Collatz conjecture becomes theorem

Grażyna Mirkowska
UKSW University of Warsaw
Institute of Informatics

Andrzej Salwicki
Dombrova Research
Łomianki, POLAND
salwicki at mimuw.edu.pl

October 18, 2023

Abstract

The Collatz hypothesis is a theorem of the algorithmic theory of natural numbers. We prove the (algorithmic) formula that expresses the halting property of Collatz algorithm.
The observation that Collatz's theorem cannot be proved in any elementary number theory completes the main result.

Key words: algorithm of Collatz, halting property, Collatz tree, calculus of programs.

Contents

1   Introduction

The $3x + 1$ problem remained open for over 80 years. It has been noticed in 1937 by Lothar Collatz. The problem became quite popular due to its wording, for it is short and easy to comprehend.

Collatz remarked that for any given natural number $n > 0$, the sequence $\{n_i\}$ defined by the following recurrence

$$\left.\begin{array}{l} n_0 = n \\[2mm] n_{i+1} = \begin{cases} n_i \div 2 & \text{when } n_i \text{ is even} \\[1mm] 3 \cdot n_i + 1 & \text{when } n_i \text{ is odd} \end{cases} \quad \text{for } i \geq 0 \end{array}\right\} \qquad \text{(rec1)}$$

seem always reach the value 1.

He formulated the following conjecture

$$\text{for all } n \text{ exists } i \text{ such that } n_i = 1 \qquad \text{(Collatz conjecture)}$$

One can give another formulation of the hypothesis of Collatz [1].

The number of papers devoted to the problem surpasses 200, c.f. [Lag10] . It is worthwhile to consult social media: wikipedia, youtube etc, there you can find some surprising ideas to prove the Collatz hypothesis as well as a technical analysis of the problem.

Computers are used and are still crunching numbers in the search of an eventual counterexample to the Collatz conjecture. The reports on progress appear each year. We claim that the counterexample approach is pointless, i.e. the computers can be turned off. Namely, we shall prove that the program that searches a counterexample will never stop.

Our goal will be achieved if we prove that for each number n the computation of the following Cl algorithm is finite.

$$\left\{\begin{array}{l} \textbf{while } n \neq 1 \textbf{ do} \\ \quad \textbf{if } even(n) \textbf{ then } n := n \div 2 \textbf{ else } n := 3n + 1 \textbf{ fi} \\ \textbf{od} \end{array}\right\} \qquad \text{(Cl)}$$

One needs

---

[1] Let $f(n,0) \stackrel{df}{=} n$, and $f(n, i+1) \stackrel{df}{=} \begin{cases} f(n,i)/2 & \text{if } f(n,i) \text{ is even} \\ 3 \cdot f(n,i) + 1 & \text{if } f(n,i) \text{ is odd} \end{cases}$. Now, conjecture reads $\forall_n \, \exists_i \, f(n,i) = 1$.

- a formula Θ_{Cl} such that it expresses the termination property of program Cl,

- a verifiable proof Π of the formula and

- a definition of relation $\mathcal{C}$ of deducibility.

Ah, we need also a specification of the domain in which the algorithm is to be executed, i.e. the axioms $\mathcal{A}x$ of the algebraic structure of natural numbers.

QUESTION 1. How to express the termination property of a program K as a formula Θ_K (i.e. a Boolean expression)?

Note, there is no a universal algorithm that expresses the halting property of a given program K by an appropriate first-order logical formula Θ_K. First, let us recall the theorem on incompleteness of arithmetics, cf. Kurt Gödel . According to Gödel, the property *to be a natural number* is not expressible by any set of first-order formulas. The reader may wish to note, that halting property of the algoritm

$$q := 0; \textbf{while } q \neq n \textbf{ do } q := q + 1 \textbf{ od}$$

is valid in a data structure iff n is a standard (i.e. reachable) natural number. Therefore the halting property allow to define the set of natural numbers. In this situation it seems natural to pass from first-order language to another more expressive language. There are three candidates: 1°a second-order language admitting variables and quantifiers over sets, 2°the language of infinte disjunctions and conjunctions $\mathcal{L}_{\omega_1\omega}$ and 3°language of algorithmic logic. Problem with second order logic is in lack of adequate definition of consequence operation. True, we can limit our considerations to the case of finite sets (*aka*, weak second order logic). Still we do not know a complete set of axioms and inference rules for the weak second-order logic. Applying second-order logic to program analysis results in a heavy overhead. Because first you have to translate the semantic property of the program into a property of a certain sequence or set. prove this property and make a backward translation. The question of whether this approach is acceptable to software engineers seems to be appropriate.

The language of infinite disjunctions and conjunctions is not an acceptable tool for software engeeners.

We shall use the language and the consequence operation offered by algorithmic logic i.e. calculus of programs. We enlarge the set of well formed expressions: beside terms and formulas of first order language we accept algorithms and we modify the definition of logical formulas. The simplest algorithmic formulas are of the form: $\langle algorithm \rangle \langle formula \rangle$.

As an example of an algorithmic formula consider the expression

$$\forall_n \{q := 0; \textbf{while } q \neq n \textbf{ do } q := q + 1 \textbf{ od}\}\, (n = q)$$

The latter formula is valid iff every element n can be reached from 0 by adding 1.

Now our goal is to prove the following formula

$$\forall_{n \in N} \left\{ \begin{array}{l} \textbf{while } n \neq 1 \textbf{ do} \\ \quad \textbf{if } even(n) \textbf{ then } n := \frac{n}{2} \\ \quad \textbf{else } n := 3n + 1 \textbf{ fi} \\ \textbf{od} \end{array} \right\} (n = 1) \qquad (\Theta)$$

from the axioms of algorithmic theory of natural numbers $\mathcal{ATN}$, c.f. subsection 6.4. For the formula (Θ) expresses the termination property of program Cl.

QUESTION 2. How to prove such algorithmic formula?
Note, all structures that assure the validity of axioms of the $\mathcal{ATN}$ theory are isomorphic (this is the categoricity *meta*-theorem). Therefore, the termination formula, can be either proved (with the inference rules and axioms of calculus of programs $\mathcal{AL}$, or validated in this unique model of axioms of $\mathcal{ATN}$.

Let us make a simple observation. The computation of Collatz algorithm if succesful goes through intermediate values. The following diagram (Dg) illustrates a computation where all odd numbers were exposed as stacked fractions.

$$(\text{Dg})$$

where $k_0 = expo(n, 2), k_1 = expo(3 \cdot \frac{n}{2^{k_0}} + 1, 2), k_2 = expo(3 * \frac{(3 \cdot \frac{n}{2^{k_0}} + 1)}{2^{k_1}} + 1, 2), \ldots$
[2]. In our earlier paper [MS21] we studied the halting formula of the Collatz algorithm. We remarked that the computation of Collatz algorithm is finite iff there exist three natural numbers x, y, z such that:
a) the equation $n \cdot 3^x + y = 2^z$ is satisfied and
b) the computation of another algorithm IC, cf. page 15, is finite, the algorithm computes on triples$\langle x, y, z \rangle$.
It is worthwhile to mention that the subsequent triples are decreasing during computation.
The proof we wrote there [MS21] is overly complicated.
Here we show that the 4-argument relation

$$\{n, x, y, z\} : \{IC\}(true).$$

is elementary recursive, since it may be expressed by an arihmetic expression with operator $\sum$.
The present paper shows arguments simpler and easier to follow.

---

[2]**Note**, the function *expo* returns the largest exponent of 2 in the prime factorization of number x .
$expo(x, 2) \stackrel{df}{=} \{l := 0; y := x; \textbf{while } even(y) \textbf{ do } l := l + 1; y := y/2 \textbf{ od}\}(\text{result} = l)$ i.e. $l = expo(x, 2)$. We introduce the notation *expo* instead *exp* for our friends programmers who interpret the notation *exp* as exponentiation.

4

2   Collatz tree

Definition 1 *Collatz tree $\mathcal{DC}$ is a subset $D \subset N$ of the set N of natural numbers and the function f defined on the set $D \setminus \{0, 1\}$.*

$$\mathcal{DC} = \langle D, f \rangle$$

where $D \subset N, 1 \in D, f \colon D \setminus \{0, 1\} \to D$.
Function f is determined as follows

$$f(n) = \begin{cases} n \div 2 & when \ n \mod 2 = 0 \\ 3n + 1 & when \ n \mod 2 = 1 \wedge n \neq 1 \end{cases}$$

, the set D is the least set containing the number 1 and closed with respect to the function f,

$$D = \{ n \in N : \exists_{i \in N} \ f^i(n) = 1 \} \ .$$

As it is easy to see, this definition is highly entangled and the decision whether the set D contains every natural number is equivalent to the Collatz problem.

Remark 2 *Set D has the following properties :*

$$x \in D \implies (x + x) \in D \tag{1}$$
$$\big(x \in D \implies (\exists_y x = y + y \implies y \in D) \big) \tag{2}$$
$$\big(x \in D \implies (\exists_y x = y + y + 1 \implies (x + x + x + 1) \in D) \big) \tag{3}$$
$$\big(x \in D \implies (\exists_e \exists_z e = z + z + 1 \wedge x = e + e + e + 1 \implies e \in D) \big) \tag{4}$$

Implications (1) and (4) show left and right son of element x.

Conjecture 3 *The Collatz tree contains all the reachable natural numbers.*

Figure 1: A fragment of Collatz tree, levels 4-15. It does not include levels 0-3, they consist of elements 1 — 2 — 4 — 8 — .

3 Four algorithms, relatives of Cl algorithm

In this section we present an algorithm Gr equivalent to the algorithm Cl and three algorithms $Gr1, Gr2, Gr3$ that are successive extensions of the Gr algorithm.

Lemma 4 *The following algorithm Gr is equivalent to Collatz algorithm Cl.*

$$
\begin{array}{ll}
Gr: &
\begin{array}{l}
\textbf{while } \text{even(n)} \ \ \textbf{do} \ \ \text{n:= n} \div 2 \ \textbf{od} \ ; \\
\textbf{while } \text{n} \neq 1 \ \ \textbf{do} \\
\quad \text{n:= 3*n+1;} \\
\quad \textbf{while } \text{even(n)} \ \ \textbf{do} \ \ \text{n:= n} \div 2 \ \textbf{od} \\
\textbf{od} \ ;
\end{array}
\end{array}
$$

Proof. The equivalence of the algorithms Cl and Gr is intuitive. Compare the recurrence of Collatz (rec1) and the following recurrence (rec2) that is calculated by the algorithm Gr.

$$
\left.
\begin{array}{ll}
k_0 = expo(n, 2) & \wedge \quad m_0 = \frac{n}{2^{k_0}} \\
k_{i+1} = expo(3m_i + 1, 2) & \wedge \quad m_{i+1} = \frac{3m_i+1}{2^{k_{i+1}}} \qquad \text{for } i \geq 0
\end{array}
\right\} \qquad \text{(rec2)}
$$

One can say the algorithm Gr is obtained by the elimination of **if** instruction from the Cl algorithm. However, construction of a formal proof is a non-obvious task. A sketch of a proof is given in subsection 6.5 We are encouraging the reader to fill the details. ∎

Next, we present the algorithm $Gr1$, an extension of algorithm Gr.

$$
\begin{array}{ll}
Gr1: &
\begin{array}{l}
\textbf{var } \ \text{n},l,i \ \text{:integer ; } k, m \ \textbf{:arrayof} \ \text{integer;} \\
\Gamma_1: \boxed{
\begin{array}{l}
\quad i := 0; \ l := 0; \\
\textbf{while } \text{even(n)} \ \textbf{do} \ \ \text{n:= n} \div 2; \ l := l+1 \ \textbf{od} \ ; \ k_i := l; \ m_i := \text{n:}
\end{array}} \\
\textbf{while } \text{n} \neq 1 \ \ \textbf{do} \\
\Delta_1: \boxed{
\begin{array}{l}
\{ \ m_i = \text{n} \ \} \quad \text{n:= 3*n+1;} \ \ l := 0; \\
\textbf{while } \text{even(n)} \ \ \textbf{do} \ \ \text{n:= n} \div 2; \ l := l+1 \textbf{od} \ ; \ k_{i+1} := l; \ m_{i+1} := \text{n;} \\
\{ m_{i+1} = \frac{3 \cdot m_i + 1}{2^{K_i}} \wedge k_{i+1} = exp(3 * m_i + 1, 2) \} \quad i := i+1
\end{array}} \\
\textbf{od}
\end{array}
\end{array}
$$

Lemma 5 *Algorithm $Gr1$ has the following properties:*
(i) Algorithms Gr and $Gr1$ are equivalent with respect to the halting property.
(ii) The sequences $\{m_i\}$ and $\{k_i\}$ calculated by the algorithm $Gr1$ satisfy the recurrence rec2.

Proof. Both statements are very intuitive. Algorithm $Gr1$ is an extension of algorithm Gr. The inserted instructions do not interfere with the halting property of algorithm $Gr1$. Second part of the lemma follows easily from the remark that $k_0 = exp(\text{n}, 2)$ and $m_0 = \frac{n}{2^{k_0}}$ and that for all $i > 0$ we have $k_{i+1} = exp(3 * m_i + 1, 2)$ and $m_{i+1} = \frac{3 \cdot m_i + 1}{2^{k_{i+1}}}$. ∎

Each odd number m in Collatz tree, $m \in D$, initializes a new branch. Let us give a color number $x + 1$ to each new branch emanating from a branch with color number x. Note, for every natural number$p$ the set of branches of the color p is infinite. Let W_x denote the set of natural numbers that obtained the

color x.

Besides the levels of Collatz tree, one can distinguish the structure of strata in the tree.

First, we define a congruence relation $\sim$ between numbers: given two odd numbers a and b the relation $a \sim b$ holds iff $b = 4a + 1$, We take symmetric and transitive closure of the relation $\sim$.

Further, if $a \sim b$ and two odd numbers c, d are such $a = 3c + 1$ and $b = 3d + 1$ then $c \sim d$.

Definition 6 *Definition of strata. Strata W_0 consists of powers of two.*

$$W_0 \overset{df}{=} \{n \in N \colon n = 2^{expo(n,2)}\} \tag{W0}$$

Any number $n \notin W_0$ belongs to some set $W_x, x \neq 0$.

$$W_{x+1} \overset{df}{=} \left\{ n \in N \colon \exists_{m \in W_x} \left(3 \cdot \frac{n}{2^{expo(n,2)}} + 1 = m \right) \right\} \tag{Wx+1}$$

A couple of observations will be used in the sequel.

Remark 7 *Among properties of sets W_i we find*

1. *Each set W_i is infinite. If it contains a number n then the number $2n \in W_i$.*

2. *The set W_0 contains one odd number 1. All other sets contain infinitely many odd numbers. For if $a = 2j + 1$ and $a \in W_i$ then $4a + 1 \in W_i$.*

3. *We define the function $f \colon N \to N$ as follow:* $f(n) = \begin{cases} n \div 2 & \text{when } n \text{ is even} \\ 3n + 1 & \text{when } n \text{ is odd } n \neq 1 \\ undefined & \text{when } n = 1 \end{cases}$

4. *Let $x > 0$ be a natural number. Let the sequence $\{o_j\}_{i \in N}$ contain all odd numbers that are in the set W_x. Let $S_{o_j} = \{2^i \cdot o_j\}$ be the set. Every set W_x may be partitioned as follow $W_x = \bigcup\limits_{j=0}^{\infty} S_{o_j}$. If $j \neq j'$ then $S_{o_j} \cap S_{o_{j'}} = \emptyset$*

5. *For every $i, j \in Nat$ if $i \neq j$ then $W_i \cap W_j = \emptyset$.*

6. *For every $n, j \in Nat$ if $n \in W_j \land j > 1 \land n \mod 2 = 1$ then $3n + 1 \in W_{j-1}$.*

7. *The sequence of sets $\left\{ \bigcup\limits_{i=0}^{j} W_i \right\}_{j \in N}$ is monotone, increasing.*

Figure 2: Strata $W_0 - -W_4$ of Collatz tree
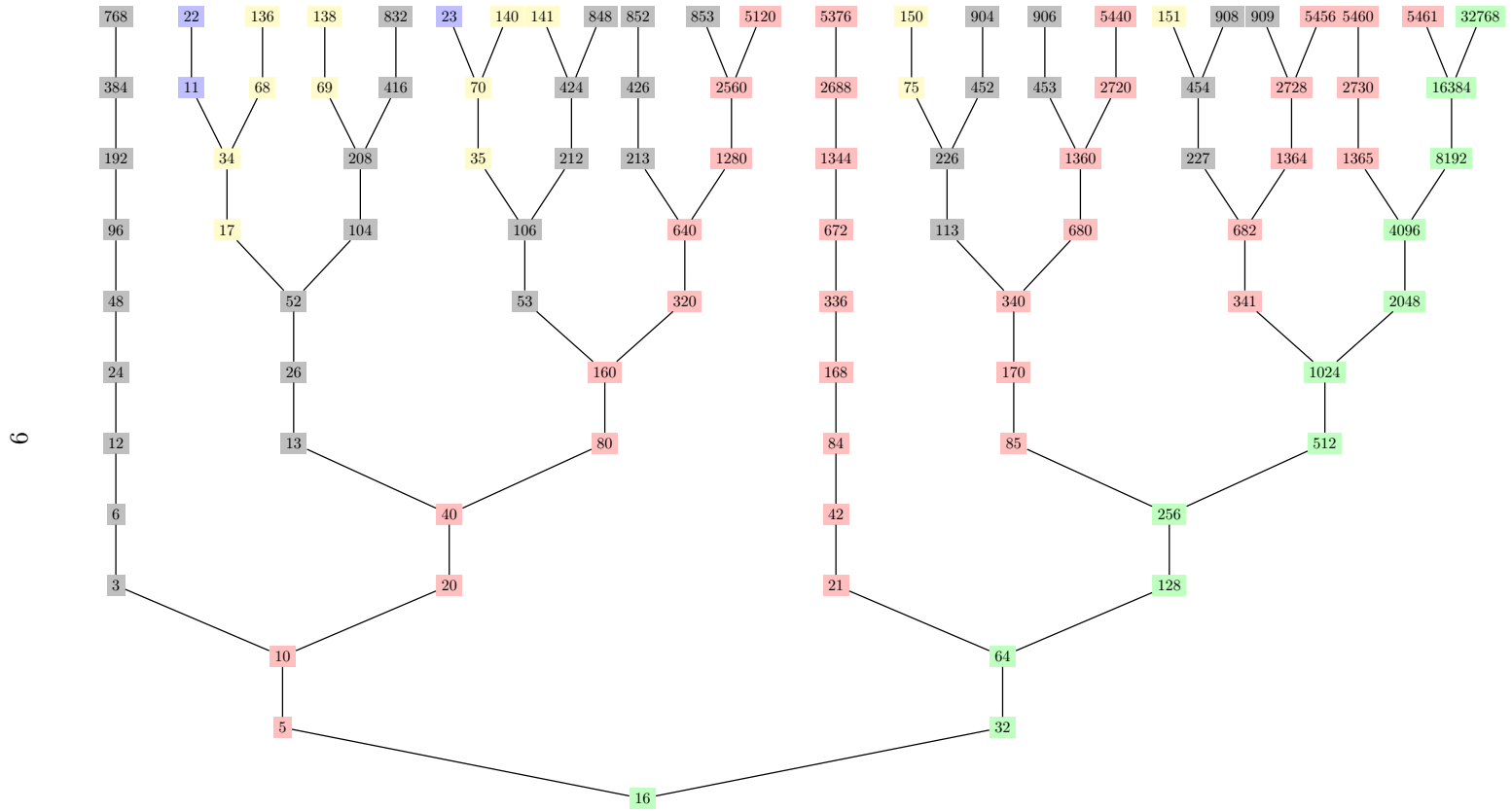
Let s be a variable not occurring in algorithm $Gr1$. The following lemma states the partial correctnes of the algorithm $Gr1$ w.r.t. precondition $s = n$ and post-condition $s \in W_i$.

Lemma 8 *Algorithm $Gr1$ computes the number i of storey W_i of number n,*

$$\{Gr1\}(true) \implies \big((s = n) \implies \{Gr1\}(s \in W_i)\big)$$

Next, we present another algorithm $Gr2$ and a lemma.

```
var  n,l,i,x,y,z :integer ; k,m :arrayof  integer;
        i := 0;  l := 0;
Γ₂:  while even(n)  do  n:= n ÷ 2;  l := l + 1 od ;
     z, kᵢ := l; mᵢ:=n;  y := 0;
Gr2:  while mᵢ ≠1  do
        n:= 3*n+1;  i := i + 1; l := 0 ;
Δ₂:  while even(n)  do  n:= n ÷ 2; l := l + 1 od ;
     kᵢ := l; mᵢ:=n; z := z + kᵢ; y := 3 * y + 2ᶻ; x := i
     od
```

Lemma 9 *Algorithm $Gr2$ has the following properties:*
(i) Both algorithms $Gr1$ and $Gr2$ are equivalent with respect to the halting property.
(ii) Formula φ: $\boxed{n \cdot 3^i + y = m_i \cdot 2^z}$ *is an invariant of the program $Gr2$ i.e. the formulas (5) and (6)*

$$\{\Gamma_2\} (n \cdot 3^i + y = m_i \cdot 2^z) \tag{5}$$

$$(n \cdot 3^i + y = m_i \cdot 2^z) \implies \{\Delta_2\}(n \cdot 3^i + y = m_i \cdot 2^z) \tag{6}$$

are theorems of the algorithmic theory of numbers $\mathcal{ATN}$.

Proof. Proofs of these formulas are easy, it suffices to apply the axiom of assignment instruction Ax_{18}, ∎

Figure 3:   Tree of triples (levels 4 – 15)

Subsequent algorithm $Gr3$ exposes the history of the calculations of x, y, z.

$Gr3$:

> **var n**,i,aux :integer ; k,m,X,Y,Z : **arrayof** integer;
>
> Γ_3: $\boxed{i := 0;\ k_i := exp(\text{n}, 2);\ m_i := \frac{\text{n},}{2^{k_i}};\ Z_i := k_0;\ Y_i, X_i := 0;}$
>
> **while** $n \cdot 3^i + Y_i \neq 2^{Z_i}$ **do**
>
> Δ_3: $\begin{array}{l} \text{aux:=}3\text{*}m_i\text{+}1; \\ i := i + 1\ ; \\ k_i := exp(\text{aux}, 2);\ m_i := \text{aux}/2^{k_i}; \\ Y_i := 3Y_{i-1} + 2^{Z_{i-1}};\ Z_i := Z_{i-1} + k_i;\ X_i := i; \end{array}$
>
> **od**

See some properties of the algorithm $Gr3$.

Lemma 10 *Both algorithms $Gr2$ and $Gr3$ are equivalent with respect to the halting property.*
For every element n after each i-th iteration of algoritm $Gr3$, the following formulas are satisfied

$$\varphi:\ n \cdot 3^i + Y_i = m_i \cdot 2^{Z_i} \qquad\qquad X_i = i$$

$$Z_i = \sum_{j=0}^{i} k_j \qquad\qquad Y_i = \sum_{j=0}^{i-1}\left(3^{i-1-j} \cdot 2^{Z_j} \right)$$

where the sequences $\{m_i\}$ and $\{k_i\}$ are determined by the recurrence (rec2).
in other words, the following formula is valid in the structure $\mathfrak{N}$

$$\mathfrak{N} \models \Gamma_3 \bigcap \{\textbf{if } m_i \neq 1 \textbf{ then } \Delta_3 \textbf{ fi}\}\, \varphi$$

Remark 11 *Hence, for every element n algorithm $Gr3$ calculates an increasing, monotone sequence of triples $\langle i\, (= X_i), Y_i, Z_i \rangle$.*

Remark 12 *We can say informally that the algorithm $Gr3$ performs as follow*

> $i := 0;$
> **while** $n \notin W_i$ **do** $i := i+1$ **od**

Note, $\{Gr_3\}\, (n \in W_i)$

3.1 Hotel Collatz

Hotel contains rooms of any natural number. Let $n = 2^i \cdot (2j + 1)$. It means that the room number n is located in tower number j on the floor number i . Each tower is equipped with an elevator (shown as a green line). Moreover, each tower is connected to another by a staircaise that connects numbers $k = 2j + 1$ and $3k + 1$. This is shown as a red arrow $\overrightarrow{\langle k, 3k + 1 \rangle}$.
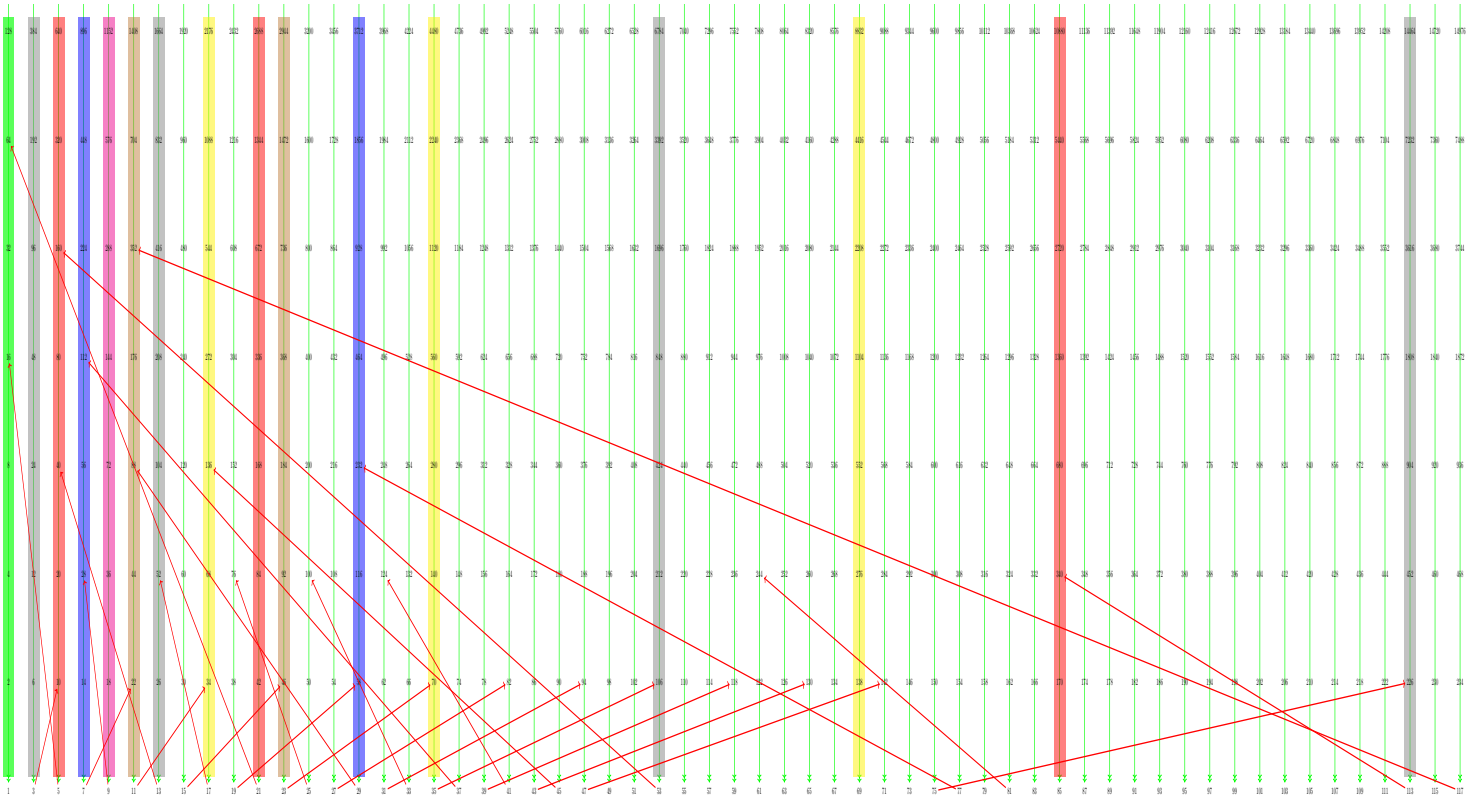
Figure 4: Hotel Collatz

Definition 13 (Hotel Collatz) *The graph $HC = \langle V, E \rangle$ is defined as follows*
$$V = N \qquad \text{i.e. the set of vertices is the set of standard, reachable, natural}$$
numbers
$$E = \{\overrightarrow{\langle k, p \rangle} : \exists_p\, k = p + p\} \cup \{\overrightarrow{\langle k, 3k+1 \rangle} : \exists_p k = p + p + 1\} \qquad \text{are edges}$$
of the graph

> **Note.** Don't forget, our drawing is only a small fragment of the infinite HC structure. The picture shows a small part of red arrows. We drew only those red arrows that fit entirely on a page.

Conjecture 14 *The hotel Collatz is an infinite, connected, acyclic graph, i.e. it is a tree. Number 1 is the root of the tree.*

Making use of the definition 6 one can formulate the following

Conjecture 15 *The set $\overline{W} \overset{df}{=} \bigcup\limits_{x \in N} W_x$ is a partition of the set N of nodes of Hotel Collatz.*

4   On finite and infinite computations of Collatz algorithm

QUESTION Can some computation contain both reachable and unreachable elements?
No. The subset of reachable elements is closed with respect of division by 2 and multiplication by 3. The same observation applies to the set of unreachable elements.
We know, cf. subsection 6.1 that computations of nonreachable elements are infinite.

4.1   Finite computations

Let $\mathfrak{M} = \langle M; 0, 1, +, = \rangle$ be any algebraic structure that is a model of elementary theory of addition of natural numbers, c.f. subsection 6.2.
Denotation. Let $\theta(x, y)$ be a formula. The expression $(\mu x)\theta(x, y)$ denotes the least element $x(y)$ such that the value of the formula is truth.
EXAMPLE. $(\mu x)(x + x > y)$.

The following lemma gathers the facts established earlier.

Lemma 16 *Let n be an arbitrary element of the structure $\mathfrak{M}$. The following conditions are equivalent*

(i) *The sequence $n_0 = n$ and $n_{i+1} = \begin{cases} n_i \div 2 & when \;\; n_i \mod 2 = 0 \\ 3n_i + 1 & when \;\; n_i \mod 2 = 1 \end{cases}$ de-*
     termined by
     the recurrence (rec1) contains an element $n_j = 1$

(ii) *The computation of the algorithm Cl is finite.*

(iii) *The sequence $m_0 = \frac{n}{2^{k_0}}$ and $m_{i+1} = \frac{3m_i + 1}{2^{k_i}}$ determined by the recurrence (rec2) stabilizes, i.e. there exist l such that $m_k = 1$ for all $k > l$*

(iv) *The computation of the algorithm Gr is finite.*

(v) *The computation of the algorithm $Gr1$ is finite and the subsequent values of the variables M_i and K_i satisfy the recurrence (rec2) .*

(vi) *The computation of the algorithm $Gr2$ is finite and the subsequent values of the variables m_i and k_i satisfy the recurrence (rec2). The formula $n \cdot 3^x + y = m_i \cdot 2^z$ holds after each iteration of **while** instruction, i.e. it is the invariant of the program Δ_2. The final valuation of variables x, y, z and n satisfies the equation $n \cdot 3^x + y = 2^z$.*

(vii) *The computation of the algorithm $Gr3$ is finite.*
The subsequent values of the variables m_i and k_i satisfy the recurrence (rec2) .
The subsequent values of the variables X_i, Y_i, Z_i form a monotone, increasing sequence of triples.
The formula $n \cdot 3^{X_i} + Y_i = m_i \cdot 2^{Z_i}$ is satisfied after each i-th iteration of the program $Gr3$, i.e. the value of the following exression $\{\Gamma_3; \Delta_3^i\}(X_i + Z_i)$ is the total number of operations excuted. The value of the variable Y_i encodes the history of the computation till the i-th iteration of Δ_3

Suppose that for a given element n the computationof algorithm $Gr2$ is finite.

Let $\bar{x} = (\mu x)\left(n \cdot 3^x + \left[\sum_{j=0}^{x-1}\left(3^{x-1-j} \cdot 2^{\sum_{l=0}^{j} k_l}\right)\right] = 2^{\sum_{j=0}^{x} k_j}\right)$. Put $\bar{y} = \sum_{j=0}^{\bar{x}-1}\left(3^{\bar{x}-1-j} \cdot 2^{\sum_{l=0}^{j} k_l}\right)$ and $\bar{z} = \sum_{j=0}^{\bar{x}} k_j$.

We present the algorithm IC', which is a slightly modified version of the algorithm IC devised in [MS21] .

$$
IC' : \left\{
\begin{array}{l}
\textbf{var } \mathrm{x, y, z, k} : integer, Err : Boolean; \\
Err := false; \\
\textbf{while } \mathrm{x + y + z} \neq 0 \textbf{ do} \\
\quad \boxed{\begin{array}{l}
\textbf{if } \left(odd(\mathrm{y}) \wedge ((\mathrm{x} = 0) \ \vee \ (\mathrm{y} < 3^{\mathrm{x}-1}))\right) \\
\quad \textbf{then } Err := true; \ \textbf{exit} \\
\textbf{fi}; \\
\mathrm{x} := \mathrm{x} - 1; \ \mathrm{y} := \mathrm{y} - 3^{\mathrm{x}}; \ \mathrm{k} := expo(\mathrm{y}, 2); \\
\mathrm{y} := \dfrac{\mathrm{y}}{2^k}; \ \mathrm{z} := \mathrm{z} - \mathrm{k};
\end{array}} \\
Tr : \\
\textbf{od}
\end{array}
\right\} \quad \text{(IC')}
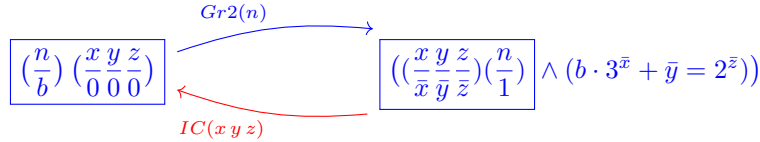$$

We observe the following fact

Lemma 17 *For every element n*

$$
(n = b) \wedge \{Gr2\}\left(\left((x = \bar{x} \wedge y = \bar{y} \wedge z = \bar{z}) \wedge (b \cdot 3^{\bar{x}} + \bar{y} = 2^{\bar{z}})\right) \Rightarrow \{IC'\}(x = y = z = 0)\right)
$$

and

$$(x = \bar{x} \wedge y = \bar{y} \wedge z = \bar{z}) \wedge (b \cdot 3^{\bar{x}} + \bar{y} = 2^{\bar{z}})) \wedge$$

$$\left(\{IC'\}(x = y = z = 0) \implies (n = b) \implies \{Gr2\}(x = \bar{x} \wedge y = \bar{y} \wedge z = \bar{z}) \right)$$

The contents of this lemma are best explained by the commutativity of the diagram below.

$$\begin{array}{ccc} \boxed{\left(\dfrac{n}{b}\right)\left(\dfrac{x\ y\ z}{0\ 0\ 0}\right)} & \xrightarrow{\ Gr2(n)\ } & \boxed{\left((\dfrac{x\ y\ z}{\bar{x}\ \bar{y}\ \bar{z}})(\dfrac{n}{1})\right)} \wedge (b \cdot 3^{\bar{x}} + \bar{y} = 2^{\bar{z}})) \\[1em] & \xleftarrow[\ IC(x\ y\ z)\ ]{} & \end{array}$$

Proof. The proof makes use of two facts:

1) $even(n) \equiv even(\sum_{j=0}^{x-1} (3^{x-1-j} \cdot 2^{\sum_{l=0}^{j} k_l}))$

2) $\sum_{j=0}^{x-1} (3^{x-1-j} \cdot 2^{\sum_{l=0}^{j} k_l}) = 2^{k_0} \cdot (3^{x-1} + 2^{k_1} \cdot (3^{x-2} + 2^{k_2} \cdot (\cdots + 2^{k_x} \cdot 3^0)))$

One can prove this lemma by induction w.r.t. number of encountered odd numbers.

The thesis of the lemma is very intuitive. Look at the Collatz hotel Fig. 4. The lemma states that for every room number n the two conditions are equivalent (1) there is a path from room number n to the exit, which is located near room number 1, (2) there is a path from entry to the hotel near room number 1 to the room number n. It is clear that such a path must be complete, no jumps are allowed. ■

Lemma 18 *For every element n the following conditions are equivalent*

  (i) *computation of Collatz algorithm Cl is finite,*

  (ii) *there exists the* LEAST *element x such that the following equality holds*

$$n \cdot 3^x + \left(\sum_{j=0}^{x-1} 3^{x-1-j} \cdot 2^{\sum_{l=0}^{j} k_l} \right) = 2^{\sum_{j=0}^{x} k_j}. \qquad \text{(Mx)}$$

  where the sequence $\{k_i\}$ is determined by the element n in accordance to the recurrence (rec2).

Proof. The implication $(i) \Rightarrow (ii)$ follows from lemma $16(vii)$.
Consider the inverse implication $(ii) \Rightarrow (i)$. If (ii) holds, then the computation of program Gr_3 reaches 1 after x-th iteration of internal instruction Δ_3. The value of m_x is then 1. The computation performs x nultiplications and $z = \sum_{j=0}^{x} k_j$ divisions.

The value of $y = \left(\sum\limits_{j=0}^{x-1} \left(3^{x-1-j} \cdot 2^{\sum\limits_{l=0}^{j} k_l} \right) \right)$ codes the history of the computation.
∎

Which means: x_0 is the number of multiplication by 3, $z = \sum\limits_{j=0}^{x} k_j$ is the total number of divisions by 2 and for every $0 \leq j \leq x - 1$ the number k_j is the number of divisions by 2 excuted in between the j-th and $j + 1$-th execution of multiplication by 3.

The algorithm Cl executes $x + z$ iterations. The lemma 18 gives the halting formula i.e. a satisfactory and necessary condition for the computation of the Collatz program to be finite.
We shall summarize the considerations on finite computations in the following commutative diagram.
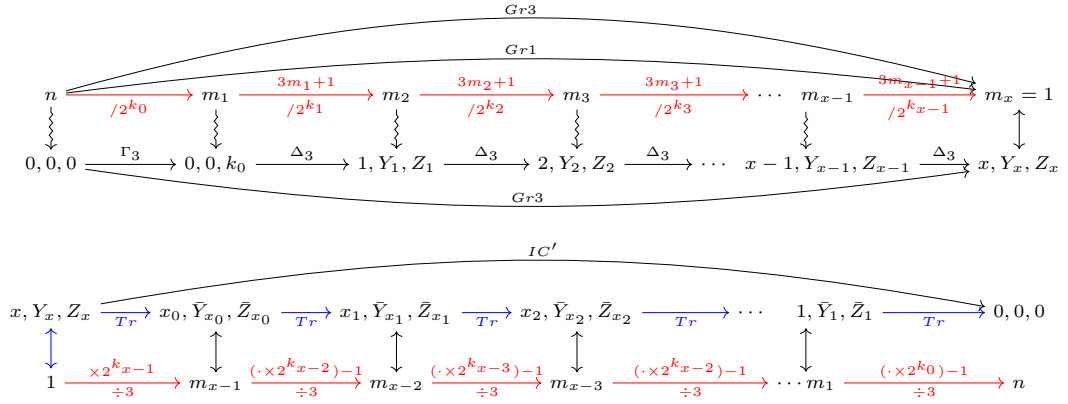
Figure 5: CASE OF FINITE COMPUTATION ILLUSTRATED
Upper row, (with red arrows) represents computation of $Gr1$,
elements k_i and m_i are calculated in accordance with the recurrence (rec2)
rows 1 and 2 show computation of $Gr3$, the subsequent triples are $X_{i+1} = i + 1, Y_{i+1} = 3Y_i + 2_i^Z, Z_{i+1} = Z_i + k_i$
third row (blue arrows) shows computation of algorithm IC on triples, $\bar{Y}_x = Y_x$ and $\bar{Z}_x = Z_x$ and for $i = x, \ldots, 1$ we have $\bar{Z}_{i-1} = \bar{Z}_i - k_i$ and $\bar{Y}_{i-1} = (\bar{Y}_i/2^{k_i}) - 3^{i-1}$

4.2   Infinite computations

Do infinite computations exist?
There are two answers *yes* and *no*.

Yes	Imagine your computer system is (*maliciously*) handled by a hacker. This can be done by preparing its hardware or software (e.g. someone modifies the class Int in Java). To hide the damage from the user, the hacker may come with a correct proof that all axioms of natural numbers (e.g. of Presburger's system) are valid. Yet, for many n execution of the Collatz algorithm will not terminate. See subsections 4.4 and 6.1.
No	If argument of Collatz procedure is a *standard* (i.e. reachable) natural number then the computation is finite.

We are going to prove the following

Lemma 19 *If for certain number n computation of Collatz algorithm is infinite then n is not a reachable, natural number.*

We remark that the semantical property: *for a given element n the computation of Collatz algorithm may be continued at will* , i.e. it is an infinite computation, is expressed by the following formula

$$\{\Gamma_3\} \bigcap \{\Delta_3\} \, (n_i \neq 1) \tag{Π}$$

where denotations Γ_3 and Δ_3 were introduced on page 12, see program Gr_3.

For it says: after every iteration of subprogram Δ_3 of the program Gr_3 the value of the variable n is not equal 1.

The following formula

$$\{i := 0;\} \bigcap \{i : +i+1\} \, (n \cdot 3^i + \sum_{j=0}^{i-1} 3^{i-1-j} \cdot 2^{Z_j} \neq 2^{Z_i}) \tag{Ξ}$$

where denotation Z_j was introduced on page 12

does express the semantical property: *for every reachable, natural number i the inequality $(n \cdot 3^i + \sum_{j=0}^{i-1} 3^{i-1-j} \cdot 2^{Z_j} \neq 2^{Z_i})$ holds.*

Hence our goal is to prove the implication ($\Pi \implies \Xi$).
We begin with simple observation that every formula of the following set Z_a is a tautology

$$Z_a \overset{df}{=} \{ \, (\{\Gamma_3\} \{\Delta_3\}^r \, (n_i \neq 1) \implies \{\Gamma_3\} \{\Delta_3\}^r \, (n_i \neq 1)) \, \}_{r \in N}$$

here $\underline{r} = \underbrace{1 + 1 + 1 + \cdots + 1}_{r \ times}$ is any reachable, natural number.

For every natural number r the following equivalence is a theorem of algorithmic theory of numbers $\mathcal{ATN}$

$$\left(\, \{\Gamma_3\} \{\Delta_3\}^r \, (n_i = 1) \Leftrightarrow \{\Gamma_3\} \{\Delta_3\}^r \, (n \cdot 3^i + \sum_{j=0}^{i-1} 3^{i-1-j} \cdot 2^{Z_j} = 2^{Z_i}) \, \right)$$

18

Hence the following set Z_b consists of theorems of theory $\mathcal{ATN}$

$$Z_b \overset{df}{=} \left\{ \left(\{\Gamma_3\}\{\Delta_3\}^r (n_i \neq 1) \Rightarrow \{\Gamma_3\}\{\Delta_3\}^r (n \cdot 3^i + \sum_{j=0}^{i-1} 3^{i-1-j} \cdot 2^{Z_j} \neq 2^{Z_i})\right) \right\}_{r \in N}$$

Look at programs $\{\Gamma_3\}$ and $\{\Delta_3\}$, on page 12. Note that first program contains instruction $i := 0$ and the second program contains the instruction $i := i + 1$, other instructions can be dropped. Hence the set Z_c of formulas

$$Z_c \overset{df}{=}$$

$$\left\{ \{\Gamma_3\}\{\Delta_3\}^r (n_i \neq 1) \Rightarrow \{i := 0\}\{i := i+1\}^r (n \cdot 3^i + \sum_{j=0}^{i-1} 3^{i-1-j} \cdot 2^{Z_j} \neq 2^{Z_i}) \right\}_{r \in N}$$

contains only theorems of theory $\mathcal{ATN}$. Now by induction with respect to r we can prove that every formula of the set Z_d is a theorem of theory $\mathcal{ATN}$. I.e. we introduce the universal iteration quantifier $\bigcap$ in the precedent of every implication of the set Z_c of theorems of theory $\mathcal{ATN}$.

$$Z_d \overset{df}{=}$$

$$\left\{ \{\Gamma\}\bigcap\{\Delta\}(n_i \neq 1) \Rightarrow \{i := 0\}\{i := i+1\}^r (n \cdot 3^i + \sum_{j=0}^{i-1} 3^{i-1-j} \cdot 2^{Z_j} \neq 2^{Z_i}) \right\}_{r \in N}$$

the symbols Γ and Δ were stripped off the indices $_3$ for typographic reason.

In the proof we used axiom Ax_{23} of calculus of programs, de Morgan's laws (case of infinite operations in a Boolean algebra) and propositional calculus, c.f. page 32.
Now, we are ready to use the inference rule R_5, see page 33 and to obtain the desired theorem of algorithmic theory $\mathcal{ATN}$

$$\mathcal{ATN} \vdash \underbrace{\{\Gamma_3\}\bigcap\{\Delta_3\}(n_i \neq 1)}_{\Pi} \Rightarrow \underbrace{\{i := 0\}\bigcap\{i := i+1\}(n \cdot 3^i + \sum_{j=0}^{i-1} 3^{i-1-j} \cdot 2^{Z_j} \neq 2^{Z_i})}_{\Xi}$$

$$(7)$$

which reads:
if for a given number n the computation of Collatz algorithm is infinite then the number n is an unreachable element of non-standard model of Presburger arithmetic.
Why it is so?

- The equation $n \cdot 3^i + \sum_{j=0}^{i-1} 3^{i-1-j} \cdot 2^{Z_j} \neq 2^{Z_i}$ is an implicit definition of a function that to a given number n assigns a corresponding number i, consult the lemma 36,

- Note, the elements n and i are either both reachable or both unreachable numbers.

This ends the proof of the lemma.

————————————

4.3   Collatz theorem

Till now we proved that Collatz conjecture is valid in the structure $\mathfrak{N}$ of standard (reachable) natural numbers.

Lemma 20 *Let n be any standard element of the structure $\mathfrak{N}$. The computation of Collatz algorithm Cl that begins with n is finite.*

Proof. The proof follows immediately from the lemmas 18 and 19.   ∎

Corollary 21 *Conjectures 3, 14 and 15 formulated above are valid statements.*

Now, making use of the completeness theorem of calculus of programs we argue that the terminatiom formula of the Collatz algorithm possedes a proof in the algorithmic theory of natural numbers $\mathcal{ATN}$.
Let Θ_{CL} be the following formula of $\mathcal{ATN}$

$$\underbrace{\forall_n \{n' := 1\} \bigcup \{n' := n' + 1\}(n' = n)}_{\forall_{n \in N,\, n \geq 1}} \implies \bigcup \left\{ \begin{array}{l} \textbf{if } n \neq 1 \textbf{ then} \\ \quad \textbf{if } odd(n) \textbf{ then} \\ \quad\quad n := 3 * n + 1 \\ \quad \textbf{else} \\ \quad\quad n := n \div 2 \\ \quad \textbf{fi} \\ \textbf{fi} \end{array} \right\} (n = 1) \quad (\Theta_{CL})$$

  The formula expresses the termination property of Collatz algorithm. The lemma 20 states that the formula Θ_{CL} is valid in the standard model $\mathfrak{N}$.

Perhaps, the reader will prefer another formula Θ'_{CL} which looks as follow

$$\mathcal{ATN} \vdash \underbrace{\{n := 1\} \bigcap \{n := n + 1\}}_{\forall_{n \in N,\, n \geq 1}} \left\{ \begin{array}{l} \textbf{while } n \neq 1 \textbf{ do} \\ \quad \textbf{if } odd(n) \textbf{ then} \\ \quad\quad n := 3 * n + 1 \\ \quad \textbf{else} \\ \quad\quad n := n \div 2 \\ \quad \textbf{fi} \\ \textbf{od} \end{array} \right\} (n = 1) \quad (\Theta'_{CL})$$

Theorem 22 *The formula Θ_{CL} is a theorem of the theory $\mathcal{ATN}$.*

Proof. Recall that the $\mathcal{ATN}$ theory is categorical. It means that every model of this theory is isomorphic to the standard model $\mathfrak{N}$.
The sentence Θ_{CL} is valid in $\mathfrak{N}$, i.e. in every model of $\mathcal{ATN}$.
By the completeness property of the calculus of programs, the theory contains the proof of the sentence Θ_{CL}.   ∎

Someone may ask: why this awkward prefix in the formula Θ_{CL}?
Note the difference between phrases $\forall_n$ and $\forall_{n \in N}$.

4.4   A counterexample

We argue, that the formulation of the Collatz problem requires more precision. For there are several algebraic structures that can be viewed as structure of natural numbers of addition. Some of them admit infinite computations of Collatz algorithm.
We recall less known fact: arithmetic (i.e. first-order theory of natural numbers) has standard (*Archimedean*) model $\mathfrak{N}$ as well as another *non-Archimedean*

model $\mathfrak{M}^3$. The latter structure allows for the existence of infinitely great elements.

Goedel's incompleteness theorem shows that there is no elementary theory T of natural numbers, such that every model is isomorphic to the standard model. Two things are missing from the commonly accepted texts: 1) What do we mean by proof? 2) what properties of natural numbers can be used in the proof? We recall an algebraic structure $\mathfrak{M}$ that models [Grz71] all axioms of elementary theory of addition of natural numbers, yet it admits unreachable elements [Tar34]. It means that the model contains element ε, such that the computation of Collatz algorithm that starts with ε is infinite.

Example of a finite execution

$$\langle 13,0 \rangle \overset{\times 3+1}{\to} \langle 40,0 \rangle \overset{\div 2}{\to} \langle 20,0 \rangle \overset{\div 2}{\to} \langle 10,0 \rangle \overset{\div 2}{\to}, \langle 5,0 \rangle \overset{\times 3+1}{\to} \langle 16,0 \rangle \overset{\div 2}{\to} \langle 8,0 \rangle \overset{\div 2}{\to} \langle 4,0 \rangle \overset{\div 2}{\to} \langle 2,0 \rangle \overset{\div 2}{\to} \langle 1,0 \rangle$$

Example of an infinite execution

$$\langle 8,\tfrac{1}{2} \rangle \overset{\div 2}{\to} \langle 4,\tfrac{1}{4} \rangle \overset{\div 2}{\to} \langle 2,\tfrac{1}{8} \rangle \overset{\div 2}{\to} \langle 1,\tfrac{1}{16} \rangle \overset{\times 3+1}{\to} \langle 4,\tfrac{3}{16} \rangle \overset{\div 2}{\to} \langle 2,\tfrac{3}{32} \rangle \overset{\div 2}{\to} \langle 1,\tfrac{3}{64} \rangle, \overset{\times 3+1}{\to} \langle 4,\tfrac{9}{64} \rangle \overset{\div 2}{\to} \langle 2,\tfrac{9}{128} \rangle \overset{\div 2}{\to} \cdots$$

As you can guess, the data structure contains pairs $\langle k,w \rangle$ where k is an integer and w is a non-negative, rational number. The addition operation is defined componentwise. An element $\langle k,w \rangle$ is *even* if k is even, otherwise is *odd.*. A pair $\langle k,w \rangle$ divided by 2 returns $\langle k \div 2, w \div 2 \rangle$.

The reader may prefer to think of complex numbers instead of pairs, e.g. $(2 + \tfrac{9}{128}i)$ may replace the pair $\langle 2,\tfrac{9}{128} \rangle$.

The following observation seems to be of importance:.

Remark 23 *There exists an infinite computation* **c** *of Collatz algorithm in the structure* $\mathfrak{M}$ *, such that the computation* **c** *does not contain a cycle, and the sequence of pairs is not diverging into still growing pairs. The latter means, that there exist two numbers $l_1 \in \mathcal{Z}$ and $l_2 \in \mathcal{Q}$, such that for every step $\langle k,v \rangle$ of computation* **c***, the inequalities hold $k < l_1 \wedge v < l_2$.*

More details can be found in subsection 6.1.

5   Final remarks

We know that our presentation is clumsy (we are of age, english is not our native language).

Our message goes beyond the proof of one theorem. On this example we exemplify the potential of calculus of programs as a tool for

- specification of semantical properties of software and

- verification of software against some specifications.

---

[3]A. Tarski [Tar34] confirms that S. Jaśkowski observed (in 1929) that the subset of complex numbers $M \overset{df}{=} \left\{ a + bi \in \mathbb{C} \colon \left(a \in \mathbb{Z} \wedge b \in \mathbb{Q} \wedge (b \geq 0 \wedge (b = 0 \implies a \geq 0)) \right) \right\}$ satisfies all axioms of Presburger arithmetic.

We hope the reader will forgive us for a moment of insistence (is it an agitpropaganda?) .

Calculus of programs $\mathcal{AL}$ is a handy tool. For there are some good reasons to use the calculus of programs

(i) The language of calculus $\mathcal{AL}$ contains algorithms (programs) and *algorithmic formulas* besides terms and first-order formulas.

(ii) Any semantical property of an algorithm can be *expressed* by an appropriate algorithmic formula. Be it termination, correctness or other properties.

(iii) Algorithmic formulas enable to create complete, categorical *specifications* of data structures in the form of algorithmic theories.

(iv) Calculus of programs $\mathcal{AL}$ offers a *complete* set of tools for proving theorems of algorithmic theories.

In spite of appearance of ω-rules one can verify proofs in an automatic way.

5.1   Historical remarks

Pàl Erdős said on Collatz conjecture: *"Mathematics may not be ready for such problems."*

We disagree. In our opinion a consortium of Alfred Tarski, Kurt Goedel and Stephen C. Kleene was able to solve the Collatz conjecture in 1937. ()Tarski was advisor of M. Presburger and S. Jaśkowski.)

- Mojżesz Presburger has proved the completeness and decidability of arithmetic of addition of natural numbers in 1929.

- In the same year Stanisław Jaśkowski found a non-standard model of Presburger theory (see a note of A. Tarski of 1934).

- Kurt Gödel (1931) published his theorem on incompleteness of Peano's theory. His result is of logic, not an arithmetic fact.

- Thoralf Skolem (in 1934) wrote a paper on the non-characterization of the series of numbers by means of a finite or countably infinite number of statements with exclusively individual variables [Sko34]

- Stephen C. Kleene has shown (in 1936) that any recurrence that defines a computable function can be replaced by the operation of effective minimum (nowadays one can say every recursive function in the integers, is programmable by means of **while** instruction).

- Summing up, it seems that P. Erdős overlooked the computability theory, his colleagues - professors Rozsa Peter and Laszlo Kalmar (specialists in the theory of recursive functions) were able to point it out to him.

Andrzej Mostowski had a hope that many arithmetic theorems independent of the Peano axioms should be found. Collatz theorem is an example. The theorem on termination of Euclid's algorithm is another example of a theorem which is valid and unprovable in Peano theory. The law of Archimedes is yet another example. Note, both theorems need to be stated as algorithmic formulas, there is no first-order formula that expresess the termination property of Euclid's algorithm or law of Archimedes.

Acknowlegments

6   Suplements

For the reader's convenience, in this section we have included some definitions, some useful theorems, and samples of proofs in algorithmic natural number theory.

6.1   A structure with counterexamples

where Collatz computations may be of infinite length

Here we present some facts that are less known to the IT community.
These facts may seem strange. The reader may doubt the importance of those facts. Yet, it is worth considering, non-standard data structures do exist, and this fact has ramifications. Strange as they seem, still it is worthwhile to be aware of their existence.
Now, we will expose the algebraic structure $\mathfrak{M}$, which is a model of the theory Ar, i.e. all axioms of theory Ar are true in the structure $\mathfrak{M}$. First we will describe this structure as mathematicians do, then we will write a class (i.e. a program module) implementing this structure.

Mathematical description of the structure

$\mathfrak{M}$ is an algebraic structure

$$\mathfrak{M} = \langle M; \underline{\mathbf{0}}, \underline{\mathbf{1}}, \oplus; = \rangle \qquad \text{(NonStandard)}$$

such that M is a set of complex numbers $k + \imath w$, i.e. of pairs $\langle k, w \rangle$, where element $k \in \mathbb{Z}$ is an integer, and element $w \in \mathbb{Q}^+$ is a rational, non-negative number $w \geq 0$ and the following requirements are satisfied:

(i) for each element $k + \imath w$ if $w = 0$ then $k \geq 0$,

(ii) $\underline{\mathbf{0}} \overset{df}{=}$ $\boxed{\langle 0 + \imath 0 \rangle}$,

(iii) $\underline{\mathbf{1}} \overset{df}{=}$ $\boxed{\langle 1. + \imath 0 \rangle}$,

(iv) the operation $\oplus$ of addition is determined as usual

$$(k + \imath w) \oplus (k' + \imath w') \overset{df}{=} (k + k') + \imath(w + w').$$

(v) the predicate $=$ denotes as usual identity relation.

Lemma 24 *The algebraic structure $\mathfrak{M}$ is a model of first-order arithmetic of addition of natural numbers $\mathcal{T}$, cf. next subsection 6.2*

The reader may check that every axiom of the $\mathcal{T}$ theory (see definition32, p.27), is a sentence true in the structure $\mathfrak{M}$.

The substructure $\mathfrak{N} \subset \mathfrak{M}$ composed of only those elements for which $w = 0$ is also a model of the theory $\mathcal{T}$.

It is easy to remark that elements of the form $\langle k, 0 \rangle$ may be identified with natural numbers k, $k \in N$. Have a look at table 1

The elements of the structure $\mathfrak{N}$ are called *reachable*, for they enjoy the following algorithmic property

$$\forall_{n \in N} \{y := \mathbf{0}; \mathbf{while}\ y \neq n\ \mathbf{do}\ y := y + \mathbf{1}\ \mathbf{od}\}(y = n)$$

The structure $\mathfrak{M}$ is not a model of the $\mathcal{ATN}$, algorithmic theory of natural numbers, cf . subsection 6.4. Elements of the structure $\langle k, w \rangle$. such as $w \neq \mathbf{0}$ are *unreachable*. i.e. for each element $x_0 = \langle k, w \rangle$ such that $w \neq 0$ the following condition holds

$$\neg\{y := \mathbf{0}; \mathbf{while}\ y \neq x_0\ \mathbf{do}\ y := y + \mathbf{1}\ \mathbf{od}\}(y = x_0)$$

The subset $\mathfrak{N} \subset \mathfrak{M}$ composed of only those elements for which $w = 0$ is a model of the theory $\mathcal{ATN}$ c.f. subsection 6.4. The elements of the structure $\mathfrak{N}$ are called *reachable*. A very important theorem of the foundations of mathematics is

Lemma 25 *The structures $\mathfrak{N}$ and $\mathfrak{M}$ are not isomorphic.*

For the proof see [Grz71], p. 256. As we will see in a moment, this fact is also important for IT specialists.

An attempt to visualize structure $\mathfrak{M}$ is presented in the form of table 1. The universe of the structure $\mathfrak{M}$ decomposes onto two disjoint subsets (one green and one red). Every element of the form $\langle k, 0 \rangle$ (in this case $k > 0$) represents the natural number k. Such elements are called *reachable* ones. Note,

Definition 26 *An element n is a standard natural number (i.e. is reachable)
iff the program of adding ones to initial zero terminates*

$$n \in N \stackrel{df}{\Leftrightarrow} \{q := \boldsymbol{0}; \textbf{ while } q \neq n \textbf{ do } q := q + \boldsymbol{1} \textbf{ od}\}(q = n)$$

or, equivalently

$$n \in N \stackrel{df}{\Leftrightarrow} \{q := \boldsymbol{0}\} \bigcup \{\textbf{if } n \neq q \textbf{ then } q := q + \boldsymbol{1} \textbf{ fi}\}(q = n)$$

Table 1: Model $\mathfrak{M}$ of Presburger arithmetic consists of complex numbers $a + \imath b$ where $b \in Q^+$ and $a \in Z$, additional condition: $b = 0 \Rightarrow a \geq 0$. Definition of order $n > m \stackrel{df}{\equiv} \exists_{u \neq 0} m + u = n$. Invention of S. Jaśkowski (1929).

STANDARD (*reachable*) elements				*Unreachable* (INFINITE) elements				
						$\cdots$		
	$-\infty\cdots$	$-11 + \imath 2$	$-10 + \imath 2$	$\cdots$	$0 + \imath 2$	$1 + \imath 2$	$2 + \imath 2$	$\cdots \infty$
						$\cdots$		
	$-\infty\cdots$	$-11 + \imath\frac{53}{47}$	$-10 + \imath\frac{53}{47}$	$\cdots$	$0 + \imath\frac{53}{47}$	$1 + \imath\frac{53}{47}$	$2 + \imath\frac{53}{47}$	$\cdots \infty$
						$\cdots$		
	$-\infty\cdots$	$-11 + \imath\frac{28}{49}$	$-10 + \imath\frac{28}{49}$	$\cdots$	$0 + \imath\frac{28}{49}$	$1 + \imath\frac{28}{49}$	$2 + \imath\frac{28}{49}$	$\cdots \infty$
						$\cdots$		
	$-\infty\cdots$	$-11 + \imath\frac{3}{47}$	$-10 + \imath\frac{3}{47}$	$\cdots$	$0 + \imath\frac{3}{47}$	$1 + \imath\frac{3}{47}$	$2 + \imath\frac{3}{47}$	$\cdots \infty$
						$\cdots$		
0 1 2 $\cdots$ 101 $\cdots$ ∞								

Note that the subset that consists of all non-reachable elements is well separated from the subset of reachable elements. Namely, every reachable natural number is less that any unreachable one. Moreover, there is no least element in the set of unreachable elements. I.e. the principle of minimum does not hold in the structure $\mathfrak{M}$.

Moreover, for every element n its computation contains either only standard, reachable numbers or is composed of only unreachable elements. This remark will be of use in our proof.

Remark 27 *For every element n the whole Collatz computation is either in green or in reed quadrant of the table 1.*

Elements of the structure $\mathfrak{M}$ are ordered as usual

$$\forall_{x,y} \ x < y \stackrel{df}{\equiv} \exists_{z \neq \boldsymbol{0}} \ x + z = y.$$

Therefore, each reachable element is smaller than every unreachable element. The order defined in this way is the lexical order. (Given two elements p and q, the element lying higher is bigger, if both are of the same height then the element lying on the right is bigger.)
The order type is $\omega + (\omega^* + \omega) \cdot \eta$

Remark 28 *The subset of unreachable elements (red ones on the table 1) does not obey the principle of minimum.*

Definition in programming language

Perhaps you have already noticed that the $\mathfrak{M}$ is a computable structure. The following is a class that implements the structure $\mathfrak{M}$. The implementation uses the integer type, we do not introduce rational numbers explicitly.

```
unit StrukturaM: class;
   unit Elm: class(k,li,mia: integer);
   begin
      if mia=0 then raise Error fi;
      if li * mia <0 then raise Error fi;
      if li=0 and k<0 then raise Error fi;
   end Elm;

   add: function(x,y:Elm): Elm;
   begin
      result := new Elm(x.k+y.k, x.li*y.mia+x.mia*y.li, x.mia*y.mia )
   end add;

   unit one : function:Elm;  begin    result:= new Elm(1,0,2)  end one;

   unit zero : function:Elm;  begin    result:= new Elm(0,0,2)  end zero;

   unit eq: function(x,y:Elm): Boolean;
   begin
      result := (x.k=y.k) and (x.li*y.mia=x.mia*y.li )
   end eq;
end StrukturaM
```

The following lemma expresses the correctness of the implementation with respect to the axioms of Presburger arithmetic $\mathcal{AP}$ (c.f. subsection 6.2) treated as a specification of a class (i.e. a module of program).

Lemma 29 *The structure $\mathfrak{E} = \langle E, add, zero, one, eq \rangle$ composed of the set $E = \{o\ object : o\ inElm\}$ of objects of class Elm with the add operation is a model of the $\mathcal{AP}$ theory,*

$$\mathfrak{E} \models \mathcal{AP}$$

Infinite Collatz algorithm computation

How to execute the Collatz algorithm in StructuraM? It's easy.

```
pref StrukturaM block
   var n: Elm;
   unit odd: function(x:Elm): Boolean; ... result:=(x.k mod 2)=1 ... end odd;
   unit div2: function(x:Elm): Elm; ...
   unit 3xp1: function(n: Elm): Elm; ... result:=add(n,add(n,add(n,one))); ... end 3xp1;
begin
   n:= new Elm(8,1,2);
        ┌──────────────────────────────────┐
        │  while  not eq(n,one)  do          │
        │    if  odd(n) then                 │
   Cl:  │       n:=3xp1(n)  else n:= div2(n) │   (* a version of algorithm Cl that uses class Elm *)
        │    fi                              │
        │  od                                │
        └──────────────────────────────────┘
end block;
```

Below we present the computation of Collatz algorithm for $n = \langle 8, \frac{1}{2} \rangle$.

$$\langle 8, \tfrac{1}{2} \rangle, \langle 4, \tfrac{1}{4} \rangle, \langle 2, \tfrac{1}{8} \rangle, \langle 1, \tfrac{1}{16} \rangle, \langle 4, \tfrac{3}{16} \rangle, \langle 2, \tfrac{3}{32} \rangle, \langle 1, \tfrac{3}{64} \rangle, \langle 4, \tfrac{9}{64} \rangle, \langle 2, \tfrac{9}{128} \rangle, \cdots$$

Note, the computation of algorithm Gr for the same argument, looks simpler

$$\langle 8, \tfrac{1}{2} \rangle, \langle 4, \tfrac{1}{4} \rangle, \langle 2, \tfrac{1}{8} \rangle, \langle 1, \tfrac{1}{16} \rangle, \langle 1, \tfrac{3}{64} \rangle, \langle 1, \tfrac{9}{256} \rangle, \cdots$$

None of the elements of the above sequence is a standard natural number. Each of them is unreachable. It is worth looking at an example of another calculation. Will something change when we assign n a different object? e.g. n: = new Elm (19,2,10)?

$\langle 19, \frac{10}{2} \rangle, \langle 58, \frac{30}{2} \rangle, \langle 29, \frac{30}{4} \rangle, \langle 88, \frac{90}{4} \rangle, \langle 44, \frac{90}{4} \rangle, \langle 22, \frac{90}{8} \rangle, \langle 11, \frac{90}{16} \rangle, \langle 34, \frac{270}{32} \rangle, \langle 17, \frac{270}{64} \rangle,$
$\langle 52, \frac{810}{64} \rangle, \langle 26, \frac{405}{64} \rangle, \langle 13, \frac{405}{128} \rangle, \langle 40, \frac{1215}{128} \rangle, \langle 20, \frac{1215}{256} \rangle, \langle 10, \frac{1215}{256} \rangle, \langle 5, \frac{1215}{512} \rangle, \langle 16, \frac{3645}{512} \rangle, \langle 8, \frac{3645}{1024} \rangle,$
$\langle 4, \frac{3645}{2048} \rangle, \langle 2, \frac{3645}{4096} \rangle, \langle 1, \frac{3645}{8192} \rangle, \langle 4, \frac{3*3645}{8192} \rangle, \langle 2, \frac{3645*3}{2*8192} \rangle, \langle 1, \frac{3*3645}{4*8192} \rangle, \langle 4, \frac{9*3645}{4*8192} \rangle, \cdots$

And one more computation.

$\langle 19, 0 \rangle, \langle 58, 0 \rangle, \langle 29, 0 \rangle, \langle 88, 0 \rangle, \langle 44, 0 \rangle, \langle 22, 0 \rangle, \langle 11, 0 \rangle, \langle 34, 0 \rangle, \langle 17, 0 \rangle, \langle 52, 0 \rangle, \langle 26, 0 \rangle,$
$\langle 13, 0 \rangle, \langle 40, 0 \rangle, \langle 20, 0 \rangle, \langle 10, 0 \rangle, \langle 5, 0 \rangle, \langle 16, 0 \rangle, \langle 8, 0 \rangle, \ \langle 4, 0 \rangle, \langle 2, 0 \rangle, \langle 1, 0 \rangle.$

Corollary 30 *The structure $\mathfrak{M}$, which we have described in two different ways, is the model of the $\mathcal{AP}$ theory with the <u>non-obvious</u> presence of unreachable elements in it.*

Corollary 31 *The halting property of the Collatz algorithm cannot be proved from the axioms of the $\mathcal{T}$ theory, nor from the axioms of $\mathcal{AP}$ theory.*

6.2   Presburger arithmetic

Presburger arithmetic is another name of elementary theory of natural numbers with addition.
We shall consider the following theory , cf. [Pre29],[Grz71] p. 239 and following ones.

Definition 32 *Theory $\mathcal{T} = \langle \mathcal{L}, \mathcal{C}, Ax \rangle$ is the system of three elements:*

* $\mathcal{L}$ *is a language of first-order. The alphabet of this language consist of: the set V of variables, symbols of operations: $0, S, +$, symbol of equality relation $=$, symbols of logical functors and quantifiers, auxiliary symbols as brackets ...*
    The set of well formed expressions is the union of te set T of terms and the set of formulas F.
    The set T is the least set of expressions that contains the set V and constants 0 and 1 and closed with respect to the rules: if two expressions τ_1 and τ_2 are terms, then the expression $(\tau_1 + \tau_2)$ is a term too.
    The set F of formulas is the least set of expressions that contains the equalities (i.e. the expressions of the form $(\tau_1 = \tau_2)$) and closed with respect to the following formation rules: if expressions α and β are formulas, then the aexpression of the form

$$(\alpha \vee \beta), \ (\alpha \wedge \beta), \ (\alpha \implies \beta), \ \neg \alpha$$

    are also formulas, moreover, the expressions of the form

$$\forall_x \alpha, \ \exists_x \alpha$$

    where x is a variable and α is a formula, are formulas too.

$\mathcal{C}$ is the operation of consquence determined by axioms of first-order logic
and the inference rules of the logic,

Ax is the set of formulas listed below.

$$\forall_x \ x + 1 \neq 0 \tag{a}$$
$$\forall_x \forall_y \ x + 1 = y + 1 \implies x = y \tag{b}$$
$$\forall_x \ x + 0 = x \tag{c}$$
$$\forall_{x,y} \ (y + 1) + x = (y + x) + 1 \tag{d}$$
$$\Phi(0) \wedge \forall_x \left[\Phi(x) \implies \Phi(x + 1) \right] \implies \forall_x \Phi(x) \tag{I}$$

The expression $\Phi(x)$ may be replaced by any formula. The result is an axiom of
theory This is the induction scheme.
We augment the set of axioms adding four axioms that define a coiple of useful
notions.

$$even(x) \overset{df}{\equiv} \exists_y \ x = y + y \tag{e}$$

$$odd(x) \overset{df}{\equiv} \exists_y \ x = y + y + 1 \tag{o}$$
$$x \, div \, 2 = y \equiv (x = y + y \ \vee \ x = y + y + 1) \tag{D2}$$

$$3x \overset{df}{=} x + x + x \tag{3x}$$

The theory $\mathcal{T}'$ obtained in this way is a conservative extension of theory $\mathcal{T}$.

Below we present another theory $\mathcal{AP}$ c.f. [Pre29], we shall use two facts: 1)
theory $\mathcal{AP}$ is complete and hence is decidable, 2) both theories are elementarily
equivalent.

Definition 33 *Theory $\mathcal{AP} = \langle \mathcal{L}, \mathcal{C}, AxP \rangle$ is a system of three elements :*

$\mathcal{L}$ *is a language of first-order. The alphabet of this language contains the set
V of variables, symbols of functors : $0, +$, symbol of equality predicate $=$.
The set of well formed-expressions is the union of set of terms T and set of
formulas F. The set of terms T is the least set of expressions that contains
the set of variables V and the expression 0 and closed with respect to the
following two rules: 1) if two expressions τ_1 and τ_2 are terms, then the
expression $(\tau_1 + \tau_2)$ is also a term, 2) if the expression τ is a term, then
the expression $S(\tau)$ is also a term.*

$\mathcal{C}$ *is the consequence operation determined by the axioms of predicate calculus
and inference rules of first-order logic*

AxP *The set of axioms of the $\mathcal{AP}$ theory is listed below.*

$$\forall_x \ x + 1 \neq 0 \tag{A}$$

$$\forall_x \ x \neq 0 \implies \exists_y x = y + 1 \tag{B}$$

$$\forall_{x,y} \ x + y = y + x \tag{C}$$

$$\forall_{x,y,z} \ x + (y + z) = (x + y) + z \tag{D}$$

$$\forall_{x,y,z} \ x + z = y + z \implies x = y \tag{E}$$

$$\forall_x \ x + 0 = x \tag{F}$$

$$\forall_{x,z} \ \exists_y \ (x = y + z \lor z = y + x) \tag{G}$$

$$\forall_x \exists_y \ (x = y + y \lor x = y + y + 1) \tag{H2}$$

$$\forall_x \exists_y \ (x = y + y + y \lor x = y + y + y + 1 \lor x = y + y + y + 1 + 1) \tag{H3}$$

$. \ . \ . \ . \ . \ . \ . \ . \ .$

$$\forall_x \exists_y \left(\begin{array}{l} x = \underbrace{y + y + \cdots + y}_{k} \lor \\[4pt] \quad x = \underbrace{y + y + \cdots + y}_{k} + 1 \lor \\[4pt] \qquad x = \underbrace{y + y + \cdots + y}_{k} + \underbrace{1 + 1}_{2} \lor \\[4pt] \quad \cdots \\[4pt] \qquad\quad x = \underbrace{y + y + \cdots + y}_{k} + \underbrace{1 + 1 + \cdots + 1}_{k-2} \lor \\[4pt] \qquad x = \underbrace{y + y + \cdots + y}_{k} + \underbrace{1 + 1 + \cdots + 1}_{k-1} \end{array}\right) \tag{Hk}$$

$\cdots$

The axioms H2 -Hk ... may be given a shorter form. Let us introduce numerals, ie. the constants representing term of the form

$$\underline{\textbf{2}} \stackrel{df}{=} 1 + 1$$

$$\underline{\textbf{3}} \stackrel{df}{=} 1 + 1 + 1$$

$\cdots$

$$\underline{\textbf{k}} \stackrel{df}{=} \underbrace{1 + 1 + \ldots 1}_{k \quad times}$$

$\cdots$

Now, the axioms take form

$$\forall_x \; x \bmod \underline{\boldsymbol{2}} = \underline{\boldsymbol{0}} \vee x \bmod \underline{\boldsymbol{2}} = \underline{\boldsymbol{1}} \tag{H2'}$$

$$\forall_x \; x \bmod \underline{\boldsymbol{3}} = \underline{\boldsymbol{0}} \vee x \bmod \underline{\boldsymbol{3}} = \underline{\boldsymbol{1}} \vee x \bmod \underline{\boldsymbol{3}} = \underline{\boldsymbol{2}} \tag{H3'}$$

$$\cdots$$

$$\forall_x \bigvee_{j=0}^{k-1} x \bmod \underline{\boldsymbol{k}} = \underline{\boldsymbol{j}} \tag{Hk'}$$

Let us recall a couple of useful theorems

F1. Theory $\mathcal{T}$ is elementarily equivalent to the theory $\mathcal{AP}$.[Pre29] [Sta84]

F2. Theory $\mathcal{AP}$ is decidable. [Pre29].

F3. The computational complexity of theory $\mathcal{AP}$, is double exponential $O(2^{2^n})$ this result belongs to Fisher and Rabin, see [MF74].

F4. Theories $\mathcal{T}$ and $\mathcal{AP}$ have non-standard model, see section 6.1, p. 23.

Now, we shall prove a couple of useful theorems of theory $\mathcal{T}$.

First, we shall show that the sentence $\forall_n \exists_{x,y,z} \; n \cdot 3^x + y = 2^z$ is a theorem of the theory $\mathcal{T}$ of addition. Operations of multiplication and power are inaccessible in the theory $\mathcal{T}$. However, we do not need them.

We enrich the theory $\mathcal{T}$ adding two functions $P2(\cdot)$ and $P3(\cdot\cdot)$. defined in this way

Definition 34 *Two functions are defined $P2$ (of oneargument) and $P3$ (of two-arguments).*

$$P2(0) \overset{df}{=} 1 \qquad \qquad P3(y,0) \overset{df}{=} y$$
$$P2(x+1) \overset{df}{=} P2(x) + P2(x) \quad \Big| \quad P3(y,x+1) \overset{df}{=} P3(y,x) + P3(y,x) + P3(y,x)$$

Lemma 35 *The definitions given above are correct, i.e. the following sentences aretheorems of the theory with two definitions*

$$\mathcal{T} \vdash \forall_x \exists_y \; P2(x) = y \quad and$$

$$\mathcal{T} \vdash \forall_{x,y,z} P2(x) = y \wedge P2(x) = z \implies y = z.$$

Similarly, the sentences $\forall_{y,x} \exists_z \; P3(y,x) = z$ and $\forall_{y,x,z,u} P3(y,x) = z \wedge P3(y,x) = u \implies z = u$ are theorems of theory $\mathcal{T}$.

An easy proof goes by induction with respect to the value of variable x.

In the proof of the lemma 36 , below, we shall use the definition of the order relation

$$a < b \overset{df}{=} \exists_{c \neq 0} \; a + c = b.$$

Making use of the definition of function $P2$ and $P3$ we shall write the formula $P3(n,x) + y = P2(z)$ as it exppresses the same content as expression $n \cdot 3^x + y = 2^z$.

Lemma 36 *The following sentence is a theorem of the theory $\mathcal{T}$ enriched by the definitions of P2 and P3 functions.*

$$\forall_n \exists_{x,y,z} P3(n,x) + y = P2(z)$$

Proof. We begin proving by induction that $\mathcal{T} \vdash \forall_n n < 2^n$. It is easy to see that $\mathcal{T} \vdash 0 < P2(0)$. We shall prove that $\mathcal{T} \vdash \forall_n (n < P2(n) \implies (n+1 < P2(n+1))$. Inequality $n + 1 < P2(n + 1)$ follows from the two following inequalities $\mathcal{T} \vdash n < P2(n)$ and $\mathcal{T} \vdash 1 < P2(n)$. Hence the formula $n + 1 < P2(n) + P2(n))$ is a theorem of theory $\mathcal{T}$. By definition $P2(n) + P2(n) = P2(n + 1)$.

In the similar manner, we can prove the formula $\mathcal{T} \vdash \forall_n \forall_x P3(n,x) < P2(n + x + x)$

As a consequence we have $\mathcal{T} \vdash \forall_n \exists_{x,y,z} P3(n,x) + y = P2(z)$. ∎

Lemma 37 *Let $\mathfrak{M}$ be any model of Presburger arithmetic. If there exists a triple $\langle x, y, z \rangle$ of reachable elements such that it satisfies the equation $P3(n,x) + y = P2(z)$ i.e. $n \cdot 3^x + y = 2^z$ then the element n is reachable.*

Proof. If the following formulas are valid in the structure $\mathfrak{M}$
$\{q := 0;\ \textbf{while}\ q \neq x\ \textbf{do}\ q := q + 1\ \textbf{od}\}(x = q)$,
$\{q := 0;\ \textbf{while}\ q \neq y\ \textbf{do}\ q := q + 1\ \textbf{od}\}(y = q)$,
$\{q := 0;\ \textbf{while}\ q \neq z\ \textbf{do}\ q := q + 1\ \textbf{od}\}(z = q)$
and the following equation is valid too $P3(n,x) + y = P2(z)$ then it is easy to verify that the formula $\{t := 0;\ \textbf{while}\ n \neq t\ \textbf{do}\ t := t + 1\ \textbf{od}\}(t = n)$ is valid too.

Nr	Reason
1	a1=P2(z) is reachable
2	y+a2 =a1, a2 is reachable and a2=2^z-y
3	a3=P3(1,x) is reachable , a3=3^x
4	$\left\{\begin{array}{l} q := 1; a5 := a3; \\ \textbf{while}\ a5 \neq a2\ \textbf{do} \\ \quad q := q + 1; \\ \quad a5 := a5 + a3 \\ \textbf{od} \end{array}\right\}$ $(q * a3 = a2)$ hence q=n

∎

6.3 An introduction to calculus of programs $\mathcal{AL}$

For the convenience of the reader we cite the axioms and inference rules of calculus of programs i.e. algorithmic logic $\mathcal{AL}$.
Note. *Every axiom of algorihmic logic is a tautology.*
Every inference rule of $\mathcal{AL}$ is sound. [MS87]

Axioms

axioms of propositional calculus

Ax_1 $((\alpha \Rightarrow \beta) \Rightarrow ((\beta \Rightarrow \delta) \Rightarrow (\alpha \Rightarrow \delta)))$

Ax_2 $(\alpha \Rightarrow (\alpha \vee \beta))$

Ax_3 $(\beta \Rightarrow (\alpha \vee \beta))$

Ax_4 $((\alpha \Rightarrow \delta) \Rightarrow ((\beta \Rightarrow \delta) \Rightarrow ((\alpha \vee \beta) \Rightarrow \delta)))$

Ax_5 $((\alpha \wedge \beta) \Rightarrow \alpha)$

Ax_6 $((\alpha \wedge \beta) \Rightarrow \beta)$

Ax_7 $((\delta \Rightarrow \alpha) \Rightarrow ((\delta \Rightarrow \beta) \Rightarrow (\delta \Rightarrow (\alpha \wedge \beta))))$

Ax_8 $((\alpha \Rightarrow (\beta \Rightarrow \delta)) \Leftrightarrow ((\alpha \wedge \beta) \Rightarrow \delta))$

Ax_9 $((\alpha \wedge \neg\alpha) \Rightarrow \beta)$

Ax_{10} $((\alpha \Rightarrow (\alpha \wedge \neg\alpha)) \Rightarrow \neg\alpha)$

Ax_{11} $(\alpha \vee \neg\alpha)$

 axioms of predicate calculus

Ax_{12} $((\forall x)\alpha(x) \Rightarrow \alpha(x/\tau)))$
            where term τ is of the same type as the variable x

Ax_{13} $(\forall x)\alpha(x) \Leftrightarrow \neg(\exists x)\neg\alpha(x)$

 axioms of calculus of programs

Ax_{14} $K((\exists x)\alpha(x)) \Leftrightarrow (\exists y)(K\alpha(x/y))$ for $y \notin V(K)$

Ax_{15} $K(\alpha \vee \beta) \Leftrightarrow ((K\alpha) \vee (K\beta))$

Ax_{16} $K(\alpha \wedge \beta) \Leftrightarrow ((K\alpha) \wedge (K\beta))$

Ax_{17} $K(\neg\alpha) \Rightarrow \neg(K\alpha)$

Ax_{18} $((x := \tau)\gamma \Leftrightarrow (\gamma(x/\tau) \wedge (x := \tau)true))\ \wedge ((q := \gamma\prime)\gamma \Leftrightarrow \gamma(q/\gamma\prime))$

Ax_{19} **begin** $K; M$ **end** $\alpha \Leftrightarrow K(M\alpha)$

Ax_{20} **if** γ **then** K **else** M **fi** $\alpha \Leftrightarrow ((\neg\gamma \wedge M\alpha) \vee (\gamma \wedge K\alpha))$

Ax_{21} **while** γ **do** K **od** $\alpha \Leftrightarrow ((\neg\gamma \wedge \alpha) \vee (\gamma \wedge K(\textbf{while } \gamma \textbf{ do } K \textbf{ od}(\neg\gamma \wedge \alpha))))$

Ax_{22} $\bigcap K\alpha \Leftrightarrow (\alpha \wedge (K\bigcap K\alpha))$

Ax_{23} $\bigcup K\alpha \equiv (\alpha \vee (K\bigcup K\alpha))$

Inference rules

 propositional calculus

R_1 $\dfrac{\alpha, (\alpha \Rightarrow \beta)}{\beta}$ (also known as modus ponens)

 predicate calculus

R_6 $\dfrac{(\alpha(x) \Rightarrow \beta)}{((\exists x)\alpha(x) \Rightarrow \beta)}$

R_7 $\dfrac{(\beta \Rightarrow \alpha(x))}{(\beta \Rightarrow (\forall x)\alpha(x))}$

 calculus of programs AL

R_2 $\dfrac{(\alpha \Rightarrow \beta)}{(K\alpha \Rightarrow K\beta)}$

R_3 $\dfrac{\{s(\textbf{if } \gamma \textbf{ then } K \textbf{ fi})^i(\neg\gamma \wedge \alpha) \Rightarrow \beta\}_{i \in N}}{(s(\textbf{while } \gamma \textbf{ do } K \textbf{ od } \alpha) \Rightarrow \beta)}$

R_4 $\dfrac{\{(K^i\alpha \Rightarrow \beta)\}_{i \in N}}{(\bigcup K\alpha \Rightarrow \beta)}$

$$R_5 \qquad \frac{\{(\alpha \Rightarrow K^i \beta)\}_{i \in N}}{(\alpha \Rightarrow \bigcap K\beta)}$$

In rules R_6 and R_7, it is assumed that x is a variable which is not free in β, i.e. $x \notin FV(\beta)$. The rules are known as the rule for introducing an existential quantifier into the antecedent of an implication and the rule for introducing a universal quantifier into the successor of an implication. The rules R_4 and R_5 are algorithmic counterparts of rules R_6 and R_7. They are of a different character, however, since their sets of premises are infinite. The rule R_3 for introducing a **while** into the antecedent of an implication of a similar nature. These three rules are called ω-rules. The rule R_1 is known as *modus ponens*, or the *cut*-rule. In all the above schemes of axioms and inference rules, α, β, δ are arbitrary formulas, γ and γ' are arbitrary open formulas, τ is an arbitrary term, s is a finite sequence of assignment instructions, and K and M are arbitrary programs.

Theorem 38 (*theorem on completeness of the calculus* $\mathcal{AL}$) *Let $\mathcal{T} = \langle \mathcal{L}, \mathcal{C}, \mathcal{Ax} \rangle$ be a consistent algorithmic theory, let $\alpha \in \mathcal{L}$ be a formula. The following conditions are equivalent*

(i) *Formula α is a theorem of the theory T, $\alpha \in \mathcal{C}(\mathcal{Ax})$,*

(ii) *Formula α is valid in every model of the theory T, $\mathcal{Ax} \models \alpha$.*

The proof may be found in [MS87].

6.4 An introduction to algorithmic theory of natural numbers $\mathcal{ATN}$

The language of algorithmic theory of natural numbers $\mathcal{ATN}$ is very simple. Its alphabet contains one constant 0 *zero* , one one-argument functor s and predicate $=$ of equality. We shall write $x + 1$ instead of $s(x)$. Axioms of $\mathcal{ATN}$ were presented in the book [MS87]

$$
\begin{array}{lll}
A_1) & \forall x \{q := 0;\ \textbf{while}\ q \neq x\ \textbf{do}\ q := s(q)\ \textbf{od}\}(q = x) & (R) \\
A_2) & \forall x\ s(x) \neq 0 & (N) \\
A_3) & \forall x \forall y\ s(x) = s(y) \implies x = y & (J)
\end{array}
$$

We can add another two-argument functor $+$ and its definition

$$
A_4) \quad \forall x\, \forall y
\left\{
\begin{array}{l}
q := 0; w := x; \\
\textbf{while}\ q \neq y\ \textbf{do} \\
\quad q := s(q)\ ; w := s(w) \\
textbf{od}
\end{array}
\right\}
(x + y = w) \qquad (D)
$$

The termination property of the program in A_4 is a theorem of $\mathcal{ATN}$ theory as well as the formulas $x + 0 = x$ and $x + s(y) = s(x + y)$.

A sample $(8-12)$ of Theorems of $\mathcal{ATN}$

$$\mathcal{ATN} \vdash \exists_x \, \alpha(x) \Leftrightarrow \{x := 0\} \bigcup \{x := x+1\} \alpha(x) \tag{8}$$

$$\mathcal{ATN} \vdash \forall_x \, \alpha(x) \Leftrightarrow \{x := 0\} \bigcap \{x := x+1\} \alpha(x) \tag{9}$$

Law of Archimedes

$$\mathcal{ATN} \vdash 0 < x < y \implies \{a := x; \textbf{ while } a < y \textbf{ do } a := a + x \textbf{ od}\}(a \geq y) \tag{10}$$

Scheme of induction

$$\mathcal{ATN} \vdash \big(\alpha(x/0) \wedge \forall_x \big(\alpha(x) \Rightarrow \alpha(x/s(x)) \big) \big) \implies \forall_x \alpha(x) \tag{11}$$

Correctness of Euclid's algorithm

$$\mathcal{ATN} \vdash \left(\begin{array}{c} n_0 > 0 \wedge \\ m_0 > 0 \end{array} \right) \implies \left\{ \begin{array}{l} n := n_0; \ m := m_0; \\ \textbf{while } n \neq m \textbf{ do} \\ \quad \textbf{if } n > m \textbf{ then } \ n := n \,\dot{-}\, m \\ \qquad \textbf{else } \ m := m \,\dot{-}\, n \\ \quad \textbf{fi} \\ \textbf{od} \end{array} \right\} (n = gcd(n_0, m_0)) \tag{12}$$

The theory $\mathcal{ATN}$ enjoys an important property of categoricity.

Theorem 39 (*meta*-theorem on categoricity of $\mathcal{ATN}$ theory) *Every model* $\mathfrak{A}$ *of the algorithmic theory of natural numbers is isomorphic to the structure* $\mathfrak{N}$, *c.f. subsection 6.1.*

6.5   Proof of lemma 4

Let P and P' be two programs. Let α be any formula. The semantic property *programs P and P' are equivalent with respect to the postcondition α* is expressed by the formula of the form $(\{P\}\,\alpha \Leftrightarrow \{P'\}\,\alpha)$.
We shall use the following tautology of calculus of programs $\mathcal{AL}$.

$$\vdash \left(\overbrace{\left\{ \begin{array}{l} \textbf{while } \gamma \textbf{ do} \\ \quad \textbf{if } \delta \textbf{ then } K \textbf{ else } M \textbf{ fi} \\ \textbf{od;} \end{array} \right\}}^{P:} \alpha \Leftrightarrow \overbrace{\left\{ \begin{array}{l} \textbf{while } \gamma \textbf{ do} \\ \quad \textbf{while } \gamma \wedge \delta \textbf{ do } K \textbf{ od;} \\ \quad \textbf{while } \gamma \wedge \neg\delta \textbf{ do } M \textbf{ od} \\ \textbf{od} \end{array} \right\}}^{P':} \alpha \right) \tag{13}$$

We apply the axioms Ax20 and Ax21

$$\vdash \left(\left\{ \begin{array}{l} \textbf{while } \gamma \textbf{ do} \\ \quad \textbf{if } \delta \textbf{ then } K \textbf{ else } M \textbf{ fi} \\ \textbf{od;} \end{array} \right\} \alpha \Leftrightarrow \left\{ \begin{array}{l} \textbf{if } \gamma \textbf{ then} \\ \quad \textbf{while } \gamma \wedge \delta \textbf{ do } K \textbf{ od;} \\ \quad \textbf{while } \gamma \wedge \neg\delta \textbf{ do } M \textbf{ od ;} \\ \quad \textbf{while } \gamma \textbf{ do} \\ \qquad \textbf{while } \gamma \wedge \delta \textbf{ do } K \textbf{ od;} \\ \qquad \textbf{while } \gamma \wedge \neg\delta \textbf{ do } M \textbf{ od} \\ \quad \textbf{od} \\ \textbf{fi} \end{array} \right\} \alpha \right) \tag{14}$$

We can omit the instruction **if** (why?) . We swap internal instructions **while** inside the instruction **while**.

$$\vdash \left(\left\{ \begin{array}{l} \textbf{while } \gamma \textbf{ do} \\ \quad \textbf{if } \delta \textbf{ then } K \textbf{ else } M \textbf{ fi} \\ \textbf{od;} \end{array} \right\} \alpha \Leftrightarrow \left\{ \begin{array}{l} \textbf{while } \gamma \wedge \delta \textbf{ do } K \textbf{ od ;} \\ \textbf{while } \gamma \wedge \neg\delta \textbf{ do } M \textbf{ od} \\ \textbf{while } \gamma \textbf{ do} \\ \quad \textbf{while } \gamma \wedge \neg\delta \textbf{ do } M \textbf{ od;} \\ \quad \textbf{while } \gamma \wedge \delta \textbf{ do } K \textbf{ od} \\ \textbf{od} \end{array} \right\} \alpha \right) \tag{15}$$

We can safely skip the second instruction while.

$$\vdash \left(\left\{ \begin{array}{l} \textbf{while } \gamma \textbf{ do} \\ \quad \textbf{if } \delta \textbf{ then } K \textbf{ else } M \textbf{ fi} \\ \textbf{od}; \end{array} \right\} \alpha \Leftrightarrow \left\{ \begin{array}{l} \textbf{while } \gamma \wedge \delta \textbf{ do } K \textbf{ od }; \\ \textbf{while } \gamma \textbf{ do} \\ \quad \textbf{while } \gamma \wedge \neg\delta \textbf{ do } M \textbf{ od}; \\ \quad \textbf{while } \gamma \wedge \delta \textbf{ do } K \textbf{ od} \\ \textbf{od} \end{array} \right\} \alpha \right) \qquad (16)$$

Now, we put $(\gamma \overset{df}{=} n \neq 1)$, $(\delta \overset{df}{=} even(n))$, $(K \overset{df}{=} \{n := n \div 2\})$ and $(M \overset{df}{=} \{n := 3n + 1\})$. We make use of two theorems $(even(n) \implies n \neq 1)$ and $(M\delta)$ of theory $\mathcal{AP}$ c.f. subsection 6.2.

$$\mathcal{T} \vdash \left(\left\{ \begin{array}{l} \textbf{while } n \neq 1 \textbf{ do} \\ \quad \boxed{\begin{array}{l} \textbf{if } even(n) \\ \textbf{then } n := n \div 2 \\ \textbf{else } n := 3n + 1 \\ \textbf{fi} \end{array}} \\ \textbf{od}; \end{array} \right\} (n = 1) \Leftrightarrow \left\{ \begin{array}{l} \textbf{while } even(n) \textbf{ do } n := n \div 2 \textbf{ od}; \\ \textbf{while } n \neq 1 \textbf{ do} \\ \quad \boxed{\begin{array}{l} n := 3n + 1; \\ \textbf{while } even(n) \textbf{ do } n := n \div 2 \textbf{ od} \end{array}} \\ \textbf{od} \end{array} \right\} (n = 1) \right) . \qquad (17)$$

6.6   Proof of invariant of algorithm $Gr3$

We are going to prove the following implication (19) is a theorem of algorithmic theory of natural numbers $\mathcal{ATN}$.

$$\mathcal{ATN} \vdash (\varphi \implies \{\Delta_3\}\varphi) \qquad (18)$$

$$\overbrace{\left(\begin{array}{l} n \cdot 3^i + Y_i = m_i \cdot 2^{Z_i} \wedge \\ Z_i = \sum_{j=0}^{i} k_j \wedge X_i = i \wedge \\ Y_i = \sum_{j=0}^{i-1} \left(3^{i-1-j} \cdot 2^{Z_j} \right) \wedge \\ m_0 = n \wedge \ k_0 = exp(m_0, 2) \wedge \\ \underset{0 < l \leq i}{\forall} \left(m_l = m_{l-1}/2^{k_l} \wedge \right. \\ \left. k_l = exp(m_l, 2) \right) \end{array} \right)}^{\varphi} \implies \overbrace{\left\{ \begin{array}{l} aux := 3 * m_i + 1; \\ k_{i+1} := exp(aux, 2); \\ m_{i+1} := aux/2^{k_{i+1}}; \\ Y_{i+1} := 3Y_i + 2^{Z_i}; \\ Z_{i+1} := Z_i + k_{i+1}; \\ \underline{X_i := i;\,;} \\ i := i + 1; \end{array} \right\}}^{\Delta_3} \overbrace{\left(\begin{array}{l} n \cdot 3^i + Y_i = m_i \cdot 2^{Z_i} \wedge \\ Z_i = \sum_{j=0}^{i} k_j \wedge \wedge X_i = i \\ Y_i = \sum_{j=0}^{i-1} \left(3^{i-1-j} \cdot 2^{Z_j} \right) \wedge \\ m_0 = n \wedge \ k_0 = exp(m_0, 2) \wedge \\ \underset{0 < l \leq i}{\forall} \left(m_l = m_{l-1}/2^{k_l} \wedge \right. \\ \left. k_l = exp(m_l, 2) \right) \end{array} \right)}^{\varphi} \qquad (19)$$

We apply the axiom of assignment instruction Ax_{18}. Note, we applied also axiom Ax_{19} of composed instruction. Namely, in the implication (19) we replace the its successor $\{\Delta_3\}\varphi$ by the formula $\{\Delta_3^{(1)}\}\varphi^{(1)}$.

$$\varphi \implies \overbrace{\left\{ \begin{array}{l} aux := 3 * m_i + 1; \\ k_{i+1} := exp(aux, 2); \\ m_{i+1} := aux/2^{k_{i+1}}; \\ Y_{i+1} := 3Y_i + 2^{Z_i}; \\ Z_{i+1} := Z_i + k_{i+1}; \\ \underline{X_i := i;\,;} \end{array} \right\}}^{\Delta_3^{(1)}} \overbrace{\left(\begin{array}{l} n \cdot 3^{(i+1)} + Y_{(i+1)} = m_{(i+1)} \cdot 2^{Z_{(i+1)}} \wedge \\ Z_{(i+1)} = \sum_{j=0}^{(i+1)} k_j \wedge X_{i+1} = (i+1) \wedge \\ Y_{(i+1)} = \sum_{j=0}^{i} \left(3^{(i+1)-1-j} \cdot 2^{Z_j} \right) \wedge \\ m_0 = n \wedge \ k_0 = exp(m_0, 2) \wedge \\ \underset{0 < l \leq (i+1)}{\forall} \left(m_l = m_{l-1}/2^{k_l} \wedge k_l = exp(m_l, 2) \right) \end{array} \right)}^{\varphi^{(1)}} \qquad (20)$$

$$\varphi \implies \left\{ \begin{array}{l} aux := 3 * m_i + 1; \\ k_{i+1} := exp(aux, 2); \\ m_{i+1} := aux/2^{k_{i+1}}; \\ Y_{i+1} := 3Y_i + 2^{Z_i}; \\ \underline{Z_{i+1} := Z_i + k_{i+1};} \end{array} \right\} \left(\begin{array}{l} n \cdot 3^{(i+1)} + Y_{(i+1)} = m_{(i+1)} \cdot 2^{Z_{(i+1)}} \wedge \\ Z_{(i+1)} = \sum_{j=0}^{(i+1)} k_j \wedge X_{i+1} = (i+1) \wedge \\ Y_{(i+1)} = \sum_{j=0}^{i} \left(3^{(i+1)-1-j} \cdot 2^{Z_j} \right) \wedge \\ m_0 = n \wedge \ k_0 = exp(m_0, 2) \wedge \\ \underset{0 < l \leq (i+1)}{\forall} \left(m_l = m_{l-1}/2^{k_l} \wedge k_l = exp(m_l, 2) \right) \wedge \end{array} \right) \qquad (21)$$

$$\varphi \implies \left\{ \begin{array}{l} aux := 3 * m_i + 1; \\ k_{i+1} := exp(aux, 2); \\ \underline{m_{i+1} := aux/2^{k_i+1};} \\ Y_{i+1} := 3Y_i + 2^{Z_i}; \end{array} \right\} \left(\begin{array}{l} n \cdot 3^{(i+1)} + Y_{(i+1)} = m_{(i+1)} \cdot 2^{(Z_i + k_{i+1})} \wedge \\ (Z_i + k_{i+1}) = \sum\limits_{j=0}^{(i+1)} k_j \wedge X_{i+1} = (i+1) \wedge \\ Y_{(i+1)} = \sum\limits_{j=0}^{i} \left(3^{(i+1)-1-j} \cdot 2^{Z_j} \right) \wedge \\ m_0 = n \wedge \ k_0 = exp(m_0, 2) \wedge \\ \mathop{\forall}\limits_{0 < l \le (i+1)} \left(m_l = m_{l-1}/2^{k_l} \wedge k_l = exp(m_l, 2) \right) \end{array} \right) \tag{22}$$

$$\varphi \implies \left\{ \begin{array}{l} aux := 3 * m_i + 1; \\ k_{i+1} := exp(aux, 2); \\ m_{i+1} := aux/2^{k_i+1}; \end{array} \right\} \left(\begin{array}{l} n \cdot 3^{(i+1)} + (3Y_i + 2^{Z_i}) = m_{(i+1)} \cdot 2^{(Z_i + k_{i+1})} \wedge \\ (Z_i + k_{i+1}) = \sum\limits_{j=0}^{(i+1)} k_j \wedge X_{i+1} = (i+1) \wedge \\ (3Y_i + 2^{Z_i}) = \sum\limits_{j=0}^{i} \left(3^{(i+1)-1-j} \cdot 2^{Z_j} \right) \wedge \\ m_0 = n \wedge \ k_0 = exp(m_0, 2) \wedge \\ \mathop{\forall}\limits_{0 < l \le (i+1)} \left(m_l = m_{l-1}/2^{k_l} \wedge k_l = exp(m_l, 2) \right) \end{array} \right) \tag{23}$$

$$\varphi \implies \left\{ \begin{array}{l} aux := 3 * m_i + 1; \\ k_{i+1} := exp(aux, 2); \end{array} \right\} \left(\begin{array}{l} n \cdot 3^{(i+1)} + (3Y_i + 2^{Z_i}) = (aux/2^{k_{i+1}}) \cdot 2^{(Z_i + k_{i+1})} \wedge \\ (Z_i + k_{i+1}) = \sum\limits_{j=0}^{(i+1)} k_j \wedge X_{i+1} = (i+1) \wedge \\ (3Y_i + 2^{Z_i}) = \sum\limits_{j=0}^{i} \left(3^{(i+1)-1-j} \cdot 2^{Z_j} \right) \wedge \\ m_0 = n \wedge \ k_0 = exp(m_0, 2) \wedge \\ \mathop{\forall}\limits_{0 < l \le (i+1)} \left(m_l = m_{l-1}/2^{k_l} \wedge k_l = exp(m_l, 2) \right) \end{array} \right) \tag{24}$$

$$\varphi \implies \left\{ \ aux := 3 * m_i + 1; \ \right\} \left(\begin{array}{l} n \cdot 3^{(i+1)} + (3Y_i + 2^{Z_i}) = (aux/2^{(exp(aux,2))}) \cdot 2^{(Z_i + (exp(aux,2)))} \wedge \\ (Z_i + (exp(aux, 2))) = \sum\limits_{j=0}^{(i+1)} k_j \wedge X_{i+1} = (i+1) \wedge \\ (3Y_i + 2^{Z_i}) = \sum\limits_{j=0}^{i} \left(3^{(i+1)-1-j} \cdot 2^{Z_j} \right) \wedge \\ m_0 = n \wedge \ k_0 = exp(m_0, 2) \wedge \\ \mathop{\forall}\limits_{0 < l \le (i+1)} \left(m_l = m_{l-1}/2^{k_l} \wedge k_l = exp(m_l, 2) \right) \end{array} \right) \tag{25}$$

$$\varphi \implies \{\} \overbrace{\left(\begin{array}{l} n \cdot 3^{(i+1)} + (3Y_i + 2^{Z_i}) = ((3 * m_i + 1)/2^{(exp((3*m_i+1),2)))}) \cdot 2^{(Z_i + (exp((3*m_i+1),2)))} \wedge \\ (Z_i + (exp((3 * m_i + 1), 2))) = \sum\limits_{j=0}^{(i+1)} k_j \wedge X_{i+1} = (i+1) \wedge \\ (3Y_i + 2^{Z_i}) = \sum\limits_{j=0}^{i} \left(3^{(i+1)-1-j} \cdot 2^{Z_j} \right) \wedge \\ m_0 = n \wedge \ k_0 = exp(m_0, 2) \wedge \\ \mathop{\forall}\limits_{0 < l \le (i+1)} \left(m_l = m_{l-1}/2^{k_l} \wedge k_l = exp(m_l, 2) \right) \end{array} \right)}^{\psi} \tag{26}$$

The implications (19) –(26) are mutually equivalent.
One can easily verify that the last implication ($\varphi \implies \psi$) (26) is a theorem of Presburger arithmetic $\mathcal{T}$ and hence it is a theorem of $\mathcal{ATN}$ theory.
Therefore the first implication ($\varphi \implies \{\Delta_3\}\varphi$) (19) is a theorem of algorithmic theory of natural numbers $\mathcal{ATN}$.

$$\text{q.e.d.}$$

Remark 40 *Note, the process of creation a proof like this can be automatized.*
The verification of the above proof can be automatized too.

References

[Grz71] Andrzej Grzegorczyk.   <u>Zarys Arytmetyki Teoretycznej</u>.   PWN, Warszawa, 1971.

[Lag10] Jeffrey C. Lagarias, editor. The Ultimate Challenge: The 3x+1 Problem. American Mathematical Society, Providence R.I., 2010.

[MF74] M.O.Rabin M.J. Fisher. Super-exponential complexity of presburger arithmetic. In Proceedings of AMS Symposia on Complexity of Real Computational Processes, volume VIII, 1974.

[MS87] Grażyna Mirkowska and Andrzej Salwicki. Algorithmic Logic. http://lem12.uksw.edu.pl/wiki/Algorithmic_Logic, 1987. [Online; accessed 7-August-2017].

[MS21] G. Mirkowska and A. Salwicki. On Collatz theorem. http://lem12.uksw.edu.pl/images/2/27/On-Collatz-thm-11-10-21.pdf. [Online: accessed 17 December 2021], 2021.

[Pre29] Mojżesz Presburger. Über die Vollständigkeit eines gewissen Systems der Arithmetik ganzer Zahlen , in welchem die Addition als einzige Operation hervortritt . pages 92–101,395, 1929.

[Sko34] Thoralf Skolem. Über die nicht-charakterisierbarkeit der zahlenreihe mittels endlich oder abzählbar unendlich vieler aussagen mit ausschließlich zahlenvariablen. Fundamenta Mathematicae, 23:150–161, 1934.

[Sta84] Ryan Stansifer. Presburger's Article on Integer Arithmetic: Remarks and Translation. Cornell University, Technical Report TR84-639, 1984. http://cs.fit.edu/~ryan/papers/presburger.pdf. [Online; accessed 6-August-2021].

[Tar34] Alfred Tarski. Bemerkung der Redaktion. Fundamenta Mathematicae, 23:161, 1934.