# Department of Computing and Software Information Systems The University of Melbourne

COMP20003 Algorithms and Data Structures
Assignment 1
Semester 2, 2013
Handed out: Tuesday, 20 August
Due: 12:00 noon, Thursday 5 September

#### Purpose

The purpose of this assignment is for you to:

- Increase your proficiency in C programming, including your facility of dynamic memory allocation and your understanding of linked data structures, through programming a dictionary.
- Increase your understanding of how computational complexity can affect the performance of an algorithm by conducting orderly experiments on the output from your dictionary program and comparing the results of your experimentation with theory.

# Background

A dictionary is an abstract data type that stores and supports lookup of (key,value) pairs. For example, in a telephone directory, the (string) key is a person or company name, and the value is the phone number. In a student record lookup, the key would be a student ID number and the value would be a complex structure containing all the other information about the student.

A dictionary can be implemented in C using a number of underlying data structures. Any implementation must support the operations: makedict a new dictionary and return a pointer to the dictionary; insert a new item (key,value pair) into a dictionary and return a pointer to the updated dictionary; search for a key in the dictionary, and return the associated value. Most dictionaries will also support the operation delete an item, given its key, and return a pointer to the updated dictionary.

#### Your task

In this assignment, you will code a dictionary in C using an underlying list structure. You will then use the dictionary, counting comparisons, experiment with different inputs, and compare your results with what you would expect based on theory.

In Stage 1 you will use a sorted linked list as the underlying data structure, while in Stage 2 you will use a skip list. Experimentation should be done for each part.

Stage 1 (10 marks) In Stage 1 of this assignment, you will construct a dictionary using a sorted linked list, coded in C, as the underlying data structure. Obligatory operations for Stage 1 are insert, search, and delete operation. You might also find it helpful to support a makedict operation, that returns a (possibly empty) dictionary, and will be necessary in Stage 2.

Your code *must* count and output the number of key comparisons made for: (1) the overall the series of insertions used to build the dictionary; (2) each search operation. You will use these data in your experiments.

Your code should be easily extensible to allow for multiple dictionaries, which means that the functions for insertion, search, and deletion take as arguments not only the item being inserted or a key for searching and deleting, but also a pointer to a dictionary.

Stage 2 (5 marks) In Stage 2 you will write a new set of functions implementing a dictionary as a skip list (Pugh 1990). You will keep your sorted list code, and add the skip list code. For the purposes of this assignment, you are to have two different main functions, one for the sorted list dictionary and one for the skip list dictionary. While there are certainly other ways to organize multiple implementations, you are expected to adhere to this specification. The supplied Makefile suggests a possible way to organize your code.

Your skip list dictionary should allow all the operations listed above for the simple sorted list, except that you do *not* need to implement the delete() operation.

It is suggested that you start with the following parameters:

- A maximum number of levels of 10.
- A probability p of adding another level to a new node of 0.05,
- A maximum list length of 1000, not necessarily set in your program, for your experimentation.

You may vary these parameters once your code is working, and including the results of these variations in your experimentation is desirable.

You should not attempt Stage 2 until your Stage 1 program is working correctly.

Bonus (2 marks) Still too easy? If you have completed both Stage 1 and Stage 2 to a high standard and are still looking for a challenge, you can extend your program by using a pointer to a function that compares keys. Using a pointer allows you the flexibility of using the same code to compare string keys or integer keys, without changing the code.

For this program, you will use a function pointer to comparison functions, one of which compares integers (as in Stages 1 and 2), the other of which compares strings. Your program will look at the value of the single command line argument, and will chose which comparison function to use for the keys based on this value. If the command line argument is 'i', the keys compared are integers. If the command line argument is 's' the keys are strings. If there is no command line argument, your program should assume the keys are integers.

Information about function pointers can be found in Kernighan and Ritchie, Section 5.11, and in Moffat, Sections 10.4 and 10.5.

You should only attempt this part of the assignment once Stage 1 and Stage 2 are both working correctly, well-structured, and well-documented, and your experimental results have been collated and discussed. No bonus marks will be given for using function pointers unless both Stages 1 and 2 have been completed to a high standard. The bonus part of the assignment will **not** be supported in lectures, workshops, or by staff input on the Discussion Board.

# Implementation Requirements

The following implementation conventions *must* be used:

- Your program will first build your data structure by inserting into the dictionary from a file that contains the data. The data file will have one item per line, first the key, then the value. See section on **Input** in this specification for an example.
- The dictionary program should take as its first argument the name of the input file (use argv for this).
- After reading to the end of the input file and building the dictionary, you will then look up keys that are input from stdin (keyboard input or redirection).
- A Makefile is provided for you in /home/subjects/comp20003/local/sample\_code/assg1. You may use the Makefile as is or modify it, but your target for the sorted list dictionary must be called listdict and your target for the skip list dictionary must be called skipdict. To build the listdict program, type make listdict at the command line. Similarly, to build the skipdict,

type make skipdict. *Hint:* If you haven't used make before, try it with very simple files first. If it doesn't work, read the error messages carefully. A common problem in compiling multi-file executables is in the included header files.

Your code should be modular; each operation should be implemented as a separate function, and related functions should be grouped together in separate files. For example, it makes sense to put all your list operations in a separate file, since you could then easily reuse the list operations in other programs.

# Input

The input format is key-value pairs, one pair to a line, e.g.:

16858	peer
5778	crumple
10133	grippe
17535	poke
6090	dazzle

Sample code for generating input data can be found in the directory /home/subjects/comp20003/local/data\_generation. Some of this code can be used as is, while you might have to modify other code. The data above were generated using the C program generate\_key\_value\_pairs.c. There is a README file in the directory, which points out the properties of the different programs.

For the purposes of this assignment, you can assume that the data are well-formatted, the input file is not empty, and the keys are unique.

# **Output Format**

Your program output must be written to stdout and should adhere to the following format.

- Line 1 (written once only, after building the dictionary):  $\langle Number\_of\_items\_inserted \rangle \langle "Insertions" \rangle \langle Number\_of\_comparisons\_made \rangle$
- Lines 2 and following, one line written for each search request:  $\langle Key \rangle \ \langle Value\_returned \rangle \ \langle Number\_of\_comparisons\_made \rangle$

For example, with an input file of 100 data items, and three search requests, the output might be:

```
    100 Insertions 2437
    213 NOTFOUND 100
    57 abject 22
    2 NOTFOUND 1
```

Because this output format is not easily understandable on its own, your documentation should explain what the output means.

#### Experimentation

For both Stage 1 and Stage 2, you should report your experimental results in a file called expts, which you submit at the same time that you submit your code. Combine your results for Stage 1 and Stage 2

in a single expts file. For Stage 2 you are expected to compare your experimental results with your Stage 1 program, as well as to report on the skip list implementation results themselves.

Your experimentation should be orderly, that is you should systematically test a number of different inputs. Inputs can vary according to the number of data items n and according to arrangement  $(random, reverse\_sorted, etc.)$ . You must show your data: the size of the dictionary, the number of searches, the number of comparisons made during searching, etc. A table or a graph is often a good way of summarizing results.

If you use only keyboard input for searches, it is unlikely that you will be able to generate enough data to analyze your results. You should familiarize yourself with the powerful UNIX facilities for redirecting standard input (stdin) and standard output (stdout). You might also find it useful to familiarize yourself with Unix pipes '|' and possibly also awk for processing structured output. (For example, if your data output is structured in three columns, perhaps key, value, and comparisons, piping your output into awk '{print \$3}' will output only the third column.)

# Resources

Two papers by William Pugh, the originator of the skip list, can be found on the LMS ( $Assignments \rightarrow Assignment 1$ ). For information about argc and argv, see Kernighan and Ritchie section 5.10 or Moffat section 7.11.

Sample C code that you can modify for specific tasks can be found in /home/subjects/comp20003/local/sample\_code/assg1, including code for reading first from a file, as in your build, and subsequently reading from stdin(keyboard input).

Two locally-written papers containing useful guidelines on coding style and structure can be found on the LMS ( $\rightarrow$  Resources): Project Coding Guidelines, by Peter Schachte, and C Programming Style, written for Engineering Computation COMP20005 by Aidan Nagorcka-Smith. Thanks, Peter and Aidan! If you are interested in using Python to automate your experiments, tips on using Python to test, time, and graph your output can be found on STILE. (Thanks, Alex!) You may find this useful, or you may prefer some other way of displaying your data. For Unix tips, see the document Introduction to Unix and MobaXterm (LMS  $\rightarrow$ Workshops). (Thanks, Ross!).

#### Additional Support

Your tutors will be available to help with your assignment at the scheduled workshop times. There is also a Discussion Forum entitled *Assignment 1* on the LMS, which you can use to post questions and answers. You should feel free to answer other students' questions if you are confident of your skills.

A tutor will check the Discussion Forum regularly, and answer some questions, but be aware that for some questions you will just need to use your judgment and document your thinking. For example, a question like, "How much data should I use for the experiments?", will not be answered; you must try out different data and see what makes sense.

In this subject, we support MobaXterm for ssh to the department machines holly, lister, queeg, rimmer, toaster, and cat, the excellent editor built into MobaXterm, and gcc on the department machines. While you are free to use whatever platforms and editors you prefer, these are the only tools you can expect help with from the staff in this subject.

#### Submission

Your programs *must* compile and run correctly on the CIS machines cat, rimmer, holly, queeg, toaster, and lister as listed above. You may have developed your program in another environment,

but it still *must* run on the department machines at submission time. For this reason, and because there are often small, but significant, differences between compilers, it is suggested that if you are working in a different environment, you upload and test your code on the department machines at reasonably frequent intervals.

Submit your project using the Unix command submit comp20003 1, and follow the prompts. Multiple files must be submitted at the same time, since each submission overwrites your previously submitted files. You should submit a Makefile (or makefile); if you don't submit a Makefile the supplied Makefile will be used. Your experimental results should be in a text file named expts, submitted at the same time as your code files.

Verify your submission with the command verify comp20003 1. You should save the verify file, to prove you have submitted, by using the command verify comp20003 1 > verifyfile Note: Each time you submit, you will have to resubmit all your assignment files. As noted above, the submission program overwrites all the existing files from any previous submissions. If in doubt about what you have submitted, check your verifyfile file.

#### Assessment

There are a total of 15 marks given for this assignment, 10 marks for Stage 1 and 5 marks for Stage 2. As detailed above, a further 2 bonus marks are available.

Your C program will be marked on the basis of accuracy, readability, and good C programming structure and style, including documentation. Your experimentation will be marked on the basis of orderliness, thoroughness, comparison with theory, and thoughtfulness.

# Plagiarism

This is an individual assignment. The work must be your own.

While you may discuss your program development, coding problems and experimentation with your classmates, you must not share files, as this is considered plagiarism.

If you refer to published work in the discussion of your experiments, be sure to include a citation to the publication or the web link.

"Borrowing" of someone else's code without acknowledgment is plagiarism. Plagiarism is considered a serious offense at the University of Melbourne. You should read the University code on Academic honesty http://academichonesty.unimemlb.edu.au and details on plagiarism http://academichonesty.unimemlb.edu.au/plagiarism. Sometimes students plagiarize unintentionally. Please read the subject LMS page (Assignments  $\rightarrow$  Coding Style, Plagiarism, and other General Information  $\rightarrow$  Unintentional Plagiarism) and make sure you are not plagiarizing, intentionally or unintentionally.

You are also advised that there will be a C programming component on the final examination. Students who do not program their own assignments will be at a disadvantage for this part of the examination.

#### References

Pugh, W., "Skip Lists: A Probabilistic Alternative to Balanced Trees", Communications of the ACM 33(6), 668–676, 1990.