

MTH 101: Calculus I

Nikita Agarwal

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Lecture 4

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Proofs in Mathematics – Continued

Statements with existential quantifier

Consider the statement S : " $\exists x \in X$ (x has property P)".

- To prove S , we need to prove that there is some $x \in X$ which satisfies the property P .
- There are two standard ways to prove S .
 - (a) Sometimes, it is possible to identify or construct an element $x \in X$, which satisfies the property P .
 - (b) In many cases, you put a valid argument which guarantees existence of an element $x \in X$, which satisfies the property P .

Example 1

Let us prove the statement S: “There exists an integer m such that for every integer n , $m + n = n$ ”.

Mathematically, “ $\exists m \in \mathbb{Z}(\forall n \in \mathbb{Z}(m + n = n))$ ”.

- This is a simple enough statement to prove.
- The only integer m which satisfied the equality $m + n = n$ for every integer n is $m = 0$.
- Thus we have been identified an element m of the set of integers which satisfies $m + n = n$ for every integer n . Hence S is true.

Example 2

Let us prove the statement S : “For every real number $x > 0$, there exists a real number y such that $0 < y < x$ ”.

Mathematically, “ $\forall x \in \mathbb{R}^+ (\exists y \in \mathbb{R}^+ (0 < y < x))$ ”.

- Since \mathbb{R}^+ is an infinite set and we need to prove the statement for all $x \in \mathbb{R}^+$, it is not feasible to take elements of \mathbb{R}^+ one-by-one and prove S .
- Thus we take an arbitrary element $x \in \mathbb{R}^+$ and prove that there exists a real number y such that $0 < y < x$.
- Clearly $y = x/2$ is a real number satisfying $0 < y < x$. *Note that this y depends on x .*
- Hence we have provided an integer y for given x satisfying $0 < y < x$.
- Since x is an arbitrary positive real number, S is true.

Statements with implications

Consider the statement: " $S \Rightarrow T$ ".

- To prove the given statement " $S \Rightarrow T$ ", we need to prove that when S is true, then T is true.
- There are three standard ways to prove $S \Rightarrow T$.
 - (a) **Direct Proof:** Give a sequence of statements S_1, S_2, \dots, S_k such that $S \Rightarrow S_1$, $S_1 \Rightarrow S_2$, \dots , $S_{k-1} \Rightarrow S_k$, and $S_k \Rightarrow T$ are known to be true. Hence $S \Rightarrow T$ is true.
 - (b) **Proof by Contradiction:** We prove that the negation of the statement " $S \Rightarrow T$ " is false. The negation of " $S \Rightarrow T$ " is " S is true and T is false". Hence, assume that S is true but T is false, and arrive at a contradiction or a statement which you know for sure is false.
 - (c) **Prove the Contrapositive:** The contrapositive of " $S \Rightarrow T$ " is " $\text{not} - T \Rightarrow \text{not} - S$ " which is same as the original statement " $S \Rightarrow T$ ". Prove that the contrapositive " $\text{not} - T \Rightarrow \text{not} - S$ " is true, which implies that " $S \Rightarrow T$ " is true.

Example 1 – Direct Proof

Prove that “For real numbers x, y , if $xy = 0$, then $x = 0$ or $y = 0$.”

- Observe that the statement is symmetric in x and y . That is, if the roles of x and y are reversed, the statement remains the same.
- Suppose $xy = 0$ and $x \neq 0$. We need to prove that $y = 0$.
- Since $x \neq 0$, $1/x$ is a real number.
- Hence $y = \frac{1}{x}(xy) = \frac{1}{x}(0) = 0$.
- Thus the given statement is true.

Example 2 – Direct Proof

Prove that the following statements are equivalent for two given sets A and B .

- (i) $A \subseteq B$.
- (ii) $A \cap B = A$.
- (iii) $A \cup B = B$.

- The standard technique to prove equivalence of multiple statements is to identify a suitable cyclic order of implications.
- For the given statements, one can prove $(i) \Rightarrow (ii)$, $(ii) \Rightarrow (iii)$ and $(iii) \Rightarrow (i)$.

Example – Proof by Contradiction

Prove the statement “There is no greatest integer”.

- Suppose the statement is false. That is, its negation “There is a greatest integer” is true.
- Let n be the greatest integer.
- Since n is an integer, $n + 1$ is also an integer with $n + 1 > n$.
- This contradicts our assumption that n is the greatest integer.
- Hence the given statement is true: “There is no greatest integer”.

Example – Prove the Contrapositive

Prove the statement “For an integer n , if $n^2 < 20$, then $n < 5$ ”.

- The contrapositive of the given statement is “For an integer n , if $n \geq 5$, then $n^2 \geq 20$ ”.
- We prove that the contrapositive is true (*which implies that the original statement is true*).
- If $n \geq 5$, then $n^2 \geq 5^2 = 25 > 20$. Hence $n^2 > 20$. This proves that the contrapositive is true.
- Thus the given statement “For an integer n , if $n^2 < 20$, then $n < 5$ ” is true.

Counterexamples

- Suppose we want to disprove the statement S : " $\forall x \in X(x \text{ has property } P)$ ". That is, to prove that S is false.
- The negation of S is not- S : " $\exists x \in X(x \text{ does not have property } P)$ ".
To disprove S , we prove that not- S is true.
- Now to prove not- S , we need to show that there exists an element x in X which does not satisfy property P .
- Such an element x is known as a **counterexample**.

Example – Producing a Counterexample

Disprove S : “For $x, y \in \mathbb{R}$, $x^2 = y^2$ implies $x = y$ ”.

- The negation of S is:

not- S : “There exists $x, y \in \mathbb{R}$ such that $x^2 = y^2$ and $x \neq y$ ”.

We prove that not- S is true.

- Clearly for $x = 2$ and $y = -2$, $x^2 = y^2 = 4$.
- The pair $x = 2$ and $y = -2$ is a counterexample. (Note: There are many many counterexamples)
- Hence S is false.

Countability of Sets

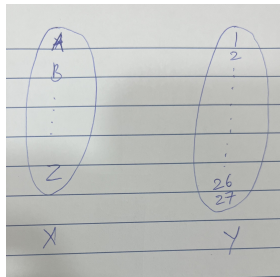
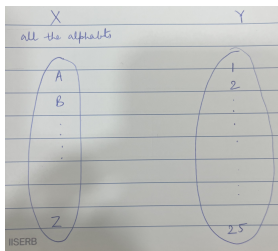
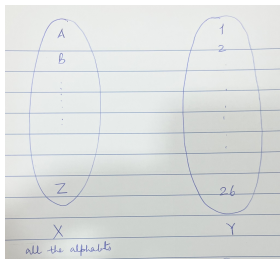
Sets with same Cardinality

- Consider two sets X and Y .
- We say that X and Y have the same cardinality if
 - a) either both are empty, or
 - b) there is a bijection $f : X \rightarrow Y$.
- A bijection $f : X \rightarrow Y$ is a one-one (injective) and onto (surjective) function from X to Y .

One-one/injective means $f(x_1) = f(x_2)$ implies $x_1 = x_2$, and
Onto/surjective means for every $y \in Y$, there exists $x \in X$ such that $f(x) = y$.
- Intuitively, the sets have “the same number of elements”. This makes sense for finite sets but not for infinite sets.
- If the sets X and Y have the same cardinality, and the sets Y and Z have the same cardinality, then the sets X and Z have the same cardinality.

Let $f : X \rightarrow Y$ and $g : Y \rightarrow Z$ be bijections, then $g \circ f : X \rightarrow Z$ is a bijection.

Identification of sets



In the first figure, the two sets have the same cardinality. Use the natural ordering to produce a bijection: $A \rightarrow 1, B \rightarrow 2, \dots, Z \rightarrow 26$.

In the second figure, the two sets do not have the same cardinality. There is no injective (one-one) function from the set X to the set Y.

In the second figure, the two sets do not have the same cardinality. There is no surjection (onto) function from the set X to the set Y.