

# Context-Free Session Types

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## Abstract

Session types describe structured communication on heterogeneously typed channels at a high level. Their tail-recursive structure imposes a protocol that can be described by a regular language. The types of transmitted values are drawn from the underlying functional language, abstracting from the details of serializing values of structured data types.

Context-free session types extend session types by allowing nested protocols that are not restricted to tail recursion. Nested protocols correspond to deterministic context-free languages. Such protocols are interesting in their own right, but they are particularly suited to describe the low-level serialization of tree-structured data in a type-safe way.

We establish the metatheory of context-free session types, prove that they properly generalize standard (two-party) session types, and take first steps towards type checking by showing that type equivalence is decidable.

**Categories and Subject Descriptors** D.3.3 [Language Constructs and Features]: Concurrent programming structures; D.3.1 [Formal Definitions and Theory]

**Keywords** session types, semantics, type checking

## 1. Introduction

Session types have been discovered by Kohei Honda as a means to describe the structured interaction of processes via typed communication channels [13, 21]. While connections are homogeneously typed in languages like Concurrent ML [19], session types provide a heterogeneous type discipline for a protocol on a bidirectional connection: each message has an individual direction and type and there are choice points where a sender can make a choice and a receiver has to follow. While session types have been conceived for process calculi, they provide precise typings for communication channels in any programming language. They fit particularly well with strongly typed functional languages from the ML family.

The type structure of a functional language with session types typically comes with two layers, regular types and session types:

$$\begin{aligned} T &::= S \mid \text{unit} \mid B \mid T \rightarrow T \mid \dots \\ S &::= \text{end} \mid ?T.S \mid !T.S \mid \&\{l_i : S_i\} \mid \oplus\{l_i : S_i\} \mid z \mid \mu z.S \end{aligned} \quad (1)$$

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ICFP'16, September 18–24, 2016, Nara, Japan  
© 2016 ACM. 978-1-4503-4219-3/16/09...\$15.00  
http://dx.doi.org/10.1145/2951913.2951926

A type  $T$  is either a session type  $S$ , a unit type, a base type  $B$ , a function type, and so on. Session types  $S$  are attached to communication channels. They denote different states of the channel. The type end indicates the end of a session,  $?T.S$  ( $!T.S$ ) indicates readiness to receive (send) a value of type  $T$  and continuing with  $S$ , the branching operators  $\&\{l_i : S_i\}_{i \in I}$  and  $\oplus\{l_i : S_i\}_{i \in I}$  indicate receiving and sending labels, where the label  $l_i$  selects the protocol  $S_i$  from a finite number of possibilities  $i \in I$  for the subsequent communication on the channel. For example, the session type

$$\&\{add : ?\text{int}.\text{int}.\text{end}, neg : ?\text{int}.\text{int}.\text{end}\}$$

is the type of a server that accepts two commands *add* and *neg*, then reads the appropriate number of arguments and returns the result of the command. The session variable  $z$  and the operator  $\mu z.S$  serve to introduce recursive protocols, for example, to read a list of numbers:

$$\mu z.\&\{stop : \text{end}, more : ?\text{int}.z\}$$

Session types are well suited to document high-level communication protocols and there is a whole range of extensions to make them amenable to deal with realistic situations, for example, multi-party session types [15], session types for distributed object-oriented programming [12], or for programming web services [6]. However, there is a fundamental limitation in their structure that makes it impossible to describe efficient low-level serialization (marshalling, pickling, ...) of tree structured data in a type-safe way, as we demonstrate with the following example.

Let's assume that a single communication operation can only transmit a label or a base type value to model the real-world restriction that data structures need to be serialized to a wire format before they can be sent over a network connection. Formally, it is sufficient to restrict the session type formation for sending and receiving data to base types:  $!B.S$  and  $?B.S$ . Now suppose we want to transmit binary trees where the internal nodes contain a number. A recursive type for such trees can be defined as follows:

```
type Tree = Leaf
          | Node int Tree Tree
```

To serialize such a structure, we traverse it in some order and transmit a sequence of labels *Leaf* and *Node* and *int* values as they are visited by the traversal. The set of serialization sequences corresponding to a pre-order traversal of a tree may be described by the following context-free grammar.

$$N ::= \text{Leaf} \mid \text{Node int } N \ N \quad (2)$$

Listing 1 contains a function `sendTree` that performs a pre-order traversal of a tree and sends correctly serialized output on a channel. The function relies on typical operations in a functional session type calculus like GV [11]: the `select` operation takes a label and a channel, outputs the label, and returns the (updated) channel. The `send` operation takes a value and a channel, outputs the value, and returns the channel. Ignore the type signature for a moment.

```

sendTree :  $\forall \alpha. \text{Tree} \rightarrow \text{TreeChannel}; \alpha \rightarrow \alpha$ 
sendTree Leaf c =
  select Leaf c
sendTree (Node x l r) c =
  let c1 = select Node c
      c2 = send x c1
      c3 = sendTree l c2
      c4 = sendTree r c3
  in c4

```

**Listing 1.** Type-safe serialization of a binary tree

It turns out that the `sendTree` function cannot be typed in existing session-type calculi [10, 11, 13, 14, 21, 22]. To see this, we observe that the language generated by the nonterminal  $N$  in the grammar (2) is context-free, but not regular. In contrast, the language of communication actions described by a traditional session type  $S$  is regular. More precisely, taking infinite executions into account, each traditional session type is related to the union of a regular language and an  $\omega$ -regular language that describe the finite and infinite sequences of communication actions admitted by the type. A similar caveat applies to the language generated by a context-free grammar like (2), a point which we leave for future work.

It turns out that we can type functions like `sendTree` if we drop the restriction of being tail recursive from the language of session types. Here is an informal proposal for a revised session type structure replacing the previous one (1):

$$S ::= \text{skip} \mid ?B \mid !B \mid S;S \mid \&\{l_i : S_i\} \mid \oplus\{l_i : S_i\} \mid z \mid \mu z. S$$

That is, we remove the continuation from the primitive send and receive types and adopt a general sequence operator  $;$  with unit `skip`. This change removes the restriction to tail recursion and enables a session type to express context-free communication sequences such as the ones required for the serialization example. However, the monoidal structure of `skip` and  $;$  poses some challenges for the metatheory. We call this structure *context-free session types* and it is sufficient to assign a type to function `sendTree`. First, we define the recursive session type corresponding to the `Tree` datatype. Its definition follows the datatype definition, but it makes the sequence of communication operations explicit.

```

type TreeChannel =  $\oplus\{$ 
  Leaf: skip ,
  Node: !int ; TreeChannel ; TreeChannel  $\}$ 

```

Now we are ready to explain the type signature for `sendTree`.

$$\text{sendTree} : \forall \alpha. \text{Tree} \rightarrow \text{TreeChannel}; \alpha \rightarrow \alpha$$

It abstracts over the type  $\alpha$  of the continuation channel, takes as input a `Tree` and a channel which first runs the recursive protocol `TreeChannel` followed by some other protocol specified by  $\alpha$ . The  $\alpha$ -typed channel is returned which leaves its processing to the continuation. This abstraction is required to make things work because a type of the form  $\text{Tree} \rightarrow \text{TreeChannel} \rightarrow \text{skip}$  does not fit the first recursive call.

Polymorphism, as seen in this signature, is rarely considered in session types (with two exceptions [4, 9] discussed in the related work). However, it appears quite natural in this context as sending a tree generalizes sending a single value, which is naturally polymorphic over the continuation channel as in  $\text{send} : \forall \alpha. B \rightarrow (!B; \alpha) \rightarrow \alpha$ . Further study of the typing derivation of `sendTree` (in Section 2) makes it clear that polymorphism is absolutely essential to make context-free session types work in connection with recursive types. It turns out that the recursive calls happen at *instances* of the declared type, so that `sendTree` (as well as its receiving counterpart, `recvTree`) makes use of *polymorphic recursion*.

## Contributions and overview

- We introduce context-free session types that extend the expressiveness of regular session types to capture the type-safe serialization of recursive datatypes. They further enable the type-safe implementation of remote operations on recursive datatypes that either traverse the structure eagerly or on demand.
- Section 2 discusses the overall design and explains the requirements to the metatheory with examples.
- Section 3 formally introduces context-free session types. A kind system with subkinding guarantees well-formedness; the definition of contractiveness needs to be refined to deal with the monoidal structure of the type operators `skip` and  $;$ ; we give a coinductive definition of type equivalence as a bisimulation of types and prove its decidability by reducing type equivalence to the equivalence of basic process algebra expressions (BPA).
- Section 4 formally introduces the term language along with its statics and dynamics. It is a synchronous, first-order version of Gay and Vasconcelos' linear type theory for asynchronous session types (GV) [11] extended with recursive types and variant types to model recursive datatypes. We establish type soundness and progress for the sequential fragment of our language. We prove in Section 4.5 that our system conservatively extends a regular (first-order) session-type system.
- We discuss related work in Section 5 and conclude.

## 2. Context-Free Session Types in Action

To understand the requirements for the metatheory of context-free session types, we first examine the type derivation of `sendTree` in Listing 1. Then we turn to further examples that underline the expressiveness and the usefulness of context-free session types.

### 2.1 Sending Leaves

To typecheck the first alternative of the `sendTree` function for sending leaves, we need to derive type  $\alpha$  for the code fragment

```
select Leaf c
```

given that  $c : \text{TreeChannel}; \alpha$ . Anticipating the formal definition in Section 4 (Figure 10), we sketch an informal typing rule for `select`, which is taken verbatim from GV [11]:

$$\frac{\vdash e : \oplus\{l_i : S_i\}_{i \in I} \quad j \in I}{\vdash \text{select } l_j e : S_j} \quad (3)$$

The `select` operation expects a branch type  $\oplus\{l_i : S_i\}$ , but we are given the recursive `TreeChannel` type, which has to be unfolded first. Such unfolding is to be expected in the presence of recursive types. As unfolding is not indicated in the term, we require an *equiv-recursive treatment of recursion in types* [18].

After unfolding, we obtain

```
c :  $\oplus\{$  Leaf: skip ,
      Node: !int ; TreeChannel ; TreeChannel  $\}; \alpha$ 
```

This type, a sequence of protocols, is still not in the form expected by `select`. Hence, we further need to enrich type equivalence to enable us to *commute the continuation type  $\alpha$  inside the branches*.

After commutation, we obtain the typing

```
c :  $\oplus\{$  Leaf: skip ;  $\alpha$  ,
      Node: !int ; TreeChannel ; TreeChannel ;  $\alpha$   $\}$ 
```

which is finally in a form acceptable to `select`. Applying the typing rule (3) yields

```
select Leaf c : skip ;  $\alpha$ 
```

At this point, we need to apply the *monoid identity law* (which also needs to be part of type equivalence) to obtain the desired outcome.

```
select Leaf c :  $\alpha$ 
```

## 2.2 Sending Nodes

We turn to typechecking the second alternative of the `sendTree` function

```
let c1 = select Node c
    c2 = send x c1
    c3 = sendTree l c2
    c4 = sendTree r c3
in c4
```

given that

```
x : int, l : Tree, r : Tree, c : TreeChannel
```

Typechecking the `select` operation requires the same steps as for leaves. We skip over those and note the resulting typing for `c1`.

```
c1 : !int; TreeChannel; TreeChannel;  $\alpha$ 
```

The `send` operation just peels off the leading `!int` type, but our typing for `c1` glosses over an important detail, namely the bracketing of the `;` operator. After commuting  $\alpha$  inside the branch type and applying the `select` rule, we are actually left with this type:

```
c1 : (!int; (TreeChannel; TreeChannel));  $\alpha$ 
```

Again, we need to appeal to type equivalence to reassociate the nesting of the sequence operator, that is, to apply the *monoidal associativity law*. The resulting type

```
c1 : !int; ((TreeChannel; TreeChannel);  $\alpha$ )
```

is compatible with the typing for `send` and we can proceed with

```
c2 : (TreeChannel; TreeChannel);  $\alpha$ 
```

Again, we need to reassociate:

```
c2 : TreeChannel; (TreeChannel;  $\alpha$ )
```

At this point, we see the need for *polymorphic recursion*: the recursive call `sendTree l c2` of

```
sendTree :  $\forall \beta. \text{Tree} \rightarrow \text{TreeChannel}; \beta \rightarrow \beta$ 
```

must instantiate the type variable  $\beta$  to  $(\text{TreeChannel}; \alpha)$ . With this instantiation, we obtain

```
c3 : TreeChannel;  $\alpha$ 
```

The second recursive call instantiates  $\beta$  to  $\alpha$  (it could be treated monomorphically) and we readily obtain the desired final outcome, which is equivalent to the outcome of the first alternative:

```
c4 :  $\alpha$ 
```

In summary, the type system for context-free session types requires polymorphism with polymorphic recursion.<sup>1</sup> Furthermore, it relies on a nontrivial notion of type equivalence that includes unfolding of equi-recursive types, distributivity of branching over sequencing, and the monoidal structure of `skip` and sequencing (identity and associativity laws). Our technical treatment of type equivalence in Sections 3.2 and 3.3 relies on a terminating unraveling operation that normalizes the “head” of a session type with respect to these notions.

<sup>1</sup>This particular example can be made to work without polymorphic recursion by abstracting the recursive calls to `transform` in a separate function with a specialized type. However, we argue that it is advantageous to be able to type the straightforward code that we present.

```
type XformChan =  $\oplus\{$ 
  Leaf: skip,
  Node: !int; XformChan; XformChan; ?int  $\}$ 

transform :  $\forall \alpha. \text{Tree} \rightarrow \text{XformChan}; \alpha \rightarrow \text{Tree} \otimes \alpha$ 
transform Leaf c =
  (Leaf, select Leaf c)
transform (Node x l r) c =
  let c1 = select Node c
      c2 = send c x
      l1, c3 = transform l c2
      r1, c4 = transform r c3
      x1, c5 = receive c4
  in (Node x1 l1 r1, c5)

treeSum :  $\forall \alpha. \text{dualof XformChan}; \alpha \multimap \text{int} \otimes \alpha$ 
treeSum c =
  case c of
  Leaf:  $\lambda c1. (0, c1)$ 
  Node:  $\lambda c1. \text{let } x, c2 = \text{receive } c1$ 
        l, c3 = treeSum c2
        r, c4 = treeSum c3
        c5 = send c4 (x+l+r)
      in (x+l+r, c5)

aTree = Node 3 Leaf (Node 4 Leaf Leaf)

go : Tree  $\rightarrow$  Tree
go aTree =
  let c, s = new XformChan
  in fork (fst (treeSum s));
        fst (transform aTree c)
```

Listing 2. Remote tree transformation

## 2.3 Structure-Preserving Tree Transformation

As another example for the expressiveness of context-free session types, we present client and server code for a remote structure-preserving tree transformation in Listing 2. It is based on the same tree datatype as before, but it introduces a new channel type `XformChan` that receives the transformed node value after sending the old value and the two subtrees. This code makes use of the `receive` operation that takes a channel of type `!int;  $\alpha$`  and returns a linear pair of type `int  $\otimes$   $\alpha$` . The pair must be linear because channels in session-type calculi generally have linear types to cater for the change of their type at each operation.

The server function `transform` demonstrates the use of `receive`. It also uses pattern matching to deconstruct the linear pairs returned by recursive calls and by receiving integers. No new issues arise in typing this function compared to `sendTree`.

The function `treeSum` is a suitable client for transformer channels. It computes the accumulated sum at each tree node, so that running `transform` and `treeSum` concurrently results in a tree where each node value is replaced by the sum of all node values below. The function `treeSum` takes an argument channel of type `dualof XformChan;  $\alpha$`  where the `dualof` operator swaps sending and receiving types as usual. The `case` expression is the receiving counterpart of the `select` expression. It receives a label from a channel and dispatches according to this label. Each branch of the `case` is a function that takes the respective continuation of the channel and continues the interaction on that channel.

The final definition of `go` stitches it all together. Using `new XformChan` it creates a new pair of channels, the types of which are `XFormChan` and its dual, it forks a new process that runs `treeSum` on the server channel, and finally runs `transform` on an example tree and the client channel.

```

type TermChan =  $\oplus$ {Const: !int,
  Add: TermChan; TermChan,
  Mult: TermChan; TermChan}

computeService : dualof TermChan; !int  $\rightarrow$  skip
computeService c =
  let n1, c1 = receiveEval c
  in send n1 c1

receiveEval :  $\forall \alpha. \text{dualof TermChan}; \alpha \rightarrow \text{int} \otimes \alpha$ 
receiveEval c =
  case c of {
    Const:  $\lambda c. \text{receive } c$ 
    Add:  $\lambda c. \text{let } n1, c1 = \text{receiveEval } c$ 
       $n2, c2 = \text{receiveEval } c1$ 
      in (n1+n2, c2)
    Mult:  $\lambda c. \text{let } n1, c1 = \text{receiveEval } c$ 
       $n2, c2 = \text{receiveEval } c1$ 
      in (n1*n2, c2)
  }

client : TermChan; ?int  $\rightarrow$  int  $\otimes$  skip
client c =
  let c1 = select Add c
    c2 = select Const c1
    c3 = send 5 c2
    c4 = select Mult c3
    c5 = select Const c4
    c6 = send 7 c5
    c7 = select Const c6
    c8 = send 9 c7
  in receive c8

go : int
go =
  let c, s = new TermChan; ?int
  in fork (computeService s);
  fst (client c)

```

**Listing 3.** Arithmetic expression server

The example also illustrates how channels are closed. `treeSum` (transform) returns a *linear pair* of the accumulated sum (transformed tree) and a depleted channel of type `skip`. The function `fst` eliminates the linear pair and returns its first component, which is possible because the second component is of an unrestricted type (`skip`). It implicitly closes the channel by discarding it, as the channel end of type `skip` can no longer be used for interaction.

## 2.4 Expression Server

An example that is quite often used in the literature on session types is an arithmetic server with a type like the one indicated in the introduction:

$\&\{add: ?\text{int}.?\text{int}!\text{int}.\text{end}, neg: ?\text{int}!\text{int}.\text{end}\}$

Exploiting context-free session types, we can extend the scope of such a server to receive and process arbitrary well-formed arithmetic expressions. As an example consider the arithmetic expression server for terms composed of constants, addition, and multiplication in Listing 3. The implementation of the protocol is straightforward using the techniques already described.

It is possible to extend this protocol to lazily traverse the term (Listing 4). In this case, the server requests from the client the parts of the term needed to complete the evaluation. For instance, if a factor in a multiplication is zero, the server can avoid to even ask for sending the other factor. We elucidate this idea with a simplified protocol to explore a binary tree lazily. No new features are required for its realization.

```

type XploreTreeChan =  $\oplus$ {
  Leaf: skip,
  Node: XploreNodeChan
}

type XploreNodeChan =  $\oplus$ {
  Value: !int; XploreNodeChan,
  Left: XploreTreeChan; XploreNodeChan,
  Right: XploreTreeChan; XploreNodeChan,
  Exit: skip
}

exploreTree : Tree  $\rightarrow$  XploreTreeChan;  $\alpha \rightarrow \alpha$ 
exploreTree Leaf c =
  select Leaf c
exploreTree (Node x l r) c =
  let c1 = select Node c
  in exploreNode x l r c1

exploreNode : int  $\rightarrow$  Tree  $\rightarrow$  Tree  $\rightarrow$ 
  XploreNodeChan;  $\alpha \rightarrow \alpha$ 
exploreNode x l r c1
  case c1 of {
    Value:  $\lambda c2. \text{let } c3 = \text{send } c2 \ x$ 
      in exploreNode x l r c3,
    Left:  $\lambda c2. \text{let } c3 = \text{exploreTree } l \ c2$ 
      in exploreNode x l r c3,
    Right:  $\lambda c2. \text{let } c3 = \text{exploreTree } r \ c2$ 
      in exploreNode x l r c3,
    Exit:  $\lambda c2. c2$ 
  }

```

**Listing 4.** Lazy tree traversal

A client connecting to the server `exploreTree` first connects to the root node of a tree. First it must check whether the current node is a Leaf or a Node. If it is a Node, it can further explore the contents: it can ask for the value or traverse the left subtree or the right subtree as often as desired. Finally the client sends Exit to return to the parent node.

The type describing this interaction is mutually recursive. The “inner loop” described by `XploreNodeChan` is tail-recursive like a regular session type, but the “outer loop” corresponding to `XploreTreeChan` is not as its invocations are intertwined with the inner loop.

## 3. Types

This section introduces the notion of types, the machinery required for defining type equivalence, and a proof that type equivalence is decidable.

### 3.1 Types and the Kinding System

We rely on a few base sets: *recursion variables*, denoted by  $x, y, z$ ; *type variables* denoted by  $\alpha, \beta$ ; *labels* denoted by  $l$ , and *primitive types* denoted by  $B$ , which include unit and int.

A *kinding* system establishes what constitutes a valid type, distinguishing between session types, general types, and type schemes. The kinding system further distinguishes linear from unrestricted types. *Prekinds*, denoted by  $v$ , are session types  $\mathcal{S}$ , arbitrary types  $\mathcal{T}$ , or type schemes  $\mathcal{C}$ . *Multiplicities*, denoted by  $m$ , can be linear  $l$  or unrestricted  $u$ . *Kinds* are of the form  $v^m$ , describing types and their multiplicities. A partial order  $\leq$  is defined on prekinds, which describes that a session type of kind  $\mathcal{S}$  may be regarded as a type of kind  $\mathcal{T}$ , which in turn may be regarded as a type scheme of kind  $\mathcal{C}$ . Similarly, multiplicities establish that an unrestricted (use zero or more times) type can be regarded as a

$v ::= \mathcal{S} \mid \mathcal{T} \mid \mathcal{C}$	$\mathcal{S} < \mathcal{T} < \mathcal{C}$	Prekinds
$m ::= \mathbf{u} \mid \mathbf{l}$	$\mathbf{u} < \mathbf{l}$	Multiplicity
$\kappa ::= v^m$		Kinds
$T ::= \text{skip} \mid T;T \mid !B \mid ?B \mid$ $\oplus \{l_i : T_i\}_{i \in I} \mid \& \{l_i : T_i\}_{i \in I}$ $B \mid T \rightarrow T \mid T \multimap T$ $T \otimes T \mid [l_i : T_i]_{i \in I}$ $\mu x.T \mid x \mid \forall \alpha.T \mid \alpha$		Types
$\Delta ::= \cdot \mid \Delta, x :: \kappa \mid \Delta, \alpha :: \kappa$		Kind environments

**Figure 1.** Syntax of kinds, types, and kind environments

$\Delta \vdash \text{skip} :: \mathcal{S}^{\mathbf{u}}$	$\Delta \vdash !B :: \mathcal{S}^{\mathbf{l}}$	$\Delta \vdash ?B :: \mathcal{S}^{\mathbf{l}}$
$\Delta \vdash T_1 :: \mathcal{S}^{m_1}$	$\Delta \vdash T_2 :: \mathcal{S}^{m_2}$	
$\Delta \vdash (T_1; T_2) :: \mathcal{S}^{\max(m_1, m_2)}$		
$(\forall i \in I) \Delta \vdash T_i :: \mathcal{S}^{\mathbf{l}}$	$(\forall i \in I) \Delta \vdash T_i :: \mathcal{S}^{\mathbf{l}}$	
$\Delta \vdash \oplus \{l_i : T_i\}_{i \in I} :: \mathcal{S}^{\mathbf{l}}$	$\Delta \vdash \& \{l_i : T_i\}_{i \in I} :: \mathcal{S}^{\mathbf{l}}$	
$\Delta, x :: \kappa \vdash x :: \kappa$	$\Delta, \alpha :: \kappa \vdash \alpha :: \kappa$	$\Delta \vdash B :: \mathcal{T}^{\mathbf{u}}$
$\Delta \vdash_c T$	$\Delta, x :: \kappa \vdash T :: \kappa$	$\kappa \leq \mathcal{T}^{\mathbf{l}}$
$\Delta \vdash \mu x.T :: \kappa$		
$\Delta \vdash T_1 :: \mathcal{T}^{\mathbf{l}}$	$\Delta \vdash T_2 :: \mathcal{T}^{\mathbf{l}}$	$\Delta \vdash T_1 :: \mathcal{T}^{\mathbf{l}}$
$\Delta \vdash T_1 \rightarrow T_2 :: \mathcal{T}^{\mathbf{u}}$	$\Delta \vdash T_1 \multimap T_2 :: \mathcal{T}^{\mathbf{l}}$	
$\Delta \vdash T_1 :: \mathcal{T}^{\mathbf{l}}$	$\Delta \vdash T_2 :: \mathcal{T}^{\mathbf{l}}$	$(\forall i \in I) \Delta \vdash T_i :: \mathcal{T}^m$
$\Delta \vdash T_1 \otimes T_2 :: \mathcal{T}^{\mathbf{l}}$	$\Delta \vdash [l_i : T_i]_{i \in I} :: \mathcal{T}^m$	
$\Delta \vdash T :: \kappa_1$	$\kappa_1 \leq \kappa_2$	$\Delta, \alpha :: \kappa \vdash T :: \mathcal{C}^m$
$\Delta \vdash T :: \kappa_2$		$\Delta \vdash \forall \alpha :: \kappa.T :: \mathcal{C}^m$

**Figure 2.** Kinding system,  $\Delta \vdash T :: \kappa$

linear (use exactly once) type, that is  $\mathbf{u} < \mathbf{l}$ . The two order relations form a complete lattice on kinds. Its ordering is determined by  $v_1^{m_1} \leq v_2^{m_2}$  iff  $v_1 \leq v_2$  and  $m_1 \leq m_2$ .

A *kinding environment*, denoted by  $\Delta$ , associates kinds  $\kappa$  to type variables  $\alpha$  and to recursion variables  $x$ . When writing  $\Delta, \alpha :: \kappa$  or  $\Delta, x :: \kappa$  we assume that  $\alpha$  and  $x$  do not occur in  $\Delta$ . The notions of kinds, types, and kind environments are summarized in Figure 1.

*Kind assignment* is defined by a judgment  $\Delta \vdash T :: \kappa$  (see Figure 2) that ensures the good formation of types  $T$ , while classifying well-formed types in session types, general types, or type schemes, as well as assigning a multiplicity to session types and general types. The type scheme  $\forall \alpha :: \kappa.T$  binds the type variable  $\alpha$  and the recursive type  $\mu x.T$  binds the recursion variable  $x$  with scope  $T$ . The set of *free variables*,  $\text{free}(T)$ , in a type  $T$  is defined in the usual way, and so is the *substitution* of a type variable  $\alpha$  (resp. recursion variable  $x$ ) by a type  $T$  in a type  $U$ , denoted by  $U[T/\alpha]$  (resp.  $U[T/x]$ ). We assume the variable convention whereby all variables in binding occurrences in any mathematical context are pairwise distinct and distinct from the free variables.

A session type may be skip indicating no communication (this type is unrestricted as it denotes a depleted channel that can be garbage collected),  $!B$  for sending a base type value,  $?B$  for receiving a base type value, or  $(S_1; S_2)$  for the sequence of actions denoted by  $S_1$  followed by those denoted by  $S_2$ . Further, there are branch types  $\oplus \{l_i : T_i\}$  and choice types  $\& \{l_i : T_i\}$  that either

$\Delta \vdash_c T_1 \rightarrow T_2$	$\Delta \vdash_c T_1 \multimap T_2$	$\Delta \vdash_c T_1 \otimes T_2$
$\Delta \vdash_c [l_i : T_i]_{i \in I}$	$\Delta \vdash_c B$	$\Delta \vdash_c !B$
		$\Delta \vdash_c ?B$
$\Delta \vdash_c \oplus \{l_i : T_i\}_{i \in I}$	$\Delta \vdash_c \& \{l_i : T_i\}_{i \in I}$	
$\Delta \vdash_c \text{skip}$	$\Delta \vdash_c T_1; T_2$	$\Delta \vdash_c T_1 \quad \Delta \vdash_c T_2$
		$\Delta \vdash_c (T_1; T_2)$
$\Delta \vdash_c T$	$\Delta, x :: \kappa \vdash_c x$	$\Delta \vdash_c \forall \alpha :: \kappa.T$
$\Delta \vdash_c \mu x.T$		$\Delta, \alpha :: \kappa \vdash_c \alpha$

**Figure 3.** Contractivity,  $\Delta \vdash_c T$

select and send a label  $l_i$  or branch on such a received label and then continue on the corresponding branch. The formation rule for sequence makes sure that its kind can only be unrestricted  $\mathbf{u}$  if both  $S_1$  and  $S_2$  are. The formation rules for the branch and choice types are straightforward.

The formation rules for recursion variables, type variables, and base types are as expected. Recursive types of the form  $\mu x.T$  require that the body  $T$  is contractive in  $x$  using the judgment  $\Delta \vdash_c T$ , which we define shortly. The formation rules of the remaining type constructions contain no surprises; the constituent types must not be type schemes. Finally, kind subsumption is standard and the abstraction rule enables the formation of type schemes where abstraction is restricted to types by the constraint  $\kappa \leq \mathcal{T}^{\mathbf{l}}$ .

Regarding session types, the kinding system makes sure that the operators  $\&$ ,  $\oplus$ , and  $\_;$  are only applied to session types, that is, to types of kind  $\mathcal{S}^m$ . Types like  $(\text{int} \rightarrow \text{int}); \text{skip}$  and  $\oplus \{l_1 : \text{int}, l_2 : \text{int} \otimes \text{int}\}$  are not well formed. In addition, types entirely composed of skip may be assigned the unrestricted kind  $\mathcal{S}^{\mathbf{u}}$ , whereas all other session types are assigned the linear kind  $\mathcal{S}^{\mathbf{l}}$ . An example of a well-formed unrestricted session type is  $\cdot \vdash \mu x.(\text{skip}; \text{skip}) :: \mathcal{S}^{\mathbf{u}}$ ; an example of a linear session type is  $\cdot \vdash (!\text{int}; \text{skip}); ?\text{int} :: \mathcal{S}^{\mathbf{l}}$ . Recursion variables and type variables occurring free in types must be defined in the kinding environment.

The language of types includes recursion, hence we must pay particular attention to *contractivity* [8]. A type  $T$  is *contractive on a recursion variable*  $x$  if  $\Delta \vdash_c T$  is derivable under an environment  $\Delta$  that does not contain  $x$ . The intuitive reading is that any use of recursion variable  $x$  must be preceded (i.e., guarded) by a type construction that is different from skip. The kinding system makes sure that well-formed types are contractive, by calling the contractivity system in the rule for  $\mu$ -types.

For example, types such as  $\mu x.(\text{skip}; x)$  or  $\mu x.(x; !\text{int})$  are not contractive whereas  $\mu x.(!\text{int}; x)$  is contractive. The interaction between the  $\mu$ -operator and the semicolon is nontrivial: the type  $\mu x.\mu y.(x; y)$  is ruled out because  $x$  is not guarded. However, the type  $\mu x.(!\text{int}; \mu y.(x; y))$  is contractive because unrolling  $\mu x$  reveals that the recursive occurrence of  $y$  is guarded:  $!\text{int}; \mu y.(\mu x.(!\text{int}; \mu y.(x; y))); y$ . Well-formedness of types is preserved under arbitrary unrolling of  $\mu$ -operators, a result that we discuss below.

The definition of contractivity incorporates type variables  $\alpha$  and recursion variables  $x$  by assuming that they are always replaced by types that behave differently from **skip**. Type variables must be restricted in this way because contractivity of  $\mu x.(\alpha; x)$  requires  $\alpha :: \kappa \vdash_c \alpha$  to be derivable so that  $\alpha :: \kappa \vdash_c \mu x.(\alpha; x)$  is derivable.

By abuse of notation let  $\mathcal{T}$  denote the set of closed, well-formed types, that is, of types  $T$  such that  $\Delta \vdash T :: \mathcal{T}^m$ , for some  $\Delta$  that does not bind recursion variables. To avoid notational overhead, we let  $S$  range over (closed) *session types* with the understanding that

$$\begin{array}{c}
\frac{T \sim T'}{\forall \alpha. T \sim \forall \alpha. T'} \quad \alpha \sim \alpha \quad B \sim B \\
\frac{T_1 \sim T'_1 \quad T_2 \sim T'_2}{T_1 \rightarrow T_2 \sim T'_1 \rightarrow T'_2} \quad \frac{T_1 \sim T'_1 \quad T_2 \sim T'_2}{T_1 \multimap T_2 \sim T'_1 \multimap T'_2} \\
\frac{T_1 \sim T'_1 \quad T_2 \sim T'_2}{T_1 \otimes T_2 \sim T'_1 \otimes T'_2} \quad \frac{(\forall i \in I) T_i \sim T'_i}{[l_i : T_i]_{i \in I} \sim [l_i : T'_i]_{i \in I}} \\
\frac{T[\mu x. T/x] \sim T'}{\mu x. T \sim T'} \quad \frac{T \sim T'[\mu x. T'/x]}{T \sim \mu x. T'}
\end{array}$$

**Figure 4.** Type equivalence lifted to all types

$$\begin{array}{c}
\text{skip} \checkmark \quad \frac{S_1 \checkmark \quad S_2 \checkmark}{S_1; S_2 \checkmark} \quad \frac{S[\mu x. S/x] \checkmark}{\mu x. S \checkmark} \\
A \xrightarrow{A} \text{skip} \quad \star \{l_i : S_i\}_{i \in I} \xrightarrow{\star l_j} S_j \\
\frac{S_1 \xrightarrow{a} S'_1}{S_1; S_2 \xrightarrow{a} S'_1; S_2} \quad \frac{S_1 \checkmark \quad S_2 \xrightarrow{a} S'_2}{S_1; S_2 \xrightarrow{a} S'_2} \quad \frac{S[\mu x. S/x] \xrightarrow{a} S'}{\mu x. S \xrightarrow{a} S'}
\end{array}$$

**Figure 5.** Labelled transition system for context free session types

$\Delta \vdash S :: S^m$ , for some  $\Delta$  that does not bind recursion variables. We also write  $S$  for the set of all such session types.

**Lemma 3.1** (Substitution and kind preservation).

- If  $\Delta, \alpha :: \kappa_1 \vdash T_2 :: \kappa_2$  and  $\Delta \vdash T_1 :: \kappa_1$ , then  $\Delta \vdash T_2[T_1/\alpha] :: \kappa_2$ .
- If  $\Delta, x :: \kappa_1 \vdash T_2 :: \kappa_2$  and  $\Delta \vdash T_1 :: \kappa_1$ , then  $\Delta \vdash T_2[T_1/x] :: \kappa_2$ .
- If  $\Delta \vdash \mu x. T :: \kappa$ , then  $\Delta \vdash T[\mu x. T/x] :: \kappa$ .

### 3.2 Type Equivalence

Type equivalence is nontrivial in our system because the session type sublanguage has a non-empty equational theory. This theory has two components. First, the skip and the sequence type constructors form a monoid and as such should respect the monoidal laws: skip is a left- and right-identity with respect to the sequence operator and the sequence operator is associative. Second, the reading of the  $\mu$ -operator is equirecursive, which means that a  $\mu$ -type is equal to its unrolling.

We concentrate on defining type equivalence for the session type fragment (and extend to a congruence with respect to the remaining type constructors, see Figure 4). Our approach relies on bisimulation. We regard two session types as equivalent if they exhibit the same communication behavior. Previous work follows a similar line, but syntactically restricts recursion to tail recursion which simplifies the definition of type equivalence and guarantees its decidability [10].

To define the bisimulation on session types, we first need to define a labelled transition system. Afterwards, we show that bisimilarity for this system is decidable by reduction to basic process algebra (BPA), a well-studied system [2, 7]. BPA is known for generating context-free processes, which fits well with our context-free session type framework.

In our labelled transition system, we consider the following primitive actions:

- $!B$  and  $?B$  for sending and receiving a base type value;
- $\oplus l$  and  $\& l$  for sending and receiving a label from a choice or branch type;

- $\alpha$  (type variable) for an unknown, but nontrivial behavior.

In the following, let  $A$  range over  $\alpha$ ,  $!B$ , and  $?B$ ; let  $\star$  range over  $\oplus$  and  $\&$ ; and let  $a$  range over both  $A$  and  $\star l$ . The labelled transition system is given the set of (well-formed) types  $\mathcal{T}$  as states, the set of *actions* ranged over by  $a$ , and the transition relation  $\xrightarrow{a}$  defined by the rules in Figure 5. The transition relation makes use of an auxiliary judgment  $S \checkmark$  that characterizes “terminated” session types that exhibit no further action [1]. The type skip has no action and a sequence  $S_1; S_2$  has no action only if both  $S_1$  and  $S_2$  have no action. A  $\mu$ -type has no action if that is the case for its body after unrolling. The definition of  $S \checkmark$  is terminating for well-formed types.

Apart from that, an  $A$  action reduces an  $A$  type to skip;  $\star l_i$  selects branch  $l_i$  in a branch or choice type; and the remaining transitions define the standard left-to-right behavior of the sequence operator as well as the unrolling of the  $\mu$ -operator.

**Lemma 3.2** (Progress). *For each well-formed closed  $S$ , either  $S \checkmark$  or  $\exists a, S'$  such that  $S \xrightarrow{a} S'$ .*

The labelled transition system is deterministic and thus image-finite and finitely branching, but it has infinite transition sequences ( $\mu x. !B; x \xrightarrow{!B} \mu x. !B; x \xrightarrow{!B} \dots$ ) as well as transition sequences that visit infinitely many different states ( $\mu y. ?B; y; \alpha \xrightarrow{?B} \mu y. ?B; y; \alpha; \alpha \xrightarrow{?B} \dots$ ).

Type bisimulation is defined in the standard way. We say that a binary relation  $\mathcal{R}$  on types is a *bisimulation* if, whenever  $S_1 \mathcal{R} S_2$ , for all  $a$  we have:

1. for all  $S'_1$  with  $S_1 \xrightarrow{a} S'_1$ , there is  $S'_2$  such that  $S_2 \xrightarrow{a} S'_2$  and  $S'_1 \mathcal{R} S'_2$ ;
2. the converse, on transitions from  $S_2$ ; i.e., for all  $S'_2$  with  $S_2 \xrightarrow{a} S'_2$ , there is  $S'_1$  such that  $S_1 \xrightarrow{a} S'_1$  and  $S'_1 \mathcal{R} S'_2$ .

*Bisimilarity*, written  $\sim$ , is the union of all bisimulations; thus  $S_1 \sim S_2$  holds if there is a bisimulation  $\mathcal{R}$  such that  $S_1 \mathcal{R} S_2$ . Basic properties of bisimilarity ensure that  $\sim$  is an equivalence relation, and that  $\sim$  is itself a bisimulation [20].

Two examples. Take  $S_1 \triangleq \mu x. \oplus \{l : \alpha, m : x\}$  and  $S_2 \triangleq \mu y. \oplus \{l : \text{skip}, m : y\}; \alpha$ . We can easily show that  $S_1 \sim S_2$  by exhibiting an appropriate bisimulation. Obviously the pair  $(S_1, S_2)$  must be in the relation. Then, using the rules in Figure 5, we conclude that  $S_1 \xrightarrow{\oplus l} \alpha$  and  $S_2 \xrightarrow{\oplus l} \alpha$ . Then we add pair  $(\alpha, \alpha)$  to the relation. Because  $\alpha$  reduces to skip, we add to the relation the pair  $(\text{skip}, \text{skip})$ . The other transition from  $(S_1, S_2)$  is by label  $\oplus m$ ; in this case we have  $S_1 \xrightarrow{\oplus m} S_1$  and  $S_2 \xrightarrow{\oplus m} S_2$ . The bisimulation we seek is then  $\{(S_1, S_2), (\alpha, \alpha), (\text{skip}, \text{skip})\}$ .

Now take  $T_1 \triangleq \mu x. ?B; x$  and  $T_2 \triangleq \mu y. ?B; y; y$ . We have  $T_1 \xrightarrow{?B} T_1 \xrightarrow{?B} T_1 \dots$ . We also have  $T_2 \xrightarrow{?B} T_2; \alpha \xrightarrow{?B} T_2; \alpha; \alpha \dots$ . Since these are the only available transitions, the relation  $\{(T_1, T_2; \alpha^n) \mid n \geq 0\}$  is a bisimulation and contains the pair  $(T_1, T_2)$  when  $n = 0$ .

We now briefly explore the algebraic theory of bisimilarity, beginning with some basic laws.

**Lemma 3.3** (Laws for terminated communication). *For well-formed closed  $S_1$  and  $S_2$ , if  $S_1 \checkmark$  and  $S_2 \checkmark$ , then  $S_1 \sim S_2$ .*

*Proof.* By Lemma 3.2, neither  $S_1$  nor  $S_2$  can make a step. Hence, the relation  $\{(S_1, S_2) \mid S_1 \checkmark, S_2 \checkmark\}$  is a bisimulation.  $\square$

$$\begin{array}{c}
\varepsilon \checkmark \quad \frac{E_1 \checkmark \quad E_2 \checkmark}{E_1; E_2 \checkmark} \quad \frac{E \checkmark}{x \checkmark} \quad x = E \in \Xi \\
a \xrightarrow{a} \varepsilon \quad \frac{E \xrightarrow{a} E'}{x \xrightarrow{a} E'} \quad x = E \in \Xi \\
\frac{E_1 \xrightarrow{a} E'_1}{E_1 + E_2 \xrightarrow{a} E'_1} \quad \frac{E_2 \xrightarrow{a} E'_2}{E_1 + E_2 \xrightarrow{a} E'_2} \\
\frac{E_1 \xrightarrow{a} E'_1}{E_1; E_2 \xrightarrow{a} E'_1; E_2} \quad \frac{E_1 \checkmark \quad E_2 \xrightarrow{a} E'_2}{E_1; E_2 \xrightarrow{a} E_2}
\end{array}$$

**Figure 6.** Labelled transition system for basic process algebra

**Lemma 3.4** (Laws for sequential composition).

$$\begin{aligned}
& \text{skip}; S \sim S \\
& S; \text{skip} \sim S \\
& (S_1; S_2); S_3 \sim S_1; (S_2; S_3) \\
& \star\{l_i : S_1\}; S_2 \sim \star\{l_i : S_1; S_2\}
\end{aligned}$$

*Proof.* Each law is proved by exhibiting a suitable bisimulation. For example, for the distributivity law we use the relation that contains the identity relation as well as all pairs of the form  $(\star\{l_i : S_1\}; S_2, \star\{l_i : S_1; S_2\})$ .  $\square$

Next we consider  $\mu$ -types and substitution.

**Lemma 3.5** (Laws for  $\mu$ -types).

$$\begin{aligned}
& \mu x. \mu y. S \sim \mu x. S[x/y] \\
& \mu x. S \sim S \quad \text{if } x \notin \text{free}(S) \\
& \mu x. S \sim \mu y. S[y/x] \\
& S[S'/x] \sim S[S''/x] \quad \text{if } S' \sim S'' \\
& \mu x. S \sim S[\mu x. S/x]
\end{aligned}$$

*Proof.* Again, each law is proved by exhibiting a suitable bisimulation. For example, for the first case we use the relation that contains the identity relation as well as all pairs of the form  $(\mu x. \mu y. S, \mu x. S[x/y])$  and  $(\mu y. S[\mu x. \mu y. S/x], \mu x. S[x/y])$ .  $\square$

**Lemma 3.6** (Type equivalence preserves kinding). *If  $\Delta \vdash T_1 :: \kappa$  and  $\Delta \vdash T_1 \sim T_2$  then  $\Delta \vdash T_2 :: \kappa$ .*

*Proof.* By coinduction on the proof of  $\Delta \vdash T_1 \sim T_2$ .  $\square$

### 3.3 Type Equivalence Is Decidable

It turns out that we can translate each well-formed session type into a guarded BPA (basic process algebra) process. The *expressions of recursive BPA processes* [2] are generated by the grammar

$$E ::= a \mid x \mid E_1 + E_2 \mid E_1; E_2 \mid \varepsilon$$

Here,  $a$  ranges over atomic actions,  $x$  over recursion variables,  $E_1 + E_2$  denotes nondeterministic choice,  $E_1; E_2$  stands for sequential composition, and  $\varepsilon$  stands for a terminated process. A *BPA process* is defined as a pair consisting of an expression and a finite system of recursive process equations

$$\Theta = (E_0, \{x_i = E_i \mid 1 \leq i \leq k\})$$

where the  $x_i$  are distinct and the  $E_i$  are BPA expressions with free variables in  $\{x_1, \dots, x_k\}$ . The behavior of a process is defined as the behavior of  $E_0$ .

A BPA expression is *guarded* if every variable occurrence is within the scope of an atomic action. A system  $\{x_i = E_i\}$  is

guarded, if each  $E_i$  is guarded. A guarded BPA process  $(E, \Xi)$  defines a *labelled transition system*. The transition relation is the least relation  $\xrightarrow{a}$  satisfying the rules in Figure 6. Sometimes we explicitly write  $(E, \Xi) \xrightarrow{a} (E', \Xi)$  if  $E \xrightarrow{a} E'$  using the equations in  $\Xi$ .

The reason for our detour to BPA is the following decidability result by Christensen and coworkers [7], which we take as the basis for proving decidability of type equivalence.

**Theorem 3.7.** *Bisimilarity is decidable for guarded BPA processes.*

To reduce session type equivalence to bisimilarity of BPA processes, we need to exhibit a translation from (well-formed) sessions types to guarded BPA processes and show that this translation itself is a bisimulation.

To this end, we define an unravelling function for a session type  $S$ ,  $\text{unr}(S)$ , recursively by cases on the structure of  $S$ .

$$\begin{aligned}
\text{unr}(\mu x. S) &= \text{unr}(S[\mu x. S/x]) \\
\text{unr}(S; S') &= \begin{cases} \text{unr}(S') & \text{unr}(S) = \text{skip} \\ (\text{unr}(S); S') & \text{unr}(S) \neq \text{skip} \end{cases} \\
\text{unr}(S) &= S \quad \text{for all other cases}
\end{aligned}$$

The function  $\text{unr}$  is well-defined and terminating by our assumption that the body of a recursive type is contractive.

To define the translation to BPA, we first show that, for a well-formed session type  $S$ ,  $\text{unr}(S)$  is guarded.

**Lemma 3.8** (Characterization of  $\text{unr}$ ). *Suppose that  $S$  is a well-formed closed session type. Then  $\text{unr}(S)$  is defined and yields either skip or a guarded type of the form  $O$  where*

$$O ::= A \mid \star\{l_i : S_i\}_{i \in I} \mid (O; S)$$

Now we define the translation of well-formed  $S$  to a BPA as follows. Assume that all recursion variable bindings are unique in the sense that the set  $\{\mu x_1. S_1, \dots, \mu x_n. S_n\}$  contains all distinct  $\mu$ -subterms of  $S$ . Furthermore assume that for any free recursion variable  $x_i \in \text{free}(\mu x_j. S_j)$  it holds that  $i < j$ . That is, the  $\mu$ -subterms are topologically sorted with respect to their lexical nesting.

Now define unrolled versions of the  $\mu$ -subterms that have no free recursion variables. As we are just unrolling recursion, replacing  $\mu_i. S_i$  by  $S'_i$  in  $S$  yields a term that is bisimilar to  $S$  (Lemma 3.5).

$$\begin{aligned}
S'_1 &= S_1[\mu x_1. S_1/x_1] \\
S'_2 &= S_2[\mu x_2. S_2/x_2][\mu x_1. S_1/x_1] \\
&\vdots \\
S'_n &= S_n[\mu x_n. S_n/x_n] \dots [\mu x_1. S_1/x_1]
\end{aligned}$$

Define the BPA process for  $S$  as follows.

$$\text{BPATop}(S) = (\text{BPA}(S), \{x_1 = \text{BPA}(\text{unr}(S'_1)), \dots, x_n = \text{BPA}(\text{unr}(S'_n))\})$$

$$\text{BPA}(\text{skip}) = \varepsilon$$

$$\text{BPA}(A) = A$$

$$\text{BPA}(\star\{l_i : S_i\}_{i \in I}) = \sum_{i \in I} \star l_i; \text{BPA}(S_i)$$

$$\text{BPA}(\mu x.S) = \begin{cases} \varepsilon & S\checkmark \\ x & \text{otherwise} \end{cases}$$

$$\text{BPA}(S_1; S_2) = \text{BPA}(S_1); \text{BPA}(S_2)$$

$$\text{BPA}(x) = x$$

It is deliberate that we do **not** unravel the top-level type  $S$  as this expression need not be guarded. All equations are translated from unraveled session types so that they are guaranteed to be guarded.

**Lemma 3.9.** *If  $\mu x.S$  is a closed subterm of well-formed  $S_0$  and not  $S\checkmark$ , then  $\text{BPA}(\text{unr}(S))$  is guarded with respect to  $\text{BPATop}(S_0)$ .*

*Proof.* By Lemma 3.8,  $\text{unr}(S)$  either yields skip or a term of the form  $O$ . The answer skip is ruled out by the assumption not  $S\checkmark$ . Hence, the translation of a type of shape  $O$  is guarded.  $\square$

It remains to show that  $S$  is bisimilar to its translation. Essentially, we want to prove that the function  $\text{BPATop}(\cdot)$  is a bisimulation when considered as a relation on states of transition systems.

**Lemma 3.10.** *Suppose that  $S$  is a well-formed closed session type. If  $\text{unr}(S) = \text{skip}$ , then  $S\checkmark$ .*

*Proof.* Induction on the number  $n$  of recursive calls to  $\text{unr}$ .

**Case**  $n = 0$ .  $S = \text{skip}$  and  $\text{skip}\checkmark$ .

**Case**  $n > 0$ .

**Subcase**  $\mu x.S$ .  $\text{unr}(\mu x.S) = \text{skip}$  because  $\text{unr}(S[\mu x.S/x]) = \text{skip}$ . By induction,  $S[\mu x.S/x]\checkmark$  and by applying the  $\mu\checkmark$  rule  $\mu x.S\checkmark$ .

**Subcase**  $S_1; S_2$ .  $\text{unr}(S_1; S_2) = \text{skip}$  because  $\text{unr}(S_1) = \text{unr}(S_2) = \text{skip}$ . By induction  $S_1\checkmark$  and  $S_2\checkmark$ . By the  $;\checkmark$  rule  $S_1; S_2\checkmark$ .  $\square$

**Lemma 3.11.** *Suppose that  $S$  is a well-formed closed session type. Then  $\text{BPATop}(S) \sim \text{BPATop}(\text{unr}(S))$ .*

*Proof.* Induction on the number  $n$  of recursive calls to  $\text{unr}$ .

**Case**  $n = 0$ . In this case,  $S$  must be skip,  $A$ , or  $\star\{l_i : S_i\}$  and the claim is immediate.

**Case**  $n > 0$ . There are two subcases.

**Subcase**  $\mu x.S$ . Then  $\text{BPATop}(\mu x.S) = (x, \dots)$  and there is an equation  $x = \text{BPA}(\text{unr}(S[\mu x.S/x]))$ . Now,  $x$  is obviously bisimilar to  $\text{BPA}(\text{unr}(S[\mu x.S/x]))$ .

**Subcase**  $S_1; S_2$ . If  $\text{unr}(S_1) = \text{skip}$ , then  $S_1\checkmark$  by Lemma 3.10, and hence  $\text{BPA}(S_1)\checkmark$ . Furthermore,  $\text{unr}(S_1; S_2) = \text{unr}(S_2)$  and, by induction,  $\text{BPATop}(S_2) \sim \text{BPATop}(\text{unr}(S_2))$ . The result follows because  $\text{BPATop}(S_1; S_2) = ((\text{BPA}(S_1); \text{BPA}(S_2)), \dots) \sim (\text{BPA}(S_2), \dots)$  and  $\text{BPATop}(\text{unr}(S_2)) = \text{BPATop}(\text{unr}(S_1; S_2))$ .

If  $\text{unr}(S_1) = S_u \neq \text{skip}$ , then  $\text{unr}(S_1; S_2) = S_u; S_2$ . By induction, we know that  $\text{BPATop}(S_1) \sim \text{BPATop}(S_u)$  and as bisimilarity is a congruence  $\text{BPATop}(S_1; S_2) \sim ((\text{BPA}(S_u); \text{BPA}(S_2)), \dots) = \text{BPATop}(\text{unr}(S_1; S_2))$ .  $\square$

**Lemma 3.12.** *Suppose that  $S$  is a well-formed closed session type. If  $S \xrightarrow{a} S'$ , then  $\text{BPATop}(S) \xrightarrow{a} \text{BPATop}(S')$ .*

*Proof.* By induction on  $S \xrightarrow{a} S'$ .

**Case**  $A \xrightarrow{A} \text{skip}$ . In this case  $\text{BPATop}(A) = (A, \emptyset) \xrightarrow{A} (\varepsilon, \emptyset) = \text{BPATop}(\text{skip})$ .

**Case**  $\star\{l_i : S_i\}_{i \in I} \xrightarrow{\star l_j} S_j$ , for  $j \in I$ . In this case

$$\begin{aligned} \text{BPATop}(\star\{l_i : S_i\}) &= \left( \sum_{i \in I} \star l_i; \text{BPA}(S_i), \overline{x_k = E_k} \right) \\ &\xrightarrow{\star l_j} (\text{BPA}(S_j), \overline{x_k = E_k \mid x_k \text{ reachable}}) \\ &= \text{BPATop}(S_j) \end{aligned}$$

**Case**  $\frac{S_1 \xrightarrow{a} S'_1}{S_1; S_2 \xrightarrow{a} S'_1; S_2}$ . In this case  $\text{BPATop}(S_1; S_2) = ((E_1; E_2), x_j = E_j)$  where  $E_i = \text{BPA}(S_i)$  for  $i = 1, 2$ . Because  $S_1 \xrightarrow{a} S'_1$ , we obtain by induction that  $\text{BPATop}(S_1) = (E_1, x_j = E_j \mid x_j \text{ reachable}) \xrightarrow{a} \text{BPATop}(S'_1) = (E'_1, \dots)$ . Therefore,

$$((E_1; E_2), \dots) \xrightarrow{a} ((E'_1; E_2), \overline{x_j = E_j}) = \text{BPATop}(S'_1; S_2)$$

**Case**  $\frac{S_1\checkmark \quad S_2 \xrightarrow{a} S'_2}{S_1; S_2 \xrightarrow{a} S'_1; S'_2}$ . Again,  $\text{BPATop}(S_1; S_2) = ((E_1; E_2), \dots)$  where  $E_i = \text{BPA}(S_i)$  for  $i = 1, 2$ . It is easy to see that  $S_1\checkmark$  implies  $\text{BPA}(S_1)\checkmark$ , that is,  $E_1\checkmark$ . Because  $S_2 \xrightarrow{a} S'_2$ , we obtain by induction that  $\text{BPATop}(S_2) = (E_2, \dots) \xrightarrow{a} \text{BPATop}(S'_2) = (E'_2, \dots)$ . Therefore,  $((E_1; E_2), \dots) \xrightarrow{a} (E'_2, \dots) = \text{BPATop}(S'_2)$ .

**Case**  $\frac{S[\mu x.S/x] \xrightarrow{a} S'}{\mu x.S \xrightarrow{a} S'}$ . In this case  $\text{BPATop}(\mu x.S) = (x, x_j = E_j)$  where  $x = x_i \in \overline{x_j}$  and  $E_i = \text{BPA}(\text{unr}(S[\mu x.S/x]))$ . By induction,  $\text{BPATop}(S[\mu x.S/x]) \xrightarrow{a} \text{BPATop}(S')$ , which proves the claim because  $\text{BPATop}(S[\mu x.S/x]) \sim (E_i, x_j = E_j)$  by Lemma 3.11.  $\square$

**Lemma 3.13.** *Suppose that  $S$  is a well-formed closed session type and that  $\text{BPATop}(\text{unr}(S)) \xrightarrow{a} \Theta'$ . Then  $S \xrightarrow{a} S'$  and  $\Theta' = \text{BPATop}(S')$ .*

*Proof.* By induction on the number  $n$  of recursive calls of  $\text{unr}$ .

**Case**  $n = 0$ .

**Subcase**  $S = \text{skip}$ . Contradictory.

**Subcase**  $S = A$ . Then  $a = A$ ,  $\Theta' = (\varepsilon, \emptyset)$ , and  $S' = \text{skip}$ .

**Subcase**  $S = \star\{l_i : S_i\}_{i \in I}$ . Then  $a = \star l_j$  with  $j \in I$ ,  $\Theta' = \text{BPATop}(S_j)$ , and  $S' = S_j$ .

**Case**  $n > 0$ .

**Subcase**  $S = S_1; S_2$ . If  $\text{unr}(S_1) = \text{skip}$ , then  $\text{unr}(S) = \text{unr}(S_2)$  with fewer than  $n$  calls. As  $\text{BPATop}(\text{unr}(S_2)) \xrightarrow{a} \Theta'$ , induction yields that  $S_2 \xrightarrow{a} S'$  and  $\Theta' = \text{BPATop}(S')$ . As  $\text{unr}(S_1) = \text{skip}$ , we know that  $S_1\checkmark$ . Hence,  $S_1; S_2 \xrightarrow{a} S'$  and  $\Theta' = \text{BPATop}(S')$ .

If  $\text{unr}(S_1) \neq \text{skip}$ , then consider  $\text{BPATop}(\text{unr}(S_1); S_2) \xrightarrow{a} (E', \overline{x_j = E_j})$  because  $\text{BPATop}(\text{unr}(S_1)) \xrightarrow{a} \Theta'_1$  where  $\Theta'_1 = (E'_1, x_j = E_j \mid x_j \text{ reachable})$ , so that induction yields some  $S'_1$  such that  $S_1 \xrightarrow{a} S'_1$  and  $\Theta'_1 = \text{BPATop}(S'_1)$ . Clearly,  $(S_1; S_2) \xrightarrow{a} (S'_1; S_2)$  and  $E' = E'_1; \text{BPA}(S_2)$ .

**Subcase**  $\mu x.S$ .  $\text{unr}(\mu x.S) = \text{unr}(S[\mu x.S/x])$  with one less invocation of  $\text{unr}$ . As  $\text{BPATop}(\text{unr}(S[\mu x.S/x])) \xrightarrow{a} \Theta'$ , induction yields that  $S[\mu x.S/x] \xrightarrow{a} S'$  with  $\Theta' = \text{BPATop}(S')$ .  $\square$

**Lemma 3.14.** *Suppose that  $S$  is a well-formed closed session type. If  $\text{BPATop}(S) \xrightarrow{a} \Theta'$ , then there is some  $S'$  such that  $S \xrightarrow{a} S'$  and  $\text{BPATop}(S') = \Theta'$ .*



$v ::= \text{send} \mid \text{receive} \mid () \mid \lambda a.e \mid (v, v) \mid \text{in } l v$   
 $e ::= v \mid a \mid \text{new} \mid ee \mid \text{fix } a.e \mid (e, e) \mid \text{in } l e$   
 $\quad \mid \text{let } a, b = e \text{ in } e \mid \text{fork } e \mid \text{match } e \text{ with } [l_i \rightarrow e_i]_{i \in I}$   
 $\quad \mid \text{select } l e \mid \text{case } e \text{ of } \{l_i \rightarrow e_i\}_{i \in I}$   
 $p ::= e \mid p \mid p \mid (\nu a, b)p$

**Figure 7.** Values, expressions, and processes

*Proof.* By induction on  $S$ .

**Case skip.** Contradictory:  $\Theta = (\varepsilon, \emptyset)$  cannot step.

**Case A.**  $\Theta = (A, \emptyset) \xrightarrow{a} (\varepsilon, \emptyset)$  and  $A \xrightarrow{a} \text{skip} =: S'$ .

**Case**  $\star\{l_i : S_i\}$ .

$$\Theta = (\sum \star l_i; \text{BPA}(S_i), \overline{x_j = E_j})$$

$$\xrightarrow{\star l_i} (\text{BPA}(S_i), \overline{x_j = E_j} \mid x_j \text{ reachable from } \text{BPA}(S_i))$$

and  $\star\{l_i : S_i\} \xrightarrow{\star l_i} S_i =: S'$ .

**Case**  $S_1; S_2$ .

$$\Theta = (\text{BPA}(S_1; S_2), \overline{x_j = E_j})$$

$$= (\text{BPA}(S_1); \text{BPA}(S_2), \overline{x_j = E_j})$$

There are two cases. Either  $(\text{BPA}(S_1), \overline{x_j = E_j})$  can make a step or  $\text{BPA}(S_1) \checkmark$  and  $(\text{BPA}(S_2), \overline{x_j = E_j})$  can make a step.

If  $(\text{BPA}(S_1), \overline{x_j = E_j}) \xrightarrow{a} (E'_1, \overline{x_j = E_j} \mid x_j \text{ reachable})$ , then  $S_1 \xrightarrow{a} S'_1$  and  $(E'_1, \overline{x_j = E_j} \mid x_j \text{ reachable}) = \text{BPATop}(S'_1)$ , by induction. Now we obtain that

$$((\text{BPA}(S_1); \text{BPA}(S_2)), \overline{x_j = E_j})$$

$$\xrightarrow{a} ((E'_1; \text{BPA}(S_2)), \overline{x_j = E_j} \mid x_j \text{ reachable})$$

$$= \text{BPATop}(S'_1; S_2)$$

Choose  $S' = S'_1; S_2$ .

If  $\text{BPA}(S_1) \checkmark$  and  $\text{BPATop}(S_2) \xrightarrow{a}$   $(E'_2, \overline{x_j = E_j} \mid x_j \text{ reachable})$ , then  $S_1 \checkmark$  and  $S_2 \xrightarrow{a} S'_2$  and  $(E'_2, \overline{x_j = E_j} \mid x_j \text{ reachable}) = \text{BPA}(S'_2)$ , by induction. Now,  $\text{BPATop}(S_1; S_2) \xrightarrow{a} \text{BPA}(S'_2)$ . Choose  $S' = S'_2$ .

**Case**  $\mu x.S$ .

$\Theta = \text{BPATop}(\mu x.S) = (x, \overline{x_j = E_j})$  where  $x = x_i \in \overline{x_j}$ . If  $\Theta \xrightarrow{a} \Theta'$ , then it must be because there is an equation  $x_i = E_i$  and  $\Theta_i := (E_i, \overline{x_j = E_j}) \xrightarrow{a} \Theta'$ . By definition of  $\text{BPATop}()$  it must be that  $E_i = \text{BPA}(\text{unr}(S[\mu x.S/x]))$  and  $\Theta_i = \text{BPATop}(\text{unr}(S[\mu x.S/x]))$ .

Use Lemma 3.13 to establish the claim.  $\square$

**Theorem 3.15.** Suppose that  $S$  is a well-formed closed session type and let  $\Theta = \text{BPATop}(S)$ .

1. If  $S \xrightarrow{a} S'$ , then  $\Theta \xrightarrow{a} \Theta'$  with  $\Theta' = \text{BPATop}(S')$ .
2. If  $\Theta \xrightarrow{a} \Theta'$ , then  $S \xrightarrow{a} S'$  with  $\Theta' = \text{BPATop}(S')$ .

*Proof.* By Lemmas 3.12 and 3.14.  $\square$

## 4. Processes, Statics, and Dynamics

This section introduces our programming language, its static and dynamic semantics. It shows that typing is preserved by reduction and concludes by showing that our type system is a conservative extension of that of a conventional functional session type language.

$(\lambda a.e)v \rightarrow e[v/a] \quad \text{let } a, b = (u, v) \text{ in } e \rightarrow e[u/a][v/b]$   
 $\text{match}(\text{in } l_j v) \text{ with } [l_i \rightarrow e_i] \rightarrow e_j v \quad \text{fix } a.e \rightarrow e[\text{fix } a.e/a]$   
 $\frac{e_1 \rightarrow e_2}{E[e_1] \rightarrow E[e_2]} \quad E[\text{fork } e] \rightarrow E[()] \mid e$   
 $E[\text{new}] \rightarrow (\nu a, b)E[(a, b)]$   
 $(\nu a, b)(E_1[\text{send } v a] \mid E_2[\text{receive } b]) \rightarrow (\nu a, b)(E_1[a] \mid E_2[(v, b)])$   
 $(\nu a, b)(E_1[\text{select } l_j a] \mid E_2[\text{case } b \text{ of } \{l_i \rightarrow e_i\}]) \rightarrow$   
 $(\nu a, b)(E_1[a] \mid E_2[e_j b])$   
 $\frac{p \rightarrow p'}{p \mid q \rightarrow p' \mid q} \quad \frac{p \rightarrow p'}{(\nu a, b)p \rightarrow (\nu a, b)p'} \quad \frac{p \equiv q \quad q \rightarrow q'}{p \rightarrow q'}$

Context  $E_1$  (resp.  $E_2$ , resp.  $E$ ) does not bind  $a$  (resp.  $b$ , resp.  $a$  and  $b$ ).

Dual  $(\nu b, a)$  rules for send/receive and select/case omitted.

**Figure 8.** Reduction relation

### 4.1 Expressions and Processes

Fix a base set of *term variables*, disjoint from those introduced before. Let  $a, b$  range over this set. The syntax of values, expressions, and processes is described in Figure 7.

*Expressions*, denoted by metavariable  $e$ , incorporate a *standard functional core* composed of term variables  $a$ , abstraction introduction  $\lambda a.e$  and elimination  $ee$ , pair introduction  $(e, e)$  and elimination  $\text{let } a, b = e \text{ in } e$ , datatype introduction  $\text{in } l e$  and elimination  $\text{match } e \text{ with } [l_i \rightarrow e_i]_{i \in I}$ , as well as a fixed point construction  $\text{fix } e$ . Further expressions support the usual *session operators*, in the form of channel creation  $\text{new}$ , message sending  $\text{send}$  and receiving  $\text{receive}$ , internal choice (or label selection)  $\text{select } l e$ , and external choice (or branching)  $\text{case } e \text{ of } \{l_i \rightarrow e_i\}_{i \in I}$ . Concurrency arises from a fork operator, spawning a new process.

*Processes*, denoted by metavariable  $p$ , are expressions  $e$ , the parallel composition of two processes  $p \mid q$ , and the scope restriction  $(\nu a, b)p$  of a channel described by its two end points,  $a$  and  $b$ .

### 4.2 Operational Semantics

The *binding* occurrences for term variables  $a$  and  $b$  are expressions  $\lambda a.e$ ,  $\text{fix } a.e$ , and  $\text{let } a, b = e_1 \text{ in } e_2$ . The sets of free and bound term variables are defined accordingly, and so is the *capture avoiding substitution* of a variable  $a$  by a value  $v$  in a term  $e$ , denoted by  $e[v/a]$ .

The operational semantics makes use of a *structural congruence* relation on processes,  $\equiv$ , defined as the smallest congruence relation that includes the commutative monoidal rules—binary operator  $_ \mid _$  and any value for neutral—and scope extrusion:

$$(\nu a, b)p \mid q \equiv (\nu a, b)(p \mid q) \quad \text{if } a, b \text{ not free in } q$$

The operational semantics is call-by-value: expressions are reduced to values before being “applied”. The syntax of values is in Figure 7, and includes the values  $\text{send}$ ,  $\text{receive}$ , unit, lambda abstraction, pair of values, and injection of a value in a sum type. The semantics combines a standard reduction relation for the functional part with an also standard message passing semantics of the  $\pi$ -calculus. The rules are in Figure 8.

The first four axioms are standard in functional call-by-value languages, and comprise  $\beta$ -reduction, (linear) pair elimination, data type elimination, and fixed-point unrolling. The first rule in the figure allows reduction to happen underneath (functional) evaluation

contexts  $E$  as defined by the grammar below.

$$E ::= [] \mid (E, e) \mid (v, E) \mid Ee \mid vE \mid \text{let } a, b = E \text{ in } e \\ \mid \text{case } E \text{ of } \{l_i \rightarrow e_i\} \mid \text{select } l E \\ \mid \text{match } E \text{ with } [l_i \rightarrow e_i] \mid \text{in } l E$$

The fork operator creates new threads: the expression  $\text{fork } e$  evaluates to  $()$ , the unit value, while creating a new thread to run expression  $e$  concurrently.

The next three axioms in the figure deal with session operations. The new operator creates a new channel. Channels are denoted by their two end points,  $a$  and  $b$  in this case. We require that context  $E$  does not bind variables  $a, b$ , so that these are bound by the outermost channel binding,  $(\nu a, b)$ .

The send-receive rule captures message passing: the sending process writes value  $v$  in channel end point  $a$  whereas the receiving process reads it from channel end point  $b$ . That the pair  $a$ - $b$  forms the two end points of a channel is captured by the outermost  $(\nu a, b)$  binding. The result of sending a value on channel end  $a$  is  $a$  itself; that of receiving on  $b$  is the pair  $(v, b)$ . In this way both threads are able to use their channel ends for further interaction. This “rebinding” of channel ends provide for a standard treatment of  $a$  and  $b$  as linear values. It is also the type system that makes sure that, in a given process, there is exactly one thread holding a copy of a given channel end, thus allowing a simplified reduction rule where one finds exactly two threads underneath channel binder  $(\nu a, b)$ .

The rule for select-case is similar in spirit. One thread selects an  $l$ -labelled option on a channel end, whereas another offers a choice on the other channel end. After successful interaction, the selecting thread is left with its channel end,  $a$ , whereas the choice-offering thread is left with an application of the branch that was selected,  $e_j$ , to its channel end,  $b$ .

The remaining three rules are standard in the  $\pi$ -calculus. The first two allow reduction underneath parallel composition and scope restriction; the last incorporates structural congruence in the reduction relation.

As an example consider an expression that creates a new channel, forks a thread that writes an integer on the channel and reads back its successor. The original thread, in turn, waits for an integer value and writes back its successor. We depict the reduction below, where we make use of the conventional semicolon operator  $e_1; e_2$  defined as  $(\lambda a. e_2)e_1$ , where  $a$  is a variable not occurring free in  $e_2$ . We also write  $\text{let } a = e_1 \text{ in } e_2$  for  $(\lambda a. e_2)e_1$ .

$$\begin{aligned} & \text{let } a, b = \text{new in fork (let } c = \text{send } 5 \text{ a in receive } c); \\ & \quad \text{let } n, d = \text{receive } b \text{ in send } (n + 1) d \rightarrow \\ & \quad (\nu e, f) \text{let } a, b = (e, f) \text{ in } \dots \rightarrow \\ & (\nu e, f) \text{fork (let } c = \text{send } 5 \text{ e in } \dots); \text{let } n, d = \text{receive } f \text{ in } \dots \rightarrow \rightarrow \\ & \quad (\nu e, f) (\text{let } c = \text{send } 5 \text{ e in } \dots \mid \text{let } n, d = \text{receive } f \text{ in } \dots) \rightarrow \\ & \quad (\nu e, f) (\text{let } c = e \text{ in } \dots \mid \text{let } n, d = (5, f) \text{ in } \dots) \rightarrow \rightarrow \\ & \quad (\nu e, f) (\text{receive } e \mid \text{send } (5 + 1) f) \rightarrow \\ & \quad (\nu e, f) ((e, 6) \mid f) \end{aligned}$$

We complete this section by discussing the notion of *run-time errors*. The *subject* of an expression  $e$ , denoted by  $\text{subj}(e)$ , is  $a$  in the following cases and undefined in all other cases.

$$\text{send } e \text{ a} \quad \text{receive } a \quad \text{select } e \text{ a} \quad \text{case } a \text{ of } e$$

We say that two expressions  $e_1$  and  $e_2$  *agree* on channel  $ab$ , denoted  $\text{agree}^{ab}(e_1, e_2)$ , in the following four cases.

- $\text{agree}^{ab}(\text{send } e \text{ a}, \text{receive } b)$ ;
- $\text{agree}^{ab}(\text{receive } a, \text{send } b \text{ e})$ ;
- $\text{agree}^{ab}(\text{select } l_j \text{ a}, \text{case } b \text{ of } l_i \rightarrow e_{ii \in I})$  and  $j \in I$ ;

Context formation,  $\Delta \vdash \Gamma$

$$\Delta \vdash \cdot \quad \frac{\Delta \vdash \Gamma \quad \Delta \vdash T :: k}{\Delta \vdash \Gamma, x : T}$$

Context splitting,  $\Delta \vdash \Gamma = \Gamma \circ \Gamma$

$$\begin{aligned} & \Delta \vdash \cdot = \cdot \circ \cdot \quad \frac{\Delta \vdash \Gamma = \Gamma_1 \circ \Gamma_2 \quad \text{un}_\Delta(T)}{\Delta \vdash \Gamma, x : T = (\Gamma_1, x : T) \circ (\Gamma_2, x : T)} \\ & \frac{\Delta \vdash \Gamma = \Gamma_1 \circ \Gamma_2 \quad \text{lin}_\Delta(T)}{\Delta \vdash \Gamma, x : T = (\Gamma_1, x : T) \circ \Gamma_2} \quad \frac{\Delta \vdash \Gamma = \Gamma_1 \circ \Gamma_2 \quad \text{lin}_\Delta(T)}{\Delta \vdash \Gamma, x : T = \Gamma_1 \circ (\Gamma_2, x : T)} \end{aligned}$$

Figure 9. Typing context formation and splitting

- $\text{agree}^{ab}(\text{case } a \text{ of } l_i \rightarrow e_{ii \in I}, \text{select } l_j \text{ b})$  and  $j \in I$ .

A closed process is an *error* if it is structurally congruent to some process that contains a subexpression or subprocess of one of the following forms.

1.  $\text{let } a, b = v \text{ in } e$  and  $v$  is not a pair;
2.  $\text{match } v \text{ with } [l_i \rightarrow e_i]_{i \in I}$  and  $v \neq (\text{in } l_j \text{ } v')$  for some  $v'$  and some  $j \in I$ ;
3.  $E_1[e_1] \mid E_2[e_2]$  and  $\text{subj}(e_1) = \text{subj}(e_2) = a$ , where neither  $E_1$  nor  $E_2$  bind  $a$ ;
4.  $(\nu a, b)(E_1[e_1] \mid E_2[e_2] \mid p)$  and  $\text{subj}(e_1) = a$  and  $\text{subj}(e_2) = b$  and  $\neg \text{agree}^{ab}(e_1, e_2)$ , where  $E_1$  does not bind  $a$  and  $E_2$  does not bind  $b$ .

The first two cases are typical of functional languages with pairs and datatypes. The third case guarantees that no two threads hold references to the same channel end (the fact that the process is closed and that the contexts do not bind variable  $a$  ensure that  $a$  is a channel end). The fourth case says that channel ends agree at all times: if one thread is ready for sending, then the other is ready for receiving, and similarly for selection and branching.

### 4.3 Type Assignment System

*Duality* is a central notion in session types. It allows to “switch” the point of view from one end of a channel (say, the client side) to the other (the server side). The duality function on session types,  $\bar{\cdot}$ , is defined as follows.

$$\begin{aligned} \bar{\alpha} &= \alpha & \bar{\text{skip}} &= \text{skip} & \bar{!B} &= ?B & \bar{?B} &= !B \\ \bar{\&\{l_i : S_i\}} &= \oplus\{l_i : \bar{S}_i\} & \bar{\oplus\{l_i : S_i\}} &= \&\{l_i : \bar{S}_i\} \\ \bar{S_1; S_2} &= \bar{S}_1; \bar{S}_2 & \bar{\mu x. S} &= \mu x. \bar{S} & \bar{x} &= x \end{aligned}$$

This simple definition is justified by the fact that the types we consider are first order, thus avoiding a complication known to arise in the presence of recursion [3].

To check whether  $S_1$  is dual to  $S_2$  we compute  $S_3 = \bar{S}_1$  and check  $S_2$  and  $S_3$  for equivalence. Duality is clearly an involution ( $\bar{\bar{S}} = S$ ), hence we can alternatively compute  $\bar{S}_2$  and check that  $S_1$  is equivalent to  $\bar{S}_2$ . For example, to check that  $!B; \mu x. (\text{skip}; !B; x)$  is dual to  $\mu y. (?B; y)$ , we compute  $\bar{\mu y. (?B; y)}$  to obtain  $\mu y. (!B; y)$ , and check that this type is equivalent to  $!B; \mu x. (\text{skip}; !B; x)$ .

We can easily show that duality preserves kinding.

**Lemma 4.1.** *If  $\Delta \vdash S :: \kappa$ , then  $\Delta \vdash \bar{S} :: \kappa$ .*

*Proof.* By rule induction on the premise. □

Typing contexts are generated by the following grammar.

$$\Gamma ::= \cdot \mid \Gamma, a : T$$

Typing for expressions,  $\Delta; \Gamma \vdash e : T$

$$\begin{array}{c}
\frac{\Delta \vdash \Gamma \quad \text{un}_\Delta(\Gamma) \quad \Delta \vdash \Gamma \quad \text{un}_\Delta(\Gamma) \quad \Delta \vdash \vec{T} :: \vec{\kappa}}{\Delta; \Gamma \vdash () : \text{unit}} \quad \frac{\Delta; \Gamma, a : \forall \vec{\alpha} :: \vec{\kappa}. T \vdash a : T[\vec{T}/\vec{\alpha}]}{\Delta, \vec{\alpha} :: \vec{\kappa}; \Gamma_1 \vdash e_1 : T_1 \quad \Delta; \Gamma_2, a : \forall \vec{\alpha} :: \vec{\kappa}. T_1 \vdash e_2 : T \quad \vec{\alpha} \notin \Delta} \\
\frac{\Delta; \Gamma_1 \circ \Gamma_2 \vdash \text{let } a = e_1 \text{ in } e_2 : T}{\Delta; \Gamma_1 \vdash e_1 : T_1 \quad \Delta; \Gamma_2, a : \forall \vec{\alpha} :: \vec{\kappa}. T \vdash e : T \quad \text{un}_\Delta(\Gamma) \quad \vec{\alpha} \notin \Delta} \\
\frac{\Delta; \Gamma \vdash \text{fix } a. e : \forall \vec{\alpha} :: \vec{\kappa}. T}{\Delta; \Gamma, a : T_1 \vdash e : T_2 \quad \text{un}_\Delta(\Gamma) \quad \Delta \vdash T_1, T_2 :: \mathcal{T}^1} \\
\frac{\Delta; \Gamma \vdash \lambda a. e : T_1 \rightarrow T_2}{\Delta; \Gamma, a : T_1 \vdash e : T_2 :: \mathcal{T}^1 \quad \Delta \vdash T_1, T_2 :: \mathcal{T}^1} \\
\frac{\Delta; \Gamma_1 \vdash e_1 : T_1 \rightarrow T_2 \quad \Delta; \Gamma_2 \vdash e_2 : T_1}{\Delta; \Gamma_1 \circ \Gamma_2 \vdash e_1 e_2 : T_2} \\
\frac{\Delta; \Gamma_1 \vdash e_1 : T_1 \rightarrow T_2 \quad \Delta; \Gamma_2 \vdash e_2 : T_1}{\Delta; \Gamma_1 \circ \Gamma_2 \vdash e_1 e_2 : T_2} \\
\frac{\Delta; \Gamma_1 \vdash e_1 : T_1 \quad \Delta; \Gamma_2 \vdash e_2 : T_2 \quad \Delta \vdash T_1, T_2 :: \mathcal{T}^1}{\Delta; \Gamma_1 \circ \Gamma_2 \vdash (e_1, e_2) : T_1 \otimes T_2} \\
\frac{\Delta; \Gamma_1 \vdash e_1 : T_1 \otimes T_2 \quad \Delta; \Gamma_2, a : T_1, b : T_2 \vdash e_2 : U}{\Delta; \Gamma_1 \circ \Gamma_2 \vdash \text{let } a, b = e_1 \text{ in } e_2 : U} \\
\frac{\Delta; \Gamma \vdash e : T_j \quad j \in I \quad \Delta \vdash T_i :: \mathcal{T}^1}{\Delta; \Gamma \vdash \text{in } l_j e : [l_i : T_i]_{i \in I}} \\
\frac{\Delta; \Gamma_1 \vdash e : [l_i : T_i] \quad \Delta; \Gamma_2 \vdash e_i : T_i \rightarrow T}{\Delta; \Gamma_1 \circ \Gamma_2 \vdash \text{match } e \text{ with } [l_i : e_i] : T} \\
\frac{\Delta \vdash \Gamma \quad \Delta \vdash T :: \mathcal{S}^1 \quad \Delta \vdash \Gamma \quad \Delta \vdash T :: \mathcal{S}^1}{\Delta; \Gamma \vdash \text{new} : T \otimes \bar{T} \quad \Delta; \Gamma \vdash \text{send} : B \rightarrow !B; T \rightarrow T} \\
\frac{\Delta \vdash \Gamma \quad \Delta \vdash T :: \mathcal{S}^1}{\Delta; \Gamma \vdash \text{receive} : ?B; T \rightarrow (B \otimes T)} \\
\frac{\Delta; \Gamma \vdash e : \oplus \{l_i : T_i\}_{i \in I} \quad j \in I}{\Delta; \Gamma \vdash \text{select } l_j e : T_j} \\
\frac{\Delta; \Gamma_1 \vdash e : \& \{l_i : T_i\} \quad \Delta; \Gamma_2 \vdash e_i : T_i \rightarrow T}{\Delta; \Gamma_1 \circ \Gamma_2 \vdash \text{case } e \text{ of } l_i : e_i : T} \\
\frac{\Delta; \Gamma \vdash e : T \quad \text{un}_\Delta(T) \quad \Delta; \Gamma \vdash e : T_1 \quad \Delta \vdash T_1 \sim T_2}{\Delta; \Gamma \vdash \text{fork } e : \text{unit} \quad \Delta; \Gamma \vdash e : T_2}
\end{array}$$

Typing for processes,  $\Delta; \Gamma \vdash p$

$$\begin{array}{c}
\frac{\Delta; \Gamma \vdash e : T \quad \text{un}_\Delta(T)}{\Delta; \Gamma \vdash e} \\
\frac{\Delta; \Gamma_1 \vdash P_1 \quad \Delta; \Gamma_2 \vdash P_2 \quad \Delta; \Gamma, a : T, b : \bar{T} \vdash p \quad \Delta \vdash T :: \mathcal{S}^1}{\Delta; \Gamma_1 \circ \Gamma_2 \vdash p_1 \mid p_2 \quad \Delta; \Gamma \vdash (\nu a, b)p}
\end{array}$$

**Figure 10.** Typing for expressions and processes

As before we consider contexts up to reordering of their entries. The  $\text{un}_\Delta$  predicate, on types  $T$  defined on  $\Delta$ , is an abbreviation of  $\Delta \vdash T :: v^u$ . The  $\text{un}_\Delta$  predicate is also true of contexts of the form  $x_1 : T_1, \dots, x_n : T_n$  if it is true of all types  $T_1, \dots, T_n$ . We often omit the  $\Delta$  in  $\text{un}_\Delta$  when it is clear from the context.

We expect all types in typing contexts to be well formed, a notion captured by judgement  $\Delta \vdash \Gamma$  whose rules can be found in Figure 9.

Linear variables must be split between the different subterms to ensure that each variable is used once. Figure 9 defines a relation  $\Delta \vdash \Gamma = \Gamma_1 \circ \Gamma_2$ , which describes how to split a context  $\Gamma$  into two contexts  $\Gamma_1$  and  $\Gamma_2$  that will be used in different subterms in rule premisses [24].

Figure 10 contains the typing rules for expressions and for processes. Judgments for expressions and processes take the usual forms of  $\Delta; \Gamma \vdash e : T$  and  $\Delta; \Gamma \vdash p$ . We describe the rules briefly.

The first group of rules deals with the functional part of the language. The rule for the unit value requires a context free from term variables. If needed, unrestricted term variables are introduced by an explicit weakening rule. The typing rule for variables reads the type of the variable from the context. We require that the term context contains no other entry, and that the type is well-formed against  $\Delta$ , ensuring that types introduced in a derivation are well-formed. The rule for the fixed point is standard.

The type system comprises rules for the introduction and the elimination of unrestricted ( $\rightarrow$ ) and linear ( $\multimap$ ) functions. The elimination rules are standard. In the introduction of linear functions the term context is split in two parts, one to type the function  $e_1$ , the other to type the argument  $e_2$ .

The next four rules are all standard and provide for the introduction and the elimination of pairs ( $T_1 \otimes T_2$ ) and variants ( $[l_i : T_i]$ ). The rules for the introduction and elimination of type abstraction are also conventional; the extra premisses on kindings are meant to ensure that types introduced in derivations are well-formed.

We now come to the channel communication rules. The rule for channel creation introduces a pair of dual session types, one for each end point. The send operator is a function that expects a value to be sent  $B$ , then a channel on which to send the value  $!B; T$ , and returns the rest of the channel  $T$ . The receive operator expects a channel from which a value can be read  $?B; T$  and returns a pair composed of the value and the rest of the channel,  $B \otimes T$ . The premisses ensure that  $T$  is a session type. The rule for label selection requires that expression  $e$  denotes a channel offering an internal choice,  $\oplus \{l_i : T_i\}$ . Expression  $\text{select } l_j e$  evaluates to the rest of the channel, hence its type is  $T_j$ . A case expression expects a channel offering an external choice,  $\& \{l_i : T_i\}$ . The expression in each branch must be function expecting the rest of the channel  $T_i$ . All such functions must produce a value of a common type  $T$ , which becomes the type of the case expression.

The rule for fork requires the expression to be of an unrestricted type, for the value the expression evaluates to will never be consumed.

The last three rules are structural. The first two —weakening and copy (or contraction)—manipulate the term context. In both cases we require the type to be unrestricted. The last rule incorporates type equivalence in the typing relation.

The rules for processes should be easy to understand. An expression, when seen as a process must be of an unrestricted type. This implies that linear resources, channels in particular, are fully consumed. The rule for parallel composition splits the context in two, using one part for each process. Finally, the rule for channel creation introduces two entries in the context, of types dual to each other, one for each end of the channel.

We complete this section with a result that relates the type system to the kinding system.

**Lemma 4.2 (Agreement).** *If  $\Delta; a_1 : T_1, \dots, a_n : T_n \vdash e : T_0$ , then, for all  $0 \leq i \leq n$ , there are kinds  $\kappa_i$  such that  $\Delta \vdash T_i :: \kappa_i$ .*

*Proof.* By rule induction on the premise using the various kinding preservation lemmas (3.1, 3.6, and 4.1).  $\square$

#### 4.4 Soundness and Type Safety

The proofs for the two results of this section follow a conventional approach: we first establish lemmas for strengthening, weakening, substitution, sub-derivation manipulation, and inversion of the typing relation. Soundness (Theorem 4.10) follows by rule induction on the reduction step, and type safety (Theorem 4.11) follow by an analysis of the typing derivation.

**Lemma 4.3** (Strengthening). *If  $\Delta; \Gamma, a : T \vdash p$  and  $a$  not free in  $p$ , then  $\Delta; \Gamma \vdash p$  and  $\text{un}_\Delta(T)$ .*

*Proof.* By rule induction on the first premise.  $\square$

**Lemma 4.4** (Weakening). *If  $\Delta; \Gamma \vdash p$  and  $\Delta \vdash T :: v^a$ , then  $\Delta; \Gamma, a : T \vdash p$ .*

*Proof.* By rule induction on the first premise.  $\square$

**Lemma 4.5** (Congruence). *If  $\Delta; \Gamma \vdash p$  and  $p \equiv q$ , then  $\Delta; \Gamma \vdash q$ .*

*Proof.* By rule induction on the first premise, using strengthening (Lemma 4.3).  $\square$

**Lemma 4.6** (Substitution). *If  $\Delta; \Gamma_1, a : T_2 \vdash e_1 : T_1$  and  $\Delta; \Gamma_2 \vdash e_2 : T_2$ , then  $\Delta; \Gamma_1, \Gamma_2 \vdash e_1[e_2/a] : T_1$ .*

*Proof.* By rule induction on the first premise.  $\square$

The following two lemmas are adapted from [11].

**Lemma 4.7** (Sub-derivation introduction). *If  $\mathcal{D}$  is a derivation of  $\Delta; \Gamma \vdash E[e] : T$ , then there exist  $\Gamma_1, \Gamma_2$  and  $U$  such that  $\Gamma = \Gamma_1, \Gamma_2$  and  $\mathcal{D}$  has a sub-derivation  $\mathcal{D}'$  concluding  $\Delta; \Gamma_2 \vdash e : U$  and the position of  $\mathcal{D}'$  in  $\mathcal{D}$  corresponds to the position of the hole in  $E$ .*

**Lemma 4.8** (Sub-derivation elimination). *If*

- $\mathcal{D}$  is a derivation of  $\Delta; \Gamma_1, \Gamma_2 \vdash E[e] : T$ ,
- $\mathcal{D}'$  is a sub-derivation of  $\mathcal{D}$  concluding  $\Delta; \Gamma_2 \vdash e : U$ ,
- the position of  $\mathcal{D}'$  in  $\mathcal{D}$  corresponds to the position of the hole in  $E$ ,
- $\Delta; \Gamma_3 \vdash e_2 : U$ ,
- $\Gamma_1, \Gamma_3$  is defined,

then  $\Delta; \Gamma_1, \Gamma_3 \vdash E[e_2] : T$ .

**Lemma 4.9** (Inversion of the expression typing relation).

- If  $\Delta; \Gamma \vdash e_1 e_2 : T$ , then  $\Gamma = \Gamma_1 \circ \Gamma_2$  with  $\Delta; \Gamma_2 \vdash e_2 : T_1$  and  $\Delta \vdash T_2 \sim T$  and either
  - $\Delta; \Gamma_1 \vdash e_1 : T_1 \multimap T_2$ ; or
  - $\Delta; \Gamma_1 \vdash e_1 : T_1 \rightarrow T_2$ .
- If  $\Delta; \Gamma \vdash \lambda a. e : T$ , then  $\Delta; \Gamma, a : T_1 \vdash e : T_2$  and  $\Delta \vdash T_1, T_2 :: \mathcal{T}^1$  either
  - $\text{un}_\Delta(\Gamma_1)$  and  $\Delta \vdash T_1 \rightarrow T_2 \sim T$ ; or
  - $\Delta \vdash T_1 \multimap T_2 \sim T$ .
- If  $\Delta; \Gamma \vdash \text{let } a, b = e_1 \text{ in } e_2 : T$ , then  $\Gamma = \Gamma_1 \circ \Gamma_2$  and  $\Delta; \Gamma_1 \vdash e_1 : T_1$ ,  $\Delta; \Gamma_2 \vdash e_2 : T_2$  and  $\Delta \vdash T \sim U$  and  $\Delta; \Gamma_2, a : T_1, b : T_2 \vdash e_2 : U$ .
- If  $\Delta; \Gamma \vdash (e_1, e_2) : T$ , then  $\Gamma = \Gamma_1 \circ \Gamma_2$  with  $\Delta; \Gamma_1 \vdash e_1 : T_1$  and  $\Delta; \Gamma_2 \vdash e_2 : T_2$  and  $\Delta \vdash T_1, T_2 :: \mathcal{T}^1$  and  $\Delta \vdash T_1 \otimes T_2 \sim T$ .
- If  $\Delta; \Gamma \vdash \text{match } e \text{ with } [l_i \rightarrow e_i]_{i \in I} : T$ , then  $\Gamma = \Gamma_1 \circ \Gamma_2$  with  $\Delta; \Gamma_1 \vdash e : [l_i : T_i]$  and  $\Delta; \Gamma_2 \vdash e_i : U$  and  $\Delta \vdash U \sim T$ .
- If  $\Delta; \Gamma \vdash \text{in } l_j e : T$ , then  $\Delta; \Gamma \vdash e : T_j$  and  $\Delta \vdash [l_i : T_i]_{i \in I} \sim T$  and  $j \in I$  and  $\Delta \vdash T_i :: \mathcal{T}^m$ , for all  $i \in I$ .
- If  $\Delta; \Gamma \vdash \text{fix } a. e : T$ , then  $\text{un}_\Delta(\Gamma)$  and  $\vec{\alpha} \notin \Delta$  and  $\Delta, \vec{\alpha} :: \vec{\kappa}; \Gamma, a : \forall \vec{\alpha} :: \vec{\kappa}. U \vdash e : U$  and  $\Delta \vdash \forall \vec{\alpha} :: \vec{\kappa}. U \sim T$ .
- If  $\Delta; \Gamma \vdash \text{let } a = e_1 \text{ in } e_2 : T$ , then  $\Gamma = \Gamma_1 \circ \Gamma_2$  and  $\vec{\alpha} \notin \Delta$  and  $\Delta, \vec{\alpha} :: \vec{\kappa}; \Gamma_1 \vdash e_1 : T_1$  and  $\Delta; \Gamma_2, a : \forall \vec{\alpha} :: \vec{\kappa}. T_1 \vdash e_2 : T_2$  and  $\Delta \vdash \forall \vec{\alpha} :: \vec{\kappa}. T_2 \sim T$ .
- If  $\Delta; \Gamma \vdash \text{fork } e : T$ , then  $\text{un}_\Delta(U, \Gamma)$  and  $\Delta; \Gamma \vdash e : U$  and  $T = \text{unit}$ .
- If  $\Delta; \Gamma \vdash \text{new} : T$ , then  $\text{un}_\Delta(\Gamma)$  and  $\Delta \vdash T \sim S \otimes \bar{S}$  and  $\Delta \vdash T :: S^m$ .

- If  $\Delta; \Gamma \vdash \text{send } e a : T$ , then  $\Delta \vdash T \sim S$  and  $\Gamma = \Gamma_1, a : T_2$  and  $\Delta \vdash T_2 \sim !B; S$  and  $\Delta; \Gamma_1 \vdash e : B$ .
- If  $\Delta; \Gamma \vdash \text{receive } a : T$ , then  $\Delta \vdash T \sim B \otimes S$  and  $\Gamma = \Gamma_1, a : T_2$  and  $\text{un}_\Delta(\Gamma_1)$  and  $\Delta \vdash T_2 \sim ?B; S$ .
- If  $\Delta; \Gamma \vdash \text{select } l_j a : T$ , then  $\Delta \vdash T \sim S_j$  and  $\Gamma = \Gamma_1, a : T_2$  and  $\text{un}_\Delta(\Gamma_1)$  and  $\Delta \vdash T_2 \sim \oplus \{l_i : S_i\}_{i \in I}$  and  $j \in I$ .
- If  $\Delta; \Gamma \vdash \text{case } b \text{ of } \{l_i \rightarrow e_i\}_{i \in I} : T$ , then  $\Gamma = \Gamma_1, b : T_2$  and  $\Delta \vdash T_2 \sim \& \{l_i : S_i\}$  and (for all  $i \in I$ )  $\Delta; \Gamma_1 \vdash e_i : S_i \multimap T'$  and  $\Delta \vdash T' \sim T$ .

*Proof.* For each case we consider the derivation ending with the corresponding structural rule followed by the combined rule, and collect all undischarged assumptions.  $\square$

Inversion of the typing relation for processes is obtained by simply reading the rules for processes bottom-up, since all rules are syntax-directed.

**Theorem 4.10** (Soundness).

*If  $\Delta; \Gamma \vdash p$  and  $p \rightarrow q$ , then  $\Delta; \Gamma \vdash q$ .*

*Proof.* By rule induction on the second premise, using the congruence and the substitution lemmas, sub-derivation introduction and elimination, and inversion (Lemmas 4.5–4.9).

**Case** the derivation ends with  $\beta$ : inversion (for expressions as processes, application, and abstraction), substitution lemma, rule for expressions as processes.

**Case** the derivation ends with let: inversion (for expressions as processes, let, and pairs), substitution lemma (twice), weakening and copy rules, rule for expressions as processes.

**Case** the derivation ends with match: inversion (for expressions as processes, match, and in), rules for application and expressions as processes.

**Case** the derivation ends with fix: inversion (for expressions as processes and fix), substitution lemma, contraction and rule for expressions as processes.

**Case** the derivation ends with context: inversion for expressions as processes, sub-derivation intro, induction, sub-derivation elim, and rule for expressions as processes.

**Case** the derivation ends with fork: inversion for expressions as processes, sub-derivation intro, inversion for fork, rule  $()$ , sub-derivation elimination, combined rule, rules for expressions as processes and parallel composition.

**Case** the derivation ends with new: inversion for expressions as processes, sub-derivation intro, inversion for new, var axiom,  $\otimes$  intro, sub-derivation elimination, typing rules for expressions as processes and  $\nu$ .

**Case** the derivation ends with the reduction rule for communication: inversion ( $\nu$ , parallel composition, and expressions as processes twice), sub-derivation intro (twice), inversion (send, receive), typing rules for variables and  $\sim$ , sub-derivation elim (twice), typing rules for expressions as processes (twice) and parallel composition and weakening and copy, definition of  $\bar{S}$ , and typing rule  $\nu$ .

**Case** the derivation ends with the rule for branching: similar to the above, but simpler.

**Case** the derivation ends with par: inversion for parallel composition, induction, typing rule for parallel composition.

**Case** the derivation ends with reduction under  $\nu$ : inversion for  $\nu$ , induction, typing rule for  $\nu$ .

**Case** the derivation ends with  $\equiv$ : congruence lemma, induction.  $\square$

We conclude this section with the results on type safety and progress for the functional sub-language.

**Theorem 4.11** (Type safety). *If  $\Delta; \Gamma \vdash p$ , then  $p$  is not an error.*

*Proof.* A simple analysis of the typing derivation for the premise. We analyse one of the five cases in the definition of error in Section 4.2, namely  $(\nu a, b)(E_1[e_1] \mid E_2[e_2] \mid p)$ , where  $\text{subj}(e_1) = a$  and  $\text{subj}(e_2) = b$  and  $E_1$  does not bind  $a$  and  $E_2$  does not bind  $b$ . We show that  $\text{agree}^{ab}(e_1, e_2)$ .

The structural typing rules and those for new and for parallel composition guarantee that  $\Delta; \Gamma_1, a: S \vdash E_1[e_1]$  and  $\Delta; \Gamma_2, b: \bar{S} \vdash E_2[e_2]$ , for some  $\Delta, \Gamma_1, \Gamma_2$ . When  $e_1$  is  $\text{send } e'_1 a$ , sub-derivation introduction and inversion (lemmas 4.7 and 4.9) allow to conclude that  $\Delta \vdash S \sim !B.S'$ , hence  $\Delta \vdash \bar{S} \sim ?B.\bar{S}'$ . Of all the terms with subject  $b$  only receive  $b$  has a type of the form  $?B.\bar{S}'$ , hence  $\text{agree}^{ab}(\text{send } e'_1 a, \text{receive } b)$ .  $\square$

**Corollary 4.12** (Progress for the functional sub-language). *If  $\Delta; \Gamma \vdash (\nu \bar{a}, \bar{b})(E[e] \mid p)$ , then either  $e \rightarrow e'$  or  $e$  is a value or  $e$  is of one of the following forms:  $\text{send } v a$ ,  $\text{receive } a$ ,  $\text{select } l a$  or case  $a$  of  $\{l_i \rightarrow e_i\}$ .*

It should be easy to see that the full language does not enjoy progress. Consider two processes exchanging messages on two different channels as follows.

$(\nu a_1, a_2)(\nu b_1, b_2)(\text{send } 5 a_1; \text{send } 7 b_1 \mid \text{receive } b_2; \text{receive } a_2)$

The nonbuffered (rendez-vous, synchronous) semantics leads to a deadlocked situation. A recent survey reviews a few alternatives for progress on session type systems [16].

#### 4.5 Conservative Extension

Our system is a conservative extension of previous session type systems. In those systems, the session type language is restricted to tail recursion, the  $\mu$  operator works with a much simpler notion of contractivity, and equivalence is defined modulo unfolding. We take the definitions from the functional session type calculus [11] as a blueprint. The first-order part of the session type language from that paper may be defined by  $S'$  in the following grammar. Henceforth, we call that language *regular session types*.

$$\begin{aligned} S'_X &::= \text{end} \mid !B.S''_X \mid ?B.S''_X \mid \oplus\{l_i: S''_{iX}\} \mid \&\{l_i: S''_{iX}\} \\ &\quad \mid \mu x. S''_{X \cup \{x\}} \\ S''_X &::= x \in X \mid S'_X \end{aligned}$$

The translation  $(\cdot)^\dagger$  into our system is defined as follows.

$$\begin{aligned} (\text{end})^\dagger &= \text{skip} \\ (!B.S'')^\dagger &= !B; (S'')^\dagger & (?B.S'')^\dagger &= ?B; (S'')^\dagger \\ (\oplus\{l_i: S''_i\})^\dagger &= \oplus\{l_i: (S''_i)^\dagger\} & (\&\{l_i: S''_i\})^\dagger &= \&\{l_i: (S''_i)^\dagger\} \\ (\mu x. S')^\dagger &= \mu x. (S')^\dagger & (x)^\dagger &= x \end{aligned}$$

**Lemma 4.13.** *For all  $S'_0, \cdot \vdash (S'_0)^\dagger :: S^1$ .*

*Proof.* We need to prove a more general property. Define  $\Delta_X = x: S^1 \mid x \in X$  and show that for all  $S'_X, \Delta_X \vdash (S'_X)^\dagger :: S^1$ . The proof is by straightforward induction.  $\square$

**Lemma 4.14.** *Let  $\vdash_{GV}$  be the typing judgment for expressions from Gay and Vasconcelos [11]. If  $\Gamma \vdash_{GV} e: T$ , then  $\vdash e: (T)^\dagger$ .*

#### 5. Related Work

The system we propose is ultimately rooted in the work of Honda et al. on session types [13, 14, 21]. The particular language of this paper is closely related to the one proposed by Gay and Vasconcelos [11]. The main difference is at the level of semantics: we use a synchronous semantics in place of a buffered one. We make this

choice to simplify the technical treatment of the operational semantics. We believe that a buffered semantics can be derived without compromising the most important properties of the language. At the level of the language, and in addition to Gay and Vasconcelos, we incorporate variant types and recursion on functional types. The linear treatment of session types is identical, including the syntactic distinction of the two ends of a channel, related by a  $\nu$ -binding.

The predicative polymorphism we employ is closely related to that of Bono et al. [4], including the kinding system for type variables. The extra complexity of context-free types lead us to a more elaborate kinding system, allowing to distinguish session (or end point) types, from functional types and type schemes (Bono et al. rely on different syntactic categories). A different form of polymorphism—bounded polymorphism on the values transmitted on channels—was introduced by Gay [9] in the realm of session types for the  $\pi$ -calculus.

Wadler [23] gives a typing preserving translation of the Gay and Vasconcelos calculus mentioned before to a process calculus inspired by the work of Caires and Pfenning [5]. The semantics of these systems, given directly by the cut elimination rules of linear logic, ensure deadlock freedom. Even though our system ensures progress for the functional part of the language, the unrestricted interleaving of channel read/write on multiple channels may lead to deadlocked situations. That is the price to pay for the flexibility our language offers with respect to the work of Caires, Pfenning, and Wadler [5, 23].

Functional languages with conventional session types [11, 22] can describe type-safe protocols to transmit trees. Doing so requires a higher-order recursive session type of the following shape:

$$\text{TreeC} = \oplus\{\text{Leaf}: \text{end}, \text{Node}: !\text{int} . !\text{TreeC} . !\text{TreeC}\}$$

That is, to transmit a node  $\text{Node}(i, t_1, t_2)$  on channel  $c$ , the originating process first sends the integer  $i$  on  $c$ . But then it creates two new channels  $c_1$  and  $c_2$ , sends their receiving ends on  $c$ , and closes  $c$ . Finally, it recursively transmits  $t_1$  on  $c_1$  and  $t_2$  on  $c_2$ . In comparison, our calculus is intentionally closer to a low level language: it only supports the transmission of base type values. We furthermore believe that its run-time implementation is simpler and more efficient: only one channel is created and used for the transmission of the tree; thus, it avoids the overhead of multiple channel creation and channel passing.

#### 6. Conclusion

Context-free session types extend the expressiveness of regular session types by generalizing the type structure from regular to context-free processes. This extension enables the low-level implementation of type-safe serialization of recursive datatypes among other examples.

While we have established decidability of type checking, there is still work to do towards a practical type checking algorithm. This algorithm could be based on the algorithm that decides BPA equivalence, but there may be other alternatives to consider [17].

We further believe that our approach scales to the serialization of XML documents, but we leave the elaboration of this connection to future work.

#### Acknowledgments

The authors would like to thank Philip Wadler, Simon Gay, Sam Lindley, Julian Lange, Hans Hüttel, and Luís Caires for fruitful discussions and pointers.

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