

Reachability in Higher-Order-Counters

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MFCS 2013

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⇒ Higher-Order-Counter Systems (HOCS)

⇒ Theoretical Background / Proof Techniques

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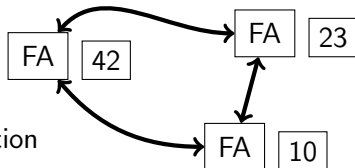
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⇒ Related/On-going/Future Work

Alexander H.'s Point of Departure...

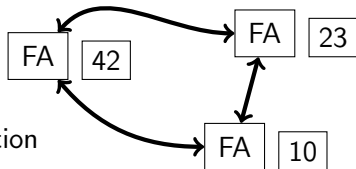
⇒ **distributed one counter systems**
that synchronize over counter values

⇒ safety verification & reachability question



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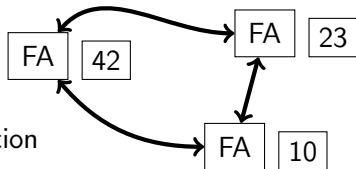
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- ⇒ idea: solve reachability by simulation via special register machines
(that can “freeze” values for later resume)

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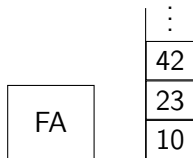
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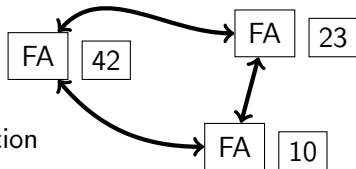
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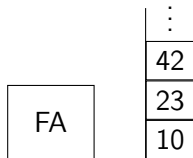
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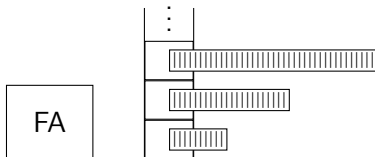
⇒ would need store hierarchy of registers, e.g., lifo:



⇒ what is known on these machines' safety decision problem?

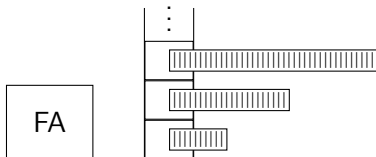
...Joining the One of Alexander K.

- ⇒ work on level k higher order pushdown automata (k -HOPA)
- ⇒ 2-HOPA on unary alphabet lead to
level 2 higher order **counter** automata (2-HOCA)



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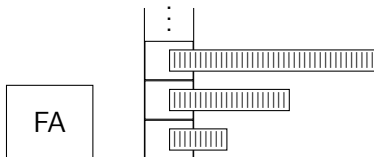


- ⇒ why stop at level $k = 2$ here? 😊

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⇒ 2-HOPA on unary alphabet lead to
level 2 higher order **counter** automata (2-HOCA)



⇒ why stop at level $k = 2$ here? 😊

⇒ what is known about level k higher order counter automata...

- ...regarding basic decision problems, e.g., (state) reachability?
- ...regarding their accepted languages?

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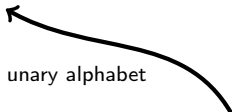
Higher-Order Counter Automata (HOCA)



level k HOCA

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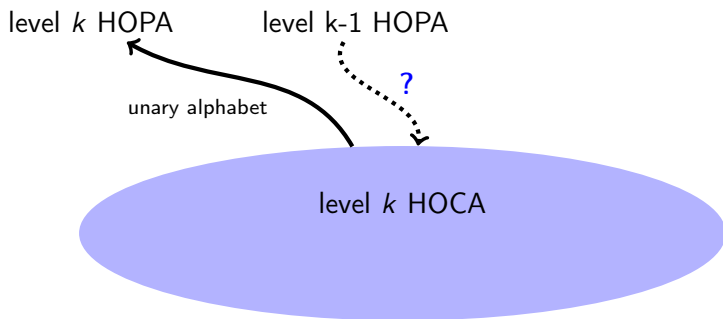
level k HOPA



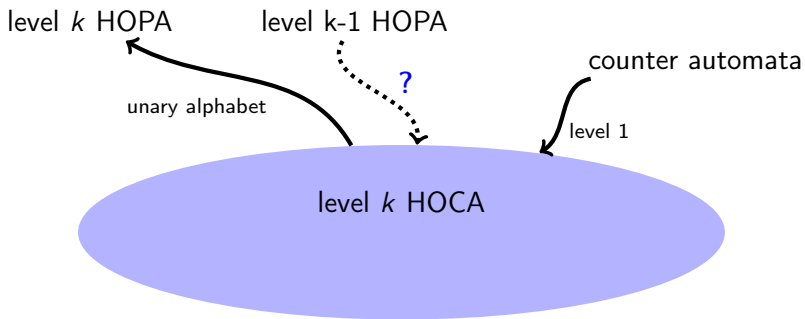
unary alphabet

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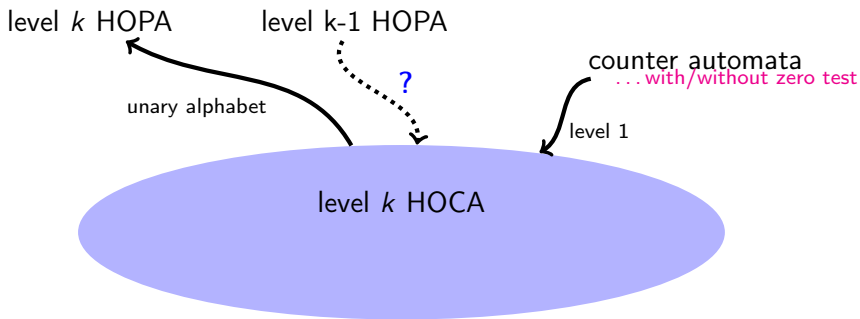
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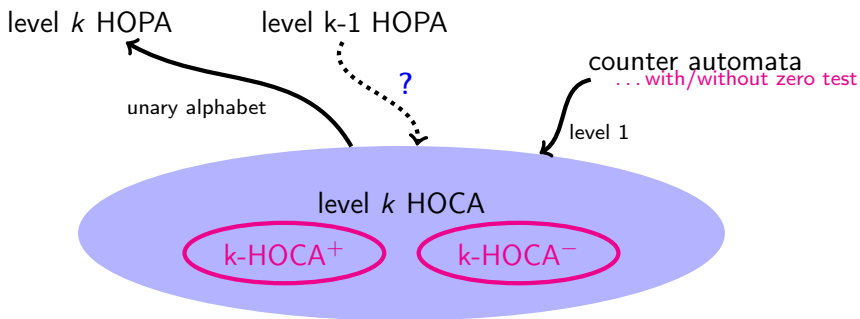
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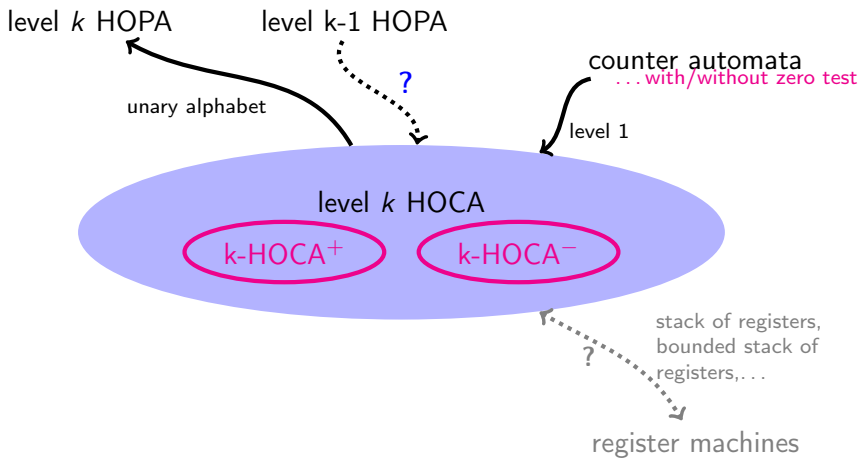
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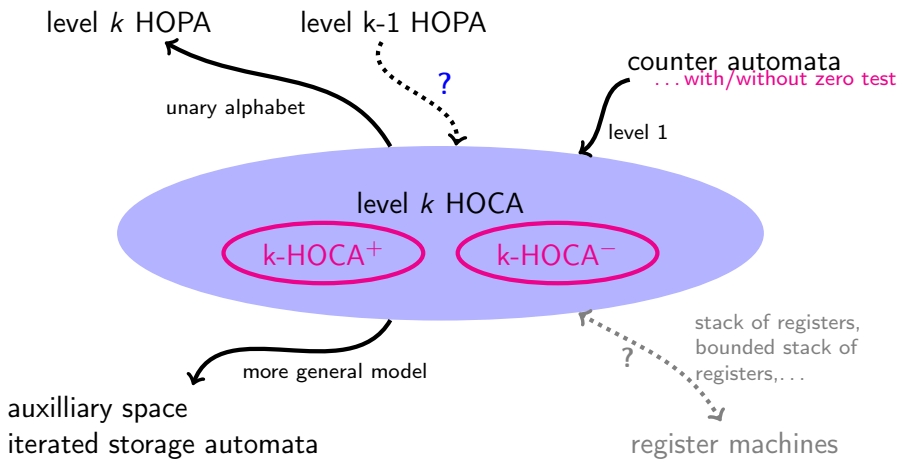
Higher-Order Counter Automata (HOCA)



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Higher-Order Counter Automata (HOCA)



Research Agenda

Pushdown vs. counter automata

- ⇒ transfer ideas & results from pushdown to counter automata (e.g., similar algos often lead to better complexity results)
- ⇒ lift results back to pushdown automata (e.g., for lower bounds)

Research Agenda

Pushdown vs. counter automata

- ⇒ transfer ideas & results from pushdown to counter automata (e.g., similar algos often lead to better complexity results)
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Goal: higher-order pushdown vs. higher-order counter automata

- ① adapt ideas/results from HOPA to HOCA
- ② use newly derived results on HOCA to derive answers for important open questions on HOPA

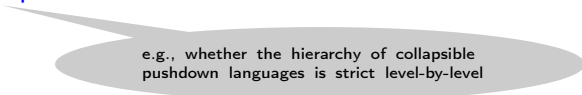
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Pushdown vs. counter automata

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e.g., whether the hierarchy of collapsible pushdown languages is strict level-by-level

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Theoretical Background

Logical Methods in Computer Science
Vol. 9(1:12)2013, pp. 1–56
www.lmcs-online.org

Submitted Aug. 2, 2011
Published Mar. 20, 2013

COLLAPSIBLE PUSHDOWN GRAPHS OF LEVEL 2 ARE TREE-AUTOMATIC*

ALEXANDER KARTZOW

Universität Leipzig, Institut für Informatik, Augustusplatz 10, 04103 Leipzig, Germany
e-mail address: kartzow@informatik.uni-leipzig.de

ABSTRACT. We show that graphs generated by collapsible pushdown systems of level 2 are tree-automatic. Even if we allow ε -contractions and reachability predicates (with regular constraints) for pairs of configurations, the structures remain tree-automatic whence their first-order logic theories are decidable. As a corollary we obtain the tree-automaticity of the second level of the Caucal-hierarchy.

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- 1.1. Main Result
- 1.2. Preliminaries and Definitions

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Regularity for level k HOPA

— **Theorem:** —

Let S be a collapsible **pushdown system of level 2** with configuration graph G . Any expansion of the ϵ -contraction of G by regular reachability relations is **tree-automatic**.

Regularity for level k HOPA

Theorem:

Let S be a collapsible pushdown system of level 2 with configuration graph G . Any expansion of the ϵ -contraction of G by regular reachability relations is tree-automatic.

⇒ return/loop construction

- finite memory cannot distinguish infinite number of stack configs
- runs of 2-HOPA from basic building blocks “returns” & “loops”
- reachability reduces to deciding whether certain returns/loops exist

Regularity for level k HOPA

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Let S be a collapsible **pushdown system of level 2** with configuration graph G . Any expansion of the ϵ -contraction of G by regular reachability relations is **tree-automatic**.

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- runs of 2-HOPA from basic building blocks “returns” & “loops”
- reachability reduces to deciding whether certain returns/loops exist

⇒ apply binary tree-like encoding of level k stack

Theoretical Background (cont'd)

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COLLAPSIBLE PUSHDOWN GRAPH TREE-AUTOMATA

ALEXANDER KARTZOW

Universität Leipzig, Institut für Informatik, Augustusplatz 10
e-mail address: kartzow@informatik.uni-leipzig.de

ABSTRACT. We show that graphs generated by iterated pushdown automata are ε -contractible (in the sense of [1]). Even if we allow ε -contraction constraints for pairs of configurations, the satisfiability of first-order logic theories are decidable. As a consequence, the second level of the Caucal-hierarchy is decidable.

1. Introduction
- 1.1. Main Result

Submitted Aug. 2, 2011
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INFORMATION AND COMPUTATION **95**, 21–75 (1991)

Iterated Stack Automata and Complexity Classes

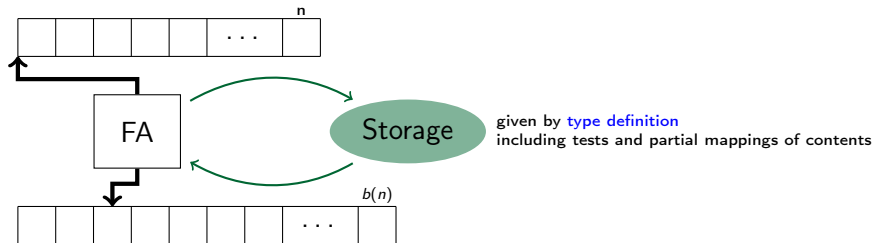
JOOST ENGELFRIET

Department of Computer Science, Leiden University,
P.O. Box 9512, 2300 RA Leiden, The Netherlands

An iterated pushdown is a pushdown of pushdowns of ... of pushdowns. The iterated exponential function is 2 to the 2 to the ... to the 2 to some polynomial. The main result presented here is that the nondeterministic 2-way and multi-tape iterated pushdown automata characterize the deterministic iterated exponential time complexity classes. This is proved by investigating both nondeterministic and deterministic iterated pushdown automata, for which similar characterizations are given. In particular it is shown that alternation corresponds to one more iteration of pushdowns. These results are applied to the 1-way iterated pushdown automata: (1) they form a proper hierarchy with respect to the number of iterations, and (2) their emptiness problem is complete in deterministic exponential time. Similar results are given for iterated pushdown automata with an erasing stack, nested stack, checking stack, etc.

Press, Inc.

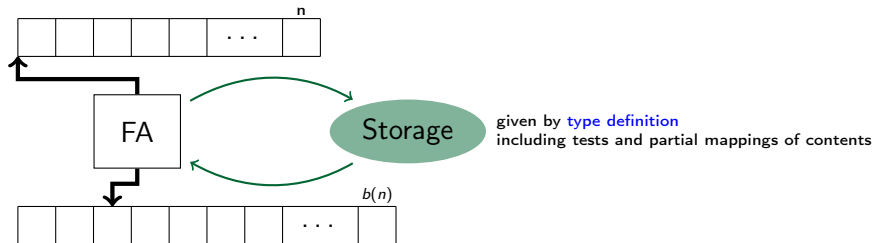
Auxiliary Space Storage Automata



Proposed general machine model:

⇒ finite automata (can be deterministic, non-det., alternating)

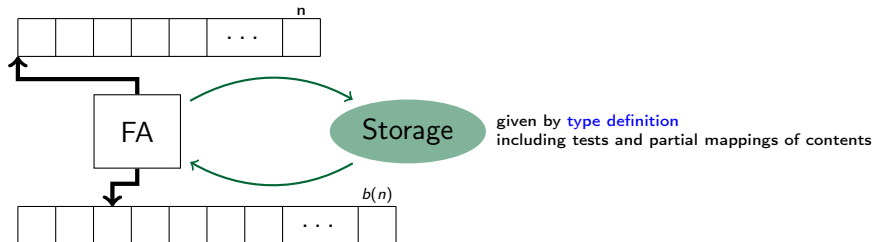
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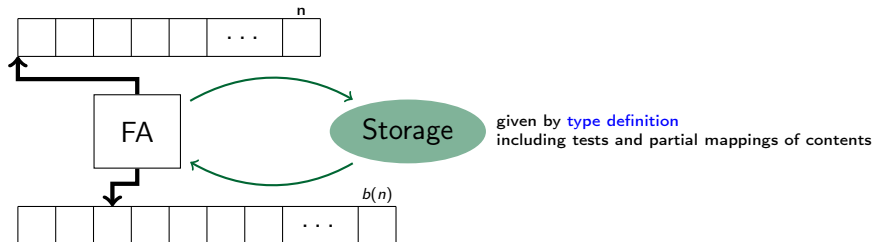
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- ⇒ $SPACE(b(n))$ bounded worktape for given $b : \mathbb{N} \rightarrow \mathbb{N}$

Auxiliary Space Storage Automata



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- ⇒ finite automata (can be deterministic, non-det., alternating)
- ⇒ two-way read-only input tape of size n
- ⇒ $SPACE(b(n))$ bounded worktape for given $b : \mathbb{N} \rightarrow \mathbb{N}$
- ⇒ storage of a given (iterated) type,

HOCA as Iterated Stack Automata

Can render HOPA & HOCA as auxilliary space storage automata:

- ✓ finite control automaton
- ✓ one-way read-only input tape
- ⚡ no working tape
- ✓ storage of following type:
 - iteratively defined stack of ... of stacks of a given basic type
 - basic type is pushdown, or one counter with/without zero test

Engelfriet's Fundamental Insights

Main Result 1

Give alternative automata-theoretic characterization of deterministic exponential time complexity classes in terms of nondeterministic multi-head $(k-1)$ -iterated pushdown automata. Give similar characterizations for non-deterministic and alternation versions of the automata.

Main Result 2

Research the emptiness problem for iterated pushdown automata of various kinds.

From the (dense, technical & elegant) proofs. . .

- ⇒ trade off: **decrease nestedness** of storage by one level requires **exponentially more space**
- ⇒ trade **alternation for non-determinism** for another level of **nestedness**

Theoretical Background (cont'd)

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COLLAPSIBLE PUSHDOWN GRAPH TREE-AUTOMATA

Universität
e-mail address

ABSTRACT
tree-automata
constraints
first-order
the set

1. Intro
1.1. Ma

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Iterated Stack Automata

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Submitted Sep. 26, 2007
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SYMBOLIC BACKWARDS-REACHABILITY ANALYSIS FOR HIGHER-ORDER PUSHDOWN SYSTEMS

MATTHEW HAGUE AND LUKE ONG

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e-mail address: {Matthew.Hague,Luke.Ong}@comlab.ox.ac.uk

ABSTRACT. Higher-order pushdown systems (PDSs) generalise pushdown systems through the use of higher-order stacks, that is, a nested “stack of stacks” structure. These systems may be used to model higher-order programs and are closely related to the Caucal hierarchy of infinite graphs and safe higher-order recursion schemes.

Complexity Class

University,
Netherlands

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SYMBOLIC BACKWARDS-REACHABILITY ANALYSIS FOR HIGHER-ORDER PUSHDOWN SYSTEMS

Reachability Analysis of Pushdown Automata: Application to Model-Checking

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Javier Espartero^{2*}

Oded Maler¹

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²University of Bordeaux, 2 av. de Vignate, 33610 Guesnes, France.
³University of Bordeaux, 2 av. de Vignate, 33610 Guesnes, France.

xford, UK, OX1 3QD

systems through
These systems
local hierarchy

inating PDSs
previous work

Complexity Classification

University,
Netherlands

of ... of pushdown
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SYMBOLIC BACKWARDS-REACHABILITY ANALYSIS FOR
HIGHER-ORDER PUSHDOWN SYSTEMS

Complexity Class

University,
Netherlands

Reachability Analysis
Application

Ahmed Bouajjani¹

A Note on Emptiness for Alternating Finite
Automata with a One-Letter Alphabet *

Petr Jančar

Zdeněk Sawa

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Level-2 HOCA State Reachability

Proposition:

Control state reachability for 2-HOCA^- is complete for \mathbf{P} .

proof idea:

- ⇒ extend returns & loops construction leads to algorithm in \mathbf{P}
- ⇒ 2-HOCA^- can simulate 1-HOPA ✓
- ⇒ hardness from known results on PDA (i.e., 1-HOPA)

Level-2 HOCA Regular Reachability

Theorem:

Regular fwd/bwd reachability for 2-HOCA^- is complete for **P**.

proof idea:

- ⇒ turn reachability predicate on 2-HOCA^- into tree automatic-relation
- ⇒ can avoid exponential blow-up
- ⇒ reduce to previous returns & loops construction for state reachability

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How to define **regularity** ?

- ⇒ based on binary tree encoding of [Kartzow]
- ⇒ substantially different from “weaker & more succinct” regularity. . .
 - . . . via 2-store automata [Bouajjani/Meyer]
 - . . . via sequence of pushdown operations [Carayol]

Level- k HOCA⁺ State Reachability

Theorem:

Alternating control state reachability of k -HOCA with zero test is complete for $\mathbf{DSpace}(\bigcup_{d \in \mathbb{N}} \exp_{k-2}(n^d))$.

$$\exp_0(n) := n$$

$$\exp_{k+1}(n) := \exp(\exp_k(n))$$

proof idea:

- ⇒ reduce to membership of alternating \exp_{k-3} space storage automata
- ⇒ lower bound by reduction similar to proof of [Jancar/Sawa] for $\mathbf{PSPACE-C}$ of non-emptiness of alternating automata

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Theorem:

Control state reachability of k -HOCA with zero test is complete for $\mathbf{DSpace}(\bigcup_{d \in \mathbb{N}} \exp_{k-1}(n^d))$.

- ⇒ lift reduction results of [Engelfriet] to our setting
- ⇒ lower bound from $(k-1)$ -HOPA

Level- k HOCA⁻ State Reachability

Theorem:

Alternating control state reachability of k -HOCA **without zero test** is complete for **DTIME** $(\bigcup_{d \in \mathbb{N}} \exp_{k-2}(n^d))$.

proof idea:

- ⇒ adapt [Engelfriet]'s inductive reductions with counter automata reachability as basic case
- ⇒ hardness from simulating $(k-1)$ -HOPA

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Theorem:

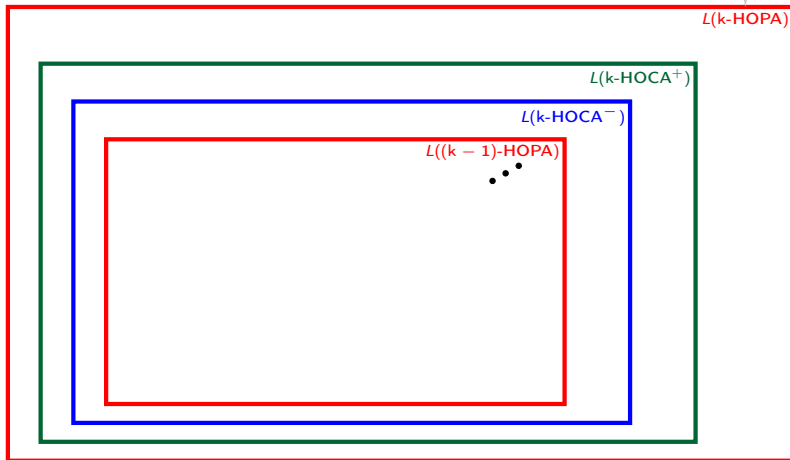
Control state reachability of k -HOCA without zero test is complete for **DTIME**($\bigcup_{d \in \mathbb{N}} \exp_{k-1}(n^d)$).

- ⇒ treat alternation for space

The Big Picture

If $\text{DTIME}(\bigcup_{d \in \mathbb{N}} \exp_k(n^d)) \subsetneq \text{DSpace}(\bigcup_{d \in \mathbb{N}} \exp_k(n^d)) \subsetneq \text{DTIME}(\bigcup_{d \in \mathbb{N}} \exp_{k+1}(n^d))$, then...

$L(-)$ stands for language accepted by class “-”



The Big Picture

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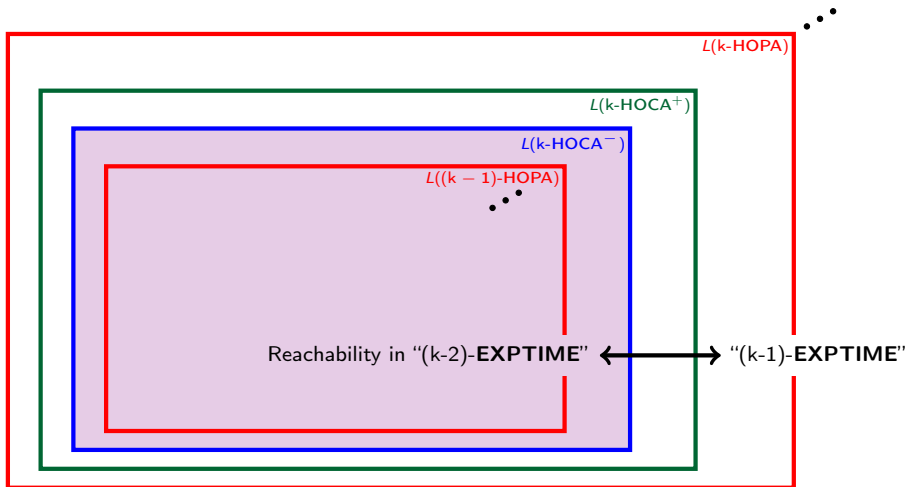
answering Parys/Kobayashi:
pushdown language hierarchy
is strict level-by-level.

$L(k\text{-HOPA})$

$L((k-1)\text{-HOPA})$

The Big Picture

If $\text{DTIME}(\bigcup_{d \in \mathbb{N}} \exp_k(n^d)) \subsetneq \text{DSpace}(\bigcup_{d \in \mathbb{N}} \exp_k(n^d)) \subsetneq \text{DTIME}(\bigcup_{d \in \mathbb{N}} \exp_{k+1}(n^d))$, then...



More Directions on Level-2 HOCA

...let's get back to a **finite set** of lifo stored registers

- ⇒ let c be a natural number (encoded in unary/binary)
- ⇒ **c -bounded reachability problem**: can a given state be reached by a run where all level 2 stacks are at most of height c ?
- ⇒ **bounded reachability problem**: c part of input. . .

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- ⇒ **bounded reachability problem**: c part of input. . .

Preliminary results:

	c-bounded	bounded (unary)	bounded (binary)
2-HOPA	EXPTIME-C		
2-HOCA ⁺	PSPACE-C	PSPACE-C	
2-HOCA ⁻	NL-C	P-C	P-C

Another Important Side Result

Insight

Digging in “old”[†] papers and adapting their ideas to current problems is inevitable and helps to avoid reinventing the wheel several times — also in computer science.

[†]in computer science, papers older than 15–20 years are already seen as stone-age ☺

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Related Work

PhD thesis of Michaela Slaats 2012

⇒ higher order counters equivalent to HOCA^+

INFINITE REGULAR GAMES IN THE
HIGHER-ORDER PUSHDOWN AND THE
PARAMETRIZED SETTING

Von der Fakultät für Mathematik, Informatik und Naturwissenschaften
der RWTH Aachen University zur Erlangung des akademischen Grades
einer Doktorin der Naturwissenschaften genehmigte Dissertation

vorgelegt von
Diplom-Informatikerin
MICHAELA SLAATS

Related Work

PhD thesis of Michaela Slaats 2012

- ⇒ higher order counters equivalent to HOCA^+
- ⇒ show: $k\text{-HOCA}^+$ can simulate $(k-1)\text{-HOPA}$
- ⇒ we shown:
already holds for $k\text{-HOCA}^-$ ✓

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already holds for $k\text{-HOCA}^-$ ✓
- ⇒ $k\text{-HOCA}^+$ as language acceptors
- ⇒ conjecture:
 $L(k\text{-HOCA}^+) \subsetneq L(k\text{-HOPA})$
- ⇒ we confirm and extend conjecture ✓

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Ongoing & Future Work

- ⇒ does 2-HOCA^- generalize the class of PDA while retaining their well-known good algorithmic properties?
- ⇒ try to extend positive results for μ -calculus model checking from pushdown automata to 2-HOCA
- ⇒ regular reachability question for other notions of regularity
- ⇒ apply (bounded) 2-HOCA as register machines for the verification of concurrent systems
- ⇒ ...

