On counting functions and slenderness of languages^{☆,☆☆}

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Abstract

We study counting-regular languages — these are languages L for which there is a regular language L' such that the number of strings of length n in L and L' are the same for all n. We show that the languages accepted by unambiguous nondeterministic Turing machines with a one-way read-only input tape and a reversal-bounded worktape are counting-regular. Many one-way acceptors are a special case of this model, such as reversal-bounded deterministic pushdown automata, reversal-bounded deterministic queue automata, and many others, and therefore all languages accepted by these models are counting-regular. This result is the best possible in the sense that the claim does not hold for either 2-ambiguous NPDA's, unambiguous NPDA's with no reversal-bound, and other models.

We also study closure properties of counting-regular languages, and we study decidability problems in regards to counting-regularity. For example, it is shown that the counting-regularity of even some restricted subclasses of NPDA's is undecidable. Lastly, k-slender languages — where there are at most k words of any length — are also studied. Amongst other results, it is shown that it is decidable whether a language in any semilinear full trio is k-slender.

Keywords: counting functions, finite automata, full trios, context-free languages

1. Introduction

This work is concerned with the counting function $f_L(n)$ of a language L, equal to the number of strings of length n in L. We say that a language L is counting-regular if there is a regular language L' such that $f_L(n) = f_{L'}(n)$. If we can effectively find a deterministic finite automaton (DFA) for L' from a representation of L using standard techniques, then we can efficiently compute $f_L(n)$ even if membership in L can be difficult to answer. We say that L is strongly counting-regular if for any regular language $L_1, L \cap L_1$ is counting-regular. Being strongly counting-regular will allow us to calculate not only the number of strings of length n in the language, but also the number of strings of length n in L that satisfy additional conditions, such as strings in which some symbol occurs an odd number of times, or strings that avoid some substring etc. A language family L is said to be (strongly) counting-regular if all $L \in L$ are (strongly) counting regular.

 $^{^{\}hat{\pi}}$ A portion of this paper (in preliminary form) where the main result (Theorem 6) was only shown for unambiguous reversal-bounded pushdown automata, and with most proofs missing has appeared in the Proceedings of DLT 2018.

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As a simple motivating example, consider the language $L = \{x \# y \mid x, y \in \{a, b\}^*, |x|_a = |y|_b\}$. The number of strings of length n in L is 2^{n-1} (for $n \ge 1$) which follows after a little bit of work from the series sum $\sum_{i=0}^{n-1} \sum_{k=0}^{i} {i \choose k} {n-i-1 \choose k}$ for $f_L(n)$. (This result can also be established by exhibiting a bijective mapping between the set of strings of length n in L and the set of binary strings of length n-1.) Therefore this language is a counting-regular language while a simpler looking, and closely related language $L_{eq} = \{x \mid x \in \{a,b\}^*, |x_a| = |x_b|\}$ is not counting-regular. Is there an 'explanation' for the disparate behaviors of these two languages? In [1], it was determined that all languages accepted by one-way deterministic counter machines where the counter is reversal-bounded, are counting-regular. Indeed, L above is in this family. Of interest is the problem of determining other language families that are (strongly) counting-regular. It can be observed that there are arbitrarily complex languages (e.g., languages that are not recursively enumerable) that are counting-regular. Therefore, to get some insight into counting-regularity, we should restrict the class of languages, such as to the class of context-free languages CFL's and some subclasses of CFL's.

Slender languages (those that are k-slender, for some k, i.e. $f_L(n) \leq k$ for all n) were studied in [2, 3] in order to better understand the structure of slender context-free languages (CFL's) as well as to present decision algorithms for problems such as equivalence and containment when CFL's are restricted to slender languages. Similar results were shown for the more general class of languages generated by matrix grammars [4]. It is natural to determine larger families of slender languages for which equivalence, containment etc. are decidable.

We briefly state some of the motivations for the study presented in this paper. The counting functions of regular languages are well understood [5] and there are very efficient algorithms to compute $f_L(n)$ when L is regular. But for other classes, such algorithms are not known although there are a few exceptions such as linearly constrained languages [6]. Hence, as outlined above, a strongly counting-regular language admits an efficient algorithm for counting the number of strings of length n in it. In some applications, we are not necessarily interested in computing exactly the number of strings of length n for a specific n, but in asymptotic growth rate of $f_L(n)$ as $n \to \infty$. In the works [7, 8, 9, 1] etc., the issue of how well a non-regular language L can be approximated by a DFA M asymptotically was studied. The asymptotic approximation bound is the density of the language $L(M) \oplus L$, and so if L is strongly counting-regular, we can compute the approximation bound. Another area of application is the static analysis of programs that involves computing the execution information rate [10]. The information rate can be measured by modeling a control-flow of a program using a DFA or a DFA augmented with counters. Since the information rate of a regular language is efficiently computable, it is also efficiently computable for languages that are (effectively) counting-regular.

A main result shown here is that the languages accepted by unambiguous nondeterministic Turing machines (NTMs) with a one-way read-only input and a reversal-bounded worktape are strongly counting-regular. Many different families of languages accepted by one-way unambiguous and nondeterministic (and one-way deterministic) machine models are contained in this family, and are therefore counting-regular.

Counting-regularity is a special case of the notion of a language being commutatively equivalent to a regular language, which requires not only a length preserving bijection to the regular language, but a bijection that preserves the Parikh map. It has been shown that every bounded semilinear language is commutatively equivalent to a regular language (proof split across three papers [11, 12, 13]). Here, we provide an alternate significantly shorter proof that they are all counting-regular. This is then used to show that in every semilinear trio (a family closed under ϵ -free homomorphism, inverse homomorphism, intersection with regular languages, and every language has the same Parikh map as some regular language), all bounded languages are counting-regular. More generally, the counting functions of the bounded languages in every semilinear trio are exactly the same, no matter the family. There are many families for which this holds in the literature, such as Turing machines with a one-way read-only input tape plus a finite-crossing worktape [14], and one-way machines with k pushdown stacks where the machines can write to any of the stacks but only pop from the first non-empty pushdown [15], uncontrolled finite-index indexed grammars [16], amongst many others discussed in [17, 14].

The rest of the paper is organized as follows: in Section 2, basic definitions, terminology and notation are presented. In Section 3, the languages accepted by the Turing machine model above are shown to be strongly counting-regular. We also present some specific language families that are not all counting-regular.

Next, in Section 4, we consider bounded languages and show that all bounded languages in any semilinear trio are counting-regular. In Section 5, closure properties of the class of counting-regular languages (and context-free counting-regular languages) are studied. Then, in Section 6, we present some undecidability results regarding counting-regular languages. The main result is that it is undecidable, given a machine of any of the following types: (i) 2-ambiguous NPDA's which make only one reversal on the stack, (ii) nondeterministic one-counter machines that make only one reversal on the counter, and (iii) 2-ambiguous nondeterministic one counter machines, whether the language accepted is counting-regular. Then, in Sections 7 and 8, decidability properties are discussed for slender languages. It is shown that for any semilinear full trio (where certain closure properties are constructive) \mathcal{L} , it is decidable whether a language $L \in \mathcal{L}$ is k-slender, and containment and equality of two k-slender languages in \mathcal{L} are decidable. It is also shown that in every such family, every k-slender language in \mathcal{L} is counting-regular.

2. Basic Terminology and Notation

We assume an introductory knowledge in formal language and automata theory [18], including the definitions of finite automata, context-free languages, Turing machines etc. Next, some notations are given. An alphabet is a finite set of symbols. A word w over Σ is any finite sequence of symbols from Σ . Given an alphabet Σ , then Σ^* is the set of all words over Σ , including the empty word ϵ , and Σ^+ is the set of non-empty words over Σ . A language L is any subset of Σ^* . The complement of a language $L \subseteq \Sigma^*$ with respect to Σ is $\overline{L} = \Sigma^* - L$. Given a word $w \in \Sigma^*$, w^R is the reverse of w, w[i] is the i'th character of w, and |w| is the length of w. Given an alphabet $\Sigma = \{a_1, \ldots, a_m\}$ and $a \in \Sigma$, $|w|_a$ is the number of a's in w. The Parikh map of w is $\psi(w) = (|w|_{a_1}, \ldots, |w|_{a_m})$, which is extended to the Parikh map of a language L, $\psi(L) = \{\psi(w) \mid w \in L\}$. Also, $\text{alph}(w) = \{a \in \Sigma \mid |w|_a > 0\}$. Given languages L_1, L_2 , the left quotient of L_2 by $L_1, L_1^{-1}L_2 = \{y \mid xy \in L_1, x \in L_1\}$, and the right quotient of L_1 by L_2 is $L_1L_2^{-1} = \{x \mid xy \in L_1, y \in L_2\}$.

For a language L, let $f_L(n)$ be the number of strings of length n in L. A language L is called counting-regular if there exists a regular language L' such that for all integers $n \geq 0$, $f_L(n) = f_{L'}(n)$. Furthermore, L is called strongly counting-regular if, for any regular language L_1 , $L \cap L_1$ is counting-regular. Let $k \geq 1$. A language L is k-slender if $f_L(n) \leq k$ for all n, and L is thin if is 1-slender. Furthermore, L is slender if it is k-slender for some k.

A language $L \subseteq \Sigma^*$ is bounded if there exist (not necessarily distinct) words $w_1, \ldots, w_k \in \Sigma^+$ such that $L \subseteq w_1^* \cdots w_k^*$; it is also letter-bounded if each of w_1, \ldots, w_k are letters.

Let \mathbb{N} be the set of positive integers and $\mathbb{N}_0 = \mathbb{N} \cup \{0\}$. A linear set is a set $Q \subseteq \mathbb{N}_0^m$ if there exist $\vec{v_0}, \vec{v_1}, \dots, \vec{v_n}$ such that $Q = \{\vec{v_0} + i_1\vec{v_1} + \dots + i_n\vec{v_n} \mid i_1, \dots, i_n \in \mathbb{N}_0\}$. The vector $\vec{v_0}$ is called the constant, and $\vec{v_1}, \dots, \vec{v_n}$ are called the periods. We also say that Q is the linear set generated by constant $\vec{v_0}$ and periods $\vec{v_1}, \dots, \vec{v_n}$. A linear set is called simple if the periods form a basis. A semilinear set is a finite union of linear sets. And, a semilinear set is semi-simple if it is the finite disjoint union of simple sets [19, 20].

A language $L \subseteq \Sigma^*$ is semilinear if $\psi(L)$ is a semilinear set. Equivalently, a language L is semilinear if and only if there is a regular language L' with the same Parikh map (they have the same commutative closure) [21]. The length set of a language L is the set $\{n \mid w \in L, n = |w|\}$. A language L is length-semilinear if the length set is a semilinear set; i.e. after mapping all letters of L onto one letter, the Parikh map is semilinear, which is equivalent to it being regular.

A language $L \subseteq \Sigma^+$ is a code if $x_1 \cdots x_n = y_1 \cdots y_m, x_i, y_j \in L$, implies n = m and $x_i = y_j$, for $i, 1 \le i \le n$. Also, L is a prefix code if $L \cap L\Sigma^+ = \emptyset$, and a suffix code if $L \cap \Sigma^+ L = \emptyset$. See [22] for background on coding theory.

A language family \mathcal{L} is said to be *semilinear* if all $L \in \mathcal{L}$ are semilinear. It is said that language family \mathcal{L} is a *trio* if \mathcal{L} is closed under inverse homomorphism, ϵ -free homomorphism, and intersection with regular languages. In addition, \mathcal{L} is a full trio if it is a trio closed under homomorphism; and a full AFL is a full trio closed under union, concatenation, and Kleene-*. Many well-known families form trios, such as each family of the Chomsky hierarchy [18]. The theory of these types of families is explored in [23]. When discussing a language family that has certain properties, such as a semilinear trio, we say that the family has *all* properties effective if all these properties provide effective constructions. For semilinearity, this means that

there is an effective construction to construct the constant and periods from each linear set making up the semilinear set.

A pushdown automaton M is t-reversal-bounded if M makes at most t changes between non-decreasing and non-increasing the size of its pushdown on every input, and it is reversal-bounded if it is t-reversal-bounded for some t. A pushdown automaton M is unambiguous if, for all $w \in \Sigma^*$, there is at most one accepting computation of w by M. More generally, M is k-ambiguous if there are at most k accepting computations of w.

Let DPDA (NPDA) denote the class of deterministic (nondeterministic) pushdown automata (and languages). We also use CFL = NPDA, the family of context-free languages.

We make use of one particular family of languages, which we will only describe intuitively (see [24] for formal details). Consider a nondeterministic machine with a one-way input and k pushdowns, where each pushdown only has a single symbol plus a bottom-of-stack marker. Essentially, each pushdown operates like a counter, where each counter contains some non-negative integer, and machines can add or subtract one, and test for emptiness or non-emptiness of each counter. When k=1, we call these nondeterministic (and deterministic) one counter machines. Although such a machine with two counters has the same power as a Turing machine [18], if the counters are restricted, then the machine can have positive decidability properties. Let NCM(k,t) be the family of k counter machines where the counters are t-reversal-bounded counters, and let DCM(k,t) be the deterministic subset of these machines. Also, let NCM be $\bigcup_{k,t\geq 1} NCM(k,t)$ and DCM be $\bigcup_{k,t\geq 1} DCM(k,t)$. The class of NPDA's or DPDA's augmented with reversal-bounded counter machines is denoted by NPCM or DPCM [24]. It is known that NCM has a decidable emptiness problem, and DCM also has a decidable containment problem, with both being closed under intersection [24]. Furthermore, NCM and NPCM are semilinear trios.

3. Counting-Regular Languages

Obviously every regular language is counting-regular, and so are many non-regular languages. For example, $L_{Sq} = \{ww \mid w \in \{a,b\}^*\}$ is counting-regular since $L' = (a(a+b))^*$ has the same number of strings of length n as L_{Sq} for all n. It is a simple exercise to show that L_{Sq} is actually strongly counting-regular. It is also easy to exhibit languages that are not counting-regular, e.g., $L_{bal} = \{w \mid w \text{ is a balanced parentheses string}\}$ over alphabet $\{[,]\}$. The reason is that there is no regular language L such that the number of strings of length 2n in L is the n'th Catalan number, as will be seen from the characterization theorem stated below.

Our goal in this section is to explore general families of languages that are counting-regular. We briefly note the following:

Theorem 1. Any family \mathcal{L} that contains some non-length-semilinear language L is not counting regular.

PROOF. Given such an L, then examine the set of all n with $f_L(n) > 0$. But, as every regular language R is length-semilinear, the set of all n with $f_R(n) > 0$ must be different.

Thus, it is immediate that e.g. any family that contains some non-semilinear unary language must not be counting-regular. This includes such families as those accepted by checking stack automata [25], and many others. Of interest are exactly what families of length-semilinear languages are counting-regular. We will investigate these questions here.

The following result is due to Berstel [5].

Theorem 2. Let L be a regular language and let $f_L(n)$ denote the number of strings of length n. Then, one of the following holds:

- (i) $f_L(n)$ is bounded by a constant c.
- (ii) There is an integer k > 0 and a rational c > 0 such that $\limsup_{n \to \infty} \frac{f_L(n)}{n^k} = c$.

(iii) There exists an integer $k \geq 0$ and an algebraic number α such that $\limsup_{n \to \infty} \frac{f_L(n)}{\sigma^n n^k} = c$ (where $c \neq 0$ is rational).

We also need the following theorem due to Soittola [26]. We begin with the following definitions. A sequence $s = \{s_n\}$, $n \ge 0$, is said to be the *merge* of the sequences $\{s^{(0)}\}, \ldots, \{s^{(p-1)}\}$, where p is a positive integer, if $s_n^{(i)} = s_{i+np}$ for $0 \le i \le p-1$. A sequence $\{s_n\}$ is said to be *regular* if there exists a regular language L such that $f_L(n) = s_n$ for all n.

Next we define a \mathbb{Z} -rational sequence as follows: A sequence $\{s_n\}$, $n \geq 0$, is \mathbb{Z} -rational if there is a matrix M of order $d \times d$, a row vector u of order $1 \times d$, and a column vector v of order $d \times 1$ such that $s_n = u \ M^n v$. All the entries in M, u and v are over \mathbb{Z} . A \mathbb{Z} -rational sequence is said to have a dominating pole if its generating function $s(z) = \sum_{n=0}^{\infty} s_n z^n$ can be written as a rational function s(z) = p(z)/q(z) where p and q are relatively prime polynomials, and q has a simple root r such that r' > r for any other root r'.

Soittola's theorem [26] can be stated as follows.

Theorem 3. A \mathbb{Z} -rational sequence with non-negative terms is regular if and only if it is the merge of \mathbb{Z} -rational sequences with a dominating pole.

We will also need the following theorem due to Béal and Perrin [27].

Theorem 4. A sequence s is the generating sequence of a regular language over a k-letter alphabet if and only if both the sequences $s = \{s_n\}$, $n \ge 0$ and $t = \{(k^n - s_n)\}$, $n \ge 0$ are regular.

We now show the main result of this section. This result can be viewed as a strengthening of a result of Baron and Kuich [28] that L(M) has a rational generating function if M is an unambiguous finite-turn NPDA.

Here, an NTM is considered to have a one-way read-only input tape, plus a two-way read/write worktape that uses blank symbol $_{\sqcup}$. Such a machine is said to be *reversal-bounded* if there is a bound on the number of changes in direction between moving left and right and vice versa on the worktape. An NTM is said to be in *normal form* if, whenever the worktape head moves left or right (or at the beginning of a computation) to a cell c, then the next transition can change the worktape letter in cell c, but then the cell does not change again until after the worktape head moves. This essentially means that if there is a sequence of 'stay' transitions (that do not move the read/write head) followed by a transition that moves, then only the first such transition can change the tape contents.

Lemma 5. Given an unambiguous reversal-bounded NTM M, there exists an unambiguous reversal-bounded NTM M' in normal form such that L(M) = L(M').

PROOF. Given M, an NTM M' is constructed as follows: After a transition that moves the read/write head (or at the first move of the computation), instead of simulating a 'stay' transition directly, M' guesses the final value to be written on the cell before the head moves, and writes it during the first 'stay' transition. M' then continues to simulate the sequence of stay transitions without changing the value in the cell but remembering it in the finite control. Then, during the last transition of this sequence that moves the tape head, M' verifies that it guessed the final value correctly. Certainly, M' is reversal-bounded if and only if M is reversal-bounded. Further, as M is unambiguous, there is only one computation that is accepting on every word in L(M). And, in M' therefore, there can only be one value guessed on each sequence of 'stay' transitions that leads to acceptance. Thus, M' is unambiguous.

It will be shown that every such NTM is counting regular.

Theorem 6. Let M be an unambiguous reversal-bounded NTM over a k letter alphabet. Then L(M) is strongly counting regular, where the regular language is over a k+1 letter alphabet.

PROOF. First we note that it is enough to show that L(M) is counting regular, as the class of languages accepted by unambiguous reversal-bounded NTMs are closed under intersection with regular languages.

Let $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$ be an unambiguous NTM that is t-reversal-bounded such that M is in normal form. Also, assume without loss of generality that t is odd.

Intuitively, the construction resembles the construction that the store languages (the language of all contents of the worktape that can appear in an accepting computation) of every such NTM is a regular language [29]. A 2NFA M' is constructed that has t+1 "tracks", and it uses the first track for simulating M before the first reversal, the second track for simulation of M between the first and the second reversal, etc. Thus the input to M' is the set of strings in which the first track contains an input string x of M, and the other tracks are annotated with the contents of the read-write tape during moves of M between successive reversals. Formal details are given below.

A 2NFA $M' = (Q', \Sigma', \delta', q'_0, F')$ is constructed as follows: Let $C = [(Q \times (\Sigma \cup \{\epsilon\}) \times Q \times \Gamma) \cup \{\sqcup\}]^{t+1}$ (the t+1 tracks; each track is either a blank, or some tuple in $Q \times (\Sigma \cup \{\epsilon\}) \times Q \times \Gamma$). Also, let C_i have t+1 tracks where the i'th track, for $1 \le i \le t+1$, contains an element from $Q \times (\Sigma \cup \{\epsilon\}) \times Q \times \Gamma$, and all other tracks contain new symbol #. Let $\Sigma' = C \cup C_1 \cup \cdots \cup C_{t+1}$. To simulate moves between the (i-1)st reversal and the i'th reversal, M' will examine track i of a letter of C to simulate the first transition after the tape head moves to a different cell (or at the first step of a computation), and track i of letters of C_i to simulate any stay transitions that occur before the tape head moves again, followed by the transition that moves.

Let $X = C_{t+1}^* \cdots C_4^* C_2^* C C_1^* C_3^* \cdots C_t^*$. Let h_i be a homomorphism that maps each string in $(\Sigma')^*$ to the i'th track for symbols in $C \cup C_i$, and erases all symbols of C_j , $j \neq i$. Also, $\bar{h_i}$ is a homomorphism that maps each string in $(\Sigma')^*$ to the i'th track if it is not \Box , and ϵ otherwise, for symbols in $C \cup C_i$, and erases all symbols of C_j , $j \neq i$. Then M' does the following:

- 1. M' verifies that the input w is in X^* , and that no letter of $[\square]^{t+1}$ is used in w.
- 2. M' verifies that for each $i, h_i(w) \in {}_{\sqcup}^*(Q \times (\Sigma \cup \{\epsilon\}) \times Q \times \Gamma)^*{}_{\sqcup}^*$, so blanks can only occur at the ends.
- 3. M' verifies that w represents an accepting computation of M as follows: M' goes to the first symbol of C with a non-blank in the first track. Say $\bar{h_1}(w) = (p_1, a_1, p'_1, d_1) \cdots (p_m, a_m, p'_m, d_m), m \geq 1$. For j from 1 to m, we say that j is from C if (p_j, a_j, p'_j, d_j) is from a symbol of C and not C_1 , and we say j is from C_1 otherwise. It verifies from left-to-right on w that for each j from 1 to m, there is a transition of M that switches from p_j to p'_j while reading $a_j \in \Sigma \cup \{\epsilon\}$ as input on worktape letter \sqcup if j is in C, and d_{j-1} otherwise, replacing it with d_j , that:
 - moves right on the worktape, if j < m and j + 1 is from C,
 - 'stay's on the worktape, if j < m and j + 1 is from C_1 ,
 - moves left on the worktape, if j = m.

M' also verifies that $p_1=q_0$, and that for each $1 \leq j < m$, $p'_j=p_{j+1}$, At the symbol of w where (p_m,a_m,p'_m,d_m) occurs (at a point of reversal), M' verifies that all symbols of C to the right in track 1 and track 2 are blanks as well as there being no symbols from $C_1 \cup C_2$. Then M' returns to the point of reversal, remembers p'_m in the finite control, and then returns to the rightmost symbol of C in $h_1(w)$. From this symbol to the right, all values in C have blanks in the second track. It is verified that the second track has a non-blank and p'_m for the first state. Then on $\bar{h_2}(w)$ from right-to-left (using symbols of C_2 instead of C_1 that occur to the left, read after a symbol from C), M' continues the simulation in a similar fashion until the second reversal, but M' instead verifies that for all symbols of C read, it represents a transition that rewrites the worktape symbol on the first track with the worktape symbol on the second track. Since M only changes values in tape cells the first move after arriving at a cell (corresponding to letters of C), after each reversal, M' can "lookup the value in the cell" by using the previous track. M' continues this process until up to after reversal t. Then M' accepts if this process results in a final state.

It is evident that w describes both the tape contents and also encodes the input for every accepting computation. Since each $x \in L(M)$ has exactly one accepting computation, and by the ordering of X, there

must be a unique $w \in L(M')$ with x as the input word. Call this unique word w, $\operatorname{code}(x)$. Furthermore, consider homomorphisms g_1, \ldots, g_{t+1} such that g_i maps each symbol of $C \cup C_i$ to the letter of $\Sigma \cup \{\epsilon\}$ in track i, and erases all other symbols. Given every word $w \in L(M')$, the word $g_1(w)g_2(w)^R \cdots g_t(w)g_{t+1}(w)^R$ gives this word x. Hence, there is a bijection between $x \in L(M)$ and $\operatorname{code}(x) \in L(M')$.

However, the length of $\operatorname{code}(x)$ can be different than that of x. Every letter in $C_1 \cup \cdots \cup C_{t+1}$ encodes either one or zero letters of Σ (depending on whether it contains a letter from Σ or ϵ). For every letter of C, it encodes between 0 and t+1 letters of Σ (depending on the number of tracks encoding letters or ϵ). Let h be a homomorphism from $(\Sigma')^*$ to $(\Sigma' \cup \{\$\})^*$ (where \$ is a new symbol) that acts as follows: h fixes all letters of $C_1 \cup \cdots \cup C_{t+1}$ that have letters of Σ , and erases those in ϵ ; it erases all symbols of C where every non-blank track encodes a transition on ϵ (call these letters C_{ϵ}), and it maps all other letters of C (call the set of these \overline{C}) $\underline{c} \in \overline{C}$ to $c\$^{l-1}$, where c has l tracks encoding transitions on Σ ($l \ge 1$, otherwise c would be in C_{ϵ}). Define $\overline{\operatorname{code}}(x) = h(\operatorname{code}(x))$.

To see that there is a bijection from $\operatorname{\mathsf{code}}(x)$ to $\overline{\operatorname{\mathsf{code}}}(x)$, first notice that h is a function. In the other direction, the number of \$'s is determined by the preceding letter of C; and even though letters of Σ' are erased, as $\overline{\operatorname{\mathsf{code}}}(x)$ still encodes all letters of Σ read (i.e. $x = g_1(\overline{\operatorname{\mathsf{code}}}(x))g_2(\overline{\operatorname{\mathsf{code}}}(x))^R \cdots g_{t+1}(\overline{\operatorname{\mathsf{code}}}(x))^R$, where each g_i is extended to erase \$), and therefore this coding is still unique.

In addition, let $R = \{\overline{\mathsf{code}}(x) \mid x \in L(M)\}$ which is regular since languages accepted by 2NFAs are regular, and regular languages are closed under homomorphism. Lastly, for each $x \in L(M)$, $|\overline{\mathsf{code}}(x)| = |x|$ by the reasoning above.

Surprisingly, we can reduce the size of the alphabet to s+1. More precisely, let M be an unambiguous NTM as above over Σ with $s=|\Sigma|$. We will show that there is a regular language L_1 over an alphabet of size s+1 such that $f_{L(M)}(n)=f_{L_1}(n)$ for all n. As we have shown above, there is a regular language $L(M_1)$ such that $f_{L(M_1)}(n)=f_{L(M)}(n)$ for all n. Thus, by Theorem 3, the generating function a(z) associated with the sequence $(f_{L(M)}(n)), n \geq 0$, is a rational function p(z)/q(z) that satisfies the conditions of Theorem 3. Let b_n be defined as $b_n=(k+1)^n-a_n$. The generating function b(z) for the sequence $(b_n), n \geq 0$, is $\frac{p(z)}{(1-(k+1)z)}$ q(z). This is a rational function with dominating pole $\frac{1}{k+1}$ and hence it satisfies Theorem 3. Since both (a_n) and $(b_n)=((k+1)^n-a_n)$ are regular sequences, by Theorem 4, there is a regular language L_1 over a k+1 letter alphabet such that $f_{L_1}(n)=f_{L(M)}(n)$ for all n.

We conjecture that the result also holds for NTMs with finite-crossing (where there is a bound on the number of times the boundary between any two cells are crossed) worktapes.

Many different machine models can be simulated by unambiguous NTMs with a one-way read-only input tape and a reversal-bounded worktape. These include unambiguous reversal-bounded queue automata where the store is a queue with a bound on the number of switches between enqueueing an dequeueing, and also unambiguous reversal-bounded k-flip NPDAs, which are like NPDAs with the additional ability to flip the stores up to k times. As deterministic models are all unambiguous, this applies to deterministic models as well. We leave the details of the simulation to the reader.

Corollary 7. Let M be a machine accepted by any of the machine models below, with a one-way input:

- unambiquous nondeterministic reversal-bounded NPDAs,
- reversal-bounded DPDAs.
- unambiguous nondeterministic reversal-bounded queue automata,
- deterministic reversal-bounded queue automata,
- unambiguous nondeterministic reversal-bounded k-flip NPDAs,
- reversal-bounded k-flip DPDAs,
- DTMs with a reversal-bounded worktape.

Then the language L(M) is strongly counting-regular.

The next theorem shows that some natural extensions of the model in the above theorem accept some non-counting-regular languages. In the proofs below, we use the well-known fact [2] that if L is regular, then $f_L(n)$ is rational.

Theorem 8. The following families of languages are not counting-regular:

- 1. languages accepted by deterministic 1-counter machines (no reversal-bound),
- 2. DCM(2,1) (deterministic 1-reversal-bounded 2-counter languages),
- 3. NCM(1,1) (nondeterministic 1-reversal-bounded 1-counter languages),
- 4. 2-ambiguous NCM(1,1) languages.

PROOF. Consider the language L_{Eq} over $\{a,b\}$ that accepts strings with an equal number of a's and b's. Clearly L_{Eq} can be accepted by a deterministic 1-counter machine (without restriction on the number of reversals). We will now show that there is no regular language L such that $f_L(n) = f_{L_{Eq}}(n)$ for all n. It is easy to see that $f_{L_{Eq}}(n)$ is 0 if n is odd, and and is $\binom{2n}{n}$ if n is even. But $\binom{2n}{n} \approx \frac{c2^n}{\sqrt{n}}$ for a constant c. Since the exponent of n in the asymptotic expression for $f_{L_{Eq}}(n)$ is $-\frac{1}{2}$ which is not a positive integer, the conclusion follows from Theorem 2.

The same language is a witness for the second class: it is easy to construct a DCM(2,1) machine that accepts L_{Eq} : increment counter one (two) for each a (b) read and verify the counter values are the same at the end by decrementing simultaneously and accept if the counters reach the value 0 at the same time.

To show 3, we use a language from [30]: Let $S = \{w \mid w = a^n b v_1 a^n v_2 \text{ for some } v_1 \text{ and } v_2 \text{ in } \{a,b\}^*\}$. It is easy to see that S can be accepted by an NCM(1,1). It is shown in [30] that the generating function S(z) for S is $S(z) = \frac{z(1-z)}{1-2z} \sum_{n \geq 1} \frac{z^{2n}}{1-2z+z^{n+1}}$. Since S(z) has countably many poles (one for each n in the infinite sum), it follows that S(z) is not algebraic. Since the generating function for any regular language is algebraic, it follows that S(z) is not counting-regular.

Finally, we will show 4. Note that the claim of 4 is stronger than 3, but the counter-examples we offer for 3 and 4 exhibit an interesting contrast. The former example has the property that its generating function has an infinite number of poles. The example we present now has only a finite number of poles but is not algebraic. Consider the languages $L_3 = \{x1^n \mid x \in \{a,b\}^*, |x|_a = n\}$ and $L_4 = \{x1^n \mid x \in \{a,b\}^*, |x|_b = n\}$. Clearly L_3 and L_4 can be accepted by a DCM(1,1) and hence are strongly counting-regular by Corollary 7. (For L_3 , the counter machine pushes a 1 on the counter on each a, skipping over b's. When a first 1 is reached, it starts popping and makes sure that no a or b is seen again, and accepts when the counter reaches 0.) We will now show that $L_5 = L_3 \cup L_4$ is not counting-regular, by showing that its generating function is not rational.

Let $L_6 = L_3 \cap L_4$. It is easy to see that $L_6 = \{x1^n \mid x \in \{a,b\}^*, |x|_a = |x|_b = n\}$. It can be checked that

$$f_{L_6}(n) = \begin{cases} \binom{2n}{n} & \text{if } n \equiv 0 \pmod{3} \\ 0 & \text{else.} \end{cases}$$

So the generating function of L_6 is $f_{L_6}(z) = \frac{1}{\sqrt{1-4z^3}}$. Clearly $f_{L_6}(z)$ is not rational. Suppose L_5 is counting-regular. Then, $f_{L_5}(z)$ is rational, and $f_{L_6}(z) = f_{L_3}(z) + f_{L_4}(z) - f_{L_5}(z)$ is rational, a contradiction. Since L_5 can be accepted by a 2-ambiguous NCM(1,1), the claim follows.

Are there counting-regular languages that are not strongly counting-regular? Specifically, since we showed that all unambiguous reversal-bounded NPDA's are strongly counting-regular, is there an unambiguous NPDA L (that is not reversal-bounded) such that L is counting-regular, but not strongly counting-regular? We discuss this issue next. In fact, we show that there is a deterministic 1-counter language (also a DCM(2,1) language) that is counting-regular, but not strongly counting-regular, namely: $L_{\text{MAJ}} = \{x \in (0+1)^* \mid x \text{ has more 1's than 0's or has equal number of 0's and 1's and starts with 1}\}.$

Theorem 9. L_{MAJ} is counting-regular but is not strongly counting-regular.

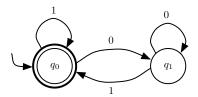


Figure 1: DFA M_2

PROOF. Since the number of strings of length n in L_{MAJ} is exactly 2^{n-1} for all $n \geq 1$, it is counting-regular. Since L_{MAJ} is counting-regular, the smallest DFA M such that $L_{\mathsf{MAJ}} \cap L(M)$ does not have a regular counting function must have at least two states. Somewhat surprisingly, such a 2-state DFA exists. Consider the DFA M_2 in Figure 1. Let $L_2 = L_{\mathsf{MAJ}} \cap L(M_2)$. To show our claim, we need to establish that there is no regular language L such that $f_{L_2}(n) = f_L(n)$.

We will obtain a closed-form expression for $f_{L_2}(n)$ and from it, we will obtain the generating function for the sequence $\{f_{L_2}(n)\}$, $n=0,1,\ldots$ as follows. Note that the DFA M has the property that all the transitions into a state are labeled by the same input. This means that there is a bijective mapping between an input string w and the sequence of states visited on input w. Specifically, if we omit the first state (which is q_0 , the start state), every input string of length n can be bijectively mapped to the sequence of length n of states visited. Thus, when n is odd, the number of strings of length n in L_2 is equal to the number of sequence of states of length n that have more q_0 than q_1 and ending with q_0 . (The requirement of ending with q_0 ensures that the string is in $L(M_2)$.) When n is even, the number of strings of length n in L_2 is equal to the number of sequences of states of length n that have more q_0 than q_1 and ending with q_0 , plus the number of sequences of states of length n with equal number of q_0 and q_1 that begins and ends with q_0 . Thus:

$$f_{L_2}(n) = \begin{cases} 2^{n-2} + \binom{n-1}{\frac{n-1}{2}} & \text{if } n \text{ is odd and } n \ge 3, \\ 2^{n-2} + \binom{n-2}{\frac{n-2}{2}} & \text{if } n \text{ is even (and } n \ge 2). \end{cases}$$

From this, we can explicitly obtain the generating function of L_2 as: $1 + \frac{z^2}{1-2z} + \frac{z^2+z}{\sqrt{1-4z^2}}$. This function is not a rational function and the conclusion follows.

Finally, we will show an interesting language that is both context-free and strongly counting-regular. This language was presented in [31] as a member of the class RCM — a class defined as follows: RCM is the class of the languages given by $< R, C, \mu >$ where R is a regular language, C a system of linear constraints and μ , a length-preserving morphism that is injective on $R \cap [C]$. The specific language we denote by L_{RCM} is defined as follows:

$$L_{\mathsf{RCM}} = \{ w \in \{a, b\}^* \mid w[|w|_a] = b \}.$$

(From the definition, it is clear that a^n is not in L_{RCM} . But the definition does not specify the status of the string b^n since there is no position 0 in the string. We resolve this by explicitly declaring the string b^n to be in L_{RCM} for all n.)

We first observe that L_{RCM} is in $\mathsf{NCM}(1,1)$ and hence is context-free: We informally describe a non-deterministic 1-reversal-bounded 1-counter machine M for L_{RCM} . Let $w = w_1 \cdots w_n \in L_{\mathsf{RCM}}$ and suppose $|w|_a = i$ and $|w_1w_2\cdots w_{i-1}|_a = j$. Then, it is clear that $w_i = b$, j < i and $|w_{i+1}\cdots w_n|_a = i-j$. Now we describe the operation of M on input string w (not necessarily in L_{RCM}) as follows. M increments the counter for every b until it reaches position i. M guesses that it has reached position i, and checks that the symbol currently scanned is b, then switches to decrementing phase in which it decrements the counter for each a seen. When the input head falls off the input tape, if the counter becomes 0 it accepts the string. If $w \in L_{\mathsf{RCM}}$, it is clear that when M switches from incrementing to decrementing phase, the counter value is i-j and hence when it finishes reading the input, the counter will become 0. The converse is also true. Thus it is clear that $L(M) = L_{\mathsf{RCM}}$.

An interesting aspect of the next result is that it applies the simulation technique of Theorem 6 twice — by first bijectively (and in length-preserving way) mapping L_{RCM} to a language accepted by $\mathsf{DCM}(1,1)$, then applying Theorem 6 to map it to a regular language.

Theorem 10. L_{RCM} is strongly counting-regular.

PROOF. Consider $L' = \{[a_1,b_1][a_2,b_2]\cdots [a_n,b_n] \mid a_1a_2\cdots a_n \in L_{\mathsf{RCM}}, \text{ and } b_i = c \text{ for all } 1 \leq i \leq k, \text{ and } b_i = d \text{ for all } k+1 \leq i \leq n \text{ where } k \text{ is the number of } a\text{'s in } a_1a_2\cdots a_n\}.$ Thus, L' is defined over $\Sigma_1 = \{[a,c],[b,c],[a,d],[b,d]\}$. As an example, the string [a,c][b,c][a,d] is in L' since $aba \in L_{\mathsf{RCM}}$. It is easy to see that there is a bijective mapping between strings in L_{RCM} and strings in L'. Given a string $w \in L_{\mathsf{RCM}}$, there is a unique string w' in L' whose upper-track consists of w and the lower-track consists of $w \in L_{\mathsf{RCM}}$ where |w| = n and $|w|_a = k$. Since k and k are uniquely specified for a given string w, the string w' is uniquely defined for a given w. Conversely, the projection of a $w' \in L'$ to its upper-track string uniquely defines a string in L_{RCM} .

Let R be a regular language over $\{a,b\}$. Define a language $R' = \{[a_1,b_1][a_2,b_2]\cdots [a_n,b_n] \mid a_1\cdots a_n \in L'\cap R\}$. It is clear that there is a 1-1 correspondence between strings in R' and $L_{RCM}\cap R$. Finally, we note that there is a DCM(1,1) M that accepts R': M simulates the DFA for R on the upper-track input and at the same time, performs the following operation. For every input [b,c], the counter is incremented, and for every [a,d] the counter is decremented. It also remembers the previous symbol scanned, and checks that the previous symbol scanned before the first occurrence of [b,d] is [a,c]. Finally, when the counter value becomes 0, the string is accepted. Note that since all d's in the second track occur after the c's, the counter reverses at most once.

Since all $\mathsf{DCM}(1,1)$'s are 1-reversal-bounded DPDA 's, then by Corollary 7, R' is counting-regular. Hence, $L_{\mathsf{RCM}} \cap R$ is counting-regular.

In this section, we have shown that the languages accepted by unambiguous nondeterministic Turing machines with a one-way read-only input tape and a reversal-bounded worktape are strongly counting-regular. We also showed that some natural extensions of this class fail to be counting-regular. We presented some relationships between counting-regular languages and the class RCM. However, our understanding of which languages in DCM, NCM, or CFL are (strongly) counting-regular is quite limited at this time.

4. Bounded Semilinear Trio Languages are Counting-Regular

In this section, we will show that all bounded languages in any semilinear trio are counting-regular. First, the following is known [17] (follows from results in [24], and the fact that all bounded NCM languages are in DCM [32]):

Lemma 11. Let $u_1, \ldots, u_k \in \Sigma^+$, and let ϕ be a function from \mathbb{N}_0^k to $u_1^* \cdots u_k^*$ which associates to every vector (l_1, \ldots, l_k) , the word $\phi((l_1, \ldots, l_k)) = u_1^{l_1} \cdots u_k^{l_k}$. Then the following are true:

- given a semilinear set Q, then $\phi(Q) \in \mathsf{DCM}$,
- given an NCM (or DCM) language $L \subseteq u_1^* \cdots u_k^*$, then $IND(L) = \{(l_1, \dots, l_k) \mid \phi((l_1, \dots, l_k)) \in L\}$ is a semilinear set.

Moreover, both are effective.

Recall that two languages L_1 and L_2 are called commutatively equivalent if there is a Parikh-map preserving bijection between them. Therefore, a language L being commutatively equivalent to some regular language is stronger than saying it is counting-regular. Split across three papers in [11, 12, 13], it was shown that all bounded semilinear languages — which are all bounded languages where IND(L) is a semilinear set — are commutatively equivalent to some regular language, and are therefore counting-regular. Recently, it was shown that all bounded languages from any semilinear trio are in DCM [17] (and are therefore bounded semilinear by Lemma 11). This enables us to conclude that all bounded languages in any semilinear trio are

commutatively equivalent to some regular language, and thus counting-regular. However, as the proof that all bounded semilinear languages are commutatively equivalent to some regular language is quite lengthy, we provide a simple alternate proof that all bounded languages in any semilinear trio (all bounded semilinear languages) are counting-regular. The class DCM plays a key role in this proof.

Lemma 12. Let $u_1, \ldots, u_k \in \Sigma^+$, $L \subseteq u_1^* \cdots u_k^*$ be a bounded DCM language, and let ϕ be a function from Lemma 11. There exists a semilinear set B such that $\phi(B) = L$ and ϕ is injective on B. Also, the construction of B is effective.

PROOF. Let $A=\{a_1,\ldots,a_k\}$, and consider the homomorphism h that maps a_i to u_i , for $1\leq i\leq k$. It is known that there exists a regular subset R of $a_1^*\cdots a_k^*$ that h maps bijectively from R onto $u_1^*\cdots u_k^*$ (the Cross-Section Theorem of Eilenberg [19]). Let $L'=h^{-1}(L)\cap R$. Then L' is in DCM since DCM is closed under inverse homomorphism and intersection with regular languages [24]. Hence, there is a semilinear set B=IND(L') from Lemma 11(2). Then $\phi(B)=L$ since, given $(l_1,\ldots,l_k)\in B$, then $u_1^{l_1}\cdots u_k^{l_k}\in L$, and given $w\in L$, by the bijection h, there exists a string $a_1^{l_1}\cdots a_k^{l_k}$ of R such that $a_1^{l_1}\cdots a_k^{l_k}=h^{-1}(w)$, and so $w\in\phi(B)$. Also, ϕ is injective on B, as given two distinct elements (l_1,\ldots,l_k) and (j_1,\ldots,j_k) in B, then both $a_1^{l_1}\cdots a_k^{l_k}$ and $a_1^{j_1}\cdots a_k^{j_k}$ are in R, which means that h maps them onto different words in $u_1^*\cdots u_k^*$ since h is a bijection.

The proof of the next result uses similar techniques as the proof that all bounded context-free languages are counting-regular from [19]. But because there are key differences, we include a full proof for completeness.

Lemma 13. Let $L \subseteq u_1^* \cdots u_k^*$ be a bounded DCM language for given words u_1, \ldots, u_k . Then there exists an effectively constructible bounded regular language L' such that, for every $n \ge 0$, $f_L(n) = f_{L'}(n)$.

PROOF. Let ϕ be a function from \mathbb{N}_0^k to $u_1^* \cdots u_k^*$ such that $\phi((l_1,\ldots,l_k)) = u_1^{l_1} \cdots u_k^{l_k}$. By Lemma 11, there exists a semilinear set B of \mathbb{N}_0^k such that $\phi(B) = L$ [24]. Let $B = B_1 \cup \cdots \cup B_m$, where B_i , $1 \leq i \leq m$ are linear sets. Let $L_1 = \phi(B_1) \in \mathsf{DCM}$ (by Lemma 11), $L_2 = \phi(B_2) - L_1 \in \mathsf{DCM}$ (by Lemma 11 and since DCM is closed under intersection and complement [24]), etc. until $L_m = \phi(B_m) - (L_1 \cup \cdots \cup L_{m-1}) \in \mathsf{DCM}$ (inductively, by Lemma 11, by closure of DCM under intersection, complement, and union). Then $L_1 \cup \cdots \cup L_m = L$, and also L_1, \ldots, L_m are pairwise disjoint, and therefore by Lemma 12, there is a semilinear set B_i' such that $\phi(B_i') = L_i$, and ϕ is injective on B_i' . It is known that, given any set of constants and periods generating a semilinear set Q, there is a procedure to effectively construct another set of constants and periods that forms a semi-simple set, also generating Q [19, 20] (this is phrased more generally in both works, to say that the rational sets of a commutative monoid are semi-simple; but in our special case it amounts to constructing a new set of constants and periods generating the same semilinear set such that the linear sets are disjoint, and the periods generating each linear set form a basis). Hence, each B_i' must also be semi-simple as well (generated by a possibly different set of constants and periods). Let $B' = B_1' \cup \cdots \cup B_m'$. Since each word in L is only in exactly one language of L_1, \ldots, L_m , it follows that for each (l_1, \ldots, l_k) , $\phi((l_1, \ldots, l_k))$ is in at most one language of L_1, \ldots, L_m . And, since ϕ is injective on each B_i' , it therefore follows that ϕ is injective on B'. Also, $\phi(B') = L = \phi(B)$.

The rest of the proof then continues just as Theorem 10 of [19] (starting at the second paragraph) which we describe. That is, define an alphabet $A = \{a_1, \ldots, a_k\}$, and let ψ be the Parikh map of A^* to \mathbb{N}_0^k . For every linear set B'' making up any of the semilinear sets of some B_i' , let B'' have constant b_0 and periods b_1, \ldots, b_t . Define the regular language $R_{B''} = v_0 v_1^* \cdots v_t^*$ where v_0, \ldots, v_t are any fixed words of A^* such that, for every $i, \psi(v_i) = b_i$. Thus, $\psi(R_{B''}) = B''$, for each B''. Let R be the union of all $C_{B''}$ over all the linear sets making up B_1', \ldots, B_m' . Certainly R is a regular language.

It is required to show that ψ is injective on R. Indeed, consider x, y be two distinct elements in R. If x, y are constructed from two different linear sets from distinct semilinear sets $B'_i, B'_j, i \neq j$, then $\psi(x) \neq \psi(y)$ since the semilinear sets B'_i and B'_j are disjoint. If x, y are constructed from two different linear sets making up the same semilinear set B'_i , then since B'_i is semi-simple, the linear sets must be disjoint, and hence $\psi(x) \neq \psi(y)$. If x, y are in the same linear set, then $\psi(x) \neq \psi(y)$ since the linear set must be simple, its

periods form a basis, and therefore, there is only one linear combination giving each. Hence, ψ is injective on R.

Consider the map such that, for every $i, 1 \le i \le k$, a_i maps to $a_i^{|u_i|}$, and extend this to a homomorphism χ from A^* to A^* . Since $\chi(A)$ is a code, χ is an injective homomorphism of A^* to itself. Let $L' = \chi(R)$. Then L' is a regular language.

Next, it will be shown that $f_L(n) = f_{L'}(n)$. Consider the relation $\zeta = \phi^{-1}\psi^{-1}\chi$. Then, when restricting ζ to L, this is a bijection between L and L', since ϕ is a bijection from B' to L, ψ is a bijection of R to B', and χ is a bijection of R to L'. It only remains to show that, for each $u \in L$,

$$|u| = |\zeta(u)|,\tag{1}$$

which therefore would imply $f_L(n) = f_{L'}(n)$. For each $u \in L$, then $u = u_1^{l_1} \cdots u_k^{l_k} = \phi((l_1, \dots, l_k)) = \phi(\psi(x))$, where x is in R and $\psi^{-1}((l_1, \dots, l_k))$. Since $|x| = \sum_{1 \le i \le k} |x|_{a_i} = \sum_{1 \le i \le k} l_i$, then

$$|\chi(x)| = \sum_{1 \le i \le k} |x|_{a_i} |\chi(a_i)| = \sum_{1 \le i \le k} l_i |\chi(a_i)| = \sum_{1 \le i \le k} l_i |u_i| = |u|.$$

Thus, 1 is true, and the theorem follows.

We should note that if the bounded language $L \subseteq a_1^* \cdots a_k^*$, where a_1, \ldots, a_k are distinct symbols, then there is a simpler proof of the theorem above as follows: Let $L \subseteq a_1^* \cdots a_k^*$ where the symbols are distinct. Then the Parikh map of L is semilinear, and therefore a regular language L' can be built with the same Parikh map, and in this language $f_L(n) = f_{L'}(n)$. But when the bounded language L is not of this form, this simpler proof does not work.

Our next result is a generalization of the previous result.

Theorem 14. Let $L \subseteq u_1^* \cdots u_k^*$, for words u_1, \ldots, u_k where L is in any semilinear trio \mathcal{L} . There exists a bounded regular language L' such that, for every $n \geq 0$, $f_L(n) = f_{L'}(n)$. Moreover, L is strongly counting-regular. Furthermore, if u_1, \ldots, u_k are given, and all closure properties are effective in \mathcal{L} , then L' is effectively constructible.

Again, this follows from [17] since it is known that every bounded language from any such semilinear trio where the closure properties are effective can be effectively converted into a DCM language. Strong counting-regularity follows since intersecting a bounded language in a trio with a regular language produces another bounded language that is in \mathcal{L} , since trios are closed under intersection with regular languages.

Also, since the family of regular languages is the smallest semilinear trio [23], it follows that the counting functions for the bounded languages in every semilinear trio are identical.

Corollary 15. Let \mathcal{L} be any semilinear trio. The counting functions for the bounded languages in \mathcal{L} are identical to the counting functions for the bounded regular languages.

This works for many semilinear full trios. We will briefly discuss some in the next example.

Example 1. The families accepted/generated from the following grammar/machine models form semilinear full trios (the closure properties and semilinearity are effective):

- 1. the context-free languages, CFLs,
- 2. one-way nondeterministic reversal-bounded multicounter machines, NCMs, [24],
- 3. finite-index ETOL systems (ETOL systems where the number of non-active symbols in each derivation is bounded by a constant) [33].
- 4. k-flip NPDAs (NPDAs with the ability to "flip" their pushdown up to k times) [34].
- 5. one-way reversal-bounded queue automata (queue automata with a bound on the number of switches between enqueueing and dequeueing) [14].

- 6. NTMs with a one-way read-only input tape and a finite-crossing worktape [14],
- 7. uncontrolled finite-index indexed grammars (a restricted version of indexed grammars, where every accepting derivation has a bounded number of nonterminals), [16].
- 8. multi-push-down machines (a machine with multiple pushdowns where the machine can simultaneously push to all pushdowns, but can only pop from the first non-empty pushdown) [15].

Moreover, all of these machine models can be augmented by reversal-bounded counters and the resulting machines are semilinear full trios [14, 35].

Corollary 16. Let $L \subseteq u_1^* \cdots u_k^*$, be a bounded language for given words u_1, \ldots, u_k , such that L is from any of the families listed in Example 1. Then there exists an effectively constructible bounded regular language L' such that, for every $n \geq 0$, $f_L(n) = f_{L'}(n)$.

Note that it is not assumed for these models that the machines are unambiguous, like in Theorem 6.

The results in this section assumed that the words u_1, \ldots, u_k such that $L \subseteq u_1^* \cdots u_k^*$ are given. However, it is an open problem whether, given a language L in an arbitrary semilinear trio \mathcal{L} , it is possible to determine whether $L \subseteq u_1^* \cdots u_k^*$ for some words u_1, \ldots, u_k .

5. Closure Properties for Counting-Regular Languages

In this section, we will address the closure properties of counting-regular languages, and also counting-regular CFL's.

First, it is immediate that counting-regular languages are closed under reversal (and since the CFLs are closed under reversal, so are the counting-regular CFLs). Next Kleene-* will be addressed.

Theorem 17. If L is counting-regular and L is a code, then L^* is counting-regular.

PROOF. Since L is a code, for each word $w \in L^*$, there is a unique decomposition of $w = u_1 \cdots u_k$, where each $u_i \in L$. Since L is counting-regular, there is some regular language R with the same counting function. From R, make R' where the first letter of each word is tagged with a prime, and all other letters are unmarked. Now, R' is a code because of the tagged letters, and R' has the same counting function as R.

Moreover, $(R')^*$ has the same counting function as L^* . Indeed, let $n \geq 0$. Consider all sequences u_1, \ldots, u_k such that $n = |u_1| + \cdots + |u_k|$. Then for each u_i , L has the same number of words of length $|u_i|$ as does R'. Since R' is a code, it follows that there are the same number of such sequences using elements from R'.

A similar relationship to codes exists for concatenation.

Theorem 18. If L_1, L_2 are counting-regular and either L_1 is a prefix code or L_2 is a suffix code, then L_1L_2 is counting-regular.

PROOF. Assume first that L_1 is a prefix code, so that $L \cap L\Sigma^+ = \emptyset$. Let $w = uv, u \in L_1, v \in L_2$. Then this decomposition is unique since L_1 is a prefix code. Let R_1, R_2 be regular languages with the same counting functions as L_1, L_2 respectively. Let R'_1 be obtained from R_1 by tagging the last letter with a prime. Then R'_1 is also a prefix code. Further, the counting function for R'_1R_2 is equal to that of L_1L_2 .

The case is similar for L_2 being a suffix code.

Corollary 19. If $L_1, L_2 \subseteq \Sigma^*$ are counting-regular, and \$, # are new symbols, then $L^R, L_1\$L_2, \$L_1 \cup \#L_2$, and $(L\$)^*$ are counting-regular.

PROOF. The first was discussed above. The second follows from Theorem 18 and since L_1 \$ is a prefix code. The fourth follows from Theorem 17 and since L\$ is a code. For the third, since L_1, L_2 are counting-regular, this implies there exist regular languages R_1, R_2 with the same counting functions, and $L_1 \cup H_2$ has the same counting function as $R_1 \cup H_2$.

This means that even though e.g. non-reversal-bounded DPDAs can accept non-counting-regular languages but reversal-bounded DPDAs cannot, if a DPDA was reversal-bounded but reading a \$ caused a "reset" where the pushdown emptied, and another reversal-bounded computation was then possible, then this model would only accept counting-regular languages. This is also the case with say DTMs where the worktape was reversal-bounded, but reading a \$ caused a reset, where more reversal-bounded computations were again possible. This is quite a general model for which this property holds.

The next questions addressed are whether these are true when removing the \$ and # (or removing or weakening the coding properties).

Theorem 20. The counting-regular languages (and the counting-regular CFL's) are not closed under union or intersection with regular languages.

PROOF. Recall the DFA M_2 presented in Figure 1. Since L_{MAJ} is a counting-regular CFL, and $L_{MAJ} \cap L(M_2)$ is not, the non-closure under intersection with regular sets follows.

For non-closure under union with regular sets, we show that $L_{\mathsf{MAJ}} \cup L(M_2)$ is not counting-regular by explicitly computing the generating function for this language using the fact that there is a 1-1 mapping between strings of L_{MAJ} and $\overline{L_{MAJ}}$ and between strings of $L(M_2)$ and $\overline{L(M_2)}$. Thus the generating functions for both $f_{L_{MAJ}}(n)$ and $f_{L(M_2)}(n)$ are $\frac{1-z}{1-2z}$, from which it follows that the generating function for $L_{MAJ} \cup L(M_2)$ is $\frac{1-2z-z^2}{1-2z} - \frac{z^2+z}{\sqrt{1-4z^2}}$. Since this is not a rational function, the claim follows.

Thus, Corollary 19 cannot be weakened to remove the marking from the marked union.

It is an open question as to whether Theorem 17 can be weakened to remove the code assumption, but we conjecture that it cannot. However, for concatenation, we are able to show the following:

Theorem 21. The counting-regular languages (and counting-regular CFL's) are not closed under concatenation with regular languages.

PROOF. Let $S_1 = \{w \mid w = a^nbva^n \text{ for some } v \in \{a,b\}^*\}$ and let $S = \{w \mid w = a^nbv_1a^nv_2 \text{ for some } v_1, v_2 \in \{a,b\}^*\}$ (as in Theorem 8). It is easy to see that $S = S_1(a+b)^*$. We already showed that S is not counting-regular. It is easy to show that S_1 is a counting-regular CFL. In fact, we can explicitly exhibit $f_{S_1}(n)$ as follows: $f_{S_1}(0) = 0$ and, for $n \geq 1$, $f_{S_1}(n) = \sum_{j=0}^{\lfloor (n-1)/2 \rfloor} 2^{n-2i-1}$. From this, it is easy to see that $f_{S_1}(n) = f_L(n)$ for the regular language L with regular expression $(aa)^*b(a+b)^*$.

Hence, Corollary 19 and Theorem 18 cannot be weakened to remove the marking with marked concatenation or the coding properties. It is an open problem as to whether Theorem 18 is true when L_1 or L_2 are codes (the set of suffix codes together with the set of prefix codes is a strict subset of the set of codes [22]).

Theorem 22. The counting-regular languages (and counting-regular CFL's) are not closed under right quotient with a single symbol, and are not closed under left quotient with a symbol.

PROOF. (sketch) First, it will be shown for right quotient. The language L_{MAJ} used in Theorem 9 is counting-regular. In fact, it has exactly 2^{n-1} strings of length n for all $n \geq 1$. We will outline an argument that $L = L_{\mathsf{MAJ}}\{0\}^{-1}$ is not counting-regular. The number of strings of length n in L is $2^{n-1} - \binom{n}{\frac{n-1}{2}}$ (for odd n), $2^{n-1} - \binom{n-2}{\frac{n}{2}}$ (for even n). Using a technique similar to the proof of Theorem 9, we can show that the generating function for L is not rational.

Next, it will be shown for left quotient. Since L_{MAJ} is counting regular, and the reversal of every counting regular language has the same counting function, then L_{MAJ}^R is also counting-regular. But, as in in proof for right quotient, $\{0\}^{-1}L_{\mathsf{MAJ}}^R$ is not counting-regular.

The language L_{MAJ} used is a deterministic one counter language with no reversal bound. The following however provides a contrast, as it follows from closure properties of deterministic machines. When the languages are accepted by reversal-bounded machines, we have:

- **Theorem 23.** 1. If L is accepted by a reversal-bounded DTM, and R is a regular language, then LR^{-1} is counting-regular.
 - 2. If L is accepted by an unambiguous reversal-bounded NTM, and x is a string, then $L\{x\}^{-1}$ is also counting-regular.

PROOF. Part 1 follows from the fact that the languages accepted by reversal-bounded DTM's are closed under right-quotient with regular languages [29].

For Part 2, clearly, if L is accepted by an unambiguous reversal-bounded NTM M, we can construct an unambiguous reversal-bounded NTM M accepting $L\{x\}^{-1}$.

This result also holds for all machine models in Corollary 7 (that is, all deterministic models listed there work with right quotient with regular languages [29], and the unambiguous nondeterministic models there work with right quotient with a word). It is an open question as to whether unambiguous nondeterministic NTMs with a reversal-bounded worktape are closed under right quotient with regular languages, which would allow part 2 to be strengthened.

Part 1 of of the next theorem contrasts Part 1 of the previous theorem.

- **Theorem 24.** 1. There is a counting-regular language L accepted by a DCM(1,1) and distinct symbols \$ and # such that $\{\$,\#\}^{-1}L$ is not counting-regular.
 - 2. If L is accepted by a reversal-bounded DPDA (resp., reversal-bounded unambiguous NPDA, reversal-bounded unambiguous NTM), and x is a string, then $\{x\}^{-1}L$ is also counting-regular.

PROOF. For Part 1, let $L_1 = \{x1^n \mid x \in (a+b)^+, n = |x|_a\}$ and $L_2 = \{x1^n \mid x \in (a+b)^+, n = |x|_b\}$. Then L_1 and L_2 can each be accepted by a DCM(1,1). Let $L = L_1 \cup L_2$, shown in Theorem 8 to not be counting-regular. Let $L' = \$L_1 \cup \#L_2$, which can also be accepted by a DCM(1,1), hence it is counting-regular. However, $\{\$, \#\}^{-1}L' = L$ is not counting-regular. Part 2 is obvious.

It may seem obvious that for any counting-regular language L, \overline{L} is counting-regular because of the following putative reasoning: If there is a regular language L' whose counting function equals that of the counting function of L, the complement of L' (which is regular) has the same counting function as that of \overline{L} . The fallacy in this argument is as follows. Suppose that the size of the alphabet over which L is defined is k. The size of alphabet k_1 over which L' is defined may be larger, i.e., $k_1 > k$. Thus, the complement of L' has a counting function $k_1^n - f_{L'}(n)$ which is not the same as the counting function $k^n - f_L(n)$ of \overline{L} . In fact, the following result shows that the exact opposite of the fallacy is actually true.

Theorem 25. There is a counting-regular language L (that is in P, i.e., L is deterministic polynomial time computable) such that \overline{L} is not counting-regular.

PROOF. The proof relies on a result presented in Béal and Perrin [27]. Béal and Perrin [27] provide an example of a sequence $\{r_n\}$, $n \geq 0$ and an integer k such that $\{r_n\}$ is not a counting function of any regular language, but $\{k^n - r_n\}$ is the counting function of a regular language. Specifically, it is shown in [27] that the sequence $r_n = b^{2n} \cos^2(n\theta)$, with $\cos \theta = \frac{a}{b}$, where the integers a, b are such that $b \neq 2a$ and 0 < a < b, and k such that $b^2 < k$, satisfies the properties stated above.

Define a language L over an alphabet of size k as follows: arrange the strings of length n over the alphabet $\{0,1,\ldots,k-1\}$ lexicographically. A string w of length n is defined to be in L if and only if the rank of w (in the lexicographic order) is greater than r_n . (Rank count starts at 1.) Clearly, the number of strings of length n in L is exactly $s_n = k^n - r_n$. As shown in [27], L is counting-regular and \overline{L} is not counting-regular.

Finally, we provide a sketch of the proof that there is a deterministic polynomial time algorithm for L. Given a string w of length n, and an integer T (in binary) where the number of bits in T is O(n), it is easy to see that there is a deterministic algorithm that determines in time polynomial in n if the rank of w is greater than T. (This algorithm simply converts T from binary to base k and compares the resulting

string to w lexicographically. Base conversion can be shown to have complexity no more than that of integer multiplication.) To complete the algorithm, we need to show how to compute r_n (in binary), given n, in time polynomial in n. Note that r_n is given by $b^{2n}\cos^2(n\theta)$. Clearly, b^{2n} can be computed in polynomial time by repeated multiplication by b. We don't even need repeated squaring to achieve a polynomial bound since the time complexity is measured in terms of n, not $\log n$. Also, $\cos (n \arccos(a/b))$ can be computed as follows: $\cos (n \arccos(a/b))$ is the well-known Tchebychev polynomial $T_n(a/b)$ which is explicitly given by the series [36]:

$$\sum_{m=0}^{\lfloor n/2\rfloor} \binom{n}{2m} \left(\frac{a}{b}\right)^{n-2m} \left(\left(\frac{a}{b}\right)^2 - 1\right)^m.$$

Since each of the terms in the above series can be computed in time polynomial in n, and since there are $\lfloor \frac{n}{2} \rfloor$ terms in the series, it is clear that r_n can be computed in time polynomial in n.

It is evident from Corollary 7 and closure of reversal-bounded DPDA's under complement that counting-regular reversal-bounded DPDA's are closed under complement. For DPDA's generally, it is open whether counting-regular DPDA's are closed under complement. At the end of the proof of Theorem 6, we observed that the mapping that we used to map the strings from a language L accepted by an unambiguous reversal-bounded NTM to a regular language increased the size of the alphabet from k to k+1. If for every counting-regular DPDA, the size of the alphabet of the simulating NFA is k, then it will follow that counting-regular DCFL's are closed under complement.

In fact, we can show the following stronger claim.

Theorem 26. The following statements are equivalent:

- 1. For every counting-regular DCFL over a k-letter alphabet, there is a regular language L' over a k-letter alphabet such that $f_L(n) = f_{L'}(n)$ for all n.
- 2. Counting-regular DCFL's are closed under complement.

PROOF. $(1) \Rightarrow (2)$ is immediate from the above discussion.

To show that $(2) \Rightarrow (1)$, let L be a counting-regular DCFL over a k-letter alphabet. This means $f_L(n)$ is a regular sequence. By (2), the complement of L is counting-regular, so $k^n - f_L(n)$ is also a regular sequence. From Theorem 4, it follows that there is a regular language L' over a k-letter alphabet such that $f_L(n) = f_{L'}(n)$ for all n.

Our conclusion is that the class of counting-regular languages (or counting-regular CFLs) are very fragile in that it is not closed under basic operations such as union or intersection with regular languages. We conjecture that the counting-regular CFLs are also not closed under Kleene star.

6. Some Decision Problems Related to Counting-Regularity

In this section, some decision problems in regards to counting-regularity are addressed. In particular, we will show the following: (1) It is undecidable, given a real-time 1-reversal-bounded 2-ambiguous NPDA M, whether L(M) (resp. $\overline{L(M)}$) is counting-regular; (2) It is undecidable, given a real-time NCM(1, 1) M, whether L(M) (resp. $\overline{L(M)}$) is counting-regular.

We begin with the following result:

Theorem 27. It is undecidable, given two real-time 1-reversal-bounded DPDA's M_1 and M_2 accepting strongly counting-regular languages, whether $L(M_1) \cap L(M_2)$ is counting-regular.

PROOF. Let Z be a single-tape DTM working on an initially blank tape. We assume that if Z halts on blank tape, it makes 2k steps for some $k \geq 2$. We assume that Z has one-way infinite tape and does not write blank. Let

$$L'_1 = \{ ID_1 \# ID_3 \# \cdots \# ID_{2k-1} \$ ID_{2k}^R \# \cdots \# ID_4^R \# ID_2^R \mid k \geq 2, \text{ each } ID_i \text{ is a configuration of } Z, ID_1 \text{ is initial } ID \text{ of } Z \text{ on blank tape,} ID_{2k} \text{ is a unique halting } ID, ID_i \Rightarrow ID_{i+1} \text{ for } i = 1, 3, \dots, 2k-1 \},$$

$$L_2' = \{ID_1 \# ID_3 \# \cdots \# ID_{2k-1} \$ ID_{2k}^R \# \cdots \# ID_4^R \# ID_2^R \mid k \geq 2, \text{ each } ID_i \text{ is a configuration of } Z, ID_1 \text{ is initial } ID \text{ of } Z \text{ on blank tape,}$$

$$ID_{2k} \text{ is a unique halting } ID, ID_i \Rightarrow ID_{i+1} \text{ for } i = 2, 4, \dots, 2k-2\},$$

with $L'_1, L'_2 \subseteq \Sigma^*$. Clearly, L'_1 and L'_2 can be accepted by real-time 1-reversal-bounded DPDA's M'_1 and M'_2 . Moreover, $L'_1 \cap L'_2$ is empty or a singleton (if and only if Z accepts, which is undecidable). Let a, b, 1 be three new symbols. Let

$$L_1 = \{xw1^n \mid x \in (a+b)^+, w \in L(M_1'), |x|_a = n\},$$

$$L_2 = \{xw1^n \mid x \in (a+b)^+, w \in L(M_2'), |x|_b = n\}.$$

We can construct a real-time 1-reversal-bounded DPDA M_1 accepting L_1 as follows: M_1 reads x and stores the number of a's in the stack. Then it simulates the 1-reversal-bounded DPDA M_1' on w, and finally checks (by continuing to pop the stack) that the number of a's stored in the stack is n. Similarly, we can construct a real-time 1-reversal-bounded DPDA M_2 accepting L_2 . By Corollary 7, L_1 and L_2 are strongly counting-regular.

Clearly, if $L(M_1') \cap L(M_2') = \emptyset$, then $L_1 \cap L_2 = \emptyset$, hence $L_1 \cap L_2$ is counting-regular.

On the other hand, if $L(M'_1) \cap L(M'_2)$ is not empty, the intersection is a singleton w and thus $L_1 \cap L_2$ is given by:

$$L_1 \cap L_2 = \{xw1^n \mid x \in (a+b)^+, |x|_a = |x|_b = n\}.$$

As in the proof of Theorem 8(ii), we can show that $L_1 \cap L_2$ is not counting-regular. In fact, if |w| = t, then the number of strings of length 3n + t is $\binom{2n}{n}$ from which we can explicitly construct the generating function f(z) for $L_1 \cap L_2$ as:

$$f(z) = \frac{1}{3} \left(\frac{1}{\sqrt{1 - 4z^3}} + \frac{1}{\sqrt{1 - 4\omega z^3}} + \frac{1}{\sqrt{1 - 4\omega^2 z^3}} \right) z^t.$$

Clearly f(z) is not rational and the claim follows.

This result is used within the next proof:

Theorem 28. It is undecidable, given a NPDA M that is real-time 2-ambiguous and 1-reversal-bounded, whether $\overline{L(M)}$ is counting-regular. Also, it is undecidable, given such a machine M, whether L(M) is counting-regular.

PROOF. Consider the languages L_1 and L_2 in the proof of Theorem 27. Since L_1 and L_2 can be accepted by real-time 1-reversal-bounded DPDA's, $\overline{L_1}$ and $\overline{L_2}$ can also be accepted by real-time 1-reversal-bounded DPDA's. Hence $L = \overline{L_1} \cup \overline{L_2}$ can be accepted by a real-time 1-reversal-bounded 2-ambiguous NPDA M. It follows that $\overline{L(M)}$ is counting-regular if and only if $L_1 \cap L_2$ is counting-regular, which is undecidable from Theorem 27.

Now consider L(M). If Z does not halt on blank tape, then $L(M) = \Sigma^*$, which is counting-regular. If Z halts on blank tape, then we will show that L(M) is not counting-regular as follows: the generating function g(z) of L(M) is given by $\frac{1}{1-sz} - f(z)$ where f(z) is the generating function of $\overline{L(M)}$, and s is the size of the alphabet over which M is defined. If L(M) is counting-regular, then g(z) is rational, then so is $f(z) = \frac{1}{1-kz} - g(z)$, contradicting the fact that f(z) is not rational. Hence, L(M) is counting-regular if and only if Z does not halt. This completes the proof.

Next, we will consider NCM(1,1) (1-reversal-bounded 1-counter machines).

Theorem 29. It is undecidable, given a real-time NCM(1,1) M, whether $\overline{L(M)}$ is counting-regular. Also, it is undecidable, given such a machine M, whether L(M) is counting-regular.

PROOF. Again, we will use the undecidability of the halting problem for a DTM Z on an initially blank tape. As before, assume that Z has a one-way infinite tape and does not write blank symbols. Represent each ID (configuration) of Z with blank symbols filled to its right, since for the languages we will define below, we require that all ID_i 's have the same length. So, e.g, $ID_1 = q_0B \cdots B$ (where B is the blank symbol). We also require that the halting ID have all non-blanks in state f, which is unique. Clearly since Z does not write any blank symbols, if Z halts on the blank tape, the lengths of the ID's in the halting sequence of ID's do not decrease in length. Assume that if Z halts, it halts after k steps for some $k \geq 2$.

Let

$$L_1 = \{ID_1 \# ID_3 \# \cdots \# ID_{2k-1} \$ ID_{2k}^R \# \cdots \# ID_4^R \# ID_2^R \mid k \geq 2, \text{ each } ID_i \text{ is a configuration of } Z, ID_1 \text{ is initial } ID \text{ of } Z \text{ on blank tape, } ID_{2k} \text{ is the halting } ID, ID_i \Rightarrow ID_{i+1} \text{ for } i = 1, 3, \dots, 2k-1, |ID_1| = \dots = |ID_{2k}|\},$$

$$L_2 = \{ID_1 \# ID_3 \# \cdots \# ID_{2k-1} \$ ID_{2k}^R \# \cdots \# ID_4^R \# ID_2^R \mid k \geq 2, \text{ each } ID_i \text{ is a configuration of } Z, ID_1 \text{ is initial } ID \text{ of } Z \text{ on blank tape, } ID_{2k} \text{ is the halting } ID, ID_i \Rightarrow ID_{i+1} \text{ for } i = 2, 4, \dots, 2k-2, |ID_1| = \dots = |ID_{2k}|\},$$

with $L_1, L_2 \subseteq \Sigma^*$. Note that ID_{2k} must have all non-blanks in state f.

Let a, b, 1 be new symbols. We can construct a real-time NCM(1,1) M_1 which operates as follows. When given input string z, M_1 nondeterministically selects one of the following tasks to execute:

- 1. M_1 checks and accepts if z is not a string of the form $xw1^n \in (a+b)^+\Sigma^+1^+$. (This does not require the use of the counter.)
- 2. M_1 checks and accepts if z is of the form $xw1^n \in (a+b)^+\Sigma^+1^+$ but $|x|_a \neq n$. (This requires only one counter reversal.)
- 3. M_1 checks that z is of the form $xw1^n \in (a+b)^+\Sigma^+1^+$, but w is not a string of the form in L_1 . M_1 does not check the lengths of the ID's and whether ID_{i+1} is a successor of ID_i . (This does not require a counter.)
- 4. M_1 checks that z is of the form $xw1^n \in (a+b)^+\Sigma^+1^+$ and

$$w = ID_1 \# ID_3 \# \cdots \# ID_{2k-1} \$ ID_{2k}^R \# \cdots \# ID_4^R \# ID_2^R,$$

for some $k \geq 2$ but $|ID_i| \neq |ID_j|$ for some $i \neq j$, or ID_1 is not the initial ID, or ID_{2k} is not the halting ID. (This requires one counter reversal.)

5. M_1 assumes that z is of the form $xw1^n \in (a+b)^+\Sigma^+1^+$ and

$$w = ID_1 \# ID_3 \# \cdots \# ID_{2k-1} \$ ID_{2k}^R \# \cdots \# ID_4^R \# ID_2^R,$$

for some $k \geq 2$, $|ID_1| = \cdots = |ID_{2k}|$, ID_1 is the initial ID, ID_{2k} is the halting ID, and accepts if ID_{i+1} is not the successor of ID_i for some $i=1,3,\ldots,2k-1$. Since all the ID's are assumed to have the same length, M_1 needs to only use a counter that reverses once to check one of the conditions.

Similarly, we can construct a real-time NCM(1,1) M_2 as above using L_2 . Let M be a real-time NCM(1,1) accepting $L(M_1) \cup L(M_2)$ and consider $\overline{L(M)}$.

If Z does not halt on blank tape, then $\overline{L(M)} = \overline{L(M_1) \cup L(M_2)} = \overline{L(M_1)} \cap \overline{L(M_2)} = \emptyset$, which is counting-regular.

If Z halts on blank tape, then $\overline{L(M)} = \{xw1^n \mid x \in (a+b)^+, |x|_a = |x|_b = n\}$ for some w which is not counting-regular (as shown in Theorem 27).

Now consider L(M). If Z does not halt on blank tape, then $L(M) = \Sigma^*$, hence is counting-regular. If Z halts on blank tape, then $L(M) = L(M_1) \cup L(M_2)$, which we will show to be not counting-regular as follows: the generating function g(z) of L(M) is given by $\frac{1}{1-sz} - f(z)$ where f(z) is the generating function of $\overline{L(M)}$, and s is the size of the alphabet over which M is defined. If L(M) is counting-regular, then g(z) is rational, then so is $f(z) = \frac{1}{1-kz} - g(z)$, contradicting the fact that f(z) is not rational.

Note that the machine M constructed in the proof above is 1-reversal-bounded but not finitely-ambiguous. Next, it is shown to be undecidable for 2-ambiguous machines but without the reversal-bound.

Theorem 30. It is undecidable, given a one-way 2-ambiguous nondeterministic one counter machine M, whether $\overline{L(M)}$ is counting-regular. Also, it is undecidable, given such a machine M, whether L(M) is counting-regular.

PROOF. It is known that it is undecidable, given two deterministic one counter machines (with no restriction on counter reversals) M_1 and M_2 , whether $L(M_1) \cap L(M_2) = \emptyset$ (shown implicitly in [37]). Moreover, if the intersection is not empty, it is a singleton. Let Σ be the input alphabet of M_1 and M_2 . Let a, b, 1 be three new symbols. Let

$$L_1 = \{wx1^n \mid w \in L(M_1), x \in (a+b)^+, |x|_a = n\},$$

$$L_2 = \{wx1^n \mid w \in L(M_2), x \in (a+b)^+, |x|_b = n\}.$$

Clearly, we can construct deterministic one counter machines accepting L_1 and L_2 . Hence, $\overline{L_1}$ and $\overline{L_2}$ can be accepted by deterministic one counter machines. It follows that $\overline{L_1} \cup \overline{L_2}$ can be accepted by a 2-ambiguous nondeterministic one counter machine M. Then, as in the proof of Theorem 28, $\overline{L(M)}$ (resp., L(M)) is counting-regular if and only if $L(M_1) \cap L(M_2) = \emptyset$, which is undecidable.

In view of the above theorems, it is an interesting open question whether the undecidability holds for reversal-bounded finitely-ambiguous NCM machines.

7. Slender Semilinear and Length-Semilinear Languages and Decidability Problems

A topic closely related to counting functions of formal languages is that of slenderness. Decidability and closure properties of context-free languages (CFLs) have been investigated in [3, 4, 2, 38, 39]. For example, [3] shows that it is decidable whether a CFL is slender, and in [4], it is shown that for a given $k \geq 1$, it is decidable whether a language generated by a matrix grammar is k-slender (although here, the k needs to be provided as input in contrast to the CFL result).

In this section, we generalize these results to arbitrary language families that satisfy certain closure properties. These generalizations would then imply the known results for context-free languages and matrix languages, and other families where the problem was open.

First, we discuss the bounded language case. The constructive result of Theorem 14 above, plus decidability of slenderness for regular languages implies the following:

Corollary 31. Let \mathcal{L} be a semilinear trio (with all properties effective). Then, it is decidable, given L bounded in \mathcal{L} and words u_1, \ldots, u_k such that $L \subseteq u_1^* \cdots u_k^*$, whether L is slender.

In [39], the similar result was shown that it is decidable whether or not a given bounded semilinear language L is slender.

In our definition of a semilinear family of languages \mathcal{L} , we only require that every language in \mathcal{L} has a semilinear Parikh map. However, it is known that in every semilinear trio \mathcal{L} , all bounded languages are bounded semilinear [17], and therefore the result of [39] also implies Corollary 31. Conversely, all bounded semilinear languages are in the semilinear trio NCM [24, 17]; hence, given any bounded semilinear language (in any semilinear family so long as we can construct the semilinear set), slenderness is decidable. This method therefore also provides an alternate proof to the result in [39].

Next, we will examine the case where L is not necessarily bounded. One recent result is quite helpful in studying k-slender languages. In [35], the following was shown.

Theorem 32. [35] Let \mathcal{L} be any semilinear full trio where the semilinearity and intersection with regular languages properties are effective. Then the smallest full AFL containing intersections of languages in \mathcal{L} with NCM, denoted by $\hat{\mathcal{F}}(\mathcal{L} \wedge \text{NCM})$, is effectively semilinear. Hence, the emptiness problem for $\hat{\mathcal{F}}(\mathcal{L} \wedge \text{NCM})$ is decidable.

We make frequent use of this throughout the proofs of the next two sections. First, decidability of k-slenderness is addressed.

Theorem 33. Let \mathcal{L} be a full trio which is either:

- semilinear, or
- is length-semilinear, closed under concatenation, and intersection with NCM,

with all properties effective. It is decidable, given k and $L \in \mathcal{L}$, whether L is a k-slender language.

PROOF. Let $L \subseteq \Sigma^*$, and let # be a new symbol. First construct an NCM M_1 which when given $x_1 \# x_2 \# \dots \# x_{k+1}$, $x_i \in \Sigma^*$, $1 \le i \le k+1$, accepts if $|x_1| = \dots = |x_{k+1}|$, and $x_i \ne x_j$ are different, for all $i \ne j$. To do this, M_1 uses (many) counters to verify the lengths, and it uses counters to guess positions and verify the discrepancies between x_i and x_j , for each $i \ne j$. Let $\mathcal{L}' = \hat{\mathcal{F}}(\mathcal{L} \wedge \mathsf{NCM})$ (or just \mathcal{L} in the second case), which is semilinear by Theorem 32, (or length-semilinear in the second case, by assumption). Construct $L_2 \in \mathcal{L}'$ which consists of all words of the form $x_1 \# x_2 \# \dots \# x_{k+1}$, where $x_i \in L$ (every full AFL is closed under concatenation). Then $L_3 = L(M_1) \cap L_2 \in \mathcal{L}'$. Clearly, L is not k-slender if and only if L_3 is not empty, which is decidable by Theorem 32.

There are many known semilinear full trios listed in Example 1. Plus, it is known that languages generated by matrix grammars form a length-semilinear (but not semilinear, in general) full trio closed under concatenation and intersection with NCM ([4], where is it is shown that the languages are closed under intersection with BLIND multicounter languages, known to be equivalent to NCM [40]). Therefore, the result is implied for matrix grammars as well, although this is already known.

Corollary 34. Let \mathcal{L} be any of the families listed in Example 1. Then, the problem, "for $k \geq 1$ and $L \in \mathcal{L}$, is L a k-slender language?" is decidable.

All the machine models used in Example 1 have one-way inputs, however with a two-way input, the problem is more complicated. Now let $2\mathsf{DCM}(k)$ (resp., $2\mathsf{NCM}(k)$) be a two-way DFA (resp., two-way NFA) with end-markers on both sides of the input, augmented with k reversal-bounded counters.

Theorem 35. It is decidable, given k and 2DCM(1) M, whether M accepts a k-slender language.

PROOF. We may assume that M always halts [41]. Given M, construct another 2DCM(1) M' with a (k+1)-track tape. First for each $1 \le i < k+1$, M' checks that the string in track i is different from the strings in tracks $i+1,\ldots,k+1$. Thus, M needs to make multiple sweeps of the (k+1)-track input.

Then M' checks that the string in each track is accepted. Clearly, L(M) is not k-slender if and only if L(M') is not empty. The result follows, since emptiness is decidable for $2\mathsf{DCM}(1)$ [41].

The above result does not generalize for 2DCM(2):

Theorem 36. The following are true:

- 1. It is undecidable, given k and a 2DCM(2) M, whether M accepts a k-slender language, even when M accepts a letter-bounded language that is a subset of $a_1^* \cdots a_r^*$ for given a_1, \ldots, a_r .
- 2. It is undecidable, given k and a $2\mathsf{DCM}(2)$ M, whether M accepts a slender language, even when M accepts a letter-bounded language.

PROOF. It is known [24] that it is undecidable, given a 2DCM(2) M accepting a language that is in $b_1^* \cdots b_r^*$ for given b_1, \ldots, b_r , whether $L(M) = \emptyset$. Let c and d be new symbols. Construct another 2DCM(2) M' which when given a string $w = b_1^{i_1} \cdots b_k^{i_r} c^i d^j$, simulates M on $b_1^{i_1} \cdots b_k^{i_r}$, and when M accepts, M' accepts w. Then L(M') is not k-slender for any given k (resp., not slender) if and only if L(M) is not empty, which is undecidable.

Whether or not Theorem 33 holds for $2\mathsf{NCM}(1)$ M is open. However, we can prove a weaker version using the fact that it is decidable, given a $2\mathsf{NCM}(1)$ M accepting a bounded language over $w_1^* \cdots w_r^*$ for given w_1, \ldots, w_r , whether $L(M) = \emptyset$ [42].

Theorem 37. It is decidable, given k and 2NCM(1) M that accepts a language over $w_1^* \cdots w_r^*$ for given w_1, \ldots, w_r , whether M accepts a k-slender language.

PROOF. We construct from M another 2NCM(1) M' which, when given $x_1\#x_2\#\cdots\#x_{k+1}$, where each x_i is in $w_1^*\cdots w_r^*$ first checks that all x_i 's are different. For each i, and $j=i+1,\ldots,k+1$, M' guesses the position of discrepancy between x_i and x_j and records this position in the counter so that it can check the discrepancy. (Note that only one reversal-bounded counter is needed for this.) Then M' checks that each x_i is accepted. Clearly, M is not k slender if and only if L(M') is not empty, which is decidable, since the language accepted by M' is bounded.

We can also prove some closure properties. Here is an example:

Theorem 38. The following are true:

- 1. Slender (resp., thin) NCM languages are closed under intersection.
- 2. Slender (resp., thin) 2DCM(1) languages (2NCM(1) languages) are closed under intersection.

Proof. Straightforward since the families of languages above are closed under intersection.

Deciding if an NCM language (or anything more general than CFLs) is k-slender for some k (where k is not part of the input) is open, although as we showed in Theorem 35, for a given k, we can decide if an NCM or NPCM accepts a k-slender language. We conjecture that every k-slender NPCM language is bounded. If this can be proven, we will also need an algorithm to determine words w_1, \ldots, w_r such that the language is a subset of $w_1^* \cdots w_r^*$, which we also do not yet know how to do.

Let $c \geq 1$. A 2DCM(k) (2NCM(k)) M is c-crossing if the number of times the input head crosses the boundary of any two adjacent cells of the input is at most c. Then M is finite-crossing if it is c-crossing for some c. It is known that a finite-crossing 2NCM(k) can can be converted to an NCM(k') for some k' [43]. Hence every bounded language accepted by any finite-crossing 2NCM(k) is counting-regular. The next result shows that this is not true if the two-way input is unrestricted:

Theorem 39. The letter-bounded language $L = \{a^i b^{ij} \mid i, j \geq 1\}$ is accepted by a 2DCM(1) whose counter makes only 1 reversal, but L is not counting-regular.

PROOF. A 2DCM(1) M accepting L operates as follows, given input a^ib^k $(i, k \ge 1)$: M reads and stores k in the counter. Then it makes multiple sweeps on a^i while decrementing the counter to check that k is divisible by i.

To see that L is not counting-regular, we note that, for any $n \geq 2$, the number of strings of length n in L is $\phi(n)$, Euler's totient function is equal to the number of divisors of n. In fact, let the divisors of n be d_1, d_2, \ldots, d_m . Then, there are exactly m strings of length n, namely: $a^{d_1}b^{(n/d_1-1)d_1}, a^{d_2}b^{(n/d_2-1)d_2}, \ldots, a^{d_m}b^{(n/d_m-1)d_m}$. Conversely, for each string w of length n in L, there is a unique divisor of n (namely the number of a's in w) associated with the string. This means the generating function of L is $f(z) = \sum_{n \geq 2} \phi(n) z^n$.

It can be shown that $f(z) = \sum_{n \geq 2} a_n \frac{z^n}{1-z^n}$ where $a_n = \sum_{d|n} \phi(d)\mu(n/d)$ where μ is the Mobius function. From this expression, it is clear that every solution to $z^n = 1$ is a pole of f(z) and so the n'th root of unity is a pole of f(z) for each positive integer $n \geq 2$. Since a rational function can have only a finite number of poles, it follows that f(z) is not rational and hence L is not counting-regular.

However, it is known that unary languages accepted by 2NCM(k)s are regular [41]; hence, such languages are counting-regular.

This is interesting, as 2DCM(1) has a decidable emptiness and slenderness problem, yet there is a letter-bounded language from the theorem above accepted by a 2DCM(1) that is not counting-regular.

The following result provides an interesting contrast, as it involves a model with an undecidable membership (and emptiness) problem, but provides an example of a (non-recursively enumerable) language that is all of slender, thin, bounded, semilinear, but also counting-regular.

Theorem 40. There exists a language L that is letter-bounded and semilinear and thin and counting regular but is not recursively enumerable. Moreover, we can effectively construct a DFA M such that $f_{L(M)}(n) = f_L(n)$.

PROOF. Let $L \subseteq a^*$ be a unary language that is not recursively enumerable, which is known to exist [44]. Assume without loss of generality that the empty word is not in L, but the letter a itself is in L.

Let L' be the language consisting of, for each $n \ge 1$, the single word with all a's except for one b in the position of the largest $m \le n$ such that $a^m \in L$.

Then L' has one word of every length, and is therefore thin. Also, it has one word of every length and exactly one b in every word, so it has the same Parikh map as a^*b , so is semilinear. Also, it is clearly bounded in a^*ba^* . It is also not recursively enumerable, otherwise if it were, then make a gsm [18] that outputs a's for every a until a b, then it outputs a. Then, for every remaining a, it outputs the empty word. Applying this to L' gives L (the position of the b lets the gsm recover the words of L). But the recursively enumerable languages are closed under gsm mappings, a contradiction.

8. Characterization of k-Slender Semilinear and Length-Semilinear Languages

This section discusses decidability properties (such as the problem of testing whether two languages are equal, or one language is contained in another) for k-slender languages in arbitrary families of languages satisfying certain closure properties. It is known that the equivalence problem for NPCM languages that are subsets of $w_1^* \cdots w_r^*$ for given w_1, \ldots, w_r is decidable. However, as mentioned above, we do not know yet if k-slender languages are bounded and even if they are, we do not know yet how to the determine the associated words w_1, \ldots, w_r . Hence, the definition and results below are of interest.

The following notion is useful for studying decidability properties of slender languages. Let $k \geq 1$ be given. A language L is k-slender effective if we can effectively construct a DFA over a unary alphabet $\{1\}$ with k+1 distinguished states s_0, s_1, \ldots, s_k (other states can exist) which, when given an input 1^n where $n \geq 0$, halts in state s_i if $f_L(n) = i$, where $0 \leq i \leq k$. (Hence, the DFA can determine the number of strings in L of length n, for every n.)

For example, consider the language

$$L = \{a^i b^i \mid i \ge 1\} \cup \{c^i a^i d^i \mid i \ge 1\}.$$

Then,

$$f_L(n) = \begin{cases} 0 & \text{if } n = 0 \text{ or } n = 1 \text{ or not divisible by 2 and 3,} \\ 1 & \text{if } n \geq 2, \text{ is divisible by 2, and not divisible by 3,} \\ 1 & \text{if } n \geq 3, \text{ is divisible by 3, and not divisible by 2,} \\ 2 & \text{if } n \geq 2, \text{ is divisible by 2 and divisible by 3.} \end{cases}$$

Clearly, L is 2-slender effective.

Next, we will discuss which k-slender languages are k-slender effective. First, we say that the length-semilinear property is effective if it is possible to effectively construct, for $L \in \mathcal{L}$, a DFA accepting $\{1^n \mid f_L(n) \geq 1\}$.

Theorem 41. Let \mathcal{L} be an effective length-semilinear trio. A finite union of thin languages in \mathcal{L} is k-slender effective.

PROOF. Let L is the finite union of $L_1, \ldots, L_k \in \mathcal{L}$, each thin. Then construct $h(L_i)$, where h maps L_i onto the single letter 1. Then $h(L_i) = \{1^n \mid f_{L_i}(n) = 1\}$. Then since \mathcal{L} is length-semilinear, each of $h(L_i)$ are regular, and can be accepted by a DFA M_i . Thus, make another DFA M' such that, on input 1^n , M' runs each M_i in parallel on 1^n , and then switches to distinguished state s_j if there are j of the DFAs M_1, \ldots, M_k that are accepting.

It will be seen next that for all k-slender languages in "well-behaved" families, they are k-slender effective. First, the following lemma is needed.

Lemma 42. Let \mathcal{L} be a full trio which is either:

- semilinear, or
- is length-semilinear, closed under concatenation, and intersection with NCM,

with all properties effective. Let $k \geq 1$ and $L \in \mathcal{L}$, a k-slender language such that $f_L(n)$ is equal to 0 or k for every n. There is a DFA that can determine $f_L(n)$. Hence L is a k-slender effective language.

PROOF. Let $\mathcal{L}' = \hat{\mathcal{F}}(\mathcal{L} \wedge \mathsf{NCM})$ (or just \mathcal{L} in the second case), which is semilinear by Theorem 32, (or length-semilinear by assumption).

Consider $L' = \{x_1 \# \cdots \# x_k \mid x_1, \dots, x_k \in L\} \in \mathcal{L}'$. Create L'' by intersecting L' with an NCM language that enforces that all words of the form $x_1 \# \cdots \# x_k$ have $|x_1| = \cdots = |x_k|$, and $x_i \neq x_j$ for each $i \neq j$. Thus $L'' = \{x_1 \# \cdots \# x_k \mid x_1, \dots, x_k \in L, |x_1| = \cdots = |x_k|, x_i \neq x_j \text{ for each } i \neq j\}$. Hence, $L'' \in \mathcal{L}'$. Let L''' be the language obtained from L'' by homomorphism that projects onto the single letter 1. Since L''' is length-semilinear, it can be accepted by a DFA. Moreover, the length n of a word $x_1 \# \cdots \# x_k \in L''$ can be transformed into $|x_1|$ via $\frac{n-(k-1)}{k}$. Given the DFA, then another DFA can be built that can determine $f_L(n)$.

Then, the following is true.

Theorem 43. Let \mathcal{L} be a full trio which is either:

- semilinear, or
- is length-semilinear, closed under concatenation, union, and intersection with NCM,

with all properties effective. If $L \in \mathcal{L}$ be a k-slender language L, then L is k-slender effective.

PROOF. The case k=1 is true by Theorem 41. Assume by induction that the theorem is true for $k\geq 1$. Now consider an $L\in\mathcal{L}$ that is a (k+1)-slender language, $k\geq 1$. Hence $f_L(n)\leq (k+1)$ for each $n\geq 0$. Let $\mathcal{L}'=\hat{\mathcal{F}}(\mathcal{L}\wedge\mathsf{NCM})$ (or just \mathcal{L} in the second case), which is semilinear by Theorem 32, (or length-semilinear by assumption). Let $A=\{x_1\#\cdots\#x_{k+1}\mid x_1,\ldots,x_{k+1}\in L\}\in\mathcal{L}'$. Then intersect A with an NCM that enforces that all words $x_1\#\cdots\#x_{k+1}$ have $|x_1|=\cdots=|x_{k+1}|$, and $x_i\neq x_j$ for each $i\neq j$. Let A' be the resulting language. Then $A'=\{x_1\#\cdots\#x_{k+1}\mid x_1,\ldots,x_{k+1}\in L \text{ such that } |x_1|=\cdots=|x_{k+1}|,x_i\neq x_j \text{ for each } i\neq j\}$. By Lemma 42, a DFA accepting $\{1^n\mid f_L(n)=k+1\}$ can be effectively constructed. Thus, a DFA accepting $\{1^n\mid f_L(n)\neq k+1\}$ can also be constructed. Furthermore, $B=\{w\mid w\in L, f_L(|w|)\neq k+1\}\in\mathcal{L}'$. Then, B is k-slender and, hence k-slender effective by induction hypothesis. Hence, L is (k+1)-slender effective.

The proof of Theorem 43 actually shows the following:

Corollary 44. Let \mathcal{L} be a full trio closed under concatenation, union, and intersection with NCM, and is length-semilinear with all properties effective. Let $k \geq 1$. A language $L \in \mathcal{L}$ is a k-slender language if and only if $L = L_1 \cup \cdots \cup L_k$, where for $1 \leq i \leq k$, L_i is an i-slender effective language such that $f_{L_i}(n)$ is equal to 0 or i for each n.

Next, decidability of containment is addressed.

Theorem 45. Let \mathcal{L} be a full trio which is either:

- semilinear, or
- is length-semilinear, closed under concatenation, and intersection with NCM,

with all properties effective. It is decidable, given $L_1, L_2 \in \mathcal{L}$ with L_2 being a k-slender language, whether $L_1 \subseteq L_2$.

PROOF. Then L_2 is k-slender effective by Theorem 43. Without loss of generality, assume that the input alphabet of both L_1 and L_2 is Σ . Let 1, #, and \$ be new symbols. Let $\mathcal{L}' = \hat{\mathcal{F}}(\mathcal{L} \wedge \mathsf{NCM})$ (or just \mathcal{L} in the second case), which is semilinear by Theorem 32, (or length-semilinear by assumption). We will construct a sequence of machines and languages below.

- 1. First, let M'_1 (resp. M'_2) be the unary DFA accepting all words 1^n where a word of length n is in L_1 (resp. in L_2). Let $A_1 = L(M_1) L(M_2)$. (This is empty if and only if all lengths of words in L_1 are lengths of words in L_2 . This language is regular.
- 2. Construct $A_2 \in \mathcal{L}'$ consisting of all words $w = 1^n x y_1 \# \cdots y_r$, where $x \in L_1$ and each $y_j \in L_2$.
- 3. Construct an NCM A_3 which, when given $w = 1^n x y_1 \# \cdots y_r$, accepts w if the following is true:
 - (a) $r = f_{L_2}(n)$ (which can be tested since L_2 is k-slender effective).
 - (b) $|x| = |y_1| = \dots = |y_r| = n$.
 - (c) $y_i \neq y_j$ for each $i \neq j$.
 - (d) $x \neq y_i$ for each i.

Note that A_3 needs multiple reversal-bounded counters to carry out the four tasks in parallel.

- 4. Construct $A_4 = A_2 \cap A_3 \in \mathcal{L}'$.
- 5. Finally construct an $A_5 = A_4 \cup A_1 \in \mathcal{L}'$ (full trios are closed under union with regular languages [23]).

It is easy to verify that $L_1 \not\subseteq L_2$ if and only if A_5 is not empty, which is decidable, since emptiness is decidable.

Corollary 46. Let \mathcal{L} be a full trio which is either:

- semilinear, or
- is length-semilinear, closed under concatenation, and intersection with NCM,

with all properties effective. It is decidable, given $L_1, L_2 \in \mathcal{L}$ that are k-slender languages, whether $L_1 = L_2$.

There are many semilinear full trios in the literature for which the properties in this section hold.

Corollary 47. Let \mathcal{L} be any of the families from Example 1. The following are decidable:

- For L_1, L_2 with L_2 being k-slender, is $L_1 \subseteq L_2$?
- For L_1, L_2 being k-slender languages, is $L_1 = L_2$?

Furthermore, matrix grammars are an example of a length-semilinear [45] full trio closed under concatenation, union, and intersection with NCM (although they do accept non-semilinear languages). We therefore get all these properties for matrix grammars as a consequence of these proofs. However, this result is already known [4].

Using the ideas in the constructive proof of the theorem above, we can also show:

Theorem 48. Let \mathcal{L} be a union and concatenation closed length-semilinear full trio with all properties effective that is closed under intersection with NCM. Let $L_1, L_2 \in \mathcal{L}$ with L_2 a k-slender language. Then $L_1 - L_2 \in \mathcal{L}$. Hence, the complement of any k-slender language in \mathcal{L} is again in \mathcal{L} .

PROOF. Let Σ be the (without loss of generality) joint alphabet of L_1 and L_2 , and let Σ' be the set of the primed versions of the symbols in Σ . Let #, and \$ be new symbols. Consider input

$$w = x \$ y_1 \# \cdots \# y_r, \tag{2}$$

where x is in $(\Sigma')^*$ and y_1, \ldots, y_r are in Σ^* , for some $0 \le r \le k$. By Theorem 43, L_2 is k-slender effective. Let M' be this unary DFA accepting all words of lengths in L_2 . Build an NCM M'' that on input w, verifies:

- 1. r = f(n).
- 2. $|x| = |y_1| = \cdots = |y_r|$.
- 3. $y_i \neq y_j$ for each $i \neq j$.
- 4. $h(x) \neq y_i$ for each i, where h(a') = a for each $a' \in \Sigma'$.

Consider $L''' \in \mathcal{L}$ consisting of all words of the form of w in Equation 2, where $x \in L_1$, and each $y_i \in L_2$. This is in \mathcal{L} since \mathcal{L} is closed under concatenation.

Now define a homomorphism h_1 which maps #, \$, and symbols in Σ to ϵ and fixes letters in Σ' . Clearly, $h_1(L''' \cap L(M''))$ is $L_1 - L_2$, and it is in \mathcal{L} .

This holds for not only the matrix languages, but also concatenation and union-closed semilinear full trios closed under intersection with NCM. Some examples are:

Corollary 49. Let \mathcal{L} be any family of languages that are accepted by a machine model in Example 1 that are augmented by reversal-bounded counters. Given $L_1, L_2 \in \mathcal{L}$ with L_2 being k-slender, then $L_1 - L_2 \in \mathcal{L}$. Furthermore, the complement of any k-slender language in \mathcal{L} is again in \mathcal{L} .

Next, decidability of disjointness for k-slender languages will be addressed.

Theorem 50. Let \mathcal{L} be a full trio which is either:

- semilinear, or
- is length-semilinear, closed under concatenation, union, and intersection with NCM,

with all properties effective. Given $L_1, L_2 \in \mathcal{L}$ being k-slender languages, it is decidable whether $L_1 \cap L_2 = \emptyset$.

PROOF. Let $\mathcal{L}' = \hat{\mathcal{F}}(\mathcal{L} \wedge \mathsf{NCM})$ (or just \mathcal{L} in the second case), which is semilinear by Theorem 32, (or length-semilinear by assumption).

Notice that $L_1 \cap L_2 = (L_1 \cup L_2) - ((L_1 - L_2) \cup (L_2 - L_1))$. By Theorem 48, $L_1 - L_2 \in \mathcal{L}$ and $L_2 - L_1 \in \mathcal{L}$, and both must be k-slender since L_1 and L_2 are both k-slender. Certainly $(L_1 - L_2) \cup (L_2 - L_1) \in \mathcal{L}$, and is also 2k-slender. Also, $L_1 \cup L_2 \in \mathcal{L}$. Hence, by another application of Theorem 48, $(L_1 \cup L_2) - ((L_1 - L_2) \cup (L_2 - L_1)) \in \mathcal{L}$. Since emptiness is decidable in \mathcal{L} , the theorem follows.

This again holds for all the families in Example 1 plus the languages accepted by matrix grammars.

An interesting open question is whether every k-slender NCM language (or other more general families) can be decomposed into a finite disjoint union of thin NCM languages. Although we have not been able to show this, we can give a related result. To recall, in [14], the model TCA is introduced consisting of a nondeterministic Turing machine with a one-way read-only input tape, a finite-crossing read/write tape, and reversal-bounded counters. It is shown that this model only accepts semilinear languages, and indeed, it is a full trio. Clearly, the model is closed under intersection with NCM by adding more counters. Although we do not know whether it is possible to decompose NCM slender languages into thin NCM languages, we can decompose them into thin TCA languages.

Theorem 51. Every k-slender NCM language L is a finite union of thin TCA languages.

PROOF. Let M be an NCM accepting L. Since L is k-slender, for each n, there are either exactly k words of length n, or k-1 words of length n, etc. or 0 words of length n. Let $A_k = \{x_1 \# \cdots \# x_k \mid x_1, \ldots, x_k \in L(M), |x_1| = \cdots = |x_k|, x_1 < \cdots < x_k\}$ (the < relation uses lexicographic ordering). For all such words $x_1 \# \cdots \# x_k$, then the lengths of the first parts, $|x_1|$, are exactly those lengths n such that $f_L(n) = k$. Then build a TCA M' accepting A_k as follows: M' reads $x_1 \# \cdots \# x_k$, and verifies each $x_i \in L(M)$ using a set of counters, while in parallel verifying their lengths are the same. In parallel, M' writes x_1 on the worktape; then when reading x_2 letter-by-letter, it scans x_1 on the worktape also letter-by-letter, and in the first position where they differ, it verifies that $x_2 > x_1$. From that point on, it replaces x_1 on the tape with x_2 . It then repeats up to x_k .

Let G_i be a gsm that extracts the *i*'th "component" of A_k . Then $G_1(A_k), \ldots, G_k(A_k)$ are all thin languages. As they are thin, there is a DFA M_k accepting all these lengths of words. Next, let $A_{k-1} = \{x_1\# \cdots \# x_{k-1} \mid x_1, \ldots, x_{k-1} \in L(M), |x_1| = \cdots = |x_{k-1}|, x_1 < \cdots < x_{k-1}, 1^{|x_1|} \notin L(M_k)\}$. These must be those words $x_1\# \cdots \# x_{k-1}$ where there cannot be a *k*'th such word (since $1^{|x_1|} \notin L(M_k)$). And again, we can use $C_1(A_{k-1}), \ldots, C_{k-1}(A_{k-1})$ to separate based on lexicographic order, and each such language is thin

Continuing in this fashion down to 1, we see that L is a finite union of thin languages in TCA (for all $C_i(A_j), 1 \le i \le k$).

A language L is k-counting-regular if there exists a regular language L' such that $f_{L'}(n) = f_L(n) \le k$ for $n \ge 0$ (this is equivalent to L being k-slender and counting-regular). L is finite-counting regular if it is k-counting regular for some k. The next result shows that all k-slender languages in "well-behaved" language families are k-counting-regular.

Theorem 52. Let \mathcal{L} be a full trio which is either:

- semilinear, or
- is length-semilinear, closed under concatenation, and intersection with NCM,

with all properties effective. Let L be a k-slender language in \mathcal{L} . Then L is k-counting-regular (and thus counting-regular) and we can effectively construct a DFA M such that $f_L(n) = f_{L(M)}(n) \le k$ for $n \ge 0$. Moreover, L(M) is bounded with $L(M) \subseteq 1^*\{\#_1, \ldots, \#_k\}$ for some distinct symbols $\#_1, \ldots, \#_k$.

PROOF. From Lemma 42, L is k-slender effective, and we can construct a DFA M' such that when given input 1^n , halts in state s_i $(0 \le i \le k)$ if $f_L(n) = i$.

Let $\#_1, \ldots, \#_k$ be new symbols. Construct a DFA M which, on input $w = 1^{n-1} \#_s$ (for some $1 \le s \le k$) simulates M' on w. (M pretends that $\#_s$ is 1 in the simulation). If M' lands in state s_t ($1 \le t \le k$), M accepts w if and only of $s = 1, \ldots, t$. (Of course, if none of M' lands in state s_0 , M does not accept w).

It is easy to verify that $f_L(n) = L_{L(M)}(n) \le k$ for all $n \ge 0$. Hence, L is k-counting-regular.

Corollary 53. Let \mathcal{L} be a full trio which is either:

- semilinear, or
- is length-semilinear, closed under concatenation, and intersection with NCM,

with all properties effective. Then

- 1. L is k-slender if and only if L is k-counting regular.
- 2. L is slender if and only if L is finite-counting regular.

From Corollary 31 and the above corollary:

Corollary 54. Let \mathcal{L} be a semilinear trio (with all properties effective). Then, it is decidable, given L bounded in \mathcal{L} and words u_1, \ldots, u_k such that $L \subseteq u_1^* \cdots u_k^*$, whether L is finite-counting-regular.

As in Section 4, we assumed in this section that the closure properties are effective, since we wanted the results to be effective. However, we can remove this assumption and many of the results would still hold existentially. For example, the closure properties would still hold.

9. Conclusions

In this work, we attempted to understand languages with simple counting functions: those that have counting functions that belong to the class of counting functions of regular languages (known as counting-regular languages), and those for which the counting function is bounded by a constant k, (known as k-slender languages). First, it is shown that all unambiguous NTMs with a one-way input and a reversal-bounded worktape are counting-regular. Then, certain "well-behaved" language families are considered, that form semilinear full trios \mathcal{L} . It is shown that the counting functions for the bounded languages in \mathcal{L} coincide with the counting functions for the bounded regular languages. Also, all k-slender languages in \mathcal{L} have the same counting function as some bounded regular language. The containment, equality, and disjointment problems are shown to be decidable for k-slender languages in \mathcal{L} . Most results are general enough to cover more general families that are not semilinear should they satisfy certain other closure properties (such families include the languages generated by matrix grammars).

We conclude with some open problems arising from this study. It is open whether for every counting-regular CFL L over a k-letter alphabet, there is a regular language L' over a k-letter alphabet such that $f_L = f_{L'}$. Regarding closure properties, we conjecture that the counting-regular CFLs are not closed under Kleene star. It is unknown whether every k-slender language is bounded within every semilinear full trio (this is true within the context-free languages). Also, the decidability status of whether a language L in an arbitrary full trio is slender (k is not given) is open (this is again decidable for the context-free languages). Similarly, it is unknown whether there is a procedure to determine words w_1, \ldots, w_n , if they exist, such that $L \subseteq w_1^* \cdots w_n^*$, for L in such full trios (this is again possible for context-free languages).

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