On Closure Properties of #P in the Context of PF • #P*

Mitsunori Ogihara†

Department of Computer Science, University of Rochester, Rochester, New York

Thomas Thierauf[‡]

Fakultät für Informatik, Universität Ulm, Ulm, Germany

Seinosuke Toda§

Department of Computer Science, University of Electro-Communications, Tokyo, Japan

and

Osamu Watanabe

Department of Computer Science, Tokyo Institut of Technology, Tokyo, Japan

For any operator τ on integer-valued functions, we say that #P is closed under τ in the context of $PF \circ \#P$ if, for every $f \in \#P$, $\tau[f]$ belongs to $PF \circ \#P$. For several operators τ , it is shown that the closure properties of #P under τ in the above sense is closely related to the relationships between $P^{\#P[1]}$ and higher classes such as PH^{PP} and PP^{PP} . © 1996 Academic Press, Inc.

1. INTRODUCTION

Counting is one of the key notions in computation. Recently, various counting problems have received considerable attention (see, e.g., [Sch90]) and, in order to model them, there have been introduced and extensively studied complexity classes called *counting classes*, typified by function classes #P [Val79], spanP [KST89], and GapP [FFK94], and language classes PP [Gil77], \oplus P [PZ83], $C_{=}P$ [Sim75, Wag86a], and the counting hierarchy CH [Tor91, Wag86b]. Unfortunately, many of the questions regarding counting classes, even the ones about the inclusion relation, are left open. Confronted with such difficulties in resolving problems absolutely, researchers

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have devised tools to obtain relative answers that promote a better understanding of the original questions. (Cf. Even though the P=?NP question is open, through various research, now we have ample knowledge about how NP would be different from P if they were different.) The purpose of this paper is to introduce a structural concept that helps us to deepen our understanding on the relationships between counting classes.

The central counting class is #P, the class of functions that count the number of solutions to NP decision problems. The class #P is known to contain many natural functions, such as the permanence of integer matrices, which is one of the first nontrivial functions proven to be in #P and, in fact, proven to be #P-complete [Val79]. With the increase in the number of interesting examples, the properties of #P, especially, the closure properties of #P, has become a central research topic. Intuitively, we say that #P is closed under an operation τ if the functions constructed by applying τ to #P functions always belong to #P. For instance, for any #P functions f(x) and g(x), the functions f(x) + g(x) and f(x) g(x) also belong to #P. Here we say that #P is closed under addition and multiplication and that both addition and multiplication are closure properties of #P. Closure properties of #P have played important roles, both explicitly and implicitly, in the study of counting classes of languages [BHW91, BRS91, CH90, FR91], and many closure properties possessed by #P have been found (see [OH93]). Nevertheless, the class does not seem to possess closure properties under some primitive operations, such as modified subtraction.1

¹ The *modified subtraction* of m from n, denoted by $n \ominus m$, is $\max\{n-m,0\}$. Since # P functions are always nonnegative, # P is provably not closed under the usual subtraction.

[†] Previously known as Mitsunori Ogiwara. E-mail: ogihara@cs.rochester.

[‡] E-mail: thierauf@informatik.uni-ulm.de.

[§] E-mail: toda@cs.uec.ac.jp.

E-mail: watanabe@cs.titech.ac.jp.

Ogiwara and Hemachandra [OH93] have established the theory for closure properties of function classes. They have clarified why #P seems to lack such primitive closure properties. They showed that #P is closed under modified subtraction if and only if the counting hierarchy collapses to UP, which is the smallest counting class. Informally put, we cannot hope that modified subtraction of #P functions is done by #P unless all the decision problems in the counting hierarchy, including those belonging to the polynomial-time hierarchy, are solved by NP machines that have at most one accepting path per input.

Although it is not likely that #P functions can compute modified subtraction of #P-functions, we notice that subtraction is almost computed by #P functions. Let f(x) and g(x) be two #P functions and let p(n) be a polynomial such that $\max\{f(x), g(x)\} < 2^{p(|x|)}$ for all x. Then it is easy to design a #P function h(x) such that for all x, h(x) = $2^{p(|x|)} + (f(x) - g(x))$. Clearly, the first bit of h(x)represents the sign of f(x) - g(x) and the last p(|x|) bits of h(x) represent f(x) - g(x). So, we can easily retrieve f(x) – g(x) from h(x). Here we may say that the function h(x)realizes the subtraction of f(x) and g(x), as the actual value of the subtraction is encoded in the binary representation of h(x), and we might as well say that #P is closed under subtraction in some weaker sense, as we only have to do some simple postcomputation on the outcome of a #P function. This observation is generalized to the following definition of closure properties of #P in context PF ∘ # P.

DEFINITION 1.1. For any operator (or, functor) τ , let $\underline{\tau}[\#P]$ denote the class of functions obtained by applying τ to some function in #P, and let $PF \circ \#P = \{h \circ f : h \in PF, f \in \#P\}$, where $h \circ f$ denotes the ordinary composition of the two functions and PF denotes the class of all polynomial-time computable functions.

We say that #P is closed under τ in the context of $PF \circ \#P$ if $\tau \llbracket \#P \rrbracket \subseteq PF \circ \#P$. In other words, τ is a closure property of #P in the context of $PF \circ \#P$ if the function generated by applying τ to #P can be computed by #P with supplementary polynomial-time postcomputation. We have chosen $PF \circ \#P$ from the point of view that we should keep our context as close as possible to #P. But, in fact, the above definition can be easily extended to an arbitrary context. However, as far as it concerns our results, our proof techniques can be applied to any larger context to show results similar to the ones we will prove.

By allowing polynomial-time postcomputation and extending the context from #P to $PF \circ \#P$, we have cured the weakness of #P, i.e., the lack of closure properties under some primitive operations. Indeed, it is easy to see that, in the context of $PF \circ \#P$, the class is closed not only under modified subtraction but also under many "hard"

closure properties [OH93]. This leads us to question "What is the limit of the closure properties of #P in the context of $PF \circ \#P$?" In order to answer this question, we seek to find closure properties that are provably possessed by #P (lower bounds) as well as those that do not seem to be possessed by #P (upper bounds). We believe that clarifying the limit will shed lights on the computational power of $PF \circ \#P$ and, in turn, on the structure of #P.

Consider the following two notions of majority computing operators, which we call the weak majority and the (strong) majority, respectively.² For any function $f: \Sigma^* \to \mathbf{N}$ and any string x in Σ^* ,

$$\begin{aligned} \text{maj}_{\mathbf{w}}[f](x) \\ &= \begin{cases} y, & \text{if more than half of} \\ & f(\langle 1, x \rangle), ..., f(\langle 2^{|x|}, x \rangle) \text{ equal } y, \\ \text{some value,} & \text{otherwise;} \end{aligned}$$

maj[f](x)

$$= \begin{cases} y, & \text{if more than half of} \\ f(\langle 1, x \rangle, ..., f(\langle 2^{|x|}, x \rangle) \text{ equal } y, \\ ?, & \text{otherwise;} \end{cases}$$

where "?" $\in \Sigma^*$ is a special symbol not representing an element in N.

Both the weak majority $\operatorname{maj}_{\mathbf{w}}[f]$ and the (strong) majority $\operatorname{maj}[f]$ take the same value y if y gains a majority in the values of f. But, when there is no majority, they behave differently; $\operatorname{maj}_{\mathbf{w}}[f]$ takes the value "?" to inform us that there is no majority while $\operatorname{maj}_{\mathbf{w}}[f]$ may take an arbitrary value. The difference seems crucial, for, as we shall see in Section 3, the following results hold:

- (1) #P is closed under maj_w in the context of PF \circ #P, 3
- (2) #P is closed under maj in the context of $PF \circ \#P$ if and only if $P^{\#P[1]} = PP^{PP}$ (or, equivalently, CH collapses to $P^{\#P[1]}$).

Thus, we conclude that the limit of the closure properties of #P in the context of $PF \circ \#P$ is between the weak majority and the strong majority, and that the crucial factor that (possibly) separates $P^{\#P[1]}$ and PP^{PP} is that only one question to #P does not help to detect whether the majority exists among the exponentially many values of a #P function.

² As we shall see in the next section, formally, we will consider classes of operators instead of one fixed operator.

 $^{^3}$ We show that for an appropriate choice of the values when there is no majority, the weak majority of #P functions can be done in the next context of PF $_{\circ}$ #P.

We also seek to find results similar to (2) above, i.e., the results characterizing collapses of the counting classes in terms of the closure properties of #P. We think such characterizations will be useful (in some cases) for analyzing relationships among the counting classes. In Section 4, we provide such results with respect to median, plurality, and maximum.

2. PRELIMINARIES

In this paper, we follow the standard definitions and notations in computational complexity theory (see, e.g., [BDG88, BDG91]).

Throughout this paper, we fix our alphabet to $\Sigma = \{0, 1\}$; by a *string* we mean an element of Σ^* , and by a *language* we mean a subset of Σ^* . Natural numbers are encoded in Σ^* in an ordinary way, and let N denote the set of (encoded) natural numbers. For any string x, let |x| denote the length of x, and for any set X, let ||X|| denote the cardinality of X. For any language L, let $L^{\leq n}$ be the set $\{x \in L: |x| \leq n\}$. The standard lexicographic ordering of Σ^* is used; that is, for strings $x, y \in \Sigma^*$, x is lexicographically smaller than y (denoted by x < y) if either (i) |x| < |y|, or (ii) |x| = |y| and there exist $z, u, v \in \Sigma^*$ such that x = z0u and y = z1v. We consider a standard one-to-one pairing function from $\Sigma^* \times \Sigma^*$ to Σ^* that is computable and invertible in polynomial time. For inputs x and y, we denote the output of the pairing function by x # y; this notation is extended to denote every n tuple. Furthermore, we assume that for all (x, y) and (x', y') such that |x| = |x'| and |y| = |y'|, we have |x # y| =|x' # v'|.

Throughout this paper we assume that functions are *total*. For our computation model, we consider standard Turing machines. A machine is either deterministic or non-deterministic, and a deterministic machine is either an *acceptor* or a *transducer*, while a nondeterministic Turing machine is always an acceptor. We also consider a *query machine*, i.e., a machine that can ask queries to a given oracle. In this paper, an oracle is either a set or a function; for each oracle type, we adopt the standard query mechanism for our query machines. We assume that the nondeterministic branching degree at each guessing state is always two. For a nondeterministic machine M and any string x, let $acc_M(x)$ (resp., $rej_M(x)$, $total_M(x)$) denote the number of accepting paths (resp., the number of rejecting paths, the total number of paths) of M on input x.

In what follows, we define the complexity classes used in this paper. Below, we denote by \mathscr{C} any class of either languages or functions, and we define those classes relative to \mathscr{C} . Nonrelativized classes are defined as special cases in which the empty oracle is used.

(1) $\underline{\mathbf{P}}^{\mathscr{C}}$ is the class of languages L for which there exist some polynomial time-bounded deterministic query

acceptor M and some oracle X in $\mathscr C$ such that for all $x \in \Sigma^*$, $x \in L$ if and only if M^X accepts x.

- (2) $\underline{NP}^{\mathscr{C}}$ is the class of languages L for which there exist some polynomial time-bounded nondeterministic query acceptor M and some oracle X in \mathscr{C} such that for all $x \in \Sigma^*$, $x \in L$ if and only if $\operatorname{acc}_{M^X}(x) > 0$.
- (3) $\underline{PP}^{\mathscr{C}}$ is the class of languages L for which there exist some polynomial time-bounded nonedeterministic acceptor M and some oracle X in \mathscr{C} such that for all $x \in \Sigma^*$, $x \in L$ if and only if $\text{acc}_{M^X}(x) > \text{total}_{M^X}(x)/2$.
- (4) $\underline{C}_{\underline{-}}\underline{P}^{\mathscr{C}}$ is the class of languages L for which there exist some polynomial time-bounded nondeterministic acceptor M, some integer-valued function \underline{f} in $\underline{P}\underline{F}$, and some oracle X in \mathscr{C} such that for all $x \in \Sigma^*$, $x \in L$ if and only if $\underline{\operatorname{acc}}_{M^X}(x) = f(x)$.
- (5) $PF^{\mathscr{C}}$ is the class of functions that are computable by some polynomial time-bounded query transducer with some oracle in \mathscr{C} .
- (6) $\underline{\# \mathbf{P}^{\mathscr{C}}}$ is the class of <u>total functions</u> $f: \Sigma^* \to \mathbf{N}$ for which there exist some polynomial time-bounded nondeterministic query acceptor M and some oracle X in \mathscr{C} such that for all $x \in \Sigma^*$, $f(x) = \mathrm{acc}_{M^X}(x)$.

By restricting the way of asking queries, we can define various subclasses of the above classes. Here we define those that are used in our discussion.

(7) P^{@[1]} (resp., PF^{@[1]}) is the class of languages accepted (resp., computed) by some polynomial-time deterministic query machine relative to some oracle in \mathscr{C} , where the query machine asks at most one query per input. (Such query machines are called *one-query machines*.)

The polynomial-time hierarchy and the counting hierarchy are defined as follows. $\neq (NP \cup NP^{NP}...)^{e}$

- (8) $\underline{PH^{\mathscr{C}}}$ is the class $NP^{\mathscr{C}} \cup NP^{NP^{\mathscr{C}}} \cup NP^{NP^{\mathscr{C}}} \cup \cdots$, where classes $NP^{NP^{\mathscr{C}}}$, $NP^{NP^{\mathscr{C}}}$, ... are defined inductively. $\underline{PFH^{\mathscr{C}}}$ is the class of functions that are computable in polynomial time relative to any language in $PH^{\mathscr{C}}$.
- (9) $\underline{CH}^{\mathscr{C}}$ is the class $\underline{PP}^{\mathscr{C}} \cup \underline{PP}^{\underline{PP}^{\mathscr{C}}} \cup \underline{PP}^{\underline{PP}^{\mathscr{C}}} \cup \cdots$, where classes $\underline{PP}^{\underline{PP}^{\mathscr{C}}}$, $\underline{PP}^{\underline{PP}^{\mathscr{C}}}$, ... are defined inductively.

We will mainly deal with the following language classes: $P^{\#P[1]}$, $P^{\#P}$, PH, PH^{PP}, PP^{PP}, and CH. We know that $P^{PH} \subseteq P^{\#P[1]}$ [Tod91] and $P^{\#P[1]} \subseteq P^{\#P} \subseteq P^{HP} \subseteq P^{PP} \subseteq P^{PP}$

Proposition 2.1. (1) $PF \circ \#P = PF^{\#P[1]}$.

- (2) $PF^{CH} = CH$.
- (3) $PP^{PP} \subseteq P^{\#P[1]}$ if and only if $CH = P^{\#P[1]}$ if and only if $PF^{CH} \subseteq PF^{\#P[1]}$.

(4) $NP^{PP} \subseteq P^{\#P[1]}$ if and only if $CH = P^{\#P[1]}$ if and only if $PF^{CH} \subseteq PF^{\#P[1]}$.

We will further use the following technical result on $C_{=}P$ due to Simon [Sim75] and the results on PP^{PP} and NP^{PP} that are slight modifications of the results due to Torán [Tor91].

LEMMA 2.2. (1) [Sim75]. Let $A \in C_{=}P$. Then there exist a polynomial q and a polynomial-time nondeterministic machine M such that for all x, the following conditions are satisfied:

- (i) $total_{M}(x) = 2^{q(|x|)},$
- (ii) $acc_M(x) < 2^{q(|x|)-1}$, and
- (iii) $x \in A$ if and only if $acc_M(x) = rej_M(x) = 2^{q(|x|)-1}$.
- (2) [Tor91]. A set L is in PP^{PP} if and only if there exist a polynomial p and a set $A \in C_{=}P$ such that for every x,

$$\begin{split} x \in L \Leftrightarrow & \left\| \left\{ w \in \Sigma^{p(|x|)} \colon x \# w \in A \right\} \right\| \geqslant 2^{p(|x|)-1} + 1, \\ x \notin L \Leftrightarrow & \left\| \left\{ w \in \Sigma^{p(|x|)} \colon x \# w \in A \right\} \right\| \leqslant 2^{p(|x|)-1} - 1. \end{split}$$

(3) [Tor91]. A set L is in NP^{PP} if and only if there exist a polynomial p and a set $A \in C_{=}P$ such that for every x, we have $x \in L \Leftrightarrow \|\{w \in \Sigma^{p(|x|)}: x \# w \in A\} \geqslant 1$.

The operators we study as closure properties are based on the following functions on \mathbb{N}^* , where \mathbb{N}^* is the set of tuples of \mathbb{N} . Let $(x_1, ..., x_m)$ be any element in \mathbb{N}^* and let ϕ be some fixed function from \mathbb{N}^* to \mathbb{N} :

$$\begin{aligned} \operatorname{maj}(x_1, ..., x_m) \\ &= \begin{cases} y, & \text{if more than half of } x_1, ..., x_m \text{ equal } y, \\ ?, & \text{otherwise} \\ & (\text{where ? is some symbol not in } \mathbf{N}), \end{cases} \\ \operatorname{maj}_{\mathbf{w}}^{\phi}(x_1, ..., x_m) &= \begin{cases} y, & \text{if more than half of} \\ & x_1, ..., x_m \text{ equal } y, \\ \phi(x_1, ..., x_m), & \text{otherwise,} \end{cases} \\ \operatorname{mid}(x_1, ..., x_m) \end{aligned}$$

= the $\lfloor (m+1)/2 \rfloor$ th smallest value in the ordering $x_{i_1} \leqslant \cdots \leqslant x_{i_m}$ of $x_1, ..., x_m$,

 $plu(x_1, ..., x_m)$

= the *set* of the most commonly occurring number(s) amongst $x_1, ..., x_m$,

 $\mathsf{plu*}(x_1,...,x_m)$

= the smallest value in $plu(x_1, ..., x_m)$,

 $\max(x_1, ..., x_m)$

= the largest number in $\{x_1, ..., x_m\}$,

Let us say a few words about "mid." When the number m of elements is odd, then the median, i.e., the middle element, is unambiguous since it is the (m+1)/2th smallest element. However, when m is even, there are two candidates for the median, namely the $\lfloor (m+1)/2 \rfloor$ th and the $\lceil (m+l)/2 \rceil$ th smallest element, which are called the *left* and *right medians*, respectively. We defined "mid" as a function taking the left median. As shown in [OH93], sometimes one has to be careful about which median function is chosen. However, our results concerned with the median operator hold for the right median operator as well.

An *operator* is defined as a functor mapping one function to another. We define now the operator classes that we are interested in. Let f be a function on Σ^* , and let ϕ be some function from N^* to N. Below, e denotes a polynomial-time computable function of Σ^* to N (in binary).

$$\begin{aligned} & \textbf{poly-pre}[f] = \{f \circ h : h \in \text{PF}\}, \\ & \textbf{poly}[f] = \{h \circ f : h \in \text{PF}\}, \\ & \textbf{poly}[f] = \textbf{poly-pre}[f] \cup \textbf{poly-post}[f], \\ & \textbf{maj}[f] = \{g : g(x) \\ & = \text{maj}(f(1, x), ..., f(e(x), x)) \text{ for some } e \in \text{PF}\}, \\ & \textbf{maj}^{\phi}_{\mathbf{w}}[f] = \{g : g(x) \\ & = \text{maj}^{\phi}_{\mathbf{w}}(f(1, x), ..., f(e(x), x)) \text{ for some } e \in \text{PF}\}, \\ & \textbf{mid}[f] = \{g : g(x) \\ & = \text{mid}(f(1, x), ..., f(e(x), x)) \text{ for some } e \in \text{PF}\}, \\ & \textbf{plu}[f] = \{g : g(x) \\ & = \text{plu*}(f(1, x), ..., f(e(x), x)) \text{ for some } e \in \text{PF}\}, \\ & \text{max}[f] = \{g : g(x) \\ & = \text{max}(f(1, x), ..., f(e(x), x)) \text{ for some } e \in \text{PF}\}. \end{aligned}$$

For any class of functions \mathscr{F} and any operator class τ , we define $\tau[\mathscr{F}]$ to be the class $\bigcup \{\tau[f]: f \in \mathscr{F}\}.$

It is clear that #P is closed under **poly-pre**. Thus, for discussing closure properties of #P, our choice of a pairing function $\langle \cdot, \cdot \rangle$ is not essential. On the other hand, #P is not known to be closed under **poly-post**.

We can now reformulate our questions concerning the closure properties of #P in the context of $PF \circ \#P$ as follows. Let τ be any of the operator classes defined above. Then we ask whether $\tau[\#P] \subseteq \operatorname{poly}[\#P]$. We will show that this in fact holds for $\tau = \operatorname{maj}_w^\phi$ for an appropriate choice of ϕ , and this does not hold for $\tau = \operatorname{maj}$, mid , plu , or max unless some implausible collapse occurs.

3. ON THE MAJORITY OPERATORS

We show in this section that #P is closed under the weak majority operator in context $PF \circ \#P$, but not closed under the majority operator in the context of $PF \circ \#P$ unless the counting hierarchy collapses. In the proof of our first theorem, we need the following result of Toda $\lceil Tod91 \rceil$.

LEMMA 3.1 [Tod91]. Let $T' \in \#P$, q be a polynomial, and $m \ge 2$ be a natural number. Then there is a function $T \in \#P$ such that for all $x \in \Sigma^*$ of length n,

$$T'(x) \equiv 0 \pmod{m} \Rightarrow T(x) \equiv 0 \pmod{(m^{q(n)})},$$

 $T'(x) \equiv -1 \pmod{m} \Rightarrow T(x) \equiv -1 \pmod{(m^{q(n)})}.$

Theorem 3.2. #P is closed under \mathbf{maj}_{w}^{ϕ} in the context of PF \circ #P, for some function ϕ : $\mathbf{N}^{*} \to \mathbf{N}$.

Proof. Let $f \in \#P$, let e be a polynomial-time computable function, and let $g(x) = \operatorname{maj}(f(1,x),...,f(e(x),x))$. Our goal is to design a polynomial time-bounded deterministic transducer M_0 that, for each input x, asks one query to some function $f_0 \in \#P$ and outputs g(x), if the majority exists. Noting that $\operatorname{PF} \circ \#P = \operatorname{PF}^{\#P[1]}$, this clearly proves the theorem. As we do not have to worry about detecting the nonexistence of the majority, we may define the function $\phi \colon \mathbb{N}^* \to \mathbb{N}$ as the output of M_0 .

Let $x \in \Sigma^n$ and p be a polynomial such that for all $i \le e(x)$, $f(i, x) < 2^{p(n)}$ and $e(x) < 2^{p(n)}$. Let m_i denote the ith prime number. By the prime number theorem, $m_i \le 2I^2$ for every $i \ge 1$. Hence, primes $m_1, ..., m_{p(n)}$ are computable within polynomial time in n. Also, note that $f(i, x) < m_1 \cdots m_{p(n)}$, for all $i \le e(x)$.

We define a function u' as follows. For all strings x and integers i, j, k such that $1 \le i \le e(x)$, $1 \le j \le p(n)$, and $0 \le k < m_j$,

$$u'(i, x, j, k) = (f(i, x) + (m_j - k))^{m_j - 1}.$$

Clearly, u' is in #P. By the Fermat's little theorem, for all integers i, j, k such that $1 \le i \le e(x)$, $1 \le j \le p(n)$, and $0 \le k < m_i$, we have

- $f(i, x) \equiv k \pmod{m_i} \Rightarrow u'(i, x, j, k) \equiv 0 \pmod{m_i}$,
- $f(i, x) \not\equiv k \pmod{m_j} \Rightarrow u'(i, x, j, k) \equiv 1 \pmod{m_j}$.

Apply Lemma 3.1 to $T'(i, x, j, k) = u'(i, x, j, k) + (m_j - 1)$ and q = p. Then we get $T \in \#P$, satisfying the conditions mentioned in the lemma. Define u = T + 1. Then we have:

- $u'(i, x, j, k) \equiv 0 \pmod{m_j} \Rightarrow u(i, x, j, k) \equiv 0 \pmod{m_j^{p(n)}},$
- $u'(i, x, j, k) \equiv 1 \pmod{m_i} \Rightarrow u(i, x, j, k) \equiv 1 \pmod{m_i^{p(n)}}$.

Define a function v by

$$v(x,j,k) = \sum_{i \le e(x)} u(i,x,j,k).$$

Clearly, v is in # P. Furthermore, for all strings x of length n and all integers j, k such that $1 \le j \le p(n)$ and $0 \le k < m_j$, we have

$$v(x, j, k) \mod m_i^{p(n)} = \|\{i \le e(x): f(i, x) \not\equiv k \pmod {m_j}\}\|,$$

and therefore,

$$e(x) - (v(x, j, k) \mod m_j^{p(n)})$$

= $\|\{i \le e(x): f(i, x) \equiv k \pmod {m_j}\}\|.$

Now, suppose $g(x) \neq ?$; i.e., the majority exists. Then, for each prime m_j , there exists a unique $k_j < m_j$ such that $g(x) \equiv k_j \pmod{m_j}$. Therefore, more than e(x)/2 of the i's satisfy $f(i, x) \equiv k_j \pmod{m_j}$. Conversely, for all $k < m_j$ that are different from k_j , there are less than e(x)/2 of the i's such that $f(i, x) \equiv k \pmod{m_j}$. Thus, we observe that for every j and k with $1 \le j \le p(n)$ and $0 \le k < m_j$,

$$g(x) \equiv k \pmod{m_j} \Leftrightarrow e(x)$$
$$-(v(x, j, k) \bmod m_i^{p(n)}) > e(x)/2.$$

By the last observation, when we get the values v(x, j, k) for all j and k with $1 \le j \le p(n)$ and $0 \le k < m_j$, we can compute the unique $k_j < m_j$ such that $g(x) \equiv k_j \pmod{m_j}$. Then, using the Chinese remainder theorem, we can compute g(x) from the m_j 's and k_j 's within polynomial time in n.

By using standard methods, we can construct a function f_0 in #P such that all the values v(x, j, k) for all j and k with $1 \le j \le p(n)$ and $0 \le k < m_j$, are computable from $f_0(x)$ within polynomial time in n. Hence, some polynomial time-bounded deterministic query transducer M_0 , given any input x, can compute g(x) by asking one query, namely x, to f_0 .

Theorem 3.2 states that the majority of exponentially many values of a #P function can be computed by a #P function as long as the majority exists. Can we expect from the new function to receive information on the existence of the majority? The following theorem states that we cannot expect this unless the counting hierarchy collapses.

Theorem 3.3. #P is closed under **maj**, in the context of $PF \circ \#P$ if and only if $P^{\#P[1]} = PP^{PP}$.

⁴ Preceding this work, essentially the same result was proven in a quite different form in a manuscript by Beigel, Tarui, and Toda, but, in the conference version [BTT92], the result is stated in a weaker form. Although the result will appear in their journal version, since its style is quite different and does not fit in our paper, we include the full proof of the theorem.

Proof. Suppose that #P is closed under **maj** in context PF \circ #P. We will show PP^{PP} \subseteq P^{#P[1]}. Let L be any set in PP^{PP}. By Lemma 2.2(2), there exist a set $A \in \mathbb{C}_{=}$ P and a polynomial p such that for all $x \in \Sigma^n$,

$$x \in L \Leftrightarrow \| \left\{ w \in \Sigma^{p(n)} \colon x \# w \in A \right\} \| \geqslant 2^{p(n)-1} + 1,$$
$$x \notin L \Leftrightarrow \| \left\{ w \in \Sigma^{p(n)} \colon x \# w \in A \right\} \| \leqslant 2^{p(n)-1} - 1.$$

Furthermore, by Lemma 2.2(1), there exist a polynomial time-bounded nondeterministic machine M and a polynomial $q(\cdot,\cdot)$ such that for all $x\in \Sigma^n$ and $w\in \Sigma^{p(n)}$, it holds that $\operatorname{total}_M(x\#w)=2^{q(n,\ p(n))}$, $\operatorname{acc}_M(x\#w)\leqslant 2^{q(n,\ p(n))-1}$, and $x\#w\in A$ if and only if $\operatorname{acc}_M(x\#w)=\operatorname{rej}_M(x\#w)=2^{q(n,\ p(n))-1}$.

Define f and g as follows. For each $x \in \Sigma$ and each i, $1 \le i \le 2^{p(|x|)}$, let $f(i, x) = \text{acc}_M(x \# w)$, where w is the ith smallest string among those of length p(|x|) (in the lexicographic ordering), and let

$$g(x) = \text{maj}(f(1, x), ..., f(2^{p(|x|)}, x)).$$

Clearly, $f \in \# P$. So, by our supposition that # P is closed under **maj** in the context of $PF \circ \# P$, g is in $PF \circ \# P = PF^{\# P[1]}$.

We claim that for all $x \in \Sigma^n$, $x \in L$ if and only if $g(x) = 2^{q(n, p(n))-1}$. To see one direction, assume $x \in L$. Then more than half of the strings $w \in \Sigma^{p(n)}$ satisfy $x \# w \in A$, and therefore, more than half of the integers i with $1 \le i \le 2^{p(n)}$ satisfy $f(i, x) = 2^{q(n, p(n))-1}$. Thus, we have $g(x) = 2^{q(n, p(n))-1}$. To see the converse, assume $x \notin L$. Then less than half of the strings $w \in \Sigma^{p(n)}$ satisfy $x \# w \in A$. This implies that less than half of the integers i with $1 \le i \le 2^{p(n)}$ satisfy $f(i, x) = 2^{q(n, p(n))-1}$. Thus $2^{q(n, p(n))-1}$ is not the majority of $(f(l, x), ..., f(2^{p(n)}, x))$.

Hence, using the one-query machine for g, we can construct a machine that accepts L in polynomial time asking one query to a #P function. We leave the details to the reader.

Next suppose that $P^{\#P[1]} = PP^{PP}$. By Proposition 2.1, we have $CH = P^{\#P[1]}$. Let $f \in \#P$ and $e \in PF$. It suffices to show that g(x) = maj(f(1, x), ..., f(e(x), x)) is in PF^{CH} , for since $CH = P^{\#P[1]}$, we have $g \in PF^{\#P[1]} = PF \circ \#P$.

Define a set G by $G = \{x \# k : x \in \Sigma^*, k \text{ is a positive integer, and } g(x) = k\}$. Obviously, for all x # k, we have $x \# k \in G$ if and only if f(i, x) = k for more than e(x)/2 of the integers i with $1 \le i \le e(x)$. We conclude that G is in $PP^{C} = P$. Furthermore, define a set H by $H = \{x \# j : x \in \Sigma^*, j \text{ is a positive integer, } g(x) \ne ?$, and the jth bit of the binary representation of g(x) is $1\}$. It is easy to see that H is in $NP^G \subseteq CH$. This implies that g is in PF^{CH} , because g is in PH^H .

The following corollary is immediate from the theorem.

COROLLARY 3.4. #P is closed under **maj** in the context of PF \circ #P if and only if the counting hierarchy CH collapses to P^{#P[1]}.

4. ON THE MEDIAN, PLURALITY, AND MAXIMUM OPERATORS

In this section, we consider the closure properties of #P under the median, plurality, and maximum operators. We will show that, as for the (strong) majority, #P is not closed under the median or plurality operators in the context of $PF \circ \#P$, unless the counting hierarchy collapses. For the maximum operators, we can argue along the same line, but we need a slightly stronger hypothesis.

We start by considering the median operators. In light of Toda's result [Tod90] that the **mid** operators applied to polynomial-time computable functions characterize $PF^{\#P} = PF^{PP}$, we can observe that the **mid** operators are strong enough to capture the computational power of PP-computations. Our result below is inspired with this observation.

Theorem 4.1. #P is closed under mid in the context of $PF \circ \#P$ if and only if $P^{\#P[1]} = PP^{PP}$.

Proof. Suppose that #P is closed under **mid** in the context of $PF \circ \#P$. We will show that $PP^{PP} \subseteq P^{\#P[1]}$. Let $L \in PP^{PP}$. By Lemma 2.2(2), there exist a set $A \in C = P$ and a polynomial p such that for all $x \in \Sigma^n$,

$$x \in L \Leftrightarrow \|\{w \in \Sigma^{p(n)}: x \# w \in A\}\| \geqslant 2^{p(n)-1} + 1,$$

 $x \notin L \Leftrightarrow \|\{w \in \Sigma^{p(n)}: x \# w \in A\}\| \leqslant 2^{p(n)-1} - 1.$

Furthermore, by Lemma 2.2(1), there is a polynomial time-bounded nondeterministic machine M and a polynomial $q(\cdot, \cdot)$ such that for all $x \in \Sigma^n$ and $w \in \Sigma^p(n)$, we have $\operatorname{total}_M(x \# w) = 2^{q(n, p(n))}$, $\operatorname{acc}_M(x \# w) \leqslant 2^{q(n, p(n))-1}$, and $x \# w \in A$ if and only if $\operatorname{acc}_M(x \# w) = \operatorname{rej}_M(x \# w) = 2^{q(n, p(n))-1}$

Define f and g as follows. For each $x \in \Sigma^*$ and each i, $1 \le i \le 2^{p(|x|)}$,

- $f(i, x) = acc_M(x \# w)$, where w is the ith smallest string among those of length p(|x|), and
 - $g(x) = mid(f(1, x), ..., f(2^{p(|x|)}, x)).$

We claim that for every $x \in \Sigma^n$, $x \in L$ if and only if $g(x) = 2^{q(n, p(n))-1}$. Suppose $x \in L$. Then, for more than half of $w \in \Sigma^{p(n)}$, $x \neq w \in A$. Thus, for more than half of integers i with $1 \le i \le 2^{p(n)}$, $f(i, x) = 2^{q(n, p(n))-1}$. Moreover, there is no integer i with $1 \le i \le 2^{p(n)}$ such that $f(i, x) > 2^{q(n, p(n))-1}$. Therefore, we have $g(x) = 2^{q(n, p(n))-1}$. On the other hand, suppose $x \notin L$. Then, for all strings w of length p(n), $acc_M(x \neq w) < 2^{q(n, p(n))-1}$. So, $2^{q(n, p(n))-1}$ never appears in $(f(1, x), ..., f(2^{p(n)}, x))$ and hence, it cannot be g(x). Thus,

the claim holds. Since g is in $PF \circ \#P = PF^{\#P[1]}$ by our assumption, we can conclude that L is in $P^{\#P[1]}$.

Conversely, suppose $PP^{PP} = P^{\#P[1]}$. Let $g(x) = \min(f(1, x), ..., f(e(x), x))$, where f is a function in #P and e is a function in PF. We will show that g is in PF^{CH} .

For all $x \in \Sigma^n$ and all positive integers k, g(x) = k if and only if the following conditions are satisfied:

- (1) f(i, x) = k for some i with $1 \le i \le e(x)$,
- (2) $\|\{i \le e(x): f(i, x) < k\}\| < e(x)/2,$
- (3) $\|\{i \le e(x): f(i, x) > k\}\| \le e(x)/2.$

Define $G = \{x \# k : g(x) = k\}$. From the above conditions, we have $G \in PP^{PP}$. Define $H = \{x \# j : \text{ the } j \text{th bit of the binary representation of } g(x) \text{ is } 1\}$. Clearly, H is in NP^G and, hence, in CH. Since $g \in PF^H$, we conclude that g is in PF^{CH} , which is, by our assumption combined with Proposition 2.1, $PF^{\#P[1]}$.

COROLLARY 4.2. #P is closed under **mid** in the context of PF \circ #P if and only if the counting hierarchy CH collapses to P^{#P[1]}.

Next, we consider the plurality operators. Since there is a certain similarity between plurality and majority, one might expect that one can somehow simulate the majority operators by the plurality operators. The proof of the following result is based on this intuition.

Theorem 4.3. #P is closed under **plu** in the context of $PF \circ \#P$ if and only if $P^{\#P[1]} = PP^{PP}$.

Proof. Assume that #P is closed under **plu** in the context of PF $\circ \#P$. We will show that PP^{PP} \subseteq P^{#P[1]}. Let $L \in PP^{PP}$. By Lemma 2.2(2), there exist a set $A \in C \subseteq P$ and a polynomial p such that for all $x \in \Sigma^n$,

$$x \in L \Leftrightarrow \|\left\{w \in \Sigma^{p(n)} \colon x \# w \in A\right\}\| \geqslant 2^{p(n)-1} + 1,$$
$$x \notin L \Leftrightarrow \|\left\{w \in \Sigma^{p(n)} \colon x \# w \in A\right\}\| \leqslant 2^{p(n)-1} - 1.$$

Furthermore, by Lemma 2.2(1), there is a polynomial time-bounded nondeterministic machine M and a polynomial $q(\cdot,\cdot)$ such that for all $x\in \Sigma^n$ and $w\in \Sigma^{p(n)}$, we have $\operatorname{total}_M(x\#w)=2^{q(n,\ p(n))}, \operatorname{acc}_M(x\#w)\leqslant 2^{q(n,\ p(n))-1},$ and $x\#w\in A$ if and only if $\operatorname{acc}_M(x\#w)=\operatorname{rej}_M(x\#w)=2^{q(n,\ p(n))-1}$.

We define *N* to be a nondeterministic machine that, given an input of the form x # wb with |w| = p(|x|) and $b \in \{0, 1\}$, operates as follows:

- (1) If b = 0, then N simulates M on input x # w.
- (2) If b = 1 and the last bit of w is 0, then N nondeterministically guesses u of length q(|x|, p(|x|)) and halts in an accepting state.

(3) If b = 1 and the last bit of w is 1, then N nondeterministically guesses u of length q(|x|, p(|x|)) and halts in a rejecting state.

For any $x \in \Sigma^n$, we have the following facts on N immediately:

- (a) For exactly one-fourth of strings v of length p(n) + 1, $acc_N(x \# v) = 0$.
- (b) For exactly one-fourth of strings v of length p(n) + 1, $acc_N(x \# v) = 2^{q(n, p(n))}$.
- (c) If $x \in L$, then for more than one-fourth of strings v of length p(n) + 1, $\operatorname{acc}_{N}(x \# v) = 2^{q(n, p(n)) 1}$.
- (d) If $x \notin L$, then for less than one-fourth of strings v of length p(n) + 1, $acc_N(x \# v) = 2^{q(n, p(n)) 1}$.

Now define functions f and g as follows: For each $x \in \Sigma^n$ and i, $1 \le i \le 2^{p(n)+1}$, $f(i, x) = \text{acc}_N(x \# v)$, where v is the ith smallest string among those of length p(n) + 1, and for each x.

$$g(x) = \text{plu}*(f(1, x), ..., f(2^{p(|x|)+1}, x)).$$

We claim that for all $x \in \Sigma^*$ of length $n, x \in L$ if and only if $g(x) = 2^{q(n, p(n))-1}$. To see one direction, assume $x \in L$. Then, by condition (c) above, more than one-fourth of the integers i with $1 \le i \le 2^{p(n)+1}$ satisfy $f(i, x) = 2^{q(n, p(n))-1}$. Moreover, by conditions (a), (b), and (d), for all positive integers k other than $2^{q(n, p(n))-1}$, there are less than onefourth of the integers i with $1 \le i \le 2^{p(n)+1}$ such that f(i, x) = k. Therefore, $2^{q(n, p(n))-1}$ is the most commonly occurring number in $(f(1, x), ..., f(2^{p(n)+1}, x))$; that is, $g(x) = 2^{q(n, p(n))-1}$. To see the converse, assume $x \notin L$. Then, by condition (a) above, one-fourth of the integers iwith $1 \le i \le 2^{p(n)+1}$ satisfy f(i, x) = 0. On the other hand, by condition (d), less than one-fourth satisfy f(i, x) = $2^{q(n, p(n))-1}$. Thus $2^{q(n, p(n))-1}$ is not a most commonly occurring number in $(f(1, x), ..., f(2^{p(n)+1}, x))$; that is, $g(x) \neq 2^{q(n, p(n))-1}$.

Since g is in $PF^{\#P[1]}$ by our assumption on the closure property of #P under the plurality operators, we can conclude that L is in $P^{\#P[1]}$.

To show the converse implication, assume that $PP^{PP} = P^{\#P[1]}$. Let g(x) = plu*(f(1, x), ..., f(e(x), x)), where f is a function in #P and e is a function in PF. We show that g is in PF^{CH} .

We first define a set G by $G = \{x \# k : g(x) = k\}$. The following characterization of G is immediate from the definition of the plu* operator: for all $x \in \Sigma^*$ and all integers k, we have $x \# k \in G$ if and only if

- (i) $\forall k' [\| \{ i \le e(x) : f(i, x) = k' \} \| \le \| \{ i \le e(x) : f(i, x) = k \} \| \}$,
- (ii) $\forall k' < k [\| \{ i \le e(x) : f(i, x) = k' \} \| < \| \{ i \le e(x) : f(i, x) = k \} \|].$

This implies that G is in co-NP^{PPP} \subseteq CH. Next, we define a set H by $H = \{x \# j : \text{ the } j \text{th bit of } g(x) \text{ is one} \}$. It is obvious that H is in NP^G. Hence H is also in CH. Since g is in PF^H, we can conclude that g is in PF^{CH}. Combining Proposition 2.1 with our assumption, this implies that g is in PF $^{\#P[1]}$.

COROLLARY 4.4. #P is closed under **plu** in the context of $PF \circ \#P$ if and only if the counting hierarchy CH collapses to $P^{\#P[1]}$

Finally, we consider the maximum operators. Here, we have a slightly different result, which indicates in turn that the maximum operators are weaker than the other operators considered so far. Krentel [Kre88] showed that the maximum operators applied to polynomial-time computable functions characterize PF^{NP}. By this result, we can observe that the maximum operators are strong enough to capture the computational power of NP-computations. The following result is inspired with this observation.

Theorem 4.5. #P is closed under max in the context of $PF \circ \#P$ if and only if $P^{\#P[1]} = NP^{PP}$.

Proof. Assume that #P is closed under \max in context PF $\circ \#P$. We will show that every language in NP^{PP} belongs to P^{#P[1]}. Let L be in NP^{PP}. By Lemma 2.2(3), there exist a set $A \in C = P$ and a polynomial p such that for all $x \in \Sigma^*$,

$$x \in L \Leftrightarrow \|\{w \in \Sigma^p(|x|): x \# w \in A\}\| \geqslant 1.$$

Moreover, by Lemma 2.2(1), there exist a polynomial time-bounded nondeterministic machine M and a polynomial $q(\cdot, \cdot)$ such that for all $x \in \Sigma^n$ and all $w \in \Sigma^{p(n)}$, we have $\operatorname{total}_M(x \# w) = 2^{q(n, p(n))}$, $\operatorname{acc}_M(x \# w) \leq 2^{q(n, p(n))-1}$, and $x \in A$ if and only if $\operatorname{acc}_M(x \# w) = \operatorname{rej}_M(x \# w) = 2^{q(n, p(n))-1}$.

Define functions f and g as follows. For each $x \in \Sigma^n$ and $i, l \le i \le 2^{p(n)}$, $f(i, x) = \text{acc}_M(x \# w)$, where w is the ith smallest string in $\Sigma^{p(n)}$, and for each x,

$$g(x) = \max(f(1, x), ..., f(2^{p(n)}, x)).$$

We claim that for all $x \in \Sigma^*$ of length $n, x \in L$ if and only if $g(x) = 2^{q(n, p(n))-1}$. To see one direction, assume $x \in L$. Then there exists $w \in \Sigma^{p(n)}$ such that $x \# w \in A$; that is, there exists an integer $i, 1 \le i \le 2^{p(n)}$, such that $f(i, x) = 2^{q(n, p(n))-1}$. Since $f(i, x) \le 2^{q(n, p(n))-1}$ for all integers i, we see that $g(x) = 2^{q(n, p(n))-1}$. To see the converse, assume $x \notin L$. Then there exists no string w of length p(n) such that $x \# w \in A$; that is, for all integers i with $1 \le i \le 2^{p(n)}$, we have $f(i, x) < 2^{q(n, p(n))-1}$. Thus we get that $g(x) < 2^{q(n, p(n))-1}$. Thus the claim holds. Since g is in $PF \circ \# P = PF^{\# P[1]}$ by our assumption, the above observation implies $L \in P^{\# P[1]}$.

To show the converse implication, assume NP^{PP} = $P^{\#P[1]}$. Let $g(x) = \max(f(1, x), ..., f(e(x), x))$, where f is a function in #P and e is a function in PF. We show that g is in PFH^{PP}. Then we can conclude, by Proposition 2.1, that g is in PF $^{\#P[1]}$.

Define $G = \{x \# k : g(x) = k\}$. It is obvious that for all $x \in \Sigma^*$ and all integers k, we have $x \# k \in G$ if and only if (i) there exists some $i \le e(x)$ such that f(i, x) = k and (ii) for all $j \le e(x)$, $f(j, x) \le k$. From (i) and (ii) we get that G is in PH^{PP}. Furthermore, define $H = \{x \# j : \text{ the } j \text{th bit of the binary representation of } g(x) \text{ is } 1\}$. It is obvious that H is in NP^G \subseteq PH^{PP}. Since g is in PH^H, we conclude that g is in PFH^{PP}.

Corollary 4.6. #P is closed under max in the context of $PF \circ \#P$ if and only if $PH^{PP} = P^{\#P[1]}$.

5. CONCLUDING REMARKS

We have studied closure properties of #P in context $PF \circ \#P$. As we have mentioned in Section 1, we are not restricting the context to the one we have chosen. Indeed, one can think of any complexity class with access to #P as context. Regarding the operators in this paper, however, our proof techniques can be carried over to larger classes. For example, we can show that #P is closed under **maj** in the context of $PF^{\#P}$ if and only if $P^{\#P} = PP^{PP}$.

But, for smaller classes, the situation seems to be different. In Section 1, we have mentioned that the modified subtraction of #P functions can be retrieved from another #P function. As a matter of fact, the post-computation can even be done by small circuits of constant depth, i.e., by AC⁰ circuits. So, we may say that #P is closed under modified subtraction in context $AC^0 \circ \#P$. But, this argument does not seem to hold for several other "hard" closure properties in [OH93]. Consider, for example, $\lfloor f(x)/g(x) \rfloor$ for $f \in \#P$ and nonzero $g \in \#P$. It is easy to design a #P function, say $h(z) = f(x) 2^{p(|x|)} + g(x)$ for some suitably large polynomial p, from which logarithmically depth-bounded circuits can compute the division (see [BCH86]). But, combining the result of Furst, Saxe, and Sipser [FSS84] with the easily provable fact that the parity function is AC⁰-reducible to integer division, it is seen that no AC⁰ circuit can compute the division from h above. Thus, studying the closure properties of #P in the context of $AC^0 \circ \#P$ would give us another insight on the nature of #P-computations and, hence, of the counting hierarchy. Particularly, as a first trial along this line, it is interesting to ask whether there is a #P function from which the division can be computed by AC⁰ circuits.

It would be meaningful to continue the investigation along the line described in this paper. In particular, it would be interesting to find more nontrivial closure properties of #P with respect to some reasonable contexts. Especially,

exhibiting an operator, like a majority, that, with a slight change in the definition, will drastically change its behavior as closure properties, will shed light on the properties of its related complexity classes, and may give some hint on how to actually separate those classes.

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