# can you answer while you wait?

 $\frac{\text{lu\'{s cruz-filipe}}^1}{\text{(joint work with graça gaspar}^2 \& isabel nunes}^2)}$ 

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## Outline

introduction

**•**000

- 1 introduction
- 2 denotational semantics
- Operational semantics
- What about delays?
- (5) conclusions

#### the context

continuous queries over data streams

- modern-day distributed systems
- information pouring in from e.g. sensors
- queries need to be answered in real-time
- answers are output as information arrives

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### several models

common approach: rule-based reasoning

- usually based on variants of datalog
- set of facts dynamically obtained from a data stream D
- common problems: blocking queries, unbound wait

## initial contribution (aaai'20)

online algorithm with offline pre-processing outputting partial information

- information that an answer may be output in the future
- fundamentation for such hypothetical answers

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### practical relevance

partial information allows for preventive measures to be taken

- a failure might occur  $\leadsto$  steps may be taken to prevent it the justification for *why* the hypothetical answer is output can be used to evaluate its likelihood

$$\mathsf{Temp}(X,\mathsf{high},T) o \mathsf{Flag}(X,T)$$
 $\mathsf{Flag}(X,T) \wedge \mathsf{Flag}(X,T+1) o \mathsf{Cool}(X,T+1)$ 

$$\mathsf{Cool}(X,T) \land \mathsf{Flag}(X,T+1) \to \mathsf{Shdn}(X,T+1)$$

- a data center managing a set of wind turbines receives temperature readings Temp(Device, Level, Time) from sensors in each turbine
- the data centre tracks activation of cooling measures in each turbine, recording shutdowns by means of a program in temporal datalog

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# $query: Q = \mathsf{Shdn}(X, T)$

if:

Temp(wt25, high, 
$$i$$
)  $i = 0, 1, 2$ 

all arrive at the data stream, then  $\{X:=\mathrm{wt}25,\,T:=2\}$  is an answer to Q

$$\mathsf{Temp}(X,\mathsf{high},T)\to\mathsf{Flag}(X,T)$$

$$\mathsf{Flag}(X,T) \wedge \mathsf{Flag}(X,T+1) \to \mathsf{Cool}(X,T+1)$$

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# query: Q = Shdn(X, T)

but: once

arrives, we already know that  $\{X := \text{wt25}, T := 2\}$  might become an answer to Q

$$\mathsf{Temp}(X,\mathsf{high},T) \to \mathsf{Flag}(X,T)$$

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## query: Q = Shdn(X, T)

in the original work, since

does *not* arrive, we know that  $\{X := wt42, T := 2\}$  cannot become an answer to Q

$$\mathsf{Temp}(X,\mathsf{high},T) \to \mathsf{Flag}(X,T)$$

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## assumption (aaai'20)

we assume that the data stream D is complete at each time point, i.e. at time  $\tau$  it contains all facts with timestamps  $\leq \tau$  we call this set of facts the  $\tau$ -history  $D_{\tau}$ 

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#### current contribution

this work removes this assumption

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# syntax

### ingredients

- temporal datalog with negation: no function symbols, predicates have exactly one temporal argument (the last) – the timestamp
- a datastream D: a family  $\{D|_{\tau} \mid \tau \in \mathbb{N}\}$ , where  $D|_{\tau}$  contains the facts that arrive at time instant  $\tau$ 
  - $\leadsto$  for now: no delays all elements of  $\tau$  have timestamp  $\tau$
- a program  $\Pi$ : a set of rules defining additional predicates, must be T-stratified
- a query Q: a (typically non-ground) fact

# terminology

## two sorts of predicates

we assume that the predicate symbols occurring in  $\boldsymbol{D}$  do not appear in heads of rules in  $\boldsymbol{\Pi}$ 

- extensional predicates are defined by their instances (from D)
- intensional predicates are defined by rules (in  $\Pi$ )

## answers in logic programming

an answer to a query Q over a program  $\Pi$  and a history  $D_{\tau}$  is a ground substitution  $\theta$  such that:

- $oldsymbol{ heta}$  ranges over the free variables in Q
- $\Pi \cup D_{\tau} \models Q\theta$

# denotational semantics (i/ii)

### hypothetical answers

a hypothetical answer to a query Q over a program  $\Pi$  and a history  $D_{\tau}$  is a pair  $\langle \theta, H \rangle$ , where  $\theta$  is a ground substitution and H is a finite set of ground extensional atoms (the hypotheses) such that:

- ullet heta ranges over the free variables in Q
- ullet H only contains atoms with timestamp au' > au
- $\Pi \cup D_{\tau} \cup H \models Q\theta$
- H is minimal with respect to set inclusion

## our example program

$$\mathsf{Temp}(X,\mathsf{high},T) o \mathsf{Flag}(X,T)$$

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### query

$$Q = \mathsf{Shdn}(X, T)$$

## Temp(wt25, high, 0) $\in D_0$

 $\langle \{X := \text{wt25}, T := 2\}, H \rangle$  is a hypothetical answer to Q for  $H = \{\text{Temp}(\text{wt25}, \text{high}, i) \mid i = 1, 2\}$ 

### our example program

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#### query

$$Q = \mathsf{Shdn}(X, T)$$

## Temp(wt42, high, 0) $\notin D_0$

 $\langle \{X:= \mathsf{wt42}, \, T:=2\}, H \rangle$  is not a hypothetical answer to Q for any H

# denotational semantics (ii/ii)

## supported answers

- a non-empty set of facts  $E \subseteq D_{\tau}$  is *evidence* supporting a hypothetical answer  $\langle \theta, H \rangle$  if E is a minimal set s.t.  $\Pi \cup E \cup H \models P\theta$
- a supported answer to Q over  $D_{\tau}$  is a triple  $\langle \theta, H, E \rangle$  where E is evidence supporting  $\langle \theta, H \rangle$

### supported answers

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- a supported answer to Q over  $D_{\tau}$  is a triple  $\langle \theta, H, E \rangle$  where E is evidence supporting  $\langle \theta, H \rangle$

### in our example program

the fact

$$\mathsf{Temp}(\mathsf{wt25},\mathsf{high},0) \in D_0$$

is evidence for the hypothetical answer

$$\{X := \text{wt25}, T := 2\}, \{\text{Temp(wt25, high, } i) \mid i = 1, 2\} \}$$

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 $\mathsf{Cool}(X,T) \wedge \mathsf{Flag}(X,T+1) o \mathsf{Shdn}(X,T+1) \Longleftrightarrow$ 

$$\leftarrow \mathsf{Shdn}(X,T)$$

$$\downarrow$$

$$\leftarrow \mathsf{Cool}(X,T-1),\mathsf{Flag}(X,T)$$

$$\mathsf{Temp}(X,\mathsf{high},T) o \mathsf{Flag}(X,T)$$
 $\mathsf{Flag}(X,T) \wedge \mathsf{Flag}(X,T+1) o \mathsf{Cool}(X,T+1) ext{ } \Leftarrow$ 
 $\mathsf{Cool}(X,T) \wedge \mathsf{Flag}(X,T+1) o \mathsf{Shdn}(X,T+1)$ 

$$\leftarrow \mathsf{Shdn}(X,T)$$

$$\downarrow \qquad \qquad \qquad \downarrow$$

$$\leftarrow \mathsf{Cool}(X,T-1),\mathsf{Flag}(X,T)$$

$$\downarrow \qquad \qquad \qquad \qquad \downarrow$$

$$\leftarrow \mathsf{Flag}(X,T-2),\mathsf{Flag}(X,T-1),\mathsf{Flag}(X,T)$$

$$\mathsf{Temp}(X,\mathsf{high},T) o \mathsf{Flag}(X,T) \ \Leftarrow \ \mathsf{Flag}(X,T) \wedge \mathsf{Flag}(X,T+1) o \mathsf{Cool}(X,T+1) \ \mathsf{Cool}(X,T) \wedge \mathsf{Flag}(X,T+1) o \mathsf{Shdn}(X,T+1)$$

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$$\downarrow \qquad \qquad \leftarrow \mathsf{Flag}(X,T-2),\mathsf{Flag}(X,T-1),\mathsf{Flag}(X,T)$$

$$\downarrow *$$

$$\leftarrow \mathsf{Temp}(X,\mathsf{high},T-2),\mathsf{Temp}(X,\mathsf{high},T-1),\mathsf{Temp}(X,\mathsf{high},T)$$

### $future\ atom$

an atom  $P(t_1,\ldots,t_n)$  is a future atom wrt  $\tau$  if the time term  $t_n$  either contains a temporal variable or is a time instant  $t_n > \tau$ 

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### $sld\mbox{-}refutation$

an sld-refutation of  $\Pi$  and Q over  $D_{\tau}$  is a finite sld-derivation of  $\Pi \cup D_{\tau} \cup \{\neg Q\}$  whose last goal is the empty clause

### computed answer

if  $\mathcal D$  is an sld-refutation of Q over  $D_{\tau}$  and  $\theta$  is the restriction of the composition of the substitutions in  $\mathcal D$  to  $\mathrm{var}(Q)$ , then  $\theta$  is a computed answer to Q over  $D_{\tau}$ 

#### future atom

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## sld-refutation with future premises

an sld-refutation with future premises of  $\Pi$  and Q over  $D_{\tau}$  is a finite sld-derivation of  $\Pi \cup D_{\tau} \cup \{\neg Q\}$  whose last goal only contains extensional future atoms wrt  $\tau$ 

### computed answer with premises

if  $\mathcal{D}$  is an sld-refutation with future premises of Q over  $D_{\tau}$  with last goal  $G = \neg \wedge_i \alpha_i$  and  $\theta$  is the restriction of the composition of the substitutions in  $\mathcal{D}$  to var(Q), then  $\langle \theta, \wedge_i \alpha_i \rangle$  is a *computed* answer with premises to Q over  $D_{\tau}$ 

## independence of the computation rule

from classical results about sld-resolution, we can reorder the steps of any sld-refutation with future premises to use the facts from  $D_{\mathcal{T}}$  in temporal order

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## key idea

this simple observation gives us an incremental algorithm

- at each step, update any "ongoing" derivations with the new facts
- any derivations expecting facts that did not arrive are forgotten
- some pre-processing allows us to identify relevant facts

# a two-stage algorithm

## $\overline{pre-processing}$ step

we compute answers with premises to Q over  $D_{-1}=\emptyset$ 

- ullet we store the minimal answers wrt set inclusion in a set  $\mathcal{P}_{\mathcal{Q}}$
- ullet we initialize the set  $\mathcal{S}_{-1}$  of schematic supported answers to  $\emptyset$

# a two-stage algorithm

### pre-processing step

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### online step

to compute  $S_{\tau+1}$  from  $S_{\tau}$  and  $D_{\tau+1} \setminus D_{\tau}$ :

- for each answer in  $\mathcal{P}_Q$ , we perform sld-resolution between its set of elements with minimal timestamps and  $D_{\tau+1} \setminus D_{\tau}$
- for each element of  $\mathcal{S}_{ au}$ , we perform sld-resolution between its set of elements with timestamp au+1 and  $D_{ au+1}\setminus D_{ au}$

each refutation yields an element in  $\mathcal{S}_{ au+1}$ 

# termination (i)

under suitable assumptions, the pre-processing step terminates

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### termination (ii)

the online step terminates in polynomial time in the size of  $\mathcal{S}_{\tau}$ ,  $\mathcal{P}_{Q}$  and  $D_{\tau+1}\setminus D_{\tau}$ 

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the online step terminates in polynomial time in the size of  $\mathcal{S}_{ au}$ ,  $\mathcal{P}_{Q}$  and  $D_{ au+1}\setminus D_{ au}$ 

#### soundness

every instantiation of an element of  $\mathcal{S}_{ au}$  is a supported answer to Q over  $\Pi$  and  $D_{ au}$ 

# termination (i)

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#### termination (ii)

the online step terminates in polynomial time in the size of  $\mathcal{S}_{\tau}$ ,  $\mathcal{P}_{Q}$  and  $D_{\tau+1}\setminus D_{\tau}$ 

#### soundness

every instantiation of an element of  $\mathcal{S}_{ au}$  is a supported answer to Q over  $\Pi$  and  $D_{ au}$ 

#### completeness

every supported answer to Q over  $\Pi$  and  $D_{\tau}$  is an instantiation of an element of  $\mathcal{S}_{\tau}$ 

# negation

- similar reasoning, using sldnf-derivations
- pre-processing generates auxiliary queries, rinse and repeat

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  - if there is an answer for it, discard it
  - if there is no hypothetical answer for it, promote it to evidence

# negation

- similar reasoning, using sldnf-derivations
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- extra step in the online part: for each negated hypothesis,
  - if there is an answer for it, discard it
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- indirect representation of hypothetical answers
- soundness and completeness :-)
- exponential blowup :-(



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# but in practice...

# $communication\ delays$

communication is not perfect

- there are delays
- there are errors
- there are losses

# but in practice...

### communication delays

communication is not perfect

- there are delays
- there are errors
- there are losses

#### delays are the most essential!

good communication protocols can ensure\* no errors and no losses

(\* with a high enough probability assuming no hardware failures)

# a revised hypothesis

#### delay

for each fact  $\alpha$  we assume there is a value  $\delta(\alpha)$  (the *delay* of  $\alpha$ ) such that: if the timestamp of  $\alpha$  is  $\tau$ , then  $\alpha$  can only appear in  $D|_{\tau}, \ldots, D|_{\tau + \delta(\alpha)}$ 

→ reasonable in practice

# a revised hypothesis

#### delay

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- extends in the obvious way: if some of  $t_1, \ldots, t_n$  are not ground, then  $\delta(P(t_1, \ldots, t_n)) = \max\{\delta(P(t_1', \ldots, t_n'))\}$  where  $(t_1', \ldots, t_n')$  range over the ground instances of  $t_1, \ldots, t_n$
- in particular,  $\delta(P) = \delta(P(X_1, \dots, X_n)) = \max\{\delta(P(t'_1, \dots, t'_n))\} \text{ where } (t'_1, \dots, t'_n) \text{ range over all (valid) ground terms}$
- we assume  $\delta(P) < \infty$

# do the old definitions work?

### denotational semantics: only minor tweaks

an atom  $P(t_1, \ldots, t_n)$  is future-possible wrt  $\tau$  if the time term  $t_n$  either contains a temporal variable or is a time instant  $t_n > \tau - \delta(P(t_1, \ldots, t_n))$ 

→ all definitions work, replacing future with future-possible

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→ all definitions work, replacing *future* with *future-possible* 

### but operational semantics, alas...

one must be careful with unification

- previously, schematic hypothetical answers were progressively unified; non-generated substitutions were irrelevant
- in the presence of delays, unification may lose answers

# a look at the problem

#### our example program

$$\mathsf{Temp}(X,\mathsf{high},T) o \mathsf{Flag}(X,T)$$
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 $\mathsf{Cool}(X,T) \wedge \mathsf{Flag}(X,T+1) o \mathsf{Shdn}(X,T+1)$ 

# $D|_0 = \{\mathsf{Temp}(\mathsf{wt25},\mathsf{high},0)\}$

- $\langle \{X := \text{wt25}, T := 2\}, H \rangle$  is a hypothetical answer to Q for  $H = \{\text{Temp}(\text{wt25}, \text{high}, i) \mid i = 1, 2\}$
- $\langle \{X := \text{wt42}, T := 2\}, H \rangle$  is a hypothetical answer to Q for  $H = \{\text{Temp}(\text{wt42}, \text{high}, i) \mid i = 0, 1, 2\}$

```
(assuming \delta(\mathsf{Temp}) > 0)
```

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# $D|_0 = \{\mathsf{Temp}(\mathsf{wt25},\mathsf{high},0)\}$

but unifying

 $\{\mathsf{Temp}(X,\mathsf{high},T),\mathsf{Temp}(X,\mathsf{high},T+1),\mathsf{Temp}(X,\mathsf{high},T+2)\}$ 

with  $D|_0$  will only keep the first answer...

# solution: local mgus

#### we must be able to wait

uninstantiated answers must be kept in case they may be unified later

- theoretically: a local mgu (see paper)
- in practice: consider *all* substitutions (not just the leaves) appearing in *any* sld-derivation (order matters)

# solution: local mgus

#### we must be able to wait

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### was there a typo in there?

consider all substitutions appearing in any sld-derivation

- yes, that's an exponential blowup
- hopefully, minor in practice
- ... and it's substitutions, not nodes (so not all is lost)

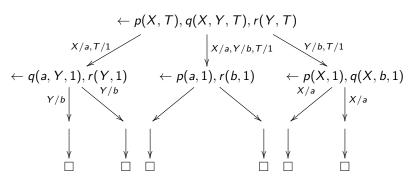


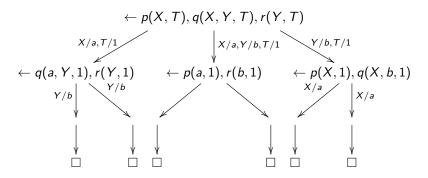
### $minimal\ example$

hypotheses:  $\{p(X,T), q(X,Y,T), r(Y,T)\}\$  data stream:  $\{p(a,1), q(a,b,1), r(b,1)\}\$ 

#### minimal example

hypotheses:  $\{p(X, T), q(X, Y, T), r(Y, T)\}\$  data stream:  $\{p(a, 1), q(a, b, 1), r(b, 1)\}\$ 





#### only 4 instantiations

• we have e.g. [X := a, T := 1] and  $\emptyset$ , but not [T := 1]



#### minimal example

hypotheses:  $\{p(X, T), q(X, Y, T), r(Y, T)\}\$  data stream:  $\{p(a, 1), q(a, b, 1), r(b, 1)\}\$ 

### only 4 schematic answers

we now apply each substitution to the set of hypotheses and unify with the data stream to obtain schematic answers

- $\langle \emptyset, \emptyset, \{p(X,T), q(X,Y,T), r(Y,T)\} \rangle$
- $\bullet \ \langle [X := a, T := 1], \{p(a,1)\}, \{q(a,Y,1), r(Y,1)\} \rangle$
- $\bullet \ \langle [Y := b, T := 1], \{r(b,1)\}, \{p(X,1), q(X,b,1)\} \rangle$
- $\langle [X := a, Y := b, T := 1], \{p(a,1), q(a,b,1), r(b,1)\}, \emptyset \rangle$

# adding negation

negation does not play well with delays

weird interplay may produce infinite sets

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weird interplay may produce infinite sets

#### our solution

- use fixpoint theory to define a semantics using ground instances
- bound the timestamps considered to construct a terminating algorithm

# adding negation

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→ imperfect correspondence, but still sound and complete...

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- 3 operational semantics
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conclusions

### main achievements

#### achievements:

- extended previous formalism with communication delays
- denotational semantics for more general setting
- operational semantics for more general setting, uses local mgu
- extends to negation (albeit with horrible complexity)

#### what we lost:

nice polynomial complexity for the positive case

#### future work

- an implementation
- practical evaluation



# thank you!