

## A Finiteness Condition for Semigroups Generalizing a Theorem of Coudrain and Schützenberger\*

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Let  $S$  be a semigroup. For  $s, t \in S$  we set  $s \leq_B t$  if  $s \in \{t\} \cup tS^1t$ ; we say that  $S$  satisfies the condition  $\min_B$  if and only if any strictly descending chain w.r.t.  $\leq_B$  of elements of  $S$  has a finite length. The main result of the paper is the following theorem: *Let  $T$  be a semigroup satisfying  $\min_B$ . Let  $T'$  be a subsemigroup of  $T$  such that all subgroups of  $T$  are locally finite in  $T'$ . Then  $T'$  is locally finite.* This result is a noteworthy generalization of a theorem of Coudrain and Schützenberger. Moreover, as a corollary we obtain the theorem of McNaughton and Zalcstein which gives a positive answer to the Burnside problem for semigroups of matrices on a field. © 1994 Academic Press, Inc.

### 1. INTRODUCTION

A famous theorem of Coudrain and Shützenberger [2] states that if  $S$  is a finitely generated semigroup satisfying the minimal condition on principal bi-ideals and all subgroups of  $S$  are finite then  $S$  is finite. In 1970 Hotzel [4], solving a conjecture of Shützenberger, proved a similar finiteness condition with the only difference that  $S$  satisfies the minimal condition on principal right-ideals ( $\min_R$ ), instead of principal bi-ideals. In a recent paper [8] we gave a generalization of Hotzel's theorem by requiring that only finitely generated subgroups, instead of all subgroups, be finite. This kind of generalization is important since it allows one to obtain

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noteworthy finiteness conditions for finitely generated semigroups which can be brought back to finiteness conditions for finitely generated groups.

In this paper we prove a generalization of the theorem of Coudrain and Shützenberger which moves in the same direction. More precisely let  $S$  be a semigroup. For  $s, t \in S$  we set  $s \leq_B t$  if  $s \in \{t\} \cup tS^1t$ ; we say that  $S$  satisfies the condition  $\min_B$  if and only if any strictly descending chain w.r.t.  $\leq_B$  of elements of  $S$  has a finite length. One can easily prove (cf. Proposition 3.3) that if  $S$  satisfies the minimal condition on principal bi-ideals then  $S$  satisfies  $\min_B$ .

Let  $T$  be a semigroup and  $T'$  a subsemigroup of  $T$ . We say that a subgroup  $G$  of  $T$  is *locally finite in  $T'$*  if any subgroup of  $G$  which is generated by a finite subset of  $T'$  is finite.

The main result of this paper is the following stronger version of the Coudrain and Shützenberger theorem (cf. Theorem 3.1). *Let  $T$  be a semigroup satisfying  $\min_B$ . Let  $T'$  be a subsemigroup of  $T$  such that all subgroups of  $T$  are locally finite in  $T'$ . Then  $T'$  is locally finite.* The theorem of Coudrain and Schützenberger is then derived when (i)  $T' = T$ , (ii) condition  $\min_B$  is replaced by the stronger minimal condition on principal bi-ideals, (iii) the local finiteness of subgroups of  $T$  in  $T'$  is replaced by the finiteness of all subgroups.

In Section 4 we consider semigroups of matrices with elements in a field. A noteworthy application of our main theorem is a straightforward new proof of the famous theorem of McNaughton and Zalcstein [12]: *A torsion semigroup of matrices with elements in a field is finite.* We recall that G. Jacob [5] gave a different proof of this theorem; moreover, he proved that it is possible to decide whether a finitely generated semigroup of matrices with coefficients in a field is finite.

## 2. NOTATIONS AND PRELIMINARIES

In the sequel  $A$  denotes a finite set or *alphabet*, and  $A^+$  (resp.  $A^*$ ) the *free semigroup* (resp. *free monoid*) over  $A$ . The elements of  $A$  are called *letters* and those of  $A^*$  *words*. The identity element of  $A^*$  is denoted by  $\lambda$ . For any word  $w$ ,  $|w|$  denotes its *length*. In the following we identify (up to an isomorphism) a finitely generated semigroup  $S$  with  $A^+/\phi\phi^{-1}$ , where  $A$  is a finite alphabet and  $\phi: A^+ \rightarrow S$  is a surjective morphism.

Let the alphabet  $A$  be totally ordered by the relation  $<$ . We can totally order  $A^+$  by the relation  $<_a$ , called *alphabetic order*, defined as follows: For all  $u, v \in A^+$

$$u < v \text{ if and only if } |u| < |v| \text{ or if } |u| = |v| \text{ then } u <_{\text{lex}} v,$$

where  $<_{lex}$  denotes the *lexicographic order*. From the definition it follows that  $<_a$  is a well order.

Let  $s \in S$ . In the set  $s\phi^{-1}$  there is a unique minimal element with respect to the alphabetic order, usually called the *canonical representative* of  $s$ . Let  $s, t \in S$ ; we say that  $s$  is a *factor* of  $t$  if  $t \in S^1 s S^1$ . If  $t \in s S^1$  (resp.  $t \in S^1 s$ ) then  $s$  is called a *prefix* (resp. *suffix*) of  $t$ . For any  $s \in S$  we denote by  $F(s)$  the set of factors of  $s$ . For any subset  $X$  of  $S$ ,  $F(X) = \bigcup_{x \in X} F(x)$ . One says that  $X$  is *closed by factors* if  $F(X) = X$ . The following lemma holds. We omit the proof since it is straightforward (cf. [10]).

LEMMA 2.1. *Let  $S$  be a finitely generated semigroup and  $T$  any subset of  $S$  closed by factors. Then the set  $C_T$  of the canonical representatives of  $T$  is closed by factors.*

We denote by  $\mathcal{L}, \mathcal{R}, \mathcal{H}, \mathcal{D}, \mathcal{J}$  the Green's relation in a semigroup  $S$ . We say that a semigroup  $S$  satisfies  $\min_R$  (resp.  $\min_L, \min_J$ ) if any strictly descending chain of principal right-ideals (resp. left-ideals, two-sided ideals) is finite.

An infinite word  $w$  (from left to right) over  $A$  is any map  $w: N \rightarrow A$ . For each  $n \geq 0$  we set  $w_n = w(n)$ . A word  $u \in A^+$  is a *finite factor* of  $w$  if there exist integers  $i, j, 0 \leq i \leq j$ , such that  $u = w_i \cdots w_j$ ; the sequence  $w[i, j] = w_i \cdots w_j$  is also called an *occurrence* of  $u$  in  $w$ . We denote by  $F(w)$  the set of all finite factors of  $w$ . The set of all infinite words over  $A$  is denoted by  $A^\infty$ .

DEFINITION 1. An infinite word  $t: N \rightarrow A$  is *uniformly recurrent* if there exists a map  $k: A^* \rightarrow N$  with the property that any word  $u \in F(t)$  is a factor of all words  $w \in F(t)$  whose length  $|w| \geq k(u)$ . We call  $k$  the *uniform recurrence function* of  $t$ .

The relevance of uniformly recurrent infinite words is due to the following (cf. [3, 9]).

LEMMA 2.2. *Let  $L \subseteq A^*$  be an infinite language. There exists an infinite word  $w: N \rightarrow A$  such that*

- (i)  $w$  is uniformly recurrent,
- (ii)  $F(w) \subseteq F(L)$ .

### 3. MINIMAL AND FINITENESS CONDITIONS

Let  $S$  be a semigroup. We consider some quasi-order relations in  $S$ . We recall that a *quasi-order*  $\leq$  in  $S$  is a reflexive and transitive relation in  $S$ . The meet  $\leq \cap \leq^{-1}$  is an equivalence relation  $\equiv$  and the quotient of  $S$  by

$\equiv$  is a partially ordered set. An element  $s \in X \subseteq S$  is minimal (resp. maximal) in  $X$  w.r.t.  $\leq$  if, for every  $x \in X$ ,  $x \leq s$  (resp.  $s \leq x$ ) implies  $x \equiv s$ . For  $s, t \in S$  if  $s \leq t$  and  $s \not\equiv t$  then we set  $s < t$ . The order  $<$  is strict. Natural quasi-orders are associated to the Green relations  $\mathcal{R}$ ,  $\mathcal{L}$ , and  $\mathcal{J}$  as follows. For  $s, t \in S$

$$s \leq_R t \Leftrightarrow sS^1 \subseteq tS^1$$

$$s \leq_L t \Leftrightarrow S^1s \subseteq S^1t$$

$$s \leq_J t \Leftrightarrow S^1sS^1 \subseteq S^1tS^1.$$

One easily verifies the the equivalences  $\equiv_R$ ,  $\equiv_L$ , and  $\equiv_J$  coincide with the relations  $\mathcal{R}$ ,  $\mathcal{L}$ , and  $\mathcal{J}$ , respectively. We now quasi-order  $S$  by a further relation  $\leq_B$ , which plays an important role in the sequel. More precisely, following Clifford and Preston [1], we recall that a *bi-ideal*  $B$  of  $S$  is a sub-semigroup of  $S$  such that  $BSB \subseteq B$ . If  $C$  is any non-empty subset of  $S$ , then the bi-ideal  $C \cup CS^1C$  is the bi-ideal generated by  $C$ , i.e., the smallest bi-ideal of  $S$  containing  $C$ . For any  $s \in S$ , we denote by  $B(s)$  the bi-ideal generated by  $s$ , i.e.,

$$B(s) = sS^1s \cup \{s\}.$$

DEFINITION 2. Let  $S$  be a semigroup. For  $s, t \in S$  we set  $s \leq_B t$  if and only if  $B(s) \subseteq B(t)$ .

The relation  $\leq_B$  is a quasi-order since it is reflexive and transitive; moreover one easily verifies that  $s \leq_B t$  if and only if  $s = t$  or  $s \in tS^1t$ . Let  $\equiv_B$  be the equivalence relation

$$\equiv_B = \leq_B \cap (\leq_B)^{-1}.$$

One then has

$$s \equiv_B t \Leftrightarrow s = t \quad \text{or} \quad \exists u, v \in S^1 \text{ such that } s = tut \text{ and } t = sv s.$$

DEFINITION 3. A semigroup  $S$  satisfies  $\min_B$  if and only if any strictly descending chain with respect to  $\leq_B$  has a finite length.  $S$  satisfies  $\min_B^*$  if  $s \leq_B t$  and  $s \mathcal{J} t \Rightarrow s \equiv_B t$ .

PROPOSITION 3.1. If a semigroup  $S$  satisfies  $\min_B$ , then  $S$  satisfies  $\min_B^*$ .

*Proof.* Let  $I$  be a  $\mathcal{J}$ -class of  $S$ .  $I$  contains at least one element which is minimal in  $I$  with respect to  $\leq_B$ . In fact, otherwise, there would exist an infinite strictly descending chain with respect to  $\leq_B$  of elements of  $I$ , which is absurd. Now we prove that any element of  $I$  is minimal with respect to  $\leq_B$ . Let  $a$  be a minimal element of  $I$  and be  $b \mathcal{J} a$ . One has

$$b = xay, \quad x, y \in S^1.$$

Let  $c \leq_B b$ . We prove that  $c \equiv_B b$ . Since  $c \leq_B b$ , if  $c \neq b$  then

$$c = b\lambda b = xay\lambda xay.$$

Let  $d = ay\lambda xa$ . One has  $d \not\leq a$ . In fact  $a$  is a factor of  $d$  and  $d$  is a factor of  $c$  so that

$$S^1 a S^1 \supseteq S^1 d S^1 \supseteq S^1 c S^1.$$

Moreover,

$$S^1 c S^1 = S^1 b S^1 = S^1 a S^1,$$

hence  $S^1 a S^1 = S^1 d S^1$ .

Since  $d = ay\lambda xa$ , one has  $d \leq_B a$  and, by the minimality of  $a$ , it follows that  $d \equiv_B a$ . If  $d = a$  then  $c = x d y = xay = b$ . Let us then suppose  $d \neq a$ . One has that there exists  $\mu \in S^1$ , such that

$$a = d\mu d = ay\lambda xa\mu ay\lambda xa.$$

By substitution

$$\begin{aligned} a &= ay\lambda x(ay\lambda xa\mu ay\lambda xa) \mu(ay\lambda xa\mu ay\lambda xa) y\lambda xa \\ &= (ay\lambda xay) \lambda xa\mu ay\lambda xa\mu ay\lambda xa\mu ay\lambda(xay\lambda xa) \\ &= (ay\lambda xay) \mu'(xay\lambda xa), \end{aligned}$$

where  $\mu' = \lambda xa\mu ay\lambda xa\mu ay\lambda xa\mu ay\lambda$ . Hence

$$b = xay = (xay\lambda xay) \mu'(xay\lambda xay) = c\mu'c.$$

Thus  $b \leq_B c \Rightarrow b \equiv_B c$ . ■

**PROPOSITION 3.2.** *If a semigroup  $S$  is periodic, then  $S$  satisfies  $\min_B^*$ .*

*Proof.* Let  $s \leq_B t$  and  $s \not\leq t$ . If  $s = t$  the result is trivially true; let us then suppose  $s \neq t$ . One then has  $s = tx\alpha$ ,  $s = \alpha t\beta$  and  $t = \gamma s\delta$ , with  $x, \alpha, \beta, \gamma, \delta \in S^1$ . Let us now introduce the sequence

$$\begin{aligned} f_0 &= s \\ f_1 &= f_0 \delta x \gamma f_0 \\ f_2 &= f_1 \delta^2 x \gamma^2 f_1 \\ &\vdots \\ f_n &= f_{n-1} \delta^n x \gamma^n f_{n-1} \\ &\vdots \end{aligned}$$

It is easy to prove by induction that for any  $n \geq 0$  one has  $s = \gamma^n f_n \delta^n$ . Indeed  $f_0 = s$  and

$$\begin{aligned} \gamma^n f_n \delta^n &= \gamma^n f_{n-1} \delta^n x \gamma^n f_{n-1} \delta^n \\ &= \gamma \gamma^{n-1} f_{n-1} \delta^{n-1} \delta x \gamma \gamma^{n-1} f_{n-1} \delta^{n-1} \delta \\ &= \gamma s \delta x \gamma s \delta = t x t = s. \end{aligned}$$

On the other hand for any  $n \geq 1$  one has also

$$t = \gamma s \delta = \gamma \gamma^n f_n \delta^n \delta = \gamma^{n+1} f_n \delta^{n+1}.$$

Since  $S$  is periodic, there exist two integers  $h, k$ ,  $h < k$ , such that  $\gamma^h = \gamma^k$  and  $\delta^h = \delta^k$ . Thus

$$t = \gamma^k f_{k-1} \delta^k = \gamma^h f_{k-1} \delta^h.$$

Now by construction one has  $f_{k-1} = f_h \delta^h \xi \gamma^h f_h$  for a suitable  $\xi \in S^1$ ; so that  $t = \gamma^h f_h \delta^h \xi \gamma^h f_h \delta^h$ . Now  $s = \gamma^h f_h \delta^h$  and then  $t = s \xi s$ , which implies  $s \equiv_B t$ . ■

We now give the following definition (cf. [2]).

**DEFINITION 4.** A semigroup  $S$  satisfies the minimal condition on principal bi-ideals if any strictly descending chain

$$s_1 S^1 s_1 \supset s_2 S^1 s_2 \supset \cdots \supset s_n S^1 s_n \cdots$$

has a finite length.

**PROPOSITION 3.3.** If a semigroup  $S$  satisfies the minimal condition on principal bi-ideals, then  $S$  satisfies  $\min_B$ .

*Proof.* Suppose that there exists an infinite strictly descending chain w.r.t.  $\leq_B$  of elements of  $S$

$$s_1 >_B s_2 >_B \cdots s_n >_B s_{n+1} >_B \cdots.$$

One has  $s_{n+1} = s_n x_n s_n$ ,  $x_n \in S^1$ ,  $n > 0$ , and

$$s_{n+1} S^1 s_{n+1} = s_n x_n s_n S^1 s_n x_n s_n \subseteq s_n S^1 s_n.$$

From the minimal condition on principal bi-ideals there exists  $m$  such that for  $n \geq m$  one has  $s_n S^1 s_n = s_m S^1 s_m$ . Thus

$$s_{n+1} = s_n x_n s_n = s_{n+2} y s_{n+2}, y \in S^1,$$

which implies  $s_{n+1} \leq_B s_{n+2}$ . Since  $s_{n+2} \leq_B s_{n+1}$ , it follows that  $s_{n+1} \equiv_B s_{n+2}$ , which is a contradiction. ■

**PROPOSITION 3.4.** *If a semigroup  $S$  satisfies  $\min_R$  and  $\min_L$ , then  $S$  satisfies  $\min_B$ .*

*Proof.* Suppose, by contradiction, that there exists an infinite strictly descending chain

$$f_1 >_B f_2 >_B \cdots f_n >_B f_{n+1} >_B \cdots$$

with  $f_i \in S$ ,  $i > 0$ . For any  $n > 1$  one then has

$$f_{n+1} = f_n x_n f_n, x_n \in S^1. \quad (1)$$

This implies that  $f_n S^1 \supseteq f_{n+1} S^1$  and  $S^1 f_n \supseteq S^1 f_{n+1}$ . Therefore, by  $\min_R$  and  $\min_L$  it follows that there exists an integer  $m$  such that for any  $n \geq m$  one has  $f_n \mathcal{R} f_{n+1}$  and  $f_n \mathcal{L} f_{n+1}$ ; thus  $f_n = u f_{n+1}$  and  $f_n = f_{n+1} v$ , with  $u, v \in S^1$ . One has

$$f_n S^1 f_n = f_{n+1} v S^1 u f_{n+1} \subseteq f_{n+1} S^1 f_{n+1}.$$

From (1),

$$f_n S^1 f_n \supseteq f_{n+1} S^1 f_{n+1},$$

so that for any  $n \geq m$

$$f_n S^1 f_n = f_{n+1} S^1 f_{n+1}.$$

Hence  $f_{n+1} = f_n x_n f_n = f_{n+2} \lambda f_{n+2}$ , with  $\lambda \in S^1$ . Since  $f_{n+2} = f_{n+1} x_{n+1} f_{n+1}$ , one has  $f_{n+1} \equiv_B f_{n+2}$ . This is a contradiction. ■

**LEMMA 3.1.** *Let  $S$  be a semigroup and  $s, t \in S$ . If  $s \equiv_B t$ , then  $s \mathcal{H} t$ .*

*Proof.* Let  $s \leq_B t$ . If  $s = t$  the result is trivially true; let us then suppose  $s \neq t$ . Then there exists  $x \in S^1$  such that  $s = t x t$ . This implies  $s S^1 = t x t S^1 \subseteq t S^1$  and  $S^1 s = S^1 t x t \subseteq S^1 t$ . Hence  $s \equiv_B t \Rightarrow s \mathcal{R} t$  and  $s \mathcal{L} t$ ; thus  $s \equiv_B t \Rightarrow s \mathcal{H} t$ . ■

**LEMMA 3.2.** *If a semigroup  $S$  satisfies  $\min_J$  and  $\min_B^*$ , then it satisfies  $\min_B$ .*

*Proof.* Indeed, suppose by contradiction that there exists in  $S$  an infinite strictly descending chain:

$$s_1 >_B s_2 >_B \cdots >_B s_n >_B \cdots.$$

Since  $s_n >_B s_{n+1}$  implies  $s_n \geq_J s_{n+1}$ ,  $n \geq 1$ , then by  $\min_J$  there exists an integer  $m$  such that  $s_n \mathcal{J} s_m$ , for all  $n \geq m$ . Using  $\min_B^*$  it follows that  $s_n \equiv_B s_m$ , for  $n \geq m$ . ■

The following theorem gives a finiteness condition for semigroups which is a strong generalization of the Coudrain and Schützenberger theorem [2].

**THEOREM 3.1.** *Let  $T$  be a semigroup satisfying  $\min_B$ . Let  $T'$  be a subsemigroup of  $T$  such that the subgroups of  $T$  are locally finite in  $T'$ . Then  $T'$  is locally finite.*

*Proof.* Let  $S$  be a finitely generated subsemigroup of  $T'$ ; we want to prove that  $S$  is finite. Since  $S$  is finitely generated, there exists a finite subset  $X \subseteq S$  such that  $X^+ = S$ . Then consider the canonical epimorphism  $\phi: A^+ \rightarrow S$ , where  $A$  is a finite alphabet with the same cardinality of  $X$  such that  $\phi(A) = X$  and  $A^+$  is the free semigroup over  $A$ . Let  $C$  denote the set of canonical representatives of  $S$ . By Lemma 2.1,  $C$  is closed by factors so that by Lemma 2.2, there exists a uniformly recurrent infinite word  $m \in A^\infty$  such that  $F(m) \subseteq C$  and then  $\phi(F(m)) \subseteq S$ . The word  $m$  is irreducible, i.e., for any  $w \in F(m)$  there is no word  $u \in A^+$ , such that  $|u| < |w|$  and  $\phi(u) = \phi(w)$ .

We prove now that the following fact holds:

*Fact 1.* There exists  $K > 0$  such that if  $\lambda w \mu \in F(m)$  and  $|w| \geq K$ , then  $\phi(w) \mathcal{R} \phi(w\mu)$  and  $\phi(w) \mathcal{L} \phi(\lambda w)$ .

Indeed, consider the set  $\phi(F(m))$ . Since  $T$  satisfies  $\min_B$ , there exists an element  $s_0 \in \phi(F(m))$  which is minimal with respect to the relation  $\leq_B$ . Let  $x \in F(m)$  be such that  $\phi(x) = s_0$ . We take  $K = \delta(x)$ , where  $\delta$  is the uniform recurrence function of  $m$ .

Let  $\lambda w \mu \in F(m)$  with  $\lambda, \mu \in A^*$  and  $|w| \geq K$ . Since  $m$  is uniformly recurrent, there exist  $z', z \in A^*$  such that  $w = z'xz$ . Moreover, there exists  $u \in A^*$  such that

$$\lambda z' x z \mu u \lambda z' x z \mu \in F(m).$$

This implies

$$x z \mu u \lambda z' x \in F(m).$$

Since

$$\phi(x z \mu u \lambda z' x) = s_0 \phi(z \mu u \lambda z') s_0 \leq_B s_0,$$

one has, by the minimality of  $s_0$  with respect to  $\leq_B$ , that

$$s_0 \phi(z \mu u \lambda z') s_0 \equiv_B s_0.$$

By Lemma 3.1 one easily derives

$$\phi(x) \mathcal{R} \phi(xz) \mathcal{R} \phi(xz\mu)$$



and

$$\phi(x) \mathcal{L} \phi(z'x) \mathcal{L} \phi(\lambda z'x).$$

Since  $\mathcal{R}$  is left-invariant and  $\mathcal{L}$  is right-invariant, it follows that

$$\phi(w) = \phi(z'xz) \mathcal{R} \phi(w\mu)$$

and

$$\phi(w) = \phi(z'xz) \mathcal{L} \phi(\lambda w),$$

which concludes the proof of Fact 1.

Since  $m$  is uniformly recurrent, we can factorize  $m$  as

$$m = w\lambda_0 w\lambda_1 w\lambda_2 \cdots w\lambda_n \cdots,$$

where  $|w| = K$  and for all  $i \geq 0$ ,  $|\lambda_i| \leq \delta(w) = D$ , where  $\delta$  is the uniform recurrence function of  $m$ . We can then consider the alphabet  $Y = \{w\lambda_i \mid i \geq 0\}$ ;  $Y$  is trivially finite; one can rewrite  $m$  on the alphabet  $Y$  as an infinite word  $s \in Y^\infty$ ,

$$s = y_0 y_1 y_2 \cdots y_n \cdots,$$

where  $y_i = w\lambda_i \in Y$  for  $i \geq 0$ . Moreover, we can suppose that  $s$  is uniformly recurrent as an element of  $Y^\infty$ . Indeed, otherwise, by Lemma 2.2 there exists a uniformly recurrent word  $t \in Y^\infty$  such that  $F(t) \subseteq F(s)$ , so that we can identify  $s$  with  $t$ . Then we can write

$$m = y_0 \mu_0 y_0 \mu_1 y_0 \cdots y_0 \mu_n y_0 \cdots,$$

where  $y_0 = w\lambda_0 = w\lambda$  (we set  $\lambda = \lambda_0$ ) and

$$\begin{aligned} \mu_0 &= w\lambda_1 \cdots w\lambda_{j_1} \\ \mu_1 &= w\lambda_{j_1+1} \cdots w\lambda_{j_2} \\ &\vdots \\ \mu_k &= w\lambda_{j_k+1} \cdots w\lambda_{j_{k+1}} \\ &\vdots \end{aligned}$$

In view of the uniform recurrence of  $s$ , for any  $k \geq 0$  one has  $j_{k+1} - j_k < M$  for a suitable  $M$ . We prove now that for any  $k \geq 0$

$$\phi(w\lambda) \mathcal{H} \phi(\mu_k w\lambda) \mathcal{H} \phi(w\lambda \mu_k w\lambda). \quad (2)$$

Indeed, since  $w\lambda \mu_k w\lambda \in F(m)$ , by Fact 1 one has

$$\phi(w) \mathcal{R} \phi(w\lambda) \mathcal{R} \phi(w\lambda \mu_k w\lambda) \quad (3)$$

and

$$\phi(w\lambda) \mathcal{L} \phi(\mu_k w\lambda) \mathcal{L} \phi(w\lambda \mu_k w\lambda). \quad (4)$$

Moreover,  $\mu_k \in wA^*$  so that, since  $\mu_k w\lambda \in F(m)$ , one has by Fact 1

$$\phi(w) \mathcal{R} \phi(\mu_k w\lambda). \quad (5)$$

Hence from Eqs. (3), (4), and (5) one derives Eq. (2).

Let us now set  $t_k = \mu_k w\lambda$ ; from (2) one has, for all  $k \geq 0$ ,

$$\phi(w\lambda t_k) \mathcal{H} \phi(w\lambda) \mathcal{H} \phi(t_k).$$

If  $H$  is the  $\mathcal{H}$ -class of  $\phi(w\lambda)$ , then  $\phi(w\lambda t_k)$ ,  $\phi(w\lambda)$ ,  $\phi(t_k) \in H$ , so that  $H^2 \cap H \neq \emptyset$  and  $H$  is a group (by a theorem of Green). Hence  $m$  can be rewritten as

$$m = w\lambda t_0 t_1 \cdots t_k \cdots,$$

where  $\phi(t_j) \in H$ ,  $j \geq 0$ , and  $|t_j| \leq (M+1)(D+K)$ . Thus all the factors of  $m$  of the kind  $t_r \cdots t_s$  are such that  $\phi(t_r \cdots t_s)$  belong to a subgroup  $G$  of  $H$  generated by a finite subset of  $T'$ . By hypothesis  $G$  is finite so that there exist two factors  $t_0 \cdots t_i$  and  $t_0 \cdots t_j$ ,  $i < j$ , such that  $\phi(t_0 \cdots t_i) = \phi(t_0 \cdots t_j)$ ; this is absurd since  $m$  is irreducible. ■

We remark that a different proof of Theorem 3.1, based on a suitable  $J$ -depth decomposition on the semigroup  $S$ , was sketched by us in [11].

If in the preceding theorem we identify  $T'$  with  $T$ , then one obtains, by Proposition 3.3, the following:

**COROLLARY 3.1.** *Let  $T$  be a semigroup satisfying the minimal condition on principal bi-ideals. If the subgroups of  $T$  are locally finite, then  $T$  is locally finite.*

We note that Corollary 3.1 is a remarkable generalization of the theorem of Coudrain and Schützenberger [2], since in the latter theorem one supposes that *all* subgroups of  $T$  are finite.

**COROLLARY 3.2.** *Let  $T$  be a semigroup satisfying  $\min_B$ . If  $T'$  is a periodic subsemigroup whose subgroups are locally finite, then  $T'$  is locally finite.*

*Proof.* Let  $G$  be a subgroup of  $T$  generated by a finite subset  $X$  of  $T'$ . In view of the periodicity of  $T'$  one has  $G \subseteq T'$ ; indeed in this case the inverse of any element  $x \in X$  is still an element of  $T'$ . Since the subgroups of  $T'$  are locally finite then  $G$  is finite. Hence from Theorem 3.1 the result follows. ■

Let us remark that if we drop the hypothesis that  $T'$  is periodic, Corollary 3.2 does not, in general, hold true. For instance, take  $T$  equal to the group of integers and  $T'$  equal to the subsemigroup of positive integers.

**COROLLARY 3.3.** *Let  $T$  be a periodic semigroup satisfying  $\min_J$ . If  $T'$  is a subsemigroup of  $T$  whose subgroups are locally finite, then  $T'$  is locally finite.*

*Proof.* From Proposition 3.2,  $T$  satisfies  $\min_B^*$ , so that by Lemma 3.2,  $T$  satisfies  $\min_B$ . Since  $T'$  is periodic, by Corollary 3.2 the result follows. ■

#### 4. AN APPLICATION TO THE BURNSIDE PROBLEM FOR SEMIGROUPS OF MATRICES

In this section we give a new proof of the McNaughton and Zalcstein theorem [12] on the local finiteness of periodic semigroups of matrices of a finite dimension on a field. In particular, we show that semigroups of all  $n \times n$  matrices over a field satisfy some interesting minimal conditions. Using these conditions one can reduce the local finiteness of a subsemigroup of matrices to that of one of its subgroups.

Throughout this section  $F$  denotes a field and  $\mathcal{M}_n(F)$  the semigroup of  $n \times n$  squares matrices over  $F$ . We identify, up to an isomorphism,  $\mathcal{M}_n(F)$  with the semigroup  $\text{End}_n(V, F)$  of endomorphisms of a vectorial space  $V$  of dimension  $n$  over the field  $F$ . Let us recall that for  $f \in \text{End}_n(V, F)$ ,  $\text{Im}(f) = \{v \in V \mid wf = v \text{ for some } w \in V\}$ ,  $\text{Ker}(f) = \{v \in V \mid vf = 0\}$  and  $\text{rank}(f) = \dim(\text{Im}(f)) = \dim(V/\text{Ker}(f))$ . The following theorem (cf. [7]) gives a characterization of Green's relations in  $\text{End}_n(V, F)$ .

**THEOREM 4.1.** *Let  $f, g \in \text{End}_n(V, F)$ . One has*

- (i)  $f \mathcal{J} g$  if and only if  $\text{rank}(f) = \text{rank}(g)$ ,
- (ii)  $f \mathcal{L} g$  if and only if  $\text{Im}(f) = \text{Im}(g)$ ,
- (iii)  $f \mathcal{R} g$  if and only if  $\text{Ker}(f) = \text{Ker}(g)$ .

**PROPOSITION 4.1.** *The semigroup  $\text{End}_n(V, F)$  satisfies  $\min_L$  and  $\min_R$ .*

*Proof.* We prove that  $\text{End}_n(V, F)$  satisfies  $\min_L$ . Let us denote  $\text{End}_n(V, F)$  by  $S$ . Suppose, by contradiction, that there exists an infinite strictly descending chain of principal left ideals

$$S^1 f_1 \supset S^1 f_2 \supset \cdots \supset S^1 f_i \supset \cdots.$$

For any  $i \geq 1$  one has  $f_{i+1} = xf_i$ ,  $x \in S^1$ , and so  $\text{rank}(f_{i+1}) \leq \text{rank}(f_i) \leq n$ . Thus there exists an integer  $m$  such that for any  $i \geq m$  one has

$$\text{rank}(f_i) = \text{rank}(f_m).$$

On the other hand for any  $i \geq m$  one has also

$$\text{Im}(f_m) \supseteq \text{Im}(f_i)$$

and, since  $\dim(\text{Im}(f_i)) = \dim(\text{Im}(f_m))$ , one obtains  $\text{Im}(f_m) = \text{Im}(f_i)$ . Thus by Theorem 4.1, one derives for any  $i \geq m$ ,  $f_i \mathcal{L} f_m$  and this is a contradiction.

Let us prove now that  $\text{End}_n(V, F)$  satisfies  $\min_R$ . Suppose by contradiction that there exists an infinite strictly descending chain of principal right-ideals

$$f_1 S^1 \supset f_2 S^1 \supset \dots \supset f_i S^1 \supset \dots$$

As before, for any  $i \geq 1$  one has  $f_{i+1} = f_i x$ ,  $x \in S^1$ , and so  $\text{rank}(f_{i+1}) \leq \text{rank}(f_i)$ . Thus there exists an integer  $m$  such that for any  $i \geq m$  one has  $\text{rank}(f_i) = \text{rank}(f_m)$ . Moreover for any  $i \geq m$  one has  $\text{Ker}(f_m) \subseteq \text{Ker}(f_i)$  and, since  $\dim(V/\text{Ker}(f_i)) = \dim(V/\text{Ker}(f_m))$ , one derives  $\text{Ker}(f_m) = \text{Ker}(f_i)$ . Thus by Theorem 4.1, for any  $i \geq m$ ,  $f_i \mathcal{R} f_m$  and this is absurd. ■

**COROLLARY 4.1** (McNaughton and Zalcstein). *Let  $S$  be a finitely generated subsemigroup of  $\mathcal{M}_n(F)$ . If  $S$  is periodic, then  $S$  is finite.*

*Proof.* Let  $S$  be a finitely generated and periodic subsemigroup of  $\mathcal{M}_n(F)$ . Since  $\mathcal{M}_n(F)$  is isomorphic to  $\text{End}_n(V, F)$ , it follows by Proposition 4.1 that  $\mathcal{M}_n(F)$  satisfies  $\min_R$  and  $\min_L$  and then, by Proposition 3.4, also the condition  $\min_B$ . It is well known that any finitely generated and periodic subgroup of  $\mathcal{M}_n(F)$  is finite (cf. [6]). Then all finitely generated subgroups of  $S$  are finite. From Corollary 3.2 it follows that  $S$  is finite. ■

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