

# Weighted automata are compact and actively learnable

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## Abstract

We show that weighted automata over the field of two elements can be exponentially more compact than non-deterministic finite state automata. To show this, we combine ideas from automata theory and communication complexity. However, weighted automata are also efficiently learnable in Angluin’s minimal adequate teacher model. We include an algorithm for learning WAs over any field based on a linear algebraic generalization of the Angluin-Schapire algorithm. Together, this produces a surprising result: weighted automata over fields are structured enough that even though they can be very compact, they are still efficiently learnable.

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## 1. Introduction

Weighted automata (WAs) are an alternative model of finite state machines and a natural way to represent monoids. They have received a lot of interest in the the learning community because they provide an interesting way to represent and analyze sequence data, such as music [12] or text and speech processing [11]. Mohri [10] provide a nice survey of algorithms related to weighted automata.

In this paper, we aim to expand the theoretical results known about the representational power and learnability of WAs. We show that WAs over  $\mathbb{Z}_2$  (WA2s) – which can be viewed as word recognizers for regular languages in a natural way – can be exponentially more compact than non-deterministic finite state automata (NFAs) and yet learnable in Angluin’s [1] queries and counter-examples (or minimal adequate teacher) model.

With Theorem 15, we show that there exists a family of languages where the minimal WA2s are exponentially smaller than the smallest NFAs. Unfortunately, in Theorem 22 we show that there also exists an exponential separation in the other direction. This shows that one can sometimes but not always get a significantly more compact representation by using WAs. However, the compactness result is still interesting because there are efficient algorithms for minimizing WAs [3] whereas finding a minimal NFA is PSPACE-complete [9].

This makes weighted automata compact yet – unlike NFAs – structured enough to be actively learnable in Angluin’s minimal-adequate teacher model. In Section 4, we show how to extend the Angluin-Schapire algorithm [1, 13] to weighted automata over any field. As such, we show that although WAs can be exponentially smaller than NFAs (and thus also DFAs), they still have a structure that we can exploit for efficient learning. Since weighted automata correspond more closely to popular models like POMDPs and probabilistic automata [5], this might open new avenues for learning algorithms of those representations.

## 2. Formal background

### 2.1. Finite state automata

**Definition 1.** Given a fixed alphabet  $\Sigma$  and finite dimensional vector space  $\mathbb{F}^n$ , a weighted automaton  $A$  over  $\mathbb{F}$  of size  $n$  is given by:

$$A = \langle \alpha, \omega \in \mathbb{F}^n, \{M_\sigma \in \mathbb{F}^{n \times n} | \sigma \in \Sigma\} \rangle \quad (1)$$

where  $\alpha$  is the initial state,  $\omega$  is a final measurement, and for each  $\sigma \in \Sigma$  we have a corresponding transition matrix  $M_\sigma$ . The function recognized by this automaton is given by:

$$f_A(\sigma_1 \dots \sigma_m) = \alpha^T M_{\sigma_1} \dots M_{\sigma_m} \omega \quad (2)$$

When dealing with automata, it is useful to adapt a general matrix representation of the function they recognize:

**Definition 2.** Given a function  $f : \Sigma^* \rightarrow \mathbb{F}$  the *Hankel matrix*  $H_f : \Sigma^* \times \Sigma^* \rightarrow \mathbb{F}$  of  $f$  is:  $H_f(u, v) = f(uv)$ .

We will also talk about the *restricted Hankel matrix*  $H_f|_n : \Sigma^n \times \Sigma^n \rightarrow \mathbb{F}$  of  $f$  to strings of length  $n$ .

The Hankel matrix allows us to come to grips with weighted automata and their size:

**Proposition 3** ([4, 6]).  $\text{rank}_{\mathbb{F}}(H_f) \leq n$  if and only if there exists a weighted automaton  $A$  over  $\mathbb{F}$  of size  $n$  such that  $f_A = f$ .

If we are going to study weighted automata over  $\mathbb{Z}_2$  (WA2) and non-deterministic finite state automata (NFA) together then it is best to express them in a common framework. To do this, we will define a generic finite state automaton (Definition 4) and then see how augmenting this model with different acceptance criteria can produce WA2s (Definition 6) or NFAs (Definition 7), or restricting the kinds of transitions can produce deterministic finite-state automata (DFA; Definition 8).

**Definition 4.** A *finite state automaton* (FSA) is a tuple  $A = \langle Q, \Sigma, \delta : Q \times \Sigma \rightarrow 2^Q, S \subseteq Q, F \subseteq Q \rangle$  where  $Q$  is a finite set of states,  $\Sigma$  is a finite alphabet,  $\delta$  is the transition function,  $S$  is a set of starting states, and  $F$  is a set of final states. The size  $|A|$  of the automaton is the number of states  $|Q|$ .

**Definition 5.** The dynamics of an FSA  $A$  are defined by looking at  $\text{paths}_A : \Sigma^* \rightarrow 2^{Q^*}$  where for  $p \in Q^*$ ,  $w \in \Sigma^*$ ,  $q, q' \in Q$ , and  $a \in \Sigma$  we have the recursive definition:

- $\text{paths}_A(\epsilon) = S$ ; and
- $pqq' \in \text{paths}_A(wa)$  if  $pq \in \text{paths}_A(w)$  and  $q' \in \delta(q, a)$ .

We say that a *path is accepting* if it ends in  $F$ , or formally:  $\text{apaths}_A(w) \subseteq \text{paths}_A(w)$  where  $pq \in \text{apaths}_A(w)$  if  $q \in F$ .

To define various types of finite automata, we focus on the conditions under which  $A$  accepts a word.

**Definition 6.** If FSA  $A$  is *weighted automaton over  $\mathbb{Z}_2$*  (WA2) then

$$w \in L_A \subseteq \Sigma^* \iff |\text{apaths}(w)| \equiv 1 \pmod{2}. \quad (3)$$

It might not be obvious that this definition of weighted automata over  $\mathbb{Z}_2$  is the same as Definition 1. To see the identity, let the basis elements be the states, and the initial state  $\alpha$  be the indicator vector for  $S$  and the measurement set  $\omega$  as the indicator vector for  $F$ . The transition function  $\delta(\cdot, \sigma)$  is given by  $M_\sigma$ . Note that it doesn't matter when we switch to mod 2: the matrix multiplication in Definition 1 can be done over  $\mathbb{R}$  until we multiply by the final measurement vector. Multiplying by transition matrices is the same thing as counting the number of paths, and multiplication by  $\omega$  adds up the paths that lead to final states (so computes  $|\text{apaths}(w)|$ ) and then taking the modules completes our computation.

**Definition 7.** If FSA  $A$  is *non-deterministic finite automaton* (NFA) then

$$w \in L_A \subseteq \Sigma^* \iff |\text{apaths}(w)| \geq 1. \quad (4)$$

Note that by the same argument as the previous section, this is also equivalent to if we used the boolean semiring ('or' for addition, and 'and' for multiplication) instead of a field in Definition 1. In other words, NFAs can also be thought of as weighted automata over the boolean semiring. This is why when we discuss weighted automata in the rest of this article, we focus only on WAs over fields.

We can make a final familiar definition of finite state automata by putting restrictions on  $\delta, S$ :

**Definition 8.** An FSA  $A$  is *deterministic finite automaton* (DFA) if it respects the restriction of a single start state ( $|S| = 1$ ) and deterministic transitions:

$$\forall q \in Q, a \in \Sigma |\delta(q, a)| = 1; \quad (5)$$

and has the acceptance criteria:

$$w \in L_A \subseteq \Sigma^* \iff |\text{apaths}(w)| = 1. \quad (6)$$

Note that the DFA restrictions of a single start state and determinism (Equation 5) imply that given a DFA  $A$ , any word  $w$  defines only one path (i.e.,  $\forall w \in \Sigma^* |\text{paths}_A(w)| = 1$ ) and this path is either accepting or not. This means that a DFA is also an NFA, and WA2. It will be useful to have the following two refinements of paths:

**Definition 9.** Given an FSA  $A$  and a state  $q \in Q$  we say that a word  $w \in \text{past}(q)$  if  $pq \in \text{paths}(w)$  for some  $p \in Q^*$ .

In other words,  $\text{past}(q)$  is the set of all words that lead to  $q$ . In a similar vein, we can define:

**Definition 10.** Given an FSA  $A$  and a state  $q \in Q$  we say that  $w \in \text{future}(q)$  if  $\exists v \in \text{past}(q) p, r \in Q^* \text{ s.t. } pqr \in \text{apaths}(vw)$

In other words,  $\text{future}(q)$  is the set of all words that lead from  $q$  to a state in  $F$ .

## 2.2. Tools from communication complexity

It will be useful to observe a link between the Hankel matrix and a concept from communication complexity:

**Definition 11.** The *1-monochromatic rectangle covering* of a function  $f : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$  is the smallest number  $\chi_1(f)$  of pairs of sets (called rectangles)  $A_i, B_i \subseteq \{0, 1\}^n$  for  $1 \leq i \leq \chi_1(f)$  such that:

1. for every  $(x, y) \in A_i \times B_i$  we have  $f(x, y) = 1$  (i.e.,  $A_i \times B_i$  is 1-monochromatic), and
2. for every  $(x, y) \in f^{-1}(1)$  we have at least one index  $i \in \{1, \dots, \chi_1(f)\}$  such that  $(x, y) \in A_i \times B_i$ .

Based on the argument in Hromkovič and Schnitger [7], we can show that relates this nicely to the size of NFAs:

**Proposition 12.**  $|\text{NFA}(f)| \geq \chi_1(H_f|_n)$  for any  $n \in \mathbb{N}$ .

*Proof.* Let  $A$  be a minimal NFA recognizing  $f$ . For each state  $q \in Q$  define  $A_q = \text{past}(q)$  and  $B_q = \text{future}(q)$ , by the definition of  $\text{future}(q)$  for any  $u \in A_q$  and  $v \in B_q$  we have  $f(uv) = 1$ . Therefore, the  $\{A_q, B_q\}_{q \in Q}$  are 1-monochromatic rectangles. Now, consider any  $uv \in f^{-1}(1)$ , say that  $q \in Q_u$  if  $\exists p \in Q^*$  such that  $pq \in \text{paths}(u)$ . Since  $f(uv) = 1$ , there must be at least one  $q \in Q_u$  such that  $v \in \text{future}(q) = B_q$ . Therefore, the  $\{A_q, B_q\}_{q \in Q}$  are a cover of the whole Hankel matrix, and hence a subcover of any restricted submatrix.  $\square$

Another useful tool for proving lower bounds in communication complexity is:

**Definition 13.** The *discrepancy* of a function  $f : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$  is:

$$\text{disc}(f) = \max_{A, B \subseteq \{0, 1\}^n} \frac{1}{2^{2n}} \left| \sum_{x \in A, y \in B} (-1)^{f(x, y)} \right| \quad (7)$$

Definitions 11 and 13 relate nicely to each other by an extension of Lemma 13.13 from Arora and Barak [2]:

**Lemma 14.**  $\chi_1(f) \geq \frac{|f^{-1}(1)|}{2^{2n} \text{disc}(f)}$

*Proof.* Since all the ones in our function can be covered by  $\chi_1(f)$  squares, and a total of  $|f^{-1}(1)|$  ones need to be covered, there must be at least one monochromatic rectangle  $A \times B$  that covers the average number of ones or more. This means that  $|A||B| \geq |f^{-1}(1)|/\chi_1(f)$ . Now, since the discrepancy is a max over rectangles, we can pick  $A \times B$  to lower bound it:

$$\text{disc}(f) \geq \frac{1}{2^{2n}} \left| \sum_{x \in A, y \in B} (-1)^{f(x,y)} \right| \quad (8)$$

$$\geq \frac{1}{2^{2n}} \left| \sum_{x \in A, y \in B} -1 \right| \quad (9)$$

$$\geq \frac{|A||B|}{2^{2n}} \quad (10)$$

$$\geq \frac{|f^{-1}(1)|}{2^{2n} \chi_1(f)} \quad (11)$$

where the second line follows from the first because the rectangle is 1-monochromatic. The last line can be rearranged to complete the proof.  $\square$

### 3. Size of NFAs and WA2s

We are interested in the following question: given a regular language  $L$ , what is the size of the smallest automaton  $A$  with  $L_A = L$ ? In particular, we will define  $|\text{NFA}(L)|$  to be the largest integer such that for any NFA  $A$ , if  $L_A = L$  then  $|A| \geq |\text{NFA}(L)|$  and similarly for  $|\text{DFA}(L)|$ , and  $|\text{WA2}(L)|$ .

#### 3.1. WA2s can be exponentially smaller than NFAs

The gap between  $|\text{NFA}(L)|$  and  $|\text{WA2}(L)|$  can be exponentially large. Technically, this means that:

**Theorem 15.** *There exists a family of regular languages  $\{L_n\}$  such that  $|\text{NFA}(L_n)| \in 2^{\Omega(|\text{WA2}(L_n)|)}$*

To find our separating family of languages, we will look at the inner-product function:

**Definition 16.** The  $n$ -bit inner product is a function  $\wedge^{\otimes n} : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$  acting on two bit strings  $x = x_1 \dots x_n \in \{0, 1\}^n$  and  $y = y_1 \dots y_n \in \{0, 1\}^n$  as  $x \wedge^{\otimes n} y = \sum_{i=1}^n x_i \cdot y_i \pmod 2$

Sometimes, when the size of  $x$  and  $y$  is obvious, we will omit the  $\otimes n$ . Note that the number of zeros and ones in  $\wedge$  is well balanced.

**Proposition 17.**  $|(\wedge^{\otimes n})^{-1}(1)| = 2^{n-1}(2^n - 1)$

*Proof.* Let  $D = \{(x, y) | \exists i \in [n] \text{ s.t. } x_i = y_i\}$  be the set of pairs of strings that overlap in at least one place. Now, consider a function  $h$  defined on  $D$  that given  $(x, y)$  take the smallest index of overlap  $i$  (i.e. for all  $j < i$ ,  $x_j \neq y_j$ ) and sends  $x_i \rightarrow \bar{x}_i$  and  $y_i \rightarrow \bar{y}_i$  this function is a bijection on  $D$ . However, note that if

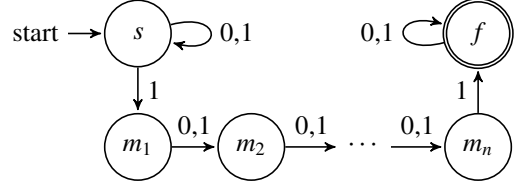


Figure 1: A picture of the weighted automaton used to prove Theorem 15.

$\wedge^{\otimes n}(x, y) = b$  then  $\wedge^{\otimes n}h(x, y) = \bar{b}$ . Thus,  $\wedge$  has the same number of zeros and ones in  $D$ .

The only pairs missing from  $D$  are the ones of the form  $(x, \bar{x})$  and there are  $2^n$  such strings, so  $|D| = 2^{2n} - 2^n$ . Finally, note that  $x \wedge^{\otimes n} \bar{x} = 0$  thus  $|(\wedge^{\otimes n})^{-1}(1)| = |D|/2$ .  $\square$

**Lemma 18.**  $\chi_1(\wedge^{\otimes n}) \geq 2^{n/2-2}$

*Proof.* Example 13.16 in [2] shows that  $\text{disc}(\wedge^{\otimes n}) \leq 2^{-n/2}$  which combined with Lemma 14 and Proposition 17 gives us  $\chi_1(\wedge^{\otimes n}) \geq \frac{2^{n-1}(2^n-1)2^{n/2}}{2^{2n}} \geq 2^{n/2-2}$ .  $\square$

The inner-product allows us to define a special class of languages families with an important property:

**Definition 19.** A language family  $\{L_n\}$  is called an *inner-product kernel family* if:

$$\forall n \forall x, y \in \{0, 1\}^n \quad L_n(xy) = x \wedge^{\otimes n} y \quad (12)$$

Note that the above definition places no restriction on how  $L_n$  behaves on words of length other than  $2n$ , so there are many inner-product kernel families based on the many ways languages can behave outside the kernels.

**Proposition 20.** *If  $\{L_n\}$  is an inner-product kernel family then  $|\text{NFA}(L_n)| \geq 2^{n/2-2}$*

*Proof.* We use the communication complexity techniques from Proposition 12. We can use any finite submatrix of  $H_L$  to lower-bound  $|\text{NFA}(L)|$ . In particular, if for  $L_n$  we look at the submatrix of  $H_L$  corresponding to length  $n$  strings then that is equivalent to the communication problem as  $\wedge^{\otimes n}$ . Thus,  $|\text{NFA}(L_n)| \geq \chi_1(\wedge^{\otimes n}) \geq 2^{n/2-2}$  where the first inequality is an application of the Proposition 12 lowerbound technique and the second inequality is from Lemma 18.  $\square$

We finish the proof of Theorem 15 by noticing that the family of weighted automata in Figure 1 recognize languages in an inner-product kernel family but only have  $n + 2$  states. More formally:

**Proposition 21.** *Let  $\text{WA}_n^{\text{prod}}$  be the weighted automaton in Figure 1. Given any  $x, y \in \{0, 1\}^n$ :*

$$xy \in L_{\text{WA}_n^{\text{prod}}} \iff \sum_{i=1}^n x_i y_i \pmod 2 = 1. \quad (13)$$

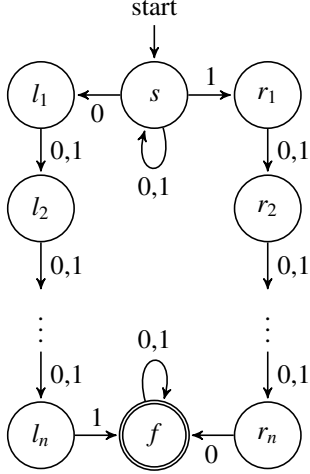


Figure 2: A picture of the NFA used in the proof of Theorem 22

*Proof.* Any accepting path in  $WA_n^{\text{prod}}$  must have the form  $p \in s^*m_1m_2\dots m_nf^*$ . A path  $p$  is caused by transitions corresponding to a word of the pattern:

$$\{0, 1\}^* 1 \{0, 1\}^{n-1} 1 \{0, 1\}^* \quad (14)$$

i.e., by a word that has two 1s that are exactly  $n$  letters apart.

Now, let us count the number of accepting paths for any  $xy$ . The word  $xy$  matches the pattern in Equation 14 for each  $1 \leq i \leq n$  such that  $x_i = y_i = 1$  and for no other: i.e., only for the partition  $\{0, 1\}^{i-1} 1 \{0, 1\}^{n-i}$ . Each of these partitions of  $xy$  corresponds to a unique path, so the total number of accepting paths is  $\sum_{i=1}^n x_i y_i$  and Equation 13 follows from the acceptance criteria of WAs in Definition 6.  $\square$

### 3.2. NFAs can be exponentially smaller than WA2s

Unfortunately, there are also cases where the opposite happens and we do not have a small WA2 while a small NFA exists:

**Theorem 22.** *There exists a family of regular languages  $\{L_n\}$  such that  $|WA2(L_n)| \in 2^{\Omega(|NFA(L_n)|)}$*

*Proof.* For this, consider a language family where for  $u, v \in \{0, 1\}^n$   $uv \in L_n$  if and only if  $u \neq v$ . If we look at the Hankel matrix of  $L_n$  restricted to columns and rows of length  $n$  then it is a matrix of all ones except with zeros on the diagonal. Clearly, this matrix has full rank, so by Theorem 3  $|WA2(L_n)| \geq 2^n$ .

On the other hand, an NFA of size  $2(n+1)$  is given that recognizes a language consistent with  $L_n$  in Figure 2. Notice that any accepting path in this NFA can only have been caused by a word of the pattern  $\{0, 1\}^n 0 \{0, 1\}^{n-1} 1 \{0, 1\}^n$  (left branch) or  $\{0, 1\}^n 1 \{0, 1\}^{n-1} 0 \{0, 1\}^n$  (right branch). When we restrict this to words  $xy$  with  $x, y \in \{0, 1\}^n$ , we see that one of the patterns is realized only if there is some  $1 \leq i \leq n$  such that  $x_i \neq y_i$ .  $\square$

## 4. Efficient active learning algorithm for weighted automata

Deterministic finite state automata (DFAs) are not passive learnable: i.e., DFAs are known to be difficult to PAC-learn

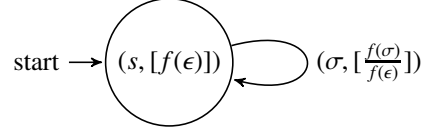


Figure 3: Initial weighted automaton. There is a single state that is initial and outputs its weight times  $f(\epsilon)$ . There is a self-loop for each letter  $\sigma \in \Sigma^*$  weighted by  $\frac{f(\sigma)}{f(\epsilon)}$ . Note that we are using the WLOG assumption that  $f(\epsilon) \neq 0$ .

from randomly drawn labeled examples in any representation [8]. However, we can instead consider a model with active learning that instead of random labeled examples has the following two types of queries:

1. for any string  $x \in \Sigma^*$  we can query  $f(x)$ . This is the active learning component, since the algorithm generates the query to ask, and
2. given a candidate weighted automaton  $A$ , we can ask if it is correct. If  $A$  computes  $f$  (i.e.  $f_A = f$ ) then the teacher will say “CORRECT”, otherwise the teacher will return a counter-example  $z$  such that  $f_A(z) \neq f(z)$ . If a teacher is unavailable then this can alternatively be replaced by random sampling if we want a PAC-like model, and would correspond to the non-active part of learning.

This is Angluin’s queries and counter-examples or ‘minimal adequate teacher’ (MAT) model [1]. Angluin [1] famously showed that – in the MAT model – regular languages are efficiently learnable in the size of their minimal DFA representation. Later, Schapire [13] improved the efficiency of Angluin’s algorithm for learning DFAs. In this section, we show how to adapt the Angluin-Schapire algorithm from learning DFAs to learning WAs over any field  $\mathbb{F}$ .

For the rest of the section, suppose we are trying to learn an unknown function  $f : \Sigma^* \rightarrow \mathbb{F}$  with Hankel matrix  $H : \Sigma^* \times \Sigma^* \rightarrow \mathbb{F}$ .

### 4.1. Initialization

At all times, our algorithm will keep track of two finite sets  $S, E \subseteq \Sigma^*$  of equal size ( $|S| = |E|$ );  $S$  will be prefix closed and we will call its elements states. For convenience, we will define a function  $F : S \rightarrow \mathbb{F}^E$ . If we view  $F$  as a matrix, then it is a restriction of  $H$  to  $S$  and  $E$ , i.e.  $F = H(S, E)$  or more explicitly for  $s \in S$  and  $e \in E$ ,  $F(s, e) = f(se)$ . Our algorithm will ensure that  $F$  is full rank, i.e.  $\text{rank}_{\mathbb{F}}(F) = |S|$ . We will start with  $S = E = \{\epsilon\}$  and without loss of generality assume that  $f(\epsilon) \neq 0$  (if it is equal zero then just replace  $f$  by  $f + 1$ , learn that, and then subtract 1 from each value in the final/measurement state). See Figure 3 for the initial automaton.

### 4.2. Automaton corresponding to matrix $F$

For each  $\sigma \in \Sigma$ , consider  $F_\sigma : S \rightarrow \mathbb{F}^E$  where  $F_\sigma(s, e) = f(s\sigma e)$ . Since  $F$  has full rank (thus a basis for  $\mathbb{F}^E$ ), we know that there is a  $T_\sigma : S \rightarrow \mathbb{F}^E$  such that for every  $s \in S$  we have  $F_\sigma(s) = \sum_{s' \in S} T_\sigma(s, s') F(s')$ . This allows us to define the corresponding weighted automaton over  $\mathbb{F}$  (see Definition 1) on state space  $\mathbb{F}^E$ , with initial state  $\epsilon$ , final/measurement state given by  $F(\cdot, \epsilon)$ , and transition matrices  $T_\sigma$ .

### 4.3. Learning from counter-example query

Now, suppose we tried this automaton  $A$  and our teacher returned a counter-example  $z$ . We will use this counter-example to find strings to extend  $S$  and  $E$  and thus increase the rank of our matrix  $F$ . Now for each  $1 \leq i \leq |z| + 1$  consider the partitions  $z = z_{<i}\sigma_i z_{>i}$ . For each  $z_{<i}$  define  $Z_i : S \rightarrow \mathbb{F}$  to be the state of our candidate automaton when we run it on  $z_{<i}$ . Let  $f_i = \sum_{s \in S} Z_i(s) f(s \sigma_i z_{>i})$ . From our definition, we know that  $f_1 = f(z) \neq f_A(z) = f_{|z|+1}$ , thus as we increase  $i$  there must be some point  $k$  where  $f_k \neq f_{k+1}$ . Find this point by using binary search on  $i$ . Let us write out  $f_{k+1}$ :

$$f_{k+1} = \sum_{s' \in S} Z_{k+1}(s') f(s' z_{>k}) \quad (15)$$

$$= \sum_{s, s' \in S} T_{\sigma_k}(s, s') Z_k(s) f(s' z_{>k}) \quad (16)$$

Now, proceed by contradiction: if  $\forall s \in S$  we have  $f(s \sigma_k z_{>k}) = \sum_{s' \in S} T_{\sigma_k}(s, s') f(s' z_{>k})$  then

$$f_k = \sum_{s \in S} Z_k(s) f(s \sigma_k z_{>k}) \quad (17)$$

$$= \sum_{s \in S} Z_k(s) \sum_{s' \in S} T_{\sigma_k}(s, s') f(s' y_k) = f_{k+1} \quad (18)$$

where the last equality follows from Equation 16 and contradicts  $f_k \neq f_{k+1}$ . Thus, there must be some  $s^* \in S$  such that  $f(s^* \sigma_k z_{>k}) \neq \sum_{s' \in S} T_{\sigma_k}(s^*, s') f(s' z_{>k})$ .

Now, consider an  $s\sigma \in S$  then

$$F(s\sigma) = F_{\sigma}(s) = \sum_{s'} T_{\sigma}(s, s') F(s') \quad (19)$$

but since the  $F(s)$  are linearly independent, we must have that  $T_{\sigma}(s, s\sigma) = 1$  and for  $s' \neq s\sigma$  we must have  $T_{\sigma}(s, s') = 0$ . Plugging this into our contradiction assumption, we see that for  $s\sigma_k \in S$  we have  $\sum_{s' \in S} T_{\sigma_k}(s, s') f(s' z_{>k}) = f(s\sigma_k z_{>k})$ . Therefore, our  $s^* \sigma \notin S$ . Now, we can add  $s^* \sigma$  to  $S$  and  $z_{>k}$  to  $E$  to get a new linearly independent row and column and increase the rank of our matrix by 1.

### 4.4. Termination

Since our candidate automaton agrees with  $f$  on every value in  $F$ , it must be that the real automaton corresponding to  $f$  must have more states than  $\text{rank}(F)$ . At every counter-example query, we increase our rank by one, so if our world  $f$  is represented by a minimum weighted automaton with  $n$  states then after  $n - 1$  counter-example queries we must have  $\text{rank}(F) = \text{rank}(H_f)$ . Since our automata agrees with  $f$  on every value in  $F$ , the  $n$ th counter-example query gets it "CORRECT".

## 5. Conclusion and future work

As far as we know, this is the first time it has been show that weighted automata can be exponentially smaller than NFAs. Together with the learning algorithm, this produces a somewhat surprising result: weighted automata are structured enough that

even though they are compact, they are still efficiently learnable. This also means that some languages where the minimal DFAs and NFAs are exponentially bigger than the minimal WAs can be learned much faster using the WA representation. Since WAs are always smaller than DFAs, that means that learning WA2s replaces the standard Angluin-Schapire algorithm [1, 13] for learning regular languages. In the cases where WAs are the same size as DFAs, we can achieve the same performance, and in the cases in which WAs are more compact, we provide an exponential savings in terms of queries used.

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