## Using Groebner Bases to Determine the Algebraic and Transcendental Nature of Field Extensions: return of the killer tag variables

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**ABSTRACT:** Suppose I is a prime ideal in  $k[X_1, \cdots, X_n]$  with a given finite generating set and  $k(q_1, ..., q_m)$  is a finitely generated subfield of the field of fractions Z of  $k[X_1, \cdots, X_n]/I$  and c is an element of Z. We present Groebner basis techniques to determine:

\* if c is transcendental over  $k(q_1,...,q_m)$ ,

\* a minimal polynomial for c if c is algebraic over  $k(q_1,...,q_m)$ .

\* the algebraic or transcendental nature of Z over  $k(q_1,...,q_m)$ .

The information about c also tells whether c lies in  $k(q_1,...,q_m)$ , solving the subfield membership problem. Determination of the algebraic or transcendental nature of Z over  $k(q_1,...,q_m)$ includes finding the index in case of algebraicity or transcendence degree in case the extension is transcendental. The determination of the nature of Z over  $k(q_1,...,q_m)$  is not simply an iterative application of the results for c and only requires computing one Groebner basis.

**INTRODUCTION:** Certain questions about fields reduce to questions about finitely generated commutative algebras. For example, suppose L is a field containing an element c and a subfield K. One may wish to determine if c is algebraic or transcendental over K and if c is algebraic over K, one may wish to determine a minimal polynomial for c over K. Frequently, in computational algebra, L is the field of rational functions in several variables  $X_1,...,X_n$ , c is given as a rational function and the subfield K is generated - as a field - by a given finite set S of rational functions. In this case, there is a common denominator D, where c and S lie in the finitely generated algebra A which is generated by the  $X_i$ 's and 1/D. The issue of c being transcendental over K reduces to c being transcendental over B, where B is the subalgebra of A which is (algebra) generated by S. In section 2 we describe several processes for dealing with this and related situations. Groebner bases are the underlying engine which make both processes run, constructively. *Process one* determines the algebraic or transcendental nature of c over B. The variant - process two - determines the algebraic or transcendental nature of A over B in one shot, rather than using process one repeatedly. Both processes pertain to finitely generated commutative algebras. After the processes are presented, the proofs that the processes perform as promised and application of the processes appear in sections 3 and 4.

Supported in part by NSF & ARO through ACSyAM/MSI at Cornell University, #DAAL03-91-C-0027.

The final application deals with a more general situation than simply subfields of rational function fields. It solves the following problems. Suppose:

I is a prime ideal in  $k[X_1, \cdots, X_n]$  with a given finite generating set  $k(q_1, ..., q_m)$  is a finitely generated subfield of Z the field of fractions of

 $k[X_1, \dots, X_n]/I$ c is an element of Z

Process one may be applied to determine:

- \* if c is transcendental over  $k(q_1,...,q_m)$ ,
- \* a minimal polynomial for c if c is algebraic over  $k(q_1,...,q_m)$ . This also determines if  $c \in k(q_1,...,q_m)$ .

**Process two** may be applied to determine the algebraic or transcendental nature of Z over  $k(q_1,...,q_m)$ . This includes the index in case of algebraicity or transcendence degree in case the extension is transcendental.

**CREDITS:** In part, this paper deals with transcendence degree. See [Kredel88], [Ollivier89] and [Audoly91] for related work. The source from which this paper springs is [Shannon87]. Dave Shannon is spiritually a joint author of this paper. The subtitle: "Return of the Killer Tag Variables" comes from the fact that [Shannon87], like [Shannon88] and the apocryphal [Spear77], uses tag variables to *knock off* the problem.

## 2 PROCESSES FOR ALGEBRAS

- **2.1 THE SETTING:** 1. A is a finitely generated commutative algebra over a ground field k and  $\{a_0,...,a_n\}$  is a generating set for A over k.  $k[X_0,...,X_n]$  is the polynomial ring in the n variables  $\{X_i\}$  and  $\gamma$  is used to denote the k-algebra map  $\gamma \cdot k[X_0,...,X_n] \longrightarrow A$ ,  $f(X_0,...,X_n) \longrightarrow f(a_0,...,a_n)$ .
- 2.  $H_{\gamma}$  is an explicitly given finite subset of  $k[X_0,...,X_n]$  which generates the ideal Ker  $\gamma$ .
- 3. B is a subalgebra of A and B is generated by  $\{b_1,...,b_m\}$ .
- 4. c is an element in A.
- 5. The  $b_i$ 's and c are explicitly given as polynomials in the  $a_i$ 's. I.e. we are given polynomials  $B_i(X_0,...,X_n)$  and  $C(X_0,...,X_n)$  where  $\gamma(B_i) = B_i(a_0,...,a_n) = b_i$  and  $\gamma(C) = C(a_0,...,a_n) = c$ .
- **2.2** FIRST CONSTRUCTION: Form the polynomial ring  $k[X_0,...,X_n,S,T_1,...,T_m]$ . Using any term order where each  $X_i$  is greater than any monomial in  $k[S,T_1,...,T_m]$  and S is greater than any monomial in  $k[T_1,...,T_m]$ , construct a Groebner basis G for the ideal generated by:

2.3 
$$H_{\gamma} \cup \{ S - C \} \cup \{ T_i - B_i \}_i$$

Within G let  $G_T = G \cap k[T_1,...,T_m]$  and let  $G_S = \{h \in G \cap k[S,T_1,...,T_m] : \text{the lead term of h is not divisible by the lead term of any element of } G_T \}$ .

- **2.4 FIRST CONCLUSIONS:** a. If  $h \in G_S$ , then  $h \in k[S,T_1,...,T_m]$  and we consider h to be a polynomial of  $S,T_1,...,T_m$ ; i.e.  $h = h(S,T_1,...,T_m)$ .  $h(S,b_1,...,b_m)$  viewed as a polynomial p(S) in B[S] has the property: p(S) is a non-zero polynomial where p(c) = 0. Moreover degree p(S).
- **b.** If there exists a non-zero polynomial p(S) in B[S] with p(c) = 0 then there is a polynomial h in  $G_S$  where degree p(S).
- c. c is integral over B if and only if G contains an element whose lead term is a pure power of S. In this case, the minimal e where G contains an element with lead term  $S^e$  is the same as the minimal degree of integral polynomial satisfied by c over B.
- **d.** Suppose A is an integral domain. Let (B) denote the field of fractions of B. c is algebraic over (B) if and only if  $G_S$  is non-empty. In this case, let g be a polynomial in  $G_S$  of minimal S-degree. Then  $p(S) = g(S,b_1,...,b_m)$  is a minimal degree polynomial in B[S] for c over (B).

Although p(S) is not monic in general, part (d) shows that the degree of the field extension is  $degree_S g$ .

**2.5 SECOND CONSTRUCTION:** Form the polynomial ring  $k[X_0,...,X_n,T_1,...,T_m]$ . Using any term order where each  $X_i$  is greater than any monomial in  $k[X_{i+1},...,X_n,T_1,...,T_m]$ , construct a Groebner basis G for the ideal generated by:

2.6 
$$H_{\gamma} \cup \{ T_i - B_i \}_i$$

Within G let  $G_T = G \cap k[T_1,...,T_m]$  and let  $G_i = \{h \in G \cap k[X_i,...,X_n,T_1,...,T_m] : the lead term of h is not divisible by the lead term of any element of <math>G \cap k[X_{i+1},...,X_n,T_1,...,T_m] \}$ . For i = 0,...,n if  $G_i$  is not empty define  $E_i$  as the minimal positive integer such that there is  $h_i$  in  $G_i$  with degree  $X_i$   $h_i = E_i$ .

**2.7 SECOND CONCLUSIONS:** Suppose A is an integral domain. Let (A) and (B) denote the respective fields of fractions of A and B.

<sup>1</sup> If G is a reduced Groebner basis then  $G_S$  has a simpler description as  $(G \cap k[S,T_1,...T_m])$  -  $G_T$ . The somewhat more complicated description eliminates the need for a reduced Groebner basis.

 $<sup>2\ \</sup>text{"k}[X_i,...,X_n,T_1,...,T_m]\text{" means "k}[T_1,...,T_m]\text{" for } j\geq n.$ 

<sup>3</sup> If G is a reduced Groebner basis then,  $G_i$  has a simpler description as:  $(G \cap k[X_i,...,X_n,T_1,...,T_m]) - k[X_{i+1},...,X_n,T_1,...,T_m]$ . The somewhat more complicated description eliminates the need for a reduced Groebner basis.

- a. If all the  $G_i$ 's are non-empty then (A) is algebraic over (B) and the index of the extension is  $\Pi_i \, E_i$ .
- b. If one of the  $G_i$ 's is empty then (A) is transcendental over (B) and the transcendence degree of the extension is the number of empty  $G_i$ 's.
- 3 VERIFICATION: We continue and extend the notation already developed.  $\Gamma$  is used to denote the algebra map  $k[X_0,...,X_n,S,T_1,...,T_m] \dashrightarrow A$ ,  $f(X_0,...,X_n,S,T_1,...,T_m) \dashrightarrow f(a_0,...,a_n,c,b_1,...,b_m)$ .  $\Gamma$  extends the previous  $\gamma$ . Let  $H_{\Gamma}$  denote the set  $H_{\gamma} \cup \{ S C \} \cup \{ T_i B_i \}_i$  in (2.3). Recall that Ker  $\gamma$  is generated by  $H_{\gamma}$
- **3.1 LEMMA:** Ker  $\Gamma$  is generated by  $H_{\Gamma}$ .

**PROOF:** Since  $c = C(a_0,...,a_n)$  and  $b_i = B_i(a_0,...,a_n)$  it follows that  $H_{\Gamma}$  lies in Ker  $\Gamma$ . Now to show that  $H_{\Gamma}$  actually generates Ker  $\Gamma$ . Put any term order on  $k[X_0,...,X_n]$  and with respect to this term order let  $G_{\gamma}$  be a Groebner basis for the ideal generated by  $H_{\gamma}$ . Since  $H_{\gamma}$  and  $G_{\gamma}$  generate the same ideal, it suffices to prove that Ker  $\Gamma$  is generated by  $G_{\Gamma} = G_{\gamma} \cup \{S - C\} \cup \{T_i - B_i\}_i$ .

Extend the term order of the previous paragraph to any term order on  $k[X_0,...,X_n,S,T_1,...,T_m]$  which has the property that S and all  $T_i$ 's are greater than any monomial in  $k[X_0,...,X_n]$ . We show that  $G_\Gamma$  not only generates  $\ker\Gamma$  but is a Groebner basis for  $\ker\Gamma$ . We do this by showing that any element of  $\ker\Gamma$  reduces to zero over  $G_\Gamma$ . Since S is the lead term of S - C and  $T_i$  is the lead term of  $T_i$  -  $B_i$ , any polynomial in  $k[X_0,...,X_n,S,T_1,...,T_m]$  reduces over  $\{S-C\}\cup\{T_i-B_i\}_i$  to a polynomial in  $k[X_0,...,X_n]$ . Since  $\Gamma$  extends  $\gamma$ ,  $\ker\Gamma\cap k[X_0,...,X_n]=\ker\gamma$ . Hence, reducing any element of  $\ker\Gamma$  over  $\{S-C\}\cup\{T_i-B_i\}_i$  to an element of  $k[X_0,...,X_n]$ , yields an element of  $\ker\gamma$ . This further reduces to zero over  $G_\gamma$  since  $G_\gamma$  is a Groebner basis for  $\ker\gamma$ . QED

3.2 PROOF of FIRST CONCLUSIONS (2.4): a. Say  $h \in G_S$  and form  $p(S) = h(S,b_1,...,b_m)$  as in (2.4,a). Then  $p(c) = h(c,b_1,...,b_m) = \Gamma(h)$  and this is zero because h lies in Ker  $\Gamma$ . To see that degree  $_S$  h = degree  $_S$  p(S), consider h as a polynomial in  $k[T_1,...,T_m][S]$ . Write h as:

$$h_0(T_1,...,T_m)S^e + h_1(T_1,...,T_m)S^{e-1} + ... + h_e(T_1,...,T_m)$$

where  $h_0(T_1,...,T_m)$  is non-zero. The term order in (2.2) has S larger than any monomial in  $k[T_1,...,T_m]$ . Hence the lead term of h is the lead term of  $h_0(T_1,...,T_m)S^e$  and this is precisely the lead term of  $h_0$  multiplied by  $S^e$ . Because h lies in  $G_S$ , this lead term is not divisible by any element of  $G_T$ . Hence the lead term of  $h_0$  is not divisible by any element of  $G_T$  and  $h_0$  does not reduce to zero over  $G_T$ .

The choice of term order insures that  $G \cap k[T_1,...,T_m] = G_T$  generates  $\text{Ker } \Gamma \cap k[T_1,...,T_m]$ . Hence the fact that  $h_0$  does not reduce to zero over  $G_T$  insures that  $\Gamma[h_0] = h_0(b_1,...,b_m)$  is non-zero. Thus  $p(S) = \frac{1}{2} \int_{-\infty}^{\infty} f(s) \, ds$ 

$$h_0(b_1,...,b_m)S^e + h_1(b_1,...,b_m)S^{e-1} + ... + h_e(b_1,...,b_m)$$

is a polynomial of S-degree e in B[S]. This also shows that p(S) is non-zero.

b. Say p(S) is a non-zero polynomial in B[S] with p(c)=0. Write p as  $\beta_0 S^e + \beta_1 S^{e-1} + ... + \beta_e$  with  $\beta_j$ 's in B and  $\beta_0$  not equal to zero. Since the  $b_i$ 's generate B as an algebra, there are polynomials  $h_j(T_1,...,T_m)$  where each  $\beta_j = h_j(b_1,...,b_m) = \Gamma(h_j)$ . Let us assume that  $h_0(T_1,...,T_m)$  has been chosen with minimal lead monomial among those elements which  $\Gamma$  maps to  $\beta_0$ . Define h in  $k[S,T_1,...,T_m]$  by:

$$h = h_0(T_1,...,T_m)S^e + h_1(T_1,...,T_m)S^{e-1} + ... + h_e(T_1,...,T_m)$$

Then  $\Gamma(h) = h_0(b_1,...,b_m)c^e + h_1(b_1,...,b_m)c^{e-1} + ... + h_e(b_1,...,b_m) = \beta_0c^e + \beta_1c^{e-1} + ... + \beta_e = p(c) = 0$ . Hence h lies in Ker  $\Gamma$  and so must reduce to zero over the Groebner basis G in (2.2). As in the proof of part a, the lead term of h is the lead term of  $h_0(T_1,...,T_m)$  times  $S^e$ . This lead term must be divisible by (the lead term of) an element g of G since h reduces to zero over G.

We shall show that g lies in  $G_S$ . The fact that g divides the lead term of h implies that,  $\operatorname{degree}_S g \le = \operatorname{degree}_S h = e = \operatorname{degree}_S p$ . Thus g has the claimed "degree\_S" property. The way we show that g lies in  $G_S$  is to show that h lies in the set N defined by:

{  $d \in k[S,T_1,...,T_m]$  : the lead term of d is not divisible by the lead term of any element of  $G_T$  }

If h lies in N and the lead term of g divides the lead term of h, then g lies N by transitivity of divisibility. This shows that g lies in  $G_S$  since  $G_S = N \cap G$ .

Can the lead term of h be divisible by the lead term of an element of  $G_T$ ? Suppose so. Suppose there is f in  $G_T$  whose lead term divides the lead term of h. Since f lies in  $k[T_1,...,T_m]$ , it follows that the lead term of f divides the lead term of  $h_0(T_1,...,T_m)$ . Thus  $h_0(T_1,...,T_m)$  reduces over  $\{f\}$  to an element  $e_0(T_1,...,T_m)$  with smaller lead term. Since f lies in G which lies in  $Ker\ \Gamma$ ,  $\Gamma(e_0(T_1,...,T_m)) = \Gamma(h_0(T_1,...,T_m))$  which contradicts the minimality property of  $h_0$ . Hence h lies in N and g lies in  $G_S$ .

c. Suppose c is integral over B. Let p(S) in part (b) be an integral polynomial. Thus  $\beta_0 = 1$  in the proof of part (b) above. Follow that proof using 1 for  $h_0(T_1,...,T_m)$ 

when "pulling back"  $\beta_0$ . It then follows that the g, in the proof, has a lead term which is a pure power of S. And as above: degree  $g \in e = degree$  p.

Conversely, say g is an element of G whose lead term is a pure power of S. Since the  $X_i$ 's are greater than all monomials in  $k[S,T_1,...,T_m]$  in the term order (2.2), g must lie in  $k[S,T_1,...,T_m]$  and hence in  $G_S$ . As in the proof of part (a),  $g(S,b_1,...,b_m)$  gives a polynomial in B[S] satisfied by v. Moreover,  $degree_S g = e = degree_S g(S,b_1,...,b_m)$ . Finally, note that  $g(S,b_1,...,b_m)$  is a monic polynomial in B[S].

- d. If h lies in  $G_S$  then part (a) shows that  $p(S) = h(S,b_1,...,b_m)$  gives a polynomial satisfied by c over B and hence (B). Moreover part (a) gives: degree  $_S$  h = degree  $_S$  p(S). Conversely, assume c is algebraic over (B), let q(S) be a minimal degree polynomial for c over (B). Since the coefficients of q lie in (B) there is a common denominator b in B where bq has coefficients in B. I.e. p = bq is a polynomial in B[S], of same S-degree as q, satisfied by c. By part (b),  $G_S$  contains an element of this S-degree or less.
- **3.3 PROOF of SECOND CONCLUSIONS (2.7):** The trick here is to view the information produced by the second construction (2.5) from n + 1 points of view and each time apply the first conclusion. To be more precise, for i = 0,...,n.
- **VIEW i:**  $c_i = a_i$ ,  $B_i = k[a_{i+1},...,a_n,b_1,...,b_m]$ . In this view  $X_i$  plays the role of S in the first process;  $X_{i+1},...,X_n,T_1,...,T_m$  plays the role of  $T_1,...,T_m$  in the first process and  $G_i$  plays the role of  $G_S$  in the first process.

The tower of algebras  $B_0 \supset B_1 \supset ... \supset B_n \supset B$  gives the tower of fields  $(B_0) \supset (B_1) \supset ... \supset (B_n) \supset (B)$ . The rest is a straightforward n+1 fold application of (2.4) and standard results about algebraicity and transcendentality of towers of fields. **QED** 

## **4 FIELD APPLICATIONS:**

**4.1 APPLICATION TO FIELDS OF RATIONAL FUNCTIONS AND FINITE-LY GENERATED SUBFIELDS:** The first application is to finitely generated subfields of the field of rational functions:  $\underline{k}(Y_1,...,Y_n)$ . Suppose  $\underline{k}(q_1,...,q_m)$  is a finitely generated subfield of  $\underline{k}(Y_1,...,Y_n)$ , where  $\underline{q}_i \in \underline{k}(Y_1,...,Y_n)$ . Furthermore, let c be an element of  $\underline{k}(Y_1,...,Y_n)$ . Find a common denominator  $\underline{d} \in \underline{k}[Y_1,...,Y_n]$  where  $\underline{d}\underline{q}_i \in \underline{k}[Y_1,...,Y_n]$ ,  $\underline{d}\underline{c} \in \underline{k}[Y_1,...,Y_n]$  and let  $\underline{p}_i$  denote  $\underline{d}\underline{q}_i$  and let  $\underline{C}$  denote  $\underline{d}\underline{c}$ . Let  $\underline{A}$  be the subalgebra of  $\underline{k}(Y_1,...,Y_n)$  generated by  $\underline{f}[1,d,Y_1,...,Y_n]$  and let  $\underline{B}$  be the subalgebra of  $\underline{A}$  generated by  $\underline{f}[1,d,x_1,...,x_n]$ . Note that  $\underline{c}=C/d$  also lies in  $\underline{A}$ . Consider the map  $\underline{f}[1,d,x_1,...,x_n]$  -->  $\underline{A}$ ,  $\underline{f}(X_0,X_1,...,X_n)$  -->  $\underline{f}(1/d,Y_1,...,Y_n)$ . It is well known and easy to verify that  $\underline{K}\underline{e}\underline{r}$  is generated by  $\underline{X}_0,\underline{d}(X_1,...,X_n)$  -1. (Originally  $\underline{d}$  is a polynomial in  $\underline{k}[Y_1,...,Y_n]$ 

and we are substituting X's for the Y's.) Hence, let  $H_{\gamma} = \{X_0 - d(X_1,...,X_n)\}$ . Finally note that  $(A) = k(Y_1,...,Y_n)$  and  $(B) = k(q_1,...,q_m)$ .

Process one may be applied to determine:

\* if c is transcendental over  $k(q_1,...,q_m)$ ,

\* a minimal polynomial for c if c is algebraic over  $k(q_1,...,q_m)$ . This also determines if  $c \in k(q_1,...,q_m)$ .

**Process two** may be applied to determine the algebraic or transcendental nature of  $k(Y_1,...,Y_n)$  over  $k(q_1,...,q_m)$ . This includes the index in case of algebraicity or transcendence degree in case the extension is transcendental.

**4.2 COMMENTS:** If one just wants to learn the nature of the field extension  $k(Y_1,...,Y_n)$  over  $k(q_1,...,q_m)$  then there is no element c and d is just the common denominator for  $q_1,...,q_m$ . Also, if d=1, i.e. the  $q_i$ 's and c - if there is a c - are all polynomials, then drop d and  $X_0$ . I.e. let A be the subalgebra  $k[Y_1,...,Y_n]$  of  $k(Y_1,...,Y_n)$  and let B be the subalgebra of A generated by  $\{q_1,...,q_m\}$ . c - if there is a c - lies in A. The map  $\gamma_i k[X_1,...,X_n]$  --> A is determined by:

$$f(X_1,...,X_n) --> f(Y_1,...,Y_n)$$

and  $\,Ker\,\gamma \!=\! \left\{ \;0\; \right\}$  . Let  $\,H_{\gamma}^{}\,$  be the empty set.

**4.3 LEMMA:** Suppose A is a subalgebra of a larger algebra and d is an element of A which is invertible in the larger algebra. Let A[1/d] denote the subalgebra of the larger algebra which is generated by A and 1/d. Let  $\mu:A[X] \dashrightarrow A[1/d]$  be the algebra map which sends  $f(X) \dashrightarrow f(1/d)$ .  $\mu$  maps A[X] onto A[1/d] and has kernel generated by dX - 1.

**PROOF:** Clearly < dX - 1 > lies in the kernel of  $\mu$ . The opposite inclusion is verified by a little computation. Let  $f(X) = a_0 X^e + a_1 X^{e-1} + \dots + a_e$  be a polynomial which lies in  $Ker \mu$ . If e = 0, i.e. f has degree zero, then f must be the zero polynomial which lies in < dX - 1 >. Hence we may assume that  $e \ge 1$ . Rewrite f(X) as:

$$(a_0 + a_1 d^1 + \dots + a_e d^e) X^e - (a_1 d^1 + \dots + a_e d^e) X^e + a_1 X^{e-1} + \dots + a_e d^e$$

$$0 = f(1/d) \text{ gives } 0 = d^e f(1/d) \text{ which gives: } 0 = a_0 + a_1 d^1 + \dots + a_e d^e .$$

Thus the first expression in the rewritten f(X) vanishes, leaving f(X) as:

- 
$$(a_1d^1 + \dots + a_ed^e)X^e + a_1X^{e-1} + \dots + a_e$$

Again, regroup and rewrite, giving f(X) as:

$$a_{1} (1 - dX) X^{e-1} + a_{2} (1 - (dX)^{2}) X^{e-2} + \dots + a_{e} (1 - (dX)^{e})$$

Each  $1 - (dX)^{i}$  equals  $1 + dX + \dots + (dX)^{i-1}$  times 1 - dX. Hence, f(X) lies in < dX - 1 >.

4.4 COROLLARY: Suppose Z is an algebra and the algebra map:

$$\mu: k[X_1, \cdots, X_n] \longrightarrow Z, \ f(X_1, \cdots, X_n) \longrightarrow f(z_1, \cdots, z_n)$$

has kernel generated by the finite set  $H_{\mu}$ . Let  $d_1, \cdots, d_m$  be elements in the image of  $\mu$  which are invertible in Z. Choose polynomials  $D_1, \cdots, D_m$  in  $k[X_1, \cdots, X_n]$  where  $\mu(D_i) = d_i$  and consider the algebra map:

$$\begin{split} \gamma : & k[W_1, \cdots, W_m, X_1, \cdots, X_n] \dashrightarrow Z \\ & f(W_1, \cdots, W_m, X_1, \cdots, X_n) \dashrightarrow f(1/d_1, \cdots, 1/d_m, z_1, \cdots, z_n) \end{split}$$

Then Ker \( \gamma \) is generated by:

$$H_{ii} \cup \{D_1W_1 - 1, \cdots, D_mW_m - 1\}$$

PROOF: The map  $\gamma$  factors into the two maps:

$$\begin{split} k[W_1, \cdots, W_m, & X_1, \cdots, X_n] & --> Z[W_1, \cdots, W_m] & --> Z\\ f(W_1, \cdots, W_m, & X_1, \cdots, X_n) & --> f(W_1, \cdots, W_m, & z_1, \cdots, z_n) \\ & --> f(1/d_1, \cdots, 1/d_m, & z_1, \cdots, z_n) \end{split}$$

The kernel of the first map is Ker  $\mu$  extended to  $k[W_1, \cdots, W_m, X_1, \cdots, X_n]$ . Hence it is generated by  $H_{\mu}$ . The first map carries  $\{D_1W_1 - 1, \cdots, D_mW_m - 1\}$  to  $\{d_1W_1 - 1, \cdots, d_mW_m - 1\}$  which, by the preceding lemma iterated, generates the kernel of the second map. Hence the kernel of the composite - and the composite equals  $\gamma$  - is generated by the given set. QED

**4.5 APPLICATION TO FINITELY GENERATED FIELDS OVER FINITELY GENERATED SUBFIELDS:** Suppose I is a prime ideal in  $k[X_1, \cdots, X_n]$  with finite generating set  $H_I$ . Let P denote  $k[X_1, \cdots, X_n]/I$  and let:

$$\mu:k[X_1, \dots, X_n] --> P$$

be the natural algebra map. Z denotes the field of fractions of P. If  $z_i = \mu(X_i)$ , then  $\mu$  may alternatively be described by:

$$f(X_1, \dots, X_n) \longrightarrow f(z_1, \dots, z_n)$$

Suppose we are given a finitely generated subfield  $k(q_1,\cdots,q_m)$  of Z and (possibly) an element  $c\in Z$ . Each  $q_i$  and c can be expressed as a quotient  $q_i=p_i/d_i$ , and  $c=p_0/d_0$  with  $p_i$  and  $d_i$  in P. Let A be the subalgebra of Z generated by P and  $\{1/d_i\}$ . Note that Z=(A). Select  $D_i$  in  $k[X_1,\cdots,X_n]$  where  $\mu(D_i)=d_i$ . By the preceding corollary:

$$\mathbf{H}_{\mathbf{I}} \cup \{ \mathbf{D}_{\mathbf{0}} \mathbf{W}_{\mathbf{0}} - 1, \cdots, \mathbf{D}_{\mathbf{m}} \mathbf{W}_{\mathbf{m}} - 1 \}$$

generates the kernel of the algebra map:

$$\gamma k[W_0, \cdots, W_m, X_1, \cdots, X_n] \longrightarrow A$$

$$f(W_0, \dots, W_m, X_1, \dots, X_n) \longrightarrow f(d_0, \dots, d_m, z_1, \dots, z_n)$$

Let  $H_{\gamma}$  denote  $H_{I} \cup \{D_{0}W_{0} - 1, \cdots, D_{m}W_{m} - 1\}$  and let B be the subalgebra of A generated by  $\{p_{i}/d_{i}\}$  so that  $k(q_{1}, \cdots, q_{m}) = (B)$ . Modulo the renaming  $X_{0} \cdots , X_{n}$  to  $W_{0}, \cdots , W_{m}, X_{1}, \cdots , X_{n}$ , the techniques of section 2 now apply. **Process one** may be applied to determine:

- \* if c is transcendental over  $k(q_1,...,q_m)$ ,
- \* a minimal polynomial for c if c is algebraic over  $k(q_1,...,q_m)$ . This also determines if  $c \in k(q_1,...,q_m)$ .

**Process two** may be applied to determine the algebraic or transcendental nature of Z over  $k(q_1,...,q_m)$ . This includes the index in case of algebraicity or transcendence degree in case the extension is transcendental.

**4.6 COMMENTS:** If one just wants to learn the nature of the field extension Z over  $k(q_1,...,q_m)$  then there is no element c and this permits one to drop  $W_0$ . If the  $q_i$ 's and c if there is one - actually lie in P, then none of the  $W_i$ 's are necessary and A = P. In (4.1) we found a common denominator and in (4.5) we threw in each denominator separately. Throwing in each denominator separately adds more variables:  $W_0, \dots, W_m$ . Finding a common denominator increases the degree of D. One could even do mixed cases, throwing in several partial common denominators. We do not know the best strategy to follow.

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