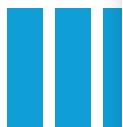


SYMBOLIC EXECUTION



Key idea

Reason about behavior of program by "executing" it with symbolic values

Originally proposed by James King (1976, CACM) and Lori Clarke (1976, IEEE TSE)

Practical around 2005 because of advances in constraint solving (SMT solvers)

An example

```
function f(a, b, c) {  
    var x = y = z = 0;  
    if (a) {  
        x = -2;  
    }  
    if (b > 5) {  
        if (!a && c) {  
            y = 1;  
        }  
        z = 2;  
    }  
    assert(x + y + z != 3);  
}
```

Concrete execution

```
function f(a, b, c) {  
    var x = y = z = 0; ←  
    if (a) {  
        x = -2;  
    }  
    if (b > 5) {  
        if (!a && c) {  
            y = 1;  
        }  
        z = 2;  
    }  
    assert(x + y + z != 3);  
}
```

INITIAL STATE

a	b	c	x	y	z
1	1	1	0	0	0

Concrete execution

```
function f(a, b, c) {  
    var x = y = z = 0;  
    if (a) {  
        x = -2;  
    }  
    if (b > 5) {  
        if (!a && c) {  
            y = 1;  
        }  
        z = 2;  
    }  
    assert(x + y + z != 3);  
}
```

INITIAL STATE

Condition: if (a) is true
since a = 1 (truthy in JavaScript)

a	b	c	x	y	z
1	1	1	0	0	0

Concrete execution

```
function f(a, b, c) {  
    var x = y = z = 0;  
    if (a) {  
        x = -2;           ←  
    }  
    if (b > 5) {  
        if (!a && c) {  
            y = 1;  
        }  
        z = 2;  
    }  
    assert(x + y + z != 3);  
}
```

INITIAL STATE

Condition: if (a) is true

a	b	c	x	y	z
1	1	1	0	0	0

a	b	c	x	y	z
1	1	1	-2	0	0

Concrete execution

```
function f(a, b, c) {  
    var x = y = z = 0;  
    if (a) {  
        x = -2;  
    }  
    if (b > 5) {  
        if (!a && c) {  
            y = 1;  
        }  
        z = 2;  
    }  
    assert(x + y + z != 3);  
}
```

INITIAL STATE

Condition: if (a) is true



Condition: b > 5 is **false**

a	b	c	x	y	z
1	1	1	0	0	0

a	b	c	x	y	z
1	1	1	-2	0	0

Concrete execution

```
function f(a, b, c) {  
    var x = y = z = 0;  
    if (a) {  
        x = -2;  
    }  
    if (b > 5) {  
        if (!a && c) {  
            y = 1;  
        }  
        z = 2;  
    }  
    assert(x + y + z != 3);    ←  
}
```

INITIAL STATE

Condition: if (a) is true

Condition: b > 5 is **false**

a	b	c	x	y	z
1	1	1	0	0	0

a	b	c	x	y	z
1	1	1	-2	0	0

a	b	c	x	y	z
1	1	1	-2	0	0

The assertion check

$$x + y + z = -2 + 0 + 0 = -2$$

$-2 \neq 3$ is true

Symbolic execution

```
function f(a, b, c) {  
    var x = y = z = 0;  
    if (a) {  
        x = -2; ←  
    }  
    if (b > 5) {  
        if (!a && c) {  
            y = 1;  
        }  
        z = 2;  
    }  
    assert(x + y + z != 3);  
}
```

INITIAL STATE

a	b	c	x	y	z
a_0	b_0	c_0	0	0	0

$A = a_0 \neq 0$ if (a) is true

Symbolic Values

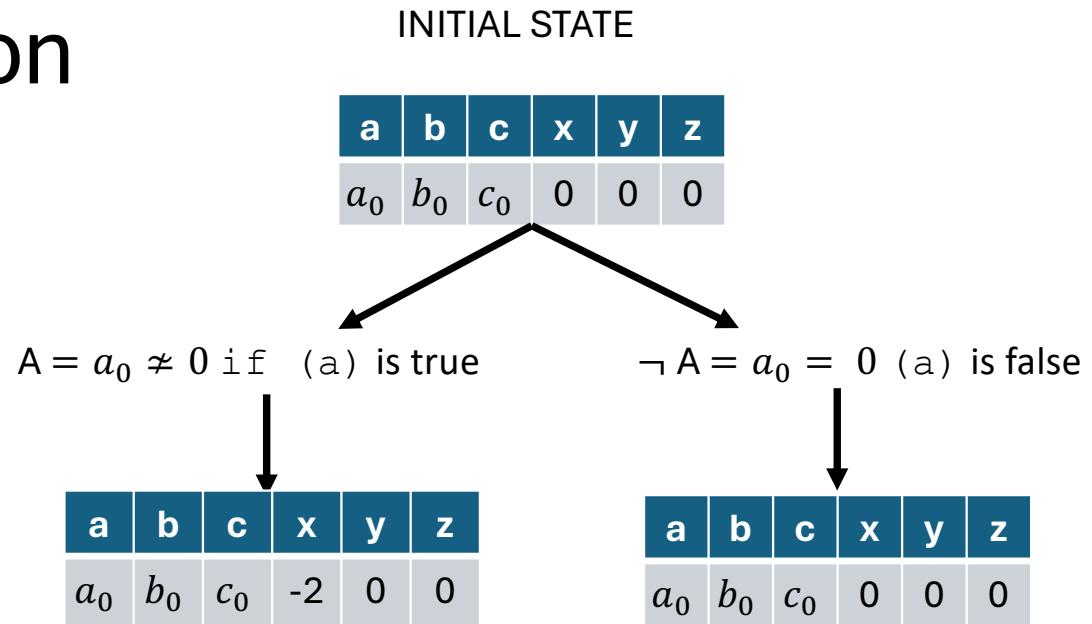
$A = a_0 = 0$ (a) is false

a_0, b_0, c_0



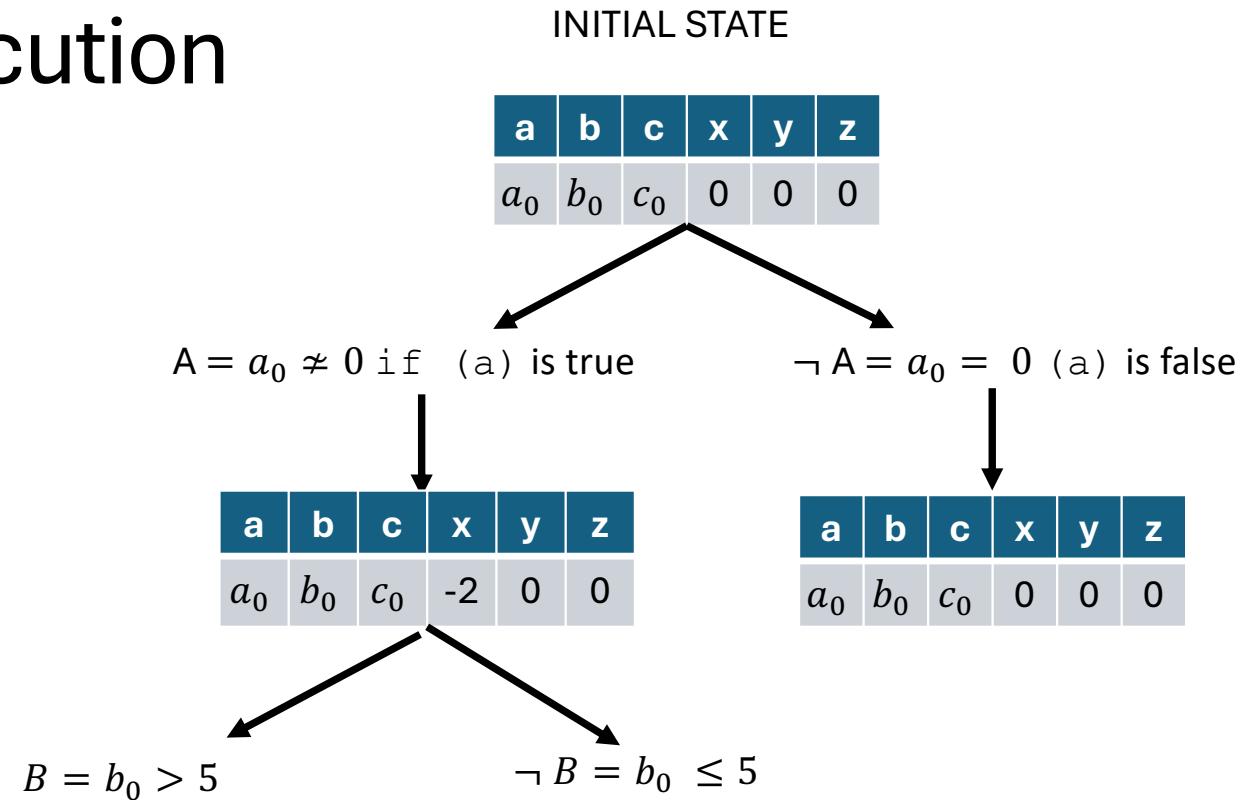
Symbolic execution

```
function f(a, b, c) {  
    var x = y = z = 0;  
    if (a) {  
        x = -2;  
    }  
    if (b > 5) {  
        if (!a && c) {  
            y = 1;  
        }  
        z = 2;  
    }  
    assert(x + y + z != 3);  
}
```



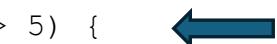
Symbolic execution

```
function f(a, b, c) {
    var x = y = z = 0;
    if (a) {
        x = -2;
    }
    if (b > 5) {
        if (!a && c) {
            y = 1;
        }
        z = 2;
    }
    assert(x + y + z != 3);
}
```



Symbolic execution

```
function f(a, b, c) {
    var x = y = z = 0;
    if (a) {
        x = -2;
    }
    if (b > 5) {
        if (!a && c) {
            y = 1;
        }
        z = 2;
    }
    assert(x + y + z != 3);
}
```



INITIAL STATE

a	b	c	x	y	z
a_0	b_0	c_0	0	0	0

$A = a_0 \neq 0$ if (a) is true

$\neg A = a_0 = 0$ (a) is false

a	b	c	x	y	z
a_0	b_0	c_0	-2	0	0

a	b	c	x	y	z
a_0	b_0	c_0	0	0	0

$B = b_0 > 5$

$\neg B = b_0 \leq 5$

$\neg A \wedge (c \neq 0) = \neg A \wedge C$

$A \vee \neg C$

a	b	c	x	y	z
a_0	b_0	c_0	-2	1	2

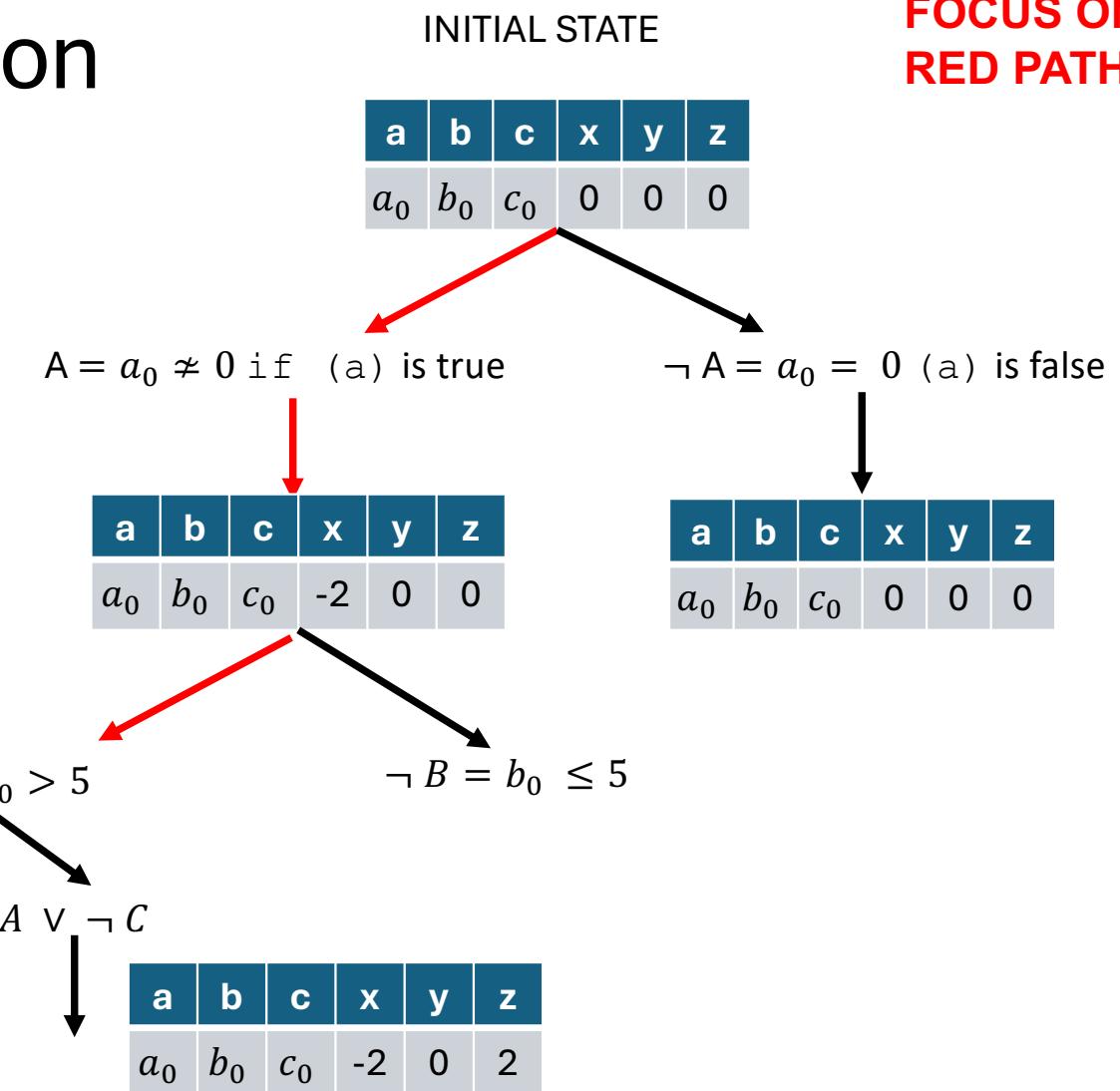
a	b	c	x	y	z
a_0	b_0	c_0	-2	0	2

Symbolic execution

```
function f(a, b, c) {
    var x = y = z = 0;
    if (a) {
        x = -2;
    }
    if (b > 5) {
        if (!a && c) {
            y = 1;
        }
        z = 2;
    }
    assert(x + y + z != 3);
}
```

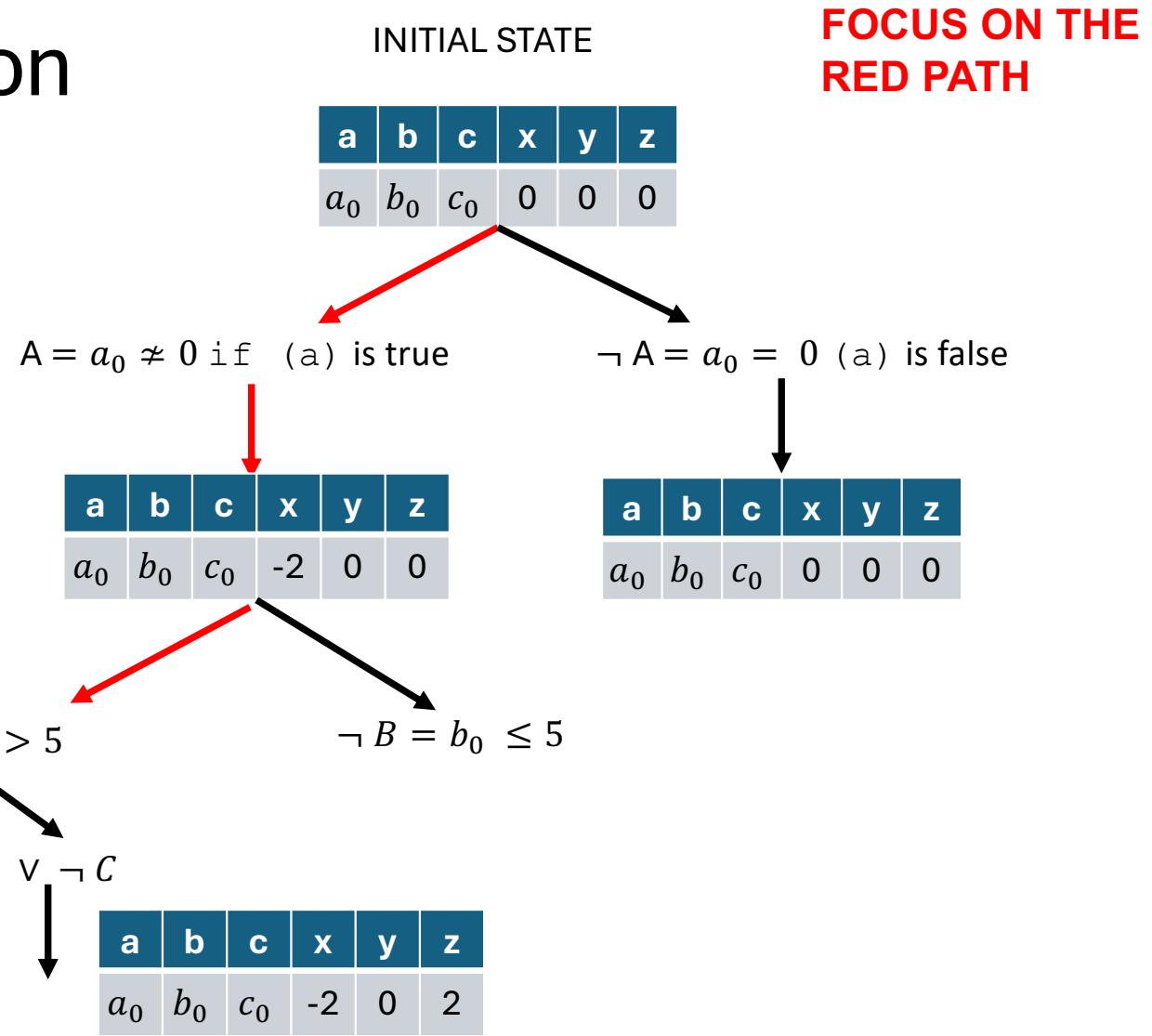
$$A \wedge B \wedge \neg A \wedge C$$

a	b	c	x	y	z
a_0	b_0	c_0	-2	1	2



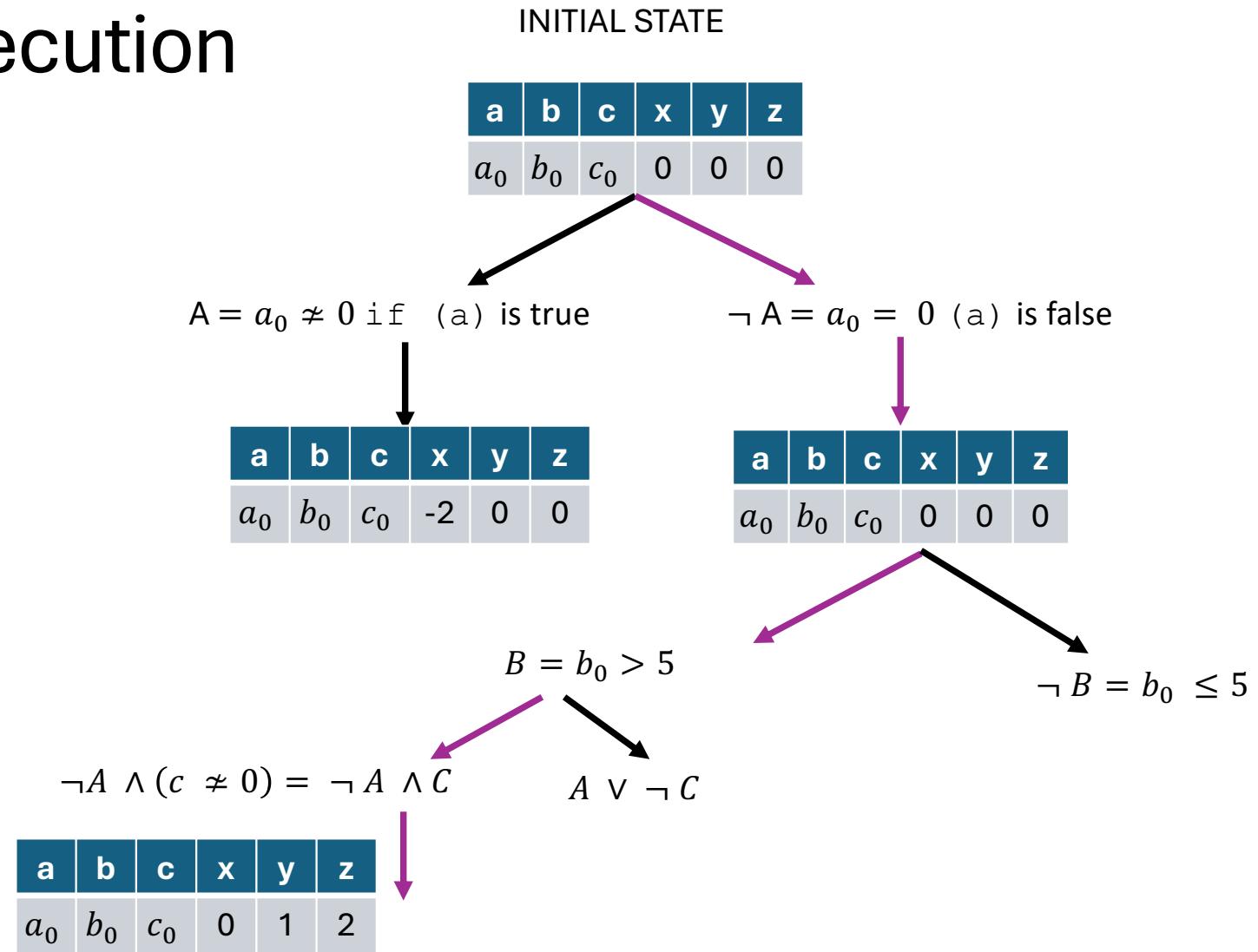
Symbolic execution

```
function f(a, b, c) {
    var x = y = z = 0;
    if (a) {
        x = -2;
    }
    if (b > 5) {
        if (!a && c) {
            y = 1;
        }
        z = 2;
    }
    assert(x + y + z != 3);
}
```



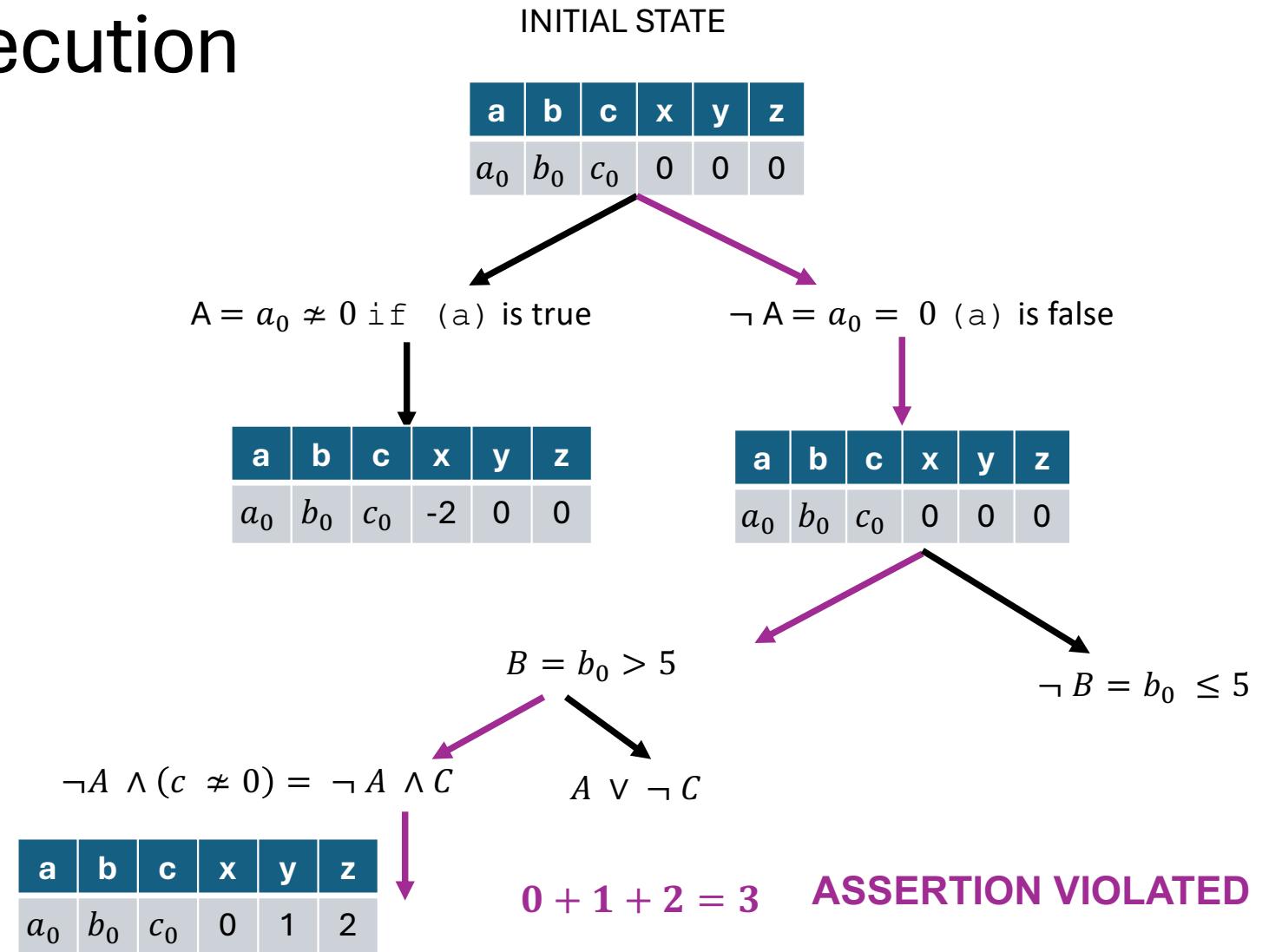
Symbolic execution

```
function f(a, b, c) {
    var x = y = z = 0;
    if (a) {
        x = -2;
    }
    if (b > 5) {
        if (!a && c) {
            y = 1;
        }
        z = 2;
    }
    assert(x + y + z != 3);
}
```



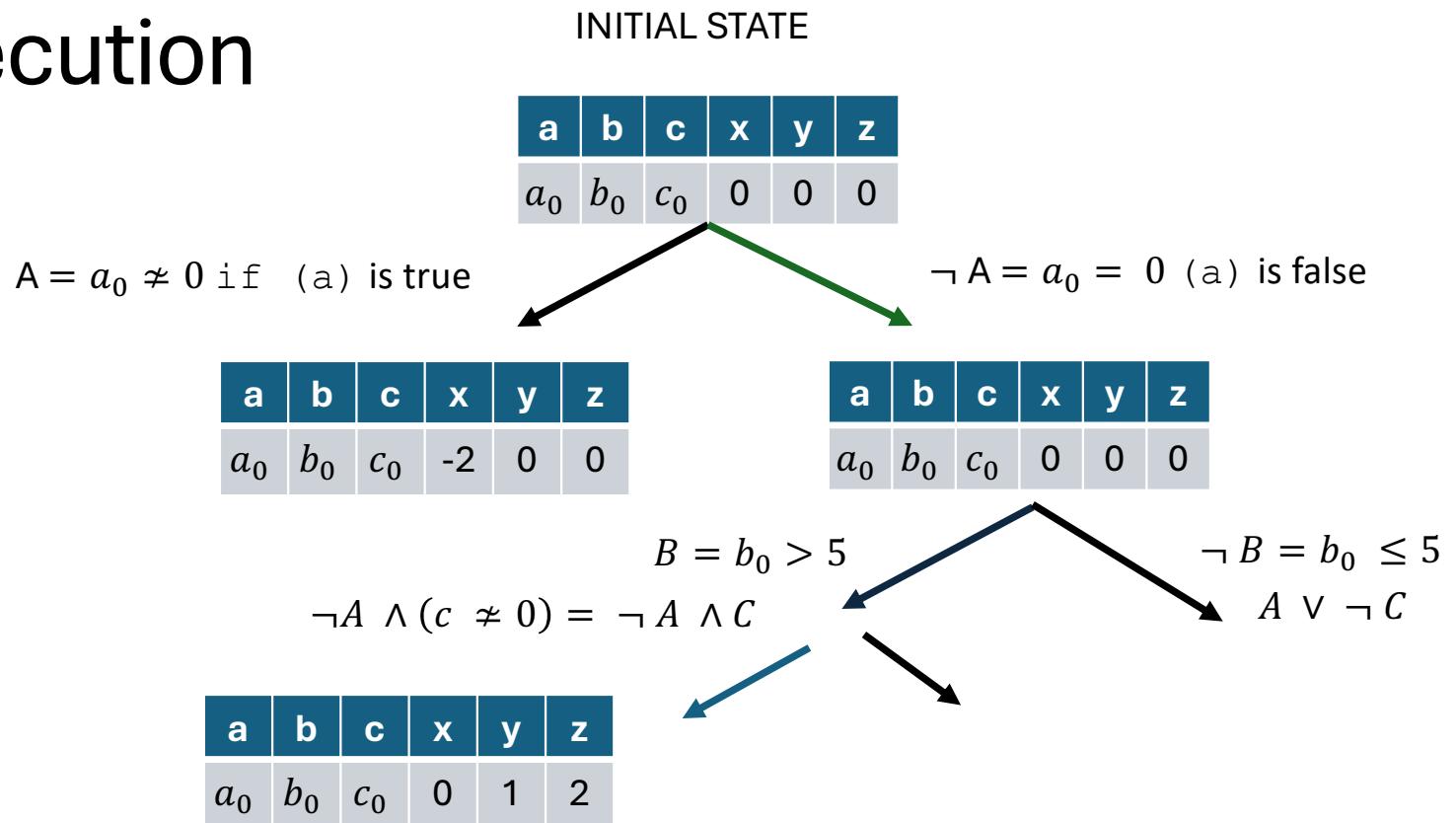
Symbolic execution

```
function f(a, b, c) {
    var x = y = z = 0;
    if (a) {
        x = -2;
    }
    if (b > 5) {
        if (!a && c) {
            y = 1;
        }
        z = 2;
    }
    assert(x + y + z != 3);
}
```



Symbolic execution

```
function f(a, b, c) {
    var x = y = z = 0;
    if (a) {
        x = -2;
    }
    if (b > 5) {
        if (!a && c) {
            y = 1;
        }
        z = 2;
    }
    assert(x + y + z != 3);
}
```

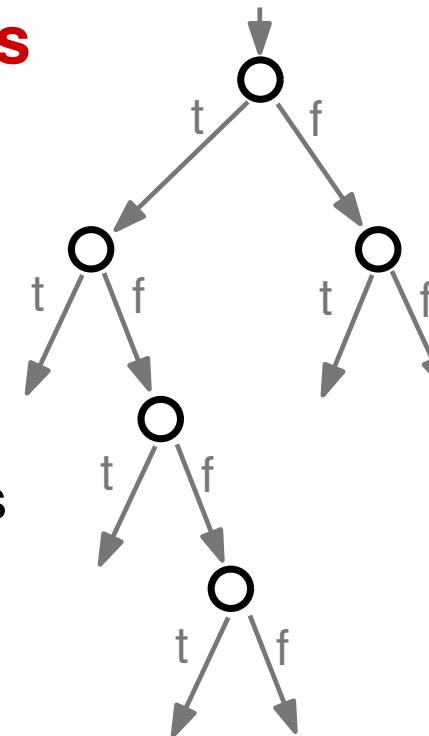


SIMPLER TREE LIKE REPRESENTATION

Execution Trees

All possible execution paths

- Binary tree
- Nodes: **Conditional statements**
- Edges: Execution of sequence on non-conditional statements
- Each **path** in the tree represents an **equivalence class of inputs**



Exercize

Draw the execution tree for this function. How many nodes and edges does it have?

```
function f(x,y) {  
    var s = "foo";  
    if (x < y) {  
        s += "bar";  
        console.log(s);  
    }  
    if (y === 23) {  
        console.log(s);  
    }  
}
```

Symbolic Values and Symbolic States

- Unknown values, e.g., user inputs, are kept symbolically
- Symbolic state maps variables to symbolic values

```
function f(x, y) {  
    var z = x + y;  
    if (z > 0) {  
        ...  
    }  
}
```

Symbolic Values and Symbolic States

Symbolic Values and Symbolic State

- Unknown values, e.g., user inputs, are kept symbolically
- Symbolic state maps variables to symbolic values

```
function f(x, y) {  
    var z = x + y;  
    if (z > 0) {  
        ...  
    }  
}
```

Symbolic input
values: x_0, y_0

Symbolic state:
 $z = x_0 + y_0$

SATISFIABILITY OF PATH CONDITIONS

Determine whether a path is **feasible:**
Check if its path condition is satisfiable

- Done by powerful **SMT/SAT solvers**
 - SAT = satisfiability,
 - SMT = satisfiability modulo theory
 - E.g., Z3, Yices, STP
- For a satisfiable formula, solvers also provide a **concrete solution**

SATISFIABILITY OF PATH CONDITIONS

Determine whether a path is **feasible:**
Check if its path condition is satisfiable

- Examples:
 - $a_0 + b_0 > 1$: Satisfiable, one solution: $a_0 = 1, b_0 = 1$
 - $(a_0 + b_0 < 0) \wedge (a_0 - 1 > 5) \wedge (b_0 > 0)$: Unsatisfiable

APPLICATIONS OF SYMBOLIC EXECUTION

- General goal: **Reason about behavior of program**
- Basic applications
 - Detect **infeasible paths**
 - Generate **test inputs**
 - Find **bugs** and vulnerabilities
- Advanced applications
 - Generating program invariants
 - Prove that two pieces of code are equivalent
 - Debugging
 - Automated program repair

EXAMPLE: Generate Test Inputs

```
function test(x, y) {  
    var z = 0;  
    if (x > 0) {  
        z = z + 1;  
    } else {  
        z = z - 1;  
    }  
  
    if (y == z) {  
        assert(false);  
    }  
}
```

Goal: symbolically execute this program to find inputs (x, y) that violate the assertion.

EXAMPLE: Generate Test Inputs

```
function test(x, y) {  
    var z = 0;  
    if (x > 0) {  
        z = z + 1;  
    } else {  
        z = z - 1;  
    }  
  
    if (y == z) {  
        assert(false);  
    }  
}
```

Goal: **symbolically execute** this program to find **inputs (x, y)** that **violate the assertion**.

Step 1 – Symbolic initialization

At the start:

Variable	Symbolic value
x	x_0
y	y_0
z	0

EXAMPLE: Generate Test Inputs

```
function test(x, y) {  
    var z = 0;  
    if (x > 0) {  
        z = z + 1;  
    } else {  
        z = z - 1;  
    }  
  
    if (y == z) {  
        assert(false);  
    }  
}
```

Goal: **symbolically execute** this program to find **inputs (x, y)** that **violate the assertion**.

Step 2 – First branch: `if (x > 0)`

Two possible paths:

Path 1: $x_0 > 0$

Then $z := 1$

Path 2: $x_0 \leq 0$

Else $z := -1$

EXAMPLE: Generate Test Inputs

```
function test(x, y) {  
    var z = 0;  
    if (x > 0) {  
        z = z + 1;  
    } else {  
        z = z - 1;  
    }  
  
    if (y == z) {  
        assert(false);  
    }  
}
```

Goal: **symbolically execute** this program to find **inputs (x, y)** that **violate the assertion**.

Step 3 – Second branch: if (y == z)

Each prior path splits again based on this condition.

Path 1A

Condition: $x_0 > 0 \wedge y_0 == 1$

Assertion fails (assert (false) triggered).

This is a bug path.

Path 1B

Condition: $x_0 > 0 \wedge y_0 \neq 1$

Safe path (no failure).

:

EXAMPLE: Generate Test Inputs

```
function test(x, y) {  
    var z = 0;  
    if (x > 0) {  
        z = z + 1;  
    } else {  
        z = z - 1;  
    }  
  
    if (y == z) {  
        assert(false);  
    }  
}
```

Goal: symbolically execute this program to find inputs (x, y) that violate the assertion.

Path	Condition	Test Input	Assertion
1A	$x_0 > 0 \wedge y_0 = 1$	(2, 1)	Fails
1B	$x_0 > 0 \wedge y_0 \neq 1$	(3, 0)	Passes
2A	$x_0 \leq 0 \wedge y_0 = -1$	(0, -1)	Fails
2B	$x_0 \leq 0 \wedge y_0 \neq -1$	(-5, 2)	Passes

A large, semi-transparent dark blue circle is positioned in the center-left area of the slide, partially overlapping the text.

NEXT LECTURE

- Foundation of (modern) symbolic execution

