

- << Commutative Algebra >> — CA-<sup>Notes</sup> 001  
 Lec 1-1  
 Convention: ① Ring = +Commutative + Identity. ② Ring Homomorphism:  $f(1_R) = 1_S$   
 ③  $1=0$  is allowed, which means  $R = \{0\}$   
 By Def.,  $1 \neq 0$  in a field.
- Why Commutative Algebra:  
 • DG = Lemma of Level Sets  
 Example:  $n=2$ ,  $R = K[x_1, x_2]$   
 $V(\{x_1\}) = x_2\text{-axis in } K^2$   
 $V(\{x_2 - x_1^2\}) = \text{parabola in } K^2$
- ✓ Def: Conversely,  $\forall S \subseteq K^n$ ,  
 let  $I(S) = \{f \in R \mid \forall x \in S, f(x) = 0\} \subseteq R$
- ✓ Fact:  $S \subseteq R^n$ , then  $S \subseteq I(V(S))$ ,  $X \subseteq V(I(X))$   
 $\exists S \subseteq R^n$ ,  $V(S) \supseteq V(S')$ ;  $X \subseteq V(I(X))$ ,  $I(X) \supseteq I(X')$   
 $I(X) \subseteq R$  is an ideal.
- ✓ Radical of an ideal  $a \subseteq R$ :  $r(a) = \{a \in R \mid \exists n \geq 1, a^n \subseteq a\} \subseteq R$   
 An ideal  $a \subseteq R$  with  $a \subseteq r(a)$  is called radical.
- ✓ Fact: If ideal  $a \subseteq R$ , then  $a \subseteq r(a)$ ;  $r(a)$  is an ideal.  
 $X \subseteq K^n$ , the ideal  $I(X)$  is radical.
- ✓ Theorem: (Hilbert's Nullstellensatz) If ideal  $a \subseteq R$ , we have  $I(V(a)) = r(a)$ .
- ✓ Consequence: maps  $V, I: \{\text{radical ideals}\} \xrightarrow{V} \{\text{Affine Algebra Varieties}\}$ . (Here  $V, I$  is not the same as  $V(S), I(X)$ )  
 are usually inverse bijections (inclusion reversing)
- Example:  $\forall x = (x_1, \dots, x_n) \in K^n$ , the ideal  $I(\{x\}) = M_x = (x_1 - x_1, \dots, x_n - x_n)$  is maximal.  
 Proof: If not,  $\exists$  ideal  $a \subseteq R$ , with  $a \not\supseteq M_x$ . but then  $\emptyset \subseteq V(a) \subseteq V(M_x) \Rightarrow \square$   
 Theorem (Weak Nullstellensatz). The ideals  $M_x$  are precisely the maximal ideals of  $K[x_1, \dots, x_n]$ .  $K$  needs to be algebraically closed.  
 e.g.  $K = R, n = 1$ .  $x^2 + 1$ , irreducible in  $R[x]$ .  $R[x]/(x^2 + 1) \cong C$ , hence  $(x^2 + 1)$  maximal
- Consequence: bijection  $\{\text{maximal ideals of } R\} \xleftrightarrow{V} K^n$ . where  $R = K[x_1, \dots, x_n]$ .
- A: Ring  
 • Nilpotent:  $\exists n \geq 1$ , s.t.  $a^n = 0$       Zero Divisor:  $b \neq 0$ ,  $\exists a$ , s.t.  $ab = 0$
- ✓ Nilpotents are zero divisors but not converse.  $(0, 1) \times (1, 0) = (0, 0)$
- Def:  $N = r((0))$ , nilradical. ①  $N$  is the set of all nilpotents in  $A$ .  
 ②  $A/N$  has no nilpotent
- Proof: ① by Def ②  $x \in A$ , s.t.  $\bar{x} \in A/N$ ,  $\bar{x}$  nilpotent. let  $\bar{x}^n = 0 = \overline{x^n}$   
 then  $x^n \in N$ , s.t.  $\exists k > 0$ , s.t.  $(x^n)^k = 0$ . hence  $x^{nk} = 0$ ,  $x \in N$ , so.  $\bar{x} = 0$ , contra
- Proposition: Nilradical of  $A$  is the intersection of all prime ideals.  
 Proof: •  $\forall f \in A$ ,  $f^n = 0 \Rightarrow f^n \in P$  for every prime ideal  $P$ , hence  $f \in P$ ,  $f \in N$   
 •  $f$  is not nilpotent, let  $I = \{a \in A \text{ ideals} \mid \forall n > 0, f^n \notin a\}$ .

Apply Zorn's Lemma: let  $\Sigma$  be non-empty,  $\Sigma$  is partially ordered by inclusion. for any chain of ideals  $(\alpha_i)_{i \in I}$ ,  $\cup \alpha_i \in \Sigma$ , the set  $\alpha = \cup_{i \in I} \alpha_i$  is an ideal! (Verify!) For  $\forall n > 0$ ,  $f^n$  is not contained in  $\alpha$ , thus  $f^n \notin \alpha$ , hence  $\alpha \in \Sigma$ . By Zorn's Lemma: then there is a maximal element  $P \in \Sigma$ . We show that  $P$  is a prime ideal.  $\forall x, y \in P$ : ideals  $(x+p), (y+p)$ , they're not in  $\Sigma$ . Let  $m, n > 0$ ,  $f^m \in p + \langle x \rangle$ ,  $f^n \in p + \langle y \rangle$ , then  $f^{m+n} \in p + \langle xy \rangle$ , so  $p + \langle xy \rangle \notin \Sigma$ . hence  $xy \notin P$ .

$\checkmark f: A \rightarrow B$  ring homomorphism,  $P \subset B$ , prime ideal. then  $f^{-1}(P)$  is a prime ideal of  $A$ . ~~Notice: not true for maximal ideals in general.~~

But since maximal ideals  $\Rightarrow$  prime ideals ...

$\forall a \in A$  ideal,  $\pi: A \rightarrow A/a$ , Prop:  $\exists$  1:1 correspondence between ideals of  $A/a$  and ideals of  $A$  containing  $a$ . Via  $b = \pi(f)$ ,  $b \in A/a$ . ( $\pi$  is homomorphism?)

Corollary:  $\alpha \subset A$ ,  $r(\alpha)$  is the intersection of all prime ideals containing  $\alpha$ .

Proof: Consider  $\pi: A \rightarrow A/\alpha$ ,  $r(\alpha) = \pi^{-1}(N_{A/\alpha})$  (by definition & the proposition before) by correspondence, conclude.

Def: Jacobson Radical =  $R$  of  $A$ .  $R$ : intersection of all maximal ideals.

Prop:  $x \in R \Leftrightarrow \forall y \in A$ ,  $1-xy$  is a unit.

Proof:  $\Rightarrow x \in R$ ,  $y \in A$ , s.t.  $1-xy$  is not a unit. (every nonunit is in a maximal ideal)  $1-xy \in M$  (maximal),  $x \in R \subset M$ , hence  $1 = (1-xy) + (xy) \in M$ , contra.

$\Leftarrow x \notin R$ , then  $\exists M$ : maximal,  $x \notin M$ , thus  $M + \langle x \rangle = \langle 1 \rangle = A$

then  $\exists y \in A$ ,  $x \notin M$ , s.t.  $xy \in M$ , thus  $1-xy \in M$ , thus  $1-xy$  not a unit

## Lecture 2. Lec 1-2

Def. Local Ring. Residue field.

Example. ① Every field is a local ring  $M = \langle 0 \rangle$ . ② Ring of formal power series over field  $K[[x]]$  with maximal ideal  $(x)$ .

Prop: (i) A:ring,  $m \neq \langle 1 \rangle$ :ideal, if  $\forall x \in A/m$  is unit, then  $A$ :local,  $m$ :maximal.

(ii) A:ring,  $m \subset A$ :max ideal. If  $\forall x = \sum a_i x^i \in m$ ,  $a_0 \neq 0$ , then  $A$ :local.

Proof: Like in the book.

Def: Semilocal = finite maximal ideals.

Example:  $\mathbb{Z}$  is not semilocal. (maximal ideals are those generated by prime elements)

$\forall m \in \mathbb{Z}$ ,  $\mathbb{Z}/m\mathbb{Z}$  is a semi-local ring, with maximal ideals:  $d\mathbb{Z}/m\mathbb{Z}$

for  $d|m$  (discrete). But for  $p \in \mathbb{Z}$  prime,  $\mathbb{Z}/p^n (n > 1)$  is a local ring.

Reminder:  $\alpha, \beta \subset A$ : ideals,  $\alpha + \beta = \{a+b \mid a \in \alpha, b \in \beta\}$ , least ideal  $\supseteq \alpha \cup \beta$

Inf-SUM:  $(\alpha_i)_{i \in I}$ .  $\sum_{i \in I} \alpha_i = \left\{ \sum_{i \in I} x_i \mid x_i \in \alpha_i \text{ for all } i \in I, x_i = 0 \text{ for all but finitely many } i \in I \right\}$ .

$\alpha \cdot \beta = \left\{ \sum_{i \in I} x_i y_i \mid x_i \in \alpha, y_i \in \beta, \text{ all but finitely many terms } 0 \right\}$ .

$\prod_{i=1}^n \alpha_i = \left\{ \sum_{i=1}^n b_i \mid \text{finite sum} \right\} = \text{or define by induction}$ .

CA Notes 002  
Lecture 2

Atigot

$\Rightarrow$   $a, l \in A$  coprime (ideals) if  $a \cdot l = (1)$ .

Fact: If  $a, l \in A$  coprime, then  $a \cap l$  (always ideal)  $= a \cdot l$ . Pg

For general ideals in  $a, l$ ,  $(a+l) \cdot (a \cap l) \subset a \cdot l \subset a \cap l$ .

Prop:  $a_1, \dots, a_n$ : ideals in  $A$ .  $\varphi: A \rightarrow \prod_{i=1}^n (A/a_i)$  for the canonical homomorphism.

(i) If  $a_i, a_j$  coprime,  $i \neq j$ , then  $\prod_{i=1}^n a_i = \prod_{i=1}^n a_i$

(ii)  $\varphi$  is surjective IFF  $a_i, a_j$  coprime  $i \neq j$ .

(iii)  $\varphi$  is injective IFF  $\prod_{i=1}^n a_i = (0)$ .

Proof (iii):  $\ker \varphi = \prod_{i=1}^n a_i$

(i) Induction on  $n$ .  $n=2 \checkmark$

$$\text{let } n \geq 2, \text{ let } l = \prod_{i=1}^{n-1} a_i = \prod_{i=1}^{n-1} a_i$$

$$\text{thus } a_i + a_n = (1), \quad 1 \leq i \leq n-1, \quad x_i + y_i = 1, \quad \exists x_i \in a_i, y_i \in a_n.$$

$$\text{thus } \prod_{i=1}^{n-1} x_i \equiv \prod_{i=1}^{n-1} (1 - y_i) \equiv 1 \pmod{a_n} \quad \text{thus } a_n + l = (1)$$

$$\text{thus } \prod_{i=1}^n a_i = l \cdot a_n = l \cap a_n = \prod_{i=1}^n a_i$$

(ii)  $\Rightarrow$   $\varphi$  is surjective.  $i \neq j$ .  $\exists x_i \in A$ , s.t.  $\varphi(x_i) = (0, \dots, 0, 1, 0, \dots, 0)$

$$\text{thus } x_i \equiv 1 \pmod{a_i}, \quad x_i \equiv 0 \pmod{a_j}, \quad 1 = (1-x_i + x_i) \in a_i + a_j$$

" $\Leftarrow$ " Show  $\forall k \in \{1, \dots, n\}$ ,  $\exists x_k \in A$ , s.t.  $\varphi(x_k) = (0, \dots, 0, 1, 0, \dots, 0)$ .

$$\forall k, \forall j \in \{1, \dots, n\}, \quad a_k + a_j = (1), \quad k \neq j, \quad \text{thus } \exists u_j \in a_k, v_j \in a_j.$$

$$\text{S.t. } u_j + v_j = 1 \quad \text{let } x = \prod_{i=k}^n v_i, \quad \text{then } x \equiv 0 \pmod{a_j}, \quad \text{for } j \neq k.$$

$$\text{and } x = \prod_{i=k}^n (1 - u_i) \equiv 1 \pmod{a_k}$$

Prop:  $a, l \subset A$ , ideals. If  $r(a), r(l)$  coprime  $\Rightarrow a, l$  coprime

Proof:  $r(a+l) \stackrel{\text{Ex}}{=} r(r(a)+r(l)) \stackrel{\text{Ex}}{=} r(1) = (1)$

Prop: (i)  $p_1, \dots, p_n$ : prime ideals in  $A$ , let  $a \subset A$ -ideal,  $a \subset \bigcup_{i=1}^n p_i$ , then  $a \subset p_i$  for some  $i$

(ii)  $a_1, \dots, a_n$ : ideals,  $p \subset A$ : prime, s.t.  $p \supset (a_1 \cap a_2 \cap \dots \cap a_n)$ , then  $p \supset a_k$  for some  $k$ .

If  $p = (\prod_{i=1}^n a_i)$ , then  $p = a_i$  for some  $i$ .

Proof: (i) Induction on  $n$ :  $n=1 \checkmark$ . If  $n > 1$ , assume  $a \not\subset p_i$ , for all  $1 \leq i \leq n$ , then ...

$\forall k, a \not\subset \bigcup_{i \neq k} p_i$ ,  $\exists x_{ik} \in a$ , s.t.  $x_{ik} \notin p_i$  for all  $i \neq k$ .

If  $x_{ik} \in p_k$ , for some  $k$ , done

If not, then  $\forall k, x_k \in p_k$ , let  $y = \sum_{k=1}^n \prod_{j \neq k} x_j \in a$ .  $y \in p_k$  ( $p_k$ ),  $\forall k$ .

Since  $x_j \notin p_k$ ,  $\forall j \neq k$ ,  $p_k$  is a prime ideal, thus  $y \notin p_k$ ,  $\forall k$ , s.t.

Then  $a \not\subset \bigcup_{i=1}^n p_i$

(ii) Suppose  $\forall i \in \{1, \dots, n\}$ ,  $p \not\supset a_i$ , then  $\exists x_i \in a_i$ ,  $x_i \notin p$ ,  $\forall i$ . thus  $\prod_{i=1}^n x_i \in \prod_{i=1}^n a_i \subset a$

Since  $p$  prime,  $\prod_{i=1}^n x_i \notin p$  - thus  $p \not\supset \prod_{i=1}^n a_i$ .

In "case,  $p = \bigcap_{i=1}^n a_i \subset a_k, \forall k$ :

$a_j \subset \bigcap_{i=1}^n a_i$  (for some  $j$ )

Def.  $\alpha, \beta \in A$ : ideal.  $\text{Ideal Quotient} = \{\alpha : \beta\} = \{x \in A \mid x \cdot \beta \subseteq \alpha\}$   $\Rightarrow$  is an ideal  
(Fact)

Annihilator of an ideal  $= \alpha \cap A$ ,  $\text{Ann}(\alpha) = \{0 : \alpha\}$

Notation:  $x \in A$  ( $\alpha : \beta$ )  $\Rightarrow (\alpha : \beta)$

Fact:  $\text{Set } \{\text{zero-divisors of } A\} = D = \bigcup_{\substack{x \in A \\ x \neq 0}} \text{Ann}(x) = \bigcup_{x \neq 0} \text{r}(\text{Ann}(x))$

Proof:  $D = \text{r}(D)$

(Extend the radical def to an non-ideal)  
 $D = \text{r}(D) = \text{r}(\bigcup_{x \neq 0} \text{Ann}(x)) = \bigcup_{x \neq 0} \text{r}(\text{Ann}(x))$

Properties: let  $\alpha, \beta \subset A$ , ideals. (i)  $\alpha \subset (\alpha : \beta)$  (ii)  $(\alpha : \beta) \cdot \beta \subset \alpha$ . (iii)  $((\alpha : \beta) : \gamma) = (\alpha : (\beta \cap \gamma))$   
=  $((\alpha : \gamma) : \beta)$

(iv)  $(\alpha : \beta) \subset A$ , ideals -  $(\bigcap_{i \in I} \alpha_i : \beta) = \bigcap_{i \in I} (\alpha_i : \beta)$

(v)  $(\beta : \alpha) \subset A$ ,  $(\alpha : \sum_{i \in I} \beta_i) = \bigcap_{i \in I} (\alpha : \beta_i)$

Def:  $\alpha \subset A$ , ideal,  $f: A \rightarrow B$  ring homompr. Let extension of  $\alpha$  by  $f$  be the ideal

$\alpha^e = f_*(\alpha) = B : f(\alpha)$  ideal in  $B$  generated by  $f(\alpha)$

$\beta^e \subset B$ , def the contraction of  $\beta$  via  $f$  to be the ideal  $\beta^c = f^*(\beta) = f^{-1}(f(\beta))$

Prop: Let  $f: A \rightarrow B$ , ring hom,  $\alpha \subset A$ ,  $\beta \subset B$ , ideals.

(i)  $\alpha \subset f^*f_*(\alpha) = \alpha^e$ ,  $\beta \supset f_*f^*(\beta) = \beta^c$  (ii)  $f^*(\beta) = f^*f_*f^*(\beta)$ ,  $f_*(\alpha) = f_*f_*f_*(\alpha)$

(iii)  $C: \text{set of contracted ideals in } A$ ,  $E: \text{set of extended ideals in } B$ .

$C = \{\alpha \subset A \mid f^*(\alpha) = \alpha^e\}$ ,  $E = \{\beta \subset B \mid f_*f^*(\beta) = \beta^c\}$ .

$f_*: C \rightarrow E$  bijection with inverse  $f^*$ .

Proof. (i)  $\alpha \subset f^*f_*(\alpha) \subset f^*f^*(\alpha) = f^*f_*(\alpha)$  (ii)  $\beta \supset f_*f^*(\beta)$ ,  $\beta$ : ideal, so  $\beta \supset f_*f^*(\beta)$

(iii) Ex.

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Chapter 2. (A-Mod)

1. Modules. ("vector spaces" for rings)

A: Commutative Ring with unit

Def. Module M over A, ① Abelian Group (+) ②  $\mu: \text{linear } A \times M \rightarrow M$ .  
 ③  $\mu: ax+ay = ax+ay, (a+b)x = ax+bx, abx = (ab)x, 1x = x$   
 $a, b \in A, x, y \in M$

Example ② for A: field. any module = A-vector space

④ If A is ideal, then I is a module on A, submodule of A

⑤ If  $A \neq \mathbb{Z}$ , A-module is an Abelian Group.

$$\text{ax} = \begin{cases} n \cdot x & \text{if } n \geq 0 \\ 0 & \text{if } n=0 \\ -(x+x+\dots+x), n < 0 \end{cases}$$

Def.  $M, N$ : A-module,  $f: M \rightarrow N$ , A-linear,  $f(ax+by) = af(x)+bf(y)$   
 the set of such  $f: M \rightarrow N$  is denoted  $\text{Hom}_A(M, N)$ , it is a module

on A. with  $f+g = f(x)+g(x), (af)(x) = a(f(x))$

If  $M \xrightarrow{f} N \xrightarrow{g} P$ , then  $g \circ f \in \text{Hom}_A(M, P)$

also  $g \circ (f \circ h) = (g \circ f) \circ h$ .  $\text{Id}_M \in \text{Hom}_A(M, M)$ .

Def.  $f: M \rightarrow N$  isomorphism. IFF  $\exists g: N \rightarrow M$ ,  $f \circ g = \text{Id}_N, g \circ f = \text{Id}_M$ .  
 (assume  $f, g$ : A-linear)

IFF  $f$ : bijective,  $g = f^{-1}$  is A-linear.

Rank:  $M \xrightarrow{f} N \xrightarrow{g} P$  (A-linear).  $\Rightarrow \forall P$ : A-module, we get  $\text{Hom}_A(N, P) \xrightarrow{f^*} \text{Hom}_A(M, P)$   
 & i.e.  $g \mapsto g \circ f$ , and  $\text{Hom}_A(Q, M) \rightarrow \text{Hom}_A(Q, N)$  i.e.  $h \mapsto h \circ f$ .

8 suppose M is an A-module,  $N \subseteq M$  submodule.

Then  $\exists$  structure  $M/N$  of A-module. s.t.  $M \rightarrow M/N$  is A-linear.

Def.  $a(x+N) = ax+N$ . well-defined since  $aN \subseteq N$ .

Def:  $f: M \rightarrow N$   $\ker(f) = f^{-1}(\{0\}) \subseteq M$ , submodule.

$\text{Im}(f) = f(M) \subseteq N$ , submodule

$\text{Coker}(f) = N/\text{Im}(f)$  A-module.

Note. (1)  $\ker(f) = \{0\} \Leftrightarrow f$ : injective.  $\text{Coker}(f) = \{0\} \Leftrightarrow f$ : surjective.

(2)  $f: M \xrightarrow{\text{linear}} N$ ,  $M' \subseteq \ker(f)$  submodule, then  $f$

$\bar{f}(x+M') = f(x)$  well-defined since  $f(M') = \{0\}$ .

$$\bar{f} \circ f = f$$

$$\text{Im}(\bar{f}) = \text{Im}(f), \ker(\bar{f}) = \ker(f)/M'$$

In particular, if  $M' = \ker(f)$ , we get an isomorphism  $M/\ker(f) \xrightarrow{\bar{f}} \text{Im}(f)$

$M = A$ -module,  $(M_i)_{i \in I}$  submodules,  $\bigcap M_i$  also a submodule,

If  $x \in M$ , then the intersection of all submodules that contain x is a submodule.

Containing x, called the submodule generated by x,  $\langle x \rangle$ .

$$\begin{array}{ccc} M & \xrightarrow{f} & N \\ P & \downarrow & \nearrow f \\ M/M' & \xrightarrow{\bar{f}} & \text{Im}(f) \end{array}$$

$\langle X \rangle = \{ \text{linear combination of elements of } X \} = \left\{ \sum_{i=1}^k a_i x_i \right\}$

We write  $\sum_{i \in I} M_i = \langle \bigcup_{i \in I} M_i \rangle$

Def If  $M : M = \langle X \rangle$  with  $X$  finite, then  $M$  is finitely generated.

Warning: not the same in vector spaces, if  $M$  finitely generated,  $N \subset M$  submodule,  $N$  may not be finitely generated.

Example:  $A = \mathbb{C}[x_1, \dots, x_n]$ ,  $A = \langle 1 \rangle$

$I = \langle x_1, \dots, x_n, \dots \rangle$  is not finitely generated.  
(ideal generated by  $x_i$ 's)

Lemma (1)  $L > M > N$ , isomorphism  $(L/N)/(M/N) \xrightarrow{\sim} L/M$

with  $(x+N) + (M/N) \mapsto x+M$

$L \xrightarrow{\pi} L/M$ , surjective,  $\bar{\pi}_N : L/N \xrightarrow{\sim} L/M$  surjective,  $\ker(\bar{\pi}) = M/N$   
thus  $(L/N)/(M/N) \xrightarrow{\sim} L/M$

(2)  $(M_1 + M_2)/M_2 \xrightarrow{\sim} M_1/(M_1 \cap M_2)$

Def  $I \subset A$ ,  $I : M$   $\underset{\substack{\text{ideal} \\ (\text{def})}}{=} \langle \{ax \mid a \in I, x \in M\} \rangle \subset M$  (submodule of  $M$ )

$M/IM$  is a  $A/I$ -module

Def  $(M_i)_{i \in I}$   $A$ -modules.  $\bigoplus_{i \in I} M_i$  is a module with  $a(x_i) = (ax)_i$

$\bigoplus_{i \in I} M_i \subset \prod_{i \in I} M_i$  submodule of  $(x_i)_{i \in I}$ , s.t.  $x_i = 0$  for all except finitely many  $i$ .

If  $M_i = M \forall i \in I$ , write  $\bigoplus_{i \in I} M_i = M^{(I)}$  or  $M^I$  if  $I$  is finite.

Def.  $A$ -module: free  $\iff \exists I$ : index set, s.t.  $M \xrightarrow{\sim} A^{(I)}$

(1)  $A$  field, then every  $A$ -module is free.

(2)  $A = \mathbb{Z}$ ,  $A/\mathbb{Z}$  is not free.

Warning (3) a submodule of a free module is not necessarily free. (e.g.: ideals in  $A$ )

If  $m, n \geq 0$ ,  $A^m \xrightarrow{\sim} A^n$ , then  $m = n$ .

$A \neq \{0\}$ . Let  $I \subset A$ , maximal, then we get isomorphism  $(A/I)^m \xrightarrow{\sim} (A/I)^n$   
since  $A/I$  is a field, then  $m = n$ .

Prop: (Nakayama's Lemma)

$M$ : finitely generated,  $I \subset A$  Jacobson radical of  $I = \bigcap_{m \in A, \text{max}} M$   
if  $IM = M$ , then  $M = \{0\}$ .

Pf: If  $M \neq \{0\}$ , let  $\{x_1, \dots, x_n\}$  be the generating set,  $n \geq 1$ .

e.g.  $A$ , local ring,  $I = \mathfrak{m}$

s.t.  $n$  minimal.

Since  $IM = M$ ,  $x_n \in IM$ , thus  $x_n = \sum_{i=1}^k a_i x_i$ ,  $a_i \in I$ .

thus  $x_n = \sum_{i=1}^k a_i \left( \sum_{j=1}^n b_{ij} x_j \right) = \sum_{i=1}^k \sum_{j=1}^n a_i b_{ij} x_j = \sum_{j=1}^n \left( \sum_{i=1}^k a_i b_{ij} \right) x_j$

so  $(1 - c_n)x_n = \sum_{j=1}^n g_j x_j$ ,  $(1 - c_n) \equiv 1 \pmod{I} \Rightarrow$

$(1 - c_n)$  is invertible in  $A$ . so  $x_n = (1 - c_n)^{-1} \sum_{j=1}^n g_j x_j$ ,

$\Rightarrow \{x_1, \dots, x_{n-1}\}$  generates  $M$ , contradiction.

Cor.  $M$ : finitely generated  $\rightarrow I \subset A$  Jacobson radical,  $N \subset M$ .

If  $M = IM + N$ , then  $M = N$

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Pf:  $I(M/N) = (IM+N)/N = M/N$ , thus  $M/N = \{0\}$ .

Cor.  $A$ : local ring,  $M$ : finitely generated then if  $(x_1, \dots, x_n) \in M$ , s.t.

their classes mod  $m$  form a basis of  $M/mM$ , ( $m \subset A$ ), as  $M/m$ -vector space, then they generate  $M$ .

If:  $N = \langle x_1, \dots, x_n \rangle$  we the previous corollary.

Lec 2-2

### 32. Exact Sequences.

Def. (1)  $M' \xrightarrow{f} M'' \xrightarrow{g} M'''$  is exact if  $\text{Im}(f) = \ker(g)$

(2)  $M_1 \xrightarrow{f_1} M_2 \xrightarrow{f_2} \dots \xrightarrow{f_n} M_n \rightarrow \dots$  exact,  $\forall i$ ,  $\text{Im}(M_i) = \ker(M_{i+1})$

Example. (a)  $0 \rightarrow M \rightarrow M''$  is exact  $\Leftrightarrow \{0\} = \ker(g) \Leftrightarrow g$ : injective.

(b)  $M' \xrightarrow{f} M \xrightarrow{g} 0$  is exact  $\Leftrightarrow \text{Im}(f) = \ker(g) = M \Leftrightarrow f$ : surjective.

(c) Short exact sequence.  $0 \rightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0$ .

$f$ : injective,  $g$ : surjective  $\text{Im}(f) = \ker(g)$

eg.  $0 \rightarrow M' \xrightarrow{x \mapsto (x, 0)} M' \oplus M'' \xrightarrow{(x, y) \mapsto y} M'' \rightarrow 0$  split exact sequence  
(Short exact sequence)

eg.  $A = \mathbb{Z}$ ,  $\mathbb{Z}/2\mathbb{Z} \xrightarrow{x \mapsto 2x} \mathbb{Z}/4\mathbb{Z} \xrightarrow{x \mapsto x \text{ mod } 2} \mathbb{Z}/2\mathbb{Z} \rightarrow 0$   $\mathbb{Z}^{\prime \prime}$   
Not Split

Theorem (Snake Lemma). 2 short exact sequences

$f', f, f''$ : Linear, The diagram is commutative

s.t.  $u' \circ f' = f \circ u$ ,  $f'' \circ v = v' \circ f$ .

$\exists \delta : \text{ker}(f'') \rightarrow \text{Coker}(f')$ , s.t. the sequence is a long split exact

$0 \rightarrow \text{ker}(f') \xrightarrow{u} \text{ker}(f) \xrightarrow{v} \text{ker}(f'')$

restriction

(check  $u(\text{ker}(f')) \subset \text{ker}(f)$ )

$x \in \text{ker}(f'), f(u(x)) = u' \circ f'(x) = u'(0) = 0$

$$\begin{array}{ccccccc} 0 & \xrightarrow{\quad} & \text{ker}(f') & \xrightarrow{\quad} & \text{ker}(f) & \xrightarrow{\quad} & \text{ker}(f'') \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \xrightarrow{\quad} & M' & \xrightarrow{\quad} & M & \xrightarrow{\quad} & M'' \\ & & \downarrow f' & & \downarrow f & & \downarrow f'' \\ 0 & \xrightarrow{\quad} & N'_1 & \xrightarrow{\quad} & N_1 & \xrightarrow{\quad} & N''_1 \\ & & \downarrow v' & & \downarrow v & & \downarrow \\ & & \text{Coker}(f') & \xrightarrow{\quad} & \text{Coker}(f) & \xrightarrow{\quad} & \text{Coker}(f'') \\ & & \delta(x') = y' + \text{Im}(f') & & & & \end{array}$$

$\text{Coker}(f') = N'/\text{Im}(f')$

Check that:

well-defined.  $\bar{u}'(y + \text{Im}(f')) = \bar{u}'(y) + \text{Im}(f')$   
Check image fall into  $\text{Coker}(f)$ .

Definition of  $\delta$ : take  $x'' \in \text{ker}(f'')$ ,  $x'' \in M'$

choose  $x \in M$ , s.t.  $v(x) = x''$ , consider  $f(x)$

$v'(f(x)) = f''(v(x)) = f''(x'') = 0$ , thus  $f(x) \in \ker(v') = \text{Im}(u)$   $\Rightarrow u$  is injective.

$\exists ! y' \in N'_1$ , s.t.  $u'(y') = f(x)$ , define  $\delta(x'') = y' + \text{Im}(f') \in \text{Coker}(f')$

$\delta$ : should be well-defined. let  $x \rightarrow x + \bar{z}$ ,  $\bar{z} \in \ker(v)$ , so  $\bar{z} = u(\bar{z}')$  for some  $\bar{z}' \in M'$

$$f(x) + f(\bar{z}') = f(x) + f(u(\bar{z}')) = f(x) + u'(f'(\bar{z}'))$$

$$y' + f'(\bar{z}') \in y' + \text{Im}(f')$$

$\delta$ : exactness.  $\text{Im}(v) = \ker \delta$

$$(1) \quad x'' = v(x), \quad x \in \ker(f).$$

$$\text{thus } \delta(x'') \rightarrow y' + \text{Im}(f')$$

$$u'(y') = f(x) = 0, \quad y' = 0, \quad \delta(x'') = 0.$$

(2).

$$\begin{cases} \delta \circ v = 0 & (1) \\ \bar{u}' \circ \delta = 0 & (3) \\ \ker(\delta) \subset \text{Im}(v) & (2) \\ \ker(\bar{u}') \subset \text{Im}(\delta) & (4) \end{cases}$$

Ex Class - Week 3.

Vector Spaces VS Modules

$V$  -  $K$ -vector space.  $\dim_K V = m < \infty$

•  $V \cong K^m \Rightarrow \exists \{b_1, \dots, b_m\}, \langle b_1, \dots, b_m \rangle = V$  3).

$M$ ,  $A$ -module.

• Basis is not necessary  $M = \mathbb{Z}/m\mathbb{Z}$ .  $M$ :  $\mathbb{Z}$ -module e.g.  $\langle [1] \rangle = \mathbb{Z}/m\mathbb{Z}$ .

Free Module.

$\mathbb{Z}$  free  $\mathbb{Z}$ -module,  $\langle [2], [3] \rangle = \mathbb{Z}$   $\dim_{\mathbb{Z}} \mathbb{Z} = 1$ , by but  $3 \nmid (2)$ ,  $2 \nmid (3)$

$K$  field,  $K^m \cong K^n$  IFF  $m=n$  Same Modules,  $A^m \cong A^n$  IFF  $m=n$

Ex:  $A$ : ring,  $f \in A$ ,  $f \notin A^{\times}$  not a unit,  $f \neq 0$ , then  $A[\frac{1}{f}] = \{\sum_{i \in \mathbb{N}} a_i f^{-i} \text{, finite sum}\}$

$A$  is a free module.  $\exists k \in \mathbb{N}, k > 0, \{f^{-1}, \dots, f^{-k}\}$  a set of generators.

$A[x]/(fx-1)$

(3) Let  $x'' \in \ker(f'')$  s.t.  $s(x'') = \bar{y}'$   
to compute  $\bar{u}'(\bar{y}')$ ,  $y' \in N'$ .

$$\bar{u}'(\bar{y}') = u'(y') + \text{Im}(f)$$

take  $x$  with  $v(x) = x''$ , then  $y'$  with  $u'(y') = f(x)$ , so  $\bar{u}'(y') = u'(y') + \text{Im}(f) = 0$   
(surj, exact)

(4) Let  $\bar{y}' \in \ker(\bar{u}'')$

i.e.  $\exists y' \in N'$ ,  $\bar{y}' = \bar{u}'(y')$ , s.t.  $u'(y') \in \text{Im}(f)$ , s.t.  
 $\exists x \in M$ , s.t.  $f(x) = u'(y')$ , let  $x'' = v(x)$ , claim,  $s(x'') = \bar{y}'$ , so  $\bar{y}' \in \text{Im}(s)$   
First,  $f''(x'') = f'' \circ v(x) = v' \circ f(x) = v(u'(y')) = 0$ ,  $v(u') = 0$   
so  $x'' \in \ker(f'')$

To compute  $s(x'')$ , let  $v(x) = x''$ ,  $f(x) = u'(y')$ , so  $s(x'') = y' + \text{Im}(f) = \bar{y}'$   $\square$

Example: Snake Lemma.  $\{0\} \xrightarrow{\quad} \ker(f'') \xrightarrow{s} \text{Coker}(f) \xrightarrow{\bar{u}} \{0\}$   
 $\begin{array}{ccc} & M & \\ f \swarrow & \downarrow & \searrow \\ & N & \\ & \bar{u}(\text{Im}) & \\ & N & \end{array}$   
 bijective  $\rightarrow s$ : isomorphism.

Expo:  $0 \rightarrow M' \xrightarrow{u} M \xrightarrow{v} M'' \rightarrow 0$  exact. : then  $\forall A\text{-mod } N$ .

$\oplus 0 \rightarrow \text{Hom}(M'', N) \xrightarrow{v^*} \text{Hom}(M, N) \xrightarrow{u^*} \text{Hom}(M', N)$  Exact  
 $f' \mapsto f \circ v \quad g \mapsto g \circ u$  Note: In general,  $U^*$  not surjective.

③  $\text{Hom}(N, M) \xrightarrow{u^*} \text{Hom}(N, M) \xrightarrow{v^*} \text{Hom}(N, M'') \rightarrow 0$  Exact

Pf: ① without the "left 0", is exact  $\Leftrightarrow$  ② is exact,  $\forall N$   
 ④ without the "right 0", is exact  $\Leftrightarrow$  ③ is exact,  $\forall N$ .

Here prove ① first assume  $M' \rightarrow M \rightarrow M'' \rightarrow 0$  exact,  $\forall N: A\text{-mod}$ .

(1)  $u^* \circ v^* = 0$  (2)  $v^*$ : injective, ③  $\ker(u^*) \subset \text{Im}(v^*)$

Pf: a)  $f: M'' \rightarrow N$ ,  $u^* \circ v^*(f) = f \circ (v \circ u) = f \circ 0$

b)  $\ker(v^*) = 0$ , let  $v^* f = f \circ v = 0$ , then  $f(\text{Im}(v)) = 0$ , so  $f = 0$ . (v: surjective)

(3) Let  $f: M \rightarrow N$ , s.t.  $u^* f = f \circ u = 0$ .

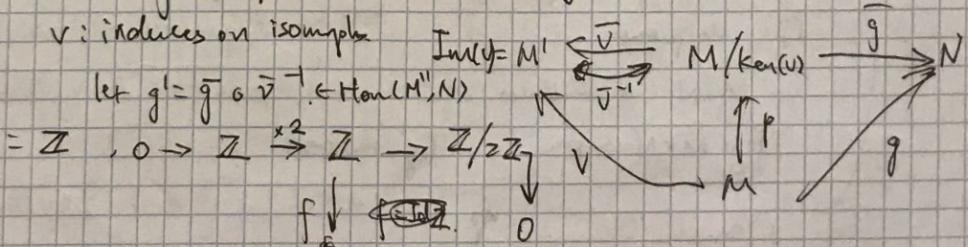
Then  $f(\text{Im}(u)) = 0$ , so  $f(\ker(v)) = 0$

Thus  $g: M / (\ker(v)) \rightarrow N$ . s.t.  $\bar{g} \circ \bar{v} = f$

v: induces an isomph.

let  $g' = \bar{g} \circ \bar{v}^{-1} \in \text{Hom}(M'', N)$

Example:  $A = \mathbb{Z}$ ,



$U^*$  not  $\text{Hom}(\mathbb{Z}, N) \rightarrow \text{Hom}(\mathbb{Z}, N)$  is not surjective if  $N = \mathbb{Z}$

B/c, if  $f = \text{Id}_{\mathbb{Z}}$ , then  $0 \rightarrow \mathbb{Z} \xrightarrow{x^2} \mathbb{Z}$

$$\begin{matrix} \text{Id} \\ \downarrow \\ \mathbb{Z} \end{matrix} \quad \begin{matrix} \checkmark \\ g \\ \downarrow \end{matrix}$$

there is no such  $g$ .  
 b/c, linear maps on  $\mathbb{Z}$  are  $(x, n)$ , not  $\mathbb{Z}$ .

Conversely,  $(\star_N)$  ~~is exact~~. exact

(1)  $V$  is surjective. Let  $N = \text{Coker}(V)$ , show  $N = 0$ .

$p: M' \rightarrow \text{Coker}(V) \in \text{Hom}(M'', N)$

(a)  $V^*(cp) = p \circ V = 0$  - since  $V^*$  is injective,  $p = 0 \Rightarrow M'' = \ker(p) = \text{Im}(V)$

$V$  is surjective

(b) Take  $N = M''$ ,  $f = \text{Id}_{M''}$ ,  $(u^* \circ v^*)(f) = 0$ .

$\text{Id}_{M''} \circ V \circ u = 0 \Leftrightarrow vu = 0$ .

(3)  $N = M/\text{Im}(u)$ ,  $p: M \rightarrow N$ , projection.

$u^*(cp) = p \circ u = 0$  -  $p \in \ker(u^*)$ ,  $\exists f \in \text{Hom}(M', N) \in \text{Hom}(M'', N)$ , s.t.  $V^*(f) = p$

$$\begin{array}{ccc} M'' & \xrightarrow{s} & N \\ \downarrow v & \nearrow p & \\ M & & \end{array}$$

$\ker(V) \subset \ker(p) \Rightarrow \ker(V) \subset \text{Im}(u)$

(2)+(3)  $\Rightarrow \ker(v) = \text{Im}(u)$

### §3 Tensor Product.

#### Bilinear Map.

Def.  $M, N, P$ ,  $A$ -mod. A map  $b: M \times N \rightarrow P$   $A$ -bilinear.

$$f(ax+by, z) = af(x, z) + bf(y, z) \quad f(z, ax+by) = af(z, x) + bf(z, y).$$

$\text{Bil}_A(M, N, P)$  set of all bilinear maps:  $M \times N \rightarrow P$

Tensor product gives  $A$ -module:  $M \otimes_A N$  s.t.  $\text{Bil}_A(M, N, P) = \text{Hom}_A(M \otimes_A N, P)$

In  $M, N$  mod.  $\exists (T, \beta)$ ,  $T$ :  $A$ -module  $\beta: \text{bilinear } M \times N \rightarrow T$ ,

s.t.  $\forall A\text{-mod } P, \forall \text{bilinear map } M \times N \xrightarrow{b} P$ .

$$\begin{array}{c} \text{S.t. } \beta \circ b = b. \\ \exists! f \in \text{Hom}(T, P) \end{array}$$

$$\begin{array}{ccc} M \times N & \xrightarrow{b} & P \\ \downarrow \beta & \nearrow f & \\ T & & \end{array}$$

$$\begin{array}{ccc} M \times N & \xrightarrow{b} & T \\ \downarrow & \nearrow f & \\ T' & & \end{array}$$

Uniqueness: if  $(T', \beta')$  another such pair,  $\exists!$  isom  $T \rightarrow T'$  s.t.  $T \xrightarrow{\tilde{f}} T'$

$$\begin{array}{ccc} & \beta' & \\ & \uparrow & \\ M \times N & \xrightarrow{b} & T' \end{array}$$

Prop 2.12.  $(T, g)$   $g: M \times N \rightarrow T$ .

$$\tilde{f} \circ \beta = \beta'$$

$\forall P, \forall f: M \times N \rightarrow P. \exists! f': T \rightarrow P$  s.t.  $f = f' \circ g$

$$(T, g) \perp (T', g') \exists! j: T \rightarrow T' \text{ s.t. } j \circ g = g'$$

$\underline{Pf}$ : Uniqueness.

$M, N$  :  $A$ -modules.

$$f: M \times N \rightarrow P. \quad T, g: M \times N \rightarrow T$$

$$\begin{array}{ccc} & f \nearrow T & \\ & \beta & \\ \forall \text{ module } P & \xrightarrow{f} & T \\ & \downarrow & \\ & \text{bijection } M \times N \xrightarrow{b} P & \\ & \downarrow & \\ & \beta' & \end{array}$$

#### ?Yoneda Lemma.

$C = A^{(M \times N)}$  free module.  $\exists M \times N \rightarrow C$

$$(x, y) \mapsto \text{basis}$$

$D \subseteq C$ :

$\underline{\text{Def1}}$ : Smallest submodule s.t.  $M \times N \rightarrow C/D$  is bilinear

$\underline{\text{Def2}}$   $\forall a \in A, x \in M, y \in N$ , the books' version

- P31.  $f \in k[x_1, \dots, x_n]$  nonzero.  $k$ : infinite, no polynomial other than 0 can vanish entirely on  $k^n$
- X take  $f \in k[x_1, \dots, x_n]$ , only finitely many  $x_i$  can make  $f \in k[x_1, \dots, x_n]$  entirely 0.
- by induction, only finitely many  $[x_2, \dots, x_n]$  can make  $f \in k[x_1]$  entirely 0.
- when  $f \in k[x_1] \neq 0$ , only finitely  $x_1$  can make  $f(x_1) \in k[x_1] = 0$ .
- Now think from  $[x_1] \rightarrow [x_1, \dots, x_n]$  backwards.

Sketch:

Lec 3-2. CA.  $T = M \otimes N$ .  $\tilde{x} \otimes y = f(x)y$

$$\checkmark \quad (1) M \otimes N \cong N \otimes M. \quad (2) (M \otimes N) \otimes P \xrightarrow{?} (M \otimes P) \otimes N$$

$m \otimes n \mapsto n \otimes m$

Note:  $S = \{m \otimes n, (m, n) \in M \times N\} \neq M \otimes N$ ,  $S$  generates  $M \otimes N$ .

$$(3) M \otimes (N_1 \oplus N_2) = M \otimes N_1 \oplus M \otimes N_2$$

$m \otimes (n_1, n_2) \mapsto (m \otimes n_1, m \otimes n_2)$

$$(4) A \otimes M \cong M$$

$a \otimes m \mapsto am$

$$b(m, (n_1, n_2)) = m \otimes n_1 + m \otimes n_2 \quad (M \otimes n_1, M \otimes n_2)$$

$$b(m+m', (n_1, n_2)) = (m+m') \otimes n_1 + (m+m') \otimes n_2 = m \otimes n_1 + m' \otimes n_1 + m \otimes n_2 + m' \otimes n_2$$

$\quad \quad \quad = m \otimes (n_1+n_2) + m \otimes (n_1+n_2)$

$$X \quad (3) \text{ pf: } b(m \otimes (n_1, n_2)) = (m \otimes n_1, m \otimes n_2) \quad \text{show } f: \text{isomorphism.}$$

Pure tensor:  $x \otimes y \in M \otimes N$ , ~~for~~ others simply tensors.

$$\overline{A^m} = A \oplus \dots \oplus A \quad (\text{finite free modules})$$

$$(\bigoplus_{i=1}^m A) \otimes A^n = \bigoplus_{i=1}^m (A \otimes A^n) = \bigoplus_{i=1}^m (A^n) \subseteq A^{mn}$$

$$(4) \Rightarrow A \otimes A \cong A. \quad \text{by (3), (4)} \quad A^m \otimes A^n = A^{mn} \quad (\text{Compare } A^m \otimes A^n = A^{m+n})$$

$e_1^{(1)}, \dots, e_m^{(1)}$  standard basis for  $A^m$ ,  $e_1^{(2)}, \dots, e_n^{(2)}$  for  $A^n$ .

then  $\{e_i^{(1)} \otimes e_j^{(2)}\}_{i=1, \dots, m, j=1, \dots, n}$  is a basis of  $A^m \otimes A^n$

hence induces the isomorphism  $\cong A^{mn}$

$$f: A^m \times A^n \rightarrow P, \quad A^m \ni x = x_1 e_1^{(1)} + \dots + x_m e_m^{(1)}$$

$$A^n \ni y = y_1 e_1^{(2)} + \dots + y_n e_n^{(2)}$$

$$fx, y = \sum x_i y_j f(e_i^{(1)} \otimes e_j^{(2)}) = \sum x_i y_j \cdot a_{ij} \in P$$

Conversely, given an  $mn$ -tuple  $(a_{ij}) \in P$ , define  $f: A^m \times A^n \rightarrow P$  by the above form.

$$(e_i^{(1)}, e_j^{(2)}) \rightarrow \bigoplus_{i=1}^m A \cdot e_i^{(1)} \otimes e_j^{(2)}$$

$$\exists! \tilde{f}: \tilde{f}(e_{ij}) = a_{ij}.$$

• Multilinear Case.

$$\bullet \mathbb{R}^n : \{\text{inner product on } V\} \subseteq (V \otimes V)^{\text{dual}} \quad (A = \mathbb{R}) \quad \begin{matrix} \text{linear } V \otimes V \rightarrow \mathbb{R} \\ (\text{dual}) \end{matrix}$$

$\checkmark$  f. Extension of Scalars.

$f: A \rightarrow B$   $f \rightarrow B\text{-mod.}$

$A\text{-mod} : M$ .

$$M_B = M \otimes_A B$$

as an  $A$ -mod via  $f$ .

$$a \cdot b = f(a)b.$$

$b \cdot (m \otimes z) := m \otimes bz$  extend to a bilinear  $B \times M_B \rightarrow M_B$

$\otimes: M = A^m \Rightarrow M_B \cong B^m$  ex.  $A = \mathbb{R}$ ,  $B = \mathbb{C}$   $(\mathbb{R}^n) \subset \mathbb{C}^n$ .

P, M, N modules on A

$$M \otimes N := M \otimes_A N.$$

$$\bullet \text{ bilinear } f: M \times N \rightarrow P \quad \text{linear: } g: M \otimes N \rightarrow P \quad g(x \otimes y) = f(x, y)$$

 $\bullet \{x \otimes y : x \in M, y \in N\}$  generates but need not equal  $M \otimes N$ 

$$R^{10} \otimes R^{10} = R^{10}.$$

 $\bullet f: \text{not bilinear} \quad \text{then } \exists g: \text{linear: } M \otimes N \rightarrow P \quad \text{s.t. } g(x \otimes y) = f(x, y)$   
If  $f$  is not bilinear, build  $M \otimes N$ ,  $g$  the same way, then  $g$  is not linear?

$$\text{e.g. } \# \text{ char } \mathbb{Z} \otimes \mathbb{Z} \rightarrow \mathbb{Z}, \text{ s.t. } x \otimes y \mapsto xy$$

 $\bullet x \otimes y \text{ depends on modules to which we regard } x, y \text{ belonging.}$  $\bullet \text{In fact, one can have } x \in M' \subseteq M, y \in N' \subseteq N. \text{ s.t. } x \otimes y \text{ in } M' \otimes N' \text{ and}$   
 $\text{by } x \otimes y \text{ in } M \otimes N \text{ not the same}$ 

$$\text{e.g. } \begin{array}{c} \mathbb{Z} \otimes \mathbb{Z} / \mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \\ 2\mathbb{Z}/4\mathbb{Z} \rightarrow 2\mathbb{Z}/4\mathbb{Z} \neq 0 \end{array} \Rightarrow 2 \otimes 1 \pmod{\mathbb{Z}}$$

$$\mathbb{Z} \otimes \mathbb{Z} / \mathbb{Z}/2\mathbb{Z} = \mathbb{Z}/2\mathbb{Z}$$

$$2 \otimes 1 \pmod{\mathbb{Z}} \leftrightarrow 2 \otimes 1 = 0$$

Summary:  $\exists M' \subseteq M, N' \subseteq N \Rightarrow M' \otimes N' \subseteq M \otimes N$  but  $\exists M \otimes N' \rightarrow M \otimes N$  as below $\otimes$  is a functor given module morphisms,  $f: M \rightarrow M'$ ,  $g: N \rightarrow N'$ 

$$\exists! f \otimes g: M' \otimes N' \rightarrow M \otimes N$$

$$x \otimes y \mapsto f(x) \otimes g(y)$$

$$\cdot (f \otimes f') \otimes (g \otimes g') = (f \otimes g) \otimes (f' \otimes g')$$

$$g \circ f = 1: M' \xrightarrow{f} M \cdot N.$$

$$M' \otimes N \xrightarrow{f \otimes 1} M \otimes N$$

 $\cdot N: \text{flat} \Leftrightarrow \forall M' \xrightarrow{f} M \text{ as before, then } (f: \text{injective} \Rightarrow f \otimes 1_N: \text{injective})$ In other words:  $M' \subseteq M \Rightarrow M' \otimes N$  submodule of  $M \otimes N$ Thus:  $\mathbb{Z}/2$  is not a flat  $\mathbb{Z}$ -module,  $\mathbb{Z} \otimes \mathbb{Z}/2 \rightarrow \mathbb{Z}$  injectivebut  $\mathbb{Z} \otimes \mathbb{Z}/2 \rightarrow \mathbb{Z} \otimes \mathbb{Z}/2$  is the zero map, hence not injective.~~eg:~~  $\{0\}$  is a flat  $A$ -module, because  $M' \otimes \{0\}$  is also a zero module.

$$\cdot \text{eg. } A: \text{flat, } A\text{-module. } 0 \rightarrow M' \xrightarrow{f} M, f \leftrightarrow f \otimes 1$$

$$0 \rightarrow M' \otimes_A A \rightarrow M \otimes_A A,$$

 $\cdot (N_i)_{i \in I} \text{ cover } A. \text{ Then } \bigoplus_{i \in I} N_i: \text{flat} \Leftrightarrow N_i: \text{flat, } \forall i \in I$ If:  $N_i, i \in I$ , flat, let  $f: \text{injective } M' \xrightarrow{f} M$ .

$$M' \otimes \left( \bigoplus_{i \in I} N_i \right) \xrightarrow{f \otimes 1} M \otimes \left( \bigoplus_{i \in I} N_i \right), \text{ consider if } f \otimes 1 \text{ not injective. } N = \bigoplus_{i \in I} N_i$$

$$\text{i.e. } \mathbb{Z} \in \ker(f \otimes 1), \text{ Th: } \bigoplus_{i \in I} N_i \rightarrow N_i$$

$$\text{by commutative of } \otimes, \bigoplus, \quad \bigoplus_{i \in I} (M' \otimes N_i) = M' \otimes \left( \bigoplus_{i \in I} N_i \right).$$

$$\begin{array}{ccc} & \downarrow p_i & \downarrow 1 \otimes t_i \\ M' \otimes N_i & & M' \otimes N_i \end{array}$$

$$\mathbb{Z} \neq 0 \in M' \otimes \left( \bigoplus_{i \in I} N_i \right)$$

$$\exists i, p_i(\mathbb{Z}) \neq 0, (f \otimes 1)(p_i(\mathbb{Z})) \neq 0 \in M \otimes N_i$$

by  $(f \otimes 1)(p_i(\mathbb{Z}))$  is the  $i^{\text{th}}$  component of  $(f \otimes 1)(\mathbb{Z}) = 0$ . Contradiction.

e.g.  $S = f_1(x_1, \dots, x_n) = \dots = f_m(x_1, \dots, x_n) = 0$  with coeff in  $\mathbb{R}$ .

Then  $S$  has a solution on  $\mathbb{R} \Leftrightarrow S$  has a solution on  $\mathbb{C}$  (works for any field extension  $L/K$  later of  $\mathbb{C}/\mathbb{R}$ )  
 $\Rightarrow$  " " take the real/imaginary part of the complex solution.

Second Pf.:  $A = M$ ,  $M' = \mathbb{R}^n$   $f = \text{trivfn}$   $M = \mathbb{R}^n$

$S$  has a solution on  $\mathbb{R} \Leftrightarrow \ker(f) \neq \{0\}$

$\Leftrightarrow S = \dots = 0$  on  $\mathbb{C} \Leftrightarrow \ker(f \otimes 1_{\mathbb{C}}) \neq \{0\}$

Lemma: free  $\Rightarrow$  flat, i.e.  $\bigoplus_{i \in I} A_i$ : flat by previous results.

Prop.  $M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0$  exact.

$M \otimes N \rightarrow M \otimes N \rightarrow M'' \otimes N \rightarrow 0$  exact

Recall:  $N$  flat,  $0 \rightarrow M' \rightarrow M$  exact  
 $0 \rightarrow M'' \otimes N \rightarrow M \otimes N$  exact

$\Leftrightarrow \text{Im}(f \otimes 1) \subseteq \ker(g \otimes 1)$

$$\text{i.e. } (g \otimes 1) \circ (f \otimes 1) = g \circ f \otimes 1 = 0$$

Show  $\frac{M \otimes N}{\text{Im}(f \otimes 1)} \xrightarrow{\psi} M'' \otimes N$  is an isomorphism

Construct an inverse:

$$\begin{array}{ccc} M'' \otimes N & \xrightarrow{\varphi} & M \otimes N / \text{Im}(f \otimes 1) \\ & \exists \varphi_0 \nearrow & \downarrow \\ M'' \otimes N & \xrightarrow{\varphi_1} & M \otimes N \\ & \uparrow & \\ & M \otimes N & \end{array}$$

$$M \times N \quad (x_1, y_1), (x_2, y_2) \longrightarrow (x_1', y_1)$$

$$\begin{aligned} \varphi_1(x_1, y_1) - \varphi_1(x_2, y_2) &= (\text{class of } x_1 \otimes y_1 - x_2 \otimes y_2 \text{ mod } \text{im}(f \otimes 1)) \\ &= 0 \\ x_1 - x_2 \in \ker(g) &\quad \text{mod } \text{im}(f) \\ f(x_1), f(x_2) &\in M' \\ \varphi_0(x_1', y_1) &= \varphi_1(x_1, y_1) \text{ well-defined.} \\ \text{Check } \varphi_0: \text{bi-lin. near } s, \varphi_0 \circ \varphi = \varphi \circ \varphi_1 &= \varphi = I \end{aligned}$$

Cor:  $N$  flat  $\Leftrightarrow \cdot \otimes$  preserves exactness of any sequence of modules.

If: any exact sequence can be split up into short exact sequences

Example:  $\alpha \subseteq A$ ,  $M$ ,  $M \otimes_A A/\alpha \cong M/\alpha M$

$$\begin{aligned} 0 &\rightarrow \alpha \rightarrow A \rightarrow A/\alpha \rightarrow 0 \\ &\quad \text{by } \text{sub} \quad \text{exact} \\ &\text{Q. } \alpha \otimes M \xrightarrow{\text{id}} M \rightarrow M \otimes A/\alpha \rightarrow 0 \\ &\quad \text{X} \otimes M \xrightarrow{\text{id}} X \\ \text{Im}(\varphi) = \alpha M &= \varphi(\{\sum x_i \otimes m_i : x_i \in \alpha, m_i \in M\}) \\ &= \{\sum x_i \otimes m_i : x_i \in \alpha, m_i \in M\} \\ M \otimes A/\alpha &\cong M/\text{im}(\varphi) = M/\alpha M \blacksquare \end{aligned}$$

e.g.  $\mathbb{Z}/m\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}/\gcd(m, n)\mathbb{Z}$ . e.g.  $m=2, n=3 \Rightarrow \{0\}$ .

$M = \mathbb{Z}/m\mathbb{Z}$ ,  $\alpha = n\mathbb{Z}$ .  $\alpha M \cong (n\mathbb{Z} + m\mathbb{Z})/m\mathbb{Z} \rightarrow$

$$\mathbb{Z}/m\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/n\mathbb{Z} \cong (\mathbb{Z}/m\mathbb{Z})/(\alpha M) \cong (\mathbb{Z}/m\mathbb{Z})/(\gcd(m, n)\mathbb{Z}/m\mathbb{Z})$$

e.g.  $n \in \mathbb{Z}$ ,  $\mathbb{Z}/n\mathbb{Z}$  flat  $\Leftrightarrow n = \pm 1, 0$ .

$$\mathbb{Z}/n\mathbb{Z} \quad \begin{cases} n = \pm 1, & \text{so } \\ 0, & \mathbb{Z}. \end{cases} \quad \begin{matrix} \Leftarrow \\ \Rightarrow \text{ for } |n| \geq 2 \end{matrix}$$

Any finitely generated  $\mathbb{Z}$ -mod is of the form  $\mathbb{Z}^{r+1} \bigoplus_{i=1, \dots, N} \left( \frac{\mathbb{Z}}{(n_i)} \right)_{n_i \geq 1} = M$

For such  $M$ ,  $M$  flat  $\Leftrightarrow M_{\text{tors}} = 0$

Def:  $A, M$ .  $M$  torsion free if  $\forall a \in A$ ,  $a$  not zero-divisor,  $aM = 0 \Rightarrow m = 0$

Then: (i)  $M$  flat  $\Rightarrow M$  torsion free (ii)  $A = \text{PID}$ ,  $M$  torsion free  $\Rightarrow M$  flat

Complete Proof in Bosch (§ 4.) Chapter 4

$$\begin{aligned} V, W: VS \text{ on } F = A. \\ \dim V, W < \infty \\ V^* \otimes W &\xrightarrow{\cong} \text{Hom}(V, W) \\ L \otimes W &\mapsto [V \xrightarrow{\cong} L \otimes W] \\ \text{Check these by choosing basis.} \\ \text{End}(V) = \text{Hom}(V, V) &\xrightarrow{\text{base}} V \\ \text{IIS} &\xrightarrow{\text{base}} L \otimes W \\ V^* \otimes V &\xrightarrow{\cong} L \otimes W \end{aligned}$$

Example.  $X$  set,  $U \subseteq X$  subset,  $A = \{f: X \rightarrow \mathbb{R}\}$  Lec 4-1-(2)

Def:  $(f_1 + f_2)(x) = f_1(x) + f_2(x)$ ,  $(f_1 \cdot f_2)(x) = f_1(x) \cdot f_2(x)$ .

Show:  $A$  is a ring with zero  $= f(x) \equiv 0$ , unity  $= f(x) \equiv 1$ .

$S = \{f \in A : \forall x \in U, f(x) \neq 0\}$ .  $B = \{f: U \rightarrow \mathbb{R}\}$ .

Show:  $S$  is multiplicatively closed, and

$j: S^A \mapsto B$  by  $(j[\frac{a}{s}])x = s(x) \cdot ax$  here restrict  $s(x), ax$  on  $U$ .

Show:  $j$  is (well-defined) an isomorphism.

Pf: ① well-defined.  $\frac{a_1}{s_1} = \frac{a_2}{s_2}$ , then  $\exists t \in S$ ,  $t(a_1 s_2 - a_2 s_1) = 0$

i.e.  $\forall x \in X, t(x)(a_1(x)s_2(x) - a_2(x)s_1(x)) = 0$ .

Restrict these functions on  $U$ , hence,  $\forall x \in U, t(x) \neq 0, s_1(x), s_2(x) \neq 0$ ,

i.e.  $\forall x \in U, s_1^{-1}(x)a_1(x) = s_2^{-1}(x)a_2(x)$ .

Thus the restriction maps  $s_1^{-1}, s_2^{-1}$  are the same.

②  $k: B \mapsto S^A$  by  $(kb)(x) = [\tilde{b}/1]$  where  $\tilde{b} = \begin{cases} b(x), & x \in U \\ 0, & x \notin U \end{cases}$

$(j \circ k)(b)(x) = j[\tilde{b}/1](x) = [\tilde{b}(x)]_U = b(x)$

$(k \circ j)(\frac{a}{s})x = (k(S^A a))x = [\tilde{s}^{-1}a/1]$  where  $\tilde{s}^{-1}a = \begin{cases} s^{-1}a(x), & x \in U \\ 0, & x \notin U \end{cases}$

Want:  $\frac{ta}{s} \in S^A$ , st.  $ta = s \cdot \tilde{s}^{-1}a \cdot t$ ,

i.e.  $t(x)(a(x) - s(x) \cdot \tilde{s}^{-1}a(x)) = 0, \forall x \in X$ .

i.e.  $t(x)(a(x) - s(x) \cdot \tilde{s}^{-1}(x) \cdot a(x)) = 0, \forall x \in U$

$t(x) \cdot a(x) = 0, \forall x \in U$ .

Take  $ta = \begin{cases} 1, & x \in U \\ 0, & x \notin U \end{cases} \in S$ .

Hence  $\frac{a}{s} = [\tilde{s}^{-1}a/1]$ .

### Universal Property

Ex Remider: ①  $\text{Hom}(M \otimes N, P) \cong \text{Hom}(M, P) \otimes \text{Hom}(N, P)$

↪ The set of bilinear maps ..

②  $\text{Hom}(\bigoplus_{i \in I} M_i, N) \cong \prod_{i \in I} \text{Hom}(M_i, N)$

Lemma.  $A, B$  (rings),  $S \subseteq A$ , multiplicatively closed,  $S \not\ni 0$ . (required by  $f(S) \subseteq B^\times$ ).

$\text{Hom}(S^A, B) \cong T = \{f: A \rightarrow B, \text{morphisms}, f(S) \subseteq B^\times\}$ .

Pf: First show  $T$  is also a ring. (a subring of  $\text{Hom}(A, B)$ ) ? (This is shown by the lemma itself)

Let  $\tau: \text{Hom}(S^A, B) \rightarrow T, \tau(\tilde{f})(a) = \tilde{f}(\frac{a}{1})$ .

Show  $\tau$ : injective, surjective, homomorphism.

① Surjective. Let  $f: A \rightarrow B$ , then  $\tilde{f}[\frac{a}{s}] = f(s^{-1}a)$  for  $s \in S$  is  $\in \text{Hom}(S^A, B)$ .

$\tau(\tilde{f})(a) = \tilde{f}(\frac{a}{1}) = f(a)$  for  $a \in A$ , hence  $\tau(\tilde{f}) = f$ .

② Injective.  $\tau(\tilde{f}_1) = \tau(\tilde{f}_2)$ , then  $\forall a \in A, \tilde{f}_1(\frac{a}{1}) = \tilde{f}_2(\frac{a}{1})$

hence  $\forall s \in S, \tilde{f}_1(\frac{a}{s}) = \tilde{f}_2(\frac{a}{s}) \in B^\times$

$\tilde{f}_1, \tilde{f}_2$  are morphisms, thus  $\tilde{f}_1(\frac{1}{s}) = \tilde{f}_2(\frac{1}{s})$

Thus  $\tilde{f}_1(\frac{a}{s}) = \tilde{f}_1[\frac{a}{s}] \cdot \tilde{f}_1[\frac{1}{s}] \dots = \tilde{f}_2(\frac{a}{s})$

③ homomorphism. (Omitted).

Def.  $\varphi: A \rightarrow B$ , morphism.  $a \in A$ ,  $b \in B$ , ideals.

$\varphi^*: \varphi^*(b) = \varphi^{-1}(b)$  also an ideal.  $\varphi^* = \varphi_*(a) = B\varphi(a)$ , ideal generated by  $\varphi(a)$   
contraction pullback extension pushforward

Note:  $p \subseteq B$  prime, then  $p \subseteq \varphi^*(p)$  also prime.

Def.  $\varphi^*: \text{Spec}(B) \rightarrow \text{Spec}(A)$  by  $b \mapsto \varphi^{-1}(b)$ . ? as set maps / ring morphisms.

Properties:  $\oplus \tau: A \mapsto S^{-1}A$ ,  $p \subseteq A$ , prime ideal.

$\oplus \tau^*: \text{Spec}(S^{-1}A) \mapsto \text{Spec}(A)$ ,  $\text{Im}(\tau^*) \cong T = \{p \in \text{Spec}(A) : p \cap S \neq \emptyset\}$ .

$\oplus \forall a \in A$ , ideal,  $\tau_*(a) = \{\left[\frac{a}{s}\right] : s \in S\}$ .

$\oplus \tau^*(a) = S^{-1}A \Leftrightarrow a \cap S \neq \emptyset \quad \oplus \forall b \in S^{-1}A$ , ideal,  $\varphi_*(\varphi^*(b)) = b$ .

Pf:  $\oplus$  (If) As set maps. Check  $\text{Im}(\tau^*) = T$ ,  $\tau^*$  injective. (by def of  $\tau^*$ )

$\oplus \tau_*(a) = B \cdot \tau(a)$ , note  $\forall a \in A, s \in S$ ,  $\left[\frac{a}{s}\right] = \left[\frac{a}{1}\right] \cdot \left[\frac{1}{s}\right] \xrightarrow{\text{def}} \in S^{-1}A$ , i.e.  $T \subseteq \tau_*(a)$

Now check  $\tau_*(a) \subseteq T$ , i.e. check  $T$  is an ideal.  $\left[\frac{a}{s_1}\right] + \left[\frac{a}{s_2}\right] = \left[\frac{as_1 + as_2}{ss_1s_2}\right] \xrightarrow{\text{def}} \in T$   
 $\left[\frac{a}{s}\right] \cdot \left[\frac{b}{t}\right] = \left[\frac{ab}{st}\right] \xrightarrow{\text{def}} \in T$ . i.e.  $T$  is an ideal.

$\oplus \tau^*(a) = S^{-1}A \Leftrightarrow \left[\frac{1}{1}\right] \in \tau^*(a) \Leftrightarrow \exists a \in a, s \in S : \left[\frac{a}{s}\right] = \left[\frac{1}{1}\right]$ .

$\Leftrightarrow \exists a \in a, s \in S, s=1$ .  $\Leftrightarrow s \cap a \neq \emptyset$ .

$\oplus \varphi_*(\varphi^*(b)) \subseteq b$ . (In general), since  $b$  is the ideal containing  $\varphi(b)$ ,  $b \supseteq B\varphi(\varphi^*(b))$

Let  $\left[\frac{a}{s}\right] \in b$ , then  $\left[\frac{a}{s}\right] \cdot \left[\frac{1}{1}\right] = \left[\frac{a}{s}\right] \in b$  (ideal),  $a \in \varphi^*(b)$

Hence  $\left[\frac{a}{s}\right] \in \varphi_*(\varphi^*(b)) \subset \varphi_*(\varphi^*(b))$ , now  $\varphi_*(\varphi^*(b))$  is an ideal,

hence  $\left[\frac{1}{1}\right] \in \varphi_*(\varphi^*(b))$ , i.e.  $b \subseteq \varphi_*(\varphi^*(b))$ .

**Lemma.**  $\tau: A \rightarrow S^{-1}A$ , by  $\tau(a) = \frac{a}{1}$  is a ring homomorphism.  
 with the properties:  $\cap_{S \neq \{0\}} \tau(S) \subseteq (S^{-1}A)^\times$  (units of  $S^{-1}A$ ) (Only for  $0 \notin S$ )

$$\textcircled{2} \quad \ker(\tau) = \{a \in A : \exists s \in S, sa = 0\}$$

\textcircled{3}  $A \neq \{0\}$ , then  $\tau$ : injective IFF  $S$  contains no zero divisors of  $A$ .

(\*)  $\xrightarrow{\text{Pf:}}$   $\textcircled{1} \quad \forall s \in S, s \mapsto [\frac{s}{1}]$ , then  $[\frac{1}{s}] \times [\frac{s}{1}] = [\frac{1}{1}] = [\frac{1}{1}]$

Notice: if  $0 \in S$ , then  $\forall (\frac{a}{s}) = [\frac{1}{0}]$ , thus  $S^{-1}A = \{0\}$ ,  $1_{S^{-1}A} = 0_{S^{-1}A}$

\textcircled{2} Then  $S^{-1}A$  will contain no units.

$$\textcircled{3} \quad \ker(\tau) = \{a \in A, \tau(a) = [\frac{a}{1}] = [\frac{0}{1}]\} = \{a \in A, \exists s \in S, sa = 0\}$$

\textcircled{4}  $A \neq \{0\}$ .  $\tau$ : injective IFF  $\ker(\tau) = \{0\}$  IFF  $S$  contains no zero divisors.

\textcircled{5} If  $0 \in S$ ,  $S^{-1}A = \{0\}$  (Shown in \textcircled{1})

If  $S^{-1}A = \{0\}$ , then  $[\frac{0}{1}] = [\frac{1}{1}]$ , i.e.  $\exists s \in S, s \cdot 1 = 0 = s$ .

\textcircled{6}  $\begin{cases} \text{If } \tau \text{ is isomorphism, then} \\ \text{if } A \neq \{0\} \end{cases}$

(\*) First show  $\tau: A \rightarrow S^{-1}A$  is a ring homomorphism.

\textcircled{7} For a general ring ~~b~~ isomorphism,  $\phi: A \rightarrow B$ , let  $b = \phi(a)$ , then  
 $b$ : unit in  $B$  IFF  $a$ : unit in  $A$

Now for this case,  $S^{-1}A \cong A \neq \{0\}$ ,  $0 \notin S$ , we can use \textcircled{1}

Since  $\tau(S) \subseteq (S^{-1}A)^\times$ , if  $\tau$ : isomorphism, then  $S \subseteq A^\times$

Now if  $S \subseteq A^\times$ ,  $\tilde{\tau}: S^{-1}A \rightarrow A$  by  $\tilde{\tau}([\frac{a}{s}]) = s^{-1}a$ , show well-defined.

$$\tilde{\tau} \circ \tau([\frac{a}{s}]) = \tilde{\tau}([\frac{s^{-1}a}{1}]) = [\frac{a}{s}], \tilde{\tau} \circ \tau(a) = a.$$

**Examples.** \textcircled{1}  $A = \mathbb{Z}$ ,  $S_f = \{f^n : n \geq 0\}$  (multiplicatively closed)

$$A[\frac{1}{f}] = S_f^{-1}A, \text{ e.g. } \mathbb{Z}[\frac{1}{2}] = \{[\frac{z}{2^n}] : z \in \mathbb{Z}, n \geq 0\}$$

\textcircled{2} ~~A integral domain~~  $A$ : integral domain ( $A - \{0\}$  is multiplicatively closed requires this)  
 $0 \notin S \subseteq A$ ,  $A[\frac{1}{s}] =$  subring of  $(A - \{0\})^{-1}A$  generated by  $\{[\frac{a}{s}] : a \in A\} \cup \{[\frac{1}{s}] : s \in S\}$

$$A[\frac{1}{s}] = (\overline{S})^{-1}A$$

\textcircled{3}  $p \subseteq A$ , ideal,  $S_p = A - p$  then

$S_p$ : multiplicatively closed  $\Leftrightarrow \begin{cases} 1 \in S_p \text{ i.e. } p \neq \langle 1 \rangle \\ \forall t_1, t_2 \in S_p, t_1 \cdot t_2 \in S_p \text{ i.e. If } t_1, t_2 \notin S_p / t_1 \cdot t_2 \in p, \text{ then } t_1 \notin S_p / t_2 \in p, \text{ or } t_2 \notin S_p / t_1 \in p. \end{cases}$

## lec CA - Lec 4 - I - (1)

Localization.

Motivation: •  $\mathbb{Z} \mapsto Q$ ;  $\mathbb{Z}[\frac{1}{n}] = \left\{ \frac{a}{2^n} : a \in \mathbb{Z} \right\}, n \geq 0 \}$ .

•  $A$ : integral domain,  $\text{Frac}(A) = \text{quotient field}$

Equivalence Relation  $\sim$  on  $A^2$ ,  $(a_1 b_2 - a_2 b_1) = 0 \iff (a_1, b_1) \sim (a_2, b_2)$

$\text{Frac}(A) = \left\{ \left[ \frac{a}{b} \right] : a \in A, b \neq 0, b \in A \right\}$ .

$$\text{Then } +: \left[ \frac{a_1}{b_1} \right] + \left[ \frac{a_2}{b_2} \right] = \left[ \frac{a_1 b_2 + a_2 b_1}{b_1 b_2} \right]$$

$$\cdot: \left[ \frac{a_1}{b_1} \right] \cdot \left[ \frac{a_2}{b_2} \right] = \left[ \frac{a_1 a_2}{b_1 b_2} \right]$$

Show:  $(+, \cdot)$  well-defined.

Show:  $(\text{Frac}(A), +, \cdot)$  is a quotient field with zero  $= [\frac{0}{1}]$ , unity  $= [\frac{1}{1}]$

Def. Multiplicatively Closed  $S \subseteq A$ .

$A(\text{ring}), S \subseteq A, S^2 \subseteq S \iff (\textcircled{1}) 1 \in S, 1 \in S \textcircled{2} \forall s_1, s_2 \in S, s_1 \cdot s_2 \in S$

$\iff (\forall s_1, \dots, s_n \in S, s_1 \cdot s_2 \cdots s_n \in S) \text{ with empty product defined as 1.}$

$\iff (\forall s_1, s_2 \in S, s_1 \cdot s_2 \in S) \text{ with empty product ...}$

$\overline{S}$ : multiplicative closure of  $S \subseteq A$ .

$$\overline{S} = \{s_1 \cdots s_n : s_1, \dots, s_n \in S\} \text{ with empty ...}$$

$\overline{S}$ : least multiplicative (closed) set containing  $S$ .

Def  $\sim$  on  $A \times S$

$S \subseteq A$ , multiplicatively closed,  $(a_1, s_1), (a_2, s_2) \in A \times S, (a_1, s_1) \sim (a_2, s_2) \iff$

$$\exists t \in S, \text{ st. } a_1 s_2 \cdot t = a_2 s_1 \cdot t$$

Show:  $\sim$  is an equivalence relation.  $\textcircled{1}$  reflexive  $\textcircled{2}$  symmetry  $\textcircled{3}$  transitivity.

Check  $\textcircled{3}$ . Let  $(a_1, s_1) \sim (a_2, s_2) \sim (a_3, s_3)$ , then

$$\exists t, t'' \in S, \text{ st. } a_1 s_2 t = a_2 s_1 t, a_2 s_3 t'' = a_3 s_2 t''$$

$$\text{Now } (a_1 s_3) \underbrace{s_2 \cdot t \cdot t''}_{\in S} = (a_2 s_1 t)(s_3 t'') = (a_3 s_2 t'')(s_1 t) = (a_3 s_1)(s_2 t' t'')$$

$s_2 t' t'' \in S$  (This motivates  $S$  to be required multiplicative closed)

Hence  $(a_1, s_1) \sim (a_3, s_3)$

Def  $S^{-1}A = (A \times S) / \sim$

$A(\text{ring}), S \subseteq A$ , multiplicatively closed,  $\sim$  as defined above.

$S^{-1}A$  is the set of equivalence class under  $\sim$ , write  $[(a, s)] = \left[ \frac{a}{s} \right]$

$$\left[ \frac{a_1}{s_1} \right] + \left[ \frac{a_2}{s_2} \right] = \left[ \frac{a_1 s_2 + a_2 s_1}{s_1 s_2} \right],$$

$$\left[ \frac{a_1}{s_1} \right] \cdot \left[ \frac{a_2}{s_2} \right] = \left[ \frac{a_1 a_2}{s_1 s_2} \right]$$

Show  $(+, \cdot)$  well-defined.

Show  $S^{-1}A$  is a ring with zero  $[\frac{0}{1}]$ , unity  $[\frac{1}{1}]$

Ex  $A$ : integral domain, the Quotient field of  $A = \text{Frac}(A)$  is  $A/\text{rad}(A - \{0\})^1$ .

Lemma.  $\tau: A \rightarrow S^{-1}A$ , by  $\tau(a) = \frac{a}{1}$  is a ring homomorphism.  
 with the properties:  $\textcircled{1} \wedge \tau(S) \subseteq (S^{-1}A)^\times$  (units of  $S^{-1}A$ ) (Only for  $0 \notin S$ )

$$\textcircled{2} \ker(\tau) = \{a \in A : \exists s \in S, sa = 0\}$$

$\textcircled{3} A \neq \{0\}$ , then  $\tau$ : injective IFF  $S$  contains no zero divisors of  $A$ .

(\*)  $\xrightarrow{\text{Pf:}}$   $\textcircled{1} S^{-1}A = \{0\} \iff S \ni 0 \quad \textcircled{2} \wedge \tau \text{ is isomorphism} \iff S \subseteq A^\times \text{ (Only for } A \neq \{0\})$

$$\textcircled{1} \forall s \in S, s \mapsto \left[ \frac{s}{1} \right], \text{ then } \left[ \frac{1}{s} \right] \times \left[ \frac{s}{1} \right] = \left[ \frac{s}{s} \right] = \left[ \frac{1}{1} \right]$$

Notice: if  $0 \in S$ , then  $\forall \left[ \frac{a}{s} \right] = \left[ \frac{1}{0} \right]$ , thus  $S^{-1}A = \{0\}$ ,  $1_{S^{-1}A} = 0_{S^{-1}A}$

$\textcircled{2}$  Then  $S^{-1}A$  will contain no units.

$$\textcircled{3} \ker(\tau) = \{a \in A, \tau(a) = \left[ \frac{a}{1} \right] = \left[ \frac{0}{1} \right]\} = \{a \in A, \exists s \in S, sa = 0\}.$$

$\textcircled{4} A \neq \{0\}$ .  $\tau$ : injective IFF  $\ker(\tau) = \{0\}$  IFF  $S$  contains no zero divisors.

$\textcircled{5}$  If  $0 \in S$ ,  $S^{-1}A = \{0\}$  (Shown in  $\textcircled{1}$ )

$$\textcircled{6} \text{ If } S^{-1}A = \{0\}, \text{ then } \left[ \frac{0}{1} \right] = \left[ \frac{1}{1} \right], \text{ i.e. } \exists s \in S, s \cdot 1 = 0 = s.$$

$\textcircled{7} \begin{cases} \text{if } \tau \text{ is isomorphism} \\ \text{then } A \neq \{0\} \end{cases}$

(\*) First show  $\tau: A \rightarrow S^{-1}A$  is a ring homomorphism.

$\textcircled{8}$  For a general ring ~~homomorphism~~ isomorphism,  $\phi: A \rightarrow B$ , let  $b = \phi(a)$ , then  
 $b$ : unit in  $B$  IFF  $a$ : unit in  $A$

Now for this case,  $S^{-1}A \cong A \neq \{0\}$ ,  $0 \notin S$ , we can use  $\textcircled{8}$

Since  $\tau(s) \in (S^{-1}A)^\times$ , if  $\tau$ : isomorphism, then  $S \subseteq A^\times$

Now if  $S \subseteq A^\times$ ,  $\tau: S^{-1}A \rightarrow A$  by  $\tau^{-1}\left(\left[\frac{a}{s}\right]\right) = s^{-1}a$ , show well-defined.

$$\tau \circ \tau^{-1}\left(\left[\frac{a}{s}\right]\right) = \left[\frac{s^{-1}a}{1}\right] = \left[\frac{a}{s}\right], \tau \circ \tau(a) = a.$$

Examples.  $\textcircled{1} A = \mathbb{Z}_f$ ,  $\mathbb{Z}_f^n = \{f^n : n \geq 0\}$  (multiplicatively closed)

$$A\left[\frac{1}{f}\right] = S_f^{-1}A, \text{ e.g. } \mathbb{Z}\left[\frac{1}{2}\right] = \left\{ \left[ \frac{z}{2^n} \right] : z \in \mathbb{Z}, n \geq 0 \right\}$$

$\textcircled{2} A = \text{integral domain}$  ( $A - \{0\}$  is multiplicatively closed requires this)  
 $0 \notin S \subseteq A$ ,  $A\left[\frac{1}{S}\right] = \text{subring of } (A - \{0\})^{-1}A \text{ generated by } \{ \left[ \frac{a}{1} \right] : a \in A \} \cup \{ \left[ \frac{1}{s} \right] : s \in S \}$

$$A\left[\frac{1}{S}\right] = (S)^{-1}A$$

$\textcircled{3} p \subseteq A$ , ideal,  $S_p = A - p$  then

$S_p$ : multiplicatively closed  $\Leftrightarrow \{1 \in S_p \text{ i.e. } p \neq \langle 1 \rangle\}$

$\Leftrightarrow p$ : prime.

$\text{If } t_1, t_2 \in S_p, t_1 t_2 \in S_p \text{ i.e. If } t_1 t_2 \notin p / t_1, t_2 \in p, \text{ then } t_1 \in S_p / t_2 \in p \text{ or } t_2 \in S_p / t_1 \in p.$

Recall:  $\tau: A \rightarrow S^{-1}A$ .

$$\tau^*(a) = \left\{ \frac{a}{s}; a \in a, s \in S \right\} \quad \tau^*\tau^*(b) = b, \forall b \in S^{-1}A.$$

$$\tau^*(a) = \{1\} \Leftrightarrow a \cap S \neq \emptyset$$

General ideals (not nec prime)

- $q_1 \subseteq q_2 \Leftrightarrow \tau^*q_1 \subseteq \tau^*q_2$  (use  $q_{ij} = \tau^*q_j \cap q_i$ )

- $k(p) = \text{Frac } A/p$ , residue field of the prime ideal  $p$

The bijection  $\varphi^*: \text{spec}(S^{-1}A) \leftrightarrow \text{spec}(A)$ , induces isomorphism.

$$k(\tau^*q) \cong k(q)$$

$p = M$  [maximal ideal,  $\Leftrightarrow A/p$  field  $\Leftrightarrow k(p) = A/p = \text{Frac}(A/p)$ ]  
(prime)

EX:  $p = (y) \subseteq A = \mathbb{C}[x, y]$ ,  $A/p \cong \mathbb{C}[x]$ ,  $k(p) \cong \mathbb{C}(x)$ .

- Pf:  $\text{Spec}(S^{-1}A) \mapsto \{\text{Spec}(A), p \cap S \neq \emptyset\}$   
bijection  $\tau^*, \tau^*$  i.e.  $S \subseteq A - p$

Check:  $p$ : prime  $\Rightarrow \tau^*p$ : prime. (\*2)

$$\tau^*\tau^*(q) = q, \quad \tau^*\tau^*(p) = p$$

(\*1):  $\tau^*\tau^*(p) \subseteq p$ . let  $a \in A$ ,  $\tau(a) = \frac{a}{1} \in \tau^*p$   
 $\Rightarrow \frac{a}{1} = \frac{b}{s}$  ( $b \in p$ ,  $s \in S$ )  $\Rightarrow ast = bt \in p$ ,  $st \in S \subseteq A - p \Rightarrow a \in p$

(\*2):  $\frac{a}{s}, \frac{b}{t} \in p$  ( $a, b \in p$ ,  $s, t \in S$ )

let  $\frac{a}{s} \cdot \frac{b}{t} \in \tau^*p$ , i.e.  $\frac{ab}{st} = \frac{c}{u}$  ( $u \in S$ ,  $c \in p$ ),

$\exists v \in S$ ,  $\frac{abuv}{stv} = \frac{c}{u} \in p \Rightarrow ab \in p \Rightarrow a \in p$  or  $b \in p$

- Examples  $\Rightarrow \frac{a}{s}$  or  $\frac{b}{t} \in p$ .

$$S = S_f = \{f^n : n \geq 0\}, \quad S^{-1}A = A_f = A[\frac{1}{f}]$$

$$p \cap S \neq \emptyset \Leftrightarrow \exists n, f^n \in p \Leftrightarrow f \in p$$

i.e.  $\text{Spec}(A_f) \cong \{p \in \text{Spec}(A), f \notin p\}$ .

Ex:  $A = \mathbb{Z}$ ,  $f = 2$ ,  $A_f = \mathbb{Z}[\frac{1}{2}]$ ,  $\{\text{prime ideals in } \mathbb{Z}[\frac{1}{2}]\} \cong \{\text{prime ideals}$

? Ex:  $A = \mathbb{C}[x, y]$  [maximal ideals in  $A$ ]  $\Leftrightarrow \mathbb{C}^2$

donot  $\ni 2$   
in  $\mathbb{Z}$ .

$$\Leftrightarrow (x, y) \Leftrightarrow f(x, y) \in (\mathbb{C}[x, y], f(x, y) = 0)$$

PIDL prime  $\Leftrightarrow$  maximal)

•  $f \in \mathbb{C}[x, y]$

maximal ideals in  $A_f = \mathbb{C}[x, y, \frac{1}{f}] \iff$

? max'l  $m \subseteq \mathbb{C}[x, y]$ ,  $f \notin m \iff \{(x, y) \in \mathbb{C}^2, f(x, y) \neq 0\}$   
 $\langle x_0 - x, y_0 - y \rangle \subseteq m$

Spec  $A_f = \frac{\mathbb{C}[x, y]}{f}$

•  $f, g \in A$ ,  $A \xrightarrow{\quad} A_f \xrightarrow{\quad} A_{fg}$  commute

EX:  $A$ : integral domain  $A_f \subseteq A_f^f A_{fg}$  ( $f, g \neq 0$ )

Frac( $A$ ) =  $\bigcup_f A_f \setminus \{f=0\}$  Frac( $A$ ) =  $\mathbb{Z}(A - \text{soz}) \cap A$

$\forall p \in \text{Spec}(A_f)$   
 $\subseteq \text{Spec}(A)$

$\{f \in A, f \notin p\} = \{f \in A, f(p) \neq 0\}$

when  $f(p) \in k(p)$  is the image  
of  $f$

$A_f \xrightarrow{\quad} k(p) : \frac{a}{f^n}$

Recall.  $A$ : local  $\iff \exists! m \in \text{Spec}(A) \iff \exists \text{ maximal } m, \mathfrak{t}m \subseteq A^\times$   
 $\iff A - m \subseteq A^\times$

•  $p \subseteq A$ ,  $S_p = A - p$ ,  $S_p A = A_p$   
(prime)

Prop ①  $\text{Spec}(A_p) \cong \{q \in \text{Spec}(A), q \subseteq p\}$

②  $A_p$ : local ring, with maximal ideal  $P_p = \mathbb{Z}_{\star}(p)$

$A_p$ : localization of  $A$  at  $p$ .

pf: ①  $q \cap S_p = \emptyset$ ,  $q \cap (A - p) = \emptyset$ ,  $q \subseteq p$ .

②  $\mathbb{Z}_{\star}$ : inclusion preserving (by def)

$\forall p_0 \in \text{prime} \subset \mathbb{Z}_{\star}(p_0) \subseteq P_p$ , any ideal is contained in some maximal ideal.

Hence  $P_p$ : is the unique maximal ideal

$A \neq \{0\}$

Ex.  $p = \langle p \rangle \subseteq \mathbb{Z} = A$ ,  $A_p = \mathbb{Z}(p)$

local ring,  $\text{Spec}(\mathbb{Z}(p)) \cong \{q \in \text{Spec}(\mathbb{Z}), q \subseteq p\} = \{(0), (p)\}$

• Residual field:  $\mathbb{Z}(p)/P_p \cong \mathbb{Z}/(p)$

In general,  $A_p/P_p = k(p)$

• EX  $A = \{f: \text{holomorphic in } \mathbb{C} \setminus V \rightarrow \mathbb{C}\}$   
 $\wedge$  germs of  $f$  at  $p$ .  $\text{or } \mathcal{U} \in \mathbb{C}, \text{open}$

germ at  $p$ : equivalence class  
 $[(U, f)]$  of pairs  $(U, f)$ ,  $U$ : open neig  
in  $\mathbb{C}$ ,  $f: U \rightarrow \mathbb{C}$ , holomorphic  
 $(U_1, f_1) \sim (U_2, f_2)$  IFF  $f_1 = f_2$  on some  
 $p \in V \subseteq (U_1 \cap U_2)$

Fix  $p \in \mathbb{N}$ .  $\mathfrak{f} = \{f \in A, f(p) = 0\}$

$A$  is a local ring with maximal ideal  $p$ .

Check  $A - \mathfrak{f} \subseteq A^\times$ .  $f(p) \neq 0$ ,  $\exists$  open neighborhood of  $p$  on which  $\frac{1}{f(p)}$  is also holomorphic.

$$A = \mathbb{C}[x, y], \mathfrak{p} = (y), A_{\mathfrak{p}}$$

$$\text{Spec}(A_{\mathfrak{p}}) \cong \{q \in \text{spec}(A), q \subseteq (y)\}.$$

$$\frac{q}{f} = (y)$$

$q = (0)$  (zero is the only prime ideal properly contained in  $y$ )

$$\frac{q}{f}$$

$A_{\mathfrak{p}}$ : local ring with two primes, residual field  $\cong \mathbb{C}(x)$

$$A = \mathbb{C}[x, y], \mathfrak{p} = (x, y) \text{ then } \text{Spec}(A_{\mathfrak{p}}) \cong \left\{ \begin{array}{l} \cup \{f\}: a \neq f \in \mathbb{C}[x, y], \text{ irreducible} \\ f(0, 0) = 0 \end{array} \right. \cup \{(0)\}.$$

### Localization Of Modules $\mathbb{S}^1 A$ module

$$s \in A, M \rightarrow \mathbb{S}^1 M \quad (m_1, s_1) \sim (m_2, s_2) : \exists t \in M, s_1 + tm_2 s_2 = tm_1 s_1$$
$$\frac{m}{s} = [m, s]$$

$$\text{Lem. } \mathbb{S}^1 A \otimes_A M \xrightarrow{\cong} \mathbb{S}^1 M \quad (\mathbb{S}^1 A, M) \xrightarrow{\text{bilinear}} \mathbb{S}^1 M$$

Note:  $\mathbb{S}^1 M$  is  $\mathbb{S}^1 A$ -module. (Extension of scalar).

Pf:

$$\frac{a}{s} \otimes m \mapsto \frac{am}{s} \quad \text{Inverses, check well-defined.}$$

$$\frac{1}{s} \otimes m \leftarrow \frac{m}{s}$$

$$f: M \rightarrow N \quad (\text{A-linear}) \quad \mathbb{S}^1 f: \mathbb{S}^1 M \rightarrow \mathbb{S}^1 N, \quad \frac{m}{s} \mapsto \frac{fm}{s}$$

$$\mathbb{S}^1(f \circ g) = \mathbb{S}^1 f \circ \mathbb{S}^1 g \Rightarrow \text{well-defined morphism.}$$

$$p \in \text{Spec}(A) \mapsto A_p, M_p = \mathbb{S}^1_p M \cong A_p \otimes_A M$$

Next Class: local properties, #  $M$  depend only upon  $M_p, \forall p \in \text{Spec}(A)$ .

# Mod Localization

✓ Lec 4.5-1

$\check{S}^{-1}$ :  $(A\text{-mod}) \xrightarrow{\quad} (S^{-1}A\text{-mod})$  exact:  
 If  $M' \xrightarrow{f} M \xrightarrow{g} M''$  exact, then  $S^{-1}M' \xrightarrow{S^{-1}f} S^{-1}M \xrightarrow{S^{-1}g} S^{-1}M''$  exact.

If:  $g \circ f = 0 \Rightarrow S^{-1}g \circ S^{-1}f = S^{-1}(g \circ f) = 0$ .

$$\ker(S^{-1}g) \supset \operatorname{Im}(S^{-1}f).$$

Atiyah Now check:  $\ker(S^{-1}g) \supseteq \operatorname{Im}(S^{-1}f)$ .

$$\frac{x}{s} \in \ker(S^{-1}g), \frac{x}{s} \xrightarrow{S^{-1}f} \frac{g(x)}{s} = 0 \xrightarrow{0} 1,$$

$$\exists t \in S, s \in S, t g(x) = 0 \Rightarrow g(tx) = 0, tx \in \ker(g) = \operatorname{Im}(f), tx = f(sx')$$

$$\exists \frac{tx}{ts} \xrightarrow{S^{-1}f} \frac{x}{s}, \text{ i.e. } \exists t \in S, s \in S, ts f(x') = ts' x$$

$$\text{Thus } \frac{tx}{ts} = \frac{f(x')}{ts \in S} \in \operatorname{Im}(S^{-1}f)$$

$$\frac{x}{s} =$$

Cor:  $S^{-1}A$  is a flat  $A$ -mod.

By def.  $M$  flat iff  $\forall N \xrightarrow{A\text{-mod}} M \otimes_A N \xrightarrow{i_N} \operatorname{Hom}_A(M, N) \xrightarrow{0}$

$$\text{Want: } \forall N \xrightarrow{A\text{-mod}} S^{-1}N \otimes_A S^{-1}A \xrightarrow{S^{-1}N \otimes_A S^{-1}A \xrightarrow{\text{is } S^{-1}N \text{ is } S^{-1}A} 0}$$

Cor/Lem:  $S^{-1}$  commutes with  $\begin{cases} \text{finite sums / intersections} \\ \text{kernel } \ker(S^{-1}M \rightarrow S^{-1}N) = S^{-1}\ker(M \rightarrow N) \\ \text{quotients } \otimes (S^{-1}M \otimes_{S^{-1}A} S^{-1}N) \cong S^{-1}(M \otimes_A N) \end{cases}$

Zero, local property.

TFAE  $\begin{cases} M=0 \\ \bigoplus M_p = 0, \forall \text{ prime } p \\ M_m = 0 \quad \forall m \in A \text{ max} \end{cases}$

Check  $M_m = 0 \Rightarrow M=0$   
 $(\text{max})$

Claim 1.  $x \in M, x \neq 0 \Leftrightarrow \operatorname{Ann}(x) \neq \{1\}$

$$\left\{ \begin{array}{l} x \neq 0 \Leftrightarrow 1 \cdot x \neq 0 \Leftrightarrow 1 \in \operatorname{Ann}(x) \\ \Leftrightarrow \langle 1 \rangle \neq \operatorname{Ann}(x) \end{array} \right. \uparrow \text{ideal}$$

Claim 2:  $m: \max, x \notin \ker(M \rightarrow M_m) \Leftrightarrow \operatorname{Ann}(x) \subseteq m$ .

Pf: ISTS (it suffices to show)

$M \neq 0 \Rightarrow \exists m \in A \text{ max}, M_m \neq 0$ .

$$\Leftrightarrow \begin{cases} \exists s \in S, s \notin m \\ s \cdot x = 0 \Leftrightarrow \operatorname{Ann}(x) \cap S = \emptyset \\ \operatorname{Ann}(x) \cap (A - m) = \emptyset \end{cases} \Leftrightarrow \operatorname{Ann}(x) \subseteq m.$$

$$0 \neq x \in M \Rightarrow \operatorname{Ann}(x) \neq \{1\} \Rightarrow \exists m \in A \text{ max } m \supseteq \operatorname{Ann}(x)$$

$$\Rightarrow x \notin \ker(M \rightarrow M_m) \Rightarrow M_m \neq 0.$$

W.M.A (we may assume)

Inject / Surj & Local

- TFAE ①  $M \xrightarrow{\phi} N$  surjective  
②  $M_p \xrightarrow{\phi_p} N_p$  surj &  $p$  prime  
③  $N_p M_{\text{un}} \xrightarrow{\phi} N_{\text{un}}$  surj &  $p$  unram.

Artiyah

Pf:  $M \rightarrow N \rightarrow K = M/N \rightarrow 0$  exact  
 $M_p \rightarrow N_p \rightarrow K_p \rightarrow 0$  exact  
 $\phi$  surjective  $\Leftrightarrow K=0$ .  
 $\Leftrightarrow K_p=0$ .  
previous lemma.

Flatness Local.  $A \otimes M; M: A$  and TFAE

- (a)  $A \otimes M$ , flat (b)  $A_p \otimes M_p$  flat (c)  $N_p \otimes M_{\text{un}}$ , flat

Pf: (c)  $\Rightarrow$  (a) let  $N \hookrightarrow P$  ( $N \rightarrow P$  injective  $A$ -flat map)  
want  $N \otimes M \hookrightarrow P \otimes M$   
 $\Leftarrow (N \otimes M)_m \hookrightarrow (P \otimes M)_m$ ,  $V_m$  max.  
 $\Downarrow$   
 $N_m \otimes_{A_m} M_m \hookrightarrow P_m \otimes_{A_m} M_m$ ,  $V_m$  max.  
 $\Downarrow$   
 $N_m \hookrightarrow P_m \Leftrightarrow N \hookrightarrow P$ .  
Maniflat

Def / Lem A(Rig), TFAE.

- ①  $A$  satisfies the ascending chain condition on ideals:

$\forall$  sequence of ideals  $a_1 \subset a_2 \subset \dots \subset a_n \subset \dots$   $a_1 \subseteq a_2 \subseteq \dots \subseteq a_n \subseteq \dots$

Artiyah stabilizes ( $\exists n_0$ , s.t.  $\forall n \geq n_0$ .  $a_n = a_{n_0}$ )

- ② e.g.  $A = \mathbb{Z}$ ,  $a_3 \supseteq \dots \supseteq a_2 \supseteq a_1$   
 $b_n | \dots | b_2 | b_1$

- ③ Every ideal of  $A$  is fin generated.

- ④  $\{ \text{ideals in } A \}$  satisfies the maxi property:

Every subset contains a maximal element.

i.e.  $\forall S \neq \emptyset$  subsets of ideals, maximal ideal containing all

$$\exists a \in S, \forall b \in S, (b \not\supseteq a)$$

Def  $A$ : called Noetherian, then not strict inclusion.

$$\exists a \in S, \forall b \in S, \underline{b \not\supseteq a}$$

$$\forall a \in S, \exists b \in S, \underline{b \supseteq a}$$

(a)  $\Rightarrow$  (b)  $\mathfrak{a}$ , not finite generated.

Inductively construct:  $x_1, \dots, x_n \in \mathfrak{a}$ .

$\mathfrak{a}$ : ideal  $\Rightarrow$  then  $(x_1) \neq 0$ ,  $\mathfrak{a} \neq (x_1)$

take  $x_2 \in \mathfrak{a} - (x_1)$ , ~~such that~~  $\mathfrak{a} \neq (x_1, x_2) \neq (x_1)$ .

... Then we have  $(x_1) \subsetneq (x_1, x_2) \subsetneq (x_1, x_2, x_3) \subsetneq \dots \subsetneq (x_1, \dots, x_n)$

ACC  $\Rightarrow$  MAX contradiction

(b)  $\Rightarrow$  (c) If MAX fails, then ACC fails  
 $a_1, a_2, \dots \in S$ .

MAX  $\Rightarrow$  ACC  $\exists$  sequence  $a_1 \subsetneq a_2 \subsetneq a_3 \subsetneq \dots$

(c)  $\Rightarrow$  (a) If ACC fails,

$\exists a_1 \subsetneq a_2 \subsetneq \dots$

PGT ACC (b)  $\Rightarrow$  (a) Let  $a_1 \subseteq a_2 \subseteq \dots$ , want  $\exists n_0$ ,  $\forall n > n_0 \Rightarrow a_n = a_{n_0}, \forall n \geq n_0$ .

Know: every ideal is fin gen.

$\mathfrak{a} = \bigcup_n a_n$ , is indeed an ideal.

$\mathfrak{a} = \langle x_1, \dots, x_r \rangle$ .  $x_i \in a_{n_i}$

$n_0 = \max(n_1, \dots, n_r)$

Then  $x_1, \dots, x_r \in a_{n_0}$ .  $\square$

Def then  $M$ : A-mod. (a)  $M$ : ACC on submodules

(b) Every submodule is f.g. general (c)  $M$ : MAX on submodules

Def  $M$ : Neetherian A-mod.

Generalization of Neetherian Rng.

SES (short exact seqn).

Lem:  $0 \rightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0$  SEM. Infiltrating

$M$ : Noeth  $\Leftrightarrow M', M''$ : Noeth

If ACC  $N_1 \subseteq N_2 \subseteq \dots$  -- submodules of  $M$ .

Show  $\exists n_0$ , s.t.  $N_n = N_{n_0}, \forall n \geq n_0$

$N_j'' = \text{Im}(N_j)$  in  $M''$ , then  $N_i'' \subseteq N_j'' \subseteq \dots$

by ACC for  $M''$ , then  $N_{n_0}'' = N_n'' \forall n \geq n_0$

$N'_i \subseteq N'_j \dots N'_j = M' \cap N_j$  (use  $M' \subseteq M$  injective) ( $N'_i = N_{n_0}'$ )

Need if  $N_i \subseteq N_j \subseteq M$ ,  $w/ N_i'' \subseteq N_j''$ ,  $N_i' = N_j'$  then  $N_i = N_j$

Bosch

Theorem: A: Noetherian  $\hookrightarrow A[x]$ : Noetherian.  
(Hilbert basis theorem)

Cor.  $\forall A: \text{Noeth} \Rightarrow A[x_1, \dots, x_n] \text{ Noeth}, A[x_1, \dots, x_n]/\mathfrak{a}: \text{Noeth}$ .

$$\forall \mathfrak{a} \in A[x_1, \dots, x_n]$$

Pf: Let  $\mathfrak{a} \subseteq A[x]$ , want  $\mathfrak{a}: \text{f.g.}$ .

Consider the ideal  $\mathbb{L}$  gen by "leading coeff of elements of  $\mathfrak{a}$ ".  
i.e.  $ax^n + \dots$

$$\mathbb{L} \subseteq A, \text{ f.g. } \mathbb{L} = (t_1, \dots, t_r) \quad t_j \in \mathbb{L}$$

$$\exists f_1, \dots, f_r \in \mathfrak{a}, f_j = b_j x^{n_j} + \dots$$

$$N = \max(n_1, \dots, n_r), M = \bigoplus_{j=0}^N A x^j \subseteq A[x]$$

$$A \cap M \cap \mathfrak{a} \text{ f.g. } (M: \text{Noeth} \xrightarrow{\text{ex}} A: \text{Noeth})$$

$$(*) M \cong A^n, 0 \rightarrow A \rightarrow A^n \rightarrow A^{n-1} \rightarrow 0$$

Induct on  $n$ , use previous lemma.

$$\text{Claim } \mathfrak{a} = (f_1, \dots, f_r) + M \cap \mathfrak{a}$$

Pf:  $\subseteq:$  ✓

$\supseteq:$   $f \in \mathfrak{a}$ , consider separately  $c x^s + \dots$  ( $s \geq N, s \leq N$ ).

Lec 5-2 ✓

Pf:  $\forall \mathfrak{a} \subseteq A[x]$ , want  $\mathfrak{a}: \text{f.g.}$

$\mathfrak{a}' = \{\text{leading coeff of } f \in \mathfrak{a}\}$ , ideal  $\subset A$ ,  $\mathfrak{a}'$ : f.g.

$$\mathfrak{a}' = (a_1, \dots, a_r)$$

$$\exists f_1 = a_1 x^{n_1} + \dots, f_r = a_r x^{n_r} + \dots \in \mathfrak{a}$$

$$N \geq \max(n_1, \dots, n_r).$$

$$\text{Let } f = ax^n + \dots \in \mathfrak{a}, \text{ w/ } n \geq \max(n_1, \dots, n_r)$$

$$a = c_1 a_1 + \dots + c_r a_r.$$

$$(*) f - (c_1 x^{n-n_1} + \dots + c_r x^{n-n_r} f_r) = (a - \sum c_j a_j) x^n + \dots$$

$$M_N = \bigoplus_{j=0}^N A x^j \cap \mathfrak{a} \text{ is f.g.}$$

$$M_N: \text{f.g.}$$

$$(*) \Rightarrow \mathfrak{a} \subseteq M_N + (f_1, \dots, f_r) \subseteq \mathfrak{a}$$

$$\text{i.e. } \mathfrak{a} = M_N + (f_1, \dots, f_r)$$

App.

•  $A[x_1, \dots, x_n]/\mathfrak{a}$ , Noeth  $\Leftrightarrow A$ : Noeth  $(\forall \mathfrak{a} \subset A[x_1, \dots, x_n])$

Recall :  $S \subseteq [x_1, \dots, x_n]$ ,  $\xrightarrow{\text{Noetherian}} V(S)$   
 $V(S) = V(\langle S \rangle)$

Hilbert basis theorem  $\Rightarrow \forall V$  (varieties),  $\exists$  finite  $S \subseteq [x_1, \dots, x_n]$ , s.t.  $V = V(S)$   
i.e. Any fin. system of polynomial equations is the same as some fin. system.

Pf:  $S, \mathfrak{a} = \langle S \rangle$ .

$\Rightarrow A[x_1, \dots, x_n] / \mathfrak{a}$  : Noeth.

i.e. f.g.

$$V = V(S) = V(\mathfrak{a}) = V(f_1, \dots, f_r)$$

Non-example.

$A = \mathbb{C}[x_1, \dots, x_n, \dots]$  not Noeth.

$m = (x_1, \dots, x_n, \dots)$  not f.g.

If  $S \subseteq m$ , finite, let  $\mathfrak{a}S = \{s_1, \dots, s_N\}$ , then let  $N_i = \max_{\mathfrak{a}S} i : x_i \in S$   
then let  $N = \max_i \{N_i\} + 1$ ,  $x_N$  is not in  $S$ .

Note  $A$ : integer domain  $\Rightarrow K = \text{Frac}(A)$  is a field

i.e.  $K$  Noeth but  $A \subseteq K$  ~~not~~ may not be.

Lemma.  $A$ : Noeth.  $A \xrightarrow{h} B$   $\text{Im}(h)$  Noeth.  $\Rightarrow$   $\mathfrak{a} \subset A$  ideal  
 $\text{Im}(h) \cong A/\ker(h)$ .

i.e. any homomorphic image of  $A$  is Noeth.

$$A/\mathfrak{a}$$

Pf.  $\{ \text{ideals in } A \text{ containg } \mathfrak{a} \} \cong \{ \text{ideals in } A/\mathfrak{a} \}$

use the f.g. / MAX condition.

Localization of Noeth Rings.

$A$ : Noeth.  $S \subseteq A$ , what closed,  $\Rightarrow S^{-1}A$ : Noeth

i.e.  $A_f, A_p$  Noeth if  $A$  Noeth.

$\{ \text{ideals in } S^{-1}A \} \rightleftarrows \{ \text{ideals in } A \}$

$b \mapsto \mathcal{I}^*(b)$  (ideal)

use the MAX condition

$b \mapsto \mathcal{I}^*(b)$

f.g. condn.

Recall:  $F$  (fields)  $V_{(V, \text{dim } F)}$   $S \subseteq V$  (linearly independent):  $\forall \{s_1, \dots, s_n\} \subseteq S$ .  $\sum c_i s_i = 0 \Rightarrow c_1 = \dots = c_n = 0$ .

$$\forall c_1, \dots, c_n \in F. \quad c_1 s_1 + \dots + c_n s_n = 0 \Rightarrow c_1 = \dots = c_n = 0.$$

Theorem:  $S \subseteq V$ , (a)  $S$ : linearly independent  $\nmid S$ : maximal (i.e. among lin.-indp. subsets)  
 $\Leftrightarrow \text{span } S = V$ .

(b)  $\{v_1, \dots, v_n\} \subseteq V$ , maximal, linearly independent, i.e. basis.

Suppose  $\{w_1, \dots, w_m\} \subseteq V$ , linearly independent, then  $m \leq n$ .

(c) hence dimension of basis in finit space, well-defined. (invariant)

- $\left\{ \begin{array}{l} \text{(d) Every vector space has a basis.} \\ \text{(finite)} \\ \text{(e) Every lin.-indp. subset } S \subseteq V \text{ extends to a basis.} \\ \text{(f) If Every } S \subseteq V \text{ w/ } \text{span } S = V, \text{ can subtract to a basis.} \end{array} \right.$

### Field Extension.

(Wiki:  $\forall e \in E$ ,  $e \in F$  algebraic  $\Leftrightarrow e$  is transcendental over  $F$ .)

$E/F$ : field extension  $S \subseteq E$  algebraically independent if

$$\forall \{s_1, \dots, s_n\} \subseteq S \text{ distinct}, \forall p \in F[X_1, \dots, X_n] . \quad p(s_1, \dots, s_n) = 0 \Rightarrow p = 0.$$

Theorem: (a)  $S \subseteq E$ , algebraically independent  $\Leftrightarrow$   $E/F(S)$  algebraic field extension  
 $(S = \emptyset \text{ if } E/F \text{ is algebraic})$  then  $S$ : maximal  $\Leftrightarrow$   $E/F(S)$  algebraic field extension  
 $\text{tr.deg}(E/F) = 0$ .  
 $\text{extension on } S \leftarrow \text{when } S \text{ infinite, how is this different from } S \text{ being finite?}$

(b)  $\{v_1, \dots, v_n\} \subseteq E$  : algebraically independent, i.e. union of finite field extensions

write: tr. basis: transcendental basis.

If  $\{w_1, \dots, w_m\} \subseteq E$  : algebraically independent then  $m \leq n$ .

(c) Any 2 tr. basis has the same cardinality

write: Transcendence degree  $E/F$ ,  $\text{tr.deg}(E/F)$ .

(d) Every  $E/F$  has a tr. basis (Requires Zorn's Lemma)

(e) Any algebraically independent  $S \subseteq E$  extends --.

(f)  $S \subseteq E$ ,  $E/F(S)$  algebraic, then  $\exists$  tr. basis  $T$  for  $E/F$ ,  $T \subseteq S$

PF (a). Let  $\alpha \in E$ , want  $F(\alpha, S)/F(S)$  finite.

If  $\alpha \in S$ , then done; Else,  $S \cup \{\alpha\}$  is not algebraically independent, so.

$\exists s_1, \dots, s_n \in S$ ,  $p \in F[X_1, \dots, X_n]$ , between  $s_1, \dots, s_n, \alpha$ .

$\exists m \geq 1$ ,  $p_0, \dots, p_m \in F[x_1, \dots, x_n]$  s.t. OR:  $p \in F[x_1, \dots, x_n]$ , s.t.  $p(\alpha, \dots, \beta) = 0$

$$2^M P_m(s_1, \dots, s_n) + \dots + 2P_1(s_1, \dots, s_n) + P_0(s_1, \dots, s_n) = 0 \\ (\text{since } p_i \neq 0)$$

$$\Rightarrow P_m(s_1, \dots, s_n) = 0 \text{ (by S: alg indep)}$$

$$\Rightarrow [F(\alpha, s_1, \dots, s_n) : F(s_1, \dots, s_n)] \leq m$$

$\alpha$  is alg over  $F(S)$

" $\Leftarrow$ "  $E/F(S)$  algebraic, want  $S$ : max

Assume  $\exists \alpha \in E$ ,  $\alpha \notin S$ . s.t.  $S \cup \{\alpha\}$  is alg indep.

then  $\alpha$  is algebraic over  $F(S)$  by assumption.

$$2^M + \frac{P_{m+1}(s_1, \dots, s_n)}{P_m(s_1, \dots, s_n)} 2^{m+1} + \dots = 0, \text{ some } s_1, \dots, s_n \in S, P_i, q_j \in F[x_1, \dots, x_n] \\ (\star\star) \quad (m \geq 1)$$

Clear the denominators, to get a multivariable polynomial relation involving

$s_1, \dots, s_n, \alpha$ , contradiction.  $\{\alpha\} \cup S$  alg indep.

PEX: Prob (b)  $\rightarrow$  f), in the special case of finite transcendental degree. Do it!!

Example:  $\text{tr.deg}(\bar{\mathbb{Q}}/\mathbb{Q}) = 0$ ,  $\text{tr.deg}(\mathbb{C}/\mathbb{Q}) = \infty$ ,  $\text{tr.deg}(F(t_1, \dots, t_n)/F) = n$

If  $E/F(t_1, \dots, t_n)$  algebraic extension, then  $\text{tr.deg}(E/F) = n$ .

Goal. L/K, field extension. s.t. L: f.g. as K-alg. then L ~~is~~ finite K-algebra.

Pf: write  $L = \langle x_1, \dots, x_n \rangle$  K-alg

r := tr.deg(L/K), conclusion  $\Leftrightarrow r = 0$ ?

L/K finite:  $L = \bigcup_{i \in I} L_i / K$

L/K:

Suppose not, then  $r \geq 1$ .

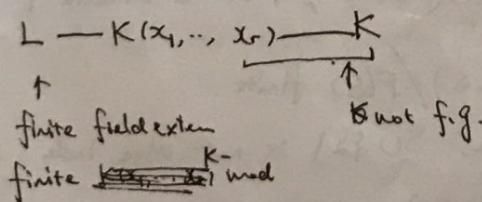
by f), then  $\{x_1, \dots, x_r\}$  is a tr. basis of  $L/K$ .

Each  $x_{r+1}, \dots, x_n$  is algebraic over  $K(x_1, \dots, x_r) = M$

L/M, finite -

Note:  
 $\text{tr.deg}(E/F) = 0 \Rightarrow E/F$  algebraic

By the Lemma below,



Gratuit

Lemma.  $A \subseteq B \subseteq C$  rings. Then  $B$ : f.g. A-Algebra.

Noetherian

f.g. A-algebra.  
finite  $B$ -mod

Pf:  $C = \langle y_1, \dots, y_m \rangle_{B\text{-mod.}}$   $C = \langle x_1, \dots, x_n \rangle_{A\text{-alg}}$

$$x_i = \sum_j b_{ij} \cdot y_j, \quad b_{ij} \in B$$

$$(\star) y_i \cdot y_j = \sum_k b_{ijk} y_k. \quad (\text{As an element of } B \text{ as an } A\text{-algebra})$$

$$B_0 := BAC[\{b_{ij}\} \cup \{b_{ijk}\}] \subseteq B$$

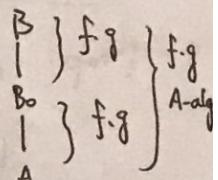
$B_0$ : f.g. A-algebra.  $\Rightarrow B_0$ : Noeth.

$C = \{\text{poly in } \{x_j\} \text{ w/ coeff in } A\} = \{\text{linear combns of the } y_j \text{ w/ coeff in } B_0\}$

$\Rightarrow C$  is a finite  $B_0$ -mod

$\Rightarrow C$ : Noetherian  $B_0$ -mod.

$\Rightarrow \forall$  submodule fin gen. Then  $B_0 \subseteq B \subseteq C$  is f.g.



Relevant to  $r(\alpha) = I(V(\alpha))$ .

$K = \bar{K}$  (algebra closure),  $\alpha = k[t_1, \dots, t_d]$ ,  $V(\alpha) := \{x \in K^d, f(x) = 0, \forall f \in \alpha\}$

$I(S) = \{f \in A : f(x) = 0, \forall x \in S\}$ .  $r(\alpha) = \{f \in A : f^n \in \alpha \text{ for some } n\}$ .

Pf:  $\cap r(\alpha) \subseteq I(V(\alpha))$ .  $f \in r(\alpha)$ ,  $f^n \in \alpha$ ,  $f^n|_{V(\alpha)} = 0 \Rightarrow f|_{V(\alpha)} = 0$ .

$\exists$  If  $f \notin r(\alpha)$ , want  $f \notin I(V(\alpha))$

$$\bigcap_{i=1}^n P_i \quad (P_i \supseteq \alpha)$$

$\exists P_i$  s.t.  $P_i \supseteq \alpha$ ,  $P_i \nmid f$ , then  $\bar{f} \neq 0 \in A/P_i$

$$(A/P_i)\bar{f} = (A/P_i)[\frac{1}{f}] \neq 0.$$

Let  $m \subseteq (A/P_i)\bar{f}$ , maximal ideal. Let  $B = (A/P_i)\bar{f}$

$L = B/m$ , field.

$L$  is fin. gen.  $K$ -alge.

then  $L/K$  fin  $\Rightarrow L = K$

Set  $X = (x_1, \dots, x_d)$ .  $x_j$  = image in  $L \cong K$ , of  $t_j \in L$ .

check  $f(x) \neq 0$ ,  $X \in V(\alpha)$ . End of Proof  $\square$

# Primary Decomposition Lec 6.2 (see later) ✓

A: PID.  $\forall \alpha \in A$ , uniquely write  $\alpha = \sum p_i^{r_i} \dots p_k^{r_k}$  unit prime distinct

$$(\alpha) = (p_1^{r_1}) \cap \dots \cap (p_k^{r_k})$$
 decomposition of  $(\alpha)$ . primary.

Def A: ring,  $q \subseteq A$  ideal, primary, IFF  $\forall$  zero divisor in  $A/q$  is nilpotent.

Def Decomposable.  $\alpha \subseteq A$ .

IFF  $\alpha = P_1 \cap \dots \cap P_n$  of primary ideals.

i.e.  $\alpha$  has a primary decomposition.

Prop: A Noetherian  $\wedge \alpha \subseteq A$  decomposable.

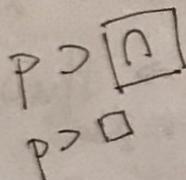
Lem. Noetherian Induction. TFAE.

II)  $S \subseteq \{\text{ideals of } A\}$  has a max

III)  $S \subseteq \{\text{ideals of } A\}$  s.t. (a)+(b) hold.

(a)  $(1) \in S$  (b)  $\forall \alpha$ , if  $b \nsubseteq \alpha \neq b \in S \Rightarrow \alpha \in S$

Then  $S = \{\text{ideals of } A\}$ .



$$(\bar{0}) = \bar{r}_1 \cap \bar{r}_2$$

$$(\bar{0}) = \bar{r}_1 \cap \bar{r}_2$$

$$P^1 P^2 P^3$$

$$\begin{matrix} t \\ y \\ \vdash \end{matrix} \in \alpha$$

$$(t \cdot y^m \cdot r) y$$

$$\text{Ass}(\alpha)$$

$$\text{rad}(\alpha : x) \text{ prime-}$$

$$\text{rad}(\alpha : x) \text{ prime}$$

$$\exists i. \forall x \in f_i \setminus \{q_i\} \quad x \notin \alpha$$

$$\circ$$

$$A \rightarrow A/\alpha$$

$$P =$$

$$S, SS = S, S \in E$$

$$S, S \in E$$

$$\frac{S}{I} = \frac{S}{S} - \frac{S}{I}$$

$$R^p + (ER) = 0$$

$$e \in R^p$$

$$E \in R^p$$

$$R^p = \text{Noetherian}$$

$$R^p + H^p = R^p$$

$$x \in f_i \setminus \{q_i\}$$

$$\cdots \circ \circ \circ \circ \cdots \circ$$

Def A  $\mathbb{Z}$ -ring.  $\xrightarrow{\text{P4(Basic)}}$  A-Algebra:  $B(\text{ring}) \text{ w/ } f: A \xrightarrow{\text{homom}} B$

E.X. Any ring is a  $\mathbb{Z}$  Algebra  $\mathbb{Z}: \forall B \mapsto \mathbb{Z} \cdot 1_B$ .

Dif. A: field  $F$ .  $\forall f: F \rightarrow B$  nonzero, injective  $\xrightarrow{\text{F } \subset B}$ .

(If  $F \xrightarrow{\text{onto}} B$  is nonzero)  $\xrightarrow{(0, 1)}$   $\xrightarrow{\text{Ker: ideal}}$ .

(\*) i.e.  $F$ -algebra  $B$ ,  $\cong$   $B$  ring contg  $F$  as a field.

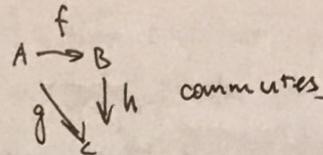
c.g.  $B$ : field of characteristic  $p$  ( $0/\text{prime}$ )  $\xrightarrow{\text{if } p=0}$   $(1+\dots+1=0)$ .  
 $p \neq 0$ ,  $B: \mathbb{Q}$ -alg  $\xrightarrow{\text{if } p \neq 0}$  for ring with  $(p \text{ least}). P$   
 $p > 0$ :  $B$  is  $\mathbb{F}_p$ -alg  $\xrightarrow{=(0, \dots, p-1)}$  no nontrivial zero divisors.

Def B: f.g. A-Algebra if  $\exists x_1, \dots, x_n \in B$ , s.t.  $B = \langle f(A), x_1, \dots, x_n \rangle$

Theore A: Noeth, B: f.g. Alg, then  $B$ : Noeth. (\*)

Def  $A \xrightarrow{f} B$ ,  $A \xrightarrow{g} C$ , A-Algebras.

morphism of A-Algebras.  $\xrightarrow{\text{to }} h: B \rightarrow C$ . s.t.



$$\begin{array}{c} \cancel{\text{def}} \\ \text{i.e. } h(f(a)b) = g(a)h(b) \\ \xrightarrow{\text{cancel}} = h(f(a))h(b) \end{array} \xrightarrow{b=1} h(f(a)) = g(a)$$

Def B: f.g. A-Alg IFF  $\exists u_{20}$ ,  $\exists h: A[x_1, \dots, x_n] \rightarrow B$  surjective.  
(\*) morphism of A-Algebras.

P (\*)  $\Rightarrow$   $A[x_1, \dots, x_n]$  Noeth,  $h$  surj. then  $B$ : homog image of Noeth  $\xrightarrow{\text{Noeth}}$

$\Leftarrow$  B: A-algebra, B (finite)

Def B: A-algebra B (finite) if f.g as A-mod

$$A \xrightarrow{f} B \left\{ \begin{array}{l} a \in A, b \in B, ab = f(a)b \\ \exists b_1, \dots, b_n, \text{ s.t. } \forall b \in B, b = a_1b_1 + \dots + a_nb_n \\ = f(a_1)x_1 + \dots + f(a_n)x_n \end{array} \right.$$

$\Downarrow$  B: finite A-alg  $\Leftrightarrow \exists$  surjection of  $A^u \rightarrow B$ .

$\xrightarrow{\text{A-mod.}}$

$$\begin{aligned} A^u \rightarrow B &\Leftrightarrow \exists x_1, \dots, x_n \in B, \\ &\text{B} = \{ \text{f.g. alg. } A[x_1, \dots, x_n] \text{ in } A \} \end{aligned}$$

$A = \mathbb{Z}$	$B$	finite	f.g.
$\mathbb{Z}$	$\mathbb{Z}$	V	V
$\frac{1}{2}\mathbb{Z}$	$\mathbb{Z}$	V	N/A
$\mathbb{Z}[\frac{1}{2}]$	X	V	V
$\mathbb{Q}$	X	X	X

(\*)  $R \xrightarrow{h} S$ : ring homom.  $(\text{char}(S) \mid \text{char}(R))$

(\*) with homom. maps  $1_A \mapsto 1_B$ ,  $\frac{1}{m_A} = \frac{1}{1_A + \dots + 1_A} \mapsto \frac{1}{1_B + \dots + 1_B}$

(\*)  $A \ncong \langle \cancel{A}, x_1, \dots, x_n \rangle$

Theorem.  $K$ : field,  $K \subseteq L$ ,  $L$ : field.

( $L$ :  $K$ -Algebra.)

$L$ : f.g.  $K$ -algebra.  $K[x_1, \dots, x_n] \xrightarrow{\text{surj}} L$   
i.e.  $L = \frac{K[x_1, \dots, x_n]}{I}$

Then  $L$ : finite  $K$ -algebra.  $\boxed{L/K}$  is a finite field extension.

$L/K$ : v.s.  $d_m < \infty$

Cor 1. max ideals of  $A = \mathbb{C}[x_1, \dots, x_n]$

$$= \{ Mx = (\mathbf{x} - \mathbf{x}_1, \dots, \mathbf{x} - \mathbf{x}_d), \mathbf{x} \in \mathbb{C}^d \}$$

$\mathbf{x} = (x_1, \dots, x_d)$

Pf (Theor.  $\Rightarrow$  Cor):  $M \subseteq A$ , max

$L = A/M$  field.

$$\begin{array}{ccc} A & & A/M \\ \downarrow & \xrightarrow{q} & \downarrow \\ \mathbb{C}[x_1, \dots, x_n] & \xrightarrow{j} & L \\ \downarrow & \text{(homom.)} & \downarrow \\ \end{array}$$

Note:  $L$  is f.g.  $\mathbb{C}$ -alg, generated by  $\{f(x_1), \dots, f(x_d)\}$ .

$\Rightarrow L/j(\mathbb{C})$  : finite field extns.

$L \cong \mathbb{C}$  ( $\mathbb{C}$ : alg closed)

$$\mathbf{x} = (j^{-1}(q(x_1)), \dots, j^{-1}(q(x_d))) \in \mathbb{C}^d.$$

Check  $m = M_x$ .

Cor 2.  $\mathbb{C}\{x_1, \dots, x_d\}$  is not f.g.  $\mathbb{C}$ -alg

$$= \text{Frac}(\mathbb{C}[x_1, \dots, x_d])$$

Pf:  $K = \mathbb{C}$ ,  $L = \mathbb{C}(x_1, \dots, x_d)$

$L/K$ : not finite field extn  $\Rightarrow L$ : not f.g.  $K$ -alg.

Works if  $\mathbb{C} = K$  (field)

Pf (Theorem)  $f_1, \dots, f_n \in \mathbb{C}(x_1, \dots, x_d)$

$$\frac{g_1}{h_1}, \frac{g_2}{h_2}, \dots, \frac{g_n}{h_n}$$

$u := 1 + x_1 h_1 + \dots + x_n h_n$ ,  $\Rightarrow u$ : coprime to  $h_j$ ?

(Euclid's proof that  $\mathbb{Z}$  has  $\infty$  many primes.)

$$\Rightarrow \frac{1}{u} \notin K[f_1, \dots, f_n] \quad (u: \text{coprime to } h_j)$$

## Lec 6-2. ✓

- $A$ : Noetherian  $\Rightarrow \text{ideals of } A$  has a maximal element  $\Rightarrow \text{decomposable. } (\star 1)$
- $q \subseteq A$  ideal, primary  $\Leftrightarrow \forall F \in A/q$  zero divisor  $\Rightarrow F$  nilpotent.  
i.e.  $\forall r, \exists s, st, rs \in q \Rightarrow \exists n \text{ s.t. } F^n \in q$   
 $\Leftrightarrow$  Prime  $\Rightarrow$  Primary.
- Decomposable.  $\exists a = q_1 \cap \dots \cap q_n$ .  $q_i$ : primary
- Lemma Noetherian Induction: TFAE
  - (I)  $S \subseteq \{\text{ideals of } A\}$ ,  $S$  has a maximal element
  - (II)  $S \subseteq \{\text{ideals of } A\}$ , s.t. (i)  $(1) \in S$ , (ii)  $\forall a \subseteq A$ , if  $b \supseteq a$ ,  $b \in S$ , then  $a \in S$ .

• (II) Pf:

Def 1).  $r \subseteq A$  irreducible  $\Leftrightarrow \forall r', r''$  s.t.  $r' \cap r'' = r$ , then  $r = r'$  or  $r = r''$

Lemma 2)  $A$ : Noetherian. Then primary  $\Rightarrow$  irreducible  $\Rightarrow$  primary.

Lemma 3)  $A$ : Noetherian. Then all ideals in  $A$  are finite.  
intersection of irreducible ideals.

Pf Lemma 2).

Lemma 4)  $(\bar{a} : y^n) = (\bar{a} : y^{n+1})$   $\Rightarrow (\bar{a} : y) \cap (y^{n+1}) \subseteq \bar{a}$ .

Pf  $\bar{a}$ : irreducible

Lemma 4).  $(\bar{a} : y^n) = (\bar{a} : y^{n+1}) \Rightarrow (\bar{a} : y) \cap (y^{n+1}) \subseteq \bar{a}$ .

Pf: let  $z \in (\bar{a} : y) \cap (y^{n+1})$ ,  $z = y^{n+1} \cdot r_0$ ,  $\exists r_0 \in A$ . Want:  $z \in \bar{a}$

$\forall r \in A$ ,  $z(yr) = z \cdot y^{n+1} \cdot r_0 \in \bar{a}$ . /  $z(y) = r_0(y^{n+1}) \subseteq \bar{a}$

{ Know.  $\forall t_1, t_2$  s.t.  $t_1(y^n) \subseteq \bar{a}, \Rightarrow t_1(y^{n+1}) \subseteq \bar{a}$   
 $\forall t_2$  s.t.  $t_2(y^{n+1}) \subseteq \bar{a} \Rightarrow t_2(y^n) \subseteq \bar{a}$

i.e.  $r_0 \in (\bar{a} : y^{n+1}) = (\bar{a} : y^n)$   $(\star 2)$

hence  $r_0(y^n) \subseteq \bar{a}$ ,  $z = y^n \cdot r_0 \in \bar{a}$ .

Notice: by  $(\star 2)$  we only need  $(\bar{a} : y^{n+1}) \subseteq (\bar{a} : y^n)$

Thus the Lemma 4) can be changed as  $(\bar{a} : y^n) \subseteq (\bar{a} : y^{n+1})$ , but

$(\bar{a} : y^n) \subseteq (\bar{a} : y^{n+1})$  always, thus the condition is not superfluous.

(CTD). Let  $p$ : irreducible. now let  $s \in p$ .  $y, s \in p$  Want  $\exists n, y^n \in p$ .

Now  $A/p$ , hence  $\bar{s} \neq \bar{0}$ ,  $\bar{y}\bar{s} = \bar{0}$ , Want  $\exists n, \bar{y}^n = \bar{0}$

$(\text{Ann}(\bar{y}^n))_{n=1}^\infty$  is a increasing chain of ideals in  $A/p$ , since  $A$ : Noetherian,  $A/p$ : Noetherian  
 $\exists n$  s.t.  $\text{Ann}(\bar{y}^n) = \text{Ann}(\bar{y}^{n+1}) = \dots$

by Lemma 4)  $\text{Ann}(\bar{y}) \cap (\bar{y}^m) \subseteq (\bar{0})$ , hence  $\text{Ann}(\bar{y}) = \cap (\bar{y}^m) = (\bar{0})$

$p$ : irreducible in  $A \Rightarrow (\bar{0})$  irreducible in  $A/p$  (check!)

hence either  $\bar{a} \bar{y} \bar{y}^n = \bar{0}$  or  $\bar{a} (\bar{y} \bar{y}^n) = \bar{0}$

$$\bar{S} = \bar{0} \text{ (contra to assy)} \quad \text{Want } \checkmark \quad \square$$

Pf Lem 3).  $S^c = \{\text{ideals in } A : \text{not finite intersection of irreducible ideals}\}$   
Want  $S^c = \emptyset$ .

If not,  $\exists \bar{a}$ : maximal element in  $S^c$ . (M.A.X condition of Noetherian)

$\bar{a} \neq \bar{1}$ .  $\bar{a}$ : not irreducible, then  $\bar{a} = \bar{b} \cap \bar{c}$ ,  $\bar{b}, \bar{c}$ : ideals,  $\bar{b} \neq \bar{a}$ ;  $\bar{c} \neq \bar{a}$   
( $\bar{1}$ ) = irreducible.

[for example, we can take  $\bar{b}$ : maximal ideal]

[Note: any ideal can be write as intersection of two larger ideals, i.e. such "decomposition" is not empty. Since  $\bar{a}$  is irreducible, then such  $\bar{b}, \bar{c}$  must exist]

Since  $\bar{b}, \bar{c} \supseteq \bar{a}$ ,  $\bar{b}, \bar{c} \in S$ , then  $\bar{a} = \bar{b} \cap \bar{c}$  will be in  $S$ . Contra.  $\square$

(CTD #1). Directly from Lem 2), 3).

• Lem 1).  $A$  (ring).  $\bar{q}$ : primary.  $\bar{p} = \text{rad}(\bar{q})$ .

①  $\bar{p}$ : prime. ② least prime ideal containing  $\bar{q}$ .

Pf: ① let  $xy \in \bar{p}$ .  $\exists n, \exists t. (xy)^n = \underbrace{x^n y^n}_{(x \notin \bar{p})} \in \bar{q}$ . then  $\exists m, \exists t. (y^m)^n \in \bar{q}, y \in \bar{p}$

② Recall.  $\bar{r}$ : ideal.  $\text{rad}(\bar{r}) = \bigcap_{\bar{p} \supseteq \bar{r}} \bar{p}$ ,  $\bar{p}$ : prime ideal  $\supseteq \bar{r}$

• Def 2).  $\bar{q}$ : primary.  $\bar{p} = \text{rad}(\bar{q})$ . Call  $\frac{\bar{q}}{\bar{p}} = \bar{p}$ -primary.

• Lem 3).  $\bar{q}_1, \dots, \bar{q}_n = \bar{p}$ -primary (i.e.: primary with the same radical  $\bar{p}$ ).

then  $\bar{q}_1 \cap \dots \cap \bar{q}_n = \bar{p}$ -primary.

Pf: ① primary. let  $\bar{q} = \bigcap_{i=1}^n \bar{q}_i$ , let  $xy \in \bar{q}$ , want  $y \in \bar{q}$ .  
 $xy \in \bar{q}_i (\forall i)$ ,  $x \notin \bar{p}$ , then  $\bar{q}_i$ ,  $\exists m_i, \exists t_i. x^{m_i} \notin \bar{q}_i$ ; thus  $y \in \bar{q}_i, \forall i$ .  
 $y \in \bar{q}$ .  $\checkmark$ .

| Definition of Primary.

|  $\forall xy \in \bar{q}, y \in \bar{q}, \dots$

|  $y \in \bar{q}, x^m \in \bar{q}$

|  $y \in \bar{q}, x^m \in \bar{q}$

|  $y \in \bar{q}, x^m \notin \bar{q}, y \in \bar{q}$

• Rmk:  $\bar{q}$ : prime. ①  $\bar{p}$ -primary ideals need not be a power of  $\bar{p}$ .

② A power of  $\bar{p}$  need not be primary.

• Lem 4).  $\bar{q} \subseteq A$ ,  $\text{rad}(\bar{q}) = \bar{m}$ ,  $\bar{m}$ : maximal.  $\Rightarrow \bar{q}$ : primary.

Pf:  $\frac{\text{ideal}}{\bar{m}/\bar{q}}$  be the ideal in  $A/\bar{q}$  formed by mapping  $m \mapsto m/q$ .

then  $\text{Nil}(A/\bar{q}) = \bar{m}/\bar{q}$ . Since  $\bar{m}$ : maximal,  $\bar{m}/\bar{q}$ : maximal.

$\text{Nil}(A/\bar{q})$ : intersection of all  $\frac{\text{prime}}{\text{maximal}}$  ideals in  $A/\bar{q}$ .

Thus any maximal ideal will contain  $\text{Nil}(A/\bar{q}) = \bar{m}/\bar{q}$ .

Thus  $\bar{m}/\bar{q}$ : only maximal ideal in  $A/\bar{q}$ . i.e.  $(A/\bar{q}, \bar{m}/\bar{q})$  local ring.

Thus either let  $\bar{t} \in A/\bar{q}$ , either  $\bar{t} \in \bar{m}/\bar{q}$ , i.e.  $\exists n \text{ s.t. } \bar{t}^n = 0/\bar{q} (\bar{t}^n \in \bar{q})$

or  $\bar{t} \notin \bar{m}/\bar{q}$ , then  $\bar{t}$ : unit (not a zero divisor in this nonzero ring).

Hence.  $\bar{q}$ : primary.

• Lem 5). by 4). if  $\bar{m}$ : maximal in  $A$ , then  $\bar{m}^n = \bar{m}$ -primary.

Pf:  $\text{rad}(\bar{m}^n) = \bigcap_{\bar{p} \supseteq \bar{m}^n} \bar{p} = \text{rad}(\bar{m}) = \bar{m}$

## Lec 6-2 (TD1)

- Examples for Lem 4), 5).

①  $k[x, y]$ ,  $\mathfrak{m} = (x, y)$ , maximal,  $\mathfrak{m}^n$  primary

②  $\mathfrak{q} = (x^2, y) \subseteq k[x, y]$ ,  $\mathfrak{m}$ -primary. ?

③  $k[x]$ ,  $\mathfrak{a} = \left( \prod_{j=1}^n (x - z_j)^{m_j} \right)$ ,  $(z_1, \dots, z_n)$  distinct in  $C$

$$\mathfrak{a} = \mathfrak{q}_1 \cap \dots \cap \mathfrak{q}_n \quad \mathfrak{q}_j = ((x - z_j)^{m_j}), \quad \mathfrak{p}_j = \text{rad}(\mathfrak{q}_j) = (x - z_j)$$

④  $\mathfrak{q}_1 = ((x, y))^2 = (x^2, xy, y^2) \subseteq k[x, y]$ ,  $\mathfrak{p}_1 = \text{rad}(\mathfrak{q}_1) = (x, y)$

$$\mathfrak{q}_2 = (y), \quad \mathfrak{p}_2 = \text{rad}(\mathfrak{q}_2) = (y). \quad \mathfrak{a} = \mathfrak{q}_1 \cap \mathfrak{q}_2 = (xy, y^2)$$

- Minimal Primary Decomposition.  $\mathfrak{a} = \mathfrak{q}_1 \cap \dots \cap \mathfrak{q}_n \quad \mathfrak{p}_j = \text{rad}(\mathfrak{q}_j)$

(a) If  $\mathfrak{p}_i = \mathfrak{p}_j - i \neq j$ , then replace  $\mathfrak{q}_i$  with  $\mathfrak{q}_i \cap \mathfrak{q}_j$  and delete  $\mathfrak{q}_j$  (notice,  $\mathfrak{q}_i \cap \mathfrak{q}_j$  are also primary)

(b) If  $\mathfrak{q}_j \supseteq (\bigcap_{i \neq j} \mathfrak{q}_i)$ , then delete  $\mathfrak{q}_j$

A primary decomposition s.t.  $\mathfrak{p}_i \neq \mathfrak{p}_j$  and  $\forall k, \mathfrak{q}_k \not\supseteq \bigcap_{i \neq k} \mathfrak{q}_i$  is minimal.

•  $\text{Ass}(\mathfrak{a})$ ,  $\mathfrak{a}$ : ideal.  $\text{Ass}(\mathfrak{a})$  associated ideals of  $\mathfrak{a}$  Def  $\text{Ass}(\mathfrak{a}) = \{\text{prime ideals of the form } \text{rad}(\mathfrak{a}:x), x \in A\}$ . note:  $\forall r \in \mathfrak{a}, r \in (\mathfrak{a}:x) \subseteq \text{rad}(\mathfrak{a}:x)$   
i.e.  $\mathfrak{a} \subseteq \text{rad}(\mathfrak{a}:x)$

• Theorem 1).  $\mathfrak{a} = \mathfrak{q}_1 \cap \dots \cap \mathfrak{q}_n$  minimal primary decomposition.

then  $\text{Ass}(\mathfrak{a}) = \{\mathfrak{q}_1, \dots, \mathfrak{q}_n\}, \quad \mathfrak{p}_i = \text{rad}(\mathfrak{q}_i)$

Thus though minimal primary decomposition may not be unique, the radicals of the "divisors" are unique.

Pf: Lem 2)  $\mathfrak{q} : p$ -primary,  $x \in A$ . then.

$$\text{① } x \in \mathfrak{q} \Rightarrow (\mathfrak{q}:x) = (1) \quad \text{② } x \notin \mathfrak{q} \Rightarrow (\mathfrak{q}:x) : p\text{-primary}$$

$$\text{③ } x \notin \mathfrak{q} \Rightarrow (\mathfrak{q}:x) = \mathfrak{q}$$

Lem 2)  $\Rightarrow$  Theorem 1).

$$\text{Pf: } \text{rad}(\mathfrak{a}:x) = \text{rad} \left( \bigcap_{j=1}^n \mathfrak{q}_j : x \right) = \text{rad} \left( \bigcap_{j=1}^n (\text{rad}(\mathfrak{q}_j : x)) \right) = \bigcap_{x \notin \mathfrak{q}_j} \mathfrak{p}_j$$

① let  $p = \text{rad}(\mathfrak{a}:x)$ : prime, then  $\exists j$ , s.t.  $p \supseteq \mathfrak{p}_j$ , also clearly  $\mathfrak{p}_j \supseteq p$ .  
(thus  $p \neq (1)$ )

thus  $\exists j$  s.t.  $p = \mathfrak{p}_j$ . Hence  $\text{Ass}(\mathfrak{a}) \subseteq \{\mathfrak{p}_1, \dots, \mathfrak{p}_n\}$ .

② Also, by minimal decomposition,  $\exists x \in A$ , s.t.  $x \notin \mathfrak{q}_j, x \notin \mathfrak{q}_i (i \neq j)$   
 $\infty$  distinct by minimal decomposition

(Otherwise,  $\exists j, \forall x$ , w.t.s.t.  $x \in \mathfrak{q}_j$  or  $x \notin \mathfrak{q}_j (\exists i \neq j)$ )

then if  $x \in \bigcap_{i \neq j} \mathfrak{q}_i$ ,  $x \in \mathfrak{q}_j$  contra!

Thus,  $\forall j, \exists x \in A$ , s.t.  $\text{rad}(\mathfrak{a}:x) = \mathfrak{p}_j$ .

Thus  $\text{Ass}(\mathfrak{a}) = \{\mathfrak{p}_1, \dots, \mathfrak{p}_n\}$ .  $\square$

Pf of Lem 2). (See Next Note)

# Lec 7-1 ✓

Lemma 2).  $q$ :  $p$ -primary.  $x \in A$  then.

- (i)  $x \in q \Rightarrow (q:x) = (1)$
- (ii)  $x \notin q \Rightarrow (q:x)$  is  $p$ -primary ideal, i.e.  $\text{rad}(q:x) = p$
- (iii)  $x \in p \Rightarrow (q:x) = q$ .

Check: (i) Primary, let  $y, z \in (q:x)$ , if  $y \notin (q:x)$ , then  $\exists n \text{ s.t. } z^n \in (q:x)$

$xy \in q$ ,  $zy \in q$ , by  $p$  primary.  $\exists n \text{ s.t. } z^n \in q$ . thus  $(q:x)$  primary.

Want  $\text{rad}(q:x) = p$ . let  $y^n \in (q:x)$  want  $y \in p$ , (i.e.  $\text{rad}(q:x) \subseteq p$ )

$xy^n \in q$ ,  $x \notin q \Rightarrow y \in \text{rad}(q) = p$ .

(iii). "2" clearly.  $((q:x)^2 \subseteq q, (q:x)^2 \cap q = p)$

" $\subseteq$ " suppose  $y \in (q:x)$ , i.e.  $xy \in q$ , also  $x \notin p$ . thus  $\forall n, x^n \notin q$ .

by def of primay of  $q$ .  $y \in q$ .

Lemma 5)

A: Noether  $\Rightarrow \exists x \in A$  s.t.  $(q:x) = \text{rad}(q)$ .  $(q:x) = (1) \Rightarrow Ax = 0_A$   $\square$

Pf:  $p$ -fg ideal.  $x \in p$ , thus  $\exists n \text{ s.t. } x^n \in q$ . thus some  $p^m \subseteq q$  (see \*1 foot) take the generators of  $p$

let  $n$  be such minial  $p^m \subseteq q$ , then  $p^{n-1} \notin q$ , i.e.  $\exists x \in p^{n-1}, x \notin q$

Then  $(q:x) = p$ .  $\left\{ \begin{array}{l} \subseteq \text{ by } (q:x) \text{ p-primary} \\ \supseteq \text{ if } y \in p, \text{ then } xy \in p^m \subseteq q \Rightarrow y \in (q:x) \end{array} \right.$

Example.  $k$ : field,  $A = k[t]$ ,  $q = (t^N)$ ,  $p = (t)$

$\text{Let } x \in A, \text{ let } x = t^m + t^{m+1} + \dots + t^n, \text{ call } n = \text{ord}_t(x) \text{ (least order in } x)$

$(q:x) = (t^m)$  where  $m = \max(N - n, 0)$   $\left\{ \frac{x}{t} \in A_p, \left( \frac{x}{t} \right)^m = \frac{t^m}{t^m} \right\}$

Check  $x \in q \Leftrightarrow n \geq N \Leftrightarrow m = 0 \Leftrightarrow (q:x) = (1)$

$x \notin q \Leftrightarrow m \geq 1 \Rightarrow (q:x) \text{ } p\text{-primary. (prime} \Rightarrow \text{primary, } \text{rad}(t^m) = (t))$

$x \in t^N, x \notin (t^N) \Rightarrow (q:x) = p = (t)$

$x \notin p \Leftrightarrow m = 0 \Leftrightarrow m = N \Rightarrow (q:x) = q$

Given / M.P.D.  $\alpha = q_1 \cap \dots \cap q_n$ ,  $x \in A$ .  $(\alpha:x) = \bigcap (q_j:x)$

$$\text{rad}(\alpha:x) = \bigcap \text{rad}(q_j:x) = \bigcap \text{rad}(q_j) \quad \left\{ \begin{array}{l} \text{this is showing} \\ \text{Lem 2) } \Rightarrow \text{Theorem} \\ \text{See the previous} \\ \text{Notes.} \end{array} \right.$$

By M.P.D, we may find  $x \in \bigcap (q_i \cap q_j), x \in q_i, x \in q_j, \forall i, j$

$$(\text{rad}(\alpha:x) = p_i) \Rightarrow p_i \in \text{Ass}(\alpha) \quad \left[ \begin{array}{l} \text{by Lem 2)} \\ \text{Conversely, if } p \text{: prime, } p = \text{rad}(\alpha:x) \end{array} \right]$$

Conversely, if  $p$ : prime,  $p = \text{rad}(\alpha:x) \Rightarrow x$ .

then  $p = \bigcap_{j: q_j \mid x} p_j$ , then  $\text{Ass}(\alpha) \subseteq \{p_1, \dots, p_n\}$ .  $\square$

Moreover, if  $A$ : Noether, we may find for each  $i$ , an element  $x_i$  with  $(\alpha:x_i) = p_i$  by applying the final part of the last lemma.  $\square$

Example.  $\{z_1, \dots, z_n\} \subseteq k$ ,  $k$ : field,  $\alpha = \cap q_j$ ,  $q_j = ((t - z_j)^{N_j})$ ,  $A = k[t]$

\* Use the example to test the lemmas above.

$$\text{rad}(\alpha) = \bigcap_j q_j \quad \text{if } \alpha = \bigcap_j q_j \text{ with M.P.D.}$$

(\*1 foot)  $p$ : gen by  $(x_1, \dots, x_n)$  s.t.  $x_i^{k_i} \in q$ , let  $k = \sum_{i=1}^n k_i$ , then  $p^k$  = gen by  $\{(x_1^{k_1} - x_n^{k_n}) | \sum i = k\} = S$

because let  $r = \sum_{i=1}^n a_i x_i^{k_i} \in p$ , then  $r = \sum_{i=1}^n a_i (b_1 x_1 + b_2 x_2 + \dots + b_n x_n)$ ; and  $S \subseteq q$ , thus  $p^k \subseteq q$

Lee 7-1.

- $\bullet$  zero-divisor mod  $\alpha$ .  $Z(\alpha) = \{x \in A, \exists y \in A - \alpha, \text{ s.t. } xy \in \alpha\} = \bigcup_{(A/\alpha)} \{y \in A - \alpha \mid y \in \text{rad}(\alpha:y)\}$
- $\bullet$  Prop.  $\alpha = \bigcap_j q_j$ , M.P.D. then  $Z(\alpha) = \bigcup_j P_j$
- (Pf?) If  $x^n \in (\alpha:y)$ ,  $y \in A - \alpha$ , then  $x^n y \in \alpha$ , then let  $n$  be minimal.  
then  $x \cdot \underbrace{x^{n-1} y}_{\in \alpha} \in \alpha$ ,  $x \in (\alpha : \underbrace{x^{n-1} y}_{\notin \alpha}) \Rightarrow (?)$  i.e.  $\cancel{x \in (\alpha : x^{n-1} y)} \cancel{x \in \text{rad}(\alpha:y)}$   
 $x \in (\alpha : x^{n-1} y)$
- Pf Prop :  $\text{rad}(\alpha:y) = \bigcap_{j: q_j \nmid y} P_j$ , ①  $Z(\alpha) \subseteq \bigcup_j P_j$   
let  $x \in Z(\alpha)$  want  $x \in P_j, \exists j$ .  
 $\bigcup_{y \in A} \text{rad}(\alpha:y) = \bigcup_{y \in A} \bigcap_{q_j \nmid y} P_j \Leftrightarrow (\alpha:x) \neq \alpha$ ,  $(\alpha:x) = \bigcap_j \{q_j \mid x\}$   
 $x \notin q_j, P_j - \text{prime}$ .
- If  $x \notin P_j, \forall j$ .  
then  $\alpha(q_j : x) = q_j, \forall j$ .  
 $(\alpha:x) = \bigcap_j q_j = \alpha$ , contra to  $x \in Z(\alpha)$

If  $x \notin Z(\alpha)$ , want  $x \notin P_j, \forall j$ .

② Show  $\bigcup_j P_j \subseteq Z(\alpha)$

$\forall j, \exists y_j$  s.t  $\text{rad}(\alpha:y_j) = P_j$ .

Necessarily  $y \notin \alpha$ , so if  $x \in P_j$ , then  $x \in \text{rad}(\alpha:y) \subseteq Z(\alpha)$   $\square$

Example (\*)

$0 \neq x \in A \rightarrow \text{ord}_{(-z_j)}(x) :=$

then  $x \in Z(\alpha) \Leftrightarrow \exists j, n_j \geq 1$ .

$x \in \text{rad}(\alpha) \Leftrightarrow n_j, n_j \geq 1$ .

NB.  $n_j :=$  order of vanishing of  $x$  at  $z_j$ ,  $n_j \geq c \Leftrightarrow$  first  $c$  taylor coeff of  $x$  at  $z_j$  all vanish

Def  $\text{Ass}(\alpha) > p$ , is either minimal / isolated. OR embedded.

①  $p$ : minimal element of  $\text{Ass}(\alpha)$  ② else. (then  $V(p)$  will be embedded in some  $V(p')$ )

ex  $P_1 = (x)$ ,  $P_2 = (x,y)$ ,  $\alpha = P_1 \cap P_2^2 = (xy, x^2)$

$\begin{array}{c} \text{minimal} \\ \text{---} \\ \text{isolated} \end{array}$

yes, if not strict.  
 $P_1 \supseteq P_2$ ,  $I(V(P_2)) = P_1 = I(V(P_2)) = P_2$   
contradiction.

Theorem. In any M.P.D.,  $\alpha = \bigcap_j q_j$ ,  $\{q_j\}$  minimal  $= S$ .  $S$  depends only on  $\alpha$ .

more precisely, if  $q_j$  minimal, then  $q_j = \mathcal{T}^*(\alpha)$  with  $\mathcal{T}: A \rightarrow A/q_j$

$\bullet$   $S \subseteq A$ , multiplicative closed. recall:  $p = \text{prime} \rightarrow p \cap S \neq \emptyset$ , then  $\mathcal{T}_p(p) = (1)$   
 $p \cap S = \emptyset$ , then  $\mathcal{T}_p(p) = P$

$\bullet \mathcal{T}^*(\alpha) = \bigcup_s (\alpha : s)$

$x \in \mathcal{T}^*(\alpha) \Leftrightarrow \bigcup_{s \in S} \frac{x}{s} \in \mathcal{T}_s(\alpha) = \left\{ \frac{y}{s} : y \in \alpha, s \in S \right\}$ , ① suppose.  $\frac{x}{s} = \frac{y}{t}, y \in \alpha, s \in S$ .

then  $t(x-y) = 0, \exists t \in S, \Rightarrow stx = yt \in \alpha, \Rightarrow x \in (\alpha : st) \quad \square$

②  $x \in (\alpha : s), \exists s \in S$ , then  $xs = y \in \alpha$ , then  $\frac{x}{s} = \frac{y}{s} \in \mathcal{T}^*(\alpha)$ .

Lemma  $q \subseteq A$  primary,  $S \subseteq A$  multiplicative closed.  $p = \text{rad}(q)$ .

$q \cap S \neq \emptyset \Rightarrow \mathcal{T}^*(q) = (1) \quad | \quad p \cap S = \emptyset, \text{ then } \mathcal{T}^*(q) = \mathcal{T}_p(q) = p$  - primary.

Note:

$S \cap p = \emptyset \Leftrightarrow S \cap q = \emptyset \quad \{ \Rightarrow \text{trivial}$

$\mathcal{T}^*(q) = q$ .

$\Leftrightarrow \text{if } S \cap p \neq \emptyset, \exists t \in S, t^m \in q \cap S, \text{ thus } q \cap S \neq \emptyset$ .

Pf: ① let  $p \cap S \neq \emptyset$ ,  $s \in p \cap S$ ,  $\tau_s(q) = \{ \frac{x}{s} : x \in q, s \in S \}$ . Lec 7-2 (PLD)

Want  $1 \in \tau_s(q)$ , i.e.  $\exists s$  st.  $s \cdot s = xs$ . So: does the job.

② Suppose  $p \cap S = \emptyset$ . then  $\text{rad}(\tau_s(q)) = \tau_s^*(\text{rad}(q)) = \tau_s^*(S^n)$  (since  $S^n \in q$ ).  
Let  $\frac{x}{s}, \frac{y}{t} \in S^{-1}A$ , suppose  $(\frac{x}{s}) \cdot (\frac{y}{t}) \in \tau_s(q)$ .  $\frac{yt}{st} \notin \tau_s(q)$ . Want some  $\left(\frac{xt}{st}\right)^n \in \tau_s(q)$ .

~~③~~ Note, we may assume  $q = (0)$ , since localization is exact and commutes with taking quotients, i.e.  $S^{-1}(A/q) = S^{-1}A/\tau_s(q)$

Want (i)  $\tau_s$ : injective (ii)  $\tau_s(0) = (0)$  is primary.

Now let  $S \cap q = \emptyset$ ,  $q = (0)$ , i.e.  $0 \notin S$ .

(i)  $\tau_s$ : injective, thus  $\tau_s(0) \cap \tau_s^*(0) = \tau_s^*(\tau_s(0)) = 0$ . thus  $\tau_s(q) \cap \tau_s^*(\tau_s(q)) = q$

(ii)

Pf (i):  $S$  contains no zero divisors.  $\Leftrightarrow \tau_s$  is injective

Note:  $0 \subseteq A$  primary  $\Leftrightarrow$  zero-divisors  $\equiv$  nilpotents in  $A$ .

$\Leftrightarrow S$  contains no nilpotents.  $\Leftrightarrow S \cap p = \emptyset \Leftrightarrow S \cap q = \emptyset \Leftrightarrow 0 \notin S$   
 $(0)$  is primary (here  $(0) = q$ )  $(S \cap \text{rad}(0) = \emptyset) \quad (S \cap (0) = \emptyset)$ .

Pf (ii): Check  $\{\text{zero divisors in } S^{-1}A\} = \left\{ \frac{x}{s} : x \in A, s \in S, \text{ s.t. } \exists y/t \in S^{-1}A, \text{ nonzero, s.t. } \frac{x}{s} \cdot \frac{y}{t} = \frac{0}{1} \right\}$   
 $= \left\{ \frac{x}{s} : x \in A, s \in S, \text{ s.t. } \exists y \in A, yt \neq 0, t \in S, \text{ s.t. } \exists u \in S, \text{ with } xyu = 0 \right\}$   
 $\subseteq \left\{ \frac{x}{s} : x \in A, \text{ zero divisor, s.t. } s \in S \right\} = \left\{ \frac{x}{s} : s \in S, x \text{ nilpotent} \right\}$   
 $= \text{Nil}(S^{-1}A)$  (because localization commutes with radicals).

Thus  $\{\text{zero divisors in } S^{-1}A\} \subseteq \text{Nil}(S^{-1}A)$ ,  $(0)_{S^{-1}A}$  is primary.  $\square$  Lem

\* There  $A \supseteq \alpha = \bigcap q_j$ , MPD. if  $p_j \in \text{Ass}'(\alpha) = \{\text{initial elements of Ass}(\alpha)\}$

If  $p_j \in \text{Ass}'(\alpha)$ , then  $q_j = \tau_s^*\tau_s(\alpha)$   $= \{\text{isolated elements of Ass}(\alpha)\}$

where  $\tau: A \rightarrow A_{p_j}$ .

Thus Def: call  $q_j$  the  $p_j$ -primary component of  $\alpha$ .

\* Lem:  $\alpha$  decomposable, then  $\text{Ass}'(\alpha) = \{\text{initial primes containing } \alpha\} = \{p: \text{prime, } p \supseteq \alpha, \text{ if}$

Pf Lem: If  $p$  (prime)  $\supseteq \alpha$ , then  $\exists j$  st.  $p \supseteq p_j$  because  $p \supseteq p' \supseteq \alpha$  then  $p' = p$  (prime).

Note  $r(p) \geq r(\alpha) = \bigcap_{j=1}^n p_j$ , by a theorem,  $p \supseteq p_j$ .

Hence if  $p \in \text{Ass}'(\alpha)$ , and if  $p' \subseteq p$ ,  $p' \supseteq \alpha$ ,

## CA Lec 7-2 (CTD)

Pf Thm:  $\bigcap_{j=1}^n \bigcap_{i=1}^m (q_i \cap p_j) = \bigcap_{j=1}^n \bigcap_{i=1}^m q_i \cap p_j$  (\*) finite intersections  
commute with  $\bigcap$ ,  $\bigcap^*$ .

$$S = A - P_j - S \cap q_j = \emptyset. \quad \zeta_j: A \rightarrow A_{P_j}$$

$i=j$ .  $\begin{cases} q_j & \text{if } i=j \\ \bigcap_{i \neq j} q_i & \text{if } i \neq j \end{cases}$  (general fact)

C this requires the minimal property, i.e.  $S \cap q_i \neq \emptyset$

If  $x \in q_i \setminus q_j$ , then  $x \notin q_j$ ,  $x \notin p_j \Rightarrow x \notin P_j \Rightarrow q_i \neq P_j$

by minimal

Def.  $A$ -mod  $M$  Artin / Artinian IFF either of the following true.

(i) DCC,  $M \supseteq M_1 \supseteq M_2 \supseteq \dots \supseteq M_n \supseteq \dots$  then  $\exists n_0$  s.t.  $M_n = M_{n+1}, \forall n > n_0$

(ii) MIN. every nonempty collection of submodules has a minimal element.

Pf: (i)  $\Leftrightarrow$  (ii) same as Noetherian

Def.  $A$ : Artin as a ring IFF

$A$ : Artin as an  $A$ -mod.

Also.  $A$ : Artin IFF

$A$ : ~~DCC~~ DCC on ideals

OR MIN on ideals.

LEM  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  SES

$M$ : Artin IFF  $M', M''$ : Artin.

Cor Any finitely generated module over

an Artin ring is Artin.

Cor

Cor:  $A$ : Artin  $\Rightarrow A/\alpha$ : Artin,  $\forall \alpha$  ideal

Ex:  $\mathbb{Z}$ : not Artin.  $(2^2) \supset (2^3) \supset \dots$

• Any finite ring: Artin + Noetherian  
e.g.  $\mathbb{Z}/n\mathbb{Z}$ .

• Any finite product of Artin rings is Artin.

$k = \frac{\text{field}}{\text{not field}}, M = (x_1, \dots, x_n) \subseteq k[x_1, \dots, x_n] = A$

then  $A/M$  : Artin,  $\forall \ell \geq 0$

•  $k[x]/[x^2]$  : Artin,  $\forall v \neq 0$  fin dim Vector Space.

•  $k[x^2, x^3]/[x^6]$

•  $k[x]$  not Artin  $(x) \supset (x^2) \supset \dots$

Lem:  $A$ : Artin, then every prime is maximal  
also,  $A$  has only finitely many prime ideals  
then  $\text{Jac}(A) = \text{Nil}(A)$

Pf:  $p$ : prime,  $B = A/p$ , Artin.

①  $B$ : integral domain. Want

Want:  $B$  field.

Let  $0 \neq x \in B$ , Want:  $x \in B^\times$

let  $(x) \supseteq (x^2) \supseteq (x^3) \supseteq \dots$

then  $\exists n_0$  s.t.  $(x^n) = (x^{n+1})$ .

i.e.  $x^n = ux^{n+1}$ ,  $u \in B$ ,

then  $(u-1)x^n = 0$ , thus  $ux = 1$ .  
 $(x \neq 0)$ .

thus  $x \in B^\times$ .

② let  $M_1, M_2, \dots$  (distinct) maximal ideals

$M_1 \supseteq (M_1 \cap M_2) \supseteq \dots$

$\exists n_0$  s.t.  $\bigcap_{i=1}^{n_0} M_i = \bigcap_{i=1}^{\infty} M_i = M_{n_0}, \forall n > n_0$

thus  $M_n \supseteq M_1 \cap \dots \cap M_{n_0}, \forall n > n_0$ .

by "prime": then  $M_n \supseteq M_j, \exists j \leq n_0$

by "maximal", then  $M_n = M_j, \exists j \leq n_0$ .

Thus there is only finitely many distinct

(If not, use the following above trick and get a contradiction)

Prop:  $A$ : Artin,  $\Rightarrow$

$N = N\mathbb{N}(A)$  is nilpotent.

i.e.  $\exists n \geq 0, N^n = (0)$ .

Note, let  $A = \bigoplus_{i=1}^n k[x_i]/(x_i^i)$

$$N = \bigoplus_{i=1}^n (\bar{x}_i) \subseteq k[x_i]/(x_i^i)$$

then  $N^n = (0)$ .

$N^{n+1} \neq (0)$ .

If  $n < \infty$ , then  $A$ : Artin

then  $A$ : not Artin, and  $N$  not Nilpotent

Proof:  $J = N\mathbb{N}(A) = \text{Jac}(A)$ .

$$J \supseteq J^2 \supseteq J^3 \dots$$

$$\exists n \text{ s.t. } J^n = J^{n+1} \text{ Want } J^n = (0)$$

$$\text{let } I = J^n$$

$$J^n I = I,$$

We have  $JI = I$  if  $I$ : finitely generated.

then by Nakayama,  $I = (0)$ .

Suppose  $I \neq (0)$ .

$b$ : minimal element of  $\{ \text{ideals } b \subseteq I, J^k b \neq (0) \}$

then  $\exists 0 \neq x \in b$  with  $J^k x \neq (0)$

then  $(x) \subseteq b \subseteq I, J(x) \neq (0)$ , by minimality,  $b = (x)$ .

$$\text{then } J^n(x) = J^{n+1}(x) = J \cdot J^n(x)$$

Want:  $J^n(x) = (x)$ .

If not, then  $J^n(x)$ : non-zero subideal of  $x$ .

with  $J^n J(x) \neq 0$  by minimality of  $(x)$ .

$= J^{n+1}(x)$ .

then  $J(x) = (x)$ .  $(x)$  is prime

then by NAK,

# 1<sup>st</sup> Uniqueness Theorem of Primary Decomposition

Lec 7-1 Revisited

Theorem 1) (Lec 6-2).

$\alpha = p_1 \cap \dots \cap p_n$  M.P.D, then  $\text{Ass}(\alpha) = \{p_1, \dots, p_n\} = p_i = \text{rad}(p_i)$

Recall:  $\text{Ass}(\alpha) = \{p = \text{rad}(\alpha : x), x \in A \mid p \text{ prime}\}$

Note:  $p_i$  : distinct by minimal primary decomposition (MPD).

$\nabla$  ( $p_i$  omitted later)

Len 2).  $q = p$ -primary,  $x \in A$ . then

(1)  $x \in q, (q:x) = (1)$

(2)  $x \notin q, (q:x) = p$ -primary

(3)  $x \notin p, (q:x) = q$ .

Pf: (1)  $\checkmark$

(ii). <primary> let  $y \in (q:x)$ .  $y \notin (q:x)$

then  $(xy)^n \in q$ , with  $xy \notin q$ .  $\checkmark$

then  $x^n \in q, \exists n. \checkmark$

<rad = p>.

$(q:x) \geq q, r(q:x) \geq r(q) = p$ .

let  $y \in r(q:x)$ , i.e.  $y^n \in (q:x), \exists n$ .

i.e.  $zy^n \in q, (xq), \exists m, (y^m)^n \in q$ .

thus  $y \in r(q) = p$  hence  $r(q:x) \subseteq p$ .

(iii)  $(q:x) \geq p \checkmark$

let  $y \in (q:x)$ ,  $xy \in q, (x \notin p)$ ,

~~thus  $\exists n, y^n \in q$ .  $\forall n, x^n \notin q$ .~~

then  $y \in q$ .  $(q:x) \subseteq q \checkmark \square$

Len 5).

A: Noetherian,  $q$ :  $p$ -primary.

$\Rightarrow \exists x \in A$ , s.t.  $(q:x) = \text{rad}(q)$ .

Pf: since  $(q:x) = \text{rad}(q) \neq (1)$   
 $\wedge$  (prime)  
 $x \notin q$ .

(i)  $(q:x) \subseteq p$ , by Len 2).

$\text{rad}(q:x) = p$ .  $\checkmark$

(ii)  $(q:x) \geq p$ .

Claim ①  $\exists m$ , s.t.  $p^m \subseteq q$ .

Bec: let  $\hat{p} = \langle x_1, \dots, x_n \rangle$  generators.

let  $k_1, \dots, k_n$ , s.t.  $x_i^{k_i} \in q$ .

$k = \sum k_i$ ,  $p^K$  generated by  $T_i$

$T_i = \{(x_1^{d_1} \dots x_n^{d_n}) \mid \sum d_i = k\}$

Bec

Bec: let  $r \in p^K$ ,

$$r = \sum_{\text{finite}} a_i^j t_i^j \rightarrow r_i^j \quad (t_1^j, \dots, t_k^j \in \hat{p}) \quad (a_i^j \in A)$$

$$r = \sum_{\text{finite}} a_i^j (t_{i1}^j x_1 + \dots + t_{in}^j x_n) \dots (t_{k1}^j x_1 + \dots + t_{kn}^j x_n)$$

$$= \sum_{\text{finite}} \otimes x_1^{d_1} \dots x_n^{d_n} \quad \text{where } \sum d_i = k$$

$$\forall x_1^{d_1} \dots x_n^{d_n} \in q,$$

$$\text{thus } p^K \subseteq q$$

Now, let  $p^{n_0}$  has the least no, s.t.  $p^{n_0} \subseteq q$ .

i.e.  $p^{n_0} \in q, p^{n_0+1} \notin q \quad (p^{n_0} \geq 1)$

Note:  $\alpha^0$  (zero power of an ideal) = (1).

$\therefore \exists x \in p^{n_0+1}$  s.t.  $x \notin q$ .

If  $y \in p$ , then  $xy \in p \cdot p = p^{n_0+1} \subseteq q$ .

~~$x \notin q$ , thus  $y \in q$~~

hence  $p \subseteq (q:x)$   $\square$

Pf Theorem 1).

(i) Show  $\forall i, p_i \in \text{Ass}(\alpha)$

i.e.  $\exists x_i, \text{s.t. } (q_i : x_i) = p_i \cdot p_i$ .  $\forall i$

$$r((\alpha : x)) = r((\cap (q_i : x))) \alpha$$

$$= r((\cap (q_i : x))) = \bigcap_{i=1}^n r(q_i : x)$$

If  $x \in q_i$ ,  $r(q_i : x) = r((1)) = (1)$

$r((\alpha : x)) = \bigcap_{x \notin q_i} r(q_i : x)$ , if such  $x$  exists.

by M.P.D,  $\forall i, q_i \nsubseteq \bigcap_{j \neq i} q_j$

i.e.  $\forall i, \exists x_i, \text{s.t. } x \in \bigcap_{j \neq i} q_j, x \notin q_i$ .

Hence  $\forall i, \exists x_i, \text{s.t. } r((\alpha : x)) = r(q_i : x) = p_i$

(ii) Show if  $p = r((\alpha : x))$ , is prime, then

$\exists i, \text{s.t. } p = p_i$ .

Then  $p = \bigcap_{i=1}^n r((q_i : x))$  [by Len 2]  $= p_i \bigcap_{i \neq j} p_i$

by a theorem,  $p \supseteq p_i, \forall i, x \notin p_i$ .

also  $\forall i, p_i \supseteq p$ . ( $\forall i, x \notin p_i$ )

thus  $\forall i, p = p_i$ .  $\square$

Corollary:

A. Noetherian  $\Rightarrow \forall i, \exists x_i, s.t. (\alpha : x_i) = p_i$ .

Pf: by Lm 5),  $\forall i, \exists x_i, s.t. (q_i : x_i) = p_i, \forall i$   
 $(\alpha : x) = \bigcap_{i=1}^n (q_i : x) \neq$   
 $= \bigcap_{i \neq j} (q_i : x) \text{ if } n \geq 2.$

Next, such  $x_i \in (\alpha)$ ,  $x_i \neq q_i$ ,  $x_i \in \left(\bigcap_{j \neq i} q_j\right)$

Def Zero-divisor mod  $\alpha \subseteq A$

$Z(\alpha) = \{x \in A \mid [x] \text{ zero divisor in } A/\alpha\}$

$$= \{x \in A \mid \exists y \notin \alpha, s.t. xy \in \alpha\}$$

$$(\ast 1) = \bigcup_{y \in A - \alpha} \text{rad}(\alpha : y).$$

Pf (\*1): Show  $\text{Type}(A - \alpha)$ ,  $(\alpha : y) = \text{rad}(\alpha : y)$ ,

let  $x^n \in (\alpha : y)$ , then  $x^n y \in \alpha$  ( $n \geq 1$ )

let  $x^n$  be of the least no s.t.  $x^n y \in \alpha$

then  $x \cdot \underbrace{x^{n-1} y}_{\notin \alpha} \in \alpha$ .

①  $\forall (a : y), (a : y) \subseteq \text{rad}(\alpha : y)$ . ✓

② let  $x \in \bigcup_{y \in A - \alpha} \text{rad}(\alpha : y)$ ,

i.e.  $\exists y \in A - \alpha$ , s.t.  $x \in \text{rad}(\alpha : y)$

i.e.  $\exists y \in A - \alpha, \exists n \in \mathbb{N}, x^n y \in \alpha$

let  $x^{n_0}$  be of least no s.t.  $x^{n_0} y \in \alpha$ .  $n_0 \geq 1$

then  $x \cdot \underbrace{x^{n_0-1} y}_{\notin \alpha} \in \alpha$ .

hence  $\exists y' = x^{n_0-1} y \notin \alpha$ , s.t.

$x \in (\alpha : y') \subseteq \bigcup_{y \in A - \alpha} (\alpha : y)$ .

hence  $\bigcup_{y \in A - \alpha} \text{rad}(\alpha : y) \subseteq \bigcup_{y \in A - \alpha} (\alpha : y)$  ✓  $\square$

Example

Lec 7-1 Revisited.  
 & Lec 7-2

- Example.

• Proposition.

$\alpha = \bigcap_{j=1}^n q_j$ . M.P.D  $\Rightarrow$  then  $Z(\alpha) = \bigcup_{j=1}^n P_j$

$$\text{Pf: } \emptyset \cup_{j=1}^n P_j \subseteq Z(\alpha)$$

Want. If  $x \notin Z(\alpha)$ , then  $x \notin P_j, \forall j$

If  $\exists j, \text{s.t. } x \in P_j$ , then  $x \in Z(\alpha)$

Let  $x \in P_j$ ,  $\exists y_j, \text{s.t. } \text{rad}(\alpha:y_j) = P_j$ .

(by the theorem that,  $\text{Ass}(\alpha) = \{P_1, \dots, P_n\}$ ,

thus  $y_j \notin P_j$ ,  $y_j \notin \alpha = \bigcap_{j=1}^n q_j$

hence  $x \in P_j = \text{rad}(\alpha:y_j)$  ( $y_j \notin \alpha$ )

thus  $x \in Z(\alpha)$  ✓

$$\textcircled{2} \quad Z(\alpha) \subseteq \bigcup_{j=1}^n P_j$$

Want  $x \in Z(\alpha) = \bigcup_{y \neq \alpha} \text{rad}(\alpha:y)$ , then

$\exists j \text{ s.t. } x \in P_j$ .

then  $x \in \text{rad}(\alpha:y) \exists y \notin \alpha$ .

where  $\text{rad}(\alpha:y)$  is prime because,  
 $(\alpha:y)$  is prime.

Want  $\text{rad}(\alpha:y)$  prime.

Note  $x \in Z(\alpha) \Leftrightarrow (\alpha:x) \nmid \alpha$

If  $x \notin P_j, \forall j$ , then  $(\alpha:x) = \alpha$   $\textcircled{*1}$

with  $\textcircled{*1}\textcircled{*2}$  we can proof by contradiction.

$\textcircled{*1} \quad x \in Z(\alpha) \Rightarrow$

$\Leftrightarrow \exists y \notin \alpha, \text{s.t. } x \in \text{rad}(\alpha:y)$ .

i.e.  $\exists y \notin \alpha, \exists n, \text{s.t. } x^n y \in \alpha$ .

let  $n_0$  be the least... s.t.  $x^{n_0} y \in \alpha$  ( $n_0 \geq 1$ )

then  $x^{n_0} y \notin \alpha$ ,

$\Rightarrow$  thus  $\exists t = x^{n_0} y - \text{s.t.}$

$t \notin \alpha, t x \in \alpha, \text{i.e. } t \in (\alpha:x)$

$\Leftrightarrow$  hence  $(\alpha:x) \nmid \alpha$

$\textcircled{*2} \quad (\alpha:x) = \alpha$

$\forall j, x \notin P_j \Rightarrow$  then  $(q_j:x) = q_j, \forall j$

$\Rightarrow (\alpha:x) = \bigcap_{j=1}^n (q_j:x) = \bigcap_{j=1}^n q_j = \alpha$

• Def isolated/minimal, embedded.

$\forall p \in \text{Ass}(\alpha)$ ,

if  $p$  minimal element of  $\text{Ass}(\alpha)$ , i.e.

$\forall p' \in \text{Ass}(\alpha), p \nmid p'$  ( $p$  is not strictly less)

then  $p$ : isolated/minimal

else,  $p$  is embedded.

• Rank. If  $p$  embedded, i.e.  $\exists p' \in \text{Ass}(\alpha)$ ,

s.t.  $p \nmid p'$ , then  $V(p') \subset V(p)$ .

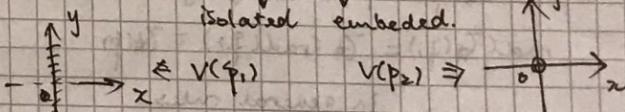
Bec: if  $V(p') = V(p)$ , then

$I(V(p')) = I(V(p))$ , i.e.  $p = p'$ .

EX:  $A = k[x, y], P_1 = (x), P_2 = (x, y)$

let  $\alpha = P_1 \wedge P_2 \nmid P_1$

$\downarrow$  isolated  $\downarrow$  embedded.



□

Theorem (2<sup>nd</sup> Uniqueness)

$$q = \bigcap_{i=1}^n q_i, \text{ M.P.D.}$$

If  $q_i$  is isolated, then

$$q_i = \tau_i^* \tau_i(q) \text{,}$$

where  $\tau_i : A \rightarrow A_{q_i}$



• Lemma:  $q$  is  $p$ -primary

$S \subseteq A$  multiplicatively closed.

$$\tau : A \rightarrow S^{-1}A.$$

then

$$\textcircled{1} S \cap p \neq \emptyset, \text{ then } \tau(q) = (1)$$

$$\textcircled{2} S \cap p = \emptyset \Rightarrow \text{then } \tau(q) = \tau(p) \text{-primary}$$

$$\text{also } \tau^* \tau(q) = q$$

Pf: First Note:

$$S \cap p = \emptyset \text{ IFF } S \cap q = \emptyset \quad (\star)$$

(\star):  $\Rightarrow \checkmark$

$\Leftarrow$  if  $\exists s \in S \cap p$ , then  $\exists n$  st.  $s^n \in q$ .

$s^n \in S$  by multiplicative closed.

then  $S \cap q \neq \emptyset \quad \checkmark$

$$\textcircled{1} \text{ If } s \in S \cap q, \text{ then } s \mapsto \frac{s}{1} \in \tau(q)$$

Since  $\tau(q)$  ideal in  $S^{-1}A$ ,

$$\frac{\frac{s}{1} \cdot \frac{1}{s}}{\tau(q)} = \frac{1}{1} \in \tau(q).$$

thus  $\tau(q) = (1)$ .

$\textcircled{2} \tau^*(\tau(q)) = q$  is true for general ideals in  $A$ .

$$\text{rad}(\tau(q)) = \tau(\text{rad}(q)) = \tau(p)$$

in general rules.

Want  $\tau(q)$ : primary.

Note:  $\tau^*(\tau(b)) = b$  for general ideals.

but not the other way around.

(i) Want  $\tau^*(\tau(q)) = q$ .

$$\text{Enough: } \tau^*(\tau(q)) \subseteq q \quad (\star)$$

See  $\tau^*(\tau(q)) \supseteq q$  in general

$$t \in \tau^*(\tau(q)) \Leftrightarrow \frac{t}{1} \in \tau(q) = \left\{ \frac{x \in q}{s \in S} \right\}$$

$$\Leftrightarrow \exists s' \in S, \text{ st. } ts' = \underline{x}s' \in q$$

$ss' \in S$ , thus  $ss' \notin p$ ,  $(\forall m, (ss')^m \notin q)$

thus  $t \in q$ . (by the primeness of  $q$ )

(\star)  $\checkmark$

(ii) Want  $\tau(q) = \text{primary}$ .

Use the "zero notation".

Note:

$A$  (ring),  $b \subseteq A$  (ideal),  $S \subseteq A$ , multiplicative closed.

$$\text{Then: } S^{-1}(A/b) \cong (S^{-1}A)/\tau(q)$$

as ring homomorphisms.

$$\text{by identifying } \text{mod } \frac{[x]}{[s]} \xrightarrow{\text{as}} \frac{x}{s} + \tau(q)$$

Check:

\textcircled{1} well-definedness well-defined.

If  $x' - x = b \in b$ , then

$$\frac{x'}{s} - \frac{x}{s} = \frac{b}{s} \in \tau(q)$$

If  $s' - s = b \in b$ , then

$$\frac{x}{s'} - \frac{x}{s} = \frac{-xb}{s(s+b)} = \frac{-x}{s} \cdot \frac{b}{1} \in \tau(q)$$

$$\textcircled{2} \frac{[1]}{[2]} \mapsto \frac{1}{1} \cdot \frac{1}{1} + \tau(q)$$

$$\textcircled{3} \frac{(x_1 + x_2)}{[s_1][s_2]} = \frac{[(x_1 s_2 + x_2 s_1)]}{[s_1 s_2]} \Rightarrow \frac{x_1}{s_1} + \frac{x_2}{s_2} + \tau(q)$$

$$\textcircled{4} \frac{[x_1]}{[s_1]} \cdot \frac{[x_2]}{[s_2]} \mapsto \frac{x_1}{s_1} \cdot \frac{x_2}{s_2} + \tau(q)$$

\textcircled{5} surjectivity  $\checkmark$

injectivity, if  $\frac{x_1}{s_1} \in \tau(q)$ , then

$$\exists b \in b, s \in S, s \text{ s.t. } \frac{x_1}{s_1} = \frac{b}{s}.$$

$$\text{thus } \frac{[x_1]}{[s_1]} = \frac{[b]}{[s]} = \frac{[0]}{[1]} \quad \checkmark$$

Hence:

$$S^{-1}(A/q) \cong (S^{-1}A)/\tau(q).$$

Note,  $A \supseteq a$ ,  $a$  primary  $\Leftrightarrow$  primary in  $A/a$ .  
OR  $\Leftrightarrow$  zero divisors in  $A/a$  are nilpotents.

Thus:

$\tau(q)$  primary  $\Leftrightarrow$  zero divisors in  $(S^{-1}A)/\tau(q)$  are nilpotents.

Since  $q$  primary,  $A/q$  primary in  $A/q$ .

OR i.e. zero divisors in  $A/q$  are nilpotents

$$\text{Now let } \frac{[x_1]}{[s_1]} \cdot \frac{[x_2]}{[s_2]} = \frac{[0]}{[1]}$$

$$\text{with: } \frac{[x_1]}{[s_1]} \neq \frac{[0]}{[1]}, \text{ i.e. } \nexists [s_1], [x_1] \neq [0]$$

$$\text{then } \exists [s_4], \text{ s.t. } \cancel{\underline{x}_1} \cancel{\underline{x}_2} s_4 = [0]$$

thus  $\underline{x}_1$  zero divisor, thus  $\underline{x}_1$  nilpotent.

$$\text{then } \frac{[x_1]}{[s_1]} \text{ also nilpotent.}$$

Pg 1 (CTD) Lec 7-1 & Lec 7-2 Revisited pf Theorem : 2<sup>nd</sup> Uniqueness.

Hence  $S^*(A/\mathfrak{a})$  pr=zero divisors  $\Rightarrow$  nilpotents,  $\therefore \mathfrak{a} \subseteq A$ ,  $\mathfrak{a} = \bigcap_{i=1}^n q_i$  M.P.D.

thus  $\text{rk}(q)$  primary.

□

• Lem.

$\mathfrak{a}$  = decomposable.

then  $\text{Ass}'(\mathfrak{a}) = \{\text{minimal primes containing } \mathfrak{a}\} = T$

$$\stackrel{\text{i.e.}}{=} \{p \geq \mathfrak{a} \text{ prime} \mid \text{if } p \geq p \geq \mathfrak{a}, \text{ then } p = p\}$$

Recall:  $\text{Ass}'(\mathfrak{a}) = \{\text{minimal elements of } \mathfrak{a} \text{ Ass}(\mathfrak{a})\}$

PF: Let  $\mathfrak{a} = \bigcap_{i=1}^n q_i$ ,  $r(\mathfrak{a}) = \bigcap_{i=1}^n p_i$

①  $\text{Ass}'(\mathfrak{a}) \subseteq T$

If  $p_j \in \text{Ass}'(\mathfrak{a})$ , then  $p_j$  prime,  $p_j \geq \mathfrak{a}$ .

Want  $p_j \geq p \geq \mathfrak{a}$ , then  $p_j = p$ .

$$p' = r(p) \geq r(\mathfrak{a}) = \bigcap_{i=1}^n p_i$$

thus  $\exists i$ , s.t.  $p' \geq p_i$ .

also,  $\forall i, p_i, q_i \geq p'$  then  $p_j \geq p' \geq p_i$

thus  $p \geq p = p_i \geq \mathfrak{a}$ . by minimality of  $p_j$ ,

$$p_j = p' = p_i$$

by minimality in  $\text{Ass}'(\mathfrak{a})$  thus  $p_j \in T$ .

$$p = p_i = p'$$

②  $T \subseteq \text{Ass}'(\mathfrak{a})$

let  $p \in T$ ,

$$\text{then } p = r(p) \geq r(\mathfrak{a}) = \bigcap_{i=1}^n p_i$$

thus  $\exists i, p \geq p_i \geq \mathfrak{a}$

by minimality in  $T$ ,  $p = p_i$

thus we want to show if  $p_j \in \text{Ass}(\mathfrak{a})$ ,

$p_j \in p_i$ , then  $p_j = p_i$ .

this also follows from minimality in  $T$

thus  $p = p_i \in \text{Ass}'(\mathfrak{a})$

□

~~ideal~~  $\# q_j \in \text{Ass}'(\mathfrak{a})$ , then

If  $p_j \in \text{Ass}'(\mathfrak{a})$ , then

$$q_j = \bigcap_{i=1}^n \bar{q}_i \bar{q}_j^*(\mathfrak{a}), \text{ where } \bar{q}_i: A \rightarrow A_{q_i}$$

such  $\bar{q}_i$ : the  $q_i$ -primary component of  $\mathfrak{a}$ .  
Pf:

Claim:  $p_j$  : minimal / isolated, ~~then~~

$$S_j = A - q_j, \text{ then}$$

$$S_j \cap q_i = \emptyset \Leftrightarrow q_i = q_j \quad (i=j) \quad (\star)$$

(i)  $\Leftarrow \checkmark$

$$(ii) \Rightarrow S_j \cap q_i = \emptyset, \text{ then } q_i \subseteq p_j$$

then  $p_i = r(q_i) \subseteq r(p_j) = p_j$

by minimality of  $p_j$ ,  $p_i = p_j$

by distinctivity of M.P.D.,  $i=j$ .

$$\text{Now, } \bigcap_{i=1}^n \bar{q}_i \bar{q}_j^*(\mathfrak{a}) = \bigcap_{i=1}^n \bar{q}_j \bar{q}_j^*(q_j) \quad \text{general rules}$$

by  $(\star)$ .  $= q_j$

□

and 3 will be same

## 3 Artin Rings.

## Lec7-1 & 7-2 Revised Example Non Artin Rngs

$\mathbb{Z}$ ,  $\exists (2) \subsetneq (2^2) \subsetneq (2^3) \dots$

strictly decreasing, infinite length.

## Example Finite Rings

A finite ring = both Artin + Noetherian.

Recall: a finitely generated module over a field is simply a vector space.

## Example

### Lemma Finite Dim V.S. : Artin

A finitely generated  $K$ -mod with  $K$ : field, is Artin because a strictly decreasing chain of submodules are subspaces with strictly decreasing dim.

### Example Finite Dim V.S. : Artin

$k$ : field,  $A = k[x_1, \dots, x_n]$ ,  $K$ -mod.

$M = (x_1, \dots, x_n)$   $\text{as } M \text{ is composed of the zero polynomial and polynomials with at least degree } l.$

$\forall l \geq 0$ ,  $M^l$  is composed of zero and polynomials of degree at least  $l$  ( $M^l = \frac{A}{(x_1, \dots, x_n)^l} = (I)$ )

$k[x_1, \dots, x_n]/M^l$  is generated by these representatives: polynomials with degree less than  $l$ , which can be generated with  $(0, x_1, x_2, \dots, x_n, x_1x_2, \dots, x_{n-1}x_n, x_n^2, \dots, x_n^{l-1})$ , which is finite.

Thus  $A/M^l$ : finitely generated  $K$ -mod

Thus Artin.

## Examples

$$k[x^2, x^3] = (x^2, x^3) \subseteq k[x].$$

$$k[x^2, x^3]/(x^6) \text{ Artin.}$$

$$k[x] \text{ not Artin. } (x) \supsetneq (x^2) \supsetneq (x^3) \dots$$

## Lemma $A/\alpha$ Artin

$A$ : Artin,  $\alpha$ : Videal  $\subseteq A$ .

Then  $A/\alpha$ : Artin

Pf: Get SES

$$0 \rightarrow \ker(\pi) \xrightarrow{\hookrightarrow} A \xrightarrow{\pi} A/\alpha \rightarrow 0$$

$\pi$  surjective

Thus  $\alpha$  &  $A/\alpha$  both Artin.

## Lem Artin Rig. Prime VS Maximals

Given  $A$ : Artin, then prime ideals are maximal.  $\text{Jac}(A) = \text{Nil}(A)$ .

Also,  $A$  has finitely many distinct prime/maximal ideals. i.e.  $A$ : semi-local

Pf:

①  $\mathfrak{p}$ : prime,  $A/\mathfrak{p}$ : integral domain

Want  $A/\mathfrak{p}$ : maximal field

Take  $x \in A$ ,  $(x)$  in  $A/\mathfrak{p}$ ,  $x \notin \mathfrak{p}$

$$(\langle x \rangle) \supseteq (\langle x^2 \rangle) \supseteq (\langle x^3 \rangle) \dots$$

by  $A/\mathfrak{p}$ : Artin.

$$\exists n \text{ st. } \langle x^n \rangle = \langle x^{n+1} \rangle$$

i.e.  $\exists u \in A$  st.

$$\langle u \rangle \langle x^{n+1} \rangle = \langle x^n \rangle = \langle u \rangle \cdot \langle x^n \rangle \subset \langle x \rangle$$

Since  $A/\mathfrak{p}$ : integral domain.

$$\text{Get } \langle u \rangle \langle x \rangle = 1$$

Thus  $\forall x \neq 0$ ,  $\langle x \rangle \in (A/\mathfrak{p})^\times$  (units)

Then  $A/\mathfrak{p}$ : field.

② Assume  $A$  has infinitely many prime/max : distinct

$M_1, M_2, \dots$

$$\text{Then } M_1 \supseteq (M_1 \cap M_2) \supseteq (M_1 \cap M_2 \cap M_3) \dots$$

by Artinian,

$$\exists n \text{ st. } \bigcap_{i=1}^{n+1} M_i = \bigcap_{i=1}^n M_i$$

$$\text{Then } M_{n+1} \supseteq \left( \bigcap_{i=1}^n M_i \right)$$

by "prime",  $M_{n+1} \supseteq M_j$ ,  $\forall j \leq n$

by "maximal",  $M_{n+1} = M_j$ ,  $\forall j \leq n$

Contradiction to the assumption.  $\square$

## Recall NKY (Nakayama)

$M$ : fin gen  $R$ -mod.  $\# \mathfrak{a} \in \text{Jac}(R)$

$\mathfrak{a}M = M$  then  $M = 0$  ideal

$\leftarrow$  contradiction

## (\*) Lem Commutative Artin Rings are Noetherian

$A$ : Artin Rig. Then  $A$ : Noetherian

Pf:  $I$ : ideal. Want  $I$ : finitely generated.

If  $I$  not fin gen, let  $S_0$  be the set of generators.  $\leftarrow$  of the set of generators  
? How to make sure on the minimal property  
then get  $\{x_1, x_2, \dots, x_n, \dots\}$  a infinite lot of distinct  
elements in  $S_0$ , let  $S'_0 = \{x_1, x_2, \dots\} \subset S - S/\{x_1, x_2, \dots\}$

$$\text{Then let } S_n (n \geq 1) = \{x_n, x_{n+1}, \dots\}$$

$$\text{Then } I = \langle \# S_0' \cup S_1 \rangle \supsetneq \langle S'_0 \cup S_2 \rangle \supsetneq \dots \supsetneq \langle S'_0 \cup S_n \rangle \dots$$

is a strictly decreasing chain of ideals in  $A$ .

Contradiction.  $\square$

## (\*\*) Lem $A$ : Artin then $\text{Nil}(A)$ "nilpotent"

$A$ : Artin, then  $\exists n$  st.  $\text{Nil}(A)^n = \{0\}$ .  $\leftarrow$   $n \geq 0$

Pf:

Let  $J = \text{Nil}(A) = \text{Jac}(A)$

$$\text{Get } J \supseteq J^2 \supseteq \dots \supseteq J^n \dots$$

by "Artin",  $\exists n$  st.  $J^n = J^{n+1}$

Let  $I = J^n$ . then  $JI = J$ ,  $I$ : fin gen by above lemma  
 $\not\models I$  by NKY.  $I = 0$   $\square$

(\*\*\*) Note: Artin  $\Rightarrow$  Noetherian is prove in Pg 7,  
where it uses Artin  $\Rightarrow$   $\text{Nil}(A)$  "nilpotent", thus  
here in the lemma should we something else.

CA Exercise Class W8

EX 1.  $A = k[x, y, z]$ .

$\mathfrak{a}_1 = (x, y, z)$  not primary

$\mathfrak{a}_2 = (x, y^3 z)$  not primary  $= (x, y^3) \cap (x, z)$  prime thus primary.  
 $k[x, y, z]/(x, y^3 z) \cong k[y, z]/(y^3)$

The  $f(y, z) \in y^3, g(y, z)$ -map  $x \mapsto 0$  is an isomorphism.

(\*)  $\exists g(y, z) \in (y^3)$ , then  $f(y, z) \cdot g(y, z) \in (y^3)$

Want  $f''(y, z) \in (y^3)$ .

thus  $f^3(y, z) \in (y)^3$  ✓

$$f = y \cdot h(y, z)$$

Here (\*) shows that  $(x, y^3)$  primary.

EX 2.  $A = k[x, y, z]$ .  $\mathfrak{a} = (xy, xz) = (x) \cap (y, z)$ .

EX 3.  $A = k[x, y, z]$ ,  $\mathfrak{a} = (x(x-y), xz) = (x) \cap (x-y, z)$

~~Ex 4.~~

then  $A/\mathfrak{a} = k[x, y, z]/(x, y, z)$  is prime.

$k[x, y, z]/(x-y, z) \cong k[x, y]/(x-y) \cong k[x]$ .

sent  $x \mapsto z, y \mapsto x$ , then  $(x-y) \mapsto 0$ .

$$\begin{aligned} \ker(\phi) &= \{p \in k[x, y] \mid (\cancel{x}, \cancel{y}) \nmid p\} \\ &= \{(1, x, y) \mapsto k_1 + k_2 x + k_3 y = k_1(k_2 + k_3)x = 0 \\ &= (x-y)\} \end{aligned}$$

Ex 4.  $A = k[x, y, z, w]$ .  $\mathfrak{a} = (x) \cap (y) \cap (z-w, z^2)$

$$x=0, y=0, z=w, z=0.$$

→ primary.

Ex 5.  $A = k[x, y, z]$ .  $\mathfrak{a} = (x^3, xy) \cap (x^2, z)$  ✓ primary

$$= (x) \cap (x^2y) \cap (x^2, z) = (x) \cap (x^3, y) \cap (x^2, z)$$

Ex 6.  $\mathfrak{a} = (x^2 - y, 2x^2 + xz - z^2)$

$$x^2 = y, \quad 2x^2 + xz - z^2 = (x+1)(2x-z).$$

$$= (u, v) \cap (u, w) = (x^2 - y, x+z) \cap (x^2 - y, \cancel{2x-z})$$

primary

Artinian Rigs.

$A = \mathbb{Z}$ ,  $p$ : prime number,  $M = \mathbb{Z}(\frac{1}{p})/\mathbb{Z}$  is a  $\mathbb{Z}$ -mod.

Claim: Artinian by not Noetherian.

Pf: Submodules of  $M$ : generated by  $\frac{1}{pn} + \mathbb{Z}$

let  $(a, p) = 1$ , then  $a$  submodule contains  $\frac{a}{pn} + \mathbb{Z}$ , ✓

by  $\exists \bar{a} \in \mathbb{Z}$ , s.t.  $a\bar{a} \equiv 1 \pmod{p^n}$ .

$$\bar{a}(\frac{a}{pn} + \mathbb{Z}) = \frac{\bar{a}a}{pn} + \mathbb{Z} = \frac{1}{pn} + \mathbb{Z}.$$

then  $(\frac{1}{pn} + \mathbb{Z}) \subsetneq (\frac{1}{p^2} + \mathbb{Z}) \subsetneq (\frac{1}{p^3} + \mathbb{Z}) \dots$

thus not Noetherian.

Every descending chain works like.

$$(\frac{1}{n_2} + \mathbb{Z}) \supseteq (\frac{1}{n_3} + \mathbb{Z}) \supseteq (\frac{1}{n_4} + \mathbb{Z}) \dots$$

$$V_1, \left(\frac{1}{n_{k+1}} + \mathbb{Z}\right) \supseteq \left(\frac{1}{n_i} + \mathbb{Z}\right)$$

$$\text{i.e. } \exists a_k \in \mathbb{Z}, \frac{a_k}{n_k} + \mathbb{Z} = \frac{1}{n_{k+1}} + \mathbb{Z} \\ a_k + \mathbb{Z} = \frac{n_k}{n_{k+1}} + \mathbb{Z} = \mathbb{Z}$$

thus  $n_{k+1} \mid n_k$ .

Lec 8-1

### Krull dim of a nonzero ring A

$\dim(A) = \sup \{ r \geq 0 \mid \exists \text{ chain of primes in } A \text{ of length } r: p_0 \subsetneq p_1 \subsetneq p_2 \subsetneq \dots \}$

Examples:  $k$ : field,  $(0)$  only prime.

$$\dim(k) = 0$$

$$\mathbb{Z}, \dim(\mathbb{Z}) = 1$$

$$R[x, y, z], k\text{-field}, \dim(R[x, y, z]) = 3$$

use Lemb:  $\dim R[x_1, \dots, x_n] = \dim R + n$

$\cdot \dim(A) = 0 \Leftrightarrow \text{primes are maximal}$

### Theorem Artin vs Noetherian

$A: \text{Artin} \Leftrightarrow A: \text{Noetherian} \wedge \dim(A) = 0$

Pf: ~~Induction on r.~~

$A: \text{Noetherian}, \exists \text{ ideal}$

Lemb<sup>2</sup>  $A: \text{Noetherian}, \exists \text{ ideal}$ .

$$\exists n \geq 0, \text{ s.t. } M_0^n \subseteq \mathfrak{a}$$

Pf:  $r(\mathfrak{a})$  fin gen  $r(\mathfrak{a}) = (x_1, \dots, x_r)$

Let  $N$  large, s.t.  $x_j^N \in \mathfrak{a}, \forall j = 1, \dots, r$ .

$$\forall x \in r(\mathfrak{a}), x = \sum_{j=1}^r a_j x_j$$

$(x^N = (\sum a_j x_j)^N = A\text{-linear combination of}$

$$x_1^N \cdots x_r^N \text{ s.t. } \sum_{j=1}^r a_j = N$$

Let  $n$  large enough i.e.  $n > r \cdot N$ .

then  $\exists j \in \mathbb{Z}, q_j \geq N$ .

then  $x^{q_j} \in \mathfrak{a}$ .

→ This should be  $\prod_{j=1}^r x_j^k$  with  $x^k \in r(\mathfrak{a})$  they by Lemb,  $A: \text{Noeth} (+ \dim = 0)$  (See P99 for more)

(Suppl.)

Corollary:  $A: \text{Noetherian}, \mathfrak{q}: \text{primary}$

$$\mathfrak{p} = \text{rcg}_1 \quad \exists n \geq 0, \mathfrak{q} \supseteq \mathfrak{p}^n$$

□

Lemb<sup>2</sup>  $(0) \subseteq A, (0)$  is a finite product of maximal ideals then  $A: \text{Artinian} \Leftrightarrow A: \text{Noeth}$

Pf:  $(0) = M_1 \cap \dots \cap M_r, k_j = A/\mathfrak{m}_{j+1}$  field.

$$M_0 = A, M_1 = \mathfrak{m}_1, M_2 = \mathfrak{m}_1 \mathfrak{m}_2, \dots, M_{r+1} = M_1 \cap \dots \cap M_r = (0)$$

$M_j / M_{j+1} (j = 0, \dots, r-1)$  is a  $k_{j+1}$ -vector space.

$\{A\text{-submodules of } M_j / M_{j+1}\} \hookrightarrow$

$\{k_{j+1}\text{-vector subspaces of } M_j / M_{j+1}\}$

In general, if  $V$ : vector space over  $k$ .

then  $V: \text{Artin} \Leftrightarrow \dim_k(V) < \infty \Leftrightarrow V: \text{Noetherian}$ ?

$M_j / M_{j+1}: \text{Artinian} \Leftrightarrow M_j / M_{j+1}: \text{Noetherian}$ .

Lemb<sup>3</sup>  $M = M_0 \supseteq M_1 \supseteq \dots \supseteq M_p = \{0\}$

Chain of modules over a ring  $A$ , then

$M: \text{Noetherian} / \text{Artin} \Leftrightarrow \forall j = 1, \dots, r-1$ .

Pf: Induction on  $r$ .  $M_j / M_{j+1}$  Noetherian / Artin

$r=0, \checkmark$

$r \geq 1$ .

$$0 \rightarrow M_1 \rightarrow M \rightarrow M_0 / M_1 \rightarrow 0$$

$M_1: \text{Noetherian / Artin} \Leftrightarrow$

$M_j / M_{j+1}, j \geq 1: \text{Noetherian / Artin}$

$M: \text{Noetherian / Artin} \Leftrightarrow$

~~$M_1 \wedge M_0 / M_1: \text{Noetherian / Artin}$~~

$\Leftrightarrow M_0 / M_1: \text{Noetherian / Artin}$ .

Pf Theorem " $\Leftarrow$ ".

(i)  $\text{Noeth} + \dim = 0 \Rightarrow (0)$  is a fin prod...

then by Lemb,  $A: \text{Artin}$ .

(ii)  $\text{Artin} \Rightarrow (0)$  fin ab.

(i)  $\Rightarrow$

$$A: \text{Artin}, \text{ let } \{m_1, \dots, m_r\} \text{ set of prms/irreducibles}$$

$$\text{then } \frac{(m_1 \cap \dots \cap m_r)^N}{\text{Jac}(A)} \subseteq (m_1 \dots m_r)^N$$

$$= (0)$$

(iii)  $\Rightarrow A: \text{Noeth.} \Leftrightarrow \text{dim}(A) = 0$   
 $\Downarrow$   
 $\text{each } q_j: \text{maximal.}$

Every  $q_j: \text{isolated/maximal}$

$$\text{let } (0) = \bigcap q_j \quad (\text{M.P.D})$$

$$\{\text{primes in } A\} = \{q_1, \dots, q_r\} \text{ all maximal}$$

$$(q_1 \dots q_r)^N \subseteq \left( \frac{q_1 \cap \dots \cap q_r}{\text{Jac}(A)} \right)^N \subseteq (0)$$

by lemma 1.

Artin local rings.

A: Artin Then A: local  $\Leftrightarrow$  primary.

Pf.  $\Rightarrow (A, m)$  local.

$$m = \text{Jac}(A) = N(A)$$

$$\exists n, \text{ s.t. } m^n = (0).$$

Def: A (ring) primary.

Iff (0) primary.

Def: A (ring) prime

Iff (0) prime iff A: integral dom.

$$A - m = A^\times$$

$$m = \{\text{zero divisors}\} = \{\text{nilpotents}\} = \{\text{non-units}\}$$

$\Leftarrow$  (0) primary.  $\forall p \in r(0):$  prime, thus maximal

the smallest prime is  $p$ , thus unique prime

$p$  unique maximal p in A.

then A: local.

~~A/(0) - artin. No~~

Q: Artin integral domains?

(0) prime  $\Rightarrow$  (0) maximal  $\Rightarrow A: \text{field}$

Then A: fields.

Prop:  $(A, m)$  Noetherian local ring

then (iii)  $m^n \neq m^{n+1} \quad \forall n.$

(iii)  $\exists n, \text{ s.t. } m^n = 0. \quad \text{A: Artin}$

Pf:  $\neg(iii)$ , then  $m^n = m^{n+1}, \exists n.$

$$m^n = \partial, \partial = m^n.$$

NAK ( $\partial$ : fin gen,  $m \subseteq \text{Jac}(A)$ )

then  $\partial = (0).$

~~AAA~~

$p \subseteq A, \text{ prime.}$

then  $m^n \subseteq p \subseteq m$

$r(m^n) \subseteq r(p) \subseteq m$

$$\parallel = p$$

$$\cap r(m) = m$$

$$m = p.$$

then m: unique prime.,  $\text{dim}(A) = 0.$

then A: Artin

Ex

•  $\mathbb{Z}/(p^n)$ : Artin local.

•  $k[[x]]$ : Noeth local,  $m = (x)$

not Artin local.

•  $k[[x]]/(x^n)$  Artin local

•  $k[x^2, x^3]/(x^{10})$ : Artin local.  $m = (x^2, x^3)$

•  $k[[x]] = \left\{ \sum_{i=0}^{\infty} a_i x^i, a_i \in k, a_i = 0 \quad \forall i = \text{large enough} \right\}$

$k[[x]] = \left\{ \sum_{i=0}^{\infty} \text{formal power series} \right\}$

local,  $m = (x)$ ,  $f \in k[[x]],$

$f = a_0 + a_1 x + \dots$ . note, then  $f^{-1}$  exist in  $k[[x]]$

Theorem:

Every Artin ring is a finite direct product of local Artin rings, unique up to isomorphisms / reordering.

If:  $A = \text{Artin} \Rightarrow A = \text{Noeth}$ ,  $\dim = 0$ :

$$\Rightarrow \exists (0) = \bigcap q_j \text{ MPP.}$$

$q_j = \text{rad}(q_j)$  maximal

$$\exists n, q_j \geq q_j^n \forall j.$$

$q_j$  maximal  $\Rightarrow p_j$  partwise coprime.

$\Rightarrow q_j$  partwise coprime.

$$\Leftrightarrow A / \bigcap q_j \rightarrow \prod A / q_j \text{ isomorphism.}$$

(Chinese Remainder Theorem)

$$\text{then } A = A / \bigcap q_j.$$

$A / q_j$ : primary Artin then local Artin

Uniqueness:

$$\phi: A \xrightarrow{\cong} \prod_i A_i \quad (\text{Artin local})$$

$$\phi_i: A \xrightarrow{f_i} A_i \quad (\text{finite})$$

$$\phi \downarrow \text{projection.}$$

$$q_i^1 := \ker(\phi_i)$$

$$\text{then } A / q_i^1 \cong A_i \text{ (Artin, local, primary)}$$

$$\text{thus } q_i^1 \text{ primary?}$$

$$\phi: \text{isom} \Rightarrow (0) = \bigcap q_i^1 \text{ Noetherian}$$

Claim: This is a MPD of  $(0)$ .

this follows from the surjectivity of  $\phi$

But  $A = \text{Artin}$ , so every associated prime of

$(0)$  is minimal/isolated.

So every primary component component is

unique

$$\text{thus } \{q_j\} = \{q_j^1\} \square$$

(supp\*)

$$r(\lambda) = (x_1, \dots, x_f).$$

let  $k_1, \dots, k_r$  st.  $x_i^{k_i} \in \lambda$ .

let  $k = \sum k_i$ , then  $x_i^k \in \lambda \forall i$

\* --- Check the same proof on P84

see (\*\*) foot note there. It's a general argument.

# CA Ex W9.

A: Artinian  $\Leftrightarrow$  A: D.C.C.

Use (i) A: Artinian  $\Rightarrow \text{Spec } A$ , finite set

(ii) K: field  $\Rightarrow$  A: F.G. K-Algebra

TFAE (i) A: Artinian

(ii) A: Finite K-Algebra.

(iii) A: Finite K-Vector Space

The two are on Exercise Sheet.

Pf:

$f: A \rightarrow B$  ring homom.

take B as an A-module.

(i.e.  $a \in A, b \in B$ , then  $a.b = f(a)b$ )

f: finite if B is a finite A-Algebra

$f^*: \text{Spec } B \rightarrow \text{Spec } A$

$$q \mapsto f^{-1}(q).$$

Prop: If f finite, then  $\forall p \in \text{Spec } A$ .

$(f^*)^{-1}(p) = \{q \in \text{Spec } B, f(q) = p\}$  is a finite set

Pf:

Goal: find find an Artinian ring C.

S.t.  $(f^*)^{-1}(p) = \text{Spec } C$ .

Sat:  $p \in \text{Spec } A - S = A - p$

$f_p: A_p \rightarrow B_p = f^{-1}(p)B$

$\text{Spec } A_p = \{p' \in \text{Spec } A, p' \cap S = \emptyset\}$

$\text{Spec } B_p = \{q \in \text{Spec } B, q \cap f(S) = \emptyset\}$

$$f^{-1}(q) \cap S = \emptyset$$

$$f^{-1}(q) \subseteq p.$$

$$(f_p^*)^{-1}(p) = (f^*)^{-1}(p)$$

$$= k_p \text{ (residue field).} \quad C$$

$$\# g = (A_p / pA_p) \longrightarrow (B_p / f(p)B_p) \cdot B_p$$

↑  
Noetherian

$$\text{Spec } (A_p / pA_p) \cong \{p\}.$$

$$\text{Spec } C = \{q \in \text{Spec } B_p : q \supseteq f(p)B_p\}$$

$$= \{q \in \text{Spec } B_p : f^{-1}(q) = pA_p\}$$

$$= \{q \in \text{Spec } B_p : (f^*)^{-1}(p)\}$$

C: finite  $k_p$ -Algebra.

B: finite is a finite A-Algebra

$$\Downarrow$$

C = B  $\otimes_{k_p} k_p$  is a finite  $k_p$ -Algebra

$$\Downarrow$$

C: Artinian.

$$\Downarrow$$

Spec C is a finite set

(A, m) Noetherian local ring.

M: F.G. A-mod.

TFAE. (i) M: free  $\Leftrightarrow$  M: flat

$$(ii) M \otimes M \xrightarrow{\text{inj}} M \otimes M \quad a \otimes x \mapsto ax$$

$$Pf: (i) \Rightarrow (ii) \cdot \checkmark$$

$$(ii) \Rightarrow (iii)$$

M  $\hookrightarrow A$  injection

$$M \otimes M \hookrightarrow A \otimes M \quad \text{injection}$$

$$a \otimes x \mapsto ax$$

$$A \otimes M \cong M$$

$$a \otimes x \mapsto ax$$

$$g: M \otimes M \hookrightarrow A \otimes M \rightarrow M$$

thus g: injective inclusion.

$$(iii) \Rightarrow (i)$$

K = A/m,  $\forall N$ , A-module

$$N_K = N/mN = N \otimes_A K,$$

M: F.G. Let  $M_K$  is a finite  $K$ -V.S.

then  $\{x_1, \dots, x_n\} \subset M$ , s.t.

$\overline{x_1}, \dots, \overline{x_n}$  is a basis of  $M_K$

Nakayama  $\Rightarrow M = \langle x_1, \dots, x_n \rangle$

$$\begin{array}{ccccccc} & & & & & & \\ & \text{Id} & = & \langle x_1, \dots, x_n \rangle & \xrightarrow{\text{inj}} & K & \\ & \downarrow & & \downarrow & & \downarrow & \\ 0 & \rightarrow & M \text{ ker}(g) & \xrightarrow{\text{inj}} & M_K & \xrightarrow{\text{inj}} & M \rightarrow 0 \\ & & \downarrow & & \downarrow & & \\ & 0 & \rightarrow & \ker(g) & \hookrightarrow & A^n & \xrightarrow{\text{inj}} M \rightarrow 0 \end{array}$$

$$\begin{array}{ccccccc} & & & & & & \\ & \downarrow & & \downarrow & & \downarrow & \\ (*) & 0 & \rightarrow & \ker(g) & \rightarrow & A^n & \rightarrow M_K \rightarrow 0 \\ & \downarrow & & \downarrow & & \downarrow & \\ & 0 & \rightarrow & \ker(g) & \cong & \ker(g)/m\ker(g) & \rightarrow 0 \end{array}$$

Claim (\*) exact.

$$\Rightarrow \ker(g) = 0 = \ker(g)/m\ker(g).$$

thus  $m\ker(g) = \ker(g)$ , want  $\ker(g) \subset F.G.$   
(Bec 'A: Noeth  $\Rightarrow A^n$ : Noeth)

$$0 \rightarrow M \otimes E \xrightarrow{\phi} M \otimes A^m \xrightarrow{\epsilon} M \otimes M \rightarrow 0$$

Know  $\text{Im}(\phi) \subseteq \ker(\epsilon)$

Want  $\text{Im}(\phi) \supseteq \ker(\epsilon)$

$$0 \rightarrow M \otimes E \xrightarrow{\text{mei}} M \otimes A^m \xrightarrow{\text{mei}} M \otimes M \rightarrow 0$$

$$\downarrow \quad \hookrightarrow \quad \hookrightarrow \quad \downarrow \\ M \otimes E \rightarrow M \otimes A^m \rightarrow M \otimes M \rightarrow 0$$

q: isomphn.

$$M \otimes A^m \cong (M \otimes A)^m \cong M^m = M \otimes M$$

Lec 9-1 CA. (see Lec 8-2 later)

Theorem.  $\bigcap_{i \geq 0} \alpha^i M = 0 \iff A = \text{Noeth}$

$\alpha \in \text{Jac}(A)$

M.F.G. A-mod

Artin Rees:

$$\alpha^i M \cap M' = \alpha^{i+k} (\alpha^k M \cap M'), \forall k, \forall i \geq 0$$

$\iff A = \text{Noeth}, \alpha \text{ ideal}, M: \text{F.G}$

$M' \subset M$ : submod.

TFAE.

(i)  $(M_i)$  stable  $\iff (M_i)_{i \geq 0}$ :  $\alpha$ -filtration

(ii)  $\tilde{M}$ : F.G.  $\tilde{A}$ -mod

$$\text{where } \tilde{A} = \bigoplus_{i \geq 0} \alpha^i, \tilde{M} = \bigoplus_{i \geq 0} M_i$$

LEM:  $\tilde{A} = \text{Noeth} \iff A = \text{Noeth}$

Pf:  $\alpha: (x_1, \dots, x_n) \text{ f.g } A\text{-mod}$

$\tilde{A}$ : f.g as  $A$ -algebra by  $x_1, \dots, x_n \in \alpha$ .

Pf Artin Rees:

$M_i = \alpha^i M$ , then  $(M_i)$  stable  $\alpha$ -filtration

$M'_i = \alpha^i M \cap M'$ ,  $\alpha$ -filtration

Then show that  $(M'_i)$  stable will imply Artin Rees.

then  $(M'_i)$  stable  $\iff$

$\tilde{M}' = \bigoplus_{i \geq 0} M'_i$  is F.G.  $\tilde{A}$ -mod.

$$\tilde{M} = \bigoplus_{i \geq 0} M_i$$

$\tilde{M}' \subseteq \tilde{M}$  submod as  $\tilde{A}$

$(M_i)$  stable  $\iff \tilde{M}: \text{f.g. } \tilde{A}\text{-mod}$

$\downarrow \quad \quad \quad A = \text{Noeth}$

$\tilde{M}: \text{Noeth}$

$\downarrow$

$\tilde{M}'$ : F.G.  $\tilde{A}$ -mod.

Corollary 2  $\sum_i \alpha_i = 0$ . (A: Noeth)  $\left( \text{def. } \text{Jac}(A) \right)$

Corollary 3 A: Noeth, local with M  
 $\cap_{i \geq 0} M^i = 0$

Exercise: Derive Theorem 1 from Corollary 3.

Q:  $g \in \text{Spec}(A)$ ?  $\text{ker}(A \rightarrow A_g)$

Recall:  $n^{\text{th}}$  symbolic power  $p^{(n)}$  def

$$p^{(n)} = \tau^*(\tau(p)^n). \text{ for } \tau: A \rightarrow A_g.$$

$$= \tau^*(\tau(p^n))$$

$$\text{(bec. } \forall a, b, \tau(ab) = \tau(a)\tau(b))$$

$$\forall \tau: A \rightarrow S^1 A.$$

$p^{(n)}$  is a  $p$ -primary ideal

Corollary 4.

A: Noeth,  $p$ : prime.

$$\Rightarrow \text{ker}(A \rightarrow A_p) = \bigcap_{i \geq 0} p^i$$

$$\text{Pf: } \text{ker}(A \rightarrow A_p) = \tau^*(\langle 0 \rangle)$$

$$= \text{by Cor 2} = \tau^*\left(\bigcap_{i \geq 0} p^i\right) \cap \left(\tau^*(p^i)\right)_{i \geq 0}$$

$$= \bigcap_{i \geq 0} \tau^*(p^i) \cap \tau^*(p^i)$$

Note  $(A_p, \tau_p(A_p))$  local, Noeth.

$$= \bigcap_{i \geq 0} p^i$$

Lemma 5.

A: Noeth, local integral domain

(Special case of Krull Dimension Theorem)

NB:  $(0) \subseteq M \subseteq A$   
 prime maximal

TFAE:

i)  $\exists p \subseteq A$  prime,  $(0) \subsetneq p \subseteq M$

ii)  $\forall f \in M$ ,  $\exists p$ : prime s.t.  $(0) \subsetneq p \subseteq M$

and  $f \in p$ .

Example:  $A = k[[x, y]] \cong E$  with  $M = (x, y)$

$(0) \subseteq M$  - then  $\exists p = (x)$  or  $(y)$

$(0) \subsetneq p \subseteq M$

also then by Len5,  $\forall f \in M, \exists p \ni f$

s.t.  $(0) \subsetneq p \subseteq M$

Pf: i)  $\Rightarrow$  ii)  $0 \in M$ , hence clear

~~ii)  $\Rightarrow$  i)~~

Def  $\dim(A) = \sup \{t \geq 0 : \exists \text{ chain of prime}$   
 $p_0 \subsetneq p_1 \subsetneq \dots \subsetneq p_t \subseteq A\}$

Def For  $p \subseteq A$

$\text{ht}(p) = \sup \{t \geq 0 : \exists \text{ chain of prime}$   
 $p_0 \subseteq p_1 \subsetneq \dots \subsetneq p_t = p \subseteq A\}$

coheight.

$\text{cohht}(p) = \sup \{t \geq 0 : \exists \text{ chain of prime}$   
 $p = p_0 \subsetneq p_1 \subsetneq \dots \subsetneq p_t \subseteq A\}$

Len5' A: Noeth integral domain

$f \neq 0, \in A$ , then

Then any minimal prime  $p_0$  of  $(f)$

(least prime containing  $p_0$ )

$p_0 \in \text{Ass}^1(f)$

satisfies  $\text{ht}(p_0) \leq 1$ , /  $f$  non unit,  $\text{ht}(p) = 1$ .

Len  $\text{ht}(p) = \dim(A_p)$

$\text{cohht}(p) = \dim(A/p)$ .

Len 5  $\rightarrow$  Len 5'.

assume A local with  $p$  maximal

$A_p$ : local,  $\text{ht}(p)$  maximal

replace  $f$  by  $f/1 = \tau(f)$

Then {prime  $p \subseteq p_0\} \Leftrightarrow \{\text{prime of } A_p\}$ .

{prime  $p \ni f\} \Leftrightarrow \{\text{prime } p \ni f/1\}$

Let  $(A, p_0)$ : Noeth local domain.

$M = p_0$ . Then  $M \in \text{Ass}^1(f)$ .

i.e. # prime  $p \ni f$  s.t.  $(0) \subsetneq p \subseteq M$   
 $p \ni f \neq 0$ .

then  $f \circ p \notin M$ , then  $M$  would not be a minimal prime of  $(f)$ .

Want  $\text{ht}(M) = 1$ .

$\Leftrightarrow (0) \subsetneq M$  ( $\exists f \neq 0$ , non unit)

# prime  $p$ :  $(0) \subsetneq p \subseteq M$ .

□

(Len5  $\rightarrow$  Len5')

(i.e. Len5 : contrapositive of Len5')

Pf Lem(5) (i)  $\Rightarrow$  (ii)

$$(ii) \Rightarrow \forall f. \dim(A/f) = 1$$

$\{ m: \text{maximal in } A/f \}$

$\{ p \in m: \text{prime ideal in } A/f \}$ .

$\neg (ii) \Leftrightarrow A/f: \text{Artin}$   
(Noetherian A)

$$\Rightarrow \exists k, \forall i \geq k, p^{(k)} + f = p^{(i)} + f$$

$p^{(k)} + f / f$  is indeed a descending chain in  $A/f$ .

$$\neg (ii) \Rightarrow \underbrace{p: \text{prime}, f}_{\exists f, p} \text{ s.t. } \cancel{p \subseteq m},$$

$\neg (ii) \Rightarrow \exists f, \forall p, \text{ prime } \left( \{0\} \subseteq (p \cap f) \neq m \right) \text{ fails}$   
then  $p \nmid f$ .

(either  $f \nmid p$  or not  $\{0\} \subseteq (p \cap f) \neq m$ )

from (i),  $\{0\} \subseteq p \subseteq m$ , thus

want  $f \nmid p$  ~~s.t.~~

Know  $f \nmid p \subseteq m$  Want  $p = \{0\}$

As above,  $\exists k, \forall i \geq k, p^{(k)} \subseteq p^{(i)} + f$   $\star$

Claim  $p^{(k)} = p^{(k)} + f \cdot p^{(k)}$

Pf:  $\supseteq \checkmark$

$\subseteq$  let  $x \in p^{(k)}$ , by  $\star$

$$\exists y \in p^{(0)}, z \in A, x = y + z \notin f$$

$$\Rightarrow z \in (p^{(k)} \cdot f) = p^{(k)}$$

b/c  $p^{(k)}$  is  $p$ -primary and  $p \nmid f$

Claim  $\frac{f}{\cap_{i=0}^k p^{(i)}} / p^{(k)} = p^{(k)} / p^{(k)}$

by NAK,  $p^{(k)} / p^{(k)} = 0$ .

$$\Rightarrow \forall i > k, p^{(i)} = p^{(k)}.$$

$$p^{(k)} = \bigcap_{i=0}^k p^{(i)} = \ker(A \rightarrow A/p)$$

$\parallel A: \text{domain}$

$$p^{(k)} = \{0\} \xrightarrow[A: \text{domain}]{} p = \{0\} \text{ as desired.}$$

□

Recall from Linear Algebra:

-  $V$ : V.S. on field of  $\dim < \infty$

$l$ : nonzero linear functional,  $l: V \rightarrow \mathbb{K}$ .

then  $\dim(\ker l) = \dim(V) - 1$

Krull's Dim Theorem is a variant  
for polynomials.

If  $V \subseteq$

Corollary:  $0 = f \in \mathbb{C}[x_1, \dots, x_N]$

$Z(f) = \text{zero set of } f$

irreducible component of  $Z(f)$   
has dimension  $N-1$ .

$Z(f) \Leftrightarrow \text{primes } p \ni f$

irredn components  $\Leftrightarrow$  minimal primes  $p \ni f$

$\text{Codim}_N(X) = 1 \Leftrightarrow \text{ht}(S) = 1$ .



## Lec 8-2 CA

### Krull Intersection Theorem

Given:  $A$ : Noetherian  $\Rightarrow \text{Jac}(A)$ ,  $M$ : F.G. A-mod

$$\Rightarrow \bigcap_{i \geq 0} \alpha^i M = \{0\}.$$

### Artin Rees Lemma.

$$\text{Cond: } \exists k, \forall i \geq k, \alpha^i M \cap M' = \alpha^k (\alpha^{i-k} M \cap M')$$

Given  $A$  (Noeth)  $\alpha \subseteq A$  (ideal)

$$M \text{ (F.G. A-mod)}, M' \subseteq M \text{ (submod)}$$

Pf Krull Intersection Theorem:

$$M' = \bigcap_{i \geq 0} \alpha^i M$$

$$M: \text{Noeth (A-Noeth + M: F.G. A-mod)}$$

$$M' \subseteq M \text{ submod, F.G. A-mod.}$$

$$\text{Want } \alpha M' = M'$$

$$\text{then apply NAK } M' = \{0\}.$$

$$\text{It remains to show } \alpha M' \supseteq M'.$$

Actually Artin Rees Shows both.

By Artin Rees,

$$\exists K \text{ s.t. } \forall i \geq K.$$

$$\alpha^i M \cap M' = \alpha^K (\alpha^{i-K} M \cap M')$$

$$\text{Then } \alpha^{K+1} M \cap M' = \alpha (\alpha^K M \cap M')$$

$$M' = \alpha M', \text{ as desired.}$$

Rank: Pf of Artin Rees Lemma requires more definitions and results.

Formal Power series: (Wikipedia)  
A F.P.S is a sequence over  $F$  (field) or over  $R$  (ring)

Define: (+) addition

$$(a_0, a_1, \dots) + (b_0, b_1, \dots) = (a_0+b_0, a_1+b_1, \dots)$$

(\*) multiplication

$$(a_0, a_1, \dots) \cdot (b_0, b_1, \dots) = \left( \frac{a_0 b_0}{a_0}, a_1 b_0 + a_0 b_1, \dots \right)$$

thus we form a ring of F.P.S over a commutative ring  $R$ .

Write as  $R[[x]]$ .

Example:

$$\mathbb{Z}[[x]], (1+x) = (1, 1, 0, \dots) = a$$

$$(1-x+x^2-x^3\dots) = (1, -1, 1, -1, \dots) = b$$

$$a \cdot b = (1, 0, 0, 0, \dots) = 1$$

hence  $a \cdot b$  are inverses of each other.

Rank:

the multiplication is called

Cauchy Product.

An Example: Krull's Intersection Theorem

Won't Work Generally.

$$K: \text{field, } A = \bigcup_{n \geq 1} K[[x^{\frac{1}{n}}]].$$

This is called:

F.P.S with rational  $\mathbb{Q}_{\geq 0}$  exponents.

$$\text{Note, } n=1, K[[x]] \subseteq A$$

$$A: \text{local with } M := \{a | a \in \bigcup_{i \in \mathbb{Q}_{\geq 0}} c_i x^i, c_i \geq 0\}$$

$$K \cong A/m$$

$$\text{Now: } M = \text{Jac}(A).$$

by a Corollary of Krull's Intersection Theorem

$$\bigcap_{i \geq 0} M^i = M \quad (\star)$$

Show  $(\star)$ .

$$M = \{(x^2)^i | i \in \mathbb{Q}_{\geq 0}\}_K$$

( $M$ : generated by the set over  $K$ )

$$\forall i \geq 1, x^2 = (x^{\frac{2}{i}})^i \in M^i$$

$$\text{thus } \bigcap_{i \geq 0} M^i = M.$$

this gives a counterexample.

Def. If monoid  $i = \text{set with binary operation}$

• Closed • Associativity • Identity.

(e.g.  $(\mathbb{Z}_{\geq 0}, +)$ )

Def. Graded Ring.

Notice: a ring can be graded by any monoid, by most frequently graded by  $(\mathbb{Z}_{\geq 0}, +)$ . So here we use the def restricted to  $(\mathbb{Z}_{\geq 0}, +)$ .

A (ring).

$A = \bigoplus_{i \geq 0} A_i$  as Abelian Group

$A_i \cdot A_j \subseteq A_{i+j}$  with this multiplicative property.

LEM.  $A_0 \subseteq A$  subring.

i.e.  $1 \in A_0$ .

Let  $1 \in A_i$ .

$A_i \cdot A_j \subseteq A_{i+j}, \forall j$

thus  $1 \cdot A_j \subseteq (A_j \cap A_{i+j}), \forall j$

either  $A_j = \{0\}, \forall j$ , then the ring  $A$  is trivial

OR.  $A_j = A_{i+j}, \forall j$ , and  $i = 0$

bec  $A = \bigoplus_{i \geq 0} A_i$  direct sum

Ex  $A = K[x_1, \dots, x_n]$

$A_i = \{\text{homogeneous polynomials of degree } i\}$   
(in the general sense)

$= \{\sum \alpha_i x_1^{d_1} \cdots x_n^{d_n} \mid \sum d_j = i\}$ .

then  $A_i \cdot A = \bigoplus_{i \geq 0} A_i$  is graded

bec  $A_i \cdot A_j \subseteq A_{i+j}, \forall i, j$ .

Ex  $A = K[x_1, \dots, x_n], I = \bigoplus_{i=1}^n \mathbb{Z}$  (monoid)

$A_{(i_1, \dots, i_n)} = \{\sum \alpha_i x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}\}$ .

$A = \bigoplus_{(i_1, \dots, i_n) \in I} A_{(i_1, \dots, i_n)}$  is graded.

$A_{(i_1, \dots, i_n)} \cdot A_{(j_1, \dots, j_n)} \subseteq A_{(i_1+j_1, \dots, i_n+j_n)}$

Def:  $r_i \in A_i$ , homogeneous elements of degree  $i$

Note:  $0$  is of degree  $n, \forall n \geq 0$ .

Def Graded Module  $M$ .

$A = \bigoplus_{i \geq 0} A_i$  graded,  $M: A\text{-mod}$ .

Graded IFF

$M = \bigoplus_{i \geq 0} M_i$  as Abelian Groups

$A_i M_j \subseteq M_{i+j}$  with this multiplicative property.  
( $M_j = A_0\text{-mod}$ )

Rank:

$A(\text{ring})$  as an  $A$ -mod is graded IFF

$A$  is graded as a ring.

Def Graded Submod  $M' \subseteq M$

$M' \subseteq M = \bigoplus_{i \geq 0} M_i$  (graded)

$M'$  graded IFF

$M' = \bigoplus_{i \geq 0} M'_i \cap M_i$  as Abelian Groups.

Def Graded ideal.

$A(\text{ring}), \mathfrak{a}(\text{ideal})$  Graded IFF

$\mathfrak{a}$  is a graded submodule of the  $A$ -mod:  $A$

i.e.  $\mathfrak{a} = \bigoplus_{i \geq 0} \mathfrak{a}_i$  graded

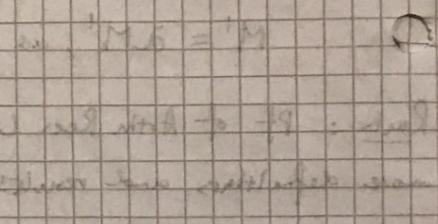
$\mathfrak{a} = \bigoplus_{i \geq 0} \mathfrak{a} \cap A_i$ .

Non Ex

$A = k[x, y]$  - graded by  $(\mathbb{Z}_{\geq 0}, +)$

$\mathfrak{a} = (x^2 + y)$  is not graded.

bec  $\mathfrak{a} \cap A_i = \{0\}, \forall i$ .



Learn  $M'$ : graded over  $A$

$$M' = \bigoplus_{i \geq 0} M'_i, \quad A = \bigoplus_{i \geq 0} A_i.$$

$M' \subseteq M$  submod, Graded PFF

$M'$  generated by homogeneous elements.

Further:

If  $M'$ : F.G.,  $M'$ : graded, then

$M'$  generated by finitely many homogeneous elements.

Pf:  $\bigoplus M' \subseteq M$  graded.

$$M' = \bigoplus_{i \geq 0} M' \cap M_i$$

then  $M'$  generated by homogeneous elements.

$$\textcircled{2} \text{ Let } M' = \left\{ (x_i)_{i \geq 0} \right\}_A$$

$$\text{Let } x_i = x_{i,0} \text{ s.t. } x_i \in M_i.$$

$$\text{Let } T = \bigoplus_{i \geq 0} M' \cap M_i \text{ as Abelian Groups}$$

the sum is direct b/c  $(M_i)_i$  "independent".

$T \subseteq M'$  clearly.

$$M' \subseteq T \text{ b/c, } \forall m' \in M'.$$

$$m' = \sum a_i \cdot x_i, a_i \in A.$$

$$a_i = \sum a_{i,j} \cdot j, \text{ with } a_{i,j} \in A_j.$$

$$m' = \sum_{i,j} a_{i,j} \cdot x_i$$

$$a_{i,j} \cdot x_i = a_{i,j} \cdot x_{i,0} \in M_i \cap M'$$

thus  $m' \in T$

$$\textcircled{3} \text{ } M': \text{F.G., graded.}$$

$$M' = \left\{ (x_0, \dots, x_n) \right\}_A$$

$$M' = \bigoplus_{i \geq 0} M' \cap M_i$$

$$x_i = \sum_{k \geq 0} y_{i,k}, \quad y_{i,k} \in M_k \cap M'$$

$$M' = \left\{ \left( \sum_{k \geq 0} (y_{i,k}) \right)_{i \geq 0} \right\}_A$$

this  $M'$ : generated by finitely many homogeneous elements.

Def.  $M' \subseteq M$ ,  $M', M$ : graded

$$M' \cap M_i, \quad A = \bigoplus_{i \geq 0} A_i$$

Naturally graded  $M/M'$ :

$$M/M' = \bigoplus_{i \geq 0} (M_i / (M_i \cap M'))$$

$$\text{ie. } \frac{\bigoplus_{i \geq 0} M_i}{\bigoplus_{i \geq 0} M_i \cap M'} \cong \bigoplus_{i \geq 0} \frac{M_i}{(M_i \cap M')}$$

$$\text{Def } A = \bigoplus_{i \geq 0} a^i, \quad a \in A \text{ (ideal)}$$

Cambridge - CA - Lect 10 - Filtration,  
Graded Rings & Completeness.

Def.  $\mathbb{Z}$ -filtration on  $A$  (ring):

$(A_i)_{i \in \mathbb{Z}}$ , subgroups of  $A$  (Abelian)

$A_i \subset A_{i+1}, \forall i \in \mathbb{Z}$

$A = \bigcup_{i \in \mathbb{Z}} A_i$

Call  $A$ : filtered ring.

$\mathbb{Z}$ -filtration is

separated IFF  $\bigcap_{i \in \mathbb{Z}} A_i = 0$

positive IFF  $A_i = 0, \forall i < 0$

negative IFF  $A_i = A, \forall i \geq 0$ .

Rank  $A_0 \subseteq A$  subring.

$A_i \subseteq A_0$  ideal  $\forall i < 0$

Examples.

(1)  $I \subseteq A$ ,  $A_i = I^{-i}$  if  $i < 0$ ,  $A_i = A$  if  $i \geq 0$ .

Call This is a filtration, called:

$I$ -adic filtration.

(2)  $A = C[[x]]$ ,  $\lambda \in C$ ,  $A_i = (x-\lambda)^{-i} C[[x]]$ ,  $i < 0$

$A_i = C[[x]]$   $i \geq 0$ .

$A_i = \{ \text{functions with a zero of order } \geq -i \}$

8)  $A = \langle [X] \rangle$ ,  $\lambda \in \mathbb{C}$ .

$\exists i \in \mathbb{N}$  such that  $f$  has poles with a pole of order at most  $i$  at  $\lambda$ .

(1)  $A = \mathbb{Z}_+$ ,  $p$ : prime.  $A = (p^{-i})_{i \geq 0}$ ,  $A_i = \mathbb{Z}, i \geq 0$ .

(2)  $A = \langle T[x_1, \dots, x_n] \rangle$ ,  $A_i = 0, i < 0$ .

$A_i = \text{span of all monomials of total degree at most } i \text{ for } i \geq 0$ .

Def.  $A$ : filtered,  $M$ :  $A$ -mod.

$M$ -filtered  $\Leftrightarrow$  (M<sub>i</sub>: Abelian Subgroups  $\subseteq M$ )

s.t.  $M_i \subseteq M_{i+1}, \forall i \in \mathbb{Z}$

$\forall i: M_j \subseteq M_{i+j}, \forall j \in \mathbb{Z}$

$$\bigcup_{i \in \mathbb{Z}} M_i = M$$

The filtration is

Separated PFF  $\bigcap_{i \in \mathbb{Z}} M_i = \{0\}$ .

Ex  $M = \langle X \rangle$  (generated by the set  $X$ )

$$M_i = A \cap X, A = \bigoplus_{i \in \mathbb{Z}} A_i$$

$\bigoplus_{i \in \mathbb{Z}} (M_i)$  is a filtration of  $M$ .

In Particular,  $A = \langle 1 \rangle_A$  is a filtered  $A$ -mod. Prop.  $A$ : graded ring,  $A_n = 0, \forall n > 0$ .

Def. A filtration is good if  $\exists m_1, \dots, m_r \in M$ .

$$k_i \rightarrow k_r \in \mathbb{Z} \text{ s.t. } M_j = \sum_{i=1}^r A_{j-k_i} M_i, \forall j \in \mathbb{Z}$$

Lemma.  $(M_n), (M'_n)$  good filtrations of  $M$ .

$\Rightarrow \exists n_0 \in \mathbb{N}$  s.t.

$$M_{n+n_0} \subseteq M'_n \subseteq M_{n+n_0}, \forall n \in \mathbb{Z}$$

Pf. let  $m_1, \dots, m_r \in M$ ,  $k_1, \dots, k_r \in \mathbb{Z}$ .

$$\text{s.t. } \forall j \in \mathbb{Z}, M_j = \sum_{i=1}^r A_{j-k_i} M_i$$

$$\exists t_1, \dots, t_r \text{ s.t. } M'_j \in M'_i K_i + t_i$$

(let  $m'_i \in M'_i$ ,  $m_i \in M_i$ ,  $\exists j$ . take  $t_i$  large enough so that  $M'_i K_i + t_i \supseteq M'_j$ )

$$\text{let } t = \max(t_1, \dots, t_r)$$

$$m'_i \in M'_i K_i + t, \forall i$$

$$M_n \subseteq M'_{n+t}, \forall n \in \mathbb{Z}$$

$$(M_n \subseteq \sum_{i=1}^r A_{n+k_i} M_i \subseteq \sum_{i=1}^r A_{n+k_i} M'_i)$$

by ~~Hasse~~ symmetry,  $\exists t'$  s.t.

$$M'_n \subseteq M_{n+t'}, \forall n \in \mathbb{Z}$$

(let  $n_0 = \max\{t, t'\}$ , then

$$M_{n+n_0} \subseteq M'_n \subseteq M_{n+n_0}, \forall n \in \mathbb{Z}$$

Rank. Analogy.

Any two norms defined on finite dimensional vector spaces are equivalent.

then TFAE

(i)  $A$ : Noeth

(ii)  $A_0$ : Noeth,  $A$ : F.G  $A_0$ -algebra.

Def.  $A$ : filtered. Rees ring of  $A$

$$\widehat{A} = \bigoplus_{i \in \mathbb{Z}} A_i \cdot t^i \subset A[t, t^{-1}]$$

$\widehat{A}$  is graded.

If  $A$  is filtered  $\mathbb{I}$ -adically.

$$\widehat{A} = \bigoplus_{i \geq 0} \bigoplus_{j \leq i} A_j$$

$$\text{i.e. } A_i = I^{-i} \quad (\forall i \geq 0) \quad A_i = A \quad (i \geq 0)$$

$$A = \bigcup_{i \in \mathbb{Z}} A_i. \quad A_i \subseteq A_{i+1}$$

$$A_i A_j \subseteq A_{i+j}, \quad 1 \in A_0.$$

$$\text{Then } \widehat{A} = B[t], \quad B = \bigoplus_{i \geq 0} I^{-i} t^i$$

Lem  $A$ : filtered &  $\mathbb{I}$ -adically.

$A$ : Noeth.  $\{x_0, \dots, x_n\}$  generates  $I$ .

then  $B$ : Noeth, b/c

$B_0 = A$ ,  $B$  is generated by  $\{x_i\}$  as an  $A$ -algebra.

$\widehat{A} = B[t]$  is Noetherian.

Def.  $A$ : filtered,  $M$ : filtered  $A$ -mod.

Rees Mod of  $M$ :

$$\widehat{M} := \bigoplus_{i \in \mathbb{Z}} M_i t^i \subseteq M[t, t^{-1}]$$

is graded, as an  $\widehat{A}$ -mod.

Rank  $a \in \widehat{A}$ ,  $a = \sum_{i \in \mathbb{Z}} a_i t^i$   $a_i \in A_i$

$$m \in \widehat{M}, m = \sum_{i \in \mathbb{Z}} m_i t^i, m_i \in M_i$$

$$am = \sum_{ij} a_i m_j t^{i+j} = \sum_{ij} M_{i+j} t^{i+j}$$

Lem  $A$ : filtered,  $M$ : filtered  $A$ -mod

then the filtration of  $M$  is good IFF

$\widehat{M}$ : F.G. as an  $\widehat{A}$ -mod.

Lec 9-2

$$\text{Cont}(d) = \sup \{\text{cont}(p), p \geq 2\}.$$

Theorem  $\Leftrightarrow A$ : Noeth,  $a \in A$  (non zero divisors/ $\text{unit}$ )  
 $\text{ht}(a) = 1$

$$a \in p \quad \Rightarrow \quad p_i \not\subseteq p \Rightarrow \text{ht}(p) \geq 1.$$

$$a \notin p_i$$

Now want  $\text{ht}(p) \leq 1$ .

If  $\exists$  longer chain s.t.  $p'' \not\subseteq p' \not\subseteq p$ .

We may assume  $p''$  minimal, and changing the index if necessary, that  $p'' = p_i$

Now replace  $A$  by  $A/p_i$ .

$$p' \text{ by } p'/p_i,$$

$$p \text{ by } p/p_i.$$

$$a \text{ by } a + p_i$$

Then  $A/p_i$ : Noeth Integral Domain.

Then  $A$ : Noeth, Integral Domain

$$a \neq 0, a \in p, \quad p \supseteq (a) \text{ minimal}$$

$A/p_i$ : Noeth, Integral Dom

$$a \neq 0, a \in p, \quad a \notin p_i, \quad p/p_i \supseteq a + p_i \text{ minimal.}$$

thus  $\text{ht}(p/p_i)$  in  $A/p_i = 1$

Contradiction to  $p'' \not\subseteq p' \not\subseteq p$ .  $\square$

Geometric Interpretation:

$$k = \overline{k}, \quad A = k[x_0, \dots, x_n]/q, \quad q: \text{prime.}$$

$X$ : irreducible variety in  $k^n$ :

$$V(q) = \{X \in k^n : f(X) = 0, \forall f \in q\}.$$

$\dim(A) \Leftrightarrow \dim(X) = \sup \{t \geq 0, \exists \text{chain of irreducible subvarieties}\}$

$$X = x_0 \geq x_1 \geq \dots \geq x_t$$

prime in  $A \Leftrightarrow (p \text{ prime } p \supseteq q) \Leftrightarrow Y \subseteq X$  irreducible subvariety

The above correspondence is 1-1, inclusion preserving

$$\text{ht}(p) \Leftrightarrow \text{codim}_{X(t)}(Y) = \sup \{t \geq 0, \exists \text{chain}\}$$

Pf Lem:  $A$ : Noeth,  $(0)$ : desingular  $\bigcap_{i=1}^n p_i$  M.P.D.

Recall {zero divisors in  $A$ } =  $\bigcup_i p_i$

$a \notin p_i, \forall i$ .

Let  $\exists$  s.t.  $p \supseteq p_i$

$\exists$  b.c.  $\{p_i\}$   $\supseteq$  singular primes of  $A$

$\hookrightarrow \text{Comp}(\bigcap p_i = N_{\text{ht}}(A))$

e.g.  $A = \bigoplus_i A_i$  (finite)  $T_i: A \rightarrow A_i$

$$T_i = g_i = \text{car}(T_i) = (x, x, \dots, 0, x, \dots)$$

$$(0) = \bigcap_{i=1}^n p_i$$

Theorem 2 (Krull P.I. Theorem) Lec 9-2

$R$ : Noeth,  $\alpha \in R$  (not unit / zero divisor)

$\exists p \in \text{Ass}^1(\alpha)$  i.e.  $(p|\alpha)$  minimal

then  $\text{ht}(p) = 1$

$\alpha \in A$ , any ideal.  $\Leftrightarrow Z \subseteq X$ , closed subvariety.  
 $r(\alpha) = \alpha$ .

$(\Rightarrow \forall q_i = p_i \text{ in MPP})$

$\forall i \in \text{Ass}^1(\alpha) \Leftrightarrow$  irreducible components  $V(f_i) = V(q_i)$   
 $(p_i \geq \alpha \text{ min}) \quad y_i \subseteq Z$

$\text{codim}_X(Z) = \inf_{y_i \text{ irreducible components}} \text{codim}_X(y_i)$

$\text{codim}(p) = \dim(A/p) \Leftrightarrow \dim(Y)$

$\text{codim}(\alpha) = \dim(A/\alpha) = \sup_i \text{codim}(p_i)$

$\Leftrightarrow \dim Z = \sup_i \text{codim}(y_i)$

Krull Dimension P.I. Theorem

$\Rightarrow$  Irreducible Components of a  
hypersurface in  $X$  has codim 1.

$\downarrow$

$a \in k[x_1, \dots, x_n], \quad V(a) = V(\alpha)$

$\text{ht}(\alpha) = \text{ht}(\langle a \rangle) = \text{codim}_X(Z) = 1$

$p_i \geq \alpha, \quad \text{ht}(p_i) = 1 \Leftrightarrow$   
 $\text{ht codim}_X(y_i) = 1$

Krull Dimension Theorem

$R$ : Noeth -  $\alpha \in R$  generated by  $(a_1, \dots, a_r)$

then  $\text{ht}(p) \leq r$  if  $p$ : minimal prime divisor of  $\alpha$

pf: Induction on  $r \geq 1$ .

$$\exists p_0 \subsetneq \dots \subsetneq p_t = p.$$

Want  $t \leq r$ .

Replace  $A$  by  $A/p$ .

Reduced to the case  $(A, p)$  Noeth

local with

$\Rightarrow p$ -maximal in  $A \Rightarrow p$  is the only  
 local prim  $\geq \alpha$  prime  $\geq \alpha$ .

$$p_t = p \geq \alpha \Rightarrow p_t \nmid p.$$

$\Rightarrow \exists$  generator of  $\alpha$  not in  $p_{t+1}$   
 suppose  $a_t \notin p_{t+1}$

$$\text{Thus } (a_t) + p_{t+1} \subsetneq p_t = p$$

We may assume by enlarging the chain  
 if necessary, that there are no prime  
 between  $p_{t+1}, p_t$

( $A$ : Noeth  $\Rightarrow \exists$  maximal prim  $q$ :

s.t.  $p_{t+1} \subseteq q \subseteq p_t$ , then add  $q$  to  
 our chain).

$$\text{then } p_{t+1} + (a_t) \subseteq p_t$$

thus  $p = p_t$  the only prime containing

$$p_{t+1} + (a_t)$$

$$\text{rad}(p_{t+1} + (a_t)) = p_t \geq \alpha \Rightarrow a_i, \forall i = 1, \dots, r-1$$

$$\exists N \geq 1, \text{ s.t. } a_i^N = a_i^l + a_i y_i \in p_{t+1} + (a_t)$$

$$\text{but } a_i^l = (a_1^l, \dots, a_{t+1}^l) \leq p_{t+1}.$$

$$\text{Want } p_{t+1} \in \text{Ass}^1(\alpha')$$

If this true, then by induction.

$$t+1 \leq r-1$$

hence  $t \leq r$ .

Let  $p' \in \text{Ass}^1(\alpha')$  s.t.  $p' \subseteq p_{t+1} \subsetneq p_t = p$   
 (such  $p'$  exists)

To show that  $p = p_{t+1}$ .

$$\text{thus } \text{ht}(p/p') \leq 1 \\ \leq \text{ht}(p/p')$$

no primes between

$\bar{a}_r := \text{Image of } a_r \in A/\mathfrak{p}'$

by Krull P.I.  $\exists \mathfrak{p} \subsetneq \mathfrak{p}' \in \text{Ass}'(\bar{a}_r)$

$\Rightarrow \mathfrak{p} \subsetneq \mathfrak{p}'$

$\mathfrak{p} = \text{rad}(\mathfrak{p}' + (a_r)) \text{ ht}$

show (\*1).  $\mathfrak{p} = \text{rad}(\mathfrak{a}) = \text{rad}(\mathfrak{a}' + \mathfrak{a}_r)$   
by (\*2)

$\subseteq \text{rad}(\mathfrak{p}' + (a_r)) \subseteq \mathfrak{p}'$

$\Rightarrow \mathfrak{p} = \text{rad}(\mathfrak{p}' + (a_r))$  hence (\*1)

$\text{ht}(\mathfrak{b}) = s-1, \mathfrak{p}_i = \text{rad}(\mathfrak{q}_i)$

$\text{ht}(\mathfrak{b}) \leq s-1$

$\exists \mathfrak{a} \notin \bigcup \mathfrak{p}_i$

(bec  $\forall \mathfrak{a} \in \bigcup \mathfrak{p}_i - \mathfrak{a}$  will give.

$\text{ht}((\mathfrak{a}_1, \dots, \mathfrak{a}_s)) \leq s$  by Krull

$\geq s$  by M.P.D.

Lec 10-1

Theorem.

Converse To Krull's Dimension Theorem  
Lenzlo (Bosch).

III A: Noeth.  $\mathfrak{a} \subseteq A$ ,  $\text{ht}(\mathfrak{a}) = r$ .

$\exists \mathfrak{a}_1, \dots, \mathfrak{a}_s$  st.  $\text{ht}(\mathfrak{a}_1, \dots, \mathfrak{a}_s) = r$

$\exists \mathfrak{a}_1, \dots, \mathfrak{a}_{s+1}$  st.  $\text{ht}(\mathfrak{a}_1, \dots, \mathfrak{a}_{s+1}) = s-1$

then  $\exists \mathfrak{a} \in A$  st.  $\text{ht}(\mathfrak{a}_1, \dots, \mathfrak{a}_s) = s$ .

OR Lec:  $\forall s \in \{1, \dots, r\}, \exists x_1, \dots, x_s \in \mathfrak{a}$  st.

$\text{ht}(x_1, \dots, x_s) = s$ .

(i)  $\exists p_0 \in \mathfrak{a} \subsetneq \mathfrak{p}_r, \exists x_1, \dots, x_r \in \mathfrak{p}_r$ .

st.  $\mathfrak{p}_i \supseteq (x_1, \dots, x_i)$  minel.

(ii)  $\forall p$ : prime, if  $\text{ht}(p) = r$  is a minel prime of some ideal  $(a_1, \dots, a_r)$ .

(i)  $\Rightarrow$  (ii)

Pf: (i)

$\text{ht}(\mathfrak{a}) = r \Rightarrow \exists \text{ char } p_0 \in \mathfrak{a} \subsetneq \mathfrak{p}_r$ ,

$\mathfrak{p}_i \supseteq \mathfrak{a}$ .

Induct on  $s$ ,  $x_1, \dots, x_s$  st.

$\mathfrak{p}_i \supseteq (x_1, \dots, x_s)$  minel  $\forall i \leq s$ .

then  $\text{ht}(\mathfrak{p}_i) \leq i$ ,  $\text{ht}(\mathfrak{p}_r) \geq i$   
(by Theorem 0) (by the existing ch.)

Initiation,  $s=1$ .

Consider the minel prime  $\{q_j\} = \text{Ass}'(x_1, \dots, x_i)$

Claim  $\mathfrak{p}_{i+1} \not\subseteq \bigcup_{j=1}^i q_j$

If not,  $q_j$ : prime,  $\mathfrak{p}_{i+1} \subseteq q_j, q_j$ .

then  $\text{ht}(q_j) \leq i$  by Krull,  $\text{ht}(\mathfrak{p}_{i+1}) \geq i+1$ .

Contradiction.

• Corollary 5.

A: Noeth,  $\mathfrak{a} \subseteq A$   $\text{ht}(\mathfrak{a}) = \text{ht}(\mathfrak{a})$

then  $\exists a_1, \dots, a_r \in A$  st.  ~~$\mathfrak{a} = (a_1, \dots, a_r)$~~

$\text{ht}(\mathfrak{a}) = \text{ht}(a_1, \dots, a_r) = r$

Pf: ISTS by induction

For  $s \leq r$ , if we can find  $a_1, \dots, a_s \in \mathfrak{a}$

with  $\text{ht}((a_1, \dots, a_s)) = s-1$ .

then  $\exists a \in \mathfrak{a} \text{ st. } \text{ht}((a_1, \dots, a_s)) = s$

Let  $\frac{(a_1, \dots, a_s)}{b}$  with MPD =  $\bigcap_{i=1}^s q_i$

Let  $x_{i+1} \in \mathbb{P}_{i+1} - \bigcup_{j=1}^i \mathfrak{q}_j$

Then  $\mathbb{P}_{i+1} \supseteq (x_1, \dots, x_{i+1})$

Want  $\mathbb{P}_{i+1} \in \text{Ass}^1((x_1, \dots, x_{i+1}))$

Corollary:

$(A, m)$  Noeth. local,  $\dim(A) = \text{ht}(m) < \infty$

$\exists x_1, \dots, x_n \in m$ , s.t.  $m$  is minimal over  $(x_1, \dots, x_n)$ .

$m = \text{rad}(x_1, \dots, x_n)$  and  $(x_1, \dots, x_n)$  is

Recall, any ideal whose radical is maximal is necessarily a primary ideal.

Def.  $d = \dim(R) \Rightarrow (R, m)$  Noeth. local.  
 $(x_1, \dots, x_d) \in m$ -primary.

then  $(x_1, \dots, x_n)$  is system of parameters.

IFF 1/3 (TFAE) holds:

(i)  $m \supseteq (x_1, \dots, x_n)$  when

(ii)  $m = \text{rad}(x_1, \dots, x_n)$

(iii)  $(x_1, \dots, x_n) \in m$ -primary.

Theorem.  $(A, m)$  Noeth. local.

If  $x_1, \dots, x_r \in m$ , TFAE

(i)  $x_1, \dots, x_r$  can be extended to the system of parameters of  $m$ .

(ii)  $\dim(A/(x_1, \dots, x_r)) = \dim(A) - r$ .

(iii)  $\text{ht}((x_1, \dots, x_r)) = r$

Then (i)  $\Leftrightarrow$  (ii)  $\Leftrightarrow$  (iii).

(i)  $\Leftrightarrow$  (ii).

$y_1, \dots, y_s \in m$ .  $\bar{A} = A/(y_1, \dots, y_s)$

$\bar{A} = A/(x_1, \dots, x_r)$ .  $\bar{m} \subseteq \bar{A}$ : image of  $m$ .

$(\bar{A}, \bar{m})$  Noeth. local.

$\bar{y}_1, \dots, \bar{y}_s \in \bar{A}$ .

How can  $\{x_1, \dots, x_n, y_1, \dots, y_s\}$  form a system of parameters.

$\Downarrow$

$r+s = \dim(A)$ ,  $\nexists (x_1, \dots, x_r, y_1, \dots, y_s) : m$ -prim

$\Downarrow$

$r+s = \dim(A)$ ,  $\underline{\nexists (x_1, \dots, x_r, y_1, \dots, y_s) = m}$

$\Downarrow$  OR  $m$ : only prime containing  $(x_1, \dots, y_s)$

$r+s = \dim(A)$ ,  $\bar{m}$ : only prime containing  $(\bar{x}_1, \dots, \bar{y}_s)$

$\Downarrow$

$r+s = \dim(A)$ ,  $\nexists \bar{y}_1, \dots, \bar{y}_s \rightarrow (\bar{y}_1, \dots, \bar{y}_s)$

$\bullet$   $\{\bar{y}_1, \dots, \bar{y}_s\}$  system of generators of  $(\bar{A}, \bar{m})$ .

$\Downarrow$

$s = \dim(\bar{A})$ ,  $(\bar{y}_1, \dots, \bar{y}_s) : \bar{m}$ -primary.

$\bullet$   $\exists y_1, \dots, y_s$  s.t.  $(x_1, \dots, y_s) : m$ -primary

$\Downarrow$

$\dim(A) \leq r+s$

$\bullet$   $\exists \bar{y}_1, \dots, \bar{y}_s$  s.t.  $(\bar{y}_1, \dots, \bar{y}_s) : \bar{m}$ -primary,  
 $\Rightarrow \dim(\bar{A}) \leq s$ .

(i)  $\Rightarrow$   $\exists y_1, \dots, y_s$  s.t.  $(x_1, \dots, y_s)$  system of gen

$r+s = \dim(A) \Rightarrow d$

Suppose  $t < s$ ,  $z_1, z_t \in A$

$(z_1, \dots, z_t)$   $m$ -primary. (this happens if  $\dim(\bar{A}) < s$ )

Then  $(x_1, \dots, x_r, z_1, \dots, z_t) : m$ -primary.

$\Rightarrow \dim(A) \leq r+t < r+s$ . contradiction.

(ii)  $\Rightarrow$   $s = \dim(A) - r = \dim(\bar{A})$

$\exists y_1, \dots, y_s \in A$  s.t.  $\{\bar{y}_1, \dots, \bar{y}_s\}$  is a system of parameters.  $\Rightarrow \{\bar{y}_1, \dots, \bar{y}_s\} : \bar{m}$ -primary.

$\Rightarrow (x_1, \dots, y_s) : m$ -primary

and  $s+r = \dim(A)$ .

thus  $(x_1, \dots, x_r)$  is extendable.

# CTD Lec 10-1.

Col:  $(A, m)$  Noeth, local.

$a \in A$  : non zero divisor.

then  $\dim(A/(a)) = \dim(A) - 1$ .

$\text{ht}(a) = 1$ , P.I.D. theorem.  
(null.)

by the theorem above:

$$\dim(A/(a)) = \dim(A) - 1$$

(bec any  $(a)$  is contained in  $m$ ) ■

A: Noeth  $\Rightarrow \dim A[x_1, \dots, x_n] = \dim(A) + n$

Pf: we may assume  $n=1$  and iterate  
with  $A$  replaced by  $A[x_1, \dots, x_{n-1}]$  to show  
for  $A[x_1, \dots, x_n]$ .

①  $\dim A[x] \geq \dim A + 1$ .

$\nexists f \dots \in f_n \in A \ n = \dim(A)$

Consider  $P_0 A[x] \subset \dots \subset P_n A[x] \subset T$

$P_i A[x]$  prime b/c  $A[x]/P_i A[x] \cong [A/P_i][x]$   
integral domain.

$$T = \underbrace{P_n A[x]}_{\text{prime b/c } A[x]/T \cong A/P_n} + x \cdot A[x].$$

prime b/c  $A[x]/T \cong A/P_n$

②  $\dim A[x] \leq \dim A + 1$

$\dim A[x] = \sup_{\text{minimal}} \text{ht}(m).$

ISTS:  $m$  maximal,  $\text{ht}(m) \leq r+1$   
where  $r = \dim A$ .

We may assume  $r < \infty$ .

Consider  $\frac{A}{p} \subseteq A[x]$ , prime in  $A$   
 $p = m \cap A$

Localize at  $p$ :  $S = S_p = A - p$

$S^{-1}A = A_p$  : local with maximal ideal  $pA_p$

$$S^{-1}(A[x]) \cong (S^{-1}A)[x] = A_p[x].$$

Then  $S^{-1}m \subseteq S^{-1}A[x]$  remains a

maximal ideal and  $\text{ht}(S^{-1}m) = \text{ht}(m)$

bec localization preserves primes & inclusions.

We may assume  $(A, p)$ : Noetherian

local ring,  $m \subseteq A[x]$  maximal,  $m \cap A = p$

$$\dim(A) < \infty$$

Want  $\text{ht}(m) \leq r+1$ .

ISTS: construct  $a = (a_0, \dots, a_{r+1}) \in A[x]$ ,

$$a \in m.$$

Know  $t = \dim(A) = \text{ht}(p)$

then  $\exists x_1, \dots, x_r \in A$  s.t.  $p$  is the  
only prime of  $A$  containing  $(x_1, \dots, x_r)$ .

$$\text{i.e. } \text{rad}((x_1, \dots, x_r)) = p.$$

$$\text{Consider: } A[x] \rightarrow A[x]/pA[x] \cong \underline{A/p}[x]$$

■ Note:  $m \supseteq pA[x]$  P.I.D.

$m \mapsto \overline{m}$ : maximal.

then  $\overline{m} = (\overline{f})$  for some  $\overline{f} \in (A/p)[x]$

then let  $f \in m$ ,  $f \mapsto \overline{f}$  by quotient  $pA[x]$ .

Claim:  $m$  the only prime  $q$  that contains  
 $x_1, \dots, x_r, f$

Indeed,  $q \cap A$  is a prime containing  $x_1, \dots, x_r$

$$q \cap A = p.$$

$q \supseteq pA[x]$ , then  $\overline{q} \subseteq A[x]/pA[x]$  contains  $\overline{f}$

hence  $\overline{q} = \overline{m}$  hence  $q = m$ . ■

• One other example of dimension computation

$$K[[x,y]] := \{ \text{formal power series in } x, y \text{ over } K \}$$

$$= \left\{ \sum_{i,j \geq 0} c_{ij} x^i y^j \right\}$$

$K[[x,y]]$  Noeth local ring with  $(x,y)$  maximal

let  $A = k[[x,y]] / (x^2, xy)$ ,  $\overset{x=0}{\cancel{x}}$

$$\dim A = ?$$

$$A/(x) \cong k[[y]].$$

$$A/(x,y) \cong k$$

both  $k$ ,  $k[[y]]$  integral domains.

$(x) \subset (x,y)$  chain of primes.

$$\dim(A) \geq 1$$

$$A \supseteq m = (x,y), \text{ i.e. } m \text{ is the } x=\bar{x}, y=\bar{y}$$

$$m = (\bar{x}, \bar{y})_A = (x, y)_A$$

claim  $\text{rad}((y)) = m$ .

$$\text{if: } x^2 = 0 \in (y), y^2 \in (y)$$

$$\Rightarrow m \subseteq \text{rad}((y)) \Rightarrow m = \text{rad}((y))$$

hence  $\dim A \leq 1$ .

\* Lem.  $k = \bar{K}$ . (say  $k = \mathbb{C}$ )

$$A = K[x_1, \dots, x_n]$$

Let  $m \subseteq A$  be a maximal ideal.

$$\text{then } m = \langle x_1 - x_1, \dots, x_n - x_n \rangle$$

$$\text{for } (x_1, \dots, x_n) \in k^n.$$

$$\text{then } ht(m) = n.$$

and  $A_m$  is a local ring of  $\dim N$  with  $m_{A_m}$  has exactly  $n$  generators.

Pf:  $m_{A_m}$  has  $n$  generators.

$$\dim(A_m) = ht(m_{A_m}) = ht(m) \leq \dim(A) = n$$

$$ht(m) \geq n \text{ bcc}$$

$$m = \langle p_1, \dots, p_n \rangle, P_i = (x_1 - x_1, \dots, x_i - x_i)$$

•  $(A, m)$ : Noether local.  $d = \dim(A) = ht(m)$

$$\text{Lem: } \oplus k = A/m, d \leq \dim_{A/m}(m/m^2)$$

② TFAE.

(i)  $m = \langle x_1, \dots, x_d \rangle$

(ii)  $d = \dim_{A/m}(m/m^2)$

• Def: If (i)/(ii) hold, then  $(A)$  regular.

EX:  $K[x_1, \dots, x_n]$  is regular.

Pf: (i)  $\Rightarrow$  (ii).

$m = \langle x_1, \dots, x_d \rangle$ , then  $m/m^2 = \langle \bar{x}_1, \dots, \bar{x}_d \rangle$

$$\text{so } \dim_{A/m}(m/m^2) \leq d.$$

(ii)  $\Rightarrow$  (i).

Some some proof to ①.

• Motivation.

Show:  $\forall A$ : integral domain  $A$ :  $F, G$  as algebra over  $K \subseteq A$  as field.  
then  $\dim(A) = \text{tr.deg}_K(\text{Frac}(A))$

$$\text{Ex: } A = k[x_1, \dots, x_n]$$

this already true.

Want: connect to  $K[x_1, \dots, x_n]$ , this requires more intro.

• Integral Extension

$$x^n + a_1 x^{n-1} + \dots + a_n = 0. \quad (*)$$

algebraic if  $(*)$  satisfied. (Generalize To Rngs)

Def:  $A \subseteq B$ , rings,  $x \in B$  integral over  $A$ .  
if  $\exists n \geq 1$ , s.t.  $a_1, \dots, a_n \in A$ , s.t.  $(*)$  holds

•  $B$  is integral over  $A$  if  $\forall x \in B$  is integral over  $A$ .

Note:  $x, y$  integral over  $A$  then  $x+y, xy$  integral over  $A$ .

• key Lem  $A \subseteq B$  rings TFAE.  $\forall x \in B$

①  $x$  is integral over  $A$

②  $A[x]$  is a  $F, G$   $A$ -mod.

i.e.  $\exists e_1, \dots, e_n \in A[x]$ . s.t.  $A[x] = \sum A e_i$

③  $\exists$  Subring  $C$  s.t.  $A[x] \subseteq C \subseteq B$ .

④  $\exists$  faithful  $A[x]$ -mod  $M$  which is  $F, G$  as an  $A$ -mod.

Faithful: if  $y \in A[x]$ . s.t.  $y \cdot m = 0 \forall m \in M$

then  $y = 0$ .

Ex:  $\frac{1}{2} \in \mathbb{Q}$  is not integral over  $\mathbb{Z}$ .  
bcc  $\mathbb{Z}(\frac{1}{2})$  is not  $F, G$   $\mathbb{Z}$ -mod.

Pf: (i)  $\Rightarrow$  (ii') if  $x$  has  $(*)$ .

$$A[x] = \sum_{i=1}^{n+1} A_i x^i$$

(ii')  $\Rightarrow$  (ii)  $C = A[x]$ .

(ii')  $\Rightarrow$  (iv):  $M_i = C$

(iv)  $\Rightarrow$  (v):  $M = \sum_{i=0}^n A_i e_i, e_i \in M$

$M$ :  $A$ -mod, F.G.

~~M~~: faithful.

$y \in A[x]$ . Let  $\frac{M}{y} = \langle e_0, \dots, e_n \rangle$ .

Want if  $x$

Want:  $x$ : integral over  $A$ .

$\exists a_{ij}, x e_j = a_{ij} e_i \dots$

$e_n = \text{char}_x \dots$

$$\sum_j (\delta_{ij} x - a_{ij}) e_j = 0, \forall i.$$

$$\Delta^{ij} = \delta_{ij} x - a_{ij}.$$

$$e = (e_0, \dots, e_n)^T \in M^n$$

$$\Delta \cdot e = (0, \dots, 0)^T \in M^n.$$

$$\Delta^{\text{ad}} \cdot \Delta \cdot e = (0, \dots, 0)^T \in M^n$$

$$\Delta^{\text{ad}} \cdot \Delta = \begin{pmatrix} \det(\alpha) & & \\ & \ddots & \\ & & \det(\alpha) \end{pmatrix}$$

$$\Rightarrow (\det(\alpha) e_1, \dots, \det(\alpha) e_n) = (0, \dots, 0)$$

$$\det(\alpha) m = 0, \forall m \in M$$

$$\text{thus } \det(\alpha) = 0 \in A[x].$$

$$\text{but } \det(\alpha) = x^n + \dots$$

$$\text{thus } x: \text{integral over } A.$$

$$x e_j \in \frac{M}{y}.$$

### Exercise Class Week 11.

$$R = \langle [x, y, z], / (xy, xz) \rangle$$

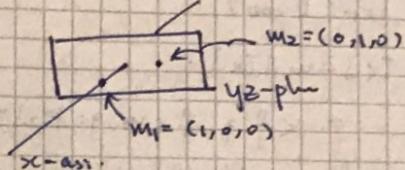
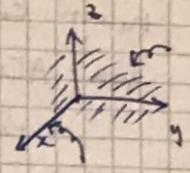
$$m_1 = (x-1, y, z), m_2 (x, y-1, z)$$

$$\text{ht}(m_1) = 2, \text{ht}(m_2) =$$

$$R / (xy, xz)$$

$$x=0, y=z=0.$$

$x$ -axis &  $yz$ -plane.



the picture suggests  $\text{ht}(m_1) = 1, \text{ht}(m_2) = 2$ .

Pf:  $\exists$   $\text{ht}(m_1) = \dim R_{m_1}$ .

$$\text{In } R_{m_1}, \overline{y} = \overline{xz} = \overline{0}, \overline{y} = \overline{z} = 0.$$

$$\overline{x-1} \in m_1, x \notin m_1$$

$$\Rightarrow \overline{x} \in R_{m_1}^*, \overline{xy} = 0 \Rightarrow \overline{y} = 0 \quad \text{in } R_{m_1}$$

$$\text{then } R_{m_1} \cong \mathbb{C}[T]/(T-1)$$

$$\text{ht}(m_1) = \dim R_{m_1} = \dim \mathbb{C}[T]/(T-1)$$

$$= \text{ht}(T-1) \text{ in } \mathbb{C}[T] = 1$$

$\circledast$  omitted.

•  $A$ : general ring,  $\dim A + 1 \leq \dim A[x] \leq 2\dim A + 1$

Pf:  $\exists A: \dim A = 1, \dim A[x] = 3$ .

$\nwarrow$  •  $K$ : field,  $\pi$ : transcendental over  $K$ .

$$A = \{ f \in K(\pi)[[y]] : f(0) \in K \}.$$

$$\text{i.e. } A = \{ a_0 + \sum_{i \geq 1} a_i y^i \mid a_i \in K(\pi), a_0 \in K \}.$$

$A$ : not Noether.

$$\text{spec } A = \{ (0), \langle \{ \sum_{i \geq 1} a_i y^i \mid a_i \in K(\pi) \} \rangle \}.$$

$$= \{ (0) \} \cup \{ \{ f \in K(\pi)[[y]] \mid f(0) = 0 \} \}_{\text{maximal in } A}.$$

$A[x]$ ,  $(0)$  is prime.

take  $m, M[x]$ .  $A[x]/m[x] \cong (A/m)[x]$

thus  $m[x]$  is not maximal in  $A[x]$ , (not field)

$(0) \subseteq \dots \subseteq m[x] \subseteq M_A[x]$   
(prime)

Consider  $A[x] \xrightarrow{\pi} A$ , by evaluating  $x \mapsto \pi$   
 $K_0 = \ker(V_{\pi})$ ,  $K_0 \in \text{Spec } A[x]$ .

Check  $f \in A^*$   $\Leftrightarrow f|_{K_0} \neq 0$ .  
 $m = \langle \pi^k y; k \in \mathbb{Z} \rangle$ .

Because  $A[x]/K_0 \cong A$ .  
Want  $K_0 \subseteq m[x]$  (Integral domain)

$V_{y=0}: A[x] \longrightarrow \{g \in K(\pi)[x]: g(0) \in K\}$

that send  $y \mapsto 0$

$m[x] = \ker(V_{y=0})$

$m[x] = m A[x]$ .

$$= \langle f, g, f \in m, g \in A[x] \rangle$$

$$V_{y=0}(f \cdot g) = \underbrace{V_{y=0}(f)} \cdot V_{y=0}(g) = 0.$$

$$\text{thus } m[x] \subseteq \ker(V_{y=0})$$

Now,  $g \in \ker(V_{y=0})$

$$g = \sum_{i=0}^K f_i(y) x^i$$

$$f_i(y) = a_{0,i} + \sum_{j=1}^{\infty} a_{j,i} y^j$$

$$\text{thus } 0 = \sum_{i=0}^K a_{0,i} x^i.$$

$$\text{thus } a_{0,i} = 0 \quad \forall i.$$

$$\text{thus } g = \sum_{i=1}^K f_i(y) x^i$$

$$f_i(y) = \sum_{j=1}^{\infty} a_{j,i} y^j$$

Hence,  $g \in m[x]$

Let  $g \in \ker(V_{y=0}) = K_0$ .

$$V_{y=0}(V_{\pi}(g)) = 0 = \cancel{V_{\pi}(V_{y=0}(g))}$$

$$V_{\pi}(V_{y=0}(g)) = V_{\pi}\left(\sum_{i=1}^K a_{i,0} x^i\right) = \sum_{i=1}^K a_{i,0} \pi^i$$

$$g = \sum_{i=1}^K f_i(y) x^i, f_i(y) = \dots$$

$$\text{thus } V_{\pi}(V_{y=0}(g)) = V_{y=0}(V_{\pi}(g)) = 0$$

$$\text{thus } a_{i,0} = 0, \forall i$$

$$\text{thus } V_{y=0}(g) = 0 \quad (\text{see } *)$$

$$g \in m[x], \text{ thus } K_0 \subseteq m[x].$$

$$0 \subseteq K_0 \subseteq m[x] \subseteq m.$$

Prove Strict Inclusion.

~~$$\text{① } V_{y=0}(y\pi - yx) = y\pi - \pi y = 0.$$~~

$$\text{② } y \in m[x], y \notin K_0$$

## Lec 10-1 Contents.

- "Converse" to Krull Dimension Theorem. (Bosch PFF)

## Lec 9-2 . Contents.

- $R$ : Noeth.,  $\mathfrak{a} \subseteq R$   $\mathfrak{a} = (a_1, \dots, a_r)$ ,  
 $\forall p \in \text{Ass}^1(\mathfrak{a})$ , ideal.  
 $ht(p) \leq r$ . (Atiyah, Bosch)

## Lec 11-1.

$$\underline{A \subseteq B \subseteq C}$$

Lem<sup>2</sup>.  $A \subseteq B \subseteq C$ ,  $x$ : integral over  $A$

$\Rightarrow x$  is integral over  $B$ .  $\square$

Lem<sup>3</sup>.  $A \subseteq B \subseteq C$ .  $C$ : finite  $B$ -mod.

$B$ : finite  $A$ -mod

$\Rightarrow C$ : finite  $A$ -mod.

Pf:  $B[x_1, \dots, x_n] \hookrightarrow C$  surj

$A[y_1, \dots, y_n] \rightarrow B$ . surj

$(A[y_1, \dots, y_n])[x_1, \dots, x_n] = A[z_1, \dots, z_{n+m}] \rightarrow C$

Surj.

$\square$

Lem<sup>4</sup>.  $A \subseteq B$ : rigs.  $x_1, \dots, x_n \in B$ , integral over  $A$ .

then ①  $A[x_1, \dots, x_n]$ : finite over  $A$ . (F.G.  $A$ -mod)

$\sqrt{x_1, \dots, x_n}$ ,  $x_i$ : integral over  $A$ .

②  $A[x_1, \dots, x_n]$  is finite integral over  $A$ .

Lem<sup>5</sup>.  $A \subseteq B$ , TFAE.

(i)  $B$ : integral over  $A$  - F.G. as  $A$ -alge

(ii)  $B$ : finite as  $A$ -mod, (F.G.)

Pf: (ii)  $\Rightarrow B = A[x_1, \dots, x_n]$  for some  $x_i \in B$ .

$\Rightarrow$  by Lem<sup>4</sup>,  $B$ : F.G.  $A$ -mod.

(iii)  $\Rightarrow$  (i) by Lem<sup>2</sup>, (iii)  $\Rightarrow$  (i)

Lem<sup>6</sup>.  $A \subseteq B$  rings,  $\bar{A} = \{x \in B : x \text{ integral over } A\}$ .

$\bar{A}$ :  $A$ -subalgebra of  $B$ .

Pf: If  $x, y \in B$  are integral over  $A$ , then

Lem<sup>4</sup>  $\Rightarrow A[x, y] : \text{F.G. } A\text{-mod, integral over } A$

so  $xy, a_1x + a_2y \in A[x, y]$  are integral over  $A$ .

Thus  $\bar{A} = A$ -subalgebra.

Lem<sup>7</sup>.  $A \subseteq B \subseteq C$ ,  $B$ : integral over  $A$ ,

$C$ : integral over  $B \Rightarrow C$ : integral over  $A$

Pf:  $x \in C$ , let  $x^n + b_1x^{n-1} + \dots + b_n = 0$  for  $b_i \in B$

$B_0 = A[b_1, \dots, b_n]$  is F.G.  $A$ -mod.

by Lem<sup>5</sup>,  $B_0$ : integral over  $A$ , F.G.  $A$ -mod.

$x$ : integral over  $B_0$ . thus  $B_0[x] : \text{F.G. } B_0\text{-mod.}$

thus  $B_0[x] \supseteq B_0 \supseteq A$

$\Rightarrow B_0[x] \stackrel{\text{finite flat}}{\text{F.G. }} A\text{-mod.}$

by Lem<sup>2</sup>,  $\underline{B_0[x]} \cdot \underline{A[x]} \subseteq B_0[x] \subseteq C$

(bec  $A \subseteq B_0$ )

thus  $x$  integral over  $A$ .

Cor 8:  $A \subseteq B$ , rings.

Def:  $A \subseteq B$ ,  $\bar{A}$

Integral closure of  $A$  in  $B$ .

$A$  : integral closed in  $B$  if  $\bar{\bar{A}} = \bar{A}$ .

Cor 8:  $\bar{\bar{A}}$  is integral closed in  $B$ ,  $\bar{\bar{\bar{A}}} = \bar{\bar{A}}$ .

Pf: Restatement of Cor 8.

$\left\{ \begin{array}{l} A \subseteq B \text{ rings}, x \in B \text{ integral over } \bar{A}. \\ \text{Then } x \in \bar{A}. \end{array} \right.$

$$\begin{aligned} & \text{① } \bar{A} \subseteq \bar{\bar{A}} \\ & \text{② } \bar{\bar{A}} \subseteq \bar{A} \text{ b/c} \\ & \quad \bar{\bar{A}} \supseteq \bar{A} \text{ 2A} \\ & \quad \text{integral, integral} \end{aligned}$$

i.e. if  $x$  integral over  $\bar{A}$ , then  $x$  integral over  $A$ .  
 $(x^n + a_1 x^{n-1} + \dots + a_n = 0) \quad (\bar{a}_i \bar{x}^i + \dots = 0)$

Lem 9.  $A \subseteq B$ ,  $b \subseteq B$ : ideal,  $a = A \cap b$ .

If:  $B$  integral over  $A$ , then  $B/b$  integral over  $A/a$

Pf:  $x + b \in B/b$ , wkt.

$$x^n + \dots = 0 \quad (x \text{ integral over } A) \\ \text{(coefficients in } A\text{)}$$

$$\bar{x}^n + \dots = 0 \quad (\text{take quotient of } x) \\ \text{(coefficients in } A\text{)}$$

$$\bar{x}^n + \dots = 0 \\ \text{(take quotient of coefficients).} \quad \square$$

Lem 10.  $S \subseteq A \subseteq B$ , then  $B$  integral over  $A$

multiplication by  $S^{-1}$   $\Rightarrow S^{-1}B$  integral over  $S^{-1}A$

Pf:  $\frac{x}{s} \in S^{-1}B$ ,  $x \in B$ ,  $s \in S$ .

$$x^n + a_1 x^{n-1} + \dots + a_n = 0 \quad (a_j \in A)$$

$$\left(\frac{x}{s}\right)^n + \frac{a_1}{s} \left(\frac{x}{s}\right)^{n-1} + \dots + \frac{a_n}{s^n} = 0.$$

$\frac{x}{s}$  : integral over  $S^{-1}A$

□

Def  $A$ : domain, (ID).  $K = \text{Frac}(A)$

$A$  is normal IFF  $A$  : integrally closed in  $K$ .

(normal can be called as "integrally closed" in txt).

$A$  is normal IFF  $x \in \overline{A} \subseteq K \Rightarrow x \in A$ .

Lemma.  $\mathbb{Z}$  is normal. ( $\Rightarrow \frac{1}{2}$  not integral over  $\mathbb{Z}$ )

Pf: Let  $x \in \mathbb{Q}^\times$ ,  $x = r/s$ ,  $\gcd(r, s) = 1$ ,  $r, s \in \mathbb{Z}$ ,  $s \neq 0$

Suppose  $a_1, \dots, a_n \in \mathbb{Z}$ ,  $x^n + a_1x^{n-1} + \dots + a_n = 0$

Multiply by  $s^n$ ,  $r^n = -(a_1s^{n-1} + \dots + a_ns^n)$

thus  $r^n \equiv 0 \pmod{s}$ , thus  $s|r^n$ ,

since  $\gcd(r, s) = 1$ , thus  $s \in \mathbb{Z}^\times$

$\Rightarrow x \in \mathbb{Z}$ .

Lemma Any UFD is normal. (Same proof)

e.g.  $\mathbb{Z}$ ,  $K[x_1, \dots, x_n]$ .

Ex.  $A = k[x^2, x^3] \subseteq K = \text{Frac}(A) = k(x)$   $k[x]$   
bec  $(x^3/x^2) = (x)$ .

$A$  is not normal.

bec  $x \in K$ ,  $x^2 - (x^2) = 0$ , but  $x \notin A$ .  
 $\downarrow$   
as in  $A$

Ex.  $K[x(x-1), x^2(x-1)]$  is not normal

~~Ex.~~  $A$  : normal domain,  $K = \text{Frac}(A)$ .

Prop:  $L/K$ : <sup>algebraic</sup> field extension, then  $B = \text{Frac}(L)$  is normal. Check  $\leftarrow \text{Frac}(B) = L$

$B = \overline{A} \subseteq L$ , then  $B$  is normal

e.g.  $K = \mathbb{Q}$ ,  $L/K$ : finite extension.  $A = \mathbb{Z}$

$L$ : number field.  $B = \mathbb{Q}_L$ : ring of integers in  $L$ .

e.g.  ~~$L = \mathbb{Q}(\sqrt{3})$~~ ,  $\mathbb{Q}_L = \mathbb{Z}[\frac{1+\sqrt{3}}{2}] \neq \mathbb{Z}[\sqrt{3}]$

thus  $\mathbb{Z}[\sqrt{3}]$  is not normal.

Def. A domain,  $A^{\text{norm}} = \text{integral closure of } A \text{ in } \text{Frac}(A)$

$A^{\text{norm}}$  is the normalization of  $A$ .

$A^{\text{norm}}$  is usually normal

Ex.  $k[x^2, x^3]^{\text{norm}} = k[x]$ .

$$\mathbb{Z}[\sqrt{-3}]^{\text{norm}} = \mathbb{Z}\left[\frac{1+\sqrt{-3}}{2}\right],$$

Lem<sup>12</sup>.  $A \subseteq B$ ,  $B$  integral over  $A$ .

(i) ( $A$ : field  $\iff B$ : field.) Given  $A, B$  both domains

(ii)  $\mathfrak{q} \subseteq B$  prime, thus  $\mathfrak{p} = \mathfrak{q} \cap A$  prime in  $A$ )

The  $\mathfrak{q}$ : maximal  $\iff \mathfrak{q}$  prime

pf: (ii)  $\Rightarrow$  (i) (let  $x \neq 0$   $x \in B$ .

let  $x^n + a_1x^{n-1} + \dots + a_n = 0$  with  $a_1, \dots, a_n$  in  $B$ .

let  $n$  be min such equation exists.

$a_n \neq 0$ , otherwise  $x$  can be cancelled since  $B$ : domain

then  $x^{n-1} + a_1x^{n-2} + \dots + \frac{a_n}{x} = 0$ . (\*1)

thus if  $A$ : field,  $a_n \in A^\times$ ,  $\frac{1}{x} = -\left(\frac{x^{n-1}}{a_n} + \frac{a_1}{a_n}x^{n-2} + \dots\right)$

(\*1) as hold in  $\text{Frac}(B)$ .

then  $\frac{1}{x} = -\left(\frac{x^{n-1}}{a_n} + \frac{a_1}{a_n}x^{n-2} + \dots\right)$  is actually in  $B$ .

(i)  $\Leftarrow$ .  $B$ : field,  $x \in A - \{0\} \subseteq B - \{0\}$ .

$\frac{1}{x} \in B$  ( $B$ : field), integral over  $A$  so.

$$\left(\frac{1}{x}\right)^n + a_1\left(\frac{1}{x}\right)^{n-1} + \dots + a_n = 0.$$

for some  $a_j \in A$ .

and multiply by  $x^{n-1}$ ,

$$\underbrace{\frac{1}{x} + a_1 + a_2x + \dots + a_nx^{n-1}}_{= a \in A} = 0.$$

(ii) Apply  $A/\mathfrak{p} \subseteq B/\mathfrak{q}$  with (i).

## Lec 11-2.

- Cor  $(A, m)$  local,  $A \subseteq B$  integral extension.

Then  $\{m' \in B : m' \text{ maximal}\} = \{n \in B : m' \cap A = m\}$

Pf: follows directly from Lem<sup>12</sup> (iii) of Lec 11-1.  $\square$

- Theorem I.  $A \subseteq B$  integral extension.

(i)  $\forall p \in \text{spec}(A)$ ,  $\exists q \in \text{spec}(B)$ .

$$\text{st. } p = q \cap A.$$

i.e.  $\text{spec}(B) \rightarrow \text{spec}(A)$  by

$q \mapsto q \cap A$  is surjective.

Def:  $A \subseteq B$ , integral

extensions,  $q \in \text{Spec}(B)$

"lies over"  $p \in \text{Spec}(A)$

iff  $q \cap A = p$ .

(ii) Incompatibility. Primes in  $B$  lying over

a fixed prime in  $A$  is incompatible.

i.e. if  $q, q' \in \text{spec}(B)$  st.  $q \cap A = q' \cap A$ , then

$$q \subseteq q' \Rightarrow q = q'.$$

i.e. if  $q, q' \in \text{spec}(B)$ ,  $q \subseteq q'$ , then.

$$q \cap A \subseteq q' \cap A.$$

(iii)  ~~$\forall q \in q \quad \forall p \subseteq p' \in \text{spec}(A). \exists q \subseteq q' \in \text{spec}(B)$~~

$$\text{st. } p = q \cap A, p' = q' \cap A.$$

Equiv.  ~~$A \subseteq B$~~

$$p_1 \subseteq \dots \subseteq p_n \in \text{spec}(A)$$

$$\exists q_1 \subseteq \dots \subseteq q_n \in \text{spec}(B), \text{ st. } q_i \cap A = p_i, \forall i.$$

Moreover, by (ii).  $\forall p \not\subseteq p' \in \text{spec}(A), \exists q \not\subseteq q' \in \text{spec}(B) \dots$

Equiv.  ~~$A \subseteq B$~~

$$\exists q_1, q_2, \dots \not\subseteq q_n \in \text{spec}(B), \text{ st. } q_i \cap A = p_i, \forall i.$$

Cor  $A \subseteq B$  integral extension.

(i)  $\dim(A) = \dim(B)$

(ii)  $\dim(A/\mathfrak{a}) = \dim(B/\mathfrak{b})$  given  $\mathfrak{b} \subseteq B$ ,  $\mathfrak{a} = \mathfrak{b} \cap A$ .

(iii)  $\text{ht}(\mathfrak{b}) \leq \text{ht}(\mathfrak{a})$  given  $\mathfrak{b} \subseteq B$ ,  $\mathfrak{a} = \mathfrak{b} \cap A$  -  
ideal

Pf: (i) Clearly from Thm 1

(ii) Notice  $A/\mathfrak{a} \subseteq B/\mathfrak{b}$  is again integral extension.

(iii) Let  $\mathfrak{p}$  be the minimal prime divisor of  $\mathfrak{a}$ , then let  $\mathfrak{q}$  lying over  $\mathfrak{p}$ ,  $\text{ht}(\mathfrak{q}) \leq \text{ht}(\mathfrak{p})$  by "taking intersections", (actually,

$\text{ht}\mathfrak{p} = \text{ht}\mathfrak{q}$ ), thus  $\text{ht}(\mathfrak{b}) \leq \text{ht}(\mathfrak{q}) \leq \text{ht}(\mathfrak{p})$ , thus  $\text{ht}(\mathfrak{b}) \leq \text{ht}(\mathfrak{a})$ .  
Minimal divisor,

Pf Theorem 1:

(i)  $\mathfrak{p} \in \text{Spec}(A)$ ,  $A_{\mathfrak{p}} \subseteq B_{\mathfrak{p}}$ : integral.  
 $\begin{matrix} * & \\ \times & \# \\ 0 & \circ \end{matrix}$

$(A_{\mathfrak{p}}, \mathfrak{p}A_{\mathfrak{p}})$  local.

maximal ideals of  $B \leftrightarrow$  primes of  $B_{\mathfrak{p}}$  lying over  $\mathfrak{m}$ .  
(maximals)

③  $\mathfrak{q} \in B \xrightarrow{\exists} B_{\mathfrak{p}} \ni n: \text{maximal } (\mathbb{F})$

$\mathfrak{p} \in A \xrightarrow{\exists} A_{\mathfrak{p}} \ni m = \mathfrak{q}A_{\mathfrak{p}}$ .

↑  
primes of  $B$  over  $\mathfrak{p}$ .

↓  
maximals of  $B_{\mathfrak{p}}$ .

thus (ii) is proved as well.

(iii) let  $\mathfrak{p} \subseteq \mathfrak{p}' \subseteq A$ , consider, let  $\mathfrak{q}' \cap A = \mathfrak{p}'$ ,  $\mathfrak{q} \cap A = \mathfrak{p}$ .

$\mathfrak{p}'/\mathfrak{p} \subseteq A/\mathfrak{p} \subseteq B/\mathfrak{q}$

By lying over,  $\exists Q \in B/\mathfrak{q}$  s.t.  $Q \cap (A/\mathfrak{p}) = \mathfrak{p}'/\mathfrak{p}$ .

then  $Q = \mathfrak{q}'/\mathfrak{q}$  for some  $\mathfrak{q}' \in B$ .

□

## Galois Transitivity.

Def : normal extension  $L/K$  of fields,  $L/K$ : algebraic.  
is an extension s.t. each  $f \in K[X]$  irreducible  $\in K[X]$ ,  
that has  $\geq 1$  root in  $L$ , splits completely in  $L$ .

Def :  $L/K$  Galois : normal & separable.

Def :  $L/K$  separable if  $\forall \alpha \in L$  is separable over  $K$

Def :  $\alpha \in L$  separable over  $K$  if

$$\#\text{Hom}_K(K(\alpha), \bar{K}) = \dim_K K(\alpha).$$

(note: " $\leq$ " holds in general)

OR.  $\alpha \in L$  separable over  $K$  if

$f \in K[X]$ , minimal polynomial for  $\alpha$ .

(i.e.  $f(\alpha) = 0$ ,  $\deg(f) = \min$ )

has no repeated roots.

NB :  $\text{char}(K) = 0 \Rightarrow$  extension is separable.

Ex :  $K := \mathbb{F}_p(t)$ ,  $L := K(t^{1/p^n})$  is not separable.

$$\#\text{Hom}_K(K(\alpha), \bar{K}) = 1, \forall \alpha \in L - \text{purely inseparable}$$

Fact :  $L/K$  normal extension,  $G := \text{Aut}(L/K)$

$$L^G := \{\alpha \in L, g(\alpha) = \alpha, \forall g \in G\}.$$

$$L \supseteq L^G \supseteq K$$

Galois purely inseparable.

"Lang Algebra"

Theorem 2.  $A$ : normal domain,  $K = \text{Frac}(A)$

$L/K$ : normal extension of fields.

$B :=$  integral closure of  $A$  in  $L$ .

Then  $G := \text{Aut}(L/K)$  acts transitively on the primes of  $B$  lying above a given prime of  $A$ .

(ii)  $g \in G$ , the restriction of  $g$  to  $B$ , induces an automorphism  $\bar{g}: B \rightarrow B$

(iii)  $\forall g \in G, \exists \bar{q} \in \text{spec}(B) \ni g(\bar{q}) \in \text{spec}(B)$   
and  $\bar{q} \cap A = g(q) \cap A$ .

(iii')  $\forall q, q' \in \text{spec}(B)$  with  $q \cap A = q' \cap A$

$\exists g \in G$  s.t.  $g(q) = q'$

Pf: (i')  $g \in G, z \in B$ , want:  $g(z) \in B$ .

let  $x^n + a_1x^{n-1} + \dots + a_n = 0, a_j \in A$ .

$$0 = g(x^n + a_1x^{n-1} + \dots + a_n) = g(x)^n + \dots + g(a_n)$$

thus  $g(x) \in B$ . (Do this also for  $g^t$ , get  $g \circ g^{-1} = g^{-1} \circ g = \text{id}$ )

(ii')  $g \in G, q \in \text{spec}(B)$

$$\begin{aligned} g(q \cap A) &= g(q) \cap g(A) \text{ since } g \in \text{Aut}(L/K), g(A) = A \\ &= g(q) \cap A. \end{aligned}$$

(iii'). First let  $L/K$ : finite, then  $\#G < \infty$

let  $q, q' \in \text{spec}(B)$  s.t.  $q \cap A = q' \cap A$ .

claim  $q' \subseteq \bigcup_{g \in G} g(q)$  (This is sufficient)

Pf Claim:

$x \in q'$ , set  $y := \bigcap_{g \in G} g(x) \in L^G$

By "Galois theory",  $\exists n \geq 1$ , s.t.  $y^n \in K$ .

$\hookrightarrow \exists g \in G,$

$q' \subseteq g(q)$

$\checkmark$

$q' \subseteq g(q)$

Moreover, since  $g(x) \in B$ , and  $B$  integral over  $A$ .

We see that  $y^n$  integral over  $A$ , and belong to  $K$ .

Since  $A$  is normal, get that  $y^n \in A \cap \mathfrak{q} = \mathfrak{q}$ .

Since  $\mathfrak{q}$ : prime.  $y^n = \prod_{g \in G} g(x)^n \subseteq \bigcap_{g \in G} g(x)$  ( $\#G$  finite)

Then  $g(x) \in \mathfrak{q}, \exists g \in G$ , hence

$x \in g^{-1}(\mathfrak{q})$ . then  $\mathfrak{q}' \subseteq \bigcup_{g \in G} g^{-1}(\mathfrak{q}) = \bigcup_{g \in G} g(\mathfrak{q})$ .

Lec 12-1.

• Zorn's Lemma,  $(A, \leq)$  partially ordered, nonempty.

st.  $\forall$  chain (totally ordered subset)  $C \subseteq A$

has an upper bound (in  $A$ ).

Then there is a maximal element.

Pf (ctd. Theorem 2(iii))

use this to deduce the infinite case from the finite case. Consider a ~~subdivision~~ subextension  $L/E/K$ , with  $E/K$  normal.

Then  $R_E := B \wedge E$  is the integral closure of  $A$  in  $E$ .

$$\mathfrak{q}_1 \cap R_E = \mathfrak{q}_1 \cap E, \quad \mathfrak{q}_2 \cap R_E = \mathfrak{q}_2 \cap E$$

primes of  $R_E$  that lies over  $\mathfrak{p}$ .

Let  $A' := \{ (E, g) \mid E \text{ as above, } g \in \text{Aut}(E/K) \}$

$$\text{st. } g(\mathfrak{q}_1 \cap E) = \mathfrak{q}_2 \cap E$$

# ETH CA Lec 12 - 1

Want:  $\exists g \in \text{Aut}(L/K)$  s.t.  $(L, g) \in A$ .

Note:  $A \neq \emptyset$  b.c.  $(K, \text{id}) \in A$ .

Def  $\leq$  to be:  $(E, g) \leq (E', g')$  IFF  $E \subseteq E'$ ,  $g'|_E = g$

Let  $C = \{(E_i, g_i)\}_{i \in I} \subseteq A$  be a chain.

Then  $C$  has an upper bound  $(E, g)$  s.t.

$E = \bigcup E_i$ , then  $\exists! g \in \text{Aut}(E/K)$  s.t.  $g|_{E_j} = g_j$

Check that  $(E, g) \in A$  v.

By Zorn,  $\exists$  maximal  $(E, g) \in A$

Argue by contradiction, if not  $\exists$  finite normal extn  $E'/E$ ,  
s.t.  $E' \subseteq L$  and  $E' \not\subseteq E$  (Take any  $\alpha \in L, \alpha \notin E$ ).

let  $F \in E[X]$  be the minimal polynomial of  $\alpha$  over  $E$ .

Take  $E' :=$  field obtained by adjoining to  $E$  all roots of  
 $F$  in  $L$ .)

$$\begin{array}{ccccc}
 & q_2 & \subseteq B & \subseteq L \\
 q_1 & | & U_1 & U \\
 q_1 \cap E' & \xrightarrow{\tilde{g}} & \tilde{g}(q_1 \cap E') & \xrightarrow{\Delta} & q_2 \cap E' \\
 & \backslash & \backslash & / & \\
 & q_1 \cap E & \xrightarrow{g} & q_2 \cap E & \\
 & \diagdown & \diagup & & \\
 & p & & & 
 \end{array}
 \quad
 \begin{array}{ccccc}
 R_E & \subseteq & E \\
 U_1 & & U_1 \\
 A & \subseteq & K
 \end{array}$$

Let  $\tilde{g} \in \text{Aut}(E'/K)$  be any extension of  $g$  (such  $\tilde{g}$  exists)

Then  $\tilde{g}(q_1 \cap E')$  lies over  $q_2 \cap E$

Since the theorem holds for  $E'/E$  (finite extn)

$\exists \nabla \in \text{Aut}(E'/E)$  s.t.  $\nabla(\tilde{g}(q_1 \cap E')) = q_2 \cap E'$

Set  $g' = \nabla \circ \tilde{g} \in \text{Aut}(E'/K)$

- Clearly  $g'(q, \cap E') = q \cap E'$  so  $(E', g') \in A$ ,  $E \not\subseteq E'$ ,  $g'|_E = g$   
This contradicts the fact  $(E, g)$  maximal.
- Going Down Property.  
 $A \subseteq B$  ring extension has G.D.P. IFF  
 $\forall$  primes,  $p' \subseteq p \subseteq A$ ,  $q \subseteq B$ , s.t.  $q \cap A = p$ .  
 $\exists$  prime  $q' \subseteq q$  with  $q' \cap A = p'$ .  
 ↳ It's also true that,  $\forall p' \subseteq p \Rightarrow \exists \frac{q}{p} \Rightarrow \exists q' \subseteq q$ .
- See P239 of Eisenbud OR P32-33. Matsumura for non-example of G.D. in ring extensions.

Theorem.  $A \subseteq B$  domains, with  $A$  normal.

$B$ : integral over  $A$ . Then  $A \subseteq B$  has G.D.

Pf:  $K = \text{Frac}(A)$ .  $L_1 = \text{Frac}(B)$

$L_1/K$  algebraic field extension

Let  $\frac{x}{y} \in L_1$ ,  $x \in A$ ,  $y \in B$ .

~~→~~  $x, y$  both algebraic over  $A$ , hence  $K$ .

Thus  $x/y$  algebraic over  $K$ .

$\vdash$  any normal algebraic exten- of  $K$  contains  $L_1$ .

(e.g.  $L = \bar{K} \supseteq L_1$ )

Let  $C =$  integral closure of  $A$  in  $L$ .

= integral closure of  $B$  in  $L$ .

$$\begin{array}{l} \exists Q' \subsetneq \exists Q_1 \quad C \subseteq L \\ \left( \begin{array}{l} Q \subseteq B \subseteq L \\ \cup \quad \cup \end{array} \right) \quad \exists Q \text{ by lying over.} \\ \exists P' \subsetneq P \subseteq A \subseteq K \quad \exists Q_1 \text{ by going up.} \end{array}$$

$$\exists Q \subseteq C \text{ lying over } P.$$

$$\text{Then } \exists g \in \text{Aut}(L/K), g(Q_1) = Q$$

Let  $q' := g(Q') \cap B$

Since  $g(Q) = Q$ , if then  $g(Q') \cap B = q' \subseteq q$ .

Then  $q'$  lies over  $p'$  b/c  $g$  fixes  $A$ .

$$q' \cap A = g(Q') \cap A = g(Q' \cap A) = g(p') = p'. \quad \square$$

- Recall,  $\forall A \subseteq B$  integral,  $\forall b \in B$ ,  $a := b \cap A$ .

then (i)  $\dim(A) = \dim(B)$

(ii)  $\dim(A/a) = \dim(B/b)$ . i.e.  $\text{cdht}(a) = \text{cdht}(b)$

(iii)  $\text{ht}(b) \leq \text{ht}(a)$

- Cor.  $A \subseteq B$  domains,  $A$ : normal,  $B$ : integral over  $A$

$b \subseteq B$ ,  $a = A \cap b$ .

Then  $\text{ht}(a) \leq \text{ht}(b)$

Pf:  $\text{ht}(b) \geq \text{ht}(a)$

$$\text{ht}(c) = \inf \{ \text{ht}(p) \mid p \supseteq c \}$$

ISTS:  $\forall q$  minimal divisor of  $b$ .

$\exists p \supseteq a$ , then  $\text{ht}(q) \geq \text{ht}(p)$ .

Take  $p = q \cap A$ .

Let  $p_0 \subsetneq \dots \subsetneq p_r = p$  be a chain of primes

Given  $q$  lies over  $p$ ,  $\exists q_{r-1}$  is by G.D.

Apply induction, then  $\text{ht}(q) \geq \text{ht}(p)$

- Def. "k-domain"  $A$ : k field,  $A$  k-alge,  $A$  domain

"F.G. k-domain"  $A$ : if F.G as k-alge.

Then  $k[x_1, \dots, x_n] \rightarrow A$

$A \cong k[x_1, \dots, x_n]/\mathfrak{a}$  IFF  $A$  "F.G. k-domain".

• Theorem 3. Noetherian Normalization.

$A : \text{F.G. } k\text{-domain}$ , Set  $d = \dim(A) \in \mathbb{Z}_{\geq 0}$ .

Then  $\exists$  injective morphism  $k[x_1, \dots, x_d] \xrightarrow{K} A$ .

Denote  $k[X] = k[x_1, \dots, x_d]$ .

$K$  is integral IFF  $A$  integral over  $k[x_1, \dots, x_d]$ .

with  $K$ : morphism of  $k$ -algebras.

Notes:  $K$  is determined by  $x_1 = K(x_1), \dots, x_n = K(x_d)$

$K$ : injective  $\Leftrightarrow x_1, \dots, x_d \in A$  algebraically independent /  $k$

• Theorem 3. Noetherian Normalization

~~$\exists A : \text{F.G. } k\text{-alge } k\text{-doman. domain Not Needed -}$~~

Then  $\exists k[x_1, \dots, x_d] \xrightarrow{K} A$  injective morphisms of  $k$ -alge  
 $K$ : integral.

Then  $\exists$  alge indep  $x_1, \dots, x_d \in A$ . s.t.

$A$ : integral over the  $k$ -subalgebra.

$$A_0 = k[x_1, \dots, x_d] \subseteq A$$

• Cor 4. Let  $A : \text{F.G. } k\text{-domain}$   $K = \text{Frac}(A)$

Then  $\dim(A) = \text{trdeg}_k(K)$

Pf: Let  $d := \dim(A)$ .  $A_0 = k[x_1, \dots, x_d]$  as above.

$$K_0 = \text{Frac}(A_0), \text{ by } A_0 \cong k[x_1, \dots, x_d]$$

$$K_0 = \text{Frac}(A_0) = k(x_1, \dots, x_d)$$

$A$ : integral over  $A_0$ , by proof of Thm 2.

$K$ : algebraic over  $K_0$ .

$$\text{thus } \text{trdeg}_k(K) = \text{trdeg}_{K_0}(K_0) = d$$

If  $R: k[x_1, \dots, x_n] \rightarrow A$ . injective & integral.

then automatically  $n = \dim(A)$ .

bec integral extension preserves dimension.

Lec 12-2

NN, Key Len.

$A = k\text{-alg}$ ,  $x_1, \dots, x_n \in A$ ,  $\Leftrightarrow \exists f \in k[x_1, \dots, x_n]$ .

$w := f(x_1, \dots, x_n) \cdot \in k[x_1, \dots, x_n] \subseteq A$  (free polynomial ring)  
 $(k\text{-subalgebra})$

Then  $\exists z_1, \dots, z_{n-1} \in k[x_1, \dots, x_n]$ .

s.t.  $k[x_1, \dots, x_n]$  integral over  $k[z_1, \dots, z_{n-1}, w]$ .

NN  $\leftarrow$  Key Len.

$x_1, \dots, x_n$  generators.

if alg independent, then done

Else let  $f \neq 0$ ,  $f(x_1, \dots, x_n) = 0$

use key Len get  $k[x_1, \dots, x_n]$  integral over  $k[z_1, \dots, z_{n-1}]$ .

Iterate and get  $\exists 0 \leq n-1 > k[x_1, \dots, x_n]$  integral over

$k[z_1, \dots, z_{n-1}]$   
with  $z_1, \dots, z_{n-1}$  alg indep.

get (notice if we down to 1 element nonzero,

then trivially independent)

Key Len Pf.

$n=2, w=0$ :  $A = k[x, y]/(f)$ ,  $f \neq 0 \in k[x, y]$

Want  $\exists z \in A$  s.t.  $A$  integral over  $k[z]$ .

• Example.  $f = xy - 1$ .

$A$ : not integral over  $K[x]$ .

$$K[x] \subsetneq A = \frac{K[x,y]}{(xy-1)} \cong K[x, \frac{1}{x}] \subseteq K[x] \cong K(x)$$

if s

$K(x)$  normal (integrally closed in  $K(x)$ )

- Take  $z = x+y$ . /  $z = y - ax$ . ( $a$ : unit).  
then  $A$  integral over  $K[z]$ . (for  $f = xy - 1$ ).

- $\text{Spec } A \setminus \{(x,y), xy=1\} = \text{Spec } K[y]$

$$\begin{array}{c} \diagup \quad \diagdown \\ \times \quad \times \\ \diagdown \quad \diagup \\ \downarrow \quad \downarrow \\ \longrightarrow \end{array} \Rightarrow \downarrow$$

- $f = f_n + \dots + f_0$  fi: homg of  $i$

If  $y - ax \nmid f_n$ , then  $A$  integral over  $K[y - ax]$

$$x' = x, \quad y' = y - ax.$$

reduce to  $a = 0$ .

- Cor if  $K$ : infinite, then Baby case holds.

- How about  $K$ - finite?

Ex.,  $K = \mathbb{F}_2$ ,  $f = y(y-x) - 1$

$$z = y - x^s, \quad s \geq 2.$$

$$f = f_n + \dots + f_0 (x, y) \quad y = z + x^s \rightarrow (x, z)$$

• Pf (Nagata)

$$f = \sum_{\alpha \in I} c_\alpha x^\alpha \rightarrow x_1^{s_1} \cdots x_n^{s_n} \quad c_\alpha \in K^\times$$

$$I = \mathbb{Z}_{\geq 0}^n$$

$$z_j = x_j - x_n^{s_j} \quad j=1, \dots, n-1. \quad s_j \geq 0 \text{ to be chosen later}$$

$$f(x_1, \dots, x_n) = \sum c_\alpha (x_n + z_1)^{\alpha_1} \cdots (x_n^{s_{n-1}} + z_{n-1})^{\alpha_{n-1}} x_n^{\alpha_n}$$
$$= x^{l(\alpha)} + \dots, l(\alpha)$$

$$\text{Let } S_j = s_j \quad s = \max_{j=1, \dots, n} |z_j| + 1$$

Then if  $\alpha \neq \beta$ , then  $l(\alpha) \neq l(\beta)$ .

Let  $l(\alpha) = \text{maximal in } \alpha \in I$ .

$$w = c_\alpha x_n^{l(\alpha)} + \dots, ( )$$

Thus  $w$  : integral over  $k[z_1, \dots, z_{n-1}, w]$ .

each  $x_j = x_n^{s_j} + z_j$ , integral over  $k[z_1, \dots, z_{n-1}, w]$

thus ...

• Theorem — A: F.G K-dom.

$$p \in \text{Spec}(A) \quad \text{ht}(p) + \text{coht}(p) = \dim(A)$$

§1.1 Hauptideal. Algebra (Grundz.)

$$\text{Pf: } n = \dim(A) \Rightarrow (\exists) \exists x_1, \dots, x_n \in A$$

alg. Ideal s.t.  $A = \text{integral over } A_0 = k[x_1, \dots, x_n] \cong k[x_1, \dots, x_n]$

$A_0 \subseteq A$  integral.

$$\text{ht } p_0 = A_0 \cap p \in \text{Spec } A_0$$

$$\text{ht}(p) = \text{ht}(p_0), \quad \text{coht}(p) = \text{coht}(p_0)$$

Replace  $A$  by  $A_0$ ,

let  $A = K[X_1, \dots, X_n]$

If  $\mathfrak{p} = (0)$ ,  $\checkmark$

$\mathfrak{p} \neq (0)$ , suppose  $\mathfrak{p} \ni x_n$  (case simplified to this later).

$$\bar{A} = A/(x_n) \cong K[X_1, \dots, X_{n-1}]$$

$$\bar{\mathfrak{p}} = \mathfrak{p}/(x_n).$$

$$0 \subseteq (x_n) \subseteq \mathfrak{p} \text{ so } \text{ht}(\mathfrak{p}) \geq \text{ht}(\bar{\mathfrak{p}}) + 1$$

$$(0 = \bar{q}_0 \subseteq \dots \subseteq \bar{q}_r = \bar{\mathfrak{p}}, \\ 0 \subseteq (x_n) = q_0 \subseteq \dots \subseteq q_r = \mathfrak{p}).$$

$$\text{Also } \text{codim}(\bar{\mathfrak{p}}) = \dim_{\substack{\text{HS} \\ A/\mathfrak{p}}} (\bar{A}/\bar{\mathfrak{p}}) = \text{codim}(\mathfrak{p})$$

$$\dim(\bar{A}) = n-1 = \dim(A) - 1.$$

$$\begin{aligned} &\geq \text{ht}(\mathfrak{p}) + \text{codim}(\mathfrak{p}) \geq \dim(A) \\ &\geq \text{ht}(\mathfrak{p}) + \text{codim}(\mathfrak{p}) = \dim(\bar{A}) + 1 \end{aligned}$$

Argue by induction on  $n$  within  $x_n \in \mathfrak{p}$ .

$0 \neq f \in \mathfrak{p}$ . (Key Lemma)

$\exists z_1, \dots, z_{n-1}$  s.t.  $[t = K[z_1, \dots, z_n]]$  sat. integral over

$$A_0 = [z_1, \dots, z_{n-1}, f].$$

Then  $\text{trdeg}(A_0) = \text{trdeg}(A) = n$ .

thus  $A_0$ : polynomial ring, normal

Now,  $\mathfrak{p}_0 := \mathfrak{p} \cap A_0$ ,

$\dim A_0 \leq \dim A$ ,  $\text{ht}(\mathfrak{p}_0) = \text{ht}(\mathfrak{p})$ ,  $\text{codim}(\mathfrak{p}_0) = \text{codim}(\mathfrak{p})$

and get back to the previous case.

Lem. A. F.Gt K-domains

$p \neq p'$  adjacent

$$\text{ht}(p') = \text{ht}(p) + 1.$$

Pf. Then  $\leftrightarrow (A, p), (A, p'), (\bar{A}, \bar{p}')$   $\bar{A} = A/p$ .

$$\text{ht}(\bar{p}') = 1.$$

$$\text{ht}(p) + \text{coh}(p) = \text{dn}(A)$$

$$\text{dn}(A/p) = \bar{A}.$$

$$\text{ht}(p') + \text{coh}(p') = \text{dn}(A)$$

$$\text{coh}(\bar{p}')$$

$$\text{ht}(\bar{p}') + \text{coh}(\bar{p}') = \text{dn}(\bar{A})$$

### Week 13 CA Ex Class.

#### Sheet 11. Ex 3.

$A \subseteq B$  integral,  $n \subseteq B$  maximal,  $m = n \cap A$  maximal

Qn:  $B_n$  integral over  $A_m$ ?

Counterexample for the  $\neq$  Going Down property.

- $A \subseteq B$  domains,  $A$ : normal. ( $\bar{A} \subseteq \text{Frac}(A)$ ,  $\bar{A} = A$ )  
 $B$  integral over  $A$ , then  $A \subseteq B$  satisfies the going down property.

If prime ideals  $p' \subseteq p \in A$  and  $q \subseteq B$ , s.t.  $q \cap A = p$ .  
prime.

$\exists q' \subseteq q$  st.  $q' \cap A = p'$   
prime

#:  
Pic:  
$$\begin{array}{c} q' \subseteq q \subseteq B \\ \downarrow \quad \downarrow \\ p' \subseteq p \subseteq A \end{array}$$

Ex (GD fails)

$A = \mathbb{Z}$ ,  $B = \mathbb{Z}[x]/\langle x^2 - x, 2x \rangle \cong \mathbb{Z}[x]$  where  $x$  is the image of  $x$  in  $B$ .

$q = (2, x-1) \subseteq B$  prime

$B/q = \mathbb{Z}[x]/\langle x^2 - x \rangle \cong \mathbb{F}_2[x]/(x-1)$

~~is integral domain b/c  $(x-1)$  prime in  $\mathbb{F}_2[x]$~~

$p = q \cap \mathbb{Z} = (2)$

$p' = (6) \subseteq \mathbb{Z}$  prime.

Claim, # prime ideal  $q' \subseteq B$  s.t.  $q' \cap \mathbb{Z} = p = (2)$ ,  $q' \subseteq q$

Suppose  $q'$  exists.

$2 \notin (0) = q' \cap \mathbb{Z}$ , thus  $2 \notin q'$ .

$2x = 0 \in q'$ ,  $q'$  prime  $\Rightarrow x \in q' \subseteq q = (2, x-1)$

contradiction ■

$Q \cap B$ : not a domain. ✓

$\Theta B$ : integral over  $\mathbb{Z}$ .

b/c  $x$ : integral over  $\mathbb{Z}$  ✓

$\cancel{\text{② } B \text{ is normal. } X}$

Ex

$$\frac{1+\sqrt{5}}{2}$$

$$\left(\frac{1+\sqrt{5}}{2}\right)^2 = 3 + \frac{5}{2} + \sqrt{5}$$

$$2x = 1 + \sqrt{5}$$

# ETH CA Lec 13-1

- Corollary (from last time)

Every maximal chain of primes in  $A$  has length  $\dim(A)$ .

Where:  $A$ : F.G.  $K$ -domain

Rank: false for general rigs, see Exercise for example.

Pf:  $p_0 \subsetneq \dots \subsetneq p_r$  max chain

$\Rightarrow p_0 = 0$ ,  $p_r$ : maximal

$\Rightarrow \text{ht}(p_0) = 0$ ,  $\text{ht}(p_r) = \dim(A)$ .

also  $\text{ht}(p_i / p_{i-1}) = 1$

$\Rightarrow \text{ht}(p_r) = \text{ht}(p_{i-1}) + 1$

by induction,  $\text{ht}(p_r) = r$ , thus  $r = \dim(A)$   $\square$

- Recall Last Time Theorem.

$k$ : field  $A$ : F.G.  $K$ -domain.

(i')  $\dim(A) = \text{tr.deg}_k(\text{Frac}(A))$

(ii)  $\text{ht}(p) + \text{coht}(p) = \dim(A), \forall p \in \text{Spec}(A)$

$\Rightarrow \text{ht}(m) = \dim(A), \forall m \subseteq A$  maximal.

(iii) If  $p \subsetneq p'$  adjacent, i.e.  $\text{ht}(p'/p) = 1$ , then  $\text{ht}(p') = \text{ht}(p) + 1$ .

- Corollary (Another Proof Nullstellensatz, version new)

$K/k$ : field extension,  $K$ : F.G.  $K$ -alge.

Then  $K/k$ : finite.

Pf: ISTS  $K/k$ : algebraic (integral).

(From: integral + F.G.  $\Leftrightarrow$  finite)

Want!  $\text{tr.deg}_K(K) = 0$

but  $\text{tr.deg}_K(K) = \dim(K) = 0$ .  $\square$

## New Section:

### Valuation Rngs + Normality.

• Recall

$$A = k[X, Y] / (XY - 1) \quad x, y \text{ denote } \bar{X}, \bar{Y}$$

$A$  is not normal integral over  $k[X]$ . ( $A$  is normal)

with Pf:  $A \hookrightarrow k[X]$

$$x, y \mapsto x, \frac{1}{x}$$

Check Lec 12-2

$k[X]$  is normal, hence  $A \cong k[x, \frac{1}{x}]$  not

Another Pf this Lecture.

• Def.  $\forall 0 \neq f \in A$  written as

$$a_{-n}x^{-n} + \dots + a_mx^m \quad \text{with } a_j \in k, a_{-n} \neq 0.$$

$$\text{set } v(f) = -n \in \mathbb{Z}.$$

$$\text{Notice } v(f_1f_2) = v(f_1) + v(f_2)$$

$$v(f_1 + f_2) \geq \min(v(f_1), v(f_2))$$

$$\text{If } v(f_1) \neq v(f_2), \text{ then } v(f_1 + f_2) = \min(v(f_1), v(f_2))$$

• Now the other Pf:

suppose  $f \in k[X, \frac{1}{X}]$ ,  $f \notin k[X]$  is integral over  $k[X]$

$$\text{then } v(f) \leq -1.$$

$$f^n + a_1f^{n-1} + \dots + a_0 = 0 \quad \text{with } a_j \in k[X]$$

$$\text{Note } v(a_j) \geq 0.$$

$$v(a_j f^{n-j}) \geq v(f^{n-j}) = (n-j)v(f)$$

$$\text{thus } v(a_1f^{n-1} + \dots + a_n) \geq (n-1)v(f)$$

$$\text{but then } v(f^n) = nv(f) < v(-(a_1f^{n-1} + \dots + a_n))$$

$$\text{Contradicting, } f^n + a_1f^{n-1} + \dots + a_n = 0. \quad \square$$

Def.  $K$ : field.  $(G, 0, +, \leq)$  totally ordered Ab Group  
 with  $(*)$  (Abelian). (e.g.  $\mathbb{Z}$ )  
 A map  $v: K^* \rightarrow G$  is a valuation if

$$(i) v(f_1 f_2) = v(f_1) + v(f_2)$$

$$(ii) v(f_1 + f_2) \geq \min(v(f_1), v(f_2)) \text{ min } (v(f_1), v(f_2)).$$

$$\text{if } v(f_1) \neq v(f_2) - v(f_1 + f_2) = \min(v(f_1) + v(f_2))$$

Note,  $A = \{x \in K^* : v(x) \geq 0\} \cup \{0\}$ .

$A$  is a subring of  $K$   $(*)$

this is the Valuation Ring of  $v, K$ .

$(*)$ :  $x \leq y \Rightarrow x+z \leq y+z, \forall z \in G$ . see  $(*)$

$M := \{x \in K^* : \cancel{v(x) > 0} \cup \{0\}$  is maximal ideal.

$(A, M)$  local.

$$A^\times = \{x \in K^* : v(x) = 0\} \quad (*)$$

Pf: Check  $(*)$  if  $x \in A$ ,  $v(x) = 0$  then  $\exists x^{-1}$  s.t.  $x \cdot x^{-1} = 1$  in  $K^*$

$$v(x) = 0 \Rightarrow v(\frac{1}{x}) + v(x) = v(1) = 0.$$

$\frac{1}{x} \in A$ , so  $x \in A^\times$  ~~thus~~, also check the other direction.

$(A, M)$  local,  $A - M \subseteq A^\times$ . (One only needs to check  $M$  is an ideal)

Def.  $K$ : field,  $A \subseteq K$ , valuation ring.

If  $\exists v: K^* \rightarrow G$  is a valuation that induces  $A$ .

and  $G$ : value group of  $v$ .

$(*)$  by Def(i),  $v(1) = v(-1) = 0$ , use  $(*)$  twice one get  $v(-1) = 0$ .

Check  $\forall x, y \in A$ ,  $x+y \in A$ ,  $(-1) \cdot x \in A$ ,  $xy \in A$ .

Notice,  $v(x) = \cancel{v(x)} \Rightarrow v(-x)$

• Lern Valuation Rngs are normal.

Pf: let  $f \in k - A$  integral over  $A$ .

$$f^n + a_1 f^{n-1} + \dots + a_n = 0.$$

Each  $v(a_j) \geq 0$  ( $a_j \in A$ ).

but  $v(f) < 0$  (bec  $f \notin A$ )

Check Aryah P65 for Pf

• Custom. One extends  $v: K^* \rightarrow G$  to  $v: K \rightarrow G \cup \{\infty\}$ .

by  $v(a) = \infty$ . ( $\forall g \in G, v(g) < \infty$ .)

Then  $v \rightarrow A := \{x \in K : v(x) \geq 0\}$ .

~~—~~  $M := \{x \in K : v(x) > 0\}$ .

• Example.  $k = k[[x]] = \text{Frac}(k[x])$

$f \in K^*$ ,  $f = x^n \frac{u}{v}$  with  $u, v \in k[x]$  —  $x \nmid uv$  in  $k[x]$

then  $v(f) = n$

Check EX  $v(x^2 + x) = v(x(x+1)) = 1$ .

$\min(v(k), v(x^2)) = 1$ .

• NonExample.  $k[x^2, x^3] \subseteq k[x]$  is not a valuation ring.

bec  $k[x^2, x^3]$  is not normal.

So does  $k[x(x-1), x^2(x-1)]$  not Valuationring, not normal.

• Lem. Let  $A \subseteq K$  subring. TFAE

(i)  $A$  is a valuation ring

(ii)  $x \in K - A \Rightarrow \frac{1}{x} \in A$ . ( $x \neq 0$ )

(iii)  $\forall x \in K$ , either  $x \in A$  OR  $\frac{1}{x} \in A$  OR both

Pf. (i)  $\Rightarrow$  (ii)  $v(x) + v(\frac{1}{x}) = 0$ . (both units of  $A$ )

(ii)  $\Rightarrow$  (iii)

$G = K^{\times}/A^{\times}$  (multiplicative group quotient)

$\delta: K^{\times} \rightarrow G$

Def order  $xA^{\times} \leq yA^{\times} \Leftrightarrow y \in xA$ . ( $\frac{yx^{-1}}{x} \in A$  equivalence)

then  $\leq$  is a total order. b/c:

Let  $xA^{\times}, yA^{\times} \in G$ , let  $x, y \in K^{\times}$  as representatives

If  $x/y \in A$ , then  $x \in yA$ .

thus  $yA^{\times} \leq xA^{\times}$ .

Else  $\frac{x}{y} \in K - A$  so  $\frac{y}{x} \in A$  by (ii)

thus  $xA^{\times} \leq yA^{\times}$ .

Equality  $\Leftrightarrow xA = yA \Leftrightarrow xA^{\times} = yA^{\times}$ . (\*1)

Check  $\delta$  satisfies the valuation criteria, (\*2)

and  $A$  is induced by  $\delta$ .

$\Rightarrow A' = \{x \in K : \delta(x) \geq 0\} \cup \{0\}$ .

with "0" =  $1 \cdot A^{\times}$ . (multiplicative identity), if  $\delta(x) \geq \delta(1)$

thus  $x \in 1 \cdot A = A$ . | also if  $x \in A$  ...

thus  $A' \subseteq A$ . |  $\square$

(\*1) also need to check  $xA^{\times} \leq yA^{\times} \Rightarrow xzA^{\times} \leq yzA^{\times}$  ( $xz^{-1}y^{-1} \in A$ )

(\*2)  $\delta(x+y)$ , assume  $\delta(x) \leq \delta(y)$ ,  $y \in A$ , then  $(x+y)z^{-1} = xz^{-1} + yz^{-1} \in A$ ,  $\delta(x+y) \geq \delta(x)$ .

Theorem.

$$A \subseteq K \quad \overline{A} \text{ (integral closure).}$$

$\overline{A} = \bigcap_{\substack{\text{field} \\ B: \text{VR}}} B$ ,  $B$  is a valuation ring in  $K$  containing  $A$ .

Pf: " $\leq$ "  $x \in \overline{A}$ , then  $\forall B$ ,  $x \in \overline{B} = B$   
(by  $B$  is normal)

" $\geq$ ". If  $x \notin \overline{A}$ , then  $\exists B \supseteq A$ ,  $x \notin \overline{B}$

s.t.  $x \notin B$ .

thus  $f \in A[\frac{1}{f}]$ , else  $f = a_0 + \frac{a_1}{f} + \dots + \frac{a_n}{f^n}$ .

then multiply by  $f^n$  get  $f$  integral over  $A$

thus  $\frac{1}{f} \notin A[\frac{1}{f}]$

$\exists p \subseteq A[\frac{1}{f}]$  prime s.t.  $\frac{1}{f} \in p$ .

Now quote Lem.

Lem  $A' \subseteq K$   $p \in \text{Spec}(A')$

then  $\exists VR=B$ ,  $A'_p \subseteq B \subseteq K$ .

s.t.  $m$  (maximal)  $\subseteq B$  lies over  $p$ .

$m \cap A' = p$ . then.

Lem  $\Rightarrow$  Theorem. " $\geq$ "

(Let  $p$  be the unique prime ideal)  $\times$

$\exists B: VR$  with  $(B, m)$  s.t.  $B \supseteq A[\frac{1}{f}]$ ,  $m \cap p = p = m \cap A$

then  $\frac{1}{f} \in m$ ,  $v(\frac{1}{f}) > 0$ ,  $v(f) < 0$ , thus  $f \notin B$ .

Pf Lem:

$\mathbb{A} := \{ \text{rings } B \text{ with } A_p \subseteq B \subseteq K, pB \neq B \}$ .

Satisfies hypothesis of Zorn's Lem.

Take  $B$ : maximal element.

Claim  $B$ : VR with  $m \cap A = p$ .

## CA ETH Lect 2.

Example of Valuation Rings.

$K = k(x)$ ,  $A = k[[x]]$ ,  $v(\sum a_i x^i) = \min\{i \mid a_i \neq 0\}$ .

Theorem.  $\overline{A} = \bigcap_{B: VR, A \subseteq B \subseteq K} B$ .

Lem.  $A \subseteq K$  subrg,  $p \in \text{Spec}(A)$ .

$\langle \overline{A} = \{ B \mid B: VR, A_p \subseteq B \subseteq K \} \rangle$

$A := \{ B \mid A_p \subseteq B \subseteq K, pB \neq B \}$ . Let  $B: \max \text{ in } A$

then (i)  $B$ : VR with  $(B, m)$  local.

(ii)  $m \cap A = p$ .

Pf: (i)  $\Rightarrow$  (ii).

$$p \in (pB) \cap A \subseteq m \cap A \Leftarrow m \cap A_p \cap A \subseteq pA_p \cap A = p.$$

(ii) (Pf)  $x \in K - B$ , want  $\frac{1}{x} \in B$ .

$B[[x]] \supseteq B$  by maximality of  $B$ .

$pB[[x]] = B[[x]]$ .

Let  $1 \in B[[x]]$ ,

$$1 = \sum_{i=0 \rightarrow n} b_i x^i, \quad b_i \in pB.$$

Then we know  $B$  is local:

let  $m$  : maximal in  $B$  s.t.  $pB \subseteq m \subseteq B$

(exist b.c.  $pB \neq B$ ).

then  $pB_m \subseteq mB_m \neq B_m$  b.c.  $B_m \neq \{0\}$

by maximality of  $B$ .  $B_m = B$

thus  $B$  local with maximal ideal  $m$ .

(Also  $\text{rad}(pB) = m$ ).

$$(1 - b_0)x^{-n} = \sum_{i=1, \dots, n} b_i x^{i-n}$$

$1 - b_0 \equiv 1 \pmod{pB} \equiv 1 \pmod{m}$ , thus  $1 - b_0 \in B^\times$ .

$\frac{1}{x}$  : integral over  $B$ .

$B[\frac{1}{x}]$ : integral over  $B$ .

by lying over.

$\exists n \in \text{Spec}(B[\frac{1}{x}])$ .

$n \cap B = m$ .

$pB[\frac{1}{x}] \subseteq mB[\frac{1}{x}] \subseteq nB[\frac{1}{x}] \neq B[\frac{1}{x}]$ .

by maximality of  $B$ ,  $B[\frac{1}{x}] = B$ .

Up CA ETH Lec 1} - 2 CTD

• Def. Discrete valuation,  $G \cong \mathbb{Z}$ ,  $v \neq 0$ .

Normalized  $v: \text{surjection}$ ,  $v(K^\times) = \mathbb{Z}$ .

(In general,  $v(K^\times) = n\mathbb{Z}$ ,  $\exists n$ ,

this  $\frac{1}{n}v$  is normalized).

• DVR (discrete valuation ring).

VR attached to a (normalized) discrete valuation.

• EX.  $A = k[x]$ ,  $v(x^n \cdot \frac{u}{v}) = n$ ,  $x \nmid uv$ ,  $u, v \in k[x]$ .

$$A \subseteq k(x)$$

• EX.  $A = k[[x]]$ .  $v$  as before.

• EX.  $\mathbb{Z}_{(p)}$ : DVR,  $\mathcal{O} = \{x = p^n \cdot \frac{a}{b} \in \mathbb{Q} \mid a, b \in \mathbb{Z}, b \neq 0,$   
 $p \nmid ab, n \in \mathbb{Z}_{\geq 0}\}$ .

$$v(x) = n.$$

• Non Example.  $A = \bigcup_{n \geq 1} k[[x^{\frac{1}{n}}]] \ni f = \sum_{i \in \mathbb{Q}} a_i x^i$ .

$$v(f) := \min \{i \mid a_i \neq 0\}$$

$$v: K^\times \rightarrow \mathbb{Q} \not\cong \mathbb{Z}.$$

•  $A \subseteq K$ , DVR, normalized.

$$v: K^\times \rightarrow \mathbb{Z} \cup \{\infty\}.$$

$$\mathfrak{p} = \{x \in K \mid v(x) \geq 1\}.$$

A uniformizer is an element  $w \in \mathfrak{p}$  with  $v(w) = 1$ .

Lem Each element  $x \in K^\times$  is uniquely of the form  $x = u \cdot w^n$ ,  $u \in A^\times$ ,  $n \in \mathbb{Z}$ .

(then  $v(x) = n$ ,  $n \geq 0 \Leftrightarrow x \in P$ ,  $n \leq 1 \Leftrightarrow x \in P'$ )

Pf: let  $n = v(x)$ ,  $u = x \cdot w^{-n}$

$$v(u) = v(x) + v(w^{-n}) = 0.$$

thus  $u \in A^\times$ .

Lem. Ideals in  $A$  are  $(0)$ , and  $(w^n)$

Moreover  $(w^n) = P^n$ ,  $\forall n \in \mathbb{Z} \geq 0$ .

Note: any uniformizers differ by a unit.

$$= P - P^2$$

Pf. ① Check  $(w^n)$  ideal,  $\forall n$ .

② If  $\alpha \subseteq A$ : arbitrary ideal.

$$n := \min \{v(x) : 0 \neq x \in \alpha\}.$$

$$\alpha \subseteq (w^n), \quad \forall y \in \alpha, \quad v(y) \geq n =$$

$\alpha \supseteq (w^n)$ .  $\exists x \in \alpha$  with  $v(x) = n$ .

③  $P = (w)$ , and  $P^n = (w^n)$ .

let  $w'$ ,  $P = (w') = (w)$ , thus differ by units.

④ Rank  $\forall 0 \neq x \in A$ ,

$$v(x) = \min \{n \geq 0 : x \in P^n\}.$$

Corollary.  $A$ : DVR,  $A$ : Noetherian.

bec.  $A$ : P.I.D.

$$\dim(A) = 1.$$

$$\text{Spec}(A) = \{(0), \wp\}.$$

Corollary  $A$ : regular, i.e.  $\dim(\wp/\wp^2) = \dim(A^1)$

by NAK,  $k = A/\wp$ ,  $\dim_k(\wp/\wp^2) = \#$  (minimal) generators of

Corollary. Nonzero  $A$ -submodule of  $K$

forms a group  $\cong \mathbb{Z}$ .

they are of the form  $A\bar{w}^n$ ,  $n \in \mathbb{Z}$ .

$$A\bar{w}^m \cdot A\bar{w}^n = A\bar{w}^{m+n}.$$

- $A$ : Noetherian local domain,  $\dim(A) = 1$ .

When is  $A$ : DVR.

- Non Example.  $A = k[[x^2, x^3]] := \{f : \sum_{i=0}^{\infty} a_i x^i, a_i \in k, a_0 \neq 0\}$ .

$$m = (x^2, x^3)$$

Not regular, not normal.

- Theorem.

$A$ : Noetherian, local, domain.  $\dim(A) = 1$ ,  $(A, \wp)$

TFAE.  $\circledcirc$   $A$ : DVR

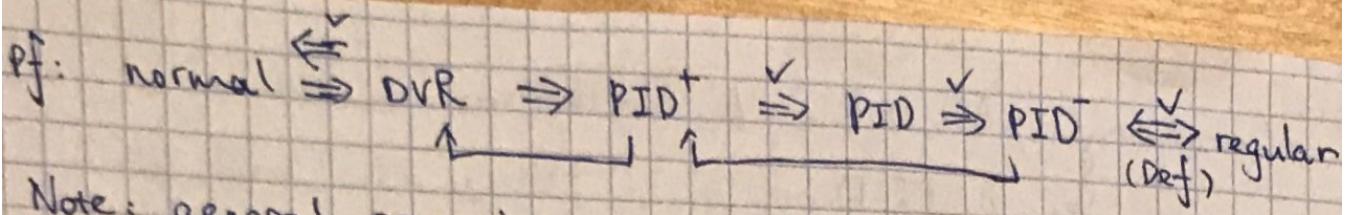
②  $A$ : normal

③  $A$ : P.I.D.

④  $A$ : regular

⑤  $\wp$ : principal,  $\exists \bar{w} \in A$  s.t.  $\wp = (\bar{w})$  (P.I.D<sup>+</sup>)

⑥  $\exists \bar{w} \in A$ , every nonzero ideal in  $A$  is  
of the form  $(\bar{w}^n)$   $\exists n > 0$ . (P.I.D<sup>+</sup>)



Note: general properties.

$\vdash P^n \neq P^{n+1} \quad \forall n$  (otherwise  $A = \text{Artin}$ , with  $\dim A = 1$ )

$\cdot \bigcap_{n \geq 0} P^n = (0)$  by Krull Intersection.

$\cdot \forall x \in K : = \text{Frac}(A), \exists n \geq 0 \text{ s.t. } P^n x \subseteq A.$

Pf:  $x = yz$ ,  $0 \neq y, z \in A$ .

ISTS,  $P^n \subseteq Az$ .

$z \neq 0 \Rightarrow A/(z) \text{ Artin.}$

(Indeed,  $P$  the only prime of  $A$  containing  $(z)$ )

(bec  $\dim(A) = 1$ .)

$A/(z)$  local Artin, with maximal ideal  $P/(z)$

$\Rightarrow$  nilpotent, i.e.  $\exists n \geq 0, (P/(z))^n = (0)$ .

i.e.  $P^n \subseteq (z)$ .

Pf CTD: PID $^+ \Rightarrow$  DVR.

$A: VR$ , let  $x \in K - A$ , max  $x' \in A$ .

$x = y/z$ .  $0 \neq y, z \in A$ .

by PID $^+$ ,  $(y) = (w^m)$ ,  $(z) = (w^n)$ .

so  $x = uw^{m-n}$ ,  $\exists u \in A^\times, x \notin A \Rightarrow m-n < 0$ .

then  $x' = u^{-1}w^{n-m}, n-m \geq 1 \geq 0$ .

$\Rightarrow x' \in A$ .

Sublemma: In a VR, every f.g. ideal is principal.

Also, a VR with principal maximal ideal is a DVR.

In particular, a Noetherian VR is a DVR.

Up CA Ex Week 14.

Example 12.3. (PS)

Noether's Normalization:

$A = F.G.$   $K$ -alge . then  $\exists x_1, \dots, x_n \in A$  st.

①  $x_1, \dots, x_n$  : algebraically independent

②  $A$  : integral over  $K[x_1, \dots, x_n]$ .

①  $\Leftrightarrow K[X_1, \dots, X_n]$  by substituting  $X_i \rightarrow x_i$  is injective.

$$12.3(a) A = K[x, y] / (x^2 - xy) = K[x, y], x = \bar{x}, y = \bar{y}$$

$A$  is integral over  $K[x+y]$ .

$$\text{eg. } \cancel{x^2} + x^2 - (x+y)x = 0,$$

$$y^2 - (x+y)y = 0.$$

Thus.  $A$  integral over  $K[x+y]$

and  $x+y$  algebraically independent trivially.

$$12.3(c). A = K[x, y, z] / (xy - 1) \cap (y, z)$$

Similarly,  $A = K[x, y, z]$

Key Lem.  $A$ :  $K$ -alge ,  $x_1, \dots, x_n \in A$ .

$0 \neq f \in K[X_1, \dots, X_n]$  . set  $w = f(x_1, \dots, x_n) \in A$

then  $\exists z_1, \dots, z_m \in K[x_1, \dots, x_n]$  st.

$K[x_1, \dots, x_n]$  integral over  $K[z_1, \dots, z_m, w]$ .

Pf (Core)  $z_j = \cancel{x_j} x_j - x_n^{s_j}$

$s_j = |j|$  with  $S = \max_{j \in I, j=1, \dots, n} |j| + 1$

with  $I$  st.  $f = \sum_{d \in I} c_d x^d$

Back to 12.3(c).

$$f(x, y, z) = (xy - 1) \cdot z \quad (\text{or } \cancel{(xy - 1)}y \text{ will work}) \\ \in K(x, y, z)$$

$$W = f(x, y, z) = 0. \quad f = \sum x^i y^j z^k - 1$$

with  $S = \max_{\substack{1 \leq i, j, k \\ 2 \leq i + j + k}} |i, j, k| + 1$ ,  $S = 2$ .

$$z_1 = x - z^2, \quad z_2 = y - z^4.$$

Key Len  $\Rightarrow$  A integral over  $k[z_1, z_2]$ .

Are  $z_1, z_2$  algebraically independent?

Want  $\dim k[z_1, z_2] = \dim A = 2$ . (? why sufficient? why  $z_1, z_2$

$$A = k[x, Y, z]/(\underbrace{(xY-1)}_{\mathfrak{a}} \cap (Y, z)) \quad \text{then alg. indep.)}$$

$$\text{ht}(\mathfrak{a}) = \inf_{p \supseteq \mathfrak{a}} \text{ht}(p), \quad \text{let } p = (xY-1). \geq 2.$$

$p$  is prime bcc  $xY-1$  is irreducible.

$$\text{ht}(p) = \sup \{\dots\} = 1, \quad \text{thus } \text{ht}(\mathfrak{a}) \leq 1.$$

$\text{ht}(\mathfrak{a}) \geq 1$  bcc  $(\mathfrak{a} \neq 0)$ .

$$\text{coht}(\mathfrak{a}) = \sup_{p \supseteq \mathfrak{a}} \text{coht}(p) = \sup \{n \geq 0 \mid \exists a \in p_0 \subseteq p_1 \subseteq \dots \subseteq p_n\}.$$

Again, take  $p = (xY-1)$ .

$$\mathfrak{a} \subseteq p_0 \subseteq (xY-1, x-1) = (x-1, Y-1) \subseteq (xY-1, x-1, z) \quad \#$$

$$\text{coht}(\mathfrak{a}) \geq 2. \quad \underset{(x-1, Y-1, z)}{\parallel}$$

by  $\text{ht}(\mathfrak{a}) + \text{coht}(\mathfrak{a}) \leq \dim(k[x, Y, z])$ .

thus  $\text{coht}(\mathfrak{a}) = 2$ .

$$\dim A = \text{coht}(\mathfrak{a}) = 2.$$

12.5.  $R$  (ring). A  $R$ -alge (i.e.  $R \rightarrow A$  morphism,  $A$  as  $A$ -mod.)

$$p \subseteq R \text{ prime ideal } Ap = \left\{ \frac{a}{b} \mid a \in A, b \in R \setminus p \right\}.$$

Note:  $p' \subseteq A$  might not be prime,  $A/p$  not multiplicatively closed

\*

## Valuation Ex.

Every  $0 \neq f \in K[x, \frac{1}{x}]$  can be written as

$$f = a_0 x^n + \dots + a_m x^m \quad a_j \in \mathbb{Z}, \quad m, n \in \mathbb{Z}, \quad n \leq m.$$

$$v(f) := n \in \mathbb{Z}$$

Google: "eth math gruppe 1" doodle.

$\mathbb{Z}_1, \mathbb{Z}_2$

]

8)

.)

closed

# CA ETH Lec 14-1

. CTD Proof of Theorem Characterizing DVR with others.

Recall Sublemma.

①  $(A, \wp)$ : Noeth local domain -  $\dim A = 1$ .

$K = \text{Frac}(A)$ .  $\Rightarrow \forall t \in K, \exists n \geq 0$  s.t.  $x\wp^n \subseteq A$

②  $(A, \wp)$ : Noeth local domain.  $K = \text{Frac}(A)$ .

Let  $y \in K$  s.t.  $y\wp \subseteq A$ , then either

(i)  $y^{-1} \in \wp$  or (ii)  $y$ : integral over  $A$

Pf: ① If  $y\wp = A$ , then  $y\wp = 1 \exists t \in \wp$ .

then  $y^{-1} = t \in \wp$ .

③ If  $y\wp \not\subseteq A$ , thus  $y\wp \not\subseteq \wp$ .  $y\wp \subseteq \wp$ .

$\wp$ : f.g. F.G. thus  $y$ : integral over  $A$

bec it admits a faithful f.g. module - i.e.  $\wp$ .

Theorem Normal  $\Rightarrow$  DVR.

Sublemma:

③  $A = \text{VR}$ , Noeth  $\Leftrightarrow A = \text{field}$  OR  $A = \text{DVR}$ .

$\Leftarrow$  ✓ Clear (DVR satisfies ACC)

$\Rightarrow$  Suppose  $(A, \wp)$  VR, Noeth, with  $\wp = (\overline{w}_1, \dots, \overline{w}_n)$

with  $v: K \rightarrow \mathbb{G} \cup \{\infty\}$  valuation,  $K = \text{Frac}(A)$

$A = K$  then  $A$ : field

Else  $v(K^\times) \neq \{\infty\}$ .

thus  $A$  contains nonzero nonunits.

$\wp \neq (0)$ ,  $v(w_j) > 0$ .

Let  $g := \min(V(\bar{w}_1), \dots, V(\bar{w}_n))$

Say  $g = V(\bar{w}_1) \cdot \bar{w}_1 \neq 0$ . Then  $V\left(\frac{\bar{w}_1}{\bar{w}_1}\right) \geq 0$ .

$\bar{w}_1 \in A\bar{w}_1$ , thus  $\bar{s} = (\bar{w}_1)$

Now given  $x \in A - \{0\}$ , by Krull's Thm.

$\cap \bar{p}^n = \{0\}$  choose  $N \geq 0$  s.t.  $x \in \bar{p}^n$ ,  $x \notin \bar{p}^{n+1}$ .

$\bar{p}^n = (\bar{w}_1^n)$ ,  $\bar{p}^{n+1} = (\bar{w}_1^{n+1})$

then  $\frac{x}{\bar{w}_1^n} := u \in A - p = A^\times$

so  $(x) = \bar{p}^n$ ,  $V(x) = ng$ .

thus  $V: K^\times \rightarrow \mathbb{Z}g \cong \mathbb{Z}$ .

thus  $A$ : DVR.

< This pf also shows that  $\text{PID}^- \Rightarrow \text{PID}^+ \Rightarrow \text{DVR}$ .

Pf of theorem.

as we saw last time,  $\exists \text{VR}: B$  s.t.  $A \subseteq B \subseteq K$ .

s.t.  $(B, m)$ ,  $m \cap A = p$

Let  $v: K \rightarrow \{v_0, v_\infty\}$  induces  $B$ .

thus  $V(p) > 0$ . Let  $x \in B$ ,  $x \in K$ .

s.t.  $\exists n \geq 0$  s.t.  $x\bar{p}^n \subseteq A$ , let  $n$  be such minimal.

If  $n=0$ , then  $x \in A$ , done.

Else  $n \geq 1$ ,  $x\bar{p}^{n-1} \not\subseteq A$ ,  $\exists y \in x\bar{p}^{n-1}$ ,  $y \notin A$ .

then  $y\bar{p} \subseteq x\bar{p}^n \subseteq A$

then either  $y^{-1} \in p$  or  $y = \text{integral over } A$ .

Then  $V(y^{-1}) > 0$ ,  $y \in \bar{p}^{n-1}x$ ,  $V(x) \geq 0$ ,  $V(p) > 0 \Rightarrow V(y) \geq 0$

contradiction.

If (ii) then since  $A$  is normal,  $y \notin A$ , contradiction.

Thus  $\exists z \in K$ ,  $x \in A$ , thus  $B = A$ ,  $A$  is DVR.

• Def: Dedekind Domain. IFF Noetherian Domain, Normal

$$\dim = 1$$

IFF  $A$  domain, Noetherian.

$A$ : integrally closed in  $K = \text{Frac}(A)$

Every nonzero prime is maximal.  $A \neq K$ .

• Theorem: A local Dedekind domain is a DVR.

$$\text{st. } \mathfrak{p}^t A \neq K$$

• Lem: Any Localization<sup>1</sup> of a Dedekind domain is a Dedekind domain.

IP:  $(A, \mathfrak{p})$  Dedekind with  $\mathfrak{p}$  any prime.

$A_{\mathfrak{p}}$ : Dedekind domain.

Pf: Just need to check for any domain,

$A$  is normal  $\Leftrightarrow A_{\mathfrak{p}}$  is normal,  $\forall$  prime  $\Leftrightarrow A_{\mathfrak{m}}$  is normal,  $\forall$  max.

If  $A$  is normal, let  $x \in K$  integral over  $A_{\mathfrak{p}}$ .

$$x^n + a_1 x^{n-1} + \dots + a_n = 0, \quad a_j = \frac{b_j}{s_j}, \quad b_j \in A, \quad s_j \in S - \mathfrak{p}.$$

$$\text{let } s = s_1 \dots s_n \in A - \mathfrak{p}. \quad y = sx. \quad x = y/s.$$

$$\text{thus } sa_j \in Ab_j \subseteq A.$$

$$y^n + \cancel{s^n a_1} s a_2 y^{n-1} + \dots + s^n a_n = 0.$$

since  $A$  is normal,  $y \in A$ . thus  $x = y/s \in A_{\mathfrak{p}}$ .

If  $A_{\mathfrak{m}}$ ,  $A_{\mathfrak{m}}$  normal.

let  $x \in K$ , integral over  $A$ .

$$\text{thus } A_{\mathfrak{m}}, \quad x^{N_m} + a_{m,1} x^{N_m-1} + \dots + a_{m,N_m} = 0$$

$$\text{thus } x \in A_{\mathfrak{m}}, V_{\mathfrak{m}}.$$

then  $\forall m, \exists s_m \in A - m$ . set  $s_m = y_m - \text{integral over } A$

st.  $s_m x \in A$ .

thus  $\sum_{\text{minimized}} A s_m \neq m, \forall m$ .

thus  $\sum_{\text{minimized}} A s_m = A \geq 1$ .

$\exists m_1, \dots, m_n, \lambda_1, \dots, \lambda_n \in A$ .

st.  $1 = \sum \lambda_j s_{m_j}$ .

then  $x = \sum \lambda_j s_{m_j} \in A$ , thus  $x \in A$ .

The Above only shows for  $A_p(A_m)$ , same argument  
works for general localizations.

Example:  $A$ : PID, not field, then  $A$ : Dedekind domain.

Check: PID  $\Rightarrow$  UFD normal.

$\Leftrightarrow$  Noetherian.

$\forall m \in \text{spec}(A)$  maximal,  $A_m$ : Noeth local with principal  
maximal ideal.

$\Rightarrow \dim A_m \leq 1$ , thus  $\dim A \leq 1$ .

$A \neq$  field,  $\dim(A) = 1$ .

Lem:  $A$ : Dedekind  $\Leftrightarrow A_m$ : Dedekind,  $\forall m$ .

Prop:  $A$ : Dedekind,  $K = \text{Frac}(A)$ .

$L/K$ : finite, separable extension of fields.

$B$ : integral closure of  $A$  in  $L$ .

$\Rightarrow B$ : Dedekind.

• Corollary. Ring of integer = integral closure of  $\mathbb{Z}$  in  $L$

Number Field = Finite field extension  $L/\mathbb{Q}$

Rng of integer : Dedekind.

Pf:  $A = \mathbb{Z}$ ,  $K = \mathbb{Q}$

Pf Prop:  $\exists K\text{-basis } v_1, \dots, v_n \in B \text{ for } L$ .

$$L = \bigoplus_{j=1}^n K v_j$$

Let:  $T: L \rightarrow K$ ,  $x \mapsto \text{trace}(M(x))$ .

$M_x: k\text{-linear map } L \rightarrow L \text{ s.t. } y \mapsto x y$ .

Fact:  $L/K$  : separable  $\Leftrightarrow$   $K$ -bilinear Form

$$L \times L \ni (x, y) \mapsto T(x, y)$$

is non-degenerate;

it induces an isomorphism

$$L \rightarrow L^\vee = \text{Hom}_K(L, K)$$

$$x \mapsto [y \mapsto T(xy)]$$

Let  $u_1, \dots, u_n \in L$  dual basis wrt  $T$ .

$u_1, \dots, u_n$ :  $K$  basis of  $L$ .

$$T(u_i v_j) = s_{ij} = \begin{cases} 1, & i=j \\ 0, & i \neq j \end{cases}$$

Claim  $B \subseteq \bigoplus_j Au_j \quad (\Rightarrow B \text{ Noetherian})$

(By going up/down,  $\dim(B) = \dim(A) = 1$ , - transitivity  $\Rightarrow B$  ~~is normal~~)

Pf:

# Up CAETH Lec 14-2.

- Reduced the proof to checking that  $B$ : Noeth.

For this, ISTS  $B = F.G.$   $A$ -mod. (bec  $A$ : Noeth)

Noeth  
 $\sum_{i=1}^n u_i, \dots, u_n \in B : K$  basis for  $L$ ,

$\Rightarrow v_1, \dots, v_n \in L : K$  basis for  $L$ , as dual basis bec  $L/K$

s.t.  $T: L \rightarrow K$ ,  $z \mapsto \text{trace}_{L/K}(Mz)$  Separable.

we have  $T(u_i v_j) = \delta_{ij}$

We have used without pf that.

$L/K$ : separable  $\Rightarrow$  bilinear form  $L \times L \rightarrow K$ ,

$(x, y) \mapsto T(xy) \in K$  is non-degenerate

(non-degenerate means:

$\nexists x \neq 0 \in L$ , s.t.  $\sum_i T(xv_i) = 0$ .  $v_i \in L$ .

$\Leftrightarrow L \xrightarrow{\star} L^* = \text{Hom}_K(L, K)$  is an isomorphism

Since this system is linear in  $x$ , we can extend

scalars- ISTS:  $\nexists 0 \neq x \in L \otimes_K K^S$ ,  $K^S$ : separable closure of  $K$

s.t.  $T(xy) = \alpha xy \in L \otimes_K K^S$ .

But  $L \otimes_K K^S = (K^S)^{[L:K]}$  ( $\Leftarrow L/K$  separable)

(Here  $T: K^S$  linear extension of  $T$ )

$T: L \otimes_K K^S \rightarrow K^S$ ,  $t \otimes z \mapsto T(t)z$ )

$\hookrightarrow T: (K^S)^{[L:K]} \rightarrow K^S$ ,  $(z_i) \mapsto \sum z_i$ .

s.t. with  $\bar{T}: L \rightarrow K$ ,  $z \mapsto \text{trace}_{L/K}(Mz)$ .

Claim  $B \subseteq \bigoplus_i Av_i : \sum_k k v_i = L$

(Then  $A$ : Noeth  $\Rightarrow B$ : Noeth).

Indeed, let  $x \in B$ , write  $x = \sum x_i v_i$ , with  $x_i \in K$

Want  $x_i \in A$

Indeed,  $T(x_i \cdot u_i) = x_i$ .

Want:  $\text{Tr}(B) \subseteq A$

Case  $L/K$ : Galois.

$$T(x) = \sum_{\tau \in \text{Gal}(L/K)} x^\tau, \quad x^\tau = \tau(x) \text{ apply the Galois extension}$$

$x \in B \Rightarrow \text{each } x^\tau \in B$ , by  $A$ : normal.

$$T(x) \in B \cap K = A.$$

For the other cases, we need to pass to the Galois case:

$$\left. \begin{array}{l} B_1 \subseteq L_1 \\ U_1 \subseteq U_1 \\ B \subseteq L \\ U_1 \subseteq U_1 \\ A \subseteq K \end{array} \right\} \text{Galois.} \quad L: \text{Galois Closure of } L \text{ over } K.$$

Our proof shows  $B_1 : F$  &  $A$ -mod. since  $A$ : Noeth,  
we concluded that  $B$ : Noeth.  $\square$

Lemma

$A$ : Noeth, domain,  $\dim=1$ .

Then ~~every~~ every nonzero ideal  $a \subseteq A$ .

factors uniquely as a product of prime with.  
distinct radicals.

$$a = \prod_{i=1}^n p_i, \quad p_i \neq p_j \quad \forall i \neq j \quad (p_i = \text{rad}(p_i))$$

Note: domain with  $\dim=1$  then, every prime nonzero  
is maximal.

Pf. Existence. let  $a = \bigcap_{i=1}^n q_i$  be a MPD

since  $a \neq 0$ , then  $q_i \neq 0$ , thus  $q_i$  all maximal

thus  $p_i$  pairwise coprime. ( $p_i + p_j = 1$ ,  $i \neq j$ )

thus  $q_i$  pairwise coprime.

then  $\cap q_i = \prod q_i$  (Chinese Remainder Theorem)

thus  $\alpha = \prod q_i$

Uniqueness:

$$\alpha = \prod q_i \Rightarrow p_i \neq p_j, \forall i \neq j.$$

then for the same reason  $\alpha = \prod q_i = \cap q_i$

thus,  $\alpha$  is a MPP. with each component isolated,  
and uniqueness follows from the second uniqueness theorem.

P

Theorem. In a Dedekind domain:

(i) Any power of a nonzero prime is primary

(ii) Any nonzero primary is a power of its radical

(iii) Any nonzero ideal is uniquely a product of prime ideals.

pf: (iii) true if  $A = \text{local}$ . bcs then  $A = \text{DVR}$ .

In general,  $p$ -primary ideals in  $A$  are in a bijection with  
 $pAp$  - primary ideals in  $A_p$ .

The Latter =  $\{\text{nonzero ideals in } A_p\} = \{\cancel{\text{of }} \text{prime} \text{ of } pAp\}$ .

(iii) is from (i)+(ii).

Def: Let  $A$ : domain,  $K = \text{Frac}(A)$ .

Fraction Ideal.  $I \subseteq \text{submod}$

s.t.  $xI \subseteq A$ ,  $\exists x \in K, x \neq 0$ .

Example:  $I = Ay$ ,  $y \in K$ ,  $I$  = fraction ideal.

In General, any  $F.G$ . submodule  $I \subseteq K$  is a fraction ideal

$\sum A y_i = I$ ,  $y_i = \frac{u_i}{v_i}$ , then  $v_1 \cdots v_n I \subseteq A$ .

• If  $A$ : Noeth. and  $I$ : fractional ideal then  $I \in F.G.$

• Def. An invertible ideal is a submodule  $I \subseteq K$ .

S.t.  $\exists$  submodule  $J \subseteq K$  s.t.  $IJ = A$ .

where  $I \cdot J = \{ \sum a_i b_i : a_i \in I, b_i \in J \}$ .

$I$ : invertible,  $IJ = A \Rightarrow J = (A:I) = \{ x \in K : xI \subseteq A \}$ .

b/c  $J \subseteq (A:I) = (A:I)I \cdot J \subseteq A \cdot J = J$

Invertible Ideals Form A Group.

Theorem.  $A$ : Dedekind Domain, then every nonzero fractional ideals is invertible

The group of nonzero fractional ideals is free on the nonzero primes.

$\oplus \mathbb{Z} \rightarrow \{ \text{non-zero fractional ideals} \}$ .  
 $\nexists p \in A$

$$(n_p)_p \sim \prod_p p^{n_p}$$

Pf: Let  $I$ : nonzero fractional ideal.

then  $xI \subseteq A$ ,  $\exists x \in A$ .

If  $A$ : local, then  $x = w^n u$ ,  $n \geq 0$ .

$$xI = (w^m), m \geq 0. \Rightarrow I = Aw^{m-n}$$

thus  $I$ : invertible

thus  $\mathbb{Z} \rightarrow \{ \text{invertible ideals} \} = \{ \text{nonzero fraction ideals} \}$

$$m \mapsto p^m = (w^m)$$

## CA Lec 14-2.

- In general, know that  $I_P$ : invertible  $\Leftrightarrow P \neq 0$ .  
then  $I(A:I) = A \Leftrightarrow (I \cdot (A:I))_P = A_P$   
 $\Leftrightarrow (I \cdot (A:I))_P = I_P \cdot (A:I)_P = I_P \cdot (A_P : I_P) = A_P$   
 $I = f, g.$   $I_P$ : invertible.

- Def.  $A$ : Dedekind.

$\mathfrak{Q}(A) = \{\text{nonzero fractional ideals}\}$ .

$\mathcal{O}_K$ : integer closure of  $\mathbb{Z}$  in  $K$ .

Theorem  $K/\mathbb{Q}$  finite  $\Rightarrow \#\mathfrak{Q}(\mathcal{O}_K) < \infty$

$K[[x^2, x^3]]$  not DVR. ( $x^3$ ) not a power of primes

Eisenbud Ch 1. (Not Exam)

Localization: Important!