

Nested Words and Trees

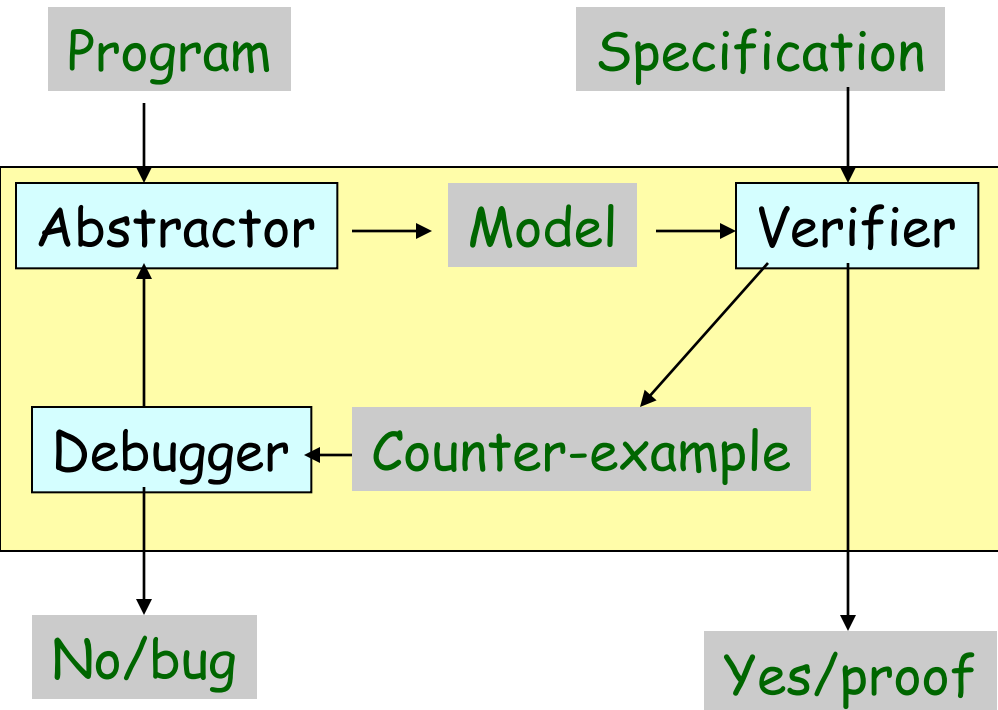
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Joint work with S. Chaudhuri & P. Madhusudan

Games Workshop, Cambridge, UK, July 2006

Software Model Checking



Research challenges

- Search algorithms
- Abstraction
- Static analysis
- Refinement
- **Expressive specs**

Applications

- Device drivers, OS code
- Network protocols
- Concurrent data types

Tools: SLAM, Blast, CBMC, F-SOFT

Classical Model Checking

- Both model M and specification S define regular languages
 - ◆ M as a generator of all possible behaviors
 - ◆ S as an acceptor of “good” behaviors (verification is language inclusion of M in S) or as an acceptor of “bad” behaviors (verification is checking emptiness of intersection of M and S)
- Typical specifications (using automata or temporal logic)
 - ◆ Safety: Lock and unlock operations alternate
 - ◆ Liveness: Every request has an eventual response
 - ◆ Branching: Initial state is always reachable
- Robust foundations
 - ◆ Finite automata / regular languages
 - ◆ Buchi automata / omega-regular languages
 - ◆ Tree automata / parity games / regular tree languages

Checking Structured Programs

- ❑ Control-flow requires stack, so model M defines a context-free language
- ❑ Algorithms exist for checking regular specifications against context-free models
 - ◆ Emptiness of pushdown automata is solvable
 - ◆ Product of a regular language and a context-free language is context-free
- ❑ But, checking context-free spec against a context-free model is undecidable!
 - ◆ Context-free languages are not closed under intersection
 - ◆ Inclusion as well as emptiness of intersection undecidable
- ❑ Existing software model checkers: pushdown models (Boolean programs) and regular specifications

Are Context-free Specs Interesting?

- ❑ Classical Hoare-style pre/post conditions
 - ◆ If p holds when procedure A is invoked, q holds upon return
 - ◆ Total correctness: every invocation of A terminates
 - ◆ Integral part of emerging standard JML
- ❑ Stack inspection properties (security/access control)
 - ◆ If `setuid` bit is being set, root must be in call stack
- ❑ Interprocedural data-flow analysis
- ❑ All these need matching of calls with returns, or finding unmatched calls
 - ◆ Recall: Language of words over $[,]$ such that brackets are well matched is not regular, but context-free

Checking Context-free Specs

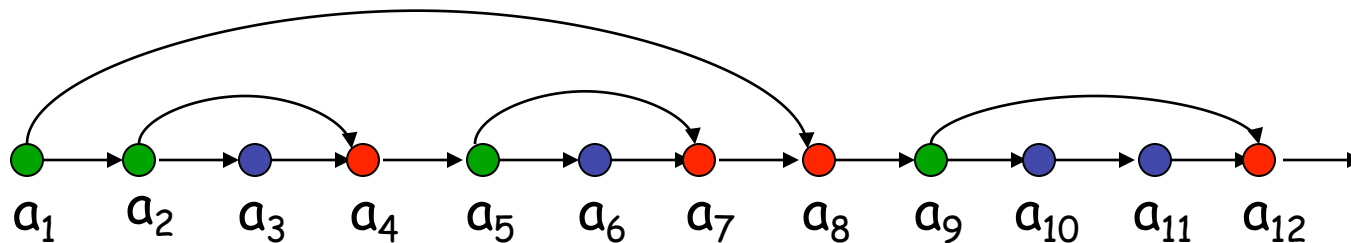
- Many tools exist for checking specific properties
 - ◆ Security research on stack inspection properties
 - ◆ Annotating programs with asserts and local variables
 - ◆ Inter-procedural data-flow analysis algorithms
- What's common to checkable properties?
 - ◆ Both model M and spec S have their own stacks, but the two stacks are synchronized
- As a generator, program should expose the matching structure of calls and returns

Solution: Nested words and theory of regular languages over nested words

Nested Words

Nested word:

- ◆ Linear sequence + well-nested edges
- ◆ Positions labeled with symbols in S



Positions classified as:

- ◆ **Call positions**: both linear and hierarchical successors
- ◆ **Return positions**: both linear and hierarchical predecessors
- ◆ Internal positions: otherwise

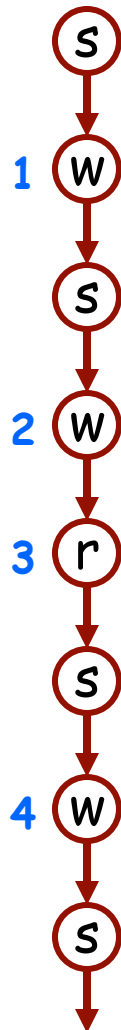
Assume each position has at most one nested edge

Program Executions as Nested Words

Program

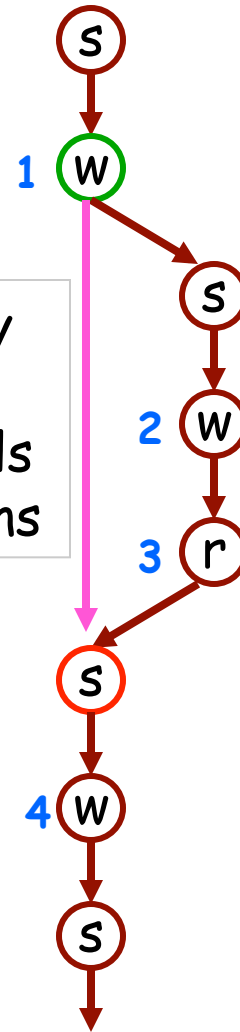
```
bool P() {  
  local int x,y;  
  ...  
  x = 3; 1  
  if Q x = y; 4  
  ...  
}  
  
bool Q () {  
  local int x;  
  ...  
  x = 1; 2  
  return (x==0); 3  
}
```

An execution as a word



Symbols:
w : write x
r : read x
s : other

An execution as a nested word



Summary
edges
from calls
to returns

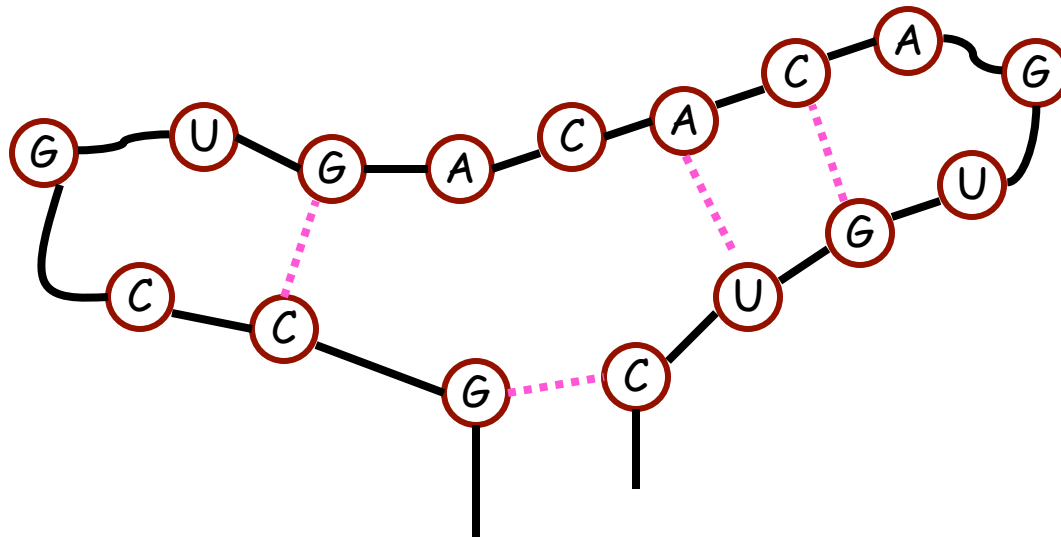
Model for Linear Hierarchical Data

- ❑ Nested words: both linear and hierarchical structure is made explicit. This seems natural in many applications
 - ◆ Executions of structured program
 - ◆ RNA: primary backbone is linear, secondary bonds are well-nested
 - ◆ XML documents: matching of open/close tags
- ❑ Words: only linear structure is explicit
 - ◆ Pushdown automata add/discover hierarchical structure
 - ◆ Parentheses languages: implicit nesting edges
- ❑ Ordered Trees: only hierarchical structure is explicit
 - ◆ Ordering of siblings imparts explicit partial order
 - ◆ Linear order is implicit, and can be recovered by infix traversal

RNA as a Nested Word

Primary structure: Linear sequence of nucleotides (A, C, G, U)

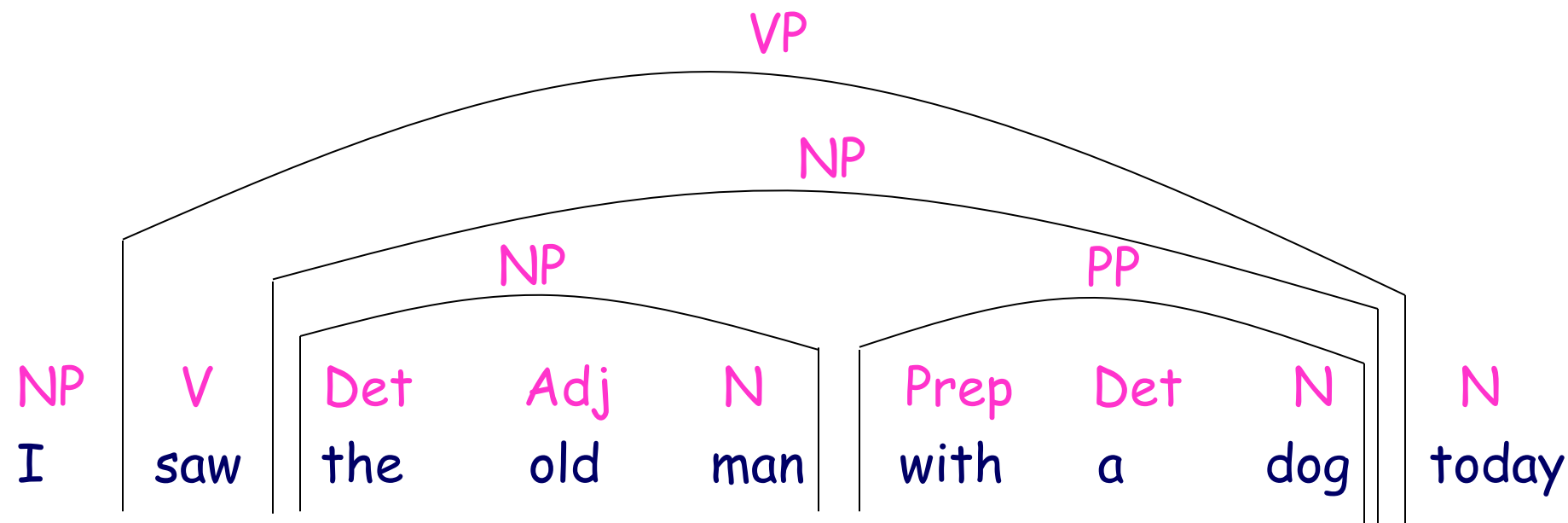
Secondary structure: Hydrogen bonds between complementary nucleotides (A-U, G-C, G-U)



In literature, this is modeled as trees.

Algorithmic question: Find similarity between RNAs using edit distances

Linguistic Annotated Data



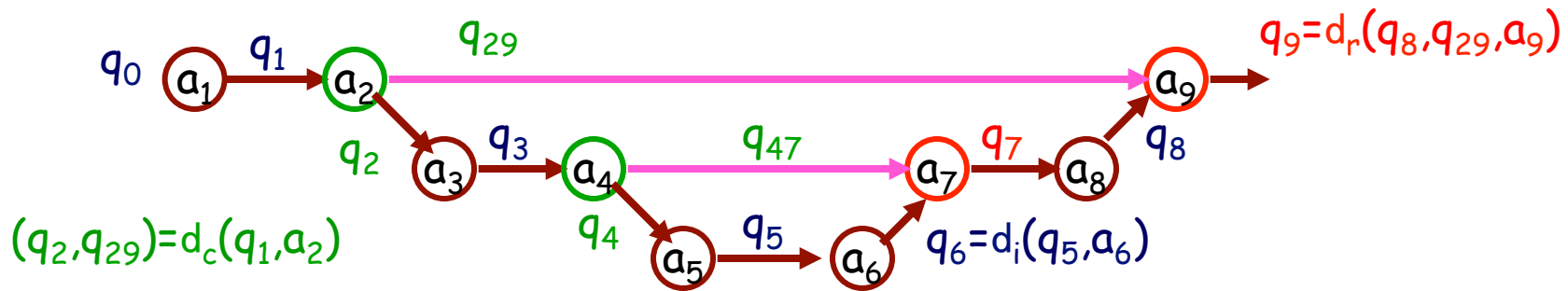
Linguistic data stored as annotated sentences (eg. Penn Treebank)

Nested words, possibly with labels on edges

Sample query: Find nouns that follow a verb which is a child of a verb phrase

Existing query languages: XPath, XQuery, LPath (BCDLZ)

Nested Word Automata (NWA)



- ◆ States Q , initial state q_0 , final states F
- ◆ Starts in initial state, reads the word from left to right labeling edges with states, where states on the outgoing edges are determined from states of incoming edges
- ◆ Transition function:
 - $d_c : Q \times S \rightarrow Q \times Q$ (for call positions)
 - $d_i : Q \times S \rightarrow Q$ (for internal positions)
 - $d_r : Q \times Q \times S \rightarrow Q$ (for return positions)
- ◆ Nested word is accepted if the run ends in a final state

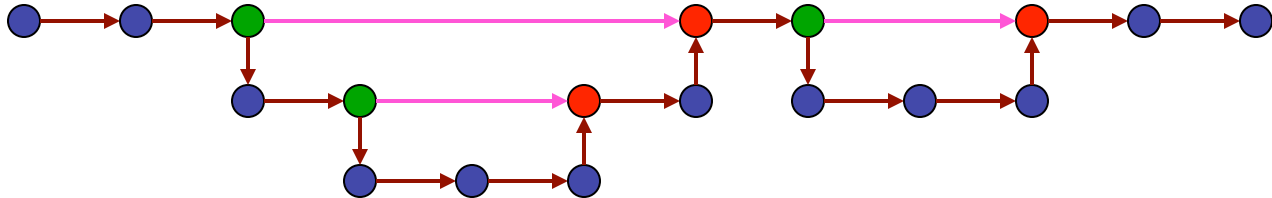
Regular Languages of Nested Words

- A set of nested words is regular if there is a finite-state NWA that accepts it
- Nondeterministic automata over nested words
 - ◆ Transition function: $d_c: Q \times S \rightarrow 2^{Q \times Q}$, $d_i: Q \times S \rightarrow 2^Q$, $d_r: Q \times Q \times S \rightarrow 2^Q$
 - ◆ Can be determinized
- Graph automata over nested words defined using tiling systems are equally expressive (edges out of a call position have separate states)
- Appealing theoretical properties
 - ◆ Effectively closed under various operations (union, intersection, complement, concatenation, projection, Kleene- * ...)
 - ◆ Decidable decision problems: membership, language inclusion, language equivalence ...
 - ◆ Alternate characterization: MSO, syntactic congruences

Application: Software Analysis

- A program P with stack-based control is modeled by a set L of nested words it generates
 - ◆ Choice of S depends on the intended application
 - ◆ Summary edges exposing call/return structure are added (exposure can depend on what needs to be checked)
 - ◆ If P has finite data (e.g. pushdown automata, Boolean programs, recursive state machines) then L is regular
- Specification S given as a regular language of nested words
- Verification: Does every behavior in L satisfy S ?
 - ◆ Runtime monitoring: Check if current execution is accepted by S (compiled as a deterministic automaton)
 - ◆ Model checking: Check if L is contained in S , decidable when P has finite data

Writing Program Specifications



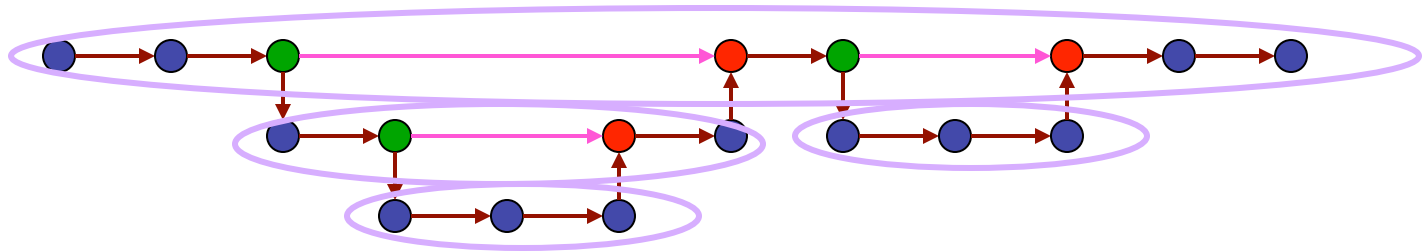
Intuition: Keeping track of context is easy; just skip using a summary edge

- ◆ Finite-state properties of paths, where a path can be a local path, a global path, or a mixture

Sample regular properties:

- ◆ If p holds at a call, q should hold at matching return
- ◆ If x is being written, procedure P must be in call stack
- ◆ Within a procedure, an *unlock* must follow a *lock*
- ◆ All properties specifiable in standard temporal logics (LTL)

Local Regularity



Let L be a regular language,

$\text{Local}(L)$: every local path is in L (skip summary edges)

- ◆ E.g. L : every write (w) is followed by a read (r)

Given a DFA A for L , construct NWA B for $\text{Local}(L)$

- ◆ States Q , initial state q_0 , final states F , same as A
- ◆ $d_i(q, a) = d(q, a)$
- ◆ $d_c(q, a) = (q_0, d(q, a))$
- ◆ $d_r(q, q', a) = d(q', a)$ if q is in F

Application: Document Processing

XML Document

```
<conference>
  <name>
    DLT 2006
  </name>
  <location>
    <city>
      Santa Barbara
    </city>
    <hotel>
      Best Western
    </hotel>
  </location>
  <sponsor>
    UCSB
  </sponsor>
  <sponsor>
    Google
  </sponsor>
</conference>
```

Query Processing

Model a document d as a nested word
Nesting edges from $\langle \text{tag} \rangle$ to $\langle / \text{tag} \rangle$

Sample Query: Find documents related to
conferences sponsored by Google in
Santa Barbara

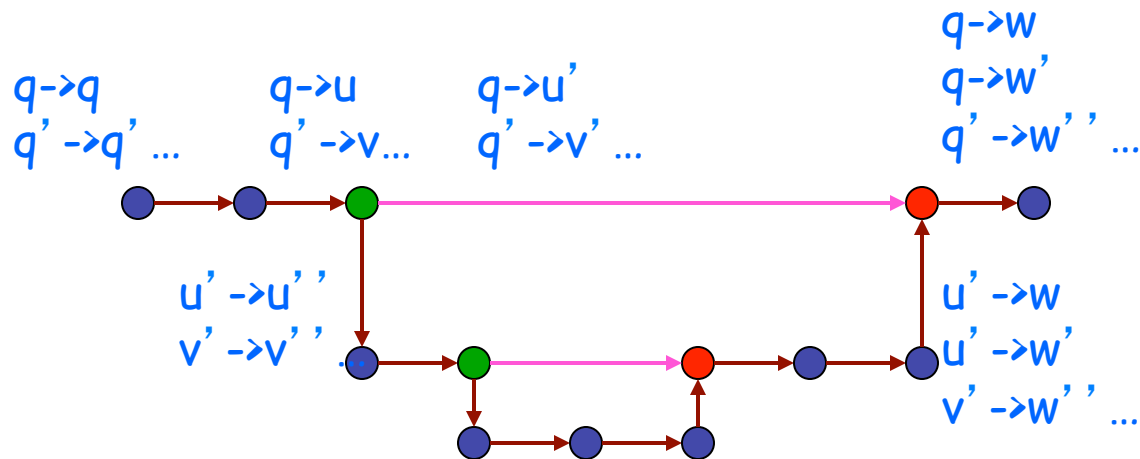
Specify query as a regular language L of
nested words

Analysis: Membership question

Does document d satisfy query L ?

Use NWA instead of tree automata!
(typically, no recursion, but only hierarchy)
Useful for streaming applications, and when
data has also a natural linear order

Determinization



Goal: Given a nondeterministic automaton A with states Q , construct an equivalent deterministic automaton B

- ◆ Intuition: Maintain a set of “summaries” (pairs of states)
- ◆ State-space of B : $2^{Q \times Q}$
- ◆ Initially, state contains $q \rightarrow q$, for each q
- ◆ At call, if state u splits into (u', u'') , summary $q \rightarrow u$ splits into $(q \rightarrow u', u' \rightarrow u'')$
- ◆ At return, summaries $q \rightarrow u'$ and $u' \rightarrow w$ join to give $q \rightarrow w$
- ◆ Acceptance: must contain $q \rightarrow q'$, where q is initial and q' is final

Closure Properties

The class of regular languages of nested words is effectively closed under many operations

- ◆ Intersection: Take product of automata (key: nesting given by input)
- ◆ Union: Use nondeterminism
- ◆ Complementation: Complement final states of deterministic NWA
- ◆ Projection: Use nondeterminism
- ◆ Concatenation/Kleene*: Guess the split (as in case of word automata)
- ◆ Reverse (reversal of a nested word reverses nested edges also)

Decision Problems

- Membership: Is a given nested word w accepted by NWA A ?
 - ◆ Solvable in polynomial time
 - ◆ If A is fixed, then in time $O(|w|)$ and space $O(\text{nesting depth of } w)$

- Emptiness: Given NWA A , is its language empty?
Solvable in time $O(|A|^3)$: view A as a pushdown automaton

- Universality, Language inclusion, Language equivalence:
 - ◆ Solvable in polynomial-time for deterministic automata
 - ◆ For nondeterministic automata, use determinization and complementation; causes exponential blow-up, Exptime-complete problems

MSO-based Characterization

□ Monadic Second Order Logic of Nested Words

- ◆ First order variables: x, y, z ; Set variables: $X, Y, Z \dots$
- ◆ Atomic formulas: $a(x)$, $X(x)$, $x=y$, $x < y$, $x \rightarrow y$
- ◆ Logical connectives and quantifiers

□ Sample formula:

For all x, y . ($a(x)$ and $x \rightarrow y$) implies $b(y)$

Every call labeled a is matched by a return labeled b

□ Thm: A language L of nested words is regular iff it is definable by an MSO sentence

- ◆ Robust characterization of regularity as in case of languages of words and languages of trees

MSO-NWA Equivalence (Proof sketch)

□ From deterministic NWA to MSO

- ◆ Unary predicates and q_l and q_h for each state q of A
- ◆ Formula says that these predicates encode a run of A consistent with its transition function (q_h is used to encode state-labels on nesting edges)
- ◆ d_r requirement can be encoded using nesting-edge predicate \rightarrow

□ Only existential-second-order prefix suffices

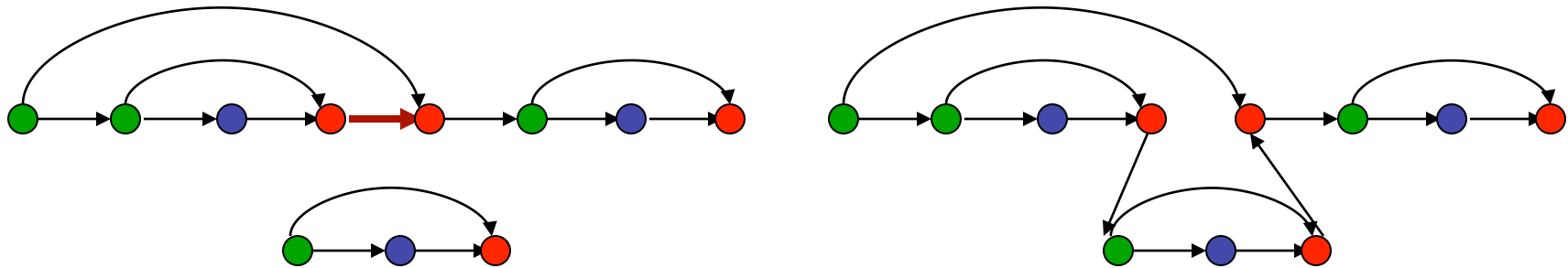
□ From MSO to nondeterministic NWA

- ◆ NWA can check base predicates $x=y$, $x < y$, $x \rightarrow y$
- ◆ Use closure properties: union, complement, and projection

Congruence Based Characterization

Context C : A nested word and a linear edge

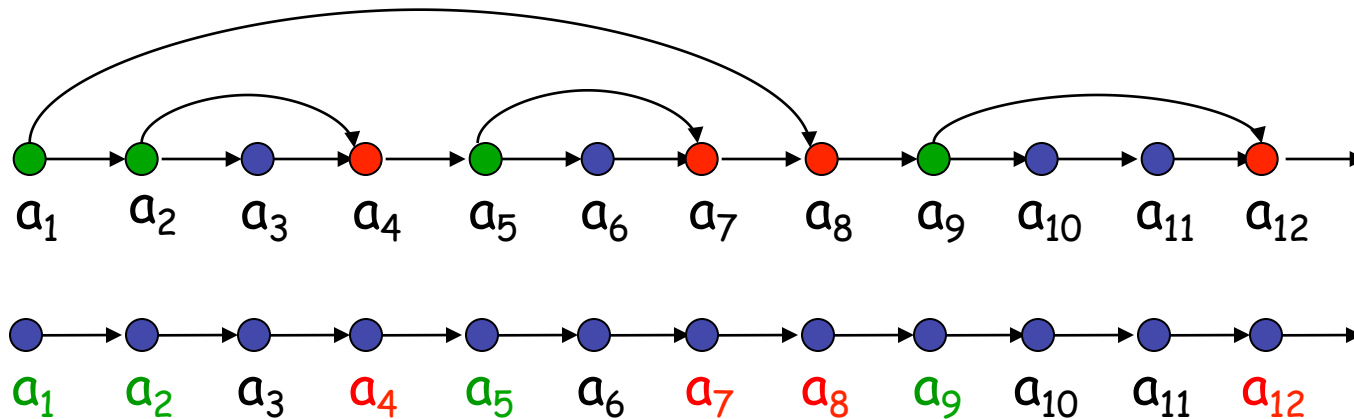
Substitution $I(C,w)$: Insert nested word w in a context C



Congruence: Given a language L of nested words, $w \sim_L w'$ if for every context C , $I(C,w)$ is in L iff $I(C,w')$ is in L

Thm: A language L of nested words is regular iff the congruence \sim_L is of finite index.

Relating to Word Languages



Words labeled with a typed alphabet (visibly pushdown words)

- ◆ Symbols partitioned into **calls**, **returns**, and **internals**
- ◆ Two views are basically the same giving similar results

Visibly Pushdown Automata

- ◆ Pushdown automaton that must **push while reading a call**, must **pop while reading a return**, and not update stack on **internals**
- ◆ Height of stack determined by input word read so far

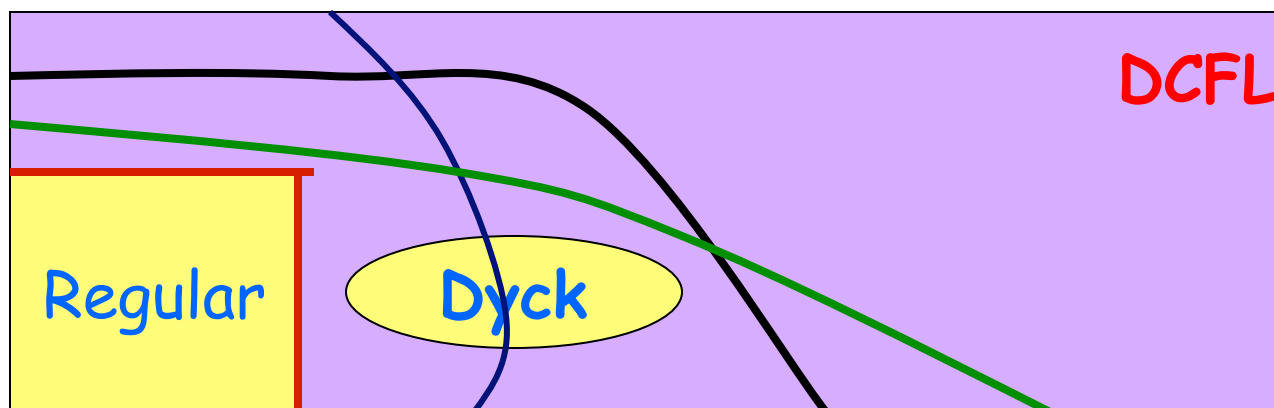
Visibly Pushdown Languages

- ◆ A robust subclass of deterministic context-free languages

VPLs vs DCFLs

Fix S . For each partitioning of S into S_c, S_i, S_r , we get a corresponding class of visibly pushdown languages

- ◆ Each class is closed under Boolean operations
- ◆ Decidable equivalence, inclusion problems etc

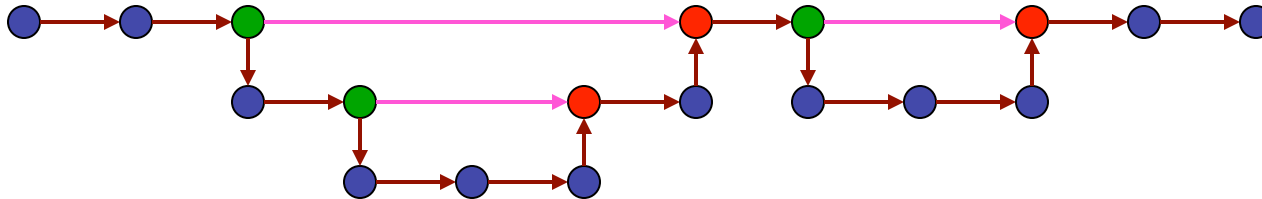


Are these VPLs?

$L_1 = \{a^n b^n \mid n > 0\}$, $L_2 = \{b^n a^n \mid n > 0\}$, $L_3 = \text{words with same \# of a's \& b's}$

Instead of static typing of symbols, one can use dynamic types determined by an automaton to get more VPL classes[Caucal'06]

Relating to Tree Languages



A binary tree is hiding in a nested word

- ◆ At calls, left subtree encodes what happens in the called procedure, and right subtree gives what happens after return

Why not use tree encoding and tree automata ?

- ◆ Notion of regularity is same in both views
- ◆ Nesting is encoded, but linear structure is lost
- ◆ Deterministic tree automata are not expressive
- ◆ No notion of reading input from left to right
- ◆ XML literature has lots of attempts to address this deficiency: Tree walking automata...

Summary Table

	Word Automata	Pushdown Automata	Tree Automata	NWA
Union	yes	yes	yes	yes
Intersection	yes	no	yes	yes
Complement	yes	no	yes	yes
Det= Nondet	yes	no	no	yes
Emptiness	Nlogspace	Ptime	Ptime	Ptime
Inclusion (Nondet)	Pspace	Undec	Exptime	Exptime

Related Work

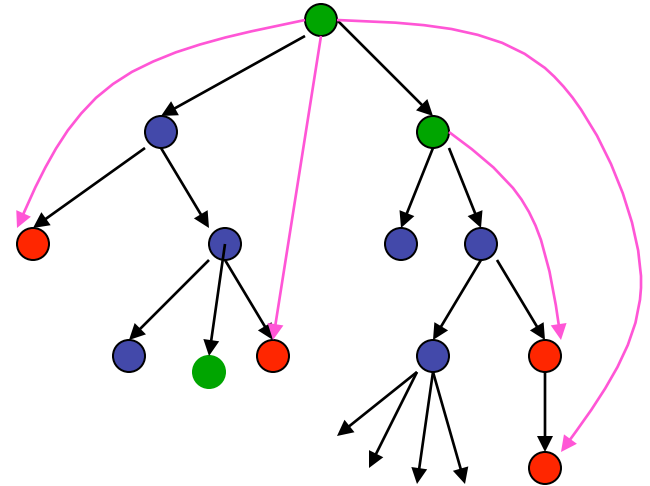
- ❑ Restricted context-free languages
 - ◆ Parantheses languages, Dyck languages
 - ◆ Input-driven languages
- ❑ Logical characterization of context-free languages (LST'94)
- ❑ Connection between pushdown automata and tree automata
 - ◆ Set of parse trees of a CFG is a regular tree language
 - ◆ Pushdown automata for query processing in XML
- ❑ Algorithms for pushdown automata compute summaries
 - ◆ Context-free reachability
 - ◆ Inter-procedural data-flow analysis
- ❑ Model checking of pushdown automata
 - ◆ LTL, CTL, m-calculus, pushdown games
 - ◆ LTL with regular valuations of stack contents
 - ◆ CaRet (LTL with calls and returns)

Research Directions

- Visible Pushdown Languages (AM, STOC'04)
 - ◆ Extends to w-regular languages of infinite words
- VPL triggered research
 - ◆ Games (LMS, FSTTCS' 04)
 - ◆ Congruences and minimization (AKMV ICALP' 05, KMV Concur' 06)
 - ◆ Third-order Algol with iteration (MW FoSSaCS' 05)
 - ◆ Dynamic logic with recursive programs (LS FoSSaCS' 06)
 - ◆ Synchronization of pushdown automata (Caucal DLT' 06)
- Linear-time Temporal Logics
 - ◆ CaRet (Logic of calls and returns) (AEM TACAS' 04)

Caution: Not studied in the nested word framework

Nested Trees



Tree edges + Nesting edges

Unranked (arity not fixed)

Unordered

Infinite

Given a pushdown automaton (or a Boolean program) A , model it by a nested tree T_A

- ◆ Each path models an execution as a nested word
- ◆ Branching-time model checking: Specification is a language of nested trees, verification is membership

Tree Automata Definitions

Transition function of a tree automaton $d : Q \times S \rightarrow D$

D depends on type of automaton and type of trees

- Nondeterministic over binary trees: D is a set of pairs; A choice (u,v) means send u to left child and v to right child
- Nondeterministic over ordered trees: D is a regular language over Q ; the sequence of states sent along children must be in D
- Nondeterministic over unordered unranked trees: D is a set of terms in $2^Q \times Q$; A choice $(\{q_1, q_2\}, q_3)$ means that send q_1 to one child, q_2 to a different child, and q_3 to all remaining children
- Alternating over unordered unranked trees: D contains formulas that positive Boolean combination of terms of the form $\langle q \rangle, [q]$; A formula $(\langle q_1 \rangle$ or $\langle q_2 \rangle)$ and $[q_3]$ means send q_3 to all children, and either q_1 or q_2 to one of them

Nondeterministic Nested Tree Automata

- Finitely many states Q , initial states
- Run of the automaton: Labeling of edges with states consistent with initial set and transition function
- Local transitions: $d_l(q,a)$ is a set of terms in $2^Q \times Q$
- Call transitions: $d_c(q,a)$ is a set of terms in $2^{Q \times Q} \times Q \times Q$;
 $(\{(q_1, q_2)\}, q_3, q_4)$ means send q_1 to one child, q_2 along corresponding nesting edges, q_3 to remaining children, and q_4 along all remaining nesting edges
- Return transitions: $d_r(q, q', a)$ is set of terms in $2^Q \times Q$, here q is the state along tree edge, and q' is the state along nesting edge
- Acceptance condition: Final states, Buchi, Parity (NPNTA)

Properties of NPNTAs

- ❑ **Thm:** Closed under union and projection.
- ❑ **Thm:** Closed under intersection.
Proof idea: Finite-state; just take product.

- ❑ **Thm:** Not closed under complement.

- ❑ **Thm:** Emptiness checkable in EXPTIME.

Proof idea: Special case of emptiness for pushdown automata.

- ❑ **Thm:** Model-checking on pushdown automata.
Proof idea: The stack of the input is synchronized with the implicit stack construction works.

- ❑ **Thm:** Universality undecidable.

**Extension: alternation.
Extra expressive power,
unlike in the case of tree
automata**

Alternating Nested Tree Automata

- Transition Terms (TT): Positive Boolean combination of atomic terms of the form $\langle q \rangle$ (send q to some child), $[q]$ (send q to all children)
- CTT: Positive boolean combination of terms of the form $\langle q, q' \rangle$ (send q to some child and q' to all corresponding nesting edges) $[q, q']$ (q on all tree edges, q' on all nesting edges)
- Transition function has call, return and internal components:
 $d_i : Q \times S \rightarrow TT$, $d_c : Q \times S \rightarrow CTT$, $d_r : Q \times Q \times S \rightarrow TT$
- Run of the automaton: game between the automaton and an adversary.
- Winning condition: Parity
- Tree accepted iff automaton has a winning strategy

Properties of APNTAs

- ❑ **Thm:** Closed under union, intersection.
- ❑ **Thm:** Closed under complement.
Proof idea: Parity games are determined, and designing the complement game is easy.
- ❑ **Thm:** Not closed under projection.
- ❑ **Thm:** Can express some non-context-free tree languages.
- ❑ **Theorem:** Model-checking EXPTIME complete.
Proof idea: Stack of the input tree is transformed to a pushdown game, solvable in EXPTIME.
- ❑ **Thm:** Emptiness, universality

Next...
Logics on nested trees

Logics for Trees

mu-calculus

- ◆ Canonical temporal logic
- ◆ Fixpoints over sets of states
- ◆ Suitable for symbolic implementation
- ◆ Equivalent to bisimulation-closed alternating tree automata
- ◆ Decidable model-checking on pushdown systems

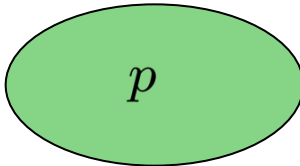
LTL

CTL

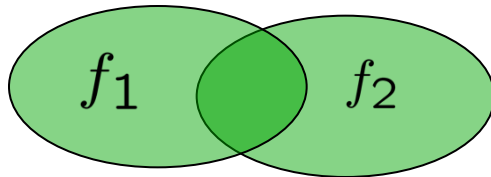
$$\mu X.(p \vee \langle \rangle X)$$

Mu-Calculus

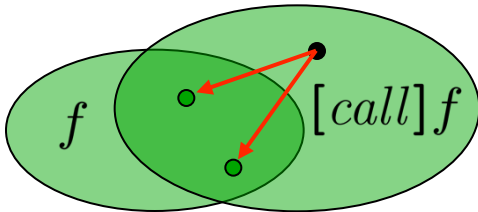
Assembly language of temporal logics
Formulas \rightarrow Sets of nodes



$$p \quad \neg p$$



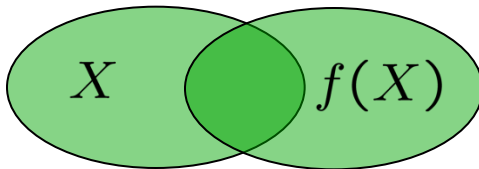
$$f_1 \wedge f_2 \quad f_1 \vee f_2$$



$$\langle call \rangle f \quad [call]f$$

$$X$$

$f(X) : \text{Node set} \mapsto \text{Node set}$



$$\mu X.f(X) \quad \nu X.f(X)$$

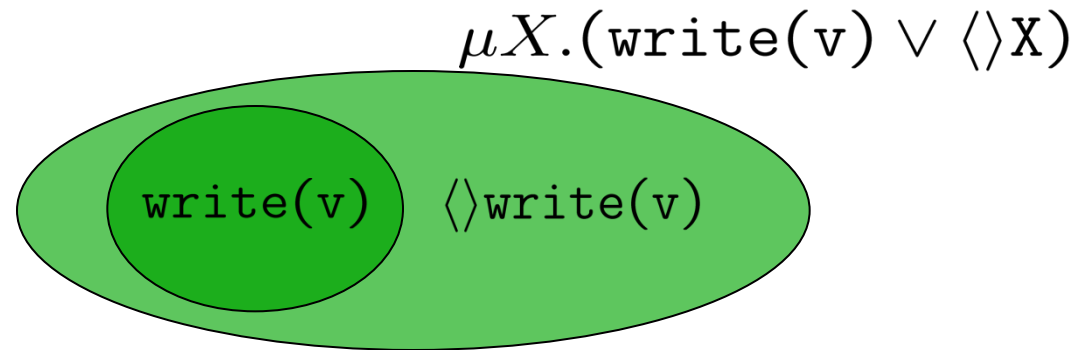
Least and greatest fixpoints of f

$\langle call \rangle f$, $\langle ret \rangle f$, $\langle loc \rangle f$: there is an edge to call/ret/local node satisfying f

Fixpoints in mu-calculus

Model-checking mu-calculus on pushdown systems is decidable. But...

Reachability in mu-calculus:



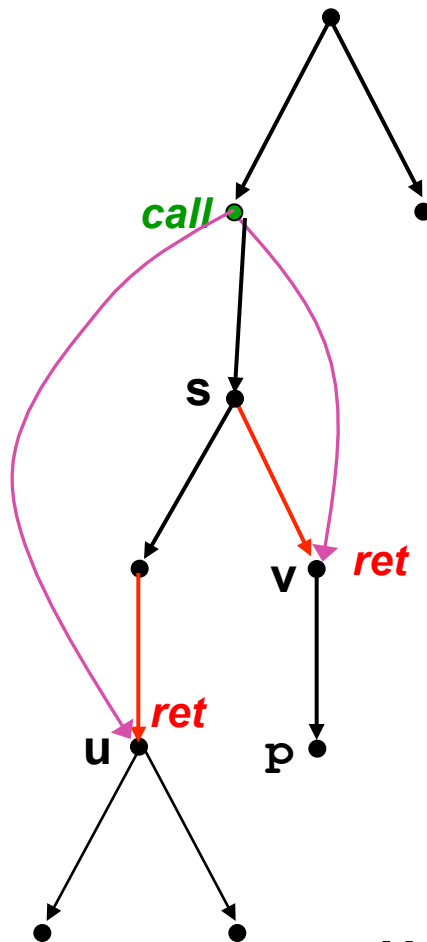
Formula **describes a terminating symbolic computation** for finite-state systems.

Application: mu-calculus is the “assembly language” in temporal logic model-checkers like NuSMV.

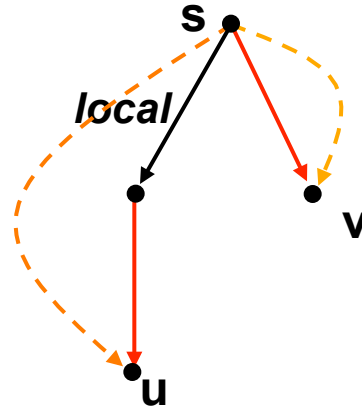
What about pushdown models (interprocedural analysis)?

Algorithms use “summarization”, and not captured by mu-calculus

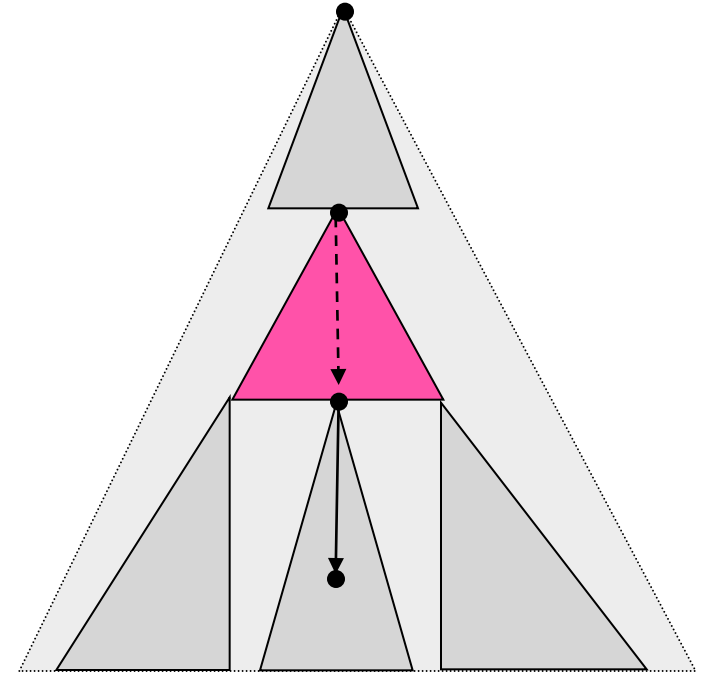
Summary Subtrees



Summary

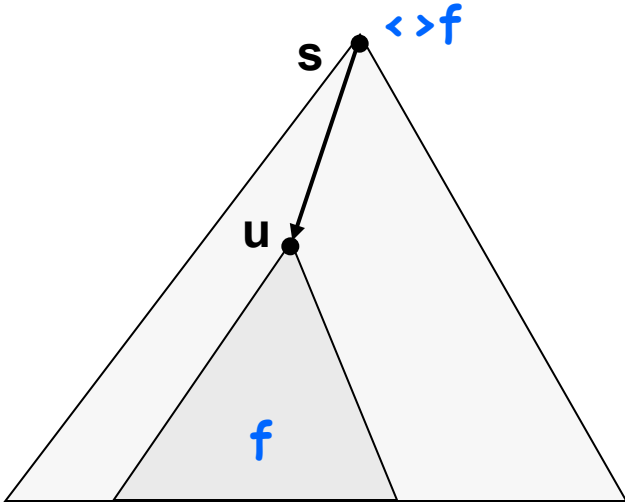


Matching returns
of $s = \{u, v\}$



Nesting edges let us chop a nested tree into subtrees that *summarize* contexts. We could jump across contexts if we could reason about *concatenation*.

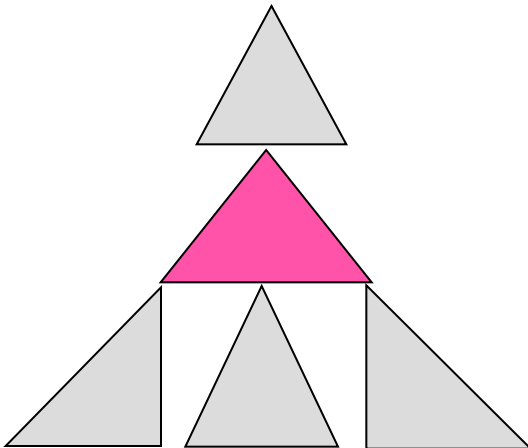
Logic of Subtrees



Mu-calculus formulas can be interpreted at **subtrees** rather than nodes

Formula \rightarrow set of subtrees

Modalities argue about full subtrees rooted at children



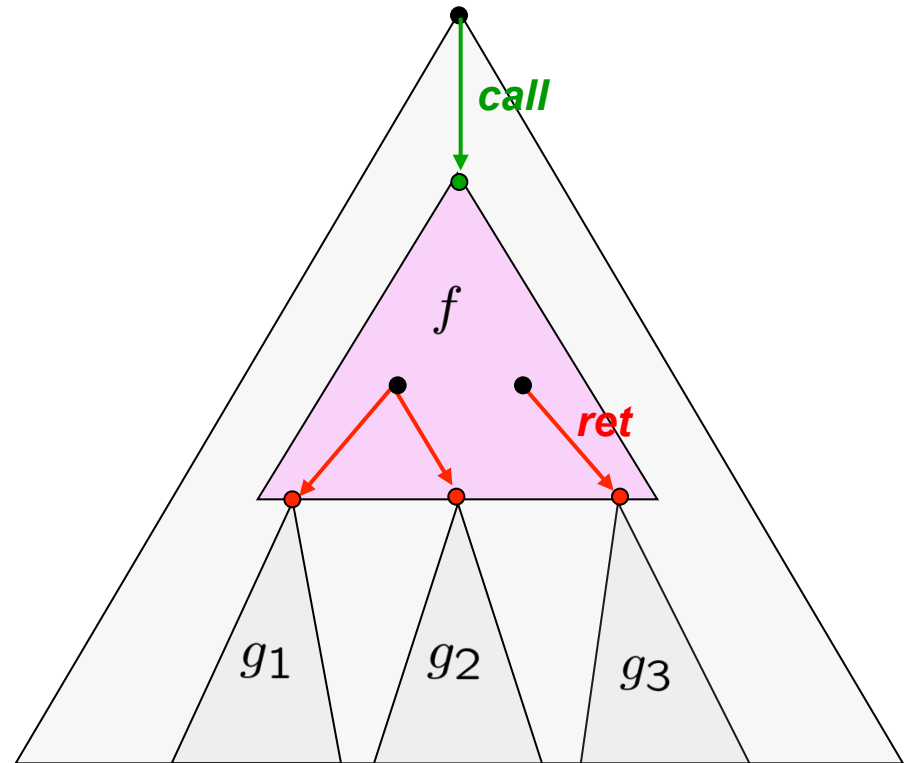
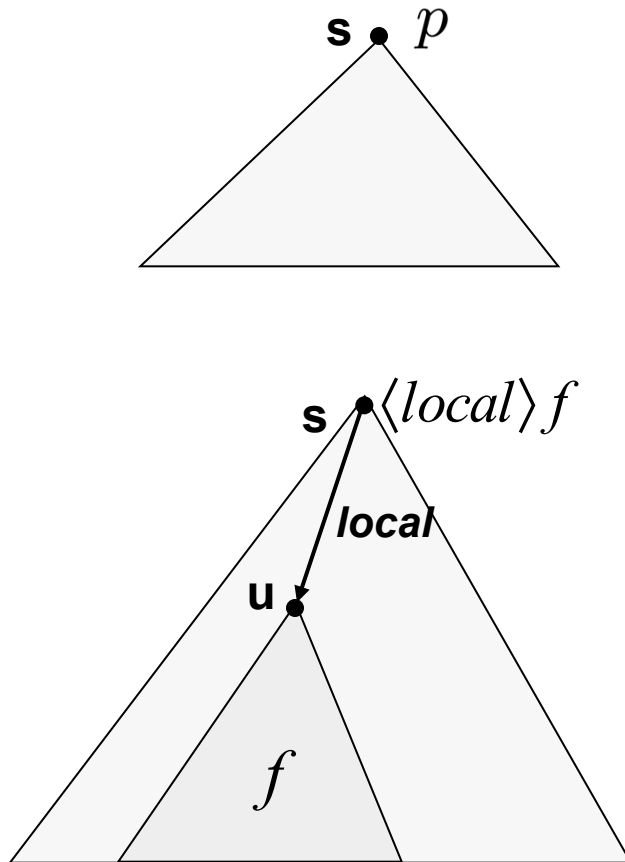
Why not a fixpoint calculus where:

Formulas \rightarrow sets of summary trees
and modalities for concatenation?

Proposal: NT-mu.

Operations on Summaries

Formulas \rightarrow sets of summaries



$\langle call \rangle f \{g_1, g_2, \dots\}$

Colored Summary Trees

Number of “leaves” is unbounded

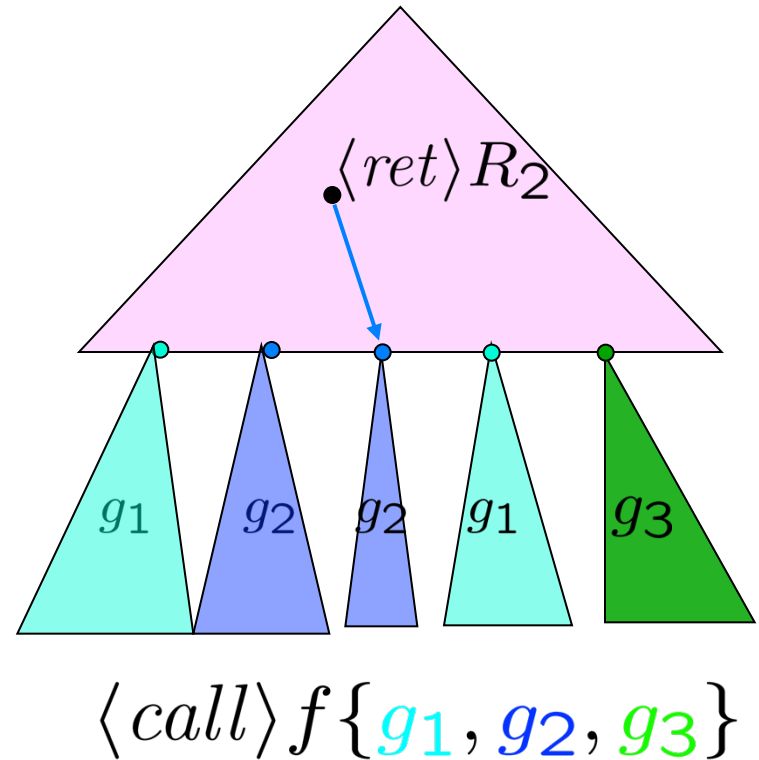
Solution: assign leaves **k** colors

Colors are defined by formulas
(obligations upon return)

Within f , we use the propositions

R_1, R_2, \dots, R_k to refer to the

colors of return leaves



Mu-calculus vs NT-mu

$$p \quad \neg p$$

$$\begin{array}{c} f_1 \wedge f_2 \quad f_1 \vee f_2 \\ \hline \langle \rangle f \quad [] f \quad \langle loc \rangle f \quad [loc] f \quad \langle ret \rangle R_i \quad [ret] R_i \\ \langle call \rangle f\{g_1, \dots, g_k\} \quad [call] f\{g_1, \dots, g_k\} \end{array}$$

$$\begin{array}{c} X \\ \mu X.f(X) \quad \nu X.f(X) \end{array}$$

mu-calculus: fixpoints over full subtrees

NT-mu: fixpoints over summary trees

Semantics of NT-mu

- k -colored summary tree specified by (s, U_1, \dots, U_k) , where s is a tree node, and each U_i is a subset of matching returns of s
- Meaning of each formula f of NT-mu is a set of summaries
 - ◆ $(s, U_1, \dots, U_k) \models p$ if label of s satisfies p
 - ◆ Meaning of Boolean connectives is standard
 - ◆ $(s, U_1, \dots, U_k) \models \langle loc \rangle f$ if s has an internal-child t s.t. $(t, U_1, \dots, U_k) \models f$
 - ◆ $(s, U_1, \dots, U_k) \models \langle ret \rangle R_i$ if s has a return-child t s.t. t is in U_i
 - ◆ $(s, U_1, \dots, U_k) \models \langle call \rangle f(g_1, \dots, g_m)$ if s has a call-child t s.t. $(t, V_1, \dots, V_m) \models f$ where V_j contains all matching returns w of t s.t. $(w, U_1, \dots, U_k) \models g_j$
 - ◆ Formulas define monotonic functions from summary sets to summary sets; fixpoint semantics is standard
- A nested tree T with root r satisfies f if $(r) \models f$

Examples

- There exists a return colored 1: summaries (s,U) s.t. U is non-empty

$f : m \ X. (\langle \text{ret} \rangle R1 \text{ or } \langle \text{loc} \rangle X \text{ or } \langle \text{call} \rangle X \{X\})$

- p is reachable : EF p

$m \ X. (p \text{ or } \langle \text{loc} \rangle X \text{ or } \langle \text{call} \rangle X \{ \} \text{ or } \langle \text{call} \rangle f \{X\})$

- Local reachability: p is reachable within the same procedural context

$m \ X. (p \text{ or } \langle \text{loc} \rangle X \text{ or } \langle \text{call} \rangle f \{X\})$

Specifying Requirements

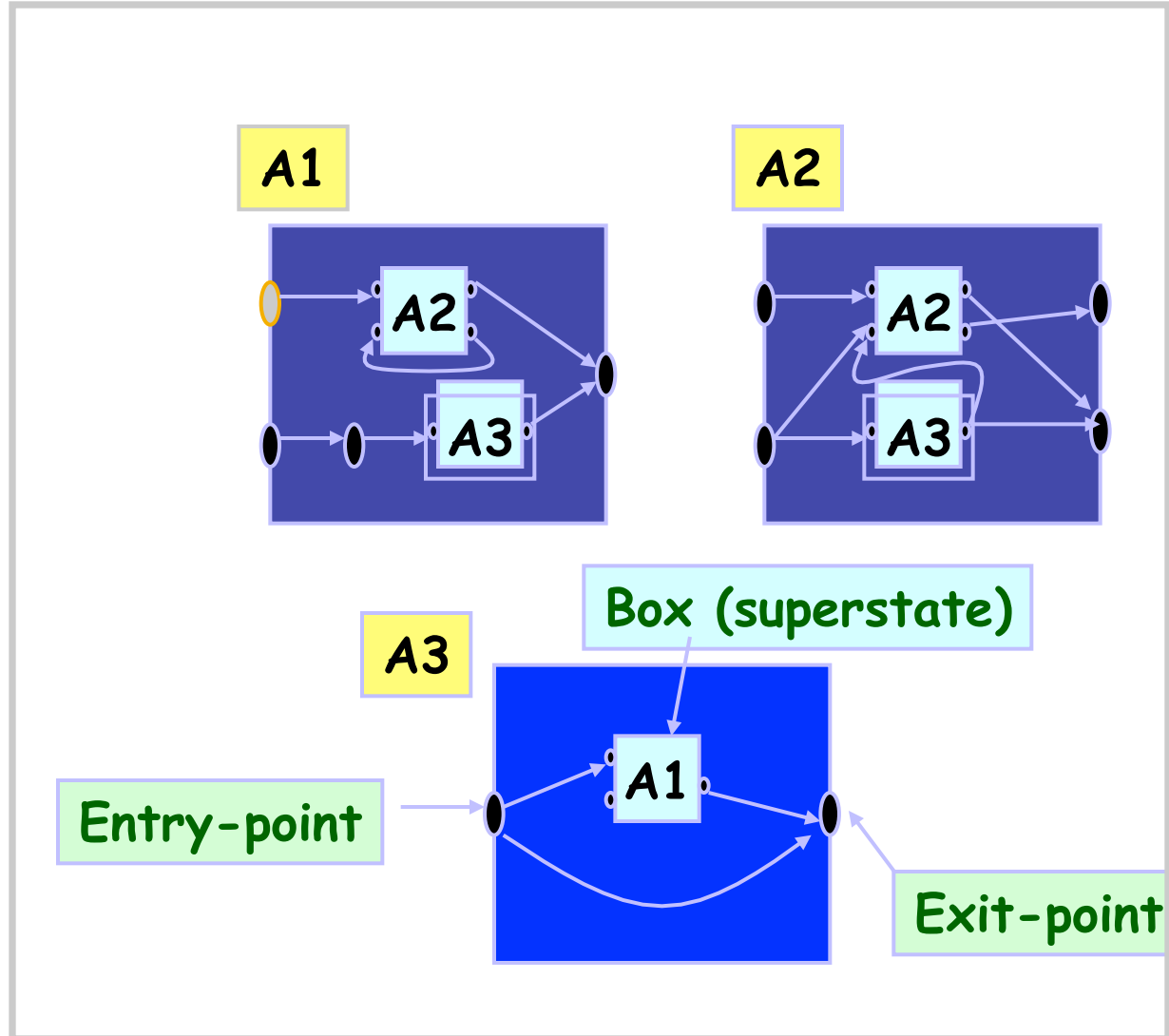
- ❑ Branching-time properties that mix local and global paths
- ❑ Inter-procedural data-flow analysis
 - ◆ Set of program points where expression e is very busy (along every path e is used before a variable in e gets modified)
 - ◆ If e contains local variables, this is not definable in mu-calculus
- ❑ Stack inspection, access control, stack overflow
- ❑ Pre-post conditions (universal as well as branching)

Program Models

Program

```
main() {  
  bool y;  
  ...  
  x = P(y);  
  ...  
  z = P(x);  
  ...  
}  
bool P(u: bool) {  
  ...  
  return Q(u);  
}  
bool Q(w: bool) {  
  if ...  
    else return P(~w)  
}
```

Recursive State Machine (RSM)/ Pushdown automaton



Model Checking

- Given an RSM A and NT-mu formula f , does the nested tree T_A satisfy f ?
- Consider a point a in a component with exits u and v
 - ◆ A sample state of A is of the form $s.a$, where s is a stack of boxes
 - ◆ State at any matching return of $s.a$ is either $s.u$ or $s.v$
- Claim 1: NT-mu is a tree logic, so even though $s.a$ may appear at multiple places in T_A , it satisfies the same formulas
- Claim 2: NT-mu formulas are evaluated over summary trees (cannot access nodes beyond matching returns), satisfaction of formula at $s.a$ does not depend on the context s

Bisimulation Closure

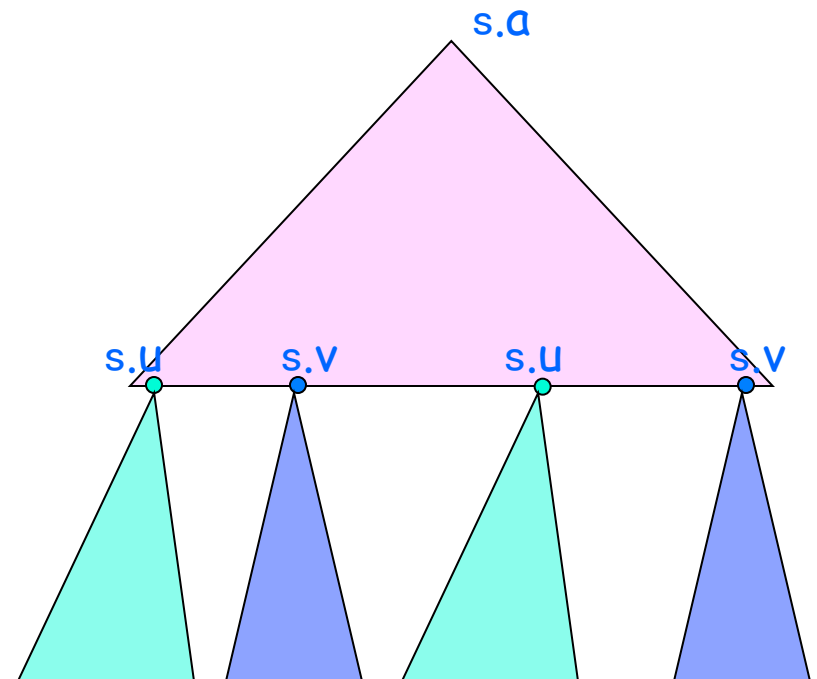
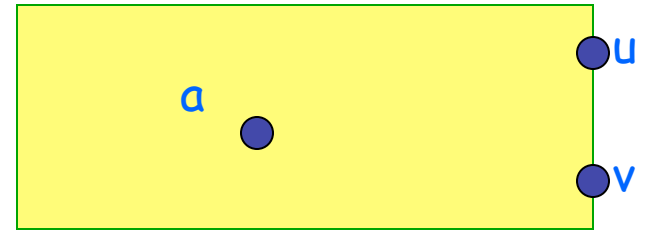
A summary (s, U_1, \dots, U_k) is bisimulation-closed if two matching returns w and w' are bisimilar, then w in U_i iff w' in U_i

Claim: During fixpoint evaluation, it suffices to consider only bisimulation-closed summaries

Closing each color under bisimulation does not change the truth of formulas

Return nodes corresponding to the same exit are bisimilar

Corollary: Bisimulation-closed summaries have finite representation (colors for each exit)



Model Checking

□ Model checking procedure:

Consider RSM-summaries of the form (s, U_1, \dots, U_k) , where s is a vertex in a component, and U_i is a subset of exit points

Finitely many RSM summaries

Evaluate NT-mu formula using standard fixpoint computation

□ Model checking RSMs wrt NT-mu is Exptime-complete

Same complexity as CTL or mu-calculus model checking

□ Recall reachability in NT-mu

$f: m X. (\langle \text{ret} \rangle R1 \text{ or } \langle \text{loc} \rangle X \text{ or } \langle \text{call} \rangle X \{X\})$

$EF p : m X. (p \text{ or } \langle \text{loc} \rangle X \text{ or } \langle \text{call} \rangle X \{ \} \text{ or } \langle \text{call} \rangle f \{X\})$

Local-reach: $m X. (p \text{ or } \langle \text{loc} \rangle X \text{ or } \langle \text{call} \rangle f \{X\})$

□ Evaluation of these over RSM-summaries is the standard way of solving reachability

Evaluating f corresponds to pre-computing summaries

Global/local reachability are computationally similar

Expressiveness

Thm: NT-mu and APNTA are equally expressive

Corollary: NT-mu can capture every property that the mu-calculus can.

Corollary: CARET (a linear temporal logic of calls and returns, AEM' 04) is contained in NT-mu.

Corollary: Satisfiability of NT-mu is undecidable.

NT-mu can express pushdown games

Thm: Expressiveness increases with the number of colors

From NT-mu to APNTA (Proof sketch)

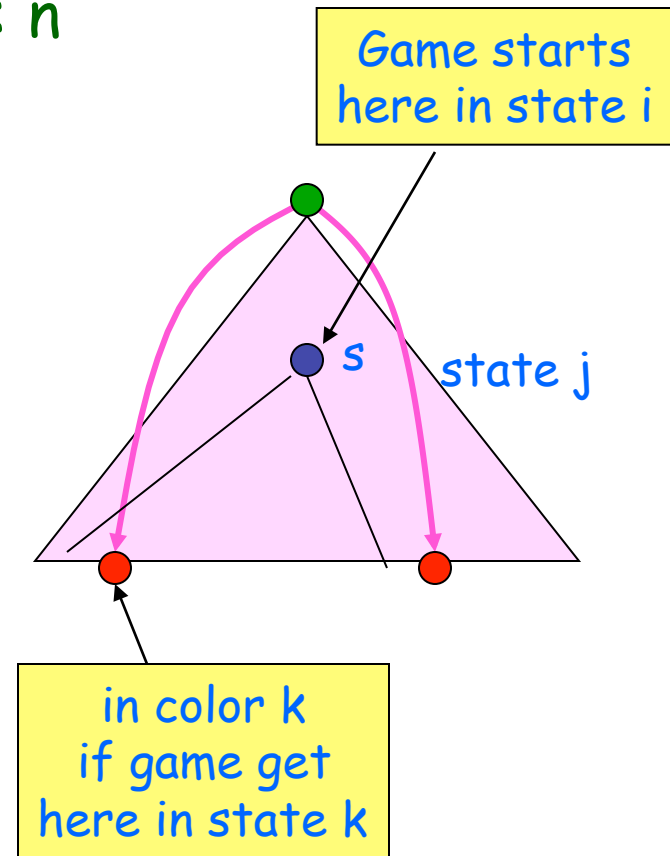
- Given an NT-mu formula f , construct equivalent APNTA A
- States of A are subformulas of f
- $\text{Simplify}(f, a)$, where a is an assignment to atomic props
 - ◆ Unroll any top-level fixpoint of f
 - ◆ Replace each top-level proposition by its T/F value according to a
 - ◆ $\text{Simplify}(f, a)$ is a positive Boolean comb of terms like $\langle \rangle g$ and $[] g$
- $d_i(f, a) = \text{Simplify}(f, a)$
- $d_c(f\{g_1, \dots, g_k\}, a) = (\text{Simplify}(f, a), (g_1, \dots, g_k))$

Evaluate f at call node and send (g_1, \dots, g_k) along nesting edge
- $d_r(R_i, (g_1, \dots, g_k), a) = \text{Simplify}(g_i, a)$

Retrieve i -th return obligation from nesting edge, and evaluate it
- Fixpoints handled using parity condition

From APNTA to NT-mu (Proof sketch)

- Given alternating NTA A with $Q = \{1..n\}$, accepting by final state, construct a set of least fixpoint equations
- Number of colors (return parameters): n
- For each pair of states, a variable X_{ij}
- Intended meaning: A summary (s, U_1, \dots, U_n) is in X_{ij} iff A has a strategy starting at s in state i , with state j along all nested edges to return, to end up in a matching return s' in U_k in state k
- Write equations among X_{ij} variables so that the lfp captures the intended meaning



MSO Logic for Nested Trees

Monadic Second Order Logic of Nested Trees

First order variables: x, y, z ; Set variables: $X, Y, Z \dots$

Atomic formulas: $a(x)$, $X(x)$, $x=y$, $x \rightarrow y$, $x \rightarrow y$

Logical connectives and quantifiers

Thm: Model-checking even the bisimulation-closed fragment of MSO is undecidable.

Thm: More expressive than NPNTAs.

Thm: Can encode a property not expressible by APNTAs.

Conjecture: Expressiveness of MSO and APNTAs incomparable.

Recap

- ❑ Allowing a program to expose call-return summary edges leads to
 - ◆ Linear-time: Program is a set of nested words
 - ◆ Branching-time: Program is a nested tree
- ❑ Nested words arise in other applications: Model for explicit linear and hierarchical orders
- ❑ Robust theory of regular languages of nested words
- ❑ Powerful fixpoint logic and alternating automata to specify languages of nested trees with decidable model checking problem

Recap

- ❑ Papers: Nested words (DLT'06), Nested trees (CAV'06); available from my webpage (caution: definitions/ideas still evolving)
- ❑ Interesting offshoot: existing definitions of pushdown tree automata are only “universal” in pushdown component
 - ◆ Cannot express “every [is matched by } on some branches and) on some branches”
 - ◆ Solution: Branching pushdown tree automata (AC' 06)
- ❑ Many, many open/unexplored problems, for example,
 - ◆ First-order logics over nested words and nested trees
 - ◆ Temporal logics over nested words and nested trees
 - ◆ MSO/automata connection for nested trees
 - ◆ Edit distances between nested words
 - ◆ In which applications can we replace pushdown automata by NWAs
 - ◆ Streaming XML, lower bounds on queries...