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MASTER THESIS

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**Automatic generation of medical
reports from chest X-rays**

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I declare that I carried out this master thesis independently, and only with the cited sources, literature and other professional sources. It has not been used to obtain another or the same degree.

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First of all, I would like to thank my supervisor Mgr. Rudolf Rosa, Ph.D. for all his time, guidance and valuable advices he gave me while working on this thesis. I would also like to thank my parents for their unlimited support and patience during my studies.

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Abstract: This thesis deals with the problem of automatic generation of medical reports in the Czech language based on the input chest X-ray images using deep neural networks. The first part deals with the analysis of problem itself including comparison of existing solutions from several common points of view. In order to interpret medical images in the Czech language we present a fine-tuned a Czech GPT2 model specialized on medical texts based on the original pre-trained English GPT2 model along with its evaluation. In the second part the created Czech GPT2 is used for training neural network model for generating medical reports. The training was conducted on freely available data along with data pre-processing and their adjustment for the Czech language. Furthermore the model results are discussed and evaluated using standard metrics for natural language processing to determine the performance.

Keywords: natural language processing, image captioning, x-ray, medical report generation

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Introduction

In hospital, inspecting the X-rays and writing a corresponding medical reports is a hard work that requires experienced specialized doctors, of which there are not many. A great deal of people visit hospitals daily and X-rays are taken for many of them. Automatic interpretation of X-ray image has a great potential to improve health care and it could be particularly helpful to doctors in order to distinguish serious cases from the ordinary ones and overall accelerate and improve their work.

Automatic generation of radiology reports is a subset of a general problem called Image Captioning, i.e. generation of overall textual captions to input images. Image captioning is a combination of Natural Language Processing and Computer Vision areas, experiencing a lot of progress in the last years. Most often the Image Captioning problem is solved using Deep Learning techniques. The specificity of this subset is that we do not want to generate just a general caption of the image, but the exact description of all findings contained in the given medical image. There were done multiple studies for this task in other languages but none in the Czech language.

Deep learning by its very nature has wide range of uses in a medical sector as it can capture complex relations in any kind of data with excellent performance results. Nevertheless in the medical environment the accuracy of predictions is crucial in order to determine the final diagnosis. Therefore, we should not consider the models as such as something that is unmistakably true, but as an auxiliary tool that should help doctors to examine X-rays.

Inasmuch as it is not so challenging to detect fractures on the limbs, this area is less interesting than others which have a variety of diverse possible problems. One of these areas is chest for which there exists multiple freely accessible datasets containing full textual medical reports. However, all these available datasets have one common downside, they are not in the Czech language. The natural question arises, where do we obtain these much needed data? We have to face and solve this core problem in our thesis.

Goals

First of all, we will take a closer look at the problem itself. This includes breaking down the problem and analyzing all its parts individually together with presenting possible existing alternatives for each part.

Our first goal is to fine-tune a language model directly for the Czech language. The language model will be specialized directly to medical texts in order to capture the essence of the problem. However, ahead of the medical specialization, we want to fine-tune a general czech language model. Fine-tuning will be based on the original English GPT2 model presented in Radford et al. [2019].

Finally, we want to utilize our fine-tuned language model for training neural network model interpreting chest X-rays images and generating corresponding medical textual reports to them in the Czech language. This section also involves the overall data preparation directly for the Czech language. In addition, the training will be done in multiple setups. All possibilities will be evaluated with the purpose of determination of their final performance.

Thesis structure

In the very first chapter we present a detailed description of our problem. Every aspect of our problem is introduced and all existing solutions or possibilities are discussed with their pros and cons. Moreover we introduce there some of the important related works.

Following chapter is dealing with the design of solution to our problem, with all reasonings and decisions made. This includes not only the final neural network model, but also the language model fine-tuning and data preparation.

All experiments done with our models take their part in the third chapter. Describing all used scripts and different setups together with data variations.

Whole fourth chapter is then dedicated to evaluation of experiments done in the preceding part. Furthermore our models will be compared to the performance of other existing solutions.

Finally, in epilog we discuss what we have accomplished in the thesis, what the resulting consequences are and what the future possibilities are.

1. Problem Analysis

This chapter deals with the overall analysis of the problem itself. In the very beginning we present the definition of the problem. Every aspect of the problem is further discussed in detail along with a comparison of possible solutions. Moreover, the next section of the chapter describes data we work with and their alternatives. The final part of this chapter presents some of the important related works.

1.1 Methods of generation

First of all, we will present possible approaches for image caption generation. Inasmuch as almost all solutions for image captioning based on neural networks are using an encoder-decoder architecture as their core with further minor or major adjustments we will discuss just this architecture. Encoder serves for the purposes of extracting visual features from the input images into intermediate vector representations for the decoder. The decoder is subsequently fed with these visual feature vectors and decodes them token by token into the natural language text.

Moreover, over the past years the encoder-decoder architecture has been enhanced using the attention mechanism. The problem of encoder-decoder architecture without any other mechanism is that all the information about the image have to be encoded globally inside a single vector. However not all the information about the image is relevant for the caption generation. For this reason the attention mechanism was introduced. Instead of a single vector, the set of feature vectors representing the spatial information of the input image is used. This allows us to dynamically focus only on specific areas during the generation. The overall nature of the mechanism is derived from the way our brains concentrate on the images.

Attention mechanism for image captioning was first introduced in the Xu et al. [2015] work using the Bahdanau attention proposed in Bahdanau et al. [2014]. After extractions of visual feature vectors h_j from image, we want to assign a weight α_{ij} to each of this vectors indicating the relevance of the image position j when generating output at position i . This results in the context vector c_i , a dynamic weighed combination of h_j features, that is presented as another input to the decoder. All attention calculations are defined as follows:

$$c_i = \sum_{j=1}^t \alpha_{ij} h_j \quad (1.1)$$

$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{k=1}^t \exp(e_{ik})} \quad (1.2)$$

$$e_{ij} = a(s_{i-1}, h_j) \quad (1.3)$$

where s_{i-1} is a previous hidden state and $a(s_{i-1}, h_j)$ is an attention model.

1.1.1 Encoder

Encoder is responsible for extracting high-level visual features of the input image into one or more visual feature vectors. Moreover, the encoder can include also additional parts for another independent purposes, e.g. detection of objects in the image and their classification, which may be further passed to the decoder as a separate or an extra input. We will describe some of the most used neural network architectures utilized as an encoder.

1.1.1.1 Convolutional neural networks

Convolutional neural networks (CNN)¹ are the most leveraged type of neural networks for the purposes of image analysis. They are applying 2D convolution filters for all positions in the image with the shared weights allowing to detect similar patterns independently on the position. Each layer of filters will down-size the dimensions of the previous layer and increases the number of filters. By gradually applying filters, the network is learning more complex patterns.

Instead of training convolutional neural networks from scratch, already pre-trained CNN models are often used and possibly further fine-tuned to a specific area. These models have been trained on a vast amount of data thanks to which they learned to recognize a relevant features in the lower layers applicable to any kind of images. In contrast, training from scratch is costly and takes a much longer time. Among the used pre-trained CNN model architectures are for example ResNet[He et al., 2016], VGGnet[Simonyan and Zisserman, 2014], DenseNet[Huang et al., 2017] or EfficientNet[Tan and Le, 2019].

1.1.1.2 Transformers

With the Vaswani et al. [2017], a new neural network architecture called transformers was introduced. The transformers are using multi-head self-attention mechanism to compute the relations between the elements in the sequences. Although the transformers were originally designated for NLP tasks, they can be also utilized for other domains like images due to their robustness. Vision transformers (ViT), presented in Dosovitskiy et al. [2020], is an adaptation of transformers encoder for image classification without any CNNs. The core of the encoder is identical to the one in the original transformer. Nevertheless, the input image is represented as a sequence of patches for which their embeddings are computed together with the positional encodings as the input, as we can see in the Figure 1.1.

1.1.2 Decoder

The second main part of the network is the decoder which serves as a language model for generating corresponding captions to input images. During the generation process the visual features of the image from the encoder and the already generated text are taken into account for the prediction of the next token or word. In the process of generation, the attention mechanism (described above in

¹https://en.wikipedia.org/wiki/Convolutional_neural_network

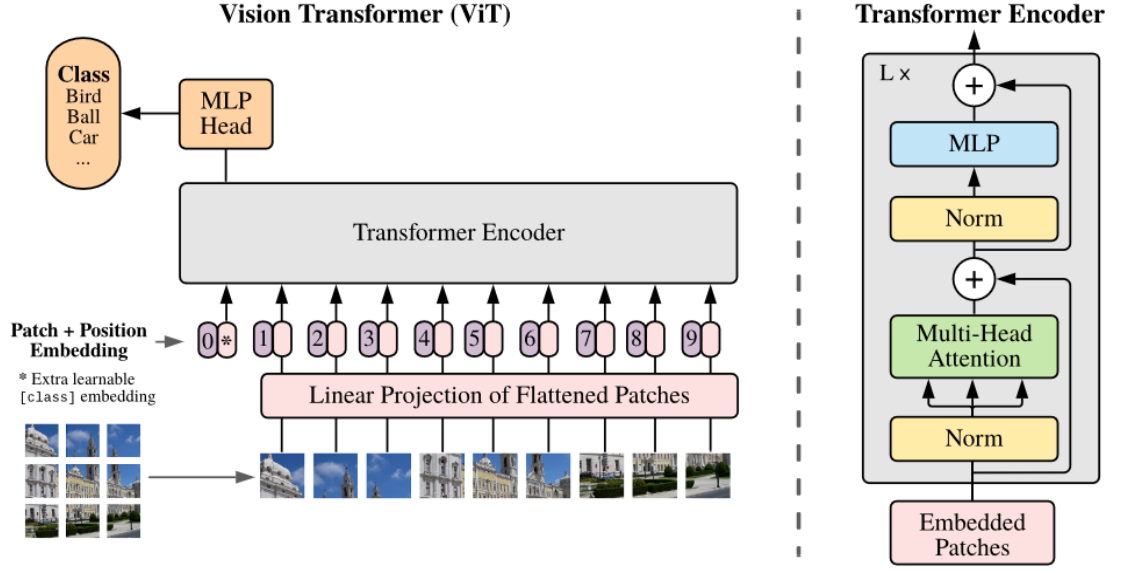


Figure 1.1: Visual Transformer architecture from Dosovitskiy et al. [2020].

the Chapter 1.1) is almost always incorporated in order to focus only on the substantial particular areas. The following subsections presents common approaches used as the backbones for the decoder part of the network.

1.1.2.1 Recurrent neural networks

Recurrent neural networks (RNN)¹ TODO. Inasmuch as the RNNs are autoregressive from nature and they keep an internal memory, they are ideal for use as a language model. The two most used types of RNN cells are LSTM[Hochreiter and Schmidhuber, 1997] and GRU[Cho et al., 2014], but the LSTM cells are more utilized as decoder as they can remember longer sequences. Moreover, we can combine them in a hierarchical manner to capture more complex structures in the generated text. Nevertheless, the downside of the RNNs is the training time due to their sequential nature. The whole sentence must pass through RNN token by token and cannot be parallelized.

1.1.2.2 GPT2

Just as in the case of encoder, with the advent of transformers presented in the Vaswani et al. [2017] paper, the deoder part of transformers started to be used as the language model for image captioning task for their great results in the natural language processing (NLP)¹ tasks. One of the advantages of the transformers against the previously used recurrent neural networks is the loss of the need for sequential processing during the training. Due to the fact that the processing of the whole caption can be done in parallel, the entire training process is significatnly accelerated. Moreover, the transformers can capture longer ranges dependencies in the text, as they process the sentence as a whole instead of sequentially by words.

¹https://en.wikipedia.org/wiki/Recurrent_neural_network

¹https://en.wikipedia.org/wiki/Natural_language_processing

One of the state-of-the-art autoregressive language models using transformers as their backbone is OpenAI GPT-2 model from the Radford et al. [2019] paper, which outperforms other language models on many NLP tasks. It was trained on a massive English dataset called WebText (introduced in the same article) containing a total of 40 GB of raw text. The resulting model is able to generate large coherent texts. Furthermore, it can be fine-tuned to a different domain or to a completely different language.

1.2 Data

In previous part we talked about possible methods of generation. Another crucial aspect we need to discuss are data, which are a basic building block of our thesis. This part focuses on the analysis of the data we used in our thesis, but also on their alternatives.

In order to solve our task and train neural network we need to get dataset containing the X-rays images along with their textual descriptions and optionally some other attributes of the examined X-rays. Moreover, the fundamental feature we need is that the data must be in the Czech language.

1.2.1 Existing datasets

Medical environment provides a plenty of diverse potential problems, which can be researched. As already mentioned, in this thesis we focus specifically on the X-ray images. Because it is not so hard to detect fractures on the limbs, this area is not as interesting as others. One area that is rich in its diversity is the chest. As a result, this area is explored the most and therefore there exists multiple datasets with full textual medical reports. In the following section we describe some of them.

Apart from the datasets described below, other datasets with similar type are being used with the aim of solving our task. Amongst them belong datasets such as ImageCLEFmed Caption[Rückert et al., 2022], PadChest[Bustos et al., 2020], BCIDR[Zhang et al., 2017] and PEIR Gross[Jing et al., 2017]. Moreover, except for datasets containing textual reports there exist a lot of other datasets worth mentioning containing different kind of information for each X-ray. These include, for example, CheXpert[Irvin et al., 2019], VinDr-CXR[Nguyen et al., 2020], ChestX-ray8[Wang et al., 2017] and its expanded version ChestX-ray14.

1.2.1.1 Indiana University chest X-ray

Indiana University chest X-Ray dataset has become a standard in the field of medical report generation, it was presented in the Demner-Fushman et al. [2015] paper. This dataset is an open source collection of pairs of chest X-rays and their corresponding semi-structured textual radiology reports, which is freely available on the web¹ without any additional requirements. We have a choice if we want

¹<https://openi.nlm.nih.gov/faq#collection>

to download just reports or images and in either PNG or DICOM format. The entire dataset consists of 7470 chest X-ray images that cover not only the frontal (PA¹) view, but also the lateral (side) one. These images corresponds to a total of 3995 patient’s medical text reports.

Figure 1.1 shows an example from the Indiana University chest X-ray dataset. Each dataset pair is carefully de-identified in order to remove any personal information. The text of the report is semi-structured in up to 5 sections. The most important sections are *impression*, where the overall diagnosis is stated, *findings* section describing the details of examination and *tags* which are of two types - manual and automatic. Manual tags were annotated manually using MeSH¹ and RadLex¹ codes, automatic were encoded from the reports using the MTI indexer. The rest of the sections are *indication* and *comparison*.

The disadvantage of this dataset is that it is relatively small. On the other hand, it is a clean and manually checked dataset containing also additional information about images in a form of tags described above.

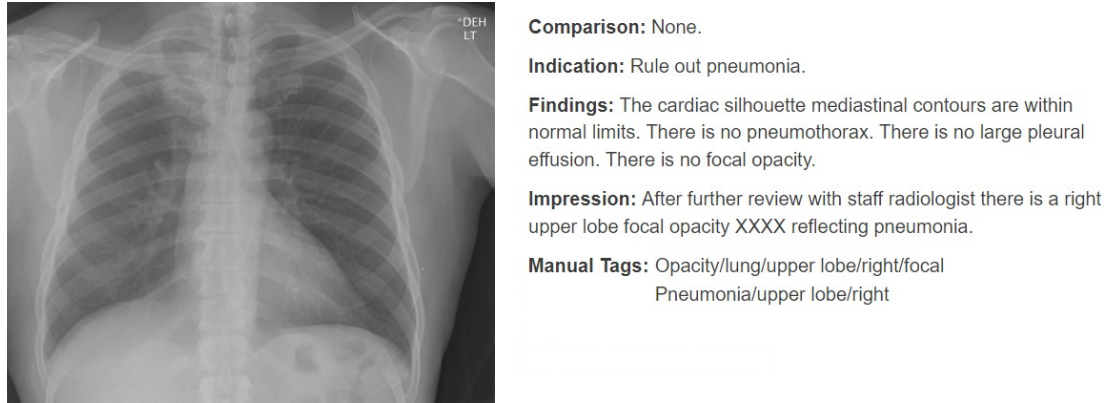


Figure 1.2: Sample from the Indiana University Chest X-ray dataset.

1.2.1.2 MIMIC-CXR v2.0.0

MIMIC-CXR v2.0.0 is another dataset consisting of full semi-structured medical textual reports against corresponding chest X-rays that was presented in the Johnson et al. [2019a] paper. As the previous dataset, it is openly available on the web¹. In order to get access to the dataset, we have to go through registration and verification steps. The verification phase includes completion of CITI¹ *Data or Specimens Only Research* course for *Human Subject Research*. Moreover we need somebody trustworthy as a reference to confirm the authenticity of our identity. After the verification we get access to all datasets in the same repository.

¹Posterior-Anterior

¹<https://www.nlm.nih.gov/mesh/meshhome.html>

¹<http://radlex.org/>

¹<https://physionet.org/content/mimic-cxr/2.0.0/>

¹<https://about.citiprogram.org/series/human-subjects-research-hsr/>

The dataset consists of 377,110 X-ray images in the DICOM¹ format connected to a total of 227,835 radiology reports for 65,379 patients. Each report is structured into multiple different sections. In order to satisfy legal requirements, entire dataset is automatically de-identified to remove any protected health information¹. Similar to the previous dataset the essential two sections of each report are *impression* and *findings*. There also exists older MIMIC-CXR-JPG¹ dataset, presented in the Johnson et al. [2019b] paper. This is an older version of MIMIC-CXR v2.0.0 dataset consisting of the exactly same images, only in JPG format, but each image is assigned 14 labels indicating the presence of the category in the report instead of its textual form. Each category has assigned either a *1*, *0* or *-1* label with the meaning *positively mentioned*, *negatively mentioned* or *uncertain*. The labels were determined from the reports utilizing the CheXpert[Irvin et al., 2019] and the NegBio[Peng et al., 2018] open-source labelers.

The advantage of this dataset is its vast number of samples. Moreover, as described above, we can get additional information in a form of categories to every image. Nevertheless the textual reports carry some noise in them in the form of grammatical mistakes and incorrect formatting. We face these issues in the Chapter X.

¹<https://www.dicomstandard.org/>

¹https://en.wikipedia.org/wiki/Protected_health_information

¹<https://physionet.org/content/mimic-cxr-jpg/2.0.0/>



FINAL REPORT

EXAMINATION: CHEST (PA AND LAT)

INDICATION: History: ___F with dyspnea

TECHNIQUE: Chest PA and lateral

COMPARISON: ___

FINDINGS: Heart size remains mild to moderately enlarged. The aorta is tortuous and diffusely calcified. Mediastinal and hilar contours are otherwise unchanged. Previous pattern of mild pulmonary edema has essentially resolved. Mild atelectasis is seen in the lung bases without focal consolidation. Blunting of the costophrenic angles bilaterally suggests trace bilateral pleural effusions, not substantially changed in the interval. No pneumothorax is present.

IMPRESSION: Interval resolution of previously seen mild pulmonary edema with trace bilateral pleural effusions.

Figure 1.3: Sample from the MIMIC-CXR dataset.

1.2.2 Czech data

All freely available datasets presented in the previous part have one common downside, namely they are not in the Czech language. As a part of elaboration of this thesis an intensive communication with real czech hospitals and other possible sources of real data took place. The goal of this communication was to create the very first open czech dataset of this kind. Processing of this kind of data would mean not only preparing the data into suitable format but also it would include proper anonymization of any personal information about the patients within the data.

However, inasmuch as the authentic patients data from hospitals are subject to strict privacy rules and we are not employees of any hospital, the institutions

decided that they cannot provide the data in any way without the conscious permission of patients given before the examination. With this result we need to find a different way how to obtain this much needed czech data.

1.2.3 Translators

In the previous sections we discovered that there is no dataset in the Czech language for our problem and there is no easy way how to get acces to the real data in order to build one. The only thing left is to create a new artificial dataset using an automatic translation. We will compare different freely accesible translators and choose the right one for our needs.

1.2.3.1 DeepL

At the moment, DeepL¹ translator provides the finest available translations beating even the ones from Google Translate. Moreover, it has freely usable web application and REST API. However, the main drawback of the DeepL translator is that its REST API is highly limited - only 500 000 characters per month can be translated for free. Furthermore, any translation above this limit is costly and thus this path is not appropriate for translating large textual datasets. One way to get around this problem is to use their internal REST API used specifically for the web application, which is free to use. We investigated and implemented this potential way in our thesis and further experimented how much it can be used, but unfortunately even this internal REST API is strictly limited for only tens of consecutive² translations making it unusable for out needs.

1.2.3.2 Google Translate

Google Translate³ has become already de facto standard in the world of machine translation and it is the most used freely accessible language translation service in the world. In terms of quality, the translations are still great although little bit worse than those from DeepL. The web application is free of any charge and anybody can use it as much as he needs. Nevertheless, just as in the case of DeepL, their REST API services are limited and translation of anything above that limit is expensively charged. For these reasons, as in the previous case, we must find another way.

1.2.3.3 CUBBITT

Machine Translation⁴ is an extensive area of research, as a result of which there exist many other projects and academic papers nowadays. One of them is CUBBITT⁵ translator, which was developed at our faculty. The whole system is presented and described in detail in the Popel et al. [2020] paper.

¹<https://www.deepl.com/translator>

²REST API calls are delayed from each other for some time, otherwise the service is blocked immediately

³<https://translate.google.com/>

⁴https://en.wikipedia.org/wiki/Machine_translation

⁵<https://lindat.mff.cuni.cz/services/translation/>

CUBBITT translator provides translations which are comparable to the ones from DeepL and Google Translate services. As other mentioned translators it provides an openly available web application for machine translation. Moreover and most importantly it provides REST API that is completely unlimited in text volume and free to use without any additional charges. These are the reasons why we will utilize CUBBITT in our thesis as a translator to create our artificial dataset.

On the other hand, CUBBITT has not support for auto-correcting input text compared to above mentioned services. Moreover, there are some patterns in the text which CUBBITT cannot translate at all or translates them incorrectly. These problems complicates our situation as the data from hospitals carry some natural noise in them. We face these complications in Chapter X.

1.3 Related work

The last section of this chapter is dedicated to the description and comparison to some of the related works that solves identical or similar problem as we do.

The most significant related work is Alfarghaly et al. [2021] inasmuch as we base our thesis on it. This paper focuses on the identical problem as we do, only in the English language. The proposed solution uses a pre-trained and further fine-tuned Chexnet model, presented in Rajpurkar et al. [2017], as a visual features encoder and distilGPT2 language model[Radford et al., 2019] as a decoder which is additionally conditioned on the visual features and predicted tag’s word2vec[Mikolov et al., 2013] embeddings. For training the neural network the Indiana University chest X-ray dataset, described in more detail in Chapter 1.2.1.1, is used. Figure 1.3 shows examples of the model outputs.

Another paper making use of transformers is Chen et al. [2020]. The visual features of images are extracted using pre-trained convolutional neural network and they are further passed to the transformer encoder outputting hidden states, that are further presented to the transformer decoder for the report generation. However, the decoder architecture contains special memory module and also enhances the layer normalization. The memory module serves for memorization of text patterns which occur in the similar images inasmuch as they can further help for generating the report. We can see its effect in the Figure 1.4. Indiana University chest X-ray and MIMIC-CXR v2.0.0 datasets (see Chapter 1.2.1 for more details) were used as training data.

Yuan et al. [2019] paper proposes a hierarchical encoder-decoder architecture as we can see in the Figure 1.4 for the purpose of generating textual reports. Pairs of frontal and lateral X-ray images are used as an input to the network instead of single images, as the authors claim that the images should be complementary to each other instead of being processed independently. The RestNet-152 model pre-trained on the CheXpert[Irvin et al., 2019] dataset is utilized as the encoder with three outputs used later - the global and local features of the images, predicted observations and medical concepts. The decoder is hierarchical LSTM decoder



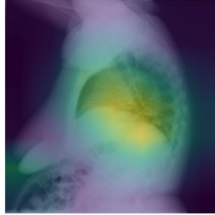
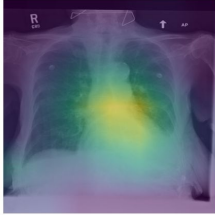
	Normal	Accurate	Missing Details	False
				
Ground Truth	Negative. The cardiomeastinal silhouette is normal in size and contour. No focal consolidation, pneumothorax or large pleural effusion. normal.	Stable cardiomegaly with mild pulmonary interstitial edema. Unchanged cardiomegaly negative for pneumothorax or focal consolidation. No large effusion. Mildly prominent interstitial opacities.	Minimal left basilar atelectasis versus infiltrate. Low lung volumes. Normal cardiomeastinal contours. Low lung volumes with minimal left basilar opacities. No pneumothorax or pleural effusions.	Prominent hiatal hernia. left basilar opacity compatible pleural effusion and atelectasis. Right pleural effusion. no pulmonary edema / overt chf identified. stable senescent mediastinal contour.
Prediction	No evidence of active Disease. The heart size and pulmonary vascularity appear within normal limits. The lungs are free of focal airspace disease. No pleural effusion or pneumothorax is seen.	Cardiomegaly and mild interstitial pulmonary edema. Moderate cardiomegaly bibasilar and perihilar interstitial opacities. No pneumothorax	No acute cardiopulmonary abnormality The lungs are clear, and without focal air space opacity The cardiomeastinal silhouette is normal in size and contour. There is no mediastinal contour, large pleural effusion, pneumothorax, or focal airspace consolidation.	Interval left subclavian central venous catheter with tip approximating the high svc. No evidence of pneumothorax. Generalized heart size and mediastinal contours appear within normal limits. Atherosclerotic changes of the aorta. Moderate degenerative changes of the thoracic spinea.

Figure 1.4: Examples of generated medical reports from Alfarghaly et al. [2021].

comprising of the two parts: *sentence decoder* and *word decoder*. The sentence decoder takes visual features and generates hidden state for each sentence, which are along with the predicted medical concepts presented to the word decoder in order to generate the report. There are many other papers using a hierarchical architecture, e.g. Huang et al. [2019].

The last related project we mention in this work is CareBot¹, which is being developed concurrently with our thesis. CareBot is a czech startup founded in 2021 as a reaction to the then ongoing Covid-19 pandemic. As in our work, CareBot focuses its attention mainly on the processing of chest X-rays using neural networks. However, unlike us it does not generate the textual reports for the doctors. Instead of textual reports, it focuses on finding and classifying a total of 15 different types of individual diseases on X-ray images and their subsequent spatial localization. Moreover, they already have a support from the medical environment.

¹<https://www.carebot.com/>

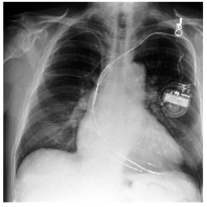
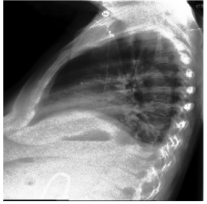
	Ground-truth In comparison with study of there is again enlargement of the cardiac silhouette with a pacer device in place. No definite vascular congestion raising the possibility of underlying cardiomyopathy or pleural effusion. No acute focal pneumonia. The right picc line has been removed.	BASE There is a left pectoral pacemaker with leads terminating in the right atrium and right ventricle. The heart is enlarged. There is no pneumothorax or pleural effusion. The lungs are clear.	BASE+RM+MCLN In comparison with the study of there is little change in the appearance of the pacer leads which extend to the right atrium and apex of the right ventricle. Continued enlargement of the cardiac silhouette without vascular congestion or pleural effusion. No evidence of pneumothorax.
	Ground-truth There are low lung volumes. Bibasilar atelectasis have minimally improved. Mild vascular congestion has minimally improved. There are no new lung abnormalities or pneumothorax. Bilateral pleural effusions are small. Right picc tip is at the cavoatrial junction.	BASE In comparison with the study of there is little overall change. Again there is some indistinctness of pulmonary vessels consistent with elevated pulmonary venous pressure. No evidence of acute focal pneumothorax.	BASE+RM+MCLN The lung volumes are low. There is a small left pleural effusion with associated atelectasis. The right lung is clear. There is no pneumothorax. The heart size is top normal. The hilar and mediastinal contours are normal. A right subclavian catheter terminate in the mid svc.

Figure 1.5: Examples of generated medical reports from Chen et al. [2020].

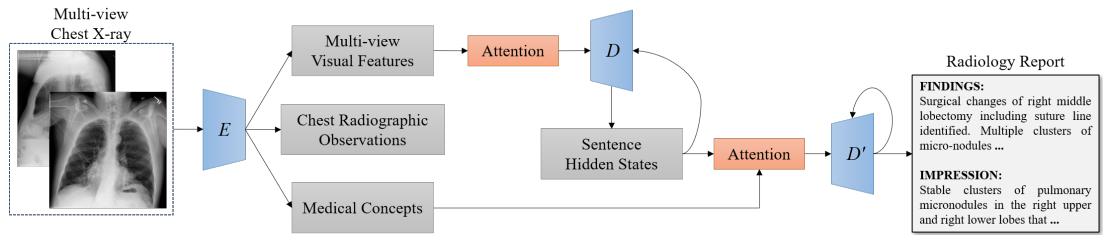


Figure 1.6: Hierarchical architecture from Yuan et al. [2019].
 E is encoder, D is sentence decoder and D' is word decoder.

2. Title of the second chapter

2.1 Title of the first subchapter of the second chapter

2.2 Title of the second subchapter of the second chapter

Conclusion

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List of Abbreviations

A. Attachments

A.1 First Attachment