

Normalisation by evaluation

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Normalisation and embedded domain specific languages



Why normalisation for embedded DSLs (QDSLs)



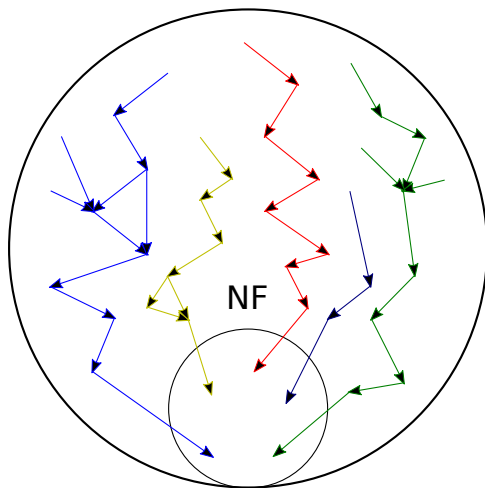
What is normalisation by evaluation (NBE)

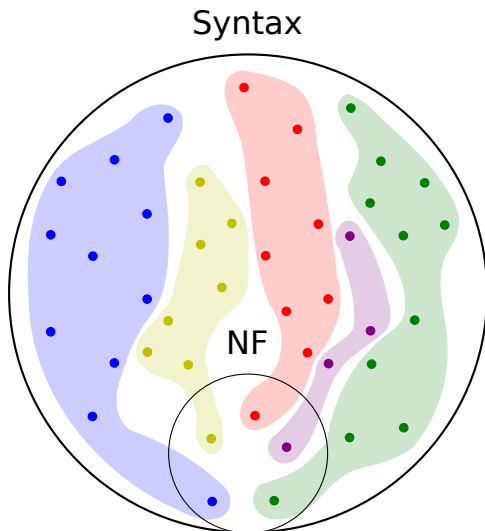


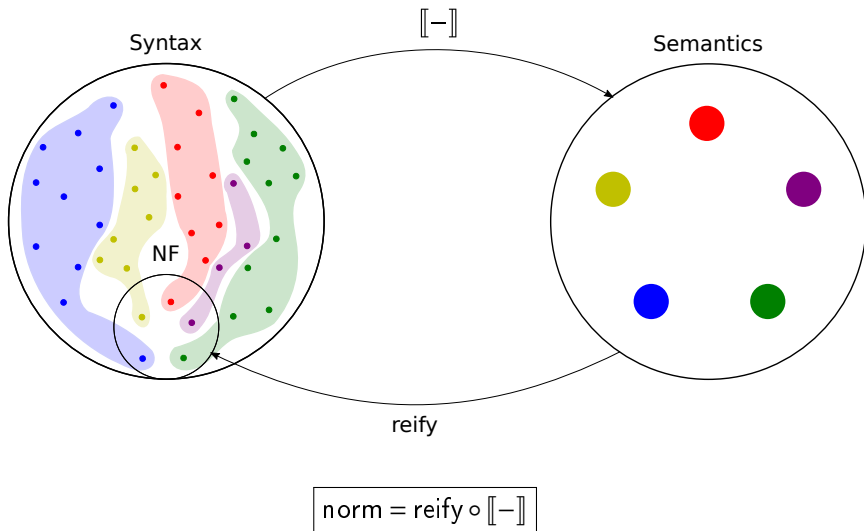
How to use NBE for embedded DSLs (EBN)

Reduction-based normalisation

Syntax







Why NBE?

- ▶ **Embedding DSLs** (Shayan's lecture tomorrow)
- ▶ Partial evaluation (Oleg's finally-tagless optimisations)
- ▶ Semantics
- ▶ Proof theory
- ▶ Type theory
- ▶ Efficiency

Simply typed lambda calculus

Typing rules

VAR

$$\frac{}{\Gamma, x:A, \Delta \vdash \text{Var } x:A}$$

\rightarrow -I

$$\frac{\Gamma, x:A \vdash M:B}{\Gamma \vdash \text{Lam } x M:A \rightarrow B}$$

\rightarrow -E

$$\frac{\Gamma \vdash L:A \rightarrow B \quad \Gamma \vdash M:A}{\Gamma \vdash \text{App } L M:B}$$

Simply typed lambda calculus

Conversions

$$\begin{aligned}(\text{Lam } x M) N &\simeq_{\beta} M[N/x] \\ M &\simeq_{\eta} \text{Lam } x (M x)\end{aligned}$$

β -normal form (intensional)

$$\begin{aligned}(\text{NF}) \quad M &::= N \mid \text{Lam } x M \\ (\text{NE}) \quad N &::= \text{Var } x \mid \text{App } N M\end{aligned}$$

$\beta\eta$ -long normal form (extensional)

$$\begin{aligned}(\text{NF}) \quad M_t &::= N_t \\ M_{A \rightarrow B} &::= \text{Lam } x M_B \\ (\text{NE}) \quad N_B &::= \text{Var } x \mid \text{App } N_{A \rightarrow B} M_A\end{aligned}$$

NF = normal form NE = neutral

Simply typed lambda calculus

Semantics

$$\begin{array}{ll} \llbracket \iota \rrbracket = S & \llbracket \text{Var } x \rrbracket \rho = \rho \ x \\ \llbracket A \rightarrow B \rrbracket = \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket & \llbracket \text{Lam } x \ M \rrbracket \rho = \lambda v. \llbracket M \rrbracket \rho[x \mapsto v] \\ & \llbracket \text{App } M \ N \rrbracket \rho = \llbracket M \rrbracket \rho \ \llbracket N \rrbracket \rho \end{array}$$

S can be any countably infinite set.

Theorem (Soundness)

If $\Gamma \vdash M \simeq N : A$, then $\llbracket M \rrbracket = \llbracket N \rrbracket$.

Theorem (Completeness)

If $\llbracket M \rrbracket = \llbracket N \rrbracket$, then $\Gamma \vdash M \simeq N : A$.

What is reification?

Reification extracts a term from a semantic object by “poking it”.

Example 1: $f \in \llbracket \iota \rightarrow \iota \rrbracket$

Structure of normal forms / parametricity \Rightarrow

$$\text{reify } f = \text{Lam } x (\text{Var } x)$$

But this *is not* reification.

Example 2: $g \in \llbracket \iota \rightarrow \iota \rightarrow \iota \rrbracket$

Two possible closed normal forms of this type:

$$\text{Lam } x (\text{Lam } y (\text{Var } x)) \quad \text{and} \quad \text{Lam } x (\text{Lam } y (\text{Var } y))$$

Pick a suitably *syntactic* interpretation for ι and run g .

- ▶ $g \text{ 'x' 'y' } = \text{'x'} \Rightarrow \text{reify } g = \text{Lam } x (\text{Lam } y (\text{Var } x))$
- ▶ $g \text{ 'x' 'y' } = \text{'y'} \Rightarrow \text{reify } g = \text{Lam } x (\text{Lam } y (\text{Var } y))$

This *is* reification.

Simply typed lambda calculus

Residualising semantics

$$\begin{aligned}\llbracket \iota \rrbracket &= \mathbf{NE}_\iota \\ \llbracket A \rightarrow B \rrbracket &= \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket\end{aligned}$$

$$\begin{aligned}\llbracket \text{Var } x \rrbracket \rho &= \rho \ x \\ \llbracket \text{Lam } x \ M \rrbracket \rho &= \lambda v. \llbracket M \rrbracket \rho[x \mapsto v] \\ \llbracket \text{App } M \ N \rrbracket \rho &= \llbracket M \rrbracket \rho \ \llbracket N \rrbracket \rho\end{aligned}$$

Extensional NBE

$$\text{reify}_A : \llbracket A \rrbracket \rightarrow \text{NF}_A$$

$$\text{reify}_t N = N$$

$$\text{reify}_{A \rightarrow B} f = \text{Lam } x (\text{reify}_B (f (\text{reflect}_A (\text{Var } x)))), \quad x \text{ fresh}$$

$$\text{reflect}_A : \text{NE}_A \rightarrow \llbracket A \rrbracket$$

$$\text{reflect}_t N = N$$

$$\text{reflect}_{A \rightarrow B} N = \lambda v. \text{reflect}_B (\text{App } N (\text{reify}_A v))$$

$$\text{norm}_A M = \text{reify}_A (\llbracket M \rrbracket \emptyset)$$

Type-directed partial evaluation (TDPE)

TDPE is an implementation of NBE in which the object language is a subset of the host language and the residualising semantics coincides with the semantics of that subset of the host-language.

[Danvy, POPL 1996]

Correctness properties for NBE

Theorem (Soundness)

If $M \simeq N$ then $\llbracket M \rrbracket = \llbracket N \rrbracket$.

Theorem (Consistency)

$\text{reify } \llbracket M \rrbracket \simeq M$

soundness \wedge consistency \implies completeness of the semantics

Proving consistency

- ▶ existence of normal forms \wedge soundness \wedge
preservation of normal forms ($\forall M \in \text{NF}. \text{reify } \llbracket M \rrbracket = M$)
 \implies consistency
- ▶ otherwise, consistency is typically proved with logical relations

Simply typed lambda calculus

Intensional residualising semantics

$$\begin{aligned}\llbracket \iota \rrbracket &= \text{NE}_\iota \\ \llbracket A \rightarrow B \rrbracket &= (\llbracket A \rrbracket \rightarrow \llbracket B \rrbracket) + \text{NE}_{A \rightarrow B}\end{aligned}$$

$$\begin{aligned}\llbracket \text{Var } x \rrbracket \rho &= \rho \ x \\ \llbracket \text{Lam } x \ M \rrbracket \rho &= \lambda v. \llbracket M \rrbracket \rho [x \mapsto v] \\ \llbracket \text{App } M \ N \rrbracket \rho &= \text{app} \llbracket M \rrbracket \rho \llbracket N \rrbracket \rho\end{aligned}$$

Intensional NBE

$$\begin{aligned}\text{app} &: \llbracket A \rightarrow B \rrbracket \rightarrow \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket \\ \text{app } f \ v &= f \ v \\ \text{app } N \ v &= \text{App } N \ (\text{reify}_A \ v) \\ \text{reify}_A &: \llbracket A \rrbracket \rightarrow \text{NF}_A \\ \text{reify}_A \ N &= N \\ \text{reify}_{A \rightarrow B} f &= \text{Lam } x \ (\text{reify}_B \ (\text{app } f \ (\text{reflect}_A \ (\text{Var } x)))) , \quad x \text{ fresh} \\ \text{reflect}_A &: \text{NE}_A \rightarrow \llbracket A \rrbracket \\ \text{reflect}_A \ N &= N \\ \text{norm}_A \ M &= \text{reify}_A \ (\llbracket M \rrbracket \emptyset)\end{aligned}$$

Simply typed lambda calculus

Intensional residualising semantics

$$\begin{aligned}\llbracket \iota \rrbracket &= \text{NE}_{\iota} \\ \llbracket A \rightarrow B \rrbracket &= (\llbracket A \rrbracket \rightarrow \llbracket B \rrbracket) + \text{NE}_{A \rightarrow B}\end{aligned}$$

$$\begin{aligned}\llbracket \text{Var } x \rrbracket \rho &= \rho \ x \\ \llbracket \text{Lam } x \ M \rrbracket \rho &= \lambda v. \llbracket M \rrbracket \rho [x \mapsto v] \\ \llbracket \text{App } M \ N \rrbracket \rho &= \text{app } \llbracket M \rrbracket \rho \ \llbracket N \rrbracket \rho\end{aligned}$$

Intensional NBE

$$\begin{aligned}\text{app} &: \llbracket A \rightarrow B \rrbracket \rightarrow \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket \\ \text{app } f \ v &= f \ v \\ \text{app } N \ v &= \text{App } N \ (\text{reify } v) \\ \text{reify} &: \llbracket A \rrbracket \rightarrow \text{NF}_A \\ \text{reify } N &= N \\ \text{reify } f &= \text{Lam } x \ (\text{reify } (\text{app } f \ (\text{Var } x))), \quad x \text{ fresh} \\ \text{norm } M &= \text{reify } (\llbracket M \rrbracket \emptyset)\end{aligned}$$

Untyped lambda calculus

Intensional residualising semantics

$$\llbracket \Lambda \rrbracket = \text{NE} + (\llbracket \Lambda \rrbracket \rightarrow \llbracket \Lambda \rrbracket)$$

$$\begin{aligned}\llbracket \text{Var } x \rrbracket \rho &= \rho \ x \\ \llbracket \text{Lam } x \ M \rrbracket \rho &= \lambda v. \llbracket M \rrbracket \rho [x \mapsto v] \\ \llbracket \text{App } M \ N \rrbracket \rho &= \text{app } \llbracket M \rrbracket \rho \ \llbracket N \rrbracket \rho\end{aligned}$$

Intensional NBE

$$\begin{aligned}\text{app} &: \llbracket \Lambda \rrbracket \rightarrow \llbracket \Lambda \rrbracket \rightarrow \llbracket \Lambda \rrbracket \\ \text{app } f \ v &= f \ v \\ \text{app } N \ v &= \text{App } N \ (\text{reify } v)\end{aligned}$$

$$\begin{aligned}\text{reify} &: \llbracket \Lambda \rrbracket \rightarrow \text{NF} \\ \text{reify } N &= N \\ \text{reify } f &= \text{Lam } x \ (\text{reify } (\text{app } f \ (\text{Var } x))), \quad x \text{ fresh} \\ \text{norm } M &= \text{reify } (\llbracket M \rrbracket \emptyset)\end{aligned}$$

Typing rules

$$\frac{\begin{array}{c} \times\text{-I} \\ \Gamma \vdash M : A_1 \quad \Gamma \vdash N : A_2 \end{array}}{\Gamma \vdash \text{Pair } M \ N : A_1 \times A_2}$$

$$\frac{\begin{array}{c} \times\text{-E} \\ \Gamma \vdash M : A_1 \times A_2 \end{array}}{\Gamma \vdash \text{Proj}_i M : A_i}$$

Conversions

$$\text{App } (\text{Lam } x M) N \simeq_{\beta} M[N/x]$$

$$\text{Proj}_i (\text{Pair } M_1 M_2) \simeq_{\beta} M_i$$

$$M \simeq_{\eta} \text{Lam } x (\text{App } M x)$$

$$M \simeq_{\eta} \text{Pair } (\text{Proj}_1 M) (\text{Proj}_2 M)$$

$\beta\eta$ -long normal form

$$(\text{NF}) \quad M_t ::= N_t$$

$$M_{A \rightarrow B} ::= \text{Lam } x M_B$$

$$M_{A \times B} ::= \text{Pair } M_A M_B$$

$$(\text{NE}) \quad N_B ::= \text{Var } x \mid \text{App } N_{A \rightarrow B} M_A \mid \text{Proj}_1 N_{B \times A} \mid \text{Proj}_2 N_{A \times B}$$

Semantics

$$\begin{aligned}\llbracket \iota \rrbracket &= S \\ \llbracket A \rightarrow B \rrbracket &= \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket \\ \llbracket A \times B \rrbracket &= \llbracket A \rrbracket \times \llbracket B \rrbracket\end{aligned}$$

$$\begin{aligned}\llbracket \text{Var } x \rrbracket \rho &= \rho \ x \\ \llbracket \text{Lam } x \ M \rrbracket \rho &= \lambda v. \llbracket M \rrbracket \rho[x \mapsto v] \\ \llbracket \text{App } M \ N \rrbracket \rho &= \llbracket M \rrbracket \rho \ \llbracket N \rrbracket \rho \\ \llbracket \text{Pair } M \ N \rrbracket \rho &= (\llbracket M \rrbracket \rho, \llbracket N \rrbracket \rho) \\ \llbracket \text{Proj}_i \ M \rrbracket \rho &= (\llbracket M \rrbracket \rho).i\end{aligned}$$

S can be any countably infinite set.

Residualising semantics

$$\begin{aligned}\llbracket \iota \rrbracket &= \text{NE}_\iota \\ \llbracket A \rightarrow B \rrbracket &= \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket \\ \llbracket A \times B \rrbracket &= \llbracket A \rrbracket \times \llbracket B \rrbracket\end{aligned}$$

$$\begin{aligned}\llbracket \text{Var } x \rrbracket \rho &= \rho \ x \\ \llbracket \text{Lam } x \ M \rrbracket \rho &= \lambda v. \llbracket M \rrbracket \rho[x \mapsto v] \\ \llbracket \text{App } M \ N \rrbracket \rho &= \llbracket M \rrbracket \rho \ \llbracket N \rrbracket \rho \\ \llbracket \text{Pair } M \ N \rrbracket \rho &= (\llbracket M \rrbracket \rho, \llbracket N \rrbracket \rho) \\ \llbracket \text{Proj}_i \ M \rrbracket \rho &= (\llbracket M \rrbracket \rho).i\end{aligned}$$

Extensional NBE

$$\text{reify}_A : \llbracket A \rrbracket \rightarrow \text{NF}_A$$

$$\text{reify}_t N = N$$

$$\text{reify}_{A \rightarrow B} f = \text{Lam } x (\text{reify}_B (f (\text{reflect}_A (\text{Var } x)))) , \quad x \text{ fresh}$$

$$\text{reify}_{A \times B} p = \text{Pair} (\text{reify}_A p.1) (\text{reify}_B p.2)$$

$$\text{reflect}_A : \text{NE}_A \rightarrow \llbracket A \rrbracket$$

$$\text{reflect}_t N = N$$

$$\text{reflect}_{A \rightarrow B} N = \lambda v. \text{reflect}_B (\text{App } N (\text{reify}_A v))$$

$$\text{reflect}_{A \times B} N = (\text{reflect}_A (\text{Proj}_1 N), \text{reflect}_B (\text{Proj}_2 N))$$

$$\text{norm}_A M = \text{reify}_A (\llbracket M \rrbracket \emptyset)$$

Typing rules

+-I

$$\frac{\Gamma \vdash M : A_i}{\Gamma \vdash \text{Inj}_i M : A_1 + A_2}$$

+-E

$$\frac{\begin{array}{c} \Gamma \vdash M : A_1 + A_2 \\ \Gamma, x_1 : A_1 \vdash N_1 : C \quad \Gamma, x_2 : A_2 \vdash N_2 : C \end{array}}{\Gamma \vdash \text{Case } M \ x_1 \ N_1 \ x_2 \ N_2 : C}$$

Conversions

$$\text{App } (\text{Lam } x M) N \simeq_{\beta} M[N/x]$$

$$\text{Proj}_i (\text{Pair } M_1 M_2) \simeq_{\beta} M_i$$

$$\text{Case } (\text{Inj}_i M) x_1 N_1 x_2 N_2 \simeq_{\beta} N_i[M/x_i]$$

$$M \simeq_{\eta} \text{Lam } x (\text{App } M x)$$

$$M \simeq_{\eta} \text{Pair } (\text{Proj}_1 M) (\text{Proj}_2 M)$$

$$N[M/z] \simeq_{\eta} \text{Case } M x_1 N[\text{Inj}_1 x_1/z] x_2 N[\text{Inj}_2 x_2/z]$$

Extensional normalisation with sums is hard due to the unruly η -rule.

[Ghani, TLCA 1995; Altenkirch et al., LICS 2001;

Balat et al., POPL 2004; Lindley, TLCA 2007; Scherer, TLCA 2015]

Semantics

$$\begin{array}{ll}
\llbracket \iota \rrbracket = S & \llbracket \text{Var } x \rrbracket \rho = \rho \ x \\
\llbracket A \rightarrow B \rrbracket = \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket & \llbracket \text{Lam } x \ M \rrbracket \rho = \lambda v. \llbracket M \rrbracket \rho[x \mapsto v] \\
\llbracket A \times B \rrbracket = \llbracket A \rrbracket \times \llbracket B \rrbracket & \llbracket \text{App } M \ N \rrbracket \rho = \llbracket M \rrbracket \rho \ \llbracket N \rrbracket \rho \\
\llbracket A + B \rrbracket = \llbracket A \rrbracket + \llbracket B \rrbracket & \llbracket \text{Pair } M \ N \rrbracket \rho = (\llbracket M \rrbracket \rho, \llbracket N \rrbracket \rho) \\
& \llbracket \text{Proj}_i \ M \rrbracket \rho = (\llbracket M \rrbracket \rho).i \\
& \llbracket \text{Inj}_i \ M \rrbracket \rho = (i, \llbracket M \rrbracket \rho) \\
\llbracket \text{Case } M \ x_1 \ N_1 \ x_2 \ N_2 \rrbracket \rho = & \begin{cases} \llbracket N_1 \rrbracket \rho[x_1 \mapsto v], & \text{if } \llbracket M \rrbracket \rho = (1, v) \\ \llbracket N_2 \rrbracket \rho[x_2 \mapsto v], & \text{if } \llbracket M \rrbracket \rho = (2, v) \end{cases}
\end{array}$$

S can be any countably infinite set.

Despite the unruly η -rule, the semantics for sums is straightforward.

Extensional NBE?

$$\text{reify}_A : \llbracket A \rrbracket \rightarrow \text{NF}_A$$

$$\text{reify}_t N = N$$

$$\text{reify}_{A \rightarrow B} f = \text{Lam } x (\text{reify}_B (f (\text{reflect}_A (\text{Var } x)))) , \quad x \text{ fresh}$$

$$\text{reify}_{A \times B} p = \text{Pair} (\text{reify}_A p.1) (\text{reify}_B p.2)$$

$$\text{reify}_{A_1 + A_2} (i, v) = \text{Inj}_i (\text{reify}_{A_i} v)$$

$$\text{reflect}_A : \text{NE}_A \rightarrow \llbracket A \rrbracket$$

$$\text{reflect}_t N = N$$

$$\text{reflect}_{A \rightarrow B} N = \lambda v. \text{reflect}_B (\text{App } N (\text{reify}_A v))$$

$$\text{reflect}_{A \times B} N = (\text{reflect}_A (\text{Proj}_1 N), \text{reflect}_B (\text{Proj}_2 N))$$

$$\text{reflect}_{A_1 + A_2} N = ???$$

Typing rules

$$\frac{\text{T-I} \quad \Gamma \vdash M : A}{\Gamma \vdash \text{Val } M : \top A}$$

$$\frac{\text{T-E} \quad \Gamma \vdash M : \top A \quad \Gamma, x : A \vdash N : \top B}{\Gamma \vdash \text{Let } x M N : \top B}$$

Conversions

$$\text{App } (\text{Lam } x M) N \simeq_{\beta} M[N/x]$$

$$\text{Proj}_i (\text{Pair } M_1 M_2) \simeq_{\beta} M_i$$

$$\text{Let } x (\text{Val } M) N \simeq_{\beta} N[M/x]$$

$$\text{Let } y (\text{Let } x L M) N \simeq_{\gamma} \text{Let } x L (\text{Let } y M N)$$

$$M \simeq_{\eta} \text{Lam } x (\text{App } M x)$$

$$M \simeq_{\eta} \text{Pair } (\text{Proj}_1 M) (\text{Proj}_2 M)$$

$$M \simeq_{\eta} \text{Let } x M (\text{Val } x)$$

$\beta\eta$ -long normal form

$$(\text{NF}) \quad M_l ::= N_l$$

$$M_{A \rightarrow B} ::= \text{Lam } x M_B$$

$$M_{A \times B} ::= \text{Pair } M_A M_B$$

$$M_{\text{TB}} ::= \text{Val } M_A \mid \text{Let } x N_{\text{TA}} M_{\text{TB}}$$

$$(\text{NE}) \quad N_B ::= \text{Var } x \mid \text{App } N_{A \rightarrow B} M_A \mid \text{Proj}_1 N_{B \times A} \mid \text{Proj}_2 N_{A \times B}$$

Computations

Semantics

$$\begin{aligned}\llbracket \iota \rrbracket &= S \\ \llbracket A \rightarrow B \rrbracket &= \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket \\ \llbracket A \times B \rrbracket &= \llbracket A \rrbracket \times \llbracket B \rrbracket \\ \llbracket \mathsf{T} A \rrbracket &= \mathsf{T} \llbracket A \rrbracket\end{aligned}$$

$$\begin{aligned}\llbracket \mathsf{Var} \ x \rrbracket \rho &= \rho \ x \\ \llbracket \mathsf{Lam} \ x \ M \rrbracket \rho &= \lambda v. \llbracket M \rrbracket \rho[x \mapsto v] \\ \llbracket \mathsf{App} \ M \ N \rrbracket \rho &= \llbracket M \rrbracket \rho \ \llbracket N \rrbracket \rho \\ \llbracket \mathsf{Pair} \ M \ N \rrbracket \rho &= (\llbracket M \rrbracket \rho, \llbracket N \rrbracket \rho) \\ \llbracket \mathsf{Proj}_i \ M \rrbracket \rho &= (\llbracket M \rrbracket \rho).i \\ \llbracket \mathsf{Val} \ M \rrbracket \rho &= \text{return } \llbracket M \rrbracket \rho \\ \llbracket \mathsf{Let} \ x \ M \ N \rrbracket \rho &= \llbracket M \rrbracket \rho \ggg \lambda x. \llbracket N \rrbracket \rho\end{aligned}$$

S can be any countably infinite set.

T can be any *monad*:

$$\begin{aligned}\mathsf{T} &: \star \rightarrow \star \\ \text{return} &: A \rightarrow \mathsf{T} A \\ (\ggg) &: \mathsf{T} A \rightarrow (A \rightarrow \mathsf{T} B) \rightarrow \mathsf{T} B \\ \text{return } v \ggg f &= f \ v \\ (c \ggg f) \ggg g &= c \ggg (\lambda x. f \ x \ggg g) \\ c &= c \ggg (\lambda x. \text{return } x)\end{aligned}$$

Digression: pronouncing the word “monad”

Is it “moanad” or “monnad”?

Does “monad” rhyme with “gonad” or does its first syllable rhyme with the first syllable of “monoid”?

A monad is a monoid in the category of endofunctors!

Extensional NBE?

$\text{reify}_A : \llbracket A \rrbracket \rightarrow \text{NF}_A$
 $\text{reify}_I N = N$
 $\text{reify}_{A \rightarrow B} f = \text{Lam } x (\text{reify}_B (f (\text{reflect}_A (\text{Var } x))))$, x fresh
 $\text{reify}_{A \times B} p = \text{Pair } (\text{reify}_A p.1) (\text{reify}_B p.2)$
 $\text{reify}_{T_A} c = ???$ (need to collect let bindings here)

$\text{reflect}_A : \text{NE}_A \rightarrow \llbracket A \rrbracket$
 $\text{reflect}_I N = N$
 $\text{reflect}_{A \rightarrow B} N = \lambda v. \text{reflect}_B (N (\text{reify}_A v))$
 $\text{reflect}_{A \times B} N = (\text{reflect}_A (\text{Proj}_1 N), \text{reflect}_B (\text{Proj}_2 N))$
 $\text{reflect}_{T_A} N = ???$ (need to register a let binding for N here)

Residualising monads

To support reification the monad T must include sufficient syntactic data in order to keep track of let bindings.

A *residualising monad* is a monad equipped with operations

$$\begin{aligned}\text{bind} &: NE_{TA} \rightarrow T V_A && \text{(register a let binding)} \\ \text{collect} &: T NF_{TA} \rightarrow NF_{TA} && \text{(collect let bindings)}\end{aligned}$$

satisfying the equations:

$$\begin{aligned}\text{collect} (\text{return } M) &= M \\ \text{collect} (\text{bind } N \gg f) &= \text{Let } x N (\text{collect } (f x)), \quad x \text{ fresh}\end{aligned}$$

where V_A is the set of object variables of type A .

Residualising monads

Continuation monad

$$\top A = (A \rightarrow \text{NF}) \rightarrow \text{NF}$$

$$\text{return } v = \lambda k. k \ v$$

$$c \gg f = \lambda k. c \ (\lambda x. f \ x \ k)$$

$$\text{bind } N = \lambda k. \text{Let } x \ N \ (k \ x), \quad x \text{ fresh}$$

$$\text{collect } c = c \ \text{id}$$

Free monad over a list of let bindings

$$\top A = \mu X. \text{Val } A + \text{Let } x \ N \ \text{E}_{\top B} \ X$$

$$\text{return } v = \text{Val } v$$

$$\text{Val } v \gg f = f \ v$$

$$\text{Let } x \ N \ c \gg f = \text{Let } x \ N \ (c \gg f)$$

$$\text{bind } N = \text{Let } x \ N \ (\text{Val } x), \quad x \text{ fresh}$$

$$\text{collect } (\text{Val } M) = M$$

$$\text{collect } (\text{Let } x \ N \ c) = \text{Let } x \ N \ (\text{collect } c)$$

Residualising semantics

$$\begin{aligned}\llbracket \iota \rrbracket &= \text{NE}_\iota \\ \llbracket A \rightarrow B \rrbracket &= \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket \\ \llbracket A \times B \rrbracket &= \llbracket A \rrbracket \times \llbracket B \rrbracket \\ \llbracket \mathsf{T} A \rrbracket &= \mathsf{T} \llbracket A \rrbracket\end{aligned}$$

$$\begin{aligned}\llbracket \text{Var } x \rrbracket \rho &= \rho \ x \\ \llbracket \text{Lam } x \ M \rrbracket \rho &= \lambda v. \llbracket M \rrbracket \rho[x \mapsto v] \\ \llbracket \text{App } M \ N \rrbracket \rho &= \llbracket M \rrbracket \rho \ \llbracket N \rrbracket \rho \\ \llbracket \text{Pair } M \ N \rrbracket \rho &= (\llbracket M \rrbracket \rho, \llbracket N \rrbracket \rho) \\ \llbracket \text{Proj}_i \ M \rrbracket \rho &= (\llbracket M \rrbracket \rho).i \\ \llbracket \text{Val } M \rrbracket \rho &= \text{return } \llbracket M \rrbracket \rho \\ \llbracket \text{Let } x \ M \ N \rrbracket \rho &= \llbracket M \rrbracket \rho \gg x. \llbracket N \rrbracket \rho\end{aligned}$$

T can be any residualising monad.

Extensional NBE

$$\begin{aligned} \text{reify}_A &: \llbracket A \rrbracket \rightarrow \text{NF}_A \\ \text{reify}_t N &= N \\ \text{reify}_{A \rightarrow B} f &= \text{Lam } x (\text{reify}_B (f (\text{reflect}_A (\text{Var } x)))) , \quad x \text{ fresh} \\ \text{reify}_{A \times B} p &= \text{Pair } (\text{reify}_A p.1) (\text{reify}_B p.2) \\ \text{reify}_{TA} c &= \text{collect } (c \gg \lambda v. \text{return } (\text{reify}_A v)) \\ \\ \text{reflect}_A &: \text{NE}_A \rightarrow \llbracket A \rrbracket \\ \text{reflect}_t N &= N \\ \text{reflect}_{A \rightarrow B} N &= \lambda v. \text{reflect}_B (N (\text{reify}_A v)) \\ \text{reflect}_{A \times B} N &= (\text{reflect}_A (\text{Proj}_1 N), \text{reflect}_B (\text{Proj}_2 N)) \\ \text{reflect}_{TA} N &= \text{bind } N \gg \lambda x. \text{return } (\text{reflect}_A (\text{Var } x)) \\ \\ \text{norm}_A M &= \text{reify}_A (\llbracket M \rrbracket \emptyset) \end{aligned}$$

[Filinski, TLCA 2001; my PhD thesis]

Typing rules

+ -I

$$\frac{\Gamma \vdash M : A_i}{\Gamma \vdash \text{Inj}_i M : A_1 + A_2}$$

+ -E

$$\frac{\begin{array}{c} \Gamma \vdash M : A_1 + A_2 \\ \Gamma, x_1 : A_1 \vdash N_1 : \overline{\mathbf{T}}B \quad \Gamma, x_2 : A_2 \vdash N_2 : \overline{\mathbf{T}}B \end{array}}{\Gamma \vdash \text{Case } M \ x_1 \ N_1 \ x_2 \ N_2 : \overline{\mathbf{T}}B}$$

Computational sums

Extensional NBE?

$$\begin{aligned} \text{reify}_A &: \llbracket A \rrbracket \rightarrow \text{NF}_A \\ \text{reify}_t N &= N \\ \text{reify}_{A \rightarrow B} f &= \text{Lam } x \text{ (reify}_B (f (\text{reflect}_A (\text{Var } x)))) \text{, } x \text{ fresh} \\ \text{reify}_{A \times B} p &= \text{Pair (reify}_A p.1) (\text{reify}_B p.2) \\ \text{reify}_{A_1 + A_2} (i, v) &= \text{Inj}_i (\text{reify}_{A_i} v) \\ \text{reify}_{T A} c &= \text{collect } (c \gg \lambda x. \text{return (reify}_A x)) \\ \text{reflect}_A &: \text{NE}_A \rightarrow \llbracket A \rrbracket \\ \text{reflect}_t N &= N \\ \text{reflect}_{A \rightarrow B} N &= \lambda v. \text{reflect}_B (\text{App } N (\text{reify}_A v)) \\ \text{reflect}_{A \times B} N &= (\text{reflect}_A (\text{Proj}_1 N), \text{reflect}_B (\text{Proj}_2 N)) \\ \text{reflect}_{A_1 + A_2} N &= \text{binds } N \gg \text{??? (need a computation type here)} \\ \text{reflect}_{T A} N &= \text{bind } N \gg \lambda x. \text{return (reflect}_A (\text{Var } x)) \end{aligned}$$

Fix

- ▶ change the type of reflect_A to $\text{NE}_A \rightarrow T \llbracket A \rrbracket$
- ▶ restrict function types to be of the form $A \rightarrow T B$

Typing rules

$$\frac{\begin{array}{c} \rightarrow\text{-I} \\ \Gamma, x:A \vdash M : \overline{\mathbf{T}}B \end{array}}{\Gamma \vdash \text{Lam } x M : A \rightarrow \overline{\mathbf{T}}B}$$

$$\frac{\begin{array}{c} \rightarrow\text{-E} \\ \Gamma \vdash L : A \rightarrow \overline{\mathbf{T}}B \quad \Gamma \vdash M : A \end{array}}{\Gamma \vdash \text{App } L M : \overline{\mathbf{T}}B}$$

Call-by-value computational sums

Conversions

$$\text{App } (\text{Lam } x \ M) \ N \simeq_{\beta} M[N/x]$$

$$\text{Proj}_i (\text{Pair } M_1 \ M_2) \simeq_{\beta} M_i$$

$$\text{Case } (\text{Inj}_i \ M) \ x_1 \ N_1 \ x_2 \ N_2 \simeq_{\beta} N_i[M/x_i]$$

$$\text{Let } x \ (\text{Val } M) \ N \simeq_{\beta} N[M/x]$$

$$\text{Let } y \ (\text{Case } L \ x_1 \ M_1 \ x_2 \ M_2) \ N \simeq_{\gamma} \text{Case } L \ x_1 \ (\text{Let } y \ M_1 \ N) \ x_2 \ (\text{Let } y \ M_2 \ N)$$

$$\text{Let } y \ (\text{Let } x \ L \ M) \ N \simeq_{\gamma} \text{Let } x \ L \ (\text{Let } y \ M \ N)$$

$$M \simeq_{\eta} \text{Lam } x \ (\text{App } M \ x)$$

$$M \simeq_{\eta} \text{Pair } (\text{Proj}_1 \ M) \ (\text{Proj}_2 \ M)$$

$$M \simeq_{\eta} \text{Case } M \ x_1 \ (\text{Val } (\text{Inj}_1 \ x_1)) \ x_2 \ (\text{Val } (\text{Inj}_2 \ x_2))$$

$$M \simeq_{\eta} \text{Let } x \ M \ (\text{Val } x)$$

The restriction to call-by-value computational sums weakens the unruly η -rule.

Call-by-value computational sums

$\beta\eta$ -long normal form

$$\begin{aligned} \text{(NF)} \quad & M_l ::= N_l \\ & M_{A \rightarrow T_B} ::= \text{Lam } x \, M_{T_B} \\ & M_{A \times B} ::= \text{Pair } M_A \, M_B \\ & M_{A_1 + A_2} ::= \text{Inj}_i \, M_{A_i} \quad \text{B} \\ & M_{T_B} ::= \text{Val } M_A \mid \text{Let } x \, N_{T_A} \, M_{T_B} \\ & \quad \mid \text{Case } N_{A_1 + A_2} \, x_1 \, M_{T_B} \, x_2 \, M'_{T_B} \\ \text{(NE)} \quad & N_B ::= \text{Var } x \mid \text{App } N_{A \rightarrow B} \, M_A \mid \text{Proj}_1 \, N_{B \times A} \mid \text{Proj}_2 \, N_{A \times B} \end{aligned}$$

Call-by-value computational sums

Semantics

$$\begin{aligned}\llbracket \iota \rrbracket &= S \\ \llbracket A \rightarrow \mathsf{T} B \rrbracket &= \llbracket A \rrbracket \rightarrow \llbracket \mathsf{T} B \rrbracket \\ \llbracket A \times B \rrbracket &= \llbracket A \rrbracket \times \llbracket B \rrbracket \\ \llbracket A + B \rrbracket &= \llbracket A \rrbracket + \llbracket B \rrbracket \\ \llbracket \mathsf{T} A \rrbracket &= \mathsf{T} \llbracket A \rrbracket\end{aligned}$$

$$\begin{aligned}\llbracket \mathsf{Var} \ x \rrbracket \rho &= \rho \ x \\ \llbracket \mathsf{Lam} \ x \ M \rrbracket \rho &= \lambda v. \llbracket M \rrbracket \rho [x \mapsto v] \\ \llbracket \mathsf{App} \ M \ N \rrbracket \rho &= \llbracket M \rrbracket \rho \ \llbracket N \rrbracket \rho \\ \llbracket \mathsf{Pair} \ M \ N \rrbracket \rho &= (\llbracket M \rrbracket \rho, \llbracket N \rrbracket \rho) \\ \llbracket \mathsf{Proj}_i \ M \rrbracket \rho &= (\llbracket M \rrbracket \rho).i \\ \llbracket \mathsf{Inj}_i \ M \rrbracket \rho &= (i, \llbracket M \rrbracket \rho) \\ \llbracket \mathsf{Val} \ M \rrbracket \rho &= \text{return } \llbracket M \rrbracket \rho \\ \llbracket \mathsf{Let} \ x \ M \ N \rrbracket \rho &= \llbracket M \rrbracket \rho \gg \lambda x. \llbracket N \rrbracket \rho \\ \llbracket \mathsf{Case} \ M \ x_1 \ N_1 \ x_2 \ N_2 \rrbracket \rho &= \begin{cases} \llbracket N_1 \rrbracket \rho [x_1 \mapsto v], & \text{if } \llbracket M \rrbracket \rho = (1, v) \\ \llbracket N_2 \rrbracket \rho [x_2 \mapsto v], & \text{if } \llbracket M \rrbracket \rho = (2, v) \end{cases}\end{aligned}$$

S can be any countably infinite set. T can be any monad.

Residualising sum monads

A *residualising sum monad* is a monad equipped with operations

$$\begin{array}{ll} \text{bind} : \mathsf{NE}_{\mathsf{T}A} \rightarrow \mathsf{T} \mathsf{V}_A & \text{(register let binding)} \\ \text{binds} : \mathsf{NE}_{A+B} \rightarrow \mathsf{T} (\mathsf{V}_A + \mathsf{V}_B) & \text{(register case binding)} \\ \text{collect} : \mathsf{T} \mathsf{NF}_{\mathsf{T}A} \rightarrow \mathsf{NF}_{\mathsf{T}A} & \text{(collect bindings)} \end{array}$$

satisfying the equations:

$$\begin{array}{ll} \text{collect} (\text{return } M) = M \\ \text{collect} (\text{bind } N \gg f) = \text{Let } x \ N \ (\text{collect } (f \ x)), & x \text{ fresh} \\ \text{collect} (\text{binds } N \gg f) = \text{Case } N \ x_1 \ (\text{collect } (f \ (1, x_1))) & \\ & x_2 \ (\text{collect } (f \ (2, x_2))), \quad x_1, x_2 \text{ fresh} \end{array}$$

Continuation monad

$$\mathsf{TA} = (A \rightarrow \mathsf{NF}) \rightarrow \mathsf{NF}$$

$$\mathsf{return} \ v = \lambda k. k \ v$$

$$c \gg f = \lambda k. c \ (\lambda x. f \ x \ k)$$

$$\mathsf{bind} \ N = \lambda k. \mathsf{Let} \ x \ N \ (k \ x), \quad x \text{ fresh}$$

$$\mathsf{binds} \ N = \lambda k. \mathsf{Case} \ N \ x_1 \ (k \ (1, x_1)) \\ \quad \quad \quad x_2 \ (k \ (2, x_2)), \quad x_1, x_2 \text{ fresh}$$

$$\mathsf{collect} \ c = c \ \mathsf{id}$$

Residualising sum monads

Free monad over a tree of let and case bindings

$$\mathsf{T}A = \mu X. \mathsf{Val} A + \mathsf{Let} x \mathsf{NE}_{\mathsf{T}B} X + \mathsf{Case} \mathsf{NE}_{A_1+A_2} x_1 X x_2 X$$

$$\mathsf{return} \ v = v$$

$$\mathsf{Val} \ v \ggg f = f \ v$$

$$\mathsf{Let} \ x \ N \ c \ggg f = \mathsf{Let} \ x \ N \ (c \ggg f)$$

$$\mathsf{Case} \ N \ x_1 \ c_1 \ x_2 \ c_2 \ggg f = \mathsf{Case} \ N \ x_1 \ (c_1 \ggg f) \ x_2 \ (c_2 \ggg f)$$

$$\mathsf{bind} \ N = \mathsf{Let} \ x \ N \ (\mathsf{Val} \ x), \quad x \text{ fresh}$$

$$\mathsf{binds} \ N = \mathsf{Case} \ N \ x_1 \ (\mathsf{Val} \ (1, x_1)) \\ x_2 \ (\mathsf{Val} \ (2, x_2)), \quad x_1, x_2 \text{ fresh}$$

$$\mathsf{collect} \ (\mathsf{Val} \ M) = M$$

$$\mathsf{collect} \ (\mathsf{Let} \ x \ N \ c) = \mathsf{Let} \ x \ N \ (\mathsf{collect} \ c)$$

$$\mathsf{collect} \ (\mathsf{Case} \ N \ x_1 \ c_1 \ x_2 \ c_2) = \mathsf{Case} \ N \ x_1 \ (\mathsf{collect} \ c_1) \ x_2 \ (\mathsf{collect} \ c_2)$$

Call-by-value computational sums

Residualising semantics

$$\begin{aligned}\llbracket \iota \rrbracket &= \text{NE}_\iota \\ \llbracket A \rightarrow \mathbf{T}B \rrbracket &= \llbracket A \rrbracket \rightarrow \llbracket \mathbf{T}B \rrbracket \\ \llbracket A \times B \rrbracket &= \llbracket A \rrbracket \times \llbracket B \rrbracket \\ \llbracket A + B \rrbracket &= \llbracket A \rrbracket + \llbracket B \rrbracket \\ \llbracket \mathbf{T}A \rrbracket &= \mathbf{T} \llbracket A \rrbracket\end{aligned}$$

$$\begin{aligned}\llbracket \text{Var } x \rrbracket \rho &= \rho \ x \\ \llbracket \text{Lam } x \ M \rrbracket \rho &= \lambda v. \llbracket M \rrbracket \rho [x \mapsto v] \\ \llbracket \text{App } M \ N \rrbracket \rho &= \llbracket M \rrbracket \rho \ \llbracket N \rrbracket \rho \\ \llbracket \text{Pair } M \ N \rrbracket \rho &= (\llbracket M \rrbracket \rho, \llbracket N \rrbracket \rho) \\ \llbracket \text{Proj}_i \ M \rrbracket \rho &= (\llbracket M \rrbracket \rho).i \\ \llbracket \text{Inj}_i \ M \rrbracket \rho &= (i, \llbracket M \rrbracket \rho) \\ \llbracket \text{Val } M \rrbracket \rho &= \text{return } \llbracket M \rrbracket \rho \\ \llbracket \text{Let } x \ M \ N \rrbracket \rho &= \llbracket M \rrbracket \rho \gg \lambda x. \llbracket N \rrbracket \rho \\ \llbracket \text{Case } M \ x_1 \ N_1 \ x_2 \ N_2 \rrbracket \rho &= \begin{cases} \llbracket N_1 \rrbracket \rho [x_1 \mapsto v], & \text{if } \llbracket M \rrbracket \rho = (1, v) \\ \llbracket N_2 \rrbracket \rho [x_2 \mapsto v], & \text{if } \llbracket M \rrbracket \rho = (2, v) \end{cases}\end{aligned}$$

\mathbf{T} can be any residualising sum monad.

Call-by-value computational sums

Extensional NBE

$$\begin{aligned} \text{reify}_A &: \llbracket A \rrbracket \rightarrow \text{NF}_A \\ \text{reify}_I N &= N \\ \text{reify}_{A \rightarrow T_B} f &= \text{Lam } x (\text{reify}_{T_B} (\text{reflect}_A (\text{Var } x) \gg f)), \quad x \text{ fresh} \\ \text{reify}_{A \times B} p &= \text{Pair } (\text{reify}_A p.1) (\text{reify}_B p.2) \\ \text{reify}_{A_1 + A_2} (i, v) &= \text{Inj}_i (\text{reify}_{A_i} v) \\ \text{reify}_{T_A} c &= \text{collect } (c \gg \lambda x. \text{return } (\text{reify}_A x)) \\ \text{reflect}_A &: \text{NE}_A \rightarrow T \llbracket A \rrbracket \\ \text{reflect}_I N &= \text{return } N \\ \text{reflect}_{A \rightarrow T_B} N &= \text{return } (\lambda v. \text{reflect}_{T_B} (\text{App } N (\text{reify}_A v)) \gg \text{id}) \\ \text{reflect}_{A \times B} N &= \text{reflect}_A (\text{Proj}_1 N) \gg \lambda x. \\ &\quad \text{reflect}_B (\text{Proj}_2 N) \gg \lambda y. \text{return } (x, y) \\ \text{reflect}_{A_1 + A_2} N &= \text{binds } N \gg \lambda (i, x_i). \text{reflect}_{A_i} (\text{Var } x_i) \gg \lambda v. \text{return } (i, v) \\ \text{reflect}_{T_A} N &= \text{return } (\text{bind } N \gg \lambda x. \text{reflect}_A (\text{Var } x)) \\ \text{norm}_A M &= \text{reify}_A (\llbracket M \rrbracket \emptyset) \end{aligned}$$

A summary of extensional NBE for sums

- ▶ Normalising with sums is non-trivial
- ▶ Call-by-value sums can be interpreted using continuations or a suitable free monad
[Danvy, POPL 1996; Filinski, TLCA 2001; Lindley, NBE 2009]
- ▶ Call-by-name sums require more care
[Altenkirch et al., LICS 2001; Balat et al., POPL 2004]

From reduction-based normalisation to NBE

NBE can be derived from reduction-based normalisation by a series of standard program transformations.

Example: naive β -reduction \longrightarrow intensional NBE

Input: naive normalisation algorithm (top-down traversal contracting β -redexes by substitution)

1. add an environment in place of substitution
2. factor through weak normalisation (not reducing under lambda)
3. replace lambda abstractions with closures
4. replace closures with higher-order functions

Output: intensional NBE

[my PhD thesis; Danvy, AFP 2008]

Per Martin-Löf. An intuitionistic theory of types. OUP, 1972.

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