

Quantum Convolution of Linearly Recursive Sequences

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1. INTRODUCTION

Let k be a field, $A = k[x]$. We identify an element f in the dual space A^* with the sequences $(f_n)_{n \geq 0} = (f_0, f_1, f_2, \dots)$, where $f_n = f(x^n)$ for $n \geq 0$. A is a Hopf algebra with x primitive, i.e., the comultiplication Δ is given by putting $\Delta x = 1 \otimes x + x \otimes 1$ and requiring Δ to be an algebra morphism from A to $A \otimes A$. Thus

$$\Delta(x^n) = \sum_{i=0}^n \binom{n}{i} x^i \otimes x^{n-i}, \quad \text{for all } n \geq 0,$$

$\binom{n}{i}$ the binomial coefficient. The composite map $A^* \otimes A^* \rightarrow (A \otimes A)^* \rightarrow A^*$, defines a (convolution) product on A^* , where the first arrow is the canonical identification $(f \otimes g)(a \otimes b) = f(a)g(b)$, and the second arrow is Δ^* . Concretely A^* is an algebra under the convolution product $(f_n) * (g_n) = (h_n)$, where $h_n = \sum_{i=0}^n \binom{n}{i} f_i g_{n-i}$ for $n \geq 0$.

The algebra A has a (continuous) dual coalgebra $A^\circ = \{f \text{ in } A^* \mid f(J)$

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$= 0$ for some cofinite ideal J of A), i.e., A/J is finite dimensional. $\Delta f = \sum g_i \otimes h_i$ for g_i, h_i in A° means that $f(ab) = \sum g_i(a)h_i(b)$ for all a, b in A . It is well known that if A is a Hopf algebra, then A° is also a Hopf algebra. In particular, A° is closed under the convolution product on A^* .

Since a cofinite ideal J of $A = k[x]$ is just a nonzero ideal generated by a monic polynomial $h(x) = x^r - h_1x^{r-1} - \cdots - h_r$, the condition $f(J) = 0$ means that $f_n = h_1f_{n-1} + \cdots + h_rf_{n-r}$ for all $n \geq r$, i.e., f is linearly recursive, satisfying the recursive relation $h(x)$. Thus the space of linearly recursive sequences forms a Hopf algebra, whose product is the convolution product. See [P-T] and [T3] for a development of this structure, and [K, Mo, S] for Hopf algebra background.

Let q be a nonzero element of k , $\binom{n}{i}_q$ the Gaussian polynomial $(n)_q! / ((i)_q!(n-i)_q!)$, where

$$(n)_q = \frac{q^n - 1}{q - 1} = 1 + q + \cdots + q^{n-1} \text{ and } (n)_q! = (n)_q(n-1)_q \cdots (1)_q.$$

If $q = 1$, then $\binom{n}{i}$ is the ordinary binomial coefficient. In this paper, we will show that if q is a root of unity, then the space of linearly recursive sequences is closed under the quantum convolution product $(f_n)_* (g_n) = (h_n)$, where $h_n = \sum_{i=0}^n \binom{n}{i}_q f_i g_{n-i}$. We also show that this is not the case when q is not a root of unity (Section 6).

We first obtain this result in the framework of a duality result concerning bialgebras in the braided monoidal category of modules over a quasitriangular Hopf algebra (Sections 2 and 3). In Section 5, we obtain the closure result by a direct combinatorial computation. In particular, we can display a recursive relation satisfied by a quantum product in terms of relations satisfied by the factors.

2. QUASITRIANGULAR BIALGEBRAS

A bialgebra H is called *quasitriangular* if there is an invertible element R in $H \otimes H$ such that

$$\Delta^{\text{op}}(h) = R\Delta(h)R^{-1}, \quad \text{for } h \text{ in } H, \quad (1)$$

$$(\Delta \otimes I)R = R_{13}R_{23}, \quad (2)$$

$$(I \otimes \Delta)R = R_{13}R_{12}. \quad (3)$$

Here, $\Delta^{\text{op}}(h) = \sum h_2 \otimes h_1$, where $\Delta h = \sum h_1 \otimes h_2$ (Sweedler notation). We

also use (e.g., in Section 3) the notation $\Delta h = \sum h_{(1)} \otimes h_{(2)}$. If $R = \sum R_i \otimes R'_i$, then $R_{12} = \sum R_i \otimes R'_i \otimes 1$, $R_{23} = \sum 1 \otimes R_i \otimes R'_i$, and $R_{13} = \sum R_i \otimes 1 \otimes R'_i$. The terminology is due to Drinfeld [D]. See also [K], where H is called a *braided bialgebra*.

We consider the category ${}_H \mathcal{M}$ of left H -modules. ${}_H \mathcal{M}$ is a braided monoidal category. The monoidal structure uses only the bialgebra structure of H . If $M, N \in {}_H \mathcal{M}$, then $M \otimes N \in {}_H \mathcal{M}$, where $h \cdot (m \otimes n) = \sum (h_1 \cdot m) \otimes (h_2 \cdot n)$. The braiding in ${}_H \mathcal{M}$ uses the matrix $R = \sum R_i \otimes R'_i$ and is given by the twist map $t_{M,N}: M \otimes N \rightarrow N \otimes M$, where $t_{M,N}(m \otimes n) = \sum (R'_i \cdot n) \otimes (R_i \cdot m)$. If H is cocommutative and $R = 1 \otimes 1$, then $t_{M,N}$ is the usual twist map.

A bialgebra H is called *coquasitriangular* (see [Mo]) if there is a bilinear form $\langle \cdot, \cdot \rangle$ in $(H \otimes H)^*$ which is convolution invertible in $(H \otimes H)^*$, such that

$$\sum \langle h_1, k_1 \rangle k_2 h_2 = \sum \langle h_2, k_2 \rangle h_1 k_1, \quad (1')$$

$$\langle h, k \cdot \rangle = \sum \langle h_1, k \rangle \langle h_2, \cdot \rangle, \quad (2')$$

$$\langle hk, \cdot \rangle = \sum \langle h, \cdot \rangle \langle k, \cdot \rangle. \quad (3')$$

Let \mathcal{M}^H be the category of right H -comodules. If $M \in \mathcal{M}^H$ with comodule structure map $\rho: M \rightarrow M \otimes H$, we use that notation $\rho(m) = \sum m_0 \otimes m_1$, $m_0 \in M$, $m_1 \in H$. Then \mathcal{M}^H is also a braided monoidal category. The monoidal structure uses only the bialgebra structure of H and is given by $\rho(m \otimes n) = \sum m_0 \otimes n_0 \otimes m_1 n_1$, and the braiding is given by $t_{M,N}(m \otimes n) = \sum \langle n_1, m_1 \rangle n_0 \otimes m_0$.

An algebra (coalgebra, bialgebra, Hopf algebra) in a braided monoidal category is one whose structure maps are morphisms in the category. Tensoring algebras or coalgebras involves the braiding. If A and B are algebras in the category, then so is $A \otimes B$ (see [Ma2]) via

$$A \otimes B \otimes A \otimes B \xrightarrow{I \otimes t_{B,A} \otimes I} A \otimes A \otimes B \otimes B \xrightarrow{m_A \otimes m_B} A \otimes B.$$

Similarly, if C, D are coalgebras in the category, then so is $C \otimes D$ via

$$C \otimes D \xrightarrow{\Delta_C \otimes \Delta_D} C \otimes C \otimes D \otimes D \xrightarrow{I \otimes t_{C,D} \otimes I} C \otimes D \otimes C \otimes D.$$

In particular, if A is a bialgebra in the category, then $\Delta_A: A \rightarrow A \otimes A$ is an algebra map in the category, where $A \otimes A$ has the above algebra structure using $t_{A,A}$.

3. DUALITY

The object of this section is to show that if H is a quasitriangular Hopf algebra with a bijective antipode S , ${}_H\mathcal{M}$ the braided monoidal category of left H -modules, and A a bialgebra in ${}_H\mathcal{M}$, then A° is also a bialgebra in ${}_H\mathcal{M}$. This extends to Hopf algebras in ${}_H\mathcal{M}$.

For any $V \in {}_H\mathcal{M}$, V^* admits a left H -module structure defined by $(hf)(x) = f(S(h)x)$. In general, the dual of a morphism in ${}_H\mathcal{M}$ is also a morphism in ${}_H\mathcal{M}$. For $h \in H$, denote by \hat{h} the linear endomorphism on V which maps $x \mapsto hx$ for $x \in V$. This notation will be used in the proof without further explanation.

Let $(A, m_A, \mu_A, \Delta_A, \epsilon_A)$ be a bialgebra in ${}_H\mathcal{M}$ and

$$A^\circ = \{f \in A^* \mid \ker f \supset \text{an ideal of } A \text{ of finite codimension}\},$$

where A is an H -module and m_A, Δ_A, μ_A , and ϵ_A are the multiplication, comultiplication, unit, and counit of A , respectively, and are morphisms in ${}_H\mathcal{M}$. It is generally true that $(A^\circ, m_A^*, \mu_A^*)$ is a coalgebra and $(A^*, \Delta_A^*, \epsilon_A^*)$ is an algebra (see [S]). Actually, A° is a subalgebra of $(A^*, \Delta_A^*, \epsilon_A^*)$. Before proving this lemma, let us recall some basic facts about an algebra B . For $f \in B^*$ and $a, b \in B$, define

$$(a \rightharpoonup f)(b) = f(ba),$$

$$(f \leftharpoonup a)(a) = f(ab).$$

\leftharpoonup and \rightharpoonup defines a B - B -bimodule structure on B^* . We have the following lemma (see [Mo]).

LEMMA 3.1. *Let B be an algebra and $f \in B^*$. Then the following are equivalent:*

- (i) $\ker f \supseteq$ a finite codimensional ideal of B .
- (ii) $\dim(B \rightharpoonup f) < \infty$.
- (iii) $\dim(f \leftharpoonup B) < \infty$.

LEMMA 3.2. A° is a subalgebra of $(A^*, \Delta_A^*, \epsilon_A^*)$.

Proof. Suppose $R = \sum_{i=1}^n r_{1i} \otimes r_{2i}$. For $f, g \in A^*$ and $a, b \in A$,

$$\begin{aligned} (fg \leftharpoonup a)(b) &= (fg)(ab) \\ &= (f \otimes g)\Delta_A(ab) \\ &= (f \otimes g)(\Delta_A(a)\Delta_A(b)) \\ &= \sum (f \otimes g)(a_{(1)}(r_{2i}b_{(1)}) \otimes (r_{1i}a_{(2)})b_{(2)}), \end{aligned}$$

where $\Delta_A(a) = \sum a_{(1)} \otimes a_{(2)}$ and $\Delta_A(b) = \sum b_{(1)} \otimes b_{(2)}$. Therefore,

$$\begin{aligned} (fg \leftarrow a)(b) &= \sum f(a_{(1)}(r_{2i}b_{(1)}))g((r_{1i}a_{(2)})b_{(2)}) \\ &= \sum ((f \leftarrow a_{(1)}) \otimes (g \leftarrow r_{1i}a_{(1)}))(\hat{r}_{2i} \otimes \text{id}_A)\Delta_A(b). \end{aligned}$$

Therefore,

$$fg \leftarrow A \subseteq \sum_{i=1}^n \Delta_A^*(\hat{r}_{2i} \otimes \text{id}_A)^*((f \leftarrow A) \otimes (g \leftarrow A)).$$

Since $f, g \in A^\circ$, the left-hand side of the above containment is finite dimensional. Hence, by Lemma 3.1, $fg \in A^\circ$. For $a, b \in A$,

$$\begin{aligned} (a \rightarrow \epsilon_A^*(1))(b) &= \epsilon_A^*(1)(ba) = \epsilon_A(ba) = \epsilon_A(b)\epsilon_A(a) \\ &= (\epsilon_A(a)\epsilon_A^*(1))(b). \end{aligned}$$

Therefore, $A \rightarrow \epsilon_A^*(1) \subseteq k\epsilon_A^*(1)$. Hence, $\epsilon_A^*(k) \subseteq A^\circ$. ■

Since A° is an algebra as well as a coalgebra, $(A^\circ)^{\text{op}}$ and $(A^\circ)^{\text{cop}}$ are an algebra and a coalgebra, respectively. We denote by $(m_{A^\circ})^{\text{op}}$ the multiplication in $(A^\circ)^{\text{op}}$ and by $(\Delta_{A^\circ})^{\text{op}}$ the coproduct on $(A^\circ)^{\text{cop}}$. Clearly,

$$(m_{A^\circ})^{\text{op}} = \Delta_A^* \tau \quad \text{and} \quad (\Delta_{A^\circ})^{\text{op}} = \tau m_A^*,$$

where τ is the usual twist map.

By the above remark, A^* is an H -module and so are $A^* \otimes A^*$ and $(A \otimes A)^*$. Let $j: A^* \otimes A^* \rightarrow (A \otimes A)^*$ be the natural embedding, defined by

$$(j(f \otimes g))(a \otimes b) = f(a)g(b)$$

for $f, g \in A^*$ and $a, b \in A$. In general, j is not an H -module homomorphism unless H is cocommutative. However, $j\tau$ is a morphism in ${}_H\mathcal{M}$.

LEMMA 3.3. $j\tau: (A^*)^{\text{op}} \otimes (A^*)^{\text{op}} \rightarrow (A \otimes A)^{* \text{op}}$ is an algebra map in ${}_H\mathcal{M}$.

Proof. It is straightforward to check that $j\tau$ is an H -module map. Let $u \otimes v, f \otimes g \in (A^*)^{\text{op}} \otimes (A^*)^{\text{op}}$ and $a \otimes b \in A \otimes A$.

$$\begin{aligned} [j\tau((f \otimes g) \cdot (u \otimes v))](a \otimes b) &= \sum_i [j\tau((r_{2i}u)f \otimes v(r_{1i}g))](a \otimes b) \\ &= \sum_i [j(v(r_{1i}g) \otimes (r_{2i}u)f)](a \otimes b) \\ &= \sum v(a_1)g(S(r_{1i})a_2)u(S(r_{2i})b_1)f(b_2) \\ &= \sum v(a_1)g(r_{1i}a_2)u(r_{2i}b_1)f(b_2), \end{aligned}$$

by Theorem VIII.2.4 of [K],

$$\begin{aligned}
& [j\tau(f \otimes g)j\tau(u \otimes v)](a \otimes b) \\
&= [j(g \otimes f) \cdot j(v \otimes u)](a \otimes b) \\
&= \sum (v \otimes u \otimes g \otimes f)(a_1 \otimes r_{2i}b_1 \otimes r_{1i}a_2 \otimes b_2) \\
&= \sum v(a_1)u(r_{2i}b_1)g(r_{1i}a_2)f(b_2) \\
&= [j\tau((f \otimes g) \cdot (u \otimes v))](a \otimes b).
\end{aligned}$$

Therefore, $j\tau$ is an algebra map. ■

THEOREM 3.4. *Let (H, R) be a quasitriangular Hopf algebra with a bijective antipode S . If $(A, m_A, \mu_A, \Delta_A, \epsilon_A)$ is a bialgebra in ${}_H\mathcal{M}$, then $(A^\circ, (m_{A^\circ})^{\text{op}}, \epsilon^*, (\Delta_{A^\circ})^{\text{op}}, \mu_A^*)$ is a bialgebra in ${}_H\mathcal{M}$. Moreover, if A is a Hopf algebra in ${}_H\mathcal{M}$ with antipode S_A , then A° is also a Hopf algebra in ${}_H\mathcal{M}$ with antipode S_A^* .*

Proof. (i) A° is an H -submodule of A^* . By means of Lemma 3.1, it suffices to show that $A \rightarrow (hf)$ is finite dimensional for any $h \in H$ and $f \in A^\circ$. For $a, b \in A$,

$$\begin{aligned}
& (a \rightarrow hf)(b) \\
&= f(S(h)(ba)) \\
&= \sum f((S(h)_{(1)}b)(S(h)_{(2)}a)) \quad (\text{since } A \text{ is an algebra in } {}_H\mathcal{M}) \\
&= \sum f((S(h_{(2)})b)(S(h_{(1)})a)) \\
&= \sum ((S(h_{(1)})a) \rightarrow f) \widehat{S(h_{(2)})}(b) \\
&= \sum \widehat{S(h_{(2)})}^* ((S(h_{(1)})a) \rightarrow f)(b).
\end{aligned}$$

Therefore, $A \rightarrow hf \subseteq \sum \widehat{S(h_{(2)})}^*(A \rightarrow f)$. As the right-hand side of the previous inclusion is finite dimensional, $A \rightarrow hf$ is finite dimensional.

(ii) $(m_{A^\circ})^{\text{op}}$ is the composite map

$$A^\circ \otimes A^\circ \xrightarrow{j\tau} (A \otimes A)^* \xrightarrow{\Delta_A^*} A^*,$$

and Δ_A^* is an H -module map. Hence, by Lemma 3.3, $(m_{A^\circ})^{\text{op}}$ is a morphism in ${}_H\mathcal{M}$. Since $m_A^*(A^\circ) \subseteq j(A^\circ \otimes A^\circ)$ and $j\tau: A^\circ \otimes A^\circ \rightarrow j(A^\circ \otimes A^\circ)$ is an isomorphism, the composition map

$$A^\circ \xrightarrow{m_A^*} j(A^\circ \otimes A^\circ) \xrightarrow{(j\tau)^{-1}} A^\circ \otimes A^\circ$$

is a morphism in ${}_H\mathcal{M}$. However, the composition map is the same as $(\Delta_{A^\circ})^{\text{op}}$ and so $(\Delta_{A^\circ})^{\text{op}}$ is a morphism in ${}_H\mathcal{M}$. The maps $A^\circ \rightarrow \mu_A^* k$ and

$k \rightarrow \epsilon_A^* A^\circ$ are obviously H -module homomorphisms. This proves that $(A^\circ, (\Delta_{A^\circ})^{\text{op}}, \epsilon_A^*)$ is an algebra in ${}_H \mathcal{M}$ and $(A^\circ, (m_{A^\circ})^{\text{op}}, \mu_A^*)$ is a coalgebra in ${}_H \mathcal{M}$.

(iii) The map $(\Delta_{A^\circ})^{\text{op}}: (A^\circ)^{\text{op}} \rightarrow (A^\circ)^{\text{op}} \otimes (A^\circ)^{\text{op}}$ is an algebra map.

Since $m_A: A \otimes A \rightarrow A$ is a coalgebra map, $m_A^*: A^{*\text{op}} \rightarrow (A \otimes A)^{*\text{op}}$ is an algebra map. Note that $A^\circ = (m_A^*)^{-1}j(A^* \otimes A^*)$, (cf. [S]). Hence $(A^\circ)^{\text{op}} = (m_A^*)^{-1}j\tau(A^{*\text{op}} \otimes A^{*\text{op}})$ is a subalgebra of $A^{*\text{op}}$ by Lemma 3.3. Since $j\tau(\Delta_{A^\circ})^{\text{op}} = m_A^*|_{(A^\circ)^{\text{op}}}$ is an algebra map, the injectivity of $j\tau$ yields that $(\Delta_{A^\circ})^{\text{op}}: (A^\circ)^{\text{op}} \rightarrow (A^\circ)^{\text{op}} \otimes (A^\circ)^{\text{op}}$ is an algebra map in ${}_H \mathcal{M}$.

Finally, suppose S_A is the antipode of A in ${}_H \mathcal{M}$. Then for $f \in A^\circ$, $a \in A$,

$$\begin{aligned} (a \rightarrow S_A^*(f))(b) &= f(S_A(ba)) \\ &= \sum_{i=1}^n f(r_{2i}S_A(a)r_{1i}S_A(b)) \quad (\text{by [Ma2, Lemma 2.3]}) \\ &= \sum_{i=1}^n (f \leftarrow r_{2i}S_A(a))\hat{r}_{1i}S_A(b). \end{aligned}$$

Therefore,

$$A \rightarrow S_A^*(f) \subseteq \sum_{i=1}^n S_A^* \hat{r}_{1i}(f \leftarrow A).$$

As the right-hand side is finite dimensional, $S_A^*(f) \in A^\circ$ and hence $S_A^*(A^\circ) \subseteq A^\circ$. By (i), $A^\circ \rightarrow {}^{S_A^*}A^\circ$ is an H -module homomorphism. Furthermore,

$$\begin{aligned} (\sum S_A^*(f_{(2)})f_{(1)})(a) &= \sum S_A^*(f_{(2)})(a_{(2)})f_{(1)}(a_{(1)}) \\ &= \sum (f_{(1)} \otimes f_{(2)})(a_{(1)} \otimes S_A(a_{(2)})) \\ &= \sum f(a_{(1)}S_A(a_{(2)})) \\ &= \sum f(\epsilon_A(a)1) \\ &= \sum (\epsilon_A^* \mu_A^*(f))(a). \end{aligned}$$

Therefore, $(m_{A^\circ})^{\text{op}}(S_A^* \otimes \text{id}_{A^\circ})(\Delta_{A^\circ})^{\text{op}} = \epsilon_A^* \mu_A^*$. Similarly, one can prove $(m_{A^\circ})^{\text{op}}(\text{id}_{A^\circ} \otimes S_A^*)(\Delta_{A^\circ})^{\text{op}} = \epsilon_A^* \mu_A^*$.

This completes the proof of the theorem. \blacksquare

Remarks. Lyubashenko considered this kind of duality in the cocompletion \mathcal{C} of a rigid braided monoidal category \mathcal{D} . Our category ${}_H \mathcal{M}$ is the category of all left modules over a quasitriangular Hopf algebra H . Our category is not, in general, such a cocompletion. For example, if $H = U(L)$,

L a finite dimensional semisimple complex Lie algebra, the cocompletion of the finite dimensional H -modules is not all the H -modules. Thus, our setting is not a particular case of the framework of [Ly].

Let H be finite dimensional. Then our category of left H -modules is the cocompletion of the category of finite dimensional left H -modules, which is a rigid braided monoidal category. For A an algebra in ${}_H\mathcal{M}$, H a quasitriangular Hopf algebra, our A° is the colimits of all finite dimensional quotients A/J , which we show is indeed in ${}_H\mathcal{M}$. The construction of A° in [Ly] would involve only finite dimensional quotients A/J , where J is an object in ${}_H\mathcal{M}$. However, we note that in this setting the two versions of A° coincide. This follows from the following proposition.

PROPOSITION 3.5. *Let H be a finite dimensional Hopf algebra, A an algebra in the category ${}_H\mathcal{M}$. If $f \in A^*$ vanishes on an ideal of A of finite codimension, then f vanishes on an H -invariant ideal of A of finite codimension.*

Proof. In order to prove this statement, it suffices to show that for each finite codimensional ideal I of an H -module algebra A , I contains a finite codimensional H -invariant ideal. Denote by A° the usual dual coalgebra of the algebra A . Since A is a left H -module algebra, A° is then a right H -module coalgebra where the right H -module action is given by

$$(fh)(x) = f(hx)$$

for $f \in A^\circ$, $h \in H$, and $x \in A$. The proof of this is essentially the same as the proof of Theorem 3.4(i), with $S(h)$ replaced by h . Let I be a finite codimensional ideal of A . Then $I^\perp = \{f \in A^* \mid f(x) = 0 \text{ for } x \in I\}$ is a finite dimensional subcoalgebra of A° . Then $I^\perp H$ is also a finite dimensional subcoalgebra of A° . Hence, $J = (I^\perp H)^\perp$ is a finite codimensional ideal in A and $J \subset I$. Moreover, J is H -invariant. ■

4. THE QUANTUM PRODUCT OF LINEARLY RECURSIVE SEQUENCES

Let q be a primitive n th root of unity in k . Let $A = k[x]$. In this section, we show that the space A° of linearly recursive sequences is closed under quantum convolution $(f)_*(g) = (h)$, where $h_m = \sum_{i=0}^m \binom{m}{i}_q f_i g_{m-i}$ for $m \geq 0$.

Let G be the cyclic group of order n generated by g . The group algebra $H = k[G]$ is a Hopf algebra in the usual way, with $\Delta g = g \otimes g$ for g in G . H is a quasitriangular Hopf algebra with respect to $R =$

$(1/n)\sum_{i,j=0}^{n-1} q^{-ij}(g^i \otimes g^j)$ (see [Ma1, Prop. 2.1] or [Ma2, Example 1.7]). Thus ${}_H\mathcal{M}$ is a braided monoidal category.

We consider $A = k[x]$ in ${}_H\mathcal{M}$ by $g^i \cdot x^j = q^{ij}x^j$. It is easy to see that A is an algebra in ${}_H\mathcal{M}$. The braiding $t_{A,A}$ is given by $t_{A,A}(x^{\prime} \otimes x^m) = q^{-m}(x^m \otimes x^{\prime})$. For

$$\begin{aligned} t_{A,A}(x^{\prime} \otimes x^m) &= \frac{1}{n} \sum_{i,j=0}^{n-1} q^{-ij}(g^i \cdot x^m) \otimes (g^j \cdot x^{\prime}) \\ &= \left(\frac{1}{n} \sum_{i,j=0}^{n-1} q^{-ij} q^{im} q^{j\prime} \right) (x^m \otimes x^{\prime}). \end{aligned}$$

Write $\prime = an + r$, $m = bn + s$ with $0 \leq r, s < n$. Then

$$\begin{aligned} \frac{1}{n} \sum_{i,j=0}^{n-1} q^{-ij} q^{im} q^{j\prime} &= \frac{1}{n} \sum_{i,j=0}^{n-1} q^{-ij} q^{is} q^{jr} \\ &= \frac{1}{n} \sum_{i=0}^{n-1} q^{is} \left(\sum_{j=0}^{n-1} q^{j(r-i)} \right). \end{aligned}$$

If $i \neq r$,

$$\sum_{j=0}^{n-1} q^{j(r-i)} = \frac{(q^{r-i})^n - 1}{q^{r-i} - 1} = 0.$$

Hence $t_{A,A}(x^{\prime} \otimes x^m) = q^{rs}(x^m \otimes x^{\prime}) = q^{-m}(x^m \otimes x^{\prime})$. Then $A \otimes A$ is an algebra with $(x^i \otimes x^j)(x^{\prime} \otimes x^m) = q^{j\prime} x^{i+\prime} \otimes x^{j+m}$. We consider A as a bialgebra in ${}_H\mathcal{M}$ by putting $\Delta x = 1 \otimes x + x \otimes 1$ and requiring Δ to be an algebra map in ${}_H\mathcal{M}$. Note that $(x \otimes 1)(1 \otimes x) = x \otimes x$ but that $(1 \otimes x)(x \otimes 1) = q(x \otimes x)$. The q -binomial theorem (see [K]) then gives that $\Delta x^m = \sum_{i=0}^m \binom{m}{i}_q x^i \otimes x^{m-i}$ for all $m \geq 0$. Note that x^n is primitive, since $\binom{n}{i}_q = 0$ for $1 \leq i \leq n-1$. The counit ϵ of A is given as usual by $\epsilon(x^i) = \delta_{0,i}$. One checks easily that Δ and ϵ are morphisms in ${}_H\mathcal{M}$, ϵ is an algebra map in ${}_H\mathcal{M}$, and thus A is a bialgebra in ${}_H\mathcal{M}$. A is a Hopf algebra in ${}_H\mathcal{M}$ with antipode S defined inductively. $S(1) = 1$ and $S(x) = -x$. Then $S(x^m) = -\sum_{i=0}^{m-1} \binom{m}{i}_q S(x^i) x^{m-i}$ for $m > 1$.

We stress that A is not a bialgebra (Hopf algebra) in the usual sense, but rather is such in the braided monoidal category ${}_H\mathcal{M}$. As an algebra in ${}_H\mathcal{M}$, A is not commutative. In fact, x does not “commute” with itself, since $x \otimes x \rightarrow x^2$, but $t_{A \otimes A}(x \otimes x) = q(x \otimes x) \rightarrow qx^2$. Similarly, A is not generally cocommutative as a coalgebra in ${}_H\mathcal{M}$.

The results of Section 3 now show that the space A° of linearly recursive sequences is a Hopf algebra in ${}_H \mathcal{M}$. The convolution product in A^* is given by $(f_m)_* (g_m) = (h_m)$, where $h_m = \sum_{i=0}^m \binom{m}{i}_q f_i g_{m-i}$. Thus we have:

THEOREM 4.1. *Let q be a root of unity in k . Then the space of linearly recursive sequences is closed under the product $(f_n)_*(g_n) = (h_n)$, where $h_n = \sum_{i=0}^n \binom{n}{i}_q f_i g_{n-i}$.*

Remark. Theorem 4.1 also follows from the corollary in Section 3.5 of [Ly]. See the remarks at the end of Section 3 and Proposition 3.5.

Since H is quasitriangular, H^* is coquasitriangular. Let $\{h_0, \dots, h_{n-1}\}$ be the basis of H^* dual to $\{1, g, g^2, \dots, g^{n-1}\}$, i.e., $h_i(g^j) = \delta_{ij}$. Then H^* is coquasitriangular via $\langle h_i, h_j \rangle = (h_i \otimes h_j)(R) = (1/n)q^{-ij}$. $A = k[x]$ is in \mathcal{M}^{H^*} via $\rho(x^i) = x^i \otimes \sum_{j=0}^{n-1} q^{ij} h_j$. Note that the structure of A in ${}_H \mathcal{M}$ is the (rational) left H -module structure induced by ρ . The twist on $A \otimes A$ in \mathcal{M}^{H^*} is the same as that in ${}_H \mathcal{M}$. In this case, we know from ${}_H \mathcal{M}$ that A° is closed under quantum convolution.

We consider H as coquasitriangular via $\langle g^i, g^j \rangle = q^{ij}$. This comes from the bicharacter on G with $\langle g, g \rangle = q$. (See [Mo, Examples 10.2.6 and 10.2.7]). A is in \mathcal{M}^H via $\rho'(x^j) = x^j \otimes g^j$ and the twist $t_{A \otimes A}$ in \mathcal{M}^H remains as before.

The dual quasitriangular structure on H^* is given by $R' = \sum_{i,j=0}^{n-1} q^{ij} (h_i \otimes h_j)$. A is in ${}_{H^*} \mathcal{M}$ via $h_i \cdot x^j = \delta_{ij} x^j$, where $\bar{j} \equiv j \pmod{n}$, $0 \leq \bar{j} \leq n-1$. This is the (rational) left H^* -module structure induced by ρ' . The twist $t_{A, A}$ in ${}_H \mathcal{M}$ again does not change.

The last two paragraphs might appear redundant, since $H = k\mathbb{Z}_n$ is self-dual (i.e., isomorphic to H^* as Hopf algebras). However, we note that the structure of H as coquasitriangular Hopf algebra works also for $k\mathbb{Z}$, where we do not require that q be a root of unity. We write $\mathbb{Z} = \langle g \rangle$ with g of infinite order, to compare with the previous situation. Then we define $\langle g^i, g^j \rangle = q^{ij}$ for all $i, j \geq 0$. A is a right $k\mathbb{Z}$ -comodule via $\rho'(x^j) = x^j \otimes g^j$ for $j \geq 0$. The twist $t_{A, A}$ remains the same, i.e., $t_{A, A}(x^i \otimes x^j) = q^{ij} (x^j \otimes x^i)$ for all $i, j \geq 0$. In Section 6, we will show that if q is not a root of unity, then A° is not closed under quantum convolution.

For a Hopf algebra A in ${}_H \mathcal{M}$ for a quasitriangular Hopf algebra H , one can construct an ordinary Hopf algebra called the bosonization $B(A)$ of A . (See [Ma2, Theorem 4.11], [Ma3], and [R].) We compute $B(A)$ for $A = k[x]$ and $H = k\mathbb{Z}_n$ as above. As an algebra, $B(A) = A \# H$ is the smash product of A and H . Thus $A = A \# 1$ and $H = 1 \# H$ are subalgebras, and $(1 \# g)(x \# 1) = (g \cdot x) \# g = q(x \# g) = q(x \# 1)(1 \# g)$. Identifying

x with $x \# 1$, and g with $1 \# g$, then $gx = q x g$. The coalgebra structure depends on $R = (1/n) \sum_{i,j=0}^{n-1} q^{-ij} (g^i \otimes g^j)$. Then

$$\begin{aligned} \Delta(1 \# g) &= \frac{1}{n} \sum_{i,j=0}^{n-1} q^{-ij} (1 \# (g^j g)) \otimes ((g^i \cdot 1) \# g) \\ &= \left(1 \# \frac{1}{n} \sum_{i,j=0}^{n-1} q^{-ij} g^{j+1} \right) \otimes (1 \# g). \end{aligned}$$

Now $\sum_{i,j=0}^{n-1} q^{-ij} g^{j+1} = \sum_j g^{j+1} (\sum_i (q^{-ij})^i)$. The inner sum is 0 unless $j = 0$ and then it is n . So, with our identification, $\Delta g = g \otimes g$. Similarly,

$$\begin{aligned} \Delta(x \# 1) &= \frac{1}{n} \sum_{i,j} (1 \# q^{-ij} g^j) \otimes ((g^i \cdot x) \# 1) \\ &\quad + \frac{1}{n} \sum_{i,j} (x \# q^{-ij} g^j) \otimes ((g^i \cdot 1) \# 1) \\ &= \left(1 \# \frac{1}{n} \sum q^{-ij+i} g^j \right) \otimes (x \# 1) + \left(x \# \frac{1}{n} \sum q^{-ij} g^j \right) \otimes (1 \# 1). \end{aligned}$$

$\sum_{i,j} q^{-ij+i} g^j = \sum_j g^j (\sum_i (q^{1-j})^i)$. The inner sum is 0 unless $j = 1$, and it is n if $j = 1$. Thus the sum is ng . Also, $\sum_{i,j} q^{-ij} g^j = \sum_j g^j \sum_i (q^{-j})^i$. The inner sum is 0 unless $j = 0$, so the sum is n . So, in the shortened notation, $\Delta x = g \otimes x + x \otimes 1$. Thus $B(A) = k\langle g, x \rangle$ with $g^n = 1$ and $gx = q x g$, $\Delta g = g \otimes g$, and $\Delta x = g \otimes x + x \otimes 1$. This is related to the finite dimensional Hopf algebra constructed in [T1], which is now denoted $H_n(q^{-1})$, where the additional relation $x^n = 0$ is imposed.

One can also compute the bosonization of A in ${}_{H^*} \mathcal{M}$, and the cobosonization of A in \mathcal{M}^H and \mathcal{M}^{H^*} (see Theorem 4.14 of [Ma2]).

The cobosonization of A in \mathcal{M}^H is isomorphic to the bosonization of A in ${}_H \mathcal{M}$ described above. The bosonization of A in ${}_{H^*} \mathcal{M}$ and its cobosonization in \mathcal{M}^{H^*} are isomorphic. One finds that $h_i x = x h_{i-1}$, $\Delta h_i = \sum_{j+ \neq i} h_j \otimes h_{\neq j}$, and $\Delta x = (\sum_{i=0}^{n-1} q^i h_i) \otimes x + x \otimes 1$ for $B(A)$ in ${}_{H^*} \mathcal{M}$ (all indices are modulo n , i.e., between 0 and $n - 1$ inclusively). Since $y = \sum_{i=0}^{n-1} q^i h_i$ is a grouplike element of H^* with $y^n = 1$, and $yx = qxy$, the four constructions are all isomorphic. This is not surprising, since H and H^* are dual Hopf algebras, and the left module structures are rational duals of right comodule structures. See [Ma4] for details.

5. COMBINATORIAL ASPECTS

Let q be a primitive n th root of 1. We give here a direct proof that if (f_m) and (g_m) are linearly recursive, then so is $(f_m)_q * (g_m) = (h_m)$, where $h_m = \sum_{i=0}^m \binom{m}{i}_q f_i g_{m-i}$. In particular, (h_m) is an interlacing of n linearly recursive sequences. The main ingredients are certain subsequences of linearly recursive sequences, and reduction of Gaussian polynomials modulo n . Our methods will also enable us to determine which linearly recursive sequences are invertible under the quantum product, and which are zero-divisors. The following Lemmas 5.1 and 5.2 are well known. We give proofs in the spirit of earlier parts of the paper.

LEMMA 5.1. *Let $f = (f_m)$ be a linearly recursive sequence over k satisfying the relation $h(x)$. Let $\alpha_1, \dots, \alpha_r$ be the roots of $h(x)$ in \bar{k} , the algebraic closure of k . Then, for any $i, j \geq 0$, the subsequence $f^{(i,j)} = (f_i, f_{i+j}, f_{i+2j}, \dots)$ is linearly recursive and satisfies $h_j(x) = (x - \alpha_1^j) \cdots (x - \alpha_r^j)$.*

Proof. Let $A = k[x]$, so we have identified A° with the space of linearly recursive sequences. A° is an A -module by $(a \rightarrow f)(b) = f(ba)$ for a, b in A , f in A° . Then $f = (f_m)$ satisfying $h(x)$ means that $h(x) \rightarrow f = 0$ in A° .

Note that $f^{(i,j)}(x^t) = f_{i+jt} = f(x^{i+jt})$ for $t \geq 0$. Hence, for $g(x)$ in A , $f^{(i,j)}(g(x)) = f(x^i g(x^j))$. Thus $(h_j(x) \rightarrow f^{(i,j)})(x^t) = f^{(i,j)}(x^t h_j(x)) = f(x^i x^{jt} h_j(x^j)) = f(x^{i+jt} h_j(x^j))$. Since $h_j(x^j) = h(x)g(x)$ for some $g(x)$ in $k[x]$, and $h(x) \rightarrow f = 0$, we have $f(x^{i+jt} h_j(x^j)) = f(x^{i+jt} h(x)g(x)) = (h(x) \rightarrow f)(x^{i+jt} g(x)) = 0$. So $h_j(x) \rightarrow f^{(i,j)} = 0$, i.e., $f^{(i,j)}$ satisfies $h_j(x)$. ■

LEMMA 5.2. *Let q be a primitive n th root of 1. For integers $a \geq b \geq 0$, write $a = a'n + r$, $b = b'n + s$ for $0 \leq r, s < n$. Then $\binom{a}{b}_q = \binom{a'}{b'} \binom{r}{s}_q$, where $\binom{r}{s}_q = 0$ if $r < s$.*

Proof. We consider the quantum plane $k\langle x, y \rangle$ with $yx = qxy$. For $m \geq 1$, $(x + y)^m = \sum_{j=0}^m \binom{m}{j}_q x^j y^{m-j}$. Note that $\binom{n}{r}_q = 0$ for $0 < r < n$ and that x^n and y^n are in the center of $k\langle x, y \rangle$. Therefore

$$\begin{aligned} (x + y)^a &= (x + y)^{a'n} (x + y)^r = (x^n + y^n)^{a'} (x + y)^r \\ &= \left(\sum_{i=0}^{a'} \binom{a'}{i} x^{ni} y^{n(a'-i)} \right) \left(\sum_{j=0}^r \binom{r}{j}_q x^j y^{r-j} \right) \\ &= \sum_{i=0}^{a'} \sum_{j=0}^r \binom{a'}{i} \binom{r}{j}_q x^{ni+j} y^{a-ni-j}. \end{aligned}$$

But also $(x + y)^a = \sum_{t=0}^a \binom{a}{t}_q x^t y^{a-t}$. Compare the coefficients of $x^b y^{a-b}$, i.e., let $t = b$ in the second sum. If $s \leq r$, take $i = b'$ and $j = s$ in the double sum. If $r < s$, the term $x^b y^{a-b}$ does not appear in the double sum, so $\binom{a}{b}_q = 0$.

THEOREM 5.3. *Let q be a primitive n th root of unity. Let $f = (f_m)$ and $g = (g_m)$ be linearly recursive, satisfying $h(x) = \prod_i (x - \alpha_i)^{m_i}$ and $\ell(x) = \prod_j (x - \beta_j)^{n_j}$, respectively. Then the quantum product $f *_q g = h = (h_m)$, where $h_m = \sum_{t=0}^m \binom{m}{t}_q f_t g_{m-t}$, is linearly recursive, satisfying $u(x^n)$, where $u(x) = \prod_{i,j} (x - (a_i^n + \beta_j^n)^{m_i + n_j - 1})$.*

Proof. For $0 \leq r < n$ and $d \geq 0$,

$$\begin{aligned} h_{r+dn} &= \sum_{b=0}^{dn+r} \binom{dn+r}{b}_q f_b g_{r+dn-b} \\ &= \sum_{s=0}^r \sum_{j=0}^d \binom{d}{j} \binom{r}{s}_q f_{s+jn} g_{r-s+n(d-j)} \quad (\text{by Lemma 5.2}) \\ &= \sum_{s=0}^r \binom{r}{s}_q \left(\sum_{j=0}^d \binom{d}{j} \right) (f^{(s,n)})_j (g^{(r-s,n)})_{d-j}. \end{aligned}$$

Therefore, $h^{(r,n)} = \sum_{s=0}^r \binom{r}{s}_q f^{(s,n)} * g^{(r-s,n)}$, where $*$ is the usual convolution product. By Lemma 5.1, $f^{(s,n)}$ and $g^{(r-s,n)}$ are linearly recursive, satisfying $f_n(x) = \prod_i (x - \alpha_i^n)^{m_i}$ and $g_n(x) = \prod_j (x - \beta_j^n)^{n_j}$, respectively. By classical results, $h^{(r,n)}$ is linearly recursive, satisfying $u(x)$. Now h is the interlacing of $h^{(0,n)}, h^{(1,n)}, \dots, h^{(n-1,n)}$ (see [La-T]), so that h is linearly recursive and satisfies $u(x^n)$. ■

Lemma 5.2 appeared in the thesis of Gloria Olive [O]. The idea of using it to prove Theorem 5.3 was suggested by Ira Gessel, and the strategy of our proof of Theorem 5.3 was suggested by J.-P. Bézivin. We thank these mathematicians for their suggestions.

We turn now to the group of units under $*_q$. Recall that if $q = 1$, the result depends on the characteristic of k . If k has characteristic zero, the $*$ -invertible linearly recursive sequences are the nonzero geometric sequences $(a, ar, ar^2, ar^3, \dots)$. If k has positive characteristic, then a linearly recursive sequence $f = (f_m)$ is invertible if and only if $f_0 = 0$. See [T2] for a discussion of these results.

Let q be a primitive n th root of 1, $*_q$ the quantum product on the space L of linearly recursive sequences. Let ϕ be the linear map of L to L given by $\phi(f) = f^{(0,n)}$, i.e., $\phi(f_0, f_1, f_2, \dots) = (f_0, f_n, f_{2n}, \dots)$.

LEMMA 5.4. ϕ is a surjective algebra map of $(L, *_q)$ onto $(L, *)$.

Proof. Let $f, g \in L$, $h = f *_q g$. The proof of Theorem 5.3 shows that h is the interlacing of $h^{(0,n)}, h^{(1,n)}, \dots, h^{(n-1,n)}$, where $h^{(0,n)} = f^{(0,n)} *_q g^{(0,n)}$. Hence $\phi(f *_q g) = \phi(h) = h^{(0,n)} = f^{(0,n)} *_q g^{(0,n)} = \phi(f) *_q \phi(g)$. Clearly ϕ is surjective. ■

LEMMA 5.5. The kernel of ϕ is a nilpotent ideal of $(L, *_q)$.

Proof. Let $Z^{(i)}$ be the sequence with 1 in the i th coordinate and zero elsewhere. Then $Z^{(i)} *_q Z^{(j)} = \binom{i+j}{i}_q Z^{(i+j)}$. An element f in $\ker \phi$ is of the form $\sum_{i \not\equiv 0 \pmod{n}} \alpha_i Z^{(i)}$ (an infinite sum), so an element in $(\ker \phi)^n$ is of the form

$$\sum_{i_1, \dots, i_n \not\equiv 0 \pmod{n}} \alpha_{i_1, \dots, i_n} Z^{(i_1)} *_q \dots *_q Z^{(i_n)}.$$

We claim that $Z^{(i_1)} *_q \dots *_q Z^{(i_n)} = 0$ if $i_1, \dots, i_n \not\equiv 0 \pmod{n}$. Write $i_j = a_j n - r_j$ with $0 < r_j < n$ for $j = 1, \dots, n$. There is a $j' \leq n-1$ such that $r_1 + \dots + r_{j'} < n$ but $r_1 + \dots + r_{j'} + r_{j'+1} \geq n$. So, for some β in k ,

$$\begin{aligned} Z^{(i_1)} *_q \dots *_q Z^{(i_{j'+1})} &= \beta Z^{(i_1 + \dots + i_{j'})} *_q Z^{(i_{j'+1})} \\ &= \beta \binom{i_1 + \dots + i_{j'} + i_{j'+1}}{i_{j'+1}}_q Z^{(i_1 + \dots + i_{j'} + i_{j'+1})}. \end{aligned}$$

Now $i_1 + \dots + i_{j'} + i_{j'+1} = n(a_1 + \dots + a_{j'+1}) + (r_1 + \dots + r_{j'+1} - n) + n = n(a_1 + \dots + a_{j'+1} + 1) + (r_1 + \dots + r_{j'+1} - n)$, where $0 \leq r_1 + \dots + r_{j'+1} - n < n$. By Lemma 5.2,

$$\binom{i_1 + \dots + i_{j'+1}}{i_{j'+1}}_q = \binom{a_1 + \dots + a_{j'+1} + 1}{a_{j'+1}} \binom{r_1 + \dots + r_{j'+1} - n}{r_{j'+1}}_q.$$

The second factor is 0 by Lemma 5.2, since $r_1 + \dots + r_{j'} < n$. Thus $(\ker \phi)^n = 0$. ■

THEOREM 5.6. f in L is $*_q$ -invertible if and only if $\phi(f)$ is $*$ -invertible. If k has characteristic zero, f is $*_q$ -invertible if and only if $(f_0, f_n, f_{2n}, \dots)$ is a nonzero geometric sequence. If k has positive characteristic, f is $*_q$ -invertible if and only if $f_0 \neq 0$.

Proof. If f is $*_q$ -invertible, then $\phi(f)$ is $*$ -invertible by Lemma 5.4. Conversely, if $\phi(f)$ is $*$ -invertible, with $*$ -inverse $\phi(g)$, then $\phi(f) *_q \phi(g) = \epsilon (= (1, 0, 0, 0, \dots))$, the unit element of L under $*$ and $*_q$. Then $f *_q g - \epsilon$ is in $\ker \phi$ so $f *_q g = \epsilon + h$, where h is $*_q$ -nilpotent by

Lemma 5.5. Since $\epsilon + h$ is $*_q$ -invertible with $*_q$ -inverse $\epsilon - h + h^2 + \dots + (-1)^{n-1}h^{n-1}$ (where h^i is the i -fold $*_q$ -product of h with itself), it follows that f is $*_q$ -invertible. The specific form of f follows from the case $q = 1$ described before Lemma 5.4. ■

PROPOSITION 5.7. (a) If k has characteristic zero, then $\ker \phi$ is the set of zero-divisors of $(L, *_q)$. (b) If k has positive characteristic, then $f = (f_m)$ in L is a zero-divisor in $(L, *_q)$ if and only if $f_0 = 0$.

Proof. (a) The elements of $\ker \phi$ are nilpotent, hence zero-divisors. If $f *_q g = 0$ in L , with $f \neq 0$ and $g \neq 0$, then $\phi(f) * \phi(g) = 0$. If $\phi(f) \neq 0$, then $\phi(g) = 0$, since $(L, *)$ is an integral domain. So $g_i = 0$ if $i \equiv 0 \pmod{n}$, i.e., $g^{(0,n)} = 0$, in the notation of Lemma 5.1. By the proof of Theorem 5.3, $0 = f *_q g$ is the interlacing of $w^{(0)}, \dots, w^{(n-1)}$, where $w^{(i)} = \sum_{j=0}^i \binom{i}{j}_q f^{(j,n)} * g^{(i-j,n)}$. Thus each $w^{(i)} = 0$. Now $w^{(1)} = f^{(0,n)} * g^{(1,n)}$, since $g^{(0,n)} = 0$. Since $f^{(0,n)} = \phi(f) \neq 0$, we have $g^{(1,n)} = 0$. Suppose $0 = g^{(0,n)} = g^{(1,n)} = \dots = g^{(\ell,n)}$ for $\ell \leq n-2$. We show that $g^{(\ell+1,n)} = 0$, which implies that $g = 0$, a contradiction.

$$0 = w^{(\ell+1)} = \sum_{j=0}^{\ell+1} \binom{\ell+1}{j}_q f^{(j,n)} * g^{(\ell+1-j,n)} = f^{(0,n)} * g^{(\ell+1,n)}$$

by the induction assumption. Thus $g^{(\ell+1,n)} = 0$.

(b) If $f \in L$ with $f_0 \neq 0$, then f is $*_q$ -invertible, and so not a zero-divisor. Conversely, if $f_0 = 0$, then $\phi(f)$ has a zero initial coordinate, i.e., $\phi(f) = \sum_{i=1}^{\infty} \alpha_i Z^{(i)}$. Then

$$\phi(f)^p = \left(\sum_{i=1}^{\infty} \alpha_i Z^{(i)} \right)^p = \sum_{i=1}^{\infty} \alpha_i^p \binom{pi}{i, i, \dots, i} Z^{ip},$$

where the multinomial coefficient has p is in the denominator. This coefficient $= p \binom{pi-1}{i-1, i, \dots, i} = 0$ in k (see [T2]). Then $0 = \phi(f)^p = \phi(f^p)$. So $f^p \in \ker \phi$ and is nilpotent. Thus f is nilpotent and a zero-divisor. ■

6. THE CASE WHEN q IS NOT A ROOT OF UNITY

Let $q \neq 0$ in k be a nonroot of unity. Let $a \neq 0$ in k . Denote by $e(a)$ the geometric sequence $e(a) = (1, a, a^2, a^3, \dots) = (a^n)_{n \geq 0}$. $e(a)$ is linearly recursive, satisfying $x - a$. We will show that if a and b are nonzero elements of k , then $e(a) *_q e(b)$ is not linearly recursive. Let $f(a, b) = (f_n(a, b)) = e(a) *_q e(b)$. Then $f_n(a, b) = \sum_{i=0}^n \binom{n}{i}_q a^i b^{n-i}$.

We claim that

$$f_{n+1}(a, b) = (a + b)f_n(a, b) + ab(q^n - 1)f_{n-1}(a, b), \quad \text{for all } n \geq 1. \quad (\dagger)$$

(Note, this is a recursion relation with a nonconstant coefficient.)

Note that $f_0(a, b) = 1$ and $f_1(a, b) = a + b$. We will give a direct proof of (\dagger) for $n \geq 1$, based on the following two properties of Gaussian polynomials [A, (3.3.3) and (3.3.4)]:

$$(i) \quad \binom{n}{m}_q = \binom{n-1}{m}_q + q^{n-m} \binom{n-1}{m-1}_q,$$

$$(ii) \quad \binom{n}{m}_q = \binom{n-1}{m-1}_q + q^m \binom{n-1}{m}_q.$$

$$\begin{aligned} f_n(a, b) &= \sum_{i=0}^n \binom{n}{i}_q a^i b^{n-i} = \sum_{i=1}^n \binom{n-1}{i-1}_q a^i b^{n-i} + \sum_{i=0}^{n-1} \binom{n-1}{i}_q q^i a^i b^{n-i} \\ &\quad \text{(by (ii))} \\ &= a \sum_{i=0}^{n-1} \binom{n-1}{i}_q a^i b^{n-i-1} + b \sum_{i=0}^{n-1} \binom{n-1}{i}_q (qa)^i b^{n-i-1} \\ &= af_{n-1}(a, b) + bf_{n-1}(qa, b). \end{aligned}$$

But

$$\begin{aligned} &f_n(qa, b) - bf_{n-1}(qa, b) \\ &= q^n a^n + \sum_{i=0}^{n-1} \binom{n}{i}_q q^i a^i b^{n-i} - b \sum_{i=0}^{n-1} \binom{n-1}{i}_q q^i a^i b^{n-i-1} \\ &= q^n a^n + \sum_{i=0}^{n-1} \left(\binom{n}{i}_q - \binom{n-1}{i}_q \right) q^i a^i b^{n-i} \\ &= q^n a^n + \sum_{i=1}^{n-1} q^{n-i} \binom{n-1}{i-1}_q q^i a^i b^{n-i} \quad \text{(by (i))} \\ &= aq^n \left(\sum_{i=1}^{n-1} \binom{n-1}{i-1}_q a^{i-1} b^{n-i} \right) = aq^n f_{n-1}(a, b). \end{aligned}$$

Thus we have that $f_n(a, b) = af_{n-1}(a, b) + bf_{n-1}(qa, b)$ and $f_n(qa, b) = bf_{n-1}(qa, b) + aq^n f_{n-1}(a, b)$.

Combining these results,

$$\begin{aligned}
 f_{n+1}(a, b) &= af_n(a, b) + bf_n(qa, b) \\
 &= af_n(a, b) + b^2 f_{n-1}(qa, b) + abq^n f_{n-1}(a, b) \\
 &= af_n(a, b) + b[f_n(a, b) - af_{n-1}(a, b)] + abq^n f_{n-1}(a, b) \\
 &= (a + b)f_n(a, b) + ab(q^n - 1)f_{n-1}(a, b). \quad \text{This is } (\dagger).
 \end{aligned}$$

Now denote $f_n(a, b)$ by just f_n . If f were linearly recursive satisfying a relation of degree d , the Hankel matrix

$$H_d(f) = \begin{bmatrix} f_0 & f_1 & \cdots & f_d \\ f_1 & f_2 & \cdots & f_{d+1} \\ \vdots & \vdots & & \vdots \\ f_d & f_{d+1} & \cdots & f_{2d} \end{bmatrix}$$

of f would have determinant 0. We show that all Hankel matrices $H_n(f)$ of f have nonzero determinant, showing that $f = e(a) *_{\mathbf{q}} e(b)$ is not linearly recursive.

$$H_1(f) = \begin{bmatrix} 1 & a + b \\ a + b & a^2 + (q + 1)ab + b^2 \end{bmatrix}$$

has determinant $(q - 1)ab \neq 0$. We proceed by induction, using (\dagger) to perform elementary row operations on

$$H_{n+1}(f) = \begin{bmatrix} f_0 & f_1 & \cdots & f_{n+1} \\ f_1 & f_2 & \cdots & f_{n+2} \\ \vdots & \vdots & & \vdots \\ f_{n-1} & f_n & \cdots & f_{2n} \\ f_n & f_{n+1} & \cdots & f_{2n+1} \\ f_{n+1} & f_{n+2} & \cdots & f_{2n+2} \end{bmatrix}.$$

Call the rows of $H_{n+1}(f)$ by R_0, R_1, \dots, R_{n+1} . Replacing R_{n+1} by $R_{n+1} - (a + b)R_n + ab(q^n - 1)R_{n-1}$ replaces R_{n+1} by

$$[0, (q^{n+1} - q^n)abf_n, (q^{n+2} - q^n)abf_{n+1}, \dots, (q^{2n+1} - q^n)f_{2n}].$$

Then replacing R_n by $R_n - (a + b)R_{n-1} + ab(q^{n-1} - 1)R_{n-2}$ replaces R_n by

$$[0, (q^n - q^{n-1})abf_{n-1}, (q^{n+1} - q^{n-1})abf_n, \dots, (q^{2n} - q^{n-1})abf_{2n-1}].$$

Continue in this way to clear out the first column beneath f_0 and f_1 . Finally, replacing R_1 by $R_1 - (a + b)R_0$, yields the matrix

$$\begin{bmatrix} f_0 & f_1 & \cdots & f_{n+1} \\ 0 & (q-1)abf_0 & \cdots & (q^{n+1}-1)abf_n \\ 0 & (q^2-q)abf_1 & \cdots & (q^{n+2}-q)abf_{n+1} \\ \vdots & \vdots & & \vdots \\ 0 & (q^n - q^{n-1})abf_{n-1} & \cdots & (q^{2n} - q^{n-1})abf_{2n-1} \\ 0 & (q^{n+1} - q^n)abf_n & \cdots & ((q^{2n+1} - q^n)abf_{2n} \end{bmatrix}.$$

Thus

$$\det H_{n+1}(f) = (ab)^{n+1} \det \begin{bmatrix} (q-1)f_0 & \cdots & (q^{n+1}-1)f_n \\ (q^2-q)f_1 & \cdots & (q^{n+2}-q)f_{n+1} \\ \vdots & & \vdots \\ (q^{n+1}-q^n)f_n & \cdots & (q^{2n+1}-q^n)f_{2n} \end{bmatrix}.$$

Factoring $q-1$ from the first column, q^2-1 from the second column, ..., $q^{n+1}-1$ from the last column, we get

$$\begin{aligned} \det H_{n+1}(f) &= (ab)^{n+1} (q-1)(q^2-1) \cdots (q^{n+1}-1) \det \begin{bmatrix} f_0 & \cdots & f_n \\ qf_1 & \cdots & qf_{n+1} \\ \vdots & & \vdots \\ q^n f_n & \cdots & q^n f_{2n} \end{bmatrix} \\ &= (ab)^{n+1} (q-1)(q^2-1) \cdots (q^{n+1}-1) \cdot q \cdot q^2 \cdots q^n \det H_n(f). \end{aligned}$$

Hence $\det H_{n+1}(f) \neq 0$ by induction.

It follows by (†) that $f_n(a, b)$ is a polynomial in a and b , since $f_0(a, b) = 1$ and $f_1(a, b) = a + b$. If $b = 1$, $f_n(a, 1)$ is called the n th Rogers–Szegő polynomial in a , as noted in Example 3 on page 49 of [A]. In this case, our relation (†) appears there as Example 6.

As explained in Section 4, we can consider $H = k\mathbb{Z}$ as a coquasitriangular Hopf algebra, and \mathcal{A} in \mathcal{M}^H , the braided monoidal category of right H -comodules. Our example shows that \mathcal{A}° is not closed under quantum convolution.

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