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Effect of Swelling on Multiple Energy Transfer in Conjugated Polymer Nanoparticles

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- 5 Supporting Information

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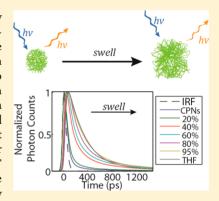
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ABSTRACT: Many key processes in conjugated polymers are strongly influenced by multiple energy transfer (i.e., exciton diffusion). We investigated the effect of solvent-induced swelling on the kinetics of multiple energy transfer in nanoparticles of the conjugated polymers PFBT and MEH-PPV. Multiple energy transfer between equivalent chromophores results in an increased rate of quenching by defects due to a cascading or funneling effect. The effects of swelling on energy transfer between polymer chromophores and the resulting exciton dynamics were modeled using a random walk on a lattice of chromophores. The simulation results show good agreement with experimental fluorescence quantum yield, and decay kinetics results at low to moderate THF concentrations. We found that the time scale for energy transfer between chromophores (~5 ps for MEH-PPV nanoparticles and ~100 ps for PFBT nanoparticles) is highly sensitive to swelling, slowing by an order of magnitude or more for swelled particles. The results support quenching by defects or polarons, amplified by multiple energy transfer or a cascade effect, as a likely explanation for the typically low



fluorescence quantum yield of conjugated polymer particles as compared to the free polymer in solution as well as similar effects observed in thin films.

4 INTRODUCTION

25 Conjugated polymers (CPs) are a versatile class of semi-26 conducting luminescent materials, used as active materials in 27 organic photovoltaics and light-emitting diodes. 1-3 Conjugated 28 polymer nanoparticles (CPNs) are well-suited to a variety of 29 fluorescence-based imaging and sensing applications, exhibiting 30 extraordinary figures of merit, including exceptional brightness 31 and photostability. 4,5 Furthermore, highly efficient energy 32 transfer to dyes or other polymers incorporated in the particle 33 or to dyes covalently linked to the surface can provide red-34 shifted emission and sensors. 5-9 To tune CPN properties for 35 various applications, we seek to better understand the species 36 and processes that dictate their properties, and how they are 37 modulated by polymer conformation and processing con-38 ditions. 10,11 The complex, nanoscale, multichromophoric 39 nature of CPNs can give rise to a number of transient and 40 persistent species interacting with the excited state including H-41 or J-aggregates, excimers, and exciplexes. 12-15 Furthermore, 42 chemical defects, excess charges (i.e., hole polarons), and 43 incorporated dyes can have significant effects on fluorescence 44 properties. 16-21 In addition, energy transfer between like 45 conjugated polymer chromophores (i.e., exciton diffusion) 46 can result in a cascade of multiple energy transfer events, 47 greatly amplifying the effects of these species. 22-25 Recently, we 48 showed that multiple energy transfer in CPNs gives rise to 49 characteristic complex fluorescence kinetics.

Here, we investigate the effects of solvent-induced swelling 50 on the excited-state dynamics in CPNs, using steady-state and 51 time-resolved fluorescence spectroscopy to develop and test a 52 multiple energy transfer model and thus gain a clearer picture 53 of the interplay of the various species and processes that 54 determine the optical properties and excited-state dynamics of 55 CPNs. We previously employed a similar approach to 56 determine the length scale of exciton diffusion in dye-doped 57 CPNs. Particle swelling increases the interchromophore 58 distance, causing a reduction in the rate of multiple energy 59 transfer and thus a reduction in the quenching efficiency and an 60 increase in the excited-state lifetime. By fitting parameters of a 61 multiple energy transfer model to picosecond fluorescence 62 decay results, we obtain an approximate picture of the 63 characteristic length scale and time scale of energy transfer 64 between pairs of like chromophores (homotransfer) as well as 65 how the energy transfer cascade is modulated by swelling. The 66 agreement with model predictions over a broad range of 67 swelling supports the proposed multiple energy transfer picture. 68 The comparison of results from two different polymers suggests 69 that higher rates of homotransfer, which are sometimes desired 70 (e.g., to improve exciton transport in photovoltaics, or for some 71 sensor schemes), come at the price of reduced fluorescence 72

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73 quantum yield due to increased quenching by defects, either 74 due to the formation of additional aggregate species for systems 75 with higher chromophore densities or due to an increase in the 76 energy transfer cascade to pre-existing defects. Our results point 77 to the latter mechanism as the more likely or dominant 78 mechanism causing the large reduction in fluorescence 79 quantum yield often observed for some conjugated polymer 80 films and particles as compared to the free polymer in solution. 81 On the basis of this picture, we suggest that, in some cases, the 82 optical properties CP films and particles can be improved by 83 interfering with the multiple energy transfer cascade, either by 84 competitive energy transfer or by increasing chromophore 85 spacing.

6 EXPERIMENTAL METHODS

Materials. The copolymer poly[(9,9-dioctylfluorenyl-2,7-88 diyl)-co-(1,4-benzo-{2,1',3}-thiadiazole)] (PFBT, MW 10 000, 99 polydispersity 1.7) and the poly(phenylenevinylene) derivative poly[2-methoxy-5-(2-ethylhexyloxy)-1,4-phenylenevinylene] (MEH-PPV, MW 200 000, polydispersity 4.0) were purchased from ADS Dyes, Inc. (Quebec, Canada). The fluorescent dye fluorescein was purchased from Life Technologies (Invitrogen, Grand Island, NY). The fluorescent dye Lucifer Yellow CH dipotassium salt (LY, 1 mg/mL in water), solvent tetrahydrofuran (THF, anhydrous, inhibitor-free, 99.9%), and sodium hydroxide (SigmaUltra, minimum 98%) were purchased from Sigma-Aldrich (Milwaukee, WI). All materials were used as 99 provided without further purification.

Nanoparticle Preparation. The preparation of fluorescent 101 nanoparticles was performed via a previously described 102 nanoprecipitation method.²⁶ The conjugated polymers PFBT 103 and MEH-PPV were dissolved in THF by gentle agitation and 104 prepared at a concentration of 1000 ppm. An aliquot of each 105 stock solution was used to prepare precursor solutions at 20 106 ppm. A 2 mL quantity of a given precursor solution was rapidly 107 added into 8 mL of deionized water under bath sonication at a 108 frequency of 40 kHz and room temperature. Removal of THF 109 was accomplished by the following procedure. Nanoparticle 110 suspensions were placed in a vacuum oven under nitrogen flow 111 for 8 h at room temperature to remove enough THF to prevent 112 bumping during the subsequent vacuum evaporation step. 113 Nitrogen flow was ceased, and samples were evaporated under 114 vacuum in a vacuum oven at room temperature using a two-115 stage rotary vane pump with a base pressure of \sim 10 Torr. The 116 samples were heated at ~40 °C for 6-7 h to remove most of 117 the remaining THF. The total volume of liquid was typically 118 reduced by 60% during the vacuum evaporation process. A 119 Raoult's Law calculation indicates that <1% THF remains in 120 solution at ~40% volume loss. No residual THF odor was detected in the aqueous samples. Also, at this point, further 122 evaporation yielded no change in the fluorescence spectrum or 123 quantum yield. We validated this process further by assessing 124 the batch-to-batch reproducibility of the fluorescence spectra, 125 and fluorescence quantum yield of the aqueous nanoparticle 126 samples. Four batches of nanoparticles were prepared using each polymer. The nanoparticle fluorescence spectra were 128 identical in shape and peak emission wavelength for both 129 polymers. The fluorescence quantum yield varied between 4% 130 and 6% for PFBT CPNs, and remained constant at \sim 1% for 131 MEH-PPV CPNs. The aqueous samples were vacuum filtered 132 through a glass fiber prefilter to remove larger aggregates and a 133 0.1 µm PVDF membrane filter (Millipore). The fraction of 134 polymer lost in the filtration process was typically ~10%, as

measured by UV-vis. The resulting suspensions are clear (not 135 turbid) and stable for months with no visible signs of 136 aggregation.

Swelling Procedure. In addition to nanoparticles in water 138 and polymer in THF, swelled nanoparticle samples were 139 prepared by diluting an aliquot of nanoparticle suspension with 140 the appropriate volume of water, followed by slowly adding 141 THF to produce 3 mL of suspensions with volume ratios of 142 THF/water between 0.2 and 0.95. Each sample was gently 143 agitated to ensure solution homogeneity. The sample 144 absorbance was kept at or under ~ 0.05 (~ 0.02 for 95% 145 THF). Samples were capped and the headspace purged with 146 nitrogen to limit quenching and photobleaching by O_2 (purging 147 was limited to ~ 2 min, to limit loss of THF).

Characterization Methods. UV-vis absorption spectra 149 were acquired using a Shimadzu UV2101PC scanning 150 spectrophotometer with 1 cm quartz cuvettes. Fluorescence 151 spectra and fluorescence quantum yield were measured with a 152 commercial fluorimeter (Quantamaster, Photon Technology 153 International) using 1 cm quartz cuvettes. The size distributions 154 and morphologies of the aqueous nanoparticle samples were 155 measured using atomic force microscopy (AFM). Each sample 156 was prepared on a cleaned glass coverslip via immersion casting 157 in a dilute sample of CPNs for 40 min followed by drying 158 overnight in an enclosed environment. The surface topography 159 was measured on an Ambios Q250 multimode AFM in AC 160 mode. Particle diameters were measured via analysis of particle 161 heights. The effect of swelling on the nanoparticle size 162 distributions of PFBT was measured via dynamic light 163 scattering (DLS) using a Nanobrook Omni (Brookhaven 164 Instruments Corp., Holtsville, NY). Swelled CPN samples were 165 prepared according to the above swelling procedure, with a 166 sample absorbance of ~0.1 at 450 nm. Further details regarding 167 the DLS measurements are provided in the Supporting 168 Information.

Picosecond fluorescence lifetimes were measured under 170 nitrogen using a home-built setup for time-correlated single 171 photon counting (TCSPC) spectroscopy operating in reverse 172 mode. Frequency doubled pulses (420 nm) from a passively 173 mode-locked Ti:sapphire laser (Coherent Mira 900, 840 nm 174 pulses, ~150 fs pulsewidth) were used as the excitation source 175 for the nanoparticle samples. Fluorescence was collected 176 perpendicular to the excitation source and passed through a 177 460 nm long pass filter, and a calcite Glan-Taylor polarizer 178 (Thorlabs, GT10-A) oriented at magic angle (55°) with respect 179 to the vertically polarized excitation. The output of a single 180 photon avalanche photodiode (APD, id Quantique, id100-50) 181 was used as the start timing pulse for a time-to-amplitude 182 converter (TAC, Canberra model 2145), and the output of a 183 fast PIN diode (Thorlabs, DET210) was used as the stop pulse, 184 in a standard reverse-mode configuration. ^{27,28} The excitation ₁₈₅ power was attenuated (between $\sim 300 \, \mu \text{W}$ and 1 mW, typ.) to 186 maintain a count rate of ~400 kHz as measured at the APD. 187 The analog TAC output was digitized using a multichannel 188 analyzer (FastComTec, MCA-3A). Before and after each 189 measurement, an instrument response function (IRF) was 190 measured using scattered excitation light from a dilute 191 suspension of polystyrene microspheres. The width of the 192 IRF was determined to be ~70 ps (fwhm). Typical peak signal- 193 to-noise ratios (SNR) for each run were between 200:1 (80%- 194 100% THF samples) and 500:1 (IRF and low-mid % THF 195 samples). The reported information was collated from a total of 196 two samples per concentration of THF, and 3-5 runs per 197

198 sample. Intensity decays were collected for 5-20 min each to 199 obtain the above-mentioned signal-to-noise ratio.

RESULTS AND DISCUSSION

Characterization of PFBT and MEH-PPV CPNs. PFBT 201 202 and MEH-PPV nanoparticles were prepared via a nano-203 precipitation method described previously. 26 The chemical 204 structures of PFBT and MEH-PPV are given in Figure 1.

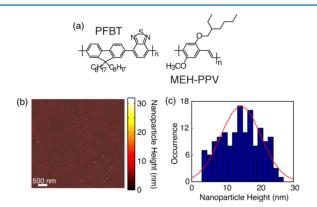


Figure 1. (a) Chemical structures of PFBT and MEH-PPV. (b,c) Representative AFM image of PFBT CPNs with particle height histogram.

205 Nanoparticle size distributions were determined via particle 206 height analysis of several AFM images, and each histogram was 207 constructed using >100 particles (cf., Figure 1). The mean particle sizes were 14 ± 6 nm for PFBT CPNs and 9 ± 5 nm 209 for MEH-PPV CPNs, consistent with previous measurements. Effect of Solvent Composition on UV-Vis and 211 Fluorescence. The UV-vis and fluorescence spectra of 212 PFBT and MEH-PPV as a function of solvent composition 213 are given in Figures 2 and 3. The absorption spectra of PFBT

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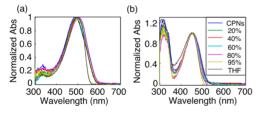


Figure 2. Normalized absorption spectra of (a) MEH-PPV and (b) PFBT at varying volume % THF.

214 maintain a similar shape with increasing THF, with a ~5 nm total blue shift, and slight broadening of the sample spectrum in 100% THF. The emission spectra show a monotonic increase 217 in fluorescence intensity with increasing THF, and the spectra also exhibit a blue shift ~5 nm with increasing THF. The 219 absorption spectra of MEH-PPV maintain a similar shape as THF concentration is increased, although the 95%-100% THF spectra are narrower than the spectra of the other samples. The absorption peak of MEH-PPV red-shifts a total of ∼15 nm from 0%-80% THF, and then blue-shifts \sim 7 nm for the 95%-100% THF samples. The emission peak blue-shifts a total of ~40 nm 225 as THF composition is increased, and it appears that from 226 ~40% THF to 80% THF there are possibly two phases present 227 in solution based on the increase in intensity of the $\sim\!560$ nm 228 shoulder on the spectrum, and the reduction in intensity of the 229 ~600 nm shoulder of the spectrum, which correspond to

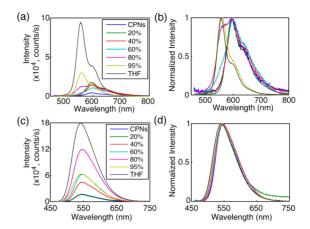


Figure 3. (a,c) Relative fluorescence intensity as a function of wavelength and (b,d) normalized emission spectra of MEH-PPV (top) and PFBT (bottom) at varying volume % THF.

isolated polymer chains and aggregate species, respectively, as 230 % THF increases. A tentative isoemissive point can be seen at 231 \sim 580 nm over the concentration range of \sim 60%-80% THF. 232 The fluorescence quantum yields (Φ) are given in Figure 4. 233 f4 The quantum yield of PFBT increases monotonically with 234

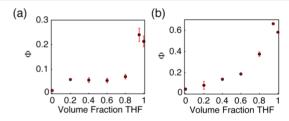


Figure 4. Fluorescence quantum yield versus THF volume fraction for (a) MEH-PPV and (b) PFBT.

increasing THF. However, MEH-PPV shows an initial intensity 235 increase from 0%-20% THF, followed by the quantum yield 236 staying relatively consistent from 20% to 80% THF, then 237 increasing at higher % THF. The ~12% difference in quantum 238 yield between the CPN samples in 95% THF and the dissolved 239 polymers in THF is likely a statistical anomaly due to the 240 uncertainty in the absorbance. While the fluorescence quantum 241 yield remains relatively constant for MEH-PPV from 20% to 242 80% THF, the relative contribution of the ~600 nm shoulder 243 decreases steadily with increasing THF concentration, likely 244 indicating that this feature is associated with aggregate species. 245

One possible interpretation of the results outlined in Figures 246 3 and 4 is that the coupling between transition dipoles is 247 somewhat stronger for MEH-PPV as compared to PFBT. This 248 is supported by the steady-state spectra, which exhibit a 249 pronounced red-shift in both absorption and emission (for the 250 particles as compared to the polymer dissolved in THF) for 251 MEH-PPV, while PFBT exhibits much smaller shifts. The lesser 252 red shift in the absorption spectra of MEH-PPV as compared to 253 the emission can be explained by a small fraction of highly red- 254 shifted J-aggregate species in conjunction with efficient energy 255 transfer to the aggregate species. This is consistent with the 256 two-state picture of Barbara et al., used to discuss the bimodal 257 emission properties in single molecule spectra of both PFBT 258 and MEH-PPV. In this picture, there is a minority aggregate 259 state that exhibits weak, red-shifted emission, and acts as an 260 energy acceptor to the other (unaggregated) chromo- 261

262 phores.^{29–33} In the case of swelling, it is likely that swelling 263 either disrupts aggregate formation, or that it reduces the rate 264 of energy transfer to aggregate species, or both.

Picosecond Time-Resolved Fluorescence Spectrosco-266 py. TCSPC obtained at magic angle to the excitation source 267 was employed to measure the excited-state decay kinetics of 268 both CPN systems over the range of solvent composition. The 269 trial functions employed during iterative convolution fitting 270 analysis were single exponential, biexponential, and the 271 Kolrausch--Williams-Watts (KWW) function or stretched exponential, given by $I(t) = A \exp(-(t/\tau)^{\beta})$, where β is the stretch parameter. The run-to-run variability in the obtained fit parameters was less than 10%. Values for β are typically between ~0.3 and 1, where lower values correspond to a broader distribution of lifetimes, and $\beta = 1$ corresponds to a single exponential lifetime.³⁴ The fits to all three trial functions converged for all samples. With the exception of PFBT in THF, which was fit best by a single exponential, the remaining 280 intensity decays fit poorly to a single exponential, with the residuals showing systematic deviation consistent with biexponential or multiexponential dynamics. The representative 283 intensity decays for each sample, along with the respective time 284 constants and β versus THF volume fraction, are given in 285 Figure 5. The lifetimes for both systems follow a monotonically

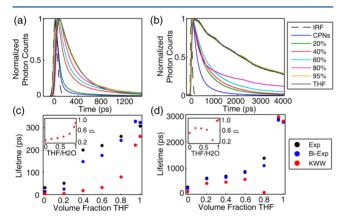


Figure 5. (a,b) Normalized fluorescence intensity decays, and (c,d) lifetimes resulting from single exponential, biexponential weighted average, and KWW trial functions with stretch parameter β (insets) versus THF volume fraction for MEH-PPV and PFBT, respectively.

286 increasing trend as THF concentration increases. The lifetimes 287 of the CPN samples in water are shorter than previously 288 reported (\sim 270 ps versus \sim 700 ps previously for PFBT), which 289 can be ascribed to a more complete THF removal. This 290 explanation is also consistent with the reduced quantum yield

($\Phi = 0.04 \text{ versus } \Phi = 0.14 \text{ previously for PFBT CPNs}$). $\beta 291$ increases for both systems as the fraction of THF increases, 292 beginning at ~0.3 for MEH-PPV in water and increasing 293 monotonically to ~0.8 for MEH-PPV in THF, indicating an 294 overall decrease in lifetime heterogeneity, although even in 295 good solvent there is some heterogeneity. The trends in β are 296 more complex for PFBT, beginning at ~0.6 for CPNs in water 297 and increasing to ~0.71 for CPNs in 20% THF, suggesting an 298 initial narrowing of the exciton lifetime distribution, likely due 299 to reduced exciton diffusion. While a net decrease in β is 300 observed from 0.71 in 20% THF to 0.65 in 60% THF, which 301 would suggest a gradual broadening of the exciton lifetime 302 distribution with increased nanoparticle swelling, it is uncertain 303 whether this decreasing trend is statistically significant, and is 304 considered instead to be a plateau around 0.7. We do however 305 observe a substantial decrease in β to \sim 0.3 at 80% THF, which 306 is indicative of a dramatic increase in the width of the 307 distribution of exciton lifetimes. This deviation from the trend 308 of increasing β as % THF increases is hypothesized to be due to 309 the presence of two distinct emitting populations with 310 substantially different lifetimes at moderately high % THF, 311 discussed further below. At the highest concentrations of THF, 312 we observe β near unity, indicating that PFBT exists as 313 unassociated chains in solution. While the results at moderate- 314 to-high THF concentrations might seem to be due to spurious 315 artifacts from complex fitting routines to stretched exponential 316 functions, it is worth noting that all of the lifetime dynamics 317 measurements represent the average of six measurements 318 between two distinct samples with under 10% run-to-run 319 variability. The overall trend of increasing β for both polymers 320 is assumed to result from increases in equilibrium chromophore 321 spacing as THF concentration increases, leading to the 322 reduction of the rates of multiple energy transfer to quenchers. 323 This picture is supported by prior results of dye-doped CPNs, 324 where a reduction in β was observed as additional quenchers 325 (dyes) were introduced. The results of the biexponential least- 326 squares fitting analysis are discussed in greater detail below.

A summary of the biexponential least-squares fitting results is 328 given in Table 1. For MEH-PPV, the larger time constant 329 the increases monotonically with increasing THF fraction, and the 330 weighted amplitudes do not change appreciably except for 331 MEH-PPV in 100% THF where lifetime is characterized almost 332 entirely by the short time constant. In contrast, the time 333 constants remain approximately the same for PFBT between 334 80% and 100%, and the weighted amplitude corresponding to 335 the long time constant increases from 80% to 100% THF. 336 Thus, the picosecond kinetics results perhaps suggest a two 337 state-like equilibrium, in which swelled nanoparticles and 338 isolated polymer chains coexist in varying ratios, within a 339

Table 1. Summary of Results of Biexponential Least-Squares Fitting to Time-Resolved Fluorescence of MEH-PPV and PFBT CPNs, Including Weighted Amplitudes (A_1, A_2) and Individual Exponential Time Constants (τ_1, τ_2)

	MEH-PPV				PFBT			
volume fraction THF	A_1	τ_1 (ps)	A_2	τ ₂ (ps)	A_1	τ_1 (ps)	A_2	τ_2 (ps)
0	0.96	9	0.04	150	0.59	88	0.41	420
0.20	0.93	14	0.07	180	0.51	260	0.49	940
0.40	0.73	56	0.27	400	0.51	280	0.49	1000
0.60	0.75	74	0.25	480	0.55	360	0.45	1400
0.80	0.75	120	0.25	620	0.65	230	0.35	2700
0.95	0.80	220	0.20	760	0.02	230	0.98	2900
1	0.95	270	0.05	1300	0.01	270	0.99	2800

340 limited range of THF concentrations. This hypothesis is further 341 supported by the anomalously low β observed for PFBT CPNs 342 in 80% THF and is discussed in more detail below.

Given the red-shifting of fluorescence emission in CPNs relative to the polymers in solution, it is assumed that chain collapse into nanoparticles leads to the formation of J-aggregate species. J-Aggregation is typically accompanied by an increase in radiative rate due to an increase in the net transition dipole moment. With this in mind, the radiative rate of a given polymer or CPN sample was calculated using the fluorescence quantum yield and fluorescence lifetime by $k_{\rm r} = \Phi/\tau_{\rm exp}$. While radiative rate varied over a factor of ~2 for PFBT (~1.2 × 10^8 sto 2.5 × 10^8 sto) and a factor of ~4 (~3.0 × 10^8 to 1.2 × 10^9 sto) for MEH-PPV over the range of THF concentrations, indicating that transition dipole coupling between like chromophores in the nanoparticle phase is possibly stronger in MEH-PPV as compared to PFBT.

Lattice Swelling Model. In a dense, multichromophoric 359 system, (incoherent) exciton transport can be described as a 360 series of energy transfer steps to roughly equivalent 361 neighboring chromophores, terminated by a quencher (e.g., a 362 nonfluorescent chemical defect or red-shifted, weakly fluo-363 rescent aggregate), or radiative or nonradiative relaxation to the 364 ground electronic state. The rate of multiple energy transfer 365 depends on the interchromophore spacing, which in the 366 present case is determined by the amount of swelling in the 367 CPNs (i.e., by the solvent composition). Forster's model for exciton diffusion³⁶ predicts that the exciton diffusion (i.e., 369 multiple energy transfer) rate is increased at high chromophore 370 densities, which in the present case corresponds to low 371 concentrations of the swelling solvent. Furthermore, in the 372 presence of quencher species, increased exciton mobility should 373 result in a higher quenching rate, and increased chromophore 374 density often leads to interchain interactions that give rise to 375 the aforementioned aggregate species. Taken together, these 376 phenomena result in increased quenching and faster decay 377 kinetics in aqueous CPN suspensions as compared to the 378 polymers in good solvent. To test this picture, we have 379 employed a discrete lattice approach using a numerical random 380 walk algorithm to model the effect of solvent-induced swelling on exciton quenching and decay kinetics. Similar approaches were previously utilized to model exciton diffusion and energy transfer in dye-doped nanoparticles on a discrete cubic lattice, and to model fluorescence centroid fluctuations due to polaron motion on single CPNs. 19,20 Here, we represent the particle as 386 a cubic lattice. Each lattice point represents one chromophore, 387 with chromophore spacing determined using the chromophore 388 number density $C_{\rm np} = N_{\rm chorm}/V_{\rm np}$, where $N_{\rm chrom}$ is the 389 approximate number of chromophores per nanoparticle, and $V_{\rm np}$ is the particle volume. We can then determine the chromophore spacing as $\Delta x_{\rm np} = C_{\rm np}^{-1/3} = (V_{\rm np}/N_{\rm chrom})^{1/3}$. Each 392 chromophore is assumed to consist of two monomer units, 393 resulting in a chromophore density (for a 10 nm diameter spherical particle) of $C_{\rm np}=1.47$ chromophores/nm³ with sps chromophore spacing of 0.9 nm for MEH-PPV CPNs, and $C_{\rm np}$ = 0.61 chromophores/nm³ with chromophore spacing of 1.2nm for PFBT CPNs. This is in the range typically employed for modeling exciton diffusion behavior. Excitons undergo energy 399 transfer between nearest neighbor chromophores (i.e., 400 neighboring lattice sites), and the energy transfer rate is set 401 to reproduce the observed exciton diffusion length from 402 previous work of L_D = 12 nm, which is assumed for both

polymers. Treating exciton diffusion as a random walk on a 403 lattice, the probability for a random step in any one direction, in 404 the absence of swelling, is given by $p_{\rm et} = 2D\Delta t/\Delta x_{\rm np}^2$, where Δt 405 is the time step, and D is the exciton diffusion constant given by $_{
m 406}$ $D = L_{\rm D}^2/6\tau_0$, where τ_0 is the lifetime of the polymer in the 407 absence of quenchers. We assume that the quenchers are of the 408 "defect" variety, and do not arise from interchain interactions; 409 thus the average number of defects per nanoparticle is taken as 410 a constant (independent of the degree of swelling). In 411 neglecting the formation of interchain aggregate species as 412 solvent quality decreases, we avoid the problems that arise from 413 attempting to model interchain interactions in this rather 414 complex system. Additionally, we are testing (to some degree) 415 whether aggregate formation is required to explain the results, 416 or if the results can primarily be explained by changes in the 417 rate of energy homotransfer due to swelling.

Swelling of PFBT CPNs in THF/water mixtures was 419 measured by dynamic light scattering (DLS). Below 30% 420 THF, particle radii increased roughly linearly with increasing 421 THF (cf., Supporting Information). At THF ratios above 30%, 422 the particle population splits into multiple populations of both 423 larger and smaller sizes, suggesting a combination of swelling, 424 particle aggregation (forming the larger population fraction), 425 and particle dissociation to form free chains and/or smaller 426 particles at higher THF ratios (cf., Supporting Information). A 427 similar phenomenon was previously reported for PPV oligomer 428 aggregates in THF/water mixtures that when THF percentage 429 increases, the particle size distribution obtained from DLS 430 measurement changes from a simple Gaussian to bimodal.³⁸ For modeling purposes, we assume that swelling increases 432 chromophore spacing linearly as a function of THF fraction 433 over the whole range of concentration, extrapolating from the 434 DLS results over the range of 0-30% THF. Thus, the modeling 435 results in the range of 30-100% THF are not based on swelled 436 particle size and are included merely to indicate how the model 437 results for fluorescence quantum yield and lifetime vary with 438 chromophore spacing. The particles could become irregular in 439 shape or porous, particularly at the surface, even at low THF 440 concentration, due to the small particle size (and thus large 441 surface-to-volume ratio). Thus, the swelled particle size might 442 yield an overestimate of typical nearest-neighbor chromophore 443 spacing. Therefore, we performed a second set of model 444 calculations, using optical microscopy results from Carson et al. 445 for polystyrene microbeads, which swell somewhat less at a 446 given THF ratio.³⁹ For example, the swelling of PFBT CPNs is 447 roughly 1.3 times higher than that of polystyrene microbeads at 448 30% THF. We introduce a swelling factor, which accounts for 449 increases in nanoparticle size as THF concentration increases, 450 given by $f = C_s/C_{np} = V_{np}/V_s$, where C_s is the chromophore 451 density of a swelled nanoparticle, $V_{
m np}$ is the nanoparticle 452 volume in water, and $V_{\rm s}$ is the swelled nanoparticle volume. 453 This ratio varies between 0 and 1 where f = 1 corresponds to a 454 close-packed nanoparticle or film, and 0 represents a spacing of 455 infinity. With the assumption that swelling decreases 456 chromophore density (increases chromophore spacing), the 457 swelled chromophore spacing becomes defined as $\Delta x_{\rm s}/\Delta x_{\rm np} = 458$ $f^{-1/3}$. The model quenching efficiency and eta are relatively 459 insensitive to the initial chromophore spacing (tested using 0.8 460 and 1.5 nm lattice spacing, holding all other parameters 461 constant), provided the homotransfer rate is adjusted to give 462 the same exciton diffusion length, in agreement with previous 463 results. The model exciton lifetime is somewhat more sensitive 464 to the choice of initial lattice spacing, yielding a 20% difference 465

466 in lifetime (however, assuming that the homotransfer rate is 467 scaled to reproduce $L_{\rm D}$ regardless of initial chromophore 468 spacing, an increase in lattice spacing leads to a reduction in $k_{\rm et}$, 469 which would account for the greater differences in the model 470 lifetime results).

471 According to the exciton diffusion theory of Förster, the 472 exciton diffusion constant for chromophores on a lattice is 473 given by

$$D = \eta \left(\frac{4\pi C}{3}\right)^{4/3} \frac{R_0^6}{\tau_{\rm fl}} \tag{1}$$

475 where η is a factor that depends on the molecular details, C is 476 the chromophore density, R_0 is the Förster radius, and $\tau_{\rm fl}$ is the 477 lifetime. Assuming that the η parameter is insensitive to 478 chromophore spacing, and introducing D_0 as the exciton 479 diffusion constant in the absence of swelling, we obtain

$$D' = D_0 f^{4/3} (2)$$

481 Combining this with the random walk approach described 482 above, we obtain an adjusted energy transfer probability per 483 time step of

$$p_{\rm et}' = p_{\rm et} (\Delta x_{\rm np} / \Delta x_{\rm s})^4 \tag{3}$$

485 where $\Delta x_{\rm np}$ and $\Delta x_{\rm s}$ are the chromophore lattice spacings for 486 nanoparticles in water and swelled nanoparticles, respectively. 487 On the basis of this expression, the exciton dynamics were 488 modeled as follows. The time step size was adjusted so that 489 prior to increasing the interchromophore spacing, the energy 490 transfer probability was between 1% and 5% per time step 491 (although the results are not appreciably affected by differences 492 in time step for Δt between 1 and 10 ps). An integer number of 493 quenchers were placed randomly on the lattice, each with a 494 Förster-type quenching radius of 4 nm, similar to that of a 495 perylene red dye molecule. The mean number of quenchers 496 per nanoparticle was treated as a fit parameter by adding 497 quenchers until the simulated exciton lifetime approximately 498 reproduces the lifetime of CPNs in water, similar to the 499 approach taken previously for estimating the effect of 500 quenching defects in dye-doped CPNs. The grid spacing of 501 the lattice was calculated for a $10 \times 10 \times 10$ nm cubic particle, so with the number of grid points given by $N_{\rm grid} = C_{\rm np} d_{\rm np}^3 \approx (d_{\rm np}/d_{\rm np})^3$ 503 $\Delta x_{\rm np}$)³, where $d_{\rm np}$ is the particle diameter, resulting in 1331 grid 504 points for MEH-PPV and 512 grid points for PFBT, in the 505 absence of swelling. The fit procedure yielded 10 quenchers (in 506 terms of dye equivalents) on average per NP. The greater 507 number of quenchers per CPN than those previously reported 508 for dye-doped PFBT CPNs (2.2 per CPN) can be ascribed 509 primarily to the fact that a larger particle was assumed in this 510 model. In addition, the Poisson distribution of quenchers has 511 not been accounted for this model, which likely results in somewhat higher values for β (i.e., less lifetime heterogeneity) 513 than previously reported. We neglected to include the effect of 514 Poisson statistics on the system because the effect is likely small 515 for the present system (discussed below). We have discussed 516 the effect of the Poisson distribution of quenchers in detail in 517 our previous work on dye-doped PFBT CPNs.

50 000 random exciton trajectories were calculated using the parameters as described above, for each lattice spacing corresponding to 0–100% THF (f = 1 to f = 0.18), and the quenching efficiencies determined and decay kinetics fit to obtain time constants and β parameters (cf., Figures 6 and 7). S23 At low to moderate THF concentrations, the model results

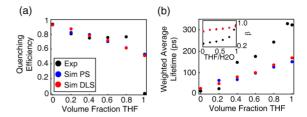


Figure 6. Comparison of simulated (blue, red) and experimental (black) (a) quenching efficiency, (b) average lifetime, and (inset) KWW stretch parameter β versus THF volume fraction for MEH-PPV.

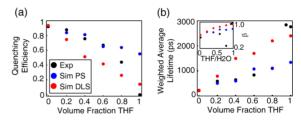


Figure 7. Comparison of simulated (blue, red) and experimental (black) (a) quenching efficiency, (b) average lifetime, and (inset) KWW stretch parameter β versus THF volume fraction for PFBT.

match well to experimental intensity decay kinetics and 524 quenching efficiency, the latter given by $\eta_{\rm exp} = 1 - (\Phi_{\rm s}/525)$ $\hat{\Phi}_{
m poly}$), where $\Phi_{
m s}$ and $\Phi_{
m poly}$ are the fluorescence quantum yields 526 of the sample and the polymer in THF, respectively. The 527 simulated quenching efficiency matches the experiment well up 528 to 60% THF for PFBT, and up to 80% THF for MEH-PPV. 529 The time constants obtained from the simulated intensity 530 decays match experimental TCSPC fitting results well up to 531 20% THF for MEH-PPV and up to 80% THF for PFBT. With 532 the exception of CPNs in 80% THF, β is reproduced relatively 533 well for PFBT. However, β is not reproduced very well for 534 MEH-PPV, except for MEH-PPV in THF (cf., Figure 7). The 535 divergence between simulation and experiment outside of the 536 aforementioned solvent compositions is expected, given that 537 within the regions of moderate THF composition (where 538 particle size increases resulting from swelling are nonlinear), the 539 DLS results suggest a complex mixture of swelling, aggregation, 540 and chain dissociation, particularly between 40% and 80% 541 THF. The poor agreement with experimental β values for 542 MEH-PPV is hypothesized to be due to the exclusion of 543 correlated chromophore orientations and the Poisson distribu- 544 tion of quenchers in this model. Additionally, emission from a 545 longer-lived aggregate state with charge transfer character or a 546 partially oxidized species could be contributing to the 547 complexity of the fluorescence decay. 13,40-42 Given that the 548 model kinetics match experimental decay kinetics well at low 549 THF concentrations, the approximate $k_{\rm et}$ for CPNs in water 550 was calculated from the model energy transfer probabilities by 551 $p_{\rm et} = 1 - \exp(-k_{\rm et}\Delta t)$. The results of these calculations yield 552 model energy transfer rate constants of $2.0 \times 10^{11} \text{ s}^{-1}$ 553 (corresponding to a time scale of roughly 5 ps) for MEH- 554 PPV CPNs and $1.1 \times 10^{10} \text{ s}^{-1}$ (corresponding to a time scale of 555 roughly 90 ps) for PFBT CPNs, which decreases (slows) 556 monotonically by an order of magnitude over the range of THF 557 composition.

In this and previous work, it has been hypothesized that the 559 reduced quantum yield, reduced fluorescence lifetime, and 560 heterogeneous dynamics of CPNs relative to polymer in good 561 solvent arise from quenching by chemical defects and/or hole 562

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563 polarons (which may result from oxidation or photogenera-564 tion). With the assumption that multiple energy transfer is a 565 FRET-mediated process, it follows that the energy transfer 566 efficiency to defect sites would depend upon the number of 567 nearest neighbor chromophores, which is related to the 568 (effective) dimensionality of the system. As an alternate 569 perspective to the above lattice model calculations, the effect 570 of dimensionality on quenching efficiency was investigated 571 (using the PFBT parameters), by determining how quenching 572 efficiency differs in isolated, one-dimensional chains of 573 chromophores versus a 3D spherical particle.

The information for a spherical particle is taken from the 574 575 above experimental and simulated data for PFBT, in which the 576 particle is assumed to be 10 nm in diameter, with quenching efficiency \sim 92%. The quenching radius R_q is taken from the simulations at 4 nm. For the one-dimensional case, an ensemble of isolated linear chains is assumed, and the approximate 580 integer number of chains per CPN $(N_{
m chain})$ is calculated from the relevant information for a spherical particle. The contour s82 length of each chain is given by $L_{\text{chain}} = L_{\text{c}}N_{\text{c}}$, where L_{c} is the 583 1D length of one chromophore (assuming C-C bond lengths similar to benzene, yields \sim 2.5 nm/chromophore), and N_c is the number of chromophores per chain. A number of Poisson 586 distributed quenchers $N_{\rm q}$ are assumed whose quenching radii 587 do not overlap. The total quenched contour length is calculated 588 by

$$L_{q} = \sum_{n=0}^{N_{q}} 2nR_{q}f_{n} \tag{4}$$

where the index n corresponds to the number of quenchers, the first fraction of chains in the ensemble containing n Poisson distributed quenchers $f_n = N_n/N_{\text{chain}}$, where N_n is the number of chains containing n Poisson distributed quenchers, and the quenching efficiency η_{sim} is calculated by

$$\eta_{\rm sim} = L_{\rm q}/L_{\rm chain} \tag{5}$$

596 Equation 2 results in ~11% quenching efficiency for a onedimensional ensemble of PFBT chains, which supports the notion that even with the same quantity of quenchers in the system, quenching is greatly suppressed as the nanoparticle 600 dissociates into free chains in solution. This also supports one assumption of our quenching picture for CPNs: that quenching 602 by defects is greatly reduced in isolated, extended chains due to 603 a drastic reduction in the rate of multiple energy transfer, which stems largely from the reduced number of nearest neighbor chromophores available for energy transfer in the 1D case, as 606 compared to the 3D case (two neighbors in 1D, versus six neighbors in 3D). This is reflected in the model results, as Förster exciton diffusion theory predicts the reduction of the 609 energy homotransfer rate by an order of magnitude at the 610 highest concentrations of swelling solvent. However, as is shown by the divergence of the model quenching efficiency and 612 decay kinetics to the experimental results at high swelling solvent ratios, even an order of magnitude reduction in the rate 614 of energy transfer is insufficient to accurately model the 615 reduction in quenching efficiency and fluorescence decay rates 616 for high THF ratios. Our proposed picture is further supported 617 by previous modeling of linear polyenes by Beljonne et al., 618 which shows that interchain exciton coupling weakens with 619 increasing intermolecular separation, and as the chain length 620 becomes larger than the intermolecular separation. In addition, 621 it was shown that the magnitude of the intrachain transition

dipole is increased with increasing chain length. Thus, as a 622 particle swells and collapsed chains unfold, the excitonic 623 coupling to interchain nearest neighbors is decreased, 624 disfavoring 2D or 3D exciton transport, and the coupling to 625 intrachain nearest neighbors increases, which favors 1D exciton 626 transport.

Throughout these experiments, there have been several 628 results that suggest that solvent-induced swelling can result in a 629 two-state system at moderate THF concentrations. Biexponen- 630 tial lifetime analysis results for both polymers at moderate THF 631 concentrations yield short time constants similar to the CPNs 632 in water, and long time constants similar to the free polymer in 633 THF. These time constants hold fixed for PFBT from 80% to 634 95% THF, only shifting in the weighted amplitudes of each (cf., 635 Table 1). The model results also significantly deviate from what 636 is observed experimentally in the region of THF concentration 637 where these phenomena are observed. Taking the lifetime 638 measurements together with the complexity of the swelling 639 results obtained via DLS, it follows that the lattice swelling 640 picture alone is insufficient to describe the physics of the CPNs 641 for moderate to high THF compositions, and it is likely that 642 modeling of nanoparticle dissociation in conjunction with the 643 lattice swelling model would result in better agreement. 644 Additionally, the observed quenching of the polymer 645 fluorescence in aqueous solution can be ascribed to an 646 increased rate of homotransfer from a majority of highly 647 fluorescent, higher energy chromophores to a weakly 648 fluorescent, red-shifted minority of aggregates that act as 649 energy acceptors for both PFBT and MEH-PPV.31-33 Addi-650 tionally, steady-state fluorescence results show the red-shifted 651 spectral signatures of the nanoparticle phase in addition to the 652 free (unassociated) polymer spectrum in moderately swelled 653 MEH-PPV samples, and DLS results indicate that multiple 654 populations both large and small exist at moderate-to-high 655 THF ratios, which indicate that unassociated chains in addition 656 to swelled particles and/or large aggregates are likely to coexist 657 at these THF ratios.

We hypothesize that a key contributing factor to the 659 observed differences in the fluorescence lifetimes and quantum 660 yields of PFBT and MEH-PPV nanoparticles is the difference in 661 the rates of multiple energy transfer (i.e., energy homotransfer 662 or exciton diffusion). Both the absorption and the emission 663 spectra of MEH-PPV exhibit significantly larger red-shifts upon 664 particle formation as compared to PFBT, consistent with 665 stronger transition dipole coupling for MEH-PPV, according to 666 exciton theory. Stronger transition dipole coupling is typically 667 associated with a higher rate of energy transfer. Within the 668 lattice energy transfer model, a higher rate of energy transfer 669 between chromophore sites results in an overall higher 670 quenching rate (i.e., reduced fluorescence lifetime) and reduced 671 fluorescence quantum yield, so, according to the model, the 672 higher multiple energy transfer rate of MEH-PPV should result 673 in a more pronounced reduction in fluorescence quantum yield 674 and in fluorescence lifetime for the nanoparticle as compared to 675 the free polymer in solution, whereas PFBT should exhibit a 676 more modest reduction in fluorescence quantum yield and in 677 fluorescence lifetime for the nanoparticle as compared to the 678 free polymer in solution. Indeed, this is what is observed in the 679 experimental results. Thus, for applications that require high 680 exciton mobility (e.g., for photovoltaics, which typically require 681 efficient transport of excitons to a heterojunction), there is a 682 trade-off in that any increase in exciton mobility would also lead 683

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684 to an increase in the rate of quenching by defects such as hole 685 polarons.

Additionally, we seek to address the question of whether 687 "interchain aggregates" are required to explain the differences 688 between the optical properties of CP in solution versus in films 689 and particles. While in some cases, there is some evidence for 690 possible interchain aggregate species (for example, the highly 691 red-shifted emission of MEH-PPV films and particles), the 692 decay kinetics of the fluorescent excited state are roughly 693 consistent with model results that do not include formation of 694 weakly fluorescent aggregates. This model is based on a picture 695 in which the number of quenching defects in a particle is not 696 dependent on the degree of swelling (e.g., oxidized defects), 697 and that the effective number of chromophores quenched per defect increases as the chromophore density increases, due to the highly sensitive dependence of exciton diffusion length on 700 interchromophore spacing. While this work does not rule out any increased quenching effect due to interchain aggregate 702 species, it does lend some support to an alternative mechanism 703 that could explain the differences between the spectroscopic 704 properties of conjugated polymers in solution as compared to 705 films or particles.

CONCLUSION

707 Amplified quenching due to a multiple energy transfer cascade 708 in PFBT and MEH-PPV conjugated polymer nanoparticles was 709 investigated by measuring the effect of solvent-induced swelling 710 (and the resulting decrease in chromophore density) on the 711 steady-state absorption and fluorescence spectra and fluo-712 rescence lifetimes. The lifetime results indicate substantial 713 dynamic quenching at higher chromophore densities (i.e., at 714 lower fractions of THF), consistent with either a combination 715 of distance-dependent multiple energy transfer between 716 polymer chromophores (exciton diffusion) and quenching by 717 defects or, alternatively, formation of nonfluorescent aggregates 718 at lower fractions of THF. Nanoparticle lattice model 719 simulations of multiple energy transfer give results consistent 720 with the experimental results for CPNs in water, indicating that, 721 because of the multiple energy transfer cascade, a quencher density of as low as roughly 1 defect per ~100 chromophores can result in a factor of 10 decrease in excited-state lifetime and a factor of 16 decrease in fluorescence quantum yield. Previous 725 results indicate that hole polarons are likely the primary 726 quenching defect, and are likely present at sufficient densities to 727 account for the observed quenching, assuming a multiple energy transfer cascade. The addition of swelling to the lattice model, assuming that the rate of energy transfer between 730 neighboring chromophores depends on the interchromophore distance to the minus sixth power, in accordance with Förster energy transfer, qualitatively matches the experimental results on moderately swelled CPNs, providing further evidence of multiple energy transfer involving a Förster-like mechanism or other mechanism with similarly strong distance dependence. Furthermore, the results of the lattice model (assuming ~1 nm chromophore spacing) suggest that energy transfer between 738 nearby chromophores occurs on a time scale of roughly 5-90 739 ps

At higher THF solvent fractions, there is likely a point at 740 741 which the nanoparticles dissociate to form free polymer chains; 742 thus the effect of dimensionality (i.e., chain versus particle) on 743 quenching via multiple energy transfer was investigated. A 744 straightforward calculation of quenching in a linear chain of 745 chromophores, assuming similar energy transfer parameters and

quencher density, resulted in orders of magnitude reduction in 746 quenching rate and quenching efficiency as compared to the 747 three-dimensional (nanoparticle) lattice model results. This 748 provides some justification for our prior assumption that the 749 fluorescence lifetime of the polymer dissolved in good solvent is 750 largely free of quenching by defects, and matches the swelling 751 results, which are consistent with the onset of particle 752 dissociation into unassociated chains and/or smaller particles 753 at roughly 40% THF, as measured by dynamic light scattering. 754

On the basis of the swelling results, we posit that the 755 differences between the fluorescence quantum yields and 756 lifetimes of various conjugated polymers are often largely 757 dictated by the strength of coupling between (like) 758 chromophores and thus the rate of multiple energy transfer. 759 In other words, materials with higher exciton mobility exhibit 760 more quenching via an energy transfer cascade to chemical 761 defects or other quenching species (e.g., hole polarons), and 762 thus tend to exhibit shorter fluorescence lifetimes and reduced 763 fluorescence quantum yield. On the basis of our observations, 764 we consider it likely that the much lower fluorescence lifetime 765 and quantum yield of MEH-PPV CPNs relative to PFBT CPNs 766 could be largely due to differences in exciton mobility. This 767 amplified quenching effect could give rise to trade-offs in 768 applications requiring both high fluorescence quantum yield (or 769 long exciton lifetime) and high exciton mobility, such as in 770 organic photovoltaic devices and CPN sensors. 771

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the 774 ACS Publications website at DOI: 10.1021/acs.jpcc.7b00892.

Procedures of CPNs preparation and characterization, 776 information on dynamics light scattering measurement, 777 determination of fluorescence quantum yield, and 778 information on fluorescence lifetime measurement 779 (PDF)

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786 The authors declare no competing financial interest.

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