GOVERNMENT OF ANDHRA PRADESH <u>ABSTRACT</u>

Labour Factories Boilers & Insurance Medical Services Department - RULES - The Code on Social Security, 2020 (Central Act No.36 of 2020) - The Code on Social Security (Andhra Pradesh) Rules, 2022 - Preliminary Notification - Orders - Issued.

LABOUR FACTORIES BOILERS & INSURANCE MEDICAL SERVICES (LABOUR-II) DEPARTMENT

G.O.Rt.No.251

<u>Dated:14.07.2022</u> Read the following:-

- The Code on Social Security, 2020 (Central Act No.36 of 2020) notified by the Ministry of Labour & Employment, GOI, New Delhi in Gazette No.61, dt:29.09.2020.
- 2. From the Special Commissioner of Labour, Andhra Pradesh, Vijayawada, Lr.No.C/Labour Codes /2020, Dated:19.O4.2021.
- 3. From the e-file bearing Computer No.1725176 received from the Skill Development & Training Department, Dt:30.06.2022.

ORDER:-

The Ministry of Labour & Employment, Government of India, New Delhi has notified the Code on Social Security, 2020 (Central Act No.36 of 2020), an Act to amend and consolidate the laws relating to social security with the goal to extend social security to all employees and workers either in the organised or unorganised or any other sectors and for matters connected therewith or incidental thereto vide Gazette first read above.

- 2. The Special Commissioner of Labour, Andhra Pradesh, Vijayawada in the second read above, has furnished draft State rules on the Code on Social Security (Andhra Pradesh) Rules, 2022, under the Code on Social Security, 2020 (Central Act No.36 of 2020) with a request to issue notification calling for objections and suggestions from general public and stakeholders in the matter.
- 3. Government, after careful examination of the matter, hereby decided to issue draft rules namely the Code on Social Security (Andhra Pradesh) Rules, 2022, which the State Government proposes to make, in exercise of the powers conferred under sections 154 and 156 of the Code on Social Security, 2020 (Central Act No.36 of 2020) read with section 24 of the General Clauses Act, 1987 (Central Act No.10 of 1987) by inviting objections and suggestions from general public and stakeholders in the matter.
- 4. Accordingly, the following notification shall be published in an extraordinary issue of Andhra Pradesh Gazette.

PRELIMINARY NOTIFICATION

The following draft rules, which the State Government proposes to make in exercise of the powers under sections 154 and 156 of the Code on Social Security, 2020 (Central Act No.36 of 2020) read with section 24 of the General Clauses Act, 1987 (Central Act No.10 of 1987) and in supersession of (1) The Andhra Pradesh Employees Compensation Rules, 1953, (2) The Andhra Pradesh Workmen's (Occupational Diseases – Pneumoconiosis) Diseases Rules, 1965, (3) The Andhra Pradesh Payment of Gratuity Rules, 1972, (4) The Andhra Pradesh Compulsory Gratuity Insurance Rules, 2011, (5) The Andhra Pradesh Un-Organized Workers Social Security Rules, 2012 and (6) The Employment Exchanges (Compulsory Notification of Vacancies) Rules, 1960

The Maternity Benefit Act, 1961 (Central Act.No.53 of 1961), the Payment of Gratuity Act, 1972 (Central Act.No.39 of 1972), the Building and Other Construction Workers' Welfare Cess Act, 1996 (Central Act.No.28 of 1996), the Un-organized Workers' Social Security Act, 2008(Central Act.No.33 of 2008), the Employees' Compensation Act, 1923 (Central Act.No.8 of 1923) and the Employment Exchanges (Compulsory Notification of Vacancies Act, 1959 (Central Act No. 31 of 1959) as the case may be, which are repealed by section 164 of the said Code on Social Security, 2020 except as respects things done or omitted to be done before such supersession, are notified, as required by section 158 for information of all persons likely to be affected thereby and the notice is hereby given that the said draft notification will be taken into consideration after the expiry of a period of forty five (45) days from the date on which the copies of the Official Gazette in which this notification is published are made available to the public;

The objections and suggestions, if any, should be addressed to the Special Commissioner of Labour, Andhra Pradesh, Vijayawada through the mail address:splcol.labour@gmail.com.

Objections and suggestions, which may be received from any person with respect to the said draft notification before expiry of the period specified above. Will be considered by the State Government.

The Code on Social Security (Andhra Pradesh) Rules, 2022 CHAPTER – I PRELIMINARY

1. Short title and extent.-

- (1) These rules may be called the Code on Social Security (Andhra Pradesh) Rules, 2022.
- (2) They shall extend to the whole State of Andhra Pradesh.

2. <u>Definitions.-</u>

- (1) In these rules, unless the subject or context otherwise requires, -
- (a) "appeal" means an appeal preferred under sub-section (8) of section 56; sub-section (3) of section 72 and sub-section (1) of 105.
- (b) "Appellate authority" means the State Government or the Joint Commissioner of Labour or the authority specified by the State Government for the purpose of sub-section (8) of section 56; subsection (3) of section 72 and sub-section (1) of 105
- (c) "Assessing Officer" means a Gazetted Officer appointed by the State Government for assessment of cess under the code.
- (d) "average daily wages during a contribution period" under Chapter- IV of the Code in respect of an employee, means the aggregate amount of wages payable to him during that period divided by the number of days for which such wages were payable;
- (e) "Average daily wages during a wage period" under Chapter- IV of the Code means
 - (i) in respect of an employee who is employed on time-rate basis, the amount of wage which would have been payable to him for the complete wage period had he worked on all the working days in that wage period, divided by 26 if he is monthly rated, 13 if he is fortnightly rated, 6 if he is weekly rated and 1 if he is daily rated;

(ii) in respect of an employee employed on any other basis, the amount of wages earned during the complete wage period in the Contribution period divided by the number of days in full or part for which he has worked for wages in that wage period

Provided that where an employee receives wages without working on any day during such wage period, he shall be deemed to have worked for 26, 13, 6 or 1 days or day if the wage period be a month, a fortnight, a week and day respectively;

Explanation.- Where any night shift continues beyond midnight, the period of the night shift after midnight shall be counted for reckoning the day worked as part of the day preceding;

- (f) "benefit period" means the period not exceeding six (6) consecutive months corresponding to the contribution period, as may be specified in the Regulations;
- (g) "Board" means the Andhra Pradesh Unorganised Workers Social Security Board; and the Andhra Pradesh Building and other Construction Workers Welfare Board, constituted under section 6 and section 7.
- (h) "Cess Collector" means an officer appointed by the Government for collection of cess under the code.
- (i) "Code" means the Code on Social Security, 2020 (No.36 of 2020)
- (j) "electronically" means any information submitted by email or uploading on the designated portal or digital payment in any mode for the purpose of Code:
- (k) "form" means a form appended to these rules;
- (I) "fund" means Social Security Fund, as specified in section 108 and Section 141 as the case may be;
- (m) "State Government" means Government of Andhra Pradesh;
- (n) Government Securities" means Government Securities as defined in the Government Securities Act, 2006 (No.38 of 2016).
- (o) "immovable property" includes land, benefits to and arise out of land, things attached to the earth, or permanently fastened to anything attached to the earth;
- (p) "movable property" means property of every description except immovable property;
- (q) "nodal officer" means a person designated by Building and Other Construction workers' welfare Board or the State Government to facilitate the registration, renewal and updation electronically or otherwise or any such other function of building workers working in the private sector, State Government, Central Government and public sector undertakings of the Central and the State Governments or local authority. The Nodal officer shall also supervise and monitor functions of the beneficiary registering officers designated by the State Government;
- (r) "nomination" means nomination made under section 55 of the code;

- (s) "notification" means a notification published in the Gazette of India or the Official Gazette of a State, as the case may be, and the expression "notify" with its grammatical variations and cognate expressions shall be construed accordingly;
- (t) "Portal" means A.P.Labour Department Portal or official web portal of Labour Department, Government of Andhra Pradesh with any other name:
- (u) "register of women employees" means a register of women employees maintained under rule 26;
- (v) "section" means a section of the Code;
- (w) "specified,, means specified by an order of the central Government or any State Government or any officer so authorized by such Government;
- (x) "year" shall mean the financial year, that is to say, beginning from the first of April and ending with the thirty- first of March of the year following.
- (2) The words and expressions used in these rules which are not defined therein, but are defined in the Code, shall have their respective meaning as assigned to them in the Code.

CHAPTER - II SOCIAL SECURITY ORGANISATIONS

A. ANDHRA PRADESH UNORGANISED WORKERS SOCIAL SECURITY BOARD

3. Manner of exercising the powers and performance of the functions by the Andhra Pradesh Un organised workers Social Security Board under subsection (9) of section 6, the manner of nomination of members of the Board, their term of office and other conditions of service, procedure to be followed in the discharge or their functions and manner or filling vacancies among the members of the Board under sub-section (1.2) and time, place and rules of procedure relating to the transaction of business at its meetings under subsection (14) of section 6.-

(1) Constitution of the Andhra Pradesh Un organised Workers Social Security Board ;-

The 'Andhra Pradesh Unorganized Workers Social Security Board' shall be deemed as Board constituted under sub- section (9) of section 6, which shall consists of:

- (a) The Minister-in-charge of Labour Department, Andhra Pradesh as the exofficioChairperson;
- (b) Principal Secretary of Labour Department as Vice Chairperson;
- (c) One member to be nominated by Central Government in the ministry of labour and employment;
- (d) Thirty one (31) members to be nominated by the state government out of whom
 - (1) seven (7) representing the employees of unorganized workers;
 - (2) seven (7) representing the employers of unorganized workers;
 - (3) two (2) members representing the legislative assembly of the Andhra Pradesh;
 - (4) five (5) members representing eminent persons from civil society;
 - (5) ten (10) members representing the State Government Departments concerned:

Provided that adequate representation shall be given to persons belonging to the Schedule castes, the schedule tribes, the minorities and women.

(e) Member secretary as notified by the state government;

Apart from the chairman of Andhra Pradesh Unorganized Workers Social Security Board, all other members who will be nominated by the state government will be eminent persons in the fields of labour welfare, management, finance, law and administration.

(2) Terms of Office:- A member appointed under clauses .(d) and (e) of sub-rule (1) of rule 3 shall, unless he resigns his office or dies or otherwise vacates his office at an earlier date, hold office for a period for three (3) years from the date of publication of the notification in the Andhra Pradesh Gazette appointing him as a member of the Board and shall be eligible for reappointment:

Provided that an outgoing member shall continue in office until the appointment of his successor is notified in the Official Gazette.

(3) Resignation. –

- (i) A member appointed under clauses (d) and (e) of sub-rule (1) rule 3 may resign by writing under his hand addressed to the State Government.
- (ii) The resignation shall take effect from the date of its acceptance by the State Government.
- (4) Vacation of office.- A member appointed under clauses
- (a) and (e) of sub-rule (1) of rule 3 shall be deemed to have vacated his office, if -
- (i) He is declared to be of unsound mind or an undischarged insolvent by a competent court; or
- (ii) he is convicted of an offence which, in the opinion of the State Government, involves moral turpitude; or
- (iii) He is absent from three consecutive meetings of the Board without leave of absence from the Chairperson; or
- (iv) He ceases to represent the interest for representing which he was appointed;
- (v) He is removed by the State Government.
- **(5) Filling up of casual vacancies**.- A member appointed to fill a casual vacancy, arising due to death, resignation or otherwise of the member shall hold office for the remaining period of the term of office of the member, in whose place he is appointed

(6) Meeting of Board and Quorum.-

(i) The Board shall ordinarily meet once in three (3) months:

Provided that the Chairperson shall, within fifteen (15) days of the receipt of a requisition in writing from not less than one third of the members of the Board, call a special meeting thereof.

(ii) No business shall be transacted at any meeting of the Board, unless at least eleven (11) members are present, of whom at least one shall be .from among those

appointed under clause (a), (b) and (c) of sub-rule (l) rule 3.

(7) Notice of meeting and list of business.- Notice intimating the date, time and venue of every meeting, together with a list of business to be transacted at the meeting, shall be sent by registered post or by special messenger, to each member fifteen(15) days before the meeting of Board separately:

Provided that when the Chairperson, calls a meeting for considering any matter which in his opinions of urgent nature, notice of not less than three (3) days shall be deemed sufficient.

- (8) Chairperson to preside at meetings.- The Chairman will preside over all the meetings of the Board and if the Chairman is unable for any reason to be present in the Board meeting, the Vice-Chairman will preside over the meeting.
- **(9) Transaction of Business.** All questions which come up before any meeting of Board shall be decided by a majority of votes of the members present and voting, and in the event of equality of votes, the Chairperson, or in his absence, the person presiding, shall have a second or casting vote.
- (10) Minutes of meeting. The proceedings of each meeting of the Board shall be recorded and circulated to all members after approval by the Chairperson as soon as possible after the meeting, subject to confirmation in the next meeting of the Board. After such confirmation, they shall be recorded in a Minute Book, which shall be kept for permanent record.
- (11) Allowances payable to Non-official members.- Travelling allowance and daily allowance will be paid at the rates permissible to the first class officers of the state government to each government member to attend the meetings of the board and its sub-committees.

(12) Sub-Committees of the Board.-

- (i) The Board may appoint such sub-committees, as it may deem fit for the proper discharge of its duties.
- (ii) Each sub-committee will be headed by the chairman of the board . and will have an equal number of unorganized workers, employers and members of the board representing the state government .
- (iii) In the absence of the Chairman, the members present of the Sub-Committee shall elect one of their own to preside over the meeting.
- (iv) No work shall be performed at a meeting of the Sub-committee unless at least one-third of its members are present, of which there shall be one representing the members and at least one unorganized worker.
- (v) The term of any sub-committee except the sub- committee constituted for the short-term purpose shall be one year from the date of its constitution, but the sub-committee shall continue to function until a new sub-committee is formed, but in any case, no sub-committee shall function beyond the period of two (2) years from the date of its original formation.
- (vi) The recommendations of each sub-committee shall be placed before the Board for its decision.

(13) Appointment of Secretary, other officers and Staff.-

- (i) Board shall, with prior concurrence of the State Government appoint an officer of Indian Administrative Service / State Administrative Service of selection grade / an officer not below the rank of a Joint Commissioner of Labour as Secretary of the Board;
- (ii) Board may appoint such other officers and employees, as it may consider

necessary for the efficient discharge of its functions: Provided that no post shall be filled up in the Board, unless its creation, has first been approved by the State government.

(14) Duties and functions of Board.-

- (I) Under sub-section (15) of section 6 of the Code, the Board shall adopt the following procedure for the performance of its duties, a scheme stipulating the procedures, formats and all other residual matters regarding each facility or group of facilities specified by the Board and the Code. Not expressly provided in these rules, Board shall prepare and recommend to the State Government under which the following shall be mentioned-
 - (i) rates at which various facilities will be payable;
 - (ii) application procedure and format;
 - (iii) Procedure for sanctioning and competent authority to grant approval;
 - (iv) procedure for disbursement; and
 - (v) any other incidental matters.
- (2) Board can advise the State Government from time to time on the points related to the administration of Code.
- (3) Undertake such other functions as are assigned to it by the state government from time to time.
- (15) Recruitment procedure and service conditions of officers and staff of the Board.-
 - (i) Classification, pay scales, allowances, recruitment procedure, and terms and conditions of service of officers and employees of the Board, will be such as may be determined by the Board with the prior approval of the State Government.
 - (ii) If in any specific case, any dispute or difficulty arises regarding the interpretation or enforcement of a provision, the matter shall be referred to the State Government, whose decision shall be final thereon.

B. ANDHRA PRADESH BUILDING AND OTHER CONSTRUCTION WELFARE BOARD

The terms and conditions of appointment and-the salaries and other allowances payable to the chairperson and the other members of the Building and other construction Welfare Board and the manner of filling of casual vacancies of such members, the terms and conditions of appointment and the salary and allowances payable to the Secretary and the other officers and employees of the said Board under clause (c) of sub-section (5) of section 7.

- **4. Name of the Board.-** "Andhra Pradesh Building and other construction workers board"
- (1) Constitution of the Board.-The Board shall consist of-
- (i) The Minister in-charge Labour Department, Andhra Pradesh as the ex-officio Chairperson,
- (ii) A member to be nominated by the Central Government,
- (iii). Five (5) members to be appointed by the State Government representing Government Departments of whom two (2) shall be representatives of Labour and one (1) shall be representative of Finance Department and two (2) shall be representatives of Departments engaged in building or other construction worker,
- (iii) Five (5) members to be appointed by the State Government representing building workers, and

(iv) Five (5) members to be appointed by the State Government representing employers of building workers:

Provided that the Andhra Pradesh Building workers welfare Board shall include an equal number of members representing the State Government, the employers and the building workers and that at least one member of the Board shall be a woman.

(2) Term of Office. -A member appointed under clauses(iv) and (v) of rule 4 shall hold office unless he resigns his office or dies or otherwise vacates his office at an earlier date, hold office for a period for three (3) years from the date of publication of the notification in the Andhra Pradesh Gazette appointing him as a member of the Board and shall be eligible for reappointment:

Provided that an outgoing member shall continue in office until the appointment of his successor is notified in the Official Gazette.

(3) Resignation.-

- (i) A member appointed under clause (iv) and (v) of rule 4 may resign by writing under his hand addressed to the State Government;
- (ii) The resignation shall take effect from the date of its acceptance by the State Government.
- **(4) Vacation of office** .- A member appointed under clauses (iv) and (v) of rule 4 shall be deemed to have vacated his office, if -
 - (i) he is declared to be of unsound mind or an undischarged insolvent by a Competent court; or
 - (ii) he is convicted of an offence which, in the opinion of the State Government, involves moral turpitude; or
 - (iii) he is absent from three consecutive meetings of the Board without leave of absence from the Chairperson; or
 - (iv) he ceases to represent the interest for representing which he was appointed; or
 - (v) he is removed by the State Government.
- (5) Filling up of casual vacancies. A member appointed to fill a casual vacancy, arising due to death, resignation or otherwise of the member shall hold office for the remaining period of the term of office of the member, in whose place he is appointed.

(6) Meeting of Board and Quorum.-

(i) The Board shall ordinarily meet once in three (3) months:

Provided that the Chairperson shall, within fifteen (15) days of the receipt of a requisition in writing from not less than one third of the members of the Board, call a special meeting thereof.

- (ii) No business shall be transacted at any meeting of the Board, unless at least six
- (6) members are present, of whom at least one shall be from among those appointed under sub-rule (3) of rule 4.

(7) Notice of meeting and list of business.-

Notice intimating the date, time and venue of every meeting, together with a list of business to be transacted at the meeting, shall be sent by registered post or by special messenger, to each member fifteen days before the meeting of each Board separately:

Provided that when the Chairperson, calls a meeting for considering any matter which in his opinion is of urgent nature, notice of not less than three (3) days shall be deemed sufficient.

(8) Chairperson to preside at meetings.-

The Chairman will preside over all the meetings of the Board and if the Chairman is unable for any reason to be present in the Board meeting, the Vice-Chairman will preside over the meetings.

(9) Transaction of Business.-

All questions which come up before any meeting of Board shall be decided by a majority of votes of the members present and voting, and in the event of equality of votes, the

Chairperson, or in his absence, the person presiding, shall have a second or casting vote.

(10) Minutes of meeting.-

The proceedings of each meeting of the each Board shall be recorded and circulated to all members after approval by the Chairperson .as soon as possible after the meeting subject to confirmation in the next meeting of the Board. After such confirmation, they shall be recorded in a Minute Book, which shall be kept for permanent record.

(11) Allowances payable to Non-official members.-

Travelling allowance and daily allowance will be paid at the rates permissible to the first class officers of the State Government for each government member to attend the meetings of the board and its sub- committees.

(12) Sub-committees of the Board.-

- (i) The Board may appoint such sub-committees, as it may deem fit for the proper discharge of its duties.
- (ii) Each sub-committee will be headed by the chairman of the board and will have an equal number of unorganized workers, employers and members of the board representing the State Government.
- (iii) In the absence of the Chairman, the members present of the Sub-Committee shall elect one (1) of their own to preside over the meeting.
- (iv) No work shall be performed at a meeting of the Sub-committee unless at least one-third of its members are present, of which there shall be one (1) representing the members and at least one unorganized worker. Must be among the members representing.
- (v) The term of any sub-committee except the sub-committee constituted for the

short- term purpose shall be one year from the date of its constitution, but the sub-committee shall continue to function until a new sub-committee is formed, but in any case. No sub-committee shall function beyond the period of two years from the date of its original formation.

(vi) The recommendations of each sub-committee shall be placed before the Board for its decision.

(13) Opening of Regional Offices.-

With the approval of the State Government, the Board may open as many regional offices as it deems necessary for efficient discharge of its functions under the Code.

(14) Duties and functions of the Board.-

- (i) Under sub-section (6) of section 7 of the Code, the Board shall be responsible for-
 - (a) all matters related to the administration of the fund, including setting policies for the allocation of funds in it;
 - (b) submission of annual budget, annual report and audited accounts to the government under the Code;
 - (c) proper maintenance of accounts as per the provisions of the Code and its annual audit;
 - (d) collection of contribution and other charges in the fund;
 - (e) performing the functions specified in and under the Code;
 - (f) the Board shall, from time to time, give such information to the Government as it wishes.
- (ii) Notification of schemes by the Board stipulating procedural and other residual matters related to the facilities -

The Board shall formulate a scheme which provided in the code and these rules, laying down the procedure formats and all other residual matters regarding each facility or group of facilities specified and notification of the scheme with prior approval of the State Government under which will happen;

- (a) rates at which various facilities will be payable;
- (b) application Procedure and format;
- (c) procedure for sanctioning and competent authority to grant of approval;
- (d) procedure for disbursement; and
- (e) any other incidental matters.

(15) Appointment of Secretary, other Officers and Staff.-

- (i) Board shall, with prior concurrence of the State Government appoint an officer of Indian Administrative Service / State Administrative Service of selection grade / an officer not below the rank of a Joint Commissioner of Labour as Secretary of the Board;
- (ii) Board may appoint such other officers and employees, as it may consider necessary for the efficient discharge of its functions:

Provided that no post shall be filled up in the Board, unless its creation, has first been approved by the State Government.

(16) Recruitment Procedure and Service Conditions of Officers and Staff of the Board.-

- (i) Classification, pay scales, allowances, recruitment procedure, and terms and conditions of service of officers and employees of the Board, as may be determined by the Board with the prior approval of the State Government;
- (ii) If in any specific case, any dispute or difficulty arises regarding the interpretation or enforcement of a provision, the matter shall be referred to the State Government, whose decision shall be final thereon.
- (iii). Amount in connection with premium for Group Insurance Scheme of the beneficiaries under clause (c), the educational schemes for the benefit of children of the beneficiaries under clause (d) and the medical expenses for treatment of major ailments of a beneficiary or, such dependant under clause (e) of sub-section (6) of section 7.- Notwithstanding the welfare schemes mentioned in the sub-section (6) of the section 7 of the Code, the Board shall also formulate following scheme(s) for the Building workers and notification with prior approval of the state government:
- (iv).pay such amount in connection with premium for Group Insurance Scheme of the beneficiaries;
- (v) frame educational schemes for the benefit of children of the beneficiaries; and
- (vi) meet such medical expenses for treatment of major ailments of a beneficiary or, such dependant.

CHAPTER – III GRATUITY

6. Bank or other financial institution in which the gratuity shall be invested for the benefit of minor under the third proviso to sub-section (1) or section 53.-

In the case of nominee, or an heir, who is minor, the competent authority shall invest the gratuity amount deposited with him for the benefit of such minor in term deposit with the State Bank of India or Nationalised Bank.

Explanation.-"Nationalised Bank" means a corresponding new bank specified in the First Schedule to the Banking Companies (Acquisition and Transfer of Undertakings) Act, 1970 (No.5 of 1970) or a corresponding new bank specified in the First Schedule of the Banking Companies (Acquisition and Transfer of Undertakings) Act, 1980. (No.40 of 1980)

- 7. Time, form and manner of nomination by an employee under sub-section (1), the time to make fresh nomination under sub-section (4), the form and manner of modification of a nomination under sub-section (5) and the form for fresh nomination under sub-section (6) of section 55.-
- (1) A nomination shall be in Form-I and submitted in duplicate by the employee either by personal service, after taking proper receipt or by registered post acknowledgement due or electronically to the employer,
- (i) in the case of an employee who is already in employment for a year or more on the date of commencement of these rules but has not submitted the nomination, ordinarily, within ninety (90) days from such date; and
- (ii) in the case of an employee who has completed one (1) year of service after the date of commencement of these rules, ordinarily within thirty (30) days of the completion of one year of service:

Provided that nomination in Form-I shall be accepted by the employer after the specified period, if filed and no nomination so accepted shall be invalid merely because it was filed after the specified period.

- (2) Within thirty (30) days of the receipt of nomination in Form-I under sub-rule (1), the employer shall get the service particulars of the employee, as mentioned in the form of nomination, verified with reference to the records of the establishment and return to the employee, after obtaining a receipt thereof, the duplicate copy of the nomination in Form-I duly attested either by the employer or an officer authorised by him in this behalf as a token of recording of the nomination by the employer and the other copy of the nomination shall be recorded.
- (3) An employee who has no family at the time of making a nomination shall, within ninety (90) days of acquiring a family submit in the manner specified in sub-rule (1), a fresh nomination, as required under sub-section (4) of section 55, duplicate in Form-I to the employer and thereafter the provisions of sub-rule (2) shall apply mutatis mutandis as if it was made under sub-rule (I).
- (4) A notice of modification of a nomination, including cases where a nominee predeceases an employee, shall be submitted in duplicate in Form-I to the employer in the manner specified in sub-rule (1), and thereafter the provisions of sub-rule (2) shall apply mutatis mutandis.
- (5) A fresh nomination or a notice of modification of nomination shall be, signed by the employee or, if illiterate, shall bear his thumb impression and shall be submitted by the employee electronically or by registered post acknowledgement due.
- (6) Fresh nomination or notice of modification of nomination shall take effect from the date of receipt thereof by the employer.
- 7(A) The manner of registration of an establishment by the employer under subsection (3) and the manner of composition of Board of Trustees of the approved Gratuity Fund and the manner in which the competent authority may recover the amount of the gratuity payable to an employee from the insurer under sub-section of section 57—
 - (a) Obtaining Insurance for payment of Gratuity.—Every employer other than an employer of an establishment belonging to, or under the control of, the Central Government or a State Government, shall subject to provisions of clause (i) of subsection (1) under section 57, obtain an insurance in the manner prescribed for his liability for payment towards the gratuity under this Act, from any Insurance Company regulated by the authority as defined under clause (b) of sub-section (1) of section 2 of the Insurance Regulatory and Development Authority Act, 1999.

(b) Registration of Establishment:—

- (i) Every employer of an establishment covered by the Code shall get his establishment registered electronically with the Competent Authority of the area in Form-VIII, within 30 days from the in the manner as may be prescribed by Government of Andhra Pradesh by Notification.
- (ii) Every employer shall furnish the details of the employees insured, to the competent authority in Form-IX at the time of registration of the establishment with the competent authority and thereafter whenever there is a change in the employees insured.
- (iii) The certificate of registration shall be issued electronically immediately if the application is complete in all respects but not later than seven days from the date of submission of complete application, falling which such establishment shall be deemed to have been registered and the certificate of registration shall be auto generated;
- (c) Continuing approved Gratuity Fund:- Every employer of an establishment covered under the Code, who had already established an Approved Gratuity Fund in respect of his employees and who desires to continue such arrangement, and every employer employing 500 or more persons who establishes an Approved Gratuity Fund in accordance with sub-section (5) of section 2 of the Income Tax Act, 1961 may opt to continue/adopt such arrangement by submitting an option in Form-X, provided such existing Approved Gratuity Fund covers the entire liability of all the employees of the establishment, under the Act.
- 8. Time within which and the form in which a written application shall be made under sub-section (1) and the form of application to the competent authority under clause (b) of sub-section (5) of section 56.-

(1) Application for Gratuity:

(a) An employee who is eligible for payment of gratuity under the Code, or any person authorised, in writing, to act on his behalf, shall apply, ordinarily within thirty days from the date the gratuity became payable, in Form-II to the employer:

Provided that where the date of superannuation or retirement of an employee is known, the employee may apply to the employer before thirty (30) days of the date of superannuation or retirement:

Provided further that an employee on fixed term employment shall be eligible for gratuity, if he renders service under the contract for a period of one (1) year and he shall be paid gratuity at the rate of fifteen (15) days' wages, based on the rate of wages last drawn by him, for every completed year of service or part thereof in excess of six months.

(b) A nominee of an employee who is eligible for payment of gratuity under the second proviso to sub-section (1) of section 53 shall apply, ordinarily within thirty (30) days from the date of gratuity became payable to him, in Form-II to the employer:

Provided that an application in plain paper with relevant particulars shall also be accepted. The employer may obtain such other particulars as may be deemed necessary by him.

(c) A legal heir of an employee who is eligible for payment of gratuity under the second proviso to sub-section (1) of section 53 shall apply, ordinarily within one (1)

Year from the date of gratuity became payable to him, in Form-II to the employer.

- (d) Where gratuity becomes payable under the Code before the commencement of these rules, the periods of limitation specified in clauses (a), (b) and (c) sub-rule (1) shall be deemed to be operative from the date of such commencement.
- (e) An application for payment of gratuity filed after the expiry of the periods specified in this rule shall also be entertained by the employer, if the applicant adduces sufficient cause for the delay in preferring his claim, and no claim for gratuity under the Code shall be invalid merely because the claimant failed to present his application within the specified period. Any dispute in this regard shall be referred to the competent authority for his decision.
- (f) An application under this rule shall be presented to the employer either by electronically or personal service or by registered post acknowledgement due.

(2) Notice for payment of gratuity.-

- (a) Within fifteen (15) days of the receipt of an application under sub-rule (1) for payment of gratuity, the employer shall-
- (i) if the claim is found admissible on verification, issue a notice in Form-III to the applicant employee, nominee or legal heir, as the case may be, specifying the amount of gratuity payable and fixing a date, not being later than the thirtieth (30) day after the date of receipt of the application, for payment thereof, or
- (ii) if the claim for gratuity is not found admissible, issue a notice in Form-III to the applicant employee, nominee or legal heir, as the case may be, specifying the reasons why the claim for gratuity is not considered admissible.

In the case of denial of gratuity a copy of the notice shall be endorsed to the competent authority.

- (b) In case payment of gratuity is due to be made in the employer's office, the date fixed for the purpose in the notice in Form-III under sub-clause (i) of clause (a) sub-rule (2) shall be re-fixed by the employer, if a written application in this behalf is made by the payee explaining why it is not possible for him to be present in person on the date specified.
- (c) If the claimant for gratuity is a nominee or a legal heir, the employer may ask for such witness or evidence as may be deemed relevant for establishing his identity or maintainability of his claim, as the case may be. In that case, the time limit specified for issuance of notices under clause (a) of sub-rule (2) shall be operative with effect from the date such witness or evidence, as the case may be, called for by the employer is furnished to the employer.
- (d) A notice in Form-III shall be served on the applicant either by personal service after taking receipt or by registered post with acknowledgement due or electronically.
- (e) A notice under sub-section (2) of section 56 shall be in Form-III.
- (3) **Mode of payment of gratuity.-** The gratuity payable under the Code shall be paid through Demand Draft or by crediting in the bank account of the eligible employee, nominee or legal heir, as the case may be:

Provided that intimation about the details of payment shall also be given by the employer to the competent authority of the area.

(4) Application to competent authority for direction under clause (b) of subsection (5) of section 56.-

- (a) If an employer-
- (i) refuses to accept a nomination under rule 34 or to entertain an application sought to be filed under sub-rule (1), or
- (ii) issues a notice under clause (a) of sub-rule (5) (2) either specifying an amount of gratuity which is considered by the applicant less than what is payable or rejecting eligibility to payment of gratuity, or
- (iii) having received an application under sub-rule (1) fails to issue notice as required. under sub-rule (2) within the time specified therein, the claimant employee, nominee or legal heir, as the case may be, may, within one hundred eighty days of the occurrence of the cause for the application, apply in Form-IV to the competent authority for issuing a direction under sub-section (5) of section 56 with as many extra copies as are the opposite party:

Provided that the competent authority may accept any application under this sub-rule, on sufficient cause being shown by the applicant, after the expiry of the specified period.

(b) Application under clause (a) sub-rule (4) and other documents relevant to such an application shall be presented in person to the competent authority or shall be sent by registered post acknowledgement due or electronically.

(5) Procedure for dealing with application for direction.-

(a) On receipt of an application under sub-rule (4) the competent authority shall, by issuing a notice in Form-V, by electronically or registered post acknowledgment due or in person call upon the applicant as well as the employer to appear before him on a

specified date, time and place, either by himself or through his authorised representative together with all relevant documents and witnesses, if any.

- (b) Any person desiring to act on behalf of an employer or employee, nominee or legal heir, as the case may be, shall present to the competent authority a letter of authority from the employer or the person concerned, as the case may be, on whose behalf he seeks to act together with a written statement explaining his interest in the matter and praying for permission so to act. The competent authority shall record thereon an order either according his approval or specifying, in the case of refusal to grant the permission prayed for, the reasons for the refusal.
- (c) A party appearing by an authorised representative shall be bound by the acts of the representative.
- (d) After completion of hearing on the date fixed under clause (a), or after such further evidence, examination of documents, witnesses, hearing and inquiry, as may be deemed necessary, the competent authority shall record his finding as to whether any amount is payable to the applicant under the Code. A copy of the finding shall be given to each of the parties.
- (e) If the employer concerned fails to appear on the specified date of hearing after due service of notice without sufficient cause, the competent authority may proceed to hear and determine the application ex parte. If the applicant fails to appear on the specified date of hearing without sufficient cause, the competent authority may dismiss the application:

Provided that an order under clause (e) of sub-rule (5) may, on good cause being shown within thirty (30) days of the said order, be reviewed and the application reheard after giving not less than fourteen days' notice to the opposite party of the date fixed for rehearing of the application.

(6) Place and time of hearing.-

The sittings of the competent authority shall be held at such times and at such places as he may fix and he shall inform the parties of the same in such manner as he thinks fit.

(7) Administration of oath.-

The competent authority may authorise a clerk of his office to administer oaths for the purpose of making affidavits.

(8) Summoning and attendance of witnesses.-

The competent authority may, at any stage of the proceedings before him, either upon or without an application by any of the parties involved in the proceedings before him, and on such terms as may appear to the competent authority just, issue summons to any person in Form-V either to give evidence or to produce documents or for both purposes on a specified date, time and place.

(9) Service of summons or notice.-

- (a) Subject to the provisions of clause (b) any notice, summons, process or order issued by the competent authority may be served either personally or by registered post acknowledgement due or electronically or in any other manner as prescribed under the Code of Civil Procedure, 1908 (Act No.5 of 1908)
- (b) Where there are numerous persons as parties to any proceeding before the competent authority and such persons are members of any trade union or association or are represented by an authorised person, the service of notice on the Secretary, or where there is no Secretary, on the principal officer of the trade union or association, or on the authorised person shall be deemed to be service on such persons.

(10) Maintenance of records of cases by the competent authority.-

- (a) The competent authority shall record the particulars of each case under section 56 and at the time of passing orders shall sign and date the particulars so recorded.
- (b) The competent authority shall, while passing orders in each case, also record the findings on the merits of the case and file it together with the memoranda of evidence with the order sheet.
- (c) Any record, other than a record of any order or direction, which is required by these rules to be signed by the competent authority, may be signed on behalf of and under the direction of the competent authority by any subordinate officer appointed in writing for this purpose by the competent authority.

(11) Direction for payment of gratuity.-

If a finding is recorded under clause (d) of sub-rule (5) that the applicant is entitled to payment of gratuity under the Code, the competent authority shall issue a notice to the employer concerned in Form-VI electronically or registered post acknowledgment due or in person specifying the amount payable and directing payment thereof to the applicant under intimation to the competent authority within thirty days from the date of the receipt of the notice by the employer. A copy of the notice shall be endorsed to the applicant employee, nominee or legal heir, as the case may be.

(12) Appeal.-

- (a) . The Memorandum of appeal under sub-section (8) of section 56 of the Code shall be submitted to the appellate authority with a copy thereof to the opposite party and the competent authority either through delivery in person or under registered post acknowledgement due or electronically.
- (b) The Memorandum of appeal shall contain the facts of the case, the decision of the competent authority, the grounds of appeal and the relief sought.
- (c) There shall be appended to the Memorandum of appeal a certified copy of the finding of the competent authority and direction for payment of gratuity.
- (d) On receipt of the copy of Memorandum of appeal, the competent authority shall forward records of the case to the appellate authority.
- (e) Within fourteen (14) days of the receipt of the copy of the Memorandum of appeal, the opposite party shall submit his comments of each paragraph of the memorandum with additional pleas, if any, to the appellate authority with a copy to the appellant.
- (f) The appellate authority shall record its decision after giving the parties to the appeal a reasonable opportunity of being heard. A copy of the decision shall be given to the parties to the appeal by electronically or registered post or in person and a copy thereof shall be sent to the competent authority returning his records of the case.
- (g) The competent authority shall, on receipt of the decision of the appellate authority, make necessary entry in the records of the case maintained by him.
- (h) On receipt of the decision of the appellate authority, the competent authority shall, if required under that decision, modify his direction for payment of gratuity and issue a notice to the employer concerned in Form-VI specifying the modified amount payable and directing payment thereof to the applicant, under intimation to the competent authority within fifteen (15) days of the receipt of the notice by the employer. A copy of the notice be endorsed to the appellant employee, nominee or legal heir, as the case may be, and to the appellate authority.

(13) Application for recovery of gratuity.-

- (1)Where an employer fails to pay the gratuity due under the Code in accordance with the notice by the competent authority under sub-rule (11) or sub-rule (12), as the case may be, the employee concerned, his nominee or legal heir, as the case may be, to whom the gratuity is payable may apply to the competent authority in duplicate in Form-VII for recovery thereof under section 129 of the Code.
- (2) Such Board of Trustees should include equal number of representatives of the employer and the employees of the establishment.

Appointment of competent authority.-

The competent authority shall be appointed by the State Government by notification under sub-section (1) of Section 58, for implementation of Chapter-V of Code and rules made thereunder.

<u>CHAPTER - IV</u> MATERNITY BENEFIT

10. Authority to whom an appeal may be preferred under sub- section (3) of section 72.-

(1) Complaint under section 72.-

- (a) A complaint under sub-section (1) of section 72 shall be made in writing in Form-VIII as the case may be.
- (b) When a complaint referred to in section 72 is received by an Inspector-cum-Facilitator, he shall examine the relevant records maintained by the employer in this behalf, examine any person employed in the establishment and take down necessary statement for the purpose of the enquiry and if he is satisfied that the maternity benefit or the amount has been improperly withheld, he shall direct the employer to make the payment to the woman or to the person claiming the payment under section 63, as the case may be, immediately or within a specified period.

(2) Appeal under section 72.-

- (a) An appeal against the decision of the Inspector- cum-Facilitator under subsection (2) of section 72, shall lie to the Competent Authority.
- (b) The aggrieved person shall prefer an appeal in writing to the prescribed Authority in Form-IX and file other supporting documents under section 72(3) of the code.
- (c) When an appeal is received, the prescribed Authority shall call from the Inspector-cum-Facilitator before a fixed date, the record of the case. The prescribed Authority shall, if necessary, also record the statements of the aggrieved person, and of the Inspector-cum-Facilitator and seek clarification if any is required.
- (d) Taking into account the documents, the evidence produced before him and the facts presented to him or ascertained by him, the prescribed Authority shall give his decision.
- (e) Authority to whom an appeal may be preferred: A person aggrieved by the order of Inspector-cum-Facilitator under Sub-Section (2) of Section 72 may appeal the authority appointed by the State Government by way of notification under the provisions of Sub-Section (3) of Section 72.

CHAPTER - V EMPLOYEE'S COMPENSATION

11. The amount to be deposited towards the expenditure of the funeral of the employee with the competent authority by the employer under sub-section (7) of section 76.-

Amount of Funeral: If the injury of the employee result in his death, the employer shall, in addition to the compensation under sub-section (1), deposit with the competent authority a sum of not less than Rs. 15,000/- or such amount as may be notified by the State Government for the payment of the same to the eldest surviving

dependant of the employee towards the expenditure of the funeral of such employee or where the employee did not have a dependant or was not living with his dependant at the time of his death, to the person who actually incurred such expenditure: If the Central Government enhances the amount specified in this sub-section, it shall be followed by the State Government.

- 12. Conditions when application for review is made with certificate of a medical practitioner under sub-section (1) of section 79.-
- (1)When application may be made without medical Certificates:- Application for review of a half-monthly payment under section 79 of the code may be made without accompanying a medical certificate:-
- (a) by the employer, on the ground that since the right to compensation was determined the workmen's wages have increased;
- (b) by the workmen, on the ground that since the right to compensation was determined his wages •have diminished;
- (c) by the workmen, on the ground that the employer, having commenced to pay compensation, has ceased to pay the same, notwithstanding the fact that there has been no change in the workmen's condition such as two warrant such cessation;
- (d) either by the employer or by the workmen, on the ground that the determination of the rate of compensation for the time being in force was obtained by fraud or undue influence or other improper means;
- (e) either by the employer or by the workman on the ground that in the determination of compensation there is a mistake or error apparent on the face of the record.
- (2) Procedure on application for review.- If, on examining an application for review by an employer in which the reduction or discontinuance of half-monthly payments is sought it appears to the Competent Authority that there is reasonable grounds for believing that the employer has a right to such reduction to discontinuance, he may at any time issue an order after giving the employee likely to be affected thereby an opportunity of being heard for withholding the half monthly payments in whole or in part pending his decision on the application.

(3) Procedure on application for commutation. -

- (1) Where application is made to the Competent Authority under Section 7 for redemption of a right to receive half-monthly payments by the payment of a lump sum, the Competent Authority shall form an estimate of the probable duration of the disablement, and shall not a sum equivalent to the total of half-monthly payments would be payable for the period during which he estimated the disablement will continue, less one-half per cent, of that total for each month comprised in that period : Provided that fractions of a rupee included in the sum so computed shall be disregarded.
- (2) When, in any case to which sub-rule (1) applies, the Competent Authority is unable to form an approximate estimate of the probable duration of the disablement, he may from time to lime postpone a decision on the application for a period not exceeding two months at any one time.

13. Class of employers and the form of notice book under sub- section (4) of section 82.-

The appropriate government may require that any class of employers as may be prescribed by that government shall maintain at their premises at which employees are employed, a notice book, in such form (See Form-X) prescribed by that government, which shall be readily accessible at all reasonable times to any injured employee employed on the premises and to any person acting bonafide on his behalf.

14. The frequent interval for medical examination under the proviso to subsection (1) of section 84.-

- (1) Workman not to be required to submit to medical examination save in accordance with rule. A workman who is required by sub-section (1) of Section 11 to submit himself for medical examination shall be bound to do so in accordance with the rules contained in this part and not otherwise.
- (2) **Examination when workman and medical practitioner both are available at premises.** When each workmen is present at the employer's premises and the employer offers to have him examined free of charge by a qualified medical practitioner who is so present, the workman shall submit himself for examination forthwith.
- (3) **Examination in other cases**. In cases to which Rule 14 does not apply the employer may:-
- (a) send the medical practitioner to the place where the workman is residing for the time being, in which case the workman shall submit himself for medical examination on being requested to do so by the medical practitioner; or
- (b) send to the workman any offer in writing to have him examined free of charge by a qualified medical practitioner, in which case the workman shall submit himself for medical examination at the employer's premises or at such other place in the vicinity as is specified in such offer and at such time as so prescribed

Provided that:-

- (i) the time so specified shall not, save with the express consent of the workman, be between the hours of 7 p.m. and 6 a.m.; and
- (ii) in case where the workman's condition renders it impossible or inadvisable that he should leave the place where he is residing for the time being, he shall not be required to submit himself for medical examination save at such place.
- (4) **Restriction on number of examination**. A workman who is in receipt of a half- monthly payment shall not be required to submit himself for medical examination elsewhere than at the place where he is residing for the time being more than twice in the first month following the accident, or more than once in any subsequent month.
- (5) **Examination after suspension of right to compensation**. If a workman whose right to compensation has been suspended under sub-section (2) or sub-section
- (3) of section 84 of the code subsequently offers himself for medical examination, his examination shall take place on the employer's premises or at such other place in the vicinity as may be fixed by the employer, and at a time to be fixed by the employer not being, save with the express consent of the workman more than 72 hours after the workman has so offered himself.

(6) Examination of women.-

- (i) No woman shall without her consent be medically examined by a male Practitioner save in the presence of another woman.
- (ii) No woman shall be required to be medically examined by a male practitioner if she deposits a sum sufficient to cover the expenses of examination

by a female practitioner.

15. The statement to be submitted by the employer in the prescribed form under sub- section (1) of section 88.-

- (i) The notice to be sent by a Competent Authority under sub-section (1) of Section 88 of the code shall be in Form- XI and shall be accompanied by a blank copy of Form- XII.
- (ii) The statement to be submitted by an employer under section 88 shall be in Form-XII
- (iii) The report in respect of an accident under sub-section (1) of section 88 may be sent to the authority to whom the notice of such accident is required to be given.

16. The manner of recording the memorandum in a register by the competent authority under sub- section (1) of section 89.-

(1) Form of Memorandum. - Memorandum of agreement sent to the Competent Authority under sub-section (1) of section 89 shall, unless the Competent Authority otherwise directs be in duplicate and shall be in as close conformity as the circumstances of the case admit with Form-XIII or Form-XIV or Form-XV, as the case may be.

(2) Procedure where Competent Authority does not consider that he should refuse to record memorandum. -

(i) On receiving a memorandum of agreement, the Competent Authority shall, unless he considers that there are grounds for refusing to record the memorandum, fix a date for recording the same, and shall issue a notice in writing in Form-XVI to the parties concerned that in default of objections he proposes to record the memorandum on the date so fixed:

Provided that the notice may be communicated orally to any parties who are present at the time when notice in writing would otherwise issue.

(ii) On the date so fixed, the Competent Authority shall record the memorandum unless, after hearing any of the parties who appear and desire to be heard, he considers that I ought not to be recorded:

Provided that the issue of a notice under sub-rule (1) shall not be deemed to prevent the Competent Authority from refusing to record the memorandum on the date so fixed even if no objection be .made by any party concerned.

(iii) If on such date, the Competent Authority decides that the memorandum ought not to be recorded, he shall inform the parties present of his decision and of the reasons therefore, and if any party desiring the memorandum to be recorded is not present, he shall send information to that party in Form- XVII.

(3) Procedure where Competent Authority considers he should refuse to record memorandum. -

(i) If, on receiving a memorandum of agreement, the Competent Authority considers that there are grounds for refusing to record the same, he shall fix a date for hearing the party or parties desiring the memorandum to be recorded, and shall inform such party or parties and, if he thinks fit, any other party concerned, of the date so fixed and of the grounds on which he considers that the memorandum should not be recorded.

- (ii) If the parties to be informed are not present, a written notice shall he sent to them in Form-XVIII or Form-XIX, as the case may be, and the date fixed in such notice shall be not less than seven days after the date of the issue of the same.
- (iii) If, on the date fixed under sub-rule (1), the party or parties desiring the memorandum to be recorded show adequate cause for proceeding to the record of the same, the Competent Authority may, if information has already been given to all the parties concerned, recorded the agreement. If information has not been given to all such parties, he shall proceed in accordance with Rule 20(2).
- (iv) If, on the date so fixed, the Competent Authority refuses to record the memorandum, he shall send notice in Form-XVII to any party who did not receive information under sub-rule (1).

(4) Procedure on refusal to record memorandum. -

- (i) If, in any case, the Competent Authority refuses to record a memorandum of agreement, he shall briefly record his reasons for such refusal.
- (ii) If, the Competent Authority refuses to record a memorandum of agreement, he shall not pass any order directing the payment of any sum of amount over and above the sum specified in the agreement, unless opportunity has been given to the party liable to pay such sum to show-cause why it should not be paid.
- (iii) Where the agreement is for the redemption of half monthly payments by the payment of lump-sum, and the Competent Authority considers that the memorandum of agreement should not be recorded by reason of the inadequacy of the amount of such sum as fixed in the agreement, he shall record his estimate of the probable duration of the disablement of the workman.
- (5) Registration of memorandum accepted for record. In recording a memorandum of agreement, the Competent Authority shall cause the same to be entered in a register in Form- XX and shall cause an endorsement to be entered under his signature on a copy of the memorandum to be retained by him in the following terms, namely:-

"This	memorandum of	agreement	bearing	serial	No	of	20

In the register has been recorded this day......of 20......

Signature of Competent Authority

17. Such other experience and qualification for appointment as a competent authority under sub-section (1) of section 91.-

Eligibility of the Competent Authority -

- (i) Member of State Judicial service who is serving for a period of not less than five (5) years; or
- (ii) Advocate with at least five (5) years of practice; or
- (iii) Gazetted officer with service not less than five (5) years having educational qualification and experience in personnel management, human resource development, Industrial relation and legal affairs. Or

- (iv) Officer of the Labour Department not below the rank of Assistant Labour Commissioner / Deputy Director, Industrial Health and Safety.
- 18. The manner of the notice under sub section (1) and the manner of transmitting money under sub section (3) of section 92.-

(1) Transfer for report.-

- (i) A Competent Authority transferring any matter to another Competent Authority for report in accordance with sub-section (2) of Section 92 shall, along with the documents referred to in that sub-section, transmit to such other Competent Authority a concise statement, in the form of questions for answer, of the matter on which report is required.
- (ii) A Competent Authority to whom a case is so transferred for report shall not be required to report on any question of law.

(2) Transmission of money.-

Money transmitted by one Competent Authority to another in accordance with subsection (2) of Section 92 shall be transmitted either by remittance transfer receipt, or by money order, or by messenger, as the Competent Authority transmitting the money may direct.

19. The form, manner and fee for application for claim or settlement under sub-section (3) and Time limit for disposal of application and cost incidental to the proceedings under sub-section (4) of section 93.-

Procedure:

- (1) <u>Introductory</u>.- Save as otherwise provided in these rules, the procedure to be followed by Competent Authorities in the disposal of cases under the Act or these rules and by the parties in such cases shall be regulated in accordance with the rules contained in this.
- (2) Applications.- Any application of the nature referred to in section 93 may be sent to the Competent Authority by registered post or may be presented to him or to any of his subordinate authorized by him in this behalf and, if so sent or presented, shall unless the Competent Authority otherwise directs, be made in duplicate in the appropriate form, if any, and shall be signed and verified by the applicant in the manner prescribed by Order VI of Rule 15 of the Code of Civil Procedure 1908 (Act No.5 of 1908). (See From XXII), (See From XXIII)

(3) Production of documents.-

- (i) When the application for relief is based upon a document, the document shall be appended to the application.
- (ii) Any other document which the applicant desires to tender in evidence shall be produced at or before the first hearing.
- (iii) Any document which is not produced at or within the time specified in sub-rule (1) or (2) as the case may be, shall not, without the sanction of the Competent Authority be received in evidence on behalf of the applicant.
- (iv) Nothing in this rule applied to any document which is produced for the

purposes of cross-examining a witness or is handed to a witness to refresh his memory.

(4) Application presented to wrong Competent Authority:

- (v) If it appears to the Competent Authority on receiving the application that it should be presented to another Competent Authority, he shall return it to the applicant after endorsing upon it the date of the presentation and return, the. Reason for returning it and the designation of the Competent Authority to whom it should be presented.
- (vi) It appears to the Competent Authority at any subsequent stage that an application should have been presented to another Competent Authority, he shall send the application to the Competent Authority empowered to deal with it and shall inform the applicant and the opposite party if he has received a copy of the application under the Rule 8, accordingly.
- (vii) The Competent Authority to whom an application is transferred under sub-rule (2) may continue the proceedings as if the previous proceedings or any part of them had been taken before him, if he is satisfied that the interest of the parties will not thereby be prejudiced.

(5). Examination of applicant.-

- (i) On receiving the application of the nature referred to in Section 93, the Competent Authority may examine the applicant on oath or may send the application to any officer authorised by the State Government in this behalf and direct such officer to examine the applicant and his witness and forward the record thereof to the Competent Authority.
- (ii) The substance of any examination made under sub-rule (1) shall be recorded in the manner provided for the recording of evidence in section 97.

(6) Summary dismissal of application.-

- (i) The Competent Authority may, after considering application and the result of any examination of the applicant under sub-rule (5) summarily dismiss the application, if, for reasons to be recorded, he is of opinion that there are no sufficient grounds for proceeding thereon.
- (ii) The dismissal of the application under sub-rule (1) shall not itself preclude the applicant from presenting a fresh application for the settlement of the same matter.

(7) Preliminary inquiry info application.-

If the application is not dismissed under sub-rule (6), the Competent Authority may, for reasons to be recorded, call upon the applicant to produce evidence in support of the application before calling upon any other party, and if upon considering such evidence the Competent Authority is of opinion that there is no case for the relief claimed, he may dismiss the application with a brief statement of his reasons for so doing.

(8) Notice to opposite party.-

If the Competent Authority does not dismiss the application under sub-rule (8) or sub-rule (7), he shall send to the party from whom the applicant claims relief (here in after referred to as the opposite party), a copy of the application, together with a notice of date on which he will dispose of the application and may call upon the parties to produce upon that date any evidence which they may wish to tender.

(9) Appearance and examination or opposite party.-

- (i) The opposite party may, and if so required by the Competent Authority, shall, at or before the first hearing or within such time as the Competent Authority may permit, file a written statement dealing with the claim raised in the application, and arty such written statement shall form part of the record.
- (ii) If the opposite party contests the claim, the Competent Authority may, and, if no written statement has been filed, shall proceed to examine him upon the claim, and shall reduce the result of the examination to writing.

(10) Framing of issues.-

- (i) After considering the written statement and the result of any examination of the parties, the Competent Authority shall ascertain upon what material propositions of fact or of law the parties are at variance, and shall thereupon proceed to frame and record the issues upon which the right decision of the case appears to him to depend.
- (ii) In recording the issues, the Competent Authority shall distinguish between those issues which in his opinion concern points of fact and those which concern points of law.

(11) Power to postpone trial of issues of fact where issues of law arise.-

When issues both of law and of fact arise in the same case, and the Competent Authority is of opinion that the case may be disposed of on the issues of law only, he may try those issues first, and for that purpose may, if he thinks fit, postpone the settlement of the issues of fact until after the issues of law' have been determined.

- (12) <u>Diary</u>.- The Competent Authority shall maintain under his hand a brief diary of the proceedings on an application.
- (13) Trial and disposal of application: Every application under the Code shall be tried as expeditiously as possible and endeavor shall be made to conclude the trial within six months from the date of service of notice of the application on the opposite party.
- (i) In the cases, where the period of six months has been expire, hearing shall be conducted day to day.
- (ii) On an application made by any party to the proceedings and on deposit of fees and expenses fixed by the Competent Authority, the Competent Authority may summon any witness whose evidence, he thinks is necessary for just decision of the case.
- (iii) **Scale of Fee**: Fees relating to proceedings of the case shall be such as determined by the State Government from time to time.

Provided that if in any matter the applicant for any reason is unable to pay the fees in advance, the competent authority may proceed without deposit of advance fees and may direct the payment of the fee shall be due after final decision in the case.

- (iv) **Incidental Costs**: (1) Any party to the dispute who desires to get certified copies of decision, decree, or other document may get the same on payment of cost at the following rate:-
 - The cost for the copies of any document of record or statement or order or decree shall be Rs. 2/- per page along with Rs. 10/- for the application for the copies;
 - The cost of service of summons or notices or expenses of witnesses or the cost payable in respect of any matter not referred before shall be such amount as may be specified in each case by the Court and such amount or any other sum of money payable under this rule shall be paid in such manner and within such time as may be specified;
 - The Court, whenever it finds either on applications of applicant or otherwise that the applicant is unable to pay the cost, may exempt the applicant from the payment of cost.
- (2) Any person who is not a party to dispute, may get the certified copy of decision, decree or other documents except the confidential documents, on payment of the fees prescribed in above mentioned clauses.

(14) Judgement.-

- (i) The Competent Authority in passing orders, shall record concisely a judgement, and his finding on each of the issues framed and his reasons for such finding.
- (ii) The Competent Authority, at the time of signing and dating his judgement, shall pronounce his decision, and thereafter no addition or alteration shall be made to the judgment other than the correction of a clerical or arithmetical mistake arising from any accidental slip or omission.

(15) Summoning of witnesses.-

If an application is presented by any party to the proceedings for the citation of witnesses, the Competent Authority shall on payment of the prescribed expenses issue summons for the appearance of such witnesses, unless he considers that their appearance is not necessary for the decision of the case.

(16) Right of entry for local inspection.-

A Competent Authority before whom any proceeding relating to an injury by accident is pending may at any lime enter the place where the workman was injured, or where the workman ordinarily performed his work, for the purpose of making a local inspection or of examining any persons likely to be able to give information relevant to the proceedings:

Provided that the Competent Authority shall not enter any premises of any industrial establishment except during the ordinary working hours of that establishment save with the permission of the employer or of some person directly responsible to him for the management of the establishment.

(17) Procedure in connection with local inspection.

- (i) If the Competent Authority proposes to conduct a local inspection with a view to examining on the spot the circumstances in which an accident took place, he shall give the parties or their representative notice of his intention lo conduct such inspection, unless in his opinion the urgency of the case renders the giving of such notice impracticable.
- (ii) Such notice may be given orally or in writing, and, in the case of an employer may be given to any person upon whom notice of a claim can be served under subsection
- (2) of section 88, or to the representatives of any such person.
- (iii) Any party, or the representative of any party, may accompany the Competent Authority at a local inspection.
- (iv) The Competent Authority, after making local inspection, shall note briefly in a memorandum any facts observed, and shall show the memorandum to any party who desires to see the same, and, on payment of the prescribed fees, shall supply any party with a copy thereof.
- (v) The memorandum shall form part of the record.

(18) Powers of summary examination.-

- (i) The Competent Authority during a local inspection or at any other time, have at a formal hearing of a case pending before him, may examine summarily any person likely to be able to give information relating to such case, whether such person has been or is to he called as a witness in the case or not, and whether any or all of the parties are present or not.
- (ii) No oath shall be administered to a person examined under sub-rule (1).
 - (iii) Statements made by persons examined under sub-rule (1), if reduced to writing, shall not be signed by the person making the statement, nor shall they except as hereinafter provided, be incorporated in the record or utilised by the Competent Authority for the purpose of arriving at a decision in the case.
 - (iv) If a witness who has been examined under sub-rule (1) makes in evidence any material statement contradicting any statement made by him in such examination and reduced to writing, the Competent Authority may call his attention to such statement, and shall in that case direct that the parties be finalized with the relevant part of such statement for the purpose of examining or cross-examining the witness.
 - (v) Any statement or part of a statement which is furnished to the parties under sub-rule (4) shall be incorporated in the record.
 - (vi) Where a case is settled by agreement between the parties, the Competent Authority may incorporate in the record any statement made under sub-rule (1), and may utilise such statement for the purpose of justifying his acceptance of, or refusal to accept, the agreement reached.

(19) Agreement to abide by Competent Authority's decision.-

(i) If a party states in writing his willingness to abide by the decision of the Competent Authority, the Competent Authority shall inquire whether the other party

is willing to abide by his decision.

- (ii) If the other party agrees to abide by the Competent Authority's decision, the fact of his agreement shall be recorded in writing and signed by him.
- (iii) If the other party does not agree to abide by the Competent Authority's decision, the first party shall not remain under an obligation so to abide.

(20) Procedure where indemnity claimed under Section 85 (2).-

- (i) Where the opposite party claims that if compensation is recovered from him he will be entitled under sub-section (2) of Section 85 to be indemnified by a person not being a party to the case, he shall, when first called upon to answer the application, present a notice of such claim to the Competent Authority accompanied by the prescribed fee, and the Competent Authority shall thereupon issue notice to such person in Form XXIV.
- (ii) If any person served with a notice under sub-rule (1) desires to contest the applicant's claim for compensation, or the opposite party's claim to be indemnified, he shall appear before the Competent Authority on the date fixed for the hearing of the case or on any date to which the case may be adjourned and if he so appears, shall have all the rights of a party to the proceedings; and in default of so appearing he shall be deemed to admit the validity of any award made against the opposite party and to admit its own liability of indemnify the opposite party for any compensation recovered from him:

Provided that, if any person so served appears subsequently and satisfies the Competent Authority that he was prevented by any sufficient cause from appearing, the Competent Authority shall, after giving notice to the aforesaid opposite party, hear such person and may set-aside or vary any award made against such person under this rule upon such terms as may be just.

- (iii) If any person served with a notice under sub-rule (I), whether or not he desires to contest the applicant's claim for compensation or the opposite party's claim to be indemnified, claims that being a contractor he is himself a principal and is entitled to be indemnified by a person standing to him in the relation of a contractor from whom the workman could have recovered compensation he shall on or before the date fixed in the notice under sub-rule
 - (1) present a notice of such claim to the Competent Authority accompanied by the prescribed fee and the Competent Authority shall thereupon issue notice to such person in Form-XXV.
- (iv) If any person served with a notice under sub-rule(3) desires to contest the applicant's claim for compensation, or the claim under sub-rule (3) to be indemnified he shall appear before the Competent Authority on the date fixed in the notice in Form-XXV or on any date to which the case may be adjourned and if he so appears, shall have all the rights of a party to the proceedings in default of so appearing he shall be deemed to admit the validity of any award made against the original opposite party or the person served with a notice under sub-rule (1) and to admit his own liability to indemnify the party against whom such award is made for any compensation recovered from him:

Provided that, if any person, so served appears subsequently and satisfies the Competent Authority that he was prevented by any sufficient cause from appearing, the Competent Authority shall, after giving notice to all parties on the record, hear

such person, and may set-aside or vary any award made against such person under this rule upon such terms as may be just.

(v) In any proceeding in which a notice has been served on any person under sub-rule (1) or sub- rule (3), the Competent Authority shall, it he awards compensation, record in his judgement a finding in respect of each of such persons whether he is or is not liable to indemnify any of the opposite parties, and shall specify the party, if any, whom he is liable to indemnify.

(21). Procedure in connected cases.-

- (i) Where two or more cases pending before a Competent Authority arise out of the same accident, and any issue involved is common to two or more such cases, such cases may, so far as the evidence bearing on such issue is concerned, be heard simultaneously.
- (ii) Where action is taken under sub-rule (1), the evidence bearing on the common issue or issues shall be recorded on the record of one case, and the Competent Authority shall certify under his hand on the records of any such other case, the extent to which the evidence so recorded applies to such other case, and the fact that the parties to such other case had the opportunity of being present, and, if they were present of cross- examining the witnesses.
- **(22).** Certain provisions of Code of Civil Procedure, 1908 to apply.- Save as otherwise expressly provided in the Act or these rules, the following provisions of the First Schedule to the Code of Civil Procedure, 1908 namely, those contained in Order-V Rules 9 to 13 and 15 to 30.

Order-IX. Order-XII Rules 3 to 10, Order- XVI Rules 2 to 21, Order- XVII, Order- XVIII Rules 1 and 2 shall apply to proceedings before Competent Authorities, in so far they may be applicable thereto:

Provided that:-

- (a) For the purpose of facilitating the application of the said provisions, the Competent Authority may construe them with such alterations not affecting the substantive as may be necessary or proper to adopt them to the matter before him/her;
- (b) The Competent Authority may, for sufficient reasons, proceed otherwise than in accordance with the said provisions, if he is satisfied that the interests of the parties will not thereby be prejudiced.
- (23) Provisions regarding signature of forms.- Any form, other than a receipt for compensation, which is by these rules required to be signed by a Competent Authority may be signed under his direction and on his behalf by any officer subordinate to him appointed by him in writing for this purpose.
- **(24)** Apportionment of compensation among dependants. The provisions of this part except those contained in Rules 08, 09 and 21 shall as far as may be apply in the case of any proceedings relating to the apportionment of compensation among dependants of a deceased workman.
- **(25)** Reasons for postponement to be recorded.- If the Competent Authority finds it impossible to dispose of an application at one hearing he shall record the reasons which necessitate a postponement.
- (26) Exemption from payment of costs.- If the Competent Authority is satisfied that the applicant is unable, by reason of poverty, to pay the prescribed fees, he may remit any or all of such fees. If the case is decided in favour of the applicant, the

prescribed fees which, they had not been remitted, would have been due to be paid, may be added to the cost of the case and recovered in such manner as the Competent Authority in his order regarding costs may direct.

20. The manner of authentication of memorandum under section 97.-Examination of applicant. -

- (1) On receiving the application of the nature referred to in Section 93, the Competent Authority may examine the applicant on oath or may end the application to any officer authorised by the State Government in this behalf and direct such officer to examine the applicant and his witness and forward the record thereof to the Competent Authority.
- (2) The substance of any examination made under sub-rule (1) shall be recorded in the manner provided for the recording of evidence in Section 97.

SOCIAL SECURITY AND CESS IN RESPECT OF BUILDING AND OTHERCONSTRUCTION WORKERS

- 21. Time limit to pay the amount of cess and the rate of interest in case of delayed payment of cess under section 101.- If any employer fails to pay any amount of cess payable under section 100 of the Code, within such time as may be specified in the assessment order, or Thirty (30) days from the date of issue of the assessment order, whichever is earlier, such employer shall be liable to pay interest on the amount of cess, to be paid, at the rate of one per cent. for every month or part of a month comprised in the period from the date on which such payment was due till such amount is actually paid.
- (1) **Appointment of Appellate Authority**: The State Government by notification shall appoint the Appellate Authority to hear and decide Appeals under sub-Rule (1) of Rule 46 of the code on social security (Central) Rules, 2020
- 22. Fees for appeal under sub-section (2) of section 105. The such appeal, interalia, shall be accompanied with- a non- refundable fee equivalent to half percent, but not exceeding rupees twenty five (25) thousands of the amount in dispute or penalty or both, as the case may be, under such appeal; which will be deposited in Labour Department head of account 0230-00-101-0555 in Cyber Treasury of the State Government.

CHAPTER - VII

SOCIAL SECURITY FOR UNORGANISED WORKERS, GIG WORKERSAND PLATFORM WORKERS.

- 22-A: "Any scheme notified by the State Government under sub-section (2) of sec.109, for the unorganized workers, GIG Workers and Platform Workers shall be implemented through the Andhra Pradesh State Unorganized Social Security Board. The Board shall constitute under Rule 3(1).
- **22-B**: Eligible age for registration under clause (a) and form and manner of information under clause (b), of sub-section (1) and the form of application, documents for registration and manner of self registration under sub-section (2), of Section 113. (1) Registration of unorganised worker or any category or sub-category of unorganised workers. –
- (a) Every eligible unorganised worker, or any category or sub-category of unorganised worker under section 113 shall be required to be registered with Aadhaar, on selfdeclaration basis in the form on the portal, as specified by the State Government.
- (b) In order to be eligible for any benefit under any scheme(s) framed under the

Code for any unorganised worker or any category or sub-category of unorganised worker, the appropriate Government may notify specific condition(s) for eligibility, as deemed fit.

- (c) The eligible unorganised worker, or any category or sub-category of unorganised worker shall submit application form, electronically, with Aadhaar on self-declaration basis for registration to such authority on the specified portal of State Government.
- (d) On completion of registration, such worker shall be issued an acknowledgement, electronically or otherwise, bearing his Unique Registration Number.
- (e) The unorganised worker, or any category or sub-category of unorganised worker shall be required to update their particulars such as current address, current occupation, mobile number, skill, or any other particular(s) from time to time, as may be specified by the appropriate Government. In the absence of such updation, any unorganised worker, or any category or sub-category of unorganised worker may not remain eligible to avail such benefit (s) of the social security scheme(s) notified under the Code.
- (f) The Commissioner of Labour, from time to time, shall de-duplicate the workers registered on the specified portal of the State Government, on the basis of Aadhaar and only such workers shall be eligible to derive the benefits of the scheme(s) notified under the Code.

CHAPTER - VIII FINANCE AND ACCOUNTS

- 23. Conditions to acquire, hold, sell or otherwise transfer any movable or immovable property under sub-section (1), conditions to invest moneys, re-invest or realise investments under sub-section (2) terms to raise loans and take measures for discharging such loans under sub-section (3) and terms to constitute for the benefit of officers and staff or any class of them, provident or other benefit funds under sub-section (4) of section 120.-
- (1) The Board may, as soon as may be after the coming into force of these rules constitute a fund to be called the Andhra Pradesh Social Security Fund in accordance with the provision of the code and these rules. The fund shall be vested in and be administered by the board.
- (2) All moneys belonging to the fund may be invested in nationalised bank or in securities referred to in clause (a) to (d) of section 20 of the Indian trust Act, 1882 (Act No. 2 of 1882).
- (3) According to sub-section (3) of section 120 Board may be receive Loan / fund in advance form the State Government and return according to terms and condition for the same.
- (4) Time to time each of the social security organization with the prior approval of the state government and on such terms as may be prescribed by the state government, constitute for the benefit of its officers and staff or any class of them, such provident or other benefits fund as it may think fit.

24. Conditions and manner of writing off irrecoverable dues under section 121.-

(1) Where the State Social Security Board is of the opinion that the amount of contribution, Cess, interest and damages due to the State Social Security Board has become irrecoverable, The State Social Security Board or any other officer

authorised by it in this behalf with prior approval of Government may sanction the writing off of the said amount, subject to the following conditions, namely: -

- (i) establishment has been closed for more than five years and the whereabouts of the employer cannot be ascertained, despite all possible efforts;
- (ii) decree obtained by State Social Security Board could not be executed successfully for want of sufficient assets of the defaulting employer; or
- (iii) claim for contribution is not fully met by -
- (a) the Official Liquidator in the event of factories/ establishments having gone into liquidation; or
- (b) the Competent Authority of payments in the event of unit being nationalized or taken over by the Government.

CHAPTER - IX Authorities Assessment, Compliance and Recovery

25. Other powers of Inspector cum facilitator under clause (e) of sub-section (6) of section 122.-

The inspector cum facilitator can exercise such powers which will be determined by the state government time to time.

26. Form and manner for maintenance of records and registers and other particulars and details under clause (a), manner and form for display of notices at the work places of the employees under clause (b) and the manner and period of filing returns to the officers or authority under clause (d) of section 123.-

(1) Register of Women Employees.-

(a) The employer of every establishment in which women are employed shall prepare and maintain a register of women employees in Form-XXVI electronically or in hard copy and shall enter therein particulars of all women workers in the establishment.

Further, it shall always be available for inspection under notified inspection scheme for the Inspector-cum-Facilitator.

- (b) The employer may enter in the register of women employees such other particulars as may be required for any other purpose of the Code.
- (2) Records.-Records kept under the provisions Chapter-V of the Code and the rules framed there under shall be preserved for a period of two years from the date of their preparation.

(3) Annual returns.-

(a) The employer to whom the provisions of Chapter- V of the Code applies, on or before the 1st day of February in each year, upload a unified annual return in Form-XXVII online on the web portal of the Central Government in the Ministry of Labour and Employment, giving information as to the particulars specified, in respect of the preceding year: Provided that during inspection, the Inspector-cum -Facilitator may require the production of accounts, books, register and other documents maintained in electronic form or otherwise. Explanation.- For the purposes of this sub-rule, the expression "electronic form" shall have the same meaning as assigned to it in clause(r) of section 2 of the Information Technology Act,2000 (No.21 of 2000).

(b) If the employer to whom the Code applies sells, abandons or discontinues the working of the establishment, then, he shall, within one month of the date of such sale or abandonment or four months of the date of such discontinuance, as the case may be, upload online, on the web portal of the Central Government in the Ministry of Labour and Employment, a further unified return in Form XXVII referred to in clause(a) in respect of the period between the end of the preceding year and the date of the sale, abandonment or discontinuance.

<u>CHAPTER – X</u> OFFENCES AND PENALTIES

- 27. Manner of compounding of offences by the authorisied officer specified under-section (1) of section 138 and the form and manner of making application for the compounding of an offence under sub-section (4) of section 138.-
- (1) The officer authorized by the State Government by notification for the purposes of compounding of offences under sub-section (1) of section 138 shall issue electronically compounding notice in Form-XXVIII for the offences which are compoundable under section 138.
- (2) The person to whom a notice has been served may apply in Part III of the Form-XXVIII to the officer electronically and deposit the entire compounding amount by electronic transfer or otherwise, within fifteen (15) days of the receipt of the notice.
- (3) The Compounding Officer shall issue a composition certificate in Part IV of Form- XXVIII within ten (10) days of receipt of the composition amount, to such person from whom such amount has been received in satisfaction of the composition notice.
- (4) If a person to whom a notice has been served fails to deposit the composition amount within the prescribed time, the prosecution shall be instituted before the competent Court or the offence in respect of which the compounding notice was issued, against such person.
- (5) Composition after institution of prosecution. –
- (a) The Court may compound any compoundable offence at any time after filing of a complaint under section 138 of the Code.
- (b) The provisions of section 320 of the Code of Criminal Procedure, 1973 shall apply to such compositions.

<u>CHAPTER – XI</u> EMPLOYMENT INFORMATION AND MONITORING

- 28. Establishment and maintenance of Career Centre and the career services
- (1) The Government of Andhra Pradesh may establish, run and maintain Career Centre or modify and declare its already established office or employment exchange or both or a portal or authorize any other such center as Career Centre by notification. Till such notification, existing local Employment Exchanges and Central Employment Exchange would function as Career Centers (Regional) and Career Centre (Central) respectively.
- (2) The Government of Andhra Pradesh may also enter into an agreement with any institution, local authority, local body or private body for running a Career Centre.

- (3) The Career Centres established under sub-rules (1) and (2) above shall inter-alia perform the following functions namely:
 - (a) Collection and furnishing of information, either by the keeping of registers or otherwise, manually, digitally, virtually or through any other mode; relating to:
 - (i) persons who seek to employ employees;
 - (ii) persons who seek employment;
 - (iii) occurrence of vacancies; and
 - (iv) persons who seek vocational guidance and career counseling or guidance to start self-employment;
 - (b) providing career counseling & vocational guidance;
 - (c) organizing job-fairs and job drives;
 - (d) employment related surveys and studies;
 - (e) employability enhancement activities; and
 - (f) other services may be decided by the Government of Andhra Pradesh from time to time.

29. .Reporting vacancies and filing of returns by the employer to the concerned Career Centre

- (1) Reporting of Vacancies to Career Centres:
- (a) After the commencement of this Code in Andhra Pradesh State or area thereof, the employer in **every establishment in public sector** in the Andhra Pradesh state or area shall, before filling up any vacancy in any employment in that establishment, report that vacancy or cause to be reported to such Career Centre as may be specified in the notification by the Government of Andhra Pradesh
- (b) The employer in **every establishment in private sector** or every establishment pertaining to any class or category of establishments in private sector shall, before filling up any vacancy in any employment in that establishment, report that vacancy or cause to be reported to such Career Centre (Regional) from such date as may be specified in the notification by the Government of Andhra Pradesh.
- (c) Government of Andhra Pradesh shall provide for mechanism (including digital) for receipt of vacancies reported by the employers. Career Centre to which the vacancies are reported, would provide a unique vacancy reporting number for the vacancy reported and convey it to the employer in writing, through email or digitally or through any other such media immediately but in any case not later than three working days from the date of receipt of reporting of vacancies.
- **A.** Establishment in "public sector" means an establishment owned, controlled or managed by -
 - (i) the Government or a Department of the Government
 - (ii) a Government company as defined in clause (45) of Section 2 of the Companies Act, 2013 (No. 18 of2013);
 - (iii) a corporation (including a co-operative society) or an autonomous organization or an authority or a body established by or under a Central or State Act, which is owned ,controlled or managed by the Government; and
 - (iv) A local authority.

- **B.** "Establishment in private sector" means an establishment which is not an establishment in public sector and with ordinarily 50 or more employees or such number of employees as may be notified by the Central Government.
- **C.** Appropriate Government would be Central Government for Career Centre (Central) and state Government of Andhra Pradesh for Career Centre (Regional). The State Government, having no career centre or digital portal of its own, may specify by notification reporting of vacancies by establishments in an area thereof to the digital portal or to the Career Centre of the Central Government.

(2) Type of vacancies and respective Career Centre for reporting of vacancies:

- (a) The following vacancies, namely-
- (i) All vacancies in posts of Technical and Scientific nature carrying a minimum pay or pay level or both as notified by the Central Government, occurring in establishments in respect of which the Central Government is the appropriate Government under the Code; and
- (ii) Vacancies which an employer may desire to be circulated to the Career Centers outside the State or Union Territory in which the establishment is situated shall be reported to such Career Centre (Central) as may be specified by the Central Government by notification.
- (b) Vacancies other than those specified in clause (a) of sub-rule (2) above, shall be reported to the Career Centre (Regional) concerned.
- (c) Vacancies which have been reported to the Career Centre (Regional) and for which recruitment is to be made on State or Inter-State or all India basis, shall also be reported to Career Centre(Central) or uploaded on a digital portal as specified by the Central Government by notification.

(3) Form and manner of reporting of vacancies:

- (a) The vacancies shall be reported in writing or through valid official email or digitally to the Career Centre specified by the Government of Andhra Pradesh.
- (b) The vacancies shall be reported in the format given at **Form-XXIX**, furnishing as many details as practicable, separately in respect of each type of vacancy.
- (c) Any change in the particulars already furnished to the Career Centre under clause (a) of sub-rule (3), shall be reported in writing or through official email or digitally as the case may be, to the specified Career Centre.

(4) Time limit in the reporting of vacancies:

- (a) Vacancies, required to be reported to the Career Centre (Regional/District), shall be reported at least fifteen days before the last date of receipt of the applications of the prospective candidates for purpose of appointment or taking interview or test against the vacancies reported.
- (b) Vacancies required to be reported to the Career Centre (Central) shall be reported at least forty days before the last date of receipt of the applications of the prospective candidates for purpose of appointment or taking interview or test against the vacancies reported.

(c) The Employer shall furnish the information relating to the result of selection to the concerned Career Centre against the vacancies reported within thirty days from the date of selection.

(5) Maintenance of records:

- (a) After commencement of this Code in Andhra Pradesh state or area thereof, the employers in every establishment in **the public sector in that state** or area shall maintain records manually or electronically or digitally about
 - i) Total number of employees (regular, contractual or fixed term employment) on 31st March of every year;
 - ii) Persons recruited during the year ending on 31st March;
 - iii) Occupational details of its employees on 31st March of every year;
 - iv) Vacancies for which suitable candidates were not available during the year ending on 31st March; and
 - v) Approximate number of vacancies likely to occur during the next financial year.
- (b) Government of Andhra Pradesh may by notification, require that from such date as may be specified in the notification, the employer in every establishment in private sector or every establishment pertaining to any class or category of establishment in private sector shall maintain records manually or electronically or digitally about
 - i) Total number of employees (regular, contractual or fixed term employment) on 31st March of every year;
 - ii) Persons recruited during the year ending on 31st March;
 - iii) Occupational details of its employees on 31st March of every year;
 - iv) Vacancies for which suitable candidates were not available during the year ending on 31st March; and
 - v) Approximate number of vacancies likely to occur during the next financial year.

(6) Submission of returns:

An employer shall furnish to the concerned Career Centre (Regional/District) yearly returns in form EIR (Employment Information Return) as given at **Form-XXX**. Yearly returns shall be furnished manually or, electronically, or digitally, as the case may be, as specified by the State Government of Andhra Pradesh in notification, within thirty days of the due date namely 31st March of the year.

(7) Declaration of Executive Officer:

(a) The Director of Employment or officer of his equivalent or above rank, controlling the work of Career Centres (Regional/District) of the State Government of Andhra Pradesh, will declare in writing an officer looking after the work of Career Centres (Regional/District) as "Executive Officer" for each district for the purpose of enforcement /implementation of Chapter-XIII (Employment Information and Monitoring) of the Code. He shall be the officer who shall exercise the rights and perform duties referred to in section 139 of the Code, or authorize any person in writing to exercise those rights and perform duties.

(b) The Director of Employment or an officer of equivalent or above rank, controlling the work of Directorate General of Employment, Ministry of Labour & Employment, New Delhi, will declare in writing an officer looking after the work of Career Centres (Central) as "Executive Officer" for the purpose of enforcement /implementation of Chapter-XIII (Employment Information and Monitoring Gol Gazette No.61 of 29.09.2020) of the Code. He shall be the officer who shall exercise the rights and perform duties referred to in Section 139 of the Code.

(8) Levy of penalty:

The Director of Employment or an officer of equivalent or above rank, controlling the work of Career Centres (Regional/District) of the Andhra Pradesh State shall be the competent authority to approve institution or sanction the institution of levy of penalty for an offence under the Code as mentioned in section 133 of code on social security 2020.

(9) Issue of guidelines: For implementation of provisions of Code on Social Security, 2020 relating to Chapter-XIII (Employment Information and Monitoring of the Code Gol Gazette No.61 of 29.09.2020) and rules thereof, the Central Government may issue detailed guidelines which may be supplement further by the State Government of Andhra Pradesh as per local needs.

CHAPTER - XII MISCELLANEOUS

30. Such other sources of funding and the manner of Administering and expending of the Fund under sub- section (5) of section 141.-

- (1) There shall be established by the state government a social security fund for the welfare of the unorganized workers in which there shall be credited the amount received from :-
 - (i) wholly funded by the Central Government; or
 - (ii) partly funded by the Central Government and partly funded by State Government;
 - (iii) partly funded through contributions collected from the beneficiaries of the scheme or the employers as may be specified in the scheme by the Central Government;
 - (iv) funded from any source including corporate social responsibility fund within the meaning of the companies act, 2013 (Act No. 18 of 2013) or any other sources as may be specified in the scheme;
 - (v) any grant or loan received from the Central Government.
 - (vi) funded from State Government by Budget;
 - (vii) other sources notified by the State Government.

The State Government may seek financial assistance from the Central Government for the schemes framed by it.

(2) The scheme will be prepared for the unorganised worker registered as a beneficiary and its family by the unorganized Social Security Board with the prior approval of the state government and the funds will be utilised under the notified scheme.

31. Time within which the State Board, shall forward its view to the appropriate Government under sub-section (1) section 143.-

The State Board, shall forward its views on the application seeking exemption under section 143 of the Code to the appropriate government within six (6) months of receipt of proposal for exemption. If State Board is unable to provide its views within

the said period, the appropriate government may extend the time limit or take action on the application of exemption, as it may deem fit.

- 32. Conditions which the exempted establishment or the class of establishments or an employee or class of employees, as the case may be, shall comply with after such exemption under sub-section (2) of section 143.-
- (1) The establishment to which exemption has been granted from the provision of Chapter- IV of the Code:
- (a) shall maintain such records regarding the exempted employees and submit such returns and other information to the Corporation as may be specified by the Central Government in the Regulations; and
- (b) in case of change of legal status of an establishment which has been granted exemption under section 143 of the Code, due to merger, demerger, acquisition, sale, amalgamation, formation into a subsidiary, whether wholly owned or not, etc. the exemption shall be deemed to be cancelled and the establishment shall be required to apply afresh for exemption, to the appropriate Government.
- 33. Repeal and Savings: The following Rules and Regulations are hereby repealed:
 - a. A.P. Employees Compensation Rules, 1953 (Notification No.188,dt 22-10-1953)
 - b. AP. Workmen's (*Occupational Diseases* Pneumoconiosis) Diseases Rules. 1965
 - c. A.P. Payment of Gratuity Rules, 1972 (G.O.31 DATED 8-1-1973)
 - d. A.P.Compulsory Gratuity Insurance Rules, 2011
 - e. A.P. Unorganised Workers Social Security Rules, 2012 (G.O.20, Dated. 17-02-2012)
 - f. Andhra Pradesh Maternity Benefit Rules, 1966 (G.O.Ms.No.821, Home (Labour-II) Department, Dt: 23-04-1966)

Provided that anything done or any action taken there under shall be deemed to have been done or taken under these regulations.

FORM-I

[See sub-rule (1), (2), (3) and (4) of Rule7]

Nomination /Fresh Nomination / Modification of Nomination (Strike out the words not applicable)

То			
(Give he	re name or descrip	tion of the establishment with full ac	ddress)
particula mentione of section here) in person(section) also the amount I and dire	rs are given in the ed below/have according 2 of 2020 with the manner indictions of the manner in the manne	ari(Full statement below, hereby norriinal quired a family within the meaning of effect from the	te the person(s) g of clause (33)(date hate a fresh the fter my death as eath before that s not been paid
		or	
		(Full Name) what below, hereby give notice that and recorded under your shall stand modified in the fo	nose particulars the nomination your reference llowing manner-
	out unnecessary p		
2. I, her family wit	eby, certify that th hin the meaning o	ne person(s) mentioned is/ are a r f clause (33) of section 2 of the, 20	member(s) of my 020.
3. 1, her section 2	reby, declare that I of the said Code.	have no family within the meaning	of clause (33) of
4(a) my	father/mother/pare	ents is/are not dependant on me.	
(b)My h husband	nusband's father/ d.	mother/parents is/ are not dep	endant on my
5. I have the second the	ve excluded my to the com said Code.	husband from my family by a petent authority in terms of clause	a notice dated e (33) of section
6. Nor	mination made he	erein invalidates my previous nor Nominee(s)	nination.
S.No.	Full Name with full address of nominee(s)	Relationship Age of with nominee the employee	Proportion by which the gratuity will be shared
1			
2			
	•		•

Manner of acquiring a "Family"

(Here give details as to how a family was acquired, i.e., whether by marriage or parents being rendered dependant or through other process like adoption)

Statement

 Name of employee in full: Sex: Religion: Whether unmarried/marrieds Department/Branch/Section Post held with Ticket no. or Date of appointment: Permanent address: 	n, where employed:	
Village	MandalSu	b
DivisionPo	st-office	
Pin-CodeDistrict	State	
E-mail ID	Mobile Number	
Place:- Date:-	Signature/Thumb-impression Employee	of the

Certificate by the Employer

Certified that the particulars of the above nomination have been verified and recorded in this establishment.

Employer's Reference no., if any

Signature of the employer /Officer authorized Designation

Date:

Name and address of the establishment or rubber-stamp thereof.

Acknowledgement by the Employee

Signature of the Employee

Received the duplicate copy of nomination in Form – the employer.	I filed by me and duly certified by
Date:	

FORM-II

(See sub - rule (1) of Rule 8]

Application for Gratuity by an Employee/Nominee/Legal Heir (Strikeout the words not applicable)

To,(Give the name or description of the establishment with full address)
Sir/Madam, I,(Name of employee/nominee/legal heir) /nominee of late(Name of the employee)/ as a Legal heir of late(Name of the employee), beg to apply for payment of gratuity to which I am entitled under sub- section(1) of section 53 of 2020 or
account of-
(a) My superannuation/retirement/resignation after completion of not less than five years of continuous service/total disablement due to accident/total disablement due to disease/or termination of contract period under fixed term employment with effect from the Or
(b) Death of the aforesaid employee while in service/superannuation onafter completion ofyears of service/total disablement of the aforesaid employee due to accident or disease while in service with effect from theor;
(c) Death of aforesaid employee of your establishment while in service/superannuation on (date) without making any nomination after completion ofyears of service/total disablement of the aforesaid employee due to accident or disease while in service with effect from
Necessary particulars relating to my appointment are given in the statement below.

- 1. Name of employee, in full, (if, the gratuity is claimed by an employee)
 - a. Marital status of employee (unmarried/married/widow/widower)
 - b. Full address of employee

or

- 2. Name of nominee /legal heir ,(if the gratuity is claimed by nominee /legal heir)
 - a. Name of Employee
 - b. Marital status of nominee/ legal heir (unmarried /married /widow /widower)
 - c. Relationship of nominee / legal heir with the employee
 - d. Full address of nominee / legal heir
 - e. Date of death and proof of death of the employee
 - f. Reference No. of recorded nomination, if available
- 3. Department /Branch / Section where last employed
- 4. Post held by employee.
- 5. Date of appointment.
- 6. Date and cause of termination of service
- 7. Date of Death
- 8. Total period of service of the employee
- 9. Total wages last drawn by the employee.
- 10. Total gratuity pay able to the employee /share of gratuity claimed by a nominee / legal heir.

11. Payment may please be made by crossed bank cheque / credit in my bank account no

Yours faithfully,

Signature/Thumb -impression of the Applicant employee/nominee/legal heir

FORM - III [See sub - rule (2) of Rule 8] Notice for Payment/Rejecting claim of Gratuity

(Strike out the words not applicable)

(Name and address of th	e applicant employee /nom	inee legal heir)
You are hereby informed	that,	
2022under the said rules Reasons (Here specify th (b)* as required under Rule. 2022 that a sum of your share of gratuity in	is not admissible for the re ne reasons); or 8 of the Code on Social Se	ecurity Andhra Pradesh Rules, payable to you as gratuity/as nade by on
	at (time) for	fy place) collecting your payment of
3. Amount payable shall to in your back account as o	,	and draft or shall be credited
4. Brief statement of cal	culation	
c. Total period of months.d. Wages last drawn:	superannuation/resignation/ service of the employ	
*strike out para,if, not applica	able	Employer
Place:		
Copy to the Competent Author	ority in case of in case of denial o	of gratuity

FORM - IV

[See sub-rule (4) of Rule 8]

Application for Direction before the Competent Authority for Chapter under, the code 2020

	Application NoDate
	BETWEEN
	(Full name of the applicant with full address)
	AND
	(Full name of the employer concerned with full address)
	The applicant is an employee of the above-mentioned employer/a nominee of late
2.	employee on
	3. The applicant submits that there is a dispute on the matter (specify the dispute).
	4. The applicant furnishes the necessary particulars in the annexure here to and prays that the Competent Authority may be pleased to determine the amount of gratuity payable to the petitioner and direct the above-mentioned employer to pay the same to the petitioner.
	5. The applicant declares that the particulars furnished in the annexure hereto are true and correct to the best of his knowledge and belief.
	Date:
	Signature / Thumb impression of the applicant.

ANNEXURE

- 1. Full Name of applicant with full address
- 2. Basis of claim (Death / Superannuation /Retirement /Resignation /Disablement of Employee / Completion of contract period under FixedTerm Employment)
- 3. Name and address in full of the employee
- 4. Marital status of the employee(unmarried/married/widow/widower)
- 5. Name and full address of the employer
- 6. Department/Branch/ Section where the employee was last Employed (if known)
- 7. Post held by the employee with Ticket or SI. No., if any (if known)
- 8. Date of appointment of the employee(if known)
- 9. Date and cause of termination of service of the employee Superannuation/ retirement / resignation / disablement / death/ Completion of contract period under Fixed Term Employment)
- 10. Total period of service by the employee
- 11. Wages last drawn by the employee
- 12. If the employee is dead, date and cause there of
- 13. Evidence/witness in support of death of the employee
- 14. If a nominee, no. and date of recording of nomination with the employer
- 16. Evidence/ witness in support of being a legal heir if a legal heir
- 16. Total gratuity payable to the employee (if known)
- 17. Percentage of gratuity payable to the applicant as nominee /legal heir
- 18. Amount of gratuity claimed by the applicant

Place: Date:

Signature/Thumb-impression of the applican

FORM-V

[(See sub - rule (5) and (8) of Rule 8)] Notice for Appearance before the competent Authority/Summon (Strike out the words not applicable)

_	_
	\sim

	ddress of the Empl	over/Applicant
--	--------------------	----------------

Take notice that in default of your appearance on the day before-mentioned, the application will be dismissed / heard and determined in your absence.

List of documents

1. 2.

3. So on

Given under my hand and seal, this.....day of......20.....

Competent Authority under the Code, 2020

Note: 1.Strikeout the words and paragraphs if not applicable.

- 2. The portion not applicable to be deleted.
- 3. The summons shall be issued in duplicate. The duplicate is to be signed and returned by the persons served before the date fixed.
- 4. In case the summon is issued only for producing a document and riot given evidence it will be sufficient compliance to the summons if the documents are caused to be produced before the Competent authority on the day and hour fixed for the purpose.

FORM—VI [See sub - rule (11) and (12) of Rule 8] Notice for Payment of Gratuity as **Determined by Competent/Appellate Authority**

(Strike out the words if not applicable)

To, (Name and addr	ress of employer)					
(Name and addi	cas of employery					
Employee	eas, Shri/Smt./Ka (address) under y under you, filed an appl	you/a nom	ninee(s	s)/legal he	eir(s) of l	
payment of	reas, a notice was give	Rs				
	As gratui					
after the he Shri/Smt./Kar	nereas, the application earing have come to marigratuity under2020; or	o the fir	nding	that the	said	and
has decided the paid to Shri/ S	the applicant went in appe at an amount of mt./Kamari le on Social Security 202			is (due to	be
	therefore, I hereby, direct ariwithin					
Given u	under my hand and sea	al, this	d	lay of	20.	
Copy to:				Competen Inder the C		•
collecting pay	nt he is advised to ment. e Authority, if applicable.	contact	the e	employer	for	
Note(Stri <i>ke</i>	eout paragraphs if not app	olicable)				

FORM - VII

[See Sub-rule (13) of Rule 8] Application for recovery of Gratuity before the Competent Authority for Chapter- V and under the Social Security Code, 2020

Application No.	Date:
BETWEEN	
(Full Name of the applicant with full addre	ess)
AND	
(Full Name of the employer/ Trust/ Insur	rer concerned with full address)
of latean employee of the a pleased to direct the said employer in	he abovementioned employer/a legal heir bove- mentioned employer, and you Were your notice dated the Under sub-rule htral)Rules, 2020 For payment of a sum o
2. The applicant submits that the said gratuity to me as directed by you although	employer failed to pay the said amount of I approached him forpayment.
	certificate may be issued under section 129 of Rsdue to me as gratuity in terms
Signature / J	Fhumb-impression of applicant.
Place:	mand improsoron or apprount.
Note.—Strikeout the words if not applicable	

FORM-VIII

[See clause (a) of sub - rule (I) of Rule10] Complaint to the inspector - cum - facilitator

To,
The Inspector-cum-Facilitator (Under The
code on Social Security 2020)
Sir,
I
You are therefore requested, to direct the employer to pay the amount to me to et aside the discharge or dismissal done by the employer.
Signature or thumb impression of the
Woman/Nominee / legal representative
Date

Signature of an Attester in case the woman / nominee /Legal representative is unable to sign and affixes thumb Impression.

Full address of the women / nominee/ legal representative.

FORM-IX Appeal [See Clause (b) of sub – rule (2) of Rule 10]

То,
The Authority,
(Appointed under the Code on Social Security, 2020)
(Address)
Sir,
I, the undersigned, woman employee of(Name and full address of the establishment) *Feel aggrieved by be order of Inspector-cum-Facilitator under subsection (2) of section 72 for the reasons attached hereto, prefer this appeal under sub-section of section b8 and request that the said employer be ordered to pay the above mentioned amount to me. A copy of the order of Inspector-cum-Facilitator cum Facilitator in this behalf is enclosed; or
*Shri
I prefer this appeal under sub-section (3) of section 72. In view of the facts mentioned in the memorandum attached hereto and other documents filed herewith it is submitted that the woman is not entitled, to the maternity benefit or the said amount and hence the order of the Inspector-cum-Facilitator in the copy of which is enclosed, may be set aside.
Strike out unnecessary portion.
Signature or thumb impression of the Women / Aggrieved person
Date
Signature of an Attester in case the woman is not able to sign and affixes

thumb impression.
Full address of the nominee/legal representative

FORM-X (See Rule 13]

Notice Book of Accidents

- 1. Name of the Establishment:
- 2. Postal Address:
- 3. Name of the Employer/Manager/Occupier : (with full Particulars)

SI No	Name of employee injured &	Employee Code No.	Time/Date/ place of Injury or	Cause of injury / accident	,	Signature of the employee or a person
	address		accident			acting bonafide

Dat	te and	time
of	Notice	

Signature or thumb-impression Of person giving notice

[To be filled up by the employer or his agent]

Rate		wages
Place	of	
Nature injuries	_	
Names	of	eye-witnesses
Note of	circu	metances

<u>FORM-XI</u> [See Sub-rule (i) of Rule 15]

employed by you in (2) and in the course of emplo section(1) of Section 88 of this notice the enclosed for and the particulars required the event of your admitting	workman in the size of the result of an accident arising out of an accident arising out of a syment. I hereby require you in accordance with sub-2020, to submit to me within 30 days of the receipt of rm with the particulars required in paragraphs 1 and 5 d in either paragraph 3 or paragraph 4 duly filled in. In liability to pay compensation, the necessary deposits) of Section 88 of the Code. be made within 30days of
	Competent Authority or Commissioner for Employees" Compensation

FORM -XII [See sub-rule (ii) of Rule 15] STATEMENT OF EMPLOYER

1. In reply to your notice, dated
2. The circumstances in which the deceased met his death were as follows:-
3. I admit liability to pay as compensation on account of the deceased's Death the amount of Rs which was/will be deposited with you on or Before the 20 4. I disclaim liability to pay compensation on account of the deceased's Death on the following grounds: - (1) Insert name of workman

Employer

FORM-XIII [See sub - rule (1) of Rule 16] Memorandum of Agreement

lt	is	hereby	submitted	that	on	the		. day
of20			Pers	onal injury v	vas cause	d to	res	iding
at by Accid	ent arising	out of and in t	he course old e	mployment	in	The sai	id injury	/ has
resulted in	temporary	disablement	to the workma	n whereby i	it is estima	ated tha	t he w	ill be
prevented t	or earning	more than of h	nis previous wag	es for a per	iod of r	nonth.	The	said
•	•		-monthly payme	•				
		-	20u		day of		20	
.amounting		-			,			
3								
The	said workn	nen's monthly	wages are estim	nated at Rs.		Γhe Work	man is	over
		•	ge of 15 years o					
_			agreed to pay ar					
			ment of all and e			•		•
			of a temporary	-			-	
	•		manifest. It is, th		•			
be duly rec			,	,,	1			
,								
Date2	0							
Signature	of employ	er						
Witness	. ,							
Signature of	of Workmai	າ						
-								
Witness								
Note:-An ap	oplication to	register an a	greement can b	e presented	d under sig	gnature o	f one p	arty,
provided th	at the other	party has agre	eed to the terms	. But both si	gnatures s	should be	appen	nded,
whenever	oossible (R	eceipt to be	filled in when	the money	has actu	ally bee	n paid	l). In
accordance	with the al	oove agreeme	nt, I have this da	ay received	the sum o	of Rs		
Date20	•••							
Workman								
The money	has been p	aid and this re	eceipt is signed	in my prese	nce.			
Witness								

Form - XIV [See sub-rule (1) of Rule 16] Memorandum of Agreement

caused toresiding atby accident arising out of and in the course of his employment in							
The said injury has resulted in permanent disablement to the said workman of the following nature, namely, the said workman's monthly wages are estimated at Rs							
The workmen is over the age of 15 years/will reach the age of 15 years onRsonRson							
It is further submitted thatthe employer of the said workman, has agreed to pay, and the said workman has agreed to accept the sum of Rs in full and final settlement of all and every claim under the Social Security Code 2020, in respect of the disablement stated above and all disablement now manifest. It is, therefore, requested that this memorandum be duly recorded.							
Date20							
Signature of employer							
Name of Witness of Workman							
Signature of Witness							
Note:- An application to register an agreement can be presented under signature of one party, provided that the other party has agreed to the terms. But both signatures should be appended, whenever possible							
Receipt							
•							
(To be filled in when the money has actually been paid).							
·							
(To be filled in when the money has actually been paid). In accordance with the above agreement, I have this day received the sum of Rs							
(To be filled in when the money has actually been paid). In accordance with the above agreement, I have this day received the sum of Rs on Date20							

Witness

FORM-XV

(See sub-rule (1) of Rule 16]

Memorandum of Agreement

It is hereby submitted that on theday of 20 personal injury was caused to Mr/Mrs residing at out of accident arising out of and in the course old employment in
The said injury has resulted in temporary disablement to the said workman who is at present in receipt of wages amounting to Rs per month. The said workman's monthly wages prior to the accident are estimated at Rs
The workman is subject to a legal disability by reason of
It is further submitted that the employer of the workman has agreed to pay, and on behalf of the said workman has agreed to accept half-monthly payments at the rate of the period of the said temporary disablement. This agreement is subject to the condition that the amount of the half-monthly payments may be varied in accordance with the provisions of the said Code on account of an alteration in the earnings of the said workman during disablement. It is further stipulated that all rights of commutation under sub- section (3) of Section 93 of the said Code, are unaffected by this agreement. It is, therefore, requested that this memorandum be duly recorded.
Date20
Signature of Witness Employer
Signature of Workman
Witness
Note:- An application to register an agreement can be presented under the signature of one party provided that the other party has agreed to the terms. But both signatures should be appended, whenever possible.
Receipt
(To be filled in when the money has actually been paid)
In accordance with the above agreement, I have received the sum of Rson date
Workman
The money has been paid and this receipt is signed in my presence.
Witness

FORM-XVI

[See clause (i) of sub-rule (2) of Rule 16]

Whereas, an agreement to pay compensation is said to have been reached between
Whereashas/have applied for registration of the agreement under Section 89(1) of the Code on Social Security 2020, notice is hereby given that the said agreement will be taken into consideration on
Competent Authority.
FORM-XVII
[See clause (iii) of sub-rule (2)of Rule 16]
Take notice that registration of the agreement to pay compensation said to have been reached between you
Date

Competent Authority

FORM-XVIII

[See clause (ii) of sub-rule (3) of Rule 16]

and and whereas has/have applied for registration of the agreement under
Section 89 (1) of the Code on Social Security 2020, and whereas it appears to me that the said
agreement ought to be registered for the following reasons, namely :-
an opportunity will be afforded to the said for showing cause on why the said agreement should not be registered. Any representation which you have to make with regard to the said agreement should be made on that date. If adequate cause is then shown, the agreement may be registered.
Date 20
Competent Authority

FORM-XIX

[See sub-rule (5) of Rule 16]

and whereas	has/hav rity 2020,	ve applied for re and whereas it	gistration of the a	agreeme	etween andent under Section 89 (1) of aid agreement ought to be
agreement should not be	e registere	ed. Any represer	ntation which you	u have t	e on why the said to make with regard to the wn, the agreement may be
Date 20					
			••		Competent Authority
		<u>FOR</u>	<u>M-XX</u>		
	Regis	-	(5) of Rule 16]	s, 19	
Serial No.		Date of agreement		Date of registration	
		<u> </u>			
Employer Workm		nan	Initials of Comp Authority	etent	Reference to orders rectifying the register

FORM-XXI [See sub-rule (2) of Rule 19] Application for Compensation by Workmen

To,

The Competent Authority for Workmen's Compensation
Residing atApplicant
Versus
Residing at Opposite
It is hereby submitted that:
(1) The applicant, a workman employed by (a contractor with) the opposite party on the day of20 received personal injury by accident arising out of and in the course of his employment. The cause of the injury was (here insert briefly in ordinary language the cause for the injury)
(2) The applicant sustained the following injuries, namely:
(3) The monthly wages of the applicant amount to Rs the applicant is over/under the age of 15 years.
*(4) (a) Notice of the accident was served on the Day of
(b) Notice was served as soon as practicable
(c) Notice of the accident was not served (on due time) by reason of
(5) The applicant is accordingly entitled to receive:-
(a) Half-monthly payments of Rsfrom theday of
20to
(b) A lump-sum payment of Rs
(6) The applicant has taken the following steps for settlement by agreement, namelyto settle but it has proved impossible to settle the question in dispute because
*You are, therefore, requested to determine the following questions in dispute, namely:
(a) Whether the applicant falls within the meaning of workman under the code.
(b) Whether the accident arose out of or in the course of the applicant's employment.
(c) Whether the amount of compensation claimed in reasonable/due, or any part of tha amount.
(d) Whether the opposite party is liable to pay such compensation as is due
(e) etc., (as required).
Date 20

*Strike out of the clauses which are not applicable.

Applicant

FORM-XXII [See sub-rule (2) of Rule 19) Application for order to Deposit Compensation

IO,
The Competent Authority for Workmen's Compensation
Residing at Applicant
Versus
Residing at Opposite
It is hereby submitted that:
(1) a workman employed by (a contractor with) the opposite party on theday of
(2) The applicant(s) is/are dependant(s) of the deceased workman
(3) The wages of the deceased amount to Rs The deceased was under/over the age of 15 years at the time of his death.
(4) (a) Notice of the accident was served on the day of
(b) Notice was served as soon as practicable.
(c) Notice of the accident was not served (in due time) by reason of
(5) The deceased before his/her death received compensation, the total sum of which is
(6) The applicant(s) is/are accordingly entitled to receive a lump sum payment of
You are, therefore, requested to award to the applicant the said compensation or any other compensation to which he may be entitled.
Date20Applicant

FORM-XXIII [See sub-rule (2) of Rule 19] Application for Commutation

٦	Го,
٦	The Competent Authority for Workmen's Compensation
	Residing at Applicant
	Versus
	Residing at Opposite
It is here	eby submitted that:
from	The applicant / opposite party has been in receipt of half-monthly paymentstoin respect of temporary disablement by accident arising out of and in the of his employment.
(2) Tredeeme	The applicant is desirous that the right to receive half-monthly payments should be ed.
r b) 7	The opposite party is unwilling to agree to the redemption of the right to receive half-monthly payments. The parties have been unable to agree regarding the sum for which the right to receive half-monthly payments should be redeemed.
You are	, therefore, requested to pass orders:
((a) directing that the right to receive half-monthly payments should be redeemed.
((b) fixing a sum for the redemption of the right to receive half monthly payments.
Date	of 20Applicant

FORM-XXIV [See sub-rule (20) of Rule 19]

Notice

Where	as a claim for compens	ation has been made	byapplicant,
against	and the said	has claim that you a	re liable under Section
93(3)(4) of the	Code on Social Security, 2020	0, to indemnify him against an	y compensation which
appear before said applicant you would be	ble to, in respect of the afore me onar or the claim for indemnity made deemed to admit the validity indemnify the opposite party of	nd contest the claim for comp e by the opposite party. In defa of any award made against	ensation made by the ault of your appearance the opposite party and
date			
			Competent Authority

FORM-XXV [See sub-rule (20) of Rule 19] Notice

Whereas a claim for compensation has been made byapplicant, against and
the saidhas claimed, thatis liable under Section 93 (3) (4)of the, 2020, to indemnify him against any compensation which he may be liable to pay in respect of the
aforesaid claim, and whereas the saidon notice served has claimed that you stated
to him in the relation of a contractor from whom the applicant could have recovered compensation.
You are hereby informed that you may appear before me on
Date20
Competent Authority

FORM-XXVI [See sub-rule (1) of Rule 26]

REGISTER OF WOMEN EMPLOYEES

Name of establishment

- 1. Serial Number:
- 2. Name of woman and her father's (or, if married, husband's)

Name:

- 3. Date of appointment:
- 4. Nature of work:
- 5. Dates with month and year in which she is employed, laid off and not employed.

Month	No. of days employed	No. of laid days off	No. of days not employed	Remark
А	В	С	D	Е

- 6. Date on which the woman gives notice under section 62:
- 7. Date of discharge/dismissal, if any:
- 8. Date of production of proof of pregnancy under section 62:
- 9. Date of birth of child.
- 10. Date of production of proof of delivery/miscarriage/Medical Termination of pregnancy/tubectomy operation /death / adoption of child.
- 11. Date of production of proof of illness referred to in section 65.
- 12. Date with the amount of maternity benefit paid in advance of expected delivery.
- 13. Date with the amount of subsequent payment of maternity benefit.
- 14. Date with the amount of bonus, if paid, under section 64.
- 15. Date with the amount of wages paid on account of leave under section 65(1) & 65(3).
- 16. Date with the amount of wages paid on account of leave under section 65(2) and period of leave granted.
- 17. Name of the person nominated by the woman under section 62.
- 18. If the woman dies, the date of her death, the name of the person to whom maternity benefits and/or other amount was paid, the amount thereof, and the date of payment.
- 19. If the woman dies and the child survives, the name of the person to whom the amount of maternity benefit was paid on behalf of the child and the period for which it was paid.
- 20. Signature of the employer of the establishment authenticating the entries in the register of women employees.
- 21. Remarks column for the use of the Inspector-cum-Facilitator.

A. General Part:

FORM XXVII [See sub-rule (3) of Rule 26]

Unified Annual Return

(a)	Name of the establishment
(b)	Name of the employer
` '	Name of the manager or person responsible for supervision and control of ablishment:
	Address: House No./Flat No

B. Employer's Registration/License number under the Codes mentioned in column (2) of the table below:

S. No	Name	Registration	If yes (Registration No.)
1	2	3	4
1	The Code on Occupational Safety, Health and working conditions code 2020.		
2	The Code on Social Security 2020		
3	Any other Law for the time being in force		

C. Details of Employer, Contractor and Contract Labour:

1	Name of the employer in the case of a contractor's establishment	
2	Date of commencement of the establishment	
	establistifferit	
3	Number of Contractors engaged in the	
	establishment during the year	
4	Total Number of days during the year on	
	which Contract Labour was employed	

5	Total number of man-days we	orked by
	Contract Labour during the yea	ar.
6	Name of the Manager or Agen	t (in case
	of mines)	
7	Address House No./Flat No.	Street/Plot No.
	Town	
	District	State
	Pin Code	
	Email Id	Telephone Number
	Mobile Number	•

D. Working hours and weekly rest day:

1	Number of days worker during the
	year
2	Number of man-days worked during
	the year
3	Daily hours of work
4	Weekly day of rest

E. Maximum number of persons employed in any day during the year.

S.	Males	Females	Adolescents(between	Children	Total
No			the age of 14 to 18	(below 14	
			years)	years of age)	

F. Wage Rates (Category Wise):

Category	Rates		No of Workers						
	of Wages		Reg	ular			Cont	ract	
Highly		Male	Femal	Chil	Adole	Male	Femal	Child	Adole
			еe	dren	scent		е	ren	scent
Skilled									
Skilled									
Semiskill ed									
Unskilled									

G. (a) Details of Payments:

Gross \	_		Deductions		Net W pa	_
In cash	In kind	Fines	Deductions for damage or loss	Others	In cash	In kind

(b) Number of workers who were granted leave with wages during the year:

S. No	During the year	Number of	Granted Leave
		Workers	with wages

H. Details of various welfare amenities provided under the statutory schemes:

S	. No	Nature of	various wel	fare amenit	ies	Statuto	ry (:	specify	y the	
---	------	-----------	-------------	-------------	-----	---------	-------	---------	-------	--

provided	salute)

I. Maternity Benefit under the Code on Social Security, 2020

(a) Details of establishment, medical and para-medical staff:

1	Date of opening of establishment	
2	Date of closing, if closed	
3	Name of Medical Officer	
3(i)	Qualification of Medical Officer	
3(ii)	Is Medical Officer at (the mines or circus)?	
3(iii)	If a part time, how often does he/she pay	
	visit to establishment?	
3(iv)	Is there any Hospital?	
3(v)	If so, how many beds are provided?	
3(vi)	Is there a lady Doctor?	
3(vii)	If so, what is her qualification?	
3(viii)	Is there a qualified mid-wife?	
3(ix)	Has any crèche been provided?	

1	h)	Leave	Granted	under	the	2020
١	U	LEave	Granieu	unuci	เมเษ,	2020

1	Total number of female employees in the		
	establishment,		
2	Total number days of leave granted		
3	Number of employees granted maternity		
	leave/benefited by ESI		

Declaration

It is to certify that the above information is true and correct and also I certify that
have complied with the all provision of Labour Laws applicable to my establishment.

Place:	Date:
Sign Here:	

FORM-XXVIII

[See sub - rule (1) (2)(3) of Rule 27]

Notice to the Employer who committed an offence for the first time for compounding of offence under sub-section (1) of section 138 of the, Code on Social Security 2020

Notice No	Date

PART-I

- 1. Name of the Person:
- 2. Name and Address Establishment:
- 3. Registration No of the Establishment:
- 4. Particulars of the offence:
- 5. Provisions of the Code/Scheme/Rules/ Regulations under which the offence is committed:
- 6. Compounding amount required to be paid towards composition of the offence:
- 7. Name and Details of Account for depositing the Amount specified in Column 6:

PART-II

In view of the above, you have an option to pay the abovementioned amount within fifteen days from the date of issue of this notice and return the application duly filled in Part III of this notice.

In case the said amount is not paid within the specified time, necessary action for filing of prosecution shall be initiated without giving any further opportunity in this regard.

(Signature of the Compounding Officer)
, ,

PART-III (See Rule 27(2))

Application under sub-section (4) of section 138 for compounding of offence

	Ref: Notice No	Date:	
1.	Details of the compounding amount deposited (Copy receipt to be attached):	of electronically	generated
2.	Details of the prosecution, if filed for the violation of abo be given:	ve mentioned offe	ences may
	Whether the offence is first offence or the applicant offence prior to this offence, if committed, then full details Any other information which the applicant desires to prove	s of the offence:	any other
		Signature of the (Name and De	
	Dated: Place:		
	PART-IV (See Rule 27(3)) Composition Certificate		
	Ref: Notice No	Date:	
(Apest	This is to certify that the offence under sub-section ode in respect of which Notice No. Dated: was oplicant), the employer of (name and tablishment) has been compounded on account of restruction	issued to Registration N emission of full a	umber of amount of
	Name and Date:	(\$ Designation of t	Signature) he Officer

FORM-XXIX (See Rule 29(3) (b) From for Reporting Vacancies to Career Centers (Separate forms to be used for each type of posts)

1.	Particulars of the employer:		
••	Name:		
	Address with pin code:		
	Telephone No:		
	Mobile No:		
	Email address:		
	Name & Type of Establishment		
	• •		
	(Central Government, State Government, PSU, Autonomous, Private etc.,)		
	Registration No of establishment under Code:		
2.	Economic activity details:		
۷.	Particulars of the indenting Officer:		
	Name:		
	Designation:		
	Telephone No:		
	Mobile No:		
	Email address:		
3.	Particulars of vacancy (ies):		
	(a) Designation/nomenclature of the		
	vacancy (ies) to be filled		
	(b) Description of duties of the post		
	(job role/functional role)		
	(c) Qualifications /Skills required		
	(educational, technical, experience)	Essential	Desirable/
			Preferable
	(i) Educational Qualifications		
	(ii) Technical Qualifications		
	(iii) Skills		
	(iv) Experience		
	(d) Age Limits, if any		
	(Age as on last date of application)		
	(e) Preferences (such as Ex-servicemen.		
	Persons with disabilities, women, etc) if any	,	
	(f) duration of employment	Number of p	osts
	(i) 3-6 months		
	(ii) 6-12 months		
	(iii) 12 months and more		
4.	Whether there is any obligation for	r arrangemen	t for giving
	reservation / preference to any category of	_	
	Caste (SC), Scheduled Tribe (ST), Economi	•	
	Other Backward Classes (OBC), Ex-se		
disabilities etc., in filling up the vacancies : Yes/No			
alsasimass stor, in mining ap the vacantolog . I solite			
(if yes, give the number of vacancies to be filled by such categories			tegories of
	persons as detailed below)	J	9 9.
	,	Number of vac	ancies to be
	Category	filled	

	(a) Scheduled Caste	Total	*By Priority
	(b) Scheduled Tribe		candidates
	(c) OBC		* / * !! !! *
	(d) EWS		* (Applicable for
	(e) Ex-Serviceman		Central
	(f) Persons with disabilities (pwd)(g) women		Government vacancies)
	(h) Others (specify)		vacaricies)
5.	Pay and Allowances :		
J.	For Government vacancies : Mention pay		
	level/pay scale of the post with basic pay /		
	per month with other details if any		
	,		
	For others: Mention minimum total		
	emoluments per month with other details,		
	if any.		
6.	Place of work (Name of the town		
	/village and district. Pin code, etc. in		
_	which it is situated)		
7.	Mode of Applications (email, online, in		
	writing etc.,) and Last date for receipt of		
8.	applications. Particulars of officer to whom the		
0.	applications be sent/candidates should		
	approach		
	(Mention Name, designation, email id,		
	address, telephone No., website address		
	in case of online)		
9.	Mode of Recruitment		
	(Through Career Centre, Placement		
	Agency, Self- management, any other		
	mode(specify)		
10.	Would like to prefer submission of list of	Yes	/No
	eligible candidates registered with Career		
	Centre		
11.	Any other relevant information		
Sign	ature, Name & Designation of Authorized	Signatory	of establishment/
emp	loyer with seal & date		
(For	Official Use- to be filled by Career Centre)		
	Name, address, email id of the Career		
12.	Centre		
	Centre		
40	Data of receipt of Vecanaias		
13.	Date of receipt of Vacancies		
14.	NIC Code of the establishment		
· ¬r ·	THE COUC OF THE COLUMNIC HITCHE		
15.	NCO Code of the post		
16.	Unique Vacancy ID (number)		

Signature, Name & Designation of Authorized Signatory of Career Centre with seal& date

NOTE:-

- Career Centre to which the vacancies are reported, would provide a unique vacancy reporting number for the vacancy reported and convey it to the employer in writing, through email or digitally or through any other such media immediately but in any case not later than 3 working days from the date of receipt of reporting of vacancies.
- 2. An employer, if advertises that vacancy in any media or makes recruitment through any agency or any other mode. May invariably quote that unique vacancy reporting number in that advertisement or recruitment process.
- 3. Any change in the particulars already furnished to the Career Centre, shall be reported in working or through valid official email or digitally (including through a portal) as the case may be, to the appropriate government.

FORM-XXX (See Rule 29(6)

Form EIR (Employment Information Return)

Yearly Return to be submitted to the Career Centre (Regional) for the Year ended

The following information is required to be submitted under the code on Social Security, 2020 (Chapter- XIII – Employment Information and Monitoring).

Name and address of the employer			employer			
Whether – He	ead Of	fice				
Branch Office	;					
Type of Estab	olishm	ent				
(Public/Privat	e Sec	tor)				
Nature of bus	iness/	principa	al activity			
Fatabliahma	1 D		an Na			
Establishme under the Co	•	gistrati	on No.			
1. (a) EMPLC	YME	TV				
	ımber		manpower		S S	
	•				tractual workers, out-sourced prentices. (The figures should	
		• .	whom wag		` ` `	
				,	. ,	
Category		On the last		On the last working day of the year		
		working day of the previous year		under rep	oort	
Men						
Women						
Other						
(Transgender)						
TOTAL:						
PWD (persons						
with disabilities)						
out of above total						
2. Number of vacancies* occurred and reported to career centre during the						
				=	ing the year.	
Occurred Report		orted	Filled	Source (career centre/ NCS		
	Ca	reer	Career		Portal/ Govt. Recruiting	
		ntre	centre		Agencies/ Private Placement	
		jional)	(Central)		Organisations/ others)	
1		2	3	4	5	
*Ac por the pu	rovicio	ne of th	no Codo on 9	Pocial Socu	rity, 2020 (Chapter- XIII) and	
rules made th			ie Code on 3	ooliai Secu	iny, 2020 (Griapier- Alli) and	
3 MANPOW			GFS:			

Name of the occupation	Number of unfilled vacancies/posts					
or designation of the	Skill/ Essential Desirable					
post	qualifications					
	(educational/					
	technical/					
	experience)					
	prescribed					
1	2	3	4			

(Please list any other occupancies also for which this establishment had any difficulty in obtaining suitable applicants recently.)

4. Estimated Manpower Requirement by Occupational Classification during the next calendar year (Please give below the number of employees in each occupation separately).

Occupation	Number of employees				
	Please give as far as possible approximate numb			nate number of	
	vacancies in each occupation you are likely to f				likely to fill the
	next financial year due to retirement/expansion or re-organisation.			expansion	
Description	Men Women Others Total			PWD	
			(trans-		(persons
	gender)				with
					disabilities)
					out of total
1*	2	3	4	5	6
Total:					

*In the column (description) – Use exact terms such as Engineer (Mechanical), Assistant Director (Metallurgist); Research Officer (Economist); Supervisor (Tailoring), Inspector (Sanitary), Superintendent (Office), Manager (Sales), Manager (Accounts), Executive(Marketing), Data Entry Operatorso on.

Signature, Name and Designation of Authorised Signatory of establishment/ employer with seal and date

To,
The Career Centre,

Note:- 1. This return is to be filed with Career Centre (Regional) within 30 days after the end of the financial year concerned by establishments/employers vide their obligation under the Code on Social Security, 2020 (Chapter- XIII-Employment Information and Monitoring).

2. The main purpose in obtaining the information from employers is to know (i) the vacancies/employment opportunities available; (ii) type of personnel who are in short supply; and (iii) future job opportunities for providing vocational guidance to

the jobseekers and connecting them with the employers. This is helpful in ascertaining the skill needs also. Employers too will be able to call on the Career Centers for getting suitable candidates as per their requirements.

(BY ORDER AND IN THE NAME OF THE GOVERNOR OF ANDHRA PRADESH)

G. ANANTHA RAMU, SPECIAL CHIEF SECRETARY TO GOVERNMENT

To

The Commissioner of Printing, Stationary and Stores purchases, Andhra Pradesh, Vijayawada. {with a request to publish the Notification in the A.P. State Gazette and furnish 10 copies to Government and 50 copies to the Special Commissioner of Labour, Andhra Pradesh, Vijayawada}.

The Special Commissioner of Labour, Andhra Pradesh, Vijayawada.

The Skill Development & Training Department, A.P. Secretariat, Velagapudi.

The Under Secretary to the Government of India, Ministry of Labour & Employment, Shram Shakti Bhawan, Rafi Marg, New Delhi-110001.

The Additional Secretary to Chief Minister

The P.S. to Minister (LFB&IMS)

The Law (B) Department

SC

//FORWARDED :: BY ORDER //

SECTION OFFICER