

# SYMBOLIC EXECUTION



# Key idea

Reason about  
behavior of program  
by “executing” it with  
symbolic values

Originally proposed  
by James King (1976,  
CACM) and Lori  
Clarke (1976, IEEE  
TSE)

Practical around 2005  
because of advances  
in constraint solving  
(SMT solvers)

---

# An example

```
function f(a, b, c) {  
    var x = y = z = 0;  
    if (a) {  
        x = -2;  
    }  
    if (b > 5) {  
        if (!a && c) {  
            y = 1;  
        }  
        z = 2;  
    }  
    assert(x + y + z != 3);  
}
```

# Concrete execution

```
function f(a, b, c) {  
  var x = y = z = 0;  
  if (a) {  
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  }  
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}
```



INITIAL STATE

a	b	c	x	y	z
1	1	1	0	0	0

# Concrete execution

```
function f(a, b, c) {  
  var x = y = z = 0;  
  if (a) {  
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  }  
  assert(x + y + z != 3);  
}
```

INITIAL STATE

← Condition: if (a) is true  
since a = 1 (truthy in JavaScript)

a	b	c	x	y	z
1	1	1	0	0	0

# Concrete execution

```
function f(a, b, c) {  
  var x = y = z = 0;  
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```

INITIAL STATE

Condition: if (a) is true



a	b	c	x	y	z
1	1	1	0	0	0

a	b	c	x	y	z
1	1	1	-2	0	0

# Concrete execution

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function f(a, b, c) {  
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INITIAL STATE

Condition: if (a) is true



Condition: b > 5 is **false**

a	b	c	x	y	z
1	1	1	0	0	0

a	b	c	x	y	z
1	1	1	-2	0	0

# Concrete execution

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INITIAL STATE

Condition: if (a) is true

Condition: b > 5 is **false**



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1	1	1	0	0	0

a	b	c	x	y	z
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a	b	c	x	y	z
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The assertion check

$$x + y + z = -2 + 0 + 0 = -2$$

-2 != 3 is true



# Symbolic execution

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INITIAL STATE

a	b	c	x	y	z
$a_0$	$b_0$	$c_0$	0	0	0

$A = a_0 \neq 0$  if (a) is true

$A = a_0 = 0$  (a) is false

Symbolic Values

$a_0, b_0, c_0$

# Symbolic execution

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function f(a, b, c) {  
  var x = y = z = 0;  
  if (a) {  
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INITIAL STATE

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$A = a_0 \neq 0$  if (a) is true

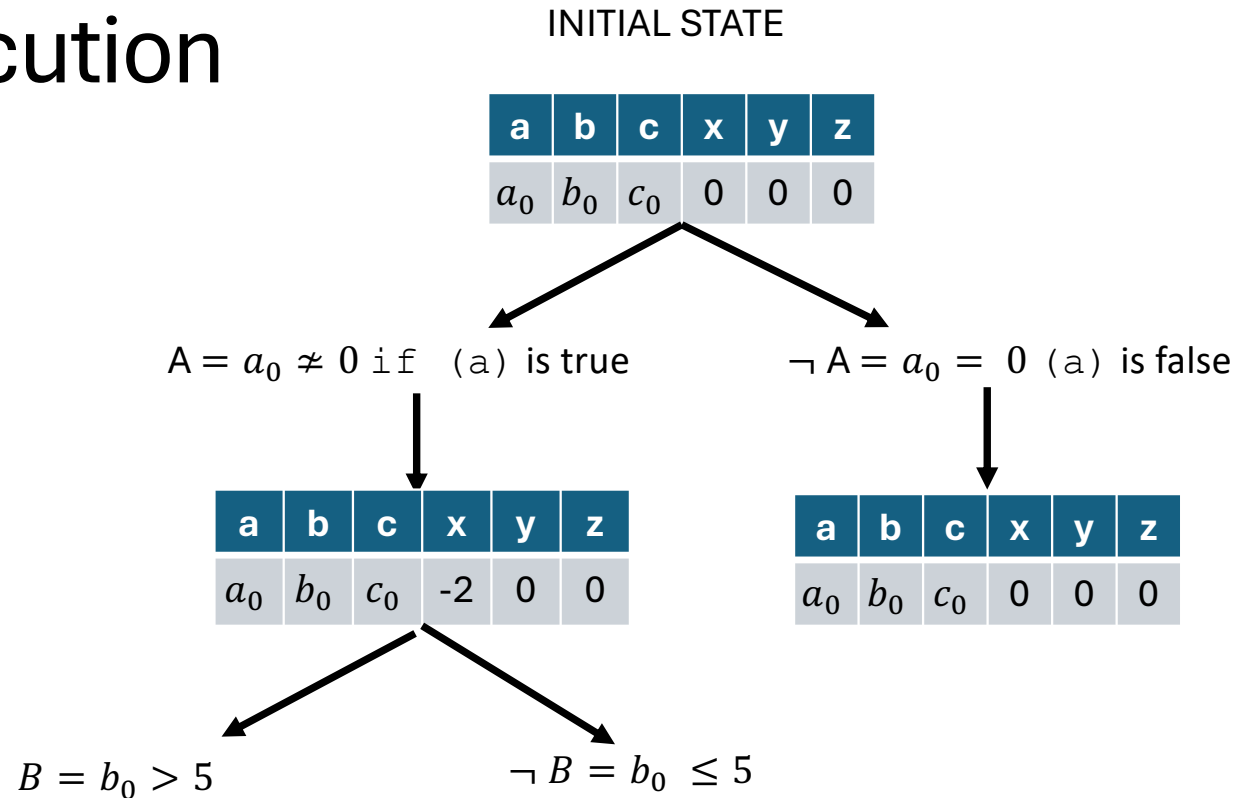
$\neg A = a_0 = 0$  (a) is false

a	b	c	x	y	z
$a_0$	$b_0$	$c_0$	-2	0	0

a	b	c	x	y	z
$a_0$	$b_0$	$c_0$	0	0	0

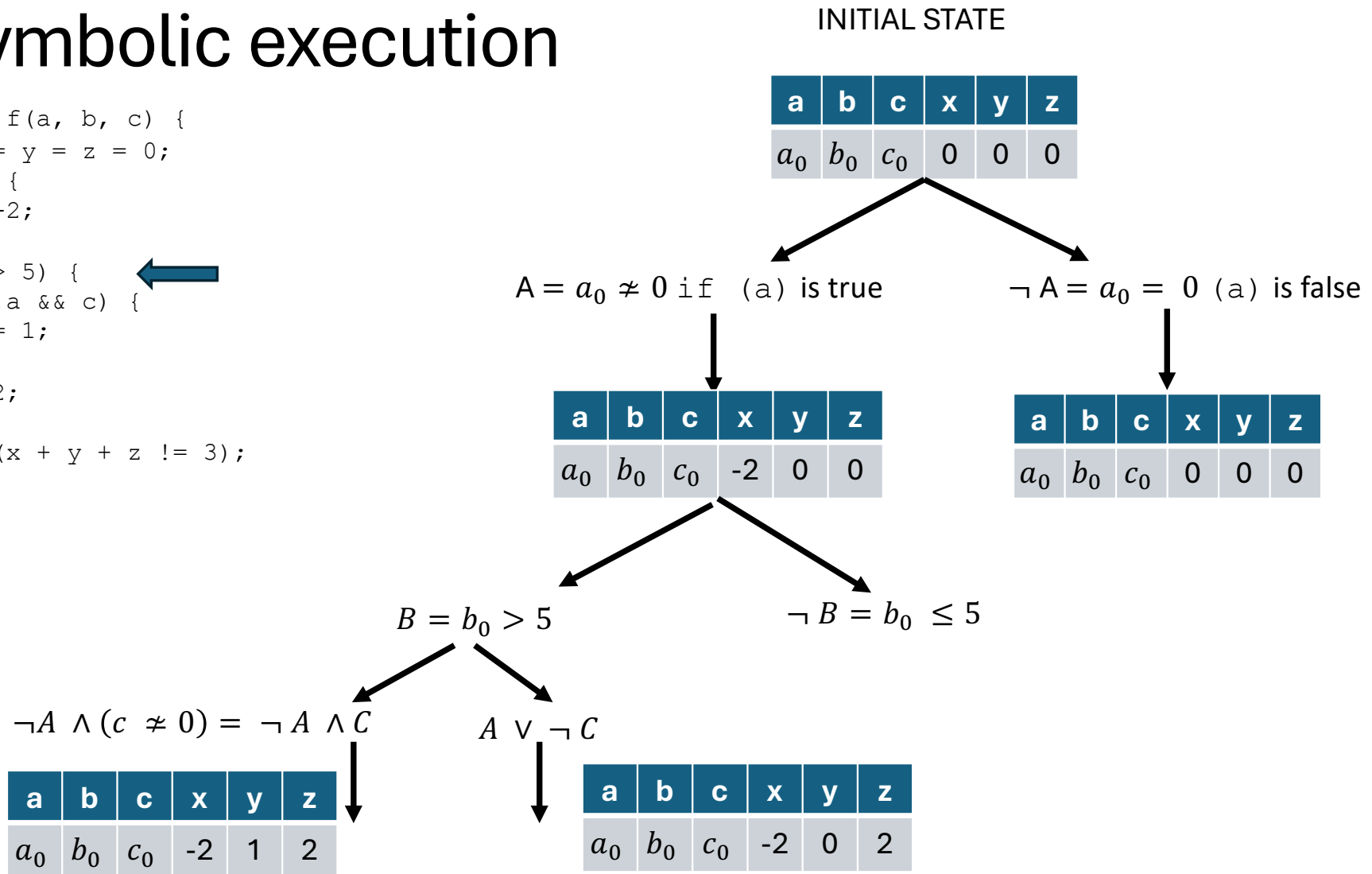
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INITIAL STATE

a	b	c	x	y	z
$a_0$	$b_0$	$c_0$	0	0	0

**FOCUS ON THE  
RED PATH**

$A = a_0 \neq 0$  if (a) is true

$\neg A = a_0 = 0$  (a) is false

a	b	c	x	y	z
$a_0$	$b_0$	$c_0$	-2	0	0

a	b	c	x	y	z
$a_0$	$b_0$	$c_0$	0	0	0

**$A \wedge B \wedge \neg A \wedge C$**

$B = b_0 > 5$

$\neg B = b_0 \leq 5$

$\neg A \wedge (c \neq 0) = \neg A \wedge C$

$A \vee \neg C$

a	b	c	x	y	z
$a_0$	$b_0$	$c_0$	-2	1	2

a	b	c	x	y	z
$a_0$	$b_0$	$c_0$	-2	0	2

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**UNFEASIBLE**

**$A \wedge B \wedge \neg A \wedge C$**

INITIAL STATE

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**FOCUS ON THE  
RED PATH**

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a	b	c	x	y	z
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$B = b_0 > 5$

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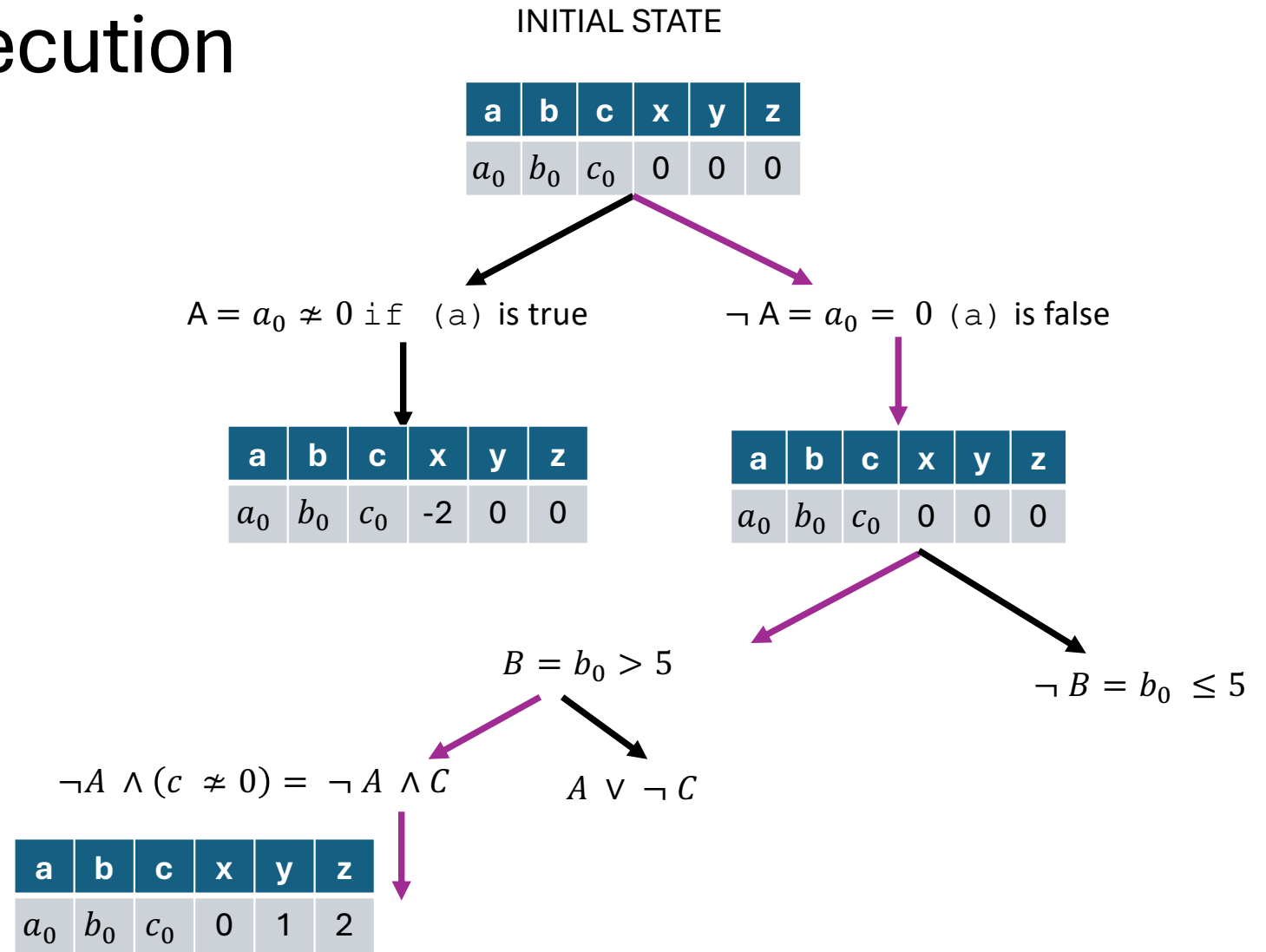
$A \vee \neg C$

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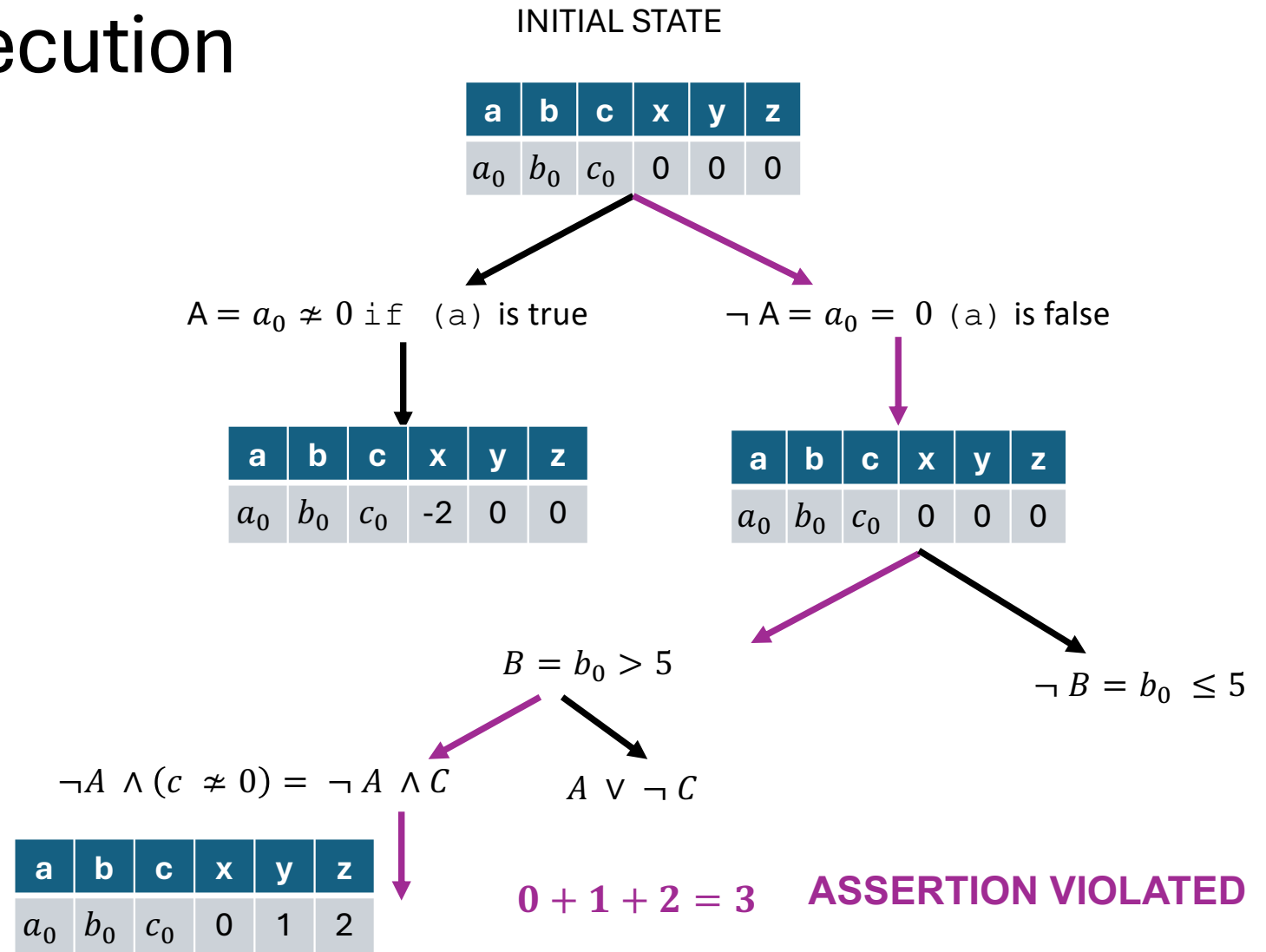
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# Symbolic execution

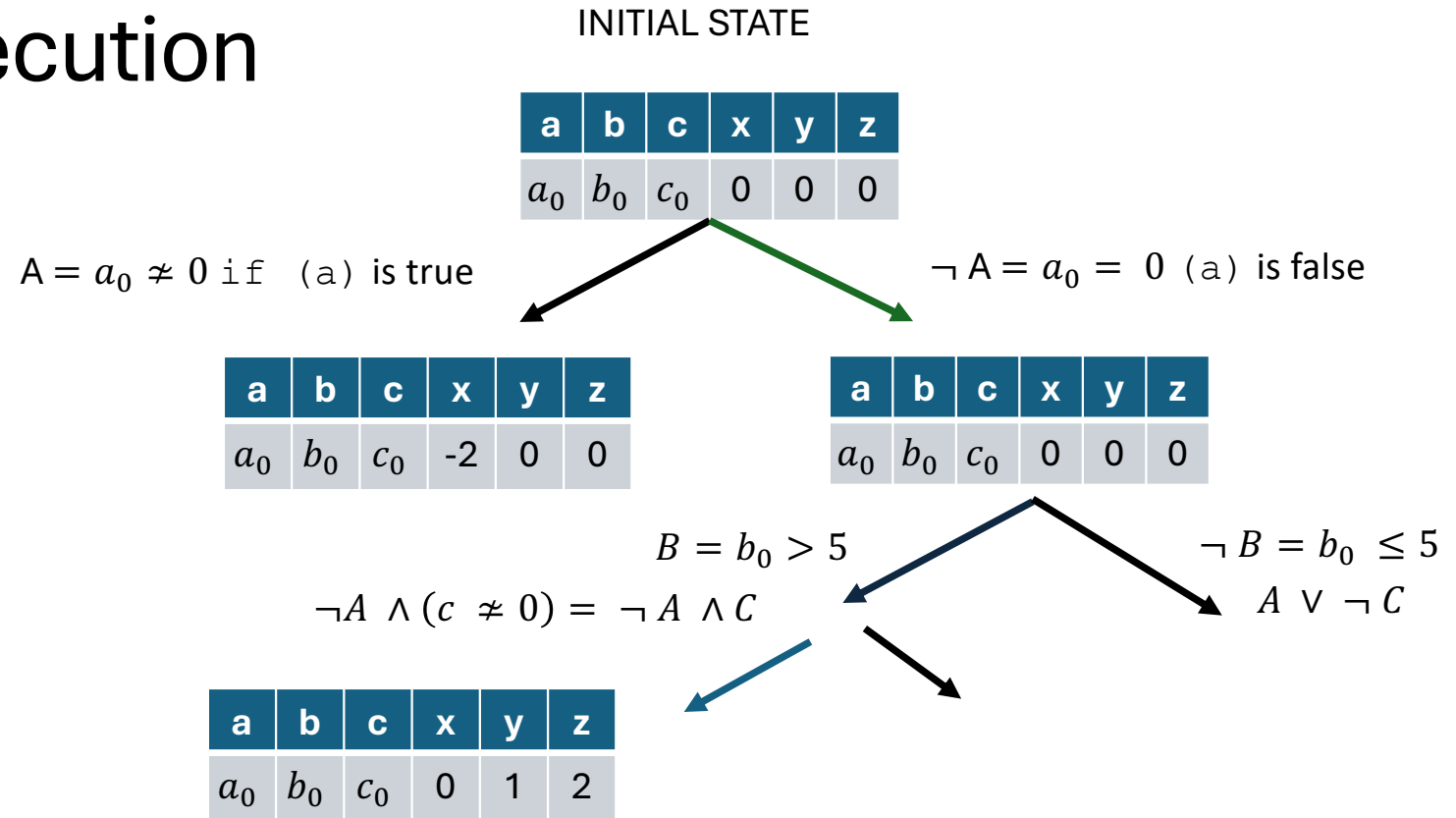
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# Symbolic execution

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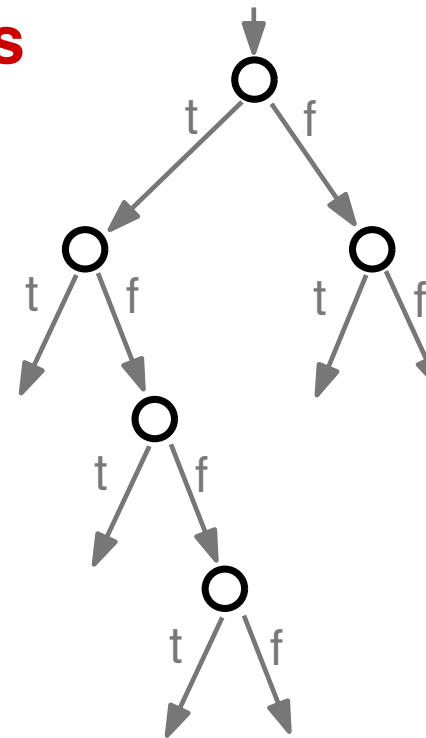


**SIMPLER TREE LIKE REPRESENTATION**

# Execution Trees

## All possible execution paths

- Binary tree
- Nodes: **Conditional statements**
- Edges: Execution of sequence on non-conditional statements
- Each **path** in the tree represents an **equivalence class of inputs**



## Exercise

Draw the execution tree for this function. How many nodes and edges does it have?

```
function f(x,y) {  
  var s = "foo";  
  if (x < y) {  
    s += "bar";  
    console.log(s);  
  }  
  if (y === 23) {  
    console.log(s);  
  }  
}
```

# Symbolic Values and Symbolic States

- **Unknown values**, e.g., user inputs, are kept symbolically
- **Symbolic state** maps variables to symbolic values

```
function f(x, y) {  
    var z = x + y;  
    if (z > 0) {  
        ...  
    }  
}
```

# Symbolic Values and Symbolic States

## Symbolic Values and Symbolic State

---

- **Unknown values**, e.g., user inputs, are kept symbolically
- **Symbolic state** maps variables to symbolic values

```
function f(x, y) {  
  var z = x + y;  
  if (z > 0) {  
    ...  
  }  
}
```

Symbolic input  
values:  $x_0, y_0$

Symbolic state:  
 $z = x_0 + y_0$

## SATISFIABILITY OF PATH CONDITIONS

**Determine whether a path is **feasible**:**  
**Check if its path condition is satisfiable**

- Done by powerful **SMT/SAT solvers**
  - SAT = satisfiability,  
SMT = satisfiability modulo theory
  - E.g., Z3, Yices, STP
- For a satisfiable formula, solvers also provide a **concrete solution**

## SATISFIABILITY OF PATH CONDITIONS

**Determine whether a path is **feasible**:**  
**Check if its path condition is satisfiable**

■ **Examples:**

- $a_0 + b_0 > 1$ : Satisfiable, one solution:  $a_0 = 1, b_0 = 1$
- $(a_0 + b_0 < 0) \wedge (a_0 - 1 > 5) \wedge (b_0 > 0)$ : Unsatisfiable

# APPLICATIONS OF SYMBOLIC EXECUTION

- General goal: Reason about behavior of program
- Basic applications
  - Detect infeasible paths
  - Generate test inputs
  - Find bugs and vulnerabilities
- Advanced applications
  - Generating program invariants
  - Prove that two pieces of code are equivalent
  - Debugging
  - Automated program repair



# EXAMPLE: Generate Test Inputs

```
function test(x, y) {  
  var z = 0;  
  if (x > 0) {  
    z = z + 1;  
  } else {  
    z = z - 1;  
  }  
  
  if (y == z) {  
    assert(false);  
  }  
}
```

Goal: **symbolically execute** this program to find **inputs (x, y)** that **violate the assertion**.

# EXAMPLE: Generate Test Inputs

```
function test(x, y) {  
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  if (x > 0) {  
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  if (y == z) {  
    assert(false);  
  }  
}
```

Goal: **symbolically execute** this program to find **inputs (x, y) that violate the assertion**.

## Step 1 – Symbolic initialization

At the start:

Variable	Symbolic value
x	$x_0$
y	$y_0$
z	0

# EXAMPLE: Generate Test Inputs

```
function test(x, y) {  
  var z = 0;  
  if (x > 0) {  
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  if (y == z) {  
    assert(false);  
  }  
}
```

Goal: **symbolically execute** this program to find **inputs (x, y) that violate the assertion.**

**Step 2 – First branch:**  $\text{if } (x > 0)$

Two possible paths:

**Path 1:**  $x_0 > 0$

Then  $z := 1$

**Path 2:**  $x_0 \leq 0$

Else  $z := -1$

# EXAMPLE: Generate Test Inputs

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  }  
}
```

Goal: **symbolically execute** this program to find **inputs (x, y) that violate the assertion.**

**Step 3 – Second branch: `if (y == z)`**

Each prior path splits again based on this condition.

**Path 1A**

Condition:  $x_0 > 0 \wedge y_0 == 1$

Assertion fails (`assert(false)` triggered).

**This is a bug path.**

**Path 1B**

Condition:  $x_0 > 0 \wedge y_0 \neq 1$

Safe path (no failure).

:

# EXAMPLE: Generate Test Inputs

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    z = z - 1;  
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  }  
}
```

Goal: **symbolically execute** this program to find **inputs (x, y) that violate the assertion.**

Path	Condition	Test Input	Assertion
1A	$x_0 > 0 \wedge y_0 = 1$	(2, 1)	Fails
1B	$x_0 > 0 \wedge y_0 \neq 1$	(3, 0)	Passes
2A	$x_0 \leq 0 \wedge y_0 = -1$	(0, -1)	Fails
2B	$x_0 \leq 0 \wedge y_0 \neq -1$	(-5, 2)	Passes

## NEXT LECTURE

- Foundation of (modern) symbolic execution

