



# On groups whose word problem is solved by a counter automaton

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Received 25 September 2002; received in revised form 5 August 2003; accepted 10 September 2003

Communicated by D. Perrin

## Abstract

We prove that a group  $G$  has a word problem that is accepted by a deterministic counter automaton with a weak inverse property if and only if  $G$  is virtually abelian. We extend this result to larger classes of groups by considering a generalization of finite state automata, counter automata and pushdown automata. Natural corollaries of our general result include a restricted version of Herbst's classification of groups for which the word problem is a one counter language and a new classification of automata that accept context-free word problems.

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**Keywords:** Word problem; Counter automaton; Context free language

## 1. Introduction

Let  $H$  be a group given by a finite presentation  $\langle X|R \rangle$ , and let  $W(H)$  be the word problem for  $H$ ; that is, let  $W(H)$  be the set of words in  $(X^\pm)^*$  that represent the identity element in  $H$ . Several authors have explored the relationship between the formal language classification of  $W(H)$  and the group theoretic classification of  $H$ . It is well-known, for example, that  $W(H)$  is a regular language if and only if  $H$  is finite [1]. In 1985 Muller and Schupp proved that  $W(H)$  is a context-free language if and only if  $H$  has a free subgroup of finite index [6,7]. In 1991 Herbst showed that  $W(H)$  is accepted by a one counter automaton if and only if  $H$  has a cyclic subgroup of finite index [5]. For a summary of these and related results, see [4].

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Our main result describes the kinds of groups for which the word problem is accepted by a  $G$ -automaton.  $G$ -automata are natural generalizations of the notions of finite state automata, counter automata and pushdown automata.  $G$ -automata are referred to as *generalized automata* in [3] and as *extended automata* in [2]; they are also defined implicitly in [4]. Loosely, if  $G$  is a group, a  $G$ -automaton over a finite alphabet  $X$  is an automaton in which each edge is labeled by an ordered pair, the first coordinate of which is an element of  $G$  and the second coordinate of which is an element of  $X^\pm$  or the empty word. A word  $w$  over  $X^\pm$  is *accepted* by  $A_G$  if there is a path from the initial vertex to a terminal vertex for which the second coordinate is  $w$  and the first coordinate is 1. The *inverse property* is a weakened version of the assumption that for each edge from  $\sigma$  to  $\tau$  labeled  $x$  there is a corresponding edge from  $\tau$  to  $\sigma$  labeled  $x^{-1}$ . Muller and Schupp refer to this latter property as being *reversible*. The inverse property is a natural assumption when studying automata that accept a word problem for a group; for example, if  $A_G$  is a deterministic  $G$ -automaton accepting a word problem and if  $A_G$  has only one terminal vertex, then  $A_G$  satisfies the inverse property. For precise definitions of all of these terms, see Section 2.

**Theorem 7.** *Let  $H$  be a finitely generated group.  $W(H)$  is accepted by a deterministic  $G$ -automaton with the inverse property if and only if  $H$  has a finite index subgroup  $K$  such that  $K$  is isomorphic to a subgroup of  $G$ .*

In Section 5 we give an example showing that it is necessary to assume that  $A_G$  is deterministic. It remains an open question as to whether or not it is necessary to assume that  $A_G$  satisfies the inverse property.

In Section 4 we list many corollaries to Theorem 7. One corollary is a restricted version of Herbst's result concerning word problems which are one counter languages [5].

**Corollary 11.** *Let  $H$  be a finitely generated group. Then  $W(H)$  is accepted by a deterministic one counter automaton with the inverse property if and only if  $H$  has a cyclic subgroup of finite index.*

The following corollary provides a version of Herbst's result for the broader class of counter automata.

**Corollary 13.** *Let  $H$  be a finitely generated group. Then  $W(H)$  is accepted by a deterministic counter automaton with the inverse property if and only if  $H$  has a free abelian subgroup of finite index.*

Although our main result is a generalization of Herbst's result, our techniques are significantly different. Muller and Schupp first show that the Cayley graph of a group with context free word problem has more than one end. They then use Stallings Structure Theorem on finitely generated groups with more than one end. Herbst, in turn, uses the Muller and Schupp result. In contrast, our techniques are completely elementary. It appears that our assumption that the  $G$ -automata satisfy the inverse property finesses the need for any deep topology.

Combining our main result with that of Muller and Schupp leads to the following corollary concerning word problems which are context-free languages [6,7].

**Corollary 14.** *Let  $H$  be a finitely generated group.  $W(H)$  is context-free if and only if there is a deterministic  $G$ -automaton  $A_G$  with the inverse property and  $G$  free such that  $A_G$  accepts  $W(H)$ .*

## 2. Notation and definitions

Let  $X$  be a finite set. We use  $X^-$  to denote a set of formal inverses to the elements of  $X$  and we denote  $X \cup X^-$  by  $X^\pm$ . The free monoid on  $X^\pm$  is denoted by  $(X^\pm)^*$ , and the free group on  $X$  by  $F(X)$ . The empty word in  $(X^\pm)^*$  is denoted by  $\lambda$ . Let  $\theta$  be a homomorphism from  $F(X)$  onto a group  $H$ . If  $w$  is an element of  $(X^\pm)^*$ , then we denote by  $\bar{w}$  the image of  $w$  in  $H$  under composition of  $\theta$  with the natural map from  $(X^\pm)^*$  to  $F(X)$ . The word problem  $W(H)$  is defined by

$$W(H) = \{w \in (X^\pm)^* : \bar{w} = 1\}.$$

Let  $G$  be a group. We define a  $G$ -automaton  $A_G$  over  $X$  to be a finite directed graph with a distinguished initial vertex, some distinguished terminal vertices, and with edges labeled by  $G \times (X^\pm \cup \{\lambda\})$ . If  $k$  is a positive integer, a  $\mathbb{Z}^k$ -automaton is called a *counter automaton*, and a  $\mathbb{Z}$ -automaton is a *one-counter automaton*.

If  $p$  is a path in  $A_G$ , the element of  $G$  which is the first component of the label of  $p$  is denoted  $g(p)$ , and the element of  $(X^\pm)^*$  which is the second component of the label of  $p$  is denoted by  $w(p)$ . If  $p$  is the empty path,  $g(p)$  is the identity element and  $w(p)$  is the empty word. If  $p$  and  $q$  are paths such that the final vertex of  $p$  is equal to the starting vertex of  $q$ , we denote by  $pq$  the concatenation of the two paths. As the graphs are representing automata, we shall refer to the vertices from now on as *states*.

A  $G$ -automaton over  $X$  is said to *accept* a word  $w \in (X^\pm)^*$  if there is a path  $p$  from the initial state to some terminal state such that  $w(p) = w$  and  $g(p) = 1$ . In this case  $p$  is called an *accepting path*. If  $A_G$  is a  $G$ -automaton, we denote by  $\mathcal{L}(A_G)$  the language of words accepted by  $A_G$ .

A  $G$ -automaton  $A_G$  is defined to be *accessible* if for every state  $\sigma$ , there is a path from the initial state to  $\sigma$ .  $A_G$  is *trim* if every state is visited along at least one accepting path.  $A_G$  is *complete* if for every state  $\sigma$  and every  $a \in X^\pm$ , there is an edge from  $\sigma$  labeled by  $a$ , i.e.  $w(e) = a$  for some edge  $e$  from  $\sigma$ .  $A_G$  is *deterministic* if there are no edges  $e$  such that  $w(e)$  is the empty word, and if for each state  $\sigma$  and for each  $x \in X^\pm$ , there is at most one edge  $e$  leaving  $\sigma$  such that  $w(e) = x$ .

We say that a  $G$ -automaton has the *inverse property* if for every path  $p$  from terminal state  $\sigma_1$  to terminal state  $\sigma_2$ , there exists a path  $q$  from  $\sigma_2$  to  $\sigma_1$  with  $w(q) = (w(p))^{-1}$ .

If  $A_G$  is deterministic and complete, we introduce further notation. If  $w$  is a word in  $(X^\pm)^*$  and  $\sigma$  is a state in  $A_G$ , we denote by  $p(\sigma, w)$  the path starting at  $\sigma$  such that  $w(p) = w$ . We let  $p(w)$  denote  $p(\sigma, w)$  where  $\sigma$  is the initial state.

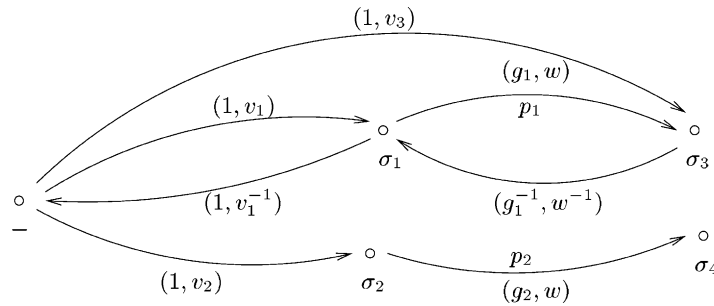


Fig. 1. Proof of Lemma 3

In the proof, it will be useful to refer to the finite automaton over  $X$  obtained from  $A_G$  by ignoring the first component of the edge labels; we call this the *underlying finite state automaton*  $A$  of  $A_G$ , and we denote by  $\mathcal{L}(A)$  the language accepted by  $A$ .

### 3. Preliminaries

Note that if  $A_G$  is a  $G$ -automaton over  $X$  that accepts the word problem for a group  $H$ , then  $H$  must be  $X$ -generated (though, of course,  $G$  need not be). Note also that  $A_G$  can be made accessible without changing the language of words that it accepts by simply removing all states  $\sigma$  for which there is no path from the initial state to  $\sigma$ . The following proposition shows that we can also assume that  $A_G$  is complete and trim.

**Proposition 1.** *If  $A_G$  is an accessible, deterministic  $G$ -automaton over  $X$  such that  $\mathcal{L}(A_G) = W(H)$  for some finitely generated group  $H$  then  $A_G$  is complete and trim.*

**Proof.** Let  $\sigma$  be a state of  $A_G$  and  $a \in X^\pm$ . Since  $A_G$  is accessible, there is a path  $p$  from the initial state to  $\sigma$ . Let  $w(p) = w$ . Then  $waa^{-1}w^{-1} \in W(H)$  and is therefore accepted by  $A_G$ . Since  $A_G$  is deterministic, the only path  $q$  from the initial state such that  $w(q) = w$  is  $p$ . It follows that there is an edge  $e$  leaving  $\sigma$  such that  $w(e) = a$  and that  $\sigma$  is visited along the accepting path  $p(waa^{-1}w^{-1})$  (Fig. 1).  $\square$

### 4. The word problem and $G$ -automata

Let  $G$  be a group. We begin by studying groups  $H$  for which the word problem is accepted by a  $G$ -automaton. Let  $X$  be a finite alphabet, and let  $H$  be a homomorphic image of  $F(X)$ . Let  $A_G$  be a deterministic  $G$ -automaton over  $X$  such that  $\mathcal{L}(A_G) = W(H)$  and  $A_G$  satisfies the inverse property.

Note that the initial state of  $A_G$  is terminal, since the empty word is in  $W(H)$ . Furthermore, if  $\sigma$  is a terminal state of  $A_G$ , we may assume there exists a word

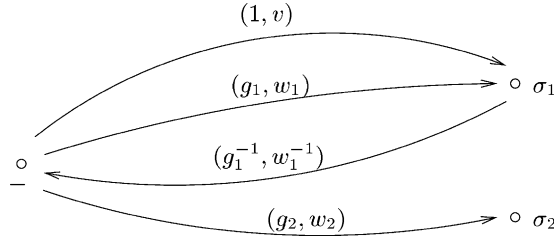


Fig. 2. Proof of Lemma 4.

$w \in (X^\pm)^*$  such that  $p = p(w)$  ends at  $\sigma$  and  $g(p) = 1$ : if not, then removing  $\sigma$  from the set of terminal states does not change the language accepted.

**Lemma 2.** Let  $\sigma$  be a terminal state of  $A_G$ . Let  $w$  be a word in  $(X^\pm)^*$  such that  $p(\sigma, w)$  ends in a terminal state  $\sigma'$ . Then  $g(p(\sigma', w^{-1})) = g(p(\sigma, w))^{-1}$ .

**Proof.** There exists a word  $u$  such that  $p(u)$  ends at  $\sigma$  and  $g(p(u)) = 1$ . Since  $uww^{-1}$  is in  $W(H)$ , it follows that  $g(p(\sigma', w^{-1})) = g(p(\sigma, w))^{-1}$ .  $\square$

**Lemma 3.** Let  $\sigma_1$  and  $\sigma_2$  be terminal states of  $A_G$ ,  $w \in (X^\pm)^*$ . If  $p_1 = p(\sigma_1, w)$  ends at a terminal state, then  $p_2 = p(\sigma_2, w)$  ends at a terminal state and  $g(p_1) = g(p_2)$ .

**Proof.** Let  $p_1$  end at  $\sigma_3$  and  $p_2$  end at  $\sigma_4$ . There exist  $v_1, v_2, v_3 \in \mathcal{L}(A_G) = W(H)$  such that  $p(v_1)$ ,  $p(v_2)$ , and  $p(v_3)$  end at  $\sigma_1$ ,  $\sigma_2$ , and  $\sigma_3$  respectively. By the inverse property  $p(\sigma_3, w^{-1}v_1^{-1})$  ends at the initial state. Then  $p_4 = p(v_3w^{-1}v_1^{-1}v_2w)$  ends at  $\sigma_4$ . Since  $v_3w^{-1}v_1^{-1}v_2w \in W(H)$ ,  $\sigma_4$  is a terminal state.

Now  $g(p(v_1)) = g(p(v_2)) = g(p(v_3)) = 1$ . Let  $g_1 = g(p_1)$ , and let  $g_2 = g(p_2)$ . By Lemma 2  $g(p(\sigma_3, w^{-1})) = g_1^{-1}$ . Since  $w(p_4)$  is in  $W(H)$ ,  $g(p_4) = g_1^{-1}g_2 = 1$  and  $g_1 = g_2$  (Fig. 2).  $\square$

Let  $A$  be the underlying finite state automaton of  $A_G$ . Let  $J = \mathcal{L}(A)$ , and let  $K = \{\bar{w} : w \in J\}$ .

**Lemma 4.** Let  $w_1$  and  $w_2$  be words in  $(X^\pm)^*$ . If  $\bar{w}_1 = \bar{w}_2$  for  $w_1 \in J$ , then  $w_2 \in J$  and  $g(p(w_1)) = g(p(w_2))$ .

**Proof.** Let  $p(w_1)$  end at  $\sigma_1$  and  $p(w_2)$  end at  $\sigma_2$ . Since  $w_1 \in J$ ,  $\sigma_1$  is a terminal state. It follows that there exists a  $v \in (X^\pm)^*$  such that  $p_1 = p(v)$  ends at  $\sigma_1$  and  $g(p_1) = 1$ , i.e.  $v \in \mathcal{L}(A_G)$ . Then, the inverse property implies  $p(vw_1^{-1})$  ends at the initial state and  $p(vw_1^{-1}w_2)$  ends at  $\sigma_2$ . Since  $vw_1^{-1}w_2 \in W(H) = \mathcal{L}(A_G)$ ,  $\sigma_2$  is a terminal state and  $w_2 \in J$ . Let  $g_1 = g(p(w_1))$ , and let  $g_2 = g(p(w_2))$ . By Lemma 2,  $g(p(\sigma_1, w_1^{-1})) = g_1^{-1}$ . Thus  $1 = g(p(vw_1^{-1}w_2)) = g_1^{-1}g_2$  implies  $g_1 = g_2$ .  $\square$

**Lemma 5.**  $K$  is isomorphic to a subgroup of  $G$ .

**Proof.** We begin by showing that  $K$  is a subgroup of  $H$ . Let  $w_1, w_2 \in J$ . Since the empty word is in  $W(H)$ , the initial state is also a terminal state. Thus  $p(w_1)$  and  $p(w_2)$  both start and end at terminal states. It follows from Lemma 3 that  $p(w_1 w_2)$  ends at a terminal state. Thus  $w_1 w_2 \in J$  and  $J$  is a submonoid of  $(X^\pm)^*$ , showing  $K$  is closed under multiplication.

Let  $w \in J$  and let  $p(w)$  end at  $\sigma$ . Then  $\sigma$  is a terminal state and  $p(\sigma, w^{-1})$  ends at the initial state, also a terminal state. It follows once again from Lemma 3 that  $p(w^{-1})$  ends at a terminal state and  $w^{-1} \in J$ . Therefore,  $K$  is closed under inverses.

Let  $\rho$  be the map from  $K$  into  $G$  that takes an element  $\bar{w}$  to  $g(p(w))$ .  $\rho$  is well defined by Lemma 4. That  $\rho$  is a homomorphism follows directly from Lemma 3 and the fact that the initial state is also terminal. If  $\bar{w} \in K$  and  $\rho(\bar{w}) = 1$ , then  $w$  is accepted by  $A_G$ . Thus  $w \in W(H)$  and  $\bar{w} = 1$ . This shows  $\rho$  is injective.  $\square$

**Lemma 6.**  $K$  has finite index in  $H$ .

**Proof.** Let  $\Sigma$  be the set of states of  $A_G$ . We define a map  $\theta$  from  $\Sigma$  to the set of right cosets of  $K$  in  $H$  as follows:  $\theta(\sigma) = K\bar{w}$ , where  $w$  is an element of  $(X^\pm)^*$  such that  $p(w)$  ends at  $\sigma$ . We begin by showing that  $\theta$  is well-defined. Suppose there exist paths  $p_1$  and  $p_2$  both starting at the initial state and ending at  $\sigma$ . Let  $q_2$  be the path starting at  $\sigma$  such that  $w(q_2) = w(p_2)^{-1}$ .  $q_2$  ends at a terminal state. Therefore  $p_1 q_2$  also ends at a terminal state, and  $w(p_1 q_2) = w(p_1)w(q_2) = w(p_1)w(p_2)^{-1}$  is an element of  $J$ . Therefore  $K\bar{w}(p_1) = K\bar{w}(p_2)$  and  $\theta$  is well-defined. Since  $A_G$  is complete,  $\theta$  is onto. Since  $\Sigma$  is finite, the set of right cosets of  $K$  in  $H$  is also finite.  $\square$

**Theorem 7.** Let  $H$  be a finitely generated group.  $W(H)$  is accepted by a deterministic  $G$ -automaton with the inverse property if and only if  $H$  has a finite index subgroup  $K$  such that  $K$  is isomorphic to a subgroup of  $G$ .

**Proof.** Let  $A_G$  be a deterministic  $G$ -automaton with the inverse property that accepts  $W(H)$ . Let  $A$  be the underlying finite state automaton of  $A_G$ ,  $J = \mathcal{L}(A)$ , and  $K = \{\bar{w} : w \in J\}$  as above. It follows from the above results that  $K$  has finite index in  $H$  and is isomorphic to a subgroup of  $G$ .

Let  $X$  be a finite alphabet, and let  $H$  be a homomorphic image of  $F(X)$ . Suppose that  $K$  is a finite index subgroup of  $H$  and that  $\rho$  is an embedding of  $K$  into  $G$ . We construct a  $G$ -automaton over  $X$  that accepts  $W(H)$  by constructing the usual coset automaton for  $K$  in  $H$  with respect to  $X$ , with edge labels from  $G \times X^\pm$  as described below. Let  $h_1, \dots, h_k$  be a set of right coset representatives for  $K$  in  $H$  with  $h_1 = 1$ . The states of  $A_G$  are the right cosets  $Kh_1, \dots, Kh_k$ .  $Kh_1$  is the initial state and the unique terminal state. There is an edge from  $Kh_i$  to  $Kh_m$  if for some  $x$  in  $X^\pm$ ,  $Kh_i \bar{x} = Kh_m$ . That edge is labeled  $(g, x)$  where  $g = \rho(h_i \bar{x} h_m^{-1})$ . This defines a deterministic  $G$ -automaton over  $X$ .

To prove that  $\mathcal{L}(A_G) = W(H)$  it suffices to show that if  $w$  is an element of  $(X^\pm)^*$ , and if  $p(w)$  ends at the state  $Kh_i$ , then  $\bar{w} = \rho^{-1}(g(p(w)))h_i$ . We proceed by induction on the length of  $w$ . If  $w$  is the empty word, the result is clear. Suppose that  $w = w'x$ , where  $x$  is an element of  $X^\pm$ . Let  $g' = g(p(w'))$ . Suppose that  $p(w')$  ends at the

state  $Kh_i$  and that  $p(w)$  ends at state  $Kh_m$ . By the inductive hypothesis, we have that  $\bar{w}' = \rho^{-1}(g')h_i$ . By construction, there is an edge from  $Kh_i$  to  $Kh_m$  labeled  $(g, x)$ , where  $g = \rho(h_i \bar{x} h_m^{-1})$ . We want to show that  $\bar{w} = \rho^{-1}(g'g)h_m$ .

$$\bar{w} = \bar{w}'\bar{x} = \rho^{-1}(g')h_i\bar{x} = \rho^{-1}(g')h_i\bar{x}h_m^{-1}h_m = \rho^{-1}(g'g)h_m.$$

Note that  $A_G$  has only one terminal state and that  $A_G$  satisfies the inverse property.  $\square$

The following corollary follows immediately from Theorem 7.

**Corollary 8.** *Whether or not the word problem of a group  $H$  is accepted by a deterministic  $G$ -automaton satisfying the inverse property is independent of the presentation for  $H$ .*

Notice that any deterministic  $G$ -automaton with only one terminal state which accepts a word problem must satisfy the inverse property. Furthermore, examination of the proof of Theorem 7 shows that the  $G$ -automaton constructed to accept  $W(H)$  has only one terminal state. For this reason, Theorem 7 could be restated as follows.

**Corollary 9.** *Let  $H$  be a finitely generated group.  $W(H)$  is accepted by a deterministic  $G$ -automaton with only one terminal state if and only if  $H$  has a finite index subgroup  $K$  such that  $K$  is isomorphic to a subgroup of  $G$ .*

Similarly, all of the corollaries which follow could be restated by replacing the inverse property with the requirement that there be just one terminal state.

**Corollary 10.** *Let  $G$  be a group. Let  $\mathcal{F}$  be the class of groups  $H$  for which  $W(H)$  is accepted by a deterministic  $G$ -automaton  $A_G$  satisfying the inverse property. Then  $\mathcal{F}$  is closed under the operations of isomorphism, finitely generated subgroups, and finite extensions.*

$\mathcal{F}$  is a family of languages as defined by Gilman in [4]. The corollary then follows from Gilman's Theorem 6.4. A more direct proof is given below.

**Proof.** Closure under isomorphism is immediate. Let  $H$  be a group for which  $W(H)$  is accepted by a deterministic  $G$ -automaton satisfying the inverse property. Then  $H$  has a finite index subgroup  $K$  that can be embedded in  $G$ . Let  $L$  be a finitely generated subgroup of  $H$ . Then  $L \cap K$  has finite index in  $L$ , and  $L \cap K$  embeds in  $G$ . Since  $L$  is finitely generated, by Theorem 7  $W(L)$  is accepted by a deterministic  $G$ -automaton satisfying the inverse property.

Let  $M$  be a finite extension of  $H$ . Then  $K$  is a finite index subgroup of  $M$ . Since  $H$  is finitely generated, so is  $M$ , and by Theorem 7  $W(M)$  is accepted by a deterministic  $G$ -automaton satisfying the inverse property.  $\square$

The following is a restricted version of Herbst's result concerning word problems which are one counter languages [5].

**Corollary 11.** *Let  $H$  be a finitely generated group. Then  $W(H)$  is accepted by a deterministic one counter automaton with the inverse property if and only if  $H$  has a cyclic subgroup of finite index.*

**Proof.** Take  $G = \mathbb{Z}$ .  $\square$

Let  $\mathcal{S}$  be class of groups. A group  $H$  is *virtually  $\mathcal{S}$*  if there exists a subgroup  $K$  of finite index in  $H$  such that  $K \in \mathcal{S}$ . In the case where  $H$  is finitely generated and  $\mathcal{S}$  is closed under the operation of taking finitely generated subgroups,  $H$  is *virtually  $\mathcal{S}$*  if and only if there exists a normal subgroup  $K$  of finite index in  $H$  such that  $K \in \mathcal{S}$ . We define an  $\mathcal{S}$ -automaton to be a  $G$ -automaton for some group  $G \in \mathcal{S}$ .

The following corollary follows immediately from Theorem 7.

**Corollary 12.** *Let  $\mathcal{S}$  be a class of groups that is closed under the operation of taking finitely generated subgroups. Let  $H$  be a finitely generated group.  $W(H)$  is accepted by a deterministic  $\mathcal{S}$ -automaton with the inverse property if and only if  $H$  is virtually  $\mathcal{S}$ .*

**Corollary 13.** *Let  $H$  be a finitely generated group.  $W(H)$  is accepted by a deterministic counter automaton with the inverse property if and only if  $H$  has a free abelian subgroup of finite index.*

**Proof.** This follows from Corollary 12 with  $\mathcal{S}$  the class of free abelian groups.  $\square$

**Corollary 14.** *Let  $H$  be a finitely generated group.  $W(H)$  is context-free if and only if there exists a free group  $G$  and a deterministic  $G$ -automaton with the inverse property such that  $\mathcal{L}(A_G) = W(H)$ .*

**Proof.** By Corollary 12 there exists a deterministic  $G$ -automaton, with  $G$  free, satisfying the inverse property and accepting  $W(H)$  if and only if  $H$  is virtually free. Muller and Schupp show that  $H$  is virtually free if and only if its word problem is context free [6,7].  $\square$

## 5. A counterexample

In this section we show that determinism is a necessary hypothesis of Theorem 7 by giving an example of groups  $G$  and  $H$  and a nondeterministic  $G$ -automaton  $A_G$  such that  $A_G$  satisfies the inverse property and accepts  $W(H)$ , but  $H$  does *not* have a finite-index subgroup that can be embedded in  $G$ . In this respect general  $G$ -automata accepting word problems differ from finite state automata, one-counter automata and pushdown automata that do so since in the latter settings nondeterministic automata are no more powerful than deterministic automata [5–7]. (Dassow and Mitrana showed that there exist context-free languages which are *not* word problems that cannot be accepted by deterministic  $G$ -automata [2].)



Let  $X = \{x, y, z\}$ , let  $F = F(X)$ , the free group on three generators, and let  $G = F \times F$ . Let  $H = \langle X | x^{-1}y^{-1}xy, x^{-1}z^{-1}xz, y^{-1}z^{-1}yz \rangle$ , the free abelian group on three generators. Note that  $H$  does not have a finite index subgroup that can be embedded in  $G$ . We now construct a  $G$ -automaton  $A_G$  accepting  $W(H)$ .

Intuitively, we will use the edges of  $A_G$  to mimic the process of reading a word and applying the relations of  $H$ . There will be edges which correspond to

- reading a letter, and positioning the cursor to the right of the letter just read,
- moving the cursor left or right by one letter in the word that has been read so far,
- inserting a relation of  $H$  or its inverse, and positioning the cursor to the right of the inserted letters.

In this section we will distinguish between elements of  $(X^\pm)^*$  and elements of  $F$ . Let  $\tau$  be the monoid homomorphism from  $(X^\pm)^*$  to  $F$ . As in the previous sections, let  $\theta$  be the natural group homomorphism from  $F$  to  $H$ , and for  $w \in (X^\pm)^*$ , let  $\bar{w}$  represent the image of  $w$  in  $H$ , so  $\bar{w} = \theta(\tau(w))$ . If  $u_1, u_2, \dots, u_n$  are elements of  $X^\pm$ , and if  $w = u_1 u_2 \dots u_n$ , then  $\text{reverse}(w)$  is defined to be  $u_n u_{n-1} \dots u_1$ . Note that for  $w_1, w_2 \in (X^\pm)^*$  such that  $\tau(w_1) = \tau(w_2)$ ,  $\tau(\text{reverse}(w_1)) = \tau(\text{reverse}(w_2))$ . Therefore, for  $s \in F$ , it makes sense to define  $\text{reverse}(s)$  to be  $\tau(\text{reverse}(w))$ , where  $w$  is some word in  $(X^\pm)^*$  such that  $\tau(w) = s$ . We will construct  $A_G$  in such a way that there is a path in  $A_G$  labeled  $((s_1, s_2), w)$  if and only if  $\bar{w} = \theta(s_1 \text{reverse}(s_2))$ .

$A_G$  has just one state, which is both the initial state and the terminal state. For each  $u \in X^\pm$ , there is a loop labeled  $((\tau(u), 1), u)$ ; these edges mimic the action of reading a letter and positioning the cursor to the right of the letter just read. For each  $u \in X^\pm$ , there is also a loop labeled  $((\tau(u), \tau(u^{-1})), \lambda)$ , where  $\lambda$  represents the empty word. These edges mimic the action of moving the cursor right across a  $u$  or left across  $u^{-1}$ . Note that if an edge labeled  $((\tau(u), \tau(u^{-1})), \lambda)$  is traversed when the cursor is neither to the right of a  $u^{-1}$  nor to the left of a  $u$ , then following this edge has the effect of inserting  $uu^{-1}$  at the position of the cursor, and repositioning the cursor between  $u$  and  $u^{-1}$ . Finally, for each of the three relations  $r = x^{-1}y^{-1}xy, x^{-1}z^{-1}xz, y^{-1}z^{-1}yz$  in the presentation for  $H$ , there is a loop labeled  $((\tau(r), 1), \lambda)$  and another loop labeled  $((\tau(r^{-1}), 1), \lambda)$ ; these loops mimic the action of inserting  $r$  or its inverse at the position of the cursor and repositioning the cursor to the right of the inserted letters.

**Proposition 15.** *There is a path in  $A_G$  labeled  $((s_1, s_2), w)$  if and only if  $\bar{w} = \theta(s_1 \text{reverse}(s_2))$ .*

**Proof.** For the first direction, we proceed by induction on the length of the path. When the path is empty, the conclusion is obvious. Suppose that there is a path  $p$  labeled  $((s_1, s_2), w)$ . Suppose by the inductive hypothesis that  $\bar{w} = \theta(s_1 \text{reverse}(s_2))$ . Suppose that  $e$  is an edge labeled  $((t_1, t_2), u)$ . To prove that  $\overline{wu} = \theta(s_1 t_1 \text{reverse}(s_2 t_2))$ , we consider the three kinds of loops separately.

First suppose that  $e$  is labeled  $((\tau(u), \tau(u^{-1})), \lambda)$ , where  $u$  is an element of  $X$ . Then

$$\begin{aligned} \theta(s_1 \tau(u) \text{reverse}(s_2 \tau(u^{-1}))) &= \theta(s_1 \tau(u) \tau(u^{-1}) \text{reverse}(s_2)) \\ &= \theta(s_1 \text{reverse}(s_2)) = \bar{w}. \end{aligned}$$

Next suppose that  $e$  is labeled  $((\tau(r), 1), \lambda)$ , where  $r \in (X^\pm)^*$  is a relation of  $H$  or its inverse. Then

$$\theta(s_1 \tau(r) \text{reverse}(s_2)) = \theta(s_1 \text{reverse}(s_2)) = \bar{w}.$$

Finally suppose that  $e$  is labeled  $((\tau(u), 1), u)$ , where  $u$  is an element of  $X$ . Since  $H$  is abelian,

$$\theta(s_1 \tau(u) \text{reverse}(s_2)) = \theta(s_1) \theta(\text{reverse}(s_2)) \theta(\tau(u)) = \bar{w}u.$$

(It is possible to construct nonabelian counterexamples by introducing another state, and thereby forcing the word to be read completely before applying relations, but for our purposes, the abelian counterexample suffices.) This completes the first direction of the proof.

For the converse, suppose that  $s_1$  and  $s_2$  are elements of  $F$ , that  $w$  is an element of  $(X^\pm)^*$ , and that  $\bar{w} = \theta(s_1 \text{reverse}(s_2))$ . We will construct a path labeled  $((s_1, s_2), w)$ . First, follow edges of the type  $((\tau(u), 1), u)$  where  $u$  is in  $X^\pm$  to form a path labeled  $((\tau(w), 1), w)$ . Intuitively, we have read the word  $w$ , and applied the free reductions to get a reduced word  $v$  such that  $\tau(v) = \tau(w)$ , and we have positioned the cursor the right of  $v$ . Let  $v_i$  be the freely reduced word representing  $s_i$ . Then  $v$  can be rewritten as  $v_1 \text{reverse}(v_2)$  by inserting the relations or their inverses at suitably chosen locations in  $v$  and by applying free reductions  $uu^{-1} \rightarrow \lambda$  as needed. We mimic each such rewriting step by following an appropriate sequence of edges: first follow edges of the form  $((\tau(u), \tau(u^{-1})), \lambda)$  to mimic the positioning of the cursor to the desired location; then follow an edge of the form  $((\tau(r), 1), \lambda)$  to mimic the insertion of a relation or its inverse at the current location. (Mimicking free reduction to the left and right of the current location happens automatically since the first coordinate of an edge label is an element of  $F \times F$ .) The path we have constructed is labeled  $((s_1, s_2), w)$ .

Thus, we have proved the proposition.  $\square$

The fact that  $A_G$  accepts  $W(H)$  follows immediately. Note that  $A_G$  satisfies the inverse property.

## 6. Open problem

We have assumed that our automaton satisfies the inverse property, but we have not been able to establish that this hypothesis is necessary. Does there exist a finitely presented group  $H = \langle X | R \rangle$  and a deterministic  $G$ -automaton  $A_G$  accepting  $W(H)$  such that  $H$  does *not* have a finite index subgroup  $K$  that can be embedded in  $G$ ?

## Acknowledgements

We would like to thank Bob Gilman for suggesting this problem to us and for helpful conversations along the way.

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