

CSL302: Compiler Design

Syntax Analysis

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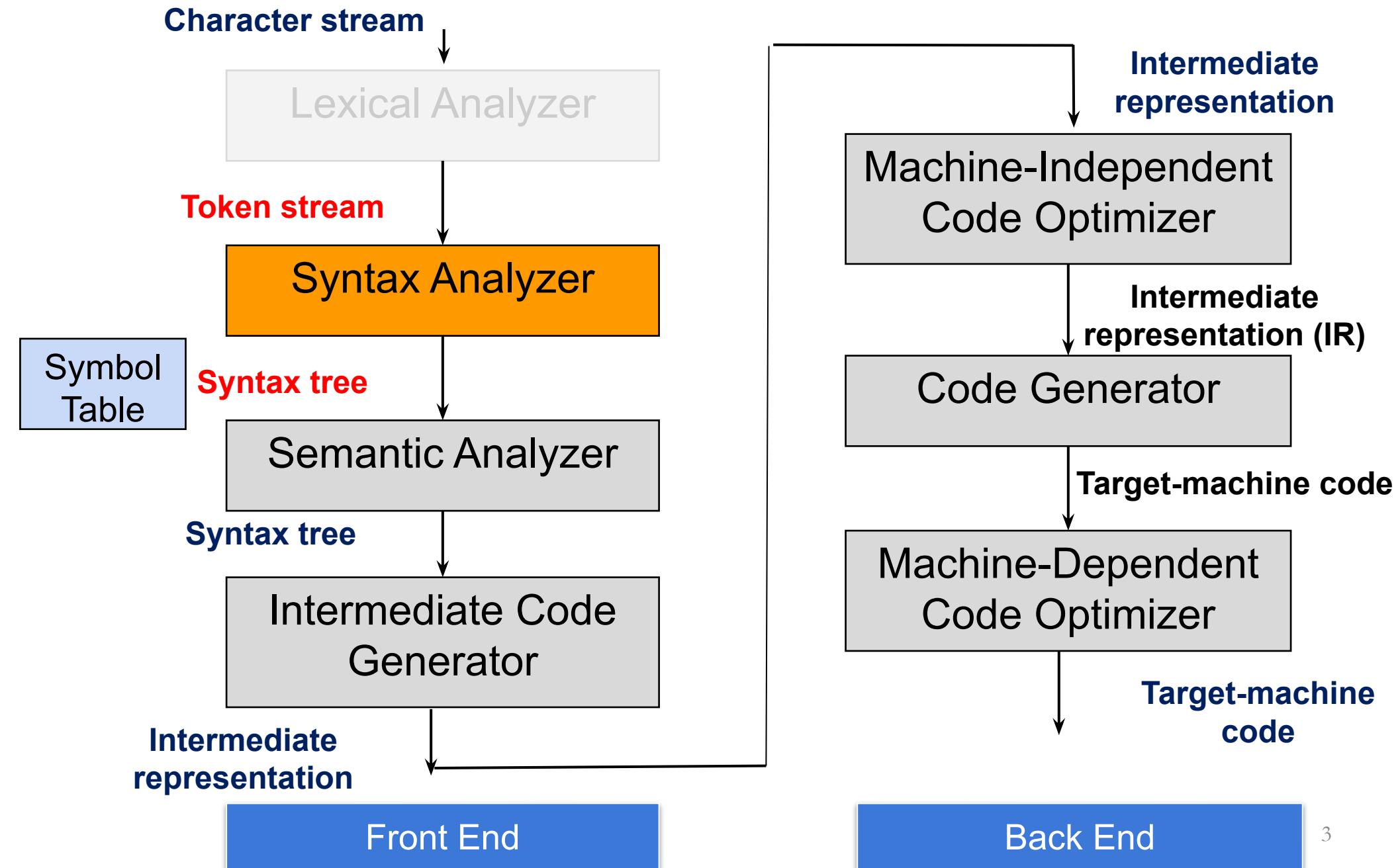
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Acknowledgement

- References for today's slides
 - *Stanford University:*
 - <https://web.stanford.edu/class/archive/cs/cs143/cs143.1128/>
 - *Lecture notes of Prof. Amey Karkare (IIT Kanpur) and Late Prof. Sanjeev Aggarwal (IIT Kanpur)*

Compiler Design



Context Free Grammar

- Write a CFG for Statement in C language.
 - Statement can be Simple stmt, If-else stmt, While stmt, do-while

CFGs for Programming Languages

STMT → EXPR ;
| if (EXPR) BLOCK
| while (EXPR) BLOCK
| do BLOCK while (EXPR) ;
| BLOCK
| ...

EXPR → identifier
| constant
| EXPR + EXPR
| EXPR - EXPR
| EXPR * EXPR
| ...

CFGs for Programming Languages

```
BLOCK → STMT
      | { STMTS }

STMTS → ε
      | STMT STMTS

STMT → EXPR ;
      | if (EXPR) BLOCK
      | while (EXPR) BLOCK
      | do BLOCK while (EXPR) ;
      | BLOCK
      |
      | ...

EXPR → identifier
      | constant
      | EXPR + EXPR
      | EXPR - EXPR
      | EXPR * EXPR
      |
      | ...
```

Some CFG Notation

- Capital letters at the beginning of the alphabet will represent nonterminals.
 - i.e. **A, B, C, D**
- Lowercase letters at the end of the alphabet will represent terminals.
 - i.e. **t, u, v, w**
- Lowercase Greek letters will represent arbitrary strings of terminals and nonterminals.
 - i.e. α, γ, ω

Derivations

E
 $\Rightarrow E \text{ Op } E$
 $\Rightarrow E \text{ Op } (E)$
 $\Rightarrow E \text{ Op } (E \text{ Op } E)$
 $\Rightarrow E * (E \text{ Op } E)$
 $\Rightarrow \text{int} * (E \text{ Op } E)$
 $\Rightarrow \text{int} * (\text{int} \text{ Op } E)$
 $\Rightarrow \text{int} * (\text{int} \text{ Op } \text{int})$
 $\Rightarrow \text{int} * (\text{int} + \text{int})$

- This sequence of steps is called a **derivation**.
- A string $aA\omega$ **yields** string $ay\omega$ iff $A \rightarrow y$ is a production.
- If a yields B , we write $a \Rightarrow B$.
- We say that a **derives** B iff there is a sequence of strings where

$$a \Rightarrow a_1 \Rightarrow a_2 \Rightarrow \dots \Rightarrow B$$

- If a derives B , we write $a \Rightarrow^* B$.

Derivations

- A **leftmost derivation** is a derivation in which each step expands the leftmost nonterminal.
- A **rightmost derivation** is a derivation in which each step expands the rightmost nonterminal.

Leftmost Derivation

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int Op } E$

$\Rightarrow \text{int } * E$

$\Rightarrow \text{int } * (E)$

$\Rightarrow \text{int } * (E \text{ Op } E)$

$\Rightarrow \text{int } * (\text{int Op } E)$

$\Rightarrow \text{int } * (\text{int } + E)$

$\Rightarrow \text{int } * (\text{int } + \text{int})$

$\Rightarrow \text{int } * (\text{int } + \text{int})$

$E \rightarrow \text{int} \mid E \text{ Op } E \mid$
 (E)

$\text{Op} \rightarrow + \mid - \mid * \mid /$

$\Rightarrow \text{int } * (\text{int } + \text{int})$

Leftmost and Rightmost Derivations

E

$$\begin{aligned}\Rightarrow & E \text{ Op } E \\ \Rightarrow & \text{int } \text{Op } E \\ \Rightarrow & \text{int } * \ E \\ \Rightarrow & \text{int } * \ (E) \\ \Rightarrow & \text{int } * \ (E \text{ Op } E) \\ \Rightarrow & \text{int } * \ (\text{int } \text{Op } E) \\ \Rightarrow & \text{int } * \ (\text{int } + E) \\ \Rightarrow & \text{int } * \ (\text{int } + \text{int})\end{aligned}$$

Leftmost Derivation

E

$$\begin{aligned}\Rightarrow & E \text{ Op } E \\ \Rightarrow & E \text{ Op } (\text{int}) \\ \Rightarrow & E \text{ Op } (\text{int Op } E) \\ \Rightarrow & E \text{ Op } (\text{int Op int}) \\ \Rightarrow & E \text{ Op } (\text{int } + \text{int}) \\ \Rightarrow & E \ * \ (\text{int } + \text{int}) \\ \Rightarrow & \text{int } * \ (\text{int } + \text{int})\end{aligned}$$

Rightmost Derivation

Leftmost Derivations

BLOCK →	STMT	Can you derive id = id + constant;
	{ STMTS }	
STMTS →	ϵ	⇒ BLOCK
	STMT STMTS	⇒ STMT
STMT →	EXPR ;	⇒ EXPR ;
	if (EXPR) BLOCK	⇒ EXPR = EXPR ;
	while (EXPR) BLOCK	⇒ id = EXPR ;
	do BLOCK while (EXPR) ;	⇒ id = EXPR + EXPR ;
	BLOCK	⇒ id = id + EXPR ;
	...	⇒ id = id + constant ;
EXPR →	identifier	
	constant	
	EXPR + EXPR	
	EXPR - EXPR	
	EXPR * EXPR	
	EXPR = EXPR	
	...	

Derivations

- A derivation encodes two pieces of information:
 - What productions were applied produce the resulting string from the start symbol?
 - In what order were they applied?
- Multiple derivations might use the same productions, but apply them in a different order.
- Encoding the derivation steps in a tree leads to **parse-tree**.

Parse Trees

⇒ int * (int + int)

E

Parse Trees

⇒ int * (int + int)

E

E

Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

E

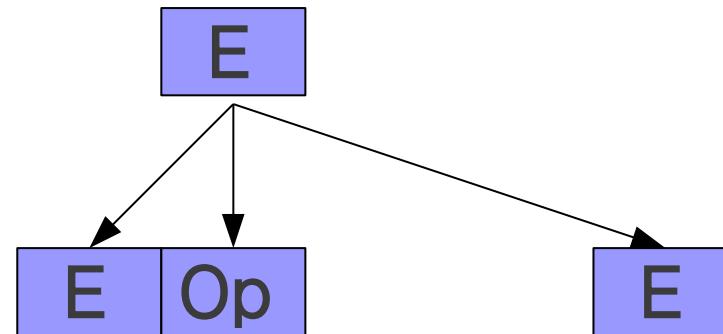
$\Rightarrow E \text{ Op } E$

Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$



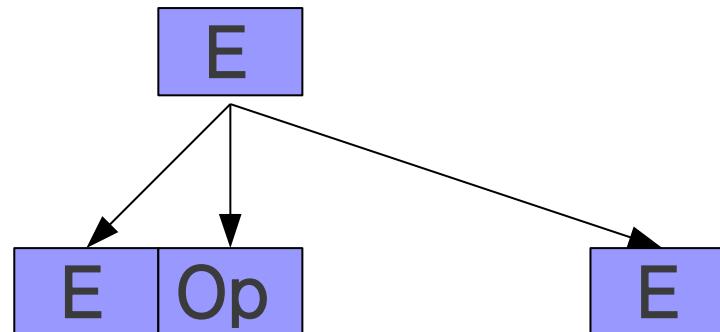
Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int} \text{ Op } E$



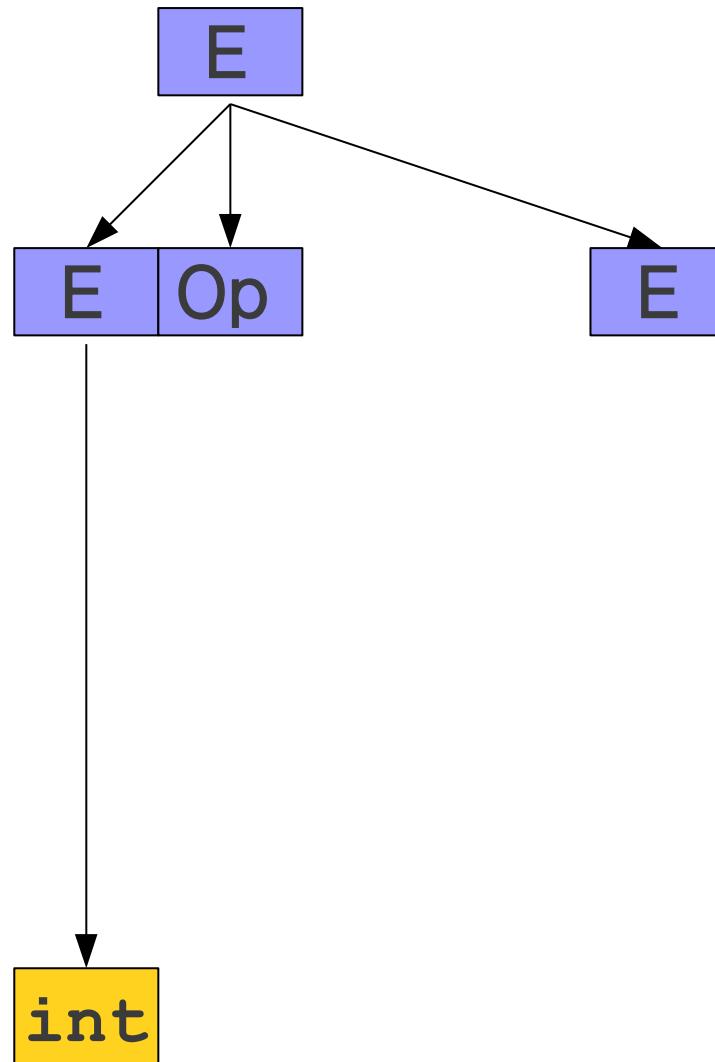
Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int} \text{ Op } E$



Parse Trees

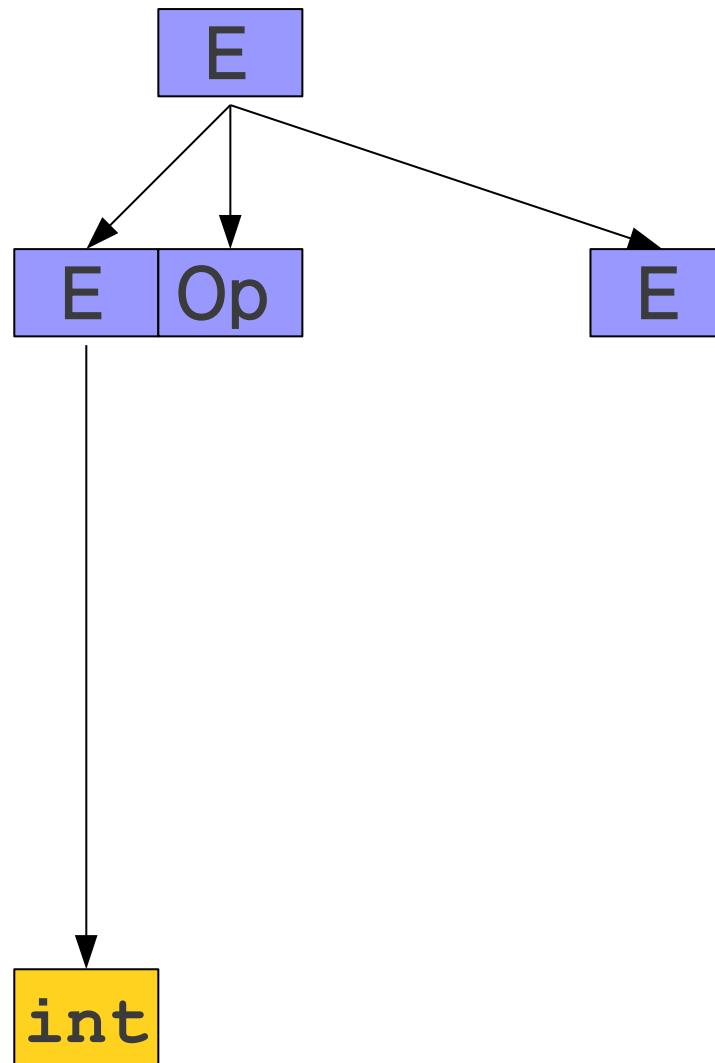
$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int} \text{ Op } E$

$\Rightarrow \text{int} * E$



Parse Trees

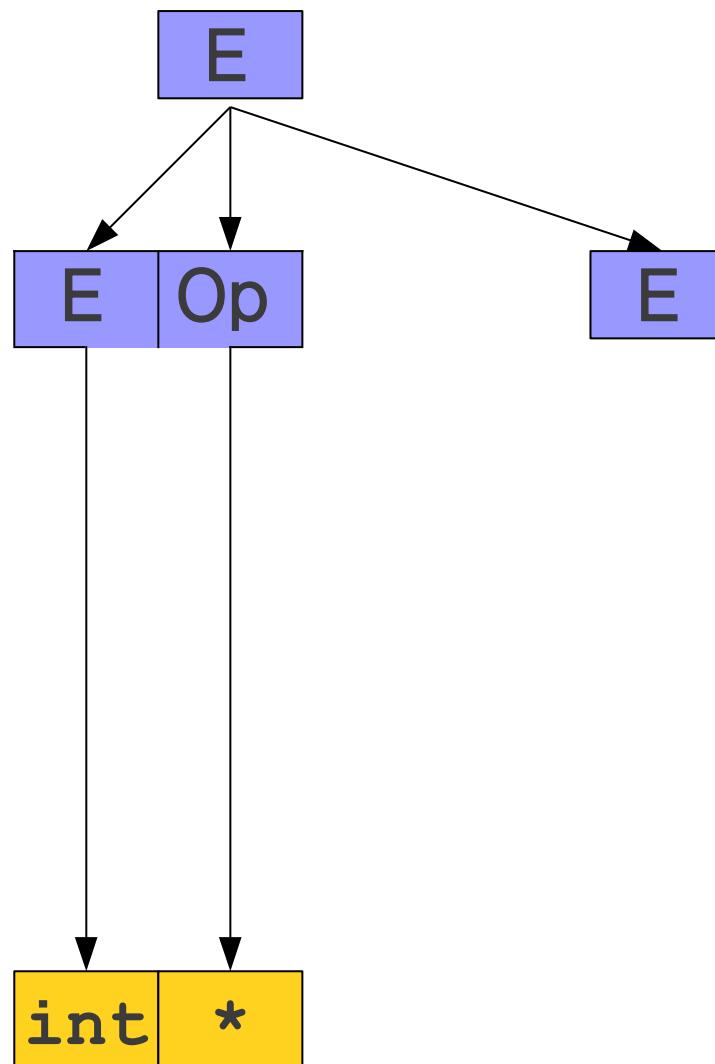
$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int} \text{ Op } E$

$\Rightarrow \text{int} * E$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

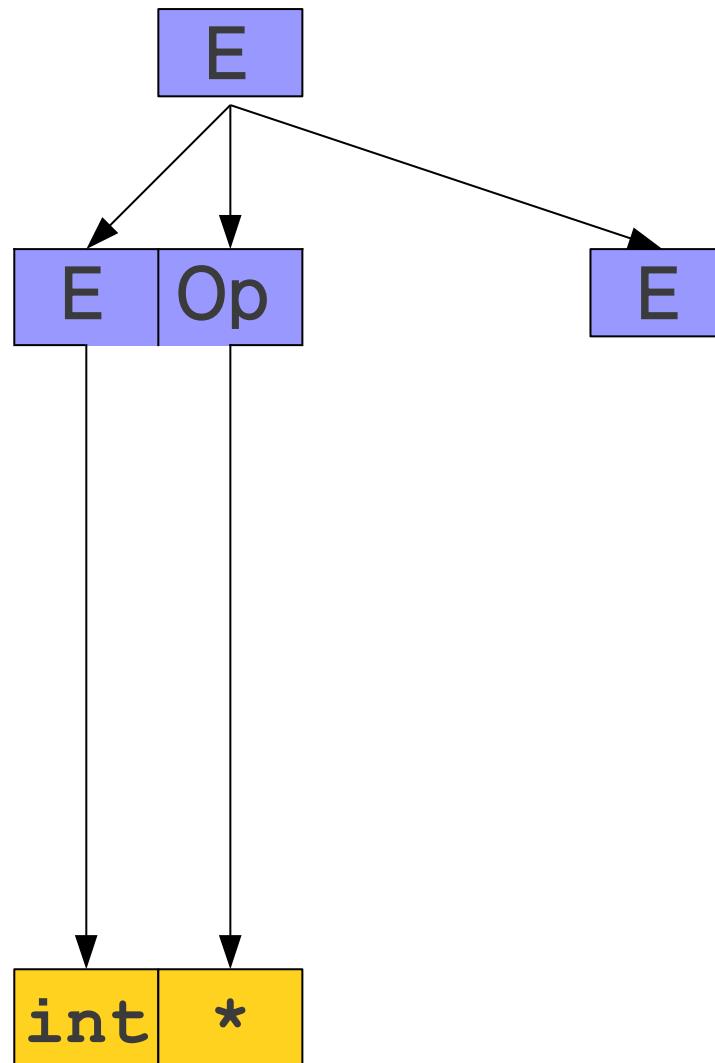
E

$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int} \text{ Op } E$

$\Rightarrow \text{int} * E$

$\Rightarrow \text{int} * (E)$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

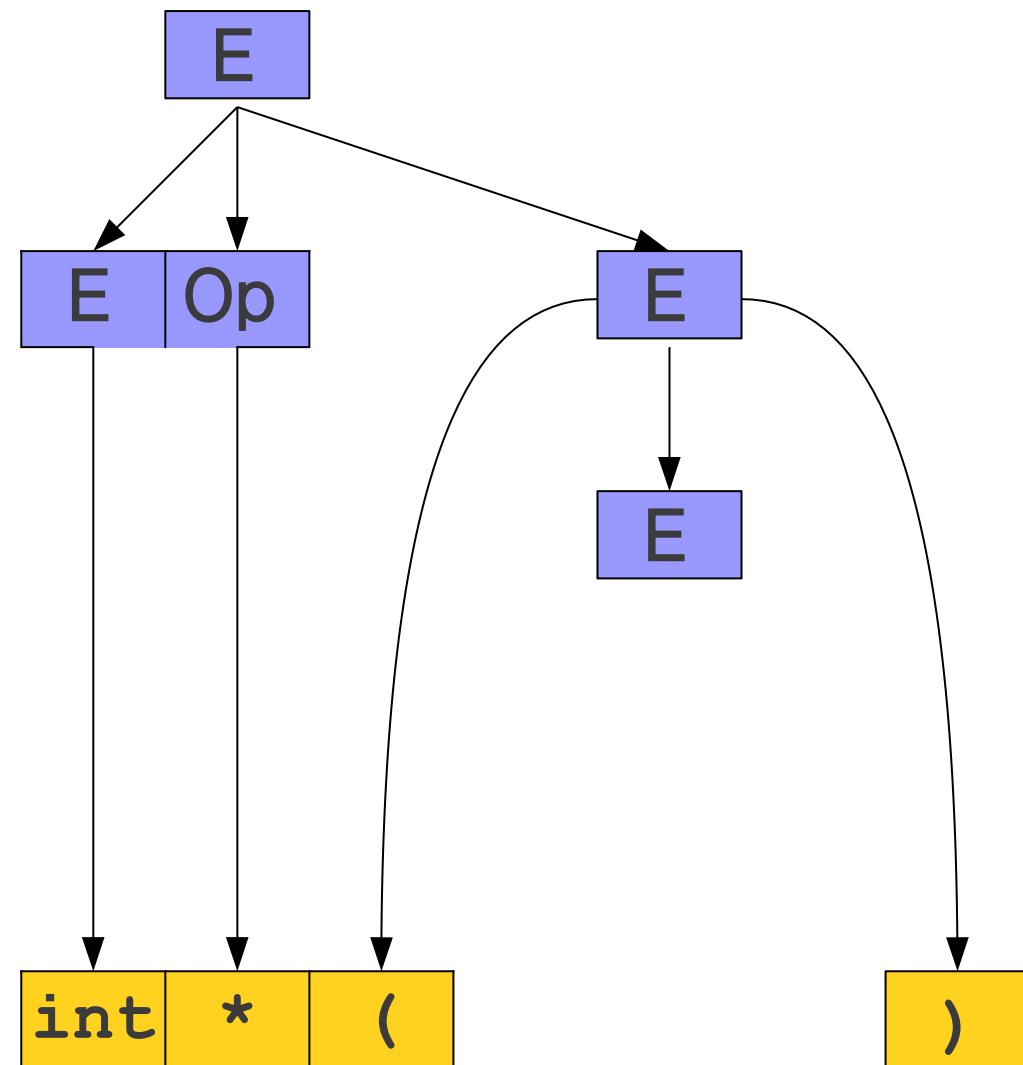
E

$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int} \text{ Op } E$

$\Rightarrow \text{int} * E$

$\Rightarrow \text{int} * (E)$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

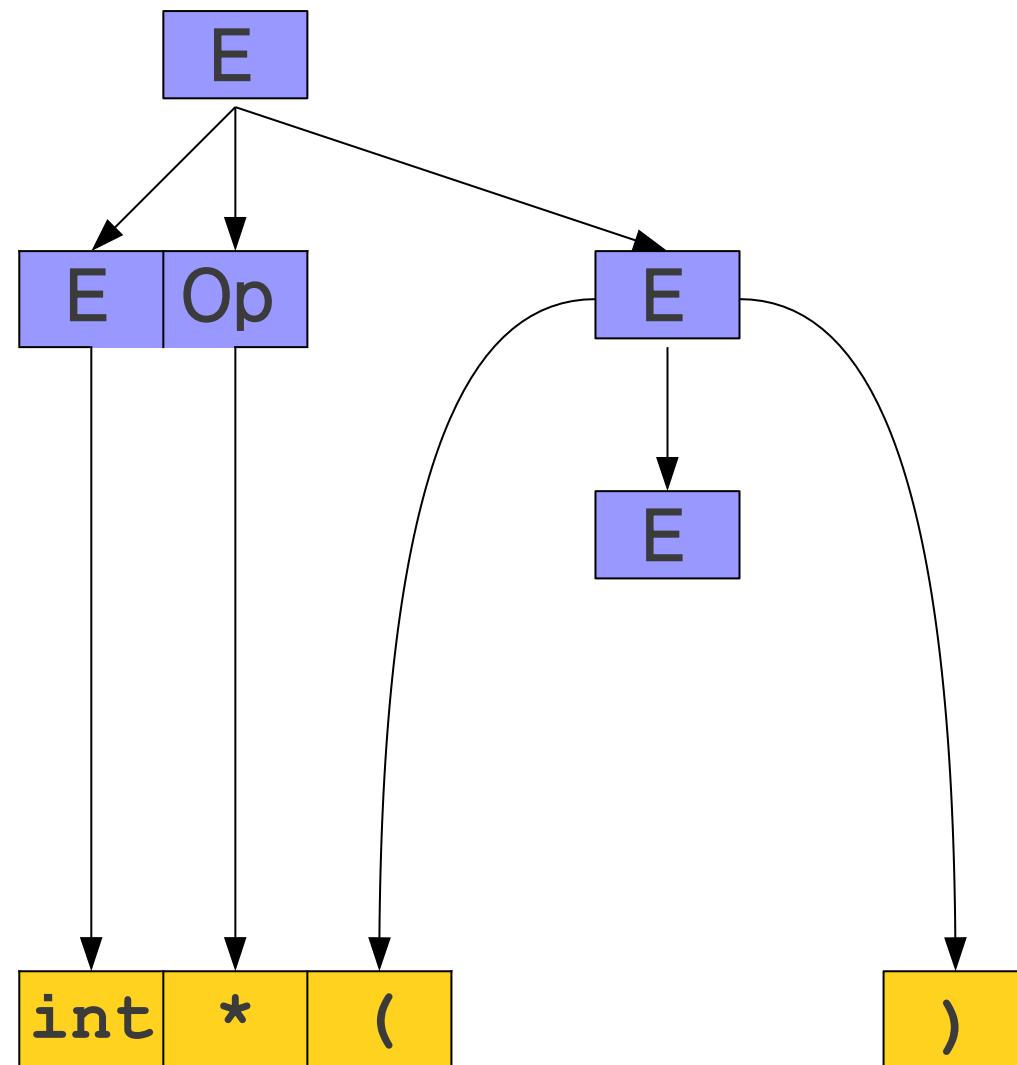
$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int} \text{ Op } E$

$\Rightarrow \text{int} * E$

$\Rightarrow \text{int} * (E)$

$\Rightarrow \text{int} * (E \text{ Op } E)$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

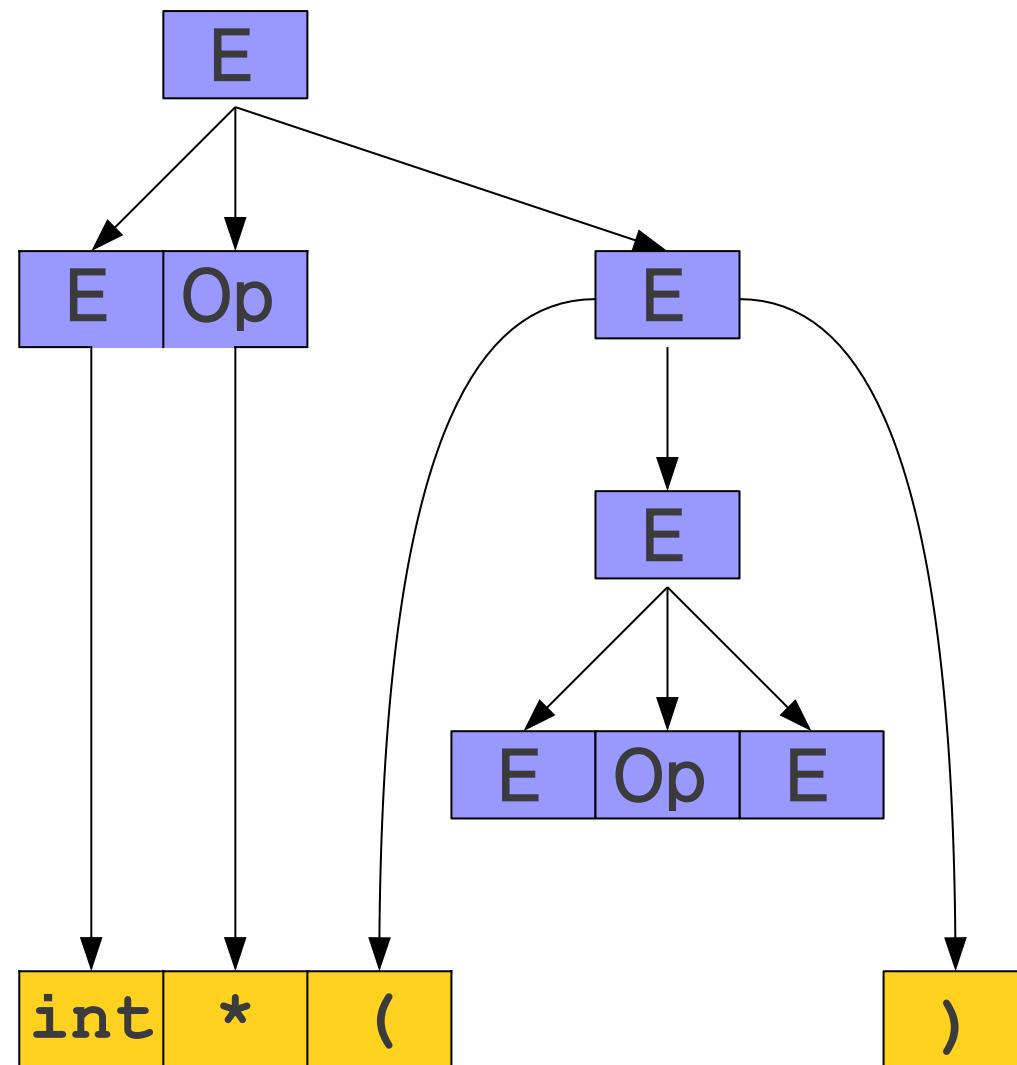
$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int} \text{ Op } E$

$\Rightarrow \text{int} * E$

$\Rightarrow \text{int} * (E)$

$\Rightarrow \text{int} * (E \text{ Op } E)$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

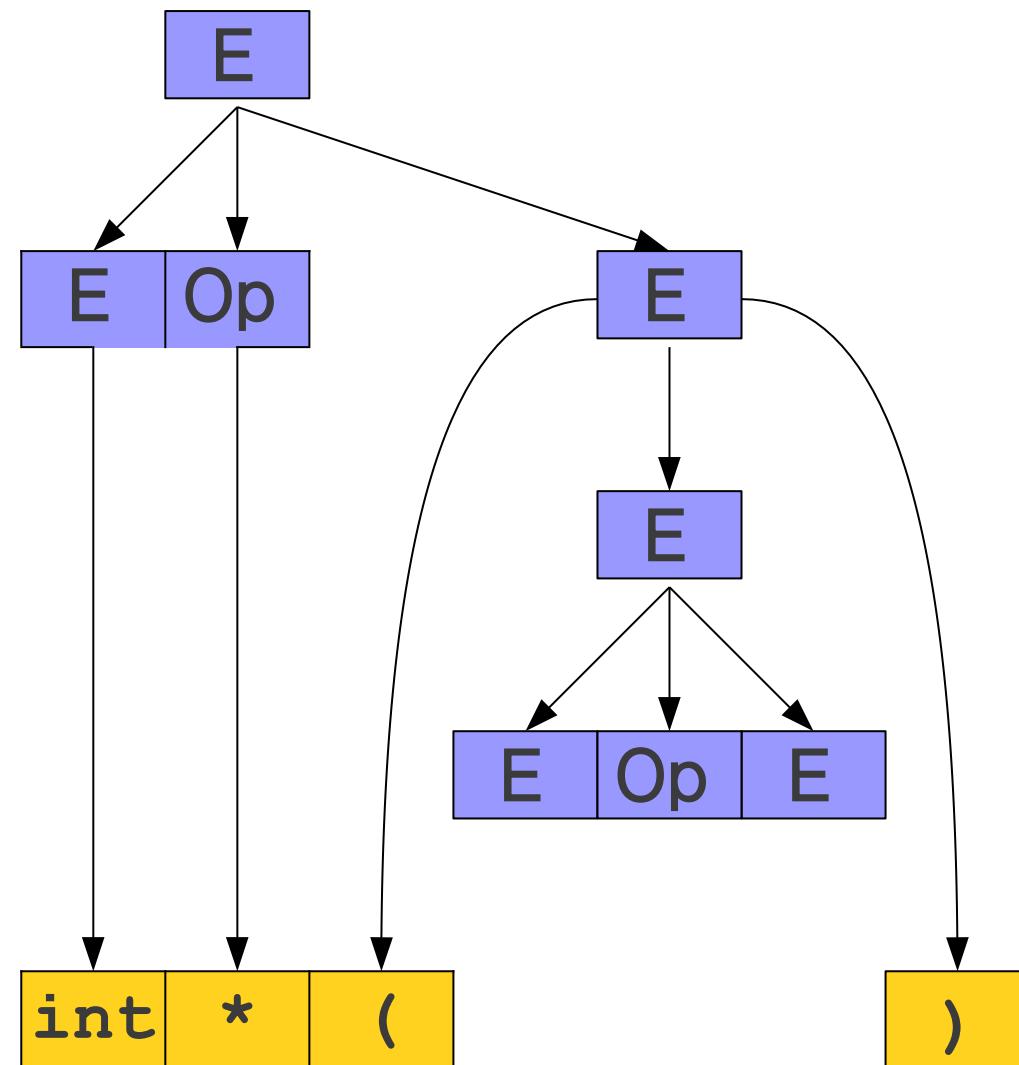
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$\Rightarrow \text{int} * E$

$\Rightarrow \text{int} * (E)$

$\Rightarrow \text{int} * (E \text{ Op } E)$

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Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

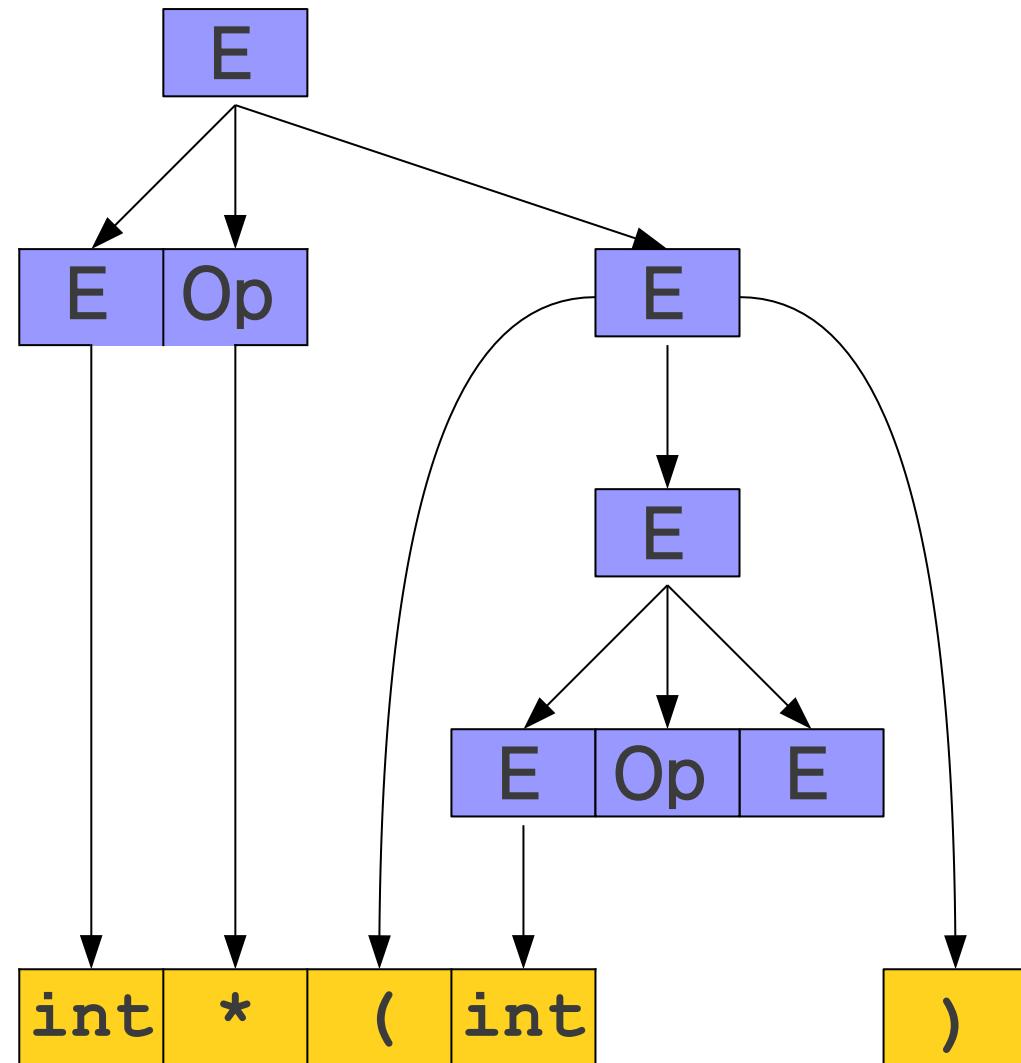
$\Rightarrow \text{int} \text{ Op } E$

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$\Rightarrow \text{int} * (E \text{ Op } E)$

$\Rightarrow \text{int} * (\text{int} \text{ Op } E)$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int} \text{ Op } E$

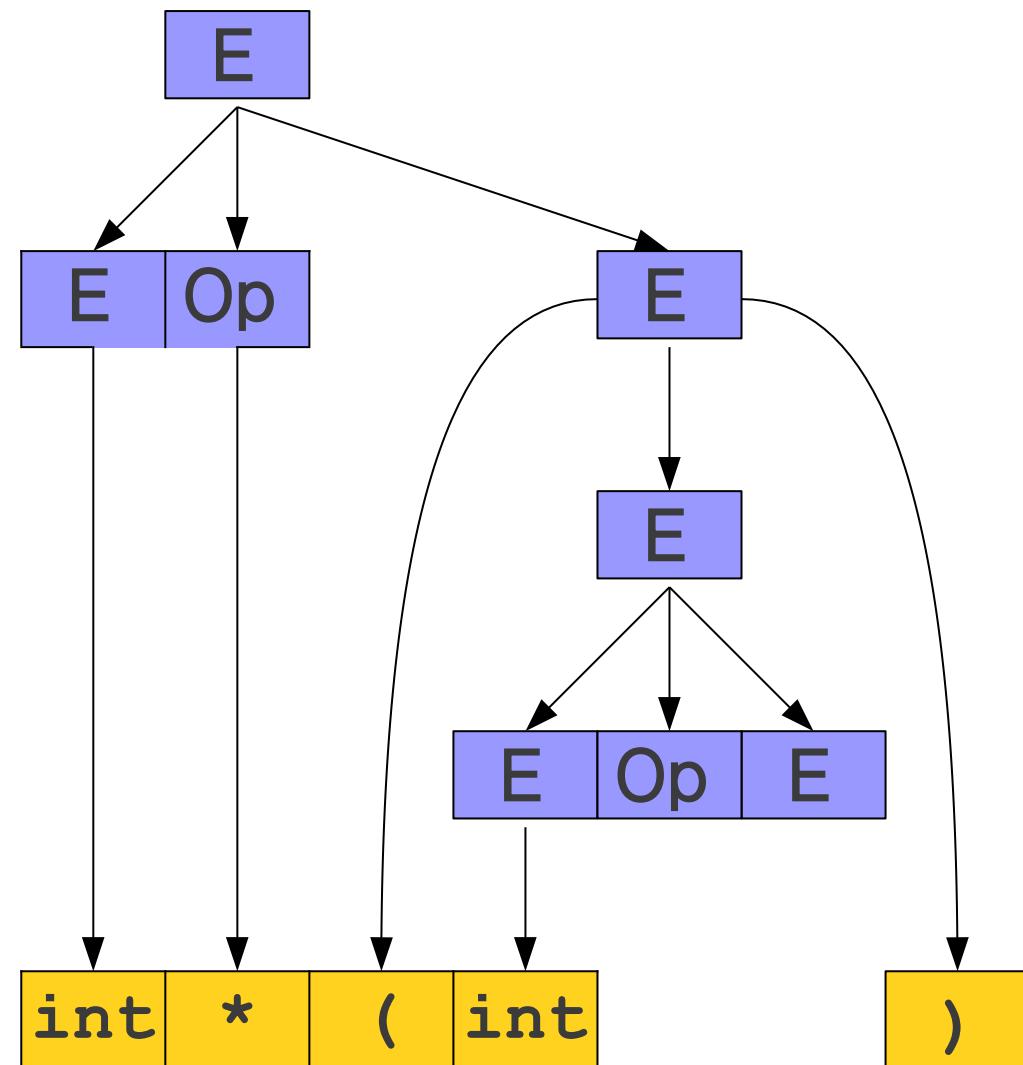
$\Rightarrow \text{int} * E$

$\Rightarrow \text{int} * (E)$

$\Rightarrow \text{int} * (E \text{ Op } E)$

$\Rightarrow \text{int} * (\text{int} \text{ Op } E)$

$\Rightarrow \text{int} * (\text{int} + E)$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int} \text{ Op } E$

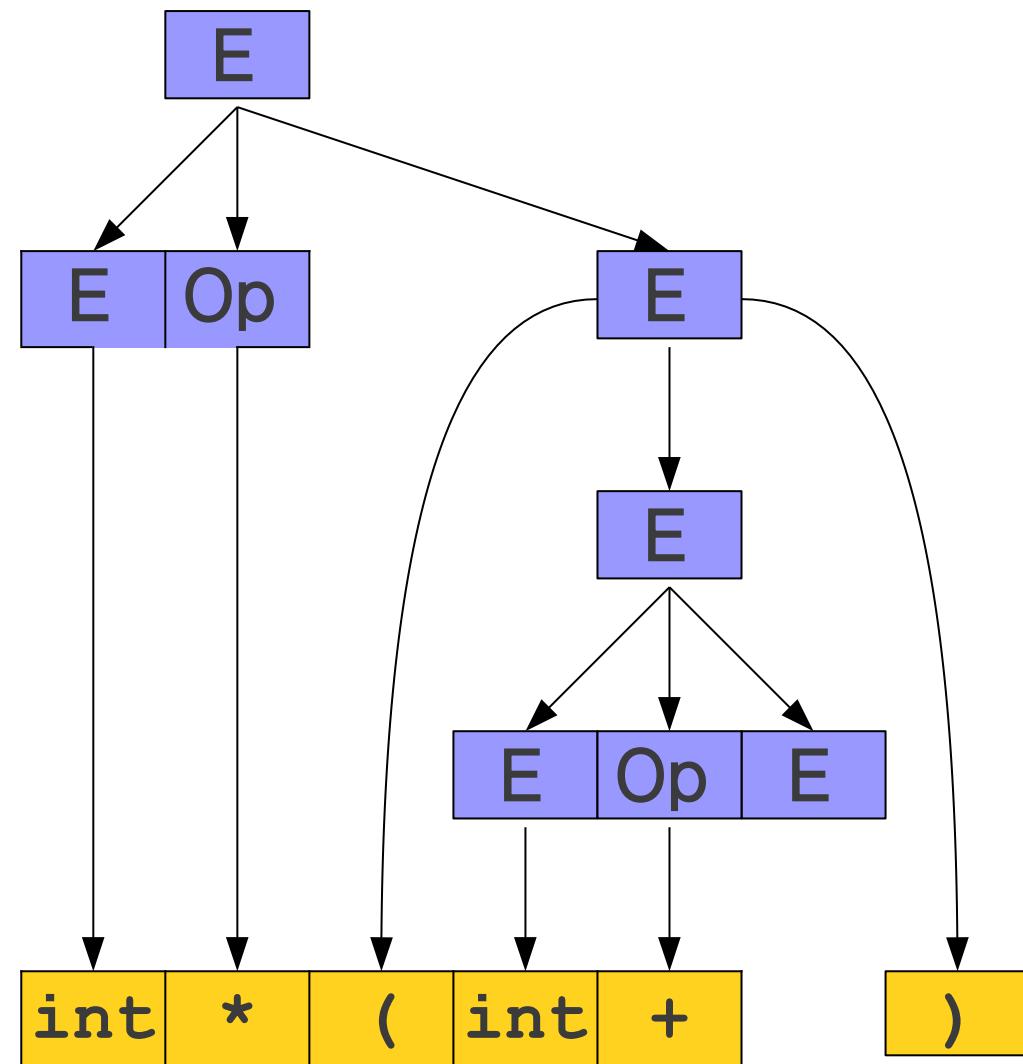
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$\Rightarrow \text{int} * (E)$

$\Rightarrow \text{int} * (E \text{ Op } E)$

$\Rightarrow \text{int} * (\text{int} \text{ Op } E)$

$\Rightarrow \text{int} * (\text{int} + E)$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int} \text{ Op } E$

$\Rightarrow \text{int} * E$

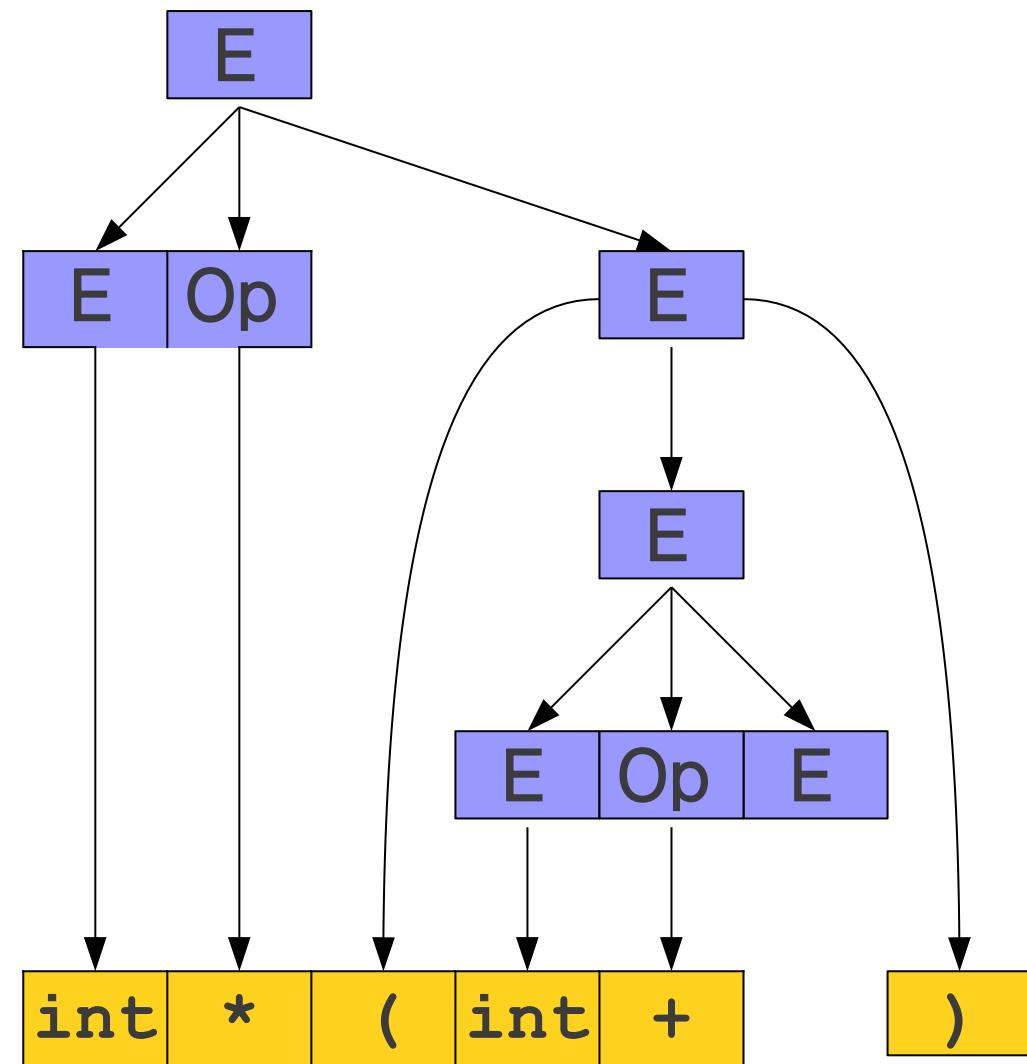
$\Rightarrow \text{int} * (E)$

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$\Rightarrow \text{int} * (\text{int} + E)$

$\Rightarrow \text{int} * (\text{int} + \text{int})$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow \text{int} \text{ Op } E$

$\Rightarrow \text{int} * E$

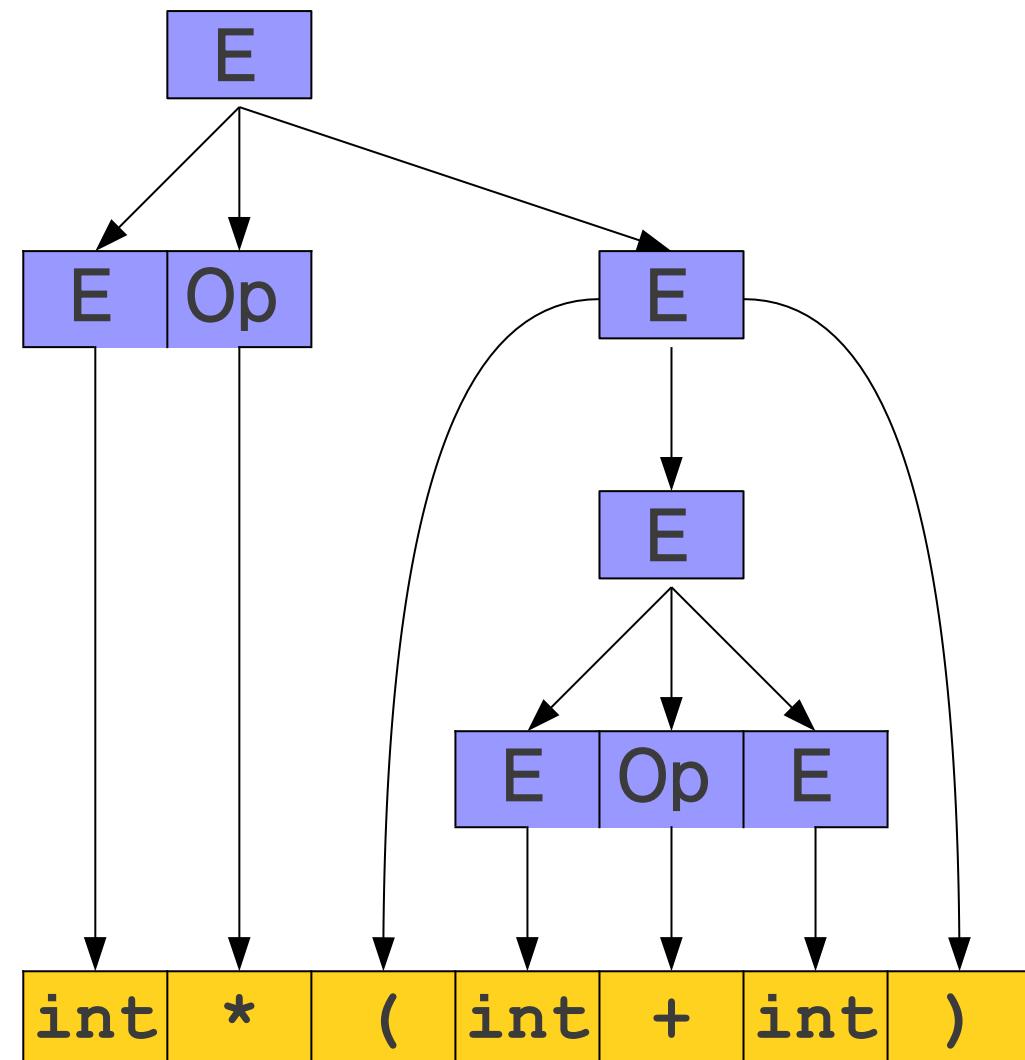
$\Rightarrow \text{int} * (E)$

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$\Rightarrow \text{int} * (\text{int} \text{ Op } E)$

$\Rightarrow \text{int} * (\text{int} + E)$

$\Rightarrow \text{int} * (\text{int} + \text{int})$



Parse Trees

⇒ int * (int + int)

E

Parse Trees

⇒ int * (int + int)

E

E

Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

E

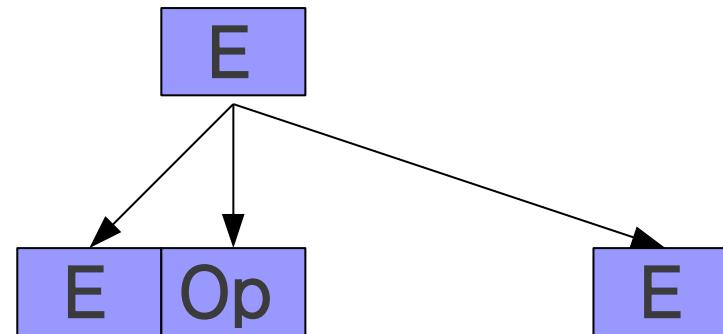
$\Rightarrow E \text{ Op } E$

Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$



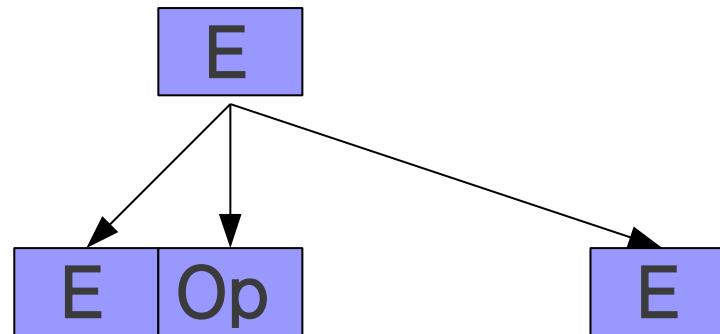
Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow E \text{ Op } (E)$



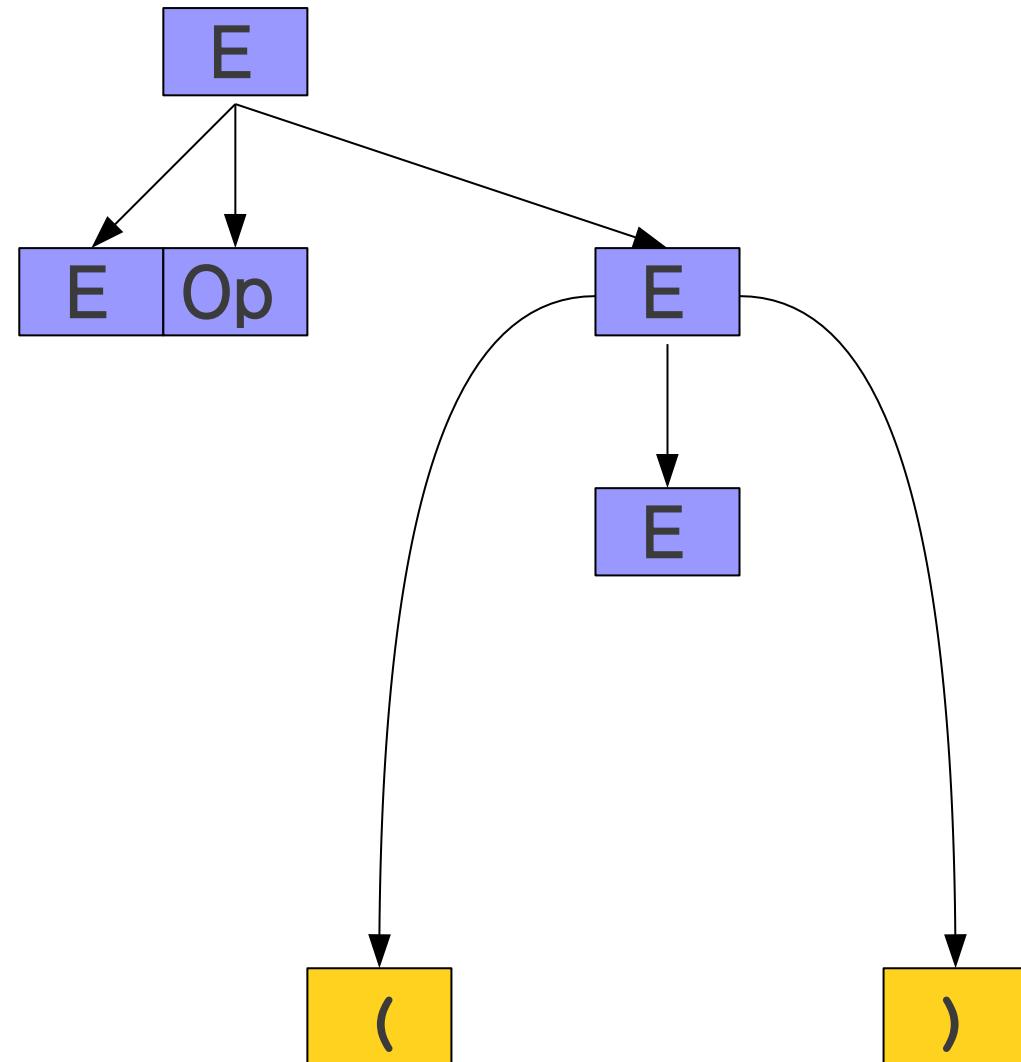
Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow E \text{ Op } (E)$



Parse Trees

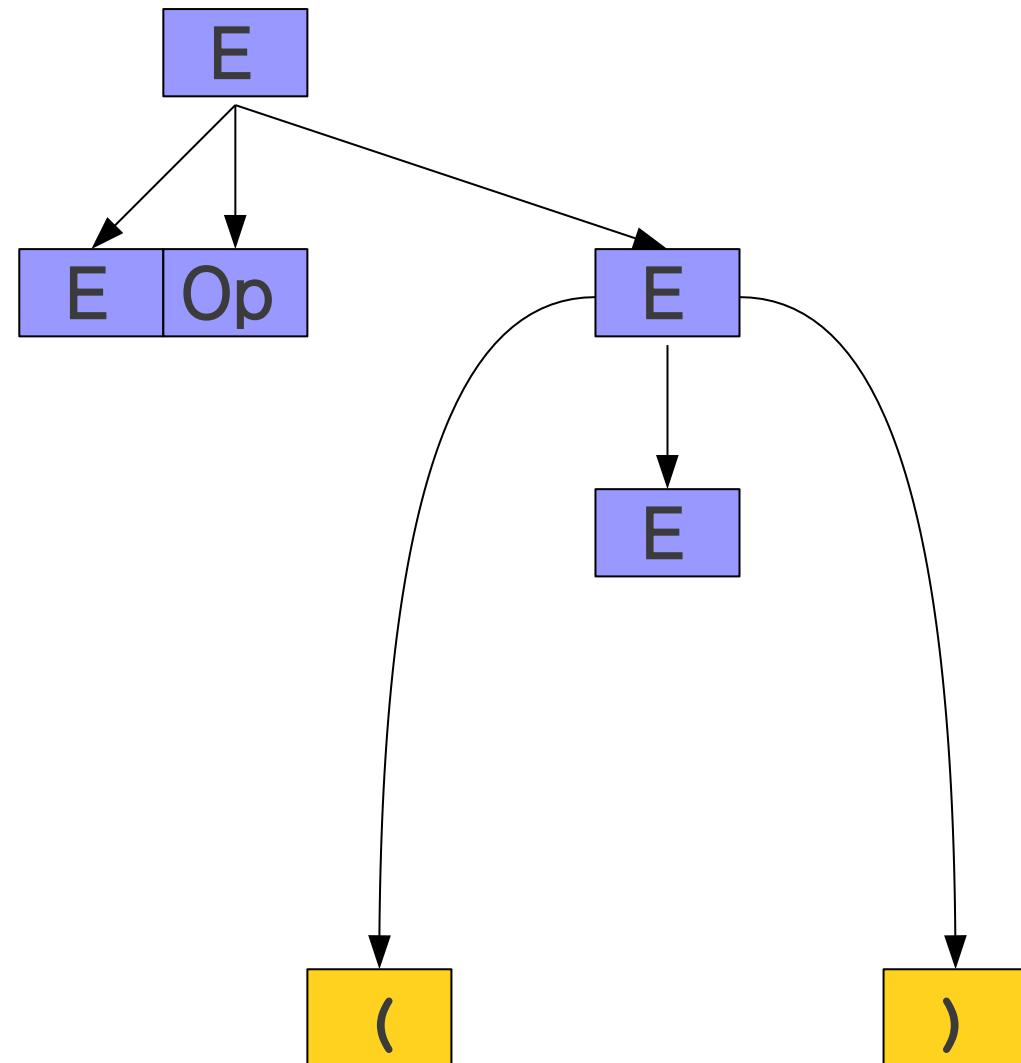
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$\Rightarrow E \text{ Op } E$

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Parse Trees

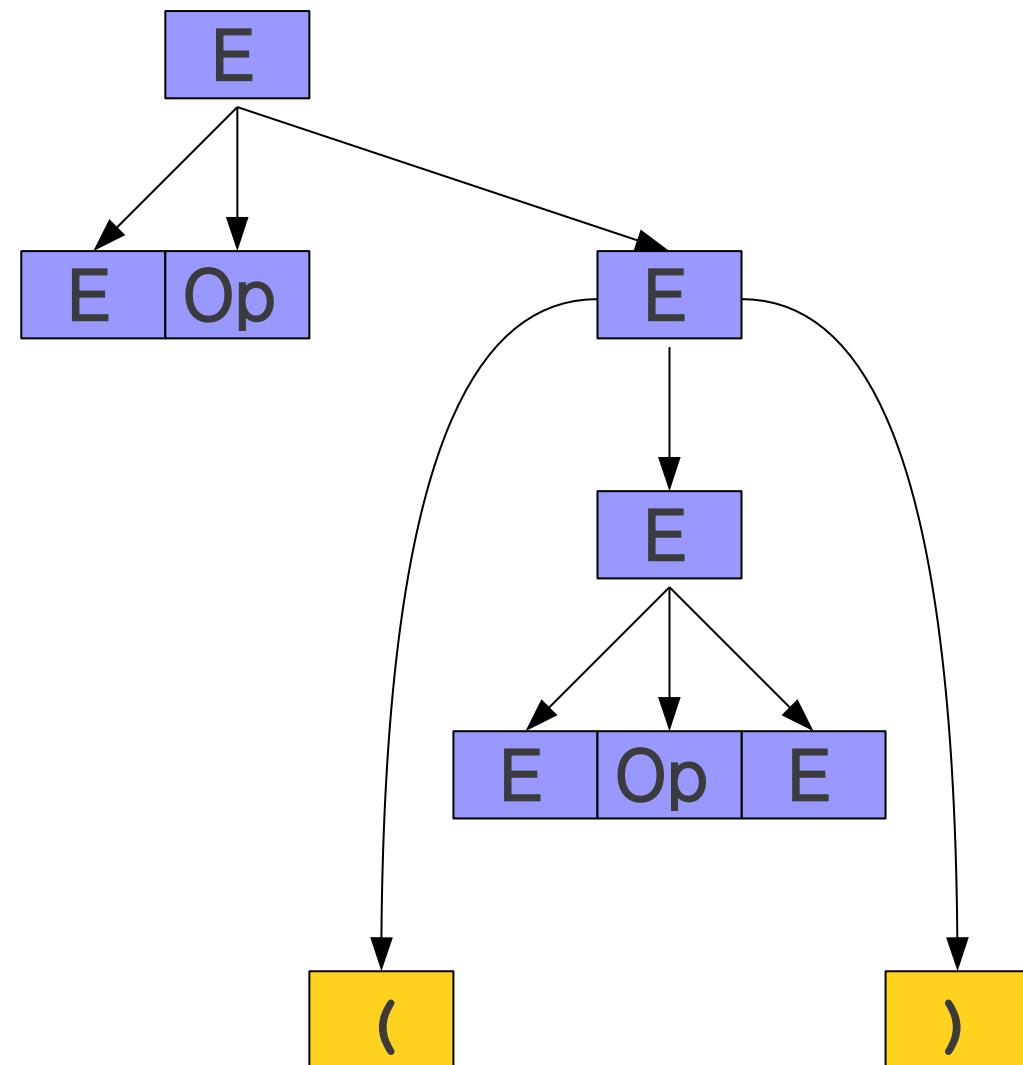
$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

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Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

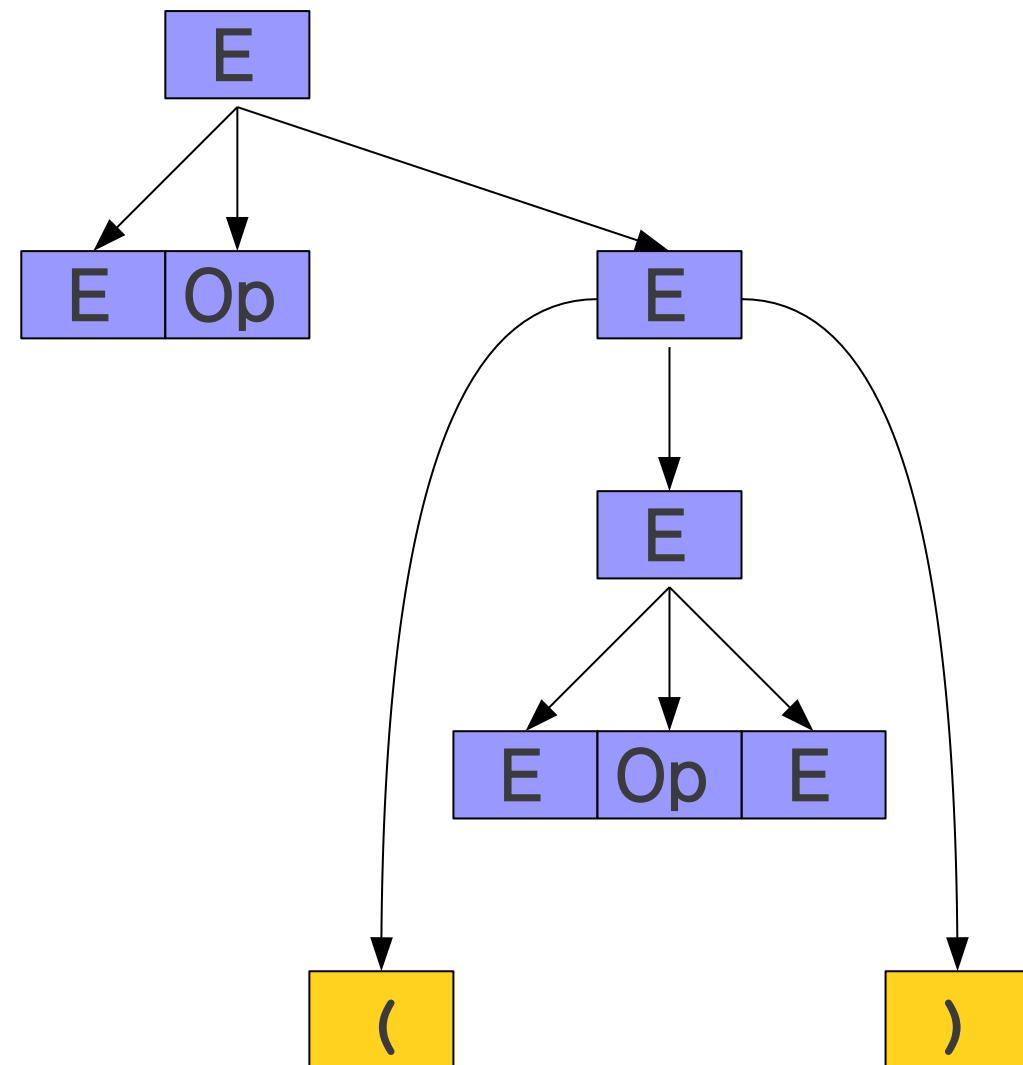
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$\Rightarrow E \text{ Op } (E \text{ Op } E)$

$\Rightarrow E \text{ Op } (E \text{ Op } \text{int})$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

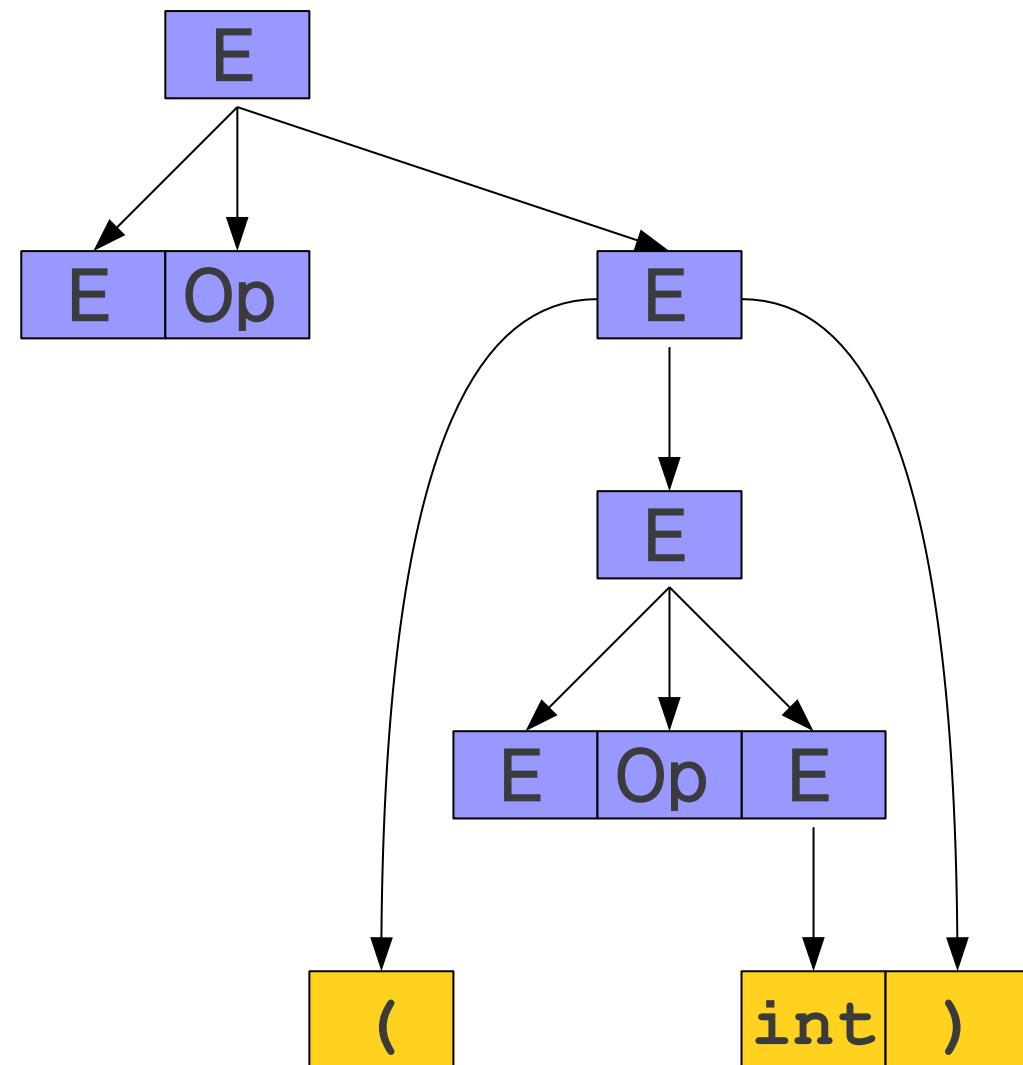
E

$\Rightarrow E \text{ Op } E$

$\Rightarrow E \text{ Op } (E)$

$\Rightarrow E \text{ Op } (E \text{ Op } E)$

$\Rightarrow E \text{ Op } (E \text{ Op } \text{int})$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

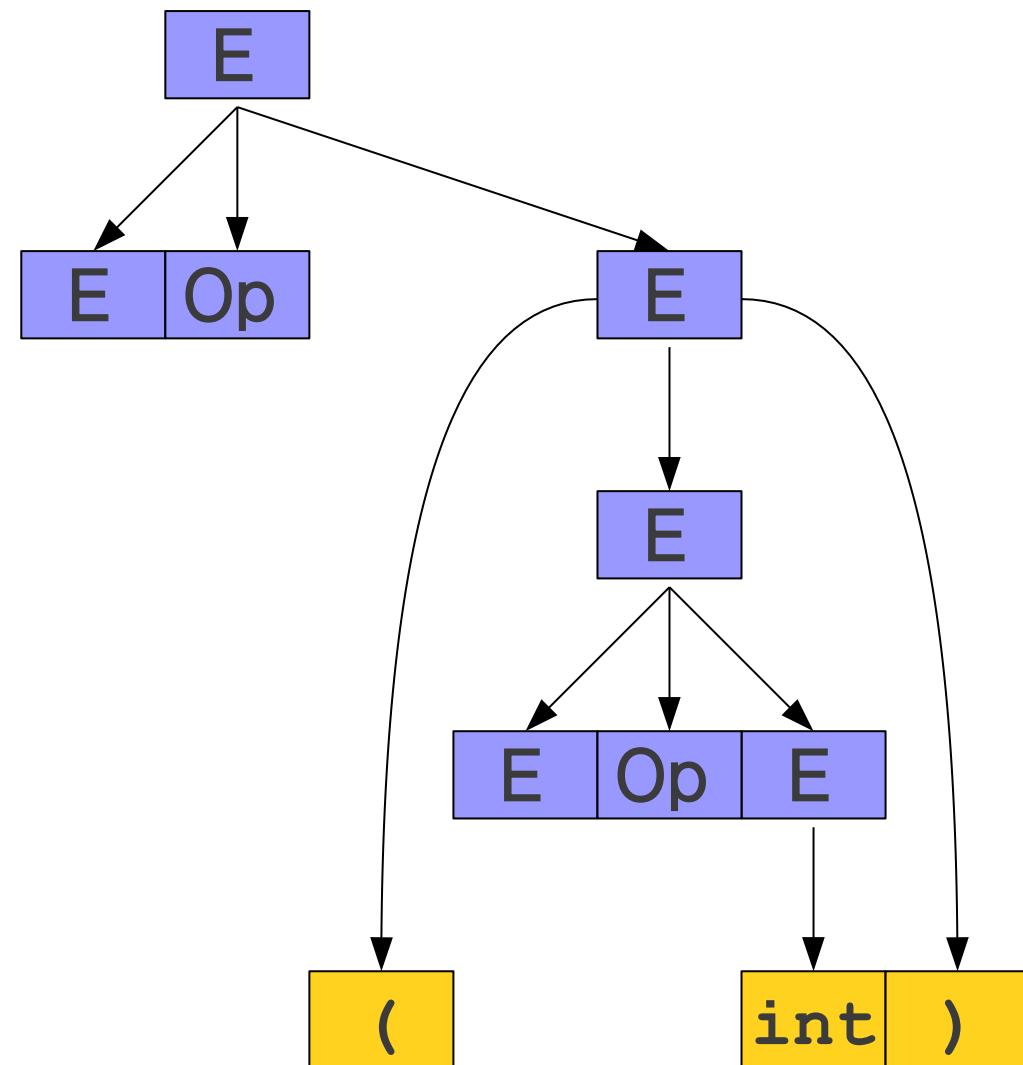
$\Rightarrow E \text{ Op } E$

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$\Rightarrow E \text{ Op } (E \text{ Op } E)$

$\Rightarrow E \text{ Op } (E \text{ Op } \text{int})$

$\Rightarrow E \text{ Op } (E + \text{int})$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

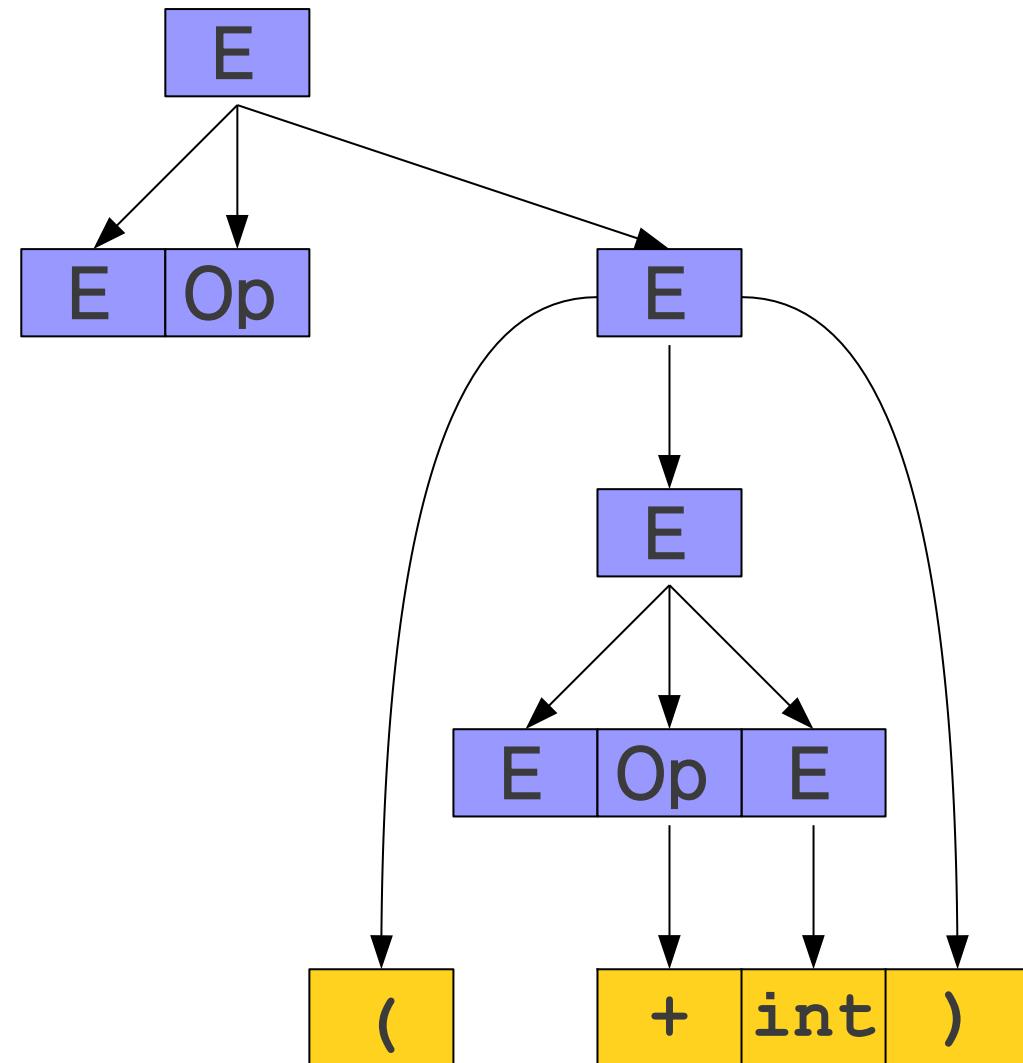
$\Rightarrow E \text{ Op } E$

$\Rightarrow E \text{ Op } (E)$

$\Rightarrow E \text{ Op } (E \text{ Op } E)$

$\Rightarrow E \text{ Op } (E \text{ Op } \text{int})$

$\Rightarrow E \text{ Op } (E + \text{int})$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

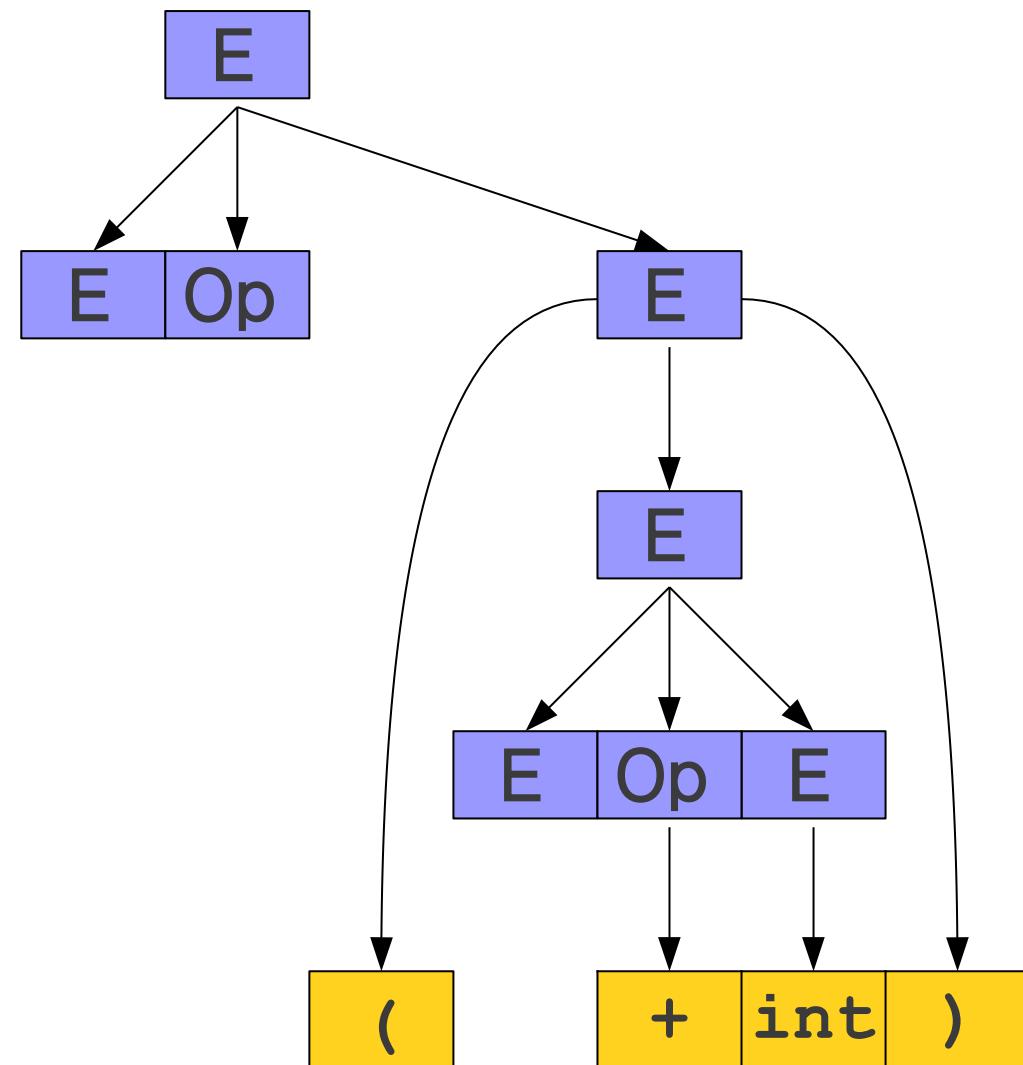
$\Rightarrow E \text{ Op } (E)$

$\Rightarrow E \text{ Op } (E \text{ Op } E)$

$\Rightarrow E \text{ Op } (E \text{ Op } \text{int})$

$\Rightarrow E \text{ Op } (E + \text{int})$

$\Rightarrow E \text{ Op } (\text{int} + \text{int})$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

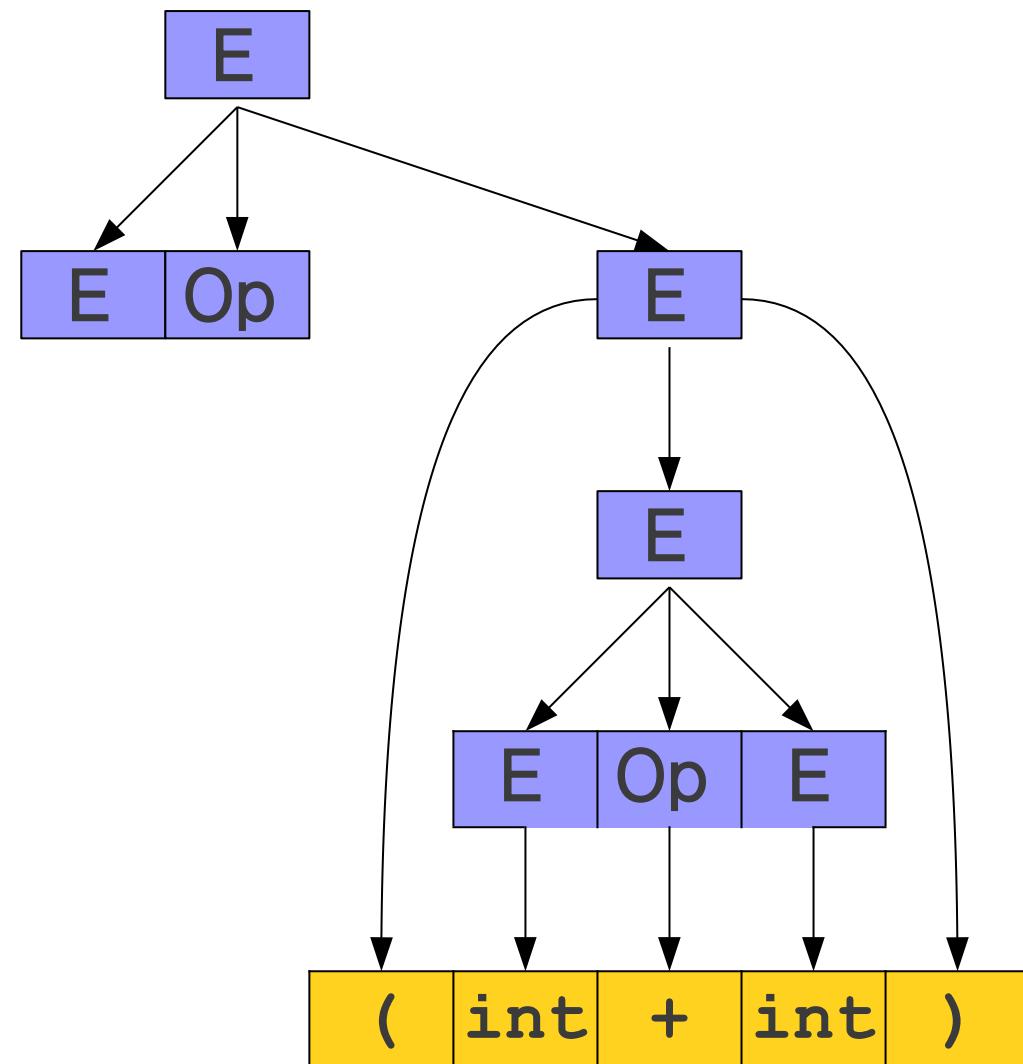
$\Rightarrow E \text{ Op } (E)$

$\Rightarrow E \text{ Op } (E \text{ Op } E)$

$\Rightarrow E \text{ Op } (E \text{ Op } \text{int})$

$\Rightarrow E \text{ Op } (E + \text{int})$

$\Rightarrow E \text{ Op } (\text{int} + \text{int})$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow E \text{ Op } (E)$

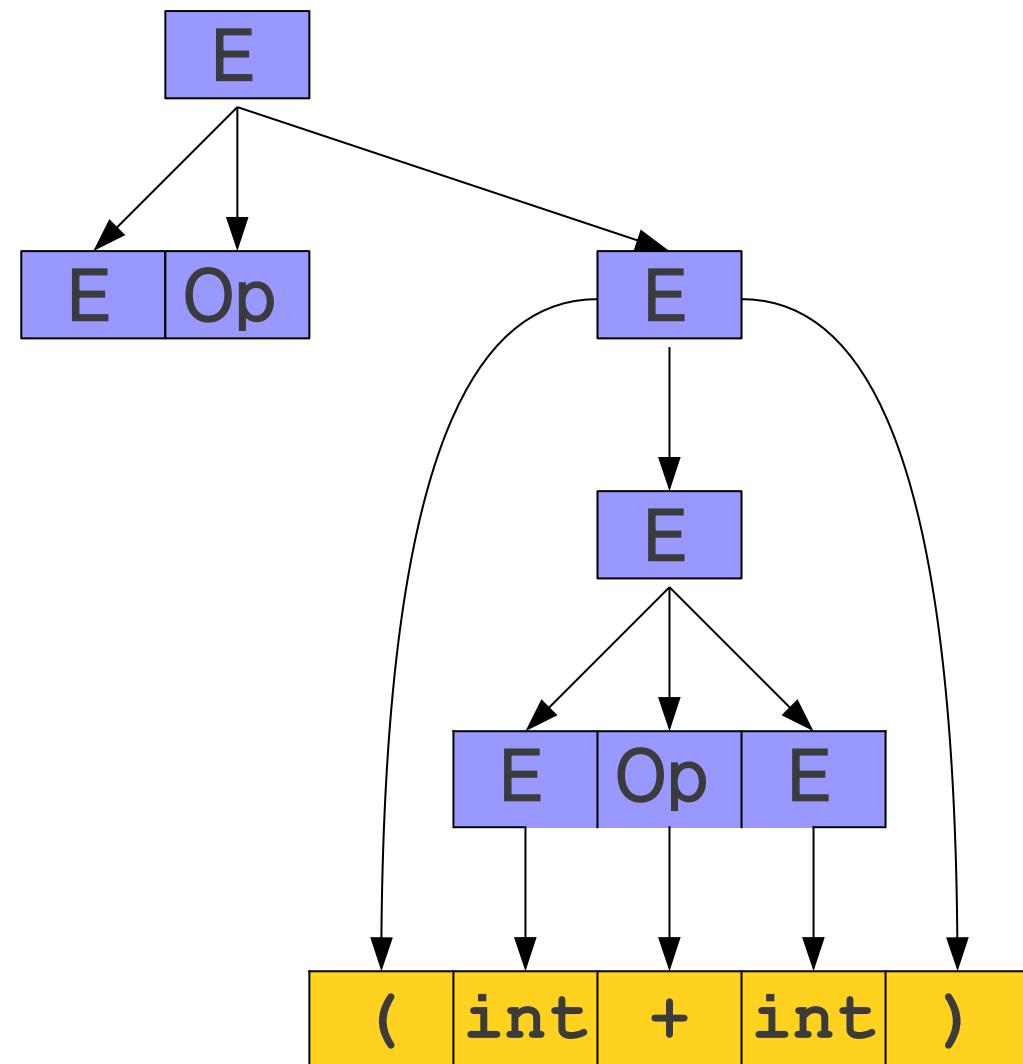
$\Rightarrow E \text{ Op } (E \text{ Op } E)$

$\Rightarrow E \text{ Op } (E \text{ Op } \text{int})$

$\Rightarrow E \text{ Op } (E + \text{int})$

$\Rightarrow E \text{ Op } (\text{int} + \text{int})$

$\Rightarrow E * (\text{int} + \text{int})$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow E \text{ Op } (E)$

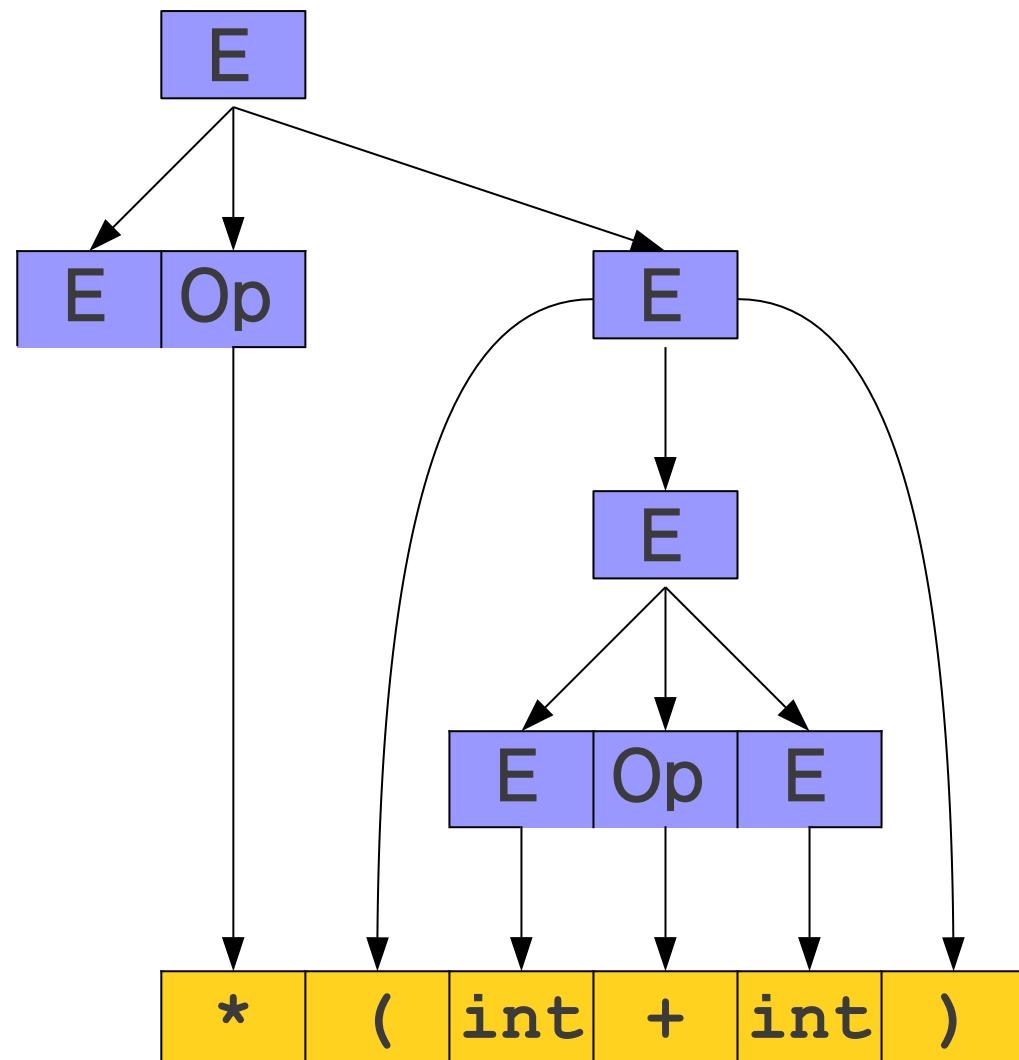
$\Rightarrow E \text{ Op } (E \text{ Op } E)$

$\Rightarrow E \text{ Op } (E \text{ Op } \text{int})$

$\Rightarrow E \text{ Op } (E + \text{int})$

$\Rightarrow E \text{ Op } (\text{int} + \text{int})$

$\Rightarrow E * (\text{int} + \text{int})$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow E \text{ Op } (E)$

$\Rightarrow E \text{ Op } (E \text{ Op } E)$

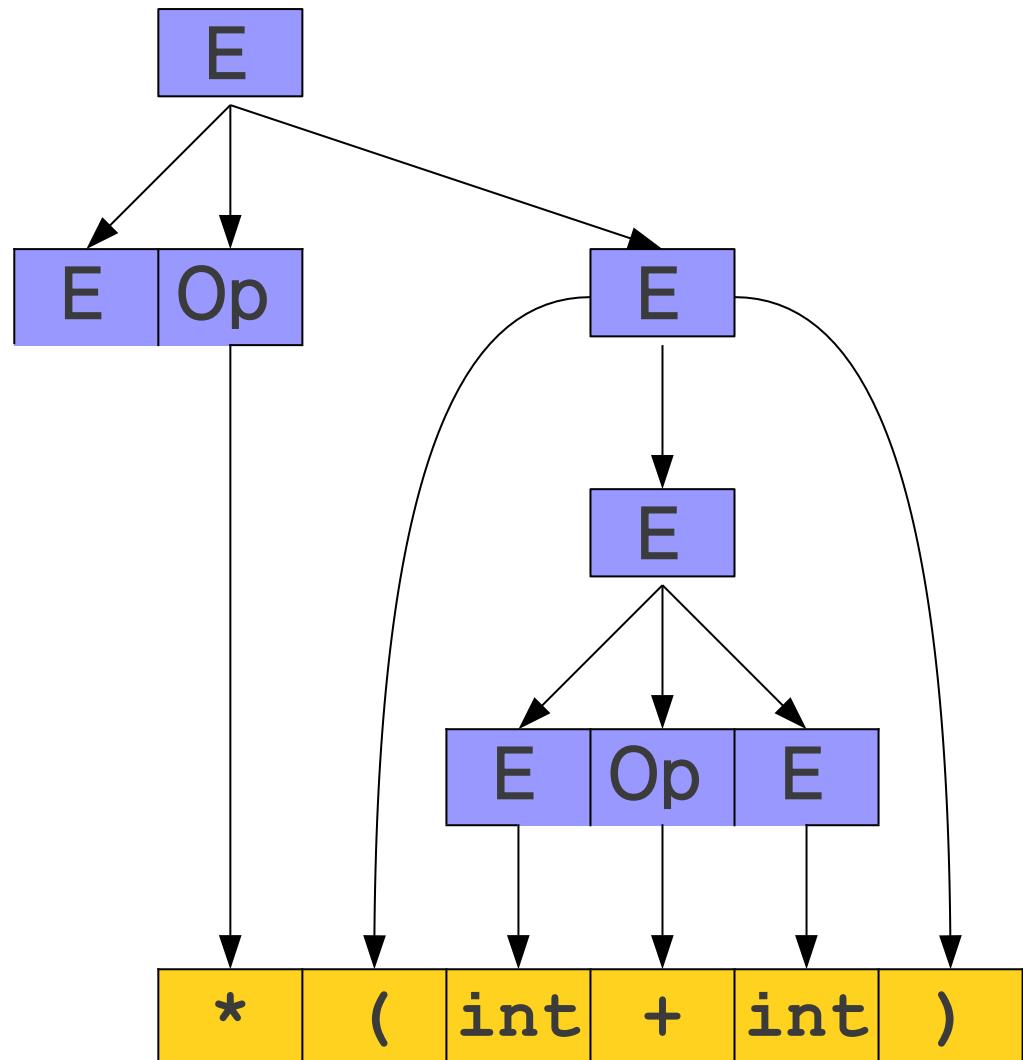
$\Rightarrow E \text{ Op } (E \text{ Op } \text{int})$

$\Rightarrow E \text{ Op } (E + \text{int})$

$\Rightarrow E \text{ Op } (\text{int} + \text{int})$

$\Rightarrow E * (\text{int} + \text{int})$

$\Rightarrow \text{int} * (\text{int} + \text{int})$



Parse Trees

$\Rightarrow \text{int} * (\text{int} + \text{int})$

E

$\Rightarrow E \text{ Op } E$

$\Rightarrow E \text{ Op } (E)$

$\Rightarrow E \text{ Op } (E \text{ Op } E)$

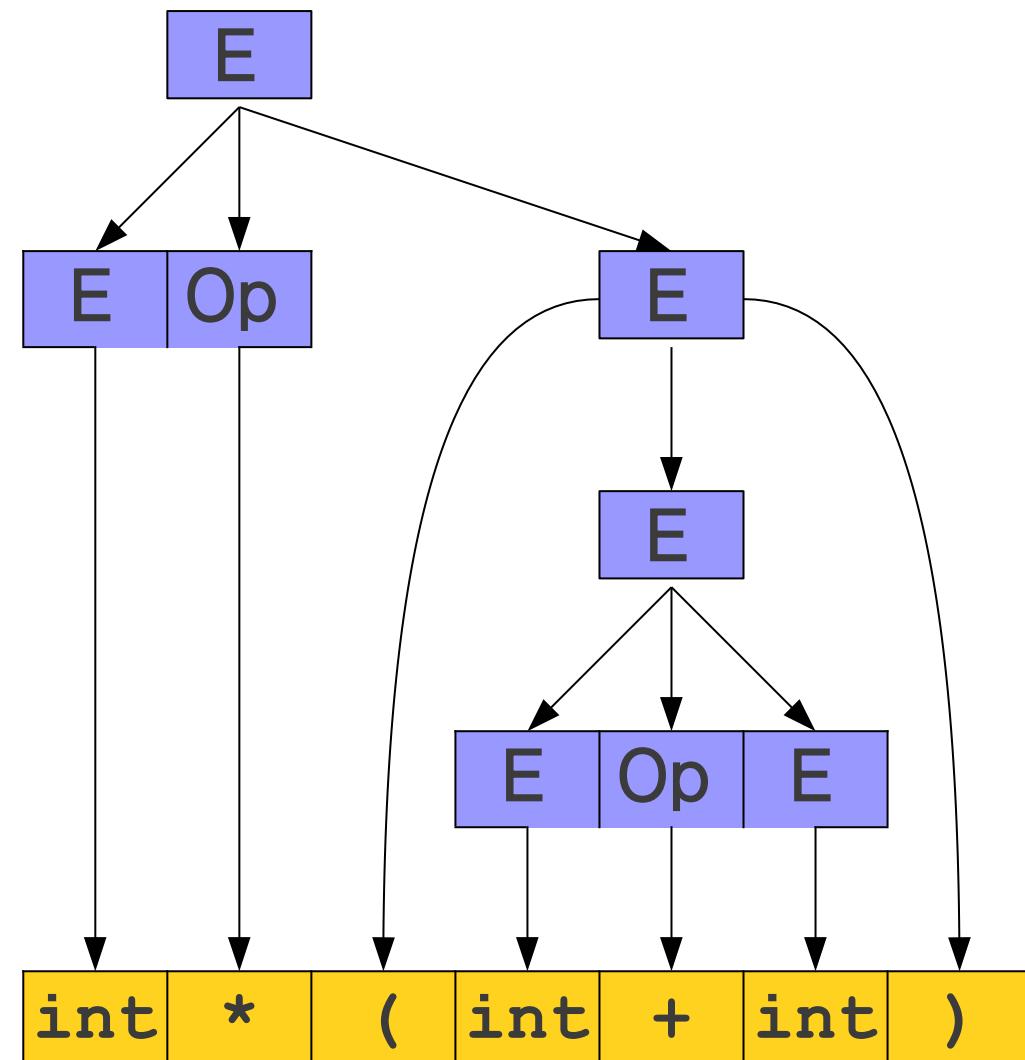
$\Rightarrow E \text{ Op } (E \text{ Op } \text{int})$

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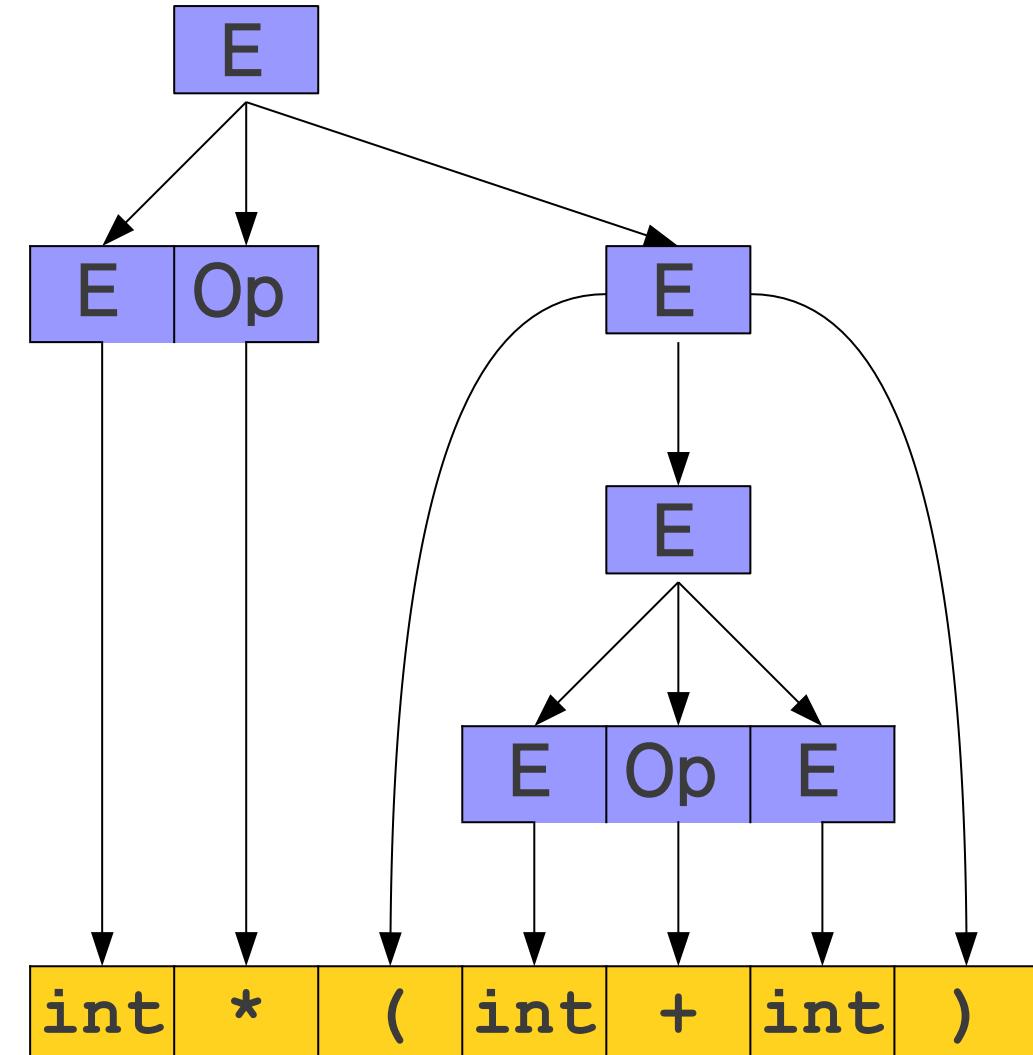
$\Rightarrow E \text{ Op } (\text{int} + \text{int})$

$\Rightarrow E * (\text{int} + \text{int})$

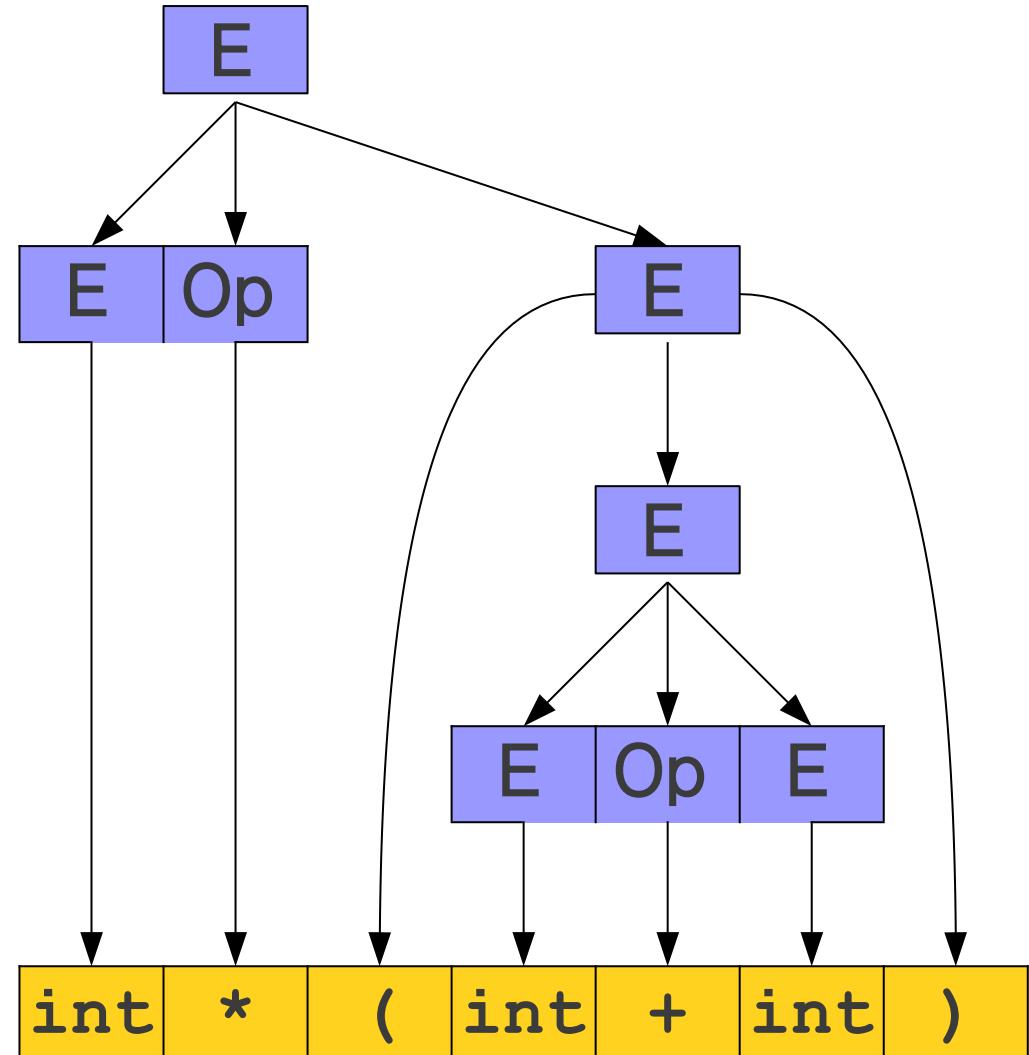
$\Rightarrow \text{int} * (\text{int} + \text{int})$



For Comparison



Parse Tree with Leftmost Derivation



Parse Tree with Rightmost Derivation

Parse Trees

- A **parse tree** is a tree encoding the steps in a derivation.
- Internal nodes represent nonterminal symbols used in the production.
- Encodes what productions are used, not the order in which those productions are applied.

The Goal of Parsing

- Goal of syntax analysis: Recover the **structure** described by a series of tokens.
- If language is described as a CFG, goal is to recover a parse tree for the input string.
- We'll discuss how to do this next week.

Challenges in Parsing

Challenge

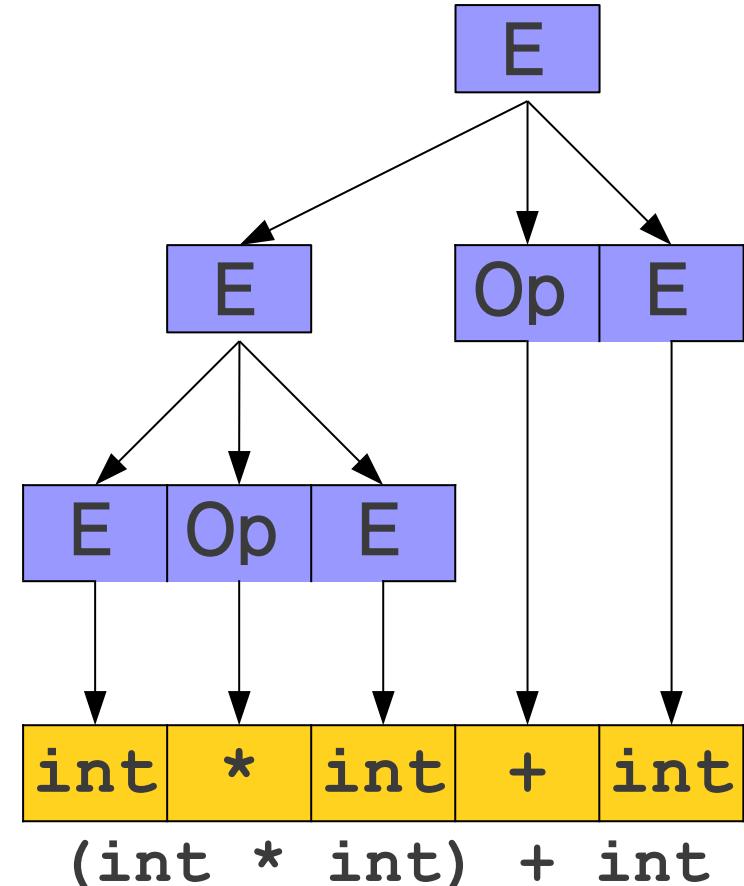
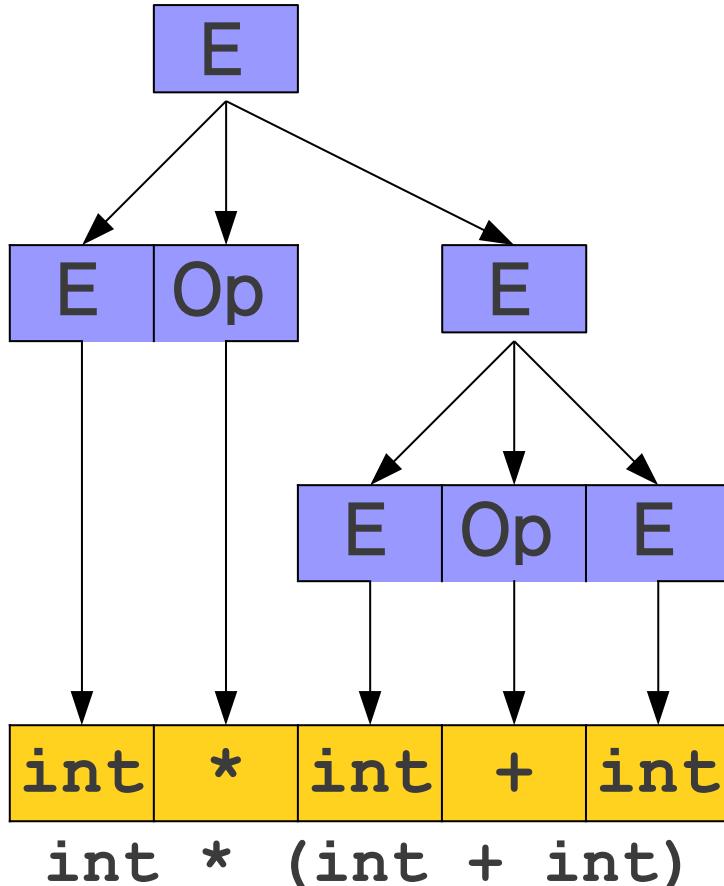
$E \rightarrow \text{int} \mid E \text{ Op } E$

$\text{Op} \rightarrow + \mid * \mid$

$\text{int} * \text{ int} + \text{ int}$

Can you construct Parse Tree?

A Serious Problem



Ambiguity

- A CFG is said to be **ambiguous** if there is at least one string with two or more parse trees.

Is Ambiguity a Problem?

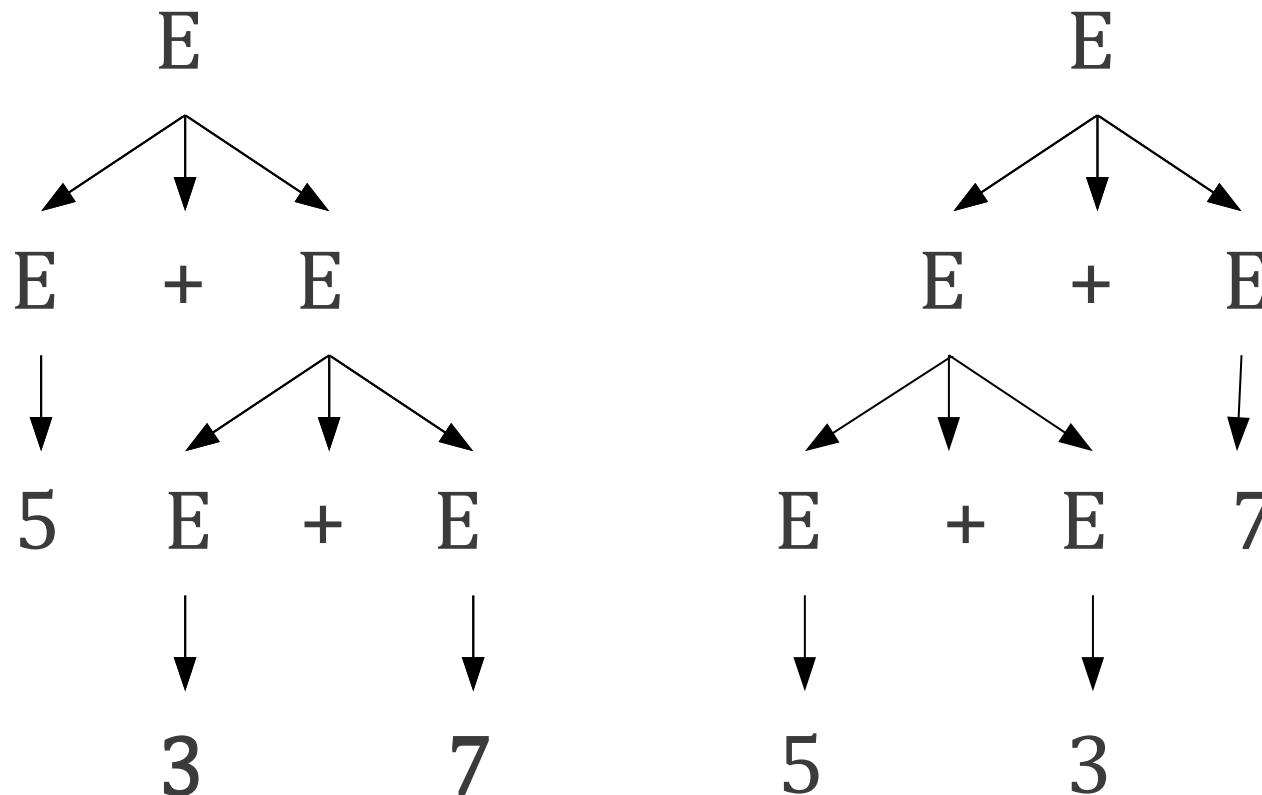
- Depends on **semantics** .

$$E \rightarrow \text{int} \mid E + E$$

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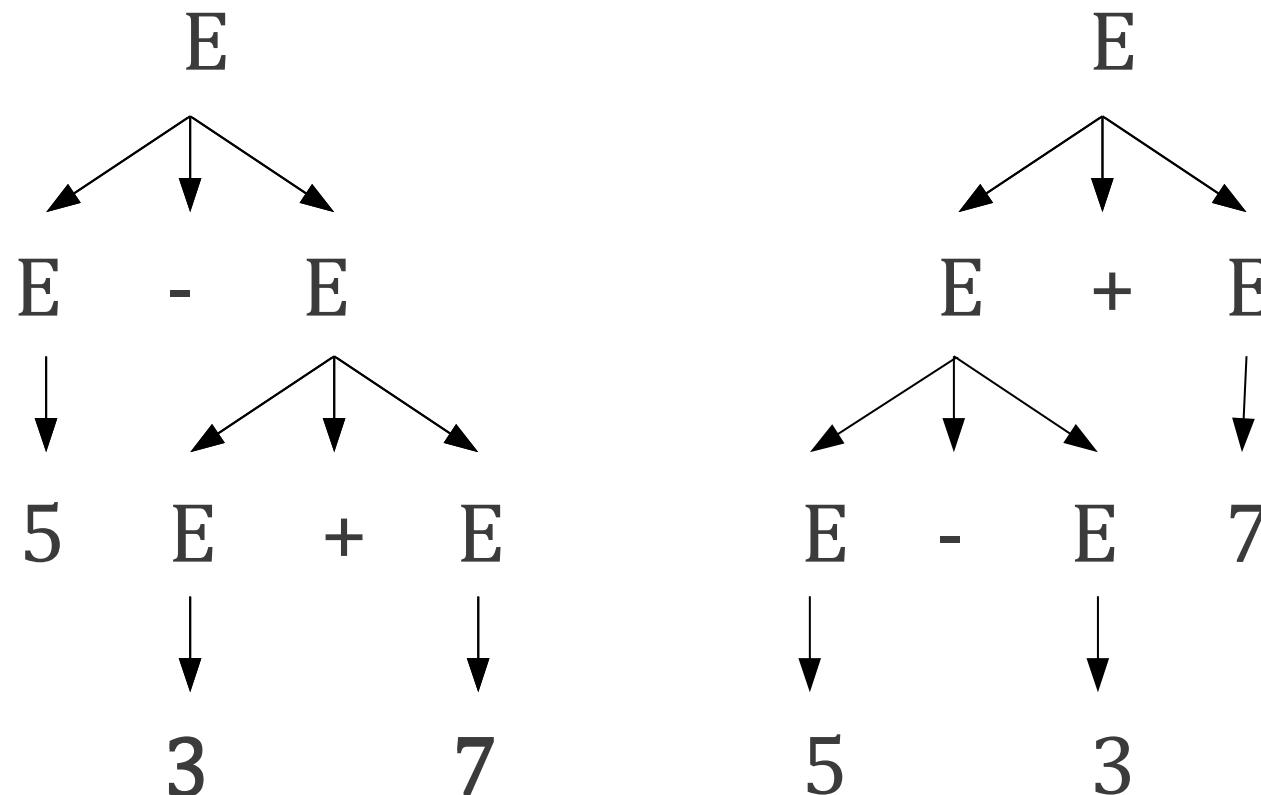
- Depends on **semantics** .

$$E \rightarrow \text{int} \mid E + E \mid E - E$$

Is Ambiguity a Problem?

- Depends on **semantics**.

$$E \rightarrow \text{int} \mid E + E \mid E - E$$



Ambiguity

- Ambiguity is problematic because meaning of the programs can be incorrect
- Ambiguity can be handled in several ways
 - Enforce associativity and precedence
 - Rewrite the grammar (cleanest way)
- There is no algorithm to convert automatically any ambiguous grammar to an unambiguous grammar accepting the same language
- Worse, there are inherently ambiguous languages!

Ambiguity in Programming Lang.

- Dangling else problem

stmt → if expr stmt

| if expr stmt else stmt

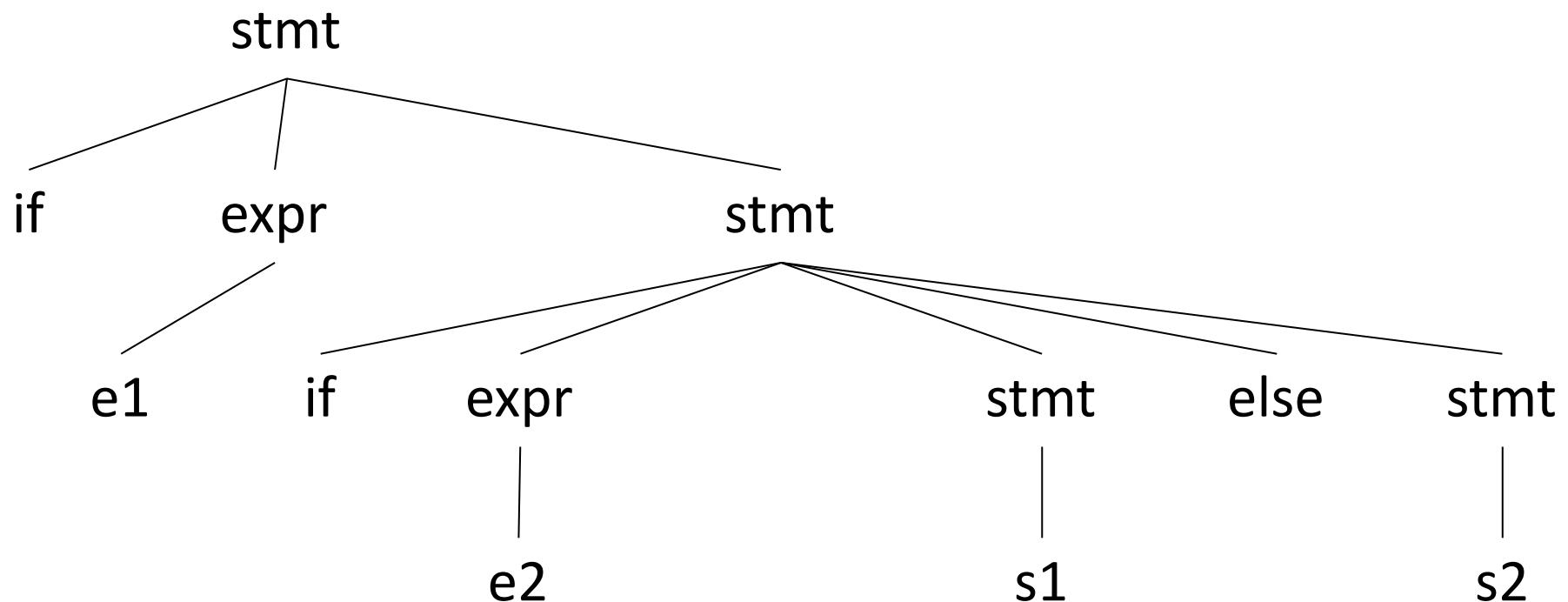
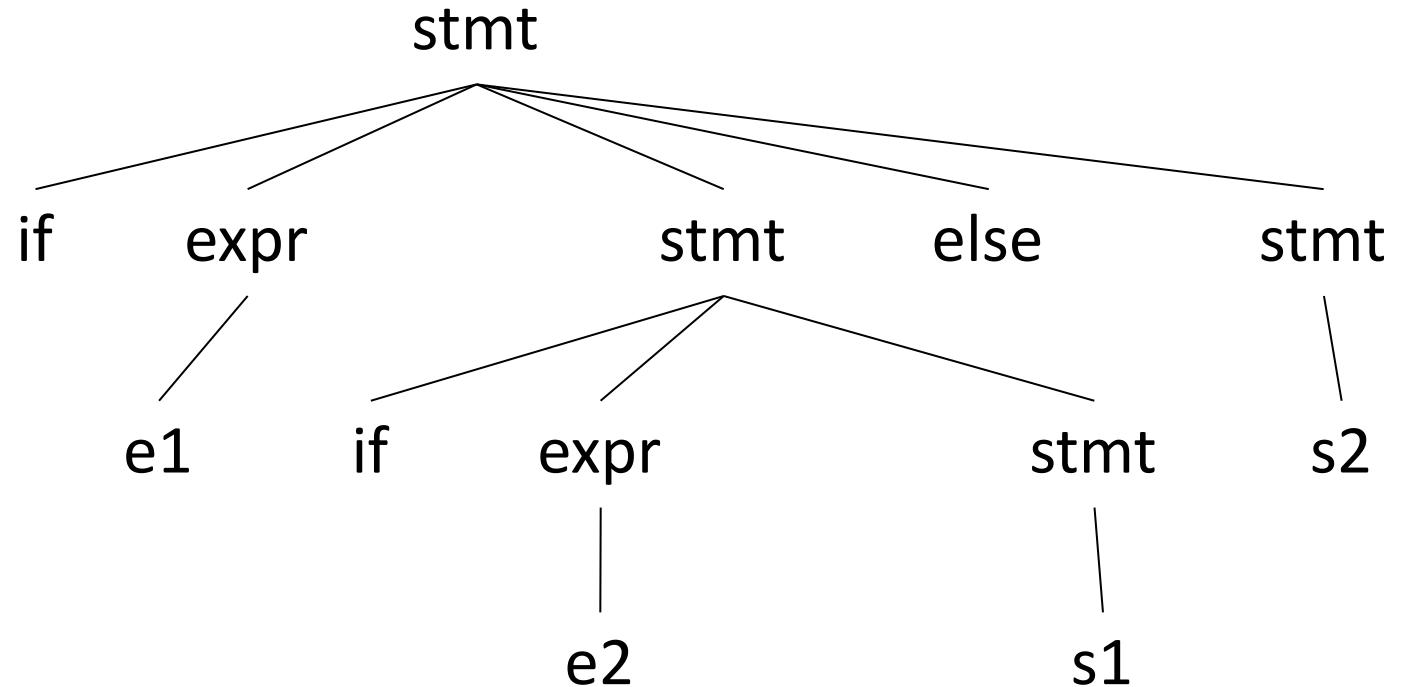
- For this grammar, the string

if e1 if e2 then s1 else s2

has two parse trees

```
if e1  
  if e2  
    s1  
  else s2
```

```
if e1  
  if e2  
    s1  
  else s2
```



Resolving dangling else problem

- General rule: match each **else** with the closest previous **unmatched if**.

Precedence

- String $a+5^2$ has two possible interpretations because of two different parse trees corresponding to $(a+5)^2$ and $a+(5^2)$
- Precedence determines the correct interpretation.
- Next lectures, we will see more details about precedence/associativity on resolving the ambiguity

Summary

- A **parse tree** shows how a string can be **derived** from a grammar.
- A grammar is **ambiguous** if it can derive the same string multiple ways.
- **Next time:** Parsing algorithms
 - Top-Down Parsing
 - Bottom-Up Parsing