total theoretical uncertainty on the signal yield is 3%, and this is dominated by the
2444 ISR/FSR modeling.

2445 There are uncertainties on the large-R jets in both the jet energy scale (JES) and jet energy resolution
2446 (JER) as well as the jet mass scale (JMS) and jet mass resolution (JMR). These are evaluated using $\sqrt{s} =$
2447 8 TeV data from Run 1 of ATLAS and extrapolated to the Run 2 beam and detector conditions using
2448 MC[¶]. The details of these uncertainties can be found in reference [109].

2449 Uncertainties on the track jets are related to the b -tagging efficiency. The total uncertainty on the sig-
2450 nal yield due to b -tagging is evaluated by propagating variations of the b -tagging efficiency through the
2451 boosted selection requirements. The uncertainties are calculated jet-by-jet and parameterized as a func-
2452 tion of b -jet p_T and η [88]. For high p_T b -jets (with $p_T > 300$ GeV), the uncertainties are extrapolated
2453 using MC simulation from the lower p_T b -jets [110].

2454 Table 7.6 shows the systematic uncertainties on the signal normalization for models with $m_{G_{KK}^*} =$
2455 1.5 TeV and both $c = 1$ and $c = 2$ as well as a narrow width heavy scalar. The dominant uncertainty
2456 comes from b -tagging and this uncertainty is larger in the 4-tag region than the 3-tag region.

2457 7.7.2 BACKGROUND UNCERTAINTIES

2458 Uncertainties on the QCD multijet background normalization and shape are estimated using the control
2459 mass region. As shown previously, the background predictions in the control region match with the
2460 data yields within the statistical uncertainty in both the 3-tag and 4-tag control regions. As an additional
2461 protection, the statistical uncertainty on the background prediction in the control region is assigned as a
2462 systematic uncertainty on the normalization of the QCD background.

2463 Additional robustness tests are done by varying the definition of the control mass region and the b -
2464 tagging requirements used to define the 2-tag sample. In all cases, the effect of the variations is found to

¶The uncertainties are correspondingly larger due to the uncertainty of this extrapolation.

Source	Background		G_{KK}^*	H
	$c = 1$	$c = 2$		
Luminosity	-	5.0	5.0	5.0
3-tag				
JER	< 1	< 1	< 1	< 1
JES	2	< 1	< 1	< 1
JMR	1	12	12	11
JMS	5	14	13	17
b -tagging	1	23	22	23
Theoretical	-	3	3	3
Multijet Normalization	3	-	-	-
Statistical	2	1	1	1
Total	7	31	30	33
4-tag				
JER	< 1	< 1	< 1	< 1
JES	< 1	< 1	< 1	< 1
JMR	4	12	13	13
JMS	5	13	13	14
b -tagging	2	36	36	36
Theoretical	-	3	3	3
Multijet Normalization	14	-	-	-
Statistical	3	1	1	1
Total	15	42	42	43

Table 7.6: Summary of systematic uncertainties in the total background and signal event yields (expressed in %) in the boosted 3-tag and 4-tag signal regions. Systematic uncertainties on the signal normalization are shown for models with $m_{G_{\text{KK}}^*} = 1.5$ TeV and both $c = 1$ and $c = 2$ as well as a narrow width heavy scalar.

2465 be within the statistical uncertainties on the background normalization in the control region.
 2466 Shape uncertainties on the background are evaluated using two techniques. First, as shown in figure 7.13, the ratio between the data and background prediction is fit with a linear function. The uncertainties on the slope of this fit are assigned as shape uncertainties. An additional uncertainty is assigned
 2467 by using alternate power law fit functions for the smoothing of the background shape. Table 7.7 shows
 2468 the alternate shapes used. The largest difference between the nominal fit function and the alternates,
 2469 taking into account the 1σ uncertainty band on each fit as well, is taken as a shape uncertainty.
 2470

Functional Form
$f_1(x) = p_0(1-x)^{p_1}x^{p_2}$
$f_2(x) = p_0(1-x)^{p_1}e^{p_2 x^2}$
$f_3(x) = p_0(1-x)^{p_1}x^{p_2}x$
$f_4(x) = p_0(1-x)^{p_1}x^{p_2}\ln x$
$f_5(x) = p_0(1-x)^{p_1}(1+x)^{p_2}x$
$f_6(x) = p_0(1-x)^{p_1}(1+x)^{p_2}\ln x$
$f_7(x) = \frac{p_0}{x}(1-x)^{p_1-p_2}\ln x$
$f_8(x) = \frac{p_0}{x^2}(1-x)^{p_1-p_2}\ln x$

Table 7.7: Alternate fit functions used to model the M_{JJ} distribution in the QCD multijet background. In the equations, $x = M_{JJ}/\sqrt{s}$.

2472 The uncertainties on the $t\bar{t}$ background are obtained by propagating the various experimental varia-
 2473 tions (JES, JER, JMS, JMR, b -tagging) through the analysis selection requirements. Table 7.6 summarizes
 2474 the background uncertainties in the 3-tag and 4-tag regions.

2475 7.8 RESULTS

2476 Table 7.8 shows the observed yields in the 3-tag and 4-tag signal regions for the boosted analysis com-
 2477 pared to the predicted number of background events. In the 3-tag region, 316 events are observed with
 2478 a predicted background of 285 ± 19 . In the 4-tag region, 20 events are observed with a predicted back-
 2479 ground of 14.6 ± 2.4 . Figure 7.14 shows the M_{JJ} distribution in the 3-tag and 4-tag regions. There are
 2480 some small excesses in the data, in particular in the 3-tag region around $M_{JJ} \approx 900$ GeV and in the
 2481 region of $1.6 < M_{JJ} < 2.0$ TeV. The significance of these excesses will be evaluated in the next chapter
 2482 in the statistical combination with the resolved results.

Sample	Signal Region (3-tag)	Signal Region (4-tag)
Multijet	235 ± 14	13.5 ± 2.4
$t\bar{t}$	48 ± 22	1.2 ± 1.0
$Z+jets$	2.0 ± 2.2	-
Total	285 ± 19	14.6 ± 2.4
Data	316	20
$G_{KK}^*(1000 \text{ GeV}), c = 1$	3.4 ± 0.9	2.9 ± 1.1

Table 7.8: Observed yields in the 3-tag and 4-tag signal regions for the boosted analysis compared to the predicted number of background events Errors correspond to the total uncertainties in the predicted event yields. The yields for a graviton with $m_{G_{KK}^*} = 1 \text{ TeV}$ and $c = 1$ are also shown. [107]

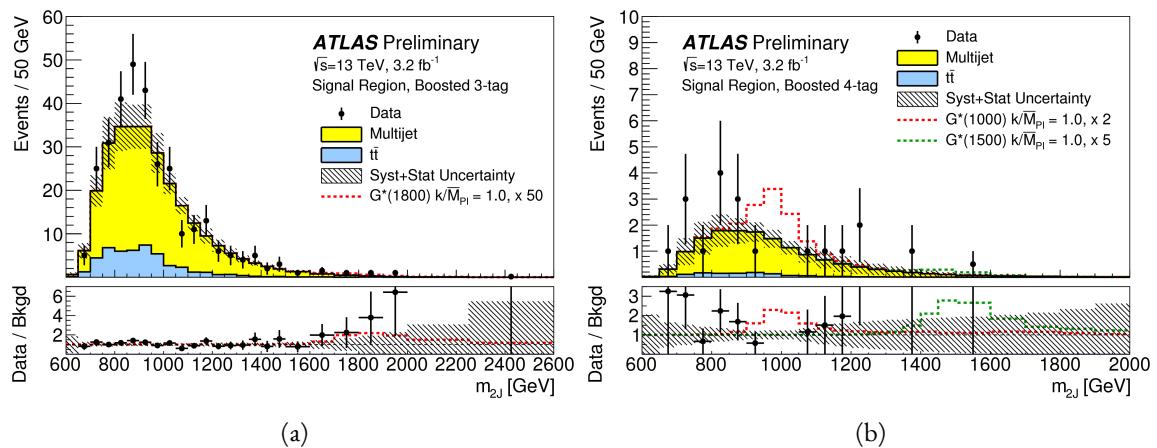


Figure 7.14: Di-jet invariant mass (M_{2J}) in the 3b (a) and 4b (b) signal regions. The multijet and $t\bar{t}$ backgrounds are estimated using the data-driven methods described above. In the 3b region, a graviton signal with $m_{G_{KK}^*} = 1.8 \text{ TeV}$ and $c = 1$ is overlaid, with the cross section multiplied by a factor of 50 so that the signal is visible. In the 4b region, signals with $m_{G_{KK}^*} = 1.0 \text{ TeV}$ and $m_{G_{KK}^*} = 1.5 \text{ TeV}$ are overlaid, both with $c = 1$ and the yields multiplied by factors of 2 and 5 respectively [107].

*There is no real ending. It's just the place where you stop
the story.*

Frank Herbert

8

2483

2484

Combined limits from boosted and resolved searches

2485

2486

8.1 INTRODUCTION

2487 In order to cover the full mass range of possible resonances decaying to di-Higgs final states, two distinct
2488 tailored selections were produced. The resolved selection is more sensitive in the mass range of $400 < m_X < 1100$ GeV while the boosted selection is more sensitive to masses in the range $1100 < m_X <$
2489 3000 GeV. Chapter 7 presents the details of the boosted selection and results. In setting limits on spin-2
2490 Randall-Sundrum graviton (RSG) and narrow width heavy scalar (H) models, the results of the boosted
2491 selection are combined with the results of the resolved selection to cover the full mass range.
2492

2493 This chapter presents limits on signal models resulting from the $X \rightarrow HH \rightarrow b\bar{b}b\bar{b}$ search in both
2494 the resolved and boosted selections. It first presents a brief overview of the resolved results that go into

2495 the limit setting. Then, an overview of the statistical methods used for the search and limit setting is
2496 given. Finally, limits on the RSG and heavy scalar models are presented.

2497 **8.2 RESOLVED RESULTS**

2498 The details of the resolved selection will not be presented here and can be found in reference [107]. In
2499 basic terms, the selection searches for four $R = 0.4$ b-tagged calorimeter jets (where each pair of jets is
2500 one Higgs candidate). This is distinct from the boosted methodology which searches for merged decay
2501 products. The backgrounds to the resolved selection are the same as those presented in Chapter 7 for the
2502 boosted analysis.

2503 Table 8.1 shows the results for data yields and expected background in the resolved signal region. Fig-
2504 ure 8.1 shows the M_{2J} distribution in the resolved signal region. The total number of events is consis-
2505 tent with the prediction and no significant excess is seen. One event in the boosted 4-tag signal is shared
2506 with the resolved signal region and has a mass of 852 GeV.

Sample	Signal Region Yield
Multijet	43.3 ± 2.3
$t\bar{t}$	4.3 ± 3.0
$Z + \text{jets}$	-
Total	47.6 ± 3.8
Data	46
SM hh	0.25 ± 0.07
$G_{KK}^*(800 \text{ GeV}), c = 1$	5.7 ± 1.5

Table 8.1: Observed yields in the resolve selection 4-tag signal region compared to the predicted number of back-
ground events Errors correspond to the total uncertainties in the predicted event yields. The yields for a graviton
with $m_{G_{KK}^*} = 800$ GeV and $c = 1$ are also shown. [107]

2507 **8.3 SEARCH TECHNIQUE AND RESULTS**

2508 The statistical technique used for the search in this analysis is the same as that used in the $H \rightarrow WW^*$
2509 analysis presented in section 3.6.2. The test statistic q_0 is used to define the p -values which measure the

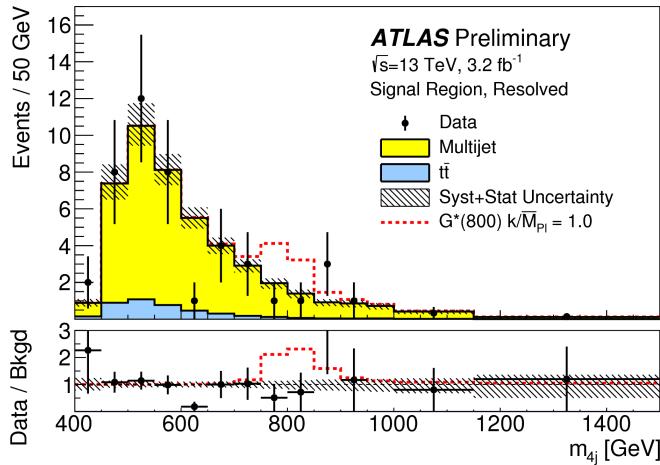


Figure 8.1: Di-jet invariant mass (M_{2J}) in the resolved signal region. A graviton signal with $m_{G_{KK}^*} = 800 \text{ GeV}$ and $c = 1$ is overlaid. [107].

2510 compatibility of the data with the background-only hypothesis corresponding to a signal strength $\mu =$
 2511 0.

2512 Local p_0 values are computed to quantify the probability that the background could produce a fluc-
 2513 tuation greater than or equal to the one observed in the data. In the resolved analysis, no significant ex-
 2514 cesses are observed. The largest discrepancy with respect to the background only hypothesis occurs near
 2515 a resonance mass of 900 GeV and is found to be less than 2σ in significance.

2516 In the boosted selection, the largest local excess is a broad excess in the $3b$ signal region that begins
 2517 near $M_{2J} \approx 1.7 \text{ GeV}$. Assuming a G_{KK}^* with this mass and $c = 1.0$, the local significance of this excess
 2518 is 2.0σ .

2519 **8.4 LIMIT SETTING**

2520 In the absence of any significant excess observed in the data, limits on different signal models can be set.
 2521 This section describes the limit setting procedure and presents combined results of the resolved and
 2522 boosted analyses.

2523 8.4.1 LIMIT SETTING PROCEDURE

2524 The procedure used for setting exclusion limits in this analysis is the CL_s method [11]. The first step in
 2525 setting the limits is to define a test statistic which will be used. For limit setting, the test statistic is shown
 2526 in equation 8.1.

$$\widetilde{q}_\mu = \begin{cases} -2 \ln \frac{L(\mu, \hat{\theta}(\mu))}{L(0, \hat{\theta}(0))} & \hat{\mu} < 0 \\ -2 \ln \frac{L(\mu, \hat{\theta}(\mu))}{L(\hat{\mu}, \hat{\theta})} & 0 \leq \hat{\mu} < \mu \\ 0 & \hat{\mu} > \mu \end{cases} \quad (8.1)$$

2527 In the above equation, μ is the value of the signal strength under test, $\hat{\mu}$ is the best fit μ , $\hat{\theta}$ is the
 2528 best fit value of the nuisance parameters, $\hat{\theta}$ is the best fit value of the nuisance parameters under the fixed
 2529 μ value, and L is the Poisson likelihood of the data (as described in section 3.6.2).

2530 The test statistic \widetilde{q}_μ is constructed to protect against two interesting corner cases when setting the
 2531 upper limit on the cross section. First, it protects against negative signal strengths μ which are unphys-
 2532 ical. Second, it does not count excesses in the data larger than those expected by a signal strength μ as
 2533 evidence against the μ hypothesis.

2534 The CL_s statistic is constructed by taking a ratio of two probabilities. CL_{s+b} is the probability that
 2535 the signal+background hypothesis would produce a value of the test statistic that is less than or equal
 2536 to the observed value*. CL_b is the probability that the background only hypothesis will pro-
 2537 duce a value of the test statistics less than or equal to the observed. The CL_s statistic is then the ratio
 2538 $\text{CL}_{s+b}/\text{CL}_b$. A 95% upper limit on the cross section is set at the value of μ that makes the CL_s statistic
 2539 less than 5%.

2540 In practice, the limits are computed numerically within an asymptotic approximation for the distri-
 2541 bution of the test statistic \widetilde{q}_μ . The details of this approximation can be found in reference [63].

2542 The resolved and boosted analyses are combined using a very simple procedure rather than a full sta-
 2543 tistical combination. For each mass point tested, the limit which gives the most stringent constraint is
 2544 used. This means that for mass points below 1.1 TeV the resolved signal region is used, while at and

*Lower values of \widetilde{q}_μ mean better compatibility

2545 above this point the combination of the orthogonal $3b$ and $4b$ boosted signal regions is used.

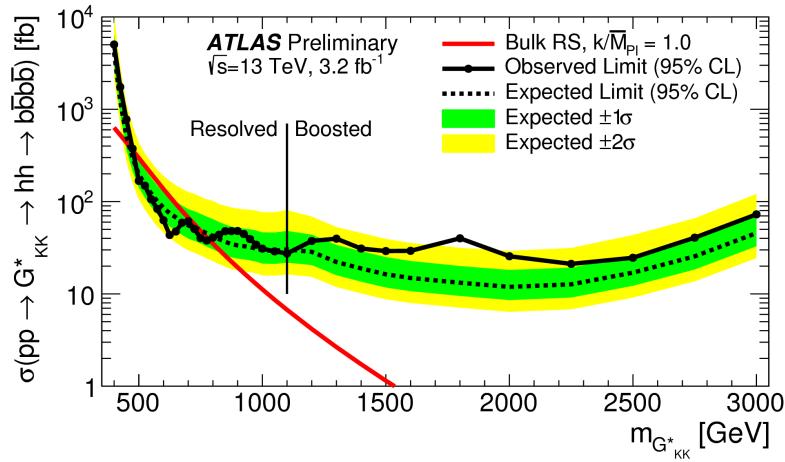
2546 **8.4.2 LIMIT SETTING RESULTS**

2547 Figure 8.2 shows the combined 95% upper bounds as a function of mass for three different models:

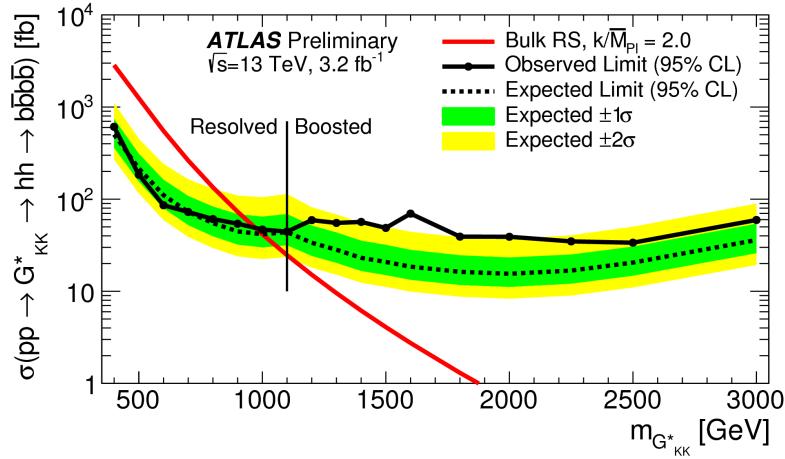
2548 G_{KK}^* with $c = 1$, G_{KK}^* with $c = 2$, and a narrow heavy scalar H .

2549 The cross section of $\sigma(pp \rightarrow G_{\text{KK}}^* \rightarrow hh \rightarrow b\bar{b}b\bar{b})$ with $c = 1$ is constrained to be less than 70 fb
2550 for masses in the range $600 < m_{G_{\text{KK}}^*} < 3000$ GeV. For the RSG model with $c = 2$, cross sections
2551 limits between 40 fb and 200 fb are set for the mass range of $500 < m_{G_{\text{KK}}^*} < 3000$ GeV. Masses in
2552 the range of $475 < m_{G_{\text{KK}}^*} < 785$ GeV are excluded with $c = 1$ (with an exclusion of the range 465
2553 to 745 GeV expected). Masses less than 980 GeV are excluded with $c = 2$ (with an exclusion for masses
2554 less than 1 TeV expected).

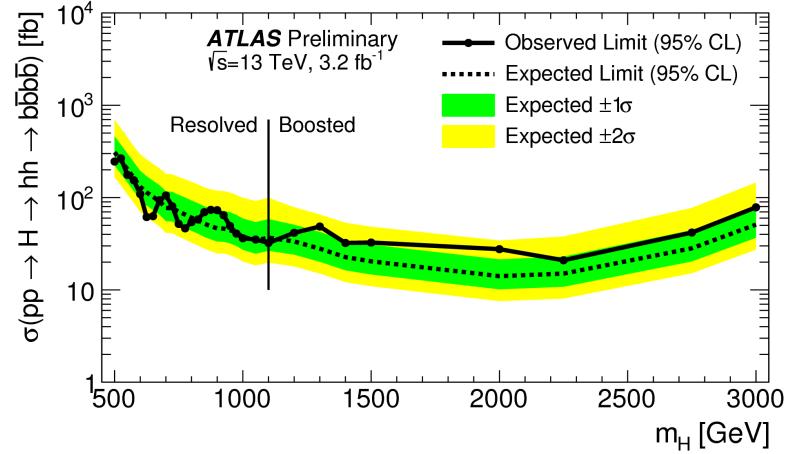
2555 In the heavy Higgs model, the cross section upper limits for $\sigma(pp \rightarrow H \rightarrow hh \rightarrow b\bar{b}b\bar{b})$ ranges from
2556 30 to 300 fb in the mass range of $500 < m_H < 3000$ GeV. The resolved analysis can also set an upper
2557 limit on the Standard Model di-Higgs production cross section discussed in chapter 3. The upper limit
2558 on $\sigma(pp \rightarrow hh \rightarrow b\bar{b}b\bar{b})$ in the Standard Model is constrained to be less than 1.22 pb.



(a) Bulk RS, $c = 1$



(b) Bulk RS, $c = 2$



(c) Spin-0 narrow-width H boson

Figure 8.2: Expected and observed upper limit as a function of mass for G^*_{KK} in the RSG model with (a) $c = 1$ and (b) $c = 2$, as well as (c) H with fixed $\Gamma_H = 1$ GeV, at the 95% confidence level in the CL_s method. [107]

2559

Part IV

2560

Looking ahead

9

2561

Conclusion

2562

2563 After being sought for many years at different collider experiments, the Higgs boson was discovered by
2564 the ATLAS and CMS experiments in 2012, confirming the leading theory for the source of electroweak
2565 symmetry breaking and filling in the last missing piece of the Standard Model. After its discovery, mea-
2566 surements of the particle's detailed properties and searches for new particles decaying to Higgs final states
2567 were both extremely important in constraining physics beyond the Standard Model. This dissertation
2568 presented this evolution through two results: the observation and measurement of the Higgs boson in
2569 the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ channel at $\sqrt{s} = 7$ TeV and $\sqrt{s} = 8$ TeV and a search for Higgs pair
2570 production in the $HH \rightarrow b\bar{b}b\bar{b}$ channel at $\sqrt{s} = 13$ TeV with the ATLAS detector in pp collisions at
2571 the Large Hadron Collider.

2572 In the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$, results from both the discovery of the Higgs boson and the full
2573 ATLAS Run 1 dataset were presented. The Higgs boson was discovered with a 6.1σ significance in a
2574 combination of the $H \rightarrow \gamma\gamma$, $H \rightarrow ZZ4\ell$, $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ with 4.2 fb^{-1} at $\sqrt{s} = 7$ TeV

and 5.2 fb^{-1} at $\sqrt{s} = 8 \text{ TeV}$. With the full 20.3 fb^{-1} at $\sqrt{s} = 8 \text{ TeV}$ and 4.2 fb^{-1} at $\sqrt{s} = 7 \text{ TeV}$, ATLAS achieved discovery level significance in the $H \rightarrow WW^*$ channel alone and obtained the first observation of vector boson fusion production in that channel. The combined signal strength is measured to be $\mu = 1.09^{+0.23}_{-0.21}$. The total observed significance of the $H \rightarrow WW^*$ process is observed to be 6.1σ (with 5.8σ expected). Advanced methods for background reduction and estimation, particularly in same-flavor lepton final states, are shown. The VBF signal strength is measured to be $\mu_{\text{VBF}} = 1.27^{+0.53}_{-0.45}$ with an observed significance of 3.2σ (with 2.7σ expected).

These results required many novel innovations. The increase of pileup interactions in the higher instantaneous luminosity LHC conditions of 2012 led to a degradation of missing transverse momentum resolution. As a result, the prominent $Z/\gamma^* + \text{jets}$ background of the same flavor $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ final states increased greatly. New variables, including a track-based missing transverse momentum and a measurement of the balance between the dilepton system and recoiling jets, allowed for significant reduction of this background. In the VBF channel, selections were optimized to exploit the unique VBF final state topology. Incorporating these variables into a boosted decision tree technique allowed the analysis to exceed the 3σ observation threshold.

After the end of Run 1, the results of Higgs measurements from ATLAS were combined with those from CMS to produce the most precise measurements of the Higgs boson so far [112]. Figure 9.1 shows the combination of ATLAS and CMS data for the Higgs signal strength in and coupling measurements. In the signal strength measurements of gluon fusion and vector boson fusion, the $H \rightarrow WW^*$ channel provides the tightest constraints. Additionally, the Higgs coupling to W bosons is the most precisely measured with a relative uncertainty of 10%.

At $\sqrt{s} = 13 \text{ TeV}$, a search for Higgs pair production in the $b\bar{b}b\bar{b}$ final state with 3.2 fb^{-1} was conducted. A signal region optimized for the boosted final states arising from high mass resonances was constructed. This signal region utilized large-radius calorimeter jets and b -tagging with small radius track jets to maximize the signal acceptance. No significant excesses were observed, and upper limits on cross sections are placed for spin-2 Randall Sundrum gravitons (RSG) and narrow spin-0 resonances. The increase in center of mass energy in Run 2 allowed this analysis to extend upper limits up

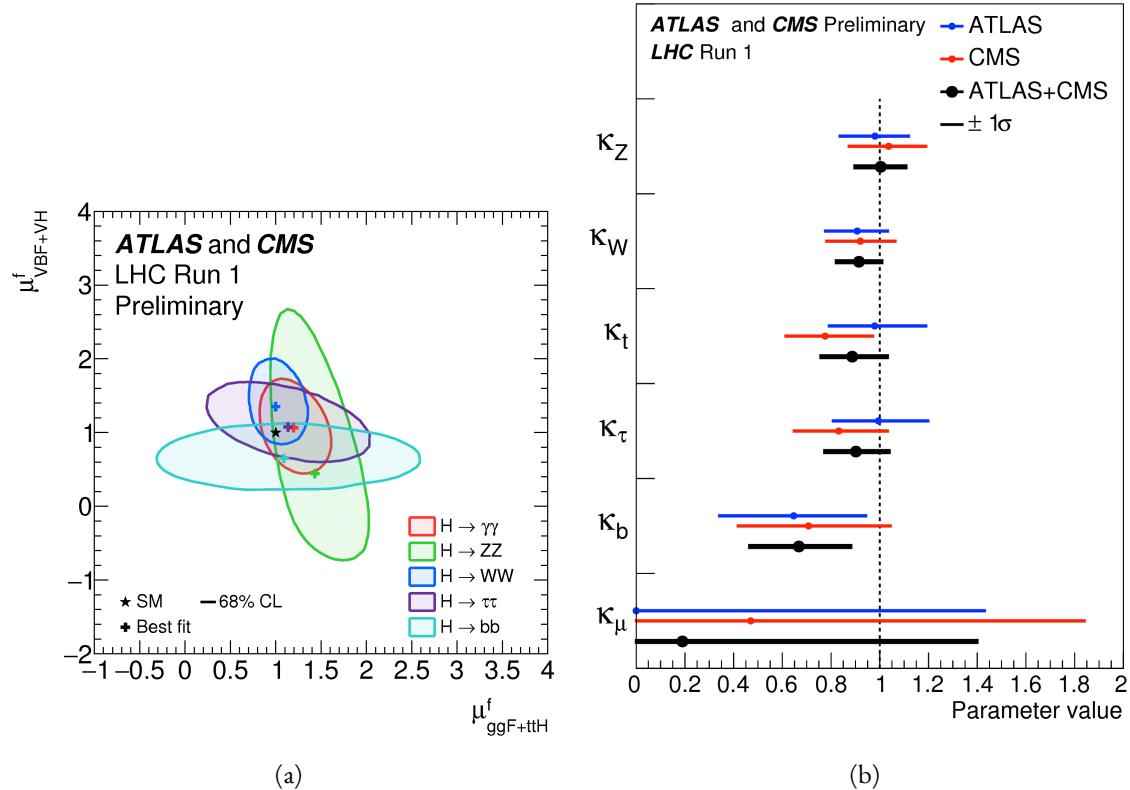


Figure 9.1: Combined ATLAS and CMS measurements in Run 1 for (a) Higgs signal strength in gluon fusion and VBF and (b) Higgs couplings normalized to their SM predictions

to 3 TeV, while previous results from ATLAS in Run 1 only quotes limits up to 2 TeV. The cross section of $\sigma(pp \rightarrow G_{KK}^* \rightarrow hh \rightarrow b\bar{b}b\bar{b})$ with $k/\bar{M}_{\text{Pl}} = 1$ is constrained to be less than 70 fb for masses in the range $600 < m_{G_{KK}^*} < 3000$ GeV. For the RSG model with $k/\bar{M}_{\text{Pl}} = 2$, cross sections limits between 40 fb and 200 fb are set for the mass range of $500 < m_{G_{KK}^*} < 3000$ GeV. The cross section upper limits for $\sigma(pp \rightarrow H \rightarrow hh \rightarrow b\bar{b}b\bar{b})$ ranges from 30 to 300 fb in the mass range of $500 < m_H < 3000$ GeV.

A

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2609

Optimization of b -tagging working point in

2610

$X \rightarrow HH \rightarrow b\bar{b}b\bar{b}$ search

2611 To the $3b$ and $4b$ signal regions in the boosted $X \rightarrow HH \rightarrow b\bar{b}b\bar{b}$ search, the MV₂ algorithm with a
2612 20% fraction of charm events in training is used (MV_{2c20}). Once the algorithm is selected, an efficiency
2613 working point must also be chosen. This working point defines the efficiency with which true b -jets
2614 are tagged and also fixes the overall background rejection of the algorithm. Higher efficiency working
2615 points accept more true b -jets but also allow for more background. Five different working points (70%,
2616 77%, 80%, 85%, 90%) are tested. With each working point, the full data driven background estimation
2617 method is run to quantify the amount of background that will be present in the final signal region. The
2618 significance is quantified using the median discovery significance for signal and background with Poisson

²⁶¹⁹ errors, given in equation A.1 [113].

$$Z = \sqrt{2 \left((s + b) \ln \left(1 + \frac{s}{b} \right) - s \right)} \quad (\text{A.1})$$

²⁶²⁰ Note that in the limit where s is much smaller than b , this equation reduces to the more well known
²⁶²¹ s/\sqrt{b} .

²⁶²² Figure A.1 shows the estimated significance as a function of signal mass in RSG $c = 1$ models for
²⁶²³ the $3b$ and $4b$ signal regions. The 77% working point gives the best performance over a wide range of
²⁶²⁴ masses in the $4b$ signal region. As this is the region which contributes the most to the total discovery
²⁶²⁵ significance, the 77% efficiency working point is chosen for the analysis.

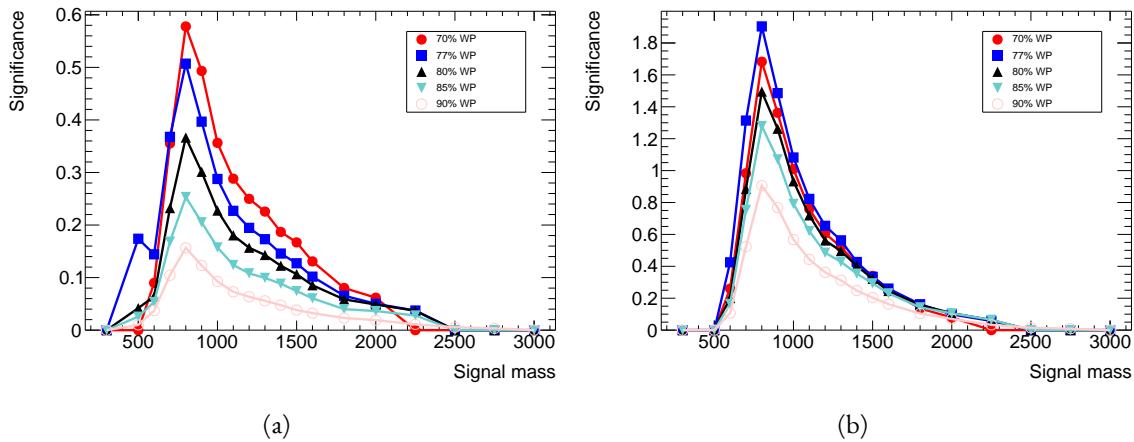


Figure A.1: Estimated significance as a function of signal mass for RSG $c = 1$ models in the $3b$ (a) and $4b$ (b) regions for different b -tagging efficiency working points

B

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b-tagging performance at high p_T

2628 One of the limiting factors of the signal acceptance in the *FourB full* search at high resonance masses is
2629 the degradation of the *b*-tagging efficiency for high p_T jets. This appendix presents a study of the under-
2630 lying causes of this degradation.

2631 B.I MV₂ ALGORITHM OVERVIEW

2632 The MV₂ algorithm is a boosted decision tree incorporating twenty-four input variables constructed
2633 from three lower level input algorithms: IPxD, SV₁, and JetFitter. IPxD uses the two and three dimen-
2634 sional impact parameter information of tracks in the jet to construct templates for light, charm, and bot-
2635 tom quarks and compute likelihood ratios. SV₁ is a secondary vertex reconstruction algorithm. JetFitter
2636 attempts to fit to the full decay chain of the *B* hadron, looking for multiple decay vertices aligned along a
2637 single axis. Figure B.1 summarizes the inputs to MV₂.

IP2D and IP3D (6 inputs)	SV1 (8 inputs)	JetFitter (8 inputs)
$\log(p_b/p_u)$	Mass	Mass
$\log(p_b/p_c)$	Energy fraction	Energy fraction
$\log(p_c/p_u)$	# tracks at vertex	# vertices
	# 2 track vertices	# tracks at vertex
	Lxy	# 1 track vertices
	L3d	# 2 track vertices
	3D significance	3D significance
	ΔR	ΔR
Kinematics (2 inputs)		
	p_T	
	η	

Figure B.1: Summary of the inputs to the MV2 b -tagging algorithm

2638 **B.2 CHANGES IN MV2 SCORE AT HIGH p_T**

2639 The degradation of b -tagging at high p_T was studied in particular in the context of RSG models at high
 2640 mass. Figure B.2 shows the p_T of the leading track jet inside of the leading calorimeter jet in RSG events.
 2641 At high $m_{G_K^*}$, the p_T spectrum of track jets is much harder than at lower masses due to the increased
 2642 Higgs p_T .

2643 Figure B.3 shows the MV2c2o algorithm score for the leading and subleading track jets inside of the
 2644 leading calorimeter jet. In both cases, it can be seen that at higher RSG masses the MV2 score shifts to-
 2645 wards more background like (negative) values. Additionally, this effect is more pronounced in the lead-
 2646 ing track jet than the subleading.

2647 To understand what is causing this change in the MV2c2o score, the same comparisons can be made
 2648 for the input variables of MV2c2o. The focus in these comparisons will be on the leading track jet as
 2649 this is the one seen to have the largest difference in MV2 score. Figure B.4 shows the log likelihood ratio
 2650 $\log(p_b/p_u)$ from the IP3D (three dimensional impact parameter) algorithm. At higher masses, the IP3D
 2651 likelihood ratio distribution does become more background-like.

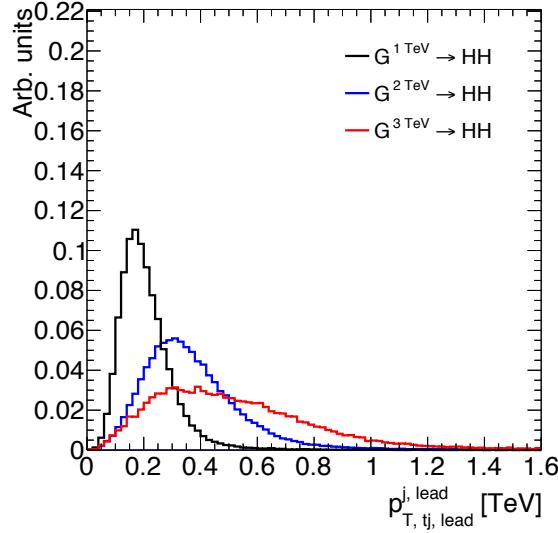


Figure B.2: p_T of the leading track jet in the leading calorimeter jet for different signal masses in RSG $c = 1$ models

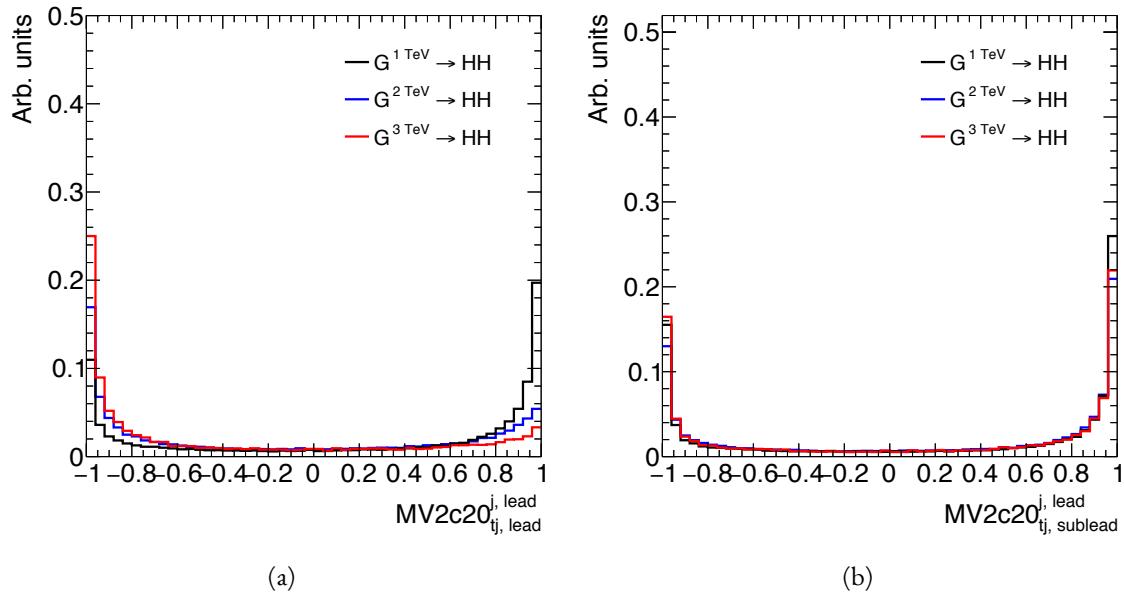


Figure B.3: MV2c20 score for the leading track jet (a) and subleading track jet (b) of the leading calorimeter jet for different signal masses in RSG $c = 1$ models

Figure B.5 shows the mass and number of tracks at the secondary vertex computed by the SV1 algorithm. When there is no secondary vertex found, the algorithm assigns a default negative value for these quantities. Both of these distributions show that there is a significantly larger fraction of jets where no secondary vertex is found in the high mass samples compared to the $m_{G_{KK}^*} = 1$ TeV sample. The SV1

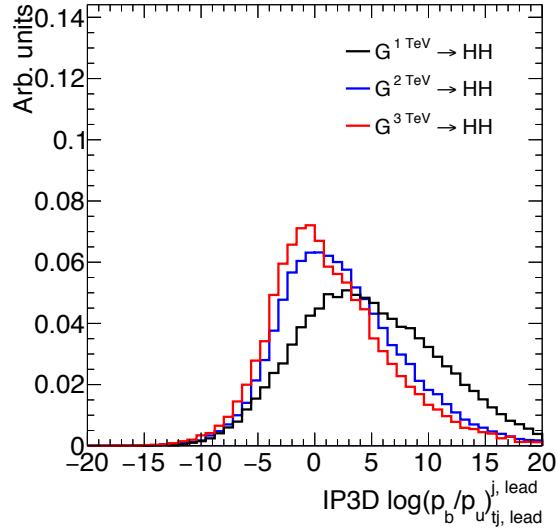


Figure B.4: IP3D log-likehood ratio ($\log(p_b/p_u)$) of the leading track jet in the leading calorimeter jet for different signal masses in RSG $c = 1$ models

algorithm's inability to find a secondary vertex could be an important factor in the overall MV₂ score shift, as this eliminates eight of the input variables that would normally contribute information to the algorithm.

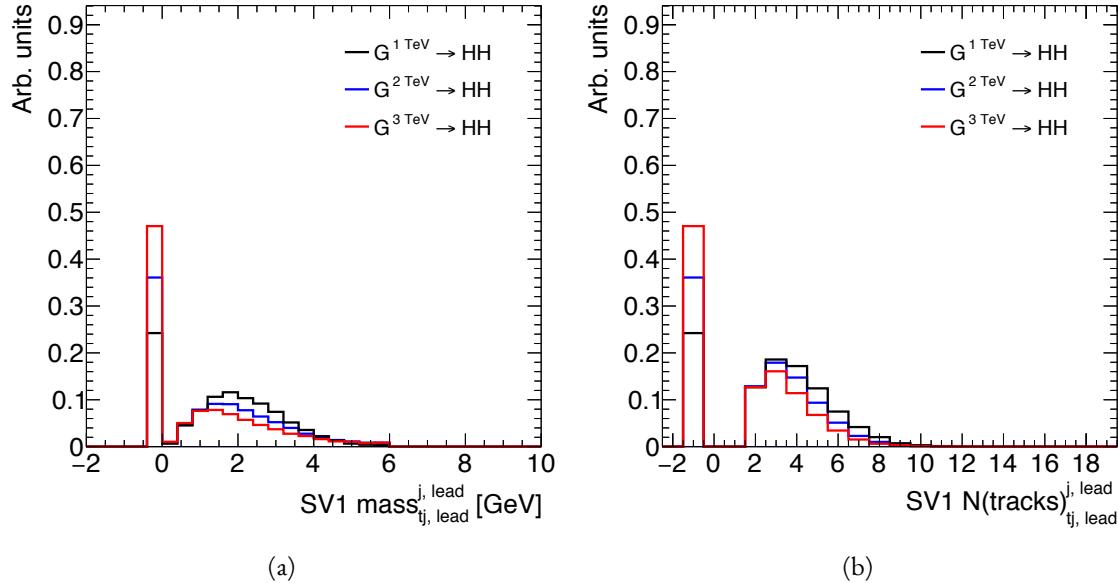


Figure B.5: Mass (a) and number of tracks (b) for the secondary vertices computed with the SV1 algorithm. When no secondary vertex is found, the quantiites are assigned to default negative values.

2659 Figure B.6 shows the same quantities for the JetFitter algorithm. In this case, there is also a change in
 2660 the fraction of jets which have their secondary vertices successfully reconstructed, but this change is not
 2661 as drastic as that seen in SVI. There is also an increase in the number of jets which have high values of
 2662 mass.

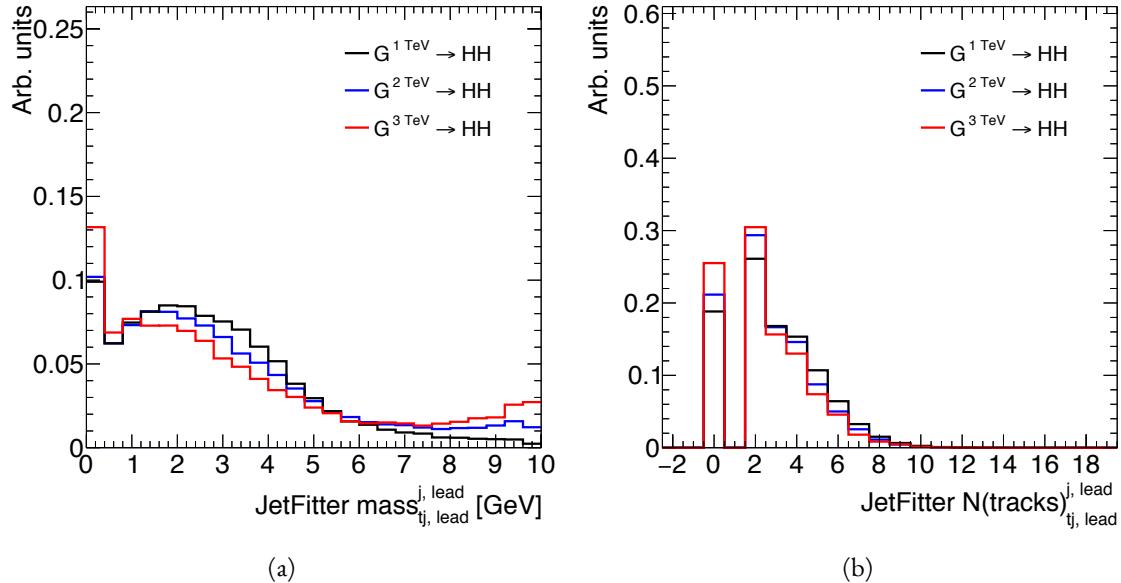


Figure B.6: Mass (a) and number of tracks (b) for vertices computed with the JetFitter algorithm. When no vertices are found, the quantities are assigned to default negative values.

2663 B.3 TAGGING EFFICIENCY BY INDIVIDUAL JET

2664 In the last section, the largest changes in MV₂ score were seen for the leading track jet inside the leading
 2665 calorimeter jet. To confirm that the overall 4*b* tagging efficiency is indeed degrading because of degra-
 2666 dation of the leading track jet efficiency, the tagging efficiency for each individual jet as a function of mass
 2667 can be compared. This is shown in figure B.7. The figure shows that the leading jet tagging efficiency
 2668 in both calorimeter jets degrades heavily, while the sub-lead jet tagging efficiency remains relatively con-
 2669 stant.

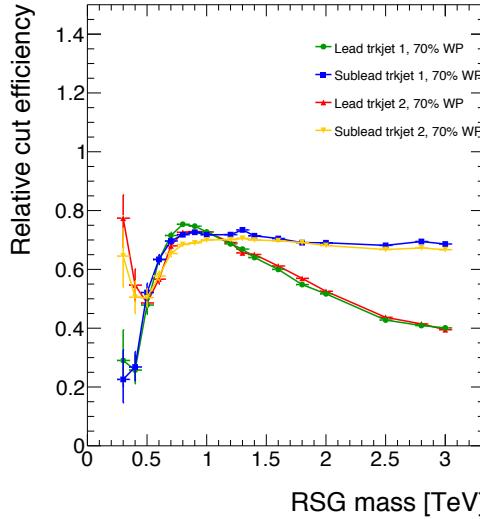


Figure B.7: MV₂c20 b -tagging efficiency for each of the four track jets in the boosted 4 b selection as a function of RSG mass for $c = 1$ models.

2670 **B.4 EFFECT OF MULTIPLE b -QUARKS INSIDE ONE JET**

2671 One hypothesis for why the efficiency of b -tagging the leading track jet degrades is that at high masses,
 2672 the b quarks get close enough together that both of them are inside of the leading track jet. Because MV₂
 2673 is not tuned for tagging multiple b quarks inside one jet, the tagging efficiency could degrade. Figure B.8
 2674 shows MV₂ scores and SV₁ mass for cases where there are two b quarks at truth level within the radius
 2675 of the leading track jet compared to cases where there is only one true b^* . This figure suggests that the
 2676 presence of two b -quarks inside the leading jet is not the cause of the degradation in efficiency. There is a
 2677 change in the shape of the MV₂ score distribution, but it is not nearly as pronounced as that seen in B.3
 2678 at higher masses. Additionally, the fraction of jets with no secondary vertex found is nearly identical in
 2679 the track jets with two truth b -quarks.

*When two truth b quarks are required in the leading jet, the subleading jet is required to have zero. When one is required for the leading, one is also required for the subleading.

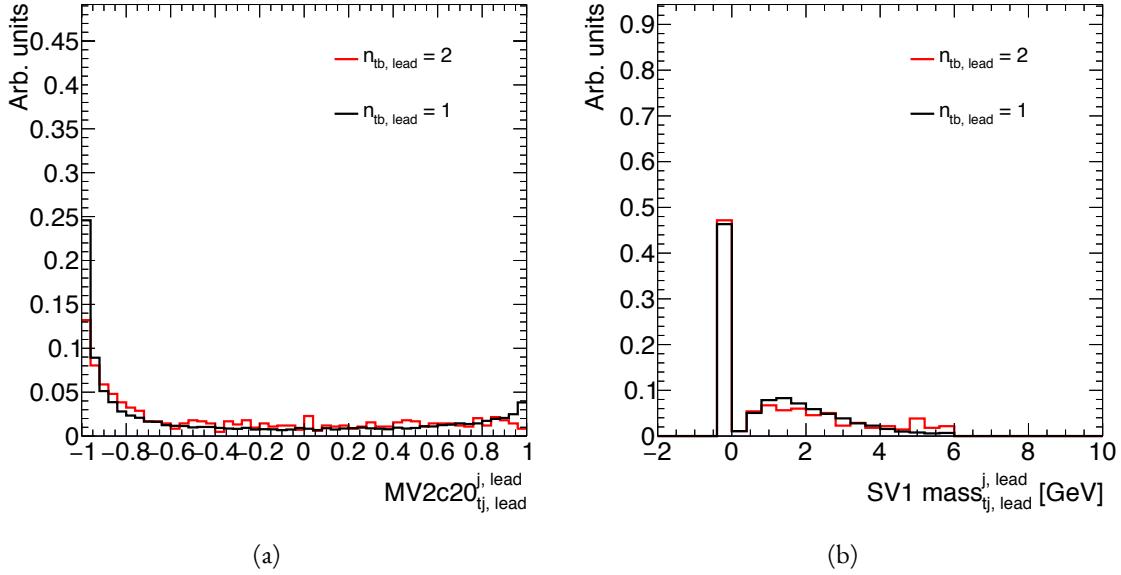


Figure B.8: MV2c20 score (a) and SV1 mass (b) for leading track jets with two truth b quarks ($n_{tb, \text{lead}} = 2$) compared to those with only one truth b ($n_{tb, \text{lead}} = 1$).

2680 B.5 CHANGES IN TRACK QUALITY AT HIGH p_T

2681 Another hypothesis for the degradation of the b -tagging efficiency is a decrease in track quality for high
 2682 p_T b jets. One way to check the overall quality of the tracking inside the jet is to investigate quantities
 2683 related to the leading track inside of the track jet. Figure B.9 shows the fit χ^2/n_{DOF} and number of hits
 2684 in the pixel detector for the leading track of the leading track jet. In both cases, the figure shows that in
 2685 higher mass samples, the quality of the leading track inside of the track jet degrades substantially. The
 2686 fit quality is lessened and the tracks have less hits in the pixel detector. This is likely due to the fact that
 2687 at higher p_T , the B -hadron will sometimes live long enough to miss the IBL and first pixel layer, thus
 2688 decreasing the number of hits on the track.

2689 To check whether this is the cause for the shift in the MV2 score and the higher difficulty in recon-
 2690 structing secondary vertices, jets whose leading track have at least four pixel hits are compared with those
 2691 whose tracks have less than four pixel hits. The results for the MV2 score and SV1 mass are shown in fig-
 2692 ure B.10. Track jets where the leading track does not have at least four pixel hits are more likely to not
 2693 have a secondary vertex reconstructed. Additionally, their MV2c20 score is shifted more significantly to

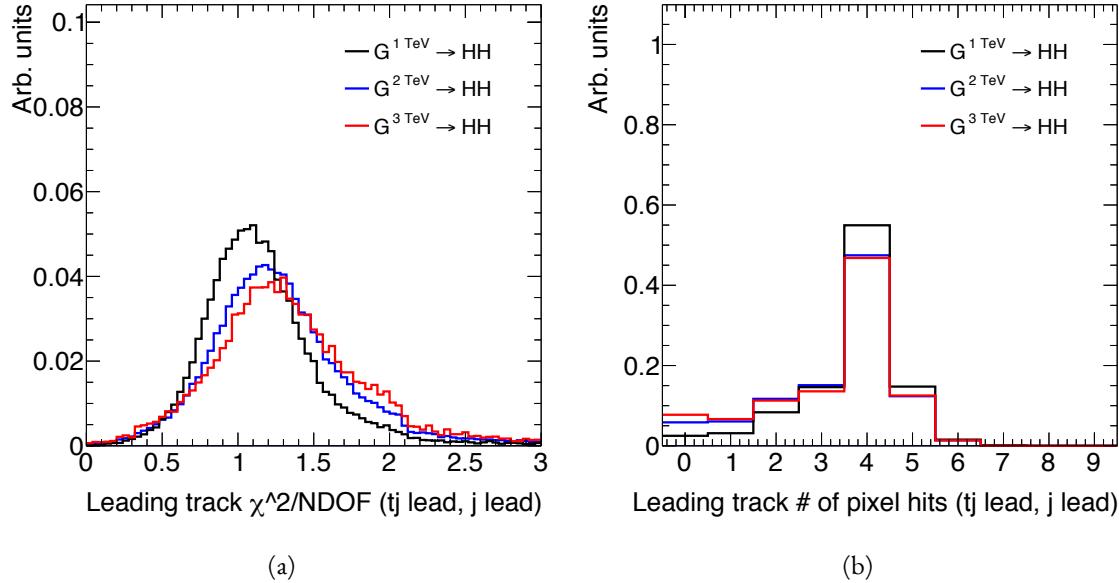


Figure B.9: Track fit χ^2/n_{DOF} (a) and number of pixel detector hits (b) for the leading track of the leading track jet in different mass RSG $c = 1$ samples

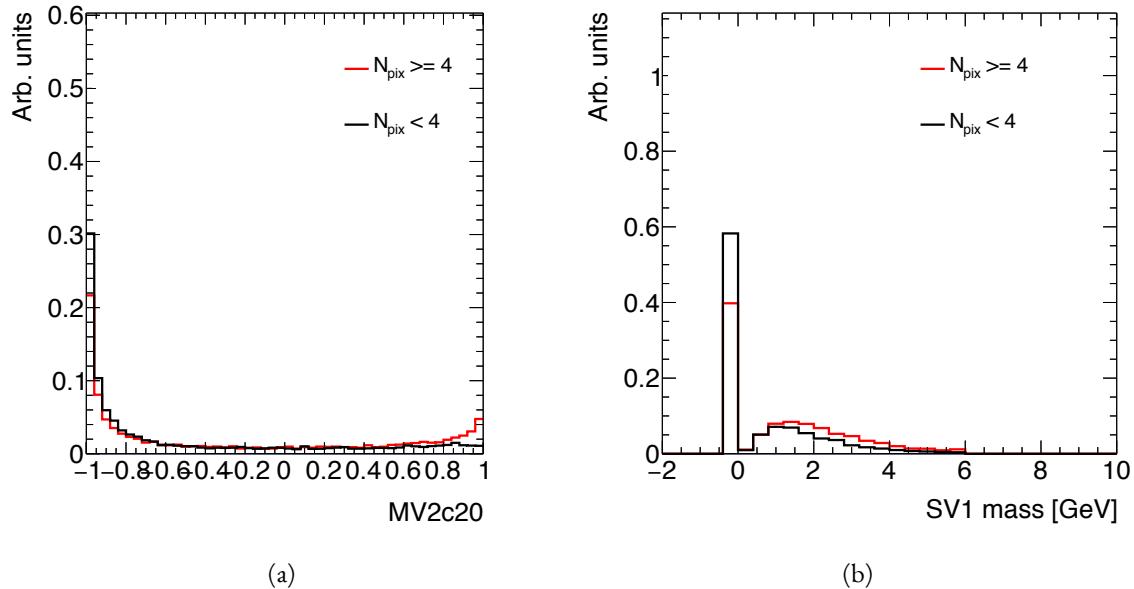


Figure B.10: MV2c20 score (a) and SV1 mass (b) for leading track jets whose leading track jet has at least four pixel hits ($N_{\text{pix}} \geq 4$) compared to those which do not ($N_{\text{pix}} < 4$).

2694 background-like values. This seems to confirm the hypothesis that degrading track quality is responsible
2695 for the lowered b -tagging efficiency at high p_{T} .

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