

The ω -Regular Post Embedding Problem^{*}

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Abstract. Post’s Embedding Problem is a new variant of Post’s Correspondence Problem where words are compared with embedding rather than equality. It has been shown recently that adding regular constraints on the form of admissible solutions makes the problem highly non-trivial, and relevant to the study of lossy channel systems. Here we consider the infinitary version and its application to recurrent reachability in lossy channel systems.

1 Introduction

Post’s correspondence problem, or shortly PCP, can be stated as the question whether two morphisms $u, v : \Sigma^* \rightarrow \Gamma^*$ agree non-trivially on some input, i.e., whether $u(\sigma) = v(\sigma)$ for some non-empty $\sigma \in \Sigma^+$. This undecidable problem plays a central role in computer science because it is very often easier and more natural to prove undecidability by reduction from PCP than from, say, the halting problem for Turing machines.

In a recent paper, we introduced PEP, the *Post Embedding Problem*, a variant of PCP where one asks whether $u(\sigma)$ is a (scattered) *subword* of $v(\sigma)$ for some σ [CS07]. The subword relation, also called embedding, is denoted “ \sqsubseteq ”: $w \sqsubseteq w' \stackrel{\text{def}}{\iff} w$ can be obtained from w' by erasing some letters, possibly all of them, possibly none. We also introduced PEP^{reg} , an extension of PEP where one adds the requirement that a solution σ belongs to a regular language $R \subseteq \Sigma^*$.

PEP is a trivial, hence not very interesting, problem. However, and quite surprisingly, PEP^{reg} behaves very differently. PEP^{reg} is decidable but it is not primitive recursive. In fact it is (non-trivially) equivalent to the reachability problem for lossy channel systems. Thus PEP^{reg} is a new representative of the strange computational niche that hosts lossy channel systems and other problems in timed automata and logics [LW05, ADOW05, OW06, OW07], concurrency models [AM02, Del07, LNO⁺07], temporal and modal logic [DL06, GKWZ06, KWZ05, Kur06], and other areas [JL07]. We could also use PEP^{reg} to solve open problems on unidirectional channel systems combining one reliable and one lossy channel. These unidirectional systems, introduced in [CS07], are currently under our active scrutiny because of their fundamental role in the classification of channel systems that mix reliable and unreliable channels along arbitrary network topologies [Cha07].

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The ω -regular Post Embedding Problem. In this paper we consider infinitary extensions of PEP^{reg} ¹, most prominently $\text{PEP}^{\omega\text{-reg}}$, where one asks for an *infinite* $\sigma \in \Sigma^\omega$ such that $u(\sigma) \sqsubseteq v(\sigma)$, and where an ω -regular constraint can further be imposed upon σ . Our motivation is twofold. Firstly, we aim at deepening our understanding of PEP and PEP^{reg} , two exciting new problems. Secondly, and based on the existing results for the finitary case, we expect that connections can be established between $\text{PEP}^{\omega\text{-reg}}$ and recurrent reachability questions on channel systems.

Our contribution. In this paper, we show the equivalence between $\text{PEP}^{\omega\text{-reg}}$ and recurrent reachability questions for unidirectional channel systems. This equivalence is shown using the *2-dimensional correspondence+embedding problem*, or 2PCPE, a new intermediary problem that leads to a clearer, more abstract and more modular approach. The approach handles both the finitary and the infinitary cases in a single way.

We also show that $\text{PEP}^{\omega\text{-reg}}$ can be reduced to PEP^{reg} , so that the two problems are equivalent. Hence $\text{PEP}^{\omega\text{-reg}}$ is decidable. This has the surprising consequence that recurrent reachability for unidirectional channel systems is decidable. It further shows that the links we established between unidirectional channel systems and lossy channel systems (in [CS07]) do not carry over from reachability to recurrent reachability.

Finally, we show that recurrent reachability for lossy channel systems can be reduced to $\text{PEP}_{\text{dir}}^{\omega\text{-reg}}$, the variant of $\text{PEP}^{\omega\text{-reg}}$ where we look for *direct* solutions (informally, solutions where $v(\sigma)$ must be ahead of $u(\sigma)$ at all times when σ grows from ε to its final value). Hence $\text{PEP}_{\text{dir}}^{\omega\text{-reg}}$ is undecidable (while $\text{PEP}_{\text{codir}}^{\omega\text{-reg}}$ is decidable). Again, this contrasts with the finitary case, where PEP^{reg} , $\text{PEP}_{\text{dir}}^{\text{reg}}$ and $\text{PEP}_{\text{codir}}^{\text{reg}}$ are equivalent.

Outline of the paper. Section 2 recalls the necessary definitions and notations on embeddings between finite or infinite words. Section 3 states the ω -regular Post embedding problem, solves it in the unconstrained case, and shows that restricting to short morphisms is no loss of generality. Section 4 shows the equivalence between PEP^{reg} and $\text{PEP}^{\omega\text{-reg}}$, before Section 5 links $\text{PEP}^{\omega\text{-reg}}$ and PEP^{reg} with reachability and recurrent reachability questions for unidirectional channel systems. Finally, section 6 solves the remaining case, $\text{PEP}_{\text{dir}}^{\omega\text{-reg}}$, by linking it to recurrent reachability for lossy channel systems.

2 Notations and definitions

Words. We write $u, v, w, t, \sigma, \rho, \alpha, \beta, \dots$ for words, i.e., finite or infinite (i.e., ω -length) sequences of letters such as a, b, i, j, \dots from alphabets Σ, Γ, \dots . The *length* of $u \in \Sigma^* \cup \Sigma^\omega$ is written $|u|$, the set $\text{alph}(u)$ is the set of letters (a subset of Σ) that occur in u . We denote with $u.v$, or uv , the concatenation of u and v , with $uv = u$ when u has ω -length.

A *morphism* from Σ^* to Γ^* is a map $h : \Sigma^* \rightarrow \Gamma^*$ that respects the monoidal structure, i.e., with $h(\varepsilon) = \varepsilon$ and $h(\sigma.\rho) = h(\sigma).h(\rho)$. Its extension over Σ^ω is defined in the obvious way: note that, in general, it takes values in $\Gamma^* \cup \Gamma^\omega$ since $h(u) = \varepsilon$ for $u \neq \varepsilon$ is allowed. A morphism h is completely defined by its image $h(1), h(2), \dots$, on

¹ Recall that the classic PCP problem is undecidable but r.e., while the infinitary extension, denoted PCP^ω , is Σ_1^1 -complete.

$\Sigma = \{1, 2, \dots\}$. We often simply write h_1, h_2, \dots , and h_σ , instead of $h(1), h(2), \dots$, and $h(\sigma)$.

Embeddings. Given two words $u = a_1 \dots a_n$ and $v = b_1 \dots b_m$, we write $u \sqsubseteq v$ when u is a *subword* of v , i.e., when u can be obtained by erasing some letters (possibly none) from v . For example, $abba \sqsubseteq abracadabra$. Equivalently, $u \sqsubseteq v$ when u can be embedded in v , i.e., when there exists an order-preserving injective map (called an “*embedding*”) $h : \{1, \dots, n\} \rightarrow \{1, \dots, m\}$ such that $a_i = b_{h(i)}$ for all $i = 1, \dots, n$. Embeddings between ω -words are defined similarly, with a strictly increasing $h : \mathbb{N} \setminus 0 \rightarrow \mathbb{N} \setminus 0$. We explicitly allow the embedding of finite words into infinite ones.

It is well-known that the subword relation is a partial ordering on finite words. Observe that, between ω -words, embedding is only a (partial) *quasi-ordering*: $u \sqsubseteq v$ and $v \sqsubseteq u$ together do not imply $u = v$. For example, $(ab)^\omega \sqsubseteq (bba)^\omega \sqsubseteq (ab)^\omega$. We write $u \equiv v$ when $u \sqsubseteq v$ and $v \sqsubseteq u$.

Halving ω -words. For some $u \in \Sigma^\omega$, let $\text{inf}(u) \subseteq \Sigma$ denote the set of letters that occur infinitely many times in u . The word u can be decomposed under the form $u' . u''$ where u' is a finite prefix and the corresponding suffix $u'' \in \Sigma^\omega$, only contains letters from $\text{inf}(u)$. Such a decomposition is called a *halving* of u . There exists several (in fact, infinitely many) halvings of any $u \in \Sigma^\omega$: the *canonical halving* is obtained by selecting the shortest possible prefix u' .

The following lemma is a classic tool when considering embeddings between ω -words (see, e.g., [Fin85]).

Lemma 2.1. *Let $u, v \in \Sigma^\omega$ be two ω -words with $u' . u''$ and $v' . v''$ two arbitrary halvings of u and v . Then*

$$u \sqsubseteq v \text{ iff } \begin{cases} \text{alph}(u'') \subseteq \text{alph}(v''), \text{ and} \\ \text{there exists } x \in \text{alph}(v'')^* \text{ such that } u' \sqsubseteq v'x. \end{cases}$$

Furthermore, when $u \sqsubseteq v$, then x can be chosen with $|x| \leq |u'|$, and for any halving $u = u' . u''$ there exists a halving $v = v' . v''$ such that $u' \sqsubseteq v'$.

Corollary 2.2. *Let u_1, u_2 be two ω -words such that $\text{inf}(u_1) = \text{alph}(u_1) = \text{alph}(u_2) = \text{inf}(u_2)$. Then $u . u_1 \equiv u . u_2$ for all $u \in \Sigma^*$.*

3 Post embedding problems

Post embedding problems are variants of Post correspondence problems where correspondence (equality between words) is replaced by embedding, and where an additional regular constraint may be imposed over the solution.

Formally, given two morphisms $u, v : \Sigma^* \rightarrow \Gamma^*$ we say that $\sigma \in \Sigma^*$ is a (*finite*) *solution* to Post’s embedding problem if $u_\sigma \sqsubseteq v_\sigma$. If $\sigma \in \Sigma^\omega$ and $u_\sigma \sqsubseteq v_\sigma$, then σ is an *infinite solution* (also called, an ω -solution).

We say that σ is a *direct* solution if $u_p \sqsubseteq v_p$ for every prefix p of σ . It is a *codirect solution* if $u_p \sqsubseteq v_p$ for every suffix p of σ . When considering finite solutions [CS07],

there is a symmetry between the notions of direct and codirect solutions, since a direct solution for some u, v is a codirect solution for the mirror instance \tilde{u}, \tilde{v} . This symmetry does not carry over to infinite solutions because the mirror of an ω -word is not an ω -word. Also, observe that the prefixes of a direct ω -solution are finite (direct) solutions, and that the suffixes of a codirect ω -solution are other infinite (codirect) solutions.

The Post embedding problems we considered in [CS07] are PEP^{reg} , $\text{PEP}_{\text{dir}}^{\text{reg}}$ and $\text{PEP}_{\text{codir}}^{\text{reg}}$ that ask, given two morphisms u, v and a regular $R \subseteq \Sigma^*$, whether R contains a solution (respectively, a direct solution, a codirect solution). The infinitary extensions of these problems are $\text{PEP}^{\omega\text{-reg}}$, $\text{PEP}_{\text{dir}}^{\omega\text{-reg}}$ and $\text{PEP}_{\text{codir}}^{\omega\text{-reg}}$, that ask, given u, v and an ω -regular $R \subseteq \Sigma^\omega$, whether there exists an ω -solution $\sigma \in R$ (resp., a direct ω -solution, a codirect ω -solution).

In the above definition, the regular constraint applies to σ but this is inessential and our results still hold when the constraint applies to u_σ , or v_σ , or both (see [CS07]).

For complexity issues, we assume that the constraint R is given as a nondeterministic automaton \mathcal{A}_R , that can be a FSA or a Büchi automaton depending on whether R is finitary or not. By a *reduction* between two decision problems, we mean a logspace many-one reduction, except when specified otherwise (as in Section 4). We say two problems are *equivalent* when they are inter-reducible.

3.1 General embedding for direct solutions

We now state a technical lemma that shows that the above definition of a direct solution, “ $u_\rho \sqsubseteq v_\rho$ for all prefixes ρ of σ ”, can be replaced by a stronger requirement: that there exists an embedding of u_σ into v_σ that embeds any u_ρ into the corresponding v_ρ .

Let a PEP^ω instance be given by two morphisms u, v , and consider an infinite $\sigma \in \Sigma^\omega$, of the form $\sigma = i_1.i_2.i_3\dots$

For $k = 0, 1, 2, \dots$, we let l_k and l'_k denote respectively, the lengths $|u_{i_1i_2\dots i_k}|$ and $|v_{i_1i_2\dots i_k}|$.

Lemma 3.1. *The following are equivalent:*

- (a). σ is a direct solution,
- (b). For all $k \in \mathbb{N}$, there exists an embedding $h_k : \{1, 2, \dots, l_k\} \rightarrow \{1, 2, \dots, l'_k\}$ that witnesses $u_{i_1i_2\dots i_k} \sqsubseteq v_{i_1i_2\dots i_k}$,
- (c). There exists a general embedding $h : \mathbb{N} \rightarrow \mathbb{N}$ that witnesses $u_\sigma \sqsubseteq v_\sigma$ and such that its restriction to $\{1, 2, \dots, l_k\}$ witnesses $u_{i_1i_2\dots i_k} \sqsubseteq v_{i_1i_2\dots i_k}$.

Proof (Sketch). (a) and (b) are equivalent by definition of being a direct solution. (c) obviously implies (b). We prove (c) from (b) by defining $h(i) \stackrel{\text{def}}{=} \min_{k=1,2,\dots} h_k(i)$. \square

3.2 The unrestricted problems

PEP and PEP^ω are the special case of PEP^{reg} and $\text{PEP}^{\omega\text{-reg}}$ where $R = \Sigma^+$ (respectively, $R = \Sigma^\omega$), i.e., where there are no regularity constraints over the form of a solution. The remark that PEP is trivial extends to PEP^ω , $\text{PEP}_{\text{dir}}^\omega$ and $\text{PEP}_{\text{codir}}^\omega$:

Proposition 3.2. *Given two morphisms $u, v : \Sigma^* \rightarrow \Gamma^*$ defining a Post embedding problem:*

1. *There is a solution in Σ^+ if and only if there is a direct ω -solution in Σ^ω if and only if there is some $i \in \Sigma$ such that $u_i \sqsubseteq v_i$.*
2. *There is an ω -solution in Σ^ω if and only if there is a codirect ω -solution if and only if there exists a non-empty subset Σ' of Σ s.t. $\text{alph}(u(\Sigma')) \subseteq \text{alph}(v(\Sigma'))$.*

Proof. 1. Obviously, if $u_i \sqsubseteq v_i$ then $i \in \Sigma$ is a solution in Σ^+ , and i^ω is a direct ω -solution. Conversely, if there is a direct solution $\sigma = i_1 i_2 i_3 \dots$ in Σ^ω , then $u_{i_1} \sqsubseteq v_{i_1}$ by definition of directness. If there is a finite solution $\sigma = i_1 i_2 i_3 \dots i_m$ in Σ^+ , then either $u_{i_1} \sqsubseteq v_{i_1}$ and we are done, or $i_2 i_3 \dots i_m$ is a shorter finite solution, and we'll eventually encounter some $u_i \sqsubseteq v_i$.

2. Obviously, if $\text{alph}(u(\Sigma')) \subseteq \text{alph}(v(\Sigma'))$ for some non-empty $\Sigma' = \{i_1, \dots, i_m\}$, then $(i_1 \dots i_m)^\omega$ is an ω -solution, and even a codirect one. Conversely, given an ω -solution σ , Lemma 2.1 entails that, letting $\Sigma' \stackrel{\text{def}}{=} \text{inf}(\sigma)$, one has $\text{alph}(u(\Sigma')) \subseteq \text{alph}(v(\Sigma'))$. \square

The corollary is:

Theorem 3.3. *PEP $^\omega$ and PEP $_{\text{codir}}^\omega$ coincide, and are PTime-complete. PEP $_{\text{dir}}^\omega$ coincides with the finitary problems PEP, PEP $_{\text{dir}}$ and PEP $_{\text{codir}}$, and these problems are in LogSpace.*

Proof (Sketch). There exists a simple polynomial-time decision procedure for PEP $^\omega$. It computes the largest Σ' satisfying $\text{alph}(u(\Sigma')) \subseteq \text{alph}(v(\Sigma'))$ and then checks that this Σ' is not empty. This largest Σ' is obtained by starting with $\Sigma' := \Sigma$ and then removing from Σ' every i for which $\text{alph}(u_i)$ is not included in the current Σ' , until eventual stabilization (see Appendix B for PTime-hardness). Regarding PEP $_{\text{dir}}^\omega$, one only needs deterministic logarithmic space to find whether $u_i \sqsubseteq v_i$ for some i . \square

3.3 Short morphisms

PEP $_{\leq 1}^{\text{reg}}$ (respectively PEP $_{\leq 1}^{\omega\text{-reg}}$) is PEP $^{\text{reg}}$ (respectively PEP $^{\omega\text{-reg}}$) with the constraint that all images u_i 's and v_i 's have length ≤ 1 , i.e., the morphisms can be seen as maps $u, v : \Sigma \rightarrow \Gamma \cup \{\epsilon\}$.

Proposition 3.4.

1. *PEP $^{\text{reg}}$ and PEP $_{\leq 1}^{\text{reg}}$ are equivalent (inter-reducible).*
2. *PEP $^{\omega\text{-reg}}$ and PEP $_{\leq 1}^{\omega\text{-reg}}$ are equivalent (inter-reducible).*

Proof. It is enough to show that PEP reduces to PEP $_{\leq 1}$. For this, let $u, v : \Sigma^* \rightarrow \Gamma^*$ be a PEP instance. Let $k > 0$ be large enough so that, for all $i \in \Sigma$, u_i and v_i have at most k letters. Then we can write each u_i under the form $u_i^1 \dots u_i^k$ with $u_i^j \in \Gamma \cup \{\epsilon\}$, i.e., $|u_i^j| \leq 1$. Similarly, we write every v_i as some $v_i^1 \dots v_i^k$ with $|v_i^j| \leq 1$. We now define $\Sigma' \stackrel{\text{def}}{=} \Sigma \times \{1, \dots, k\}$ and two morphisms $u', v' : \Sigma'^* \rightarrow \Gamma^*$ with $u'(i, j) \stackrel{\text{def}}{=} u_i^j$ and $v'(i, j) \stackrel{\text{def}}{=} v_i^j$. Observe that u', v' defines a PEP $_{\leq 1}$ instance. Now, with $R \subseteq \Sigma^*$ (or $R \subseteq \Sigma^\omega$) one associates a constraint $R' \subseteq \Sigma'^*$ (resp., $R' \subseteq \Sigma'^\omega$) by $R' \stackrel{\text{def}}{=} h(R)$ with $h : \Sigma^* \rightarrow \Sigma'^*$ given by $h(i) = (i, 1)(i, 2) \dots (i, k)$. R' is regular (resp., ω -regular) since R is, and u', v' admits a solution in R' iff u, v has one in R . \square

4 Reducing $\text{PEP}^{\omega\text{-reg}}$ to PEP^{reg}

Theorem 4.1 (Main result). $\text{PEP}^{\omega\text{-reg}}$ and PEP^{reg} are equivalent (modulo elementary reductions).

Corollary 4.2. $\text{PEP}^{\omega\text{-reg}}$ is decidable (but not primitive-recursive).

One direction of Theorem 4.1 is obvious: any PEP^{reg} instance u, v, R can be seen as a $\text{PEP}^{\omega\text{-reg}}$ instance by adding an extra symbol \perp to Σ and Γ , replacing R with $R.\perp^\omega$, and letting $u(\perp) = v(\perp) = \perp$.

For the other direction, we consider a $\text{PEP}^{\omega\text{-reg}}$ instance given by two morphisms $u, v : \Sigma^* \rightarrow \Gamma^*$ and an ω -regular $R \subseteq \Sigma^\omega$.

Lemma 4.3. *There exists $\sigma \in R$ such that $u_\sigma \sqsubseteq v_\sigma$ if and only if there exists two finite words ρ_1 and ρ_2 in Σ^* such that*

- (a) $\rho_1.\rho_2^\omega \in R$,
- (b) $u_{\rho_1} \sqsubseteq v_{\rho_1.\rho_2}$, and
- (c) $\text{alph}(u_{\rho_2}) \subseteq \text{alph}(v_{\rho_2})$.

Proof. The “ \Leftarrow ” direction is easy since taking $\sigma = \rho_1.\rho_2^\omega$ is sufficient. For the “ \Rightarrow ” direction, we assume that $\sigma = a_1a_2a_3\ldots \in R$ satisfies $u_\sigma \sqsubseteq v_\sigma$ and show how to build ρ_1 and ρ_2 .

Let $\mathcal{A}_R = (Q, \Sigma, q_0, F, \delta)$ be a Büchi automaton for R , and $\pi = q_0 \xrightarrow{a_1} q_1 \xrightarrow{a_2} q_2 \xrightarrow{a_3} \ldots$ be an accepting run of \mathcal{A}_R over σ . This run is an ω -sequence of transitions “ $q_{i-1} \xrightarrow{a_i} q_i$ ”, so that $\pi \in \delta^\omega$ can be halved under the form $\pi = \pi'.\pi''$. This gives rise to two halvings $u'.u''$ and $v'.v''$ of, respectively, u_σ and v_σ .

Let us pick a finite prefix θ of π'' that uses every transition from $\text{inf}(\pi)$ at least once, and that ends on the starting state of π'' . Hence θ is some $q_n \xrightarrow{a_{n+1}} q_{n+1} \xrightarrow{a_{n+2}} \ldots \xrightarrow{a_{n+k}} q_{n+k}$ with $n = |\pi'|$, $q_n = q_{n+k}$, and $\text{inf}(\sigma) = \{a_{n+1}, a_{n+2}, \ldots, a_{n+k}\}$. Let now $\rho_1 \stackrel{\text{def}}{=} a_1a_2\ldots a_n$ and $\rho \stackrel{\text{def}}{=} a_{n+1}a_{n+2}\ldots a_{n+k}$. Clearly $\rho_1.\rho^\omega \in R$ as witnessed by the ultimately periodic run $\pi'.\theta^\omega$. Furthermore, from $u' = u_{\rho_1}$ and $\text{inf}(u'') = \text{alph}(u'') = \text{alph}(u_\rho)$, we deduce $u_\sigma = u'.u'' \equiv u_{\rho_1.\rho^\omega}$ using Corollary 2.2. Similarly, $v_\sigma \equiv v_{\rho_1.\rho^\omega}$. Hence $u_\sigma \sqsubseteq v_\sigma$ entails $u_{\rho_1.\rho^\omega} \sqsubseteq v_{\rho_1.\rho^\omega}$. Using Lemma 2.1, we conclude that $u_{\rho_1} \sqsubseteq v_{\rho_1.\rho_2}$ can be obtained by picking for ρ_2 a large enough power $\rho_2 \stackrel{\text{def}}{=} \rho.\rho\ldots\rho$ of ρ . Such a ρ_2 further ensures $\rho_2^\omega = \rho^\omega$, so that requirements (a) and (c) are inherited from ρ . \square

For the next step, we show how to state the existence of two finite ρ_1 and ρ_2 as in Lemma 4.3 under the form of a PEP^{reg} problem.

Let $\mathcal{A}_R = (Q, \Sigma, q_0, F, \delta)$ be the Büchi automaton defining R . As is standard, for $q, q' \in Q$, we let $L_{q,q'} \subseteq \Sigma^*$ denote the (regular) language accepted by starting \mathcal{A}_R in q and stopping in q' .

Let $\Sigma' = \{1', 2', \ldots\}$ be a copy of $\Sigma = \{1, 2, \ldots\}$ where letters have been primed: for $x \in \Sigma^*$ and $L \subseteq \Sigma^*$, we let $x' \in \Sigma'^*$ and $L' \subseteq \Sigma'^*$ denote primed versions of x and L .

We can now express condition (a) as a regularity constraint on $\rho_1.\rho'_2$: by definition, $\rho_1.\rho_2^\omega$ belongs to R iff for some $q \in Q$, $\rho_1 \in L_{q_0,q}$ and $\rho_2 \in (L_{q,q} \setminus \varepsilon)$. That is, if and only if $\rho_1.\rho'_2 \in R_1$ with

$$R_1 \stackrel{\text{def}}{=} \bigcup_{q \in Q} L_{q_0,q} \cdot (L'_{q,q} \setminus \varepsilon).$$

Condition (b) can be stated as an embedding property on $\rho_1.\rho'_2$: let $u', v' : (\Sigma \cup \Sigma')^* \rightarrow \Gamma^*$ be the extensions of u and v given by $u'_{i'} \stackrel{\text{def}}{=} \varepsilon$ and $v'_{i'} \stackrel{\text{def}}{=} v_i$. Then

$$u_{\rho_1} \sqsubseteq v_{\rho_1.\rho_2} \text{ if and only if } u'_{\rho_1.\rho'_2} \sqsubseteq v'_{\rho_1.\rho'_2}.$$

Finally, condition (c) can be expressed as another regularity constraint. Indeed, for $X \subseteq \Gamma$, $\text{alph}(u_{\rho_2}) \subseteq X$ and $\text{alph}(v_{\rho_2}) \subseteq X$ require $\rho_2 \in u^{-1}(X^*)$ and, respectively, $\rho_2 \in v^{-1}(X^*)$. These are regular conditions on ρ_2 since inverse morphisms preserve regularity. Let now

$$R_2 \stackrel{\text{def}}{=} \bigcup_{X \subseteq \Gamma} \left(u^{-1}(X^*) \cap v^{-1}(X^*) \cap \bigcap_{a \in X} \overbrace{\Sigma^* \{i \in \Sigma \mid a \in \text{alph}(v_i)\} \Sigma^*}^{a \in \text{alph}(v_{\rho_2})} \right).$$

Clearly, $\text{alph}(u_{\rho_2}) \subseteq \text{alph}(v_{\rho_2})$ if and only if $\rho_2 \in R_2$. Hence $\text{alph}(u_{\rho_2}) \subseteq \text{alph}(v_{\rho_2})$ if, and only if, $\rho_1.\rho'_2 \in \Sigma^*.(R_2)'$ where we observe that R_2 , hence $\Sigma^*.(R_2)'$ too, are regular.

Finally, u, v has an ω -solution in R iff u', v' has a finite solution in $R_1 \cap (R_2)'$, which provides the reduction from $\text{PEP}^{\omega\text{-reg}}$ to PEP^{reg} .

Remark 4.4. The automaton for R_1 has size linear in $|\mathcal{A}_R|$. The automaton for R_2 has size exponential in $|\Sigma|$: this is because we consider all subsets $X \subseteq \Sigma$. Hence the reduction from $\text{PEP}^{\omega\text{-reg}}$ to PEP^{reg} is not logspace when the constraint R is given by a non-deterministic FSA. It is polynomial-space, which is certainly fine enough to state “equivalence” by inter-reducibility between problems that are not primitive-recursive.

There exists other possible choices for the precise finitary way with which R is supposed to be provided in a PEP instance: for many of these choices, from various logical formalisms (e.g., MSO) to various automata-based framework (e.g., alternating automata), logspace reductions from $\text{PEP}^{\omega\text{-reg}}$ to PEP^{reg} exist. \square

We conclude this section with the following observation:

Theorem 4.5. $\text{PEP}_{\text{codir}}^{\omega\text{-reg}}$ and $\text{PEP}_{\text{codir}}^{\text{reg}}$ are equivalent (inter-reducible).

This can be proved using the same techniques we used in this section, in particular one can state a version of Lemma 4.3 that accounts for codirect solutions (while this is not possible for direct solutions). Then a *codirect* infinite solution σ induces the existence of a *codirect* $\rho_1.\rho_2^\omega$, and the existence of such an infinite $\rho_1.\rho_2^\omega$ can be witnessed by a finite $\rho_1.\rho'_2$ that solves a derived $\text{PEP}_{\text{codir}}^{\text{reg}}$ instance.

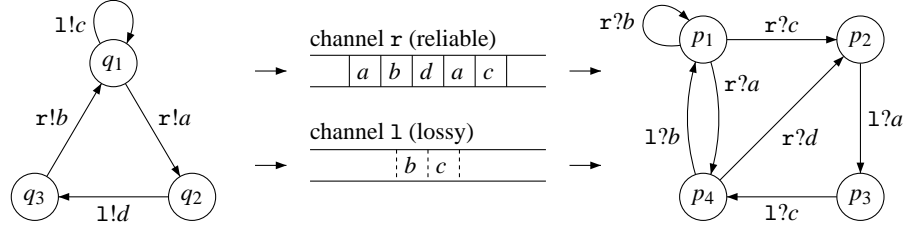


Fig. 1. A unidirectional channel system with one r reliable and one l ossy channel

5 Unidirectional channel systems

Unidirectional channel systems, shortly UCS, are systems composed of two finite-state machines that communicate *unidirectionally* via one reliable and one lossy channel, as illustrated in Fig. 1. No feedback communication from the receiver to the sender is possible. UCS's are a key ingredient in the complete classification of mixed channel systems according to their network topologies [Cha07].

Formally, a UCS has the form $S = (Q_1, Q_2, M, \{r, l\}, \Delta_1, \Delta_2)$, where Q_1 and Δ_1 (respectively, Q_2 and Δ_2) are the finite set of states and set of rules of the sender (respectively, the receiver), M is the finite message alphabet, r and l are the names of, respectively, the reliable and the lossy channel. The sender's rules, Δ_1 , is a subset of $Q_1 \times \{r, l\} \times \{!\} \times M^* \times Q_1$, i.e., it contains rules of the form $q \xrightarrow{r!u} q'$ or $q \xrightarrow{l!u} q'$. The receiver's rules have the form $q \xrightarrow{r?u} q'$ or $q \xrightarrow{l?u} q'$ with $q, q' \in Q_2$.

A configuration of S is a tuple $\langle q_1, q_2, v_1, v_2 \rangle$ with control states q_1 and q_2 for the components, contents v_1 for channel r , and v_2 for l . The operational semantics is as expected. A rule $q \xrightarrow{r!u} q'$ (resp. $q \xrightarrow{l!u} q'$) from Δ_1 gives rise to all transitions $\langle q, q_2, v_1, v_2 \rangle \rightarrow \langle q', q_2, v_1 u, v_2 \rangle$ (resp. all $\langle q, q_2, v_1, v_2 \rangle \rightarrow \langle q', q_2, v_1, v_2 u' \rangle$ for $u' \sqsubseteq u$). A rule $q \xrightarrow{r?u} q'$ (resp. $q \xrightarrow{l?u} q'$) from Δ_2 gives rise to all transitions $\langle q_1, q, uv_1, v_2 \rangle \rightarrow \langle q_1, q', v_1, v_2 \rangle$ (resp. all $\langle q_1, q, v_1, uv_2 \rangle \rightarrow \langle q_1, q', v_1, v_2 \rangle$). Observe that message losses only occur when writing to channel l . A run π is a sequence

$$\pi: \langle q_1^0, q_2^0, v_1^0, v_2^0 \rangle \rightarrow \langle q_1^1, q_2^1, v_1^1, v_2^1 \rangle \rightarrow \langle q_1^2, q_2^2, v_1^2, v_2^2 \rangle \rightarrow \dots$$

of configurations linked by valid transitions.

We consider reachability and recurrent reachability problems for UCS's. Formally, given a UCS S , two initial states $q_{\text{init}}^1 \in Q_1$ and $q_{\text{init}}^2 \in Q_2$, two sets $F_1 \subseteq Q_1$ and $F_2 \subseteq Q_2$ of final states, the *reachability problem*, denoted ReachUcs , asks whether there exists a run that starts from configuration $\langle q_{\text{init}}^1, q_{\text{init}}^2, \varepsilon, \varepsilon \rangle$ and ends in some configuration $\langle q_{\text{final}}^1, q_{\text{final}}^2, \varepsilon, \varepsilon \rangle$ with $(q_{\text{final}}^1, q_{\text{final}}^2) \in F_1 \times F_2$. The *recurrent reachability problem*, denoted RecReachUcs , asks whether there exists an infinite run starting from $\langle q_{\text{init}}^1, q_{\text{init}}^2, \varepsilon, \varepsilon \rangle$ and visiting infinitely many configurations $\langle q_1^i, q_2^i, v_1^i, v_2^i \rangle$ with $(q_1^i, q_2^i) \in F_1 \times F_2$.

Remark 5.1. As explained in [CS07], requiring that our reachability questions have empty channels in the initial and the target configurations is just a technical simplifi-

cation. More general reachability questions, including *control-state reachability*, where the channels contents in the target configuration are existentially quantified upon, reduce easily to ReachUcs. \square

Theorem 5.2 (Equivalence between UCS and Post Embedding).

1. PEP^{reg} and ReachUcs are equivalent (inter-reducible).
2. $\text{PEP}^{\omega\text{-reg}}$ and RecReachUcs are equivalent (inter-reducible).

The finitary case was first stated and proved in [CS07]. In the rest of this section, we develop a new and more modular proof that also applies to the ω -regular case.

We first introduce an abstract version of the UCS problems that is closer to PEP:

Definition 5.3 (2PCEP).

- a. The 2-dimensional correspondence plus embedding problem asks, given two pairs of morphisms $f_1, g_1 : \Sigma_1^* \rightarrow \Gamma^*$ and $f_2, g_2 : \Sigma_2^* \rightarrow \Gamma^*$, to find words σ_1 and σ_2 s.t. $f_1(\sigma_1) = f_2(\sigma_2)$ (correspondence) and $g_1(\sigma_1) \sqsubseteq g_2(\sigma_2)$ (embedding).
- b. $2\text{PCEP}^{\text{reg}}$ is the decision problem, where given f_1, g_1, f_2, g_2 and two regular languages $R_1 \subseteq \Sigma_1^*$ and $R_2 \subseteq \Sigma_2^*$, one asks whether there is a solution with $\sigma_1 \in R_1$ and $\sigma_2 \in R_2$.
- c. $2\text{PCEP}^{\omega\text{-reg}}$ is the infinitary version of $2\text{PCEP}^{\text{reg}}$, where now $R_1 \subseteq \Sigma_1^\omega$ and $R_2 \subseteq \Sigma_2^\omega$ are two given ω -regular languages, and where one looks for ω -solutions with $\sigma_1 \in R_1$ and $\sigma_2 \in R_2$.

Lemma 5.4 (See Appendix A).

1. ReachUcs and $2\text{PCEP}^{\text{reg}}$ are equivalent.
2. RecReachUcs and $2\text{PCEP}^{\omega\text{-reg}}$ are equivalent.

We now reduce 2-dim correspondence+embedding to Post embedding:

Lemma 5.5 (See Appendix A).

1. $2\text{PCEP}^{\text{reg}}$ reduces to PEP^{reg} .
2. $2\text{PCEP}^{\omega\text{-reg}}$ reduces to $\text{PEP}^{\omega\text{-reg}}$.

We can now conclude the proof of Theorem 5.2: since PEP^{reg} can be seen as a special case of $2\text{PCEP}^{\text{reg}}$ (let $f_1 = f_2 = \text{Id}$, $g_1 = u$, $g_2 = v$) and, similarly, $\text{PEP}^{\omega\text{-reg}}$ as a special case of $2\text{PCEP}^{\omega\text{-reg}}$, Lemmas 5.4 and 5.5 entail the equivalence of PEP^{reg} and ReachUcs on the one hand, of $\text{PEP}^{\omega\text{-reg}}$ and RecReachUcs on the other hand.

6 Lossy channel systems

Systems composed of several finite-state components communicating via several channels (all of them lossy) can be simulated by systems with a single channel and a single component (see, e.g., [Sch02, Section 5]). Hence we define here a lossy channel system (a LCS) as a tuple $S = (Q, M, \{c\}, \Delta)$ as illustrated in Fig. 2. Rules read from, or write to, the single channel c . Configurations of S are pairs $\langle q, v \rangle \in Q \times M^*$ of a state and a channel contents. Transitions between configurations are obtained from the rules as expected, in the write-lossy spirit we just used for UCS's (see [CS07] for a formal

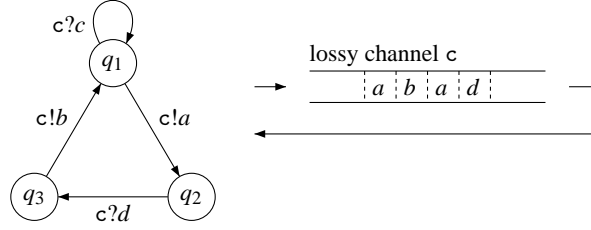


Fig. 2. A single-component system with a single lossy channel

definition).

ReachLcs, the *reachability problem for LCS's*, is the question, given a LCS S , an initial state $q_{\text{init}} \in Q$ and a set $F \subseteq Q$ of final states, whether S has a run that goes from $\langle q_{\text{init}}, \epsilon \rangle$ to $\langle q, \epsilon \rangle$ for some $q \in F$. RecReachLcs, the *recurrent reachability problem for LCS's*, is the question whether S has an infinite run $\langle q_{\text{init}}, \epsilon \rangle \rightarrow \langle q_1, v_1 \rangle \rightarrow \langle q_2, v_2 \rangle \rightarrow \dots$ with $q_k \in F$ for infinitely many $k \in \mathbb{N}$. Recall that ReachLcs is decidable [Pac87, AJ96b, BBS06] (albeit not primitive-recursive [Sch02]) while RecReachLcs is undecidable [AJ96a] (albeit r.e.).² Furthermore, ReachUcs and ReachLcs (and PEP^{reg}) are inter-reducible [CS07].

In the rest of this section we prove the following theorem.

Theorem 6.1. $\text{PEP}_{\text{dir}}^{\omega\text{-reg}}$ and RecReachLcs are equivalent (inter-reducible).

Corollary 6.2. $\text{PEP}_{\text{dir}}^{\omega\text{-reg}}$ is (r.e. but) undecidable.

The two directions of Theorem 6.1 are given by Lemmas 6.3 and 6.4.

Lemma 6.3. $\text{PEP}_{\text{dir}}^{\omega\text{-reg}}$ reduces to RecReachLcs.

Proof. The reduction from $\text{PEP}_{\text{dir}}^{\omega\text{-reg}}$ to RecReachLcs is illustrated in Fig. 3, where the “rules” of the form $q \xrightarrow{c!x c?y} q'$ are just a shorthand description for two consecutive rules $q \xrightarrow{c!x} q_?$ and $q_? \xrightarrow{c?y} q'$ that traverse an anonymous intermediary state $q_?$. Simply put, the LCS $S_{u,v,R}$ mimics the Büchi automaton \mathcal{A}_R that defines the constraint $R \subseteq \Sigma^\omega$. A run of the LCS that visits F infinitely often will perform steps 1, 2, 3, ..., writing to the channel some v'_1, v'_2, v'_3, \dots , that are subwords (because of message losses) of $v_{i_1}, v_{i_2}, v_{i_3}, \dots$ (the writes prescribed by the rules). During these same steps, it reads $u_{i_1}, u_{i_2}, u_{i_3}, \dots$, from the channel. These read letters must have been written earlier, hence for $k = 1, 2, 3, \dots$, $u_{i_1} \dots u_{i_k}$ is a prefix of $v'_1 \dots v'_k$, hence a subword of $v_{i_1} \dots v_{i_k}$. Finally, $\sigma \stackrel{\text{def}}{=} i_1.i_2.i_3 \dots$ is a direct solution.

Reciprocally, given a direct solution $\sigma = i_1.i_2.i_3 \dots$, it is possible (using the general embedding provided by Lemma 3.1) to find subwords v'_1, v'_2, v'_3, \dots of $v_{i_1}, v_{i_2}, v_{i_3}, \dots$ s.t., for all $k = 1, 2, \dots$, $u_{i_1} \dots u_{i_k}$ is a prefix of $v'_1 \dots v'_k$. Using these v'_k , one easily obtains an infinite run of the LCS that shows the associated RecReachLcs is positive. \square

² For Turing machines, the reachability problem is undecidable albeit r.e., while the recurrent reachability problem is Σ_1^1 -complete.

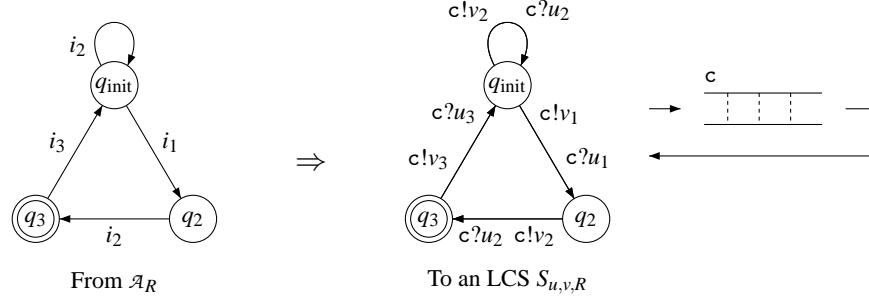


Fig. 3. Reductions between $\text{PEP}_{\text{dir}}^{\omega\text{-reg}}$ and RecReachLcs

Lemma 6.4. RecReachLcs reduces to $\text{PEP}_{\text{dir}}^{\omega\text{-reg}}$.

Proof. Consider a RecReachLcs instance $S = (Q, M, \{c\}, \Delta)$ with given q_{init} and F . With it, we associate a $\text{PEP}_{\text{dir}}^{\omega\text{-reg}}$ instance where $\Sigma = \Delta$ and where $R \subseteq \Sigma^\omega$ is given by the Büchi automaton that is exactly like S , with the difference that any rule δ between some states q and q' is now a transition $q \xrightarrow{\delta} q'$ in \mathcal{A}_R . The morphisms u, v are defined by $u(\delta) \stackrel{\text{def}}{=} \text{“what rule } \delta \text{ reads in channel } c\text{”}$, $v(\delta) \stackrel{\text{def}}{=} \text{“what } \delta \text{ writes in } c\text{”}$. Since $u(\delta) = \varepsilon$ or $v(\delta) = \varepsilon$ for every rule (LCS’s rules either read or write to c , not both), S (essentially) coincides with $S_{u,v,R}$ (Fig. 3). Hence the proof of Lemma 6.3 shows that u, v, R is a positive $\text{PEP}_{\text{dir}}^{\omega\text{-reg}}$ instance iff the original RecReachUcs instance is positive. \square

7 Concluding remarks

We introduced infinitary versions of PEP^{reg} , a new and exciting variant of Post Correspondence Problem based on embedding rather than equality, which also is an abstract representative of the LCS complexity niche.

Our main result is that two such infinitary versions, $\text{PEP}^{\omega\text{-reg}}$ and $\text{PEP}_{\text{codir}}^{\omega\text{-reg}}$, are equivalent to the finitary PEP^{reg} . Hence they are decidable albeit not in primitive-recursive time. Since one can link $\text{PEP}^{\omega\text{-reg}}$ and RecReachUcs , the recurrent reachability problem for unidirectional channel systems, we obtain the decidability of RecReachUcs . In fact, and quite surprisingly, RecReachUcs and PEP or ReachLcs are equivalent. The last version, $\text{PEP}_{\text{codir}}^{\omega\text{-reg}}$, is equivalent to RecReachLcs , the recurrent reachability problem for lossy channel systems, which is undecidable albeit r.e. Finally, the PTime-complete unconstrained PEP^ω is harder than the unconstrained PEP that can be solved in logspace.

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A Proofs for Section 5

A.1 Commuting UCS steps

We first state a trivial but important property about runs of unidirectional systems. Let $S = (Q_1, Q_2, M, \{x, 1\}, \Delta_1, \Delta_2)$ be some UCS, and $\langle q_1, q_2, x, y \rangle \xrightarrow{\delta_2} \langle q_1, q'_2, x', y' \rangle \xrightarrow{\delta_1} \langle q'_1, q'_2, x'', y'' \rangle$ be two consecutive steps with $\delta_1 \in \Delta_1$ and $\delta_2 \in \Delta_2$, i.e., where the receiver performs the first step, and the sender the second step. Then it is possible to fire δ_1 before δ_2 and reach the same configuration. More precisely, there exists x''' and y''' with $\langle q_1, q_2, x, y \rangle \xrightarrow{\delta_1} \langle q'_1, q_2, x''', y''' \rangle \xrightarrow{\delta_2} \langle q'_1, q'_2, x', y' \rangle$.

The corollaries are

Lemma A.1. *If S has a run $\langle q_1, q_2, x, y \rangle \xrightarrow{\Delta_1 \cup \Delta_2} \langle q'_1, q'_2, x', y' \rangle$ then it has one such run of the form*

$$\langle q_1, q_2, x, y \rangle \xrightarrow{\Delta_1} \langle q'_1, q_2, x'', y'' \rangle \xrightarrow{\Delta_2} \langle q'_1, q'_2, x', y' \rangle.$$

Lemma A.2. *If S has an infinite run from $\langle q_0^1, q_0^2, x_0, y_0 \rangle$ of the form*

$$\langle q_0^1, q_0^2, x_0, y_0 \rangle \rightarrow \langle q_1^1, q_1^2, x_1, y_1 \rangle \rightarrow \langle q_2^1, q_2^2, x_2, y_2 \rangle \rightarrow \dots$$

with $q^1 = q_i^1$ for infinitely many i 's, and $q^2 = q_i^2$ for infinitely many i 's (not necessarily the same), then it has one such run with $(q^1, q^2) = (q_i^1, q_i^2)$ for infinitely many i 's.

A.2 Proof of Lemma 5.4

2PCEP^{reg} reduces to ReachUcs, and 2PCEP^{ω-reg} to RecReachUcs.

For this, consider a 2PCEP^{reg} instance $f_1, g_1, f_2, g_2, R_1, R_2$ as in Definition 5.3.b. Further assume that, for $i = 1, 2$, R_i is given by some FSA $\mathcal{A}_i = (Q_i, \Sigma_i, q_{\text{init}}^i, F_i, \delta_i)$.

With this instance, we associate an UCS where the sender is obtained from \mathcal{A}_2 by replacing transitions $q \xrightarrow{i} q' \in \delta_2$ with rules $q \xrightarrow{r^1 f_2(i) \ 1^1 g_2(i)} q'$, and the receiver is obtained from \mathcal{A}_1 by replacing transitions $q \xrightarrow{i} q' \in \delta_1$ with rules $q \xrightarrow{r^2 f_1(i) \ 1^2 g_1(i)} q'$.

If the 2PCEP^{reg} instance is positive, then a solution σ_1, σ_2 can be used in a straightforward way to build, out of σ_2 , a run in the UCS that will start from $\langle q_{\text{init}}^2, q_{\text{init}}^1, \varepsilon, \varepsilon \rangle$, will reach some $\langle q_{\text{final}}^2, q_{\text{init}}^1, f_2(\sigma_2), x \rangle$ for some $q_{\text{final}}^2 \in F_2$, and where, using message losses, we can choose to reach any $x \sqsubseteq g_2(\sigma_2)$. By picking $x = g_1(\sigma_1)$, we can now continue the run, using σ_1 , and reach $\langle q_{\text{final}}^1, q_{\text{final}}^2, \varepsilon, \varepsilon \rangle$ for some $q_{\text{final}}^1 \in F_1$.

Reciprocally, using Lemma A.1, a run from $\langle q_{\text{init}}^2, q_{\text{init}}^1, \varepsilon, \varepsilon \rangle$ to some $\langle q_{\text{final}}^1, q_{\text{final}}^2, \varepsilon, \varepsilon \rangle$ can be reordered into some

$$\langle q_{\text{init}}^2, q_{\text{init}}^1, \varepsilon, \varepsilon \rangle \xrightarrow[\text{rules from } \Delta_1]{r_1 \ r_2 \ \dots \ r_n} \langle q_{\text{final}}^2, q_{\text{init}}^1, x, y \rangle \xrightarrow[\text{rules from } \Delta_2]{r'_1 \ r'_2 \ \dots \ r'_m} \langle q_{\text{final}}^1, q_{\text{final}}^2, \varepsilon, \varepsilon \rangle$$

where all sender's steps occur first, followed by the receiver steps. This translates into a path $q_{\text{init}}^2 \xrightarrow{\sigma_2} q_{\text{final}}^2$ in \mathcal{A}_2 , and $q_{\text{init}}^1 \xrightarrow{\sigma_1} q_{\text{final}}^1$ in \mathcal{A}_1 where $f_2(\sigma_2) = x = f_1(\sigma_1)$, and where $g_2(\sigma_2) \sqsupseteq y = g_1(\sigma_1)$, solving the 2PCEP^{reg} instance.

Finally, the $2\text{PCEP}^{\text{reg}}$ instance is positive iff the associated ReachUcs instance is. Hence $2\text{PCEP}^{\text{reg}}$ reduces to ReachUcs .

The same association of an UCS with $f_1, g_1, f_2, g_2, \mathcal{A}_1, \mathcal{A}_2$ shows that $2\text{PCEP}^{\omega\text{-reg}}$ reduces to RecReachUcs .

Indeed, an infinite solution σ_1, σ_2 in some ω -regular languages R_1 and R_2 , can be used to build an infinite run of the UCS that visit infinitely many configurations $\langle q_{\text{final}}^2, q_i^1, x_i, y_i \rangle$ with some $q_{\text{final}}^2 \in F_2$, and infinitely many configurations $\langle q_i^2, q_{\text{final}}^1, x'_i, y'_i \rangle$ with some $q_{\text{final}}^1 \in F_1$. Using Lemma A.2, this run can be reordered into a run visiting infinitely many configurations $\langle q_{\text{final}}^2, q_{\text{final}}^1, x''_i, y''_i \rangle$, showing the RecReachUcs instance is positive.

Reciprocally, from an infinite run of the UCS that visits infinitely many configurations of the form $\langle q_{\text{final}}^2, q_{\text{final}}^1, x''_i, y''_i \rangle$, one extracts two solutions σ_1, σ_2 that show that the $2\text{PCEP}^{\omega\text{-reg}}$ instance is positive.

ReachUcs reduces to $2\text{PCEP}^{\text{reg}}$, and RecReachUcs to $2\text{PCEP}^{\omega\text{-reg}}$.

Consider an ReachUcs instance with some UCS $S = (Q_1, Q_2, M, \{r, 1\}, \Delta_1, \Delta_2)$, some initial states $q_{\text{init}}^1, q_{\text{init}}^2$, and some sets of final states F_1, F_2 .

With this instance, we associate a $2\text{PCEP}^{\text{reg}}$ instance where $\Sigma_1 \stackrel{\text{def}}{=} \Delta_2$ and $\Sigma_2 \stackrel{\text{def}}{=} \Delta_1$ are the set of rules. Automata \mathcal{A}_1 and \mathcal{A}_2 for R_1 and R_2 are obtained from the control graph of the receiver (resp., the sender) in the obvious way. (Note that we extract FSA's from an ReachUcs instance, and Büchi automata from an RecReachUcs instance.) The morphisms are defined in the obvious way:

$$\begin{aligned} f_1(\delta) &\stackrel{\text{def}}{=} x \text{ and } g_1(\delta) \stackrel{\text{def}}{=} y \text{ for } \delta = q \xrightarrow{r?x1?y} r \text{ in } \Delta_2, \\ f_2(\delta) &\stackrel{\text{def}}{=} x \text{ and } g_2(\delta) \stackrel{\text{def}}{=} y \text{ for } \delta = q \xrightarrow{r!x1!y} r \text{ in } \Delta_1. \end{aligned}$$

A.3 Proof of Lemma 5.5

We consider a 2PCEP instance f_1, g_1, f_2, g_2 where we assume that the morphisms are short, i.e., f_i and g_i can be seen as having type $(\Sigma_i \cup \{\varepsilon\}) \rightarrow (\Gamma \cup \{\varepsilon\})$. For $2\text{PCEP}^{\text{reg}}$ and $2\text{PCEP}^{\omega\text{-reg}}$, and thanks to the possibility offered by the regular constraints, this assumption is no loss of generality, as can be easily proved using the techniques from section 3.3.

Let $\Sigma \stackrel{\text{def}}{=} (\Sigma_1 \cup \{\varepsilon\}) \times (\Sigma_2 \cup \{\varepsilon\})$ and define $X \subseteq \Sigma$ by

$$(i, j) \in X \text{ if and only if } f_1(i) = f_2(j).$$

Then $(i_1, j_1) \cdot (i_2, j_2) \dots (i_n, j_n) \in X^*$ implies that $f_1(i_1 \cdot i_2 \dots i_n) = f_2(j_1 \cdot j_2 \dots j_n)$. Reciprocally, if $f_1(\sigma_1) = f_2(\sigma_2)$, then σ_1 and σ_2 can be decomposed under the form $\sigma_1 = i_1 \cdot i_2 \dots i_n$ and $\sigma_2 = j_1 \cdot j_2 \dots j_n$ such that $(i_k, j_k) \in X$ for $k = 1, \dots, n$. Observe that in this decomposition, $n \geq |\sigma_i|$ is possible since $i_k = \varepsilon$ or $j_k = \varepsilon$ (or both) is allowed.

Now define projection morphisms $h_1 : \Sigma^* \rightarrow \Sigma_1^*$ and $h_2 : \Sigma^* \rightarrow \Sigma_2^*$ in the obvious way, and let $u, v : \Sigma^* \rightarrow \Gamma^*$ be two morphisms given by $u \stackrel{\text{def}}{=} g_1 \circ h_1$ and $v \stackrel{\text{def}}{=} g_2 \circ h_2$. Then $u(i_1, j_1) \cdot (i_2, j_2) \dots (i_n, j_n) \sqsubseteq v(i_1, j_1) \cdot (i_2, j_2) \dots (i_n, j_n)$ if and only if $g_1(i_1 \cdot i_2 \dots i_n) \sqsubseteq g_2(j_1 \cdot j_2 \dots j_n)$.

Finally, the $2\text{PCEP}^{\text{reg}}$ instance with regular constraints R_1, R_2 translates into an equivalent PEP^{reg} instance, with morphisms u and v as above, and with constraint

$$R \stackrel{\text{def}}{=} X^* \cap h_1^{-1}(R_1) \cap h_2^{-1}(R_2),$$

which is regular. Similarly, the $2\text{PCEP}^{\omega\text{-reg}}$ instance with ω -regular constraints R_1, R_2 translates into an equivalent $\text{PEP}^{\omega\text{-reg}}$ instance, with same morphisms u and v , and with constraint

$$R \stackrel{\text{def}}{=} X^\omega \cap h_1^{-1}(R_1) \cap h_2^{-1}(R_2),$$

which is ω -regular.

B PEP^ω is PTime-hard

We reduce CircuitValue to PEP^ω . Let $\mathcal{C} = (G_\vee, G_\wedge, G_\top, G_\perp, f_1, f_2, n_0)$ be an instance of CircuitValue, as illustrated in Fig 4. We assume, without loss of generality [GHR95,

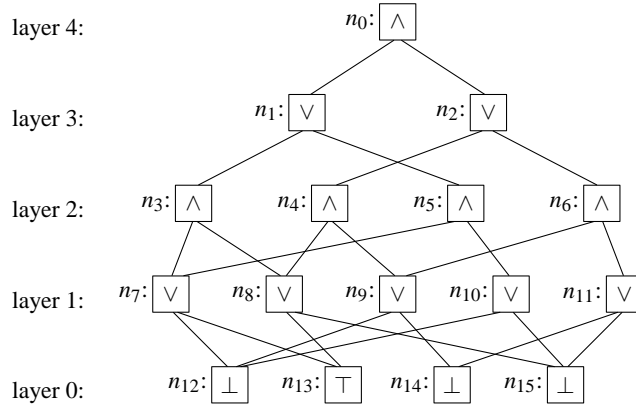


Fig. 4. An instance of CircuitValue.

problem A.1.6], that gates are arranged in layers, that layer 0 contains “constants” gates from $G_\top \cup G_\perp$, that, for any, $k \in \mathbb{N}$ layer $2k+1$ (resp. $2k+2$) contains OR-gates (resp. AND-gates) from G_\vee (resp. G_\wedge), that any gate n in some layer $k > 0$ has exactly two inputs, $f_1(n)$ and $f_2(n)$, that belong to layer $k-1$ (NB: $f_1(n) = f_2(n)$ is allowed). Finally, we assume that the output n_0 of \mathcal{C} belongs to G_\wedge .

Given a circuit \mathcal{C} , we define in the obvious way the value $\text{val}(n) \in \{0, 1\}$ of gate $n \in G$, where $G \stackrel{\text{def}}{=} G_\vee \cup G_\wedge \cup G_\top \cup G_\perp$ is the set of gates. Let $G_{=1} \stackrel{\text{def}}{=} \{n \in G \mid \text{val}(n) = 1\}$. In our example, $G_{=1} = \{n_1, n_3, n_7, n_8, n_{13}\}$.

With \mathcal{C} we associate two morphisms $u, v : \Sigma^* \rightarrow \Gamma^*$ as follows. Let $\Sigma \stackrel{\text{def}}{=} G_\wedge \cup (G_\vee \times \{1, 2\}) \cup G_\top$ and $\Gamma \stackrel{\text{def}}{=} G$.

$$u(n) \stackrel{\text{def}}{=} f_1(n).f_2(n).n_0 \quad v(n) \stackrel{\text{def}}{=} n \quad \text{for } n \in G_\wedge, \quad (\text{C1})$$

$$u(n, i) \stackrel{\text{def}}{=} f_i(n).n_0 \quad v(n, i) \stackrel{\text{def}}{=} n \quad \text{for } n \in G_\vee \times \{1, 2\}, \quad (\text{C2})$$

$$u(n) \stackrel{\text{def}}{=} n_0 \quad v(n) \stackrel{\text{def}}{=} n \quad \text{for } n \in G_\top. \quad (\text{C3})$$

The reduction is clearly logspace. Its correctness is established by the following two lemmas.

Lemma B.1. *If $\text{val}(n_0) = 1$, then there is a non-empty Σ' with $\text{alph}(u(\Sigma')) \subseteq \text{alph}(v(\Sigma'))$.*

Proof. Let

$$\Sigma' \stackrel{\text{def}}{=} \{n \in G_\wedge \cup G_\top \mid \text{val}(n) = 1\} \cup \{(n, i) \in G_\vee \times \{1, 2\} \mid \text{val}(f_i(n)) = 1\}.$$

Σ' is not empty since it contains n_0 . Observe that $\text{alph}(v(\Sigma'))$ is exactly $G_{=1}$. It remains to check, by inspecting (C1–3), that $x \in \Sigma'$ implies $\text{alph}(u(x)) \subseteq G_{=1}$. \square

Lemma B.2. *Assume that $\text{alph}(u(\Sigma')) \subseteq \text{alph}(v(\Sigma'))$ for some non-empty $\Sigma' \subseteq \Sigma$. Then $\text{val}(n_0) = 1$.*

Proof. Since necessarily n_0 appears in $\text{alph}(u(\Sigma'))$, hence in $\text{alph}(v(\Sigma'))$, it is enough to show that $\text{alph}(v(\Sigma')) \subseteq G_{=1}$. We do this by induction on layers. Let $x \in \Sigma'$ and consider three cases. If $x \in G_\top$, then $x \in G_{=1}$ obviously. If $x \in G_\wedge$, then $\text{alph}(u(x)) \subseteq \text{alph}(v(\Sigma'))$ implies that both $f_1(x)$ and $f_2(x)$ belong to $\text{alph}(v(\Sigma'))$, hence evaluate to 1 by ind. hyp., so that $\text{val}(x) = 1$. Finally, if x is some $(n, i) \in G_\vee \times \{1, 2\}$, then from $f_i(n) = u(x) \in \text{alph}(v(\Sigma'))$, we deduce that $f_i(n) \in G_{=1}$ by ind. hyp., hence $\text{val}(n) = 1$, proving $v(x) \in G_{=1}$. \square