SELF-MODIFYING NETS, A NATURAL EXTENSION OF PETRI NETS

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1. Introduction

In this paper we study a natural extension of Petri nets and discuss the usefullness of this approach. Like ordinary Petri nets self-modifying nets are defined as multigraphs having edges of the form $O \xrightarrow{q} A$ and $A \xrightarrow{q} O A$. Circles denote places and bars represent transitions. But in opposition to ordinary Petri nets q may be either the number 1 or an arbitrary place p of the net. If q=1, as in the case of a Petri net, firing the transition means, that one token has to be moved from or to the place. But if q is a place p, then the number of tokens to be moved equals the actual number of tokens in p and thus depends on the actual marking. If for an actual marking M we define $n:= \text{If } q \in P \text{ THEN M}(q) \text{ ELSE } q \text{ and substitute } q \text{ by } n$, we obtain a Petri net and the firing rule for that marking is defined as usually. Therefore a self-modifying net is a Petri net, which is able to modify its own firing rules. Bounded models of such nets have been used to simulate national economic systems /Fu/.

The aim of this paper is threefold:

- 1. Self-modifying nets have a greater computational power than Petri nets. This is shown using the notation of net languages of Petri nets.
- 2. Self-modifying nets can be used to increase the understanding of Petri nets. Some questions open for Petri nets can be answered for self-modifying nets and counterexamples to disprove incorrect results on Petri nets can be constructed.
- 3. Problems in the design and analysis of concurrent processes can be solved by using self-modifying nets in a way which is natural with respect to the problem. This is shown by a first result applicable for different kinds of synchronisation problems.

2. Definitions

Throughout this paper IN denotes the set of nonnegative integers. A N-subset of a set X is a function A: $X \to IN$ /Ei/. For each $x \in X$ the

element $A(x) \in IN$ is called the multiplicity with which x belongs to A. A self-modifying net (SM-net) $N = (P,T,pre,post,M_o)$ is defined by a set of places $P = \{p_1,\dots,p_n\}$,

a set of transitions $T = \{t_1, \dots, t_b\}$, disjoint with P,

a IN-subset M of P, called initial marking of N, and

two IN-subsets pre and post of P × P₁ × T, where P₁ := P \cup {1} and 1 & P. N is called a <u>post-self-modifying net (PSM-net)</u> if pre is a IN-subset of P × {1} × T and N is a <u>Petri net (P-net)</u> if both pre and post are IN-subsets of P × {1} × T.

A graphical representation of N as a bipartite multigraph is obtained by representing each $(p,q,t) \in P \times P_1 \times T$ such that $Pre(p,q,t) = n \neq 0$ by n copies of an edge $Q \longrightarrow Q$ and each such tuple with post $(p,q,t) = m \neq 0$ by m

copies of an edge $\begin{picture}(20,0)\put(0,0){\line(0,0){15}}\put(0$

found in figures 1, 2 and 3. A marking of the SM-net N is a IN-subset of P.

Sometimes a marking is written as a vector $M = \begin{pmatrix} M(p_1) \\ \vdots \\ M(p_n) \end{pmatrix} \in \mathbb{N}^a$ or as a word

 $M(p_1)$ $M(p_2)$ $M(p_2)$ $M(p_3)$ $M(p_3)$ $M \in P$ defined by p_1 p_2 p_3 . In the last case exponents identical to one and letters having zero exponents are omitted.

Given a marking $M \in \mathbb{N}^a$ we define $v_M: P_1 \longrightarrow IN$ by $v_M(q) := IF \ q \in P$ THEN M(q) ELSE 1 . A transition $t \in T$ is <u>firable</u> for a given marking $M \in \mathbb{N}^a$

if for all $p \in P$: $M(p) \geqslant \sum_{q \in P_{\uparrow}} pre(p,q,t) \cdot v_{M}(q)$. A transition $t \in T$ <u>fires</u> a marking M to a marking M': $M \xrightarrow{t} M'$: \Leftrightarrow t is firable in M and

 $\forall p \in P : M'(p) = M(p) - \sum_{q \in P_q} pre(p,q,t) \cdot v_M(q) + \sum_{q \in P_q} post(p,q,t) \cdot v_M(q).$

We now give an equivalent definition of SM-nets similar to the 'matrix-definition' of P-nets /Ha/.

Let be $\sum := \left\{ n_0 + \sum_{i=1}^a n_i p_i \mid n_i \in \mathbb{N} \right\}$ a set of formal sums. For a marking $M \in \mathbb{N}^a$ and $\delta = n_0 + \sum_{i=1}^a n_i p_i$ define $\delta(M) := n_0 + \sum_{i=1}^a n_i \cdot M(p_i)$. Then a SM-net N can be defined by $N = (P,T,B,F,M_0)$, where P, T and M₀ are defined as before. $B: P \times T \longrightarrow \sum$ is the backwards incidence function and $F: P \times T \longrightarrow \sum$ is the forwards incidence function. For a given marking M B_M and F_M are functions from $P \times T$ to IN defined by $B_M(p,t) := B(p,t)(M)$ and $F_M(p,t) := F(p,t)(M)$. B_M and F_M are matrices over IN and $\Delta_M := F_M - B_M$ is

called the incidence matrix of N in the marking M.

We now have : M \xrightarrow{t} M' \iff M \geqslant B_Mt \land M' = M + Δ _Mt .

In this notation $t \in T$ is identified with the corresponding unit vector $t \in IN^b$ defined by t(i) := IF t = t, THEN 1 ELSE 0.

We now come to the definition of a net language. The net language is the set of all finite sequences of transitions firable from the initial marking, and therefore represents the set of all possible sequences of actions of a concurrent process. If a set of terminal conditions is given, the language is defined as the set of all firing sequences ending with a terminal condition. Terminal conditions are represented by finite sets of terminal markings.

In applications several transitions can play the role of the same action under different conditions. Therefore all these transitions can be labelled by a single letter. This will be described by a labelling function. The appearance of the empty word λ as a label can be interpreted as a transition which is not important from an external point of view.

For any finite sequence $w = t_1 t_2 \cdots t_1 \in T^*$ of transitions and for markings M, M' the <u>firing relation M</u> \xrightarrow{W} M' is recursively defined by:

M \xrightarrow{A} M (λ is the empty word) and \forall $w \in T^* \ \forall t \in T : M \xrightarrow{tw} M' \iff$ \exists M'': M \xrightarrow{t} M'' \xrightarrow{W} M'. Let be (P,T,B,F,M₀) a SM-net, $\mathcal{M}_t \subseteq T^*$ a finite set of terminal markings and h: T $\xrightarrow{A} X \cup \{\lambda\}$ a <u>labelling function</u>. Then N = (P,T,B,F,M₀, \mathcal{M}_t ,h,X) is called a <u>labelled net with terminal marking set</u>. For a labelled SM-net N with terminal marking set we define the <u>terminal language</u> of N by:

$$L_{o}(N) := \{ h^{*}(w) \mid \exists M \in \mathcal{M}_{t} : M_{o} \xrightarrow{W} M \}$$

(h *: T * \longrightarrow X * is the monoid homomorphism generated by h. h is called λ -free if h(t) \neq λ for all t \in T.)

From this the following families of languages are defined :

the family of terminal SM-languages:

$$\mathcal{I}_{O}^{\lambda}(SM) := \{L_{O}(N) \mid N \text{ is a SM-net }\}$$

the family of λ -free terminal SM-languages:

$$\mathcal{I}_{o}(SM) := \{L_{o}(N) \mid N \text{ is a SM-net with } \lambda \text{-free h} \}$$

By restricting SM-nets to PSM-nets and P-nets we obtain the families $\mathcal{L}_0^{\lambda}(PSM)$, $\mathcal{L}_0^{\lambda}(P)$ and $\mathcal{L}_0^{\lambda}(PSM)$, $\mathcal{L}_0^{\lambda}(P)$, respectively.

Sometimes we refer to the well-known reachability problem for P-nets. Since we do not know at this time, whether the proof of /ST/ showing its decidability is true, we sometimes refer to the following assumption:

(R): "the reachability problem for P-nets is solvable".

3. Languages generated by SM-nets

We now prove that languages generated by SM-nets, PSM-nets and P-nets are different, which shows that their computational power is different.

Let be X := $\{x_1, x_2\}$ an alphabet and w^R the reversal of a word w. The following languages will be used :

$$\mathbf{L}_{\mathbf{R}} := \; \left\{ \, \mathbf{w} \mathbf{w}^{\, \mathbf{R}} \; \mid \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{X}} \; \right\} \quad \text{and} \quad \mathbf{L}_{\mathbf{R} \mathbf{y}} \; := \; \left\{ \; \mathbf{w} \mathbf{w}^{\, \mathbf{R}} \mathbf{y}^{\, \mathbf{f}} \left(\mathbf{w} \right) \; \mid \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{X}} \; \right\} \; \text{where} \; \left\{ \; \mathbf{v} \left(\mathbf{w}^{\, \mathbf{R}} \mathbf{y}^{\, \mathbf{f}} \left(\mathbf{w} \right) \; \mid \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right) \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{ \; \mathbf{w} \in \mathbf{X}^{\, \mathbf{K}} \; \right\} \; \left\{$$

f(w) := IF lg(w) > 3 THEN $3^{lg(w)-2}$ ELSE 0 and lg(w) denotes the length of the word w. It is known from /Pe/ that L_R cannot be generated by λ -free P-nets.

Lemma 1: $L_p \in \mathcal{L}_0(SM)$

proof: Consider the SM-net N = (P,T,B,F,Mo) given by the graph in figure 1. (A label 2p stands for two edges, both labelled by p.) Let be Mo = pop'' the initial marking and $M_t = \{M_t\} = \{p_t\}$ the set of terminal markings. The λ -free labelling function h: $T \to X$ is given in the graph. We shall prove that $L_o(N) = L_R' := \{ww^R \mid w \in XXXX^K\}$. Since $\mathcal{L}_o(SM)$ is closed by union and contains all finite sets, it then follows $L_R \in \mathcal{L}_o(SM)$. Let be w = xvyy'v'x' with $v = x_1 x_2 \cdots x_1$, $v' = x_1 x_2 \cdots x_1$, and x,x',y,y',x_1 , x,y_1 , x_2 . Then after firing xvyy'v' in the places p and p' are $c_v = \sum_{k=0}^{n-1} 3^k \cdot i_{n-k}$ and $c_{v'} = \sum_{k=0}^{m-1} 3^k \cdot j_{k+1}$ tokens, respectively. The terminal marking p_t can be reached only if by a firing the places p and p' become empty. Thus $w \in L_o(N)$ iff $c_v = c_v$ and x = x' and y = y', i.e. $y'v'x' = (xvy)^R$.

<u>Lemma 2</u>: $L_R \in \mathcal{L}_o^{\lambda}(PSM)$ and $L_{Ry} \in \mathcal{L}_o(PSM)$

proof: The net of fig. 1 is not a PSM-net since it includes the arrows starting in the places p, p' and p'', the task of which was to perform the test. All other arrows are also allowed for PSM-nets. But this test can also be done by a repeated firing of a transition which removes one token simultanously from p and p'. This transition must be labelled by the empty word λ . Furthermore place p'' must be emptied by a λ -transition. Thus we get $L_R \in \mathcal{L}_0^{\lambda}(\text{PSM})$. To prove $L_{Ry} \in \mathcal{L}_0(\text{PSM})$ the labels of transitions counting down p, p' and p'' are supposed to be the letter y. QED

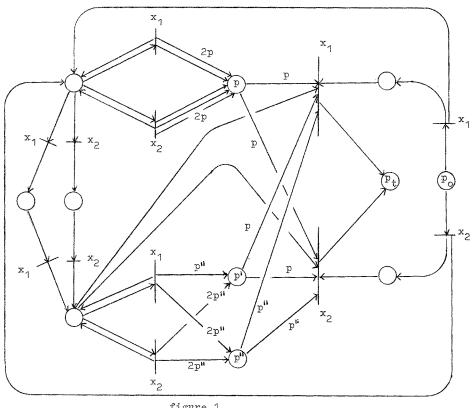


figure 1

Lemma 3 : $L_R \notin \mathcal{L}_{0}(PSM)$

proof : Suppose $L_R = L_o(N)$ for a PSM-net N having card(P) = a places, initial marking $M_0 = p_0$ and $M_t = \{M_t\} = \{\underline{0}\}$ as a set of terminal markings ($\underline{0}$ denotes the zero marking). Since there are 21 different words of length 1 over the alphabet $X = \{x_1, x_2\}$, the net must be able to reach at least 2^{1} different markings after firing 1 times. Let be $k := \max\{B(p,t) \mid p \in P, t \in T\}$ k is well-defined for PSM-nets. We choose a number 1 € IN such that

$$2^{1} > (k \cdot 1 + 1)^{a}$$
 (I)

Having a number of a places and assuming at most q tokens in any place there are (q + 1)a different markings. Since by the assumption 2 different markings can be reached after 1 = lg(w) firings, at least one place p must contain

 $\hat{q} > 2^{1/a}$ -1 tokens. But by any transition at most k tokens can be removed from a single place, i.e. after firing a sequence of transitions labelled by the letters of the sequence w^R at most $k \cdot \lg(w) = k \cdot 1$ tokens can be removed from \hat{p} . As a consequence of (I) it follows:

$$\hat{q} - k \cdot 1 > 2^{1/a} - 1 - k \cdot 1 > 0$$

i.e. the terminal marking M_{t} cannot be reached. This is a contradiction. If the net has arbitrary initial and terminal markings, similar arguments can be applied. QED

The last proof is a simplified version of the proof that L_R is not in $\mathcal{Z}_o(P)$ in /Pe/. By the same method the following lemma can be proved.

Lemma 4: $L_{Rv} \notin \mathcal{L}_{o}(P)$

The preceeding lemmas establish a strict hierarchie for λ -free nets:

Theorem 1: $\mathcal{L}_{\circ}(P) \subsetneq \mathcal{L}_{\circ}(PSM) \subsetneq \mathcal{L}_{\circ}(SM)$

proof: $L_{Ry} \in \mathcal{L}_{o}(PSM)$ - $\mathcal{L}_{o}(P)$ (by lemmas 2 and 4) $L_{R} \in \mathcal{L}_{o}(SM)$ - $\mathcal{L}_{o}(PSM)$ (by lemmas 1 and 3) QED

We now answer the question whether the introduction of λ -transitions increases the family of SM-languages as in the case of P-nets /Ja/.

Lemma 5 : $\mathcal{L}_{o}^{h}(PSM)$ is an intersection closed full semi-AFL, i.e. closed under intersection, union, intersection with regular sets, homomorphisms and inverse homomorphic images.

The proof is essentially the same as the proof for the same assertion for the family $\mathcal{Z}_0^{\lambda}(P)$ /Ha2,Pe/. This shows the structural similarity of SM-nets and P-nets.

As a corollary we obtain the following theorem.

Theorem 2: $\mathcal{L}_{0}^{\lambda}(PSM) = \mathcal{L}_{0}^{\lambda}(SM)$ is the family RE of recursively enumerable languages.

proof: Obviously we have $\mathcal{L}_o^{\lambda}(\text{PSM}) \subseteq \mathcal{L}_o^{\lambda}(\text{SM}) \subseteq \text{RE}$. RE is the smallest intersection-closed full semi-AFL containing the language L_{R} /BB/, hence by lemmas 2 and 5: $\mathcal{L}_o^{\lambda}(\text{PSM}) \supseteq \text{RE}$. QED

 $R(N) := \left\{ \begin{array}{l} \texttt{M} \in \mathbb{IN}^{\mathbb{A}} \ \middle| \ \exists \texttt{W} \in \texttt{T}^{\text{*}} : \texttt{M} \xrightarrow{\texttt{W}} \texttt{M} \quad \text{is the } \underline{\text{set of reachable}} \\ \underline{\text{markings}} \text{ or the reachability set of a SM-net. N is called } \underline{\text{deadlockfree}}, \text{ if} \\ \text{for every reachable marking M } \mathcal{E}(N) \text{ at least one transition is firable. The} \\ \underline{\text{reachability problem}} \text{ for SM-nets is the question whether for a given SM-net} \\ N \text{ a given marking M}_{+} \text{ belongs to } R(N). \end{array}$

Corollary 1: The reachability problem is undecidable for both, PSM-nets and SM-nets.

proof: By theorem 2 the reachability problem for PSM-nets is equivalent to the emptyness problem in RE. QED

Remark: By similar arguments as above $\mathcal{L}_{o}(\text{PSM})$ can be shown to be a semi-AFL. Furthermore it can be proved that $\mathcal{L}_{o}(\text{PSM})$ is closed under the Kleene star operator. Therefore $\mathcal{L}_{o}(\text{PSM})$ is an intersection-closed AFL. By the argument used in the proof of theorem 2 and corollary 1 from (R) it follows also $L_{\text{R}} \notin \mathcal{L}_{o}^{\lambda}(\text{P})$ /Ja/.

Theorem 3:
$$\mathcal{I}_{o}(P) \nsubseteq \mathcal{I}_{o}(PSM) \nsubseteq \mathcal{I}_{o}(SM)$$
 $\uparrow^{\lambda}(P) \subseteq \mathcal{I}_{o}^{\lambda}(PSM) = \mathcal{I}_{o}^{\lambda}(SM) = RE$

and $\mathcal{I}_{o}^{\lambda}(P) \nsubseteq \mathcal{I}_{o}^{\lambda}(PSM)$ if (R) is true.

proof: By lemmas 2 and 3 we have $L_R \in \mathcal{L}_o^{\lambda}(PSM)$ - $\mathcal{L}_o(PSM)$. $\mathcal{L}_o(SM)$ is a subset of the family of decidable languages, hence $\mathcal{L}_o(SM) \neq RE$. From the remark it follows $L_R \in \mathcal{L}_o^{\lambda}(PSM)$ - $\mathcal{L}_o^{\lambda}(P)$ if (R) is true. $\mathcal{L}_o(P) \neq \mathcal{L}_o^{\lambda}(P)$ is shown in /Ja/.

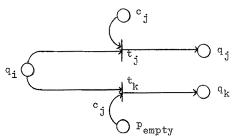
4. Decidability of boundedness

Decidability of boundedness is one of the most characteristic properties of Petri nets. In this section it will be shown, that boundedness remains decidable for PSM-nets, but becomes undecidable for SM-nets. Thus the class of PSM-nets is a finite device generating all recursively enumerable languages, for which boundedness of memory requirements is decidable.

A SM-net N = (P,T,B,F,M_o) is bounded, if there is an integer n such that M(p) \leq n for all places p \in P and all reachable markings M \in R(N).

Theorem 4: Given a SM-net, it is undecidable whether it is bounded.

proof: Since for a counter automaton with at least two counters boundedness is undecidable, it suffices to show that every counter automaton can be simulated by a SM-net. Every counter can be simulated by a place. The finite control can be trivially represented by a (safe) SM-net. As in the counter automaton the simulating SM-net is able to increase the content of a specified counter in some state. The essential point, however, is to show how a test q_i : If $c_j > 0$ THEN $c_j \leftarrow c_j - 1$ GOTO q_j ELSE GOTO q_k of the counter automaton can be simulated by a net. q_i is the actual state, q_j and q_k are possible next states and c_j is the content of a counter j. This simulation can be done in the following way, where p_{empty} is a place, which never contains a token.



Then t_j can be fired iff c_j is not empty and t_k is firable iff c_j is empty.

Theorem 5: Given a PSM-net, it is decidable whether it is bounded.

proof: We first prove the following property (I) of PSM-nets: Given a PSM-net N = (P,T,B,F,M₀) and markings M₁, M₂, M'₁ \in IN² and a transition t \in T such that M₁ \xrightarrow{t} M₂ and M'₁ \geqslant M₁ and M'₁ \neq M₁ then t is firable for M'₁ to a marking M'₂ and M'₁ \xrightarrow{t} M'₂ and M'₂ \neq M₁.

Since t is firable for M₁ we have M₁ \geqslant B_{M1}t. But in the case of a PSM-net we have B_{M2} = B_M for all M \in IN^a and therefore M'₁ \geqslant M₁ \geqslant B_{M1}t and t is firable for M'₁. M'₁ \geqslant M₁ implies F_{M1} \geqslant F_{M1}. Therefore it follows $\Delta_{M_1} = F_{M_1} - B_{M_1} = F_{M_1} - B_{M_1} = F_{M_1} - B_{M_1} = A_{M_1}$ and M'₂ = M'₁ + Δ_{M_1} t \geqslant M₁ + Δ_{M_2} t = M₂ and M'₂ = M₂

would imply M, = M'.

Clearly property (I) remains true if transition t is substituted by a finite sequence we T*of transitions. In /Ha1/ a decision procedure for boundedness of P-nets is given using the 'coverability tree'. This proof is due to Karp and Miller. Observing property (I) this proof can be rewritten for PSM-nets.

QED

Remark : By theorem 5 the proof of $\mathcal{L}_0^{\lambda}(P) \neq RE$ in /Ha2/ also applies to $\mathcal{L}_0^{\lambda}(PSM)$ in contradiction to theorem 2. Therefore the proof in /Ha2/ is false.

The decision procedure for regularity of P-nets as given in /VV/ can also be applied to PSM-nets.

Let be RMa the class of nondeterministic register machines which have conditional goto-instructions and instructions allowing to increment the content of one register by the content of a second register. Then the family of λ -free terminal PSM-languages equals the family of quasi-real-time languages accepted by those register machines. The proof is long and technical, but shows how to work with PSM-nets /Va/.

We now summarize some of the properties stated about SM-nets:

	P-nets	PSM-nets	SM-nets
$L_R \in \mathcal{L}_o$	no /Pe/	no	yes
L _R \in I_o	no, if (R) is true /Ja/	yes	yes
$\mathcal{I}_{o}^{\lambda} = RE$	no, if (R) is	yes	yes
reachability decidable	yes, if (R) is true	no	no
boundedness decidable	yes	yes	no
$I_0 = I_0^{\lambda}$	no /Ja/	no	no

5. Deadlock-freeness of concurrent processes

In this chapter we show that SM-nets can be used to find elegant descriptions of synchronisation problems. Since unrestricted Petri nets are too powerful to guarantee wellstructured solutions, also the use of SM-nets must be strongly restricted.

A SM-net is called to be <u>spontaneous</u>, if for every reachable marking $M = p_1 p_1 \cdots p_1$ and every $j \in \{1, \dots, m\}$ there is a transition t, which is firable for M and which moves at least one token from the place p_1 . If B(p,q,1) = 1 is true for a SM-net, we speak of the <u>edge</u> (p,q,t) of the net. It is called a <u>SM-edge</u>, if q is a place of the net. A <u>spontaneous process</u> <u>system with constraints (SPSC)</u> is a spontaneous P-net $N = (P,T,B,F,M_0)$, to which for edges (p,1,t) new SM-edges (p,p',t) may be added. Then the P-net N is called the underlying P-net of the SPSC.

Although the definition of a SPSC seems to be very restricted, it is rather usefull for practical synchronisation problems.

If $N = (P,T,B,F,M_o)$ is a SPSC, then we define <u>connected places</u> by $p \sim p'$: \iff $\exists t \in T : B(p,1,t) = B(p',1,t) = 1$. Every sequence of SM-edges (p_o,p_o,t_o) , (p_1,p_1',t_1) ,..., (p_n,p_n',t_n) such that $p_1' \sim p_{i+1}$ for all $0 \le i \le n-1$ and $p_n' \sim p_o$ is called to be a <u>critical circuit</u>. (For n = 0 the SM-edge (p_o,p_o',t_o) with $p_o \sim p_o'$ is a critical sequence.)

Lemma 6: Let be M a marking in a SPSC and $p_0 \in M$. If the token in p_0 cannot be fired away in the SPSC, but can be fired away in the underlying P-net, then there exist $p_0' \in M$, $p_1 \in M$, $p_0' \sim p_0$ and (p_0', p_1, t_0) is an edge of the SPSC.

Theorem 6: A spontanous process system with constraints is deadlockfree, if it contains no critical circuit.

proof: Let be N = (P,T,B,F,M_o) a SPSC without critical circuits and M = $p_{i_1}p_{i_2}\cdots p_{i_m}$ a reachable marking. We prove that there is a transition, which is firable in M. Let be p_o a place with a token in M. If this token can be

fired away, the proof ends. If this not the case, by the lemma there are p'_{0} and p_{1} containing a token with $p'_{0} \sim p_{0}$ and (p'_{0}, p_{1}, t_{0}) is an edge. Suppose that starting in this way a sequence $(p'_{1}, p_{i+1}, t_{i})_{0 \le i \le n}$ with $p_{i} \sim p'_{i}$, and all p_{i} , p'_{i} contain a token $(0 \le i \le n)$, has been constructed. If the token in p_{n+1} can be fired away, the proof ends, if not then by the lemma the sequence can be continued for $0 \le i \le n+1$. Finally, since n > card(P) would imply, that in contradiction to the assumption the net contains a critical circuit. Therefore the construction must stop, and the net is deadlockfree.

As an example of a SPSC consider a SM-net representation of the second reader writer problem of /CHP/ in figure 2. Places rc and wc represent the variables 'readcount' and 'writecount' of /CHP/, respectively. It is obvious, that the underlying P-net is spontancus. Since there is no critical sequence, the net is deadlockfree.

Remark: From (R) it can be deduced, that this problem cannot be modelled by Petri-nets assuming an unbounded number of writers.

As a second example consider the SM-net representation of an incorrect solution for the five-philosophers-problem in figure 3. A token in place p_i means "philosopher i is thinking", l_i means "philosopher i took the left fork", e_i means "philosopher i took both forks and is eating". The net is a SPSC and contains $(l_i, l_{i+1}, t_i)_{1 \le i \le 5}$ with $l_6 = l_1$ as a critical circuit. It is deadlocked in the marking $M = l_1 l_2 l_3 l_4 l_5$. Other examples of deadlockfree SPSC, where the theorem is applicable, are the first reader-writer-problem in /CHP/, the correct solution of the five-philosophers-problem and the SM-net representation of the airline reservation system in /As/. In the last case the structure of the underlying P-net is essentially the structure of a state machine net and therefore trivially spontanous.

For more complex systems similar results have been found using underlying P-nets, where the behaviour of the tokens can be described as a flow.

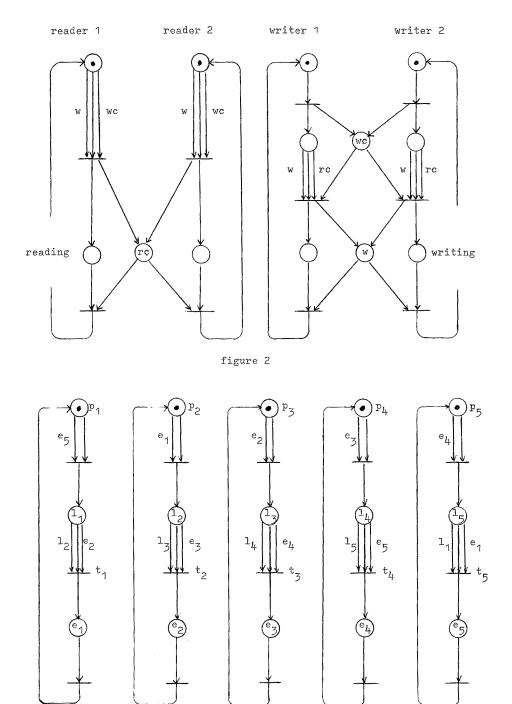


figure 3

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