

A tutorial on call-by-push-value

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Outline

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- 2 Typed λ -calculus: denotational semantics
- 3 Call-by-push-value
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- 5 State
- 6 Control

Typed λ -calculus

We consider typed λ -calculus with boolean, function and sum types.

Types

$$A ::= \text{bool} \mid A + A \mid A \rightarrow A$$

Typing judgement $\Gamma \vdash M : A$

Terms

$$\begin{aligned} M ::= & \quad x \mid \text{let } M \text{ be } x. M \\ & \quad \mid \text{true} \mid \text{false} \mid \text{match } M \text{ as } \{\text{true}. M, \text{false}. M\} \\ & \quad \mid \text{inl } M \mid \text{inr } M \mid \text{match } M \text{ as } \{\text{inl } x. M, \text{inr } x. M\} \\ & \quad \mid \lambda x. M \mid MM \end{aligned}$$

Equational Laws

We consider the equational theory generated by the $\beta\eta$ -laws.

η -law for $A \rightarrow B$

Any term $\Gamma \vdash M : A \rightarrow B$ can be expanded as

$$\lambda \mathbf{x}. M \mathbf{x}$$

Anything of function type is a λ -abstraction.

η -law for `bool`

Any term $\Gamma, z : \text{bool} \vdash M : B$ can be expanded as

$$\text{match } z \text{ as } \{\text{true}. M[\text{true}/z], \text{false}. M[\text{false}/z]\}$$

Anything of boolean type is a boolean.

The η -law for sum types is similar.

Denotational semantics in Set

A type denotes a set.

$$\begin{aligned}\llbracket \text{bool} \rrbracket &= \mathbb{B} \stackrel{\text{def}}{=} \{\text{true}, \text{false}\} \\ \llbracket A + B \rrbracket &= \llbracket A \rrbracket + \llbracket B \rrbracket \\ \llbracket A \rightarrow B \rrbracket &= \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket\end{aligned}$$

A term $\Gamma \vdash M : B$ denotes a function $\llbracket \Gamma \rrbracket \xrightarrow{\llbracket M \rrbracket} \llbracket B \rrbracket$.

Substitution Lemma

Given terms $\Gamma, x : A \vdash M : B$ and $\Gamma \vdash N : A$

we can obtain $\llbracket M[N/x] \rrbracket$ from $\llbracket M \rrbracket$ and $\llbracket N \rrbracket$. It is

$$\rho \longmapsto \llbracket M \rrbracket(\rho, x \mapsto \llbracket N \rrbracket \rho)$$

Corollary

The denotational semantics validates the β and η laws.

Call-by-name evaluation of a closed term

In CBN the terminals are `true`, `false`, `inl M`, `inr M`, $\lambda x.M$

To evaluate

- `true`: return `true`.
- $\lambda x.M$: return $\lambda x.M$.
- `inl M`: return `inl M`.
- `let M be x. N`: evaluate $N[M/x]$.
- `match M as {true. N, false. N'}`: evaluate M . If it returns `true`, evaluate N , but if it returns `false`, evaluate N' .
- `match M as {inl x. N, inr x. N'}`: evaluate M . If it returns `inl P`, evaluate $N[P/x]$, but if it returns `inr P`, evaluate $N'[P/x]$.
- MN : evaluate M . If it returns $\lambda x.P$, evaluate $P[N/x]$.

Call-by-value evaluation of a closed term

CBV terminals $T ::= \text{true} \mid \text{false} \mid \text{inl } T \mid \text{inr } T \mid \lambda x.M$

To evaluate

- **true**: return **true**.
- **$\lambda x.M$** : return **$\lambda x.M$** .
- **inl M** : evaluate **M** . If it returns **T** , return **inl T** .
- **let M be x . N** : evaluate **M** . If it returns **T** , evaluate **$N[T/x]$** .
- **match M as {true. N , false. N' }**: evaluate **M** . If it returns **true**, evaluate **N** , but if it returns **false**, evaluate **N'** .
- **match M as {inl x . N , inr x . N' }**: evaluate **M** . If it returns **inl T** , evaluate **$N[T/x]$** , but if it returns **inr T** , evaluate **$N'[T/x]$** .
- **MN** : evaluate **M** . If it returns **$\lambda x.P$** , evaluate **N** . If that returns **T** , evaluate **$P[T/x]$** .

Adding computational effects

Errors

Let $E = \{\text{CRASH}, \text{BANG}, \text{WALLOP}\}$ be a set of “errors”. We add

$$\frac{}{\Gamma \vdash \text{error } e : B} e \in E$$

To evaluate **error** e : halt with error message e .

Printing

Let $\mathcal{A} = \{a, b, c, d, e\}$ be a set of “characters”. We add

$$\frac{\Gamma \vdash M : B}{\Gamma \vdash \text{print } c. M : B} c \in \mathcal{A}$$

To evaluate **print** $c. M$: print c and then evaluate M .

① Evaluate

```
let (error CRASH) be x. 5
```

in CBV and CBN

② Evaluate

```
( $\lambda x. (x + x)$ )(print "hello". 4)
```

in CBV and CBN.

③ Evaluate

```
match (print "hello". inr error CRASH) as  
  {inl x. x + 1, inr y. 5}
```

in CBV and CBN.

Big-Step Operational Semantics

We convert our CBV and CBN interpreters into big-step semantics, defined inductively.

no effects We define a relation $M \Downarrow T$ meaning M evaluates to T .

errors We define a relation $M \Downarrow T$ meaning M evaluates to T , and a relation $M \Downarrow e$ meaning M raises error e .

printing We define a relation $M \Downarrow m, T$ meaning M prints $m \in \mathcal{A}^*$ and finally evaluates to T .

For example, in the case of printing we have rules such as

$$\frac{}{\text{true} \Downarrow \varepsilon, \text{true}} \qquad \frac{M \Downarrow m, \text{true} \quad N \Downarrow m', T}{\text{match } M \text{ as } \{\text{true}. N, \text{false}. N'\} \Downarrow mm', T}$$

These are proved deterministic and total using Tait's method.

Observational equivalence

Two terms $\Gamma \vdash M, M' : B$ are **observationally equivalent**

when $\mathcal{C}[M]$ and $\mathcal{C}[M']$ have the same behaviour

for every ground (i.e. boolean) context $\mathcal{C}[\cdot]$.

Same behaviour means: print the same string, raise the same error, return the same boolean.

We write $M \simeq_{\text{CBV}} M'$ and $M \simeq_{\text{CBN}} M'$.

The η -law for boolean type: has it survived?

η -law for bool

Any term $\Gamma, z : \text{bool} \vdash M : B$ can be expanded as

$$\text{match } z \text{ as } \{\text{true}. M[\text{true}/z], \text{false}. M[\text{false}/z]\}$$

Anything of boolean type is a boolean.

This holds in CBV, because z can only be replaced by `true` or `false`.

But it's broken in CBN, because z might raise an error. For example,

$$\text{true} \not\approx_{\text{CBN}} \text{match } z \text{ as } \{\text{true}. \text{true}, \text{false}. \text{true}\}$$

because we can apply the context

$$\text{let error CRASH be } z. [\cdot]$$

Similarly the η -law for sum types is valid in CBV but not in CBN.

The η -law for functions: has it survived?

η -law for $A \rightarrow B$

Any term $\Gamma \vdash M : A \rightarrow B$ can be expanded as

$$\lambda x. Mx$$

Anything of function type is a function.

This fails in CBV, but it holds in CBN.

Similarly

$$\begin{aligned}\lambda x. \text{error } e &\simeq_{\text{CBN}} \text{error } e \\ \lambda x. \text{print } c. M &\simeq_{\text{CBN}} \text{print } c. \lambda x. M\end{aligned}$$

Yet the two sides have different operational behaviour! What's going on?

In CBN, a function gets evaluated only by being applied.

The pure calculus satisfies all the β - and η -laws.

With computational effects,

- CBV satisfies η for boolean and sum types, but not function types
- CBN satisfies η for function types, but not boolean and sum types.

We want denotational semantics that validate the appropriate η -laws.

We'll do CBV first, as it's easier.

Denotational Semantics of CBV (Moggi)

Take a (strong) monad T on **Set**.

- For errors: $- + E$
- For printing: $\mathcal{A}^* \times -$

Each type denotes a set (think: the set of terminals)

$$\begin{aligned}\llbracket \text{bool} \rrbracket &= \mathbb{B} \\ \llbracket A + B \rrbracket &= \llbracket A \rrbracket + \llbracket B \rrbracket \\ \llbracket A \rightarrow B \rrbracket &= \llbracket A \rrbracket \rightarrow T\llbracket B \rrbracket\end{aligned}$$

Each term $\Gamma \vdash M : B$ denotes a **Kleisli morphism**,

i.e. a function $\llbracket \Gamma \rrbracket \xrightarrow{\llbracket M \rrbracket} T\llbracket B \rrbracket$.

To prove the soundness of the denotational semantics, we need a substitution lemma.

CBV Substitution Lemma: What Doesn't Work

Can we obtain $\llbracket M[N/x] \rrbracket$ from $\llbracket M \rrbracket$ and $\llbracket N \rrbracket$?

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Example that rules out a general substitution lemma

Define $x : \text{bool} \vdash M, M' : \text{bool}$ and $\vdash N : \text{bool}$

$$M \stackrel{\text{def}}{=} \text{true}$$
$$M' \stackrel{\text{def}}{=} \text{match } x \text{ as } \{\text{true. true, false. true}\}$$
$$N \stackrel{\text{def}}{=} \text{error CRASH}$$
$$\llbracket M \rrbracket = \llbracket M' \rrbracket \quad \text{because } M =_{\eta \text{ bool}} M'$$
$$\llbracket M[N/x] \rrbracket \neq \llbracket M'[N/x] \rrbracket$$

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$$\llbracket M \rrbracket = \llbracket M' \rrbracket \quad \text{because } M =_{\eta \text{ bool}} M'$$

$$\llbracket M[N/x] \rrbracket \neq \llbracket M'[N/x] \rrbracket$$

But we can give a lemma for the substitution of **values**:

$$V ::= \text{true} \mid \text{false} \mid \text{inl } V \mid \text{inr } V \mid \lambda x. M \mid x$$

The terminals are the closed values.

Substitution Lemma For Values

Each value $\Gamma \vdash V : B$ denotes a function $\llbracket \Gamma \rrbracket \xrightarrow{\llbracket V \rrbracket^{\text{val}}} \llbracket B \rrbracket$ such that

$$\begin{array}{ccc} \llbracket \Gamma \rrbracket & \xrightarrow{\llbracket V \rrbracket^{\text{val}}} & \llbracket B \rrbracket \\ & \searrow \llbracket V \rrbracket & \downarrow \eta \llbracket B \rrbracket \\ & & T \llbracket B \rrbracket \end{array} \quad \text{commutes.}$$

Substitution Lemma

Given a term $\Gamma, x : A \vdash M : B$ and a value $\Gamma \vdash V : A$

we can obtain $\llbracket M[V/x] \rrbracket$ from $\llbracket M \rrbracket$ and $\llbracket V \rrbracket^{\text{val}}$. It is

$$\rho \longmapsto \llbracket M \rrbracket (\rho, x \mapsto \llbracket V \rrbracket^{\text{val}} \rho)$$

Soundness of CBV Denotational Semantics

Errors

- If $M \Downarrow V$ then $\llbracket M \rrbracket_{\varepsilon} = \text{inl } (\llbracket V \rrbracket^{\text{val}}_{\varepsilon})$.
- If $M \not\Downarrow e$ then $\llbracket M \rrbracket_{\varepsilon} = \text{inr } e$.

Printing

- If $M \Downarrow m, V$ then $\llbracket M \rrbracket_{\varepsilon} = \langle m, \llbracket V \rrbracket^{\text{val}}_{\varepsilon} \rangle$.

These are straightforward inductions, using the substitution lemma.

Naive Attempt At CBN: “Carrier” Semantics

Each type denotes a set (think: the set of closed terms).

For example $\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})$ should denote $T\mathbb{B} \rightarrow (T\mathbb{B} \rightarrow T\mathbb{B})$.

We define

$$\begin{aligned}\llbracket \text{bool} \rrbracket &= T\mathbb{B} \\ \llbracket A + B \rrbracket &= T(\llbracket A \rrbracket + \llbracket B \rrbracket) \\ \llbracket A \rightarrow B \rrbracket &= \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket\end{aligned}$$

Each term $\Gamma \vdash M : B$ should denote a function $\llbracket \Gamma \rrbracket \xrightarrow{\llbracket M \rrbracket} \llbracket B \rrbracket$.

Carrier Semantics: What Goes Wrong

$$\overline{\Gamma \vdash \mathbf{error} \ e : B}$$

denotes $\rho \mapsto ?$

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Example:

- suppose $B = \text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})$
- then B denotes $(\mathbb{B} + E) \rightarrow ((\mathbb{B} + E) \rightarrow (\mathbb{B} + E))$
- and $\text{error } e \simeq_{\text{CBN}} \lambda x. \lambda y. \text{error } e$
- so the answer should be $\lambda x. \lambda y. \text{inr } e$.

Intuition: go down through the function types until we hit a boolean or sum type.

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Intuition: go down through the function types until we hit a boolean or sum type.

A similar problem arises with `match`.

E -pointed semantics of CBN types

A CBN type should denote a set X (the carrier) with some designated elements $E \xrightarrow{\text{error}} X$.

This is called an E -pointed set.

Thus `bool` denotes $\mathbb{B} + E$ with $e \mapsto \text{inr } e$.

If $\llbracket A \rrbracket = (X, \text{error})$ and $\llbracket B \rrbracket = (Y, \text{error}')$,

- then $A + B$ denotes $(X + Y) + E$ with $e \mapsto \text{inr } e$
- and $A \rightarrow B$ denotes $X \rightarrow Y$ with $e \mapsto \lambda x. \text{error}'(e)$.

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Can we generalize the notion of E -pointed set to other monads on **Set**?

Algebras for a Monad

An *Eilenberg-Moore algebra* for a monad T on **Set** is

- a set X (the carrier)
- a function $TX \xrightarrow{\theta} X$ (the structure)

satisfying

$$\begin{array}{ccccc} X & \xrightarrow{\eta X} & TX & \xleftarrow{\mu X} & T^2 X \\ & \searrow \text{id} & \downarrow \theta & & \downarrow T\theta \\ & & X & \xleftarrow{\theta} & TX \end{array}$$

Examples of Algebras

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An algebra for $\mathcal{A}^* \times -$ is an \mathcal{A} -set

i.e. a set X together with a function $\mathcal{A} \times X \xrightarrow{*} X$.

This is what we need to interpret

$$\frac{\Gamma \vdash M : B}{\Gamma \vdash \text{print } c. M : B} \quad c \in \mathcal{A}$$

If B denotes $(X, *)$ then $\text{print } c. M$ denotes $\rho \mapsto c * (\llbracket M \rrbracket \rho)$

3 Ways Of Building Algebras

Free Algebras

Given a set X , the **free T -algebra** on X has carrier TX and structure μ_X .

Product Algebras

Given a family of T -algebras (X_i, θ_i) , the **product algebra** $\prod_{i \in I} (X_i, \theta_i)$ has carrier $\prod_{i \in I} X_i$ and structure given pointwise.

Exponential Algebras

Given a set A and a T -algebra (X, θ) , the **exponential algebra** $A \rightarrow (X, \theta)$ has carrier $A \rightarrow X$ and structure given pointwise.

Algebra Semantics For CBN Types

Let T be a monad on **Set**.

A type denotes a T -algebra.

- bool denotes the free algebra on \mathbb{B}
- If $\llbracket A \rrbracket = (X, \theta)$ and $\llbracket B \rrbracket = (Y, \phi)$
 - then $A + B$ denotes the free algebra on $X + Y$
 - and $A \rightarrow B$ denotes the exponential algebra $X \rightarrow (Y, \phi)$.

Algebra semantics for CBN terms

Suppose B denotes the algebra (Y, θ) .

Then a term $\Gamma \vdash M : B$ denotes a function between the **carrier sets**

$$\llbracket \Gamma \rrbracket \xrightarrow{\llbracket M \rrbracket} Y.$$

$$\frac{\Gamma \vdash M : \text{bool} \quad \Gamma \vdash N : B \quad \Gamma \vdash N' : B}{\Gamma \vdash \text{match } M \text{ as } \{\text{true}. N, \text{false}. N'\} : B}$$

This term denotes

$$\begin{array}{ccccc} \llbracket \Gamma \rrbracket & & & & Y \\ \langle \text{id}, \llbracket M \rrbracket \rangle \downarrow & & & & \uparrow \theta \\ \llbracket \Gamma \rrbracket \times T\mathbb{B} & \xrightarrow{t_{\llbracket \Gamma \rrbracket, \mathbb{B}}} & T(\llbracket \Gamma \rrbracket \times \mathbb{B}) & \xrightarrow{T[\llbracket N \rrbracket, \llbracket N' \rrbracket]} & TY \end{array}$$

Soundness of algebra semantics for CBN

Errors

- If $M \Downarrow T : B$ then $\llbracket M \rrbracket_{\varepsilon} = \llbracket T \rrbracket_{\varepsilon}$
- If $M \not\Downarrow e : B$ then $\llbracket M \rrbracket_{\varepsilon} = \text{error } e$ where $\llbracket B \rrbracket = (X, \text{error})$

Printing

- If $M \Downarrow m, T : B$ then $\llbracket M \rrbracket_{\varepsilon} = m ** (\llbracket T \rrbracket_{\varepsilon})$ where $\llbracket B \rrbracket = (X, *)$

Straightforward inductive proofs using the substitution lemma.

We have a denotational semantics for errors and printing for CBV and CBN, and shown their correctness.

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Summary

We have a denotational semantics for errors and printing for CBV and CBN, and shown their correctness.

These are instances of a general recipe using a monad T on **Set** and its algebras.

A CBV type denotes a set; a CBN type denotes a T -algebra.

They are fundamentally different things.

Semantics of Types, Again

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Our CBN semantics of types can be written

$$\begin{aligned}\llbracket \text{bool} \rrbracket &= F^T(1 + 1) \\ \llbracket A + B \rrbracket &= F^T(U^T \llbracket A \rrbracket + U^T \llbracket B \rrbracket) \\ \llbracket A \rightarrow B \rrbracket &= U^T \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket\end{aligned}$$

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Call-By-Push-Value Types

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value type $A ::= U\underline{B} \mid 1 \mid A \times A \mid 0 \mid A + A \mid \sum_{i \in \mathbb{N}} A_i$

computation type $\underline{B} ::= FA \mid A \rightarrow \underline{B} \mid 1_\Pi \mid \underline{B} \amalg \underline{B} \mid \prod_{i \in \mathbb{N}} \underline{B}_i$

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Strangely function types are computation types, and $\lambda x.M$ is a computation.

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Judgement for a value: $\Gamma \vdash^v V : A$

Judgement for a computation: $\Gamma \vdash^c M : \underline{B}$

- A value $\Gamma \vdash^v V : A$ denotes a function $\llbracket \Gamma \rrbracket \xrightarrow{\llbracket V \rrbracket} \llbracket A \rrbracket$
- If \underline{B} denotes (X, θ) , then a computation $\Gamma \vdash^c M : \underline{B}$ denotes a function $\llbracket \Gamma \rrbracket \xrightarrow{\llbracket M \rrbracket} X$.

Note From the viewpoint of monad/algebra semantics, there is no difference between a computation $\Gamma \vdash^c M : \underline{B}$ and a value $\Gamma \vdash^v V : U\underline{B}$.

The type FA

A computation in FA **returns** a value in A .

$$\frac{\Gamma \vdash^v V : A}{\Gamma \vdash^c \text{return } V : FA}$$

$$\frac{\Gamma \vdash^c M : FA \quad \Gamma, x : A \vdash^c N : \underline{B}}{\Gamma \vdash^c M \text{ to } x. N : \underline{B}}$$

F and U

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$$\frac{\Gamma \vdash^v V : A}{\Gamma \vdash^c \text{return } V : FA} \qquad \frac{\Gamma \vdash^c M : FA \quad \Gamma, x : A \vdash^c N : \underline{B}}{\Gamma \vdash^c M \text{ to } x. N : \underline{B}}$$

The denotation of $M \text{ to } x. N$ uses the structure of $\llbracket \underline{B} \rrbracket$.

The type UB

A value in UB is a **thunk** of a computation in \underline{B} .

$$\frac{\Gamma \vdash^c M : \underline{B}}{\Gamma \vdash^v \text{thunk } M : UB} \qquad \frac{\Gamma \vdash^v V : UB}{\Gamma \vdash^c \text{force } V : \underline{B}}$$

The constructs **thunk** and **force** are inverse.

They are **invisible** in monad/algebra semantics.

An identifier is a value.

$$\frac{}{\Gamma \vdash^v \mathbf{x} : A} (\mathbf{x} : A) \in \Gamma$$

$$\frac{\Gamma \vdash^v V : A \quad \Gamma, \mathbf{x} : A \vdash^c M : \underline{B}}{\Gamma \vdash^c \mathbf{let} \ V \ \mathbf{be} \ \mathbf{x}. \ M : \underline{B}}$$

We write **let** to bind an identifier.

$$\frac{\Gamma \vdash^v V : A_{\hat{i}}}{\Gamma \vdash^v \langle \hat{i}, V \rangle : \sum_{i \in I} A_i} \quad \hat{i} \in I$$

$$\frac{\Gamma \vdash^v V : \sum_{i \in I} A_i \quad \Gamma, \mathbf{x} : A_i \vdash^c M_i : \underline{B} \quad (\forall i \in I)}{\Gamma \vdash^c \text{match } V \text{ as } \{\langle i, \mathbf{x} \rangle. M_i\}_{i \in I} : \underline{B}}$$

$$\frac{\Gamma \vdash^v V : A \quad \Gamma \vdash^v V' : A'}{\Gamma \vdash^v \langle V, V' \rangle : A \times A'}$$

$$\frac{\Gamma \vdash^v V : A \times A' \quad \Gamma, \mathbf{x} : A, \mathbf{y} : A' \vdash^c M : \underline{B}}{\Gamma \vdash^c \text{match } V \text{ as } \langle \mathbf{x}, \mathbf{y} \rangle. M : \underline{B}}$$

The rules for 1 are similar.

$$\frac{\Gamma, \mathbf{x} : A \vdash^c \textcolor{red}{M} : \underline{B}}{\Gamma \vdash^c \textcolor{red}{\lambda \mathbf{x}.M} : A \rightarrow \underline{B}}$$

$$\frac{\Gamma \vdash^c \textcolor{red}{M} : A \rightarrow \underline{B} \quad \Gamma \vdash^v \textcolor{red}{V} : A}{\Gamma \vdash^c \textcolor{red}{MV} : \underline{B}}$$

$$\frac{\Gamma \vdash^c \textcolor{red}{M}_i : \underline{B}_i \quad (\forall i \in I)}{\Gamma \vdash^c \textcolor{red}{\lambda \{i.M_i\}_{i \in I}} : \prod_{i \in I} \underline{B}_i}$$

$$\frac{\Gamma \vdash^c \textcolor{red}{M} : \prod_{i \in I} \underline{B}_i}{\Gamma \vdash^c \textcolor{red}{M\hat{i}} : \underline{B}_{\hat{i}}} \quad \hat{i} \in I$$

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$$\frac{\Gamma \vdash^c \textcolor{red}{M}_i : \underline{B}_i \quad (\forall i \in I)}{\Gamma \vdash^c \textcolor{red}{\lambda}\{i.\textcolor{red}{M}_i\}_{i \in I} : \prod_{i \in I} \underline{B}_i}$$

$$\frac{\Gamma \vdash^c \textcolor{red}{M} : \prod_{i \in I} \underline{B}_i}{\Gamma \vdash^c \textcolor{red}{M}\hat{i} : \underline{B}_{\hat{i}}} \quad \hat{i} \in I$$

It is often convenient to write applications operand-first, as $\textcolor{red}{V}'\textcolor{red}{M}$ and $\hat{i}'\textcolor{red}{M}$.

Interpreter

The terminals are **computations**:

$$\text{return } V \quad \lambda x.M \quad \lambda\{i.M_i\}_{i \in I}$$

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To evaluate

- **return** V : return **return** V .
- M **to** x . N : evaluate M . If it returns **return** V , then evaluate $N[V/x]$.
- $\lambda x.N$: return $\lambda x.N$.
- MV : evaluate M . If it returns $\lambda x.N$, evaluate $N[V/x]$.
- $\lambda\{i.N_i\}_{i \in I}$: return $\lambda\{i.N_i\}_{i \in I}$.
- $M\hat{i}$: evaluate M . If it returns $\lambda\{i.N_i\}_{i \in I}$, evaluate $N_{\hat{i}}$.
- **let** V **be** x . M : evaluate $M[V/x]$.
- **force** **thunk** M : evaluate M .
- **match** $\langle \hat{i}, V \rangle$ **as** $\{\langle i, x \rangle.M_i\}_{i \in I}$: evaluate $M_{\hat{i}}[V/x]$.
- **match** $\langle V, V' \rangle$ **as** $\langle x, y \rangle.M$: evaluate $M[V/x, V'/y]$.

Decomposing CBV into CBPV

A CBV type translates into a value type.

$$A \rightarrow B \mapsto U(A \rightarrow FB)$$

A CBV term $x : A, y : B \vdash M : C$ translates as $x : A, y : B \vdash^c M : FC$.

$$\begin{array}{lll} x & \mapsto & \text{return } x \\ \lambda x. M & \mapsto & \text{return thunk } \lambda x. M \\ M \ N & \mapsto & M \text{ to f. } N \text{ to y. } ((\text{force f}) \ y) \\ \text{let } M \text{ be } x. N & \mapsto & M \text{ to y. let } y \text{ be } x. N \end{array}$$

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Decomposing CBN into CBPV

A CBN type translates into a computation type.

$$\begin{aligned}\text{bool} &\mapsto F(1 + 1) \\ \underline{A} + \underline{B} &\mapsto F(U\underline{A} + U\underline{B}) \\ \underline{A} \rightarrow \underline{B} &\mapsto U\underline{A} \rightarrow \underline{B}\end{aligned}$$

A CBN term $x : \underline{A}, y : \underline{B} \vdash M : \underline{C}$ translates as $x : U\underline{A}, y : U\underline{B} \vdash^c M : \underline{C}$.

$$\begin{aligned}x &\mapsto \text{force } x \\ \text{let } M \text{ be } x. N &\mapsto \text{let } (\text{thunk } M) \text{ be } x. N \\ \lambda x. M &\mapsto \lambda x. M \\ M N &\mapsto M (\text{thunk } N) \\ \text{inl } M &\mapsto \text{return inl thunk } M\end{aligned}$$

Summary

We've seen the call-by-push-value calculus, its operational and monad/algebra semantics.

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We've seen the call-by-push-value calculus, its operational and monad/algebra semantics.

The translations from CBV and CBN into CBPV preserve these semantics.

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But

- the monad/algebra semantics makes `thunk` and `force` invisible
- we still don't understand why a function is a “computation”.

- Landin's ISWIM: CBV λ -calculus with effects. Influenced ML and other languages.
- Plotkin: λ_v -calculus provided equations for CBV with divergence.
- Numerous researchers: CPS transforms for CBV.
- Felleisen *et al*: CBV semantics for various effects.
- Moggi: λ_c -calculus, monads for CBV and monadic metalanguage.
- Power and Robinson: Freyd categories for CBV.
- Benton and Kennedy: MIL-lite.
- Thielecke: **thunk** and **force** constructs in CBV with `callcc`.

Antecedents: CBN without η -law for functions

- Plotkin: CPS transform for CBN without η -law.
- Abramsky and Ong: untyped lazy λ -calculus.
- Ong: typed lazy λ -calculus.
- Moggi: monads for CBN without η -law.

Antecedents: CBN with η -law for functions

- Plotkin's PCF, a CBN calculus for recursion.
- Hennessy and Ashcroft: recursion and nondeterminism.
- O'Hearn: semantics of conditional in Reynolds' Idealized Algol.
- Streicher and Reus: semantics of control effects in CBN.
- $\neg\neg$ translations of classical logic. Also CBV.
- Game semantics. Also CBV.

Calculi combining CBV and CBN

- Various calculi based on CPS.
- Filinski's Effect-PCF provided the CBPV **to** construct. **U is implicit.**
- Howard's $\lambda^{\mu\nu\perp}$ calculus for recursion. **U is implicit.**
- Egger, Møgelberg and Simpson's Effect Calculus emphasizes connection to Benton's Linear/Nonlinear Logic. **U is implicit.**
- Marz' SFPL for recursion and sequentiality. **F is implicit.**
- Nygaard and Winskel's HOPLA for recursion and nondeterminism. **F is implicit.**
- Laurent's LLP has extra type constructors not included in CBPV.
- Harper, Licata and Zeilberger's Polarized Intuitionistic Logic.

An operational semantics due to Felleisen and Friedman (1986).

And Landin, Krivine, Streicher and Reus, Bierman, Pitts, ...

It is suitable for **sequential** languages whether CBV, CBN or CBPV.

At any time, there's a **computation** (C) and a **stack of contexts** (K).

Initially, K is empty.

Some authors make K into a single context, called an “evaluation context”.

Transitions for sequencing

To evaluate M **to** x . N : evaluate M . If it returns **return** V , then evaluate $N[V/x]$.

M to x . N	K	\rightsquigarrow
M	to x . $N :: K$	

return V	to x . $N :: K$	\rightsquigarrow
$N[V/x]$	K	

Transitions for application

To evaluate $V'M$: evaluate M . If it returns $\lambda x.N$, evaluate $N[V/x]$.

$V'M$	K	\rightsquigarrow
M	$V :: K$	

$\lambda x.N$	$V :: K$	\rightsquigarrow
$N[V/x]$	K	

Those function rules again

$V \dot{=} M$	K	\rightsquigarrow
M	$V :: K$	

$\lambda \mathbf{x}. N$	$V :: K$	\rightsquigarrow
$N[V/\mathbf{x}]$	K	

Those function rules again

$$\boxed{\begin{array}{ccc} V' M & K & \rightsquigarrow \\ M & V :: K & \end{array}}$$

$$\boxed{\begin{array}{ccc} \lambda x. N & V :: K & \rightsquigarrow \\ N[V/x] & K & \end{array}}$$

We can read V' as an instruction “push V ”.

We can read λx as an instruction “pop x ”.

Those function rules again

$V' M$	K	\rightsquigarrow
M	$V :: K$	

$\lambda x. N$	$V :: K$	\rightsquigarrow
$N[V/x]$	K	

We can read V' as an instruction “push V ”.

We can read λx as an instruction “pop x ”.

Revisiting some equations:

$$\begin{aligned} V' \lambda x. M &= M[V/x] \\ M &= \lambda x. x' M && (x \text{ fresh}) \\ \lambda x. \text{error } e &= \text{error } e \\ \lambda x. \text{print } c. M &= \text{print } c. \lambda x. M \end{aligned}$$

Values and Computations

A value **is**, a computation **does**.

- A value of type \underline{UB} **is** a thunk of a computation of type \underline{B} .
- A value of type $\sum_{i \in I} A_i$ **is** a pair $\langle i, V \rangle$.
- A value of type $A \times A'$ **is** a pair $\langle V, V' \rangle$.
- A computation of type FA **returns** a value of type A .
- A computation of type $A \rightarrow \underline{B}$
 pops a value of type A
 then **behaves** in \underline{B} .
- A computation of type $\prod_{i \in I} \underline{B}_i$
 pops a tag $i \in I$
 then **behaves** in \underline{B}_i .

What's in a stack?

A stack consists of

- **arguments** that are values
- **arguments** that are tags
- **frames** taking the form `to x. N`.

Example program of type $F\text{ nat}$

```
print "hello0".
let 3 be x.
let thunk (
    print "hello1".
    λz.
    print "we just popped "z.
    return x + z
) be y.
print "hello2".
( print "hello3".
  7'
  print "we just pushed 7".
  force y
) to w.
print "w is bound to " + w.
return w + 5
```

Typing the CK-machine

Typing the CK-machine

Initial configuration to evaluate $\Gamma \vdash^c P : \underline{C}$

Γ	P	\underline{C}	nil	\underline{C}
----------	-----	-----------------	-----	-----------------

Transitions

Γ	$M \text{ to x. } N$	\underline{B}	K	\underline{C}	\rightsquigarrow
Γ	M	FA	$\text{to x. } N :: K$	\underline{C}	

Γ	$\text{return } V$	FA	$\text{to x. } N :: K$	\underline{C}	\rightsquigarrow
Γ	$N[V/x]$	\underline{B}	K	\underline{C}	

Typically Γ would be empty and $\underline{C} = F \text{ bool}$.

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Γ	$\text{return } V$	FA	$\text{to x. } N :: K$	\underline{C}	\rightsquigarrow
Γ	$N[V/x]$	\underline{B}	K	\underline{C}	

Typically Γ would be empty and $\underline{C} = F \text{ bool}$. We write $\Gamma \mid \underline{B} \vdash^k K : \underline{C}$ to mean that K can accompany a computation of type \underline{B} during evaluation.

Typing rules, read off from the CK-machine

Typing a stack

$$\frac{}{\Gamma \mid \underline{C} \vdash^k \text{nil} : \underline{C}}$$

$$\frac{\Gamma \mid \underline{B}_{\hat{i}} \vdash^k K : \underline{C}}{\Gamma \mid \prod_{i \in I} \underline{B}_i \vdash^k \hat{i} :: K : \underline{C}} \quad \hat{i} \in I$$

$$\frac{\Gamma, x : A \vdash^c M : \underline{B} \quad \Gamma \mid \underline{B} \vdash^k K : \underline{C}}{\Gamma \mid FA \vdash^k \text{to } x. M :: K : \underline{C}}$$

$$\frac{\Gamma \vdash^v V : A \quad \Gamma \mid \underline{B} \vdash^k K : \underline{C}}{\Gamma \mid A \rightarrow \underline{B} \vdash^k V :: K : \underline{C}}$$

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$$\frac{\Gamma \vdash^v V : A \quad \Gamma \mid \underline{B} \vdash^k K : \underline{C}}{\Gamma \mid A \rightarrow \underline{B} \vdash^k V :: K : \underline{C}}$$

Typing a CK-configuration

$$\frac{\Gamma \vdash^c M : \underline{B} \quad \Gamma \mid \underline{B} \vdash^k K : \underline{C}}{\Gamma \vdash^{\text{ck}} (M, K) : \underline{C}}$$

Continuations

A **continuation** is a stack from an F type. For example:

$$\Gamma \mid FA \vdash^k \text{to } x. M :: K : \underline{C}$$

It describes what happens next once it receives a value.

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Top-Level Stack

The **top-level stack** is

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and \underline{C} is the **top-level type**.

If \underline{C} is an F type, then `nil` is the **top-level continuation**: it receives a value and returns it to the user.

Denotational semantics of stacks

Suppose $\llbracket \underline{C} \rrbracket = (Y, \phi)$. The behaviour of $\Gamma \vdash^{\text{ck}} (M, K) : \underline{C}$ depends on the environment:

$$\llbracket \Gamma \rrbracket \xrightarrow{\llbracket (M, K) \rrbracket} Y$$

to be preserved by each transition.

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Suppose also $\llbracket \underline{B} \rrbracket = (X, \theta)$. A stack $\Gamma \mid \underline{B} \vdash^k K : \underline{C}$ transforms computations to CK-configurations. So we get a function

$$\llbracket \Gamma \rrbracket \times X \xrightarrow{\llbracket K \rrbracket} Y$$

homomorphic in its second argument.

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because if M raises an error or prints, then so does M, K .

We assume there's no exception handling.

Adjunction between values and stacks

We have an adjunction between the category of values (sets and functions) and the category of stacks (T -algebras and homomorphisms).

$$\mathbf{Set} \begin{array}{c} \xrightarrow{F^T} \\ \xleftarrow[U^T]{\perp} \end{array} \mathbf{Set}^T$$

This resolves the monad T on \mathbf{Set} .

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 l stores a natural number, and l' stores a boolean.

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$$\frac{\Gamma \vdash^c M : \underline{B}}{\Gamma \vdash^c l := n. M : \underline{B}} \quad n \in \mathbb{N}$$

$$\frac{\Gamma \vdash^c M_n : \underline{B} \quad (\forall n \in \mathbb{N})}{\Gamma \vdash^c \text{read } l \text{ as } \{n. M_n\}_{n \in \mathbb{N}} : \underline{B}}$$

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A state is $l \mapsto n, l' \mapsto b$.

The set of states is $S \cong \mathbb{N} \times \mathbb{B}$.

Big-step semantics for state

The big-step semantics takes the form $s, M \Downarrow s', T$.

A pair s, M is called an **SC-configuration**.

We can type these using

$$\frac{\Gamma \vdash^c M : \underline{B}}{\Gamma \vdash^{\text{sc}} (s, M) : \underline{B}} \quad s \in S$$

Moggi's monad for global state is $S \rightarrow (S \times -)$.

We can take algebras for this and obtain a denotational semantics of CBPV with state.

Monad/algebra semantics for state

Moggi's monad for global state is $S \rightarrow (S \times -)$.

We can take algebras for this and obtain a denotational semantics of CBPV with state.

But it doesn't fit well with SC-configurations.

We'd like a soundness result of the following form:

$$\text{If } s, M \Downarrow s', T \text{ then } \llbracket s, M \rrbracket_{\varepsilon} = \llbracket s', T \rrbracket_{\varepsilon}$$

This requires an SC-configuration to have a denotation.

Semantics of SC-configurations

Value type A denotes the set of denotations of values of type A . Like in monad semantics.

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Semantics of SC-configurations

Value type A denotes the set of **denotations of** values of type A . **Like in monad semantics.**

Computation type $\llbracket \underline{B} \rrbracket$ denotes the set of behaviours of configurations of type \underline{B} .

The behaviour of an SC-configuration $\Gamma \vdash^{\text{sc}} (s, M) : \underline{B}$ depends on the environment:

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The behaviour of a computation $\Gamma \vdash^c M : \underline{B}$ depends on the state and environment:

$$S \times \llbracket \Gamma \rrbracket \xrightarrow{\llbracket M \rrbracket} \llbracket \underline{B} \rrbracket$$

State: semantics of types

An SC-configuration of type FA will terminate as $s, \text{return } V$.

$$\llbracket FA \rrbracket = S \times \llbracket A \rrbracket$$

An SC-configuration of type $A \rightarrow \underline{B}$ will pop $x : A$, then behave in \underline{B} .

$$\llbracket A \rightarrow \underline{B} \rrbracket = \llbracket A \rrbracket \rightarrow \llbracket \underline{B} \rrbracket$$

An SC-configuration of type $\prod_{i \in I} \underline{B}_i$ will pop $i \in I$, then behave in \underline{B}_i .

$$\llbracket \prod_{i \in I} \underline{B}_i \rrbracket = \prod_{i \in I} \llbracket \underline{B}_i \rrbracket$$

A value $\Gamma \vdash^v V : U\underline{B}$ can be forced in any state s , giving an SC-configuration $s, \text{force } V$.

$$\llbracket U\underline{B} \rrbracket = S \rightarrow \llbracket \underline{B} \rrbracket$$

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$$\llbracket U\underline{B} \rrbracket = S \rightarrow \llbracket \underline{B} \rrbracket$$

We recover standard semantics for CBV,
and O'Hearn's semantics for CBN.

The SCK-machine

We replace \vdash^{ck} with \vdash^{sck} .

$$\frac{\Gamma \vdash^{\text{c}} \textcolor{red}{M} : \underline{B} \quad \Gamma \mid \underline{B} \vdash^{\text{k}} \textcolor{red}{K} : \underline{C}}{\Gamma \vdash^{\text{sck}} (\textcolor{red}{s}, \textcolor{red}{M}, \textcolor{red}{K}) : \underline{C}} \textcolor{red}{s} \in S$$

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$$\frac{\Gamma \vdash^{\text{c}} \textcolor{red}{M} : \underline{B} \quad \Gamma \mid \underline{B} \vdash^{\text{k}} \textcolor{red}{K} : \underline{C}}{\Gamma \vdash^{\text{sck}} (\textcolor{red}{s}, \textcolor{red}{M}, \textcolor{red}{K}) : \underline{C}} \textcolor{red}{s} \in S$$

The behaviour of an SCK-configuration $\Gamma \vdash^{\text{sck}} (s, M, K) : \underline{C}$ depends on the environment:

$$\llbracket \Gamma \rrbracket \xrightarrow{\llbracket (s, M, K) \rrbracket} \llbracket \underline{C} \rrbracket$$

to be preserved by each transition.

The SCK-machine

We replace \vdash^{ck} with \vdash^{sck} .

$$\frac{\Gamma \vdash^{\text{c}} M : \underline{B} \quad \Gamma \mid \underline{B} \vdash^{\text{k}} K : \underline{C}}{\Gamma \vdash^{\text{sck}} (s, M, K) : \underline{C}} \quad s \in S$$

The behaviour of an SCK-configuration $\Gamma \vdash^{\text{sck}} (s, M, K) : \underline{C}$ depends on the environment:

$$[\![\Gamma]\!] \xrightarrow{[(s, M, K)]} [\![C]\!]$$

to be preserved by each transition.

A stack $\Gamma \mid \underline{B} \vdash^{\text{k}} K : \underline{C}$ transforms SC-configuration behaviours to SCK-configuration behaviours:

$$[\![\Gamma]\!] \times [\![\underline{B}]\!] \xrightarrow{[K]} [\![C]\!]$$

State: the value/stack adjunction

We've seen that a stack $| \underline{B} \vdash^k K : \underline{C}$ denotes a function $\llbracket \underline{B} \rrbracket \xrightarrow{\llbracket K \rrbracket} \llbracket \underline{C} \rrbracket$.

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We have an adjunction

$$\mathbf{Set} \begin{array}{c} \xrightarrow{S \times -} \\ \perp \\ \xleftarrow{S \rightarrow -} \end{array} \mathbf{Set}$$

between values and stacks.

Catching and throwing a stack

We extend CBPV with Crolard's instructions for changing the stack.

- `catch α` means “let α be the current stack”.
- `throw K` means “change the current stack to K ”.

Γ	<code>catch α. M</code>	<u>B</u>	K	Δ	\rightsquigarrow
Γ	$M[K/\alpha]$	<u>B</u>	K	Δ	

Γ	<code>throw K. M</code>	<u>B'</u>	K'	Δ	\rightsquigarrow
Γ	M	<u>B</u>	K	Δ	

Typing judgements for control

The **stack context** Δ consists of stack names $\alpha : \underline{B}$.

\vdash^n indicates “no top-level type”.

value	$\Gamma \vdash^v V : A \mid \Delta$	
computation	$\Gamma \vdash^c M : \underline{B} \mid \Delta$	
stack	$\Gamma \mid \underline{B} \vdash^{nk} K \mid \Delta$	$\Gamma \mid \underline{B} \vdash^k \alpha. K : \underline{C} \mid \Delta$
CK-configuration	$\Gamma \vdash^{nck} (M, K) \mid \Delta$	$\Gamma \vdash^{ck} \alpha. (M, K) : \underline{C} \mid \Delta$

Creating nil at start of evaluation

Initial configuration to evaluate $\Gamma \vdash^c P : \underline{C} \mid \Delta$

Γ	P	\underline{C}	nil	$\Delta, \text{nil} : \underline{C}$
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Typically Γ and Δ would be empty and $\underline{C} = F \text{ bool}$.

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Maybe we can use algebras for this to build a denotational semantics of control.

Semantics of control using stacks

Informally a computation type $\llbracket \underline{B} \rrbracket$ denotes the set of stacks from \underline{B} .

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The behaviour of a computation $\Gamma \vdash^c M : \underline{B} \mid \Delta$ depends on the environment, stack environment and current stack:

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A value $\Gamma \vdash^v V : A \mid \Delta$ denotes

$$\llbracket \Gamma \rrbracket \times \llbracket \Delta \rrbracket \xrightarrow{\llbracket V \rrbracket} \llbracket A \rrbracket$$

A stack $\Gamma \mid \underline{B} \vdash^{\text{nk}} K \mid \Delta$ denotes

$$\llbracket \Gamma \rrbracket \times \llbracket \Delta \rrbracket \xrightarrow{\llbracket K \rrbracket} \llbracket \underline{B} \rrbracket$$

A CK-configuration $\Gamma \vdash^{\text{nk}} \text{nil}.(M, K) : \Delta$ denotes

$$\llbracket \Gamma \rrbracket \times \llbracket \Delta \rrbracket \xrightarrow{\llbracket (M, K) \rrbracket} R$$

to be preserved by each transition.

Control: semantics of types

A stack from FA receives a value $x : A$ and then behaves as a configuration.

$$\llbracket FA \rrbracket = \llbracket A \rrbracket \rightarrow R$$

A stack from $A \rightarrow \underline{B}$ is a pair $V :: K$.

$$\llbracket A \rightarrow \underline{B} \rrbracket = \llbracket A \rrbracket \times \llbracket \underline{B} \rrbracket$$

A stack from $\prod_{i \in I} \underline{B}_i$ is a pair $i :: K$.

$$\llbracket \prod_{i \in I} \underline{B}_i \rrbracket = \sum_{i \in I} \llbracket \underline{B}_i \rrbracket$$

A value of type $U\underline{B}$ can be forced alongside any stack K , giving a configuration.

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We recover standard continuation semantics for CBV, and Streicher and Reus' semantics for CBN.

Control: the value/stack adjunction

A stack with top-level type

$$\Gamma \mid \underline{B} \vdash^k \alpha. K : \underline{C} \mid \Delta$$

denotes a function

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So we have an adjunction

$$\mathbf{Set} \begin{array}{c} \xrightarrow{\quad \dashv_R \quad} \\ \perp \\ \xleftarrow{\quad \dashv_R \quad} \end{array} \mathbf{Set}^{\text{op}}$$

between values and stacks with top-level type.

Summary of models

For every monad T on **Set** we have an adjunction

$$\mathbf{Set} \begin{array}{c} \xrightarrow{F^T} \\ \xleftarrow[U^T]{\perp} \end{array} \mathbf{Set}^T$$

This is useful for modelling CBPV with errors and printing.

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