

Brzozowski's algorithm (co)algebraically.

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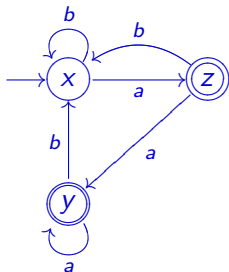
Radboud Universiteit Nijmegen and CWI

Coalgebraic Logics, 9 Oct 2012

Motivation

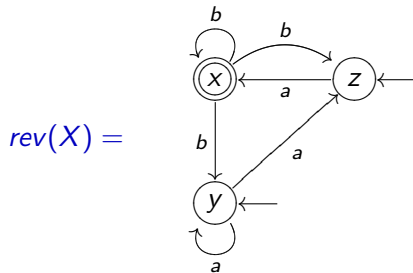
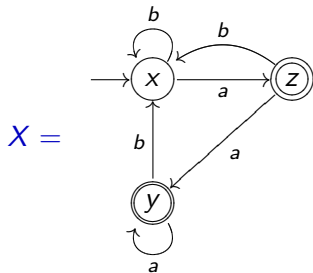
- duality between reachability and observability (Arbib and Manes 1975): beautiful, not very well-known.
Bidoit&Hennicker&Kurz. On the duality between observability and reachability (2001)
- combined use of algebra and coalgebra.
- our understanding of automata is still very limited;
cf. recent research: universal automata, àtomata, weighted automata (Sakarovitch, Brzozowski, . . .)
- joint work with Bonchi, Bonsangue, Rutten (Dexter's festschrift 2012) and Hansen, Panangaden and Bezhanishvilli, Kozen, Kupke.

Brzozowski algorithm (by example)



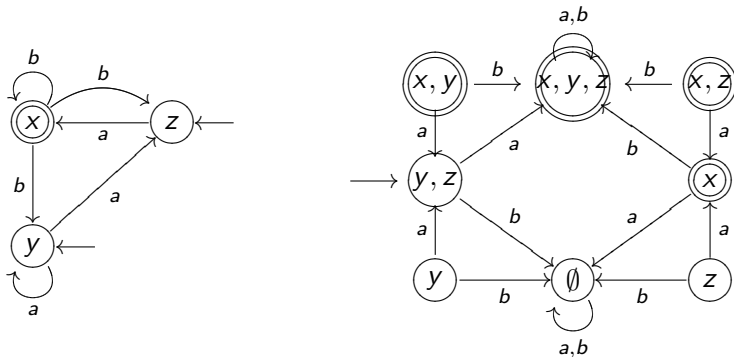
- initial state: x • final states: y and z
- $L(x) = \{a, b\}^* a$
- x is reachable but not minimal: $L(y) = \varepsilon + \{a, b\}^* a = L(z)$

Reversing the automaton: $rev(X)$



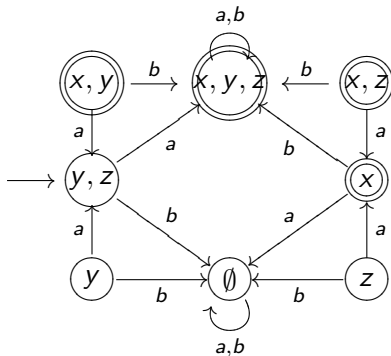
- transitions are reversed
- initial states \Leftrightarrow final states
- $rev(X)$ is non-deterministic

Making it deterministic again: $\det(\text{rev}(X))$



- new state space: $2^X = \{V \mid V \subseteq \{x, y, z\}\}$
- $V \xrightarrow{a} W \quad W = \{w \mid v \xrightarrow{a} w, v \in V\}$
- initial state: $\{y, z\}$ • final states: all V with $x \in V$

The automaton $\det(\text{rev}(X))$. . .



- . . . accepts the reverse of the language accepted by X :

$$L(\det(\text{rev}(X))) = a\{a, b\}^* = \text{reverse}(L(X))$$

- . . . and is observable!

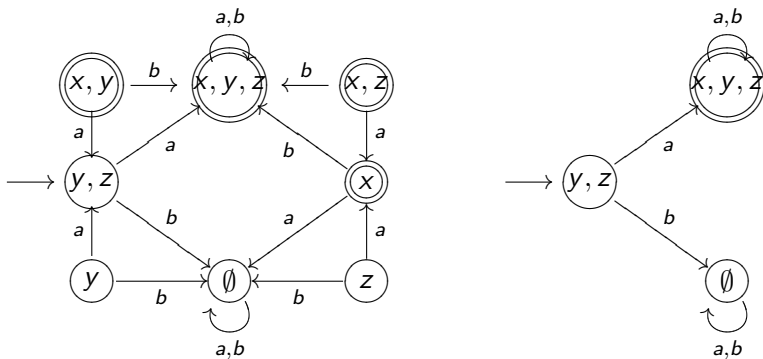
Today's Theorem

If: a deterministic automaton X is *reachable* and accepts $L(X)$

then: $\text{det}(\text{rev}(X))$ is *minimal* and

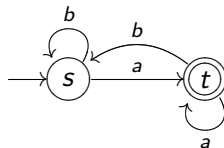
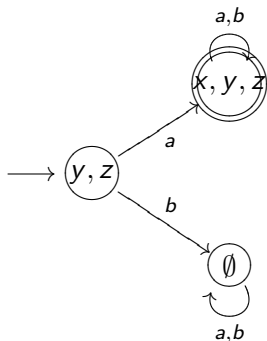
$$L(\text{det}(\text{rev}(X))) = \text{reverse}(L(X))$$

Taking the reachable part of $\det(\text{rev}(X))$



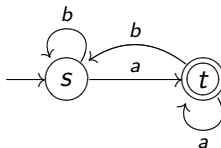
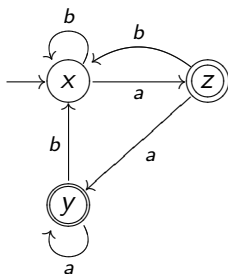
- $\text{reach}(\det(\text{rev}(X)))$ is reachable (by construction)

Repeating everything, now for $reach(det(rev(X)))$



- . . . gives us $reach(det(rev(reach(det(rev(X))))))$
- which is (reachable and) minimal and accepts $\{a, b\}^* a$.

All in all: Brzozowski's algorithm



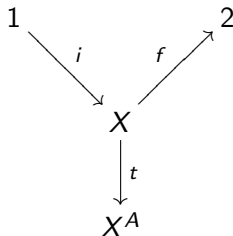
- X is reachable and accepts $\{a, b\}^* a$
- $reach(det(rev(reach(det(rev(X))))))$ also accepts $\{a, b\}^* a$
- . . . and is minimal!!

Goal of the day

- Correctness of Brzozowski's algorithm (co)algebraically
- Generalizations to other types of automata

Deterministic Automata are Algebras and Coalgebras

$$(1 = \{0\})$$



$$(2 = \{0,1\})$$

$$\begin{array}{c} 1 + A \times X \\ \downarrow [i,t] \\ X \end{array}$$

$$\frac{\frac{X \rightarrow X^A}{A \times X \rightarrow X}}{A \rightarrow (X \rightarrow X)}$$

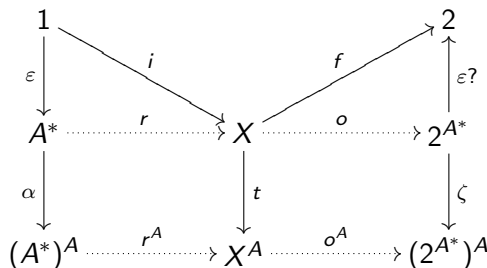
$$\begin{array}{c} X \\ \downarrow \langle f,t \rangle \\ 2 \times X^A \end{array}$$

initial state, transitions
 $1 + A \times (-)$ -algebra

transitions are both
 algebra and coalgebra

output, transitions
 $2 \times (-)^A$ -coalgebra

Initial Algebras and Final Coalgebras

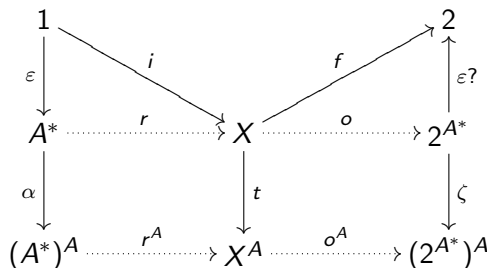


For all $a \in A, w \in A^*$:

$$\begin{aligned} \alpha(w)(a) &= wa && \text{(append } a) \\ \zeta(S)(a) &= \{w \in A^* \mid aw \in S\} = a^{-1}S && \text{(left } a\text{-derivative)} \end{aligned}$$

$$\begin{aligned} r(w) &= t(i)(w) && \text{(state reached on input } w) \\ o(x) &= \{w \in A^* \mid f(t(x)(w)) = 1\} && \text{(language accepted by } x) \end{aligned}$$

Reachability, Observability, Minimality



Def. (Arbib & Manes)

Automaton $\langle X, t, i, f \rangle$ is ...

- **reachable** if r is surjective (no algebraic redundancy).
- **observable** if o is injective (no coalgebraic redundancy).
- **minimal** if it is *reachable and observable*.

(Contravariant) Powerset construction

$$2^{(-)} : \quad \begin{array}{ccc} V & & 2^V \\ \downarrow g & \mapsto & \uparrow 2^g \\ W & & 2^W \end{array}$$

where $2^V = \{S \mid S \subseteq V\}$ and, for all $S \subseteq W$,

$$2^g(S) = g^{-1}(S) \quad (= \{v \in V \mid g(v) \in S\})$$

- Note: if g is *surjective*, then 2^g is *injective*.

Reversing an Automaton

- $2^{(-)}$ reverses transitions and determinises:

$$\begin{array}{ccc}
 \begin{array}{c} X \\ \downarrow t \\ X^A \end{array} & \parallel & \begin{array}{c} X \times A \\ \downarrow \\ X \end{array} \\
 & \xrightarrow{2^{(-)}} &
 \end{array}
 \begin{array}{c} 2^{X \times A} \\ \uparrow \\ 2^X \end{array}
 \parallel
 \begin{array}{c} (2^X)^A \\ \uparrow 2^t \\ 2^X \end{array}$$

Reversed transitions: $S \xrightarrow{a} t_a^{-1}(S)$ (a -predecessors of S)

- initial becomes final:

$$i: 1 \rightarrow X \quad \mapsto \quad 2^i: 2^X \rightarrow 2^1 = 2$$

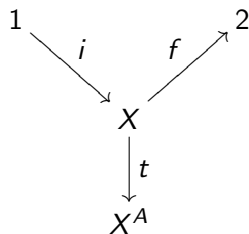
In reversed automaton: S is final iff $i \in S$.

- final becomes initial:

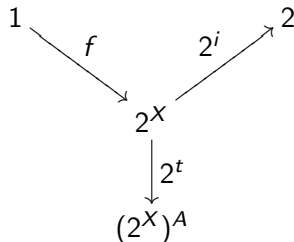
$$f: X \rightarrow 2 = 2^1 \quad \mapsto \quad f: 1 \rightarrow 2^X$$

In reversed automaton: initial state is set of final states f .

Reversing the entire automaton

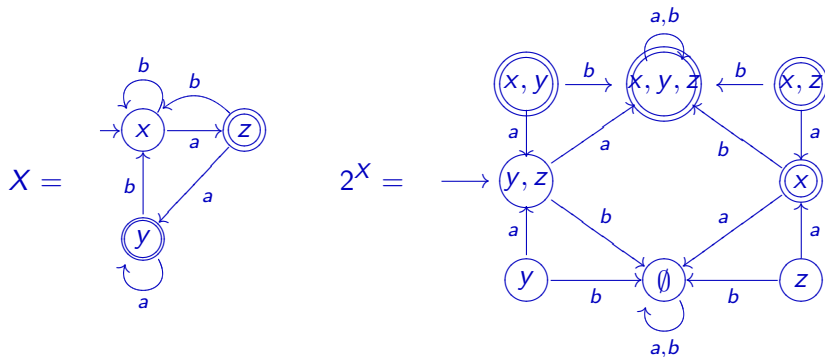


$\xrightarrow{2(-)}$



- Initial and final are exchanged . . .
- transitions are reversed . . .
- and the result is again deterministic!

Our previous example



- Note that X has been reversed and determinized:

$$2^X = \text{det}(\text{rev}(X))$$

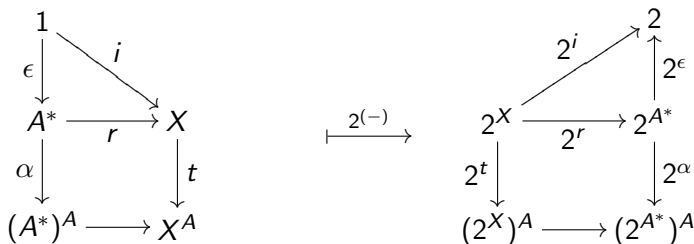
Proving today's Theorem

If: a deterministic automaton X is *reachable* and accepts $L(X)$

then: 2^X ($= \det(\text{rev}(X))$) is *observable* and

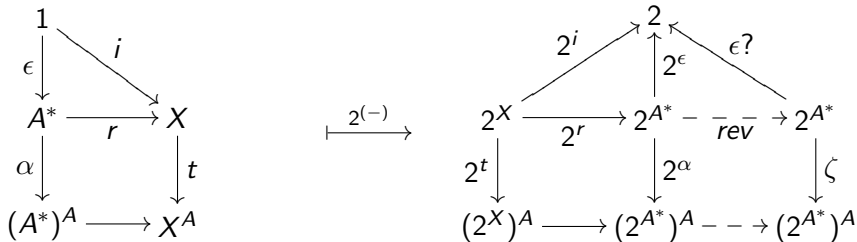
$$L(2^X) = \text{reverse}(L(X))$$

Proof: by reversing $A^* \xrightarrow{r} X$



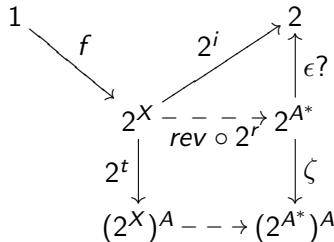
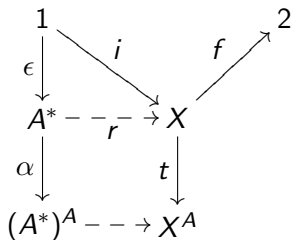
- X becomes 2^X
- initial automaton A^* becomes (almost) final automaton 2^{A^*}
- r is *surjective* $\Rightarrow 2^r$ is *injective*

Reachable becomes observable



- If r is *surjective* then $(2^r$ and hence) $rev \circ 2^r$ is *injective*.
- That is, 2^X is observable.

Summarizing



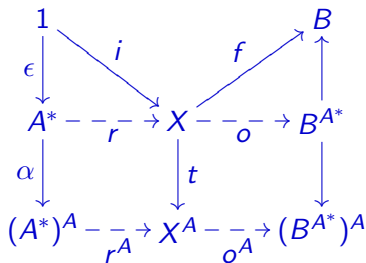
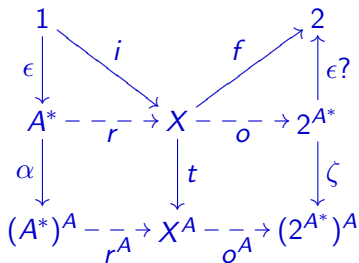
- If: X is reachable, i.e., r is surjective
then: $rev \circ 2^r$ is injective, i.e., 2^X is observable.
- And: $rev(2^r(f)) = rev(o(i))$, i.e., $L(2^X) = reverse(L(X))$

Corollary: Brzozowski's algorithm

- X becomes 2^X , accepting $\text{reverse}(L(X))$
- take reachable part: $Y = \text{reachable}(2^X)$
- Y becomes 2^Y , which is minimal and accepts

$$\text{reverse}(\text{reverse}(L(X))) = L(X)$$

Generalizations

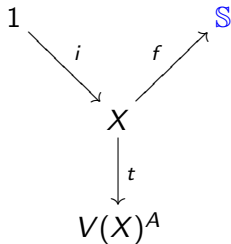


- A **Brzozowski** minimization algorithm for **Moore** automata.

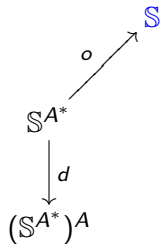
$$B^X = \{\phi \mid \phi: X \rightarrow B\} \quad B^f(\phi) = \phi \circ f$$

Brzozowski for Weighted Automata

Weighted Automata

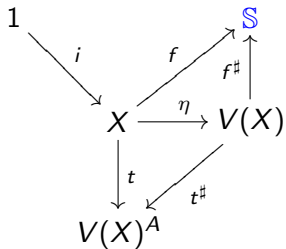


Weighted languages

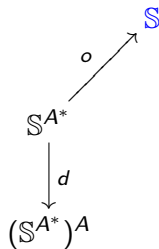


Brzozowski for Weighted Automata

Weighted Automata



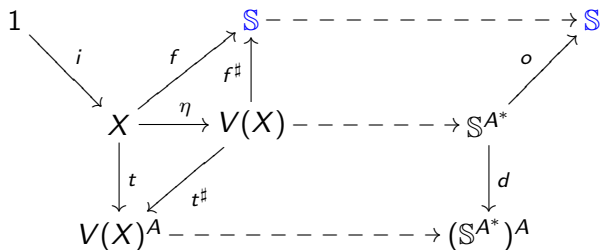
Weighted languages



Brzozowski for Weighted Automata

Weighted Automata

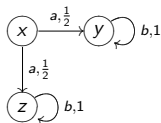
Weighted languages



Brzozowski for weighted languages: given a weighted automaton we want a **canonical** representative of the image in the final coalgebra – Moore automaton.

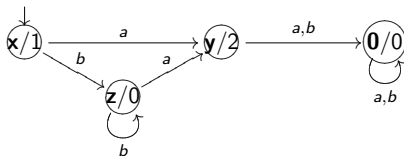
Brzozowski for weighted automata

Weighted automaton which recognizes $\sigma: A^* \rightarrow \mathbb{S}$:



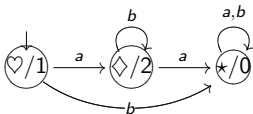
$$ab^* \mapsto 2$$

“Reverse and determinize” (Worthington):



$$b^*a \mapsto 2$$

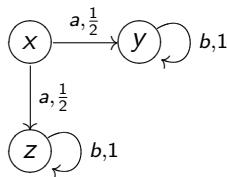
“Reverse and determinize” for Moore automata (using B^-):



$$ab^* \mapsto 2$$

Example

$$X = \{x, y, z\}, i = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, f = \begin{pmatrix} 1 & 2 & 2 \end{pmatrix}$$



$$t_a = \begin{pmatrix} 0 & 0 & 0 \\ \frac{1}{2} & 0 & 0 \\ \frac{1}{2} & 0 & 0 \end{pmatrix} \quad t_b = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$ab \mapsto \begin{pmatrix} 1 & 2 & 2 \end{pmatrix} \times \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \times \begin{pmatrix} 0 & 0 & 0 \\ \frac{1}{2} & 0 & 0 \\ \frac{1}{2} & 0 & 0 \end{pmatrix} \times \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = 2$$

$$L(x) = \{\varepsilon \mapsto 1, ab^* \mapsto 2\}$$

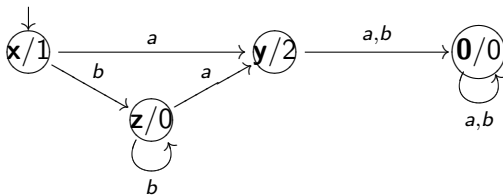
Reversing the automaton (Worthington)

Moore automaton that recognizes the reverse weighted language.
Initial vector: $f^T = (1 \ 2 \ 2)^T$, final vector: $i^T = (1 \ 0 \ 0)$ and transition function is **transposed**.

$$t_a^T = \begin{pmatrix} 0 & \frac{1}{2} & \frac{1}{2} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad t_b^T = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$t^T: V(X) \rightarrow V(X)^A$$

Reachable automaton from $\mathbf{x} = f^T$:



$$L(\mathbf{x}) = \{\varepsilon \mapsto 1; b^*a \mapsto 2\} = \text{rev}(L(x))$$

Part II: Brzozowski's algorithm via adjunctions

Part II: Brzozowski's algorithm via adjunctions

Motivation: Gain deeper understanding of

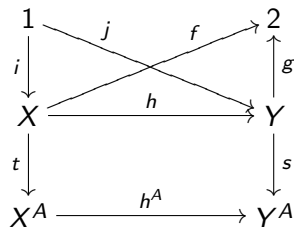
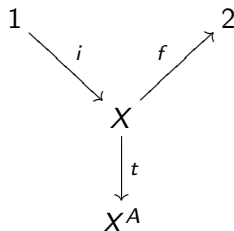
- the construction/algorithm,
- relation to similar constructions,
- uniform proofs.

Overview:

- Categories of automata.
- Adjunction of automata via reversal.
- Brzozowski, functorially.
- Generalisations to Moore and weighted automata.
- Generalised dual adjunction.
- Related work.

Categories of Automata

Aut = category of all deterministic automata, and automaton morphisms:



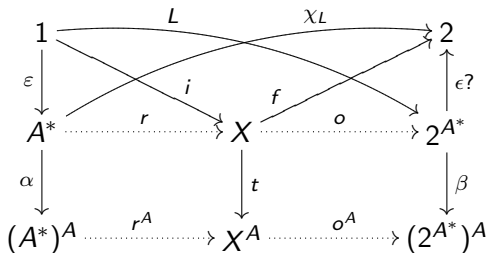
Note:

- Automaton morphisms preserve language.
- No initial object, no final object in **Aut**.

The Category $\text{Aut}(L)$

$\text{Aut}(L)$ = subcategory of Aut of automata accepting L .

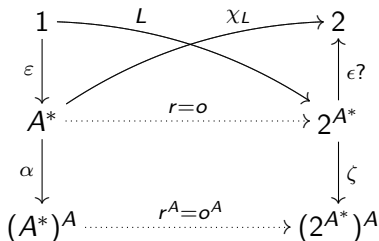
Initial and final objects regained:



Automaton $\langle X, t, i, f \rangle$ in $\text{Aut}(L)$ is ...

- **reachable** if initial morphism r is surjective.
- **observable** if final morphism o is injective.

Myhill-Nerode via $\text{Aut}(L)$



Characterisation:

L regular

iff $\text{index}(\equiv_L)$ is finite

iff $\text{left-quotients}(L)$ is finite

- $o(w) = \{u \in A^* \mid wu \in L\} = w^{-1}L$
- $\ker(o)$ is **Myhill-Nerode-equivalence**:
 $w \equiv_L v \quad \text{iff} \quad \forall u \in A^* : wu \in L \iff vu \in L$
- $\text{img}(o)$ is set of left-quotients of L .
- $|\text{img}(o)| = \text{index}(\equiv_L)$

Adjoint Automata: Main tools

- Adjunction of state spaces:

$$\begin{array}{ccc} & 2(-) & \\ \text{Set} & \xrightarrow{\quad} & \text{Set}^{\text{op}} \\ & \perp & \\ & 2(-)^{\text{op}} & \end{array}$$

$$\frac{X \rightarrow 2^Y \quad \text{in Set}}{2^X \rightarrow Y \quad \text{in Set}^{\text{op}}}$$

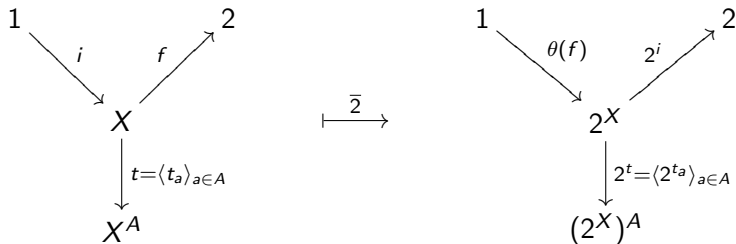
- Exponential transpose:

$$\frac{g: X \rightarrow 2^Y \quad \text{in Set}}{\theta(g): Y \rightarrow 2^X \quad \text{in Set}}$$

- Transpose lemma:

$$\theta(X \xrightarrow{h} Y \xrightarrow{f} 2^Z) = Z \xrightarrow{\theta(f)} 2^Y \xrightarrow{2^h} 2^X$$

Reversing an Automaton



$$\begin{array}{lcl}
 \mathcal{X} : & 1 \xrightarrow{i} X \xrightarrow{t_{a_1}} X & \dots \quad X \xrightarrow{t_{a_n}} X \xrightarrow{f} 2 \\
 \bar{2}(\mathcal{X}) : & 2 \xleftarrow{2^i} 2^X \xleftarrow{2^{t_{a_1}}} 2^X & \dots \quad 2^X \xleftarrow{2^{t_{a_n}}} 2^X \xleftarrow{\theta(f)} 1
 \end{array}$$

Theorem:

- $L(\bar{2}(\mathcal{X})) = \text{rev}(L(\mathcal{X}))$.
- Reversing is functor $\bar{2}: \text{Aut} \rightarrow \text{Aut}^{\text{op}}$.
- Reversing is functor $\bar{2}: \text{Aut}(L) \rightarrow \text{Aut}(\text{rev}(L))^{\text{op}}$.

Adjunction of Automata

Theorem: Reversal lifts dual adjunction on Set to dual adjunction of automata:

$$\begin{array}{ccc}
 \text{Aut}(L) & \begin{array}{c} \xrightarrow{\bar{2}} \\ \perp \\ \xleftarrow{\bar{2}^{\text{op}}} \end{array} & \text{Aut}(\text{rev}(L))^{\text{op}} \\
 \downarrow & & \downarrow \\
 \text{Set} & \begin{array}{c} \xrightarrow{2} \\ \perp \\ \xleftarrow{2^{\text{op}}} \end{array} & \text{Set}^{\text{op}}
 \end{array}$$

Corollary (duality): Let \mathcal{A} be initial object in $\text{Aut}(L)$, \mathcal{Z} the final object in $\text{Aut}(\text{rev}(L))$, and let \mathcal{X} be an automaton in $\text{Aut}(L)$.

$$\begin{array}{ccccc}
 r: \mathcal{A} \twoheadrightarrow \mathcal{X} & \xrightarrow{\bar{2}} & o: \bar{2}(\mathcal{X}) \twoheadrightarrow \bar{2}(\mathcal{A}) = \mathcal{Z} \\
 \mathcal{X} \text{ reachable} & \implies & \bar{2}(\mathcal{X}) \text{ observable}
 \end{array}$$

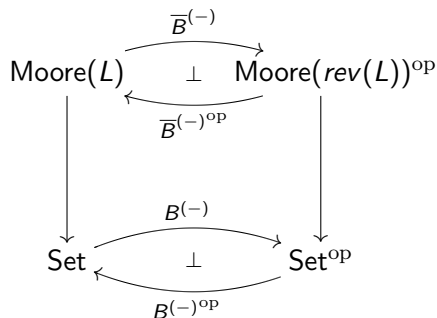
Brzowski's algorithm, functorially

- Let: $\text{rAut}(L)$ = reachable automata accepting L ,
 $\text{oAut}(L)$ = observable automata accepting L ,
 $\text{mAut}(L)$ = minimal automata accepting L .
- **Reachability is functor** $R: \text{Aut}(L) \rightarrow \text{rAut}(L)$ (coreflector).
Restricts to $R: \text{oAut}(L) \rightarrow \text{mAut}(L)$.
- **Brzowski's algorithm is** $R \circ \bar{2}^{\text{op}} \circ R^{\text{op}} \circ \bar{2}$:

$$\begin{array}{ccc} \text{Aut}(L) & \xrightarrow{\bar{2}} & \text{Aut}(\text{rev}(L))^{\text{op}} \\ \text{\scriptsize O} \downarrow \cdots & & \downarrow \text{\scriptsize R^{op}} \\ \text{oAut}(L) & \xleftarrow{\bar{2}^{\text{op}}} & \text{rAut}(\text{rev}(L))^{\text{op}} \\ \downarrow \text{\scriptsize R} & & \\ \text{mAut}(L) & & \end{array}$$

Brzozowski for Moore Automata, revisited

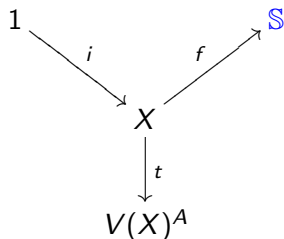
Adjunction of Moore Automata:



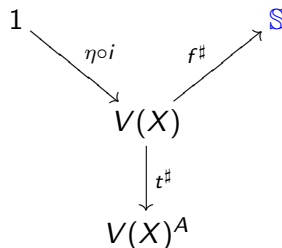
- $L: A^* \rightarrow B$ (B -weighted language), $\text{rev}(L)(w) = L(w^R)$.
- Reversal functor $B^{(-)} = \text{Set}(-, B)$.
- Brzozowski minimization, functorially ✓

Brzozowski for Weighted Automata

Weighted Automaton in Set



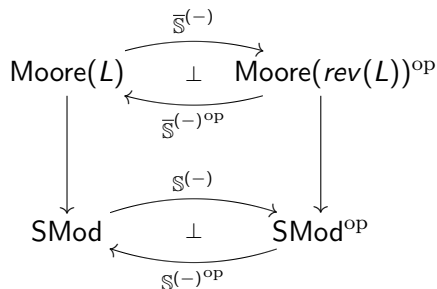
Moore Automaton in SMod



- \mathbb{S} is a commutative semiring $(S, +, \cdot, 0, 1)$.
- $\text{SMod} = \mathbb{S}$ -semimodules and \mathbb{S} -linear maps
- $V(X) = \{s_1x_1 + \dots + s_nx_n \mid s_i \in \mathbb{S}, x_i \in X\}$ (free on X)

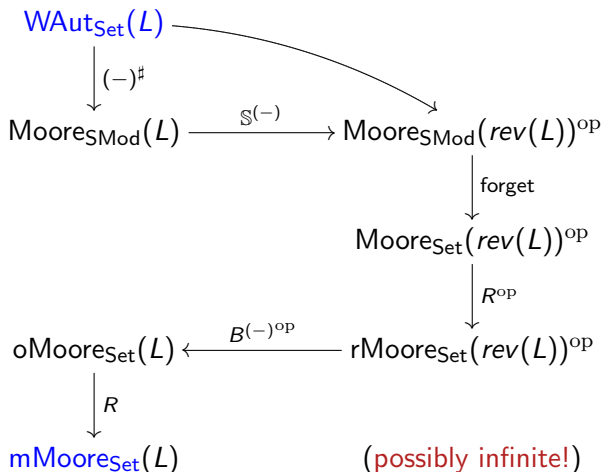
Brzozowski for Weighted Automata, revisited

Adjunction of Moore Automata over SMod:

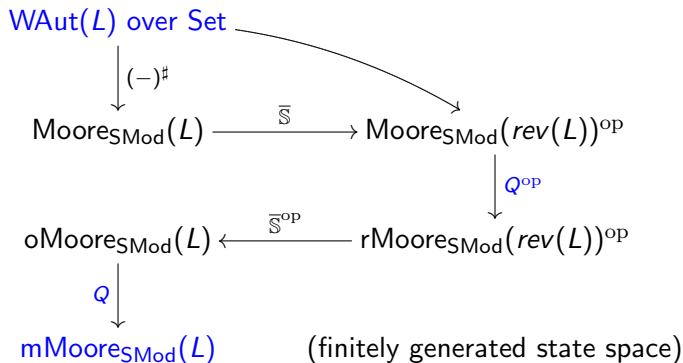


- $L: A^* \rightarrow \mathbb{S}$ (formal power series), $\text{rev}(L)(w) = L(w^R)$.
- Reversal functor: $(-)^* = \overline{\mathbb{S}}(-) = \text{SMod}(-, \mathbb{S})$ (dual space)
- Note: $V(X)^* = V(X^*)$ for finite X .

Brzozowski for WAut via Brzozowski for Moore



Brzozowski for WAut in SMod

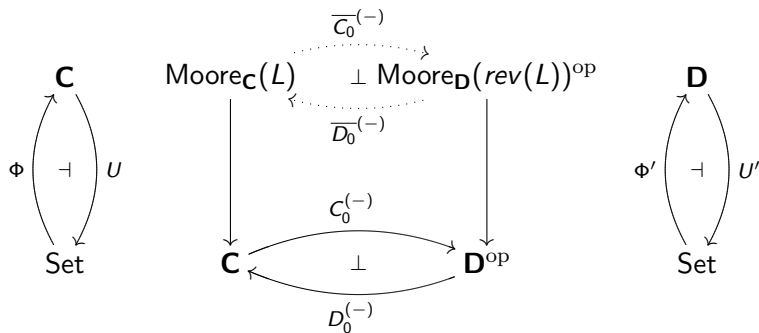


Note:

- reachability “illegal operation” in $\text{Moore}_{\mathbf{SMod}}(L)$.
- $\mathcal{A} \twoheadrightarrow Q(\mathcal{X})$ (image/quotient of initial object?).
- $Q(\mathcal{X})$ finitely generated if \mathbb{S} Noetherian.

Generalised Duality

Assume \mathbf{C}, \mathbf{D} have products.



Moore automaton over \mathbf{C} :

state space: $C \in \mathbf{C}$

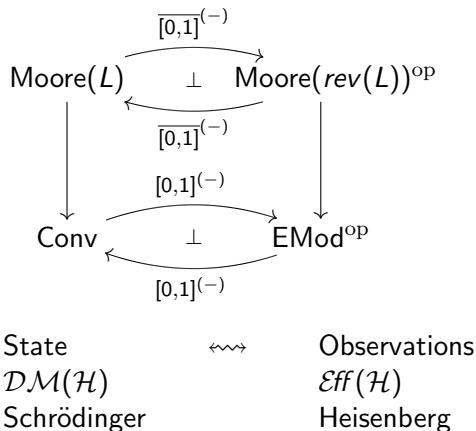
initial state: $i: 1 \rightarrow UC$

transitions: $t_a: C \rightarrow C, a \in A$

output: $f: C \rightarrow D_0^{\Phi'1}$

Example: Quantum Automata

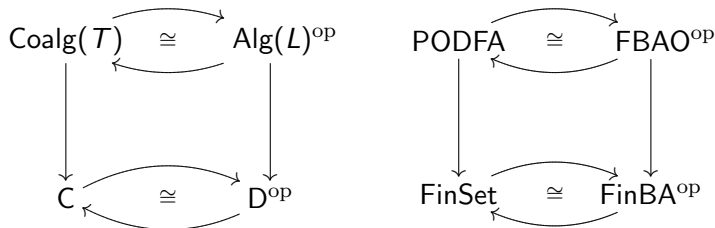
Cf. Bart Jacobs, Frank Roumen.



Brzowski minimization? Finitely generated resulting automaton?

Related Work

- Bezhanishvili, Kupke, Panangaden (WoLLIC 2012):
minimisation via dual equivalence coalgebra-algebra
(deterministic, linear weighted, belief automata).



(Both left and right adjoint must preserve epis.)

- Arbib, Manes, Gehrke, Pin, König, Hülsbusch, Milius, Adamek, Myers, Worthington,...

Conclusion

Summary:

- Brzozowski algorithm via dual adjunction of automata.
- Duality: state and observations.
- Generalisations: given Moore/nondeterm/weighted automaton accepting L , construct minimal Moore automaton accepting L (language equivalence!).
- Future work: other automaton types (probabilistic, multi-sorted, ...), combination with generalised powerset construction, algebraic-coalgebraic automata theory.

Message:

- duality \rightsquigarrow algorithms.
- categories \rightsquigarrow generalisations, clarification.