

# Positionality in $\Sigma_2^0$ and a completeness result

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## Abstract

We study the existence of positional strategies for the protagonist in infinite duration games over arbitrary game graphs. We prove that prefix-independent objectives in  $\Sigma_2^0$  which are positional and admit a (strongly) neutral letter are exactly those that are recognised by history-deterministic monotone co-Büchi automata over countable ordinals. This generalises a criterion proposed by [Kopczyński, ICALP 2006] and gives an alternative proof of closure under union for these objectives, which was known from [Ohlmann, TheoretiCS 2023].

We then give two applications of our result. First, we prove that the mean-payoff objective is positional over arbitrary game graphs. Second, we establish the following completeness result: for any objective  $W$  which is prefix-independent, admits a (weakly) neutral letter, and is positional over finite game graphs, there is an objective  $W'$  which is equivalent to  $W$  over finite game graphs and positional over arbitrary game graphs.

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## 1 Introduction

### 1.1 Context

**Games.** We study infinite duration games on graphs. In such a game, two players, Eve and Adam, alternate forever in moving a token along the edges of a directed, possibly infinite graph (called *arena*), whose edges are labelled with elements of some set  $C$ . An *objective*  $W \subseteq C^\omega$  is specified in advance; Eve wins the game if the label of the produced infinite path belongs to  $W$ . A *strategy* in such a game is called *positional* if it depends only on the current vertex occupied by the token, regardless of the history of the play.

We are interested in *positional objectives*: those for which existence of a winning strategy for Eve entails existence of a winning positional strategy for Eve, on an arbitrary arena. Sometimes we also consider a weaker property: an objective is *positional over finite arenas* if the above implication holds on any finite arena.

**Early results.** Although the notion of positionality is already present in Shapley's seminal work [29], the first positionality result for infinite duration games was established by Ehrenfeucht and Mycielsky [10], and it concerns the mean-payoff objective

$$\text{Mean-Payoff}_{\leq 0} = \left\{ w_0 w_1 \cdots \in \mathbb{Z}^\omega \mid \limsup_k \frac{1}{k} \sum_{i=0}^{k-1} w_i \leq 0 \right\},$$

over finite arenas. Nowadays, many proofs are known that establish positionality of mean-payoff games over finite arenas.

Later, and in a different context, Emerson and Jutla [11] as well as Mostowski [23] independently established positionality of the parity objective

$$\text{Parity}_d = \left\{ p_0 p_1 \cdots \in \{0, 1, \dots, d\}^\omega \mid \limsup_k p_k \text{ is even} \right\}$$

over arbitrary arenas. This result was used to give a direct proof of the possibility of complementing automata over infinite trees, which is the key step in Rabin's celebrated proof of decidability of S2S [27]. By now, several proofs are known for positionality of parity games, some of which apply to arbitrary arenas.

Both parity games and mean-payoff games have been the object of considerable attention over the past three decades; we refer to [12] for a thorough exposition. By symmetry, these games are positional not only for Eve but also for the opponent, a property we call *bi-positionality*. Parity and mean-payoff objectives, as well as the vast majority of objectives that are considered in this context, are *prefix-independent*, that is, invariant under adding or removing finite prefixes.

**Bi-positionality.** Many efforts were devoted to understanding positionality in the early 2000's. These culminated in Gimbert and Zielonka's work [15] establishing a general characterisation of bi-positional objectives over finite arenas, from which it follows that an objective is bi-positional over finite arenas if and only if it is the case for 1-player games. On the other hand, Colcombet and Niwiński [8] established that bi-positionality over arbitrary arenas is very restrictive: any prefix-independent objective which is bi-positional over arbitrary arenas can be recast as a parity objective.

Together, these two results give a good understanding of bi-positional objectives, both over finite and arbitrary arenas.

**Positionality for Eve.** In contrast, less is known about those objectives which are positional for Eve, regardless of the opponent (this is sometimes called half-positionality). This is somewhat surprising, considering that positionality is more in-line with the primary application in synthesis of reactive systems, where the opponent, who models an antagonistic environment, need not have structured strategies. The thesis of Kopczyński [19] proposes a number of results on positionality, but no characterisation. Kopczyński proposed two classes of prefix-independent objectives, *concave objectives* and *monotone objectives*, which are positional respectively over finite and over arbitrary arenas. Both classes are closed under unions, which motivated the following conjecture.

► **Conjecture 1** (Kopczyński's conjecture [19, 18]). *Prefix-independent positional objectives are closed under unions.*

This conjecture was disproved by Kozachinskiy in the case of finite arenas [20], however, it remains open for arbitrary ones (even in the case of countable unions instead of unions).

**Neutral letters.** Many of the considered objectives contain a *neutral letter*, that is an element  $\varepsilon \in C$  such that  $W$  is invariant under removing arbitrary many occurrences of the letter  $\varepsilon$  from any infinite word. For instance,  $\varepsilon = 0$  is a neutral letter of the parity objective  $\text{Parity}_d$ . There are two variants of this definition, *strongly neutral letter* and *weakly neutral letter*, which are formally introduced in the preliminaries. It is unknown whether adding a neutral letter to a given objective may affect its positionality [19, 25].

**Borel classes.** To stratify the complexity of the considered objectives we use Borel hierarchy [17]. This follows the classical approach to Gale-Stewart games [13], where the determinacy theorem was gradually proved for more and more complex Borel classes:  $\Sigma_2^0$  in [31] and  $\Sigma_3^0$  in [9]. This finally led to Martin's celebrated result on all Borel objectives [22].

To apply this technique, we assume for the rest of the paper that  $C$  is at most countable. Thus,  $C^\omega$  becomes a Polish topological space, with open sets of the form  $L \cdot C^\omega$  where  $L \subseteq C^*$  is arbitrary. Closed sets are those whose complement is open. The class  $\Sigma_2^0$  contains all sets which can be obtained as a countable union of some closed sets.

**Recent developments.** A step forward in the study of positionality (for Eve) was recently made by Ohlmann [25] who established that an objective admitting a (strongly) neutral letter is positional over arbitrary arenas if and only if it admits well-ordered monotone universal graphs. Note that this characterisation concerns only positionality over arbitrary arenas. This allowed Ohlmann to prove closure of prefix-independent positional objectives (over arbitrary arenas) admitting a (strongly) neutral letter under finite lexicographic products, and, further assuming membership in  $\Sigma_2^0$ , under finite unions<sup>1</sup>.

Bouyer, Casares, Randour, and Vandenhoove [2] also used universal graphs to characterise positionality for objectives recognised by deterministic Büchi automata. They observed that for such an objective  $W$  finiteness of the arena does not impact positionality:  $W$  is positional over arbitrary arenas if and only if it is positional over finite ones.

Going further, Casares [4] recently proposed a characterisation of positionality for all  $\omega$ -regular objectives. As a by-product, it follows that Conjecture 1 holds for  $\omega$ -regular

<sup>1</sup> In [25], an assumption called “non-healing” is used. This assumption is in fact implied by membership in  $\Sigma_2^0$ .

objectives<sup>2</sup>, and that again finiteness of the arena does not impact positionality.

## 1.2 Contributions

**Positionality in  $\Sigma_2^0$ .** As mentioned above, Kopczyński introduced the class of *monotonic objectives*, defined as those of the form  $C^\omega \setminus L^\omega$ , where  $L$  is a language recognised by a finite linearly-ordered automaton with certain monotonicity properties on transitions. He then proved that monotonic objectives are positional over arbitrary arenas. Such objectives are prefix-independent and belong to  $\Sigma_2^0$ ; our first contribution is to extend Kopczyński's result to a complete characterisation (up to neutral letters) of positional objectives in  $\Sigma_2^0$ .

► **Theorem 2.** *Let  $W \subseteq C^\omega$  be a prefix-independent  $\Sigma_2^0$  objective admitting a strongly neutral letter. Then  $W$  is positional over arbitrary arenas if and only if it is recognised by a countable history-deterministic well-founded monotone co-Büchi automaton.*

The proof of Theorem 2 is based on Ohlmann's *structuration* technique which is the key ingredient to the proof of [25]. As an easy by-product of the above characterisation, we reobtain the result that Kopczyński's conjecture holds for countable unions of  $\Sigma_2^0$  objectives (assuming that the given objectives all have strongly neutral letters).

► **Corollary 3.** *If  $W_0, W_1, \dots$  are all positional prefix-independent  $\Sigma_2^0$  objectives, each admitting a strongly neutral letter, then the union  $\bigcup_{i \in \mathbb{N}} W_i$  is also positional.*

**From finite to arbitrary arenas.** The most important natural example of an objective which is positional over finite arenas but not on infinite ones is Mean-Payoff $_{\leq 0}$ , as defined above. However, as a straightforward consequence of their positionality [3, Theorem 3], it holds that over finite arenas, Mean-Payoff $_{\leq 0}$  coincides with the energy condition

$$\text{Bounded} = \left\{ w_0 w_1 \cdots \in \mathbb{Z}^\omega \mid \sup_k \sum_{i=0}^{k-1} w_i \text{ is finite} \right\},$$

which turns out to be positional even over arbitrary arenas [25].

Applying Corollary 3, we establish that with strict threshold, the mean-payoff objective

$$\text{Mean-Payoff}_{<0} = \left\{ w_0 w_1 \cdots \in \mathbb{Z}^\omega \mid \limsup_k \frac{1}{k} \sum_{i=0}^{k-1} w_i < 0 \right\}$$

is in fact positional over arbitrary arenas.

Now say that two prefix-independent objectives are *finitely equivalent*, written  $W \equiv W'$ , if they are won by Eve over the same finite arenas. As observed above, Mean-Payoff $_{\leq 0} \equiv \text{Bounded}$ , which is positional over arbitrary arenas. Likewise, its complement

$$\mathbb{Z}^\omega \setminus \text{Mean-Payoff}_{\leq 0} = \left\{ w_0 w_1 \cdots \in \mathbb{Z}^\omega \mid \limsup_k \frac{1}{k} \sum_{i=0}^{k-1} w_i \geq 0 \right\}$$

is, up to changing each weight  $w \in \mathbb{Z}$  by the opposite one  $-w \in \mathbb{Z}$ , isomorphic to

$$\left\{ w_0 w_1 \cdots \in \mathbb{Z}^\omega \mid \liminf_k \frac{1}{k} \sum_{i=0}^{k-1} w_i < 0 \right\}.$$

<sup>2</sup> In fact, Casares proved a strengthening of the conjecture when only one objective is required to be prefix-independent.

The letter condition is finitely equivalent to  $\text{Mean-Payoff}_{\leq 0}$  (where the liminf is replaced with a limsup), which, as explained above, turns out to be positional over arbitrary arenas.

Thus, both  $\text{Mean-Payoff}_{\leq 0}$  and its complement are finitely equivalent to objectives that are positional over arbitrary arenas. This brings us to our main contribution, which generalises the above observation to any prefix-independent objective admitting a (weakly) neutral letter which is positional over finite arenas.

► **Theorem 4.** *Let  $W \subseteq C^\omega$  be a prefix-independent objective which is positional over finite arenas and admits a weakly neutral letter. Then there exists an objective  $W' \equiv W$  which is positional over arbitrary arenas.*

**Structure of the paper** Section 2 introduces all necessary notions, including Ohlmann's structurations results. Section 3 proves our characterisation result Theorem 2 and its consequence Corollary 3, and provides a few examples. Then we proceed in Section 4 with establishing positionality of  $\text{Mean-Payoff}_{\leq 0}$  over arbitrary arenas, and proving Theorem 4.

## 2 Preliminaries

**Graphs.** We fix a set of letters  $C$ , which we assume to be at most countable. A  $C$ -graph  $G$  is comprised of a (potentially infinite) set of *vertices*  $V(G)$  together with a set of *edges*  $E(G) \subseteq V(G) \times C \times V(G)$ . An edge  $e = (v, c, v') \in E(G)$  is written  $v \xrightarrow{c} v'$ , with  $c$  being the *label* of this edge. We say that  $e$  is *outgoing* from  $v$ , that it is *incoming* to  $v'$ , and that it is *adjacent* to both  $v$  and to  $v'$ . We assume that each vertex  $v \in V(G)$  has at least one outgoing edge (we call this condition being *sinkless*).

We say that  $G$  is *finite* (resp. *countable*) if both  $V(G)$  and  $E(G)$  are finite (resp. countable). The *size* of a graph is defined to be  $|G| = |V(G)|$ .

A (finite) *path* is a (finite) sequence of edges with matching endpoints, meaning of the form  $v_0 \xrightarrow{c_0} v_1, v_1 \xrightarrow{c_1} v_2, \dots$ , which we conveniently write as  $v_0 \xrightarrow{c_0} v_1 \xrightarrow{c_1} \dots$ . We say that  $\pi$  is a *path from  $v_0$  in  $G$* , and that vertices  $v_0, v_1, v_2, \dots$  appearing on the path are *reachable* from  $v_0$ . We use  $G[v_0]$  to denote the restriction of  $G$  to vertices reachable from  $v_0$ . The *label* of a path  $\pi$  is the sequence  $c_0 c_1 \dots$  of labels of its edges; it belongs to  $C^\omega$  if  $\pi$  is infinite and to  $C^*$  otherwise. We sometimes write  $v \xrightarrow{w}$  to say that  $w$  labels an infinite path from  $v$ , or  $v \xrightarrow{w} v'$  to say that  $w$  labels a finite path from  $v$  to  $v'$ . We write  $L(G, v_0) \subseteq C^\omega$  for the set of labels of all infinite paths from  $v_0$  in  $G$ , and  $L(G) \subseteq C^\omega$  for the set of labels of all infinite paths in  $G$ , that is the union of  $L(G, v_0)$  over all  $v_0 \in V(G)$ .

A *graph morphism* from  $G$  to  $G'$  is a map  $\phi: V(G) \rightarrow V(G')$  such that for every edge  $v \xrightarrow{c} v' \in E(G)$ , it holds that  $\phi(v) \xrightarrow{c} \phi(v') \in E(G')$ . We write  $G \xrightarrow{\phi} G'$ . We sometimes say that  $G$  *embeds* in  $G'$  or that  $G'$  *embeds*  $G$ , and we write  $G \rightarrow G'$ , to say that there exists a morphism from  $G$  to  $G'$ . Note that  $G \rightarrow G'$  implies  $L(G) \subseteq L(G')$ .

A graph  $G$  is  $v_0$ -*rooted* if it has a distinguished vertex  $v_0 \in V(G)$  called the *root*. A *tree*  $T$  is a  $t_0$ -rooted graph such that all vertices in  $T$  admit a unique finite path from the root  $t_0$ .

**Games.** A  $C$ -arena is given by a  $C$ -graph  $A$  together with a partition of its vertices  $V(A) = V_{\text{Eve}} \sqcup V_{\text{Adam}}$  into those controlled by Eve  $V_{\text{Eve}}$  and those controlled by Adam  $V_{\text{Adam}}$ . A *strategy* (for Eve)  $(S, \pi)$  in an arena  $A$  is a graph  $S$  together with a surjective morphism  $\pi: S \rightarrow A$  satisfying that for every vertex  $v \in V_{\text{Adam}}$ , every outgoing edge  $v \xrightarrow{c} v' \in E(G)$ , and every  $s \in \pi^{-1}(v)$ , there is an outgoing edge  $s \xrightarrow{c} s' \in E(S)$  with  $\pi(s') = v'$ . Recall that under our assumptions every vertex needs to have at least one outgoing edge, thus for every  $v \in V_{\text{Eve}}$  and every  $s \in \pi^{-1}(v)$  there must be at least one outgoing edge from  $s$  in  $S$ .

The example arenas in this work are drawn following the standard notation, where circles denote vertices controlled by Eve and squares denote those controlled by Adam. Vertices with a single outgoing edge are denoted by a simple dot, it does not matter who controls them.

A strategy is *positional* if  $\pi$  is injective. In this case, we can assume that  $V(S) = V(A)$  and  $E(S) \subseteq E(A)$ , with  $\pi$  being identity.

An *objective* is a set  $W \subseteq C^\omega$  of infinite sequences of elements of  $C$ . In this paper, we will always work with *prefix-independent* objectives, meaning objectives which satisfy  $cW = W$  for all  $c \in C$ ; this allows us to simplify many of the definitions. We say that a graph  $G$  *satisfies* an objective  $W$  if  $L(G) \subseteq W$ . A *game* is given by a  $C$ -arena  $A$  together with an objective  $W$ . It is *winning* (for Eve) if there is a strategy  $(S, \pi)$  such that  $S$  satisfies  $W$ . In this case, we also say that Eve *wins* the game  $(A, W)$  with strategy  $(S, \pi)$ . We say that an objective  $W$  is *positional* (over finite arenas or over arbitrary arenas) if for any (finite or arbitrary) arena  $A$ , if Eve wins the game  $(A, W)$  then she wins  $(A, W)$  with a positional strategy.

**Neutral letters.** A letter  $\varepsilon \in C$  is said to be *weakly neutral* for an objective  $W \subseteq C^\omega$  if for any word  $w \in C^\omega$  decomposed into  $w = w_0 w_1 \dots$  with non-empty words  $w_i \in C^+$ ,

$$w \in W \iff \varepsilon w_0 \varepsilon w_1 \varepsilon \dots \in W.$$

A weakly neutral letter  $\varepsilon \in C$  is *strongly neutral* if in the above, the  $w_i$  can be chosen empty, and moreover,  $\varepsilon^\omega \in W$ . A few examples: for the parity objective, the priority 0 is strongly neutral; for Bounded, the weight 0 is strongly neutral; for Mean-Payoff $_{\leq 0}$ , the letter 0 is only weakly neutral (because  $1^\omega \notin \text{Mean-Payoff}_{\leq 0}$  however  $010010001 \dots \in \text{Mean-Payoff}_{\leq 0}$ ), and likewise for Mean-Payoff $_{< 0}$  because  $0^\omega \notin \text{Mean-Payoff}_{< 0}$ .

**Monotone and universal graphs.** An *ordered graph* is a graph  $G$  equipped with a total order  $\geq$  on its set of vertices  $V(G)$ . We say that it is *monotone* if

$$v \geq u \xrightarrow{c} u' \geq v' \text{ in } G \quad \text{implies} \quad v \xrightarrow{c} v' \in E(G).$$

Such a graph is *well founded* if the order  $\geq$  on  $V(G)$  is well founded.

We will use a variant of universality called (uniform) *almost-universality* (for trees), which is convenient when working with prefix-independent objectives. A  $C$ -graph  $U$  is *almost  $W$ -universal*, if  $U$  satisfies  $W$ , and for any tree  $T$  satisfying  $W$ , there is a vertex  $t \in V(T)$  such that  $T[t] \rightarrow U$ . We will rely on the following inductive result from [25].

► **Theorem 5** (Follows from Theorem 3.2 and Lemma 4.5 in [25]). *Let  $W \subseteq C^\omega$  be a prefix-independent objective such that there is a graph which is almost  $W$ -universal. Then  $W$  is positional over arbitrary arenas.*

**Structuration results.** The following results were proved in Ohlmann's PhD thesis (Theorems 3.1 and 3.2 in [24]); the two incomparable variants stem from two different techniques.

► **Lemma 6** (Finite structuration). *Let  $W$  be a prefix-independent objective which is positional over finite arenas and admits a weakly neutral letter, and let  $G$  be a finite graph satisfying  $W$ . Then there is a monotone graph  $G'$  satisfying  $W$  such that  $G \rightarrow G'$ .*

► **Lemma 7** (Infinite structuration). *Let  $W$  be a prefix-independent objective which is positional over arbitrary arenas and admits a strongly neutral letter, and let  $G$  be any graph satisfying  $W$ . Then there is a well-founded monotone graph  $G'$  satisfying  $W$  such that  $G \rightarrow G'$ .*

Note that in both results, we may assume that  $|G'| \leq |G|$ , simply by restricting to the image of  $G$ . Details of the proof of Lemma 7 can be found in [25, Theorem 3]; Lemma 6 appears only in Ohlmann's PhD thesis [24], we give details in Appendix A for completeness.

**Automata.** A *co-Büchi automaton* over  $C$  is a  $q_0$ -rooted  $C \times \{\mathcal{N}, \mathcal{F}\}$ -graph  $A$ . In this context, vertices  $V(A)$  are called *states*, edges  $E(A)$  are called *transitions*, and the root  $q_0$  is called the *initial state*. Moreover, transitions of the form  $q \xrightarrow{(c, \mathcal{N})} q'$  are called *normal transitions* and simply denoted  $q \xrightarrow{c} q'$ , while transitions of the form  $q \xrightarrow{(c, \mathcal{F})} q'$  are called *co-Büchi transitions* and denoted  $q \xrightarrow{c} q'$ . For simplicity, we assume automata to be *complete* (for any state  $q$  and any letter  $c$ , there is at least one outgoing transition labelled  $c$  from  $q$ ) and *reachable* (for any state  $q$  there is some path from  $q_0$  to  $q$  in  $A$ ).

A path  $q_0 \xrightarrow{(c_0, a_0)} q_1 \xrightarrow{(c_1, a_1)} \dots$  in  $A$  is *accepting* if it contains only finitely many co-Büchi transitions, meaning that only finitely many of  $a_i$  equal  $\mathcal{F}$ . If  $q \in V(A)$  is a state then define the *language*  $L(A, q) \subseteq C^\omega$  of a co-Büchi automaton *from a state*  $q \in V(A)$  as the set of infinite words which label accepting paths from  $q$  in  $A$ . The *language* of  $A$  denoted  $L(A)$  is  $L(A, q_0)$ . Note that in this paper, automata are not assumed to be finite.

We say that an automaton is *monotone* if it is monotone as a  $C \times \{\mathcal{N}, \mathcal{F}\}$ -graph. Likewise, morphisms between automata are just morphisms of the corresponding  $C \times \{\mathcal{N}, \mathcal{F}\}$ -graphs that moreover preserve the initial state. Note that  $A \rightarrow A'$  implies  $L(A) \subseteq L(A')$ . A co-Büchi automaton is *deterministic* if for each state  $q \in V(A)$  and each letter  $c \in C$  there is exactly one transition labelled by  $c$  outgoing from  $q$ .

A *resolver* for an automaton  $A$  is a deterministic automaton  $R$  with a morphism  $R \rightarrow A$ . Note that the existence of this morphism implies that  $L(R) \subseteq L(A)$ . Such a resolver is *sound* if additionally  $L(R) \supseteq L(A)$  (and thus  $L(R) = L(A)$ ). A co-Büchi automaton is *history-deterministic* if there exists a sound resolver  $R$ . Our definition of history-determinism is slightly non-standard, but it fits well with our overall use of morphisms and of possibly infinite automata. This point of view was also adopted by Colcombet (see [6, Definition 13]). For more details on history-determinism of co-Büchi automata, we refer to [21, 1, 28].

We often make use of the following simple lemma, which follows directly from the definitions and the fact that composing morphisms results in a morphism.

► **Lemma 8.** *Let  $A, A'$  be automata such that  $A \rightarrow A'$ ,  $A$  is history-deterministic, and  $L(A) = L(A')$ . Then  $A'$  is history-deterministic.*

Say that an automaton  $A$  is *saturated* if it has all possible co-Büchi transitions:  $V(A) \times (C \times \{\mathcal{F}\}) \times V(A) \subseteq E(A)$ . The *saturation* of an automaton  $A$  is obtained from  $A$  by adding all possible co-Büchi transitions. Similar techniques of saturating co-Büchi automata have been previously used to study their structure [21, 16, 28].

Note that languages of saturated automata are always prefix-independent. The lemma below states that co-Büchi transitions are somewhat irrelevant in history-deterministic automata recognising prefix-independent languages.

► **Lemma 9.** *Let  $A$  be a history-deterministic automaton recognising a prefix-independent language and let  $A'$  be its saturation. Then  $L(A) = L(A')$  and  $A'$  is history-deterministic. Moreover,  $L(A') = L(A', q)$  for any  $q \in L(A')$ .*

**Proof.** Clearly  $A \rightarrow A'$  thus  $L(A) \subseteq L(A')$ ; it suffices to prove  $L(A') \subseteq L(A)$  and conclude by Lemma 8. Let  $w_0 w_1 \dots \in L(A')$  and let  $q_0 \xrightarrow{(w_0, a_0)} q_1 \xrightarrow{(w_1, a_1)} \dots$  be an accepting path for  $w$  in  $A'$ . Then for some  $i$ ,  $q_i \xrightarrow{(w_i, a_i)} q_{i+1} \xrightarrow{(w_{i+1}, a_{i+1})} \dots$  is comprised only of normal



transitions. Therefore, it is an accepting path in  $A$ . We conclude that  $w_i w_{i+1} \cdots \in L(A)$  and thus  $w \in L(A)$  by prefix-independence.

The claim that  $L(A', q)$  is independent on  $q$  follows directly from prefix-independence and the fact that  $A'$  is saturated.  $\blacktriangleleft$

### 3 Positional prefix-independent $\Sigma_2^0$ objectives

#### 3.1 A characterisation

Recall that  $\Sigma_2^0$  objectives are countable unions of closed objectives; for the purpose of this paper it is convenient to observe that these are exactly those objectives recognised by (countable) deterministic co-Büchi automata (see for instance [30]).

The goal of the section is to prove Theorem 2 which we now restate for convenience.

► **Theorem 2.** *Let  $W \subseteq C^\omega$  be a prefix-independent  $\Sigma_2^0$  objective admitting a strongly neutral letter. Then  $W$  is positional over arbitrary arenas if and only if it is recognised by a countable history-deterministic well-founded monotone co-Büchi automaton.*

Before moving on to the proof, we proceed with a quick technical statement that allows us to put automata in a slightly more convenient form.

► **Lemma 10.** *Let  $A$  be a history-deterministic automaton recognising a non-empty prefix-independent language. There exists a history-deterministic automaton  $A'$  with  $L(A') = L(A)$  and such that from every state  $q' \in V(A')$ , there is an infinite path comprised only of normal transitions. Moreover, if  $A$  is countable, well founded, and monotone, then so is  $A'$ .*

**Proof.** Let  $V \subseteq V(A)$  be the set of states  $q \in V(A)$  from which there is an infinite path of normal transitions. Note that  $V \neq \emptyset$  since  $L(A)$  is non-empty. First, since every path from  $V(A) \setminus V$  visits at least one co-Büchi transition, we turn all normal transitions adjacent to states in  $V(A) \setminus V$  into co-Büchi ones; this does not affect  $L(A)$  or history-determinism. Next, we saturate  $A$  and restrict it to  $V$ . Call  $A'$  the resulting automaton; if  $q_0 \notin V$  then we pick the initial state  $q'_0$  of  $A'$  arbitrarily in  $V$ . It is clear that restricting  $A$  to some subset of states, changing the initial state, as well as saturating, are operations that preserve being countable, well founded, and monotone.

We claim that  $L(A) = L(A')$ . The inclusion  $L(A') \subseteq L(A)$  follows from the proof of Lemma 9 so we focus on the converse: let  $w = w_0 w_1 \cdots \in L(A)$  and take an accepting path  $\pi$  for  $w$ . Then there is a suffix of  $\pi$  which remains in  $V$  and therefore defines a path in  $A'$ ; we conclude thanks to prefix-independence of  $L(A')$ .

It remains to see that  $A'$  is history-deterministic. For this, we observe that any transition adjacent to states in  $V(A) \setminus V$  is a co-Büchi transition; therefore the map  $\phi : V(A) \rightarrow V(A') = V$  which is identity on  $V$  and sends  $V(A) \setminus V$  to the initial state of  $A'$  defines a morphism  $A \rightarrow A'$ . We conclude by Lemma 8.  $\blacktriangleleft$

To prove Theorem 2, we separate both directions so as to provide more precise hypotheses.

► **Lemma 11.** *Let  $W$  be a prefix-independent  $\Sigma_2^0$  objective admitting a strongly neutral letter. Then  $W$  is recognised by a countable history-deterministic monotone well-founded automaton.*

**Proof.** If  $W = \emptyset$  then the saturated automaton with a single state and no normal transitions gives the wanted result; therefore we assume  $W$  to be non-empty. Let  $A$  be a history-deterministic co-Büchi automaton recognising  $W$  with initial state  $q_0$ ; thanks to Lemma 10 we assume that every state in  $A$  participates in an infinite path of normal transitions. Let  $G$



be the  $C$ -graph obtained from  $A$  by removing all the co-Büchi transitions. The fact that  $G$  is sinkless (and therefore,  $G$  is indeed a graph) follows from the assumption on  $A$ . Since  $W$  is prefix-independent, it holds that  $G$  satisfies  $W$ .

Apply the infinite structuration result (Lemma 7) to  $G$  to obtain a well-founded monotone graph  $G'$  satisfying  $W$  and such that  $G \xrightarrow{\phi} G'$ . Note that we may restrict  $V(G')$  to the image of  $\phi$ . Due to the fact that  $C$  is countable, this guarantees that  $G'$  is countable.

Now let  $A'$  be the co-Büchi automaton obtained from  $G'$  by turning every edge into a normal transition, setting the initial state to be  $q'_0 = \phi(q_0)$ , and saturating. Note that  $A'$  is countable monotone and well-founded; we claim that  $A'$  is history-deterministic and recognises  $W$ , as required.

Let  $w \in L(A')$ . Then  $w = uw'$  where  $w' \in L(G') \subseteq W$ . It follows from prefix-independence that  $w \in W$ . Conversely, let  $w_0w_1 \dots \in W$  as witnessed by an accepting path  $\pi = q_0 \xrightarrow{(w_0, a_0)} q_1 \xrightarrow{(w_1, a_1)} \dots$  from  $q_0$  in  $A$ . This path has only finitely many co-Büchi transitions.

Then consider the path  $\pi' = \phi(q_0) \xrightarrow{w_0} \phi(q_1) \xrightarrow{w_1} \dots$  in  $A'$ , where we use co-Büchi transitions only when necessary, meaning when there is no normal transition  $\phi(q_i) \xrightarrow{w_i} \phi(q_{i+1})$  in  $A'$ . Since  $\pi$  visits only finitely many co-Büchi transitions, it is eventually a path in  $G$ , and thus since  $\phi$  is a morphism,  $\pi'$  is eventually a path in  $G'$ , and hence it sees only finitely many co-Büchi transitions in  $A'$ . Hence  $L(A') = W$ .

It remains to show that  $A'$  is history-deterministic. But since  $A'$  is saturated and  $G \rightarrow G'$  we have  $A \rightarrow A'$  and thus Lemma 8 concludes.  $\blacktriangleleft$

For the converse direction, we do not require a neutral letter.

**► Lemma 12.** *Let  $W$  be a prefix-independent objective recognised by a countable history-deterministic monotone well-founded co-Büchi automaton. Then  $W$  is positional over arbitrary arenas.*

**Proof.** As previously, if  $W$  is empty then it is trivially positional, so we assume that  $W$  is non-empty, and we take an automaton  $A$  satisfying the hypotheses above and apply Lemma 10 so that every state participates in an infinite path of normal transitions. Let  $U$  be the  $C$ -graph obtained from  $A$  by removing all co-Büchi transitions and turning normal transitions into edges; thanks to Lemma 10,  $U$  is sinkless so it is indeed a graph. We prove that  $U$  is almost  $W$ -universal for trees. Let  $T$  be a tree satisfying  $W$  and let  $t_0$  be its root.

Since  $A$  is history-deterministic, there is a mapping  $\phi : V(T) \rightarrow V(A)$  such that for each edge  $t \xrightarrow{c} t' \in E(T)$ , there is a transition  $\phi(t) \xrightarrow{(c, a)} \phi(t')$  in  $A$  with some  $a \in \{\mathcal{N}, \mathcal{F}\}$ , and such that for all infinite paths  $t_0 \xrightarrow{w_0} t_1 \xrightarrow{w_1} \dots$  in  $T$ , there are only finitely many co-Büchi transitions on the path  $\phi(t_0) \xrightarrow{(w_0, a_0)} \phi(t_1) \xrightarrow{(w_1, a_1)} \dots$  in  $A$ .

**► Claim 13.** *There is a vertex  $t'_0 \in V(T)$  such that for all infinite paths  $t'_0 \xrightarrow{w_0} t'_1 \xrightarrow{w_1} \dots$  from  $t'_0$  in  $T$ , there is no co-Büchi transition on the path  $\phi(t_0) \xrightarrow{w_0} \phi(t_1) \xrightarrow{w_1} \dots$  in  $A$ .*

**Proof.** Assume towards contradiction that no such vertex exists. Then starting from the root  $t_0$ , we build an infinite path  $t_0 \xrightarrow{w_0} t_1 \xrightarrow{w_1} \dots$  in  $T$  such that  $\phi(t_0) \xrightarrow{w_0} \phi(t_1) \xrightarrow{w_1} \dots$  has infinitely many co-Büchi transitions in  $A$ . Indeed, assuming the path built up to  $t_i$ , we simply pick  $t_i \xrightarrow{w_i} t_{i+1}$  such that there is a co-Büchi transition in  $A$  on the corresponding path  $\phi(t_i) \xrightarrow{w_i} \phi(t_{i+1})$ . Thus, we constructed a path contradicting the observation below: this path has infinitely many co-Büchi transitions in  $A$ .  $\blacktriangleleft$

There remains to observe that  $\phi$  maps  $T[t'_0]$  to  $U$ , and thus  $U$  is almost  $W$ -universal for trees. We conclude by applying Lemma 5.  $\blacktriangleleft$

### 3.2 A few examples

**Kopczyński-monotonic objectives.** In our terminology, Kopczyński's monotonic objectives correspond to the prefix-independent languages that are recognised by finite monotone co-Büchi automata. Note that such automata are of course well-founded, but also they are history-deterministic (even determinisable by pruning): one should always follow a transition to a maximal state. Therefore our result proves that such objectives are positional over arbitrary arenas. A very easy example is the co-Büchi objective

$$\text{co-Büchi} = \{w \in \{\mathcal{N}, \mathcal{F}\}^\omega \mid w \text{ has finitely many occurrences of } \mathcal{F}\},$$

which is recognised by a (monotone) automaton with a single state. Some more advanced examples are given in Figure 1.



■ **Figure 1** Two finite monotone co-Büchi automata recognising prefix-independent languages. For clarity, the co-Büchi transitions are not depicted but connect every pair of states; likewise, edges following from monotonicity (such as the dashed ones for example), are omitted. The automaton on the left recognises words with finitely many  $aab$  infixes. The automaton on the right recognises words with finitely many infixes in  $c(a^*cb^*)^+c$ .

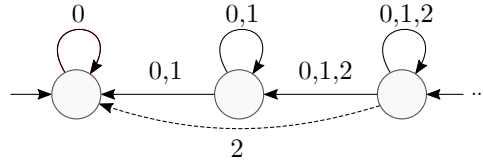
**Finite support.** The finite support objective is defined over  $\omega$  by

$$\text{Finite} = \{w \in \omega^\omega \mid \text{finitely many distinct letters appear in } w\}$$

Consider the automaton  $A$  over  $V(A) = \omega$  with

$$v \xrightarrow{w} v' \in E(A) \iff w, v' \leq v,$$

co-Büchi transitions everywhere, and initial state 0 (see Figure 2).



■ **Figure 2** An automaton  $A$  for objective Finite. Co-Büchi edges, as well as some edges following from monotonicity (such as the dashed one) are omitted for clarity.

It is countable, history-deterministic, well-founded, and monotone and recognises  $L(A) = \text{Finite}$ . Details of the proof are easy and left to the reader. Positionality of Finite can also be established by Corollary 3, as it is a countable union of the safety languages  $F^\omega \subseteq \omega^\omega$ , where  $F$  ranges over finite subsets of  $\omega$ . As far as we are aware, this result is novel.<sup>3</sup>

<sup>3</sup> A similar positionality result is proved in [14], but it assumes finite degree of the arena, vertex-labels (which is more restrictive), and injectivity of the colouring of the arena.

**Energy objectives.** Recall the energy objective

$$\text{Bounded} = \left\{ w_0 w_1 \cdots \in \mathbb{Z}^\omega \mid \sup_k \sum_{i=0}^{k-1} w_i \text{ is finite} \right\},$$

which is prefix-independent and belongs to  $\Sigma_2^0$ . Consider the automaton  $A$  whose set of states is  $\omega$ , with the initial state 0 and with all possible co-Büchi transitions, and normal transitions of the form  $v \xrightarrow{w} v'$  where  $w \leq v - v'$ . Note that  $A$  is well-founded and monotone, so we should prove that it is history-deterministic and recognises Bounded.

Note that any infinite path of normal edges  $v_0 \xrightarrow{w_0} v_1 \xrightarrow{w_1} \dots$  in  $A$  is such that for all  $i$ ,  $w_i \leq v_i - v_{i+1}$ , and therefore

$$\sum_{i=0}^{k-1} w_i \leq v_0 - v_k \leq v_0$$

and thus  $L(A) \subseteq \text{Bounded}$ .

A resolver for  $A$  works as follows: keep a counter  $c$  (initialised to zero), and along the run, from a vertex  $v$  and when reading an edge  $w$ ,

- if  $v \geq w$  then take the normal transition  $v \xrightarrow{w} v - w$ ;
- otherwise, take the co-Büchi transition  $v \dashrightarrow c$  and increment the counter.

A formal description of this resolver and a proof of its soundness are given in Appendix C.

**Eventually non-increasing objective.** Over the alphabet  $\omega$ , consider the objective

$$\text{ENI} = \{ w_0 w_1 \cdots \in \omega^\omega \mid \text{there are finitely many } i \text{ such that } w_{i+1} > w_i \}.$$

Note that since  $\omega$  is well-founded, a sequence belongs to ENI if and only if it is eventually constant. Consider the automaton  $A$  over  $\omega$  with the initial state 0, with all possible co-Büchi transitions, and with normal transitions  $v \xrightarrow{w} v'$  if and only if  $v \leq w \leq v'$ . Note that  $A$  is countable, well-founded, and monotone, so we should prove that it recognises ENI and is history-deterministic.

First, note that any infinite path of normal edges  $v_0 \xrightarrow{w_0} v_1 \xrightarrow{w_1} \dots$  in  $A$  is such that  $v_0 \geq w_0 \geq v_1 \geq w_1 \geq \dots$ , and therefore  $L(A) \subseteq \text{ENI}$ . A sound resolver for  $A$  simply goes to the state  $w$  when reading a letter  $w$ , using a normal transition if possible, and a co-Büchi transition otherwise. We leave the formal definition to the reader.

**Eventually non-decreasing objective.** In contrast, the objective

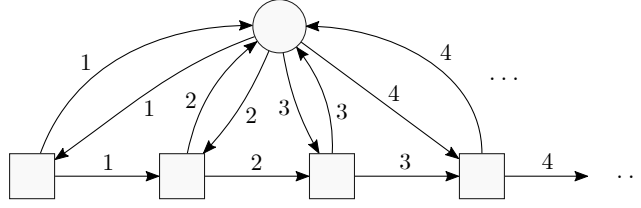
$$\text{END} = \{ w_0 w_1 \cdots \in \omega^\omega \mid \text{there are finitely many } i \text{ such that } w_{i+1} < w_i \}$$

is not positional over arbitrary arenas, as witnessed by Figure 3.

### 3.3 Closure under countable unions

We now move on to Corollary 3, which answers Kopczyński's conjecture in the affirmative in the case of  $\Sigma_2^0$  objectives.

► **Corollary 3.** *If  $W_0, W_1, \dots$  are all positional prefix-independent  $\Sigma_2^0$  objectives, each admitting a strongly neutral letter, then the union  $\bigcup_{i \in \mathbb{N}} W_i$  is also positional.*



■ **Figure 3** An arena over which Eve requires a non-positional strategy in order to produce a sequence which is eventually non-decreasing.

**Proof.** Let  $W_0, W_1, \dots$  be a family of countably many prefix-independent  $\Sigma_2^0$  objectives admitting strongly neutral letters. Using Theorem 2 we get countable history-deterministic well-founded monotone co-Büchi automata  $A_0, A_1, \dots$  for the respective objectives; without loss of generality we assume that they are saturated (Lemma 9).

Then consider the automaton  $A$  obtained from the disjoint union of the  $A_i$ 's by adding all possible co-Büchi transitions, and all normal transitions from  $A_i$  to  $A_j$  with  $i > j$ . The initial state in  $A$  can be chosen arbitrarily. Note that  $A$  is well-founded, monotone, and countable, so we should prove that it recognises  $W = \bigcup_i W_i$  and is history-deterministic.

Note that any infinite path in  $A$  which visits finitely many co-Büchi transitions eventually remains in some  $A_i$ , and thus by prefix-independence,  $L(A) \subseteq W$ .

It remains to prove history-determinism of  $A$ . Let  $R_0, R_1, \dots$  be resolvers for  $A_0, A_1, \dots$  witnessing that these automata are history deterministic. Consider a resolver which stores a sequence of states  $(r_0, r_1, \dots)$ , with  $r_i$  being a state of  $R_i$ . Initially these are all initial states of the respective resolvers and the transitions follow the transitions of all the resolvers synchronously. Additionally, we store a round-robin counter, which indicates one of the resolvers, following the sequence  $R_0; R_0, R_1; R_0, R_1, R_2; R_0, R_1, R_2, R_3; \dots$ . If we see a normal transition in the currently indicated resolver, then we also see a normal transition in  $R$ , and otherwise, we increment the counter to indicate the next resolver and see a co-Büchi transition in  $R$ . (For completeness, we give a formal definition of  $R$  in Appendix B.)

We should prove that the above resolver is sound. For that, consider a word  $w$  which belongs to  $L(A_n)$  for some  $n$ . Assume for the sake of contradiction that the path in  $A$  constructed by the above resolver reading  $w$  contains infinitely many co-Büchi transitions. It means that infinitely many times the resolver  $R_n$  reached a co-Büchi state in  $A_n$ . But this contradicts the assumption that  $R_n$  is sound. We conclude that  $W$  is positional by applying Lemma 12. ◀

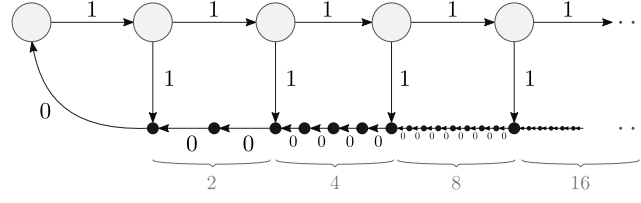
## 4 From finite to arbitrary arenas

In this section we study the difference between positionality over finite versus arbitrary arenas.

### 4.1 Mean-payoff games

There are, in fact, four non-isomorphic variants of the mean-payoff objective. Three of them fail to be positional over arbitrary arenas (even over bounded degree arenas), as expressed by the following facts.

► **Proposition 14.** *The mean-payoff objective  $\text{Mean-Payoff}_{\leq 0}$  over  $w_0 w_1 \dots \in \mathbb{Z}^\omega$  with the condition  $\limsup_k \frac{1}{k} \sum_{i=0}^{k-1} w_i \leq 0$  is not positional over arbitrary arenas.*

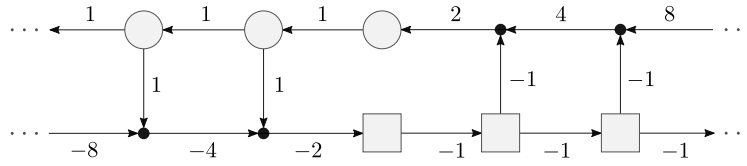


■ **Figure 4** The arena used in the proof of Proposition 14.

**Proof.** Consider the arena depicted on Figure 4. Eve can win by following bigger and bigger loops which reach arbitrarily far to the right. This strategy brings the average of the weights closer and closer to 0.

However, each positional strategy of Eve either moves infinitely far to the right (resulting in  $\lim_k \frac{1}{k} \sum_{i=0}^{k-1} w_i = 1$ ) or repeats some finite loop which results in a fixed positive limit  $\lim_k \frac{1}{k} \sum_{i=0}^{k-1} w_i > 0$ . In both cases it violates  $\text{Mean-Payoff}_{\leq 0}$ . ◀

► **Proposition 15.** Consider two  $\liminf$  variants of the mean-payoff objective over  $w_0 w_1 \dots \in \mathbb{Z}^\omega$ : one where we require that  $\liminf_k \frac{1}{k} \sum_{i=0}^{k-1} w_i \leq 0$ , and the other where that same quantity is  $< 0$ . Both these objectives are not positional over arbitrary arenas.



■ **Figure 5** The arena used in the proof of Proposition 15.

**Proof.** Consider the arena depicted on Figure 5. Again, Eve has a winning strategy for both these objectives by always going sufficiently far to the left, to ensure that the average drops below for instance  $-\frac{1}{2}$ .

However, each positional strategy of Eve either moves infinitely far to the left (resulting again in  $\lim_k \frac{1}{k} \sum_{i=0}^{k-1} w_i = 1$ ), or repeats some finite loop, reaching a minimal negative weight  $-2^n$  for some  $n > 0$ . Now, Adam can win against this strategy by repeating a loop going to the right, in such a way to reach a weight  $2^{n+1}$ . The label of such a path satisfies  $\lim_k \frac{1}{k} \sum_{i=0}^{k-1} w_i = \frac{2^{n+1}-1}{4n+4} > 0$ , violating both objectives. ◀

The remaining fourth type of a mean-payoff objective is „ $\limsup < 0$ ”:

$$\text{Mean-Payoff}_{<0} = \left\{ w_0 w_1 \dots \in \mathbb{Z}^\omega \mid \limsup_k \frac{1}{k} \sum_{i=0}^{k-1} w_i < 0 \right\}.$$

► **Proposition 16.** The objective  $\text{Mean-Payoff}_{<0}$  is positional over arbitrary arenas.

**Proof.** Consider the tilted boundedness objective with parameter  $n \geq 1$ , defined as

$$\text{Tilted-Bounded}_n = \left\{ w_0 w_1 \dots \in \mathbb{Z}^\omega \mid \sup_k \sum_{i=0}^{k-1} (w_i + 1/n) \text{ is finite} \right\}$$

Note that renaming weights by  $w \mapsto nw$  maps  $\text{Tilted-Bounded}_n$  to  $\text{Bounded} \cap (n\mathbb{Z})^\omega$ , therefore it follows easily that  $\text{Tilted-Bounded}_n$  is positional over arbitrary arenas. Note also that for every  $n$  the objective  $\text{Tilted-Bounded}_n$  belongs to  $\Sigma_2^0$ , as a union ranging over  $N \in \mathbb{N}$  of closed (in other words safety) objectives  $\{w_0w_1 \cdots \in \mathbb{Z}^\omega \mid \forall k \in \mathbb{N} \sum_{i=0}^{k-1} (w_i + 1/n) \leq N\}$ .

▷ **Claim 17.** It holds that  $\text{Mean-Payoff}_{<0} = \bigcup_{n \geq 1} \text{Tilted-Bounded}_n$ .

Proof of Claim 17. Write  $\text{mp}(w) = \limsup_k 1/k \sum_{i=0}^{k-1} w_i$ . If

$$w = w_0w_1 \cdots \in \text{Tilted-Bounded}_n$$

then there is a bound  $N$  such that for all  $k$ ,  $\sum_{i=0}^{k-1} (w_i + 1/n) \leq N$ , therefore  $1/k \sum_{i=0}^{k-1} w_i \leq N/k - 1/n$  and thus  $\text{mp}(w) \leq -1/n < 0$ , so  $w \in \text{Mean-Payoff}_{<0}$ . Conversely, if  $w \in \text{Mean-Payoff}_{<0}$  and  $n$  is large enough so that  $1/n \leq \text{mp}(w)$ , then  $w \in \text{Tilted-Bounded}_n$ . ◁

Now, positionality of  $\text{Mean-Payoff}_{<0}$  follows from the claim together with Corollary 3, as all  $\text{Tilted-Bounded}_n$  are prefix-independent, admit a strongly neutral letter, are positional, and belong to  $\Sigma_2^0$ .<sup>4</sup> ◀

## 4.2 A completeness result

**Equivalence over finite arenas** Recall that two prefix-independent objectives  $W, W' \subseteq C^\omega$  are said to be *finitely equivalent*, written  $W \equiv W'$ , if for all finite  $C$ -arenas  $A$ ,

$$\text{Eve wins } (A, W) \iff \text{Eve wins } (A, W').$$

Since one may view strategies as games controlled by Adam, we obtain the following motivating result.

► **Lemma 18.** If  $W \equiv W'$  and  $W$  is positional over finite arenas then so is  $W'$ .

**Proof.** Let  $A$  be a finite  $C$ -arena such that Eve wins  $(A, W')$ . Then Eve wins  $(A, W)$ , so she wins with a positional strategy  $S$ . Looking at  $S$  as a finite  $C$ -arena controlled by Adam yields that Eve wins  $(S, W')$ , thus  $S$  satisfies  $W'$ . ◀

We now move on to the proof of our completeness result.

► **Theorem 4.** Let  $W \subseteq C^\omega$  be a prefix-independent objective which is positional over finite arenas and admits a weakly neutral letter. Then there exists an objective  $W' \equiv W$  which is positional over arbitrary arenas.

We start with the following observation, which is a standard topological argument based on König lemma. Its proof is given in Appendix D. Note that the assumption of finiteness of  $G$  is essential here.

► **Lemma 19.** Let  $G$  be a finite  $C$ -graph and  $v \in G$ . Then  $L(G, v)$  is a closed subset of  $C^\omega$ .

We may now give the crucial definition. Given a prefix-independent objective  $W \subseteq C^\omega$ , we define its finitary substitute to be

$$W_{\text{fin}} = \{w \in C^\omega \mid w \text{ labels a path in some finite graph } G \text{ which satisfies } W\}.$$

<sup>4</sup> We thank **Lorenzo Clemente** for suggesting to use closure under union. A direct proof (constructing a universal graph) is available in the unpublished preprint [26].

Note that  $W_{\text{fin}} \subseteq W$ . Now observe that

$$W = \bigcup_{\substack{G \text{ finite graph} \\ G \text{ satisfies } W}} L(G) = \bigcup_{\substack{G \text{ finite graph} \\ G \text{ satisfies } W \\ v \in V(G)}} L(G, v),$$

and since there are (up to isomorphism) only countably many finite graphs, it follows from Lemma 19 that  $W_{\text{fin}} \in \Sigma_2^0$ .

► **Lemma 20.** *Let  $W \subseteq C^\omega$  be a prefix-independent objective which is positional over finite arenas. Then  $W_{\text{fin}} \equiv W$ .*

**Proof.** Let  $A$  be a finite  $C$ -arena. Since  $W_{\text{fin}} \subseteq W$ , it is clear that if Eve wins  $(A, W_{\text{fin}})$  then she wins  $(A, W)$ . Conversely, assume Eve wins  $(A, W)$ . Then she has a positional strategy  $S$  in  $A$  which is winning for  $W$ . Since  $S$  is a finite graph, it is also winning for  $W_{\text{fin}}$  and therefore Eve wins  $(A, W_{\text{fin}})$ . ◀

We should make the following sanity check.

► **Lemma 21.** *If  $W$  is prefix-independent, then  $W_{\text{fin}}$  as well.*

**Proof.** Take a letter  $c \in C$ , we aim to show that  $cW_{\text{fin}} = W_{\text{fin}}$ . Let  $w \in cW_{\text{fin}}$ , and let  $G$  be a finite graph satisfying  $W$  such that  $cw$  labels a path from  $v \in V[G]$  in  $G$ . Then  $w$  labels a path from a  $c$ -successor of  $v$  in  $G$ , thus  $w \in W_{\text{fin}}$ .

Conversely, let  $w \in W_{\text{fin}}$ , and let  $G$  be a finite graph satisfying  $W$  such that  $w$  labels a path from  $v \in V[G]$  in  $G$ . Let  $G'$  be the graph obtained from  $G$  by adding a fresh vertex  $v'$  with a unique outgoing  $c$ -edge towards  $v$ . Since  $W$  is prefix-independent,  $G'$  satisfies  $W$ . Since  $cw$  labels a path from  $v'$  in  $G'$ , it follows that  $cw \in W_{\text{fin}}$ . ◀

We are now ready to prove Theorem 4.

**Proof of Theorem 4.** Let  $W$  be a prefix-independent objective which is positional over finite arenas and admits a weakly neutral letter  $\varepsilon$ . We show that  $W_{\text{fin}}$  is positional over arbitrary arenas. Thus, Lemma 20 implies that  $W_{\text{fin}} \equiv W$ , which concludes the proof of Theorem 4.

Thanks to Lemma 6, any finite graph  $H$  satisfying  $W$  can be embedded into a monotone finite graph  $G$  which also satisfies  $W$ ; note that  $L(H) \subseteq L(G)$ . Therefore

$$W_{\text{fin}} = \bigcup_{\substack{H \text{ finite graph} \\ H \text{ satisfies } W}} L(H) = \bigcup_{\substack{G \text{ finite monotone graph} \\ G \text{ satisfies } W}} L(G).$$

Let  $G_0, G_1, \dots$  be an enumeration (up to isomorphism) of all finite monotone graphs satisfying  $W$ . Then consider the automaton  $A$  obtained from the disjoint union of the  $G_i$ 's by adding all normal transitions from  $G_i$  to  $G_j$  for  $i > j$ , and saturating with co-Büchi transitions. The initial state  $q_0$  is chosen to be  $\max V(G_0)$ , the maximal state in  $G_0$ . Note that  $A$  is countable, monotone, and well founded, so there remains to prove that  $L(A) = W_{\text{fin}}$  and that  $A$  is history-deterministic.

Clearly for any monotone graph  $G$  satisfying  $W$ , it holds that  $L(G) \subseteq L(A)$ , and thus  $W_{\text{fin}} \subseteq L(A)$ . Conversely, let  $w \in L(A)$ , and consider an accepting path  $\pi$  for  $W$ . Then eventually,  $\pi$  visits only normal edges, and therefore eventually,  $\pi$  remains in some  $G_i$ . Thus  $w = uw'$  with  $w' \in L(G_i) \subseteq W_{\text{fin}}$ , we conclude by prefix-independence of  $W_{\text{fin}}$  (Lemma 21).

To prove that  $A$  is history-deterministic we now build a resolver: intuitively, we deterministically try to read in  $G_0$ , then if we fail, go to  $G_1$ , then  $G_2$  and so on. The fact that reading



in each  $G_i$  can be done deterministically follows from monotonicity: for each  $v \in V(G_i)$  and each  $c \in C$ , the set  $\{v' \in V(G_i) \mid v \xrightarrow{c} v' \in E(G_i)\}$  of  $c$ -successors of  $v$  is downward closed. We let  $\delta_i(v, c)$  denote the maximal  $c$ -successor of  $v$  in  $G_i$  if it exists, and  $\delta_i(v, c) = \perp$  if  $v$  does not have a  $c$ -successor. It is easy to see that in a monotone graph  $G$ ,  $v \leq v'$  implies  $L(G, v) \subseteq L(G, v')$ ; in words, more continuations are available from bigger states.

Now we define the resolver  $A$  by  $V(R) = V(A)$ ,  $r_0 = q_0 = \max V(G_0)$  and for any  $q, q' \in V(A)$  and  $c \in C$ ,

$$\begin{aligned} q \xrightarrow{c} q' \in E(A) &\iff \exists i, q, q' \in V(G_i) \text{ and } q' = \delta_i(q) \neq \perp \\ q \xrightarrow{c} q' \in E(A) &\iff \exists i, q \in V(G_i) \text{ and } \delta_i(q, c) = \perp \text{ and } q' = \max V(G_{i+1}). \end{aligned}$$

Clearly  $R$  is deterministic and  $R \rightarrow A$  so it is indeed a resolver; it remains to prove soundness. Take  $w \in L(A)$  and let  $i$  such that  $w \in L(G_i)$ . Let  $\pi$  denote the unique path from  $r_0 = \max V(G_0)$  in  $R$  labelled by  $w$ . We claim that  $\pi$  remains in  $\bigcup_{j \leq i} V(G_j)$  and therefore it can only visit at most  $i$  co-Büchi transitions, so it is accepting. Assume for contradiction that  $\pi$  reaches  $V(G_{i+1})$ .

Then it is of the form  $\pi = \pi_0 \pi_1 \dots \pi_i \pi'$  where each  $\pi_j$  is a path from  $\max(V(G_j))$  in  $G_j$  and  $\pi'$  starts from  $\max(G_{i+1})$ . Let  $w_0, w_1, \dots, w_i$  and  $w'$  be the words labelling the paths, so that  $w = w_0 w_1 \dots w_i w'$ . Denote  $q = \max(V(G_i))$ . Then  $w_i$  is not a label of a finite path from  $q$  in  $G_i$ , therefore  $w_i w' \notin L(G_i, q) = L(G_i)$ . However  $w \in L(G_i)$  thus  $q \xrightarrow{w_0 \dots w_{i-1}} q' \xrightarrow{w_i w'}$  for some  $q' \in V(G_i)$ . But then  $w_i w' \in L(G_i, q') \subseteq L(G_i, q)$ , a contradiction.  $\blacktriangleleft$

## 5 Conclusion

We gave a characterisation of prefix-independent  $\Sigma_2^0$  objectives which are positional over arbitrary arenas as being those recognised by countable history-deterministic well-founded monotone co-Büchi automata. We moreover deduced that this class is closed by unions. We proved that, with a proper definition, mean-payoff games are positional over arbitrary arenas. Finally, we showed that any prefix-independent objective which is positional over finite arenas is finitely equivalent to an objective which is positional over arbitrary arenas.

**Open questions.** There are many open questions on positionality. Regarding  $\Sigma_2^0$  objectives, the remaining step would be to lift the prefix-independence assumptions; this requires some new techniques as the proofs presented here do not immediately adapt to this case. Another open question is whether the 1-to-2 player lift holds in  $\Sigma_2^0$ : is there a  $\Sigma_2^0$  objective which is positional on arenas controlled by Eve, but not on two player arenas?

As mentioned in the introduction, Casares [4] obtained a characterisation of positional  $\omega$ -regular objectives, while we characterised (prefix-independent)  $\Sigma_2^0$  positional objectives. A common generalisation, which we see as a far reaching open question would be to characterise positionality within  $\Delta_3^0$ ; hopefully establishing closure under union for this class.

Another interesting direction would be to understand finite memory for prefix-independent  $\Sigma_2^0$  objectives; useful tools (such as structuration results) are already available [5]. A related (but independent) path is to develop a better understanding of (non-prefix-independent) closed objectives, which so far has remained elusive.

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## A Proof of the finite structuration result

We include a proof of Lemma 6; it is identical to the one in [24]; a similar result (with the same proof) also appears in [7, Theorem 4.8].

**Proof of Lemma 6.** Let  $W \subseteq C^\omega$  be a prefix-independent objective admitting a weakly neutral letter  $\varepsilon$  and which is positional over finite arenas, and let  $G$  be a finite graph satisfying  $W$ . We let  $G^\varepsilon$  be a graph obtained from  $G$  by saturating it with  $\varepsilon$ -edges: we successively add arbitrary  $\varepsilon$ -edges until obtaining a graph satisfying  $W$  but such that adding any  $\varepsilon$ -edge would create a path whose label does not belong to  $W$ . Note that  $G \rightarrow G^\varepsilon$ . We claim that the relation  $>$  defined by

$$v > v' \iff v \neq v' \text{ and } v \xrightarrow{\varepsilon} v' \in E(G^\varepsilon)$$

defines a strict total pre-order over  $V(G)$ . Transitivity is easy to prove: if  $v \xrightarrow{\varepsilon} v' \xrightarrow{\varepsilon} v''$  in  $G^\varepsilon$  then adding the edge  $v \xrightarrow{\varepsilon} v''$  cannot create a path whose label does not belong to  $W$ .

The difficulty lies in establishing totality. Let  $v_0 \neq v_1$  be such that neither  $v_0 \xrightarrow{\varepsilon} v_1$  nor  $v_1 \xrightarrow{\varepsilon} v_0$  belong to  $E(G^\varepsilon)$ . Then consider the arena  $A$  defined by  $V_{\text{Adam}} = V(G) = V(G^\varepsilon)$ ,  $V_{\text{Eve}} = \{\bullet\}$ , where  $\bullet \notin V(G)$ , and

$$E(A) = E(G^\varepsilon) \cup \{v \xrightarrow{c} \bullet \mid \exists p \in \{0, 1\}, v \xrightarrow{c} v_p \in E(G^\varepsilon)\} \cup \{\bullet \xrightarrow{\varepsilon} v_p \mid s \in \{0, p\}\}.$$

Observe that Eve wins the game  $(A, W)$ , simply by applying the strategy that heads to  $v_s$  after entering  $\bullet$  via an edge  $v \xrightarrow{c} \bullet$  such that  $v \xrightarrow{c} v_s \in E(G^\varepsilon)$  (we abstain from giving a more formal definition). Therefore, Eve wins with a positional strategy  $(S, \pi)$ ; without loss of generality we assume that it chooses  $v_0$ , meaning that  $v_0$  is an  $\varepsilon$ -successor of  $\pi^{-1}(\bullet)$  in  $S$ .

Call  $G'$  the graph obtained from adding the edge  $v_1 \xrightarrow{\varepsilon} v_0$  to  $G^\varepsilon$ , we claim that  $G'$  satisfies  $W$ , which contradicts the fact that  $G^\varepsilon$  is saturated with  $\varepsilon$ -edges. Indeed, for any path  $\pi'$  in  $G'$ , we observe that there is a path  $\pi$  in  $S$  whose label can be obtained from the label of  $\pi'$  by inserting  $\varepsilon$ 's. Since  $S$  satisfies  $W$  (it is a winning strategy), and  $\varepsilon$  is neutral, we conclude that  $G'$  satisfies  $W$ . Therefore,  $>$  is a strict pre-order.

We now let  $\bar{G}$  be the graph defined over  $V(\bar{G}) = V(G) = V(G^\varepsilon)$  by

$$E(\bar{G}) = \{v \xrightarrow{c} v' \mid \exists u, u', v \xrightarrow{\varepsilon^*} u \xrightarrow{c} u' \xrightarrow{\varepsilon^*} v' \text{ in } G^\varepsilon\}.$$

It is a direct check that  $\bar{G}$  satisfies  $W$  (by neutrality of  $\varepsilon$ ) and that it is monotone with respect to the strict pre-order  $>$  (by definition). Note that  $G^\varepsilon \rightarrow \bar{G}$ .

Finally, we observe that vertices  $v \neq v'$  such that  $v > v'$  and  $v' > v$ , have identical incoming and outgoing edges in  $\bar{G}$ . Therefore, the graph  $G'$  defined over  $V(G') = V(\bar{G}) / \sim$  where  $v \sim v' \iff v > v' > v$  by

$$E(G') = \{[v] \xrightarrow{c} [v'] \mid v \xrightarrow{c} v' \text{ in } E(\bar{G})\},$$

makes sense,  $v \mapsto [v]$  defines a morphism  $\bar{G} \rightarrow G'$ , and  $>$  induces a total strict order over  $V(G')$  which makes it monotone. This concludes the proof.  $\blacktriangleleft$

## B Formal details for the proof of Corollary 3

Let us recall the context:  $A_0, A_1, \dots$  are countable history-deterministic well-founded monotone saturated automata recognising the prefix-independent languages  $W_0, W_1, \dots$ , and  $A$  is obtained from the disjoint union of the  $A_i$ 's by adding all normal transitions from  $A_j$  to  $A_i$

when  $j > i$ , and co-Büchi transitions everywhere. We claim that  $L(A) = W = \bigcup_i A_i$  and that  $A$  is history-deterministic; it is easy to see that  $L(A) \subseteq W$ . Let  $\ell_0 \ell_1 \dots = 0010120123 \dots$ . We define a resolver as follows:  $V(R) = \Pi_{i=0}^\infty V(R_i) \cup \omega$ , with transitions

$$\begin{aligned} (r, j) \xrightarrow{(c, \mathcal{N})} (r', j') &\iff [\forall i. \exists a_i. r_i \xrightarrow{(c, a_i)} r'_i \in E(R_i)] \\ &\quad \text{and } r_{\ell_j} \xrightarrow{(c, \mathcal{N})} r'_{\ell_j} \in E(R_{\ell_j}) \text{ and } j' = j \\ (r, j) \xrightarrow{(c, \mathcal{F})} (r', j') &\iff [\forall i. \exists a_i. r_i \xrightarrow{(c, a_i)} r'_i \in E(R_i)] \\ &\quad \text{and } r_{\ell_j} \xrightarrow{(c, \mathcal{F})} r'_{\ell_j} \in E(R_{\ell_j}) \text{ and } j' = j+1 \end{aligned}$$

and morphism  $\phi : (r, j) \mapsto \phi_j(r_j) \in V(A)$ , where  $\phi_0 : R_0 \rightarrow A_0, \phi_1 : R_1 \rightarrow A_1, \dots$  are the respective morphisms.

## C Formal construction of a resolver for Bounded

Formally,  $R$  is defined by  $V(R) = V(A) \times \omega$ , initial state  $r_0 = (0, 0)$  and

$$\begin{aligned} (v, c) \xrightarrow{w} (v', c') \in E(R) &\iff v' = v - w \geq 0 \text{ and } c' = c \\ (v, c) \xrightarrow{w} (v', c') \in E(R) &\iff v - w < 0 \text{ and } c' = v' = c + 1. \end{aligned}$$

Clearly  $(v, c) \mapsto v$  defines a morphism from  $R$  to  $A$  which sends  $r_0$  to  $q_0$ , so there remains to see that  $\text{Bounded} \subseteq L(R)$ .

Consider a word  $w_0 w_1 \dots \in \text{Bounded}$ . By definition, there exists  $N$  such that

$$\sup_k \sum_{i=0}^{k-1} w_i \leq N. \quad (*)$$

Given a finite word  $u \in \mathbb{Z}^*$ , we let  $s(u) \in \mathbb{Z}$  denote the sum of its letters. Let  $\pi$  be the unique path from  $r_0$  in  $R$  labelled by  $w$ . Note that the counter (second coordinate) in states appearing in  $\pi$  always grows, and that a co-Büchi transition is read precisely when it is incremented; we show that it cannot exceed  $N$  which proves that the path is accepting. Assume towards a contradiction that the counter exceeds  $N$ , therefore  $\pi$  is of the form

$$(0, 0) \xrightarrow{u_0} (v_0, 0) \xrightarrow{u'_0} (1, 1) \xrightarrow{u_1} (v_1, 1) \xrightarrow{u'_1} \dots \xrightarrow{u'_{N-1}} (N, N) \xrightarrow{u_N} (v_N, N) \xrightarrow{u'_N} (N+1, N+1) \xrightarrow{u'_N}$$

where the  $u_i$ 's are finite words, the  $u'_i$ 's are letters in  $\mathbb{Z}$ , they concatenate to  $u_0 u'_0 \dots u_N u'_N w' = w$ , and for each  $i \leq N$ , it holds that  $s(u_i) = i - v_i$  and  $v_i - u'_i < 0$ . Therefore we have

$$s(u_0 u'_1 \dots u_N u'_N) = \underbrace{s(u_0)}_{=0-v_0} + \underbrace{u'_0}_{>v_0} + \dots + \underbrace{s(u_N)}_{=N-v_N} + \underbrace{u'_N}_{>v_N} \geq 0 + 1 + \dots + N > N,$$

contradicting (\*). Hence  $R$  is a sound resolver for  $A$ , so  $A$  is history-deterministic.

We conclude that  $\text{Bounded}$  is positional over arbitrary arenas.

## D Proof of Lemma 19

► **Lemma 19.** *Let  $G$  be a finite  $C$ -graph and  $v \in G$ . Then  $L(G, v)$  is a closed subset of  $C^\omega$ .*

**Proof.** Consider the language  $K \subseteq C^*$  of finite words  $w$  such that there is no path from  $v$  labelled by  $w$ . By definition,  $K C^\omega$  is open. Our goal is to show that its complement

coincides with  $L(G, v)$ . Clearly  $L(G, v) \cap K \cdot C^\omega = \emptyset$ . For the remaining inclusion assume that  $w \in C^\omega$  is an infinite word such that  $w \notin K \cdot C^\omega$ . We want to show that  $w \in L(G, v)$ . The assumption that  $w \notin K \cdot C^\omega$  means that every prefix of  $w$  labels a finite path from  $v$  in  $G$ . By applying König's lemma, relying on the fact that  $E(G)$  is finite, we see that  $w$  must label an infinite path in  $G$  from  $v$ , thus  $w \in L(G, v)$ . ◀