

Separability by piecewise testable languages and downward closures beyond subwords

Georg Zetsche

IRIF (Université Paris-Diderot & CNRS)

France

zetsche@irif.fr

Abstract

We introduce a flexible class of well-quasi-orderings (WQOs) on words that generalizes the ordering of (not necessarily contiguous) subwords. Each such WQO induces a class of piecewise testable languages (PTLs) as Boolean combinations of upward closed sets. In this way, a range of regular language classes arises as PTLs. Moreover, each of the WQOs guarantees regularity of all downward closed sets. We consider two problems. First, we study which (perhaps non-regular) language classes allow to decide whether two given languages are separable by a PTL with respect to a given WQO. Second, we want to effectively compute downward closures with respect to these WQOs. Our first main result is that for each of the WQOs, under mild assumptions, both problems reduce to the simultaneous unboundedness problem (SUP) and are thus solvable for many powerful system models. In the second main result, we apply the framework to show decidability of separability of regular languages by $\mathcal{BS}_1[<, \text{mod}]$, a fragment of first-order logic with modular predicates.

CCS Concepts • **Theory of computation** → **Formal languages and automata theory**; *Models of computation*;

Keywords separability, piecewise testable languages, downward closures, well-quasi-orderings

ACM Reference Format:

Georg Zetsche. 2018. Separability by piecewise testable languages and downward closures beyond subwords. In *LICS '18: 33rd Annual ACM/IEEE Symposium on Logic in Computer Science, July 9–12, 2018, Oxford, United Kingdom*. ACM, New York, NY, USA, 10 pages. <https://doi.org/10.1145/3209108.3209201>

1 Introduction

In the verification of infinite-state systems, it is often useful to construct finite-state abstractions. This is because finite-state systems are much more amenable to analysis. For example, if a pertinent property of our system is reflected in a finite-state abstraction, then we can work with the abstraction instead of the infinite-state system itself. Another example is that the abstraction acts as a certificate for correctness: A violation-free overapproximation of the set of behaviors of a system certifies absence of violations in the system itself. Here, we study two types of such abstractions: *downward*

closures, which are overapproximations of individual languages and *separators* as certificates of disjointness.

Downward closures A particularly appealing abstraction is the *downward closure*, the set of all (not necessarily contiguous) subwords of the members of a language. What makes this abstraction interesting is that since the subword ordering is a well-quasi-ordering (WQO), the downward closure of *any* language is regular [16, 17]. Recently, there has been progress on when the downward closure is not only regular but can also be effectively computed. It is known that downward closures are computable for *context-free languages* [7, 28], *Petri net languages* [14], and *stacked counter automata* [30]. Moreover, recently, a general sufficient condition for computability was presented in [29]. Using the latter, downward closures were then shown to be computable for *higher-order push-down automata* [15] and *higher-order recursion schemes* [6]. Hence, downward closures are computable for very powerful models.

If we want to use downward closures to prove absence of violations, then using the downward closure in this way has the disadvantage that it is not obvious how to refine it, i.e. systematically construct a more precise overapproximation in case the current one does not certify absence of violations. Therefore, we wish to find abstractions that are refinable in a flexible way and still guarantee regularity and computability.

Separability Another type of finite-state abstractions is that of separators. Since safety properties of multi-threaded programs can often be formulated as the disjointness of two languages, one approach to this task is to use regular languages to certify disjointness [2, 4, 22]. A *separator* of two languages K and L is a set S such that $K \subseteq S$ and $L \cap S = \emptyset$. Therefore, especially in cases where disjointness of languages is undecidable or hard, it would be useful to have a decision procedure for the *separability problem*: Given two languages, it asks whether they are separable by a language from a particular class of separators. In particular, if we want to apply such algorithms to infinite-state systems, it would be desirable to find large classes of separators (and systems) for which the separability problem is decidable.

It has long been known that separability of context-free languages is undecidable already for very simple classes of regular languages [18, 27] and this stifled hope that separability would be decidable for any interesting classes of infinite-state systems and classes of separators. However, the subword ordering turned out again to have excellent decidability properties: It was shown recently that for a wide range of language classes, it is decidable whether two given languages are separable by a piecewise testable language (PTL) [9]. A PTL is a finite Boolean combination of upward closures (with respect to the subword ordering) of single words. In fact, it turned out that (under mild closure assumptions)

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than the author(s) must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

LICS '18, July 9–12, 2018, Oxford, United Kingdom

© 2018 Copyright held by the owner/author(s). Publication rights licensed to Association for Computing Machinery.

ACM ISBN 978-1-4503-5583-4/18/07...\$15.00

<https://doi.org/10.1145/3209108.3209201>

separability by PTL is decidable if and only if downward closures are computable [10].

However, while this separability result applies to very expressive models of infinite-state systems, it is still limited in terms of the separators: The small class of PTL will not always suffice as disjointness certificates.

Contribution This work makes two contributions, a conceptual one and a technical one. The conceptual contribution is the introduction of a fairly flexible class of WQOs on words. These are refinable and provide generalizations of the subword ordering. These orders are parameterized by transducers, counter automata or other objects and can be chosen to reflect various properties of words. Moreover, the classes of corresponding PTLs are a surprisingly rich collection of classes of regular languages.

Furthermore, it is shown that all these orders have the same pleasant properties in terms of downward closure computation and decidability of PTL-separability as the subword ordering. Specifically, it is shown that (under mild assumptions) decidability of the abovementioned unboundedness problem again characterizes (i) those language classes for which downward closures are computable and (ii) those classes where separability by PTL is decidable.

In addition, it turns out that this framework can also be used to obtain decidable separability of regular languages by $\mathcal{BS}_1[<, \text{mod}]$, a fragment of first-order logic with modular predicates. This is technically relatively involved and generalizes the fact that definability of regular languages in $\mathcal{BS}_1[<, \text{mod}]$ is decidable [5].

2 Preliminaries

If Σ is an alphabet, Σ^* denotes the set of words over Σ . The empty word is denoted $\varepsilon \in \Sigma^*$. A *quasi-ordering* is an ordering that is reflexive and transitive. An ordering (X, \leq) is called a *well-quasi-ordering* (WQO) if for every sequence $x_1, x_2, \dots \in X$, there are indices $i < j$ with $x_i \leq x_j$. This is equivalent to requiring that every sequence $x_1, x_2, \dots \in X$ contains an infinite subsequence $x'_1, x'_2, \dots \in X$ that is *ascending*, meaning $x'_i \leq x'_j$ for $i \leq j$. For a subset $L \subseteq X$, we define $\downarrow_{\leq} L = \{x \in X \mid \exists y \in L: x \leq y\}$ and $\uparrow_{\leq} L = \{x \in X \mid \exists y \in L: y \leq x\}$. These are called the *downward closure* and *upward closure* of L , respectively. If the ordering \leq is clear from the context, we sometimes just write $\downarrow L$ or $\uparrow L$. A set $L \subseteq X$ is called *downward closed* (resp. *upward closed*) if $\downarrow_{\leq} L = L$ (resp. $\uparrow_{\leq} L = L$). A (defining) property of well-quasi-orderings is that for every non-empty upward-closed set U , there are finitely many elements $x_1, \dots, x_n \in U$ such that $U = \uparrow_{\leq} \{x_1, \dots, x_n\}$. See [20] for an introduction. An ordering (Σ^*, \leq) on words is called *multiplicative* if $u_1 \leq v_1$ and $u_2 \leq v_2$ implies $u_1 u_2 \leq v_1 v_2$. For $u, v \in \Sigma^*$, we write $u \preceq v$ if $u = u_1 \dots u_n$ and $v = v_0 u_1 v_1 \dots u_n v_n$ for some $u_1, \dots, u_n, v_0, \dots, v_n \in \Sigma^*$. This ordering is called the *subword ordering* and it is well-known to be a WQO [17].

A well-studied class of regular languages is that of the piecewise testable languages. Classically, a language $L \subseteq \Sigma^*$ is a *piecewise testable language* (PTL) [25] if it is a finite Boolean combination of sets of the form $\uparrow_{\preceq} w$ for $w \in \Sigma^*$. However, this notion makes sense for any WQO (X, \leq) [13] and we call a set $L \subseteq X$ *piecewise testable* if it is a finite Boolean combination of sets $\uparrow_{\leq} x$ for $x \in X$.

3 Parameterized WQOs and examples

In this section, we introduce the parameterized WQOs on words, state the main results of this work, and present some applications.

We define the class of parameterized WQOs inductively using rules (Rules 1 to 3). The simplest example is Higman's subword ordering.

Rule 1. For each Σ , (Σ^*, \preceq) is a parameterized WQO.

Orderings defined by transducers To make things more interesting, we have a type of WQOs that are defined by functions. Suppose X and Y are sets and we have a function $f: X \rightarrow Y$. A general way of constructing a WQO on X is to take a WQO (Y, \leq) and set $x \leq_f x'$ if and only if $f(x) \leq f(x')$. It is immediate from the definition that then \leq_f is a WQO on X . We apply this idea to transducers.

A *finite-state transducer* over Σ and Γ is a tuple $\mathcal{T} = (Q, \Sigma, \Gamma, E, I, F)$, where Q is a finite set of states, $E \subseteq Q \times (\Sigma \cup \{\varepsilon\}) \times (\Gamma \cup \{\varepsilon\}) \times Q$ is its set of *edges*, $I \subseteq Q$ is the set of *initial states*, and $F \subseteq Q$ is the set of *final states*. Transducers accept sets of pairs of words. A *run* of \mathcal{T} is a sequence $(q_0, u_1, v_1, q_1)(q_1, u_2, v_2, q_2) \dots (q_{n-1}, u_n, v_n, q_n)$ of edges such that $q_0 \in I$, $q_n \in F$. The pair *read by the run* is $(u_1 \dots u_n, v_1 \dots v_n)$. Then, \mathcal{T} *realizes* the relation

$$T(\mathcal{T}) = \{(u, v) \in \Sigma^* \times \Gamma^* \mid (u, v) \text{ is read by a run of } \mathcal{T}\}.$$

Relations of this form are called *rational transductions*. A transduction is *functional* if for every $u \in \Sigma^*$, there is *exactly one* $v \in \Gamma^*$ with $(u, v) \in T(\mathcal{T})$. In other words, $T(\mathcal{T})$ is a function $T(\mathcal{T}): \Sigma^* \rightarrow \Gamma^*$ and we can use it to define a WQO.

Rule 2. Let $f: \Sigma^* \rightarrow \Gamma^*$ be a functional rational transduction. If (Γ^*, \leq) is a parameterized WQO, then so is (Σ^*, \leq_f) .

Conjunctions Another way to build a WQO on a set is to combine two existing WQOs. Suppose (X, \leq_1) and (X, \leq_2) are WQOs. Their *conjunction* is the ordering (X, \leq) with $x \leq x'$ if and only if $x \leq_1 x'$ and $x \leq_2 x'$. Then (X, \leq) is a WQO via the characterization using ascending subsequences.

Rule 3. If (Σ^*, \leq_1) and (Σ^*, \leq_2) are parameterized WQOs, then so is their conjunction (Σ^*, \leq) .

Using the three building blocks in Rules 1 to 3, we can construct a wealth of WQOs on words. Let us mention a few examples, including the accompanying classes of PTL.

Labeling transductions Our first class of examples concerns orderings whose PTLs are fragments of first-order logic with additional predicates. A *labeling transduction* is a functional transduction $f: \Sigma^* \rightarrow (\Sigma \times \Lambda)^*$ for some alphabet Λ labels such that for each $w = a_1 \dots a_n \in \Sigma^*$, $a_1, \dots, a_n \in \Sigma$, we have $f(w) = (a_1, \ell_1) \dots (a_n, \ell_n)$ for some $\ell_1, \dots, \ell_n \in \Lambda$.

In this case, we can interpret \preceq_f -PTLs logically. To each word $w = a_1 \dots a_n$, $a_1, \dots, a_n \in \Sigma$, we associate a finite relational structure $\mathfrak{M}_{f,w}$ as follows. Its domain is $D = \{1, \dots, n\}$ and as predicates, it has the binary $<$, unary letter predicates P_a for $a \in \Sigma$, and for each $\ell \in \Lambda$, we have a unary predicate π_ℓ . While the predicates $<$ and P_a are interpreted as expected, we have to explain π_ℓ . If $f(w) = (a_1, \ell_1) \dots (a_n, \ell_n)$, then $\pi_\ell(i)$ expresses that $\ell_i = \ell$. Hence, the π_ℓ give access to the labels produced by f . We denote the \mathcal{BS}_1 -fragment (Boolean combinations of Σ_1 -formulas) as $\mathcal{BS}_1[<, f]$.

Suppose \mathfrak{M}_1 and \mathfrak{M}_2 are relational structures over the same signature. An *embedding* of \mathfrak{M}_1 in \mathfrak{M}_2 is an injective mapping from the domain of \mathfrak{M}_1 to the domain of \mathfrak{M}_2 such that each predicate holds for a tuple in \mathfrak{M}_1 if and only the predicate holds for the image of that tuple. This defines a quasi-ordering: We write $\mathfrak{M}_1 \hookrightarrow \mathfrak{M}_2$ if \mathfrak{M}_1 can be embedded into \mathfrak{M}_2 . Observe that for $u, v \in \Sigma^*$, we have $u \preceq_f v$ if and only if $\mathfrak{M}_{f,u} \hookrightarrow \mathfrak{M}_{f,v}$.

It was observed by Goubault-Larrecq and Schmitz [13] that if the embedding order is a WQO on a set of structures, then the \mathcal{BS}_1 -fragment (i.e. Boolean combinations of Σ_1 formulas) can express precisely the PTL with respect to \hookrightarrow . This implies that the languages definable in $\mathcal{BS}_1[<, f]$ are precisely the \preceq_f -PTL.

To illustrate the utility of the fragments $\mathcal{BS}_1[<, f]$, suppose we are given regular languages W_i, P_i, S_i , for $i \in [1, n]$. Suppose we have for each $i \in [1, n]$ a 0-ary predicate w_i that expresses that our whole word belongs to W_i . For each $i \in [1, n]$ we also have unary predicates pre_i and suf_i , which express that the prefix and suffix, respectively, corresponding to the current position, belongs to P_i and S_i , respectively. Then the corresponding fragment

$$\mathcal{BS}_1[<, (w_i)_{i \in [1, n]}, (\text{pre}_i)_{i \in [1, n]}, (\text{suf}_i)_{i \in [1, n]}]$$

can clearly be realized as $\mathcal{BS}_1[<, f]$.

Of course, we can capture many other predicates by labeling transducers. For example, it is easy to realize a predicate for “the distance to the closest position to the left with an a is congruent k modulo d ” (for some fixed d).

Finally, let us observe in passing that instead of enriching $\mathcal{BS}_1[<]$, we could also construct fragments that do not have access to letters. Suppose we perform the construction of $\mathcal{M}_{f, w}$ for length-preserving transductions f that only produce labels and do not reproduce the output, meaning $f: \Sigma^* \rightarrow \Lambda^*$. Then, one can choose f so that \preceq_f -PTLs correspond to a logic where, for example, we can only express whether “this position is even and carries an a ”.

Orderings defined by finite automata Our second example slightly specializes the first example. The reason we make it explicit is that we shall present explicit ideal representations that will be applied to decide separability of regular languages by $\mathcal{BS}_1[<, \text{mod}]$. The example still generalizes the subword order. While in the latter, a smaller word is obtained by deleting arbitrary infixes, these orders use an automaton to restrict the permitted deletions.

A *finite automaton* is a tuple $\mathcal{A} = (Q, \Sigma, E, I, F)$, where Q is a finite set of *states*, Σ is the *input alphabet*, $E \subseteq Q \times \Sigma \times Q$ is the set of *edges*, $I \subseteq Q$ is the set of *initial states*, and $F \subseteq Q$ is the set of *final states*. The language $L(\mathcal{A})$ is defined in the usual way. Here, we use automata as a means to assign a labeling to an input word. A labeling is defined by a run. A *run* of \mathcal{A} on $w = a_1 \cdots a_n$, $a_1, \dots, a_n \in \Sigma$, is a sequence

$$(q_0, a_1, q_1)(q_1, a_2, q_2) \cdots (q_{n-1}, a_n, q_n) \in E^*$$

with $q_0 \in I$ and $q_n \in F$. By $\text{Runs}(\mathcal{A})$, denote the set of runs of \mathcal{A} . Since we want \mathcal{A} to label every word from Σ^* , we call an automaton \mathcal{A} a *labeling automaton* if for each word $w \in \Sigma^*$, \mathcal{A} has exactly one run on w . In this case, we write $\mathcal{A}(w)$ for the run of \mathcal{A} on w . Moreover, we define $\sigma_{\mathcal{A}}(w) = (p, q)$, where p and q are the first and last state, respectively, visited during w 's run. Hence, such an automaton defines a map $\mathcal{A}: \Sigma^* \rightarrow E^*$.

Let $u \preceq_{\mathcal{A}} v$ if and only if v is obtained from u by “inserting loops of \mathcal{A} ”. In other words, v can be written as $v = u_0 v_1 u_1 \cdots v_n u_n$ with $u = u_0 \cdots u_n$ such that the run of \mathcal{A} on v occupies the same state before reading v_i and after reading v_i . Equivalently, we have $u \preceq_{\mathcal{A}} v$ if and only if $\sigma_{\mathcal{A}}(u) = \sigma_{\mathcal{A}}(v)$ and $\mathcal{A}(u) \preceq \mathcal{A}(v)$. The ordering $\preceq_{\mathcal{A}}$ is a parameterized WQO: The ordering \preceq with $u \preceq v$ if and only if $\sigma_{\mathcal{A}}(u) = \sigma_{\mathcal{A}}(v)$ is parameterized because we can use a functional transduction f that maps u to the length-1 word $\sigma_{\mathcal{A}}(u)$ in $(Q \times Q)^*$. Moreover, with a functional transduction g that

maps a word w to its run $\mathcal{A}(w)$, the ordering $\preceq_{\mathcal{A}}$ is the conjunction of \preceq_f and \preceq_g .

- If \mathcal{A} consists of just one state and a loop for every $a \in \Sigma$, then $\preceq_{\mathcal{A}}$ is the ordinary subword ordering.
- Suppose \mathcal{B} is a complete deterministic automaton accepting a regular language $L \subseteq \Sigma^*$. Then L is simultaneously upward closed and downward closed with respect to $\preceq_{\mathcal{A}}$, where \mathcal{A} is obtained from \mathcal{B} by making all states final. In particular, every regular language can occur as an upward closure and as a downward closure with respect to some $\preceq_{\mathcal{A}}$.

As for labeling transducers, we can consider logical fragments where $\preceq_{\mathcal{A}}$ is the embedding order. Again, our signature consists of $<$, P_a for $a \in \Sigma$. Furthermore, for each $q \in Q$, we have the 0-ary predicates ι_q and τ_q and unary predicates λ_q and ρ_q . Let $(q_0, a_1, q_1) \cdots (q_{n-1}, a_n, q_n)$ be the run of \mathcal{A} on w . Then $\lambda_q(i)$ is true iff $q_{i-1} = q$. Moreover, $\rho_q(i)$ holds iff $q_i = q$. Hence, λ_q and ρ_q give access to the state occupied by \mathcal{A} to the left and to the right of each position, respectively. Accordingly, ι_q and τ_q concern the first and the last state: ι_q is satisfied iff $q_0 = q$ and τ_q is true iff $q_n = q$.

As an example, let \mathcal{M}_d be the automaton that consists of a single cycle of length d so that on each input letter, \mathcal{M}_d moves one step forward in the cycle. This is equivalent to having a predicate for each $k \in [1, d]$ that express that the current position is congruent k modulo d . Moreover, we have a predicate for each $k \in [1, d]$ to express that the length of the word is k modulo d . This is sometimes denoted $\mathcal{BS}_1[<, \text{mod}_d]$. If these predicates are available for every d , the resulting class is denoted $\mathcal{BS}_1[<, \text{mod}]$ [5] and will be the subject of Theorem 4.5.

Regularity and multiplicative well-partial-orderings Ehrenfeucht et al. [11] have characterized the regular languages as those sets of words that are upward closed with respect to some multiplicative WQO. To show necessity, they provide the syntactic congruence, which, as a finite-index equivalence, is a WQO. Since finite-index equivalences are somewhat pathological examples of WQOs, this raises the question of whether the same holds for well-partial-orders, i.e. WQOs that are also antisymmetric. It does, and we exhibit a natural way to construct such well-partial-orders. Suppose M is a finite monoid and $\theta: \Sigma^* \rightarrow M$ is a morphism that recognizes the language $L \subseteq \Sigma^*$, i.e. $L = \theta^{-1}(\theta(L))$. Let $f: \Sigma^* \rightarrow (M^2 \times \Sigma \times M^2)^*$ be the functional transduction such that for $w = a_1 \cdots a_n$, $a_1, \dots, a_n \in \Sigma$:

$$f(w) = (\ell_0, r_0, a_1, \ell_1, r_1) \cdots (\ell_{n-1}, r_{n-1}, a_n, \ell_n, r_n),$$

where $\ell_i = \theta(a_1 \cdots a_i)$ and $r_i = \theta(a_{i+1} \cdots a_n)$. Then we have $u \preceq_f v$ if and only if v can be written as $v = u_0 v_1 u_1 \cdots v_n u_n$ such that $u = u_0 \cdots u_n$ and $\theta(u_0 \cdots u_{i-1} v_i) = \theta(u_0 \cdots u_{i-1})$ and $\theta(v_i u_i \cdots u_n) = \theta(u_i \cdots u_n)$ for every $i \in [1, n]$. In this case, we write \leq_{θ} for \preceq_f . Note that \leq_{θ} is multiplicative and L is \leq_{θ} -upward closed. Thus, the ordering \leq_{θ} is a natural example that shows: A language is regular if and only if it is upward closed with respect to some multiplicative well-partial order.

Remark 3.1. Another source of WQOs on words is a work of Bucher et al. [3], in which they study a class of multiplicative orderings on words arising from rewriting systems. They show that all WQOs considered there can be represented by finite monoids equipped with a multiplicative quasi-order. For such a monoid (M, \preceq) and a morphism $\theta: \Sigma^* \rightarrow M$, they set $u \sqsubseteq_{\theta} v$ if and only if $u = u_1 \cdots u_n$, $u_1, \dots, u_n \in \Sigma$, and $v = v_1 \cdots v_n$ such that $\theta(u_i) \preceq \theta(v_i)$. However,

they leave open for which monoids (M, \leq) the ordering \sqsubseteq_θ is a WQO. In the case that θ above is a morphism into a finite group (whose order is the equality), the ordering \leq_θ coincides with \sqsubseteq_θ . However, while the orderings considered by Bucher et al. are always multiplicative, this is not always the case for parameterized WQOs.

Orderings defined by counter automata In addition, we can use automata with counters to obtain parameterized WQOs. A *counter automaton* is a tuple $\mathcal{A} = (Q, \Sigma, C, E, I, F)$, where Q is a finite set of *states*, Σ is the *input alphabet*, C is a set of *counters*, $E \subseteq Q \times (A \cup \{\varepsilon\}) \times \mathbb{N}^C \times Q$ is the finite set of *edges*, $I \subseteq Q$ is the set of *initial states*, and $F \subseteq Q$ is the set of *final states*. A *configuration* of \mathcal{A} is a tuple (q, w, μ) , where $q \in Q$, $w \in A^*$, $\mu \in \mathbb{N}^C$. The step relation is defined as follows. Let $(q, w, \mu) \rightarrow_{\mathcal{A}} (q', w', \mu')$ iff there is an edge $(q, v, \nu, q') \in E$ such that $w' = wv$ and $\mu' = \mu + \nu$. A *run* (arriving at μ) on an input word w is a sequence $(q_0, w_0, \mu_0), \dots, (q_n, w_n, \mu_n)$ where $(q_{i-1}, w_{i-1}, \mu_{i-1}) \rightarrow_{\mathcal{A}} (q_i, w_i, \mu_i)$ for $i \in [1, n]$, $q_0 \in I$, $w_0 = \varepsilon$, $\mu_0 = 0$, $q_n \in F$, $w_n = w$, and $\mu_n = \mu$.

We use counter automata not primarily as accepting devices, but rather to define maps or to specify unboundedness properties. We call \mathcal{A} a *counting automaton* if it has exactly one run for every word $w \in \Sigma^*$. In this case, it defines a function $\mathcal{A}: \Sigma^* \rightarrow \mathbb{N}^C$: We have $\mathcal{A}(w) = \mu$ iff \mathcal{A} has a run on w arriving at μ .

This gives rise to an ordering: Let \mathcal{A} be a counting automaton. Then, given $u, v \in \Sigma^*$, let $u \preceq_{\mathcal{A}} v$ if and only if $\mathcal{A}(u) \leq \mathcal{A}(v)$. This is a parameterized WQO for the following reason. For each $c \in C$, we can build a functional transduction $f_c: \Sigma^* \rightarrow \{c\}^*$ that operates like \mathcal{A} , but instead of incrementing c , it outputs a c . Then, $\preceq_{\mathcal{A}}$ is the conjunction of all the WQOs \preceq_{f_c} for $c \in C$.

Let $k \in \mathbb{N}$ and $C_k = \{a_u, b_u, c_u \mid u \in \Sigma^{\leq k}\}$. We say that a word u occurs at position ℓ in v if $v = xuy$ with $|x| = \ell - 1$. It is easy to construct a counting automaton \mathcal{P}_k with counter set C_k that satisfies $\mathcal{P}_k(w) = \mu$ iff for each $u \in \Sigma^{\leq k}$,

- if u is a prefix of w , then $\mu(a_u) = 1$, otherwise $\mu(a_u) = 0$,
- if u is a suffix of w , then $\mu(b_u) = 1$, otherwise $\mu(b_u) = 0$,
- $\mu(c_u)$ is the number of positions in w where u occurs.

Using this counting automaton, we can realize another class of regular languages. Let $k \in \mathbb{N}$. A *k-locally threshold testable language* is a finite Boolean combination of sets of the form

- $u\Sigma^*$ for some $u \in \Sigma^{\leq k}$,
- Σ^*u for some $u \in \Sigma^{\leq k}$, or
- $\{w \in \Sigma^* \mid u \text{ occurs at } \geq \ell \text{ positions in } w\}$ for some $u \in \Sigma^{\leq k}$ and $\ell \in \mathbb{N}$.

The class of k -locally threshold testable languages is denoted LTT_k . Observe that the $\preceq_{\mathcal{P}_k}$ -PTL are precisely the k -locally threshold testable languages. Indeed, each of the basic building blocks of k -locally threshold testable languages is $\preceq_{\mathcal{P}_k}$ -upward closed and hence a $\preceq_{\mathcal{P}_k}$ -PTL. Conversely, for each $w \in \Sigma^*$, the upward closure of w with respect to $\preceq_{\mathcal{P}_k}$ is clearly in LTT_k .

Conjunctions Let us illustrate the utility of conjunctions. Let S be a finite collection of WQOs on Σ^* . We call a language $L \subseteq \Sigma^*$ an *S-PTL* if it is a finite Boolean combination of sets of the form $\uparrow_{\leq} w$, where \leq belongs to S and $w \in \Sigma^*$. Our framework also applies to S-PTLs for the following reason.

Observation 3.2. *Let \leq be the conjunction of the WQOs in S . Then a language is an S-PTL iff it is a \leq -PTL.*

Proof. Suppose S consists of the WQOs \leq_i for $i \in [1, n]$. Every \leq -PTL is an S -PTL, because the set $\uparrow_{\leq} \{w\}$ can be written as the intersection $\bigcap_{i \in [1, n]} \uparrow_{\leq_i} \{w\}$. Conversely, an S -PTL is a Boolean combination of sets of the form $\uparrow_{\leq_i} w$ with $w \in \Sigma^*$. Clearly, $\uparrow_{\leq_i} w$ is upward closed also with respect to \leq and can thus be written as $\uparrow_{\leq} \{w_1, \dots, w_m\}$ for some $w_1, \dots, w_m \in \Sigma^*$, which is a \leq -PTL. \square

As an example, suppose we have subsets $\Sigma_1, \dots, \Sigma_n \subseteq \Sigma$ and the functional transductions $\pi_i, i \in [1, n]$, such that $\pi_i: \Sigma^* \rightarrow \Sigma_i^*$ is the projection onto Σ_i , meaning $\pi_i(a) = a$ for $a \in \Sigma_i$ and $\pi_i(a) = \varepsilon$ for $a \notin \Sigma_i$. If S consists of the \preceq_{π_i} for $i \in [1, n]$, then the S -PTL are precisely those languages that are Boolean combinations of sets $\uparrow_{\preceq} w$ for $w \in \Sigma_1^* \cup \dots \cup \Sigma_n^*$. Hence, we obtain a subclass of the classical PTL. Of course, there are many other examples. One can, for example, combine WQOs for logical fragments with WQOs defined by counting automata and thus obtain logics that refer to positions as well as counter values, etc.

4 Main results

In this section, we present the main results of this work.

Computing downward closures The first problem we will study is that of computing downward closures. As in the case of the subword ordering, we will see that for all parameterized WQOs, every downward closed language is regular. While mere regularity is often easy to see, it is not obvious how, given a language $L \subseteq \Sigma^*$, to compute a finite automaton for $\downarrow_{\leq} L$. We are interested in when this can be done algorithmically. If \leq is a WQO on words, we say that \leq -downward closures are computable for a language class C if there is an algorithm that, given a language $L \subseteq \Sigma^*$ from C , computes a finite automaton for $\downarrow_{\leq} L$. This is especially interesting when C is a class of languages of infinite-state systems.

Until now, downward closure computation has focused mainly on the case where \leq is the subword ordering. In that case, there is a characterization for when downward closures are computable [29]. For a rational transduction $T \subseteq \Sigma^* \times \Gamma^*$ and a language $L \subseteq \Sigma^*$, let $TL = \{v \in \Gamma^* \mid \exists u \in L: (u, v) \in T\}$. When we talk about *language classes*, we always assume that there is a way of representing their languages such as by automata or grammars. We call a language class C a *full trio* if it is effectively closed under rational transductions, i.e. given a representation of L from C , we can compute a representation of TL in C . The *simultaneous unboundedness problem* (SUP) for C is the following decision problem.

Given A language $L \subseteq a_1^* \dots a_n^*$ from C .

Question Does $a_1^* \dots a_n^* \subseteq \downarrow_{\leq} L$ hold?

The aforementioned characterization now states that downward closures for the subword ordering are computable for a full trio C if and only if the SUP is decidable. The SUP is decidable for many important and very powerful infinite-state systems. It is known to be decidable for Petri net languages [10, 14, 29] and matrix languages [29]. Moreover, it was shown to be decidable for second-order pushdown automata [29], which was generalized to higher-order pushdown automata [15] and then further to higher-order recursion schemes [6].

Separability by PTL We also consider separability problems. We say that two languages $K \subseteq \Sigma^*$ and $L \subseteq \Sigma^*$ are *separated* by a language $R \subseteq \Sigma^*$ if $K \subseteq R$ and $L \cap R = \emptyset$. If two languages are separated by a regular language, we can regard this regular language as

a finite-state abstraction of the two languages. We therefore want to decide when two given languages can be separated by a language from some class of separators. More precisely, we say that for a language class C and a class of separators \mathcal{S} , *separability by \mathcal{S}* is *decidable* if given language K and L from C , it is decidable whether there is an R in \mathcal{S} that separates K and L . In the case where \mathcal{S} is the class (subword) PTL, it is known when separability is decidable: In [10], it was shown that in a full trio, separability by PTL is decidable if and only if the SUP is decidable (the “if” direction had been obtained in [9]).

We are now ready to state the first main result.

Theorem 4.1. *For every full trio C , the following are equivalent:*

1. *The SUP is decidable for C .*
2. *For every parameterized WQO \leq , \leq -downward closures are computable for C .*
3. *For every parameterized WQO \leq , separability by \leq -PTL is decidable for C .*

This generalizes the two aforementioned results on downward closures and PTL separability. In addition, Theorem 4.1 applies to all the examples of \leq -PTL described above.

Recall that for each regular language R , there is a labeling automaton \mathcal{A} such that R is $\leq_{\mathcal{A}}$ -upward closed and thus a $\leq_{\mathcal{A}}$ -PTL. Thus, for languages K and L , the following are equivalent: (i) There exists a labeling automaton \mathcal{A} such that K and L are separable by a $\leq_{\mathcal{A}}$ -PTL and (ii) K and L are separable by a regular language. Already for one-counter languages, separability by regular languages is undecidable [8] (for context-free languages, this was shown in [18, 27]). However, Theorem 4.1 tells us that for each fixed \mathcal{A} , separability by $\leq_{\mathcal{A}}$ -PTL is decidable. We make a few applications explicit.

Corollary 4.2. *Let C be a full trio with decidable SUP. For each $d \in \mathbb{N}$, separability by $\mathcal{BS}_1[<, \text{mod}_d]$ is decidable for C .*

Note that since a language $L \subseteq \Sigma^*$ is separable from its complement $\Sigma^* \setminus L$ by some \leq -PTL if and only if L is a \leq -PTL itself, Theorem 4.1 implies the following.

Corollary 4.3. *Let \leq be a parameterized WQO. Given a regular language L , it is decidable whether L is an \leq -PTL.*

It was shown by Place et al. [24] that for context-free languages, separability by LTT_k is decidable for each $k \in \mathbb{N}$. Their algorithm uses semilinearity of context-free languages and Presburger arithmetic. Since models like Petri nets and higher-order pushdown automata do not have semilinear Parikh images, their proof method does not apply to them. Here, we extend this result to all full trios with a decidable SUP.

Corollary 4.4. *Let C be a full trio with decidable SUP. For each $k \in \mathbb{N}$, separability by LTT_k is decidable for C .*

Separability beyond PTLs Our framework can also be applied to separators that do not arise as PTLs for a particular WQO. This is because we can sometimes apply the developed ideal representations to separator classes that are infinite unions of individual classes of PTLs. For example, consider the fragment $\mathcal{BS}_1[<, \text{mod}]$ of first-order logic on words with modular predicates. In terms of expressible languages, it is the union over all fragments $\mathcal{BS}_1[<, \text{mod}_d]$ with $d \in \mathbb{N}$. Using a non-trivial algebraic proof, it was shown by Chaubard, Pin, and Straubing [5] that it is decidable whether a regular language is definable in $\mathcal{BS}_1[<, \text{mod}]$. Here, we show the following generalization using a purely combinatorial proof.

Theorem 4.5. *Given two regular languages, it is decidable whether they are separable by $\mathcal{BS}_1[<, \text{mod}]$.*

Of course, this raises the question of whether separability by $\mathcal{BS}_1[<, \text{mod}]$ reduces to the SUP, as it is the case of separability by $\mathcal{BS}_1[<, \text{mod}_d]$ for fixed d . However, this is not the case, as is shown here as well.

Theorem 4.6. *Separability by $\mathcal{BS}_1[<, \text{mod}]$ is undecidable for second-order pushdown languages.*

Since the second-order pushdown languages constitute a full trio [1, 23] and have a decidable SUP [29], this means separability by $\mathcal{BS}_1[<, \text{mod}]$ does not reduce to the SUP.

5 Computing closures and deciding separability

In this section, we present the algorithms used in Theorem 4.1. We apply the abstract framework for computing downward closures and deciding separability by PTL by Goubault-Larrecq and Schmitz [13], which is applicable to WQOs with particular effectiveness assumptions. In this section, we explain how these assumptions translate to our setting. In section 6, we will then show that all parameterized WQO indeed satisfy these properties.

Our algorithms for computing downward closures and deciding separability rely heavily on the concept of ideals, which have recently attracted attention [12, 13, 21]. Observe that, when deciding separability of languages from a class C by a recursively enumerable class of regular languages, it is usually easy to devise a semi-algorithm for the separability case: We can just enumerate separators. Verifying them is possible as soon as C has decidable emptiness of intersections with regular sets. The non-trivial part is to show that inseparability can be witnessed and in our case, this role will be played by the concept of ideals.

Let us define ideals. Suppose (X, \leq) is a WQO. An \leq -ascending chain is a sequence x_1, x_2, \dots with $x_i \leq x_{i+1}$ for every $i \in \mathbb{N}$. A subset $Y \subseteq X$ is called (\leq) -directed if for any $x, y \in Y$, there is a $z \in Y$ with $x \leq z$ and $y \leq z$. An (\leq) -ideal is a non-empty subset $I \subseteq X$ that is \leq -downward closed and \leq -directed. Equivalently, a non-empty subset $I \subseteq X$ is an \leq -ideal if I is \leq -downward closed and for any two \leq -downward closed sets $Y, Z \subseteq X$ with $I \subseteq Y \cup Z$, we have $I \subseteq Y$ or $I \subseteq Z$. It is well-known that every downward closed set can be written as a finite union of ideals.

In order to explain how ideals can witness inseparability, we need the notion of adherences. For a set $L \subseteq X$, its *adherence* $\text{Adh}_{\leq}(L)$ is defined as the set of those ideals I of X for which there exists a directed set $D \subseteq L$ with $I = \downarrow_{\leq} D$. Equivalently, $I \in \text{Adh}_{\leq}(L)$ if and only if $I \subseteq \downarrow_{\leq}(L \cap I)$ [13, 21]. In this work, we also use a slightly modified version of adherences in order to describe ideals of conjunctions of WQOs. Let $(\leq_s)_{s \in S}$ be a family of well-quasi-orderings on a common set X . Moreover, let \leq denote the conjunction of $(\leq_s)_{s \in S}$. For $L \subseteq X$, $\text{Adh}_{\leq}(L)$ is the set of all families $(I_s)_{s \in S}$ of ideals for which there exists a \leq -directed set $D \subseteq L$ such that $I_s = \downarrow_{\leq_s} D$ for each $s \in S$.

Unboundedness reductions We use counter automata (that are not necessarily counting automata) to specify unboundedness properties. Let \mathcal{A} be a counter automaton with counter set C . Let $\mathbb{N}_{\omega} = \mathbb{N} \cup \{\omega\}$ and extend \leq to \mathbb{N}_{ω} by setting $n < \omega$ for all $n \in \mathbb{N}$.

We define a function $\bar{\mathcal{A}}: \Sigma^* \rightarrow \mathbb{N}_\omega$ by

$$\bar{\mathcal{A}}(w) = \sup \left\{ \inf_{c \in C} \mu(c) \mid \mathcal{A} \text{ has a run on } w \text{ arriving at } \mu \in \mathbb{N}^C \right\}$$

We say that a counter automaton \mathcal{A} is *unbounded on* $L \subseteq \Sigma^*$ if for every $k \in \mathbb{N}$, there is a $w \in L$ with $\bar{\mathcal{A}}(w) \geq k$. In other words, iff for every $v \in \mathbb{N}^C$, there is a $w \in L$ such that \mathcal{A} has a run on w arriving at some $\mu \geq v$.

We say that a language $L \subseteq \Sigma^*$ has the *diagonal property* if for every $k \in \mathbb{N}$, there is a $w \in L$ that contains every letter from Σ at least k times. The *diagonal problem for a language class* C [9, 10] is to decide whether a given language from C has the diagonal property. Via computing downward closures, it is known that in full trios, the diagonal problem reduces to the SUP [29]. Since unboundedness of a counter automata easily reduces to the diagonal problem, we have the following.

Lemma 5.1. *Let C be a full trio with decidable SUP. Then, given a counter automaton \mathcal{A} and a language L from C , it is decidable whether \mathcal{A} is unbounded on L .*

We are now ready to state the effectiveness assumptions on which our algorithms rely. Let Σ be an alphabet and (Σ^*, \leq) be a WQO. We say that (Σ^*, \leq) is an *effective WQO with an unboundedness reduction (EWUR)* if the following are satisfied:

- (a) For each $w \in \Sigma^*$, the set $\uparrow_{\leq} w$ is effectively regular.
- (b) The set of ideals of (Σ^*, \leq) is a recursively enumerable set of regular languages.
- (c) Given an ideal $I \subseteq \Sigma^*$, one can effectively construct a counter automaton \mathcal{A}_I such that for every $L \subseteq \Sigma^*$, \mathcal{A}_I is unbounded on L if and only if I belongs to $\text{Adh}_{\leq}(L)$.

In order to decide separability by \leq -PTL and compute downward closures, it would have sufficed to require decidability of adherence membership in full trios with decidable SUP. The reason why we require the stronger condition (c) is that in order to show that all parameterized WQOs satisfy these conditions, we want the latter to be passed on to conjunctions and to WQOs \leq_f .

The conditions imply that every upward closed language (hence every downward closed language) is regular: If U is upward closed, then we can write $U = \uparrow_{\leq} \{w_1, \dots, w_n\} = \bigcup_{i=1}^n \uparrow_{\leq} \{w_i\}$, which is regular because each $\uparrow_{\leq} \{w_i\}$ is regular. Moreover, we may conclude that given a regular language $R \subseteq \Sigma^*$ it is decidable whether R is an ideal: If R is an ideal, we find it in an enumeration; if it is not an ideal, we find words that violate directedness or downward closedness.

According to the definition of EWUR, given an ideal I , we can construct a counter automaton \mathcal{A} such that I belongs to the adherence of L if and only if \mathcal{A} is unbounded on L . Hence, Lemma 5.1 implies the following.

Proposition 5.2. *Let (Σ^*, \leq) be an EWUR and let C be a full trio with decidable SUP. Then, given an \leq -ideal $I \subseteq \Sigma^*$ and $L \in C$, it is decidable whether $I \in \text{Adh}_{\leq}(L)$.*

In section 6, we develop ideal representations for all parameterized WQOs and thus show that they are EWUR.

Proof sketch for Theorem 4.1 Let us now outline how to show Theorem 4.1 assuming that every parameterized WQO is an EWUR. The implication “ $2 \Rightarrow 1$ ” holds because computing downward closures clearly allows deciding the SUP. This was shown in [29]. The implication “ $3 \Rightarrow 1$ ” follows from [10], which presents a reduction

of the SUP to separability by PTL. Thus, it remains to prove that downward closures are computable and PTL-separability is decidable for EWUR and full trios with decidable SUP. For the former, we can use an algorithm for downward closure computation from [13], which reduces the computation to adherence membership.

Proposition 5.3. *Let C be a full trio with decidable SUP and let \leq be an EWUR. Then \leq -downward closures of languages in C are computable.*

We continue with the decidability of separability by \leq -PTL for EWUR \leq . We employ the following characterization of separability in terms of adherences [13] for reducing the separability problem to adherence membership.

Proposition 5.4. *Let (X, \leq) be a WQO. Then, $K \subseteq X$ and $L \subseteq X$ are separable by a \leq -PTL iff $\text{Adh}_{\leq}(K) \cap \text{Adh}_{\leq}(L) = \emptyset$.*

We can now use the algorithm from [13] for deciding separability of languages K and L in our setting. By Proposition 5.4, we can use two semi-decision procedures. On the one hand, we enumerate potential separators S and check whether $K \subseteq S$ and $L \cap S = \emptyset$. On the other hand, we enumerate \leq -ideals I and check if I belongs to $\text{Adh}_{\leq}(K) \cap \text{Adh}_{\leq}(L)$.

Proposition 5.5. *Let C be a full trio with decidable SUP and \leq be an EWUR. Then separability by \leq -PTL is decidable for C .*

6 Ideal representations

In this section, we show that every parameterized WQO is an EWUR. The fact that the subword ordering is an EWUR follows using ideal representations for subwords [19] and arguments from [10, 29].

Proposition 6.1. *The subword ordering (Σ^*, \preceq) is an EWUR.*

The next step is to show that whenever (Γ^*, \leq) is an EWUR and $f: \Sigma^* \rightarrow \Gamma^*$ is a functional rational transduction, then (Σ^*, \leq_f) is an EWUR. We begin with some general observations about ideals in WQOs of the shape \leq_f , where $f: X \rightarrow Y$ is an arbitrary function and (Y, \leq) is a WQO. First, we describe ideals of (X, \leq_f) in terms of ideals of (Y, \leq) .

It is easy to see that every ideal of (X, \leq_f) is of the form $f^{-1}(J)$ for some ideal J of (Y, \leq) . However, a set $f^{-1}(J)$ is not always an ideal of (X, \leq_f) . For example, suppose $f: \Sigma^* \rightarrow \mathbb{N} \times \mathbb{N}$ has $f(w) = (|w|, 0)$ if $|w|$ is even and $f(w) = (0, |w|)$ if $|w|$ is odd. Then $f^{-1}(\mathbb{N} \times \mathbb{N})$ is not upward directed although $\mathbb{N} \times \mathbb{N}$ is an ideal.

Lemma 6.2. *$I \subseteq X$ is an ideal of (X, \leq_f) if and only if $I = f^{-1}(J)$ for some ideal J of (Y, \leq) such that $\downarrow f(f^{-1}(J)) = J$.*

Proof. If $I \subseteq X$ is an ideal, then the set $J := \downarrow f(I)$ is downward closed by definition and upward directed because I is. Hence, J is an ideal. Moreover, $I = f^{-1}(J)$, because $I \subseteq f^{-1}(J)$ is immediate and $f^{-1}(J) \subseteq I$ holds because I is downward closed. This also implies $\downarrow f(f^{-1}(J)) = \downarrow f(I) = J$.

Conversely, let $I = f^{-1}(J)$ for an ideal $J \subseteq Y$ that satisfies $\downarrow f(f^{-1}(J)) = J$. First, $I = f^{-1}(J)$ is downward closed because J is. Moreover, we have $\downarrow f(I) = J$, which means given $x, y \in I$, we can find a common upper bound $z \in J$ for $f(x) \in J$ and $f(y) \in J$ and then a $z' \in f(I)$ with $z \leq z'$. Then $z' = f(w)$ for some $w \in I$ and hence $x \preceq_f w$ and $y \preceq_f w$. Thus I is upward directed. \square

Lemma 6.2 tells us how to represent ideals of (X, \leq_f) when we have a way of representing ideals of (Y, \leq) . Hence, if the set

of ideals of (Γ^*, \leq) is recursively enumerable, then so is the set of ideals of (Σ^*, \leq_f) : As an ideal, J is regular, meaning that $\downarrow f(f^{-1}(J))$ is effectively regular and can be compared with J . We also need to transfer membership in adherences from (Y, \leq) to (X, \leq_f) .

Lemma 6.3. *If $J \subseteq Y$ is an ideal of (Y, \leq) with $\downarrow f(f^{-1}(J)) = J$, then $f^{-1}(J) \in \text{Adh}(L)$ if and only if $J \in \text{Adh}(f(L))$.*

Proof. Let $f^{-1}(J) \in \text{Adh}(L)$, equivalently, $f^{-1}(J) \subseteq \downarrow(L \cap f^{-1}(J))$. We prove that $J \subseteq \downarrow(f(L) \cap J)$. For $y \in J$, we can find $y' \in f(f^{-1}(J))$ with $y \leq y'$. Say $y' = f(x')$ with $x' \in f^{-1}(J)$. Thus, there exists an $x'' \in L \cap f^{-1}(J)$ with $x' \leq_f x''$. Since $y \leq y' = f(x') \leq f(x'')$ and $f(x'') \in f(L) \cap J$, we have shown $J \subseteq \downarrow(f(L) \cap J)$.

Conversely, let $J \in \text{Adh}(f(L))$, i.e. $J \subseteq \downarrow(f(L) \cap J)$. This means, for $x \in f^{-1}(J)$, we can find $x' \in L$ with $f(x) \leq f(x')$ and $f(x') \in J$. Thus, $f^{-1}(J) \subseteq \downarrow(L \cap f^{-1}(J))$ and hence $f^{-1}(J) \in \text{Adh}(L)$. \square

Equipped with Lemmas 6.2 and 6.3, it is now straightforward to show that (Σ^*, \leq_f) is an EWUR.

Proposition 6.4. *If (Γ^*, \leq) is an EWUR and $f: \Sigma^* \rightarrow \Gamma^*$ is a functional rational transduction, then (Σ^*, \leq_f) is an EWUR.*

It remains to be shown that being an EWUR is preserved by taking a conjunction. Our first step is to characterize which sets are ideals of a conjunction. Once the statement is found, the proof is relatively straightforward.

Proposition 6.5. *Let $S = (\leq_s)_{s \in S}$ be a finite family of WQOs over X and let (X, \leq) be the conjunction of S . Then $I \subseteq X$ is an ideal of (X, \leq) iff it can be written as $I = \bigcap_{s \in S} I_s$, where each I_s is an ideal of (X, \leq_s) and $(I_s)_{s \in S}$ belongs to $\text{Adh}_S(I)$.*

The next step describes how to reduce the adherence membership problem for conjunctions to the adherence membership problem for the participating orderings.

Proposition 6.6. *Let $S = (\leq_s)_{s \in S}$ be a finite family of WQOs over X and let (X, \leq) be the conjunction of S . Suppose I_s is an \leq_s -ideal for each $s \in S$ and $I = \bigcap_{s \in S} I_s$ and that $(I_s)_{s \in S}$ belongs to $\text{Adh}_S(I)$. Then I belongs to $\text{Adh}_{\leq}(L)$ iff $(I_s)_{s \in S}$ belongs to $\text{Adh}_S(L)$.*

As expected, a product construction allows us to characterize the adherence membership for conjunction.

Lemma 6.7. *Suppose (Σ^*, \leq_i) is an EWUR for $i = 1, 2$. Given ideals I_1 and I_2 for \leq_1 and \leq_2 , respectively, we can construct a counter automaton \mathcal{A} such that for every language $L \subseteq \Sigma^*$, \mathcal{A} is unbounded on L iff (I_1, I_2) belongs to $\text{Adh}_{\leq_1, \leq_2}(L)$.*

The following is now a consequence of the previous steps.

Proposition 6.8. *If \leq_1 and \leq_2 are EWUR, then their conjunction is an EWUR as well.*

Orderings defined by labeling automata The preceding results already show that every parameterized WQO is an EWUR. However, since we will study separability by $\mathcal{BS}_1[<, \text{mod}]$, it will be crucial to have an explicit, i.e. syntactic representation of ideals of a particular type of parameterized WQOs, namely those defined by labeling automata. Here, we develop such a syntax.

Let \mathcal{A} be a labeling automaton over Σ^* , $u_0, \dots, u_n \in \Sigma^*$, and $v_1, \dots, v_n \in \Sigma^*$. The word $w = u_0 v_1 u_1 \dots v_n u_n$ (more precisely: this particular decomposition) is a *loop pattern* (for \mathcal{A}) if the run of \mathcal{A} on w loops at each v_i , $i \in [1, n]$. In other words, \mathcal{A} is in the same state before and after reading v_i .

Theorem 6.9. *Let \mathcal{A} be a labeling automaton. The $\leq_{\mathcal{A}}$ -ideals are precisely the sets $\downarrow_{\leq_{\mathcal{A}}} u_0 v_1^* u_1 \dots v_n^* u_n$, where $u_0 v_1 u_1 \dots v_n u_n$ is a loop pattern for \mathcal{A} .*

By standard arguments, it suffices to show that those sets are ideals and that every downward closed set is a finite union of such sets.

7 Separability by $\mathcal{BS}_1[<, \text{mod}]$

In this section, we prove Theorem 4.5 and Theorem 4.6. The latter will be shown in section 7.1 and the former is an immediate consequence of the following.

Proposition 7.1. *Let $\mathcal{A}_1, \mathcal{A}_2$ be finite automata with $\leq m$ states. $L(\mathcal{A}_1)$ and $L(\mathcal{A}_2)$ are separable by $\mathcal{BS}_1[<, \text{mod}]$ if and only if they are separable by $\mathcal{BS}_1[<, \text{mod}_d]$, where $d = 2m^3!$.*

Recall that $\mathcal{BS}_1[<, \text{mod}_d]$ are the $\leq_{\mathcal{M}_d}$ -PTL, where \mathcal{M}_d is the labeling automaton defined on page 3. From now on, we write \leq_d for $\leq_{\mathcal{M}_d}$. Proposition 7.1 follows from:

Proposition 7.2. *Let \mathcal{A}_i be a finite automaton for $i = 1, 2$ with $\leq m$ states and let d be a multiple of $2m^3!$. If*

$$\text{Adh}_{\leq_d}(L(\mathcal{A}_1)) \cap \text{Adh}_{\leq_d}(L(\mathcal{A}_2)) \neq \emptyset,$$

then

$$\text{Adh}_{\leq_{\ell \cdot d}}(L(\mathcal{A}_1)) \cap \text{Adh}_{\leq_{\ell \cdot d}}(L(\mathcal{A}_2)) \neq \emptyset$$

for every $\ell \geq 1$.

The “if” direction of Proposition 7.1 is trivial and the “only if” can be derived from Proposition 7.2 as follows. If $L(\mathcal{A}_1)$ and $L(\mathcal{A}_2)$ are separable by $\mathcal{BS}_1[<, \text{mod}_{\ell}]$ for some $\ell \in \mathbb{N}$, then this separator is also expressible in $\mathcal{BS}_1[<, \text{mod}_{\ell \cdot d}]$. Moreover, together with Proposition 5.4, Proposition 7.2 tells us that separability by $\mathcal{BS}_1[<, \text{mod}_{\ell \cdot d}]$ implies separability by $\mathcal{BS}_1[<, \text{mod}_d]$.

The rest of this section outlines the proof of Proposition 7.1. Note that according to Theorem 6.9, the ideals for \leq_d are the sets of the form $I = \downarrow_{\leq_d} u_0 v_1^* u_1 \dots v_n^* u_n$ where $v_i \in (\Sigma^d)^*$. The ideal I belongs to $\text{Adh}_{\leq_d}(L)$ if and only if for each $k \in \mathbb{N}$, there is a word $w \in L$ such that $u_0 v_1^k u_1 \dots v_n^k u_n \leq_d w$ and $w \in I$. We call such words w *witness words*.

It is tempting to think that Proposition 7.2 just requires a simple pumping argument: Given witness words for adherence membership of an \leq_d -ideal $\downarrow_{\leq_d} u_0 v_1^* u_1 \dots v_n^* u_n$, we pump the gaps in between embedded letters from the word $u_0 v_1^{\ell \cdot k} u_1 \dots v_n^{\ell \cdot k} u_n$. These gaps, after all, always have length divisible by d . For a d with sufficiently many divisors, we would be able to pump the gaps up to a length divisible by $\ell \cdot d$ so that we can embed $u_0 (v_1^{\ell})^k u_1 \dots (v_n^{\ell})^k u_n$ via $\leq_{\ell \cdot d}$ and conclude membership of the ideal $\leq_{\ell \cdot d}$ -ideal

$$I' = \downarrow_{\leq_{\ell \cdot d}} u_0 (v_1^{\ell})^* u_1 \dots (v_n^{\ell})^* u_n.$$

However, in order to show that I' contained in the $\leq_{\ell \cdot d}$ -adherence, we also have to make sure that resulting witness words are *members* of I' . This makes the proof challenging.

Part I: Small periods Our proof of Proposition 7.2 consists of three parts. In the first part, we show that if two regular languages share an ideal in their adherences, then there exists one in which all loops (the words v_i) are, in a certain sense, highly periodic. Let $\mathcal{P}(\Sigma)$ denote the power set of Σ and let $\mathcal{P}(\Sigma)^{[1, d]}$ denote the set of mappings $\mu: [1, d] \rightarrow \mathcal{P}(\Sigma)$. For each word $w \in \Sigma^*$ and $d \in \mathbb{N}$, let $\kappa_d(w) \in \mathcal{P}(\Sigma)^{[1, d]}$ be defined as follows. For $i \in [1, d]$, we set

$\kappa_d(w)(i) = \{a \in \Sigma \mid a \text{ occurs in } w \text{ at a position } p \equiv i \pmod d\}$.

The function κ_d lets us characterize inclusion among simple ideals.

Lemma 7.3. *Suppose $v, w \in (\Sigma^d)^*$. Then $\downarrow_{\leq d} v^* \subseteq \downarrow_{\leq d} w^*$ if and only if $\kappa_d(v) \subseteq \kappa_d(w)$.*

For each word $w \in \Sigma^*$, let $\rho(w)$ be obtained from rotating w by one position to the right. Hence, for $v \in \Sigma^*$ and $a \in \Sigma$ we have $\rho(va) = av$, and $\rho(\varepsilon) = \varepsilon$. Let λ be the inverse map of ρ , i.e. rotation to the left. For $v \in \Sigma^*$ and $d \in \mathbb{N}$, let $\pi_d(v) \in [1, d]$ be the smallest $t \in [1, d]$ that divides d such that $\kappa_d(v)(i+t) = \kappa_d(v)(i)$ for all $i \in [1, d-t]$. Thus, t can be thought of as a period of $\kappa_d(v)$. An automaton $\mathcal{A} = (Q, \Sigma, E, I, F)$ is *cyclic* if $I = F$ and $|I| = 1$. The first step towards ideals with high periodicity is to achieve high periodicity in single-loop ideals in cyclic automata:

Lemma 7.4. *Let \mathcal{A}_i be a cyclic automaton with $\leq m$ states for each $i = 1, 2$ and let d be a multiple of $m^2!$. If $\downarrow_{\leq d} v^*$ belongs to $\text{Adh}_{\leq d}(L(\mathcal{A}_i))$ for $i = 1, 2$, then there is a $w \in (\Sigma^d)^*$ such that (i) $\downarrow_{\leq d} v^* \subseteq \downarrow_{\leq d} w^*$, (ii) $\downarrow_{\leq d} w^*$ also belongs to $\text{Adh}_{\leq d}(L(\mathcal{A}_i))$ for $i = 1, 2$, and (iii) $\pi_d(w) \leq m^2$.*

Proof of Lemma 7.4. Write $v = v_1 \cdots v_n$, $v_1, \dots, v_n \in \Sigma$. Since the ideal $\downarrow_{\leq d} v^*$ belongs to $\text{Adh}_{\leq d}(L(\mathcal{A}_i))$ for $i = 1, 2$, we can find $v \in \downarrow_{\leq d}(L(\mathcal{A}_i) \cap \downarrow_{\leq d} v^*)$ for $i = 1, 2$. This means there are witnesses

$$\bar{v}_i = \bar{u}_{i,0} v_1 \bar{u}_{i,1} \cdots v_n \bar{u}_{i,n} \in L(\mathcal{A}_i) \cap \downarrow_{\leq d} v^*$$

such that $\bar{u}_{i,j} \in (\Sigma^d)^*$ for $j \in [1, n]$ and $i = 1, 2$. Note that since $\bar{v}_i \in \downarrow_{\leq d} v^*$ and $v \leq_d \bar{v}_i$, we have $\downarrow_{\leq d} \bar{v}_i^* = \downarrow_{\leq d} v^*$ and thus we have $\kappa_d(\bar{v}_i) = \kappa_d(v)$ according to Lemma 7.3.

In the run of \mathcal{A}_i for $\bar{u}_{i,0} v_1 \bar{u}_{i,1} \cdots v_n \bar{u}_{i,n}$, let $q_{i,j}$ be the state occupied after reading $\bar{u}_{i,j}$, for $j \in [0, n]$ and $i = 1, 2$. Since $m^2!$ divides d , which in turn divides n , we have $n+1 > m^2! \geq m^2$. Therefore, there are $j, k \in [0, n]$, $j < k$, with $(q_{1,j}, q_{2,j}) = (q_{1,k}, q_{2,k})$. Moreover, they can be chosen so that $t := k - j < m^2$. Since $m^2!$ divides d , we know that $t < m^2$ divides d and may define $r = d/t$. Let $x_i = \bar{u}_{i,0} v_1 \bar{u}_{i,1} \cdots v_j \bar{u}_{i,j}$, $y_i = v_{j+1} \bar{u}_{i,j+1} \cdots v_k \bar{u}_{i,k}$, $z_i = v_{k+1} \bar{u}_{i,k+1} \cdots v_n \bar{u}_{i,n}$. Then, by the choice of j, k , we have $(x_i y_i^* z_i)^* \subseteq L(\mathcal{A}_i)$. In particular, the word

$$w_i = \prod_{\ell=0}^{r-1} x_i y_i^\ell z_i x_i y_i^{r-\ell} z_i$$

belongs to $L(\mathcal{A}_i)$. Moreover, since $|y_i| \equiv t \pmod d$, we can conclude $|w_i| \equiv r(2|x_i y_i z_i| + rt) \equiv 0 \pmod d$. We claim that

$$\kappa_d(w_i) = \bigcup_{\ell=0}^{r-1} \kappa_d(\rho^{\ell t}(\bar{v}_i)).$$

We begin with the inclusion “ \supseteq ”. For each $\ell \in [0, r-1]$ and $i \in \{1, 2\}$,

- the word x_i occurs in w_i at a position $p \equiv |x_i y_i z_i| + \ell t \pmod d$ and hence $p \equiv \ell t \pmod d$,
- the word y_i occurs in w_i at a position $p \equiv |x_i| + \ell t \pmod d$,
- the word z_i occurs in w_i at a position $p \equiv |x_i y_i| + \ell t \pmod d$.

Hence, for each position p in \bar{v}_i and each $\ell \in [0, r-1]$, there is a position $p' \equiv p + \ell t \pmod d$ with $\kappa_d(\bar{v}_i)(p) \subseteq \kappa_d(w_i)(p')$. This prove the inclusion “ \supseteq ”.

On the other hand, every factor x_i , y_i , and z_i that occurs in the definition of w_i at a position $p \in [1, |w_i|]$ also occurs in \bar{v}_i at a position $p' \in [1, n]$ with $p' \equiv p - \ell t \pmod d$ for some $\ell \in [0, r-1]$. Therefore, we also have the inclusion “ \subseteq ”.

The identity $\kappa_d(w_i) = \bigcup_{\ell=0}^{r-1} \kappa_d(\rho^{\ell t}(\bar{v}_i))$ clearly implies that $\pi_d(w_i) \leq t$ and also $\downarrow_{\leq d}(\bar{v}_i)^* \subseteq \downarrow_{\leq d} w_i^*$, which in turn yields $\downarrow_{\leq d} v^* \subseteq \downarrow_{\leq d} w_i^*$. Moreover, since $(x_i y_i^* z_i)^* \subseteq L(\mathcal{A}_i)$, we have $w_i^* \subseteq L(\mathcal{A}_i)$ and in particular $\downarrow_{\leq d} w_i^* \subseteq \downarrow_{\leq d} L(\mathcal{A}_i)$. This clearly implies that $\downarrow_{\leq d} w_i^*$ belongs to $\text{Adh}_{\leq d}(L(\mathcal{A}_i))$ for $i = 1, 2$. Hence, if we can show $\downarrow_{\leq d} w_1^* = \downarrow_{\leq d} w_2^*$, the proof is complete. We use ρ also as a rotation map on $\mathcal{P}(\Sigma)^{[1,d]}$: For $\mu \in \mathcal{P}(\Sigma)^{[1,d]}$ and $i \in [1, d]$, let $\rho(\mu)(i) = \mu(i')$, where $i' \in [1, d]$ is chosen so that $i' \equiv i - 1 \pmod d$. Note that $\kappa_d(\rho(z)) = \rho(\kappa_d(z))$ for every $z \in \Sigma^*$. Observe that since $\kappa_d(\bar{v}_i) = \kappa_d(v)$ for $i \in \{1, 2\}$, we have

$$\kappa_d(w_i) = \bigcup_{\ell=0}^{r-1} \kappa_d(\rho^{\ell t}(\bar{v}_i)) = \bigcup_{\ell=0}^{r-1} \rho^{\ell t}(\kappa_d(\bar{v}_i)) = \bigcup_{\ell=0}^{r-1} \rho^{\ell t}(\kappa_d(v)),$$

and thus $\kappa_d(w_1) = \kappa_d(w_2)$, which, according to Lemma 7.3, implies $\downarrow_{\leq d} w_1^* = \downarrow_{\leq d} w_2^*$. \square

Associated patterns In order to extend this to general ideals and automata, we need more guarantees on how words $u_0 v_1^k u_1 \cdots v_n^k u_n$ embed into witness words.

Let $u_0 v_1 u_1 \cdots v_n u_n$ be a loop pattern for \mathcal{M}_d and let $L \subseteq \Sigma^*$. We say that the loop pattern is *associated to L* if for every $k \geq 0$, there is a word $\bar{u}_0 \bar{v}_1 \bar{u}_1 \cdots \bar{v}_n \bar{u}_n \in L$ such that $v_i^k \leq_d \bar{v}_i \in \downarrow_{\leq d} v_i^*$ for every $i \in [1, n]$ and $u_i \leq_d \bar{u}_i \in \downarrow_{\leq d} v_i^* u_i v_{i+1}^*$ for $i \in [1, n-1]$ and $u_0 \leq_d \bar{u}_0 \in \downarrow_{\leq d} u_0 v_1^*$ and $u_n \leq_d \bar{u}_n \in \downarrow_{\leq d} v_n^* u_n$.

Of course, if the pattern $u_0 v_1 u_1 \cdots v_n u_n$ is associated to L , then the ideal $I = \downarrow_{\leq d} u_0 v_1^* u_1 \cdots v_n^* u_n$ belongs to $\text{Adh}_{\leq d}(L)$. However, the converse is not true. Consider, for example, the case $d = 2$ and the loop pattern $\varepsilon \cdot (aa) \cdot \varepsilon \cdot (abba) \cdot \varepsilon$, where aa and $abba$ are loops and the constant parts are all empty. The resulting ideal $\downarrow_{\leq 2}(aa)^*(abba)^*$ belongs to $\text{Adh}_{\leq 2}((abba)^*)$ because of the identity $\downarrow_{\leq 2}(aa)^*(abba)^* = \downarrow_{\leq 2}(abba)^*$: Both sets contain precisely the words in $\{a, b\}^*$ of even length. Note that the pattern $\varepsilon \cdot (aa) \cdot \varepsilon \cdot (abba) \cdot \varepsilon$ is not associated to $(abba)^*$, because no word in the latter contains $(aa)^2$ as an infix, let alone arbitrarily high powers of aa .

However, we will see that every ideal admits a representation by a loop pattern so that membership in the adherence implies association of the loop pattern. A loop pattern $u_0 v_1 u_1 \cdots v_n u_n$ for \mathcal{M}_d is *irreducible* if removing any loop would induce a strictly smaller ideal. This means, for each $i \in [1, n]$, the loop pattern $u_0(v_1)u_1 \cdots (v_{i-1})u_{i-1}u_i \cdots (v_n)u_n$ induces a strictly smaller ideal than $u_0 v_1 u_1 \cdots v_n u_n$. Every ideal is induced by some irreducible loop pattern: Just pick one with a minimal number of loops.

We shall prove that for an irreducible loop pattern, membership in the adherence of a language L implies association to L (Lemma 7.6). To that end, we prove first that if the loop pattern $u_0 v_1 u_1 \cdots v_n u_n$ is irreducible, then for each $k \in \mathbb{N}$, any embedding of $u_0 v_1^{x_1} u_1 \cdots v_n^{x_n} u_n$ into $u_0 v_1^{y_1} u_1 \cdots v_n^{y_n} u_n$ for sufficiently large x_i forces at least k copies of each v_i to be embedded into $v_i^{y_i}$.

Let us make this precise. Suppose $x, y \in \Sigma^*$, $x = x_1 \cdots x_r$, and $y = y_1 \cdots y_s$, where $x_1, \dots, x_r, y_1, \dots, y_r$ are letters in Σ . A strictly monotone map $\alpha: \{1, \dots, r\} \rightarrow \{1, \dots, s\}$ is a *d-embedding of x in y* if $r \equiv s \pmod d$, $x_i = y_{\alpha(i)}$ for $i \in [1, r]$, and for each $i \in [1, r]$, we have $\alpha(i) \equiv i \pmod d$. Clearly, we have $x \leq_d y$ if and only if there is a *d-embedding of x in y* . Now let $u_0 v_1 u_1 \cdots v_n u_n$ be a loop pattern for \mathcal{M}_d and $x = u_0 v_1^{x_1} u_1 \cdots v_n^{x_n} u_n$ and $y = u_0 v_1^{y_1} u_1 \cdots v_n^{y_n} u_n$. A *d-embedding of x in y* is called *k-normal* if for each $i \in [1, n]$, α maps at least k -many factors v_i in x to $v_i^{y_i}$. Clearly, if $k \leq x_i \leq y_i$

for all $i \in [1, n]$, then there exists a normal d -embedding of x in y . However, not every d -embedding has to be k -normal.

Lemma 7.5. *Let $u_0v_1u_1 \cdots v_nu_n$ be an irreducible loop pattern for \mathcal{M}_d . For each $k \in \mathbb{N}$, there is a constant $\ell \in \mathbb{N}$ such that if α is a d -embedding of $u_0v_1^{x_1}u_1 \cdots v_n^{x_n}u_n$ in $u_0v_1^{y_1}u_1 \cdots v_n^{y_n}u_n$ and $x_i \geq \ell$ for $i \in [1, n]$, then α is k -normal.*

Here, the idea is the following. If there were a k such that for simultaneously unbounded vectors (x_1, \dots, x_n) , we can embed $u_0v_1^{x_1}u_1 \cdots v_n^{x_n}u_n$ into $u_0v_1^{y_1}u_1 \cdots v_n^{y_n}u_n$ while sending at most k copies of v_i to $v_i^{y_i}$ for some $i \in [1, n]$, then an unbounded amount of copies of v_i has to be placed either to the left or to the right of $v_i^{y_i}$. From that, one can deduce that the loop v_i in the pattern can be removed without shrinking the generated ideal.

Lemma 7.6. *Let $u_0v_1u_1 \cdots v_nu_n$ be an irreducible loop pattern for \mathcal{M}_d . Then $\downarrow_{\leq d} u_0v_1^*u_1 \cdots v_n^*u_n$ belongs to $\text{Adh}_{\leq d}(L)$ if and only if $u_0v_1u_1 \cdots v_nu_n$ is associated to L .*

Using Lemma 7.6, we can complete the first proof part:

Lemma 7.7. *Let \mathcal{A}_i be a finite automaton with $\leq m$ states for each $i = 1, 2$ and let d be a multiple of $m^2!$. If $\text{Adh}_{\leq d}(L(\mathcal{A}_1)) \cap \text{Adh}_{\leq d}(L(\mathcal{A}_2)) \neq \emptyset$, then there is a loop pattern $u_0v_1u_1 \cdots v_nu_n$ for \mathcal{M}_d such that $\downarrow_{\leq d} u_0v_1^*u_1 \cdots v_n^*u_n$ belongs to $\text{Adh}_{\leq d}(L(\mathcal{A}_i))$ for $i = 1, 2$ and $\pi_d(v_j) \leq m^2$ for $j \in [1, n]$.*

Part II: Almost perfect witnesses In the second part, we place further restrictions on the structure of ideals that witness inseparability. In return, we get stronger guarantees on the shape of witness words. Using Lemma 7.7, proving Proposition 7.2 would not be difficult if for a loop pattern $u_0v_1u_1 \cdots v_nu_n$, we could guarantee witness words of the shape $u_0\bar{v}_1u_1 \cdots \bar{v}_nu_n$ with $\bar{v}_i \in \downarrow_{\leq d} v_i^*$. Let us call such witnesses *perfect*. Unfortunately, even for irreducible loop patterns, we cannot guarantee perfect witnesses: Consider the ideal $I = \downarrow_{\leq 2} a(abba)^*$. The loop pattern $a \cdot (abba) \cdot \varepsilon$ (with the loop $abba$) is clearly irreducible. Moreover, I belongs to $\text{Adh}_{\leq 2}(L)$ for $L = b\{a, b\}^*$: For $k \in \mathbb{N}$, the word $b(abba)^{k+1} \in L$ satisfies $a(abba)^k \leq_2 b(abba)^{k+1} \leq_2 a(abba)^{k+2}$, which proves $I \in \downarrow_{\leq 2}(L \cap I)$. Here, the witness words $b(abba)^{k+1}$ are not perfect because they start in b instead of a .

We shall see later that, with an extended syntax for loop patterns and an adapted notion of irreducibility, we can guarantee almost perfect witnesses. An *extended loop pattern* (for \mathcal{M}_d) is an expression of the form $u_0v_1^{[r_1]}u_1 \cdots v_n^{[r_n]}u_n$, in which $u_0v_1u_1 \cdots v_nu_n$ is a loop pattern for \mathcal{M}_d (i.e. $v_i \in (\Sigma^d)^*$ for $i \in [1, n]$) and where $r_1, \dots, r_n \in [0, d-1]$. The *ideal generated by the extended loop pattern* is defined as $\downarrow_{\leq d} u_0v_1^{[r_1]}u_1 \cdots v_n^{[r_n]}u_n$, where w_i is the length- r_i prefix of v_i for $i \in [1, n]$. Slightly abusing notation, we use $\downarrow_{\leq d} u_0v_1^{[r_1]}u_1 \cdots v_n^{[r_n]}u_n$ to denote the generated ideal. When we use such an expression with $r_i > d$, this stands for the pattern $u_1v_1^{[s_1]}u_1 \cdots v_n^{[s_n]}u_n$, where $s_i \in [0, d-1]$ and $s_i \equiv r_i \pmod{d}$.

Let us now introduce our notion of “almost perfect witnesses”. Consider an extended loop pattern $u_0v_1^{[r_1]}u_1 \cdots v_n^{[r_n]}u_n$ for \mathcal{M}_d and let w_i be the length- r_i prefix of v_i for $i \in [1, n]$. The pattern is said to be *associated* to a language L if for every $k \in \mathbb{N}$, there is a word $\bar{u}_0\bar{v}_1\bar{u}_1 \cdots \bar{v}_n\bar{u}_n \in L$ so that for every $i \in [1, n]$, we have $v_i^k w_i \leq_d \bar{v}_i$ and $\bar{v}_i \in \downarrow_{\leq d} v_i^{[r_i]}$. Moreover, $\bar{u}_0 = u_0$, $\bar{u}_n = u_n$, and for each $i \in [1, n-1]$: (i) if u_i is not empty, then $\bar{u}_i = u_i$ and (ii) if

u_i is empty, then $\bar{u}_i \in \downarrow_{\leq d} \lambda^{r_i}(v_i)^* v_{i+1}^*$. Let us call such witnesses *almost perfect*.

As in Lemma 7.6, we have a notion of irreducible extended loop patterns. Consider an extended loop pattern $u_0v_1^{[r_1]}u_1 \cdots v_n^{[r_n]}u_n$ and let w_i be the length- r_i prefix of v_i for $i \in [1, n]$. We say that this extended loop pattern is *irreducible* if

1. the corresponding loop pattern $u_0(v_1)w_1u_1 \cdots (v_n)w_nu_n$ is irreducible and
2. for each $i \in [0, n-1]$, u_i is either empty or the last letter of u_i is not contained in $\kappa_d(v_{i+1})(d)$ and
3. for each $i \in [1, n]$, u_i is either empty or the first letter of u_i is not contained in $\kappa_d(v_i)(r_i + 1)$.

Irreducible extended loop pattern admit almost perfect witnesses:

Lemma 7.8. *The ideal generated by an irreducible extended loop pattern p for \mathcal{M}_d belongs to $\text{Adh}_{\leq d}(L)$ iff p is associated to L .*

However, irreducible extended loop patterns do not guarantee perfect witnesses. In other words, we cannot guarantee $\bar{u}_i = u_i$ if $u_i = \varepsilon$ but have to allow $\bar{u}_i \in \downarrow_{\leq d} \lambda^{r_i}(v_i)^* v_{i+1}^*$. Consider, for example, the extended loop pattern $(ab)^{[0]}(cd)^{[0]}$. It is irreducible and its ideal $I = \downarrow_{\leq 2} (ab)^*(cd)^*$ belongs to $\text{Adh}_{\leq 2}((ab)^*ad(cd)^*)$, but the witness words $(ab)^k ad(cd)^k \in I$ always contain a factor $ad \in \downarrow_{\leq 2} (ab)^*(cd)^*$.

To complete the second part, we need to show that every ideal can be represented by an irreducible extended loop pattern. Moreover, the construction of the pattern should not destroy the previously established upper bound on $\pi_d(v_i)$. The following can be shown straightforwardly by choosing an extended loop pattern with a suitable minimality condition.

Lemma 7.9. *Let $x_0y_1^{[s_1]} \cdots y_\ell^{[s_\ell]}x_\ell$ be an extended loop pattern for \mathcal{M}_d for which $\pi_d(y_i) \leq B$ for every $i \in [1, \ell]$. Then there is an irreducible extended loop pattern $u_0v_1^{[r_1]}u_1 \cdots v_n^{[r_n]}u_n$ for \mathcal{M}_d generating the same ideal where also $\pi_d(v_i) \leq B$ for every $i \in [1, n]$.*

Part III: Pumping up The final part of the proof of Proposition 7.2 is to construct $\leq_{\ell, d}$ -ideals using pumping.

Lemma 7.10. *Let \mathcal{A} be a finite automaton with $\leq m$ states and let d be a multiple of $2m^3!$. If $u_0v_1^{[r_1]}u_1 \cdots v_n^{[r_n]}u_n$ is an irreducible extended loop pattern with $\pi_d(v_i) \leq m^2$ such that its ideal belongs to $\text{Adh}_{\leq d}(L(\mathcal{A}))$, then for each $\ell \in \mathbb{N}$, the ideal*

$$\downarrow_{\leq \ell, d} u_0(v_1^{[\ell]}u_1 \cdots (v_n^{[\ell]}u_n) \quad (1)$$

belongs to $\text{Adh}_{\leq \ell, d}(L(\mathcal{A}))$.

Here, the strong guarantees of associated extended loop patterns allow us to focus on two types of factors in which we must pump: factors \bar{v}_i and factors \bar{u}_i for empty u_i . One can show that repeating subfactors thereof whose length is divisible by a particular $\pi_d(v_i)$ will not lead out of the $\leq_{\ell, d}$ -ideal. Moreover, since we established in the first part that each period $\pi_d(v_i)$ is small ($\leq m^2$), we can always find a subfactor of length divisible by $\pi_d(v_i)$ that is pumpable.

7.1 Undecidability

In this section, we prove Theorem 4.6. Second-order pushdown languages are those accepted by second-order pushdown automata [23]. In order to prove that separability of second-order pushdown languages by $\mathcal{BS}_1[<, \text{mod}]$ is undecidable, we need no detailed definition of second-order pushdown automata. All we need is that their

languages form a full trio (shown by Aho [1] for the equivalent indexed grammars) and that we can construct automata for two particular types of languages. Let us describe these languages. For $w \in \{1, 2\}^*$, let $v(w)$ be the number obtained by interpreting the word as a reverse 2-adic representation. Thus, for $w \in \{1, 2\}^*$, let

$$v(\varepsilon) = 0, \quad v(1w) = 2 \cdot v(w) + 1, \quad v(2w) = 2 \cdot v(w) + 2.$$

Note that $v: \{1, 2\}^* \rightarrow \mathbb{N}$ is a bijection. In the full version of [29], it was shown¹ that given two morphisms $\alpha, \beta: \Sigma^* \rightarrow \{1, 2\}^*$, one can construct in polynomial time an indexed grammar generating $\{a^{v(\alpha(w))}b^{v(\beta(w))} \mid w \in \Sigma^+\}$. Applying a simple transduction yields

$$L_{\alpha, \beta} = \{a^{v(\alpha(w))}cb^{v(\beta(w))} \mid w \in \Sigma^+\}$$

and hence an indexed grammar for $L_{\alpha, \beta}$. The context-free language $E = \{a^n cb^n \mid n \in \mathbb{N}\}$ is also a second-order pushdown language. We apply a technique introduced by Hunt [18] and simplified by Czerwiński and Lasota [8]. The idea is to show that every decidable problem reduces to our problem in polynomial time:

Proposition 7.11. *For each decidable $D \subseteq \Gamma^*$, there is a polynomial-time algorithm that, given $u \in \Gamma^*$, computes morphisms α, β such that $L_{\alpha, \beta}$ is inseparable from E by $\mathcal{BS}_1[<, \text{mod}]$ if and only if $u \in D$.*

Thus, decidability of separability by $\mathcal{BS}_1[<, \text{mod}]$ would contradict the time hierarchy theorem (see, e.g. [26, Thm 9.10]).

Let us prove Proposition 7.11. Recall that the *Post Correspondence Problem* asks, given two morphisms $\alpha, \beta: \Sigma^* \rightarrow \{1, 2\}^*$, whether there is a word $w \in \Sigma^+$ such that $\alpha(w) = \beta(w)$. The standard undecidability proof [26] constructs, given a Turing machine M , morphisms α, β such that for $w \in \Sigma^*$, any common prefix of $\alpha(w)$ and $\beta(w)$ encodes a prefix of a computation history of M . Thus, if M is terminating, there is a bound on the length of common prefixes of $\alpha(w)$ and $\beta(w)$ for $w \in \Sigma^*$. For our decidable set D , there exists a fixed terminating Turing machine, so we can proceed as follows. Given a word $u \in \Gamma^*$, we apply the construction to compute in polynomial time morphisms $\alpha, \beta: \Sigma^* \rightarrow \{1, 2\}^*$ such that

- (i) $u \in D$ iff there is a $w \in \Sigma^+$ with $\alpha(w) = \beta(w)$ and
- (ii) there exists $k \in \mathbb{N}$ so that for every $w \in \Sigma^*$, the words $\alpha(w)$ and $\beta(w)$ have no common prefix longer than k .

We claim that $u \in D$ if and only if $L_{\alpha, \beta}$ and E are inseparable by $\mathcal{BS}_1[<, \text{mod}]$. Clearly, if $u \in D$, then the languages $L_{\alpha, \beta}$ and E intersect and cannot be separable. Suppose $u \notin D$. Then (ii) implies that $L_{\alpha, \beta}$ is included in

$$S_k = \{a^r cb^s \mid r \not\equiv s \pmod{2^{k+1}}\} \\ \cup \{a^r cb^s \mid \min(r, s) < 2^{k+1} - 1, r \neq s\}$$

because $x, y \in \{1, 2\}^*$, $|x|, |y| > k$, have a common prefix of length $> k$ iff $v(x) \equiv v(y) \pmod{2^{k+1}}$. Moreover, for $x \in \{1, 2\}^*$, we have $|x| \leq k$ iff $v(x) < 2^{k+1} - 1$. Since S_k is clearly definable in $\mathcal{BS}_1[<, \text{mod}]$ and disjoint from E , this shows that $L_{\alpha, \beta}$ and E are separable by $\mathcal{BS}_1[<, \text{mod}]$.

Acknowledgments

The author is grateful to Wojciech Czerwiński, Sylvain Schmitz, and Marc Zeitoun for discussions that yielded important insights.

This work is supported by a fellowship from the Fondation Sciences Mathématiques de Paris (FSMP) and partially funded by the

DeLTA project (ANR-16-CE40-0007). The results were partially obtained when the author was affiliated with LSV (ENS Paris-Saclay) and supported by a fellowship within the Postdoc-Program of the German Academic Exchange Service (DAAD) and by Labex Digi-Cosme, Université Paris-Saclay, project VERICONISS.

References

- [1] Alfred V. Aho. 1968. Indexed grammars—an extension of context-free grammars. *J. ACM* 15, 4 (1968), 647–671.
- [2] Ahmed Bouajjani, Javier Esparza, and Tayssir Touili. 2003. A generic approach to the static analysis of concurrent programs with procedures. *Int. J. Found. Comput. S.* 14, 04 (2003), 551–582.
- [3] Walter Bucher, Andrzej Ehrenfeucht, and David Haussler. 1985. On total regulars generated by derivation relations. *Theoretical Computer Science* 40 (1985), 131–148.
- [4] Sagar Chaki, Edmund M. Clarke, Nicholas A. Kidd, Thomas W. Reps, and Tayssir Touili. 2006. *Verifying Concurrent Message-Passing C Programs with Recursive Calls*. Springer-Verlag, Berlin Heidelberg, 334–349.
- [5] Laura Chaubard, Jean Éric Pin, and Howard Straubing. 2006. First Order Formulas with Modular Predicates. In *LICS 2006*. 211–220.
- [6] Lorenzo Clemente, Paweł Parys, Sylvain Salvati, and Igor Walukiewicz. 2016. The Diagonal Problem for Higher-Order Recursion Schemes is Decidable. In *LICS 2016*. ACM, New York, NY, USA, 96–105.
- [7] Bruno Courcelle. 1991. On constructing obstruction sets of words. *Bulletin of the EATCS* 44 (1991), 178–186.
- [8] Wojciech Czerwiński and Slawomir Lasota. 2017. Regular separability of one counter automata. In *LICS 2017*. 1–12.
- [9] Wojciech Czerwiński, Wim Martens, Larijn van Rooijen, and Marc Zeitoun. 2015. A Note on Decidable Separability by Piecewise Testable Languages. In *FCT 2015*. Springer-Verlag, Berlin Heidelberg, 173–185.
- [10] Wojciech Czerwiński, Wim Martens, Larijn van Rooijen, Marc Zeitoun, and Georg Zetsche. 2017. A Characterization for Decidable Separability by Piecewise Testable Languages. (2017). To appear in *Discrete Mathematics & Theoretical Computer Science*.
- [11] Andrzej Ehrenfeucht, David Haussler, and Grzegorz Rozenberg. 1983. On regularity of context-free languages. *Theor. Comput. Sci.* 27, 3 (1983), 311–332.
- [12] Alain Finkel and Jean Goubault-Larrecq. 2009. Forward Analysis for WSTS, Part I: Completions. In *STACS 2009*, Vol. 3. 433–444.
- [13] Jean Goubault-Larrecq and Sylvain Schmitz. 2016. Deciding Piecewise Testable Separability for Regular Tree Languages. In *ICALP 2016*.
- [14] Peter Habermehl, Roland Meyer, and Harro Wimmel. 2010. The Downward-Closure of Petri Net Languages. In *ICALP 2010*.
- [15] Matthew Hague, Jonathan Kochems, and C.-H. Luke Ong. 2016. Unboundedness and Downward Closures of Higher-order Pushdown Automata. In *POPL 2016*. ACM, New York, NY, USA, 151–163.
- [16] Leonard H. Haines. 1969. On free monoids partially ordered by embedding. *Journal of Combinatorial Theory* 6, 1 (1969), 94–98.
- [17] Graham Higman. 1952. Ordering by divisibility in abstract algebras. *P. Lond. Math. Soc.* 2 (1952), 326–336.
- [18] Harry B. Hunt III. 1982. On the decidability of grammar problems. *J. ACM* 29, 2 (1982), 429–447.
- [19] Pierre Jullien. 1969. *Contribution à l'étude des types d'ordres dispersés*. Ph.D. Dissertation. Université de Marseille.
- [20] Joseph B. Kruskal. 1972. The theory of well-quasi-ordering: A frequently discovered concept. *J. Comb. Theory A* 13, 3 (1972), 297–305.
- [21] Jérôme Leroux and Sylvain Schmitz. 2015. Demystifying Reachability in Vector Addition Systems. In *LICS 2015*. 56–67.
- [22] Zhenyue Long, Georgel Calin, Rupak Majumdar, and Roland Meyer. 2012. Language-Theoretic Abstraction Refinement. In *EASE 2012 (Lecture Notes in Computer Science)*, Vol. 7212. Springer-Verlag, 362–376.
- [23] A. N. Maslov. 1976. Multilevel stack automata. *Problems of Information Transmission* 12, 1 (1976), 38–42.
- [24] Thomas Place, Larijn van Rooijen, and Marc Zeitoun. 2013. Separating Regular Languages by Locally Testable and Locally Threshold Testable Languages. In *FSTTCS 2013*, Vol. 24. 363–375.
- [25] Imre Simon. 1975. Piecewise Testable Events. In *Proceedings of the 2nd GI Conference on Automata Theory and Formal Languages*. Springer-Verlag, Berlin Heidelberg, 214–222.
- [26] Michael Sipser. 2013. *Introduction to the Theory of Computation*. Cengage Learning.
- [27] Thomas G. Szymanski and John H. Williams. 1976. Noncanonical extensions of bottom-up parsing techniques. *SIAM J. Comput.* 5, 2 (1976), 231–250.
- [28] Jan van Leeuwen. 1978. Effective constructions in well-partially-ordered free monoids. *Discrete Mathematics* 21, 3 (1978), 237–252.
- [29] Georg Zetsche. 2015. An Approach to Computing Downward Closures. In *ICALP 2015*. Full version available at <http://arxiv.org/abs/1503.01068>.
- [30] Georg Zetsche. 2015. Computing downward closures for stacked counter automata. In *STACS 2015*, Vol. 30. 743–756.

¹To be precise, this was shown for the unreversed 2-adic representation, but the reversed case follows by just reversing the images of the morphisms.