# Synchronisation- and Reversal-Bounded Analysis of Multithreaded Programs with Counters

Matthew  $\mathrm{Hague}^{1,2}$  and Anthony Widjaja  $\mathrm{Lin}^2$ 

<sup>1</sup> LIGM (Université Paris-Est), LIAFA (Université Paris Diderot) & CNRS <sup>2</sup> Oxford University, Department of Computer Science

Abstract. We study a class of concurrent pushdown systems communicating by both global synchronisations and reversal-bounded counters, providing a natural model for multithreaded programs with procedure calls and numeric data types. We show that the synchronisation-bounded reachability problem can be efficiently reduced to the satisfaction of an existential Presburger formula. Hence, the problem is NP-complete and can be tackled with efficient SMT solvers such as Z3. In addition, we present optimisations to make our reduction practical, e.g., heuristics for removing or merging transitions in our models. We provide optimised algorithms and a prototypical implementation of our results and perform preliminary experiments on examples derived from real-world problems.

## 1 Introduction

Pushdown systems (PDS) are a popular abstraction of sequential programs with recursive procedure calls. Verification problems for these models have been extensively studied (e.g. [7, 17]) and they have been successfully used in the model checking of sequential software (e.g. [3, 5, 37]).

However, given the ubiquity and growing importance of concurrent software (e.g. in web-servers, operating systems and multi-core machines), coupled with the inherent non-determinism and difficulties in anticipating all concurrent interactions, the verification of concurrent programs is a pressing problem. In the case of concurrent pushdown systems, verification problems quickly become undecidable [33]. Because of this, much research has attempted to address the undecidability, proposing many different approximations, and restrictions on topology and communication behaviour (e.g. [29, 8–10, 35, 34, 21, 25]). A technique that has proved popular in the literature is that of bounded context-switches [34].

Bounded context-switching uses the observation that many real-world bugs require only a small number of inter-thread communications. It is known that, if the number of communications is bounded to a fixed k, reachability checking of pushdown systems becomes NP-complete [26]. The utility of this approach has been demonstrated by several successful implementations (e.g. [4, 30, 36]).

In addition to recursive procedure calls, numeric data types are an important feature of programs. By adding counters to pushdown systems one can accurately model integer variables and, furthermore, abstract certain data structures – such as lists – by tracking their size. It is well known that finite-state machines

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augmented even with only two counters leads to undecidability of the simplest verification problems. One way to retain decidability of reachability is to impose an upper bound r on the number of reversals between incrementing and decrementing modes for each counter (cf. [12, 23]).

This restriction can be viewed in at least two ways (cf. [12, 24]). First, in the spirit of bounded-context switches, it provides a generalisation of bounded model checking – a successful verification technique which exploits the fact that many bugs occurring in practice are "shallow" (cf. [14]). Secondly, many counting properties — such as checking the existence of a computation where the number of calls to the functions  $f_1$ ,  $f_2$ ,  $f_3$ , and  $f_4$  are the same — require no reversals (e.g. the number of memory allocations equals the number of frees). Similar counting properties (and their model checking problems) have been studied in many other contexts (cf. [27] and references therein).

In this paper, we study the problem of verifying reachability over a program model incorporating concurrency, numeric data types, and recursions. Our contributions are as follows:

- We propose a concurrent extension of pushdown systems with reversalbounded counters that communicate through shared counters and global synchronisations, and prove that the notion of global synchronisations subsumes context-bounded model checking.
- 2. We show that reachability checking for these systems is in NP, by reduction to existential Presburger, handled by efficient SMT solvers such as Z3 [13].
- 3. We provide several new optimisation techniques, including a minimisation routine for pushdown systems, that are crucial in making our reductions feasible in practice. These techniques keep the size of the computation objects small throughout reduction, while also producing smaller output formulas.
- 4. Finally, we provide two optimised, prototypical tools using these techniques. The first translates a simple programming language into our model, while the second performs our reduction to existential Presburger. We demonstrate the efficacy of our tools on several real-world problems.

The full version of this paper and tool implementations and benchmarks can be obtained from the authors' homepages.

**Related Work.** In recent work [20], we showed that reachability analysis for pushdown systems with reversal-bounded counters is NP-complete. We provided a prototypical implementation of our algorithm and obtained encouraging results on examples derived from Linux device drivers.

Over reversal-bounded counter systems (without stack), reachability is NP-complete but becomes NEXP-complete when the number of reversals is given in binary [22]. On the other hand, when the numbers of reversals and counters are fixed, the problem is solvable in P [19]. The techniques developed by [19, 22], which reason about the maximal counter values, are very different to our techniques, which exploit the connection to Parikh images of pushdown automata (first explicated in Ibarra's original paper [23] though not in a way that gives optimal complexity or a practical algorithm).

Context-bounded model checking was introduced in 2005 by Qadeer and Rehof [34, 8, 32]. It has then been used in many different settings and many different generalisations have been proposed. For example, one may consider phase-bounds [38], ordered multi-stack machines [1], bounded languages [18], dynamic thread creation [2] and more general approaches [28].

In recent, independent work, Esparza *et al.* used a reduction to existential Presburger to tackle a generalisation of context-bounded reachability checking for multithreaded programs without counters [15]. Their work, however, does not allow the use of counters and it is not clear whether our global synchronisation conditions can be simulated succinctly in their framework.

**Organisation.** In §2, we define the models that we study. We prove decidability of the synchronisation-bounded reachability problem in §3. In §4 we show that synchronisation-bounded model checking subsumes context-bounded model checking. Our optimisations are presented in §5. In §6 we describe our implementation and experimental results. Finally, we conclude in §7.

## 2 Model Definition

In this section, we define the models that we study. For a vector  $\mathbf{v} = (v_1, \dots, v_n)$ , we write  $\mathbf{v}(i)$  to access  $v_i$ . For a formula  $\theta$  over variables  $(x_1, \dots, x_n)$  we write  $\theta(v_1, \dots, v_n)$  to substitute the values  $v_1, \dots, v_n$  for the variables  $x_1, \dots, x_n$  respectively. Given an alphabet  $\Gamma = \{\gamma_1, \dots, \gamma_m\}$  and a word  $w \in \Gamma^*$ , we write  $\mathbb{P}(w)$  to denote a tuple with  $|\Gamma|$  entries where the *i*th entry counts the number of occurrences of  $\gamma_i$  in w. Given a language  $\mathcal{L} \subseteq \Gamma^*$ , we write  $\mathbb{P}(\mathcal{L})$  to denote the set  $\{\mathbb{P}(w) \mid w \in \mathcal{L}\}$ . We say that  $\mathbb{P}(\mathcal{L})$  is the Parikh image of  $\mathcal{L}$ .

**Pushdown Automata.** A pushdown automaton  $\mathcal{P}$  is a tuple  $(\mathcal{Q}, \Sigma, \Gamma, \Delta, q_0, \mathcal{F})$  where  $\mathcal{Q}$  is a finite set of control states,  $\Sigma$  is a finite stack alphabet with a special bottom-of-stack symbol  $\bot$ ,  $\Gamma$  is a finite output alphabet,  $q_0 \in \mathcal{Q}$  is an initial state,  $\mathcal{F} \subseteq \mathcal{Q}$  is a set of final states, and  $\Delta \subseteq (\mathcal{Q} \times \Sigma) \times \Gamma^* \times (\mathcal{Q} \times \Sigma^*)$  is a finite set of transition rules. We will denote a transition rule  $((q, a), \gamma, (q', w'))$  using the notation  $(q, a) \xrightarrow{\gamma} (q', w')$ . Note that  $\gamma \in \Gamma^*$  is a sequence of output characters. This is for convenience, and optimisation. We can reduce this to single output characters using intermediate control states or stack characters. Note, a pushdown system is a pushdown automaton without a set of final states.

A configuration of  $\mathcal{P}$  is a tuple (q, w), where  $q \in \mathcal{Q}$  and  $w \in \mathcal{L}^*$  are the control state and stack contents. We say that a configuration (q, aw) has a head q, a. There exists a transition  $(q, aw) \xrightarrow{\gamma} (q', w'w)$  of  $\mathcal{P}$  whenever  $(q, a) \xrightarrow{\gamma} (q', w') \in \Delta$ . We call a sequence  $c_0 \xrightarrow{\gamma_1} c_1 \xrightarrow{\gamma_2} \cdots \xrightarrow{\gamma_m} c_m$  a run of  $\mathcal{P}$ . It is accepting if  $c_0 = (q_0, \bot)$  and  $c_m = (q, w)$  with  $q \in \mathcal{F}$ . Let  $\mathcal{L}(\mathcal{P})$  be the set of words labelling accepting runs. Finally, we write  $c \to^* c'$  if there is a run from c to c'.

**Pushdown Systems with Counters.** A pushdown system with counters is a pushdown system which, in addition to the control states and the stack, has a number of counter variables. These counters may be incremented, decremented and compared against constants (given in binary).

An atomic counter constraint on counter variable  $X = \{x_1, \ldots, x_n\}$  is an expression of the form  $x_i \sim c$ , where  $c \in \mathbb{Z}$  and  $\sim \in \{<,>,=\}$ . A counter constraint  $\theta(x_1,\ldots,x_n)$  on X is a boolean combination of atomic counter constraints on X. Let  $Const_X$  denote the set of counter constraints on X.

A pushdown system with n counters (n-PDS)  $\mathcal{P}$  is a tuple  $(\mathcal{Q}, \mathcal{\Sigma}, \mathcal{\Gamma}, \mathcal{\Delta}, X)$  where  $\mathcal{Q}$  is a finite set of control states,  $\mathcal{\Sigma}$  is a finite stack alphabet,  $\mathcal{\Gamma}$  is a finite output alphabet,  $X = \{x_1, \dots, x_n\}$  is a set of n counter variables, and  $\Delta \subseteq (\mathcal{Q} \times \mathcal{\Sigma} \times Const_X) \times \mathcal{\Gamma}^* \times (\mathcal{Q} \times \mathcal{\Sigma}^* \times \mathbb{Z}^n)$  is a finite set of transition rules. We will denote a rule  $((q, a, \theta), \gamma, (q', w', u))$  using  $(q, a, \theta) \stackrel{\gamma}{\hookrightarrow} (q', w', u)$ .

A configuration of  $\mathcal{P}$  is a tuple (q, w, v), where  $q \in \mathcal{Q}$  is the current control state,  $w \in \Sigma^*$  is the current stack contents, and  $\mathbf{v} = (v_1, \dots, v_n) \in \mathbb{N}^n$  gives the current valuation of the counter variables  $x_1, \dots, x_n$  respectively. There exists a transition  $(q, aw, \mathbf{v}) \xrightarrow{\gamma} (q', w'w, \mathbf{v}')$  of  $\mathcal{P}$  whenever

- 1.  $(q, a, \theta) \stackrel{\gamma}{\hookrightarrow} (q', w', \boldsymbol{u}) \in \Delta$ , and
- 2.  $\theta(\boldsymbol{v}(1),\ldots,\boldsymbol{v}(n))$  is true, and
- 3.  $v'(i) = v(i) + u(i) \ge 0$  for all  $1 \le i \le n$ .

Communicating Pushdown Systems with Counters. Given  $\mathcal{Q}_1, \ldots, \mathcal{Q}_m$ , let  $Y = \{y_1, \ldots, y_m, y'_1, \ldots, y'_m\}$  be a set of control state variables such that, for each  $i, y_i, y'_i$  range over  $\mathcal{Q}_i$ . Then, an atomic state constraint is of the form  $y_i = q$  for some  $y_i \in Y$  and  $q \in \mathcal{Q}_i$ . A synchronisation constraint, written  $\delta(y_1, \ldots, y_m, y'_1, \ldots, y'_m)$ , is a boolean combination of atomic state constraints. For example, let n = 3 and consider the constraint

$$(y_1 = q_1 \land (y_1' = q_1 \land y_2' = q_2 \land y_3' = q_3)) \lor (y_1 = r_1 \land (y_1' = r_1 \land y_2' = r_2 \land y_3' = r_3))$$
.

This allows synchronisations where, whenever the first process has control state  $q_1$ , the other processes can simultaneously move to  $q_i$  (for all  $1 \le i \le 3$ ), whereas, if process one has control state  $r_1$ , the processes move to states  $r_i$  instead. Let  $StateCons_{\mathcal{Q}_1,...,\mathcal{Q}_m}$  be the set of synchronisation constraints for  $\mathcal{Q}_1,...,\mathcal{Q}_m$ .

**Definition 1 (n-SyncPDSr).** Given a finite output alphabet  $\Gamma$  and set of n counter variables X, a system of communicating pushdown systems with n counters  $\mathbb{C}$  is a tuple  $(\mathcal{P}_1, \ldots, \mathcal{P}_m, \Delta_g, X, r)$  where, for all  $1 \leq i \leq m$ ,  $\mathcal{P}_i$  is a pushdown system  $(\mathcal{Q}_i, \Sigma_i, \Gamma, \Delta_i, X)$  with n counters, and  $\Delta_g \subseteq StateCons_{\mathcal{Q}_1, \ldots, \mathcal{Q}_m} \times Const_X \times \mathbb{Z}^n$  is a finite set of synchronisation constraints, and  $r \in \mathbb{N}$  is a natural number given in unary.

Notice that a system of communicating pushdown systems share a set of counters. A configuration of such a system is a tuple  $(q_1, w_1, \ldots, q_m, w_m, \mathbf{v})$  where each  $(q_i, w_i, \mathbf{v})$  is a configuration of  $\mathcal{P}_i$ . We have  $(q_1, w_1, \ldots, q_m, w_m, \mathbf{v}) \stackrel{\gamma}{\Rightarrow} (q'_1, w'_1, \ldots, q'_m, w'_m, \mathbf{v}')$  whenever,

1. for some  $1 \leq i \leq m$ , we have  $(q_i, w_i, \mathbf{v}) \xrightarrow{\gamma} (q'_i, w'_i, \mathbf{v}')$  is a transition of  $\mathcal{P}_i$  and  $q_j = q'_j$  and  $w_j = w'_j$  for all  $j \neq i$ , or

- 2.  $\gamma = \varepsilon$  and  $w_i = w_i'$  for all  $1 \le i \le m$  and  $(\delta, \theta, \mathbf{u}) \in \Delta_q$  with
  - (a)  $\delta(q_1, \ldots, q_m, q'_1, \ldots, q'_m)$  is true, and
  - (b)  $\theta(\boldsymbol{v}(1),\ldots,\boldsymbol{v}(n))$  is true, and
  - (c)  $\mathbf{v}'(i) = \mathbf{v}(i) + \mathbf{u}(i) \ge 0$  for all  $1 \le i \le n$ .

We refer to these two types of transition as internal and synchronising respectively. A run of  $\mathbb{C}$  is a run  $c_0 \xrightarrow{\gamma_1} c_1 \xrightarrow{\gamma_2} \cdots \xrightarrow{\gamma_m} c_m$ .

**Bounding Runs.** During a run, the counter is in a non-decrementing mode if the last value-changing operation on that counter was an increment. Similarly, a counter may be in a non-incrementing mode. The number of reversals of a counter during a run is the number of times the counter changes from an incrementing to a decrementing mode, and vice versa. For example, if the values of a counter x in a path are  $1, 1, 1, 2, 3, 4, 4, \overline{4, 3}, 2, \overline{2, 3}$ , then the number of reversals of x is 2 (reversals occur in between the overlined positions). This sequence has three phases (i.e. subpaths interleaved by consecutive reversals or end points): non-decrementing, non-incrementing, and finally non-decrementing.

**Definition 2** (r-Reversal-Bounded). A run  $c_0 \stackrel{\gamma_1}{\Longrightarrow} c_1 \stackrel{\gamma_2}{\Longrightarrow} \cdots \stackrel{\gamma_m}{\Longrightarrow} c_m$  is r-reversal-bounded whenever we can partition  $c_0c_1 \dots c_m$  into  $C_1 \dots C_r$  such that for all  $1 \leq p \leq r$ , there is some  $\sim \in \{\leq, \geq\}$  such that for all  $c_jc_{j+1}$  appearing together in  $C_p$ , we have  $c_j = (\dots, v_j)$ ,  $c_{j+1} = (\dots, v_{j+1})$ , and for all  $1 \leq i \leq n$ ,  $v_j(i) \sim v_{j+1}(i)$ .

Finally, we define the notion of *synchronisation-bounded*. We show in Section 4 that this notion subsumes context-bounded model checking.

**Definition 3** (k-Synchronisation-Bounded). A run  $\pi$  is k-synchronisation-bounded whenever  $\pi$  uses k or fewer synchronising transitions.

# 3 Synchronisation-Bounded Reachability

The r-reversal and k-synchronisation-bounded reachability problem for a given  $\mathbb{C}$ , bound r and k asks, for given configurations c and c' of  $\mathbb{C}$ , is there a k-synchronisation-bounded run of  $\mathbb{C}$  from c to c' using up to r reversals. We prove:

**Theorem 1.** For two bounds r and k given in unary, the r-reversal and k-synchronisation-bounded reachability problem for n-SyncPDS is NP-complete.

The proof extends the analogous theorem for r-reversal-bounded n-PDS [20]. We will construct, for each  $\mathcal{P}_i$  in  $\mathbb{C}$ , an over-approximating pushdown automaton  $\mathcal{P}'_i$  and use Verma  $et~al.~[40]^1$  to obtain an existential Presburger formula  $\operatorname{Image}_i$  giving the Parikh image of  $\mathcal{P}'_i$ . Finally, we add additional constraints such that a solution exists iff the reachability problem has a positive answer.

<sup>&</sup>lt;sup>1</sup> It is well known that [40] contains a small bug, fixed by Barner [6]. See the full version for more details.

The encoding presented here is one of two encodings that we developed. This encoding is both simpler to explain and seems to be handled better by Z3 for almost all of our examples than the second encoding. However, the second encoding results in a smaller formula. Hence, we include both reductions as contributions, and present the second reduction in the full version of the paper.

The key difference between the encodings is where we store the number of synchronisations performed so far. In the first encoding, we keep a component g in each control state; thus, from each  $\mathcal{P}$  we build  $\mathcal{P}'$  with  $|\mathcal{Q}| \times N_{\max} \times (k+1)$  control states, where  $\mathcal{Q}$  is set of control states of  $\mathcal{P}$  and  $N_{\max}$  is the number of mode vectors (where modes are defined below).

In the alternative encoding we put the number of synchronisations in the modes, resulting in  $|\mathcal{Q}| \times (N_{\text{max}} + k + 1)$  control states. This is important since our reduction is quadratic in the number of controls. Hence, if k = 2, the alternative results in pushdown automata a third of the size of the encoding presented here. However, the resulting formulas seem experimentally more difficult to solve.

Let  $c = (q_1^0, w_1, \dots, q_m^0, w_m, v_0)$  and  $c' = (f_1, w'_1, \dots, f_m, w'_m, v_f)$ . By hard-coding the initial and final stack contents, we can assume that all  $w_i = w'_i = \bot$ .

Unfortunately, we cannot use the reduction for r-reversal-bounded n-PDS as a completely black box; hence, we will recall the relevant details and highlight the new techniques required. We refer the reader to the article [20] for further details. The correctness of the reduction is given in the full version of the paper.

The final formula HasRun will take the shape

$$\exists m{m}_1, \dots, m{m}_{N_{\max}} \exists m{z}_1 \dots m{z}_m egin{pmatrix} & \operatorname{Init}(m{m}_1) \wedge \operatorname{GoodSeq}(m{m}_1, \dots, m{m}_{N_{\max}}) \\ & \wedge & \bigwedge & \operatorname{Image}_i(m{z}_i) \\ & \wedge \operatorname{Respect}\left(\sum_{1 \leq i \leq m} m{z}_i, m{m}_1, \dots, m{m}_{N_{\max}}
ight) \\ & \wedge \operatorname{OneChange}\left(\sum_{1 \leq i \leq m} m{z}_i
ight) \\ & \wedge \operatorname{EndVal}\left(\sum_{1 \leq i \leq m} m{z}_i
ight) \wedge \operatorname{Syncs}\left(\sum_{1 \leq i \leq m} m{z}_i
ight) \end{pmatrix}$$

where the formulas  $\operatorname{OneChange}\left(\sum_{1\leq i\leq m}z_i\right)$  and  $\operatorname{Syncs}\left(\sum_{1\leq i\leq m}z_i\right)$  are the main differences with the single thread case. In addition, further adaptations need to be made within other aspects of the formula. We remark at this point that the user may add to HasRun an additional constraint on the Parikh images of runs — such as restricting to runs where the number of characters  $\gamma$  output is greater than the number of  $\gamma'$ .

The Mode Vectors. We begin with the vectors  $m_1, \ldots, m_{N_{\text{max}}}$ , which are unchanged from the case of r-reversal-bounded n-PDS. Let  $d_1 < \ldots < d_h$  denote all the numeric constants appearing in an atomic counter constraint as a part of the constraints in the  $\mathcal{P}_i$ . Without loss of generality, we assume that  $d_1 = 0$  for convenience. Let  $\text{REG} = \{\varphi_1, \ldots, \varphi_h, \psi_1, \ldots, \psi_h\}$  be a set of formulas defined as follows. Note that these formulas partition  $\mathbb{N}$  into 2h pairwise disjoint regions.

$$\varphi_i(x) \equiv x = d_i, \quad \psi_i(x) \equiv d_i < x < d_{i+1} \ (1 \le i < h), \quad \psi_h(x) \equiv d_h < x \ .$$

We call a vector in  $\mathtt{REG}^n \times [0,r]^n \times \{\uparrow,\downarrow\}^n$  a mode vector. Given a path  $\pi$  from configurations c to c', we may associate a mode vector to each configuration in  $\pi$ . This vector records for each counter, which region its value is in, how many reversals it's used, and whether its phase is non-decrementing  $(\uparrow)$  or non-incrementing  $(\downarrow)$ . Consider a sequence of mode vectors. A crucial observation is, once a change occurs to the mode information of a counter, the same information will not recur for that counter. For example, returning to the same region will incur an increase in the number of reversals. Thus, there are at most  $N_{\max} := |\mathtt{REG}| \times (r+1) \times n = 2hn(r+1)$  distinct mode vectors in any sequence.

Constructing  $\mathcal{P}'_i$ . We define the pushdown automata

$$\mathcal{P}'_{i} = (\mathcal{Q}'_{i}, \Sigma_{i}, \Gamma', \Delta'_{i}, (q_{i}^{0}, 1, 1), \{f_{i}\} \times [1, N_{\max}] \times [1, k+1])$$

for each  $\mathcal{P}_i$  in  $\mathbb{C}$ . Note that each  $\mathcal{P}'_i$  has the same output alphabet  $\Gamma'$ . We assume that all  $\mathcal{Q}_i$  are pairwise disjoint. There are two main aspects to each  $\mathcal{P}'_i$ . First, we remove the counters. To replace them, we have  $\mathcal{P}'_i$  output any counter changes or tests that would have been performed. E.g. where  $\mathcal{P}_i$  would increment a counter,  $\mathcal{P}'_i$  will output a symbol  $(\mathsf{ctr}_j, 1, \ldots)$  indicating (amongst other things) that counter  $\mathsf{ctr}_j$  should be increased by 1. Furthermore,  $\mathcal{P}'_i$  guesses when, and keeps track of when, mode changes would have occurred. Secondly, we allow  $\mathcal{P}'_i$  to non-deterministically make synchronisations (instead of communicating, the effect of external threads is guessed). In this case, the control state change, along with the number of synchronisations performed thus far, will be output. In this way,  $\mathcal{P}'_i$  makes "visible" the counter tests, counter updates and synchronisations that would have been performed by  $\mathcal{P}_i$  on the same run. Constraints described later in HasRun ensure these operations are valid.

More formally, let  $Q'_i = Q_i \times [1, N_{\text{max}}] \times [1, k+1]$  (that is, we add to  $Q_i$  the current mode and synchronisation number). We define  $\Gamma'$  implicitly from the transition relation. In fact,  $\Gamma'$  is a (finite) subset of

$$\begin{split} \varGamma \cup \left\{ \begin{array}{l} (\mathtt{ctr}_j, u, e, l) \ | \ j \in [1, n], u \in \mathbb{Z}, e \in [1, N_{\max}], l \in \{0, 1\} \end{array} \right\} \\ & \cup \left( Const_X \times [1, N_{\max}] \right) \\ \cup \bigcup_{1 \leq i \leq m} \left( StateCons_{\mathcal{Q}_1, \dots, \mathcal{Q}_m} \times \mathcal{Q}_i \times \mathcal{Q}_i \times [1, N_{\max}] \times [1, k + 1] \times \{0, 1\} \right). \end{split}$$

Characters  $(\mathsf{ctr}_j, u, e, l)$  mean to add u to  $\mathsf{ctr}_j$ , in mode e, where l indicates whether the counter action changes the mode vector. Characters  $(\theta, e)$  indicate a counter test in mode e. Finally, characters  $(\delta, q, q', e, g, l)$  indicate a use of synchronisation rule  $\delta$ , changing  $\mathcal{P}_i$  from control state q to q', in mode e with g synchronisations performed so far.

We define  $\Delta'_i$  to be the smallest set such that, if  $(q, a, \theta) \stackrel{\gamma}{\hookrightarrow} (q', w, u) \in \Delta_i$  where  $u = (u_1, \dots, u_n)$  then for each  $e \in [1, N_{\max}]$  and  $g \in [1, k+1]$ ,  $\Delta'_i$  contains

$$((q,e,g),a) \xrightarrow{\boldsymbol{\gamma}(\theta,e)(\mathsf{ctr}_1,u_1,e,l)...(\mathsf{ctr}_n,u_n,e,l)} ((q',e+l,g),w)$$

for all  $l \in \{0,1\}$  if  $e \in [1, N_{\text{max}})$  and l = 0 otherwise. Thus, l = 1 signifies a mode changing transition.

These rules are the rules required in the single thread case. We need additional rules to reflect the multi-threaded environment. In particular, an external thread may change the mode, or a synchronising transition may occur. To account for this  $\Delta'_i$  also has for each  $q \in \mathcal{Q}_i$ ,  $a \in \mathcal{L}_i$ ,  $e \in [1, N_{\text{max}})$ , and  $g \in [1, k+1]$ ,

$$((q, e, g), a) \stackrel{\varepsilon}{\hookrightarrow} ((q, e+1, g), a) \tag{*}$$

and, to model synchronisations, we have for all  $q, q' \in \mathcal{Q}_i$ ,  $e \in [1, N_{\text{max}}], g \in [1, k+1)$  and  $(\delta, \theta, \mathbf{u}) \in \Delta_q$ , when i > 1,

$$((q, e, g), a) \xrightarrow{(\delta, q, q', e, g, l)} ((q', e + l, g + 1), a)$$

and when i = 1 and  $\mathbf{u} = (u_1, \dots, u_n)$ ,

$$((q,e,g),a) \xrightarrow{(\delta,q,q',e,g,l)(\theta,e)(\mathsf{ctr}_1,u_1,e,l)...(\mathsf{ctr}_n,u_n,e,l)} ((q',e+l,g+1),a)$$

for all  $l \in \{0,1\}$  when  $e \in [1, N_{\text{max}})$  and l = 0 otherwise. That is,  $\mathcal{P}'_i$  guesses the effect of non-internal transitions and  $\mathcal{P}'_1$  is responsible for performing the required counter updates. Note that the information in the output character  $(\delta, q, q', e, g, l)$  allows us to check that synchronising transitions take place in the same order and in the same modes across all threads.

Constructing The Formula. Fix an ordering  $\gamma_1 < \ldots < \gamma_l$  on  $\Gamma'$ . By f we denote a function mapping  $\gamma_i$  to i for each  $i \in [1, l]$ . Let z denote a vector of l variables. The formula is HasRun given above, where Init, GoodSeq, Respect, and EndVal are defined as in the single thread case (using only variables which are unchanged from [20]); therefore, we describe them informally here, referring the reader to the full version of the paper for the full definitions. We convert each  $\mathcal{P}'_i$  to a context-free grammar (of cubic size) and use [40] to obtain Image<sub>i</sub> such that for each  $n \in \mathbb{N}^l$  we have  $n \in \mathbb{P}(\mathcal{L}(\mathcal{P}'_i))$  iff Image<sub>i</sub> (n) holds. Informally,

- Init ensures the initial mode vector  $m_1$  respects the initial configuration c;
- GoodSeq ensures that the sequence of mode vectors  $m_1, \ldots, m_{N_{\text{max}}}$  is valid. For example, if the direction of a counter changes, then an extra reversal is incurred on that counter;
- Respect requires that the counter tests and actions fired within a mode are allowed. For example, a subtraction may not occur on a counter in a nondecreasing phase, only one mode change action may occur per mode, and that counter tests only occur in sympathetic regions; and
- EndVal checks that the counter operations applied during the run leave each counter in the correct value, as given in the final configuration c'.

It remains for us to define OneChange and Syncs. We use OneChange to assert that only one thread may be responsible for firing the transition that changes a given mode of the counters to the next. That is,

$$\texttt{OneChange}\left(\mathbf{z}\right) \equiv \bigwedge_{\substack{(\mathtt{ctr}_{j}, u, e, 1) \\ (\mathtt{ctr}_{j}, u', e, 1) \\ u' \neq u}} z_{f(\mathtt{ctr}_{j}, u, e, 1)} > 0 \Rightarrow \begin{pmatrix} z_{f(\mathtt{ctr}_{j}, u, e, 1)} = 1 \\ \wedge \ z_{f(\mathtt{ctr}_{j}, u', e, 1)} = 0 \end{pmatrix} \ .$$

The role of Syncs is to ensure that the synchronising transitions taken by  $\mathcal{P}'_1, \ldots, \mathcal{P}'_m$  are valid. Note that, by design, each  $\mathcal{P}'_i$  will only output at most one character of the form  $(\delta, q, q', e, g, l)$  for each  $g \in [1, k]$ . We assert, if one thread uses a global transition with condition  $\delta$ , all do, and  $\delta$  is satisfied. That is,

$$\mathtt{Syncs}\left(\boldsymbol{z}\right) \equiv \bigwedge_{\substack{1 \leq g \leq k \\ (\delta, \theta, \boldsymbol{u}) \in \Delta_g \\ l \in \{0.1\}}} \left( \begin{smallmatrix} \mathtt{Fired}_{(\delta, e, g, l)} \left( \boldsymbol{z} \right) \Rightarrow \\ \left( \mathtt{Sync}_{(\delta, e, g, l)} \left( \boldsymbol{z} \right) \ \land \ \mathtt{AllFired}_{(\delta, e, g, l)} \left( \boldsymbol{z} \right) \end{smallmatrix} \right) \right)$$

where  $\operatorname{Sync}_{(\delta,e,g,l)}(z)$  is  $\delta(z)$  with each atomic state constraints replaced as below.

$$(y_i = q) \equiv \bigvee_{(\delta, q, q', e, g, l)} z_{f(\delta, q, q', e, g, l)} > 0$$
 and  $(y_i = q') \equiv \bigvee_{(\delta, q, q', e, g, l)} z_{f(\delta, q, q', e, g, l)} > 0$ .

Finally, 
$$\operatorname{Fired}_{\left(\delta,e,g,l\right)}\left(\boldsymbol{z}\right)\equiv\bigvee_{\left(\delta,q,q',e,g,l\right)}z_{f\left(\delta,q,q',e,g,l\right)}>0,$$
 and

$$exttt{AllFired}_{(\delta,e,g,l)}\left(oldsymbol{z}
ight) \equiv igwedge_{1 \leq i \leq m} igvee_{q,q' \in \mathcal{Q}_i} z_{f(\delta,q,q',e,g,l)} > 0$$
 .

We remark upon a pleasant corollary of our main result. Consider a system of pushdown systems communicating only via reversal-bounded counters. Since such a system cannot use any synchronising transitions, all runs are 0-synchronisation-bounded; hence, their reachability problem is in NP.

# 4 Comparison with Context-Bounded Model Checking

Global synchronisations can be used to model classical context-bounded model checking. We present a simple encoding here. We begin with the definition.

**Definition 4 (n-CIPDS).** A classical system of communicating pushdown systems with n counters  $\mathbb{C}$  is a tuple  $(\mathcal{P}_1, \ldots, \mathcal{P}_m, G, X)$  where, for all  $1 \leq i \leq m$ ,  $\mathcal{P}_i$  is a PDA with n counters  $(\mathcal{Q}_i, \Sigma_i, \Gamma, \Delta_i, X)$ , X is a finite set of counter variables and  $\mathcal{Q}_i = G \times \mathcal{Q}'_i$  for some finite set  $\mathcal{Q}'_i$ .

A configuration of a n-CIPDS is a tuple  $(g, q_1, w_1, \dots, q_m, w_m, \boldsymbol{v})$  where  $g \in G$  and  $(g, q_i) \in \mathcal{Q}_i$  for all i. We have a transition  $(g, q_1, w_1, \dots, q_m, w_m, \boldsymbol{v}) \stackrel{\boldsymbol{\gamma}}{\Rightarrow} (g', q'_1, w'_1, \dots, q'_m, w'_m, \boldsymbol{v}')$  when, for some  $1 \leq i \leq m$ , we have  $((g, q_i), w_i, \boldsymbol{v}) \stackrel{\boldsymbol{\gamma}}{\rightarrow} ((g', q'_i), w'_i, \boldsymbol{v}')$  is a transition of  $\mathcal{P}_i$  and  $q_j = q'_j$  and  $w_j = w'_j$  for all  $j \neq i$ .

A run of  $\mathbb{C}$  is a sequence  $c_0 \stackrel{\gamma_1}{\Longrightarrow} c_1 \stackrel{\gamma_2}{\Longrightarrow} \cdots \stackrel{\gamma_m}{\Longrightarrow} c_m$ . A k-context-bounded run is a run  $c_0 \stackrel{\gamma_1}{\Longrightarrow} c_1 \stackrel{\gamma_2}{\Longrightarrow} \cdots \stackrel{\gamma_m}{\Longrightarrow} c_m$  that can be divided into k phases  $C_1, \ldots, C_k$  such that during each  $C_i$  only transitions from a unique  $\mathcal{P}_j$  are used. By convention, the first phase contains only transitions from  $\mathcal{P}_1$ .

We define an n-SyncPDS simulating any given n-ClPDS. It uses the synchronisations to pass the global component g of the n-ClPDS between configurations of the n-SyncPDS, acting like a token enabling one process to run. Since there are k global synchronisations, the run will be k-context-bounded.

**Definition 5.** Given a n-ClPDS  $\mathbb{C} = (\mathcal{P}_1, \dots, \mathcal{P}_m, G, X)$ . Let # be a symbol not in G. We define from each  $\mathcal{P}_i = (\mathcal{Q}_i, \mathcal{\Sigma}_i, \Gamma, \Delta_i, X)$  with  $\mathcal{Q}_i = G \times \mathcal{Q}'_i$  the pushdown system  $\mathcal{P}_i^S = (\mathcal{Q}_i^S \cup \{f_i\}, \mathcal{\Sigma}_i, \Gamma, \Delta_i^S, X)$  where  $\mathcal{Q}_i^S = \mathcal{Q}^i \times (G \cup \{\#\})$ and  $\Delta_i^S$  is the smallest set containing  $\Delta_i$  and  $((g,q),a,tt) \stackrel{\varepsilon}{\hookrightarrow} (f_i,w,\mathbf{0})$  for all q, a appearing as a head in the final configuration with g = # or with g also in the final configuration.

Finally, let  $\mathbb{C}^S = (\mathcal{P}_1^S, \dots, \mathcal{P}_m^S, \Delta_g, X)$ , where  $\Delta_g = \{(\delta, \mathbf{tt}, \mathbf{0})\}$  such that the formula  $\delta(q_1^1, \dots, q_n^1, q_1^2, \dots, q_n^2)$  holds only when there is some  $g \in G$  and  $1 \le i \ne j \le n$  such that

- 1.  $q_i^1=(g,q)$  and  $q_i^2=(\#,q)$  for some q, and 2.  $q_j^1=(\#,q)$  and  $q_j^2=(g,q)$  for some q, and
- 3. for all  $i' \neq i$  and  $i' \neq j$ ,  $q_{i'}^1 = (\#, q)$  and  $q_{i'}^2 = (\#, q)$  for some q.

We show in the full version of this paper that an optimised version of this simulation — discussed in Section 5 — is correct. That is, there is a run of  $\mathbb C$ to the final configuration  $(g, q_1, w_1, \dots, q_m, w_m, v)$  iff there is a run of  $\mathbb{C}^S$  to  $(f_1, w_1, \ldots, f_m, w_m, v)$  using the same number of reversals.

#### **Optimisations** 5

Our experiments suggest that without further optimisations our reduction from Section 3 is rather impractical. In this section, we provide several optimisations which considerably improve the practical aspect of our reduction. We discuss improving the encoding of the context-bounded model checking, identifying and eliminating "removable" heads from our models, and minimising the size of the CFG produced during the reduction using reachability information. The gist behind our optimisation strategies is to keep the size of the models (pushdown automata, CFG, etc.) as small as possible throughout our reduction, which can be achieved by removing redundant objects as early as possible. For the rest of this section, we fix an initial and a final configuration.

Context-Bounded Model Checking. The encoding context-bounded model checking encoding given in Section 4 allows context-switches to occur at any moment. However, we can observe that context-switches only need to occur when global information needs to be up-to-date. This restriction led to improvements in our experiments. We describe the positions where context-switches may occur informally here, and give a formal definition and proof in the long version.

In our restricted encoding, context-switches may occur when an update to global component g occurs; the value of g is tested; an update to the counters occurs; the values of the counters are tested; or the control state of the active thread appears in the final configuration. Intuitively, we delay context-switches as long as possible without removing behaviours — that is, until the status of the global information affects, or may be affected by, the next transition.

Minimising Communicating Pushdown Systems with Counters. We describe a minimisation technique to reduce the size of the pushdown systems. It identifies heads q, a of the pushdown systems that are removable. We collapse pairs of rules passing through the head q, a into a single combined rule. Thus, we build a pushdown system with fewer heads, but the same behaviours. In the following definition, sink states will be defined later. Intuitively it means when q is reached, q cannot be changed in one local or global transition.

## **Definition 6.** A head q, a is removable whenever

- 1. q, a is not the head of the initial or final configuration, and
- 2. it is not a return location, i.e. there is no rule  $(q_1, b_1, \theta) \stackrel{\gamma}{\hookrightarrow} (q_2, b_2 w', \mathbf{u})$  with a appearing in w' and a does not appear below the top of the stack in the initial configuration, and
- 3. it is not a loop, i.e. there is no rule  $(q, a, \theta) \stackrel{\gamma}{\hookrightarrow} (q, aw', \mathbf{u})$ , and
- 4. it is not a synchronisation location, i.e. for all  $(q_2, b, \theta) \stackrel{\gamma}{\hookrightarrow} (q_1, aw', \mathbf{u})$  or initial configuration containing  $q_1$  and a, we have either, (i) for all  $(\delta, \theta', \mathbf{u}') \in \Delta_g$  and  $q_1^1, \ldots, q_m^1, q_1^2, \ldots, q_m^2$  such that  $\delta(q_1^1, \ldots, q_m^1, q_1^2, \ldots, q_m^2)$  holds we have  $q_i^j \neq q_1$  for all i, j, or (ii)  $q_1$  is a sink state, and
- 5. it is not a counter access location, i.e. there is no rule  $(q, a, \theta) \stackrel{\gamma}{\hookrightarrow} (q', w', u)$  such that  $\theta$  depends on a counter or u contains a non-zero entry, and there is no rule  $(q', b, \theta) \stackrel{\gamma}{\hookrightarrow} (q, aw', u)$  such that u contains a non-zero entry.

**Definition 7.** A state q is a sink state when for all rules  $(q, a, \theta) \xrightarrow{\gamma} (q', w', \boldsymbol{u})$  we have q' = q and for all  $(\delta, \theta', \boldsymbol{u}') \in \Delta_g$  and  $q_1^1, \ldots, q_m^1, q_1^2, \ldots, q_m^2$  with  $q_i^1 = q$  for some i such that  $\delta(q_1^1, \ldots, q_m^1, q_1^2, \ldots, q_m^2)$  holds we have  $q_i^2 = q$ .

Removable heads can be eliminated by merging rules passing through them. In general, this may increase the number of rules, but in practice it leads to significant reductions (see Table 1). We show, in the full version of this paper, that this optimisation preserves behaviours of the systems.

**Definition 8.** Given a n-SyncPDS with global rules  $\Delta_g$  and a pushdown system  $\mathcal{P}$  with n counters  $(\mathcal{Q}, \mathcal{\Sigma}, \Gamma, \Delta, X)$  and a removable head q, a, we define  $\mathcal{P}_{q,a}$  to be  $(\mathcal{Q}, \mathcal{\Sigma}, \Gamma, \Delta', X)$  where  $\Delta' = \Delta$  if  $\Delta_1$  is empty and  $\Delta' = \Delta_1 \cup \Delta_2$  otherwise, where

$$\Delta_{1} = \left\{ \begin{array}{c} (q_{1}, b, \theta) \stackrel{\boldsymbol{\gamma}_{1}, \boldsymbol{\gamma}_{2}}{\longleftrightarrow} (q_{2}, w, \boldsymbol{u}_{1} + \boldsymbol{u}_{2}) & (q_{1}, b, \theta_{1}) \stackrel{\boldsymbol{\gamma}_{1}}{\longleftrightarrow} (q, aw_{1}, \boldsymbol{u}_{1}) \in \Delta \land \\ (q, a, \theta_{2}) \stackrel{\boldsymbol{\gamma}_{2}}{\longleftrightarrow} (q_{2}, w_{2}, \boldsymbol{u}_{2}) \in \Delta \land \\ \theta = (\theta_{1} \land \theta_{2}) \land w = w_{2}w_{1} \end{array} \right\}$$

and 
$$\Delta_2 = \Delta \setminus \left\{ \left\{ \begin{array}{l} (q_1, b, \theta) \stackrel{\boldsymbol{\gamma}}{\hookrightarrow} (q_2, w', \boldsymbol{u}) \mid q_1, b = q, a \\ (q_1, b_1, \theta) \stackrel{\boldsymbol{\gamma}}{\hookrightarrow} (q_2, b_2 w', \boldsymbol{u}) \mid q_2, b_2 = q, a \end{array} \right\} \right\}.$$

Minimising CFG Size via Pushdown Reachability Table. Recall that our reduction to existential Presburger formulas from an n-SyncPDS makes use of a standard language-preserving reduction from pushdown automata to context-free grammars (CFGs). Unfortunately, the standard translations from PDAs to CFGs incur a cubic blow-up. More precisely, if the input PDA is  $\mathcal{P} = (\mathcal{Q}, \mathcal{\Sigma}, \Gamma, \Delta, q^0, F)$ , the output CFG has size  $O(|\Delta| \times |\mathcal{Q}|^2)$ . Our experiments suggest that this cubic blow-up is impractical without further optimisation, i.e., the naive translation failed to terminate within a couple of hours for most of our examples. Note that the complexity of translating from PDA to CFG is very much related to the reachability problem for pushdown systems, for which the optimal complexity is a long-standing open problem (the fastest algorithm [11] to date has complexity  $O(n^3/\log n)$  under certain assumptions).

We will now describe two optimisations to improve the size of the CFG that is produced by our reduction in the previous section, the second optimisation gives better performance (asymptotically and empirically) than the first. Without loss of generality, we assume that: (A1) the PDA empties the stack as it accepts an input word, (A2) the transitions of the input PDA are of the form  $(p,a) \stackrel{\gamma}{\to} (q,w)$  where  $p,q \in \mathcal{Q}, \ \gamma \in \varGamma^*, \ a \in \varSigma$ , and  $w \in \varSigma^*$  with  $|w| \leq 2$ . [It is well-known that any input PDA can be translated into a PDA in this "normal form" that recognises the same language while incuring only a linear blow-up.] The gist behind both optimisations is to refrain from producing redundant CFG rules by looking at the reachability table for the PDA. Keeping the CFG size low in the first place results in algorithms that are more efficient than removing redundant rules after the CFG is produced.

Let us first briefly recall a standard language-preserving translation from PDA to CFG. Given a PDA  $\mathcal{P} = (\mathcal{Q}, \mathcal{\Sigma}, \Gamma, \Delta, q^0, F)$ , we construct the following CFG with nonterminals  $N = \{S\} \cup \{A_{p,a,q} : p, q \in \mathcal{Q}, a \in \mathcal{\Sigma}\}$ , terminals  $\Gamma$ , starting nonterminal S, and the following transitions:

- (1) For each  $(p,a) \stackrel{\gamma}{\hookrightarrow} (q,\epsilon) \in \Delta$ , the CFG has  $A_{p,a,q} \to \gamma$ .
- (2) For each  $(p,a) \stackrel{\gamma}{\hookrightarrow} (p',b) \in \Delta$  and  $q \in \mathcal{Q}$ , the CFG has  $A_{p,a,q} \to \gamma A_{p',b,q}$ .
- (3) For each  $(p,a) \stackrel{\gamma}{\hookrightarrow} (p',cb) \in \Delta$  and  $r,q \in \mathcal{Q}$ , the CFG has  $A_{p,a,q} \rightarrow \gamma A_{p',c,r} A_{r,b,q}$ .
- (4) Add  $S \to A_{q^0,\perp,q_F}$  for each  $q_F \in F$ .

Note that  $A_{p,a,q}$  generates all words that can be output by  $\mathcal{P}$  from configuration (p,a) ending in configuration  $(q,\epsilon)$ . Both of our optimisations refrain from generating: (i) CFG rules of type (2) above in the case when  $(p',b) \not\to^* (q,\epsilon)$ , and (ii) CFG rules of type (3) in the case when  $(p',c) \not\to^* (r,\epsilon)$  or  $(r,b) \not\to^* (q,\epsilon)$ , and (iii) CFG rules of type (4) in the case when  $(q^0, \bot) \not\to^* (q_F, \epsilon)$ .

It remains to describe how to build the reachability lookup table for  $\mathcal{P}$  with entries of the form (p, a, q) witnessing whether  $(p, a) \to^* (q, \epsilon)$ . The first optimisation achieves this by directly applying the  $pre^*$  algorithm for pushdown systems described in [16], which takes  $O(|\mathcal{Q}|^2 \times |\mathcal{\Delta}|)$  time. This optimisation holds for any input PDA and, hence, does not exploit the structure of the PDA that we generated in the previous section. Our second optimisation improves

the  $pre^*$  algorithm for pushdown systems from [16] by exploiting the structure of the PDA generated in the previous section, for which each control state is of the form (p, i, j), where  $i, j \in \mathbb{Z}_{>0}$ . The crucial observation is that, due to the PDA rules of type (\*) generated from the previous section, the PDA that we are concerned with satisfy the following two properties:

- **(P0)**  $((p, i, j), v) \to^* ((q, i', j'), w)$  implies  $i' \ge i$  and  $j' \ge j$ .
- **(P1)**  $((p, i, j), v) \to^* ((q, i', j'), w))$  implies for each  $d_1, d_2 \in \mathbb{N}$  that we have  $((p, i + d_1, j + d_2), v) \to^* ((q, i' + d_1, j' + d_2), w)$ .
- **(P2)** for each nonempty  $v \in \Sigma^*$ :  $((p, i, j), av) \to^* ((q, i', j'), v)$  implies we have  $((p, i, j), av) \to^* ((q, i'', j'), v)$  for each  $i'' \geq i'$ .

Properties (P0) and (P1) imply it suffices to keep track of the differences in the mode indices and context indices in the reachability lookup table, i.e., instead of keeping track of all values  $((p,i,j),a) \to^* ((q,i',j'),\epsilon)$ , each entry is of the form (p,a,q,d,d') meaning that  $((p,i,j),a) \to^* ((q,i+d,j+d'),\epsilon)$  for each  $i,j \in \mathbb{Z}_{>0}$ . Property (P2) implies that if (p,a,q,d,d') is an entry in the table, then so is (p,a,q,d+i,d') for each  $i \in \mathbb{N}$ . Therefore, whenever p,a,q,d' are fixed, it suffices to only keep track of the minimum value d such that (p,a,q,d,d') is an entry in the table. We describe the adaptation of the  $pre^*$  algorithm for pushdown systems from [16] for computing the specialised reachability lookup table in the full version. The resulting time complexity for computing the specialised reachability lookup table becomes linear in the number of mode indices.

# 6 Implementation and Experimental Results

We implemented two tools: Pushdown Translator and SynPCo2Z3.

**Pushdown Translator.** The Pushdown Translator tool, implemented in C++, takes a program in a simple input language and produces an n-SyncPDS. The language supports threads, boolean variables (shared between threads, global to a thread, or local), shared counters, method calls, assignment to boolean variables, counter increment and decrement, branching and assertions with counter and boolean variable tests, non-deterministic branching, goto statements, locks, output, and while loops. The user can specify the number of reversals and context-switches and specify a constraint on the output performed (e.g. find runs where the number of  $\gamma$  characters output equals the number of  $\gamma$ 's). The full syntax is given in the full version of this paper. The translation uses the context-switch technique presented in Definition 5 and the minimisation technique of Definition 8. Furthermore, the constructed pushdown systems only contain transitions for reachable states of each thread (assuming counter tests always pass, and synchronising transition can always be fired).

**SynPCo2Z3.** Our second tool SynPCo2Z3 is implemented in SWI-Prolog. The input is an n-SyncPDS, reversal bound r, and synchronisation-bound k. Due to the declarative nature of Prolog, the syntax is kept close to the n-SyncPDS definition. The output is an existential Presburger formulas in SMT-LIB format,

supported by Z3. Moreover, the tool implements all the different translations that have been described in this paper (including appendix) with and without the optimisations described in the previous section. The user may also specify a constraint on the output performed by the input n-SyncPDS.

Experiments. We tested our implementation on several realistic benchmarks. One benchmark concerns the producer-consumer examples (with one producer and one consumer) from [31]. We took two examples from [31]: one uses one counter and is erroneous, wherein both producer and consumer might be both asleep (a deadlock), and the other uses two counters and is correct. The n-SyncPDS models of these examples were hand-coded since they use synchronisations rather than the context-switches of Pushdown Translator.

The remaining benchmarks were adapted from modules found in Linux kernel 3.2.1, which contained list- and memory-management, as well as locks for concurrent access. These modules often provided "register" and "unregister" functions in their API. We tested that, when register was called as many times as unregister, the number of calls to malloc was equal to the number of calls to free. Furthermore, we checked that the module did not attempt to remove an item from an empty list. In all cases, memory and list management was correct. We then introduced bugs by either removing a call to free, or a lock statement. Note the translation from C to our input language was by hand, and an automatic translation is an interesting avenue of future work.

The results are shown in Table 1. All tests were run on a 2.8GHz Intel machine with 32GB of RAM. Each benchmark had two threads, two context-switches, one counter and one reversal. The size fields give the total number of pushdown rules in the n-SyncPDS, both before and after removable heads minimisation. Tran. Time gives the time it took to produce the SMT formula, Solve Time is the time taken by Z3 (v. 3.2, Linux build). Each cell contains two entries: the first is for the instance with a bug, the second for the correct instance.

| File             | Size    | Min. Size | Tran. Time | Solve Time           |
|------------------|---------|-----------|------------|----------------------|
| prod-cons.c      | 22/13   | -/-       | 0.8s/1.8s  | 4.2s/6.8s            |
| api.c (rtl8192u) | 654/660 | 202/208   | 28s/28s    | 4m19s/4m32s          |
| af_alg.c         | 506/528 | 174/204   | 18s/21s    | 10 m 2 s / 4 m 47 s  |
| hid-quirks.c     | 557/559 | 303/303   | 47s/47s    | 18m41s/12m5s         |
| dm-target.c      | 416/436 | 254/278   | 27s/29s    | 36 m 43 s / 10 m 1 s |

Table 1. Results of experimental runs

## 7 Conclusions and Future Work

We have studied the synchronisation-bounded reachability problem for a class of pushdown systems communicating by shared reversal-bounded counters and global synchronisations. This problem was shown to be NP-complete via an efficient reduction to existential Presburger arithmetic, which can be analysed using fast SMT solvers such as Z3. We have provided optimisation techniques for

the models and algorithms and a prototypical implementation of this reduction and experimented on a number of realistic examples, obtaining positive results.

There are several open problems. For instance, one weakness we would like to address is that we cannot represent data symbolically (using BDDs, for example). This prevents us from being competitive with tools such as Getafix [39] for context-bounded model-checking of pushdown systems without counters.

Furthermore, although we can obtain from the SMT solver a satisfying assignment to the Presburger formula, we would like to be able to construct a complete trace witnessing reachability. Additionally, the construction of a counter-example guided abstraction-refinement loop will require the development of new techniques not previously considered. In particular, heuristics will be needed to decide when to introduce new counters to the abstraction.

We may also consider generalisations of context-bounded analysis such as phase-bounds and ordered multi-stack automata. A further challenge will be to adapt our techniques to dynamic thread creation, where *each thread* has its own context-bound, rather than the system as a whole.

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