# On the Sum of Square Roots of Polynomials and Related Problems

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Abstract—The sum of square roots problem over integers is the task of deciding the sign of a non-zero sum, S = $\sum_{i=1}^n \delta_i \cdot \sqrt{a_i}$ , where  $\delta_i \in \{+1, -1\}$  and  $a_i$ 's are positive integers that are upper bounded by N (say). A fundamental open question in numerical analysis and computational geometry is whether  $|S| \ge 1/2^{(n \cdot \log N)^{O(1)}}$  when  $S \ne 0$ . We study a formulation of this problem over polynomials: Given an expression  $S = \sum_{i=1}^{n} c_i \cdot \sqrt{f_i(x)}$ , where  $c_i$ 's belong to a field of characteristic 0 and  $f_i$ 's are univariate polynomials with degree bounded by d and  $f_i(0) \neq 0$  for all i, is it true that the minimum exponent of x which has a nonzero coefficient in the power series S is upper bounded by  $(n \cdot d)^{O(1)}$ , unless S = 0? We answer this question affirmatively. Further, we show that this result over polynomials can be used to settle (positively) the sum of square roots problem for a special class of integers: Suppose each integer  $a_i$  is of the form,  $a_i = X^{d_i} + b_{i1}X^{d_i-1} + \ldots + b_{id_i}, \quad d_i > 0,$  where X is a positive real number and  $b_{ij}$ 's are integers. Let  $B = \max_{i,j} \{|b_{ij}|\}$  and  $d = \max_i \{d_i\}$ . If  $X > (B+1)^{(n \cdot d)^{O(1)}}$  then a non-zero  $S = \sum_{i=1}^n \delta_i \cdot \sqrt{a_i}$  is lower bounded as  $|S| \geq 1/X^{(n \cdot d)^{O(1)}}$ . The constant in the O(1) notation, as fixed by our analysis, is roughly 2.

We then consider the following more general problem: given an arithmetic circuit computing a multivariate polynomial  $f(\mathbf{X})$  and integer d, is the degree of  $f(\mathbf{X})$  less than or equal to d? We give a coRPPP-algorithm for this problem, improving previous results of [1] and [2].

Keywords-Sum of square roots; arithmetic circuit complexity

# I. INTRODUCTION

The sum of square roots is the following well-known problem: given a set  $\{a_1, a_2, \ldots, a_n\}$  of positive integers and  $\{\delta_1, \delta_2, \ldots, \delta_n\} \in \{-1, +1\}^n$ , determine the sign of the sum

$$\sum_{i=1}^{n} \delta_i \cdot \sqrt{a_i} \tag{1}$$

It was posed as an open problem by Garey, Graham and Johnson [3] in connection with the Euclidean travelling salesman problem. Euclidean TSP is not known to be in NP but is easily seen to be in NP relative to the sum of square roots problem. The sum of square roots problem also arises naturally in some other problems involving computational geometry (cf. [4]) and semidefinite progamming (cf. the survey by Goemans [5]). Although it has been conjectured [6] that the problem lies in P, the best known

result so far [1] is containment in the counting hierarchy CH, which is a subclass of PSPACE that contains the polynomial hierarchy PH. For the related but easier problem of determining whether the sum (1) is zero or not, a deterministic polynomial-time algorithm is known [7] <sup>1</sup>. A possible approach towards answering this question is to solve the following number-theoretic problem whose current status is still a conjecture.

**Problem I.1** (Lower bounding a non-zero 'signed' sum of square roots of integers). Given a sum  $S = \sum_{i=1}^n \delta_i \cdot \sqrt{a_i}$ , where  $\delta_i \in \{+1, -1\}$  and  $a_i$ 's are positive integers upper bounded by N, find a tight lower bound on |S| in terms of n and N when  $S \neq 0$ . Is it true that for a non-zero S,  $|S| \geq 1/2^{\mathsf{poly}(n,\log N)}$  for some fixed polynomial  $\mathsf{poly}(\cdot)$ ?

If the answer to the above question is yes then computing the square roots up to  $\operatorname{poly}(n, \log N)$  precision suffices to determine the sign of the sum of square roots. Reducing the immense gap between the known upper and lower bounds for Problem I.1 is a challenging number-theoretic problem.

Now, there is a well known analogy between integers and polynomials (cf. [9]). We refer the reader to a survey by Landau and Immerman [10] for some algorithmic aspects of this analogy. While the polynomial analog of a number-theoretic problem is typically much easier than the integer version, nevertheless the investigation of the polynomial version can on occassion give some insight into the integer problem itself. For example, Allender et al. [1] proved a hardness result of a closely related problem, which they call BitSLP, by first observing that the corresponding problem for polynomials is #P-hard. This motivates us to examine the polynomial analogue of the sum of square roots problem, the precise statement of which is given below as an interesting problem in its own right.

**Problem I.2** (Sum of square roots of polynomials). Given an expression  $S = \sum_{i=1}^{n} c_i \sqrt{f_i(x)}$ , where  $c_i \in \mathbb{F}$  (a field of characteristic 0) and  $f_i(x)$  are univariate polynomials with





<sup>&</sup>lt;sup>1</sup>The conference version of Blömer's paper [7] contains a randomized polynomial time algorithm, which was later derandomized in [8].

degree bounded by d and  $f_i(0) \neq 0$  (for all i),  $^2$  can we show that unless S = 0, the minimum exponent of x which has a non-zero coefficient in the power series S is bounded by a fixed polynomial in n and d?

This problem being a close cousin of Problem I.1, it seems reasonable to hope that solving it might shed some light on the latter problem. At the least, one might expect to solve Problem I.1 for a nontrivial class of integers starting from a solution to Problem I.2. We are not aware of any prior research work along this line. In this work, we answer the question posed in Problem I.2 in the affirmative. Using this result we show that it is indeed sufficient to keep polynomial amount of precision in computing the sign of S in Problem I.1 if the input integers belong to a special class that we call (by abusing terminology) the *polynomial integers*.

**Problem I.3** (Degree Computation). Let  $\mathbb{F}$  be a field (say the rational numbers  $\mathbb{Q}$ ). Given an arithmetic circuit computing a multivariate polynomial  $f(\mathbf{X})$  over  $\mathbb{F}$  and an integer d, is the degree of  $f(\mathbf{X})$  at most d?

This problem, which Allender et al. [1] refer to as <u>DegSLP</u>, was also studied by Koiran and Perifel [2] and they put it in the second level of the counting hierarchy. Here we give a (slight) improvement to the complexity theoretic upper bound for DegSLP. We show its containment in the class coRP<sup>PP</sup>.

## A. Previous work

The work of Burnikel, Fleischer, Mehlhorn and Schirra [12] considered the problem of finding the sign of an arithmetic expression E involving the operations additions, subtractions, multiplications and square root (in fact, division as well), and with integer operands. They showed that if u is the bound on the value of E when all the subtraction operations in E are replaced by additions and E is the number of distinct square root operations in E then

 $|E| > 1/u^{2^k-1}$  unless E=0. This result immediately gives an exponential bound on the bit size of S in Problem I.1: if  $S \neq 0$  then  $|S| > 1/2^{2^n \cdot \log(nN)}$ . (In this regard, the work of Mehlhorn and Schirra [13] is also relevant). It is also noted in [12] that the bound obtained for E is nearly optimal in general. For instance, if  $E = (2^{2^k} + 1)^{1/2^k} - 2$ then  $u = (2^{2^k} + 1)^{1/2^k} + 2 \le 5$  and hence by the result in [12],  $|E| \ge 1/5^{2^k-1}$ . On the other hand, it was also shown that  $|E| \le 1/2^{2^k}$ . However, Problem I.1 is just a special case of the problem studied in [12] where there is no occurrence of nested square roots. So, it remains a conceivable possibility that there is a better lower bound for |S|. Indeed, for a certain choice of parameters a better result is known due to the work of Cheng, Meng, Sun and Chen [14] (see also [15]). By connecting Problem I.1 to the shortest vector problem over a certain integer lattice, they showed that  $|S| \geq 1/N^{2^{O(N/\log N)}}$ , which is an improvement over earlier results when  $N \le c \cdot n \cdot \log n$  for some constant c. However, a less desirable aspect of this result is the doubly exponential dependency of the bit complexity of S on  $\log N$ .

On the other side, one seeks to construct/prove the existence of sets of integers  $\{a_1,a_2,\ldots,a_n\}$  for which the sum S of Problem I.1 is as small as possible in absolute value. In other words, what could be a good upper bound on |S|. Qian and Wang [16] gave an explicit construction that gives  $|S| = O(N^{-2n+3/2})$ . The integers they construct are closely related to the special class of integers that we look at (see Section I-B). For Qian and Wang, every integer  $a_i$  is essentially of the form (X+i) (suitably scaled).

#### B. Our contribution

Our first contribution is an affirmative answer to Problem I.2. Using this, we prove the following theorem.

**Theorem I.4** (Sum of square root of 'polynomial integers'). Suppose  $S = \sum_{i=1}^n \delta_i \sqrt{a_i}$  ( $\delta_i \in \{+1, -1\}$ ) such that every positive integer  $a_i$  is of the form  $a_i = X^{d_i} + b_{i1} \cdot X^{d_i-1} + \ldots + b_{id_i}$  ( $d_i > 0$ ), where X is a positive real number and  $b_{ij}$  are integers. Let  $B = \max_{i,j} \{|b_{ij}|\}$  and  $d = \max_i \{d_i\}$ . If  $X > (B+1)^{p_1(n,d)}$ , where  $p_1(n,d)$  is a fixed polynomial in n and d, then a non-zero S is lower bounded as,  $|S| \ge 1/X^{p_2(n,d)}$ , where  $p_2(n,d)$  is another fixed polynomial in n and d.

The polynomials  $p_1(n, d)$  and  $p_2(n, d)$  can be taken to be  $12 \cdot dn^2 \log 2d$  and  $8 \cdot dn^2$ , respectively. Note that the integers  $b_{ij}$  need not be positive.

Expressing each  $a_i$  as  $X^{d_i} + b_{i1} \cdot X^{d_{i-1}} + \ldots + b_{id_i}$  is nothing very unusual - it is like a base-X representation of  $a_i$  when X is a positive integer. What makes the 'polynomial integers' special is the condition that X is exponentially large compared to the  $b_{ij}$ 's; or in other words, all the digits are small in X-ary representation. Indeed, if one can prove Theorem I.4 without this condition then Problem I.1 would stand solved in its full generality by taking X = 2.

<sup>&</sup>lt;sup>2</sup>The condition  $f_i(0) \neq 0$  is simply to ensure that  $\sqrt{f(x)}$  has a well defined power series expansion around x = 0.

<sup>&</sup>lt;sup>3</sup>The fact that PosSLP is a more general problem than the sum of square roots of integers follows from the work of Tiwari [11] who used Newton Iteration to construct a small (polynomial-sized) arithmetic circuit that computes a given sum of square roots to exponentially many digits of precision.

Finally, we would like to note that we have not made an attempt to find the best possible expressions for  $p_1(\cdot)$  and  $p_2(\cdot)$ , our primary intention being to just show that the functions  $p_1$ ,  $p_2$  are some fixed polynomials in n and d.

For the more general DegSLP problem, we show containment in the first level of the counting hierarchy (modulo the use of randomization), thereby improving the previous best result [2] for this problem which was the second level of the counting hierarchy. More precisely, we show

# **Theorem I.5.** DegSLP is in coRPPP.

Organization -: The rest of this paper is organized as follows. In Section II we give a solution to Problem I.2 and in Section III we prove Theorem I.4. The result on the complexity upper bound of DegSLP is presented in Section IV.

# II. SUM OF SQUARE ROOTS OF POLYNOMIALS

In this section, we prove the following theorem.

**Theorem II.1.** Given a sum  $S = \sum_{i=1}^{n} c_i \cdot g_i(x) \cdot \sqrt{f_i(x)}$  where  $c_i \in \mathbb{F}$  (a field of characteristic 0),  $f_i$  and  $g_i$  are univariate polynomials of degree at most d and  $f_i(0) \neq 0$  for all  $1 \leq i \leq n$ , either S = 0 or the minimum exponent of x which has a nonzero coefficient in the power series S is bounded by  $dn^2 + n$ .

The solution to Problem I.2 follows immediately if we take  $g_i(x)$  to be 1 in the above theorem. But, we will need the slightly general form, that is, when the  $g_i$ 's are not assumed to be 1, to prove Theorem I.4. We will see that the proof of Theorem II.1 is not difficult in hindsight - it uses a mathematical object called the Wronskian, which is used to study linear dependence of functions. Let us spend some time to briefly discuss this concept.

#### A. The Wronskian and linear independence

A set of n functions  $\{h_1,h_2,\ldots,h_n\}$  over a field  $\mathbb F$  is said to be linearly dependent if there exist elements  $c_1,c_2,\ldots,c_n\in\mathbb F$  such that the function  $(c_1h_1+c_2h_2+\ldots+c_nh_n)$  is identically zero. If each of the  $h_i$ 's is n times differentiable, then the *Wronskian* of this set, denoted  $W(h_1,\ldots,h_n)$  (or,  $W(\mathbf h)$  for short) is defined as the following determinant.

$$W(h_1, \dots, h_n) \stackrel{\text{def}}{=} \det \begin{pmatrix} h_1 & h_2 & \dots & h_n \\ h_1^{(1)} & h_2^{(1)} & \dots & h_n^{(1)} \\ \vdots & \vdots & \vdots & \vdots \\ h_1^{(n-1)} & h_2^{(n-1)} & \dots & h_n^{(n-1)} \end{pmatrix},$$

where  $h_i^{(j)}$  is the  $j^{th}$  derivative of  $h_i$ . It is a well known function used in the study of differential equations. It is easy to observe that if the functions  $h_1, \ldots, h_n$  are  $\mathbb{F}$ -linearly dependent then their Wronskian is identically zero. But, the converse need not be true in general. Bôcher [17] showed

that there are families of infinitely differentiable functions which are linearly independent and yet their Wronskian vanishes identically. However, for analytic functions this is not the case: a finite family of linearly independent (real or complex valued) analytic functions has a non-zero Wronskian. More generally, this property is true for any family of formal power series over any characteristic zero field.

**Theorem II.2** (Wronskian of a family of power series). Let  $\mathbb{F}$  be a field of characteristic zero. A finite family of power series in  $\mathbb{F}[[x]]$  has a zero Wronskian if and only if it is  $\mathbb{F}$ -linearly dependent.

A short and simple proof of the above fact appears in [18]. Let us now see how to use this result to prove Theorem II.1.

## B. Proof of Theorem II.1

Let  $S=\sum_{i=1}^n c_i\cdot g_i(x)\cdot \sqrt{f_i(x)}$  be a given non-zero sum. Assume without loss of generality that  $f_i(0)=1$ , for all i. If this is not the case then take out  $\sqrt{f_i(0)}$  common from the term  $\sqrt{f_i(x)}$  and work with an appropriate extension of  $\mathbb F$  that contains  $\sqrt{f_i(0)}$ . This is simply to ensure that  $\sqrt{f_i}$  can be expressed as a formal power series in x over  $\mathbb F$ . Denote  $g_i\sqrt{f_i}$  by  $h_i$ . We can also assume that  $h_1,\ldots,h_n$  are  $\mathbb F$ -linearly independent - if not, simply work with an  $\mathbb F$ -basis of  $h_1,\ldots,h_n$ . (Note that, we are not finding a basis, we are only using it for the sake of argument.)

Suppose,  $x^t$  divides the power series S, where t is the maximum possible. Pretend that,

$$\sum_{i=1}^{n} c_i h_i = S \tag{2}$$

is a linear equation in the 'variables'  $c_1, \ldots, c_n$ . By taking derivatives of both sides of Equation 2 with respect to x, we have the following system of linear equations in  $c_1, \ldots, c_n$ , for  $0 \le j \le n-1$ ,

$$\sum_{i=1}^{n} c_i h_i^{(j)} = S^{(j)}, \tag{3}$$

where  $h_i^{(j)}$  and  $S^{(j)}$  are the  $j^{th}$  derivatives of  $h_i$  and S, respectively. Let C be the coefficient matrix of the above system of linear equations. That is,

$$C = \begin{pmatrix} h_1 & h_2 & \dots & h_n \\ h_1^{(1)} & h_2^{(1)} & \dots & h_n^{(1)} \\ \vdots & \vdots & \vdots & \vdots \\ h_1^{(n-1)} & h_2^{(n-1)} & \dots & h_n^{(n-1)} \end{pmatrix}.$$

Observe that  $\det(\mathcal{C})$  is the Wronskian  $W(\mathbf{h})$ . The following simple claim about  $W(\mathbf{h})$  is crucial to the proof.

**Claim II.3.** The Wronskian  $W(\mathbf{h}) = \prod_{i=1}^{n} f_i^{-\frac{2n-3}{2}} \cdot \det(M)$ , where M is an  $n \times n$  matrix whose every entry is a polynomial in x of degree at most  $n \cdot d$ .

*Proof:* Expanding  $h^{(j)}$  we get the following. (Superscripts indicate the order of the derivatives.)

$$\begin{array}{rcl} h_i^{(j)} & = & \displaystyle \sum_{k=0}^j g_i^{(j-k)} (\sqrt{f_i})^{(k)} \\ \\ \Rightarrow f_i^{\frac{2j-1}{2}} \cdot h_i^{(j)} & = & \displaystyle \sum_{k=0}^j g_i^{(j-k)} \cdot f_i^{\frac{2j-1}{2}} \cdot (\sqrt{f_i})^{(k)}, \end{array}$$

multiplying both sides by  $f_i^{2j-1/2}$ . Now notice that,  $f_i^{2j-1/2} \cdot (\sqrt{f_i})^{(k)}$  is a polynomial of degree at most  $j \cdot d$ . Hence,  $f_i^{2j-1/2} \cdot h_i^{(j)}$  is also a polynomial of degree at most  $(j+1) \cdot d$ , although individually they are power series in x. Since j is at max n-1, the statement of the claim follows.

Since  $S \neq 0$ , there must be one  $c_i$  which is nonzero. Let it be  $c_1$ . Then, by applying Cramer's rule,

$$c_1 = \frac{\det(\mathsf{M}_1)}{\mathsf{W}(\mathbf{h})} = \prod_{i=1}^n f_i^{\frac{2n-3}{2}} \cdot \frac{\det(\mathsf{M}_1)}{\det(\mathsf{M})} \quad \text{(by Claim II.3)},$$

where  $M_1$  is the following matrix,

$$\mathsf{M}_1 = \left( \begin{array}{cccc} S & h_2 & \dots & h_n \\ S^{(1)} & h_2^{(1)} & \dots & h_n^{(1)} \\ \vdots & \vdots & \vdots & \vdots \\ S^{(n-1)} & h_2^{(n-1)} & \dots & h_n^{(n-1)} \end{array} \right).$$

Note that, Cramer's rule applies here because  $W(\mathbf{h}) \neq 0$ , as  $h_1, \ldots, h_n$  are assumed to be linearly independent, which in turn implies that  $\det(\mathsf{M}) \neq 0$  (by Claim II.3). Since  $x^t$  divides  $S, x^{t-n+1}$  must divide  $S^{(j)}$  for every  $0 \leq j \leq n-1$  and hence  $x^{t-n+1}$  divides  $\det(\mathsf{M}_1)$ .

**Claim II.4.** The maximum power of x dividing  $det(M_1)$  and det(M) must be the same.

*Proof:* This is because  $c_1$  is an element of the field  $\mathbb F$  and  $\prod_{i=1}^n f_i(0)^{\frac{2n-3}{2}} \neq 0$  by assumption.

Therefore, t-n must be less than the degree of  $\det(\mathsf{M})$ , which is at most  $d\cdot n^2$  (again by Claim II.3), and hence  $t\leq d\cdot n^2+n$ . This proves Theorem II.1.

With the polynomial version of the sum of square roots problem at hand, one wonders as to what can be inferred about the corresponding problem over integers. In turns out that indeed something nontrivial can be shown about a special class of integers that we have called before as the 'polynomial integers' (see Theorem I.4). This constitutes the content of the following section.

# III. SUM OF SQUARE ROOTS OF 'POLYNOMIAL INTEGERS'

This section is devoted to the proof of Theorem I.4. Let  $S = \sum_{i=1}^{n} \delta_i \sqrt{a_i}$ ;  $\delta_i \in \{+1, -1\}$ , be a given nonzero sum,

where each positive integer  $a_i$  is of the following form.

$$a_i = X^{d_i} + b_{i1}X^{d_i-1} + \dots + b_{id_i}$$
 (4)

where X is a positive real number and  $b_{ij}$ 's are integers (not necessarily positive).

Overview. The overall idea of the proof is to do a Taylor series expansion for each  $\sqrt{a_i}$  so that we get a Taylor expansion for the sum S overall. Using Theorem II.1 and the non-zeroness of S, we deduce that we must get a non-zero term 'very early' in the Taylor expansion. That is, there must be some non-zero  $S_\ell$  for  $\ell$  'relatively small' (see Equations 5 and 6 below for a precise definition of  $S_\ell$ ). We use the fact that  $\ell$  is small to deduce that such an  $S_\ell$  is 'fairly large' in absolute value. We then use the fact that each  $b_{ij}$  is much smaller than X to upper bound each of the remaining terms and thereby deduce that the sum of the remaining terms cannot almost cancel out  $S_\ell$ . More specifically, the sum of the remaining terms is at most  $\frac{1}{2}|S_\ell|$  in absolute value. This helps us deduce that S itself is fairly large in absolute value.

**Doing the Taylor expansion.** From (4) we have

$$\sqrt{a_i} = (\sqrt{X})^{d_i} \cdot \sqrt{1 + \frac{b_{i1}}{X} + \ldots + \frac{b_{id_i}}{X^{d_i}}}.$$

Adding these expressions together with the appropriate sign, we get an expression for S.

$$S = \sum_{i=1}^{n} \delta_{i} \cdot X^{d_{i}/2} \cdot \sqrt{1 + \frac{b_{i1}}{X} + \ldots + \frac{b_{id_{i}}}{X^{d_{i}}}}.$$

Let y = 1/X and  $d = \max_i \{d_i\}$ . Then,

$$S \cdot y^{d/2} = \sum_{i=1}^{n} \delta_i \cdot y^{(d-d_i)/2} \cdot \sqrt{1 + (b_{i1} \cdot y) + \ldots + (b_{id_i} \cdot y^{d_i})}.$$

Now notice that, by pretending that  $f_i(y) = 1 + b_{i1}y + \dots + b_{id_i}y^{d_i}$  is a polynomial in the 'formal variable' y, the sum  $S \cdot y^{d/2}$  is of the form  $\sum_{i=1}^n \delta_i \cdot g_i(y) \cdot \sqrt{f_i(y)}$ , where  $g_i(y) = y^{(d-d_i)/2}$ . Therefore,

$$S \cdot y^{d/2} = \sum_{i=1}^{n} \delta_{i} \cdot \sum_{j \geq 0} c_{ij} \cdot y^{j}$$

$$= \sum_{j \geq 0} y^{j} \cdot \sum_{i=1}^{n} \delta_{i} \cdot c_{ij} \quad \text{(interchanging the summations)},$$

where  $c_{ij}$  is the coefficient of  $y^j$  coming from the  $i^{th}$  power series  $g_i(y) \cdot \sqrt{f_i(y)}$ . This is the Taylor series expansion of S that we will work with. We give the name  $S_j$  to each summand in the expression above, namely  $S_j = y^j \cdot \sum_{i=1}^n \delta_i \cdot c_{ij}$ . Thus

$$S \cdot y^{d/2} = \sum_{j>0} S_j. \tag{5}$$

The Proof Strategy ahead. Applying Theorem II.1, the minimum exponent  $\ell$  of y with  $\sum_{i=1}^{n} \delta_i \cdot c_{i\ell} \neq 0$  is such that  $\ell \leq dn^2 + n$ . Suppose we could show that

$$\frac{|S_{\ell+t}|}{|S_{\ell}|} \le \frac{1}{2^{t+1}},\tag{6}$$

for every  $t \ge 1$ , then from (5) it would follow that

$$|S| \cdot \left| y^{d/2} \right| \geq ||S_{\ell}| - |S_{\ell+1}| - \dots|$$

$$\geq \left| |S_{\ell}| - \frac{1}{2} |S_{\ell}| \right| = \frac{1}{2} \cdot |S_{\ell}|$$

$$\Rightarrow |S| \geq \left| X^{d/2} \right| \cdot \frac{1}{2} \cdot |S_{\ell}|. \tag{7}$$

This (potentially) gives us a lower bound on |S| via a lower bound of  $|S_{\ell}|$ . But, to satisfy the condition given by equation (6), we also need an upper bound on  $|S_{\ell+t}|$  for every t.

Upper bound on  $|S_j|$ :

$$|S_j| = y^j \cdot \left| \sum_{i=1}^n \delta_i c_{ij} \right| \le n \cdot y^j \cdot \max_i \{|c_{ij}|\}$$

Let us upper bound the quantity  $|c_{ij}|$ . Fix any index i. For the ease of presentation, we will avoid writing the index i whenever it is clear from the context that we have a specific i in mind. For example, we write  $c_j$  as the coefficient of  $y^j$  coming from the power series,

$$y^{\frac{d-d_i}{2}} \cdot \sqrt{1 + b_1 y + \ldots + b_{d_i} y^{d_i}}$$

$$= y^{\frac{d-d_i}{2}} \cdot \sum_{k=0}^{\infty} u_k \cdot (b_1 y + \ldots + b_{d_i} y^{d_i})^k,$$

where  $u_k = \frac{\frac{1}{2}\cdot(\frac{1}{2}-1)\dots(\frac{1}{2}-(k-1))}{k!} = (-1)^k\cdot\frac{1\cdot3\cdot5\dots(2(k-1)-1)}{2^k\cdot k!}.$  Expressed differently,

$$u_k = (-1)^{k+1} \cdot \frac{(2k)!}{(2k-1) \cdot (k!)^2 \cdot 2^{2k}}$$
  

$$\Rightarrow |u_k| = \frac{\binom{2k}{k}}{(2k-1) \cdot 2^{2k}}$$

Now, notice that  $\binom{2k}{k}/(2k-1)=2\cdot C_{k-1}$ , where  $C_k$  is the  $k^{th}$  Catalan number  $1/(k+1)\cdot \binom{2k}{k}$ . Hence,

$$|u_k| = \frac{2 \cdot C_{k-1}}{2^{2k}}$$
, and also  $|u_k| \le 1$ . (8)

For any j, the coefficient  $c_j$  is contributed to by those terms of  $\sum_{k=0}^{\infty} u_k \cdot (b_1 y + \ldots + b_{d_i} y^{d_i})^k$  for which k is in the range  $[(j - (d - d_i)/2)/d_i, j - (d - d_i)/2]$ . For any fixed  $k \in [j/d_i, j]$ , the coefficient of  $y^j$  in  $(b_1 y + \ldots + b_{d_i} y^{d_i})^k$  is exactly,

$$v_{kj} = \sum_{\substack{k_1 + 2k_2 + \dots + d_i k_{d_i} = j, \\ k_1 + \dots + k_{d_i} = k}} \binom{k}{k_1, \dots, k_{d_i}} \cdot b_1^{k_1} \cdot b_2^{k_2} \dots b_{d_i}^{k_{d_i}}.$$

where  $k_1, \ldots, k_d$  are positive integers. Then, assuming  $B = \max_{ij} \{|b_{ij}|\},$ 

$$|v_{kj}| \le \sum_{k_1+\ldots+k_{d_i}=k} {k \choose k_1,\ldots,k_{d_i}} \cdot |b_1|^{k_1} \cdot |b_2|^{k_2} \ldots |b_{d_i}|^{k_{d_i}}$$
  
 $\le (B \cdot d_i)^k.$ 

Since  $c_{j+(d-d_i)/2} = \sum_{k \in [j/d_i,j]} u_k \cdot v_{kj}$ ,

$$|c_j| \le \sum_{k \in [0,j]} (B \cdot d_i)^k \le (B \cdot d)^{j+1}$$
 (by Equation 8)

$$\Rightarrow |S_j| \leq n \cdot y^j \cdot (B \cdot d)^{j+1} \tag{9}$$

Lower bound on  $|S_i|$ :

$$|S_j| = y^j \cdot \left| \sum_{i=1}^n \delta_i c_{ij} \right|$$

Let us lower bound the sum  $|\sum_{i=1}^n \delta_i c_{ij}|$ . Notice that, in the previous discussion on upper bounding  $|S_j|$ , the integer  $v_{kj}$  depends on the index i whereas  $u_k$  solely depends on k. So, to make the following discussion more precise, we switch to the notation  $v_{ikj}$ . Moreover, for simplicity the range of k is not specified in the following equations - the appropriate range should be clear from the context.

$$\sum_{i=1}^{n} \delta_i c_{ij} = \sum_{i=1}^{n} \delta_i \sum_k u_k \cdot v_{ikj} = \sum_k u_k \cdot \sum_{i=1}^{n} \delta_i v_{ikj}.$$

Now notice that, the sum  $\sum_{i=1}^n \delta_i v_{ikj}$  is an integer. Hence, if  $\sum_{i=1}^n \delta_i c_{ij} \neq 0$  then  $|\sum_{i=1}^n \delta_i c_{ij}| \geq 1/2^{2j+1}$  (by Equation 8). Therefore,

$$|S_j| \ge \frac{y^j}{2^{2j+1}} \text{ if } S_j \ne 0.$$
 (10)

**Putting everything together.** With the upper and the lower bounds on  $|S_j|$  at hand, we are now ready to pinpoint the requirement that ensures that condition (6) is satisfied. We want  $|S_{\ell+t}|/|S_{\ell}| \leq 1/2^{t+1}$ , for all  $t \geq 1$ . Hence, by combining equations (9) and (10), it is sufficient if,

$$\begin{array}{ccc} \frac{n \cdot y^{\ell+t} \cdot (B \cdot d)^{\ell+t+1}}{y^{\ell}/2^{2\ell+1}} & \leq & \frac{1}{2^{t+1}} \\ \Rightarrow X^t & > & n \cdot 2^{2\ell+t+2} \cdot (B \cdot d)^{\ell+t+1} \end{array}$$

Therefore, it suffices if  $X \ge (B+1)^{12 \cdot dn^2 \log 2d}$  (taking into consideration that  $\ell \le dn^2 + n$ ). And if this happens then condition (6) is satisfied and by equation (7),

$$|S| \ge |X^{d/2}| \cdot \frac{1}{2} \cdot |S_{\ell}| \ge \frac{1}{2^{2\ell+2} \cdot X^{\ell-d/2}}$$
 (by Equation 10)

This implies that  $|S| \ge 1/X^{8 \cdot dn^2}$ , which proves Theorem I.4.

### IV. THE COMPLEXITY OF DEGSLP

In this section we consider the algorithmic complexity of the following problem: given a polynomial  $f(\mathbf{X})$  as an arithmetic circuit, and an integer d in binary determine if  $\deg(f) \leq d$ . Towards this end, we need to define another natural computational problem.

**CoeffSLP**: Given an <u>arithmetic circuit</u> computing a polynomial  $f(\mathbf{X})$  over integers, a monomial  $\mathbf{X}^{\alpha}$  of  $\mathbb{F}$ . Clearly,  $\deg(g(z)) = d$  of  $\mathbb{F}$ . Clearly,  $\gcd(g(z)) = d$  of  $\mathbb{F}$ .

We will need the following theorem from [2]. A simpler, self-contained proof is given in the appendix.

Theorem IV.1. [2] CoeffSLP is #P-complete. Theorem IV.2.

$$DegSLP \leq_T^{coRP} CoeffSLP.$$

Here we prove the theorem assuming the underlying field to be the field of rational numbers. A similar proof will go through over other fields of zero characteristic. The theorem is valid even if the underlying field has small characteristic. We relegate the proof of the general case to the appendix.

*Proof:* We first reduce the multivariate to the univariate problem by making a random substitution of the form  $g(z) = f(a_1 \cdot z, a_2 \cdot z, \dots, a_n \cdot z)$ . <sup>5</sup>

**Claim IV.3.** With high probability over a random choice of the vector  $\mathbf{a} = (a_1, \dots, a_n)$  we have:  $\deg(g(z)) = \deg(f(\mathbf{X}))$ .

**Proof of Claim** IV.3: Let f have degree d. We can write the polynomial  $f(\mathbf{X})$  as  $\sum_{i=0}^d f_i(\mathbf{X})$ , where each  $f_i(\mathbf{X})$  is a homogeneous polynomial of degree i. Applying the substitution  $x_i := a_i \cdot z$ , we get that

$$g(z) = f_0 + z \cdot f_1(\mathbf{a}) + z^2 \cdot f_2(\mathbf{a}) + \dots + z^d \cdot f_d(\mathbf{a}).$$

By the Schwartz-Zippel lemma,  $f_d(\mathbf{a})$  is non-zero with high probability so that  $\deg(g(z)) = \deg(f(\mathbf{X}))$  also with high probability.

Our problem thus is the following: Given an arithmetic circuit computing a univariate polynomial g(z) and an integer d in binary, we want to determine if  $\deg(g(z)) \geq d$ . The most natural thing to do is to use the CoeffSLP oracle to determine whether the coefficient of  $z^d$  in g(z) is nonzero. <sup>6</sup> If this happens to be nonzero we have a certificate that

the degree of g is at least d. The converse is easily seen to be false: the coefficient of  $z^d$  in g can be zero and yet the degree of g can be larger than d. To fix this, we take a 'random shift' of g and compute its degree instead. Specifically, we look at the polynomial  $g(z+\beta)$ , where  $\beta$  is chosen uniformly at random from a large enough subset of  $\mathbb{F}$ . Clearly,  $\deg(g(z)) = \deg(g(z+\beta))$ . It suffices then to prove the following claim:

**Claim IV.4.** With high probability over a random choice of  $\beta \in \mathbb{F}$ , we have: coefficient of  $z^d$  in  $g(z + \beta)$  is nonzero if and only if  $\deg(g(z)) \geq d$ .

**Proof of Claim** IV.4: We first observe that the coefficient of  $z^d$  in  $g(z+\beta)$  is  $\frac{1}{d!}g^{(d)}(\beta)$ , where  $g^{(d)}(z)$  denotes as usual the d-th order derivative of g. (To see this, first use linearity of derivatives to reduce the problem to the case where g(z) is a single monomial, say  $g(z) = a \cdot z^e$  and then use binomial expansion to compute the coefficient of  $z^d$  in  $a \cdot (z+\beta)^e$ ). Since the characteristic of the field is zero,  $g^{(d)}(z)$  has degree precisely  $\deg(g) - d$ . In particular,  $g^{(d)}(z)$  is identically zero if and only if  $\deg(g) < d$ . Now the claim follows from an application of the Schwarz-Zippel lemma.

This completes the proof of the theorem.

Combining Theorems IV.1 and IV.2, we immediately get:

**Theorem IV.5.** DegSLP is in coRPPP.

#### V. DISCUSSION

We have seen that for the class of 'polynomial integers' it is possible to compare two sums of square roots by keeping precision of up to polynomially many bits (during square root computations). Although, 'polynomial integers' form a nontrivial class, the condition that X is sufficiently large also makes them very restrictive at the same time. The hope is that it may be possible to exploit results similar to that of Theorem II.1 to show something stronger for the case of integers. As a next step, we would be interested in a similar result where X is constrained as  $X \ge \operatorname{poly}(n,d) \cdot B^c$  (c is a constant), instead of  $X \ge (B+1)^{\operatorname{poly}(n,d)}$  as is the case in our analysis. Could encoding the integers as multivariate polynomials be useful in this regard?

Nonetheless, 'polynomial integers' are perhaps interesting from one perspective. A plausible way to make the sum S very small is to assume that the number of + and - signs in  $S = \sum_{i=1}^n \delta_i \sqrt{a_i}$  are equal and all the integers  $a_i$ 's are somewhat very close to each other. Notice that, because of the assumption that X is large, all integers of the form  $X^d + b_1 X^{d-1} + \ldots + b_d$  are reasonably close to  $X^d$ . Our analysis shows that at least for this case any non-zero sum S is still sufficiently large. As a final remark on the sum of square roots problem, we would like to note that the proofs and the results presented here generalize in a straightforward

<sup>&</sup>lt;sup>4</sup>Our definition is slightly different from that of [1], [2] and more tailored towards our needs.

<sup>&</sup>lt;sup>5</sup>The univariate version of DegSLP is in fact equivalent (via deterministic reductions as well) to the multivariate version. For a proof cf. [1]

<sup>&</sup>lt;sup>6</sup>For the oracle call to CoeffSLP, we choose the prime p at random. This ensures that with high probability, the coefficient  $\alpha$  of  $z^d$  in g(z) is zero as a rational number if and only if  $\alpha$  is zero modulo p.

manner to general sums of radicals - like sums of cube roots or fourth roots of integers.

We feel that the complexity of the problem DegSLP is not understood well enough. In particular no hardness results are known for it. We conclude by posing the following problem:

**Problem V.1.** (Hardness of DegSLP): Does there exist an efficient randomized algorithm for DegSLP? ... or, will the existence of such an algorithm for DegSLP lead to a collapse of the polynomial hierarchy?

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#### APPENDIX

#### VI. THE COMPLEXITY OF COEFFSLP

The aim of this section of the appendix is to give a simpler, self-contained proof of the following theorem.

#### **Theorem IV.1.** CoeffSLP is #P-complete.

We first give some warm-up lemmas.

**Lemma VI.1.** For any  $m \geq 7$ , the lcm of the first m numbers is at least  $2^m$ .

**Lemma VI.2.** For any integer  $t \in \mathbb{Z}_{\geq 1}$  and prime p, there is a prime  $r = O(t^2 \cdot \log p)$  such that the ring

$$R \stackrel{\text{def}}{=} \mathbb{F}_p[z]/\langle \frac{z^r - 1}{z - 1} \rangle$$

is the direct sum of finite fields of size  $q > p^t$ .

Proof: Consider the integer

$$M := (p-1) \cdot (p^2 - 1) \cdot \dots \cdot (p^t - 1).$$

Then  $M < p^{t^2}$ . By lemma VI.1, there exists a prime  $r < \log M$  such that r does not divide M. This is the prime r that we seek. Let m denote the order of p modulo r, i.e. m is the smallest positive integer such that  $p^m = 1 \pmod{r}$ . Since r does not divide  $M = \prod_{i \in [t]} (p^i - 1)$ , therefore r does not divide any  $(p^i - 1)$  for  $1 \le i \le t$  and therefore m > t. Let  $\phi_r(z)$  denote the r-th cyclotomic polynomial, that is

$$\phi_r(z) \stackrel{\text{def}}{=} \frac{z^r - 1}{z - 1}.$$

It is known that over  $\mathbb{F}_p$ ,  $\phi_r(z)$  factors into  $\frac{r-1}{m}$  irreducible polynomials each of degree m. Thus,  $R \stackrel{\mathrm{def}}{=} \mathbb{F}_p[z]/\langle \phi_r(z) \rangle$  is the direct sum of finite fields of size  $p^m > p^t$ . **Proof of Theorem IV.1:** The #P-hardness of this problem is well-known and a proof can be found for example in [1]. It is sufficient to show this for univariate polynomials (by replacing each indeterminate  $x_i$  by an exponentially increasing sequence of monomials, if necessary). That is, our problem now becomes the following: given a circuit of size s computing a univariate polynomial f(x) and an  $\alpha \in \mathbb{Z}_{>0}$  given in binary, compute the coefficient of  $x^{\alpha}$  in

form 
$$R = \mathbb{F}_p[z]/\langle \frac{z^r-1}{z-1} \rangle$$
 such that  $r \leq (\log D)^2 \cdot (\log p)$  and  $R \cong \mathbb{F}_q \oplus \ldots \oplus \mathbb{F}_q$ ,

f(x). Notice that  $D \stackrel{\text{def}}{=} 2^s$  is an upper bound on  $\deg(f(x))$ .

Using lemma VI.2, we obtain an extension ring R of the

with q-1>D. We now observe that the coefficient of  $x^{\alpha}$  in f(x) is given by

$$\operatorname{Coeff}(x^{\alpha}, f(x)) = -\sum_{\beta \in R^*} \beta^{\alpha} \cdot f(\beta^{-1}).$$

The number of terms in the above summation is exponentially large but notice that each summand in the above expression,  $(\beta \cdot f(\beta^{-1}))$ , is polynomial-time computable so that overall this sum is computable in  $P^{\#P}$ .

# VII. DEGSLP OVER FIELDS OF SMALL CHARACTERISTIC

In this section of the appendix, we give the proof of Theorem IV.2 in the general case, i.e even when the underlying field has small characteristic. We first record a lemma that was implicit stated and used in Section IV earlier.

**Lemma VII.1.** Over a field of characteristic larger than d, the coefficient of  $x^d$  in  $f(x + \beta)$  is precisely  $\frac{1}{d!} f_d(\beta)$ .

*Proof:* By the linearity of derivatives, it is sufficient to show this for monomials. So let  $f(x) = a \cdot x^e$ . If e < d then  $f_d(x)$  is the zero polynomial and we are done. So let  $e \le d$ . Expanding  $(x+\beta)^e$  using binomial theorem we get that coefficient of  $x^d$  is  $a \cdot \binom{e}{d} \cdot \beta^{e-d}$ . On the other hand  $f_d(x) = a \cdot e \cdot (e-1) \cdot \ldots \cdot (e-d+1) x^{e-d}$ . It is now easily verified that the coefficient of  $x^d$  in  $f(x+\beta)$  is  $\frac{1}{d!} f_d(\beta)$ .

We will also need a lemma originally due to Edouard Lucas.

**Lemma VII.2.** [19, p.55] Let n, m be positive inte gers whose p-ary representation is the following:

$$m = m_0 + m_1 p + \dots + m_d p^d$$
,  $\forall i : 0 \le m_i \le p - 1$   
 $n = n_0 + n_1 p + \dots + n_d p^d$   $\forall i : 0 \le n_i \le p - 1$ .

Then

$$\binom{n}{m} = \binom{n_0}{m_0} \cdot \binom{n_1}{m_1} \cdot \ldots \cdot \binom{n_d}{m_d} \pmod{p}$$

In particular, for any integr  $n \ge 1$ , the binomial coefficient  $\binom{n}{p^i}$  is divisible by p if and only if the  $n_i$ , the i-th digit in the p-ary representation of n is zero.

**Proof of Theorem IV.2:** Proceeding as before, we can assume without loss of generality that the given circuit computes a univariate polynomial q(z). Our problem then is the following: given an arithmetic circuit computing a univariate polynomial g(z) and an integer d in binary, we want to determine if  $deg(g(z)) \ge d$ . Recall that in the large characteristic situation, our strategy was to choose a random  $\beta \in \mathbb{F}$  and look at the coefficient of  $z^d$  in  $g(z + \beta)$ . To get the reduction over fields of small characteristic, we need to examine the polynomial q(z+y). Specifically, we need to determine as to when does it happen that the coefficient of  $z^d$ as a polynomial in y is the identically zero polynomial. We sketch the proof below. Let the size of the circuit computing f be s. Then the formal degree of f is bounded by  $2^s$ . First observe that multiplying g(z) with a suitable power of z, we may assume without loss of generality that d is a power of p, say  $d = p^t$ . Notice that  $\deg(g(z)) \geq p^t$  if and only if g(z) contains a monomial  $z^m$  where the p-ary (base-p) representation of the positive integer m contains a non-zero digit at the *i*-th position, for some t < i < s. Thus, to achieve our objective, it is sufficient to devise a randomized procedure that given an integer  $i \in [s]$ , tests whether g(z)contains any non-zero monomial  $z^m$  such that in the p-ary representation of the integer m, the i-th digit is non-zero. This procedure works as before: choose a random  $\beta$  (in a suitably large field extension of  $\mathbb{F}_p$ ) and accept if and only if the the coefficient of  $z^{p^i}$  (computed via an oracle call to CoeffSLP) is nonzero. We next describe why the test gives the correct answer with high probability.

Suppose that

$$g(z) = \sum_{0 \le m \le 2^s} a_m \cdot z^m.$$

Then the coefficient of  $z^{p^i}$  in  $g(z + \beta)$  is given by

$$h(\beta) = \sum_{p^i \le m \le 2^s} a_m \cdot \binom{m}{p^i} \cdot \beta^{m-p^i}.$$

Our test accepts with high probability if and only if  $h(\beta)$  is not the identically zero polynomial with respect to  $\beta$ . Use Lucas's lemma VII.2 to observe that  $\binom{m}{p^i}$  is zero modulo p if and only if the i-th digit in the p-ary representation of m is zero. Thus  $h(\beta)$  is a nonzero polynomial if and only if there exists an  $p^i \leq m \leq 2^s$ , such that  $a_m$  is nonzero and in the p-ary representation of the integer m, the i-th digit is nonzero. Thus  $h(\beta)$  is nonzero if and only if g(z) contains a non-zero monomial  $z^m$  such that in the p-ary of the integer m, the i-th digit is non-zero. This completes the proof.  $\square$  Combining Theorems IV.1 and IV.2, we immediately get:

**Theorem VII.3.** DegSLP *is in* coRPPP.