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SHORTEST PATHS IN ONE-COUNTER SYSTEMS

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ABSTRACT. We show that any one-counter automaton with n states, if its language is non-empty, accepts some word of length at most $O(n^2)$. This closes the gap between the previously known upper bound of $O(n^3)$ and lower bound of $O(n^2)$. More generally, we prove a tight upper bound on the length of shortest paths between arbitrary configurations in one-counter transition systems (weaker bounds have previously appeared in the literature).

1. Introduction

Extremal combinatorial questions are ubiquitous in today's theory of computing: How many steps does an algorithm take in the worst case when traversing a data structure? How large is the most compact automaton for a formal language? While some specific questions of this form are best seen as standalone puzzles, only interesting for their own sake, others can be used as basic building blocks for more involved arguments.

We look into the following extremal problem: Given a one-counter automaton \mathcal{A} with n states, how long can the shortest word accepted by \mathcal{A} be? It is folklore that, unless the language of \mathcal{A} is empty, \mathcal{A} accepts some word of length at most polynomial in n. This fact and a number of related results of similar form have appeared as auxiliary lemmas in the

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literature on formal languages, analysis of infinite-state systems, and applications of formal methods [19, Lemma 6; 18, Section 8.1; 14, Lemma 5; 1, Lemma 11; 16, Lemmas 28 and 29; 13, Section 5]. A closer inspection reveals that the arguments behind these results deliver (or can deliver) an upper bound of $O(n^3)$, while the best known lower bound comes from examples of one-counter automata with shortest accepted words of length $\Theta(n^2)$. In other words, the true value is at least quadratic and at most cubic.

The main result of the present paper is that we close this gap by showing a quadratic upper bound, $O(n^2)$. This upper bound was previously conjectured by Wojtczak [27, Conjecture 3.3.4]. We also extend this result to a more general reachability setting: in any one-counter (transition) system with n control states, whenever there is a path from a configuration α to a configuration β —recall that configurations are pairs of the form (q,c) where $q \in Q$ is the control state, |Q| = n, and c is a counter value, a nonnegative integer—there is also a path from α to β that has length at most $O(n^2 + n \cdot \max(c_{\alpha}, c_{\beta}))$ where c_{α} and c_{β} are the counter values of α and β . We discuss our contribution in more detail in the following Section 2.

During the review process of (the journal version of) this paper, we became aware of the 1986 paper by Deléage and Pierre [12] that shows an upper bound of $2n^2 + 4n$ on the rational index of the language of balanced parentheses (the restricted Dyck language $D_1^{\prime*}$). This is an upper bound on the length of shortest words accepted by one-counter automata that have no zero tests and accept by final state and zero counter value. Upper bounds in our work apply in a more general setting, where automata may have zero tests (and, less importantly, accept by final state only). There appears to be no suitable reduction from this problem to the special case without zero tests; the natural link between the problems only yields an upper bound of $n \cdot (2n^2 + 4n) = O(n^3)$.

Related work and motivation. Reachability is a fundamental problem in theoretical computer science and in its applications in verification, notably via analysis of infinite-state systems [6; 26; 3; 21]. Among such systems, counter-based models of computation are a standard abstraction that has attracted a lot of attention [4; 15; 7]; machines with a single counter are, of course, the most basic. While our main motivation has been purely theoretical, we note that bounds on the length of shortest paths in one-counter systems have appeared as building blocks in the literature on rather diverse topics.

More specifically, a polynomial upper bound is used by Etessami, Wojtczak, and Yannakakis [14] and Stewart, Etessami, and Yannakakis [24] in an analysis of probabilistic one-counter systems (which are equivalent to so-called discrete-time quasi-birth-death processes, QBDs). Etessami et al. [14] prove that in the $(q, 1) \rightsquigarrow (q', 0)$ -reachability setting the counter does not need to grow higher than n^2 and provide examples showing that this bound is tight. However, they only deduce upper bounds of n^3 and n^4 on the length of shortest paths without and with zero tests, respectively. A simple corollary shows that if a state q can eventually reach a state q' with a non-zero probability, then this probability is lower-bounded by $p^{\text{poly}(n)}$ where p is the smallest among positive probabilities associated with transitions. This becomes a step in the proof that a (decomposed) Newton's method approximates termination probabilities of the system in time polynomial in its size, n: both for the unit-cost rational arithmetic RAM [14] and for the Turing model of computation [24]. The results of the present paper prove a conjecture stated by Wojtczak [27, Conjecture 3.3.4]

and reduce the (theoretical) worst-case upper bounds on the number of steps roughly by a factor of n.

In a subsequent work, Hofman et al. [16] reuse the auxiliary lemmas on the length of shortest paths from [14] and show that (strong and weak) trace inclusion for a one-counter system and a finite-state process is decidable in PSPACE (and is, in fact, PSPACE-complete).

One may note that a stronger upper bound of $O(n^3)$ on the length of shortest paths can be derived from the above bound on the largest needed counter value even in the presence of zero tests. This value, $O(n^3)$, seems to be a recurring theme in the literature on one-counter systems; it already appears in the pumping lemma for one-counter languages due to Latteux [20] as the pumping constant: a number N such that any accepted word longer than N can be pumped. In fact, the formulation in [20] does not permit removals of factors from an accepted word, but even such a version would only yield the same upper bound of $O(n^3)$ on the length of shortest paths. While the arguments of the present paper do not lead to an improvement in the pumping constant for one-counter languages (see Section 7), we nevertheless show that in the reachability setting the optimal value (the length of the shortest path) is actually $O(n^2)$.

A cubic upper bound on the largest needed counter value (for the reachability setting) in one-counter systems without zero tests, also known as one-counter nets, appears in the work of Lafourcade et al. [19; 18]. This result is applied in the context of the Dolev-Yao intruder model, where the question of whether a passive eavesdropper (an intruder) can obtain a piece of information is reduced to the decision problem for a deduction system. For several such systems, Lafourcade et al. show that, under certain assumptions, the problem is decidable in polynomial time. They construct a one-counter system where states represent terms from a finite set and the counter value corresponds to the number of applications of a free unary function symbol to a term. After this, the upper bound on counter values along shortest paths is extended to an upper bound on the size of terms that can be used in a minimal deductive proof; needless to say, an improvement in the upper bound extends in a natural way.

Finally, we would like to mention the work of Alur and Černý [1], who use a related model of one-counter systems with counter values in \mathbb{Z} and without zero tests. They reduce the equivalence problem for so-called streaming data-string transducers to $(q,0) \rightsquigarrow (q',0)$ -reachability in such counter systems: the transducers produce output at the end of the computation, and the counter is used to track the accumulated distance between a distinguished pair of symbols in the output. Since these transducers are designed to model list-manipulating programs (in two syntactically restricted models), decision procedures for equivalence of such programs can rely on the upper bounds for shortest paths to efficiently prune the search space. In [1], the upper bound on the path length is the familiar $O(n^3)$; this gives an upper bound on the length of smallest counterexamples to equivalence. Our upper bound of $O(n^2)$ extends to this model of counter systems too. (An equivalent question appears in the work of Ang et al. [2, Propositions 6 and 7], also with a quadratic lower bound and cubic upper bound.) The reduction to reachability in one-counter systems was recently implemented by Thakkar et al. [25] on top of ARMC, an abstraction-refinement model checker [23], for the purpose of verifying retransmission protocols over noisy channels.

2. Summary

One-counter systems. In this paper we work in the framework of one-counter systems, which are an abstract version of one-counter automata. More precisely, they are one-counter automata without input alphabet (see below).

Formally, a one-counter system (OCS) \mathcal{O} consists of a finite set of states Q, a set of non-zero transitions $T_{>0} \subseteq Q \times \{-1,0,1\} \times Q$, and a set of zero tests $T_{=0} \subseteq Q \times \{0,1\} \times Q$. A configuration of the OCS \mathcal{O} is a pair in $Q \times \mathbb{N}$. We define a binary relation \longrightarrow on the set $Q \times \mathbb{N}$ as follows: $(p,c) \longrightarrow (q,c+d)$ whenever (i) $c \geq 1$ and $(p,d,q) \in T_{>0}$ or (ii) c = 0 and $(p,d,q) \in T_{=0}$. The reflexive transitive closure of \longrightarrow is denoted by \longrightarrow^* ; we say that a configuration β is reachable from α if $\alpha \longrightarrow^* \beta$. This reachability is witnessed by a path in OCS \mathcal{O} , which is simply a path in the infinite directed graph with vertices $Q \times \mathbb{N}$ and edge relation \longrightarrow ; vertices and edges along the path can be repeated. The length of the path is the number of (not necessarily distinct) edges that occur on it.

Our contribution. We first formulate our results in terms of one-counter systems. Our first result is on paths between configurations with zero counter values.

Theorem 2.1. Let \mathcal{O} be a one-counter system with n states. Suppose a configuration $\beta = (p_{\beta}, 0)$ is reachable from a configuration $\alpha = (p_{\alpha}, 0)$ in \mathcal{O} . Then \mathcal{O} has a path from α to β of length at most $14n^2$.

Using Theorem 2.1 as a black-box, we generalize it to the case where the source and target configurations have arbitrary counter values.

Theorem 2.2. Let \mathcal{O} be a one-counter system with n states. Suppose a configuration $\beta = (p_{\beta}, c_{\beta})$ is reachable from a configuration $\alpha = (p_{\alpha}, c_{\alpha})$ in \mathcal{O} . Then \mathcal{O} has a path from α to β of length at most $14n^2 + n \cdot \max(c_{\alpha}, c_{\beta})$.

The proof of Theorem 2.1 is the main technical contribution of this work. We prove Theorem 2.1 in Section 5 and Theorem 2.2, as well as an extension to OCS with negative counter values, in Section 6.

One-counter automata. We now restate our contribution in terms of one-counter automata (which are the original motivation for this work).

Take any finite set Σ . The set of all finite words over Σ is denoted by Σ^* , and the empty word by ε . A (nondeterministic) one-counter automaton (OCA) $\mathcal A$ over the input alphabet Σ is a one-counter system where every transition $t \in T_{>0} \cup T_{=0}$ is associated with a label, $\lambda(t) \in \Sigma \cup \{\varepsilon\}$, and where some subsets $I \subseteq Q$ and $F \subseteq Q$ are distinguished as sets of initial and final states respectively. The labeling function λ is extended from transitions to edges \longrightarrow and to paths in a natural way; the automaton accepts all words that are labels of paths from $I \times \{0\}$ to $F \times \mathbb{N}$. The language of a one-counter automaton $\mathcal A$ is the set $L \subseteq \Sigma^*$ of all words accepted by $\mathcal A$.

Corollary 2.3. Let A be a nondeterministic one-counter automaton with n states. If the language of A is non-empty, then A accepts some word of length at most $14n^2$.

Proof. Take \mathcal{A} with a non-empty language and add self-loops with decrements to all final states: $(p, -1, p) \in T_{>0}$ for $p \in F$. Since the language of \mathcal{A} is non-empty, some final configuration (in $F \times \mathbb{N}$) is reachable from some initial configuration (from $I \times \{0\}$); this implies that in the modified automaton, denoted by \mathcal{A}' , a configuration $\beta = (p_{\beta}, 0), p_{\beta} \in F$, is reachable from a configuration $\alpha = (p_{\alpha}, 0), p_{\alpha} \in I$. Consider the shortest path in \mathcal{A}' between α and β : by Theorem 2.1, its length is at most $14n^2$. Take the shortest prefix of this path that contains a state from F; this path is a path in \mathcal{A} . Since the label of the path cannot be longer than the path itself, the result follows.

As a concrete example, from Corollary 2.3 it follows that any nondeterministic one-counter automaton that accepts the singleton unary language $\{a^n\}$ —a basic version of counting to n— must have at least $\Omega(\sqrt{n})$ states. This lower bound is tight and shows that nondeterminism does not help to "count to n", because deterministic one-counter automata can also do this using $\Theta(\sqrt{n})$ states [9].

Lower bounds. As we already said, the lower bound on the length of the shortest path is $\Omega(n^2)$. We present constructions of OCS that match the upper bounds of Theorems 2.1 and 2.2. Note that Examples 2.4 and 2.5 seem to use different phenomena.

Example 2.4 [14; 9]. Consider an OCS \mathcal{O}_1 with 2n states: p_1, \ldots, p_n and q_1, \ldots, q_n . Let \mathcal{O}_1 have, for $1 \leq i < n$, transitions $(p_i, +1, p_{i+1})$ and $(q_i, 0, q_{i+1})$, as well as $(q_n, -1, q_1)$ and $(p_n, 0, q_1)$. All the transitions are non-zero, except for transition $(p_1, +1, p_2)$, which is a zero test. This OCS is deterministic: every configuration has at most one outgoing transition. The only path from $(p_1, 0)$ to $(q_1, 0)$ has length n^2 .

Example 2.5 [12; 14]. Let k and m be coprime and let the OCS \mathcal{O}'_2 have states $p_0, \ldots, p_{k-1}, q_0, \ldots, q_{m-1}$, and s_1, s_2 . Let \mathcal{O}'_2 have, for all $0 \le i < k$ and $0 \le j < m$, non-zero transitions $(p_i, +1, p_{i+1 \mod k})$ and $(q_j, -1, q_{j+1 \mod m})$, a non-zero $(p_0, -1, q_1)$, and zero tests $(s_1, +1, p_1)$, $(q_0, 0, s_2)$. Now paths from $(s_1, 0)$ to $(s_2, 0)$ correspond to solutions of $x \cdot k - y \cdot m = 0$; the shortest path takes the first cycle x = m times and the second cycle y = k times. Exiting the second cycle uses an additional transition, making the length 2km + 1. Setting k = n and m = n - 1 gives an OCS \mathcal{O}_2 with 2n + 1 states where not only does the shortest path have quadratic length, but all such paths also need to use quadratic counter values.

Example 2.6. This example justifies the need for the term $n \cdot \max(c_{\alpha}, c_{\beta})$ in Theorem 2.2. Modify \mathcal{O}_1 from Example 2.4 as follows. Add states $a_1, \ldots, a_n, b_1, \ldots, b_n$ and the following non-zero transitions: $(a_n, -1, a_1)$, $(b_n, +1, b_1)$, and, for all $0 \le i < n$, $(a_i, 0, a_{i+1})$ and $(b_i, 0, b_{i+1})$. For each of these non-zero transitions, apart from $(a_n, -1, a_1)$, introduce also the same transition as a zero test. Finally, add two more zero tests: $(a_n, 0, p_1)$ and $(q_1, 0, b_1)$. Thus, the obtained OCS \mathcal{O}_3 has 4n states. Observe that every path in \mathcal{O}_3 from (a_1, c_{α}) to (b_n, c_{β}) has to go through $(a_n, 0)$ and $(b_1, 0)$ and thus has length at least $n^2 + n(c_{\alpha} + c_{\beta} + 2)$.

3. Challenges and techniques

We now discuss shortly the intuition behind our approach to proving Theorem 2.1, and where the main challenges lie.

The first, obvious observation is as follows: if some configuration appears more than once on a path, then the segment between any two appearances of this configuration can

safely be removed. If we apply this modification exhaustively, then on each "level" — a set of configurations with the same counter value — we cannot see more than n configurations. If the maximum counter value observed on some path were bounded by O(n), then we would immediately obtain a quadratic upper bound on its length. Unfortunately, this is not the case: as Example 2.5 shows, the counter values in the shortest accepting path can be as large as quadratic. Hence, applying this observation in a straightforward manner cannot lead to any upper bound better than cubic.

Instead, we perform an involved surgery on the path. The first idea is to start with a path ρ_o that is not the shortest, but uses the fewest zero tests; the observation above shows that their number is bounded by n. Each subpath between two consecutive zero tests is called an arc, and we aim at modifying each arc separately to make it short. An arc is called low if it contains only configurations with counter values at most 5n, and high otherwise. The total length of low arcs can again be bounded by $O(n^2)$ by just excluding repeated configurations, so it suffices to focus on high arcs.

Suppose ρ is a high arc. Since we observe high counter values on ρ , one can easily find a positive cycle σ^+ in the early parts of ρ , and a negative cycle σ^- in the late parts of ρ . Here by a cycle we mean a sequence of transitions that starts and ends in the same state, and the cycle is positive/negative if the total effect it has on the counter during its traversal is positive/negative. Let A be the (positive) effect of σ^+ on the counter, and -B be the (negative) effect of σ^- .

Now comes the crucial idea of the proof: we can modify ρ by pumping σ^+ and σ^- up many times, thus effectively "lifting" the central part of the path (called cap) to counter levels where there is no threat of hitting counter value zero while performing modifications (see Figure 1, p. 11). More importantly, the cap can now be unpumped "modulo $\gcd(A, B)$ " in the following sense: we can exhaustively remove subpaths between configurations that have the same state and whose counter values are congruent modulo $\gcd(A, B)$. The reason is that any change in the total effect of the cap on the counter that is divisible by $\gcd(A, B)$ can be compensated by adjusting the number of times we pump cycles σ^+ and σ^- . In particular, the length of the cap becomes reduced to at most $\gcd(A, B) \cdot n$, at the cost of pumping σ^+ and σ^- several times.

By performing this operation (we call it normalization) on all high arcs, we make them normal. After this, we apply an involved amortization scheme to show that the total length of normal arcs is at most quadratic in n. This requires very delicate arguments for bounding (i) the total length of the caps and (ii) the total length of the pumped cycles σ^+ and σ^- throughout all the normal arcs. In particular, for this part of the proof to work we need to assert a number of technical properties of normal arcs; we ensure that these properties hold when we perform the normalization. Most importantly, whenever for two arcs the corresponding cycles σ^+ (or σ^-) lie in the same strongly connected component of the system (looking at the graph induced only by non-zero transitions), we stipulate that in both arcs σ^+ (or σ^-) actually refer to the same cycle. The final amortization is based on the analysis of pairs of strongly connected components to which σ^+ and σ^- belong, for all normal arcs.

The way our proof modifies individual arcs extends the construction found in Deléage and Pierre [12]. In contrast to their work, our treatment of automata with zero tests requires a global argument, and for that a more refined modification of arcs and sophisticated global analysis seem necessary. At least as of now, arguments of this flavor (inspired by *amortized analysis* reasoning) are not typical for formal language theory and are more characteristic of the body of work on algorithms and data structures; see, e.g., [17, 11].

4. Preliminaries

In this paper \mathbb{N} stands for the set of nonnegative integers. For any set X and a word $w \in X^*$, the length of $w = x_1 \dots x_n$, denoted LEN(w), is the number n of symbols in w. For $k \in \mathbb{N}$ and a word w, by w^k we denote the word w repeated k times. For two positive integers x, y, by $\gcd(x, y)$ and $\operatorname{lcm}(x, y)$ we denote the greatest common divisor and the least common multiple of x and y, respectively. Recall that $x \cdot y = \gcd(x, y) \cdot \operatorname{lcm}(x, y)$.

We now give all definitions related to one-counter systems that we will need later. For the reader's convenience, concepts from Section 2 are defined anew.

A one-counter system (OCS) \mathcal{O} consists of a finite set of states Q, a set of non-zero transitions $T_{>0} \subseteq Q \times \{-1,0,1\} \times Q$, and a set of zero tests $T_{=0} \subseteq Q \times \{0,1\} \times Q$. The set of transitions is $T = T_{>0} \cup T_{=0}$. For a transition $t = (q,d,q') \in T$, by SRC(t) and TARG(t) we denote q and q', i.e., the source and the target state of t respectively. Further, the effect of the transition t = (q,d,q') is the number d; we write EFF(t) = d. We extend this notion to sequences of transitions: EFF($t_1 \dots t_m$) = $\sum_{i=1}^m \text{EFF}(t_i)$.

A configuration of the OCS \mathcal{O} is a pair in $Q \times \mathbb{N}$. The state of a configuration (q, c) is the state q; we also say that configuration (q, c) has state q, and write ST((q, c)) = q. The counter value of configuration (q, c) is the number c; we write CNT((q, c)) = c.

A transition $t = (q, d, q') \in T$ can be fired in a configuration $\gamma = (q, c)$ if either $t \in T_{>0}$ and c > 0 or $t \in T_{=0}$ and c = 0. In other words, zero tests can be fired only if the counter value is zero, and non-zero transitions can be fired only if the counter value is positive. The result of firing (q, d, q') in (q, c) is the configuration $\gamma' = (q', c + d)$. We then write $\gamma \xrightarrow{t} \gamma'$.

A path ρ of the OCS \mathcal{O} is a sequence of pairs

$$(\gamma_1, t_1)(\gamma_2, t_2) \dots (\gamma_m, t_m) \in ((Q \times \mathbb{N}) \times T)^*$$

such that for every $i \in \{1, \ldots, m-1\}$ we have $\gamma_i \xrightarrow{t_i} \gamma_{i+1}$ and there exists a configuration γ_{m+1} such that $\gamma_m \xrightarrow{t_m} \gamma_{m+1}$. The length of this path is m. The source of ρ , denoted by $\text{SRC}(\rho)$, is γ_1 ; we also say that ρ starts in its source. Similarly, the target of ρ , denoted by $\text{TARG}(\rho)$, is γ_{m+1} ; we say that ρ finishes in its target. Note that now the source and target are configurations, rather than individual states; the path is from its source to its target. All $\gamma_2, \ldots, \gamma_m$ are called intermediate configurations. We also say that configurations $\gamma_1, \gamma_2, \ldots, \gamma_{m+1}$ appear on ρ ; note that the target of ρ also appears on ρ . Finally, when such a path exists, the configuration γ_{m+1} is said to be reachable from the configuration γ_1 .

The projection of a path ρ is the sequence of its transitions $t_1t_2 \dots t_m$; we write $PROJ(\rho) = t_1t_2 \dots t_m$. We follow the convention of denoting paths by ρ and sequences of transitions by σ . The effect of a path ρ is $EFF(\rho) = EFF(PROJ(\rho))$. A sequence of transitions $\sigma = t_1t_2 \dots t_m$ is fireable in a configuration γ_1 if there exists a path $\rho = (\gamma_1, t_1)(\gamma_2, t_2) \dots (\gamma_m, t_m)$. This path ρ is called the fastening of σ at γ_1 , denoted $\rho = FASTEN(\gamma_1, \sigma)$. Note that in particular $PROJ(FASTEN(\gamma, \sigma)) = \sigma$ for every γ in which σ is fireable.

A sequence of transitions $t_1t_2...t_m$ is consistent if for all $i \in \{1,...,m-1\}$ it holds that $TARG(t_i) = SRC(t_{i+1})$. Note that a sequence of transitions fireable in some configuration is always consistent, but the other implication does not hold in general. We extend the notation $SRC(\cdot)$ and $TARG(\cdot)$ to consistent sequences of transitions: $SRC(t_1t_2...t_m) = SRC(t_1)$ and $TARG(t_1t_2...t_m) = TARG(t_m)$. The sources and targets of the transitions of $t_1t_2...t_m$ are visited on $t_1t_2...t_m$.

A cycle σ is a consistent sequence of non-zero transitions that starts and finishes in the same state q. This q is called the base state of the cycle σ . If the effect of σ is positive (resp.

negative), then it is a *positive* (resp. negative) cycle. A cycle σ is called *simple* if every state is visited at most once on σ , except for the base of σ , which is visited only at the start and at the end.

5. Proof of Theorem 2.1

5.1. **Proof overview and notation.** Let us fix the OCS \mathcal{O} we work with; let Q be its state set and let n = |Q|. Suppose ρ_0 is a path from α to β , and let ρ_0 be chosen such that it has the smallest possible number of configurations with counter value zero. Note that ρ_0 does not have to be the shortest path between α and β . The first step is to divide ρ_0 into subpaths, called arcs, between consecutive configurations with counter value zero. Then we modify the arcs separately. If a counter value in an arc does not exceed 5n, then we say that the arc is low, otherwise it is high. The low arcs will not be changed at all, and the reason is that we can bound quadratically the total number of configurations with counter value at most 5n using the following straightforward proposition. It is similar, in the spirit, to pumping lemmas, but simply removes a part of the path.

Proposition 5.1. Suppose $\rho = (\gamma_1, t_1)(\gamma_2, t_2) \dots (\gamma_m, t_m)$ is a path from α to β . Suppose further that for some i and j with $1 \le i < j \le m+1$ it holds that $\gamma_i = \gamma_j$, where γ_{m+1} is such that $\gamma_m \xrightarrow{t_m} \gamma_{m+1}$. Consider

$$\rho' = (\gamma_1, t_1)(\gamma_2, t_2) \dots (\gamma_{i-1}, t_{i-1})(\gamma_j, t_j)(\gamma_{j+1}, t_{j+1}) \dots (\gamma_m, t_m).$$

Then ρ' is also a path from α to β .

However, the high arcs will be heavily modified. Roughly speaking, if an arc is high, then it contains both a positive cycle near its beginning and a negative cycle near its end. We can use these cycles to pump the middle part of the path as much up as we like. Thus, the modified path will consist of a short prefix; then several iterations of a positive cycle pumping it up; then a so called *cap*: a part of the path with only high counter values; then several iterations of a negative cycle pumping it down; and finally a short suffix. We show in the sequel how to perform this construction in such a way that the total length of pumping cycles, short prefixes and suffixes, and caps is quadratic. The construction itself (with arc-level length estimates) is presented in the following Section 5.2, and the upper bound on the length of the entire path is given in Section 5.3.

Transition multigraph. One can view a transition $(p,c,q) \in Q \times \{-1,0,1\} \times Q$ also as an edge $(p,q) \in Q \times Q$ labelled by a number $c \in \{-1,0,1\}$. In the proof we will many times switch back and forth between these two perspectives. In order to keep the mathematical precision we introduce a bit of notation.

The transition multigraph $G=(V,E,\ell)$ of an OCS consists of a set of vertices V, a multiset of directed edges E, and a labeling $\ell:E\to\{-1,0,1\}$. The set V equals the set of states Q. Every non-zero transition $t=(p,c,q)\in T_{>0}$ in $\mathcal O$ gives rise to an edge $e=(u,v)\in E$ with $\ell(e)=c$. Note that the definition of the transition multigraph does not take into account zero transitions.

In the proof we pay special attention to strongly connected components (SCCs) of G. Recall that two vertices $p, q \in V$ are said to *communicate* if G has a walk from p to q and a walk from q to p. Communication is an equivalence relation, and its equivalence classes are called the strongly connected components of G. Let \mathfrak{S} be the set of all strongly connected components of G. For a strongly connected component $S \in \mathfrak{S}$, by n_S we denote the number of vertices in S. We say that a cycle σ is contained in S if each state appearing on σ belongs to S. Note that every cycle is contained in some SCC, and a simple cycle contained in S has length at most n_S . We say that an SCC S is positively enabled if it contains a cycle that has a positive effect. Similarly, S is negatively enabled if it contains a cycle that has a negative effect. Note that an SCC S can be both positively and negatively enabled.

Lemma 5.2. Let G be a transition multigraph of an OCS and S a positively (respectively, negatively) enabled SCC. Then there exists a positive (respectively, negative) cycle σ contained in S that is simple.

Proof. We prove the lemma for positively enabled SCCs; the proof for negatively enabled SCCs is symmetric. By definition, S contains a positive cycle σ . Choose σ to be the shortest such cycle; we claim that then σ is simple. Aiming towards a contradiction, suppose that some state repeats on σ . Then σ can be decomposed into two cycles σ_1, σ_2 that are strictly shorter than σ . Since σ is positive and $\text{EFF}(\sigma) = \text{EFF}(\sigma_1) + \text{EFF}(\sigma_2)$, we infer that either σ_1 or σ_2 is positive. This contradicts the minimality of σ .

For every positively enabled SCC S we distinguish one, arbitrarily chosen, simple cycle with positive effect contained in S; we denote it by σ_S^+ . Its existence is guaranteed by Lemma 5.2. Similarly, for every negatively enabled S we distinguish one simple cycle with negative effect contained in S, and we denote it by σ_S^- . The base states of these cycles are chosen arbitrarily.

5.2. Normal paths. A path is an arc if both its source and target have counter value zero, but all its intermediate configurations have counter values strictly larger than zero. An arc (or a path) is low if all its configurations (including the target) have counter values strictly smaller than 5n. An arc ρ is (S,T)-normal, where S and T are some SCCs of the transition multigraph, if it admits the following normal decomposition (see Figure 1, p. 11):

 $\rho = \rho_{\text{PREF}} \, \rho_{\text{UP}} \, \rho_{\text{CAP}} \, \rho_{\text{DOWN}} \, \rho_{\text{SUFF}},$

where

- ρ_{PREF} and ρ_{SUFF} are low;
- PROJ $(\rho_{\text{UP}}) = (\sigma_{\text{UP}})^k$ for some $k \in \mathbb{N}$, where $\sigma_{\text{UP}} = \sigma_S^+$;
- PROJ $(\rho_{\text{DOWN}}) = (\sigma_{\text{DOWN}})^{\ell}$ for some $\ell \in \mathbb{N}$, where $\sigma_{\text{DOWN}} = \sigma_T^-$;
- ST(SRC(ρ_{CAP})) is the base state of σ_{UP} ; and
- ST(TARG(ρ_{CAP})) is the base state of σ_{DOWN} .

We say that an arc ρ is *normal* if it is (S,T)-normal for some $S,T\in\mathfrak{S}$. Then a path ρ' is *normal* if it is a concatenation of normal arcs (possibly for different pairs (S,T)) and low arcs

In the remaining part of the proof we will show that if β is reachable from α , where $CNT(\alpha) = CNT(\beta) = 0$, then there exists a short normal path from α to β . We start by analyzing a single arc.

The following lemma, which is the most technically involved step in this paper, shows that we can restrict ourselves to normal arcs that have a very special structure. The proof of the lemma relies on ideas already present in Deléage and Pierre [12]; we need, however, a much more refined statement for the subsequent ("global") part of our proof (Section 5.3).

Lemma 5.3. If $CNT(\alpha) = CNT(\beta) = 0$ and there exists an arc from α to β , then there exists an arc ρ from α to β which is either low or normal. Moreover, in the case when ρ is normal, a normal decomposition $\rho = \rho_{PREF} \rho_{UP} \rho_{CAP} \rho_{DOWN} \rho_{SUFF}$ can be chosen such that:

- (i) $PROJ(\rho_{UP}) = (\sigma_{UP})^a$, $EFF(\sigma_{UP}) = A$ for some $a, A \in \mathbb{N}$;
- (ii) $PROJ(\rho_{DOWN}) = (\sigma_{DOWN})^b$, $EFF(\sigma_{DOWN}) = -B$ for some $b, B \in \mathbb{N}$;
- (iii) $a \cdot A \leq 2 \cdot \text{LEN}(\rho_{CAP}) + 2 \cdot \text{lcm}(A, B);$
- (iv) $b \cdot B \leq 2 \cdot \text{LEN}(\rho_{CAP}) + 2 \cdot \text{lcm}(A, B);$
- (v) no infix of PROJ(ρ_{CAP}) is a cycle with effect divisible by gcd(A, B);
- (vi) $CNT(TARG(\rho_{UP})), CNT(SRC(\rho_{DOWN})) > n;$ and
- (vii) all configurations appearing on ρ_{PREF} and ρ_{SUFF} are pairwise different.

We now explain some intuition behind this statement. First note that, by condition (vii), the total number of configurations appearing on ρ_{PREF} and ρ_{SUFF} is at most $5n \cdot n$, since n is the number of states of the OCS \mathcal{O} and both of these paths are low (so counter values 5n and above do not occur). Thus, $\text{LEN}(\rho_{\text{PREF}}) + \text{LEN}(\rho_{\text{SUFF}}) \leq 5n^2$. Second, we can conclude from condition (v) that every state $q \in Q$ can occur in configurations appearing in ρ_{CAP} at most gcd(A, B) times; hence, $\text{LEN}(\rho_{\text{CAP}}) \leq n \cdot \text{gcd}(A, B) \leq n^2$. Finally, condition (i) implies $\text{LEN}(\rho_{\text{UP}}) \leq a \cdot n$; if, for instance, $a \leq \text{const} \cdot n$, then $\text{LEN}(\rho_{\text{UP}}) \leq \text{const} \cdot n^2$; similarly, $\text{LEN}(\rho_{\text{DOWN}}) \leq \text{const} \cdot n^2$. Combined together, these bounds would in this case show that $\text{LEN}(\rho)$ is at most quadratic in n.

However, this reasoning would be insufficient for our purposes, since the number of normal arcs itself can be linear in n. This motivates more subtle upper bounds (iii) and (iv) and the fine-grained choice of parameter in (v). We show how to use Lemma 5.3 to obtain a quadratic upper bound on the size of the *entire* path in the following Section 5.3; the remainder of the present Section proves Lemma 5.3.

Proof. Fix configurations α and β such that $CNT(\alpha) = CNT(\beta) = 0$ and there exists an arc from α to β . If there is a low arc from α to β , then there is nothing to prove, so assume that all the arcs from α to β are not low. Let ρ_{\circ} be such an arc of the shortest possible length; then ρ_{\circ} is not low. Let

$$\rho_{\circ} = (\gamma_1, t_1) \dots (\gamma_m, t_m),$$

where $\alpha = \gamma_1$ and $\gamma_m \xrightarrow{t_m} \gamma_{m+1} = \beta$. Since ρ_o is shortest possible, from Proposition 5.1 we infer that configurations $\gamma_1, \gamma_2, \ldots, \gamma_{m+1}$ are pairwise different.

We start with a short overview. Based on ρ_{\circ} we construct a normal arc ρ from α to β satisfying the promised conditions. Roughly speaking we proceed as follows. First, we carefully define ρ_{PREF} and ρ_{SUFF} so that condition (vii) is satisfied; in this step we also fix the components $S, T \in \mathfrak{S}$ for which ρ will be (S, T)-normal. Then we construct a sequence of transitions σ_{CAP} that, after fastening it at some configuration, will form ρ_{CAP} that satisfies condition (v). Intuitively, σ_{CAP} is formed by exhaustively unpumping the middle part of ρ_{\circ} . As S, T are already fixed, so are also cycles $\sigma_{\text{UP}} = \sigma_S^+$ and $\sigma_{\text{DOWN}} = \sigma_T^-$. Hence at this point to completely define ρ it remains to choose numbers a and b. At the end we show that a, b can be chosen so that ρ is indeed a valid path, and moreover conditions (iii) and (iv) are satisfied.

Let us carry out this plan. Consider any k with $2n \le k \le 3n$. Let i_k be the smallest index for which $CNT(\gamma_{i_k}) = k$, and let j_k be the largest index for which $CNT(\gamma_{j_k}) = k$. Clearly such configurations exist, because ρ_0 is not low. It moreover holds that $i_{2n} < i_{2n+1} < \ldots < i_{3n} < j_{3n} < \ldots < j_{2n+1} < j_{2n}$. By the pigeonhole principle there exist indices k, ℓ , where

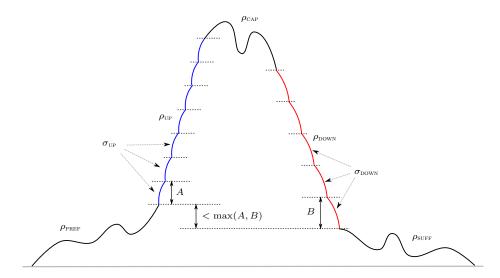


Figure 1: The normal decomposition of an arc together with some notation from the proof of Lemma 5.3.

 $k < \ell$, such that the state of γ_{i_k} equals the state of γ_{i_ℓ} . Let this state be $p \in Q$. Consider the sequence of transitions

$$\sigma_{\text{CYC}} = t_{i_k} t_{i_k+1} \dots t_{i_\ell-1}.$$

It follows that σ_{CYC} is a positive cycle with effect $\ell - k$ and base state p. Let S be the SCC of G in which σ_{CYC} is contained; the existence of σ_{CYC} asserts that S is positively enabled. Let $\sigma_{\text{UP}} = \sigma_S^+$.

Let $\tilde{\rho}_{PREF}$ be the prefix of ρ_{\circ} up to configuration γ_{i_k} (i.e., with TARG($\tilde{\rho}_{PREF}$) = γ_{i_k}). Note that we cannot simply put $\rho_{PREF} = \tilde{\rho}_{PREF}$, because the state p in which $\tilde{\rho}_{PREF}$ finishes does not have to be the base state of σ_{UP} , which is the cycle that is required to be the one used for constructing ρ_{UP} . This, however, poses no real difficulty, because p and σ_{UP} are contained in the same SCC S, so we can easily augment $\tilde{\rho}_{PREF}$ by a path to the base state of σ_{UP} as follows.

Precisely we do the following. Let q be the base state of σ_{UP} . As both p and q belong to S, there exist consistent sequences of non-zero transitions σ_{pq} and σ_{qp} , leading from p to q and from q to p, respectively, such that:

- (a) states visited on σ_{pq} are pairwise different, and the same holds also for σ_{qp} ; in particular $\text{LEN}(\sigma_{pq}), \text{LEN}(\sigma_{qp}) < n_S$;
- (b) σ_{pq} is fireable in any configuration (p,c) for any $c \geq n$; and
- (c) σ_{qp} is fireable in any configuration (q, c) for any $c \geq n$.

Assertion (a) follows from the fact that σ_{pq} and σ_{qp} can be chosen so that they correspond to simple paths in G, i.e., walks with no state repeated. Assertion (a) in particular implies that the effects of prefixes of σ_{pq} and σ_{qp} are strictly larger than -n. This implies assertions (b) and (c).

Now we construct a path ρ''_{PREF} as follows. Let ρ_{pq} be the fastening of σ_{pq} at the configuration γ_{i_k} . The state of γ_{i_k} is p and its counter value is not smaller than 2n, so indeed σ_{pq} is fireable from γ_{i_k} ; even more, since $\text{LEN}(\sigma_{pq}) < n$ and $\text{CNT}(\gamma_{i_k}) \ge 2n$, all the counter

values on ρ_{pq} are larger than n. We define then

$$\rho_{\text{PREF}}'' = \tilde{\rho}_{\text{PREF}} \rho_{pq} = (\gamma_1, t_1) \cdots (\gamma_{i_k-1}, t_{i_k-1}) \rho_{pq}.$$

We construct path ρ''_{SUFF} in a completely symmetric manner, so we only make a short summary in order to introduce the notation. By the pigeonhole principle, for some $\bar{\ell}, \bar{k}$ with $\bar{\ell} < \bar{k}$ the state of $\gamma_{j\bar{\ell}}$ and $\gamma_{j\bar{k}}$ is the same, let it be \bar{p} . The part of the path between $\gamma_{j\bar{\ell}}$ and $\gamma_{j\bar{k}}$ projects to a negative cycle, so it is contained in some negatively enabled SCC T, to which \bar{p} also belongs. Define $\sigma_{\text{DOWN}} = \sigma_T^-$, and let \bar{q} be the base state of σ_{DOWN} . As \bar{p} and \bar{q} both belong to T, we have $\sigma_{\bar{q}\bar{p}}$ and $\sigma_{\bar{p}q}$ with similar properties as σ_{pq} and σ_{qp} . Path $\rho_{\bar{q}\bar{p}}$ can be again defined as an appropriate fastening of $\sigma_{\bar{q}\bar{p}}$, so we define

$$\rho_{\text{SUFF}}'' = \rho_{\bar{q}\bar{p}} \left(\gamma_{j_{\bar{k}}}, t_{j_{\bar{k}}} \right) \cdots \left(\gamma_m, t_m \right).$$

Let $A = \text{EFF}(\sigma_{\text{UP}})$ and $B = -\text{EFF}(\sigma_{\text{DOWN}})$. Now, based on ρ''_{PREF} and ρ''_{SUFF} we define ρ'_{PREF} and ρ'_{SUFF} as follows. Observe that $\text{CNT}(\text{TARG}(\rho''_{\text{PREF}})) = k + \text{EFF}(\sigma_{pq}) > 2n - n = n$, and similarly $\text{CNT}(\text{SRC}(\rho''_{\text{SUFF}})) > n$. Suppose first that $\text{CNT}(\text{TARG}(\rho''_{\text{PREF}})) \leq \text{CNT}(\text{SRC}(\rho''_{\text{SUFF}})) - A$. Then we take $\rho'_{\text{SUFF}} = \rho''_{\text{SUFF}}$, whereas ρ'_{PREF} is obtained from ρ''_{PREF} by appending the cycle σ_{UP} a number of times so that $\text{CNT}(\text{SRC}(\rho'_{\text{SUFF}})) - A < \text{CNT}(\text{TARG}(\rho'_{\text{PREF}})) \leq \text{CNT}(\text{SRC}(\rho'_{\text{SUFF}}))$. Similarly, if $\text{CNT}(\text{TARG}(\rho''_{\text{PREF}})) \geq \text{CNT}(\text{SRC}(\rho''_{\text{SUFF}})) + B$, then we take $\rho'_{\text{PREF}} = \rho''_{\text{PREF}}$ whereas ρ'_{SUFF} is constructed from ρ''_{SUFF} by appending σ_{DOWN} a number of times in the front so that $\text{CNT}(\text{TARG}(\rho'_{\text{PREF}})) - B < \text{CNT}(\text{SRC}(\rho'_{\text{SUFF}})) \leq \text{CNT}(\text{TARG}(\rho'_{\text{PREF}}))$. If none of these cases holds, we simply take $\rho'_{\text{PREF}} = \rho''_{\text{PREF}}$ and $\rho'_{\text{SUFF}} = \rho''_{\text{SUFF}}$. Since σ_{UP} , σ_{DOWN} have lengths at most n, and the first one is a positive cycle whereas the second one is a negative cycle, it can be easily verified that ρ'_{PREF} and ρ'_{SUFF} are indeed valid paths; here we use the property that $\text{CNT}(\text{TARG}(\rho''_{\text{PREF}})) > n$ and $\text{CNT}(\text{SRC}(\rho''_{\text{SUFF}})) > n$ in order to make sure that appending the cycles does not create nonpositive counter values on the path. Moreover, we achieved the property that $|\text{CNT}(\text{TARG}(\rho'_{\text{PREF}})) - \text{CNT}(\text{SRC}(\rho'_{\text{SUFF}}))| < \text{max}(A, B)$.

Finally, we obtain ρ_{PREF} by applying Proposition 5.1 to ρ'_{PREF} exhaustively. In this manner ρ_{PREF} has still the same source and target as ρ'_{PREF} , but no configuration repeats on ρ_{PREF} . Similarly, ρ_{SUFF} is obtained from ρ'_{SUFF} by applying Proposition 5.1 exhaustively, so that no configuration repeats on ρ_{SUFF} .

Let $\zeta = \text{TARG}(\rho_{\text{PREF}}) = \text{TARG}(\rho'_{\text{PREF}})$ and $\bar{\zeta} = \text{SRC}(\rho_{\text{SUFF}}) = \text{SRC}(\rho'_{\text{SUFF}})$. We now verify that ρ_{PREF} and ρ_{SUFF} are as required.

Claim 5.4. The following conditions hold:

- (a) paths ρ_{PREF} and ρ_{SUFF} are low;
- (b) the counter values in configurations appearing on ρ_{PREF} and ρ_{SUFF} are always positive, apart from the source of ρ_{PREF} (which is α) and the target of ρ_{SUFF} (which is β);
- (c) $CNT(\zeta), CNT(\zeta) > n$;
- (d) $|\text{EFF}(\rho_{\text{PREF}}) + \text{EFF}(\rho_{\text{SUFF}})| < \max(A, B);$
- (e) property (vii) is satisfied.

Proof. By the definition of k, all the configurations appearing on $\tilde{\rho}_{\text{PREF}}$ have counter values at most 3n. Since the counter value of configuration γ_{i_k} is not smaller that 2n and not larger than 3n, and $|\text{EFF}(\sigma_{pq})| \leq \text{LEN}(\sigma_{pq}) < n$, we infer that the counter value on the path ρ''_{PREF} is always strictly smaller than 4n. As $\text{LEN}(\sigma_{\text{UP}}) < n$, it can be easily seen that appending the cycles during the construction of ρ'_{PREF} cannot create counter values larger than 5n-1. Hence ρ'_{PREF} is low, and consequently ρ_{PREF} is also low. A symmetric reasoning shows the same conclusions for ρ_{SUFF} , and thus condition 5.4(a) is satisfied.

Since ρ_o is an arc, no configuration on $\tilde{\rho}_{PREF}$ apart from α has nonpositive counter value. As $CNT(\gamma_{i_k}) \geq 2n$, we have already argued that both after adding σ_{pq} when constructing ρ''_{PREF} , and after adding cycles σ_{UP} when constructing ρ'_{PREF} , we could not obtain a configuration with a nonpositive counter value. Hence the only configuration on ρ'_{PREF} that has zero counter value is α , and the same holds also for ρ_{PREF} . A symmetric reasoning yields a symmetric conclusion for ρ_{SUFF} , which proves condition 5.4(b).

From the construction we have $CNT(\zeta) \geq CNT(TARG(\rho''_{PREF}))$, and we already argued that $CNT(TARG(\rho''_{PREF})) > n$. Hence $CNT(\zeta) > n$, and a symmetric reasoning shows that $CNT(\bar{\zeta}) > n$. This proves condition 5.4(c).

Observe that $\text{EFF}(\rho_{\text{PREF}}) = \text{CNT}(\zeta)$ and $\text{EFF}(\rho_{\text{SUFF}}) = -\text{CNT}(\bar{\zeta})$. Hence, condition 5.4(d) follows from $|\text{CNT}(\zeta) - \text{CNT}(\bar{\zeta})| < \max(A, B)$.

For condition 5.4(e), aiming towards a contradiction suppose that some configuration γ appears more than once on ρ_{PREF} and ρ_{SUFF} . By construction, no configuration repeats on ρ_{PREF} and on ρ_{SUFF} individually, so one of the appearances of γ is on ρ_{PREF} and the second is on ρ_{SUFF} . Define a path ρ_1 by concatenating the prefix of ρ_{PREF} up to the appearance of γ together with the suffix of ρ_{SUFF} beginning from the appearance of γ . Clearly, ρ_1 is an arc from α to β , and moreover it is low because both ρ_{PREF} and ρ_{SUFF} are low. This contradicts the assumption that there is no low arc from α to β .

The intuition now is that by repeating σ_{UP} and σ_{DOWN} appropriately many times (i.e., selecting numbers a and b) we can choose any difference of effects of $\rho_{\text{PREF}}\rho_{\text{UP}}$ and $\rho_{\text{DOWN}}\rho_{\text{SUFF}}$, as long as this difference belongs to a fixed congruence class modulo $\gcd(A, B)$. This means that the middle part of the path ρ_{\circ} can be unpumped "modulo $\gcd(A, B)$ ": even if we change its effect by a multiple of $\gcd(A, B)$, we will be able to compensate for this change by adjusting a and b.

We now proceed to showing how the middle part of the path, i.e., ρ_{CAP} , will be constructed. Intuitively, the idea is to take the part from ρ_{\circ} between indices k and \bar{k} , augment it with short connectives σ_{qp} and $\sigma_{\bar{p}\bar{q}}$ to link it with the cycles σ_{UP} and σ_{DOWN} , and unpump it "modulo $\gcd(A,B)$ " exhaustively. However, during further constructions we need certain divisibility properties of $\text{EFF}(\sigma_{\text{CAP}})$, and hence the construction of the connections to σ_{UP} and σ_{DOWN} is more complicated.

Claim 5.5. There exists a sequence of transitions σ_{CAP} such that

- (a) σ_{CAP} starts in q and finishes in \bar{q} (base states of σ_{UP} and σ_{DOWN} respectively);
- (b) no infix of σ_{CAP} is a cycle with effect divisible by gcd(A, B); and
- (c) $\text{EFF}(\rho_{\text{PREF}}) + \text{EFF}(\sigma_{\text{CAP}}) + \text{EFF}(\rho_{\text{SUFF}})$ is divisible by $\gcd(A, B)$.

Proof. The construction is depicted in Figure 2. Let $\sigma_{\text{PRE-CONN}} = \sigma_{pq}\sigma_{qp}$ and $\sigma_{\text{POST-CONN}} = \sigma_{p\bar{q}}\sigma_{q\bar{p}}$. We set

$$\sigma_{ ext{PRE}} = \sigma_{qp} \left(\sigma_{ ext{PRE-CONN}}
ight)^c \ \sigma_{ ext{POST}} = \left(\sigma_{ ext{POST-CONN}}
ight)^c \sigma_{ar{p}ar{q}},$$

where $c = \gcd(A, B) - 1$. It is easy to verify that σ_{PRE} , σ_{POST} are consistent and

$$\mathrm{EFF}(\sigma_{\mathrm{PRE}}) + \mathrm{EFF}(\sigma_{pq}) = (c+1) \cdot \mathrm{EFF}(\sigma_{\mathrm{PRE-CONN}}) \equiv 0 \mod \gcd(A, B), \tag{E.1}$$

$$\text{EFF}(\sigma_{\text{POST}}) + \text{EFF}(\sigma_{\bar{q}\bar{p}}) = (c+1) \cdot \text{EFF}(\sigma_{\text{POST-CONN}}) \equiv 0 \mod \gcd(A, B). \tag{E.2}$$

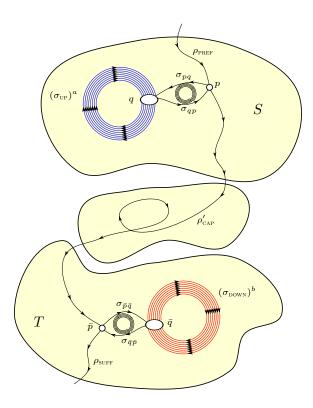


Figure 2: The construction of ρ'_{CAP} in the first part of the proof of Claim 5.5. Note that cycles $(\sigma_{\text{PRE-CONN}})^c$ and $(\sigma_{\text{POST-CONN}})^c$ are depicted symbolically as small spirals.

Recall that the overall arc $\rho_{\circ} = (\gamma_1, t_1) \dots (\gamma_m, t_m)$ visits the states p and \bar{p} (which were defined on pages 11–12); the sequence of transitions

$$\sigma_{\text{MIDD}} = t_{i_k} t_{i_k+1} \cdots t_{j_{\bar{k}}-1}$$

is the fragment between these two visits (more precisely, σ_{MIDD} can be obtained by removing appropriate prefix and suffix from the sequence of transitions of ρ_{\circ}). Define $\sigma'_{\text{CAP}} = \sigma_{\text{PRE}}\sigma_{\text{MIDD}}\sigma_{\text{POST}}$. We now verify that conditions 5.5(a) and 5.5(c) are satisfied for σ'_{CAP} . Condition 5.5(a) follows directly from the construction. For condition 5.5(c), observe that from the construction of ρ_{PREF} and ρ_{SUFF} we have

$$\begin{aligned} & \text{EFF}(\rho_{\text{PREF}}) = \text{EFF}(\rho'_{\text{PREF}}) = \text{EFF}(t_1 t_2 \dots t_{i_k-1}) + \text{EFF}(\sigma_{pq}) + x \cdot A \\ & \text{EFF}(\rho_{\text{SUFF}}) = \text{EFF}(\rho'_{\text{SUFF}}) = \text{EFF}(t_{j_{\bar{k}}} t_{j_{\bar{k}}+1} \dots t_m) + \text{EFF}(\sigma_{\bar{q}\bar{p}}) - y \cdot B \end{aligned}$$

for some $x, y \in \mathbb{N}$. Hence, from (E.1), (E.2) and the fact that $\text{EFF}(\rho_0) = 0$, it follows that

$$\mathrm{EFF}(\rho_{\mathrm{PREF}}) + \mathrm{EFF}(\sigma'_{\mathrm{CAP}}) + \mathrm{EFF}(\rho_{\mathrm{SUFF}}) \equiv$$

$$\mathrm{EFF}(\rho_{\circ}) + \mathrm{EFF}(\sigma_{pq}) + \mathrm{EFF}(\sigma_{\bar{q}\bar{p}}) + \mathrm{EFF}(\sigma_{\mathrm{PRE}}) + \mathrm{EFF}(\sigma_{\mathrm{POST}}) \equiv 0 \mod \gcd(A, B).$$

So condition 5.5(c) indeed holds for σ'_{CAP} .

We now take condition 5.5(b) into consideration. Define σ_{CAP} to be any of the shortest possible consistent sequences of transitions satisfying conditions 5.5(a) and 5.5(c); the existence of σ'_{CAP} implies that there exists such a sequence, so σ_{CAP} is well-defined. Let $\sigma_{\text{CAP}} = t_1 \dots t_r$. Assume towards contradiction that σ_{CAP} does not satisfy condition 5.5(b).

Then there is some infix $t_{i+1} cdots t_j$ that is a cycle and its effect is divisible by gcd(A, B). It is easy to observe that sequence $\sigma' = t_1 \cdots t_i t_{j+1} \cdots t_m$ is consistent, $SRC(\sigma') = q$, $TARG(\sigma') = \bar{q}$ and $EFF(\sigma') - EFF(\sigma) \equiv 0 \mod gcd(A, B)$. Hence σ' satisfies conditions 5.5(a) and 5.5(c) while being strictly shorter than σ_{CAP} . This contradicts the minimality (shortness) of σ_{CAP} and proves that σ_{CAP} satisfies condition 5.5(b).

Note that from condition 5.5(b) it follows that $\text{LEN}(\sigma_{\text{CAP}}) \leq \text{gcd}(A, B) \cdot n$. In the final construction this condition will directly imply that ρ_{CAP} will satisfy property (v), since ρ_{CAP} will be simply σ_{CAP} fastened at some configuration.

We denote

$$L = \text{LEN}(\sigma_{\text{CAP}}),$$

 $K = \text{EFF}(\rho_{\text{PREF}}) + \text{EFF}(\sigma_{\text{CAP}}) + \text{EFF}(\rho_{\text{SUFF}}).$

Recall that gcd(A, B) divides K. Moreover, from condition 5.4(d) we know that

$$|K| \le |\text{EFF}(\sigma_{\text{CAP}})| + |\text{EFF}(\rho_{\text{PREF}}) + \text{EFF}(\rho_{\text{SUFF}})| < L + \max(A, B).$$
 (E.3)

Having defined ρ_{PREF} , ρ_{SUFF} and σ_{CAP} , we proceed to defining ρ_{UP} and ρ_{DOWN} . For this, we need to define $a, b \in \mathbb{N}$: the numbers of times the cycles σ_{UP} and σ_{DOWN} are repeated on ρ_{UP} and ρ_{DOWN} . As described earlier, they have to be chosen so that the resulting path ρ is valid and has zero effect, but they also need to be reasonably small so that conditions (iii) and (iv) are satisfied. We now prove that this is always possible.

Claim 5.6. There exist $a, b \in \mathbb{N}$ such that the following conditions hold:

- (a) $a \cdot A b \cdot B = -K$;
- (b) $L \leq a \cdot A, b \cdot B \leq 2L + 2 \cdot \text{lcm}(A, B)$.

Proof. Bézout's identity states that there exist some integers x_0, y_0 such that $x_0 \cdot A - y_0 \cdot B = \gcd(A, B)$. Since K is divisible by $\gcd(A, B)$, we can take $x = x_0 \cdot (-K/\gcd(A, B))$ and $y = y_0 \cdot (-K/\gcd(A, B))$ so that $x \cdot A - y \cdot B = -K$. Moreover, by increasing x by $M \cdot B$ and increasing y by $M \cdot A$, for a sufficiently large integer M, we can further assume that $x, y \ge 0$. Suppose then that (x, y) is a pair of nonnegative integers satisfying $x \cdot A - y \cdot B = -K$ for which x + y is the smallest possible. We claim that $x \cdot A, y \cdot B \le |K| + \operatorname{lcm}(A, B)$.

Aiming towards a contradiction, suppose that $x \cdot A > |K| + \operatorname{lcm}(A, B)$. Then in particular $x > \operatorname{lcm}(A, B)/A = B/\gcd(A, B)$. Also, $y \cdot B = x \cdot A + K > \operatorname{lcm}(A, B)$, and hence $y > \operatorname{lcm}(A, B)/B = A/\gcd(A, B)$. Consider $x' = x - B/\gcd(A, B)$ and $y = y' - A/\gcd(A, B)$. Then we have $x', y' \ge 0$ and it can be easily verified that $x' \cdot A - y' \cdot B = -K$. As x' + y' < x + y, this contradicts the minimality of x + y. Hence indeed $x \cdot A \le |K| + \operatorname{lcm}(A, B)$, and symmetrically we also prove that $y \cdot B \le |K| + \operatorname{lcm}(A, B)$. Note that by (E.3) the inequalities $|K| + \operatorname{lcm}(A, B) \le L + \max(A, B) + \operatorname{lcm}(A, B) \le L + 2 \cdot \operatorname{lcm}(A, B)$ hold.

It remains to define a, b based on x, y so that the lower bound on $a \cdot A$ and $b \cdot B$ holds. If already $x \cdot A, y \cdot B \geq L$, then we can take (a, b) = (x, y); assume therefore that this is not the case. For $i \in \mathbb{N}$, let

$$a_i = x + i \cdot (\operatorname{lcm}(A, B)/A)$$
 and $b_i = y + i \cdot (\operatorname{lcm}(A, B)/B)$.

Clearly $a_i, b_i \geq 0$, and it is easy to verify that $a_i \cdot A - b_i \cdot B = -K$ for each $i \in \mathbb{N}$. It therefore only suffices to show that there exists i such that $L \leq a_i \cdot A, b_i \cdot B \leq 2L + 2 \cdot \text{lcm}(A, B)$. Let i be the smallest nonnegative integer so that $a_i \cdot A \geq L$ and $b_i \cdot B \geq L$; then i > 0. Suppose that $K \geq 0$; the other case is symmetric. As $a_i \cdot A - b_i \cdot B = -K \leq 0$, we have $a_i \cdot A \leq b_i \cdot B$; by our definition of a_i and b_i , similarly $a_{i-1} \cdot A \leq b_{i-1} \cdot B$. Since by the minimality of i

either $a_{i-1} \cdot A < L$ or $b_{i-1} \cdot B < L$ holds, we deduce that $a_{i-1} \cdot A < L$ in both cases, and it follows that $a_i \cdot A < L + \text{lcm}(A, B)$. Now

$$b_i \cdot B = K + a_i \cdot A < (L + \max(A, B)) + (L + \operatorname{lcm}(A, B)) \le 2L + 2 \cdot \operatorname{lcm}(A, B).$$

Thus we can take $(a, b) = (a_i, b_i)$. The case K < 0 is symmetric.

Let us fix the numbers $a, b \in \mathbb{N}$ given by Claim 5.6. We are finally ready to define the whole path ρ . Define ρ_{UP} as $(\sigma_{\text{UP}})^a$ fastened at configuration ζ . Symmetrically we define ρ_{DOWN} as $(\sigma_{\text{DOWN}})^b$ fastened at $(\bar{q}, b \cdot B + \text{CNT}(\bar{\zeta}))$, so that its target is $\bar{\zeta}$. Finally, let ρ_{CAP} be σ_{CAP} fastened at TARG (ρ_{UP}) , and define

$$\rho = \rho_{\text{PREF}} \rho_{\text{UP}} \rho_{\text{CAP}} \rho_{\text{DOWN}} \rho_{\text{SUFF}}.$$

Note that in this definition we did not verify properly that appropriate sequences of transitions are fireable at certain configurations. We perform this check in the next claim.

Claim 5.7. ρ is a normal arc from α to β .

Proof. First, condition 5.4(b) ensures that on ρ_{PREF} all the configurations have positive counter values apart from the source configuration α . Similarly, on ρ_{SUFF} all the configurations have positive counter values apart from the target configuration β . Condition 5.4(c) asserts that $\text{CNT}(\zeta) > n$, so cycle σ_{UP} is fireable at ζ because $\text{LEN}(\sigma_{\text{UP}}) \leq n$. Since σ_{UP} is a positive cycle, it can be easily seen that also $(\sigma_{\text{UP}})^a$ is fireable at ζ , and moreover on ρ_{UP} we do not obtain any configuration with zero counter value. A symmetric reasoning shows that $(\sigma_{\text{DOWN}})^b$ is fireable at $(\bar{q}, b \cdot B + \text{CNT}(\bar{\zeta}))$ so that its target is $\bar{\zeta}$ and $\rho_{\text{DOWN}}\rho_{\text{SUFF}}$ is a valid path with β being the only configuration with zero counter value.

Now observe that $CNT(TARG(\rho_{UP})) = EFF(\rho_{PREF}) + a \cdot A$, which is strictly larger than L by condition 5.6(b). Since $LEN(\sigma_{CAP}) = L$ and $SRC(\sigma_{CAP}) = q = ST(TARG(\rho_{UP}))$, we see that indeed σ_{CAP} is fireable at $TARG(\rho_{UP})$, and moreover on ρ_{CAP} all the configurations have positive counter values.

To conclude that ρ is an arc from α to β , it remains to verify that $TARG(\rho_{CAP}) = SRC(\rho_{DOWN})$. Both these configurations have state \bar{q} , so we need to verify that their counter values are equal. However, using condition 5.6(a) we have the following:

$$\begin{aligned} \text{CNT}(\text{TARG}(\rho_{\text{CAP}})) &= \text{EFF}(\rho_{\text{PREF}}) + \text{EFF}(\rho_{\text{UP}}) + \text{EFF}(\rho_{\text{CAP}}) \\ &= a \cdot A + K - \text{EFF}(\rho_{\text{SUFF}}) = b \cdot B - \text{EFF}(\rho_{\text{SUFF}}) \\ &= -\text{EFF}(\rho_{\text{DOWN}}) - \text{EFF}(\rho_{\text{SUFF}}) = \text{CNT}(\text{SRC}(\rho_{\text{DOWN}})). \end{aligned}$$

Hence indeed ρ is an arc from α to β , normal by construction (as $ST(SRC(\rho_{CAP}))$) and $ST(TARG(\rho_{CAP}))$ are the base states of σ_{UP} and σ_{DOWN} respectively).

We summarize the properties of ρ that are required in the lemma statement. Properties (i) and (ii) follow directly from the construction. Properties (iii) and (iv) follow from our choice of a and b, in particular from condition 5.6(b). Property (v) follows from condition 5.5(b) and the fact that $\sigma_{\text{CAP}} = \text{PROJ}(\rho_{\text{CAP}})$. Property (vi) follows from condition 5.4(c) and the fact that σ_{UP} and σ_{DOWN} are a positive and a negative cycle, respectively. Finally, property (vii) follows from condition 5.4(e). This concludes the proof of Lemma 5.3.

5.3. Length of shortest paths. Let α and β be such as in the statement of Theorem 2.1. Let ρ_{\circ} be a path from α to β that has the minimum possible number of intermediate configurations with counter value zero. Let all these intermediate configurations with counter value zero be $\gamma_2, \ldots, \gamma_k$, where $\gamma_1 = \alpha$ and $\gamma_{k+1} = \beta$. For $i = 1, 2, \ldots, k$, let ρ_{\circ}^i be the subpath of ρ_{\circ} between configurations γ_i and γ_{i+1} . Then ρ_{\circ}^i is an arc from γ_i to γ_{i+1} . By Lemma 5.3, there exists also an arc ρ^i from γ_i to γ_{i+1} that is either low or is normal and admits a normal decomposition satisfying properties (i)–(vii). If ρ^i is low, choose ρ^i to be the shortest possible low arc from γ_i to γ_{i+1} . If ρ^i is normal, let

$$\rho^i = \rho^i_{\text{PREF}} \, \rho^i_{\text{UP}} \, \rho^i_{\text{CAP}} \, \rho^i_{\text{DOWN}} \, \rho^i_{\text{SUFF}}$$

be its normal decomposition. Our goal for the rest of the proof (i.e., for this Section) is to show that $\rho = \rho^1 \dots \rho^k$, which is clearly a path from α to β , has length at most $14n^2$. Note that ρ has the same number of configurations with counter value zero as ρ_0 . Let $\mathcal{N} \subseteq \{1, 2, \dots, k\}$ be the set of indices i for which ρ^i is normal, and let $\mathcal{L} = \{1, 2, \dots, k\} \setminus \mathcal{N}$ be the set of indices i for which ρ^i is low.

First we show that the sum of the lengths of low parts of ρ (more precisely, of low arcs, of ρ_{PREF}^i and ρ_{SUFF}^i) is small. For this, Proposition 5.1 will be very useful.

Lemma 5.8. The following inequality holds:

$$\sum_{i \in \mathcal{L}} \text{LEN}(\rho^i) + \sum_{i \in \mathcal{N}} (\text{LEN}(\rho^i_{\text{PREF}}) + \text{LEN}(\rho^i_{\text{SUFF}})) \le 5n^2.$$

Proof. For every $i \in \mathcal{L}$, no configuration appears on ρ^i more than once, because in such a case ρ^i could be made shorter using Proposition 5.1 without spoiling the property that it is low, which would contradict the assumption that ρ^i is the shortest possible. For every $i \in \mathcal{N}$, property (vii) of Lemma 5.3 ensures that no configuration appears more than once on the paths ρ^i_{PREF} and ρ^i_{SUFF} . Suppose that some configuration γ appears both in ρ^i and in ρ^j , for some i < j. Then by applying Proposition 5.1 to configuration γ in the path ρ , we would obtain a path from α to β with a strictly smaller number of intermediate configurations with counter value zero, which would contradict our choice of ρ_0 .

Hence, we conclude that among configurations appearing on paths from the set $\{\rho^i: i \in \mathcal{L}\} \cup \{\rho_{\text{PREF}}^i, \rho_{\text{SUFF}}^i: i \in \mathcal{N}\}$, no configuration appears more than once. Since all these paths are low, all these configurations have counter values between 0 and 5n-1. Hence, the total number of configurations appearing on these paths is at most $n \cdot 5n = 5n^2$, which concludes the proof.

Now we will estimate the length of the rest of the path ρ . First, however, we have to prepare a toolbox of lemmas. We introduce the following notation. For $S, T \in \mathfrak{S}$, let $\mathcal{N}_{(S,T)} \subseteq \mathcal{N}$ be the set of all those indices i for which ρ^i is (S,T)-normal. Moreover, let $\mathcal{N}_{(S,\cdot)} = \bigcup_{T' \in \mathfrak{S}} \mathcal{N}_{(S,T')}$ and $\mathcal{N}_{(\cdot,T)} = \bigcup_{S' \in \mathfrak{S}} \mathcal{N}_{(S',T)}$.

Lemma 5.9. Let $S, T \in \mathfrak{S}$. Suppose $i \in \mathcal{N}_{(S,\cdot)}$ and $j \in \mathcal{N}_{(\cdot,T)}$ for some i, j with $1 \leq i < j \leq k$. Then there are no two configurations δ_i and δ_j appearing on ρ^i_{CAP} and ρ^j_{CAP} respectively such that $\text{ST}(\delta_i) = \text{ST}(\delta_j)$ and $\text{CNT}(\delta_i) - \text{CNT}(\delta_j)$ is divisible by $\text{gcd}(\text{EFF}(\sigma_S^+), -\text{EFF}(\sigma_T^-))$.

Proof. Denote $A = \text{EFF}(\sigma_S^+)$ and $B = -\text{EFF}(\sigma_T^-)$. Assume towards contradiction that there exists δ_i on ρ_{CAP}^i and δ_j on ρ_{CAP}^j such that $\text{ST}(\delta_i) = \text{ST}(\delta_j)$ and $\text{CNT}(\delta_i) - \text{CNT}(\delta_j)$ is divisible by $\gcd(A, B)$. We will show that we can modify ρ^i and ρ^j so that the part between δ_i and δ_j can be cut off from ρ . We will then obtain a new, modified path by removing this part

from it. This will contradict the assumption that ρ has the minimum possible number of intermediate configurations with counter value zero (see the first paragraph of Section 5.3, p. 17): indeed, the inequality i < j holds by the assumptions of the lemma, and therefore ρ has at least one configuration with counter value zero between the paths ρ^i and ρ^j (namely γ_{i+1} , as $i+1 \le j$). The modified path will have this configuration removed, and will not introduce any other such configurations; thus, the number of intermediate configurations with counter value zero in the original path is not minimal.

Let $\operatorname{CNT}(\delta_j) - \operatorname{CNT}(\delta_i) = Z$, where $Z = z \cdot \gcd(A, B)$ for some integer z. Due to Bézout's identity we know that there exist $a, b \in \mathbb{N}$ such that $a \cdot A - b \cdot B = \gcd(A, B)$; cf. the proof of Claim 5.6. If $z \geq 0$ then $az \cdot A - bz \cdot B = z \cdot \gcd(A, B) = Z$, where $az \geq 0$ and $bz \geq 0$. If z < 0 then $(MB + az) \cdot A - (MA + bz) \cdot B = z \cdot \gcd(A, B) = Z$, where M is large enough so that $MB + az \geq 0$ and $MA + bz \geq 0$. Therefore, there always exist numbers $a, b \geq 0$ such that $a \cdot A - b \cdot B = Z$.

We modify the path ρ as follows. In the path $\rho_{\text{UP}}^i \rho_{\text{CAP}}^i$ we insert a cycles σ_S^+ at the end of ρ_{UP}^i , and in $\rho_{\text{CAP}}^j \rho_{\text{DOWN}}^j$ we insert b cycles σ_T^- at the front of ρ_{DOWN}^j . By property (vi), this insertion does not introduce configurations with nonpositive counter values, since each of the cycles σ_S^+ and σ_T^- contains at most n edges. After this operation, the configuration δ_i that was originally on ρ_{CAP}^i becomes lifted to the configuration $(\text{ST}(\delta_i), \text{CNT}(\delta_i) + a \cdot A)$. On the other hand, the configuration δ_j that was originally on ρ_{CAP}^j becomes lifted to the configuration $(\text{ST}(\delta_i), \text{CNT}(\delta_i) + b \cdot B)$. However,

$$CNT(\delta_i) + a \cdot A = CNT(\delta_j) - Z + a \cdot A = CNT(\delta_j) + b \cdot B.$$

Since $ST(\delta_i) = ST(\delta_j)$, we conclude that these two lifted configurations are equal. Therefore, we can perform the following operation on ρ : insert the cycles σ_S^+ and σ_T^- as described above, and cut out the entire part of ρ between the lifted configurations originating in δ_i and δ_j by Proposition 5.1. In this manner we obtain a path from α to β that has strictly less intermediate configurations with counter value equal zero than ρ , which is a contradiction.

Notice that, because of the insertions that we performed, the length of the modified path may exceed the length of the original one; it is only the number of intermediate configurations with counter value zero that is guaranteed to decrease.

Lemma 5.10. Let
$$S, T \in \mathfrak{S}$$
. Then $|\mathcal{N}_{(S,T)}| \leq \gcd(\text{Eff}(\sigma_S^+), -\text{Eff}(\sigma_T^-))$.

Proof. Let us denote $A = \text{EFF}(\sigma_S^+)$ and $B = -\text{EFF}(\sigma_T^-)$. Assume towards a contradiction that $|\mathcal{N}_{(S,T)}| > \gcd(A,B)$. For $i \in \mathcal{N}_{(S,T)}$, let $\delta_i = \text{TARG}(\rho_{\text{CAP}}^i)$. By the pigeonhole principle, for some two indices i < j configurations δ_i and δ_j have the same counter value modulo $\gcd(A,B)$. Moreover, δ_i and δ_j have the same state, which is the base state of σ_T^- by our definition of a normal arc. This contradicts Lemma 5.9.

Total length of caps. We have now all the necessary ingredients to establish the desired upper bounds on the lengths of caps. Recall that for a strongly connected component $S \in \mathfrak{S}$ we denote by n_S the number of vertices in S.

Lemma 5.11. Let $S, T \in \mathfrak{S}$, let $A_S = \text{Eff}(\sigma_S^+)$ and let $B_T = -\text{Eff}(\sigma_T^-)$. Then:

$$\sum_{i \in \mathcal{N}_{(S,\cdot)}} \text{LEN}(\rho_{\text{CAP}}^i) \le A_S \cdot n; \tag{E.4}$$

$$\sum_{i \in \mathcal{N}_{(\cdot,T)}} \text{LEN}(\rho_{\text{CAP}}^i) \le n \cdot B_T; \tag{E.5}$$

moreover,
$$\sum_{i \in \mathcal{N}} \text{LEN}(\rho_{\text{CAP}}^i) \le n^2$$
. (E.6)

Proof. For (E.4), assume towards a contradiction that $\sum_{i \in \mathcal{N}_{(S,\cdot)}} \text{LEN}(\rho_{\text{CAP}}^i) > A_S \cdot n$. Then by the pigeonhole principle there exists two configurations δ and δ' on the paths ρ_{CAP}^i for $i \in \mathcal{N}_{(S,\cdot)}$ which have the same state and the same counter value modulo A_S . Assume w.l.o.g. that δ is earlier in the path than δ' . By property (v) of Lemma 5.3, configurations δ and δ' cannot appear in the same path ρ_{CAP}^i . Indeed, otherwise the projection of the part of ρ_{CAP}^i between δ to δ' would be a cycle with effect divisible by A_S , so also by $\gcd(A_S, -\text{Eff}(\sigma_T^-))$, where T is the SCC for which ρ^i is (S,T)-normal. Therefore they have to belong to different arcs. Let δ belong to ρ^i and δ' belong to ρ^j , where $j \in \mathcal{N}_{(S,T)}$ for some $T \in \mathfrak{S}$. However, by Lemma 5.9, there are no two configurations δ and δ' on ρ^i and ρ^j , respectively, such that their states are the same and the difference in counter values is divisible by $gcd(A_S, -EFF(\sigma_T^-))$. Contradiction, as δ and δ' are such configurations: the difference of its counter values is divisible by A_S , so also by $gcd(A_S, -EFF(\sigma_T^-))$. Thus (E.4) is proved, and (E.5) follows from a symmetric reasoning. The bound (E.6) follows by summing (E.4) through all $S \in \mathfrak{S}$ and using the facts that $\text{EFF}(\sigma_S^+) \leq n_S$ and $\sum_{S \in \mathfrak{S}} n_S = n$.

Total length of positive and negative cycles. We now show that the total sum of the lengths of ρ_{UP}^i and ρ_{DOWN}^i is at most $8n^2$. This is the case where we need the key estimations (iii) and (iv) in Lemma 5.3.

Lemma 5.12. The following inequalities hold:

$$\sum_{i \in \mathcal{N}} \text{Len}(\rho_{\text{UP}}^i) \le 4n^2, \qquad \qquad \sum_{i \in \mathcal{N}} \text{Len}(\rho_{\text{DOWN}}^i) \le 4n^2.$$

Proof. We show how to bound the sum of lengths of paths ρ_{UP}^i . For any $S \in \mathfrak{S}$, let us denote $A_S = \text{Eff}(\sigma_S^+)$ and $B_S = -\text{Eff}(\sigma_S^-)$. For each $i \in \mathcal{N}$, let $S_i, T_i \in \mathfrak{S}$ be such that ρ^i is (S_i, T_i) -normal, and let $L_i = \text{LEN}(\rho^i_{CAP})$. By Lemma 5.3 we know that $\text{EFF}(\rho_{\text{UP}}^i) \leq 2L_i + 2 \cdot \text{lcm}(A_{S_i}, B_{T_i})$. Since $\text{PROJ}(\rho_{\text{UP}}^i) = (\sigma_{S_i}^+)^a$ for some integer a, we have

$$\operatorname{LEN}(\rho_{\operatorname{UP}}^i) = \operatorname{EFF}(\rho_{\operatorname{UP}}^i) \cdot \frac{\operatorname{LEN}(\sigma_{S_i}^+)}{\operatorname{EFF}(\sigma_{S_i}^+)} \leq \operatorname{EFF}(\rho_{\operatorname{UP}}^i) \cdot \frac{n_{S_i}}{A_{S_i}} \leq (2L_i + 2 \cdot \operatorname{lcm}(A_{S_i}, B_{T_i})) \cdot \frac{n_{S_i}}{A_{S_i}}.$$

Hence,

$$\sum_{i \in \mathcal{N}} \text{LEN}(\rho_{\text{UP}}^i) \le 2 \sum_{i \in \mathcal{N}} \frac{L_i \, n_{S_i}}{A_{S_i}} + 2 \sum_{i \in \mathcal{N}} \frac{\text{lcm}(A_{S_i}, B_{T_i}) \cdot n_{S_i}}{A_{S_i}}. \tag{E.7}$$

We will separately estimate the first and the second term. First we focus on $\sum_{i \in \mathcal{N}} \frac{L_i n_{S_i}}{A_{S_i}}$. Let us fix some specific $S \in \mathfrak{S}$. We have

$$\sum_{i \in \mathcal{N}_{(S,\cdot)}} \frac{L_i \, n_{S_i}}{A_{S_i}} = \frac{n_S}{A_S} \cdot \sum_{i \in \mathcal{N}_{(S,\cdot)}} L_i \le \frac{n_S}{A_S} \cdot A_S \cdot n = n_S \cdot n,$$

where the inequality follows from Lemma 5.11(E.4). Thus

$$\sum_{i \in \mathcal{N}} \frac{L_i \, n_{S_i}}{A_{S_i}} = \sum_{S \in \mathfrak{S}} \sum_{i \in \mathcal{N}_{(S,\cdot)}} \frac{L_i \, n_{S_i}}{A_{S_i}} \le \sum_{S \in \mathfrak{S}} n_S \cdot n = n^2. \tag{E.8}$$

In order to estimate the second term, fix some $S, T \in \mathfrak{S}$. Note that $\frac{\operatorname{lcm}(x,y)}{x} = \frac{xy}{\gcd(x,y) \cdot x} = \frac{y}{\gcd(x,y)}$ for all positive integers x, y. Now we have

$$\sum_{i \in \mathcal{N}_{(S,T)}} \frac{B_{T_i} \cdot n_{S_i}}{\gcd(A_{S_i}, B_{T_i})} = \sum_{i \in \mathcal{N}_{(S,T)}} \frac{B_T \cdot n_S}{\gcd(A_S, B_T)} = |\mathcal{N}_{(S,T)}| \cdot \frac{B_T \cdot n_S}{\gcd(A_S, B_T)}$$

$$\leq \gcd(A_S, B_T) \cdot \frac{B_T \cdot n_S}{\gcd(A_S, B_T)} = B_T \cdot n_S \leq n_T \cdot n_S,$$

where the first inequality follows from Lemma 5.10 and the second one from the fact that the effect of a path is bounded by its length. Therefore,

$$\sum_{i \in \mathcal{N}} \frac{B_{T_i} \cdot n_{S_i}}{\gcd(A_{S_i}, B_{T_i})} = \sum_{S,T \in \mathfrak{S}} \sum_{i \in \mathcal{N}_{(S,T)}} \frac{B_{T_i} \cdot n_{S_i}}{\gcd(A_{S_i}, B_{T_i})}$$

$$\leq \sum_{S,T \in \mathfrak{S}} n_T \cdot n_S = \sum_{S \in \mathfrak{S}} n_S \cdot \sum_{T \in \mathfrak{S}} n_T = n^2. \tag{E.9}$$

By connecting equations (E.7), (E.8) and (E.9) we obtain

$$\sum_{i \in \mathcal{N}} \text{LEN}(\rho_{\text{UP}}^i) \le 2n^2 + 2n^2 = 4n^2.$$

The upper bound on the sum of lengths of paths ρ_{DOWN}^i is obtained analogously, using Lemma 5.11(E.5) instead of Lemma 5.11(E.4).

Combining the bounds of Lemma 5.8, Lemma 5.11(E.6), and Lemma 5.12, we conclude that

$$len(\rho) \le 5n^2 + n^2 + 4n^2 + 4n^2 \le 14n^2,$$

which completes the proof of Theorem 2.1.

6. Generalizations

6.1. **Proof of Theorem 2.2.** In this section we prove Theorem 2.2, which provides an upper bound on the length of the shortest path between any pair of configurations. For convenience, we recall its statement.

Theorem 2.2. Let \mathcal{O} be a one-counter system with n states. Suppose a configuration $\beta = (p_{\beta}, c_{\beta})$ is reachable from a configuration $\alpha = (p_{\alpha}, c_{\alpha})$ in \mathcal{O} . Then \mathcal{O} has a path from α to β of length at most $14n^2 + n \cdot \max(c_{\alpha}, c_{\beta})$.

Proof. Let $a = \text{CNT}(\alpha)$ and $b = \text{CNT}(\beta)$. Assume without loss of generality that $a \ge b$; the second case is symmetric. Let ρ be some path from α to β . We first formulate the following claim.

Claim 6.1. Let $a \geq 0$ be chosen arbitrarily; then there exists an OCS \mathcal{O}^a with the following property. For any $p, q \in Q$ the OCS \mathcal{O}^a has a path from (p, 0) to (q, 0) of length exactly K (i.e., with K+1 configurations) if and only if the OCS \mathcal{O} has a path from (p, a) to (q, a) which contains exactly K+1 configurations of counter value at least a (and possibly other configurations). Moreover, \mathcal{O}^a and \mathcal{O} have the same number of states.

Proof. We construct \mathcal{O}^a with the set of states Q^a , the set of non-zero transitions $T^a_{>0}$ and the set of zero tests $T^a_{=0}$ as follows. It has the same set of states as \mathcal{O} , so $Q^a=Q$, and the same set of non-zero transitions, so $T^a_{>0}=T_{>0}$. Only the set of zero tests is different. The set $T^a_{=0}$ contains only tuples of the form (q,0,q') for $q,q'\in Q^a$. A tuple (q,0,q') belongs to $T^a_{=0}$ if and only if there is a path in \mathcal{O} from configuration (q,a) to configuration (q',a) such that all the intermediate configurations have counter value smaller than a.

We now verify that this OCS \mathcal{O}^a satisfies our requirements.

Suppose there is a path from (p,0) to (q,0) in \mathcal{O}^a . Observe that there is a corresponding path from (p,a) to (q,a) in \mathcal{O} . Every non-zero transition from (r,c) for c>0 in \mathcal{O}^a is simulated by one transition from (r,c+a) in \mathcal{O} , as $T^a_{>0}=T_{>0}$. Every zero-test from (r,0) to (r',0) in \mathcal{O}^a is simulated by a path from (r,a) to (r',a) in \mathcal{O} , where all the intermediate configurations have counter value smaller than a. Such a path exists by the definition of $T^a_{=0}$. Note that the corresponding path of \mathcal{O} indeed has exactly as many configurations with counter value at least a as there are configurations in the original path of \mathcal{O}^a .

Now suppose there is a path from (p, a) to (q, a) in \mathcal{O} . We construct the corresponding path of \mathcal{O}^a as follows. Every part of the path from some (r, a) to some (r', a) where all the configurations in between have smaller counter values is replaced by a zero-test of \mathcal{O}^a . The constructed path of \mathcal{O}^a indeed has as many configurations as there are configurations in the path of \mathcal{O} which have counter value at least a.

Let $\gamma=(q,a)$ be the last configuration in ρ which has counter value a. Suppose $\alpha=(p,a)$ and consider the OCS \mathcal{O}^a from Claim 6.1. As there is a path from (p,a) to (q,a) in \mathcal{O} then there is a path from (p,0) to (q,0) in \mathcal{O}^a . By Theorem 2.1 there is a path from (p,0) to (q,0) of length at most $14n^2$. Now one more time by Claim 6.1 there is a path from (p,a) to (q,a) which has at most $14n^2+1$ configurations of counter value at least a. Let us denote by ρ' the concatenation of this path and the suffix of ρ that starts in γ and finishes in β . Since γ is the last configuration in ρ which has counter value at least a then also in ρ' there are at most $14n^2+1$ configurations of counter value at least a.

Let ρ'' be a shortest path from α to β such that there are at most $14n^2+1$ configurations in this path with counter value at least a. There is at least one such path, namely ρ' , so a shortest one clearly exists. Let us define a set LOW as the set of all configurations appearing in ρ'' whose counter values are smaller than a. We claim that $|\text{LOW}| \leq an$. Indeed, assume the converse, i.e., |LOW| > an. Then, by the pigeonhole principle, some two configurations appearing on ρ'' , say δ and δ' , are equal. Then by Proposition 5.1, cutting out the part of the path between δ and δ' would leave a strictly shorter path from α to β that would have not more configurations with counter value at least a. This would contradict our choice of ρ'' .

We therefore know that there are at most $14n^2 + 1$ configurations in ρ'' with counter value at least a and at most an configurations with counter value smaller than a. Thus

altogether there are at most $14n^2 + 1 + an$ configurations on ρ'' , so $\text{LEN}(\rho'') \leq 14n^2 + an \leq 14n^2 + n \cdot \max(a, b)$. This completes the proof of Theorem 2.2.

6.2. Generalization to counters with values in \mathbb{Z} . Furthermore, in this section we show how our results can be used to give improved upper bounds on the length of the shortest path in the model considered by Alur and Černý [1]. Recall that in this model, the counter can take arbitrary values in \mathbb{Z} and there are no zero-tests. In fact, we can show that a quadratic upper bound holds in a much more general model, where zero tests are allowed and transitions fireable at positive counter values may differ from transitions fireable at negative counter values. We start with defining formally the model we are working with.

A one- \mathbb{Z} -counter system (\mathbb{Z} -OCS) \mathcal{O} consists of a finite set of states Q, a set of positive transitions $T_{>0} \subseteq Q \times \{-1,0,1\} \times Q$, a set of negative transitions $T_{<0} \subseteq Q \times \{-1,0,1\} \times Q$, and a set of zero tests $T_{=0} \subseteq Q \times \{-1,0,1\} \times Q$. The set of transitions is $T = T_{>0} \cup T_{<0} \cup T_{=0}$. The positive transitions are fireable in configurations where the counter value is positive, negative transitions are fireable whenever the counter value is negative, and zero tests are fireable whenever the counter value is equal to zero. We adopt all the notation from one-counter systems in a natural way. In particular, the configurations of a \mathbb{Z} -OCS \mathcal{O} are pairs (q,c), where $q \in Q$ is the configuration's state, and $c \in \mathbb{Z}$ is the configuration's counter value. Observe that one- \mathbb{Z} -counter systems generalize standard one-counter systems, because we can take $T_{<0} = \emptyset$ and disallow zero tests having effect -1 on the counter.

Again, a path is a sequence of the form

$$(\gamma_1, t_1)(\gamma_2, t_2) \dots (\gamma_m, t_m) \in ((Q \times \mathbb{Z}) \times T)^*,$$

for which some final configuration γ_{m+1} exists, such that for each $i=1,2,\ldots,m$ we have that $\gamma_i \xrightarrow{t_i} \gamma_{i+1}$, i.e., firing transition t_i at configuration γ_i results in configuration γ_{i+1} . We now state formally our result for one- \mathbb{Z} -counter systems.

Theorem 6.2. Let \mathcal{O} be a one- \mathbb{Z} -counter system with n states. Suppose configuration β is reachable from configuration α in \mathcal{O} , where $CNT(\alpha) = c_{\alpha}$ and $CNT(\beta) = c_{\beta}$. Then \mathcal{O} has a path from α to β of length at most $56n^2 + 2n \cdot max(|c_{\alpha}|, |c_{\beta}|)$.

We remark that one can approach Theorem 6.2 by following the lines of the proofs of Theorems 2.1 and 2.2, and adjusting the argumentation to the setting of one- \mathbb{Z} -counter systems. The proof, however, would be even more technical, because having both positive and negative counter values requires performing the pumping arguments twice: both for very high (positive) values and for very low (negative) values. Instead, we show how Theorem 6.2 can be deduced from Theorem 2.1.

Proof. The summary of the argument is as follows. We rely on a construction of a one-counter system that faithfully simulates the given one-Z-counter system. Observe that zero test transitions let us maintain, in the finite control state, information about whether the counter value is positive. Then the counter itself can be used to store the absolute value only. The obtained system will be twice as big as the original one, which will give us the required bounds.

In more detail, let \mathcal{O} be the given \mathbb{Z} -OCS, and let Q, $T_{>0}$, $T_{<0}$, and $T_{=0}$ be its set of states, its sets of positive and negative transitions, and its set of zero tests, respectively.

Define a (standard) one counter system \mathcal{O}^+ as follows: it has states $Q^+ = Q \times \{+, -\}$ and sets of non-zero and zero transitions $T_{>0}^+$ and $T_{=0}^+$. We set

$$\begin{split} T^+_{>0} = & \{ ((q,+),c,(q',+)) \text{ for all } (q,c,q') \in T_{>0} \} \cup \\ & \{ ((q,-),-c,(q',-)) \text{ for all } (q,c,q') \in T_{<0} \} \quad \text{and} \\ T^+_{=0} = & \{ ((q,+),1,(q',+)) \text{ for all } (q,1,q') \in T_{=0} \} \cup \\ & \{ ((q,+),0,(q',+)) \text{ for all } (q,0,q') \in T_{=0} \} \cup \\ & \{ ((q,-),1,(q',-)) \text{ for all } (q,-1,q') \in T_{=0} \} \cup \\ & \{ ((q,+),0,(q,-)) \text{ for all } q \in Q \} \cup \\ & \{ ((q,-),0,(q,+)) \text{ for all } q \in Q \}. \end{split}$$

Finally, let $\alpha^+ = ((ST(\alpha), SIGN(CNT(\alpha))), |CNT(\alpha)|)$ and $\beta^+ = ((ST(\beta), SIGN(CNT(\beta))), |CNT(\beta)|)$ where SIGN(x) is + or - depending on whether the number x is positive or not.

Now \mathcal{O}^+ is a one-counter system with 2n states. By Theorem 2.1, the length of the shortest path from α^+ to β^+ in \mathcal{O}^+ is bounded by $14 \cdot (2n)^2 + 2n \cdot \max(\text{CNT}(\alpha^+), \text{CNT}(\beta^+)) = 56n^2 + 2n \cdot \max(|\text{CNT}(\alpha)|, |\text{CNT}(\beta)|)$. Thus, it suffices to show that the system \mathcal{O}^+ has a path from α^+ to β^+ if and only if the system \mathcal{O} has a path from α and β ; and, moreover, that if these paths exist, then the shortest such path in \mathcal{O} is at most as long as the shortest such path in \mathcal{O}^+ .

It is immediate from the construction of \mathcal{O}^+ that every path in \mathcal{O}^+ corresponds to a path in \mathcal{O} and vice versa. Indeed, define a function φ that maps any path in \mathcal{O}^+ from α^+ to β^+ into a path in \mathcal{O} from α to β as follows. First let φ be defined on individual states and transitions by

$$\varphi\left((q,+)\right) = \varphi\left((q,-)\right) = q,$$

$$\varphi\left((q,+),0,(q,-)\right) = \varphi\left((q,-),0,(q,+)\right) = \begin{cases} \varepsilon & \text{if } (q,0,q) \not\in T_{=0} \quad \text{and} \\ (q,0,q) & \text{otherwise,} \end{cases}$$

$$\varphi\left((q,+),c,(q',+)\right) = (q,c,q'), \quad \text{and}$$

$$\varphi\left((q,-),c,(q',-)\right) = (q,-c,q').$$

Then extending φ to a homomorphism with respect to the concatenation of paths will give us the required correspondence, φ will be onto, and for every path ρ^+ in \mathcal{O}^+ we will have $\text{LEN}(\phi(\rho^+)) \leq \text{LEN}(\rho^+)$. This completes the proof.

Notice that the model used by Alur and Černý [1] corresponds to setting $T_{>0} = T_{<0} = T_{=0}$ in our definition of a one- \mathbb{Z} -counter system. In this case, one can very easily obtain from the statement of Theorem 6.2 a marginally better upper bound of $56n^2 + 2n \cdot |c_{\alpha} - c_{\beta}|$. Indeed, since the fireability of transitions of \mathcal{O} is independent of the sign of the counter, on any path in \mathcal{O} we can add an arbitrary integer to all the counter values throughout the path, and we still obtain a path of \mathcal{O} . Hence, by decrementing all the counter values by c_{α} , we can equivalently consider the problem of bounding the length of the shortest path from α to β when we know that $c_{\alpha} = 0$. Then an application of Theorem 6.2 yields a path from α to β with length at most $56n^2 + 2n \cdot |c_{\beta}|$, which translates to the bound $56n^2 + 2n \cdot |c_{\alpha} - c_{\beta}|$ in the general case before decrementing.

7. Pumping Lemma for one-counter languages

What else can be obtained using our technique and what are its limits? In this section we explain how our work relates to the classic "pumping" technique for formal languages. It is not difficult to see that our proofs develop an advanced version of this technique, which we use for "unpumping", or "pumping down" the path that traverses the configuration graph of the automaton. Remarkably, however, our arguments do not immediately deliver any improved upper bounds on the value of the so-called *pumping constant*.

Indeed, consider the following forms of the pumping lemma, stated for one-counter automata (OCA): For every OCA \mathcal{A} there exist nonnegative constants N_1, \ldots, N_4 for which the following statements hold (for i = 1, 2, 3, 4, respectively): each word w of length at least N_i in the language L of \mathcal{A} has a decomposition w = xuyvz with LEN(u) + LEN(v) > 0 such that

```
 \begin{array}{ll} (i=1) & xyz \in L, \\ (i=2) & xu^nyv^nz \in L \text{ for all } n \geq 1, \\ (i=3) & xu^nyv^nz \in L \text{ for all } n \geq 0, \\ (i=4) & \text{for some } k,\ell \geq 1 \text{ it holds that } xu^{1+kn}yv^{1+\ell n}z \in L \text{ for all } n \geq 0. \end{array}
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Form 3 is the usual (form of a) pumping lemma, and N_3 is the usual pumping constant. Sometimes the lemma is stated in the form 2, as in Latteux [20]; in this particular case, the proof can in fact be used to show the stronger form 3. One should not probably refer to form 1 as a pumping lemma; it is rather an "unpumping" or "downpumping" lemma, the "complement" of form 2 with respect to form 3. Form 4, in comparison, does pump the word "up", but it may require iterating infixes u and v several times (the number of iterations can be different for u and v, $k \neq \ell$) in order for the word to satisfy form 2 (cf. our proof of Lemma 5.3).

Suppose, for an individual OCA \mathcal{A} with n states, that N_i are the *smallest numbers* satisfying the requirements above. Then the following statements hold.

Claim 7.1. $N_3 = \max(N_1, N_2)$.

Claim 7.2. $N_2 \geq N_4$.

Claim 7.3. There is an OCA that has $N_i = \Omega(n^2)$ for all i.

Claims 7.1 and 7.2 are immediate, and Claim 7.3 is justified by examples in Section 2. Previous techniques show that all N_i are at most cubic in n, so there is a familiar gap between n^2 and n^3 .

Claim 7.4.
$$N_4 = O(n^2)$$
.

Proof. If a word $w \in L$ has length quadratic or larger, then either some configuration along the accepting path repeats, with the result that we can choose $v = \epsilon$ and k = 1, or the maximum counter value along the path is at least n + 1, and so there is a pair of cycles with effects A > 0 and -B < 0 that we can repeat k = B and $\ell = A$ times, respectively. If the path in \mathcal{A} accepts, but finishes in a configuration with a nonzero counter value, then there might be no need to find any negative cycle at all.

Remark 7.5. This argument resolves the associated *longest accepted word* problem: if a language L of an OCA \mathcal{A} is finite, then no word in L has super-quadratic length.

The optimal choice of N_1 , N_2 , and N_3 presents an open problem. For N_1 , the reason that the technique from the present paper fails to deliver a quadratic upper bound is as follows:

we not only remove fragments of the path, but also, crucially, insert several additional copies of positive and negative cycles, as well as auxiliary paths in the middle of the computation. In fact, our core "unpumping modulo $\gcd(\cdot,\cdot)$ " technique may need to first insert fresh copies of cycles in order to shorten the path. This goes beyond subsequence-oriented unpumping and seems to be incompatible with it. In fact, it is not known if an even weaker version of the pumping lemma, one that permits arbitrary removal of subpaths, allows a subcubic pumping constant. A positive answer to this question could lead to a new proof of our main result.

8. Open problems

In conclusion, we have shown that any one-counter automaton with n states, unless its language is empty, accepts some word of length at most $14n^2$. This closes the gap between the previously known upper bound of $O(n^3)$ and lower bound of $O(n^2)$, strengthening results that have previously appeared in the literature. Our treatment of automata with zero tests uses a "global" argument on paths (computations of one-counter automata). Our techniques also provide a tight upper bound on the length of shortest paths between arbitrary configurations in one-counter transition systems, both in the model where the counter stays nonnegative, and in the model where it can take arbitrary values from \mathbb{Z} .

We note one open problem of particular interest:

(1) To demonstrate that language equivalence for deterministic one-counter automata can be decided in nondeterministic logspace (NL), Böhm et al. [5] prove the following result: if the languages of any two one-counter automata \mathcal{A}_1 and \mathcal{A}_2 are different, then there is a word w in their symmetric difference that has length at most p(n), polynomial in n (the maximum of the number of states of \mathcal{A}_1 and \mathcal{A}_2). Finding tight bounds on p(n) is an open problem. Our results show that p(n) need not be superquadratic if the language of \mathcal{A}_2 is empty; we do not even require \mathcal{A}_1 to be deterministic. Note that language equivalence is undecidable if at least one of \mathcal{A}_1 and \mathcal{A}_2 is nondeterministic (because language universality is undecidable and a special case of this problem), so the length of the shortest distinguishing word for a nondeterministic OCA and a deterministic finite automaton cannot have any a priori upper bound.

The shortest path question can be considered for transition systems of other models of computation. For pushdown automata with n states, a binary stack alphabet, and transitions that push/pop individual stack symbols only, it is not difficult to see that shortest paths have length $2^{O(n^2)}$, with a worst-case lower bound of $2^{\Omega(n)}$. (A related question for valence automata over the free group was studied by Ang et al. [2].) In fact, the tight bounds are $2^{\Theta(n^2/\log n)}$, see Pierre [22]. In addition to the problem above, there are also questions related to shortest paths for one-counter automata and one-counter systems that we leave open:

- (2) One can further shrink the gap between upper and lower bounds, obtaining better estimates of the constant factor. In the setting with zero tests, the gap is between $n^2/2 O(n)$ and $14n^2$.
- (3) If additional properties of the system are known, stronger upper bounds may be obtained. What properties entail subquadratic or linear shortest paths?
- (4) Our results prove the existence of paths of length $O(n^2)$; how efficiently can such paths be found? Bradford [8] gives a sketch of an approach more efficient than a search in the

- cubic-size graph of all configurations with counter value at most n^2 , achieving running time n^{ω} · polylog(n), where $\omega < 2.373$ is the matrix multiplication exponent.
- (5) Is there a quadratic upper bound for the pumping constant for one-counter languages? See Section 7 for discussion.

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