

# NEUROPLASTICITY OF ATTENTION

How brain stimulation and mental fatigue  
affect attentional performance

LEON C. RETEIG





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The investigations in this thesis were supported by a Research Talent Grant (452-10-018) from the Netherlands Organization for Scientific Research (NWO).

This thesis was typeset using Markdown, L<sup>A</sup>T<sub>E</sub>X and the bookdown R-package

ISBN: xxx-xx-xxx-xxxx-x

Printing: Ridderprint BV

Cover art: *ENTROPY VIII* (2018), Alicia Martin Lopez<sup>®</sup>

[www.aliciamartinlopez.com](http://www.aliciamartinlopez.com)



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# **Neuroplasticity of Attention**

*How brain stimulation and mental fatigue  
affect attentional performance*

ACADEMISCH PROEFSCHRIFT

ter verkrijging van de graad van doctor  
aan de Universiteit van Amsterdam  
op gezag van de Rector Magnificus

prof. dr. ir. K.I.J. Maex

ten overstaan van een door het College voor Promoties ingestelde commissie,  
in het openbaar te verdedigen in de Agnietenkapel  
op woensdag 20 november 2019, te 14.00 uur

door

Leon Cyro Reteig

geboren te Amsterdam

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There's an awful lot of talk about groundbreaking research...  
[G]roundbreaking is what you do when you start a building. You go into a field and you dig a hole in the ground. If you're only rewarded for groundbreaking research, there's going to be a lot of fields with a small hole in, and no buildings.

---

OTTOLINE LEYSER  
*The Life Scientific, BBC Radio 4*

## O ME! O LIFE!

O ME ! O life ! of the questions of these recurring,  
.....  
Of myself forever reproaching myself, (for who more foolish than I, and who more faithless?)  
.....  
Of the poor results of all, of the plodding and sordid crowds I see around me,  
Of the empty and useless years of the rest, with the rest me intertwined,  
The question, O me ! so sad, recurring—What good amid these,  
O me, O life?

*Answer.*

.....  
That the powerful play goes on, and you may contribute a verse.

(1, 3, 5–8, 10)  
— Walt Whitman



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## PART I

# **General introduction and literature review**



# CHAPTER 1

# Introduction

## 1.1 Cognitive enhancement

As long as they have been in existence, humans have strived to better themselves. This “will to improve” is a fundamental part of human nature (Sloterdijk, 2009). Even more so than other animals, humans are born into this world as “unfinished” animals: we rely on tools and materials to keep ourselves warm, sheltered and fed. But humans also aspire to transcend themselves in a different sense, beyond what is purely necessary for survival. Peter Sloterdijk coined the term *anthropotechnics* for the collection of tools at our disposal to enhance ourselves, and thereby fulfill our potential (2001). At first, our primary anthropotechnics aimed at cognitive enhancement were psychosocial in nature, consisting mostly of cultural practices, training, and other forms of self-discipline.

In more recent history, technology has started to play an ever-increasing role, and has offered more direct means for cognitive enhancement. Our first penchant was to enhance our cognitive capacities by *externalizing* them: we expanded our memory through writing systems, improved our numerical cognition through calculators, and used maps to augment our navigational skills. These and other technologies are now so commonplace that some consider them to have become part of our extended mind (A. Clark & Chalmers, 1998).

Now, we are entering a new age of *neuroenhancement* (V. P. Clark & Parasuraman, 2014), which is characterized by the *internalization* of technology. With the advent of genetics, pharmacology, and other biological disciplines, humans have become both the subject and the substrate of technology (Stiegler, 1998). Specifically, this family of techniques could allow for cognitive enhancement by directly acting on the organ that gives rise to our cognitive abilities—the brain. Part II of this thesis explores to what extent non-invasive electrical stimulation of the brain (Cohen Kadosh, 2014; Dayan, Censor, Buch, Sandrini, & Cohen, 2013) can be used to enhance cognitive functioning, specifically attentional performance.

### 1.2 Attention

Out of the myriad of domains that together make up human cognition, *attention* is a prime target for enhancement (Reteig, Talsma, van Schouwenburg, & Slagter, 2017). Attention is itself comprised of many sub-domains (Chun, Golomb, & Turk-Browne, 2011), multiple of which are investigated in this thesis. But attention can be broadly characterized as the ability to focus on what is relevant, and to ignore what is not. Attention is so crucial that we call upon it in every waking moment, as the sheer amount of information available to us far exceeds our capacity to process it. We could not possibly attend to all the smells, sights and sounds that impinge on our senses. Let alone all the thoughts that arise in our mind, about that holiday we enjoyed last week, our plans for the evening, or that we are a bit stressed at the moment. Attention allows us to focus on just a few things at a time, keeping us from being overwhelmed by the others.

Most of the time, attention successfully keeps us on track towards our behavioral goals, by selecting information in accord with those goals, in the face of distraction. But this is no small feat, and attentional processes can go awry in many different ways. For example, inattention is a core component of ADHD (American Psychiatric Association, 2013), and schizophrenia is also characterized by impairments in the control of attention (Luck & Gold, 2008). Likewise, some

aspects of attentional function decline with age, such as the prevention of interference by distracting information (McNab et al., 2015).

Even in otherwise healthy individuals, attention does not always work seamlessly. Sometimes we can be so focused on something that we neglect to shift our attention to more important matters, for example when immersed in a phone conversation while driving. Attention can also be so effortful to maintain that it starts to slip away, such as when listening to a boring presentation. In Part III of this thesis, I attempted to investigate why it is so difficult to sustain attention for prolonged periods of time.

### 1.3 Electrical brain stimulation

The first aim of this thesis (Part II) is to investigate whether such limitations of attention can be overcome. I studied whether non-invasive brain stimulation can be used for this purpose, in the form of transcranial Direct Current Stimulation (tDCS).

In its modern form, tDCS has been in use since around the turn of the century<sup>1</sup> (Priori, Berardelli, Rona, Accornero, & Manfredi, 1998; Nitsche & Paulus, 2000). tDCS is applied by placing two electrodes (Figure 1.1A) on the body (at least one of which on the scalp), and passing a small current in between, which flows from the *anode* (the electrode where current enters the body) to the *cathode* (the electrode where current exits the body) (Gebodh et al., 2019) (Figure 1.1B). Some of this current will flow across the brain, creating a small electric field which can ultimately increase or decrease neuronal excitability and plasticity (Reato et al., 2019).

Direct current is applied with tDCS, but the stimulation waveform can also consist of alternating current at a single frequency (transcranial Alternating Current Stimulation; tACS) or multiple frequencies (transcranial Random Noise Stimulation; tRNS) (Fertonani &

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<sup>1</sup>However, note that this was preceded by some clinical trials in the mid-20th century (e.g., Redfearn, Lippold, & Costain, 1964). In general, the use of direct current to stimulate the body dates back to the invention of voltaic cells in the 18th century, and even before, when electrogenic species of fish were used for this purpose (Guleyupoglu, Schestatsky, Edwards, Fregni, & Bikson, 2013; Priori, 2003; Sarmiento, San-Juan, & Prasath, 2016).

## 1. Introduction

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Miniussi, 2017). This family of techniques is sometimes collectively referred to as transcranial Electrical Stimulation (tES) (Gebodh et al., 2019). The current in tES is typically at an intensity of 1–2 milliampere (mA), for 10–30 minutes (Bikson et al., 2016), flanked by two short (< 1 minute) periods where the current is gradually ramped up from or down to zero mA (Figure 1.1C). As a placebo control condition or *sham* stimulation, the current is often ramped down again soon after the ramp-up phase. This is presumed to produce similar sensations (e.g., itching, tingling), without delivering enough current to affect brain activity (Fonteneau et al., 2019).

The physiological effects of tDCS are complex and not yet fully understood (Jackson et al., 2016; Liu et al., 2018; Pelletier & Cicchetti, 2015; Stagg, Antal, & Nitsche, 2018). tDCS can have both *online* effects (during the stimulation) as well as *offline* effects (that outlast the duration of the stimulation). It is clear that the electric field that is produced with tDCS (Figure 1.1D) is not large enough to directly induce action potentials, in contrast to other brain stimulation techniques such as transcranial magnetic stimulation (TMS) (Parkin, Ekhtiari, & Walsh, 2015). But early *in vitro* (Terzuolo & Bullock, 1956) and *in vivo* (Bindman, Lippold, & Redfearn, 1964; Creutzfeldt, Fromm, & Kapp, 1962; Landau, Bishop, & Clare, 1964; Purpura & McMurtry, 1965) animal studies observed that tDCS can nonetheless either increase or decrease spontaneous firing rates and evoked potentials. The direction of these online effects strongly depends on the orientation of the electric field (among many other factors) (Bikson, Paulus, Esmaeilpour, Kronberg, & Nitsche, 2019). An anode over the cortical surface (producing an inward current flow) will depolarize the soma of neurons, bringing their membrane potentials closer to the firing threshold. Reversely, a cortical cathode (producing an outward current flow) will hyperpolarize the soma, bringing the membrane potential further from the firing threshold.

These findings were reproduced in the first human studies, which applied anodal and cathodal tDCS to the motor cortex<sup>2</sup> (Nitsche & Paulus, 2000; Nitsche & Paulus, 2001). The motor cortex allows for a

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<sup>2</sup>The term “anodal tDCS” is used when the anode is placed over the brain area of interest, and the cathode is placed elsewhere (and vice versa for “cathodal tDCS”).

direct read-out of the physiological effects of tDCS, by applying a TMS pulse during or after tDCS. TMS can induce *motor evoked potentials*: slight muscle twitches that can be measured with an electrode on the skin (usually on the arm or hand, depending on the exact region of the motor cortex that was stimulated). When TMS was applied right after anodal tDCS, the motor evoked potentials were larger, meaning tDCS increased cortical excitability in the motor cortex; when TMS was applied right after cathodal tDCS, the motor-evoked potentials were smaller, suggesting that tDCS decreased cortical excitability.

Ever since, anodal tDCS is generally regarded as excitatory, while cathodal tDCS is inhibitory. However, this distinction need not necessarily hold for other brain areas than the motor cortex (Bestmann & Walsh, 2017; Parkin et al., 2015). Nevertheless, many studies have since applied anodal tDCS to other brain areas with the aim to improve brain function (P. Grossman et al., 2018). Generally, anodal tDCS has indeed been reported to improve behavioral performance on a range of cognitive tasks (Coffman, Clark, & Parasuraman, 2014; Santarnecchi et al., 2015): from learning and memory, to language, and visual perception. The cognitive effects of cathodal tDCS appear to more diffuse (Jacobson, Koslowsky, & Lavidor, 2012).

When the stimulation lasts for more than a few minutes, tDCS may also have offline effects (Bindman et al., 1964; Nitsche & Paulus, 2001), up to at least a few hours. There are multiple candidate mechanisms that could underpin these offline effects, but they in part resemble long-term potentiation/depression-like synaptic plasticity (Bikson et al., 2019). For instance, tDCS after-effects depend on NMDA receptor signaling: changes in excitability are blocked when administering NMDA antagonists (Liebetanz, 2002; Nitsche et al., 2003). Also, both anodal and cathodal tDCS can affect glutamate levels (V. P. Clark, Coffman, Trumbo, & Gasparovic, 2011; Stagg et al., 2009).

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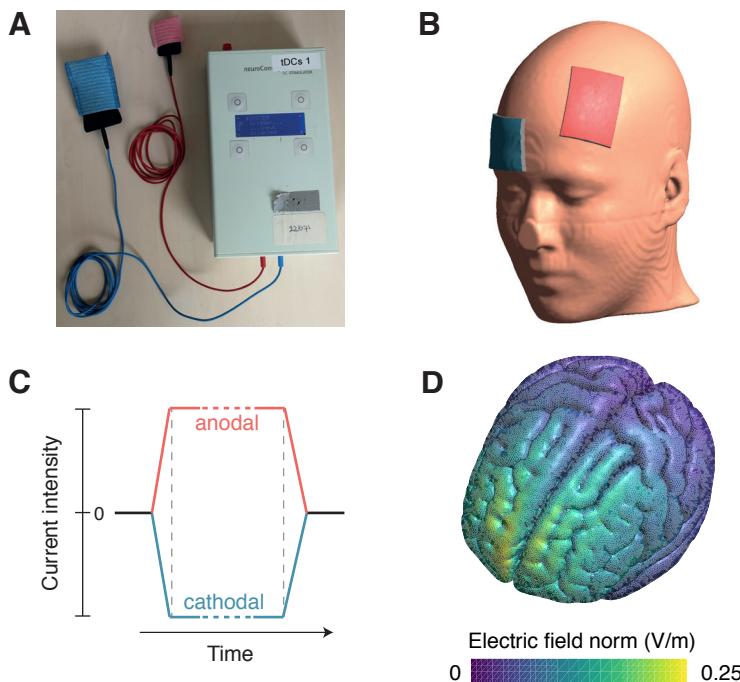
The electrode over the brain area of interest is also sometimes referred to as the “active electrode”; the second electrode is then the “reference electrode”. However, all of these terms can be misleading. The “reference electrode” is not inactive; there are always two “active electrodes”: one anode and one cathode. Also, because a circuit has to be closed for current to flow, current will always enter the brain at one location and exit at another. So “anodal tDCS” or “cathodal tDCS” cannot be applied in isolation—the opposite polarity is always concurrently applied somewhere else.

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When stimulation sessions are repeated, the offline effects can last much longer than after a single session of tDCS (Monte-Silva et al., 2013). Several studies that repeatedly paired tDCS with cognitive tasks have reported behavioral effects several weeks or even months after the last stimulation session (e.g., Filmer, Varghese, Hawkins, Mattingley, & Dux, 2016; Looi, Duta, Brem, Brem, & Huber, 2016; Snowball et al., 2013; but see Nilsson, Lebedev, Rydström, & Lövdén, 2017). The prospect of long-term effects is what makes tDCS such an attractive technique for enhancement—and for therapeutic purposes. Indeed, the efficacy of (mostly anodal) tDCS has been investigated for a wide range of neurological and psychiatric disorders (Lefaucheur et al., 2016): from Parkinson's disease, to depression, and autism (Lefaucheur, 2016).

However, while many of these initial results are exciting, there is also a growing consensus that—in spite of what the literature currently seems to suggest—tDCS cannot be a panacea to enhance every imaginable cognitive skill or treat any mental disorder (Bestmann & Walsh, 2017; Parkin et al., 2015). After two decades of contemporary tES research, our understanding of its neurophysiological mechanisms is still rudimentary (Fertonani & Miniussi, 2017; Liu et al., 2018), which makes it difficult to predict what the behavioral outcome of tES will be (Bestmann, de Berker, & Bonaiuto, 2015; de Berker, Bikson, & Bestmann, 2013). Recent meta-analyses show that the efficacy of tDCS to enhance cognitive function might not be as large as initially thought (Horvath, Forte, & Carter, 2015; Medina & Cason, 2017). In addition, more failures to replicate tES findings have emerged (e.g., Boayue et al., 2019; Horvath, Vogrin, Carter, Cook, & Forte, 2015; Learmonth et al., 2017; Veniero, Benwell, Ahrens, & Thut, 2017), which might partly stem from doubts about the methodological rigor in previous tES studies (Héroux, Loo, Taylor, & Gandevia, 2017; Horvath, 2015; Minarik et al., 2016). This emphasizes the need for work that synthesizes findings across studies (Chapter 2), and further determines the effects of tDCS on cognitive functions, such as attention (Part II).



**FIGURE 1.1 transcranial Direct Current Stimulation.** (A) The tDCS device (neuroConn DC-STIMULATOR-PLUS) used for the studies in this thesis, connected to a pair of rubber electrodes (in black). Shown are two electrode sizes: 3x3 cm and 7x5 cm, as was used in Chapter 3. To make contact with the skin, the electrodes can either be inserted in sponges with saline solution (as shown in the image), or can be covered in conductive paste (as in the studies in this thesis). (B) tDCS montage that was used in Chapter 4 and Chapter 5. One electrode was placed over the left dorsolateral prefrontal cortex (F3 in the international 10-20 system); the other was placed on the right forehead (approximately corresponding to 10-20 position Fp2). Shown here is the “anodal tDCS” montage with the anode (in red) over F3 and the cathode (in blue) on the forehead. For “cathodal tDCS”, the electrodes were swapped. (C) The tDCS waveform for the anodal (red) and cathodal (blue) electrodes. The dotted lines mark the end of the ramp-up and start of the ramp-down periods (typically < 1 minute), in which the current intensity is gradually increased and decreased, respectively. In between, the current is held at a constant intensity, typically at 1–2 mA for 10–30 minutes. (D) Simulated electric field for the montage in (B), for the gray matter in the MNI152 template, calculated using SimNIBS software (Version 2.2.1; Saturnino et al., 2018; Thielscher, Antunes, & Saturnino, 2015). As is typical with tDCS, peaks in the electric field are localized near the electrodes, but they do not necessarily occur exactly underneath, and the distribution of the field is diffuse.

## 1.4 Changing attentional performance

Broadly, this thesis is a study of the short-term plasticity of attentional processes: how susceptible is attention to change, and what are the neural processes that underlie such changes? In Part II, I examined whether attention can be improved with tDCS. In Part III, I investigated the “other side of the coin”, i.e. decreases in attention, prompted by prolonged periods of sustained attention.

First, in Chapter 2, I provide an overview of previous studies that have used tES to attempt to enhance attention (until mid-2016), clustered into different sub-domains of attention (visual search, spatial attention, sustained attention, and other studies). This review revealed that the literature until that point was mired in inconsistencies, characterized by a large variability in both study design and outcome. This did not provide a clear foundation for the type of large-scale studies I originally had in mind: to apply tDCS over the course of several sessions, to allow for more long-term effects on attention (c.f. L. J. Talsma, Kroese, & Slagter, 2017). Instead, I first decided to try and consolidate previous single-session tDCS results, in two domains of attention: spatial and temporal attention.

### Spatial attention

*Spatial attention* allows us to orient to a certain location in our environment, such as a part of the visual field (R. D. Wright & Ward, 2008). Because visual acuity is best at the fovea, shifting visuospatial attention usually involves eye movements (*overt orienting*) as well. But attention can also be shifted in the absence of eye movements (*covert orienting*), which still leads to enhanced sensitivity to the part of the visual field that is now in the focus of attention (Carrasco, 2011).

Shifts in spatial attention to a certain location heighten neural activity in corresponding retinotopic regions (Desimone & Duncan, 1995; Tootell et al., 1998; Kastner, Pinsk, de Weerd, Desimone, & Ungerleider, 1999; Worden, Foxe, Wang, & Simpson, 2000), such that stimuli in this location can be more easily detected or discriminated. This process is coordinated by a network of frontoparietal brain regions, including the frontal eye fields (Corbetta & Shulman, 2002),

which are activated during both covert and overt attentional orienting (Grosbras, Laird, & Paus, 2005; Nobre, Gitelman, Dias, & Mesulam, 2000). Non-human primate studies have shown that sub-threshold microstimulation of the frontal eye fields causes a shift in visuospatial attention; supra-threshold microstimulation also causes the monkey to make an eye movement (Moore & Fallah, 2001).

Several studies have attempted to affect spatial orienting with tDCS, mostly over the parietal cortex (see Chapter 2). But the results are mixed: while some found they could enhance spatial attention for the visual hemifield contralateral to the stimulated hemisphere, others reported no effects or even decreases in attention. In Chapter 3, given the key role of the frontal eye fields in spatial orienting, I examined whether tDCS over the frontal eye fields could improve performance on a prosaccade task, where participants had to make eye movements to a target as fast as possible. I reasoned this would constitute a fairly direct test of whether spatial attention can be readily affected with tDCS, because there is a clear physiological mechanism that tDCS can act on. Activity in the frontal eye fields ramps up to a threshold, after which a saccadic eye movement is initiated (Hanes & Schall, 1996). If anodal tDCS can increase excitability of the frontal eye fields, this threshold should be reached sooner, thereby decreasing saccadic latency. Such changes can be directly read-out by measuring eye movements with an eye tracker. One earlier study showed that anodal tDCS over the frontal eye fields might indeed speed saccade latency (Kanai, Muggleton, & Walsh, 2012; but see Chen & Machado, 2017).

### Temporal attention

Aside from the spatial domain, attention can also be deployed across time. *Temporal attention* can be isolated using rapid serial visual presentation (RSVP) tasks—where multiple stimuli are presented quickly after each other at the same location. When tasked to search for two or more targets in such an RSVP stream, the first target is usually seen, but the second target is often missed (when it follows the first within about 500 ms). This phenomenon is known as the *attentional blink* (Raymond, Shapiro, & Arnell, 1992).

## 1. Introduction

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In Chapter 4 and Chapter 5, I examined whether tDCS over the left dorsolateral prefrontal cortex (IDLPFC) can decrease the size of the attentional blink. The IDLPFC is one of the brain areas that has been most frequently targeted with tDCS (Santarecchi et al., 2015), and is also strongly implicated in the attentional blink (Hommel et al., 2006; Slagter, Johnstone, Beets, & Davidson, 2010).

London and Slagter (2015) were the first to study the effects of anodal or cathodal IDLPFC-tDCS on the attentional blink. They found no group effect, but an interesting pattern of individual differences in the response to anodal and cathodal tDCS. Specifically, those that tended to improve their performance following anodal tDCS (i.e., their attentional blink size decreased), tended to worsen during cathodal tDCS (and vice versa). This finding is consistent with earlier studies that find large individual differences in both the AB (Willems & Martens, 2016) and tES effects (Krause & Cohen Kadosh, 2014; L. M. Li, Uehara, & Hanakawa, 2015). Individual differences in tES effects could stem from a range of sources, including differences in neuroanatomy, baseline brain state, or demographic and other factors.

Since London and Slagter's (2015) finding was both unprecedented and based on an exploratory analysis, in Chapter 4 I determined to what extent I was able to replicate this result. In Chapter 5, I aimed to identify potential drivers of these individual differences. Specifically, I examined spontaneous eye blink rate (sEBR) as a proxy for striatal dopamine levels, as dopamine may mediate both the attentional blink (Jongkees & Colzato, 2016) as well as the physiological effects of tDCS (Stagg et al., 2018).

### Sustained attention

The attentional blink clearly demonstrates that attention is fallible, but this deficit only shows under very specific circumstances. Instead, the limits to attention are more commonly experienced when it has to be sustained for a prolonged period of time. As anyone will attest after a long day of work, sustaining attention is mentally fatiguing (Ackerman, 2011; Hockey, 2013) and cannot be done indefinitely.

*Sustained attention* could thus be an interesting target for tES: perhaps its time span can be lengthened by enhancing the attentional processes that are called upon. This presupposes that we know which aspects of attention change over time, along with their neural correlates. In Chapter 6, I attempted to shed more light on fluctuations in sustained attention by conducting an experiment on the *vigilance decrement*.

The vigilance decrement (also known as the time-on-task effect) is observed when people are tasked to monitor incoming information and detect rare, but critical signals. This is surprisingly effortful, and performance on such tasks already starts to decrease after only a few minutes (Warm, Parasuraman, & Matthews, 2008). The vigilance decrement is robust and has been studied for decades, starting from interests in the performance of military radar operators (Mackworth, 1948). Decrements in sustained attention also loom in many other situations, including long stretches of driving, traffic control, or quality inspection. Nonetheless, still little is known about the underlying neural mechanisms. Also, there remains considerable debate on the ultimate cause of the vigilance decrement: does performance decrease due to true exhaustion, or do people instead succumb to the likes of boredom, mind wandering, or decreasing motivation towards the task? I attempted to address these issues by tracking changes in attention-related brain activity during performance of a vigilance task, using electro-encephalography (EEG).

## 1.5 Measuring attention with EEG

EEG is a direct measure of the post-synaptic activity of large groups of neurons (thousands to millions) (M. X. Cohen, 2017). In human research, EEG is one of the most frequently used techniques to relate brain activity to cognitive functions. One way is to compute event-related potentials (ERPs) (Luck, 2005): the averaged EEG response time-locked to a certain event (e.g., a visual stimulus). In addition, the EEG consists of *neural oscillations*: rhythmic fluctuations in a certain frequency band, reflecting changes in neuronal excitability (Buzsáki & Draguhn, 2004; M. X. Cohen, 2014). Both ERPs and oscillations

can be used to measure attention. The amplitude of early visual ERPs can change depending on whether the stimulus that elicits them is attended or not (Luck et al., 1994; Mangun & Hillyard, 1991; Slagter, Prinsen, Reteig, & Mazaheri, 2016). Similarly, the power and phase of neural oscillations also covary with attention, particularly in the alpha (ca. 8–12 Hz) and theta (ca. 4–7 Hz) bands (Clayton, Yeung, & Cohen Kadosh, 2015; Klimesch, 2012; van Diepen, Foxe, & Mazaheri, 2019).

In Chapter 6, I used these different EEG-based measures of attention to investigate which stage of attentional processing is most affected during the vigilance decrement. In Chapter 5, I also used EEG to record sEBR, as movements of the eye lids also create electrical signals that can be picked up with EEG electrodes.

## 1.6 Overview

To recapitulate, this thesis studies the neuroplasticity of attention from two different perspectives. In Part II (Chapters 3, 4 and 5), I used tDCS with the aim to enhance attentional performance. In Part III (Chapter 6), I conducted an EEG study to examine decreases in attentional performance, when attention is sustained for a prolonged period of time. The data, code and materials for all Chapters in this thesis are openly available (see the overview of online resources in Appendix D, or follow the links contained in each respective chapter).

In the next chapter (Chapter 2), I present a literature review of previous work on tES and attention (until mid-2016). I examined studies that paired tES with visual search tasks, spatial attention tasks, sustained attention tasks, and other types of tasks. Overall, the evidence that tES is an effective tool to enhance attention was mixed.

The studies presented in Part II were guided by the insights from the literature review in Chapter 2. First (Chapter 3), I applied tDCS over the frontal eye fields during an eye movement task, to try to more conclusively demonstrate that tDCS can be used to enhance (overt) spatial attention (in line with a previous study by Kanai et al., 2012). In the next two chapters, I applied tDCS to the dorsolateral prefrontal cortex, with the aim to enhance temporal attention. In Chapter 4, I attempted to replicate a previous finding (London & Slagter, 2015) show-

ing that tDCS is able to affect the size of the attentional blink, but that the exact effects of tDCS differ across individuals. In Chapter 5, I examined how dopamine relates to the attentional blink (using sEBC as a proxy for dopamine), and whether dopamine levels can explain the individual differences in the response to tDCS.

To foreshadow my findings, I was unable to replicate the results reported by Kanai et al. (2012) and London and Slagter (2015). In general, I found no compelling evidence for any effects of tDCS in any of the chapters in Part II. These findings add to the current controversy in the field regarding the efficacy of tES (Bestmann & Walsh, 2017; Héroux et al., 2017; Horvath, Vogrin, et al., 2015). Possible explanations for and implications of these null results are discussed in more detail in the discussion section of each chapter, as well as in the general discussion in Part IV of this thesis.

In Chapter 6 in Part III of the thesis, I used EEG to track changes in attention during prolonged performance of a sustained attention task. I show that decreases in behavioral performance of the task are partly—but not fully—explained by motivation. Further, the timing of the EEG response to visual stimuli became more variable as task performance decreased, suggesting that attentional stability is primarily affected in the vigilance decrement. In light of these findings, I also discuss whether tES could potentially be used to counter the vigilance decrement (in Chapter 2, and in the general discussion in Part IV).



## CHAPTER 2

# Transcranial electrical stimulation as a tool to enhance attention

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*This chapter has been published as:* Reteig, L. C., Talsma, L. J., van Schouwenburg, M. R., & Slagter, H. A. (2017). Transcranial Electrical Stimulation as a Tool to Enhance Attention. *Journal of Cognitive Enhancement*, 1, 10–25.  
<https://doi.org/10.1007/s41465-017-0010-y>

**ABSTRACT** Attention is a fundamental cognitive process—without it, we would be helplessly adrift in an overload of sensory input. There is considerable interest in techniques that can be used to enhance attention, including transcranial electrical stimulation (tES). We present an overview of 52 studies that have paired attention tasks with tES, mostly in the form of transcranial direct current stimulation (tDCS). In particular, we discuss four aspects of attention that have been most extensively targeted to date: visual search, spatial orienting (e.g., Posner cueing tasks), spatial bias (e.g., line bisection tasks), and sustained attention. Some promising results have been reported in each of these domains. However, drawing general conclusions about the efficacy of tES is at present hampered by a large diversity in study design and inconsistent findings. We highlight some pitfalls and opportunities and suggest how these may be addressed in future research aiming to use tES as a tool to enhance or test theoretical hypotheses about attention.

## 2.1 Introduction

Attention—the ability to prioritize processing of goal-relevant information—underpins many of our daily behaviors. Attentional disturbances lie at the core of many psychiatric and neurological disorders, such as ADHD and hemineglect. It is therefore not surprising that attention has been a primary focus of cognitive enhancement techniques, ranging from pharmacological stimulants (Koelega, 1993) to video games (Green & Bavelier, 2012) and meditation training (Lutz, Slagter, Dunne, & Davidson, 2008).

The recent rediscovery of transcranial electrical stimulation (tES) has added another technique to the arsenal. tES comprises a family of methods in which a weak current is run between electrodes placed on the skin, partly passing through the skull and changing the excitability of the underlying brain tissue.

In transcranial direct current stimulation (tDCS)—the most widely used form of tES—the current flows in one direction: from the (positive) anode to the (negative) cathode. tDCS has been used to enhance a number of cognitive abilities (Coffman et al., 2014; Cohen Kadosh, 2014; Mancuso, Ilieva, Hamilton, & Farah, 2016; but see Horvath, Forte, & Carter, 2015).

While the neurophysiological mechanisms of tDCS remain to be fully uncovered (for reviews, see Stagg & Nitsche, 2011; Medeiros et al., 2012), its effects during stimulation are generally attributed to changes in the resting membrane potential of neurons. Anodal stimulation typically depolarizes the resting membrane potential, bringing neurons closer to their firing threshold, while cathodal stimulation generally decreases neuronal excitability (Nitsche et al., 2008). This finding is backed up by *in vitro* (Terzuolo & Bullock, 1956), animal (Bindman et al., 1964), and human motor-evoked potential studies (Nitsche & Paulus, 2000; Nitsche & Paulus, 2001).

However, the anode-excitation and cathode-inhibition dichotomy is dependent on many factors and does not necessarily extrapolate to all cases (Bestmann et al., 2015; Jacobson et al., 2012; Parkin et al., 2015). Even very low-level factors can influence the precise neurophysiological effects of tDCS. For example, individual differences in

the cortical folding pattern lead to differences in local current density (Opitz, Paulus, Will, Antunes, & Thielscher, 2015) and the orientation of neurons with respect to the electric field (Radman, Ramos, Brumberg, & Bikson, 2009).

The effects of tDCS are not confined to the stimulation period, but can outlast it for minutes to hours, or even months after multiple stimulation sessions (Snowball et al., 2013), probably by promoting neural plasticity. These aftereffects appear to involve many different stages and effectors, but glutamate and GABA concentrations might play a central role (Stagg & Nitsche, 2011). Anodal tDCS has been associated with increases in glutamate (V. P. Clark et al., 2011; Hone-Blanchet, Edden, & Fecteau, 2016), while cathodal tDCS has been linked to decreases in both glutamate and GABA levels (Stagg et al., 2009). Because the immediate and aftereffects of tDCS involve such different neural mechanisms, studies that apply *online* stimulation (during a cognitive task) are not necessarily comparable to *offline* studies (where tDCS is applied before the task).

Instead of applying direct current, the polarity of the electrodes (anode or cathode) can also be switched at a certain frequency. This method, known as transcranial alternating current stimulation (tACS), effectively injects an oscillatory current into the brain that might entrain endogenous neural oscillations (Herrmann, Rach, Neuling, & Strüber, 2013). As oscillations play an important role in cognitive processes (Buzsáki & Draguhn, 2004; Siegel & Donner, 2010), including attention (Buschman & Miller, 2007; Womelsdorf & Fries, 2007), tACS may offer a more specific means to affect attention. Finally, transcranial random noise stimulation (tRNS) applies a whole spectrum of frequencies at once (e.g., 0.1–640 Hz). tRNS is believed to enhance excitability and promote neuroplasticity under both electrodes (Antal & Herrmann, 2016; Terney, Chaieb, Moliaidze, Antal, & Paulus, 2008).

We aim to provide a comprehensive overview of studies conducted to date that used tES to modulate attention. After a systematic search and screening of the results, we included 52 studies. Details on our search query, inclusion criteria, and excluded studies are available on

the Open Science Framework<sup>1</sup>. A summary of the methods and results of the included studies is presented in the next sections and in Tables 2.2, 2.3, 2.4, and 2.5, clustered by the most frequently used types of tasks: visual search, spatial orienting, spatial bias, and sustained attention. Each of these tasks taps into distinct attentional processes that are relevant in different real-life situations. Our selection also included six studies that did not fit into one of these four categories (Table 2.1).

As our overview reveals, there is tremendous variability in design and stimulation parameters between studies, and in study outcome, hampering integration and interpretation of different results. The scope of this review did not allow an extensive discussion of each study or the differences between them. To remain as comprehensive as possible, we chose to catalogue the details of each study in the tables and to summarize the main conclusions in the body text. We hope that this way our review will prove useful to a broad audience—those looking for the gist as well as those interested in fine details, perhaps while preparing to set up a new experiment of their own. To this end, we also provide more general recommendations for future research in the [Discussion](#) section.

## 2.2 Visual search

Whether you are looking for a particular pair of socks or crossing a busy intersection, the process of scanning the visual field is ubiquitous in daily life and therefore an interesting target for cognitive enhancement. We included 13 studies that examined the effects of tES on visual search (Table 2.2).

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<sup>1</sup><https://doi.org/10.17605/OSF.IO/KQVAP>

**TABLE 2.1 Studies using other attention paradigms (not discussed in the body text).** All studies were sham controlled, except London and Slagter (2015). A: anodal, C: cathodal, ref: location of tDCS electrode that was not of interest, *Online*: task performed during stimulation, *Offline*: task performed after stimulation.

Reference	n	Design	Stimulation	Size	Dosage	Timing	Task	Findings
Bardi, Kanai, Mapelli, and Walsh (2013)	9, 12	within subject	P3 (A,C), P4 (C,A)	9 cm <sup>2</sup>	1.5 mA, 20 min	online	local/global + salience	in exp. 1 (conditions blocked), right-anodal/left-cathodal stimulation increased inverse efficiency in local task and for salient targets. In exp. 2 (conditions varied trial-by-trial), the opposite montage produced the local effect, but no effect on saliency
Blumberg, Peterson, and Parasuraman (2015)	48	between group	F3 (A), right upper arm (ref); CP4 (A), left upper arm (ref)	11 cm <sup>2</sup>	2 mA, 30 min	online	multiple object tracking	anodal tDCS to the anterior intraparietal sulcus (CP4) improved high load MOT performance
London and Slagter (2015)	34	within subject	F3 (A,C) , right forehead (ref)	35 cm <sup>2</sup>	1 mA, 20 min	online	attentional blink	anodal tDCS decreased attentional blink in low baseline performers; increased attentional blink in high baseline performers
Moos, Vossel, Weidner, Sparing, and Fink (2012)	20	within subject	right IPS (A,C), left forehead (ref)	36, 95 cm <sup>2</sup>	1 or 2 mA, 20 min	offline	target partial report	2 mA cathodal tDCS reduced alpha parameter of Theory of Visual Attention model (in both hemifields), reflecting enhanced top-down control
Roe et al. (2016)	32	within subject	P3 (A,C), P4 (C,A)	35 cm <sup>2</sup>	1.5 mA, 24 min	online	multiple object tracking	tDCS with both montages decreased high load MOT performance
Stone and Tesche (2009)	14	within subject	P3 (A,C), right lower arm (ref)	25 cm <sup>2</sup>	2 mA, 20 min	online	local/global	no effect on local/global contrast feature discrimination. Anodal tDCS decreased performance on local-to-global switch trials after stimulation; cathodal tDCS decreased performance on all switch trials during stimulation

In visual search tasks, participants look for a target item among an array of distractors (Wolfe, 1998). This involves keeping a template of the target online and shifting spatial attention across the visual field, while constantly filtering out distracting information. Faster reaction times on these tasks indicate more efficient visual search. Visual search performance is supported by an extensive network of brain areas, centered on the right posterior parietal cortex and frontal eye field (Reynolds & Chelazzi, 2004).

Ball, Lane, Smith, and Ellison (2013) investigated the effect of anodal and cathodal tDCS on both of these areas. They observed no effects of anodal tDCS, but cathodal stimulation to the right posterior parietal cortex increased reaction times, a finding later replicated by the same group (Ellison et al., 2014). Interestingly, an earlier study did find that anodal stimulation over the parietal cortex decreased search time (Bolognini, Fregni, Casati, Olgiati, & Vallar, 2010). As the studies differ in many design choices, it is hard to tell what may account for these inconsistent results.

More recent studies suggest that stimulation effects on visual search depend on target location and distractor type. For example, whereas anodal tDCS may improve performance for targets contralateral to the stimulated hemisphere, it may worsen performance for ipsilateral targets (Reinhart & Woodman, 2015). In another study, the effect of tDCS was contingent on the presence of a salient distractor: tDCS increased distractor resistance but did not improve visual search in general (Cosman, Atreya, & Woodman, 2015).

Two other studies also observed effects specific to distractor processing. One study found that cathodal tDCS to the right parietal cortex increased the effect of task-irrelevant flanker stimuli on performance, specifically for difficult searches (Weiss & Lavidor, 2012). Another study was unable to replicate this result, but found that in fact anodal tDCS decreased the flanker effect for easy searches (Kajimura & Nomura, 2015). These inconsistencies could be due to a difference in stimulation timing—Weiss and Lavidor (2012) applied online tDCS; Kajimura and Nomura (2015) stimulated offline—but otherwise these two studies used highly similar tasks and stimulation protocols.

**TABLE 2.2 Studies using visual search tasks.** Studies are presented in order of appearance in the body text; studies not cited in the body text appear in the bottom section of the table (in alphabetical order). All studies were sham controlled. A: anodal, C: cathodal, ref: location of tDCS electrode that was not of interest, FEF: frontal eye field, PPC: posterior parietal cortex, *Online*: task performed during stimulation, *Offline*: task performed after stimulation, *Dosage* is zero-to-peak amplitude

Reference	n	Design	Stimulation	Size	Dosage	Timing	Task	Findings
<i>Cited in-text</i>								
Ball et al. (2013)	35	between group	rFEF (A,C), rPPC (A,C), left forehead (ref)	35 cm <sup>2</sup>	1 mA, 15 min	online	visual search	cathodal tDCS of rPPC increased reaction time
Ellison et al. (2014)	20	within subject	right PPC (C), left forehead (ref)	35 cm <sup>2</sup>	1.5 mA, 15 min	offline	visual search	cathodal tDCS of rPPC increased reaction time
Bolognini, Fregni, et al. (2010)	20, 12	between group	P3 (A) or P4 (A), contralateral shoulder (ref)	35 cm <sup>2</sup>	2 mA, 30 min	online, offline	visual search	left and particularly right anodal tDCS decreased reaction time
Reinhart and Woodman (2015)	18, 18, 18	within subject	FCz (A), P2 (A), right cheek (ref)	19, 52 cm <sup>2</sup>	2 mA, 20 min	offline	cued visual search	parietal stimulation decreased (increased) reaction time to contralateral (ipsilateral) targets
Cosman et al. (2015)	18	within subject	F3 and F4 (A,C), contralateral cheeks (ref)	20, 50 cm <sup>2</sup>	1 mA, 20 min	offline	visual search with distractor	no overall effect; anodal tDCS decreased negative effect of distractors on reaction time
Weiss and Lavidor (2012)	30, 20	between group	P4 (A,C), left forehead (ref)	16, 35 cm <sup>2</sup>	1.5 mA, 15 min	online	visual search with flankers	no overall effect; cathodal tDCS increased flanker effect under high attentional load
Kajimura and Nomura (2015)	73	between group	P4 (A,C), AF7 (ref)	35 cm <sup>2</sup>	1.5 mA, 20 min	offline	visual search with flankers	no overall effect; anodal tDCS decreased flanker effect under low attentional load

Reference	n	Design	Stimulation	Size	Dosage	Timing	Task	Findings
V. P. Clark et al. (2012)	63, 12	between group	near F10 (A), P4 (A), upper left arm (ref)	11 cm <sup>2</sup>	2 mA, 30 min	online	concealed object detection	anodal tDCS to frontal cortex increased accuracy after training more than sham; factor of 2 difference after 1-hour retest
Coffman, Trumbo, and Clark (2012)	55	between group	near F10 (A), upper left arm (ref)	11 cm <sup>2</sup>	2 mA, 30 min	online	concealed object detection	extension of Clark et al. (2012): effect replicated and stronger for repeated images with objects present
Falcone, Coffman, Clark, and Parasuraman (2012)	37	between group	near F10 (A), upper left arm (ref)	11 cm <sup>2</sup>	2 mA, 30 min	online	concealed object detection	extension of Clark et al. (2012): effect replicated and retained for 24 hours
<i>Other studies</i>								
Callan, Falcone, Wada, and Parasuraman (2016)	28	between group	P4 (A), left shoulder (ref)	38 cm <sup>2</sup>	1 mA, 30 min	online	visual search	no difference in accuracy between anodal and sham conditions
Kajimura, Kochiyama, Nakai, Abe, and Nomura (2016)	52	between group	P4 (A,C), AF7 (ref)	35 cm <sup>2</sup>	1.5 mA, 20 min	offline	visual search with flankers	no effects on accuracy (flanker effect not present in behavioral data)
Müller, Vellage, Heinze, and Zaehle (2015)	24	between group	Oz, Cz (alpha)	35 cm <sup>2</sup>	avg 0.76 mA, 20 min	offline	visual search	5 sessions of tACS improved accuracy for conjunction searches only

The largest and most consistent effects of tDCS on visual search processes hail from a series of studies by Clark and colleagues. They found that learning to detect concealed threatening objects embedded in naturalistic scenes was greatly enhanced by anodal tDCS over the right inferior frontal cortex—up to a factor of 2 compared to sham stimulation (V. P. Clark et al., 2012). Subsequent studies replicated this effect (Coffman et al., 2012) and showed it is retained for at least 24 h (Falcone et al., 2012).

In conclusion, anodal tDCS over the right parietal cortex may speed up visual search, while cathodal stimulation may slow it down. However, results are inconsistent, may differ per hemifield, and appear restricted to particular aspects of visual search (e.g., salient distractors). Anodal stimulation over the right inferior frontal cortex has consistently shown to speed up object detection. Perhaps this success can be attributed to the challenging nature of the task: tES effects might be greatest for difficult tasks with plenty of room for improvement (e.g., Jones & Berryhill, 2012). Because this task is more complex than the typical visual search paradigm, it could also be that tDCS affected other processes such as threat detection or scene perception, and not those underlying visual search *per se*.

## 2.3 Spatial orienting

A second aspect of attention implicit in visual search is visuospatial orienting: the ability to allocate spatial attention to relevant parts of the visual field. Visuospatial orienting can be driven by a target stimulus itself (*stimulus-driven orienting*) or by spatial cues that prompt automatic (*exogenous*) or voluntary (*endogenous*) orienting towards or away from the upcoming target. In total, we identified 12 studies that evaluated tES effects on spatial orienting (Table 2.3).

### Stimulus-driven orienting

The effects of tES on stimulus-driven orienting can be studied by stimulating the parietal cortex ipsi- or contralateral to a target stimulus. The assumption is that increasing the excitability of the contralateral

hemisphere with anodal tDCS might shift attention towards the relevant visual field—thus improving accuracy or reaction times for target detection—while ipsilateral stimulation should have the opposite effect.

To test this, Bolognini, Olgati, Rossetti, and Maravita (2010) briefly presented target stimuli either to the left or right part of the visual field concurrent with anodal tDCS to the right parietal cortex. Indeed, they report a decrease in reaction time for targets presented in the left (contralateral), but not the right hemifield (ipsilateral). Likewise, contralateral target detection was also found to improve with anodal stimulation in another study (Sparing et al., 2009). However, Filmer, Dux, and Mattingley (2015) found diminished performance for both contralateral and bilateral stimuli following anodal tDCS. This discrepancy in findings is unexpected as the design of the latter two studies is quite similar, although both did employ fairly low-dosage stimulation.

Cathodal stimulation over the parietal cortex increased performance for ipsilateral targets (Sparing et al., 2009), but decreased performance for contralateral targets and also bilateral stimuli (Filmer et al., 2015; Sparing et al., 2009). Possibly, the representation of the ipsilateral stimulus is enhanced by cathodal stimulation, captures attention, and biases awareness to just that one stimulus.

Interestingly, tES might not affect orienting similarly in everyone. Learmonth, Thut, Benwell, and Harvey (2015) found no group effects of stimulation, but splitting their sample according to baseline performance revealed a weak impairment with anodal tDCS: both accuracy and reaction time worsened, but only in participants with already low baseline task performance.

To summarize, although some studies report unilateral modulations in the expected direction, the overall findings of studies were not consistent. Stimulation did not necessarily improve attention, but sometimes also led to decrements in one or both hemifields. Future studies could examine whether enhancing the excitability of both hemispheres at once with tRNS or two anodes is more effective and might circumvent these impairments.

### **Endogenous and exogenous orienting**

Spatial cueing tasks, such as the classical Posner paradigm, can chart how spatial attention prior to stimulus presentation facilitates performance (Chica, Martín-Arévalo, Botta, & Lupiáñez, 2014; Posner, 1980). In the endogenous (or top-down) variant, attention is willfully directed to the left or right hemifield following a central cue. In exogenous (or bottom-up) orienting, attention is automatically drawn to a location in the left or right hemifield with a peripheral cue. On trials with valid cues, a target is presented at the cued location; on trials with invalid cues, the target is presented at the uncued location. While endogenous orienting is associated with activity in a more dorsal frontoparietal network, a mostly right-lateralized network of ventral frontal and parietal areas underpins exogenous orienting (Corbetta & Shulman, 2002).

tDCS studies with Posner tasks have yielded markedly different results. Anodal tDCS to the right parietal cortex improved performance, but surprisingly for both valid and invalid endogenous cues (Bolognini, Fregni, et al., 2010). A more recent study in which both hemispheres received opposite polarity stimulation did not identify any significant effect on performance (L. M. Li, Leech, et al., 2015). Using a more comprehensive version of Posner's paradigm that also measures alerting and executive attention—the attention network test (Fan, McCandliss, Sommer, Raz, & Posner, 2002)—Roy, Sparing, Fink, and Hesse (2015) found that anodal tDCS to the right parietal cortex decreased performance after invalid exogenous cues. Stimulation over the right inferior frontal cortex did not seem to affect orienting in the attention network test (Coffman et al., 2012), although here, participants did not perform the test until 1.5 h after stimulation offset.

Two studies so far have paired tACS with a spatial cueing task. Laczó, Antal, Niebergall, Treue, and Paulus (2012) found that while 60 Hz (gamma-band) tACS over the visual cortex improved contrast perception, this effect was not modulated by exogenous spatial cues. A recent study found no effect on exogenous cues, but gamma tACS over the right parietal cortex did decrease reaction times following invalid, endogenous cues (Hopfinger, Parsons, & Fröhlich, 2016).

In conclusion, whether preceded by a spatial cue or not, anodal tDCS to the right parietal cortex did not consistently enhance processing of left visual field stimuli. Several studies even found performance decreases (Filmer et al., 2015; Learmonth et al., 2015). Not a single study reported a benefit to the endogenous control of attention. Future studies should aim to replicate these (null) findings and explore different nodes of the top-down (e.g., the frontal eye fields) or bottom-up (e.g., the temporoparietal junction) attention networks (Corbetta & Shulman, 2002).

## 2.4 Spatial bias

### Pseudoneglect

A closely related line of studies has used tES to modify spatial biases in attention, both in the healthy and diseased brains. These studies capitalize on the finding that attention is not symmetrically distributed over the visual field. Most people exhibit *pseudoneglect*: they overemphasize features in the left versus the right hemifield (Jewell & McCourt, 2000). This bias likely occurs because the right hemisphere is slightly more active than the left at rest, thus shifting attention towards the left visual hemifield. Spatial biases can be quantified with line bisection tests (Bowers & Heilman, 1980), in which people typically bisect the line slightly towards the left. In contrast to the naturally occurring pseudoneglect, *hemispatial neglect* is a much more extreme bias that can occur in stroke patients. We identified 15 studies examining the effect of tDCS on both of these biases, which have produced relatively consistent results (Table 2.4).

Loftus and Nicholls (2012) demonstrated that pseudoneglect can be reversed with anodal tDCS over the left parietal cortex. Presumably, tDCS increased the activity of the left parietal cortex beyond that of the right, causing a rightward shift in spatial bias. Similarly, Giglia et al. (2011) report a rightward shift for right cathodal tDCS (although note that Loftus and Nicholls (2012) did not find this). They furthermore observed that a “dual” montage with one electrode on each posterior parietal cortex (anode left; cathode right) was even more effective.

**TABLE 2.3 Studies using spatial orienting tasks, with and without spatial cues.** Studies are presented in order of appearance in the body text; studies not cited in the body text appear in the bottom section of the table (in alphabetical order). All studies were sham controlled. *A*: anodal, *C*: cathodal, *ref*: location of tDCS electrode that was not of interest, *IPS*: intraparietal sulcus *Online*: task performed during stimulation, *Offline*: task performed after stimulation, *Dosage* is zero-to-peak amplitude

Reference	n	Design	Stimulation	Size	Dosage	Timing	Task	Findings
<b>Stimulus-driven (no cues)</b>								
<i>Cited in text</i>								
Bolognini, Olgiati, et al. (2010)	48	between group	P4 (A), O2 (A), left shoulder (ref)	35 cm <sup>2</sup>	2 mA, 15 min	offline	audiovisual target detection	only right parietal tDCS decreased reaction time for contralateral targets
Sparing et al. (2009)	20	within subject	P3 (A,C) or P4 (A,C), Cz (ref)	25, 35 cm <sup>2</sup>	1 mA, 10 min	offline	uni/bilateral target detection	anodal tDCS facilitates contralateral target detection, cathodal diminishes contralateral/bilateral and increases ipsilateral performance
Filmer et al. (2015)	28	within subject	P3 (A,C) or P4 (A,C), Cz (ref)	25 cm <sup>2</sup>	0.7 mA, 9 min	offline	uni/bilateral target detection	right anodal tDCS decreased contralateral performance; anodal and cathodal decreased bilateral performance
Learmonth et al. (2015)	20	within subject	P3 (A), P4 (A), contralateral forehead (ref)	25, 35 cm <sup>2</sup>	1 mA, 15 min	online	target detection	left anodal tDCS worsened accuracy and reaction time in both hemifields, but only for poor performers
<i>Other studies</i>								
Brignani, Ruzzoli, Mauri, and Miniussi (2013)	96	between group	PO7 or PO8, Cz (6, 10, 25 Hz)	16, 35 cm <sup>2</sup>	0.5 mA, 15 min	online	detection and discrimination	improvements in detection performance occurring in sham condition were not present for 6 or 10 Hz tACS

Reference	n	Design	Stimulation	Size	Dosage	Timing	Task	Findings
Medina et al. (2013)	18	within subject	CP3 (A,C), CP4 (C,A)	25 cm <sup>2</sup>	1.5 mA, 20 min	online	allocentric / egocentric detection	concurrent right anodal / left cathodal tDCS speeded reaction times to stimuli with left-gaps compared to right (allocentric effect)
<b>Exo-/endogenous orienting (with cues)</b>								
<i>Cited in text</i>								
Bolognini, Fregni, et al. (2010)	20	between group	P3 (A) or P4 (A), contralateral shoulder (ref)	35 cm <sup>2</sup>	2 mA, 30 min	online (different task)	target detection, endogenous Posner	right anodal tDCS decreased reaction times for valid, invalid and no-cue conditions
L. M. Li, Leech, et al. (2015)	18	within subject	P3 (A,C), P4 (C,A)	25 cm <sup>2</sup>	2 mA, 30 min	online	endogenous Posner	no effect on reaction time
Roy et al. (2015)	24	within subject	F3 (A), left forehead (ref); P3 (A) or P4(A), Cz (ref)	25, 35 cm <sup>2</sup>	1.5 mA, 20 min	offline	attention network test	right PPC stimulation increased reaction time after invalid trials (enhanced spatial orienting)
Coffman et al. (2012)	19	between group	near F10 (A), left upper arm (ref)	11 cm <sup>2</sup>	2 mA, 30 min	online (different task)	attention network test	frontal anodal tDCS only improved alerting compared to sham, not orienting
Hopfinger et al. (2016)	23	within subject	P6, Cz (10, 40 Hz)	25, 35 cm <sup>2</sup>	1 mA	online	endogenous and exogenous Posner	gamma tACS decreased reaction time to invalidly cued targets (endogenous)
Laczó et al. (2012)	20	within subject	O1, Cz (40, 60, 80 Hz)	16, 28 cm <sup>2</sup>	1.5 mA, 45 min	online	peripheral spatial cueing	60 Hz tACS lowered contrast thresholds, but no interaction with spatial cues

This dual montage effect was replicated with a larger sample, although the overall effect size was fairly small (Benwell, Learmonth, Miniussi, Harvey, & Thut, 2015). An exploratory analysis revealed that the effect was strongly modulated by individual differences: those who performed well at baseline responded only to weaker current intensity (1 mA); those who did poorly at baseline responded only to 2 mA tDCS. This pattern makes sense: those with a large bias to begin with likely had a more active right hemisphere at baseline, so higher intensity stimulation would be needed to tip the scales.

In conclusion, anodal tDCS to the left and cathodal tDCS to the right parietal cortex appear to shift spatial bias rightwards, though the effect could be subject to individual differences, which were also present in the other three studies (Table 2.4).

### Hemispatial neglect

Hemispatial neglect occurs most frequently following lesions of the right ventral parietal cortex (Vallar & Perani, 1986). Neglect patients have difficulty to voluntarily orient attention to the visual hemifield contralateral to the lesion (Heilman, Watson, & Valenstein, 2012) and thus exhibit a spatial bias to the ipsilateral hemifield (most often right). This bias is related to hypoactivity of the ipsilateral (right) parietal cortex and hyperactivity of the contralateral (left) parietal cortex (Corbetta, Kincade, Lewis, Snyder, & Sapir, 2005). tES might be uniquely suitable to restore this interhemispheric imbalance by either increasing excitability of the lesioned hemisphere and/or inhibiting the non-lesioned hemisphere.

Nine studies have investigated this prospect to date, with promising results (Table 2.4). For example, Sparing et al. (2009) administered anodal tDCS to the right parietal cortex or cathodal tDCS to the left parietal cortex in neglect patients with right hemisphere lesions. Both protocols abolished the rightward bias in line bisection and produced a small leftward bias. Seven of the other studies also report some improvement after parietal tDCS, many on line bisection tasks.

Notably, one study did not find any effect of tDCS (Smit et al., 2015). Possibly this is due to their low sample size ( $n = 5$ )—a problem that plagues all these studies—or because their patients were in

the later, chronic stage of stroke, when recovery is more difficult. Still, the null result by Smit et al. (2015) carries some weight, as their study was relatively well-designed: it included multiple patients, was sham-controlled, and had multiple (five) stimulation sessions—only two other studies fit all these criteria (Làdavas et al., 2015; Yi et al., 2016).

Although promising, these initial results should therefore be interpreted with some caution. Moreover, follow-up tests are crucial to assess whether the stimulation effects have any long-term clinical value. Only Brem, Unterburger, Speight, and Jäncke (2014) had a 3-month follow-up, but treated just a single patient. Still, the current findings warrant a large-scale clinical trial to determine the efficacy of tDCS for treatment of hemispatial neglect.

## 2.5 Sustained attention

The final aspect of attention that multiple tES studies have targeted is sustained attention. In sustained attention paradigms, participants continuously monitor a stimulus stream for targets. Typically, after prolonged time-on-task, performance declines rapidly—the so-called vigilance decrement (Mackworth, 1948; Parasuraman, 1979). Finding ways to counter the vigilance decrement is especially pertinent given that these tasks mirror many real-life situations, such as air traffic control, surveillance, and quality control.

We found nine studies that examined effects of tES on sustained attention (Table 2.5). Nelson, McKinley, Golob, Warm, and Parasuraman (2014) report that the vigilance decrement could be prevented by applying bilateral tDCS to the dorsolateral prefrontal cortex early into a vigilance task. Prefrontal tDCS may also counter the effects of sleep deprivation on vigilance, to the same or greater extent than caffeine (McIntire, McKinley, Goodyear, & Nelson, 2014). When bilateral stimulation was applied to parietal cortex, L. M. Li, Leech, et al. (2015) found that tDCS only affected performance on the final block of a reaction time task, but this effect reflected slower instead of faster reaction times.

**TABLE 2.4 Studies using spatial bias tasks, in healthy controls (pseudoneglect) or stroke patients (hemineglect).** Studies are presented in order of appearance in the body text; studies not cited in the body text appear in the bottom section of the table (in alphabetical order). All studies were sham controlled, except Turgut et al. (2016) and Bang and Bong (2015). *A*: anodal, *C*: cathodal, *ref*: location of tDCS electrode that was not of interest, *Online*: task performed during stimulation, *Offline*: task performed after stimulation, *TAP*: Test of Attentional Performance, *BIT*: Behavioral Inattention Test

Reference	n	Design	Stimulation	Size	Dosage	Timing	Task	Findings
Sparing et al. (2009)	10	within subject	P3 (A,C), P4 (A), Cz (ref)	25, 35 cm <sup>2</sup>	1 mA, 10 min	offline	TAP (neglect subtest), line bisection	no effect on neglect subtest. One session of anodal tDCS of the right and cathodal tDCS of the left hemisphere flipped rightward bias to left. Patients with small lesions showed a larger bias shift
Smit et al. (2015)	5	within subject	P3 (C), P4 (A)		2 mA, 20 min	offline	BIT	no significant effects after 5 sessions
Làdavas et al. (2015)	30	between group	P5 (A/C), P6 (A/C), contralateral forehead (ref)	35 cm <sup>2</sup>	2 mA, 20 min	online	BIT	group with 10 sessions of right anodal tDCS improved more than sham when paired with prism adaptation training; the left cathodal group did not improve
Yi et al. (2016)	30	between group	P3 (C), P4 (A), Cz (ref)	25 cm <sup>2</sup>	2 mA, 30 min	offline	motor-free visual perception, line bisection, star cancellation	15 sessions of both right anodal or left cathodal tDCS produced greater improvement on all tests than sham
Brem, Unterberger, et al. (2014)	1	within subject	P3 (C), P4 (A)	35 cm <sup>2</sup>	1 mA, 20 min	online	TAP (incl. Posner), BIT (incl. line bisection)	one stimulation session already decreased reaction time, mostly toward invalid left hemifield targets. Effect was retained 3 months later after 6 sessions in total
<i>Other studies</i>								
Bang and Bong (2015)	12	between group	P3 (C), P4 (A), contralateral foreheads (ref)	35 cm <sup>2</sup>	1 mA, 20 min	offline	motor-free visual perception, line bisection	15 sessions of tDCS paired with feedback training improved scores on all tests more than just feedback training
Ko, Han, Park, Seo, and Kim (2008)	15	within subject	P4 (A), left forehead (ref)	25 cm <sup>2</sup>	2 mA, 20 min	offline	figure cancellation, line bisection	one stimulation session decreased line bisection errors
Sunwoo et al. (2013)	10	within subject	P3 (C), P4 (A), contralateral foreheads (ref)	25 cm <sup>2</sup>	1 mA, 20 min	offline	line bisection, star cancellation	one session of right anodal and right anodal + left cathodal tDCS improved line bisection (latter more effective)
Turgut et al. (2016)	32	between group	P3 or P4 (C), P4 or P3 (A)		1.5–2 mA, 20 min	online	body orientation, clock drawing, apple cancellation, line bisection	8 sessions of tDCS paired with optokinetic training improved body orientation and clock drawing test (right lesions only) more than standard treatment

**TABLE 2.5 Studies using sustained attention tasks, in healthy controls or neglect patients.** Studies are presented in order of appearance in the body text; studies not cited in the body text appear in the bottom section of the table (in alphabetical order). All studies were sham controlled. A: anodal, C: cathodal, ref: location of tDCS electrode that was not of interest, *Online*: task performed during stimulation, *Offline*: task performed after stimulation.

Reference	n	Design	Stimulation	Size	Dosage	Timing	Task	Findings
<i>Cited in-text</i>								
Nelson et al. (2014)	19	between group	F3 (A,C) , F4 (C,A)	35 cm <sup>2</sup>	1 mA, 10 min	online	air traffic controller simulation	both montages prevented time-on-task performance decline that occurred in sham group, when stimulation was started after 10 min (early group); not 30 (late group).
McIntire et al. (2014)	30	between group	F3 (A), right upper arm (ref)	10 cm <sup>2</sup>	2 mA, 30 min	offline	Mackworth Clock Test, Psychomotor Vigilance Task	when sleep deprived for 22h, performance in tDCS group remained stable throughout the night compared to sham (accuracy in Mackworth and reaction time on PVT)
L. M. Li, Leech, et al. (2015)	18	within subject	P3 (A), P4 (C)	25 cm <sup>2</sup>	2 mA, 30 min	online (CRT; RVP immediately after)	Choice Reaction Time, Rapid Visual Processing	right-anodal + left-cathodal stimulation increased reaction time on CRT, only for short interval trials in the final block. Effect only present when compared to opposite montage, not sham
Axelrod, Rees, Lavidor, and Bar (2015)	45	between group	F3 (A), right forehead (ref); Oz (A), Cz (ref)	16, 35 cm <sup>2</sup>	1 mA, 20 min	online	Sustained Attention to Response Task	no effects on accuracy or reaction time, but frontal tDCS did increase mind wandering
Nieratschker, Kiefer, Giel, Kruger, and Plewnia (2015)	41	within subject	F3 (C), right forehead (ref)	35 cm <sup>2</sup>	1 mA, 20 min	online	parametric go/no-go [just “go” responses]	cathodal tDCS decreased performance at medium task difficulty (response inhibition), only for Val/Val allele carriers of the COMT gene. No effects on reaction time
Plewnia et al. (2013)	46	within subject	F3 (A), right forehead (ref)	35 cm <sup>2</sup>	1 mA, 20 min	online	parametric go/no-go [just “go” responses]	anodal tDCS decreased performance at highest task difficulty (set shifting), only for Met/Met allele carriers of the COMT gene. No effects on reaction time

Reference	n	Design	Stimulation	Size	Dosage	Timing	Task	Findings
Hsu, Zanto, Anguera, Lin, and Gazzaley (2015)	39	between group	F3 (A), Fp2 (ref)	45 cm <sup>2</sup>	1 mA, 10 min	offline	NeuroRacer [go/no-go task only]	no effects on accuracy (d <sub>_</sub> ) for the go/no-go task only, but tDCS did reduce multitasking costs
<i>Other studies</i>								
Miller, Berger, and Sauseng (2015)	8	within subject	AFz (A), under chin (ref)	35 cm <sup>2</sup>	1 mA, 15 min	offline	go/no-go	no effects on accuracy or reaction time
Mauri, Miniussi, Balconi, and Brignani (2015)	14	within subject	FPz, Oz (100-640 Hz)	23 cm <sup>2</sup>	2 mA, 81 bursts of 900 ms	online	Continuous Performance Test	stimulation decreased reaction time

Other studies that were not geared towards time-on-task effects have reported mixed results. For example, prefrontal tDCS did not affect performance on a sustained attention to response task, but did increase mind wandering (Axelrod et al., 2015). Two other studies employing a go/no-go task found that tDCS negatively affected performance, but only when increased demand was placed on inhibitory control (Nieratschker et al., 2015) and set shifting abilities (Plewnia et al., 2013). However, these effects were restricted to carriers of particular subtypes of the COMT gene, involved in regulation of dopamine levels. Still, this lends some support to the idea that tDCS effects are most apparent at higher levels of task difficulty. Similarly, Hsu et al. (2015) only found effects in a multitasking context, not when participants were performing a single task. These findings collectively suggest that prefrontal tDCS primarily affects higher-order processes involved in sustained attention, and not simple target detection per se.

In conclusion, while most studies found no or restricted effects on sustained attention, two studies report that prefrontal tDCS specifically offsets the vigilance decrement, suggesting that its effects may only become apparent after prolonged task performance. If replicated, it may be interesting for future studies to investigate the cognitive mechanisms at work: does tDCS allow fatigued individuals to tap into additional attentional resources, or do they simply become more motivated or less prone to mind wandering?

## 2.6 Discussion

Each of the four aspects of attention reviewed here harbors both promising results and many inconsistencies, such that compelling conclusions cannot be drawn at this point. Anodal stimulation to the right parietal and frontal cortex might speed up learning and reaction time in visual search, but robust results were only reported by one group for one particular visual search task (V. P. Clark et al., 2012; Coffman et al., 2012; Falcone et al., 2012). For spatial attention, parietal tDCS may enhance visuospatial processing, but many studies also reported null results or even performance decrements. Prefrontal tDCS may improve sustained attention by countering the performance decrements

normally observed after prolonged time-on-task (Nelson et al., 2014; McIntire et al., 2014), but this effect remains to be replicated independently, and tDCS did not produce consistent effects in other sustained attention tasks. The most exciting and consistent results may be those showing that tDCS can shift spatial biases and thereby ameliorate symptoms in hemispatial neglect patients. However, even this field is not without its contradictory results, and it remains to be seen whether this effect stands up to well-controlled and larger clinical trials.

We proceed to discuss several factors that may contribute to the diversity in observed results. We also offer recommendations for future studies that may help resolve these inconsistencies and shed more definitive light on the ability to use tES to enhance attention. As effects of tES on other domains also appear less robust than initially thought (e.g., Horvath, Forte, & Carter, 2015; López-Alonso, Cheeran, Río-Rodríguez, & Fernández-Del-Olmo, 2014; López-Alonso, Fernández-del-Olmo, Costantini, Gonzalez-Henriquez, & Cheeran, 2015; Mancuso et al., 2016), our recommendations may also be of value to tES researchers in fields other than attention.

The large variability in stimulation parameters is one important factor that may explain the lack of consistent results—we rarely came across two studies that used the same protocol (Tables 2.1, 2.2, 2.3, 2.4, and 2.5). Electrode montage and stimulation intensity, duration, timing, and polarity alone offer a daunting number of degrees of freedom, and all of these parameters can greatly affect the outcome of stimulation. For instance, varying stimulation duration (Monte-Silva et al., 2013) or current intensity (Batsikadze, Moliaidze, Paulus, Kuo, & Nitsche, 2013) may completely flip the effect of tDCS between excitation and inhibition. Even the order of sham and real tES sessions could potentially affect the outcome: tES may interact with practice-related improvements in task performance, for example, such that tES effects are less pronounced in later sessions. This remains a factor even if session order is counterbalanced between subjects.

Mostly, this review highlights a dire need for studies that more systematically explore the parameter space and for a mechanistic understanding of the neurophysiological effects of tES. To determine

promising parameter combinations, direct replications are essential. Preregistration may also facilitate progress in the field, as a recent meta-analysis of tDCS and working memory found some evidence for selective reporting of positive results (Mancuso et al., 2016).

Future studies should also make an effort to increase power: some studies had particularly low sample sizes (fewer than 10 participants per group) or trial counts (fewer than 10 min of task performance), which do little to mitigate within- and between-subject variability. Indeed, several studies underlined that individual differences may shape the outcome of stimulation. Many factors could play a role here, ranging from differences in head and brain anatomy to gender and genetics (for reviews, see Krause & Cohen Kadosh, 2014; L. M. Li, Uehara, & Hanakawa, 2015). Differences in baseline brain state and cortical excitation/inhibition balance seem especially relevant (Krause, Márquez-Ruiz, & Cohen Kadosh, 2013), as they could explain why in some studies the effects of stimulation were contingent on baseline task performance (e.g., Benwell et al., 2015; Learmonth et al., 2015; London & Slagter, 2015).

Recent studies have shown that even the influence of stimulation on motor-evoked potentials—the primary proof of tDCS efficacy in humans—is subject to high inter- (López-Alonso et al., 2014; Strube et al., 2016; Wiethoff, Hamada, & Rothwell, 2014) and intraindividual variability (Dyke, Kim, Jackson, & Jackson, 2016; López-Alonso et al., 2015; Horvath, Vogrin, et al., 2015). The ultimate solution may be to tailor stimulation dosage and placement of electrodes to individual brains, but this requires sophisticated computational modeling efforts that are only just getting under way (de Berker et al., 2013; Bikson, Rahman, & Datta, 2012).

Understanding the factors that drive tES responsiveness is absolutely crucial to the aim of cognitive enhancement. One cannot meaningfully speak of enhancement when a substantial portion of individuals shows no response or even a detriment. Potential costs to cognitive enhancement are often overlooked, but are a real possibility: enhancement of one cognitive function could be paired with a decline in another function (Brem, Fried, Horvath, Robertson, & Pascual-Leone, 2014; Iuculano & Cohen Kadosh, 2013; Sarkar, Dowker, & Cohen

Kadosh, 2014), as the brain networks underlying cognitive functions do not operate in isolation (Wokke, Talsma, & Vissers, 2015).

In the diseased brain, this principle may be exploited to return network function to the normal state. For instance, in hemispatial neglect, tDCS studies may restore the balance between the overactive, non-lesioned hemisphere and the overinhibited, lesioned hemisphere. However, in the healthy brain, boosting one network function with tES may incur a cost to another network function. For example, while cathodal parietal tDCS enhanced attention to ipsilateral stimuli, it worsened performance for contralateral and bilateral stimuli (Filmer et al., 2015; Sparing et al., 2009). Similarly, an improved ability to focus attention in a top-down manner (e.g., on the road when driving) may hamper bottom-up attention to unexpected, albeit potentially relevant stimuli (e.g., a child next to the road).

To evaluate such costs, including control tasks that probe other cognitive abilities is essential (Wokke et al., 2015; Parkin et al., 2015). This is particularly important for multiple session tES studies, where both enhancements and costs may be larger and potentially longer lasting. Future research in this direction is imperative, as virtually nothing is known about the long-term effects of repeated tES on attention processes in the healthy brain, nor about its potential adverse effects.

Another important avenue for future research is to combine tES with neuroimaging techniques (Bergmann, Karabanov, Hartwigsen, Thielscher, & Siebner, 2016). Concurrent applications of tES with fMRI or M/EEG measurements are technically challenging but allow for more insight into the neurophysiology of tES and the relationship between baseline activity and tES effects. Moreover, neuroimaging may greatly inform the choice of stimulation parameters. V. P. Clark et al. (2012) determined the stimulation site based on a prior fMRI study, which may have contributed to the large and consistent effects they found. Similarly, prior M/EEG studies may aid in picking the most optimal stimulation frequency (van Driel, Sligte, Linders, Elport, & Cohen, 2015). Future studies combining tACS with M/EEG are also necessary to determine if tACS—by synchronizing endogenous neural oscillations—may be particularly effective for enhancing attention.

Is transcranial electrical stimulation an effective tool to enhance attention? At present, it is too early to say. Although the initial findings

## 2. tES as a tool to enhance attention

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are encouraging, they require replication and further study. However, the interest from society at large in tES is considerable and has grossly outpaced the state of the field. Informal surveys suggest that enhancement is the most common incentive for the growing use of tES at home, and that attention tops the list of enhancement purposes (Jwa, 2015). A recent open letter signed by many leading tES experts commands utmost caution and highlights a long list of unknowns that preclude practical applications of tES for enhancement (Wurzman, Hamilton, Pascual-Leone, & Fox, 2016). We can only underscore that statement on the basis of this review and hope that our overview of current studies and recommendations for future research will help to determine the efficacy of tES for enhancing attention.

## PART II

# **Enhancing attentional performance with tDCS**



## CHAPTER 3

# No evidence that frontal eye field tDCS affects latency or accuracy of prosaccades

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*This chapter has been published as:* Reteig, L. C., Knapen, T., Roelofs, F. J. F. W., Ridderinkhof, K. R., & Slagter, H. A. (2018). No evidence that frontal eye field tDCS affects latency or accuracy of prosaccades. *Frontiers in Neuroscience* 12:617. <https://doi.org/10.3389/fnins.2018.00617>

### 3. FEF-tDCS does not affect saccades

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**ABSTRACT** Transcranial direct current stimulation (tDCS) may be used to directly affect neural activity from outside of the skull. However, its exact physiological mechanisms remain elusive, particularly when applied to new brain areas. The frontal eye field (FEF) has rarely been targeted with tDCS, even though it plays a crucial role in control of overt and covert spatial attention. Here, we investigate whether tDCS over the FEF can affect the latency and accuracy of saccadic eye movements. Twenty-six participants performed a prosaccade task in which they made eye movements to a sudden-onset eccentric visual target (*lateral saccades*). After each lateral saccade, they made an eye movement back to the center (*center saccades*). The task was administered before, during, and after anodal or cathodal tDCS over the FEF, in a randomized, double-blind, within-subject design. One previous study (Kanai et al., 2012) found that anodal tDCS over the FEF decreased the latency of saccades contralateral to the stimulated hemisphere. We did not find the same effect: neither anodal nor cathodal tDCS influenced the latency of lateral saccades. tDCS also did not affect accuracy of lateral saccades (saccade endpoint deviation and saccade endpoint variability). For center saccades, we found some differences between the anodal and cathodal sessions, but these were not consistent across analyses (latency, endpoint variability), or were already present before tDCS onset (endpoint deviation). We tried to improve on the design of Kanai et al. (2012) in several ways, including the tDCS duration and electrode montage, which could explain the discrepant results. Our findings add to a growing number of null results, which have sparked concerns that tDCS outcomes are highly variable. Future studies should aim to establish the boundary conditions for FEF-tDCS to be effective, in addition to increasing sample size and adding additional controls such as a sham condition. At present, we conclude that it is unclear whether eye movements or other aspects of spatial attention can be affected through tDCS of the frontal eye fields.

### 3.1 Introduction

Transcranial direct current stimulation (tDCS) harbors an exciting promise: it may influence cortical excitability and plasticity (Yavari, Jamil, Mosayebi Samani, Vidor, & Nitsche, 2018), yet it is relatively noninvasive and easy to apply. These properties have attracted much attention to the technique, leading to many studies that have used tDCS to better understand the relationship between brain function and behavior (Filmer, Dux, & Mattingley, 2014), to facilitate learning and to enhance cognition (Cohen Kadosh, 2014; Coffman et al., 2014) and even in clinical treatment (Lefaucheur et al., 2016).

Several studies have tried to enhance attention using tDCS, with mixed results (Reteig et al., 2017). In this study, we applied tDCS to the frontal eye field (FEF), a central node in the spatial attention network in the brain. Since a primary function of the FEF is the control of eye movements, we used eye tracking as our measure of tDCS efficacy.

In tDCS, a small current is passed between two electrodes, at least one of which is placed on the scalp. The current flows from the anode (positively charged electrode) to the cathode (negatively charged electrode), thereby polarizing the neurons in between. The canonical effect is that anodal tDCS enhances cortical excitability by depolarizing the resting membrane potential; cathodal tDCS on the other hand typically decreases excitability by hyperpolarizing the membrane potential (Nitsche et al., 2008).

However, the exact neurophysiological mechanisms of tDCS are much more complex and involve many more physiological processes (Medeiros et al., 2012; Jackson et al., 2016). Much of what we do know stems from *in vivo* and *in vitro* animal studies, but these findings—including the anodal vs. cathodal dichotomy—are difficult to extend to human applications (Fertonani & Miniussi, 2017; Bestmann et al., 2015).

Early human studies into tDCS focused on the motor cortex, as this allowed to assess the physiological effects of tDCS with transcranial magnetic stimulation (TMS). As expected, motor-evoked potentials elicited by a TMS pulse grew larger after anodal tDCS, and smaller after cathodal tDCS (Nitsche & Paulus, 2000; Nitsche & Paulus,

### 3. FEF-tDCS does not affect saccades

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2001). Other motor behaviors may also serve as outcome measures for tDCS. For instance, cathodal tDCS over the pre-SMA failed to suppress impulsive action tendencies (partial errors), but did prevent such impulses from expressing into full manifest errors (Spieser, van den Wildenberg, Hasbroucq, Ridderinkhof, & Burle, 2015). Nevertheless, these canonical tDCS effects are not always obtained, even in the motor cortex (Strube et al., 2016).

Furthermore, tDCS parameters that work well in one brain area (e.g., the motor cortex) do not necessarily generalize to other brain regions (Parkin et al., 2015; Bestmann & Walsh, 2017). It is therefore crucial that tDCS is also applied to other, non-motor brain areas, to see to what extent its effects generalize. The dorsolateral prefrontal cortex is among one of the areas that is most frequently targeted with tDCS (Santarnecchi et al., 2015), but these studies have indeed produced more mixed results than those in the motor domain (Tremblay et al., 2014). tDCS effects on many other brain regions have not been investigated at all, or only in a handful of studies, irrespective of how well-studied they might be in other fields. The frontal eye field is a prime example of such an area.

The FEF is a key area in the dorsal visual hierarchy (Schall, 2009; Corbetta & Shulman, 2002). It is closely involved with the control of eye movements (overt attention), but is also crucial for the control of covert spatial attention (Nobre et al., 2000; Grosbras et al., 2005). Much of the evidence for a causal role of the FEF comes from stimulation studies. In fact, the FEF was first discovered when Ferrier (1873) observed that microstimulation of this area in nonhuman primates elicited contralateral saccadic eye movements.

In humans, TMS of the FEF is not strong enough to directly evoke saccades, but has been shown to affect saccade preparation. The latency of saccades generally decreases when preceded by a single TMS pulse (Thickbroom, Stell, & Mastaglia, 1996; Ro, Farnè, & Chang, 2002; Juan et al., 2008). Repetitive and theta-burst TMS protocols on the other hand can slow saccades for a more prolonged period of time (Nyffeler et al., 2006). TMS of the FEF has also been shown to impair covert attention (Capotosto, Babiloni, Romani, & Corbetta, 2009).

In spite of the ubiquitous role of the FEF in visuospatial attention, tDCS of the FEF is largely uncharted. In contrast to TMS, which

generally seems to have an inhibitory effect, one attractive feature of (anodal) tDCS is that it could enhance FEF activity, and thereby spatial attention (Reteig et al., 2017). Kanai et al. (2012) were the first to probe for effects of anodal or cathodal FEF-tDCS on prosaccades (saccades to a target) and antisaccades (away from a target). Their main finding was that anodal tDCS decreased the latency of contralateral prosaccades (i.e., tDCS of the left FEF slows saccades to targets in the right visual hemifield, or vice versa). For antisaccades, they observed a different pattern: cathodal tDCS increased the latency of ipsilateral antisaccades, and anodal tDCS reduced the frequency of erroneous saccades to the target. They further explored whether tDCS also affects the accuracy of saccades, but found no effects on either the mean deviation or the variability of saccade endpoints.

We identified just four more studies that have attempted FEF-tDCS. Similar to the main finding in Kanai et al. (2012), L.-Y. Tseng et al. (2014) showed that anodal FEF-tDCS shortened the latency of prosaccades to a (neutral) face stimulus in the presence of distractors (fearful or scrambled faces). In contrast, Chen and Machado (2017) found no effects of anodal or cathodal tDCS on either pro- or anti-saccades, even though their study closely resembled the one by Kanai et al. (2012). Two more studies paired FEF-tDCS with a visual search task (Ball et al., 2013; Ellison, Ball, Lane, & Ellison, 2017), which is known to depend on the FEF (Reynolds & Chelazzi, 2004), but tDCS did not affect reaction times in either study. Jointly, these studies paint a mixed picture of frontal eye field tDCS efficacy.

Nevertheless, the main result of Kanai et al. (2012)—that anodal tDCS speeds contralateral prosaccades—seems plausible for several reasons. First, the behavioral enhancement following anodal tDCS (i.e. faster saccade latencies) is in accord with the general tDCS literature. Because this enhancement was specific to the contralateral hemifield, it is unlikely to be a placebo or general arousal effect. Finally, there is a clear candidate mechanism for the effect. Seminal work has shown that monkeys make a saccade as soon as the activity in the FEF reaches a certain threshold (Hanes & Schall, 1996). Assuming that anodal tDCS increases excitability of the FEF, this threshold would be reached sooner, and saccade latency would therefore decrease.

### 3. FEF-tDCS does not affect saccades

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Both the sample size and the effect size in Kanai et al. (2012) were on the smaller side: anodal tDCS shortened saccade latency by around 6 ms, and there were 16 participants in each group (anodal and cathodal). Indeed, Chen and Machado (2017) did not find this effect, even though their study was highly similar. Recently, the number of tDCS studies that have produced null results has grown steadily, thereby casting doubt on the efficacy of the technique and the replicability of the existing tDCS literature (Horvath, Forte, & Carter, 2014; Horvath, Forte, & Carter, 2015; Medina & Cason, 2017).

We therefore performed a conceptual replication of Kanai et al. (2012) (see the [Discussion](#) section for a table of all the differences between the present study and theirs). Participants performed a prosaccade task before, during and after anodal or cathodal tDCS over the right FEF, in a (randomized, double blind) within-subject design. We hypothesized to find the same effect as Kanai et al. (2012)—anodal tDCS should decrease the median latency of lateral saccades to targets in the left hemifield (i.e. contralateral to the stimulated right FEF). We also conducted exploratory analyses of the full saccade latency distribution. Next to saccade latency, we also probed for effects of tDCS on the accuracy of saccades (mean deviation and variability of saccade endpoints), although Kanai et al. (2012) did not find any. Finally, in addition to Kanai et al. (2012), we also measured the saccades participants made back to the center, after each lateral saccade.

## 3.2 Materials and Methods

### Participants

31 participants took part in the study; data from 26 participants (14 female, mean age = 25.9, range = 21–34,  $SD = 3.42$ ) were included in the analyses (see “[Participant and saccade exclusion](#)” in the [Results](#) section). The experiment and recruitment took place at the University of Amsterdam; all procedures for this study were approved by the ethics committee of the Department of Psychology, and complied to relevant laws and institutional guidelines. Participation was precluded in case screening with a tDCS safety questionnaire revealed potential issues,

including (a history of) neurological, psychiatric or skin conditions. All participants gave written informed consent and were compensated with course credit or money (€10 per hour).

## Procedure

The study followed a randomized, double-blind, crossover design, in which subjects received anodal and cathodal tDCS in separate sessions (Figure 3.1). The two sessions were separated by a washout period of at least 48 hours to minimize the risk of carry-over effects.

Neuronavigation was always performed at the start of the first session (see [Frontal eye field localization](#)), and was usually not repeated on the second session. Otherwise, the procedure for each session was identical. First, a brief trial stimulation allowed participants to experience the sensations induced by tDCS and to decide whether they wanted to continue with the experiment (see [tDCS](#)). After setting up the eye tracker (see [Eye tracking](#)), participants practiced the prosaccade task (see [Task](#)) for one block (120 trials). Subsequently, participants performed 12 blocks of the task in three phases (Figure 3.1): 3 blocks prior to stimulation (*baseline*), 3 blocks during stimulation (anodal or cathodal tDCS), and 6 blocks after stimulation (*post-1* and *post-2*). Each block lasted approximately 5 minutes.

During the stimulation phase, the first block started after ramp-up of the current (1 minute). If the participant finished the required 3 blocks of the task within the next 16 minutes (15 minutes of constant stimulation and 1 minute of ramp-down), they were asked to sit quietly, until the stimulation had completed.

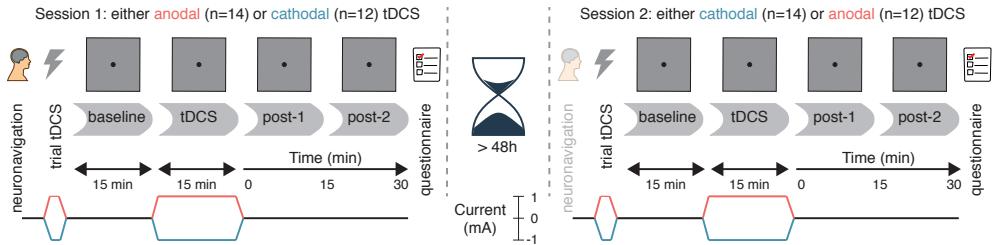
After task performance was complete, participants filled in a questionnaire on the occurrence of adverse effects related to tDCS (see Table A.1 and Figure A.1 in Appendix A).

## Task

Participants performed a no-gap, no-overlap prosaccade task (Figure 3.2) similar to the task in Kanai et al. (2012), in which they had to make eye movements to a target stimulus. Stimuli were displayed using

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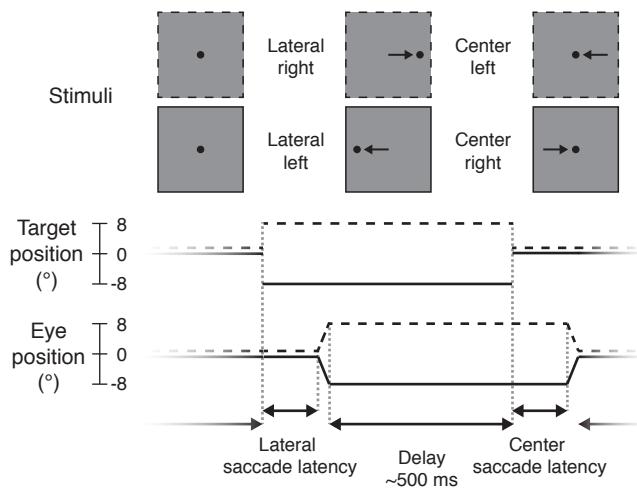


**FIGURE 3.1 Experimental design.** After a baseline measurement, participants received either anodal or cathodal tDCS while continuing to perform the prosaccade task, followed by two more post-tDCS assessments. After a washout period of at least 48 hours, the second session followed the same protocol, except that the tDCS polarity was opposite (e.g. if participants received anodal tDCS in the first session, cathodal tDCS was applied in the second session, and vice versa).

MATLAB (The MathWorks Inc.) and Psychtoolbox-3 (Brainard, 1997; Pelli, 1997; Kleiner, Brainard, & Pelli, 2007).

Each trial started with the participant fixating the target (black dot, diameter: 0.5 degrees of visual angle, henceforth: °) in the center of the screen. The target would then disappear and instantly reappear to either the left or right side of the screen (8° from center), prompting the participant to make an eye movement to the new location of the target (*lateral saccade*). The target would then jump back to the center, and again the participant made an eye movement to it (*center saccade*). Each trial thus required two prosaccades: one to an unpredictable location (lateral saccades, either to the left or right) and one to a predictable location (center saccades, always back to center, so in the opposite direction as the preceding lateral saccade).

After the target appeared at a new location, saccades were monitored online for 400 ms. The target remained at that location for a variable delay period, starting from the time of the saccade endpoint. If no saccade was detected (with an accuracy within 2° from the target location), the delay period started after the saccade monitoring period ended (i.e., after 400 ms). The delay duration was drawn randomly from an exponentially decaying distribution with a mean of 0.5 seconds, truncated between 0.3 and 3 seconds.



**FIGURE 3.2 Prosaccade task.** Each trial started with a *lateral saccade*, where the participant made an eye movement in response to the target stimulus (black dot) jumping from the center of the screen to either the *right* (dotted lines,  $+8^\circ$ ) or *left* (solid lines,  $-8^\circ$ ). After a delay period (mean: 500 ms) following saccade offset, the target jumped to the *center* again and participants made a *leftward* or *rightward* saccade back to it. After this saccade there was again a delay period, before the next trial started and the target appeared to the left or right again.

Every 20 trials, participants could take a brief self-timed break. After a block of 120 trials, participants could take a longer break and remove their head from the eye tracker chin rest. Target location (left or right side of the screen) was pseudorandomly distributed across trials within a block.

At the end of each block, a feedback screen was presented that displayed the average accuracy (in mm) and speed (in ms) of the lateral saccades within the block. The task instruction was to make saccades as quickly and accurately as possible, but with an emphasis on speed.

### Eye tracking

The right eye position was sampled at 1000 Hz with an EyeLink 1000 (SR Research Ltd.) eye tracker. During eye tracking, a chin- and fore-

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head rest were used to keep the head in place. The tracker was calibrated with a standard 9-point calibration before the first block and after each subsequent block. If necessary, calibration was redone until no calibration point had an error larger than 1°, and the average error was below 0.5°.

The EyeLink 1000 online parser was used to classify the raw data samples into saccades, fixations and blinks. We used the default parameters for detecting saccade on-and offsets: when the eye velocity and acceleration both crossed a threshold of 30°/s and 8000°/s<sup>2</sup>, respectively. We extracted only the first saccade that was detected after the target moved, provided it was larger than 1.5°, to exclude microsaccades made when the participant was still fixating.

### tDCS

tDCS was delivered online (i.e. during performance of the prosaccade task) using a DC-STIMULATOR PLUS (NeuroCare Group GmbH). The current was ramped up to 1 mA in 1 minute, followed by 15 minutes of stimulation at 1 mA, after which the current was ramped down again in 1 minute.

One 3x3 cm electrode (9 cm<sup>2</sup>, current density: 0.11 mA/cm<sup>2</sup>) was placed over the right frontal eye field; the other electrode was 7x5 cm (35 cm<sup>2</sup>, current density: 0.029 mA/cm<sup>2</sup>) and was placed on the left forehead, centered above the eye. The rubber electrodes were fixed to the scalp with Ten20 conductive paste (Weaver & Co.). Participants received either anodal (anode over FEF, cathode on forehead) or cathodal (cathode over FEF, anode on forehead) tDCS, in separate sessions.

Both the participant and the experimenters were blind to the polarity of the stimulation (anodal or cathodal). The experimenter loaded a stimulation setting on the tDCS device (programmed by someone not involved in this study), without knowing whether it was mapped to deliver anodal or cathodal tDCS. In the second session, the electrodes were connected to the positive and negative terminal of the device oppositely to the first session, such that the opposite polarity was applied. The participant was not informed about this difference until after the end of the second session.

Before starting the task, a trial stimulation was given after which participants were explicitly offered to terminate the experiment if the tDCS was too uncomfortable. For the trial stimulation, the current ramped up to 1 mA in 45s, stayed at 1 mA for 15s, and ramped down again in 45s. No participant opted to terminate the experiment.

### Frontal eye field localization

We localized the right frontal eye field for each participant using pre-existing MRI scans. All participants had a T1 structural scan available; for 5 participants we also used functional MRI data from a retinotopic mapping experiment (van Es, Theeuwes, & Knapen, 2017), and targeted retinotopic region sPCS (Mackey, Winawer, & Curtis, 2017).

The presumed location of the FEF was defined as slightly lateral to the superior frontal sulcus, in the anterior bank of the pre-central sulcus (Amiez & Petrides, 2009; Blanke et al., 2000; Vernet, Quentin, Chanes, Mitsumasu, & Valero-Cabré, 2014; Mackey et al., 2017). For the retinotopic mapping data, we used the coordinate of the peak voxel in the cluster positioned closest to this location.

To obtain the MNI coordinates of the presumed FEF for each participant, we used FSL (Jenkinson, Beckmann, Behrens, Woolrich, & Smith, 2012; S. M. Smith et al., 2004) BET (S. M. Smith, 2002) to extract the brain and FLIRT (Jenkinson, Bannister, Brady, & Smith, 2002; Jenkinson & Smith, 2001)) to register it to the MNI152 template.

At the beginning of the first session, neuronavigation was performed using the visor2 system (ANT Neuro). We placed a marker in the imaged brain 5 mm posterior to the presumed FEF location, to increase the likelihood that the current would flow through the FEF from/to the forehead electrode. The location on the scalp directly above this marker (i.e., parallel to the inferior-superior axis) was stained with surgical skin ink. The tDCS electrode was then centered on this ink mark. If the ink mark was no longer visible in the second session, neuronavigation was repeated.

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## Analyses

Data were analyzed using the R programming language (R Core Team, 2018) and several general packages (Wickham & Grolemund, 2017; Wickham, 2017; Robinson & Hayes, 2018; Wilke, 2019) from within RStudio (RStudio Team, 2016).

### *Saccade measures*

To determine the effects of frontal eye field tDCS on eye movement behavior, we examined three different measures, following Kanai et al. (2012): saccade latency, saccade endpoint deviation, and saccade endpoint variability. Saccade latency was defined as the time between the onset of the target stimulus and the onset of the saccade. We computed the median saccade latency instead of the mean, as the distribution of saccade latencies tends to be heavily right-skewed. Saccade endpoint deviation was defined as the Euclidian distance (shortest straight line) between the saccade endpoint and the actual target position. Saccade endpoint variability was defined as the standard deviation of the horizontal coordinates of the saccade endpoints.

### *Quantile analysis*

To improve sensitivity, we also probed for potential differences between anodal and cathodal tDCS across the entire distribution of saccade latencies. For instance, it is conceivable that tDCS has no effect on median saccade latency, but only on very fast (or slow) saccades, as these may involve different cognitive or neurophysiological processes.

We therefore created *shift functions* (Rousselet, Pernet, & Wilcox, 2017) based on the saccade latency distributions for each subject and condition. In this method, the deciles of each distribution (i.e. the 9 values that split the distribution in 10 equal parts) are computed using a Harrel-Davis quantile estimator (Harrell & Davis, 1982). For each subject and condition, the deciles for the anodal and cathodal distributions were then subtracted, and 95% confidence intervals of the decile differences were computed using a percentile bootstrap (Wilcox & Erceg-Hurn, 2012). For each individual subject, significance is then

assessed and corrected for the 9 decile comparisons using Hochberg's method (Hochberg, 1988). We report the average shift function across participants and the number of subjects that show a significant difference for each decile.

### ***Trial selection***

Following Kanai et al. (2012), we rejected saccades when (1) eye position at saccade onset deviated from fixation (i.e., the previous target location) by more than  $1.8^\circ$ , (2) the saccade endpoint deviated from the target position by more than  $8^\circ$  (e.g., if participants made a saccade in the wrong direction), (3) saccade latency was below 50 ms, or (4) saccade latency exceeded 400 ms. We did not reject any saccades for the quantile analyses, because the tails of the saccade latency distribution were of primary interest, and fixation and saccade errors were rare (see [Participant and saccade exclusion](#) in the [Results](#) section).

The remaining trials were collapsed across three blocks within one phase of the experiment (e.g., all the blocks during tDCS) to maximize the amount of trials that went into each average. Data were therefore analyzed over four time periods: *baseline*, *tDCS*, *post-1* and *post-2*.

### ***Statistics***

For each saccade measure, paired-sample t-tests were run on the baseline data of each session (i.e., anodal baseline vs. cathodal baseline), to check for any differences prior to stimulation onset. Subsequently, we subtracted the average scores during the *baseline* period from the three other periods (*tDCS*, *post-1* and *post-2*), to assess the change from baseline for each individual.

Repeated measures ANOVAs were conducted (Lawrence, 2016) with the same factors as Kanai et al. (2012): Stimulation (anodal vs. cathodal), Time Period (during tDCS, post-tDCS [0-15 min], post-tDCS [15-30 min]), and Direction (saccade to the left vs. saccade to the right). Statistics for all main effects and interactions involving the Stimulation factor are reported in tables. We ran separate ANOVAs for lateral saccades and center saccades, because Kanai et al. (2012)

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did not measure the latter. Effect sizes were computed as generalized eta squared ( $\eta_G^2$ ) (Bakeman, 2005). Violations of the assumption of sphericity were detected with Mauchly's test, in which case Greenhouse-Geisser corrected p-values are reported. Paired sample t-tests were conducted to follow-up on significant effects in the repeated measures ANOVA.

We also conducted Bayesian analogues of these repeated measures ANOVAs (Rouder, Morey, Speckman, & Province, 2012; Rouder, Morey, Verhagen, Swagman, & Wagenmakers, 2016) using the BayesFactor R package (Morey & Rouder, 2018) with the default prior specification. Bayes factors are reported both in terms of evidence for the alternative hypothesis ( $BF_{10}$ ) as well as the null hypothesis ( $BF_{01}$ ). We used the scheme from Wagenmakers, Love, et al. (2018) to classify the strength of the evidence (e.g. a BF from 1–3 can be considered “anecdotal” evidence, BFs 3–10 “moderate” evidence, etc.). We computed Bayes Factors comparing the null model (intercept and random effect of participant) against all other models, only excluding models containing exact cross-over interactions (i.e. interactions without the constituent main effect), to decrease the model space (Rouder et al., 2016).

Still, with 3 factors in the design, this analysis produces 19 Bayes factors, complicating model comparison (Wagenmakers, Love, et al., 2018), and comparison of the Bayesian and the classical ANOVAs. We therefore also quantified the evidence for experimental effects instead of just individual models, by computing an “inclusion Bayes factor across matched models” (concept and terminology borrowed from the JASP software package (JASP Team, 2018)). Briefly, for each effect, this Bayes Factor compares two subsets of models: (1) the subset of models that contain the effect of interest, but no higher order interactions; (2) the subset of models that result from stripping the effect of interest from (1). The inclusion Bayes factor thus reflects the evidence for an effect of interest, based not on just a single model, but on the posterior probabilities of all models that include this effect. Bayesian paired-sample t-tests were conducted to follow-up on effects with an inclusion BF higher than 10 (“strong”, “very strong”, or “extreme” evidence (Wagenmakers, Love, et al., 2018)).

## Data, materials, and code availability

All code used for this study is available on GitHub<sup>1</sup>, including R notebooks (Xie, 2015) that demonstrate how to reproduce all the results, figures and statistics from the data. The eye tracking, questionnaire and meta-data can be downloaded from a figshare repository (Reteig, Knapen, Roelofs, Ridderinkhof, & Slagter, 2018b). All of these and additional resources can be found on this study's page on the Open Science Framework<sup>2</sup>.

## 3.3 Results

### Participant and saccade exclusion

Data from 26 participants were included in the analyses. 14 participants received anodal before cathodal stimulation; 12 participants received cathodal before anodal stimulation. Two participants were excluded because their two sessions were separated by less than 48 hours due to a scheduling error. Three more participants were excluded because they had fewer than 50 saccades left per cell after rejecting outlier saccades. For the remaining 26 participants, 2.0% of all saccades were rejected because they were too fast (latency  $< 50$  ms), and 2.6% were rejected because fixation was inaccurate (deviation  $> 1.8^\circ$ ). Too slow saccades (.12%) and saccade direction errors were almost non-existent (.16%). This left an average of 175 lateral saccades (range: 142–180) and 156 center saccades (range: 74–180) per cell.

### Neuronavigation

Figure 3.3 shows the MNI coordinates of the presumed right frontal eye field. While there is some spread (see Table A.2 in Appendix A for the coordinates of all participants), the average coordinate (31.5, -1.8, 51.6) matched the anatomical definition we used for the individual MRIs: slightly anterior to the pre-central sulcus and slightly lateral to

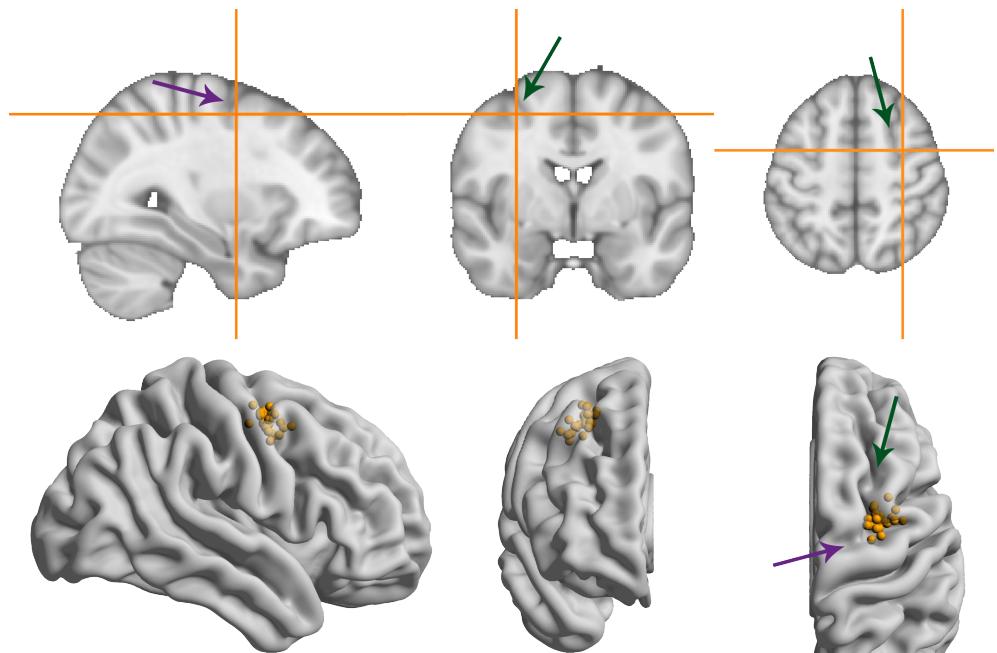
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<sup>1</sup><https://doi.org/10.5281/zenodo.1410502>

<sup>2</sup><https://doi.org/10.17605/OSF.IO/8JPV9>

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**FIGURE 3.3 MNI coordinates of the right frontal eye field.** Green/more vertical arrows indicate the superior frontal sulcus, purple/more horizontal arrows indicate the pre-central sulcus. **(A)** Average MNI coordinate across participants. **(B)** Coordinates for individual participants overlaid on a glass brain representation of the MNI template using Surf Ice software (Rorden, 2017).

the superior frontal sulcus. The average coordinate also lies close to the one used in Kanai et al. (2012), which was taken from Paus (1996) (31.3, -4.5, 50.9).

#### Median saccade latency

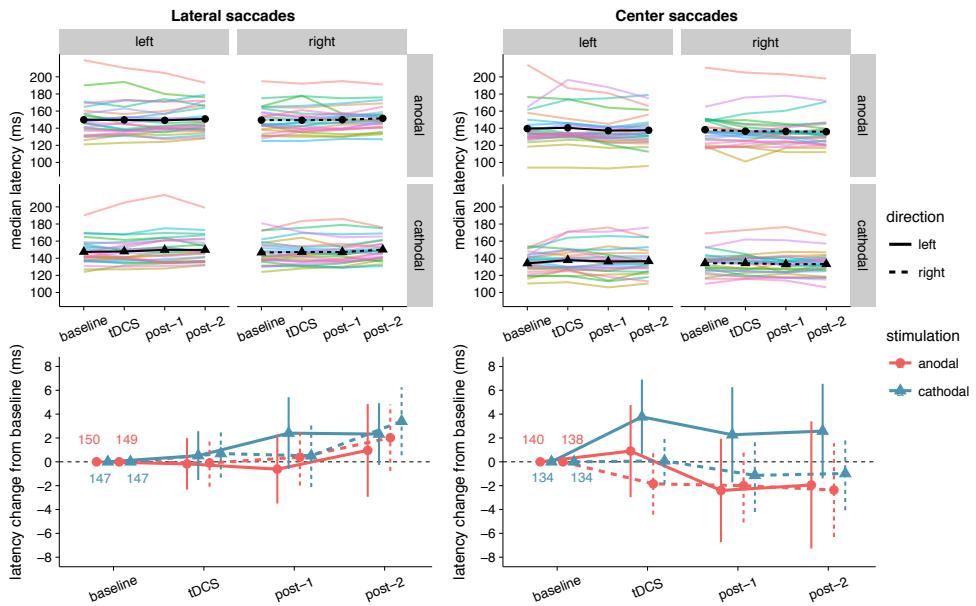
We hypothesized that anodal tDCS would increase excitability of the frontal eye field, such that the threshold for making a saccade would be reached sooner. Specifically, we predicted a decrease in median latency of leftward saccades (contralateral to the stimulated right FEF), based on earlier findings that anodal tDCS speeded contralateral saccades by 6.4 ms compared to baseline (Kanai et al., 2012).

The latency changes in our data were more modest and did not exceed 4 ms for any condition (Figure 3.4). In contrast to Kanai et al. (2012), we found no effect of anodal tDCS on contralateral saccade latency, as reflected in a non-significant interaction between Stimulation and Saccade Direction for lateral saccades, and moderate evidence for the null hypothesis (Table 3.1). The average change from baseline for leftward lateral saccades in the anodal session were all less than 1 ms (tDCS:  $M = -0.17$ ,  $SD = 5.34$ ; post-1:  $M = -0.62$ ,  $SD = 7.13$ ; post-2:  $M = 0.96$ ,  $SD = 9.65$ ).

Anodal or cathodal tDCS also did not seem to affect lateral saccade latency in other ways: all the effects with the factor Stimulation were non-significant and the null-hypothesis was always supported more than the alternative. From the full ANOVA for lateral saccades, the only significant effects were a main effect of Time Period ( $F(2,50) = 3.46$ ,  $p = .039$ ,  $\eta^2_G = 0.02$ ) and a Time Period by Saccade Direction interaction ( $F(2,50) = 3.66$ ,  $p = .033$ ,  $\eta^2_G = 0.002$ ).

Center saccade latency also appeared to be unaffected, as there was no statistical evidence for an interaction of Stimulation with Time Period and/or Saccade Direction (Table 3.1). Yet, there was very strong evidence for a main effect of Stimulation in the Bayesian ANOVA. Curiously, this effect was non-significant in the classical ANOVA. This divergence compelled us to delve into the single-subject data, which revealed that one participant showed an effect that was much larger than the other participants (a difference between anodal and cathodal of around 30 ms). When we reran the Bayesian ANOVA without this participant, the inclusion  $BF_{10}$  plummeted from 67.1 to 2.4. This participant may have induced a violation of certain assumptions for the Bayesian model, which caused it to behave differently than the classical ANOVA. Still, we decided to run follow-up one-sample tests with this participant included, which showed that latency did not significantly change from baseline for either anodal ( $p = .33$ ,  $BF_{01} = 3.11$ ) or cathodal ( $p = .41$ ,  $BF_{01} = 3.52$ ) tDCS. Thus, we conclude that our hypothesis that anodal tDCS would decrease median contralateral saccade latency is not supported, and that tDCS had no other effects on median saccade latency.

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**FIGURE 3.4 Effects of frontal eye field tDCS on saccade latency.** Data are shown for left vs. rightward saccades, in the anodal vs. cathodal session, for four 15-minute time periods: baseline, during tDCS, and after tDCS (post-1 and post-2). **Top row:** Colored lines show data from individual participants; black lines show the group median. **Bottom row:** Change in saccade latency after baseline subtraction. Numbers inside the plot axes are the baseline saccade latencies per condition. Error bars show 95% confidence intervals of the pairwise difference between baseline and each subsequent time period.

**TABLE 3.1 Classical and Bayesian repeated measures ANOVA results for saccade latency.** Factors: *Stimulation* (anodal vs. cathodal), *Time Period* (during tDCS, post-tDCS [0-15 min], post-tDCS [0-30 min]), *Direction* (saccade to the left vs. saccade to the right).

Effect	df	F	$\eta^2_G$	p	$BF_{10}$	$BF_{01}$
<b>lateral saccades</b>						
Stimulation	1, 25	0.80	.009	.38	0.76	1.32
Stimulation x Direction	1, 25	0.52	.001	.48	0.22	4.56

Effect	df	F	$\eta^2_G$	p	BF <sub>10</sub>	BF <sub>01</sub>
Stimulation x Time Period	2, 50	0.40	.0008	.67	0.074	13.5
Stimulation x Direction x Time Period	2, 50	2.59	.003	.085	0.18	5.64
<b>center saccades</b>						
Stimulation	1, 25	3.09	.023	.091	67.2	0.015
Stimulation x Direction	1, 25	1.88	.006	.18	0.74	1.34
Stimulation x Time Period	2, 50	0.11	.0002	.90	0.066	15.1
Stimulation x Direction x Time Period	2, 50	1.96	.001	.15	0.19	5.40

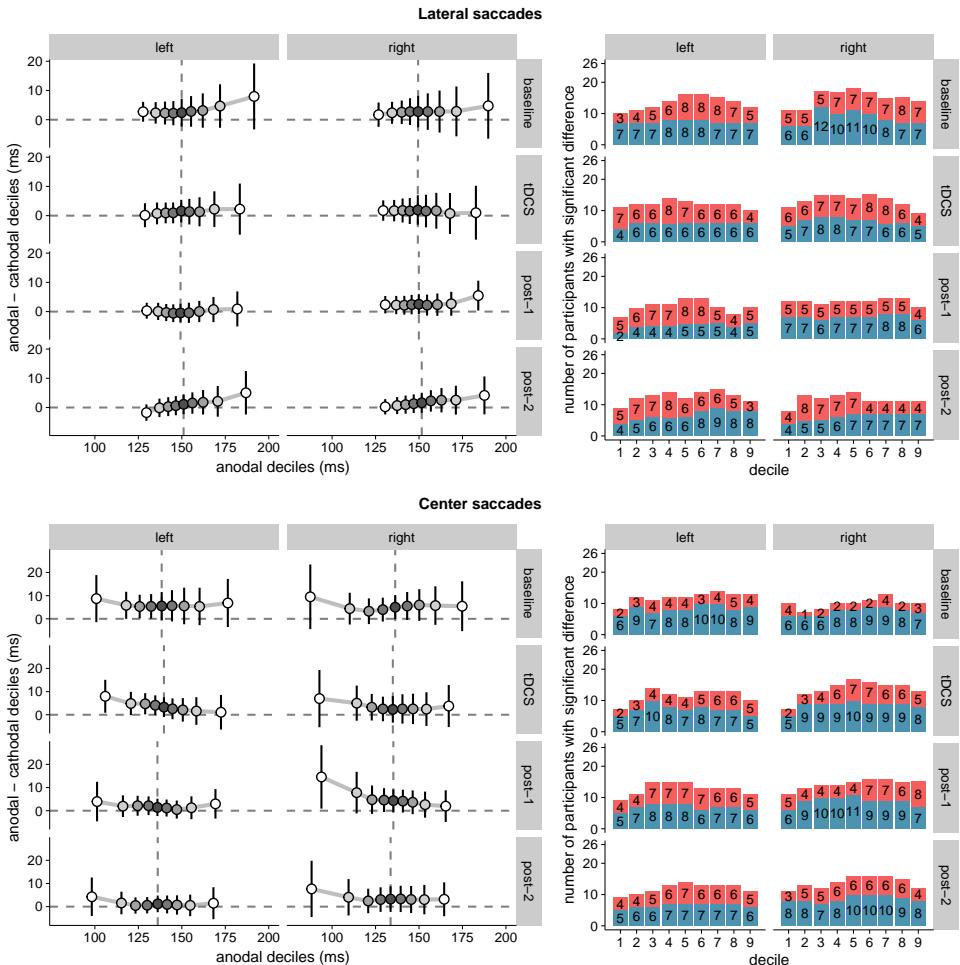
### Saccade latency distribution

Because the hypothesized effect on median saccade latency was absent, we conducted an additional exploratory analysis (see [Quantile analysis](#) in the [Material and Methods](#) section) by comparing the entire saccade latency distributions between the anodal and cathodal sessions (Figure 3.5). Across the board, saccade latencies in the cathodal session were slightly faster than the anodal session, which is opposite to the hypothesized effect of tDCS on FEF excitability. For lateral saccades, the slowest saccades seem to show the biggest difference in latency between the sessions; for center saccades, differences were most pronounced in the fastest saccades. However, these differences were already present in the baseline block, and appear to be driven by a small number of participants. Overall, effects were never significant in the same direction in more than 12 (out of 26) participants.

### Saccade endpoint deviation

No significant effects of tDCS on saccade endpoint deviation were expected, as none were found in Kanai et al. (2012). Yet, at first glance the data seem to show that accuracy improved (i.e. endpoint deviation decreased) with cathodal tDCS (Figure 3.6). There was a significant and rather large main effect of Stimulation for center saccades, supported by moderate (lateral saccades) to extreme (center saccades) evidence

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**FIGURE 3.5 Shift functions of saccade latency distributions under anodal and cathodal tDCS.** Data are shown for left- vs. rightward saccades for four 15-minute time periods: baseline, during tDCS, and after tDCS (post-1 and post-2). **Left column:** The x-axis shows saccade latencies for the 9 deciles in the anodal session. The median is plotted as a vertical dashed line. The y-axis shows the difference scores (anodal - cathodal) at each decile. These decile differences express by how much latencies for the cathodal deciles should be *shifted* to match the anodal deciles. Positive differences mean that cathodal saccades had lower latencies than anodal saccades. Error bars show 95% confidence intervals of the decile differences. **Right column:** Counts of participants showing significant effects for the difference between anodal and cathodal sessions at each decile. Red/top bars count the number of participants with faster anodal saccade latencies; blue/bottom bars show counts for faster cathodal latencies. 26 participants is the maximum; the exact number for each contrast is superimposed on the bars.

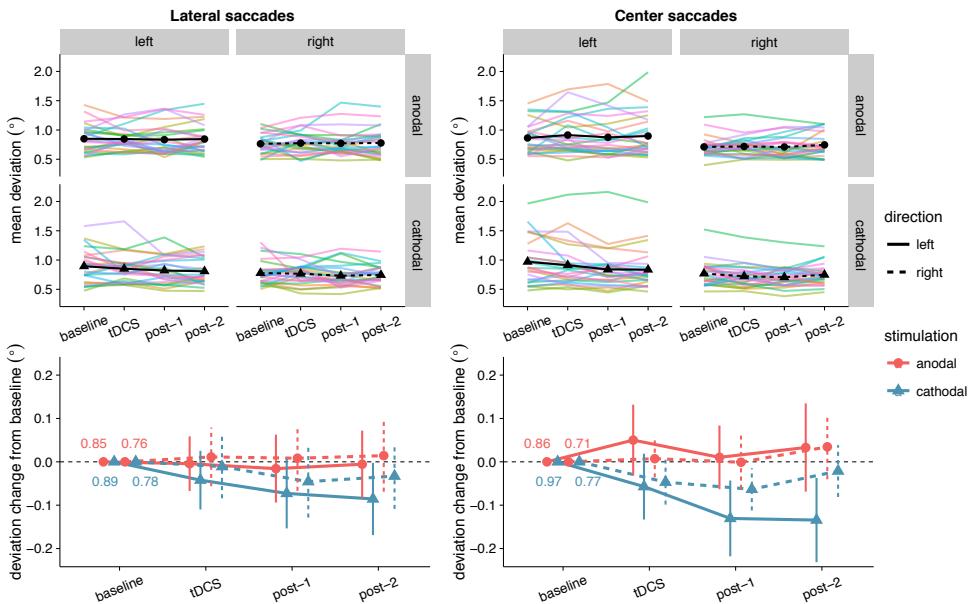
(Table 3.2). Follow-up one-sample tests for center saccades showed that endpoint deviation only changed significantly from baseline in the cathodal session ( $p = .004$ ,  $BF_{10} = 10.5$ ), not the anodal session ( $p = .34$ ,  $BF_{01} = 3.15$ ).

However, this interpretation is muddled by a difference between anodal and cathodal in the baseline, so before tDCS onset (Figure 3.6). For center saccades, the difference was in fact larger in the baseline than at any other time period (left: mean difference =  $-0.11^\circ$ , 95% CI =  $-0.20^\circ - -0.01^\circ$ ,  $p = .025$ ; right: mean difference =  $-0.06^\circ$ , 95% CI =  $-0.11^\circ - 0.00^\circ$ ,  $p = .066$ ). For example, during tDCS, this difference between anodal and cathodal had completely disappeared (left:  $M_{\text{anodal}} = 0.91^\circ = M_{\text{cathodal}} = 0.91^\circ$ ; right:  $M_{\text{anodal}} = M_{\text{cathodal}} = 0.72^\circ$ ), as endpoint deviation in the anodal session increased from baseline, while it decreased in the cathodal session, thereby cancelling out the baseline difference. Thus, like in Kanai et al. (2012), our results do not appear to support an effect of tDCS on saccade endpoint deviation.

TABLE 3.2 Classical and Bayesian repeated measures ANOVA results for saccade endpoint deviation. Factors: *Stimulation* (anodal vs. cathodal), *Time Period* (during tDCS, post-tDCS [0-15 min], post-tDCS [0-30 min]), *Direction* (saccade to the left vs. saccade to the right).

Effect	df	F	$\eta^2_G$	p	$BF_{10}$	$BF_{01}$
<b>lateral saccades</b>						
Stimulation	1, 25	2.03	.018	.17	6.64	0.15
Stimulation x Direction	1, 25	0.13	.001	.72	0.19	5.21
Stimulation x Time Period	2, 50	0.59	.002	.56	0.084	12.0
Stimulation x Direction x Time Period	2, 50	0.28	.0003	.76	0.12	8.19
<b>center saccades</b>						
Stimulation	1, 25	10.34	.070	.004	42,209	0.00002
Stimulation x Direction	1, 25	2.80	.013	.11	1.69	0.59
Stimulation x Time Period	2, 50	0.61	.001	.55	0.079	12.7
Stimulation x Direction x Time Period	2, 50	0.59	.001	.56	0.11	8.73

### 3. FEF-tDCS does not affect saccades

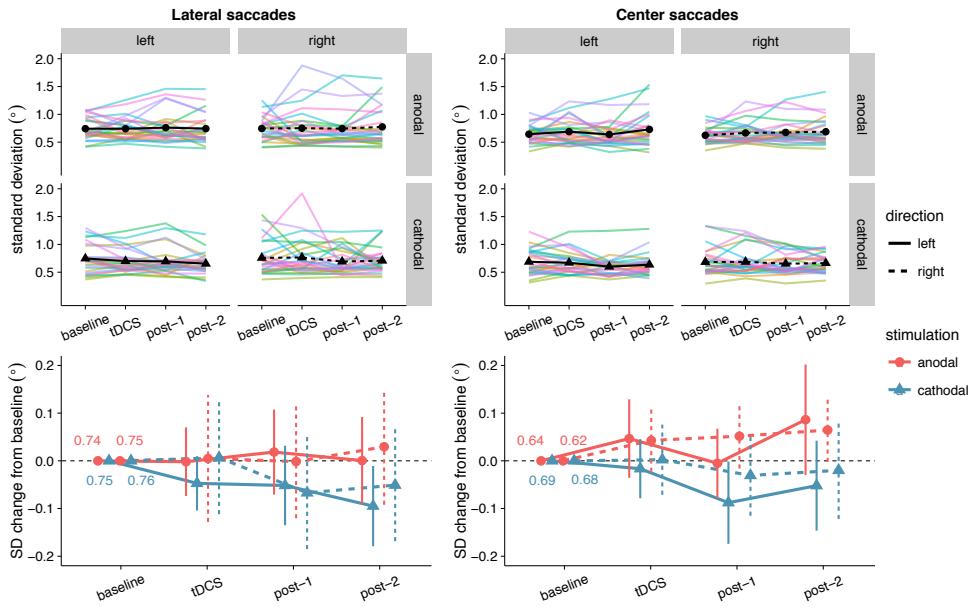


**FIGURE 3.6 Effects of frontal eye field tDCS on saccade endpoint deviation.**

Data are shown for left vs. rightward saccades, in the anodal vs. cathodal session, averaged over four 15-minute time periods: baseline, during tDCS, and after tDCS (post-1 and post-2). **Top row:** Colored lines show data from individual participants; black lines show the group median. **Bottom row:** Change in saccade endpoint deviation after baseline subtraction. Numbers inside the plot axes are the baseline saccade endpoint deviations. Error bars show 95% confidence intervals of the pairwise difference between baseline and each subsequent time period.

### Saccade endpoint variability

Like for saccade endpoint deviation, we had no specific hypotheses on endpoint variability, as Kanai et al. (2012) obtained no effects. However, like the decrease in endpoint deviation, cathodal tDCS also appeared to decrease saccade endpoint variability (Figure 3.7). For center saccades, there was extreme evidence for inclusion of the main effect of Stimulation in the Bayesian ANOVA, yet the effect only approached significance in the classical ANOVA (Table 3.3). However, while the variability changes in the anodal and cathodal sessions may



**FIGURE 3.7 Effects of frontal eye field tDCS on saccade endpoint variability.**

Data are shown for left vs. rightward saccades, in the anodal vs. cathodal session, averaged over four 15-minute time periods: baseline, during tDCS, and after tDCS (post-1 and post-2). **Top row:** Colored lines show data from individual participants; black lines show the group median. **Bottom row:** Change in saccade endpoint variability after baseline subtraction. Numbers inside the plot axes are the baseline saccade endpoint variability values. Error bars show 95% confidence intervals of the pairwise difference between baseline and each subsequent time period.

have differed from each other, follow-up one sample tests showed that neither anodal ( $p = .11$ ,  $BF_{01} = 1.40$ ) nor cathodal ( $p = .23$ ,  $BF_{01} = 2.44$ ) changed significantly from baseline. Thus, saccade endpoint variability also does not seem to be affected by tDCS, conform the findings of Kanai et al. (2012).

### 3. FEF-tDCS does not affect saccades

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**TABLE 3.3 Classical and Bayesian repeated measures ANOVA results for saccade endpoint variability.** Factors: *Stimulation* (anodal vs. cathodal), *Time Period* (during tDCS, post-tDCS [0-15 min], post-tDCS [0-30 min]), *Direction* (saccade to the left vs. saccade to the right).

Effect	df	F	$\eta^2_G$	p	$BF_{10}$	$BF_{01}$
<b>lateral saccades</b>						
Stimulation	1, 25	1.22	.014	.28	1.63	0.61
Stimulation x Direction	1, 25	0.12	.0005	.73	0.19	5.28
Stimulation x Time Period	2, 50	1.12	.003	.33	0.11	9.48
Stimulation x Direction x Time Period	2, 50	0.19	.0003	.83	0.089	11.2
<b>center saccades</b>						
Stimulation	1, 25	3.89	.040	.060	145	0.007
Stimulation x Direction	1, 25	0.17	.001	.68	0.22	4.63
Stimulation x Time Period	2, 50	1.18	.004	.32	0.11	8.89
Stimulation x Direction x Time Period	2, 50	0.47	.0007	.63	0.12	8.30

## 3.4 Discussion

Given the central role the frontal eye field plays in spatial attention, we wanted to examine whether FEF activity could be reliably influenced through tDCS. As the FEF is involved in initiation of eye movements, we measured latency and accuracy of prosaccades to evaluate the effects of tDCS. Our study was based on earlier work (Kanai et al., 2012), which reported that anodal tDCS could speed saccades to targets contralateral to the stimulated FEF. To summarize our results, we were unable to replicate the main effect of Kanai et al. (2012): anodal tDCS did not decrease the latency of contralateral prosaccades. We also found no effects on saccade accuracy, though neither did Kanai et al. (2012).

For saccades back to the center location, Bayesian analyses provided evidence for a differing effect of anodal and cathodal tDCS (regardless of whether saccades were ipsi-/contralateral, or whether they

were made during/after stimulation) on all measures we examined: saccade latency, saccade endpoint deviation and saccade endpoint variability. However, in the case of latency and endpoint variability, the corresponding classical analysis was non-significant. Also, follow-up tests (both Bayesian and classical) showed that scores in neither the anodal nor cathodal condition changed significantly from baseline. For endpoint deviation, there was a significant difference between the anodal and cathodal sessions in the baseline, which might have driven the effect. We are therefore hesitant to interpret any of these effects as genuine changes caused by frontal eye field tDCS. Likewise, our shift function analysis painted a complex pattern of differences in the saccade latency distributions for the anodal and cathodal sessions. But these varied highly between individuals and did not seem to exceed the differences that were already present in the baseline block. Collectively, these results do not support an effect of FEF-tDCS on the speed or accuracy of eye movements, and add to a growing body of work that found no results of FEF-tDCS (Chen & Machado, 2017; Ball et al., 2013; Ellison et al., 2014), and tDCS in general (Medina & Cason, 2017; Vöröslakos et al., 2018).

Out of all these results, our null finding for saccade latency is the most surprising. Why did Kanai et al. (2012) find that anodal tDCS speeded (contralateral) saccades, but we did not? Our study should not be considered a direct replication of Kanai et al. (2012), and there are a number of methodological differences between the two. We have tried to enumerate and explain all of them in Table 3.4. Some are clear and simple improvements, such as the increased statistical power, trial count, and eye tracker sampling rate. Others are more ambiguous: of course, each change was made with the aim to increase the size of the tDCS effect, but each change could also be the cause of why we no longer obtain an effect at all. If that is the case, the changes to the stimulation duration and electrode montage would likely have had the most consequences.

TABLE 3.4 Methodological differences between Kanai et al. (2012) and the present study.

Difference	Here	Kanai et al. (2012)	Reason
Sample size and design	n=26, within-subject design	n=32, between-subject design	More observations per cell, less influence of between-subject variability
FEF localization	MRI-guided per individual	Group MRI coordinate	More power (Sack et al., 2009)
tDCS: duration	15 minutes	10 minutes	More trials during stimulation; possibly increase tDCS effect
tDCS: location	Right FEF	Right or left FEF	Right FEF is dominant (Duecker & Sack, 2015)
tDCS: montage	FEF, contralateral forehead	FEF, ipsilateral shoulder	Decreased interelectrode distance increases effect (Moliadze, Antal, & Paulus, 2010; Opitz et al., 2015). Resembles canonical motor cortex montage
tDCS: conductive medium	Ten20 conductive paste	Saline soaked sponges	Uniform electrode-skin contact, no risk of excess/leaking saline
Number of saccades per condition	180 (per 15 minutes)	40 (per 10 minutes)	More robust estimates within each participant
Task: stimulus overlap	No overlap of fixation and target	Fixation point always on	Possibility to analyze saccades back to fixation (center)
Task: placeholders	None	Target location marked with placeholders	Spatial uncertainty might create more room for improvements in accuracy with tDCS
Task: inter-stimulus interval	Exponential distribution: mean 500 ms, bounds 300-3000 ms	Normal distribution, bounds: 300-700 ms	Temporally more unpredictable target onsets
Eye tracker: sampling rate	1000 Hz	250 Hz	More adequate resolution for small effects
Eye tracker: saccade threshold	> 30 °/s velocity & > 8000 °/s <sup>2</sup> acceleration	> 26.8 °/s velocity	(Eyelink standards)

We increased the stimulation duration from 10 to 15 minutes, in order to have more trials during tDCS and possibly a larger neural effect. But longer stimulation durations do not necessarily scale linearly with the effect of tDCS. For example, changing the stimulation duration from 20 to 26 minutes changed the effect of anodal tDCS on motor-evoked potentials from excitatory to inhibitory (Monte-Silva et al., 2013). In addition, we changed the location of the second electrode from the shoulder to the forehead, to more closely resemble the canonical montage used in motor cortex tDCS, and because decreasing the inter-electrode distance can enhance the effect of tDCS (Moliadze et al., 2010). However, next to applying tDCS over the right frontal eye field, it is possible that we now also delivered opposite polarity stimulation to left anterior frontal brain structures. In addition, the exact montage determines to a large extent which brain structures will be in the path of the current—not just those directly under the electrodes, but also those in between (Opitz et al., 2015), as well as distant structures that are anatomically connected (Wokke et al., 2015).

We stress that Kanai et al. (2012) also did an experiment with a different electrode montage, in which they delivered bilateral tDCS by placing the anode over the left or right FEF and the cathode over the other FEF (counterbalanced across participants). This montage produced a similar effect, but actually was more effective: tDCS now also speeded saccades contralateral to the anode, but the effect was bigger (7.8 vs. 6.4 ms), and follow-up tests revealed that it was significant at more time points (from 0–30 min after tDCS vs. only 10–20 minutes after tDCS). Nevertheless, we chose to go with a unilateral montage, to be sensitive to possible lateralization of effects. With a bilateral montage, it is impossible to tell whether the effect stems from anodal tDCS to one FEF, cathodal tDCS to the other FEF, or from both at the same time.

Our study was not the first that found no effect of tDCS on saccade latency. Chen and Machado (2017) also set out to replicate this effect, and were also unsuccessful. Like ours, their study was not a direct replication and differed from the protocol used by Kanai et al. (2012) in multiple ways. Specifically, they did not perform MRI-based neuronavigation, and postulated that this might have been the prime

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reason for why they did not find any effects of tDCS. Although they did place the second electrode on the shoulder, like Kanai et al. (2012), Chen and Machado (2017) also suggest that future studies place it on the left forehead (following the conventional stimulation setup for the motor cortex). Strikingly, our study followed both suggestions (even though our data were collected before their study was published), so it appears these two factors were not responsible for the discrepant results after all.

In addition to the methods, there was also a difference between these studies in average saccade latency. In our study, participants were on average faster (~150 ms for lateral saccades) than in Kanai et al. (2012) (~180 ms). The average center saccade latency was faster still (~135 ms), presumably because the target location was known beforehand in this case. This could be because of changes to the task we made (see Table 3.4), specifically to have no overlap between target and fixation, and to have no placeholders at the target locations. Both of these are known to reduce saccade latency (Sumner, 2011). Curiously, Chen and Machado (2017) made similar task modifications, but yet obtained not faster but slower saccade latencies (~200 ms).

Although our saccade latencies were faster in the baseline block already, which was thus clearly unrelated to tDCS, this could have diminished the effectiveness of tDCS. The relatively fast latencies could be due to increased inhibition of fixation and an increased proportion of very fast saccades, which rely more on other structures like the superior colliculus (Munoz & Wurtz, 1992; Munoz & Fecteau, 2002) than the frontal eye field. The frontal eye field itself is also not functionally homogeneous: it contains many types of cells (Lowe & Schall, 2017), not just neurons that initiate eye movements, but also those that promote fixation. Even if the frontal eye field was effectively stimulated, there may have been no net effect of tDCS, as the opposing actions of the different cell types could cancel each other out.

Relatedly, the fast saccade latencies could indicate that there was little room for improvement left, and that this task was thus too simple to fully recruit the frontal eye fields. The frontal eye fields are more involved in more effortful tasks, and FEF activity most strongly reflects top-down control (Schafer & Moore, 2011). Lesions of the frontal

eye field also impact antisaccades and memory-guided saccades more heavily than simple prosaccades (Rivaud, Müri, Gaymard, Vermersch, & Pierrot-Deseilligny, 1994). However, other FEF-tDCS studies that have used more complex tasks like visual search (Ball et al., 2013; Ellison et al., 2017) or the antisaccade task (Chen & Machado, 2017) still found no effects of tDCS.

Perhaps the explanation is also less interesting: the effect could have simply been too small to detect. In Kanai et al. (2012) the reductions in latency produced by tDCS were already fairly modest, especially considering that pioneering studies tend to overestimate effect sizes (Ioannidis, 2008). The neural effects of tDCS itself may also be smaller than anticipated, as recent studies found that the strength of the electric field in the brain is at the lower bound for it to be physiologically effective (Huang et al., 2017; Vöröslakos et al., 2018). As tDCS effects are increasingly viewed as state-dependent, non-linear (Fertonani & Miniussi, 2017; Bestmann et al., 2015) and subject to individual variability (L. M. Li, Uehara, & Hanakawa, 2015; Krause & Cohen Kadosh, 2014), it might be necessary for future studies to use much larger sample sizes (Minarik et al., 2016). It is also vital that future studies employ a sham condition, as there is no a priori guarantee that the anodal/cathodal dichotomy holds for other brain areas (Bestmann & Walsh, 2017) like the frontal eye field.

Such large, well-controlled and more informed (Polanía, Nitsche, & Ruff, 2018) studies will be necessary to more clearly establish the boundary conditions of tDCS effects, especially when extending the technique to new brain areas. In the present work, we tried to do so by performing a conceptual replication of the first frontal eye field tDCS study (Kanai et al., 2012). As tDCS did not reliably affect saccade latency or accuracy, we conclude that the efficacy of frontal eye field tDCS remains uncertain.



## CHAPTER 4

# Effects of tDCS on the attentional blink revisited: A statistical evaluation of a replication attempt

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*This chapter is in preparation as:* Reteig, L. C., Newman, L. A., Ridderinkhof, K. R., & Slagter, H. A. (n.d.). Effects of tDCS on the attentional blink revisited: A statistical evaluation of a replication attempt.

**ABSTRACT** The attentional blink (AB) phenomenon reveals a bottleneck of human information processing: the second of two targets is often missed when they are presented in rapid succession among distractors. A recent study by London & Slagter (*Journal of Cognitive Neuroscience*, 27, 2382–93, 2015) showed that the size of the AB can be changed by applying transcranial direct current stimulation (tDCS) over the left dorsolateral prefrontal cortex (LDLPC). Although AB size at the group level remained unchanged, the effects of anodal and cathodal tDCS were negatively correlated: if a given individual's AB size decreased from baseline during anodal tDCS, their AB size would increase during cathodal tDCS, and vice versa. Here, we attempted to replicate this finding. Like London & Slagter, we found no group effects of tDCS, but also no longer found a significant negative correlation. We present a series of statistical measures of replication success, all of which confirm that both studies are not in agreement. First, the correlation here is significantly smaller than a conservative estimate of the original correlation. Second, the difference between the correlations is greater than expected due to sampling error, and our data are more consistent with a zero-effect than with the original estimate. Finally, the overall effect when combining both studies is small and not significant. Our findings thus indicate that the effects of LDLFC-tDCS on the AB are less substantial than suggested by London & Slagter (2015). Although this should be quite a common scenario, negative findings can be difficult to interpret and are still under-represented in the brain stimulation and cognitive neuroscience literatures. An auxiliary goal of this chapter is therefore to provide a tutorial for other researchers, to maximize the evidential value from negative findings.

## 4.1 Introduction

The *attentional blink* (AB) phenomenon clearly demonstrates that our capacity to process incoming information is easily overwhelmed. The AB occurs when two targets are embedded in a rapidly presented stream of distractors (Raymond et al., 1992; for reviews, see Dux & Marois, 2009; Martens & Wyble, 2010). The first target (T1) is usually reported with little difficulty. When there is a longer lag between the two targets (> 500 ms; M. H. MacLean & Arnell, 2012), accuracy for the second target (T2) can be on par with the first. However, for shorter lags, T2 is most often missed—as if the attentional system momentarily faltered (“blinked”).

While the AB might seem to be a fundamental bottleneck, it can under some circumstances be overcome. For example, the size of the AB can be reduced by distracting activities (Olivers & Nieuwenhuis, 2005; Olivers & Nieuwenhuis, 2006; Thomson, Ralph, Besner, & Smilek, 2015), or after following an intensive mental training program (Slagter et al., 2007). Others have tried to use non-invasive brain stimulation (Dayan et al., 2013) as a means to influence the AB. Several studies have shown that repetitive transcranial magnetic stimulation (TMS) can improve target perception in AB tasks (Cooper, Humphreys, Hulleman, Praamstra, & Georgeson, 2004; Arasanz, Staines, & Schweizer, 2012; Esterman et al., 2017). Yet, as TMS did not show a differential effect for targets presented at shorter or longer lags, it did not affect the AB itself.

Transcranial direct current stimulation (tDCS) is another brain stimulation technique that has gained traction in the past two decades. In tDCS, an electrical current flows between an anodal and cathodal electrode, which can affect the excitability of the underlying cortex (Gebodh et al., 2019). Anodal stimulation generally enhances cortical excitability, while cathodal stimulation may have an inhibitory effect (Nitsche & Paulus, 2000; Nitsche & Paulus, 2001) (though note that this does not hold in all cases (Parkin, Bhandari, Glen, & Walsh, 2018), and the underlying physiology is complex (Bikson et al., 2019; Liu et al., 2018; Stagg et al., 2018)).

London and Slagter (2015) were the first to examine the effects of tDCS on the AB. They applied anodal and cathodal tDCS over the left

#### 4. Replicating tDCS effects on the attentional blink

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dorsolateral prefrontal cortex (DLPFC, with the other electrode on the right forehead)—one of the core brain areas implicated in the AB (Slagter et al., 2010; Hommel et al., 2006). At the group level, tDCS did not appear to have any effects on AB size. However, anodal and cathodal tDCS did appear to systematically affect the AB within individuals, as their effects were negatively correlated. For a given individual, this negative correlation implies that when AB size increased (compared to a baseline measurement) during anodal tDCS, AB size would decrease during cathodal tDCS (or vice versa).

London and Slagter's (2015) findings mesh well with earlier literature showing large individual differences in both the AB (Willems & Martens, 2016) and effects of tDCS (Krause & Cohen Kadosh, 2014). However, it remains the only tDCS study of the AB to date. Also, the negative correlation between the effects of anodal and cathodal tDCS was based on an exploratory analysis. We thus decided to conduct another study aiming to replicate this finding.

To foreshadow our results, like London and Slagter (2015), we do not find a group effect of tDCS on the AB. However, the correlation between the effects of anodal and cathodal tDCS was also not significant. Although this indicates that the two studies may differ, a failure to reject the null hypothesis by itself does not tell us much (Harms & Lakens, 2018): it is crucial to also take measures of the uncertainty and effect size in both studies into account (Simonsohn, 2015).

Therefore, we employ a number of statistical methods to maximize the evidential value in these two studies. We ask three questions that all aim to evaluate to what extent the present study is a successful replication (Zwaan, Etz, Lucas, & Donnellan, 2018; cf. Camerer et al., 2018;

Open Science Collaboration, 2015) of London and Slagter (2015). First, while the effect in our study was not significant, there might still be a meaningful effect that is simply smaller than anticipated. Therefore, we used equivalence testing (Lakens, Scheel, & Isager, 2018) to answer the question “*is the correlation in study 2 significantly small?*”. Second, although our result differs from London and Slagter (2015), it could still be more consistent with their findings than with alternative explanations. So in addition, we asked “*are the correlations consistent across studies 1 and 2?*”, and aimed to answer this question using prediction intervals (Spence & Stanley, 2016; Patil, Peng, & Leek, 2016)

and replication Bayes factors (Wagenmakers, Verhagen, & Ly, 2016; J. Verhagen & Wagenmakers, 2014). Finally, it could be that the effect in our study alone is not sufficiently large, but the overall effect based on both studies is. This raises the question “*is the effect significant when combining study 1 and 2?*”, which we addressed through meta-analysis (Quintana, 2015; Goh, Hall, & Rosenthal, 2016) and by pooling the data.

These questions address issues of reproducibility that are currently faced by many in the brain stimulation field (Héroux et al., 2017), and in the cognitive neuroscience community at large (Munafò et al., 2017; Huber, Potter, & Huszar, 2019). Therefore, aside from our focus on tDCS and the AB, an auxiliary goal of this chapter is to provide a tutorial on the statistical evaluation of replication studies. We hope this may prove useful to other researchers who find themselves in similar situations.

## 4.2 Materials and Methods

### Participants

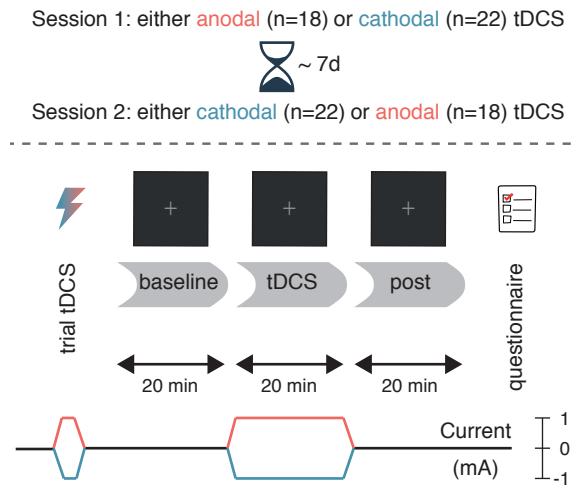
Fourty-eight participants took part in total, 8 of whom were excluded after the first session. One participant was excluded as a precaution because they developed an atypical headache after the first session, and we could not rule out this was related to the tDCS. Another stopped responding to our requests to schedule the second session. The remaining six participants were excluded because their mean T1 accuracy in the first session was too low, which would leave too few trials to analyze, because our T2 accuracy measure included only trials in which T1 was seen. We used a cut-off of 63% T1 accuracy as an exclusion criterium, which was two standard deviations below the mean of a separate pilot study ( $n = 10$ ).

This left a final sample of 40 participants (29 female, mean age = 20.94,  $SD = 2.45$ , range = 18–28). This sample size was determined a priori to slightly exceed London and Slagter (2015) ( $n = 34$ ).

The experiment and recruitment took place at the University of Amsterdam. All procedures for this study were approved by the ethics

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**FIGURE 4.1 Experimental design.** After a baseline block without stimulation, participants performed the attentional blink task during 20 minutes of anodal (red) or cathodal (blue) tDCS, followed by a post-test block (also without stimulation). The second session (typically 7 days later) was identical, except that the tDCS polarity was reversed.

review board of the Faculty for Social and Behavioral Sciences, and complied with relevant laws and institutional guidelines. All participants provided their written informed consent and were compensated with course credit or €10 per hour (typically €65 for completing two full sessions).

### Procedure

The study procedures were identical to London and Slagter (2015): participants received anodal and cathodal tDCS in separate sessions (Figure 4.1), which typically took place exactly one week apart (cf. minimum of 48 hours in London and Slagter (2015)). The time in between served to keep the sessions as similar as possible, and to minimize the risk of tDCS carry-over effects. 18 participants received anodal tDCS in the first session and cathodal tDCS in the second, and vice versa for the remaining 22 participants.

First, participants experienced the sensations induced by tDCS in a brief trial stimulation (see the **tDCS** section). Next, participants completed 20 practice trials of the task (see the **Task** section). For the main portion of the experiment, participants performed three blocks of the task (Figure 4.1): before tDCS (*baseline*), during anodal/cathodal tDCS (*tDCS*), and after tDCS (*post*). Finally, after completing the three blocks, participants filled in a questionnaire on tDCS-related adverse effects (see Table B.1 and Figure B.1 in Appendix B).

Within each block of the task, participants took a self-timed break every 50 trials (~5 minutes); between the blocks, the experimenter walked in. Participants performed the task for exactly 20 minutes during the *baseline* and *post* blocks. During the *tDCS* block, the task started after the 1-minute ramp-up of the current was complete, and continued for 21 minutes (constant current, plus 1-minute of ramp-down).

## Task

The attentional blink task (Figure 4.2) was almost identical to the one used in London and Slagter (2015) and Slagter and Georgopoulou (2013), which in turn was based on a task designed by Dux and Marois (2008). A rapid serial visual presentation stream of 15 letters (cf. 17 letters in London and Slagter (2015)) was shown on each trial, using Presentation software (Neurobehavioral Systems, Inc.). Each letter was displayed for 91.7 ms (11 frames at 120 Hz) on a dark gray background. The letters were presented in font size 40 (font: Courier New) at a viewing distance of 90 cm. On each trial, the letters were randomly sampled without replacement from the alphabet, excluding the letters I, L, O, Q, U and V, as they were too similar to each other. All distractor letters were mid-gray, whereas T1 and T2 were colored. T1 was red and always appeared at position 5 in the stream. T2 was green and followed T1 after either 2 distractors (*lag 3*) or 7 distractors (*lag 8*) (cf. lags 2, 4 and 10 in London and Slagter (2015)).

The letter stream was preceded by a fixation cross (same color as the letters) presented for 1750 ms (cf. 480 ms in London and Slagter (2015)) and followed by another fixation cross (cf. none in London and

Slagter (2015)). Finally, the participant was prompted to type in (using a standard keyboard) the letter they thought was presented as T1 (“Which letter was red?”), followed by T2 (“Which letter was green?”).

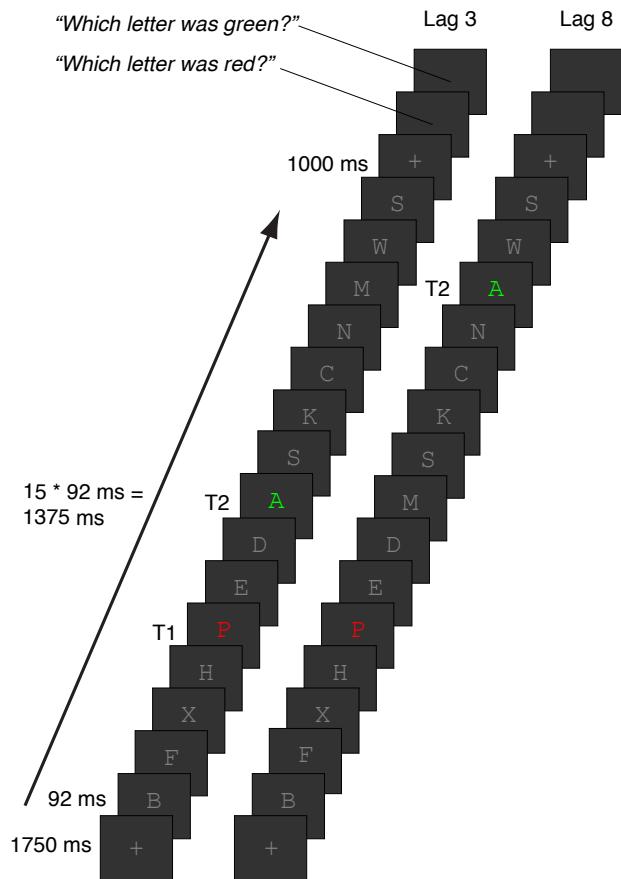
Trial duration varied slightly because both the T1 and T2 response questions were self-paced, so some participants completed more trials than others depending on their response times. On average, participants completed 130 short lag trials ( $SD = 17$ ; range = 78–163) and 65 long lag trials ( $SD = 9$ ; range = 39–87) per 20-minute block.

### tDCS

Transcranial direct current stimulation was delivered online (i.e. during performance of the attentional blink task) using a DC-STIMULATOR PLUS (NeuroCare Group GmbH). The current was ramped up to 1 mA in 1 minute, stayed at 1 mA for 20 minutes, and was ramped down again in 1 minute.

One electrode was placed at F3 (international 10-20 system) to target the lDLPFC; the other was placed over the right forehead, centered above the eye (approximately corresponding to position Fp2). Both electrodes were 5 x 7 cm in size ( $35 \text{ cm}^2$ ), leading to a current density of  $0.029 \text{ mA/cm}^2$ . The montage and tDCS parameters are identical to London and Slagter (2015), the only exception being the conductive medium. We used Ten20 conductive paste (Weaver and Company), because it was easier to apply concurrently with the EEG equipment (see the [EEG section](#)); London and Slagter (2015) used saline solution as a conductive medium, together with rubber straps to keep the electrodes in place.

Participants received either anodal tDCS (anode on F3, cathode on right forehead) or cathodal tDCS (cathode on F3, anode on right forehead) in separate sessions. The procedure was double-blinded: both the participant and the experimenters were unaware which polarity was applied in a given session. The experimenter loaded a stimulation setting on the tDCS device (programmed by someone not involved in data collection), without knowing whether it was mapped to anodal or cathodal tDCS. In the second session, the experimenter loaded a second setting mapped to the opposite polarity (half the dataset), or



**FIGURE 4.2 Attentional blink task.** Participants viewed rapid serial visual presentation streams of 15 letters, all of which were distractors (gray letters) except for T1 and T2. T1 was presented in red at position 5; T2 was presented in green and followed T1 after 2 distractors (*lag 3*, inside the AB window) or 7 distractors (*lag 8*, outside the AB window). At the end of the trial, participants reported the identity of T1 and then T2 (self-paced).

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simply connected the terminals of the device to the electrodes in the opposite way.

At the start of the experiment, participants received a brief trial stimulation, based on which they decided whether or not they wanted to continue with the rest of the session. The experimenter offered to terminate the experiment in case tDCS was experienced as too uncomfortable, but none of the participants opted to do so. For the trial stimulation, the current was ramped up to 1 mA in 45 seconds, stayed at 1 mA for 15 seconds, and was ramped down again in 45 seconds.

### EEG

We also recorded EEG during all three task blocks. Originally, we aimed to analyze the EEG data to obtain a neurophysiological correlate of the individual differences in response to tDCS (Krause & Cohen Kadosh, 2014; L. M. Li, Uehara, & Hanakawa, 2015; Harty, Sella, & Cohen Kadosh, 2017). However, since we did not replicate the behavioral effect in London and Slagter (2015), we refrained from further analysis of the EEG data. Instead, we are making the EEG data publicly available on the OpenNeuro platform<sup>1</sup> (Reteig, Newman, Ridderinkhof, & Slagter, 2019). The dataset is formatted according to the new Brain Imaging Data Structure (BIDS) standard (Gorgolewski et al., 2016) for EEG (Pernet et al., 2019), to facilitate re-use. We include the raw data, as well as the fully preprocessed data and the MATLAB code that generated it.

### Data analysis

Data were analyzed using R (Version 3.5.1; R Core Team, 2018)<sup>2</sup> from within RStudio (Version 1.1.463; RStudio Team, 2016).

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<sup>1</sup><https://doi.org/10.18112/openneuro.ds001810.v1.1.0>

<sup>2</sup>We, furthermore, used the R-packages *BayesFactor* (Version 0.9.12.4.2; Morey & Rouder, 2018), *broom* (Version 0.5.1; Robinson & Hayes, 2018), *cowplot* (Version 0.9.99; Wilke, 2019), *emmeans* (Version 1.3.0; Lenth, 2018), *here* (Version 0.1; Müller, 2017), *kableExtra* (Version 1.1.0; Zhu, 2019), *knitr* (Version 1.21; Xie, 2015), *papaja* (Version 0.1.0.9842; Aust & Barth, 2018), *psychometric* (Version 2.2; Fletcher, 2010), *pwr* (Version 1.2.2; Champely, 2018), and *tidyverse* (Version 1.2.1; Wickham, 2017).

### ***Group-level analysis***

Repeated measures ANOVAs were conducted on T1 accuracy (percentage of trials where T1 was reported correctly) and T2|T1 accuracy (percentage of trials where T2 was reported correctly, out of the subset of T1-correct trials) using the *aefx* package (Version NA; Singmann, Bolker, Westfall, & Aust, 2018). The same factors were included for both repeated measures ANOVAs, following London and Slagter (2015): Lag (3, 8), Block (baseline, tDCS, post), Stimulation (anodal, cathodal), and the between-subject factor Session order (anodal tDCS in the first session vs. cathodal tDCS in the first session). Effect sizes are reported as generalized eta-squared ( $\hat{\eta}_G^2$ ) (Bakeman, 2005). Greenhouse-Geisser-adjusted degrees of freedom ( $df^{GG}$ ) and p-values are reported as a correction for sphericity violations.

### ***Individual differences analysis***

We reproduced the analysis behind Figure 4 of London and Slagter (2015), which showed a differential effect of anodal vs. cathodal tDCS at the individual participant level. First, we calculated AB magnitude by subtracting T2|T1 accuracy at lag 3 from T2|T1 accuracy at lag 8. Next, change scores were created by subtracting AB magnitude in the *baseline* block from the *tDCS* and the *post* blocks, respectively. The change scores in the anodal and cathodal session were then correlated to each other. Again following London and Slagter (2015), we computed a partial correlation (using the *ggm* package (Version NA; Marchetti, Drton, & Sadeghi, 2015)), attempting to adjust for variance due to Session order.

### ***Replication analyses***

In contrast to London and Slagter (2015), the analysis described in the previous section did not produce a significant correlation in our dataset. Therefore, we conducted five follow-up analyses that aim to quantify to what extent our results (do not) replicate London and Slagter (2015). These all provide a complementary perspective on this question. First, we performed an equivalence test (1) to assess whether

the effect in the present study was significantly smaller than in London and Slagter (2015). While this procedure is more focused on hypothesis testing, we also constructed **prediction intervals** (2) to capture the range of effect sizes we can expect in a replication of London and Slagter (2015). Both of these procedures are based on frequentist statistics, which cannot directly quantify the amount of evidence for a (null) hypothesis. Therefore, we also computed a **replication Bayes factor** (3) that expresses whether the data in the present study are more likely under the null hypothesis that the effect is absent, vs. the alternative hypothesis that the effect is comparable to London and Slagter (2015). Finally, we directly combined both studies and estimated the size of the overall effect, through **meta-analysis** (4) of both correlations, and by computing a new correlation on the **pooled dataset** (5). More details on each analysis can be found in the following sections, and the provided online resources.

#### *Equivalence tests*

Equivalence tests can be used to test for the *absence* of an effect of a specific size (see Lakens et al., 2018, for a tutorial). Usually, the effect size used for the test is the smallest effect size of interest (the SESOI). Typically, equivalence tests are two one-sided tests: one test of the null hypothesis that the effect exceeds the upper equivalence bound (positive SESOI), and one that the effect exceeds the lower equivalence bound (negative SESOI). However, a one-sided test is more appropriate here: London and Slagter (2015) found that the effects of anodal and cathodal tDCS were anticorrelated, so we are only interested in negative effect sizes. This is known as an inferiority test (Lakens et al., 2018).

We follow the “small telescopes” (Simonsohn, 2015) approach to set the SESOI to  $r_{33\%}$ : the correlation that London and Slagter (2015) had 33% power to detect. The reasoning behind this approach is that it is difficult to prove that an effect does not exist at all, but easier to show that it is surprisingly small. An equivalence test can suggest that the effect is unlikely to exceed  $r_{33\%}$ , such that the odds to detect it were stacked at least 2:1 against London and Slagter (2015). That would not mean the effect does not exist at all, but it would mean the original

evidence for the effect is not very convincing, as “too small a telescope” (in this case, an inadequate sample size) was used to reliably detect it.

There are many possible specifications of the SESOI, none of which are necessarily wrong or right (Lakens et al., 2018). We favored the “small telescopes” approach because it constitutes a relatively strict test— $r_{33\%}$  is much smaller than the original correlation in London and Slagter (2015). Because the observed correlation in London and Slagter (2015) could have overestimated the true correlation, it is prudent to set the SESOI to be smaller. Furthermore, the approach was specifically designed to evaluate replication results (Simonsohn, 2015), and has been used previously in large-scale replication studies (e.g. Camerer et al., 2018).

We conducted an inferiority test using the *TOSTER* package (Version NA; Lakens, 2017) against the null hypothesis that the correlation coefficient in the present study is at least as negative as  $-r_{33\%}$ . At a standard alpha level of 0.05, the test is significant if the 90% confidence interval around the observed correlation does not contain  $r_{33\%}$ . This would mean that the observed correlation should be considered “statistically inferior”, as it is then significantly smaller (i.e. less negative) than  $-r_{33\%}$ .

### *Prediction interval*

Prediction intervals contain a range of values we can expect a new observation to fall within. In our case, the observation of interest is the correlation between the effects of anodal and cathodal tDCS. This correlation is estimated based on a sample, and is thus subject to sampling error: any two estimates of the correlation will almost never be exactly the same. Prediction intervals aim to quantify how dissimilar two estimates can be before we should be surprised.

Here, we construct a prediction interval around the original estimate of the correlation in London and Slagter (2015). This prediction interval contains the range of correlation coefficients we can expect to see in the present study, given the results of London and Slagter (2015). The width of the interval depends on the sample sizes of both studies, as larger samples will reduce variation in the estimates, leading to smaller prediction intervals (Patil et al., 2016).

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If the original study were replicated 100 times, 95 of the observed correlation coefficients would fall within the 95% prediction interval (Patil et al., 2016). Note that this definition is related to, but different from, a *confidence interval*, which quantifies uncertainty about the (unknown) true correlation in the population (95 out of every hundred constructed 95% confidence intervals contain the true population parameter). Because prediction intervals concern the next single observation, they make a more specific claim, and will be wider than confidence intervals.

We calculated a 95% prediction interval for correlations, following Spence and Stanley (2016), using the *predictionInterval* package (Version NA; Stanley, 2016).

##### *Replication Bayes factor*

Bayes factors can be used to express the relative evidence for the null ( $H_0$ ) or alternative hypothesis ( $H_1$ ) (Wagenmakers, Marsman, et al., 2018). In a default Bayesian hypothesis test,  $H_0$  states the effect size is absent (i.e. exactly zero);  $H_1$  states that the effect is present (specified further by a prior distribution of effect sizes).

In a replication context, we want to decide between two different scenarios (J. Verhagen & Wagenmakers, 2014).  $H_0$  is the hypothesis of an idealized skeptic, who disregards the information from the original study and believes the effect is absent. The alternative hypothesis  $H_r$  belongs to an idealized proponent, who believes that the effect is exactly as in the original study, i.e. their prior distribution is simply the posterior distribution of the original study.

We used the replication Bayes factor test for correlations developed by Wagenmakers et al. (2016). The replication Bayes factor  $BF_{0r}$  expresses evidence for  $H_0$  : “the correlation is 0” relative to  $H_r$  : “the correlation is as in the original study”. We use the interpretation scheme from Wagenmakers, Love, et al. (2018), where  $1 < BF_{0r} < 3$  constitutes “anecdotal evidence” for  $H_0$ ,  $3 < BF_{0r} < 10 \sim$  “moderate evidence”, and  $10 < BF_{0r} < 30 \sim$  “strong evidence”.

##### *Meta-analysis*

The outcomes from multiple studies on the same phenomenon can be combined through meta-analysis. Here we compute a meta-analytic estimate of the correlation based on the correlations observed here and as reported by London and Slagter (2015), using the *metafor* package (Version NA; Viechtbauer, 2010). We weighed the estimate by sample size, so the present study will have a slightly higher influence on the meta-analytic effect size (because its sample size exceeds London and Slagter (2015)). We specified the meta-analysis as a fixed-effects model, because both studies are highly similar and from the same population (e.g., the experiments were conducted in the same location, and the sample was from the same university student population). With a fixed-effects analysis, we estimate the size of the effect *in the set of available studies*, meaning our inferences cannot generalize beyond. A random-effects meta-analysis would be appropriate in case the studies were more dissimilar, and if we sought an estimate of *the true effect in the population*, but we would need more than just two studies for this approach. Note that while meta-analyses are a powerful way to assess the overall effect in a series of studies, they are particularly vulnerable to false positives when the selection of studies (or any single study) is biased (Ueno, Fastrich, & Murayama, 2016).

#### *Pooling the data with London and Slagter (2015)*

Another approach is to pool the single-subject data from both studies, and to re-calculate the partial correlation on the combined sample ( $n = 74$ ). The main difference between the two studies is that London and Slagter (2015) presented T2 at lags 2, 4 and 10; here we used lags 3 and 8. The long lags (lag 8 vs. lag 10) should be fairly comparable, as they are both well outside the attentional blink window ( $> 500$  ms following T1; M. H. MacLean & Arnell, 2012). However, there should be a sizeable performance difference at the short lags (lag 2 vs. lag 3), as the attentional blink is larger at lag 2 than lag 3. Therefore, we opted to also create a “lag 3” condition in the data from London and Slagter (2015), by averaging T2|T1 accuracy at lag 2 and lag 4. The difference from lag 2 to 4 (and 4 to 10) in London and Slagter (2015) looks fairly linear (see their Figure 3), so this seems a fair approximation of

“true” lag 3 performance. Afterwards we recomputed the partial correlation between AB magnitude change scores as described previously (see the [Individual differences analysis](#) section).

Note that this analysis is tailored to this series of studies, and not generally advisable. To get a more accurate estimate of the effect at lag 3, it is necessary to redo the analysis on the larger, combined sample. But repeating a statistical test after collecting more data (“optional stopping”) invalidates the interpretation of the p-value and can drastically increase the false positive rate (Simmons, Nelson, & Simonsohn, 2011). This would only be acceptable when the analysis plan has been preregistered, and the false positive rate of sequential analyses is controlled (for potential solutions, see [Lakens, 2014](#)). We therefore do not report a p-value for this test, but only the effect size and its confidence interval.

### Data, materials, and code availability

All of the data and materials from this study and the data from London and Slagter (2015) are available on the Open Science Framework<sup>3</sup>. The analysis code is available on GitHub<sup>4</sup> (and also from our OSF page), in the form of an R notebook detailing all the analyses that we ran for this project, along with the results. We also include an Rmarkdown (Xie, Allaire, & Grolemund, 2018) source file for this chapter that can be run to reproduce the pdf version of the text, along with all the figures and statistics.

## 4.3 Results

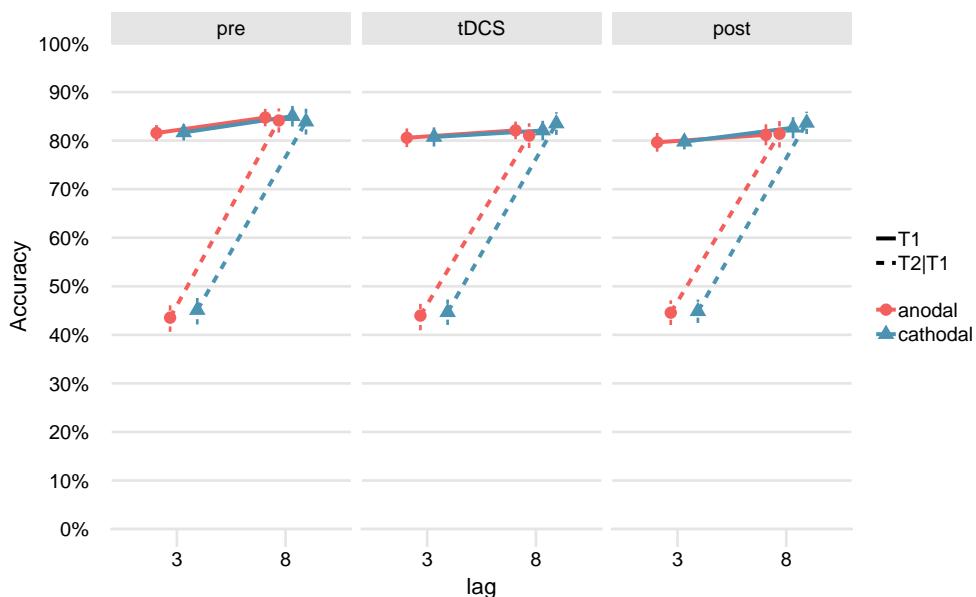
### Group-level

Figure 4.3 shows the attentional blink ( $T2|T1$  accuracy per lag) for each of the three blocks (pre, tDCS, post) and stimulation conditions separately. The summary statistics and ANOVA results for  $T2|T1$  accuracy can be found in Tables 4.1 and 4.2. There was a clear attentional

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<sup>3</sup><https://doi.org/10.17605/OSF.IO/Y6HSF>

<sup>4</sup><https://doi.org/10.5281/zenodo.3233872>



**FIGURE 4.3 No effects of tDCS on the attentional blink at the group level.** There was a clear attentional blink effect: a lower % T2 accuracy (given T1 correct:  $T2|T1$ ; dashed lines) for lag 3 (T2 presented inside the attentional blink window) than lag 8 (T2 presented outside the attentional blink window, on par with *T1 accuracy*). However, the attentional blink did not change systematically over stimulation conditions (*anodal, cathodal*) and blocks (*pre, tDCS, post*). *T1 accuracy* (solid lines) was also not affected by tDCS.

blink effect on average (main effect of Lag,  $F[1, 38] = 432.11, p < .001$ ), as  $T2|T1$  accuracy for lag 8 was higher than lag 3.

Effects of tDCS at the group-level should manifest as a three-way interaction between Block, Stimulation, and Lag. As in London and Slagter (2015), this interaction was not significant ( $F[1.77, 67.17] = 2.77, p = .076$ ). However, the higher-order interaction with Session order did reach significance ( $F[1.77, 67.17] = 7.25, p = .002$ ). This interaction appears to be mostly driven by a learning effect across sessions and blocks (the Session order by Stimulation by Lag interaction). From the first to the 2nd session, lag 3 performance improved,

#### 4. Replicating tDCS effects on the attentional blink

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TABLE 4.1 Descriptive statistics for T2|T1 accuracy

First session: anodal (n = 18)		First session: cathodal (n = 22)		
	anodal	cathodal	cathodal	
<b>baseline</b>				
lag 3	0.395 (0.149)	0.486 (0.167)	0.469 (0.211)	0.423 (0.168)
lag 8	0.826 (0.119)	0.819 (0.095)	0.854 (0.125)	0.855 (0.087)
<b>tDCS</b>				
lag 3	0.415 (0.168)	0.450 (0.165)	0.460 (0.201)	0.444 (0.188)
lag 8	0.787 (0.131)	0.819 (0.098)	0.830 (0.115)	0.849 (0.096)
<b>post</b>				
lag 3	0.437 (0.171)	0.451 (0.160)	0.453 (0.190)	0.447 (0.164)
lag 8	0.783 (0.118)	0.825 (0.098)	0.840 (0.145)	0.846 (0.120)

Values are "Mean (SD)"

while lag 8 performance declined somewhat (leading to a smaller attentional blink). This change was stronger in participants that received anodal tDCS in the first session, and less pronounced in the cathodal-first group. We do not consider this a genuine effect of tDCS on the attentional blink, because there is no clear reason why these randomized groups should differ, and because the largest difference between the anodal and cathodal session occurred in the baseline block already (see Table 4.1).

We ran the same repeated measures ANOVA for T1 accuracy (Table 4.3). On average, participants correctly identified T1 in 82% of trials (Figure 4.3), which is comparable to previous studies using this task (86% in London & Slagter, 2015; in 82% in Slagter & Georgopoulou, 2013). T1 accuracy was also slightly lower for lag 3 than lag 8 (main effect of Lag,  $F[1, 38] = 29.23, p < .001$ ). There was a main effect of Block, reflecting that T1 accuracy decreased *within* a session

( $F[1.89, 71.87] = 6.64, p = .003$ ). Finally, T1 performance also decreased across the sessions (interaction between Session order and Stimulation:  $F[1, 38] = 11.24, p = .002$ ).

In sum, we conclude that there is no significant effect of tDCS on the attentional blink or T1 accuracy at the group level, in agreement with London and Slagter (2015).

TABLE 4.2 Repeated measures ANOVA on T2IT1 accuracy

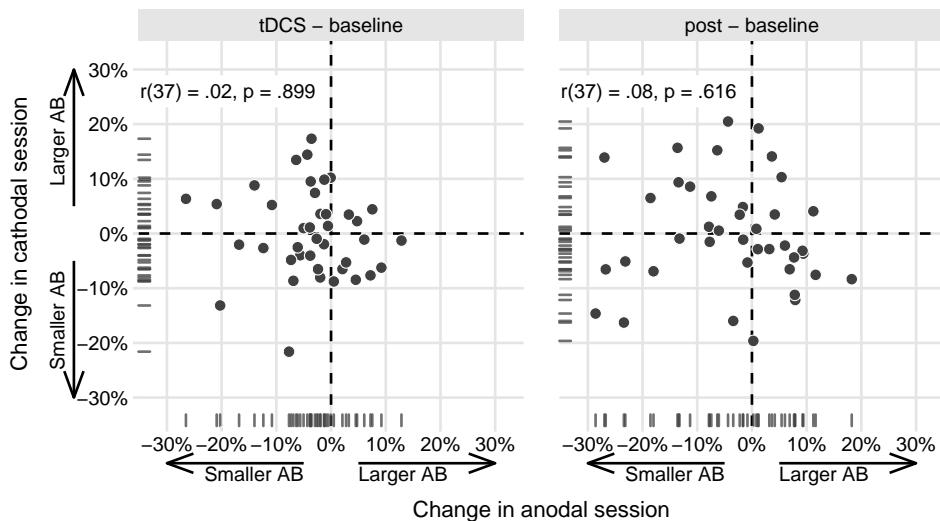
Effect	$F$	$df_1^{GG}$	$df_2^{GG}$	$p$	$\hat{\eta}_G^2$
Session order	0.33	1	38	.568	.006
Block	1.13	1.91	72.71	.328	.001
Stimulation	2.47	1	38	.125	.002
Lag	432.11	1	38	< .001	.634
Session order $\times$ Block	0.29	1.91	72.71	.741	.000
Session order $\times$ Stimulation	5.55	1	38	.024	.005
Session order $\times$ Lag	0.48	1	38	.494	.002
Block $\times$ Stimulation	0.28	1.91	72.51	.747	.000
Block $\times$ Lag	1.67	1.7	64.73	.199	.001
Stimulation $\times$ Lag	0.10	1	38	.751	.000
Session order $\times$ Block $\times$ Stimulation	1.93	1.91	72.51	.154	.001
Session order $\times$ Block $\times$ Lag	0.24	1.7	64.73	.752	.000
Session order $\times$ Stimulation $\times$ Lag	5.84	1	38	.021	.002
Block $\times$ Stimulation $\times$ Lag	2.77	1.77	67.17	.076	.001
Session order $\times$ Block $\times$ Stimulation $\times$ Lag	7.25	1.77	67.17	.002	.003

TABLE 4.3 Repeated measures ANOVA on T1 accuracy

Effect	F	$df_1^{GG}$	$df_2^{GG}$	p	$\hat{\eta}_G^2$
Session order	0.96	1	38	.332	.018
Block	6.64	1.89	71.87	.003	.010
Stimulation	0.00	1	38	.996	.000
Lag	29.23	1	38	< .001	.013
Session order × Block	0.60	1.89	71.87	.540	.001
Session order × Stimulation	11.24	1	38	.002	.030
Session order × Lag	0.04	1	38	.844	.000
Block × Stimulation	0.24	1.92	73.08	.777	.000
Block × Lag	1.91	1.93	73.2	.158	.001
Stimulation × Lag	0.31	1	38	.584	.000
Session order × Block × Stimulation	9.94	1.92	73.08	< .001	.007
Session order × Block × Lag	0.19	1.93	73.2	.821	.000
Session order × Stimulation × Lag	0.96	1	38	.333	.000
Block × Stimulation × Lag	0.31	1.86	70.75	.718	.000
Session order × Block × Stimulation × Lag	0.53	1.86	70.75	.580	.000

## Individual differences

Our main aim was to replicate London and Slagter (2015), who found a negative correlation between AB magnitude change scores (comparing the *tDCS* and *baseline* blocks) in the anodal and cathodal sessions ( $r(31) = -.45, p = .009$ ). Their interpretation was that the effects of anodal and cathodal tDCS were anti-correlated: those participants that improve their performance (i.e., show a smaller AB) due to anodal tDCS tend to worsen due to cathodal tDCS, and vice versa.



**FIGURE 4.4 The effects of anodal and cathodal tDCS are not correlated in the present study.** Data points show AB magnitude change scores (*tDCS - baseline*, *post - baseline*) for each participant in the study, in the *anodal* session (x-axis) and the *cathodal* session (y-axis). While London and Slagter (2015) found a negative partial correlation (for *tDCS - baseline*), suggesting opposite effects of anodal and cathodal tDCS, this effect appears to be absent here. The partial correlation coefficients (attempting to adjust for Session order) and p-values are printed in the upper left.

We ran the same partial correlation (attempting to adjust for Session order) between the anodal and cathodal AB magnitude change scores (*tDCS - baseline*) on our data (Figure 4.4). However, here the resulting correlation did not go in the same direction ( $r(37) = .02$ ), and was not significant ( $p = .899$ ). In the next sections, we present a number of follow-up analyses that further explore this difference in results between both studies (see the [Replication analyses](#) subsection in the [Methods](#) section).

#### ***Is the correlation in study 2 significantly small?***

London and Slagter (2015) found a medium- to large correlation ( $r = -.45$ ), but the correlation we find here is much smaller ( $r = .02$ ). We

use equivalence testing (Lakens et al. (2018); see the [Equivalence tests](#) subsection in the [Methods](#) section) to assess whether this correlation is significantly smaller than a smallest effect size of interest (SESOI). Following the “small telescopes” approach (Simonsohn, 2015), we set the SESOI to  $r_{33\%}$ , the correlation London and Slagter (2015) had 33% power to detect. Given their sample size of 34 participants,  $r_{33\%} = .27$ .

An inferiority test shows that the correlation here is significantly less negative than  $-r_{33\%}$  ( $p = .038$ ) (Figure 4.6), although only by a small margin. The effect is therefore “statistically inferior”: the correlation does not exceed the lower equivalence bound ( $-r_{33\%} = -.27$ ). By this definition, the correlation is too small (i.e. not negative enough) to be considered meaningful, indicating that we did not successfully replicate London and Slagter (2015).

##### ***Are the correlations consistent across studies 1 and 2?***

To evaluate whether the correlation in the present study was to be expected based on London and Slagter (2015), we constructed a 95% *prediction interval* (PI) (Spence & Stanley, 2016), using the correlation in London and Slagter (2015) and the sample size of both studies (see the [Prediction interval](#) subsection of the [Methods](#) section).

The 95% PI[-0.82, -0.05] around the original correlation ( $r = -.45$ ) is very wide, so almost any negative correlation would fall within it. However, it does not include the replication result ( $r = .02$ ) (Figure 4.6). Assuming the results of both studies differ only due to sampling error, the correlation observed here has a 95% chance to fall within the interval. This means the correlation in our replication study is inconsistent with the correlation in London and Slagter (2015), and thus that the replication should not be considered successful.

Another approach to quantify the consistency between study 1 and 2 is to construct a replication Bayes Factor (Wagenmakers et al., 2016). We use this Bayes factor to assess evidence for  $H_0$ : “the effects of anodal and cathodal tDCS are uncorrelated” relative to  $H_r$ : “the effects of anodal and cathodal tDCS are anticorrelated as in London and Slagter (2015)” (see the [Replication Bayes factor](#) subsection of the [Methods](#) section).

This replication Bayes factor expresses that the data are  $BF_{0r} = 9.66$  times more likely under  $H_0$  than under  $H_r$ . This constitutes moderate to strong evidence that the effect is absent vs. consistent with London and Slagter (2015), and thus that the effect did not replicate.

### ***Is the effect significant when combining study 1 and 2?***

The sample size in both study 1 ( $n = 34$ ) and 2 ( $n = 40$ ) is on the lower end. Therefore, we might get a more accurate estimate of the size of the effect when combining both studies.

The meta-analytic estimate of the correlation is  $r = -0.20$ , 95%CI[-0.42, 0.04],  $p = .094$  (Figure 4.6). So, when combining the correlation from London and Slagter (2015) and the correlation observed here, the overall effect is no longer significant.

In addition, we pooled the data from both studies at the single-subject level and re-calculated the partial correlation on the combined sample ( $n = 74$ ). To make the datasets as comparable as possible, we first averaged over T2|T1 accuracy at lags 2 and 4 in London and Slagter (2015), to mimic a “lag 3” condition cf. the present study (Figure 4.5).

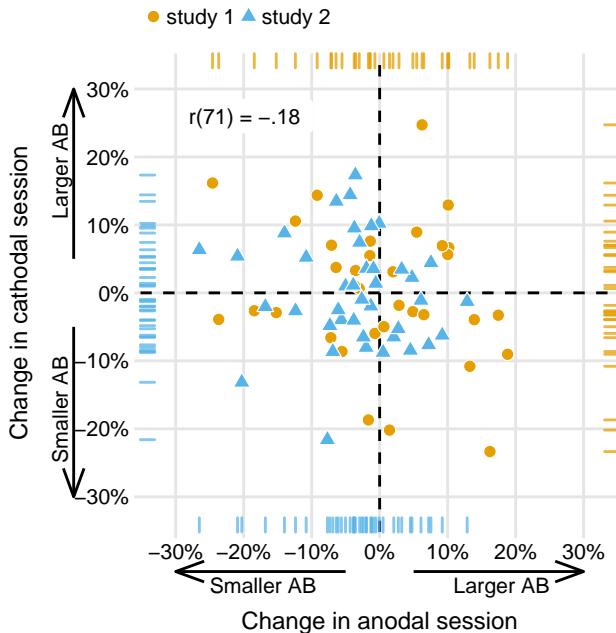
The partial correlation based on the pooled data is  $r(71) = -.18$ . Thus, the correlation across a combination of both samples is a lot smaller than in London and Slagter (2015), and slightly smaller still than the meta-analytic estimate that included the original correlation from London and Slagter (2015) (Figure 4.6).

## **4.4 Discussion**

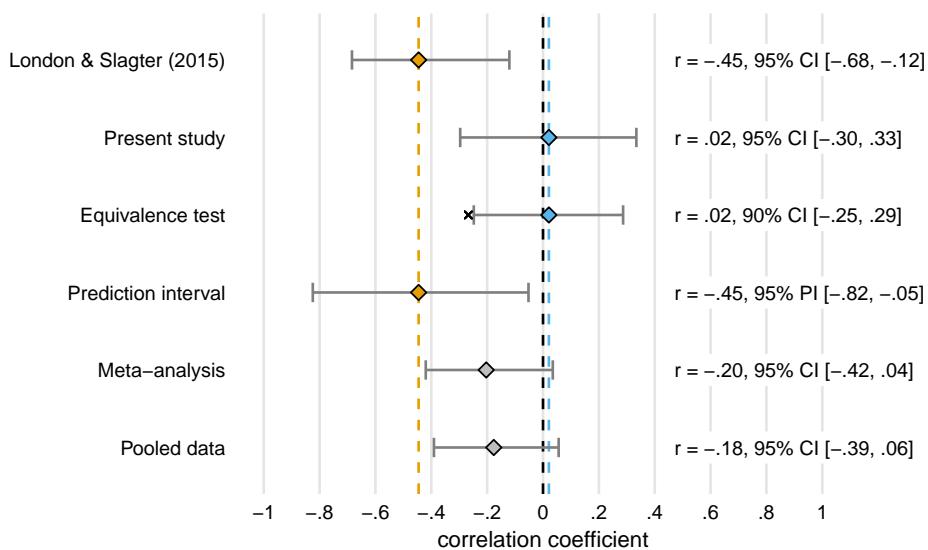
London and Slagter (2015) were the first to uncover a potential effect of tDCS on the AB. Although there was no group-level effect of tDCS, they found a negative correlation between the effects of anodal and cathodal tDCS on T2|T1 accuracy across individuals. This analysis suggested an interesting pattern of individual differences in response to tDCS: those that tended to benefit from anodal tDCS (i.e., whose AB became smaller compared to baseline) would tend to worsen during cathodal tDCS, and vice versa. This finding seemed plausible given

#### 4. Replicating tDCS effects on the attentional blink

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**FIGURE 4.5 The effects of anodal and cathodal tDCS are also not correlated when pooling data from both studies.** As in Figure 4.4, data points show AB magnitude change scores (*tDCS - baseline*) in the *anodal* session (x-axis) and the *cathodal* session (y-axis), but now for each participant in London and Slagter (2015) (*study 1*) and the present study (*study 2*). While London and Slagter (2015) found a negative partial correlation, suggesting opposite effects of anodal and cathodal tDCS, this effect appears to be absent when based on the combined data of both studies. The partial correlation coefficient (attempting to adjust for Session order) is printed in the upper left.



**FIGURE 4.6 Summary of all the replication analyses** (with exception of the replication Bayes Factor). The first two rows show the partial correlation (attempting to adjust for Session order) between the AB magnitude change scores (*tDCS - baseline*) in the anodal and cathodal sessions, for London and Slagter (2015) and the present study. The first is significantly negative, the second is slightly positive and not significant, because its 95% confidence interval (CI) overlaps with zero. The third row shows the 90% CI around the correlation in the present study. Because this interval does not overlap with the “small telescopes” effect size, (indicated by the x:  $-r_{33\%} = -0.27$ ), this correlation is significantly smaller. The fourth row shows the 95% prediction interval (PI) around the correlation in London and Slagter (2015). Because this interval does not overlap with the correlation in the present study, both correlations are not consistent. The final two rows show the overall effect when the two correlations are meta-analyzed, and when one correlation is computed over the pooled data from both studies. Neither are significant (95% CI overlaps with zero). Thus, our replication analyses all suggest that we failed to replicate London & Slagter (2015), and when the results are examined in combination, no evidence in support of a negative relationship between the AB magnitude change scores (*tDCS - baseline*) in the anodal and cathodal sessions is obtained.

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the substantial and well-documented individual differences in both the AB (Willems & Martens, 2016) and the effects of tDCS (Krause & Cohen Kadosh, 2014).

We conducted a replication study, and again found no effect of tDCS at the group level. However, in contrast to London and Slagter (2015), the correlation between the AB magnitude change scores (tDCS - baseline) in the anodal and cathodal sessions here is not significant, and not in the same direction. We also computed several statistical measures of replication success focused on the negative correlation between anodal and cathodal tDCS. These showed that the correlation is smaller than in London and Slagter (2015), and than the smallest correlation they could have reasonably detected (i.e., an equivalence test for the lower bound of  $r_{33\%}$  (Simonsohn, 2015) is significant). The difference between the two studies is greater than expected based on sampling error alone (i.e., the correlation in the present study falls outside of the 95% prediction interval). Further, the data provide moderate to strong evidence for the null hypothesis of zero correlation compared to the alternative that the correlation is as in London and Slagter (2015) (i.e. we found a replication Bayes factor in favor of the null hypothesis of  $\sim 10$ ). Finally, combining both studies yields a smaller and non-significant correlation (both in a meta-analysis and by pooling the data).

The overall picture is consistent: all measures indicate that the present study is not a successful replication of London and Slagter (2015). But because both studies were similar in design and sample size, it is not warranted to dismiss the findings of London and Slagter (2015). Our analysis revealed substantial variation between both estimates of the effect, and so the conclusion whether tDCS is effective should not be based on any single study.

Still, the marked difference in results of both studies is surprising: they are similar enough that the present study could be considered a direct replication (Zwaan et al., 2018) of London and Slagter (2015). We used the exact same electrode montage and tDCS parameters, followed the same experimental design (Figure 4.1), ran the experiment in the same location with the same participant population, and used a virtually identical task. Nevertheless, there are some discrepancies

between the studies that could have contributed to the different outcome.

The most important difference is that London and Slagter (2015) presented T2 at lags 2, 4, and 10, whereas we used lags 3 and 8. This means that AB magnitude (the difference in T2|T1 accuracy between the shortest and longest lag) was on average smaller in the present study. We introduced the change for precisely this reason: to have about as many trials for the EEG analyses in which T2 was seen vs. missed, we needed the AB to be smaller. But this increase in average T2|T1 accuracy may have also reduced the between-subject variability that is essential for analyses that capitalize on individual differences. Indeed, from Figure 4.5 it seems that the sample in London and Slagter (2015) had a larger spread, at least in the change scores for AB magnitude.

Though the change in lags is probably the most important, the concurrent EEG measurement did introduce other differences as well. Each session took longer to complete, because the EEG setup required extra time, and the pace of the task was slowed down with extra inter-stimulus intervals. Finally, the current flow could have changed due to the presence of the EEG cap and electrodes, as well as the use of conductive paste instead of saline solution.

At the group level, our results are in agreement with London and Slagter (2015): we find no differential effects of anodal and cathodal tDCS on the AB. This strongly suggests that the AB is not amenable to tDCS over the IDPLFC, at least with our fairly standard electrode montage and stimulation parameters (Santarnecchi et al., 2015). These null results are consistent with recent reviews and meta-analyses highlighting there is little evidence that prefrontal tDCS can be used to enhance cognition (Medina & Cason, 2017); or if so, that its effects are difficult to predict (Tremblay et al., 2014), rather small (Dedoncker, Brunoni, Baeken, & Vanderhasselt, 2016), and restricted to a limited subset of outcome measures and stimulation parameters (Imburgio & Orr, 2018).

Interpreting null results is always difficult, especially in brain stimulation studies (de Graaf & Sack, 2018). Ultimately, a myriad of possible underlying explanations may apply, most of which we have no direct access to. For one, we cannot be sure that the current density in the

#### 4. Replicating tDCS effects on the attentional blink

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lDLPFC reached sufficient levels (Kim et al., 2014; Opitz et al., 2015) based on our montage alone. More precise targeting of the stimulation towards the lDPLFC (Datta et al., 2009) and modeling of the current flow (de Berker et al., 2013; Karabanov, Saturnino, Thielscher, & Siebner, 2019) could provide some more confidence. Still, it would be difficult to verify that anodal tDCS and cathodal tDCS indeed had an opposite effect on cortical excitability (Bestmann et al., 2015; Bestmann & Walsh, 2017). This assumption holds in many cases, and would provide a plausible explanation (Krause & Cohen Kadosh, 2014) for the negative correlation in London and Slagter (2015). But ideally, it should be validated with direct measures of cortical excitability. Such measures are hard to obtain, although some recent studies suggest that combining tDCS with magnetic resonance spectroscopy can be used for this purpose (Filmer, Ehrhardt, Bollmann, Mattingley, & Dux, 2019; Antonenko et al., 2019; L. J. Talsma, Broekhuizen, Huisman, & Slagter, 2018).

It is also possible that we do not find an effect because the studies did not have sufficient statistical power (Minarik et al., 2016). Especially for the individual differences analyses, the sample size in both studies is on the lower end. For example, to detect a medium-sized correlation ( $r = 0.3$ ) with 80% power, the required sample size is  $n = 84$ . We do approach this sample size when combining both studies ( $n = 74$ ). Also, the correlation in London and Slagter (2015) was larger ( $r = -0.45$ ), but we cannot have much confidence in the precision of this estimate. To estimate the size of a medium correlation ( $r = 0.3$ ) within  $\pm 0.15$  with 90% confidence, a sample size of  $n = 134$  would be required (Schönbrodt & Perugini, 2013). Although our analyses suggest that the correlation is small if anything, this means we cannot accurately estimate how small—even with the combined sample.

These are all decisions to be made at the design stage, which can increase the value of a null result (de Graaf & Sack, 2018). However, after the data are in, additional tools are available to increase the value of a null result (Harms & Lakens, 2018), especially in the case of a replication study (Simonsohn, 2015). Here, we used a selection of these tools to scrutinize our own two studies on tDCS effects on the attentional blink, as these are the only ones available to date.

In addition, we hope this chapter provides inspiration for others in the fields of brain stimulation and cognitive neuroscience. Many speak of a crisis of confidence (Héroux et al., 2017) and fear that the current literature is lacking in evidential value (Medina & Cason, 2017). This is certainly not a unique development, as these sentiments (Baker, 2015) and low rates of replication abound in many research areas (Open Science Collaboration, 2015; Camerer et al., 2018; R. A. Klein et al., 2018). But it is perhaps aggravated by the fact that the brain stimulation field has not matured yet (Parkin et al., 2015).

To make sure the literature accurately reflects the efficacy of the technique, it is crucial to combat publication bias. Positive results are heavily over-represented in most of the scientific literature, (Ferguson & Heene, 2012; Franco, Malhotra, & Simonovits, 2014; Fanelli, 2012), which has recently prompted a call to brain stimulation researchers to also publish their null results<sup>5</sup>. Publishing null results and replication studies—and making the most of their interpretation—is crucial to better this situation.

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<sup>5</sup>Research Topic in Frontiers, “Non-Invasive Brain Stimulation Effects on Cognition and Brain Activity: Positive Lessons from Negative Findings”: <https://www.frontiersin.org/research-topics/5535>



## CHAPTER 5

# **Spontaneous eye blink rate does not predict attentional blink size, nor the effects of tDCS on attentional blink size**

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*This chapter is in preparation as: Reteig, L. C., Newman, L. A., Ridderinkhof, K. R., & Slagter, H. A. (n.d.). Spontaneous eye blink rate does not predict attentional blink size, nor the effects of tDCS on attentional blink size.*

**ABSTRACT** The attentional blink (AB) phenomenon illustrates our limited capacity to process incoming information. When tasked to identify two targets embedded in close temporal proximity in a stream of distractors, the second target is often missed. The magnitude of the AB (the proportion of times this second target is missed) varies considerably across individuals. Here, we examined two factors that might drive these individual differences: baseline dopamine levels and cortical excitability. We measured spontaneous Eye Blink Rate (sEBR) as a proxy for dopamine levels, and attempted to manipulate cortical excitability using transcranial Direct Current Stimulation (tDCS). Participants (n=40) performed an AB task before, during, and after anodal or cathodal tDCS to the left dorsolateral prefrontal cortex, in two separate sessions. At the start of each session, we measured their sEBR. sEBR had good test-retest reliability across the sessions. Test-retest reliability for AB magnitude was moderate, but in line with previous reports. We found no linear- or U-shaped relationship between sEBR and AB magnitude at baseline (before tDCS onset), with more evidence for the null hypothesis. Lastly, we also did not find an association between sEBR and the effect of tDCS on AB magnitude (neither anodal nor cathodal tDCS, compared to baseline). Larger-scale studies with more direct measures of dopamine and cortical excitability are called for to advance our understanding of their effects on the attentional blink, and rapid, selective gating of information, more generally.

## 5.1 Introduction

We are constantly barraged by sensory information beyond our limited processing capacity. This is clearly brought to light by the attentional blink (AB) phenomenon: detection of the second of two targets (T2) is impaired for 100–500 ms after the initial target (T1) is presented within a stream of distractors (Raymond et al., 1992; for reviews, see Dux & Marois, 2009; Martens & Wyble, 2010). Although the AB would seem to be a fundamental bottleneck, there are large individual differences in the magnitude of the AB (i.e., the proportion of times that T2 is missed vs. seen) (Willems & Martens, 2016). Some participants nearly always miss T2, a small group of others do not have an AB at all (e.g. Martens, Munneke, Smid, & Johnson, 2006), and most participants fall somewhere between these two extremes. The source of these individual differences remains largely unknown. Here, we examine two potential modulators of AB magnitude: baseline dopamine levels, and changes in cortical excitability induced by transcranial Direct Current Stimulation (tDCS).

London and Slagter (2015) were the first to study whether the effects of tDCS on the AB differ across individuals. tDCS can change the excitability of neurons from outside the skull, by passing a weak electrical current between an anodal and cathodal electrode (Gebodh et al., 2019). Anodal and cathodal tDCS are generally assumed to have opposite effects on cortical excitability. While this holds in non-human work (Purpura & McMurtry, 1965; Bindman et al., 1964) and the human motor cortex (Nitsche & Paulus, 2000; Nitsche & Paulus, 2001), it is likely an oversimplification (Liu et al., 2018) and might not generalize to other brain areas (Bestmann et al., 2015; Parkin et al., 2015). Nonetheless, London and Slagter (2015) found a differential effect of anodal vs. cathodal tDCS over the left dorsolateral prefrontal cortex (lDLPFC) at the individual subject level. Those individuals that showed a decrease in AB magnitude during anodal tDCS (compared to a baseline measurement) tended to show an increase during cathodal tDCS, and vice versa.

Many factors may influence the effect of tDCS on a given individual (Krause & Cohen Kadosh, 2014; L. M. Li, Uehara, & Hanakawa,

2015). One of the most prominent candidates is baseline cortical excitability, i.e. the balance of excitation and inhibition in the cortex (before tDCS onset). Krause et al. (2013) suggested that the behavioral outcome of tDCS is governed by an Inverted-U-shaped relationship with cortical excitability. The effect of anodal/cathodal tDCS on a given individual would then depend on his or her position on this excitability axis (Figure 5.1, left panel). Individuals with excess excitation compared to the optimum would benefit from cathodal but not anodal tDCS, whereas over-inhibited individuals would benefit from anodal but not cathodal tDCS. This matches the pattern of performance changes reported by London and Slagter (2015).

In the present study, we aimed to extend the findings of London and Slagter (2015) by examining the influence of dopamine. Dopaminergic projections are pervasive and shape global brain activity (Schultz, 2007; Björklund & Dunnett, 2007). In particular, dopaminergic signalling between the striatum and the prefrontal cortex is crucial for healthy cognitive functioning (Nieoullon, 2002; Robbins & Arnsten, 2009). Striatal dopamine has been linked to updating of goal representations and gating of information in the prefrontal cortex (J. D. Cohen, Aston-Jones, & Gilzenrat, 2004; Cools & D'Esposito, 2011)—processes that both seem to go awry in the AB. Indeed, several lines of evidence implicate dopamine in the AB. First, activity in the ventral striatum differentiates between trials in which T2 was seen or missed, both when measured with intracranial EEG (Slagter et al., 2017) and with fMRI (Slagter et al., 2010). Changing dopamine levels by administering L-DOPA can change the size of the AB in Parkinson patients (Slagter, van Wouwe, et al., 2016) (although dopamine antagonists in healthy individuals might not affect the AB (Gibbs, Naudts, Spencer, & David, 2007)). Finally, AB size is correlated to dopamine receptor binding in the striatum as measured with Positron Emission Tomography (PET) (Slagter et al., 2012).

The relationship between dopamine and cognitive function appears to follow an Inverted-U shape, where both too high and too low levels of dopamine hurt performance (Cools & D'Esposito, 2011). Slagter et al. (2012) proposed this also holds for the AB, which should be smallest at an optimal level of (striatal) dopamine. Too low levels

of dopamine would restrict gating such that T2 is prevented from being processed; too high levels of dopamine would cause interference by “opening the gate too far” such that distractors are also processed. However, this model has not been formally tested, partly because it is so difficult to assess dopamine in humans without invasive measures such as PET.

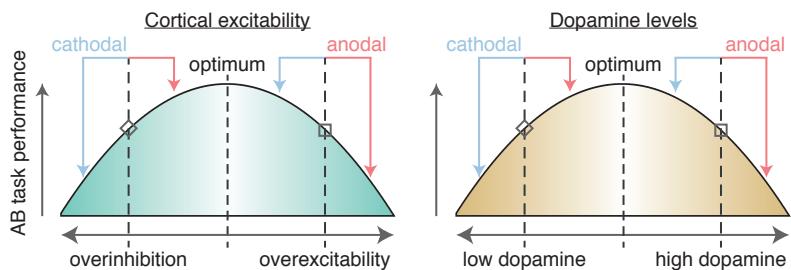
There is converging evidence that spontaneous Eye Blink Rate (sEBR) could serve as such a non-invasive index of striatal dopamine (for a review, see Jongkees & Colzato, 2016). One study indeed found a negative correlation between sEBR and the AB (Colzato, Slagter, Spapé, & Hommel, 2008), suggesting that individuals with higher levels of dopamine have a smaller AB. However, a later study was unable to replicate this result (Slagter & Georgopoulou, 2013).

No study to date has investigated the combined effects of dopamine and tDCS on the AB. But we do know that dopamine is an important moderator of the neurophysiological effects of tDCS (Stagg & Nitsche, 2011). Dopaminergic activity is necessary for tDCS to have physiological after-effects, as these are abolished when dopamine receptors are blocked (Nitsche et al., 2006). Dopamine also shapes the time course and direction of tDCS-induced changes in cortical excitability: dopamine agonists may prolong the inhibitory effects of cathodal tDCS, and flip the anodal effect from excitatory to inhibitory (Kuo, Paulus, & Nitsche, 2008).

Furthermore, two recent studies suggest that both tDCS and dopamine levels interact to determine cognitive performance in a systematic manner (Wiegand, Nieratschker, & Plewnia, 2016). These capitalize on genetic differences in dopamine activity caused by a common polymorphism of the gene coding for the COMT enzyme. This enzyme regulates dopamine levels, especially in the prefrontal cortex (Käenmäki et al., 2010). Individuals that are homozygous for the Met-allele of the gene exhibit higher levels of cortical dopamine; individuals homozygous for the Val-allele exhibit lower levels of dopamine (Schacht, 2016). In one study, cathodal tDCS decreased performance on a go-no go task, but only in Val-homozygotes (Nieratschker et al., 2015); in the other study, anodal tDCS decreased performance on a different aspect of the task, and only in Met-homozygotes (Plewnia

et al., 2013). Wiegand et al. (2016) synthesized these findings in a model (Figure 5.1, right panel), proposing that individuals with low dopaminergic tone (e.g. Val-homozygotes) benefit from anodal but not cathodal tDCS, whereas individuals with high dopaminergic tone (e.g. Met-homozygotes) benefit from cathodal but not anodal tDCS. The outcome of anodal or cathodal tDCS would then differ as a function of baseline dopamine levels, which could provide another explanation for individual differences like those reported by London and Slagter (2015).

In the present study, we aimed to shed more light on the relation between dopamine and the AB, as well as the modulatory role that dopamine might play in the effects of tDCS on the AB. Following London and Slagter (2015), participants performed an AB task before, during, and after anodal or cathodal tDCS to the IDLPFC, in two separate sessions. At the start of each session, we measured sEBR as a proxy for baseline dopamine levels. First, we investigated whether sEBR is a reliable measure, as there is little data on the test-retest reliability of sEBR (Jongkees & Colzato, 2016). Our study design with two sEBR-measurements per individual is uniquely suited to help fill this gap. Second, we examined how sEBR relates to AB magnitude. One study found a significant negative correlation (Colzato et al., 2008), but this was not replicated in a second study (Slagter & Georgopoulou, 2013). Furthermore, both of these studies only tested for a linear relationship, although there is mounting evidence that the relationship between dopamine and cognitive performance is Inverted-U-shaped (Cools & D'Esposito, 2011). Third, we assessed whether the effects of tDCS on AB magnitude depend on sEBR. Following the model in Figure 5.1 (Krause et al., 2013; London & Slagter, 2015; Wiegand et al., 2016), anodal tDCS should increase performance (i.e., decrease AB magnitude) in low dopamine (i.e., low sEBR) individuals, but decrease performance in high dopamine individuals, and vice versa for cathodal tDCS.



**FIGURE 5.1 Model where AB task performance is dependent on cortical excitability (left, London & Slagter, 2015) and dopamine levels (right, Wiegand, Nieratschker, & Plewnia, 2016).** Whether anodal (red arrows) or cathodal (blue arrows) tDCS improves performance depends on the baseline starting point on these axes, as shown in two example cases. First, an individual with relatively low levels of dopamine / cortical excitability (diamond shape) should benefit from anodal tDCS (as they move closer to the optimum), whereas cathodal tDCS would be detrimental (as they are pushed further away from the optimum). Reversely, an individual with high levels of dopamine / cortical excitability (square shape) would benefit from cathodal but not anodal tDCS.

## 5.2 Materials and methods

A different set of results based on this dataset were reported in Chapter 4. We include the full materials and methods here for convenience.<sup>1</sup>

### Participants

Fourty-eight participants took part in total, 8 of whom were excluded after the first session. One participant was excluded as a precaution because they developed an atypical headache after the first session, and we could not rule out this was related to the tDCS. Another stopped responding to our requests to schedule the second session. The remaining six participants were excluded because their mean T1 accuracy in the first session was too low, which would leave too few trials to analyze, because our T2 accuracy measure included only trials in

<sup>1</sup>Compared to Chapter 4, the Participants, Task, and tDCS sections are virtually identical; the Procedure section has been adapted to include the sEBR measurement, the Statistical analysis and sEBR sections are entirely novel.

which T1 was seen. We used a cut-off of 63% T1 accuracy as an exclusion criterium, which was two standard deviations below the mean of a separate pilot study ( $n = 10$ ).

This left a final sample of 40 participants (29 female, mean age = 20.94,  $SD = 2.45$ , range = 18–28). This sample size was determined a priori to slightly exceed London and Slagter (2015) ( $n = 34$ ). Mean T1 accuracy in the remaining 40 participants was 82%, which is comparable to previous studies using this task (86% in London & Slagter, 2015; in 82% in Slagter & Georgopoulou, 2013).

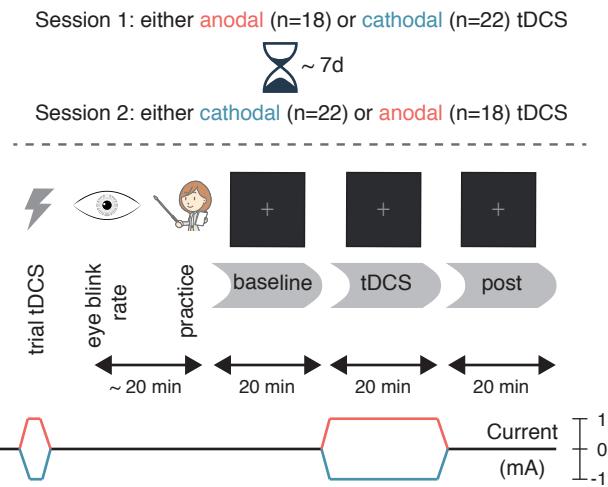
The experiment and recruitment took place at the University of Amsterdam. All procedures for this study were approved by the ethics review board of the Faculty for Social and Behavioral Sciences, and complied with relevant laws and institutional guidelines. All participants provided their written informed consent and were compensated with course credit or €10 per hour (typically €65 for completing two full sessions).

## Procedure

The study procedures were identical to London and Slagter (2015): participants received anodal and cathodal tDCS in separate sessions (Figure 5.2), which typically took place exactly one week apart (for 29 participants; sessions were separated by 6 days for 6 participants; 8 days for 3 participants; 4 days for 1 participant; 10 days for 1 participant). The time in between served to keep the sessions as similar as possible, and to minimize the risk of tDCS carry-over effects. 18 participants received anodal tDCS in the first session and cathodal tDCS in the second, and vice versa for the remaining 22 participants.

First, participants experienced the sensations induced by tDCS in a brief trial stimulation (see the [tDCS](#) section). Next, sEBR was measured for 6 minutes (see the [sEBR](#) section), after which participants completed 20 practice trials of the task (see the [Task](#) section). For the main portion of the experiment, participants performed three blocks of the task (Figure 5.2): before tDCS (*baseline*), during anodal/cathodal tDCS (*tDCS*), and after tDCS (*post*).

Within each block of the task, participants took a self-timed break every 50 trials (~5 minutes); between the blocks, the experimenter



**FIGURE 5.2 Experimental design.** Spontaneous eye blink rate was measured for 6 minutes prior to the start of the task. Then (following a short practice block), participants performed three 20-minute blocks of the attentional blink task: a *baseline* block without stimulation, a *tDCS* block during 20 minutes of anodal (red) or cathodal (blue) stimulation, and finally a *post*-test block (also without stimulation). The second session (typically 7 days later at the same time of day) was identical, except that the tDCS polarity was reversed.

walked in. Participants performed the task for exactly 20 minutes during the *baseline* and *post* blocks. During the *tDCS* block, the task started after the 1-minute ramp-up of the current was complete, and continued for 21 minutes (constant current, plus 1-minute of ramp-down).

## Task

The attentional blink task (Figure 5.3) was almost identical to the one used in London and Slagter (2015) and Slagter and Georgopoulou (2013), which in turn was based on a task designed by Dux and Marois (2008). A rapid serial visual presentation stream of 15 letters (cf. 17 letters in London and Slagter (2015)) was shown on each trial, using Presentation software (Neurobehavioral Systems, Inc.). Each letter

was displayed for 91.7 ms (11 frames at 120 Hz) on a dark gray background. The letters were presented in font size 40 (font: Courier New) at a viewing distance of 90 cm. On each trial, the letters were randomly sampled without replacement from the alphabet, excluding the letters I, L, O, Q, U and V, as they were too similar to each other. All distractor letters were mid-gray, whereas T1 and T2 were colored. T1 was red and always appeared at position 5 in the stream. T2 was green and followed T1 after either 2 distractors (*lag 3*) or 7 distractors (*lag 8*) (cf. lags 2, 4 and 10 in London and Slagter (2015)).

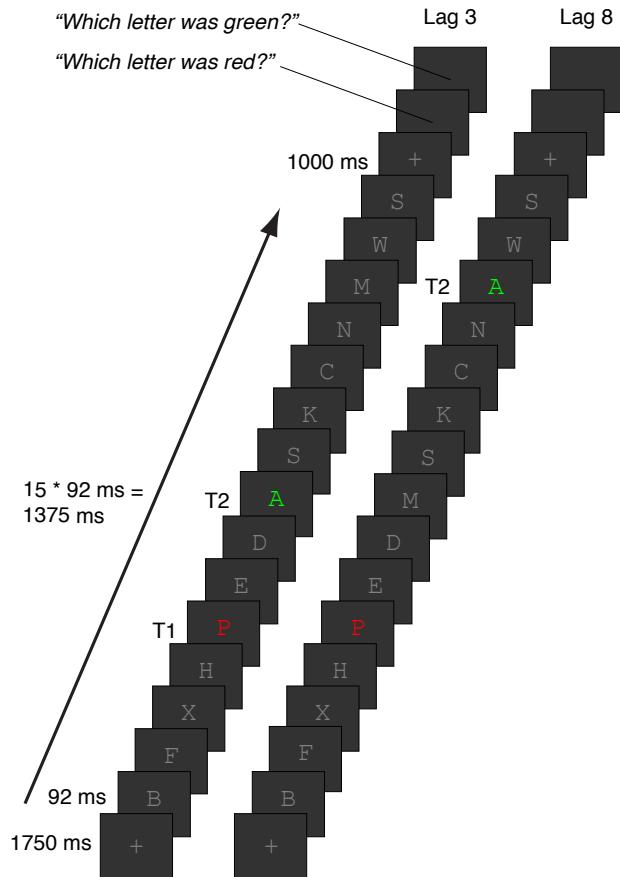
The letter stream was preceded by a fixation cross (same color as the letters) presented for 1750 ms and followed by another fixation cross (presented for 1000 ms). Finally, the participant was prompted to type in (using a standard keyboard) the letter they thought was presented as T1 (“Which letter was red?”), followed by T2 (“Which letter was green?”).

Trial duration varied slightly because both the T1 and T2 response questions were self-paced, so some participants completed more trials than others depending on their response times. On average, participants completed 130 short lag trials ( $SD = 17$ ; range = 78–163) and 65 long lag trials ( $SD = 9$ ; range = 39–87) per 20-minute block.

## tDCS

Transcranial direct current stimulation was delivered online (i.e. during performance of the attentional blink task) using a DC-STIMULATOR PLUS (NeuroCare Group GmbH). The current was ramped up to 1 mA in 1 minute, stayed at 1 mA for 20 minutes, and was ramped down again in 1 minute.

One electrode was placed at F3 (international 10-20 system) to target the lDLPFC; the other was placed over the right forehead, centered above the eye (approximately corresponding to position Fp2). Both electrodes were 5 x 7 cm in size ( $35 \text{ cm}^2$ ), leading to a current density of  $0.029 \text{ mA/cm}^2$ . The electrode montage and tDCS parameters are identical to London and Slagter (2015), with two exceptions. First, we also measured EEG (see the sEBR section), so the EEG electrodes and headcap were applied on top of the tDCS electrodes. Second, we



**FIGURE 5.3 Attentional blink task.** Participants viewed rapid serial visual presentation streams of 15 letters, all of which were distractors (gray letters) except for T1 and T2. T1 was presented in red at position 5; T2 was presented in green and followed T1 after 2 distractors (*lag 3*, inside the AB window) or 7 distractors (*lag 8*, outside the AB window). At the end of the trial, participants reported the identity of T1 and then T2 (self-paced).

used Ten20 paste (Weaver and Company) as the conductive medium, whereas London and Slagter (2015) used sponges soaked in saline.

Participants received either anodal tDCS (anode on F3, cathode on right forehead) or cathodal tDCS (cathode on F3, anode on right forehead) in separate sessions. The procedure was double-blinded: both the participant and the experimenters were unaware which polarity was applied in a given session. The experimenter loaded a stimulation setting on the tDCS device (programmed by someone not involved in data collection), without knowing whether it was mapped to anodal or cathodal tDCS. In the second session, the experimenter loaded a second setting mapped to the opposite polarity (half the dataset), or simply connected the terminals of the device to the electrodes in the opposite way.

At the start of the experiment, participants received a brief trial stimulation, based on which they decided whether or not they wanted to continue with the rest of the session. The experimenter offered to terminate the experiment in case tDCS was experienced as too uncomfortable, but none of the participants opted to do so. For the trial stimulation, the current was ramped up to 1 mA in 45 seconds, stayed at 1 mA for 15 seconds, and was ramped down again in 45 seconds.

## sEBR

Movement of the eyelids across the eyes affects the electrical potential that naturally exists across the eyeball (Matsuo, Peters, & Reilly, 1975). Blinks can thus be recorded with electrodes placed on the face and/or scalp (Luck, 2005).

We used a BioSemi ActiveTwo system with 64 Ag/AgCl active electrodes, placed according to the (10-10 subdivision of the) international 10-20 system. Two pairs of additional external electrodes were placed to record the electro-oculogram (EOG): above and below the left eye, and next to the left and right outer canthi. Finally, another pair of electrodes on the left and right earlobes served as the reference. This full setup was used because we also recorded the EEG during task performance. This dataset is available elsewhere (Reteig et al., 2019).

sEBR was recorded for 6 minutes after setting up the EEG in each session. Participants were asked to sit still and look straight ahead at

a white wall (about 1 meter away). Participants were told they were allowed to move their eyes, but the experimenter made no mention of eye blinks. The “cover story” was that we needed to monitor the quality of the EEG signal before being able to start the recordings. Because blink rate can increase in the evening (Barbato et al., 2000), but is stable during the day time (Barbato et al., 2000; Doughty & Naase, 2006), we made sure all recordings were completed before 5 PM. Most participants started their first and second sessions at the exact same time of day (34 participants; 4 participants started their second session 5 hours earlier/later than their first, 2 started 3 hours earlier/later).

The raw data were preprocessed using the EEGLAB toolbox (Delorme & Makeig, 2004) in MATLAB (MathWorks, Inc.). First, data were re-referenced offline to the average of the earlobe electrodes. Next, horizontal and vertical EOG channels were created by subtracting the signals from each member of a horizontal/vertical electrode pair. A high-pass filter with a cut-off of .5 Hz was then applied. Finally, we ran an independent component analysis (ICA) to capture the eye blink events in a single time series. For each recording, we visually inspected the independent components and selected one that appeared to contain the eye blink signals, based on the waveform (large amplitude, positive deflections) and scalp distribution of the ICA weights (loading on frontal and EOG electrodes).

Eye blinks in this component were then detected using a semi-automatic procedure (cf. Slagter & Georgopoulou, 2013; Kruis, Slagter, Bachhuber, Davidson, & Lutz, 2016). First, a voltage threshold was set (initialized to the standard deviation of the signal) which captured most eye blink peaks. This threshold was moved up or down by the analyst if necessary. The sample with the maximum voltage between two threshold crossings was marked as an eye blink, with the restriction that two eye blinks must be at least 400 ms apart. We picked 400 ms as an upper estimate of the duration of a single blink (Caffier, Erdmann, & Ullsperger, 2003). The analyst then inspected the output, and removed or added eye blinks in the case of clear false positives (e.g., a muscle contraction) or false negatives (e.g., an eye blink waveform did not exceed the threshold, or was followed by another clear eye blink within 400 ms).

## Statistical analysis

Data were analyzed using R (Version 3.5.1; R Core Team, 2018)<sup>2</sup> from within RStudio (Version 1.1.463; RStudio Team, 2016). Our analyses were focused on three dependent variables. *sEBR* was expressed as the number of eye blinks per minute. For the AB, we examined  $T2|T1$  accuracy, i.e. the percentage of trials where T2 was reported correctly, out of the subset of trials in which T1 was reported correctly. The size of the attentional blink (*AB magnitude*) was quantified by subtracting  $T2|T1$  accuracy at lag 3 from  $T2|T1$  accuracy at lag 8, for each session in each block. Lastly, we created *AB magnitude change scores* for each session by subtracting AB magnitude in the “baseline” block from the “tDCS” and the “post” blocks, respectively.

## Reliability

We evaluated the test-retest reliability of sEBR and AB magnitude across sessions by computing intraclass correlations (ICCs) using the *psych* package (Version NA; Revelle, 2018). We primarily report the single-rating, two-way ICC for absolute agreement, also known as ICC(2,1) in the conventions from Shrout and Fleiss (1979). This is the most appropriate ICC for test-retest reliability (Koo & Li, 2016). In addition, we report Pearson’s correlation, to be able to compare the reliability of sEBR with Dang et al. (2017), and ICC(3,2), also known as Cronbach’s alpha (McGraw & Wong, 1996), to compare our results with Kruis et al. (2016). We used the interpretation scheme in Koo and Li (2016), which classifies reliability as “poor” for  $ICCs < .5$ ,  $.5 < ICC < .75$  as “moderate”,  $.75 < ICC < .9$  as “good”, and  $ICCs > .9$  as “excellent”. For AB magnitude, we also report Pearson’s correlation, as this measure was used by all previous studies on the reliability of the AB (e.g. Dale, Dux, & Arnell, 2013). Here we also used data from the *baseline* block only, to rule out any influence of tDCS on the reliability scores.

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<sup>2</sup>We, furthermore, used the R-packages *broom* (Version 0.5.1; Robinson & Hayes, 2018), *cowplot* (Version 0.9.99; Wilke, 2019), *here* (Version 0.1; Müller, 2017), *knitr* (Version 1.21; Xie, 2015), *lubridate* (Version 1.7.4; Grolemund & Wickham, 2011), *papaja* (Version 0.1.0.9842; Aust & Barth, 2018), and *tidyverse* (Version 1.2.1; Wickham, 2017).

### ***Relation between sEBR and baseline AB magnitude***

#### *Linear relationships*

We calculated Pearson correlations to test for linear relationships between *sEBR* and *AB magnitude*. We also computed a Bayes factor for these correlations, as proposed by Ly, Verhagen, and Wagenmakers (2016) and implemented in the *BayesFactor* package (Version NA; Morey & Rouder, 2018), with the standard prior distribution ( $\kappa = .33$ ). This Bayes factor ( $BF_{01}$ ) expresses the relative evidence for the null hypothesis of zero correlation, vs. the alternative hypothesis that there is a non-zero correlation. We use the interpretation scheme from Wagenmakers, Love, et al. (2018), where  $1 < BF_{01} < 3$  constitutes “anecdotal” evidence for the null,  $3 < BF_{01} < 10 \sim$  “moderate” evidence, and  $10 < BF_{01} < 30 \sim$  “strong” evidence.

Because Colzato et al. (2008) previously reported a negative relationship between *sEBR* and *AB magnitude*, we computed two additional Bayes Factors that incorporate this prior information. First, we used the same prior but folded all of its mass to negative effect sizes only, effectively providing a Bayes factor for a one-sided test (Wagenmakers et al., 2016). This Bayes factor ( $BF_{0-}$ ) expresses the relative evidence for the null hypothesis of zero correlation, vs. the alternative hypothesis that there is a negative correlation. Second, we computed a replication Bayes Factor, by using the posterior from Colzato et al. (2008) as a prior (J. Verhagen & Wagenmakers, 2014; Wagenmakers et al., 2016). This Bayes factor ( $BF_{0r}$ ) expresses the relative evidence for the null hypothesis of zero correlation, vs. the alternative hypothesis that the correlation is as in Colzato et al. (2008).

#### *Inverted-U-shaped relationships*

To evaluate the presence of an (Inverted-) U-shaped relationship between *sEBR* and *AB magnitude*, we used the “two-lines test” as proposed by Simonsohn (2018). This test revolves around the core assumption in any U-shaped relationship: that a sign flip occurs at a break point in the data. Values on one side of this break point should exhibit a positive relationship (rising flank of the U); values on the other side should exhibit a negative relationship (falling flank of the U).

The “two-lines test” first estimates the value of the break point based on a cubic spline fit to all of the data, and then computes two linear regressions to estimate the slopes on either side of the break point. Both slopes have to be significant and of opposite sign to reject the null hypothesis that there is no U-shaped relationship.

### ***Relation between sEBR and the effect of tDCS on AB magnitude***

We also calculated Pearson and Bayesian correlations between sEBR and *AB magnitude change scores*, cf. the relation between sEBR and AB magnitude at baseline. In general, such a correlation  $r_{A(Y-X)}$  between a change score  $Y - X$  (here, AB magnitude in the *tDCS* or *post* block minus the *baseline* score) and another variable  $A$  (here, sEBR), is a function of four components: 1)  $r_{AX}$ : the correlation between  $A$  and the pre-test  $X$ , 2)  $r_{AY}$ : the correlation between  $A$  and the post-test  $Y$ , 3)  $r_{XY}$ : the correlation between pre- and post-test (i.e., the reliability), and 4)  $SD_y/SD_x$ : the ratio between the standard deviations of the pre- and post-test (Gardner & Neufeld, 1987; Griffin, Murray, & Gonzalez, 1999). Next to the correlation with the difference score, we also computed these constituent components (reported in Tables 5.1 and 5.2). A complementary way to test for  $r_{A(Y-X)}$  is to test whether  $r_X$  and  $r_Y$  are significantly different. We used the Pearson-Filon test (1898) for this purpose, as implemented in the *cocor* package (Version NA; Diedenhofen & Musch, 2015).

### **Data, materials, and code availability**

All of the data, materials, and code for this study are available on the Open Science Framework<sup>3</sup>. The raw task data and sEBR recordings can also be downloaded from this page. The code to preprocess the sEBR data and perform the semi-automatic eye blink detection (cf. Slagter & Georgopoulou, 2013; Kruis et al., 2016) is supplied in MATLAB scripts. We provide the statistical analysis code in the form of an R notebook, detailing all the analyses that we ran for this project, along with the results. We also include an Rmarkdown (Xie et al.,

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<sup>3</sup><https://doi.org/10.17605/OSF.IO/PZBGY>

2018) source file for this paper that can be run to reproduce the pdf version of the text, along with all the figures and statistics.

## 5.3 Results

### Reliability

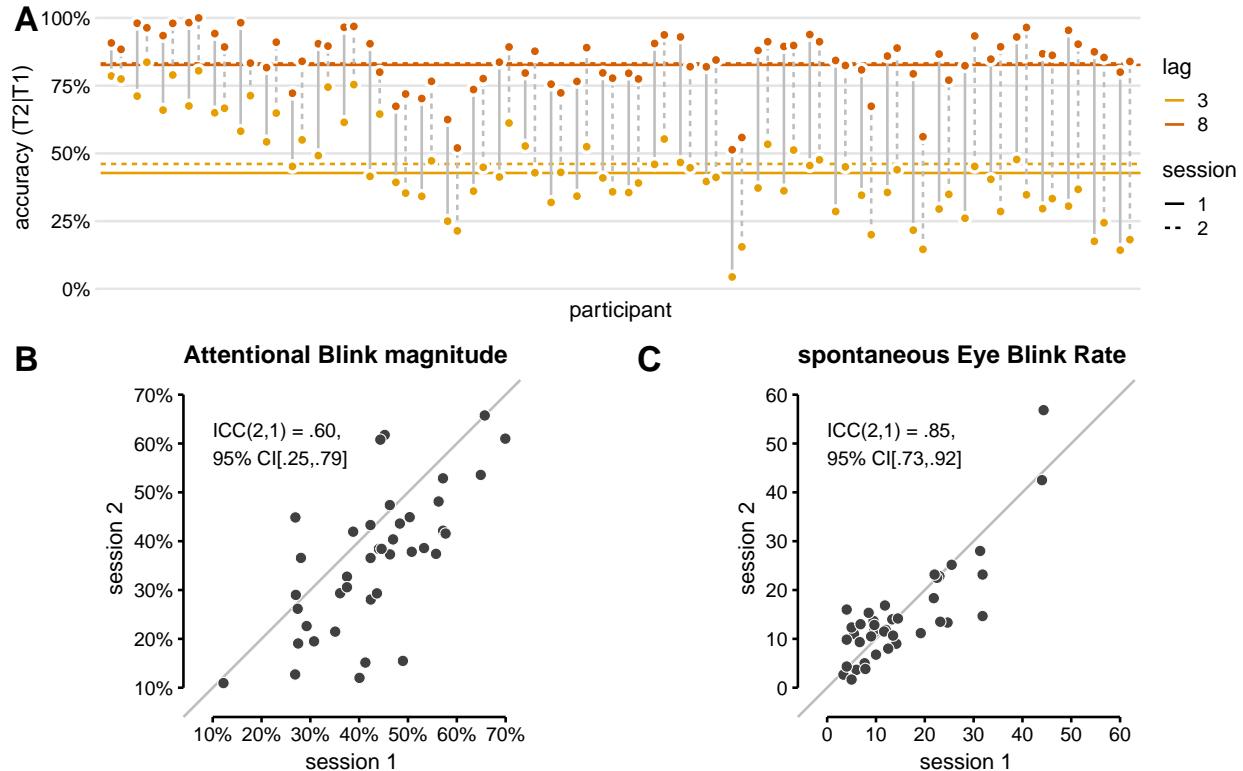
We first examined task performance in the baseline block of both sessions, i.e. before tDCS onset. All participants showed the characteristic AB effect in both sessions: T2|T1 accuracy was higher for lag 8 trials than for lag 3 (Figure 5.4A).

There was also a significant difference in AB magnitude (lag 8 minus lag 3 T2|T1 accuracy) between the sessions: ( $F(1, 39) = 16.53, p < .001$ ). For most participants, AB magnitude was smaller in the second session than the first (Figure 5.4B). The average difference in AB magnitude over sessions seemed to be driven by lag 3 performance only (Figure 5.4A), meaning the AB genuinely decreased with practice.

To be able to uncover relationships between sEBR and AB magnitude (see the [subsequent sections](#)), it is crucial that the test-retest reliability of both measures is adequate, i.e. that there is substantial agreement between the scores in session 1 and 2.

The intraclass correlation for AB magnitude (Figure 5.4B) is .60, indicating “moderate” test-retest reliability (Koo & Li, 2016), with a 95% confidence interval of .25 (poor) – .79 (good). The standard (interclass) Pearson correlation for AB magnitude between sessions is comparable ( $r(38) = .68, CI_{95\%} [.47, .82]$ ) and comparable to previous reports (Dale et al., 2013).

In contrast to AB magnitude, sEBR (Figure 5.4C), did not differ significantly between sessions ( $F(1, 39) = 0.149, p = 0.701$ ). We had some concerns that participants would blink less in the second session, because they had been instructed (after the sEBR measurement in session 1) that blinking can cause artifacts in the EEG signal (recorded during task performance). Yet, if anything, median sEBR was slightly higher in the second session (12.6) than the first (11.7). However, we also asked participants whether they had been in an EEG experiment



**FIGURE 5.4 Reliability of the attentional blink and spontaneous eye blink rate.** (A) All participants showed an attentional blink in both sessions: higher  $T2|T1$  accuracy (%  $T2$  correct in trials where  $T1$  was also correct) for lag 8 (orange) than lag 3 (yellow). Horizontal lines show group-average  $T2|T1$  accuracy. The attentional blink magnitude (lag 8 - lag 3) is slightly smaller in the second session (dotted lines) than the first session (solid lines), due to better lag 3 performance on average. (B) AB magnitude for each participant in session 1 vs. 2. The intraclass correlation indicates moderate test-retest reliability, though the 95% confidence interval ranges all the way from poor to good. AB magnitude in (A) and (B) was calculated on the *baseline* block only, before tDCS onset. (C) sEBR values for each participant in session 1 vs. 2. The intraclass correlation indicates that the test-retest reliability for sEBR is good.

before. Participants that had done so exhibited a greatly reduced median sEBR in both sessions (6.3 in session 1; 4.1 in session 2). Because these were only 6 cases in an already small sample, we are unsure whether this finding is robust, but it is a cautionary note to others aiming to measure sEBR using (a full setup of) EEG electrodes. Because smoking has been reported to increase sEBR (C. Klein, Andresen, & Thom, 1993), we also asked whether participants self-identified as a smoker ( $n = 5$ ). These individuals were not clear outliers in the distribution, neither were those wearing contact lenses ( $n = 4$ ), which also generally should increase blink rate.

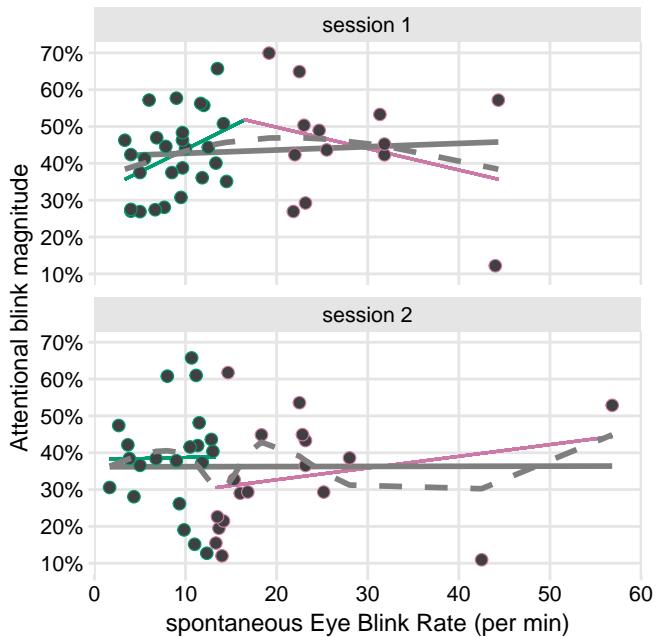
Most importantly, the test-retest reliability for sEBR was “good” (Koo & Li, 2016), indicated by an intraclass correlation of .85 CI<sub>95%</sub> [.73, .92]. The Pearson correlation was  $r(38) = .84$ , CI<sub>95%</sub> [.72, .91] (cf. Dang et al., 2017); Cronbach’s alpha was .91, CI<sub>95%</sub> [.84, .95] (cf. Kruis et al., 2016).

One participant’s sEBR in session 2 seems remarkably high (57 blinks per minute), and is quite a bit higher in session 2 than in session 1 (difference of 12). However, we confirmed this was not a data quality issue, and rerunning the analyses without this participant did not qualitatively change the results in the subsequent sections. Hence, this participant was included in all analyses.

### Relation between sEBR and baseline AB magnitude

Based on the results of Colzato et al. (2008), we should expect to find a negative correlation between sEBR and AB magnitude (in the baseline block). However, the correlation here was not significant in either session 1 ( $r(38) = .08$ , CI<sub>95%</sub> [-.24, .38],  $p = .637$ ), or session 2 ( $r(38) = .003$ , CI<sub>95%</sub> [-.31, .31],  $p = .987$ ). The direction of the effect is close to zero or slightly positive (Figure 5.5), which is not in accord with Colzato et al. (2008).

Bayesian correlations show that the data are  $BF_{01} = 2.57$  times (session 1) and  $BF_{01} = 2.84$  times (session 2) more likely under the null hypothesis, using the default prior. This constitutes some evidence for absence of a correlation, though not more than anecdotal. If we evaluate the prior over negative effect sizes only (based on the negative



**FIGURE 5.5 No significant relationships between sEBR and AB magnitude in the block before tDCS onset.** Grey solid lines show a linear fit over all data points (individual participants), with no clear relationship in both sessions. Grey dashed lines show a cubic spline fit over all data points. Colored lines show two separate linear fits, delimited by the break point in the cubic spline, as estimated with the “two-lines test” (Simonsohn, 2018). Both the spline fit and the two linear slopes suggest an Inverted-U shaped relationship in session 1, but neither slope is significant, and this pattern is not present in session 2.

correlation in Colzato et al., 2008), the support for the null increases slightly and becomes moderate (session 1:  $BF_{0-} = 3.90$ , session 2:  $BF_{0-} = 2.87$ ). Finally, if we use the correlation as in Colzato et al. (2008) ( $r(18) = -.53$ ) as a prior (Wagenmakers et al., 2016), the support for the null hypothesis becomes strong (session 1:  $BF_{0r} = 15.80$ , session 2:  $BF_{0r} = 10.43$ ).

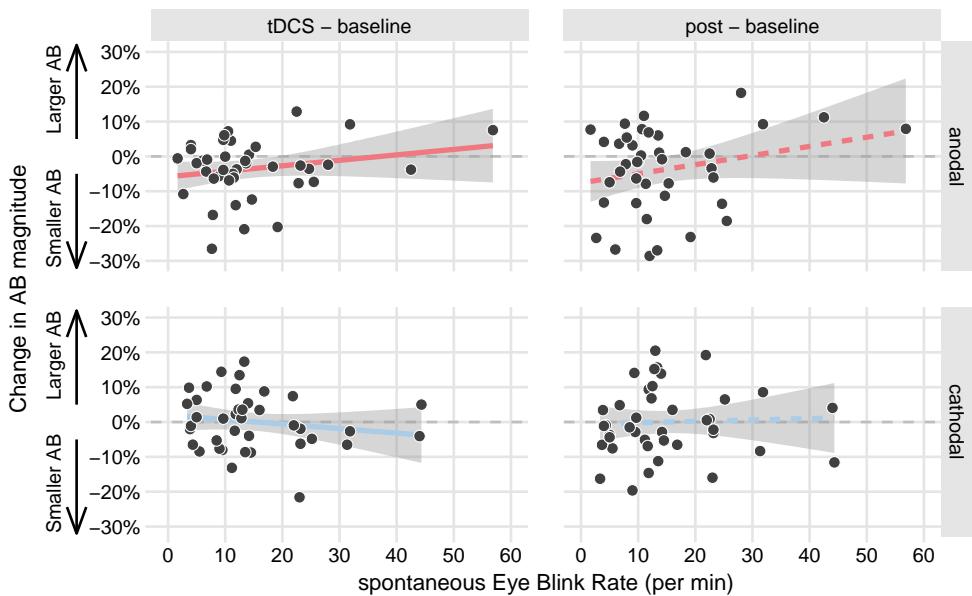
We also probed for an Inverted-U-shaped relationship between sEBR and AB magnitude, using the “two lines test” (Simonsohn, 2018). In session 1, a cubic spline-fit indeed suggests an Inverted-U-shaped relationship (Figure 5.5). The linear regressions do as well, since the first slope is positive ( $b = .012, p = .058$ ) and the second is negative ( $b = -.006, p = .602$ ). However, neither slope is significant. Furthermore, this pattern is absent in session 2 (line 1:  $b = .001, p = .931$ ; line 2:  $b = .003, p = .545$ ), with the spline fit also showing a more erratic pattern.

### Relation between sEBR and the effect of tDCS on AB magnitude

Although there was no relationship between sEBR and AB magnitude itself, sEBR could potentially still be associated with tDCS-induced changes in AB magnitude. We therefore computed AB magnitude change scores (*tDCS - baseline, post - baseline*) in each stimulation condition (*anodal, cathodal*), and correlated these to sEBR. However, this analysis did not uncover any significant relationships (Figure 5.6 and columns 2–4 in Table 5.2). These change score correlations are ultimately based on a number of different variables: the within-session reliability of AB magnitude (Table 5.1), the within-block variability in AB magnitude (Table 5.2), and, most importantly, the separate correlations between sEBR and AB magnitude in each block (Table 5.1). None of the correlations in the baseline block differed significantly from the correlations in the subsequent blocks (columns 5–6 in Table 5.2), again suggesting there was no relationship between sEBR and AB magnitude change scores.

## 5. sEBR & tDCS do not influence attentional blink size

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**FIGURE 5.6 No significant relationships between sEBR and AB magnitude change scores.** Each plot shows spontaneous eye blink rates on the x-axis, and the change in AB magnitude on the y-axis (difference scores of the *tDCS* block - the *baseline* block, or the *post* block - *baseline*) in the *anodal* and *cathodal* stimulation conditions.

## 5.4 Discussion

Dopamine levels play a central role in regulating cognitive functions. tDCS may be used to enhance cognitive functions, but its precise effects appear to be dependent on dopamine as well. Here we attempted to use sEBR—a proxy for dopaminergic activity—to study how dopamine may determine the size of the AB and its modulation by tDCS. As a prerequisite, we assessed the test-retest reliabilities of sEBR and AB magnitude, which proved to be good to moderate, respectively, in line with previous reports (Kruis et al., 2016; Dang et al., 2017; Dale et al., 2013). We then attempted to replicate a result from Colzato et al. (2008), who reported that individuals with high sEBR tend to exhibit a smaller AB. However, we found no significant

TABLE 5.1 Variability of attentional blink magnitude scores and correlation with sEBR, per stimulation condition and block.

Block	<i>r</i> sEBR <sup>a</sup>	SD <sup>b</sup>
<b>anodal session</b>		
baseline	.04	0.140
tDCS	.15	0.148
post	.25	0.137
<b>cathodal session</b>		
baseline	.05	0.136
tDCS	-.05	0.128
post	.09	0.120

<sup>a</sup> Pearson's correlation between AB magnitude and spontaneous eye blink rate

<sup>b</sup> Standard deviation of AB magnitude

linear or Inverted-U-shaped relationship between sEBR and AB magnitude, with more evidence for the null hypothesis of no association. Finally, we also did not find any evidence that sEBR is associated with the effects of tDCS on AB magnitude.

### sEBR and AB magnitude have good to moderate test-retest reliability

The test-retest reliability of sEBR across two testing sessions (separated by about one week) was “good”, bordering on “excellent” (Koo & Li, 2016). Only two other studies examined the reliability of sEBR to date. Dang et al. (2017) ( $n = 18$ ) found a Pearson correlation of .86 between sEBR under administration of bromocriptine (a dopamine agonist) or placebo (separated by 4 hours). Here, Pearson's correlation was comparable (.84). In Kruis et al. (2016), Cronbach's alpha for sEBR ranged from .85 (three measurements of 27 long-term meditators) to .79 (2–3 measurements of 114 meditation-naïve participants).

## 5. sEBR & tDCS do not influence attentional blink size

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TABLE 5.2 Attentional blink magnitude and spontaneous eye blink rate correlations.

contrast	Correlation <sup>a</sup>			Pearson-Filon test <sup>b</sup>		Reliability <sup>c</sup> <i>r</i>
	<i>r</i>	<i>p</i>	<i>BF</i> <sub>01</sub>	<i>Z</i>	<i>p</i>	
<b>anodal session</b>						
tDCS - baseline	.21	.199	1.37	-1.27	.204	.84
post - baseline	.24	.133	1.05	-1.59	.111	.63
<b>cathodal session</b>						
tDCS - baseline	-.16	.313	1.81	1.05	.296	.82
post - baseline	.04	.808	2.77	-0.33	.745	.71

<sup>a</sup> Linear correlation (Pearson and Bayesian) between sEBR and AB magnitude change scores

<sup>b</sup> Test for significant differences between the sEBR vs. AB magnitude correlations (reported in Table 5.1) in the baseline vs. tDCS or post blocks

<sup>c</sup> Pearson correlation between AB magnitude scores in the baseline vs. tDCS or post blocks

In our data, Cronbach's alpha was even slightly higher (.91). Together, all three studies suggest that sEBR scores are trait-like and stable over time, even for short measurements of only several minutes (6 minutes here and in Kruis et al. (2016); 5 minutes in Dang et al. (2017)). Although the reliability estimates are comparable, we measured sEBR twice under baseline conditions, whereas Dang et al. (2017) administered a dopamine agonist in one measurement (vs. placebo), and Kruis et al. (2016) measured sEBR following meditation practice (vs. a regular day). We did find that participants with prior EEG experience exhibited a two- to threefold lower sEBR. Future studies that measure sEBR with EEG electrodes might want to take this into account. However, this observation was based on only 6 participants, and sEBR did not significantly differ between sessions, despite the fact that all participants had EEG experience after the first session.

In contrast to sEBR, AB magnitude was significantly smaller in the second session. Previous studies have reported that performance on an AB task can improve across sessions (Dale et al., 2013; Slagter et al., 2007), but for targets at all lags, whereas here we observed a specific increase in lag 3 T2|T1 performance (inside the attentional blink window). Test-retest reliability of AB magnitude was lower than sEBR: the point-estimate indicated only “moderate” reliability, though the 95% confidence interval was also consistent with “poor” to “good” reliability. However, this is comparable to previous reports (see Table 5.3). The Pearson correlation in the present study ( $r = .68$ ) is even on the higher end of the range reported in previous studies (though note that regular correlations can overestimate “true” test-retest reliability (Bland & Altman, 1986)).

TABLE 5.3 Previous reports on the reliability of AB magnitude. Note that these all used interclass (Pearson) correlations.

Study	n	Correlation	Notes
Kelly and Dux (2011)	37	.33, .34, .48, .52,	test and retest on same day; different values correspond to different tasks
Dale and Arnell (2013)	46	.62, .39	test and retest one week apart; 1st value is a task with a set-switch, 2nd is without
Dale et al. (2013)	118	.41, .41, .45, .48, .49	test and retest one week apart; different values correspond to different tasks
London and Slagter (2015)	34	.58	test and retest one week apart; almost same task as present study

The moderate reliability for AB magnitude limits the correlation that can be obtained between AB magnitude and sEBR. The AB phenomenon might suffer from the “individual differences paradox” (Hedge, Powell, & Sumner, 2018): precisely because it is robust at the group level (almost everyone has an AB), it might not exhibit sufficient between-subject variability to be reliable. On the other hand, the AB

seems to have a larger range of individual differences than other tasks (Willems & Martens, 2016), and even a moderate reliability should provide “enough room” to detect correlations between sEBR and AB magnitude in a plausible range.

### No significant relationships between sEBR and baseline AB magnitude

Colzato et al. (2008) ( $n = 20$ ) found a negative correlation between sEBR and AB magnitude. Here, this correlation was not significant in either session, with an effect size around 0 (session 2) or even slightly positive (session 1). The main difference between both studies seems to be the distribution of AB magnitude scores. The AB was shallow in Colzato et al. (2008), as average AB magnitude was less than 10%, with 5 out of 20 participants showing either a “negative” AB (higher T2|T1 accuracy at the shortest lag) or an AB magnitude around 0. Here, the blink was much more pronounced (40% on average)—even the best performing participant had an AB magnitude (11%) that exceeded the average in Colzato et al. (2008).

Our AB task also involves an attentional set switch, while the task used by Colzato et al. (2008) did not. In our task, T1 and T2 had different features (T1 was red; T2 was green), so participants had to update their attentional template and detect the second target on the basis of a different feature (color) than T1. In Colzato et al. (2008), T1 and T2 were both digits, and thus belonged to the same set. Kelly and Dux (2011) suggest that such a set switch introduces an additional bottleneck that is dissociable from the AB (Potter, Chun, Banks, & Muckenhaupt, 1998). However, follow-up studies have shown that there is still a sizable correlation between non-switch and switch-versions of AB tasks (Dale et al., 2013; Dale & Arnell, 2013). Although a set switch may introduce additional variance, it seems unlikely this would be sufficient to completely abolish a correlation between sEBR and AB magnitude. However, it could have reduced the size of the effect to a degree where it could no longer be reliably detected. The correlation between sEBR and AB magnitude in Colzato et al. (2008) ( $r = -.53$ ) appears to exceed the average reliability of AB magnitude itself (Table 5.3), suggesting it might be an overestimate of the true correlation. Further

evidence that the effect might be small comes from Unsworth, Robinson, and Miller (2019), who conducted a large-scale study ( $n = 204$ ) and only found a correlation of .17 between sEBR and attentional control (psychomotor vigilance task, antisaccade task), and no correlation with working memory (operation, symmetry, and reading span)—a core component in the AB (Dux & Marois, 2009; Martens & Wyble, 2010). Although our sample size is twice that of Colzato et al. (2008), an effect of this magnitude would not have been detectable. It should also be noted that we used almost the same task as Slagter and Georgopoulou (2013) ( $n = 39$ ), who also did not find a significant correlation between sEBR and AB magnitude. So both our studies do not support a relationship between sEBR and the AB.

No study to date has investigated an Inverted-U-shaped relationship between sEBR and AB magnitude, even though the underlying theory strongly suggests it (Cools & D'Esposito, 2011; Slagter et al., 2012). Here the data do conform to a weak Inverted-U-shaped relationship, but only in session 1, and neither the upward nor the downward slope were significantly different from 0. Because both would have to be significant (Simonsohn, 2018), and each slope is estimated on a different subset of the sample, we likely did not have sufficient power to detect an Inverted-U-shaped relationship. To be able to detect an Inverted-U-shaped relationship, the participants in our sample should also cover the whole range of AB task performance and dopamine levels. If this assumption is not met, then a true Inverted-U-shaped relationship could also masquerade as a linear effect (if all participants are sub-optimal), or no effect (if all participants are in the optimal range).

Two recent studies have cast doubt on the validity of sEBR as proxy for striatal dopamine. Both used PET to measure dopamine non-invasively in humans, but found no relation between sEBR and striatal dopamine receptor availability (Dang et al., 2017) or dopamine synthesis capacity (Sescousse et al., 2018). So, even though we find no relation between sEBR and the AB, there could still be a true relationship between dopamine and the AB—sEBR might simply not have high validity to measure it accurately.

### No significant relationship between sEBR and the effect of tDCS on AB magnitude

We suggested two factors that might underlie individual differences in the effects of tDCS on the AB (See Figure 5.1). First, baseline cortical excitability might partially determine whether tDCS leads to behavioral improvements or impairments (Krause et al., 2013) (Figure 5.1, left panel). This seems to fit the results of London and Slagter (2015), who found that individuals that benefited from anodal tDCS tended to worsen with cathodal tDCS (and vice versa). However, we did not replicate this negative correlation between the effects of anodal and cathodal tDCS (see Chapter 4), so this finding may not be robust.

Second, baseline dopamine levels may also partially determine the behavioral effects of tDCS (Wiegand et al., 2016) (Figure 5.1, right panel). Assuming that sEBR is a valid index of dopamine levels, we found no evidence for this result, though our Bayes Factor analyses also did not indicate strong evidence of absence. The model proposed by Wiegand et al. (2016) is based on just two studies (Plewnia et al., 2013; Nieratschker et al., 2015) that show different effects of tDCS in different COMT-subtypes, who should vary in baseline dopamine levels. However, a more recent study found that offline effects of pre-frontal tDCS on working memory did not interact with COMT genotype (Jongkees, Loseva, Yavari, Nitsche, & Colzato, 2018). Because tDCS may also induce activation of (Meyer et al., 2019) and dopamine release in the striatum (Tanaka et al., 2013; Fonteneau et al., 2018), the model is complicated even further. On a more basic level, it is also still disputed whether the COMT polymorphism can affect cognitive functioning (Barnett, Scorials, & Munafò, 2008), as most studies are likely severely underpowered (Border et al., 2019). The only study that looked at the relation between COMT and the AB also found no association (Colzato, Slagter, Rover, & Hommel, 2011), although this was a very small study for genotyping standards. Thus, the overall evidence that the effect of tDCS on the AB depends on baseline dopamine levels is preliminary.

Finally, it is unclear how both axes of the model (Figure 5.1) relate to each other. Pairing tDCS with dopaminergic drugs produces complex and non-linear effects on cortical excitability (Monte-Silva et al.,

2009; Fresnoza, Paulus, Nitsche, & Kuo, 2014). Two studies that have combined tDCS and administration of tyrosine (a dopamine precursor) in cognitive tasks have indeed led to divergent results. Cathodal tDCS of the IDLPFC appears to counteract the beneficial effects of tyrosine, as their combination had no net behavioral effect (Jongkees et al., 2017; Dennison, Gao, Lim, Stagg, & Aquili, 2018). In contrast, combining anodal tDCS with tyrosine led to impaired performance on an n-back task, where anodal tDCS alone would be expected to enhance performance (Jongkees et al., 2017). Future studies that manipulate both dopamine levels and cortical excitability are necessary to uncover the physiology that could lead to such interactions.

## Conclusions

We found that spontaneous eye blink rate is a reliable measure, but that it does not relate to the attentional blink, or to changes in attentional blink size following tDCS. Because dopamine is central to healthy cognitive and brain function, it remains plausible that dopamine interacts with manipulations of brain function, such as tDCS, as well as cognitive tasks such as the AB. But there is no good prior for how large the influence of dopamine is. Considering the large individual variability in AB size (Willems & Martens, 2016), and the many factors that play a role in tDCS outcome (L. M. Li, Uehara, & Hanakawa, 2015; Krause & Cohen Kadosh, 2014), dopamine might account for only a small portion of the total variability. In addition, sEBR only provides an indirect measure of dopamine function, and this has recently also been questioned (Dang et al., 2017; Sescousse et al., 2018). More large-scale studies that include more direct measurement (e.g., using ligand-PET) or manipulation (e.g., using pharmacology) of dopamine function will be needed to pinpoint the unique contribution of dopamine.



## PART III

# **Decreasing sustained attention with mental fatigue**



## CHAPTER 6

# **Sustaining attention for a prolonged period of time increases temporal variability in cortical responses**

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*This chapter has been published as:* Reteig, L. C., van den Brink, R. L., Prinssen, S., Cohen, M. X., & Slagter, H. A. (2019). Sustaining attention for a prolonged period of time increases temporal variability in cortical responses. *Cortex*, 117, 16–32. <https://doi.org/10.1016/j.cortex.2019.02.016>

**ABSTRACT** Our ability to stay focused is limited: prolonged performance of a task typically results in mental fatigue and decrements in performance over time. This so-called vigilance decrement has been attributed to depletion of attentional resources, though other factors such as reductions in motivation likely also play a role. In this study, we examined three electroencephalography (EEG) markers of attentional control, to elucidate which stage of attentional processing is most affected by time-on-task and motivation. To elicit the vigilance decrement, participants performed a sustained attention task for 80 minutes without breaks. After 60 minutes, participants were motivated by an unexpected monetary incentive to increase performance in the final 20 minutes. We found that task performance and self-reported motivation declined rapidly, reaching a stable level well before the motivation manipulation was introduced. Thereafter, motivation increased back up to the initial level, and remained there for the final 20 minutes. While task performance also increased, it did not return to the initial level, and fell to the lowest level overall during the final 10 minutes. This pattern of performance changes was mirrored by the trial-to-trial consistency of the phase of theta (3–7 Hz) oscillations, an index of the variability in timing of the neural response to the stimulus. As task performance decreased, temporal variability increased, suggesting that attentional stability is crucial for sustained attention performance. The effects of attention on our two other EEG measures—early P1/N1 event-related potentials (ERPs) and pre-stimulus alpha (9–14 Hz) power—did not change with time-on-task or motivation. In sum, these findings show that the vigilance decrement is accompanied by a decline in only some facets of attentional control, which cannot be fully brought back online by increases in motivation. The vigilance decrement might thus not occur due to a single cause, but is likely multifactorial in origin.

## 6.1 Introduction

Our ability to stay focused is not limitless. When a task requires sustained attention for a prolonged period of time, performance on that task typically decreases, and mental fatigue increases (Ackerman, 2011). This phenomenon is known as the time-on-task effect or the vigilance decrement (Warm et al., 2008). Unfortunately, the vigilance decrement is most pronounced in situations where its consequences can be dire: in airport security personnel looking for suspicious objects in luggage, lifeguards scanning the horizon for potential drowning victims, or truck drivers that spend long periods of time on the road. What these scenarios have in common is a high rate of stimuli that require no action (e.g., trees next to the road), coupled with signals that are rare and easy to miss, but critical (e.g., a dog about to cross the road) (Parasuraman, 1979). These principles have been translated into more simple experimental tasks, in which case the vigilance decrement is also observed (Bartlett, 1943; Mackworth, 1948).

From decades of research, three broad classes of frameworks have emerged that attempt to explain the origin of the vigilance decrement in terms of *overload*, *underload*, and *motivational control*. *Overload* theories mainly attribute the vigilance decrement to depletion of cognitive resources (Helton & Warm, 2008). In this view, successful monitoring of incoming information requires allocation of limited resources to the task at hand. When vigilance has to be maintained over time, the pool of resources is steadily depleted, causing task performance to progressively worsen.

Alternatively, *underload* accounts hold that typical vigilance tasks are simply not stimulating enough to continue to capture attention, causing people to disengage from the task (Manly, Robertson, & Galloway, 1999; Robertson, Manly, Andrade, Baddeley, & Yiend, 1997). As attention is directed away from the task, participants also become occupied with task-unrelated thoughts—they start mind wandering (Smallwood & Schooler, 2006), and this is what causes performance to drop.

Yet other factors, such as motivation, likely also play an important role. *Motivational control theories* (Hockey, 1997) are based on the

## 6. EEG markers of sustained attention

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premise that sustained attention requires sufficient motivation and low levels of aversion to the task at hand. Task performance is only maintained if a cost-benefit analysis shows that the rewards obtained by doing so (e.g., appreciation from others) offset the costs (e.g., stress). Feelings of fatigue and effort expenditure are experienced when instead the costs start to outweigh the benefits (Kurzban, Duckworth, Kable, & Myers, 2013).

Some more recent proposals recognize that these three classes are not mutually exclusive. For instance, the resource control model synthesizes overload and underload accounts, by explaining the vigilance decrement as a disproportionate amount of resources that are occupied by mind wandering (Thomson, Besner, & Smilek, 2015). Likewise, the beneficial effects of motivation on task performance may be mediated by mind wandering (Mrazek et al., 2012) as more motivated participants tend to have less task-unrelated thoughts (Seli, Cheyne, Xu, Purdon, & Smilek, 2015; Seli, Schacter, Risko, & Smilek, 2017). Other hybrid models have combined the resource depletion and motivational control accounts by construing cost-benefit analysis mainly in terms of energy expenditure (Boksem & Tops, 2008; Christie & Schrater, 2015).

All in all, it is not yet fully understood why it is so difficult to sustain attention for a prolonged period of time. A better understanding of the neural mechanisms underlying the vigilance decrement may help to answer this question. A large body of research has shown—using the high-temporal resolution of EEG—that attention can change neural processing at different stages. Tracking these neural markers of attention over time may elucidate how time-on-task changes information processing.

First, the ability to sustain attention (as indexed by reaction time variability) has been linked to neural response variability, specifically the cross-trial consistency of the phase of post-stimulus theta oscillations (Lutz et al., 2009). However, no studies have examined how this theta phase response changes with time-on-task. Second, attention can enhance early visual processing, as reflected in the amplitude of the visual-evoked P1 and N1 components (Eason, Harter, & White, 1969; Luck et al., 1994; Mangun & Hillyard, 1991). But it

remains unclear how P1 and N1 amplitude are affected by time-on-task: some studies have demonstrated decreases in N1 amplitude with time-on-task (Boksem, Meijman, & Lorist, 2005; Faber, Maurits, & Lorist, 2012), but others report that the N1 remains stable (Koelega et al., 1992; Bonnefond, Doignon-Camus, Touzalin-Chretien, & Dufour, 2010). Finally, top-down attention can bias visual regions in advance through modulations of pre-stimulus alpha-band activity (O'Connell et al., 2009; Mazaheri et al., 2014). Although some studies have shown that alpha power increases with time-on-task (Boksem et al., 2005; Bonnefond et al., 2010; Bonnefond, Doignon-Camus, Hoeft, & Dufour, 2011), this could also reflect changes in general arousal or alertness (Cajochen, Brunner, Krauchi, Graw, & Wirz-Justice, 1995; Drapeau & Carrier, 2004), rather than a specific change in top-down attention.

In the current study, we used these three EEG markers to track changes in attention with time-on-task. Each indexes a different stage of attentional processing: late post-stimulus (theta phase), early post-stimulus (P1/N1 components) and pre-stimulus (alpha power). Our approach might thus yield a better understanding of which specific attentional processes deteriorate over time with prolonged sustained attention performance. To this end, we designed an experiment that allowed us to examine changes in attentional control itself, teasing it apart from other factors like changes in general arousal or motivation. EEG was continuously recorded while participants performed a sustained attention task for 80 minutes (without breaks) in which they had to detect rare targets. We expected to find the classic vigilance decrement, i.e. that participants' ability to discriminate targets from non-targets would decrease with time-on-task.

We also aimed to explore how changes in motivation would interact with the vigilance decrement and its neural correlates. To do so, after 60 minutes of time-on-task, we motivated participants to increase their performance by offering the prospect of a monetary reward that was contingent on adequate task performance. Several studies have shown that social comparison or monetary rewards can improve performance (Bonnefond et al., 2011; Hopstaken, van der Linden, Bakker, & Kompier, 2015; Boksem, Meijman, & Lorist, 2006;

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Lorist et al., 2009). Yet, it is still unclear whether motivation acts directly upon neural mechanisms that are critical to sustaining attention, or if motivation enhances task performance through other means.

We formulated specific predictions for each of our three EEG measures. First, we hypothesized that the EEG response evoked by the stimulus at later stages of information processing would become less stable over time, as attention becomes more reactive with increasing time-on-task. The consistency in the timing of stimulus-evoked responses can be quantified with inter-trial phase clustering (ITPC), a measure based on the phase angles of oscillatory neural activity (Van-Rullen, Busch, Drewes, & Dubois, 2011). ITPC in the theta (4–8 Hz) band was reported to increase following meditation training (Lutz et al., 2009; Slagter, Lutz, Greischar, Nieuwenhuis, & Davidson, 2009), suggesting that training enhanced attentional stability. Here, we expect to find the opposite: because attentional stability should degrade with time-on-task, resulting in more variability in the timing of stimulus processing, theta ITPC should decrease. Although to our knowledge no studies have specifically examined time-on-task effects, earlier studies have reported that fatigue (resulting from sleep deprivation) may decrease theta ITPC (Eidelman-Rothman et al., 2018; Hoedlmoser et al., 2011).

Second, if time-on-task affects early visual processing, the effect of top-down attention on P1/N1 amplitude should weaken over time. That is, the difference between trials in which attention was successfully deployed (resulting in a correct identification of the target—a hit) and trials in which attention lapsed (resulting in a miss) should decrease. Two earlier studies reported decreases in absolute N1 amplitude over time in spatial cueing (Boksem et al., 2005) and flanker (Faber et al., 2012) tasks, but no change in P1 amplitude. However, these reductions in absolute N1 amplitude could also reflect habituation. Furthermore, two other studies using more traditional sustained attention tasks found that N1 amplitude remained stable with time-on-task (Bonnefond et al., 2010; Koelega et al., 1992).

Finally, time-on-task might also degrade preparatory orienting of attention, as indexed by oscillatory activity in the alpha band (8–14 Hz). A large body of work has demonstrated that spatial atten-

tion can be read out by examining the topographical pattern of alpha power prior to stimulus presentation. For example, when attention is directed to one hemifield (e.g., left) in expectation of a relevant stimulus, alpha power over the ipsilateral (left) hemisphere increases, while alpha power over the contralateral (right) hemisphere decreases (Sauseng et al., 2005; Thut, Nietzel, Brandt, & Pascual-Leone, 2006; Worden et al., 2000). We thus designed our task such that stimuli were only presented left of fixation, requiring participants to covertly deploy attention to one visual hemifield. We expected the typical asymmetry in alpha power to decline with time-on-task, reflecting a decreased ability to direct attention in preparation of the stimulus. Such top-down attention related-changes can be separated from more general, alertness-related increases in alpha power, which do not have this hemisphere-specific signature (Cajochen et al., 1995; Drapeau & Carrier, 2004). One earlier study showed that alpha power becomes more right-lateralized with time-on-task (Newman, O'Connell, & Bellgrove, 2013), but because stimulus location was not cued in this study, this lateralization likely did not reflect a change in top-down orienting of attention.

## 6.2 Materials and Methods

Following Simmons, Nelson, and Simonsohn (2012), we report how we determined our sample size, all data exclusions, all data inclusion/exclusion criteria, whether inclusion/exclusion criteria were established prior to data analysis, all manipulations, and all measures in the study. This study was not preregistered.

A different set of results based on this dataset has been reported in an earlier publication (Slagter, Prinsen, et al., 2016). The experimental task, procedure and participant population is identical.

### Participants

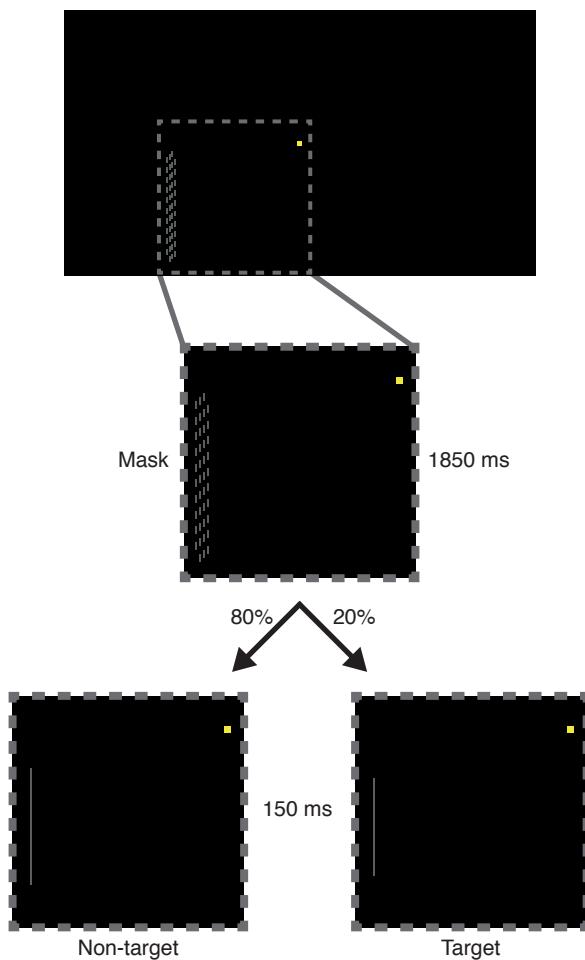
Data of 21 participants (11 female, mean age = 21.6,  $SD = 3.4$ , range = 18–26) are reported here. A total of 30 people participated in the

study, but nine were excluded from data analysis due to a malfunctioning reference electrode (5 participants), poor EEG data quality (2 participants), not completing the task (1 participant), or problems maintaining fixation (1 participant). Our sample size of 30 participants was determined a priori, by comparison to previous studies of the time-on-task effect (K. MacLean et al. (2009): n = 17; Lorist et al. (2009): n = 15, Boksem et al. (2005): n = 17), as well as the literature on attentional modulations of the P1/N1 (e.g. D. Talsma, Mulckhuyse, Slagter, and Theeuwes (2007): n = 16; Grent-'t-Jong and Woldorff (2007): n = 13). The precise exclusion criteria were not determined a priori, but we did anticipate having to exclude some participants due to EEG data quality issues, hence we included more participants than the final sample size of most earlier studies. Participation was precluded in case someone reported getting at least 2 hours less sleep than usual the night before the experiment. The experiment and recruitment took place at the University of Amsterdam. All procedures for this study were approved by the ethics review board of the Faculty for Social and Behavioral Sciences. All participants provided their written informed consent and were compensated with course credit or €7 per hour. A subset (35%) of participants also received another €30 based on their task performance (see [Procedure](#)).

### Task

Participants performed a modified version of the sustained attention task from K. MacLean et al. (2009) (exp. 1, stable version), run using Presentation (Neurobehavioral systems, Inc.). This task required participants to respond when they detected a rare target signal (short lines), but to withhold a response for standard non-targets (long lines) ([Figure 6.1](#)).

Stimuli were presented at a viewing distance of 110 cm against a black background on a 17-inch Benq TFT monitor, running at a 60 Hz refresh rate. Participants were instructed to maintain fixation on a central yellow square (0.11° x 0.11°), which remained on screen throughout the task. All other stimuli were presented 3° to the left and 1.5° lower than fixation, so participants had to continuously and



**FIGURE 6.1 Sustained attention task.** All stimuli were presented 3° to the left and 1.5° below the yellow fixation square. The inset (dotted square) depicts a zoomed view of the stimuli. Each trial started with the presentation of the mask stimulus for 1850 ms. Then either a non-target (long line; 80% of trials) or a target (short line; 20% of trials) was presented for 150 ms. Participants were instructed to only respond to targets.

covertly direct their attention to this location. Every 2000 ms, a light gray line (width: 0.03°) was presented for 150 ms, which could be either short (a target; 20% of trials) or long (a non-target; 80% of trials). Participants were tasked to respond with their right index finger whenever they detected a target (short line). Non-targets were always 1.89° long; target length was calibrated individually (see [Procedure](#)) for each participant ( $M = 1.40^\circ$ ,  $SD = 0.10^\circ$ , range = 1.21–1.59°). Line stimuli were preceded and followed by a mask stimulus, presented for the remaining 1850 ms. The mask was composed of many short lines (0.03° x 0.12°), subtending an area of 0.21° by 2.44°. To prevent participants from judging the length of (non-)target lines relative to the mask, the lines that comprised the mask were vertically shifted by a random small amount (within ±0.06°) on each presentation.

### Procedure

Before the main task, the level at which individual participants performed the task was titrated to achieve a starting point of 80% accuracy (i.e. the target was detected 80% of the time). We did so to equate the task demand across participants and to maximize sensitivity to changes in performance with time-on-task. As in K. MacLean et al. (2009), task difficulty was adjusted by calibrating the length of the target line using Parameter Estimation by Sequential Testing (PEST) (Taylor & Creelman, 1967). PEST is an adaptive thresholding procedure in which the stimulus is adjusted until a stable level of performance is reached. During the PEST procedure, participants received auditory feedback for incorrect (misses and false alarms) or correct (hits only) responses (a “ding” sound for correct; a “whoosh” sound for incorrect). The only other difference between the PEST- and main task versions was a higher target rate (PEST: 1 in every 3.5 trials; main task: 1 in 5), to more quickly estimate the threshold target length.

The time it took to complete the PEST procedure varied modestly between participants (range: 7–13 minutes). Afterwards, participants performed 2400 trials (480 target trials) of the main task, which lasted for 80 minutes. No breaks were included, to prevent participants from mitigating the vigilance decrement by taking rest.

There were only two different interruptions of the task. First, before starting the task and every 10 minutes thereafter (after 300 trials), participants rated their levels of motivation (“Please rate your motivation for performing well on this task”) and aversion (“Please rate your aversion towards this task”) on a 7-point scale (1: “not motivated”/“no aversion”, 7: “highly motivated”/“strong aversion”). Participants had 6 seconds to do so per rating, by moving a cursor along the scale to the number of their choosing.

Second, after 60 minutes, a new screen was displayed informing participants of the chance to gain an additional monetary reward—an option that was unbeknownst to them until then. This manipulation was designed to evaluate whether motivation could counteract the decline in vigilance over time. Participants could gain €30 on top of their normal compensation, if they outperformed at least 65% of the other participants during the final 20 minutes of the task (cf. Lorist et al. (2009); see Appendix C for the full instruction text). These instructions were presented for a maximum of 60 seconds, or until the participant indicated they read them by pressing the mouse button. All participants pressed within 60 seconds, after which they rated their motivation and aversion one additional time (such that we could compare these ratings immediately before and after the motivation manipulation) before the task continued.

### Behavioral data analysis

We computed  $A'$ , a non-parametric index of perceptual sensitivity (Stanislaw & Todorov, 1999), to assess participants’ ability to discriminate targets from non-targets (cf. K. MacLean et al. (2009)):

$$A' = 0.5 + \frac{(H - F)(1 + H - F)}{4H(1 - F)}$$

if  $H > F$ , where  $H$  is hit rate ( $\text{Hits}/(\text{Hits} + \text{Misses})$ ) and  $F$  is false alarm rate ( $\text{False alarms}/(\text{False alarms} + \text{Correct rejections})$ ).  $A'$  can take any value between 0.5 (targets are indistinguishable from non-targets) and 1 (perfect discriminability). To track changes in performance over time,  $A'$  was computed for each block of 10 minutes of the experiment (8 blocks in total).

We also analyzed mean response times in hit trials per 10-minute block. Initially, we also planned to analyze the response time coefficient of variation (mean divided by standard deviation), which is known to increase with time-on-task (Esterman, Noonan, Rosenberg, & Degutis, 2013; van den Brink, Murphy, & Nieuwenhuis, 2016). However, precisely estimating the variability in response time requires more trials with responses than we were left with (Hit trials per 10-minute block:  $M = 33$ ,  $SD = 10.5$ , range = 10–54).

## EEG data analysis

### *Acquisition and preprocessing*

EEG data were recorded at 512 Hz using a BioSemi ActiveTwo system with 64 Ag/AgCl active electrodes, placed according to the (10-10 subdivision of the) international 10-20 system. Two pairs of additional external electrodes were placed to record the EOG, above and below the left eye, and next to the left and right outer canthi.

Preprocessing was performed using the EEGLAB toolbox (Delorme & Makeig, 2004) in MATLAB (Mathworks, Inc.). The continuous EEG data were high-pass filtered at 0.1 Hz using a Hamming-windowed sinc FIR filter. Data were then segmented into epochs from -2000 ms to 3000 ms peri-stimulus (non-targets or targets), including buffer zones on either end to accommodate the edge artifacts that may result from wavelet convolution (see [Time-frequency decomposition](#)). Epochs containing muscle activity, eye movements or large artifacts due to other sources of noise were removed after visual inspection. Bad channels were interpolated with spherical spline interpolation. Subsequently, independent component analysis was performed on all channels (including the EOG channels). Components consisting of eye blinks or other artifacts clearly distinguishable from neural activity were subtracted from the data. Finally, epochs were average referenced and separated into correct rejections, hits and misses. False alarm trials were too few (only 3% of non-target trials) to include in the EEG analysis.

### ***Trial binning***

Corresponding to the behavioral data, correct rejection trials were binned into eight blocks of 10 minutes of task performance. We equated trial numbers across blocks for each participant, by discarding a (randomly selected) subset of trials from blocks that had more trials than the minimum. This was done because our inter-trial phase clustering measure (see [Time-frequency decomposition](#)) in particular can be biased by differences in trial counts between conditions (M. X. Cohen, 2014). After this subsampling procedure, an average of 167 correct rejection trials ( $SD = 22.5$ , range = 124–213) remained per participant for each block. 20-minute bins (4 blocks in total) were used for hit and miss trials, as these were less frequent. Hit and miss trials were also subsampled such that the same amount of hit and miss trials were analyzed per block ( $M = 24$ ,  $SD = 5.4$ , range = 11–33).

This subsampling procedure was repeated 1000 times; each time a trial-average of each measure (see [Event-related potentials](#), [Time-frequency decomposition](#)) was computed. All 1000 subsampled trial-averages were then averaged together, such that the final value should reflect the average across all trials. The subsampling was repeated in this manner to prevent biases in trial selection, for example because the subsample happened to contain mostly trials from the first half of a block.

### ***Event-related potentials***

For the ERP analysis, epochs were baseline corrected from -200 to 0 ms pre-stimulus, and averaged separately for each condition. The resulting ERPs were low-pass filtered at a cut-off of 30 Hz (for plotting purposes, for statistical analysis no low-pass filter was applied).

### ***Time-frequency decomposition***

Time-frequency representations of the EEG data were obtained through complex Morlet wavelet convolution (M. X. Cohen, 2014). Wavelet frequency increased from 2 to 80 Hz in 30 logarithmically spaced steps. The number of wavelet cycles was increased from 3 to 12

## 6. EEG markers of sustained attention

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in the same number of steps, to increase temporal precision at lower frequencies and frequency precision at higher frequencies.

$$ITPC_{tf} = \left| n^{-1} \sum_{r=1}^n e^{ik_{tf}} \right|$$

where  $n$  is the number of trials, and  $e^{ik}$  is the complex representation of phase angle  $k$  on trial  $r$  at time-frequency point  $tf$ .  $ITPC$  is low when phase values are uniformly distributed across trials (bounded at 0, meaning the phase angle at a certain time is completely random from trial to trial).  $ITPC$  is high when phase angles cluster around a preferred value across trials (bounded at 1, meaning the phase angle at a certain time is exactly the same on each trial).

We also extracted power at each time point and frequency, which was subsequently baseline corrected using a decibel conversion (M. X. Cohen, 2014):  $10 \log_{10} P_{tf}/\overline{baseline}_f$ , where  $P_{tf}$  is power at time-frequency point  $tf$  and  $\overline{baseline}_f$  is power at frequency  $f$ , averaged across a -400 to -100 ms window relative to stimulus onset.

Finally, to examine spatial attentional bias, we calculated a lateralization index of trial-averaged power values (again per frequency and time point):

$$\frac{P_{tf}^{right} - P_{tf}^{left}}{P_{tf}^{right} + P_{tf}^{left}}$$

where  $P_{tf}^{right}$  is power at time-frequency point  $tf$  at an electrode on the right side of the midline (e.g. C4) and  $P_{tf}^{left}$  is the equivalent electrode on the left side (e.g. C3). The index is positive when  $P_{tf}^{right} > P_{tf}^{left}$ , and negative for the inverse case. Because this analysis was aimed at examining pre-stimulus power, we did not apply a baseline correction, but instead normalized by total power ( $P_{tf}^{right} + P_{tf}^{left}$ ) (cf. Händel, Haarmeyer, & Jensen, 2011).

## Statistical analysis

$A'$ , hit rate, false alarm rate, and response time were analyzed separately using one-way repeated measures ANOVAs with the within-subject factor Block (eight 10-minute blocks of task performance). If the ANOVA revealed a significant effect, we conducted paired t-tests to examine differences between block 1 (first 10 minutes of task performance), block 6 (last block before the motivation manipulation, see [Procedure](#)), block 7 (first block after the motivation manipulation), and block 8 (last block of task performance). Specifically, we planned pairwise comparisons of block 1 vs. block 6, block 1 vs. block 7, block 6 vs. block 7, and block 1 vs. block 8. Bayesian analogues of these t-tests (with a standard Cauchy prior) (Rouder, Speckman, Sun, Morey, & Iverson, 2009) were used to quantify the relative evidence for an effect ( $BF_{10}$ ) or for the null hypothesis ( $BF_{01}$ ). Motivation and aversion ratings were subjected to a 1x10 repeated measures ANOVA, as there were two ratings in addition to the one after each block: before the task, and directly after the motivation manipulation. For the planned paired tests, these two ratings were used instead of block 1 and block 7.

Similar to the behavioral analyses, EEG data were also subjected to repeated measures ANOVAs with the factor Block. We also followed up each ANOVA with a planned paired test between block 6 and 7, to quantify the effect of motivation. For all EEG analyses, the electrodes, time windows, and frequency windows of interest were determined based on visual inspection of the grand average plots (averaged over participants and blocks) in correct rejection trials.

To examine changes in attentional modulation of early visual processing, our ERP analyses focused on the P1 and N1 components. We extracted average voltage values per participant in a window of 17.5 ms on either side of the P1 and N1 peak. For each participant, the P1 peak latency was defined as the sample with the maximum (positive) voltage within 110–180 ms post-stimulus; N1 peak latency was defined as the sample with the minimum (negative) voltage within 190–260 ms post-stimulus. These values were averaged for two pools of electrodes: left parieto-occipital (PO5, P5, P7) and right parieto-occipital (PO6, P6, P8). We ran separate repeated measures ANOVAs for the P1 and

N1: an 8 (Block) x 2 (Hemisphere: left PO vs. right PO) ANOVA for correct rejection trials, and another 4 (Block) x 2 (Hemisphere) x 2 (Condition: hits vs. misses) ANOVA.

To examine changes in attentional stability, we analyzed ITPC values for the same left PO and right PO electrode pools, as well as a mid-frontal (MF) pool of electrodes (FCz, FC1, FC2). Similar to the ERP analysis, we extracted average values for statistics using participant-specific windows of 60 ms and 1 Hz on either side of the peak value for that participant, within a larger window of 150–500 ms and 3–7 Hz (consistent across participants). We again ran two repeated measures ANOVAs: an 8 (Block) x 3 (Region: left PO vs. right PO vs. MF) ANOVA for correct rejection trials, and another 4 (Block) x 3 (Region) x 2 (Condition: hits vs. misses) ANOVA. We also analyzed theta power, using the exact same electrodes, time-frequency windows, and ANOVA factors.

In an additional post hoc analysis, we quantified the relationship over time between ITPC and  $A'$  using a multilevel growth model. Multilevel growth models are an extension of linear mixed models that can accommodate hierarchical data structures (see e.g. Kristjansson, Kircher, & Webb, 2007). A multilevel growth model is appropriate in our case, because changes over time in variables at one level (ITPC,  $A'$ ) are nested within another level (participants). Specifically, we modeled ITPC per 10-minute block as a fixed effect and  $A'$  per block as the outcome. To account for the correlation between repeated measures, we specified a first-order auto-regressive covariance structure between the 8 blocks, nested within participants.

Finally, to examine changes in preparatory attentional orienting, we extracted the average pre-stimulus (-1000 to -100 ms) power lateralization index values in the alpha range (9–14 Hz), again from the left PO and right PO electrode pools, and subjected these to 1x8 (Block) (correct rejections) and 4 (Block) x 2 (Condition: hits vs. misses) repeated measures ANOVAs.

All statistical analyses were conducted using several R (R Core Team, 2018) packages (Lawrence, 2016; Morey & Rouder, 2018; Pinheiro, Bates, & R-core, 2018; Robinson & Hayes, 2018; Wickham, 2017) from within RStudio (RStudio Team, 2016). Effect sizes are reported as (partial) eta-squared ( $\eta_p^2$ ) or Cohen's  $d$ .  $\alpha$  was fixed at 0.05.

In case Mauchly's test for violations of sphericity was significant, we report Greenhouse-Geisser corrected p-values and degrees of freedom.

### Data, materials, and code availability

The data, materials, and analysis code can be obtained from this study's page on the Open Science Framework<sup>1</sup>, including: The raw behavioral data, as well as the raw and preprocessed EEG data (Reteig, van den Brink, Prinsen, Cohen, & Slagter, 2018); An R notebook (Xie, 2015) that reproduces all of the statistical results in this publication; MATLAB scripts that reproduce all the figures in this publication; MATLAB code to compute the EEG measures from the preprocessed EEG data and extract the data for statistics.

## 6.3 Results

### Behavior

The sustained attention task elicited a robust vigilance decrement: accuracy in discriminating targets from non-targets (defined as  $A'$ ) decreased with time-on-task (Figure 6.2A,  $F(2.50, 49.96) = 7.47, p < .001, \eta^2 = .27$ ). Accuracy declined rapidly until around 30 minutes into the task, when it became more or less stable. Response times also followed this pattern, but stabilized earlier (Figure 6.2B,  $F(3.82, 76.45) = 2.56, p = .047, \eta^2 = .11$ ). With time-on-task, motivation to continue decreased (Figure 6.2E,  $F(3.89, 77.80) = 7.10, p < .001, \eta^2 = .26$ ), while aversion ratings increased (Figure 6.2F,  $F(3.46, 69.17) = 12.67, p < .001, \eta^2 = .39$ ).

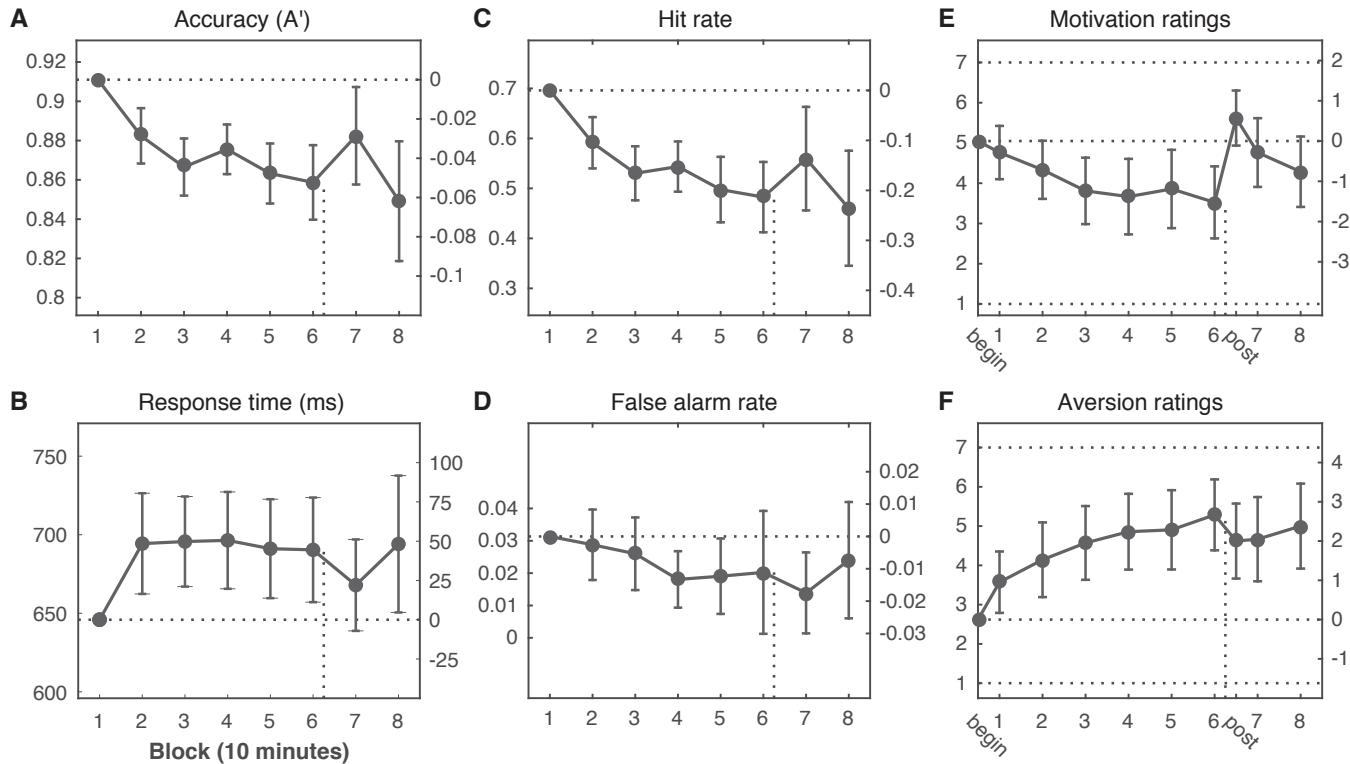
After one hour (after block 6), participants learned that they could earn an additional sum of money if they outperformed at least 65% of the other participants during the final 20 minutes of the task. Just before this manipulation, motivation was at its lowest (begin vs. 6:  $M_{diff} = 1.52, t(20) = 3.55, p = .002, d = 0.78, BF_{10} = 19.9$ ), and aversion was at its peak (begin vs. 6:  $M_{diff} = -2.67, t(20) = -6.16, p < .001, d = -1.34, BF_{10} =$

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<sup>1</sup><https://doi.org/10.17605/OSF.IO/EMF9H>

4057). Right after the motivation manipulation, participants were significantly more motivated compared to before (6 vs. post:  $M_{diff} = -2.10$ ,  $t(20) = -5.14$ ,  $p < .001$ ,  $d = -1.12$ ,  $BF_{10} = 515$ ), and also displayed decreased aversion towards performing the task (6 vs. post:  $M_{diff} = 0.67$ ,  $t(20) = 3.16$ ,  $p = .005$ ,  $d = 0.69$ ,  $BF_{10} = 9.2$ ). Motivation ratings were no longer significantly different from the beginning (begin vs. post:  $M_{diff} = -0.57$ ,  $t(20) = -1.74$ ,  $p = .097$ ,  $d = -0.38$ ,  $BF_{01} = 1.21$ ), and remained at this level after the final two blocks (begin vs. 8:  $M_{diff} = 0.76$ ,  $t(20) = 1.82$ ,  $p = .084$ ,  $d = 0.40$ ,  $BF_{01} = 1.09$ ).

The motivation manipulation improved accuracy in the following block of task performance (6 vs. 7:  $M_{diff} = -0.024$ ,  $t(20) = -2.51$ ,  $p = .021$ ,  $d = -0.55$ ,  $BF_{10} = 2.75$ ). However, accuracy was still significantly lower than the first block (1 vs. 7:  $M_{diff} = 0.029$ ,  $t(20) = 2.40$ ,  $p = .026$ ,  $d = 0.52$ ,  $BF_{10} = 2.28$ ), and reached its lowest point overall in the final ten minutes of task performance (1 vs. 8:  $M_{diff} = 0.062$ ,  $t(20) = 4.23$ ,  $p < .001$ ,  $d = 0.92$ ,  $BF_{10} = 79.2$ ), despite equal levels of self-reported motivation. These changes in  $A'$  appeared to be mostly driven by hit rate (Figure 6.2C), as hit rate also worsened significantly with time-on-task ( $F(2.45, 48.92) = 8.74$ ,  $p < .001$ ,  $\eta^2 = .30$ ), and improved transiently after the motivation manipulation (6 vs. 7:  $M_{diff} = -0.077$ ,  $t(20) = -2.17$ ,  $p = .042$ ,  $d = -0.47$ ,  $BF_{10} = 1.56$ ). False alarm rate appeared to decrease slightly over time (Figure 6.2D), but not significantly ( $F(3.08, 61.69) = 2.30$ ,  $p = .084$ ,  $\eta^2 = .10$ ), and was also not significantly affected by motivation (6 vs. 7:  $M_{diff} = 0.006$ ,  $t(20) = 1.10$ ,  $p = .286$ ,  $d = 0.24$ ,  $BF_{01} = 2.59$ ). False alarms were rare, as false alarm rate was already near the floor at the start of the experiment (3.1% of non-target trials). Finally, although response time showed the same pattern as accuracy (Figure 6.2B), response time did not significantly change after the motivation manipulation (6 vs. 7:  $M_{diff} = 22$ ,  $t(20) = 1.45$ ,  $p = .163$ ,  $d = 0.32$ ,  $BF_{01} = 1.78$ ).



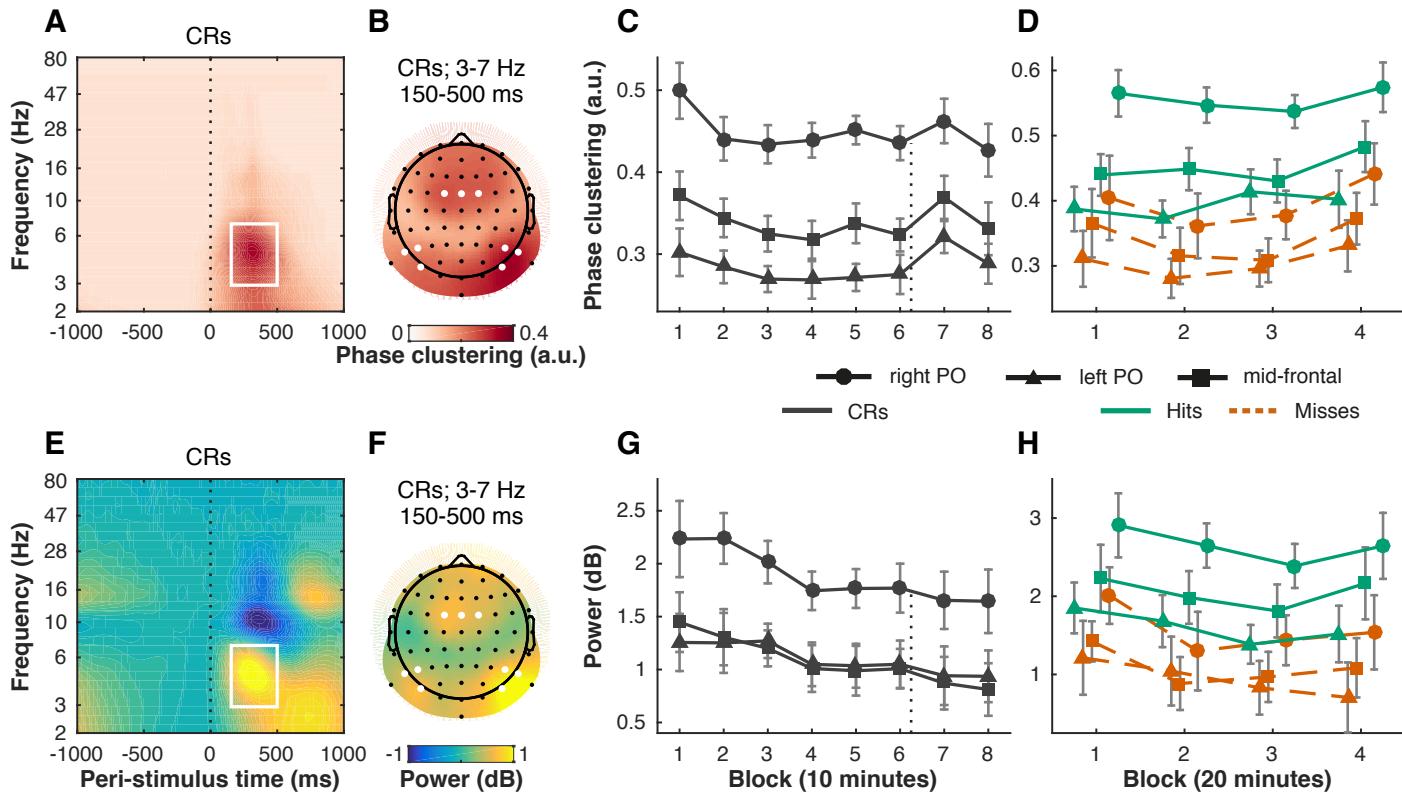
**FIGURE 6.2 Declining performance with time-on-task is only partially counteracted by increases in motivation.** (A) Accuracy ( $A'$ ) declined with time on task and was only partially and transiently restored by the motivation manipulation (after 60 minutes of task performance; vertical dotted line). These changes in accuracy were mirrored in the response time data (B) and mostly due to changes in Hit rate (C); there was no significant change over time in False alarm rate (D). The motivation manipulation successfully restored motivation ratings to their initial levels (E), but aversion ratings (F) remained elevated. The left y-axis of each plot shows the absolute value; the right y-axis shows the change compared to the first measurement (horizontal dotted line). Error bars are 95% confidence intervals of the paired difference between the first and each subsequent mean; paired differences are significant when the confidence interval does not overlap the dotted line. The x-axis depicts time-on-task in blocks of 10 minutes. The motivation and aversion ratings (horizontal dotted lines: minimum of 1, maximum of 7) were taken after each block (1 through 8), as well as before the task started (*begin*) and directly after the motivation manipulation (*post*).

### Attentional stability: theta phase

Inter-trial phase clustering (ITPC) indexes the consistency in timing with which frequency-band-limited activity is elicited from trial to trial. Theta-band ITPC has been interpreted as a marker of attentional stability (Lutz et al., 2009). We therefore hypothesized that theta-band ITPC would decrease with time-on-task. Our data show clear ITPC in a theta-band (3–7 Hz) response from 150–500 ms post-stimulus (Figure 6.3A). The response was maximal over left and right parieto-occipital (lPO, rPO) electrodes, as well as over mid-frontal (MF) scalp sites (Figure 6.3B).

Over the course of the eight task blocks, theta ITPC closely tracked the time course of behavioral task performance (accuracy in  $A'$ ) (Figure 6.3C). Like behavioral performance, theta ITPC decreased with time-on-task ( $F(3.83, 76.52) = 4.46, p = .003, \eta_p^2 = .18$ ) and increased directly after the motivation manipulation at two out of the three scalp regions (left PO, 6 vs. 7:  $t(20) = -3.56, p = .002, d = -0.78, BF_{10} = 20.1$ ; right PO, 6 vs. 7:  $t(20) = -1.94, p = .067, d = -0.42, BF_{10} = 1.09$ ; MF, 6 vs. 7:  $t(20) = -3.13, p = .005, d = -0.68, BF_{10} = 8.64$ ).

To more directly quantify the relationship between theta ITPC and  $A'$  over time, we fitted a multilevel growth model wherein we regressed the  $A'$  scores on the ITPC scores in correct rejection trials. This analysis showed that ITPC significantly predicted  $A'$  over time (left PO:  $b = 0.11, t(166) = 3.11, p = .002$ ; right PO:  $b = 0.12, t(166) = 3.89, p < .001$ ; MF:  $b = 0.15, t(166) = 4.39, p < .001$ ). ITPC was also larger in hit than in miss trials (Figure 6.3D,  $F(1, 20) = 58.31, p < .001, \eta_p^2 = .74$ ), which further corroborates that the theta ITPC signal was relevant for behavior. This difference between hits and misses did not change significantly over time (no Block by Condition interaction,  $F(3, 60) = 1.39, p = .254, \eta_p^2 = .07$ ).



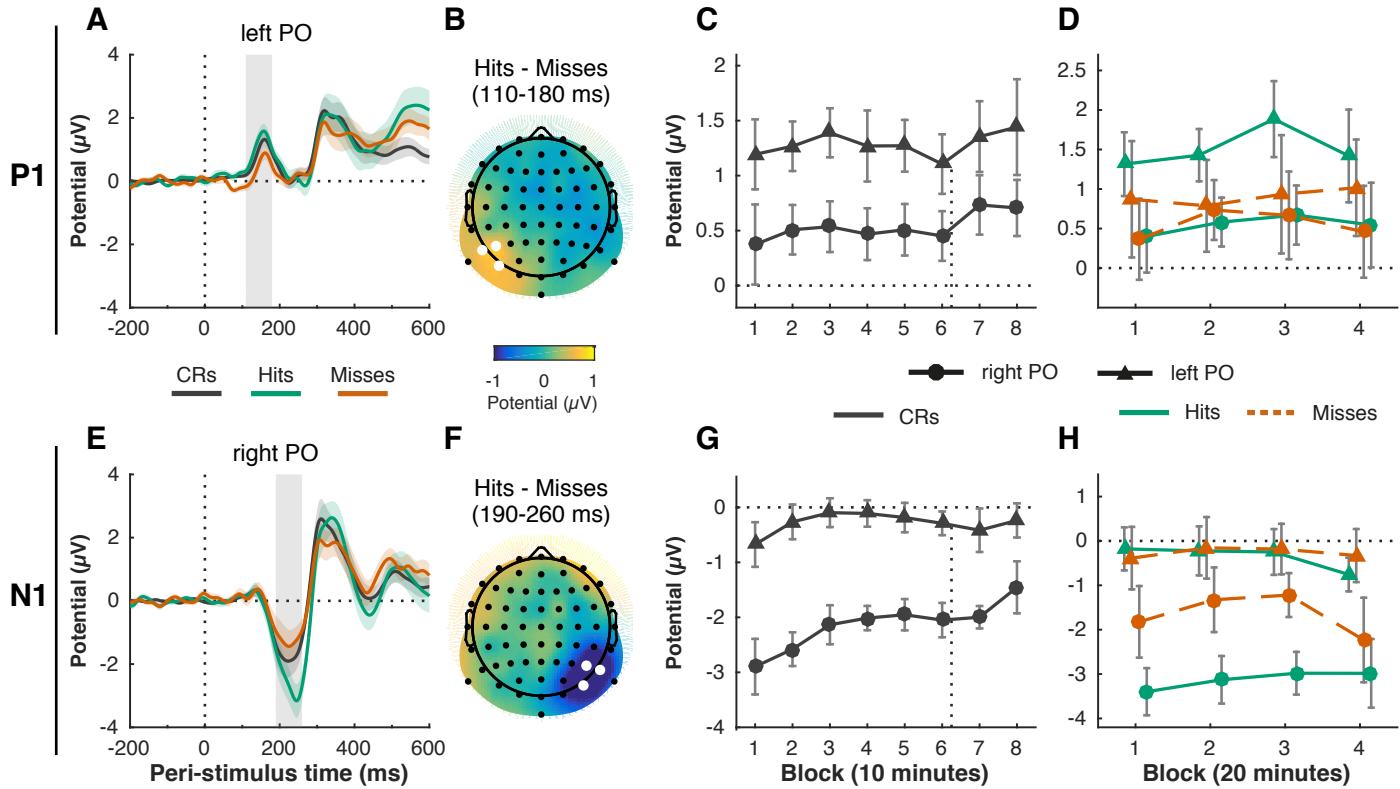
**FIGURE 6.3 Changes in behavioral performance with time-on-task and motivation are closely tracked by cross-trial consistency of post-stimulus theta phase (A–D), but not theta power (E–H).** Theta inter-trial phase clustering (ITPC) peaked from 150–500 ms post-stimulus (vertical dotted-line) between 3 and 7 Hz (time-frequency window outlined in white) (A, average of electrodes of interest) on left parieto-occipital (left PO) electrodes (PO7, P5, P7), right parieto-occipital (right PO) electrodes (PO8, P6, P8), and mid-frontal (MF) electrodes (FC1, FCz, FC2) (B, average of time-frequency window, electrodes marked in white). The same electrode sites and time-frequency windows of interest were used for theta power (E, F), baseline corrected from -400 to -100 ms using a decibel conversion. Theta ITPC in correct rejection trials (CRs) decreased with time-on-task (8 blocks of 10 minutes each) and increased after the motivation manipulation (directly after block 6; vertical dotted line) (C), closely resembling changes in task performance (Figure 6.2A). Power in the theta band decreased linearly over time, but did not change after the motivation manipulation (G). Both theta ITPC (D) and power (H) were higher for hit than miss trials, but this difference did not change significantly over time (4 blocks of 20 minutes each). Error bars are within-subject (Cousineau, 2005; Morey, 2008) 95% confidence intervals.

We also investigated to what extent dynamics in theta power were similar to theta ITPC. Unsurprisingly, we observed a theta power response in the same time-frequency window and electrode sites (Figure 6.3E–F). Like theta ITPC, theta power decreased over time in correct rejection trials (Figure 6.3G,  $F(3.04, 60.85) = 4.91, p = .004, \eta_p^2 = .20$ ). Theta power also differed between hits and misses (Figure 6.3H,  $F(1, 20) = 43.02, p < .001, \eta_p^2 = .68$ ). This difference did not change significantly over time (no Block by Condition interaction,  $F(3, 60) = 1.04, p = .383, \eta_p^2 = .05$ ). However, unlike theta ITPC, theta power was not significantly affected by the motivation manipulation (left PO, 6 vs. 7:  $p = .525$ ,  $BF_{01} = 3.64$ ; right PO, 6 vs. 7:  $p = .409$ ,  $BF_{01} = 3.20$ ; MF, 6 vs. 7:  $p = .214$ ,  $BF_{01} = 2.14$ ).

In sum, theta-band inter-trial phase clustering was higher in hit than in miss trials, suggesting it indexes behavioral performance. Changes in theta ITPC in correct rejection trials were tightly coupled to and predicted changes in behavioral performance ( $A'$ ). Power in the theta band also decreased over time, but did not appear to respond to the motivation manipulation, suggesting that the change in power with time-on-task was partially independent of and less strongly associated with behavior than theta ITPC.

### **Early visual processing: P1 and N1 components**

To investigate how time-on-task and motivation may affect early visual processing, we examined how the P1 (Figure 6.4A–D) and N1 (Figure 6.4E–H) ERP components evolved over the eight task blocks (i.e., in correct rejection trials). N1 amplitude decreased over time (Figure 6.4G,  $F(2.89, 57.75) = 5.79, p = .002, \eta_p^2 = .22$ ), but there was no significant effect of Block for the P1 (Figure 6.4C,  $F(3.68, 73.70) = 1.16, p = .333, \eta_p^2 = .06$ ). The N1 did not change significantly after the motivation manipulation, neither in the left hemisphere (6 vs. 7:  $t(20) = 0.73, p = .472, d = 0.16, BF_{01} = 3.45$ ) nor in the right (6 vs. 7:  $t(20) = -0.33, p = .742, d = -0.07, BF_{01} = 4.18$ ), suggesting that the decrease in the N1 with time-on-task is not sensitive to motivation, and may reflect other factors such as habituation to the repeatedly presented stimulus.



**FIGURE 6.4 Time-on task and motivation do not affect attentional modulation of early visual processing: P1 (A-D) and N1 (E-H) ERP components.** The P1 peaked between 110–180 ms (gray shaded rectangle) post-stimulus (vertical dotted line) on left parieto-occipital (left PO) electrodes (PO7, P5, P7) (A). The N1 peaked between 190–260 ms (gray shaded rectangle) on right parieto-occipital (right PO) electrodes (PO8, P6, P8) (E). The attentional modulation of the components, defined as the difference between hits and misses, was also lateralized to the left PO region for the P1 (B, average of P1 time window, left PO electrodes marked in white) and the right PO region for the N1 (F, average of N1 time window, right PO electrodes marked in white). P1 amplitude (C) did not change significantly over time in correct rejection (CR) trials, but N1 amplitude did decrease with time-on-task (G) (8 blocks of 10 minutes each). The time of the motivation manipulation (directly after block 6) is indicated with the vertical dotted line. There was no significant change in the attentional modulation (hits vs. misses) of the P1 (D) and N1 (H) over time (4 blocks of 20 minutes each). Error bars are within-subject (Cousineau, 2005; Morey, 2008) 95% confidence intervals; shaded areas in (A) and (E) represent the standard error of the mean.

The ANOVA for correct rejection trials also revealed main effects of Hemisphere, reflecting that the P1 was only visible over a left parieto-occipital scalp region ( $F(1, 20) = 13.07, p = .002, \eta_p^2 = .40$ ), whereas the N1 peaked exclusively over the right parieto-occipital scalp region ( $F(1, 20) = 15.28, p < .001, \eta_p^2 = .43$ ). This unusual lateralization of the components is further explored elsewhere (Slagter, Prinsen, et al., 2016). Briefly, we found that this effect could be attributed to the specific stimulus used, as well as the fact that participants were continuously attending to the left hemifield, and the right hemifield was never relevant (which differs from typical attentional cueing studies).

As top-down attention is known to increase the amplitudes of the P1 and N1, we also examined the difference between hit and miss trials, as a proxy for attentional modulation. We hypothesized that with time on task, top-down attentional modulation of these indices of visual processing would decrease. The attentional modulations of both components were also completely lateralized (Condition by Hemisphere interaction), as both the P1 and N1 amplitude were larger for hits than misses, but only in the left and right hemisphere, respectively (P1: Figure 6.4B,  $F(1, 20) = 7.48, p = .013, \eta_p^2 = .27$ ; N1: Figure 6.4F,  $F(1, 20) = 11.86, p = .003, \eta_p^2 = .37$ ). However, this effect did not interact with Block, suggesting that the attentional modulation of visual processing did not change over time or after the motivation instruction (P1: Figure 6.4D,  $F(3, 60) = 0.53, p = .664, \eta_p^2 = .03$ ; N1: Figure 6.4H,  $F(3, 60) = 1.70, p = .177, \eta_p^2 = .08$ ).

In sum, N1 amplitude decreased with time-on-task (in correct rejection trials), but appeared to be unaffected by the motivation manipulation. The P1 and N1 components and attentional modulations thereof were completely lateralized: the P1 dissociated between hits and misses in the irrelevant hemisphere (left); the N1 dissociated between hits and misses in the relevant hemisphere (right) (see Slagter, Prinsen, et al., 2016). However, this pattern did not change significantly with time-on-task or motivation.

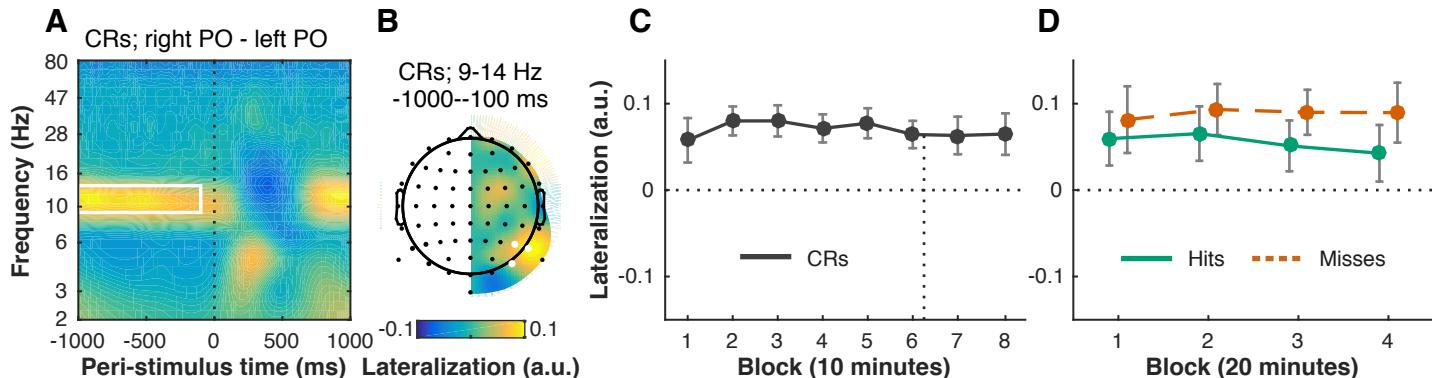
### Preparatory attentional orienting: alpha power

Next to processing of the stimulus itself, we also asked whether time-on-task might degrade preparatory attentional processes. Spatial cues that signal the location of an upcoming stimulus are known to affect the distribution of oscillatory alpha power. Specifically, alpha power decreases over the hemisphere contralateral to the attended location, and increases over the ipsilateral hemisphere. Because stimuli only appeared in the left hemifield in our task, we expected to see higher alpha power over the left (ipsilateral) hemisphere. We also expected to see this lateralization decay over time, as participants became less able to direct their attention in preparation of the stimulus.

However, both of these predictions were not borne out. First, we consistently observed higher power in a 9–14 Hz band over the right parieto-occipital scalp site than over the left (Figure 6.5A–B). Because we predicted exactly the opposite (higher alpha power over the left hemisphere), the alpha lateralization we observed here likely does not index top-down attentional orienting. Instead, like the unexpected lateralization in the P1 and N1 components, this inverse alpha asymmetry is probably due to our unorthodox task design where only the left hemifield was ever relevant (as further discussed in Slagter, Prinsen, et al., 2016), and likely reflects a resting state pattern (Benwell, Keitel, Harvey, Gross, & Thut, 2018; Wieneke, Deinema, Spoelstra, Storm van Leeuwen, & Versteeg, 1980).

Second, this deviant pattern of alpha lateralization did not change with time-on-task (Figure 6.5C,  $F(3.52, 70.42) = 0.94, p = .438, \eta^2 = .04$ , nor was it affected by the motivation manipulation (6 vs. 7:  $t(20) = 0.10, p = .920, d = 0.02, BF_{01} = 4.38$ ). Alpha lateralization was significantly larger in miss compared to hit trials (Figure 6.5D,  $F(1, 20) = 12.68, p = .002, \eta_p^2 = .39$ ) (Slagter, Prinsen, et al., 2016), but this difference did not change significantly over time (no Block by Condition interaction,  $F(3, 60) = 0.46, p = .708, \eta_p^2 = .02$ ).

In sum, although only one hemifield (the left) was ever relevant, we observed higher alpha power over the processing hemisphere (on the right) than the non-processing hemisphere (on the left), which is exactly opposite to the canonical pattern. This deviant alpha lateralization remained stable over time.



**FIGURE 6.5 Pre-stimulus alpha power remains right-lateralized over time, despite stimuli appearing exclusively in the left visual hemifield.** For each time-frequency point, we computed a lateralization index, by subtracting power at each left electrode from its counterpart on the right side, and dividing by the sum. Positive values therefore mean that power was relatively stronger over the right hemisphere. Our data show that pre-stimulus (vertical dotted line) alpha power is right-lateralized (**A**, time-frequency window: 9–14 Hz, -1000 to -100 ms, outlined in white), when comparing left (PO7, P5, P7) and right parieto-occipital (right PO: PO8, P6, P8) electrode sites (**B**, electrodes marked in white). This pattern did not change significantly with time-on-task, neither in correction rejection trials (CRs) (**C**, 8 blocks of 10 minutes each; time of the motivation manipulation indicated with vertical dotted line), nor in hit and miss trials (**D**, 4 blocks of 20 minutes each). Error bars are within-subject (Cousineau, 2005; Morey, 2008) 95% confidence intervals.

## 6.4 Discussion

We aimed to study changes in control of attention as a function of prolonged task performance, and the extent to which these could be explained by changes in motivation. Our participants performed a demanding sustained attention task for 80 minutes, and received an unexpected motivation boost after 60 minutes. We found that performance rapidly declined (i.e. the classic vigilance decrement / time-on-task effect) before the motivation boost. Afterwards, task performance did increase, but only partially (not up to the initial level) and transiently (not for the full remaining 20 minutes), even though self-reported motivation remained at initial levels.

We also recorded EEG to investigate whether changes in behavioral performance over time were accompanied by changes in three markers of attentional control. We examined pre-stimulus lateralization of alpha power as an index of preparatory orienting of attention, but did not find the canonical pattern of lateralization, nor any effect of time-on-task or motivation. In addition, the attentional modulation of the early visual P1 and N1 ERP components also did not change with time-on-task or motivation, though the absolute amplitude of the N1 decreased over time. Finally, in contrast, changes in theta-band inter-trial phase clustering (ITPC) between 150–500ms post-stimulus were closely coupled to the changes in behavioral performance following time-on-task and motivation. Given that ITPC indexes the temporal consistency of neural responses across trials (VanRullen et al., 2011), the readiness of the attentional system to respond to incoming input might reduce with time-on-task. Collectively, our results thus suggest that changes in performance during sustained attention tasks are most closely associated with fluctuations in the stability of later-stage attentional processes.

### Motivation partially and transiently restores vigilance

The sustained attention task induced a robust vigilance decrement: performance rapidly decreased with time-on-task, reaching a plateau after 20–30 minutes of task performance. After 60 minutes, we moti-

## 6. EEG markers of sustained attention

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vated our participants with an extra sum of money if they would outperform 65% of the other participants for the final 20 minutes. This prospect successfully increased self-rated levels of motivation. The motivation boost counteracted the vigilance decrement, as task performance increased in the 10-minute block immediately after participants learned of the reward. This appears inconsistent with the overload framework, which proposes that the vigilance decrement occurs due to depletion of resources—motivation alone should not be sufficient to replenish them.

However, motivation was also not enough to completely stave off the vigilance decrement, as performance was still lower than at the beginning of the task. In addition, participants appeared unable to sustain their new-found stamina: performance in the final block dropped back down to the lowest level overall. So perhaps resource depletion still played a role: participants simply could not keep up their performance, even though they were strongly incentivized to do so and self-reported to be as motivated as they were at the beginning of the task (though our Bayes factor analysis did not show strong evidence for the latter). Our findings are thus in partial agreement with both overload and motivational control accounts of the vigilance decrement.

Previous studies also found mixed evidence for the efficacy of motivation in restoring performance. In some cases, accuracy (Hopstaken et al., 2015) and response time (Boksem et al., 2006; Lorist et al., 2009) can recover after unexpected rewards, sometimes up to or beyond the initial levels. However, all of these studies measured performance at only one time point following the manipulation, so it is unknown whether performance subsequently declined—as we observed here. In contrast, two other studies have found that the slope of the vigilance decrement is not affected by low- or high reward levels (Esterman, Reagan, Liu, Turner, & DeGutis, 2014; Gergelyfi, Jacob, Olivier, & Zénon, 2015).

The main difference is that these latter two studies used trial-based rewards, which may decrease in value over time (Fortenbaugh, Degutis, & Esterman, 2017). Indeed, participants appear to discount the value of rewards when they have to pay the “cost” of sustaining their attention for longer (Massar, Lim, Sasmita, & Chee, 2016). The

same goes for losses instead of rewards: when losses are small and continuous, the vigilance decrement occurs as normal. But when the risk of an instantaneous and large loss looms, the vigilance decrement can in fact be partially attenuated (Esterman et al., 2016).

These observations are in line with motivational control theories (Hockey, 1997; Kurzban et al., 2013), stating that participants are only motivated to keep up performance when benefits outweigh the costs. Note that the level of available resources may still be a principal factor in this cost/benefit computation (Boksem & Tops, 2008; Christie & Schrater, 2015). Perhaps the pattern of performance we observed—a transient increase with motivation, and a subsequent dip—also occurred because participants re-evaluated the cost/benefit ratio during the final stretches of task performance. Indeed, self-reported motivation dropped down again after the initial motivation boost, although motivation did not become significantly lower than at the start of the task.

We highlight two further caveats to our conclusion that motivation can increase performance after the initial vigilance decrement. First, we quantified performance as perceptual sensitivity ( $A'$ ), which should exclusively reflect participants' ability to discriminate targets from non-targets, not other factors such as response bias (the overall tendency for participants to respond 'yes' or 'no'). However, Thomson, Besner, and Smilek (2016) argue that with the very low false alarm rates that vigilance tasks typically exhibit, sensitivity and response bias cannot be fully teased apart. Indeed, false alarm rate in our study was almost at floor and did not change significantly with time-on-task, so we cannot exclude that the effect on sensitivity is partially driven by response bias as well. Note that overload accounts imply a specific sensitivity decrease, but underload accounts do not necessarily.

Second, the effect that the motivation manipulation had on performance might not result from motivation per se, but due to there being a short break in the task: the 1-minute maximum period the participants had to read the instruction. Even short breaks are known to increase performance (Helton & Russell, 2015), though performance can also decrease more in subsequent task blocks after a break (Lim & Kwok, 2016). This pattern matches the changes in performance we observed

after the motivation manipulation. From a strong overload perspective, one could argue that the rest afforded by the break is what caused performance to restore, and that the motivation boost played no causal role. However, the resting opportunity was very brief—all participants resumed the task within one minute—whereas these studies examined breaks of at least a minute, and much longer. Interestingly, Ross, Russell, and Helton (2014) examined the effect of 1-minute breaks in a task very similar to ours (line length discrimination). They show that breaks are effective if they occur earlier in the vigil (after 20 minutes), but not later (after 30 minutes). Because the break in our task occurred much later (after 60 minutes), the findings of Ross et al. (2014) argue against the interpretation that the subsequent increase in performance was simply due to rest.

### **Theta inter-trial phase clustering mirrors effects of time-on-task and motivation on behavioral performance**

Out of our three EEG measures, post-stimulus theta ITPC was most clearly associated with prolonged performance on the sustained attention task. Theta (3–7 Hz) ITPC was larger when the target was successfully detected (hits) than when it was missed, suggesting that it indexes a behaviorally relevant process. Changes in theta ITPC closely tracked changes in behavioral performance—both those due to time-on-task as well as motivation. Theta ITPC diminished with time-on-task, which means that the timing of the neural response across trials became more variable. The increased variability might reflect that participants became progressively less able to prepare for the onset of the visual stimulus by attending at the right moment in time. We thus interpret theta ITPC as a measure of attentional stability, following previous work (Lutz et al., 2009; Slagter et al., 2009). Besides time-on-task, other factors may influence attentional stability: theta ITPC also increased after the motivation manipulation. An earlier study demonstrated that theta ITPC also decreases when participants are mind wandering (Baird, Smallwood, Lutz, & Schooler, 2014).

Oscillatory activity in the theta band is known to occur in frontal and parietal areas in visual attention tasks (Demiralp & Başar, 1992),

particularly when attention has to be sustained (Clayton et al., 2015). The theta-band response in our study was observed over bilateral parieto-occipital electrodes, but also a mid-frontal scalp site. Of course, no conclusions on the source of this activity can be drawn based on the scalp topography, but it is possible that anterior and posterior theta-band activity reflect different processes. Frontal midline theta is strongly associated with cognitive control processes such as action monitoring, and likely originates from the anterior cingulate cortex (Cavanagh & Frank, 2014; Narayanan, Cavanagh, Frank, & Laubach, 2013). However, these theta-band signals are ongoing oscillations that are not phase-locked to external stimuli (M. X. Cohen & Donner, 2013), while the theta ITPC response we observe appears to be strongly phase-locked to the eliciting stimulus. Posterior theta-band activity is often observed following (any) visual stimuli (Klimesch, Sauseng, & Hanslmayr, 2007). Theta ITPC is stronger for unexpected targets, suggesting it is particularly related to attentional reorienting (Daitch et al., 2013). Theta ITPC has also been linked to matching stimuli to a memory template (Freunberger, Klimesch, Doppelmayr, & Höller, 2007; Rizzuto, Madsen, Bromfield, Schulze-Bonhage, & Kahana, 2006). Both interpretations fit our task context, as participants constantly had to evaluate whether the currently presented line matched the template of a short or long line, and targets (short lines) were more infrequent than non-targets (long lines), and thus unexpected.

Aside from the finding that theta ITPC increases with mind wandering (Baird et al., 2014), most of what is known about the relation between theta oscillations and sustained attention is about power instead of phase (Clayton et al., 2015). Several studies using different tasks have reported that frontal-midline theta power increases with time-on-task (Boksem et al., 2005; Umemoto, Inzlicht, & Holroyd, 2018; Wascher et al., 2014; but see Bonnefond et al., 2011). We also examined theta power, yet it decreased with time-on-task (over all electrodes of interest). In addition, we did not observe the same dynamics in theta power as we did in theta ITPC: power did not track behavior as closely and did not change following the motivation manipulation. So it seems that the changes in theta ITPC are independent of concurrent changes in theta power, though we cannot fully rule this out as

even small differences in power can cause differences in estimation of phase (van Diepen & Mazaheri, 2018). In sum, while the exact nature of the signal remains up for debate, our results show that theta ITPC is a strong correlate of later-stage attentional or perceptual processes that are involved in the vigilance decrement.

### **No clear changes in attentional modulation of early visual P1/N1 components**

It is well known that attention can modulate visual processing. Specifically, a large body of work has shown that the amplitude of the early P1 and N1 ERP components increases when a stimulus is preceded by a cue that prompts spatial attention towards it (Luck et al., 1994). As our design did not include such cues, we took the difference between hit and miss trials as a proxy for the effect of top-down attention. While P1 and N1 amplitudes were indeed larger in hit than in miss trials, this difference did not change with time-on-task. The P1 and N1 also did not respond to the motivation manipulation. We therefore conclude that neither motivation nor time-on-task affected top-down modulation of early sensory processing.

The only effect we found was a decrease in absolute N1 amplitude with time-on-task, in accord with previous studies (Boksem et al., 2005; Faber et al., 2012). However, others have previously reported that N1 amplitude remains stable with time-on-task, using tasks that more closely resemble ours (Koelega et al., 1992; Bonnefond et al., 2010). Furthermore, in the absence of any changes in the N1 attention effect, reductions in absolute N1 amplitude cannot be taken to reflect attention-related changes in visual stimulus processing, but may reflect other non-specific effects, such as habituation or perceptual learning.

### **Alpha power lateralization is reversed and unchanging**

One of the most prominent EEG markers of spatial attention is the pattern of alpha lateralization: whenever one visual hemifield is attended, alpha power increases over the ipsilateral hemisphere and decreases over the contralateral hemisphere (Klimesch, 2012). In our task, all

stimuli appeared left of fixation, so we expected a reduction in pre-stimulus alpha power over the right hemisphere, but instead found that alpha power was strongly right lateralized. This inverted alpha power asymmetry might be qualitatively different than the alpha lateralization that is commonly observed in spatial attention studies. In contrast to most studies, we used a task in which only the left hemifield (processed by the right hemisphere) was ever relevant, and there were no trial-to-trial attentional cues or distractors (Rihs, Michel, & Thut, 2009; Slagter, Prinssen, et al., 2016). This particular task thus might not elicit preparatory attentional orienting on each trial. Instead, the expected stimulus location could be encoded in a more long-term form. However, it is unlikely that alpha power reflects this more sustained aspect of task knowledge instead, as alpha power was still right-lateralized when the experiment was changed such that participants sustained their attention to the right hemifield (Slagter, Prinssen, et al., 2016) instead of the left. Either way, it is likely that alpha power does not reflect the same process in the current task context as in previous studies, so we cannot take it as an index of preparatory attentional orienting.

One earlier study found that alpha power becomes more right-lateralized with time-on-task (Newman et al., 2013). In our results, alpha power over the right hemisphere was higher compared to the left hemisphere from the start, with no change over time. A recent study by Benwell et al. (2018) reported very similar results: throughout the task (with stimuli presented at fixation), alpha power was higher over the right hemisphere than the left, but this lateralization did not change with time-on-task. Together, our results suggest that alpha power is simply right-lateralized by default, and that this resting state pattern is not susceptible to time-on-task effects. In contrast, behavioral performance typically does exhibit an increased rightward bias with time-on-task (Benwell, Harvey, Gardner, & Thut, 2013; Dufour, Touzalin, & Candas, 2007; Manly, Dobler, Dodds, & George, 2005). Our stimuli were however always presented on the left, which could have accelerated the vigilance decrement if participants indeed orient more strongly towards the right over time. Again, because we did not observe the typical alpha lateralization pattern, we were unable to examine how spatial attentional biases might change with time-on-task.

## Conclusion

Our study demonstrates that motivation is a key factor that may oppose the vigilance decrement, but also that motivation alone is not sufficient to fully bring task performance back online. Our results are consistent with hybrid approaches that incorporate elements from multiple frameworks (Christie & Schrater, 2015; Thomson, Besner, & Smilek, 2015), particularly motivation and resource depletion. Future studies may also include measures of mind wandering (Smallwood & Schooler, 2006) or mental fatigue (Johnston et al., 2018) to investigate how the interplay of all these factors might give rise to the vigilance decrement. We also identified that the cross-trial consistency of theta phase values—an index of attentional stability—is a close correlate of time-on-task related decreases and motivation-related increases in performance. Other EEG measures of preparatory attention (alpha power) and early visual processing (P1/N1 ERP components) were not. Larger datasets that afford more sophisticated analyses are called for to uncover how the precise relationships between different neural measures of attention and behavioral indices of vigilance unfold across time (Wang et al., 2018). At present, our findings illustrate that the vigilance decrement may not be a unitary construct, but might depend heavily on the task context and the cognitive processes that are tapped.

PART IV

## Conclusion



## CHAPTER 7

# Summary and general discussion

Attention allows us to cope with our inability to process all the information that is available to us. Without attention, we would inevitably lose ourselves in the infinitude of present moment sensory input, past memories, and future plans. As such, attention is by definition a limited capacity process—otherwise it would fall prey to the very problem it was meant to solve. But what if there was a way to enhance attention beyond its limited capacity? To be a little bit faster to find what we are looking for, a little more resistant to distraction, a little more vigilant?

In Part II of this thesis, I studied whether attention can be enhanced through non-invasive brain stimulation, using transcranial Direct Current Stimulation (tDCS) in particular. Because attention is a multi-faceted process involving distributed neural networks, I studied both its spatial and its temporal form, and targeted different brain regions.

In Chapter 3, I examined whether tDCS over the frontal eye fields can improve spatial attention. Because the frontal eye fields are primarily involved in the control of eye movements (i.e., overt spatial attention), I used eye tracking to measure the effect of tDCS, while participants made eye movements to sudden onset targets as fast as possible. I predicted that anodal tDCS would increase baseline activity in the frontal eye fields, and would thereby decrease the latency of eye movements. However, eye movement latency during anodal tDCS did

## 7. Summary and general discussion

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not differ from baseline, or from cathodal tDCS, even though a previous study had reported exactly that (Kanai et al., 2012). tDCS also did not affect the accuracy of eye movements.

In Chapter 4 and Chapter 5, I investigated the effects of tDCS on temporal attention, using the attentional blink task. This study built upon earlier work from our group (London & Slagter, 2015), which showed that the effects of tDCS on the attentional blink differ systematically across individuals. Specifically, the effects of anodal and cathodal tDCS were negatively correlated: individuals that benefited from anodal tDCS tended to worsen during cathodal tDCS (or vice versa). In Chapter 4, I first attempted to replicate this result, using a number of different analyses to quantify replication success. All of these suggested that our study was not a successful replication of London and Slagter (2015). In Chapter 5, I examined whether baseline dopamine levels could predict the effects of tDCS on the attentional blink. I measured spontaneous eye blink rate (sEBR) as an index of dopamine, but sEBR was not associated to changes in attentional blink size following tDCS. Attentional blink size and sEBR were also uncorrelated before tDCS onset (in contrast to an earlier study by Colzato et al. (2008)), which probably partly explains the null result.

All the studies on tDCS and attention in this thesis have thus resulted in null findings. In fact, every study from our group that tried to affect attention with transcranial electrical stimulation (tES; including both tDCS and tACS) has produced null results (van Schouwenburg et al., 2019; van Schouwenburg, Sörensen, de Klerk, Reteig, & Slagter, 2018). One of these studies (van Schouwenburg et al., 2019) attempted to use tES to counter the decrements in attentional performance I studied in Part III of this thesis. This study built in part on the findings presented in Chapter 6, where reductions in sustained attention were associated with more variability in the phase of theta oscillations over midfrontal electrode sites. However, van Schouwenburg et al. (2019) did not find that theta tACS over midfrontal regions reduced the vigilance decrement relatively to a control tACS condition.

The rest of this chapter is focused on discussing these discouraging results. In the next sections, I offer three overlapping categories of explanations: concerning tES and attention specifically, tES studies

more generally, and psychological science as a whole. I end with some directions for future research on tES and attention, as well as some general conclusions that we can draw from this thesis and the current state of the field.

## 7.1 tES and attention

The series of null results in Part II of this thesis is perhaps less surprising when viewed in light of the literature review I presented in Chapter 2. There, I reviewed all published studies (until mid-2016) that used tES to modulate attention; primarily visual search, spatial attention and sustained attention (52 studies in total). In each of these domains, a few studies reported promising outcomes, where tES produced a sizable enhancement of attention. But these were always accompanied by other studies where tES actually worsened task performance, only worked under certain conditions, or had no clear effects at all. It is difficult to get at the source of these differences in outcome, as the studies also varied greatly in their experimental design and choice of stimulation parameters. But in general, we can conclude that enhancements in attention are not easily obtained with tES.

One potential explanation is that the behavioral effects of attention itself are already rather subtle, at least as they are typically measured in the lab. For example, in the Posner task—one of the most widely used paradigms to study attention—participants are cued where a target is likely to appear later, allowing them to shift their attention to this location beforehand. The average benefit that this cue provides (depending on its predictiveness, location, and other parameters) appears to be a decrease in response times to the target of 10–50 ms (Chica et al., 2014). Likewise, attention may also enhance our sensitivity to visual stimuli at a certain location, which according to one study manifests as a 2–8 percentage point increase in contrast (Carrasco, Ling, & Read, 2004). It seems unlikely that tES would be able to further enhance these effects by a large margin. Effects of tES on attention can thus be expected to be small to begin with, which may render it more difficult to obtain them.

There is a different, but related underlying argument here: that the attentional system is functioning close to optimally in healthy individuals. There might simply not be very much room for improvements that tES could bring. In Chapter 3, I suggested this as a possible explanation for our null findings, as the baseline eye movement of our participants was already very fast. One could argue that if task performance is already at ceiling, then cathodal tDCS should still lead to impairments. Yet, the cognitive effects of cathodal tDCS appear to be less consistent (Jacobson et al., 2012).

Moreover, considering that multiple attention-related brain areas will be active at any given moment, stimulation-induced changes in one area could be compensated for by the rest of the network. For instance, spatial attention seems to be governed by a balance in activity between the two hemispheres (Kinsbourne, 1970). Attempts to disrupt this balance by increasing (decreasing) activity in one hemisphere with anodal (cathodal) tDCS could prompt a compensatory response in the other hemisphere.

This inter-hemispheric balance is already disrupted in hemispatial neglect patients, who typically have a lesion in the right hemisphere (Vallar & Perani, 1986). A number of studies have attempted to use tDCS to restore this balance, by applying anodal tDCS to the lesioned hemisphere and/or cathodal tDCS to the unlesioned hemisphere (see Chapter 2). All but one of these reported improvements following tDCS. tES might thus be more effective in clinical samples, where the margins for improvement are larger and network functioning is clearly impaired. Alternatively, tES could also be more effective with repeated applications in multiple sessions (which is typical in clinical studies, to evoke more long-term changes).

### **tES to enhance sustained attention?**

Under some circumstances, the limits of the attentional system become readily apparent, even in otherwise healthy individuals. This was one of the reasons I decided to test whether tDCS can be used to attenuate the attentional blink (Chapters 4 and 5). However, perhaps the limits to attention come most clearly into view when it has to be

sustained for a prolonged period of time. In a classic vigilance task—where rare, critical signals have to be discriminated from frequent distractors that do not require a response—performance already starts to decrease within minutes. But we do not yet fully understand why it is so difficult to sustain attention beyond this time span.

In Chapter 6, I examined changes in sustained attention by having participants perform a vigilance task for 80 consecutive minutes, while recording their EEG. I observed the classical vigilance decrement: task performance dropped steadily and reached a low-point after just 20–30 minutes. After 60 minutes, an unexpected motivation boost partially restored task performance, but participants were not able to maintain this level until the end of the experiment. In the EEG, I found that phase clustering of theta-band oscillations was closely associated with these behavioral changes, suggesting that the timing of the neural response to the stimulus became more variable as performance decreased.

The literature review in Chapter 2 also included studies that paired tES with sustained attention tasks. Two studies indeed reported that tDCS prevented performance declines related to time-on-task (Nelson et al., 2014) or sleep deprivation (McIntire et al., 2014). However, two recent experiments from our group (van Schouwenburg et al., 2019) were not as successful, despite a much larger sample size. In the first experiment, tDCS over the medial frontal cortex was delivered after 20 minutes of performing the same task I used in Chapter 6. However, neither anodal nor cathodal tDCS was able to stave off the vigilance decrement. Second, partly inspired by the changes in theta-band oscillations I identified in Chapter 6, van Schouwenburg et al. (2019) attempted to stimulate the medial frontal cortex with tACS instead. But this approach also did not seem fruitful. If anything, theta tACS appeared to accelerate the vigilance decrement, relative to a control condition with alpha-band stimulation.

These studies differed markedly in tES parameters and experimental design, as was the case for the other studies reviewed in Chapter 2, which makes it difficult to draw overall conclusions. While enhancement of sustained attention could be a promising application of tES, many more studies will be needed to determine whether and how this

can be done. One complicating factor is that the vigilance decrement itself remains to be fully understood (Fortenbaugh et al., 2017; Hancock, 2013; Johnston et al., 2018). As I also showed in Chapter 6, both motivation and depletion of resources could play a role, as well as other relevant factors that I did not investigate, such as mind wandering or subjective feelings of fatigue. It is not clear which of these processes were affected by tES in the studies that proved successful, nor which of these would be an optimal target for future studies.

## 7.2 tES challenges

The use of tES to enhance attention might thus be particularly challenging, given the multi-faceted nature of attention, and that we can expect effects to be small in the healthy brain. But the mixed results that I and others obtained probably also stem from fundamental uncertainties about the tES technique. These hold regardless of whether tES is applied in attention research, or in other domains. Many of these have long been known (Bikson et al., 2019; Reato et al., 2019) and must live in the back of the mind of most scientists that use tES. But it may be that—ever since the pioneering studies that successfully applied tDCS to the human motor cortex (Nitsche & Paulus, 2000; Nitsche & Paulus, 2001)—we have become so inspired that we have taken too great a liberty with the technique, and have not given enough thought to its limitations. I will therefore reiterate four of the most pertinent factors that determine the outcome of tES below<sup>1</sup>.

**1. The cellular effects of tES are subtle and complex.** The physiological effects of tDCS are usually summarized following the “anodal-excitation / cathodal-inhibition” dichotomy (Jacobson et al., 2012). That is, the effects of tDCS are ascribed to changes in the neural membrane potential, where anodal tDCS depolarizes neurons and thus has an excitatory effect, while cathodal tDCS hyperpolarizes neurons and

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<sup>1</sup>Note that this list is by no means exhaustive, partly because there are many more “known unknowns” that fall outside of the scope of the present discussion, but also because the exact mechanisms of tES are still an area of active research (for recent reviews, see e.g. Fertonani and Miniussi (2017), Bestmann et al. (2015), and Jackson et al. (2016)).

thus has an inhibitory effect. This simple heuristic is much more complicated in reality, which makes it difficult to predict the overall outcome of tDCS.

First, the effects are highly dependent on the orientation of the electric field. Anodal (cathodal) tDCS is only excitatory (inhibitory) when the polarization is applied at the cortical surface, and the neurons are exactly parallel to the electric field, with the dendrites closest to the electrode. For inversely oriented neurons, the polarization will also be inverted; for tangentially oriented neurons, there will be almost no polarization at all. Because the cortex is highly folded, the orientation of neurons with respect to the scalp surface varies greatly, so applying tDCS at the scalp should always lead to a mix of these three extremes (and all possibilities in between). (Reato et al., 2019)

Second, all of this holds only for the soma, but the net effect of tES is based on the membrane potential in all parts of the neuron (Jackson et al., 2016). Even for a neuron that is perfectly parallel to the electric field, the apical dendrites will be polarized in the opposite direction as the soma (Bikson et al., 2019). This is particularly important for the effects of tES on synaptic plasticity, which could even go in the opposite direction to the online effects (Kronberg, Bridi, Abel, Bikson, & Parra, 2017).

Third, the direct effects of the electric field on membrane polarization are very subtle. Recent studies have measured the electric field that tDCS at 2 mA generates in the human brain, which peaked at 0.5 (Opitz et al., 2016) – 0.8 (Huang et al., 2017) V/m (though note that a lot of studies stimulate at 1 mA instead). Earlier studies estimated the maximum change in the membrane potential to be 0.1 (Bikson et al., 2004) – 0.3 mV per V/m (Radman et al., 2009). So in the best case scenario, tDCS can result in a polarization of 0.05 – 0.25 mV (Bikson et al., 2019). Although tDCS was never presumed to directly elicit action potentials, it is still prudent to realize that a 0.15 mV polarization would amount to only 1% of the change necessary to do so (as a depolarization of at least 15 mV would be needed to go from the resting threshold at -70 mV to the firing threshold at -50 – -55 mV). Vöröslakos et al. (2018) argue that this is simply too weak to elicit reliable effects. They showed that in the living rat brain, stimulation only

affected neuronal spiking and membrane potentials at field strengths exceeding 1 V/m. They then measured the electric fields in human cadavers at different intensities of tDCS, and concluded that achieving a field strength of 1 V/m would require as much as 4–6 mA tDCS. Yet others have concluded that the changes in the electric field produced by conventional tDCS still fall within the lower bound of effectiveness (Huang et al., 2017).

All in all, given that the effects of tES on membrane polarization are weak, and that they vary greatly across neurons and neural compartments, there must be more to the immediate effects of tES. Membrane polarization is likely only the initial step in a collection of changes that tES induces in neural circuits, which we are only beginning to understand (Liu et al., 2018). Even less is known about the offline effects of tES, involving synaptic plasticity. More fundamental in vitro and in vivo animal studies are called for to develop a more complete understanding of the neural mechanisms of tES. There is also a need for meso-scale computational models that can simulate the effects of tES on a whole population of neurons (Bestmann et al., 2015; Molaee-Ardekani et al., 2013).

**2. The current flow induced by tES is not spatially specific.** The precise pattern of current flow is another important determinant of tES outcome. Typically, tES studies are focused on one particular brain area, based on some evidence of its involvement in the cognitive process that the researcher aims to affect. One of the electrodes (in tDCS, usually the anode) is then placed over this area, often based on a scalp position in the 10-20 system, or (more rarely) with MRI-based neuron-navigation. However, this does not guarantee that a sufficiently strong electric field is induced in this brain area, nor that this will happen *only* in this brain area.

For one, a tES montage always consists of two electrodes, so the “reference” electrode also has to be placed somewhere on the body. In the studies in this thesis, I placed it on the forehead, as this is simply what many studies before us did. However, this will lead to opposite polarity stimulation of the brain tissue underneath this electrode. Some opt to circumvent this issue by placing the electrode elsewhere on the body, such as the shoulder. But this increases the inter-

electrode distance, which can decrease the size of the effect (Moliadze et al., 2010; Opitz et al., 2015).

Second, the current flow is not restricted to the area under the electrodes, as the simulation in Figure 1.1D already showed. The induced electric field is always more diffuse (Opitz et al., 2015), and may even peak at other locations, such as in between the electrodes (Saturnino, Madsen, Siebner, & Thielscher, 2017). For some montages, the actual pattern of current flow can differ vastly from the intended one (Karabanov et al., 2019). This is especially true if there is an opportunity for the current to shunt through the skin—which may attenuate the current by 60% or more (Vöröslakos et al., 2018)—or other highly conductive tissues, such as cerebrospinal fluid.

Finally, even if a perfectly focal current distribution were achievable, tES can still have more distal effects, as the activity it induces in the target brain area may spread through the network of other areas it is connected with (Knotkova, Nitsche, & Polania, 2019; Wokke et al., 2015).

All of this makes it very difficult to use tES as a tool to localize functions in the brain, or to predict the outcomes of tES according to which brain areas it affects (Karabanov et al., 2019). Researchers should therefore generally try to model the current flow, especially for novel montages, which could show that claims about specific brain areas have to be adjusted—or are not warranted at all. In addition, tES can be combined with neuroimaging techniques to provide more clues as to which brain areas and/or processes were affected (also see the **Future directions** section for these and other recommendations).

**3. The parameter space for tES is vast and largely unexplored.** When designing a tES study, one needs to decide on a large number of parameters, which together determine the actual dose that is delivered (Peterchev et al., 2012). These include the stimulation duration (e.g., 10 min, or 30 min), current intensity (e.g., 1 mA, 2 mA, or higher), stimulation waveform (tDCS, tACS, or tRNS), as well as the electrode size and shape (Saturnino, Antunes, & Thielscher, 2015), electrode montage, and many more parameters. There are so many parameters and so many plausible values to set them to, that researchers are faced with a true combinatorial explosion of possibilities.

For the intensity and duration, 1 mA for 20 minutes was the standard for a long time, based on the pioneering motor cortex-tDCS studies (Nitsche & Paulus, 2000; Nitsche & Paulus, 2001). The problem is that there is no clear reason why these parameters should generalize to other brain areas, given that they have a different neuroanatomical structure, connectivity, and state dynamics (Bestmann & Walsh, 2017). Now, longer and more intense stimulation is becoming more common (e.g. tDCS at 2 mA, or for 30 min) (Bikson et al., 2016; P. Grossman et al., 2018). However, even in the motor cortex, the canonical effects may not be elicited with these parameters. For example, while 20 minutes of 1 mA anodal tDCS typically increases motor-evoked potentials, one study showed that increasing the duration to 26 minutes leads to a decrease instead (Monte-Silva et al., 2013). Likewise, while 10 or 20 minutes of 1 mA cathodal tDCS has an inhibitory effect on the motor cortex, increasing the current intensity to 2 mA appears to flip the effect to excitation (Batsikadze et al., 2013; Parkin et al., 2018; Samani, Agboada, Jamil, Kuo, & Nitsche, 2019).

The large variability in parameters across studies (as shown in Chapter 2)—and the differences in outcome that they can produce—hamper our ability to integrate across findings. Large-scale studies are necessary that systematically manipulate parameters (e.g. Samani et al., 2019), complemented by efficient ways to optimize them (e.g. Lorenz et al., 2019).

**4. The effects of tES are not consistent across individuals.** The outcome of tES may also be affected by individual differences in baseline brain state, neuroanatomy, or demographic and other factors (Polanía et al., 2018). In Chapters 4 and 5, I examined individual differences in tDCS effects on the attentional blink, and tried to account for these in terms of baseline cortical excitability and dopamine levels. However, I was not successful on either front. The change in attentional blink size in the anodal tDCS session was not related to the cathodal session, or to baseline spontaneous eye blink rates (a putative measure of dopamine). This is not to say that these factors are not important; we know that baseline brain state can fundamentally change effects of tES. For example, when the motor cortex is not stimulated at rest, but during a cognitive task or motor exercise, the canon-

ical changes in motor-evoked potentials are no longer obtained (Antal, Terney, Poreisz, & Paulus, 2007).

The problem is rather that there are many more factors shaping individual differences in responses to tES, of which baseline cortical excitability or neuromodulator levels may be only a small proportion. Even in motor-cortex tDCS, there is considerable variability in the response—anodal tDCS is not excitatory for everyone, nor is cathodal tDCS inhibitory for everyone (Chew, Ho, & Loo, 2015; Jamil et al., 2017; López-Alonso et al., 2014; Strube et al., 2016; Wiethoff et al., 2014). This may be caused by a diverse array of factors, including gender, age, baseline level of task performance, genetics, hormone levels, smoking behavior, and more (Krause & Cohen Kadosh, 2014; L. M. Li, Uehara, & Hanakawa, 2015). Even differences in head or neural anatomy may determine tES outcome, by causing differences in the pattern of current flow in the brain (Kim et al., 2014; Laakso, Mikkonen, Koyama, Hirata, & Tanaka, 2019). This concern could be alleviated by constructing current flow models for individual participants before the experiment, and adapting the dosage or montage accordingly. Similarly, the influence of baseline cortical excitability can be revealed with neuroimaging, for example through magnetic resonance spectroscopy of GABA and glutamate levels (Filmer et al., 2019; L. J. Talsma et al., 2018).

In the above, I discussed four hurdles in the design and interpretation of tES studies: tES effects are subtle and complex, highly dependent on current flow, contingent on the right combination of parameters, and subject to individual differences. Next to these tES-specific factors, inconsistencies in findings across studies may also stem from fundamental issues in current scientific practice, as discussed in more detail next.

### 7.3 A “crisis of confidence”

Given the substantial challenges involved in tES research, and the many factors that may determine the outcome, the breadth of tES studies that report enhancement effects is remarkable. These cover all aspects of human cognition, such as attention, memory, perception, cog-

nitive control, creativity, arithmetical reasoning, motor learning, and language acquisition (Coffman et al., 2014; Dedoncker et al., 2016; Santarnecchi et al., 2015). The list of successful clinical applications of tES is perhaps even more impressive, including a diverse array of conditions such as chronic pain, aphasia, depression, schizophrenia, epilepsy, dementia, and addiction (Lefaucheur, 2016).

While this string of successes is surely exciting, some have expressed concerns that they simply cannot all be true (Bestmann & Walsh, 2017; Parkin et al., 2015). There is a lingering suspicion in the field that some of these effects must be overstated, or would fail to replicate (Héroux et al., 2017). Medina and Cason (2017) provide some of the most convincing evidence confirming these reservations. They applied a p-curve analysis (Simonsohn, Nelson, & Simmons, 2014) to a random sample of tDCS studies, as well as a collection of tDCS studies on working memory (from a meta-analysis by Mancuso et al., 2016). For any set of studies investigating true effects, the distribution of reported p-values should be significantly right-skewed, i.e., should contain more low p-values (e.g., .01) than higher p-values (e.g. around .05). If the shape of this distribution is different, there is reason to believe the set of studies do not have evidential value. Both of the samples examined by Medina and Cason (2017) lacked evidential value, suggesting that tDCS had no meaningful effect.

These problems are not specific to tES research, as many fields of (social scientific) research have grappled with a lack of evidential value (Brodeur, Lé, Sangnier, & Zylberberg, 2016; Simmons & Simonsohn, 2017) and low rates of replication (Open Science Collaboration, 2015; Camerer et al., 2018; R. A. Klein et al., 2018). Particularly in the field of psychology, this realization has sparked a crisis of confidence (also referred to as the “replication crisis”) in many influential findings (Baker, 2015; Pashler & Wagenmakers, 2012). The origin of the crisis can likely be traced back to the use of questionable research practices (John, Loewenstein, & Prelec, 2012), particularly publication bias, hypothesizing after the results are known (HARKing), p-hacking, and low statistical power (Munafò et al., 2017; Bishop, 2019). In the rest of this section, I will discuss these practices in the context of the tES literature.

**Publication bias** (Rosenthal, 1979) refers to a preference for positive over negative findings, such that studies with null results remain unpublished (“in the file drawer”). This leads to an overrepresentation of positive results (Franco et al., 2014), to such an extent that more than 90% of published studies in psychology and psychiatry support the researcher’s hypothesis (Fanelli, 2012). For any field where a lot of studies are novel and high-risk—which would also include tES—such figures are unlikely to be true. Some meta-analyses of tES studies have indeed uncovered evidence for publication bias (Mancuso et al., 2016). In addition, a recent special issue collected over sixty null results in non-invasive brain stimulation<sup>2</sup>. This includes the study that I report in Chapter 3 (Reteig, Knapen, Roelofs, Ridderinkhof, & Slagter, 2018a), and many other tES studies on attention (Jacoby & Lavidor, 2018; Learmonth et al., 2017; Lanina, Feurra, & Gorbunova, 2018; van Schouwenburg et al., 2018; Sheldon & Mathewson, 2018; P. Tseng, Wang, Lo, & Juan, 2018; Veniero et al., 2017). Such initiatives that encourage researchers to also publish their null tES findings are vital, to ensure the literature accurately reflects the evidence for tES efficacy, and to determine which combinations of parameters do and do not work.

**HARKing** (Kerr, 1998) and **p-hacking** (Simmons et al., 2011; Simonsohn et al., 2014) can turn true negatives into false positives. A researcher engages in HARKing (Hypothesizing After the Results are Known) when they adapt their hypothesis to fit the observed results, if the results do not fit their actual hypothesis. When p-hacking, many analyses are performed (implicitly or explicitly), but only the ones that result in a significant p-value are reported. Both p-hacking and HARKing are deceptively easy to commit, and often happen unintentionally. For example, Medina and Cason (2017) may have revealed some indications for p-hacking and/or HARKing in the sample of tDCS studies on working memory (Mancuso et al., 2016). They note that only 5 out of 23 studies reported a significant difference between anodal and sham tDCS, but 20 out of 23 studies reported *some*

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<sup>2</sup>Research Topic in Frontiers, “Non-Invasive Brain Stimulation Effects on Cognition and Brain Activity: Positive Lessons from Negative Findings”: <https://www.frontiersin.org/research-topics/5535>

significant result, e.g., when adding a covariate, or splitting the sample into sub-types. Both p-hacking and HARKing can be combated by preregistration (Nosek, Ebersole, Dehaven, & Mellor, 2018) of hypotheses and analysis plans. When preregistrations are provisionally accepted for publication and formally reviewed, in the form of a registered report, publication bias is also thwarted (Chambers, Feredoes, Muthukumaraswamy, & Etchells, 2014). Recently, the first registered report in the tES field was published (Boayue et al., 2019), reporting a failure to replicate a study that showed tDCS can increase mind wandering (Axelrod et al., 2015), which I had included in the review on tES and attention (Chapter 2).

Finally, a study is said to have **low statistical power** when it has a low probability to detect an effect of a specific size. Many tES studies might chase relatively small effects that would require larger sample sizes to reliably detect (Minarik et al., 2016). Results from the analysis by Medina and Cason (2017) suggest that average power might currently be as low as 5–20%. Especially considering that tES effects are subject to individual differences, many studies are likely to be severely underpowered. This could not only lead to a lot of false negative findings, but would also inflate effect sizes for positive findings (Button et al., 2013).

The combined effects of these four (and other) factors can take extreme forms. For example, there are more than 600 published studies on ego depletion: the idea that self-control or willpower is weakened when the pool of limited resources that it draws on is depleted (Inzlicht & Schmeichel, 2012). However, a recent meta-analysis of these studies (Carter, Kofler, Forster, & McCullough, 2015) and a new multi-lab replication study (Hagger et al., 2016) suggest the effect might not exist at all, or is trivially small.

At this point, we cannot escape asking the following question: *Could it be that the field of tES got it this wrong?* That in our excitement about the potential of tES, we have oversimplified its physiological effects, and have been led astray by questionable research practices? That we have built a house of cards, and it will soon come crashing down?

The results presented in this thesis are certainly not encouraging. But given its limited scope, I cannot really speak to these questions.

That said, I think there is still enough reason to be optimistic. When looking at the history of TMS, the field went through similar troubles, but today has matured considerably (Parkin et al., 2015). Also, some tES findings appear to already be beyond doubt, such as the canonical effects on neurophysiology in animal studies, and motor-evoked potentials in humans.

Nonetheless, it is rather humbling that after almost 5000 published studies on tDCS alone<sup>3</sup>, we still feel compelled to ask this question. But it is important to keep asking ourselves this question, as hubris will slow down progress even further. This is clearly demonstrated by the field of candidate gene studies: the endeavor to link genetic polymorphisms (such as the 5-HTTLPR polymorphism for the serotonin transporter gene) to psychological phenotypes (such as depression). Since the first study was published in 2003 (Caspi et al., 2003), around 450 studies on just this one association followed (Border et al., 2019). However, a recent publication found no evidence for this association in samples of 62,000 and upwards, and also showed that all previous studies used sample sizes that were orders of magnitude too low to detect plausible effect sizes (Border et al., 2019). In other words, 16 years worth of research appears to be based on statistical noise—despite the fact that it took only two years for the first non-replication study (Gillespie, Whitfield, Williams, Heath, & Martin, 2005) to appear (Rieckmann, Rapp, & Müller-Nordhorn, 2009). This story unequivocally shows that while science may be self-correcting, this process can be unacceptably slow if we do not pay heed to legitimate concerns that emerge.

## 7.4 Future directions

The studies in Part II of this thesis and the review in Chapter 2 indicate that the effects of tES on attention are not clear-cut. I discussed three overlapping categories of explanations for the mixed results that characterize the field: concerning tES and attention specifically, tES studies

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<sup>3</sup>As recorded in the “transcranial Direct Current Stimulation Studies Open Database” (<http://tcdsdatabase.com>; P. Grossman et al., 2018), in May 2019.

more generally, and psychological science as a whole. In this section, I offer a few recommendations that may hopefully increase confidence in the field and facilitate scientific progress.

**Replicate key findings.** I have not come across many replications of tES studies; for example, none of the 52 studies I included in the literature review in Chapter 2 were direct replication studies (performed by another research group). It is probable that many studies have figured out a robust stimulation protocol that is replicable. But it also appears likely that many published studies have overestimated effects. Without replication studies, we are not able to weed out the noise from the signal. In Chapters 3, 4, and 5 of this thesis, I have tried and failed to replicate earlier findings. But none of these were set up to be truly decisive. There is a dire need for more direct replications, in the form of registered reports (to prevent p-hacking, HARKing, and publication bias) with larger sample sizes (to combat low statistical power, and interindividual variability) (e.g. Boayue et al., 2019).

**Deepen our understanding of tES neurophysiology.** This will require a concerted research effort on multiple levels. Everything stands or falls on the low-level neural mechanisms of tES, which need to be further elucidated in animal- or simulation studies. But also at the level of human research, we can take a few steps back and further explore the basic protocols, before taking tES in entirely new directions. In many cases, tES study design and parameter selection is based on conventions, instead of evidence that the chosen protocol is the optimal one. Large-scale studies that systematically explore the parameter space are needed to make more informed choices. For example, Samani et al. (2019) recently probed the effects of motor-cortex tDCS at many different current intensities and stimulation durations. Likewise, there is a new initiative for a multi-center study aiming to more definitively establish the online effect of tACS (Antal et al., 2019).

**Add more control conditions: additional tasks and stimulation sites.** Both null and positive findings have greater scientific value and are easier to interpret with more tightly controlled experimental designs (de Graaf & Sack, 2018; Parkin et al., 2015; Polánia et al., 2018). Control tasks can demonstrate to what extent a putative enhancement is task-specific, and can also uncover whether enhancements in one

domain do not come with potential costs in another (Brem, Fried, et al., 2014; Iuculano & Cohen Kadosh, 2013). Similarly, control stimulation waveforms or sites can demonstrate how specific a putative enhancement is for a particular stimulation protocol. For example, one shortcoming of all studies in this thesis is that they lacked a sham condition, which makes it hard to discern the effects of anodal/cathodal tDCS from random variation. Note though that some recent studies suggest that participants are not as blind to sham conditions as it originally seemed (Turi et al., 2019; Greinacher, Buhôt, Möller, & Learmonth, 2019). Therefore, applying tES at another location (for which no effects are expected) may provide a better control condition.

**Combine tES with neuroimaging.** Neuroimaging techniques such as (f)MRI and EEG can both inform and augment tES studies (Bergmann et al., 2016; Thut et al., 2017). First, before data collection, the targeted stimulation site can be localized with (f)MRI scans, to aid precision of electrode placement (as in Chapter 3). Likewise, prior results from neuroimaging studies can inform the choice of stimulation waveform. For example, van Schouwenburg et al. (2019) chose their tACS frequency based on the EEG results in Chapter 6, and the target area based on a meta-analysis of fMRI data (Langner & Eickhoff, 2012). Second, neuroimaging data may also be collected during or after application of tES. This can serve to better understand the neural mechanisms of tES-induced changes in behavior, for example by examining changes in neural oscillations following tACS. Similarly, neuroimaging may identify factors that drive individual differences in the behavioral outcome of tES, such as baseline brain state.

**Tailor the stimulation dose to individual participants.** Some of the inter-individual variability in tES outcome can perhaps be undercut by adapting the montage and stimulation parameters such that everyone receives the same dose. This will require further developments in the computational modelling of current flow. But this field is progressing steadily: the model parameters have been validated using recordings of the electric field in humans (Huang et al., 2017; Opitz et al., 2016), and the analytical pipelines are increasingly user-friendly (Saturnino et al., 2018; Huang, Datta, Bikson, & Parra, 2018).

**Design studies with a strong prior on the mechanism.** Given the many levels in between the cellular mechanisms and behavioral outcome of tES, the relationships between those levels are often vaguely defined (Bestmann et al., 2015). In Chapter 3, I had at least a rough idea of how tDCS should affect the functioning of the frontal eye fields, and how this should in turn relate to behavioral changes. In contrast, it might be nigh impossible to make a grounded prediction on the effects of dlPFC-tES on moral reasoning—the technique, the area, and the cognitive function are all simply too complex. I struggled more on this front in Chapters 4 and 5, as it is not clear whether and how anodal or cathodal tDCS of the dlPFC should affect the attentional blink.

**Test new stimulation protocols that may outperform tES.** Some exciting new methods have been developed that may expand the range of non-invasive brain stimulation beyond the current techniques. These include transcranial focused ultrasound (Folloni et al., 2019; L. Verhagen et al., 2019), temporal interference stimulation (N. Grossman et al., 2017), and intersectional short pulse stimulation (Vöröslakos et al., 2018). All are capable of more powerful and more focal stimulation than current tES protocols, but also face their own challenges and have not been extensively tested in humans.

## 7.5 Conclusions

In this thesis, I have mainly explored whether tDCS can be used to enhance attention. A literature review (Chapter 2) revealed that earlier studies reported mixed results. Likewise, the results of the studies that I conducted are not in accord with earlier findings that tDCS may improve spatial (Chapter 3) or temporal (Chapter 4, 5) attention. Finally, sustained attention (Chapter 6) may be an interesting target for enhancement, but a tES study partly based on this work (van Schouwenburg et al., 2019) did not prove effective either.

Based on this thesis and general developments in the field, the future of tES to study attention appears uncertain. In principle, tES is a promising and versatile technique: as a scientific method, a tool for enhancement, and a clinical treatment. But its potential in all three of these directions is yet to be fulfilled. The scientific appeal of tES lies in

its ability to causally manipulate brain activity, which could ultimately be used to arbitrate between different theories on how cognition arises from brain activity. But at present, this seems to be out of reach. We simply do not know enough about the basic mechanism of tES, and most studies lack methodological rigor. As long as the basic science on tES is inconclusive, it will be difficult to identify optimal protocols for use in cognitive enhancement and clinical applications as well. Conversely, as long as we don't understand how a particular enhancement or treatment effect comes about, it is of little scientific value (Duecker, de Graaf, & Sack, 2014). These concerns have been expressed for years, but still hold true to this day:

When we look at what we have really learned about cognition from tACS, tDCS and tRNS, it is small potatoes. [...] Based on the best available studies, from reputable laboratories, we don't really know where to put the electrodes, we don't know how robust is the idea that the effects are excitatory or inhibitory, we don't know what other behaviors are affected, we haven't tested the methods with real-world tasks and therefore don't know how they perform outside the lab, and we have no idea in healthy people if they continue to work after more than 2–3 repeated applications.

— Walsh (2013)

This thesis started out with a rather grand introduction to cognitive enhancement (Chapter 1). Some see this future on the horizon already, and point out potential ethical problems in the use of tES for this purpose (Cohen Kadosh, Levy, O'Shea, Shea, & Savulescu, 2012). The potential of tES has also been recognized beyond academia, as it has gained a lot of attention in the media (Dubljević, Saigle, & Racine, 2014). There is even a group of early adopters who have started to use tES at home (Jwa, 2015)—primarily for cognitive enhancement of attention. The interest in tES is further fueled by companies who market tES devices to consumers, along with promises of the stars and the moon (Santarneckchi, Feurra, Galli, Rossi, & Rossi, 2013). These

## 7. Summary and general discussion

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are all important developments that scientists should have a voice in. When we do, we should not forget to be as skeptical towards others as we can be among ourselves (Riggall et al., 2015; Steenbergen et al., 2016; Walsh, 2013; Wurzman et al., 2016). Certainly, the promises of non-invasive brain stimulation are exciting. But it will require a lot of careful research and steady progress to make them a reality.

# Appendices



# APPENDIX A

## Supplement to Chapter 3

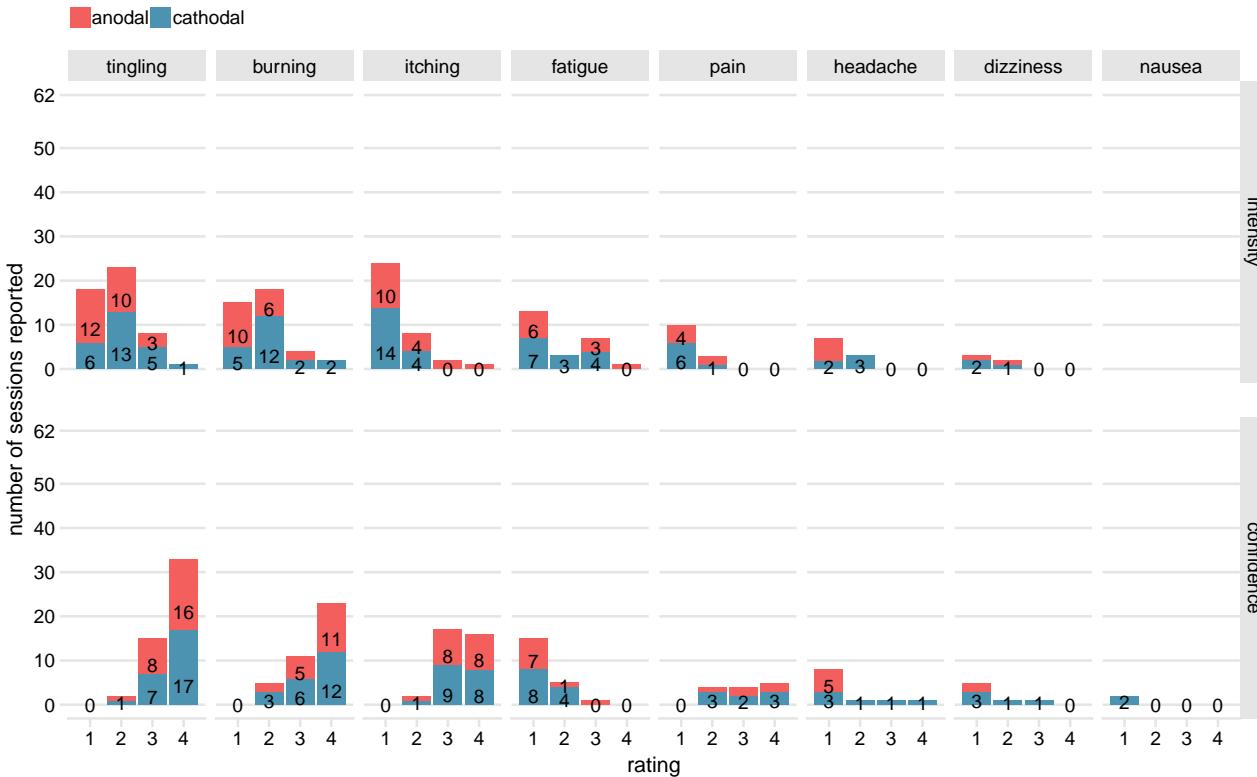
### A.1 tDCS adverse effects

TABLE A.1 Number of reports of tDCS adverse effects

	Intensity rating <sup>a</sup>					Confidence rating <sup>b</sup>				
	none	a little	moderate	strong	very strong	n/a	unlikely	possibly	likely	very likely
<b>anodal session</b>										
burning	12	10	6	2	0	12	0	2	5	11
dizziness	28	1	1	0	0	28	2	0	0	0
fatigue	20	6	0	3	1	21	7	1	1	0
headache	25	5	0	0	0	25	5	0	0	0
itching	13	10	4	2	1	13	0	1	8	8
nausea	30	0	0	0	0	30	0	0	0	0
pain	24	4	2	0	0	25	0	1	2	2
tingling	5	12	10	3	0	5	0	1	8	16
<b>cathodal session</b>										
burning	11	5	12	2	2	11	0	3	6	12
dizziness	29	2	1	0	0	27	3	1	1	0
fatigue	18	7	3	4	0	20	8	4	0	0
headache	27	2	3	0	0	26	3	1	1	1
itching	14	14	4	0	0	14	0	1	9	8
nausea	32	0	0	0	0	30	2	0	0	0
pain	25	6	1	0	0	24	0	3	2	3
tingling	7	6	13	5	1	7	0	1	7	17

<sup>a</sup> "To which degree were the following sensations present during stimulation?"

<sup>b</sup> "To which degree do you believe this was caused by the stimulation?"



**FIGURE A.1 tDCS adverse effects in Chapter 3.** Number of reports out of 62 sessions (either anodal or cathodal tDCS). Top row shows intensity ratings [*little, moderate, strong, very strong*]; bottom row shows participant's confidence that event was related to tDCS [*unlikely, possibly, likely, very likely*]. Adverse effects are sorted in descending order of number of reports (for very rare events (five reports or fewer for a given polarity), some text counts have been removed to prevent overlap).

## A.2 MNI coordinates

TABLE A.2 Individual MNI coordinates of the right frontal eye field.

participant	X	Y	Z	participant	X	Y	Z
1	29.4	1.1	54.9	14	37.5	-1.6	52.6
2	33.0	-2.2	50.4	15	31.8	-8.4	59.0
3	30.6	-1.5	50.6	16	31.0	-5.1	54.3
4	25.7	-3.8	56.4	17	35.0	8.4	49.8
5	29.8	-5.2	55.8	18	28.1	-3.8	52.8
6	29.8	-1.1	58.3	19	41.2	-1.7	47.6
7	38.1	3.0	46.0	20	37.3	-0.9	43.4
8	31.5	0.5	45.6	21	34.3	-2.9	49.2
9	28.5	3.6	51.3	22	27.7	-10.1	51.0
10	28.1	-1.9	50.7	23	30.3	-5.3	55.3
11	30.6	-3.8	52.0	24	26.8	-3.9	54.6
12	36.5	-0.4	46.8	25	29.0	4.9	49.1
13	26.2	-1.1	54.7	26	30.3	-3.9	50.9



## APPENDIX B

# Supplement to Chapters 4 and 5

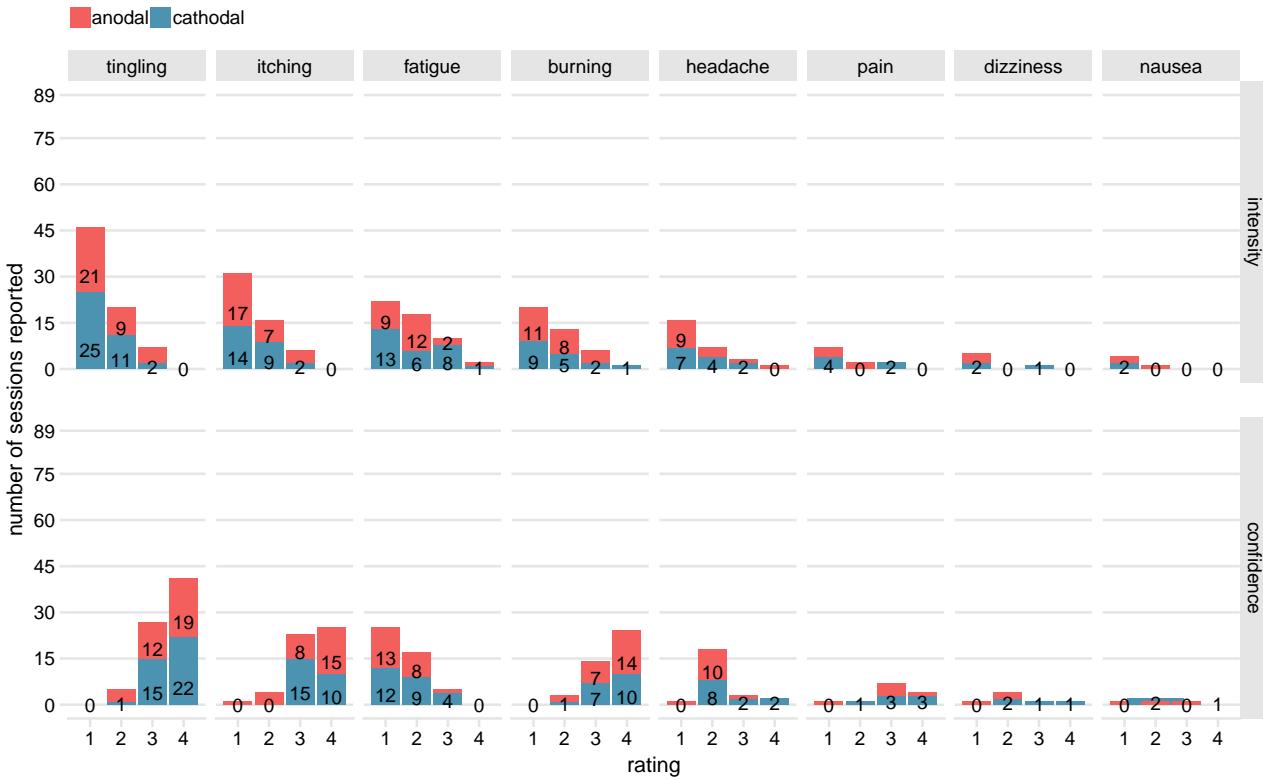
### B.1 tDCS adverse effects

TABLE B.1 Number of reports of tDCS adverse effects

	Intensity rating <sup>a</sup>					Confidence rating <sup>b</sup>				
	none	a little	moderate	strong	very strong	n/a	unlikely	possibly	likely	very likely
<b>anodal session</b>										
burning	23	11	8	4	0	23	0	2	7	14
dizziness	43	3	0	0	0	43	1	2	0	0
fatigue	22	9	12	2	1	24	13	8	1	0
headache	32	9	3	1	1	34	1	10	1	0
itching	18	17	7	4	0	18	1	4	8	15
nausea	43	2	1	0	0	43	1	1	1	0
pain	41	3	2	0	0	40	1	0	4	1
tingling	11	21	9	5	0	11	0	4	12	19
<b>cathodal session</b>										
burning	26	9	5	2	1	25	0	1	7	10
dizziness	40	2	0	1	0	39	0	2	1	1
fatigue	15	13	6	8	1	18	12	9	4	0
headache	30	7	4	2	0	31	0	8	2	2
itching	18	14	9	2	0	18	0	0	15	10
nausea	41	2	0	0	0	40	0	2	0	1
pain	37	4	0	2	0	36	0	1	3	3
tingling	5	25	11	2	0	5	0	1	15	22

<sup>a</sup> "To which degree were the following sensations present during stimulation?"

<sup>b</sup> "To which degree do you believe this was caused by the stimulation?"



**FIGURE B.1 tDCS adverse effects in Chapters 4 and 5.** Number of reports out of 89 sessions (either anodal or cathodal tDCS). Top row shows intensity ratings [*little, moderate, strong, very strong*]; bottom row shows participant's confidence that event was related to tDCS [*unlikely, possibly, likely, very likely*]. Adverse effects are sorted in descending order of number of reports (for very rare events (five reports or fewer for a given polarity), some text counts have been removed to prevent overlap).

## APPENDIX C

# Supplement to Chapter 6

### C.1 Motivation manipulation

The following instruction was presented to participants after 60 min of task performance, to investigate whether motivation could improve task performance:

You have now performed this task for one hour. The last part of this experiment starts now.

During this last part, you have the opportunity to win a bonus of 30 euro's!

This possibility is based on your task performance during the remaining 20 min of this experiment. Specifically, you must finish in the top 35% of participants in terms of performance.

In other words, the top-35% performers in this last part of the experiment will receive an additional 30 euro's.

When you are certain you have seen a short line, you should respond as quickly as possible with the left mouse button.

Whenever you see a long line, do not respond.

Do your best! Good luck!



## APPENDIX D

# Data, code and materials

Chapter	Resource	Platform	DOI
<b>Chapter 2</b> <sup>1</sup>	overview	Open Science Framework <a href="https://osf.io/kqvap/">https://osf.io/kqvap/</a>	10.17605/OSF.IO/KQVAP
<b>Chapter 3</b> <sup>2</sup>	overview	Project website <a href="https://lcreteig.github.io/sacc-tDCS">https://lcreteig.github.io/sacc-tDCS</a>	
	data	figshare <a href="https://doi.org/10.21942/uva.6462770.v1">https://doi.org/10.21942/uva.6462770.v1</a>	10.21942/uva.6462770
	code	GitHub <a href="https://github.com/lcreteig/sacc-tDCS">https://github.com/lcreteig/sacc-tDCS</a>	10.5281/zenodo.1410502
	materials	Open Science Framework <a href="https://osf.io/8jpv9/">https://osf.io/8jpv9/</a>	10.17605/OSF.IO/8JPV9
<b>Chapter 4</b>	overview	Project website <a href="https://lcreteig.github.io/AB-tDCS">https://lcreteig.github.io/AB-tDCS</a>	
	behavioral	Open Science Framework	10.17605/OSF.IO/RJU7F
	data	<a href="https://osf.io/rju7f/">https://osf.io/rju7f/</a>	
	EEG data	OpenNeuro <a href="https://openneuro.org/datasets/ds001810">https://openneuro.org/datasets/ds001810</a>	10.18112/openneuro.ds001810.v1.1.0
	code	GitHub <a href="https://github.com/lcreteig/AB-tDCS">https://github.com/lcreteig/AB-tDCS</a>	10.5281/zenodo.3233872
	materials	Open Science Framework <a href="https://osf.io/y6hsf">https://osf.io/y6hsf</a>	10.17605/OSF.IO/Y6HSF

<sup>1</sup>Published as: Reteig, L. C., Talsma, L. J., van Schouwenburg, M. R., & Slagter, H. A. (2017). Transcranial Electrical Stimulation as a Tool to Enhance Attention. *Journal of Cognitive Enhancement*, 1, 10–25. <https://doi.org/10.1007/s41465-017-0010-y>

<sup>2</sup>Published as: Reteig, L. C., Knapen, T., Roelofs, F. J. F. W., Ridderinkhof, K. R., & Slagter, H. A. (2018). No evidence that frontal eye field tDCS affects latency or accuracy of prosaccades. *Frontiers in Neuroscience* 12:617. <https://doi.org/10.3389/fnins.2018.00617>

## D. Data, code and materials

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Chapter	Resource	Platform	DOI
<b>Chapter 5</b>			
	overview	Project website <a href="https://lcreteig.github.io/AB_tDCS-sEBR">https://lcreteig.github.io/AB_tDCS-sEBR</a>	
	data	Open Science Framework <a href="https://osf.io/cw2ma/">https://osf.io/cw2ma/</a>	10.17605/OSF.IO/CW2MA
	code	Open Science Framework <a href="https://osf.io/bmp7s/">https://osf.io/bmp7s/</a>	10.17605/OSF.IO/BMP7S
	materials	Open Science Framework <a href="https://osf.io/pzbgy/">https://osf.io/pzbgy/</a>	10.17605/OSF.IO/PZBGY
<b>Chapter 6</b> <sup>3</sup>			
	overview	Project website <a href="https://lcreteig.github.io/MFBrain">https://lcreteig.github.io/MFBrain</a>	
	data	Open Science Framework <a href="https://osf.io/456he/">https://osf.io/456he/</a>	10.17605/OSF.IO/456HE
	code	Open Science Framework <a href="https://osf.io/bnwap/">https://osf.io/bnwap/</a>	10.17605/OSF.IO/BNWAP
	materials	Open Science Framework <a href="https://osf.io/rzj2v/">https://osf.io/rzj2v/</a>	10.17605/OSF.IO/RZJ2V

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<sup>3</sup>Published as: Reteig, L. C., van den Brink, R. L., Prinsen, S., Cohen, M. X., & Slagter, H. A. (2019). Sustaining attention for a prolonged period of time increases temporal variability in cortical responses. *Cortex*, 117, 16–32. <https://doi.org/10.1016/j.cortex.2019.02.016>

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# Contributions to the chapters

The contributions below were specified according to the CRediT system (Contributor Roles Taxonomy; <https://www.casrai.org/credit.html>; Brand, Allen, Altman, Hlava, & Scott, 2015).

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## Chapter 2, published as:

Reteig, L. C., Talsma, L.J., van Schouwenburg, M. R., & Slagter, H. A. (2017). Transcranial Electrical Stimulation as a Tool to Enhance Attention. *Journal of Cognitive Enhancement*, 1, 10–25. <https://doi.org/10.1007/s41465-017-0010-y>

- **L.C. Reteig:** Conceptualization, Data curation, Project Administration, Resources, Writing - original draft.
- **L.J. Talsma:** Writing - review & editing.
- **M.R. van Schouwenburg:** Writing - review & editing.
- **H.A. Slagter:** Conceptualization, Data curation, Funding Acquisition, Project administration, Supervision, Writing - review & editing.

I also thank Marlies Vissers and three anonymous reviewers for providing useful feedback on the pre-publication manuscript.

## Chapter 3, published as:

Reteig, L. C., Knapen, T., Roelofs, F. J. F. W., Ridderinkhof, K. R., & Slagter, H. A. (2018). No evidence that frontal eye field tDCS affects latency or accuracy of prosaccades. *Frontiers in Neuroscience* 12:617. <https://doi.org/10.3389/fnins.2018.00617>

- **L.C. Reteig:** Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Project administration, Software, Supervision, Validation, Visualization, Writing - original draft.

- **T. Knapen:** Methodology, Resources, Validation, Writing - review & editing.
- **F.J.F.W. Roelofs:** Data curation, Investigation, Validation.
- **K.R. Ridderinkhof:** Supervision, Writing - review & editing.
- **H.A. Slagter:** Conceptualization, Funding acquisition, Project administration, Supervision, Writing - review & editing.

I also thank Monja Hoven and Floortje Bouwkamp for their assistance in piloting and data collection, and Thiago Costa and David Fischer for reviewing the pre-publication manuscript. The following colleagues graciously shared their MRI data for neuronavigation purposes: Daan van Es, Anouk van Loon, Poppy Watson, Suzanne Oosterwijk, Yaïr Pinto, and Henk Cremers.

**Chapter 4**, in preparation as:

Reteig, L. C., Newman, L. A., Ridderinkhof, K. R., & Slagter, H. A. (n.d.). Effects of tDCS on the attentional blink revisited: A statistical evaluation of a replication attempt.

**Chapter 5**, in preparation as:

Reteig, L. C., Newman, L. A., Ridderinkhof, K. R., & Slagter, H. A. (n.d.). Spontaneous eye blink rate does not predict attentional blink size, nor the effects of tDCS on attentional blink size.

- **L.C. Reteig:** Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Project administration, Resources, Software, Supervision, Visualization, Writing - original draft, Writing - review & editing.
- **L.A. Newman:** Data curation, Formal analysis, Investigation, Project administration, Writing - review & editing.
- **K.R. Ridderinkhof:** Supervision, Writing - review & editing.
- **H.A. Slagter:** Conceptualization, Funding acquisition, Project administration, Resources, Supervision, Writing - review & editing.

I also thank Raquel London for sharing all her experience and materials, as well as Daphne Box and Esther van der Giessen for their assistance in data collection.

**Chapter 6**, published as:

Reteig, L. C., van den Brink, R. L., Prinssen, S., Cohen, M. X., & Slagter, H. A. (2019). Sustaining attention for a prolonged period of time increases temporal variability in cortical responses. *Cortex*, 117, 16–32. <https://doi.org/10.1016/j.cortex.2019.02.016>

- 
- **L.C. Reteig:** Methodology, Software, Formal analysis, Data curation, Writing - Original draft, Visualization.
  - **R.L. van den Brink:** Conceptualization, Methodology, Software, Investigation, Data Curation, Writing - Review & Editing, Project Administration.
  - **S. Prinsen:** Conceptualization, Methodology, Software, Investigation, Data Curation, Writing - Review & Editing, Project Administration.
  - **M.X. Cohen:** Software, Resources.
  - **H.A. Slagter:** Conceptualization, Writing - original draft, Writing - Review & Editing, Supervision, Project Administration, Funding Acquisition.

I also thank Katherine MacLean for sharing the task code and stimuli used in MacLean et al. (2009) with us, Jonathan Smallwood and one anonymous reviewer for feedback on the pre-publication manuscript, as well as Hilde Huizenga, Raoul Grasman and Robert Zwitser for advice on the multilevel model.



# List of other publications

Alilović, J., Timmermans, B., **Reteig, L. C.**, van Gaal, S., & Slagter, H. A. (2019). No evidence that predictions and attention modulate the first feedforward sweep of cortical information processing. *Cerebral Cortex*, 29 2261–2278. <https://doi.org/10.1093/cercor/bhz038>

van Schouwenburg, M. R., Sørensen, L. K. A., de Clerk, R., **Reteig, L. C.**, & Slagter, H. A. (2018). No differential effects of two different alpha-band electrical stimulation protocols over fronto-parietal regions on spatial attention. *Frontiers in Neuroscience* 12:433. <https://doi.org/10.3389/fnins.2018.00433>

Slagter, H. A., Mazaheri, A., **Reteig, L. C.**, Smolders, R., Figuee, M., Mantione, M., ... Denys, D. (2017). Contributions of the Ventral Striatum to Conscious Perception: An Intracranial EEG Study of the Attentional Blink. *Journal of Neuroscience*, 37, 1081–1089. <https://doi.org/10.1523/jneurosci.2282-16.2016>

Slagter, H. A., Prinssen, S., **Reteig, L. C.**, & Mazaheri, A. (2016). Facilitation and inhibition in attention: Functional dissociation of pre-stimulus alpha activity, P1, and N1 components. *NeuroImage*, 125, 25–35. <https://doi.org/10.1016/j.neuroimage.2015.09.058>



# Nederlandse samenvatting (Summary in Dutch)

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# Acknowledgments

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