# THE CONJUGATE DIMENSION OF ALGEBRAIC NUMBERS

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#### Abstract

We find sharp upper and lower bounds for the degree of an algebraic number in terms of the  $\mathbb{Q}$ -dimension of the space spanned by its conjugates. For all but seven non-negative integers n the largest degree of an algebraic number whose conjugates span a vector space of dimension n is equal to  $2^n n!$ . The proof, which covers also the seven exceptional cases, uses a result of Feit on the maximal order of finite subgroups of  $GL_n(\mathbb{Q})$ ; this result depends on the classification of finite simple groups. In particular, we construct an algebraic number of degree 1152 whose conjugates span a vector space of dimension only 4.

We extend our results in two directions. We consider the problem when  $\mathbb{Q}$  is replaced by an arbitrary field, and prove some general results. In particular, we again obtain sharp bounds when the ground field is a finite field, or a cyclotomic extension  $\mathbb{Q}(\omega_{\ell})$  of  $\mathbb{Q}$ . Also, we look at a multiplicative version of the problem by considering the analogous rank problem for the multiplicative group generated by the conjugates of an algebraic number.

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n	$d_{\max}(n)/(2^n n!)$	Maximal-order subgroup G	$d_{\max}(n) = \#G$
2	3/2	$W(G_2)$	12
4	3	$W(F_4)$	1152
6	9/4	$\langle W(E_6), -I \rangle$	103680
7	9/2	$W(E_7)$	2903040
8	135/2	$W(E_8)$	696729600
9	15/2	$W(E_8) \times W(A_1)$	1393459200
10	9/4	$W(E_8) \times W(G_2)$	8360755200
all other $n$	1	$W(B_n) = W(C_n) = (\mathbb{Z}/2\mathbb{Z})^n \rtimes S_n$	$2^n n!$

**Table 1** Maximal-order finite subgroups of  $GL_n(\mathbb{Q})$ 

#### 1. Introduction

Let  $\overline{\mathbb{Q}}$  be an algebraic closure of the field  $\mathbb{Q}$  of rational numbers, and let  $\alpha \in \overline{\mathbb{Q}}$ . Let  $\alpha_1, \ldots, \alpha_d \in \overline{\mathbb{Q}}$  be the conjugates of  $\alpha$  over  $\mathbb{Q}$ , with  $\alpha_1 = \alpha$ . Then d is the degree  $d(\alpha) := [\mathbb{Q}(\alpha) : \mathbb{Q}]$ , the dimension of the  $\mathbb{Q}$ -vector space spanned by the powers of  $\alpha$ . In contrast, we define the *conjugate dimension*  $n = n(\alpha)$  of  $\alpha$  as the dimension of the  $\mathbb{Q}$ -vector space spanned by  $\{\alpha_1, \ldots, \alpha_d\}$ .

In this paper we compare  $d(\alpha)$  and  $n(\alpha)$ . By linear algebra,  $n \le d$ . If  $\alpha$  has non-zero trace and has Galois group equal to the full symmetric group  $S_d$ , then n = d (see [21; Lemma 1]). On the other hand, it is shown in [5] that n can be as small as  $\lfloor \log_2 d \rfloor$ . It turns out that n can be even smaller. Our first main result gives the minimum and maximum values of d for fixed n.

THEOREM 1 Fix an integer  $n \ge 0$ . If  $\alpha \in \overline{\mathbb{Q}}$  has  $n(\alpha) = n$ , then the degree  $d = d(\alpha)$  satisfies  $n \le d \le d_{\max}(n)$ , where  $d_{\max}(n)$  is defined by Table 1, equalling  $2^n n!$  for all  $n \notin \{2, 4, 6, 7, 8, 9, 10\}$ . Furthermore, for each  $n \ge 1$ , there exists  $\alpha \in \overline{\mathbb{Q}}$  attaining the lower and upper bounds.

We refer to those n with  $d_{\max}(n) \neq 2^n n!$  as *exceptional*. To attain  $d = d_{\max}(n)$ , we will use  $\alpha$  for which the extension  $\mathbb{Q}(\alpha)/\mathbb{Q}$  is Galois with Galois group isomorphic to a maximal-order finite subgroup G of  $GL_n(\mathbb{Q})$  given in Table 1.

The groups  $W(\cdot)$  are the Weyl groups of classical Lie algebras acting on their maximal tori (see, for instance, [10]). They are all reflection groups: each is generated by those elements that act on  $\mathbb{Q}^n$  by reflection in some hyperplane. For the standard fact that the negative identity matrix -I is not in  $W(E_6)$ , see for instance [10, p. 82]. In particular,  $W(B_n) = W(C_n) = (\mathbb{Z}/2\mathbb{Z})^n \rtimes S_n$  is better known as the *signed permutation group*, the group of  $n \times n$  matrices with entries in  $\{-1, 0, 1\}$  having exactly one non-zero entry in each row and each column.

Feit [6] proved that for each n a subgroup of  $GL_n(\mathbb{Q})$  of maximal finite order is conjugate to the group given in Table 1. (The paper [6] is just a statement of results—no proofs.) Feit's result uses unpublished work of Weisfeiler depending on the classification theorem for finite simple groups (see also [11, p. 185]). See http://weisfeiler.com/boris/philinq-8-28-2000.html for the sad tale of Weisfeiler's disappearance.

The inequality  $d \leq d_{\max}(n)$  comes from studying the span of  $\{\alpha_1, \ldots, \alpha_d\}$  as a representation of  $\operatorname{Gal}(\mathbb{Q}(\alpha_1, \ldots, \alpha_d)/\mathbb{Q})$ . To prove the existence of examples where this upper bound is attained, we

- (1) observe that if G is one of the maximal-order finite subgroups of  $GL_n(\mathbb{Q})$  listed in Table 1, then the G-invariant subfield  $\mathbb{Q}(x_1,\ldots,x_n)^G$  of  $\mathbb{Q}(x_1,\ldots,x_n)$  is purely transcendental, say  $\mathbb{Q}(f_1,\ldots,f_n)$  (whence  $\mathbb{Q}(x_1,\ldots,x_n)/\mathbb{Q}(f_1,\ldots,f_n)$  is a Galois extension with Galois group G).
- (2) apply Hilbert irreducibility to obtain a Galois extension K of  $\mathbb{Q}$  with Galois group G, and
- (3) choose  $\alpha \in K$  generating a suitable subrepresentation of G.

Moreover, we give explicit examples for all n except 6, 7, 8, 9, 10, and outline an explicit construction in these remaining five cases.

Many of the arguments work over base fields other than  $\mathbb{Q}$ , so we generalize as appropriate (Theorem 14). In particular, Theorem 15 generalizes Theorem 1 by giving the minimal and maximal degrees over any cyclotomic base field  $\mathbb{Q}(\omega_\ell)$ . The answers change drastically for base fields of positive characteristic: for instance from Theorem 14(v) there are elements of a separable closure of  $\mathbb{F}_q(t)$  of conjugate dimension 2 that generate Galois extensions of  $\mathbb{F}_q(t)$  of arbitrarily large degree. We also give in section 5 some results on analogous questions concerning the rank of the multiplicative subgroup of  $\overline{\mathbb{Q}}^*$  generated by  $\alpha_1,\ldots,\alpha_d$ , and its generalization over a Hilbertian field

## 2. Degree and conjugate dimension over fields in general

## 2.1. Representations

Let k be a field, and let  $k^s$  be a separable closure of k. If  $\alpha \in k^s$ , then let  $d = d(\alpha)$  be the degree  $[k(\alpha) : k]$ , and let  $n = n(\alpha)$  be the *conjugate dimension* of  $\alpha$  (over k), defined as the dimension of the k-vector space  $V(\alpha)$  spanned by the conjugates  $\alpha_1, \ldots, \alpha_d$  of  $\alpha$  in  $k^s$ .

PROPOSITION 2 With notation as above, let  $K = k(\alpha_1, ..., \alpha_d)$  and let G = Gal(K/k). Then there exists a faithful n-dimensional k-representation of G.

*Proof.* Since  $\{\alpha_1, \ldots, \alpha_d\}$  is G-stable, the G-action on K restricts to a G-action on  $V(\alpha)$ . If  $g \in G$  acts trivially on  $V(\alpha)$ , then g fixes each  $\alpha_i$ , so g is the identity on K. Thus  $V(\alpha)$  is a faithful k-representation of G. Finally,  $\dim_k V(\alpha) = n$ , by definition.

A partial converse will be given in Proposition 5 below, whose proof relies on the following representation-theoretic result.

LEMMA 3 Let k be a field of characteristic 0, and let G be a finite group. Let V be a kG-submodule of the regular representation kG. Assume that G acts faithfully on V. Then  $V = (kG)\alpha$  for some  $\alpha \in V$  with  $Stab_G(\alpha) = \{1\}$ .

*Proof.* Since k has characteristic zero, V is a direct summand (and hence a quotient) of the regular representation, so the kG-module V can be generated by one element. An element  $\alpha \in V$  fails to generate V as a kG-module if and only if  $\{g\alpha:g\in G\}$  fails to span V, and this condition can be expressed in terms of the vanishing of certain minors in the coordinates of  $\alpha$  with respect to a basis of V. Thus the set  $Z:=\{\alpha\in V:(kG)\alpha\neq V\}$  of such elements is contained in the zeros of some non-zero polynomial in the coordinates. Also, for each  $g\in G-\{1\}$ , the set  $V^g:=\{v\in V:gv=v\}$  is a proper subspace of V, since V is faithful. Since k is infinite, we can choose  $\alpha\in V$  outside Z and each  $V^g$  for  $g\neq 1$ .

REMARK 4 We may also allow k to have characteristic p > 0, as long as p does not divide #G and k is infinite. Then V is still a direct summand and a quotient of kG, and the same proof applies. The hypothesis that k is infinite cannot be removed, however, as the following counterexample shows. Let k be a finite field of characteristic p, let k'/k be a finite extension, and take V = k'. For any subgroup  $G_1$  of Gal(k'/k), let G be the semidirect product  $k'^* \rtimes G_1$ , which acts k-linearly on V. Then every non-zero  $\alpha \in V$  has stabilizer isomorphic to  $G_1$ . If moreover  $\#G_1$  is neither 1 nor a multiple of p, then p does not divide #G, and thus V is a submodule of kG since V is multiplicity-free over  $\overline{k}$ ; but the conclusion of Lemma 3 is false because no  $\alpha \in V$  has trivial stabilizer.

PROPOSITION 5 Let k be a field of characteristic 0, and let G be a finite group. Suppose that  $G = \operatorname{Gal}(K/k)$  for some Galois extension K of k, and that there is a faithful n-dimensional subrepresentation V of the regular representation of G over k. Then there exists  $\alpha \in K$  with  $n(\alpha) = n$  and  $d(\alpha) = [K:k] = \#G$ .

*Proof.* By the Normal Basis Theorem, K, as a representation of G over k, is isomorphic to the regular representation. Hence we may identify V with a subrepresentation of K. Lemma 3 gives an element  $\alpha \in V$  whose G-orbit has size #G and spans the n-dimensional space V.

## 2.2. Invariant subfields

PROPOSITION 6 Let G be one of the groups in Table 1, viewed as a subgroup of  $GL_n(\mathbb{Q})$ . Then for any field k of characteristic 0, the invariant subfield  $k(x_1, \ldots, x_n)^G$  is purely transcendental over k.

*Proof.* We may assume  $k = \mathbb{Q}$ . Chevalley [3] proved that if G is a finite reflection group, then  $\mathbb{Q}[x_1,\ldots,x_n]^G = \mathbb{Q}[f_1,\ldots,f_n]$  for some homogeneous polynomials  $f_i$ . In this case, we have  $\mathbb{Q}(x_1,\ldots,x_n)^G = \mathbb{Q}(f_1,\ldots,f_n)$  as desired.

The only remaining case is n=6 and  $G=\langle W(E_6),-I\rangle$ . Here  $\mathbb{Q}(x_1,\ldots,x_6)^{W(E_6)}=\mathbb{Q}(I_2,I_5,I_6,I_8,I_9,I_{12})$ , where each  $I_j$  is a homogeneous polynomial of degree j, given explicitly for instance in [7] (see also [10, p. 59]). Moreover  $-I\in G$  acts on this subfield by  $I_j\mapsto (-1)^jI_j$ , so  $\mathbb{Q}(x_1,\ldots,x_6)^G=\mathbb{Q}(I_2,I_6,I_8,I_{12},I_5^2,I_5I_9)$ .

REMARK 7 Let G be a finite subgroup of  $GL_n(\mathbb{R})$ . Coxeter showed [4] that  $\mathbb{R}[x_1,\ldots,x_n]^G$  is a polynomial ring over  $\mathbb{R}$  in n algebraically independent generators if G is a finite reflection group. Shephard and Todd proved that this sufficient condition on G is also necessary ( [17, Theorem 5.1], see also [10, p. 65]). For example,  $G = \langle W(E_6), -I \rangle$  is not a finite reflection group, and the  $\mathbb{R}$ -algebra  $\mathbb{R}[x_1,\ldots,x_6]^G = \mathbb{R}[I_2,I_6,I_8,I_{12},I_5^2,I_5I_9,I_9^2]$  cannot be generated by six polynomials.

#### 2.3. Hilbert irreducibility

It is well known that the field  $\mathbb{Q}$  is Hilbertian—see for instance [16, Theorem 3.4.1] (a form of the Hilbert irreducibility theorem). This implies that Galois extensions of purely transcendental extensions  $\mathbb{Q}(f_1,\ldots,f_n)$  can be specialized to Galois extensions of  $\mathbb{Q}$  having the same Galois group [16, Corollary 3.3.2].

PROPOSITION 8 Let k be a Hilbertian field. Let a finite subgroup G of  $GL_n(k)$  act on  $k(x_1, \ldots, x_n)$  so that the action on the span of the indeterminates  $x_i$  corresponds to the inclusion of G in  $GL_n(k)$ . If the invariant subfield  $k(x_1, \ldots, x_n)^G$  is purely transcendental over k, then there exists a finite G alois extension K of k with G alois group G.

*Proof.* By assumption  $k(x_1, \ldots, x_n)^G = k(f_1, \ldots, f_n)$  for some algebraically independent  $f_i$ . By Galois theory,  $k(x_1, \ldots, x_n)$  is a Galois extension of  $k(f_1, \ldots, f_n)$  with Galois group G. Now use the assumption that k is Hilbertian to specialize.

COROLLARY 9 If k is a Hilbertian field, and G is one of the groups in Table 1, then G is realizable as a Galois group over k.

*Proof.* Combine Propositions 6 and 8.

For background material on Hilbert irreducibility see [15] or [16].

## 3. Degree and conjugate dimension over $\mathbb Q$

## 3.1. Proof of Theorem 1

*Proof.* The inequality  $n \le d$  is immediate. Examples with equality exist by Proposition 5 applied to the standard permutation representation  $S_n \hookrightarrow GL_n(\mathbb{Q})$ , since  $S_n$  is realizable as a Galois group over  $\mathbb{Q}$  (see [16, p. 42], for example).

On the other hand,  $d \leq \#G \leq d_{\max}(n)$ , where G is the Galois group of  $\alpha$  over k, because of Proposition 2, since  $d_{\max}(n)$  is the size of the largest finite subgroup of  $GL_n(\mathbb{Q})$ .

Finally, we prove that  $d=d_{\max}(n)$  is possible for each  $n\geqslant 1$ . Let G be a maximal finite subgroup of  $\mathrm{GL}_n(\mathbb{Q})$ , as in Table 1. The given n-dimensional faithful representation of G is a subrepresentation of the regular representation, since otherwise it would contain some irreducible subrepresentation with multiplicity greater than 1, which could be removed once to produce a faithful subrepresentation on a lower-dimensional subspace, contradicting the fact that the function  $d_{\max}(n)$  is strictly increasing. (Alternatively, this could be deduced from the fact that the given representation is irreducible for all  $n\neq 9$ , 10, and is a direct sum of distinct irreducible representations for n=9 and n=10.) Moreover, Corollary 9 shows that G is realizable as a Galois group over  $\mathbb{Q}$ . Thus Proposition 5 yields  $\alpha\in\overline{\mathbb{Q}}$  with  $n(\alpha)=n$  and  $d(\alpha)=\#G=d_{\max}(n)$ .

# 3.2. Explicit numbers attaining $d_{max}(n)$

In theory, given  $n \ge 1$ , we can construct explicit  $\alpha \in \overline{\mathbb{Q}}$  with  $n(\alpha) = n$  and  $d(\alpha) = d_{\max}(n)$  as follows. Let G be a maximal-order finite subgroup of  $\operatorname{GL}_n(\mathbb{Q})$ . Take  $e_j$  to be the column vector in  $\mathbb{Z}^n$  having jth entry 1 and the rest 0, let  $G_1$  be the stabilizer of  $e_1$  under the left action of G, and put  $N = |G: G_1|$ , the size of the orbit of  $e_1$  under this action. For most of the groups we consider, all of  $e_1, \ldots, e_n$  are in this orbit, and so we denote the whole orbit by  $e_1, \ldots, e_n, \ldots, e_N$ . We then find an *auxiliary polynomial*  $P_N$  of degree N, irreducible over  $\mathbb{Q}$ , whose splitting field has Galois group G over  $\mathbb{Q}$ . Further, n zeros  $\beta_1, \ldots, \beta_n$  of  $P_N$  can be chosen so that the full list of conjugates  $\beta_1, \ldots, \beta_N$  of  $\beta_1$  are the  $(\beta_1, \ldots, \beta_n)e_j$  for  $j = 1, \ldots, N$ .

The auxiliary polynomial  $P_N$  arises, at least generically, as follows: by Proposition 6, we can write  $\mathbb{Q}(x_1,\ldots,x_n)^G=\mathbb{Q}(I_1,\ldots,I_n)$ , where the  $I_j$  are G-invariant homogeneous polynomials in the  $x_i$ . Choose  $c_1,\ldots,c_n\in\mathbb{Q}$ , and define a zero-dimensional variety  $\mathcal V$  by the polynomial equations

$$I_1(x_1, \dots, x_n) = c_1,$$

$$\vdots$$

$$I_n(x_1, \dots, x_n) = c_n.$$

Then successively eliminate  $x_n, x_{n-1}, \ldots, x_2$  to get a monic polynomial  $R(x_1)$  of degree  $d_R$  given by  $d_R = \prod_{j=1}^n \deg I_j$ . Clearly  $\mathbf{x}g \in \mathcal{V}$  for any  $\mathbf{x} \in \mathcal{V}$  and  $g \in G$ , so the multiset of zeros of R is  $\{\mathbf{x}ge_1 \mid g \in G\}$ , which consists of  $\#G_1$  copies of  $\{\mathbf{x}e_j \mid j=1,\ldots,N\}$ . Thus  $R(x_1) = P_N(x_1)^{\#G_1}$  for some polynomial  $P_N$ . For reflection groups and unitary reflection groups we can choose the  $I_j$  so that  $d_R = \#G$ ; in this case  $P_N$  has degree N. The polynomial  $P_N$  is our auxiliary polynomial.

Choose  $b_1, \ldots, b_n \in \mathbb{Q}$  such that  $b_1x_1 + \cdots + b_nx_n$  is not fixed by any  $g \in G$  except the identity. Then  $\alpha = b_1\beta_1 + \cdots + b_n\beta_n$  has  $n(\alpha) = n$  and degree  $d_{\max}(n)$ , its conjugates being  $(\beta_1, \ldots, \beta_n)g(b_1, \ldots, b_n)^T$  for  $g \in G$ . (This is the standard 'primitive element' construction for the Galois closure of  $\mathbb{Q}(\beta)$ .) For most choices of  $(c_1, \ldots, c_n)$  (that is, for all choices outside a 'thin set', in the sense of [16]), this construction will produce the required  $\alpha$ . For small n (such as n = 2, considered in sections 3.4 and 4.2), this procedure works well. For much larger n, however, the elimination process becomes impractical. Also, it becomes hard to check whether a particular choice of  $(c_1, \ldots, c_n)$  yields a suitable  $\alpha$ . The difficulty is to choose  $c_1, \ldots, c_n$  so that not only is  $P_N$  irreducible, but also it has Galois group G (instead of a subgroup). For this reason, the following sections discuss more practical ways of constructing  $\alpha$ , in the non-exceptional case and for n = 4.

For the larger exceptional values of n, even these methods would require special treatment for each value, and the large size of #G (see Table 1) has dissuaded us from trying to do the same for these n. One approach to constructing  $\alpha \in \overline{\mathbb{Q}}$  attaining  $d_{\max}(n)$  for  $6 \leqslant n \leqslant 10$  is to start with Shioda's beautiful analysis relating the Weyl groups of  $E_6$ ,  $E_7$ ,  $E_8$  and their invariant rings with the Mordell–Weil lattices of rational elliptic surfaces with an additive fibre. For instance, in [18, pp.484–5] Shioda uses this theory to exhibit a monic polynomial in  $\mathbb{Z}[X]$  with Galois group  $W(E_7)$ , whose roots are the images of the 56 minimal vectors of the  $E_7^*$  lattice under a  $\mathbb{Q}$ -linear,  $W(E_7)$ -equivariant map from  $E_7^* \otimes \mathbb{Q}$  to  $\overline{\mathbb{Q}}$ . The image under this map of any vector in  $E_7^* \otimes \mathbb{Q}$  with trivial stabilizer in  $W(E_7)$  (that is, in the interior of a Weyl chamber) is then an  $\alpha \in \overline{\mathbb{Q}}$  with  $n(\alpha) = 7$  and  $n(\alpha) = n(\alpha) = n(\alpha)$ . A similar construction will work for  $n(\alpha) = n(\alpha) = n(\alpha)$  with the analysis of algebraic numbers of conjugate dimension 1, 2) also for  $n(\alpha) = n(\alpha)$ . The case  $n(\alpha) = n(\alpha)$  will require additional work, because Shioda's construction, which yields Galois group  $n(\alpha)$  will have to be modified to produce  $n(\alpha) = n(\alpha)$ .

# 3.3. Explicit numbers attaining $d_{max}(n)$ for non-exceptional n

PROPOSITION 10 Let k be a field of characteristic not 2 and let  $n \ge 2$ . Suppose  $f(x) = x^n - a_1 x^{n-1} + \cdots + (-1)^n a_n \in k[x]$  is a separable polynomial of degree n with Galois group  $S_n$  and discriminant  $\Delta$ . Let  $r_1, \ldots, r_n \in \overline{k}$  be the zeros of f(x). Choose a square root  $\sqrt{r_i}$  of each  $r_i$ , and let  $K = k(\sqrt{r_1}, \ldots, \sqrt{r_n})$ . If  $a_n \notin \Delta^{\mathbb{Z}} k^{*2}$  and either n is even or  $r_1 \notin k^* k(r_1)^{*2}$ , then  $[K:k] = 2^n n!$ .

*Proof.* The action of the group  $G := \operatorname{Gal}(K/k)$  on  $\{\sqrt{r_1}, -\sqrt{r_1}, \dots, \sqrt{r_n}, -\sqrt{r_n}\}$  is faithful and preserves the partition  $\{\{\sqrt{r_1}, -\sqrt{r_1}\}, \dots, \{\sqrt{r_n}, -\sqrt{r_n}\}\}$ , so G is a subgroup of the signed permutation group  $W(B_n)$ . Recall that  $W(B_n)$  is a semidirect product

$$0 \to V \to W(B_n) \to S_n \to 1$$
,

where V as a group with  $S_n$ -action is the standard permutation representation of  $S_n$  over  $\mathbb{F}_2$ . Since f has Galois group  $S_n$ , the group G surjects onto the quotient  $S_n$  of  $W(B_n)$ . Considering the conjugation action of G on itself gives a (possibly non-split) exact sequence

$$0 \to W \to G \to S_n \to 1$$

for some subrepresentation W of V. The only subrepresentations of V are 0,  $\mathbb{F}_2$  with trivial  $S_n$ -action, the sum-zero subspace of  $V = \mathbb{F}_2^n$ , and V itself. If W = V, we are done.

If W is contained in the sum-zero subspace, then W acts trivially on the square root  $\beta := \sqrt{r_1} \dots \sqrt{r_n}$  of  $a_n$ . Hence the action of G on  $\beta$  is given by either the trivial character or the sign character of  $S_n$ . Thus either  $\beta \in k$  or  $\beta \sqrt{\Delta} \in k$ . Squaring yields  $a_n \in \Delta^{\mathbb{Z}} k^{*2}$ , contrary to assumption.

The only remaining case is where n is odd and  $W = \mathbb{F}_2$ . Then W acts trivially on the square root  $\beta_1 := \sqrt{r_2}\sqrt{r_3}\ldots\sqrt{r_n}$  of  $r_2r_3\ldots r_n = a_n/r_1$ . Hence the action of  $\operatorname{Gal}(K/k(r_1))$  on  $\beta_1$  is given by either the trivial character or the sign character of  $S_{n-1} = \operatorname{Gal}(k(r_1,\ldots,r_n)/k(r_1))$ . Thus either  $\beta_1 \in k(r_1)$  or  $\beta_1\sqrt{\Delta} \in k(r_1)$ . Squaring shows that  $r_1 \in k^*k(r_1)^{*2}$ , again contrary to assumption.

In the situation of Proposition 10, when its hypotheses are satisfied, we can take the auxiliary polynomial to be  $P_{2n}(x) = f(x^2)$ .

The following corollary is needed in section 3.5.

COROLLARY 11 Let  $n \ge 2$ . Suppose  $f(x) = x^n - a_1 x^{n-1} + \cdots + (-1)^n a_n \in k[x]$  is a polynomial of degree n over a field  $k \in \mathbb{R}$ , with Galois group  $S_n$ . Suppose that the zeros  $r_1, \ldots, r_n$  of f(x) are real and satisfy  $r_1 < 0 < r_2 < \cdots < r_n$ . Choose a square root  $\sqrt{r_i} \in \overline{k}$  of each  $r_i$ , and let  $K = k(\sqrt{r_1}, \ldots, \sqrt{r_n})$ . Then  $[K : k] = 2^n n!$ .

*Proof.* It suffices to check the hypotheses of Proposition 10. The discriminant  $\Delta$  satisfies  $\Delta > 0$ , but  $a_n = r_1 \dots r_n < 0$ , so  $a_n \notin \Delta^{\mathbb{Z}} k^{*2}$ .

If  $r_1 \in k^*k(r_1)^{*2}$ , say  $r_1 = c\gamma_1^2$  with  $c \in k^*$  and  $\gamma_1 \in k(r_1)$ , then applying an automorphism yields  $r_2 = c\gamma_2^2$  with  $\gamma_2 \in k(r_2)$ . These two equations force c < 0 and c > 0, respectively, a contradiction.

PROPOSITION 12 For n=1 let  $r_1=2$ , while for  $n\geqslant 2$  let  $r_1,\ldots,r_n\in\overline{\mathbb{Q}}$  be the zeros of  $f(x)=x^n+(-1)^n(x-1)$ . Choose a square root of each  $r_i$ , and let  $\alpha=\sqrt{r_1}+2\sqrt{r_2}+\cdots+n\sqrt{r_n}$ . Then  $n(\alpha)=n$  and  $d(\alpha)=2^nn!$ .

*Proof.* By [16, p. 42], the polynomial  $(-1)^n f(-x) = x^n - x - 1$  has Galois group  $S_n$  over  $\mathbb{Q}$ , so f(x) has Galois group  $S_n$  over  $\mathbb{Q}$ . Also by [16, p. 42], each inertia group of  $\operatorname{Gal}(\mathbb{Q}(r_1, \ldots, r_n)/\mathbb{Q})$  is either trivial or generated by a transposition; it follows that the same is true for the Galois group G of f over  $\mathbb{Q}(i)$ . The group G has index at most 2 in  $S_n$ , so G is  $S_n$  or  $A_n$ . We claim that  $G = S_n$ . For n = 2 we check this directly.

Take  $n \ge 3$ . If  $G = A_n$ , then as G would contain no transpositions, all the inertia groups in G would be trivial, and  $\mathbb{Q}(i)$  would have an  $A_n$ -extension unramified at all places. The existence of such an extension contradicts the Minkowski discriminant bound for  $n \ge 4$ , and contradicts class field theory for  $3 \le n \le 4$ . Thus  $G = S_n$ .

In particular, if  $\Delta$  is the discriminant of f(x), then  $\Delta \notin \mathbb{Q}(i)^{*2}$ , so  $|\Delta| \notin \mathbb{Q}^{*2}$ . Therefore  $a_n := -1$  is not in  $\Delta^{\mathbb{Z}}\mathbb{Q}^{*2}$ .

We now finish checking the hypotheses in Proposition 10 by showing that the assumptions n odd and  $r_1 \in \mathbb{Q}^*\mathbb{Q}(r_1)^{*2}$  lead to a contradiction. Suppose n is odd, and  $r_1 = c\gamma^2$ , with  $c \in \mathbb{Q}^*$  and  $\gamma \in \mathbb{Q}(r_1)^*$ . Taking  $N_{\mathbb{Q}(r_1)/\mathbb{Q}}$  of both sides yields  $(-1)^n \equiv c^n \pmod{\mathbb{Q}^{*2}}$ . Since n is odd,  $c \equiv -1 \pmod{\mathbb{Q}^{*2}}$ . Without loss of generality, c = -1. Since  $\gamma$  generates  $\mathbb{Q}(r_1)$ , the monic minimal polynomial  $g(t) \in \mathbb{Q}[t]$  of  $\gamma$  is of degree n. Write  $g(t)g(-t) = h(t^2)$  for some polynomial  $h \in \mathbb{Q}[x]$ . Substituting  $t = \gamma$  shows that  $h(-r_1) = 0$ , but h has degree n, so h(x) = f(-x).

Thus the polynomial  $-f(-t^2) = t^{2n} - t^2 - 1$  factors as -g(t)g(-t). However, it is known to be irreducible (Ljunggren [12, Theorem 3]).

By Proposition 10, the field  $K = \mathbb{Q}(\sqrt{r_1}, \dots, \sqrt{r_n})$  has degree  $2^n n!$ . Each  $\sqrt{r_i}$  lies outside the field generated by the other square roots over  $\mathbb{Q}(r_1,\ldots,r_n)$ , so  $\sqrt{r_1},\ldots,\sqrt{r_n}$  are linearly independent over  $\mathbb{Q}$ . The conjugates of  $\alpha$  are the numbers of the form  $\sum_{j=1}^{n} \varepsilon_{j} j \sqrt{r_{\sigma(j)}}$ , where  $\sigma \in S_n$  and  $\varepsilon_1, \ldots, \varepsilon_n \in \{\pm 1\}$ . The linear independence of the square roots guarantees that these  $2^n n!$  elements are distinct.

## 3.4. An explicit number attaining $d_{max}(n)$ for n = 2

For n=2, we can take  $P_6(x)=x^6-2$ . Taking one zero  $\beta$  of  $P_6$ , all zeros are spanned by the two zeros  $\beta$ ,  $\omega_3\beta$ , where  $\omega_3$  is a primitive cube root of unity. Then  $\alpha = \beta + 3\omega_3\beta$  has  $n(\alpha) = 2$  and  $d(\alpha) = 12$ , and minimal polynomial  $y^{12} + 572y^6 + 470596$ .

REMARK 13 This example can be produced using the procedure outlined in section 3.2, as follows. The group  $W(G_2)$  from Table 1 equals  $\begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}$ ,  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , and has invariants  $I_1 = x_1^2 - x_1x_2 + x_2^2$  and  $I_2 = (x_1x_2(x_1 - x_2))^2$ . Taking  $c_1 = 0$ ,  $c_2 = 2$ ,  $b_1 = 1$ ,  $b_2 = -3$ , we get the minimal polynomial of  $\alpha$  as the  $x_2$ -resultant of  $I_1(y + 3x_2, x_2)$  and  $I_2(y + 3x_2, x_2) - 2$ .

## 3.5. An explicit number attaining $d_{max}(n)$ for n = 4

For n = 4, one maximal-order finite subgroup of  $GL_4(\mathbb{Q})$  is the order-1152 group  $W(F_4)$  generated by its index-3 subgroup  $W(B_4)$  (of order 384) and the order-2 matrix

Thus by Galois correspondence we should be able to apply the construction of section 3.2 for  $\beta$ 

defined over a suitable cubic extension of  $\mathbb{Q}$ . And indeed, this is possible. Define  $s_{2k} = z_1^{2k} + z_2^{2k} + z_3^{2k} + z_4^{2k}$  for  $k = 1, 2, \ldots$  Four independent homogeneous invariants for  $W(F_4)$  are known [13] to be

$$I_{2k} = (8 - 2^{2k-1})s_{2k} + \sum_{j=1}^{k-1} {2k \choose 2j} s_{2j} s_{2k-2j}$$

for k = 1, 3, 4, 6. Using the Newton identities and with the help of MAPLE these can be written entirely as polynomials in  $s_2$ ,  $s_4$ ,  $s_6$ ,  $s_8$  as follows:

$$I_{2} = 6s_{2}, I_{6} = -24 s_{6} + 30 s_{2} s_{4}, I_{8} = -120 s_{8} + 56 s_{2} s_{6} + 70 s_{4}^{2},$$

$$I_{12} = -540 s_{4} s_{8} + 244 s_{6}^{2} - 1365 s_{2}^{2} s_{8} + \frac{1365}{2} s_{2}^{2} s_{4}^{2} + 255 s_{4}^{3}$$

$$-710 s_{2}^{4} s_{4} + 1250 s_{2}^{3} s_{6} + \frac{159}{2} s_{2}^{6} + 110 s_{2} s_{4} s_{6}.$$

We now use resultants to eliminate  $s_4$  and  $s_6$ . This shows that  $s_8$  is cubic over  $\mathbb{Q}(I_2, I_6, I_8, I_{12})$ ,

and also that  $s_4, s_6 \in \mathbb{Q}(I_2, I_6, I_8, I_{12})(s_8)$ . Specifically, we take  $I_2 = 6s_2 = 30$ ,  $I_6 = 1410$ ,  $I_8 = 13670$  and  $I_{12} = 1161749$ , and then  $\gamma := s_8$  (the real root, say) satisfies

$$\gamma^3 + \frac{5735}{32}\gamma^2 + \frac{5811288377}{36864}\gamma - \frac{114051068048293}{6220800} = 0.$$

Then, with the Newton identities, we compute the values of the elementary symmetric functions of the  $z_i^2$ . This gives a polynomial  $Q_4$  satisfied by the  $z_i^2$ :

$$\begin{aligned} Q_4(x) &= x^4 - 5\,x^3 + \frac{20261200695}{3175710433}\,x^2 + \frac{34560}{3175710433}\,x^2\,\gamma^2 - \frac{47690820}{3175710433}\,x^2\,\gamma \\ &+ \frac{36679035170}{9527131299}\,x - \frac{28800}{3175710433}\,x\,\gamma^2 + \frac{39742350}{3175710433}\,x\,\gamma - \frac{203476507483}{38108525196} \\ &- \frac{72000}{3175710433}\,\gamma^2 - \frac{56249419}{12702841732}\,\gamma. \end{aligned}$$

We write its zeros as  $\beta_1^2$ ,  $\beta_2^2$ ,  $\beta_3^2$ ,  $\beta_4^2$  say. They are real and close to -1, 1, 2 and 3. (The values for the invariants were chosen to be close to the values they would have had if  $z_i^2$ ,  $i=1,\ldots,4$ , had been *exactly* -1, 1, 2, 3.) Furthermore, its discriminant 223967999/97200 is not a square in  $\mathbb{Q}(\gamma)$ . Now, shifting x in this quartic by 5/4 to obtain a polynomial  $z^4 + b_2 z^2 + b_1 z + b_0$  having zero cubic term, its cubic resolvent  $z^3 + 2b_2 z^2 + (b_2^2 - 4b_0)z - b_1^2$  is readily checked to be irreducible over  $\mathbb{Q}(\gamma)$ . Hence by [8, Example 14.7, p. 117], the Galois closure of  $\mathbb{Q}(\gamma,\beta)$  over  $\mathbb{Q}(\gamma)$  has Galois group  $S_4$ . Then, as  $\beta_1^2 < 0 < \beta_2^2 < \beta_3^2 < \beta_4^2$ , we have  $[\mathbb{Q}(\beta_1,\beta_2,\beta_3,\beta_4):\mathbb{Q}] = 2^4 \cdot 4! = 384$ , on applying Corollary 11 with  $k = \mathbb{Q}(\gamma)$ .

If we now take the resultant of  $Q_4(x^2)$  and the minimal polynomial of  $\gamma$ , to eliminate  $\gamma$ , we obtain the degree-24 auxiliary polynomial

$$P_{24}(x) = x^{24} - 15x^{22} + \frac{375}{4}x^{20} - \frac{2405}{8}x^{18} + \frac{65435}{128}x^{16} - \frac{25905}{64}x^{14} - \frac{181583}{3072}x^{12} + \frac{8367137}{18432}x^{10} - \frac{28198575}{65536}x^{8} + \frac{1338226651}{5308416}x^{6} - \frac{895964239}{8847360}x^{4} + \frac{4234139}{294912}x^{2} - \frac{24389830879}{1592524800}.$$

This polynomial is irreducible, with zeros  $\frac{1}{2}(\pm\beta_1\pm\beta_2\pm\beta_3\pm\beta_4)$  as well as  $\pm\beta_1$ ,  $\pm\beta_2$ ,  $\pm\beta_3$ ,  $\pm\beta_4$ . Now  $(1,2,3,5)^T$  is not a fixed point of any  $g \neq I$  in  $W(F_4)$ . It follows that  $\alpha = \beta_1 + 2\beta_2 + 3\beta_3 + 5\beta_4$  has  $n(\alpha) = 4$  and degree  $d(\alpha) = 1152$ , its conjugates being the numbers  $(\beta_1, \beta_2, \beta_3, \beta_4)g(1, 2, 3, 5)^T$  for  $g \in W(F_4)$ .

## 4. Conjugate dimensions over other fields

## 4.1. General results

The conjugate dimension can behave differently if we use ground fields other than  $\mathbb{Q}$ . For a field k and a positive integer n, let D(k,n) be the maximal degree of  $\alpha \in k^s$  of k-conjugate dimension at most n. For instance  $D(\mathbb{Q},n)=d_{\max}(n)$ . If the degree is unbounded, we set  $D(k,n)=\infty$ . This can happen even for Hilbertian fields of characteristic zero. For example,  $D(\mathbb{C}(t),1)=\infty$ , because for each  $d\geqslant 1$  a dth root of t generates the Galois extension  $\mathbb{C}(t^{1/d})$  of degree d, and all conjugates of  $t^{1/d}$  generate the same 1-dimensional space. Nevertheless we can generalize some of our results to various ground fields other than  $\mathbb{Q}$ . We obtain the following.

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- THEOREM 14 (i) If k is a number field of degree m over  $\mathbb{Q}$ , then  $d_{\max}(n) \leq D(k, n) \leq d_{\max}(mn)$  for all  $n \geq 1$ .
- (ii) If k is a Hilbertian field of characteristic not dividing  $\ell$  and k contains the  $\ell$ th roots of unity, then  $D(k,n) \ge \ell^n n!$ .
- (iii) If k is a finitely generated transcendental extension of  $\mathbb{C}$ , then  $D(k, n) = \infty$  for all  $n \ge 1$ .
- (iv) If k is a finite field of q elements, then  $D(k, n) = q^n 1$ .
- (v) If k is a finitely generated transcendental extension of a finite field  $k_0$ , then D(k, 1) = q 1, where q is the size of the largest finite subfield of k, and  $D(k, n) = \infty$  for all  $n \ge 2$ .
- *Proof.* (i) By Proposition 2, if  $\alpha \in k^s$  has degree d and conjugate dimension n then there exists a d-element subgroup of  $GL_n(k)$ . If  $[k:\mathbb{Q}]=m$ , then an n-dimensional vector space over k can be viewed as an mn-dimensional vector space over  $\mathbb{Q}$ , so we get an injection  $GL_n(k) \hookrightarrow GL_{mn}(\mathbb{Q})$ . Hence  $d \leq d_{\max}(mn)$ . For the lower bound, note that the specialization made in Proposition 8 can, by [15, Theorem 46, p. 298], be made in such a way that the minimal polynomial of the algebraic number with conjugate dimension n remains irreducible over the field k. This gives an example of an algebraic number of degree  $d_{\max}(n)$  over k and k-conjugate dimension at most n, so  $d_{\max}(n) \leq D(k, n)$ .
- (ii) If k contains the  $\ell$ th roots of unity then  $GL_n(k)$  contains the group of size  $\ell^n n!$  consisting of the permutation matrices whose entries are  $\ell$ th roots of unity in k. Moreover, the invariant ring of this group is polynomial, being generated by the elementary symmetric functions of the  $\ell$ th powers of the coordinates. Thus the invariant field is purely transcendental over k. Therefore, by Propositions 5 and 8, there exist  $\alpha \in k^s$  of conjugate dimension n and degree  $\ell^n n!$ .
- (iii) This follows from (ii), using the fact that every such field is Hilbertian [15, Theorem 49, p. 308].
- (iv) The Galois group of any  $k(\alpha)/k$  with  $n(\alpha)=n$  must be contained in  $\mathrm{GL}_n(k)$ , but must also be cyclic because k is a finite field  $\mathbb{F}_q$ . Hence  $\#G\leqslant q^n-1$ , as may be seen using the characteristic equation of an invertible matrix in  $\mathrm{GL}_n(k)$ . We claim that the field of  $q^{q^n-1}$  elements is generated by an element  $\alpha$  of conjugate dimension n over k. Let g be a generator of  $\mathbb{F}_{q^n}^*$ , and let  $f(x)=\sum_{i=0}^n c_i x^i$  be its minimal polynomial over  $\mathbb{F}_q$ . Let  $\alpha\in\overline{\mathbb{F}}_q^*$  be a zero of  $\sum_{i=0}^n c_i X^{q^i}$ . Make the  $\mathbb{F}_q$ -vector space  $\overline{\mathbb{F}}_q$  into a module over the polynomial ring  $\mathbb{F}_q[\tau]$  by letting  $\tau$  act as the endomorphism  $z\mapsto z^q$ . Then the ideal I of  $\mathbb{F}_q[\tau]$  that annihilates  $\alpha$  contains  $f(\tau)$ , but  $I\neq (1)$ . Since f is irreducible,  $I=(f(\tau))$ . Thus the  $\mathbb{F}_q$ -span of  $\alpha$  and its conjugates is an  $\mathbb{F}_q[\tau]$ -module isomorphic to  $\mathbb{F}_q[\tau]/(f(\tau))$ . In particular,  $n(\alpha)=\deg f=n$ . Also  $d(\alpha)$  is the smallest d such that  $\tau^d(\alpha)=\alpha$ , which is the smallest d such that  $\tau^d=1$  in  $\mathbb{F}_q[\tau]/(f(\tau))$ ; by choice of g, we get  $d=q^n-1$ .
- (v) Without loss of generality, suppose that  $k_0$  is the largest finite subfield of k, so  $\#k_0 = q$ . Suppose  $\alpha \in \overline{k}$  has  $n(\alpha) = 1$ . Proposition 2 bounds  $d(\alpha)$  by the size of the largest finite subgroup of  $\mathrm{GL}_1(k) = k^*$ . Elements of finite order in  $k^*$  are roots of unity, hence contained in  $k_0^*$ . Thus  $D(k,1) \leqslant q-1$ . The opposite inequality follows from (ii) since, by [15, Theorem 47, p. 301], k is Hilbertian.

Now suppose  $n \ge 2$ . Choose a finite Galois extension L of k with [L:k] = n-1. (For instance, let L be the compositum of a suitable subfield of a cyclotomic extension of k with some

Artin–Schreier extensions of k.) Let V be the  $\mathbb{F}_q$ -span of a  $\operatorname{Gal}(L/k)$ -stable finite subset of L that spans L as a k-vector space. Define

$$P_{V,\varepsilon}(X) := \prod_{x \in V} (X - x) + \varepsilon \in k[X, \varepsilon],$$

where  $\varepsilon$  is an indeterminate. Then  $P_{V,0}(X)$  is a q-linearized polynomial in X, that is, a k-linear combination of  $X, X^q, X^{q^2}, \ldots$  (See [9, Corollary 1.2.2], for instance.) It has distinct roots, namely the elements of V. Therefore  $P_{V,\varepsilon}(X)$ , considered as a polynomial in X, has distinct roots, which constitute a translate of V in the separable closure of  $k(\varepsilon)$ . Moreover,  $P_{V,\varepsilon}(X)$  is irreducible, because it is a monic polynomial in  $\varepsilon$  of degree 1. Since k is Hilbertian, it contains  $c \neq 0$  such that  $P_{V,c} \in k[X]$  is irreducible. Let  $\alpha$  be a zero of  $P_{V,c}$ . Then  $\alpha$  is an element of  $k^s$  of degree #V. Since the set of conjugates of  $\alpha$  is  $\{\alpha + v \mid v \in V\}$ , the k-span of this set is equal to the span of  $V \cup \{\alpha\}$ . However  $\alpha \notin L$  since  $d(\alpha) = \#V \geqslant q^{n-1} > n - 1$ . So, as the k-span of V is L,  $n(\alpha) = [L:k] + 1 = n$ . Thus  $D(k,n) \geqslant \#V$ . Since V can be taken arbitrarily large,  $D(k,n) = \infty$ .

## 4.2. Results for cyclotomic fields

Theorem 1 generalizes to finite cyclotomic extensions of  $\mathbb{Q}$ . Let  $\omega_{\ell}$  be a primitive  $\ell$ th root of unity.

THEOREM 15 Fix an integer  $n \ge 0$  and an even integer  $\ell \ge 4$ . If  $\alpha \in \overline{\mathbb{Q}}$  has conjugate dimension n over  $\mathbb{Q}(\omega_{\ell})$  then the degree d of  $\alpha$  over  $\mathbb{Q}(\omega_{\ell})$  satisfies

$$n \leq d \leq D(\mathbb{Q}(\omega_{\ell}), n),$$

where  $D(\mathbb{Q}(\omega_{\ell}), n)$  is defined by Table 2. In particular,  $D(\mathbb{Q}(\omega_{\ell}), n) = \ell^n n!$  for

$$(n, \ell) \notin \{(2, 4), (2, 8), (2, 10), (2, 20), (4, 4), (4, 6), (4, 10), (5, 4), (6, 6), (6, 10), (8, 4)\}.$$

Furthermore, for each pair  $(n, \ell)$  with  $n \ge 1$  and  $\ell \ge 4$  even, there exist  $\alpha \in \overline{\mathbb{Q}}$  attaining the lower and upper bounds.

Table 2 is a list of groups isomorphic to maximal-order finite subgroups G of  $GL_n(\mathbb{Q}(\omega_\ell))$ , quoted from Feit [6]. (An error in the first line of his table has been corrected.) In this table  $ST_j$  refers to the jth unitary reflection group in [17, Table VII], and the wreath product  $G \wr S_n$  is the semidirect product  $(G \times \cdots \times G) \rtimes S_n$  in which  $S_n$  acts on the n-fold product of G by permuting the coordinates; see also [20, Table 7.3.1].

*Proof.* The proof is a generalization of that of Theorem 1. For fixed  $\ell$ ,  $D(\mathbb{Q}(\omega_\ell), n)$  is a strictly increasing function of n. Thus to carry over the proof, it remains to show that the invariant subfield  $\mathbb{Q}(\omega_\ell)(x_1,\ldots,x_n)^G$  is purely transcendental over  $\mathbb{Q}(\omega_\ell)$  in each case of Table 2. This is immediate for all the Shephard–Todd groups in the table, by the extension of Chevalley's theorem to unitary reflection groups by Shephard and Todd ([17]; see also [2, p. 115, Theorem 4; 10, p. 65]). For example, when  $G = (\mathbb{Z}/\ell\mathbb{Z})^n \rtimes S_n$ , the field of invariants  $\mathbb{Q}(\omega_\ell)(x_1,\ldots,x_n)^G$  is  $\mathbb{Q}(\omega_\ell)(e_1,\ldots,e_n)$ , where  $e_j$  is the jth elementary symmetric function of  $x_1^\ell,\ldots,x_n^\ell$ . The three remaining cases are handled by Lemma 17 below.

LEMMA 16 Let k be a field. Let the symmetric group  $S_m$  act on

$$K = k(x_1^{(1)}, \dots, x_1^{(m)}; \dots; x_n^{(1)}, \dots, x_n^{(m)})$$

by acting on the superscripts. Then  $K^{S_m}$  is purely transcendental over k.

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n	$\ell$	$D(\mathbb{Q}(w_{\ell}), n)/(\ell^n n!)$	Maximal-order subgroup G	$D(\mathbb{Q}(\omega_{\ell}), n) = \#G$
2	4	3	$ST_8 = \langle GL_2(\mathbb{F}_3), \omega_4 I \rangle$	96
2	8	3/2	$ST_9 = \langle GL_2(\mathbb{F}_3), \omega_8 I \rangle$	192
2	10	3	$ST_{16} = \langle \omega_5 I \rangle \times SL_2(\mathbb{F}_5)$	600
2	20	3/2	$ST_{17} = \langle SL_2(\mathbb{F}_5), \omega_{20}I \rangle$	1200
4	4	15/2	$ST_{31}$	46080
4	6	5	$ST_{32}$	155520
4	10	3	$\operatorname{ST}_{16} \wr S_2$	720000
5	4	3/2	$ST_{31} \times \langle \omega_4 I \rangle$	184320
6	6	7/6	$ST_{34}$	39191040
6	10	9/5	$ST_{16} \wr S_3$	1296000000
8	4	45/28	$ST_{31} \wr S_2$	4246732800
all o	ther $(n, \ell)$ ,			
$\ell \geqslant$	4 even	1	$ST_2(\ell, 1, n) = (\mathbb{Z}/\ell\mathbb{Z})^n \times S_n$	$\ell^n n!$

**Table 2** Maximal-order subgroups of  $GL_n(\mathbb{Q}(\omega_\ell))$  for  $\ell \geqslant 4$  even

*Proof.* If E/F is a Galois extension of fields with Galois group G, and V is an E-vector space equipped with a semilinear action of G, there exists an E-basis of V consisting of G-invariant vectors [19, II.5.8.1].

Apply this to  $E = k(x_1^{(1)}, \dots, x_1^{(m)})$ ,  $G = S_m$ ,  $F = E^G$  (the purely transcendental extension of k generated by the symmetric functions in  $x_1^{(1)}, \dots, x_1^{(m)}$ ), and V the E-subspace of K spanned by all the  $x_i^{(j)}$  with  $i \ge 2$ . Choose an E-basis  $\{v_s\}$  of G-invariant vectors as above. Let  $K_0 = k(\{v_s\})$ . Since  $EK_0 = K$ , we have  $[K : K_0] \le [E : F] = m!$  On the other hand,  $K_0 \subseteq K^G$  with  $[K : K^G] = m!$ , so  $K_0 = K^G$ . Since the  $x_i^{(j)}$  are algebraically independent over E, the  $v_s$  are algebraically independent over E.

LEMMA 17 Let k be a field, and let G be a finite subgroup of  $GL_n(k)$  whose field of invariants  $k(x_1, \ldots, x_n)^G$  is purely transcendental over k. Let  $G \wr S_m$  act on

$$L = k(x_1^{(1)}, \dots, x_n^{(1)}; \dots; x_1^{(m)}, \dots, x_n^{(m)})$$

by letting the *i*th of the *m* copies of G act linearly on the span of  $x_1^{(i)}, \ldots, x_n^{(i)}$  while  $S_m$  acts on the superscripts. Then  $L^{G \wr S_m}$  is purely transcendental over k.

*Proof.* Since  $G \wr S_m$  is a semidirect product of  $S_m$  by  $G^m$ , we have  $L^{G \wr S_m} = (L^{G^m})^{S_m}$ . If  $k(x_1, \ldots, x_n)^G = k(I_1, \ldots, I_n)$ , then

$$L^{G^m} = k(I_1^{(1)}, \dots, I_n^{(1)}; \dots; I_1^{(m)}, \dots, I_n^{(m)}),$$

and  $S_m$  acts on this by acting on superscripts. Now apply Lemma 16.

EXAMPLE Using the elimination procedure outlined in section 3.2, we can give an example of an algebraic number  $\alpha$  of degree 96 over  $\mathbb{Q}(i)$  with  $\mathbb{Q}(i)$ -conjugate dimension 2 and Galois group  $ST_8$ ,

as in Table 2. Now 
$$ST_8 = \left( \begin{pmatrix} 0 & 1 \\ 1 & i \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -i & 0 \end{pmatrix} \right)$$
, with invariants

$$\begin{split} I_8(x_1,x_2) = & x_1^8 + 4(1+i) \, x_1^7 \, x_2 + 14 \, i \, x_1^6 \, x_2^2 - 14(1-i) \, x_1^5 \, x_2^3 - 21 \, x_1^4 \, x_2^4 - 14(1+i) \, x_1^3 \, x_2^5 \\ & - 14 \, i \, x_1^2 \, x_2^6 + 4(1-i) \, x_1 \, x_2^7 + x_2^8, \\ I_{12}(x_1,x_2) = & 2 \, x_1^{12} + 12(1+i) \, x_1^{11} \, x_2 + 66 \, i \, x_1^{10} \, x_2^2 - 110(1-i) \, x_1^9 \, x_2^3 - 231 \, x_1^8 \, x_2^4 \\ & - 132(1+i) \, x_1^7 \, x_2^5 - 132(1-i) \, x_1^5 \, x_2^7 - 231 \, x_1^4 \, x_2^8 - 110(1+i) \, x_1^3 \, x_2^9 \\ & - 66 \, i \, x_1^2 \, x_2^{10} + 12(1-i) \, x_1 \, x_2^{11} + 2 \, x_2^{12}. \end{split}$$

The  $x_2$ -resultant of  $I_8 - 1 - i$  and  $I_{12} - 1$  is  $P_{24}(x_1)^4$ , where the auxiliary polynomial  $P_{24}$  is

$$P_{24}(x) = 27 x^{24} - 270(1+i) x^{16} + 270 x^{12} - 810 i x^8 + 54(1+i) x^4 - 9 + 8 i.$$

Two zeros  $\beta$  and  $\beta'$  of  $P_{24}$  can be chosen so that the conjugates of  $\beta$  are

$$\omega\beta$$
,  $\omega\beta'$ ,  $\omega(\beta + \beta')$ ,  $\omega(\beta - i\beta')$ ,  $\omega(\beta + (1-i)\beta')$ ,  $\omega((1+i)\beta + \beta')$ ,

where  $\omega \in \{\pm 1, \pm i\}$ . Then  $\alpha = \beta + 2\beta'$  has degree 96 over  $\mathbb{Q}(i)$ , with conjugates  $(\beta, \beta')g(1, 2)^T$  for  $g \in ST_8$ . The minimal polynomial of  $\alpha$  can be computed directly as the resultant with respect to  $x_2$  of  $I_8(y - 2x_2, x_2) - 1 - i$  and  $I_{12}(y - 2x_2, x_2) - 1$ .

## 4.3. D(k, n) depends on more than $\ell$ and n

Let k be a number field, and let  $\ell$  be the number of roots of unity in k. It seems reasonable to guess, as in the case of cyclotomic fields  $\mathbb{Q}(\omega_{\ell})$ , that  $D(k,n) = \ell^n n!$  for all but finitely many n. However, it is possible that two number fields k and k' contain the same number of roots of unity, but  $D(k,n) \neq D(k',n)$  for some n. For example, we can take  $k = \mathbb{Q}(\cos(2\pi/m), \sin(2\pi/m))$ , where m > 6, and  $k' = \mathbb{Q}$ . In both cases  $\ell = 2$ , but  $D(k,2) > D(\mathbb{Q},2) = 12$ . Indeed, there exist  $a,b \in k$  such that  $\alpha = \sqrt[m]{a}(1+b\omega_m)$  is of degree 2m > 12 over k. Its conjugate dimension over k is 2; its conjugates are spanned by  $\sqrt[m]{a}$  and  $i\sqrt[m]{a}$ . This example also shows that the number of exceptional cases can be arbitrarily large, since we may simply take m with  $2m > 2^n n!$ .

Another example is  $D(\mathbb{Q}(\sqrt{5}), 3) \ge 120$ , obtained from the icosahedral subgroup of  $GL_3(\mathbb{Q}(\sqrt{5}))$  (reflection group  $ST_{23}$ ) via Propositions 5 and 8.

# 5. Multiplicative conjugate rank

Instead of the dimension  $n(\alpha)$  of the  $\mathbb{Q}$ -vector space spanned by the d conjugates  $\underline{\alpha_i}$  of an algebraic number  $\alpha$ , we may consider the rank  $r(\alpha)$  of the multiplicative subgroup of  $\overline{\mathbb{Q}}^*$  they generate. We call this the *(multiplicative) conjugate rank* of  $\alpha$ . As before, we have the trivial inequality  $r(\alpha) \leq d(\alpha)$ , which is sharp in the case of maximal Galois group (again by [21, Lemma 1]). Unlike in the additive case, we can have no non-trivial lower bound without some further hypothesis, because if  $\alpha$  is a root of unity then  $r(\alpha) = 0$  while  $d(\alpha)$  is unbounded. However, also unlike the additive case, we have the following result over a very general field. The main difficulty in the proof below is to show that this bound is sharp for Hilbertian fields.

THEOREM 18 Suppose that  $\alpha$  is separable and algebraic of degree  $d(\alpha)$  over a field k, and the multiplicative subgroup of  $(k^s)^*$  generated by the conjugates  $\alpha_1, \ldots, \alpha_d$  of  $\alpha$  is torsion-free. Then the rank  $r(\alpha)$  of this subgroup satisfies  $r(\alpha) \leq d(\alpha) \leq d_{\max}(r(\alpha))$ , with  $d_{\max}(\cdot)$  defined by Table 1 as before. If k is Hilbertian, then for each integer  $r \geq 1$  there are  $\alpha \in k^s$  of conjugate rank r attaining the lower and upper bounds.

The upper bound is given by the same function  $d_{\max}(\cdot)$  that we found for the conjugate dimension over  $\mathbb{Q}$ , and this bound is independent of the ground field k, although it need not always be sharp.

*Proof.* For any  $\alpha \in k^s$ , let  $\Gamma = \Gamma(\alpha)$  be the multiplicative group generated by the  $\alpha_i$ . We observed already that the lower bound  $d(\alpha) \geqslant r(\alpha)$  is immediate. For the upper bound, we argue as we did for  $n(\alpha)$ . The Galois group G acts faithfully on  $\Gamma$ . By hypothesis,  $\Gamma \cong \mathbb{Z}^{r(\alpha)}$ , so G acts faithfully also on  $\Gamma \otimes_{\mathbb{Z}} \mathbb{Q}$ , which is a  $\mathbb{Q}$ -vector space of dimension  $r(\alpha)$ . Hence #G is bounded above by  $d_{\max}(r(\alpha))$ , the size of the largest finite subgroup of  $\mathrm{GL}_{r(\alpha)}(\mathbb{Q})$ . Hence  $d(\alpha) \leqslant \#G \leqslant d_{\max}(r(\alpha))$ .

The proof that there are examples attaining equality when k is Hilbertian uses two corollaries of the following technical result.

PROPOSITION 19 Let L/k be a finite Galois extension of fields with Galois group G, and suppose that k is not algebraic over a finite field. Then the  $\mathbb{Z}G$ -module  $L^*$  contains a free  $\mathbb{Z}G$ -module of rank 1.

*Proof.* For each  $g \in G - \{1\}$ , choose  $a_g \in L$  that is not fixed by g. Choose  $b \in L$  that is not algebraic over a finite field. Let S be the union of the G-orbits of the  $a_g$  and of b. Then S is finite. Let  $L_0$  be the minimal subfield of L containing S. Let  $k_0$  be the subfield  $(L_0)^G$  fixed by G. The action of G on S is faithful, so G acts faithfully on  $L_0$ , and  $L_0/k_0$  is Galois with group G. In this way we reduce to the case where k and L are finitely generated fields (finitely generated over their minimal subfield).

Choose finitely generated  $\mathbb{Z}$ -algebras  $A\subseteq B$  with fraction fields k and L, respectively. Without loss of generality we may assume, by localization, that B is a finite étale Galois algebra over A. Since L is not algebraic over a finite field, dim  $A=\dim B\geqslant 1$ . By [14, Theorem 4], there is a maximal ideal  $\mathfrak{m}_1$  of B lying over a maximal ideal  $\mathfrak{m}$  of A such that the residue field extension  $B/\mathfrak{m}_1$  over  $A/\mathfrak{m}$  is trivial. Thus  $\mathfrak{m}$  splits completely: if n=#G, there are n distinct maximal ideals  $\mathfrak{m}_1,\ldots,\mathfrak{m}_n$  of B lying over  $\mathfrak{m}$ , and they are are permuted transitively by G. By [1, Proposition 1.11], there exists a non-zero  $\beta\in\mathfrak{m}_1$  lying outside all of  $\mathfrak{m}_2,\ldots,\mathfrak{m}_n$ . We can label the conjugates  $\beta_i$  of  $\beta$  so that  $\beta_i\in\mathfrak{m}_j$  if and only if i=j. Any non-trivial relation  $\prod_{i=1}^n\beta_i^{b_i}=1$  with  $b_i\in\mathbb{Z}$ , would, after moving the factors with negative exponent to the other side, give an equality between an element in  $\mathfrak{m}_i$  and an element outside  $\mathfrak{m}_i$ , for some i. Hence the  $\mathbb{Z}G$ -module generated by  $\beta$  in  $L^*$  is free of rank 1.

COROLLARY 20 Let k be a field that is not algebraic over a finite field. If k has a Galois extension with Galois group  $S_r$ , then there exists  $\alpha \in (k^s)^*$  with  $r(\alpha) = d(\alpha) = r$ .

*Proof.* Let L be the  $S_r$ -extension of k. By Proposition 19, the  $\mathbb{Z}S_r$ -module  $L^*$  contains a copy of  $\mathbb{Z}S_r$ , which contains a copy of the  $\mathbb{Z}S_r$ -module  $\mathbb{Z}^r$  on which  $S_r$  acts by permuting coordinates. The element  $(1, 0, \ldots, 0) \in \mathbb{Z}^r$  corresponds to  $\alpha \in L^*$  with the desired properties.

COROLLARY 21 Let k be a field that is not algebraic over a finite field, and let G be a finite group. Suppose that G = Gal(K/k) for some Galois extension K of k, and that there is a faithful

r-dimensional subrepresentation V of the regular representation of G over  $\mathbb{Q}$ . Then there exists  $\alpha \in K^*$  whose conjugates generate a torsion-free multiplicative group with  $r(\alpha) = r$  and  $d(\alpha) = [K:k] = \#G$ .

*Proof.* Apply Proposition 19 and then Lemma 3 with  $k = \mathbb{Q}$ . This gives  $\alpha \in K^* \otimes_{\mathbb{Z}} \mathbb{Q}$  with the desired properties, and we replace  $\alpha$  by a power so that it is represented by an element of  $K^*$ .

We now prove the final statement of Theorem 18. Since k is Hilbertian, k has  $S_r$ -extensions for all r. In particular, k is not algebraic over a finite field. Applying Corollary 20 yields  $\alpha$  with  $r(\alpha) = d(\alpha) = r$ . Combining Corollaries 9 and 21 gives a different  $\alpha$  with  $r(\alpha) = r$  and  $d(\alpha) = d_{\max}(r)$ , for any  $r \ge 1$ .

We end by giving an explicit algebraic number of conjugate rank n and degree  $2^n n!$  over  $\mathbb{Q}$ .

PROPOSITION 22 Let  $\sqrt{r_1}$ , ...,  $\sqrt{r_n}$  be as in Proposition 12. Let  $s_i = (1 + \sqrt{r_i})/(1 - \sqrt{r_i})$  and  $\alpha = s_1 s_2^2 \cdots s_n^n$ . Then  $r(\alpha) = n$  and  $d(\alpha) = 2^n n!$  over  $\mathbb{Q}$ .

*Proof.* The proof of Proposition 12 showed that  $[\mathbb{Q}(\sqrt{r_1},\ldots,\sqrt{r_n}):\mathbb{Q}]=2^nn!$ , so its Galois group G is the signed permutation group  $W(B_n)$ . The elements of G act on  $\alpha$  by permuting the exponents  $1,2,\ldots,n$  and changing their signs independently. In particular, the group generated by the conjugates of  $\alpha$  is of finite index in the subgroup generated by the  $s_i$ . On the other hand, the  $s_i$  are multiplicatively independent since they are not roots of unity and since there is an automorphism inverting any one of them while fixing all the others. Thus  $\alpha$  has  $2^nn!$  distinct conjugates, and they generate a subgroup of rank n.

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