Deciding whether an Attributed Translation can be realized by a Top-Down Transducer

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Abstract. We prove that for a given partial functional attributed tree transducer with monadic output, it is decidable whether or not an equivalent top-down transducer (with or without look-ahead) exists. We present a procedure that constructs an equivalent top-down transducer (with or without look-ahead) if it exists.

1 Introduction

It is well known that two-way (string) transducers are strictly more expressive than one-way (string) transducers. For instance, a two-way transducer can compute the reverse of an input string, which cannot be achieved by any one-way transducer. For a given (functional) two-way transducer, it is a natural question to ask: Can its translation be realized by a one-way transducer? This question was recently shown to be decidable [8], see also [1]. Decision procedures of this kind have several advantages; for instance, the smaller class of transducers may be more efficient to evaluate (i.e., may use less resources), or the smaller class may enjoy better closure properties than the larger class.

One possible pair of respective counterparts of two-way (string) transducers and one-way (string) transducers in the context of trees are attributed tree transducers and top-down tree transducers. As the name suggests, states of the latter process an input tree strictly in a top-down fashion while the former can, analogously to two-way transducers, change direction as well. As for their string counterparts, attributed tree transducers are strictly more expressive than top-down tree transducers [10]. Hence, for a (functional) attributed tree transducer, it is a natural question to ask: Can its translation be realized by a deterministic top-down transducer?

In this paper, we address this problem for a subclass of attributed tree transducers. In particular, we consider attributed tree transducer with monadic output meaning that all output trees that the transducer produces are monadic, i.e., "strings". We show that the question whether or not for a given attributed tree transducer A with monadic output an equivalent top-down transducer (with or without look-ahead) T exists can be reduced to the question whether or not a given two-way transducer can be defined by a one-way transducer.

First, we test whether A can be equipped with look-ahead so that A has the $single-path\ property$, which means that attributes of A only process a single

input path. Intuitively, this means that given an input tree, attributes of A only process nodes occurring in a node sequence v_1, \ldots, v_n where v_i is the parent of v_{i+1} while equipping A with look-ahead means that input trees of A are preprocessed by a deterministic bottom-up relabeling. The single-path property is not surprising given that if A is equivalent to some top-down tree transducer T(with look-ahead) then states of T process the input tree in exactly that fashion. Assume that A extended with look-ahead satisfies this condition. We then show that A can essentially be converted into a two-way (string) transducer. We now apply the procedure of [1]. It can be shown that the procedure of [1] yields a one-way (string) transducer if and only if a (nondeterministic) top-down tree transducer T exists which uses the same look-ahead as A. We show that once we have obtained a one-way transducer T_O equivalent to the two-way transducer converted from A, we can compute T from T_O , thus obtaining our result. It is well-known that for a functional top-down tree transducer (with look-ahead) an equivalent deterministic top-down tree transducer with look-ahead can be constructed [5]. Note that for the latter kind of transducer, it can be decided whether or not an equivalent transducer without look-ahead exists [12] (this is because transducers with monadic output are linear by default).

We show that the above results are also obtainable for attributed tree transducers with look-around. This model was introduced by Bloem and Engelfriet [2] due to its better closure properties. For this we generalize the result from [5], and show that every functional partial attributed tree transducer (with look-around) is equivalent to a deterministic attributed tree transducer with look-around.

Note that in the presence of origin, it is well known that even for (non-deterministic) macro tree transducers (which are strictly more expressive than attributed tree transducers) it is decidable whether or not an origin-equivalent deterministic top-down tree transducer with look-ahead exists [9]. In the absence of origin, the only definability result for attributed transducers that we are aware of is, that it is decidable for such transducers (and even for macro tree transducers) whether or not they are of linear size increase [7]; and if so an equivalent single-use restricted attributed tree transducer can be constructed (see [6]).

2 Attributed Tree Transducers

For $k \in \mathbb{N}$, we denote by [k] the set $\{1,\ldots,k\}$. Let $\Sigma = \{e_1^{k_1},\ldots,e_n^{k_n}\}$ be a ranked alphabet, where $e_j^{k_j}$ means that the symbol e_j has rank k_j . By Σ_k we denote the set of all symbols of Σ which have rank k. The set T_{Σ} of trees over Σ consists of all strings of the form $a(t_1,\ldots,t_k)$, where $a \in \Sigma_k, k \geq 0$, and $t_1,\ldots,t_k \in T_{\Sigma}$. Instead of a() we simply write a. For a tree $t \in T_{\Sigma}$, its nodes are referred to as follows: We denote by ϵ the root of ϵ while ϵ u. denotes the ϵ -th child of the node ϵ . Denote by ϵ the root of ϵ of nodes of ϵ , e.g. for the tree ϵ the root of ϵ the subtree of ϵ tooted at ϵ and ϵ for ϵ the subtree of ϵ tooted at ϵ and ϵ for ϵ the root of ϵ the root of ϵ the subtree of ϵ tooted at ϵ and ϵ for ϵ the root of ϵ the root of ϵ the subtree of ϵ tooted at ϵ and ϵ for ϵ the root of ϵ

A (partial deterministic) attributed tree transducer (or att for short) is a tuple $A = (S, I, \Sigma, \Delta, a_0, R)$ where S and I are disjoint sets of synthesized attributes and inherited attributes, respectively. The sets Σ and Δ are ranked alphabets of input and output symbols, respectively. We denote by $a_0 \in S$ the initial attribute and define that $R = (R_{\sigma} \mid \sigma \in \Sigma \cup \{\#\})$ is a collection of finite sets of rules. We implicitly assume att's to include a unique symbol $\# \notin \Sigma$ of rank 1, the so-called root marker, that only occurs at the root of a tree. Let $\sigma \in \Sigma \cup \{\#\}$ be of rank $k \geq 0$. Let π be a variable for nodes for which we define $\pi 0 = \pi$. For every $a \in S$ the set R_{σ} contains at most one rule of the form $a(\pi) \to t$ and for every $b \in I$ and $i \in [k]$, R_{σ} contains at most one rule of the from $b(\pi i) \to t'$ where $t, t' \in T_{\Delta}[\{a'(\pi i) \mid a' \in S, i \in [k]\} \cup \{b(\pi) \mid b \in I\}]$. The right-hand sides t, t' are denoted by $\operatorname{rhs}_A(\sigma, a(\pi))$ and $\operatorname{rhs}_A(\sigma, b(\pi i))$, respectively, if they exist. If $I = \emptyset$ then we call A a deterministic top-down tree transducer (or simply a dt). In this case, we call S a set of states instead of attributes.

To define the semantics of the att A, we first define the dependency graph of A for the tree $s \in T_{\Sigma}$ as $D_A(s) = (V, E)$ where $V = \{(a_0, \epsilon)\} \cup ((S \cup I) \times (V(\#(s))) \setminus (S \cup I) \times (V(\#(s))) \times (V$ $\{\epsilon\}$)) and $E = \{((\gamma', uj), (\gamma, ui)) \mid u \in V(s), \gamma'(\pi j) \text{ occurs in } \mathrm{rhs}_A(s[u], \gamma(\pi i)),$ with $0 \le i, j$ and $\gamma, \gamma' \in S \cup I$. If $D_A(s)$ contains a cycle for some $s \in T_{\Sigma}$ then A is called *circular*. We define v0 = v for a node v. For a given tree $s \in T_{\Sigma} \cup \{\#(s') \mid$ $s' \in T_{\Sigma}$, let $N = \{a_0(\epsilon)\} \cup \{\alpha(v) \mid \alpha \in S \cup I, v \in V(s) \setminus \{\epsilon\}\}$. For trees $t, t' \in T_{\Delta}[N], t \Rightarrow_{A,s} t'$ holds if t' is obtained from t by replacing a node labeled by $\gamma(vi)$, where i=0 if $\gamma \in S$ and i>0 if $\gamma \in I$, by $\mathrm{rhs}_A(s[v],\gamma(\pi i))[\gamma'(\pi j) \leftarrow$ $\gamma'(vj) \mid \gamma' \in S \cup I, 0 \leq j$. If A is non-circular, then every $t \in T_{\Delta}[N]$ has a unique normal form with respect to $\Rightarrow_{A,s}$ denoted by $\operatorname{nf}(\Rightarrow_{A,s},t)$. The translation realized by A, denoted by τ_A , is the set $\{(s, \text{nf}(\Rightarrow_{A,\#(s)}, a_0(\epsilon))) \in T_{\Sigma} \times T_{\Delta}\}$. As A is deterministic, τ_A is a partial function. Thus we also write $\tau_A(s) = t$ if $(s,t) \in \tau_A$ and say that on input s, A produces the tree t. Denote by dom(A) the domain of A, i.e., the set of all $s \in T_{\Sigma}$ such that $(s,t) \in \tau_A$ for some $t \in T_{\Delta}$. Similarly, range (A) denotes the range of A, i.e., the set of all $t \in T_{\Delta}$ such that for some $s \in T_{\Sigma}$, $(s, t) \in \tau_A$.

Example 1. Consider the att $A_1 = (S, I, \Sigma, \Delta, a, R)$ where $\Sigma = \{f^2, e^0\}$ and $\Delta = \{g^1, e^0\}$. Let the set of attributes of A be given by $S = \{a\}$ and $I = \{b\}$. We define $R_f = \{a(\pi) \to a(\pi 1), b(\pi 1) \to a(\pi 2), b(\pi 2) \to b(\pi)\}$. Furthermore we define $R_e = \{a(\pi) \to g(b(\pi))\}$ and $R_\# = \{a(\pi) \to a(\pi 1), b(\pi 1) \to e\}$. The tree transformation realized by A_1 contains all pairs (s, t) such that if s has n leaves, then t is the tree over Δ that contains n occurrences of the symbol g. The domain of A is T_Σ and its range is $T_\Delta \setminus \{e\}$. The dependency graph of A_1 for the tree f(f(e, e), f(e, e)) is depicted in Figure 1. As usual, occurrences of inherited and synthesized attributes are placed to the left and right of nodes, respectively. If clear from context, names of attribute occurrences are omitted.

We emphasize that we always consider input trees to be trees over Σ . The root marker is a technical necessity. For instance, the translation of A_1 in Example 1 is not possible without it. It is well known that whether or not a given att A is circular can be tested by computing the *is-dependencies* of A [11]. Informally, the is-dependency of a tree s depicts the dependencies between inherited

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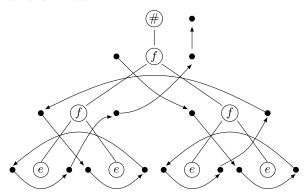


Fig. 1. Dependency Graph of the att in Example 1 for f(f(e,e), f(e,e)).

and synthesized attributes at the root of s. Formally, the is-dependency of s is the set $\mathrm{IS}_A(s) = \{(b,a) \in I \times S \mid a(1) \text{ is reachable from } b(1) \text{ in } D_A(s)\}$. As a dependency graph is a directed graph, we say for $v, v_1, v_2 \in V$, that v_1 is reachable from v_2 if (a) $v_1 = v_2$ or (b) v_1 is reachable from v_2 and v_1 is reachable from v_2 and v_1 is a usual. If v_1 is a sum of v_2 and the is-dependency of v_1 is known, then the is-dependency of v_2 can be easily computed in a bottom-up fashion using the rules of v_2 . In the the rest of the paper, we only consider non-circular v_1 is

We define an attributed tree transducer with look-ahead (or att^R) as a pair $\hat{A} = (B, A)$ where B is a deterministic bottom-up relabeling which preprocesses input trees for the att A. A (deterministic) bottom-up relabeling B is a tuple $(P, \Sigma, \Sigma', F, R)$ where P is the set of states, Σ , Σ' are ranked alphabets and $F \subseteq P$ is the set of final states. For $\sigma \in \Sigma$ and $p_1, \ldots, p_k \in P$, the set R contains at most one rule of the form $\sigma(p_1(x_1), \ldots, p_k(x_k)) \to p(\sigma'(x_1, \ldots, x_k))$ where $p \in P$ and $\sigma' \in \Sigma'$. These rules induce a derivation relation \Rightarrow_B in the obvious way. The translation realized by B is given by $\tau_B = \{(s,t) \in T_\Sigma \times T_\Delta \mid s \Rightarrow_B^* p(t), p \in F\}$. As τ_B is a partial function, we also write $\tau_B(s) = t$ if $(s,t) \in \tau_B$. The translation realized by \hat{A} is given by $\tau_{\hat{A}} = \{(s,t) \in T_\Sigma \times T_\Delta \mid t = \tau_A(\tau_B(s))\}$. We write $\tau_{\hat{A}}(s) = t$ if $(s,t) \in \tau_{\hat{A}}$ as usual. If A is a dt then \hat{A} is called a deterministic top-down transducer with look-ahead (or dt^R).

3 The Single Path Property

In the this section, we show that given an att with monadic output, it is decidable whether or not an equivalent dt^R exists. Monadic output means that output symbols are at most of rank 1. First consider the following definition. For an att A with initial attribute a_0 , an input tree s and $v \in V(s)$, we say that on input s, an attribute α of A processes the node v if (a_0, ϵ) is reachable from $(\alpha, 1.v)$ in $D_A(s)$. Recall that the dependency graph for s is defined on the tree #(s). Now, consider an arbitrary $dt^R \ \check{T} = (B', T')$ with monadic output. Then the behavior of T' is limited in a particular way: Let s be an input tree and s' be obtained from s via the relabeling B'. On input s', the states of T' only process the nodes

on a single path of s'. A path is a sequence of nodes v_1, \ldots, v_n such that v_i is the parent node of v_{i+1} . This property holds obviously as the output is monadic and hence at most one state occurs on the right-hand side of any rule of T'.

Using this property, we prove our claim. In the following, we fix an att $A = (S, I, \Sigma, \Delta, a_0, R)$ with monadic output and show that if a $dt^R T = (B', T')$ equivalent to A exists then we can equip A with look-ahead such that attributes of A become limited in the same way as states of T': They only process nodes of a single path of the input tree. Our proof makes use of the result of [1]. This result states that for a functional two-way transducer it is decidable whether or not an equivalent one way transducer exists. Such transducers are essentially attributed transducers and top-down transducers with monadic input and output, respectively. Functional means that the realized translation is a function. We show that A equipped with look-ahead so that attributes of A are limited as described earlier can be converted into a two-way transducer T_W . It can be shown that the procedure of [1] yields a one-way transducer T_O equivalent to T_W if and only if T exists. We then show that we can construct T from T_O .

Subsequently, we define the look-ahead with which we equip A. W.l.o.g. we assume that only right-hand sides of rules in $R_{\#}$ are ground (i.e., in T_{Δ}). Clearly any att can be converted so that it conforms to this requirement. Let $\alpha(\pi) \to \tau \in R_{\sigma}$ such that τ is ground. First, we remove this rule from R_{σ} . Then we introduce a new inherited attribute $\langle \tau \rangle$ and the rules $\alpha(\pi) \to \langle \tau \rangle(\pi) \in R_{\sigma}$, and $\langle \tau \rangle(\pi 1) \to \tau \in R_{\#}$. For all $\sigma' \in \Sigma_k$ and $j \in [k]$ we define $\langle \tau \rangle(\pi j) \to \langle \tau \rangle(\pi) \in R_{\sigma'}$. Let $s \in \text{dom}(A)$ and let $v \in V(s)$. We call $\psi \subseteq I \times S$ the visiting pair set at

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- on input s, the attribute a processes v and
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v on input s if $(b, a) \in \psi$ if and only if

 $-(b,a) \in \mathrm{IS}_A(s/v)$

Let ψ be the visiting pair set at v on input s. In the following, we denote by Ω_{ψ} the set consisting of all trees $s' \in T_{\Sigma}$ such that $\psi \subseteq \mathrm{IS}_A(s')$. Thus the set Ω_{ψ} contains all trees s' such that the visiting pair set at v on input $s[v \leftarrow s']$ is also ψ . If an arbitrary $a \in S$ exists such that $(b, a) \in \psi$ for some $b \in I$ and the range of a when translating trees in Ω_{ψ} is unbounded, i.e., if the cardinality of $\{\mathrm{nf}(\Rightarrow_{A,s'},a(\epsilon))\mid s'\in\Omega_{\psi}\}$ is unbounded, then we say that the variation of Ω_{ψ} is unbounded. If ψ is the visiting pair set at v on input s and the variation of Ω_{ψ} is unbounded then we also say that the variation at v on input s is unbounded. The variation plays a key role for proving our claim. In particular, the following property is derived from it: We say that s has the single path property if for all trees $s \in dom(A)$ a path s exists such that the variation at s is bounded whenever s does not occur in s. The following lemma states that the single path property is a necessary condition for the s to have an equivalent s.

Lemma 1. Let $s \in dom(A)$ and $v_1, v_2 \in V(s)$ such that v_1 and v_2 have the same parent node. If a dt^R T equivalent to A exists then the variation at either v_1 or v_2 on input s is bounded.

Proof. For simplicity assume that T is a dt. The proof for dt^R is obtained similarly. Assume that the variations at both v_1 and v_2 on input s is unbounded. As

T produces monadic output trees, on input s, only one of the nodes v_1 and v_2 is processed by a state of T. W.l.o.g. let ψ be the visiting pair set at v_1 on input s and assume that only v_2 is processed by a state of T. Then for all $s' \in \Omega_{\psi}$, T produces the same output on input $s[v_1 \leftarrow s']$. However, A does not produce the same output as the visiting pair set at v_1 on input $s[v_1 \leftarrow s']$ is ψ and the variation of Ω_{ψ} is unbounded contradicting the equivalence of T and A.

Example 2. Consider the att $A_2 = (S, I, \Sigma, \Delta, a, R)$ where $\Sigma = \{f^2, e^0, d^0\}$ and $\Delta = \{f^1, g^1, e^0, d^0\}$. The set of attributes are given by $S = \{a, a_e, a_d\}$ and $I = \{b_e, b_d, \langle e \rangle, \langle d \rangle\}$. In addition to $\langle e \rangle(\pi 1) \to \langle e \rangle(\pi)$ and $\langle d \rangle(\pi 1) \to \langle d \rangle(\pi)$, the set R_f contains the rules

$$\begin{array}{ll} a_d(\pi) \rightarrow f(a(\pi 1)) & b_d(\pi 1) \rightarrow a_d(\pi 1) & b_d(\pi 2) \rightarrow b_d(\pi) & a(\pi) \rightarrow a(\pi 2) \\ a_e(\pi) \rightarrow g(a(\pi 1)) & b_e(\pi 1) \rightarrow a_e(\pi 1) & b_e(\pi 2) \rightarrow b_e(\pi) \end{array}$$

while $R_{\#}$ contains in addition to $\langle e \rangle(\pi 1) \to e$ and $\langle d \rangle(\pi 1) \to d$ the rules

$$a(\pi) \to a(\pi 1)$$
 $b_e(\pi 1) \to a_e(\pi 1)$ $b_d(\pi 1) \to a_d(\pi 1)$.

Furthermore, we define $R_e = \{a(\pi) \to b_e(\pi), a_e(\pi) \to \langle e \rangle(\pi)\}$ and $R_d = \{a(\pi) \to b_d(\pi), a_d(\pi) \to \langle d \rangle(\pi)\}$. Let $s \in T_{\Sigma}$ and denote by n the length of the leftmost path of s. On input s, A outputs the tree t of height n such that if $v \in V(t)$ is not a leaf and the rightmost leaf of the subtree s/v is labeled by e then t[v] = g, otherwise t[v] = f. If v is a leaf then t[v] = s[v].

Clearly, the att A_2 in Example 2 is equivalent to a dt^R and A_2 has the single path property. In particular, it can be verified that the variations of all nodes that do not occur on the left-most path of the input tree are bounded. More precisely, if v does not occur on the leftmost path of the input tree then its visiting pair set is either $\psi_e = \{(b_e, a)\}$ or $\psi_d = \{(b_d, a)\}$. Thus, Ω_{ψ_e} consists of all trees in T_{Σ} whose rightmost leaf is labeled by e. For all such trees the attribute e yields the output e output e of the input tree and e is analogous.

In contrast, consider the att A_1 in Example 1. Recall that it translates an input tree s into a monadic tree t of height n+1 if s has n leaves. This translation is not realizable by any dt^R . This is reflected in the fact that the att of Example 1 does not have the single path property. In particular, consider s = f(f(e, e), f(e, e)). The visiting pair set at all nodes of s is $\psi = \{(a, b)\}$ (cf. Figure 1) and Ω_{ψ} is T_{Σ} . It can be verified that the variation of Ω_{ψ} is unbounded.

Recall that we aim to equip A with look-ahead for obtaining the att^R \hat{A} that has the following property: On input $s \in \text{dom}(A)$, attributes of A only process nodes of a single path of s. Before we show how to test whether or not A has the single path property, we describe how to construct \hat{A} . Denote by B the bottom-up relabeling of \hat{A} and let A' be A modified to process output trees produced by B. Let $s \in \text{dom}(A)$ and let s' be obtained from s via the relabeling B. The idea is that on input s', if attributes of A' process $v \in V(s')$ then the variation of v on input s with respect to A is unbounded. Note that obviously V(s) = V(s'). Clearly, if A has the single path property then attributes of A' only process nodes of a single path of s'.

Now the question is how precisely do we construct \hat{A} ? It can be shown that all $\psi \subseteq I \times S$ that are visiting pair sets of A can be computed. Let ψ be a visiting pair set. If the variation of Ω_{ψ} is bounded, then a minimal integer κ_{ψ} can be computed such that for all $a \in S$ such that $(b,a) \in \psi$ for some $b \in I$ and for all $s' \in \Omega_{\psi}$, height $(\text{nf}(\Rightarrow_{A,s'},a(\epsilon))) \leq \kappa_{\psi}$. Whether or not the variation of Ω_{ψ} is bounded can be decided as finiteness of ranges of att's is decidable [3]. Thus, $\kappa = \max\{\kappa_{\psi} \mid \psi \text{ is a visiting pair set of } A \text{ and the variation of } \Omega_{\psi} \text{ is bounded}\}$ is computable.

Denote by $T_{\Delta}^{\kappa}[I(\{\epsilon\})]$ the set of all trees in $T_{\Delta}[I(\{\epsilon\})]$ of height at most κ . Informally, the idea is that the bottom-up relabeling of the att^R \hat{A} precomputes output subtrees of height at most κ that contain the inherited attributes of the root of the current input subtree. Hence, the att of \hat{A} does not need to compute those output subtrees itself; the translation is continued immediately with those output subtrees. Formally, the bottom-up relabeling B is constructed as follows. The states of B are sets $\varrho \subseteq \{(a,\xi) \mid a \in S \text{ and } \xi \in T_{\Delta}^{\kappa}[I(\{\epsilon\})]\}$. The idea is that if $s \in \text{dom}_B(\varrho)$ and $(a,\xi) \in \varrho$ then $\xi = \text{nf}(\Rightarrow_{A,s}, a(\epsilon))$. Given $\sigma(s_1,\ldots,s_k)$, B relabels σ by $\sigma_{\varrho_1,\ldots,\varrho_k}$ if for $i \in [k]$, $s_i \in \text{dom}_B(\varrho_i)$. Note that knowing $\varrho_1,\ldots,\varrho_k$ and the rules in the set R_{σ} of A, we can easily compute ϱ such that $\sigma(s_1,\ldots,s_k) \in \text{dom}_B(\varrho)$ and hence the rules of B. In particular, ϱ contains all pairs (a,ξ) such that $\xi = \text{nf}(\Rightarrow_{A,\sigma(s_1,\ldots,s_k)}, a(\epsilon)) \in T_{\Delta}^{\kappa}[I(\{\epsilon\})]$. Therefore, B contains the rule $\sigma(\varrho_1(x_1),\ldots,\varrho_k(x_k)) \to \varrho(\sigma_{\varrho_1,\ldots,\varrho_k}(x_1,\ldots,x_k))$.

Example 3. Consider the att A_2 in Example 2. Recall that all nodes that do not occur on the leftmost path of the input tree s of A_2 have bounded variation. Let v be such a node. Then the visiting pair set at v is either $\psi_e = \{(a, b_e)\}$ or $\psi_d = \{(a, b_d)\}$. Assume the former. Then $\operatorname{nf}(\Rightarrow_{A_2, s/v}, a(\epsilon)) = b_e(\epsilon)$. If we know beforehand that a produces $b_e(\epsilon)$ when translating s/v, then there is no need to process s/v with a anymore. This can be achieved via a bottom-up relabeling B_2 that precomputes all output trees of height at most $\kappa = \kappa_{\psi_e} = \kappa_{\psi_d} = 1$. In particular the idea is that if for instance $v \in V(s)$ is relabeled by $f_{\{(a,b_d(\epsilon))\},\{a,b_e(\epsilon)\}}$ then this means when translating s/v.1 and s/v.2, a produces $b_d(\epsilon)$ and $b_e(\epsilon)$, respectively. The full definition of B_2 is as follows: The states of B_2 are $\varrho_1 = \{(a_e, \langle e \rangle(\epsilon)), (a, b_e(\epsilon))\}$, $\varrho_2 = \{(a_d, \langle d \rangle(\epsilon)), (a, b_d(\epsilon))\}$, $\varrho_3 = \{(a,b_d(\epsilon))\}$, and $\varrho_4 = \{(a,b_e(\epsilon))\}$. All states are final states. In addition to $e \to \varrho_1(e)$ and $d \to \varrho_2(d)$, B_2 also contains the rules

$$\begin{array}{ll} f(\varrho(x_1),\varrho_1(x_2)) \to \varrho_4(f_{\varrho,\varrho_1}(x_1,x_2)) & f(\varrho(x_1),\varrho_2(x_2)) \to \varrho_3(f_{\varrho,\varrho_2}(x_1,x_2)) \\ f(\varrho(x_1),\varrho_3(x_2)) \to \varrho_3(f_{\varrho,\varrho_3}(x_1,x_2)) & f(\varrho(x_1),\varrho_4(x_2)) \to \varrho_4(f_{\varrho,\varrho_4}(x_1,x_2)), \end{array}$$

where $\varrho \in \{\varrho_1, \ldots, \varrho_4\}$. It is easy to see that using B_2 , attributes of A'_2 , that is, A_2 modified to make use of B_2 , only process nodes of the leftmost path of s_2 . \square

With B, we obtain $\hat{A} = (B, A')$ equivalent to A. Note that A is modified into A' such that its input alphabet is the output alphabet of B and its rules make use of B. In particular, the rules of A' for a symbol $\sigma_{\varrho_1,\ldots,\varrho_k}$ are defined as follows. First, we introduce for each state ϱ of B an auxiliary symbol $\langle \varrho \rangle$ of rank 0 to A. We define that $a(\pi) \to t \in R_{\langle \varrho \rangle}$ if $(a, t[\pi \leftarrow \epsilon]) \in \varrho$. Denote by $[\pi \leftarrow v]$

the substitution that substitutes all occurrences of π by the node v, e.g. for $t_1 = f(b(\pi))$ and $t_2 = f(a(\pi 2))$ where f is a symbol of rank 1, $a \in S$ and $b \in I$, we have $t_1[\pi \leftarrow v] = f(b(v))$ and $t_2[\pi \leftarrow v] = f(a(v2))$. Let $t = \text{nf}(\Rightarrow_{A,\sigma(\langle \varrho_1 \rangle, \dots, \langle \varrho_k \rangle)})$, $a(\epsilon)) \in T_{\Delta}[I(\{\epsilon\}) \cup S([k])]$. Then we define the rule $a(\pi) \to t' \in R_{\sigma_{\varrho_1, \dots, \varrho_k}}$ for A' where t' is the tree such that $t'[\pi \leftarrow \epsilon] = t$. It should be clear that since all output subtrees of height at most κ are precomputed, attributes of A' only process nodes whose variation with respect to A are unbounded (cf. Example 3).

In parallel with the above construction of \hat{A} , we can decide whether or not a given $att\ A$ has the single path property as follows. Let $s\in \text{dom}(A)$ and let s' be the tree obtained from s via the relabeling B. If nodes $v_1,v_2\in V(s')$ with the same parent node exist such that on input s', attributes of A' process both v_1 and v_2 then A does not have the single path property. Thus, to test whether A has the single path property, we construct the following $att^R\ \check{A}=(\check{B},\check{A}')$ from $\hat{A}=(B,A')$. Input trees of \check{A} are trees $s\in \text{dom}(\hat{A})$ where two nodes v_1,v_2 with the same parent node are annotated by flags f_1 and f_2 respectively. The relabeling \check{B} essentially behaves like B ignoring the flags. Likewise, the $att\ \check{A}'$ behaves like A' with the restriction that output symbols are only produced if an annotated symbol is processed by a synthesized attribute or if a rule in $R_\#$ is applied. For i=1,2 we introduce a special symbol g_i which is only outputted if the node with the flag f_i is processed. Hence, we simply need to check whether there is a tree with occurrences of both g_1 and g_2 in the range of \check{A} . Obviously, the range of \check{A} is finite. Thus it can be computed.

Lemma 2. It is decidable whether or not A has the single path property.

4 From Tree to String Transducers and Back

Assume that A has the single path property. We now convert $\hat{A}=(B,A')$ into a two-way transducer T_W . Recall that two-way transducers are essentially attributed tree transducers with monadic input and output¹. Informally the idea is as follows: Consider a tree $s\in \text{dom}(A)$ and let s' be obtained from s via B. As on input s', attributes of A' only process nodes occurring on a single path ρ of s, the basic idea is to 'cut off' all nodes from s' not occurring in ρ . This way, we effectively make input trees of A' monadic. More formally, T_W is constructed by converting the input alphabet of A' to symbols of rank 1. Denote by Σ' the set of all output symbols of B. Accordingly, let Σ'_k with $k\geq 0$ be the set of all symbols in Σ' of rank k. Let $\sigma'\in \Sigma'_k$ with k>0. Then the input alphabet Σ^W of T_W contains the symbols $\langle \sigma', 1 \rangle, \ldots, \langle \sigma', k \rangle$ of rank 1. Furthermore, Σ^W contains all

Note that the two-way transducers in [1] are defined with a *left end marker* \vdash and a *right end marker* \dashv . While \vdash corresponds to the root marker of our tree transducers, \dashv has no counterpart. Monadic trees can be considered as strings with specific end symbols, i.e. symbols in Σ_0 , that only occur at the end of strings. Thus, \dashv is not required. Two-way transducers can test if exactly one end symbol occurs in the input string and if it is the rightmost symbol. Thus they can simulate tree transducers with monadic input and output.

symbols in Σ'_0 . Informally, a symbol $\langle \sigma', i \rangle$ indicates that the next node is to be interpreted as the *i*-th child. Thus trees over Σ^W can be considered prefixes of trees over Σ' , e.g., let $f \in \Sigma'_2$, $g \in \Sigma'_1$ and $e \in \Sigma'_0$ then $\langle f, 2 \rangle \langle f, 1 \rangle \langle f, 1 \rangle e$ encodes the prefix $f(x_1, f(f(e, x_1), x_1))$ while $\langle f, 1 \rangle \langle g, 1 \rangle e$ encodes $f(g(e), x_1)$. Note that for monadic trees we omit parentheses for better readability. We call $t_1 \in T_{\Sigma'}[\{x_1\}]$ a prefix of $t_2 \in T_{\Sigma'}$ if t_2 can be obtained from t_1 by substituting nodes labeled by x_1 by ground trees. The idea is that as attributes of A' only process nodes occurring on a single path of the input tree, such prefixes are sufficient to simulate A'.

The attributes of T_W are the same ones as those of A'. The rules of T_W are defined as follows: Firstly the rules for # are taken over from A'. As before, assume that only rules for # have ground right-hand sides. Let A' contains the rule $a(\pi) \to t \in R_{\sigma'}$ where $\sigma' \in \Sigma'_k$ and $a, \alpha \in S$ and $\alpha(\pi i)$ occurs in t. Then T_W contains the rule $a(\pi) \to t[\pi i \leftarrow \pi 1] \in R_{\langle \sigma', i \rangle}$, where $[\pi i \leftarrow \pi 1]$ denotes the substitution that substitutes occurrences of πi by $\pi 1$. Analogously, if A' contains the rule $b(\pi i) \to t' \in R_{\sigma'}$ where $b \in I$ and for $\alpha \in S$, $\alpha(\pi i)$ occurs in t', then T_W contains the rule $b(\pi 1) \to t'[\pi i \leftarrow \pi 1] \in R_{\langle \sigma', i \rangle}$. We remark that as A has the single path property, A' will never apply a rule $b(\pi i) \to t'$ where $\alpha(\pi j)$ with $j \neq i$ occurs in t'. Thus, we do not need to consider such rules.

For the correctness of subsequent arguments, we require a technical detail: Let \tilde{s} be an input tree of T_W . Then we require that an output tree s of B exists such that \tilde{s} encodes a prefix of s. If such a tree s exists we say \tilde{s} corresponds to s. To check whether for a given input tree \tilde{s} of T_W an output tree s of B exists such that \tilde{s} corresponds to s, we proceed as follows. As B is a relabeling, its range is effectively recognizable. Denote by B the bottom-up automaton recognizing it. Given \bar{B} , we can construct a bottom-up automaton \bar{B}' that accepts exactly those trees \tilde{s} for which an output tree s of B exists such that \tilde{s} corresponds to s. W.l.o.g. assume that for all states l of \bar{B} , $dom_B(l) \neq \emptyset$. If for $\sigma' \in \Sigma'_k$, $\sigma'(l_1(x_1),\ldots,l_k(x_k)) \to l(\sigma'(x_1,\ldots,x_k))$ is a rule of \bar{B} then $\langle \sigma',i\rangle(l_i(x_1)) \to$ $l(\langle \sigma', i \rangle(x_1))$ is a rule of \bar{B}' . We define that \bar{B}' has the same accepting states as B. Using B', we check whether for a given input tree \tilde{s} of T_W an output tree s of B exists such that \tilde{s} corresponds to s as follows. Before producing any output symbols, on input \tilde{s} , T_W goes to the leaf of \tilde{s} and simulates \bar{B}' in a bottom-up fashion while going back to the root. If \tilde{s} is accepted by \bar{B}' then T_W begins to simulate A', otherwise no output is produced. Thus the domain of T_W only consists of trees \tilde{s} for which an output tree s of B exists such that \tilde{s} corresponds to s. We remark that B' may be nondeterministic which in turn means that T_W may be nondeterministic as well, however the translation it realizes is a function. In fact the following holds.

Lemma 3. Consider the att^R $\hat{A} = (B, A')$ and the two-way transducer T_W constructed from \hat{A} . Let \tilde{s} be a tree over Σ^W . If on input \tilde{s} , T_W outputs t then for all $s \in range(B)$ such that \tilde{s} corresponds to s, A' also produces t on input s.

In the following, consider the two-way transducer T_W . Assume that the procedure of [1] yields a one-way transducer T_O that is equivalent to T_W . Given T_O , we

construct a top-down transducer T' that produces output trees on the range of B. In particular, T' has the same states as T_O . Furthermore, a rule $q(\langle \sigma', i \rangle(x_1)) \to t$ of T_O where $\sigma' \in \Sigma'_k$ and $i \in [k]$ induces the rule $q(\sigma'(x_1, \ldots, x_k)) \to \hat{t}$ for T' where \hat{t} is obtained from t by substituting occurrences of x_1 by x_i , e.g., if $t = f(g(q'(x_1)))$ then $\hat{t} = f(g(q'(x_i)))$. Recall that the domain of T_W only consists of trees \tilde{s} for which an output tree s of B exists such that \tilde{s} corresponds to s. As T_W and T_O are equivalent, the domain of T_O also consists of such trees. Hence, by construction, the following holds.

Lemma 4. Consider the top-down transducer T' constructed from the one-way transducer T_O . Let \tilde{s} be a tree over Σ^W . If on input \tilde{s} , T_O outputs t then for all $s \in range(B)$ such that \tilde{s} corresponds to s, T' also produces t on input s.

With Lemmas 3 and 4, it can be shown that the following holds.

Lemma 5. The top-down transducer T' and the att A' are equivalent on the range of B.

Therefore it follows that $\hat{A} = (B, A')$ and N = (B, T') are equivalent. Consequently, A and N are equivalent. We remark that there is still a technical detail left. Recall that our aim is to construct a dt^R T equivalent to A. However, the procedure of [1] may yield a nondeterministic T_O . Thus T' and hence N may be nondeterministic (but functional). However, as shown in [5], we can easily compute a dt^R equivalent to N.

The arguments above are based on the assumption that the procedure of [1] yields a one-way transducer equivalent to T_W . Now the question is, does such a one-way transducer always exists if a dt^R equivalent to A exists? The answer to this question is indeed affirmative. In particular the following holds.

Lemma 6. Consider the att^R $\hat{A} = (B, A')$ equivalent to A. If a dt^R T equivalent to A exists, then a (nondeterministic) top-down transducer with look-ahead N = (B, N') exists such that \hat{A} and N are equivalent.

Proof. (sketch) Recall that given $\sigma(s_1, \ldots, s_k)$, B relabels σ by $\sigma_{\varrho_1, \ldots, \varrho_k}$ if for $i \in [k]$, $s_i \in \text{dom}_B(\varrho_i)$. In the following, denote by s_ϱ a fixed tree in $\text{dom}_B(\varrho)$.

Let T=(B',T'). We sketch the main idea of the proof, i.e., how to so simulate B' using B. First consider the following property which we call the *substitute-property*. Let $s \in T_{\Sigma}$ and \hat{s} be obtained from s via the relabeling B. Let v_1 and v_2 be nodes of s with the same parent. As T exists, the single path property holds for A. Thus on input \hat{s} , v_1 or v_2 is not processed by attributes of A'. Assume that v_1 is not processed and that $s/v_1 \in \text{dom}_B(\varrho)$. Then $\tau_{\hat{A}}(s) = \tau_{\hat{A}}(s[v_1 \leftarrow s_{\varrho}])$ follows. Informally, this means that s/v_1 can be substituted by s_{ϱ} without affecting the output of the translation. By definition, \hat{A} and A are equivalent while T and A are equivalent by our premise. Thus, $\tau_T(s) = \tau_T(s[v_1 \leftarrow s_{\varrho}])$.

Now we show how B' is simulated using B. Let \hat{q} be a state of N'. Each such state \hat{q} is associated with a state q of T' and a state l of B'. Consider the tree \hat{s} obtained from s via B. Assume that the node v labeled by $\sigma_{\varrho_1,\ldots,\varrho_k}$ is processed by \hat{q} in the translation of N' on input \hat{s} , i.e., v has k child nodes.

It can be shown that \hat{q} can compute which of v's child nodes is processed by attributes in the translation of A' on input \hat{s} . W.l.o.g. let v.1 be that node and let $s/v.i \in \text{dom}_B(\varrho_i)$ for $i \in [k]$. Due to the substitute-property, N can basically assume that $s/v.i = s_{\varrho_i}$ for $i \neq 1$. For $i \neq 1$, let $s_{\varrho_i} \in \text{dom}_{B'}(l_i)$. Now N' guesses a state l_1 for s/v.1 such that $\sigma(l_1(x_1), \ldots, l_k(x_k)) \to l(\hat{\sigma}(x_1, \ldots, x_k))$ is a rule of B'. The state \hat{q} then 'behaves' as q would when processing a node labeled by $\hat{\sigma}$. It can be guaranteed that N' verifies its guess.

The dt^R N=(B,N') can be constructed such that on input $s\in \mathrm{range}(B)$ an attribute of A' processes the node v if and only if a state of N' processes v. The existence of such a transducer with look-ahead N implies the existence of a one-way transducer T_O equivalent to T_W . In fact, T_O is obtainable from N similarly to how T_W is obtainable from A_p . Therefore, the procedure of [1] yields a top-down transducer T_O equivalent to T_W if and only if T exists.

5 Final Results

The considerations in Sections 3 and 4 yield the following theorem.

Theorem 1. For an att with monadic output, it is decidable whether or not an equivalent dt^R exists and if so then it can be constructed.

We show that the result of Theorem 1 is also obtainable for nondeterministic functional attributed tree transducers with look-around. Look-around is a formalism similar to look-ahead. An attributed tree transducers with look-around (or att^U) consists of a top-down relabeling with look-ahead R and an att A where output trees are computed as $\tau_A(\tau_R(s))$ for input trees s. Before we prove our claim, we prove the following result. Denote by $nATT^U$ and $dATT^U$ the classes of translations realizable by nondeterministic and deterministic att^U 's, respectively. Denote by func the class all functions.

Lemma 7.
$$nATT^U \cap func = dATT^U$$
.

Proof. (sketch) Informally, the basic idea is the same as for functional top-down transducers in [5]. For simplicity, let A be a functional att without look-around. Consider a rule set R_{σ} of A where $\sigma \in \Sigma$. Let all rules in R_{σ} with the same left-hand side be ordered. Whenever several such rules are applicable, i.e., utilizing these particular rule leads to the production of a ground tree, the first applicable rule in the given order will be executed. Using look-around, we can test whether or not a rule is applicable.

We remark that look-around is required; just look-ahead for instance is not sufficient as $ATT \cap func \not\subseteq dATT^R$ can be shown.

Due to Lemma 7, it is sufficient to show that for a deterministic att^U it is decidable whether or not an equivalent dt^R exists. Roughly speaking, this result is obtained as follows. Let a deterministic att^U $\hat{A} = (R, A')$, where R is a top-down relabeling with look-ahead and A' is an att, be given. To show that a dt^R

equivalent to \hat{A} exists it is sufficient to show that a dt^R T exists such that A' and T are equivalent on the range of R. This is because R is also a dt^R and dt^R 's are closed under composition [4]. The dt^R T can be obtained by slightly modifying the procedure in Section 3.

Theorem 2. For a functional att^U with monadic output, it is decidable whether or not an equivalent dt^R exists and if so then it can be constructed.

Note that by definition dt^R 's with monadic output are by default *linear*. For a linear dt^R it is decidable whether or not an equivalent linear dt exists [12]. If such a dt exists it can be constructed. Hence, we obtain the following corollary.

Corollary 1. For a functional att^U with monadic output, it is decidable whether or not an equivalent dt exists and if so then it can be constructed.

6 Conclusion

We have shown how to decide for a given attributed transducer with look-around but restricted to monadic output, whether or not an equivalent deterministic top-down tree transducers (with or without look-ahead) exists. Clearly we would like to extend this result to non-monadic output trees. The latter seems quite challenging, as it is not clear whether or not the result [1] can be applied in this case. Other questions that remain are the exact complexities of our constructions.

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