

# Reversal-Bounded Multicounter Machines and Their Decision Problems

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**ABSTRACT** Decidable and undecidable properties of various classes of two-way multicounter machines (deterministic, nondeterministic, multitape, pushdown store augmented) with reversal-bounded input and/or counters are investigated. In particular it is shown that the emptiness, infiniteness, disjointness, containment, universe, and equivalence problems are decidable for the class of deterministic two-way multicounter machines whose input and counters are reversal-bounded.

**KEY WORDS AND PHRASES:** multicounter machines, reversal-bounded, Turing machines, pushdown machines, multitape machines, decision problems, decidable, unsolvable

**CR CATEGORIES:** 5 22, 5 23, 5 26, 5 27

## 1. Introduction

A desirable property of the class of finite automata that is not shared by other well-known classes of devices is the existence of decision procedures for all interesting questions concerning finite automata [23]. For example, the following problems which we shall refer to as F-problems are decidable: emptiness, infiniteness, disjointness, containment, universe, and equivalence problems.<sup>1</sup>

The proof of the decidability of these questions makes use of the fact that a finite automaton has only a finite number of internal states or configurations. When a read-write unbounded memory is attached to the automaton, almost all F-problems become unsolvable. If the unbounded memory is in the form of a semi-infinite tape which is operated sequentially we get a Turing machine (TM). For TMs the F-problems are undecidable [4, 16]. If the tape is restricted to operate on a last-in-first-out basis, the device reduces to a pushdown machine, and the emptiness and infiniteness problems become solvable [2]. All other decision questions are unsolvable [2]. For example, the containment and disjointness problems for deterministic pushdown machines are undecidable [9], and the results carry over to the restricted cases when the pushdown store is reversal-bounded (i.e. finite-turn) or when the pushdown store has a single-letter alphabet (i.e. it is operated as a counter). The status of the equivalence problem is still open although it has been shown decidable for the restricted cases just mentioned [26, 27]. For nondeterministic counter machines, the universe problem is unsolvable even if the counter is restricted to make at most one reversal [1]. Perhaps the simplest known subclass of deterministic pushdown machines is the simple deterministic pushdown

This research was supported by the National Science Foundation under Grant DCR75-17090

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<sup>1</sup> Let  $C$  be a class of machines. The emptiness, infiniteness, disjointness, containment, universe, and equivalence problems are the problems of deciding for arbitrary machines  $M_1$  and  $M_2$  in  $C$  whether  $T(M_1) = \emptyset$ ,  $T(M_1)$  is infinite,  $T(M_1) \cap T(M_2) = \emptyset$ ,  $T(M_1) \subseteq T(M_2)$ ,  $T(M_1)$  is the set of all finite-length strings, and  $T(M_1) = T(M_2)$ , respectively.

machines studied in [19]. Even for this subclass, the containment problem is undecidable [8]. It has, however, a decidable equivalence problem [19].

The main purpose of this paper is to exhibit a large class of machines for which the F-problems are decidable. A class that immediately comes to mind is the family of deterministic counter machines. However, as we have already pointed out, the disjointness and containment problems are unsolvable for these machines. Moreover, the unsolvability remains even if the machines are restricted to operate in real time. This led us to put a restriction on the counter. Initially we looked at deterministic counter machines that can only make a bounded (i.e. finite) number of reversals on the counter. We found that all the F-problems are decidable. We then generalized the model by allowing it to have two-way input and several counters [1, 7, 12], but restricted it to operate in such a way that in any accepting computation, the device makes a bounded number of reversals on the counters as well as on the input. Again, we are able to show that the F-problems are decidable for these generalized machines.

The paper has six sections in addition to this Introduction. Section 2 contains some definitions and a fundamental theorem showing that the Parikh maps [20] of languages accepted by reversal-bounded multicounter machines are semilinear.

Section 3 establishes the decidability of the F-problems for the class of deterministic two-way multicounter machines whose input and counters are reversal-bounded. It is shown that removal of the restriction on the input or counters leads to the unsolvability of the F-problems. For example, the class of deterministic machines with unrestricted two-way input and two reversal-bounded counters has unsolvable F-problems. The proof uses the undecidability of Hilbert's tenth problem [20]

Section 4 looks at some unsolvable problems concerning bounds on input reversals and counter reversals.

Section 5 investigates various decision questions concerning reversal-bounded multicounter machines augmented by an unrestricted pushdown store. It is shown that the emptiness and infiniteness problems are decidable for one-way such machines. In contrast the same problems are undecidable for one-way machines with one unrestricted counter and a pushdown store which can make at most one reversal.

Section 6 extends some of the results of the earlier sections to machines with multiple input tapes. In particular it is shown that most decision problems involving nondeterministic multitape machines are decidable provided the tapes can only assume strings from bounded sets. On the other hand, it is proved that the universe problem is undecidable for nondeterministic one-way two-tape finite-state machines (without counters) one of whose tapes is unrestricted, even if the other is restricted to a unary alphabet.

Section 7 concludes with some open problems.

## 2. Reversal-Bounded Multicounter Machines and Semilinear Sets

In this section we shall prove that the Parikh map of the language accepted by a reversal-bounded multicounter machine is an effectively computable semilinear set. This result is important since it forms the basis for showing the solvability of some decision questions concerning multicounter machines.

A two-way  $k$ -counter machine is a device with a finite-state control, a two-way read-only head which operates on an input tape delimited by endmarkers, and  $k$  counters, each capable of storing any nonnegative integer. At the start of the computation, the device is set to a specified initial state with the (input) head on the left endmarker and all counters set to 0. An atomic move consists of moving the input head  $-1, 0, +1$  position to the right, incrementing each counter by  $-1, 0, +1$ , and changing the state of the finite-state control. The machine prevents the head from falling off the input tape and the counters from storing a negative count. The device is nondeterministic in that it may have several choices of next-moves on a given configuration. The input is accepted by the device if the device eventually lands in one of a designated set of

accepting states. We assume that no move is possible when the machine is in an accepting state.

Formally, a two-way  $k$ -counter machine  $M$  is represented by an 8-tuple  $M = \langle k, K, \Sigma, \epsilon, \$, \delta, q_0, F \rangle$ , where  $K, \Sigma, \epsilon, \$, q_0, F$  are the states, inputs, left and right endmarkers, initial state, and accepting states, respectively.  $\delta$  is a mapping from  $K \times (\Sigma \cup \{\epsilon, \$\}) \times \{0, 1\}^k$  into  $K \times \{-1, 0, +1\} \times \{-1, 0, +1\}^k$ . A configuration of  $M$  on an input  $\epsilon x \$$ ,  $x$  in  $\Sigma^*$ ,<sup>2</sup> is given by a  $(k + 3)$ -tuple  $(q, \epsilon x \$, i, c_1, \dots, c_k)$  denoting the fact that  $M$  is in state  $q$  with the input head reading the  $i$ th symbol of  $\epsilon x \$$ , and  $c_1, c_2, \dots, c_k$  the counts (i.e. integers) stored in the  $k$  counters. We define a relation  $\Rightarrow$  among configurations as follows: Write  $(q, \epsilon x \$, i, c_1, \dots, c_k) \Rightarrow (p, \epsilon x \$, i + d, c_1 + d_1, \dots, c_k + d_k)$  if  $a$  is the  $i$ th symbol of  $\epsilon x \$$  and  $\delta(q, a, \lambda(c_1), \dots, \lambda(c_k))$  contains  $(p, d, d_1, \dots, d_k)$ , where

$$\lambda(c_i) = \begin{cases} 0 & \text{if } c_i = 0, \\ 1 & \text{if } c_i \neq 0. \end{cases}$$

The reflexive-transitive closure of  $\Rightarrow$  is written  $\stackrel{*}{\Rightarrow}$ . A string  $x$  in  $\Sigma^*$  is *accepted* by  $M$  if  $(q_0, \epsilon x \$, 1, 0, \dots, 0) \stackrel{*}{\Rightarrow} (q, \epsilon x \$, i, c_1, \dots, c_k)$  for some  $q$  in  $F$ ,  $1 \leq i \leq |\epsilon x \$|$ ,<sup>3</sup> and nonnegative integers  $c_1, \dots, c_k$ . The set of strings (language) accepted by  $M$  is denoted by  $T(M)$ .

Without loss of generality, we assume that if  $\delta(q, a, b_1, \dots, b_k)$  contains  $(p, d, d_1, \dots, d_k)$  then  $d \geq 0$  if  $a = \epsilon$  and  $d \leq 0$  if  $a = \$$ . (This restriction prevents the input head from falling off the tape.) We also assume that  $d_i \geq 0$  if  $b_i = 0$ . (This prevents the counters from storing a negative count.)  $M$  is deterministic if  $|\delta(q, a, b_1, \dots, b_k)| \leq 1^4$  for all  $q$  in  $K$ ,  $a$  in  $(\Sigma \cup \{\epsilon, \$\})$ , and  $b_1, \dots, b_k$  in  $\{0, 1\}$ .

Let  $m$  and  $n$  be nonnegative integers. An  $(m, n)$ -reversal-bounded  $k$ -counter machine is a two-way  $k$ -counter machine which operates in such a way that in every accepting computation the input head reverses direction at most  $m$  times and the count in each counter alternately increases and decreases by at most  $n$  times. Thus, a  $(0, 0)$ -reversal-bounded  $k$ -counter machine is equivalent to a one-way machine which never decrements any counter. A  $(0, 1)$ -reversal-bounded  $k$ -counter machine is equivalent to a one-way machine which has the property that once a counter is decremented, it can never increase its count again. Note that since our bounds on reversals are only for inputs that are accepted, a two-way  $k$ -counter machine accepting a finite set is  $(m, n)$ -reversal-bounded for some  $m$  and  $n$ . In particular, if the set accepted is empty, then the machine is  $(0, 0)$ -reversal-bounded.

We denote the class of nondeterministic  $(m, n)$ -reversal-bounded  $k$ -counter machines by  $\text{NFCM}(k, m, n)$ . Obviously,  $\text{NFCM}(k, m, n) \subseteq \text{NFCM}(k', m', n')$  for all  $k' \geq k$ ,  $m' \geq m$ ,  $n' \geq n$ . The deterministic class is denoted by  $\text{DFCM}(k, m, n)$ . When we have no known finite bound for the input reversal or counter reversal, we use  $m = \infty$  or  $n = \infty$ . Thus, a  $(\infty, \infty)$ -reversal-bounded  $k$ -counter machine is an unrestricted two-way  $k$ -counter machine; a two-way  $k$ -counter machine which operates in such a way that in every accepting computation each counter makes no more than  $n$  reversals is  $(\infty, n)$ -reversal-bounded; etc. We use the notation  $\text{NFCM}(k, \infty, \infty)$ ,  $\text{NFCM}(k, \infty, n)$ , etc., to denote the classes of such machines.

Let  $\Sigma$  be a finite set of symbols and  $\alpha = \langle a_1, a_2, \dots, a_r \rangle$  be the elements of  $\Sigma$  written in some order. For  $x$  in  $\Sigma^*$ , define the  $r$ -tuple of natural numbers  $f_\alpha(x) = (\#a_1(x), \#a_2(x), \dots, \#a_r(x))$  where  $\#a_i(x)$  is the number of occurrences of symbol  $a_i$  in  $x$ . (Note that  $f_\alpha(\epsilon) = (0, \dots, 0)$ .) For  $L \subseteq \Sigma^*$ , define  $f_\alpha(L) = \{f_\alpha(x) | x \text{ in } L\}$ . The mapping  $f_\alpha(L)$  which takes the set  $L$  of strings into a set of  $r$ -tuples of natural numbers is called a *Parikh map* of  $L$  [22].

<sup>2</sup> If  $\Sigma$  is a finite nonempty set of symbols,  $\Sigma^*$  denotes the set of all finite-length strings of symbols in  $\Sigma$  including the null string, denoted by  $\epsilon$ .

<sup>3</sup>  $|\epsilon x \$|$  is the number of symbols in  $\epsilon x \$$ .

<sup>4</sup>  $|S|$  denotes the cardinality of set  $S$ .

Let  $N$  denote the set of nonnegative integers and let  $N^r$  be the Cartesian product of  $N$  with itself  $r$  times. A subset  $Q$  of  $N^r$  is called a *linear set* if there exist  $v_0, v_1, \dots, v_m$  in  $N^r$  such that  $Q = \{v | v = v_0 + k_1 v_1 + \dots + k_m v_m, \text{ each } k_i \in N\}$ .  $v_0, v_1, \dots, v_m$  are called the *generators* of  $Q$ . Any finite union of linear sets is called a *semilinear set*. Clearly, the empty set is semilinear since it is the union of zero linear sets. It is well known [22] that if  $L$  is a context-free language (or equivalently, recognized by a nondeterministic one-way pushdown machine) then  $f_\alpha(L)$  is a semilinear set.

A set  $L \subseteq \Sigma^*$  is *bounded* if there exist  $w_1, \dots, w_r$  in  $\Sigma^*$  such that  $L \subseteq w_1^* \dots w_r^*$ .<sup>5</sup> Let  $\alpha = \langle w_1, \dots, w_r \rangle$ . We define  $f_\alpha(L)$  by:  $f_\alpha(L) = \{(i_1, \dots, i_r) | w_1^{i_1} \dots w_r^{i_r} \in L\}$ . In [10, 22] it is shown that if  $L \subseteq w_1^* \dots w_r^*$  is a bounded context-free language, then  $f_\alpha(L)$  is a semilinear set. This result has been extended to bounded languages recognized by one-way multihead finite-state machines [25] and to bounded languages recognized by one-way multihead pushdown machines [17, 18].

We now prove the following basic result which states that languages accepted by machines in  $\text{NFCM}(k, 0, n)$  are semilinear.

**THEOREM 2.1.** *Let  $M$  be in  $\text{NFCM}(k, 0, n)$  and  $T(M) \subseteq \{a_1, \dots, a_r\}^*$ . Then  $f_\alpha(T(M))$  is a semilinear set effectively computable from  $M$  ( $\alpha = \langle a_1, \dots, a_r \rangle$ ).*

**PROOF.** It is sufficient to prove the theorem for  $n = 1$ . (If  $n > 1$ , we can easily construct an equivalent multicounter machine each of whose counters makes at most one reversal [1].) We may assume without loss of generality that if a string is accepted, then acceptance must occur with the input head on the right endmarker and all counters empty.

For each  $1 \leq i \leq k$ , let  $b_i$  and  $c_i$  be new symbols, and let  $\Sigma = \{a_1, \dots, a_r, \epsilon, \$\} \cup \{b_i, c_i | 1 \leq i \leq k\}$ . Let  $g$  be a homomorphism defined by:  $g(b_i) = g(c_i) = \epsilon$  for  $1 \leq i \leq k$ ,  $g(a) = a$  for  $a$  in  $\{a_1, \dots, a_r, \epsilon, \$\}$ . Let  $L$  be the set of all strings  $y$  in  $\Sigma^*$  with the following properties:

- (1) the first symbol of  $y$  is  $\epsilon$ ;
- (2)  $g(y) = \epsilon x \$$  for some  $x$  in  $\{a_1, \dots, a_r\}^*$ ;
- (3) for each  $1 \leq i \leq k$ , any occurrence of  $c_i$  in  $y$  must appear to the right of all occurrences of  $b_i$ .

Clearly,  $L$  is a regular set. We shall construct a one-way finite automaton  $M'$  (without endmarkers) whose input alphabet is  $\Sigma$ . Since  $L$  is regular, we may assume that inputs to  $M'$  come from  $L$ . Intuitively, an input  $y$  from  $L$  will be accepted by  $M'$  if  $y$  represents a possible accepting computation of  $M$  on  $\epsilon x \$ = g(y)$ . Since  $M'$  has no counters, the action of  $M$  on the  $i$ th counter ( $1 \leq i \leq k$ ), be it an increment or decrement, is represented in the string  $y$  by the occurrence of  $b_i$  or  $c_i$ , respectively. Thus, the number of occurrences of  $b_i$  in  $y$  represents the largest integer stored in counter  $i$  during the computation of  $M$  on  $\epsilon x \$$ . If  $y$  represents a valid accepting computation of  $M$  on  $\epsilon x \$$ , we must have:  $g(y) = \epsilon x \$$  and for each  $1 \leq i \leq k$ , the number of occurrences of  $b_i$  in  $y$  must equal the number of occurrences of  $c_i$ . We describe the construction of  $M'$  briefly, omitting most of the details.

$M'$  stores in its finite-state control a  $(k + 2)$ -tuple of the form  $\langle q, \sigma, s_1, \dots, s_k \rangle$ , where  $q$  is the current state of  $M$ ,  $\sigma$  is the symbol currently under  $M$ 's head, and  $s_i$  is the status of counter  $i$  (0 if empty and 1 otherwise). Initially,  $q$  is set to the initial state of  $M$ ,  $\sigma$  is set to  $\epsilon$ , and  $s_i$  is set to 0,  $1 \leq i \leq k$ . The tuple  $\langle q, \sigma, s_1, \dots, s_k \rangle$  is updated as follows.  $M'$  determines the next move of  $M$  and executes the following steps:

(1) If  $M$  moves its input head to read a new symbol, then  $M'$  also moves its input head and checks that the new symbol under the head comes from  $\{a_1, \dots, a_r, \$\}$ . (If not,  $M'$  rejects  $y$ .)  $\sigma$  is set to the new symbol.

(2) Let  $1 \leq i \leq k$ , and suppose counter  $i$  is incremented (decremented) by 1. Then  $M'$  moves its input head and checks that the new symbol under the head is  $b_i$  ( $c_i$ ) which

<sup>5</sup> If  $x$  and  $y$  are in  $\Sigma^*$ , define  $xy$  to be the string  $x$  followed by  $y$ . Define  $x^i$  as follows:  $x^0 = \epsilon$  and  $x^{i+1} = x^i x$  for all  $i \geq 0$ . For convenience, we denote  $\{w_1^i \dots w_r^i | i_1, \dots, i_r \geq 0\}$  by  $w_1^* \dots w_r^*$ .

corresponds to an increment (decrement) of 1.  $s_i$  is set to 1 if counter  $i$  is incremented by 1. If counter  $i$  is decremented by 1,  $s_i$  can be set to either 1 or 0. The choice is made nondeterministically since  $M'$  has no way of comparing the number of occurrences of  $b_i$  to that of  $c_i$ . Once  $s_i$  is set to 0,  $M'$  has guessed that  $M$  has emptied counter  $i$ . From this point on,  $M'$  must make sure that no other occurrences of  $b_i$  or  $c_i$  can appear in the remainder of the string that has yet to be processed. Step 2 is done for  $i = 1, 2, \dots, k$ .

(3)  $q$  is set to the next state of  $M$ , say  $p$ .

(4) If  $p$  is an accepting state,  $M'$  moves right and accepts the input (which should be exhausted by this time).

It should be clear that  $x$  is in  $T(M)$  if and only if there is a  $y$  in  $T(M')$  such that  $g(y) = \epsilon x \$$  and for each  $1 \leq i \leq k$ , the number of occurrences of  $b_i$  in  $y$  is equal to the number of occurrences of  $c_i$ . Since  $M'$  is a one-way finite automaton,  $f_\beta(T(M'))$  is a semilinear set effectively computable from  $M'$  [22],  $\beta = \langle a_1, \dots, a_r, \epsilon, \$, b_1, c_1, \dots, b_k, c_k \rangle$ . Let this semilinear set be  $Q_1$ . Now let

$$Q_2 = \{(l_1, \dots, l_r, 1, 1, i_1, j_1, \dots, i_k, j_k) \mid l_1, \dots, l_r \geq 0, i_1 = j_1 \geq 0, \dots, i_k = j_k \geq 0\}.$$

Clearly,  $Q_2$  is a semilinear set. Then  $Q_3 = Q_1 \cap Q_2$  is a semilinear set effectively computable from  $Q_1$  and  $Q_2$  [10]. Let  $Q_4$  be the semilinear set obtained from  $Q_3$  by deleting the last  $2k + 2$  coordinates from the generators of the linear sets forming  $Q_3$ . Then  $Q_4 = f_\alpha(T(M))$ ,  $\alpha = \langle a_1, \dots, a_r \rangle$ .  $\square$

We shall show that we can construct for every machine in  $\text{NFCM}(k, m, n)$  an equivalent machine in  $\text{NFCM}(k', 0, n')$  for some  $k'$  and  $n'$ . Thus, Theorem 2.1 generalizes to machines in the class  $\text{NFCM}(k, m, n)$ . First, we give the following definition.

*Definition.* A machine in  $\text{NFCM}(k, m, n)$  is in *normal form* if it has the following properties: (a) The input head can only reverse direction at the endmarkers, (b) in every accepting computation the machine makes exactly  $m$  input head reversals, and (c) acceptance is only made at the endmarkers ( $\epsilon$  or  $\$$  depending on whether  $m$  is odd or even).

The following technical lemma is useful.

**LEMMA 2.1.** *Let  $M_1$  be in  $\text{NFCM}(k, m, n)$ . We can effectively construct a machine  $M_2$  in  $\text{NFCM}(k + 1, m, \max\{n, 2m - 1\})$  in normal form such that  $T(M_1) = T(M_2)$ .*

**PROOF.** First, we note that we can modify  $M_1$  to a machine  $M'_1$  which makes exactly  $m$  input head reversals on inputs that are accepted. To do this, we need only incorporate in the states of  $M_1$  a counter that counts the number of input head reversals made during the computation. If  $M_1$  attempts to accept an input before making exactly  $m$  reversals,  $M'_1$  executes dummy input moves to bring the number of reversals to  $m$  and then accepts the input.

We now describe the construction of  $M_2$  from  $M'_1$ .  $M_2$  simulates  $M'_1$  until a reversal is necessary. If the reversal is made on an endmarker, the simulation continues. If  $M'_1$  makes a reversal on a symbol that is not an endmarker,  $M_2$  first executes the following steps before it can continue the simulation:  $M_2$  moves the input head in the direction in which it was moving before the reversal was called until the head reaches the endmarker. While doing this,  $M_2$  uses a counter (used only for this purpose), say  $C$ , to record the position on the input from which the reversal is supposed to take place. When  $M_2$  reaches the endmarker, it reverses direction and uses the counter  $C$  to restore the head to the position on the input from which a reversal is supposed to occur.  $M_2$  then resumes the simulation of  $M'_1$ .  $M_2$  can be constructed so that acceptance is only made at the endmarker (on  $\epsilon$  or  $\$$  depending on whether  $m$  is odd or even). Clearly if  $M'_1$  makes  $m$  reversals on the input, counter  $C$  makes at most  $2m - 1$  reversals. It follows that  $M_2$  is in  $\text{NFCM}(k + 1, m, \max\{n, 2m - 1\})$  in normal form. Note that  $M_2$  is deterministic if  $M_1$  is.  $\square$

We now describe the construction of a machine  $M$  capable of simulating, in parallel, the computation of two machines in  $\text{NFCM}(k, m, n)$ .

Let  $M_i = \langle k_i, K_i, \Sigma, \epsilon, \$, \delta_i, q_{0i}, F_i \rangle$ ,  $i = 1, 2$  be  $(m, n_i)$ -reversal-bounded  $k_i$ -counter machines in normal form. The *parallel machine* corresponding to  $M_1$  and  $M_2$  is the  $(m, \max\{n_1, n_2\})$ -reversal-bounded  $(k_1 + k_2)$ -counter machine  $M = M_1 \otimes M_2 = \langle k_1 + k_2, K, \Sigma, \epsilon, \$, \delta, (q_{01}, 0, q_{02}, 0), F \rangle$ , where  $K = \{(q_1, i, q_2, j) | q_1 \text{ in } K_1, q_2 \text{ in } K_2, i, j = -1, 0, +1\}$ ,  $F$  depends on the application, and  $\delta$  is defined as follows:

A. Suppose  $\delta_1(q_1, a, \bar{b}_1)$  contains  $(p_1, d_1, \bar{c}_1)$  and  $\delta_2(q_2, a, \bar{b}_2)$  contains  $(p_2, d_2, \bar{c}_2)$ .<sup>6</sup> Then

$$\delta((q_1, 0, q_2, 0), a, \bar{b}, \bar{b}_2) \text{ contains } \begin{cases} ((p_1, 0, p_2, 0), d_1, \bar{c}, \bar{c}_2) \text{ if } d_1 = d_2 \text{ (case 1);} \\ ((p_1, d_1, p_2, 0), 0, \bar{c}_1, \bar{c}_2) \text{ if } d_1 \neq 0, d_2 = 0 \text{ (case 2);} \\ ((p_1, 0, p_2, d_2), 0, \bar{c}_1, \bar{c}_2) \text{ if } d_1 = 0, d_2 \neq 0 \text{ (case 3).} \end{cases}$$

B. Suppose  $\delta_2(q_2, a, \bar{b}_2)$  contains  $(p_2, d_2, \bar{c}_2)$ . Then for all  $q_1$  in  $K_1$ ,  $\bar{b}_1$  in  $\{0, 1\}^{k_1}$ ,  $d_1 \neq 0$ ,

$$\delta((q_1, d_1, q_2, 0), a, \bar{b}_1, \bar{b}_2) \text{ contains } \begin{cases} ((q_1, d_1, p_2, 0), 0, \bar{0}, \bar{c}_2) \text{ if } d_2 = 0 \text{ (case 1);} \\ ((q_1, 0, p_2, 0), d_1, \bar{0}, \bar{c}_2) \text{ if } d_1 = d_2 \neq 0 \text{ (case 2).} \end{cases}$$

C. Suppose  $\delta_1(q_1, a, \bar{b}_1)$  contains  $(p_1, d_1, \bar{c}_1)$ . Then for all  $q_2$  in  $K_2$ ,  $\bar{b}_2$  in  $\{0, 1\}^{k_2}$ ,  $d_2 \neq 0$ ,

$$\delta((q_1, 0, q_2, d_2), a, \bar{b}_1, \bar{b}_2) \text{ contains } \begin{cases} ((p_1, 0, q_2, d_2), 0, \bar{c}_1, \bar{0}) \text{ if } d_1 = 0 \text{ (case 1);} \\ ((p_1, 0, q_2, 0), d_2, \bar{c}_1, \bar{0}) \text{ if } d_1 = d_2 \neq 0 \text{ (case 2).} \end{cases}$$

$M = M_1 \otimes M_2$  has  $k_1 + k_2$  counters.  $M$  simulates  $M_1$  and  $M_2$  in parallel using rule A, case 1, as long as the input heads of  $M_1$  and  $M_2$  are synchronously moving in the same direction. When the input head of  $M_2$  falls behind that of  $M_1$  (i.e.  $M_2$  stays in place and does not reverse while  $M_1$  goes on), rule A, case 2, applies. The input head of  $M$  is not moved but its finite-state control remembers that  $M_2$ 's input head is lagging by recording state  $p_1$  of  $M_1$  and the direction of move,  $d_1 \neq 0$  in the states. Rule B, case 1, is used to simulate the transitions of  $M_2$  until its input head advances, catching up with  $M_1$ . When this happens, rule B, case 2, applies. Rule A, case 3, and rule C, cases 1 and 2, take care of the situation when  $M_1$ 's input head lags that of  $M_2$ . It is clear that  $M$  is in normal form and is deterministic if  $M_1$  and  $M_2$  are. Note that  $M$ 's counters are  $\max\{n_1, n_2\}$ -reversal-bounded.  $\square$

The construction above can be extended to work for any number of machines. Thus, if  $M_1, \dots, M_r$  are  $(m, n_i)$ -reversal-bounded  $k_i$ -counter machines in normal form, we can construct a  $(m, \max\{n_1, \dots, n_r\})$ -reversal-bounded  $(k_1 + \dots + k_r)$ -counter machine  $M_1 \otimes \dots \otimes M_r$  which simulates the computation of  $M_1, \dots, M_r$  in parallel.

Now suppose  $M$  is in  $\text{NFCM}(k, m, n)$ . We shall construct a machine  $M^R$  in  $\text{NFCM}(k, 0, n)$  which has the following property: If  $M$  computes on  $\epsilon x \$$  in a right-to-left scan (i.e. from  $\$$  to  $\epsilon$ , without reversing its input head), then  $M^R$  will simulate the computation of  $M$  in reverse, i.e. from left to right. Incrementing (decrementing) a counter of  $M^R$  corresponds to decrementing (incrementing) the corresponding counter of  $M$ . The formal construction of  $M^R$  follows. For convenience, we assume  $k = 1$ . The generalization is straightforward. Suppose  $M = \langle 1, K, \Sigma, \epsilon, \$, \delta, q_0, F \rangle$ . Let  $M^R = \langle 1, K^R, \Sigma, \epsilon, \$, \delta^R, \_, \_ \rangle$ , where  $K^R = K \times (\Sigma \cup \{\epsilon, \$\}) \times \{0, 1\}$ . The initial state and accepting states are not important at this point. A state of the form  $(p, b, j)$  represents the situation in which  $M$  just entered a configuration resulting in state  $p$ , current input symbol  $b$ , and counter status  $j$  (0 for empty and 1 otherwise). We now define  $\delta^R$ .

For  $q$  in  $K$ ,  $a$  in  $(\Sigma \cup \{\epsilon, \$\})$ ,  $d \leq 0$ ,  $i$  in  $\{0, 1\}$ , if  $\delta(q, a, i)$  contains  $(p, d, t)$  and  $(*)$   $d = -1$  if  $a = \$$ , then

$$\delta^R((p, b, j), b, j) \text{ contains } ((q, a, l), -d, -t),$$

<sup>6</sup>  $\bar{b}_1 = (b_{11}, \dots, b_{1k_1})$ ,  $\bar{c}_1 = (c_{11}, \dots, c_{1k_1})$ ,  $\bar{0} = (0, \dots, 0)$ , etc

where

- (1)  $b = a$  if  $d = 0$ ;  $b$  can be any symbol in  $\Sigma \cup \{\epsilon\}$  if  $d = -1$ ;
- (2) if  $i = 1$  and  $t = +1$  then  $j = l = 1$ ; if  $i = 1$  and  $t = 0$  then  $j = l = 1$ ; if  $i = 1$  and  $t = -1$  then  $j = l = 1$  or  $j = 0$  and  $l = 1$ ; if  $i = t = 0$  then  $j = l = 0$ ; if  $i = 0$  and  $t = +1$  then  $j = 1$  and  $l = 0$

Let  $M$  start on the right endmarker of  $\epsilon x \$$  in state  $q$  and counter value  $c$ . Now suppose  $M$  moves left of  $\$$  in one atomic move and scans the input from right to left eventually entering state  $p$  and counter value  $c'$ . (See Figure 1(a).) Then when  $M^R$  is started in state  $(p, \epsilon, \lambda(c'))$  with counter value  $c'$  on the left endmarker of  $\epsilon x \$$ ,  $M^R$  will make a left-to-right scan eventually entering state  $(q, \$, \lambda(c))$  and counter value  $c$ . (See Figure 1(b).) Moreover,  $M^R$  reaches  $\$$  for the first time in state  $(q, \$, \lambda(c))$ , and on entering this state,  $M^R$  has no next move. (This follows from restriction (\*) in the definition of  $\delta^R$ ).  $\square$

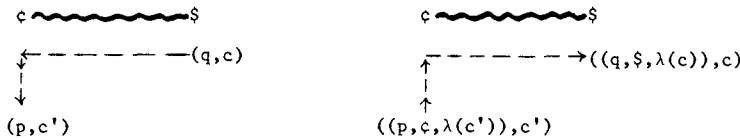
We can now prove the following result.

**THEOREM 2.2.** *Let  $M$  be in  $NFCM(k, m, n)$  in normal form. Then we can effectively construct a machine  $M'$  in  $NFCM(k(m+1), 0, n+1)$  such that  $T(M) = T(M')$ .*

**PROOF.** To simplify discussion, we shall describe the construction when  $k = 1$ . The generalization for arbitrary  $k$  is straightforward. We shall assume that  $m$  is odd; the construction for  $m$  even is similar. We shall use the machine  $M^R$  in the construction of  $M'$  in  $NFCM(m+1, 0, n+1)$  equivalent to  $M = \langle 1, K, \Sigma, \epsilon, \$, \delta, q_0, F \rangle$ .

For each  $q$  in  $K$  and  $j$  in  $\{0, 1\}$ , let  $M_{q,j}^R = \langle 1, K^R, \Sigma, \epsilon, \$, \delta^R, (q, \epsilon, j), \_ \rangle$  and  $M_q = \langle 1, K, \Sigma, \epsilon, \$, \hat{\delta}, q, \_ \rangle$ , where  $\hat{\delta}$  is  $\delta$  with transitions of the form  $(p, -1, t)$  deleted.

Let  $x$  be in  $T(M)$  and consider the computation of  $M$  on  $\epsilon x \$$ . Since  $M$  is in normal form, there is an accepting sequence of moves that causes  $M$  to make exactly  $m$  reversals on the input. (See Figure 2. Recall that  $m$  is odd.)  $M'$  will have  $m+1$  counters.  $M'$  will simulate the computation of  $M$  on a single left to right scan of  $\epsilon x \$$ .  $M'$  starts off by nondeterministically initializing the  $m+1$  counters with values  $c_1 = 0, c_2, c_2, c_3, c_3, \dots, c_{(m+1)/2}, c_{(m+1)/2}, c_{(m+3)/2}$  for some integers  $c_2, c_3, \dots, c_{(m+3)/2}$ . (In Figure 2  $M'$  could nondeterministically store  $x_1 = 0, x_6, x_6, x_{10}, x_{10}, x_{13}$  in the 6 counters.) Next,  $M$  nondeterministically chooses  $(m+3)/2$  states:  $q_1 = q_0, q_2, \dots, q_{(m+3)/2}$  with  $q_{(m+3)/2}$  in  $F$ . (In Figure 2 these states could be  $q_1 = p_1 = q_0, q_2 = p_6, q_3 = p_{10}, q_4 = p_{13}$ .)  $M'$  then simulates machines  $M_{q_1}, M_{q_2}^R, M_{q_2}^R, M_{q_3}^R, M_{q_3}^R, \dots, M_{q_{(m+1)/2}}^R, M_{q_{(m+1)/2}}^R, M_{q_{(m+1)/2}}^R, M_{q_{(m+3)/2}}^R, M_{q_{(m+3)/2}}^R$  in parallel on input  $\epsilon x \$$ , i.e.  $M'$  simulates  $M_{q_1} \otimes M_{q_2}^R, \lambda(c_2) \otimes M_{q_2} \otimes \dots \otimes M_{q_{(m+3)/2}}^R, \lambda(c_{(m+3)/2})$ . Clearly, if  $M'$  made the right choices of  $c_1, \dots, c_{(m+3)/2}, q_1, \dots, q_{(m+3)/2}$ , there would be a sequence of moves that would bring the input heads of machines  $M_{q_2}^R, \lambda(c_2), M_{q_3}^R, \lambda(c_3), \dots, M_{q_{(m+3)/2}}^R, \lambda(c_{(m+3)/2})$  to  $\$$ . Moreover, these machines would have no next move after reaching  $\$$  for the first time (This is because in the construction of  $M^R$  we did not consider transitions in which  $M$  does not move left on  $\$$ . See (\*) in the definition of  $\delta^R$ .) Let  $((q'_2, \$, \lambda(c'_2)), c'_2), ((q'_3, \$, \lambda(c'_3)), c'_3), \dots, ((q'_{(m+3)/2}, \$, \lambda(c'_{(m+3)/2})), c'_{(m+3)/2})$  be the state-counter configurations of these machines when they halt on  $\$$ . (In Figure 2 these are  $((p_4, \$, \lambda(x_4)), x_4), ((p_9, \$, \lambda(x_9)), x_9), ((p_{11}, \$, \lambda(x_{11})), x_{11})$ .) The simulation of machines  $M_{q_1}, M_{q_2}, M_{q_3}, \dots, M_{q_{(m+1)/2}}$  on  $\$$  continues. Eventually, each of these machines will halt on  $\$$ . (Because  $\hat{\delta}$  does not include transitions of the form  $(p, -1, t)$ .) Let  $(q''_2, c''_2), (q''_3, c''_3), \dots,$



(a) Computation of  $M$

(b) Computation of  $M^R$

FIG 1 Computation of  $M$  and  $M^R$ . Note that  $(p, c')$  need not be the first configuration entered by  $M$  on  $\epsilon$

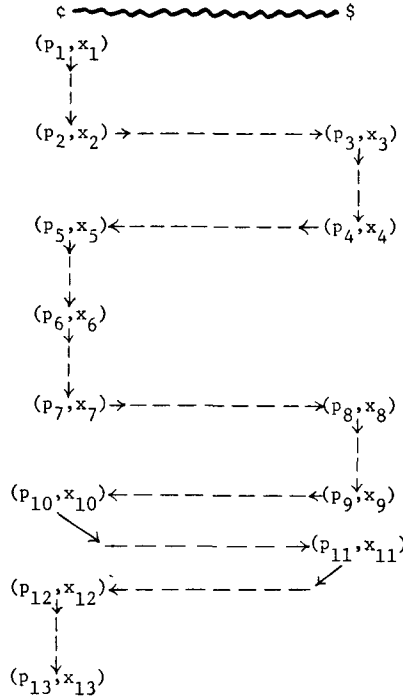


FIG 2  $m = 5$   $(p_i, x_i)$  is a state-counter configuration  $(p_1, x_1) = (q_0, 0)$ ,  $p_{13}$  is an accepting state

$(q''_{(m+3)/2}, c''_{(m+3)/2})$  be the state-counter configurations of these machines when they halt on  $\$$ .  $M'$  now checks that  $(q''_i, c''_i) = (q'_i, c'_i)$  for each  $i = 2, \dots, (m+3)/2$ . If so,  $M'$  accepts the input; otherwise,  $M$  rejects the input. Now each of  $M_{q_i}, M^R_{(q_i, \lambda(c_i))}$ , is  $(0, n)$ -reversal-bounded. It follows that  $M'$  is  $(0, n+1)$ -reversal-bounded. (The extra reversal on each counter is needed to check that  $(q''_i, c''_i) = (q'_i, c'_i)$  for  $i = 2, \dots, (m+3)/2$ .)  $\square$

From Lemma 2.1 and Theorems 2.1 and 2.2 we have

**THEOREM 2.3.** *Let  $M$  be in  $\text{NFCM}(k, m, n)$  and  $T(M) \subseteq \{a_1, \dots, a_r\}^*$ . Then  $f_\alpha(T(M))$  is a semilinear set effectively computable from  $M$  ( $\alpha = \langle a_1, \dots, a_r \rangle$ ).*

### 3. Decidable Properties of Reversal-Bounded Multicounter Machines

This section investigates decision questions concerning the classes  $\text{NFCM}(k, m, n)$  and  $\text{DFCM}(k, m, n)$ . We shall see that  $\text{NFCM}(k, m, n)$  has decidable emptiness, infiniteness, and disjointness problems. Moreover, in the case of  $\text{DFCM}(k, m, n)$  the containment and equivalence problems are also decidable. We shall demonstrate that these results are the best possible in that further generalization of the class  $\text{DFCM}(k, m, n)$  (e.g. dropping the bounded-reversal restriction on the input or counters) makes even the emptiness problem unsolvable.

First, we consider the operation of intersection of languages in  $\text{NFCM}(k, m, n)$ . For this, we need the  $M_1 \otimes M_2$  construction of Section 2.

**LEMMA 3.1.** *Let  $M_i = \langle k_i, K_i, \Sigma, \epsilon, \$, \delta_i, q_{0i}, F_i \rangle$ ,  $i = 1, 2$  be in  $\text{NFCM}(k_i, m, n_i)$  in normal form. We can effectively construct  $M_3$  and  $M_4$  in  $\text{NFCM}(k_1 + k_2, m, \max\{n_1, n_2\})$  such that  $T(M_3) = T(M_1) \cap T(M_2)$  and  $T(M_4) = T(M_1) \cup T(M_2)$ . Moreover,  $M_3$  and  $M_4$  are deterministic if  $M_1$  and  $M_2$  are.*

**PROOF.** Construct  $M_1 \otimes M_2$ , and define  $F$  by  $F_1 \times \{0\} \times F_2 \times \{0\}$  for intersection and by  $(F_1 \times \{0\} \times K_2 \times \{0\}) \cup (K_1 \times \{0\} \times F_2 \times \{0\})$  for union.  $\square$

From Theorem 2.3 and Lemma 3.1 and the fact that the emptiness and infiniteness problems are decidable for semilinear sets [10] we have our first decidable properties.



**THEOREM 3.1.** *The emptiness, infiniteness, and disjointness problems for the class  $NFCM(k, m, n)$  are decidable.*

The next result concerns complementation.

**LEMMA 3.2.** *Let  $M_1$  be in  $DFCM(k, m, n)$ . We can effectively construct a machine  $M_2$  in  $DFCM(k, m, n)$  such that  $T(M_2) = \overline{T(M_1)}$ .<sup>7</sup>*

**PROOF.** Given  $\epsilon x \$$ ,  $M_2$  simulates the computation of  $M_1$  on  $\epsilon x \$$  and at the same time keeps track of the number of input head reversals and the number of reversals made by each counter. We consider several situations that may arise during the simulation. In each case, we describe the appropriate action of  $M_2$ .

- (1)  $M_1$  halts in an accepting state. In this case  $M_2$  halts in a nonaccepting state
- (2)  $M_1$  halts in a nonaccepting state after making no more than  $m$  reversals on the input and no more than  $n$  reversals on any counter. In this case,  $M_2$  halts and accepts the input.
- (3)  $M_1$  attempts to make more than  $m$  reversals on the input or more than  $n$  reversals on one of the counters. Since  $M_1$  is  $(m, n)$ -reversal-bounded, the input could not possibly be in  $T(M_1)$ . Thus, in this case,  $M_2$  halts and accepts the input.
- (4) The only other situation not covered in (1)–(3) is the case when  $M_1$  goes into an infinite computational loop without making more than  $m$  reversals on the input nor more than  $n$  reversals on any counter. For this to happen,  $M_1$  must enter a configuration from which the input head is never again moved nor a counter decremented.  $M_2$  is able to detect this situation by noting that if  $M_1$  has neither moved its input head nor decremented a counter in  $|K_1|$  ( $=$  number of states of  $M_1$ ) atomic moves since the last time it has done either of these, then  $M_1$  must be in an infinite loop.  $\square$

We can now state the main result of this section.

**THEOREM 3.2.** *The universe, containment, and equivalence problems for the class  $DFCM(k, m, n)$  are decidable.*

**PROOF.** It is sufficient to show that containment is decidable. Let  $M_1$  and  $M_2$  be in  $DFCM(k, m, n)$ . Then  $T(M_1) \subseteq T(M_2)$  if and only if  $T(M_1) \cap \overline{T(M_2)} = \emptyset$ . By Lemma 3.2, we can effectively find a machine  $M_3$  in  $DFCM(k, m, n)$  such that  $T(M_3) = \overline{T(M_2)}$ . We may assume, by Lemma 2.1, that  $M_1$  and  $M_3$  are in normal form. By Lemma 3.1, we can construct a machine  $M_4$  in  $DFCM(2k, m, n)$  such that  $T(M_4) = T(M_1) \cap T(M_3)$ . The result now follows from Theorem 3.1.  $\square$

The universe problem is undecidable for the class of nondeterministic one-way one-counter machines which make at most one reversal on the counter [1]. Thus, Theorem 3.2 does not hold for  $NFCM(1, 0, 1)$ .

In the remainder of this section we shall investigate the effect of removing the bounded-reversal restriction on the input or counters.

**THEOREM 3.3.** *The emptiness, infiniteness, disjointness, containment, universe, and equivalence problems (i.e. the F-problems) are undecidable for the following classes of machines: (a)  $DFCM(2, 0, \infty)$ , (b)  $DFCM(1, \infty, \infty)$ , (c)  $DFCM(1, 1, \infty)$ .*

**PROOF.** The unsolvability of the F-problems for classes (a) and (b) follows from the result of Minsky [21], while that of (c) follows from Lemmas 3.3 and 3.4 below.  $\square$

**LEMMA 3.3.** *We can effectively find for arbitrary Turing machine  $M_1$ , machines  $M_2$  and  $M_3$  in  $DFCM(1, 0, \infty)$  and a homomorphism  $g_1$  such that  $T(M_1) = g_1(T(M_2) \cap T(M_3))$ . Thus, the disjointness problem for the class  $DFCM(1, 0, \infty)$  is undecidable.*

**PROOF.** The proof of this result was implicit in [14].  $\square$

**LEMMA 3.4.** *We can effectively find for arbitrary Turing machine  $M_1$ , a machine  $M'$  in  $DFCM(1, 1, \infty)$  and a homomorphism  $g'$  such that  $T(M_1) = g'(T(M'))$ . Hence, the F-problems for the class  $DFCM(1, 1, \infty)$  are undecidable.*

**PROOF.** Since only one input reversal is allowed, Lemma 3.3 does not translate directly. We describe the reduction.

Suppose  $M_2$  and  $M_3$  are the machines in  $DFCM(1, 0, \infty)$  such that  $T(M_1) = g_1(T(M_2) \cap T(M_3))$

<sup>7</sup>  $\overline{T(M_1)} = \Sigma^* - T(M_1)$ , where  $\Sigma$  is the alphabet of  $M_1$

$\cap T(M_3)$ ). We can construct (see Section 3) a nondeterministic machine  $M_4$  in  $\text{NFCM}(1, 0, \infty)$  such that  $T(M_4) = (T(M_3))^R = \{x^R \mid x \text{ in } T(M_3)\}$ .<sup>8</sup> Now modify  $M_4$  by adding special symbols into its input alphabet. These symbols will be used to dictate the moves of the machine. Clearly, the new machine, call it  $M_5$ , is deterministic and  $T(M_4) = g_2(T(M_5))$ , where  $g_2$  is the homomorphism that maps the special symbols into the null string and leaves the other symbols the same. We also modify  $M_2$  into a machine  $M_6$  by adding the special symbols into its inputs. Of course  $M_6$  ignores the special symbols in its computation. Then,  $T(M_6) = g_2(T(M_2))$ . By construction,  $M_5$  and  $M_6$  are in  $\text{DFCM}(1, 0, \infty)$ , and  $T(M_1) = g_1 g_2(T(M_6) \cap (T(M_5))^R)$ . It is now trivial to construct from  $M_5$  and  $M_6$  a machine  $M'$  in  $\text{DFCM}(1, 1, \infty)$  such that  $T(M_1) = g'(T(M'))$ , where  $g' = g_1 g_2$ . Thus, the emptiness problem for the class  $\text{DFCM}(1, 1, \infty)$  is undecidable. The undecidability of the other problems follows directly. For example, to see that the infiniteness problem is undecidable, we need only note that for any machine  $M'$  in  $\text{DFCM}(1, 1, \infty)$ , we can construct another machine  $M''$  in  $\text{DFCM}(1, 1, \infty)$  accepting the set  $\{d^i x \mid x \text{ in } T(M'), i \geq 1\}$ , where  $d$  is a new symbol not in the alphabet of  $M'$ . Clearly,  $T(M'')$  is infinite if and only if  $T(M')$  is not empty. Thus, the undecidability of the infiniteness problem follows from the unsolvability of the emptiness problem.  $\square$

The proof of our next result uses the undecidability of Hilbert's tenth problem [20]. Hilbert's tenth problem [15] is the problem of deciding for a given polynomial  $P(x_1, \dots, x_n)$  with integer coefficients (i.e. a Diophantine polynomial) whether it has an integral root, i.e. integers  $\alpha_1, \dots, \alpha_n$  such that  $P(\alpha_1, \dots, \alpha_n) = 0$ . We shall restrict  $x_1, \dots, x_n$  to assume only nonnegative integers since  $P(x_1, \dots, x_n)$  has a root in the integers if and only if one of the  $2^n$  polynomials obtained by replacing some variables by their negative values has a root in the nonnegative integers.

**LEMMA 3.5.** *Let  $t(x_1, \dots, x_n) = s x_1^{i_1} \dots x_n^{i_n}$  be a term of the polynomial  $P(x_1, \dots, x_n)$ , where  $s = + \text{ or } -$ ,  $i_1, \dots, i_n \geq 0$ . Let  $\Sigma = \{a_1, \dots, a_n, b\}$ . We can construct a deterministic  $(\infty, i_1 + \dots + i_n)$ -reversal-bounded 2-counter machine  $M_t$  which accepts an input of the form  $a_1^{\alpha_1} \dots a_n^{\alpha_n} b^\beta$ , where  $\alpha_1, \dots, \alpha_n$  are nonnegative integers, if and only if  $\beta = \alpha_1^{i_1} \dots \alpha_n^{i_n}$ .*

**PROOF.** The exponents  $i_1, \dots, i_n$  are stored in the states of  $M_t$ . Assume that each  $i_j \geq 1$ . (Otherwise, ignore the exponent.)  $M_t$  scans the  $a_1^{i_1}$  segment and stores integer  $\alpha_1$  in the first counter. Then it computes  $\alpha_1^{i_1}$  in the second counter by making  $\alpha_1$  passes on the  $a_1^{i_1}$  segment and adding  $\alpha_1$  to the second counter on each pass. Also,  $M_t$  decrements the first counter by 1 on each pass. Hence, a zero in the first counter indicates that  $M_t$  has made exactly  $\alpha_1$  passes on the  $a_1^{i_1}$  segment. By iterating the process and alternately switching the roles of the counters,  $M_t$  can compute  $\alpha_1^{i_1} \alpha_2^{i_2} \dots \alpha_n^{i_n}$  in one of the counters in  $i_1 + i_2 + \dots + i_n - 1$  counter reversals. (The input head makes the passes on the  $a_j^{i_j}$  segment when  $M_t$  is computing  $\alpha_1^{i_1} \dots \alpha_{j-1}^{i_{j-1}} \alpha_j^{i_j}$ ,  $k = 1, 2, \dots, i_j$ .) After  $M_t$  has computed  $\alpha_1^{i_1} \alpha_2^{i_2} \dots \alpha_n^{i_n}$  in one of the counters, it verifies that  $\beta = \alpha_1^{i_1} \dots \alpha_n^{i_n}$ .  $\square$

**THEOREM 3.4** *The F-problems are undecidable for the following classes of machines: (a)  $\bigcup_{n \geq 1} \text{DFCM}(2, \infty, n)$ , (b)  $\bigcup_{k \geq 1} \text{DFCM}(k, \infty, 1)$ .*

**PROOF.** It is sufficient to prove that the F-problems are unsolvable for class (a) since a multicounter machine which makes at most  $n$  reversals on each counter can be converted to an equivalent machine which makes at most 1 reversal on each counter.

Let  $P(x_1, \dots, x_n)$  be a Diophantine polynomial. Let  $P(x_1, \dots, x_n) = t_1(x_1, \dots, x_n) + \dots + t_r(x_1, \dots, x_n)$  where each  $t_j(x_1, \dots, x_n)$  is a term. For each  $1 \leq j \leq r$ , let  $t_j(x_1, \dots, x_n) = s_j x_1^{i_{j1}} \dots x_n^{i_{jn}}$ , where  $s_j$  is  $+$  or  $-$ ,  $i_{j1}, \dots, i_{jn} \geq 0$ . We shall construct a deterministic  $(\infty, 1)$ -reversal-bounded 2-counter machine  $M_P$  accepting the language  $L = \{a_1^{\alpha_1} \dots a_n^{\alpha_n} b^{\beta_1} \# b^{\beta_2} \# \dots \# b^{\beta_r} \mid \alpha_1, \dots, \alpha_n \geq 0, \beta_j = \alpha_1^{i_{j1}} \dots \alpha_n^{i_{jn}}, s_1 \beta_1 + \dots + s_r \beta_r = 0\}$ . The integers  $i_{11}, \dots, i_{1n}, \dots, i_{r1}, \dots, i_{rn}$  and signs  $s_1, \dots, s_r$  are stored in the states of  $M_P$ .  $M_P$  uses the technique described in Lemma 3.5 to check that  $\beta_j = \alpha_1^{i_{j1}} \dots \alpha_n^{i_{jn}}$  for  $j = 1, 2, \dots, r$  and then verifies that  $s_1 \beta_1 + \dots + s_r \beta_r = 0$ . (The last step needs only 1

<sup>8</sup>  $x^R$  is the reverse of string  $x$

reversal on the counter.) Clearly,  $M_P$  is  $(\infty, l)$ -reversal-bounded where  $l = \sum_{j=1}^r i_{j1} + \dots + i_{jn}$ , and  $T(M_P) \neq \emptyset$  if and only if  $P(x_1, \dots, x_n)$  has a solution in the nonnegative integers. The undecidability of the emptiness problem now follows from the undecidability of Hilbert's tenth problem [20]. The unsolvability of the other F-problems follow easily.  $\square$

We are unable to extend Theorem 3.4 to the class  $\bigcup_{n \geq 1} \text{DFCM}(1, \infty, n)$ . There does not seem to be a way to reduce Hilbert's tenth problem to the emptiness problem for this class. If, on the other hand, the emptiness problem is solvable, the proof would probably not involve semilinear sets since there are languages accepted by machines in  $\text{DFCM}(1, \infty, 1)$  (e.g.  $L = \{a^m b^{km} \mid k, m \geq 1\}$ ) whose Parikh maps are not semilinear.

The equivalence problem for the class  $\text{DFCM}(1, 0, \infty)$  is solvable [27]. However, the disjointness and containment problems are unsolvable. This follows from Lemma 3.3 and the fact that the class of languages defined by  $\text{DFCM}(1, 0, \infty)$  is closed under complementation.

#### 4. Unsolvable Problems Concerning Reversal Bounds

In this section we shall look at some questions concerning bounds on input reversals and counter reversals. We shall see that almost all problems are unsolvable.

**THEOREM 4.1.** *Each of the following problems is recursively unsolvable for arbitrary machine  $M$  in  $\text{DFCM}(2, 0, \infty)$ :*

- (a) *Is  $M$  in  $\text{DFCM}(2, 0, n)$  for some  $n$ ?*
- (b) *For a fixed  $n$ , is  $M$  in  $\text{DFCM}(2, 0, n)$ ?*

**PROOF.** Let  $M_1$  be an arbitrary machine in  $\text{DFCM}(2, 0, \infty)$  and  $d$  be a symbol not in the input alphabet of  $M_1$ . Construct a machine  $M_2$  in  $\text{DFCM}(2, 0, \infty)$  which when presented with an input string of the form  $d^i x$ ,  $i \geq 1$ , first scans the  $d^i$  segment and makes  $i$  reversals on each of the counters.  $M_2$  then simulates the computation of  $M_1$  on  $x$  and accepts  $d^i x$  if and only if  $M_1$  accepts  $x$ . If  $T(M_1) = \emptyset$  then  $T(M_2) = \emptyset$ , and by definition,  $M_2$  is in  $\text{DFCM}(2, 0, 0)$ . If  $T(M_1) \neq \emptyset$  then for each  $x$  in  $T(M_1)$ ,  $M_2$  accepts  $d^i x$ ,  $i = 1, 2, \dots$ . By construction,  $M_2$  makes at least  $i$  reversals on each counter in processing  $d^i x$ . It follows that  $M_2$  is in  $\text{DFCM}(2, 0, n)$  for some  $n$  if and only if  $M_2$  is in  $\text{DFCM}(2, 0, 0)$ , and if and only if  $T(M_1) = \emptyset$ . The result follows, since the emptiness problem is unsolvable for the class  $\text{DFCM}(2, 0, \infty)$  (Theorem 3.3).  $\square$

If  $M$  is in  $\text{NFCM}(1, 0, \infty)$ , we can decide if  $M$  is in  $\text{NFCM}(1, 0, n)$  for some  $n$ . Moreover, such an  $n$  can be found effectively, if it exists. This follows from the decidability of similar questions concerning finite-turn pushdown machines [11]. The situation is different for machines in  $\text{DFCM}(1, 1, \infty)$  as stated in the next theorem.

**THEOREM 4.2.** *Same as Theorem 4.1 with  $\text{DFCM}(2, 0, \infty)$  replaced by  $\text{DFCM}(1, 1, \infty)$ .*

**PROOF.** Same as in Theorem 4.1 plus the fact that the emptiness problem for the class  $\text{DFCM}(1, 1, \infty)$  is unsolvable (Theorem 3.3).  $\square$

For the class  $\text{DFCM}(1, \infty, \infty)$ , we have

**THEOREM 4.3.** *Each of the following problems is recursively unsolvable for arbitrary machine  $M$  in  $\text{DFCM}(1, \infty, \infty)$ :*

- (a) *Is  $M$  in  $\text{DFCM}(1, m, n)$  for some  $m$  and  $n$ ?*
- (b) *For fixed  $m$  and  $n$ , is  $M$  in  $\text{DFCM}(1, m, n)$ ?*

**PROOF.** The proof is similar to that of Theorem 4.1. If  $M_1$  is in  $\text{DFCM}(1, 1, \infty)$ , construct a machine  $M_2$  in  $\text{DFCM}(1, \infty, \infty)$  which when given an input of the form  $d^i x$  makes  $i$  reversals on the input and  $i$  reversals on the counter, and then  $M_2$  simulates  $M_1$ . The result follows from the unsolvability of the emptiness problem for the class  $\text{DFCM}(1, 1, \infty)$ .  $\square$

Another result is this:

**THEOREM 4.4.** *The following problems are unsolvable for  $M$  in  $\bigcup_{n \geq 1} \text{DFCM}(2, \infty, n)$ :*

- (a) Is  $M$  in  $DFCM(2, m, n)$  for some  $m$ ?  
 (b) For a fixed  $m$ , is  $M$  in  $DFCM(2, m, n)$ ?

PROOF. Same as in Theorem 4.3 using the fact that the emptiness problem for machines in  $\bigcup_{n \geq 1} DFCM(2, \infty, n)$  is unsolvable (Theorem 3.4).  $\square$

We conclude this section with a decidable property.

THEOREM 4.5. *Let  $m, n$  be nonnegative integers. It is decidable to determine for an arbitrary machine  $M$  in  $NFCM(k, \infty, \infty)$  whether there is an input (not necessarily accepted) that will cause  $M$  to make either more than  $m$  input reversals or more than  $n$  counter reversals. Moreover, we can decide if there is an infinite number of such inputs.*

PROOF. Construct a machine  $M'$  in  $NFCM(k, m, n)$  which on input  $\epsilon x \$$  simulates the computation of  $M$  on  $\epsilon x \$$ .  $M'$  accepts only those inputs that cause  $M$  to exceed either the input reversal bound or the counter reversal bound. The result follows since we can decide if  $T(M')$  is empty or infinite (Theorem 3.1).  $\square$

### 5. Reversal-Bounded Multicounter Machines Augmented by a Pushdown Store

Some of the results of Sections 2 and 3 remain valid for a more general class of multicounter machines. These are machines augmented by a pushdown store. We denote by  $NPCM(k, m, n)$  the class of nondeterministic  $(m, n)$ -reversal-bounded  $k$ -counter machines augmented by an unrestricted pushdown store.  $DPCM(k, m, n)$  will denote the deterministic class. Other notations, e.g.  $NPCM(k, m, \infty)$ ,  $NPCM(k, \infty, n)$ , etc., will also be used.

We begin with the following analogue of Theorem 2.1 for the class  $NPCM(k, 0, n)$ .

THEOREM 5.1. *Let  $M$  be in  $NPCM(k, 0, n)$  and  $T(M) \subseteq \{a_1, \dots, a_r\}^*$ . Then  $f^\alpha(T(M))$  is a semilinear set effectively computable from  $M$  ( $\alpha = \langle a_1, \dots, a_r \rangle$ ).*

PROOF. The proof of Theorem 2.1 holds when the machines  $M$  and  $M'$  are augmented by a pushdown store.  $\square$

We cannot improve Theorem 5.1 by allowing  $M$  to be in  $NPCM(k, m, n)$ ,  $m \geq 1$ . To see this, consider the language  $L = \{a^1 b^2 a^3 b^4 \dots a^{2k-1} b^{2k} | k \geq 1\}$ . Clearly,  $L$  can be accepted by a deterministic pushdown machine (without counters) that makes exactly one reversal on the input. But  $f_{(a,b)}(L) = \{(n^2, n^2 + n) | n \geq 1\}$ , which is not semilinear.

Since the emptiness and infiniteness problems for semilinear sets are decidable [10], we have

THEOREM 5.2. *The emptiness and infiniteness problems for the class  $NPCM(k, 0, n)$  are decidable.*

The following corollary is a generalization of Theorem 5 of [1].

COROLLARY 5.1. *Let  $M$  be in  $NPCM(k, \infty, n)$ . Then  $T(M)$  is a recursive set.*

PROOF. Let  $x$  be an input to  $M$ . We can effectively construct a machine  $M_x$  in  $NPCM(k, 0, n)$  such that  $T(M_x) \neq \emptyset$  if and only if  $M$  accepts  $x$ . To do this, we encode the string  $\epsilon x \$$  in the states of  $M_x$ . The actions of the input head of  $M$  on  $\epsilon x \$$  are simulated by  $M_x$  in its states.  $M_x$  enters an accepting state if and only if  $M$  accepts  $x$ . The result follows from Theorem 5.2.  $\square$

*Remarks.*

(1) We can construct for every Turing machine  $M_1$  a machine  $M_2$  in  $DPCM(0, 1, 0)$  which makes at most three reversals on the pushdown such that  $T(M_2) \neq \emptyset$  if and only if  $M_1$  halts on an initially blank tape (See, e.g., the proof of [1, Theorem 1].) It follows that Theorem 5.2 does not hold for machines in  $DPCM(0, 1, 0)$ , even if the machines are restricted to make at most three reversals on the pushdown.

(2) In [1] it is shown that if  $M_1$  is a Turing machine, we can effectively find deterministic one-way pushdown machines  $M_2$  and  $M_3$  with the property that the pushdown store reverses only once, and  $T(M_1) = g(T(M_2) \cap T(M_3))$  for some homomorphism  $g$ . Hence, the containment and disjointness problems for this class of machines are undecidable. However, it is shown in [26] that the equivalence problem is decidable. The status of the equivalence problem for the full class of one-way deterministic pushdown machines is still open.

Every machine in the class  $\text{NPCM}(k, 0, n)$  has the property that the counters are reversal-bounded and the pushdown store is unrestricted. Now consider the class of one-way machines with one unrestricted counter and one pushdown store which makes at most one reversal.<sup>9</sup> Let  $\text{NZ}(\text{DZ})$  denote the nondeterministic (deterministic) class. One might suspect that a result similar to Theorem 5.2 can be shown for the class  $\text{DZ}$ . However, we have the following negative result.

**THEOREM 5.3.** *Let  $M$  be a single-tape Turing machine. We can effectively construct a machine  $M'$  in  $\text{DZ}$  such that  $T(M) = g(T(M'))$  for some homomorphism  $g$ . Thus, the emptiness and infiniteness problems for the class  $\text{DZ}$  are unsolvable.*

**PROOF.** By Lemma 3.4, we can find for a given Turing machine  $M$  a machine  $M'$  in  $\text{DFCM}(1, 1, \infty)$  such that  $T(M) = g(T(M'))$  for some homomorphism  $g$ . The desired machine  $M''$  in  $\text{DZ}$  is constructed from  $M'$  as follows:  $M''$  simulates the computation of  $M'$  on a given input and at the same time copies the input on the pushdown store. When the input head of  $M'$  reverses,  $M''$  can continue the simulation using the pushdown store. Clearly,  $T(M) = g(T(M''))$ .  $\square$

**COROLLARY 5.2.** *The class of languages accepted by machines in  $\text{NZ}$  is precisely the class of recursively enumerable sets.*

**PROOF.** From the preceding theorem and the observation that  $\text{NZ}$  is closed under homomorphism.  $\square$

The class  $\text{DZ}$  contains only recursive sets as the following theorem shows. The result generalizes [1, Theorem 3].

**THEOREM 5.4.** *Let  $\text{DZP}(k, m, n)$  be the class of deterministic two-way  $k$ -pushdown store machines which operate in such a way that in every accepting computation the input head makes at most  $m$  reversals and each of the first  $k - 1$  stores makes at most  $n$  reversals. (Thus, one pushdown store is unrestricted.)  $\text{DZP}(k, m, n)$  is effectively closed under complementation. It follows that  $\text{DZP}(k, m, n)$  and, hence,  $\text{DZP}(k, \infty, n)$  define only recursive sets.*

**PROOF.** We modify the construction of  $M_2$  in the proof of Lemma 3.2. Cases (1)–(3) carry over with “any counter” replaced by “any of the first  $k - 1$  pushdown stores.” In case (4) the pushdown store may grow indefinitely or may be bounded in length but some pushdown configuration is repeated.  $M_2$  can detect this situation using the technique of [16, Lemma 12.1] for showing closure under complementation of the class of languages accepted by deterministic one-way pushdown machines.  $\square$

**COROLLARY 5.3.** *Let  $M_1$  be in  $\text{DPCM}(k, m, n)$ . We can effectively construct a machine  $M_2$  in  $\text{DPCM}(k, m, n)$  such that  $T(M_2) = \overline{T(M_1)}$ .*

To prove the next theorem, we need the following lemma.

**LEMMA 5.1.** *Let  $M_1$  be in  $\text{NPCM}(k_1, 0, n_1)$  and  $M_2$  be in  $\text{NFCM}(k_2, 0, n_2)$ . Then we can find  $M_3$  and  $M_4$  in  $\text{NPCM}(k_1 + k_2, 0, \max\{n_1, n_2\})$  such that  $T(M_3) = T(M_1) \cup T(M_2)$  and  $T(M_4) = T(M_1) \cap T(M_2)$ .*

**PROOF.** The proof is similar to that of Lemma 3.1. The construction of  $M_1 \otimes M_2$  in Section 2 still works for one-way machines even if one machine has a pushdown store.  $\square$

**THEOREM 5.5.** *The question, “Is  $T(M_1) \subseteq T(M_2)$ ?” is decidable for*

- (a)  $M_1$  in  $\text{NPCM}(k_1, 0, n_1)$  and  $M_2$  in  $\text{DFCM}(k_2, m_2, n_2)$ ,
- (b)  $M_1$  in  $\text{NFCM}(k_1, m_1, n_1)$  and  $M_2$  in  $\text{DPCM}(k_2, 0, n_2)$ .

**PROOF.**  $T(M_1) \subseteq T(M_2)$  if and only if  $T(M_1) \cap \overline{T(M_2)} = \emptyset$ . (a) follows from Lemma 3.2, Theorem 2.2, Lemma 5.1, and Theorem 5.2. (b) follows from Corollary 5.3, Lemmas 3.2 and 5.1, and Theorem 5.2.  $\square$

The next result is immediate from Theorem 5.5.

**COROLLARY 5.4.** *It is decidable to determine for arbitrary  $M_1$  in  $\text{DFCM}(k_1, m_1, n_1)$  and  $M_2$  in  $\text{DPCM}(k_2, 0, n_2)$  whether  $T(M_1) = T(M_2)$ .*

<sup>9</sup> We ignore the case when the pushdown store is also unrestricted or the case when there are two or more unrestricted counters. Such machines are as powerful as Turing machines [21]. (See also Theorem 3.3.)

The remainder of this section concerns machines in  $\text{NPCM}(k, m, n)$  that accept bounded languages.

**LEMMA 5.2.** *Let  $M$  be in  $\text{NPCM}(k, m, n)$  and  $T(M) \subseteq a_1^* \cdots a_r^*$ , where  $a_1, \dots, a_r$  are distinct symbols. Then  $f_\alpha(T(M))$  is a semilinear set effectively computable from  $M$  ( $\alpha = \langle a_1, \dots, a_r \rangle$ ).*

**PROOF.** We may assume that  $M$  is in normal form (see Lemma 2.1). It is sufficient to construct a machine  $M'$  in  $\text{NPCM}(r(m+1) + k, 0, n)$  such that  $T(M) = T(M')$ , by Theorem 5.1.

Let  $a_1^j \cdots a_r^j$  be an input to  $M$ . Since  $M$  is in normal form, each segment  $a_j^j$  is scanned exactly  $m+1$  times.  $M'$  will have  $r(m+1)$  counters in addition to the  $k$  counters that will simulate the counters of  $M$ .  $M'$  begins by reading the input and storing integer  $i_j$  in each of the counters in the  $j$ th set of  $m+1$  counters,  $j = 1, 2, \dots, r$ . Then  $M'$  simulates the computation of  $M$  on  $a_1^j \cdots a_r^j$  using the  $r(m+1)$  counters whose initial values are  $i_1, \dots, i_1, \dots, i_r, \dots, i_r$ . The  $j$ th set of  $m+1$  counters is used in simulating the computation of  $M$  on the segment  $a_j^j$ . A left-to-right (or right-to-left) scan of  $a_j^j$  by  $M$  is simulated by using one of the counters in the  $j$ th set of counters whose value is  $i_j$ . Another scan of  $a_j^j$  at a later time will use a different counter in the  $j$ th set. It is clear that  $T(M') = T(M)$ . Moreover,  $M'$  is deterministic if  $M$  is.  $\square$

**THEOREM 5.6.** *Let  $M$  be in  $\text{NPCM}(k, m, n)$  and  $T(M) \subseteq w_1^* \cdots w_r^*$ . Then  $f_\alpha(T(M))$  is a semilinear set effectively computable from  $M$  ( $\alpha = \langle w_1, \dots, w_r \rangle$ ).*

**PROOF.** Given  $M$  and  $w_1, \dots, w_r$ , we can construct another machine  $M'$  in  $\text{NPCM}(k, m, n)$  such that  $T(M') = \{a_1^j \cdots a_r^j \mid w_1^j \cdots w_r^j \text{ in } T(M)\}$ , where  $a_1, \dots, a_r$  are distinct symbols.  $M'$  need only code  $w_1, \dots, w_r$  in the states and simulate the computation of  $M$  on  $w_i$  in the states.  $\square$

**COROLLARY 5.5.** *The emptiness, infiniteness, disjointness, containment, and equivalence problems for machines in  $\text{NPCM}(k, m, n)$  which accept subsets of  $w_1^* \cdots w_r^*$  are decidable.*

**PROOF.** This follows from Theorem 5.6 and the fact that the said problems are decidable for semilinear sets [10]. For example, to determine if  $T(M_1) \subseteq T(M_2)$  we can find the semilinear sets  $Q_i$  such that  $Q_i = f_\alpha(T(M_i))$ ,  $\alpha = \langle w_1, \dots, w_n \rangle$ ,  $i = 1, 2$ . Then  $T(M_1) \subseteq T(M_2)$  if and only if  $Q_1 \subseteq Q_2$  which is decidable [10].  $\square$

## 6. Decidable Properties of Multitape Multicounter Machines

We apply the results of the previous sections to some decision problems concerning two-way multitape multicounter machines. These are machines with  $t$  (greater than or equal to 1) input tapes (with endmarkers), each with an independent two-way read head. Thus, the sets accepted by such machines are  $t$ -tuples of strings, i.e. relations. We omit the formal definitions. We shall use the notation  $\text{NMFCM}(k, m, n, t)$ ,  $\text{NMPCM}(k, m, n, t)$ , etc., to denote the multitape classes of machines. Thus, a machine in  $\text{NMFCM}(k, m, n, t)$  is a nondeterministic two-way  $t$ -tape  $k$ -counter machine which operates in such a way that in every accepting computation each input head makes at most  $m$  reversals and each counter makes at most  $n$  reversals. Machines in  $\text{NMPCM}(k, m, n, t)$  are provided with a single unrestricted pushdown store.

Multitape machines (without counters) have been studied in several places [3, 5, 6, 13, 23, 24]. Properties of the finite-state variety can be found in [3, 5, 6, 23, 24] while those of the pushdown type can be found in [13]. It is well known that the equivalence problem for nondeterministic one-way  $t$ -tape ( $t \geq 2$ ) (finite-state) machines without counters is undecidable [24] and so is the containment problem for the deterministic case [24]. The status of the equivalence problem for the deterministic case is still open, although the problem is known to be decidable for  $t \leq 2$  [3]. For the deterministic two-way varieties (without counters), one-input reversal is sufficient to make the emptiness problem unsolvable [23].

Here, we shall show that the emptiness, containment, and equivalence problems are decidable for some restricted classes of multitape machines.

We begin with the following lemma. The lemma is a generalization of a similar result for one-way multitape machines without counters [23].

**LEMMA 6.1.** *Let  $M_1$  be in  $NMPCM(k, 0, n, t)$  ( $NMFCM(k, 0, n, t)$ ) and  $1 \leq i \leq t$ . We can effectively construct a machine  $M_2$  in  $NPCM(k, 0, n)$  ( $NFCM(k, 0, n)$ ) such that  $T(M_2) = \{x_i \mid \text{for some } x_1, \dots, x_t \text{ in } \Sigma^*, (x_1, \dots, x_t) \text{ is in } T(M_1)\}$ .*

**PROOF.**  $M_2$  simulates the computation of  $M_1$  on  $(\epsilon x_1 \$, \dots, \epsilon x_t \$)$  by guessing the strings  $x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_t$ . We omit the details.  $\square$

Lemma 6.1 does not hold for two-way machines, as the following proposition shows.

**PROPOSITION 1.** *There is a machine  $M$  in  $DMFCM(0, 1, 0, 3)$ , i.e.  $M$  is a deterministic two-way 3-tape machine without counters which makes at most one reversal on each input tape such that the set  $L_1 = \{x_1 \mid (x_1, x_2, x_3) \text{ in } T(M)\}$  cannot be accepted by any machine in  $NPCM(k, m, n)$  for any  $k, m, n$ .*

**PROOF.** Consider the set  $W = \{a^i, x, x \mid x = a^1 b^2 a^3 b^4 \dots a^{2k-1} b^{2k}, i = 1 + 3 + \dots + 2k - 1, k \geq 1\}$ . Clearly,  $W$  can be accepted by a machine in  $DMFCM(0, 1, 0, 3)$ . Now  $L_1 = \{x_1 \mid (x_1, x_2, x_3) \text{ in } W\} = \{a^{n^2} \mid n \geq 1\}$ .  $L_1$  is not semilinear and, by Theorem 5.6,  $L_1$  cannot be accepted by any machine in  $NPCM(k, m, n)$ .  $\square$

**COROLLARY 6.1.** *There is a set  $Y$  accepted by a machine in  $DMFCM(0, 1, 0, 2)$  such that  $L_1 = \{x_1 \mid (x_1, x_2) \text{ in } Y\}$  cannot be accepted by any machine in  $NFCM(k, m, n)$  for any  $k, m, n$ .*

**PROOF.** Let  $Y = \{(x, x) \mid x = a^1 b^2 a^3 b^4 \dots a^{2k-1} b^{2k}, k \geq 1\}$ . Then  $f_{(a,b)}(L_1) = \{(n^2, n^2 + n) \mid n \geq 1\}$  is not semilinear. By Theorem 2.3,  $L_1$  cannot be accepted by any machine in  $NFCM(k, m, n)$ .  $\square$

**Remark.** Proposition 1 is not true for machines in  $DMFCM(0, 1, 0, 2)$ , for we can show that if  $M$  is in  $NMFCM(k, 1, n, 2)$  then the set  $L_1 = \{x_1 \mid (x_1, x_2) \text{ in } T(M)\}$  can be accepted by a machine  $M'$  in  $NPCM(k, 1, n)$ . Briefly,  $M'$  operates as follows: Given  $\epsilon x_1 \$$ ,  $M'$  simulates the computation of  $M$  on  $(\epsilon x_1 \$, \epsilon x_2 \$)$  by guessing the symbols of  $x_2$  and storing them in the pushdown store.  $M'$  uses the pushdown store when  $M$  reverses on the second tape.

From Lemma 6.1, we obtain the following theorem. Again, the proof technique has been used in [23].

**THEOREM 6.1.** *The emptiness and infiniteness problems for machines in  $NMPCM(k, 0, n, t)$  are decidable.*

**PROOF.** Let  $M$  be in  $NMPCM(k, 0, n, t)$ . For each  $1 \leq i \leq t$ , let  $L_i = \{x_i \mid (x_1, \dots, x_n) \text{ in } T(M)\}$ . By Lemma 6.1, we can effectively construct  $M_i$  in  $NPCM(k, 0, n)$  such that  $T(M_i) = L_i$ . Then  $T(M) = \emptyset$  if and only if  $T(M_1) = \emptyset$ .  $T(M)$  is infinite if and only if  $T(M_i)$  is infinite for some  $1 \leq i \leq t$ . The result follows since the emptiness and infiniteness problems for machines in  $NPCM(k, 0, n)$  are decidable.  $\square$

In contrast to Theorem 6.1, we have the following proposition, which was shown in [23].

**PROPOSITION 2.** *The emptiness and infiniteness problems are undecidable for machines in  $DMFCM(0, 1, 0, 2)$  (i.e. deterministic 2-tape machines without counters that make at most one reversal on each tape).*

**LEMMA 6.2.** *Let  $M_1$  be in  $NMFCM(k, m, n, t)$ . Suppose  $T(M_1) \subseteq \Sigma^* \times \times_{i=1}^t a_i^* \dots a_r^*$ ,<sup>10</sup> where  $\Sigma = \{a_1, \dots, a_r\}$  is the input alphabet of  $M_1$ . We can effectively construct for some  $k', m', n'$  a machine  $M_2$  in  $NFCM(k', m', n')$  such that  $T(M_2) = \{x_1 \# x_2 \# \dots \# x_t \mid (x_1, \dots, x_t) \text{ is in } T(M_1)\}$ . If  $M_1$  is deterministic then so is  $M_2$ . ( $\#$  is a new symbol not in  $\Sigma$ .)*

**PROOF.** We may assume that  $M_1$  is in normal form. (Extend the definition of normal form to multitape machines.) We describe the construction of  $M_2$  for the case  $t = 2$ .  $M_2$  will have  $r(m + 1)$  counters in addition to the  $k$  counters needed to simulate the  $k$  counters of  $M_1$ . Given an input  $\epsilon y \$$ ,  $M_2$  first checks that  $y$  is of the form  $x a_{i_1}^{i_1} \dots a_{i_r}^{i_r}$  for some  $x$  in  $\Sigma^*$  and  $i_1, \dots, i_r \geq 0$ . While checking,  $M_2$  stores integer  $i_j$  in each of

<sup>10</sup>  $\times_{i=1}^t a_i^* \dots a_r^*$  denotes the Cartesian product of  $a_i^* \dots a_r^*$   $t - 1$  times

the counters of the  $j$ th set of  $m + 1$  counters,  $j = 1, 2, \dots, r$ .  $M_2$  then moves its input head to the left endmarker and begins simulating the computation of  $M_1$  on  $(\epsilon x \$, \epsilon a_1^* \dots a_r^* \$)$ . Computation of  $M_1$  on the tape  $\epsilon a_1^* \dots a_r^* \$$  is simulated using the  $m + 1$  copies of  $i_1, \dots, i_r$  stored in the  $r(m + 1)$  counters. (See Lemma 5.2.)  $\square$

We note that Lemma 6.2 remains valid even if  $T(M_1) \subseteq \Sigma^* \times \times_{i=1}^{t-1} w_1^* \dots w_r^*$ , where  $w_1, \dots, w_r$  are strings in  $\Sigma^*$ . (See the proof of Theorem 5.6.) Thus from Theorems 3.1 and 3.2 we have the next result.

**THEOREM 6.2.** (a) *The emptiness, infiniteness, and disjointness problems are decidable for machines in NMFCM( $k, m, n, t$ ) satisfying the property that they only accept subsets of  $\Sigma^* \times \times_{i=1}^{t-1} w_1^* \dots w_r^*$ . (b) Moreover, the containment and equivalence problems are decidable for deterministic such machines.*

We now show that Theorem 6.2(b) does not hold for the nondeterministic case, even if no counters are allowed. In [24] it is shown that the equivalence problem for nondeterministic one-way  $t$ -tape finite-state machines is undecidable ( $t \geq 2$ ). However, the proof in [24] does not remain valid for the class of machines whose inputs come from  $\Sigma^* \times \times_{i=1}^{t-1} w_1^* \dots w_r^*$ . Our next result, which is rather surprising, shows that the universe problem is unsolvable even for a very restricted class of machines.

**THEOREM 6.3.** *Let  $\mathcal{T} = \{M \mid M \text{ is a nondeterministic one-way 2-tape finite-state machine such that } T(M) \subseteq \{0, 1\}^* \times 1^*\}$ . The universe, containment, and equivalence problems for the class  $\mathcal{T}$  are undecidable.*

**PROOF.** It is sufficient to show the undecidability of the universe problem. We shall show how we can reduce the halting problem for single-tape Turing machines to the universe problem for the class  $\mathcal{T}$ . Specifically, we shall show that if  $M$  is a Turing machine, we can construct a machine  $M'$  in  $\mathcal{T}$  such that  $T(M') = \{0, 1\}^* \times 1^*$  if and only if  $M$  does not halt on an initially blank tape.

Let  $M$  be a single-tape Turing machine and  $K$  be its set of states. Assume without loss of generality that  $M$ 's tape alphabet consists of  $0, 1, b$  (for blank). We may also assume that  $M$  never overwrites a symbol by a blank. Hence, any configuration of  $M$  can be written as  $bxqyb$ , where  $x, y$  are in  $\{0, 1\}^*$  and  $q$  in  $K$ . The initial configuration is  $bq_0b$ , where  $q_0$  is the initial state of  $M$ . We assume that  $q_0$  is not a halting state. We shall construct a 2-tape machine  $M'$  with input alphabet  $\Sigma = \{0, 1, b, \#\} \cup K$  ( $\#$  is a new symbol) such that  $T(M') = \Sigma^* \times 1^*$  if and only if  $M$  does not halt. By standard coding techniques, we can easily modify  $M'$  to a machine  $M''$  in  $\mathcal{T}$  satisfying  $T(M'') = \{0, 1\}^* \times 1^*$  if and only if  $M$  does not halt. First, we describe the construction of two machines,  $M_1$  and  $M_2$ .

Let  $R = \{(x, 1^r) \mid r \geq 0, x = \#ID_1\# \dots \#ID_k\# \text{ for some } k \geq 2 \text{ and configurations } ID_1, \dots, ID_k \text{ of } M, ID_1 \text{ is the initial configuration of } M, ID_k \text{ is a halting configuration of } M, \text{ and } r = |x|\}\}$ . Clearly, we can construct a 2-tape finite-state machine  $M_1$  such that  $T(M_1) = (\Sigma^* \times 1^*) - R$ .

Next, we construct a 2-tape finite-state machine  $M_2$  which accepts a 2-tuple of the form  $(x, 1^r)$  if (1)  $x = \#ID_1\# \dots \#ID_k\#$  for some  $k \geq 2$  and configurations  $ID_1, \dots, ID_k$  of  $M$ , (2)  $ID_1$  is the initial configuration of  $M$ , (3)  $ID_k$  is a halting configuration of  $M$ , and either (4)  $r \neq |x|$  or (5)  $r = |x|$  and for some  $i < k$ ,  $ID_{i+1}$  is not the proper successor of  $ID_i$ .  $M_2$  needs only its finite-state control to check (1), (2), and (3). Now  $M_2$  may guess that  $r \neq |x|$  and check the guess by reading across both tapes, thus verifying (4). Otherwise,  $M_2$  does the following ( $H_1$  and  $H_2$  denote the tape heads of  $x = \#ID_1\# \dots \#ID_i\#ID_{i+1}\# \dots \#ID_k\#$  and  $1^r$ , respectively):  $M_2$  moves  $H_1$  and  $H_2$  to the right simultaneously until  $H_1$  reaches the  $\#$  immediately to the left of some  $ID_i$ ,  $1 \leq i < k$ . Then  $M_2$  moves  $H_1$  some number  $s$  of squares to the right and guesses that an "error" occurs in positions  $s, s + 1$ , or  $s + 2$  of  $ID_i$  and  $ID_{i+1}$ .  $M_2$  uses its finite-state control to remember these symbols of  $ID_i$  as it moves  $H_1$  and  $H_2$  to the right, stopping when  $H_1$  is at the next  $\#$ . Now,  $M_2$  moves  $H_1$  and  $H_2$  to the right, moving  $H_2$  two places for each move of  $H_1$ . At some point,  $M_2$  guesses that the number  $i$  of squares crossed



by  $H_1$  is  $s$  and checks the symbols at positions  $t, t+1, t+2$  on tape 1 to see if they are appropriate for the successor of  $ID_i$  if  $t = s$ . Then  $M_2$  moves  $H_1$  and  $H_2$  to the right at the same speed. If  $H_1$  and  $H_2$  reach the right end of their respective tapes at the same time, either  $r \neq |x|$  or  $r = |x|$  and  $t = s$ . Therefore, if  $H_1$  and  $H_2$  reach the end of their tapes at the same time and the  $t, t+1, t+2$  symbols were not appropriate,  $M_2$  accepts.

Now  $T(M_1) \cup T(M_2) = \Sigma^* \times 1^*$  if and only if  $R \subseteq T(M_2)$ . But from the construction of  $M_2$ ,  $R \subseteq T(M_2)$  if and only if  $M$  does not halt. The desired machine  $M'$  is now constructed from  $M_1$  and  $M_2$  so that  $T(M') = T(M_1) \cup T(M_2)$ .  $\square$

*Remark.* Theorem 6.3 holds even if the machines have no endmarkers. In the proof the machines can simply guess the ends of the tapes.

In view of Theorem 6.3 the following result is the best possible.

**THEOREM 6.4.** *The emptiness, infiniteness, disjointness, containment, and equivalence problems are decidable for machines in  $NMPCM(k, m, n, t)$  with the property that they only accept subsets of  $\times_{i=1}^t w_1^* \cdots w_r^*$ .*

*PROOF.* The proof is similar to that of Theorem 6.2, this time using Corollary 5.5.  $\square$

## 7. Conclusions

We have shown that the F-problems (i.e. emptiness, infiniteness, disjointness, containment, universe, and equivalence problems) are decidable for the class of deterministic two-way multicounter machines with reversal-bounded input and counters. This result is the best possible in that dropping the bounded-reversal restriction on the input or counters makes all the F-problems undecidable. We have also investigated the boundary points between decidability and undecidability of various decision questions for several related classes of machines, in some instances improving previously known results. Among the interesting questions that remain unresolved are the following:

- (a) Which of the F-problems are decidable for the class  $\bigcup_{n \geq 1} DFCM(1, \infty, n)$ ?
- (b) Are the emptiness, infiniteness, and disjointness problems decidable for the class  $\bigcup_{n \geq 1} NFCM(1, \infty, n)$ ? Note that the universe problem is already undecidable for the class  $NFCM(1, 0, 1)$ .
- (c) By Theorem 3.4, the F-problems are unsolvable for machines in  $\bigcup_{n \geq 1} DFCM(2, \infty, n)$  accepting only bounding languages (i.e. subsets of  $a_1^* \cdots a_r^*$  for some  $r \geq 1$  and distinct symbols  $a_1, \dots, a_r$ ). In a forthcoming paper, we shall show that the F-problems are decidable for machines in the class  $\bigcup_{k, n \geq 1} DFCM(k, \infty, n)$  whose input alphabet consists only of one letter. Does this latter result generalize to the nondeterministic case?
- (d) Is the equivalence problem for the class of deterministic one-way  $t$ -tape finite-state machines decidable? The case  $t = 1$  is trivial, and the case  $t = 2$  has already been shown decidable [3].
- (e) Is the equivalence problem for the class of deterministic one-way pushdown machines decidable? [26] contains a proof that for reversal-bounded pushdown machines, the problem is decidable.

**ACKNOWLEDGMENTS.** In an earlier version of this paper,  $M_2$  of Theorem 6.3 was a 3-tape machine with  $T(M_2) \subseteq \Sigma^* \times 1^* \times 1^*$ , and the theorem was only proved for 3-tape machines. I am grateful to one of the referees for pointing out a modification of our earlier construction of  $M_2$  leading to the 2-tape machine described here.

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RECEIVED SEPTEMBER 1976, REVISED MARCH 1977