Lecture 02. Data Structure

Instructor: Luping Yu

Mar 7, 2023

We'll start with Python's workhorse data structures: lists, dicts, and sets. Then, we'll look at the mechanics of Python file objects and interacting with your local hard drive.

Python Language Basics

• Numeric types: The primary Python types for numbers are int and float.

```
In []: a = 2  # int
b = 4.8  # float
```

• String: Many people use Python for its powerful and flexible built-in string processing capabilities.

```
In []: var = 'Hello, XMU School of Management' # Either single quotes ' or double quotes "
In []: # Common string operations
    var[:5]
    len(var)
    var.replace('Management','Economics')
    var.split()
    var.split(',')
    ' ' .join([var, 'Finance'])
    var.upper()
    var.lower()
    '1'.zfill(6)
```

• Boolean: The two boolean values in Python are written as **True** and **False**.

```
In []: # Boolean operations
a == b
a > b
a < b
a != b
not a == b
(a > b) and (c > b)
(a > b) or (c > b)
```

- List: Lists are variable-length and their contents can be modified in-place. You can define them using square brackets []
 - List supports slicing just like String, a single character of a string can be treated as an element of a list.

```
In []: x = []
        x = [1, 2, 3, 4, 5]
        x = ['a', 'b', 'c']
        x = [1, 'a', True, [2, 3, 4], None]
In [ ]: # Common list operations
        a = [1, 5, 4, 2, 3]
        len(a)
        max(a)
        min(a)
        sum(a)
        a.count(3)
        sorted(a)
        a.append(6)
        a.extend([7, 8])
        a.insert(1, 'a')
        a.pop()
        a.remove('a')
In []: # Iterate over a list
        a = [1, 5, 4, 2, 3]
```

```
for i in a:
    print(i * 2)

In []: # List comprehensions
[i for i in range(5)]

# Customize output
['第' + str(i) for i in range(5)]

# Filter
[i for i in range(5) if i > 2]

# Split the string, filter out spaces, and convert all characters to uppercase
[i.upper() for i in 'Hello XMU' if i != ' ']
```

• Dict: A more common name for it is associative array. It is a flexibly sized collection of key-value pairs. You can define them using curly braces { }

```
In []: # The following methods can be used to define a dictionary
    d = {'name': 'Tom', 'age': 18, 'height': 180}
    d = dict(name='Tom', age=18, height=180)
    d = dict([('name', 'Tom'), ('age', 18), ('height', 180)])

In []: # Ways to access a Python dictionary
    d['name']
    d['age'] = 20
    d['gender'] = 'female'

# Common dict operations
    d.keys()
    d.values()
    d.items()
```

- set: A set is an unordered collection of **unique** elements. You can think of them like dicts, but keys only, no values.
 - Sets have no order and no way to access elements by position

```
In []: # The following methods can be used to define a set
s = {1, 2, 3, 4, 5}
s = set([1, 2, 3, 4, 5])
# Unique elements
s = {1, 2, 2, 2}
```

Pandas Basics

Throughout the rest of the class, I use the following import convention for pandas:

```
In [1]: import pandas as pd
```

To get started with pandas, you will need to get comfortable with two data structures: Series and DataFrame

Series

A Series is a **one-dimensional array-like object** containing a sequence of values and an associated array of data labels, called its **index**. The simplest Series is formed from only an array of data.

```
In [2]: obj = pd.Series([4, 7, -5, 3])
obj
Out[2]: 0 4
```

1 7 2 -5 3 3 dtype: int64

The string representation of a Series displayed interactively shows the index on the left and the values on the right. Since we did not specify an index for the data, a default one consisting of the integers 0 through N - 1 (where N is the length of the data) is created. You can get the array representation and index object of the Series via its .values and .index attributes, respectively:

```
In [3]: obj.values
```

```
Out[3]: array([4, 7, -5, 3])
In [4]: obj.index
Out[4]: RangeIndex(start=0, stop=4, step=1)
         Often it will be desirable to create a Series with an index identifying each data point with a label:
In [5]: obj2 = pd.Series([81, 77, 85, 59], index = ['amy', 'bob', 'chris', 'david'])
         obj2
Out[5]: amy
                  81
         bob
                  77
         chris
                   85
         david
                   59
         dtype: int64
         You can use labels in the index when selecting single values or a set of values:
In [6]: obj2['amy']
Out[6]: 81
In [7]: obj2[['chris', 'amy', 'david']]
Out[7]: chris
                  85
                  81
         amy
         david
                   59
         dtype: int64
         Here ['chris', 'amy', 'david'] is interpreted as a list of indices, even though it contains strings instead of integers.
         We can also using functions or operations:
In [8]: obj2[obj2 > 60]
Out[8]:
         amy
                  81
         bob
                  77
                  85
         chris
         dtype: int64
```

```
In [9]: obj2 * 2
 Out[9]: amy
                    162
          bob
                    154
          chris
                    170
          david
                    118
          dtype: int64
          Another way to think about a Series is as a fixed-length, ordered dict, as it is a mapping of index values to data values.
In [10]: 'bob' in obj2
Out[10]: True
In [11]: 'emma' in obj2
Out[11]: False
          Should you have data contained in a dict, you can create a Series from it by passing the dict:
In [12]: sdata = {'Fujian': 53110, 'Sichuan': 56750, 'Shanghai': 44653, 'Guangdong': 129119}
          obj3 = pd.Series(sdata)
          obj3
Out[12]: Fujian
                         53110
          Sichuan
                         56750
          Shanghai
                         44653
          Guangdong
                        129119
          dtype: int64
          When you are only passing a dict, the index in the resulting Series will have the dict's keys in sorted order. You can override this by
          passing the dict keys in the order you want them to appear in the resulting Series:
In [13]: states = ['Guangdong', 'Sichuan', 'Fujian', 'Beijing']
          obj4 = pd.Series(sdata, index=states)
          obj4
```

Out[13]: Guangdong 129119.0 Sichuan 56750.0 Fujian 53110.0 Beijing NaN dtype: float64

Here, three values found in sdata were placed in the appropriate locations, but since no value for 'Beijing' was found, it appears as NaN (not a number), which is considered in pandas to mark missing or NA values. Since 'Shanghai' was not included in states, it is excluded from the resulting object.

The isnull and notnull functions in pandas should be used to detect missing data:

In [14]: pd.isnull(obj4)

Out[14]: Guangdong False
Sichuan False
Fujian False
Beijing True
dtype: bool

In [15]: pd.notnull(obj4)

Out[15]: Guangdong True
Sichuan True
Fujian True
Beijing False
dtype: bool

A useful Series feature for many applications is that it **automatically aligns** by index label in arithmetic operations:

In [16]: obj3 + obj4

Out[16]: Beijing NaN Fujian 106220.0 Guangdong 258238.0 Shanghai NaN Sichuan 113500.0 dtype: float64

Both the Series object itself and its index have a **name** attribute, which integrates with other key areas of pandas functionality:

```
In [17]: obj4.name = 'qdp'
         obj4.index.name = 'province'
          obi4
Out[17]: province
                       129119.0
          Guanadona
          Sichuan
                        56750.0
         Fujian
                        53110.0
          Beijing
                            NaN
          Name: qdp, dtype: float64
         A Series's index can be altered in-place by assignment:
In [18]: obj4.index = ['A', 'B', 'C', 'D']
          obj4
Out[18]: A
               129119.0
                56750.0
          C
                53110.0
                    NaN
         Name: gdp, dtype: float64
```

DataFrame

A DataFrame represents a rectangular table of data and contains an **ordered collection of columns**, each of which can be a different value type (numeric, string, boolean, etc.).

The DataFrame has both a row and column index; it can be thought of as a dict of Series all sharing the same index. Under the hood, the data is stored as one or more **two-dimensional** blocks rather than a list, dict, or some other collection of one-dimensional arrays.

The resulting DataFrame will have its index assigned automatically as with Series, and the columns are placed in sorted order:

In [20]: frame Out[20]: firm year revenue **0** Tencent 2019 54.5 **1** Tencent 2020 70.4 **2** Tencent 2021 86.6 **3** Xiaomi 2020 36.0 Xiaomi 2021 50.8 **5** Xiaomi 2022 45.4 For large DataFrames, the .head() method selects only the first five rows: In [21]: frame.head() Out[21]: firm year revenue **0** Tencent 2019 54.5 **1** Tencent 2020 70.4 **2** Tencent 2021 86.6 **3** Xiaomi 2020 36.0 4 Xiaomi 2021 50.8

If you specify a sequence of columns, the <code>DataFrame</code> 's columns will be arranged in that order:

```
In [22]: pd.DataFrame(data, columns=['year', 'revenue', 'firm'])
```

```
Out[22]:
            vear revenue
                            firm
         0 2019
                     54.5 Tencent
         1 2020
                     70.4 Tencent
          2 2021
                     86.6 Tencent
          3 2020
                     36.0
                          Xiaomi
         4 2021
                     50.8
                          Xiaomi
          5 2022
                     45.4 Xiaomi
```

If you pass a column that isn't contained in the dict, it will appear with missing values in the result:

Out[23]: year firm revenue roa one 2019 Tencent 54.5 NaN two 2020 Tencent 70.4 NaN three 2021 Tencent 86.6 NaN four 2020 Xiaomi 36.0 NaN Xiaomi **five** 2021 50.8 NaN six 2022 Xiaomi 45.4 NaN

A column in a DataFrame can be retrieved as a Series either by dict-like notation or by attribute:

```
In [24]: frame2['firm']
```

```
Out[24]: one
                   Tencent
                   Tencent
          two
                   Tencent
          three
          four
                    Xiaomi
          five
                    Xiaomi
                    Xiaomi
          six
          Name: firm, dtype: object
In [25]: frame2.year
Out[25]: one
                   2019
                   2020
          two
          three
                   2021
                   2020
          four
                   2021
          five
          six
                    2022
          Name: year, dtype: int64
          Note that the returned Series have the same index as the DataFrame, and their name attribute has been appropriately set.
          Rows can also be retrieved by position or name with the special .loc attribute:
In [26]: frame2.loc['three']
                         2021
Out[26]: year
          firm
                     Tencent
                         86.6
          revenue
                          NaN
          roa
          Name: three, dtype: object
          Columns can be modified by assignment. For example, the empty roa column could be assigned a scalar value or an array of values:
In [27]: frame2['roa'] = 10
          frame2
```

```
Out[27]:
                vear
                        firm revenue roa
           one 2019 Tencent
                                54.5
                                      10
           two 2020 Tencent
                                70.4
                                      10
          three 2021 Tencent
                                86.6
                                      10
           four 2020
                      Xiaomi
                                 36.0 10
                2021
                      Xiaomi
           five
                                 50.8
                                      10
            six 2022 Xiaomi
                                45.4 10
```

```
In [28]: frame2['roa'] = [11.4, 14.0, 15.5, 7.1, 9.3, 4.3]
frame2
```

```
Out[28]:
                        firm revenue roa
                vear
           one 2019 Tencent
                                 54.5 11.4
           two 2020 Tencent
                                 70.4 14.0
          three 2021 Tencent
                                 86.6 15.5
           four 2020
                      Xiaomi
                                      7.1
                                 36.0
           five 2021
                      Xiaomi
                                 50.8 9.3
            six 2022 Xiaomi
                                 45.4 4.3
```

When you are assigning lists or arrays to a column, the value's length **must** match the length of the **DataFrame**. If you assign a **Series**, its labels will be realigned exactly to the DataFrame's index, inserting missing values in any holes:

```
In [29]: val = pd.Series([-1.2, -1.5, -1.7], index=['two', 'four', 'five'])
    frame2['roa'] = val
    frame2
```

Out[29]:		year	firm	revenue	roa
	one	2019	Tencent	54.5	NaN
	two	2020	Tencent	70.4	-1.2
	three	2021	Tencent	86.6	NaN
	four	2020	Xiaomi	36.0	-1.5
	five	2021	Xiaomi	50.8	-1.7
	six	2022	Xiaomi	45.4	NaN

In [32]: del frame2['roa']
frame2

Assigning a column that doesn't exist will create a new column. The del keyword will delete columns as with a dict.

As an example of del, I first add a new column of boolean values where the state column equals 'Tencent':

```
In [30]: frame2['video_game_company'] = (frame2['firm'] == 'Tencent')
          frame2
Out[30]:
                        firm revenue roa video_game_company
                year
           one 2019 Tencent
                                54.5 NaN
                                                         True
           two 2020 Tencent
                                70.4 -1.2
                                                         True
          three 2021 Tencent
                                86.6 NaN
                                                        True
           four 2020
                      Xiaomi
                                36.0 -1.5
                                                        False
           five 2021
                      Xiaomi
                                50.8 -1.7
                                                        False
            six 2022
                      Xiaomi
                                45.4 NaN
                                                        False
In [31]: del frame2['video_game_company']
          frame2.columns
Out[31]: Index(['year', 'firm', 'revenue', 'roa'], dtype='object')
```

```
Out[32]:
                vear
                        firm revenue
           one 2019 Tencent
                                54.5
           two 2020 Tencent
                                70.4
          three 2021 Tencent
                                86.6
           four 2020
                      Xiaomi
                                36.0
           five
                2021
                      Xiaomi
                                50.8
            six 2022 Xiaomi
                                45.4
          Another common form of data is a nested dict of dicts:
In [33]: revenue = {'Tencent': {2020: 70.4, 2021: 86.6},
                 'Xiaomi': {2020: 36.0, 2021: 50.8, 2022: 45.4}}
In [34]: frame3 = pd.DataFrame(revenue)
          frame3
Out[34]:
                Tencent Xiaomi
          2020
                          36.0
                   70.4
          2021
                   86.6
                          50.8
          2022
                   NaN
                          45.4
          You can transpose the DataFrame (swap rows and columns):
In [35]: frame3.T
Out[35]:
                  2020 2021 2022
          Tencent
                  70.4
                        86.6
                              NaN
           Xiaomi 36.0 50.8 45.4
```

Essential functionalities of Series and DataFrame

This section will walk you through the fundamental mechanics of interacting with the data contained in a Series or DataFrame

Dropping Entries from an Axis

Dropping one or more entries from an axis is easy if you already have an index array or list without those entries. The drop() method will return a **new object** with the indicated value or values deleted from an axis:

```
In [36]: obj = pd.Series([0, 1, 2, 3, 4], index=['a', 'b', 'c', 'd', 'e'])
         obj
Out[36]: a
              1
              2
         С
              3
         dtype: int64
In [37]: new_obj = obj.drop('c')
         new_obj
Out[37]: a
              1
              3
         dtype: int64
In [38]: obj.drop(['d', 'c'])
Out[38]: a
              0
              1
         dtype: int64
In [39]: obj
```

```
Out[39]: a
               0
               1
               3
         dtype: int64
         Many functions, like .drop(), which modify the size or shape of a Series or DataFrame, can manipulate an object in-place without
         returning a new object:
In [40]: obj.drop('d', inplace=True)
         obj
Out[40]: a
               1
         dtype: int64
         With DataFrame, index values can be deleted from either axis. To illustrate this, we first create an example DataFrame:
In [41]: data = pd.DataFrame([[0, 1, 2, 3],[4, 5, 6, 7],[8, 9, 10, 11],[12, 13, 14, 15]],
                              index=['Tencent', 'Xiaomi', 'ByteDance', 'miHoYo'],
                              columns=['one', 'two', 'three', 'four'])
          data
Out[41]:
                    one two three four
            Tencent
                                      3
             Xiaomi
                           5
          ByteDance
                                10 11
                          9
            miHoYo 12 13
                                14 15
```

In [42]: data.drop(['Xiaomi', 'ByteDance'])

Calling .drop() with a sequence of labels will drop values from the row labels (axis 0):

```
        Out [42]:
        one
        two
        three
        four

        Tencent
        0
        1
        2
        3

        miHoYo
        12
        13
        14
        15
```

You can drop values from the columns by passing axis=1:

Selection and Filtering

In [45]: data['two']

Indexing into a DataFrame is for retrieving one or more columns either with a single value or sequence:

```
    In [44]:

    Out [44]:
    one
    two
    three
    four

    Tencent
    0
    1
    2
    3

    Xiaomi
    4
    5
    6
    7

    ByteDance
    8
    9
    10
    11

    miHoYo
    12
    13
    14
    15
```

```
Out[45]: Tencent
                         5
          Xiaomi
          BvteDance
                         9
          miHoYo
                       13
          Name: two, dtype: int64
In [46]: data[['three', 'one']]
Out[46]:
                    three one
                            0
            Tencent
             Xiaomi
          ByteDance
             miHoYo
                       14 12
          Indexing like this has a few special cases. First, slicing or selecting data with a boolean array:
In [47]: data[:2]
Out[47]:
                  one two three four
                                    3
          Tencent
           Xiaomi
                         5
In [48]: data[data['one'] > 7]
Out[48]:
                    one two three four
          ByteDance
                                10 11
             miHoYo 12 13
                              14 15
          Passing a list to the [ ] operator selects columns.
```

In [49]: data[data < 60] = 0
data</pre>

Another use case is in indexing with a **boolean** DataFrame, such as one produced by a scalar comparison:

Out[49]:		one	two	three	four
	Tencent	0	0	0	0
	Xiaomi	0	0	0	0
	ByteDance	0	0	0	0
	miHoYo	0	0	0	0

For DataFrame label-indexing on the rows, I introduce the special indexing operators .loc and iloc. They enable you to select a subset of the rows and columns from a DataFrame using either axis labels (loc) or integers (iloc).

As a preliminary example, let's select a single row and multiple columns by label:

Out[50]:

	one	two	three	four
Tencent	0	1	2	3
Xiaomi	4	5	6	7
ByteDance	8	9	10	11
miHoYo	12	13	14	15

```
In [51]: data.loc['ByteDance', ['two', 'three']]
```

Out[51]: two 9 three 10

Name: ByteDance, dtype: int64

We'll then perform some similar selections with integers using .iloc:

```
In [52]: data.iloc[2, [3, 0, 1]]
```

```
Both indexing functions work with slices in addition to single labels or lists of labels:
In [53]: data.loc['Xiaomi']
Out[53]: one
                    4
                    5
          two
          three
                    6
          four
          Name: Xiaomi, dtype: int64
In [54]: data.iloc[:, :3][data['three'] > 2]
Out[54]:
                     one two three
             Xiaomi
                                  6
                            5
          ByteDance
                                 10
             miHoYo
                      12 13
                                 14
```

Arithmetic and Data Alignment

Out[52]: four

one

two

11 8

9

Name: ByteDance, dtype: int64

An important pandas feature for some applications is the behavior of arithmetic between objects with different indexes. When you are adding together objects, if any index pairs are not the same, the respective index in the result will be the **union** of the index pairs.

```
In [56]: s2 = pd.Series([-2.1, 3.6, -1.5, 4, 3.1], index=['a', 'c', 'e', 'f', 'g'])
         s2
Out [56]: a -2.1
             3.6
         e -1.5
             4.0
              3.1
         dtype: float64
In [57]: s1 + s2
Out[57]: a
              5.2
              1.1
              NaN
         е
              0.0
              NaN
              NaN
         dtype: float64
         The internal data alignment introduces missing values in the label locations that don't overlap.
In [58]: df1 = pd.DataFrame([[0, 1, 2], [3, 4, 5], [6, 7, 8]],
                            columns=list('bcd'),
                            index=['Tencent', 'Xiaomi', 'ByteDance'])
         df1
Out[58]:
                   b c d
           Tencent 0 1 2
            Xiaomi 3 4 5
         ByteDance 6 7 8
In [59]: df2 = pd.DataFrame([[0, 1, 2], [3, 4, 5], [6, 7, 8], [9, 10, 11]],
                            columns=list('bde'),
                            index=['miHoYo', 'ByteDance', 'Tencent', 'Alibaba'])
         df2
```

```
        out [59]:
        b
        d
        e

        miHoYo
        0
        1
        2

        ByteDance
        3
        4
        5

        Tencent
        6
        7
        8

        Alibaba
        9
        10
        11
```

Adding these together returns a DataFrame whose index and columns are the unions of the ones in each DataFrame :

```
      In [60]:
      df1 + df2

      Dut[60]:
      b c d e

      Alibaba NaN NaN NaN NaN NaN

      ByteDance 9.0 NaN 12.0 NaN

      Tencent 6.0 NaN 9.0 NaN

      Xiaomi NaN NaN NaN NaN NaN

      miHoYo NaN NaN NaN NaN NaN
```

Since the 'c' and 'e' columns are not found in both DataFrame objects, they appear as all missing in the result.

Sorting and Ranking

Sorting a dataset by some criterion is another important built-in operation. To sort by row or column index, use the <code>.sort_index()</code> method, which returns a new, sorted object:

```
In [62]: obj.sort_index()
Out[62]: a
             1
             3
         dtype: int64
         With a DataFrame, you can sort by index on either axis:
In [63]: frame = pd.DataFrame([[8, 9, 10, 11], [0, 1, 2, 3], [4, 5, 6, 7]],
                           index=['three','one','two'],
                           columns=['d', 'a', 'b', 'c'])
         frame
Out[63]: d a b c
         three 8 9 10 11
          one 0 1 2 3
          two 4 5 6 7
In [64]: frame.sort_index()
Out[64]:
              d a b c
          one 0 1 2 3
         three 8 9 10 11
         two 4 5 6 7
In [65]: frame.sort_index(axis=1)
Out[65]:
              a b c d
         three 9 10 11 8
          one 1 2 3 0
          two 5 6 7 4
```

The data is sorted in **ascending** order by default, but can be sorted in descending order, too:

```
In [66]: frame.sort_index(axis=1, ascending=False)
Out[66]:
               d c b a
         three 8 11 10 9
           one 0 3 2 1
           two 4 7 6 5
         When sorting a DataFrame, you can use the data in one or more columns as the sort keys. To do so, pass one or more column names to the by
         option of <code>.sort_values():</code>
In [67]: frame = pd.DataFrame({'b': [4, 7, -3, 2], 'a': [0, 1, 0, 1]})
         frame
Out[67]:
             b a
         0 4 0
         1 7 1
         2 -3 0
         3 2 1
In [68]: frame.sort_values(by='b')
Out[68]:
         2 -3 0
         3 2 1
         0 4 0
         1 7 1
In [69]: frame.sort_values(by=['a', 'b'])
```

```
Out[69]: b a
2 -3 0
0 4 0
3 2 1
1 7 1
```

Axis Indexes with Duplicate Labels

Up until now all of the examples we've looked at have had **unique** axis labels (index values). While many pandas functions require that the labels be unique, it's not mandatory. Let's consider a small Series with duplicate indices:

Data selection is one of the main things that behaves differently with duplicates. Indexing a label with multiple entries returns a Series , while single entries return a scalar value: