

# Observation of the Higgs boson in the $WW^*$ channel and search for Higgs boson pair production in the $b\bar{b}b\bar{b}$ channel with the ATLAS detector

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ATLAS detector**

**ABSTRACT**

We measured things. And searched for other things. Here is what we found, please let me graduate.

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THIS IS THE DEDICATION.

# Acknowledgments

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## Introduction

The Higgs boson is often described as one of the cornerstones of the Standard Model. Since the conception of the Higgs mechanism as the source of electroweak symmetry breaking in the early 1960s, countless collider experiments have searched for this elusive particle. This dissertation presents multiple studies of the Higgs boson with the ATLAS detector at the Large Hadron Collider (LHC). It is organized into four parts.

Part 1 presents the theoretical and experimental background required for the subsequent parts. Chapter 1 gives an overview of Higgs physics, particularly single and double Higgs production in the Standard Model and beyond. Chapter 2 presents details regarding the Large Hadron Collider and the ATLAS experiment. The evolution of machine conditions, descriptions of the ATLAS sub-detectors, and an overview of object reconstruction in ATLAS are all shown. A brief interlude on the ATLAS Muon New Small Wheel upgrade is also given, as this upgrade has been a focus of my graduate work and will have important impact on ATLAS' ability to study the Higgs at the High Luminosity LHC.

Part 2 discusses the observation and measurement of the Higgs in the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  channel in the ATLAS Run 1 dataset at  $\sqrt{s} = 7$  and 8 TeV. Because I worked in this channel from before the discovery through to the final analysis of the Run 1 dataset, Part 2 is organized in such a way to allow easy presentation of multiple analyses on different subsets of the full Run 1 dataset. Chapter 3 presents a general overview of the  $H \rightarrow WW^*$  analysis strategy and defines many of the variables and common elements used in the rest of Part 2. Chapter 4 presents the discovery of the Higgs boson, focusing on the role of the  $WW^*$  channel in this discovery. Chapter 5 presents the first observation of the vector boson fusion (VBF) production mode of the Higgs in the  $WW^*$  channel, a study which was done on the full Run 1 ATLAS dataset. In this chapter, the focus is mainly on the selection cut-based VBF analysis. The cut-based analysis was an important first step to the final VBF result which used a Boosted Decision Tree (BDT). Where appropriate, connections between the cut-based and BDT analyses are shown and their compatibility is discussed. Finally, the VBF analysis was an important input into the combined Run 1  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  result, which used both the gluon fusion and VBF channels in a combined fit to infer properties of the Higgs, including its couplings to the gauge bosons and its production cross section. This is the topic of Chapter 6.

After the discovery of the Higgs and measurement of many of its properties in Run 1, it is natural to come up with ways that the Higgs could be used as a tool to search for physics beyond the Standard Model (BSM). One particular channel where this is possible is in a search for Higgs pair production, a process that can be enhanced by BSM physics. Part 3 presents a search for Higgs pair production in the  $HH \rightarrow b\bar{b}b\bar{b}$  channel. Chapter 7 presents an overview of this search in the boosted regime, where the Higgs pairs are the result of the decay of a heavy resonance. Chapter 8 shows the combined results between the boosted regime and the resolved regime, which is sensitive to lower mass resonances and non-resonant Higgs pair production.

Finally, Part 4 presents a conclusion and brief outlook of future Higgs physics with ATLAS.

## Part I

### Theoretical and Experimental Background

# 1

## The Physics of the Higgs Boson

This chapter presents an overview of the Standard Model of Particle Physics and in particular the physics of the Higgs boson. First, a brief overview of the Standard Model and its history are presented. Then, a description of the Higgs mechanism of electroweak symmetry breaking is given. Next, the physics of single Higgs boson production and decay is described. The Standard Model also allows for production of two Higgs bosons and this is detailed as well. Finally, di-Higgs production in two beyond the Standard Model (BSM) theories - Randall-Sundrum gravitons (RSG) and Two Higgs Doublet Models (2HDM) - is shown.

### 1.1 THE STANDARD MODEL OF PARTICLE PHYSICS

The Standard Model (SM) of Particle Physics is a quantum field theory describing the fundamental particles of nature and the forces that govern their interactions. Several comprehensive treatments of the SM already exist in the literature[1, 29, 34–37] and this section will not rehash those. Rather, this

section presents a brief overview of the SM particles and forces in order to define them for subsequent discussions.

The Standard Model consists of two primary categories of fundamental particles: fermions (spin 1/2 particles) and bosons (integer spin particles). The SM also describes three forces: electromagnetism, the weak nuclear force, and the strong nuclear force. Gravity is not included in the theory and is largely irrelevant at the scales currently probed by collider experiments. Within the fermions, there are both quarks (which interact via all three forces) and the leptons. The charged leptons interact via electromagnetic and weak interactions, while neutrinos (neutral leptons) interact only via the weak force. Within the bosons, there are the  $W^\pm$  and  $Z$  bosons (the mediators of the weak force), the gluon ( $g$ , the mediator of the strong force), and the photon ( $\gamma$ ), the mediator of the electromagnetic force. Finally, there is the Higgs boson, a fundamental spin-0 particle resulting from the Higgs mechanism of electroweak symmetry breaking. Figure 1.1 summarizes the fermions and bosons of the SM.

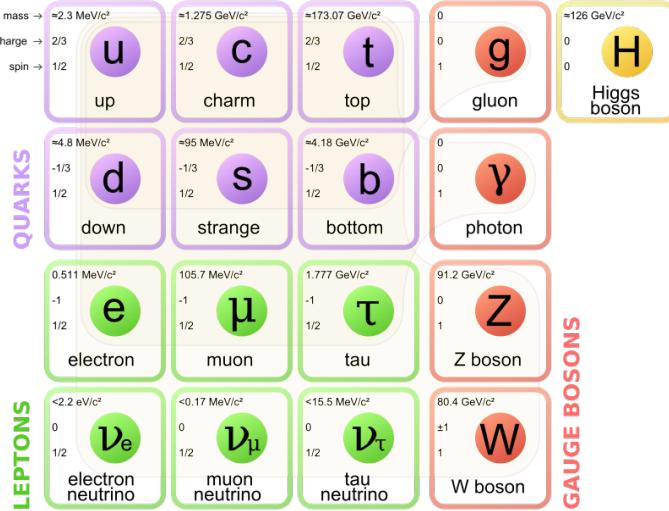


Figure 1.1: The particles of the Standard Model and their properties[1].

The Standard Model coalesced into a unified theoretical framework in the 1960s through the work of Glashow, Weinberg, Salam, and others on the theory of electroweak interactions[38–41]. This theory characterized both the electromagnetic and weak interactions as unified under a single gauge symmetry group, namely  $SU(2) \times U(1)$ . At low enough energy scales (on the order of the  $W$  and  $Z$  masses, the electroweak symmetry is broken, as evidenced by the fact that the weak bosons have mass while the

photon does not. The discovery of the Higgs boson in 2012 confirmed the Higgs mechanism as the most likely candidate for this electroweak symmetry breaking[22, 42]. The electroweak theory is then combined with the theory of quantum chromodynamics (which models the strong sector as a non-abelian  $SU(3)$  gauge group) to form the complete SM[43].

## 1.2 ELECTROWEAK SYMMETRY BREAKING AND THE HIGGS

In the Standard Model Lagrangian, it is difficult to include mass terms for the  $W$  and  $Z$  bosons without breaking the fundamental gauge symmetry of the Lagrangian. A traditional mass term does not preserve the  $SU(2) \times U(1)$  symmetry. Additionally, scattering of massive  $W$  and  $Z$  bosons violate unitarity and these diagrams diverge at high energy scales. In the 1960s, Higgs, Brout, Englert, Guralnik, Kibble, and Hagen developed a mechanism for spontaneous symmetry breaking via the addition of a complex scalar doublet to the SM. Three of the four real degrees of freedom of this complex field would go to the longitudinal modes of the  $W^\pm$  and  $Z$ , thus allowing them to have mass[44–47]. The remaining degree of freedom would manifest as an additional scalar, known now as the Higgs boson (Higgs was the first to predict the existence of the new particle).

The mechanism works by introducing a Lagrangian for the newly introduced field that still respects the symmetry of the Standard Model inherently, but with a minimum at a non-zero vacuum expectation value for the field. In this minimum of the potential, the electroweak symmetry is broken. Specifically, consider a complex scalar doublet  $\Phi$  with four degrees of freedom, as shown in equation 1.1.

$$\Phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \phi_1^+ + i\phi_2^+ \\ \phi_1^0 + i\phi_2^0 \end{pmatrix} \quad (1.1)$$

The minimal potential of a self-interacting Higgs that still respects the SM symmetry is given in equation 1.2.

$$V(\Phi) = \mu^2 \Phi^\dagger \Phi + \lambda (\Phi^\dagger \Phi)^2 \quad (1.2)$$

If the  $\mu^2$  term of this potential is positive, then the potential has a minimum at  $\Phi = 0$  and the SM

symmetry is preserved. However, if instead  $\mu^2 < 0$ , then the minimum is at a finite value of  $\Phi$ , namely

$$\Phi_{\min} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix} \quad (1.3)$$

where  $v = \sqrt{\mu^2/\lambda}$ . Because this is the location of the minimum, it corresponds to the vacuum expectation value for the field ( $\langle \Phi \rangle = \Phi_{\min}$ ). The excitations of the Higgs can then be parameterized as

$$\Phi = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix} \quad (1.4)$$

The full scalar Lagrangian, including the kinetic term, is then given as

$$\mathcal{L}_s = (D^\mu \Phi)^\dagger (D_\mu \Phi) - V(\Phi) \quad (1.5)$$

where the covariant derivative is defined as

$$D_\mu = \partial_\mu + \frac{ig}{2} \tau^a W_\mu^a + ig' Y B_\mu \quad (1.6)$$

and  $W^1, W^2, W^3$  and  $B$  are the  $SU(2)$  and  $U(1)$  gauge fields of the electroweak theory, respectively.  $g$  and  $g'$  are the corresponding coupling constants. With the scalar Lagrangian in place, the physical gauge fields can then be written as

$$W_\mu^\pm = \frac{1}{\sqrt{2}} (W_\mu^1 \mp i W_\mu^2) \quad (1.7)$$

$$Z_\mu = \frac{-g' B_\mu + g W_\mu^3}{\sqrt{g^2 + g'^2}} \quad (1.8)$$

$$A_\mu = \frac{g B_\mu + g' W_\mu^3}{\sqrt{g^2 + g'^2}} \quad (1.9)$$

Equation 1.7 corresponds to the charged  $W^+$  and  $W^-$  bosons, equation 1.8 corresponds to the neu-

tral  $Z$  boson, and equation 1.9 corresponds to the neutral photon. The masses of the particles also arise from the Lagrangian. The photon has zero mass, while the masses of the  $W$  and  $Z$  bosons are given in equation 1.10.

$$\begin{aligned} M_W^2 &= \frac{1}{4}g^2v^2 \\ M_Z^2 &= \frac{1}{4}(g^2 + g'^2)v^2 \end{aligned} \tag{1.10}$$

The fermion masses also arise through a coupling with the Higgs via the Yukawa interaction (for a detailed description, see [37]). In this case the coupling between the Higgs and the fermions goes as

$$g_{Hf\bar{f}} = \frac{m_f}{v} \tag{1.11}$$

The full Lagrangian of Higgs interactions can be written as

$$\mathcal{L}_{\text{Higgs}} = -g_{Hf\bar{f}}\bar{f}fH + \frac{g_{HHH}}{6}H^3 + \frac{g_{HHHH}}{24}H^4 + \delta_V V_\mu V^\mu \left( g_{HVV}H + \frac{g_{HHV}}{2}H^2 \right) \tag{1.12}$$

with

$$\begin{aligned} g_{HVV} &= \frac{2m_V^2}{v} & g_{HHV} &= \frac{2m_V^2}{v^2} \\ g_{HHH} &= \frac{3m_H^2}{v} & g_{HHHH} &= \frac{3m_H^2}{v^2} \end{aligned} \tag{1.13}$$

Here,  $V$  refers to the  $W^\pm$  and  $Z$ , and  $\delta_W = 1$  while  $\delta_Z = 1/2$ . Phenomenologically, there are a few features of this Lagrangian that are useful to note. First, note that the Higgs mass is a free parameter of the theory that must be determined experimentally. Second, note that the coupling of the Higgs to the vector bosons and fermions scales with the masses of these particles, a fact that is important when considering both the production and decays of the particle. Also note that the branching ratio of the Higgs to  $W$  bosons will be twice that of the branching ratio to  $Z$  if the Higgs mass is large enough to produce the particles on shell because of the extra symmetry factor associated with the  $W$  coupling. Finally, note the presence of the cubic and quartic Higgs self interaction terms, which can lead to final

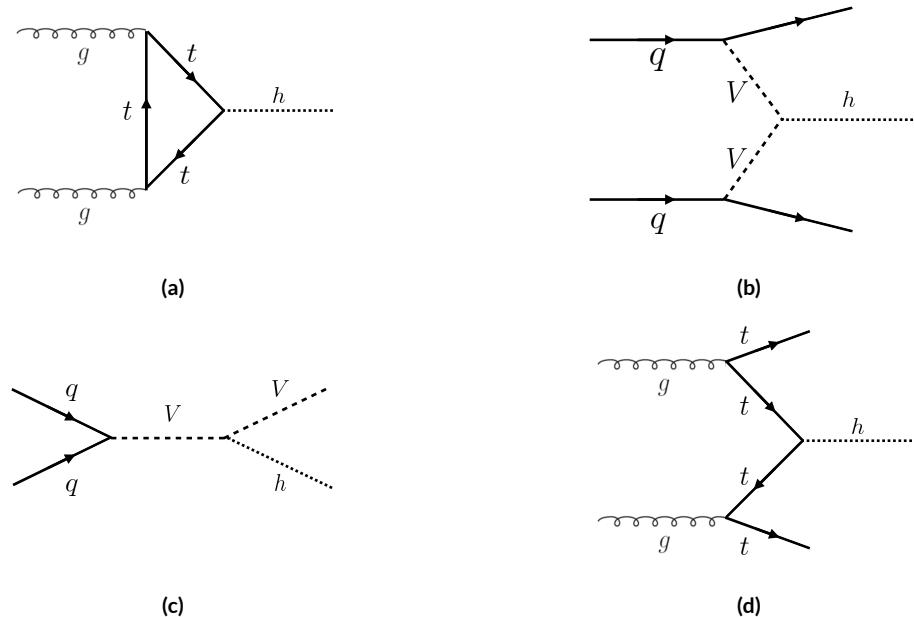
states with multiple Higgs bosons produced.

### 1.3 HIGGS BOSON PRODUCTION AND DECAY

This section discusses the properties of Higgs production and decay mechanisms. The details presented here will focus on the properties of a 125 GeV Higgs boson, as this is the mass closest to that of the newly discovered Higgs.

#### 1.3.1 HIGGS PRODUCTION

The Higgs is produced by four main production modes at the Large Hadron Collider - gluon-gluon fusion ( $ggF$ ), vector boson fusion (VBF), associated production with a  $W$  or  $Z$  boson, or associated production with top quarks ( $t\bar{t}H$ ). Figure 1.2 shows the Feynman diagrams for these four modes.

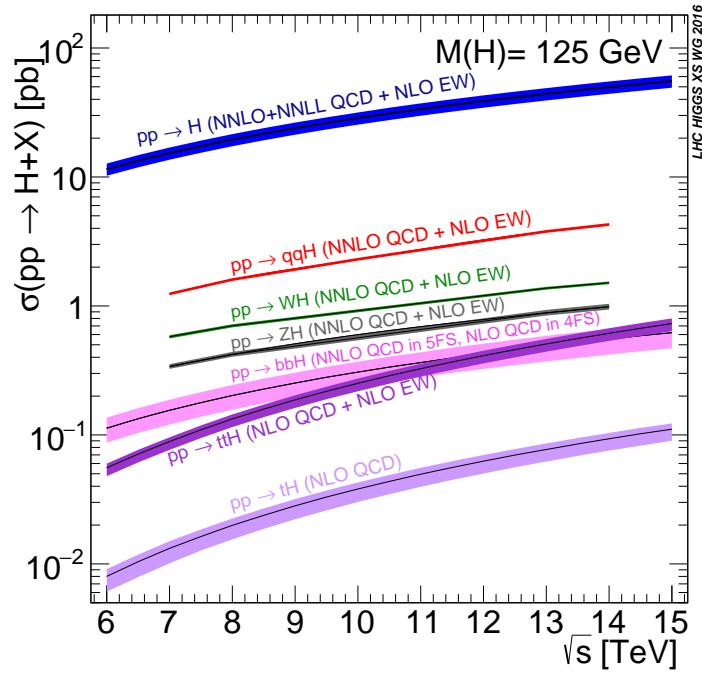


**Figure 1.2:** The four most common Higgs boson production modes at the LHC: (a) gluon-gluon fusion, (b) vector boson fusion, (c)  $W/Z + H$  production, (d)  $t\bar{t}H$  production

In gluon-gluon fusion, gluons from the incoming protons fuse via a top-quark loop to produce a Higgs. The top quark is the dominant contribution in the loop due to its heavy mass and the fact that the Higgs-fermion coupling constant scales with fermion mass. In vector boson fusion, the incoming

quarks each radiate a  $W$  or  $Z$  boson which fuse to produce the Higgs. This production mode results in a final state with a Higgs boson and two additional jets which tend to be forward because they carry the longitudinal momentum of the incoming partons. The Higgs can also be produced in association with a  $W$  or  $Z$  boson. The  $W/Z$  is produced normally and then radiates a Higgs (this mode is also sometimes known as “Higgs-strahlung”). Finally, the Higgs can be produced in association with two top quarks. Each incoming gluon splits into a  $t\bar{t}$  pair, and one of the top pairs combines to create a Higgs.

Figure 1.3 shows the production cross section for a 125 GeV Higgs boson in each of these modes at a  $pp$  collider as a function of center of mass energy.



**Figure 1.3:** Higgs production cross sections as a function of center of mass energy ( $\sqrt{s}$ ) at a  $pp$  collider[2].

In figure 1.3, note that gluon fusion has the largest cross section, while VBF is the second largest at approximately a factor of 10 smaller. The figure also includes the less commonly studied  $b\bar{b}H$  and  $tH$  modes. The  $b\bar{b}H$  and  $tH$  modes are not studied as commonly as  $t\bar{t}H$  due to the larger background contributions and lower cross sections, respectively. At  $\sqrt{s} = 8$  TeV, ggF production of a 125 GeV Higgs has a cross section of 19.47 pb, while VBF has a cross section of 1.601 pb[2]. The cross sections of all of the main Higgs production modes at this center of mass energy, as well as their uncertainties from

varying the renormalization and factorization scales and PDFs, are summarized in table 1.1 for a 125 GeV Higgs.

| Production mode      | $\sigma$ (pb)          | QCD scale<br>uncert. (%) | PDF + $\alpha_s$<br>uncert. (%) |
|----------------------|------------------------|--------------------------|---------------------------------|
| Gluon fusion         | 19.47                  | +7.3 / - 8.0             | 3.1                             |
| Vector boson fusion  | 1.601                  | +0.3 / - 0.2             | 2.2                             |
| $WH$                 | 0.7026                 | +0.6 / - 0.9             | 2.0                             |
| $ZH$                 | 0.4208                 | +2.9 / - 2.4             | 1.7                             |
| $t\bar{t}H$          | 0.1330                 | +4.1 / - 9.2             | 4.3                             |
| $bbH$                | 0.2021                 | +20.7 / - 22.3           |                                 |
| $tH$ ( $t$ -channel) | 0.01869                | +7.3 / - 16.5            | 4.6                             |
| $tH$ ( $s$ -channel) | $1.214 \times 10^{-3}$ | +2.8 / - 2.4             | 2.8                             |

**Table 1.1:** Production cross sections for a 125 GeV Higgs boson at  $\sqrt{s} = 8$  TeV with scale and PDF uncertainties [2].

### 1.3.2 HIGGS BRANCHING RATIOS

The fact that the Higgs couples more strongly to more massive particles is crucial for understanding its branching ratios. The width for Higgs decays to fermions is given in equation 1.14 [29].

$$\Gamma(H \rightarrow f\bar{f}) = \frac{N_c \sqrt{2} G_F m_f^2 m_H}{8\pi} \quad (1.14)$$

In this case,  $N_c$  is the number of colors,  $G_F$  is the Fermi constant,  $m_f$  is the mass of the fermion, and  $m_H$  is the mass of the Higgs. Note that the width scales with the square of the fermion mass. (This also assumes that the Higgs mass is large enough to decay with both the fermions on shell.)

The decay width to  $WW$  is given in equation 1.15 [29].

$$\Gamma(H \rightarrow W^+W^-) = \frac{\sqrt{2} G_F M_W^2 m_H}{16\pi} \frac{\sqrt{1-x_W}}{x_W} (3x_W^2 - 4x_W + 4) \quad (1.15)$$

where  $m_W$  is the mass of the  $W$  and  $x_W = 4M_W^2/m_H^2$ . To get the branching ratio to  $ZZ$ , the equation is divided by 2 to account for identical particles in the final state, and  $x_W$  is replaced with

$x_Z = 4M_Z^2/m_H^2$ . This is shown in equation 1.16 [29].

$$\Gamma(H \rightarrow ZZ) = \frac{\sqrt{2}G_F M_Z^2 m_H}{32\pi} \frac{\sqrt{1-x_Z}}{x_Z} (3x_Z^2 - 4x_Z + 4) \quad (1.16)$$

These formulas can also be visualized as a function of Higgs mass. Figure 1.4 shows the branching ratios as a function of the Higgs mass.

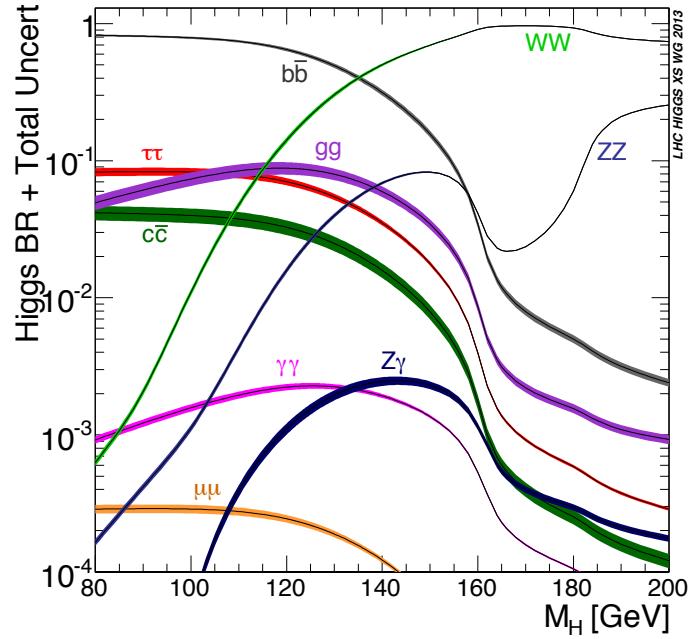


Figure 1.4: Higgs boson branching ratios as a function of  $m_H$  [2].

There are a few interesting features to note in this figure. First, note that at high Higgs masses, once on-shell production of both  $W$  and  $Z$  bosons is possible, these two decays are the dominant ones due to the large masses of the  $W/Z$ . Also note that the branching ratio to  $W$ s is twice that of  $Z$ s at these large masses due to the  $\delta_V$  symmetry factor noted previously. At 125 GeV, the Higgs is accessible through many different decay modes. The largest branching ratio is the decay  $H \rightarrow b\bar{b}$  at 58.24% [2]. This branching is larger than the  $WW/ZZ$  decays because one of the two bosons must be produced off-shell for  $m_H = 125$  GeV. The second largest branching ratio is to  $WW^*$  at 21.37 % (before taking into account the branching ratios of the  $W$ ). Table 1.2 summarizes the branching ratios for a 125 GeV Higgs. Note that there is in fact a Higgs branching ratio to  $\gamma\gamma$  even though photons are massless. This

decay happens through a loop (the largest contributions to the loop are top and  $W$ ) which suppresses the branching ratio.

| Decay          | Branching ratio (%) |
|----------------|---------------------|
| $b\bar{b}$     | 58.24               |
| $WW^*$         | 21.37               |
| $gg$           | 8.187               |
| $\tau\tau$     | 6.272               |
| $c\bar{c}$     | 2.891               |
| $ZZ^*$         | 2.619               |
| $\gamma\gamma$ | 0.2270              |
| $Z\gamma$      | 0.1533              |
| $\mu\mu$       | 0.02176             |

**Table 1.2:** Branching ratios for a 125 GeV Higgs boson[2].

Note that the branching ratios alone do not tell the full story of which Higgs channels are the most sensitive. For example, a  $H \rightarrow b\bar{b}$  search in gluon fusion production is incredibly difficult due to the large QCD dijet background at the LHC. However, in associated production of the Higgs, where a  $W$  or  $Z$  gives additional final state particles that can be used to reduce background, a search for  $H \rightarrow b\bar{b}$  can be sensitive. The combinations of production and decay modes that are most commonly studied are summarized in table 1.3 [29].

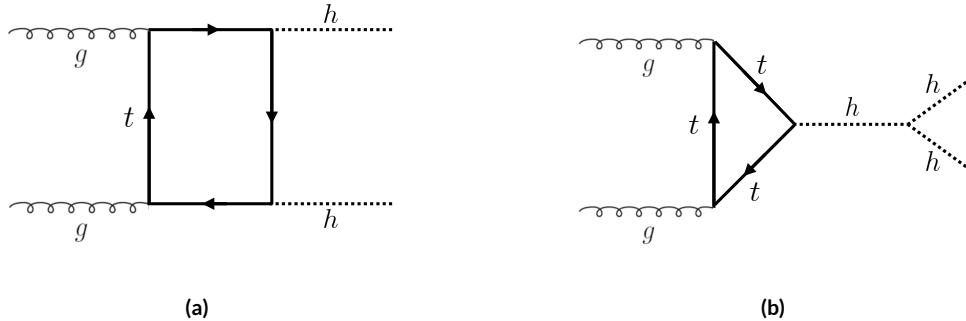
| Decay  | Inclusive (incl. ggF) | VBF | $WH/ZH$ | $t\bar{t}H$ |
|--|-----------------------|-----|---------|-------------|
| $H \rightarrow \gamma\gamma$                       | ✓                     | ✓   | ✓       | ✓           |
| $H \rightarrow b\bar{b}$                           |                       |     | ✓       | ✓           |
| $H \rightarrow \tau^+\tau^-$                       |                       | ✓   |         |             |
| $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$    | ✓                     | ✓   | ✓       |             |
| $H \rightarrow ZZ \rightarrow 4\ell$               | ✓                     |     |         |             |
| $H \rightarrow Z\gamma \rightarrow \ell\ell\gamma$ | very low              |     |         |             |

**Table 1.3:** Possible channels for Higgs searches. Checkmarks denote the most sensitive production modes [29].

#### 1.4 HIGGS PAIR PRODUCTION IN THE STANDARD MODEL

The Standard Model also allows for processes that produce two Higgs bosons in the final state, known as Higgs pair production or di-Higgs production. The two main production mechanisms are shown in

figure 1.5.



**Figure 1.5:** The two leading diagrams for Standard Model di-Higgs production at the LHC: (a) box diagram, (b) Higgs self coupling

The two diagrams in figure 1.5 interfere destructively with one another, resulting in a low overall cross section for di-Higgs production at the LHC. Nevertheless, Higgs pair production is quite interesting to study because it gives direct access to the  $\lambda$  parameter of the Higgs potential, also known as the Higgs self coupling. The diagram in figure 1.5(b) is sensitive to this coupling through the triple Higgs vertex.

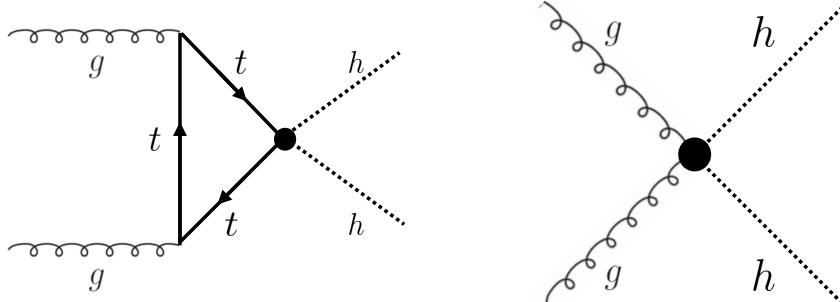
One can substitute the gluon fusion production of diagram 1.5(b) with any of the other production modes previously discussed. These other modes do not suffer from interference with the box diagram in figure 1.5(a) due to the presence of additional particles in the final state. They still have a lower cross section than the gluon fusion mode, however. The cross sections for di-Higgs production in the different modes, as well as their uncertainties, are shown in table 1.4 [30]. These are shown for  $\sqrt{s} = 14$  TeV as the higher center of mass energy is more sensitive to this process. Note that the scale of cross section quoted is now in fb rather than pb.

| Production mode     | $\sigma$ (fb) | Total<br>uncert. (%) |
|---------------------|---------------|----------------------|
| Gluon fusion        | 33.89         | +37.2 / - 27.8       |
| Vector boson fusion | 2.01          | +7.6 / - 5.1         |
| $WHH$               | 0.57          | +3.7 / - 3.3         |
| $ZHH$               | 0.42          | +7.0 / - 5.5         |
| $t\bar{t}H$         | 1.02          | -                    |

**Table 1.4:** Production cross sections for pair production of a 125 GeV Higgs boson at  $\sqrt{s} = 14$  TeV with total uncertainty [30]. The uncertainties include QCD scale and PDF variations as well as uncertainties on  $\alpha_S$ .

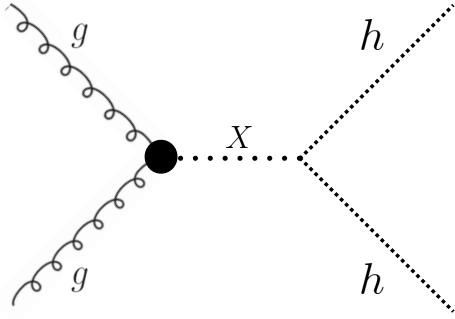
## 1.5 HIGGS PAIR PRODUCTION IN THEORIES BEYOND THE STANDARD MODEL

The Standard Model Higgs pair production cross section is rather small, and datasets on the scale of the full lifetime of the LHC will be required to obtain sensitive measurements of the Higgs self-coupling. However, the discovery of the Higgs also gives particle physicists a new tool that can be exploited in the search for new physics beyond the Standard Model. In particular, Higgs pair production is a promising channel in the search for new physics. The cross section for di-Higgs production can be altered through both resonant and non-resonant production of Higgs pairs. In non-resonant production, di-Higgs production vertices can arise from the presence of a new strong sector and additional colored particles[48–50]. Figure 1.6 shows examples of the types of vertices that can arise. In the resonant case, new heavy particle can decay to Higgs pairs. Such new particles can include heavy Higgs bosons arising in two Higgs doublet models (2HDM) or Higgs portal models as well as heavy gravitons in Randall-Sundrum theories[3, 5, 48, 51–55]. Figure 1.7 shows a generic diagram for a heavy resonance decaying to two Higgs bosons. In the 2HDM,  $X$  corresponds to the heavy CP-even scalar  $H$ . In the Randall-Sundrum model,  $X$  corresponds to a heavy spin-2 graviton  $G$ .



**Figure 1.6:** Diagrams with new vertices for non-resonant Higgs pair production arising in composite Higgs models

The next sections provide more detail on the phenomenology of resonant Higgs production in Randall-Sundrum and 2HDM models, as these models will later be tested in a dedicated search for resonant production of boosted Higgs pairs.



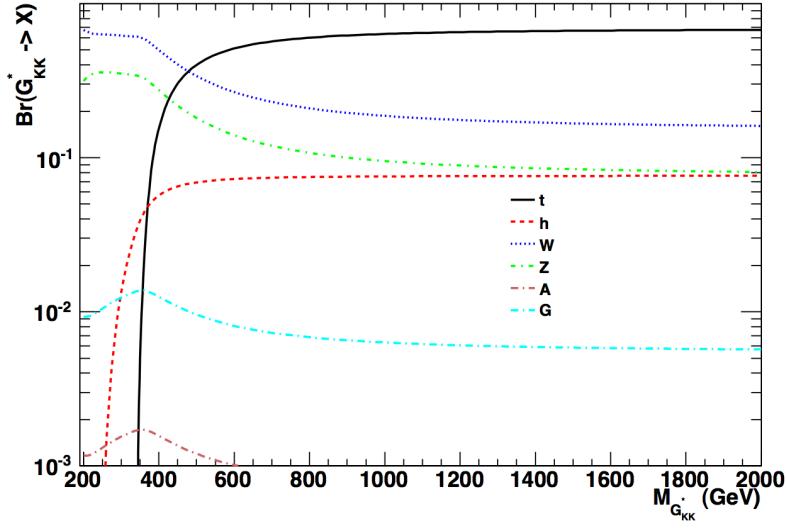
**Figure 1.7:** Generic Feynman diagram for resonant Higgs pair production in BSM theories

### 1.5.1 RANDALL-SUNDRUM GRAVITONS

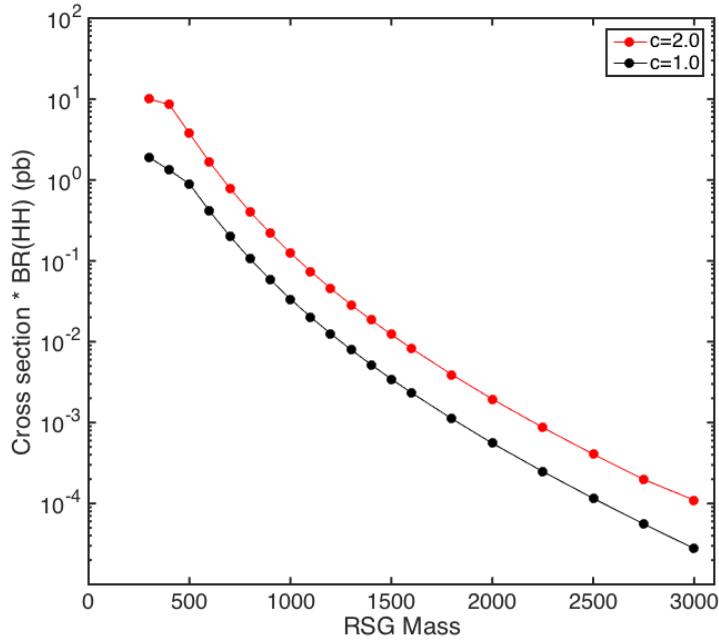
The Randall-Sundrum model is a proposed solution to the hierarchy problem that posits a five-dimensional warped spacetime that contains two branes: one where the force of gravity is very strong and a second brane at the TeV scale corresponding to the known Standard Model sector [51]. In the theory, the branes are weakly coupled and the graviton probability function drops exponentially going from the gravity brane to the SM brane, rendering gravity weak on the SM brane. The experimental consequence of this theory is a tower of widely spaced (in mass) Kaluza-Klein graviton resonances. In theories where the fermions are localized to the SM brane, production of gravitons from fermion pairs is suppressed and the primary mode of production is gluon fusion[3]. These gravitons have a substantial branching fraction to Higgs pairs, ranging from 6.43% for gravitons with a mass of 500 GeV to 7.66% at 3 TeV. Figure 1.8 shows the branching ratios of the spin-2 Randall Sundrum graviton (RSG) as a function of its mass. The predominant decays are to  $t\bar{t}$  above the mass threshold for that channel.

These models have two free parameters - the mass of the graviton and a curvature parameter  $k$ . Typically, rather than  $k$ , the theory is parameterized using  $c \equiv k/\bar{M}_{\text{pl}}$ , where  $\bar{M}_{\text{pl}}$  is the reduced Planck mass. The cross section for production of the RSG decreases as a function of mass and is strongly dependent on the gluon PDF. The increase in center of mass energy from 8 to 13 TeV in LHC Run 2 greatly increases the cross section at higher mass. Figure 1.9 shows the cross section as a function of graviton mass at  $\sqrt{s} = 13$  TeV for RSG models with  $c = 1.0$  and  $c = 2.0$ .

Another interesting feature of the theory is that the width of the graviton increases with both  $c$  and



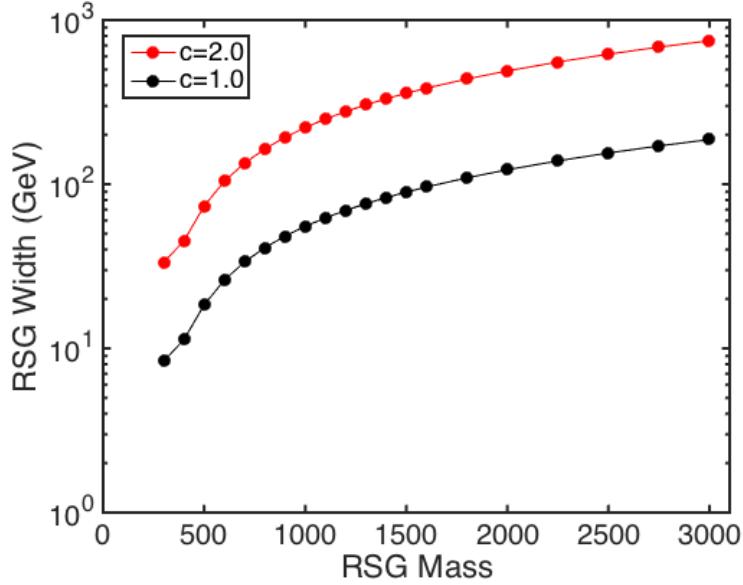
**Figure 1.8:** Branching ratios for a spin-2 Randall-Sundrum graviton as a function of mass computed in MadGraph with the CP3-Origins implementation [3, 4]



**Figure 1.9:**  $\sigma \times \text{BR}(HH)$  for RSG as a function of mass computed in MadGraph with the CP3-Origins implementation [3, 4]

$m_G$ . Figure 1.10 shows the graviton width for both  $c = 1.0$  and  $c = 2.0$  as a function of mass. In  $c = 1.0$ , the width starts at 8.365 GeV for a mass of 300 GeV and increases to 187.2 GeV at a mass of

3 TeV. Similarly, with  $c = 2.0$ , the width starts at 33.46 GeV for  $m_G = 300$  GeV and increases to 748.8 GeV at a mass of 3 TeV.



**Figure 1.10:** RSG width as a function of mass computed in MadGraph with the CP3-Origins implementation [3, 4]

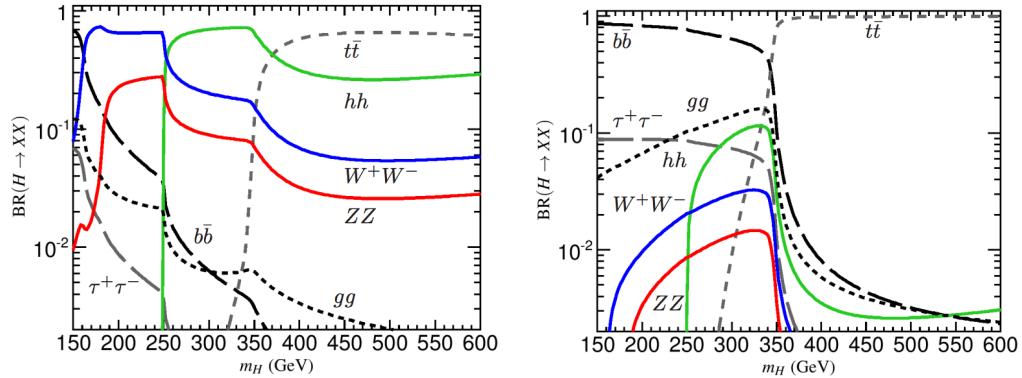
### 1.5.2 TWO HIGGS DOUBLET MODELS

In Two Higgs Doublet Models (2HDM), a second complex scalar doublet is added to SM [5, 53, 54]. In this case, all four degrees of freedom in the second doublet correspond to new particles, meaning that there are five total scalars from the two Higgs doublets -  $h$  (light CP-even Higgs),  $H$  (heavy CP-even Higgs),  $A$  (heavy CP-odd Higgs), and  $H^\pm$  (charged Higgs). The model is parameterized by two main parameters. The first,  $\tan \beta \equiv \frac{v_2}{v_1}$ , is the ratio of the vacuum expectation values of the two Higgs doublets (where  $v_1$  corresponds to the  $v$  in the SM Higgs model described above). The second parameter is  $\alpha$ , a mixing angle between the heavy and light Higgs fields. Models are also often parameterized with  $\cos(\beta - \alpha)$  rather than  $\alpha$  directly. The limit where  $\cos(\beta - \alpha) = 0$  is called the alignment limit, and it is in this limit that the light Higgs  $h$  has the same couplings as a Standard Model Higgs.

2HDM models are usually separated into two main types - Type I and Type II. In Type I models, the charged fermions only couple to the second Higgs doublet, leading to a fermiophobic light Higgs. In

Type II models, up-type quarks couple to the first doublet while down-type quarks couple to the second doublet. One specific realization of a Type II 2HDM is the Minimal Supersymmetric Standard Model (MSSM).

Resonant di-Higgs production in this model can proceed through decays of the heavy CP-even Higgs  $H \rightarrow hh$ . The branching ratio for  $H \rightarrow hh$  depends on the model type as well as the values of  $\tan \beta$  and  $\cos \beta - \alpha$ . Figure 1.11 shows the branching ratios as a function of the mass of the heavy scalar  $H$  for both Type I and Type II models. Depending on the type of model  $hh$  can be a substantial fraction of the decays of  $H$ .



**Figure 1.11:** Branching ratios for heavy Higgs  $H$  in Type I (left) and Type II (right) 2HDM models with  $\tan \beta = 1.5$  and  $\cos(\beta - \alpha) = 0.1(0.01)$  for Type I (Type II). [5]

## 1.6 CONCLUSION

Studying the Higgs sector is essential for understanding the details of how mass arises in the Standard Model and how the electroweak symmetry is broken. The discovery of the Higgs boson also opens the door for its use as a tool to search for new physics, and Higgs pair production is an ideal candidate for this study. Even if no BSM physics is found in Higgs pair production, searches for Higgs pairs will put constraints on the Higgs self coupling and thus further knowledge of the Standard Model and the details of the Higgs potential.

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# 2

## The ATLAS detector and the Large Hadron Collider

This chapter presents an overview of the experimental systems used to conduct the measurements presented in this thesis. First, a brief overview of the accelerator, the Large Hadron Collider, will be given. In this section, the accelerator conditions relevant to data-taking are presented as well. Next, an overview of the ATLAS experiment is given. The basics of each sub-detector's role are summarized, as well as the details of the datasets accumulated. Then, a brief interlude on the ATLAS Muon New Small Wheel upgrade is presented. While this new detector does not have a direct impact on any of the datasets taken so far, it will have an impact on future analyses and the work done on it is briefly summarized here. Finally, an overview of object reconstruction in ATLAS is given. While the details of all of the algorithms will not be presented in detail, aspects of the reconstruction performance such as object resolutions are shown as these are relevant to the two studies presented later in this thesis.

## 2.1 THE LARGE HADRON COLLIDER

The Large Hadron Collider (LHC) is a proton-proton collider at the CERN laboratory in Geneva, Switzerland[56]. It is designed for a maximum collision center of mass energy of  $\sqrt{s} = 14 \text{ TeV}$  and has a circumference of 26.7 kilometers. Four main experiments are located at the interaction points (IP) of the accelerator: ATLAS (A Toroidal LHC ApparatuS), CMS (the Compact Muon Solenoid), ALICE (A Large Ion Collider Experiment), and LHCb [7, 57–59]. The studies performed in this thesis were all completed with the ATLAS detector.

Figure 2.1 shows a schematic of the LHC ring and the various experiments.

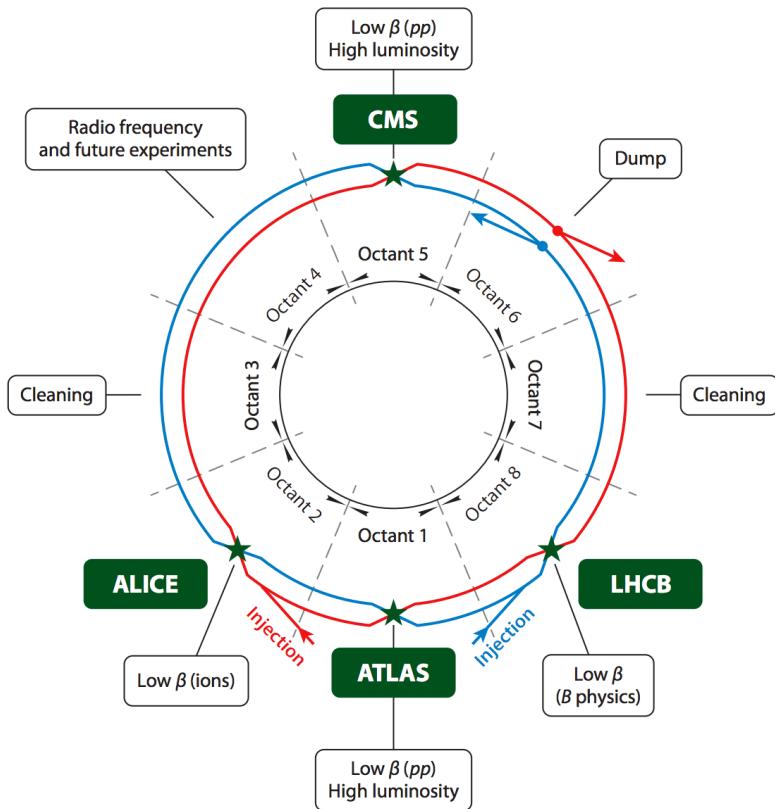


Figure 2.1: A schematic view of the LHC ring [6]

One of the most interesting features of the LHC is in its magnet design. Because the tunnel does not have room for separate superconducting magnets for each of the beam pipes, the LHC employs a twin-bore magnet design. Each magnet must hold an 8.3 Tesla magnetic field in order to bend the proton

beams at  $\sqrt{s} = 14$  TeV. The superconducting magnets are cooled to a temperature of 1.9 Kelvin with superfluid helium.

### 2.1.1 INSTANTANEOUS LUMINOSITY

The rate of physics events expected from the accelerator is dependent on the instantaneous luminosity of the machine and the cross section of the physics process,  $R_{\text{events}} = L\sigma$ . Here,  $R_{\text{events}}$  is the number of events per second,  $L$  is the instantaneous luminosity of the machine, and  $\sigma$  is the cross section for the physics process being measured. The instantaneous luminosity of the LHC is determined by numerous factors related to machine conditions. Equation 2.1 gives the equation for instantaneous luminosity of Gaussian beam profile [6].

$$L = \frac{N_b^2 n_b f_{\text{rev}} \gamma_r}{4\pi \epsilon_n \beta^*} F \quad (2.1)$$

The LHC collides protons in bunches, and in the above equation  $N_b$  is the number of protons per bunch while  $n_b$  is the number of bunches per beam. Nominally, the LHC can hold up to 2808 proton bunches.  $f_{\text{rev}}$  is the revolution frequency.  $\epsilon_n$  is the normalized transverse beam emittance, a measurement of the average spread of the particles position-momentum space which has the dimension of length.  $\beta^*$  is the value of the *beta* function for the beam at the interaction point. It relates the emittance to the Gaussian width of the beam with  $\sigma_{\text{beam}} = \sqrt{\epsilon \cdot \beta}$ .  $F$  is a reduction factor that corrects for the fact that the beams are colliding at an angle at the IP.

Another way of writing the instantaneous luminosity is shown in equation 2.2. In this case, the instantaneous luminosity is written as the ratio of the rate of inelastic collisions with the inelastic cross section[60].

$$L = \frac{R_{\text{inel}}}{\sigma_{\text{inel}}} = \frac{\mu n_b f_{\text{rev}}}{\sigma_{\text{inel}}} \quad (2.2)$$

In this case,  $\mu$  is the average number of interactions per bunch crossing in the accelerator.  $\mu$  is a useful parameter for characterizing the amount of activity recorded in an experiment. As the instantaneous

luminosity and thus  $\mu$  increase, there are more interactions per bunch crossing and more activity in the detector. This is often characterized with  $\langle \mu \rangle$ , the measured per bunch crossing  $\mu$  value averaged over all bunch crossings. The interactions inside each bunch crossing that are not the main physics process of interest are often referred to as “pileup” interactions, and  $\langle \mu \rangle$  is a measurement of the level of pileup in the detector.

### 2.1.2 EVOLUTION OF MACHINE CONDITIONS

This thesis uses datasets taken at three different center of mass energies:  $\sqrt{s} = 7$  TeV data taken in the year 2011,  $\sqrt{s} = 8$  TeV data taken in the year 2012, and  $\sqrt{s} = 13$  TeV dataa taken in the year 2015. In addition to increasing center of mass energy, the instananeous luminosity and parameters that determine it were evolving. Table 2.1 summarizes that machine conditions in each of these datasets.

|   | 2011                  | 2012                 | 2015               | Design                |
|---|-----------------------|----------------------|--------------------|-----------------------|
| $\sqrt{s}$ [ TeV]   | 7                     | 8                    | 13                 | 14                    |
| Number of bunches   | 1380                  | 1380                 | 1825               | 2808                  |
| Max. protons per bunch  | $1.45 \times 10^{11}$ | $1.7 \times 10^{11}$ |                    | $1.15 \times 10^{11}$ |
| Bunch spacing [ns]  | 50                    | 50                   | 25                 | 25                    |
| Max. instantaneous luminosity [ $\text{cm}^{-2}\text{s}^{-1}$ ] | $3.7 \times 10^{33}$  | $7.7 \times 10^{33}$ | $5 \times 10^{33}$ | $10^{34}$             |
| $\beta^*$ [m]   | 1.0                   | 0.6                  | 0.8                | 0.55                  |
| $\langle \mu \rangle$   | 11.6                  | 20.7                 | 13.7               | -                     |

Table 2.1: Evolution of LHC machine conditions [31, 32]

## 2.2 THE ATLAS DETECTOR

The ATLAS detector is a multi-purpose particle detector experiment at the LHC’s Point 1 [7]. It has nearly  $4\pi$  coverage in solid angle around the interaction point. It consists of an inner detector for measuring charged particles, electromagnetic and hadronic calorimeters, and a muon spectrometer. Figure 2.2 gives an overview of the detector.

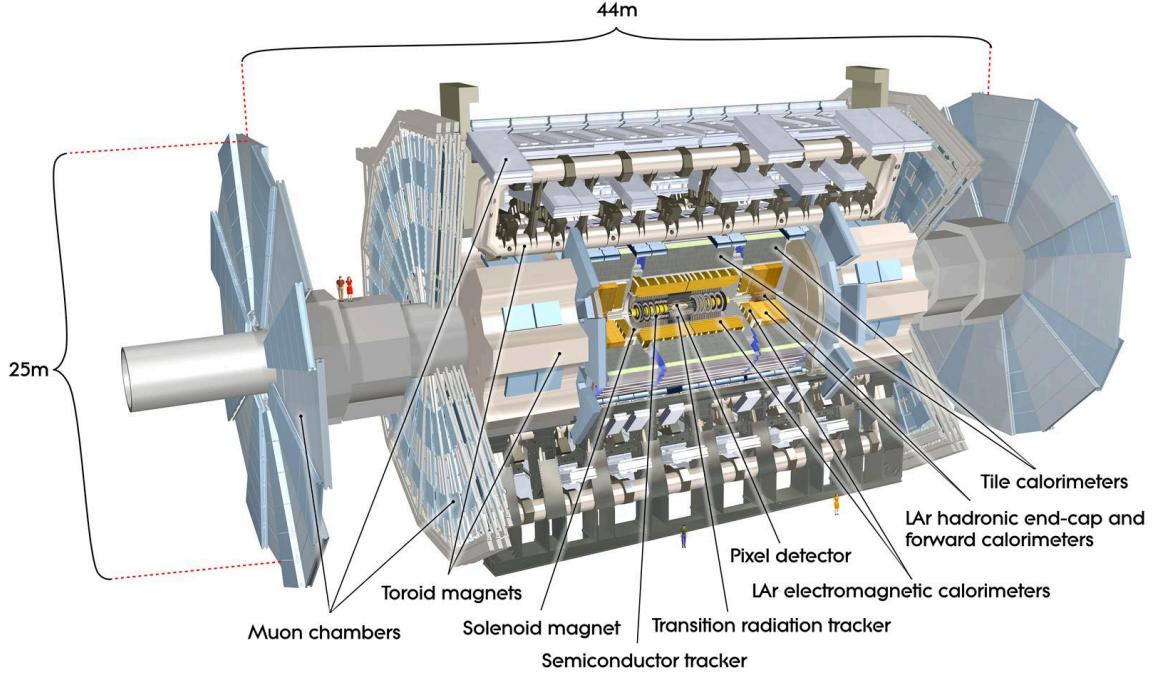


Figure 2.2: A full diagram of the ATLAS detector [7]

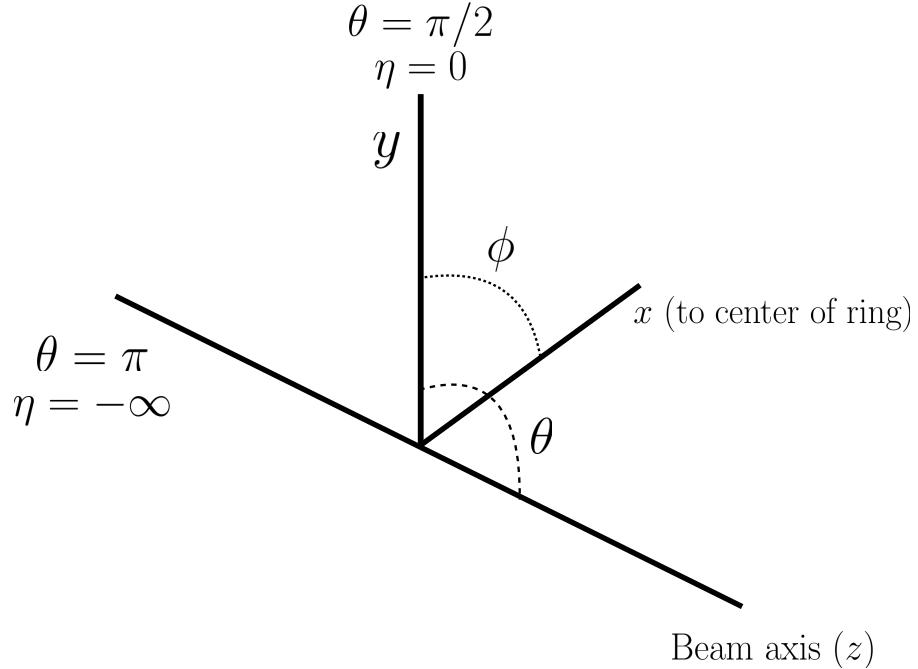
### 2.2.1 COORDINATE SYSTEM

Before defining the properties of the individual detectors, it is important to establish the coordinate system used. Figure 2.3 shows a schematic of the coordinate system. The azimuthal plane (perpendicular to the beam line) is defined as the  $x$ - $y$  plane. The angle in this plane is referred to as  $\phi$ . The angle relative to the beam axis is referred to as  $\theta$ . Rather than using  $\theta$  directly as a coordinate, the experiment often uses the pseudorapidity  $\eta$ .  $\eta$  is defined in equation 2.3.

$$\eta = \ln \left( \tan \left( \frac{\theta}{2} \right) \right) \quad (2.3)$$

Pseudorapidity is the massless approximation of rapidity, the angle used to parameterize boosts in special relativity. This is important for two reasons. First, it means that differences in  $\eta$  are Lorentz invariant. Second, particle production is roughly constant in pseudorapidity. Particles with  $\eta$  close to zero are referred to as “central”, while those at high  $|\eta|$  are called “forward”. In general, two main detector

topologies can be seen in figure 2.2. There are “barrel” elements, which surround the beam line cylindrically and are in the central region of the detector. In the forward region, there are “endcap” regions which are arranged as disks perpendicular to the beam line.



**Figure 2.3:** The ATLAS coordinate system

### 2.2.2 INNER DETECTOR

The ATLAS Inner Detector (ID) system is built for precision tracking of charged particles. It covers the range  $|\eta| < 2.5$ . In this range, approximately 1000 particles are generated every bunch crossing in the detector. This requires having fine granularity to achieve the resolutions required for good momentum measurement and vertex reconstruction.

The ID consists of three sub-components: the pixel detector, semiconductor tracker (SCT), and transition radiation tracker (TRT). It is surrounded by a solenoid providing a 2 T axial magnetic field which bends particles in the transverse plane to allow for momentum measurement. Figure 2.4 shows the layout of each of these components.

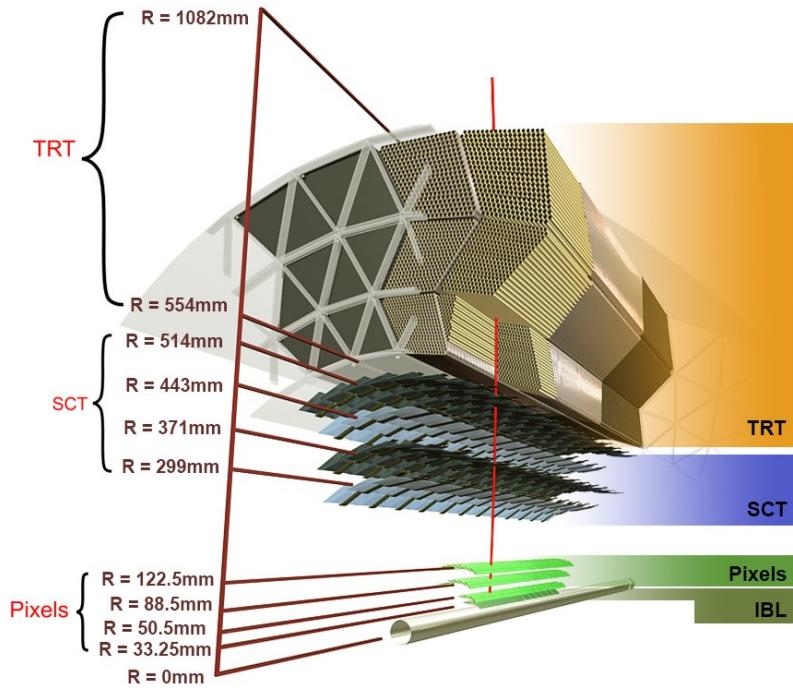


Figure 2.4: Layout of the ATLAS Inner Detector system [8]

## PIXEL DETECTOR

The pixel detector is the first detector particles traverse after being generated in proton collisions and is the most granular detector. Its operation is crucial for precision tracking and vertex reconstruction as well as higher level object reconstruction like tagging of jets from  $b$ -quarks. The basic sensing element in this subdetector is a silicon pixel detector. The operating principle for the silicon pixels is that of a  $p$ - $n$  junction. When a charged particle passes through, it creates electron-hole pairs that are then separated by the electric field. The sensors are  $250 \mu\text{m}$  thick and use oxygenated  $n$ -type wafers with readout pixels on the  $n^+$  side of the detector [7]. Overall, the pixel detector has 1744 sensors and 80.4 million readout channels.

In the barrel region, the pixel detector has three concentric layers of sensors surrounding the beamline. In the endcap region, it consists of disks perpendicular to the beam axis. The detector is segmented in the  $R$ - $\phi$  plane and in  $z$ . Usually, three pixel layers are crossed by a charged particle track. The intrinsic accuracies of the sensors are  $10 \mu\text{m}$  in  $R$ - $\phi$  and  $115 \mu\text{m}$  in  $z$  (or  $R$  for the endcap).

## INSERTABLE B-LAYER

In Run 2, a new innermost pixel layer, known as the insertable B-layer (IBL), was added to the Inner Detector [61]. This layer was added to cope with the higher luminosities planned in LHC Run 2 and at the high luminosity HL-LHC. Additionally it improves tracking position resolution which in turn improves the vertexing and  $b$ -tagging capabilities in ATLAS. The detector sits directly on a new beam pipe, only 33.25 mm away from the collision points in the azimuthal plane.

## SEMICONDUCTOR TRACKER (SCT)

The semiconductor tracker (SCT) consists of silicon microstrips and comprises the next four layers of the ID. This sub-detector has 6.4cm long sensors that are daisy-chained into strips with a strip pitch of  $80\ \mu\text{m}$  [7]. Some of the strips have a small stereo angle to allow for measurement of both angular coordinates. In total there are 6.3 million readout channels. The intrinsic accuracies are  $17\ \mu\text{m}$  in  $R\text{-}\phi$  and  $580\ \mu\text{m}$  in  $z$  (or  $R$  in the endcap).

## TRANSITION RADIATION TRACKER (TRT)

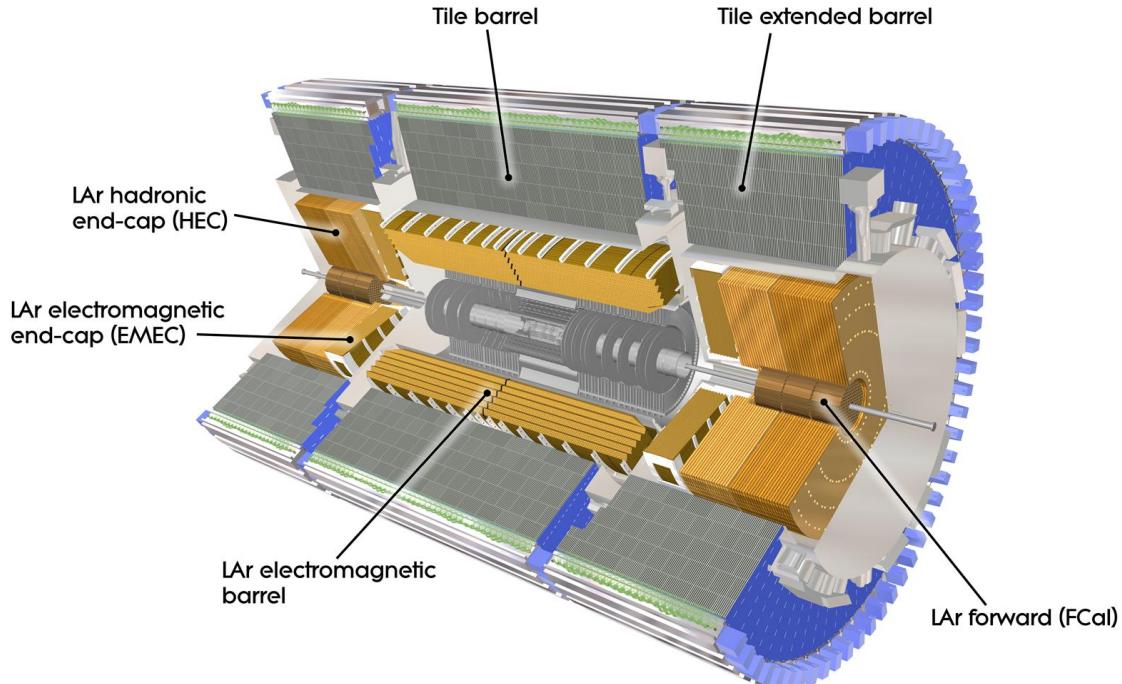
The transition radiation tracker (TRT) serves two purposes. First, it consists of 4mm diameter straw tubes filled with a 70/27/3% gas mixture of xenon, carbon dioxide, and oxygen to provide tracking of charged particles. Particles typically have 36 TRT straw tube hits per track. The material in between the straws is designed to induce transition radiation which can be useful for particle identification. As particles pass between media with different dielectric constants, they emit transition radiation that can cause additional showers in the TRT. In particular it is useful for discrimination between electrons and pions or other charged hadrons, as the amount of transition radiation is proportional to the Lorentz factor of the particle.

### 2.2.3 CALORIMETERS

The calorimeter system consists of two main sub-components: a fine granularity electromagnetic calorimeter tailored for the measurement of photons and electrons and multiple coarser hadronic calorimeters

dedicated to the measurement of hadronic showers [7]. The calorimeter system has broader coverage than the inner detector, covering the region out to  $|\eta| < 4.9$ . It is also designed to deliver good containment of showers so as to limit leakage into the muon system. Figure 2.5 shows the layout of the calorimeter system.

Both the electromagnetic and hadronic calorimeters are sampling calorimeters. They alternate active material for energy measurement with passive material for energy absorption. The materials used for each purpose vary based on the type of calorimeter and its location in the detector.



**Figure 2.5:** Layout of the ATLAS calorimeter system [7]

## ELECTROMAGNETIC CALORIMETER

The electromagnetic calorimeter (EM calorimeter) use liquid Argon (LAr) as its active material and lead as its passive material. It is arrange in an accordion geometry to increase the absorption area while still allowing it to have no azimuthal cracks (complete symmetry in  $\phi$ ). The EM calorimeter is divided into a

barrel portion that extends to  $|\eta| < 1.475$  and an endcap portion going from  $1.375 < |\eta| < 3.2$ . The region where these two units overlap is called the “transition region”.

In order to provide good containment the calorimeter depth must be optimized. Typically, for electromagnetic calorimeters the depth is measured in radiation lengths. In general, the intensity of a particle beam attenuates exponentially in distance with a constant equal to the radiation length. That is,  $I(x) = I_0 e^{-x/X_0}$ , where  $I$  is the intensity,  $x$  is the distance traveled, and  $X_0$  is the radiation length. The ATLAS EM calorimeter is designed to have  $> 22$  radiation lengths in the barrel and  $> 24$  in the endcap [7].

## HADRONIC CALORIMETERS

There are three types of hadronic calorimeters present in ATLAS: the tile calorimeter (TileCal), hadronic endcap (HEC), and forward calorimeter (FCal). Each one is optimized for stopping of hadronic showers and the materials chosen are specific to their placement in the detector.

The TileCal is a scintillating tile calorimeter placed directly outside the EM calorimeter. It uses steel as the absorber and plastic scintillator tiles as the active material. It has coverage in the barrel at  $|\eta| < 1.0$  and in the “extended barrel” region of  $0.8 < |\eta| < 1.7$ .

The HEC had two wheels perpendicular to the beam line per endcap and is located directly behind the EM calorimeter endcap modules. The HEC covers the region from  $1.5 < |\eta| < 3.2$ , overlapping slightly with both the tile calorimeter and the forward calorimeter. Like the EM calorimeter, it uses liquid Argon as the active material, but it uses copper as the absorber.

The FCal covers the most forward regions of the calorimeter system, extending to the region of  $3.1 < |\eta| < 4.9$ . It again uses liquid argon as its active material. For absorber, it consists of an innermost module made of copper followed by a module made of tungsten.

The hadronic equivalent of radiation length is called the interaction length and is denoted as  $\lambda$ . In the barrel, the hadronic calorimeter depth is approximately  $9.7\lambda$ , while in the endcap is is  $10\lambda$ . The outer supports contribute an additional  $1.3\lambda$ . This is been shown to be sufficient to limit punch-through of showers to the muon system [7].

#### 2.2.4 MUON SPECTROMETER

The muon spectrometer is dedicated to measuring the momentum and position of muons. It consists of tracking and trigger chambers which are unique in the barrel and endcap regions. The magnetic field for bending of muons is provided by a system of three large air-core toroid magnets (from which ATLAS derives its name.) These magnets provide 1.5 to 5.5 Tm of bending power at  $0 < |\eta| < 1.4$  and approximately 1 to 7.5 Tm in the endcap region of  $1.6 < |\eta| < 2.7$ . The entire muon system covers the range  $0 < |\eta| < 2.7$ . Monitored drift tubes (MDTs) are used for tracking in the barrel and the two outer layers of the endcap, while cathode strip chambers (CSCs) are used to provide tracking in the innermost endcap wheel. In the barrel, resistive plate chambers (RPCs) are used as trigger chambers while thin gap chambers (TGCs) are used in the endcap. Figure 2.6 shows the layout of the ATLAS muon system. The entire muon system is designed with the specification of providing a 10% momentum resolution for a 1 TeV muon.

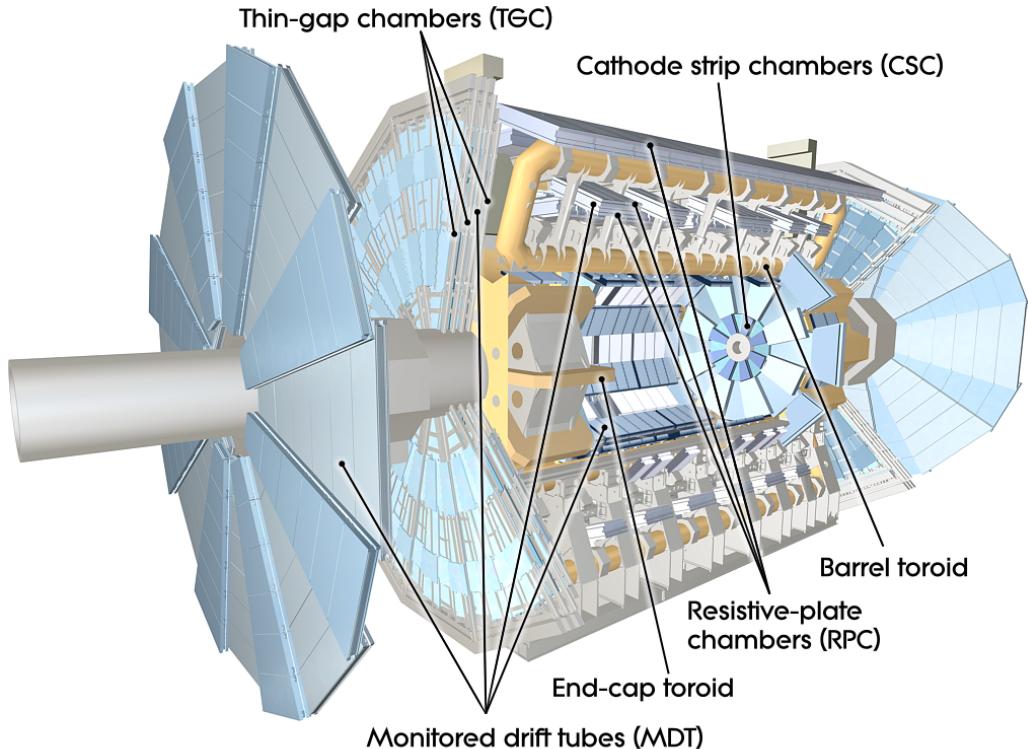


Figure 2.6: Layout of the ATLAS muon system [7]

## MONITORED DRIFT TUBES (MDTs)

The monitored drift tubes (MDTs) are aluminum 3cm diameter tubes filled with a 93/7 % mixture of Argon and CO<sub>2</sub>, with trace amounts of water. As a charged particle traverses the tube, it ionizes the gas and the ions drift to a wire at the center of the tube. The radial distance of traversal of the particle in the tube is determined by the drift time of the electrons, allowing for fine position resolution. The tubes have an average resolution of 80  $\mu\text{m}$  per tube and a maximum drift time of approximately 700ns. The tubes are oriented so that they give precision measurement in  $\eta$  and run along  $\phi$ . They cover  $|\eta| < 2.7$ , except in the innermost layer of the endcap where they only go to  $|\eta| < 2.0$  [7].

## CATHODE STRIP CHAMBERS (CSCs)

The cathode strip chambers cover a narrow window of the innermost endcap region at  $2.0 < |\eta| < 2.7$ . In this region the background rates in the cavern are particularly high and the CSCs are designed to handle these higher rates. The CSCs are multiwire proportional chambers with wires pointing in the radial direction (away from the beam pipe). The wire serves as an anode and there are two types of segmented cathode strip, one perpendicular to the wires which gives the precision measurement and one parallel which provides the transverse coordinate. It has an 80/20 gas mixture of Argon and CO<sub>2</sub> [7].

## RESISTIVE PLATE CHAMBERS (RPCs)

The resistive plate chambers (RPCs) are gaseous electrode-plate detectors covering the region  $|\eta| < 1.05$ . They consist of two resistive plates separated by a distance of 2 mm. The gas mixture used is a 94.7/5/0.3% mixture of C<sub>2</sub>H<sub>2</sub>F<sub>4</sub>, Iso-C<sub>4</sub>H<sub>10</sub>, and SF<sub>6</sub>. It has readout strips with a pitch of 23-35 mm for both  $\eta$  and  $\phi$  measurement and thus provides measurement of the azimuthal coordinate in the barrel that the MDTs do not. The thin gas gap allows for a quick response time which makes it ideal for use in the trigger. There are three layers of RPCs which are referred to as the three trigger stations. They allow for both a low  $p_T$  and high  $p_T$  trigger. The coincidence of hits in the innermost chambers allows for triggering of muons between 6 and 9 GeV, while the outermost layer allows the trigger to select high momentum tracks in the range of 9 to 35 GeV [7].

## THIN GAP CHAMBERS (TGCs)

The thin gap chambers (TGCs) are multiwire proportional chambers where the wire to cathode distance (1.4mm) is smaller than the wire-to-wire distance (1.8 mm). They contain a gas mixture of CO<sub>2</sub> and *n*-pentane and use a hih electric field to gain good time resolution. They serve two functions in the end-cap system. First, they serve as the trigger chambers. Second, they also provide azimuthal coordinate measurement which the MDTs do not. They sit on the inner and middle layers of the endcap. The outermost layer's azimuthal coordinate is determined by extrapolation [7].

### 2.2.5 MAGNET SYSTEM

As mentioned previously, there are two independent magnet systems in ATLAS. The first is a 2 T solenoid field in the inner detector which provides bending in the azimuthal plane. The second is an approximately 0.5 T toroidal field in the muon system which provides bending in  $\eta$ . Figure 2.7 shows the predicted field integral as a function of  $|\eta|$  [7].

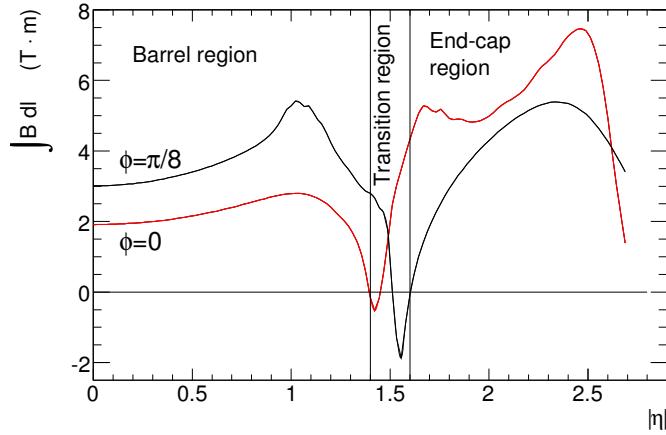


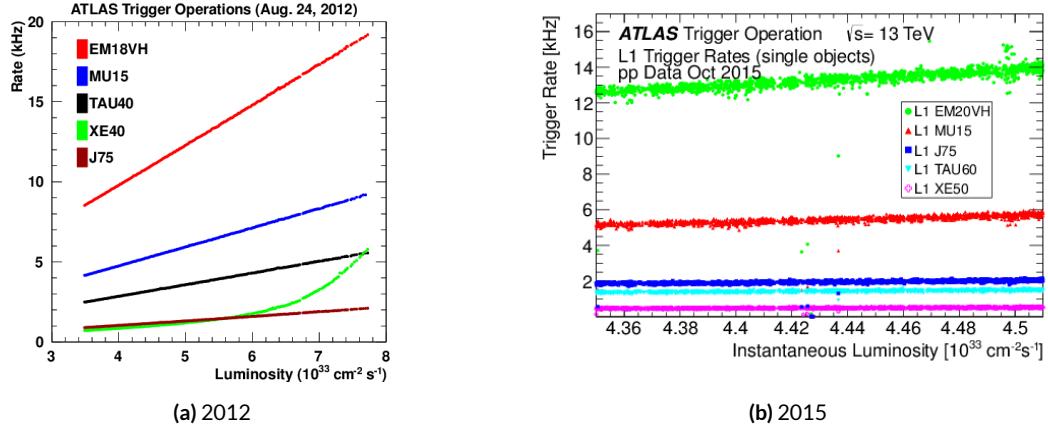
Figure 2.7: Predicted field integral as a function of  $|\eta|$  for the ATLAS magnet system [7]

### 2.2.6 TRIGGER SYSTEM

The ATLAS trigger system searches for signatures of muons, electrons, photons, hadronically decaying  $\tau$  leptons, and jets in order to save these events for further analysis. The trigger system in ATLAS

is designed to reduce the maximum LHC event rate of 40 MHz to a more reasonable rate that can be recorded. The trigger first consists of a fast, hardware based system called the Level-1 (L1) trigger. The L1 trigger consists of independent dedicated detector sub-components that can seed regions of interest (RoIs) for further analysis downstream. For muons, the RPCs and TGCs are used, while in the calorimeter coarsely grained sections of calorimeter cells called towers are used. Once regions of interest are seeded, a software based system called the High Level Trigger (HLT) is used to reconstruct objects and integrate information from different parts of the detector. In Run 1 of ATLAS, the HLT consisted of two separate stages: the level 2 (L2) trigger and the event filter (EF).

The maximum trigger rate that the L1 trigger can handle is 75 kHz. In the HLT, the rate of events written to disk is approximately 200 Hz. Figure 2.8 shows the trigger rates for different L1 triggers in 2012 and 2015 for ATLAS [9].

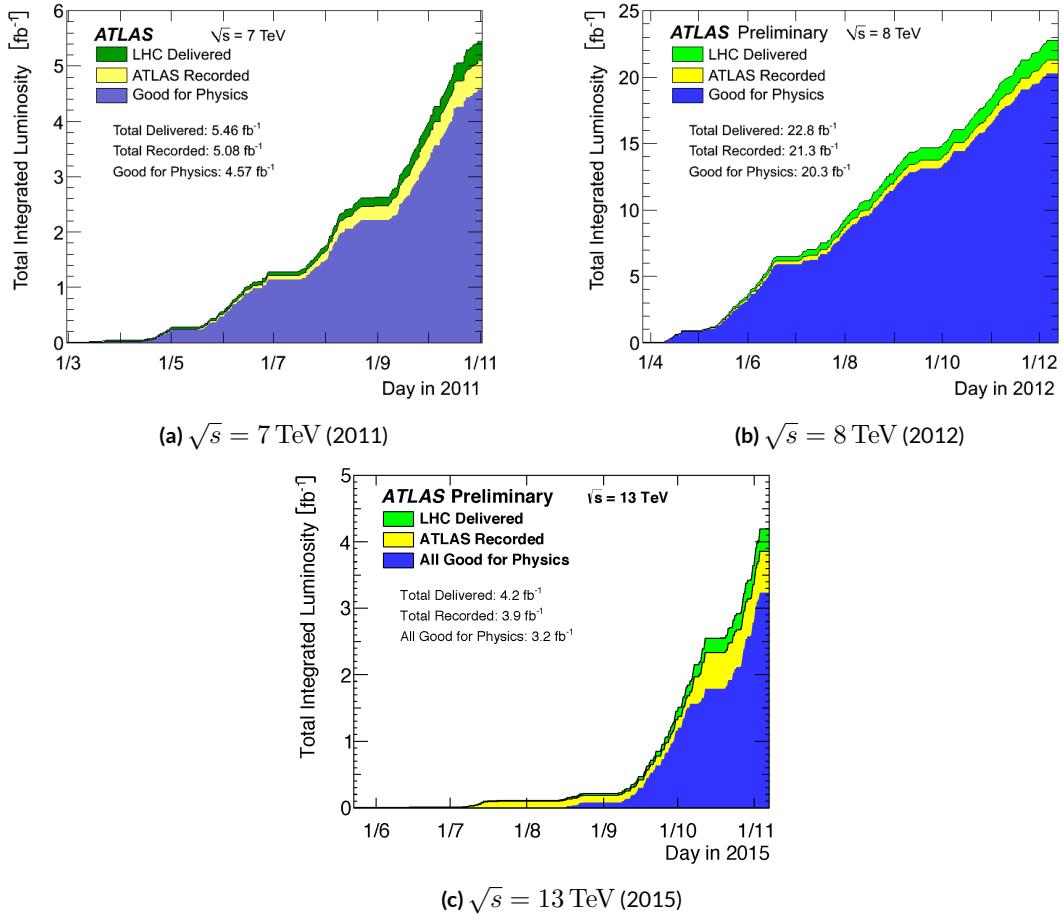


**Figure 2.8:** ATLAS trigger rates for Level-1 triggers as a function of instantaneous luminosity in 2012 and 2015 operation. These are single object triggers for electromagnetic clusters (EM), muons (MU), jets (J), missing energy (XE), and  $\tau$  leptons (TAU). The threshold of the trigger is given in the name in GeV. [9]

### 2.2.7 ATLAS DATASETS

ATLAS has collected data at center of mass energies of 7, 8, and 13 TeV. Figure 2.9 shows the integrated luminosity as a function of time for each of the three collected datasets. At  $\sqrt{s} = 7 \text{ TeV}$ , ATLAS recorded  $5.08 \text{ fb}^{-1}$ . Increased instantaneous luminosity in 2012 led to a larger dataset of  $21.3 \text{ fb}^{-1}$  recorded at  $\sqrt{s} = 8 \text{ TeV}$ . After Long Shutdown 1 (LS1) of the LHC and a restart in 2015, ATLAS

recorded  $3.9 \text{ fb}^{-1}$  of data at  $\sqrt{s} = 13 \text{ TeV}$ . [10, 11]



**Figure 2.9:** Instantaneous luminosity as a function of time for data recorded by ATLAS at different center of mass energies [10, 11]

### 2.2.8 DETECTOR PERFORMANCE

Table 2.2 summarizes the design requirements for each of the different sub-detectors. This table shows the energy and momentum resolution of each tracking, calorimetry, and muon measurements.

### 2.3 THE ATLAS MUON NEW SMALL WHEEL UPGRADE

As the LHC continues operation, it is scheduled to be upgraded in several phases to allow it to reach higher instantaneous luminosities and thus collect larger datasets. These conditions will open new doors

|                      | Required resolution                        |
|----------------------|--|
| Tracking             | $\sigma_{p_T}/p_T = 0.05\% p_T \oplus 1\%$ |
| EM calorimetry       | $\sigma_E/E = 10\%/\sqrt{E} \oplus 0.7\%$  |
| Hadronic calorimetry |  |
| Barrel and end-cap   | $\sigma_E/E = 50\%/\sqrt{E} \oplus 3\%$    |
| Forward              | $\sigma_E/E = 100\%/\sqrt{E} \oplus 10\%$  |
| Muon spectrometer    | $\sigma_{p_T}/p_T$ at $p_T = 1$ TeV        |

**Table 2.2:** Performance requirements for the ATLAS detector [7].

for study of rare physics processes but will also present interesting challenges that must be faced. ATLAS will require new detector technologies to cope with the increased background rates in the cavern in these high luminosity conditions. One such upgrade, scheduled to be installed during Long Shutdown 2 (LS2) of the LHC in 2018, is the ATLAS Muon New Small Wheel (NSW) upgrade [12]. The NSW will replace the innermost end-cap wheel of the muon system with new technologies, as this is the part of the muon detector closest to the beam and thus suffers from the highest rates.

### 2.3.1 MOTIVATION

The motivation of the NSW is two-fold. First, the objective is to alleviate the decreased tracking efficiency that comes in a high rate environment. As figure 2.10, at the LHC design luminosity both the efficiency of recording hits and reconstructing track segments in the MDTs decreases at the LHC design luminosity.

Second, the NSW will work to alleviate the rate of fake triggers arising in the endcap. Figure 2.11 shows the extrapolated trigger rates as a function of the  $p_T$  threshold with and without the NSW upgrade. As the figure shows, the NSW upgrade will reduce the trigger rate by an order of magnitude compared to the current endcap trigger system.

### 2.3.2 NSW DETECTOR TECHNOLOGIES

The NSW will use two new detector technologies - micromesh gaseous structure detectors (micromegas) and small-strip thin gap chambers (sTGCs) [12, 62]. Unlike the previous detectors, both of these detector technologies can be used for tracking or trigger. However, the micromegas is more suited to tracking

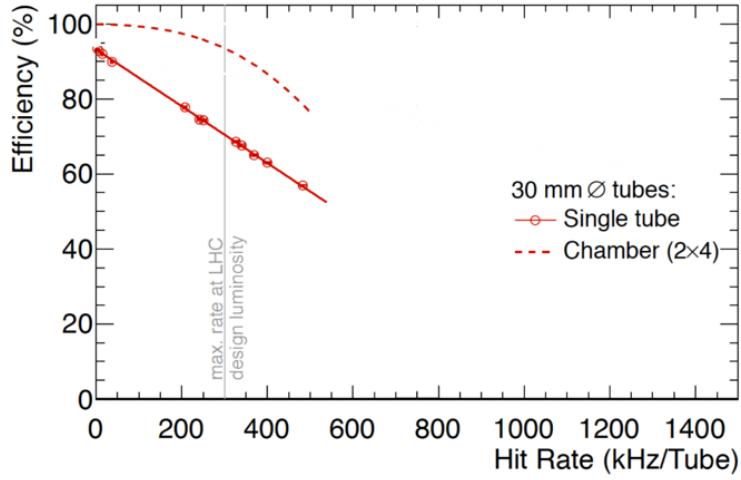


Figure 2.10: MDT tube hit (solid) and segment (dashed) efficiency as a function of hit rate per tube [12]

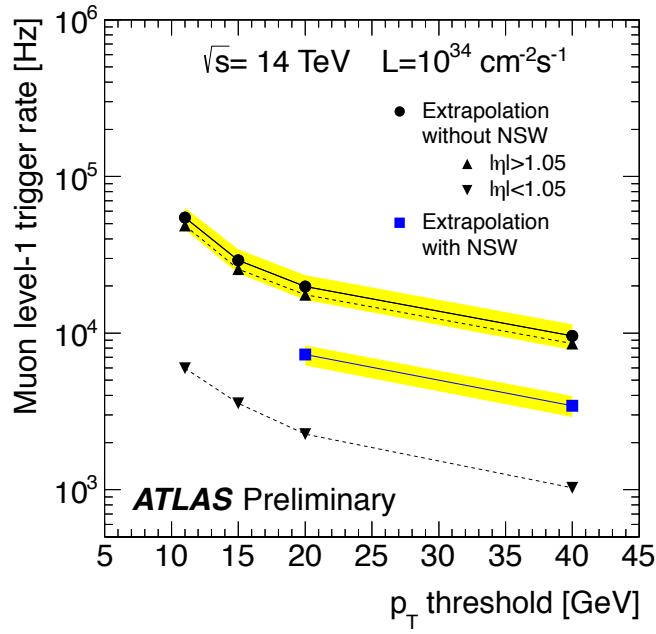


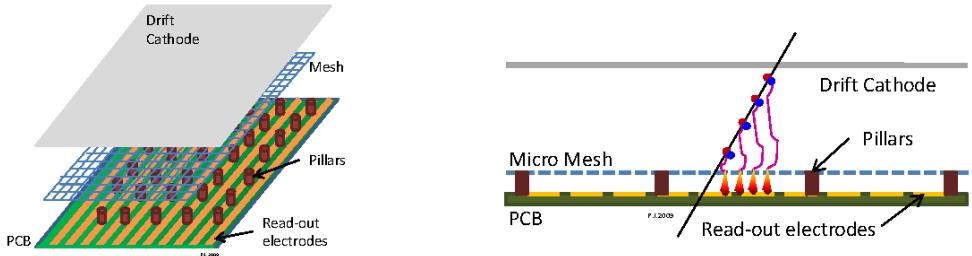
Figure 2.11: Trigger rate as a function of  $p_T$  threshold with and without the NSW upgrade [12]

because of its good spatial resolution, while the sTGCs have better time resolution and are more suited for the trigger. To maintain a fully redundant system, both technologies are used for both purposes.

## MICROMEGAS

Micromegas detectors operate using a thin metallic mesh that sits approximately  $100\ \mu\text{m}$  away from the readout electrodes to create the amplification region. Above this mesh, there is a drift region on the order of a few mm in length capped by a drift electrode. As a charged particle traverses the detector, it ionizes gas and the electrons drift down towards readout strips. The timing of the drift can be used to reconstruct the angle of traversal of the particle. This is illustrated in figure 2.12. The micromegas used in ATLAS will be resistive micromegas, where the readout electrodes are topped with resistive strips [63]. This alleviates the risk of sparking in the large area detectors that ATLAS will use.

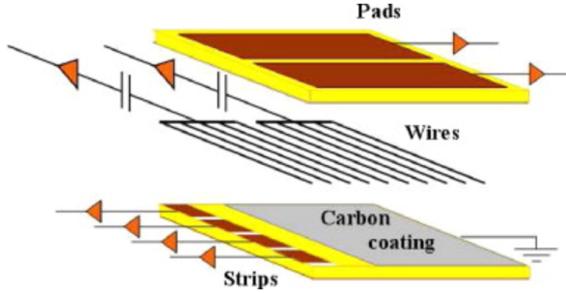
In ATLAS, the micromegas drift gap will be 5 mm and the amplification gap will be  $128\ \mu\text{m}$ . They are filled with the same gas mixture as the MDTs. They will be stacked in an octuplet in an XXUV-UVXX geometry, where X refers to straight strips and U and V refer to stero strips at an angle of  $\pm 1.5^\circ$ . This arrangement allows for measurement of the azimuthal coordinate and gives a large lever arm between the straight strips for triggering purposes. Figure 2.12 shows the geometry of a single micromegas detector as well as its operating principle [12].



**Figure 2.12:** Illustrations of the geometry (left) and operating principle (right) of the micromegas detector [12]

## sTGCs

The sTGCs are similar to the TGCs already described. They consist of gold-plated tungsten wires with a 1.8 mm pitch between two cathode planes 1.4 mm away from the wire plane. One cathode plane consists of strips with a 3.2 mm pitch (much smaller pitch than the TGCs), while the other consists of coarser pads that are used for defining regions of interest in the sTGC trigger algorithm. Figure 2.13 shows the basic detector geometry.



**Figure 2.13:** Geometry of the sTGC detector [12]

### 2.3.3 PHYSICS IMPACT

Maintaining low  $p_T$  thresholds for muons while still staying within the trigger rate budget at Level 1 (20 kHz) for the muon system is crucial for physics analyses to be successful in high luminosity conditions. One realm where the lepton trigger threshold is especially important is in Higgs physics. In the  $H \rightarrow WW^*$  analysis, one of the  $W$  bosons is off shell and tends to decay to soft leptons. In associated production of a Higgs with a  $W$ , the lepton is also important because the lepton provides the main handle which allows the event to be triggered. Table 2.3 shows the impact of increasing the trigger thresholds on these analyses. It shows that either raising the threshold or using only the barrel both have significant impacts on the signal efficiency. With the NSW, the signal efficiency is largely maintained and the triggers can be unprescaled.

| Threshold                    | $H \rightarrow b\bar{b}$ (%) | $H \rightarrow WW^*$ (%) |
|------------------------------|------------------------------|--------------------------|
| $p_T > 20$ GeV               | 93                           | 94                       |
| $p_T > 40$ GeV               | 61                           | 75                       |
| $p_T > 20$ GeV (barrel only) | 43                           | 72                       |
| $p_T > 20$ GeV (with NSW)    | 90                           | 92                       |

**Table 2.3:** Signal efficiencies for  $WH$  production with  $H \rightarrow b\bar{b}$  and  $H \rightarrow WW^* \rightarrow \mu\nu qq$  under different trigger configurations [12].

### 2.4 OBJECT RECONSTRUCTION IN ATLAS

ATLAS analyses first start by requiring the presence of certain reconstructed physics objects in the event. This section will present a brief overview of the algorithms used to reconstruct electrons, muons, jets

(including  $b$ -jets), and missing energy<sup>\*</sup>. The performance of object reconstruction and measurement will also be discussed as these are relevant to the analyses presented later. Figure 2.14 gives an overview of the different sub-detectors that each type of particle will interact with in ATLAS.

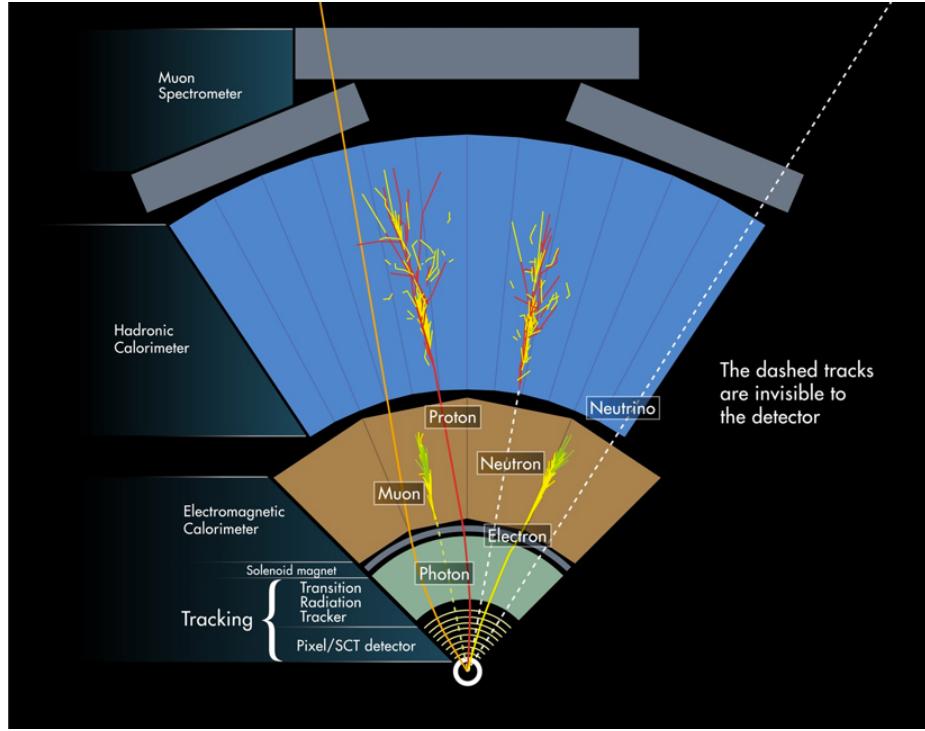


Figure 2.14: Illustration of particle interactions in ATLAS [13]

#### 2.4.1 ELECTRONS

Electrons in ATLAS will leave tracks in the inner detector and energy deposits in the electromagnetic calorimeter. The algorithm for recognizing the signature of electrons proceeds in two steps: reconstruction and identification.

In reconstruction, an electron candidate is formed by matching EM calorimeter deposits with ID tracks. The algorithm first chooses seed clusters in the EM calorimeter by using a sliding window algorithm that searches for towers with transverse energy larger than 2.5 GeV. In addition to seed clusters, track candidates must be identified in the ID. The algorithm selects seed tracks with  $p_T > 1$  GeV that

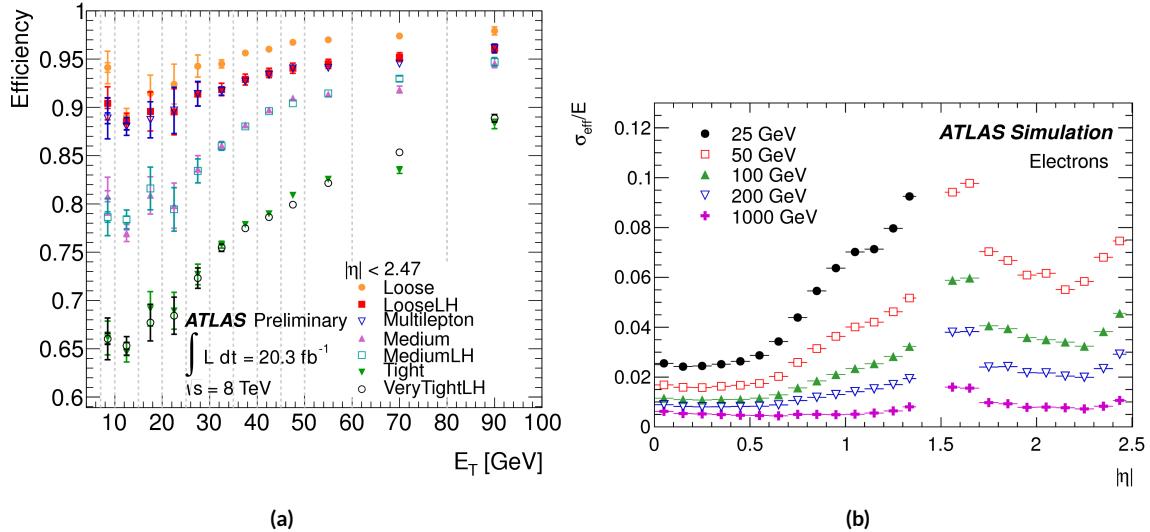
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<sup>\*</sup>Reconstruction algorithms for other objects, such as photons and  $\tau$  leptons, are not detailed here as these objects are not used in the presented studies.

do not fit well with a pion hypothesis. Once candidate tracks are selected, they are re-fit with a Gaussian Sum Filter (GSF) algorithm to estimate electron parameters [64]. Finally, an electron candidate is formed if at least one track matches to a seed cluster in the calorimeter. The full details of the reconstruction algorithm can be found in reference [14].

Once an electron candidate is present, identification criteria must be applied in order to reject fake electrons from background. Many different variables are used for this identification, most of them related to the shower shape in the EM calorimeter and the amount of leakage into the hadronic calorimeter, as well as information from the ID and in particular the TRT. There are both cut-based and likelihood-based criteria that range from “loose” to “very tight”. For details, see reference [14].

Figure 2.15 shows the algorithm’s reconstruction efficiency of true electrons for different identification criteria as well as the electron energy resolution in simulation [14, 15]. The reconstruction efficiency is measured using both  $Z$  and  $J/\psi$  tag and probe techniques.



**Figure 2.15:** Electron performance: (a) reconstruction efficiency as a function of electron  $E_T$  [14] (b) energy resolution in simulation as a function of  $|\eta|$  for different energy electrons [15]

#### 2.4.2 MUONS

The ATLAS detector is designed to stop most particles before they reach the muon spectrometer. Muons, however, are minimum ionizing particles, meaning that they will not lose a significant amount of energy

through interactions with the detector and will thus pass through. Therefore, the muon reconstruction works to match tracks in the muon spectrometer with tracks in the inner detector.

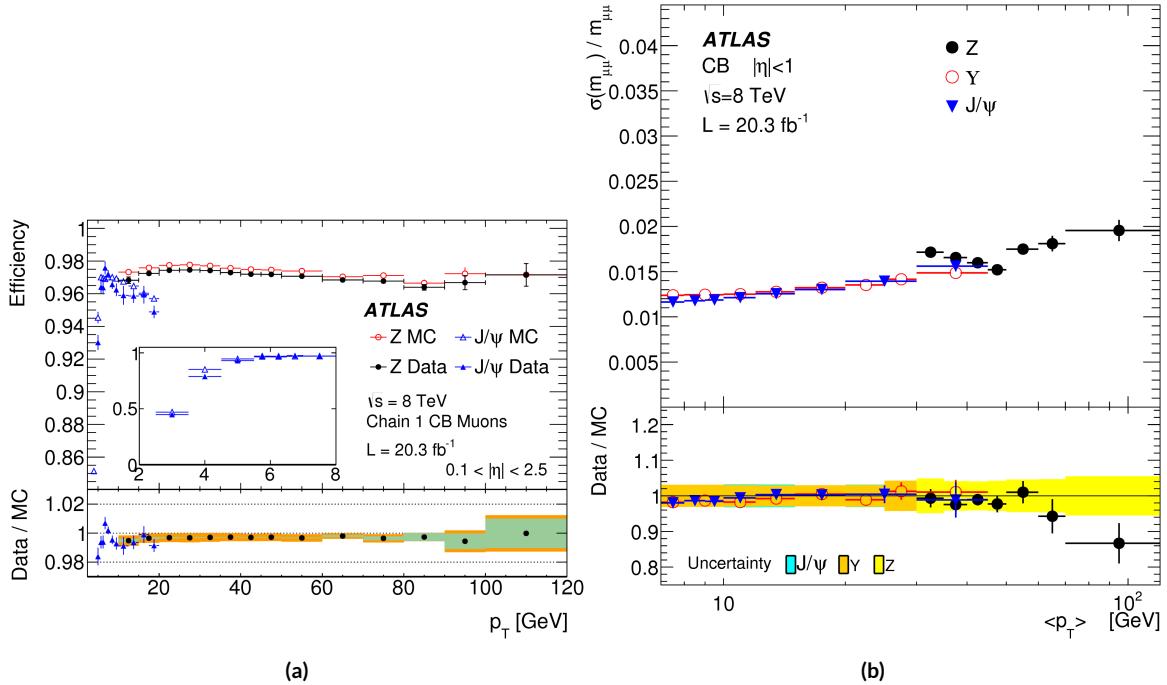
The first step of reconstruction is to reconstruct local straight line tracks, called segments, in each muon chamber. Segments are then fit to larger tracks that traverse the entire muon spectrometer. Such muon tracks are referred to as “standalone” tracks (SA) as they only use information from the muon spectrometer. The standalone tracks are then matched to tracks in the inner detector to form “combined” (CB) muons, where the combined ID and MS fit are used to determine the momentum and direction of the muon. To improve acceptance, segment-tagged and calorimeter-tagged muons are also reconstructed. In these cases, ID tracks are matched to segments in the MS and calorimeter deposits consistent with a minimum ionizing particle, respectively. The details of the reconstruction can be found in reference [16].

As with electrons, once muon candidates are reconstructed they have identification criteria applied to reduce background. These criteria include the  $\chi^2$  match between the ID and MS tracks, the number of hits in the ID, overall ID and MS track fit quality, and additional variables [16]. The criteria range from “loose” to “tight” as with electrons.

Figure 2.16 shows the muon reconstruction efficiency (measured with  $Z$  and  $J/\psi$  tag and probe) and invariant mass resolution [16].

#### 2.4.3 JETS

When a quark or gluon is produced in collisions, it is not measured directly in ATLAS. Rather, due to QCD effects, it produces a collimated spray of hadrons in the direction of the original parton, which is known as a jet. Jets are reconstructed in ATLAS using energy deposits in the hadronic calorimeter. The first step is build “topological clusters” out of energy deposits in calorimeter cells [65, 66]. This is done using strategy where seed cells are chosen by picking cells whose energy measurements are four times the amount of noise expected for that cell. Adjacent cells with at least  $2\sigma$  energy measurements are added to the cluster, then a final layer of clusters with energy above  $0\sigma$  are added. Once calorimeter clusters are formed, they are clustered further into jet candidates using the anti- $k_T$  jet clustering algorithm [67].



**Figure 2.16:** Muon performance: (a) reconstruction efficiency as a function of muon  $p_T$  (b) dimuon mass resolution as a function of average  $p_T$  [16]

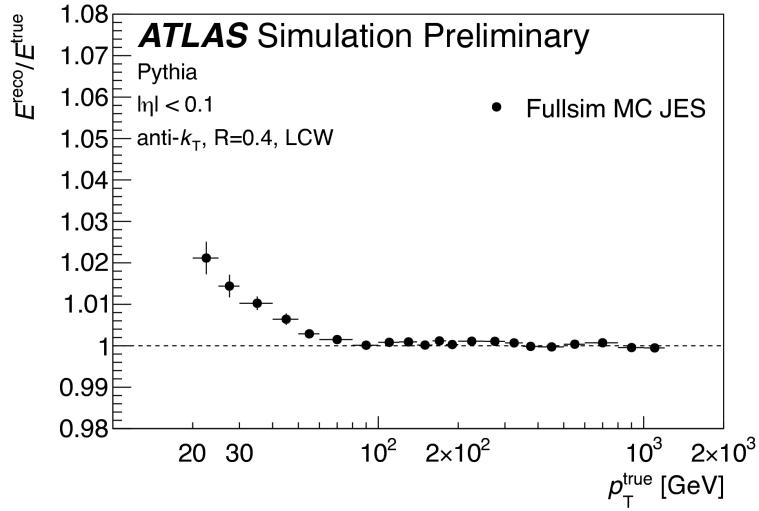
This algorithm uses a parameter  $R$  that appears in the denominator of the clustering distance metric and defines the radial size of the jet in  $\eta$ - $\phi$  space.

The energy response of the calorimeter must be properly characterized in order to reconstruct jet energy. Calorimeter clusters can be calibrated either with the EM calibration, where each cluster is assumed to have come from the energy deposit of an electron or photon, or the LCW calibration, where local cluster weights are computed to allow for local calibration of clusters as hadronic or electromagnetic. The details of the jet energy calibration are not detailed here and are discussed in reference [17].

Figure 2.17 shows the jet energy response after calibration in Monte Carlo as a function of the true  $p_T$  of the jet [17].

#### 2.4.4 $b$ -TAGGING

One important aspect of jet physics is the task of identifying the flavor of parton that produced the measured jet. While in general this is very difficult, jets from  $b$ -quarks offer an interesting case where such identification is possible.  $B$  mesons have a lifetime on the order of  $10^{-12}$  seconds, which makes a  $c\tau$



**Figure 2.17:** Jet energy response after calibration as a function of true  $p_T$  in simulation [17]

on the order of millimeters [1]. This type of displaced decay vertex can be identified in detectors like ATLAS and allows  $b$ -jets to be distinguished from other flavors of jets<sup>†</sup>.

ATLAS uses a multivariate machine learning algorithm to identify jets from  $b$ -quarks. The inputs to this algorithm are determined from lower level reconstruction algorithms. There are three distinct algorithms that reconstruct variables which are used as input to the multivariate technique.

The first family is referred to as IPxD (where the x can either be 2 or 3). These algorithms use the transverse and longitudinal impact parameters  $d_0$  and  $z_0$  of the tracks inside a jet to determine their consistency with the primary vertex. They two or three dimensional (hence the x) templates for light flavor, charm, and bottom jets and then evaluate the likelihood of the jet coming from each of these types. The likelihood ratios are used as inputs to the multivariate algorithm.

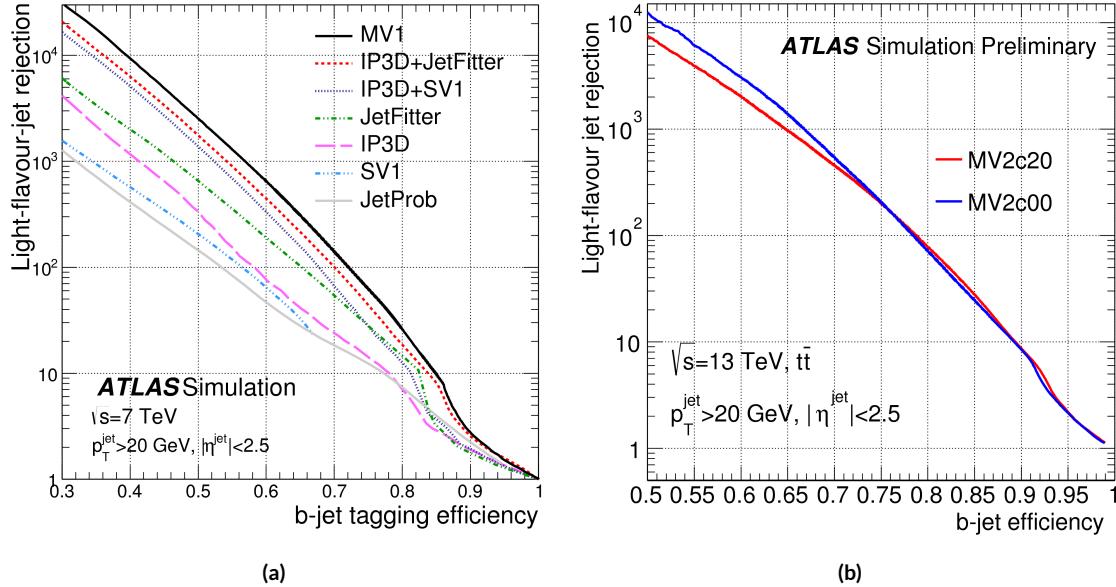
The next two algorithms used as input are referred to as the secondary vertex (SV) and JetFitter (JF) algorithms. The SV algorithm uses tracks inside the jet to fit for vertices that are displaced from the primary vertex. The JF algorithm attempts to reconstruct the full flight path of the  $b$  by looking for multiple displaced vertices along the same line (as  $B$  decays often result in subsequent  $c$  decays).

In Run 1, the multivariate  $b$ -tagging algorithm used a neural network and was referred to as MV1.

---

<sup>†</sup>Jets from charm quarks can also be detected in this way but they do not live quite as long so the displacement of the vertex is harder to distinguish

The details of this algorithm and its inputs are given in reference [18]. In Run 2, the number of inputs was simplified and a boosted decision tree with 24 input variables was used, referred to as MV2. The details of this algorithm are in reference [19]. Figure 2.18 shows the performance of each of these algorithms.



**Figure 2.18:** Light jet rejection ( $1/\text{efficiency}$ ) vs.  $b$ -jet efficiency for MV1 and its input algorithms (a) [18] and MV2 (b) [19] in simulated  $t\bar{t}$  events. The numbers in the algorithm names in (b) refer to the fraction of charm events used in the MV2 training.

#### 2.4.5 MISSING TRANSVERSE ENERGY

As noted in figure 2.14, neutrinos produced in ATLAS will pass through the detector without interacting. The only way of detecting the presence of particles like neutrinos (or BSM particles that are long-lived) is to use missing transverse momentum. The basic principle of missing transverse energy is to use the momentum balance of the incoming protons to infer the presence of missing particles. The net longitudinal momentum of the incoming partons that collide is not known (since each carries an unknown fraction of the proton's momentum). However, the protons (and thus incoming partons) have no net momentum in the plane transverse to the beam line (the  $x$ - $y$ ) plane. Therefore, if there are no un-measured particles in the final state, the transverse momenta of all of the final state particles should

balance. The magnitude of this imbalance is known as missing transverse momentum ( $E_T^{\text{miss}}$ ).

The basic calculation of missing transverse momentum from calorimeter cells is given in equation 2.4 [68].

$$\begin{aligned} E_x^{\text{miss}} &= -\sum_{i=1}^{N_{\text{cell}}} E_i \sin \theta_i \cos \phi_i \\ E_y^{\text{miss}} &= -\sum_{i=1}^{N_{\text{cell}}} E_i \sin \theta_i \sin \phi_i \end{aligned} \quad (2.4)$$

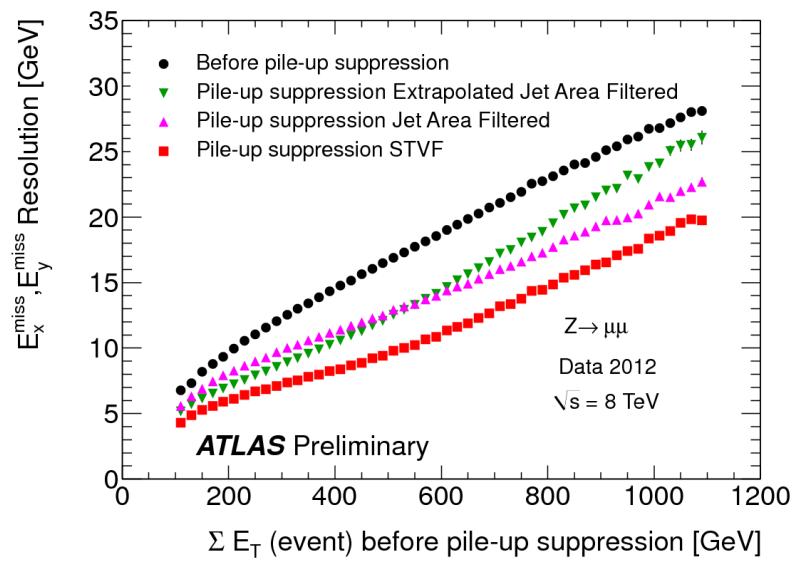
The  $E_T^{\text{miss}}$  calculation is separated into different terms based on the objects that the calorimeter clusters are associated with. This way, each cell's contribution is calibrated appropriately according to the object. This separation of terms is shown in equation 2.5 [68].

$$\begin{aligned} E_{x(y)}^{\text{miss,calo}} &= E_{x(y)}^{\text{miss},e} + E_{x(y)}^{\text{miss},\gamma} + E_{x(y)}^{\text{miss},\tau} + E_{x(y)}^{\text{miss,jets}} \\ &\quad + E_{x(y)}^{\text{miss,soft jets}} + E_{x(y)}^{\text{miss},\mu} + E_{x(y)}^{\text{miss,CellOut}} \end{aligned} \quad (2.5)$$

The CellOut term of the above equation corresponds to calorimeter cells with energy deposits that are not associated with other objects. The soft jets term comes from cells associated to jets with  $p_T$  between 7 and 20 GeV, while the jets term comes from jets with  $p_T > 20$  GeV. Because muons do not deposit significant energy in the calorimeter, the muon momentum is used for the muon term [68]. The final  $E_T^{\text{miss}}$  is calculated using equation 2.6.

$$E_T^{\text{miss}} = \sqrt{(E_x^{\text{miss}})^2 + (E_y^{\text{miss}})^2} \quad (2.6)$$

Figure 2.19 shows the resolution of the components of the  $E_T^{\text{miss}}$  under different pileup suppression techniques [20].



**Figure 2.19:** Resolution of  $E_T^{\text{miss}}$  components as a function of  $\sum E_T$  before pileup suppression with different pileup techniques [20]

## Part II

Observation and measurement of Higgs  
boson decays to  $WW^*$  in LHC Run I at  
 $\sqrt{s} = 7$  and 8 TeV

*Basic research is what I am doing when I don't know  
what I am doing.*

Wernher von Braun

# 3

## $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ Analysis Strategy

### 3.1 INTRODUCTION

This chapter presents an overview of the strategy for searching for a Higgs boson in the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  decay topology. Its purpose is to define in broad terms how the search and measurement are undertaken, before going into details on the specific sub-categories within the larger analysis. First, the properties of the Higgs signal are discussed and the associated backgrounds are presented. Next, the observables used to enhance the signal to background ratio are defined. Finally, the parameters of interest in the search and measurement will be shown, along with a brief overview of the statistical treatment of the final Higgs candidates.

Following this chapter, the results of three different studies within the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  channel are shown. Chapter 4 presents a search for Higgs boson production in gluon fusion mode and the role of the  $H \rightarrow WW^*$  channel in its discovery. Chapter 5 shows the search and first observation in ATLAS of the Vector Boson Fusion (VBF) production mode of the Higgs in the  $H \rightarrow WW^*$  decay channel.

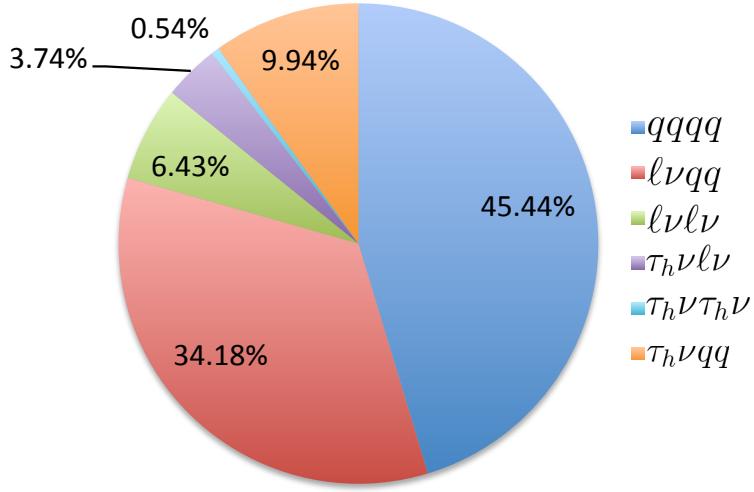
Finally, chapter 6 shows the combined Run 1  $H \rightarrow WW^*$  results for the measurement of the Higgs cross section and relative coupling strengths to other SM particles.

### 3.2 THE $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ SIGNAL IN ATLAS

The signal studied in this and subsequent chapters is the Higgs boson in the  $WW^*$  final state, where each  $W$  boson subsequently decays into a charged lepton and a neutrino. In its simplest decay path, the final state consists of two neutrinos and two charged leptons, each of which can be either an electron or a muon. If one or both of the  $W$ s decay to  $\tau$  leptons, only leptonic decays of the  $\tau$  are considered. This decay path produces additional neutrinos in the final state but still gives two charged leptons as before. Neutrinos are not detected in ATLAS, so the final state ultimately consists of two reconstructed leptons and missing transverse momentum (denoted as  $E_T^{\text{miss}}$ ). Final states where both of the charged leptons are electrons or muons are referred to as the “same flavor” ( $ee/\mu\mu$ ) final states, while those with one electron and one muon are referred to as “different flavor” ( $e\mu$  or  $\mu e$ ).

While the basic final state consists of two leptons and  $E_T^{\text{miss}}$ , there can be additional objects depending on the production mode of the Higgs. As described in detail in Chapter 1, if the Higgs is produced via vector boson fusion production, there will be two additional forward jets in the event. Even in gluon fusion, one or more jets can be produced through initial state radiation from the incoming gluons. Because of the varying background composition as a function of jet multiplicity, each bin in this variable has its own dedicated requirements applied in the search and measurement. The  $n_j = 0$  and  $n_j = 1$  bins are dedicated to gluon fusion production, while the  $n_j \geq 2$  bin has separate dedicated searches for ggF and VBF production.

Figure 3.1 shows the relative branching fractions for the  $H \rightarrow WW^*$  process, calculated from the Particle Data Group values for the  $W$  and  $\tau$  branching ratios[?]. The largest branching ratio is both  $W$  bosons decaying to quark pairs at 45.44%. The next largest is one  $W$  decaying leptonically and the other decaying to quarks, a branching ratio of 34.18%. In all cases,  $\ell$  denotes either an electron or muon, and the leptonic branching ratios of the  $\tau$  are included. For example, the  $\ell\nu qq$  final state includes one  $W$  decaying to  $e\nu$ ,  $\mu\nu$ , or  $\tau\nu$ . In the case of the  $W \rightarrow \tau\nu$  decay, the  $\tau$  lepton then decays to an electron or



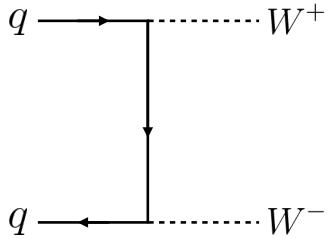
**Figure 3.1:** Branching ratios for a  $WW$  system.  $q$  refers to quarks.  $\ell$  can be either an electron or muon, and the leptonic branching ratios of the  $\tau$  are included. For example, the  $\ell\nu qq$  final state includes one  $W$  decaying to  $e\nu$ ,  $\mu\nu$ , or  $\tau\nu$ .  $\tau_h$  refer to hadronic decays of the  $\tau$ .

muon via  $\tau \rightarrow \nu_\tau \ell \nu_\ell$ . Final states with a  $\tau_h$  refer to hadronic decays of the  $\tau$ . The branching ratio to the  $\ell\nu\ell\nu$  final state is 6.43%.

While the  $\ell\nu\ell\nu$  final state is not a large fraction of the branching ratio, there are significant advantages in this channel. First, both the  $qqqq$  and  $\ell\nu qq$  channels suffer from a large QCD multijet background, which is often difficult to model. Second, events in the the  $\ell\nu\ell\nu$  channel in data can be triggered more efficiently due to the presence of two leptons.

### 3.3 BACKGROUND PROCESSES

Many processes from the Standard Model can also produce a final state with two leptons and missing transverse momentum . This section lists the dominant backgrounds to Higgs production. It gives general descriptions of how the backgrounds mimic Higgs production and how they can be reduced. Table 3.1 summarizes the different processes.



**Figure 3.2:** Feynman diagram for Standard Model WW production

### 3.3.1 STANDARD MODEL WW PRODUCTION

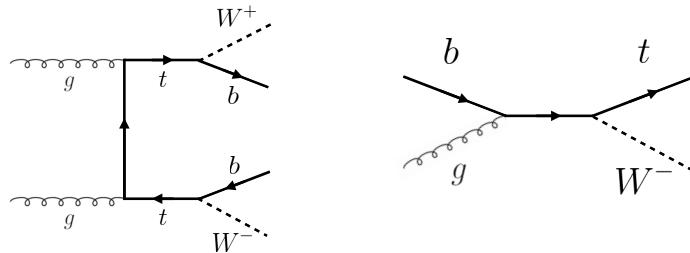
Non-resonant Standard Model diboson production, as shown in figure 3.2, is an irreducible background to Higgs boson production in the WW final state. It produces the same exact final state objects, namely leptonically decaying W bosons. There are no additional objects in the final state that allow for background reduction. Therefore the analysis solely relies on the correlations between the leptons to reduce this background.

### 3.3.2 TOP QUARK PRODUCTION

Production of top quarks, either in pairs ( $t\bar{t}$  production) or singly (e.g.  $Wt$  production), can also mimic Higgs production. Because top quarks decay via  $t \rightarrow Wb$ , top pair production can produce a final state with two W bosons that then decay leptonically. In this case, however, there are two additional jets from the bottom quarks in the final state. This allows the analysis to veto on the presence of jets identified as originating from a  $b$  in order to reduce the size of the background.

Single top production can occur via  $s$ -channel,  $t$ -channel, or associated production ( $Wt$ ). The mode which most closely resembles the Higgs final state is  $Wt$ . In this case, there are two real W bosons produced, as with  $t\bar{t}$ . However, the decay of the single top quark will still also produce one  $b$ -jet, meaning a  $b$  veto will reduce this background as well.

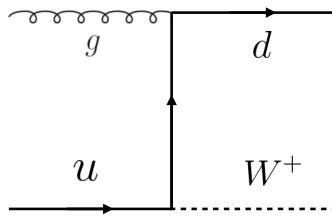
Figure 3.3 shows the Feynman diagrams for  $t\bar{t}$  and  $Wt$  production.



**Figure 3.3:** Feynman diagrams for top pair production (left) and  $Wt$  production (right)

### 3.3.3 $W$ +JETS BACKGROUND

Single  $W$  boson production, in association with jets, is a unique background. The other background considered so far have all included real leptons in the final state. In this case, however, only one real lepton from the decay of a  $W$  exists in the final state. The second reconstructed lepton can arise from two different cases. First, the lepton may truly be an algorithm “fake”, or a jet misidentified as a lepton by either the electron or muon reconstruction algorithms. Second, the lepton may be a real lepton but coming from semi-leptonic decays of particles inside the shower of the jet. This background can be reduced by requiring that the reconstructed lepton have little activity surrounding it in the calorimeter (also known as an “isolated” lepton). Figure 3.4 shows the Feynman diagram for  $W$ +jets production.

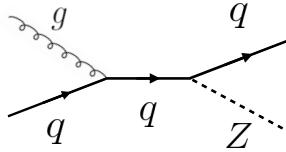


**Figure 3.4:** An example Feynman diagram of  $W$ +jets production

### 3.3.4 $Z/\gamma^*$ +JETS BACKGROUND

Production of a  $Z/\gamma^*$  in association with jets (also known as Drell-Yan) is also a background to Higgs production. In particular, the same flavor final states have a large  $Z$ +jets background, as the  $Z$  decays into two leptons of the same flavor. (This background also enters the different flavor final state through

the leptonic decays of  $Z \rightarrow \tau\tau$ ). Figure 3.5 shows the production of a  $Z$  in association with one jet. Because there are no neutrinos in this final state, variables like  $E_T^{\text{miss}}$  can be used to reduce the background.



**Figure 3.5:** An example Feynman diagram of  $Z + \text{jets}$  production

### 3.3.5 OTHER (SUBDOMINANT) BACKGROUNDS

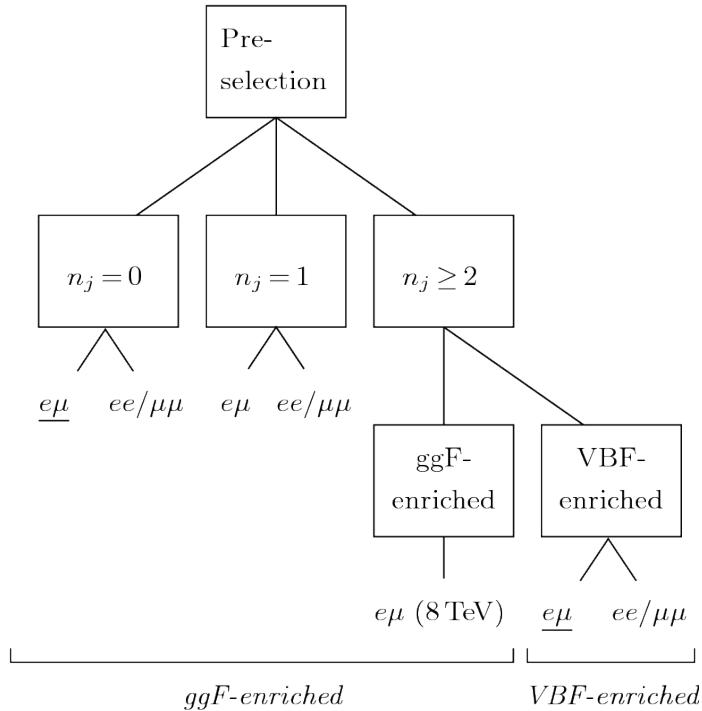
There are additional processes which contribute to the background composition but are not produced as frequently as those listed already. The first of these are referred to as  $VV$  or “Other diboson” processes and include multiple Standard Model diboson processes, including  $WZ$ ,  $ZZ$ ,  $W\gamma$ ,  $W\gamma^*$ , and  $Z\gamma$  production. Additionally, there is background from QCD multijet production, where two jets are misidentified as leptons.

| Category             | Process  | Description  |
|----------------------|--|--|
| SM $WW$              | $WW \rightarrow \ell\nu\ell\nu$  | Real leptons and neutrinos                             |
| Top quark production | $t\bar{t} \rightarrow WbWb \rightarrow \ell\nu b\bar{b}\nu\bar{b}$           | Real leptons, untagged $b$ s                           |
|                      | $tW \rightarrow WbW \rightarrow \ell\nu\ell\nu b$                            | Real leptons, untagged $b$                             |
|                      | $t\bar{b}, t\bar{q}\bar{b}$  | Untagged $b$ , jet misidentified as lepton             |
| Drell-Yan            | $Z/\gamma^* \rightarrow ee, \mu\mu$  | “Fake” $E_T^{\text{miss}}$                             |
|                      | $Z/\gamma^* \rightarrow \tau\tau \rightarrow \ell\nu\ell\nu\nu$              | Real leptons and neutrinos                             |
| Other dibosons       | $ZZ \rightarrow \ell\ell\nu\nu$  | Real leptons and neutrinos                             |
|                      | $W\gamma^*, WZ \rightarrow \ell\nu\ell\ell, ZZ \rightarrow \ell\ell\ell\ell$ | Unreconstructed leptons                                |
|                      | $W\gamma, Z\gamma$   | $\gamma$ reconstructed as $e$ , unreconstructed lepton |
| $W + \text{jets}$    | $Wj \rightarrow \ell\nu j$   | Jet reconstructed as lepton                            |
| QCD multijet         | $jj$   | Jets reconstructed as leptons                          |

**Table 3.1:** A summary of backgrounds to the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  signal

### 3.4 SHARED SIGNAL REGION SELECTION REQUIREMENTS

As presented in section 3.2, there are many different combinations of objects that can define a  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  final state. The multiplicity of jets and the flavor combinations of the leptons both lead to many potential signal regions. Additionally, signal regions can be optimized separately to be sensitive to the distinct production modes of the Higgs. Gluon fusion, vector boson fusion, and associated production of a Higgs all lead to unique final state topologies. Figure 3.6 delineates the different signal regions used in the gluon fusion and vector boson fusion  $H \rightarrow WW^*$  analyses. While there are different optimizations possible in each signal region, there are also some commonly shared selections that will be described here.



**Figure 3.6:** An illustration of the unique analysis signal regions[21]

#### 3.4.1 EVENT PRE-SELECTION

Before being sorted into the distinct signal regions, basic requirements are applied on the reconstructed objects in the event to select Higgs-like event candidates. First, two oppositely charged leptons are re-

quired.

Once the leptons are selected, the last requirement for event pre-selection is the presence of neutrinos. As neutrinos cannot be detected directly in ATLAS,  $E_T^{\text{miss}}$  can be used as a proxy for the combined neutrino momentum in the transverse plane. In general, it is expected that the signal should have a harder  $E_T^{\text{miss}}$  spectrum than backgrounds, especially if those backgrounds did not contain neutrinos. One additional consideration when using  $E_T^{\text{miss}}$  is the fact that mis-measurements of objects in the detector can lead to imbalances in the transverse plane that are not due to real particles escaping the detector. One indicator that this is the case is that the  $E_T^{\text{miss}}$  vector in the transverse plane will be pointing in the same direction as the mis-measured object. Therefore, a new variable,  $E_{T,\text{rel}}^{\text{miss}}$ , is used in the pre-selection.  $E_{T,\text{rel}}^{\text{miss}}$  is defined in equation 3.1.

$$E_{T,\text{rel}}^{\text{miss}} = \begin{cases} E_T^{\text{miss}} \sin \Delta\phi_{\text{near}} & \text{if } \Delta\phi_{\text{near}} < \pi/2 \\ E_T^{\text{miss}} & \text{otherwise,} \end{cases} \quad (3.1)$$

If the closest object to the  $E_T^{\text{miss}}$  vector is within  $\pi/2$  radians in the transverse plane, the  $E_T^{\text{miss}}$  is projected away from this object. Otherwise, the normal  $E_T^{\text{miss}}$  vector is used. Figure 3.7 shows a graphical illustration of this concept.

Once both the lepton and  $E_T^{\text{miss}}$  pre-selections are made, the analysis can be divided into different regions according to jet multiplicity.

### 3.4.2 JET MULTIPLICITY

Jet multiplicity, denoted as  $n_j$ , is used to sub-divide the analysis into its distinct signal regions. The reason for this is twofold. First, different jet multiplicity bins will be more or less sensitive to different Higgs production modes. For example, the  $n_j \geq 2$  region is more sensitive to VBF production because of the two high momentum jets produced at matrix element level. For gluon fusion production to enter this bin, two initial state radiation jets must be emitted. Second, background composition varies greatly in different bins of  $n_j$ . Figure 3.8 shows the jet multiplicity in both the different flavor and same flavor regions. It also shows the background composition in the bins of  $n_b$ . There are a few clear trends from

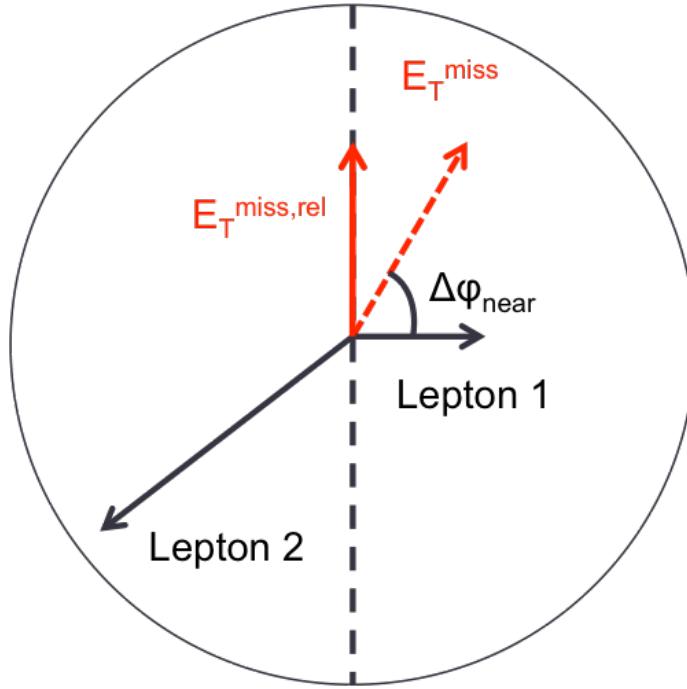


Figure 3.7: A graphical illustration of the  $E_{T,\text{rel}}^{\text{miss}}$  calculation

this distribution. The first is that the Drell-Yan background dominates in the same flavor channels for  $n_j \leq 1$ . Second, the top background becomes a clear contributor to the total background for  $n_j \geq 1$ . Lastly, the SM WW production dominates in the  $n_j = 0$  bin, as it is an irreducible background to  $H \rightarrow WW^*$  production. Because of these distinct features, each jet multiplicity bin is treated separately.

### 3.5 BACKGROUND REDUCTION IN SAME-FLAVOR FINAL STATES

As described in section 3.4.2, the background composition of the same flavor final states is unique to that of the different flavor states. In particular, Drell Yan processes play a much larger role because the  $Z/\gamma^*$  decays to same flavor leptons. Because real neutrinos are absent in the  $Z/\gamma^*$  decays to  $ee$  and  $\mu\mu$ , a requirement on  $E_T^{\text{miss}}$  should largely reduce the background. However, as this section will demonstrate, with increasing pileup conditions the resolution of the calorimeter-based  $E_T^{\text{miss}}$  degrades greatly. Therefore, two new variables for  $Z/\gamma^*$  background reduction are constructed and described in this section.

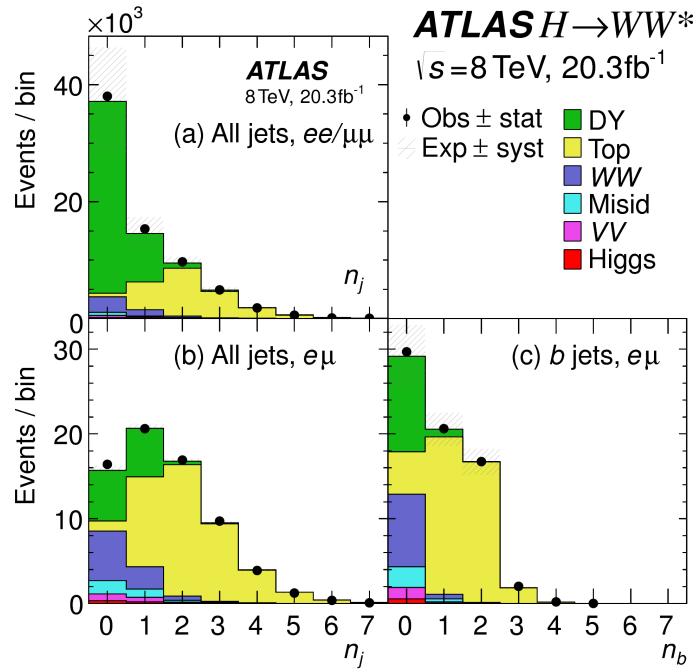


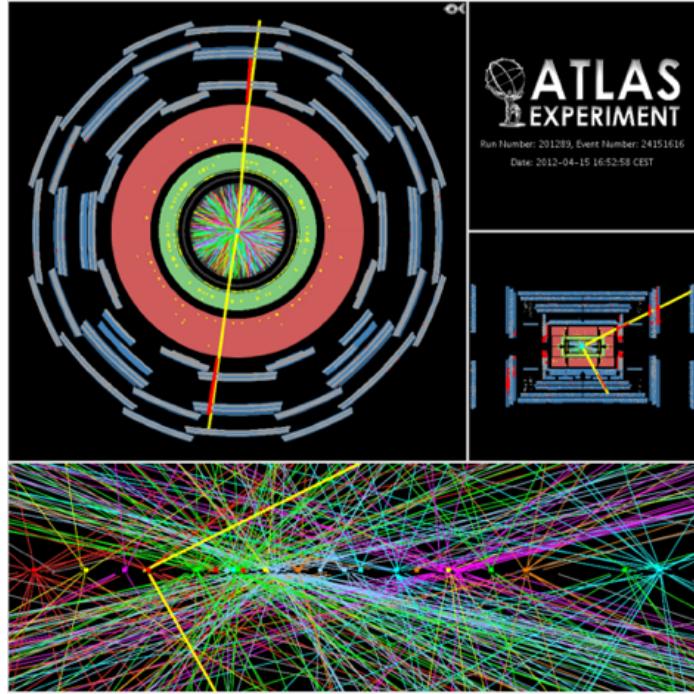
Figure 3.8: Predicted backgrounds (compared with data) as a function of  $n_j$  (a and b) and  $n_b$  (c)

### 3.5.1 PILEUP AND $E_T^{\text{miss}}$ RESOLUTION

Secondary interactions of protons in the colliding bunches of the LHC (known as pileup interactions, described in detail in Chapter 2) deposit energy into the ATLAS calorimeter on top of the energy that comes from the hard scatter process that is being searched for or analyzed. The calculation of  $E_T^{\text{miss}}$  is fundamentally Poissonian, as summing up all of the energy deposits in individual calorimeter cells or clusters is similar to a counting experiment. Thus, the energy resolution scales as  $\sqrt{E}$ , just as the error on a mean of  $N$  in a Poisson distribution is  $\sqrt{N}$ . As more energy is deposited in the calorimeter, the  $E_T^{\text{miss}}$  resolution degrades, meaning that the  $E_T^{\text{miss}}$  resolution is particularly sensitive to LHC instantaneous luminosity conditions.

Figure 3.9 shows an event display of a  $Z/\gamma^* + \text{jets}$  event candidate with the twenty-five reconstructed primary vertices. This display illustrates that while the interaction of interest only has tracks coming from the hardest primary vertex, all of the secondary interactions will deposit energy in the calorimeter as well.

Figure 3.10 shows the RMS of the  $E_T^{\text{miss}}$  distribution in  $Z \rightarrow \mu\mu$  events (where there are no real neu-

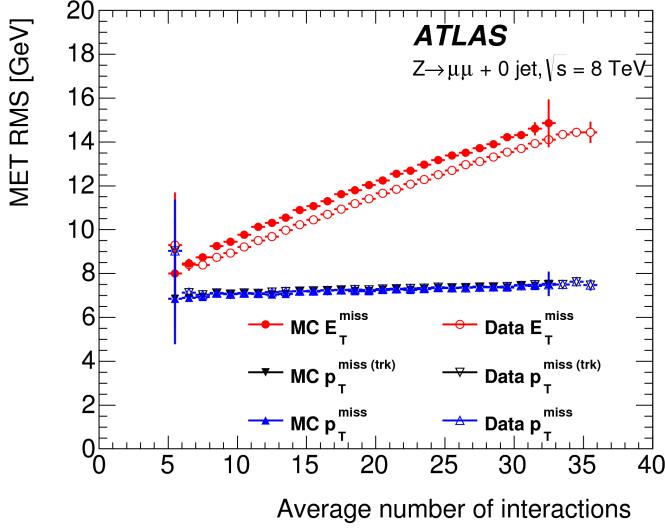


**Figure 3.9:** An event display of a  $Z/\gamma^*$  + jets event illustrating the effect of pileup interactions

trinos) as a function of the number of the average number of interactions. Under 2011 LHC conditions, this RMS was approximately 9 GeV, while under 2012 running conditions the resolution worsened to 12 GeV. This worsening dilutes the  $E_T^{\text{miss}}$  variable's ability to reduce the  $Z/\gamma^*$  background.

### 3.5.2 TRACK-BASED DEFINITIONS OF MISSING TRANSVERSE MOMENTUM

Because the increasing number of secondary proton-proton interactions degrades calorimeter-based  $E_T^{\text{miss}}$  resolution, a new variable using only contributions from the primary interaction vertex is necessary to further reduce the  $Z/\gamma^*$  background. While it is not possible to associate calorimeter energy deposits with a particular vertex, individual charged particle tracks in the Inner Detector are associated to unique vertices. Thus, two track-based definitions of missing transverse momentum , using only tracks coming from the primary vertex in the event, are used in the analysis. The simplest variable,  $p_T^{\text{miss}(\text{trk})}$ , is the vectorial sum of the  $p_T$  of all of the tracks from the primary vertex and the selected leptons (excluding the tracks associated with the selected leptons to avoid double counting). This is defined in equa-



**Figure 3.10:** The RMS of different missing transverse momentum definitions as a function of the average number of interactions per bunch crossing

tion 3.2.

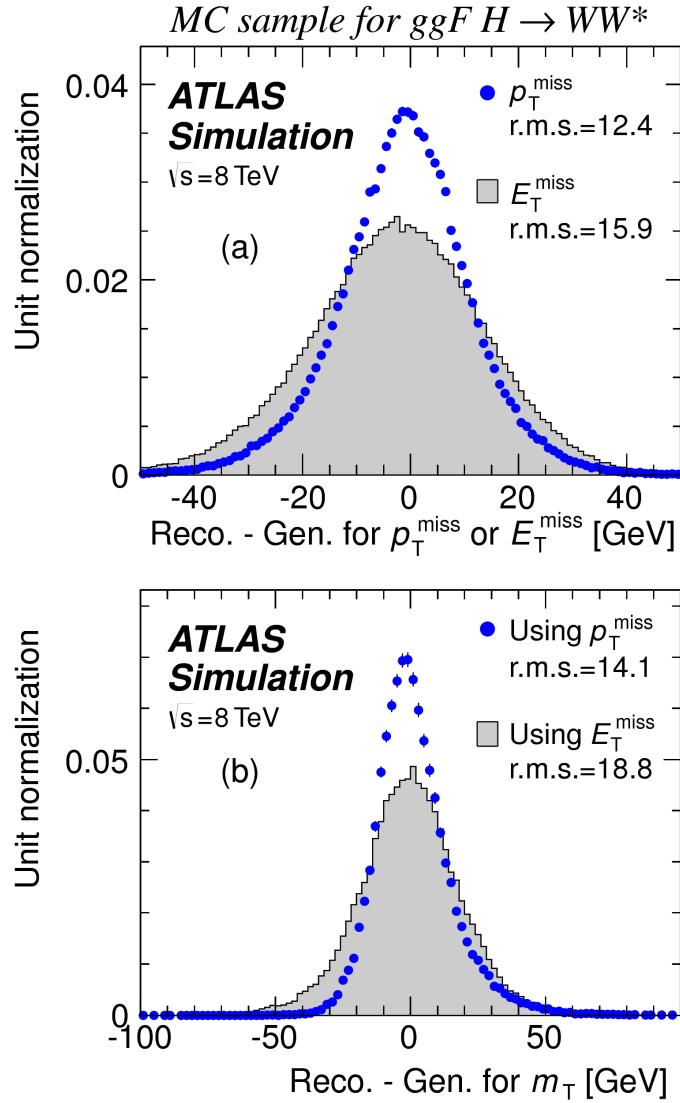
$$\mathbf{p}_T^{\text{miss}(\text{trk})} = - \left( \sum_{\text{selected leptons}} \mathbf{p}_T + \sum_{\text{other tracks}} \mathbf{p}_T \right), \quad (3.2)$$

In events with hard jets, a better resolution on the missing transverse momentum is obtained by including the calorimeter based measurement of the hard jets rather than the track based measurements. Thus, another variable,  $p_T^{\text{miss}}$ , is defined, using the nominal measurements of  $p_T$  for the selected leptons and jets and using tracks rather than calorimeter clusters for the soft component of the missing transverse momentum. This is defined in equation 3.3.

$$\mathbf{p}_T^{\text{miss}} = - \left( \sum_{\text{selected leptons}} \mathbf{p}_T + \sum_{\text{selected jets}} \mathbf{p}_T + \sum_{\text{other tracks}} \mathbf{p}_T \right), \quad (3.3)$$

Figure 3.10 illustrates that these two new variables accomplish their intended purpose. The resolution as a function of mean number of interactions for both  $p_T^{\text{miss}(\text{trk})}$  and  $p_T^{\text{miss}}$  is much flatter compared to the dependence for  $E_T^{\text{miss}}$ .

Figure 3.11a shows the difference between the true and reconstructed values of missing transverse mo-



**Figure 3.11:** The difference between the true and reconstructed values of the missing transverse momentum (a) and  $m_T$  (b) in a gluon fusion signal sample

momentum using both the track-based  $p_T^{\text{miss}}$  and calorimeter based  $E_T^{\text{miss}}$ . The RMS of the distribution improves by 3.5 GeV when using  $p_T^{\text{miss}}$ .

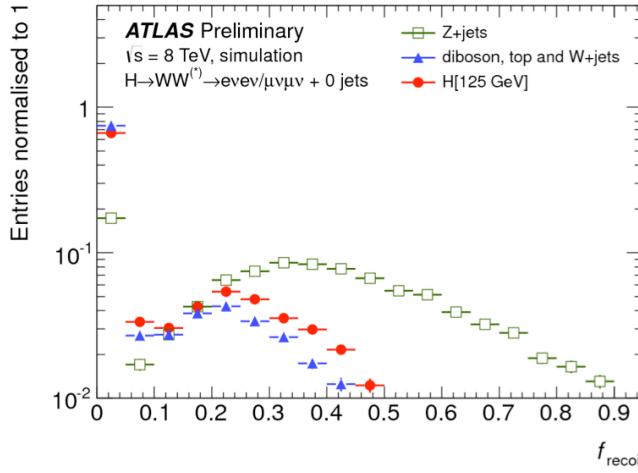
### 3.5.3 DISTINGUISHING $Z/\gamma^*$ +JETS AND $H \rightarrow WW^*$ TOPOLOGIES

The track-based definitions of missing transverse momentum were constructed to mitigate degrading performance as a function of pileup. However, an additional variable can be constructed to exploit kine-

matic and topological differences between the  $Z/\gamma^*$  background and  $H \rightarrow WW^*$  signal. Because there are no real neutrinos in the final state (in the case of  $Z/\gamma^* \rightarrow ee, \mu\mu$  decays), the dilepton system of a  $Z/\gamma^*$  will be balanced with the jets produced in the hard scatter. A new variable,  $f_{\text{recoil}}$ , is constructed to estimate the balance between the dilepton system and the jets in the quadrant opposite the dilepton vector in the transverse plane. It is defined in equation 3.4. The numerator of  $f_{\text{recoil}}$  is the magnitude of the vectorial sum of the  $p_T$  of jets in the quadrant opposite the dilepton system, weighted by each jet's Jet Vertex Fraction (JVF, described in chapter 2). The denominator is the magnitude of the dilepton  $p_T$ .

$$f_{\text{recoil}} = \left| \sum_{\text{jets } j \text{ in } \wedge} \text{JVF}_j \cdot \mathbf{p}_T^j \right| / p_T^{\ell\ell}. \quad (3.4)$$

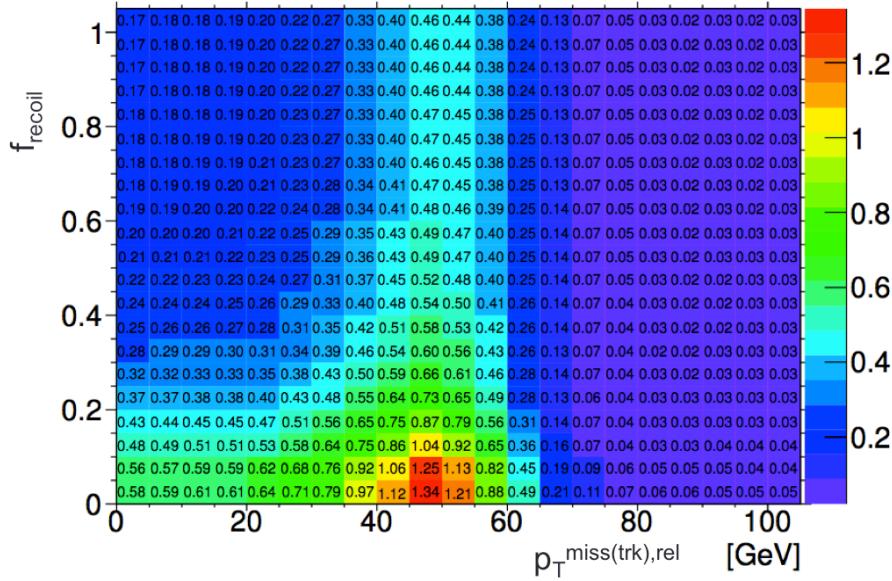
Figure 3.12 shows a shape comparison of the distribution of  $f_{\text{recoil}}$  in a simulated  $Z/\gamma^* + \text{jets}$  sample, a  $H \rightarrow WW^*$  signal sample, and other backgrounds that contain real neutrinos. The  $Z/\gamma^* + \text{jets}$  events tend to be more balanced between the dilepton system and recoiling jets, while the processes containing real neutrinos are less balanced in the transverse plane. Thus, a requirement on  $f_{\text{recoil}}$  will also reduce the  $Z/\gamma^* + \text{jets}$  background while maintaining a good signal efficiency.



**Figure 3.12:** Comparison of  $f_{\text{recoil}}$  distributions for  $Z/\gamma^* + \text{jets}$ ,  $H \rightarrow WW^*$ , and other backgrounds with real neutrinos.

### 3.5.4 OPTIMIZING BACKGROUND REDUCTION SELECTION REQUIREMENTS

The requirements on  $p_T^{\text{miss(trk)}}$  and  $f_{\text{recoil}}$  used to reduce the Z+jets background must be optimized to maximize their efficacy. Figure 3.13 shows an early attempt to optimize the combination of the two requirements in the gluon fusion zero jet bin. Each bin shows the expected signal significance if the  $p_{T,\text{rel}}^{\text{miss(trk)}}$  is required to be greater than the left edge of the bin and the  $f_{\text{recoil}}$  is required to be less than the top edge of the bin. The figure shows that the best signal significance comes from requiring low values of  $f_{\text{recoil}} (< 0.05)$  and  $p_{T,\text{rel}}^{\text{miss(trk)}}$  values greater than 45 GeV.



**Figure 3.13:** Signal significance as a function of required value for  $f_{\text{recoil}}$  and  $p_{T,\text{rel}}^{\text{miss(trk)}}$  in the ggF  $H \rightarrow WW^*$  with  $n_j = 0$

### 3.6 PARAMETERS OF INTEREST AND STATISTICAL TREATMENT

As with any search or measurement, there are particular parameters of the Higgs that the  $H \rightarrow WW^*$  analysis is interested in measuring. In this case, the parameters of interest are the mass of the Higgs boson and its production cross section. Because the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  process does not have a closed final state, it is not possible to measure the full invariant mass of the particle that may have produced the final state. However, a proxy for the invariant mass using transverse plane information can be de-

fined. This is described in more detail in section 3.6.1. The second parameter of interest is the ratio of the measured cross section to that expected from the Standard Model Higgs, which is denoted a  $\mu$ . This is defined in equation 3.5.

$$\mu = \frac{\sigma}{\sigma_{\text{SM}}} \quad (3.5)$$

All of the likelihoods used in the statistical analysis of the final signal region events are paramaterized as a function of  $\mu$ .  $\mu$  is a natural variable for hypothesis testing, as  $\mu = 0$  corresponds to a background only hypothesis and  $\mu = 1$  corresponds exactly to a Standard Model Higgs.

### 3.6.1 TRANSVERSE MASS

Because the longitudinal information about the neutrinos is not attainable, the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  analysis uses a mass variable, the transverse mass, that exploits information in the transverse plane as a proxy for the full invariant mass. The transverse mass is defined in equation 3.6.

$$m_T = \sqrt{(E_T^{\ell\ell} + p_T^{\text{miss}})^2 - |\mathbf{p}_T^{\ell\ell} + \mathbf{p}_T^{\text{miss}}|^2}, \quad (3.6)$$

Here the  $E_T^{\ell\ell}$  and  $p_T^{\ell\ell}$  are the transverse energy and momentum of the dilepton system, while  $p_T^{\text{miss}}$  is a proxy for the transverse momentum of the di-neutrino system. The track-based  $p_T^{\text{miss}}$  is used in the  $m_T$  rather than the calorimeter based  $E_T^{\text{miss}}$  because it has a better resolution on the true transverse mass. Figure 3.11b shows the improvement in the RMS of the difference between the true and reconstructed transverse mass in a ggF signal sample. The RMS improves by 4.7 GeV using  $p_T^{\text{miss}}$  in the  $m_T$  calculation.

### 3.6.2 STATISTICAL TREATMENT<sup>\*</sup>

#### LIKELIHOOD FUNCTION

The statistical analysis of final event candidates is framed as a hypothesis test, where the null hypothesis is background-only (no Standard Model Higgs). The first step in the analysis is to form a likelihood function for the data. In its simplest form, this likelihood is the probability of observing the number of events seen in the final signal region given knowledge of the signal strength. Because observation of events is fundamentally a Poisson counting experiment, this simple likelihood can be expressed as a Poisson probability of observing  $N$  events given a total number of predicted signal and background events. This basic likelihood is shown in equation 3.7.

$$\mathcal{L}(\mu) = P(N|\mu S + B) \quad (3.7)$$

Here,  $P$  is the Poisson probability density function,  $N$  is the total number of observed events,  $\mu$  is the signal strength,  $S$  is the predicted number of signal events, and  $B$  is the predicted number of background events.

In particle physics, certain background estimates are commonly normalized in so-called “control” regions and those predictions are scaled by the same normalization factor in the signal region. This leads to a slightly more complicated likelihood, which is a function of both the signal strength and the background normalization. This is shown in equation 3.8.

$$\mathcal{L}(\mu, \theta) = P(N|\mu S + \theta B) P(N_{\text{CR}}|\theta B_{\text{CR}}) \quad (3.8)$$

Here,  $\theta$  is a so-called “nuisance parameter”, a parameter that is not a primary parameter of interest but still enters the likelihood. The second Poisson term adds an extra term to the likelihood, enforcing the fact that the background normalization must be consistent with the number of observed events in data in the control region,  $N_{\text{CR}}$ .

So far, these two formulations of likelihoods have assumed a single signal region and do not take into

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<sup>\*</sup>Many thanks to Aaron Armbruster, whose thesis[69] inspired parts of this section.

account any shape information of potential discriminating variables. The  $H \rightarrow WW^*$  analysis is divided into many different categories, and we can perform the same counting experiment described above in each individual category. As mentioned in section 3.6.1, the transverse mass is used as the primary discriminating variable in many of the  $H \rightarrow WW^*$  sub-analyses, so additionally we can perform the same counting experiment in each bin of the  $m_T$  distribution to incorporate some shape information. Thus, the total likelihood becomes a product over signal regions and bins of the  $m_T$  distribution. Finally, there are usually many backgrounds that are normalized in control regions, so the new formulation of the likelihood takes this into account as well by including a product over control regions in the second Poisson term. All of these modifications are shown in equation 3.9.

$$\mathcal{L}(\mu, \theta) = \prod_{\substack{\text{SRs } i \\ \text{bins } b}} P\left(N_{ib} \middle| \mu S_{ib} + \sum_{\text{bkg } k} \theta_k B_{kib}\right) \prod_{\text{CRs } l} P\left(N_l \middle| \sum_{\text{bkg } k} \theta_k B_{kl}\right) \quad (3.9)$$

The final step to get the full likelihood used in the analysis is to add nuisance parameters for the systematic uncertainties. In cases where the uncertainty does not affect the shape of  $m_T$  bin-by-bin, each systematic uncertainty  $\epsilon$  is allowed to affect the expected event yields through a linear response function of the nuisance parameter, namely  $\nu(\theta) = (1 + \epsilon)^\theta$ . If instead the uncertainty does affect the shape, the effect is instead parameterized by  $\nu_b(\theta) = 1 + \epsilon_b \theta$ . The value of the nuisance parameters for the systematic uncertainty are constrained with a Gaussian term that is added to the likelihood as well. This is of the form  $g(\delta|\theta) = e^{-(\delta-\theta)^2/2}/\sqrt{2\pi}$ , where  $\delta$  is the central value and  $\theta$  is a nuisance parameter. Finally, a last term is added to account for the statistical uncertainty in the Monte Carlo samples used, which adds an additional poisson term. The full likelihood used in the final statistical analysis is defined

in equation 3.10.

$$\begin{aligned} \mathcal{L}(\mu, \boldsymbol{\theta}) = & \prod_{\substack{\text{SRs i} \\ \text{bins b}}} P\left(N_{ib} \middle| \mu S_{ib} \cdot \prod_{\substack{\text{sig.} \\ r}} \nu_{br}(\theta_r) + \sum_{\text{bkg k}} \theta_k B_{kib} \cdot \prod_{\substack{\text{bkg.} \\ s}} \nu_{bs}(\theta_s)\right) \\ & \cdot \prod_{\text{CRs l}} P\left(N_l \middle| \sum_{\text{bkg k}} \theta_k B_{kl}\right) \\ & \cdot \prod_{\substack{\text{syst} \\ t}} g(\delta_t | \theta_t) \cdot \prod_{\text{bkg k}} P(\xi_k | \zeta_k \theta_k) \end{aligned} \quad (3.10)$$

In the fourth term of the equation, quantifying uncertainty due to finite Monte Carlo sample size,  $\xi$  represents the central value of the background prediction,  $\theta$  is the associated nuisance parameter,  $\zeta = (B/\delta B)^2$ , where  $\delta B$  is the statistical uncertainty of  $B$ .

The best fit value of the signal strength  $\mu$  is determined by finding the values of  $\mu$  and  $\boldsymbol{\theta}$  that maximize the likelihood, while setting  $\delta = 0$  and  $\xi = \zeta$ .

Once the likelihood is defined, a test statistic must be built for use in hypothesis testing.

## TEST STATISTIC

To distinguish whether the data match a background only or background and signal hypothesis, a test statistic must be used. The  $H \rightarrow WW^*$  analysis used the profile likelihood technique[70]. The first step in formulating this test statistic is to define the profile likelihood ratio, shown in equation 3.11.

$$\lambda(\mu) = \frac{\mathcal{L}(\mu, \hat{\theta}_\mu)}{\mathcal{L}(\hat{\mu}, \hat{\theta})} \quad (3.11)$$

Here  $\hat{\theta}_\mu$  is the value of  $\theta$  that maximizes the likelihood for the choice of  $\mu$  being tested. Additionally,  $\hat{\theta}$  and  $\hat{\mu}$  represent the values of  $\theta$  and  $\mu$  that gives the overall maximum value of the likelihood.

Once this is defined, a test statistic  $q_\mu$  is constructed. This is shown in equation 3.12.

$$q_\mu = -2 \ln \lambda(\mu) \quad (3.12)$$

A higher value of  $q_\mu$  means that the data are more incompatible with the hypothesized value of  $\mu$ , and  $q_0$  then corresponds to the value of the test statistic for the background only hypothesis. A  $p_0$  value is then defined to quantify the compatibility between the data and the null hypothesis. The  $p_0$  value is the probability of obtaining a value of  $q_0$  larger than the observed value, and this is shown in equation 3.13.

$$p_0 = \int_{q_0^{\text{obs}}}^{\infty} f(q_\mu | \mu = 0) dq_\mu \quad (3.13)$$

Here  $f(q_\mu)$  is the probability distribution function of the test statistic. Finally, the  $p_0$  value can be converted into a signal significance, using the formula in equation 3.14, or the one-sided tail of the Gaussian distribution.

$$Z_0 = \sqrt{2} \operatorname{erf}^{-1}(1 - 2p_0) \quad (3.14)$$

The threshold for discovery used in particle physics is  $Z_0 \geq 5$ , more commonly known as a value of  $5\sigma$ .

*The real voyage of discovery consists not in seeking new landscapes, but in having new eyes.*

Marcel Proust

# 4

## The discovery of the Higgs boson and the role of the $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ channel

### 4.1 INTRODUCTION

This chapter presents the results of the search for the Higgs boson in  $4.8 \text{ fb}^{-1}$  collected at  $\sqrt{s} = 7 \text{ TeV}$  and  $5.8 \text{ fb}^{-1}$  at  $\sqrt{s} = 8 \text{ TeV}$ . The results of three searches at  $\sqrt{s} = 8 \text{ TeV}$  in the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ ,  $H \rightarrow \gamma\gamma$ , and  $H \rightarrow ZZ \rightarrow 4\ell$  channels are combined with results of searches at  $\sqrt{s} = 7 \text{ TeV}$  in the same search channels (as well as the  $H \rightarrow \tau\tau$  production and associated production searches for  $H \rightarrow b\bar{b}$ ). The results of this combination are a  $5.9\sigma$  detection of a new particle consistent with a Higgs boson. Rather than going into detail for all of the different Higgs decay searches, this chapter will discuss the three most sensitive channels and in particular focus on  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ . While the focus is on  $WW^*$ , some of the  $ZZ^*$  and  $\gamma\gamma$  results are shown for completeness. The results not discussed here

can be found in the ATLAS Higgs discovery publication[22].

## 4.2 DATA AND SIMULATION SAMPLES

The data sample used for the following results was taken in 2011 and 2012 at center of mass energies of 7 and 8 TeV, respectively, with  $4.8 \text{ fb}^{-1}$  collected at 7 TeV and  $5.8 \text{ fb}^{-1}$  collected at 8 TeV. Higgs production in the gluon fusion and vector boson fusion modes is modeled with **POWHEG** for the hard scattering event and **PYTHIA** for the showing and hadronization. Associated production of a Higgs with a vector boson or top quarks is modeled via **PYTHIA**.

Table 4.1 shows the Monte Carlo generators used for modeling the signal and background processes relevant for the three analyses to be discussed.

| Process                                     | Generator                        |
|---|----------------------------------|
| $\text{ggF}, \text{VBF } H$                 | <b>POWHEG + PYTHIA</b>           |
| $WH, ZH, t\bar{t}H$                         | <b>PYTHIA</b>                    |
| $W + \text{jets}, Z/\gamma^* + \text{jets}$ | <b>ALPGEN + HERWIG</b>           |
| $t\bar{t}, tW, tb$                          | <b>MC@NLO + HERWIG</b>           |
| $tqb$                                       | <b>ACERMC + PYTHIA</b>           |
| $q\bar{q} \rightarrow WW$                   | <b>MC@NLO + HERWIG</b>           |
| $gg \rightarrow WW$                         | <b>GG2WW+ HERWIG</b>             |
| $q\bar{q} \rightarrow ZZ$                   | <b>POWHEG + PYTHIA</b>           |
| $gg \rightarrow ZZ$                         | <b>GG2ZZ+ HERWIG</b>             |
| $WZ$  | <b>MADGRAPH+ PYTHIA , HERWIG</b> |
| $W\gamma + \text{jets}$                     | <b>ALPGEN + HERWIG</b>           |
| $W\gamma^*$                                 | <b>MADGRAPH+ PYTHIA</b>          |
| $q\bar{q}/gg \rightarrow \gamma\gamma$      | <b>SHERPA</b>                    |

**Table 4.1:** Monte carlo generators used to model signal and background for the Higgs search[22].

## 4.3 $H \rightarrow WW \rightarrow e\nu\mu\nu$ SEARCH

The  $H \rightarrow WW \rightarrow e\nu\mu\nu$  search is unique compared to the  $ZZ$  and  $\gamma\gamma$  channels. The Higgs mass cannot be fully reconstructed due to the presence of neutrinos in the final state, so the transverse mass  $m_T$  is used as the final discriminating variable. Compared to the other channels, there are more backgrounds

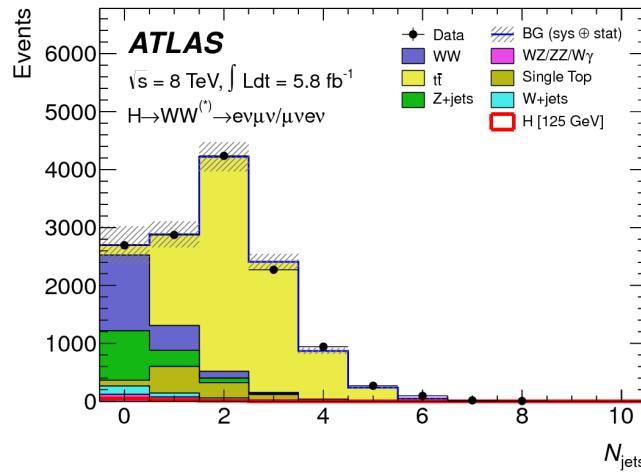
here as well, as discussed in chapter 3. The same flavor final states are excluded from this search due to high pileup in the 8 TeV dataset.

#### 4.3.1 EVENT SELECTION

The analysis requires two opposite charge isolated leptons, with the leading (sub-leading) lepton required to have  $p_T > 25(15)$  GeV. The events are separated into different signal regions depending on which flavor of lepton is leading ( $e\mu$  for leading electron,  $\mu e$  for leading muon). Strict lepton quality cuts are applied to the sample to reduce backgrounds from fake leptons.

Jets are reconstructed with the anti- $k_T$  algorithm with a radius parameter  $R = 0.4$ . The jets are required to have  $p_T > 25$  GeV and  $|eta| < 4.5$ , with jets in the tracking volume required to have a jet vertex fraction of 0.5 and jets in the forward region required to have  $p_T > 30$  GeV. The analysis is separated into three different signal regions based on jet multiplicity:  $n_j = 0, 1, \geq 2$ .

To indicate the presence of neutrinos in the event, a requirement of  $E_{T,\text{rel}}^{\text{miss}} > 25$  GeV is made\*. This requirement significantly reduces the QCD multijet and  $Z/\gamma^*$  + jets backgrounds. Figure 4.1 shows the distribution of  $n_j$  in data and simulation after applying these “pre-selection” requirements.



**Figure 4.1:** Jet multiplicity distribution in data and MC after applying lepton, jet, and  $E_{T,\text{rel}}^{\text{miss}}$  selections. The  $WW$  and top backgrounds have been normalized using control samples, and the hashed band indicates the total uncertainty on the prediction. [22]

\*For the definition of  $E_{T,\text{rel}}^{\text{miss}}$ , see chapter 3

Additional selections are applied to require the dilepton topology to correspond to that of a SM Higgs. The requirements are presented here - more detailed discussion on the motivation for each requirement is saved for Chapter 5. In all of the jet multiplicity channels, the dilepton system is required to have a small gap in azimuthal angle,  $\Delta\phi_{\ell\ell} < 1.8$ . Similarly, the  $m_{\ell\ell}$  is required to be less than 50 GeV in the lower jet multiplicity channels and less than 80 GeV in the  $n_j \geq 2$  channel. In the  $n_j = 0$  channel, the magnitude of the dilepton  $p_T$ ,  $p_T^{\ell\ell}$ , is required to be greater than 30 GeV.

In the higher jet multiplicity channels ( $n_j \geq 1$ ), the top background is a more important component and must be reduced. The total transverse momentum  $p_T^{\text{sum}}$  is thus required to be less than 30 GeV. Additionally, the di- $\tau$  invariant mass  $m_{\tau\tau}$  (dilepton mass computed under the assumption that the neutrinos from the  $\tau$  decay are emitted collinear to the charged leptons) is used to reject  $Z \rightarrow \tau\tau$  events by requiring  $|m_{\tau\tau} - m_Z| > 25$  GeV. These variables are also discussed in more detail in Chapter 5.

In the  $n_j \geq 2$  channel, requirements are made to isolate the VBF contribution to Higgs production. The kinematics of the two leading jets are used to make these requirements. In particular, the event must have  $\Delta y_{jj} > 3.8$  and  $m_{jj} > 500$  GeV, along with a veto on having any additional jets with rapidity between the two leading jets.

#### 4.3.2 BACKGROUND ESTIMATION

The details of the background estimation techniques used in the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  analysis are discussed in section 5.5. As that section refers to a later iteration of the analysis, a general discussion is given here for completeness. The dominant backgrounds are SM  $WW$  production and top (both pair and single) production, and these backgrounds have their normalizations estimated from dedicated control regions while their shapes are taken from simulation.

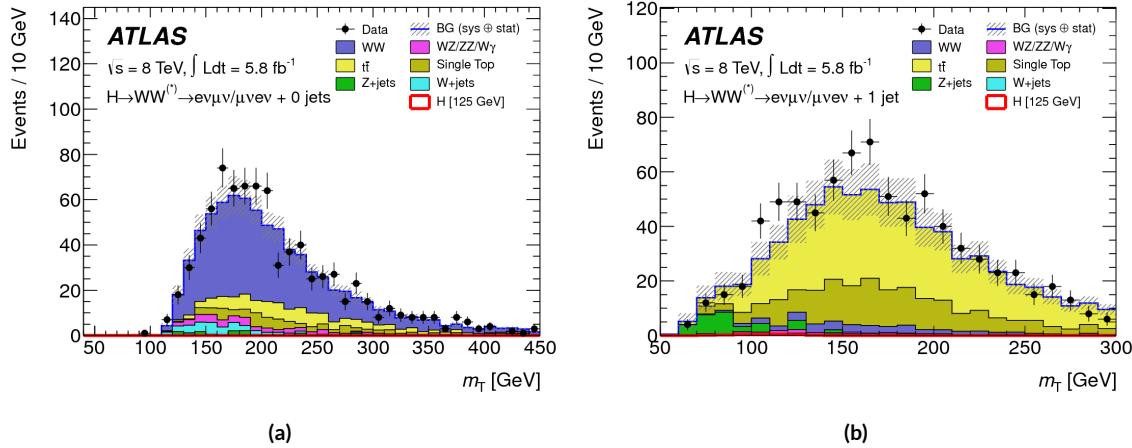
The control sample for the Standard Model  $WW$  background is defined by making the same requirements as the signal region with the  $m_{\ell\ell}$  requirement inverted (now requiring  $m_{\ell\ell} > 80$  GeV) and removing the  $\Delta\phi_{\ell\ell}$  requirement. This creates a control sample that is 70% (40%) pure in the 0(1)-jet region. The correction to the pure MC-based background estimate is quantified by defining a normalization factor  $\beta$  which is the ratio of the data yield to the MC yield ( $N_{\text{data}}/N_{\text{MC}}$ ) in this control sample.

Table 4.2 shows the  $WW$  normalization factors in the  $n_j = 0$  and  $n_j = 1$  bins (the  $n_j \geq 2$  estimate is taken directly from MC).

| $n_j$    | $\beta_{WW}$    | $\beta_t$       |
|----------|-----------------|-----------------|
| = 0      | $1.06 \pm 0.06$ | $1.11 \pm 0.06$ |
| = 1      | $0.99 \pm 0.15$ | $1.11 \pm 0.05$ |
| $\geq 2$ | -               | $1.01 \pm 0.26$ |

**Table 4.2:** Normalization factors (ratio of data and MC yields in a control sample) for the Standard Model  $WW$  and top backgrounds in the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  analysis [22]. Only statistical uncertainties are shown.

The top background estimate is also computed separately in each jet multiplicity bin. In the  $n_j = 0$  channel, the background is first normalized using data after pre-selection requirements with no selection on  $n_j$ . Then, a dedicated  $b$ -tagged control sample is used to evaluate the ratio of one-jet to two-jet events in data. The details of this technique are shown in reference [71]. In the  $n_j = 1$  and the  $n_j \geq 2$  regions, the top background is normalized in a control sample where the signal region selections are applied, but the  $b$ -jet veto is reversed and the Higgs topology requirements on  $m_{\ell\ell}$  and  $\Delta\phi_{\ell\ell}$  are removed. The resulting normalization factors for these techniques are shown in table 4.2.



**Figure 4.2:** Comparison of  $m_T$  between data and simulation in the  $n_j = 0$   $WW$  (a) and  $n_j = 1$  top (b) control samples [22]

The control samples which are used for background normalization can also be used to validate the modeling of the  $m_T$  distribution for each background. Figure 4.2 shows the comparison between data

and MC for the  $m_T$  distribution after correcting the normalization of the backgrounds in the  $WW$  and top control regions. Good agreement between data and simulation is seen in both cases.

The  $W + \text{jets}$  background estimate is taken entirely from data using a control sample with one well reconstructed lepton and one anti-identified lepton. All other backgrounds are taken purely from simulation.

#### 4.3.3 SYSTEMATIC UNCERTAINTIES

The systematic uncertainties that have the largest impact on the analysis are the theoretical uncertainties associated with the signal cross section, and these are shared with the  $ZZ^*$  and  $\gamma\gamma$  channels. The uncertainties resulting from variations of the QCD scale are  $+7\% / -8\%$  on the final singal yield. Those coming from variations of the parton distribution function (PDF) used in the simulation add a  $\pm 8\%$  uncertainty on the yield. The uncertainties on the branching ratios of the Higgs are  $\pm 5\%$ .

The main experimental uncertainties come from variations of the jet energy scale (JES), jet energy resolution, pile-up,  $E_T^{\text{miss}}$ ,  $b$ -tagging efficiency,  $W + \text{jets}$  background estimate, and integrated luminosity. For more details, see reference [22].

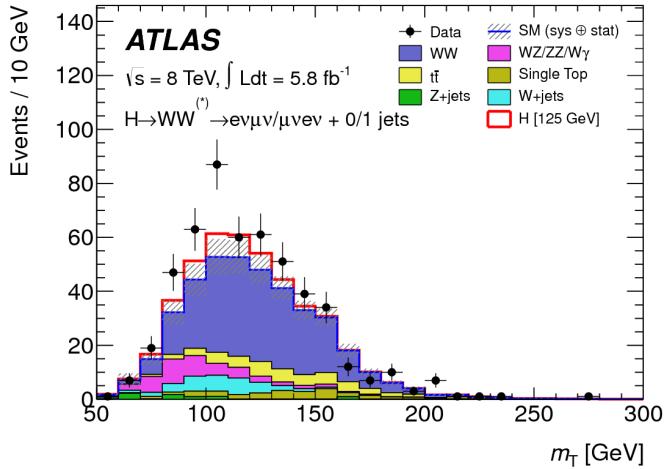
#### 4.3.4 RESULTS

Table 4.3 shows the signal and background yields in the final signal region after normalizing the backgrounds according to the methods described above.

|                            | $n_j = 0$     | $n_j = 1$       | $n_j \geq 2$    |
|----------------------------|---------------|-----------------|-----------------|
| Signal                     | $20 \pm 4$    | $5 \pm 2$       | $0.34 \pm 0.07$ |
| $WW$                       | $101 \pm 13$  | $12 \pm 5$      | $0.10 \pm 0.14$ |
| Other dibosons             | $12 \pm 3$    | $1.9 \pm 1.1$   | $0.10 \pm 0.10$ |
| $t\bar{t}$                 | $8 \pm 2$     | $6 \pm 2$       | $0.15 \pm 0.10$ |
| Single top                 | $3.4 \pm 1.5$ | $3.7 \pm 1.6$   | -               |
| $Z/\gamma^* + \text{jets}$ | $1.9 \pm 1.3$ | $0.10 \pm 0.10$ | -               |
| $W + \text{jets}$          | $15 \pm 7$    | $2 \pm 1$       | -               |
| Total background           | $142 \pm 16$  | $26 \pm 6$      | $0.35 \pm 0.18$ |
| Observed in data           | 185           | 38              | 0               |

**Table 4.3:** Data and expected yields for signal and background in the final  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  signal region.  
Uncertainties shown are both statistical and systematic. [22]

Figure 4.3 shows the  $m_T$  distribution in the  $n_j \leq 1$  channels for 8 TeV data. (No events are observed in data in the  $n_j \geq 2$  channels in this dataset). The excess shown here relatively flat as a function of hypothesized Higgs mass. The combined 7 and 8 TeV data gives an excess with local significance of  $2.8\sigma$  with an expected significance of  $2.3\sigma$ , corresponding to a  $\mu$  measurement of  $1.3 \pm 0.5$ .



**Figure 4.3:**  $m_T$  distribution in the  $H \rightarrow WW \rightarrow e\nu\mu\nu$   $n_j \leq 1$  channels for 8 TeV data[22].

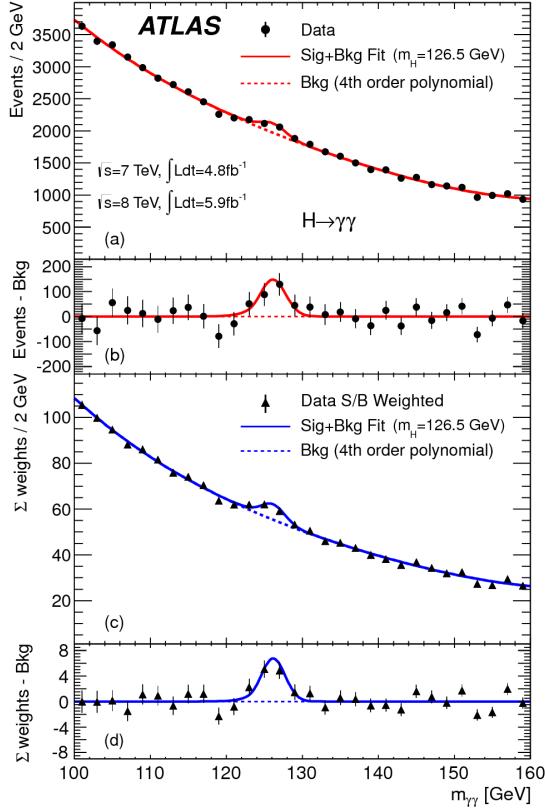
#### 4.4 $H \rightarrow \gamma\gamma$ SEARCH

The  $H \rightarrow \gamma\gamma$  search is in essence a search for a peaked excess above the falling SM diphoton mass spectrum, with  $m_{\gamma\gamma}$  as the ultimate discriminating variable. Events are selected by requiring two isolated photons, with the leading (sub-leading) photon required to have  $E_T > 40(30)$  GeV. In the 8 TeV data, the photons are required to pass cut-based identification criteria consistent with a photon in the electromagnetic calorimeter and little leakage in the hadronic calorimeter.

The main challenges for this analysis are accurate mass reconstruction and background estimation. In order to accurately reconstruct the invariant mass of the di-photon system, both the energy and direction of the photons must be measured well. Therefore, the identification of the primary vertex of the hard interaction is particularly important, and is done using a multivariate likelihood which combines information about the photon direction and vertex position. The background is modeled with a falling spectrum in  $m_{\gamma\gamma}$  that is parameterized by different functions depending on the category of the event.

#### 4.4.1 RESULTS

The resulting diphoton mass spectrum is shown in figure 4.4. The best fit mass value in the  $\gamma\gamma$  channel alone in the combined 7 and 8 TeV data is 126.5 GeV. The local significance at this point is  $4.5\sigma$ , with an expected significance of  $2.5\sigma$ . Therefore, the measured signal strength  $\mu$  is  $1.8 \pm 0.5$  in this channel.



**Figure 4.4:** Diphoton mass spectrum in 7 and 8 TeV data. Panel a) shows the unweighted data distribution superimposed on the background fit, while panel c) shows the data where each event category is weighted by its signal to background ratio. Panels b) and d) show the respective distributions with background subtracted[22].

#### 4.5 $H \rightarrow ZZ \rightarrow 4\ell$ SEARCH

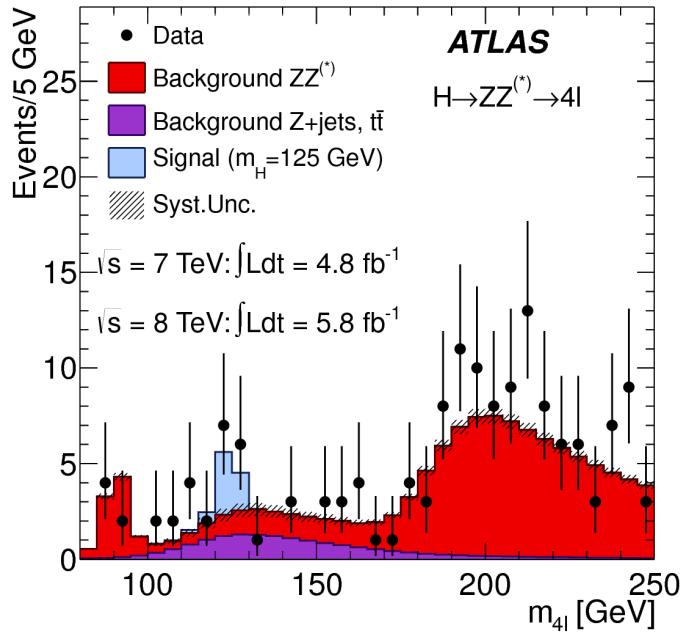
The  $H \rightarrow ZZ \rightarrow 4\ell$  analysis searches for a Standard Model Higgs boson decaying to two  $Z$  bosons, each of which decays to a pair of same flavor, opposite charge isolated leptons. The ultimate discriminating variable is  $m_{4\ell}$ , or the invariant mass of the four selected leptons. The  $\ell$  denotes an  $e$  or  $\mu$  as with the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  analysis.

Four distinct signal regions are constructed depending on the flavors of the final state, additionally separated by the flavor of the leading lepton pair. These are referred to as  $4e$ ,  $2e2\mu$ ,  $2\mu2e$ ,  $4\mu$ .

The main backgrounds in the  $H \rightarrow ZZ \rightarrow 4\ell$  search are continuum  $ZZ^*$  production,  $Z + \text{jets}$  production, and  $t\bar{t}$ . The  $m_{4\ell}$  distribution for background is estimated from simulation. The normalization of the SM  $ZZ^*$  background is also taken from MC simulation, while the  $Z + \text{jets}$  and  $t\bar{t}$  normalizations are taken from data-driven methods.

#### 4.5.1 RESULTS

Figure 4.5 shows the  $m_{4\ell}$  spectrum measured in the 7 and 8 TeV datasets. The total number of events observed in the window between 120 and 130 GeV is 13, with 6 events in the  $4\mu$  channel, 2 events in the  $4e$  channel, and 5 events in the  $2e2\mu/2\mu2e$ . The best fit  $\mu$  value in the combined 7 and 8 TeV data occurs at 125 GeV and is measured to be  $1.2 \pm 0.6$ . The observed significance at this mass is  $3.6\sigma$ , with an expected significance of  $2.7\sigma$ .



**Figure 4.5:** Four lepton invariant mass spectrum ( $m_{4\ell}$ ) in 7 and 8 TeV data compared to background estimate. A 125 GeV SM Higgs signal is shown in blue[22].

## 4.6 COMBINED RESULTS

The statistical interpretation of the combined results is undertaken as described in section 3.6.2, with a hypothesis test based on a likelihood ratio parameterized by the Higgs signal strength  $\mu$ . The null hypothesis corresponds to  $\mu = 0$ , while the SM Higgs corresponds to  $\mu = 1$ .

Table 4.4 summarizes the properties of the individual channels as well as the significances of the excesses seen. The most significant observed local excess comes from the  $\gamma\gamma$  channel. Figure 4.6 shows a comparison of the observed local  $p_0$  values as a function of hypothesized mass for the three different search channels. Both the  $ZZ^*$  and  $\gamma\gamma$  channels have very peaked excesses, while the  $WW^*$  excess can be seen as very broad because the  $m_T$  distribution does not provide detailed information about the true Higgs mass.

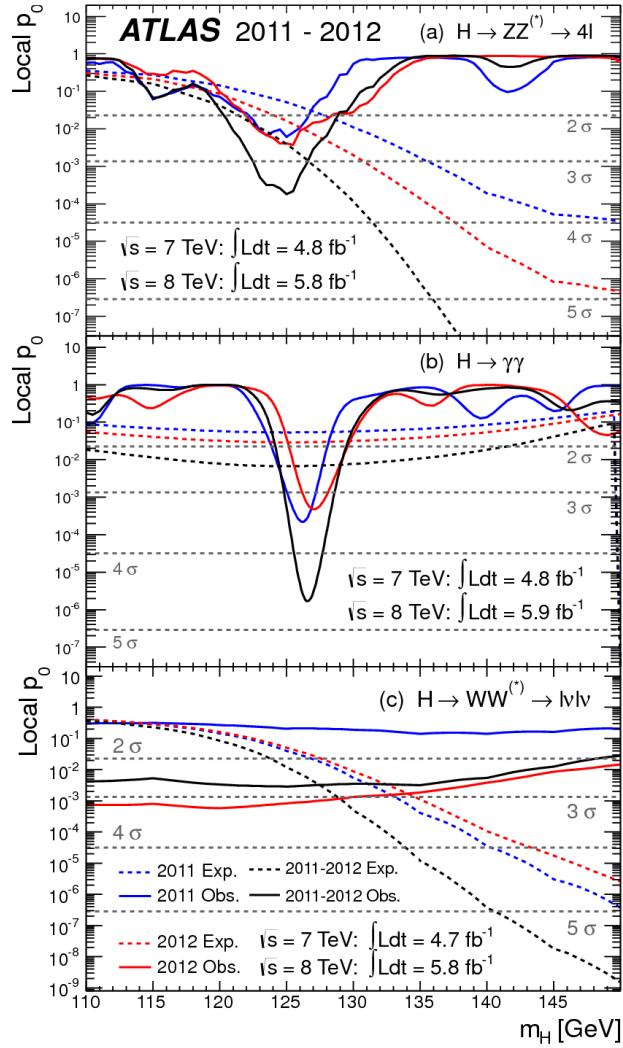
| Channel                                     | Fit var.           | Observed $Z_l$ | Expected $Z_l$ | $\hat{\mu}$   |
|---|--------------------|----------------|----------------|---------------|
| $H \rightarrow ZZ^* \rightarrow 4\ell$      | $m_{4\ell}$        | 3.6            | 2.7            | $1.2 \pm 0.6$ |
| $H \rightarrow \gamma\gamma$                | $m_{\gamma\gamma}$ | 4.5            | 2.5            | $1.8 \pm 0.5$ |
| $H \rightarrow WW^* \rightarrow e\nu\mu\nu$ | $m_T$              | 2.8            | 2.3            | $1.3 \pm 0.5$ |
| Combined                                    | -                  | 6.0            | 4.9            | $1.4 \pm 0.3$ |

**Table 4.4:** Summary of the expected and observed significance and measured signal strengths in the combined 7 and 8 TeV datasets for the Higgs discovery analysis[22].

Figure 4.7 shows the combined exclusion limit,  $p_0$ , and signal strength. The highest local excess comes at a value of 126.5 GeV and corresponds to a  $6.0\sigma$  observed excess.

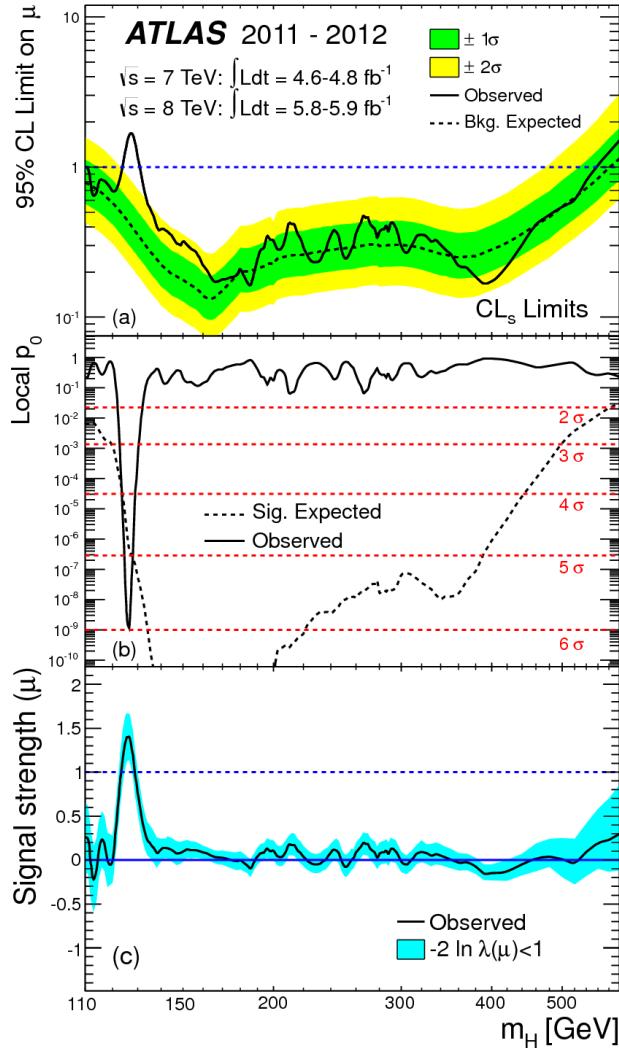
Figure 4.8 shows a comparison of the measured signal strengths between the different Higgs search channels. All measured  $\mu$  are consistent with unity within their uncertainty, and the combined  $\mu$  measurement is  $1.4 \pm 0.3$ .

The likelihood can also be computed in a two-dimensional plane of  $m_H$  and  $\mu$ , and this is shown in figure 4.9. The figure shows that while the  $\gamma\gamma$  and  $ZZ^*$  channels have very good mass resolution, the excess in  $WW^*$  covers a broad mass range. The banana shape of the  $WW^*$  result is due to the fact that the excess in this channel can either be explained by increasing the signal strength or by changing the mass (and thus the cross section). The two parameters are correlated due to the lack of mass sensitivity in this channel.



**Figure 4.6:** Local  $p_0$  distribution as a function of hypothesized Higgs mass for the  $H \rightarrow ZZ^* \rightarrow 4\ell$  (a),  $H \rightarrow \gamma\gamma$  (b), and  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  (c) channels. Dashed curves show expected results, while solid curves show observed. Red curves are from 7 TeV data, blue curves from 8 TeV, and black curved combined[22].

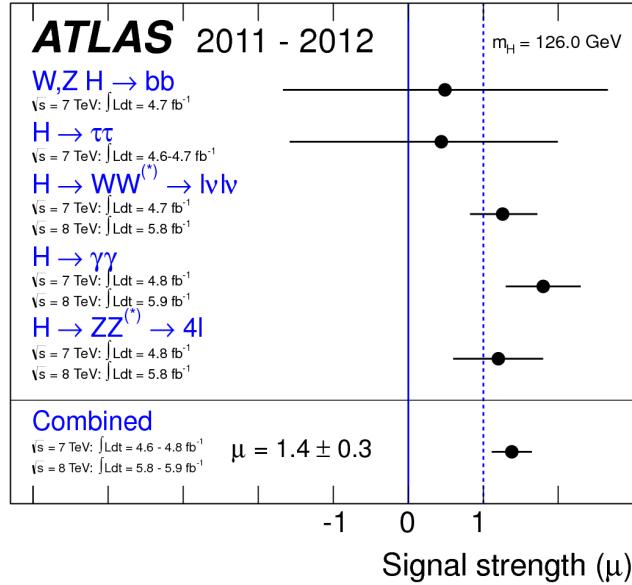
Because multiple Higgs mass points are searched for, the local significance must be corrected for a look-elsewhere effect to compute a true global significance. The global significance for finding a Higgs anywhere in the mass range of 110 GeV to 600 GeV is  $5.1\sigma$ . This increases slightly to  $5.3\sigma$  if only mass range from 110 to 150 GeV.



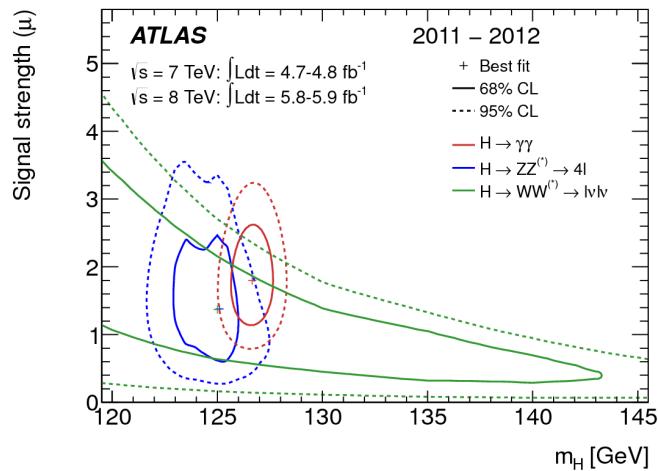
**Figure 4.7:** Combined 95% CL limits (a), local  $p_0$  values (b), and signal strength measurement (c) as a function of Higgs mass[22].

#### 4.7 CONCLUSION

A search for the production of a Standard Model Higgs boson was conducted in  $4.8 \text{ fb}^{-1}$  collected at  $\sqrt{s} = 7 \text{ TeV}$  and  $5.8 \text{ fb}^{-1}$  at  $\sqrt{s} = 8 \text{ TeV}$ . A new particle consistent with the Higgs boson was observed, with a mass of  $126.5 \text{ GeV}$  and a global (local) significance of  $5.1(6.0)\sigma$ . This is the first discovery level observation of a particle consistent with the Higgs.



**Figure 4.8:** Comparison of measured signal strength  $\mu$  for a 126 GeV Higgs in the 7 and 8 TeV datasets[22].



**Figure 4.9:** Two dimensional likelihood as a function of signal strength  $\mu$  and Higgs mass  $m_H$ [22].

*The imagination of nature is far, far greater than the  
imagination of man.*

Richard Feynman

# 5

## Observation of Vector Boson Fusion

production of  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$

### 5.1 INTRODUCTION

After the discovery of a particle consistent with the Higgs boson, the  $H \rightarrow WW^*$  analysis had two main goals. The first goal was to increase the sensitivity of the analysis to fully confirm that the  $H \rightarrow WW^*$  process did indeed exist. The second goal was to characterize the particle as much as possible, including searching for the lower cross-section production modes, in order to confirm that it was indeed a Higgs boson. This chapter presents a dedicated search for Vector Boson Fusion (VBF) production of a Higgs boson decaying via the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  mode. First, basics of the topology of VBF production are presented. Then, the details of the analysis are shown, including signal region definition, background estimation techniques, and systematic uncertainties. Finally, the results of the analysis are presented. As

will be shown, this analysis is the first and most sensitive observation of the VBF production mode of the Higgs on ATLAS.

In the VBF channel, there are both a selection requirement based signal region analysis (known as the “cut-based”) and a multivariate analysis which uses a boosted decision tree (known as the BDT analysis). The focus of this chapter will be on the cut-based signal region, as this is an important component of the VBF analysis and in particular acts as strong validation for the final BDT result. Connections between the cut-based and BDT analyses will be discussed where appropriate.

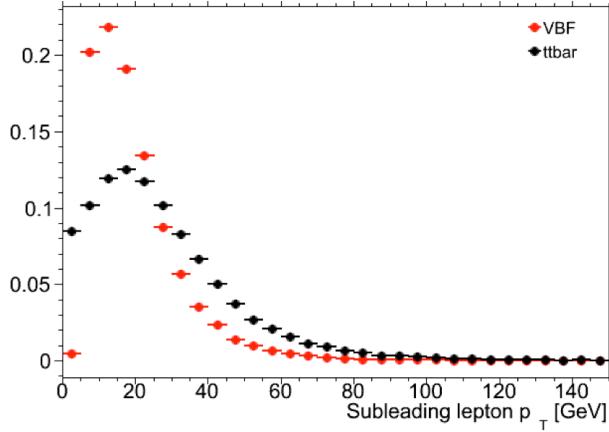
## 5.2 DATA AND SIMULATION SAMPLES

The results presented here are with  $20.3 \text{ fb}^{-1}$  taken at  $\sqrt{s} = 8 \text{ TeV}$  and  $4.5 \text{ fb}^{-1}$  taken at  $\sqrt{s} = 7 \text{ TeV}$ . The details of the LHC and detector conditions during this period are given in Chapter 2. The trigger selection defining the dataset is discussed in section 5.2.1. The simulation samples used for signal and background modeling are given in section 5.2.2.

### 5.2.1 TRIGGERS

The analysis uses a combination of single lepton and dilepton triggers to allow lowering of the  $p_T$  thresholds and increased signal acceptance. The  $p_T$  threshold on the leptons is a particularly important consideration for this signal. Because the second  $W$  produced in the decay can be off-shell, it tends to produce lower momentum leptons. Thus, being able to lower the  $p_T$  threshold while still maintaining a low background rate is critical. Figure 5.1 shows an example of the subleading lepton  $p_T$  for a VBF  $H \rightarrow WW^*$  signal compared to the corresponding  $t\bar{t}$  background. Note that the lepton  $p_T$  spectrum is considerably softer in the signal sample.

As discussed in Chapter 2, there are multiple levels in the ATLAS trigger system, and there are different  $p_T$  thresholds imposed for the leptons at each level. Additionally, some triggers have a loose selection on the isolation of the lepton (looser than that applied offline in the analysis object selection). Table 5.1 shows the thresholds used for single lepton triggers, while table 5.2 shows the thresholds coming from di-lepton triggers. The single lepton trigger efficiency for muons that pass the analysis object selection is



**Figure 5.1:** A comparison of the subleading lepton  $p_T$  spectrum between VBF  $H \rightarrow WW^*$  production and  $t\bar{t}$  background

70% for muons in the barrel region ( $|\eta| < 1.05$ ) and 90% in the endcap region. The electron trigger efficiency increases with electron  $p_T$  but the average is approximately 90%. These efficiencies are measured by combined performance and trigger signature groups[72, 73].

|          | Level-1 threshold | High-level threshold |
|----------|-------------------|----------------------|
| Electron | 18                | $24i$                |
|          | 30                | 60                   |
| Muon     | 15                | $24i$                |
|          |                   | 36                   |

**Table 5.1:** Single lepton triggers used for electrons and muons. A logical “or” of the triggers listed for each lepton type is taken. Units are in GeV, and the  $i$  denotes an isolation requirement in the trigger.

|          | Level-1 threshold | High-level threshold |
|----------|-------------------|----------------------|
| $ee$     | 10 and 10         | 12 and 12            |
| $\mu\mu$ | 15                | 18 and 8             |
| $e\mu$   | 10 and 6          | 12 and 8             |

**Table 5.2:** Di-lepton triggers used for different flavor combinations. The two thresholds listed refer to leading and sub-leading leptons, respectively. The di-muon trigger only requires a single lepton at level-1.

The combination of all triggers shown gives good efficiency for signal events. This efficiency is summarized in table 5.3. The relative improvement in efficiency by adding the dilepton triggers is also shown in the same table. The largest gain comes in the  $\mu\mu$  channel. Overall the trigger selection shows a good efficiency for  $H \rightarrow WW^*$  signal events.

| Channel  | Trigger efficiency | Gain from $2\ell$ trigger |
|----------|--------------------|---------------------------|
| $ee$     | 97%                | 9.1%                      |
| $\mu\mu$ | 89%                | 18.5%                     |
| $e\mu$   | 95%                | 8.3%                      |
| $\mu e$  | 81%                | 8.2%                      |

**Table 5.3:** Trigger efficiency for signal events and relative gain of adding a dilepton trigger on top of the single lepton trigger selection. The first lepton is the leading, while the second is the sub-leading. Efficiencies shown here are for the ggF signal in the  $n_j = 0$  category but are comparable for the VBF signal.

### 5.2.2 MONTE CARLO SAMPLES

Modeling of signal and background processes in the signal region, in particular for the  $m_T$  distribution, is an important consideration for the final interpretation of the analysis. Therefore, careful consideration must be paid to which Monte Carlo (MC) generators are used for specific processes. With the exception of the  $W + \text{jet}$  and multijet backgrounds, the  $m_T$  shape used as the final discriminant is taken from simulation. (Many backgrounds are normalized from data, as described in section 5.5).

Table 5.4 shows the MC generators used for the signal and background processes, as well as their cross sections. In order to include corrections up to next-to-leading order (NLO) in the QCD coupling constant  $\alpha_s$ , the `POWHEG` [74] generator is often used. In some cases, only leading order generators like `ACERMC` [75] and `GG2VV` [76] are available for the process in question. If the process requires good modeling for very high parton multiplicities, the `SHERPA` [77] and `ALPGEN` [78] generators are used to provide merged calculations for five or fewer additional partons. These matrix element level calculations must then be additionally matched to models of the underlying event, hadronization, and parton shower. There are four possible generators for this: `SHERPA`, `PYTHIA 6`[79], `PYTHIA 8`[80], or `HERWIG` [81] + `JIMMY` [82]. The simulation additionally requires an input parton distribution function (PDF). The `CT10`[83] PDFs are used for `SHERPA` and `POWHEG` simulated samples, while `CTEQ6Li`[84] is used for `ALPGEN + HERWIG` and `ACERMC` simulations. The Drell-Yan samples are reweighted to the `MRST` [85] PDFs, as these are found to give the best agreement between data and simulation.

Once the basic hard scattering process is simulated, it must be passed through a detector simulation and additional pile-up events must be overlaid. The pile-up events are modeled with `PYTHIA 8`, and the

| Process   | MC generator                             | $\sigma \cdot \mathcal{B}$<br>(pb) |
|---|--|------------------------------------|
| <b>Signal</b>   |  |                                    |
| ggF   | $H \rightarrow WW^*$<br>POWHEG +PYTHIA 8 | 0.435                              |
| VBF   | $H \rightarrow WW^*$<br>POWHEG +PYTHIA 8 | 0.0356                             |
| VH  | $H \rightarrow WW^*$<br>PYTHIA 8         | 0.0253                             |
| <b><math>WW</math></b>                                    |  |                                    |
| $q\bar{q} \rightarrow WW$ and $qg \rightarrow WW$         | POWHEG +PYTHIA 6                         | 5.68                               |
| $gg \rightarrow WW$                                       | GG2VV +HERWIG                            | 0.196                              |
| $(q\bar{q} \rightarrow W) + (q\bar{q} \rightarrow W)$     | PYTHIA 8                                 | 0.480                              |
| $q\bar{q} \rightarrow WW$                                 | SHERPA                                   | 5.68                               |
| VBS $WW + 2$ jets   | SHERPA                                   | 0.0397                             |
| <b>Top quarks</b>   |  |                                    |
| $t\bar{t}$  | POWHEG +PYTHIA 6                         | 26.6                               |
| $Wt$  | POWHEG +PYTHIA 6                         | 2.35                               |
| $tq\bar{b}$   | ACERMC +PYTHIA 6                         | 28.4                               |
| $t\bar{b}$  | POWHEG +PYTHIA 6                         | 1.82                               |
| <b>Other dibosons (<math>VV</math>)</b>                   |  |                                    |
| $W\gamma$ ( $p_T^\gamma > 8$ GeV)                         | ALPGEN +HERWIG                           | 369                                |
| $W\gamma^*$ ( $m_{\ell\ell} \leq 7$ GeV)                  | SHERPA                                   | 12.2                               |
| $WZ$ ( $m_{\ell\ell} > 7$ GeV)                            | POWHEG +PYTHIA 8                         | 12.7                               |
| VBS $WZ + 2$ jets   | SHERPA                                   | 0.0126                             |
| ( $m_{\ell\ell} > 7$ GeV)                                 |  |                                    |
| $Z\gamma$ ( $p_T^\gamma > 8$ GeV)                         | SHERPA                                   | 163                                |
| $Z\gamma^*$ (min. $m_{\ell\ell} \leq 4$ GeV)              | SHERPA                                   | 7.31                               |
| $ZZ$ ( $m_{\ell\ell} > 4$ GeV)                            | POWHEG +PYTHIA 8                         | 0.733                              |
| $ZZ \rightarrow \ell\ell\nu\nu$ ( $m_{\ell\ell} > 4$ GeV) | POWHEG +PYTHIA 8                         | 0.504                              |
| <b>Drell-Yan</b>  |  |                                    |
| $Z$ ( $m_{\ell\ell} > 10$ GeV)                            | ALPGEN +HERWIG                           | 16500                              |
| VBF $Z + 2$ jets  | SHERPA                                   | 5.36                               |
| ( $m_{\ell\ell} > 7$ GeV)                                 |  |                                    |

**Table 5.4:** Monte Carlo samples used to model the signal and background processes[21].

ATLAS detector is simulated with GEANT4[86]. Because of the unique phase space of the  $H \rightarrow WW^*$  analysis, events are sometimes filtered at generator level to allow for more efficient generation of relevant events. The efficiency of the trigger in MC simulation does not always match the measured efficiency in

data, so trigger scale factors are applied to correct the MC efficiency to the data. These are derived by the combined performance groups[72, 73].

### 5.3 OBJECT SELECTION

In order to define the signal region, the analysis must first select the objects to be considered. The details of the object reconstruction algorithms are discussed in Chapter 2, while this section gives specific selection cuts used in the  $H \rightarrow WW^*$  analysis.

The first step in this process is to select a primary vertex candidates. The event's primary vertex is the vertex with the largest sum of  $p_T^2$  for associated tracks and is required to have at least three tracks with  $p_T > 450$  MeV. Many of the object selection cuts are then made relative to this chosen primary vertex.

#### 5.3.1 MUONS

The analysis uses combined muon candidates, where a track in the Inner Detector has been matched to a standalone track in the Muon Spectrometer. The track parameters are combined statistically in the muon reconstruction algorithm[16]. The muons are required to be within  $|\eta| < 2.5$  and have a  $p_T > 10$  GeV. To reduce backgrounds coming from mis-reconstructed leptons, there are requirements on the impact parameter of the muon relative to the primary vertex. The transverse impact parameter  $d_0$  is required to be small relative to its estimated uncertainty, the exact cut value being  $d_0/\sigma_{d_0} < 3$ . The longitudinal impact parameter  $z_0$  must satisfy  $|z_0 \sin \theta| < 1$  mm.

As discussed previously, the muons must also be isolated. There are two types of lepton isolations that are calculated: track-based and calorimeter-based. For muons, the track-based isolation is defined using the scalar sum  $\sum p_T$  for tracks with  $p_T > 1$  GeV (excluding the muon's track) within a cone of  $\Delta R = 0.3$  ( $0.4$ ) for muon with  $p_T > 15$  GeV ( $10 < p_T < 15$  GeV). The final isolation requirement is made by requiring that this scalar sum be no more than a certain fraction of the muon's  $p_T$ . This requirement varies with muon  $p_T$  and the exact cuts are defined in table 5.5.

The calorimeter-based muon isolation is defined using a  $\sum E_T$  calculated from calorimeter cells using the same cone size as the track-based isolation but excluding cells with  $\Delta R < 0.05$  around the

muon. This requirement is also defined as a cut on the ratio of the sum to the muon  $p_T$  and varies with muon  $p_T$ . The cut values are also given in table 5.5.

The isolation requirements loosen as a function of  $p_T$  to allow for larger signal acceptance. At low  $p_T$ , the isolation is tightened to reduce the  $W + \text{jets}$  background which arises from a misidentified lepton.

| $p_T$ range (GeV) | Calorimeter isolation | Track isolation |
|-------------------|-----------------------|-----------------|
| 10 – 15           | 0.06                  | 0.06            |
| 15 – 20           | 0.12                  | 0.08            |
| 20 – 25           | 0.18                  | 0.12            |
| $> 25$            | 0.30                  | 0.12            |

**Table 5.5:**  $p_T$  dependent isolation requirements for muons. Muons are required to have the amount of calorimeter or track based cone sums be less than this fraction of their  $p_T$ .

### 5.3.2 ELECTRONS

Electrons are identified by matching reconstructed clusters in the electromagnetic calorimeter with tracks in the inner detector. The electrons are identified using a likelihood based method[14, 64] which takes into account the shower shapes in the calorimeter, the matching of tracks to clusters, and the amount of transition radiation in the TRT. The electrons are required to have  $|\eta| < 2.47$ , and candidates in the transition region between the barrel and endcap ( $1.37 < |\eta| < 1.52$ ) are excluded. As the muons, the electrons are required to have transverse impact parameter significance  $< 3$ , while in the longitudinal direction they must have  $|z_0 \sin \theta| < 0.4$  mm. Some electron requirements also vary with electron  $E_T$ , and these requirements are summarized in table 5.6.

The isolation for electrons are defined similarly to the muons but with unique cuts on the objects included. The track-based isolation is defined using tracks with  $p_T > 400$  MeV with cone sizes as defined previously. The calorimeter-based isolation also uses the same cone size as the muon, but here the cells within a  $0.125 \times 0.175$  area in  $\eta \times \phi$  around the electron cluster's barycenter are excluded. The other difference with respect to muons is that the denominator of the isolation ratio is the electron's  $E_T$  rather than  $p_T$ . The isolation cuts very with electron  $E_T$  and are defined in table 5.6.

The electron is also required to not be consistent with a vertex coming from a photon conversion.

| $p_T$ range (GeV) | Quality cut   | Calorimeter isolation | Track isolation |
|-------------------|---------------|-----------------------|-----------------|
| 10 – 15           | Very tight LH | 0.20                  | 0.06            |
| 15 – 20           | Very tight LH | 0.24                  | 0.08            |
| 20 – 25           | Very tight LH | 0.28                  | 0.10            |
| > 25              | Medium        | 0.28                  | 0.10            |

**Table 5.6:**  $p_T$  dependent requirements for electrons. Electrons are required to have the amount of calorimeter or track based cone sums be less than this fraction of their  $E_T$ .

### 5.3.3 JETS

Jets are clustered with the anti- $k_T$  reconstruction algorithm using a radius parameter of  $R = 0.4$ . They are required to have a jet vertex fraction (JVF) of at least 50%, meaning that half of the tracks associated with the jet originated from the primary vertex. Jets with no tracks associated (i.e. those outside the acceptance of the ID) do not have this requirement applied. Jets are required to have  $p_T > 25$  GeV if they are within the tracking acceptance ( $|\eta| < 2.4$ ). Jets with  $2.4 < |\eta| < 4.5$  are required to have  $p_T > 30$  GeV. This tighter requirement reduces jets from pileup in the region where JVFrequirements cannot be applied. The two highest  $p_T$  jets in the event are referred to as the “VBF” jets and used to compute various analysis selections later.

Identification of  $b$ -jets is done using the MV1 algorithm and is limited to the acceptance of the ID ( $|\eta| < 2.5$ ). The operating point of MV1 that is used is the one that is 85% efficient for identifying true  $b$ -jets. This operating point has a 10.3% of mis-tagging a light quark jet as a  $b$ -jet. In order to improve the rejection of  $b$ -jets, a lower threshold than the nominal  $p_T$  threshold described above is used. For the purposes of counting the number of  $b$ -jets, jets with  $p_T$  down to 20 GeV are used.

### 5.3.4 OVERLAP REMOVAL

There are some cases where certain reconstructed objects will overlap and one will have to be chosen (for example, an electron and a jet in the calorimeter). First, the case of lepton overlap is dealt with. If an electron candidate extends into the muon spectrometer, it is removed. If a muon or electron have a  $\Delta R < 0.1$ , the electron is removed and the muon is kept. If two electron candidates overlap within the same radius, then the higher  $E_T$  electron is kept. Next, the overlap between leptons and jets is con-

sidered. If an electron and jet are within  $\Delta R < 0.3$  of one another, the electron is kept and the jet is removed. However, if a muon and jet overlap within  $\Delta R < 0.3$ , the jet is kept (as it is likely that the muon is the result of a semileptonic decay inside the jet).

Once the overlap removal is complete, the final set of objects used in the analysis is defined.

#### 5.4 ANALYSIS SELECTION

The VBF analysis uses two distinct selections. The first is a more standard selection, referred to as “cut-based”, that applies requirements on the VBF variables and uses  $m_T$  as the final discriminating variable. The second is a looser selection that uses a Boosted Decision Tree (BDT) score as the final discriminator in order to take advantage of the detailed correlations between the VBF variables. While the BDT analysis is ultimately more sensitive, the cut-based serves as an important component of the analysis. First, the cut-based allows for confirming the modeling and validity of many variables used as input to the BDT. Second, because this is the first use of such an MVA technique in the  $H \rightarrow WW^*$  analysis, the cut-based selection allows confirmation of the final BDT result with a more traditional analysis. The cut-based techniques are the focus of this chapter, but connections to the BDT result will be illustrated when appropriate.

One important note is that because this analysis is dedicated to the measurement of the VBF production mode of the Higgs, events coming from gluon fusion production with the Higgs decaying via  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  are treated as background events. This will be seen throughout the various predictions shown.

##### 5.4.1 COMMON PRE-SELECTION

Both the cut-based and BDT analyses have a common pre-selection that is applied before the main signal region requirements. The requirements on leptons are common to all  $n_j$  bins. The analysis requires two oppositely charged leptons, with the leading lepton required to have  $p_T > 22$  GeV while the subleading lepton must have  $p_T > 10$  GeV. Next, to remove low mass  $Z/\gamma^*$  events, a cut on the dilepton mass  $m_{\ell\ell} > 10$  (12) GeV is applied in the different (same) flavor channel. In the same flavor channels, there is

an additional veto placed on the region around the Z peak, requiring that  $|m_{\ell\ell} - m_Z| > 15$  GeV.

There are also requirements on the amount of missing transverse momentum in the event. These are only applied in the same flavor channels, as in the different flavor channels  $t\bar{t}$  is the dominant background in  $n_j \geq 2$ . The BDT analysis requires  $p_T^{\text{miss}} > 40$  GeV and  $E_T^{\text{miss}} > 45$  GeV. The cut-based analysis must select more tightly on these variables to have maximal sensitivity and thus requires  $p_T^{\text{miss}} > 50$  GeV and  $E_T^{\text{miss}} > 55$  GeV.

Finally, because this analysis is focused on VBF, a requirement on the jet multiplicity is placed, with  $n_j \geq 2$ . Additionally, the analysis requires that there are no jets identified as b-quarks in the event, or  $n_b = 0$ .

#### 5.4.2 CUT-BASED SELECTION

The cut-based selection places sequential requirements on variables reconstructed from the VBF jets in order to increase the signal to background ratio.

#### GENERAL BACKGROUND REDUCTION

Top pair production is the primary background in the  $n_j \geq 2$  bin. Even though  $n_b = 0$  is required, an additional variable is constructed to further suppress the top background. There is often additional QCD radiation that accompanies the  $t\bar{t}$  system when it is produced. Therefore, a variable which tests for the presence of this additional radiation,  $p_T^{\text{sum}}$ , is constructed. It is defined in equation 5.1.

$$p_T^{\text{sum}} = p_T^{\ell\ell} + p_T^{\text{miss}} + \sum p_T^j \quad (5.1)$$

The first cut after pre-selection in the cut-based analysis requires  $p_T^{\text{sum}} < 15$  GeV to further suppress  $t\bar{t}$  production.

In the different flavor channels, a cut is made to reduce the contamination from  $Z \rightarrow \tau\tau$  decays. The di- $\tau$  invariant mass,  $m_{\tau\tau}$ , is constructed by assuming that the neutrinos from the  $\tau$  decays were collinear with the leptons[? ]. The analysis requires that this mass not be consistent with a  $Z$  by requiring  $m_{\tau\tau} < m_Z - 25$  GeV.

## VBF TOPOLOGICAL CUTS

The characteristic feature of VBF production of the Higgs is the presence of two additional forward jets coming from the incoming partons which radiate the vector bosons that make the Higgs. These jets are forward because the outgoing partons still carry the longitudinal momentum of the incoming partons. Figure 5.2 shows the distribution of the  $\eta$  for the leading jet in a VBF event compared to a background top pair production event. As can be seen, the VBF jets tend to be more forward in  $\eta$ , while the  $t\bar{t}$  jets are more central.

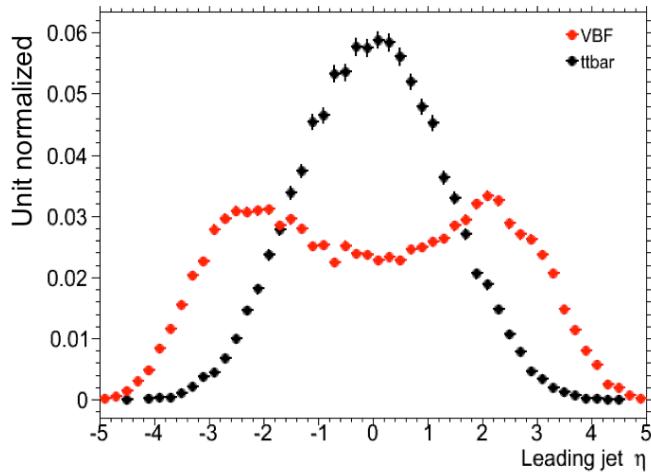


Figure 5.2: Leading jet  $\eta$  in VBF  $H \rightarrow WW^*$  (red) and  $t\bar{t}$  (black)

Because the cross section for VBF production is an order of magnitude smaller than gluon fusion production, these forward jets must be used in order to better reduce background and achieve a good signal to background ratio. The dedicated VBF search selection requirements are constructed to maximally exploit the features of the unique VBF topology.

Requirements on the VBF jets are collectively referred to as the “VBF topological cuts”. First, a requirement on the dijet invariant mass of the VBF jets,  $m_{jj}$ , is placed, requiring  $m_{jj} > 600$  GeV. Next, the event is required to have a large gap in rapidity between the two VBF jets, or  $\Delta y_{jj} > 3.6$ . Both of these cuts put tight requirements on the presence of two forward, high  $p_T$  jets moving in opposite

directions in the longitudinal plane.

Beyond requiring the presence of the two forward VBF jets, the analysis also vetoes on the presence of any additional jets that fall between the two VBF jets. This cut is referred to as the central jet veto, or CJV. Any events with a third jet with  $p_T > 20 \text{ GeV}$  whose rapidity is between the region defined by the two VBF jets are vetoed. This can be expressed in terms of a variable called the jet centrality, defined in equation 5.2.

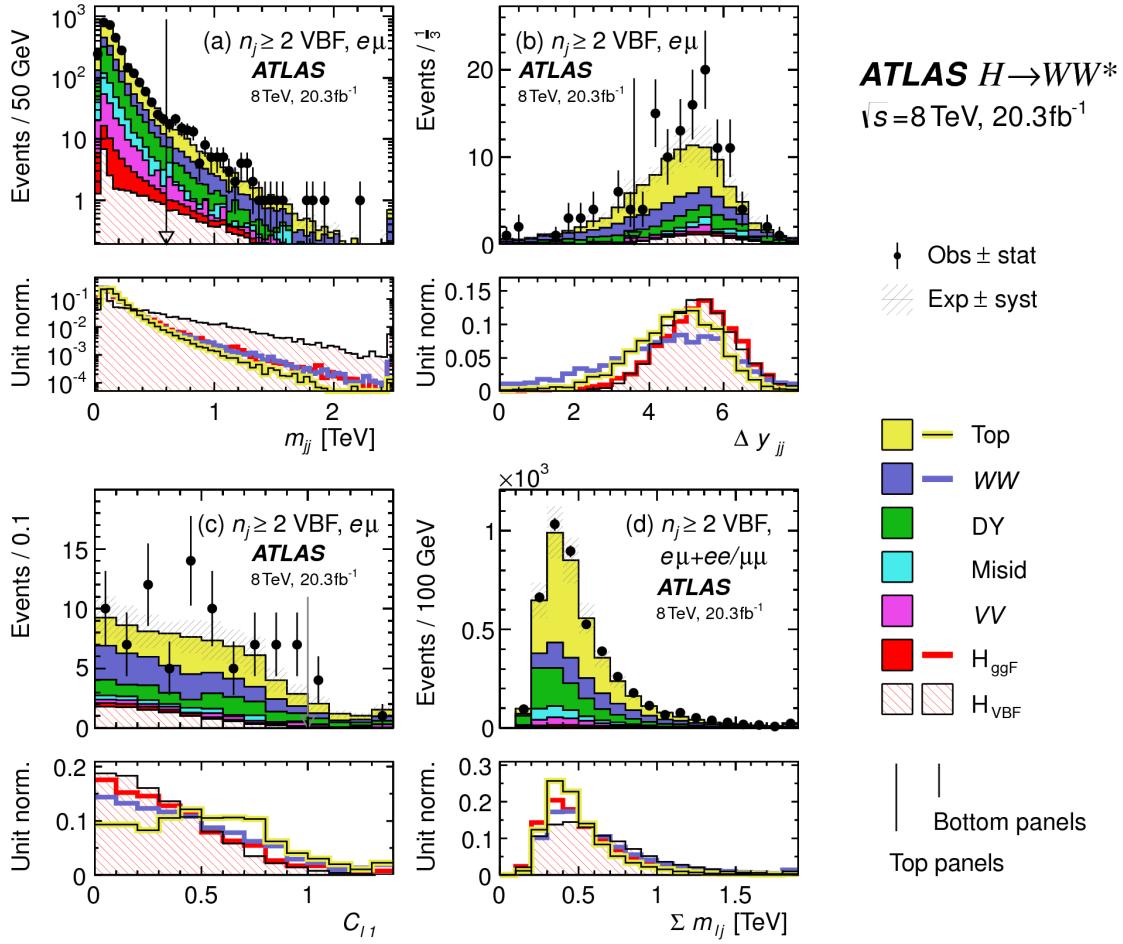
$$C_{j3} = \left| \eta_{j3} - \frac{\eta_{j1} + \eta_{j2}}{2} \right| / \frac{|\eta_{j1} - \eta_{j2}|}{2}, \quad (5.2)$$

Here,  $\eta_{j1}$  and  $\eta_{j2}$  are the pseudorapidities of the leading and subleading jets, respectively, while  $\eta_{j3}$  is the pseudorapidity of the extra jet in the event (if one exists). Intuitively,  $C_{j3}$  is zero when  $\eta_{j3}$  is directly centered between the two jets and unity when  $\eta_{j3}$  is aligned with either of the VBF jets. Thus, the CJV can be expressed as a requirement that  $C_{j3} > 1$ .

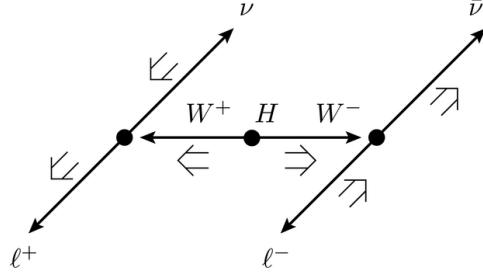
The decay products of the Higgs tend to be central as well. Thus, the analysis also requires that both leptons in the analysis fall within the rapidity gap defined by the jets. This cut is referred to as the outside lepton veto, or OLV. A quantitative way to define the cut is to require that the centrality of each lepton (defined analogously to that of the third jet in equation 5.2) correspond to the lepton being within the jet rapidity gap, or  $C_\ell < 1$  for both leptons.

Figure 5.3a-c shows the  $m_{jj}$ ,  $\Delta y_{jj}$ , and  $C_{\ell 1}$  variables at the stage where all previous cuts in the sequence have been made. The agreement between data and Monte Carlo is good, and the bottom panels show their power in discriminating the VBF signal from the background processes.

The final signal region is also split into two bins of  $m_{jj}$ , with the first bin corresponding to  $600 \text{ GeV} < m_{jj} < 1 \text{ TeV}$  and the second bin corresponding to  $m_{jj} > 1 \text{ TeV}$ . The first bin has more statistics but also a larger contribution from background, while the second bin has lower statistics but a 1:1 signal to background ratio.



**Figure 5.3:** Distributions of (a)  $m_{jj}$ , (b)  $\Delta y_{jj}$ , (c)  $C_{\ell 1}$ , and (d)  $\sum m_{ij}$ , for the VBF analysis. The top panels compare simulation and data, while the bottom panels show normalized distributions for all background processes and signal[21].



**Figure 5.4:** A cartoon of the WW final state. Momenta are represented with thin arrows, spins with thick arrows. [21]

### HIGGS TOPOLOGICAL CUTS

The final state leptons will exhibit unique correlations due to the fact that they are arising from the decay of a spin zero resonance. In particular, the spins of the final state leptons and neutrinos must all cancel, as shown in figure 5.4. Because the neutrino has a left handed chirality and the anti-neutrino has a right handed chirality (in the massless neutrino approximation), the spin and momentum of the particles will be anti-aligned and aligned, respectively. In the transverse plane, the momenta of all four final state objects must cancel as well. With the constraint of having both the momenta and the spin alignments cancel, the final state kinematics strongly prefer having a small angle between the leptons in the transverse plane (low  $\Delta\phi_{\ell\ell}$ ). This angular correlation will also lead to low values of the di-lepton invariant mass  $m_{\ell\ell}$ . These unique signal final state kinematic correlations will be exploited to define the ultimate signal region.

The analysis places additional requirements on the final state leptons. Two requirements on dilepton kinematics are made that are common with lower multiplicity jet bins as well. The angle between leptons in the transverse plane,  $\Delta\phi_{\ell\ell}$ , is required to be less than 1.8 radians. Additionally, the dilepton mass  $m_{\ell\ell}$  is required to be less than 50 GeV.

The cut-based analysis uses  $m_T$  as the final discriminating variable as in the ggF focused analysis. The optimal number of bins in  $m_T$  was found to be three bins, with the bin boundaries at 80 and 130 GeV.

Table 5.7 shows the data and estimated signal and background yields from simulation as each cut described above is made. The table shows how each cut reduces specific backgrounds and how the overall

signal to background ratio grows through the cutflow.

Figure 5.5 shows an ATLAS event display of a candidate event in the final signal region.

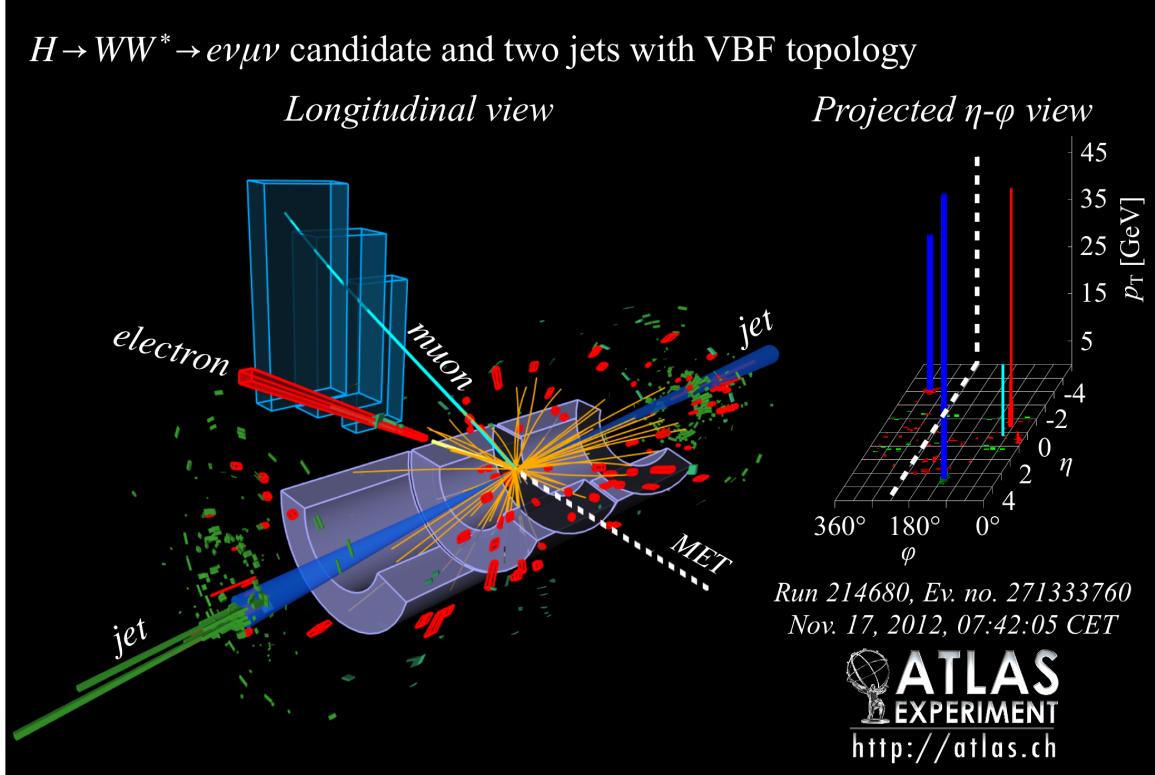


Figure 5.5: Event display of a VBF candidate event[21].

#### 5.4.3 BDT-BASED SELECTION

The boosted decision tree based analysis takes a different philosophy compared to the cut-based. Rather than cutting sequentially on many variables, the BDT analysis uses many of these variables as inputs to the BDT and the output BDT score ( $O_{\text{BDT}}$ ) as the final discriminant. The BDT is trained with the VBF  $H \rightarrow WW^*$  simulation as the signal samples and all other processes as background, including ggF  $H \rightarrow WW^*$  production. While the BDT based analysis is treated as a separate result, it has significant overlap with the cut-based selection.

**Table 5.7:** Event selection for the  $n_j \geq 2$  VBF analysis in the 8 TeV cut-based analysis[21].

| Selection                                     | Summary                         |                  |                  |                     |                  |                  |                 |                       |                      |                | Composition of $N_{\text{bkg}}$ |                  |          |          |          |                    |                        |   |
|---|---------------------------------|------------------|------------------|---------------------|------------------|------------------|-----------------|-----------------------|----------------------|----------------|---------------------------------|------------------|----------|----------|----------|--------------------|------------------------|---|
|   | $N_{\text{obs}}/N_{\text{bkg}}$ | $N_{\text{obs}}$ | $N_{\text{bkg}}$ | $N_{\text{signal}}$ | $N_{\text{ggF}}$ | $N_{\text{VBF}}$ | $N_{\text{VH}}$ | $N_{WW}^{\text{QCD}}$ | $N_{WW}^{\text{EW}}$ | $N_{t\bar{t}}$ | $N_t$                           | $N_{\text{top}}$ | $N_{Wj}$ | $N_{jj}$ | $N_{VV}$ | $N_{\text{misid}}$ | $N_{\text{Drell-Yan}}$ | $N_{ee/\mu\mu} N_{\tau\tau}^{\text{QCD}}$ |
| $e\mu$ sample                                 | 1.00 ± 0.00                     | 61434            | 61180            | 85                  | 32               | 26               | 1350            | 68                    | 51810                | 2970           | 847                             | 308              | 380      | 51       | 3260     | 46                 |                        |   |
| $n_b = 0$                                     | 1.02 ± 0.01                     | 7818             | 7700             | 63                  | 26               | 16               | 993             | 43                    | 3000                 | 367            | 313                             | 193              | 273      | 35       | 2400     | 29                 |                        |   |
| $p_T^{\text{sum}} < 15$                       | 1.03 ± 0.01                     | 5787             | 5630             | 46                  | 23               | 13               | 781             | 38                    | 1910                 | 270            | 216                             | 107              | 201      | 27       | 2010     | 23                 |                        |   |
| $m_{\tau\tau} < m_Z - 25$                     | 1.05 ± 0.02                     | 3129             | 2970             | 40                  | 20               | 9.9              | 484             | 22                    | 1270                 | 177            | 141                             | 66               | 132      | 7.6      | 627      | 5.8                |                        |   |
| $m_{jj} > 600$                                | 1.31 ± 0.12                     | 131              | 100              | 2.3                 | 8.2              | —                | 18              | 8.9                   | 40                   | 5.3            | 1.8                             | 2.4              | 5.1      | 0.1      | 15       | 1.0                |                        |   |
| $\Delta y_{jj} > 3.6$                         | 1.33 ± 0.13                     | 107              | 80               | 2.1                 | 7.9              | —                | 11.7            | 6.9                   | 35                   | 5.0            | 1.6                             | 2.3              | 3.3      | —        | 11.6     | 0.8                |                        |   |
| $C_{j3} > 1$                                  | 1.36 ± 0.18                     | 58               | 43               | 1.3                 | 6.6              | —                | 6.9             | 5.6                   | 14                   | 3.0            | 1.3                             | 1.3              | 2.0      | —        | 6.8      | 0.6                |                        |   |
| $C_{\ell 1} < 1, C_{\ell 2} < 1$              | 1.42 ± 0.20                     | 51               | 36               | 1.2                 | 6.4              | —                | 5.9             | 5.2                   | 10.8                 | 2.5            | 1.3                             | 1.3              | 1.6      | —        | 5.7      | 0.6                |                        |   |
| $m_{\ell\ell}, \Delta\phi_{\ell\ell}, m_\tau$ | 2.53 ± 0.71                     | 14               | 5.5              | 0.8                 | 4.7              | —                | 1.0             | 0.5                   | 1.1                  | 0.3            | 0.3                             | 0.3              | 0.6      | —        | 0.5      | 0.2                |                        |   |
| <hr/>   |                                 |                  |                  |                     |                  |                  |                 |                       |                      |                |                                 |                  |          |          |          |                    |                        |   |
| $ee/\mu\mu$ sample                            | 0.99 ± 0.01                     | 26949            | 27190            | 31                  | 14               | 10.1             | 594             | 37                    | 23440                | 1320           | 230                             | 8.6              | 137      | 690      | 679      | 16                 |                        |   |
| $n_b, p_T^{\text{sum}}, m_{\tau\tau}$         | 1.03 ± 0.03                     | 1344             | 1310             | 13                  | 8.0              | 4.0              | 229             | 12.0                  | 633                  | 86             | 26                              | 0.9              | 45       | 187      | 76       | 1.5                |                        |   |
| $m_{jj}, \Delta y_{jj}, C_{j3}, C_\ell$       | 1.39 ± 0.28                     | 26               | 19               | 0.4                 | 2.9              | 0.0              | 3.1             | 3.1                   | 5.5                  | 1.0            | 0.2                             | 0.0              | 0.7      | 3.8      | 0.7      | 0.1                |                        |   |
| $m_{\ell\ell}, \Delta\phi_{\ell\ell}, m_\tau$ | 1.63 ± 0.69                     | 6                | 3.7              | 0.3                 | 2.2              | 0.0              | 0.4             | 0.2                   | 0.6                  | 0.2            | 0.2                             | 0.0              | 0.1      | 1.5      | 0.3      | 0.1                |                        |   |

## PRE-TRAINING SELECTION AND BDT INPUTS

Before training, the common preselection cuts described in section 5.4.1 are applied. Additionally, the central jet veto and outside lepton veto described in section 5.4.2 are applied. The BDT has eight input variables, six of which are also variables that are used in the cut-based analysis. The six shared variables are  $p_T^{\text{sum}}$ ,  $m_{jj}$ ,  $\Delta y_{jj}$ ,  $m_{\ell\ell}$ ,  $\Delta\phi_{\ell\ell}$ , and  $m_T$ . The seventh variable input in the BDT is a combination of the variables used to do the OLV in the cut-based analysis. The BDT uses as input the sum of lepton centralities, or  $\sum C_\ell = C_{\ell 1} + C_{\ell 2}$ . The final BDT input variable,  $\Sigma m_{\ell j}$ , is constructed to account for the correlations between the jets and leptons in the event. It is the sum of the invariant masses of all four possible lepton-jet combinations.

Figure 5.3d shows the agreement between data and simulation for the  $\Sigma m_{\ell j}$  variable, as well as showing its discriminating power. Figure 5.6 shows the distributions of the Higgs topological variables that are shared between the cut-based and BDT analyses. Figure 5.7 shows the distributions of the VBF topological variables shared between the cut-based and BDT analyses. In both cases, the VBF yield has been scaled by a factor of 50 to better show the shape difference compared to the backgrounds.

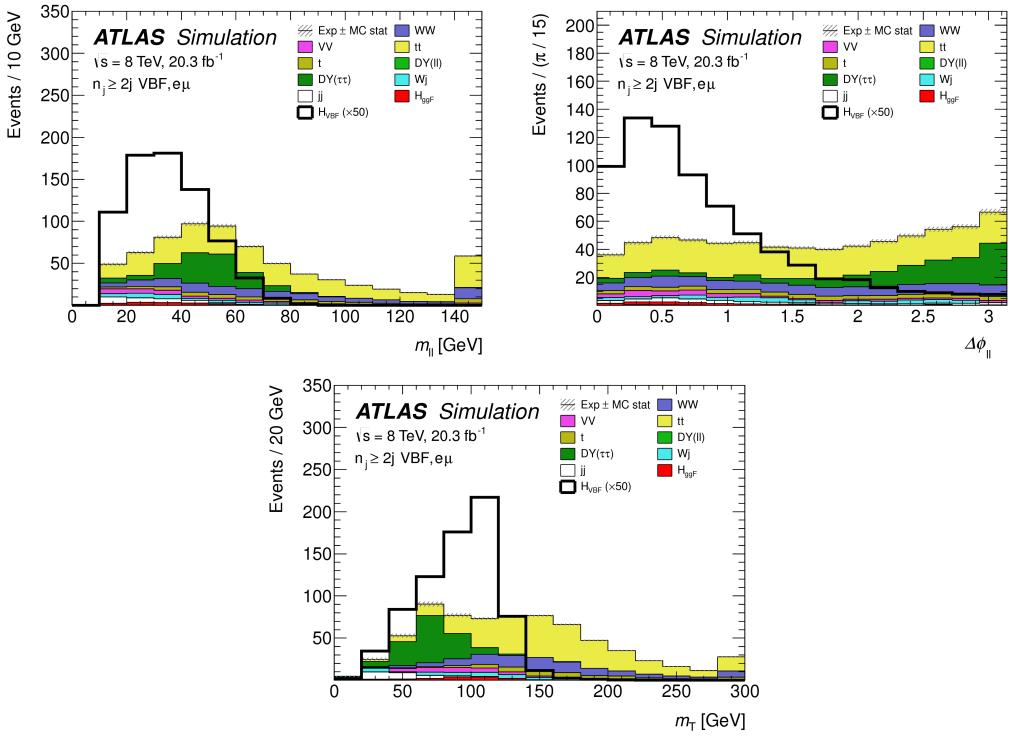
Table ?? summarizes the cuts applied for the cut-based and analyses, as well as which variables are used as input to the BDT.

## 5.5 BACKGROUND ESTIMATION

This section describes the procedures used to estimate backgrounds for the VBF analysis in both the cut-based and BDT analyses.

### 5.5.1 GENERAL STRATEGY

Most of the backgrounds in the VBF analysis have shapes estimated from Monte Carlo simulation but normalizations derived from control regions in data. In essence, a normalization factor (denoted with  $\beta$  or abbreviated as NF) is derived by scaling the MC yield in the control region to the corresponding yield in data. Once this factor is derived, it can be used to scale the MC estimate of the background in the



**Figure 5.6:** Distributions of  $m_{\ell\ell}$  (top left),  $\Delta\phi_{\ell\ell}$  (top right), and  $m_T$  (bottom), Higgs topology variables used in the selection requirements of the cut-based signal region and as inputs to the BDT result. These are plotted after all of the BDT pre-training selection cuts[21].

signal region. This is illustrated in equation 5.3.

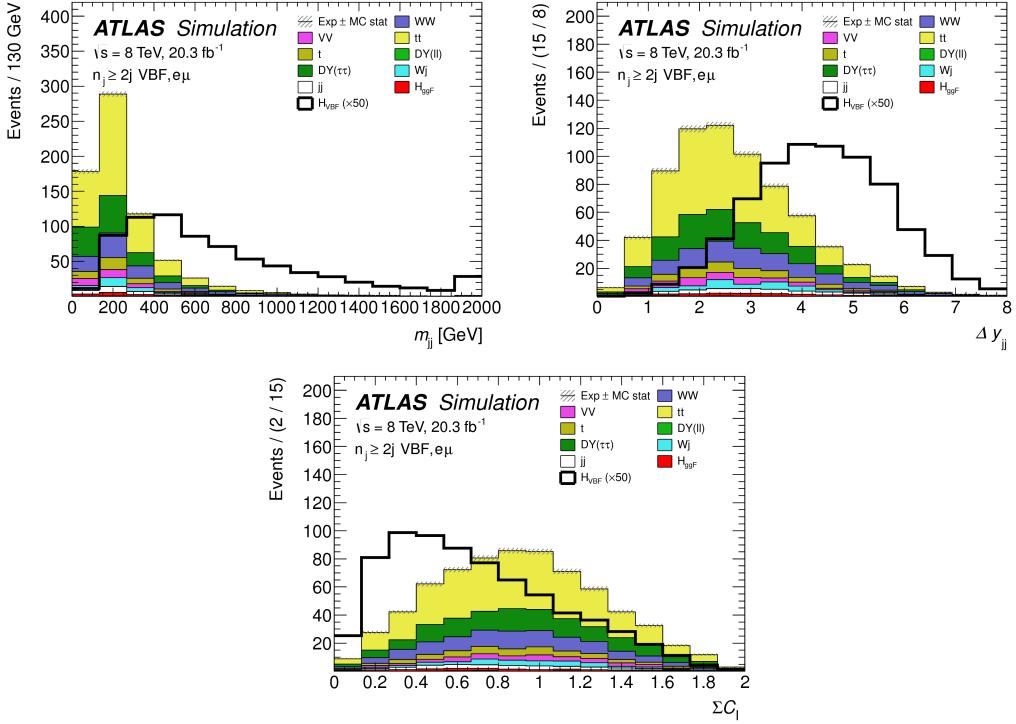
$$B_{\text{SR}}^{\text{est}} = B_{\text{SR}} \times \frac{N_{\text{CR}}}{B_{\text{CR}}} \equiv B_{\text{SR}} \times \beta \quad (5.3)$$

Here,  $B$  denotes the MC yield prediction in the denoted region, while  $N$  denotes the observed number of events in data in the denoted region.

Another way of writing the same equation, in terms of an extrapolation factor  $\alpha$  rather than a normalization factor  $\beta$ . The overall calculation is exactly the same. However, when phrased in this way, it shows how the uncertainty on the background estimation can be reduced. This is shown in equation 5.4.

$$B_{\text{SR}}^{\text{est}} = N_{\text{CR}} \times \frac{B_{\text{SR}}}{B_{\text{CR}}} \equiv N_{\text{CR}} \times \alpha \quad (5.4)$$

Phrased this way, the equation shows that with enough statistics in the control region, a large theoret-



**Figure 5.7:** Distributions of  $m_{jj}$  (top left),  $\Delta y_{jj}$  (top right),  $\sum C_l$  (bottom), VBF topology variables used in the selection requirements of the cut-based signal region and as inputs to the BDT result. These are plotted after all of the BDT pre-training selection cuts[21].

ical uncertainty on the overall background yield in the signal region can be replaced by a small statistical uncertainty coming from the number of data events in the CR and a smaller theoretical uncertainty on the extrapolation from the control region to the signal region.

### 5.5.2 TOP BACKGROUND

The normalization factor  $\beta_t$  for the top background in the VBF analysis is derived in a region required to have one b-tagged jet, or  $n_b = 1$ . In the cut-based analysis, normalization factors are computed at every stage of the cutflow by applying the appropriate cuts in the CR. These NF are then applied to the  $t\bar{t}$  and single top event yields in the SR. In the BDT analysis, a single normalization factor is computed for each bin of  $O_{\text{BDT}}$  after applying the BDT pre-training cuts described previously. The computed normalization factors are derived with all flavor combinations combined in order to decrease statistical uncertainty. Additionally, in the BDT analysis, BDT bins 2 and 3 are merged for the same reason.

Table 5.8 shows the evolution of the  $\beta_t$  through the cut-based selection. Table 5.9 shows the value of the  $\beta_t$  in each bin of  $O_{\text{BDT}}$ . In all cases, the computed factors are relatively consistent with unity, with the largest discrepancy coming in bin 1 of  $O_{\text{BDT}}$ . The normalization factors in the bins of  $O_{\text{BDT}}$  are also consistent with those derived in the cut-based signal region, increasing confidence in the BDT estimation.

| Cut                                 | $\beta_t$       |
|-------------------------------------|-----------------|
| $p_T^{\text{sum}} < 15 \text{ GeV}$ | $1.03 \pm 0.01$ |
| $m_{\tau\tau} < m_Z - 25$           | $1.05 \pm 0.01$ |
| $m_{jj} > 600 \text{ GeV}$          | $0.96 \pm 0.06$ |
| $\Delta y_{jj} > 3.6$               | $1.02 \pm 0.08$ |
| CJV                                 | $1.13 \pm 0.16$ |
| OLV                                 | $1.01 \pm 0.19$ |
| $m_{jj} < 1 \text{ TeV}$            | $0.94 \pm 0.19$ |
| $m_{jj} > 1 \text{ TeV}$            | $1.48 \pm 0.66$ |

**Table 5.8:** Top normalization factors computed at each stage of the cut-based selection. Uncertainties are statistical only.

| $O_{\text{BDT}}$ | $\beta_t$       |
|------------------|-----------------|
| Bin0             | $1.09 \pm 0.02$ |
| Bin1             | $1.58 \pm 0.15$ |
| Bin2             | $0.95 \pm 0.31$ |
| Bin3             | $0.95 \pm 0.31$ |

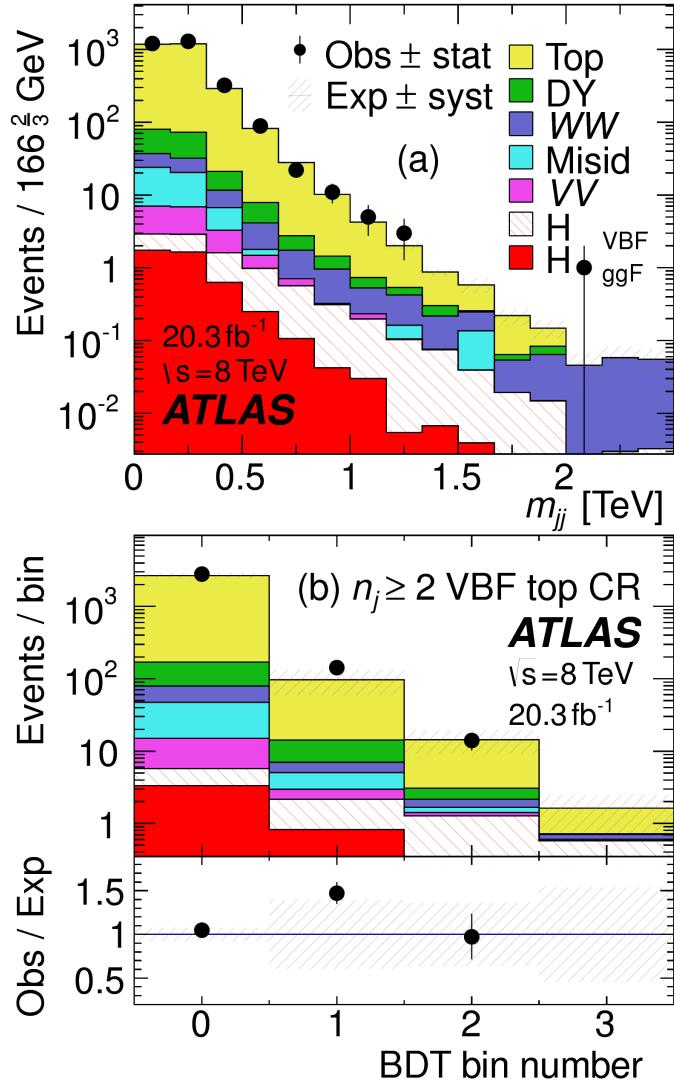
**Table 5.9:** Top normalization factors computed for each bin of  $O_{\text{BDT}}$ . Uncertainties are statistical only.

Figure 5.8 shows the  $m_{jj}$  and  $O_{\text{BDT}}$  distributions in the top control region. Overall the modeling looks consistent with the data.

While these normalization factors can be computed and applied to the expected background yields listed in tables like table 5.7, in the end the normalization of the top background is profiled (meaning there is a dedicated Poisson constraint) and allowed to float in the final statistical fit.

### 5.5.3 $Z/\gamma^* \rightarrow \tau\tau$ BACKGROUND

In the different flavor channels, the  $Z/\gamma^* \rightarrow \tau\tau$  background is an important one. Di-tau production can produce an  $e\mu$  final state if each  $\tau$  lepton decays to a different flavor lepton.



**Figure 5.8:** Distributions of  $m_{jj}$  (a) and  $O_{\text{BDT}}$  (b) in the VBF  $n_b = 1$  top CR [21].

In the BDT analysis, a single normalization factor for the background is derived. A control region is defined using the pre-training selection cuts, except requiring that  $|m_{\tau\tau} - m_Z| < 25 \text{ GeV}$  so that the region is enriched in  $Z/\gamma^* \rightarrow \tau\tau$  background. Additional requirements of  $m_{\ell\ell} < 80(75) \text{ GeV}$  in the different (same) flavor channel, as well as  $O_{\text{BDT}} > -0.48$  are applied to increase the purity of the region. The final  $\beta_{Z/\gamma^* \rightarrow \tau\tau}$  is calculated to be  $0.9 \pm 0.3$  (statistical uncertainty only). Because of the small contribution of this background in the BDT analysis and the large statistical uncertainty, no additional systematics are calculated. The final SR estimate is scaled by this  $\beta$  and not allowed to float in

the fit.

The cut-based corrections are a bit more involved because they need to be applied cut by cut through the cutflow, as well as in the final signal region for the fit. The region is defined including all SR cuts up to the  $Z/\gamma^* \rightarrow \tau\tau$  veto, which is instead made into a Z mass peak requirement as for the BDT region. The  $m_{\ell\ell}$  cut from the BDT region is included as well. The cut-based approach aims to correct the normalization of the  $Z/\gamma^* \rightarrow \tau\tau$  background in two ways. First, an overall normalization factor is computed from the control region. However, the VBF topological cuts are not included in this region, and applying them as is done in the top CR is not feasible due to limited statistics. So, instead, correction factors (CF) to the cut efficiencies of the VBF cuts are derived in a same flavor  $Z \rightarrow \ell\ell$  control region, which has significantly more statistics. The CF is simply the ratio of the cut efficiencies in data and MC derived in this region. In the end, the overall background estimate is given by equation 5.5.

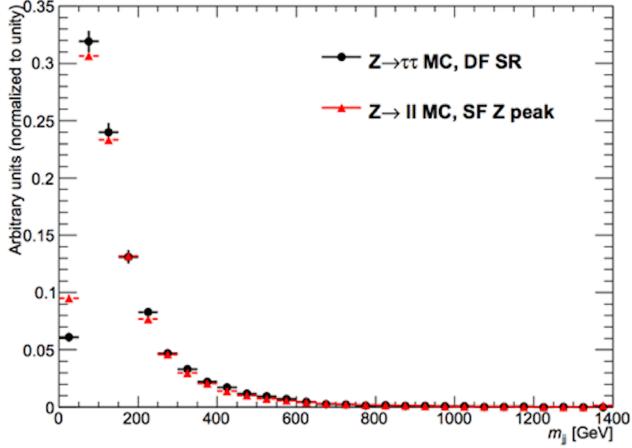
$$N_{Z/\gamma^* \rightarrow \tau\tau}^{\text{est}} = B_{Z/\gamma^* \rightarrow \tau\tau}^{\text{SR}} \times \beta_{\tau\tau} \times \frac{\epsilon_{\text{VBF cuts}}^{\text{data}}}{\epsilon_{\text{VBF cuts}}^{\text{MC}}} \quad (5.5)$$

The hypothesis is that while the normalization correction must be derived in a dedicated region, the efficiency of the VBF cuts should not be sensitive to the type of  $Z/\gamma^*$  process and thus the larger control region can be exploited to derive the CF. Figure 5.9 shows a shape comparison for the  $m_{jj}$  variable in  $Z \rightarrow \tau\tau$  events in the signal region and  $Z \rightarrow \ell\ell$  events in the control region. The figure shows that the shapes are indeed comparable and thus any CF derived in the same flavor control region can reliably be applied in the signal region.

Table 5.10 shows the overall normalization factor  $\beta_{\tau\tau}$  and the efficiency correction factors for the various VBF topological cuts. In general, the statistical uncertainties on the cut efficiency corrections are quite good, and the MC tends to underestimate the efficiency of the VBF cuts for the  $Z/\gamma^* \rightarrow \tau\tau$  background. The overall normalization factor is also consistent with that calculated for the BDT analysis.

#### 5.5.4 $Z/\gamma^* \rightarrow \ell\ell$ BACKGROUND

In the same flavor channels, the  $Z/\gamma^* \rightarrow \ell\ell$  background is dominant and thus must be estimated correctly. In both the BDT and cut-based analyses, the background is estimated using the so-called



**Figure 5.9:** Comparison of  $m_{jj}$  shape in a same flavor  $Z \rightarrow \ell\ell$  control region and the VBF cut-based signal region.

|                            |                    |
|----------------------------|--------------------|
| $\beta_{\tau\tau}$         | $0.97 \pm 0.04$    |
| Cut                        | Correction factors |
| $m_{jj} > 600 \text{ GeV}$ | $1.09 \pm 0.01$    |
| $\Delta y_{jj} > 3.6$      | $1.14 \pm 0.02$    |
| CJV                        | $1.20 \pm 0.02$    |
| OLV                        | $1.17 \pm 0.03$    |
| $m_{jj} < 1 \text{ TeV}$   | $1.17 \pm 0.06$    |
| $m_{jj} > 1 \text{ TeV}$   | $1.18 \pm 0.13$    |

**Table 5.10:**  $Z/\gamma^* \rightarrow \tau\tau$  correction factors for the VBF cut-based analysis. Uncertainties are statistical only.

“ABCD” method. The ABCD method creates four different regions by defining cuts on two variables. One of the regions (A) is the signal region, while the other regions are defined by inverting one of both of the cuts. in this case, the two variables used are  $m_{\ell\ell}$  and  $E_T^{\text{miss}}$ , because inverting either of the SR cuts on these variables will give regions rich in the  $Z/\gamma^* \rightarrow \ell\ell$  background. Figure 5.10 illustrates the general strategy for each region.

In both of the cut-based and BDT analyses, the  $Z$  peak region is defined with  $|m_{\ell\ell} - m_Z| < 15 \text{ GeV}$ . In the cut-based analysis, low  $m_{\ell\ell}$  corresponds to  $m_{\ell\ell} < 50 \text{ GeV}$  (this defines the cut-based SR) while in the BDT it is  $m_{\ell\ell} < 75 \text{ GeV}$ . In the cut-based, high and low  $E_T^{\text{miss}}$  are defined as opposite ends of the 55 GeV cut applied for the signal region definition. The BDT low  $E_T^{\text{miss}}$  region is between 25 and 45 GeV, while the high  $E_T^{\text{miss}}$  region is  $E_T^{\text{miss}} > 45 \text{ GeV}$ .

Once the regions are defined, the final signal region background estimate is done by taking the esti-

| <b>Region A (SR)</b>     | <b>Region C</b>          |
|--------------------------|--------------------------|
| High $E_T^{\text{miss}}$ | High $E_T^{\text{miss}}$ |
| Low $m_{\ell\ell}$       | Z peak                   |
| <b>Region B</b>          | <b>Region D</b>          |
| Low $E_T^{\text{miss}}$  | Low $E_T^{\text{miss}}$  |
| Low $m_{\ell\ell}$       | Z peak                   |

**Figure 5.10:** General illustration of the ABCD region definitions for  $Z/\gamma^* \rightarrow \ell\ell$  background estimation.

mate in region B and extrapolating it to the signal region (A) by multiplying it by the ratio of regions C and D. Effectively, the  $Z$  peak region is used to estimate the efficiency of the  $E_T^{\text{miss}}$  cut in data, and then this efficiency is applied in the low  $m_{\ell\ell}$  region. An additional correction is also applied for the non-closure of the method in MC. This is summarized in equations 5.6 and 5.7.

$$N_{Z/\gamma^* \rightarrow \ell\ell}^{\text{SR}} = N_{Z/\gamma^* \rightarrow \ell\ell}^{\text{B}} \times \frac{N_{Z/\gamma^* \rightarrow \ell\ell}^{\text{C}}}{N_{Z/\gamma^* \rightarrow \ell\ell}^{\text{D}}} \times f_{\text{corr}} \quad (5.6)$$

$$f_{\text{corr}} = \frac{B_{\text{MC}}^{\text{A}}/B_{\text{MC}}^{\text{B}}}{B_{\text{MC}}^{\text{C}}/B_{\text{MC}}^{\text{D}}} \quad (5.7)$$

Here, the  $N$  refer to data yields in each region with the non  $Z/\gamma^*$  backgrounds subtracted, while  $B$  refer to the  $Z/\gamma^*$  yields in MC in each region.

A normalization factor  $\beta_{\ell\ell}$  is computed for each analysis as the ratio of the predicted data yield to the MC yield in the SR. The shape of the BDT distribution is taken from data region B, while the shape of the  $m_T$  distribution in the cut-based analysis is taken from  $Z/\gamma^*$  MC in the SR. The values of the  $\beta_{\ell\ell}$  in the cut-based and BDT analyses from this method are summarized in table 5.11. They are quite consistent with one another within the statistical uncertainties. In the cut-based analysis, the same cut efficiency correction factors shown in table 5.10 are also applied (in product with the  $\beta_{\ell\ell}$ ) in the same flavor channels to this background, as they were derived in the  $Z$  peak region.

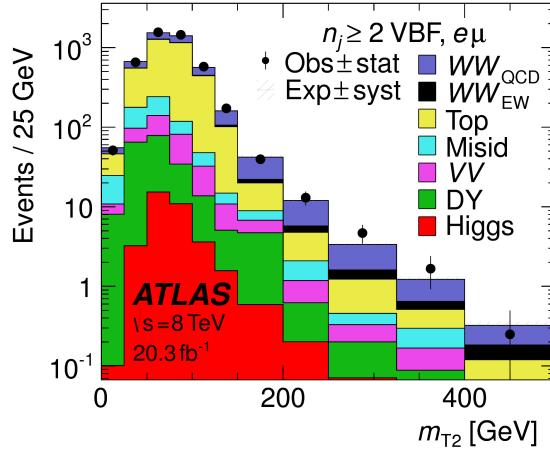
|           | $\beta_t$       |
|-----------|-----------------|
| BDT Bin 1 | $1.01 \pm 0.15$ |
| BDT Bin 2 | $0.89 \pm 0.28$ |
| Cut-based | $0.81 \pm 0.21$ |

**Table 5.11:**  $Z/\gamma^* \rightarrow \ell\ell$  normalization factors for cut-based and BDT analyses. Uncertainties are statistical only.

### 5.5.5 $WW$ AND OTHER DIBOSON BACKGROUNDS

The  $WW$  and other diboson backgrounds have both their shape and normalization taken from MC simulation. They are validated in dedicated control regions and found to agree with data well.

As  $WW$  is the largest of these backgrounds and is irreducible, validating the estimate is of particular importance. The validation region is constructed by requiring the pre-selection cuts on leptons and  $m_{\ell\ell}$ ,  $n_b = 0$ , and  $m_T > 100$  GeV. The  $m_{T2}$  variable[?] is an additional discriminant that will isolate the  $WW$  background, and a requirement of  $m_{T2} > 160$  GeV is placed to define the  $WW$  validation region. This cut gives a 60% purity for the validation region. The derived normalization factor in the region is  $1.15 \pm 0.19$  and is thus consistent with unity. Figure 5.11 shows the  $m_{T2}$  distribution and how it distinguishes the  $WW$  background.



**Figure 5.11:** Distribution of  $m_{T2}$  in the  $WW$  validation region of the VBF analysis[21].

### 5.5.6 HIGGS PRODUCTION VIA GLUON-GLUON FUSION

Because this analysis is dedicated to measuring the VBF contribution to Higgs production, the component of Higgs production from gluon-gluon fusion is treated as a background. The shape is taken directly from simulation, using the generators described in table 5.4. In the final combined fit of all different signal regions, the normalization is controlled by either a combined signal strength parameter  $\mu$ , which controls the normalization of both ggF and VBF production, or a separate parameter  $\mu_{\text{ggF}}$  depending on the interpretation being presented in the final results.

### 5.5.7 BACKGROUNDS WITH MISIDENTIFIED LEPTONS

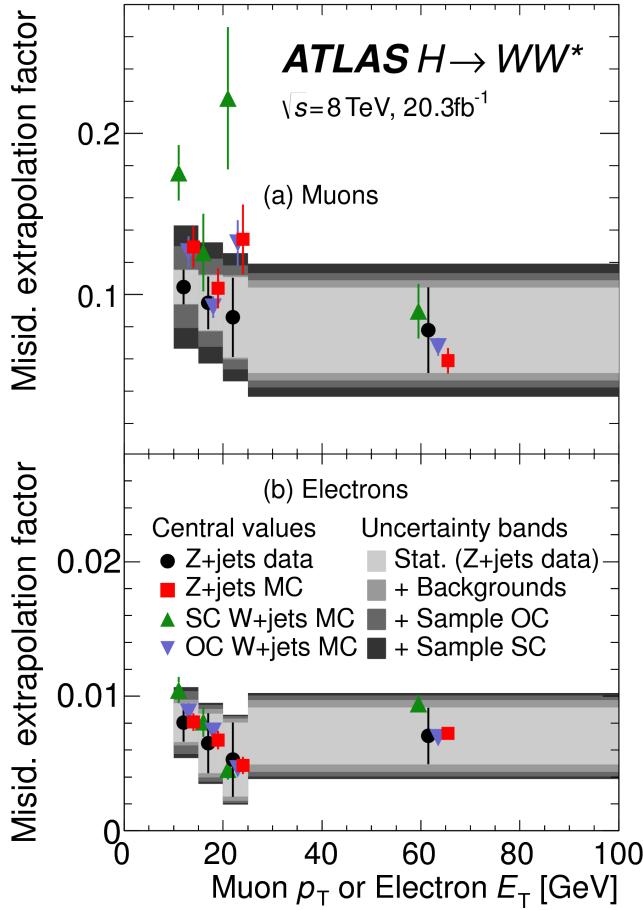
As discussed previously, the  $W + \text{jets}$  and QCD multijet backgrounds are derived with fully data-driven methods. These backgrounds do not make a large contribution to the final VBF signal region but their estimation methods are discussed briefly here.

#### $W + \text{jets}$ BACKGROUND

The  $W + \text{jets}$  background enters the signal region by having one of the jets mis-reconstructed as a lepton. The background is estimated by constructing a control sample with two leptons, where one lepton passes the usual lepton quality cuts but the second lepton fails one of those cuts (also known as the “anti-identified” lepton). This control region is rich in the  $W + \text{jets}$  contribution because if a second lepton is reconstructed in a  $W + \text{jets}$  event it is likely to be poor quality. The purity of this  $W + \text{jets}$  control sample is 85% to 90% depending on the exact configuration of leptons in the final state.

The signal region estimate of  $W + \text{jets}$  is estimated by extrapolation from the control sample to the signal region using extrapolation factors derived in a  $Z + \text{jets}$  control sample in data. The extrapolation factor is the ratio of the number of lepton candidates satisfying all quality criteria to the number of lepton candidates anti-identified. This ratio is measured in bins of  $p_T$  and  $\eta$ . Thus, the final signal region estimate (binned as the extrapolation factor is binned) is simply the number of events in the anti-identified lepton control sample multiplied by the extrapolation factor derived from the  $Z + \text{jets}$  control sample.

Figure 5.12 shows the extrapolation factors derived for electrons and muons.



**Figure 5.12:** Extrapolation factors for the  $W$ +jets estimate derived for muons (a) and electrons (b) as a function of lepton  $p_T$ [21].

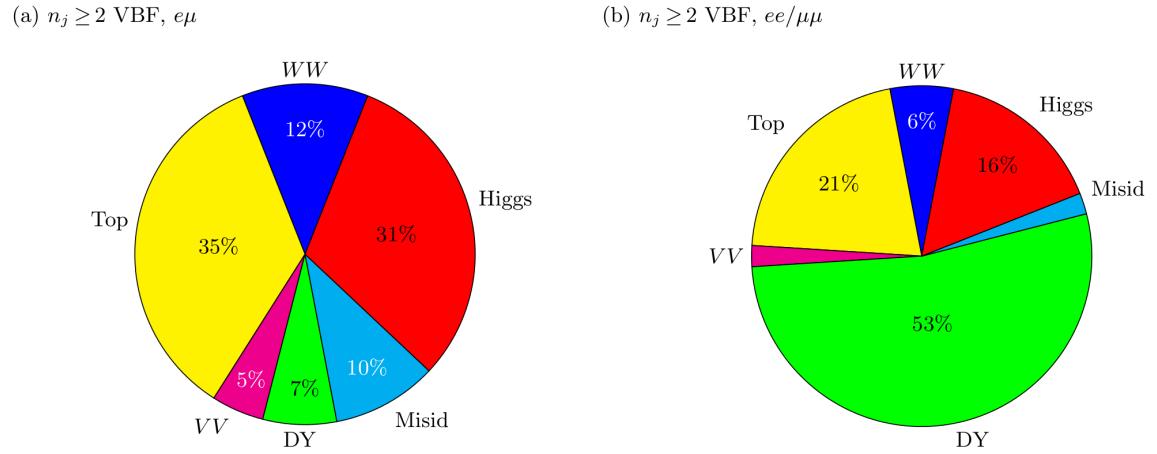
### QCD MULTIJET BACKGROUND

The method for estimating the multijet background is very similar to the  $W$ +jets estimation method. The control sample in this case has two anti-identified leptons but otherwise satisfies all signal region requirements. The extrapolation factor is estimated from a multijet sample and applied twice to the control sample.

#### 5.5.8 BACKGROUND COMPOSITION IN FINAL SIGNAL REGION

After all of these estimation procedures, the final signal region composition can be calculated. The estimated yields are all shown in table 5.7. Figure 5.13 shows the relative percentages of the different back-

ground for the different flavor and same flavor final states. In  $e\mu$ , the leading backgrounds are top backgrounds, ggF Higgs, and SM  $WW$  production. In  $ee/\mu\mu$ , the leading background is Drell-Yan, followed by top and ggF Higgs.



**Figure 5.13:** Background composition in final VBF signal region[21].

## 5.6 SYSTEMATIC UNCERTAINTIES

There are two main types of systematic uncertainties that are assessed for the analysis. First, theoretical uncertainties associated with the various signal and background yield estimates are discussed. Then, experimental uncertainties due to detector effects are shown. Normalization uncertainties refer to uncertainties that affect the cross section of the process in question in the signal region being probed. Shape uncertainties refer to systematic uncertainties that affect the shape of the final discriminating variable (either  $m_T$  or  $O_{BDT}$ ).

### 5.6.1 THEORETICAL UNCERTAINTIES

There are four main components to theoretical uncertainties assigned to signal and background processes taken from Monte Carlo. Each one is a different source of variation in the overall acceptance for that process. The first involves variation of the QCD renormalization and factorization scales used in the calculation. In this case, the two scales are varied independently and simultaneously by factors of two high or low and quantifying the resulting variation in normalization and shape for the process. This

approximates the correction to the cross section that would come from including the next order of the QCD calculation (referred to as scale uncertainty). Next, there is an uncertainty associated with the PDF set used in generating the events. The uncertainty eigenvectors for the given PDF set are studied, and the envelope of maximal variation is taken as an uncertainty. Finally, there are two uncertainties associated with the choice of MC software (referred to as PDF uncertainty). An uncertainty associated with the generator chosen for the hard scattering process is evaluated by keeping the parton showering software constant but varying the matrix element generator and taking the maximal variation as an uncertainty (referred to as the generator uncertainty). The converse variation can also be done, where the matrix element generator remains constant and the generator used for the underlying event/parton shower modeling is varied (referred to as the UE/PS uncertainty). In cases where the background is normalized in a control region, the systematic uncertainty arises from variations of the extrapolation factor  $\alpha$  between the CR and the SR, which can affect the normalization of the background in the SR.

There are two additional uncertainties that are applied to the Higgs processes as well. First, there are uncertainties assigned to the Higgs total production cross section. Then, there are uncertainties assigned based on the fact that the analysis is done in exclusive jet bins and it is possible for signal events to migrate from one bin to the next depending on the presence or absence of jets. These are assigned using the Jet Veto Efficiency (JVE) procedure[?] for ggF events and the Stewart-Tackmann (ST) method[87] for VBF production.

Table 5.12 shows the total theory uncertainties on the backgrounds in the cut-based analysis. These are the sum in quadrature of the uncertainties from each of the variations described above.

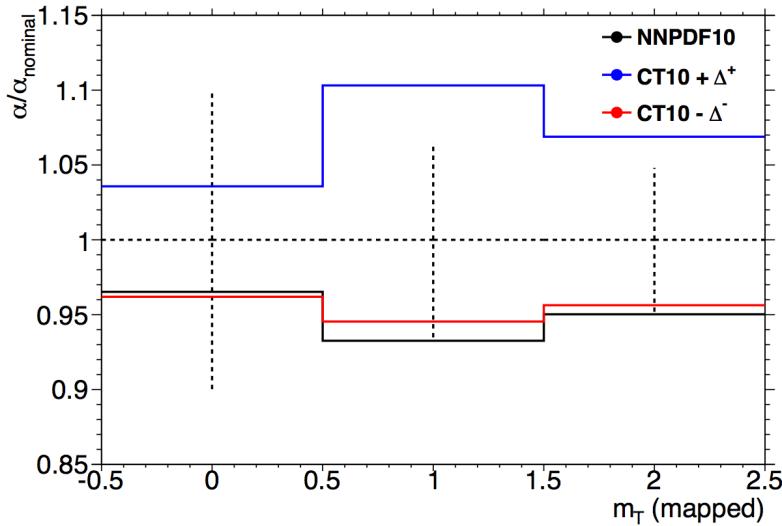
| Process                           | Theory syst. (%) |
|-----------------------------------|------------------|
| ggF $H$                           | 48               |
| Top                               | 26               |
| QCD $WW$                          | 37               |
| $Z/\gamma^* \rightarrow \tau\tau$ | 6.1              |

**Table 5.12:** Systematic uncertainties for various processes in the cut-based VBF analysis, given in units of % change in yield.  
Values are given for the low  $m_{jj}$  signal region.

Figures 5.14 and 5.15 show the variations in the extrapolation factor from the PDF and QCD uncertainties on the top background estimate, binned in  $m_T$ , for the cut-based analysis. In both cases, there

was no significant shape uncertainty but normalization uncertainties were assigned according to the maximal variation. These uncertainties enter into the 26% total uncertainty on top quoted in table 5.12

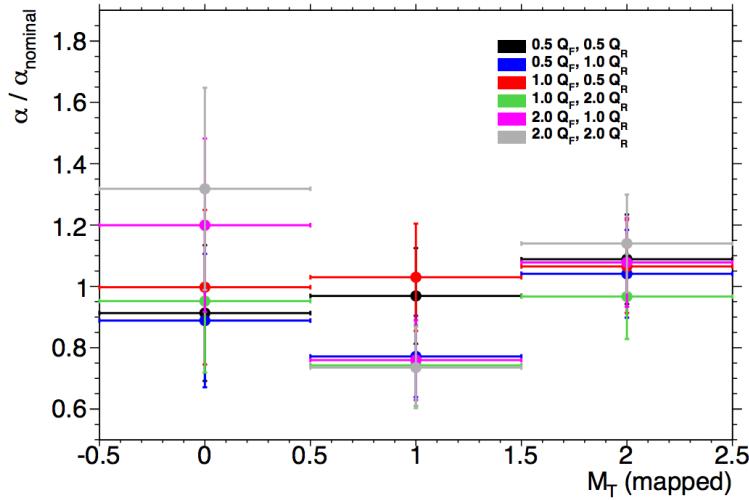
While the estimate for the same-flavor  $Z/\gamma^* \rightarrow \ell\ell$  background is data-driven, there is still a systematic uncertainty taken for the non-closure of the method in Monte Carlo. This is taken as the maximum of the deviation of the non-closure factor  $f_{\text{corr}}$  from unity and its uncertainty, or  $\max(|1 - f_{\text{corr}}|, \delta f_{\text{corr}})$ . For the cut-based analysis this non-closure uncertainty 23%, while for the BDT analysis it is 17%.



**Figure 5.14:** Variations in the top background extrapolation factor in the cut-based analysis due to PDF uncertainties, binned in  $m_T$ .

### 5.6.2 EXPERIMENTAL UNCERTAINTIES

In this analysis, the theoretical uncertainties end up being the most dominant, but there are some experimental uncertainties that make a contribution as well. The first is the uncertainty on the measured integrated luminosity, which affects backgrounds whose normalization is taken from MC and is measured to be 2.8% in the 8 TeV dataset [88]. The dominant sources of uncertainty overall are uncertainties on the jet energy scale and resolution and the  $b$ -tagging efficiency. Additional sources include lepton uncertainties on identification, resolution, and trigger efficiency, as well as uncertainties on the missing transverse momentum .



**Figure 5.15:** Variations in the top background extrapolation factor in the cut-based analysis due to QCD scale uncertainties, binned in  $m_T$ .

The jet energy scale uncertainty is split into several independent components, including jet-flavor dependent calorimeter response uncertainties, uncertainties on modeling of pile-up interactions, uncertainties on extrapolation from the central to forward detector regions, and MC non-closure [89]. The uncertainty on energy scale for jets used in this analysis ranges from 1% to 7% depending on the jet  $p_T$  and  $\eta$ . The jet energy resolution varies from 5% to 20%, with uncertainties ranging from 2% to 40% (the largest uncertainties occurring at the selection threshold).

The b-tagging efficiency is independently measured in data samples enriched in dileptonic decays of  $t\bar{t}$  events or in events where a muon is reconstructed in the vicinity of a jet[90, 91]. The efficiencies and their uncertainties are binned in  $p_T$  and decomposed into uncorrelated components using an eigenvector method[? ]. Uncertainties on the efficiency range from 1% to 7.8%. The uncertainty on the rate of misidentification of  $c$ -jets as  $b$ -jets ranges from 6-14%, while the uncertainty on the rate of light jet mis-tagging ranges from 9-19% depending on  $p_T$  and  $\eta$ .

The total experimental uncertainties on different signal and background components are summarized in table 5.13. They are compared to the level of other statistical and systematic uncertainties as well. Overall, the experimental uncertainties are sub-dominant compared to the statistical and theoretical uncertainties.

| Sample                      | Total<br>error | Stat.<br>error | Expt.<br>syst. err. | Theo.<br>syst. err. |
|-----------------------------|----------------|----------------|---------------------|---------------------|
| $n_j \geq 2$ VBF-enriched   |                |                |                     |                     |
| $N_{\text{sig}}$            | 13             | -              | 6.8                 | 12                  |
| $N_{\text{bkg}}$            | 9.2            | 4.7            | 6.4                 | 4.5                 |
| $N_{WW}$                    | 32             | -              | 14                  | 28                  |
| $N_{\text{top}}$            | 15             | 9.6            | 7.6                 | 8.5                 |
| $N_{\text{misid}}$          | 22             | -              | 12                  | 19                  |
| $N_{VV}$                    | 20             | -              | 12                  | 15                  |
| $N_{\tau\tau} (\text{DY})$  | 40             | 25             | 31                  | 2.9                 |
| $N_{ee/\mu\mu} (\text{DY})$ | 19             | 11             | 15                  | -                   |

**Table 5.13:** Composition of the post-fit uncertainties (in %) on the total signal ( $N_{\text{sig}}$ ), total background ( $N_{\text{bkg}}$ ), and individual background yields in the VBF analysis[21].

## 5.7 RESULTS

While the combined results of all the  $H \rightarrow WW^*$  sub-analyses will be discussed in the next chapter, this section presents the results of the VBF specific analysis and interpretations.

As table 5.7 shows, the final cut-based signal region contains 20 events in data with  $m_T < 150$  GeV, 14 coming from the  $e\mu$  channel and 6 coming from the  $ee + \mu\mu$  channel. The BDT analysis has many more candidates due to its looser selection, and the yields in each bin of  $O_{\text{BDT}}$  are shown in table 5.14.

Figure 5.16(a) shows the final distribution of data candidates compared to the expected  $m_T$  distribution for signal and background. The data are very consistent with a VBF Higgs hypothesis. Figure 5.16(b) shows where the data candidates fall in the two-dimensional binning of  $m_T$  and  $m_{jj}$  used in the fit for the cut-based analysis.

Figure 5.17 shows the distributions of  $O_{\text{BDT}}$  and  $m_T$  in the VBF BDT analysis. Again the data are quite consistent with a VBF Higgs hypothesis.

Because the cut-based result is used as a validation for the BDT analysis and the two signal regions are not fully orthogonal, it is interesting to explore which events overlap between the two analyses. Of the twenty events in the cut-based signal region, only seven were not selected by the BDT analysis, while the other thirteen also enter the BDT signal region. Figure ?? shows where the different analysis candidates lie in the  $m_{jj}$ - $m_T$  plane. This shows clearly that the advantage of the BDT analysis is that it can extract

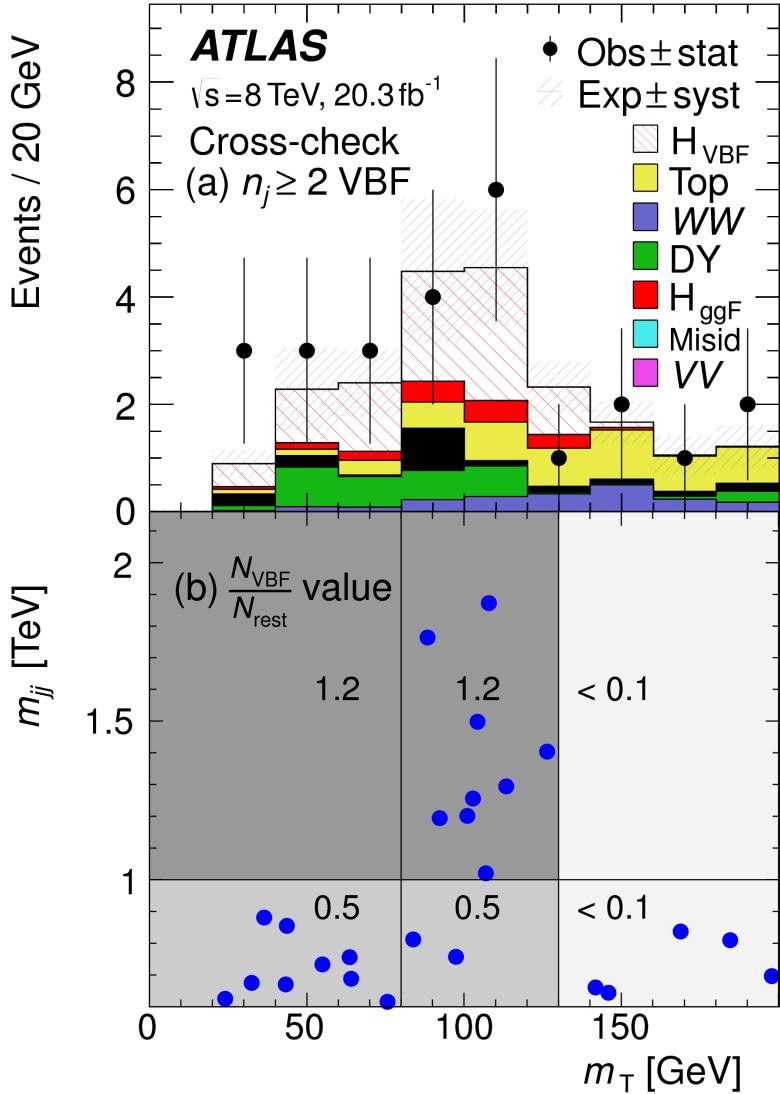
(a) Before the BDT classification

| Selection          | Summary                         |                  |                  |                     |                  |                  | Composition of $N_{\text{bkg}}$ |                 |                              |                              |       |                 |                    |          |          |                        |                             |                             |
|--------------------|---------------------------------|------------------|------------------|---------------------|------------------|------------------|---------------------------------|-----------------|------------------------------|------------------------------|-------|-----------------|--------------------|----------|----------|------------------------|-----------------------------|-----------------------------|
|                    | $N_{\text{obs}}/N_{\text{bkg}}$ | $N_{\text{obs}}$ | $N_{\text{bkg}}$ | $N_{\text{signal}}$ | $N_{\text{ggF}}$ | $N_{\text{VBF}}$ | $N_{\text{VH}}$                 | $N_{\text{WW}}$ | $N_{\text{WW}}^{\text{NEW}}$ | $N_{\text{WW}}^{\text{QCD}}$ | $N_t$ | $N_{\text{Wj}}$ | $N_{\text{misid}}$ | $N_{ij}$ | $N_{VV}$ | $N_{\text{Drell-Yan}}$ | $N_{\tau\tau}^{\text{QCD}}$ | $N_{\tau\tau}^{\text{NEW}}$ |
| $e\mu$ sample      | $1.04 \pm 0.04$                 | 718              | 689              | 13                  | 15               | 2.0              | 90                              | II              | 327                          | 42                           | 29    | 23              | 31                 | —        | 2.2      | 130                    | 2                           | —                           |
| $ee/\mu\mu$ sample | $1.18 \pm 0.08$                 | 469              | 397              | 6.0                 | 7.7              | 0.9              | 37                              | 3               | 132                          | 17                           | 5.2   | 1.2             | 10.1               | 168      | 23       | 1                      | —                           | —                           |

(b) Bins in  $O_{\text{BDT}}$ 

| $e\mu$ sample      | Composition of $N_{\text{bkg}}$ |       |       |       |       |       |       |       |       |       |        |        |        |        |        |        |        |        |
|--------------------|---------------------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|--------|--------|--------|--------|--------|--------|--------|--------|
|                    | Bin 0 (not used)                | Bin 1 | Bin 2 | Bin 3 | Bin 4 | Bin 5 | Bin 6 | Bin 7 | Bin 8 | Bin 9 | Bin 10 | Bin 11 | Bin 12 | Bin 13 | Bin 14 | Bin 15 | Bin 16 | Bin 17 |
| $ee/\mu\mu$ sample | 1.91 ± 0.08                     | 396   | 345   | 3.8   | 1.3   | 0.8   | 33    | 2     | 123   | 16    | 4.1    | 1.1    | 8.8    | 137    | 20.5   | 0.5    | —      | —      |
| Bin 0 (not used)   | 0.82 ± 0.14                     | 53    | 45    | 1.5   | 2.2   | 0.1   | 3.0   | 0.5   | 10.4  | 1.8   | 0.8    | 0.2    | 0.9    | 26     | 1.7    | 0.1    | —      | —      |
| Bin 1              | 1.77 ± 0.49                     | 14    | 7.9   | 0.6   | 2.5   | —     | 0.8   | 0.3   | 1.1   | 0.2   | 0.2    | —      | 0.3    | 4.4    | 0.3    | 0.1    | —      | —      |
| Bin 2              | 6.52 ± 2.87                     | 6     | 0.9   | 0.2   | 1.7   | —     | 0.1   | 0.2   | 0.2   | —     | —      | —      | —      | 0.7    | —      | —      | —      | —      |
| Bin 3              | —                               | —     | —     | —     | —     | —     | —     | —     | —     | —     | —      | —      | —      | —      | —      | —      | —      | —      |

**Table 5.14:** Event selection for the VBF BDT analysis. The event yields in (a) are shown after the preselection and the additional requirements applied before the BDT classification (see text). The event yields in (b) are given in bins in  $O_{\text{BDT}}$  after the classification[21].



**Figure 5.16:** Postfit distributions in the cut-based VBF analysis. Panel (a) shows the one-dimensional  $m_T$  distribution, while (b) shows the data candidates split into the bins of  $m_T$  and  $m_{jj}$  used in the final fit[21].

signal candidates lower  $m_{jj}$  region due to its ability to recognize correlations with other variables.

While the context of these results in the broader  $H \rightarrow WW^*$  statistical analysis will be presented in the next chapter, the significance of the VBF observation can be shown here. In the BDT analysis, the expected signal significance was  $2.7\sigma$ , while the observed significance was  $3.1\sigma$ . In the cut-based analysis, the expected significance was  $2.1\sigma$  and the observed significance was  $3.0\sigma$ . The compatibility between these two results can be evaluated by computing the probability of observing a larger difference

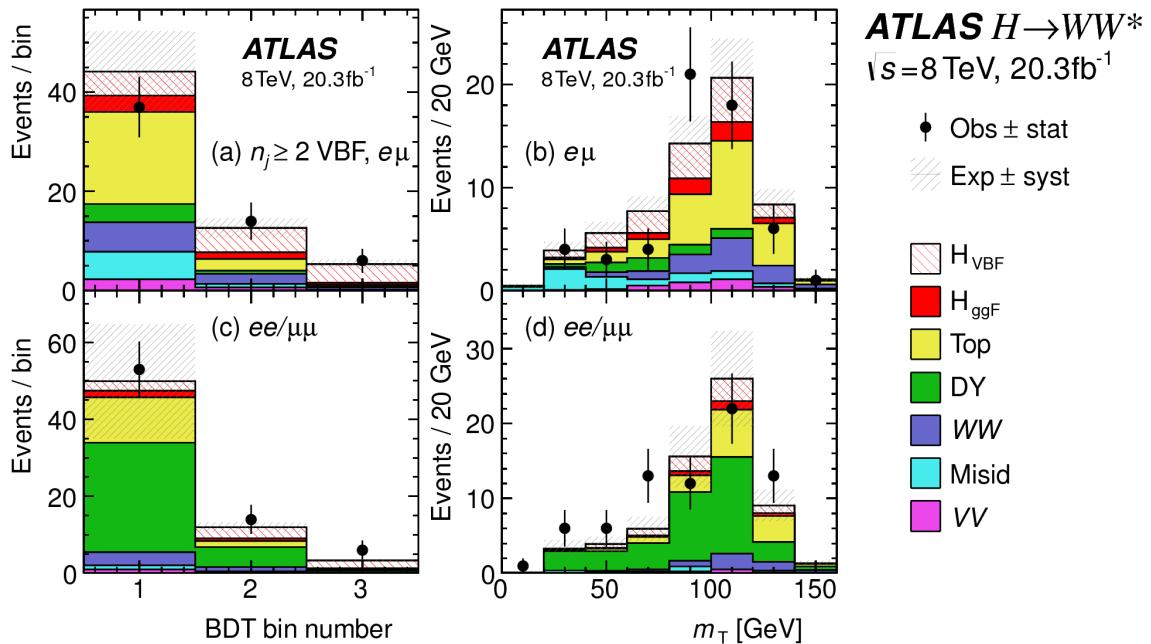


Figure 5.17: Postfit distributions in the BDT VBF analysis[21].

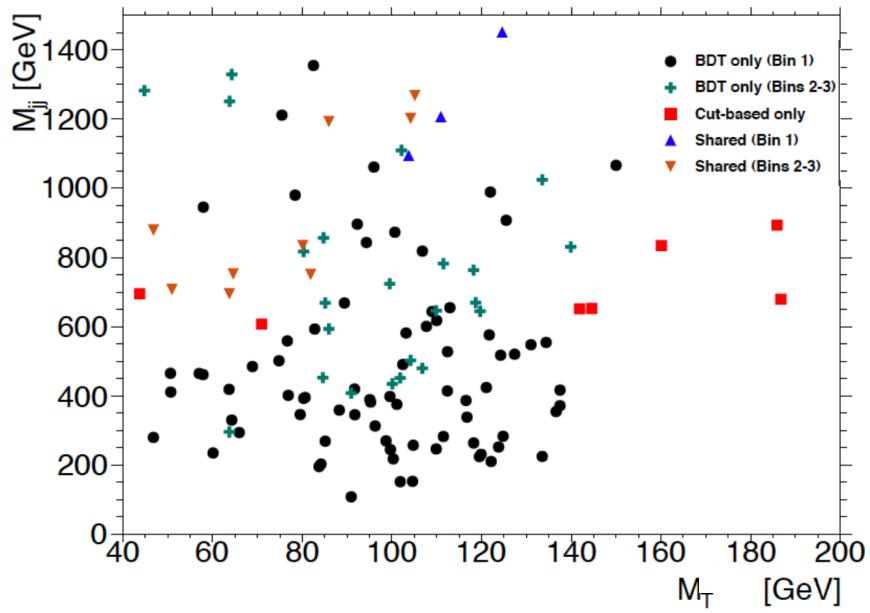


Figure 5.18: Overlap between cut-based and BDT VBF signal region candidates in the  $m_{jj}$ - $m_T$  plane.

in  $Z_0$  values than the one measured. Using toy Monte Carlo with the ggF signal strength fixed to unity and considering only statistical uncertainties, this probability is computed to be 79%, indicating good agreement between the analyses.

This result represents the first observation of the vector boson fusion production of a Higgs boson.

*The feeling is less like an ending than just another  
starting point.*

Chuck Palahniuk

# 6

## Combined Run I $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ results

### 6.1 INTRODUCTION

In the final statistical analysis of  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ , the dedicated gluon-gluon fusion and vector boson fusion sensitive signal regions are all combined into a single fit to determine the main parameters of interest, the Higgs signal strength  $\mu$  and mass  $m_H$ . Therefore, while the specific requirements applied for the VBF sensitive analysis are discussed in chapter 5, the final measurement of these parameters can only be discussed in combination with the results of the ggF dedicated analysis. For example, because ggF Higgs production is considered a background in the VBF analysis, the ggF dedicated signal regions can actually constrain the normalization of this background in the VBF dedicated region.

This chapter presents the combined interpretation of results in the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  analysis

| SR category $i$  |                        |                        |                                | Fit var.           |           |
|------------------|------------------------|------------------------|--------------------------------|--------------------|-----------|
| $n_j$ , flavor   | $\otimes m_{\ell\ell}$ | $\otimes p_T^{\ell 2}$ | $\otimes \ell_2$               |                    |           |
| $n_j = 0$        | $e\mu$                 | $\otimes [10, 30, 55]$ | $\otimes [10, 15, 20, \infty]$ | $\otimes [e, \mu]$ | $m_T$     |
|                  | $ee/\mu\mu$            | $\otimes [12, 55]$     | $\otimes [10, \infty]$         |                    | $m_T$     |
|                  |                        |                        |                                |                    |           |
| $n_j = 1$        | $e\mu$                 | $\otimes [10, 30, 55]$ | $\otimes [10, 15, 20, \infty]$ | $\otimes [e, \mu]$ | $m_T$     |
|                  | $ee/\mu\mu$            | $\otimes [12, 55]$     | $\otimes [10, \infty]$         |                    | $m_T$     |
|                  |                        |                        |                                |                    |           |
| $n_j \geq 2$ ggF | $e\mu$                 | $\otimes [10, 55]$     | $\otimes [10, \infty]$         |                    | $m_T$     |
|                  |                        |                        |                                |                    |           |
| $n_j \geq 2$ VBF | $e\mu$                 | $\otimes [10, 50]$     | $\otimes [10, \infty]$         |                    | $O_{BDT}$ |
|                  | $ee/\mu\mu$            | $\otimes [12, 50]$     | $\otimes [10, \infty]$         |                    | $O_{BDT}$ |
|                  |                        |                        |                                |                    |           |

**Table 6.1:** All signal regions definitions input into final statistical fit[21].

for gluon fusion and vector boson fusion Higgs production. First, the results of the dedicated gluon fusion search are presented. Then, a comparison of the individual production mode signal strengths ( $\mu_{ggF}$  and  $\mu_{VBF}$  and a measurement of the combined signal strength ( $\mu$ ) are shown. Subsequently, the measured values of the Higgs couplings to fermions and vector bosons is presented. Finally, the cross section measurement for ggF and VBF production are shown.

## 6.2 RESULTS OF DEDICATION GLUON FUSION $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ SEARCH

The details of the dedicated gluon fusion  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  search are not discussed in this thesis and instead left to more comprehensive sources[21]. However, a brief summary of the results are essential for describing the results of the full analysis and interpreting the results of the dedicated VBF search in this broader context.

Table 6.1 shows the individual signal regions that were input into the final statistical fit. The ggF dedicated bins use  $m_T$  as their discriminating variable and are separated into bins of  $p_T$  of the subleading lepton as well. The VBF dedicated bin uses the  $O_{BDT}$  distribution as its final discriminant.

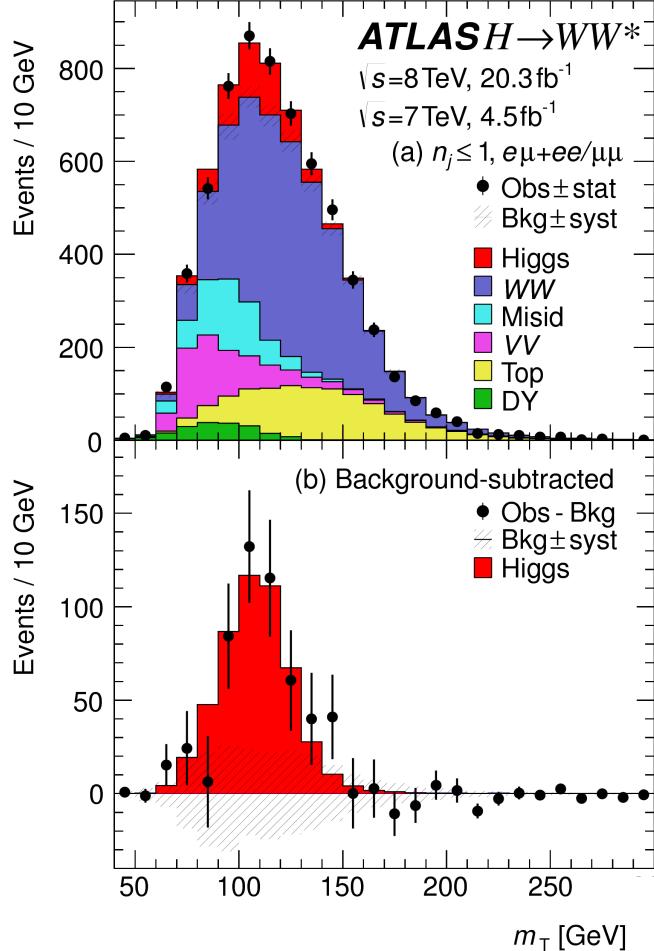
Table 6.2 shows the yields in the various signal regions in both data and expected signal and back-

grounds. The yields for signal and background are all scaled according to the final normalizations calculated in the fit.

|                                | $N_{\text{obs}}$ | $N_{\text{bkg}}$ | $N_{\text{ggF}}$ | $N_{\text{VBF}}$ |
|--------------------------------|------------------|------------------|------------------|------------------|
| $n_j = 0$                      | 3750             | $3430 \pm 90$    | $300 \pm 50$     | $8 \pm 4$        |
| $n_j = 1$                      | 1596             | $1470 \pm 40$    | $102 \pm 26$     | $17 \pm 5$       |
| $n_j \geq 2, \text{ggF } e\mu$ | 1017             | $960 \pm 40$     | $37 \pm 11$      | $13 \pm 1.4$     |
| $n_j \geq 2, \text{VBF}$       | 130              | $99 \pm 9$       | $7.7 \pm 2.6$    | $21 \pm 3$       |

**Table 6.2:** Post-fit yields in the different ggF and VBF dedicated signal regions[21].

Figure 6.1 shows the final post-fit  $m_T$  distribution in the  $n_j \leq 1$  regions. The data are very consistent with the hypothesis of ggF Higgs production.



**Figure 6.1:** Post-fit  $m_T$  distribution in the  $n_j \leq 1$  regions[21].

These yields are used as input, along with the VBF results in chapter 5, for the physical interpretation of results presented in subsequent sections.

### 6.3 SIGNAL STRENGTH MEASUREMENTS IN ggF AND VBF PRODUCTION

When all of the signal regions are combined in the fit, there can be a combined measurement of the signal strength as well as the individual ggF and VBF signal strengths. The combined signal strength is the ratio of the sum of the gluon fusion and VBF cross sections to the theory prediction, or a singal strength for the total Higgs production cross section that this analysis is sensitive to. The final measured combined signal strength  $\mu$  is measured shown in equation 6.1.

$$\begin{aligned}\mu &= 1.09 \quad {}^{+0.16}_{-0.15} (\text{stat.}) \quad {}^{+0.08}_{-0.07} \left( \begin{array}{l} \text{expt} \\ \text{syst} \end{array} \right) \quad {}^{+0.15}_{-0.12} \left( \begin{array}{l} \text{theo} \\ \text{syst} \end{array} \right) \quad \pm 0.03 \left( \begin{array}{l} \text{lumi} \\ \text{syst} \end{array} \right) \\ &= 1.09 \quad {}^{+0.16}_{-0.15} (\text{stat}) \quad {}^{+0.17}_{-0.14} (\text{syst}) \\ &= 1.09 \quad {}^{+0.23}_{-0.21}.\end{aligned}\tag{6.1}$$

Figure 6.2 gives the best fit signal strength  $\hat{\mu}$  as a function of hte hypothesized Higgs mass. The value at 125.36 GeV corresponds to the  $\mu$  quoted in equation 6.1. This value of the Higgs mass is used because it is the most precise mass measurement from ATLAS, a result of the combined  $\gamma\gamma$  and  $ZZ$  mass measurements[? ].

As explained in chapter 3, a probability  $p_0$  can be computed using the test statistic  $q_0$  to quantify the probability that the background could fluctuate to produce an excess at least as large as the one observed in the data. The local  $p_0$  value is shown in figure 6.3 as a function of  $m_H$ . The minimum  $p_0$  value is at  $m_H = 130$  GeV and coresponds to a significance of  $6.1\sigma$ . The curve is relatively flat and the significance is the same at 125.36 GeVwithin the quoted precision. The expected significance for a signal with strength  $\mu = 1.0$  is  $5.8\sigma$ . This represents the first discovery level significance measurement in the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  analysis.

All the results presented so far in this section have been for the combined gluon fusion and VBF production modes. However, each signal strength can be calculated separately in the likelihood as well. There are two ways to do this. First, the likelihood can be parameterized in terms of a single parameter,

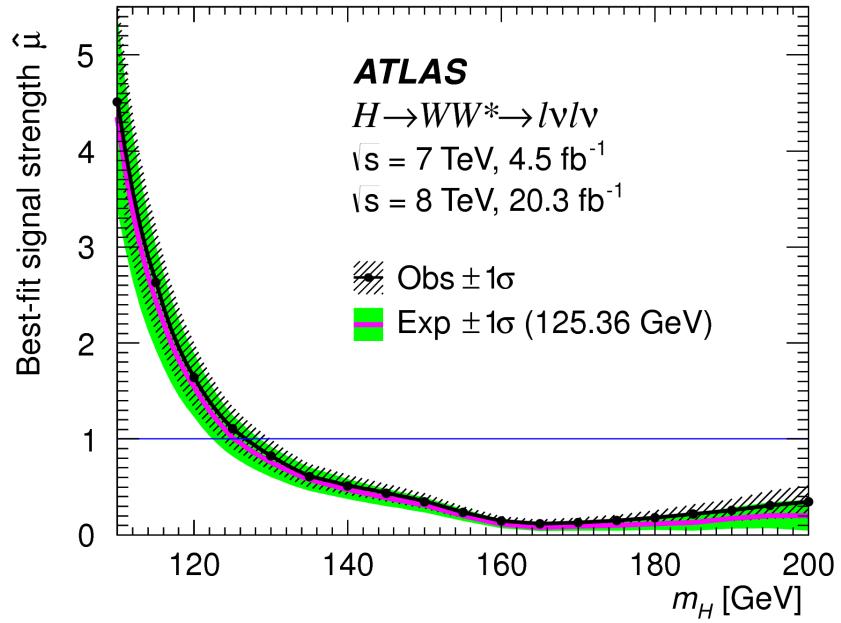


Figure 6.2: Best fit signal strength  $\hat{\mu}$  as a function of hypothesized  $m_H$ [21].

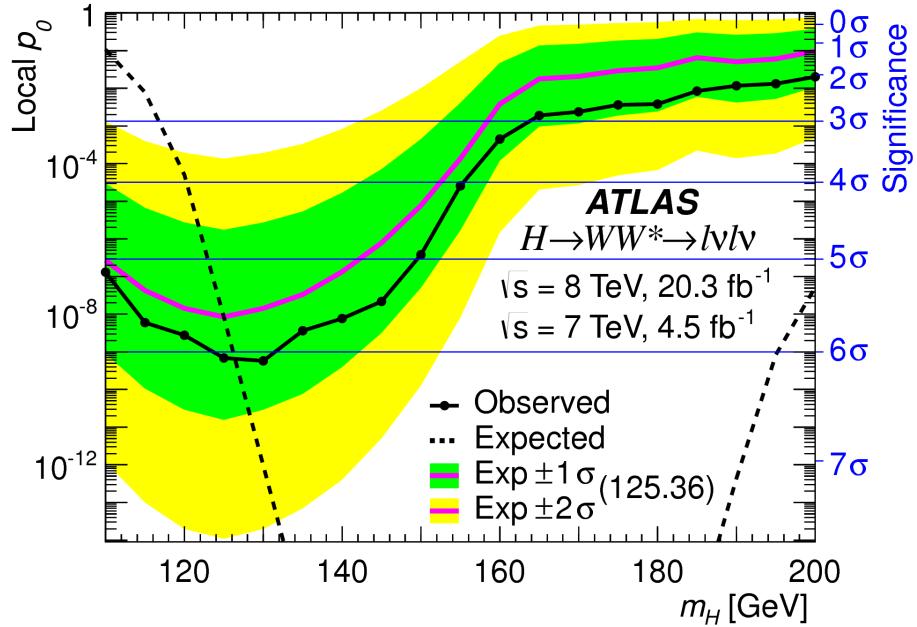
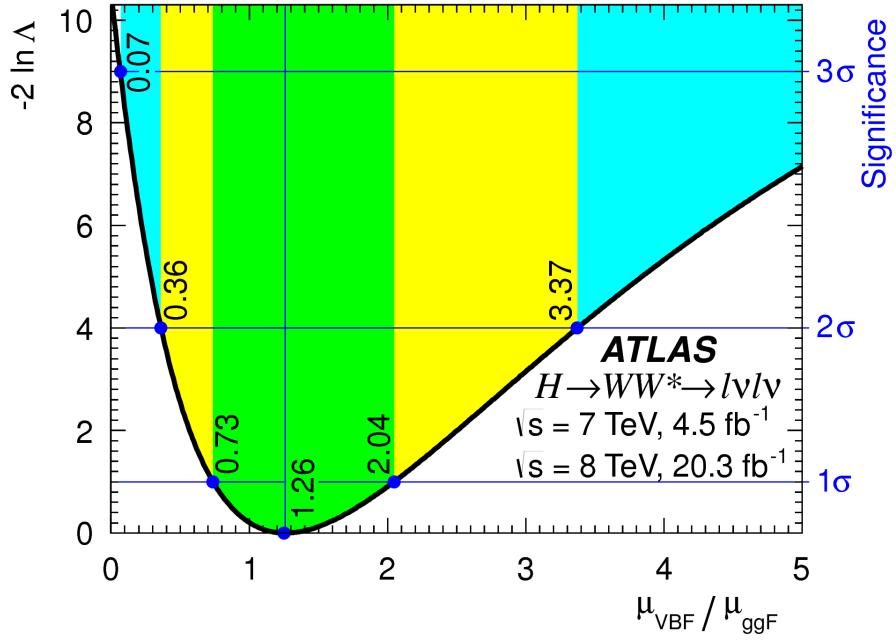


Figure 6.3: Local  $p_0$  as a function of  $m_H$ [21].

the ratio of the VBF and gluon fusion signal strengths. With this method, the significance of the VBF observation can be evaluated. Figure 6.4 shows the likelihood as a function of the ratio  $\mu_{\text{VBF}}/\mu_{\text{ggF}}$ .



**Figure 6.4:** Likelihood as a function of  $\mu_{\text{VBF}} / \mu_{\text{ggF}}$ [21].

The best fit value of the ratio of signal strengths is shown in equation 6.2. Within the quoted uncertainties, it is consistent with a ratio of unity.

$$\frac{\mu_{\text{VBF}}}{\mu_{\text{ggF}}} = 1.26^{+0.61} (\text{stat.})^{+0.50} (\text{syst.}) = 1.26^{+0.79}_{-0.53} \quad (6.2)$$

The null hypothesis for VBF production corresponds to a ratio of  $\mu_{\text{VBF}} / \mu_{\text{ggF}} = 0$ . The likelihood in figure 6.4 gives a significance of  $3.2\sigma$  at  $\mu_{\text{VBF}} / \mu_{\text{ggF}} = 0$ , as quoted in chapter 5.

In addition to the ratio of signal strengths, each signal strength can be varied independently in the likelihood as well. Figure 6.5 shows the two dimensional likelihood scan in the  $\mu_{\text{ggF}}-\mu_{\text{VBF}}$  plane. The best fit values of the two signal strengths are shown in equation 6.3. Both are consistent with unity within their uncertainties.

$$\begin{aligned} \mu_{\text{ggF}} &= 1.02 \pm 0.19^{+0.22}_{-0.18} = 1.02^{+0.29}_{-0.26} \\ \mu_{\text{VBF}} &= 1.27 \pm 0.44^{+0.29}_{-0.40} = 1.27^{+0.53}_{-0.45}. \end{aligned} \quad (6.3)$$

(stat.) (syst.)

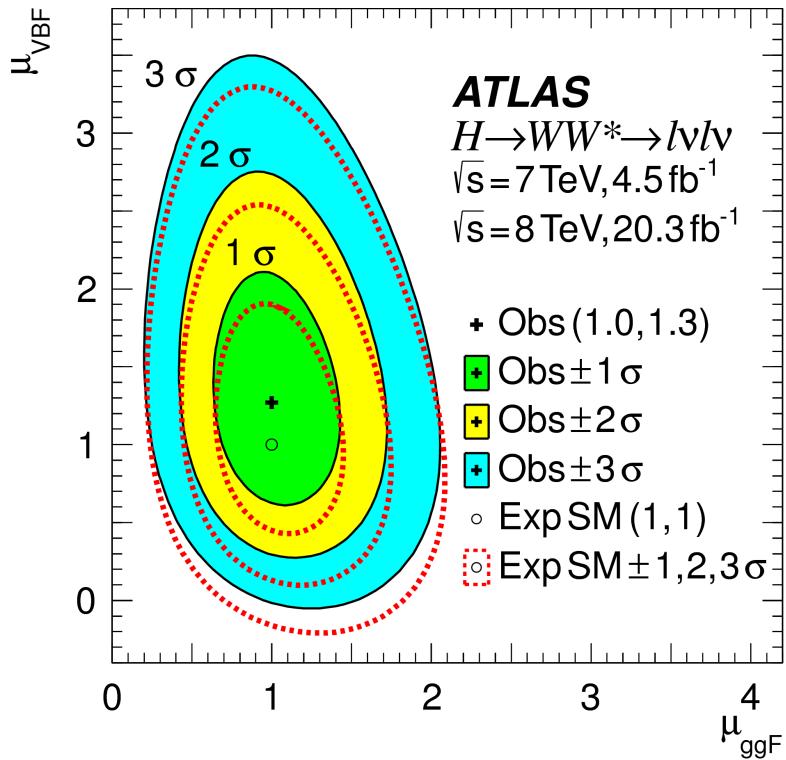


Figure 6.5: Likelihood scan as a function of  $\mu_{VBF}$  and  $\mu_{ggF}$ [21].

#### 6.4 MEASUREMENT OF HIGGS COUPLINGS TO VECTOR BOSONS AND FERMIONS

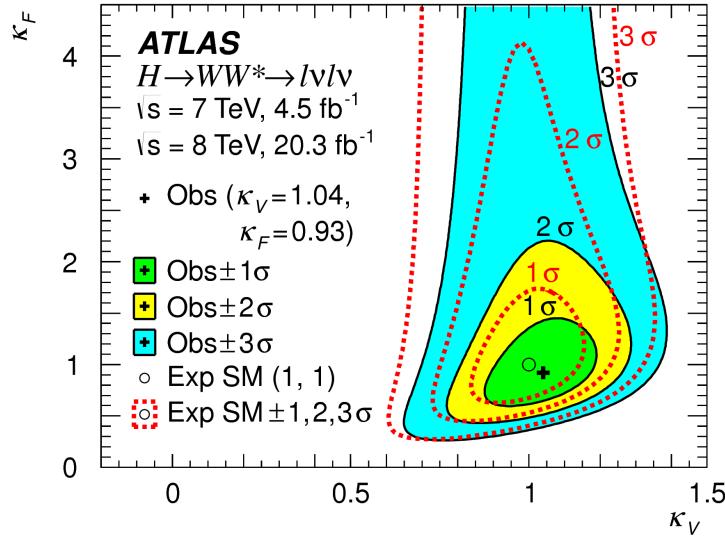
Similar to the parameterization of signal strength, the couplings of the Higgs to fermions and bosons can also be parameterized. The parameter of interest in this case is  $\kappa$ , or the ratio of the measured coupling to the standard model expectation. Both the fermion and boson couplings have these so-called scale factors,  $\kappa_F$  for fermions and  $\kappa_V$  for bosons. Gluon fusion production is sensitive to the fermion couplings through the top quark loops in its production, while VBF production is sensitive to the vector boson couplings in its production. Both modes are sensitive to the vector boson couplings in their decays. The signal strengths will have dependence on the coupling scale factors as described in equation 6.4[2].

$$\begin{aligned} \mu_{ggF} &\propto \frac{\kappa_F^2 \cdot \kappa_V^2}{(\mathcal{B}_{H \rightarrow f\bar{f}} + \mathcal{B}_{H \rightarrow gg}) \kappa_F^2 + (\mathcal{B}_{H \rightarrow VV}) \kappa_V^2} \\ \mu_{VBF} &\propto \frac{\kappa_V^4}{(\mathcal{B}_{H \rightarrow f\bar{f}} + \mathcal{B}_{H \rightarrow gg}) \kappa_F^2 + (\mathcal{B}_{H \rightarrow VV}) \kappa_V^2}. \end{aligned} \quad (6.4)$$

Figure 6.6 shows the two-dimensional likelihood scan of  $\kappa_F$  and  $\kappa_V$ . The best-fit values are given in equation 6.5. The best-fit values are consistent with unity within their uncertainties.

$$\begin{aligned} \kappa_F &= 0.93 & +0.24 & +0.21 & = 0.93 & +0.32 \\ && -0.18 & -0.14 && -0.23 \\ \kappa_V &= 1.04 & +0.07 & +0.07 & = 1.04 & \pm 0.11. \end{aligned} \quad (6.5)$$

(stat.) (syst.)



**Figure 6.6:** Likelihood scan as a function of  $\kappa_F$  and  $\kappa_V$ [21].

## 6.5 HIGGS PRODUCTION CROSS SECTION MEASUREMENT

Another measurement that comes naturally from the signal strength numbers quoted earlier is the production cross section at 7 and 8 TeV for both gluon fusion and VBF production. The general equation for calculating the cross section is given in equation 6.6.

$$\begin{aligned} (\sigma \cdot \mathcal{B}_{H \rightarrow WW^*})_{\text{obs}} &= \frac{(N_{\text{sig}})_{\text{obs}}}{\mathcal{A} \cdot \mathcal{C} \cdot \mathcal{B}_{WW \rightarrow \ell\nu\ell\nu}} \cdot \frac{1}{\int L dt} \\ &= \hat{\mu} \cdot (\sigma \cdot \mathcal{B}_{H \rightarrow WW^*})_{\text{exp}} \end{aligned} \quad (6.6)$$

$(N_{\text{sig}})_{\text{obs}}$  is the number of events observed in data.  $\mathcal{A}$  is the geometric and kinematic acceptance of the detector, while  $\mathcal{C}$  is the efficiency of the signal region selection for events that are reconstructed in the detector. The branching ratio of a  $WW$  system to leptons must also be divided out. The production cross section depends on the center of mass energy and the production mode desired (gluon fusion or VBF), and so three separate cross section measurements are quoted in equation 6.7.

$$\begin{aligned} \sigma_{\text{ggf}}^{\text{7TeV}} \cdot \mathcal{B}_{H \rightarrow WW^*} &= 2.0 \pm 1.7 {}^{+1.2}_{-1.1} = 2.0 {}^{+2.1}_{-2.0} \text{ pb} \\ \sigma_{\text{ggf}}^{\text{8TeV}} \cdot \mathcal{B}_{H \rightarrow WW^*} &= 4.6 \pm 0.9 {}^{+0.8}_{-0.7} = 4.6 {}^{+1.2}_{-1.1} \text{ pb} \\ \sigma_{\text{VBF}}^{\text{8TeV}} \cdot \mathcal{B}_{H \rightarrow WW^*} &= 0.51 {}^{+0.17}_{-0.15} {}^{+0.13}_{-0.08} = 0.51 {}^{+0.22}_{-0.17} \text{ pb.} \end{aligned} \quad (6.7)$$

(stat.) (syst.)

The predicted cross section values for gluon fusion are  $3.3 \pm 0.4$  pb at 7 TeV and  $4.2 \pm 0.5$  pb at 8 TeV, consistent with the measured values within their uncertainties. For vector boson fusion, the predicted cross section is  $0.35 \pm 0.02$  pb, again consistent with the measured value.

## 6.6 CONCLUSION

The combined analysis of the gluon fusion and vector boson fusion processes in  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  in the 7 and 8 TeV datasets has yielded the first discovery level significance for Higgs production in this decay channel. Additionally, precise measurements of the couplings to vector bosons and fermions are given. Finally, signal strengths and cross sections for each production mode are measured. Figure 6.7 shows the  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$  measurements in comparison with other Higgs decay channels in ATLAS. The measurement of signal strength from this channel remains the most sensitive in both the gluon fusion and VBF production modes for the Run 1 dataset.

# ATLAS

## Individual analysis

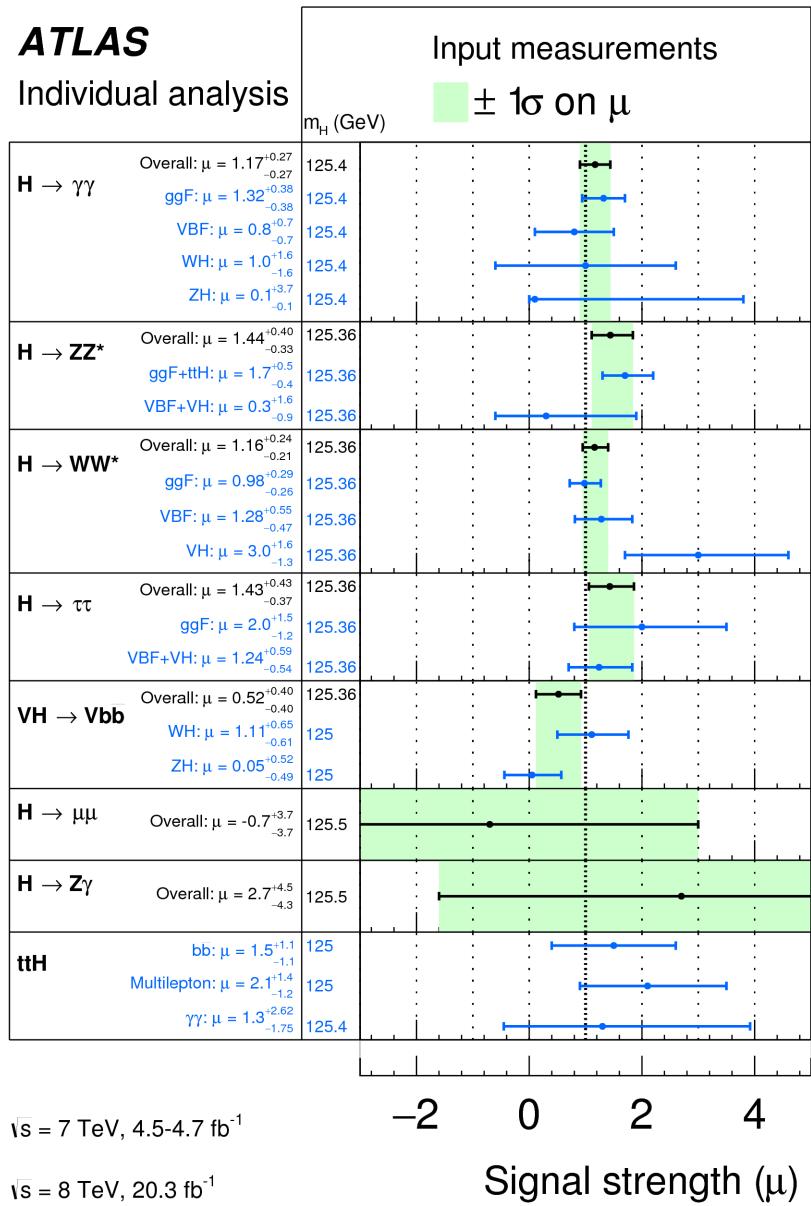


Figure 6.7: Comparison of signal strength measurements in different Higgs decay channels on ATLAS[23].

## Part III

Search for Higgs pair production in the  
 $HH \rightarrow b\bar{b}b\bar{b}$  channel in LHC Run 2 at  $\sqrt{s} =$   
13 TeV

*Passion is in all great searches and is necessary to all  
creative endeavors.*

W. Eugene Smith

# 7

## Search for Higgs pair production in boosted $b\bar{b}b\bar{b}$ final states

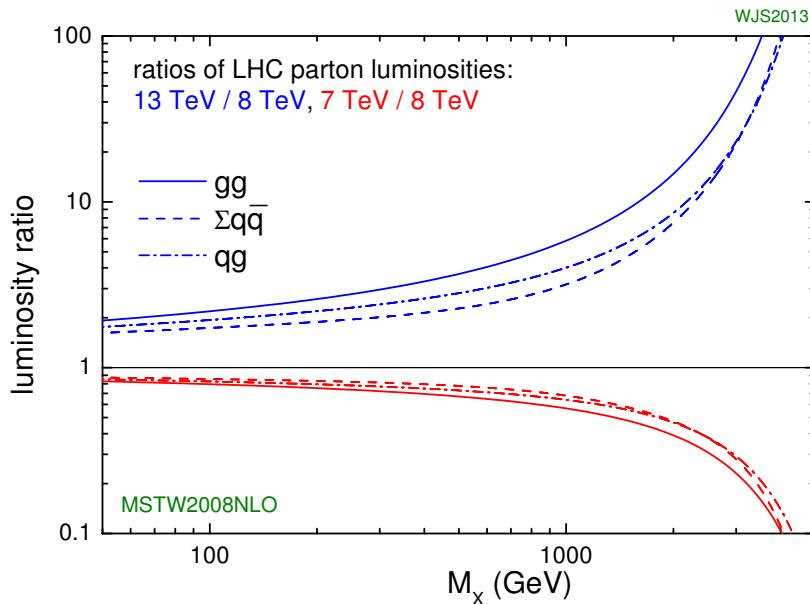
### 7.1 INTRODUCTION

After the discovery of the Higgs boson in the ATLAS Run 1 dataset and the subsequent measurements of its properties, the Higgs transformed into a potential tool in searches for physics beyond the Standard Model. The pair production cross section of the Higgs can be enhanced through BSM physics. Studying di-Higgs production also probes the Higgs self-coupling, shedding light on the structure of the Higgs potential. This chapter presents a search for resonant production of a Higgs pair in the  $X \rightarrow HH \rightarrow b\bar{b}b\bar{b}$  final state in  $3.2 \text{ fb}^{-1}$  of data collected at  $\sqrt{s} = 13 \text{ TeV}$ . In particular, this chapter focuses on a search for this final state in the regime where  $m_X$  is large ( $\gtrsim 1 \text{ TeV}$ ) and the Higgs bosons in the decay are significantly boosted. A tailored selection for this boosted selection, using novel

techniques in jet substructure and  $b$ -tagging, is discussed. Then, the data-driven background estimate is presented. Finally, the results of the search are shown. The signal models used as benchmarks are a spin-2 Randall Sundrum graviton (RSG) and a narrow width spin-0 resonance. These models are described in more detail in Chapter 1. Limits on signal models are reserved for the next chapter where the results of this chapter are combined with the results of a separate selection dedicated to the lower  $m_X$  regime.

## 7.2 MOTIVATION

With the center of mass energy increase from  $\sqrt{s} = 8 \text{ TeV}$  to  $\sqrt{s} = 13 \text{ TeV}$ , the LHC and ATLAS are able to probe new resonances at higher mass scales than previously accessible in Run 1. This is a powerful motivator for searching for a new resonance in the early 13 TeV data. Figure 7.1 shows the ratios of parton luminosities between 8 and 13 TeV for different resonance masses. For a resonance of  $M_X = 2 \text{ TeV}$ , the cross section at  $\sqrt{s} = 13 \text{ TeV}$  is roughly a factor of 10 larger than at  $\sqrt{s} = 8 \text{ TeV}$ .



**Figure 7.1:** Parton luminosity ratios as a function of resonance mass  $M_X$  for 13/8 TeV and 7/8 TeV [24].

Higgs pair production offers a vast array of unprobed regions of phase space where searches for BSM physics can be made. Chapter 1 discusses some possibilities for both resonant and non-resonant enhance-

ment of the di-Higgs production cross section. Given the increased mass reach of the LHC in Run 2, it is particularly important to focus on resonant searches at high  $m_X$ . One consideration when conducting a search in the  $HH$  final state is which decay modes of the Higgs to consider. Figure 7.2 shows the branching ratio of the  $HH$  final state for different combinations of decays of each individual Higgs. As the largest branching ratio for the 125 GeV Higgs is  $H \rightarrow b\bar{b}$ , the  $HH \rightarrow b\bar{b}b\bar{b}$  branching ratio is also the largest at 33%.

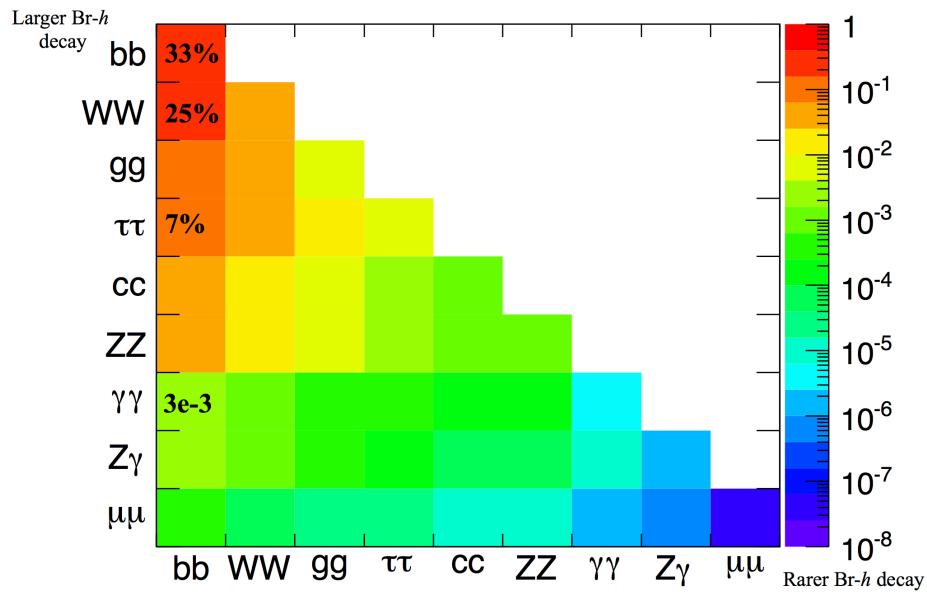


Figure 7.2: Summary of  $HH$  branching ratios [25].

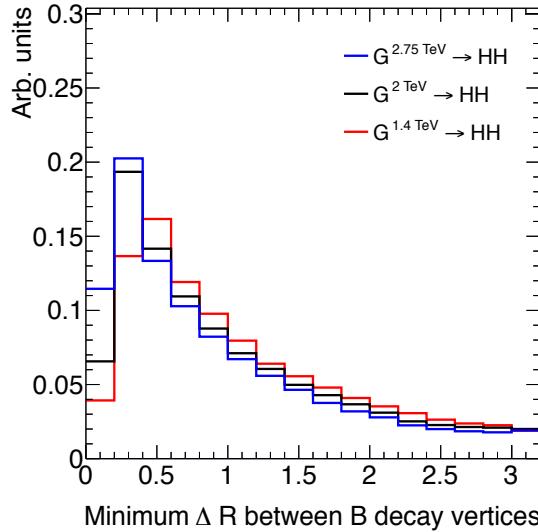
At high  $m_X$ , the Higgs bosons resulting from the decay of a heavy resonance will have large  $p_T^*$ . The  $\Delta R$  between the decay products of the Higgs is inversely proportional to the Higgs  $p_T$ , as shown in equation ??.

$$\Delta R \approx \frac{2m}{p_T} \quad (7.1)$$

Figure 7.3 shows the minimum  $\Delta R$  between truth level  $B$  decay vertices in simulation samples for Randall-Sundrum gravitons of different masses. The figure shows that as the mass of the graviton increases, the  $\Delta R$  distribution between the  $b$  quarks in the Higgs decay tends to shift to lower values. Be-

\*In the limit that  $m_H \ell \ell m_X$ , the Higgs  $p_T$  is roughly  $m_X/2$ .

cause of this effect, it is necessary to tailor a selection to target these merged  $b$ -jets.



**Figure 7.3:** Minimum  $\Delta R$  between  $B$  decay vertices for different RSG masses in a  $G_{\text{KK}}^* \rightarrow HH \rightarrow 4b$  sample with  $c = 1$

## 7.3 DATA AND SIMULATION SAMPLES

### 7.3.1 SIGNAL MODELS

While the resonance search is by its nature generic (as it is a simple search for a peak in the  $4b$  invariant mass spectrum), there are two signal models that the selection requirements have been optimized for. The first is Randall-Sundrum (RSG) model, where a tower of massive spin-2 Kaluza-Klein gravitons is predicted. The second is a heavy narrow spin-0 resonance, the so-called “heavy Higgs”. This type of resonance arises, for example, in the two Higgs doublet model (2HDM). More details about the physics of these models and their motivation is given in chapter 1.

Signal graviton ( $G_{\text{KK}}^*$ ) events are generated at leading order (LO) with **MADGRAPH5 v2.2.2** [92]. The PDF set used is the **NNPDF2.3 LO** set [93]. For modeling parton shower and hadronization in jets, **PYTHIA 8.186** is used with the A14 tune [80, 94]. The free parameters in the RSG model are the graviton mass and the coupling constant  $c \equiv k/\bar{M}_{\text{Pl}}$ <sup>†</sup>. Both the production cross section and width of the

---

<sup>†</sup> $k$  is the curvature constant for the warped extra dimension and  $\bar{M}_{\text{Pl}}$  is the Planck mass divided by  $8\pi$

graviton are proportional to  $c^2$ . Samples are generated at both  $c = 1$  and  $c = 2$  for a variety of mass points between 300 GeV and 3 TeV.

The second signal sample is a heavy spin-0 resonance  $H$  with a fixed width of  $\Gamma_H = 1$  GeV. This is generated with **MADGRAPH5** and uses the **CT10** PDF set [83]. The parton shower and hadronization are handled by **HERWIG ++** with the **CTEQ6L1** PDF set and the **UEEE5** event tune [84, 95, 96]. Because the width and branching ratios depend on 2HDM parameters, each mass point generated with this fixed width corresponds to a different point in the 2HDM parameter phase space. Mass points are generated between 300 GeV and 1 TeV as with the RSG signal samples.

### 7.3.2 BACKGROUND SAMPLES

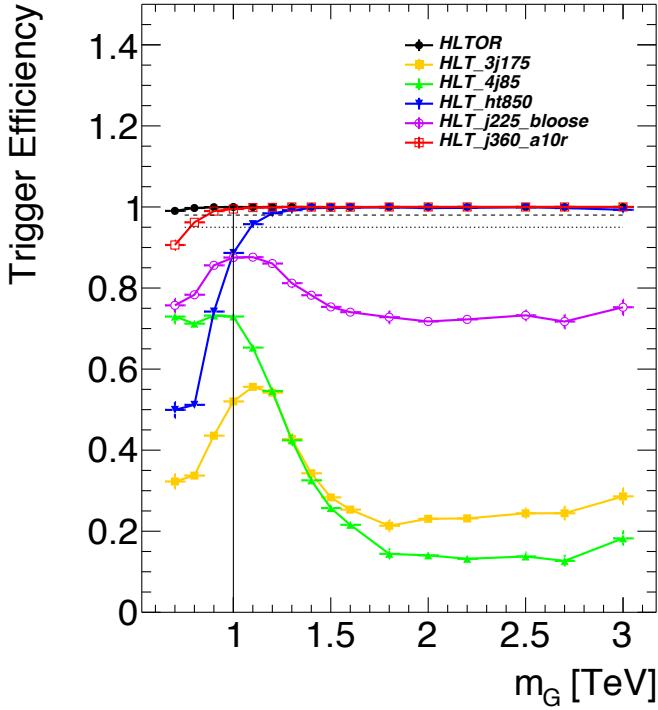
While the dominant **QCD** multijet background is estimated with a fully data-driven method, the sub-dominant backgrounds  $t\bar{t}$  and  $Z + \text{jets}$  are modeled with some input from simulation.

$t\bar{t}$  events are simulated at next-to-leading order (NLO) with the **POWHEG-BOX** version 1 generator using the **CT10** PDF set [97]. The parton shower, hadronization, and underlying event are simulated with **PYTHIA 6.428** with the **CTEQ6L1** PDF set [79]. The Perugia 2012 tune is used [98]. NNLO **QCD** corrections to the cross sections are computed in **Top++ 2.0** [99]. The top quark mass is set to 172.5 GeV. The shapes of distributions in  $t\bar{t}$  are taken from MC while the normalization is taken from data.

Finally, the  $Z + \text{jets}$  background is simulated with **PYTHIA 8.186** and the **NNPDF2.3** LO PDF set. This background is negligible compared to the others and is taken fully from MC.

### 7.3.3 DATA SAMPLE AND TRIGGER

This analysis is done on  $3.2 \text{ fb}^{-1}$  of data taken in 2015 at  $\sqrt{s} = 13$  TeV. The details of the machine conditions during this time can be found in Chapter 2. Only data which was taken during stable beam conditions with all detectors functioning is used. Events must pass a trigger which requires a single 360 GeV large radius ( $R = 1.0$ ) jet to be reconstructed in the HLT. Figure 7.4 shows the trigger efficiency for various trigger options as a function of graviton mass. Above  $m_G > 1$  TeV, the single large radius jet trigger is 99% efficient for events passing the signal selection.



**Figure 7.4:** Trigger efficiency for events passing all signal region selections as a function of mass in  $G_{KK}^* \rightarrow HH \rightarrow 4b$  samples with  $c = 1$  [26]. In the trigger names, “j” refers to a jet or jets. “ht” refers to  $H_T$ , the scalar sum of transverse momenta in the event. “bloose” refers to a loose  $b$ -tagging requirement applied to the jet. “a10r” refers to anti- $k_T$  jets with  $R = 1.0$ . The numbers at the end are the thresholds on the given quantity in GeV.

## 7.4 EVENT RECONSTRUCTION AND OBJECT SELECTION

The boosted selection first begins by defining a unique set of objects that can be exploited to increase signal efficiency in the kinematic regime where the final state  $b$ -jets are very merged.

### 7.4.1 LARGE RADIUS ( $R = 1.0$ ) JETS

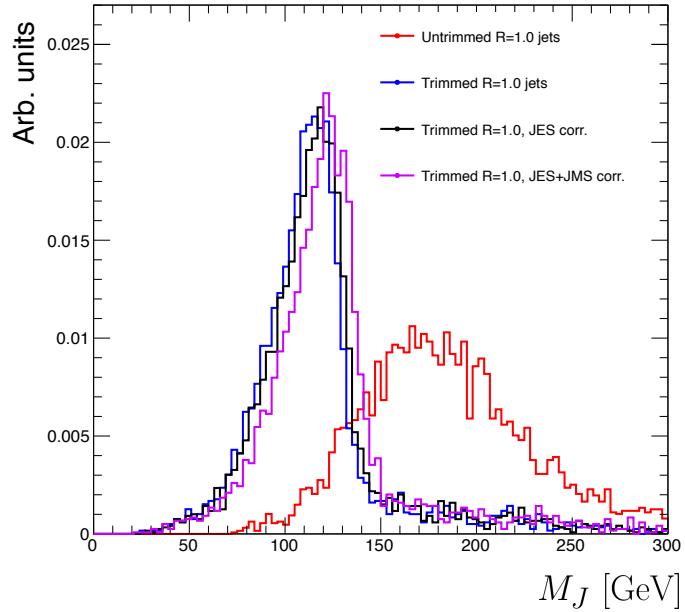
The first step towards reconstructing the final state is to define objects that can be used to measure the kinematics of the Higgs bosons. In the boosted selection anti- $k_T$  jets with a radius parameter of 1.0 are used. These jets are much larger in angular size than the typical  $R = 0.4$  jets and are intended to encompass both jets resulting from the Higgs decay<sup>‡</sup>. The jets are built from clusters in the calorimeter

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<sup>‡</sup>This is in contrast to the resolved selection, which uses two  $R = 0.4$  anti- $k_T$  jets for each Higgs

calibrated with local calibration weighting [17].

Because of the large extent of these jets, great care must be taken to remove potential contributions of calorimeter clusters from pile-up. This is done using a technique called jet trimming [100]. With trimming, the constituents of the large radius jet are re-clustered with a smaller radius with the  $k_T$  algorithm. Then, these so-called subjets are removed from the larger jet if  $p_T^{\text{subjet}}/p_T^{\text{jet}} < f_{\text{cut}}$ . In this analysis, the subjet radius is  $R = 0.2$  and  $f_{\text{cut}} = 0.05$ . Trimming has been shown to improve the mass resolution of large radius jets. Figure 7.5 shows the effect of trimming on the large radius jet mass ( $M_J$ ). Because the large radius jet fully contains the higgs decay products, its invariant mass should correspond to the 125 GeV mass of the Higgs. The trimming algorithm brings the jet mass much closer to the expected Higgs mass and improves the mass resolution.



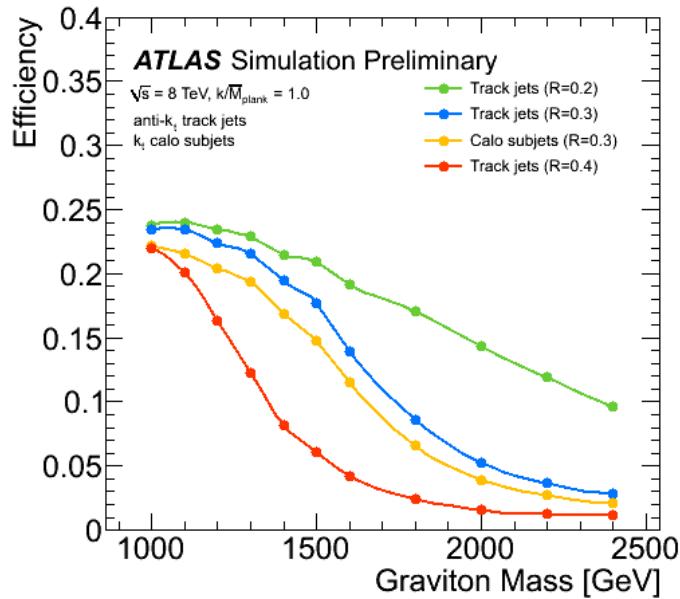
**Figure 7.5:** Comparison of untrimmed and trimmed jet masses for large radius jets in a RSG sample with  $m_{G_{\text{KK}}^*} = 1 \text{ TeV}$ . JES (JMS) refers to the standard jet energy (mass) scale calibration for ATLAS [17].

The large radius jets are required to satisfy  $250 < p_T < 1500 \text{ GeV}$ . They must also be within  $|\eta| < 2.0$  in order to ensure that the full jet is within the inner detector tracking volume. Finally, they are required to have  $M_J > 50 \text{ GeV}$ . The upper  $p_T$  cut and lower threshold on mass are applied to correspond to the kinematic range where uncertainties are available in ATLAS calibrations [101, 102].

### 7.4.2 TRACK JETS AND $b$ -TAGGING

Because the  $b$ -jets from boosted Higgs decays are so close together (as illustrated in figure 7.3), narrow radius jets are required to fully resolve both  $b$ -jets. The minimum radius feasible for jets based on calorimeter deposits is determined by the calorimeter granularity. However, because  $b$ -tagging relies on information from the inner detector, it is possible to define another type of jet that can have a smaller radius and better  $b$ -tagging resolution. These jets are called “track jets” [27, 102].

Track jets are formed by applying the usual anti- $k_T$  clustering algorithm to tracks that are required to be consistent with the primary vertex. After the jet axis has been determined using these tracks, a second step of track association is also performed to add tracks that can be useful for  $b$ -tagging [27]. In this analysis, the tracks are clustered with a radius parameter of  $R = 0.2$ . This radius has been shown to give good performance in boosted Higgs tagging [27, 102]. Figure 7.6 shows a comparison among different track jet radii of the efficiency for reconstructing two  $b$ -jets from each Higgs in a RSG sample as a function of mass. Track jets with radius of 0.2 give the best performance, especially at high mass.



**Figure 7.6:** Efficiency of finding two  $b$ -jets from each Higgs in an RSG event using calorimeter jets with  $R = 0.3$  or different track jet radii [27]

In this analysis, track jets are required to have  $p_T > 10 \text{ GeV}$  and  $|\eta| < 2.5$ . They must also have at

least two tracks.

### 7.4.3 MUONS

Muons are used in this study to correct the four-momenta of calorimeter jets by accounting for semi-leptonic  $b$  decays. The muons used are combined ID and MS muons which must satisfy tight identification requirements [16]. The muons must have  $p_T > 4 \text{ GeV}$  and  $|\eta| < 2.5$ . Table 7.1 summarizes the object requirements described in this section.

|                  | $R$ | $p_T$                          | $ \eta $ | $M$                |
|------------------|-----|--------------------------------|----------|--------------------|
| Calorimeter jets | 1.0 | $250 < p_T < 1500 \text{ GeV}$ | $< 2.0$  | $> 50 \text{ GeV}$ |
| Track jets       | 0.2 | $> 10 \text{ GeV}$             | $< 2.5$  | -                  |
| Muons            | -   | $4 \text{ GeV}$                | $< 2.5$  | -                  |

**Table 7.1:** Summary of requirements on objects used in the  $X \rightarrow HH \rightarrow b\bar{b}b\bar{b}$  search

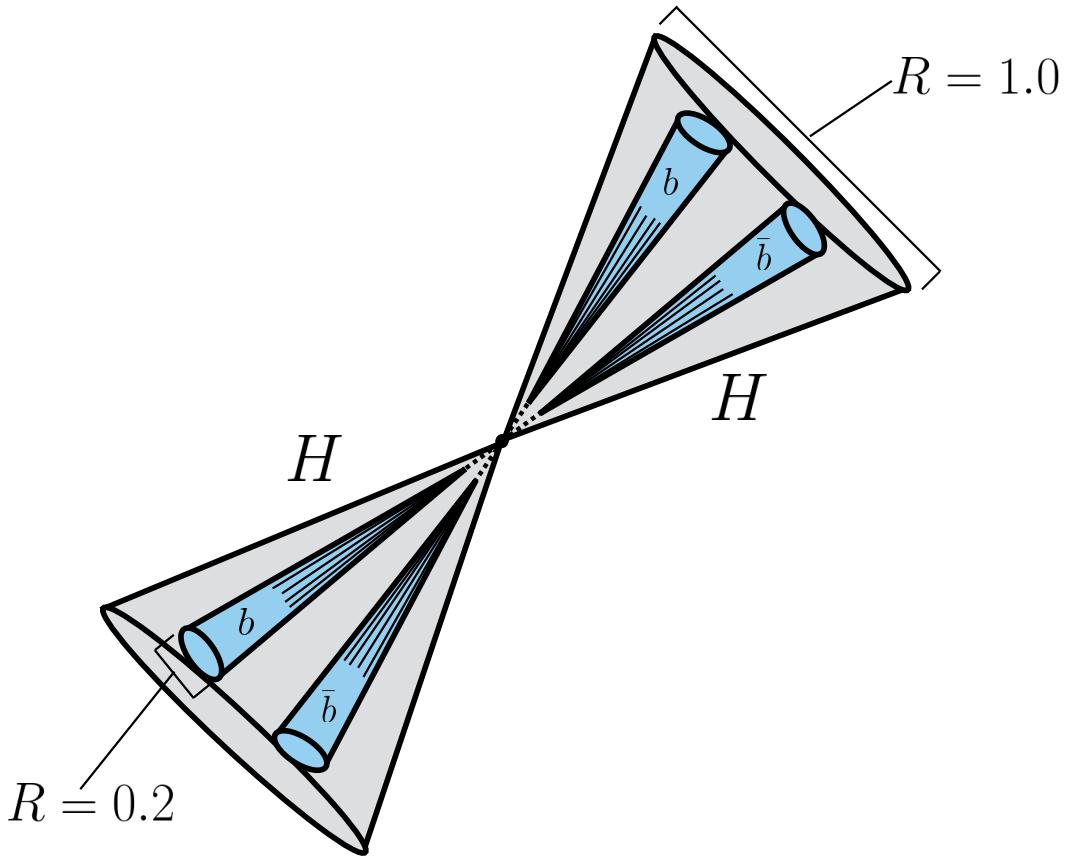
## 7.5 EVENT SELECTION

The first requirement in the boosted selection is for  $\geq 2$  large radius jets satisfying the selections outlined above. The two highest momentum large-R jets in the event are referred to as “Higgs candidates”. The leading jet is required to have  $p_T > 350 \text{ GeV}$ .

Track jets satisfying the object selections are matched to Higgs candidate jets via ghost association [103]. Each Higgs candidate must have at least 2 track jets associated with it. These basic requirements are illustrated in figure 7.7

The QCD multijet background produces less central jets than high mass resonances, so there is an additional requirement that the two Higgs candidates be close together in  $\eta$ . The large-R jets are required to satisfy  $|\Delta\eta(JJ)| < 1.7$ .

The final set of requirements ensures that the Higgs candidates are consistent with expected properties of the 125.0 GeV Higgs. First, a variable ( $X_{hh}$ ) is defined to measure the consistency of both of the



**Figure 7.7:** Illustration of the boosted selection requirements on Higgs candidates. Each large-radius calorimeter jet (Higgs candidate) must contain two track jets

Higgs candidate jets with the SM Higgs mass. This is shown in equation 7.2.

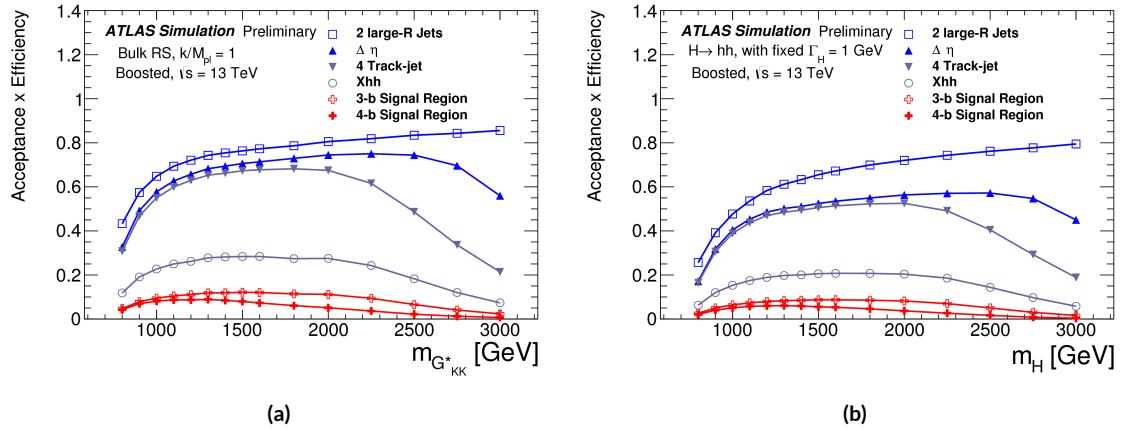
$$X_{hh} = \sqrt{\left(\frac{M_J^{\text{lead}} - 124 \text{ GeV}}{0.1 M_J^{\text{lead}}}\right)^2 + \left(\frac{M_J^{\text{sublead}} - 115 \text{ GeV}}{0.1 M_J^{\text{sublead}}}\right)^2} \quad (7.2)$$

The mass values in the  $X_{hh}$  formula are optimized to maximize signal efficiency. The sub-leading jet typically has a lower mass due to semi-leptonic  $b$  decays and final state radiation.  $X_{hh}$  effectively acts as a  $\chi^2$  measurement of the consistency of the two Higgs candidate masses with the signal hypothesis. The denominators of each term ( $0.1M$ ) give the uncertainty on the mass measurement for the large radius jets. Events are required to satisfy  $X_{hh} < 1.6$ .

The last requirement applied is on the number of  $b$ -tagged track jets. There are two signal regions de-

fined. The first requires exactly four  $b$ -tagged track jets, two in each Higgs candidate (known as the  $4b$  signal region). At high resonance masses, this requirement is inefficient, so an additional signal region requiring only three  $b$ -tagged track jets is also defined (known as the  $3b$  signal region). While this has a larger background it is also more efficient for high resonance masses. For both signal regions, threshold on MV<sub>2</sub> score is chosen such that the algorithm is 77% efficiency in finding true  $b$ -jets. Different working points were tested and this was found to be optimal. Appendix A has more details on this optimization.

Before making the requirement on  $X_{hh}$ , the masses of the Higgs candidates are corrected for semi-leptonic  $b$  decays using muons with the criteria outlined in the previous section. Any muons within a  $\Delta R < 0.2$  of a  $b$ -tagged track jet have their four-momenta added to the four-momentum of the Higgs candidate. This correction does not affect the pre-selection requirements but does affect the  $X_{hh}$  requirement and the final invariant mass distribution used.

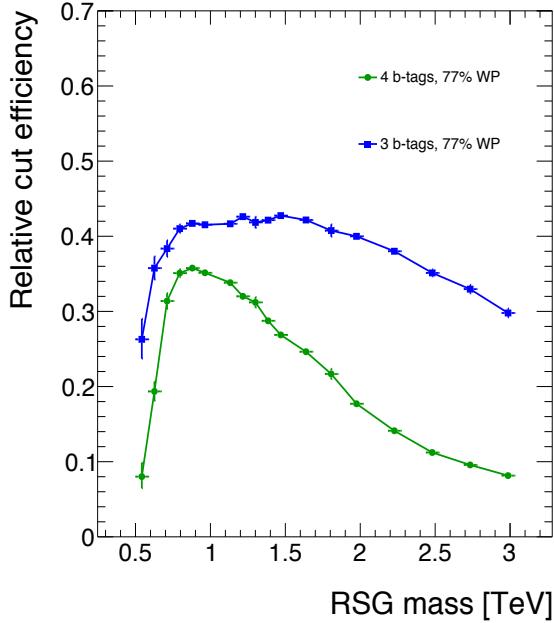


**Figure 7.8:** Acceptance  $\times$  efficiency as a function of mass for (a) RSG and (b) narrow heavy scalar signal models [28].

Figure 7.8 shows the product of acceptance and efficiency as a function of mass for both the RSG and narrow heavy scalar resonance signal models. After  $m_X > 1$  TeV, the efficiency of the  $4b$  requirement begins to decline. After  $m_X > 2$  TeV, the efficiency of requiring two track jets in each Higgs candidate begins to decline as well. Both of these behaviors illustrate the difficulty of resolving the merged decay products at high mass.

Figure 7.9 shows a more detailed comparison of the signal efficiency in the  $3b$  vs  $4b$  signal regions for

the RSG model. The efficiencies shown here are relative to all prior selection requirements.



**Figure 7.9:** Efficiency of requiring 3 or 4  $b$ -tagged track jets vs. RSG mass. The efficiency quoted is relative to the previous selection requirements (rather than an absolute efficiency).

The final discriminating variable used in the boosted analysis is  $M_{2J}$ , the invariant mass of the two Higgs candidates. In order to improve the mass resolution, the four-momenta of each Higgs candidate are scaled by  $m_h/M_J$ . The effect of this correction is small in the boosted analysis but is done for consistency with the resolved selection.

Table 7.2 shows the effect of the selection requirements on signal and background simulations as well as data.

## 7.6 DATA-DRIVEN BACKGROUND ESTIMATION

The largest background to this final state is QCD multijet production, constituting 80-90% of the total background. Because of the difficulties in modeling higher order QCD processes, this background is estimated with a fully data-driven method. The only other non-negligible background is  $t\bar{t}$ , constituting the other 10-20%. Due to the presence of  $t\bar{t}$  in the sideband region where the QCD background will be

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<sup>\$</sup>The  $Z + \text{jets}$  background is a sub-percent level contribution

| Selection                                  | Data    | $m_{G_{KK}^*} = 1\text{TeV}$ | $m_{G_{KK}^*} = 2\text{TeV}$ | $t\bar{t}$ | $Z + \text{jets}$ |
|--|---------|------------------------------|------------------------------|------------|-------------------|
| N(fiducial large-R jets) $\geq 2$          | 2202396 | 23.3                         | 0.48                         | 32345.2    | 4255.7            |
| leading large-R jet $p_T > 350\text{ GeV}$ | 1873741 | 22.9                         | 0.48                         | 26511.7    | 3649.9            |
| Both large-R jet $m > 50\text{ GeV}$       | 1854625 | 21.2                         | 0.47                         | 24369.8    | 3575.8            |
| Both large-R jet $p_T < 1500\text{ GeV}$   | 1853601 | 21.2                         | 0.46                         | 24346.5    | 3572.9            |
| $ \Delta\eta(JJ)  < 1.7$                   | 1435273 | 20.8                         | 0.44                         | 20751.0    | 3265.8            |
| $\geq 2$ track-jets per large-R jet        | 1224727 | 19.8                         | 0.40                         | 18234.5    | 2692.6            |
| $3 b\text{-tags}, X_{hh} < 1.6$            | 316     | 3.4                          | 0.067                        | 46.7       | 2.0               |
| $4 b\text{-tags}, X_{hh} < 1.6$            | 20      | 2.9                          | 0.030                        | 1.4        | 0.0               |

**Table 7.2:** Effect of boosted selection on data, RSG signal models,  $t\bar{t}$ , and  $Z + \text{jets}$ . The numbers from simulation are normalized with the MC generator cross section and do not take into account the data driven estimates described in section 7.6 [33].

estimated, the normalization of the QCD and  $t\bar{t}$  backgrounds are simultaneously estimated.

### 7.6.1 MASS REGION DEFINITIONS

The first step in the data-driven background estimate is to define a sideband mass region where the background normalization can be derived. Additionally, a control region is defined where the background estimate can be validated. The control (CR) and sideband (SB) regions are defined using a radial distance in the two-dimensional large-R jet mass plane,  $R_{hh}$ , which is defined in equation 7.3.

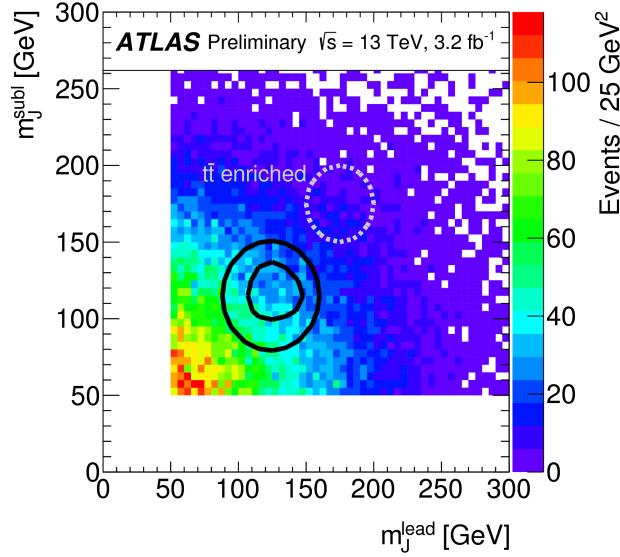
$$R_{hh} = \sqrt{(M_J^{\text{lead}} - 124\text{ GeV})^2 + (M_J^{\text{sublead}} - 115\text{ GeV})^2} \quad (7.3)$$

Events in the sideband region are required to fail the signal region  $X_{hh} < 1.6$  requirement and have  $R_{hh} > 35.8\text{ GeV}$ . The control region consists of those events which are not in the signal or sideband regions. Figure 7.10 shows the definition of the signal, control, and sideband mass regions.

Table 7.3 summarizes the mass region selections for the three different regions used in the analysis.

### 7.6.2 BACKGROUND ESTIMATION

The method for estimating the background in this analysis is similar to the ABCD method presented in Chapter 5. In this case, the two handles used to define different regions for the estimate are the number of  $b$ -tagged track jets and the mass regions. A region requiring exactly two  $b$ -tagged track jets in one large-



**Figure 7.10:**  $M_J^{\text{sublead}}$  vs.  $M_J^{\text{lead}}$  in a 2  $b$ -tag data sample. The signal region is defined by the inner black contour ( $X_{hh} < 1.6$ ) and the sideband region is defined by the outer contour ( $R_{hh} > 35.8 \text{ GeV}$ ). The region between the black contours is the control region. The mass region which is enriched in  $t\bar{t}$  background is also shown for illustration. [28]

| Region               | Requirement                                    | Notes                                       |
|----------------------|--|---|
| Signal Region (SR)   | $X_{hh} < 1.6$                                 | -   |
| Control Region (CR)  | $R_{hh} < 35.8 \text{ GeV}$ and $X_{hh} > 1.6$ | Used for validation of background estimates |
| Sideband Region (SB) | $R_{hh} > 35.8 \text{ GeV}$                    | Used to derive background normalization     |

**Table 7.3:** Mass region definitions used for background estimation

R jet (referred to as the 2-tag or  $2b$  region) is defined for use in the background estimate. The number of expected background events in the  $3b$  and  $4b$  signal regions is then given by equation 7.4.

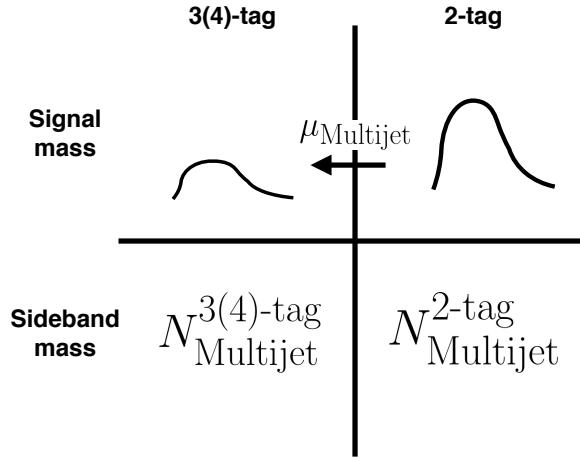
$$N_{\text{bkg}}^{3(4)-\text{tag},\text{SR}} = \mu_{\text{Multijet}} N_{\text{Multijet}}^{2-\text{tag},\text{SR}} + \beta_{t\bar{t}} N_{t\bar{t}}^{3(4)-\text{tag},\text{SR}} + N_{Z+\text{jets}}^{3(4)-\text{tag},\text{SR}} \quad (7.4)$$

In this equation,  $N_{\text{bkg}}^{3(4)-\text{tag}}$  is the expected number of background events in the  $3b$  or  $4b$  signal regions.  $N_{\text{Multijet}}^{2-\text{tag}}$  is the number of multijet events in the 2-tag region.  $N_{t\bar{t}}^{3(4)-\text{tag}}$  is the number of  $t\bar{t}$  events predicted in the MC for the  $3b$  or  $4b$  signal region, and the variable is similarly defined for the  $Z+\text{jets}$  background. The  $\beta_{t\bar{t}}$  parameter is a scale factor used to correct the normalization of the  $t\bar{t}$  estimate in the signal region.  $\mu_{\text{Multijet}}$  is an extrapolation factor that is derived in the sideband region and

used to estimate the ratio of 2-tag events to 3(4)-tag events in the signal region. It is defined in equation 7.5.

$$\mu_{\text{Multijet}} = \frac{N_{\text{Multijet}}^{3(4)\text{-tag,SB}}}{N_{\text{Multijet}}^{2\text{-tag,SB}}} = \frac{N_{\text{data}}^{3(4)\text{-tag,SB}} - \beta_{t\bar{t}} N_{t\bar{t}}^{3(4)\text{-tag,SB}} - N_{Z+\text{jets}}^{3(4)\text{-tag,SB}}}{N_{\text{data}}^{2\text{-tag,SB}} - \beta_{t\bar{t}} N_{t\bar{t}}^{2\text{-tag,SB}} - N_{Z+\text{jets}}^{2\text{-tag,SB}}} \quad (7.5)$$

The  $t\bar{t}$  scale factor ( $\beta_{t\bar{t}}$ ) and the QCD multijet extrapolation factor ( $\mu_{\text{Multijet}}$ ) are estimated together in a simultaneous fit in the sideband region. Then, the number of events in the 2-tag signal region is used, along with the  $t\bar{t}$  estimate in the 3b and 4b signal regions and  $\mu_{\text{Multijet}}$ , to estimate the total number of background events in the two final signal regions. The shape of the final discriminant  $M_{2,J}$  is also taken from the 2-tag signal region where there are more statistics. This method is illustrated graphically in figure 7.11.

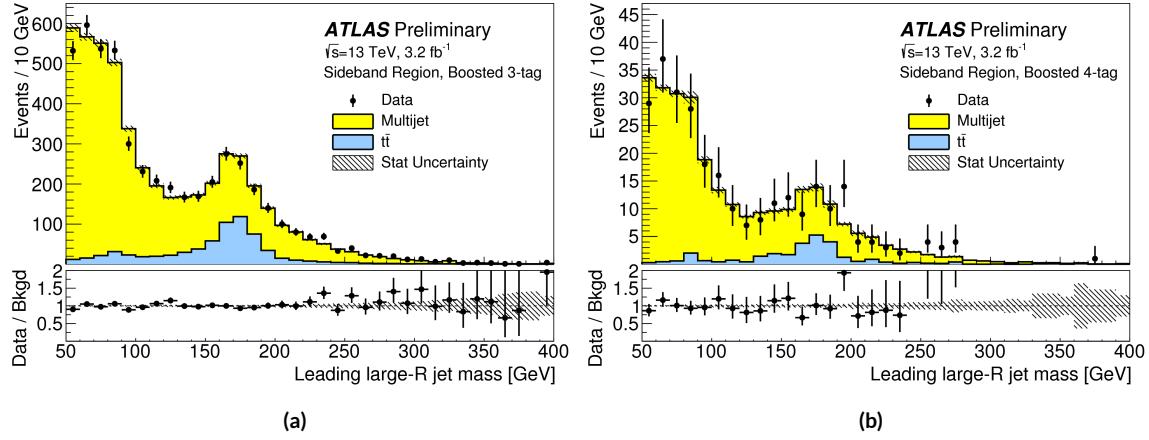


**Figure 7.11:** An illustration of the data-driven background estimation technique for the boosted analysis

In the 3b region, the fit yields values of  $\mu_{\text{Multijet}} = 0.160 \pm 0.03$  and  $\beta_{t\bar{t}} = 1.02 \pm 0.09$ . In the 4b region, the fit gives  $\mu_{\text{Multijet}} = 0.0091 \pm 0.0007$  and  $\beta_{t\bar{t}} = 0.82 \pm 0.39$ . The uncertainties quoted are statistical only. The larger uncertainties in the 4b values indicate the lower statistics available in that region.

Figure 7.12 shows the distributions of data and background estimates in the 3b and 4b sideband regions after the background fit has been done. The normalizations are constrained from the fit to match

that of the data, but good modeling of the shape of the mass of the leading large-R jet is seen as well. The shapes of the kinematic distributions in the  $4b$  region are taken from the  $3b$  region due to the better MC statistics in that region.



**Figure 7.12:** Leading large-R jet mass in the  $3b$  (a) and  $4b$  (b) sideband regions. The multijet and  $t\bar{t}$  backgrounds are estimated using the data-driven methods described above. Because their normalizations are derived in the sideband region, the total background normalization is constrained by default to match the normalization of the data [28].

### 7.6.3 BACKGROUND SHAPE FIT

As mentioned in the previous section, the background shape in the 3-tag and 4-tag signal regions is taken from the 2-tag signal mass region. Due to the limited statistics available, the background shapes are additionally smoothed after being extrapolated to the 3-tag and 4-tag signal regions. Only the data in the range  $900 < M_{2J} < 2000$  GeV is included in the fit due to the limited statistics available above 2 TeV. Both the  $t\bar{t}$  and QCD multijet background are independently fit with an exponential shape,  $y = e^{ax+b}$ . Other shapes are considered and used for the systematic uncertainties. Table 7.4 shows the fit values for the parameters. Because both the  $3b$  and  $4b$  QCD shapes come from the 2-tag region, the slopes derived are very similar.

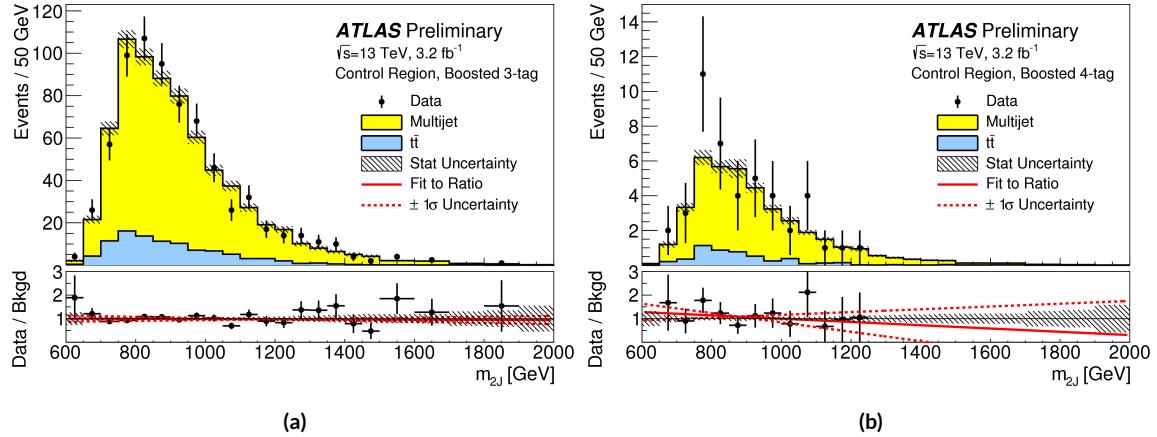
### 7.6.4 VALIDATION OF BACKGROUND ESTIMATE

The background estimate can be validated by using the method to estimate the number of events in the control mass region rather than the signal mass region. Figure 7.13 shows the  $M_{2J}$  distribution in the  $3b$

|                 | $a$                   | $b$             |
|-----------------|-----------------------|-----------------|
| QCD (4b)        | $0.00545 \pm 0.00021$ | $5.44 \pm 0.24$ |
| $t\bar{t}$ (4b) | $0.00746 \pm 0.00021$ | $4.88 \pm 0.36$ |
| QCD (3b)        | $0.00545 \pm 0.00021$ | $8.30 \pm 0.24$ |
| $t\bar{t}$ (3b) | $0.00746 \pm 0.00021$ | $8.58 \pm 0.36$ |

**Table 7.4:** Parameters derived for exponential fit to background  $M_{2J}$  shape in the  $3b$  and  $4b$  signal regions [33]

and  $4b$  control regions, comparing data and background estimates. In both cases, both the background shape and normalization are consistent with the data, indicating good agreement. The ratio of data to the background estimates is also fit to a line in the figure to test for any shape difference. The slope of the line is within  $1\sigma$  (from the fit uncertainties) of flat, further indicating that the data is consistent with the background estimate in the control region.



**Figure 7.13:** Di-jet invariant mass ( $M_{2J}$ ) in the  $3b$  (a) and  $4b$  (b) control regions. The multijet and  $t\bar{t}$  backgrounds are estimated using the data-driven methods described above [28].

Table 7.5 shows the yields in data and background estimates in the 3-tag and 4-tag sideband and control regions. Again, here, it can be seen that the total number of predicted background events from the data driven method is consistent with the number of data events in the region.

## 7.7 SYSTEMATIC UNCERTAINTIES

The systematic uncertainties in this analysis can be divided into two broad categories. The first type is uncertainties associated with the modeling of the signal processes. The second type of uncertainty is

| Sample (3-tag) | Sideband Region | Control Region |
|----------------|-----------------|----------------|
| Multijet       | $4328 \pm 27$   | $607 \pm 10$   |
| $t\bar{t}$     | $683.5 \pm 8.1$ | $99.6 \pm 3.1$ |
| $Z+jets$       | $31.8 \pm 3.7$  | $7.7 \pm 1.8$  |
| Total          | $5043 \pm 28$   | $715 \pm 11$   |
| Data           | 5043            | 724            |
| Sample (4-tag) | Sideband Region | Control Region |
| Multijet       | $247.4 \pm 1.5$ | $34.7 \pm 0.6$ |
| $t\bar{t}$     | $28.4 \pm 1.5$  | $5.1 \pm 0.7$  |
| $Z+jets$       | $3.4 \pm 1.2$   | $0.6 \pm 0.5$  |
| Total          | $279.2 \pm 2.5$ | $40.3 \pm 1.0$ |
| Data           | 279             | 45             |

**Table 7.5:** The number of events in data and predicted background events in the boosted 3-tag and 4-tag sideband and control regions. The uncertainties shown are statistical only. [28]

associated with both the shape and normalization of the background prediction.

### 7.7.1 SIGNAL MODELING UNCERTAINTIES

The signal modeling uncertainty has three main components: theoretical uncertainty on the acceptance, experimental uncertainties on the large-R jets, and experimental uncertainties on the track jets related to  $b$ -tagging. In this analysis the experimental uncertainties are the most significant.

The first uncertainty on signal modeling is the theoretical uncertainty on the acceptance. As explained in section 5.6.1, there are four components to this uncertainty. The first is related to missing higher order terms from the matrix element calculations which is estimated by varying the QCD renormalization and factorization scales. The second is uncertainty due to the PDF set used. The third is a generator uncertainty which is estimated by modifying the generator used to model the underlying event and hadronization. Finally, there is an uncertainty associated with the modeling of the initial state and final state radiation (ISR/FSR). The total theoretical uncertainty on the signal yield is 3%, and this is dominated by the ISR/FSR modeling.

There are uncertainties on the large-R jets in both the jet energy scale (JES) and jet energy resolution

(JER) as well as the jet mass scale (JMS) and jet mass resolution (JMR). These are evaluated using  $\sqrt{s} = 8$  TeV data from Run 1 of ATLAS and extrapolated to the Run 2 beam and detector conditions using MC<sup>¶</sup>. The details of these uncertainties can be found in reference [104].

Uncertainties on the track jets are related to the  $b$ -tagging efficiency. The total uncertainty on the signal yield due to  $b$ -tagging is evaluated by propagating variations of the  $b$ -tagging efficiency through the boosted selection requirements. The uncertainties are calculated jet-by-jet and parameterized as a function of  $b$ -jet  $p_T$  and  $\eta$  [105]. For high  $p_T$   $b$ -jets (with  $p_T > 300$  GeV), the uncertainties are extrapolated using MC simulation from the lower  $p_T$   $b$ -jets [106].

Table 7.6 shows the systematic uncertainties on the signal normalization for models with  $m_{G_{KK}^*} = 1.5$  TeV and both  $c = 1$  and  $c = 2$  as well as a narrow width heavy scalar. The dominant uncertainty comes from  $b$ -tagging and this uncertainty is larger in the 4-tag region than the 3-tag region.

### 7.7.2 BACKGROUND UNCERTAINTIES

Uncertainties on the QCD multijet background normalization and shape are estimated using the control mass region. As shown previously, the background predictions in the control region match with the data yields within the statistical uncertainty in both the 3-tag and 4-tag control regions. As an additional protection, the statistical uncertainty on the background prediction in the control region is assigned as a systematic uncertainty on the normalization of the QCD background.

Additional robustness tests are done by varying the definition of the control mass region and the  $b$ -tagging requirements used to define the 2-tag sample. In all cases, the effect of the variations is found to be within the statistical uncertainties on the background normalization in the control region.

Shape uncertainties on the background are evaluated using two techniques. First, as shown in figure 7.13, the ratio between the data and background prediction is fit with a linear function. The uncertainties on the slope of this fit are assigned as shape uncertainties. An additional uncertainty is assigned by using alternate power law fit functions for the smoothing of the background shape. Table 7.7 shows the alternate shapes used. The largest difference between the nominal fit function and the alternates,

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<sup>¶</sup>The uncertainties are correspondingly larger due to the uncertainty of this extrapolation.

| Source                 | Background |         | $G_{\text{KK}}^*$ | $H$ |
|------------------------|------------|---------|-------------------|-----|
|                        | $c = 1$    | $c = 2$ |                   |     |
| Luminosity             | -          | 5.0     | 5.0               | 5.0 |
| 3-tag                  |            |         |                   |     |
| JER                    | < 1        | < 1     | < 1               | < 1 |
| JES                    | 2          | < 1     | < 1               | < 1 |
| JMR                    | 1          | 12      | 12                | 11  |
| JMS                    | 5          | 14      | 13                | 17  |
| $b$ -tagging           | 1          | 23      | 22                | 23  |
| Theoretical            | -          | 3       | 3                 | 3   |
| Multijet Normalization | 3          | -       | -                 | -   |
| Statistical            | 2          | 1       | 1                 | 1   |
| Total                  | 7          | 31      | 30                | 33  |
| 4-tag                  |            |         |                   |     |
| JER                    | < 1        | < 1     | < 1               | < 1 |
| JES                    | < 1        | < 1     | < 1               | < 1 |
| JMR                    | 4          | 12      | 13                | 13  |
| JMS                    | 5          | 13      | 13                | 14  |
| $b$ -tagging           | 2          | 36      | 36                | 36  |
| Theoretical            | -          | 3       | 3                 | 3   |
| Multijet Normalization | 14         | -       | -                 | -   |
| Statistical            | 3          | 1       | 1                 | 1   |
| Total                  | 15         | 42      | 42                | 43  |

**Table 7.6:** Summary of systematic uncertainties in the total background and signal event yields (expressed in %) in the boosted 3-tag and 4-tag signal regions. Systematic uncertainties on the signal normalization are shown for models with  $m_{G_{\text{KK}}^*} = 1.5$  TeV and both  $c = 1$  and  $c = 2$  as well as a narrow width heavy scalar.

taking into account the  $1\sigma$  uncertainty band on each fit as well, is taken as a shape uncertainty.

The uncertainties on the  $t\bar{t}$  background are obtained by propagating the various experimental variations (JES, JER, JMS, JMR,  $b$ -tagging) through the analysis selection requirements. Table 7.6 summarizes the background uncertainties in the 3-tag and 4-tag regions.

## 7.8 RESULTS

| Functional Form                                 |
|---|
| $f_1(x) = p_0(1-x)^{p_1}x^{p_2}$                |
| $f_2(x) = p_0(1-x)^{p_1}e^{p_2 x^2}$            |
| $f_3(x) = p_0(1-x)^{p_1}x^{p_2 x}$              |
| $f_4(x) = p_0(1-x)^{p_1}x^{p_2 \ln x}$          |
| $f_5(x) = p_0(1-x)^{p_1}(1+x)^{p_2 x}$          |
| $f_6(x) = p_0(1-x)^{p_1}(1+x)^{p_2 \ln x}$      |
| $f_7(x) = \frac{p_0}{x}(1-x)^{p_1-p_2 \ln x}$   |
| $f_8(x) = \frac{p_0}{x^2}(1-x)^{p_1-p_2 \ln x}$ |

**Table 7.7:** Alternate fit functions used to model the  $M_{JJ}$  distribution in the QCD multijet background. In the equations,  $x = M_{JJ}/\sqrt{s}$ .

# 8

Combined results of boosted and resolved  
searches

## Part IV

Looking ahead

# 9

## Conclusion

We found the Higgs. Then measured it. Then used it to look for new physics. What a time to be alive!

# A

Optimization of  $b$ -tagging working point in  
 $X \rightarrow HH \rightarrow b\bar{b}b\bar{b}$  search

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**T**HIS THESIS WAS TYPESET using L<sup>A</sup>T<sub>E</sub>X,  
originally developed by Leslie Lamport  
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