

# Nonaffine Differential-Algebraic Curves Do Not Exist

O. V. Gerasimova and Yu. P. Razmyslov

Moscow State University, Faculty of Mechanics and Mathematics,  
 Leninskie Gory, Moscow, 119991 Russia, e-mail: ynona\_olga@rambler.ru

Moscow State University, Faculty of Mechanics and Mathematics,  
 Leninskie Gory, Moscow, 119991 Russia, e-mail: ynona\_olga@rambler.ru

Received September 5, 2016

The text is both an algebraic lesson and a Cartesian  
 masterclass (a gift of Gods, one should say)  
 for metaphysicians, metaphysists and their followers.

**Abstract**—The paper outlines why the spectrum of maximal ideals  $\text{Spec} A$  of a countable-dimensional differential  $\mathbb{C}$ -algebra  $A$  of transcendence degree 1 without zero divisors is locally analytic, which means that for any  $\mathbb{C}$ -homomorphism  $\psi_M : A \rightarrow \mathbb{C}$  ( $M \in \text{Spec}_{\mathbb{C}} A$ ) and any  $a \in A$  the Taylor series

$\tilde{\psi}_M(a) \stackrel{\text{def}}{=} \sum_{m=0}^{\infty} \psi_M(a^{(m)}) \frac{z^m}{m!}$  has nonzero radius of convergence depending on the element  $a \in A$ .

DOI: 10.3103/S0027132217030019

**1. Introduction.** Let's start with an intuitionistic and purely algebraic trick allowing one to resolve certain types of ordinary differential equations relative to the highest derivative entering those equations.

**Lemma on affine property of intermediate subalgebra.** *Let a commutative associative integral domain  $A$  be an algebra (with a unit) over an arbitrary algebraically closed field  $k$  ( $\text{char } k \geq 0$ ), and its fraction field  $Q(A)$  has over  $k$  the transcendence degree equal to 1. In this case, if in the chain of  $k$ -subalgebras  $A \subseteq C \subseteq B \subset Q(A)$  the algebras  $A$  and  $B$  contain a finite number of generators, then the same is true for the subalgebra  $C$ .*

*Proof.* Since  $B$  has a finite number of generators, then  $C$  is a countable-dimensional  $k$ -algebra. Take elements  $\{e_i | i = 1, 2, \dots\}$  in  $C$  so that they supplement the basis of the  $k$ -algebra  $A$  up to the basis of  $C$ . Assume  $C_0 \stackrel{\text{def}}{=} A$ ,  $C_{i+1} \stackrel{\text{def}}{=} C_i[e_{i+1}]$ ,  $C_{\infty} \stackrel{\text{def}}{=} B$ . Integral closures (“normalizations”) of all these finitely-generated subalgebras in  $Q(A)$  are denoted by  $\bar{C}_0, \bar{C}_1, \dots, \bar{C}_i, \dots, \bar{C}_{\infty}$ , respectively. It is well known (see [1, Ch. 2]) that each such subalgebra has a finite number of generators over  $k$ . Moreover, it was established in the proof of this fact (see [1]) that the subalgebra  $\bar{C}_i$  is finitely generated as a module over  $C_i$  and hence it is Noetherian. Show that increasing chains of  $k$ -subalgebras  $\{\bar{C}_i\}, \{C_i\}, i = 1, 2, 3, \dots$ , stabilize.

**Proposition 1.** *If in the chain of integral domains  $F \subseteq G \subset Q(F)$  the  $k$ -subalgebras  $F$  and  $G$  have a finite number of generators,  $F = \bar{F}$  (i.e.,  $F$  is integral-closed),  $\deg_k Q(F) = 1$ , then the natural mapping  $\nu : \text{Spec}_k G \rightarrow \text{Spec}_k F$  possesses the following properties:*

- a)  $\nu$  is an injective mapping;
- b) if  $\nu$  is surjective, then  $G$  coincides with  $F$ ,
- c)  $(\text{Spec}_k F) \setminus \nu(\text{Spec}_k G)$  is a finite set.

This is an exact translation of the assertion of Corollary 2 of Theorem 2 of [1, Ch. 2, § 2, p. 136] to the language of commutative algebras.

Property *b* implies that if  $\bar{C}_i \neq \bar{C}_{i+1}$ , then some maximal ideal  $M \in \text{Spec}_k \bar{C}_i$  is not raised up to an ideal in  $\text{Spec}_k \bar{C}_{i+1}$ , and hence property *a* implies that  $M \cap \bar{C}_0 \in \text{Spec}_k \bar{C}_0$  is not raised up to an ideal in  $\text{Spec}_k \bar{C}_{\infty}$ . However, due to property *c*, there are a finite number of maximal ideals in  $\bar{C}_0$  than are not raised up to an ideal in  $\text{Spec}_k \bar{C}_{\infty}$ . Therefore, in the increasing chain of “normalizations”  $\bar{C}_0 \subseteq \bar{C}_1 \subseteq \dots \bar{C}_m \subseteq \dots$  only a finite number of positions have strict inclusions, i.e.,  $\bar{C}_N = \bar{C}_{N+i}$  for sufficiently large  $N \in \mathbb{N}$  ( $i = 1, 2, \dots$ ) and  $C_N \subseteq C = \bigcup_{m=N}^{\infty} C_m \subseteq \bar{C}_N$ . As was indicated above, the subalgebra  $\bar{C}_N$  is Noetherian as a module over  $C_N$ .

Therefore, its  $C_N$ -submodule  $C$  is finitely generated and must coincide with  $C_{N+q}$  for some  $q \in \mathbb{N}$ , which proves the lemma.

The following assertions are easily derived from the above result.

**Theorem 1.** Any finitely generated differential  $k$ -algebra<sup>1</sup> without divisors of zero and having the transcendence degree equal to 1 has a finite number of generators as a commutative-associative algebra, in particular, these differential  $k$ -algebras are finitely determined<sup>2</sup>.

**Corollary 1.** The spectrum of maximal ideals  $\text{Spec}_{\mathbb{C}} A$  of an arbitrary finitely generated differential commutative-associative  $\mathbb{C}$ -algebra  $A$  without divisors of zero and having the transcendence degree equal to 1 is analytic, i.e., for any  $\mathbb{C}$ -homomorphism  $\psi_M : A \rightarrow A/M \simeq \mathbb{C}$  ( $M \in \text{Spec}_{\mathbb{C}} A$ ) and under the Taylor homomorphism  $\tilde{\psi}_M : A \rightarrow \mathbb{C}[[z]]$  ( $\tilde{\psi}_M(a) \stackrel{\text{def}}{=} \sum_{m=0}^{\infty} \psi_M(a^{(m)}) \frac{z^m}{m!}$ ) all power series converge in a certain neighborhood of zero.

**Theorem 2.** Let  $X$  be an irreducible affine algebraic curve over an algebraically closed field  $k$  and  $k[X]$  be its algebra of regular functions. In this case any  $k$ -subalgebra in  $k[X]$  is generated by a finite number of its elements.

**Corollary 2.** Let the field  $K$  have the transcendence degree 1 over an algebraically closed field  $k$  and  $\text{Der}_k K$  be the Lie algebra of all  $k$ -differentiations  $K \rightarrow K$ . In this case for any  $a_1, \dots, a_n \in K$ ,  $D_1, \dots, D_l \in \text{Der}_k K$  the least commutatively-associated  $k$ -subalgebra  $A$  in  $K$  such that  $a_1, \dots, a_m \in A$  and  $D_i(A) \subset A$  ( $i = 1, \dots, l$ ) is finitely generated.

Illustrate the above assertions by particular examples.

**2. Picard's differential algebras** (see [2]). Define the differential  $\mathbb{C}$ -algebra  $P$  by the generators  $x_1, \dots, x_n$  and  $n$  determining relations  $x'_i = f_i(x_1, \dots, x_n)$  ( $i = 1, 2, \dots, n$ ), where all  $f_i$  are arbitrary fixed elements of the algebra of polynomials  $\mathbb{C}[x_1, \dots, x_n]$ . Obviously, this algebra has no divisors of zero and it can be realized on  $\mathbb{C}[x_1, \dots, x_n]$  taking  $D \stackrel{\text{def}}{=} \sum_{i=1}^n f_i \frac{\partial}{\partial x_i}$  for the differentiation.

The spectrum of this differential algebra coincides with the affine space  $\mathbb{C}^n$ . For the coefficients of the Taylor series  $\tilde{\psi}_M(f) \stackrel{\text{def}}{=} \sum_{m=0}^{\infty} (D^m \times f)|_{x=\alpha_1, \dots, x_n=\alpha_n} \cdot \frac{z^m}{m!}$  ( $f \in \mathbb{C}[x_1, \dots, x_n]$ ,  $M \stackrel{\text{def}}{=} (\alpha_1, \dots, \alpha_n)$ ), we immediately obtain the estimate  $|(D^m \times f)|_{x=M}/m!| \leq n^m a^{m+1}$ , where  $a$  is the maximum of absolute values of the functions  $f, f_1, \dots, f_n$  and all their partial derivatives of arbitrary order at the point  $M$ . Therefore, all power series  $\tilde{\psi}_M(x_1), \dots, \tilde{\psi}_M(x_n)$  converge in a certain neighborhood of zero. The equality  $\tilde{\psi}_M(f) = f(\tilde{\psi}_M(x_1), \dots, \tilde{\psi}_M(x_n))$  implies that for any  $f \in \mathbb{C}[x_1, \dots, x_n]$  the series  $\tilde{\psi}(f)$  converges in the same neighborhood. Since any finitely generated commutative associative  $\mathbb{C}$ -algebra  $A$  with a fixed differentiation  $D \in \text{Der}_{\mathbb{C}} A$  is a homomorphic image of the Picard algebra  $P$  for an appropriate choice of  $n$  and  $f_1, \dots, f_n$ , then  $\text{Spec}_{\mathbb{C}} A$  is also analytic for any  $D \in \text{Der}_{\mathbb{C}} A$ .

**3. "Rational" differential-algebraic parameterizations of plane affine irreducible algebraic curves.** By  $X_H$  we denote the plane affine irreducible algebraic curve given by the equation  $H(x, y) = 0$  ( $H(x, y) \in k[x, y]$ ). Let  $k[X_H]$  be its algebra of regular functions over an algebraically closed field  $k$  of arbitrary characteristic. In Sections 3.1–3.3, 4 we assume that differential  $k$ -algebras ("parameterizations") defined there by differential-algebraic relations naturally contain  $k[X_H]$  as a subalgebra. Obviously, this poses certain restrictions on the irreducible polynomial  $H(x, y)$ . We directly specify necessary and sufficient conditions providing such inclusion for each of these cases:

- a)  $\frac{\partial H}{\partial y} \neq 0$  in Sections 3.1, 3.3;
- b)  $x \cdot \frac{\partial H}{\partial x} + y \cdot \frac{\partial H}{\partial y} \notin k \cdot H(x, y)$  in Section 3.2;
- c)  $(\frac{\partial H}{\partial x})^2 + (\frac{\partial H}{\partial y})^2 \neq 0$  in Section 4.

**3.1. One-generated differential-algebraic curves (proof of Theorem 1).** Define the differential  $k$ -algebra  $W_H$  with a unit by two generators  $\omega, \omega_1$  and two determining relations  $H(\omega, \omega_1) = 0$ ,  $\omega' = \omega_1$ , where  $H(\omega, \omega_1)$  is an irreducible polynomial in  $k[\omega, \omega_1]$  such that  $\frac{\partial H}{\partial \omega_1} \neq 0$ . Unfortunately, it is not known now whether this commutative-associative algebra contains divisors of zero or not. To get rid of such virtual elements (for  $\frac{\partial H}{\partial \omega_1} \neq 0$ ), consider the differential ideal  $I_H \stackrel{\text{def}}{=} \{a \in W_H \mid (\frac{\partial H}{\partial \omega_1})^m \cdot a = 0, m = m(a)\}$  in  $W_H$  and localize  $W_H$  with respect to the element  $d \stackrel{\text{def}}{=} \frac{\partial H}{\partial \omega_1} \in W_H$ . In this case the kernel of the canonical homomorphism of differential algebras  $\nu : W_H \rightarrow (W_H)_d \stackrel{\text{def}}{=} \{\frac{b}{d^k} \mid b \in W_H\}$  coincides with the ideal  $I_H$ . Assume  $\overline{W}_H \stackrel{\text{def}}{=} \nu(W_H)$ ,  $\bar{\omega} \stackrel{\text{def}}{=} \nu(\omega)$ ,  $\bar{d} \stackrel{\text{def}}{=} \nu(d)$ ,  $\bar{\omega}_1 \stackrel{\text{def}}{=} \nu(\omega_1)$ ,  $k[X_H] \stackrel{\text{def}}{=} k[\bar{\omega}, \bar{\omega}_1]$ . In this case the equality  $\bar{\omega}' = \bar{\omega}_1$  implies that  $\overline{W}_H$

<sup>1</sup>We say that a differential algebra is generated by elements  $a_1, \dots, a_m$  if it is generated as a commutative-associative algebra by all possible  $a_i^{(j)}$ ,  $i = 1, \dots, m$ ,  $j = 0, 1, 2, \dots$ , where  $a_i^{(j)}$  is the result of  $j$ -fold application of the signature differentiation to the generator  $a_i$ .

<sup>2</sup>A differential algebra is finitely determined if it is differentially isomorphic to a differential algebra determined by a finite number of differential generators and differential relations.

is differentially generated by one element  $\bar{\omega}$ , and the equality  $0 = H' = \frac{\partial H}{\partial \omega} \omega' + \frac{\partial H}{\partial \omega_1} \omega''$  shows that all the elements  $\nu(W_H) = \bar{W}_H$  lie in the commutative-associative algebra  $(W_H)_d$  generated by the three elements  $\omega, \omega_1, d^{-1} = (\omega_1 \cdot \frac{\partial H}{\partial \omega_1})^{-1}$ . This allows us to realize  $\bar{W}_H$  as a differential  $k$ -subalgebra in the field  $k(X_H)$ , where  $X_H$  is a plane irreducible affine algebraic curve given by the equation  $H(\omega, \omega_1) = 0$  ( $\frac{\partial H}{\partial \omega_1} \neq 0$ ) when we take  $D \stackrel{\text{def}}{=} \omega_1(\frac{\partial}{\partial \omega} - (\frac{\partial H}{\partial \omega} / \frac{\partial H}{\partial \omega_1}) \frac{\partial}{\partial \omega_1})$  as the differentiation.

Therefore, we obtain a chain of  $k$ -algebras  $k[X_H] \subseteq \bar{W}_H \subseteq (\bar{W}_H)_{\bar{d}} \subseteq k(X_H)$  satisfying all conditions of the lemma on affine property of the intermediate subalgebra, i.e.,  $\bar{W}_H$  is generated as a commutative-associative  $k$ -algebra by a finite number of elements. Obviously, *any one-generated differential subalgebra is a holomorphic image of  $\bar{W}_H$  in an arbitrary differential integral domain (transcendence degree equal to one) under appropriate choice of  $H(\omega, \omega_1)$  ( $\frac{\partial H}{\partial \omega_1} \neq 0$ ) and hence is finitely generated as a commutative-associative  $k$ -algebra.* Since any  $m$ -generated differential  $k$ -algebra is a product of  $m$  its one-generated differential subalgebras, this proves Theorem 1 on affine property of differential-algebraic curves. (We especially point out that these arguments are valid for fields of positive characteristic  $p$  because the equalities  $\frac{\partial H}{\partial \omega_1} \equiv 0, \frac{\partial H}{\partial \omega} \equiv 0$  imply  $H(\omega, \omega_1) = (F(\omega, \omega_1))^p$ , but this contradicts the irreducible nature of  $H$ .)

Complete this section with one simple (possibly useless, but memorable) version of Theorem 1.

**Proposition 2.** *If in a differential integral domain  $F$  over an algebraically closed field  $k$  the elements  $f$  and  $f'$  are connected by some nonzero polynomial relation  $H(f, f') = 0$  ( $H(x, y) \in k[x, y]$ ,  $H \neq 0$ ), then for some natural number  $N$  the “ $N$ th derivative”  $f^{(N)}$  can be polynomially expressed through previous  $f, f', f'', \dots, f^{(N-1)}$ .*

**Corollary 3.** *If an infinitely differentiable complex-valued function  $f(t)$  is a solution to the differential equation  $H(f, f') = 0$  on a real interval  $(a, b)$ , where  $H(x, y) \in \mathbb{C}[x, y]$  is an irreducible (nonzero) polynomial, then for some natural number  $N$  the function  $f^{(N)}(t)$  can be polynomially expressed through  $f(t), f'(t), f''(t), \dots, f^{(N-1)}(t)$ .*

**3.2. Kepler’s parameterizations of a plane curve.** Define a differential  $k$ -algebra  $G_H$  with a unit by generators  $x, y$  and two differential determining relations  $H(x, y) = 0, xy' - x'y = \sigma$ , where  $H$  is an irreducible polynomial in  $k[x, y]$  such that  $x \cdot \frac{\partial H}{\partial x} + y \cdot \frac{\partial H}{\partial y} \notin k \cdot H(x, y)$ , and  $0 \neq \sigma \in k$  (for example,  $\sigma = \hbar/m_e$ ). Resolving the system of equations  $0 = H' = \frac{\partial H}{\partial x} \cdot x' + \frac{\partial H}{\partial y} \cdot y', -yx' + xy' = \sigma$  relative to  $x', y'$ , we get  $\mathcal{L}(x, y) \cdot \begin{pmatrix} x' \\ y' \end{pmatrix} = \sigma \begin{pmatrix} -\partial H / \partial y \\ \partial H / \partial x \end{pmatrix}$ , where  $\mathcal{L} \stackrel{\text{def}}{=} \frac{\partial H}{\partial x} \cdot x + \frac{\partial H}{\partial y} \cdot y$ . If the irreducible affine curve  $X_H$  (given by the equation  $H(x, y) = 0$ ) is smooth, then the ideal generated by  $\frac{\partial H}{\partial x}, \frac{\partial H}{\partial y}$  in  $k[X_H]$  must coincide with the whole algebra. Therefore,  $a \frac{\partial H}{\partial x} + b \frac{\partial H}{\partial y} = 1$  for some  $a, b$  from  $k[X_H]$ . Thus,  $\mathcal{L} \cdot (-ax' + by') = \sigma$ , i.e., the element  $\mathcal{L}$  is invertible in  $G_H$ . This immediately implies that  $G_H$  as a commutative-associative  $k$ -algebra possesses the following properties: a) it is generated by the three its elements  $x, y, \mathcal{L}^{-1}$ ; b) it is embedded into the field  $k(X_H)$  and does not contain divisors of zero; c) it is realized as a differential subalgebra in  $k(X_H)$  relative to the differentiation  $D_H \stackrel{\text{def}}{=} \sigma \cdot \mathcal{L}^{-1}(-\frac{\partial H}{\partial y} \frac{\partial}{\partial x} + \frac{\partial H}{\partial x} \frac{\partial}{\partial y})$ . In the general case we cannot exclude the situation when  $G_H$  contains divisors of zero. Let  $I$  be an arbitrary ideal in  $G_H$  such that  $G_H/I$  has no such elements. Suppose  $I$  is not intersected with the subalgebra  $k[X_H]$  generated by  $x, y$  in  $G_H$  in nonzero way. In this case the quotient algebra  $k[X_H]/(I \cap k[X_H])$  is zero-dimensional and the integral domain  $G_H/I$  must coincide with  $k \cdot 1$ , but this contradicts the equality  $xy' - x'y = \sigma \neq 0$ . If  $I \cap k[X_H] = 0$ , then the element  $\mathcal{L} \in k[X_H]$  does not equal zero in the integral domain  $G_H/I$  and, localizing with respect to  $\mathcal{L}$ , we get  $(G_H/I)_{\mathcal{L}} = (G_H)_{\mathcal{L}}/I_{\mathcal{L}}$ . Therefore, the ideal  $I$  should coincide with the ideal  $I(H) \stackrel{\text{def}}{=} \{a \in G_H | \mathcal{L}^m \cdot a = 0 \text{ in } G_H, m = m(a)\}$ . Thus, there exists a unique integral domain  $\bar{G}_H$  given by the generators  $x, y$  and the two differential relations  $H(x, y) = 0, xy' - x'y = \sigma$  ( $\sigma \in k, \sigma \neq 0$ ) and possessing the properties a)  $\bar{G}_H$  is embedded into  $k(X_H)$  relative to the differentiation  $D_H \stackrel{\text{def}}{=} \mathcal{L}^{-1} \cdot \sigma(-\frac{\partial H}{\partial y} \frac{\partial}{\partial x} + \frac{\partial H}{\partial x} \frac{\partial}{\partial y})$ ; b) in this embedding we have  $k[X_H] \subseteq \bar{G}_H \subseteq (\bar{G}_H)_{\mathcal{L}} \subseteq k(X_H)$  and the localization  $(\bar{G}_H)_{\mathcal{L}}$  is generated as a commutative-associative  $k$ -algebra by three its elements  $x, y, \mathcal{L}^{-1}$ ; c)  $\bar{G}_H$  is a simple differential  $k$ -algebra and the signature differentiation does not vanish at any point of the spectrum  $\text{Spec}_k \bar{G}_H$ . Thus,  $X_{\bar{G}_H} = \text{Spec}_k \bar{G}_H$  is a smooth affine irreducible algebraic curve and  $\bar{G}_H$  contains  $k[X_H^{\nu}]$ , where  $X_H^{\nu}$  is the normalization of the curve  $X_H$ . This indicates that Kepler’s observer  $\bar{G}_H$  excludes from consideration all nonruled branches  $X_H^{\nu}$ , but moving slightly off the origin, i.e., to run from the origin along the line  $x = 0$  up on the “gallery.”

**3.3. Puiseux parameterization.** Consider a differential  $k$ -algebra  $P_H$  with a unit defined by the generators  $x, y$  and two differential relations  $H(x, y) = 0, x' = c$  ( $c \in k, c \neq 0$ ), where  $H(x, y)$  is an irreducible polynomial

such that  $\frac{\partial H}{\partial y} \neq 0$ . The arguments presented in two previous sections guarantee that  $P_H$  contains a unique (probably zero) differential ideal  $I \stackrel{\text{def}}{=} \{a \in P_H \mid \left(\frac{\partial H}{\partial y}\right)^m \cdot a = 0, m = m(a)\}$  such that the quotient algebra with respect to it does not contain divisors of zero. Denote it by  $\bar{P}_H$ . The equality  $0 = H' = \frac{\partial H}{\partial x}c + \frac{\partial H}{\partial y}y'$  shows that the localization  $\bar{P}_H$  with respect to the element  $\frac{\partial H}{\partial y}$  is generated as a commutative-associative  $k$ -algebra by three its elements  $x, y, \left(\frac{\partial H}{\partial y}\right)^{-1}$ , and  $\bar{P}_H$  is realized as a differential subalgebra in the field  $k(X_H)$  relative to the differentiation  $D = D(H) = c \left( \frac{\partial}{\partial x} - \left( \frac{\partial H}{\partial x} / \frac{\partial H}{\partial y} \right) \frac{\partial}{\partial y} \right)$ . In this case,  $k[X_H] \subset \bar{P}_H \subseteq (\bar{P}_H)_{\frac{\partial H}{\partial y}} \subset k(X_H)$ , and due to the uniqueness of the ideal  $I$ , the differential  $k$ -algebra  $\bar{P}_H$  is simple and its signature differentiation does not vanish at any point of  $\text{Spec}_k \bar{P}_H$  and, as well as in the previous example,  $X_{\bar{P}_H} \stackrel{\text{def}}{=} \text{Spec}_k \bar{P}_H$  is a smooth affine irreducible algebraic curve such that  $k[X_{\bar{P}_H}] = \bar{P}_H$  contains  $k[X_H^\nu]$ , where  $X_H^\nu$  is the normalization of the plane curve  $X_H$ . In this case  $\bar{P}_H$  does not exclude from consideration branches of the curve  $X_H^\nu$  such that the projection of the tangent onto the plane  $Oxy$  is parallel to the line  $x = 0$  (including nonruled branches).

**4. Fermat's parameterization (natural parameter).** Define a differential  $k$ -algebra  $F_H$  with a unit by the generators  $x, y$  and two determining relations  $H(x, y) = 0, (x')^2 + (y')^2 = c^2$  ( $\text{char } k \neq 2$ ), where  $H(x, y)$  is an irreducible polynomial such that  $\Delta \stackrel{\text{def}}{=} \left(\frac{\partial H}{\partial x}\right)^2 + \left(\frac{\partial H}{\partial y}\right)^2 \neq 0$  and  $0 \neq c \in k$ . Evidently, the signature differentiation does not vanish at any point of the spectrum  $\text{Spec}_k F_H$  of the  $k$ -algebra  $F_H$ . Therefore, if we show that any homomorphic image  $\bar{F}_H$  of the algebra  $F_H$  not containing divisors of zero has the transcendence degree equal to 1 over  $k$ , then  $\bar{F}_H$  is a simple finitely-definite differential  $k$ -algebra with an analytic spectrum. Let  $\phi : F_H \rightarrow \bar{F}_H$  be the corresponding epimorphism,  $\bar{x} \stackrel{\text{def}}{=} \phi(x), \bar{y} \stackrel{\text{def}}{=} \phi(y), k[X_H]$  be the algebra of regular functions of the plane affine curve  $X_H$  given by the equation  $H(x, y) = 0$ . It is clear that  $k[X_H]$  is isomorphic to the  $k$ -subalgebra generated by  $x, y$  and  $F_H$  and  $k[X_H] \cap \text{Ker } \phi = 0$  (otherwise the zero-dimensional subalgebra  $\phi(k[X_H])$  would generate  $\bar{F}_H$  and  $\bar{x}', \bar{y}'$  would be equal to zero, but this contradicts the equality  $(x')^2 + (y')^2 = c^2 \neq 0$ ). The equalities  $\frac{\partial H}{\partial x} \equiv 0, \frac{\partial H}{\partial y} \equiv 0$  are possible if  $\text{char } k = p > 0$ , but due to the fact that  $H(x, y)$  is irreducible, we have either  $\frac{\partial H}{\partial x} \neq 0$ , or  $\frac{\partial H}{\partial y} \neq 0$ .

Consider the case  $\frac{\partial H}{\partial y} \neq 0$ . We have  $d \stackrel{\text{def}}{=} \phi\left(\frac{\partial H}{\partial y}\right)$  is the zero element in the integral domain  $\bar{F}_H$  and the equalities  $0 = \phi(H') = \phi\left(\frac{\partial H}{\partial x}\right)\bar{x}' + \phi\left(\frac{\partial H}{\partial y}\right)\bar{y}'$  and  $(\bar{x}')^2 + (\bar{y}')^2 = c^2$  imply  $\bar{y}' = -(\phi\left(\frac{\partial H}{\partial x}\right)/d)\bar{x}', (\bar{x}')^2(1 + (\phi\left(\frac{\partial H}{\partial x}\right)/d)^2) = c^2$  in the field of fractions  $Q(\bar{F}_H)$  of the algebra  $\bar{F}_H$ . The latter relation implies that a) the  $k$ -subalgebra  $E$  generated by  $\bar{x}, \bar{y}, \bar{x}', \bar{y}'$  is contained in the "quadratic extension" of the field  $\phi(k(X_H))$ ; b)  $\bar{x}^{(i)}, \bar{y}^{(i)} \in Q(E), i = 2, 3, \dots$ . This proves that the integral domain  $\bar{F}_H$  is contained in  $Q(E)$  and  $\deg_k \bar{F}_H = \deg_k Q(E) = 1$ . According to Theorem 1, the commutative-associative  $k$ -algebra  $\bar{F}_H$  is generated by a finite number of its elements and is finitely definite as a differential  $k$ -algebra. Since the signature differentiation  $'$  does not vanish at any point  $X_{\bar{F}_H} \stackrel{\text{def}}{=} \text{Spec}_k \bar{F}_H$ , then  $\bar{F}_H$  is an integrally closed  $k$ -algebra and  $\bar{F}_H$  contains  $k[X_H^\nu]$ , where  $X_H^\nu$  is the normalization of the curve  $X_H$ .

**5. Nonaffine differential-algebraic surfaces do exist.** Define a differential  $\mathbb{C}$ -algebra  $E$  (with a unit) by the generators  $x, y$  and determining relations  $x' = 1, x^2 \cdot y' + y - x = 0$ . Assume  $\bar{x}(z) \stackrel{\text{def}}{=} z, \bar{y}(z) \stackrel{\text{def}}{=} \sum_{m=0}^{\infty} (-1)^m m! \cdot z^{(m+1)}$  and generate the differential  $\mathbb{C}$ -subalgebra  $\bar{E}$  relative to the differentiation  $\frac{d}{dz}$  by the elements  $\bar{x}, \bar{y}$  in power series  $\mathbb{C}[[z]]$ . Direct checking shows that  $\frac{d\bar{x}}{dz} = 1, \bar{x}^2 \cdot \frac{d\bar{y}}{dz} + \bar{y} - \bar{x} = 0$  in  $\mathbb{C}[[z]]$ . Therefore, the integral domain  $\bar{E}$  is a homeomorphic image of  $E$  for  $\phi : E \rightarrow \bar{E} (\phi(x) = \bar{x}, \phi(y) = \bar{y})$  and we successively obtain the following assertions:

- $\text{Ker } \phi = \{a \in E \mid x^{2m} \cdot a = 0 \ (m = m(a))\}$ ;
- for the maximal ideal  $M \in \text{Spec}_{\mathbb{C}} \bar{E}$  being the intersection of  $\bar{E}$  with the unique maximal ideal in  $\mathbb{C}[[z]]$  under the Taylor homomorphism  $\tilde{\psi}_M : \bar{E} \rightarrow \mathbb{C}[[z]]$  we have  $\tilde{\psi}_M(\bar{x}) = \bar{x}, \tilde{\psi}_M(\bar{y}) = \bar{y}$ , i.e.,  $\text{Spec}_{\mathbb{C}} \bar{E}$  is not analytic at the point  $M$ ;
- $\bar{x}, \bar{y}$  are algebraically independent over  $\mathbb{C}$  (otherwise  $\bar{E}$  would coincide with some Puiseux parameterization  $\bar{P}_H (H(x, y) \in \mathbb{C}[x, y])$  and  $\text{Spec}_{\mathbb{C}} \bar{E}$  would be analytic);
- the algebra  $\bar{E}$  can be realized in the field of rational functions  $\mathbb{C}(x, y)$  as a differential  $\mathbb{C}$ -subalgebra relative to the differentiation  $D \stackrel{\text{def}}{=} \frac{\partial}{\partial x} + \frac{x-y}{x^2} \frac{\partial}{\partial y}$ .

Thus, the differential integral domain  $\bar{E}$  has the transcendence degree equal to 2 over  $\mathbb{C}$ , its spectrum of maximal ideals is not analytic and hence  $\bar{E}$  cannot be generated by a finite number of its elements as a commutative-associative  $\mathbb{C}$ -algebra.

As exercises, we leave to the reader the verification of two more properties of the  $\mathbb{C}$ -algebra  $\bar{E}$ :

- e)  $\mathbb{C}[x, y] \subset \bar{E} \subset \mathbb{C}[x, y, x^{-1}] \subset \mathbb{C}(x, y)$ ;
- f)  $\bar{E}$  is a simple differential  $\mathbb{C}$ -algebra.

**6. Proof of Theorem 2.** Since the algebra  $k[X]$  is finitely generated, then any its  $k$ -subalgebra  $C$  is countable dimensional. If  $C$  contains the unit element of the algebra  $k[X]$ , then take a basis  $\{e_i | i = 0, 1, \dots\}$  in  $C$  so that  $e_0 \stackrel{\text{def}}{=} 1$ . Assume  $C_0 \stackrel{\text{def}}{=} k \cdot e_0$ ,  $C_{i+1} \stackrel{\text{def}}{=} C_i[e_{i+1}]$ ,  $i = 0, 1, 2, \dots$ . Since the field  $k$  is algebraically closed, then the  $k$ -algebra  $C_1$  is isomorphic to the algebra of polynomials  $k[e_1]$ . Consider the increasing chain of fraction fields  $Q(C_i)$  of  $k$ -algebras  $C_i$ . Since  $k[X]$  is finitely generated and  $\deg_k k(X) = 1$ , then the field  $k(X)$  is a finite extension of the subfield  $Q(C_1)$  and  $\dim_{Q(C_1)} Q(C_i) \leq \dim_{Q(C_1)} Q(C_{i+1}) \leq \dim_{Q(C_1)} k(X)$ . Therefore, the increasing chain of fields  $Q(C_i)$ ,  $i = 0, 1, 2, \dots$ , stabilizes beginning with some number  $N$ , i.e.,  $Q(C_N) = Q(C_{N+i})$ ,  $i = 1, 2, \dots$ . Assume  $A \stackrel{\text{def}}{=} C_N$ . In this case  $Q(A) = Q(C) \subseteq k(X)$  and the embedding  $A \subset k[X]$  defines a regular mapping  $\nu: X \rightarrow X_A \stackrel{\text{def}}{=} \text{Spec}_k A$ . Since  $\deg_k k(X) = 1$ , then the set  $X_A \setminus \nu(X)$  is finite and  $X_A$  contains a finite number of singular points. Therefore, we can take an element  $d$  in the  $k$ -algebra  $A$  so that

a) the localization  $A_d \stackrel{\text{def}}{=} A[d^{-1}] \subset Q(A)$  of the algebra  $A$  with respect to the element  $d$  is an integrally closed  $k$ -algebra;

b) the localization  $(k[X])_d$  consists of algebraic elements over  $A_d$  and any ideal from  $\text{Spec}_k A_d$  is raised up to an ideal from  $\text{Spec}_k(k[X])_d$ , in particular, up to an ideal from  $\text{Spec}_k(C_{N+i})_d$ .

Applying Proposition 1 for  $F = A_d$ ,  $G = (C_{N+i})_d$ , we conclude that  $A_d = (C_{N+i})_d = C_d$ , and we get the chain of subalgebras  $A \stackrel{\text{def}}{=} C_N \subseteq C \subseteq B \stackrel{\text{def}}{=} A_d = (C_{N+i})_d \subseteq Q(A)$  satisfying all the conditions of the lemma on affine property of the intermediate subalgebra. This completes the proof of the theorem in the case when the  $k$ -subalgebra  $C$  contains a unit.

If  $1 \notin C$ , consider the  $k$ -subalgebra  $C_{\text{id}} \stackrel{\text{def}}{=} k \cdot 1 \oplus C$ , which, according to above proof, is generated by some its elements  $e_i = \lambda_i \cdot 1 \oplus c_i$   $i = 1, \dots, m$ ,  $m = m(C)$ ,  $c_i \in C$ ,  $\lambda_i \in k$ . But in this case  $c_1, \dots, c_m \in C$  generate  $C$ . Theorem 2 is completely proved.

## ACKNOWLEDGMENTS

The authors are deeply grateful to I. R. Shafarevich for his permanent interest to the results of our research and support in the work.

## REFERENCES

1. I. R. Shafarevich, *Foundation of Algebraic Geometry* (MCCME, Moscow, 2007) [in Russian].
2. O. V. Gerasimova, G. A. Pogudin, and Yu. P. Razmyslov, "Rolling Simplexes and Their Commensurability, III (Capelli Relations and Their Applications in Differential Algebras)," *Fund. Prikl. Matem.* **19** (6), 7 (2014).

*Translated by V. Valedinskii*