

Available online at www.sciencedirect.com



Information and Computation 205 (2007) 419–473

Information and Computation

www.elsevier.com/locate/ic

# Resource operators for $\lambda$ -calculus

Delia Kesner <sup>a,\*</sup>, Stéphane Lengrand <sup>a,b</sup>

<sup>a</sup>PPS, CNRS and Université Paris 7, France <sup>b</sup>School of Computer Science, University of St. Andrews, UK

Received 12 September 2005; revised 22 June 2006 Available online 31 January 2007

#### **Abstract**

We present a simple term calculus with an explicit control of erasure and duplication of substitutions, enjoying a sound and complete correspondence with the intuitionistic fragment of Linear Logic's proof-nets. We show the operational behaviour of the calculus and some of its fundamental properties such as confluence, preservation of strong normalisation, strong normalisation of simply typed terms, step by step simulation of  $\beta$ -reduction and full composition.

© 2006 Elsevier Inc. All rights reserved.

## 1. Introduction

The Curry-Howard paradigm, according to which the terms/types/reduction of a term calculus respectively correspond to the proofs/propositions/normalisation of a logical system, has already shown its numerous merits in the computer science community. Such a correspondence gives a double reading of proofs as programs and programs as proofs, so that insight into one aspect helps the understanding of the other.

A typical example of the Curry-Howard correspondence is obtained by taking the simply typed  $\lambda$ -calculus [11] as term calculus and Natural Deduction for Intuitionistic Logic as logical system.

<sup>\*</sup> Corresponding author. Fax: +33 1 44 27 86 54. E-mail address: kesner@pps.jussieu.fr (D. Kesner).

Both formalisms can be decomposed in the following sense: on the one hand the evaluation rule of  $\lambda$ -calculus, known as  $\beta$ -reduction, can be decomposed into more elementary operations by implementing (higher-order) substitution as the interaction between (and the propagation of) erasure, duplication and linear substitution operators. On the other hand Linear Logic [24] decomposes the intuitionistic logical connectives into more elementary connectives, such as the linear implication and the exponentials, thus providing a more refined and controlled use of resources (formulae) than that of Intuitionistic Logic.

We show that there is a deep connection between these two elementary decompositions. In order to relate them, we bridge the conceptual gap between the term syntax formalism and that of proofnets [24] that we use to denote proofs in Linear Logic. Visually convenient to manipulate, proofnets retain from the structure of a proof the part that is logically relevant, thus giving geometric insight into proof transformations. However, they are quite cumbersome in proof formalisations, owing to a certain lack of proof techniques and corresponding proof assistants. On the other hand, term notation is more convenient to formalise and carry out detailed proofs of properties, and also when one wants to implement them via some proof-assistant [13,32].

Several works [15,16,1,58,21] have already explored the relation between these two approaches, but none of them has pushed the formalism far enough to obtain a computational counterpart to proof-nets that is sound and complete with respect to the underlying logical model.

In this paper, we present a calculus called  $\lambda | xr$  with erasure, duplication and linear substitution operators, which can be seen as a  $\lambda$ -calculus with *explicit substitutions*. Its simply typed version can be considered, via the Curry-Howard paradigm, as a functional computational counterpart to the intuitionistic fragment of proof-nets. The major features of this calculus are

- Simple syntax and intuitive operational semantics via reduction rules and equations;
- Sound and complete correspondence with the proof-nets model, where the equations and reductions of terms have a natural correspondence with those of proof-nets;
- Full composition of explicit substitutions;
- Nice properties such as confluence, preservation of strong normalisation, strong normalisation for Curry-style simply typed terms, and step by step simulation of  $\beta$ -reduction.

# 1.1. Explicit control of resources and proof-nets

Much work on explicit substitutions has been done in the last 15 years, for example [3,7,10,37]. In particular, an unexpected result was given by Melliès [45] who has shown that there are  $\beta$ -strongly normalisable terms in  $\lambda$ -calculus that are not strongly normalisable when evaluated by the reduction rules of an explicit version of the  $\lambda$ -calculus, such as for example  $\lambda \sigma$  [3] or  $\lambda \sigma_{\uparrow}$  [30]. In other words,  $\lambda \sigma$  and  $\lambda \sigma_{\uparrow}$  do not enjoy the property known as preservation of strong normalisation (PSN) [7].

This phenomenon shows a flaw in the design of these calculi with explicit substitutions in that they are supposed to implement their underlying calculus without losing its good properties such as strong normalisation of simply typed terms. However, there are many ways to avoid Melliès' counter-example in order to recover the PSN property. One of them is to simply forbid the substitution operators to cross lambda-abstractions [44,22]; another consists of avoiding composition of substitutions [7]; another one imposes a simple strategy on the calculus with explicit substitutions to mimic exactly the calculus without explicit substitutions [25]. The first solution leads to weak

lambda calculi, not able to express *strong*  $\beta$ -equality, which is used for example in implementations of proof-assistants [13,32]. The second solution is drastic as composition of substitutions is needed in implementations of HO unification [19] or functional abstract machines [31]. The last one exploits very little of the notion of explicit substitutions because they can be neither composed nor even delayed.

In order to cope with this problem David and Guillaume [18] defined a calculus with *labels*, called  $\lambda_{ws}$ , which allows *controlled* composition of explicit substitutions without losing PSN. These labels can be also seen as special annotations induced by a logical *weakening* rule. But the  $\lambda_{ws}$ -calculus has a complicated syntax and its named version [16] is even less readable. On the positive side we should mention that  $\lambda_{ws}$ -calculus has very nice properties as it is confluent (or Church-Rosser) and enjoys PSN. Also, it can be shown [17] that there is a simple translation preserving reduction from simply typed  $\lambda_{ws}$  into the proof-nets of Linear Logic. This translation gives at the same time a proof of strong normalisation for simply typed  $\lambda_{ws}$ -terms. Moreover, the translation reveals a natural semantics for composition of explicit substitutions, and also suggests that explicit erasure and duplication operators can be added to the calculus without losing termination. These are the main ideas constituting the starting point of the calculus called  $\lambda$ lxr that we present in this paper.

The operators of  $\lambda lxr$  have thus a nice logical interpretation in the typed case: the linear substitution operator is *cut*, the duplication operator is *contraction*, the erasure operator is *weakening*. From the point of view of implementation, this can be read as the fact that substitution, duplication and erasure can be controlled.

Instead of translating a term syntax into proof-nets, we *extract* a term calculus from proof-nets, thus defining a simple and natural syntax involving not only reduction rules but also *equations*. Every term equation of  $\lambda lxr$  can be seen as a computational counterpart to an equality between proof-nets and, *vice versa*, every proof-net equality can be naturally read back as an equality between  $\lambda lxr$ -terms.

It is then not surprising that we obtain a full correspondence between typed  $\lambda lxr$  and the Intuitionistic fragment of Linear Logic's proof-nets in the sense that the interpretation is not only *sound* but also *complete* (in contrast to the translation from  $\lambda_{ws}$  to proof-nets, which is only sound).

#### 1.2. Weakening and garbage collection

The *erasurelweakening operator* has an interesting computational behaviour in calculi such as  $\lambda_{ws}$  and  $\lambda lxr$  that we illustrate via an example. Let us denote by  $\mathcal{W}_{-}(-)$  the weakening operator, so that a  $\lambda lxr$ -term whose variable x is used to weaken the term t is written  $\mathcal{W}_{x}(t)$ , that is, we explicitly annotate that the variable x does not appear free in the term t. Then, when evaluating the application of a term  $\lambda x.\mathcal{W}_{x}(t)$  to another term u, a linear substitution operator  $\langle x \setminus u \rangle$  is created and the computation will continue with  $\mathcal{W}_{x}(t)\langle x \setminus u \rangle$ . Then, the weakening operator will be used to prevent the substitution  $\langle x \setminus u \rangle$  from going into the term t, thus making more efficient the propagation of a substitution with respect to the original term.

Another interesting feature of our system is that weakening operators are always *pulled out* to the top-level during  $\lambda | xr$ -reduction. Moreover, free variables are *never* lost during computation because they get marked as weakening operators. Indeed, if t  $\beta$ -reduces to t', then its  $\lambda | xr$ -interpretation reduces to that of t' where weakening operators are added at the top level to keep track of the variables that are lost during the  $\beta$ -reduction step. Thus for example, when simulating the  $\beta$ -reduction steps

 $(\lambda x.\lambda y.x)$   $uz \longrightarrow_{\beta}^* u$ , the lost variable z will appear in the result of the computation by means of a weakening operator at the top level, i.e., as  $\mathcal{W}_z(\overline{u})$  (where  $\overline{u}$  is the interpretation of u in  $\lambda |xr$ ), thus preparing the situation for an efficient garbage collection on z.

The weakening operator can thus be seen as a tool for handling *garbage collection*. For instance, it is worth noticing that the labels of the  $\lambda_{ws}$ -calculus cannot be pulled out to the top-level as in  $\lambda lxr$ . Also, free variables may be lost during  $\lambda_{ws}$ -computation. Thus, garbage collection within  $\lambda_{ws}$  does not offer the advantages existing in  $\lambda lxr$ .

## 1.3. Composition

From a rewriting point of view this calculus can be viewed as the first term calculus that is confluent (or Church-Rosser) and strongly normalising on typed terms, *simulates*  $\beta$ -reduction step by step, and has PSN as well as *full composition*. Thus,  $\lambda$ lxr gives a human-readable formalism between the abstract  $\lambda$ -calculus and the graph presentations given for example by sharing graphs [26]. By simulation of  $\beta$ -reduction step by step we mean that every  $\beta$ -reduction step in  $\lambda$ -calculus induces a non-empty  $\lambda$ lxr-reduction sequence. By full composition we mean that we can compute the application of an explicit substitution to a term, no matter which substitution remains non-evaluated within that term. In other words, full composition means that the explicit substitution operator of the calculus implements exactly a notion of meta-substitution defined on the same calculus, that is, on terms having weakening, contraction and linear substitution operators. In particular, in a term  $t\langle y \rangle u \rangle \langle x \rangle v \rangle$ , the external substitution is not blocked by the internal one and can be further evaluated without ever requiring any preliminary evaluation of  $t\langle y \rangle u \rangle$ . In other words, the application of the substitution  $\langle x \rangle v \rangle$  to the term t can be evaluated independently from that of  $\langle y \rangle u \rangle$ . A more technical explanation of the concept of full composition appears in Section 2.

#### 1.4. Related work

Besides the  $\lambda_{ws}$ -calculus [18] and its encoding in linear logic [16] already mentioned, other computational meanings of logic via the use of operators have already been proposed.

Herbelin [29] proposes a term calculus with applicative terms and explicit substitutions which corresponds to the Gentzen-style sequent calculus LJT. A similar approach to intuitionistic logic is also studied in [59]. In a very different spirit, [12] relates the *pattern matching operator* in functional programming to the cut elimination process in sequent calculus for intuitionistic logic.

Abramsky [1] gives computational interpretations for intuitionistic and classical Linear Logic which are based on sequents rather than proof-nets. But he gives no equalities between terms reflecting the irrelevance of some syntactic details appearing in sequent poofs. Many other term calculi based on sequents rather than proof-nets have been proposed for Linear Logic, as for example [23,6,53,60,48].

An axiomatisation of sharing graphs by means of higher-order term syntax is proposed by Hasegawa [28] who investigates categorical models of the term calculi thus obtained. The proofnets that we use in this paper go beyond his general treatment in that they have a particular semantics with extra equations and reduction rules. Our point in this paper is to relate them to a resource-aware  $\lambda$ -calculus, while the case studies in [28] are those of Ariola and Klop's cyclic lambda calculi and Milner's action calculi.

A related approach was independently developed by V. van Oostrom (available in course notes written in Dutch [58]), where operators for contraction and weakening are added to the  $\lambda$ -calculus to define a fine control of duplication and erasing. We show here how the same operators allow a fine control of composition when using linear substitution operators, although the proofs of some fundamental properties, such as PSN and confluence, become harder. An overview on optimal sharing in functional programming languages, and its connection with linear logic can be found in [4].

Another approach is taken in [21], where a calculus with contraction, weakening and linear substitution operators is defined in order to study the notion of *closed reduction* in  $\lambda$ -calculus. Although reduction rules take enormous advantage of the fact that some subterms are closed (i.e., without free variables), which greatly simplifies the definition of reduction, no deep relation with proof-nets is exploited and no equalities appear at the level of terms.

Our completeness proof is inspired by [40], where polarised proof-nets are proposed as a sound and complete model of the  $\lambda\mu$  calculus [49]. Finally, a revised version of the calculus  $\lambda_{ws}$  with names is developed in [50].

# 1.5. Structure of the paper

The rest of the paper is organised as follows. Section 2 presents the syntax and operational semantics of the  $\lambda$ Ixr-calculus. Section 3 defines the model of the calculus and establishes soundness and completeness. Section 4 shows the relation between  $\lambda$ -calculus and  $\lambda$ Ixr-calculus by giving mutual translations from one to the other. In Section 5 we establish the main operational properties of  $\lambda$ Ixr. Finally we conclude and give some ideas for further work.

## 2. The calculus $\lambda lxr$

#### 2.1. The linear syntax of $\lambda lxr$

We present in this section the syntax of the untyped  $\lambda lxr$ -calculus as well as the notions of congruence and reduction between terms.

The syntax for raw terms, given by the following grammar, is extremely simple<sup>1</sup> and can be just viewed as an extension of that of  $\lambda \times [10]$ . We assume that we have a set of *variables*, denoted  $x, y, z, \ldots$ , that is in bijection with the natural numbers and is thus equipped with a *total order*.

Terms are thus trees, so we shall only use parentheses to remove any ambiguity when writing them as strings.

The term  $\lambda x.t$  is called an *abstraction*, t u an *application*, and  $t\langle x \setminus u \rangle$  a *closure*. The term constructors  $\mathcal{W}_{-}()$ ,  $\mathcal{C}_{-}^{-}()$  and  $_{-}()$  are, respectively, called *weakening*, *contraction* and *linear substitution operators*.

In contrast to  $\lambda_{ws}$  with names [16,17], where terms affected by substitutions have a complex format  $t[x, u, \Gamma, \Delta]$ .

**Definition 1** (*Term size*). We define the *size* of a term t, written |t|, as follows: |x| = 1,  $|\lambda x.t| = |\mathcal{W}_x(t)| = |\mathcal{C}_x^{y|z}(t)| = |t| + 1$ , and  $|tv| = |t\langle x \rangle | = |t| + |v| + 1$ .

**Definition 2.** We write  $\mathcal{FV}(t)$  to denote the set of *free* variables of a term t defined by induction on |t| as follows:

$$\begin{split} \mathcal{FV}(x) &:= \{x\} \\ \mathcal{FV}(\lambda x.t) &:= \mathcal{FV}(t) \setminus \{x\} \end{split} \\ \mathcal{FV}(\lambda x.t) &:= \mathcal{FV}(t) \setminus \{x\} \\ \mathcal{FV}(\mathcal{V}_x(t)) &:= \mathcal{FV}(t) \cup \{x\} \end{split} \\ \mathcal{FV}(\mathcal{C}_x^{y|z}(t)) &:= (\mathcal{FV}(t) \setminus \{y,z\}) \cup \{x\} \end{split}$$

The terms  $\lambda x.t$  and  $t\langle x \setminus u \rangle$  thus bind x in t. The term  $C_x^{y|z}(t)$  binds y and z in t. From this notion of binding we obtain the corresponding notion of  $\alpha$ -equivalence.

Note that the syntax could equivalently be given as a HRS [47], with a type V for variables and a type T for (raw)-terms, and six typed constants corresponding to the six term constructors above:

$$\begin{array}{ll} \text{var}: \mathcal{V} \to \mathcal{T} & \text{sub}: (\mathcal{V} \to \mathcal{T}) \to (\mathcal{T} \to \mathcal{T}) \\ \text{abs}: (\mathcal{V} \to \mathcal{T}) \to \mathcal{T} & \text{app}: \mathcal{T} \to (\mathcal{T} \to \mathcal{T}) \\ \text{weak}: \mathcal{V} \to (\mathcal{T} \to \mathcal{T}) & \text{cont}: \mathcal{V} \to ((\mathcal{V} \to (\mathcal{V} \to \mathcal{T})) \to \mathcal{T}) \end{array}$$

For instance the  $\lambda |xr\text{-term } \mathcal{C}_x^{y|z}(t_1 \ t_2 \ t_3)\langle x \backslash \lambda x'.x' \rangle$  would be represented as the HRS-term  $\text{sub}(x.\text{cont}(x, y.z.\text{app}(\text{app}(t_1, t_2), t_3)), \text{abs}(x'.\text{var}(x'))).$ 

The consequent notions of free and bound variables and  $\alpha$ -equivalence coincide with ours.

We say that a term is *linear* if it satisfies the following: in every subterm, every variable has at most one free occurrence, and every binder binds a variable that does have a free occurrence (and hence only one). This condition can be formally expressed as follows.

**Definition 3** (*Linear terms*). A term *t* is said to be *linear* if *t* linear can be derived from the following rules:

$$\frac{t, v \text{ linear}}{x \text{ linear}} \qquad \frac{t, v \text{ linear}}{t \langle x \rangle v \rangle} \qquad \frac{t, v \text{ linear}}{t \langle x \rangle v \rangle} \qquad \frac{t \text{ linear}}{t \langle x \rangle v \rangle} \qquad \frac{t, v \text{ linear}}{t \langle x \rangle v \rangle} \qquad \frac{t, v \text{ linear}}{t \langle x \rangle v \rangle} \qquad \frac{t, v \text{ linear}}{t \langle x \rangle v \rangle} \qquad \frac{t, v \text{ linear}}{t \langle x \rangle v \rangle} \qquad \frac{t \text{$$

For instance, the terms  $W_x(x)$  and  $\lambda x.x.x$  are not linear. However, the latter can be represented in the  $\lambda |\mathbf{x}|$ -calculus by the linear term  $\lambda x.\mathcal{C}_x^{y|z}(y|z)$ . More generally, every  $\lambda$ -term can be represented by a linear  $\lambda |\mathbf{x}|$ -term (cf. Section 4). Note that being linear is a property of  $\alpha$ -equivalent classes, i.e., given two  $\alpha$ -equivalent terms, either both are linear or both are not.

**Notice 1.** Using  $\alpha$ -equivalence we can now consider Barendregt's convention that no variable is free and bound in a term, without loss of generality.

#### 2.2. Congruence and meta-notations

As mentioned in the introduction,  $\beta$ -reduction can be decomposed into cut-elimination steps in proof-nets, when the latter are considered modulo some equations [14]. Similarly, some equations handling the operators of  $\lambda$ lxr equip our calculus with a fine-grained notion of rewriting modulo, which allows the decomposition of  $\beta$ -reduction as well, but also in the untyped case (cf. Section 4). Presented in Fig. 1, these equations are also, in the typed case, at the center of the correspondence with the proof-nets modulo (cf. Section 3).

The reader may notice that the equation  $A_c$  needs the side condition  $(x \neq y, v)$ , while  $P_{cs}$  needs  $(x \neq y)$ ; but they can always be satisfied by Barendregt's convention.

The equations  $A_c$  and  $C_c$  express the internal associativity and commutativity of contraction, when seen as a binary operation merging two "wires" labelled with its two bound variables into one labelled by its free variable. The equations  $P_c$ ,  $P_w$ ,  $P_s$  express the permutability of independent contractions, weakenings, and substitutions, respectively. The point of the equation  $P_{cs}$ , expressing the permutability between independent contraction and substitution, is discussed in the next subsection.

We define the relation  $\equiv$  as the smallest congruence on terms (i.e., a symmetric, reflexive, transitive, context-closed relation [56]) that contains the equations of Fig. 1. It can easily be proved that  $\equiv$  preserves free variables and linearity. Since we shall deal with rewriting modulo the congruence  $\equiv$ , it is worth noticing that  $\equiv$  is decidable. More than that, each congruence class contains finitely many terms. Indeed, two congruent terms have clearly the same size, so it is easy to see by induction on this size that the congruence rules generate finitely many possibilities to pick up a representative of the class.

We use  $\Phi$ ,  $\Upsilon$ ,  $\Sigma$ ,  $\Psi$ ,  $\Xi$ ,  $\Omega$ , ... to denote finite *lists* of variables (with no repetition). The notation  $\Phi$ ,  $\Psi$  denote the concatenation of  $\Phi$  and  $\Psi$ , and we always suppose in that case that no variable appears in both  $\Phi$  and  $\Psi$ . The following renaming operation will be also used when necessary to ensure linearity.

**Definition 4** (*Renaming operation*). If  $\Phi = x_1, \dots, x_n$  and  $\Psi = y_1, \dots, y_n$  are two lists, we define the *renaming operation* of  $\Phi$  by  $\Psi$  on a term t, written  $\mathcal{R}_{\Psi}^{\Phi}(t)$ , as the capture-avoiding simultaneous substitution of  $y_i$  for every *free* occurrence of  $x_i$  in t where  $i \in {1, \dots, n}$ .

```
 \begin{array}{ll} \mathcal{C}_{w}^{x|v}(\mathcal{C}_{x}^{z|y}(t)) & \equiv_{\mathsf{A_{C}}} & \mathcal{C}_{w}^{z|x}(\mathcal{C}_{x}^{y|v}(t)) \\ \mathcal{C}_{x}^{y|z}(t) & \equiv_{\mathsf{C_{C}}} & \mathcal{C}_{x}^{z|y}(t) \\ \mathcal{C}_{x'}^{y'|z'}(\mathcal{C}_{x}^{y|z}(t)) & \equiv_{\mathsf{P_{C}}} & \mathcal{C}_{x}^{y|z}(\mathcal{C}_{x'}^{y'|z'}(t)) \text{ if } x \neq y', z' \ \& \ x' \neq y, z \\ \mathcal{W}_{x}(\mathcal{W}_{y}(t)) & \equiv_{\mathsf{P_{W}}} & \mathcal{W}_{y}(\mathcal{W}_{x}(t)) \\ t\langle x \backslash u \rangle \langle y \backslash v \rangle & \equiv_{\mathsf{P_{S}}} & t\langle y \backslash v \rangle \langle x \backslash u \rangle & \text{if } y \notin \mathcal{FV}(u) \ \& \ x \notin \mathcal{FV}(v) \\ \mathcal{C}_{w}^{y|z}(t) \langle x \backslash u \rangle & \equiv_{\mathsf{P_{CS}}} & \mathcal{C}_{w}^{y|z}(t\langle x \backslash u \rangle) & \text{if } x \neq w \ \& \ y, z \notin \mathcal{FV}(u) \\ \end{array}
```

Fig. 1. Congruence equations for  $\lambda |xr$ -terms.

Thus for instance  $\mathcal{R}_{x',y'}^{x,y}(\mathcal{C}_w^{y|z}(x(yz))) = \mathcal{C}_w^{y|z}(x'(yz))$  (y is not replaced since the occurrence is bound).

We remark that for any permutation  $\pi$  of  $1, \ldots, n$ , we have  $\mathcal{R}_{\Psi}^{\Phi}(t) = \mathcal{R}_{\pi(\Psi)}^{\pi(\Phi)}(t)$ .

We use the notation  $\mathcal{W}_{x_1, \ldots, x_n}(t)$  for  $\mathcal{W}_{x_1}(t)$  and  $\mathcal{C}_{x_1, \ldots, x_n}^{y_1, \ldots, y_n \mid z_1, \ldots, z_n}(t)$  for  $\mathcal{C}_{x_1}^{y_1 \mid z_1}(t)$ , where  $x_1, \ldots, x_n \in \mathbb{R}$ where  $x_1, \ldots, x_n, y_1, \ldots, y_n, z_1, \ldots, z_n$  are all distinct variables. In the case of the empty list, we define  $\mathcal{W}_{\emptyset}(t) = t \text{ and } \mathcal{C}_{\emptyset}^{\emptyset|\emptyset}(t) = t.$ 

As in the case of the renaming operator, for any permutation  $\pi$  of  $1, \ldots, n$ , we have  $\mathcal{W}_{\Psi}(t) \equiv \mathcal{W}_{\pi(\Psi)}(t)$  and  $\mathcal{C}_{\Phi}^{\Psi|\Upsilon}(t) \equiv \mathcal{C}_{\pi(\Phi)}^{\pi(\Psi)|\pi(\Upsilon)}(t)$ . Moreover, we have  $\mathcal{C}_{\Phi}^{\Psi|\Upsilon}(t) \equiv \mathcal{C}_{\Phi}^{\Upsilon|\Psi}(t)$  and  $\mathcal{C}_{\Phi}^{\Psi|\Upsilon}(\mathcal{C}_{\Psi}^{\Sigma|\Psi}(t)) \equiv \mathcal{C}_{\Phi}^{\Psi|\Upsilon}(t)$  $C_{\Phi}^{\Sigma|\Psi}(C_{\Psi}^{\Psi|\Upsilon}(t)).$ 

**Notice 2.** Sometimes we use a set of variables, e.g., S, in places where lists are expected, as in  $W_S(u)$ ,  $\mathcal{C}_{\mathcal{S}}^{\Phi|\Psi}(t)$ ,  $\mathcal{R}_{\Phi}^{\mathcal{S}}(t)$  or  $\Phi:=\mathcal{S}$ . The intended list is obtained by ordering  $\mathcal{S}$  according to the total order that we have on the set of variables. These notations introduce no ambiguity and are much more legible.

## 2.3. Operational semantics

#### 2.3.1. Reduction rules and reduction relations

The reduction relation of the calculus is the relation generated by the reduction rules in Fig. 2 modulo the congruence relation in Fig. 1, as described below in detail.

We will use xr to denote the set of rules  $x \cup r$  and Bxr to denote the set  $\{B\} \cup xr$ .

Now, let i be a set of rules (like B, x, xr, or Bxr). The basic reduction relation  $\longrightarrow_{i_b}$  is the contextual closure of the relation formed by instances of the rules in the set i. Moreover, since we have a congruence relation on terms, we denote by  $\longrightarrow_i$  the reduction relation modulo this congruence [56], that is,  $t \longrightarrow_i t'$  if and only if there exist two terms u and u' such that  $t \equiv u \longrightarrow_{i_h} u' \equiv t'$ . Hence, the most general reduction relation of our calculus is  $\longrightarrow_{BX\Gamma}$  (i.e., generated by the rules of Bxr), often written  $\longrightarrow_{\lambda | X\Gamma}$  (i.e., pertaining to the calculus  $\lambda | X\Gamma$ ).

For any reduction relation  $\longrightarrow_j$ , we denote by  $\longrightarrow_j^n$  the *n*th composition of  $\longrightarrow_j$ , we denote by  $\longrightarrow_{j}^{+}$  the transitive closure (union of all  $\longrightarrow_{j}^{n}$  for  $n \ge 1$ ), we denote by  $\longrightarrow_{j}^{*}$  the reflexive and transitive closure (union of all  $\longrightarrow_{j}^{n}$  for  $n \ge 0$ ) and by  $\longleftrightarrow_{j}^{*}$  the reflexive, transitive and symmetric closure.

## 2.3.2. General properties

In order to avoid variable capture, the rules Abs and CAbs need the side-conditions ( $y \notin \mathcal{FV}(u)$ ) and  $(x \neq y, z)$ , respectively, which are satisfied if Barendregt's convention is respected, so they can always be satisfied by  $\alpha$ -equivalence, so their nature is different from that of the other side-conditions appearing on the right-hand side of Fig. 2. The rules should be understood in the prospect of applying them to linear terms. Indeed, linearity is preserved by the reduction relation, which satisfies the following properties:

**Lemma 1** (Preservation properties). Let t be a linear term and  $t \longrightarrow_{\lambda/\chi r} t'$ .

- 1. The set of free variables is preserved, i.e.  $\mathcal{FV}(t) = \mathcal{FV}(t')$ .
- 2. Linearity is preserved, i.e., t' is linear.

```
B
                       (\lambda x.t) u
                                                      \longrightarrow t\langle x \backslash u \rangle
System x
Abs
                       (\lambda y.t)\langle x \backslash u \rangle \longrightarrow \lambda y.t\langle x \backslash u \rangle
App1
                       (t\ v)\langle x\backslash u\rangle \longrightarrow t\langle x\backslash u\rangle\ v
                                                                                                                                 x \notin \mathcal{FV}(v)
                       (t\ v)\langle x\backslash u\rangle \longrightarrow t\ v\langle x\backslash u\rangle
App2
                                                                                                                                       x \notin \mathcal{FV}(t)
Var
                       x\langle x \backslash u \rangle
                      \mathcal{W}_x(t)\langle x \backslash u \rangle \longrightarrow \mathcal{W}_{\mathcal{FV}(u)}(t)
Weak1
                      \mathcal{W}_y(t)\langle x \backslash u \rangle \longrightarrow \mathcal{W}_y(t\langle x \backslash u \rangle)
Weak2
                      C_x^{y|z}(t)\langle x \backslash u \rangle \longrightarrow C_{\mathcal{FV}(u)}^{\Psi|\Upsilon}(t\langle y \backslash \mathcal{R}_{\Psi}^{\mathcal{FV}(u)}(u) \rangle \langle z \backslash \mathcal{R}_{\Upsilon}^{\mathcal{FV}(u)}(u) \rangle)
Cont
                                                                                                               where \Psi, \Upsilon are fresh
                      t\langle y \backslash v \rangle \langle x \backslash u \rangle \longrightarrow t\langle y \backslash v \langle x \backslash u \rangle \rangle
                                                                                                                     x \notin \mathcal{FV}(t) \setminus \{y\}
Comp
System r
WAbs
                       \lambda x. \mathcal{W}_{y}(t) \longrightarrow \mathcal{W}_{y}(\lambda x.t)
                                                                                                                                                   x \neq y
WApp1 W_{v}(u) v
                                                     \longrightarrow \mathcal{W}_u(uv)
\mathsf{WApp2} \quad u \; \mathcal{W}_y(v) \qquad \longrightarrow \; \mathcal{W}_y(uv)
\mathsf{WSubs} \quad t\langle x \backslash \mathcal{W}_y(u) \rangle \ \longrightarrow \ \mathcal{W}_y(t\langle x \backslash u \rangle)
                      C_w^{y|z}(\mathcal{W}_y(t)) \longrightarrow \mathcal{R}_w^z(t)
Merge
                      C_w^{y|z}(\mathcal{W}_x(t)) \longrightarrow \mathcal{W}_x(C_w^{y|z}(t))
Cross
                                                                                                                                x \neq y, \ x \neq z
                      C_w^{y|z}(\lambda x.t) \longrightarrow \lambda x. C_w^{y|z}(t)
CAbs
CApp1 C_w^{y|z}(t u) \longrightarrow C_w^{y|z}(t) u
                                                                                                                                y, z \notin \mathcal{FV}(u)
CApp2 C_w^{y|z}(t|u) \longrightarrow t C_w^{y|z}(u)
                                                                                                                                 y, z \notin \mathcal{FV}(t)
                  C_w^{y|z}(t\langle x \backslash u \rangle) \longrightarrow t\langle x \backslash C_w^{y|z}(u) \rangle
CSubs
                                                                                                                     y, z \notin \mathcal{FV}(t) \setminus \{x\}
```

Fig. 2. Reduction rules for λlxr-terms.

**Proof.** By using the fact that the congruence preserves free variables and linearity, the two properties have to be satisfied by the basic reduction relation. This can be checked by a straightforward simultaneous induction on the reduction step and case analysis.  $\Box$ 

For instance in rule Cont, it is the introduction of the lists of fresh variables  $\Psi$  and  $\Upsilon$  that ensures the linearity of terms.

In contrast to  $\lambda$ -calculus where the set of free variables may decrease during reduction, preservation of free variables (Lemma 1: 1) holds in  $\lambda$ lxr thanks to the weakening operator. This coincides with the property called "interface preserving" [38] in interaction nets. It is also worth noticing that the set of bound variables of a term may either increase (cf. rule Cont) or decrease (cf. rules Var, Merge, Weak1,...).

The fact that linearity is preserved by congruence and reduction (Lemma 1: 2) is a minimal requirement of the system.

**Notice 3.** From now on we only consider linear terms.

## 2.3.3. Role of the rules

The *B*-rule is a key rule of  $\lambda |\mathbf{x}|$  in that it reduces what is considered in the  $\lambda$ -calculus as a  $\beta$ -redex, and creates a linear substitution operator, as in  $\lambda \times [10]$  but respecting the linearity constraints.

System x propagates and eliminates linear substitution operators, and duplication and erasure are controlled by the presence of contraction and weakening (rules Cont and Weak1, respectively). Contraction and weakening can thus be seen as *resource* operators also called, respectively *duplication* and *erasure* operators. Note that this only makes sense if the linearity constraints are satisfied; in this case a construct such as  $y(x \mid t)$  is forbidden.

**Lemma 2.** t is a x-normal form if and only if t has no closure.

**Proof.** We first remark that if t has no closure, then clearly no x-rule can be applied. Conversely, for each linear substitution operator applied to a non-closure term there is a reduction rule.  $\Box$ 

An important property is that reducing terms by system xr implements an appropriate notion of meta-substitution on all  $\lambda |x|$ -terms, whereas in  $\lambda x$  the notion of meta-substitution thus implemented applies *only* to closure-free ones. Thus, for example,  $x\langle x' \setminus y \lambda z.z \rangle \langle y \setminus \lambda z.z \rangle$  does not reduces to  $x\langle x' \setminus (\lambda z.z) \lambda z.z \rangle$  in  $\lambda x$  but it does in  $\lambda |x|$  thanks to our the notion of composition. We thus say that  $\lambda |x|$  enjoys the *full composition* property, as explicit substitution operators of the calculus implement exactly a notion of meta-substitution defined on the same calculus, that is, on terms having weakening, contraction and linear substitution operators.

Note that when linearity constraints are not considered, four cases may occur when composing two explicit substitutions as in  $t\langle y \mid v \rangle \langle x \mid u \rangle$ : either (1)  $x \in \mathcal{FV}(t) \cap \mathcal{FV}(v)$ , or (2)  $x \in \mathcal{FV}(t) \setminus \mathcal{FV}(v)$ , or (3)  $x \in \mathcal{FV}(t) \setminus \mathcal{FV}(t)$ , or (4)  $x \notin \mathcal{FV}(t) \cup \mathcal{FV}(v)$ .

In calculi like  $\lambda_{ws}$  [18] only cases (1) and (3) are considered by the reduction rules, thus only yielding partial composition. Because of the linearity constraints of  $\lambda lxr$ , cases (1) and (4) have to be dealt with by the introduction of a contraction for case (1) and a weakening for case (4). Those operators will interact with external substitutions by the use of rules (Weak1) and (Cont), respectively. Case (3) is treated by rule (Comp), and case (2) by the congruence rule  $P_s$ . More precisely, the congruence rule can be applied to swap the substitutions, thus allowing the evaluation of the external substitution  $\langle x \mid u \rangle$  without forcing the internal one to be evaluated first. Indeed, all cases (1)–(4) are treated in  $\lambda lxr$ , thus yielding a full notion of composition of substitutions.

The linearity constraints are *essential* for composition: if they are not taken into account, the composition rule Comp causes failure of the PSN and strong normalisation properties [8]. Hence, it is because of the presence of weakenings and contractions, combined with the linearity constraints, that the notion of composition in  $\lambda lxr$  is full. Thus,  $\lambda lxr$  turns out to be the first term calculus with linear substitution operators having full composition and preserving  $\beta$ -strong normalisation (Corollary 3).

Respectively, viewed as duplication and erasure operators, contraction and weakening play a very special role with respect to optimisation issues. In a term, the further down a contraction  $C_x^{y|z}(\_)$  lies, the later a linear substitution operator on x will be duplicated in its propagation process by system x. Symmetrically, the further up a weakening  $\mathcal{W}_x(\_)$  lies, the sooner a substitution on x, called a *void* substitution, will be erased. For instance, if  $y,z \in \mathcal{FV}(t_2)$ , we have  $C_x^{y|z}(t_1 t_2 t_3)\langle x \backslash \lambda x'.x' \rangle \longrightarrow_X^x t_1 t_2 \langle y \backslash \lambda x'.x' \rangle t_3$  but  $(t_1 C_x^{y|z}(t_2) t_3)\langle x \backslash \lambda x'.x' \rangle \longrightarrow_X^3 t_1 t_2 \langle y \backslash \lambda x'.x' \rangle \langle z \backslash \lambda x'.x' \rangle t_3$ , so  $t_1 C_x^{y|z}(t_2) t_3$  is in a sense more optimised

than  $C_x^{y|z}(t_1 \ t_2 \ t_3)$ . Symmetrically, we have  $(t_1 \ \mathcal{W}_x(t_2) \ t_3)\langle x \backslash \lambda x'.x' \rangle \longrightarrow_{\mathbf{X}}^3 t_1 \ t_2 \ t_3$  but  $\mathcal{W}_x(t_1 \ t_2 \ t_3)\langle x \backslash \lambda x'.x' \rangle \longrightarrow_{\mathbf{X}}^1 t_1 \ t_2 \ t_3$ , so  $\mathcal{W}_x(t_1 \ t_2 \ t_3)$  is in a sense more optimised than  $t_1 \ \mathcal{W}_x(t_2) \ t_3$ . System  $\mathbf{r}$  optimises terms by pushing down contractions and pulling up weakenings, so that they

System r optimises terms by pushing down contractions and pulling up weakenings, so that they reach *canonical places* in  $\lambda$ lxr-terms (also using Weak2 and the left to right direction of the equation  $P_{cs}$ ). Such a place for a contraction  $C_x^{y|z}(\underline{\ })$  is just above an application or a closure, with y and z in distinct sides (i.e.,  $C_x^{y|z}(t \ u)$  or  $C_x^{y|z}(t \ (x' \setminus u))$  with  $y \in \mathcal{FV}(t)$  and  $z \in \mathcal{FV}(u)$  or vice versa). The canonical place for a weakening  $\mathcal{W}_x(\underline{\ })$  is either at the top-level of a term or just below a binder on x (i.e.,  $\lambda x.\mathcal{W}_x(t)$  or  $\mathcal{W}_x(t)\langle x \setminus u \rangle$ ).

For closure-free terms, these constructs are just  $C_x^{y|z}(t u)$  (with  $y \in \mathcal{FV}(t)$  and  $z \in \mathcal{FV}(u)$  or vice versa) and either  $\lambda x. \mathcal{W}_x(t)$  or  $\mathcal{W}_x(t)$  at the top-level. In that case, the rules CSubs and WSubs and the right to left direction of the equation  $P_{cs}$  are not needed to place contractions and weakenings in canonical places. Removing these rules and orienting  $P_{cs}$  from left to right as a rule of system x would yield a system for which most of the results of this paper would hold (but not optimising as much terms with closures); in particular, x would still eliminate linear substitution operators and implement the same notion of implicit substitution and  $\beta$ -reduction could still be simulated (cf. Theorem 7).

## 2.4. Termination of xr

It is clear that rule B will be used to simulate  $\beta$ -reduction. The rules of system xr handle the operators that we have introduced, and a minimal requirement for those rules is to induce a terminating system. We shall also see in Section 5 that xr is confluent.

The use of resource operators allows us to derive information about the number of times that a substitution can be duplicated along a sequence of xr-reductions. Indeed, this will happen when a substitution meets a contraction that concerns the substituted variable. This idea inspires the notion of *multiplicity* of the substituted variable:

**Definition 5** (*Multiplicity*). Given a free variable x in a (linear) term t, the multiplicity of x in t, written  $\mathcal{M}_x(t)$ , is defined by induction on terms as follows: Supposing that  $x \neq y, x \neq z, x \neq w$ ,

$$\begin{array}{lll} \mathcal{M}_{X}(x) := 1 & \mathcal{M}_{X}(t\langle y \backslash u \rangle) := \mathcal{M}_{X}(t) & \text{if } x \in FV(t) \backslash \{y\} \\ \mathcal{M}_{X}(\lambda y.t) := \mathcal{M}_{X}(t) & \mathcal{M}_{X}(t\langle y \backslash u \rangle) := \mathcal{M}_{Y}(t) \cdot (\mathcal{M}_{X}(u) + 1) & \text{if } x \in FV(u) \\ \mathcal{M}_{X}(\mathcal{W}_{X}(t)) := 1 & \mathcal{M}_{X}((t \ u)) := \mathcal{M}_{X}(t) & \text{if } x \in FV(t) \\ \mathcal{M}_{X}(\mathcal{W}_{Y}(t)) := \mathcal{M}_{X}(t) & \mathcal{M}_{X}((t \ u)) := \mathcal{M}_{X}(u) & \text{if } x \in FV(u) \\ & \mathcal{M}_{X}(\mathcal{C}_{X}^{z \mid w}(t)) := \mathcal{M}_{Z}(t) + \mathcal{M}_{W}(t) + 1 \\ & \mathcal{M}_{X}(\mathcal{C}_{Y}^{z \mid w}(t)) := \mathcal{M}_{X}(t) \end{array}$$

Roughly, this notion corresponds to the number of occurrences of a variable in a  $\lambda$ lxr-term when translated to its corresponding  $\lambda$ -term free from linearity constraints and resource operators (see Section 4 for details), but we add a twist to this concept (+1 in the second case for closure and the first case for contraction in the definition above), so that the following notion of *term complexity*, which weighs the complexity of a sub-term in a substitution with the multiplicity of the substituted variable, is decreased by reductions (Lemma 3).

**Definition 6** (*Term complexity*). We define the notion of *term complexity* by induction on terms as follows:

$$S(x) := 1 \qquad S(t\langle x \setminus u \rangle) := S(t) + \mathcal{M}_x(t) \cdot S(u)$$

$$S(\lambda x.t) := S(t) \qquad S(t \ u) := S(t) + S(u)$$

$$S(\mathcal{W}_x(t)) := S(t) \qquad S(\mathcal{C}_x^{y|z}(t)) := S(t)$$

**Remark 1.** The notions of multiplicity and term complexity are invariant under conversion by  $\equiv$ .

We have now to show that the term complexity does not increase during xr-reduction. In particular, the term complexity strictly decreases for some rules and it remains equal for others. This relies on the fact that the multiplicities cannot increase.

Lemma 3 (Decrease of multiplicities and term complexities).

```
- If t \longrightarrow_{X\Gamma} u, then for all w \in \mathcal{FV}(t), \mathcal{M}_w(t) \geqslant \mathcal{M}_w(u).

- If t \longrightarrow_{X\Gamma} u, then \mathcal{S}(t) \geqslant \mathcal{S}(u). Moreover,

if t \longrightarrow_{Var.Weak1.Cont.Comp} u, then \mathcal{S}(t) > \mathcal{S}(u).
```

**Proof.** Both points are proved by case analysis and induction on t. The first one uses the fact that that  $\mathcal{M}_X(t) \ge 1$  (provided  $x \in FV(t)$ ), the second one relies on the first. See Appendix A for details.  $\square$ 

Note that this does not hold for rule B. For instance,

Note that this does not hold for rule B. For instance, 
$$t = (\lambda x. C_x^{x_1|x_2}(x_1|x_2)) \lambda y. C_y^{y_1|y_2}(y_1|y_2) \longrightarrow_B C_x^{x_1|x_2}(x_1|x_2)\langle x \backslash \lambda y. C_y^{y_1|y_2}(y_1|y_2) \rangle = u$$
 but  $S(t) = 4$  and  $S(u) = 8$ .

We now use another measure to show the termination of the subsystem of xr containing only the rules that might not decrease the term complexity.

**Definition 7.** We define an interpretation  $\mathcal{I}(\cdot)$  from  $\lambda | xr$ -terms to natural numbers as follows:

$$\begin{split} \mathcal{I}(x) &:= 2 & \mathcal{I}(t\langle x \backslash u \rangle) := \mathcal{I}(t) \cdot (\mathcal{I}(u) + 1) \\ \mathcal{I}(\lambda x.t) &:= 2 \cdot \mathcal{I}(t) + 2 & \mathcal{I}(t \ u) := 2 \cdot (\mathcal{I}(t) + \mathcal{I}(u)) + 2 \\ \mathcal{I}(\mathcal{W}_x(t)) &:= \mathcal{I}(t) + 1 & \mathcal{I}(\mathcal{C}_x^{y|z}(t)) := 2 \cdot \mathcal{I}(t) \end{split}$$

**Remark 2.** The interpretation  $\mathcal{I}(\underline{\ })$  is invariant under conversion by  $\equiv$ .

See Appendix A for details.

**Lemma 4** (Decrease of  $\mathcal{I}(\underline{\ })$ ). *If*  $t \longrightarrow_{X\Gamma} u$  *and the reduction is neither Var, Weak1, Cont nor Comp, then*  $\mathcal{I}(t) > \mathcal{I}(u)$ .

**Proof.** By case analysis and induction on t, using the fact that for any term t,  $\mathcal{I}(t) \ge 2$ . See Appendix A for details.  $\square$ 

We can conclude this section with the following property.

**Theorem 1.** The system **xr** is terminating.

**Proof.** Every rule of xr decreases the pair of integers  $(S(t), \mathcal{I}(t))$  w.r.t. the lexicographical order.  $\square$ 

# 2.5. Typing rules

In this section we present the *simply typed*  $\lambda$ lxr-calculus. The typing system ensures strong normalisation (as in the  $\lambda$ -calculus) and also linearity. *Types* are defined by the following grammar, where  $\sigma$  ranges over a countable set of atomic types.

$$A ::= \sigma \mid A \to A$$

An *environment*  $\Gamma$  is a finite mapping from variables to types, so that it can be seen as a finite set of pairs x: A. We use the standard notion of *domain* of an environment  $\Gamma$ , written  $\mathbf{d}(\Gamma)$ . We write  $\Gamma$ ,  $\Delta$  to denote the disjoint and consistent union of the environments  $\Gamma$  and  $\Delta$ . A *judgement* is an object of the form  $\Gamma \vdash t : A$ , where  $\Gamma$  is an environment, t is a  $\lambda$ lxr-term, and A is a type.

The *typing rules* of the simply typed  $\lambda |\mathbf{xr}\text{-calculus}|$  are shown in Fig. 3. *Derivations* of *typed* terms, a.k.a. *proofs*, are the trees built (as usual, see [57]) from these rules.

**Remark 3.** Note that a judgement  $\Gamma \vdash t$ : A can be the root of at most one derivation (up to renaming, in sub-derivations, of the variables bound in t), which can be reconstructed using the structure of the term t (hence the notion of *proof-term*).

Remark that  $\Gamma \vdash t : A$  implies that  $\mathbf{d}(\Gamma) = \mathcal{FV}(t)$ . Renaming is sound with respect to typing, as shown by the following result of admissibility, in the standard sense [57].

**Lemma 5.** The following rules are admissible in the typing system of  $\lambda IXT$  (we use dashed lines to emphasise their admissibility):

$$\frac{\Gamma, \Delta \vdash t : A}{\mathcal{R}_{\Phi}^{\mathbf{d}(\Gamma)}(\Gamma), \Delta \vdash \mathcal{R}_{\Phi}^{\mathbf{d}(\Gamma)}(t) : A}$$

where  $\mathcal{R}_{\Phi}^{\Psi}(\{x_1: A_1, \dots, x_n: A_n\}) := \{\mathcal{R}_{\Phi}^{\Psi}(x_1): A_1, \dots, \mathcal{R}_{\Phi}^{\Psi}(x_n): A_n\}.$ 

$$\frac{\Gamma \vdash t : AP_{\mathsf{cb}} \quad \Delta \vdash v : A}{\Gamma, \Delta \vdash (t \ v) : B} \qquad (App) \qquad \frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x . t : AP_{\mathsf{cb}}} \qquad (Lambda)$$

$$\frac{\Gamma, x: A, y: A \vdash M: B}{\Gamma, z: A \vdash \mathcal{C}_{z}^{x|y}(M): B} \qquad (Cont) \qquad \frac{\Gamma \vdash t: A}{\Gamma, x: B \vdash \mathcal{W}_{x}(t): A} \qquad (Weak)$$

Fig. 3. Typing rules for  $\lambda |xr$ -terms.

The following rules are derivable in the typing system of  $\lambda IXT$  (we use double lines to emphasise their derivability.):

$$\frac{\Delta \vdash t : A}{\overline{\Gamma, \Delta \vdash \mathcal{W}_{\mathbf{d}(\Gamma)}(t) : A}} \qquad \frac{\underline{\mathcal{R}_{\Phi}^{\mathbf{d}(\Gamma)}(\Gamma), \mathcal{R}_{\Psi}^{\mathbf{d}(\Gamma)}(\Gamma), \Delta \vdash t : A}}{\Gamma, \Delta \vdash \mathcal{C}_{\mathbf{d}(\Gamma)}^{\Phi \mid \Psi}(t) : A}$$

**Proof.** The admissibility of the first rule is proved by a routine induction on |t|. For the next two rules an induction on the cardinal of  $\mathbf{d}(\Gamma)$  suffices.  $\square$ 

As expected, the following holds:

Theorem 2 (Subject reduction).

- If  $\Gamma \vdash s : A$  and  $s \equiv s'$ , then  $\Gamma \vdash s' : A$ .
- If  $\Gamma \vdash s : A$  and  $s \longrightarrow_{\lambda IXT} s'$ , then  $\Gamma \vdash s' : A$ .

**Proof.** The proof of the first point is straightforward, based on checking that it holds for all equations defining  $\equiv$ . Using the first point leaves only the basic reduction to be checked in the second point. This is also straightforward and proved by induction on the reduction step and by case analysis. Using remark 3 we recompose from the hypothesis  $\Gamma \vdash s : A$  the last steps of its derivation and rearrange the sub-derivations to conclude  $\Gamma \vdash s' : A$  as follows:

- (B): We have  $s = (\lambda x.t) u$  and  $s' = t \langle x \backslash u \rangle$ .

$$\frac{\Gamma,x:B\vdash t:A}{\Gamma\vdash \lambda x.t:B\to A} \quad \Delta\vdash u:B}{\Gamma,\Delta\vdash (\lambda x.t)\; u:A} \qquad \frac{\Gamma,x:B\vdash t:A \quad \Delta\vdash u:B}{\Gamma,\Delta\vdash t\langle x\backslash u\rangle:A}$$

- (Abs): We have  $s = (\lambda y.t)\langle x \backslash u \rangle$ ,  $s' = \lambda y.t \langle x \backslash u \rangle$  and  $A = B \rightarrow C$ .

$$\frac{\frac{\Gamma, x:D, y:B\vdash t:C}{\Gamma, x:D\vdash \lambda, y:t:B\to C} \quad \Delta\vdash u:D}{\Gamma, \Delta\vdash (\lambda, y:t)\langle x\backslash u\rangle:B\to C} \qquad \frac{\frac{\Gamma, x:D, y:B\vdash t:C}{\Gamma, y:B, \Delta\vdash t\langle x\backslash u\rangle:C}}{\Gamma, \Delta\vdash \lambda, y:t\langle x\backslash u\rangle:B\to C}$$

- (App1): We have  $s = (t \ v) \langle x \backslash u \rangle$  and  $s' = t \langle x \backslash u \rangle \ v$ .

$$\frac{\frac{\Gamma_{,\mathcal{X}:B}\vdash t:C\to A}{\Gamma,\Delta_{,\mathcal{X}:B}\vdash t}\frac{\Delta\vdash v:C}{v:A}}{\Gamma,\Delta,\Pi\vdash (t\;v)\langle x\backslash u\rangle:A} \qquad \frac{\frac{\Gamma_{,\mathcal{X}:B}\vdash t:C\to A}{\Gamma,\Pi\vdash t\langle x\backslash u\rangle:C\to A}}{\Gamma,\Pi\vdash t\langle x\backslash u\rangle:C\to A} \qquad \Delta\vdash v:C}{\Gamma,\Pi,\Delta\vdash t\langle x\backslash u\rangle\;v:A}$$

- (App2): Similar to the previous case.
- (Var): We have  $s = x\langle x \backslash u \rangle$  and s' = u.

$$\frac{\overline{x:A \vdash x:A} \qquad \Gamma \vdash u : A}{\Gamma \vdash x \langle x \setminus u \rangle : A} \qquad \Gamma \vdash u : A$$

- (Weak1): We have  $s = \mathcal{W}_x(t) \langle x \backslash u \rangle$  and  $s' = \mathcal{W}_{\mathcal{F}\mathcal{V}(u)}(t)$ .

$$\frac{\frac{\Gamma \vdash t:A}{\Gamma_{\mathcal{X}:B} \vdash \mathcal{W}_{\mathcal{X}}(t):A} \quad \Delta \vdash u:B}{\Gamma, \Delta \vdash \mathcal{W}_{\mathcal{X}}(t) \langle x \backslash u \rangle : A} \qquad \frac{\Gamma \vdash t:A}{\overline{\Gamma, \Delta \vdash \mathcal{W}_{\mathcal{F}\mathcal{V}(u)}(t):A}}$$

since  $\mathbf{d}(\Delta) = \mathcal{FV}(u)$ .

- (Weak2): We have  $s = W_v(t)\langle x \backslash u \rangle$  and  $s' = W_v(t\langle x \backslash u \rangle)$  with  $x \neq y$ .

$$\frac{\frac{\Gamma_{,x}:B\vdash t:A}{\Gamma_{,y}:C,x:B\vdash \mathcal{W}_{y}(t):A}\quad \Delta\vdash u:B}{\Gamma_{,y}:C,\Delta\vdash \mathcal{W}_{y}(t)\langle x\backslash u\rangle:A} \qquad \frac{\frac{\Gamma_{,x}:B\vdash t:A}{\Gamma_{,}\Delta\vdash t\langle x\backslash u\rangle:A}}{\Gamma_{,y}:C,\Delta\vdash \mathcal{W}_{y}(t\langle x\backslash u\rangle):A}$$

- (Cont):  $s = \mathcal{C}_x^{y|z}(t)\langle x \setminus v \rangle$  and  $s' = \mathcal{C}_{\mathcal{FV}(v)}^{\Phi|\Sigma}(t\langle y \setminus \mathcal{R}_{\Phi}^{\mathcal{FV}(v)}(v) \rangle \langle z \setminus \mathcal{R}_{\Sigma}^{\mathcal{FV}(v)}(v) \rangle)$ .

$$\frac{\frac{\Gamma, y: B, z: B \vdash t: A}{\Gamma, x: B \vdash \mathcal{C}_{x}^{y|z}(t): A} \quad \Delta \vdash v: B}{\Gamma, \Delta \vdash \mathcal{C}_{x}^{y|z}(t) \langle x \backslash v \rangle : A}$$

$$\frac{\Gamma, y : B, z : B \vdash t : A \qquad \frac{\Delta \vdash v : B}{\mathcal{R}_{\Phi}^{\mathbf{d}(\Delta)}(\Delta) \vdash \mathcal{R}_{\Phi}^{\mathcal{F}V(v)}(v) : B} \qquad \Delta \vdash v : B}{\Gamma, z : B, \mathcal{R}_{\Phi}^{\mathbf{d}(\Delta)}(\Delta) \vdash t \langle y \backslash \mathcal{R}_{\Phi}^{\mathcal{F}V(v)}(v) \rangle : A \qquad \mathcal{R}_{\Sigma}^{\mathbf{d}(\Delta)}(\Delta) \vdash \mathcal{R}_{\Sigma}^{\mathcal{F}V(v)}(v) : B}}{\frac{\Gamma, \mathcal{R}_{\Phi}^{\mathbf{d}(\Delta)}(\Delta), \mathcal{R}_{\Sigma}^{\mathbf{d}(\Delta)}(\Delta) \vdash t \langle y \backslash \mathcal{R}_{\Phi}^{\mathcal{F}V(v)}(v) \rangle \langle z \backslash \mathcal{R}_{\Sigma}^{\mathcal{F}V(v)}(v) \rangle : A}{\Gamma, \Delta \vdash \mathcal{C}_{\mathcal{F}V(v)}^{\Phi \mid \Sigma}(t \langle y \backslash \mathcal{R}_{\Phi}^{\mathcal{F}V(v)}(v) \rangle \langle z \backslash \mathcal{R}_{\Sigma}^{\mathcal{F}V(v)}(v) \rangle : A}}$$

since  $\mathbf{d}(\Delta) = \mathcal{FV}(v)$ .

- (Comp):  $s = t\langle y \backslash u \rangle \langle x \backslash v \rangle$  and  $s' = t\langle y \backslash u \langle x \backslash v \rangle \rangle$ .

$$\frac{\frac{\Gamma,y:C\vdash t:A}{\Gamma,\Delta,x:B\vdash t\langle y\backslash u\rangle:A}}{\Gamma,\Delta,\Pi\vdash t\langle y\backslash u\rangle\langle x\backslash v\rangle:A} \quad \Pi\vdash v:B}{\Gamma,\Delta,\Pi\vdash t\langle y\backslash u\rangle\langle x\backslash v\rangle:A} \quad \frac{\Gamma,y:C\vdash t:A}{\Gamma,\Delta,\Pi\vdash t\langle y\backslash u\langle x\backslash v\rangle:A} \quad \frac{\Delta,x:B\vdash u:C}{\Delta,\Pi\vdash u\langle x\backslash v\rangle:C}}{\Gamma,\Delta,\Pi\vdash t\langle y\backslash u\langle x\backslash v\rangle):A}$$

- (WAbs): We have  $s = \mathcal{W}_{\mathcal{V}}(\lambda x.t)$  and  $s' = \lambda x.\mathcal{W}_{\mathcal{V}}(t)$ .

$$\frac{\frac{\Gamma,x:B\vdash t:C}{\Gamma\vdash \lambda x.t:B\to C}}{y:D,\Gamma\vdash \mathcal{W}_y(\lambda x.t):B\to C} \qquad \frac{\frac{\Gamma,x:B\vdash t:C}{y:D,\Gamma,x:B\vdash \mathcal{W}_y(t):C}}{y:D,\Gamma\vdash \lambda x.\mathcal{W}_y(t):B\to C}$$

- (WApp1): We have  $s = \mathcal{W}_y(u) \ v$  and  $s' = \mathcal{W}_y(uv)$ .

$$\frac{\frac{\Gamma \vdash u:B \to C}{\Gamma, y:D \vdash \mathcal{W}_y(u):B \to C} \quad \Delta \vdash v:B}{\Gamma, y:D, \Delta \vdash \mathcal{W}_y(u) \ v:C} \qquad \frac{\frac{\Gamma \vdash u:B \to C}{\Gamma, \Delta \vdash u \ v:C}}{\Gamma, y:D, \Delta \vdash \mathcal{W}_y(uv):C}$$

- (WApp2): Similar to the previous case.
- (WSubs): We have  $s = t\langle x \backslash W_v(u) \rangle$  and  $s' = W_v(t\langle x \backslash u \rangle)$ .

$$\frac{\frac{\Gamma \vdash u:B}{\Gamma,y:C \vdash \mathcal{W}_y(u):B}}{\Gamma,y:C,\Delta \vdash t\langle x \backslash \mathcal{W}_y(u) \rangle : A} \qquad \frac{\frac{\Gamma \vdash u:B}{\Gamma,\Delta \vdash t\langle x \backslash u \rangle : A}}{\frac{\Gamma,y:C,\Delta \vdash t\langle x \backslash \mathcal{W}_y(u) \rangle : A}{\Gamma,y:C,\Delta \vdash \mathcal{W}_y(t\langle x \backslash u \rangle) : A}}$$

- (Merge): 
$$s = C_w^{y|z}(\mathcal{W}_y(t))$$
 and  $s' = t$ .
$$\frac{\Gamma_{,y:C \vdash t:A}}{\Gamma_{,y:C,z:C \vdash \mathcal{W}_y(t):A}} \qquad \Gamma_{,z:C \vdash t:A} \qquad \Gamma_{,w:C \vdash C_w^{y|z}(\mathcal{W}_y(t)):A} \qquad \Gamma_{,w:C \vdash \mathcal{R}_w^z(t):A}$$

- (Cross):  $s = C_w^{y|z}(W_x(t))$  and  $s' = W_x(C_w^{y|z}(t))$ .

$$\frac{\Gamma, y: C, z: C \vdash t: A}{\Gamma, y: C, z: C, x: B \vdash \mathcal{W}_{x}(t): A} \qquad \frac{Gam, y: C, z: C \vdash t: A}{\Gamma, w: C \vdash C_{w}^{y|z}(t): A} \\ \Gamma, w: C, x: B \vdash C_{w}^{y|z}(\mathcal{W}_{x}(t)): A \qquad \Gamma, w: C, x: B \vdash \mathcal{W}_{x}(C_{w}^{y|z}(t)): A$$

- (CAbs):  $s = C_w^{y|z}(\lambda x.t)$  and  $s' = \lambda x.C_w^{y|z}(t)$ .

$$\frac{\Gamma, y:D, z:D, x:B\vdash t:C}{\Gamma, y:D, z:D\vdash \lambda x:t:B\to C} \qquad \frac{\Gamma, y:D, z:D, x:B\vdash t:C}{\Gamma, w:D\vdash C_w^{y|z}(t):C} \\ \frac{\Gamma, w:D\vdash C_w^{y|z}(t):C}{\Gamma, w:D\vdash \lambda x.C_w^{y|z}(t):B\to C}$$

- (CApp1):  $s = C_w^{y|z}(t u)$  and  $s' = C_w^{y|z}(t) u$ .

$$\frac{\Gamma, y: C, z: C \vdash t: A \to B \quad \Delta \vdash u: A}{\frac{\Gamma, y: C, z: C, \Delta \vdash (t \ u): B}{\Gamma, w: C, \Delta \vdash C_w^{y|z}(t \ u): B}} \quad \Delta \vdash u: A}{\frac{\Gamma, y: C, z: C, \Delta \vdash (t \ u): B}{\Gamma, w: C, \Delta \vdash (C_w^{y|z}(t) \ u): B}}$$

- (CApp2): Similar to the previous case. (CSubs):  $s = C_w^{y|z}(t\langle x \setminus u \rangle)$  and  $s' = t\langle x \setminus C_w^{y|z}(u) \rangle$ .

$$\frac{\Gamma, y: B, z: B \vdash u: C \quad \Delta, x: C \vdash t: A}{\frac{\Gamma, \Delta, y: B, z: B \vdash t \langle x \setminus u \rangle: A}{\Gamma, \Delta, w: B \vdash \mathcal{C}_{w}^{y|z}(t \langle x \setminus u \rangle): A}} \qquad \frac{\frac{\Gamma, y: B, z: B \vdash u: C}{\Gamma, w: B \vdash \mathcal{C}_{w}^{y|z}(u): C} \Delta, x: C \vdash t: A}{\Gamma, \Delta, w: B \vdash t \langle x \setminus \mathcal{C}_{w}^{y|z}(u) \rangle}.$$

#### 3. A model for $\lambda lxr$

This section is devoted to show two of the main properties of our calculus. The first one (Theorem 4) concerns strong normalisation of simply typed terms, which is achieved by translating simply typed \(\lambda\) | xr-terms to MELL proof-nets, MELL decomposes the intuitionistic logical connectives into more elementary connectives, such as the linear implication and the exponentials, thus providing a more refined use of resources than the one available in Intuitionistic Logic or Classical Logic. Proof-nets provide a geometric interpretation of proofs, thus keeping only the logical part of the structure of proofs and forgetting the structural details.

Theorem 5 and Theorem 6 show that the translation from λlxr to proof-nets is sound and complete w.r.t. the appropriate equivalence relations on terms and proof-nets, respectively.

We briefly recall here the traditional notion of proof-nets of Linear Logic and some of its basic properties. We refer the interested reader to [24] or [39] for more details.

Let A be a set of *atomic formulae* containing positive atoms p and negative atoms  $p^{\perp}$ . The set of formulae of the multiplicative exponential fragment of linear logic (called MELL) is defined as follows:

$$\mathcal{F} ::= \mathcal{A}|\mathcal{F} \otimes \mathcal{F}(\text{tensor})|\mathcal{F} \otimes \mathcal{F}(\text{par})| !\mathcal{F}(\text{of course})| ?\mathcal{F}(\text{why not})$$

The formula  $\mathcal{F} \otimes \mathcal{G}$  denotes the linear version of the classical disjunction, whereas  $?\mathcal{F}$  and  $!\mathcal{F}$  are used to indicate where contraction or weakening can take place.

Linear negation of formulae is defined by

$$\begin{split} (p^{\perp})^{\perp} &:= p & (p)^{\perp} := p^{\perp} \\ (?A)^{\perp} &:= !(A^{\perp}) & (A \otimes B)^{\perp} := A^{\perp} \otimes B^{\perp} \\ (!A)^{\perp} &:= ?(A^{\perp}) & (A \otimes B)^{\perp} := A^{\perp} \otimes B^{\perp} \end{split}$$

The set of proof-nets, that we denote by *PN*, is defined inductively in Fig. 4 where we use rectangles having rounded corners to denote already defined nets used in the inductive constructions.

Similarly to term contexts [56], proof-net *contexts* are proof-nets constructed by adding to Fig. 4 the basic case of a special proof-net called *hole*.

A proof-net can be viewed as a finite acyclic oriented graph, where the nodes correspond to the alphabet  $\{ax, cut, \otimes, \otimes, C, D, W, B\}$ . Each node has a number of p (premise) and c (conclusion) ports. Indeed, the ax node has two ports c, the cut node two ports p, the D node one port p and one port c, the  $\otimes$ , and C nodes two ports p and one port c, the W node one port c and the B node has n ports p and n ports c (for every  $n \ge 1$ ). Each edge in the graph is decorated with a type and is connected to exactly one port c and at most one port p, i.e., each edge has a source node

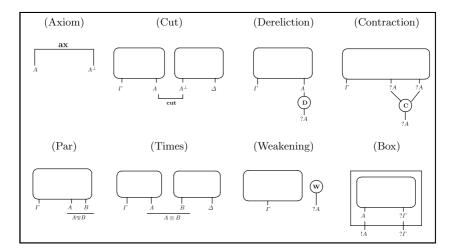
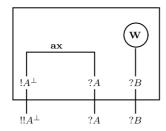
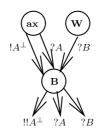


Fig. 4. MELL proof-nets.

but not necessarily a *goal* node.<sup>2</sup> The following picture shows an example of proof-net in standard graphical notation on the left, and its interpretation as oriented graph on the right.





Viewed as finite oriented graphs, the above example reads (inductively) as follows: the graph with one node **ax** which is the source of two edges decorated (respectively) by A and  $A^{\perp}$  is a proof-net; the graph obtained by (1) taking two proof-nets  $n_1$  and  $n_2$ , (2) adding a **cut** node and (3) adding two edges  $e_1$  and  $e_2$ , decorated, respectively, with A and  $A^{\perp}$ , whose source is  $n_1$  and  $n_2$ , respectively, and whose goal is the **cut** node, is a proof-net.

Proof-nets are the *computational objects* behind Linear Logic, where the notion of reduction (called also "cut elimination") corresponds exactly to the cut-elimination procedure on sequent derivations. The traditional reduction system for MELL consists in the set of *cut elimination rules* appearing in Fig. 5.

Unfortunately, the original notion of reduction on PN is unsufficient to encode directly either the  $\beta$  rule of  $\lambda$ -calculus, or the rules dealing with propagation of substitution in explicit substitution calculi. For instance, this is prevented by the fact that the order in which contraction nodes are connected is still relevant in PN. One is thus led to define an equivalence relation on PN, as in [14], where two equations  $\sim_{A_C}$  and  $\sim_{P_{CD}}$  are introduced (see Fig. 6).

Equivalence A<sub>c</sub> turns contraction into an associative operator, and corresponds to forgetting the order in which the contraction rule is used. Equivalence P<sub>cb</sub> abstracts away the relative order of application of the rules of box-formation and contraction on the premises of a box. Finally, besides the equivalence relation defined in [14], we shall also use the two extra reduction rules in Fig. 7: U is used to simplify weakening linked to contraction nodes and V allows weakening links to go outside boxes in order to bring them together at the top of the proof-nets.

The reader may check that all these rules and equations preserve types as well as well-formedness of proof-nets.

**Notation:** Henceforth, we shall call R the set of rules Ax-cut,  $\otimes - \otimes$ , w-b, d-b, c-b, b-b, U and V and E the set of equations  $A_C$  and  $P_{Cb}$ . We shall write  $\sim_E$  for the congruence (reflexive, symmetric, transitive, closed by proof-net contexts) relation on proof-nets generated by the equations in E. We shall write R/E for the system of reduction modulo an equivalence relation [56] made of the rules in E and the equations in E, given by E if and only if there exist E and E such that E if E if E if and only if there exist E is an equivalence E in E if and only if there exist E if and E if an equivalence E if an equivalence E if and E if an equivalence E if E if an equivalence E if an equivalence E if an equivalence E if an equivalence E if E if E if an equivalence E if E if an equivalence E if E

In order to prove one of the main properties of  $\lambda |xr|$ , namely strong normalisation, we shall use the following result:

<sup>&</sup>lt;sup>2</sup> Formally, this is not an oriented graph but one can add an *empty* special node with one port p and none port p as destination of such edges.

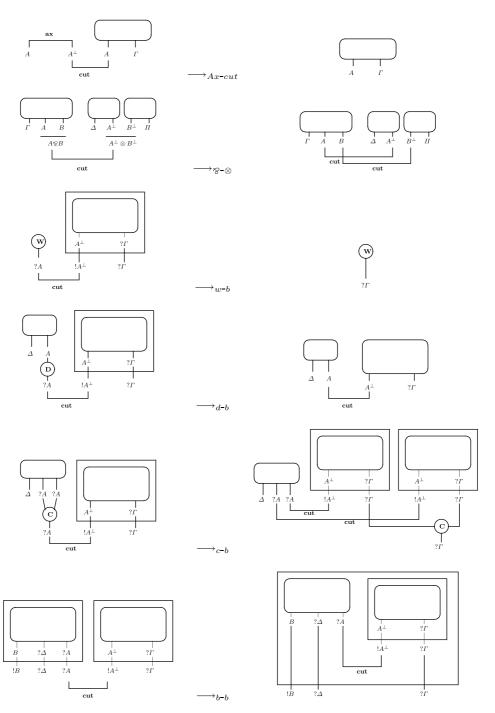


Fig. 5. Cut elimination rules for MELL proof-nets.

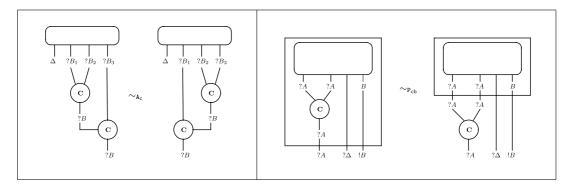


Fig. 6. Equations for MELL proof-nets.

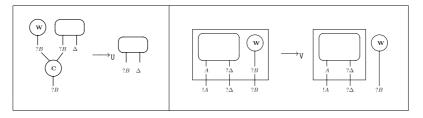


Fig. 7. Extra reduction rules for MELL proof-nets.

**Theorem 3.** The reduction relation  $\longrightarrow_{R/E}$  terminates.

**Proof.** This result is proved in [50] for which we refer the interested reader for full details. For the sake of completeness, we explain the main steps of the proof here.

We note PN the system containing only rules Ax-cut,  $\otimes - \otimes$ , w-b, d-b, c-b, b-b and W the system made of rules U and V (so that R is  $PN \cup W$ ). The notation PN/E (respectively, W/E) is used to denote PN (respectively, W) reduction modulo E.

1. The reduction relation PN/E is terminating.

**Proof.** The proof can be found in [14].  $\Box$ 

2. The reduction relation W/E is terminating.

**Proof.** We assign to each proof net p a pair  $\langle p_1, p_2 \rangle$ , where  $p_1$  is the number of nodes in the proof-net, and  $p_2$  is the sum of the depths of all the weakening nodes in the net. The reduction relation W/E strictly decreases  $\langle p_1, p_2 \rangle$  w.r.t. the lexicographic order: U decreases  $p_1$ , V decreases  $p_2$  while not modifying  $p_1$ , and the congruence  $\sim_E \ker \langle p_1, p_2 \rangle$ .  $\square$ 

3. The relation W/E can be postponed w.r.t. PN/E: if  $t \longrightarrow_{W/E} \longrightarrow_{PN/E} t'$ , then  $t \longrightarrow_{PN/E}^+ \longrightarrow_{R/E}^* t'$ .

**Proof.** Uses postponment of U (respectively, V) w.r.t. PN see [17] (respectively, [50]) and the fact that W and  $\sim_E$  commute.  $\square$ 

## 4. The relation R/E is terminating.

**Proof.** By points 3 and 1 and 2.  $\square$ 

## 3.1. Interpreting terms into proof-nets

We now present the natural interpretation of typed  $\lambda |xr$ -terms as proof-nets. For that, we use the standard translation of intitionistic types [24] given by :

$$A^* := A$$
 for atomic types  $(A \to B)^* := ?((A^*)^{\perp}) \otimes B^*$  otherwise

Fig. 8 defines the translation  $T(\_)$  from derivable typing judgements of  $\lambda$ lxr to proof-nets. Every proof-net  $T(\Gamma \vdash t : A)$  has one wire labelled with  $?(B^*)^{\perp}$  for every  $B \in \Gamma$  and one unique wire labelled with  $A^*$ . We shall often write T(t) instead of  $T(\Gamma \vdash t : A)$  when  $\Gamma$  and A do not matter or are clear (for instance from Subject Reduction in  $\lambda$ lxr, Theorem 2). The translation  $T(\_)$  satisfies the following properties:

We now show how to simulate  $\lambda | xr$ -reduction into R/E-reduction. This proof justifies the use of the additional equations  $A_C$  and  $P_{CD}$  as well as the additional reduction rules V and V. Indeed, we use the equation  $A_C$  on proof-nets to simulate the  $A_C$  equation of  $\lambda | xr$ -terms, the  $P_{CD}$  equation to

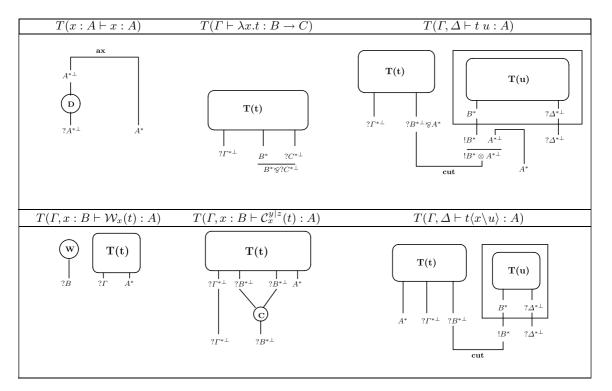


Fig. 8. Encoding typed λlxr-terms into MELL proof-nets.

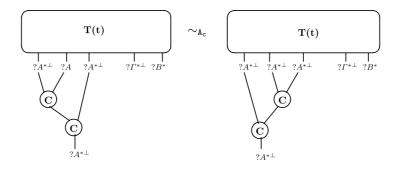
simulate rules CApp2 and CSubs, the rule V to simulate WApp2 and WSubs, and the U rule to simulate Merge.

**Lemma 6** (Simulation of  $\lambda$ lxr-reduction). Let s be a simply typed  $\lambda$ lxr-term.

- If  $s \equiv s'$ , then  $T(s) \sim_E T(s')$ . If  $s \longrightarrow_B s'$ , then  $T(s) \longrightarrow_{R/E}^2 T(s')$ . If  $s \longrightarrow_{X/F} s'$ , then  $T(s) \longrightarrow_{R/E}^* T(s')$ .

**Proof.** For the first property we consider the base cases below, the rest of the induction being straightforward using the fact that the relations  $\equiv$  and  $\sim_E$  are congruences.

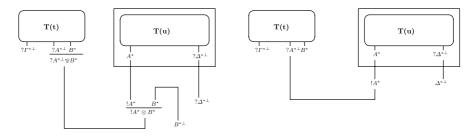
- For  $C_w^{x|v}(C_x^{y|z}(t)) \equiv_{A_c} C_w^{x|y}(C_x^{z|v}(t))$  we have the two following equivalent proof-nets.



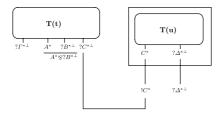
- For all the other cases we leave to the reader the (easy) verification that both interpretations are exactly equal.

For the second and third property, we proceed by induction on the reduction step. We only show here the cases of root reductions. We give for each case the rules/equations needed to verify the statement.

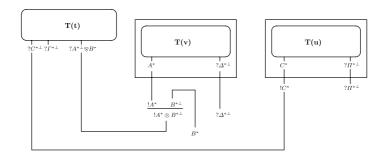
- For  $t_1 = (\lambda x.t) \ u \longrightarrow_B t \langle x \setminus u \rangle = t_2$ , let  $\Gamma := FV(\lambda x.t)$  and  $\Delta := FV(u)$ . We have the following interpretations  $T(t_1)$  and  $T(t_2)$  and we can verify that  $T(t_1) \longrightarrow_{N=0, Ax-cut}^{\infty} T(t_2)$  in exactly two steps.



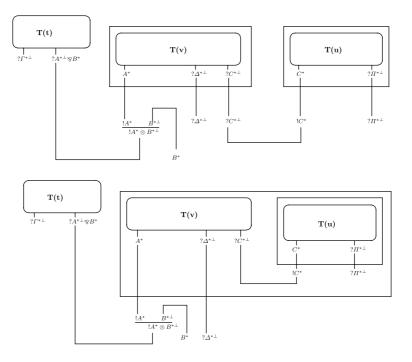
- For  $t_1 = (\lambda y.t)\langle x \backslash u \rangle \longrightarrow_{\mathsf{Abs}} \lambda y.t \langle x \backslash u \rangle = t_2$ , let  $\Gamma, x := FV(\lambda y.t)$  and  $\Delta := FV(u)$ . We have exactly the same interpretation  $T(t_1)$  and  $T(t_2)$ .



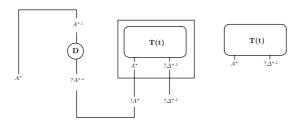
- For  $t_1 = (t \ v) \langle x \backslash u \rangle \longrightarrow_{\mathsf{App1}} (t \langle x \backslash u \rangle \ v) = t_2 \text{ with } x \in FV(t), \text{ exactly the same interpretation } T(t_1)$  and  $T(t_2)$ .



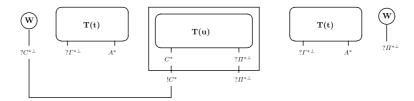
- For  $t_1 = (t \ v) \langle x \backslash u \rangle \longrightarrow_{\mathsf{App2}} (t \ v \langle x \backslash u \rangle) = t_2 \text{ with } x \in FV(v)$ , we have the following interpretations  $T(t_1)$  and  $T(t_2)$  and we can verify that  $T(t_1) \longrightarrow_{b-b} T(t_2)$ .



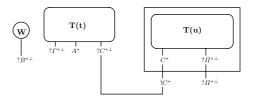
- For  $t_1 = x\langle x \setminus u \rangle \longrightarrow_{\mathsf{Var}} u = t_2$ , let  $\Delta := FV(u)$ . We have the following interpretations  $T(t_1)$  and  $T(t_2)$  and we can verify that  $T(t_1) \longrightarrow_{d-b.Ax-cut}^* T(t_2)$ .



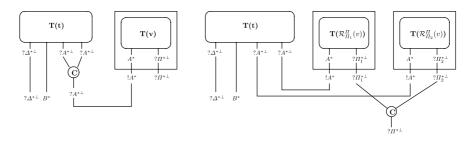
- For  $t_1 = \mathcal{W}_X(t)\langle x \setminus u \rangle \longrightarrow_{\mathsf{Weak1}} \mathcal{W}_\Pi(t) = t_2$ , where  $\Pi := FV(u)$ , we have the following interpretations  $T(t_1)$  and  $T(t_2)$  and we can verify that  $T(t_1) \longrightarrow_{w-b} T(t_2)$ .



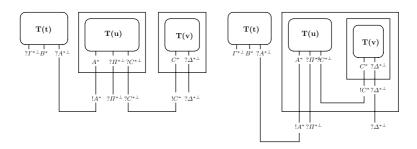
- For  $t_1 = \mathcal{W}_y(t)\langle x \backslash u \rangle \longrightarrow_{\mathsf{Weak2}} \mathcal{W}_y(t\langle x \backslash u \rangle) = t_2$ , where  $x \neq y$ , we have exactly the same interpretation  $T(t_1)$  and  $T(t_2)$ .



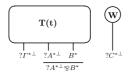
- For  $t_1 = \mathcal{C}_x^{y|z}(t)\langle x \backslash v \rangle \longrightarrow_{\mathsf{Cont}} \mathcal{C}_\Pi^{\Pi_1|\Pi_2}(t\langle y \backslash \mathcal{R}_{\Pi_1}^\Pi(v) \rangle \langle z \backslash \mathcal{R}_{\Pi_2}^\Pi(v) \rangle) = t_2$ , where  $\Pi := FV(v)$ , we have the following interpretations  $T(t_1)$  and  $T(t_2)$  and we can verify that  $T(t_1) \longrightarrow_{c-b} T(t_2)$ .



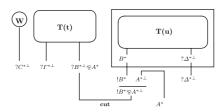
- For  $t_1 = t\langle y \setminus u \rangle \langle x \setminus v \rangle$   $\longrightarrow_{\mathsf{Comp}} t\langle y \setminus u \langle x \setminus v \rangle \rangle = t_2$  where  $x \in FV(u)$ , let  $(\Gamma, y) := FV(t)$ ,  $(\Pi, x) := FV(u)$  and  $\Delta := FV(v)$ . We have the following interpretations  $T(t_1)$  and  $T(t_2)$  and we can verify that  $T(t_1) \longrightarrow_{b-b} T(t_2)$ .



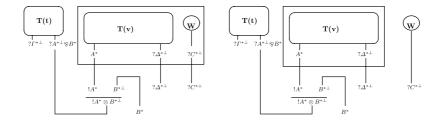
- For  $t_1 = \mathcal{W}_y(\lambda x.t) \longrightarrow_{\mathsf{Wabs}} \lambda x.\mathcal{W}_y(t) = t_2$ , we have exactly the same interpretation  $T(t_1)$  and  $T(t_2)$ .



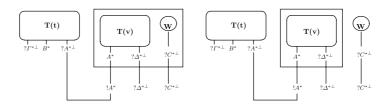
- For  $t_1 = \mathcal{W}_y(u) \ v \longrightarrow_{\mathsf{WApp1}} \mathcal{W}_y(uv) = t_2$ , we have exactly the same interpretation  $T(t_1)$  and  $T(t_2)$ .



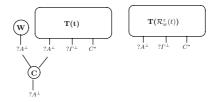
- For  $t_1 = u \mathcal{W}_y(v) \longrightarrow_{\mathsf{WApp2}} \mathcal{W}_y(uv) = t_2$ , we have the following interpretations  $T(t_1)$  and  $T(t_2)$  and we can verify that  $T(t_1) \longrightarrow_{\mathsf{V}} T(t_2)$ .



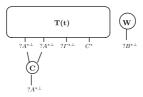
- For  $t_1 = t\langle x \backslash \mathcal{W}_y(u) \rangle \longrightarrow_{\mathsf{WSubs}} \mathcal{W}_y(t\langle x \backslash u \rangle) = t_2$ , we have the following interpretations  $T(t_1)$  and  $T(t_2)$  and we can verify that  $T(t_1) \longrightarrow_{\mathsf{V}} T(t_2)$ .



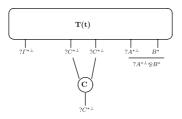
- For  $t_1 = C_w^{y|z}(\mathcal{W}_y(t)) \longrightarrow_{\mathsf{Merge}} \mathcal{R}_w^z(t) = t_2$ , we have the following interpretations  $T(t_1)$  and  $T(t_2)$  and we can verify that  $T(t_1) \longrightarrow_{\mathsf{U}} T(t_2)$ .



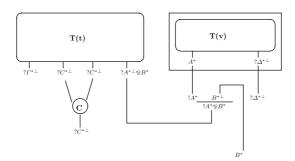
- For  $t_1 = C_w^{y|z}(W_x(t)) \longrightarrow_{\mathsf{Cross}} W_x(C_w^{y|z}(t)) = t_2$  when  $x \neq y$ ,  $x \neq z$ , we have exactly the same interpretation  $T(t_1)$  and  $T(t_2)$ .



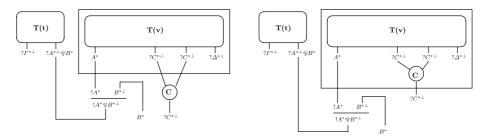
- For  $t_1 = C_w^{y|z}(\lambda x.t) \longrightarrow_{\mathsf{CAbs}} \lambda x. C_w^{y|z}(t) = t_2$ , we have exactly the same interpretation  $T(t_1)$  and  $T(t_2)$ .



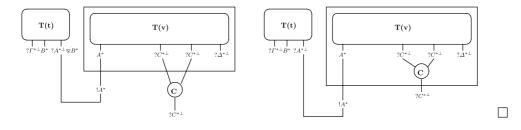
- For  $t_1 = C_w^{y|z}(t \ u) \longrightarrow_{\mathsf{CApp1}} C_w^{y|z}(t) \ u = t_2$  when  $y, z \in FV(t)$ , we have exactly the same interpretation  $T(t_1)$  and  $T(t_2)$ .



- For  $t_1 = C_w^{y|z}(t \ u) \longrightarrow_{\mathsf{CApp2}} t C_w^{y|z}(u) = t_2$  when  $y, z \in FV(u)$ , we have the following interpretations  $T(t_1)$  and  $T(t_2)$  and we can verify that  $T(t_1) \sim_B T(t_2)$ .



- For  $t_1 = C_w^{y|z}(t\langle x \backslash u \rangle) \longrightarrow_{\mathsf{CSubs}} t\langle x \backslash C_w^{y|z}(u) \rangle$  when  $y, z \in FV(u)$ , we have the following interpretations  $T(t_1)$  and  $T(t_2)$  and we can verify that  $T(t_1) \sim_B T(t_2)$ .



As a consequence we obtain one of the main important properties of  $\lambda |xr|$ :

#### **Theorem 4** (Strong normalisation).

The relation  $\longrightarrow_{\lambda |\mathbf{Xr}|}$  is strongly normalising on simply typed  $\lambda |\mathbf{Xr}|$ -terms.

**Proof.** Suppose  $\longrightarrow_{\lambda \mid X\Gamma}$  is not strongly normalising. Then, since xr terminates by Theorem 1, an infinite  $\lambda \mid X\Gamma$ -reduction sequence would have infinitely many *B*-steps. But this would lead by Lemma 6 to an infinite R/E-reduction sequence which is impossible by Theorem 3.  $\square$ 

The simulation result of  $\lambda |xr$ -reduction that we use to conclude the strong normalisation of the simply typed  $\lambda |xr$ -calculus helps understanding its reduction rules and equations, but another technique [50] not using proof-nets but using preservation of strong normalisation (cf. Section 5)

together with the strong normalisation of the simply typed  $\lambda$ -calculus could also be used. Direct proofs of strong normalization using for instance reducibility by perpetuality [9,43] seem much more difficult to adapt to our case, owing to the fact that rewriting is performed modulo a congruence. However, we expect the study of perpetuality in  $\lambda lxr$  to be particularly interesting, particularly in connection with intersection types and characterisation of strongly normalisable terms. Also, we would expect that strong normalisation of proof-nets can be inferred from a direct proof of strong normalisation of  $\lambda lxr$ , i.e., that the two properties have the same strength. We leave those topics for future work.

## 3.2. Terms having the same box structure

In the rest of this section we restrict our attention to the cut-elimination steps in proof-nets that modify their box structure; thus tackling for  $\lambda | \mathbf{x} \mathbf{r}$  a problem studied in [40] for the  $\lambda \mu$ -calculus: characterising those terms that are translated (in our case by  $T(\underline{\ })$ ) into proof-nets having identical box structures. Characterising those terms that are translated into the same proof-nets (modulo a weaker congruence such as  $A_C$ ,  $P_{CD}$ ) could also be interesting and is left as further work.

Let TB be the reduction relation on PN generated by the rules that do not modify the box structure, namely V, U, Ax-cut and  $\mathcal{S} - \mathcal{S}$ , modulo the congruence  $\sim_E$ . Since termination holds for the whole system R/E which contains TB, then using known techniques for abstract reduction systems [33] we obtain:

**Proposition 1.** The reduction relation TB is confluent and terminating. Hence, the normal form of a proof-net r w.r.t. this reduction relation, written TB(r), exists and is unique up to the congruence  $\sim_E$ .

Hence, "having the same box structure" can be expressed by the following equivalence:

**Definition 8.** Let r and r' be two proof-nets. Then the relation  $r \approx r'$  is defined as  $TB(r) \sim_E TB(r')$ .

In order to characterise the terms that are translated to proof-nets having the same box structure, we identify those rules of  $\lambda lxr$  that do not change the box structure:

**Definition 9.** We define the congruence  $\cong$  between  $\lambda lxr$ -terms by adding to  $\equiv$  the following rules turned into equalities:

{B, Abs, App1, Weak2, WAbs, WApp1, WApp2, Merge, Cross, CAbs, CApp1, CApp2}

Note that WSubs and CSubs are captured by  $\cong$ , in the following sense:

**Remark 4.** If  $t \longleftrightarrow_{\mathsf{WSubs} \cup \mathsf{CSubs}}^* t'$ , then  $t \cong t'$ .

Remark also that the rules {App2, Comp, Var, Weak1, Cont}, which are not in  $\cong$ , change the structure of boxes. More precisely, App2 and Comp in  $\lambda$ lxr correspond to b-b in R, Var to d-b, Weak1 to w-b and Cont to c-b.

**Definition 10.** Given a derivable typing judgement  $\Gamma \vdash t : A$ , we define its translation  $NT(\Gamma \vdash t : A)$  as  $TB(T(\Gamma \vdash t : A))$ .

We will often write NT(t) instead of  $NT(\Gamma \vdash t : A)$  when  $\Gamma$  and A do not matter or are clear from the context. Remark that by definition we have  $T(t_1) \approx T(t_2)$  if and only if  $NT(t_1) \sim_E NT(t_2)$ .

The following *soundness* property relates two  $\cong$ -convertible terms w.r.t. their translations into proof-nets. The reverse result concerning *completeness* is shown later (Theorem 6).

**Theorem 5** (Soundness). Given two simply typed  $\lambda IXr$ -terms  $t_1, t_2$ , if  $t_1 \cong t_2$ , then  $T(t_1) \approx T(t_2)$ .

**Proof.** Since the relations  $\cong$  and  $\sim_E$  are congruences it is sufficient to check the root cases.

- For  $t_1 =_B t_2$ , we have shown that  $T(t_1) \longrightarrow_{\bigotimes_{\bigotimes,Ax}\text{-}cut}^* T(t_2)$  (cf. the proof of Lemma 6) so that  $NT(t_1) = NT(t_2)$  and thus  $NT(t_1) \sim_E NT(t_2)$  trivially holds.
- For  $t_1$  = Abs, Wabs, CAbs, App1, WApp1, CApp1, CApp2, Weak2, Cross  $t_2$ , we have shown that  $T(t_1) \sim_E T(t_2)$  (cf. the proof of Lemma 6) so that  $NT(t_1) \sim_E NT(t_2)$  also holds.
- For  $t_1 =_{\mathsf{WApp2}} t_2$ , we have shown that  $T(t_1) \longrightarrow_{\mathsf{V}} T(t_2)$  (cf. the proof of Lemma 6) so that  $NT(t_1) = NT(t_2)$  and thus  $NT(t_1) \sim_E NT(t_2)$  trivially holds.
- For  $t_1 =_{\mathsf{Merge}} t_2$ , we have shown that  $T(t_1) \longrightarrow_{\mathsf{U}} T(t_2)$  (cf. the proof of Lemma 6) so that  $NT(t_1) = NT(t_2)$  and thus  $NT(t_1) \sim_E NT(t_2)$  trivially holds.
- For all the other cases we have already shown that  $T(t_1) \sim_E T(t_2)$  (cf. the proof of Lemma 6) so that  $NT(t_1) \sim_E NT(t_2)$  holds.  $\square$

We proceed now to show the completeness result. We first establish a property of terms which is used further in Lemma 8 to reason according to their particular head-shapes.

**Lemma 7** (Revealing the head-shape of a term). For every term t, there is a term  $t' \cong t$  with  $|t'| \leq |t|$  such that either

```
 \begin{aligned}
-t' &= \lambda x.t'', or \\
-t' &= \mathcal{W}_x(t''), or \\
-t' &= \mathcal{C}_x^{y|z}(t''), or \\
-t' &= (xt_1 \dots t_n)\langle x_1 \backslash u_1 \rangle \dots \langle x_m \backslash u_m \rangle \ (n \geqslant 0, m \geqslant 0), or \\
-t' &= \mathcal{W}_x(t'')\langle x \backslash u \rangle \langle x_1 \backslash u_1 \rangle \dots \langle x_m \backslash u_m \rangle \ with \ m \geqslant 0 \ and \ x_i \notin FV(t'') \ for \ all \ i, or \\
-t' &= \mathcal{C}_x^{y|z}(t'')\langle x \backslash u \rangle \langle x_1 \backslash u_1 \rangle \dots \langle x_m \backslash u_m \rangle \ with \ m \geqslant 0 \ and \ x_i \notin FV(t'') \ for \ all \ i. 
\end{aligned}
```

**Proof.** By induction on |t|.  $|t'| \le |t|$  by induction hypothesis and because the way we use  $\cong$  to convert the terms can only decrease their sizes. We abbreviate  $\langle x_1 \backslash u_1 \rangle \ldots \langle x_m \backslash u_m \rangle$  as  $\langle \overrightarrow{x} \backslash \overrightarrow{u} \rangle$  in what follows.

In each of the following cases we obtain

- If t is a variable (taking n = m = 0 in the fourth case above), an abstraction, a weakening or a contraction, it is trivial.
- If  $t = t'_1 \langle x' \backslash t'_2 \rangle$ , then we apply the induction hypothesis on  $t'_1$ :
- If  $t_1'\cong \lambda x.t''$  (x is necessarily different from x' by  $\alpha$ -equivalence), then  $t\cong (\lambda x.t'')\langle x' \setminus t_2' \rangle \cong_{\mathsf{Abs}} \lambda x.t'' \langle x' \setminus t_2' \rangle$ .
- If  $t_1' \cong \mathcal{W}_y(t'')$ , then for the case y = x' we are done, otherwise we have  $t \cong \mathcal{W}_y(t'') \langle x' \setminus t_2' \rangle$  $\cong_{\mathsf{Weak2}} \mathcal{W}_y(t'' \langle x' \setminus t_2' \rangle)$ .

- If  $t_1 \cong C_x^{y|z}(t'')$ , then for the case x = x' we are done, otherwise we have  $t \cong C_x^{y|z}(t'') \langle x' \backslash t_2' \rangle$
- $\cong_{\mathsf{Pcs}} \mathcal{C}_x^{y|z}(t''\langle x' \setminus t_2' \rangle).$  If  $t_1' \cong (xt_1 \dots t_n) \langle \overrightarrow{x} \setminus \overrightarrow{u} \rangle$ , then  $t \cong (xt_1 \dots t_n) \langle \overrightarrow{x} \setminus \overrightarrow{u} \rangle \langle x' \setminus t_2' \rangle$ .
   If  $t_1' \cong \mathcal{W}_x(t'') \langle x \setminus u \rangle \langle \overrightarrow{x} \setminus \overrightarrow{u} \rangle$  with  $x_i \notin FV(t'')$  for all i, then we have  $t \cong \mathcal{W}_x(t'') \langle x \setminus u \rangle$  $\langle \overrightarrow{x} \setminus \overrightarrow{u} \rangle \langle x' \setminus t'_2 \rangle$ . Now either  $x' \notin FV(t'')$  and we are done, or  $x' \in FV(t'')$  and  $t \cong_{\mathsf{Weak2}}$  $W_x(t''\langle x' \backslash t_2' \rangle) \tilde{\langle} x \backslash u \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u} \rangle$  since  $x_i$  is not free in  $t_2'$  by  $\alpha$ -equivalence.
- If  $t_1' \cong \mathcal{C}_x^{y|z}(t'') \langle x \setminus u \rangle \langle \overrightarrow{x} \setminus \overrightarrow{u} \rangle$  with  $x_i \notin FV(t'')$  for all i, then we have  $t \cong \mathcal{C}_x^{y|z}(t'') \langle x \setminus u \rangle \langle \overrightarrow{x} \setminus \overrightarrow{u} \rangle$  $\langle x' \backslash t_2' \rangle$ . Now either  $x' \notin FV(t'')$  and we are done, or  $x' \in FV(t'')$  and  $t \cong_{\mathsf{Pcs}} \mathcal{C}_x^{y|z}(t'' \langle x' \backslash t_2' \rangle) \langle x \backslash u \rangle$  $\langle \overrightarrow{x} \setminus \overrightarrow{u} \rangle$  since  $x_i$  is not free in  $t_2$  by  $\alpha$ -equivalence.
- If  $t = t'_1 t'_2$ , then we apply the induction hypothesis on  $t'_1$ .
  - If  $t_1' \cong \lambda \bar{x}.t''$ , then  $t \cong_B t'' \langle x \setminus t_2' \rangle$ , on which we apply the induction hypothesis because the size is strictly smaller.

  - If  $t_1'\cong \mathcal{W}_{\mathcal{V}}(t'')$ , then  $t\cong \mathcal{W}_{\mathcal{V}}(t'')$   $t_2'\cong_{\mathsf{WApp1}}\mathcal{W}_{\mathcal{V}}(t''\ t_2')$ . If  $t_1'\cong \mathcal{C}_x^{\mathcal{V}|\mathcal{Z}}(t'')$ , then  $t\cong \mathcal{C}_x^{\mathcal{V}|\mathcal{Z}}(t'')$   $t_2'\cong_{\mathsf{CApp1}}\mathcal{C}_x^{\mathcal{V}|\mathcal{Z}}(t''\ t_2')$ . If  $t_1'\cong (xt_1\ldots t_n)\langle \overrightarrow{\mathcal{X}} \setminus \overrightarrow{\mathcal{U}} \rangle$ , then we have
  - $t \cong (xt_1 \dots t_n) \langle \overrightarrow{x} \setminus \overrightarrow{u} \rangle \ t_2 \cong_{\mathsf{App1}} (xt_1 \dots t_n t_2') \langle \overrightarrow{x} \setminus \overrightarrow{u} \rangle.$
  - If  $t_1'\cong \mathcal{W}_x(t'')\langle x \backslash u \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u} \rangle$  with  $x_i \notin FV(t'')$  for all i, then we have  $t\cong \mathcal{W}_x(t'')\langle x \backslash u \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u} \rangle$
  - $t_{2}' \cong_{\mathsf{App1},\mathsf{WApp1}} \mathcal{W}_{x}(t'' \ t_{2}') \langle x \backslash u \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u} \rangle.$  If  $t_{1}' \cong \mathcal{C}_{x}^{y|z}(t'') \langle x \backslash u \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u} \rangle$  with  $x_{i} \notin FV(t'')$  for all i, then we have  $t \cong \mathcal{C}_{x}^{y|z}(t'') \langle x \backslash u \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u} \rangle$  $t_2' \cong_{\mathsf{App1},\mathsf{CApp1}} \mathcal{C}_x^{y|z}(t'' t_2') \langle x \backslash u \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u} \rangle. \quad \Box$

## 3.3. Towards completeness

We say that a node n is final in a proof-net if there exists an edge connected to a c port of n which is not premise of another node. In other words, a node is final if some c port of n is "free". Final nodes can be seen as the *interface* of a proof-net. Remark that **cut** is never final. We will be particularly interested in final nodes W/C/\overline{\Sigma} which have a direct syntactical interpretation in our term calculus. A type is said to be distinguished in a proof-net if it is not a formula of the form ?A and it is the type of an edge connected to a c port of a final node. Every edge decorated with a formula of the form ?A which is connected to a c port of a final node n is labelled with an associated variable x. By abuse of language we will sometimes talk about the variable label of a final node having only one c port (as for example in the case of W/C/ $\otimes$ -nodes).

Remark that this notion is not well-adapted to proof-nets modulo the congruence E so that we now modify the notion of final node as follows:

A node W or C is *final-modulo* in a proof-net r if it is final in some proof-net r' which is  $\{V, P_{CD}\}$ equivalent to r. Said differently, W or C is *final-modulo* in a proof-net seen as a directed graph if there is a path -only with box nodes but possibly of length 0- from this node to some final node. Finally, a node different from W and C is *final-modulo* if it is final. Note that the notion of finalmodulo is invariant under conversion by the congruence E, so final-modulo nodes can be seen as the *interface* of a proof-net modulo. A node n occurs at depth k in a proof-net r if n appears inside (or is a predecessor in the oriented graph) k different boxes of r.

**Remark 5.** Let t be a  $\lambda$ Ixr-term. Then the proof-net  $T(\Gamma \vdash t : A)$  has a unique distinguished type which is  $A^*$ . As a consequence,  $\delta$ -nodes which are final-modulo in such proof-nets are unique and the edge connected to its conclusion port is decorated with the unique distinguished type of the proof-net.

The following lemma establishes, for any typed tem t, a connection between the interface of NT(t)and the constructors of t that can be pulled to the top-level by the congruence  $\cong$ . It will be useful to reason by induction on terms modulo ≅: we shall then be able to assume that they have a particular shape insead f having to cover all cases.

**Lemma 8.** Let t be a  $\lambda lxr$ -term and let f be a final-modulo node of NT(t).

- 1. If f is a W-node whose c edge is labelled with x, then there exist a term t' such that  $t \cong W_x(t')$  and  $|t| = |\mathcal{W}_{x}(t')|.$
- 2. If f is a C-node whose c edge is labelled with x, then there exist a term t' such that  $t \cong C_x^{y|z}(t')$  and  $|t| = |\mathcal{C}_x^{y|z}(t')|.$
- 3. If f is an  $\aleph$ -node, then there exist a term t' and a variable x such that  $t \cong \lambda x.t'$  and |t| = $|\lambda x.t'|$ .

**Proof.** By induction on |t|. See Appendix A for details.  $\square$ 

The following lemma states how applications are recognisable as cuts.

**Lemma 9.** Let t be a  $\lambda$ IXT-term. If NT(t) has no final-modulo node C, W or  $\aleph$  but has some cut node at depth 0, then there exist terms v and u such that  $t \cong (\lambda x.u)v$ .

**Proof.** By induction on the size of the term t.

- If t = x, then there is no **cut**-node at depth 0 in NT(x).
- If  $t = \lambda x.u$ , then NT(t) has a final-modulo  $\Re$ -node.
- If  $t = W_v(u)$ , then NT(t) has a final-modulo W-node.
- If  $t = \mathcal{C}_x^{y|z}(u)$ , then NT(t) has a  $\mathbb C$  final-modulo node. If t is an application or a closure, then  $t \cong_B u_0 u_1 \cdots u_n$   $(n \geqslant 1)$ , where  $u_0$  is neither an application nor a closure since for every closure  $v\langle y \setminus u \rangle$  in t we have  $v\langle y \setminus u \rangle \cong_B (\lambda y.v)u$ , having the same size.
  - If  $u_0 = y$ , then there is no **cut**-node at depth 0 in NT(t).
  - If  $u_0 = W_v(w)$ , then NT(t) has a final-modulo W-node.
  - If  $u_0 = C_w^{y|z}(t')$ , then NT(t) has a final-modulo C-node.
  - If  $u_0 = (\lambda y.w)$ , then we have

$$t \cong_{B} (\lambda y.w)u_{1}u_{2}\cdots u_{n}$$

$$\cong_{B} w\langle y\backslash u_{1}\rangle u_{2}\cdots u_{n}$$

$$\cong_{App1} (wu_{2}\cdots u_{n})\langle y\backslash u_{1}\rangle$$

$$\cong_{R} (\lambda y.wu_{2}\cdots u_{n})u_{1}. \square$$

We can now state one of the main results of this paper, showing that the simply typed  $\lambda lxr$ -calculus captures (intuitionistic) proof-nets using a term syntax.

**Theorem 6** (Completeness). Let  $t_1, t_2$  be two  $\lambda lxr$ -terms. If  $T(t_1) \approx T(t_2)$ , then  $t_1 \cong t_2$ .

**Proof.** We reason by induction on  $NT(t_1)$ . In what follows, for any proof-net r and final-modulo node r, we note  $r \setminus n$  the proof-net obtained from r by erasing n and its corresponding edges.

- If  $NT(t_1)$  has a final-modulo **W**-node called n, then  $t_1 \cong \mathcal{W}_x(t_1')$  and  $t_2 \cong \mathcal{W}_x(t_2')$  by Lemma 8. By definition of the translation  $NT(t_1') = NT(t_1) \setminus n$  and  $NT(t_2') = NT(t_2) \setminus n$ . Thus, it is easy to see that  $NT(t_1') \sim_E NT(t_2')$ . By the i.h. we have  $t_1' \cong t_2'$  so that  $\mathcal{W}_x(t_1') \cong \mathcal{W}_x(t_2')$ .
- If  $NT(t_1)$  has a final-modulo **C**-node called n, then  $t_1 \cong \mathcal{C}_{x_1}^{y_1|z_1}(t_1')$  and  $t_2 \cong \mathcal{C}_{x_2}^{y_2|z_2}(t_2')$  by Lemma 8. By definition of the translation  $NT(t_1') = NT(t_1) \setminus n$  and  $NT(t_2') = NT(t_2) \setminus n$ . Thus  $NT(t_1') \sim_E NT(t_2')$ . By the i.h. we have  $t_1' \cong t_2'$  so that  $\mathcal{C}_{x_1}^{y_1|z_1}(t_1') \cong \mathcal{C}_{x_2}^{y_2|z_2}(t_2')$ .
- If  $NT(t_1)$  has a final-modulo  $\circ$ -node called n, then  $t_1 \cong \lambda x. t_1'$  and  $t_2 \cong \lambda x. t_2'$  by Lemma 8. By definition of the translation  $NT(t_1') = NT(t_1) \setminus n$  and  $NT(t_2') = NT(t_2) \setminus n$ . Thus  $NT(t_1') \sim_E NT(t_2')$ . By the i.h. we have  $t_1' \cong t_2'$  so that  $\lambda x. t_1' \cong \lambda x. t_2'$ .
- If  $NT(t_1)$  has no final-modulo  $\mathbf{W}/\mathbf{C}/\otimes$ -node, then  $t_1$  is an application or a closure. If  $NT(t_1)$  has no **cut** at depth 0, then  $t_1 \cong xu_1 \cdots u_n$  and  $t_2 \cong yv_1 \cdots v_n$ . Since  $NT(u_i) \sim_E NT(v_i)$ , then by the i.h. we have  $u_i \cong v_i$  and thus  $xu_1 \cdots u_n \cong yv_1 \ldots v_n$ . If  $NT(t_1)$  has some **cut** at depth 0, then by Lemma 9 we have  $t_1 \cong (\lambda x.u_1)v_1$  and  $t_2 \cong (\lambda x.u_2)v_2$ . Since  $NT(u_1)$  and  $NT(u_2)$  are sub proof-nets of  $NT(t_1)$  and  $NT(t_2)$ , respectively, then  $u_1 \cong u_2$  by the i.h. The same happens with  $NT(v_1)$  and  $NT(v_2)$  so that  $v_1 \cong v_2$ . We can then conclude  $(\lambda x.u_1)v_1 \cong (\lambda x.u_2)v_2$ .

This result allows us to interpret not only  $\lambda$ lxr-terms as proof-nets (Soundness Theorem) but also, the other way around, proof-nets in some particular form to  $\lambda$ lxr-terms. A similar characterisation was given in [40] for  $\lambda\mu$ -terms with respect to Polarized Proof-Nets, where equality in the term syntax is an extension of the  $\sigma$ -equivalence on  $\lambda$ -terms defined in [51]. Yet, the latter needs to consider specific permutations of  $\beta$ -redexs to achieve the characterisation, instead of simply turning some reduction rules into equivalence rules, which can be done in  $\lambda$ lxr only because the operators of our calculus adequately reflect the structure of proof-nets.

#### 4. Recovering the $\lambda$ -calculus

We show in this section the relation between  $\lambda | xr$ -terms and  $\lambda$ -terms. We refer the reader to [5] for a presentation of  $\lambda$ -calculus and all its standard notions such as free variables,  $\alpha$ -equivalence, Barendregt's convention, etc., that we use in this section.

More precisely, we show that the linearity constraints and the use of explicit resource operators in  $\lambda lxr$  are sufficient to decompose the  $\beta$ -reduction step into smaller steps. We will also show in this section the relation between the simply typed  $\lambda lxr$  and the simply typed  $\lambda$ -calculus. For that we first recall in Fig. 9 the typing rules for  $\lambda$ -calculus.

$$\frac{\Gamma, x: A \vdash_{\lambda} x: A}{\Gamma \vdash_{\lambda} \lambda x. t: A \to B} \qquad \frac{\Gamma \vdash_{\lambda} t: A \to B}{\Gamma \vdash_{\lambda} t: V: B}$$

Fig. 9. Typing rules for  $\lambda$ -calculus.

We consider  $\lambda$ -terms as an independent syntax rather than particular  $\lambda |\mathbf{x}|$ -terms, since they might not be linear. We shall use the notation  $\Gamma \vdash_{\lambda} t : A$  to denote typing judgements and typing derivability in  $\lambda$ -calculus in order to distinguish them from those of  $\lambda |\mathbf{x}|$ .

#### 4.1. From $\lambda$ -calculus to $\lambda$ Ixr-calculus

We now describe how to encode a  $\lambda$ -term into a (linear)  $\lambda$ lxr one.

**Definition 11.** The encoding of  $\lambda$ -terms is defined by induction as follows:

$$\begin{split} \mathcal{A}(x) &:= x \\ \mathcal{A}(\lambda x.t) &:= \lambda x. \mathcal{A}(t) & \text{if } x \in \mathcal{FV}(t) \\ \mathcal{A}(\lambda x.t) &:= \lambda x. \mathcal{W}_x(\mathcal{A}(t)) & \text{if } x \notin \mathcal{FV}(t) \\ \mathcal{A}(t \ u) &:= \mathcal{C}^{\Upsilon \mid \Omega}_{\mathcal{FV}(t) \cap \mathcal{FV}(u)}(\mathcal{R}^{\Phi}_{\Upsilon}(\mathcal{A}(t)) \ \mathcal{R}^{\Phi}_{\Omega}(\mathcal{A}(u))) \text{ where } \Upsilon, \Omega \text{ are fresh} \end{split}$$

Using the fact that  $W_{\emptyset}(t) = t$ , we can write the translation of an abstraction, with only one case, as  $A(\lambda x.t) = \lambda x.W_{\{x\}\setminus \mathcal{FV}(t)}(A(t))$ . Note that A(t u) = A(t)A(u) in the particular case  $\mathcal{FV}(t) \cap \mathcal{FV}(u) = \emptyset$ . More generally, a  $\lambda$ -term which, viewed as a particular  $\lambda$ Ixr-term, is linear, is translated by A to itself. Note also that the weakenings and contractions introduced by this translations are already in their canonical places, i.e., A(t) is an xr-normal form for every  $\lambda$ -term t.

In most of the following proofs, we shall use the following results:

# **Lemma 10** (Properties of A).

```
1. \mathcal{FV}(t) = \mathcal{FV}(\mathcal{A}(t)).
2. \mathcal{A}(\mathcal{R}^{\Phi}_{\Upsilon}(t)) = \mathcal{R}^{\Phi}_{\Upsilon}(\mathcal{A}(t))
```

As a consequence, the encoding of a  $\lambda$ -term is a linear  $\lambda | xr$ -term.

**Example 1.** Given 
$$t = \lambda x. \lambda y. y(zz)$$
, we have  $\mathcal{A}(t) = \lambda x. \mathcal{W}_x(\lambda y. (y \, \mathcal{C}_z^{z_1|z_2}(z_1 \, z_2)))$ .

We now want to simulate a  $\beta$ -reduction step in  $\lambda |\mathbf{xr}$ , so we start by proving that the interaction between (and the propagation of) the three operators of  $\lambda |\mathbf{xr}|$  by means of the system  $\mathbf{xr}$  do implement the notion of substitution. More precisely, given two  $\lambda$ -terms  $t_1$  and  $t_2$ , we identify a  $\lambda |\mathbf{xr}|$ -term, built from the translations by  $\mathcal{A}$  of  $t_1$  and  $t_2$  and using a linear substitution operator, that reduces to the translation of  $t_1\{x \setminus t_2\}$ , as shown by the following lemma:

**Lemma 11.** For all  $\lambda$ -terms  $t_1$  and  $t_2$  such that  $x \in \mathcal{FV}(t_1)$ ,

$$C_{\Phi}^{\Upsilon|\Omega}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(t_1))\langle x \backslash \mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_2))\rangle) \longrightarrow_{\mathbf{X}\mathbf{f}}^{*} \mathcal{A}(t_1\{x \backslash t_2\})$$

where  $\Phi := (\mathcal{FV}(t_1) \setminus \{x\}) \cap \mathcal{FV}(t_2)$ , provided that the former term is linear.

*In the simple case where*  $\Phi = \emptyset$ *, the statement reads:* 

$$\mathcal{A}(t_1)\langle x \backslash \mathcal{A}(t_2) \rangle \longrightarrow_{xr}^* \mathcal{A}(t_1\{x \backslash t_2\})$$

**Proof.** By induction on the size of  $t_1$ , by propagating the linear substitution operator, pulling out weakenings and pushing in contractions. See Appendix A for details.  $\Box$ 

The *correctness* result concerning linear substitution operators obtained in the previous lemma enables us to prove a more general property concerning simulation of  $\beta$ -reduction in  $\lambda$ lxr. Notice that a  $\beta$ -reduction step may not preserve the set of free variables whereas any reduction in  $\lambda$ lxr does. Indeed, we have  $t = (\lambda x. y) z \longrightarrow_{\beta} y$ , but

$$\mathcal{A}(t) = (\lambda x. \mathcal{W}_x(y)) z \longrightarrow_{\lambda | \mathbf{xr}}^* \mathcal{W}_z(y) = \mathcal{W}_z(\mathcal{A}(y))$$

As a consequence, the simulation property has to be stated by taking into account the operational behaviour of system xr given by Lemma 11.

**Theorem 7** (Simulating β-reduction). Let t be a λ-term such that  $t \longrightarrow_{\beta} t'$ . Then  $\mathcal{A}(t) \longrightarrow_{\lambda lxr}^{+} \mathcal{W}_{\mathcal{FV}(t) \setminus \mathcal{FV}(t')}(\mathcal{A}(t'))$ .

**Proof.** We prove this by induction on the reduction step. We only show here the root reduction cases.

1. The root case is the reduction  $(\lambda x.t_1)t_2 \longrightarrow_{\beta} t_1\{x \setminus t_2\}$ . By  $\alpha$ -equivalence,  $x \notin \mathcal{FV}(t_2)$ .

(a) If 
$$x \notin \mathcal{FV}(t_1)$$
, let  $\Phi := \mathcal{FV}(t_1) \cap \mathcal{FV}(t_2)$  and  $\Xi := \mathcal{FV}(t_2) \setminus \mathcal{FV}(t_1)$ .

$$\mathcal{A}((\lambda x.t_1)t_2) = \qquad \mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\lambda x.\mathcal{W}_x(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(t_1))) \mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_2)))$$

$$\longrightarrow_{\mathcal{B}} \qquad \mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\mathcal{W}_x(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(t_1))) \langle x \setminus \mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_2)) \rangle)$$

$$\longrightarrow_{\mathsf{Weak1}} \qquad \mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\mathcal{W}_{\mathcal{FV}(\mathcal{R}_{\Omega}^{\Phi}(t_2))}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(t_1))))$$

$$\equiv \qquad \mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\mathcal{W}_{\Omega,\Xi}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(t_1))))$$

$$= \qquad \mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\mathcal{W}_{\Omega}(\mathcal{W}_{\Xi}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(t_1))))$$

$$\longrightarrow_{\mathsf{Merge}} \qquad \mathcal{R}_{\Phi}^{\Upsilon}(\mathcal{W}_{\Xi}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(t_1))))$$

$$= \qquad \mathcal{W}_{\Xi}(\mathcal{A}(t_1))$$

Now it suffices to notice that  $\Xi := \mathcal{FV}((\lambda x.t_1)\ t_2) \setminus \mathcal{FV}(t_1\{x \setminus t_2\})$  using  $\mathcal{FV}(t_1\{x \setminus t_2\}) = \mathcal{FV}(t_1)$  since  $x \notin \mathcal{FV}(t_1)$ .

(b) If 
$$x \in \mathcal{FV}(t_1)$$
, let  $\Phi := (\mathcal{FV}(t_1) \setminus \{x\}) \cap \mathcal{FV}(t_2)$ .

$$\mathcal{A}((\lambda x.t_1)t_2) = \begin{array}{c} \mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\lambda x.\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(t_1)) \ \mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_2))) \\ \longrightarrow_{B} \ \mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\mathcal{A}(\mathcal{R}_{\Upsilon}^{\Phi}(t_1))\langle x \backslash \mathcal{A}(\mathcal{R}_{\Omega}^{\Phi}(t_2)) \rangle) \\ \longrightarrow_{\mathsf{xr}}^{\star} \ \mathcal{A}(t_1\{x \backslash t_2\}) \end{array}$$
 by Lemma 11

- 2. Now suppose  $\lambda x.u \longrightarrow_{\beta} \lambda x.u'$  with  $u \longrightarrow_{\beta} u'$ ,
  - (a) If  $x \notin \mathcal{FV}(u)$

$$\begin{split} \mathcal{A}(\lambda x.u) &= \qquad \lambda x. \mathcal{W}_{x}(\mathcal{A}(u)) \\ &\longrightarrow_{\lambda \mathsf{lxr}}^{+} \qquad \lambda x. \mathcal{W}_{x}(\mathcal{W}_{\mathcal{F}\mathcal{V}(u)} \setminus \mathcal{F}\mathcal{V}(u')}(\mathcal{A}(u'))) \quad \text{by the i.h.} \\ &= \qquad \lambda x. \mathcal{W}_{x}(\mathcal{W}_{\mathcal{F}\mathcal{V}(\lambda x.u)} \setminus \mathcal{F}\mathcal{V}(\lambda x.u')}(\mathcal{A}(u'))) \\ &\longrightarrow_{\mathsf{Wabs}}^{*} \qquad \mathcal{W}_{\mathcal{F}\mathcal{V}(\lambda x.u)} \setminus \mathcal{F}\mathcal{V}(\lambda x.u')}(\lambda x. \mathcal{W}_{x}(\mathcal{A}(u'))) \end{aligned}$$

(b) If  $x \in \mathcal{FV}(u)$ 

$$\mathcal{A}(\lambda x.u) = \lambda x.\mathcal{A}(u)$$

$$\longrightarrow_{\lambda | \mathsf{xr}}^{+} \lambda x.\mathcal{W}_{\mathcal{F}\mathcal{V}(u)} \setminus \mathcal{F}\mathcal{V}(u')} (\mathcal{A}(u')) \quad \text{by the i.h.}$$

$$= \lambda x.\mathcal{W}_{\mathcal{F}\mathcal{V}(\lambda x.u)} \setminus \mathcal{F}\mathcal{V}(u')} (\mathcal{W}_{\{x\}} \setminus \mathcal{F}\mathcal{V}(u')} (\mathcal{A}(u')))$$

$$= \lambda x.\mathcal{W}_{\mathcal{F}\mathcal{V}(\lambda x.u)} \setminus \mathcal{F}\mathcal{V}(\lambda x.u')} (\mathcal{W}_{\{x\}} \setminus \mathcal{F}\mathcal{V}(u')} (\mathcal{A}(u')))$$

$$\longrightarrow_{\mathsf{Wabs}}^{*} \mathcal{W}_{\mathcal{F}\mathcal{V}(\lambda x.u)} \setminus \mathcal{F}\mathcal{V}(\lambda x.u')} (\lambda x.\mathcal{W}_{\{x\}} \setminus \mathcal{F}\mathcal{V}(u')} (\mathcal{A}(u')))$$

3. Now suppose  $t_1 \ t_2 \longrightarrow_{\beta} \ t'_1 \ t_2 \ \text{with} \ t_1 \longrightarrow_{\beta} \ t'_1$ , let

$$\Sigma := \mathcal{FV}(t_1') \cap \mathcal{FV}(t_2)$$

$$\Lambda := \mathcal{FV}(t_1') \setminus (\mathcal{FV}(t_1') \cap \mathcal{FV}(t_2))$$

$$\Psi := (\mathcal{FV}(t_1) \cap \mathcal{FV}(t_2)) \setminus \mathcal{FV}(t_1')$$

$$\Xi := \mathcal{FV}(t_1) \setminus (\mathcal{FV}(t_1') \cap \mathcal{FV}(t_2))$$

Note in particular that  $\mathcal{FV}(t_1) \cap \mathcal{FV}(t_2)$  is a permutation of  $\Sigma, \Psi$ . Correspondingly, let  $\Sigma_l, \Psi_l$  and  $\Sigma_r, \Psi_r$  be fresh variables.

We have:

Then it suffices to notice that  $\Xi = \mathcal{FV}(t_1 \ t_2) \setminus \mathcal{FV}(t_1' \ t_2)$ .

4. The case  $t_1 t_2 \longrightarrow_{\beta} t_1 t_2'$  is similar to the previous one.  $\square$ 

As for the types, a straightforward induction on typing derivations allows us to show soundness of the translation A:

**Lemma 12** (Encoding  $\mathcal{A}$  preserves types). If t is a  $\lambda$ -term s.t.  $\Gamma \vdash_{\lambda} t : A$ , then  $\Gamma \vdash \mathcal{W}_{\Gamma \setminus \mathcal{FV}(t)}(\mathcal{A}(t)) : A$ .

4.2. From  $\lambda Ixr$ -calculus to  $\lambda$ -calculus

We now show how to encode a  $\lambda | xr$ -term into a  $\lambda$ -term.

**Definition 12.** Let t be a  $\lambda | \mathbf{xr}$ -term. We define the function  $\mathcal{B}(t)$  by induction on the structure of t as follows:

$$\mathcal{B}(x) := x 
\mathcal{B}(\lambda x.t) := \lambda x.\mathcal{B}(t) 
\mathcal{B}(\mathcal{W}_x(t)) := \mathcal{B}(t) 
\mathcal{B}(\mathcal{C}_x^{y|z}(t)) := \mathcal{B}(t) \{y \setminus x\} \{z \setminus x\} 
\mathcal{B}(t \ u) := \mathcal{B}(t) \mathcal{B}(u) 
\mathcal{B}(t \langle x \setminus u \rangle) := \mathcal{B}(t) \{x \setminus \mathcal{B}(u)\}$$

Remark that  $\mathcal{B}(t)$  is *not* the xr-normal form of t since weakenings and contractions disappear and thus the linearity constraints need not hold anymore.

**Lemma 13** (Properties of  $\mathcal{B}$ ). The translation  $\mathcal{B}$  enjoys the following properties.

```
-\mathcal{B}(\mathcal{R}_{\Upsilon}^{\Phi}(t)) = \mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{B}(t))-\mathcal{F}\mathcal{V}(\mathcal{B}(t)) \subseteq \mathcal{F}\mathcal{V}(t)
```

The following result will allow us to project the  $\lambda |xr$ -calculus onto the  $\lambda$ -calculus, as usually done for calculi with explicit substitutions [52].

**Lemma 14** (Simulating  $\lambda$ lxr-reduction).

```
1. If t_1 \equiv t_2, then \mathcal{B}(t_1) = \mathcal{B}(t_2).

2. If t_1 \longrightarrow_B t_2, then \mathcal{B}(t_1) \longrightarrow_{\beta}^* \mathcal{B}(t_2).

3. If t_1 \longrightarrow_{\mathbf{X}\mathbf{f}} t_2, then \mathcal{B}(t_1) = \mathcal{B}(t_2).
```

#### Proof.

1. This is obvious for the equivalence rule  $P_w$ . For the other ones we have to use the well-known [5] substitution lemma of  $\lambda$ -calculus stating that for any  $\lambda$ -terms t, u, v,

$$t\{x \setminus u\}\{y \setminus v\} = t\{y \setminus v\}\{x \setminus u\{y \setminus v\}\}$$

- 2. A B-reduction step at the root of  $t_1$  corresponds exactly to a  $\beta$ -reduction step at the root of  $\mathcal{B}(t_1)$ . For the closure under contexts, all cases are trivial except for:
  - the contraction, for which we use the fact that if  $\mathcal{B}(t) \longrightarrow_{\mathfrak{K}}^* \mathcal{B}(t')$ then  $\mathcal{B}(t)\{y\backslash x\}\{z\backslash x\}\longrightarrow_{\beta}^{*}\mathcal{B}(t')\{y\backslash x\}\{z\backslash x\}.$
  - the linear substitution operator, for which we use the two following facts:

If 
$$\mathcal{B}(t) \longrightarrow_{\beta}^{*} \mathcal{B}(t')$$
 then  $\mathcal{B}(t)\{x \setminus \mathcal{B}(u)\} \longrightarrow_{\beta}^{*} \mathcal{B}(t')\{x \setminus \mathcal{B}(u)\}$ .  
If  $\mathcal{B}(u) \longrightarrow_{\beta}^{*} \mathcal{B}(u')$  then  $\mathcal{B}(t)\{x \setminus \mathcal{B}(u)\} \longrightarrow_{\beta}^{*} \mathcal{B}(t)\{x \setminus \mathcal{B}(u')\}$ .

If 
$$\mathcal{B}(u) \longrightarrow_{\beta}^{*} \mathcal{B}(u')$$
 then  $\mathcal{B}(t)\{x \setminus \mathcal{B}(u)\} \longrightarrow_{\beta}^{*} \mathcal{B}(t)\{x \setminus \mathcal{B}(u')\}$ .

- 3. We only discuss the cases where the reduction takes place at the root, all the other ones being trivial.
  - If the rule applied is WAbs, WApp1, WApp2, WSubs, Cross, Weak2, then the property is trivial.
  - If the rule applied is Abs, App1, App2, Var, CAbs, CApp1, CApp2, then the property follows from the definition of substitution.
  - If the rule applied is Comp, then x is not free in t since the left-hand side is linear, so by Remark 13 x is neither free in  $\mathcal{B}(t)$ . It suffices to use the substitution lemma as before.
  - If the rule applied is Weak1, then x is not free in t since the left-hand side is linear, so by Remark 13 x is neither free in  $\mathcal{B}(t)$ . Hence, we get on the left-hand side  $\mathcal{B}(t)\{x\setminus\mathcal{B}(u)\}$  which is exactly  $\mathcal{B}(t)$ .
  - If the rule applied is Merge, then, as before, y is not free in  $\mathcal{B}(t)$  so that it suffices to notice that  $\mathcal{B}(t)\{z \mid w\} = \mathcal{B}(\mathcal{R}_w^z(t))$  by Remark 13.
  - If the rule applies is CSubs, then it is sufficient to apply the substitution lemma of  $\lambda$ -calculus.
  - If the rule applied is Cont, then, as before, x is not free in  $\mathcal{B}(t)$  so that  $\mathcal{B}(t_1) = \mathcal{B}(t)$  $\{y \setminus \mathcal{B}(u)\}\{z \setminus \mathcal{B}(u)\}\$  by the substitution lemma. For the right-hand side we have

$$\mathcal{B}(t_2) = \mathcal{B}(t)\{y \setminus \mathcal{B}(\mathcal{R}_{\Psi}^{\Phi}(u))\}\{z \setminus \mathcal{B}(\mathcal{R}_{\Xi}^{\Phi}(u))\}\{\Psi \setminus \Phi\}\{\Xi \setminus \Phi\}$$

which, using Remark 13, is equal to

$$\mathcal{B}(t)\{y \setminus \mathcal{R}_{\Psi}^{\Phi}(\mathcal{B}(u))\}\{z \setminus \mathcal{R}_{\Xi}^{\Phi}(\mathcal{B}(u))\}\{\Psi \setminus \Phi\}\{\Xi \setminus \Phi\}$$

which is

$$\mathcal{R}_{\Phi}^{\Xi}(\mathcal{R}_{\Phi}^{\Psi}(\mathcal{B}(t)\{y \backslash \mathcal{R}_{\Psi}^{\Phi}(\mathcal{B}(u))\}\{z \backslash \mathcal{R}_{\Xi}^{\Phi}(\mathcal{B}(u))\}))$$

which is equal to the left-hand side.

**Corollary 1.** If 
$$t_1 \longrightarrow_{\lambda IXI} t_2$$
, then  $\mathcal{B}(t_1) \longrightarrow_{\beta}^* \mathcal{B}(t_2)$ .

A straightforward induction on typing derivations allows us to show:

**Lemma 15** ( $\mathcal{B}$  preserves types). *If* t *is a*  $\lambda lxr$ -term such that  $\Gamma \vdash t : A$ , then  $\Gamma \vdash_{\lambda} \mathcal{B}(t) : A$ .

We end this section by considering the *composition of the encodings*  $\mathcal{B}$  and  $\mathcal{A}$ . Indeed, since congruent terms are mapped to the same  $\lambda$ -term, it makes sense to consider  $\mathcal{B}(\mathcal{A}(\cdot))$ , which is in fact the identity:  $t = \mathcal{B}(\mathcal{A}(t))$  (straightforward induction on t). For  $\mathcal{A}(\mathcal{B}(\underline{\ }))$  we get  $t \longrightarrow_{\mathbf{Xr}} \mathcal{W}_{\mathcal{FV}(t) \setminus \mathcal{FV}(\mathcal{B}(t))}(t)$ , which is in xr-normal form (we leave the proof to Section 5, Lemma 18).

## 5. Operational properties

In Sections 2, 3 and 4 we have already established the properties of subject reduction, strong normalisation of simply typed  $\lambda$ lxr-terms and simulation of  $\beta$ -reduction step by step. But a calculus which is defined in order to implement  $\lambda$ -calculus is also expected to satisfy confluence and preservation of strong normalisation (PSN). We prove both properties in this section.

## 5.1. Preservation of strong normalisation

PSN is in some sense a *test* property when a new calculus with explicit substitutions is proposed. As mentioned in the introduction, Melliès [45] has shown that calculi such as  $\lambda \sigma$  [3] and  $\lambda \sigma_{\uparrow}$  [30] do not have PSN, that is, there are  $\beta$ -strongly normalisable terms in  $\lambda$ -calculus which are not strongly normalisable when evaluated with the reduction rules of  $\lambda \sigma$  and  $\lambda \sigma_{\uparrow}$ .

The original notion of PSN [7] makes sense in any calculus extending the syntax of the  $\lambda$ -calculus. Since we work with linear terms, it is not the case of  $\lambda$ Ixr, so the notion of PSN has to be properly reformulated in our context as follows: every strongly normalisable  $\lambda$ -term is encoded into a strongly normalisable  $\lambda$ Ixr-term, the encoding being in our case  $\mathcal{A}(\underline{\ })$  (Definition 11).

We establish PSN of  $\lambda$ lxr by simulating reductions  $\lambda$ lxr by reductions in the  $\lambda I$ -calculus of [35,46], based on earlier work by [11], with its associated reduction relations  $\beta$  and  $\pi$ . We refer the reader to [55,61] for a survey on different techniques based on the  $\lambda I$ -calculus to infer normalisation properties. The proof technique that we use here is presented in [41,42], which establishes general results, but also fully treats the case of  $\lambda$ lxr as an example. We give here the part that is specific to  $\lambda$ lxr and refer the reader to [41,42] for the proofs of the general results that we use. The technique can be summarised as follows:

- 1. Define a relation  $\mathcal{I}$  between linear  $\lambda |\mathbf{xr}$ -terms and  $\lambda I$ -terms (Definition 14).
- 2. Show that  $t \mathcal{I} T$  and  $t \longrightarrow_{\mathsf{Xr}} t'$  imply  $t' \mathcal{I} T$ , as well as  $t \mathcal{I} T$  and  $t \longrightarrow_{B} t'$  imply there exists T' such that  $t' \mathcal{I} T'$  and  $T \longrightarrow_{\beta\pi}^{+} T'$  (Theorem 8).
- 3. Deduce that if  $t \mathcal{I} T$  and  $T \in SN_{\beta\pi}$ , then  $t \in SN_{\lambda | \mathbf{Xr}}$  (Corollary 2).
- 4. Use an encoding  $i(): \lambda \mapsto \lambda I$  (Definition 15) such that if  $u \in SN_{\beta}$  then  $i(u) \in SN_{\beta\pi}$  (Theorems 9 and 10).
- 5. Show that  $A(u) \mathcal{I} i(u)$  (Theorem 11), where A(u) is the encoding given in Section 4, and conclude PSN (Corollary 3).

This proof can be captured by the following picture (Fig. 10):

We now proceed to develop the above points needed to conclude PSN as explained above.

**Definition 13.** The set  $\Lambda_I$  of terms of the  $\lambda I$ -calculus [35] is defined by the following grammar:

$$M ::= x | (M M) | \lambda x.M | [M, M]$$

Fig. 10. Proving PSN for λlxr.

where every abstraction  $\lambda x.M$  satisfies  $x \in FV(M)$ .

We abbreviate the term  $[\ldots [[N, M_1], M_2], \ldots, M_n]$  by  $[N, M_1, M_2, \ldots, M_n]$  or simply by  $[N, \langle M \rangle]$  assuming that this expression is equal to N when n = 0. The term M and the notation  $\langle M \rangle$  inside  $[N, \langle M \rangle]$  must not be confused.

The following property is straightforward by induction on terms.

**Lemma 16** (Substitutions [35]). Let M, N, L be  $\Lambda_I$ -terms. Then  $M\{x \setminus N\}$  is also a  $\Lambda_I$ -term and  $M\{x \setminus N\}\{y \setminus L\} = M\{y \setminus L\}\{x \setminus N\{y \setminus L\}\}$  provided there is no variable capture.

In what follows we consider two reduction rules on  $\Lambda_I$ -terms:

$$(\lambda x.M) N \longrightarrow_{\beta} M\{x \setminus N\}$$
  
 $[M,N] L \longrightarrow_{\pi} [M L,N]$ 

**Definition 14.** The relation  $\mathcal{I}$  between linear  $\lambda | \mathbf{xr}$ -terms and  $\Lambda_I$ -terms is inductively given by the following rules:

$$\begin{split} \frac{t \, \mathcal{I} \, T}{x \, \mathcal{I} \, x} & \quad \frac{t \, \mathcal{I} \, T}{\lambda x. t \, \mathcal{I} \, \lambda x. T} & \quad \frac{t \, \mathcal{I} \, T}{t u \, \mathcal{I} \, U} & \quad \frac{t \, \mathcal{I} \, T}{t \, \mathcal{I} \, [T, N]} \, N \in \Lambda_I \\ \\ \frac{t \, \mathcal{I} \, T}{t \, \langle x \, \rangle \, u \, \mathcal{I} \, U} & \quad \frac{t \, \mathcal{I} \, T}{\mathcal{C}_x^{y|z}(t) \, \mathcal{I} \, T\{y \, \rangle x\} \{z \, \rangle x\}} & \quad \frac{t \, \mathcal{I} \, T}{\mathcal{W}_x(t) \, \mathcal{I} \, T} \, x \in FV(T) \end{split}$$

The relation  $\mathcal{I}$  enjoys the following properties.

**Lemma 17.** If  $t \mathcal{I} M$ , then

- 1.  $FV(t) \subseteq FV(M)$
- $2.M \in \Lambda_I$
- $3. x \notin FV(t)$  and  $N \in \Lambda_I$  implies  $t \mathcal{I} M\{x \setminus N\}$
- 4.  $t \equiv t'$  implies  $t' \mathcal{I} M$
- 5.  $\mathcal{R}_{\Psi}^{\Phi}(t) \mathcal{I} \mathcal{R}_{\Psi}^{\Phi}(M)$

**Proof.** Property (1) is a straightforward induction on the proof tree as well as Property (2) which also uses Lemma 16. Properties (3) and (5) are also proved by induction on the tree, using Lemma 16. For Property (4):

- If  $\mathcal{W}_x(\mathcal{W}_y(t)) \mathcal{I} M$  then  $M = [[T, \langle T_i \rangle], \langle U_i \rangle]$  with  $t \mathcal{I} T$ ,  $y \in FV(T)$  and  $x \in FV([T, \langle T_i \rangle])$ . Then  $\mathcal{W}_v(\mathcal{W}_x(t)) \mathcal{I} M$ .

- If  $t\langle x \setminus u \rangle \langle y \setminus v \rangle \mathcal{I} M$  with  $y \notin FV(u)$ , then  $M = [[T\{x \setminus U\}, \langle T_i \rangle] \{y \setminus V\}, \langle U_i \rangle]$  with  $t \mathcal{I} T$ ,  $u \mathcal{I} U$  and  $v \mathcal{I} V$ . By  $\alpha$ -equivalence we can assume that  $x \notin FV(T_1) \cup \ldots \cup FV(T_m) \cup FV(V)$ , so that  $M = [[T, \langle T_i \rangle] \{x \setminus U\} \{y \setminus V\}, \langle U_i \rangle] = [[T, \langle T_i \rangle] \{y \setminus V\} \{x \setminus U \{y \setminus V\}\}, \langle U_i \rangle]$ . As a consequence  $t\langle y \setminus v \rangle \langle x \setminus u \mathcal{I} M$ , since by (3) we get  $u \mathcal{I} U\{y \setminus V\}$ .
- Associativity and commutativity of contraction are very similar to the previous case.
- If  $C_w^{y|z}(p)\langle x \setminus u \rangle \mathcal{I} M$ , then  $M = [[P\{y \setminus w\}\{z \setminus w\}, \langle P_i \rangle]\{x \setminus U\}, \langle U_i \rangle]$ , with  $p \mathcal{I} P$  and  $u \mathcal{I} U$ . We then have  $M = [P\{x \setminus U\}\{y \setminus w\}\{z \setminus w\}, \langle P_i \{x \setminus U\}\rangle, \langle U_i \rangle]$  so that we can conclude  $C_w^{y|z}(p\langle x \setminus u \rangle) \mathcal{I} M$ .  $\square$

# **Theorem 8** (Simulation in $\Lambda_I$ ).

- 1. If  $t \mathcal{I} T$  and  $t \longrightarrow_{\mathbf{X}\mathbf{\Gamma}} t'$ , then  $t' \mathcal{I} T$ .
- 2. If  $t \mathcal{I} T$  and  $t \longrightarrow_B t'$ , then there is  $T' \in \Lambda_I$  such that  $t' \mathcal{I} T'$  and  $T \longrightarrow_{\beta\pi}^+ T'$ .

**Proof.** By induction on the reduction step. Remark that the case  $t \cong t'$  is already considered by Lemma 17-4 so that we restrict the proof here to basic reduction steps.

- $B: (\lambda x.p) \ u \longrightarrow p\langle x \backslash u \rangle.$ 
  - Then  $T = [[\lambda x.P, \langle P_i \rangle]U, \langle U_i \rangle]$  with  $p \mathcal{I} P$  and  $u \mathcal{I} U$ . We then obtain the reduction sequence  $T \longrightarrow_{\pi}^{*} [(\lambda x.P)U, \langle P_i \rangle, \langle U_i \rangle] \longrightarrow_{\beta} [P\{x \setminus U\}, \langle P_i \rangle, \langle U_i \rangle] = T'$ .
- Abs:  $(\lambda y.p)\langle x \setminus u \rangle \longrightarrow \lambda y.p\langle x \setminus u \rangle$ . Then  $T = [[\lambda y.P, \langle P_i \rangle]\{x \setminus U\}, \langle U_i \rangle]$  with  $p \mathcal{I} P$  and  $u \mathcal{I} U$ . We have  $T = [\lambda y.(P\{x \setminus U\}), \langle P_i \{x \setminus U\}), \langle U_i \rangle]$ .
- App1,App2: Similar to the previous case.
- Var:  $x\langle x \backslash u \rangle \longrightarrow u$ . Then  $T = [[x, \langle P_i \rangle] \{x \backslash U\}, \langle U_i \rangle]$  with  $u \mathcal{I} U$ . We have  $T = [U, \langle P_i \{x \backslash U\} \rangle, \langle U_i \rangle]$ .
- Weak1:  $\mathcal{W}_{x}(p)\langle x \backslash u \rangle \longrightarrow \mathcal{W}_{FV(u)}(p)$ .
  - Then  $T = [[P, \langle P_i \rangle] \{x \setminus U\}, \langle U_i \rangle]$  with  $p \mathcal{I} P$ ,  $u \mathcal{I} U$ , and  $x \in FV(P)$ . We have  $T = [P\{x \setminus U\}, \langle P_i \{x \setminus U\} \rangle, \langle U_i \rangle]$ . Since  $x \notin FV(p)$ , then by Lemma 17-1  $p \mathcal{I} P\{x \setminus U\}$ , and since  $x \in FV(p)$ ,  $FV(U) \subseteq FV(P\{x \setminus U\})$ . By Lemma 17-1  $FV(u) \subseteq FV(U)$  so that  $FV(u) \subseteq FV(P\{x \setminus U\})$  concludes the proof.
- Weak2:  $W_v(p)\langle x \backslash u \rangle \longrightarrow W_v(p\langle x \backslash u \rangle)$ .
  - Then  $T = [[P, \langle P_i \rangle] \{x \setminus U\}, \langle U_i \rangle]$  with  $p \mathcal{I} P$ ,  $u \mathcal{I} U$ , and  $y \in FV(P)$ . We have  $T = [P\{x \setminus U\}, \langle P_i \{x \setminus U\} \rangle, \langle U_i \rangle]$  and we still have  $y \in FV(P\{x \setminus U\})$ .
- $\langle P_i\{x \setminus U\}\rangle, \langle U_i\rangle$ ] and we still have  $y \in FV(P\{x \setminus U\})$ . - Cont:  $C_x^{y|z}(p)\langle x \setminus u\rangle \longrightarrow C_{\Phi}^{\Psi|\Upsilon}(p\langle y \setminus \mathcal{R}_{\Psi}^{\Phi}(u)\rangle\langle z \setminus \mathcal{R}_{\Upsilon}^{\Phi}(u)\rangle)$ .

Then  $T = [[P\{y \mid x\}\{z \mid x\}, \langle P_i \rangle]\{x \mid U\}, \langle U_i \rangle]$  with  $p \mid T \mid P$  and  $u \mid T \mid U$ . We obtain the following equality  $T = [P\{y \mid U\}\{z \mid U\}, \langle P_i \{x \mid U\}\rangle, \langle U_i \rangle]$  which can be expressed as

$$T = [P\{y \setminus U'\}\{z \setminus U''\}\{\Psi \setminus \Phi\}\{\Upsilon \setminus \Phi\}, \langle P_i\{x \setminus U\}\rangle, \langle U_i\rangle]$$

where  $U' = U\{\Phi \setminus \Psi\}$  and  $U'' = U\{\Phi \setminus \Upsilon\}$ . We obtain  $\mathcal{R}_{\Psi}^{\Phi}(u) \mathcal{I} U'$  and  $\mathcal{R}_{\Upsilon}^{\Phi}(u) \mathcal{I} U''$  by Lemma 17-5.

- Comp:  $p\langle y \backslash v \rangle \langle x \backslash u \rangle \longrightarrow p\langle y \backslash v \langle x \backslash u \rangle \rangle$  where  $x \in FV(v)$ . Then we necessarily have  $T = [[P\{y \backslash Q\}, \langle P_i \rangle] \{x \backslash U\}, \langle U_i \rangle]$  with  $t \mathcal{I} P, v \mathcal{I} Q$ , and  $u \mathcal{I} U$ .

Since  $T = [P\{x \setminus U\}\{y \setminus Q\{x \setminus U\}\}, \langle P_i\{x \setminus U\}\}, \langle U_i \rangle]$ , then we obtain  $t \mathcal{I} P\{x \setminus U\}$  by Lemma 17-3.

- WAbs, WApp1, WApp2, WSubs, Cross are straightforward because the condition  $x \in FV(P)$  that is checked by  $W_x()$  is just changed into a side-condition  $x \in FV(Q)$  (checked one step later), where  $x \in FV(P)$  implies  $x \in FV(Q)$ .
- Merge:  $C_w^{y|z}(W_y(p)) \longrightarrow R_w^z(p)$ .

Then  $T = [[P, \langle P_i \rangle] \{y \setminus w\} \{z \setminus w\}, \langle U_i \rangle]$  with  $t \mathcal{I} P$  and  $y \in FV(P)$ . We then have the equality  $T = [[P\{z \setminus w\}, \langle P_i\{z \setminus w\}\rangle] \{y \setminus w\}, \langle U_i \rangle]$  and we conclude by Lemma 17-3.

- CAbs:  $C_w^{y|z}(\lambda x.t) \longrightarrow \lambda x. C_w^{y|z}(p)$ .

Then  $T = [[\lambda x.P, \langle P_i \rangle] \{y \setminus w\} \{z \setminus w\}, \langle U_i \rangle]$  with  $t \mathcal{I} P$ .

We have  $T = [\lambda x.(P\{y \setminus w\}\{z \setminus w\}), \langle P_i\{y \setminus w\}\{z \setminus w\}), \langle U_i \rangle].$ 

- CApp1, CApp2: Similar to the previous case.
- CSubs: We have  $C_w^{y|z}(p\langle x \backslash u \rangle) \mathcal{I}[[P\{x \backslash U\}, \langle P_i \rangle]\{y \backslash w\}\{z \backslash w\}, \langle U_i \rangle]$  which is equal to  $T = [[P\{y \backslash w\}\{z \backslash w\}\{x \backslash U\{y \backslash w\}\{z \backslash w\}\}, \langle P_i \rangle\{y \backslash w\}\{z \backslash w\}], \langle U_i \rangle]$  by Lemma 16. We have  $p\langle x \backslash C_w^{y|z}(u) \rangle \mathcal{I} T$  by Lemma 17-3, which concludes this case.

Now for the closure under context, we use the fact that if  $P \longrightarrow_{\beta\pi} P'$  then  $P\{x \setminus U\} \longrightarrow_{\beta\pi} P'\{x \setminus U\}$ , and if moreover  $x \in FV(P)$  and  $U \longrightarrow_{\beta\pi} U'$  then  $P\{x \setminus U\} \longrightarrow_{\beta\pi}^+ P\{x \setminus U'\}$ . The latter is useful for the closure: if  $p\langle x \setminus t \rangle \mathcal{I} Q$  and  $t \longrightarrow_B t'$ , then  $Q = [P\{x \setminus T\}, \langle U_i \rangle]$  with  $p \mathcal{I} P$ ,  $t \mathcal{I} T$  and by the i.h. we get  $T \longrightarrow_{\beta\pi}^+ T'$  such that  $t' \mathcal{I} T'$ . Since  $x \in FV(p), x \in FV(P)$  by Lemma 17-1, and hence  $Q \longrightarrow_{\beta\pi}^+ [P\{x \setminus T'\}, \langle U_i \rangle]$ .  $\square$ 

**Corollary 2.** If  $t \mathcal{I} T$  and  $T \in SN_{\beta\pi}$ , then  $t \in SN_{\lambda/xr}$ .

**Proof.** Suppose that  $t \notin SN_{\lambda | \mathbf{Xr}}$ . Then there is an infinite  $\lambda | \mathbf{Xr}$ -reduction sequence  $\sigma$  starting at t. Since  $\mathbf{Xr}$  is terminating (Lemma 1), then there are infinite B-steps in the sequence  $\sigma$ , so that it is of the form

$$\sigma: t \longrightarrow_{\mathsf{xr}}^* \longrightarrow_B t_1 \cdots \longrightarrow_{\mathsf{xr}}^* \longrightarrow_B t_i \longrightarrow_{\mathsf{xr}}^* \longrightarrow_B \cdots$$

By Theorem 8 we can construct an infinite  $\beta\pi$ -reduction sequence

$$T \longrightarrow_{\beta\pi}^+ T_1 \longrightarrow_{\beta\pi}^+ \cdots \longrightarrow_{\beta\pi}^+ T_i \longrightarrow_{\beta\pi}^+ \cdots$$

where  $t_i \mathcal{I} T_i$ . This contradicts the hypothesis  $T \in SN_{\beta\pi}$ .  $\square$ 

**Definition 15** ( [41]). We encode the  $\lambda$ -calculus into  $\Lambda_I$  as follows:

$$i(x) = x$$

$$i(\lambda x.t) = \lambda x.i(t) \qquad x \in FV(t)$$

$$i(\lambda x.t) = \lambda x.[i(t), x] \ x \notin FV(t)$$

$$i(t \ u) = i(t) \ i(u)$$

**Theorem 9** ([41]). For any  $\lambda$ -term t, if  $t \in SN_{\beta}$ , then  $i(t) \in WN_{\beta\pi}$ .

**Theorem 10** ([46]). If  $i(t) \in WN_{\beta\pi}$  then  $i(t) \in SN_{\beta\pi}$ .

**Theorem 11.** For any  $\lambda$ -term u,  $A(u) \mathcal{I} i(u)$ .

## **Proof.** By induction on *u*:

- $x \mathcal{I} x$  trivially holds.
- If  $u = \lambda x.t$ , then  $A(t) \mathcal{I} i(t)$  holds by the i.h. Therefore, we obtain either  $\lambda x.A(t) \mathcal{I} \lambda x.i(t)$  or  $\lambda x.W_x(A(t)) \mathcal{I} \lambda x.[i(t),x]$ .
- If  $u=(t\ u)$ , then  $\mathcal{A}(t)\ \mathcal{I}\ i(t)$  and  $\mathcal{A}(u)\ \mathcal{I}\ i(u)$  hold by the i.h. so that  $\mathcal{R}^{\Phi}_{\Upsilon}(\mathcal{A}(t))\ \mathcal{I}\ \mathcal{R}^{\Phi}_{\Upsilon}(i(t))$  and  $\mathcal{R}^{\Phi}_{\Upsilon}(\mathcal{A}(u))\ \mathcal{I}\ \mathcal{R}^{\Phi}_{\Upsilon}(i(u))$  hold by Lemma 17-5. Since  $\mathcal{R}^{\Phi}_{\Upsilon}(i(t))\{\Upsilon\backslash\Phi\}=i(t)$  and  $\mathcal{R}^{\Phi}_{\Upsilon}(i(u))\{\Upsilon\backslash\Phi\}=i(u)$ , we can then conclude  $\mathcal{C}^{\Psi|\Upsilon}_{\Phi}(\mathcal{A}(t))\ \mathcal{R}^{\Phi}_{\Upsilon}(\mathcal{A}(u)))\ \mathcal{I}\ i(t)\ i(u)$ .  $\square$

**Corollary 3** (*PSN*). For any  $\lambda$ -term t, if  $t \in SN_{\beta}$ , then  $A(t) \in SN_{\lambda | Xr}$ .

**Proof.** If  $t \in SN_{\beta}$ , then  $i(t) \in SN_{\beta\pi}$  by Theorems 9 and 10. As  $\mathcal{A}(t) \mathcal{I} i(t)$  by Theorem 11, then we conclude  $\mathcal{A}(t) \in SN_{\lambda | xr}$  by Corollary 2.  $\square$ 

## 5.2. Confluence

We now use both simulations presented in Section 4 to derive the confluence property for systems xr and  $\lambda |xr|$  via a generalisation of the Interpretation Method [27]. We start by stating a result that relates xr-normal forms to the composition of encodings A and B.

**Theorem 12.** If t is an Xr-normal form, then  $t \equiv \mathcal{W}_{\mathcal{FV}(t) \setminus \mathcal{FV}(\mathcal{B}(t))}(\mathcal{A}(\mathcal{B}(t)))$ .

**Proof.** The proof may proceed by induction since a subterm of an xr-normal form is an xr-normal form:

- If t = x, then  $x = \mathcal{A}(\mathcal{B}(x))$  and  $\mathcal{FV}(t) \setminus \mathcal{FV}(\mathcal{B}(t)) = \emptyset$
- If  $t = \lambda x.u$ , then we know  $u \equiv \mathcal{W}_{\mathcal{FV}(u) \setminus \mathcal{FV}(\mathcal{B}(u))}(\mathcal{A}(\mathcal{B}(u)))$  by the i.h. But t is an xr-normal form, so  $\mathcal{FV}(u) \setminus \mathcal{FV}(\mathcal{B}(u)) \subseteq \{x\}$ , otherwise it can be reduced by WAbs. Now, if  $\mathcal{FV}(u) \setminus \mathcal{FV}(\mathcal{B}(u)) = \emptyset$ , then also  $\mathcal{FV}(t) \setminus \mathcal{FV}(\mathcal{B}(t)) = \emptyset$  and the claim  $t \equiv \mathcal{A}(\mathcal{B}(\lambda x.u))$  immediately holds. Otherwise,  $\mathcal{FV}(u) \setminus \mathcal{FV}(\mathcal{B}(u)) = \{x\}$  and  $t \equiv \lambda x.\mathcal{W}_x(\mathcal{A}(\mathcal{B}(u))) = \mathcal{A}(\mathcal{B}(t))$ .
- If  $t = u \ v$ ,  $t \equiv \mathcal{W}_{\mathcal{FV}(u) \setminus \mathcal{FV}(\mathcal{B}(u))}(\mathcal{A}(\mathcal{B}(u))) \ \mathcal{W}_{\mathcal{FV}(v) \setminus \mathcal{FV}(\mathcal{B}(v))}(\mathcal{A}(\mathcal{B}(v)))$  by the i.h. But t is a **xr**-normal form, so

$$\mathcal{FV}(u) \setminus \mathcal{FV}(\mathcal{B}(u)) = \mathcal{FV}(v) \setminus \mathcal{FV}(\mathcal{B}(v)) = \emptyset$$

(otherwise it could be reduced by WApp1 or WApp1). Hence,  $\mathcal{FV}(t) = \mathcal{FV}(\mathcal{B}(t))$  and  $t \equiv \mathcal{A}(\mathcal{B}(u))$   $\mathcal{A}(\mathcal{B}(v)) \equiv \mathcal{A}(\mathcal{B}(t))$  since u and v have no variable in common.

- The case  $t = u\langle x \rangle v$  is not possible by Lemma 2.
- If  $t = \mathcal{W}_x(u)$ ,  $t \equiv \mathcal{W}_x(\mathcal{W}_{\mathcal{FV}(u) \setminus \mathcal{FV}(\mathcal{B}(u))}(\mathcal{A}(\mathcal{B}(u))))$  by the i.h. This last term is equal to  $\mathcal{W}_{\mathcal{FV}(t) \setminus \mathcal{FV}(\mathcal{B}(t))}(\mathcal{A}(\mathcal{B}(t)))$  since  $x \in \mathcal{FV}(t)$  but  $x \notin \mathcal{FV}(\mathcal{B}(t))$ .
- If  $t = C_x^{y|z}(u)$ ,  $t \equiv C_x^{y|z}(W_{\mathcal{FV}(u)\setminus\mathcal{FV}(\mathcal{B}(u))}(\mathcal{A}(\mathcal{B}(u))))$  by the i.h.

We first remark that y and z are free in u since t is linear, and also x is not free in u, hence neither is it free in  $\mathcal{B}(u)$ .

Secondly, since t is an xr-normal form, we have  $\mathcal{FV}(u) \setminus \mathcal{FV}(\mathcal{B}(u)) = \emptyset$  (otherwise t could be reduced by Cross or Merge). Hence, y and z are free in  $\mathcal{B}(u)$  and  $t \equiv \mathcal{C}_x^{y|z}(\mathcal{A}(\mathcal{B}(u)))$ .

But  $\mathcal{B}(t) = \mathcal{B}(u)\{y \setminus x\}\{z \setminus x\}$ , so x is free in  $\mathcal{B}(t)$ . We conclude  $\mathcal{FV}(t) = \mathcal{FV}(\mathcal{B}(t))$ .

Third, notice that  $\mathcal{B}(u)$  can be neither a variable (otherwise t would not be linear) nor an abstrac-

tion (otherwise 
$$t$$
 could be reduced by CAbs), so  $\mathcal{B}(u) = w \ v$ , and  $\mathcal{A}(\mathcal{B}(u)) = \mathcal{C}_{\Phi}^{\Upsilon|\Psi}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(w))) \mathcal{R}_{\Psi}^{\Phi}(\mathcal{A}(v))$  with  $\Phi = \mathcal{F}\mathcal{V}(w) \cap \mathcal{F}\mathcal{V}(v)$ . Hence,  $t \equiv \mathcal{C}_{x}^{y|z}(\mathcal{C}_{\Phi}^{\Upsilon|\Psi}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(w))) \mathcal{R}_{\Psi}^{\Phi}(\mathcal{A}(v)))$ .

Now it would suffice that  $v \in \mathcal{FV}(w) \setminus \mathcal{FV}(v)$  and  $z \in \mathcal{FV}(v) \setminus \mathcal{FV}(w)$  to prove that this term is in fact

$$\mathcal{A}(w\{y\backslash x\}\ v\{z\backslash x\}) = \mathcal{A}(\mathcal{B}(u)\{y\backslash x\}\{z\backslash x\}) = \mathcal{A}(\mathcal{B}(t))$$

We are going to prove that this is the case (or the symmetrical case when y and z are swapped): we know that they are free in w v.

Suppose that one of them, say y, is both in w and in v. Then  $y \in \Phi$ , so

$$t \equiv \mathcal{C}_{x}^{y|z}(\mathcal{C}_{\Phi',y}^{(\Upsilon',y')|(\Psi',y'')}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(w)) \ \mathcal{R}_{\Psi}^{\Phi}(\mathcal{A}(v))))$$

which we can rearrange into

$$t \equiv \mathcal{C}_{x}^{y|y''}(\mathcal{C}_{\Phi',y}^{(\Upsilon',y')|(\Psi',z)}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(w))\ \mathcal{R}_{\Psi}^{\Phi}(\mathcal{A}(v))))$$

if 
$$z \in \mathcal{FV}(w)$$
, or  $t \equiv C_x^{y|y'}(C_{\Phi',y}^{(\Upsilon',z)|(\Psi',y'')}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(w)) \mathcal{R}_{\Psi}^{\Phi}(\mathcal{A}(v))))$  if  $z \in \mathcal{FV}(v)$ .

In the first case, t can be reduced by CApp1 (on  $C_y^{y'|z}$ ()), and in the second by CApp2 (on  $C_y^{z|y''}$ ()). In both cases, it contradicts the fact that t is a xr-normal form. Hence,  $y \notin \Phi$  (and similarly  $z \notin \Phi$ ). Now suppose that both y and z are on the same side, say in w. Then t can be reduced by CApp1 on  $C_x^{y|z}$  (). Similarly, they cannot be both in v (t could be reduced by CApp2). Hence one of them is only in w, and the other is only in v, as required.  $\square$ 

**Lemma 18.** The system **xr** is confluent and terminating, and the **xr**-normal form of t is  $W_{\mathcal{FV}(t)\setminus\mathcal{FV}(\mathcal{B}(t))}(\mathcal{A}(\mathcal{B}(t))).$ 

**Proof.** By Theorem 1 the system xr is terminating so that we can take any xr-normal form t'of t such that  $t \longrightarrow_{XT}^* t'$ . We then have  $\mathcal{FV}(t) = \mathcal{FV}(t')$  by Lemma 1 and  $\mathcal{B}(t) = \mathcal{B}(t')$  by Lemma 14. Since t' is an xr-normal form, then  $t' \equiv \mathcal{W}_{\mathcal{FV}(t') \setminus \mathcal{FV}(\mathcal{B}(t'))}(\mathcal{A}(\mathcal{B}(t')))$  by Theorem 12. Hence  $t' \equiv$  $\mathcal{W}_{\mathcal{FV}(t)\setminus\mathcal{FV}(\mathcal{B}(t))}(\mathcal{A}(\mathcal{B}(t))).$ 

To show confluence let us suppose  $t \longrightarrow_{xr}^* t_1$  and  $t \longrightarrow_{xr}^* t_2$ . Let us take xr-normal forms  $t'_1$  and  $t'_2$ such that  $t_i \longrightarrow_{Xr}^* t_i'$ . By the previous remark both  $t_1'$  and  $t_2'$  are congruent to  $\mathcal{W}_{\mathcal{FV}(t)\setminus\mathcal{FV}(\mathcal{B}(t))}(\mathcal{A}(\mathcal{B}(t)))$ which concludes the proof.  $\Box$ 

**Theorem 13.** The system  $\lambda lxr$  is confluent.

**Proof.** Suppose  $N \equiv N'$ ,  $N \longrightarrow_{\lambda | \mathsf{xr}}^* N_1$  and  $N' \longrightarrow_{\lambda | \mathsf{xr}}^* N_2$ . We get  $\mathcal{B}(N) = \mathcal{B}(N')$  and  $\mathcal{B}(N) \longrightarrow_{\beta}^* \mathcal{B}(N_1)$  and  $\mathcal{B}(N') \longrightarrow_{\beta}^* \mathcal{B}(N_2)$  using Lemma 14. Confluence of  $\longrightarrow_{\beta}$  gives us a  $\lambda$ -term M such that  $\mathcal{B}(N_1) \longrightarrow_{\beta}^* M$  and  $\mathcal{B}(N_2) \longrightarrow_{\beta}^* M$ . By Theorem 7, there exist two lists of variables  $\Phi_1$ ,  $\Phi_2$  such that  $\mathcal{A}(\mathcal{B}(N_1)) \xrightarrow{*} \underset{\lambda \mid \mathsf{xr}}{*} \mathcal{W}_{\Phi_1}(\mathcal{A}(M))$  and  $\mathcal{A}(\mathcal{B}(N_2)) \xrightarrow{*} \underset{\lambda \mid \mathsf{xr}}{*} \mathcal{W}_{\Phi_2}(\mathcal{A}(M))$ .

We can now reduce  $N_1$  and  $N_2$  to their respective xr-normal form so that Lemma 18 provides  $\Phi'_1$  and  $\Phi'_2$  such that

$$N_{1} \longrightarrow_{\mathsf{xr}}^{*} \mathcal{W}_{\Phi'_{1}}(\mathcal{A}(\mathcal{B}(N_{1}))) \longrightarrow_{\lambda \mathsf{lxr}}^{*} \mathcal{W}_{\Upsilon_{1}}(\mathcal{A}(M))$$

$$N_{2} \longrightarrow_{\mathsf{xr}}^{*} \mathcal{W}_{\Phi'_{2}}(\mathcal{A}(\mathcal{B}(N_{2}))) \longrightarrow_{\lambda \mathsf{lxr}}^{*} \mathcal{W}_{\Upsilon_{2}}(\mathcal{A}(M))$$

for 
$$\Upsilon_1 = \Phi_1'$$
,  $\Phi_1$  and  $\Upsilon_2 = \Phi_2'$ ,  $\Phi_2$ .

The relation  $\longrightarrow_{\lambda |xr}$  preserves the set of free variables by Lemma 1, so that

$$\begin{split} \mathcal{FV}(\mathcal{W}_{\Upsilon_1}(\mathcal{A}(M))) &= \mathcal{FV}(N_1) = \mathcal{FV}(N) = \mathcal{FV}(N') \\ \mathcal{FV}(\mathcal{W}_{\Upsilon_2}(\mathcal{A}(M))) &= \mathcal{FV}(N_2) = \mathcal{FV}(N') = \mathcal{FV}(N) \end{split}$$

We can conclude that  $\Upsilon_1$  is a permutation of  $\Upsilon_2$ , hence  $N_1$  and  $N_2$  both reduce to the same term up to  $\equiv$ , as required.  $\square$ 

## 6. Conclusion and further work

This paper extends the explicit substitution paradigm by showing how the proof-nets of Linear Logic can be suitable as a logical model of a (typed) calculus with operators for erasure, duplication and substitution.

Our term calculus is expressed by a simple syntax, and enjoys natural operational semantics via a well established [56] notion of reduction modulo a set of equations. Soundness and completeness of (typed) \(\lambda\) kr are shown with respect to its proof-nets model.

Although this paper uses typed proof-nets, our (untyped) system could also be put in relation with an appropriate notion of reduction in formalism of *untyped* proof-nets. This would provide soundness and completeness w.r.t. an untyped model. However, one of the main results of this paper is strong normalisation of typed  $\lambda | xr$ -terms, and this can only be obtained from typed proof-nets, which we therefore chose to use for this paper.

In contrast to other term calculi in the literature,  $\lambda lxr$  has full composition and enjoys PSN. Moreover,  $\lambda lxr$  enjoys confluence, strong normalisation of simply typed terms and step by step simulation of  $\beta$ -reduction. All these properties are shown by considering the complex notion of reduction modulo an equivalence which we have associated to  $\lambda lxr$ -terms.

Weakening operators are a useful tool to handle garbage collection. Indeed, free variables are never lost and weakening operators are pulled out to the top-level during computation.

Our soundness and completeness proofs illustrate how the following rules {App2, Comp, Var, Weak1, Cont} tightly correspond to the manipulation of boxes in proof-nets. More precisely, App2 and Comp in  $\lambda$ lxr correspond to b-b in PN, Var to d-b, Weak1 to w-b and Cont to c-b.

It is worth mentioning the calculus obtained by turning the equation  $P_{cs}$  into a reduction rule (from left to right) and by eliminating reduction rules WSubs and CSubs enjoys exactly the same properties as the calculus presented in this paper, namely Theorems 2, 4, 7, 1, 13, and Corollary 3. However, these rules seem to be necessary for the confluence on meta terms (ongoing work).

We think that many points raised in this work deserve further development. The first one concerns the study of reduction strategies well adapted to handle the operators for substitution, erasure and duplication. This may take into account the notion of weak reduction used to implement functional programming [44].

Proof techniques used in the literature to show PSN of calculi with explicit substitutions (zoom-in [2], minimality [7], labelled RPO [8], PSN by standardisation [36], or intersection types [20]) are not all easy to adapt/extend to reduction modulo and other formalisms. We believe that the proof technique used here is really flexible since only a small part of the proof depends on the calculus itself: the simulation result 8 and the start relation result (Theorem 11). We think however that a more direct proof of PSN would be possible by incorporating a memory operator inside  $\lambda lxr$  in such a way that the resulting calculus becomes non-erasing. This would require for example a proof to show that weak normalisation implies strong normalisation in the new calculus.

Using the PSN result, we believe that we can characterise very neatly the strongly normalising terms of  $\lambda lxr$  as the terms typable with intersection types, as it the case in  $\lambda$ -calculus as well as in the explicit substitution calculus  $\lambda x$  [43].

First-order term syntax for  $\lambda lxr$  via de Bruijn indices, or other special notation to avoid  $\alpha$ -conversion as for example explicit scoping [34] or also director strings [54], would make implementation easier and bring the term calculus even closer to the proof-nets model which has no notion of binding.

Connections with similar approaches relating graph formalisms to term calculi, as for example that of Hasegawa [28] also merits further investigations.

Another interesting issue would be to study the combination of  $\lambda |xr|$  with other (higher-order) reduction systems, particularly with eta-reduction (contraction or expansion) rules. Because of the linearity constraints, no additional side-condition is needed to specify such kind of rules  $\lambda x.t x \longleftrightarrow^* t$ .

## Acknowledgments

We are very grateful to R. Dyckhoff, J. Forest, B. Guillaume, O. Laurent, P. Lescanne, R. Matthes, J. Mc Kinna and V. van Oostrom for valuable comments and suggestions.

# Appendix A

**Remark 1** The notions of multiplicity and term complexity are invariant under conversion by  $\equiv$ .

**Proof.** Indeed, as two non-trivial cases, let us consider the case  $C_w^{x|v}(C_x^{y|z}(t)) \equiv C_w^{x|y}(C_x^{z|v}(t))$  for which we have:

$$\mathcal{M}_w(\mathcal{C}^{x|v}_w(\mathcal{C}^{y|z}_x(t))) = \mathcal{M}_y(t) + \mathcal{M}_z(t) + \mathcal{M}_v(t) + 2 = \mathcal{M}_w(\mathcal{C}^{x|y}_w(\mathcal{C}^{z|v}_x(t)))$$

and let us consider the case  $t\langle x \setminus u \rangle \langle y \setminus v \rangle \equiv t\langle y \setminus v \rangle \langle x \setminus u \rangle$ , where  $y \notin FV(u)$  and  $x \notin FV(v)$ , for which we have:

- if 
$$w \in FV(t) \setminus \{x, y\}$$
, then  $\mathcal{M}_w(t\langle x \setminus u \rangle \langle y \setminus v \rangle) = \mathcal{M}_w(t) = \mathcal{M}_w(t\langle y \setminus v \rangle \langle x \setminus u \rangle);$ 

- if  $w \in FV(u)$ , then  $\mathcal{M}_w(t\langle x \setminus u \rangle \langle y \setminus v \rangle) = \mathcal{M}_x(t) \cdot (\mathcal{M}_w(u) + 1) = \mathcal{M}_w(t\langle y \setminus v \rangle \langle x \setminus u \rangle);$ - if  $w \in FV(v)$ , then  $\mathcal{M}_w(t\langle x \setminus u \rangle \langle y \setminus v \rangle) = \mathcal{M}_v(t) \cdot (\mathcal{M}_w(v) + 1) = \mathcal{M}_w(t\langle y \setminus v \rangle \langle x \setminus u \rangle).$ 

We then obtain

$$\mathcal{S}(t\langle x \backslash u \rangle \langle y \backslash v \rangle) = \mathcal{S}(t) + \mathcal{M}_x(t) \cdot \mathcal{S}(u) + \mathcal{M}_y(t) \cdot \mathcal{S}(v) = \mathcal{S}(t\langle y \backslash v \rangle \langle x \backslash u \rangle). \quad \Box$$

**Lemma 3** (Decrease of multiplicities and term complexities)

- If  $t \longrightarrow_{\mathsf{X}\mathsf{f}} u$ , then for all  $w \in \mathcal{FV}(t)$ ,  $\mathcal{M}_w(t) \geqslant \mathcal{M}_w(u)$ .
- If  $t \longrightarrow_{xr} u$ , then  $S(t) \geqslant S(u)$ . Moreover,
- If  $t \longrightarrow Var, Weak1, Cont, Comp u, then <math>S(t) > S(u)$ .

#### Proof.

- Since the congruence steps preserve the multiplicity, we only have to consider the basic reduction relation. This is done by induction on the reduction step, the base cases being shown in Fig. 11. Note that we use the fact that  $\mathcal{M}_x(t) > 0$  (provided  $x \in \mathcal{FV}(t)$ ) and  $\mathcal{S}(t) > 0$ .
- Since the congruence steps preserve the term complexity, we only have to consider the basic reduction relation. The proof can be done by structural induction on terms. The inductive cases are straightforward by using by the first point. We show in Fig. 12 the root reductions.

The last line holds because the term complexity measure forgets weakenings, contractions, abstractions and applications.  $\Box$ 

**Remark 2** The polynomial interpretation  $\mathcal{I}(\underline{\ })$  is invariant under conversion by  $\equiv$ .

**Proof.** The polynomial interpretation is blind to the variables' names, so it is trivially sound with respect to  $\alpha$ -equivalence, and rules  $A_c$ ,  $C_c$ ,  $P_c$  and  $P_w$ . For the equivalence rule  $P_s$  we have by commutativity of multiplication the following equality:

$$\mathcal{I}(t\langle x \backslash u \rangle \langle y \backslash v \rangle) = \mathcal{I}(t) \cdot \mathcal{I}(u) \cdot \mathcal{I}(v) = \mathcal{I}(t\langle y \backslash v \rangle \langle x \backslash u \rangle)$$

For the equivalence rule  $P_{cs}$  we have:

$$\mathcal{I}(\mathcal{C}_{w}^{y|z}(t)\langle x \backslash u \rangle) = 2 \cdot \mathcal{I}(t) \cdot \mathcal{I}(u) + 2 \cdot \mathcal{I}(t) = \mathcal{I}(\mathcal{C}_{w}^{y|z}(t\langle x \backslash u \rangle)). \qquad \Box$$

**Lemma 4 (Decrease of**  $\mathcal{I}(\underline{\ })$ ). *If*  $t \longrightarrow_{xr} u$  *and the reduction is neither Var, Weak1, Cont nor Comp, then*  $\mathcal{I}(t) > \mathcal{I}(u)$ .

**Proof.** Since the congruence steps preserve the interpretation, we only have to consider the basic reduction relation. The proof can be done by structural induction on terms. The cases of root reductions are:

	Left-hand side	Right-hand side
(Var)	$x\langle x \backslash u \rangle \longrightarrow$	u
	$\mathcal{M}_w(u) + 1 >$	
(Weak1)	$\mathcal{W}_x(t)\langle x \backslash u \rangle \longrightarrow$	$\mathcal{W}_{FV(u)}(t)$
$w \in FV(u)$	$\mathcal{M}_w(u) + 1 >$	
$w \in FV(t) \setminus \{x\}$	$\mathcal{M}_w(t) =$	$\mathcal{M}_w(t)$
(Cont)	$C_x^{y z}(t)\langle x \backslash u \rangle \longrightarrow$	$\frac{\mathcal{M}_w(t)}{\mathcal{C}_{\Phi}^{\Psi \Upsilon}(t\langle y \backslash \mathcal{R}_{\Psi}^{\Phi}(u) \rangle \langle z \backslash \mathcal{R}_{\Upsilon}^{\Phi}(u) \rangle)}$
$w \in FV(u) = \Phi$	$\left  \left( \mathcal{M}_y(t) + \mathcal{M}_z(t) + 1 \right) \cdot \left( \mathcal{M}_w(u) + 1 \right) \right  > 1$	$\mathcal{M}_y(t) \cdot (\mathcal{M}_w(u) + 1) + \mathcal{M}_z(t) \cdot (\mathcal{M}_w(u) + 1) + 1$
$w \in FV(t) \setminus \{x, y, z\}$	$\begin{array}{ccc} \mathcal{M}_w(t) & = \\ & t \langle y \backslash v \rangle \langle x \backslash u \rangle \longrightarrow \end{array}$	$\mathcal{M}_w(t)$
(Comp)		
$w \in FV(t) \setminus \{y\}$	$\mathcal{M}_w(t) =$	
$w \in FV(v) \setminus \{x\}$	$\mathcal{M}_y(t) \cdot (\mathcal{M}_w(v) + 1) =$	$\mathcal{M}_y(t) \cdot (\mathcal{M}_w(v) + 1)$
$w \in FV(u)$	$ \mathcal{M}_{y}(t) \cdot (\mathcal{M}_{x}(v) + 1) \cdot (\mathcal{M}_{w}(u) + 1) >                                $	$\mathcal{M}_y(t) \cdot (\mathcal{M}_x(v) \cdot (\mathcal{M}_w(u) + 1) + 1)$
Other x		
$w \in FV(t) \setminus \{x\}$	$\mathcal{M}_w(t) =$	
$w \in FV(u)$	$\mathcal{M}_w(u) =$	$\mathcal{M}_w(u)$
(Merge)	${\cal C}^{y z}_{w'}({\cal W}_y(t)) \longrightarrow$	$\mathcal{R}^z_{w'}(t)$
w = w'	$\mathcal{M}_z(t) + 2 >$	- ( )
$w \neq w'$	$\mathcal{M}_w(t) =$	
(WSubs)	$t\langle x \backslash \mathcal{W}_y(u) \rangle \longrightarrow$	
$w \in FV(t) \setminus \{x\}$	$\mathcal{M}_w(t) =$	
w = y	$\mathcal{M}_x(t) \cdot (1+1) >$	
$w \in FV(u)$	$\mathcal{M}_x(t) \cdot (\mathcal{M}_w(u) + 1) =$	
(CSubs)	$\mathcal{C}_z^{x y}(t\langle y'\backslash u\rangle) \longrightarrow$	10 1 - 17
$w \in FV(t) \setminus \{y'\}$	$\mathcal{M}_w(t) =$	
w = z	$\mathcal{M}_{y'}(t) \cdot (\mathcal{M}_x(u) + \mathcal{M}_y(u) + 2) + 1 >$	$\mathcal{M}_{y'}(t) \cdot (\mathcal{M}_x(u) + \mathcal{M}_y(u) + 1 + 1)$
$w \in FV(u)$	$\mathcal{M}_{y'}(t) \cdot (\mathcal{M}_w(u) + 1) = t \longrightarrow$	$\mathcal{M}_{y'}(t) \cdot (\mathcal{M}_w(u) + 1)$
Other r		
	$\mathcal{M}_w(t) =$	$\mathcal{M}_w(t')$

Fig. 11. Decrease of multiplicities.

Left-hand side		Right-hand side
$x\langle x \backslash u \rangle$	∨ <sub>ar</sub>	u
$1 + \mathcal{S}(u)$	>	$\mathcal{S}(u)$
$\mathcal{W}_x(t)\langle xackslash u angle$	→Weak1	$\mathcal{W}_{FV(u)}(t)$
$\mathcal{S}(t) + \mathcal{S}(u)$	>	${\cal S}(t)$
$\mathcal{C}_x^{y z}(t)\langle x \backslash u \rangle$	$\longrightarrow_{Cont}$	$\mathcal{C}_{\Phi}^{\Psi \Upsilon}(t\langle y   \mathcal{R}_{\Psi}^{\Phi}(u) \rangle \langle z   \mathcal{R}_{\Upsilon}^{\Phi}(u) \rangle)$
$S(t) + S(u) \cdot (\mathcal{M}_y(t) + \mathcal{M}_z(t) + 1)$	>	$\mathcal{S}(t) + \mathcal{S}(u) \cdot \mathcal{M}_y(t) + \mathcal{S}(u) \cdot \mathcal{M}_z(t)$
$t\langle y \backslash v \rangle \langle x \backslash u \rangle$	$\longrightarrow$ Comp	$t\langle y \backslash v \langle x \backslash u \rangle \rangle$
$S(t) + \mathcal{M}_y(t) \cdot (S(v) + (\mathcal{M}_x(v) + 1) \cdot S(u))$	>	$S(t) + \mathcal{M}_y(t) \cdot (S(v) + \mathcal{M}_x(v) \cdot S(u))$
t	→Other xr	t'
$\mathcal{S}(t)$		$\mathcal{S}(t')$

Fig. 12. Decrease of term complexity.

Rule	Left-hand side		Right-hand side
(Abs)	$(2 \cdot \mathcal{I}(t) + 2) \cdot (\mathcal{I}(u) + 1)$	>	$2 \cdot \mathcal{I}(t) \cdot (\mathcal{I}(u) + 1) + 2$
(App1)	$(2 \cdot (\mathcal{I}(t) + \mathcal{I}(v)) + 2) \cdot (\mathcal{I}(u) + 1)$	1) > 2	$2 \cdot (\mathcal{I}(t) \cdot (\mathcal{I}(u) + 1) + \mathcal{I}(v)) + 2$
(App2)	$(2 \cdot (\mathcal{I}(t) + \mathcal{I}(v)) + 2) \cdot (\mathcal{I}(u) + 1)$	1) > 2	$2 \cdot (\mathcal{I}(t) + \mathcal{I}(v) \cdot (\mathcal{I}(u) + 1)) + 2$
(Weak2)	$(\mathcal{I}(t)+1)\cdot(\mathcal{I}(u)+1)$	>	$\mathcal{I}(t)\cdot(\mathcal{I}(u)+1)+1$
(WAbs)	$2 \cdot (\mathcal{I}(t) + 1) + 2$	>	$2 \cdot \mathcal{I}(t) + 2 + 1$
(WApp1)	$2 \cdot (\mathcal{I}(u) + 1 + \mathcal{I}(v)) + 2$	>	$2 \cdot (\mathcal{I}(u) + \mathcal{I}(v)) + 2 + 1$
(WApp2)	$2 \cdot (\mathcal{I}(u) + \mathcal{I}(v) + 1) + 2$	>	$2 \cdot (\mathcal{I}(u) + \mathcal{I}(v)) + 2 + 1$
(WSubs)	$\mathcal{I}(t)\cdot(\mathcal{I}(u)+1+1)$	>	$\mathcal{I}(t)\cdot(\mathcal{I}(u)+1)+1$
(Merge)	$2 \cdot (\mathcal{I}(t) + 1)$	>	$\mathcal{I}(t)$
(Cross)	$2 \cdot (\mathcal{I}(t) + 1)$	>	$2 \cdot \mathcal{I}(t) + 1$
(CAbs)	$2\cdot(2\cdot\mathcal{I}(t)+2)$	>	$2\cdot(2\cdot\mathcal{I}(t))+2$
(CApp1)	$2 \cdot (2 \cdot (\mathcal{I}(t) + \mathcal{I}(u)) + 2)$	>	$2 \cdot (2 \cdot \mathcal{I}(t) + \mathcal{I}(u)) + 2$
(CApp2)	$2 \cdot (2 \cdot (\mathcal{I}(t) + \mathcal{I}(u)) + 2)$	>	$2 \cdot (\mathcal{I}(t) + 2 \cdot \mathcal{I}(u)) + 2$
(CSubs)	$2 \cdot \mathcal{I}(t) \cdot (\mathcal{I}(u) + 1)$	>	$\mathcal{I}(t)\cdot(2\cdot\mathcal{I}(u)+1)$

**Lemma 8** Let t be a  $\lambda$ Ixr-term and let f be a final-modulo node of NT(t).

- 1. If f is a **W**-node whose c edge is labelled with x, then there exist a term t' such that  $t \cong \mathcal{W}_x(t')$  and  $|t| = |\mathcal{W}_x(t')|$ .
- 2. If f is a  $\mathbb{C}$ -node whose c edge is labelled with x, then there exist a term t' such that  $t \cong \mathcal{C}_x^{y|z}(t')$  and  $|t| = |\mathcal{C}_x^{y|z}(t')|$ .
- 3. If f is an  $\aleph$ -node, then there exist a term t' and a variable x such that  $t \cong \lambda x.t'$  and  $|t| = |\lambda x.t'|$ .

**Proof.** By induction on |t|. We start by using Lemma 7 and thus assume t to be of a particular shape (without increasing its size).

- If  $t \cong \lambda y.u$ , then if f is a final-modulo  $\Re$ -node of NT(t), (3) trivially holds. Otherwise, f is a final-modulo node of NT(u).

If f is a **W**-node, we have  $u \cong \mathcal{W}_{x}(u')$  and  $|u| = |\mathcal{W}_{x}(u')|$  by the i.h.(1) so that  $t \cong \lambda y.\mathcal{W}_{x}(u')$  and  $|t| = |\lambda y.\mathcal{W}_{x}(u')|$ . If  $x \neq y$ , then  $\lambda y.\mathcal{W}_{x}(u') \cong_{\mathsf{Wabs}} \mathcal{W}_{x}(\lambda y.u')$  and we are done. If x = y, the **W**-node f is not final-modulo in NT(t) which leads to a contradiction.

If f is a C-node, we have  $u \cong C_x^{y|z}(u')$  and  $|u| = |C_x^{y|z}(u')|$  by the i.h.(2) so that  $t \cong \lambda w. C_x^{y|z}(u')$  and  $|t| = |\lambda w. C_x^{y|z}(u')|$ . If  $x \neq w$ , then  $\lambda w. C_x^{y|z}(u') \cong_{\mathsf{CAbs}} C_x^{y|z}(\lambda x. u')$  and we are done. If x = w, the C-node f is not final-modulo in NT(t) which leads to a contradiction.

- If  $t \cong W_y(u)$ , then if f is a **W**-node labelled with x = y, (1) trivially holds. Otherwise,  $x \neq y$  and f is also final-modulo in NT(u).

If f is a **W**-node labelled by a variable  $x \neq y$ , we have  $u \cong \mathcal{W}_x(u')$  and  $|u| = |\mathcal{W}_x(u')|$  by the i.h.(1), thus,  $\mathcal{W}_y(u) \cong \mathcal{W}_y(\mathcal{W}_x(u')) \cong_{\mathsf{P}_w} \mathcal{W}_x(\mathcal{W}_y(u'))$  which concludes this case.

The cases where f is a  $\aleph$ -node or a  $\mathbb{C}$ -node are very similar.

- If  $t \cong C_w^{y'|z'}(u)$ , then if f is a C-node labelled with x = w, (2) trivially holds. Otherwise, f is already final-modulo in NT(u).

If f is a **W**-node, we have  $u \cong \mathcal{W}_x(u')$  and  $|u| = |\mathcal{W}_x(u')|$  by the i.h.(1) so that  $t \cong \mathcal{C}_w^{y'|z'}(\mathcal{W}_x(u'))$ . If  $x \neq y', z'$ , then  $\mathcal{C}_w^{y'|z'}(\mathcal{W}_x(u')) \cong_{Cross} \mathcal{W}_x(\mathcal{C}_w^{y'|z'}(u'))$  and we are done. Otherwise, the **W**-node f is not final-modulo in NT(t) which leads to a contradiction.

The cases where f is a  $\aleph$ -node or a C-node labelled with a variable different from w are very similar.

- Suppose  $t \cong (xt_1 \dots t_n) \langle x_{n+1} \backslash t_{n+1} \rangle \dots \langle x_m \backslash t_m \rangle$ .

We know that if f is a final-modulo  $\mathbf{W}/\mathbf{C}/\aleph$  node of NT(t), then either it was already in T(t) or it was created by the TB-reduction of T(t). But the only TB-reductions that can be applied on T(t) are either the Ax-cut reduction steps concerning the sequence of applications, which do not create new final-modulo nodes, or reductions inside some box containing  $T(t_i)$  for some i. As a consequence, f cannot be a  $\aleph$ -node, and it is also final-modulo in some  $NT(t_i)$ .

Hence, we apply the induction hypothesis on  $t_j$  to get  $t_j \cong \mathcal{W}_x(t_j')$  (respectively,  $t_j \cong \mathcal{C}_x^{y|z}(t_j')$ ) with the same size as  $t_j$ . Let  $t_i' = t_i$  for all  $i \neq j$ . If  $j \leq n$ , we use rules WApp2, WApp1, Weak2 (respectively, CApp2, CApp1,  $P_{cs}$ ) to get the congruence  $t \cong \mathcal{W}_x((xt_1' \dots t_n')\langle x_{n+1} \setminus t_{n+1}' \rangle \dots \langle x_m \setminus t_m' \rangle)$  (respectively, the congruence  $t \cong \mathcal{C}_x^{y|z}((xt_1' \dots t_n')\langle x_{n+1} \setminus t_{n+1}' \rangle \dots \langle x_m \setminus t_m' \rangle)$ ). Otherwise, we use WSubs and Weak2 (respectively, CSubs and  $P_{cs}$ ).

- Suppose  $t \cong W_v(u) \langle v \backslash u_0 \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u} \rangle$ , where the notation  $\langle \overrightarrow{x} \backslash \overrightarrow{u} \rangle$  is used to abbreviate  $\langle x_1 \backslash u_1 \rangle \dots \langle x_m \backslash u_m \rangle$  with  $m \geqslant 0$  and  $x_i \notin FV(u)$  for all i.

Again, the only TB-reductions that can be applied on T(t) are either in T(u) or inside some box containing  $T(u_i)$  for some i.

In the former case we use the i.h. on u to get  $u \cong \mathcal{W}_x(u')$  (respectively,  $u \cong \mathcal{C}_x^{y|z}(u')$  or  $u \cong \lambda x.u'$ ). Then we use rules Weak2 (respectively, Cross,  $P_{cs}$  or WAbs, Abs) to get  $t \cong \mathcal{W}_x(\mathcal{W}_v(u')) \times (v \setminus u_0) \times (\overrightarrow{x} \setminus \overrightarrow{u})$ ) (respectively,  $t \cong \mathcal{C}_x^{y|z}(\mathcal{W}_v(u') \times (v \setminus u_0) \times (\overrightarrow{x} \setminus \overrightarrow{u}))$ ) or  $t \cong \lambda x.(\mathcal{W}_v(u') \times (v \setminus u_0) \times (\overrightarrow{x} \setminus \overrightarrow{u}))$ ). In the latter case, notice that f cannot be a  $\otimes$ -node and we use the induction hypothesis on  $u_i$  to get

 $u_j \cong \mathcal{W}_x(u_j')$  (respectively,  $u_j = \mathcal{C}_x^{y|z}(u_j')$ ). Let  $u_i' = u_i$  for all  $i \neq j$ . We use rules WSubs, Weak2 (respectively, CSubs,  $\mathsf{P}_{\mathsf{cs}}$ ), and we get  $t \cong \mathcal{W}_x(\mathcal{W}_v(u) \langle v \backslash u_0' \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u}' \rangle)$  (respectively,  $t \cong \mathcal{C}_x^{y|z}(\mathcal{W}_v(u) \langle v \backslash u_0' \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u}' \rangle)$ ).

- Suppose  $t \cong C_v^{v_1|v_2}(u) \langle v \backslash u_0 \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u} \rangle$ , where the notation  $\langle \overrightarrow{x} \backslash \overrightarrow{u} \rangle$  is used to abbreviate  $\langle x_1 \backslash u_1 \rangle \dots \langle x_m \backslash u_m \rangle$  with  $m \geqslant 0$  and  $x_i \notin FV(u)$  for all i.

Again, the only TB-reductions that can be applied on T(t) are either inside T(u) or inside a some box containing  $T(u_i)$  for some i.

In the former case we use the i.h. on u to get  $u \cong \mathcal{W}_x(u')$  (respectively,  $u \cong \mathcal{C}_x^{y|z}(u')$  or  $u \cong \lambda x.u'$ ). Then we use rules Cross, Weak2 (respectively,  $\mathsf{P_{cs}}$  or CAbs, Abs) to get  $t \cong \mathcal{W}_x(\mathcal{C}_v^{v_1|v_2}(u')\langle v \setminus u_0 \rangle \langle \overrightarrow{x} \setminus \overrightarrow{u} \rangle)$  (respectively,  $t \cong \mathcal{C}_x^{y|z}(\mathcal{C}_v^{v_1|v_2}(u')\langle v \setminus u_0 \rangle \langle \overrightarrow{x} \setminus \overrightarrow{u} \rangle)$  or  $t \cong \lambda x.(\mathcal{C}_v^{v_1|v_2}(u')\langle v \setminus u_0 \rangle \langle \overrightarrow{x} \setminus \overrightarrow{u} \rangle)$ ).

In the latter case, notice that f cannot be a  $\[ \otimes \]$ -node and we use the induction hypothesis on  $u_j$  to get  $u_j \cong \mathcal{W}_x(u_j')$  (respectively,  $u_j = \mathcal{C}_x^{y|z}(u_j')$ ). Let  $u_i' = u_i$  for all  $i \neq j$ . We use rules WSubs, Weak2 (respectively, CSubs,  $P_{cs}$ ), and we get  $t \cong \mathcal{W}_x(\mathcal{C}_v^{v_1|v_2}(u)\langle v \backslash u_0' \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u}' \rangle)$  (respectively,  $t \cong \mathcal{C}_x^{y|z}(\mathcal{C}_v^{v_1|v_2}(u)\langle v \backslash u_0' \rangle \langle \overrightarrow{x} \backslash \overrightarrow{u}' \rangle)$ ).  $\square$ 

**Lemma 11** For all  $\lambda$ -terms  $t_1$  and  $t_2$  such that  $x \in \mathcal{FV}(t_1)$ ,

$$\mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(t_1))\langle x \backslash \mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_2)) \rangle) \longrightarrow_{\mathit{Xr}}^{*} \mathcal{A}(t_1\{x \backslash t_2\})$$

where  $\Phi := (\mathcal{FV}(t_1) \setminus \{x\}) \cap \mathcal{FV}(t_2)$ , provided that the former term is linear.

**Proof.** By induction on the size of  $t_1$ . We shall always suppose, by Barendregt's convention, that  $x \notin \mathcal{FV}(t_2)$ . Moreover, whenever we use the induction hypothesis throughout the proof, it will be applied to a term which is linear (Lemma 1, Property 1).

1. If  $t_1$  is a variable, then it must be x, so there is no contraction and

$$x\langle x \backslash \mathcal{A}(t_2) \rangle \longrightarrow_{\mathsf{Var}} \mathcal{A}(t_2) = \mathcal{A}(x\{x \backslash t_2\})$$

2. If  $t_1 = (t \ u)$ , then by  $\alpha$ -equivalence we can suppose  $x \notin \mathcal{FV}(t_2)$ , and let

$$\Sigma := \mathcal{FV}(t_2) \cap \mathcal{FV}(t) \cap \mathcal{FV}(u)$$

$$\Lambda := (\mathcal{FV}(t_2) \cap \mathcal{FV}(t)) \setminus (\mathcal{FV}(t_2) \cap \mathcal{FV}(t) \cap \mathcal{FV}(u))$$

$$\Psi := (\mathcal{FV}(t_2) \cap \mathcal{FV}(u)) \setminus (\mathcal{FV}(t_2) \cap \mathcal{FV}(t) \cap \mathcal{FV}(u))$$

$$\Xi := (\mathcal{FV}(t) \cap \mathcal{FV}(u)) \setminus (\mathcal{FV}(t_2) \cap \mathcal{FV}(t) \cap \mathcal{FV}(u))$$

$$\Theta := \mathcal{FV}(t_2) \setminus (\mathcal{FV}(t) \cup \mathcal{FV}(u))$$

Note that  $\Phi = \mathcal{FV}(t_1) \cap \mathcal{FV}(t_2)$  is a permutation of  $\Sigma, \Lambda, \Psi$ .

Also note that  $\mathcal{FV}(t) \cap \mathcal{FV}(u)$  is a permutation of  $\Sigma$ ,  $\Xi$  and hence

$$\mathcal{A}(t_1) \equiv \mathcal{C}_{\Sigma,\Xi}^{\Sigma_3,\Xi_3|\Sigma_4,\Xi_4}(\mathcal{R}_{\Sigma_3,\Xi_3}^{\Sigma,\Xi}(\mathcal{A}(t)) \ \mathcal{R}_{\Sigma_4,\Xi_4}^{\Sigma,\Xi}(\mathcal{A}(u)))$$

We then have:

$$\begin{array}{l} \mathcal{C}^{\Sigma_{1},\Lambda_{1},\Psi_{1}\mid\Sigma_{2},\Lambda_{2},\Psi_{2}}_{\Sigma,\Lambda,\Psi}(\mathcal{R}^{\Sigma,\Lambda,\Psi}_{\Sigma_{1},\Lambda_{1},\Psi_{1}}(\mathcal{A}(t_{1}))\langle x\backslash\mathcal{R}^{\Sigma,\Lambda,\Psi}_{\Sigma_{2},\Lambda_{2},\Psi_{2}}(\mathcal{A}(t_{2}))\rangle) \\ = \mathcal{C}^{\Sigma_{1},\Lambda_{1},\Psi_{1}\mid\Sigma_{2},\Lambda_{2},\Psi_{2}}_{\Sigma,\Lambda,\Psi}(\mathcal{C}^{\Sigma_{3},\Xi_{3}\mid\Sigma_{4},\Xi_{4}}_{\Sigma_{1},\Xi}(t'\ u')\langle x\backslash\mathcal{R}^{\Sigma,\Lambda,\Psi}_{\Sigma_{2},\Lambda_{2},\Psi_{2}}(\mathcal{A}(t_{2}))\rangle) \end{array}$$

where  $t' = \mathcal{R}^{\Lambda, \Sigma, \Xi}_{\Lambda_1, \Sigma_3, \Xi_3}(\mathcal{A}(t))$  and  $u' = \mathcal{R}^{\Psi, \Sigma, \Xi}_{\Psi_1, \Sigma_4, \Xi_4}(\mathcal{A}(u))$ . We call this term h.

(a) If  $x \in \mathcal{FV}(t) \cap \mathcal{FV}(u)$ , then x is necessarily in  $\Xi$  (since  $x \notin \mathcal{FV}(t_2)$ ), so  $\Xi$  is a permutation of  $\Xi', x$  for some list  $\Xi'$ . Hence, the contractions  $\mathcal{C}^{\Sigma_3, \Xi_3|\Sigma_4, \Xi_4}_{\Sigma_1, \Xi}()$  are equivalent by  $\Xi$  to  $C_{\Sigma_1,\Xi'_1}^{\Sigma_3,\Xi'_3|\Sigma_4,\Xi'_4}(C_x^{x_3|x_4}(X_x))$  (where  $\Xi'_3,x_3$  and  $\Xi'_4,x_4$  are the corresponding permutations of  $\Xi_3$  and  $\Xi_4$ , respectively). Noticing that  $\mathcal{FV}(t_2)$  is a permutation of  $\Theta, \Sigma, \Lambda, \Psi$ , the term h can be transformed by P<sub>cs</sub> and then by rule Cont to:

$$\mathcal{C}_{\Sigma,\Lambda,\Psi}^{\Sigma_1,\Lambda_1,\Psi_1|\Sigma_2,\Lambda_2,\Psi_2}(\mathcal{C}_{\Sigma_1,\Xi'}^{\Sigma_3,\Xi_3'|\Sigma_4,\Xi_4'}(\mathcal{C}_{\Theta,\Sigma_2,\Lambda_2,\Psi_2}^{\Theta_5,\Sigma_5,\Lambda_5,\Psi_5|\Theta_6,\Sigma_6,\Lambda_6,\Psi_6}(v_1)))$$

where

$$\begin{array}{ll} v_1 := & (t'\ u') \langle x_3 \backslash \mathcal{R}_{\Theta_5, \Sigma_5, \Lambda_5, \Psi_5}^{\Theta, \Sigma, \Lambda, \Psi}(\mathcal{A}(t_2)) \rangle \langle x_4 \backslash \mathcal{R}_{\Theta_6, \Sigma_6, \Lambda_6, \Psi_6}^{\Theta, \Sigma, \Lambda, \Psi}(\mathcal{A}(t_2)) \rangle \\ \longrightarrow_{\mathsf{App1}} & (t' \langle x_3 \backslash \mathcal{R}_{\Theta_5, \Sigma_5, \Lambda_5, \Psi_5}^{\Theta, \Sigma, \Lambda, \Psi}(\mathcal{A}(t_2)) \rangle \ u') \langle x_4 \backslash \mathcal{R}_{\Theta_6, \Sigma_6, \Lambda_6, \Psi_6}^{\Theta, \Sigma, \Lambda, \Psi}(\mathcal{A}(t_2)) \rangle \\ \longrightarrow_{\mathsf{App2}} & t' \langle x_3 \backslash \mathcal{R}_{\Theta_5, \Sigma_5, \Lambda_5, \Psi_5}^{\Theta, \Sigma, \Lambda, \Psi}(\mathcal{A}(t_2)) \rangle \ u' \langle x_4 \backslash \mathcal{R}_{\Theta_6, \Sigma_6, \Lambda_6, \Psi_6}^{\Theta, \Sigma, \Lambda, \Psi}(\mathcal{A}(t_2)) \rangle \end{array}$$

which we call  $v'_1$ . Now we rearrange the contractions:

$$\begin{split} \mathcal{C}_{\Sigma,\Lambda,\Psi}^{\Sigma_{1},\Lambda_{1},\Psi_{1}\mid\Sigma_{2},\Lambda_{2},\Psi_{2}}(\mathcal{C}_{\Sigma_{1},\Xi'}^{\Sigma_{3},\Xi'_{3}\mid\Sigma_{4},\Xi'_{4}}(\mathcal{C}_{\Theta,\Sigma_{2},\Lambda_{2},\Psi_{2}}^{\Theta_{5},\Sigma_{5},\Lambda_{5},\Psi_{5}\mid\Theta_{6},\Sigma_{6},\Lambda_{6},\Psi_{6}}(v'_{1})))\\ &\equiv \mathcal{C}_{\Theta}^{\Theta_{5}\mid\Theta_{6}}(\mathcal{C}_{\Xi'}^{\Xi'_{3}\mid\Xi'_{4}}(\mathcal{C}_{\Lambda}^{\Lambda_{1}\mid\Lambda_{2}}(\mathcal{C}_{\Lambda_{2}}^{\Lambda_{5}\mid\Lambda_{6}}(\mathcal{C}_{\Psi}^{\Psi_{1}\mid\Psi_{2}}(\mathcal{C}_{\Psi_{2}}^{\Psi_{5}\mid\Psi_{6}}(v_{2}))))))\\ &\qquad \qquad \text{where } v_{2} := \mathcal{C}_{\Sigma}^{\Sigma_{1}\mid\Sigma_{2}}(\mathcal{C}_{\Sigma_{1}}^{\Sigma_{3}\mid\Xi_{4}}(\mathcal{C}_{\Sigma_{2}}^{\Sigma_{5}\mid\Sigma_{6}}(v'_{1})))\\ &\equiv \mathcal{C}_{\Theta}^{\Theta_{5}\mid\Theta_{6}}(\mathcal{C}_{\Xi'}^{\Xi'_{3}\mid\Xi'_{4}}(\mathcal{C}_{\Lambda}^{\Lambda_{2}\mid\Lambda_{6}}(\mathcal{C}_{\Lambda_{2}}^{\Lambda_{1}\mid\Lambda_{5}}(\mathcal{C}_{\Psi}^{\Psi_{5}\mid\Psi_{2}}(\mathcal{C}_{\Psi_{2}}^{\Psi_{1}\mid\Psi_{6}}(v'_{2}))))))\\ &\qquad \qquad \text{where } v'_{2} := \mathcal{C}_{\Sigma}^{\Sigma_{1}\mid\Sigma_{2}}(\mathcal{C}_{\Sigma_{1}}^{\Sigma_{3}\mid\Sigma_{5}}(\mathcal{C}_{\Sigma_{2}}^{\Sigma_{4}\mid\Sigma_{6}}(v'_{1})))\\ &\equiv \mathcal{C}_{\Theta,\Xi',\Lambda,\Psi,\Sigma}^{\Theta_{5},\Xi'_{3},\Lambda_{2},\Psi_{5},\Sigma_{1}\mid\Theta_{6},\Xi'_{4},\Lambda_{6},\Psi_{2},\Sigma_{2}}(\mathcal{C}_{\Lambda_{2},\Sigma_{1}}^{\Lambda_{1},\Sigma_{3}\mid\Lambda_{5},\Sigma_{5}}(\mathcal{C}_{\Psi_{2},\Sigma_{2}}^{\Psi_{1},\Sigma_{4}\mid\Psi_{6},\Sigma_{6}}(v'_{1}))) \end{split}$$

This term can be reduced by CApp2 and then by CApp1 to

$$h' := \mathcal{C}_{\Theta,\Xi',\Lambda,\Psi,\Sigma}^{\Theta_5,\Xi'_3,\Lambda_2,\Psi_5,\Sigma_1|\Theta_6,\Xi'_4,\Lambda_6,\Psi_2,\Sigma_2}(p \ q)$$

where

$$\begin{split} p &:= \mathcal{C}^{\Lambda_{1},\Sigma_{3}|\Lambda_{5},\Sigma_{5}}_{\Lambda_{2},\Sigma_{1}}(t'\langle x_{3} \backslash \mathcal{R}^{\Theta,\Sigma,\Lambda,\Psi}_{\Theta_{5},\Sigma_{5},\Lambda_{5},\Psi_{5}}(\mathcal{A}(t_{2})) \rangle) \\ &= \mathcal{R}^{\Theta,\Xi',\Lambda,\Psi,\Sigma}_{\Theta_{5},\Xi'_{3},\Lambda_{2},\Psi_{5},\Sigma_{1}}(\mathcal{C}^{\Lambda_{1},\Sigma_{3}|\Lambda_{5},\Sigma_{5}}_{\Lambda,\Sigma}(\mathcal{R}^{\Lambda,\Sigma}_{\Lambda_{1},\Sigma_{3}}(\mathcal{R}^{x}_{x_{3}}(\mathcal{A}(t))) \langle x_{3} \backslash \mathcal{R}^{\Sigma,\Lambda}_{\Sigma_{5},\Lambda_{5}}(\mathcal{A}(t_{2})) \rangle)) \\ q &:= \mathcal{C}^{\Psi_{1},\Sigma_{4}|\Psi_{6},\Sigma_{6}}_{\Psi_{2},\Sigma_{2}}(u'\langle x_{4} \backslash \mathcal{R}^{\Theta,\Sigma,\Lambda,\Psi}_{\Theta_{6},\Sigma_{6},\Lambda_{6},\Psi_{6}}(\mathcal{A}(t_{2})) \rangle) \\ &= \mathcal{R}^{\Theta,\Xi',\Lambda,\Psi,\Sigma}_{\Theta_{5},\Xi'_{4},\Lambda_{6},\Psi_{2},\Sigma_{2}}(\mathcal{C}^{\Psi_{1},\Sigma_{4}|\Psi_{6},\Sigma_{6}}_{\Psi,\Sigma}(\mathcal{R}^{\Psi,\Sigma}_{\Psi_{1},\Sigma_{4}}(\mathcal{R}^{x}_{x_{4}}(\mathcal{A}(u))) \langle x_{4} \backslash \mathcal{R}^{\Sigma,\Psi}_{\Sigma_{6},\Psi_{6}}(\mathcal{A}(t_{2})) \rangle)) \end{split}$$

We can now apply the induction hypothesis to both subterms and we get:

$$p \longrightarrow_{\mathsf{xr}}^{*} p' := \mathcal{R}_{\Theta_{5},\Xi'_{3},\Lambda_{2},\Psi_{5},\Sigma_{1}}^{\Theta,\Xi',\Lambda,\Psi,\Sigma}(\mathcal{A}(t\{x\backslash t_{2}\}))$$

$$q \longrightarrow_{\mathsf{xr}}^{*} q' := \mathcal{R}_{\Theta_{6},\Xi'_{4},\Lambda_{6},\Psi_{2},\Sigma_{2}}^{\Theta,\Xi',\Lambda,\Psi,\Sigma}(\mathcal{A}(u\{x\backslash t_{2}\}))$$

So h' reduces to

$$\mathcal{C}_{\Theta,\Xi',\Lambda,\Psi,\Sigma}^{\Theta_5,\Xi'_3,\Lambda_2,\Psi_5,\Sigma_1|\Theta_6,\Xi'_4,\Lambda_6,\Psi_2,\Sigma_2}(p'\ q')$$

which is  $\mathcal{A}(t\{x \setminus t_2\} \ u\{x \setminus t_2\}) = \mathcal{A}((t \ u)\{x \setminus t_2\}).$ 

(b) If  $x \in \mathcal{FV}(t)$  et  $x \notin \mathcal{FV}(u)$ , the term h can be transformed by  $P_{cs}$  to:

$$\begin{array}{c} \mathcal{C}_{\Sigma,\Lambda,\Psi}^{\Sigma_{1},\Lambda_{1},\Psi_{1}\mid\Sigma_{2},\Lambda_{2},\Psi_{2}}(\mathcal{C}_{\Sigma_{1},\Xi}^{\Sigma_{3},\Xi_{3}\mid\Sigma_{4},\Xi_{4}}((t'\ u')\langle x\backslash\mathcal{R}_{\Sigma_{2},\Lambda_{2},\Psi_{2}}^{\Sigma,\Lambda,\Psi}(\mathcal{A}(t_{2}))\rangle)) \\ \longrightarrow_{\mathsf{App1}} \mathcal{C}_{\Sigma,\Lambda,\Psi}^{\Sigma_{1},\Lambda_{1},\Psi_{1}\mid\Sigma_{2},\Lambda_{2},\Psi_{2}}(\mathcal{C}_{\Sigma_{1},\Xi}^{\Sigma_{3},\Xi_{3}\mid\Sigma_{4},\Xi_{4}}(t'\langle x\backslash\mathcal{R}_{\Sigma_{2},\Lambda_{2},\Psi_{2}}^{\Sigma,\Lambda,\Psi}(\mathcal{A}(t_{2}))\rangle\ u')) \\ \equiv & \mathcal{C}_{\Sigma,\Psi,\Xi_{3}\mid\Sigma_{4},\Psi_{1},\Xi_{4}}^{\Sigma_{1},\Psi_{2},\Xi_{3}\mid\Sigma_{4},\Psi_{1},\Xi_{4}}(\mathcal{C}_{\Sigma_{1},\Lambda_{1}\mid\Sigma_{2},\Lambda_{2}}^{\Sigma_{3},\Lambda_{1}\mid\Sigma_{2},\Lambda_{2}}(t'\langle x\backslash\mathcal{R}_{\Sigma_{2},\Lambda_{2},\Psi_{2}}^{\Sigma,\Lambda,\Psi}(\mathcal{A}(t_{2}))\rangle\ u')) \\ \longrightarrow_{\mathsf{CApp1}} \mathcal{C}_{\Sigma,\Psi,\Xi}^{\Sigma_{1},\Psi_{2},\Xi_{3}\mid\Sigma_{4},\Psi_{1},\Xi_{4}}(\mathcal{C}_{\Sigma_{1},\Lambda}^{\Sigma_{3},\Lambda_{1}\mid\Sigma_{2},\Lambda_{2}}(t'\langle x\backslash\mathcal{R}_{\Sigma_{2},\Lambda_{2},\Psi_{2}}^{\Sigma,\Lambda,\Psi}(\mathcal{A}(t_{2}))\rangle)\ u') \\ = & \mathcal{C}_{\Sigma,\Psi,\Xi}^{\Sigma_{1},\Psi_{2},\Xi_{3}\mid\Sigma_{4},\Psi_{1},\Xi_{4}}(\mathcal{R}_{\Sigma_{1},\Psi_{2},\Xi_{3}}^{\Sigma,\Psi,\Xi}(v)\ \mathcal{R}_{\Sigma_{4},\Psi_{1},\Xi_{4}}^{\Sigma,\Psi,\Xi}(u)) \end{array}$$

where  $v := \mathcal{C}_{\Sigma,\Lambda}^{\Sigma_3,\Lambda_1|\Sigma_2,\Lambda_2}(\mathcal{R}_{\Lambda_1,\Sigma_3}^{\Lambda,\Sigma}(\mathcal{A}(t))\langle x \backslash \mathcal{R}_{\Sigma_2,\Lambda_2}^{\Sigma,\Lambda}(\mathcal{A}(t_2))\rangle)$ , which reduces, by induction hypothesis, to  $\mathcal{A}(t\{x \backslash t_2\})$ . Hence,

$$h \longrightarrow_{\mathsf{xr}}^* \mathcal{C}^{\Sigma_1,\Psi_2,\Xi_3|\Sigma_4,\Psi_1,\Xi_4}_{\Sigma,\Psi,\Xi}(\mathcal{R}^{\Sigma,\Psi,\Xi}_{\Sigma_1,\Psi_2,\Xi_3}(\mathcal{A}(t\{x\backslash t_2\})) \ \mathcal{R}^{\Sigma,\Psi,\Xi}_{\Sigma_4,\Psi_1,\Xi_4}(u))$$

which is exactly  $A(t\{x \setminus t_2\} \ u) = A((t \ u)\{x \setminus t_2\}).$ 

- (c) If  $x \in \mathcal{FV}(t)$  et  $x \notin \mathcal{FV}(u)$  the proof is exactly the same.
- (d) The case  $x \notin \mathcal{FV}(t)$  and  $x \notin \mathcal{FV}(u)$  cannot happen since we assumed  $x \in \mathcal{FV}(t_1)$ .
- 3. If  $t_1 = \lambda y.v$  then by  $\alpha$ -equivalence we can suppose  $y \neq x$  and  $y \notin \mathcal{FV}(t_2)$ .
  - (a) If  $y \in \mathcal{FV}(v)$  then

$$\begin{array}{ll} & \mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\mathcal{R}_{\Upsilon}^{\Phi}(\lambda y.\mathcal{A}(v))\langle x\backslash\mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_{2}))\rangle) \\ = & \mathcal{C}_{\Phi}^{\Upsilon|\Omega}((\lambda y.\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(v)))\langle x\backslash\mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_{2}))\rangle) \\ \longrightarrow_{\mathsf{Abs}} & \mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\lambda y.(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(v))\langle x\backslash\mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_{2}))\rangle)) \\ \longrightarrow_{\mathsf{CAbs}} & \lambda y.\mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(v))\langle x\backslash\mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_{2}))\rangle) \end{array}$$

and we get the result by the induction hypothesis.

(b) If  $v \notin \mathcal{FV}(v)$  then

$$\begin{array}{c} \mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\mathcal{R}_{\Upsilon}^{\Phi}(\lambda y.\mathcal{W}_{y}(\mathcal{A}(v)))\langle x\backslash\mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_{2}))\rangle) \\ = & \mathcal{C}_{\Phi}^{\Upsilon|\Omega}((\lambda y.\mathcal{W}_{y}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(v))))\langle x\backslash\mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_{2}))\rangle) \\ \longrightarrow_{\mathsf{Abs}} & \mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\lambda y.\mathcal{W}_{y}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(v))))\langle x\backslash\mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_{2}))\rangle) \\ \longrightarrow_{\mathsf{Weak2}} & \mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\lambda y.\mathcal{W}_{y}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(v))\langle x\backslash\mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_{2}))\rangle)) \\ \longrightarrow_{\mathsf{CAbs}} & \lambda y.\mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\mathcal{W}_{y}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(v))\langle x\backslash\mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_{2}))\rangle)) \\ \longrightarrow_{\mathsf{Cross}}^{*} & \lambda y.\mathcal{W}_{y}(\mathcal{C}_{\Phi}^{\Upsilon|\Omega}(\mathcal{R}_{\Upsilon}^{\Phi}(\mathcal{A}(v))\langle x\backslash\mathcal{R}_{\Omega}^{\Phi}(\mathcal{A}(t_{2}))\rangle)) \end{array}$$

and we get the result by the induction hypothesis.  $\Box$ 

#### References

- [1] S. Abramsky, Computational interpretations of linear logic, Theoretical Computer Science 111 (1993) 3–57.
- [2] A. Arbiser, E. Bonelli, and A. Ríos, Perpetuality in a lambda calculus with explicit substitutions and composition, Workshop Argentino de Informática Teórica (WAIT), JAHO, 2000.
- [3] M. Abadi, L. Cardelli, P.L. Curien, J.-J. Lévy, Explicit substitutions, Journal of Functional Programming 4 (1) (1991) 375–416.
- [4] A. Asperti, S. Guerrini, The Optimal Implementation of Functional Programming Languages, volume 45 of Cambridge Tracts in Theoretical Computer Science Cambridge University Press, 1998.
- [5] H. Barendregt. The Lambda Calculus: Its Syntax and Semantics, volume 103 of Studies in Logic and the Foundations of Mathematics. North-Holland, 1984. Revised Edition.
- [6] N. Benton, G. Bierman, V. de Paiva, M. Hyland, A term calculus for intuitionistic linear logic, in: J.F. Groote, M. Bezem (Eds.), Proceedings of the 1st International Conference of Typed Lambda Calculus and Applications, Lecture Notes in Computer Science, vol. 664, Springer, Berlin, 1993, pp. 75–90.
- [7] Z.-E.-A. Benaissa, D. Briaud, P. Lescanne, J. Rouyer-Degli, λυ, a calculus of explicit substitutions which preserves strong normalisation, Journal of Functional Programming 6 (5) (1996) 699–722.
- [8] R. Bloo, H. Geuvers, Explicit substitution: on the edge of strong normalization, Theoretical Computer Science 211 (1-2) (1999) 375–395.
- [9] E. Bonelli, Perpetuality in a named lambda calculus with explicit substitutions, Mathematical Structures and Computer Science 11 (1) (2001) 47–90.
- [10] R. Bloo, K. Rose, Preservation of strong normalization in named lambda calculi with explicit substitution and garbage collection, in: Computing Science in the Netherlands, Netherlands Computer Science Research Foundation, 1995, pp. 62–72.
- [11] A. Church, The Calculi of Lambda Conversion, Princeton University Press, 1941.
- [12] S. Cerrito, D. Kesner, Pattern matching as cut elimination, in: G. Longo (Ed.), 14th Annual IEEE Symposium on Logic in Computer Science (LICS), IEEE Computer Society Press, 1999, pp. 98–108.
- [13] The Coq Proof Assistant, <a href="http://coq.inria.fr/">http://coq.inria.fr/>.
- [14] R. Di Cosmo, S. Guerrini, Strong normalization of proof nets modulo structural congruences, in: 10th International Conference on Rewriting Techniques and Applications, in: P. Narendran, M. Rusinowitch (Eds.), Lecture Notes in Computer Science, vol. 1631, Springer, Berlin, 1999, pp. 75–89.
- [15] R. Di Cosmo, D. Kesner, Strong normalization of explicit substitutions via cut elimination in proof nets, in: 12th Annual IEEE Symposium on Logic in Computer Science (LICS), IEEE Computer Society Press, 1997, pp. 35–46.
- [16] R. Di Cosmo, D. Kesner, E. Polonovski, Proof nets and explicit substitutions, in: Foundations of Software Science and Computation Structures (FOS-SACS), in: J. Tiuryn (Ed.), Lecture Notes in Computer Science, 1784, Springer, Berlin, 2000, pp. 63–81.
- [17] R. Di Cosmo, D. Kesner, E. Polonovski, Proof nets and explicit substitutions, Mathematical Structures and Computer Science 13 (3) (2003) 409–450.
- [18] R. David, B. Guillaume, A λ-calculus with explicit weakening and explicit substitution, Mathematical Structures and Computer Science 11 (2001) 169–206.
- [19] G. Dowek, T. Hardin, C. Kirchner, Higher-order unification via explicit substitutions, in: Proceedings of the Symposium on Logic in Computer Science (LICS), 1995.
- [20] D. Dougherty, P. Lescanne, Reductions, intersection types, and explicit substitutions, Mathematical Structures and Computer Science 13 (1) (2003) 55–85.
- [21] M. Fernández, I. Mackie, Closed reductions in the lambda calculus, in: Proceedings of the 13th Annual Conference of the European Association for Computer Science Logic (CSL), in: J. Flum, M. Rodríguez-Artalejo (Eds.), Lecture Notes in Computer Science, 1683, Springer, Berlin, 1999.
- [22] J. Forest, A weak calculus with explicit operators for pattern matching and substitution, in: 13th International Conference on Rewriting Techniques and Applications, in: S. Tison (Ed.), Lecture Notes in Computer Science, vol. 2378, Springer, Berlin, 2002, pp. 174–191.
- [23] N. Ghani, V. de Paiva, E. Ritter, Linear explicit substitutions, Logic Journal of the Interest Group of Pure and Applied Logic 8 (1) (2000) 7–31.

- [24] J.-Y. Girard, Linear logic, Theoretical Computer Science 50 (1) (1987) 1–101.
- [25] J. Goubault-Larrecq, A proof of weak termination of typed lambda sigma-calculi, in: Proceedings of the International Workshop Types for Proofs and Programs, in: T. Altenkirch, W. Naraschewski, B. Reus (Eds.), Lecture Notes in Computer Science, 1512, Springer, Berlin, 1998, pp. 134–151.
- [26] S. Guerrini, A general theory of sharing graphs, Theoretical Computer Science 227 (1-2) (1999) 99–151.
- [27] T. Hardin. Résultats de confluence pour les règles fortes de la logique combinatoire catégorique et liens avec les lambda-calculs. Ph.D. thesis, Université de Paris VII, 1987.
- [28] M. Hasegawa, Models of Sharing Graphs: A Categorical Semantics of let and letrec. Distinguished Dissertation Series. Springer, Kyoto University, Japan, 1999. Ph.D. Thesis.
- [29] H. Herbelin, A λ-calculus structure isomorphic to sequent calculus structure, in: Proceedings of the 8th Annual Conference of the European Association for Computer Science Logic (CSL), in: L. Pacholski, J. Tiuryn (Eds.), Lecture Notes in Computer Science, 933, Springer, Berlin, 1994.
- [30] T. Hardin, J.-J. Lévy. A confluent calculus of substitutions, in: France-Japan Artificial Intelligence and Computer Science Symposium, 1989.
- [31] T. Hardin, L. Maranget, B. Pagano, Functional back-ends within the lambda-sigma calculus, in: R.K. Dybvig (Ed.), Proceedings of the ACM International Conference on Functional Programming, ACM Press, 1996.
- [32] The HOL system, <a href="http://www.dcs.gla.ac.uk/~tfm/fmt/hol.html/">http://www.dcs.gla.ac.uk/~tfm/fmt/hol.html/</a>.
- [33] G. Huet, Confluent reductions: abstract properties and applications to term rewriting systems, Journal of the ACM 27 (4) (1980) 797–821.
- [34] D. Hendriks, V. van Oostrom, Adbmal, in: 19th Conference on Automated Deduction (CADE), in: C. Kirchner (Ed.), Lecture Notes in Artificial Intelligence, 2741, Springer, Berlin, 2003, pp. 136–150.
- [35] J.-W. Klop, Combinatory Reduction Systems. Ph.D. thesis, Mathematical Centre Tracts 127, CWI, Amsterdam, 1980.
- [36] Z. Khasidashvili, M. Ogawa, V. van Oostrom, Uniform Normalization Beyond Orthogonality, in: A. Middeldorp (Ed.), 12th International Conference on Rewriting Techniques and Applications, Lecture Notes in Computer Science, 2051, Springer, Berlin, 2001, pp. 122–136.
- [37] F. Kamareddine, A. Ríos, A λ-calculus á la de Bruijn with explicit substitutions, in: Proceedings of the 7th International Symposium on Proceedings of the International Symposium on Programming Language Implementation and Logic Programming, in: D. Swierstra, M. Hermenegildo (Eds.), Lecture Notes in Computer Science, 982, Springer, Berlin, 1995, pp. 45–62.
- [38] Y. Lafont, Interaction nets, in: 17th Annual ACM Symposium on Principles of Programming Languages (POPL), ACM, 1990, pp. 95–108.
- [39] Y. Lafont, From proof-nets to interaction nets, in: Advances in Linear Logic, volume 222 of London Mathematical Society, Lecture Notes, Cambridge University Press, 1995, pp. 225–247.
- [40] O. Laurent, Polarized proof-nets and lambda-mu calculus, Theoretical Computer Science 1 (290) (2003) 161–188.
- [41] S. Lengrand, Induction principles as the foundation of the theory of normalisation: Concepts and techniques. Technical report, PPS laboratory, Universite Paris 7, Mar. 2005. Available at <a href="http://hal.ccsd.cnrs.fr/ccsd-00004358/">http://hal.ccsd.cnrs.fr/ccsd-00004358/</a>>.
- [42] S. Lengrand, Normalisation and Equivalence in Proof Theory and Type Theory. Ph.D. thesis, Université Denis Diderot Paris VII and University of St. Andrews, 2006.
- [43] S. Lengrand, P. Lescanne, D. Dougherty, M. Dezani-Ciancaglini, S. van Bakel, Intersection types for explicit substitutions, Information and Computation 189 (1) (2004) 17–42.
- [44] J.-J. Lévy, L. Maranget, Explicit substitutions and programming languages, in: R.R.C. Pandu Rangan, Venkatesh Raman (Eds.), Foundations of Software Technology and Theoretical Computer Science, Lecture Notes in Computer Science, vol. 1738, Springer, Berlin, 1999, pp. 181–200.
- [45] P.-A. Melliès, Typed λ-calculi with explicit substitutions may not terminate, in: M. Dezani-Ciancaglini, G. Plotkin (Eds.), Proceedings of the 2nd International Conference of Typed Lambda Calculus and Applications, Lecture Notes in Computer Science, vol. 902, Springer, Berlin, 1995, pp. 328–334.
- [46] R. Nederpelt, Strong Normalization in a Typed Lambda Calculus with Lambda Structured Types. Ph.D. thesis, Eindhoven University of Technology, 1973.
- [47] T. Nipkow, Higher-order critical pairs, in: 6th Annual IEEE Symposium on Logic in Computer Science (LICS), IEEE Computer Society Press, 1991, pp. 342–349.

- [48] Y. Ohta, M. Hasegawa, A terminating and confluent linear lambda calculus, in: F. Pfenning (Ed.), 17th International Conference on Rewriting Techniques and Applications, Lecture Notes in Computer Science. Springer, Berlin, 2006, to appear.
- [49] M. Parigot, λμ-calculus: an algorithmic interpretation of classical natural deduction, in: A. Voronkov (Ed.), International Conference on Logic Programming and Automated Reasoning, Lecture Notes in Computer Science, vol. 624, Springer, Berlin, 1992, pp. 190–201.
- [50] E. Polonovski, Substitutions explicites, logique et normalisation. Thése de doctorat, Université Paris 7, 2004.
- [51] L. Regnier, Une équivalence sur les lambda-termes, Theoretical Computer Science 2 (126) (1994) 281–292.
- [52] K. Rose, Explicit substitution—tutorial & survey. Available as <a href="http://www.brics.dk/LS/96/3/BRICS-LS-96-3/BRICS-LS-96-3.html/">http://www.brics.dk/LS/96/3/BRICS-LS-96-3.html/</a>, 1996.
- [53] S. Ronchi della Rocca, L. Roversi, Lambda calculus and intuitionistic linear logic, Studia Logica 59 (3) (1997).
- [54] F.-R. Sinot, M. Fernández, I. Mackie, Efficient reductions with director strings, in: R. Nieuwenhuis (Ed.), 14th International Conference on Rewriting Techniques and Applications, Lecture Notes in Computer Science, vol. 2706, Springer, Berlin, 2003, pp. 46–60.
- [55] M.H. Sorensen, Strong normalization from weak normalization in typed lambda-calculi, Information and Computation 37 (1997) 35–71.
- [56] Terese, Term Rewriting Systems, volume 55 of Cambridge Tracts in Theoretical Computer Science Cambridge University Press, 2003.
- [57] A.S. Troelstra, H. Schwichtenberg, Basic Proof Theory, Cambridge University Press, 2000.
- [58] V. van Oostrom, Net-calculus. Course Notes available on <a href="http://www.phil.uu.nl/~oostrom/typcomp/00-01/net.ps/">http://www.phil.uu.nl/~oostrom/typcomp/00-01/net.ps/</a>, 2001.
- [59] R. Vestergaard, J. Wells, Cut rules and explicit substitutions, Mathematical Structures and Computer Science 11 (1) (2001) 131–168.
- [60] P. Wadler, A syntax for linear logic, in: S.D. Brookes, M.G. Main, A. Melton, M.W. Mislove, D.A. Schmidt (Eds.), The 9th International Conference on the Mathematical Foundations of Programming Semantics, Lecture Notes in Computer Science, vol. 802, Springer, Berlin, 1993, pp. 513–529.
- [61] H. Xi, Weak and strong beta normalisations in typed lambda-calculi, in: P. de Groote (Ed.), Proceedings of the 3th International Conference of Typed Lambda Calculus and Applications, Lecture Notes in Computer Science, vol. 1210, Springer, Berlin, 1997, pp. 390–404.