

$T(A) = T(B)?$

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Abstract. The equivalence problem for deterministic pushdown transducers with inputs in a free monoid X^* and outputs in a linear group $H = GL_n(\mathbb{Q})$, is shown to be *decidable*.

Keywords: deterministic pushdown transducers; rational series; finite dimensional vector spaces; matrix semi-groups; test-sets; complete formal systems.

1 Introduction

We show here that, given two deterministic pushdown *transducers* (dpdt's for short) A, B from a free monoid X^* into a *linear group* $H = GL_n(\mathbb{Q})$, one can decide whether $S(A) = S(B)$ or not (i.e. whether A, B compute the same function $f: X^* \rightarrow H$).

This main result generalizes the decidability of the equivalence problem for deterministic pushdown *automata* ([Sén97],[Sén98b]). It immediately implies that the same problem is decidable for any group (or monoid) H , as soon as H is embeddable in a linear group $GL_n(\mathbb{Q})$. Hence we obtain as a corollary the decidability of the equivalence problem for dpdt's A, B from a free monoid X^* into a *free group* $H = F(Y)$, or a *free monoid* $H = Y^*$.

Our main result generalizes several other known results about transducers:

- the case where $H = Y^*$ had been addressed in previous works [IR81,CK86b,TS89] and was known to be decidable in the case where A is a *strict-real-time* dpdt while B is a general dpdt [TS89].
- the case where H is an *abelian* group was known to be decidable by ([Sén98b, section 11], [Sén98a]).

Our solution leans on a combination of the methods developped in ([Sén97],[Sén98b]) for the equivalence problem for dpda's, with the methods developped in ([Gub85], [CK86a]) for Ehrenfeucht's conjecture (about the existence and computability of test-sets).

The full proofs corresponding to this extended abstract can be found in [Sén99]. More general information about equivalence problems for transducers can be found in [Cul90],[Lis96].

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2 Preliminaries

2.1 Formal Power Series

The reader is referred to [BR88] for formal power series. Let us just review some vocabulary. Let $(B, +, \cdot, 0, 1)$ where $B = \{0, 1\}$ denote the semi-ring of “booleans”. Let M be some monoid. By $(B\langle\langle M \rangle\rangle, +, \cdot, \emptyset, 1_M)$ we denote the semi-ring of *boolean series* over M : the set $B\langle\langle M \rangle\rangle$ is defined as B^M ; the sum and product are defined as usual; $B\langle\langle M \rangle\rangle$ is isomorphic with $(\mathcal{P}(M), \cup, \cdot, \emptyset, \{1_M\})$. The usual ordering \leq on B extends to $B\langle\langle M \rangle\rangle$ by: $S \leq S'$ iff $\forall w \in M, S_w \leq S'_w$.

We focus here on monoids of the form $M = K \times W^*$ (the direct product of the group K by the free monoid W^*) and $M = K * W^*$ (the free product of K by W^* , see [LS77, p.174-178] for more information on free products). Let $M = K * W^*$ and M' be another monoid containing K . A map $\psi : B\langle\langle M \rangle\rangle \rightarrow B\langle\langle M' \rangle\rangle$ is called a *substitution* iff it is a semi-ring homomorphism which is σ -additive and which induces the identity map on K (i.e. $\forall k \in K, \psi(k) = k$).

2.2 Finite K -Automata

K -automata Let (K, \cdot) be some group. We call a finite K -automaton over the finite alphabet W any 7-tuple $\mathcal{M} = \langle K, W, Q, \delta, k_0, q_0, Q' \rangle$ such that Q is the finite set of states, δ , the set of transitions, is a finite subset of $Q \times W \times K \times Q$, $k_0 \in K$, $q_0 \in Q$ and $Q' \subseteq Q$. The series recognized by \mathcal{M} , $S(\mathcal{M})$, is the element of $B\langle\langle K * W^* \rangle\rangle$ defined by: $S(\mathcal{M}) = k_0 \cdot A \cdot B^* \cdot C$, where $A \in B_{1,Q}\langle\langle K * W^* \rangle\rangle$, $B \in B_{Q,Q}\langle\langle K * W^* \rangle\rangle$, and $C \in B_{Q,1}\langle\langle K * W^* \rangle\rangle$ are given by: $A_{1,q} = \emptyset$ (if $q \neq q_0$), $A_{1,q_0} = \epsilon$, $B_{q,q'} = \sum_{(q,v,k,q') \in \delta} v \cdot k$, $C_{q,1} = \emptyset$ (if $q \notin Q'$), $C_{q,1} = \epsilon$ (if $q \in Q'$). \mathcal{M} is said *W -deterministic* iff,

$$\forall r \in Q, \forall v \in W, \text{Card}(\{(k, r') \in K \times Q \mid (r, v, k, r') \in \delta\}) \leq 1. \quad (1)$$

2.3 Pushdown H -Automata

Let (H, \cdot) be some group. We call a *pushdown H -automaton* over the finite alphabet X any 7-tuple

$$\mathcal{M} = \langle H, X, Z, Q, \delta, q_0, z_0 \rangle$$

where Z is the finite stack-alphabet, Q is the finite set of states, $q_0 \in Q$ is the initial state, z_0 is the initial stack-symbol and $\delta : QZ \times (X \cup \{\epsilon\}) \rightarrow \mathcal{P}_f(H \times QZ^*)$, is the transition mapping. Let $q, q' \in Q, \omega, \omega' \in Z^*, z \in Z, h \in H, w \in X^*$ and $a \in X \cup \{\epsilon\}$; we note $(qz\omega, h, aw) \mapsto_{\mathcal{M}} (q'\omega'w, h \cdot h', w)$ if $(h', q'\omega') \in \delta(qz, a)$. $\mapsto_{\mathcal{M}}^*$ is the reflexive and transitive closure of $\mapsto_{\mathcal{M}}$. For every $q\omega, q'\omega' \in QZ^*$ and $h \in H, w \in X^*$, we note $q\omega \xrightarrow{(h,w)}_{\mathcal{M}} q'\omega'$ iff $(q\omega, 1_H, w) \mapsto_{\mathcal{M}}^* (q'\omega', h, \epsilon)$. \mathcal{M} is said *deterministic* iff it fulfills the following disjunction:

$$\text{either } \text{Card}(\delta(qz, \epsilon)) = 1 \text{ and for every } x \in X, \text{Card}(\delta(qz, x)) = 0, \quad (2)$$

$$\text{or } \text{Card}(\delta(qz, \epsilon)) = 0 \text{ and for every } x \in X, \text{Card}(\delta(qz, x)) \leq 1. \quad (3)$$

We call *mode* every element of $QZ \cup \{\epsilon\}$. For every $q \in Q, z \in Z$, qz is said ϵ -*bound* (respectively ϵ -*free*) iff condition (2) (resp. condition (3)) in the above definition of deterministic H -automata is realized. The mode ϵ is said ϵ -free.

A H -dpda \mathcal{M} is said *normalized* iff, for every $qz \in QZ, x \in X$:

$$q'\omega' \in \delta_2(qz, x) \Rightarrow |\omega'| \leq 2, \text{ and } q'\omega' \in \delta_2(qz, \epsilon) \Rightarrow |\omega'| = 0, \quad (4)$$

where $\delta_2 : QZ \times (X \cup \{\epsilon\}) \rightarrow \mathcal{P}_f(QZ^*)$, is the second component of the map δ . Given some deterministic pushdown H -automaton \mathcal{M} and a finite set $F \subseteq QZ^*$ of configurations, the *series* (in $\mathbf{B}\langle\langle H \times X^* \rangle\rangle$) *recognized by \mathcal{M} with final configurations F* is defined by

$$S(\mathcal{M}, F) = \sum_{c \in F} \sum_{q_0 z_0 \xrightarrow{(h, w)}_{\mathcal{M}} c} (h, w).$$

For every pair (h, w) having a coefficient 1 in the series $S(\mathcal{M}, F)$, h can be seen as the “output” of the automaton \mathcal{M} on the “input” w . \mathcal{M} can then be named a deterministic pushdown *transducer* from X^* to H .

We suppose that Z contains a special symbol e subject to the property:

$$\forall q \in Q, \delta(qe, \epsilon) = \{1_H, q\} \text{ and } \text{im}(\delta_2) \subseteq \mathcal{P}_f(Q(Z - \{e\})^*). \quad (5)$$

2.4 Monoids Acting on Semi-rings

Actions of monoids The general notions of right-action and σ -right-action of a monoid over a semi-ring is the same as in [Sén97, §2.3.2].

M acting on $\mathbf{B}\langle\langle M \rangle\rangle$ (residuals action) We recall the following classical σ -right-action \bullet of the monoid M over the semi-ring $\mathbf{B}\langle\langle M \rangle\rangle$: for all $S, S' \in \mathbf{B}\langle\langle M \rangle\rangle, u \in M$

$$S \bullet u = S' \Leftrightarrow \forall w \in M, S'_w = S_{u \cdot w}. \quad (6)$$

(i.e. $S \bullet u$ is the *left-quotient* of S by u , or the *residual* of S by u). For every $S \in \mathbf{B}\langle\langle M \rangle\rangle$ we denote by $\mathbf{Q}(S)$ the set of residuals of S : $\mathbf{Q}(S) = \{S \bullet u \mid u \in M\}$. Let us denote by $\mathbf{B}_{n,m}\langle\langle M \rangle\rangle$ the set of matrices of dimension n, m with entries in $\mathbf{B}\langle\langle M \rangle\rangle$. The right-action \bullet on $\mathbf{B}\langle\langle M \rangle\rangle$ is extended componentwise to $\mathbf{B}_{n,m}\langle\langle M \rangle\rangle$: for every $S = (s_{i,j}), u \in M$, the matrix $T = S \bullet u$ is defined by $t_{i,j} = s_{i,j} \bullet u$. The notation $\mathbf{Q}(S) = \{S \bullet u \mid u \in M\}$, is extended to matrices as well. Given $n \geq 1, m \geq 1$, and $S \in \mathbf{B}_{n,m}\langle\langle M \rangle\rangle$ we denote by $\mathbf{Q}_r(S)$ the set of *row-residuals* of S :

$$\mathbf{Q}_r(S) = \bigcup_{1 \leq i \leq n} \mathbf{Q}(S_{i,*}).$$

The ordering \leq on \mathbf{B} is extended componentwise to $\mathbf{B}_{n,m}\langle\langle M \rangle\rangle$.

$K \times X^*$ acting on $B\langle\langle K * V^* \rangle\rangle$ (automaton action) Let us fix now a deterministic (normalized) H -dpda \mathcal{M} and a group K containing H .

H-grammar The variable alphabet $V_{\mathcal{M}}$ associated with \mathcal{M} is defined as: $V_{\mathcal{M}} = \{[p, z, q] \mid p, q \in Q, z \in Z\}$. The context-free H -grammar associated with \mathcal{M} is then $G_{\mathcal{M}} = \langle H, X, V_{\mathcal{M}}, P_{\mathcal{M}} \rangle$ where $P_{\mathcal{M}} \subseteq V_{\mathcal{M}} \times (H * (X \cup V_{\mathcal{M}})^*)$ is the set of all the pairs of one of the following forms:

$$([p, z, q], x \cdot h \cdot [p', z_1, p''] [p'', z_2, q]) \text{ or } ([p, z, q], x \cdot h \cdot [p', z', q]) \text{ or } ([p, z, q], a \cdot h) \quad (7)$$

where $p, q, p', p'' \in Q, x \in X, a \in X \cup \{\epsilon\}, (h, p' z_1 z_2) \in \delta(pz, x), (h, p' z') \in \delta(pz, x), (h, q) \in \delta(pz, a)$.

Action \otimes As long as the automaton \mathcal{M} is fixed, we can safely skip the indexes in $V_{\mathcal{M}}, P_{\mathcal{M}}$. We define a σ -right-action \otimes of the monoid $K \times (X \cup \{e\})^*$ over the semi-ring $B\langle\langle K * V^* \rangle\rangle$ by: for every $p, q \in Q, z \in Z, x \in X, h \in H, k \in K$:

$$[p, z, q] \otimes x = \sum_{([p, z, q], m) \in P} m \bullet (1_H, x), \quad (8)$$

$$[p, z, q] \otimes e = h \text{ iff } ([p, z, q], h) \in P, \quad (9)$$

$$[p, z, q] \otimes e = \emptyset \text{ iff } (\{[p, z, q]\} \times H) \cap P = \emptyset, \quad (10)$$

$$k \otimes x = \emptyset, \quad k \otimes e = \emptyset. \quad (11)$$

The action is extended by: for every $k \in K, \beta \in K * V^*, y \in X \cup \{e\}, S \in B\langle\langle K * V^* \rangle\rangle, k \in K$,

$$(k \cdot [p, z, q] \cdot \beta) \otimes y = k \cdot ([p, z, q] \otimes y) \cdot \beta, \quad S \otimes k = k^{-1} \cdot S. \quad (12)$$

Action \odot We define the map $\rho_{\epsilon} : B\langle\langle K * V^* \rangle\rangle \rightarrow B\langle\langle K * V^* \rangle\rangle$ as the unique σ -additive map such that,

$$\rho_{\epsilon}(\emptyset) = \emptyset, \quad \rho_{\epsilon}(\epsilon) = \epsilon,$$

for every $k \in K, S \in B\langle\langle K * V^* \rangle\rangle$,

$$\rho_{\epsilon}(k \cdot S) = k \cdot \rho_{\epsilon}(S),$$

and for every $p \in Q, z \in Z, q \in Q, \beta \in K * V^*$,

$$\rho_{\epsilon}([p, z, q] \cdot \beta) = \rho_{\epsilon}([p, z, q] \otimes e) \cdot \beta \text{ if } pz \text{ is } \epsilon - \text{bound and,}$$

$$\rho_{\epsilon}([p, z, q] \cdot \beta) = [p, z, q] \cdot \beta \text{ if } pz \text{ is } \epsilon - \text{free.}$$

We call ρ_{ϵ} the ϵ -reduction map. We then define \odot as the unique right-action of the monoid $K \times X^*$ over the semi-ring $B\langle\langle K * V^* \rangle\rangle$ such that: for every $S \in B\langle\langle K * V^* \rangle\rangle, k \in K, x \in X$,

$$S \odot (k, x) = \rho_{\epsilon}(\rho_{\epsilon}(S) \otimes (k, x)).$$

Let us consider the unique substitution $\varphi : \mathbf{B}\langle K * V^* \rangle \rightarrow \mathbf{B}\langle K \times X^* \rangle$ fulfilling: for every $v \in V$,

$$\varphi(v) = \sum_{\substack{k \in K, u \in X^* \\ v \odot (k, u) = \epsilon}} (k, u)$$

(in other words, φ maps every subset $L \subseteq K * V^*$ on the set generated by the grammar G from the set of axioms L).

Lemma 21 *For every $S \in \mathbf{B}\langle K * V^* \rangle, k \in K, u \in X^*$,*

1. $\varphi(\rho_\epsilon(S)) = \varphi(S)$
2. $\varphi(S \odot (k, u)) = \varphi(S) \bullet (k, u)$, i.e. φ is a morphism of right-actions.

We denote by \equiv the kernel of φ i.e.: for every $S, T \in \mathbf{B}\langle K * V^* \rangle$,

$$S \equiv T \Leftrightarrow \varphi(S) = \varphi(T).$$

3 Effective Test-Sets for Morphic Sets

3.1 Morphic Sets

Let $(M, \cdot, 1_M)$ be a monoid.

Definition 31 *A subset $L \subseteq M$ is said morphic iff there exists an element $u \in M$, a finite sequence $\psi_1, \psi_2, \dots, \psi_m (m \geq 1)$ of homomorphisms $\psi_i : M \rightarrow M$ and a rational subset \mathcal{R} of $\{\psi_1, \psi_2, \dots, \psi_m\}^*$ such that:*

$$L = \{\psi(u) \mid \psi \in \mathcal{R}\}.$$

Remark 32 *In the particular case where M is a finitely generated free monoid X^* , and $\mathcal{R} = \{\psi_1, \psi_2, \dots, \psi_m\}^*$ the notion of “morphic subset” coincides with the classical notion of “DTOL language” ([RS80]).*

3.2 Test-Sets

Definition 33 *Let $(M, \cdot, 1_M), (N, \cdot, 1_N)$ be two monoids and $L \subseteq M$. A subset $F \subseteq L$ is called a test-set for L with respect to N iff, F is finite and, for every pair of homomorphisms $\eta, \eta' : M \rightarrow N$, if η agrees with η' on F , then it also agrees on L :*

$$[\forall x \in F, \eta(x) = \eta'(x)] \Rightarrow [\forall x \in L, \eta(x) = \eta'(x)].$$

Theorem 34 *Let $(M, \cdot, 1_M)$ be a finitely generated monoid, L be a morphic subset of M , n a non-negative integer and $H = \mathbf{M}_{n,n}(\mathbb{Q})$ (the monoid of square n by n matrices with entries in \mathbb{Q}). Then L admits a test-set F with respect to H and such a test-set can be computed from any $(m+2)$ -tuple $u, \psi_1, \dots, \psi_m, \mathcal{R}$ defining L .*

We use here the arguments of [CK86a, p.79], combined with the main idea of [Gub85] for establishing the *existence* of a test-set. We then use the algorithm of [Buc85, p.11-13] to *construct* such a test-set.

4 Series and Matrices

4.1 Deterministic Series and Matrices

Let us fix a group (K, \cdot) and a structured alphabet (W, \smile) . (We recall it just means that \smile is an equivalence relation over the set W).

Definition 41 Let $n, m \in \mathbb{N}$, $S, T \in \mathbf{B}_{n,m} \langle \langle K * W^* \rangle \rangle$. S, T are said proportional and we note $S \approx T$, if and only if, there exists $k \in K$ such that $S = k \cdot T$.

Definition 42 Let $m \in \mathbb{N}$, $S \in \mathbf{B}_{1,m} \langle \langle K * W^* \rangle \rangle$: $S = (S_1, \dots, S_m)$. S is said left-deterministic iff either

- (1) $\forall i \in [1, m], S_i = \emptyset$ or
- (2) $\exists i_0 \in [1, m], S_{i_0} \approx \epsilon$ and $\forall i \neq i_0, S_i = \emptyset$ or
- (3) $\exists i_0 \in [1, m], S_{i_0} \not\approx \emptyset$ and $S_{i_0} \not\approx \epsilon$ and $\forall i, j \in [1, m], \forall k \in K, A \in W, \beta, \gamma \in K * W^*, [k \cdot A \cdot \beta \leq S_i, \gamma \leq S_j] \Rightarrow \exists A' \in W, \beta' \in K * W^*, A \smile A'$ and $\gamma = k \cdot A' \cdot \beta'$.

Definition 43 Let $m \geq 1$, $S \in \mathbf{B}_{1,m} \langle \langle K * W^* \rangle \rangle$. S is said deterministic iff, for every $u \in K * W^*$, $S \bullet u$ is left-deterministic.

Definition 44 Let $S \in \mathbf{B}_{n,m} \langle \langle K * W^* \rangle \rangle$. S is said deterministic iff, for every $i \in [1, n]$, $S_{i,*}$ is a deterministic row-vector.

We denote by $\mathbf{DB}_{n,m} \langle \langle K * W^* \rangle \rangle$ the subset of deterministic matrices of dimension (n, m) over $\mathbf{B} \langle \langle K * W^* \rangle \rangle$.

Lemma 45 For every $S \in \mathbf{DB}_{n,m} \langle \langle K * W^* \rangle \rangle, T \in \mathbf{DB}_{m,s} \langle \langle K * W^* \rangle \rangle$, $S \cdot T \in \mathbf{DB}_{n,s} \langle \langle K * W^* \rangle \rangle$.

Let us call a matrix $S \in \mathbf{B}_{n,m} \langle \langle K * W^* \rangle \rangle$ rational iff every component $S_{i,j}$ for $i \in [1, n], j \in [1, m]$ is rational.

Proposition 46 Let $m \geq 1$, $S \in \mathbf{DB}_{1,m} \langle \langle K * W^* \rangle \rangle$. Then S is rational if and only if $\mathbf{Q}(S)/\approx$ is finite

Norm Proposition 46 suggests the following notion of norm. For every $S \in \mathbf{DB}_{n,m} \langle \langle K * W^* \rangle \rangle$, the norm of S is defined by:

$$\|S\| = \text{Card}(\mathbf{Q}_r(S)/\approx) \in \mathbb{N} \cup \{\infty\}.$$

It follows from proposition 46 that a deterministic matrix $S \in \mathbf{DB}_{n,m} \langle \langle K * W^* \rangle \rangle$ is rational iff it has a finite norm. As well, a special notion of deterministic “finite m - K -automata” can be devised, such that these automata recognize exactly the deterministic rational $(1, m)$ -row-vectors (see [Sén99, definition 4.11]).

Lemma 47 Let $S \in \mathbf{DB}_{n,m} \langle \langle K * W^* \rangle \rangle, T \in \mathbf{DB}_{m,s} \langle \langle K * W^* \rangle \rangle$. Then $\|S \cdot T\| \leq \|S\| + \|T\|$.

4.2 Algebraic Properties

Let (W, \smile) be the structured alphabet (V, \smile) associated with the H -dpda \mathcal{M} and let K be a group containing H . The notion of *linear combination* of series is defined as in [Sén97, §3.2.1]. The subsequent notions of *space* of series and *linear independence* of series can be easily adapted to $\text{DRB}\langle\langle K * W^* \rangle\rangle$. (We recall this last notion originated in [Mei89, lemma 11 p.589¹]).

5 Deduction Systems

5.1 General Systems

We use here a notion of *deduction system* which was inspired by [Cou83]. The reader is referred to [Sén97, section 4] for a precise definition of this notion and of the related notion of *strategy*.

5.2 Systems $\mathcal{K}_0, \mathcal{H}_0$

Let $H = \text{GL}_n(\mathbb{Q})$. We define here a particular deduction system \mathcal{H}_0 “Taylored for the equivalence problem for H -dpda’s” and also an auxiliary more general deduction system \mathcal{K}_0 .

Given a fixed H -dpda \mathcal{M} over the terminal alphabet X , we consider the variable alphabet V associated to \mathcal{M} (see §2.4), a denumerable alphabet U (we call it the alphabet of *parameters*), the group $K = F(U) * H$ ² and the set $\text{DRB}\langle\langle K * V^* \rangle\rangle$ (the set of Deterministic Rational Boolean series over $K * V^*$).

The set of assertions is defined by :

$$\mathcal{A} = \mathbb{N} \times \text{DRB}\langle\langle K * V^* \rangle\rangle \times \text{DRB}\langle\langle K * V^* \rangle\rangle$$

i.e. an assertion is here a *weighted equation* over $\text{DRB}\langle\langle K * V^* \rangle\rangle$.

The “cost-function” $J : \mathcal{A} \rightarrow \mathbb{N} \cup \{\infty\}$ is defined by :

$$J(n, S, S') = n + 2 \cdot \text{Div}(S, S'),$$

where $\text{Div}(S, S')$, the divergence between S and S' , is defined by:

$$\text{Div}(S, S') = \inf\{|u|, u \in X^*, \exists k \in K, (k, u) \leq \varphi(S) \Leftrightarrow (k, u) \not\leq \varphi(S')\}.$$

(Notice that: $J(n, S, S') = \infty \iff S \equiv S'$).

¹ numbering of the english version

² these values of H, K are fixed, up to corollary 63

We define a binary relation $\vdash\!\!\!-\subset \mathcal{P}_f(\mathcal{A}) \times \mathcal{A}$, the *elementary deduction relation*, as the set of all the pairs having one of the following forms:

(K0) $\{(p, S, T)\}$	$\vdash\!\!\!- (p + 1, S, T)$
(K1) $\{(p, S, T)\}$	$\vdash\!\!\!- (p, T, S)$
(K2) $\{(p, S, S'), (p, S', S'')\}$	$\vdash\!\!\!- (p, S, S'')$
(K3) \emptyset	$\vdash\!\!\!- (0, S, S)$
(K'3) \emptyset	$\vdash\!\!\!- (0, S, T) \text{ for } T \in \{\emptyset, \epsilon\}, S \equiv T$
(K4) $\{(p + 1, S \odot x, T \odot x) \mid x \in X\}$ where $(\forall k \in K, S \neq k \wedge T \neq k)$	$\vdash\!\!\!- (p, S, T)$
(K5) $\{(p, S, S')\}$ for $x \in X$	$\vdash\!\!\!- (p + 2, S \odot x, S' \odot x)$
(K6) $\{(p, S_1 \cdot T + S_2, T)\}$ where $(\forall k \in K, S_1 \neq k)$	$\vdash\!\!\!- (p, S_1^* \cdot S_2, T)$
(K7) $\{(p, S_1, T_1), (p, S_2, T_2)\}$	$\vdash\!\!\!- (p, S_1 + S_2, T_1 + T_2)$
(K8) $\{(p, S, S')\}$	$\vdash\!\!\!- (p, S \cdot T, S' \cdot T)$
(K9) $\{(p, T, T')\}$	$\vdash\!\!\!- (p, S \cdot T, S \cdot T')$
(K10) \emptyset	$\vdash\!\!\!- (0, S, \rho_\epsilon(S))$
(K11) \emptyset	$\vdash\!\!\!- (0, S, \rho_e(S)),$

where $p \in \mathbb{N}, S, S', T, T' \in \text{DRB}(\langle K * V^* \rangle), (S_1, S_2), (T_1, T_2) \in \text{DRB}_{1,2}(\langle K * V^* \rangle)$. The map ρ_ϵ involved in rule (K10) was defined in §2.4 and we define the new map ρ_e involved in rule (K11) as the unique substitution $\text{B}(\langle K * V^* \rangle) \rightarrow \text{B}(\langle K * V^* \rangle)$ such that, for every $p, q \in Q, z \in Z$,

$$\rho_e([p, e, q] = \emptyset \text{ if } p \neq q), \quad \rho_e([p, e, q] = \epsilon \text{ if } p = q), \quad \rho_e([p, z, q] = [p, z, q] \text{ if } z \neq e),$$

where e is the “dummy” symbol introduced in (5). ρ_e maps every $S \in \text{DRB}(\langle K * V^* \rangle)$ into an image $\rho_e(S) \in \text{DRB}(\langle K * V^* \rangle)$.

Let us define $\vdash\!\!\!- \text{ by } : \text{ for every } P \in \mathcal{P}_f(\mathcal{A}), A \in \mathcal{A},$

$$P \vdash\!\!\!- A \iff P \stackrel{<*>}{\vdash\!\!\!-} \circ \stackrel{[1]}{\vdash\!\!\!-}_{0,3,4,10,11} \circ \stackrel{<*>}{\vdash\!\!\!-} \{A\},$$

where $\vdash\!\!\!-_{0,3,4,10,11}$ is the relation defined by K0, K3, K'3, K4, K10, K11 only. We let $\mathcal{K}_0 = \langle \mathcal{A}, J, \vdash\!\!\!- \rangle$. We define \mathcal{H}_0 as the system obtained by replacing K by H in the above definitions.

Lemma 51 : $\mathcal{K}_0, \mathcal{H}_0$ are deduction systems.

By $\text{Hom}_H(K, K)$ we denote the set of homomorphisms $\psi : K \rightarrow K$ which leave H pointwise invariant. \mathcal{K}_0 is “compatible with homomorphisms” in the following sense

Lemma 52 For every $P \in \mathcal{P}(\mathcal{A})$ and every homomorphism $\psi \in \text{Hom}_H(K, K)$, if P is a \mathcal{K}_0 -proof then $\psi(P)$ is a \mathcal{K}_0 -proof too.

For every integer $t \in \mathbb{N}$, we denote by $\tau_t : \mathcal{A} \rightarrow \mathcal{A}$ the translation on the weights: $\forall p \in \mathbb{N}, S, T \in \text{DRB}(\langle K * V^* \rangle), \tau_t(p, S, T) = (p + t, S, T)$.

5.3 Regular Proofs

Let us use the notation $\text{Par}(S) \subseteq U$ for the set of parameters occurring in a given series S . (The notation is extended to assertions and sets of assertions in a natural way).

Definition 53 (germs) We call a H -germ any 9-tuple $G = (n, \alpha, \beta, \gamma, (P_i)_{0 \leq i \leq n}, (A_i)_{0 \leq i \leq n}, (B_{i,j})_{0 \leq i \leq n, 0 \leq j \leq \alpha(i)}, (C_{i,k})_{0 \leq i \leq n, 0 \leq k \leq \beta(i)}, (\psi_{i,j})_{0 \leq i \leq n, 0 \leq j \leq \alpha(i)})$ such that

1. n is a non-negative integer,
2. α, β are two integer mappings: $[0, n] \rightarrow \mathbb{N}$,
3. γ is a mapping: $\{(i, j) \in \mathbb{N} \times \mathbb{N} \mid 0 \leq i \leq n, 0 \leq j \leq \alpha(n)\} \rightarrow [0, n]$,
4. every P_i is a finite subset of \mathcal{A} ,
5. $A_i, B_{i,j}, C_{i,k}$ are assertions belonging to P_i ,
6. let $U_i = \text{Par}(A_i)$, $U_G = \cup_{0 \leq i \leq n} U_i, K_G = F(U_G) * H$; $U_0 = \emptyset$ and every assertion of P_i belongs to $\mathbb{N} \times \text{DRB}(\langle K_i * V^* \rangle) \times \text{DRB}(\langle K_i * V^* \rangle)$
7. every assertion $C_{i,k}$ has the form: $C_{i,k} = (\pi_{i,k}, S_{i,k}, T_{i,k})$ where $S_{i,k} \in K, T_{i,k} \in K$,
8. $A_i \notin \{B_{i,j} \mid 0 \leq j \leq \alpha(i)\} \cup \{C_{i,k} \mid 0 \leq k \leq \beta(i)\}$,
9. P_i is a proof relative to the set of hypotheses $\{B_{i,j} \mid 0 \leq j \leq \alpha(i)\} \cup \{C_{i,k} \mid 0 \leq k \leq \beta(i)\}$,
10. $\psi_{i,j} \in \text{Hom}_H(K_{\gamma(i,j)}, K_i)$ and there exists some non-negative integer $t \in \mathbb{N}$ such that $\tau_t(\psi_{i,j}(A_{\gamma(i,j)})) = B_{i,j}$.

Definition 54 (rational sets of homomorphisms) Let G be a H -germ defined as in definition 53. We define rational subsets $(\mathcal{R}_i)_{0 \leq i \leq n}$ of $\text{Hom}_H(K, K)$ by $\mathcal{R}_i = \{\psi_{i_0, j_0} \circ \psi_{i_1, j_1} \cdots \circ \psi_{i_\ell, j_\ell} \mid i_0 = 0, \ell \geq 0, \forall k \in [0, \ell], 0 \leq j_k \leq \alpha(i_k), \forall k \in [0, \ell - 1] i_{k+1} = \gamma(i_k, j_k) \text{ and } i = \gamma(i_\ell, j_\ell)\}$.

Definition 55 Let G be a H -germ. We define the set of assertions associated with G , as the set: $\text{P}(G) = \bigcup_{0 \leq i \leq n} \psi_i(P_i)$.

Definition 56 (germs of proofs) Let G be a H -germ. G is called a germ of proof iff, for every $i \in [0, n], k \in [0, \beta(i)], \psi_i \in \mathcal{R}_i, \psi_i(S_{i,k}) = \psi_i(T_{i,k})$.

Definition 57 (regular proofs) Let $P \subseteq \mathbb{N} \times \text{DRB}(\langle H * V^* \rangle) \times \text{DRB}(\langle H * V^* \rangle)$. P is called a regular proof iff there exists some germ of proof G such that $P = \text{P}(G)$.

(One can check that, due to lemma 52, “regular proofs” are indeed \mathcal{H}_0 -proofs).

Theorem 58 The set of all germs of proof is recursively enumerable.

The proof of theorem 58 leans essentially on theorem 34 applied on the monoid $M = K_G$ (see point (6) of definition 53).

6 Completeness of \mathcal{H}_0

Theorem 61 *Let $A_0 \in \mathbb{N} \times \text{DRB}(\langle H * V^* \rangle) \times \text{DRB}(\langle H * V^* \rangle)$. If A_0 is true (i.e. $J(A_0) = \infty$) then A_0 has some regular \mathcal{H}_0 -proof.*

Which might be rephrased as: the system \mathcal{H}_0 is “regularly”-complete. Let us sketch the main ideas of the proof ([Sén99, section 10]). There exists a constant $D_2 \in \mathbb{N}$ such that $\|A_0\| \leq D_2$ and

- with the help of §4.2, we can devise a *strategy* \mathcal{S} , producing from every assertion A , with $\|A\| \leq D_2$, a finite \mathcal{K}_0 -proof P , whose hypotheses still have a norm $\leq D_2$;
- we consider the set $\mathcal{F}(D_2)$ of all assertions $A' = (p', S', T')$ with a norm $\leq D_2$ and where S', T' are *generic* (this notion is close to that of *transducer schema* defined in [CK86a]); this set is finite: $\mathcal{F}(D_2) = \{A_i \mid 1 \leq i \leq n\}$;
- the $(n+1)$ -tuple $(P_i)_{0 \leq i \leq n}$ of proofs produced by \mathcal{S} from all the A_i , $0 \leq i \leq n$, can be extended into a H -germ $G = (n, *, *, *, (P_i)_{0 \leq i \leq n}, (A_i)_{0 \leq i \leq n}, *, *, *)$ and $P(G)$ is a regular proof of A_0 .

Theorem 62 *Let $H = \text{GL}_n(\mathbb{Q})$ for some $n \in \mathbb{N}$. The equivalence problem for deterministic H -pushdown automata is decidable.*

Corollary 63 *Let H be a finitely generated free group or free monoid. The equivalence problem for deterministic H -pushdown automata is decidable.*

Proof: It suffices to notice that $Y^* \hookrightarrow F(Y) \hookrightarrow \text{GL}_2(\mathbb{Q})$ (see [LS77, prop.12.3 p.167]) and to apply theorem 62.

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