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### **Abstract**

We investigate expansions of Presburger arithmetic  $(\mathcal{P}a)$ , i.e., the theory of the integers with addition and order, with additional structure related to exponentiation: either a function that takes a number to the power of 2, or a predicate  $2^{\mathbb{N}}$  for the powers of 2. The latter theory, denoted  $\mathfrak{P}a(2^{\mathbb{N}}(\cdot))$ , was introduced by Büchi as a first attempt at characterising the sets of tuples of numbers that can be expressed using finite automata; Büchi's method does not give an elementary upper bound, and the complexity of this theory has been open. The former theory, denoted as  $\mathcal{P}a(\lambda x.2^{|x|})$ , was shown decidable by Semenov; while the decision procedure for this theory differs radically from the automata-based method proposed by Büchi, the method is also non-elementary. And in fact, the theory with the power function has a non-elementary lower bound. In this paper, we show that while Semenov's and Büchi's approaches yield non-elementary blow-ups for  $\mathfrak{Pa}(2^{\mathbb{N}}(\cdot))$ , the theory is in fact decidable in triply exponential time, similar to the best known quantifier-elimination algorithm for  $\mathcal{P}a$ . We also provide a NEXPTIME upper bound for the existential fragment of  $\mathcal{P}a(\lambda x.2^{|x|})$ , a step towards a finer-grained analysis of its complexity. Both these results are established by analysing a single parameterized satisfiability algorithm for  $\mathcal{P}a(\lambda x.2^{|x|})$ , which can be specialized to either the setting of  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  or the existential theory of  $\mathcal{P}a(\lambda x.2^{|x|})$ . Besides the new upper bounds for the existential theory of  $\mathcal{P}a(\lambda x.2^{|x|})$  and  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$ , we believe our algorithm provides new intuition for the decidability of these theories, and for the features that lead to non-elementary blow-ups.

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# 1 Introduction

This paper concerns decision problems involving first-order logic sentences over the integers. We are given a sentence in the logic, and want to know if it holds in a certain infinite structure over the integers — we refer to these as "satisfaction problems" below. If the sentence can mention "full arithmetic" — both addition and multiplication on the integers — then it is well-known that the satisfaction problem is undecidable [3]. On the other hand, if the sentence mentions only addition, inequality, and integer constants — Presburger arithmetic ( $\mathcal{P}a$ ) — then the decision problem is decidable [16]. Presburger arithmetic is by no means the maximal decidable arithmetic theory. For instance, adding a "bit predicate" to Presburger arithmetic — a binary predicate holding on (m, n) if m is the largest power of 2 dividing n — does not undermine decidability. This extension is known as  $B\ddot{u}chi$  arithmetic. A decision procedure for the satisfaction problem of this theory is based on translating each formula into a finite automaton over strings, representing the binary expansions of possible solutions

to the formula [2]. Although both are decidable, there is a big difference between Presburger arithmetic and Büchi arithmetic: the satisfaction problem of the former can be decided in triply exponential time [14] and even in doubly exponential space [8], whereas the latter is known to have no elementary bound. See [1] and [21] for a finer-grained analysis of the complexity of Presburger arithmetic, in terms of alternating Turing machines.

Sitting in between Presburger arithmetic and Büchi arithmetic is the extension of  $\mathcal{P}a$  with a predicate for the powers of 2: we refer to this set of numbers as  $2^{\mathbb{N}}$  and to the theory as  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$ . This predicate is clearly definable in Büchi arithmetic, so the first-order theory of  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  is again decidable with automata. An alternative decision procedure, avoiding automata, was developed by Semenov in [19]. It proceeds by eliminating quantifiers, arriving at a quantifier-free formula in an enhanced signature – including, for example, a predicate for the highest power of 2 below a given integer. Semenov's procedure applies more broadly to extensions of Presburger arithmetic by a unary predicate satisfying a condition "effective sparseness": thus it isolates combinatorial properties of  $2^{\mathbb{N}}$  that underlie decidability, rather than automata-theoretic constructions. Semenov's procedure has been refined and extended by Point; see, e.g., [15]. The complexity of the procedure and the complexity of  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  has, to our knowledge, received no attention.

Instead of adding a unary predicate, one can add to  $\mathcal{P}a$  the function taking a number n to  $2^n$ : the power function for short, rather than the powers predicate above. The theory, which we denote  $\mathcal{P}a(\lambda x.2^{|x|})$ , subsumes  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$ . Semenov proved decidability of this theory as well [20]. But in this case a non-elementary lower bound follows from [6], see [4]. We are not aware of any finer-grained analysis of the complexity of the theory. Note that  $\mathcal{P}a(\lambda x.2^{|x|})$  is incomparable to Büchi arithmetic in expressiveness: in fact the union of the two is undecidable [4].

In this paper we show that the complexity of  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  is elementary, and is in fact contained in 3ExpTime. In this sense  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  is quite similar to Presburger arithmetic in complexity. We also show that the existential fragment of the theory  $\mathcal{P}a(\lambda x.2^{|x|})$  is elementary: its satisfaction problem is in NExpTime.

We show our results on extending  $\mathcal{P}a$  with powers or the power function using a single parameterized algorithm. The algorithm can be applied to decide satisfaction of a  $\mathcal{P}a(\lambda x.2^{|x|})$ sentence  $\varphi$  in time tower of  $|\varphi|$ , matching the prior non-elementary complexity. But it can be specialized to the context of either a  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  sentence or an existential  $\mathcal{P}a(\lambda x.2^{|x|})$  sentence, giving in each case an elementary bound. The algorithm is based on eliminating quantifiers: it makes heavy use both of existing Presburger quantifier elimination algorithms [21] and the core of the method of Semenov, which involves removing "problematic occurrences" of a variable within a formula. Intuitively, an occurrence of a variable in an atomic formula is unproblematic if it occurs only outside of power functions, or if the atomic formula is just a comparison between two power terms. In the latter case, the exponentiation of the variable can be eliminated by taking logarithms. We factor this core Semenovian idea out into a self-contained subroutine. We give a short top-level procedure that interleaves calls to this subroutine with calls to a variant of Presburger quantifier elimination. The latter enables us to remove quantified variables completely. A meticulous complexity analysis that tracks several parameters of the input formula shows that the procedure achieves the desired bounds in the special cases of  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  and existential  $\mathcal{P}a(\lambda x.2^{|x|})$ . We believe that our procedure, in addition to providing the desired bounds, gives a good intuition for the decidability of  $\mathcal{P}a(\lambda x.2^{|x|})$  and the sources of non-elementary blow-up within it.

Our work brings the following ideas:

 $\blacksquare$  For  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$ , we rewrite the formula by processing quantifier blocks inside out but do

not eliminate quantifier alternation. The resulting formula is put in a nontrivial fragment of  $\mathcal{P}a$ : integer octagon arithmetic  $(\mathcal{O}ct)$ , which we observe to be decidable in PSPACE.

Our complexity analysis for  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  exploits a new substitution strategy for power terms: our algorithm tailors substitutions to individual inequalities, rather than applying them in the entire formula globally or in an individual disjunct in the DNF (à la Reddy and Loveland). This makes it possible to upper-bound the *heft* (the maximum number of variables per inequality) can increase by a factor of  $2^{B \cdot L}$  at most, where L is the number of variables occurring linearly and B is the number of quantifier blocks.

Note that in this work we deal for simplicity with powers of 2, but the same complexity results apply to any other base  $k \in \mathbb{N}$ , k > 2.

# 2 Preliminaries

The symbols  $\mathbb{Z}$ ,  $\mathbb{N}$  and  $\mathbb{N}_+$  denote the set of integers, natural numbers (including zero), and positive integers, respectively. We write  $\mathbb{B} := \{\top, \bot\}$  for the Boolean domain. We write #A for the cardinality of a set A. If A is infinite, then  $\#A = \infty$ , and we postulate  $n < \infty$  for all  $n \in \mathbb{Z}$ . Given  $n, m \in \mathbb{N}$ , we define  $[n, m] := \{n, n+1, \ldots, m\}$  and [n] := [0, n-1]. For two sets D and C,  $[D \to C]$  stands for the set of all functions from D to C. We write  $\lfloor \cdot \rfloor$  and  $\lceil \cdot \rceil$  to denote the *floor* and *ceil* functions, respectively,  $|\cdot|$  to denote the *absolute value* function, and  $\log(\cdot)$  to denote the *binary logarithm* function. All these functions take as input a real number. Note that  $n \in \mathbb{N}$  can be represented in binary using  $\lceil \log(n+1) \rceil$  many bits.

We sometimes apply standard set operations and predicates, such as for instance  $\in$ ,  $\subseteq$  and  $\setminus$ , to vectors  $\mathbf{v} = (v_1, \dots, v_d)$ . In these cases, there is an implicit conversion of  $\mathbf{v}$  into the set  $V = \{v_1, \dots, v_d\}$ . As an example,  $v \in \mathbf{v}$  and  $\mathbf{v} \setminus A$  stand for  $v \in V$  and  $V \setminus A$ , respectively, where A is a set (or another vector).

**Presburger arithmetic with a power function.** We consider the structure  $\mathscr{P}a(\lambda x.2^{|x|}) := \langle \mathbb{Z}, 1, +, (a \cdot x)_{a \in \mathbb{Z}}, 2^{|x|}, (q \mid x)_{q \in \mathbb{N}_+}, < \rangle$ , in which the classical signature of Presburger arithmetic  $(\mathscr{P}a)$  is enriched with the unary power of the absolute value function  $x \mapsto 2^{|x|}$ . As usual, 1 is the constant (interpreted as)  $1 \in \mathbb{Z}$ , + and < stand for addition and strict ordering over  $\mathbb{Z}$ , respectively,  $x \mapsto a \cdot x$  is the unary function multiplying its input by the constant  $a \in \mathbb{Z}$ , and  $x \mapsto q \mid x$  is the unary relation that is true for integers divisible by  $q \in \mathbb{N}_+$ .

The first-order formulae  $\Phi, \Psi, \varphi, \psi, \dots$  of  $\mathcal{P}a(\lambda x.2^{|x|})$  are generated from the grammar

$$\Phi, \Psi := \alpha \mid \top \mid \bot \mid \neg \Phi \mid \Phi \land \Psi \mid \exists x \Phi \mid \forall x \Phi \qquad \alpha := t_1 < t_2 \mid (q \mid t_1),$$

where x is a first-order variable from an infinite countable set  $\mathbb{V}$ . The elements of  $\alpha$  are the atomic formulae of the logic, i.e., they are linear inequalities  $t_1 < t_2$  between terms  $t_1$  and  $t_2$ , or divisibility constraints  $q \mid t_1$ , where  $q \in \mathbb{N}_+$ . Instead of allowing arbitrary terms of the signature, we will deal with a simpler language where terms are expressions of the form  $\sum_{i \in I} a_i \cdot 2^{|x_i|} + \sum_{j \in J} b_j \cdot x_j + c$  where  $c \in \mathbb{Z}$  is the constant of the term,  $a_i, b_j \in \mathbb{Z} \setminus \{0\}$  are the coefficients of the power terms  $(2^{|x_i|})_{i \in I}$  and of the linear variables  $(x_j)_{j \in J}$ , and I, J are finite sets of indices (which might overlap). We also restrict to terms where no variable occurs linearly twice, or occurs exponentiated twice. It is easy to see that formulae of the full language can be converted to use this restricted term language in polynomial time, and our algorithms will not take us out of this fragment. When we talk about equality of terms, we mean modulo associativity and commutativity of +. A term is said to be homogeneous if its constant is 0.

The Boolean connectives  $\vee$ ,  $\rightarrow$  and  $\leftrightarrow$ , and the standard (in)equalities between terms  $\leq$ , =,  $\geq$  and > are defined from  $\wedge$ ,  $\neg$  and <, as usual. We use the absolute value of variables occurring linearly as a shortcut: e.g.,  $a \cdot |x| < t$  is equivalent to the formula  $(x \geq 0 \to a \cdot x < t) \wedge (x < 0 \to -a \cdot x < t)$ . We write  $\Phi(x_1, \ldots, x_d)$  or  $\Phi(x)$  to highlight the fact that all free variables of the formula  $\Phi$  are in x. A formula without free variables is said to be a sentence. We write  $\Phi \Leftrightarrow \Psi$  whenever  $\Phi$  and  $\Psi$  are equivalent. A finite set of formulae  $S := \{\Phi_i : i \in I\}$  is said to be a cover for (or to cover) a formula  $\Psi$  whenever  $\Psi \Leftrightarrow \bigvee_{i \in I} \Phi_i$ . The satisfaction problem asks whether a given sentence is true.

**Term and formula normalization.** To simplify the exposition, we often bring terms and formulae to convenient (normal) form, without mentioning this explicitly every time. This normalization does not change our bounds on the asymptotic running time of the algorithms, nor their correctness.

- We assume inequalities have the form t < 0, where t is a term. Thus, we convert inequalities of the form  $t_1 < t_2$  into  $t_1 t_2 < 0$ . The construction of  $t_1 t_2$  follows the convention on terms described above. We will still sometimes refer to more general inequalities  $t_1 < t_2$  for brevity, but these should be taken as abbreviations for inequalities of the above form.
- We rearrange terms following associativity and commutativity of +. We also evaluate arithmetic expressions, including, e.g.,  $2^{|a|}$  and |a|, where  $a \in \mathbb{Z}$ .
- In divisibility constraints of the form  $q \mid \sum_{i \in I} a_i \cdot 2^{|x_i|} + \sum_{j \in J} b_j \cdot x_j + c$ , we always assume  $a_i, b_j, c \in [q]$ .
- Inequalities a < b and divisibility constraints  $q \mid a$  on integers  $a, b \in \mathbb{Z}$  and  $q \in \mathbb{N}_+$  are evaluated to  $\top$  or  $\bot$ . So are divisibility constraints  $1 \mid t$  or  $q \mid 0$ , where  $q \in \mathbb{N}_+$  and t is a term (these are  $\top$ ).
- Inequalities of the form  $a \cdot x < b$  with  $a, b \in \mathbb{Z}$  and  $|a| \ge 2$  are rewritten into  $x \le \lfloor \frac{b-1}{a} \rfloor$  if a > 0, and to  $x \ge \lceil \frac{b-1}{a} \rceil$  if a < 0. This normalization is required in the context of the quantifier elimination (q.e.) procedure for Presburger arithmetic applied to octagons (see *integer octagon arithmetic* below).
- Trivial inequalities involving power terms, where just the fact that  $2^{|c|}$  is always positive suffices to evaluate the atomic formula, are also rewritten as  $\top$  or  $\bot$ . For instance,  $a \cdot 2^{|x|} < c$  when a and c have different signs or when c = 0; or  $a \cdot 2^{|x|} < b \cdot 2^{|y|}$  where a and b have different signs.

Beyond normalization, we need the following operations and notation for terms. We write  $t(\boldsymbol{x})$  if all variables appearing in the term t are in  $\boldsymbol{x}$ . Let  $\alpha$  be a formula (resp., a term of the form x or  $2^{|x|}$ ). Given a second formula (resp., term)  $\alpha'$ ,  $\Phi[\alpha' / \alpha]$  stands for the formula obtained from  $\Phi$  by replacing every occurrence of  $\alpha$  by  $\alpha'$ . Additionally, when  $\alpha$  and  $\alpha'$  are two terms  $t_1$  and  $t_2$ , and given  $n \in \mathbb{N}_+$ , we write  $\Phi[\frac{t_2}{n} / t_1]$  for the formula obtained from  $\Phi$  by replacing each inequality  $a \cdot t_1 + t' < t''$  by  $a \cdot t_2 + n \cdot t' < n \cdot t''$  and each divisibility constraint  $q \mid a \cdot t_1 + t'$  by  $n \cdot q \mid a \cdot t_2 + n \cdot t'$ . This operation can be seen as scaling by  $n \in \mathbb{N}_+$  the atomic formulae where  $t_1$  occurs linearly, relying on the equivalences  $s_1 < s_2 \Leftrightarrow n \cdot s_1 < n \cdot s_2$  and  $q \mid s \Leftrightarrow n \cdot q \mid n \cdot s$ , followed by the substitution of each  $n \cdot t_1$  by  $t_2$ . We will only use substitutions of the form  $\Phi[t / x]$  when x is a variable only occurring linearly in  $\Phi$ . In the case of a substitution  $\Phi[t / 2^{|x|}]$ , note that linear occurrences of the variable x are left untouched. We extend the notion of substitutions to multiple terms or formulae:  $\Phi[\alpha'_i / \alpha_i : i \in [1, k]] := (\dots (\Phi[\alpha'_1 / \alpha_1])[\alpha'_2 / \alpha_2] \dots)[\alpha'_k / \alpha_k]$ .

**Parameters of formulae.** As often done for  $\mathcal{P}a$ , the complexity analysis of our procedure requires the introduction of several parameters for a formula. We define functions lin(.), hom(.), heft(.), mod(.),  $\mathcal{B}(.)$ , and alt(.), to track various features of a formula  $\Phi$  from  $\mathcal{P}a(\lambda x.2^{|x|})$ :

- $lin(\Phi)$  is the set containing the terms 0 and 2 as well as all the terms t that appear in linear inequalities t < 0 of  $\Phi$  (implicitly converting  $t_1 < t_2$  into  $t_1 t_2 < 0$ );
- $hom(\Phi)$  is the set of homogeneous linear terms obtained from the linear terms in  $lin(\Phi)$  by eliminating their constant term c (alternatively, updating c to 0);
- heft( $\Phi$ ) is the maximum number of variables in a term of  $\Phi$ ;
- $mod(\Phi)$  is the least common multiple of all  $q \in \mathbb{N}_+$  appearing in constraints  $q \mid t$  of  $\Phi$  (if the formula  $\Phi$  has no divisibility constraints, then we postulate  $mod(\Phi) = 1$ );
- $\mathcal{B}(\Phi)$  denotes the number of occurrences of negations ¬ and conjunctions ∧ in  $\Phi$  (note that the syntax permits binary conjunction only);
- $alt(\Phi)$  is the quantifier alternation rank (number of quantifier blocks) of a formula  $\Phi$  in prenex normal form.

Throughout the paper, we assume an encoding of terms where constants and coefficients are given in binary representation. By  $\operatorname{len}(\Phi)$  we denote the *length* of the formula  $\Phi$ : the number of bits required to write it down. For simplicity, we assume it is always at least 2. We extend the notion of infinity norm to terms. The *infinity norm* ||t|| of a linear term t is the maximum absolute value of a coefficient or constant appearing in t. For a finite set of terms T, we define  $||T|| := \max\{||t|| : t \in T\}$ . The 1-norm of t, denoted  $||t||_1$ , is the sum of absolute values of all its coefficients and of its constant; this is always non-negative.

# 3 Summary of main results

This paper focuses on two fragments of  $\mathcal{P}a(\lambda x.2^{|x|})$ :

- The first-order theory of  $\mathcal{P}a(2^{\mathbb{N}}(\cdot)) := \langle \mathbb{Z}, 1, +, (a \cdot x)_{a \in \mathbb{Z}}, 2^{\mathbb{N}}(x), (q \mid x)_{q \in \mathbb{N}_+}, < \rangle$ , that is the structure that enriches Presburger arithmetic with the unary relation  $x \mapsto 2^{\mathbb{N}}(x)$  that is true for the powers of 2, i.e.,  $2^{\mathbb{N}}(x) = \top$  iff  $x \in \{1, 2, 4, ...\}$ . Note that the relation  $2^{\mathbb{N}}(x)$  can be expressed in  $\mathcal{P}a(\lambda x.2^{|x|})$ , with the formula  $\exists y. x = 2^{|y|}$ .
- The existential fragment of  $\mathcal{P}a(\lambda x.2^{|x|})$ , denoted by  $\exists \mathcal{P}a(\lambda x.2^{|x|})$ . Formulae of this fragment are of the form  $\exists x.\Phi$ , where  $\Phi$  is quantifier-free (q.f., in short).

The main results of this paper are summarized below:

- ▶ **Theorem 1.** The satisfaction problem for  $\exists \mathcal{P}a(\lambda x.2^{|x|})$  is in NEXPTIME.
- ▶ **Theorem 2.** The satisfaction problem for  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  is in 3EXPTIME.

Theorems 1 and 2 are based on a common core procedure for  $\mathcal{P}a(\lambda x.2^{|x|})$  that we introduce in Section 4. The procedure manipulates the subformulae of an input formula so that they (eventually) enter the following fragments of  $\mathcal{P}a(\lambda x.2^{|x|})$ :

- The power comparisons fragment, denoted by  $\mathscr{PowCmp}$ . In this fragment, inequalities are restricted to the form  $a \cdot 2^{|x|} < b \cdot 2^{|y|}$  or  $a \cdot 2^{|x|} < b$ , where  $a, b \in \mathbb{Z}$ , and divisibility constraints are of the form  $q \mid 2^{|x|} r$ , where  $q \in \mathbb{N}_+$  and  $r \in [q]$ .
- Integer octagon arithmetic, denoted by  $\mathfrak{O}ct$  (see e.g. [10, 13]), that is, the fragment of  $\mathfrak{P}a$  in which inequalities are restricted to the forms  $\pm x \pm y < c$  and  $\pm x < c$ , where  $c \in \mathbb{Z}$ , and divisibility constraints are of the form  $q \mid x r$ , where  $q \in \mathbb{N}_+$  and  $r \in [q]$ .

- The fragment  $\mathcal{S}em$  (short for Semenov, as this fragment is related to the one used in [19]). In formulae  $\Phi$  of this fragment, each variable appears either always linearly or always in a power, and every bound variable x appears only in atomic formulae from  $\mathcal{P}ow\mathcal{C}mp$  (hence, x is always in a power). Moreover, divisibility constraints in  $\Phi$  are simple, i.e., they are of the form  $q \mid 2^{|x|} r$  or of the form  $q \mid x r$ , where  $q \in \mathbb{N}_+$  and  $r \in [q]$ . Notice that a sentence in this fragment must be in  $\mathcal{P}ow\mathcal{C}mp$ .
- The quantifier-free fragment of  $\mathcal{P}a(\lambda x.2^{|x|})$ , denoted  $\mathfrak{QF}$ , consisting of all q.f. formulae.

# 4 The core procedure

**Overall organization.** Our final decision procedures, which will be presented in Section 5, rely on a core procedure (Algorithm 1) that interleaves calls to what are essentially quantifier elimination subroutines à la Presburger [16] and Semenov [19], respectively, to be explained further below. The input of Algorithm 1 is a formula  $\Phi$  of  $\mathcal{P}a(\lambda x.2^{|x|})$  in prenex normal form. The procedure can be run in two modes, taking an additional parameter  $\mathcal{F}$  accordingly. This parameter specifies the "target" fragment of the logic:

- For  $\Phi$  obtained as a translation of a  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  formula into  $\mathcal{P}a(\lambda x.2^{|x|})$ , set  $\mathcal{F} = \mathcal{S}em$ .
- For general formulae of  $\mathcal{P}a(\lambda x.2^{|x|})$ , and for the handling of existential  $\mathcal{P}a(\lambda x.2^{|x|})$  in nondeterministic exponential time, set  $\mathcal{F} = \mathcal{Q}\mathcal{F}$ .

The output of the algorithm is a simplified formula: more specifically, it is a formula of the form  $\exists x.\varphi$  or  $\neg \exists x.\varphi$ , where  $\varphi$  is in  $\mathcal{F}$ : "alternation-free modulo  $\mathcal{F}$ " below. If the input is a sentence, then the output has no leading quantifiers and is thus a sentence of  $\mathcal{F}$ .

The procedure processes blocks of quantifiers at a time, eliminating them one by one. Each block corresponds to one iteration of the outer **while** loop. In line 2 we split the quantifier prefix at the innermost existential block that takes us out of the fragment  $\mathcal{F}$ . There may be a choice as to whether  $\neg$  appears at the beginning of  $\Pi$ , but this introduces no ambiguity to the choice of  $\boldsymbol{u}$ , because  $\forall v.\Psi$  is in  $\mathcal{F}$  iff  $\exists v.\Psi$  is in  $\mathcal{F}$ . This follows because both fragments  $\mathcal{F} = \mathcal{S}\boldsymbol{em}$  and  $\mathcal{F} = \mathcal{Q}\mathcal{F}$  are closed under negation.

The organization of the procedure maintains a DNF-like structure. The set Q acts as a worklist containing the formulae; intuitively, they are the conjuncts (although not necessarily of atomic formulae). The Presburger and SemCover subroutines embed Reddy and Loveland's optimization for  $\mathcal{P}a$  [18]: whenever a pair  $(x, \varphi_1 \vee \varphi_2)$  could be produced, it is split into two pairs  $(x, \varphi_1)$  and  $(x, \varphi_2)$  evolving independently for as long as possible. Thus, the DNF-like structure is maintained within each iteration of the outer **while** loop of the Master procedure:

$$\Phi \Leftrightarrow \Pi.\Pi'.\left[\left(igvee_{(oldsymbol{x},arphi)\in Q}\exists oldsymbol{x}.arphi
ight)eeigvee_{arphi\in D}arphi
ight].$$

For each  $\varphi \in D \cup \{\varphi : (\boldsymbol{x}, \varphi) \in Q \text{ for some } \boldsymbol{x}\}$ , we have  $\varphi \in \mathcal{F}$ . The set D contains the formulae which the algorithm is done with processing within the current block, and which will only be picked again upon after leaving the current block. Thanks to the DNF-like structure, our analysis of the parameter growth for an individual pair  $(\boldsymbol{x}, \varphi)$  can ignore the complexity of the big disjunction (i.e., other pairs in Q and D).

Above we have presented Algorithm 1 deterministically. This implementation will be employed to obtain the claimed triply-exponential bound for  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$ , but not the NEXPTIME bound for the existential fragment of  $\mathcal{P}a(\lambda x.2^{|x|})$ . In the latter case, we will

### Algorithm 1 Master procedure.

```
Input: fragment \mathcal{F} \in \{\mathcal{QF}, \mathcal{S}em\};
            formula \Phi(y) in \mathcal{P}a(\lambda x.2^{|x|}) in prenex normal form with quantifier-free part from \mathcal{F}
            in which all divisibility constraints are simple
Output: an equivalent formula \Phi'(y), alternation-free modulo \mathcal{F};
                if \Phi is a sentence of \mathcal{F}
 1: while true do
           \Pi \leftarrow the shortest quantifier prefix of \Phi, possibly with \neg in front,
 2:
                   such that \Phi = \Pi . \exists u . \Psi where \Psi is in \mathcal{F} (if necessary, rewrite \forall u as \neg \exists u . \neg)
           Q \leftarrow \{(\boldsymbol{u}, \Psi)\}; D \leftarrow \varnothing
 3:
 4:
           \Pi' \leftarrow \text{empty string of quantifiers}
                                                                                                  \triangleright \Pi' is a global variable
           while (\boldsymbol{x}, \varphi) \leftarrow \text{pop}(Q) \ \mathbf{do}
 5:
               if x is empty then add \varphi to D
 6:
               else if some x \in \mathbf{x} does not appear in \varphi then add pair (\mathbf{x} \setminus \{x\}, \varphi) to Q
 7:
               else if \exists x.\varphi is in \mathcal{F} for some x \in x then add pair (x \setminus \{x\}, \exists x.\varphi) to Q
 8:
               else if some x \in x appears only linearly in \varphi then add \operatorname{PresQE}(x, x, \varphi) to Q
 9:
10:
               else add Linearize(SemCover(\boldsymbol{x}, \varphi)) to Q
           \Phi \leftarrow \Pi.\Pi'.\bigvee_{\varphi \in D} \varphi
11:
           if \Pi contains no quantifiers then return \Phi
12:
```

# **Algorithm 2** Function Linearize.

 $\triangleright$  in the replacements in line 8, search for  $s, t \leq q-1$  only

9: return S

### Algorithm 3 Function PresQE.

**Input:** variable x; vector of variables x, where  $x \in x$ ; formula  $\varphi(x, y)$  of  $\mathcal{F}$  where  $x \setminus \{x\} \subseteq y$  and x appears only linearly in atomic formulae

**Output:** a set of pairs  $(x, \psi(y))$  where  $\psi \in \mathcal{F}$  and the set of all  $\psi$  is a cover for  $\exists x. \varphi$ 

```
1: T \leftarrow \{(a, -t(y)) : a > 0, \ a \cdot x + t \in hom(\varphi)\} \cup \{(-a, t(y)) : a < 0, \ a \cdot x + t \in hom(\varphi)\}
2: g \leftarrow \Pi\{a : (a,t) \in T \text{ for some } t\}
                                                                                   ▶ product of all elements of non-empty set
3: \Gamma \leftarrow \{\varphi[\frac{t+k}{a}/x] \land (a \mid t+k) : (a,t) \in T, k \in [-r,r] \text{ where } r \coloneqq a \cdot (2 \cdot \|\operatorname{lin}(\varphi)\| + g \cdot \operatorname{mod}(\varphi))\}
4: return \{(\boldsymbol{x}, \psi) : \psi \in \text{SimplifyDiv}(\gamma), \gamma \in \Gamma\}
```

### Algorithm 4 Function SemCover.

**Input:** vector x of variables; formula  $\varphi(x,z)$  of  $\mathcal{F}$ , containing  $2^{|x|}$  for each  $x \in x$ **Output:** a set of pairs  $(x, \psi(x, z))$ , where  $\psi \in \mathcal{F}$  and the set of all  $\Pi'. \exists x. \psi$  covers  $\Pi'. \exists x. \varphi$ ; +1ex in every  $\psi$  some  $2^{|x|}$   $(x \in x)$  only occurs in constraints from  $\mathscr{PowCmp}$ Side effect: update global variable  $\Pi'$  (string of quantifiers)

```
1: for x \in \mathbf{x} do
                 I \leftarrow \text{set of inequalities in } \varphi \text{ outside } \mathcal{P}ow\mathcal{C}mp \text{ in which } x \text{ appears as a power}
                H \leftarrow \{(\eta, \sigma) : \eta(\mathbf{x}) + \sigma(\mathbf{z}) + c < 0 \text{ in } I; \eta \text{ and } \sigma \text{ homogeneous}\}\
  3:
                \Gamma_x \leftarrow \{\varphi\}
  4:
                for (\eta, \sigma) \in H do
  5:
                         A \leftarrow \text{subset of } I \text{ with these } \eta \text{ and } \sigma \text{ (only } c \text{ varies)}
  6:
                        2^{g} \leftarrow 2^{7} \cdot \left(\lambda(\|\eta\|_{1} + \max\{|c| : (\eta + \sigma + c < 0) \in A\})\right)^{2}
  7:
                                                                                                                  \triangleright factors up to 2^g are considered "small"
                        a \leftarrow \text{coefficient at } 2^{|x|} \text{ in } \eta
  8:
                        V \leftarrow \text{variables in } \eta \text{ except } x
  9:
                        \beta \leftarrow 2^{|x|} > 2^g \wedge (\bigwedge_{u \in V}^{\cdot} 2^{|x|} > 2^g \cdot 2^{|u|})
10:
                        \Gamma_x \leftarrow \left\{ 2^{|x|} = 2^j \wedge \gamma [\alpha[2^j \, / \, 2^{|x|}] \, / \, \alpha : \alpha \in A \right],
11:
                                           2^{|x|} > 2^g \wedge 2^{|x|} = 2^j \cdot 2^{|v|} \wedge \gamma [\alpha [2^j \cdot 2^{|v|} / 2^{|x|}] / \alpha : \alpha \in A],
12:
                                          \beta \wedge \lambda(a) \cdot 2^{|x|} < \lambda(\sigma) \wedge \sigma < 0 \wedge \gamma[\top / \alpha : \alpha \in A],
13:
                                          \beta \wedge \lambda(\alpha) \cdot 2^{|x|} < \lambda(\sigma) \wedge \sigma \ge 0 \wedge \gamma[\bot / \alpha : \alpha \in A],
14:
                                          \beta \wedge \lambda(a) \cdot 2^{|x|} = \lambda(\sigma) \wedge \gamma [\alpha[\tfrac{\lambda(\sigma)}{\lambda(a)} \mathbin{/} 2^{|x|}] \mathbin{/} \alpha : \alpha \in A]\,,
15:
                                          \beta \wedge \lambda(a) \cdot 2^{|x|} = 2 \cdot \lambda(\sigma) \wedge \gamma \left[ \alpha \left[ \frac{2 \cdot \lambda(\sigma)}{\lambda(a)} / 2^{|x|} \right] / \alpha : \alpha \in A \right],
16:
                                          \beta \wedge \lambda(a) \cdot 2^{|x|} > 2 \cdot \lambda(\sigma) \wedge a < 0 \wedge \gamma |\top / \alpha : \alpha \in A|,
17:
                                          \beta \wedge \lambda(a) \cdot 2^{|x|} > 2 \cdot \lambda(\sigma) \wedge a > 0 \wedge \gamma[\bot / \alpha : \alpha \in A]
18:
                                          : \quad \gamma \in \Gamma_x, \ 0 \le j \le g, \ v \in V \}
19:
20: \Gamma \leftarrow \bigcup_{x \in \boldsymbol{x}} \{ (\bigwedge_{y \in \boldsymbol{x}} 2^{|x|} \ge 2^{|y|}) \land \gamma : \gamma \in \Gamma_x \}
                                                                                                                           \triangleright we next remove all occurrences of \lambda
21: \Sigma \leftarrow \{\sigma : \lambda(\sigma) \text{ is a subterm of some } \gamma \in \Gamma\} \setminus \{0\}
22: for \sigma \in \Sigma do
23:
                if \forall w_{\sigma} is not in \Pi' then
                        w_{\sigma} \leftarrow \text{fresh variable}; \text{ add } \forall w_{\sigma} \text{ to } \Pi'
                                                                                                                                                                      \triangleright update global \Pi'
25: \Theta \leftarrow \{(\sigma \neq 0 \land \neg(2^{|w_{\sigma}|} \leq |\sigma| < 2 \cdot 2^{|w_{\sigma}|})) : \sigma \in \Sigma\}
26: for each \Sigma' \subseteq \Sigma and each \gamma \in \Gamma do
                add to \Theta the following formula:
                (\bigwedge_{\sigma \in \Sigma'} 2^{|w_{\sigma}|} \leq |\sigma| < 2 \cdot 2^{|w_{\sigma}|}) \wedge (\bigwedge_{\sigma \in \Sigma \backslash \Sigma'} \sigma = 0) \wedge \gamma [2^{|w_{\sigma}|} \, / \, \lambda(\sigma) : \sigma \in \Sigma'] [0 \, / \, \lambda(\sigma) : \sigma \in \Sigma \setminus \Sigma']
29: return \{(\boldsymbol{x}, \theta) : \theta \in \Theta\}
```

### Algorithm 5 Function SimplifyDiv.

Input: formula  $\varphi$  almost in  $\mathcal{F}$ : may contain non-simple divisibility constraints Output: a cover for  $\varphi$  of formulae from  $\mathcal{F}$ , in which all divisibility constraints are simple

```
1: G \leftarrow set of non-simple divisibilities in \varphi

2: d \leftarrow least common multiple of all divisors in G

3: t \leftarrow all variables x and powers 2^{|y|} appearing in G

4: \Gamma \leftarrow \varnothing

5: \mathbf{for} \ r \in [t \rightarrow [d]] \ \mathbf{do}

6: \mathrm{add} \ ((\bigwedge_{t \in t} d \mid t - r(t)) \land \varphi[r(\alpha) \mid \alpha : \alpha \in G]) \ \text{to} \ \Gamma

7: \mathbf{where} \ r(q \mid \sum_{i=1}^n a_i \cdot t_i + c) \coloneqq q \mid \sum_{i=1}^n a_i \cdot r(t_i) + c \Rightarrow simplifies to \top or \bot

8: \mathbf{return} \ \Gamma
```

only perform the outer loop once. The prefix  $\Pi$  will always be empty, and thus the formula we are processing can always be considered an existentially quantified DNF, or equivalently a disjunction of existentials. It suffices to guess one disjunct, corresponding to one element of Q that is satisfiable. Thus, we will replace a deterministic inner loop that maintains a set of pairs in Q with a non-deterministic algorithm that maintains a single pair from Q. In the deterministic interpretation, calls to the subroutines in lines 9 and 10 replace a single element of Q with a set of pairs. In the nondeterministic interpretation, we guess one pair in the output of the subroutine as the new element of Q.

**Subroutines.** We turn from the Master procedure to its subroutines. The core of the subroutine PresQE (Algorithm 3) corresponds to Weispfenning's quantifier elimination for  $\mathcal{P}a$  [21], while Linearize given in Algorithm 2 is a simple procedure taking  $\mathcal{P}ow\mathcal{C}mp$  atomic formulae like  $2^{|x|} < 2^{|y|}$  and transforming them to Presburger formulae x < y by "taking logs". The SemCover subroutine (Algorithm 4) is a variation of procedures dating back to Semenov's [19]. This will be less familiar to most readers, and so we discuss it in detail here.

The purpose of subroutine SemCover is to ensure that, in each of the pairs  $(\boldsymbol{x}, \psi)$  in its output, some variable  $x \in \boldsymbol{x}$  appears only in atomic formulae from  $\mathcal{PowCmp}$ . Across all outputs, the identity of the variable x may differ. Thus, the subroutine is essentially " $\mathcal{PowCmp}$ -ifying" the formula. The significance of converting atomic formulae to  $\mathcal{PowCmp}$  is that powers can then be eliminated by just "taking logarithms", i.e., by invoking the procedure Linearize. And once a quantified variable is so heavily processed that it occurs only linearly (outside powers), then by applying standard Presburger arithmetic quantifier elimination, we can eliminate the variable completely using PresQE.

To be more precise about how SemCover assists the Master procedure, consider what happens when  $(x, \psi)$  from the output of SemCover gets popped from Q in the Master procedure. Our actions depend on the chosen fragment (unless x is eliminated from  $\psi$  entirely, in which case line 7 takes care of it).

- If  $\mathcal{F} = \mathcal{S}em$ , then, for some  $x \in x$ , we can move  $\exists x$  into  $\psi$  while still staying in the fragment, since x occurs only in power comparisons (line 8).
- If  $\mathcal{F} = \mathcal{QF}$ , then all occurrences of x became linear after the execution of Linearize on the output of SemCover, so the variable x can be eliminated by PresQE (line 9).

A look inside subroutine SemCover. Intuitively, the overall workflow of the Master procedure is repeated processing of atomic formulae lying within the scope of a particular block

of quantifiers. The constraints we process will be those containing "problematic quantified variables": those that appear in atomic formulae involving powers, but are outside the fragment  $\mathcal{P}ow\mathcal{C}mp$ . We exhibit the idea using the following subformula:

$$\exists x. \exists y. \quad 3 \cdot 2^{|x|} - 5 \cdot 2^{|y|} - z < 0. \tag{1}$$

Here both x and y are problematic within the sole atomic subformula of the quantified formula. A major component of all prior procedures is to replace such a formula with a quantified DNF corresponding to a case analysis on the relative values of the problematic variables. These cases correspond to lines 11 to 19 of Algorithm 4 and are a cover for the formula under analysis, hence the name "Semenov cover" given to the algorithm. Each case is defined by a  $\mathcal{PowCmp}$  "guard" and, under the assumption specified in a given case, we will be able to eliminate one problematic variable within a constraint, without introducing new problematic existentially-quantified variables. Thus, by applying the procedure repeatedly, we can expunge all problematic quantified variables.

The case analysis includes a guess as to which existentially quantified variable is the largest. In the example, one such guess is that  $2^{|x|}$  is the largest. In all the subcases for this guess, we will make x unproblematic. The Semenov cover breaks up this guess into several subcases. One subcase is where  $2^{|x|}$  is not much bigger than one of the other power terms, say  $2^{|x|} = 4 \cdot 2^{|y|}$ . In such cases we can substitute  $2^{|x|}$  by a constant multiple of the other term, where the constant is itself a power of 2. Returning to the subcase mentioned just above, where  $2^{|x|} = 4 \cdot 2^{|y|}$ , we can replace  $2^{|x|}$  by  $4 \cdot 2^{|y|}$ . The remaining case is where  $2^{|x|}$  is significantly bigger than remaining power terms like  $2^{|y|}$ ; the threshold for "significantly" is set by line 7 of Algorithm 4. In this case we further analyze the most significant digit of the binary expansion for each term.

▶ **Definition 1.** For any integer N, let  $\lambda(N)$  denote the *highest power of* 2 *below*  $|N|^{-1}$ ; we have  $\lambda(0) = 0$  and  $\lambda(N) \leq |N| < 2\lambda(N)$ .

Algorithm 4 will make use of intermediate terms that contain  $\lambda$ 's – for example,  $\lambda(\sigma)$  for  $\sigma$  an ordinary  $\mathcal{P}a(\lambda x.2^{|x|})$  term. The semantics of such terms is the obvious one, which could be formalized by translation into  $\mathcal{P}a(\lambda x.2^{|x|})$ , where the function  $\lambda$  is definable.

Returning to the example, our "significantly bigger" hypothesis implies that

$$\lambda(3 \cdot 2^{|x|} - 5 \cdot 2^{|y|}) = \lambda(3 \cdot 2^{|x|}) = 2^{x+1}.$$

This equality in turn implies that, when  $\lambda(3 \cdot 2^{|x|})$  is strictly below  $\lambda(z)$ , the corresponding inequality in Equation (1) is true:  $\lambda(3 \cdot 2^{|x|} - 5 \cdot 2^{|y|})$  is strictly below  $\lambda(z)$ , and while each term can differ from the corresponding  $\lambda$ , the difference cannot be large enough to make the inequality go the other way. By a similar argument, in the subcase where  $\lambda(3 \cdot 2^{|x|})$  is at least four times greater than  $\lambda(z)$ , the inequality must be false. Here we reason that if  $\lambda(3 \cdot 2^{|x|} - 5 \cdot 2^{|y|})$  is at least four times greater than  $\lambda(z)$ , and the offset of each term from its  $\lambda$  value cannot change the inequality from true to false.

This leaves some subcases where  $\lambda(3 \cdot 2^{|x|})$  is close to  $\lambda(z)$ , and in these cases we can substitute away  $2^{|x|}$  as well. For example, in the subcase where  $\lambda(3 \cdot 2^{|x|}) = \lambda(z)$ , we note that  $\lambda(3 \cdot 2^{|x|}) = 2 \cdot 2^{|x|}$ , and thus we could replace  $2^{|x|}$  with  $\lambda(z)/2$ . By multiplying through the inequality by 2, we can eliminate the division by 2.

We will be mostly concerned with this function on positive integers, but using absolute values gives us the convenience of avoiding partial functions.

Using the output of SemCover. The procedure above removed x, but there are several caveats. Firstly, each case was associated with a condition, where the problematic variable x still appears! However, these conditions are in  $\mathcal{P}ow\mathcal{C}mp$ , and therefore all occurrences of x are now unproblematic. Secondly, in some of our substitutions to eliminate x, we introduced  $\lambda$  terms, which appear both in the condition describing the case and in the formula obtained by substituting (assuming the condition). One solution to this problem, applied in earlier procedures such as Point's [15], is to extend the signature with several functions such as  $\lambda$ , and declare that such conditions are acceptable. In this way one can obtain quantifier elimination in the extended signature. In our SemCover procedure we proceed slightly differently, eliminating  $\lambda$  terms in favor of new variables that are bound by definitional quantifiers. That is, the new variables are associated with additional conditions which define them from the free variables. For example,  $\lambda(z)$  can be replaced by  $2^w$ , with additional conditions  $2^w < z < 2 \cdot 2^w$ . There is a unique such w for a given z, so the quantification over w can be thought of simultaneously as an existential conjoined with this condition and as a universal relativized to this condition. Such quantifications take us out of  $\mathscr{PowCmp}$ . But when considered as leading universal quantifiers, they will not increase the quantifier alternation of the global formula - they will add on variables to the next quantified block considered in the Master procedure. And since Algorithm 1 will process from inner quantifier blocks outward, the fattening of outer quantifier blocks does not jeopardize termination of our procedure. Note that at the end of processing quantifier blocks outward with Algorithm 1, we will have only an outermost block of definitional quantifiers; if there are no free variables in the top-level input formula  $\Phi$ , the quantified variables will depend only on constants, and thus can be replaced by numbers, leading to a quantifier-free sentence.

Analysis of the core procedure. We analyze the procedure in the Appendix, showing in particular that each of Algorithms 1–5 correctly implements its specification. In the sequel we will also need the following facts.

- ▶ Lemma 3. All divisibility constraints in  $D \cup \{\varphi : (x, \varphi) \in Q \text{ for some } x\}$  are simple.
- ▶ **Lemma 4.** The Master procedure always terminates and, on a formula  $\Phi(y)$ , returns an equivalent formula  $\Phi'(y)$  such that:

```
■ \Phi'(\mathbf{y}) is equal to either \exists \mathbf{w}.\varphi'(\mathbf{w},\mathbf{y}) or \neg \exists \mathbf{w}.\varphi'(\mathbf{w},\mathbf{y}), where \varphi' \in \mathcal{F}, 
■ if \Phi is a sentence, then \Phi' \in \mathcal{F} (in other words, if \mathbf{y} is empty, then \mathbf{w} is empty).
```

In fact,  $\Psi'$  starts with  $\neg$  iff the outermost quantifier block of  $\Phi$  is existential. However, by an appropriate rewriting of  $\Phi'$ , the new block  $\exists \boldsymbol{w}$  can be replaced with  $\forall \boldsymbol{w}$ , thanks to definitional quantification: the value of each  $w \in \boldsymbol{w}$  depends in a functional way on the values assigned to  $\boldsymbol{y}$ .

▶ Lemma 5. Consider a prenex formula  $\Pi.\Phi$ , with  $\Phi$  from  $\mathcal{F}$ , in which all variables from the quantifier prefix  $\Pi$  appear only linearly. When running the Master procedure on  $\Phi$ , SemCover is never invoked, Moreover, if no variable in  $\Phi$  occurs in a power term (i.e., it is a formula from  $\mathcal{P}a$ ), then the quantifier-free formula returned by the procedure is in  $\mathcal{P}a$ .

# Decision procedures and their complexity

In this section, we provide our top-level decision procedures, which make use of the core decision procedure presented earlier. We then provide a complexity analysis that establishes

Theorems 1 and 2. To simplify the exposition, the growth of the formulae returned by the procedure is described with the help of "parameter tables" having the following shape:

	p <sub>1</sub> (.)	$p_{2}(.)$	• • •	$p_n(.)$
$\varphi$	$a_1$	$a_2$		$a_n$
$\psi_1$	$f_{1,1}(a_1,\ldots,a_n)$	$f_{1,2}(a_1,\ldots,a_n)$		$f_{1,n}(a_1,\ldots,a_n)$
				• • •
$\psi_m$	$f_{m,1}(a_1,\ldots,a_n)$	$f_{m,2}(a_1,\ldots,a_n)$		$f_{m,n}(a_1,\ldots,a_n)$

In this table,  $\varphi, \psi_1, \dots, \psi_m$  are formulae,  $p_1(.), \dots, p_n(.)$  are parameter functions from formulae to  $\mathbb{N}, a_1, \dots, a_n \in \mathbb{N}_+$ , and all  $f_{j,k}$  are functions from  $\mathbb{N}^n$  to  $\mathbb{N}$ . The table states that

if 
$$p_i(\varphi) \leq a_i$$
 for all  $i \in [1, n]$ , then  $p_k(\psi_j) \leq f_{j,k}(a_1, \dots, a_n)$  for all  $j \in [1, m]$  and  $k \in [1, n]$ .

We sometimes give lower bounds to the values  $a_1, \ldots, a_n$  (see e.g.  $h \geq 2$  and  $a \geq 2$  in the table of Lemma 6) in order to simplify the definition of the functions  $f_{j,k}$ . Note that this does not change the semantics of the table. We sometimes write the ditto mark " inside a cell of the table. In that case, the ditto mark represents the value of the cell directly above it (e.g., the rightmost " appearing in the table of Lemma 6 is short for  $b + 2 \cdot v + 1$ ).

# Theorem 1: NExpTime upper bound for existential $\mathcal{P}a(\lambda x.2^{|x|})$

Before arguing for a NEXPTIME decision procedure for  $\exists \mathcal{P}a(\lambda x.2^{|x|})$ , we analyze the growth of formulae resulting from calls of PresQE, SemCover and Linearize.

Our analysis of the procedure PresQE simply merges the analysis of Weispfenning's quantifier elimination for Presburger arithmetic from [21] (implemented in lines 1 to 3 of PresQE) with an analysis on the subprocedure SimplifyDiv. Here are the resulting bounds:

▶ Lemma 6. On input  $(x, x, \varphi(x, z))$  where x only occur linearly in  $\varphi$ , the function PresQE returns a set  $\{(x, \psi_1), \ldots, (x, \psi_k)\}$  whose formulae satisfy the parameter table below  $(i \in [1, k])$ :

	#hom	heft	$\ \mathit{hom}(.)\ $	$\ \mathit{lin}(.)\ $	mod	${\mathscr B}$
$\varphi$	$h \ge 2$	v	$a \ge 2$	c	m	b
$\psi_i$	h	$2 \cdot v$	$2 \cdot a^2$	$a^{h+2}(c+m)$	$a \cdot m$	$b+2\cdot v+1$
$\bigvee_{j=1}^k \psi_j$	$h^2$	"	"	"	$a^h m$	k("+1)

Moreover,  $k \leq h \cdot c \cdot m^{2v+1} \cdot a^{2v+h+4}$ . The running time of the procedure is in  $(len(\varphi) \cdot c \cdot m)^{\text{poly}(h,v)}$ .

A simple analysis of the subroutine SemCover yields the following bounds.

▶ Lemma 7. Let  $\Theta = \{(\boldsymbol{x}, \theta_1), \dots, (\boldsymbol{x}, \theta_k)\}$  be the output of SemCover $(\boldsymbol{x}, \varphi(\boldsymbol{x}, \boldsymbol{z}))$ , where  $\boldsymbol{x} = (x_1, \dots, x_n)$  with  $n \ge 1$ . Then, the following parameter table holds, where  $i \in [1, k]$ :

	#hom	heft	$\ \mathit{lin}(.)\ $	mod	$\mathcal{B}$
$\varphi$	h	$v \ge 2$	$c \ge 2$	m	b
$\theta_i$	$h \cdot (v+10) + n$	v	$2^{11} \cdot v^2 \cdot c^4$	m	$b + h \cdot (v + 11) + n$
$\bigvee_{j=1}^k \theta_j$	$h \cdot 2^8 \cdot v^3 \log(c) + n^2$	"	"	"	$k \cdot ("+1)$

where  $k \leq (v+1)^{8h} \cdot \log(c)^h \cdot n$ . Moreover, (i) at most h universal quantifiers are added to the global variable  $\Pi'$ ; (ii) the running time of the procedure is in  $(\operatorname{len}(\varphi) \cdot n)^{\operatorname{poly}(h)}$ ; and (iii) for every  $i \in [1, k]$  there are at most h terms  $t \in \operatorname{hom}(\theta_i)$  that have some variable from  $\boldsymbol{x}$  and satisfy  $(t+c<0) \in \operatorname{lin}(\theta_i)$  with t+c<0 not in  $\operatorname{\mathfrak{PowCmp}}$ , for some  $c \in \mathbb{Z}$ .

Above, note that an estimate on  $\|hom(\theta_i)\|$  is missing. For SemCover, this parameter grows similarly to  $\|lin(\theta_i)\|$ , which by definition always bounds  $\|hom(\theta_i)\|$ . We also note that Lemma 7 gives an upper bound on the number of global variables added to  $\Pi'$ . This bound is later required to analyze  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$ , but is not needed in the context of deciding sentences from  $\exists \mathcal{P}a(\lambda x.2^{|x|})$ . Indeed, from Lemma 4, in this latter case  $\Pi'$  is empty.

We continue by analysing the function Linearize. Here, the bounds are quite simple, but one observation is in order: line 8 might require iterating through the q-1 residue classes of q in order to find suitable q' and r'. Since q is encoded in binary, this yields an exponential running time for Linearize (see m below), as shown in the following lemma.

▶ Lemma 8. Consider a set  $S = \{(\boldsymbol{x}, \theta_1), \dots, (\boldsymbol{x}, \theta_k)\}$  where  $\boldsymbol{x} = (x_1, \dots, x_n)$ , and let r be the maximum amount of variables appearing in some  $\theta_i$ . On input S, the function Linearize returns a set  $\{(\boldsymbol{x}, \theta_1'), \dots, (\boldsymbol{x}, \theta_k')\}$  with bounds as in the following table, for all  $j \in [1, k]$ :

	#hom	heft	$\ hom(.)\ $	$\ \mathit{lin}(.)\ $	mod	$\mathcal{B}$
$\theta_j$	h	v	a	$c \ge 2$	$m \ge 2$	b
$\theta_j'$	$h + (6 \cdot r + 2) \cdot n$	v	a	c	$m^2$	$22 \cdot b$

The running time of the procedure is in poly( $\max_{i=1}^{k} len(\theta_i), m, n, \#S$ ).

We now discuss the non-deterministic algorithm that decides  $\exists \mathcal{P}a(\lambda x.2^{|x|})$  in NExpTime, completing the digression on this algorithm in Section 4. As a preliminary step, the algorithm runs SimplifyDiv on the matrix of the input existential sentence  $\Phi$ , guessing a residue class for each variable and power, and obtaining an existential sentence where all the divisibilities are simple. The algorithm puts that sentence in prenex form. Afterwards, the algorithm follows Algorithm 1 (with  $\mathcal{F} = \mathcal{Q}\mathcal{F}$ ), but replaces  $\operatorname{pop}(Q)$  in line 5, as well as other forms of iterations inside PresQE and SemCover, with non-deterministic guesses. More precisely, when calling SemCover, the procedure guesses a variable  $x \in x$  in line 1, iterates (deterministically) over every  $(\eta, \sigma) \in H$  in line 5, and guesses only one of the cases in lines 11 to 18. As a result, in the non-deterministic version of SemCover, the variable  $\Gamma$  in line 20 contains a single formula  $\gamma$ . Since  $\Phi$  is an existential sentence, the various terms  $\sigma$  considered by SemCover are always 0. Hence,  $\lambda(\sigma)$  reduces to 0 and lines 21 to 28 have no effect on the procedure, which simply returns a singleton set containing the pair  $(x, \gamma)$ . For the subprocedure PresQE, non-deterministic guesses replace the iterations done in line 3, as well as the ones performed in line 5 of SimplifyDiv (as done in the aforementioned preliminary step of the algorithm).

The non-deterministic versions of PresQE and SemCover described above always return singleton sets containing a pair of the form  $(x, \theta)$ . Then, by the correctness of PresQE and SemCover (proved in the Appendix), we conclude that on an input sentence  $\Phi$  containing n quantified variables, the non-deterministic version of Algorithm 1 never calls each of the procedures PresQE, SemCover and Linearize more than n times. By looking at the bounds on  $\psi_i$ ,  $\theta_i$  and  $\theta'_j$  from Lemmas 6–8 we conclude that these 3n procedure calls (non-deterministically) return a formula that never requires more than exponential space to be represented. Since  $\Phi$  is a sentence and  $\mathcal{F} = \mathcal{QF}$ , the non-deterministic algorithm will eventually obtain a formula  $\Psi$  with no variables, only constants, which can be evaluated in exponential time. As usual, the algorithm returns true if one such (non-deterministically derived) formula  $\Psi$  is valid.

# Theorem 2: 3ExpTime upper bound for $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$

We now move to  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$ . Let  $\Phi$  be obtained by translating a sentence of  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  into a prenex sentence of  $\mathcal{P}a(\lambda x.2^{|x|})$  without divisibility constraints (i.e., replace each  $2^{\mathbb{N}}(x)$  with  $\exists y.\ x=2^{|y|}$ , each  $q\mid t$  with  $\exists z.\ t=q\cdot z$ , and bring the resulting sentence in prenex form). Note that this translation is in polynomial time, and that each variable in  $\Phi$  appears either always linearly or always in a power. The algorithm to decide  $\Phi$  is described below:

```
1: \Psi_1 \leftarrow \text{run Algorithm 1 with } \mathcal{F} = \mathcal{S}em, on \Phi \Rightarrow as \Phi is a sentence, \Psi_1 \in \mathcal{P}ow\mathcal{C}mp.

2: \Pi.\Psi_2(\boldsymbol{x}) \leftarrow \text{prenex form of } \Psi_1 \Rightarrow \Psi_2 q.f.; \boldsymbol{x} are the variables appearing in \Pi

3: \{(\boldsymbol{x}, \Psi_3)\} \leftarrow \text{Linearize}(\{(\boldsymbol{x}, \Psi_2)\}) \Rightarrow \Psi_3(\boldsymbol{x}) belongs to \Theta ct

4: \Omega \leftarrow \text{run Algorithm 1 with } \mathcal{F} = \mathcal{Q}\mathcal{F}, on \Pi.\Psi_3 \Rightarrow \Omega does not contain variables

5: evaluate truth of \Omega
```

Above we highlight the fact that, after the first invocation to Algorithm 1, we obtain a formula from  $\mathscr{PowCmp}$  which is then manipulated by Linearize into a formula from integer octagon arithmetic  $(\mathscr{O}ct)$ . Then, in order to estimate the running time of this algorithm, we need to study the running time of Algorithm 1 on inputs that either come from  $\mathscr{Pa}(2^{\mathbb{N}}(\cdot))$  or are from  $\mathscr{O}ct$ . Let us discuss the latter case first.

Since  $\Theta ct$  is a fragment of Presburger arithmetic, line 4 above fundamentally runs Weispfenning's quantifier elimination procedure for Presburger arithmetic (see Lemma 5), plus calls to SimplifyDiv. It turns out that on formulae from  $\Theta ct$ , this procedure only runs in exponential time, as summarized in the following proposition.

▶ Proposition 9. Let  $\mathcal{F} = \mathcal{QF}$ . Consider a formula  $\Phi(z)$  from integer octagon arithmetic ( $\mathfrak{O}ct$ ) in prenex form and having  $alt(\varphi) = \ell \geq 1$  quantifier blocks, each with  $n \geq 1$  many variables. On input  $\Phi$ , Algorithm 1 returns a formula  $\Psi$  with bounds as in the following table:

	#hom	heft	$\ \mathit{hom}(.)\ $	$\ \mathit{lin}(.)\ $	mod	${\mathcal B}$
Φ	$h \ge 2$	2	1	$c \ge 2$	$m \ge 2$	b
Ψ	$4 \cdot \#z^2$	2	1	$4^{\ell \cdot n}(c+2 \cdot m)$	m	$k(b+\ell\cdot n+1)$

where  $k \leq 2^{2^5\ell^2n^2} (\#z^2 \cdot c \cdot m)^{\ell \cdot n}$ . The running time of the procedure is in  $(len(\Phi) \cdot c \cdot m)^{\text{poly}(\ell,n)}$ .

The proof of this proposition is by induction on  $alt(\varphi)$ , and essentially follows the standard arguments to bound the running time of the quantifier elimination procedure for Presburger arithmetic. The key ingredient that leads to the bounds above is that, for  $\mathcal{O}ct$ , the natural numbers a in line 3 and g in line 2 of PresQE are always 1. This has two effects. Firstly, it shows that  $\mathcal{O}ct$  admits quantifier elimination, i.e., while running the procedure no atomic formulae outside  $\mathcal{O}ct$  can arise. This is best witnessed by looking at line 3 in PresQE. There, the divisibility constraints  $a \mid t+k$  are trivially satisfied, and we are substituting x with a term of the form  $\pm y+c$  for some  $c\in\mathbb{Z}$ . From these substitutions, only constraints from  $\mathcal{O}ct$  or constraints of the form  $\pm 2 \cdot y < b$  can arise, and the latter are normalized to  $x \leq \lfloor \frac{b-1}{2} \rfloor$  or  $x \leq \lceil \frac{b-1}{2} \rceil$  as explained in Section 2. The second effect is on the growth of the constants. The variable r in line 3 only depends on  $mod(\Phi)$ , which now does not grow during the procedure, and on  $\|lin(\Phi)\|$ , which grows only exponentially in the number of variables in  $\Phi$ .

We now move to the running time of Algorithm 1 on inputs that come from  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$ . The properties of this procedure are summarized in the next proposition.

▶ Proposition 10. Let  $\mathcal{F} = \mathcal{S}em$ . Let  $\Phi(y)$  be a formula from  $\mathcal{P}a(\lambda x.2^{|x|})$  in prenex normal form, with no divisibility constraints, and in which each quantified variable appear either

only linearly or only in powers. Suppose  $\Phi$  has  $alt(\Phi) = B$  quantifier blocks, each with at most  $L \geq 1$  variables occurring linearly and each having at most  $E \geq 1$  variables occurring in powers. On input  $\Phi$ , Algorithm 1 returns a formula  $\Psi$  with bounds as in the following table:

	#hom	heft	$\ \mathit{lin}(.)\ $	mod	$\mathscr{B}$
Φ	$h \ge 2$	$v \ge 2$	$c \ge 4$	$m \ge 4$	b
Ψ	$H := (E \cdot h \cdot \log(c \cdot m))^{(2 \cdot v)^{2^5 \cdot L \cdot B^3}}$	$2^{B \cdot L} v$	$2^H$	$2^H$	$b \cdot 2^H$

and the number of quantifiers added to  $\Pi'$  is at most H. The running time of the algorithm is in  $len(\Phi) \uparrow (E \cdot h \cdot log(c \cdot m)) \uparrow v \uparrow poly(L, B)$ .

In the above proposition,  $a \uparrow b := a^b$  is the exponentiation function and, following Knuth's up-arrow notation, it is right-associative. In view of our bounds for one iteration of SemCover given in Lemma 7, the bound on  $\#hom(\Psi)$  should seem somewhat surprising. We know from the correctness of SemCover (proved in the Appendix) that, after a call to SemCover, one of the variables appearing in powers will only occur in constraints from PowCmp. Since all these variables need to have this property before moving to the next quantifier block, SemCover must be chained at least E many times (E being the number of variables occurring in powers in the current quantifier block). Then, from the bound  $\#hom(\theta_i) \le h \cdot (v+10) + n$ in Lemma 7, one might expect  $\#hom(\Psi)$  to be exponential in E. However, as Proposition 10 shows, this is not the case:  $\#hom(\Psi)$  is only polynomial in E. The key reason for this can be traced back to our decision, in lines 11 to 18 of SemCover, to only replace  $2^{|x|}$  in linear terms  $\alpha \in A$  with either a constant, a unique (given  $\alpha$ ) expression  $\lambda(\sigma)$ , or a multiple of a power  $2^{|y|}$ , where y appears in  $\alpha$ . Iterating these types of replacements exhausts the number of terms that can be generated within a function in v, i.e., the heft of the formula, instead of E. Crucially, Lemma 7 shows that SemCover does not change the heft of the formula, and we note that  $\#hom(\Psi)$  in Proposition 10 is found to be exponential in v, as (now) expected. To conclude, we remark that if  $\#hom(\Psi)$  was instead found to be exponential in E, then the algorithm would not have any hope of running in elementary time. This is because, after a block of quantifiers is considered, E increases by the number of variables introduced in SemCover, which from Lemma 7 is roughly the number of homogeneous terms.

To prove Theorem 2 it suffices to chain the bounds and running times of Proposition 10, Lemma 8 and Proposition 9, according to the algorithm given at the beginning of the section.

Avoiding quadruply exponential numbers. It may not be immediately evident from the bounds in the various tables why we do not perform quantifier elimination eagerly and instead run Algorithm 1 without fully eliminating quantifiers first (in mode  $\mathcal{S}em$ ), then call Linearize, and only afterwards eliminate the remaining quantifiers by running Algorithm 1 again (now in mode  $\mathcal{Q}\mathcal{F}$ ). In fact, this sequence is fundamental for obtaining a 3ExpTime procedure. Consider the formula  $\Psi := q \mid 2^{|x|} - r \wedge y \geq 2^{|x|}$ . For specific values of q and r, the smallest |x| satisfying  $\Psi$  might be q-1. If  $\Psi$  is a subformula obtained during quantifier elimination, then, according to Proposition 10, q might have a triply exponential magnitude relative to the input size. This means that the smallest y satisfying  $\Psi$  might have a quadruply exponential magnitude. Eliminating x and y in this case would lead to a quadruply exponential blow-up in the number of disjuncts to be considered during quantifier elimination. Our strategy avoids this problem by delaying (if necessary) the elimination of x and y until we obtain a formula in  $\mathcal{P}owCmp$ . Calling Linearize reduces the reasoning to the exponents, which are triply exponential at worst.

An observation on Oct. The bounds for integer octagon arithmetic presented in Proposition 9 reveal not only that this logic admits an exponential-time quantifier elimination procedure, but also that the satisfaction problem for this theory can be solved in PSPACE. Indeed, observe that the bound on  $||lin(\Psi)||$  given in Proposition 10 implies that all constants and coefficients from appearing in the output formula  $\Psi$  have polynomial bit-length. Then, one can apply the standard quantifier relativization algorithm from  $\mathcal{P}a$  to obtain a PSPACE procedure for  $\mathcal{O}ct$ . Briefly, the quantifier relativization procedure for  $\mathcal{P}a$  first replaces every quantifier  $\exists x.\varphi$  in the input formula with a bounded quantifier  $\exists x \in [-f(\varphi), f(\varphi)]. \varphi$ , where  $f: \mathcal{P}a \to \mathbb{N}$ , and then iterates through all (finitely many) values the quantified variable can take, searching for a solution to the formula. The bound on  $||lin(\Psi)||$  obtained for  $\mathcal{O}ct$  implies that  $f(\Psi)$  has bit-length that is at most polynomial in  $len(\Psi)$ . See [18] for more information on quantifier relativization.

On the non-elementary bound for  $\mathcal{P}a(\lambda x.2^{|x|})$ . To conclude, we provide some insights on why our procedure runs in non-elementary time on formulae from the Tower-complete logic  $\mathcal{P}a(\lambda x.2^{|x|})$ . One of the ingredients that guarantee that our procedure for  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  runs in 3ExpTime is that we are able to postpone calls to Linearize to after Algorithm 1. In  $\mathcal{P}a(\lambda x.2^{|x|})$  this is not possible: since each variable can appear both linearly and in powers, Linearize must be invoked after each call to SemCover, in order to "linearize" a variable, and then eliminate it with PresQE. However, SemCover adds, in the worst case, a number of additional variables that is roughly the number h of homogeneous terms in the formula (see Lemma 7). When the next quantifier block is considered, these variables must all be linearized and eliminated with PresQE. As indicated in the table of Lemma 6 (leftmost column of the last row), in doing this, the number of homogeneous terms of the resulting formula becomes exponential in h. This " $h^h$ " dependency makes the algorithm run in non-elementary time (in fact Tower).

## 6 Conclusion

We have proven new elementary upper bounds for  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$ , and for the existential fragment of  $\mathcal{P}a(\lambda x.2^{|x|})$ . We believe this is a step towards understanding which decidable arithmetic theories have elementary bounds, and moreover that our method extends to provide elementary bounds for any prefix class of  $\mathcal{P}a(\lambda x.2^{|x|})$ , but we leave this for future work. Our results open several interesting research directions, which we now summarize.

The complexity of  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  It is well-known that, using the bounds on the formulae returned by quantifier elimination procedures for  $\mathcal{P}a$ , one can derive a 2AEXPTIME(POLY) quantifier relativization procedure for  $\mathcal{P}a$  [21]. Here 2AEXPTIME(POLY) is the class of all problems that can be decided with an alternating Turing machine running in doubly exponential time and performing a polynomial number of alternations. In fact,  $\mathcal{P}a$  is complete for this class under polynomial-time reductions [1]. Our 3EXPTIME procedure for  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  shows that, in terms of deterministic time complexity, this theory is not harder to decide than  $\mathcal{P}a$ . However, at this stage obtaining a 2AEXPTIME(POLY) quantifier relativization algorithm from the bounds of our procedure seems not easy.

**Automata-based decision procedures.** As  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  is a fragment of Büchi arithmetic, it also admits a representation by finite automata. It appears possible that the automata-based procedure for  $\mathcal{P}a$  [11, 7] could be adapted to  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$ . However, having the procedure run

in 3ExpTime might be very challenging. This is due to the fact that, as observed above,  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  formulae may require numbers of quadruply exponential magnitude; instead of triply exponential as in the case of  $\mathcal{P}a$ .

**Geometric decision procedures.** A class of regular expressions corresponding to  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  was already defined by Semenov [19, Theorem 5]. These expressions can be seen as an extension of semilinear sets, so it is conceivable that there is an elementary decision procedure for  $\mathcal{P}a(2^{\mathbb{N}}(\cdot))$  which is based on geometry and manipulates these objects directly. However, similarly to the automata-based approach, making such a procedure run in 3EXPTIME, as the recent one for  $\mathcal{P}a$  [5] does, appears challenging.

The complexity of  $\exists \mathcal{P}a(\lambda x.2^{|x|})$  An obvious question is whether our upper bound for the existential fragment can be improved. For comparison, the existential fragment of Büchi arithmetic is known to be in NP [9]. While the same may be true for  $\exists \mathcal{P}a(\lambda x.2^{|x|})$ , it would be very surprising if such a result were to be proved with a technique similar to the one in our paper. In our NEXPTIME algorithm for  $\exists \mathcal{P}a(\lambda x.2^{|x|})$ , the main source of blow-up is the use of Weispfenning's quantifier elimination procedure to eliminate linearly occurring variables. Quantifier elimination is known to be often non-optimal when it comes to deciding existential fragments of logics, and this is the case for  $\exists \mathcal{P}a$ , the existential fragment of Presburger arithmetic. A possible avenue to improve the NEXPTIME upper bound would be to look at geometric procedures, which in the context of  $\exists \mathcal{P}a$  perform much better.

Improving the NP lower bound is also challenging. There are several extensions of  $\exists \mathcal{P}a$  that currently fall between NP and NExpTime. These include  $\exists \mathcal{P}a$  with pre-quadratic constraints [17] and  $\exists \mathcal{P}a$  with divisibility constraints [12]. One idea is to exploit the ability of  $\exists \mathcal{P}a(\lambda x.2^{|x|})$  to express a pairing function, that is, an injection from  $\mathbb{N} \times \mathbb{N}$  to  $\mathbb{N}$ , with, e.g., the formula  $z = 2^{|2x|} + 2^{|2y+1|}$  [6, p. 55]. Pairing functions are known to lead to non-elementary lower bounds in the presence of quantifier alternation, and an interesting direction would be to study their effect on existential theories.

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