

# Trace monoids with some invertible generators: two decision problems

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## *Abstract*

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Linear-time algorithms are given for the Word and Conjugacy Problems for trace monoids (or, free partially commutative monoids, commutation monoids) in which, in addition, some specified generators have inverses.

## 1. Introduction

Algebraic structures that extend free monoids by allowing some of the generators to commute have been studied extensively under such names as trace monoids, free partially commutative (or abelian) monoids and commutation monoids (see, e.g., [1, 2, 6, 10, 13]). One motivation for the recent interest is the possibility of using such structures for modeling aspects of parallelism or concurrency: a string may represent a sequence of operations, some pairs of which may be performed in parallel or in either order without changing the outcome.

The topic of this paper is a further extension in which not only do specified pairs of generators commute but also some specified set of generators have inverses. This extension is in keeping with the motivation noted above in that for some (but not necessarily all) operations, there may be another operation that reverses the effect: e.g., “increment (integer) register 1” could have the inverse operation “decrement register 1”.

A structure  $M(\theta_0, \Gamma_0)$  of this type can be determined by the set  $\theta_0$  of pairs of commuting or independent generators and the set  $\Gamma_0$  of invertible generators. Those two sets give rise to a Thue system, or rewriting system on strings, with rules expressing the commutations and cancellations of letters. The Thue system, called

$T_1$ , used here to present the monoid  $M(\theta_0, \Gamma_0)$  completely expresses those relationships; this choice simplifies other calculations about  $M(\theta_0, \Gamma_0)$  because  $T_1$  has the preperfect property. The elements of  $M(\theta_0, \Gamma_0)$  are then represented by congruence classes of strings under the Thue congruence generated by  $T_1$ .

For any rewriting system, an important question is the complexity of the Word Problem, that is, of deciding whether two objects are equivalent under the rules of the system. It is shown here that the Word Problem for any finitely-generated trace monoid with some invertible letters can be solved in linear time (even by a Turing machine): given two strings, it can be decided in time linear in the sum of their lengths whether they are congruent modulo  $T_1$ . The route taken by the algorithm is to first convert the strings to their “normal forms”, which can be easily compared.

Another question of interest is the Conjugacy Problem. By analogy with groups and free monoids, elements  $m_1, m_2$  of a monoid could be termed “conjugate” if  $m_1 \cdot p = p \cdot m_2$  for some conjugator  $p$ —how difficult is it to decide whether two elements are conjugate? It is shown here that the Conjugacy Problem for any finitely-generated trace monoid with some invertible letters can also be solved in linear time.

The algorithms presented here are essentially the same as those that can be used when all the generators are invertible [15], and are based on the theory and algorithms that have been developed for trace monoids.

## 2. Rewriting systems and commutation monoids

The notation used here for strings and free monoids follows that of Lothaire [9], with the exception that  $e$  is used to denote the empty string. In particular,  $|x|$  denotes the length of string  $x$ , and  $|x|_a$  denotes the number of occurrences of the letter  $a$  in  $x$ .

A *rewriting system* is a set  $U$  and a binary relation  $\Rightarrow$  on  $U$  called “reduction”; the reflexive-transitive closure of  $\Rightarrow$  is denoted by  $\stackrel{*}{\Rightarrow}$ , and the equivalence relation it generates, by  $\stackrel{*}{\Leftrightarrow}$ . An element  $u_1$  is *irreducible* if there is no element  $u_2$  such that  $u_1 \Rightarrow u_2$ . The system is *Church–Rosser* if  $u_1 \stackrel{*}{\Leftrightarrow} u_2$  implies the two elements have a common descendant, that is, an element  $u_3$  such that  $u_1 \stackrel{*}{\Rightarrow} u_3$  and  $u_2 \stackrel{*}{\Rightarrow} u_3$ .

A *Thue system* is a rewriting system on a free monoid  $\Sigma^*$  whose basis is a set  $T$  of pairs of strings. For such a system, write  $x \leftrightarrow y$  if  $x = rus$  and  $y = rvs$  for some strings  $r, u, v, s$ , such that either  $(u, v) \in T$  or  $(v, u) \in T$ . The Thue congruence determined by  $T$  is the reflexive-transitive closure of the one-step relation  $\leftrightarrow$ , and the monoid determined by  $T$  is the quotient of  $\Sigma^*$  by its Thue congruence. One rewriting step might or might not change the length of the string: when  $x \leftrightarrow y$ , write:

- (i)  $x \rightarrow y$ , if  $|x| > |y|$ ,
- (ii)  $x| \rightarrow y$ , if  $|x| \geq |y|$ ,
- (iii)  $x| - |y$ , if  $|x| = |y|$ .

The reflexive-transitive closures of these relations are denoted by  $\xrightarrow{*}$ ,  $|\xrightarrow{*}$  and  $|\xrightarrow{*}|$ , respectively. A string is *minimal* (with respect to  $T$ ) if it is a shortest string in its congruence class.

A Thue system is *preperfect* if whenever strings  $u$  and  $v$  are congruent there is some string  $w$  such that  $u \xrightarrow{*} w$  and  $v \xrightarrow{*} w$ . An equivalent “local” condition is that if  $u \leftarrow x \xrightarrow{*} y \rightarrow v$  then  $u \xrightarrow{*} w$  and  $v \xrightarrow{*} w$  for some  $w$  [11]. For a preperfect system, congruent strings can be “joined” by a mixture of length-reducing and length-preserving rules; if in fact all the reductions can be done first then the system is *almost confluent*: for congruent strings  $u$  and  $v$ , there is some string  $w$  such that  $u \xrightarrow{*} \xrightarrow{*} w$  and  $v \xrightarrow{*} \xrightarrow{*} w$ .

A *commutation monoid*  $M(\theta)$  is specified by an alphabet  $\Sigma$  and a symmetric and irreflexive “independence” (or “concurrency”) relation  $\theta \subseteq \Sigma \times \Sigma$ . The independence relation determines a congruence relation on  $\Sigma^*$  by allowing pairs of independent letters to commute: if  $(a, b) \in \theta$  then  $xaby \mid \mid xbay$  for all strings  $x, y$ . The monoid  $M(\theta) = \Sigma^* / \mid$  is then the monoid determined by the Thue system  $\{(ab, ba) : (a, b) \in \theta\}$ . Call strings  $x$  and  $y$  “independent” if  $\text{alph}(x) \times \text{alph}(y) \subseteq \theta$ ; that is, if every letter in  $x$  is independent of every letter in  $y$ .

We may add structure to a commutation monoid by allowing some of the generating letters to have inverses. Suppose that  $\Sigma_0$  is an alphabet,  $\Gamma_0 \subseteq \Sigma_0$  is the set of invertible letters, and  $\theta_0$  is an independence relation on  $\Sigma_0$ . These determine a certain monoid  $M(\theta_0, \Gamma_0)$  in which cancellation rules apply to letters with inverses and commutation rules apply as specified by the independence relation.

Let  $\Gamma_1 = \{\bar{a} : a \in \Gamma_0\}$  be a set of formal inverses for the letters in  $\Gamma_0$ , and let  $\Gamma = \Gamma_0 \cup \Gamma_1$  and  $\Sigma = \Sigma_0 \cup \Gamma_1$ . For  $x = a_1 \dots a_n$ ,  $a_i \in \Gamma$ ,  $x^{-1}$  denotes the string  $\bar{a}_n \dots \bar{a}_1$  (where  $\bar{\bar{a}} = a$ ). Let  $\theta \subseteq \Sigma \times \Sigma$  be the extension of  $\theta_0$  to  $\Sigma$ :

$$\begin{aligned} \theta &= \theta_0 \cup \{(\bar{a}, b) : (a, b) \in \theta_0, a \in \Gamma_0\} \\ &\cup \{(a, \bar{b}) : (a, b) \in \theta_0, b \in \Gamma_0\} \\ &\cup \{(\bar{a}, \bar{b}) : (a, b) \in \theta_0, a, b \in \Gamma_0\}. \end{aligned}$$

Let  $T_1$  be the following Thue system on  $\Sigma$ :

$$T_1 = \{(a\bar{a}, e), (\bar{a}a, e) : a \in \Gamma_0\} \cup \{(cd, dc) : (c, d) \in \theta\}.$$

Let  $\equiv$  denote the congruence generated by the Thue system  $T_1$ , and  $M(\theta_0, \Gamma_0) = \Sigma^* / \equiv$ . Relative to  $T_1$ ,  $x \rightarrow y$  if  $y$  is formed from  $x$  by cancelling a pair of inverse letters, and  $x \mid \mid y$  if  $y$  is formed by interchanging a pair of adjacent independent letters in  $x$ .

The commutation monoid corresponding  $M(\theta_0, \Gamma_0)$  is the monoid  $M(\theta) = \Sigma^* / \mid \xrightarrow{*} \mid$  presented by the Thue system  $\{(cd, dc) : (c, d) \in \theta\}$ . When the alphabet  $\Sigma_0$  is finite, also  $\theta_0$ ,  $\Gamma_0$  and  $\Sigma$  will necessarily be finite, so both this Thue system and the system  $T_1$  will be finite.

The system  $T_1$  is redundant in the sense that some of the rules it contains can be

derived from others; however, in general some redundancy is necessary for the preperfect property (shown in Theorem 3.3) to hold.

Let  $A_1, \dots, A_N$  be a collection of subsets of  $\Sigma$  that cover  $\Sigma$  and have the following properties: for all  $a, b \in \Sigma$

- (i) when  $a \in \Gamma_0$ , for all  $i$ ,  $a \in A_i$  exactly when  $\bar{a} \in A_i$ ;
- (ii) if  $(a, b) \notin \theta$ , then, for some  $j$ ,  $a \in A_j$  and  $b \in A_j$ ;
- (iii) if there is some  $i$  such that  $a, b \in A_i$ , then  $(a, b) \notin \theta$ .

For each  $i$ , let  $\pi_i: \Sigma^* \rightarrow A_i^*$  be the projection of  $\Sigma$  onto  $A_i$ , that is, the homomorphism determined by defining  $\pi_i(a) = a$  for  $a \in A_i$  and  $\pi_i(a) = e$  for  $a \notin A_i$ . Note that if  $\pi_i(x) = e$  whenever  $a \in A_i$ , then string  $x$  is independent of letter  $a$ .

Let  $\Pi: \Sigma^* \rightarrow A_1^* \times \dots \times A_N^*$  be the function defined by  $\Pi(w) = (\pi_1(w), \dots, \pi_N(w))$ . Because  $M(\theta)$  is a commutation monoid, we have the following correspondence between  $M(\theta)$  and the product  $A_1^* \times \dots \times A_N^*$ . Variations of this fact, for particular choices of the projection sets  $A_1, \dots, A_N$ , have been proved by Cori and Perrin [4] and by Duboc [5].

**Proposition 2.1.** *For all  $u, v \in \Sigma^*$ ,  $u \mid^* v$  if and only if  $\Pi(u) = \Pi(v)$ .*

Two facts about commutation monoids that follow easily from Proposition 2.1 (or by induction on the number of “interchanging” steps) are that they are cancellative, and, for each letter  $a \in \Sigma$ , if  $ar \mid^* s$ , then there exist  $p$  and  $q$  such that  $s = paq$ ,  $p$  is independent of  $a$ , and  $r \mid^* pq$ .

Although the statement of Proposition 2.1 implicitly assumes that the alphabet  $\Sigma$  is finite, the result also holds when the alphabet is countable, with the obvious extension of the collection of subsets  $A_i$  to a (possibly) countable collection.

### 3. Preperfectness, minimality and the Word Problem

Assume throughout this section that we are dealing with a fixed but arbitrary alphabet  $\Sigma_0$ , independence relation  $\theta_0$  on  $\Sigma_0$  and subset  $\Gamma_0$  of invertible generators, with the Thue system  $T_1$  and monoid  $M(\theta_0, \Gamma_0)$  as derived in Section 2. It is shown that the Thue system  $T_1$  is preperfect (Theorem 3.3), and that when  $T_1$  is finite, there is a linear-time algorithm for the problem of finding a minimal string congruent to a given string (Theorem 3.4). It easily follows that the Word Problem for the monoid  $M(\theta_0, \Gamma_0)$  as presented by  $T_1$  can be solved in linear time when the underlying alphabet  $\Sigma_0$  is finite.

The results are obtained by means of the following reduction relation on tuples of strings that is suggested by Proposition 2.1; the reduction relation will be seen to faithfully represent  $T_1$  and to be Church–Rosser (Theorem 3.2). While a direct proof that  $T_1$  is preperfect is not difficult, the reduction relation is useful for the algorithms, which deal with strings  $w$  in their projected forms  $\Pi(w)$ .

**Definition.** For tuples  $s, t \in A_1^* \times \cdots \times A_N^*$ ,  $s$  reduces to  $t$  in one step, written  $s \Rightarrow t$ , if for some  $a \in \Gamma$  and some  $k \geq 0$ , for every  $i$ ,

- (i) if  $a \notin A_i$ , then  $t_i = s_i$ ; and
- (ii) if  $a \in A_i$ , then  $s_i = u_i a \bar{a} v_i$ ,  $t_i = u_i v_i$  and  $|u_i|_a + |u_i|_{\bar{a}} = k$ .

Call a reduction using invertible letter  $a$  and constant  $k$ , “cancelling  $a$  at sum  $k$ ”.

The following proposition gives the basic connection between the reduction relation  $\Rightarrow$  on tuples and the Thue system  $T_1$ .

**Proposition 3.1.**

- (a) For strings  $u, v$  the following are equivalent:
  - (i)  $\Pi(u) \Rightarrow \Pi(v)$ ;
  - (ii)  $u \mid \overset{*}{\leftarrow} u_1 \rightarrow v_1 \mid \overset{*}{\leftarrow} v$  for some strings  $u_1, v_1$ ; and
  - (iii)  $u = xay\bar{a}z$  and  $v \mid \overset{*}{\leftarrow} xyz$  for some strings  $x, y, z$  such that  $y$  is independent of  $a$ .
- (b) The reduction relation  $\Rightarrow$  preserves  $\Pi(\Sigma^*)$ ; that is, if  $\Pi(u) \Rightarrow t$  then  $t \in \Pi(\Sigma^*)$ .

Reduction of tuples may lead into  $\Pi(\Sigma^*)$  from outside the class. For example, suppose  $a \in \Gamma_0$ , and  $\{a, b\} \subseteq A_1$ ,  $\{a, c\} \subseteq A_2$  and  $\{b, c\} \subseteq A_3$ . Then  $(a\bar{a}b, ca\bar{a}, bc) \Rightarrow (b, c, bc) = \Pi(bc)$ , but  $(a\bar{a}b, ca\bar{a}, bc) \notin \Pi(\Sigma^*)$ .

**Proof of Proposition 3.1.** If (iii) holds then, since  $y$  is independent of  $a$ ,  $u = xay\bar{a}z \mid \overset{*}{\leftarrow} xy\bar{a}z$ , and so for (ii) we may take  $u_1 = xy\bar{a}z$  and  $v_1 = xyz$ . If (ii) holds then  $u_1 = xa\bar{a}y$  and  $v_1 = xy$  for some  $x, y$  and  $a$ , and  $\Pi(u) = \Pi(u_1)$  and  $\Pi(v) = \Pi(v_1)$ . If  $a \notin A_i$  then  $\pi_i(u) = \pi_i(xy) = \pi_i(v)$ ; and if  $a \in A_i$ , then  $\pi_i(u) = \pi_i(x)a\bar{a}\pi_i(y)$  and  $\pi_i(v) = \pi_i(x)\pi_i(y)$  with  $|\pi_i(x)|_b = |x|_b$  for every letter  $b$  in  $A_i$ , so in particular  $|\pi_i(x)|_a + |\pi_i(x)|_{\bar{a}}$  is the constant value  $|x|_a + |x|_{\bar{a}}$ . Thus,  $\Pi(u) \Rightarrow \Pi(v)$  and (i) holds.

To see that (i) implies (iii), suppose  $\Pi(u)$  reduces to a tuple  $t$  by cancelling  $a \in \Gamma$  at sum  $k$ , as displayed in the definition above. The letter  $a$  belongs to some set  $A_i$ , say  $A_1$ , so  $\pi_1(u) = u_1 a \bar{a} v_1$  with  $|u_1|_a + |u_1|_{\bar{a}} = k$ . From the definition of the projection homomorphism  $\pi_1$ ,  $u = xay\bar{a}z$  where  $\pi_1(x) = u_1$ ,  $\pi_1(y) = e$ , and  $\pi_1(z) = v_1$ . Because  $\pi_1$  does not erase  $a$  or  $\bar{a}$ ,  $|x|_a + |x|_{\bar{a}} = k$ . Consider any other index  $i$  such that  $a$  belongs to  $A_i$ ; then  $\pi_i(u) = u_i a \bar{a} v_i = \pi_i(xay\bar{a}z) = \pi_i(x)a\pi_i(y)\bar{a}\pi_i(z)$ . Since  $|u_i|_a + |u_i|_{\bar{a}} = k = |\pi_i(x)|_a + |\pi_i(x)|_{\bar{a}}$ , it must be the case that  $u_i = \pi_i(x)$  and  $\bar{a}v_i = \pi_i(y)\bar{a}\pi_i(z)$ . Since  $\pi_1(y) = e$ ,  $y$  and hence  $\pi_i(y)$  can contain no occurrence of  $\bar{a}$ , so  $\pi_i(y) = e$  and  $v_i = \pi_i(z)$ . Thus,  $u = xay\bar{a}z$  with  $y$  independent of  $a$  (since  $\pi_i(y) = e$  whenever  $a \in A_i$ ). Also, for  $a \in A_i$ ,  $t_i = u_i v_i = \pi_i(x)\pi_i(z) = \pi_i(xyz)$  and for  $a \notin A_i$ ,  $t_i = \pi_i(u) = \pi_i(xyz)$ ; thus,  $t = \Pi(xyz)$  and if  $t = \Pi(v)$  then  $v \mid \overset{*}{\leftarrow} xyz$ . Notice that this argument also shows (b).  $\square$

It follows easily from Proposition 3.1 that  $T_1$  is preperfect if and only if  $\Rightarrow$  is confluent on  $\Pi(\Sigma^*)$  in the sense that if  $s \overset{*}{\leftarrow} \Pi(u) \overset{*}{\leftarrow} t$  then  $s$  and  $t$  have a common

descendant. The relation  $\Rightarrow$  has the stronger property that it is Church–Rosser, that is, it is confluent on the whole class of tuples, not just those derived from  $\Pi(\Sigma^*)$ .

**Theorem 3.2.** (1) *The reduction relation  $\Rightarrow$  is Church–Rosser.*

(2) *For all strings  $x$  and  $y$ ,  $x \equiv y$  if and only if  $\Pi(x) \stackrel{*}{\Rightarrow} \Pi(y)$ .*

**Proof.** It is shown below that  $\Rightarrow$  is locally confluent; since it reduces the total length of a tuple, general principles allow us to conclude that it is Church–Rosser (see, e.g., [7]). For the second statement, a simple induction argument using Proposition 3.1 shows that  $x \equiv y$  implies  $\Pi(x) \stackrel{*}{\Rightarrow} \Pi(y)$ . The obvious induction proof does not work for the reverse implication, since one-step reduction may lead into  $\Pi(\Sigma^*)$  from a tuple not in  $\Pi(\Sigma^*)$ ; however, if  $\Pi(x) \stackrel{*}{\Rightarrow} \Pi(y)$  then (since  $\Rightarrow$  is Church–Rosser)  $\Pi(x)$  and  $\Pi(y)$  have a common descendant, which will be of the form  $\Pi(z)$ , and so  $x$  and  $y$  will both be congruent to  $z$ , and  $x \equiv y$ .

To see that  $\Rightarrow$  is locally confluent, suppose  $x \Leftarrow z \Rightarrow y$  for some tuples  $x$ ,  $y$  and  $z$ , where  $z$  reduces to  $x$  by cancelling  $a$  at sum  $k$ , and to  $y$  by cancelling  $b$  at sum  $j$ . Then either  $x = y$  (if the deleted pairs overlap) or there is tuple  $w$  such that  $x \Rightarrow w \Leftarrow y$ .

From the definition, if  $a \in A_i$  then  $z_i = u_i a \bar{a} v_i$  and  $x_i = u_i v_i$  with  $|u_i|_a + |u_i|_{\bar{a}} = k$ , and otherwise  $z_i = x_i$ ; and if  $b \in A_i$  then  $z_i = r_i b \bar{b} s_i$  and  $y_i = r_i s_i$  with  $|r_i|_b + |r_i|_{\bar{b}} = j$ , and otherwise  $z_i = y_i$ .

First suppose that  $a$  is neither  $b$  nor  $\bar{b}$ . There is a tuple  $w$  such that  $x \Rightarrow w$  by cancelling  $b$  at sum  $j$ , and  $y \Leftarrow w$  by cancelling  $a$  at sum  $k$ . The components of  $w$  can be defined as follows:

- (1) If  $a, b \notin A_i$ , take  $w_i = z_i = x_i = y_i$ .
- (2) If  $a \in A_i$  and  $b \notin A_i$ , take  $w_i = u_i v_i = x_i$ .
- (3) If  $a \notin A_i$  and  $b \in A_i$ , take  $w_i = r_i s_i = y_i$ .
- (4) If  $a, b \in A_i$ , then  $z_i = u_i a \bar{a} v_i = r_i b \bar{b} s_i$ , and either  $u_i = r_i b \bar{b} t_i$  for some  $t_i$ , or  $r_i = u_i a \bar{a} t_i$  for some  $t_i$ ; in the first case, take  $w_i = r_i t_i v_i$ , and in the second, take  $w_i = u_i t_i s_i$ .

It is straightforward to verify that  $x \Rightarrow w$  and  $y \Leftarrow w$ . The only point to note is that if  $u_i = r_i b \bar{b} t_i$  then  $|r_i t_i|_a + |r_i t_i|_{\bar{a}} = |u_i|_a + |u_i|_{\bar{a}} = k$ ; and similarly, if  $r_i = u_i a \bar{a} t_i$  then  $|u_i t_i|_b + |u_i t_i|_{\bar{b}} = |r_i|_b + |r_i|_{\bar{b}} = j$ .

Now suppose that  $a$  is either  $b$  or  $\bar{b}$ , and, say,  $a \in A_1$ , so that  $z_1 = u_1 a \bar{a} v_1 = r_1 b \bar{b} s_1$ . The constraint of the number of  $a$ 's and  $\bar{a}$ 's forces the deleted pairs to overlap in every component (in which they occur) in exactly the same way they overlap in  $z_1$ . By symmetry, we may assume that  $u_1$  is no longer than  $r_1$ , giving rise to three cases.

*Case 1.* If  $|u_1| = |r_1|$ , then  $u_1 = r_1$ ,  $a = b$ ,  $v_1 = s_1$  and  $k = j$ . When  $a \notin A_i$ ,  $x_i = y_i = z_i$ . Consider any index  $i$  such that  $a \in A_i$ :  $z_i = u_i a \bar{a} v_i = r_i a \bar{a} s_i$ ,  $x_i = u_i v_i$ ,  $y_i = r_i s_i$ . Since  $|u_i|_a + |u_i|_{\bar{a}} = k = j = |r_i|_a + |r_i|_{\bar{a}}$ , it follows that  $u_i = r_i$ , so again  $x_i = y_i$ ; hence  $x = y$ .

*Case 2.* If  $|u_1| = |r_1| - 1$ , then  $a = \bar{b}$ ,  $r_1 = u_1a$ ,  $v_1 = as_1$  and  $k = j - 1$ . As in the previous case,  $x = y$ , since whenever  $a \in A_i$ , we have  $z_i = u_i a \bar{a} v_i = r_i \bar{a} s_i$  with  $|u_i a|_a + |u_i a|_{\bar{a}} = k + 1 = j = |r_i|_a + |r_i|_{\bar{a}}$ , and so  $r_i = u_i a$ .

*Case 3.* If  $|u_1| \leq |r_1| - 2$ , then  $r_1 = u_1 a \bar{a} t_1$ ,  $v_1 = t_1 b \bar{b} s_1$  and  $j \geq k + 2$ , and it will follow that  $x$  and  $y$  have a common one-step descendant  $w$ . If  $a \notin A_i$ , take  $w_i = z_i = x_i = y_i$ . If  $a \in A_i$ , then  $z_i = u_i a \bar{a} v_i = r_i a \bar{a} s_i$  with  $|u_i a \bar{a}|_a + |u_i a \bar{a}|_{\bar{a}} = k + 2 \leq j = |r_i|_a + |r_i|_{\bar{a}} < |r_i a|_a + |r_i a|_{\bar{a}}$ , so  $r_i = u_i a \bar{a} t_i$  and  $v_i = t_i b \bar{b} s_i$  for some  $t_i$ ; take  $w_i = u_i t_i s_i$ . Then  $x \Rightarrow w$  by cancelling  $b$  at sum  $j - 2$ , and  $y \Rightarrow w$  by cancelling  $a$  at sum  $k$ .  $\square$

A reduction relation on tuples that checks only the number of  $a$ 's before the cancelled pair would be sufficient for Proposition 3.1 and Theorems 3.3 and 3.4. However, that reduction will not in general be Church–Rosser: it will only be Church–Rosser when no letter in  $\Gamma$  belongs to more than one set  $A_i$ , and in that case it is equal to the reduction relation used here.

The correspondence given in Proposition 3.1 and the information in the previous theorem allow us to conclude the following.

**Theorem 3.3.** *For an independence relation  $\theta_0$  on  $\Sigma_0$  and set  $\Gamma_0 \subseteq \Sigma_0$  of invertible letters, the Thue system*

$$T_1 = \{(a\bar{a}, e), (\bar{a}a, e) : a \in \Gamma_0\} \cup \{(cd, dc) : (c, d) \in \theta\}$$

*on alphabet  $\Sigma$  is preperfect.*

As a consequence of the preperfect property, if  $x$  and  $y$  represent the same element of  $M(\theta_0, \Gamma_0)$  and  $x$  is minimal, then  $y \mid^* x$ . Also, if both  $x$  and  $y$  are minimal and  $x \equiv y$ , then  $y \mid^* x$ .

The Thue system  $T_1$  is almost-confluent exactly when the independence relation and the invertible letters are disjoint, in the sense that if  $(a, b) \in \theta_0$  then  $a, b \notin \Gamma_0$ . If they are disjoint, then whenever  $x \mid^* y$ , the derivation can be rearranged into the form  $x \xrightarrow{*} z \mid^* y$  for some  $z$ ; hence, since the system is preperfect, it is almost-confluent. On the other hand, if there is some pair  $(a, b) \in \theta_0$  with (say)  $a \in \Gamma_0$  then  $a\bar{b}\bar{a}$  is congruent to  $b$  but neither string is reducible, so the system is not almost-confluent.

The Thue system  $T_1$  generates the same congruence on  $\Sigma^*$  as the “nonredundant” system

$$T_0 = \{(a\bar{a}, e), (\bar{a}a, e) : a \in \Gamma_0\} \cup \{(cd, dc) : c, d \in \Sigma_0, (c, d) \in \theta_0\}$$

based on the unextended independence relation  $\theta_0$ . The system  $T_0$  will not be preperfect unless  $\theta_0$  and  $\Gamma_0$  are disjoint: if there is some  $a \in \Gamma_0$  and pair  $(a, b) \in \theta_0$ , then  $\bar{a}b \leftarrow \bar{a}ba\bar{a} \mid - \mid \bar{a}ab\bar{a} \rightarrow b\bar{a}$  using the rules of  $T_0$ , but only length-increasing rules of  $T_0$  apply to  $\bar{a}b$  and  $b\bar{a}$ . However, if  $\theta_0$  and  $\Gamma_0$  have no letter in common, then  $T_0$  will be almost-confluent.

To this point, the results hold whether the alphabet  $\Sigma_0$  (and hence the system  $T_1$ )

is finite or countable. Turning to questions of algorithms for  $T_1$  and  $M(\theta_0, \Gamma_0)$ , a finiteness condition is necessary. First consider the question of finding a normal form for a given string relative to  $T_1$ , i.e., a representative for the string in  $M(\theta_0, \Gamma_0)$ .

**Theorem 3.4.** *For each monoid  $M(\theta_0, \Gamma_0)$  with a finite set of generators  $\Sigma_0$ , there is a linear-time algorithm to find a minimal string congruent (modulo  $T_1$ ) to a given string.*

**Proof.** The construction, given a string  $w$ , of a shortest string congruent to  $w$  is the same as that for a string in a free partially commutative group [14], but for completeness is briefly sketched here. The first step is to produce the “projected normal form” of  $w$ , that is, an irreducible tuple  $R(w)$  such that  $\Pi(w) \stackrel{*}{\equiv} R(w)$ , and the second is to reconstruct  $R(w)$  into a minimal string congruent to  $w$ .

Each procedure uses  $N$  pushdown stores; the  $i$ th store “applies to  $a$ ” if  $a$  belongs to  $A_i$ . For the reduction procedure the stores are initially empty, and it operates by reading  $w$  symbol-by-symbol, processing each as follows:

If the next symbol  $a$  belongs to  $\Gamma$  and the top symbol of each store that applies to  $a$  is  $\bar{a}$ , then erase all those symbols  $\bar{a}$ ; otherwise, print  $a$  on each store that applies to it.

After this processing is finished, the stores contain a tuple of words  $R(w)$ , from which a minimal string congruent to  $w$  can be printed right-to-left, as follows:

Examine the pushdown stores to find a letter  $b$  with the property that  $b$  is on the top of every store that applies to it. Remove that occurrence of  $b$  from each such pushdown store, and print it as the next output letter. Continue until all the pushdown stores are emptied.

(When there is more than one candidate for the letter to be printed, any one can be chosen.)

By construction,  $\Pi(w) \stackrel{*}{\equiv} R(w)$  and  $R(w)$  is irreducible by  $\Rightarrow$ , and (using Proposition 3.1)  $R(w) = \Pi(w_0)$  for some string  $w_0$ . The reconstruction procedure will succeed in emptying the stores because at each step the tuple of their contents is in  $\Pi(\Sigma^*)$ , and it produces a string  $r(w)$  such that  $R(w) = \Pi(r(w))$ ; because  $R(w)$  is irreducible,  $r(w)$  is a shortest string in its congruence class. Then  $\Pi(w) \stackrel{*}{\equiv} \Pi(r(w))$ , so that  $w \mid \stackrel{*}{\rightarrow} r(w)$  and hence  $r(w)$  is a minimal string congruent to  $w$ .  $\square$

To solve the Word Problem in  $M(\theta_0, \Gamma_0)$ , the algorithm in Theorem 3.4 can be used, but reconstruction is not necessary:  $w_1 \equiv w_2$  if and only if their projected normal forms  $R(w_1)$  and  $R(w_2)$  are identical. Since those two tuples of strings can be constructed and compared in time linear in  $|w_1| + |w_2|$ , the Word Problem can be solved in linear time.



**Corollary 3.5.** *For each monoid  $M(\theta_0, \Gamma_0)$  with finite set of generators  $\Sigma_0$ , there is a linear-time algorithm for the Word Problem relative to the Thue system  $T_1$ .*

#### 4. The conjugacy problem

Assume as in Section 3 that some fixed commutation monoid with inverses  $M(\theta_0, \Gamma_0)$  and associated Thue system  $T_1$  are under consideration. This section deals with conjugacy in  $M(\theta_0, \Gamma_0)$ , and, in particular, with the Conjugacy Problem: decide whether two given strings are conjugate (modulo  $T_1$ ). In an arbitrary monoid, conjugacy need not be a symmetric relation, and the Conjugacy Problem may be undecidable even for monoids presented by well-behaved rewriting systems [12]. However, for a monoid  $M(\theta_0, \Gamma_0)$ , conjugacy is symmetric, and it is closely linked to conjugacy in the corresponding commutation monoid  $M(\theta)$ . As in a free monoid, conjugacy in a finitely-generated commutation monoid can be tested in linear time by solving a related pattern-matching problem [8]; from the link between conjugacy in  $M(\theta_0, \Gamma_0)$  and in  $M(\theta)$ , it will follow that when  $T_1$  is finite, the Conjugacy Problem for  $M(\theta_0, \Gamma_0)$  as presented by  $T_1$  can be solved in linear time (Theorem 4.4).

**Definition.** Strings  $x$  and  $y$  are

- (i) *conjugate in  $M(\theta_0, \Gamma_0)$*  if there is some string  $w$  such that  $xw \equiv wy$ ;
- (ii) *conjugate in  $M(\theta)$*  if there is some string  $z$  such that  $xz \mid^* zy$ .

These two conjugacy relations are clearly reflexive and transitive. Duboc [5] has shown that conjugacy in commutation monoids is symmetric, and hence it is an equivalence relation. As shown below (Corollary 4.3), conjugacy in  $M(\theta_0, \Gamma_0)$  is also an equivalence relation.

The Conjugacy Problem for  $M(\theta_0, \Gamma_0)$  will be reduced to testing instead whether two “cyclically minimal” strings that are derived from the given ones are conjugate in the monoid  $M(\theta)$ . The situation is analogous to that in a free group, where two elements are conjugate exactly when their cyclic reductions are conjugate in the underlying free monoid.

**Definition.** A string  $x$  is *cyclically minimal* if  $x^2$  is minimal (relative to  $T_1$ ).

A cyclically minimal string is minimal and cannot be rewritten to begin with a letter and end with the inverse of that letter; this is analogous to cyclically reduced elements of a free group. Each element of  $M(\theta_0, \Gamma_0)$  has a central cyclically minimal part, which may be termed its “core”.

**Definition.** For a string  $x \in \Sigma^*$ , let  $z$  be any minimal string congruent to  $x$  (modulo  $T_1$ ), and let  $u \in \Gamma^*$ ,  $y \in \Sigma^*$  be any strings such that  $z \mid^* uyu^{-1}$  and  $y$  is cyclically minimal. Then  $y$  is a *core* of  $x$ .

Although different strings might be obtained by rewriting  $x$  under this definition, the notion is well defined in the sense that (as shown by the following lemma) the possible cores form a single element of the monoid  $M(\theta)$ .

**Lemma 4.1.** *If  $uyu^{-1} \equiv vzv^{-1}$ , both strings are minimal, and  $y$  and  $z$  are cyclically minimal, then  $y \mid^* z$ .*

**Proof.** Since  $uyu^{-1}$  and  $vzv^{-1}$  are minimal and  $T_1$  is preperfect,  $uyu^{-1} \mid^* vzv^{-1}$ . If  $u = e$ , then  $y \mid^* vzv^{-1}$ , so, since  $y$  is cyclically minimal,  $v = e$  and  $y \mid^* z$ . Continuing by induction on  $|u|$ , suppose  $u = aw$ , so that  $awyw^{-1}\bar{a} \mid^* vzv^{-1}$ . If  $|v|_a = 0$  then (using the property noted after Proposition 2.1)  $v$  is independent of  $a$  and there is some  $z_1$  such that  $z \mid^* az_1\bar{a}$ , contradicting the assumption that  $z$  is cyclically minimal. Therefore,  $v \mid^* at$  for some string  $t$ , and  $wyw^{-1} \mid^* tzt^{-1}$ . Both  $wyw^{-1}$  and  $tzt^{-1}$  are minimal (since they are substrings of minimal strings) and  $w$  is shorter than  $u$ , so  $y \mid^* z$ .  $\square$

From the following lemma, we see that cyclically minimal strings that are conjugate in  $M(\theta_0, \Gamma_0)$  must be conjugate in  $M(\theta)$ .

**Lemma 4.2.** *If  $x$  and  $y$  are cyclically minimal and  $xz \equiv zy$ , then there exist  $u, v$  such that  $xu \mid^* uy$  and  $vx \mid^* yv$ .*

**Proof.** The proof is by induction on the length of the conjugator  $z$ , which we may assume to be a minimal string. If  $xz$  and  $zy$  are minimal (and, in particular, if  $z = e$ ) then  $xz \mid^* zy$ , so we may take  $u = z$ ; and, since conjugacy is an equivalence relation for  $M(\theta)$ , there is some  $v$  such that  $vx \mid^* yv$ .

Suppose then that  $xz$  is not minimal. Since both  $x$  and  $z$  are minimal and  $T_1$  is preperfect, there are strings  $x_1, z_1$  and letter  $a \in \Gamma$  such that  $x \mid^* x_1a$  and  $z \mid^* \bar{a}z_1$ . Then  $xz \mid^* x_1a\bar{a}z_1 \rightarrow x_1z_1$  so  $(ax_1)z_1 \equiv axz \equiv azy \equiv a\bar{a}z_1y \equiv z_1y$ . The string  $ax_1$  is cyclically minimal because  $x$  is cyclically minimal and  $x \mid^* ax_1$ , so there exist  $u_1, v_1$  such that  $(ax_1)u_1 \mid^* u_1y$  and  $v_1(ax_1) \mid^* yv_1$ . Let  $u = x_1u_1$  and  $v = v_1a$ ; then  $xu \mid^* x_1ax_1u_1 \mid^* x_1u_1y = uy$  and  $vx \mid^* v_1ax_1a \mid^* yv_1a = yv$ , as desired.  $\square$

**Corollary 4.3.** *Conjugacy in  $M(\theta_0, \Gamma_0)$  is an equivalence relation.*

**Proof.** It need only be argued that conjugacy in  $M(\theta_0, \Gamma_0)$  is symmetric, so suppose  $xw \equiv wy$ . Let  $x_1$  be the core of  $x$  and let  $u \in \Gamma^*$  be a string such that  $x \equiv ux_1u^{-1}$  with  $ux_1u^{-1}$  minimal; similarly, let  $y_1$  be the core of  $y$  where  $y \equiv vy_1v^{-1}$ . Then  $x_1$  and  $y_1$  are conjugate in  $M(\theta_0, \Gamma_0)$  (and hence in  $M(\theta)$ ): one conjugator is  $u^{-1}wv$  since  $x_1u^{-1}wv \equiv u^{-1}xwv \equiv u^{-1}wyv \equiv u^{-1}wy_1v$ . From Lemma 4.2, there is some  $z$  such that  $zx_1 \mid^* y_1z$ . Let  $t = vzu^{-1}$ ; then  $yt \equiv vy_1v^{-1}vzu^{-1} \equiv vy_1zu^{-1} \equiv vzx_1u^{-1} \equiv vzu^{-1}x = tx$ .  $\square$

**Theorem 4.4.** *For each monoid  $M(\theta_0, \Gamma_0)$  with finite set of generators  $\Sigma_0$ , the Conjugacy Problem (relative to the Thue system  $T_1$ ) can be solved in linear time.*

**Proof.** As in the proof of Corollary 4.3, two strings are conjugate in  $M(\theta_0, \Gamma_0)$  exactly when their cores are conjugate in  $M(\theta)$ .

The core of a string can be found in linear time by extending the procedure to find a minimal string congruent to a given string. For  $x \in \Sigma^*$ , let

- $F(x) = \{a \in \Sigma : \text{for some } y, x \stackrel{*}{\mid} ay\},$
- $L(x) = \{a \in \Sigma : \text{for some } y, x \stackrel{*}{\mid} ya\}.$

It is easy to see that  $x$  is cyclically minimal exactly when it is minimal and there is no letter  $a \in \Gamma$  such that  $a \in F(x)$  and  $\bar{a} \in L(x)$ .

Given  $w \in \Sigma^*$ , the core of  $w$  can be found as follows. First, as in Theorem 3.3, form the tuple of strings  $R(w) = (x_1, \dots, x_N) = \Pi(x)$  where  $x$  is a minimal string congruent to  $w$ . With the strings  $x_1, \dots, x_N$  written on separate tapes, position a head at each end of each string. The sets  $F(x)$  and  $L(x)$  are evident from the letters under the heads, since letter  $a$  belongs to  $F(x)$  if and only if it is the first letter of  $\pi_i(x) = x_i$  for each  $i$  such that  $a$  belongs to  $A_i$  (and analogously for  $L(x)$ ). If there is no letter  $a \in F(x)$  such that  $\bar{a}$  belongs to  $L(x)$ , then  $x$  is cyclically minimal and is the core of  $w$ . If there is such a letter  $a$ , then move both the heads on inward one letter on each store that applies to  $a$ ; in effect, this replaces  $\Pi(x)$  with  $\Pi(y)$  where  $x \stackrel{*}{\mid} ay\bar{a}$ . This process can be repeated until a cyclically minimal string (in projected form) is obtained.

To test whether two strings are conjugate in  $M(\theta_0, \Gamma_0)$ , therefore, it is only necessary to compute their cores and test whether the cores are conjugate in  $M(\theta)$ . As described above, the cores can be computed in linear time, and conjugacy in a commutation monoid can be tested in linear time [8]; hence conjugacy in  $M(\theta_0, \Gamma_0)$  can be tested in linear time.  $\square$

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