# **Complexity Analysis of Continuous Petri Nets**

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**Abstract.** At the end of the eighties, continuous Petri nets were introduced for: (1) alleviating the combinatory explosion triggered by discrete Petri nets (i.e. usual Petri nets) and, (2) modelling the behaviour of physical systems whose state is composed of continuous variables. Since then several works have established that the computational complexity of deciding some standard behavioural properties of Petri nets is reduced in this framework. Here we first establish the decidability of additional properties like coverability, boundedness and reachability set inclusion. We also design new decision procedures for reachability and lim-reachability problems with a better computational complexity. Finally we provide lower bounds characterising the exact complexity class of the reachability, the coverability, the boundedness, the deadlock freeness and the liveness problems. A small case study is introduced and analysed with these new procedures.

Keywords: Continuous Petri nets, structural analysis, complexity

## 1. Introduction

From Petri nets to continuous Petri nets. Continuous Petri nets (CPN) were introduced in [5] by considering continuous states (specified by nonnegative real numbers of tokens in places) where the dynamics of the system is triggered either by discrete events or by a continuous evolution ruled by the

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speed of firings. In the former case, such nets are called autonomous CPNs while in the latter they are called timed CPNs. In both cases, the evolution is due to a *fractional* transition firing (infinitesimal and simultaneous in the case of timed CPNs).

**Modelling with CPNs.** CPNs have been used in several significant application fields. In [3], a method based on CPNs is proposed for the fault diagnosis of manufacturing systems while such a diagnosis is intractable with discrete Petri nets (for modelling of manufacturing systems see also [18]). In [16], the authors introduce a bottom-up modelling methodology based on CPNs to represent cell metabolism and solve in this framework the regulation control problem. Combining discrete and continuous Petri nets yields hybrid Petri nets, with applications to modelling and simulation of water distribution systems [9] and to the analysis of traffic in urban networks [17].

**Analysis of CPNs.** While several analysis methods have been developed for timed CPNs, there is no hope for fully automatic techniques in the general case since standard problems of dynamic systems are known to be undecidable even for bounded nets [14].

Due to the semantics of autonomous CPNs, a marking can be the limit of the markings visited along an infinite firing sequence. Thus most of the usual properties are duplicated depending on whether these markings are considered or not. Taking into account these markings, reachability (resp. liveness, deadlock-freeness) becomes lim-reachability (resp. lim-liveness, lim-deadlock-freeness).

Contrary to the timed case, the analysis of autonomous CPNs (that we simply call CPNs in the sequel) appears to be less complex than the one of discrete Petri nets. In [10], exponential time decision procedures are proposed for the reachability and lim-reachability problems for general CPNs. In [15], assuming additional hypotheses on the net, the authors design polynomial time decision procedures for (lim-)reachability and boundedness. In [14], (lim-)deadlock-freeness and (lim-)liveness are shown to belong in coNP. These procedures are based on "simple" characterisations of the properties.

**Our contributions.** First we revisit characterisations of properties in CPN establishing an alternative characterisation for reachability and the first characterisation for coverability and boundedness. Then, based on these characterisations, we show that (lim-)reachability, (lim-)coverability and boundedness are decidable in polynomial time. We also establish that the (lim-)reachability set inclusion problem is decidable in exponential time. Finally we prove that (lim-)reachability, (lim-)coverability and boundedness are PTIME-hard and that (lim-)deadlock-freeness, (lim-)liveness and (lim-)reachability set inclusion problems are coNP-hard. We establish these lower bounds even when considering restricted cases of these problems.

**Organisation.** In Section 2, we introduce CPNs and the properties that we are analysing. In Section 3, we develop the characterisations of reachability, coverability and boundedness. Afterwards in Section 4, we design the decision procedures. Then, we provide complexity lower bounds in Section 5. In Section 6 we illustrate our results with a small case study. Finally in Section 7, we summarise our results and give perspectives to this work.

# 2. Continuous Petri nets: definitions and properties

### 2.1. Continuous Petri nets

**Notations.**  $\mathbb{N}$  (resp.  $\mathbb{Q}$ ,  $\mathbb{R}$ ) is the set of nonnegative integers (resp. rational, real numbers). Given a set of numbers E,  $E_{\geq 0}$  (resp.  $E_{>0}$ ) denotes the subset of nonnegative (resp. positive) numbers of E. Given

an  $E \times F$  matrix  $\mathbf{M}$  with E and F sets of indices,  $E' \subseteq E$  and  $F' \subseteq F$ , the  $E' \times F'$  submatrix  $\mathbf{M}_{E' \times F'}$  denotes the restriction of  $\mathbf{M}$  to rows indexed by E' and columns indexed by F'. The support of a vector  $\mathbf{v} \in \mathbb{R}^E$ , denoted  $[\![\mathbf{v}]\!]$ , is defined by  $[\![\mathbf{v}]\!] \stackrel{\text{def}}{=} \{e \in E \mid \mathbf{v}[e] \neq 0\}$ .  $\mathbf{0}$  denotes the null vector. We write  $\mathbf{v} \geq \mathbf{w}$  when  $\mathbf{v}$  is componentwise greater or equal than  $\mathbf{w}$  and  $\mathbf{v} \not\geq \mathbf{w}$  when  $\mathbf{v} \geq \mathbf{w}$  and  $\mathbf{v} \neq \mathbf{w}$ . We write  $\mathbf{v} > \mathbf{w}$  when  $\mathbf{v}$  is componentwise strictly greater than  $\mathbf{w}$ .  $\|\mathbf{v}\|_1$  is the 1-norm of  $\mathbf{v}$  defined by  $\|\mathbf{v}\|_1 \stackrel{\text{def}}{=} \sum_{e \in E} |\mathbf{v}[e]|$ . Let  $E' \subseteq E$ , then  $\mathbf{v}[E']$  denotes the restriction of  $\mathbf{v}$  to components of E'.

Here, we adopt the following terminology: a *net* denotes the structure without initial marking while a *net system* denotes a net with an initial marking. The structure of CPNs and discrete nets are identical.

## **Definition 1.** A Petri net (PN) is a tuple $\mathcal{N} = \langle P, T, Pre, Post \rangle$ where:

- P is a finite set of places;
- T is a finite set of transitions, with  $P \cap T = \emptyset$ ;
- Pre (resp. Post), is the backward (resp. forward)  $P \times T$  incidence matrix, whose entries belong to  $\mathbb{N}$ .

The incidence matrix C is defined by  $C \stackrel{\mathrm{def}}{=} Post - Pre$ .

Given a place (resp. transition) v in P (resp. in T), its preset,  ${}^{\bullet}v$ , is defined as the set of its input transitions (resp. places):  ${}^{\bullet}v \stackrel{\text{def}}{=} \{t \in T \mid \textit{Post}[v,t] > 0\}$  (resp.  ${}^{\bullet}v \stackrel{\text{def}}{=} \{p \in P \mid \textit{Pre}[p,v] > 0\}$ ). Its  $\textit{postset}\ v^{\bullet}$  is defined as the set of its output transitions (resp. places):  $v^{\bullet} \stackrel{\text{def}}{=} \{t \in T \mid \textit{Pre}[v,t] > 0\}$  (resp.  $v^{\bullet} \stackrel{\text{def}}{=} \{p \in P \mid \textit{Post}[p,v] > 0\}$ ). This notion generalizes to a subset V of places (resp. transitions) by:  ${}^{\bullet}V \stackrel{\text{def}}{=} \bigcup_{v \in V} {}^{\bullet}v$  and  $V^{\bullet} \stackrel{\text{def}}{=} \bigcup_{v \in V} v^{\bullet}$ . In addition,  ${}^{\bullet}V^{\bullet} \stackrel{\text{def}}{=} {}^{\bullet}V \cup V^{\bullet}$ . Given  $T' \subseteq T$ ,  $\mathcal{N}_{T'}$  is the subnet of  $\mathcal{N}$  such that its set of transitions is T' and its set of places is

Given  $T' \subseteq T$ ,  $\mathcal{N}_{T'}$  is the subnet of  $\mathcal{N}$  such that its set of transitions is T' and its set of places is  ${}^{\bullet}T'^{\bullet}$ , and its backward and forward incidence matrices are respectively  $\operatorname{\mathbf{Pre}}_{{}^{\bullet}T'^{\bullet}\times T'}$  and  $\operatorname{\mathbf{Post}}_{{}^{\bullet}T'^{\bullet}\times T'}$ . We define  $\mathcal{N}^{-1}$  as the "reverse" net of  $\mathcal{N}$ , in which the arcs are inverted.

**Definition 2.** Given a PN 
$$\mathcal{N} = \langle P, T, \mathbf{Pre}, \mathbf{Post} \rangle$$
, its *reverse net*  $\mathcal{N}^{-1}$  is defined by:  $\mathcal{N}^{-1} \stackrel{\text{def}}{=} \langle P, T, \mathbf{Post}, \mathbf{Pre} \rangle$ .

A *continuous* PN system, denoted CPN system, consists of a net and a nonnegative real marking. A CPN is a CPN system without initial marking.

**Definition 3.** A CPN system is a tuple  $\langle \mathcal{N}, m_0 \rangle$  where  $\mathcal{N}$  is a PN and  $m_0 \in \mathbb{R}^P_{\geq 0}$  is the initial marking.

When a CPN system is an input of a decision problem, the items of  $m_0$  are rational numbers (represented by pairs of integers) in order to characterise the complexity of the problem.

In discrete PNs the firing rule of a transition requires tokens specified by Pre to be present in the corresponding places. In continuous PNs a nonnegative real *amount* of transition firing is allowed and this amount scales the requirement expressed by Pre and Post.

**Definition 4.** Let  $\mathcal{N}$  be a CPN, t be a transition and  $\boldsymbol{m} \in \mathbb{R}_{\geq 0}^P$  be a marking.

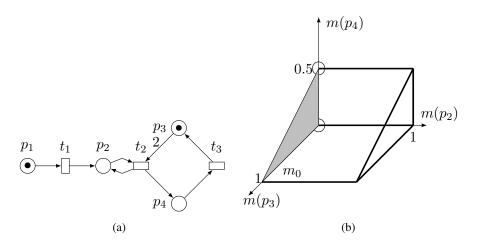


Figure 1. (a) A CPN system (b) its lim-reachability set [10]

- The enabling degree of t w.r.t. m,  $enab(t, m) \in \mathbb{R}_{\geq 0} \cup \infty$ , is defined by:  $enab(t, m) \stackrel{\text{def}}{=} \min\{\frac{m[p]}{Pre[p,t]} \mid p \in {}^{\bullet}t\} \ (enab(t, m) = \infty \text{ iff } {}^{\bullet}t = \emptyset).$
- t is enabled in m if enab(t, m) > 0.
- t can be *fired* by any amount  $\alpha \in \mathbb{R}$  such that  $0 \le \alpha \le enab(t, m)$ , and its firing leads to marking m' defined by: for all  $p \in P$ ,  $m'[p] \stackrel{\text{def}}{=} m[p] + \alpha C[p, t]$ .

The firing of t from m by an amount  $\alpha$  leading to m' is denoted as  $m \xrightarrow{\alpha t} m'$ . We illustrate the firing rule of a CPN with the CPN system in Fig. 1(a) (example taken from [10]). In the initial marking  $m_0 = (1,0,1,0)$ , only transition  $t_1$  is enabled and its enabling degree is 1. Hence, it can be fired by any real amount  $\alpha$  s.t.  $0 \le \alpha \le 1$ . If  $t_1$  is fired by an amount of 0.5, marking  $m_1 = (0.5,0.5,1,0)$  is reached. In  $m_1$ , transitions  $t_1$  and  $t_2$  are enabled, with enabling degree both equal to 0.5.

Let  $\sigma=\alpha_1t_1\ldots\alpha_nt_n$  be a finite sequence with, for all  $i,t_i\in T$  and  $\alpha_i\in\mathbb{R}_{\geq 0}$ .  $\sigma$  is firable from  $\boldsymbol{m}_0$  if, for all  $1\leq i\leq n$  there exist  $\boldsymbol{m}_i$  such that  $\boldsymbol{m}_{i-1}\stackrel{\alpha_it_i}{\longrightarrow}\boldsymbol{m}_i$ . This firing is denoted by  $\boldsymbol{m}_0\stackrel{\sigma}{\longrightarrow}\boldsymbol{m}_n$ . When the destination marking is irrelevant we omit it and simply write  $\boldsymbol{m}_0\stackrel{\sigma}{\longrightarrow}$ . Let  $\sigma=\alpha_1t_1\ldots\alpha_nt_n\ldots$  be an infinite sequence. Then  $\sigma$  is firable from  $\boldsymbol{m}_0$  if, for all  $n,\alpha_1t_1\ldots\alpha_nt_n$  is firable from  $\boldsymbol{m}_0$ . This firing is denoted as  $\boldsymbol{m}_0\stackrel{\sigma}{\longrightarrow}_{\infty}$ .

Given a finite or infinite sequence  $\sigma = \alpha_1 t_1 \dots \alpha_i t_i \dots$  and  $\alpha \in \mathbb{R}_{\geq 0}$ , the sequence  $\alpha \sigma$  is defined by  $\sigma \stackrel{\text{def}}{=} \alpha \alpha_1 t_1 \dots \alpha_i t_i \dots$  Given two infinite sequences  $\sigma = \alpha_1 t_1 \dots \alpha_i t_i \dots$  and  $\sigma' = \alpha'_1 t'_1 \dots \alpha'_i t'_i \dots$ , the (non commutative) sum  $\sigma + \sigma'$  is defined by:  $\sigma + \sigma' \stackrel{\text{def}}{=} \alpha_1 t_1 \alpha'_1 t'_1 \dots \alpha_i t_i \alpha'_i t'_i \dots$  This notion generalises to arbitrary sequences by extending them to infinite sequences with null amounts of firings (the selected transitions are irrelevant).

Let  $\sigma = \alpha_1 t_1 \dots \alpha_n t_n$  be a finite sequence and denote  $\sigma^{-1} = \alpha_n t_n \dots \alpha_1 t_1$ . By definition of the reverse net,  $\mathbf{m} \xrightarrow{\sigma} \mathbf{m}'$  in  $\mathcal{N}$  iff  $\mathbf{m}' \xrightarrow{\sigma^{-1}} \mathbf{m}$  in  $\mathcal{N}^{-1}$ .

<sup>&</sup>lt;sup>1</sup>So from every marking, any (even disabled) transition can fire by a null amount without modifying the marking.

The Parikh image (also called firing count vector) of a (finite or infinite) firing sequence  $\sigma = \alpha_1 t_1 \dots \alpha_n t_n \dots$ , denoted  $\overrightarrow{\sigma} \in (\mathbb{R}_{\geq 0} \cup \{\infty\})^T$ , is defined by:  $\overrightarrow{\sigma}[t] \stackrel{\text{def}}{=} \sum_{i|t_i=t} \alpha_i$ . As in discrete PNs, when  $m \stackrel{\sigma}{\longrightarrow} m'$ ,  $m' = m + C \overrightarrow{\sigma}$  and this equation is called the *state equation*.

A nonempty set of places P' is a *siphon* if  ${}^{\bullet}P' \subseteq P'^{\bullet}$ . When a siphon does not contain tokens in some marking, it will never contain tokens after any firing sequence starting from this marking. When a siphon does not contain tokens, it is called an *empty siphon*.

An interesting difference between discrete and continuous PN systems is that the sequence of markings visited by an infinite firing sequence may converge to a given marking. For example, let us consider again the CPN system of Fig. 1(a), and the marking  $m_1 = (0.5, 0.5, 1, 0)$ . From  $m_1$ ,  $0.5t_2$  can be fired, reaching  $m_2 = (0.5, 0.5, 0, 0.5)$ . From  $m_2$  transition  $t_3$  can be fired by an amount of 0.5, leading to  $m_3 = (0.5, 0.5, 0.5, 0.5)$ . Iterating this process leads to the infinite firing sequence  $\sigma = 2^{-1}t_22^{-1}t_3\dots 2^{-n}t_22^{-n}t_3\dots$  whose visited markings converge toward (0.5, 0.5, 0.0). Observe that the Parikh image  $\overrightarrow{\sigma} = \overrightarrow{t_2} + \overrightarrow{t_3}$  does not correspond to any finite firing sequence starting from  $m_1$ .

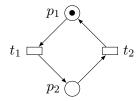


Figure 2. A simple CPN system.

Consider now the PN in Fig. 2 with initial marking  $m_0 = (1,0)$ . Let  $\sigma$  be the infinite sequence  $1t_1\frac{1}{2}t_2\frac{1}{3}t_1\frac{1}{4}t_2\dots\frac{1}{2i-1}t_1\frac{1}{2i}t_2\dots$  Its sequence of visited markings converges toward marking m defined by:  $m \stackrel{\text{def}}{=} (1 - \log(2), \log(2))$ . Here  $\overrightarrow{\sigma} = \infty \overrightarrow{t_1} + \infty \overrightarrow{t_2}$ .

Let  $\sigma$  be an infinite firing sequence starting from m whose sequence of visited markings converges toward m', one says that m' is *limit reachable* from m which is denoted by:  $m \xrightarrow{\sigma}_{\infty} m'$ . Thus in CPN systems, two sets of reachable markings are defined.

## **Definition 5.** Given a CPN system $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$ ,

- Its reachability set  $RS(\mathcal{N}, m_0)$  is defined by:  $RS(\mathcal{N}, m_0) \stackrel{\text{def}}{=} \{ m \mid \text{there exists a finite sequence } m_0 \stackrel{\sigma}{\longrightarrow} m \}.$
- Its lim-reachability set,  $\lim -RS(\mathcal{N}, m_0)$ , is defined by:  $\lim -RS(\mathcal{N}, m_0) \stackrel{\text{def}}{=} \{ m \mid \text{there exists an infinite sequence } m_0 \stackrel{\sigma}{\longrightarrow}_{\infty} m \}.$

RS or lim-RS are convex sets (see Section 3) but not necessarily topologically closed. In Fig. 1, marking m=(1,0,0,0) belongs to the closure of RS or lim-RS, but it does not belong to these sets. Since an infinite sequence can include null amounts of firings,  $RS(\mathcal{N}, m_0) \subseteq lim-RS(\mathcal{N}, m_0)$ . More interestingly, for all  $m \in lim-RS(\mathcal{N}, m_0)$ ,  $lim-RS(\mathcal{N}, m) \subseteq lim-RS(\mathcal{N}, m_0)$  (see later Theorem 22). So there is no need to consider iterations of lim-reachability.

# 2.2. CPN properties

Here we introduce the standard properties that a modeller wants to check on a net. In the framework of CPNs, every property its defined either with respect to the reachability set or with respect to the lim-reachability set.

Reachability is the main property as it is the core of safeness properties.

## **Definition 6. (reachability)**

```
Given a CPN system \langle \mathcal{N}, \boldsymbol{m}_0 \rangle and a marking \boldsymbol{m}, \boldsymbol{m} is (lim-)reachable in \langle \mathcal{N}, \boldsymbol{m}_0 \rangle if \boldsymbol{m} \in (\text{lim}-)\text{RS}(\mathcal{N}, \boldsymbol{m}_0).
```

Coverability is a useful property. For example, in PNs coverability can witness violation of mutual exclusion.

## **Definition 7. (coverability)**

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Given a CPN system \langle \mathcal{N}, \boldsymbol{m}_0 \rangle and a marking \boldsymbol{m}, \boldsymbol{m} is (lim-)coverable in \langle \mathcal{N}, \boldsymbol{m}_0 \rangle if there exists \boldsymbol{m}' \geq \boldsymbol{m} with \boldsymbol{m}' \in (\mathtt{lim} -) \mathrm{RS}(\mathcal{N}, \boldsymbol{m}_0).
```

Boundedness is often related to the resources needed by the system. For CPNs, boundedness and lim-boundedness coincide [15].

#### **Definition 8. (boundedness)**

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A CPN system \langle \mathcal{N}, \boldsymbol{m}_0 \rangle is (lim-)bounded if there exists b \in \mathbb{R}_{\geq 0} such that, for all \boldsymbol{m} \in (\mathtt{lim}-)\mathrm{RS}(\mathcal{N}, \boldsymbol{m}_0) and all p \in P, \boldsymbol{m}[p] \leq b.
```

Deadlock-freeness ensures that a system will never reach a marking where no transition is enabled, i.e a *dead marking*.

## **Definition 9. (deadlock-freeness)**

A CPN system  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  is (lim-)deadlock-free if for every  $\boldsymbol{m} \in (\mathtt{lim}-)\mathrm{RS}(\mathcal{N}, \boldsymbol{m}_0)$ , there exists  $t \in T$  such that t is enabled at  $\boldsymbol{m}$ .

The net of Fig. 1 is deadlock-free but not lim-deadlock-free:  $\boldsymbol{m} \stackrel{\text{def}}{=} (0,1,0,0)$  is a *dead* marking which is limit-reachable but not reachable and no reachable marking is dead.

Liveness ensures that whatever the reachable state is, any transition will be fireable in some future. So the system never "loses its capacities".

### **Definition 10. (liveness)**

A CPN system  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  is (lim-)live if for every transition t and for each marking  $\boldsymbol{m} \in (\mathtt{lim}-)\mathrm{RS}(\mathcal{N}, \boldsymbol{m}_0)$  there exists  $\boldsymbol{m}' \in (\mathtt{lim}-)\mathrm{RS}(\mathcal{N}, \boldsymbol{m})$  such that t is enabled at  $\boldsymbol{m}'$ .

The net of Fig. 1 is neither live nor lim-live: once  $t_1$  becomes disabled, it will remain so whatever finite or infinite firing sequence considered.

A home state is a marking that can be reached whatever the current state is. This property can express for instance that recovering from faults is always possible. A net is *reversible* if its initial marking is a home state. Both properties are particular cases of the reachability set inclusion problem.

## **Definition 11. (reachability set inclusion)**

Given CPN systems  $\langle \mathcal{N}, m_0 \rangle$  and  $\langle \mathcal{N}', m_0' \rangle$  with  $P = P', \langle \mathcal{N}, m_0 \rangle$  is (lim-)reachable included in  $\langle \mathcal{N}', \boldsymbol{m}_0' \rangle$  if  $(\mathtt{lim}-)\mathrm{RS}(\mathcal{N}, \boldsymbol{m}_0) \subseteq (\mathtt{lim}-)\mathrm{RS}(\mathcal{N}', \boldsymbol{m}_0')$ .

A marking m is a home state if  $RS(\mathcal{N}, m_0) \subseteq RS(\mathcal{N}^{-1}, m)$ .

If moreover  $m = m_0$ , one says that  $\langle \mathcal{N}, m_0 \rangle$  is reversible.

The following table summarises the results already known about the complexity of the associated decision problems. A net is *consistent* if there exists a vector  $\mathbf{v} \in \mathbb{R}_{>0}$  with  $\|\mathbf{v}\| = T$  and  $C\mathbf{v} = 0$ . No lower bounds have been established.

Problems	Upper bounds
(1' a. ) a 1 . 1 . 1 . 1 . 1	'. EXPENSE [10]
(lim-)reachability	in EXPTIME [10]
	in PTIME for lim-reachability
	when all transitions are fireable at least once
	and the net is consistent [15]
(lim-)coverability	no result
(lim-)boundedness	in PTIME
	when all transitions are fireable at least once [15]
	(stated without proof)
(lim-)deadlock-	in coNP [14]
freeness	
(lim-)liveness	in coNP [14]
(lim-)reachability	no result
set inclusion	

Table 1. Complexity bounds: previous results

#### **3. Properties characterisations**

# 3.1. Preliminary results about reachability and firing sequences

Most of the results of this subsection are generalisations of results given in [15, 10].

The following lemma is an almost immediate consequence of the firing definition of CPNs. It entails the convexity of the (lim-)reachability set. In this lemma, depending on the sequences,  $\longrightarrow_{(\infty)}$  denotes either  $\longrightarrow$  or  $\longrightarrow_{\infty}$ .

**Lemma 12.** Given a CPN system  $\langle \mathcal{N}, m_0 \rangle$ , (finite or infinite) sequences  $\sigma, \sigma_1, \sigma_2$  markings  $m, m', m_1$ ,  $m_2, m_1', m_2'$  and  $\alpha, \alpha_1, \alpha_2 \in \mathbb{R}_{>0}$ :

(0) 
$$m_1 \xrightarrow{\sigma} m'_1$$
 and  $m_1 \leq m_2$  implies  $m_2 \xrightarrow{\sigma} m'_2$  with  $m'_1 \leq m'_2$   
(1)  $m \xrightarrow{\sigma}_{(\infty)} m$  iff  $\alpha m \xrightarrow{\alpha \sigma}_{(\infty)} \alpha m'$ 

(1) 
$$m \xrightarrow{\sigma}_{(\infty)} m$$
 iff  $\alpha m \xrightarrow{\alpha \sigma}_{(\infty)} \alpha m'$ 

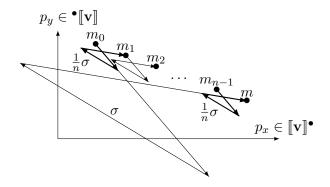
(2) 
$$\boldsymbol{m} \stackrel{\sigma}{\longrightarrow}_{\infty} \text{ iff } \alpha \boldsymbol{m} \stackrel{\alpha \sigma}{\longrightarrow}_{\infty}$$

(3) 
$$m_1 \xrightarrow{\sigma_1}_{(\infty)} m_1'$$
 and  $m_2 \xrightarrow{\sigma_2}_{(\infty)} m_2'$  implies  $m_1 + m_2 \xrightarrow{\sigma_1 + \sigma_2}_{(\infty)} m_1' + m_2'$ 

(4) 
$$m_1 \xrightarrow{\sigma_1}_{\infty}$$
 and  $m_2 \xrightarrow{\sigma_2}_{\infty}$  implies  $m_1 + m_2 \xrightarrow{\sigma_1 + \sigma_2}_{\infty}$ 

(4) 
$$m_1 \xrightarrow{\sigma_1}_{\infty}$$
 and  $m_2 \xrightarrow{\sigma_2}_{\infty}$  implies  $m_1 + m_2 \xrightarrow{\sigma_1 + \sigma_2}_{\infty}$   
(5)  $m_1 \xrightarrow{\alpha_1 \sigma}_{(\infty)} m_1'$  and  $m_2 \xrightarrow{\alpha_2 \sigma}_{(\infty)} m_2'$  implies  $m_1 + m_2 \xrightarrow{(\alpha_1 + \alpha_2) \sigma}_{(\infty)} m_1' + m_2'$ 

(6) 
$$m_1 \xrightarrow{\alpha_1 \sigma}_{\infty}$$
 and  $m_2 \xrightarrow{\alpha_2 \sigma}_{\infty}$  implies  $m_1 + m_2 \xrightarrow{(\alpha_1 + \alpha_2) \sigma}_{\infty}$ 



The two next lemmas constitute a first step for the characterisation of reachability since they provide sufficient conditions for reachability and lim-reachability in particular cases. Let us explain why the three items of the next lemma ensure reachability of m from  $m_0$ . The first item is a necessary condition for reachability since the Parikh image v of a reachability sequence  $\sigma$  must satisfy this equation (see [7] for another application of this condition). The figure above shows the effect of an arbitrary firing sequence  $\sigma$  built from such a vector v on the marking of two places: the vertical axis corresponds to a place  $p_y \in {}^{\bullet} \llbracket \mathbf{v} \rrbracket$  while the horizontal axis corresponds to a place  $p_x \in \llbracket \mathbf{v} \rrbracket^{\bullet}$ . As shown in the figure, after the firing of the first transition of  $\sigma$  the marking of  $p_y$  may be negative and before the firing of the last transition of  $\sigma$  the marking of  $p_x$  may be negative. However, for a large enough n, due to the second item,  $\frac{1}{n}\sigma$  may be fired from  $m_0$  and due to the third item  $\frac{1}{n}\sigma^{-1}$  may be fired from m (see the figure). One gets the conclusion using the convexity of the set of nonnegative markings.

**Lemma 13.** Let  $\langle \mathcal{N}, m_0 \rangle$  be a CPN system, m be a marking and  $\mathbf{v} \in \mathbb{R}_{\geq 0}^T$  that fulfill:

- $m = m_0 + Cv$ ;
- $\forall p \in \bullet \llbracket \mathbf{v} \rrbracket \ \boldsymbol{m}_0[p] > 0;$
- $\forall p \in \llbracket \mathbf{v} \rrbracket^{\bullet} \mathbf{m}[p] > 0.$

Then there exists a finite sequence  $\sigma$  such that  $m_0 \stackrel{\sigma}{\longrightarrow} m$  and  $\overrightarrow{\sigma} = \mathbf{v}$ .

# **Proof:**

Define 
$$\alpha_1 \stackrel{\text{def}}{=} \min(\frac{m_0[p]}{\sum_{t \in \llbracket v \rrbracket} \mathbf{Pre}[p,t]\mathbf{v}[t]} \mid p \in {}^{\bullet}\llbracket \mathbf{v} \rrbracket)$$
 and  $\alpha_2 \stackrel{\text{def}}{=} \min(\frac{m[p]}{\sum_{t \in \llbracket v \rrbracket} \mathbf{Post}[p,t]\mathbf{v}[t]} \mid p \in \llbracket \mathbf{v} \rrbracket^{\bullet})$  with the convention that  $\alpha_1 \stackrel{\text{def}}{=} 1$  (resp.  $\alpha_2 \stackrel{\text{def}}{=} 1$ ) if  ${}^{\bullet}\llbracket \mathbf{v} \rrbracket$  (resp.  $\llbracket \mathbf{v} \rrbracket^{\bullet}$ ) is empty.

Due to the second and the third hypotheses,  $\alpha_1$  and  $\alpha_2$  are positive.

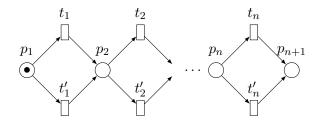


Figure 3. A CPN system with an exponentially sized firing set.

Let 
$$n \stackrel{\text{def}}{=} \max(\lceil \frac{1}{\min(\alpha_1, \alpha_2)} \rceil, 2)$$
.

Denote 
$$\llbracket v \rrbracket \stackrel{\text{def}}{=} \{t_1, \dots, t_k\}$$
 and define  $\sigma' \stackrel{\text{def}}{=} \frac{\mathbf{v}[t_1]}{n} t_1 \dots \frac{\mathbf{v}[t_k]}{n} t_k$  and  $\sigma \stackrel{\text{def}}{=} \sigma'^n$ .

We claim that  $\sigma$  is the required firing sequence.

Let us denote  $m_i \stackrel{\text{def}}{=} m_0 + \frac{i}{n} C \mathbf{v}$ . Thus  $m = m_n$ .

By definition of  $\alpha_1$  and n, in  $\mathcal{N}$   $m_0 \xrightarrow{\sigma'} m_1$  and by definition of  $\alpha_2$ ,  $m_n \xrightarrow{\sigma'^{-1}} m_{n-1}$  in  $\mathcal{N}^{-1}$ . So in  $\mathcal{N}$   $m_{n-1} \xrightarrow{\sigma'} m_n$ .

Let 
$$1 < i < n - 1$$
.

Using lemma 12, 
$$\frac{n-1-i}{n-1} m_0 \xrightarrow{\frac{n-1-i}{n-1} \sigma'} \frac{n-1-i}{n-1} m_1$$
 and  $\frac{i}{n-1} m_{n-1} \xrightarrow{\frac{i}{n-1} \sigma'} \frac{i}{n-1} m_n$ .

Using lemma 12 again and summing, one gets:  $m_i \xrightarrow{\sigma'} m_{i+1}$ .

Based on the previous lemma, we develop a sufficient condition for lim-reachability. The main ideas are: (1) to build an infinite firing sequence of the form  $m_0 \longrightarrow \frac{1}{2} m_0 + \frac{1}{2} m \longrightarrow \frac{1}{4} m_0 + \frac{3}{4} m \longrightarrow \dots$  and (2) as a fraction of  $m_0$  occurs in every intermediate marking, to merge the two positivity requirements on a single requirement for  $m_0$ .

**Lemma 14.** Let  $\langle \mathcal{N}, m_0 \rangle$  be a CPN system, m be a marking and  $\mathbf{v} \in \mathbb{R}_{\geq 0}^T$  that fulfill:

- $m = m_0 + Cv$ ;
- $\forall p \in {}^{\bullet}[\![\mathbf{v}]\!]^{\bullet} \mathbf{m}_0[p] > 0.$

Then there exists an infinite sequence  $\sigma$  such that  $m_0 \xrightarrow{\sigma}_{\infty} m$  and  $\overrightarrow{\sigma} = v$ .

#### **Proof:**

Let  $m_i$  be inductively defined by  $m_{i+1} = \frac{1}{2}m_i + \frac{1}{2}m$ . and for  $i \ge 1$ , let  $\mathbf{v}_i = \frac{1}{2^i}\mathbf{v}$  (thus  $[\![\mathbf{v}_i]\!] = [\![\mathbf{v}]\!]$ ). Observe that  $m_i = \frac{1}{2^i}m_0 + (1 - \frac{1}{2^i})m$ . So:

- $m_{i+1} = m_i + Cv_i$ ;
- $\forall p \in {}^{\bullet}\llbracket \mathbf{v}_i \rrbracket^{\bullet} \mathbf{m}_i[p] > 0 \text{ and } \mathbf{m}_{i+1}[p] > 0.$

Applying lemma 13, for all  $i \geq 1$  there exists  $\sigma_i$  such that  $m_i \xrightarrow{\sigma_i} m_{i+1}$ . Since  $\lim_{i \to \infty} m_i = m$ , the sequence  $\sigma = \sigma_1 \sigma_2 \dots$  is the required sequence.

The key concept in order to get characterisation of properties, is the notion of *firing set* of a CPN system [10].

**Definition 15.** Let  $\langle \mathcal{N}, m_0 \rangle$  be a CPN system. Then its firing set  $FS(\mathcal{N}, m_0) \subseteq 2^T$  is defined by:

$$FS(\mathcal{N}, \boldsymbol{m}_0) \stackrel{\text{def}}{=} \{ \llbracket \overrightarrow{\sigma} \rrbracket \mid \boldsymbol{m}_0 \stackrel{\sigma}{\longrightarrow} \}$$

Due to the empty sequence,  $\emptyset \in FS(\mathcal{N}, m_0)$ . The size of a firing set may be exponential w.r.t. the number of transitions of the net. For example, consider the CPN system of Fig. 3. Its firing set is:

$$\{T' \mid \forall 1 \le j < i \le n \ \{t_i, t_i'\} \cap T' \ne \emptyset \Rightarrow \{t_j, t_i'\} \cap T' \ne \emptyset\}$$

Thus its size is at least  $2^{\frac{|T|}{2}}$ .

The next two lemmas establish elementary properties of the firing set and lead to new notions.

**Lemma 16.** Let  $\mathcal{N}$  be a CPN and m, m' be two markings such that [m] = [m']. Then  $FS(\mathcal{N}, m) = FS(\mathcal{N}, m')$ .

#### **Proof:**

Since  $[\![m]\!] = [\![m']\!]$ , there exists  $\alpha > 0$  such that  $\alpha m \leq m'$ . Let  $m \xrightarrow{\sigma}$ . Using lemma 12,  $\alpha m \xrightarrow{\alpha \sigma}$ . Since  $\alpha m \leq m'$ ,  $m' \xrightarrow{\alpha \sigma}$ . Thus  $FS(\mathcal{N}, m) \subseteq FS(\mathcal{N}, m')$ . By symmetry,  $FS(\mathcal{N}, m) = FS(\mathcal{N}, m')$ .

So given  $P'\subseteq P$ , without ambiguity we define  $FS(\mathcal{N},P')$  by:  $FS(\mathcal{N},P')\stackrel{\mathrm{def}}{=} FS(\mathcal{N},\boldsymbol{m}) \text{ for any } \boldsymbol{m} \text{ such that } P'=[\![\boldsymbol{m}]\!]$ 

**Lemma 17.** Let  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  be a CPN system. Then  $FS(\mathcal{N}, \boldsymbol{m}_0)$  is closed by union.

## **Proof:**

Let  $m_0 \xrightarrow{\sigma}$  and  $m_0 \xrightarrow{\sigma'}$ .

Then using three times lemma 12,  $0.5m_0 \xrightarrow{0.5\sigma}$ ,  $0.5m_0 \xrightarrow{0.5\sigma'}$  and  $m_0 \xrightarrow{0.5\sigma+0.5\sigma'}$ .

Since  $[0.5\sigma + 0.5\overrightarrow{\sigma'}] = [\overrightarrow{\sigma'}] \cup [\overrightarrow{\sigma'}]$ , the conclusion follows.

**Notation.** We denote by  $\max FS(\mathcal{N}, \boldsymbol{m}_0)$  the maximal set of  $FS(\mathcal{N}, \boldsymbol{m}_0)$ , that is the union of all members of  $FS(\mathcal{N}, \boldsymbol{m}_0)$ .

The next proposition is a structural characterisation for a subset of transitions to belong to the firing set. In addition, it shows that in the positive case, a "useful" corresponding sequence always exists and furthermore one may build this sequence in polynomial time. In order to improve the understanding of the proof, we first informally explain why the condition is sufficient and how to build the sequence. Assume that  $\mathcal{N}_{T'}$  has no *empty* siphon in  $m_0$  (i.e. a siphon with no tokens in in  $m_0$ ). So there is at least one initially fireable transition. Otherwise, for each transition  $t \in T'$ , there would be a place  $p_t$  with  $m_0(p_t) = 0$ , and so the union of theses places would be an empty siphon in  $m_0$ . Let  $T_1$  be the set of initially fireable transitions. Then one can fire a small amount of each transition of  $T_1$  leading to a marking  $m_1$  so that all marked places in  $m_0$  remain marked in  $m_1$ . Then either  $T_1 = T'$  or, by a similar

argument, there is at least a transition of  $T' \setminus T_1$  fireable in  $m_1$ . Let us illustrate it on the CPN system of Figure 1(a) with T' = T. Initially only  $t_1$  is fireable, so one fires it with any amount less than 1, say  $0.5t_1$  for instance. Thus  $m_1 = (0.5, 0.5, 1, 0)$  and in  $m_1$ ,  $t_2$  is fireable, one fires  $0.25t_2$  in order that  $p_3$  remains marked in  $m_2 = (0.5, 0.5, 0.5, 0.25)$ . Then one fires  $0.2t_3$  leading to  $m_3 = (0.5, 0.5, 0.7, 0.05)$ . Observe that once a place is marked, the selected sequence never unmarks it.

**Proposition 18.** Let  $\langle \mathcal{N}, m_0 \rangle$  be a CPN system and T' be a subset of transitions. Then:

 $T' \in FS(\mathcal{N}, \boldsymbol{m}_0)$  iff  $\mathcal{N}_{T'}$  has no empty siphon in  $\boldsymbol{m}_0$ .

Furthermore, if  $T' \in FS(\mathcal{N}, \mathbf{m}_0)$  then there exists  $\sigma = \alpha_1 t_1 \dots \alpha_k t_k$  with  $\alpha_i > 0$  for all  $i, T' = \{t_1, \dots, t_k\}$  and a marking  $\mathbf{m}$  such that:

- $m_0 \stackrel{\sigma}{\longrightarrow} m$ ;
- for all place p, m(p) > 0 iff  $m_0(p) > 0$  or  $p \in {}^{\bullet}T'^{\bullet}$ .

#### **Proof:**

**Necessity.** Suppose  $\mathcal{N}_{T'}$  contains an empty siphon  $\Sigma$  in  $m_0$ . Then none of the transitions belonging  $\Sigma^{\bullet}$  can be fired in the future. Since  $\mathcal{N}_{T'}$  does not contain isolated places  $\Sigma^{\bullet}(=^{\bullet}\Sigma^{\bullet}) \neq \emptyset$  and so  $T' \notin FS(\mathcal{N}, m_0)$ .

**Sufficiency.** Suppose that  $\mathcal{N}_{T'}$  has no empty siphon in  $m_0$ . We build by induction the sequence  $\sigma$  of the proposition. More precisely, we inductively prove for increasing values of i that:

- for every 0 < j < i there exists a non empty set of transitions  $T_j \subseteq T'$  that fulfill for all  $j \neq j'$ ,  $T_j \cap T_{j'} = \emptyset$ ;
- for every  $j \le i$  there exists a marking  $m_j$  with  $m_j(p) > 0$  iff  $m_0(p) > 0$  or  $p \in {}^{\bullet}T_k{}^{\bullet}$  for some k < j;
- for every j < i there exists a sequence  $\sigma_j = \alpha_{j,1} t_{j,1} \dots \alpha_{j,k_j} t_{j,k_j}$  with  $T_j = \{t_{j,1} \dots t_{j,k_j}\}$  and  $\boldsymbol{m}_j \xrightarrow{\sigma_j} \boldsymbol{m}_{j+1}$ .

There is nothing to prove for the basis case i = 0.

Suppose that the assertion holds until i. If  $T' = T_1 \cup ... \cup T_{i-1}$  then we are done.

Otherwise define  $T'' = T' \setminus (T_1 \cup \ldots \cup T_{i-1})$  and  $T_i = \{t \text{ enabled in } m_i \mid t \in T''\}$ . We claim that  $T_i$  is not empty. Otherwise, for each  $t \in T''$  there exists an empty place  $p_t$  in  $m_i$ . Due to the inductive hypothesis,  $m_0(p_t) = 0$  and  $p_t \cap (T_1 \cup \ldots \cup T_{i-1}) = \emptyset$ . So the union of places  $p_t$  is an empty siphon of  $(\mathcal{N}_{T'}, m_0)$ , which contradicts our hypothesis.

Let us denote  $T_i = \{t_{i,1} \dots t_{i,k_i}\}$ . Define  $\alpha = \min(\frac{m_i(p)}{2k_i} \mid p \in {}^{\bullet}T_i)$  with the convention that  $\alpha = 1$  if  ${}^{\bullet}T_i = \emptyset$ . The sequence  $\sigma_i = \alpha t_{i,1} \dots \alpha t_{i,k_i}$  is fireable from  $m_i$  and leads to a marking  $m_{i+1}$  fulfilling the inductive hypothesis.

Since T'' is finite the procedure terminates.

We include the complexity result below since its proof relies in a straightforward manner on the sufficiency proof of the previous proposition.

# **Algorithm 1:** Decision algorithm for membership of $FS(\mathcal{N}, m_0)$

```
Fireable(\langle \mathcal{N}, \boldsymbol{m}_0 \rangle, T'): status
   Input: a CPN system \langle \mathcal{N}, \boldsymbol{m}_0 \rangle, a subset of transitions T'
   Output: the membership status of T' w.r.t. FS(\mathcal{N}, \boldsymbol{m}_0)
   Output: in the negative case the maximal firing set included in T'
   Data: new: boolean; P': subset of places; T'': subset of transitions
1 T'' \leftarrow \emptyset; P' \leftarrow \llbracket \boldsymbol{m}_0 \rrbracket
2 while T'' \neq T' do
        new \leftarrow \mathbf{false}
        for t \in T' \setminus T'' do
4
              if {}^{\bullet}t \subseteq P' then T'' \leftarrow T'' \cup \{t\}; P' \leftarrow P' \cup t^{\bullet}; new \leftarrow \mathbf{true}
5
6
        if not new then return (false, T'')
8 end
9 return true
```

Corollary 19. Let  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  be a CPN system and T' be a subset of transitions. Then algorithm 1 checks in polynomial time whether  $T' \in FS(\mathcal{N}, \boldsymbol{m}_0)$  and in the negative case returns the maximal firing set included in T' (when called with T = T', it returns  $\max FS(\mathcal{N}, \boldsymbol{m}_0)$ ).

# 3.2. Characterisation of reachability, coverability and boundedness

In [10] a characterisation of reachability was presented. The theorem below is an alternative characterisation that only relies on the state equation and firing sets.

**Theorem 20.** Let  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  be a CPN system and  $\boldsymbol{m}$  be a marking. Then  $\boldsymbol{m} \in \mathrm{RS}(\mathcal{N}, \boldsymbol{m}_0)$  iff there exists  $\mathbf{v} \in \mathbb{R}_{>0}^T$  such that:

```
1. m = m_0 + C\mathbf{v}
2. [\mathbf{v}] \in FS(\mathcal{N}, m_0)
```

3. 
$$\|\mathbf{v}\| \in FS(\mathcal{N}^{-1}, m)$$

## **Proof:**

**Necessity.** Let  $m \in RS(\mathcal{N}, m_0)$ . So there exists a finite firing sequence  $\sigma$  such that  $m_0 \xrightarrow{\sigma} m$ . Let  $\mathbf{v} = \overrightarrow{\sigma}$ , then  $m = m_0 + C\mathbf{v}$ .

Since  $\sigma$  is fireable from  $\mathbf{m}_o$  in  $\mathcal{N}$ ,  $[\![\mathbf{v}]\!] \in FS(\mathcal{N}, \mathbf{m}_0)$ . In  $\mathcal{N}^{-1}$ ,  $\mathbf{m} \xrightarrow{\sigma^{-1}} \mathbf{m}_0$ . Since  $\mathbf{v} = \overrightarrow{\sigma^{-1}}$ ,  $[\![\mathbf{v}]\!] \in FS(\mathcal{N}^{-1}, \mathbf{m})$ .

**Sufficiency.** Since  $\llbracket \mathbf{v} \rrbracket \in FS(\mathcal{N}, \mathbf{m}_0)$ , using Proposition 18 and Lemma 12, there exists a sequence  $\sigma_1$  such that  $\llbracket \mathbf{v} \rrbracket = \llbracket \overrightarrow{\sigma_1} \rrbracket$ , for all  $0 < \alpha_1 \le 1$ ,  $\mathbf{m}_0 \stackrel{\alpha_1 \sigma_1}{\longrightarrow} \mathbf{m}_1$  with  $\mathbf{m}_1(p) > 0$  for  $p \in {}^{\bullet} \llbracket \mathbf{v} \rrbracket^{\bullet}$ .

Since  $\llbracket \mathbf{v} \rrbracket \in FS(\mathcal{N}^{-1}, \boldsymbol{m})$ , there exists a sequence  $\sigma_2$  such that  $\llbracket \mathbf{v} \rrbracket = \llbracket \overrightarrow{\sigma_2} \rrbracket$ , for all  $0 < \alpha_2 \le 1$ ,  $\boldsymbol{m} \xrightarrow{\alpha_2 \sigma_2} \boldsymbol{m}_2$  in  $\mathcal{N}^{-1}$  with  $\boldsymbol{m}_2(p) > 0$  for  $p \in {}^{\bullet} \llbracket \mathbf{v} \rrbracket {}^{\bullet}$ .

Choose  $\alpha_1$  and  $\alpha_2$  enough small such that the vector  $\mathbf{v}' = \mathbf{v} - \alpha_1 \overrightarrow{\sigma_1} - \alpha_2 \overrightarrow{\sigma_2}$  is nonnegative and  $[\![\mathbf{v}']\!] = [\![\mathbf{v}]\!]$ . This is possible since  $[\![\mathbf{v}]\!] = [\![\overrightarrow{\sigma_2}\!]\!] = [\![\overrightarrow{\sigma_2}\!]\!]$ .

Since  $m_2 = m_1 + C\mathbf{v}'$  and  $m_1, m_2$  fulfill the hypotheses of Lemma 13, there exists a sequence  $\sigma_3$  such that  $\mathbf{v}' = \overrightarrow{\sigma_3}$  and  $m_1 \xrightarrow{\sigma_3} m_2$ .

Let 
$$\sigma = (\alpha_1 \sigma_1) \sigma_3 (\alpha_2 \sigma_2)^{-1}$$
 then  $m_0 \stackrel{\sigma}{\longrightarrow} m$ .

**Example.** Let us illustrate the reachability characterisation on the CPN of Figure 1(a). Let  $m_0 = (1,0,1,0)$  and m = (0,1,0,0), the single solution of the linear equation system is  $\overrightarrow{t_1} + \overrightarrow{t_2} + \overrightarrow{t_3}$ . On the other hand,  $FS(\mathcal{N}, m_0) = \{\emptyset, \{t_1\}, \{t_1, t_2\}, \{t_1, t_2, t_3\}\}$ . So the second condition is satisfied. However,  $FS(\mathcal{N}^{-1}, m) = \{\emptyset, \{t_1\}\}$ . So the third condition is not satisfied and m is not reachable from  $m_0$ .

The following characterisation has been stated in [10]. We include the proof here because in that paper the proof of necessity was not developed.

Theorem 21. Let  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  be a CPN system and  $\boldsymbol{m}$  be a marking. Then  $\boldsymbol{m} \in \text{lim}-\mathrm{RS}(\mathcal{N}, \boldsymbol{m}_0)$  iff there exists  $\mathbf{v} \in \mathbb{R}_{>0}^T$  such that:

- 1.  $m = m_0 + Cv$
- 2.  $\|\mathbf{v}\| \in FS(\mathcal{N}, m_0)$

# **Proof:**

**Necessity.** Let  $m \in \text{lim}-\text{RS}(\mathcal{N}, m_0)$ . So there exists a firing sequence  $\sigma = \alpha_1 t_1 \dots \alpha_n t_n \dots$  such that  $m = \lim_{n \to \infty} m_n$ , where  $m_n \stackrel{\alpha_{n+1}t_{n+1}}{\longrightarrow} m_{n+1}$ .

Thus there exists  $B \in \mathbb{N}$  such that for all  $p \in P$  and all  $n \in \mathbb{N}$ ,  $m_n[p] \leq B$ .

Let  $T' \stackrel{\text{def}}{=} \{t \mid \exists i \in \mathbb{N} \ t = t_i\}$ . There exists  $n_0$  such that  $T' = \{t \mid \exists i \leq n_0 \ t = t_i\}$  and so  $T' \in FS(\mathcal{N}, \boldsymbol{m}_0)$ .

Let  $\alpha \in \mathbb{Q}_{>0}$  such that  $\alpha \leq \min(\sum_{i \leq n_0, t_i = t} \alpha_i \mid t \in T')$ .

Let us define  $LP_n$ , an existential linear program where  $\mathbf{v} \in \mathbb{R}^T$  is the vector of variables, by:

- 1.  $m_n m_0 = Cv$
- 2.  $\forall t \in T' \mathbf{v}[t] \geq \alpha$
- 3.  $\forall t \in T \setminus T' \mathbf{v}[t] = 0$

Due to the existence of the firing sequence  $\sigma$ , for all  $n \geq n_0$   $LP_n$  admits a solution. Using linear programming theory (see [13]), since  $m_n[p] \leq B$  for all n and all p, there exists B' such that for all  $n \geq n_0$ ,  $LP_n$  admits a solution  $\mathbf{v}_n$  whose items are bounded by B'.

So the sequence  $\{\mathbf{v}_n\}_{n\geq n_0}$  admits a subsequence that converges to some  $\mathbf{v}$ . By continuity,  $\mathbf{v}$  fulfills  $\mathbf{m} - \mathbf{m}_0 = \mathbf{C}\mathbf{v}, \forall t \in T' \mathbf{v}[t] \geq \alpha$  and  $\forall t \in T \setminus T' \mathbf{v}[t] = 0$ . So  $\|\mathbf{v}\| = T'$  and  $\mathbf{v}$  is the desired vector.

**Sufficiency.** Since  $\llbracket \mathbf{v} \rrbracket \in FS(\mathcal{N}, \mathbf{m}_0)$ , using Proposition 18 and Lemma 12, there exists a sequence  $\sigma_1$  such that  $\llbracket \mathbf{v} \rrbracket = \llbracket \overrightarrow{\sigma_1} \rrbracket$ , for all  $0 < \alpha_1 \le 1$ ,  $\mathbf{m}_0 \stackrel{\alpha_1 \sigma_1}{\longrightarrow} \mathbf{m}_1$  with  $\mathbf{m}_1(p) > 0$  for  $p \in {}^{\bullet} \llbracket \mathbf{v} \rrbracket^{\bullet}$ .

Choose  $\alpha_1$  enough small such that the vector  $\mathbf{v}' = \mathbf{v} - \alpha_1 \overrightarrow{\sigma_1}$  is nonnegative and  $\llbracket \mathbf{v}' \rrbracket = \llbracket \mathbf{v} \rrbracket$ . This is possible since  $\llbracket \mathbf{v} \rrbracket = \llbracket \overrightarrow{\sigma_1} \rrbracket$ .

Since  $m = m_1 + C\mathbf{v}'$  and  $m_1$  fulfills the hypotheses of lemma 14, there exists an infinite sequence  $\sigma_2$  such that  $\mathbf{v}' = \overrightarrow{\sigma_2}$  and  $m_1 \xrightarrow{\sigma_2}_{\infty} m$ .

Let 
$$\sigma = (\alpha_1 \sigma_1) \sigma_2$$
 then  $m_0 \xrightarrow{\sigma}_{\infty} m$ .

**Example.** Let us illustrate the lim-reachability characterisation on the CPN of Figure 1(a). Let  $m_0 = (1,0,1,0)$  and m = (0,1,0,0). Since the third condition of reachability is not required for lim-reachability, m is reachable from  $m_0$ . Let m' = (1,0,0,0), the single solution of the linear equation system is  $\overrightarrow{t_2} + \overrightarrow{t_3}$ , and  $\{t_2, t_3\}$  does not belong to  $FS(\mathcal{N}, m_0)$ . So m' is not lim-reachable from  $m_0$ .

Using the previous theorem, we develop a short proof showing that iterating the lim-reachability is useless.

**Theorem 22.** Let  $\langle \mathcal{N}, m_0 \rangle$  be a CPN system.

Then for all  $m \in \text{lim}-\text{RS}(\mathcal{N}, m_0)$ ,  $\text{lim}-\text{RS}(\mathcal{N}, m) \subseteq \text{lim}-\text{RS}(\mathcal{N}, m_0)$ .

### **Proof:**

Let  $m' \in \lim -RS(\mathcal{N}, m)$ . Due to Theorem 21, there exists  $\mathbf{v}, \mathbf{v}' \in \mathbb{R}^T_{\geq 0}$  such that:

- 1.  $m = m_0 + C\mathbf{v}$  and  $m' = m + C\mathbf{v}'$
- 2.  $\llbracket \mathbf{v} \rrbracket \in FS(\mathcal{N}, \boldsymbol{m}_0)$  and  $\llbracket \mathbf{v}' \rrbracket \in FS(\mathcal{N}, \boldsymbol{m})$

Thus  $m' = m_0 + C(\mathbf{v} + \mathbf{v}')$ .

Due to proposition 18, since  $\llbracket \mathbf{v} \rrbracket \in FS(\mathcal{N}, m_0)$ , there exists a sequence  $\sigma$  and a marking  $m^*$  such that  $m_0 \xrightarrow{\sigma} m^*$  and  $\llbracket \overrightarrow{\sigma} \rrbracket = \llbracket \mathbf{v} \rrbracket$  and  $\llbracket m^* \rrbracket = \llbracket m_0 \rrbracket \cup {}^{\bullet} \llbracket \mathbf{v} \rrbracket^{\bullet}$ .

Since  $m = m_0 + C\mathbf{v}$ ,  $[m] \subseteq [m^*]$  and so  $[\mathbf{v}'] \in FS(\mathcal{N}, m^*)$ . Hence  $[\mathbf{v} + \mathbf{v}'] = [\mathbf{v}] \cup [\mathbf{v}'] \in FS(\mathcal{N}, m_0)$ . Using in the other direction the characterization of Theorem 21 with  $\mathbf{v} + \mathbf{v}'$ , one gets  $m' \in \text{lim}-RS(\mathcal{N}, m_0)$ .

The two following theorems related to coverability are direct consequences of Theorems 20 and 21 and the definition of (lim-)coverability.

**Theorem 23.** Let  $\langle \mathcal{N}, m_0 \rangle$  be a CPN system and m be a marking. Then m is coverable in  $\langle \mathcal{N}, m_0 \rangle$  iff there exists  $\mathbf{v} \in \mathbb{R}^T_{\geq 0}$  and  $\mathbf{w} \in \mathbb{R}^P_{\geq 0}$  such that:

- 1.  $m + w = m_0 + Cv$
- 2.  $\|\mathbf{v}\| \in FS(\mathcal{N}, \boldsymbol{m}_0)$
- 3.  $\|\mathbf{v}\| \in FS(\mathcal{N}^{-1}, m + \mathbf{w})$

**Theorem 24.** Let  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  be a CPN system and  $\boldsymbol{m}$  be a marking. Then  $\boldsymbol{m}$  is lim-coverable in  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  iff there exists  $\mathbf{v} \in \mathbb{R}_{>0}^T$  and  $\mathbf{w} \in \mathbb{R}_{>0}^P$  such that:

1. 
$$m + w = m_0 + Cv$$

2. 
$$[v] \in FS(\mathcal{N}, m_0)$$

We present below the first characterisation of boundedness for CPN systems.

**Theorem 25.** Given a CPN system  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$ . Then  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  is unbounded iff: There exists  $\mathbf{v} \in \mathbb{R}^T_{\geq 0}$  such that  $C\mathbf{v} \geq \mathbf{0}$  and  $[\![\mathbf{v}]\!] \subseteq \max \mathsf{FS}(\mathcal{N}, \boldsymbol{m}_0)$ .

### **Proof:**

**Sufficiency.** Assume there exists  $\mathbf{v} \in \mathbb{R}^T_{\geq 0}$  such that  $C\mathbf{v} \geq \mathbf{0}$  and  $[\![\mathbf{v}]\!] \subseteq \max FS(\mathcal{N}, m_0)$ . Denote  $T' \stackrel{\text{def}}{=} \max FS(\mathcal{N}, m_0)$ . Using proposition 18, there exists  $m_1 \in RS(\mathcal{N}, m_0)$  such that for all  $p \in {}^{\bullet}T'^{\bullet}$ ,  $m_1(p) > 0$ . Define  $m_2 \stackrel{\text{def}}{=} m_1 + C\mathbf{v}$ , thus  $m_2 \geq m_1$ . Since  $[\![\mathbf{v}]\!] \subseteq T'$ ,  $m_1$  and  $m_2$  fulfill the hypotheses of lemma 13. Applying it, a firing sequence  $m_1 \stackrel{\sigma}{\longrightarrow} m_2$  yields. Iterating this sequence establishes the unboundedness of  $\langle \mathcal{N}, m_0 \rangle$ .

Necessity. Assume  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  is unbounded. Then there exists  $p \in P$  and a family of firing sequences  $\{\sigma_n\}_{n \in \mathbb{N}}$  such that  $\boldsymbol{m}_0 \xrightarrow{\sigma_n} \boldsymbol{m}_n$  and  $\boldsymbol{m}_n(p) \geq n$ . Since  $\{\llbracket \overrightarrow{\sigma}_n \rrbracket\}_{n \in \mathbb{N}}$  is finite, by extracting a subsequence w.l.o.g. we can assume that all these sequences have the same support, say  $T' \subseteq \max FS(\mathcal{N}, \boldsymbol{m}_0)$ . Let  $\mathbf{v}_n \stackrel{\text{def}}{=} C \overrightarrow{\sigma}_n$ . Define  $\mathbf{w}_n = \frac{\mathbf{v}_n}{\|\mathbf{v}_n\|_1}$ . Since  $\{\mathbf{w}_n\}_{n \in \mathbb{N}}$  belongs to a compact set, there exists a convergent subsequence  $\{\mathbf{w}_{\alpha(n)}\}_{n \in \mathbb{N}}$ . Denote by  $\mathbf{w}$  its limit. Since  $\|\mathbf{w}\|_1 = 1$ ,  $\mathbf{w}$  is non null. We claim that  $\mathbf{w}$  is a nonnegative vector. Since  $\boldsymbol{m}_n(p) \geq n$ ,  $\|\mathbf{v}_n\|_1 \geq \mathbf{v}_n[p] \geq n - \boldsymbol{m}_0[p]$ . On the other hand, for all  $p' \in P$ ,  $\mathbf{w}_n[p'] \geq \frac{-\boldsymbol{m}_0[p']}{\|\mathbf{v}_n\|_1}$ . Combining the two inequalities, for  $n > \boldsymbol{m}_0[p]$ ,  $\mathbf{w}_n[p'] \geq \frac{-\boldsymbol{m}_0[p']}{n-\boldsymbol{m}_0[p]}$ . Applying this inequality to  $\alpha(n)$  and letting n go to infinity yields  $\mathbf{w}[p'] \geq 0$ .

Due to standard results of polyhedra theory (see [1] for instance), the set  $\{C_{P\times T'}\mathbf{u}\mid \mathbf{u}\in\mathbb{R}_{\geq 0}^{T'}\}$  is closed. So there exists  $\mathbf{u}\in\mathbb{R}_{\geq 0}^{T'}$  such that  $\mathbf{w}=C\mathbf{u}$ . Considering  $\mathbf{u}$  as a vector of  $\mathbb{R}_{\geq 0}^T$  by adding null components for  $T\setminus T'$  yields the required vector.

**Example.** Let us illustrate the boundedness characterisation on the CPN system depicted below. The solutions of the equation system  $C\mathbf{v} \geq \mathbf{0}$  are  $x\overrightarrow{t_2}$  with x>0. On the other hand,  $\max FS(\mathcal{N}, m_0) = \{t_1, t_2\}$ . So the CPN system is unbounded. Observe that considering it as a (discrete) Petri net, this net is bounded.

# 4. Decision procedures

Naively implementing the characterisation of reachability would lead to an exponential procedure since it would require to enumerate the items of  $FS(\mathcal{N}, m_0)$  (whose size is possibly exponential). For each item, say T', the algorithm would check in polynomial time (1) whether T' belongs to  $FS(\mathcal{N}^{-1}, m)$  and (2) whether the associated linear program  $\mathbf{v} > \mathbf{0} \wedge C_{P \times T'} \mathbf{v} = m - m_0$  admits a solution. Guessing T' shows that the reachability problem belongs to NP.

# Algorithm 2: Decision algorithm for reachability

```
Reachable(\langle \mathcal{N}, \boldsymbol{m}_0 \rangle, \boldsymbol{m}): status
     Input: a CPN system \langle \mathcal{N}, \boldsymbol{m}_0 \rangle, a marking \boldsymbol{m}
     Output: the reachability status of m
     Output: the Parikh image of a witness in the positive case
     Data: nbsol: integer; \mathbf{v}, \mathbf{sol}: vectors; T': subset of transitions
 1 if m = m_0 then return (true,0)
 2 T' \leftarrow T
 3 while T' \neq \emptyset do
           nbsol \leftarrow 0; sol \leftarrow 0
 4
           for t \in T' do
 5
                  solve \exists ? \mathbf{v} \ \mathbf{v} \geq \mathbf{0} \land \mathbf{v}[t] > 0 \land \mathbf{C}_{P \times T'} \mathbf{v} = \mathbf{m} - \mathbf{m}_0
                 if \exists \mathbf{v} then nbsol \leftarrow nbsol + 1; \mathbf{sol} \leftarrow \mathbf{sol} + \mathbf{v}
 7
 8
           if nbsol = 0 then return false else sol \leftarrow \frac{1}{nbsol} sol
 9
           T' \leftarrow \llbracket \mathbf{sol} \rrbracket
10
           T' \leftarrow T' \cap \mathtt{maxFS}(\mathcal{N}_{T'}, \boldsymbol{m}_0[^{\bullet}T'^{\bullet}])
11
           T' \leftarrow T' \cap \texttt{maxFS}(\mathcal{N}_{T'}^{-1}, \boldsymbol{m}[^{\bullet}T'^{\bullet}]) \: / * \: \texttt{deleted for lim-reachability}
                                                                                                                                                                        */
12
           if T' = [sol] then return (true,sol)
13
14 end
15 return false
```

In fact, we improve this upper bound with the help of Algorithm 2. When  $m \neq m_0$ , this algorithm maintains a subset of transitions T' which fulfills  $\llbracket \overrightarrow{\sigma} \rrbracket \subseteq T'$  for any  $m_0 \stackrel{\sigma}{\longrightarrow} m$  (as will be proven in proposition 26). Initially T' is set to T. Then lines 4-9 build a solution to the state equation restricted to transitions of T' with a maximal support (if there is at least one). If there is no solution then the algorithm returns false. Otherwise T' is successively restricted to (1) the support of this maximal solution (line 10), (2) the maximal firing set in  $\max FS(\mathcal{N}_{T'}, m_0[^{\bullet}T'^{\bullet}])$  (line 11) and, (3) the maximal firing set in  $\max FS(\mathcal{N}_{T'}^{-1}, m[^{\bullet}T'^{\bullet}])$  (line 12). If the two last restrictions do not modify T' then the algorithm returns true. If T' becomes empty then the algorithm returns false.

Omitting line 12, Algorithm 2 decides the lim-reachability problem.

**Proposition 26.** Algorithm 2 returns true iff m is reachable in  $\langle \mathcal{N}, m_0 \rangle$ . Algorithm 2 without line 12 returns true iff m is lim-reachable in  $\langle \mathcal{N}, m_0 \rangle$ .

#### **Proof:**

We only consider the non trivial case  $m \neq m_0$ .

**Soundness.** Assume that the algorithm returns true at line 13.

By definition, vector sol, which is a barycenter of solutions, is also a solution with maximal support and so fulfils the first statement of Theorem 20. Since  $T' = [\![\mathbf{sol}]\!]$  at line 13,  $[\![\mathbf{sol}]\!] \in FS(\mathcal{N}, \boldsymbol{m}_0)$  due to line 11 and  $[\![\mathbf{sol}]\!] \in FS(\mathcal{N}^{-1}, \boldsymbol{m})$  due to line 12. Thus  $\boldsymbol{m}$  is reachable in  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  since it fulfills the assertions of Theorem 20. In case of lim-reachability, line 12 is omitted. So the assertions of Theorem 21 are fulfilled and  $\boldsymbol{m}$  is lim-reachable in  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$ .

#### **Completeness.** Assume the algorithm returns false.

We claim that at any time the algorithm fulfils the following invariant: for any  $m_0 \stackrel{\sigma}{\longrightarrow} m$ ,  $[\![\overrightarrow{\sigma}]\!] \subseteq T'$ . This invariant initially holds since T' = T. At line 10 due to the first assertion of Theorem 20, for any such  $\sigma$ ,  $[\![\overrightarrow{\sigma}]\!] \subseteq [\![\![\![\![sol]\!]\!]\!]$  since sol is a solution with maximal support. So the assignment of line 10 lets the invariant being true. Due to the second assertion of Theorem 20 and the invariant, any  $\sigma$  fulfils  $[\![\![\overrightarrow{\sigma}]\!]\!] \subseteq \max FS(\mathcal{N}_{T'}, m_0[^{\bullet}T'^{\bullet}])$ . So the assignment of line 11 lets true the invariant. Due to the third assertion of Theorem 20 and the invariant, any  $\sigma$  fulfils  $[\![\![\overrightarrow{\sigma}]\!]\!] \subseteq \max FS(\mathcal{N}_{T'}^{-1}, m[^{\bullet}T'^{\bullet}])$ . So the assignment of line 12 lets the invariant being true.

If the algorithm returns false at line 9 due to the invariant the first assertion of Theorem 20 cannot be satisfied. If the algorithm returns false at line 15 then  $T' = \emptyset$ . So due to the invariant and since  $m \neq m_0$ , m is not reachable from  $m_0$ .

The case of lim-reachability is similarly handled with the following invariant: for any  $m_0 \xrightarrow{\sigma}_{\infty} m$ ,  $\|\overrightarrow{\sigma}'\| \subseteq T'$ .

**Proposition 27.** The reachability and the lim-reachability problems for CPN systems are decidable in polynomial time.

#### **Proof:**

Let us analyse the time complexity of Algorithm 2. Since T' must be modified in lines 11 or 12 in order to start a new iteration of the main loop, there are at most |T| iterations of this loop. The number of iterations of the inner loop is also bounded by |T|. Finally solving a linear program can be performed in polynomial time [13] as well as computing the maximal item of a firing set (see Corollary 19).

In [10], it is proven that the lim-reachability problem for consistent CPN systems with no empty siphons in the initial marking is decidable in polynomial time. We improve this result by showing that this problem and a similar one belong to  $NC \subseteq PTIME$  (a complexity class of problems that can take advantage of parallel computations, see [12]).

**Proposition 28.** The reachability problem for consistent CPN systems with no empty siphons in the initial marking and no empty siphons in the final marking for the reverse net belongs to NC.

The lim-reachability problem for consistent CPN systems with no empty siphons in the initial marking belongs to NC.

#### **Proof:**

Due to the assumptions on siphons and proposition 18 only the first assertion of Theorems 20 and 21 needs to be checked. Due to consistency, there exists  $\mathbf{w} > \mathbf{0}$  such that  $C\mathbf{w} = \mathbf{0}$ . Assume there is some  $\mathbf{v} \in \mathbb{R}^T$  such that  $m - m_0 = C\mathbf{v}$ . For some  $n \in \mathbb{N}$  large enough,  $\mathbf{v}' \stackrel{\text{def}}{=} \mathbf{v} + n\mathbf{w} \in \mathbb{R}^T_{\geq 0}$  and still fulfils  $m - m_0 = C\mathbf{v}'$ .

Now the decision problem  $\exists ? \mathbf{v} \in \mathbb{R}^T \ m - m_0 = C \mathbf{v}$  belongs to NC [4].

Based on Theorems 23 and 24, we follow the same lines for designing Algorithm 3 which decides (lim-)coverability.

**Proposition 29.** The coverability and the lim-coverability problems for CPN systems are decidable in polynomial time.

## Algorithm 3: Decision algorithm for coverability

```
Coverable(\langle \mathcal{N}, \boldsymbol{m}_0 \rangle, \boldsymbol{m}): status
    Input: a CPN system \langle \mathcal{N}, \boldsymbol{m}_0 \rangle, a marking \boldsymbol{m}
    Output: the coverability status of m
    Output: the Parikh image of a witness in the positive case
    Data: nbsol: integer; \mathbf{v}, \mathbf{solv}: vectors over transitions; T': subset of transitions
    Data: w, solw: vectors over places
 1 if m \leq m_0 then return (true,0)
 T' \leftarrow T
 3 while T' \neq \emptyset do
          nbsol \leftarrow 0; solv \leftarrow 0; solw \leftarrow 0
          for t \in T' do
 5
                solve \exists \mathbf{?v}, \mathbf{w} \ \mathbf{v} \geq \mathbf{0} \land \mathbf{w} \geq \mathbf{0} \land \mathbf{v}[t] > 0 \land C_{P \times T'} \mathbf{v} - \mathbf{w} = m - m_0
 6
                if \exists v, w \text{ then } nbsol \leftarrow nbsol + 1; solv \leftarrow solv + v; solw \leftarrow solw + w
 7
 8
          end
          /* The next loop is deleted for lim-coverability
                                                                                                                                                         */
          for p \in P do
 9
                solve \exists ? \mathbf{v}, \mathbf{w} \ \mathbf{v} \geq \mathbf{0} \land \mathbf{w} \geq \mathbf{0} \land \mathbf{w}[p] > 0 \land C_{P \times T'} \mathbf{v} - \mathbf{w} = m - m_0
10
                if \exists v \text{ then } nbsol \leftarrow nbsol + 1; solv \leftarrow solv + v; solw \leftarrow solw + w
11
          end
12
          if nbsol = 0 then return false else solv \leftarrow \frac{1}{nbsol} solv; solw \leftarrow \frac{1}{nbsol} solv
13
          T' \leftarrow \llbracket \mathbf{solv} \rrbracket
14
          T' \leftarrow T' \cap \max FS(\mathcal{N}_{T'}, \boldsymbol{m}_0[^{\bullet}T'^{\bullet}])
15
          /* The next line deleted for lim-coverability
                                                                                                                                                         */
          T' \leftarrow T' \cap \texttt{maxFS}(\mathcal{N}_{T'}^{-1}, (\boldsymbol{m} + \mathbf{solw})[^{\bullet}T'^{\bullet}])
16
          if T' = [solv] then return (true, solv)
17
18 end
19 return false
```

#### **Proof:**

The polynomial time complexity of Algorithm 3 is established similarly as the one of Algorithm 2. Let us focus on the correctness of the algorithm. We only handle the case of coverability since the case of lim-coverability is similar and even simpler.

**Soundness.** Assume that the algorithm returns true at line 17.

By definition, the pair ( $\mathbf{solv}, \mathbf{solw}$ ), which is a barycenter of solutions, is also a solution with maximal support and so fulfils the first statement of Theorem 23. Since  $T' = [\![\mathbf{solv}]\!]$  at line 17,  $[\![\mathbf{solv}]\!] \in FS(\mathcal{N}, \boldsymbol{m}_0)$  due to line 15 and  $[\![\mathbf{solv}]\!] \in FS(\mathcal{N}^{-1}, \boldsymbol{m} + \mathbf{solw})$  due to line 16. Thus  $\boldsymbol{m}$  is coverable in  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  since it fulfills the assertions of Theorem 23.

# **Completeness.** Assume the algorithm returns false.

We claim that at any time the algorithm fulfils the following invariant: for any  $\sigma$  such that there exists  $m' \geq m$  with  $m_0 \xrightarrow{\sigma} m'$ ,  $\|\overrightarrow{\sigma}\| \subseteq T'$ .

This invariant initially holds since T' = T. At line 14 due to the first assertion of Theorem 23, for any such  $\sigma$ ,  $\llbracket \overrightarrow{\sigma} \rrbracket \subseteq \llbracket \mathbf{solv} \rrbracket$  and  $\llbracket m' \rrbracket \subseteq \llbracket m + \mathbf{solw} \rrbracket$  since the pair  $(\mathbf{solv}, \mathbf{solw})$  is a solution with maximal support. So the assignment of line 14 lets the invariant being true. Due to the second assertion of Theorem 23 and the invariant, any  $\sigma$  fulfils  $\llbracket \overrightarrow{\sigma} \rrbracket \subseteq \max FS(\mathcal{N}_{T'}, m_0[^{\bullet}T'^{\bullet}])$ . So the assignment of line 15 lets true the invariant. Due to the third assertion of Theorem 23 and the invariant, any pair  $(\sigma, m')$  fulfils  $\llbracket \overrightarrow{\sigma} \rrbracket \subseteq \max FS(\mathcal{N}_{T'}^{-1}, m'[^{\bullet}T'^{\bullet}]) \subseteq \max FS(\mathcal{N}_{T'}^{-1}, (m + \mathbf{solw})[^{\bullet}T'^{\bullet}])$ . So the assignment of line 16 lets true the invariant.

If the algorithm returns false at line 13 then, due to the invariant, the first assertion of Theorem 23 cannot be satisfied. If the algorithm returns false at line 19 then  $T' = \emptyset$ . So due to the invariant and since  $m \not \leq m_0$ , m is not coverable from  $m_0$ .

**Proposition 30.** The boundedness problem for CPN systems is decidable in polynomial time.

#### **Proof:**

Using the characterisation of Theorem 25, one computes in polynomial time  $T' = \max FS(\mathcal{N}, m_0)$  (see Corollary 19). Then for all  $p \in P$ , one solves the existential linear program:

$$\exists ?\mathbf{v} \geq \mathbf{0} \ \boldsymbol{C}_{P \times T'} \mathbf{v} \geq \mathbf{0} \wedge (\boldsymbol{C}_{P \times T'} \mathbf{v})[p] > 0$$

The CPN system is unbounded if some of these linear programs admits a solution.

In discrete Petri nets, the reachability set inclusion problem is undecidable, while the restricted problem of home state is decidable (see [8] for a detailed survey about decidability results in PNs). In CPN systems, this problem is decidable thanks to the special structure of the (lim-)reachability sets.

**Proposition 31.** The reachability set inclusion and the lim-reachability set inclusion problems for CPN systems are decidable in exponential time.

#### Proof:

Let us define  $TP \stackrel{\text{def}}{=} \{ (T', P') \mid T' \in FS(\mathcal{N}, \boldsymbol{m}_0) \wedge T' \neq \emptyset \wedge P' \subseteq P \wedge T' \in FS(\mathcal{N}^{-1}, P') \}$ . For every pair  $(T', P') \in TP$ , define the polyhedron  $E_{T', P'}$  over  $\mathbb{R}^P \times \mathbb{R}^{T'}$  by:

$$E_{T',P'} \stackrel{\text{def}}{=} \{ (\boldsymbol{m}, \mathbf{v}) \mid \boldsymbol{m}[P'] > \mathbf{0} \land \boldsymbol{m}[P \setminus P'] = \mathbf{0} \land \mathbf{v} > \mathbf{0} \land \boldsymbol{m} = \boldsymbol{m}_0 + \boldsymbol{C}_{P \times T'} \mathbf{v} \}$$

and  $R_{T',P'}$  by:  $R_{T',P'} \stackrel{\text{def}}{=} \{ \boldsymbol{m} \mid \exists \mathbf{v} \; (\boldsymbol{m},\mathbf{v}) \in E_{T',P'} \}$ 

Using the characterisation of Theorem 20 and Lemma 16.

 $RS(\mathcal{N}, \boldsymbol{m}_0) = \{\boldsymbol{m}_0\} \cup \bigcup_{(T',P') \in TP} R_{T',P'}.$ 

Due to Lemma 12, the reachability set of a CPN system is convex. So  $RS(\mathcal{N}, m_0)$  can be rewritten as:

$$RS(\mathcal{N}, \boldsymbol{m}_0) = \{\lambda_0 \boldsymbol{m}_0 + \sum_{(T', P') \in TP} \lambda_{T', P'} \boldsymbol{m}_{T', P'} \mid$$

$$\lambda_0 + \sum_{(T',P')\in TP} \lambda_{T',P'} = 1 \land \lambda_0 \ge 0 \land \forall (T',P') \in TP \ \lambda_{T',P'} \ge 0 \land \boldsymbol{m}_{T',P'} \in R_{T',P'} \}$$

Observe that this representation is exponential w.r.t. the size of the CPN system.

Let  $\langle \mathcal{N}, \boldsymbol{m}_0 \rangle$  and  $\langle \mathcal{N}', \boldsymbol{m}'_0 \rangle$  be two CPN systems for which one wants to check whether  $RS(\mathcal{N}, \boldsymbol{m}_0) \subseteq RS(\mathcal{N}', \boldsymbol{m}'_0)$ . We first build the representation above for  $RS(\mathcal{N}, \boldsymbol{m}_0)$  and  $RS(\mathcal{N}', \boldsymbol{m}'_0)$ . Then we transform the representation of the set  $RS(\mathcal{N}', \boldsymbol{m}'_0)$  as a system of linear constraints. This can be done in polynomial time w.r.t. the original representation [2]. So the number of constraints is still exponential w.r.t. the size of  $\langle \mathcal{N}', \boldsymbol{m}'_0 \rangle$ .

Afterwards, for every constraint of this new representation, we add its negation to the representation of  $RS(\mathcal{N}, \boldsymbol{m}_0)$  and check for a solution of such a system.  $RS(\mathcal{N}, \boldsymbol{m}_0) \not\subseteq RS(\mathcal{N}', \boldsymbol{m}'_0)$  iff at least one of these linear programs admits a solution. The overall complexity of this procedure is still exponential w.r.t. the size of the problem.

The procedure for lim-reachability set inclusion is similar. We first observe that:

$$\text{lim}-\text{RS}(\mathcal{N}, \boldsymbol{m}_0) = \{\boldsymbol{m}_0\} \cup \bigcup_{\emptyset \neq T' \in FS(\mathcal{N}, \boldsymbol{m}_0)} \{\boldsymbol{m} \mid \exists \mathbf{v} > \mathbf{0} \; \boldsymbol{m} = \boldsymbol{m}_0 + \boldsymbol{C}_{P \times T'} \mathbf{v}\}$$

П

Due to Lemma 12, the lim-reachability set of a CPN system is convex. So we proceed as before.

## 5. Hardness results

We now provide matching lower bounds for almost all problems analysed in the previous sections. There are two lower bounds: PTIME and coNP. The difference of complexity between the problems can be illustrated by contrasting the reachability problem and the deadlock-freeness problem. While the search of a firing sequence for the reachability can be done in polynomial time, to falsify the deadlock-freeness one looks for a dead marking and then checks that this marking is reachable. Unfortunately the guess of dead marking cannot be avoided by a deterministic procedure operating in polynomial time (as shown by the lower bound below).

**Proposition 32.** The reachability, lim-reachability, coverability, lim-coverability and boundedness problems for CPN systems are PTIME-complete.

#### **Proof:**

Due to propositions 27 and 30, we only have to prove that these problems are PTIME-hard. So we design a LOGSPACE reduction from the circuit value problem (a PTIME-complete problem [12]) to these problems.

A circuit  $\mathcal{C}$  is composed of four kinds of gates: False, True, AND, OR. Each gate has an output. There is a single False gate and a single True gate and they have no inputs. Gates whose type is AND or OR have two inputs. Any input of a gate is connected to an output of another gate. Let the binary relation  $\prec$  between the gates be defined by:  $a \prec b$  if the output of a is connected to an input of b. Then one requires that the transitive closure of  $\prec$  is irreflexive. One of the gates of the circuit, out, is distinguished and its output is not the input of any gate. The value of the inputs and outputs of a circuit is defined inductively according to the relation  $\prec$ . The output of gate False (resp. True) is **false** (resp. **true**). The input of a gate is equal to the value of the output to which it is connected. The output of a gate AND or OR is obtained by applying its truth table to its inputs. The circuit value problem consists in determining the value of the output of gate out.

The reduction is done as follows: The gate True is modelled by a place  $p_{\text{True}}$  initially containing a token. This is the only place initially marked. The gate False is modelled by a place  $p_{\text{False}}$ . Any gate c of kind AND yields a place  $p_c$  and a transition  $t_c$  whose inputs and outputs is represented in Fig. 4(a) and any gate c of kind OR yields a place  $p_c$  and two transitions  $t_{c1}$  and  $t_{c2}$  whose inputs and outputs are represented in Fig. 4(b). Finally one adds the subnet represented in Fig. 5 with one transition  $clean_p$  per place p different from  $p_{out}$ . This reduction can be performed in LOGSPACE.

We prove by induction on  $\prec$  that a transition  $t_c$  (resp.  $t_{c1}$  or  $t_{c2}$ ) is enabled iff the gate c of kind AND (resp. OR) has value **true**.

Assume that gate c of kind AND has value **false**. Then one of its input say a has value **false**. If a is the gate False then  $p_a$  is initially unmarked and cannot be marked since it has no input. If a is a gate of kind AND then by induction on  $\prec$ ,  $t_a$  is never enabled and so  $p_a$  will always be empty. If a is a gate of kind OR then by induction on  $\prec$ ,  $t_{a1}$  and  $t_{a2}$  are never enabled and so  $p_a$  will always be empty. Thus whatever the case  $t_c$  can never be enabled. The case of a gate c of kind OR is similar.

Assume that gate c of kind AND has value **true**. Then both its inputs say a and b have value **true**. If a (resp. b) is the gate True then  $p_a$  (resp.  $p_b$ ) is initially marked. If a (resp. b) is a gate of kind AND then by induction on  $\prec$ ,  $t_a$  (resp.  $t_b$ ) can be enabled. If a is a gate of kind OR then by induction on  $\prec$ , some  $t_{ai}$  (resp.  $t_{bi}$ ) can be enabled. Now consider the sequence  $m_0 \xrightarrow{\sigma} m$  of Proposition 18 w.r.t.  $\max FS(\mathcal{N}, m_0)$ . In m, every place initially marked or output of a transition that belongs to  $\max FS(\mathcal{N}, m_0)$  is marked. So  $t_c$  is enabled in m. The case of a gate c of kind OR is similar.

Now observe that the total amount of tokens in the net can only be increased by transition grow and in this case place  $p_{out}$  is unbounded. Since  $p_{out}$  can contain tokens iff the value of gate out is **true**, we have proved that the CPN system is unbounded iff the gate out is **true**.

Finally let m be defined by  $m(p_{out})=1$  and m(p)=0 for all  $p\neq p_{out}$ . If the value of gate out is **false** then  $p_{out}$  will never be marked and consequently m is neither (lim-)reachable nor (lim-)coverable. If the value of gate out is **true** then transition  $t_{out}$  can be fired by some small amount say  $0<\varepsilon\leq 1$ . Then all the other places can be unmarked by transitions  $clean_p$  followed by a finite number of firings of grow in order to reach m. So m is (lim-)reachable (and (lim-)coverable) iff the value of gate out is **true**.

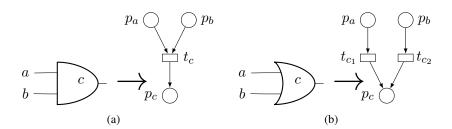


Figure 4. Reductions of the gates (a) AND and (b) OR to CPN.

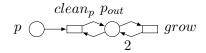


Figure 5. An additional subnet.

The next propositions establish coNP-hardness for some problems of CPNs. Furthermore we want to show that these lower bounds are robust i.e. that they hold for subclasses of CPNs. To this aim, we recall free-choice CPNs.

#### **Definition 33.** A CPN $\mathcal{N}$ is free-choice if:

- $\forall p \in P \ \forall t \in T \ \{ Pre[p, t], Post[p, t] \} \subseteq \{0, 1\};$
- $\forall t, t' \in T \cdot t \cap t' \neq \emptyset \Rightarrow t = t'$ .

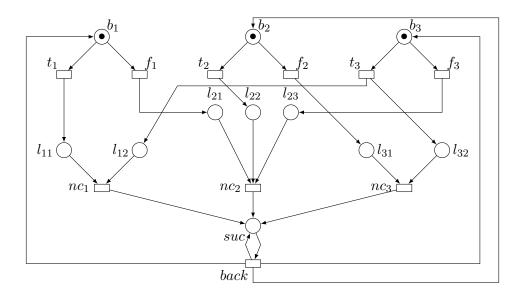


Figure 6. The CPN corresponding to formula  $(\neg x_1 \lor \neg x_3) \land (x_1 \lor \neg x_2 \lor x_3) \land (x_2 \lor \neg x_3)$ .

It is shown in [14] that the (lim-)deadlock-freeness and (lim-)liveness problems in free-choice CPN systems belong to coNP. We prove below that they are in fact coNP-complete.

**Proposition 34.** The (lim-)deadlock-freeness and (lim-)liveness problems in free-choice CPN systems are coNP-hard.

#### **Proof:**

We use almost the same reduction from the 3SAT problem as the one proposed for free-choice Petri nets in [6]. However the proof of correctness is specific to continuous nets.

Let  $\{x_1, x_2, \dots, x_n\}$  denote the set of propositions and  $\{c_1, c_2, \dots, c_m\}$  denote the set of clauses. Every clause  $c_j$  is defined by  $c_j \stackrel{\text{def}}{=} lit_{j1} \vee lit_{j2} \vee lit_{j3}$  where for all  $j, k, lit_{jk} \in \{x_1, \dots, x_n, \neg x_1, \dots, \neg x_n\}$ . The satisfiability problem consists in the existence of an interpretation

$$\nu: \{x_1, x_2, \dots, x_n\} \longrightarrow \{\text{false, true}\}$$

such that for each clause  $c_i$ ,  $\nu(c_i) = \mathbf{true}$ .

Every proposition  $x_i$  yields a place  $b_i$  initially marked with a token (all other places are unmarked) and being input of two transitions  $t_i$ ,  $f_i$  corresponding to the assignment associated with an interpretation. Every literal  $lit_{jk}$  yields a place  $l_{jk}$  which is the output of transition  $t_i$  if  $lit_{jk} = x_i$  or transition  $f_i$  if  $lit_{jk} = \neg x_i$  Every clause  $c_j$  yields a transition  $nc_j$  with three input "literal" places corresponding to literals  $\neg lit_{j1}$ ,  $\neg lit_{j2}$ ,  $\neg lit_{j3}$ . An additional place suc is the output of every transition  $nc_j$ . Finally, transition back has suc as a loop place and  $b_i$  for all i as output places. The reduction is illustrated in Fig. 6.

Assume that there exists  $\nu$  such that for all clause  $c_j$ ,  $\nu(c_j) = \mathbf{true}$ . Then fire the following sequence  $\sigma = 1t_1^* \dots 1t_n^*$  where  $t_i^* = t_i$  when  $\nu(x_i) = \mathbf{true}$  and  $t_i^* = f_i$  when  $\nu(x_i) = \mathbf{false}$ . Consider the reached marking m. Since  $\nu(c_j) = \mathbf{true}$ , at least one input place of  $nc_j$  is empty in m. Moreover  $m(suc) = m(b_i) = 0$  for all i. So m is dead.

Assume that there does not exist  $\nu$  such that for each clause  $c_j$ ,  $\nu(c_j) = \mathbf{true}$ . Observe that given a marking m such that m(suc) > 0, all transitions will be fireable in the future and suc will never decrease (thus m(suc) > 0 for a lim-reachable marking m as well).

So we only consider reachable marking m such that m(suc) = 0, i.e. when no transitions  $nc_j$  have been fired. Our goal is to prove that from such a marking there is a sequence that produces tokens in suc. Examining the remaining transitions, the following invariants hold. For all atomic proposition  $x_i$ , and reachable marking m, one has

$$orall i \ m{m}[b_i] + \sum_{lit_{jk} \in \{x_i, \neg x_i\}} m{m}[l_{jk}] \ge 1$$

$$\forall j, k, j', k' \ lit_{jk} = lit_{j'k'} \Rightarrow \boldsymbol{m}[l_{jk}] = \boldsymbol{m}[l_{j'k'}]$$

If for some i,  $m[b_i] > 0$ , we fire  $t_i$  in order to empty  $b_i$ . Thus the invariants become:

$$\forall i \sum_{lit_{jk} \in \{x_i, \neg x_i\}} \boldsymbol{m}[l_{jk}] \ge 1$$

$$\forall j, k, j', k' \ lit_{jk} = lit_{j'k'} \Rightarrow \boldsymbol{m}[l_{jk}] = \boldsymbol{m}[l_{j'k'}]$$

Now define  $\nu$  by  $\nu(x_i) = \text{true}$  if for some  $lit_{jk} = x_i$ ,  $m(l_{jk}) > 0$ . Due to the hypothesis, there is a clause  $c_j$  such that  $\nu(c_j) = \text{false}$ . Due to our choice of  $\nu$  and the invariants, all inputs of  $nc_j$  are marked. So firing  $nc_j$  marks suc.

We show that even the hypotheses that allow the lim-reachability to belong in NC (see Proposition 28) do not reduce the complexity of other problems.

**Proposition 35.** The (lim-)deadlock-freeness, (lim-)liveness and reversibility problems in consistent CPN systems with no initially empty siphons are coNP-hard.

#### Proof:

We use another reduction from the 3SAT problem already described in the proof of proposition 34.

Every proposition  $x_i$  yields a place  $b_i$  initially marked with a token (all other places are unmarked) and input of two transitions: (1)  $t_i$  with output place  $p_i$  and, (2)  $f_i$  with output place  $n_i$  corresponding to the

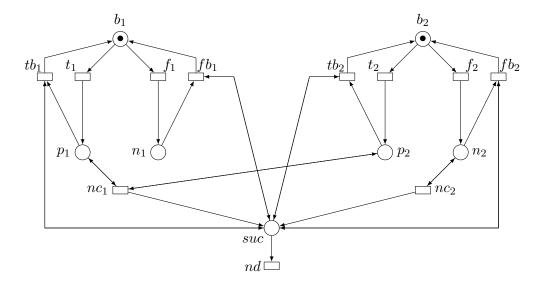


Figure 7. The CPN corresponding to formula  $(\neg x_1 \lor \neg x_2) \land x_2$ .

assignment associated with an interpretation. Every clause  $c_j$  yields a transition  $nc_j$ . Transition  $nc_j$  has three loop places corresponding to literals  $lit_{jk}$ : if  $lit_{jk} = x_i$  then the input is  $n_i$ , if  $lit_{jk} = \neg x_i$  then the input is  $p_i$ . An additional place suc is the output of transition  $nc_j$ . A transition nd has suc as input place and no output place. Finally for every  $x_i$ , there are transitions  $tb_i$  and  $tb_i$  which are respectively reverse transitions of  $t_i$  and  $tb_i$  with an additional loop over place  $tb_i$ . The reduction is illustrated in Fig. 7. The net is consistent with consistency vector:  $\sum_i (t_i + tb_i + f_i + fb_i) + \sum_j (nc_j + nd)$ . It does not contain an initially empty siphon since every siphon includes some place  $tb_i$ . This proves that every transition can be fired at least once from  $tb_i$ .

Assume that there exists  $\nu$  such that for each clause  $c_j$ ,  $\nu(c_j) = \mathbf{true}$ . Then fire the following sequence  $\sigma = 1t_1^* \dots 1t_n^*$  where  $t_i^* = t_i$  when  $\nu(x_i) = \mathbf{true}$  and  $t_i^* = f_i$  when  $\nu(x_i) = \mathbf{false}$ . Consider the reached marking m. Since  $\nu(c_j) = \mathbf{true}$ , at least one input place of  $nc_j$  is empty in m. Moreover  $m(suc) = m(b_i) = 0$  for all i. So m is dead and the net is not reversible.

Assume that there does not exist  $\nu$  such that for each clause  $c_j$ ,  $\nu(c_j) = \mathbf{true}$ . Our goal is to prove that from any (lim-)reachable marking there is a sequence that comes back to  $m_0$ . Since from  $m_0$  all transitions are fireable at least once this proves that the net is (lim-)live and (lim-)deadlock free.

For all atomic proposition  $x_i$ , and reachable marking m, one has

$$\forall i \ \boldsymbol{m}[b_i] + \boldsymbol{m}[p_i] + \boldsymbol{m}[n_i] = 1$$

Since a lim-reachable marking is a limit of reachable markings, this invariant also holds for lim-reachable markings.

If for some i,  $m[b_i] > 0$ , we fire  $t_i$  in order to empty  $b_i$ . So the invariant becomes:  $\forall i \ m[p_i] + m[n_i] = 1$ . Now define  $\nu$  by  $\nu(x_i) = \mathbf{true}$  if  $m(p_i) > 0$ . Due to the hypothesis, there is a clause  $c_j$  such that  $\nu(c_j) = \mathbf{false}$ . Due to our choice of  $\nu$  and the invariant, all inputs of  $nc_j$  are marked. So firing  $nc_j$  marks suc. Now fire transitions  $tb_i$  and  $fb_i$  in order to empty places  $p_i$  and  $n_i$ . So  $m(b_i) = 1$ . Finally one fires nd in order to empty place suc and we are done.

# 6. Application to a case study: a manufacturing system

# 6.1. Modelling a flexible manufacturing system

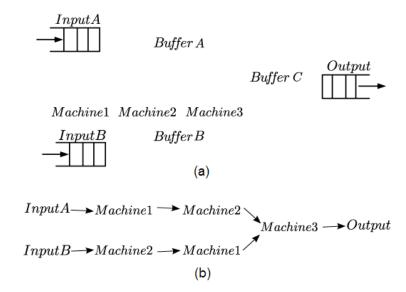


Figure 8. (a) Logical layout of a manufacturing system; and (b) its production process

Let us consider a *flexible manufacturing system* which consists of three machines [11], in which some *competition* and *cooperation* relations appear (see Fig. 9(a)). The production process consists of

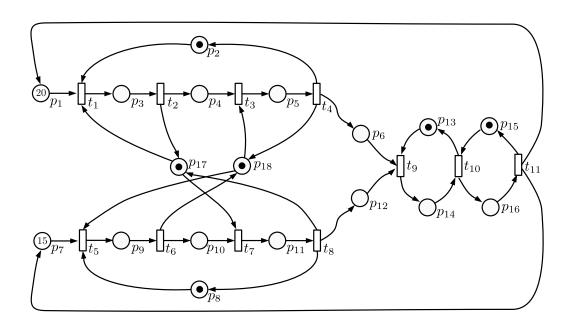


Figure 9. A PN which models the FMS of Figure 8 (see [11])

two production lines (see Fig. 9) in which subproducts A and B are produced. Subproduct A is first processed by Machine1 and then processed by Machine2, while subproduct B is processed in the opposite order. Once both subproducts are processed, they are *assembled* by Machine3, to obtain the final product.

The flexible manufacturing system in Fig. 8 is modeled by the PN in Fig. 9. Places  $p_{17}$  and  $p_{18}$  represent the availability of Machine1 and Machine2, respectively. Places  $\{p_i\}_{1 \le i \le 5}$  represent the processing of subproduct A, which is first processed by Machine1 (place  $p_{17}$ ), and then by Machine2 (place  $p_{18}$ ). The number of subproducts A which can be simultanuously been processed is determined by the initial marking in  $p_2$ . Subproduct A is stored in  $Buffer\ A$  (place  $p_6$ ), whose size is determined by the initial marking of place  $p_1$ . Places  $\{p_i\}_{7 \le i \le 12}$  model the processing and storage of Subproduct B. Machine3 ( $p_{13}$  models the idle machine) assembles the subproducts obtained from  $p_6$  and  $p_{12}$  and it stores them in the  $Buffer\ C$ . Place  $p_{15}$  represents the size of  $Buffer\ C$ . In the initial marking depicted in the figure, the sizes of  $Buffer\ A$ ,  $Buffer\ B$  and  $Buffer\ C$ , are 20, 15 and 1 respectively, and these buffers are initially empty. So only a Subproduct A and a Subproduct B can be initially produced.

# 6.2. Properties analysis

If the net of Figure 9 is considered as a discrete PN, its reachability space has 15,455 markings and its size grows exponentially with the sizes of the buffer.

**Reachability.** It is interesting to check whether *Buffer A* and *Buffer B* can be simultaneously full with Machine1 and Machine2 idle. This would be a good situation for the manufacturing plant to perform some cleanance tasks over both machines. Several markings witness such a situation like  $m_1 = p_2 + 20p_6 + 15p_{12} + p_{13} + p_{15} + p_{17} + p_{18}$ . Algorithm 2 establishes that  $m_1$  is reachable in  $\langle \mathcal{N}, m_0 \rangle$  and outputs the following Parikh image of sequence  $20t_1 + 20t_2 + 20t_3 + 20t_4 + 15t_5 + 15t_6 + 15t_7 + 15t_8$ .

**Other properties.** The net is bounded, reversible and (lim-)live. Observe that lim-liveness of a continuous PN system implies structural liveness of the corresponding discrete PN [15]. Consequently, there exists an initial marking such that the discrete PN is also live.

# 7. Conclusions

In this work we have analysed the complexity of the most standard problems for continuous Petri nets. For almost all these problems, we have characterised their complexity class by designing new decision procedures and/or providing reductions from complete problems. We have also shown that the reachability set inclusion, undecidable for Petri nets, becomes decidable in the continuous framework. These results are summarised in Table 2.

There are three fruitful possible extensions of this work. Other properties could be studied. A temporal logic provides a specification language for expressing properties. In Petri nets, the model checking problem lies on the boundary of decidability depending on the type of logics (branching versus linear, atomic propositions related to markings or to transition firings). We want to investigate this problem for continuous Petri nets. Hybrid Petri nets encompass both discrete and continuous Petri nets. So it would be interesting to examine the complexity and decidability of standard problems for the whole class or some appropriate subclasses of this formalism.

**Problems** Upper and lower bounds (lim-)reachability PTIME-complete in NC for lim-reachability (resp. reachability) when all transitions are fireable at least once (resp. and also in the reverse CPN) and the net is consistent PTIME-complete (lim-)coverability (lim-)boundedness PTIME-complete (lim-)deadlockcoNP-complete freeness and (lim-)liveness coNP-hard even for: • free-choice CPNs • CPNs when all transitions are fireable at least once and the net is consistent (lim-)reachability in EXPTIME set inclusion coNP-hard even for reversibility in CPNs when all transitions are fireable at least once and the net is consistent

Table 2. Complexity bounds

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