

# **On the locality of arb-invariant first-order logic with modulo counting quantifiers**

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# Structures & Logic

**Our aim:** understanding the expressive power of **first-order logic (FO)** extended with

modulo  $m$  counting (FO+MOD $_m$ )

numerical relations ( $<$ ,  $+$ ,  $\times$ , ...)

over structures that are **finite** and **relational**.

**in this talk:**

we consider only **colored finite directed graphs**  $G = (V, E, C_1, \dots, C_\ell)$  viewed as structures with signature  $\sigma := \{E, C_1, \dots, C_\ell\}$ .

**Modulo counting**

FO+MOD $_m$ : FO + modulo counting quantifiers  $\exists^{j \bmod m}$

where

$$G \models \exists^{j \bmod m} x \varphi(x)$$

if there are  $j \pmod m$  vertices  $v$  such that  $G \models \varphi(v)$ .

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# Numerical relations

Allowing arithmetic in formulas

**$k$ -ary numerical relation:** subset of  $\mathbb{N}^k$ .

$\mathcal{ARB}$ : set of all (“arbitrary”) numerical relations.

**Examples:**  $<$ ,  $+$ ,  $\times$ , HALT etc., where e.g.

- $+$  :=  $\{(x, y, z) \in \mathbb{N}^3 : x + y = z\}$ ,
- HALT =  $\{i \in \mathbb{N} : \text{TM } i \text{ halts on } \epsilon\}$ .

**embedding**  $(G, f)$  of  $G$ :  $f$  is a bijection of  $V$  and  $\{1, \dots, |V|\}$ .

Consider a set  $\mathcal{N} \subseteq \mathcal{ARB}$  of numerical relations.

Interpret  $\text{FO}[\sigma, \mathcal{N}]$ -formulas  $\varphi$  in embeddings of graphs.

**Question:** How to define  $G \models \varphi$ ?

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## Definition ( $\mathcal{N}$ -invariance):

A formula  $\varphi \in \text{FO}[\sigma, \mathcal{N}]$  is  $\mathcal{N}$ -invariant if for all finite graphs  $G$  and all embeddings  $E_1, E_2$  of  $G$ :

$$E_1 \models \varphi \iff E_2 \models \varphi.$$

$\mathcal{N}$ -inv-FO $[\sigma]$ : set of all  $\mathcal{N}$ -invariant  $\varphi \in \text{FO}[\sigma, \mathcal{N}]$ .

For  $\varphi \in \mathcal{N}$ -inv-FO $[\sigma]$ , define  $G \models \varphi$  as

$$E \models \varphi, \text{ for some embedding } E = (G, f),$$

**Example:** An  $+$ -invariant FO $[\sigma, <, +]$ -sentence  $\varphi$  defining the class of all finite graphs with an even number of vertices:

$$\varphi := \exists x \exists z \left( x + x = z \wedge \forall y (y < z \vee y = z) \right)$$

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# Gaifman local queries

$k$ -ary query  $q$  : mapping of graphs  $G$  to  $k$ -ary relations  $q(G)$ , which is closed under isomorphism.

$r$ -Ball at  $\vec{a}$  in  $G$  : vertices at distance  $\leq r$  to some  $a_i$

$r$ -Neighborhood at  $\vec{a}$  : subgraph induced by the  $r$ -ball at  $\vec{a}$ , with distinguished vertices  $\vec{a}$

Definition (Gaifman locality):

Let  $f : \mathbb{N} \rightarrow \mathbb{N}$ .

A  $k$ -ary query  $q$  is Gaifman  $f(n)$ -local if

for sufficiently large numbers  $n$ , and  
all graphs  $G$  on  $n$  vertices,  
and all  $k$ -ary tuples  $\vec{a}, \vec{b}$  of vertices,

If  $\vec{a}$  and  $\vec{b}$  have isomorphic  $f(n)$ -neighborhoods,  
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$\vec{a}$  and  $\vec{b}$  have distance  $> f(n)$  between them,

then  $q(G, \vec{a}) = q(G, \vec{b})$ .

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Let  $f : \mathbb{N} \rightarrow \mathbb{N}$ .

A  $k$ -ary query  $q$  is Gaifman  $f(n)$ -local if

for sufficiently large numbers  $n$ , and

all graphs  $G$  on  $n$  vertices,

and all  $k$ -ary tuples  $\vec{a}, \vec{b}$  of vertices,

if  $\vec{a}$  and  $\vec{b}$  have isomorphic  $f(n)$ -neighborhoods,  
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$k$ -ary query  $q$  : mapping of graphs  $G$  to  $k$ -ary relations  $q(G)$ , which is closed under isomorphism.

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all graphs  $G$  on  $n$  vertices,

and all  $k$ -ary tuples  $\vec{a}, \vec{b}$  of vertices with disjoint  $f(n)$ -balls,

if  $\vec{a}$  and  $\vec{b}$  have isomorphic  $f(n)$ -neighborhoods,  
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# Gaifman locality of $\text{FO}[\sigma]$

Each query  $q$  that is  $\text{FO}[\sigma]$ -definable is

**Gaifman  $c$ -local** for some constant  $c = c(q)$ .

(Hella, Libkin, Nurmonen 1990s; Gaifman '82)

Each query  $q$  that is  $<\text{-inv-FO}[\sigma]$ -definable is

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Each query  $q$  that is  $\mathcal{ARB}\text{-inv-FO}[\sigma]$ -definable is

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Each query  $q$  that is  $\text{FO} + \text{MOD}_m[\sigma]$ -definable, for some  $m$ , is  
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**Question:** Are all  $\text{FO}+\text{MOD}_m$ -definable queries Gaifman local?

For each  $m \geq 2$ , there exists an  $<\text{-inv-FO}+\text{MOD}_m[\sigma]$ -definable **unary** query that is  
not  $o(n)$ -local.

(Niemistö 2007; H., Schweikardt)

# Gaifman locality of FO[ $\sigma$ ]

Each query  $q$  that is  $\text{FO} + \text{MOD}_m[\sigma]$ -definable, for some  $m$ , is  
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# Gaifman locality of $\text{FO}[\sigma]$

Each query  $q$  that is  $\text{FO}+\text{MOD}_m[\sigma]$ -definable, for some  $m$ , is  
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**Question:** Are all  $\text{FO}+\text{MOD}_m$ -definable queries Gaifman local? Weakly Gaifman local?

For each  $m \geq 2$ , there exists an  $<\text{-inv-FO}+\text{MOD}_m[\sigma]$ -definable **unary** query that is **not  $o(n)$ -local**.

(Niemistö 2007; H., Schweikardt)

# Gaifman locality of $\text{FO}[\sigma]$

Each query  $q$  that is  $\text{FO}+\text{MOD}_m[\sigma]$ -definable, for some  $m$ , is **Gaifman  $c$ -local** for some constant  $c = c(q)$ .



(Hella, Libkin, Nurmonen 1990s)

Each query  $q$  that is  $<\text{-inv-FO}[\sigma]$ -definable is **Gaifman  $c$ -local** for some constant  $c = c(q)$ .



(Grohe, Schwentick '98)

Each query  $q$  that is  $\text{ARB-inv-FO}[\sigma]$ -definable is **Gaifman  $(\log n)^c$ -local** for some constant  $c = c(q)$ .



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**Question:** Are all  $\text{FO}+\text{MOD}_m$ -definable queries Gaifman local? Weakly Gaifman local?

For each  $m \geq 2$ , there exists an  $<\text{-inv-FO}+\text{MOD}_m[\sigma]$ -definable **unary** query that is not  $o(n)$ -local.

For **even**  $m$  there exists an  $<\text{-inv-FO}+\text{MOD}_m[\sigma]$ -definable **unary** query that is not weakly  $o(n)$ -local.

(Niemistö 2007; H., Schweikardt)

# Results

## Negative results:

$<\text{-inv-FO} + \text{MOD}_p[\sigma]$  **is not** Gaifman  $o(n)$ -local.  
(not even for unary queries).

$<\text{-inv-FO} + \text{MOD}_2[\sigma]$  **is not** weakly Gaifman  $o(n)$ -local  
(not even for unary queries on strings).

We introduce a new notion of locality, called **shift locality**.

## Positive results:

$\text{ARB-inv-FO} + \text{MOD}_p[\sigma]$  is shift local, for **prime powers**  $p$ .

The proof uses lower bounds on circuit complexity.

Shift locality is easily applied to derive non-expressibility results.

$\text{ARB-inv-FO} + \text{MOD}_p[\sigma]$  is weakly Gaifman polylog-local, if  $p$  is an **odd prime power**.

Follows easily from shift locality.

Extending our results beyond prime powers  $p$  should be very hard, because

$\text{interval} \leq \log \text{LOGSPACE} \leq \text{AC}^0$ , and the

lower bound is  $\text{NEP} \not\leq \text{AC}^0$  (cf. [1]).



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Follows from the previous result.

Extending our results beyond prime powers  $p$  should be very hard, because

$\text{inv-FO} + \text{MOD}_{p^2}[\sigma] \not\leq \text{MOD}_{p^2}[\sigma] + \text{FO}$ , and the

same holds on strings:  $\text{inv-FO} \not\leq \text{MOD}_{p^2}[\sigma] + \text{FO}$ .

# Results

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We introduce a new notion of locality, called **shift locality**.

## Positive results:

$\text{ARB-inv-FO} + \text{MOD}_p[\sigma]$  is shift local, for **prime powers**  $p$ .

The proof uses the **polynomial method**.

Shift locality is easily applied to derive non-expressibility results.

$\text{ARB-inv-FO} + \text{MOD}_p[\sigma]$  is weakly Gaifman polylog-local, if  $p$  is an **odd prime power**.

(cf. [Folting1985], [Fagin1975])

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$\text{ARB-inv-FO} + \text{MOD}_p[\sigma]$  is shift local, for prime powers  $p$ .

Shift locality is easily applied to derive non-expressibility results.

$\text{ARB-inv-FO} + \text{MOD}_p[\sigma]$  is weakly Gaifman polylog-local, if  $p$  is an odd prime power.

Follows from the following theorem:

Extending our results beyond prime powers  $p$  should be very hard, because

$\text{inv-FO} + \text{MOD}_6[\sigma] \equiv \text{inv-FO} + \text{MOD}_2[\sigma] + \text{MOD}_3[\sigma]$

and  $\text{inv-FO} + \text{MOD}_6[\sigma] \equiv \text{inv-FO} + \text{MOD}_4[\sigma] + \text{MOD}_3[\sigma]$

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## Positive results:

$\text{ARB-inv-FO} + \text{MOD}_p[\sigma]$  **is** shift local, for **prime powers**  $p$ .

The proof uses lower bounds from circuit complexity.

Shift locality is easily applied to derive non-expressibility results.

$\text{ARB-inv-FO} + \text{MOD}_p[\sigma]$  **is** weakly Gaifman polylog-local, if  $p$  is an **odd prime power**.

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state-of-the-art is  $\text{NEXP} \not\subseteq \text{ACC}^0$ ! (Williams '11)

# Results

## Negative results:

$<\text{-inv-FO} + \text{MOD}_p[\sigma]$  **is not** Gaifman  $o(n)$ -local.  
(not even for unary queries).

$<\text{-inv-FO} + \text{MOD}_2[\sigma]$  **is not** weakly Gaifman  $o(n)$ -local  
(not even for unary queries on strings).

We introduce a new notion of locality, called **shift locality**.

## Positive results:

$\text{ARB-inv-FO} + \text{MOD}_p[\sigma]$  **is** shift local, for **prime powers**  $p$ .

The proof uses lower bounds from circuit complexity.

Shift locality is easily applied to derive **non-expressibility results**.

$\text{ARB-inv-FO} + \text{MOD}_p[\sigma]$  **is** weakly Gaifman polylog-local, if  $p$  is an **odd prime power**.

Follows easily from shift locality.

Extending our results **beyond prime powers**  $p$  should be very hard, because

this would imply  $\text{NLOGSPACE} \not\subseteq \text{ACC}^0$ , and the  
state-of-the-art is  $\text{NEXP} \not\subseteq \text{ACC}^0$ ! (Williams '11)

# Results on strings

$<\text{-inv-FO} + \text{MOD}_2[\sigma]$  is **more expressive** than  $\text{FO} + \text{MOD}_2[\sigma]$ .

For **odd prime powers**  $p$ ,  $\text{ARB-inv-FO} + \text{MOD}_p[\sigma]$  is

Hanf polylog-local, and hence

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