

# Concurrent regular expressions and their relationship to Petri nets

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## *Abstract*

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We define algebraic systems called concurrent regular expressions which provide a modular description of languages of Petri nets. Concurrent regular expressions are extension of regular expressions with four operators – interleaving, interleaving closure, synchronous composition and renaming. This alternative characterization of Petri net languages gives us a flexible way of specifying concurrent systems. Concurrent regular expressions are modular and, hence, easier to use for specification. The proof of equivalence also provides a natural decomposition method for Petri nets.

## 1. Introduction

Formal models proposed for specification and analysis of concurrent systems can be categorized roughly into two groups: *algebra-based* and *transition-based*. The algebra-based models specify all possible behaviors of concurrent systems by means of expressions that consist of algebraic operators and primitive behaviors. Examples of such models are path expressions [3], behavior expressions [21] and extended regular expressions. Examples of tools to analyze the specifications based on such models are Path Pascal [4], COSY [17], CCS [21] and Paisley [30]. The transition-based models provide a computational model in which the behavior of the system is generally modeled as a configuration of an automaton from which one or more transitions are possible. Examples of the transition-based models are finite state machines [12], S/R Model [1], UCLA graphs [5], and Petri nets [22, 27]. Examples of modeling and analysis tools based on these models are Spanner [1], Affirm [9] and PROTEAN [2].

Algebraic systems promote hierarchical description and verification, whereas transition-based models have the advantage that they are graphical in nature. For this reason, it is sometimes easier to use an algebraic description, and other times a transition-based description. *We believe that a formal description technique should support both styles of descriptions.* In this paper, we propose an algebraic model called concurrent regular expressions for modeling of concurrent systems. These expressions can be converted automatically to Petri nets; thus, all analysis techniques that are applicable to Petri nets can be used. Conversely, any Petri net can be converted to a concurrent regular expression providing further insights into its language.

The languages of Petri nets have also been studied in [10, 23, 26, 28, 29]. Hack [10] and Peterson [23] studied closure properties of Petri net languages, but did not provide any characterization of their languages. Crespi-Reghizzi and Mandrioli [26] provide a characterization in terms of Szilard languages of matrix context-free languages. Our characterization is much simpler and provides a clear relationship between regular sets and Petri net languages. Moreover, it uses operators that arise naturally in modeling concurrent systems such as interleaving and synchronous composition.

All the existing models can also be classified according to their inherent expressive power. For example, a finite-state machine is inherently less expressive than a Petri net. However, the gain in expressive power comes at the expense of analyzability. Analysis questions such as reachability are more computationally expensive for Petri nets than for finite-state machines. A complex system may consist of many components requiring varying expressive power. *We believe that a formal description technique should support models of different expressive powers under a common framework.* An example of such a description technique for syntax specification is Chomsky hierarchy of models based on grammar. A similar hierarchy is required for formal description of distributed systems. The model of concurrent regular expressions provides such a hierarchy. A regular expression is less expressive than a unit expression, which, in turn, is less expressive than a concurrent regular expression.

As mentioned earlier, there are many existing algebraic models for specification of concurrent systems, e.g. CCS [21], CSP [11] and FRP [13]. These models do not have any equivalent transition-based model. Similarly, they do not support a hierarchy of models like we do. Path expressions [17] were shown to be translatable to Petri nets and, thus, analyzable for reachability properties [14, 15, 19]. Concurrent regular expressions are more general than path expressions as they are equivalent to Petri nets.

We have used interleaving semantics rather than true concurrency as advocated in [25] and [27]. This assumption is in agreement with CSP [11] and CCS [20, 21]. In this paper, we have further restricted ourselves to modeling deterministic systems so that the languages are sufficient for defining behaviors of a concurrent system. We have purposely restricted ourselves from defining finer semantics, such as failures [11] and synchronization trees [21], as the purpose of this paper is to introduce a basic model to which these concepts can be added later. In particular, it is easy to add a nondeterministic *or* operator and failure semantics [11].

This paper is organized as follows. Section 2 defines concurrent regular expressions. It also describes the properties of operators used in the definition. Section 3 gives some examples of the use of concurrent regular expressions for modeling distributed systems. Section 4 compares the class of languages defined by concurrent regular expressions with regular, and Petri net recognizable languages.

## 2. Concurrent regular expressions

We use languages as the means for defining behaviors of a concurrent system. A language is defined over an alphabet and, therefore, two languages consisting of the same strings but defined over different alphabet sets will be considered different. For example, null languages defined over  $\Sigma_1$  and  $\Sigma_2$  are considered different. We will generally indicate the set over which the language is defined, but may omit it if clear from the context.

We next describe operators required for definition of concurrent regular expressions.

### 2.1. Choice, concatenation, Kleene closure

These are the usual regular expression operators. *Choice* denoted by “+” is defined as follows. Let  $L_1$  and  $L_2$  be two languages defined over  $\Sigma_1$  and  $\Sigma_2$ . Then

$$L_1 + L_2 = L_1 \cup L_2 \text{ defined over } \Sigma_1 \cup \Sigma_2.$$

This operator is useful for modeling the choice that a process or an agent may make.

The *concatenation* of two languages (denoted by  $.$ ) is defined based on usual concatenation of two strings as

$$L_1 . L_2 = \{x_1 x_2 \mid x_1 \in L_1, x_2 \in L_2\}.$$

This operator is useful to capture the notion of a sequence of action followed by another sequence. The *Kleene closure* of a set  $A$  is defined as

$$A^* = \bigcup_{i=0,1,\dots} A^i, \text{ where } A^i = A . A \dots i \text{ times}.$$

This operator is useful for modeling the situations in which some sequence can be repeated any number of times. For details of these operators, the reader is referred to [12].

### 2.2. Interleaving

To define concurrent operations, it is especially useful to be able to specify the interleaving of two sequences. Consider for example the behavior of two independent vending machines VM1 and VM2. The behavior of VM1 may be defined as  $(\text{coin.choc})^*$  and the behavior of VM2 as  $(\text{coin.coffee})^*$ . Then the behavior of the entire

system would be an interleaving of VM1 and VM2. With this motivation, we define an operator called interleaving, denoted by  $\parallel$ . Interleaving is formally defined as follows:

$$\begin{aligned} a \parallel \varepsilon &= \varepsilon \parallel a = \{a\}, & \forall a \in \Sigma \\ a.s \parallel b.t &= a.(s \parallel b.t) \cup b.(a.s \parallel t) & \forall a, b \in \Sigma, s, t \in \Sigma^*. \end{aligned}$$

Thus,  $ab \parallel ac = \{abac, aabc, aacb, acab\}$ . This definition can be extended to interleaving between two sets in a natural way, i.e.

$$A \parallel B = \{w \mid \exists s \in A, t \in B, w = s \parallel t\}.$$

For example, consider two sets  $A$  and  $B$  as follows:  $A = \{ab\}$  and  $B = \{ba\}$ , then  $A \parallel B = \{abba, abab, baab, baba\}$ .

Note that similar to  $A \parallel B$ , we also get a set  $A \parallel A = \{aabb, abab\}$ . We denote  $A \parallel A$  by  $A^{(2)}$ . We use parentheses in the exponent to distinguish it from the traditional use of the exponent, i.e.  $A^2 = A.A$ .

Interleaving satisfies the following properties:

- (1)  $A \parallel B = B \parallel A$  (*Commutativity*).
- (2)  $A \parallel (B \parallel C) = (A \parallel B) \parallel C$  (*Associativity*).
- (3)  $A \parallel \{\varepsilon\} = A$  (*Identity of  $\parallel$* ).
- (4)  $A \parallel \emptyset = \emptyset$  (*Zero of  $\parallel$* ).
- (5)  $(A + B) \parallel C = (A \parallel C) + (B \parallel C)$  (*Distributivity over  $+$* ).

This operator, however, does not increase the modeling power of concurrent regular expressions as shown by the following lemma.

**Lemma 2.1.** *Any expression that uses  $\parallel$  can be reduced to a regular expression without  $\parallel$ .*

**Proof.** This follows from the equivalence between finite-state machines and regular expressions and the fact that the interleaving of two finite-state machines can also be simulated by a finite-state machine [12].  $\square$

### 2.3. Alpha closure

Consider the behavior of people arriving at a supermarket. We assume that the population of people is infinite. If each person CUST is defined as  $(enter.buy.leave)$ , then the behavior of the entire population is defined as interleaving of any number of people. With this motivation, we define an analogue of a Kleene closure for the interleaving operator,  $\alpha$ -closure of a set  $A$ , as follows:  $A^\alpha = \bigcup_{i=0,1,\dots} A^{(i)}$ .

Then if  $\#(a, w)$  mean the number of occurrences of the symbol  $a$  in the string  $w$ , the interpretation of  $CUST^\alpha$  is as follows:

$$CUST^\alpha = \{w \mid \text{for all prefixes } s \text{ of } w, \#(enter, s) \geq \#(buy, s) \geq \#(leave, s), \text{ and } \#(enter, w) = \#(buy, w) = \#(leave, w)\}.$$

Note the difference between Kleene closure and alpha closure. The language shown above cannot be accepted by a finite-state machine. This can be shown by the use of the pumping lemma for finite-state machines [12]. We conclude that alpha closure cannot be expressed using ordinary regular expression operators.

Intuitively, the alpha closure lets us model the behavior of an unbounded number of identical independent sequential agents. Alpha closure satisfies the following properties:

- (1)  $A^\alpha = A^\alpha$  (*Idempotence*).
- (2)  $(A^*)^\alpha = A^\alpha$  (*Absorption of  $*$* ).
- (3)  $(A + B)^\alpha = A^\alpha \parallel B^\alpha$ .

#### 2.4. Synchronous composition

To provide synchronization between multiple systems, we define a composition operator denoted by  $[]$ . Intuitively, this operator ensures that all events that belong to two sets occur simultaneously. For example, consider a vending machine VM described by the expression  $(\text{coin.choc})^*$ . If a customer CUST wants a piece of chocolate he must insert a coin. Thus, the event *coin* is shared between VM and CUST. The complete system is represented by  $\text{VM} [] \text{CUST}$ , which requires that any shared event must belong to both VM and CUST. Formally,

$$A [] B = \{w \mid w/\Sigma_A \in A, w/\Sigma_B \in B\},$$

where  $w/S$  denotes the restriction of the string  $w$  to the symbols in  $S$ . For example,  $acab/\{a,b\} = aab$  and  $acab/\{b,c\} = cb$ . If  $A = \{ab\}$  and  $B = \{ba\}$ , then  $A [] B = \emptyset$  as there cannot be any string that satisfies ordering imposed by both  $A$  and  $B$ . Consider another set  $C = \{ac\}$ . Then  $A [] C = \{abc, acb\}$ .

Many properties of  $[]$  are the same as those of the intersection of two sets. Indeed, if both operands have the same alphabet, then  $[]$  is identical to intersection.

- (1)  $A [] A = A$  (*Idempotence*).
- (2)  $A [] B = B [] A$  (*Commutativity*).
- (3)  $A [] (B [] C) = (A [] B) [] C$  (*Associativity*).
- (4)  $A [] \text{NULL} = \text{NULL}$ ,  $\text{NULL} = (\Sigma_A, \emptyset)$  (*Zero of  $[]$* ).
- (5)  $A [] \text{MAX} = A$ ,  $\text{MAX} = (\Sigma_A, \Sigma_A^*)$  (*Identity of  $[]$* ).
- (6)  $A [] (B + C) = (A [] B) + (A [] C)$  (*Distributivity over  $+$* ).

#### 2.5. Renaming

In many applications, it is useful to rename the event symbols of a process. Some examples are:

- *Hiding*: We may want some events to be internal to a process. We can do so by means of renaming these event symbols to  $\epsilon$ .
- *Partial observation*: We may want to model the situation in which two symbols  $a$  and  $b$  look identical to the environment. In such cases, we may rename both of these symbols with a common name such as  $c$ .

- *Similar processes*: Many systems often have “similar” processes. Instead of defining each one of them individually, we may define a generic process which is then transformed to the required process by renaming operator.

Let  $L_1$  be a language defined over  $\Sigma_1$ . Let  $\sigma$  represent a function from  $\Sigma_1$  to  $\Sigma_2 \cup \{\epsilon\}$ . Then  $\sigma(L_1)$  is a language defined over  $\sigma(\Sigma_1)$  as follows:

$$\sigma(L_1) = \{\sigma(s) \mid s \in L_1\}.$$

A renaming operator labels every symbol  $a$  in the string by  $\sigma(a)$ . We leave it to readers to derive the properties of this operator except for noting that it distributes over all previously defined operators except for synchronous composition.

## 2.6. Definition of CREs

A concurrent regular expression is any expression consisting of symbols from a finite set  $\Sigma$  and  $+$ ,  $.$ ,  $*$ ,  $[\ ]$ ,  $\parallel$ ,  $\alpha$ ,  $\sigma(\ )$  and  $\epsilon$ , with certain constraints as summarized by the following definition.

- Any  $a$  that belongs to  $\Sigma$  is a regular expression (RE). A special symbol called  $\epsilon$  is also a regular expression. If  $A$  and  $B$  are REs, then so are  $A.B$  (concatenation),  $A + B$  (or),  $A^*$  (Kleene closure).
- A regular expression is also a *unit* expression. If  $A$  and  $B$  are unit expressions, then so are  $A \parallel B$  (interleaving) and  $A^\alpha$  (indefinite interleaving closure).
- A unit expression is also a concurrent regular expression (CRE). If  $A$  and  $B$  are CREs then so are  $A \parallel B$ ,  $A[\ ]B$  (synchronous composition), and  $\sigma(A)$  (renaming).

The intuitive idea behind this definition is as follows. We assume that a system has multiple (possibly infinite) agents. Each agent is assumed to have a finite number of states and, therefore, can be modeled by a regular set. These agents can execute independently ( $\parallel$  and  $\alpha$ ) and a *unit expression* models a group of agents (possibly infinite) which do not interact with each other. The world is assumed to contain a finite number of these units which either execute independently ( $\parallel$ ) or interact by means of synchronous composition ( $[\ ]$ ).

## 3. Modeling of concurrent systems

In this section, we give some examples of the use of concurrent regular examples in modeling concurrent systems.

**Example 3.1** (*Producer consumer problem*). This problem concerns shared data. The producer produces items which are kept in a buffer. The consumer takes these items from the buffer and consumes them. The solution requires that the consumer wait if

no item exists in the buffer. The problem can be specified in concurrent regular expressions as follows:

```
producer :: (produce putitem)*,
consumer :: (getitem consume)*,
buffer :: (putitem getitem)α,
system :: producer [] buffer [] consumer.
```

The buffer process ensures that the number of *getitem* is always less than or equal to the number of *putitem*. Note that if  $\alpha$  is replaced by  $*$  in the description of the buffer, the system will allow at most one outstanding *putitem*.

**Example 3.2** (*Mutual exclusion problem*). The mutual exclusion problem requires that at most one process be executing in the region called *critical*. It is specified in CREs as follows:

```
contender :: (noncrit req crit exit),
constraint :: (req crit exit)*,
system :: contenderα[] constraint.
```

**Example 3.3** (*Ball room problem*). Consider a dance ball room where both men and women enter, dance and exit. Their entry and exit need not be synchronized but it takes a pair to dance. Also we would like to ensure that the number of women in the room is always greater than or equal to the number of men since idle men are dangerous! This system can easily be represented using a concurrent regular expression:

A man's actions can be represented by the following sequence:

```
man :: menter dance mexit.
```

A woman's actions as follows:

```
woman :: wenter dance wexit.
```

The constraint that the number of women always be greater can be expressed as:

```
constraint :: (wenter (menter mexit)* wexit)α.
```

Since any number of men and women can enter and exit independently (except for the constraint), the entire system is modeled as follows:

```
manα[] womanα[] constraint.
```

**Example 3.4.**  $(abc)^\alpha [] a^*b^*c^*$  accepts language  $\{a^n b^n c^n \mid n \geq 0\}$ . Note how the use of the operator  $\alpha$  lets us keep track of the number of *a*'s that have been seen in the string. This example shows that the strings which cannot be recognized even by pushdown automata can be represented by CREs.

#### 4. Relationship with Petri nets

In this section, we show that concurrent regular expressions characterize the class of Petri net languages. The proof of this characterization involves the following steps.

(1) We define an automata-theoretic model called decomposed Petri nets (DPNs). We show that any Petri net can be converted to a decomposed Petri net such that they have the same language. A DPN consists of one or more units. The decomposition involves partitioning of places of the original Petri net into various units such that each unit models a set of noninteracting processes.

(2) We show how a DPN can be converted to concurrent regular expressions. Intuitively, each unit consists of interleaving of finite-state processes (possibly an infinite number of them) each of which could be characterized by a regular expression.

(3) We show how any concurrent regular expression can be converted to a Petri net such that they have the same language. This transformation uses various closure properties of Petri net languages.

Thus, a system can be expressed in Petri net, DPN, or CRE formalism and transformed to any other formalism. This transformation can be used for systems which are easier to specify in one formalism but easier to analyze in another.

The above proof provides a new decomposition method for Petri nets. This method has the advantage of separating concurrency and synchronization in Petri nets. The resulting automata called decomposed Petri net and their equivalent concurrent regular expressions satisfy *modularity* properties and can be more easily used for specification of concurrent systems.

##### 4.1. Languages of Petri nets

**Definition.** A Petri net  $N$  is defined as a five-tuple  $(P, T, I, O, \mu_0)$ , where

- $P$  is a finite set of places,
- $T$  is a finite set of transitions such that  $P \cap T = \emptyset$ ,
- $I: T \rightarrow P^*$  is the *input* function, a mapping from the transition to bag of places,
- $O: T \rightarrow P^*$  is the *output* function, a mapping from the transition to bag of places,
- $\mu_0$ , is the initial net marking, is a function from the set of places to the set of nonnegative integers  $\mathbb{N}$ ,  $\mu_0: P \rightarrow \mathbb{N}$ .

**Definition.** A transition  $t_j \in T$  in a Petri net  $N = (P, T, I, O, \mu)$  is *enabled* if for all  $p_i \in P$ ,  $\mu(p_i) \geq \#(p_i, I(t_j))$ , where  $\#(p_i, I(t_j))$  represents multiplicity of the place  $p_i$  in the bag  $I(t_j)$ .

**Definition.** The next-state function  $\delta: Z_+^n \times T \rightarrow Z_+^n$  for a Petri net  $N = (P, T, I, O, \mu)$ ,  $|P| = n$ , with transition  $t_j \in T$  is defined iff  $t_j$  is enabled. The next state is equal to  $\mu'$ , where

$$\mu'(p_i) = \mu(p_i) - \#(p_i, I(t_j)) + \#(p_i, O(t_j)) \quad \text{for all } p_i \in P.$$



We can extend this function to a sequence of transitions as follows:

$$\delta(\mu, t_j \sigma) = \delta(\delta(\mu, t_j), \sigma),$$

$$\delta(\mu, \lambda) = \mu, \quad \text{where } \lambda \text{ represents the null sequence.}$$

To define the language of a Petri net, we associate a set of symbols called alphabet  $\Sigma$  with a Petri net by means of a labeling function,  $\sigma: T \rightarrow \Sigma$ . A sequence of transition firings can be represented as a string of labels. Let  $F \subseteq P$  designate a particular subset of places as *final* places and we call a configuration  $\mu$  final if

$$\mu(p_i) = 0 \quad \forall p_i \in P - F.$$

That is, all tokens are in final places in a final configuration. If a sequence of transition firings takes the Petri net from its initial configuration to a final configuration, the string formed by the sequence of labels of these transitions is said to be accepted by the Petri net. The set of all strings accepted by a Petri net is called the language of the Petri net.

**Definition.** The *language*  $L$  of a Petri net  $N = (P, T, I, O, \mu)$  with alphabet  $\Sigma$ , labeling function  $\sigma$  and the set of final places  $F$  is defined as

$$L = \{ \sigma(\beta) \in \Sigma^* \mid \beta \in T^* \text{ and } \mu_f = \delta(\mu_0, \beta) \text{ such that } \mu_f(p) = 0 \text{ for all } p \in P - F \}.$$

Note that our notion of final configurations is different from the traditional definition of Petri net languages which typically use a *finite* set of final configurations (cf. [24]). Our definition of final configurations may result in infinite number of them. Our results provide a strong motivation for using our definition of final configurations.

#### 4.2. Transformation of PNs to DPNs

As we said earlier, it is convenient to decompose a given Petri net for the purposes of our characterization. A Petri net is partitioned into multiple *units* which share all the transitions of the Petri net. Each unit contains some of the places of the original Petri net. Intuitively, the decomposition is such that the tokens within a unit need to synchronize only with tokens in other units. Each unit is a generalization of finite-state machine. Formally, a DPN  $D$  is a tuple  $(T, U)$ , where

- $T$  = a finite set of symbols called *transition alphabet*,
- $U$  = set of units  $\{U_1, U_2, \dots, U_n\}$ , where each unit is a five-tuple, i.e.  $U_i = (P_i, C_i, \Sigma_i, \delta_i, F_i)$ , where
  - $P_i$  is a finite set of *places*,
  - $C_i$  is an initial *configuration* which is a function from the set of places to nonnegative integers  $\mathcal{N}$  and a special symbol “\*”, i.e.,  $C_i: P_i \rightarrow (\mathcal{N} \cup \{*\})$  (the symbol “\*” represents an unbounded number of tokens. A place which has \* tokens is called a *\*-place*),

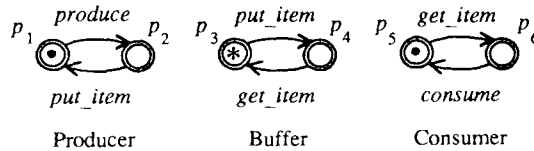


Fig. 1. A DPN machine for producer consumer problem.

- $\Sigma_i$  is a finite set of *transition* labels such that  $\Sigma_i \subseteq T$ ,
- $\delta_i$  is a relation between  $P_i \times \Sigma_i$  and  $P_i$ , i.e.  $\delta_i \subseteq (P_i \times \Sigma_i) \times P_i$  ( $\delta_i$  represents all transition arcs in the unit),
- $F_i$  is a set of final places,  $F_i \subseteq P_i$ .

The configuration of a DPN can change when a transition is fired. A transition with label  $a$  is said to be *enabled* if for all units  $U_i = (P_i, C_i, \Sigma_i, \delta_i, F_i)$  such that  $a \in \Sigma_i$  there exists a transition  $(p_k, a, p_l)$  with  $C_i(p_k) \geq 1$ . Informally, a transition  $a$  is enabled if all the units that have a transition labeled  $a$ , have at least one place with nonzero tokens and an outgoing edge labeled  $a$ . For example, in Fig. 1 *getitem* is enabled only if both  $p_4$  and  $p_5$  have tokens. A transition may *fire* if it is enabled. The firing will result in a new marking  $C'_i$  for all participating units, and is defined by

$$C'_i(p_k) = C_i(p_k) - 1, \quad C'_i(p_l) = C_i(p_l) + 1.$$

A  $*$ -place remains the same after addition or deletion of tokens.

As an example of a DPN machine, consider the producer consumer problem. The producer produces items which are kept in a buffer. The consumer takes these items from the buffer and consumes them. The solution requires that the consumer wait if no item exists in the buffer. The consumer can execute *getitem* only if there is a token in the place  $p_4$ . Note how the  $*$ -place is used to represent an unbounded number of buffers.

The definition of the language of a DPN is identical to that of a PN.

**Theorem 4.1.** *Every Petri net can be decomposed, i.e. for every PN there exists a DPN such that they have the same language.*

Before we prove this result, we will need the following lemma which is based on a result by Hack [10].

**Lemma 4.2.** *For every Petri net  $P$ , there exists an ordinary Petri net such that they have the same language.*

**Proof.** We can use a construction provided by [10] to convert any Petri net to an ordinary Petri net such that its language is preserved. This construction replaces a place with maximum multiplicity of  $k$  by a ring of  $k$  places each having a multiplicity

of 1. The tokens can move freely within this ring by means of  $\varepsilon$ -labeled transition. A similar result has also been shown by [16].  $\square$

**Proof of Theorem 4.1.** We will show that any ordinary Petri net can be decomposed to a DPN and then using Lemma 4.2 we can assert this result for any Petri net.

(1) *Construction of a DPN from an ordinary Petri net.* Let  $N=(P, T, I, O, \mu, F)$  be a Petri net with the usual meaning of the notation. Every place in the Petri net is also a place in the DPN. These places, however, may belong to different units depending on the *unit assignment function*. A unit assignment function is any function  $f: P \rightarrow \{1, 2, \dots, K\}$  such that

$$\forall t \in T, p_1, p_2 \in P: ((p_1, p_2) \subseteq I(t)) \vee ((p_1, p_2) \subseteq O(t)) \Rightarrow f(p_1) \neq f(p_2).$$

This condition implies that places belonging to the same unit cannot be input (output) to the same transition. It holds trivially if all places belong to different units.

We define the DPN  $D$  as  $D=(T, \{U_1, U_2, \dots, U_K\})$ , where  $U_i=(P_i, \Sigma_i, C_i, \delta_i, F_i)$  is defined as follows:

- $P_i$  contains all the places that are assigned the unit number  $i$ , and a \*-place denoted by  $sp_i$ :

$$P_i = \{p \in P \mid f(p) = i\} \cup \{sp_i\}.$$

- $\Sigma_i$  contains as transition symbols all those transitions in which places belonging to unit  $i$  participate:

$$\Sigma_i = \{t \in T \mid \exists p \in P_i, p \in I(t) \cup O(t)\}.$$

- The configuration of the DPN ( $C_i: P_i \rightarrow N \cup \{*\}$ ) is the same as the marking function in the Petri net, i.e.

$$C_i(p) = \mu(p) \quad \forall p \in P_i, \quad C_i(sp_i) = *.$$

- $\delta_i \subseteq (P_i \times \Sigma_i) \times P_i$ . If a unit has an input place as well as an output place for a transition, an arc is added between them. If a unit has only an input place for a transition, then an arc is added between the input place and its \*-place. If a unit has only an output place for a transition, then an arc is added between its \*-place and the output place. Formally,

$$\begin{aligned} \delta_i = & \{(p_j, t, p_k) \mid \exists t: (p_j \in I(t)) \wedge (p_k \in O(t))\} \\ & \cup \{(p_j, t, sp_i) \mid \exists t: p_j \in I(t), \nexists p_k, p_k \in O(t)\} \\ & \cup \{(sp_i, t, p_k) \mid \exists t: p_k \in O(t), \nexists p_j, p_j \in I(t)\}. \end{aligned}$$

- $F_i$  is the set of final places:

$$F_i = (P_i \cap F) \cup \{sp_i\}.$$

Thus,  $*$ -places are always final places. The size of the resulting DPN is of the same order as the size of the Petri net. Also, the transformation of the given Petri net structure can be done in linear time. The set of sequences of transitions is identical for both structures because

- (1) initially, both the Petri net and the DPN have the same configuration;
- (2) the set of transitions that is enabled for equal configurations is identical;
- (3) both machines starting from equal configurations reach equal configurations on taking the same transition.  $\square$

#### 4.3. Transformation of DPNs to concurrent regular expressions

We next show that there exists an algorithm to derive a concurrent regular expression that describes the set of strings accepted by a DPN. We need the following lemmas before we can prove the required result.

**Lemma 4.3.** *Any unit with multiple  $*$ -places can be converted to an equivalent unit with a single  $*$ -place.*

**Proof.** Merge all  $*$ -places into a single  $*$ -place. All input arcs and output arcs in the unit are combined. Since the tokens in  $*$ -places do not change and the bag of transitions enabled for any configuration is identical, we conclude that the language remains the same.  $\square$

**Lemma 4.4.** *Any unit  $U$  is equivalent to another unit which has at most two connected components – one with  $*$ -place and the other with a single token.*

**Proof.** From Lemma 4.3, we can assume, without loss of generality, that there is at most one  $*$ -place in  $U$ .  $U$  may have one or more connected components. Let the connected component  $C$  have the  $*$ -place.  $C$  may have tokens at some non- $*$  places too. As tokens move independently of each other within a unit,  $C$  can be written as two components – one with tokens only in the non- $*$  places and the other with the  $*$ -place. All the connected components of  $U$  with no  $*$ -places can be combined into a single connected component – a finite-state machine. This is because there is a finite number of invariant tokens residing in a finite number of places, resulting in only a finite number of possible configurations. Therefore, a finite-state machine can simulate the behavior of these components.  $\square$

**Lemma 4.5.** *Let  $U$  be a unit with a single  $*$ -place having no tokens in its simple places. Then its language can be written as a (regular expression)<sup>2</sup>.*

**Proof.** Let  $U = (P, C, \Sigma, \delta, F)$  with  $C(p_i) = *$ . We construct the finite-state machine  $A = (P, p_i, \Sigma, \delta, F)$ , with  $p_i$  as the initial state. Let  $L(X)$  represent the language accepted by automata  $X$ . We will show that  $L(U) = L(A)^2$ .

*Case 1:*  $L(U) \subseteq L(A)^\alpha$ . Let a string  $s$  belong to the language of the unit  $U$ . In accepting  $s$ , a finite number of tokens, say  $n$ , must have moved from the \*-place to some final place. Let  $s_1, s_2, \dots, s_n$  be the strings that are traced by tokens  $1, \dots, n$ , respectively, such that one of their interleaving is  $s$ . Each of the strings  $s_1, \dots, s_n$  also belongs to the regular set. Therefore, their interleaving belongs to  $\alpha$ -closure of the regular set.

*Case 2:*  $L(A)^\alpha \subseteq L(U)$ . Consider any string  $s$  in  $L(A)^\alpha$ . This string  $s$  can be written as  $s_1 \parallel s_2 \parallel \dots \parallel s_n$ , where each  $s_i$  belongs to  $A$ . As  $s_i$  belongs to  $A$ , it also represents a path from the initial place to a final place in  $U$ . Hence,  $s$  can be simulated by  $n$  tokens which simulate  $s_1, \dots, s_n$  respectively.  $\square$

**Theorem 4.6.** *There exists an algorithm to derive a concurrent regular expression that describes the set of strings accepted by a DPN.*

**Proof.** To derive the expression for a unit, we use Lemma 4.4 to convert it into a unit with at most two components, one with \*-place and one with a single token. From Lemma 4.5, the language of any such unit can be written as interleaving of a regular expression and at most one *(regular expression)* $^\alpha$ . The concurrent expression equivalent to the DPN will be the unit expressions for units composed by the  $[]$  operator. We can finally apply the labeling function used for defining the Petri net's language as the renaming function.  $\square$

An example of equivalent Petri net, DPN and concurrent regular expression is shown in Fig. 2. Note that it is easy to show that number of  $a$ 's in any prefix is greater than number of  $c$ 's by considering the language of unit 2. Similarly, from unit 1 it is clear that the events  $b$  and  $d$  alternate in the system.

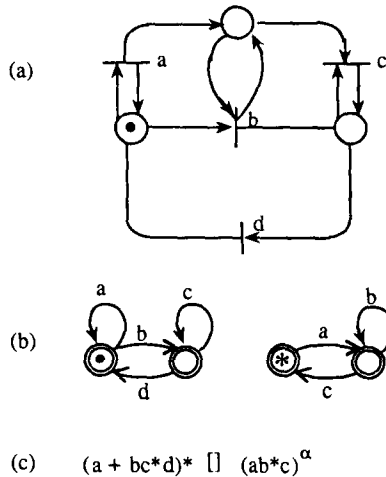


Fig. 2.  $PN \Rightarrow DPN \Rightarrow CRE$ .

#### 4.4. Transformation of a CRE to a PN

To show that every CRE can be converted to a Petri Net, we need the following lemmas.

**Lemma 4.7.** *Let  $A$  and  $B$  be two regular expressions, then*

- (a)  $A^x \parallel B^x = (A + B)^x$ ,
- (b)  $(A \parallel B^x)^x = A^x \parallel B^x$ .

**Proof.** (a) Let string  $s \in A^x \parallel B^x$ . This implies that  $s \in a_1 \parallel a_2 \parallel \dots \parallel a_n \parallel b_1 \parallel b_2 \parallel \dots \parallel b_m$  for  $a_i \in A, i = 1, \dots, n, b_j \in B, j = 1, \dots, m, n, m \geq 0$  and, hence, that  $s \in (A + B)^x$  (because each string belongs to  $A + B$ ).

Next let string  $s \in (A + B)^x$ . This implies that  $s \in c_1 \parallel c_2 \parallel \dots \parallel c_n$ , where  $c_i \in A + B$ . If  $c_i \in A$ , we call it  $a_i$ ; otherwise, we call it  $b_i$ . On rearranging terms so that all strings that belong to  $A$  come before strings that do not belong to  $A$  (and, therefore, must belong to  $B$ ), we get  $s \in A^x \parallel B^x$ .

(b) We first show that  $s \in (A \parallel B^x)^x \Rightarrow s \in A^x \parallel B^x$ . Let  $s \in (A \parallel B^x)^x$ . This implies that  $s \in s_1 \parallel s_2 \parallel s_3 \parallel \dots \parallel s_m$ , where  $m \geq 0$  and each  $s_i \in (a_i \parallel b_{i,1} \parallel b_{i,2} \parallel \dots \parallel b_{i,n_i})$ , where  $b_{i,j} \in B$  for  $i = 1, \dots, m$  and  $j = 1, \dots, n_i$ . Since  $\parallel$  is commutative and associative, all strings from set  $A$  can be moved to left and, therefore,  $s$  also belongs to  $A^x \parallel B^x$ .

We now show that  $s \in A^x \parallel B^x \Rightarrow s \in (A \parallel B^x)^x$ . Let  $s \in A^x \parallel B^x$ . This implies that  $s \in a_1 \parallel a_2 \parallel \dots \parallel a_m \parallel b_1 \parallel b_2 \parallel \dots \parallel b_n$ , where  $m, n \geq 0$  and  $a_i$ 's and  $b_i$ 's belong to  $A$  and  $B$ , respectively. This implies further that

$$s \in (a_1 \parallel \epsilon) \parallel (a_2 \parallel \epsilon) \parallel \dots \parallel (a_{m-1} \parallel \epsilon) \parallel (a_m \parallel b_1 \parallel b_2 \parallel \dots \parallel b_n)$$

and, hence,  $s \in (A \parallel B^x)^x$ .  $\square$

**Lemma 4.8.** *Any unit expression  $U$  is equivalent to another unit expression which is the interleaving of a regular expression and  $(\text{regular expression})^x$ . Expressions of these forms are called normalized unit expressions.*

**Proof.** To prove this lemma, we use induction on the number of times  $\parallel$  or  $x$  occurs in a unit expression. The lemma is clearly true when the expression does not have any occurrence of  $\parallel$  or  $x$  as a regular expression is always normalized. Assume that the lemma holds for unit expressions with at most  $k - 1$  occurrences of  $\parallel$  or  $x$ . Let  $U$  be an expression with at most  $k$  occurrences of  $\parallel$  or  $x$ . Then  $U$  can be written as  $U_1 \parallel U_2$  or  $U_1^x$ , where  $U_1$  and  $U_2$  can be normalized by the induction hypothesis. We will show that  $U$  can also be normalized.

- (1)  $U = U_1 \parallel U_2$ .

$U_1 = A_1 \parallel B_1^x$  and  $U_2 = A_2 \parallel B_2^x$ , where  $A_1, A_2, B_1$  and  $B_2$  are regular expressions. Therefore,

$$\begin{aligned} U_1 \parallel U_2 &= (A_1 \parallel B_1^x) \parallel (A_2 \parallel B_2^x) \\ &= (A_1 \parallel A_2) \parallel (B_1^x \parallel B_2^x) \quad (\parallel \text{ is associative and commutative}) \\ &= (A_1 \parallel A_2) \parallel (B_1 + B_2)^x \quad (\text{by Lemma 4.7(a)}). \end{aligned}$$

Therefore,  $U$  can be normalized.

$$(2) \ U = U_1^x.$$

$U = U_1^x = (A \parallel B^x)^x$ , where  $A$  and  $B$  are some regular expressions.

$$U = A^x \parallel B^x \quad (\text{by Lemma 4.7(b)})$$

$$= (A + B)^x \quad (\text{by Lemma 4.7(a)})$$

$$= C^x \quad \text{for some regular expression } C.$$

Therefore,  $U$  can be normalized.  $\square$

**Lemma 4.9.** *If  $L_1$  and  $L_2$  are Petri net languages defined over  $\Sigma_1$  and  $\Sigma_2$ , then*

- (1)  $L_1 \parallel L_2$  is a Petri net language defined over  $\Sigma_1 \cup \Sigma_2$ ;
- (2)  $L_1 \sqcap L_2$  is a Petri net language defined over  $\Sigma_1 \cup \Sigma_2$ ;
- (3)  $\sigma(L_1)$  is a Petri net language defined over  $\sigma(\Sigma_1)$ .

**Proof.** Any Petri net  $N = (P, T, I, O, \mu)$  with alphabet  $\Sigma$ , labeling  $\sigma$  and the set of final places  $F$  can be converted to a Petri net which has token initially at only one place, say  $p_s$ . To do this, construct a special place called  $p_s$ , and a null-labeled transition which ensures that the initial number of tokens are put after it fires. Therefore, we can construct Petri nets in standard form that accept  $L_1$  and  $L_2$ .

(1) A new start place is defined from which a token goes to start places of both the Petri nets.

(2) At a given point in the string if a transition fires in a Petri net and its label is in  $\Sigma_1 \cap \Sigma_2$ , then a transition in the other Petri net with the same label must also fire. Thus, a new transition is created by combining the two transitions with the same label in the two Petri nets. When more than one transition exists with the same label, all possible pairs of transitions must be considered.

(3) The new language can be generated by the old Petri net with the labeling function as  $\psi \cdot \sigma$ , where  $\psi$  is the old labeling function.  $\square$

**Theorem 4.10.** *There exists an algorithm to derive a Petri net that describes the set of strings described by a concurrent regular expression.*

**Proof.** Note that a concurrent regular expression is either a unit expression or concurrent regular expressions composed with  $[\ ]$ ,  $\parallel$  and  $\sigma(\ )$ . Since, by Lemma 4.9, Petri net languages are closed under all these operators, it is sufficient to derive a Petri net for a unit expression. By Lemma 4.8 any unit expression can be converted to a unit automaton such that they accept the same language. It is easy to construct a Petri net from a unit by treating each arc label as a transition and deleting the  $*$ -places.  $\square$

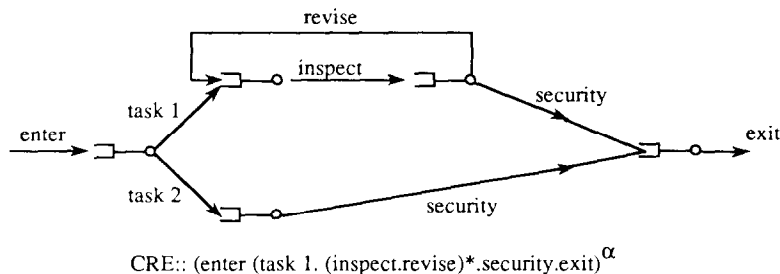


Fig. 3. A queueing network and its equivalent CRE.

## 5. Comparison with other classes of languages

From the definition of concurrent regular expressions, we derive two new classes of languages – unit languages and concurrent regular languages. A language is called a unit language if a unit expression can describe it. Concurrent regular languages are similarly defined. In this section, we study both the classes and their relationships with other classes of languages such as regular, context-free and Petri net languages.

Unit languages strictly contain regular languages and are strictly contained in Petri net languages. These languages are useful for capturing the behavior of independent finite-state agents which may potentially be from an infinite population. An application of such languages is the description of logical behavior of a queueing network. For example, Fig. 3 shows a queueing network and a unit expression that describes the language of logical behavior of customers in it.

We are now ready to explore the structure of unit languages.

**Theorem 5.1.** *The set of unit languages properly contains the set of regular languages.*

**Proof.** The containment is obvious. To see that the inclusion is proper, consider the language  $(a.b)^*$  which cannot be accepted by a finite-state machine.  $\square$

All unit languages are also concurrent regular languages. We next show that this containment is also proper. To show this we need to define i-closed and i-open sets.

**Definition.** A set  $A$  is called *closed under repeated interleaving*, or simply *i-closed*, if for any two strings  $s_1$  and  $s_2$  (not necessarily distinct) that belong to  $A$ ,  $s_1 \parallel s_2$  is a subset of  $A$ . By definition  $\epsilon$  must also belong to an i-closed set.

Some examples of i-closed sets are:  $\{\epsilon\}$ ,  $\{\epsilon, a, a^2, a^3, \dots\}$ ,  $\{s \mid \#(a, s) = \#(b, s)\}$ . As Kleene closure of a set  $A$  is the smallest set containing  $A$  and closed under concatenation, alpha closure of a set  $A$  is the smallest set containing  $A$  and closed under interleaving. More formally, we can state the following lemma.



**Lemma 5.2.** *Let  $A$  be a set of strings. Let  $B$  be the smallest i-closed set containing  $A$ . Then  $B = A^\alpha$ .*

**Proof.**  $A^\alpha$  contains  $A$  and is also i-closed. Since  $B$  is the smallest set with this property, we get  $B \subseteq A^\alpha$ .

Since  $B$  is i-closed and it contains  $A$ , it must also contain  $A^{(i)}$  for all  $i$ . This implies that  $B$  contains  $A^\alpha$ . Combining with our earlier argument we get  $B = A^\alpha$ .  $\square$

The above lemma tells us that as Kleene closure captures the notion of doing some action any number of times in series, alpha closure captures the notion of doing some action any number of times in parallel. Note that if a set  $A$  is i-closed, it is also concatenation-closed. This is because if  $s_1$  and  $s_2$  belong to  $A$ , then so does  $s_1 \parallel s_2$ , and, in particular,  $s_1.s_2$ .

We leave it to readers to verify that another definition of alpha closure of a language  $A$  can be given as the least solution of the equation  $X = (A \parallel X) + \varepsilon$ . Clearly, taking interleaving-closure of an already i-closed set does not change it. This is formalized as in the following corollary.

**Corollary.** *A set  $A$  is i-closed if and only if  $A = A^\alpha$ .*

**Proof.** If  $A$  is i-closed, it is also the smallest set containing  $A$  and i-closed. By Lemma 5.2, it follows that  $A = A^\alpha$ .

Conversely,  $A = A^\alpha$  and  $A^\alpha$  is i-closed; therefore,  $A$  is also i-closed.  $\square$

The above corollary tells us that if a set is i-closed, then its alpha closure is the same as itself. As an application of this corollary, we get  $A^{\alpha^\alpha} = A^\alpha$ .

A language is called *i-open* if there does not exist any nonnull string  $s$  such that if  $t$  belongs to a language, then so does  $s \parallel t$ .

**Example.** All finite languages are i-open.  $a^*$ ,  $(a + b)$ ,  $(ab)^\alpha$  are not i-open because  $a$ ,  $aba$  and  $ab$  are strings such that their interleaving with any string in the language keeps it in the language. Recall that i-closed languages are sets of strings that are closed under interleaving. All i-closed languages are not i-open and all i-open languages are not i-closed. However, there are languages that are neither i-open nor i-closed. An example is  $a^*b^* \parallel c^*$ , which is not i-open as any interleaving with  $c$  keeps a string in the language. It is not i-closed because  $abc \parallel abc$  does not belong to the language.

**Theorem 5.3.** *A unit expression cannot describe a nonregular i-open language.*

**Proof.** Let  $L$  be a nonregular i-open language. Assume, if possible, that a unit expression  $U$  describes  $L$ . By Lemma 4.8,  $U$  can be normalized to the form  $A \parallel B^\alpha$ .

Since  $L$  is nonregular, the unit expression must contain at least one application of alpha closure and, therefore,  $B$  is nonempty. The resulting set is not i-open as it is closed under interleaving with respect to any string in  $B$ ; a contradiction.  $\square$

For example, consider the language  $\{a^n b^n c^n \mid n \geq 0\}$ . The language is i-open because there is no nonnull string, such that its indefinite interleaving exists in the language. By Theorem 5.3, we cannot construct a unit expression to accept this language. This language is concurrent regular as shown by Example 3.4.

Now we show that there exists i-closed languages which cannot be recognized by a single unit.

**Theorem 5.4.** *There are i-closed concurrent regular languages that cannot be accepted by a unit.*

**Proof.** Consider the concurrent regular language  $L = (a_1 b_1)^* [ ] (a_2 a_1^* b_2)^*$ . Assume, if possible, that it can be characterized by a unit expression  $U$ . Since  $L$  is an i-closed language  $U$  is also i-closed. This implies that the language described by  $U$  is the same as that described by  $U^*$  (Lemma 5.2). Using Lemma 4.8,  $U$  can be written as  $C^*$ , where  $C$  is a regular language. We will show that no such regular set exists.

Note that  $L$  contains strings starting with  $a_2$  only. This implies that  $C$  also contains string starting with  $a_2$  only. Further, any string in  $L$  containing a single  $a_2$  must belong to  $C$  because such a string cannot be an interleaving of two or more strings in  $C$ . Therefore,  $C$  contains all strings of the form  $a_2 a_1^n b_1^n b_2$  but not  $a_2 a_1^{n+k} b_1^n b_2$  for any  $k > 0$ . This implies that  $C$  is not regular.

From the above discussion, we conclude that

$$\text{regular languages} \subset \text{unit language} \subset \text{concurrent regular languages}. \quad \square$$

## 6. Conclusions

This paper makes two contributions to Petri net theory. First, it provides an alternative description of Petri net languages. This description is in terms of natural operators such as interleaving and synchronization. Based on this description it is easier to understand the behavior of systems modeled by Petri nets.

Secondly, it provides a decomposition of Petri nets. The resulting model, DPN, possesses modular properties. Each module or unit defines a set of noninteracting processes and, therefore, can be modeled and studied in isolation from the rest of the system. Similarly, DPNs have a closer correspondence with state machines and since the notion of state arises in many contexts, they are easier to use for specification and analysis of concurrent systems. Applications of DPN for specification of concurrent systems are shown in [6, 7]. Concurrent regular expressions are used for modeling synchronization constraints in the language ConC [8].

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