Personal Notes for Commutative Algebra by P. Nelson

Texed by Lin-Da Xiao

October 29, 2017

Contents

About the Course:

The course website is https://metaphor.ethz.ch/x/2017/hs/401-3132-00L/.

The topic includes

- Basics about rings, ideals and modules
- Localization
- Primary decomposition
- Integral dependence and valuations
- Noetherian rings
- Completions
- Basic dimension theory

Prerequisite:

Rings, homomorphism, ideals, quotient rings, zero divisors, prime/maximal ideals, fields.

Convention: Ring, we mean a commutative ring with identity. $\mathrm{Spec}(\mathcal{R})$ is the prime spectrum of a ring $\mathcal{R}$ and $\mathrm{Spm}(\mathcal{R})$ is the maximal spectrum.

In particular for a ring homomorphism $f : R \to S$. We have $f(1_R) = 1_S$. Remark: we allow 1=0 but then R=0. Caution, by definition $1 \neq 0$ in a field .

1 Rings, ideals, radicals

1.1 Lecture 1. Motivation and Basics by Paul Steinmann

In differential geometry, we have the theorem of level sets:

Theorem 1.1. *Let $f : \mathbb{R}^n \to \mathbb{R}$. If $0 \in \mathbb{R}^n$ is a regular value of f then $f^{-1}(0)$ is a submanifold.*

In algebraic geometry, we look at $f^{-1}(0)$ for polynomial f. More precisely, fix an algebraic-closed field $\mathbb{K}$ and an integer $n > 0$, consider the ring $R := \mathbb{K}[x_1, ..., x_n]$. Def: For a subset $S \subset R$ we define the **affine algebraic variety** by

$$V(S) := \{x \in \mathbb{K}^n | \forall f \in S,\ f(x) = 0 \subset \mathbb{K}^n\} \tag{1}$$

Remark 1.2. *With the affine algebraic varieties defined above, we have:*

- $V(\emptyset) = \mathbb{K}^n$
- $V(\{1\}) = \emptyset$
- *For an non empty collection of subsets* $(S_i)_{i\in I}$ $S_i \subset R$ *we have*

$$\cap_{i\in I} V(S_i) = V(\cup_{i\in I} S_i)$$

- S *and* S' *are subsets in* R

$$V(S) \cup V(S') = V(\{fg | f \in S, g \in S'\})$$

as a consequence, $(V(S))_{S\subset R}$ *form the closed sets of a topology on* $\mathbb{K}^n$ *called* ***Zariski topology****.*

Example 1.3. *n=2 ,* $R = \mathbb{K}[X_1, X_2]$
$V(\{X_1\})$ *is the* X_2 *axis in* $\mathbb{K}^2$
$V(\{X_2 - X_1^2\})$ *is the parabola in* $\mathbb{K}^2$

Definition 1.4. *Conversely for all subset* $X \subset \mathbb{K}^n$*, consider*

$$I(X) := \{f \in R | \forall x \in X : f(x) = 0\} \subset R.$$

Remark 1.5. *Fact: For* S *in* R *and* X *subset in* $\mathbb{K}^n$*, we have,*

- $S \subset I(V(S))$
- $X \subset V(I(X))$
- *For* $S \subset S' \subset$ *in* R*, we have* $V(S) \supset V(S')$
- *For* $X \subset X' \subset \mathbb{K}^n$*, we have* $I(X) \supset I(X')$
- $I(X) \subset R$ *is an ideal.*

Definition 1.6. *The* ***radical of an ideal*** $a \subset R$ *is* $rad(\mathfrak{a}) := \{a \in R | \exists n \geq 1$ *s.t.* $a^n \in \mathfrak{a}\} \subset R$ *An ideal* $\mathfrak{a} \subset R$ *with* $rad(\mathfrak{a})$ *is called* ***radical****.*

Remark 1.7. *Fact, for every ideal* $\mathfrak{a} \subset R$ *we have* $\mathfrak{a} \subset rad(\mathfrak{a})$*.*
$rad(\mathfrak{a})$ *is an ideal, proof in exercise.*
For $X \subset \mathbb{K}^n$ *the ideal* $I(X)$ *is radical.*

Theorem 1.8. *(The Hilbert's Nullstelensatz) For any ideal $\mathfrak{a} \subset R$ we have*

$$I(V(\mathfrak{a})) = rad(\mathfrak{a}).$$

An important consequence of the theorem:
the maps V and I induce the one to one correspondence between

$$\{\text{radical ideals in the polynomial ring}\} \Longleftrightarrow \{\text{affine algebraic varieties}\}$$

and this correspondence inverse the inclusion.

Example 1.9. *For any point $x = (x_1, ..., x_n) \in \mathbb{K}^n$ the ideal*

$$I(x) = \mathfrak{m}_x := (X_1 - x_1, ..., X_n - x_n)$$

is maximal.

Proof. If not, then there exists an ideal $\mathfrak{a} \subset R$ s.t.

$$R \supsetneq \mathfrak{a} \supsetneq \mathfrak{m}_x,$$

but then by the Nullstellensatz,

$$\emptyset \subsetneq V(\mathfrak{a}) \subsetneq V(\mathfrak{m}_x) = \{x\},$$

which makes the contradiction. □

Weak Nullstellensatz the ideals m_x is are precisely the maximal ideals of $\mathbb{K}[x_1, ..., x_n]$, where $\mathbb{K}$ needs to be algebraically closed

Example 1.10. $\mathbb{K} = \mathbb{R}, n = 1$. *$X^2 + 1$ is irreducible in $\mathbb{R}[X]$. And $\mathbb{R}[X]/(X^2 + 1) \cong \mathbb{C}$ is maximal. Consequence, we have a bijection*

$$\{\textit{max ideals of } R \textit{ polynomial ring } \mathbb{K}[X_1, ..., X_n]\} \Longleftrightarrow \{\textit{Points in } \mathbb{K}^n\}$$

Let A be a ring. Remember
An element $a \in A$ is **nilpotent** if there $\exists n > 1 \in \mathbb{Z}$ s.t. $a^n = 0$.
An element $a \in A$ is a **zero divisor** if there is an element $b \in A, b \neq 0$ s.t. $ab = 0$.
Fact: every nilpotent element is a zero divisor but not conversely.

Example 1.11. *take $(0, 1) \in A \times A$ then $(0, 1) \cdot (1, 0) = (0, 0)$*

Definition 1.12. *The ideal $N : rad((0))$ is called the **nil radical** of A.*

Then we have:

1. $\mathcal{N}$ is the set of all nilpotent elements of A
2. $A/\mathcal{N}$ has no nilpotent elements.

Proof. 1. From definitions. 2. Let $x \in A$ s.t. $\bar{x} \in A/\mathcal{N}$ is nilpotent. Let $n > 0$ s.t. $\bar{x}^n = 0$ then $x^n \in \mathcal{N}$ Thus there exists $k > 0$ s.t. $(x^n)^k = 0$ hence $x^{nk} = 0$, $x \in \mathcal{N}$. □

Proposition 1.13. *The nil radical of A is the intersection of all prime ideals of A.*

Proof. Denote by $\mathcal{N}'$ the intersection of all prime ideals of A. For any nilpotent element $f \in A$ with $n > 0$ s.t. $f^n = 0$, We have $f^n \in \mathfrak{p}$ for every prime ideal $\mathfrak{p}$. Hence $f \in \mathfrak{p}$ We conclude $f \in \mathcal{N}'$ Conversely, suppose $f \in A$ is not nilpotent Define $\Sigma := \{\mathfrak{a} \subset A \text{ ideals} | \forall n > 0 : f^n \notin \mathfrak{a}\}$ We will apply Zorn's lemma. We have

1. $(0) \in \Sigma$, so Σ is nonempty,
2. Σ is partially ordered by inclusion.
3. For any chain $(a_i)_{i \in I} \subset \Sigma$, the set $\mathfrak{a} := \cup_{i \in I} a_i$ is an ideal and

for all $n > 0$, we have $f^n \notin \mathfrak{a}$, hence $\mathfrak{a} \in \Sigma$. By Zorn's lemma we conclude that there is a maximal element $\mathfrak{p} \in \Sigma$. We show that $\mathfrak{p}$ is a prime ideal.

For any $x, y \notin \mathfrak{p}$, consider the ideals $\mathfrak{p}+(x), \mathfrak{p}+(y)$. They strictly contain $\mathfrak{p}$ and are thus not in Σ. Let $n, m > 0$ s.t. $f^n \in (x), f^m \in \mathfrak{p} + (y)$. We conclude that $f^{n+m} \in \mathfrak{p}+(xy)$, so $\mathfrak{p}+(xy) \notin \Sigma$. Hence $xy \notin \mathfrak{p}$, which means, $\mathfrak{p}$ is a prime ideal so $f \notin \mathcal{N}'$. □

Remember let $f : A \to B$ be a ring morphism. And $\mathfrak{p} \subset B$ a prime ideal . Then $f^{-1}(\mathfrak{p})$ is a prime ideal of A. Caution: Not true for maximal ideals in general.

Proposition 1.14. *Let $\mathfrak{a} \subset A$ be an ideal, $\pi : A \to A/\mathfrak{a}$ There is a one to one correspondence between ideals of $A/\mathfrak{a}$ and ideals in A which contain $\mathfrak{a}$ via $\mathfrak{c} = \pi^{-1}(\mathfrak{b})$*

Corollary 1.15. *Let $\mathfrak{a} \subset A$ be an ideal, then $rad(\mathfrak{a})$ is the intersection of all prime ideals which contain $\mathfrak{a}$.*

Proof. consider the homomorphism $\pi : A \to A/\mathfrak{a}$ Then $rad(\mathfrak{a}) = \pi^{-1}(\mathcal{N}_{A/\mathfrak{a}})$. By the above proposition $\mathcal{N}_{A/\mathfrak{a}}$ is the intersection of all prime ideals of $A/\mathfrak{a}$. By the correspondence we conclude the statement. □

Definition 1.16. *The **Jacobson Radical** $\mathcal{R}$ of A is the intersection of all maximal ideals in A.*

Proposition 1.17. *We have $x \in \mathcal{R} \iff \forall y \in A : 1 - xy$ is a unit.*

Proof. "$\Longrightarrow$" let $x \in \mathcal{R}$ and $y \in A$ s.t. $1-xy$ is not a unit. Then $1-xy \in \mathfrak{m}$ for some maximal ideal $\mathfrak{m} \subset A$. But $x \in \mathcal{R} \subset \mathfrak{m}$, hence $1 \in \mathfrak{m}$ contradiction.

"$\Longleftarrow$" let $x \notin \mathcal{R}$ then $x \notin \mathfrak{m}$ for some maximal ideal $\mathfrak{m} \subset A$. Since $\mathfrak{m}$ is maximal we conclude that $(x) + \mathfrak{m} = A$. Hence there exists $y \in A,\ u \in \mathfrak{m}$ s.t. $xy + u = 1$. We conclude that $1 - xy \in \mathfrak{m}$, so in particular , $1 - xy$ is not a unit. □

1.2 Lecture 2. local rings, coprime ideals, ideal quotients by Paul Steinmann

Definition 1.18. *A ring A is called a **local ring** if A admits precisely one maximal ideal;*

Example 1.19.

- *Every field is a local ring with maximal ideal $\mathfrak{m} = 0$, because every nonzero element is a unit.*
- *$\mathbb{K}[[X]]$ is the ring of formal power series over a field $\mathbb{K}$, it has a unique maximal ideal (X). One can check that every element with nonzero constant term is invertible. i.e. $(a_0(1-g))^{-1} = a_0^{-1}(1 + g + g^2 + ...)$*

Proposition 1.20.

- *Let A be a ring and $\mathfrak{m} \neq (1)$ is an ideal of A s.t. every $x \in A - \mathfrak{m}$ is a unit of A, then A is a local ring with maximal ideal $\mathfrak{m}$.*
- *Let A be ring and $\mathfrak{m} \subset A$ is a maximal ideal s.t. any element of $1 + \mathfrak{m} = \{1 + a | a \in \mathfrak{m}\}$ is a unit in A. Then A is a local ring.*

Proof. For first part, every proper ideal consists of non-units, hence is contained in $\mathfrak{m}$. In other words, an element is a unit iff it is not contained in any maximal ideal. For the second part, let $x \in A - \mathfrak{m}$. Since $\mathfrak{m}$ is maximal , we have $(x) + \mathfrak{m} = (1)$, hence , $\exists y \in A, t \in \mathfrak{m}$, s.t. $xy + t = 1$, which implies $xy = 1 - t \in 1 + \mathfrak{m}$. Thus ,$xy$ is a unit which implies that x is a unit, Now use the first part. □

Definition 1.21. *A ring A is called* ***semilocal*** *if A admits finitely many maximal ideals.*

Example 1.22.

- *$\mathbb{Z}$ is not semilocal.*
- *Let $m \in \mathbb{Z}$. Then $\mathbb{Z}/(m\mathbb{Z})$ is a semilocal ring with maximal ideals $d\mathbb{Z}/m\mathbb{Z}$ for prime number $d|m$.*
- *In particular, for $p \in \mathbb{Z}$ prime , $\mathbb{Z}/p\mathbb{Z}$ is local ring.*

Reminder: Let $\mathfrak{a}, \mathfrak{b} \subset A$ be ideals their sum is

$$\mathfrak{a} + \mathfrak{b} := \{a + b | a \in \mathfrak{a}, b \in \mathfrak{b}\},$$

Which is the smallest ideal containing $\mathfrak{a} \cup \mathfrak{b}$. Also infinite sums $(\mathfrak{a}_i)_{i \in I} \subset A$ ideals,

$$\sum_{i \in I} \mathfrak{a}_i := \left\{ \sum_{i \in I} x_i | x_i \in \mathfrak{a}_i x_i = 0 \text{for almost all i} \right\}$$

And we also have

$$\mathfrak{a} \cdot \mathfrak{b} \text{ or } \mathfrak{a}\mathfrak{b} = \left\{ \sum_{i \in I} x_i y_i | x_i \in \mathfrak{a}, y_i \in \mathfrak{b}, \text{all but finitely many terms are } 0 \right\}.$$

Definition 1.23. *Two ideals $\mathfrak{a}, \mathfrak{b} \subset A$ are called* ***coprime***[1] *if $\mathfrak{a} + \mathfrak{b} = (1)$*

Remark 1.24. *If $\mathfrak{a}, \mathfrak{b} \subset A$ are coprime ideals then $\mathfrak{a} \cap \mathfrak{b} = \mathfrak{a} \cdot \mathfrak{b}$.*
For general ideals $\mathfrak{a}, \mathfrak{b} \subset A$:

$$(\mathfrak{a} + \mathfrak{b}) \cdot (\mathfrak{a} \cap \mathfrak{b}) \subset \mathfrak{a} \cdot \mathfrak{b} \subset \mathfrak{a} \cap \mathfrak{b}.$$

However, for coprime ideals, we also have $\mathfrak{a}\mathfrak{b} \supset \mathfrak{a} \cap \mathfrak{b}$, because $1 = a + b$ for $a \in \mathfrak{a}, b \in \mathfrak{b}$, then $\forall x \in \mathfrak{a} \cap \mathfrak{b}$ we have $x = x \cdot 1 = x(a + b) = xa + xb \in \mathfrak{a} \cdot \mathfrak{b}$.

Proposition 1.25. *Let $\mathfrak{a}_1, ..., \mathfrak{a}_n \subset A$ be ideals, denote $\varphi : A \to \prod_{i \in I}^n (A/\mathfrak{a}_i)$ for the canonical homomorphism.*
(i) if $\mathfrak{a}_i, \mathfrak{a}_j$ are coprime for $i \neq j$, then $\prod_{i=1}^n \mathfrak{a}_i = \cap_{i=1}^n \mathfrak{a}_i$.
(ii)φ is surjective iff $\mathfrak{a}_i, \mathfrak{a}_j$ are coprime for $i \neq j$.
(iii) φ is injective iff $\cap_{i=1}^n \mathfrak{a}_i = (0)$.

[1] In some literature, it is called **comaximal**

Proof. (iii) Note that $ker\varphi = \cap_{i=1}^{n} \mathfrak{a}_i$.
(i) by induction on n. For $n = 2$ it is checked above. Suppose $n > 2$ let $\mathfrak{b} := \prod_{i=1}^{n-1} \mathfrak{a}_i = \cap_{i=1}^{n-1} \mathfrak{a}_i$ Since $\mathfrak{a}_i + \mathfrak{a}_n = (1)$ for $1 \leq i \leq n-1$. We have $x_i + y_i = 1$ for some $x_i \in \mathfrak{a}_i, y_i \in \mathfrak{a}_n$ Thus $\prod_{i=1}^{n-1} x_i = \prod_{i=1}^{n-1}(1 - y_i) \equiv 1 \mod \mathfrak{a}_n$ We conclude that $\mathfrak{a}_n + \mathfrak{b} = (1)$, s.t.

$$\prod_{i=1}^{n} \mathfrak{a}_i = \mathfrak{b}\mathfrak{a}_n = \mathfrak{a} \cap \mathfrak{a}_n = \cap_{i=1}^{n} \mathfrak{a}_i$$

(ii) "$\Longrightarrow$", Suppose φ is surjective. Let $i \neq j$, There exists an element $x \in A$ s.t. $\varphi(x) = (0, ..., 0, 1, 0, ..., 0)$, nonzero only at the i-th entry. Thus $x \equiv 1 \mod \mathfrak{a}_i$ and $x \equiv 0 \mod \mathfrak{a}_j$. So $1 = (1 - x) + x \in \mathfrak{a}_i + \mathfrak{a}_j$.
"$\Longleftarrow$" We show that for all $k \in \{1, ..., n\}$ there exists an element $x \in A$ s.t. $\varphi(x) = (0, ..0, 1, 0..0)$, nonzero at the k-th entry. Let $k \in \{1, ..., n\}$. For every $j \in \{1, ..., n\} \backslash \{k\}$. We have $\mathfrak{a}_k + \mathfrak{a}_j = (1)$, and thus there are elements $u_j \in \mathfrak{a}_k, v_j \in \mathfrak{a}_j$ s.t. $u_j + v_j = 1$. Define $x := \prod_{i \neq k} v_i$. Then $x \equiv 0 \mod \mathfrak{a}_j$, $\forall j \neq k$ and $x = \prod_{i \neq k}(1 - u_i) \equiv 1 \mod \mathfrak{a}_k$. Hence, $\varphi(x) = (0, .., 0, 1, 0, ..., 0)$ nonzero in the k-th entry.
As a result, if each pair $\mathfrak{a}_i, \mathfrak{a}_j$ is coprime, we have

$$A/\left(\prod_{i=1}^{n} \mathfrak{a}_i\right) \cong \prod_{i=1}^{n} (A/\mathfrak{a}_i)\,.$$

□

Proposition 1.26. *Le t $\mathfrak{a}, \mathfrak{b} \subset A$ be ideals s.t. $rad(\mathfrak{a}), rad(\mathfrak{b})$ are coprime. Then $\mathfrak{a}, \mathfrak{b}$ are coprime.*

Proof. In fact, we have

$$rad(\mathfrak{a} + \mathfrak{b}) = rad(rad(\mathfrak{a}) + rad(\mathfrak{b})) = rad((1)) = (1)$$

Details in the exercise sheet. □

Proposition 1.27.
(i) Let $\mathfrak{p}_1, ..., \mathfrak{p}_n \subset A$ prime ideals and let $\mathfrak{a} \subset A$ be an ideal which is contained in $\cup_{i=1}^{n} \mathfrak{p}_i$ then $\mathfrak{a} \subset \mathfrak{p}_j$ for some j.
(ii)Let $\mathfrak{a}_1, ..., \mathfrak{a}_n \subset A$ be ideals and $\mathfrak{p} \subset A$ a prime ideal s.t. $\mathfrak{p} \supset \cap_{i=1}^{n} \mathfrak{a}_i$. Then $\mathfrak{p} \supset \mathfrak{a}_i$ for some i. If $\mathfrak{p} = \cap_{i=1}^{n} \mathfrak{a}_i$, then $\mathfrak{p} = \mathfrak{a}_i$ for some i .

Proof. Induction on n. For $n = 1$, easily checked. For $n > 1$. Assume that $\mathfrak{a} \not\subset \mathfrak{p}_i$ for all $1 \leq i \leq n$. We show $\mathfrak{a} \not\subset \cup_{i=1}^n \mathfrak{p}_i$. By induction hypothesis we know that $\forall k, \mathfrak{a} \not\subset \cup_{i\neq k}^n \mathfrak{p}_i$, so there exists $x_k \in \mathfrak{a}$ s.t. $x_k \notin \mathfrak{p}_i$, $\forall i \neq k$.We choose an x_k for each $\mathfrak{p}_k$ in the above manner. If $x_k \notin \mathfrak{p}_k$ for some k , then we are done. If not, then $x_k \in \mathfrak{p}_k$ for all k. Consider $y := \sum_{k=1}^n \prod_{j\neq k} x_j$. We have $y \in \mathfrak{a}$ and $y \equiv \prod_{j\neq k} x_j \mod \mathfrak{p}_k, \forall k$. Since $x_j \notin \mathfrak{p}_k$ for $j \neq k$ and $\mathfrak{p}_k$ is a prime ideal, we conclude that $y \notin \mathfrak{p}_k$ for all k hence $\mathfrak{a} \not\subset \cup_{i=1}^n \mathfrak{p}_i$.
(ii) Suppose for all $i \in \{1, ..., n\}$ we have $\mathfrak{p} \not\supset \mathfrak{a}_i$. Then there are $x_i \in \mathfrak{a}_i$ with $x_i \notin \mathfrak{p}$ for all i. And thus $\prod_{i=1}^n x_i \in \prod_{i=1}^n \mathfrak{a}_i \subset \cap_{i=1}^n \mathfrak{a}_i$. Since $\mathfrak{p}$ is a prime ideal $\prod_{i=1}^n x_i \notin \mathfrak{p}$, hence $\mathfrak{p} \not\supset \cap_{i=1}^n \mathfrak{a}_i$. If $\mathfrak{p} = \cap_{i=1}^n \mathfrak{a}_i \subset \mathfrak{a}_k$ for all k, which produce the last part. □

Definition 1.28. *Let $\mathfrak{a}, \mathfrak{b} \subset A$ be two ideals. Their **ideal quotient** is*

$$(\mathfrak{a} : \mathfrak{b}) := \{x \in A | x\mathfrak{b} \subset \mathfrak{a}\}.$$

*The **annihilator** of an ideal $\mathfrak{a} \subset A$ is*

$$Ann(\mathfrak{a}) := \{(0) : \mathfrak{a}\}.$$

Notation: For $x \in A$ we write $(a : x) := (a : (x))$.
Fact: (i)The ideal quotient of two ideals is again an ideal.
(ii)The set of zero divisors of A is

$$D = \cup_{x\neq 0} Ann(x) = \cup_{x\neq 0}(Ann(x))$$

Proof. (i) (ii) The first equality is just by definition. The the second equality.

$$D = rad(D) = rad(\cup_{x\neq 0} Ann(x)) = \cup_{x\neq 0} rad(Ann(x)),$$

where we extend rad to arbitrary subsets. □

Properties: Let $\mathfrak{a}, \mathfrak{b} \subset A$ be ideals
(i)$\mathfrak{a} \subset (\mathfrak{a} : \mathfrak{b})$
(ii) $(\mathfrak{a} : \mathfrak{b})\mathfrak{b} \subset \mathfrak{a}$
(iii)$((\mathfrak{a} : \mathfrak{b}) : \mathfrak{c}) = (\mathfrak{a} : \mathfrak{b} \cdot \mathfrak{c}) = ((\mathfrak{a} : \mathfrak{c}) : \mathfrak{b})$
(iv) for ideals $(\mathfrak{a}_i)_{i\in I} \subset A$, $(\cap_{i\in I} \mathfrak{a}_i : \mathfrak{b}) = \cap_{i\in I}(\mathfrak{a}_i : \mathfrak{b})$
(v) for ideals $(\mathfrak{b}_i)_{i\in I} \subset A$, $(\mathfrak{a} : \sum_{i\in I} \mathfrak{b}_i) = \cap_{i\in I}(\mathfrak{a} : \mathfrak{b}_i)$.

Definition 1.29. *Let $\mathfrak{a} \subset A$ be an ideal $f : A \to B$ a ring homomorphism. We define the* ***extension*** *of $\mathfrak{a}$ by f to be the ideal*

$$\mathfrak{a}^e := f_*(\mathfrak{a}) := Bf(\mathfrak{a})$$

, Which is just the ideal in B generated by $f(a)$
For an dieal $\mathfrak{b} \subset B$. We define the ***contraction*** *of $\mathfrak{b}$ via f to be the ideal*

$$\mathfrak{b}^c := f^*(\mathfrak{b}) := f^{-1}(\mathfrak{b})$$

Properties: Let $f : A \to B$ be a ring homomorphism , $\mathfrak{a} \subset A$ $\mathfrak{b} \subset B$ ideals. Then :
(i) $\mathfrak{a} \subset f^* f_*(\mathfrak{a}) = \mathfrak{a}^{ec}$,$\mathfrak{b} \supset f_* f^*(\mathfrak{b}) = \mathfrak{b}^{ce}$.
(ii) $f^*(\mathfrak{b}) = f^* f_* f^*(\mathfrak{b}), f_*(\mathfrak{a}) = f_* f^* f_*(\mathfrak{a})$.
(iii) Denote by C the set of contracted ideals in A and by E the set of extended ideals in B, then

$$C = \{\mathfrak{a} \subset A | f^* f_*(\mathfrak{a}) = \mathfrak{a}\},$$

$$E = \{\mathfrak{b} \subset B | f_* f^*(\mathfrak{b}) = \mathfrak{b}\}.$$

And $f_* : C \to E$ is a bijection with inverse f^*.

Proof. For (i), $\mathfrak{a} \subset f^{-1} f(\mathfrak{a}) \subset f^{-1} f_*(\mathfrak{a}) = f^* f_*(\mathfrak{a})$. For (ii) $\mathfrak{b} \supset f(f^{-1}(\mathfrak{b}))$ and $\mathfrak{b}$ is an ideal so $\mathfrak{b} \supset f_* f^*(\mathfrak{b})$. Part (iii) is left as an exercise. □

2 Modules

2.1 Lecture 3. Modules, Exact sequences by Professor Kowalski

Outline of this chapter

- Definition examples and Nakayama's Lemma
- exact sequences , snake lemma
- tensor products
- Algebra over a ring

Roughly speaking, module is "vector spaces for rings". It is closely related to fibre bundles in geometry. For the convention, we still fix commutative ring $\mathcal{A}$ with unit.

Definition 2.1. *A* ***module*** M *over* $\mathcal{A}$ *is an Abelian group with a linear action of* $\mathcal{A}$ *on* M*, i.e.*

$$\mathcal{A} \times M \to M$$
$$(a, x) \mapsto ax$$

so that

$$a(x+y) = ax + ay$$
$$(a+b)x = ax + bx$$
$$a(bx) = abx$$
$$1x = x$$

Example 2.2. *1.* $\{0\}$ *is an* $\mathcal{A}$*-module*

2. if $\mathcal{A}$ *is a field* $\mathcal{A}$*-module is just* $\mathcal{A}$*-vector space.*

3. $I \subset \mathcal{A}$ *ideal; then* I*is an* $\mathcal{A}$*-module (a submodule of* $\mathcal{A}$*)*

4. $\mathcal{A} = \mathbb{Z}$*, an* $\mathcal{A}$*-module is an abelian group.*

Definition 2.3. M *and* N *are* $\mathcal{A}$*-modules* $f : M \to N$ *is* ***$\mathcal{A}$-linear*** *if* $f(ax + by) = af(x) + bf(y)$*. The set of such* $\rho : M \to N$ *is denoted* $Hom_{\mathcal{A}}(M, N)$*. It is an* $\mathcal{A}$*-module with*

$$(f+g)(x) = f(x) + g(x),$$

$$(af)(x) = af(x).$$

If $Q \xrightarrow{h} M \xrightarrow{f} N \xrightarrow{g} P$, then $g \circ f \in Hom_{\mathcal{A}}(M, P)$ and $g \circ (f \circ h) = (g \circ f) \circ h$. Also, $id_M \in Hom_{\mathcal{A}}(M, M)$. In other word, $\mathcal{A}$-module is a category.

Definition 2.4. $f : M \to N$ *is an* ***isomorphism*** *iff* $\exists g : N \longrightarrow M$ *s.t.* $g \circ f = id_N$ *and* $f \circ g = id_M$*.*

Remark 2.5. $Q \to (h)M \to (f)N \to (g)P$*, then for any* P*, we get*

$$f^* : Hom_{\mathcal{A}}(M, P) \to Hom_{\mathcal{A}}(M, P)$$

$$g \mapsto g \circ f$$

and

$$f_* : Hom_{\mathcal{A}}(Q, M) \to Hom_{\mathcal{A}}(Q, N)$$
$$h \mapsto f \circ h$$

They are $\mathcal{A}$-linear, because for example

$$\begin{aligned}(f^*(ah + bg))(x) &= ((ah + bg) \circ f)(x) \\ &= (ah + bg)(f(x)) \\ &= ah(f(x)) + bg(f(x)) \\ &= (af^*(h) + bf^*(g))(x).\end{aligned}$$

Remark 2.6. *Suppose M is an $\mathcal{A}$-module and $N \subset M$ as submodule, then M/N has the structure of $\mathcal{A}$-module such that the canonical projection $\pi : M \to M/N$ is $\mathcal{A}$-linear. $a(x+N) = ax+N$ is well defined because $aN \subset N$.*

Definition 2.7. *$f : M \longrightarrow N$ is a morphism of $\mathcal{A}$-modules.*

- *$Ker(f) = f^{-1}(\{0\}) \subset M$ is a submodule of M.*
- *$Im(f) = f(M) \subset N$ is a submodule of N.*
- *Coker(f)=N/Im(f) is an $\mathcal{A}$-module.*

Remark 2.8.

1. *$ker(f) = 0 \iff f$ is injective.*
2. *$coker(f) = 0 \iff f$ is surjective.*
3. *if $f : M \to N$ and $M' \subset ker(f)$, then we get an induced linear map $\bar{f}$, s.t the diagram*

$$\begin{array}{ccc} M & \xrightarrow{f} & N \\ \downarrow{\scriptstyle \pi} & \nearrow{\scriptstyle \bar{f}} & \\ M/M' & & \end{array}$$

commutes. It properly defined by $\bar{f}(x+M') = f(x)$ since $f(M') = \{0\}$ Then we have

$$Im(\bar{f}) = Im(f),$$

and

$$Ker(\bar{f}) = Ker(f)/M'.$$

In particular, if $M' = Ker(f)$, we get an isomorphism

$$M/Ker(f) \xrightarrow{\bar{f}} Im(f).$$

If M is an $\mathcal{A}$-module and $(M_i)_{i\in I}$ a family of submodules then $\cap_{i\in I} M_i$ is a submodule. If $X \subset M$ be a subset then the intersection of all submodules containing X is a submodule containing X, called the submodule generated by X, denote it by $\langle X\rangle$. One checks that

$$\begin{aligned}\langle X\rangle &= \{\text{linear combination of elements of } X\}\\ &= \left\{\sum_i a_i x_i | K \geq 0\mathbb{Z}, a_i \in \mathcal{A}, x_i \in X\right\}\end{aligned}$$

We write

$$\sum_{i\in I} M_i = \langle \cup_{i\in I} M_i\rangle$$

Definition 2.9. *If M satisfies $M = \langle X\rangle$ with X finite, then M is called finitely generated.*

Warning: A submodule of a finitely generated module is not necessarily finitely generated.

Example 2.10.

$$A = \mathbb{C}[X_1, ..., X_n, ...].$$

A is finitely generated by 1 however, the ideal $I = (X_1, .., X_n, ...)$ is note finitely generated

Lemma 2.11.

1. *$L \supset M \supset N$ are $\mathcal{A}$-modules, then there is an isomorphism*

$$(L/N)/(M/N) \to L/M$$

$$(x + N) + M/N \mapsto x + M$$

Rigorously: $\pi : L \longrightarrow L/M$ is surjective
$\Longrightarrow \bar{\pi} : L/N \to L/M$ is surjective
and $Ker(\bar{\pi}) = M/N$ so

$$(L/N)/(M/N) \cong Im(\bar{\pi}),$$

by Remark 2.8.

2. $(M_1 + M_2)/M_2 \cong M_1/(M_1 \cap M_2)$

Definition 2.12. *$I \subset A$ ideal; M module $IM = \langle \{ax | a \in I, x \in M\} \rangle \subset M$ as a submodule.*

M/IM is naturally an $\mathcal{A}/I$-module.

Definition 2.13. *$(M_i)_{i \in I}$ is a family of $\mathcal{A}$-modules*

1. *$\prod_{i \in I} M_i$ is an $\mathcal{A}$-module with $a(x_i) = (ax_i)$.*
2. *$\oplus_{i \in I} M_i \subset \prod_{i \in I} M_i$ is the submodule of $(x_i)_{i \in I}$ s.t. $x_i = 0$ for all but finitely many $i \in I$.*

Cartesian product and direct product are the same when there only finitely many summand. If $M_i = M, \forall i \in I$,we denote $M^{(I)} := \oplus_i M_i$. When I is finite, we denote it by M^I.

Definition 2.14. *An $\mathcal{A}$-module M is called **free** if there exists a set I s.t. M is isomorphic to $\mathcal{A}^{(I)}$.*

Example 2.15.

1. *if $\mathcal{A}$ is a field, then every $\mathcal{A}$-module is free.*
2. *$\mathcal{A} = \mathbb{Z}$: $\mathbb{Z}/2\mathbb{Z}$ is not free.*
3. ***Warning!** A submodule of a free module is not necessarily free.(e.g. ideals in $\mathcal{A}$)*
4. *If $\mathcal{A} \neq \{0\}$, $n, m \geq 0$ are integer and $\mathcal{A}^n \cong \mathcal{A}^m$ then $n = m$. $I \subset \mathcal{A}$ maximal ideal, then we get an isomorphism of $\mathcal{A}/I$-vector spaces,*

$$(\mathcal{A}/I)^n \cong (\mathcal{A}/I)^m \Longrightarrow n = m.$$

*This is called the **invariant basis number property**, all nontrivial commutative ring has the property.*

Proposition 2.16. *(Nakayama's lemma)*
M finitely generated $\mathcal{A}$-module, $I \subset$ Jacobson radical of A, which is just $\cap_{\mathfrak{m} \subset \mathcal{A}}$ $\mathfrak{m}$, where $\mathfrak{m}$ are maximal ideals in $\mathcal{A}$. If $IM = M$, then $M = \{0\}$. e.g. $\mathcal{A}$ being a local ring and $I = \mathfrak{m}$ the only maximal in $\mathcal{A}$.

Proof. Suppose $M \neq 0$, and let $\{x_1, ..., x_n\}$ be a generating set with $n \geq 1$ minimal. Since $IM = M$, we have $x_n \in IM$, so

$$x_n = \sum_{i=1}^{k} a_i y_i, y_i \in M, a_i \in I$$

where $y_i = \sum_j b_{ij} x_j$. Then we have

$$x_n = \sum_{j=1}^{n} c_j x_j$$

$$c_j = \sum_{i}^{k} a_i b_{ij} \in I$$

$$\implies (1 - c_n) x_n = \sum_{j=1}^{n-1} c_j x_j$$

and $(1 - c_n) \equiv 1 \mod I \implies c_n \in$ the Jacobson radical, then $1 - c_n$ is invertible by Proposition 1.17.

$$x_n = (1 - c_n)^{-1} \sum_{j=1}^{n-1} c_j x_j,$$

which contradict the minimality of the generating set. □

Corollary 2.17. *M fin. gen. $\mathcal{A}$-module, $I \subset$ Jacobson radical , $N \subset M$. If $M = IM + N$, then $M = N$.*

Proof. $I(M/N) = (IM + N)/N = (M/N)$, then by Nakayama's lemma we know

$$M/N = 0.$$

□

Corollary 2.18. *$\mathcal{A}$ local ring, $\mathfrak{m} \subset \mathcal{A}$ the maximal ideal. M fin. gen. Then if $(x_1, ..., x_n) \in M$ are such that their classes modulo $\mathfrak{m}$ form a basis of $M/\mathfrak{m}M$ as $\mathcal{A}/\mathfrak{m}$-vector space, then they generate M.*

Proof. $N = \langle x_1, ..., x_n \rangle$ and apply Nakayama's lemma. □

Exact sequence

Definition 2.19. *(1)$M' \to (f)M \to (g)M''$ is **exact** if $Im(f) = ker(g)$*
*(2) $M' \to (f_1)M \to (f_2)M'' \to ...$ is **exact** if it is exact at each node.*

Example 2.20.

1. $0 \longrightarrow M \xrightarrow{g} M''$ *is exact, is equivalent to say that g is injective*
2. $M' \xrightarrow{f} M \longrightarrow 0$ *is exact, it is equivalent to say that f is surjective.*
3. *"Short exact sequence"* $0 \longrightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \longrightarrow 0$ *For instance,*

$$\begin{array}{ccccccccc} 0 & \longrightarrow & M' & \xrightarrow{f} & M' \oplus M'' & \xrightarrow{g} & M'' & \longrightarrow & 0 \\ & & x & \longmapsto & (x,0) & & & & \\ & & & & (x,y) & \longmapsto & y & & \end{array}$$

   the splitting sequence is exact. In fact short exact sequence of free modules always splits.

4. $\mathcal{A} = \mathbb{Z}$*, for non-free modules, for example*

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathbb{Z}/2\mathbb{Z} & \longrightarrow & \mathbb{Z}/4\mathbb{Z} & \longrightarrow & \mathbb{Z}/w\mathbb{Z} & \longrightarrow & 0 \\ & & x & \longmapsto & 2x & & & & \\ & & & & x & \longmapsto & x \bmod 2 & & \end{array}$$

   the exact sequence does not split.

2.2 Lecture 4. Snake Lemma, Tensor Product by Professor Kowalski

Proposition 2.21. *(Snake Lemma) Suppose we have such a commutative diagram, each row is exact,*

$$\begin{array}{ccccccccc} 0 & \longrightarrow & M' & \longrightarrow & M & \longrightarrow & M'' & \longrightarrow & 0 \\ & & \downarrow{\scriptstyle f'} & & \downarrow{\scriptstyle f} & & \downarrow{\scriptstyle f''} & & \\ 0 & \longrightarrow & N' & \longrightarrow & N & \longrightarrow & N'' & \longrightarrow & 0 \end{array}$$

then we have a map $\delta : Ker(f'') \longrightarrow Coker(f')$ s.t.

$$0 \longrightarrow Ker(f') \longrightarrow Ker(f) \to Ker(f'') \xrightarrow{\delta} Coker(f') \longrightarrow Coker(f) \longrightarrow Coker(f'') \longrightarrow 0$$

is exact.

Proof. Consider the kernels and cokernels with the induced map between them. For notion consideration, we write $Ker(f')$ as K' and $Coker(f')$ as C' and so on. We have the extended commutative diagram:

$$\begin{array}{ccccccccc}
0 & \longrightarrow & K' & \xrightarrow{\hat{u}} & K & \xrightarrow{\hat{v}} & K'' & & \\
 & & \downarrow{\scriptstyle k'} & & \downarrow{\scriptstyle k} & & \downarrow{\scriptstyle k''} & & \\
0 & \longrightarrow & M' & \xrightarrow{u} & M & \xrightarrow{v} & M'' & \longrightarrow & 0 \\
 & & \downarrow{\scriptstyle f'} & & \downarrow{\scriptstyle f} & & \downarrow{\scriptstyle f''} & & \\
0 & \longrightarrow & N' & \xrightarrow{u'} & N & \xrightarrow{v'} & N'' & \longrightarrow & 0 \\
 & & \downarrow{\scriptstyle q'} & & \downarrow{\scriptstyle q} & & \downarrow{\scriptstyle q''} & & \\
 & & C' & \xrightarrow{\bar{u}} & C & \xrightarrow{\bar{v}} & C'' & \longrightarrow & 0,
\end{array}$$

where the maps k', k, k'' are inclusion of the kernels as submodules and q', q, q'' are canonical projections, hence each column become exact now. $\bar{u}, \bar{v}$ are the morphism induced on quotient modules while $\hat{u}, \hat{v}$ are restrictions of u, v on submodules. One can check the induced maps on Cokernels are well defined, for example, for $\bar{v}$ to be well defined, because $q'' \circ v' \circ f = q'' \circ f'' \circ v = 0$, thus $Im(f) \subset Ker(q'' \circ v')$. One can also check that the above diagram is commutative. For example $x \in K'$, we have $f(\hat{u}(x)) = f(u(x)) = u'(f'(x)) = 0 \Longrightarrow \hat{u}(x) \in K$, then we have $u \circ k' = k \circ \hat{u}$.

1. Exactness at K'
   We already know $\hat{u} = u|_{Ker(f')}$, u injective implies that $\hat{u}$ is injective.

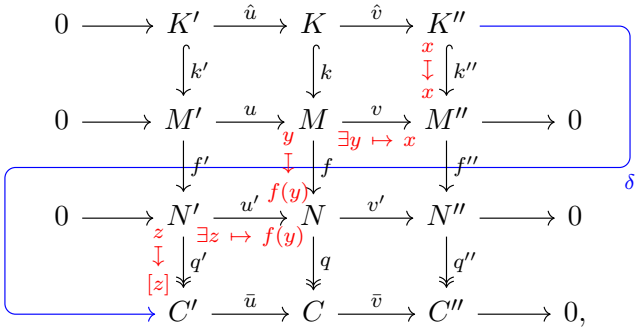
2. Exactness at K
   We easily check that $Im(\hat{u}) \subset Ker(\hat{v})$, because $k'' \circ \hat{v} \circ \hat{u} = v \circ u \circ k' = 0$, by the fact k'' is injective, we know $\hat{v} \circ \hat{u} = 0$. For the converse inclusion, if $x \in Ker(\hat{v}) = Ker(v) \cap Ker(f)$, then $x \in Im(u) \cap Ker(f)$. $\exists y \in M'$ s.t. $u(y) = x \Longrightarrow f(u(y)) = 0 \Longrightarrow u'(f'(y)) = 0$. Then because u' is injective, $f'(y) = 0 \Longrightarrow y \in K' \Longrightarrow x = \hat{u}(y)$. Then we conclude $Ker(\hat{v}) \subset Im(\hat{u})$, thus $Ker(\hat{v}) = Im(\hat{u})$.

3. Exactness at C''
   $q'' \circ v' = \bar{v} \circ q$, q'', v', q are all surjective, then we conclude that $\bar{v}$ has to be surjective.

4. Exactness at C
   We easily verify that $\bar{v} \circ \bar{u} = 0$, i.e. $\bar{v} \circ \bar{u} \circ q' = q'' \circ v' \circ u' = 0$ and

q' is surjective $\implies \bar{v} \circ \bar{u} = 0$. For the converse inclusion, we choose $x + Im(f) \in Ker(\bar{v})$, where $x \in N$. $\bar{v}(x + Im(f)) = 0 = q'' \circ v'(x)$. $v'(x) \in Ker(q'') = Im(f'')$. $\exists y \in M''$ s.t. $f''(y) = v'(x)$, On the other hand, v is surjective ,$\implies \exists z \in M$ s.t. $v(z) = y$. Then, we have $f''(v(z)) = v'(x) = v'(f(z))$. Then we choose $\tilde{x} = x - f(z)$, $\implies x + Im(f) = \tilde{x} + Im(f)$ & $v'(\tilde{x}) = 0$. Then there exists $w \in N'$ s.t. $u'(w) = \tilde{x}$. Then, we check that $q \circ u'(w) = q(\tilde{x}) = \tilde{x} + Im(f)$, thus $\bar{u}(q(w)) = \tilde{x} + Im(f) \implies \bar{u}(w + Im(f')) = x + Im(f)$. Then we conclude $Ker(\bar{v}) \subset Im(\bar{u})$.

5. Construct δ



For an element $x \in K''$, $k''(x) = x \in M''$ and $f''(x) = 0$. $\because v$ is surjective, $\therefore \exists y \in M$ s.t. $v(y) = x$. Then $f''(x) = f''(v(y)) = v'(f(y)) = 0 \implies f(y) \in Ker(v') = Im(u')$. Therefore, there exists $z \in N'$ s.t. $u'(z) = f(y)$. The choice of z is unique once we fix y, because u' is injective. **We define** $\delta : K'' \longrightarrow C', x \mapsto [z] = z + Im(f')$. For δ to be well defined, it can not depend on the choice of y and z. Choose another $\tilde{y} \in M$ and corresponding $\tilde{z} \in N'$ s.t. $v(\tilde{y}) = x$ and $u'(\tilde{z}) = f(\tilde{y})$. We have $v(\tilde{y} - y) = 0$, $\exists w \in M'$ s.t. $u(w) = \tilde{y} - y$. Then $f(u(w)) = u'(f'(w)) = f(\tilde{y} - y) = f(\tilde{y}) - f(y)$. Then we have $u'(\tilde{z}) - u'(z) = u'(f'(w))$. Since u' is injective, we have $\tilde{z} = z + f'(w)$, thus $\tilde{z} + Im(f') = z + Im(f')$. Then we conclude that δ is well defined.

6. Exactness at K''

For $x \in K$, we formally write

$$\begin{aligned}\delta(\hat{v}(x)) &= u'^{-1}(f(v^{-1}(k''(\hat{v}(x))))) + Im(f') \\ &= u'^{-1}(f(v^{-1}(v(k(x))))) + Im(f') \\ &= u'^{-1}(f(k(x))) + Im(f') \\ &= 0 \text{ because } f \circ k = 0. \\ &\Longrightarrow Im(\hat{v}) \subset Ker(\delta)\end{aligned}$$

For the converse inclusion. $\forall x \in Ker(\delta)$, we trace back to the construction of δ, and select the corresponding $y \in M,\ z \in N'$, where $v(y) = x$ and $u'(z) = f(y)$. $\because x \in Ker(\delta), \therefore z \in Im(f')$. $\Longrightarrow \exists w \in M'$ s.t. $f'(w) = z$. Then we choose another $\tilde{y} = y - u(w)$, one verifies that $v(\tilde{y}) = v(y) - v(u(w)) = v(y) = x$. (this is legal, because we know δ does not depend on the choice of y) Also, we know $f(\tilde{y}) = f(y) - f(u(w)) = f(y) - u'(f'(w)) = f(y) - u'(z) = 0$. Then we know $\tilde{y} \in Ker(f) = K$, we conclude that $\hat{v}(\tilde{y}) = x$, thus $Ker(\delta) \subset Im(\hat{v})$.

7. Exactness at C'
   For $x \in K''$, we formally write

$$\begin{aligned}\bar{u}(\delta(x)) &= \bar{u}\left(u'^{-1}(f(v^{-1}(k''(x)))) + Im(f')\right) \\ &= (q \circ u')\left(u'^{-1}(f(v^{-1}(k''(x))))\right) \\ &= q(0 + f(v^{-1}(k''(x)))) \\ &= 0 \\ &\Longrightarrow Im(\delta) \subset Ker(\bar{u})\end{aligned}$$

   For the converse inclusion, we choose an element $z + Im(f') \in Ker(\bar{u})$. Then $\bar{u}(z + Im(f')) = q \circ u'(z) = 0$, then we have $\exists y \in M$ s.t. $u'(z) = f(y)$. Also we have $v'(u'(z)) = v'(f(y)) = 0, \Longrightarrow f''(v(y)) = 0$. $v(y) \in Ker(f'') = K''$. We can check that $\delta(v(y)) = z + Im(f')$. Hence, we conclude that $Ker(\bar{u}) \subset Im(\delta)$.

□

Example 2.22. *(Application of snake lemma) We have such a commutative diagram, each row is exact. Suppose the middle map is isomorphism.*

$$\begin{array}{ccccccccc} 0 & \longrightarrow & M' & \longrightarrow & M & \longrightarrow & M'' & \longrightarrow & 0 \\ & & \downarrow{\scriptstyle f'} & & \downarrow{\scriptstyle f} & & \downarrow{\scriptstyle f''} & & \\ 0 & \longrightarrow & N' & \longrightarrow & N & \longrightarrow & N'' & \longrightarrow & 0 \end{array}$$

then we have a map $\delta : Ker(f'') \longrightarrow Coker(f')$ *s.t.*

$$0 \longrightarrow Ker(f') \longrightarrow \{0\} \to Ker(f'') \xrightarrow{\delta} Coker(f') \longrightarrow \{0\} \longrightarrow Coker(f'') \longrightarrow 0$$

is exact. Thus we get $\delta : Ker(f'') \longrightarrow Coker(f')$ *is an isomorphism.*

Proposition 2.23.

If $0 \longrightarrow M' \xrightarrow{u} M \xrightarrow{v} M'' \longrightarrow 0$ is exact, then for any $\mathcal{A}$-module N,

$$\begin{array}{ccccccc} 0 & \longrightarrow & Hom_{\mathcal{A}}(M'', N) & \xrightarrow{v^*} & Hom_{\mathcal{A}}(M, N) & \xrightarrow{u^*} & Hom_{\mathcal{A}}(M', N) \\ & & f & \longmapsto & f \circ v & & \\ & & & & g & \longmapsto & g \circ u \end{array} \tag{*}$$

is exact, in general u^* is not surjective. Also,

$$\begin{array}{ccccccc} Hom_{\mathcal{A}}(N, M'') & \xrightarrow{u_*} & Hom_{\mathcal{A}}(N, M) & \xrightarrow{v_*} & Hom_{\mathcal{A}}(N, M') & \longrightarrow & 0 \\ f & \longmapsto & u \circ f & & & & \\ & & g & \longmapsto & v \circ g & & \end{array} \tag{**}$$

is exact but u_* is in general not always injective.
More precisely, we have **right exactness of functor** $Hom(\_, N)$:

$$M' \xrightarrow{u} M \xrightarrow{v} M'' \longrightarrow 0 \text{ is exact} \iff (*) \text{ is exact for all } N$$

and **Left exactness of functor** $Hom(N, \_)$:

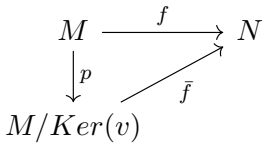
$$0 \longrightarrow M' \xrightarrow{u} M \xrightarrow{v} M'' \text{ is exact } \iff (**) \text{ is exact for all } N.$$

Proof. For "$\Longrightarrow$" part of the first statement, we assume $M' \xrightarrow{u} M \xrightarrow{v} M'' \longrightarrow 0$ is exact. Let N be $\mathcal{A}$-module, then we check that:

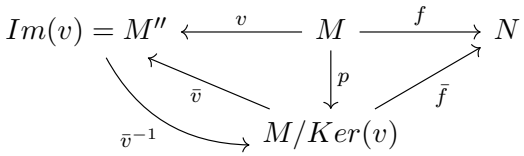
1. $u^* \circ v^* = 0$
   Let $f : M'' \longrightarrow N$, $(u^* \circ v^*)(f) = f \circ v \circ u = f \circ (v \circ u) = 0$

2. v^* is injective
   Let $f : M'' \longrightarrow N$ be such that $v^*(f) = f \circ v = 0 \Longrightarrow f(Im(v)) = 0$
   $\Longrightarrow f = 0$ because v is surjective.

3. $Ker(u^*) \subset Im(v^*)$
   Let $f : M \longrightarrow N$ be such that $u^*(f) = f \circ u = 0$. Then $f(Im(u)) = 0$ so $f(Ker(v)) = 0$, so there is $\bar{f} : M/Ker(v) \longrightarrow N$ s.t. $\bar{f} \circ p = f$.



   We know that v induces an isomorphism

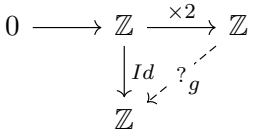


   Let $f' = \bar{f} \circ \bar{v}^{-1} \in Hom(M'', N)$, we compute $v^*(f') = f' \circ v = \bar{f} \circ \bar{v}^{-1} \circ v = \bar{f} \circ p = f$ thus $f \in Im(v^*)$

We then give an example where the surjectivity of u^* fails
Consider $\mathcal{A} = \mathbb{Z}$, $0 \longrightarrow \mathbb{Z} \xrightarrow{\times 2} \mathbb{Z} \longrightarrow \mathbb{Z}/2\mathbb{Z} \longrightarrow 0$ is exact.

$$\begin{aligned} v^* : &Hom(\mathbb{Z}, N) \to Hom(\mathbb{Z}, N) \\ &f \longmapsto f \circ (\times 2) \end{aligned}$$

is not surjective if $N = \mathbb{Z}$, because $f = Id_{\mathbb{Z}}$, we want to find a map g such that the following diagram commutes,



but there is no g such that $g \circ (\times 2) = Id_{\mathbb{Z}}$ because every morphism in $Hom_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z})$ is of the form $\times q$, where $q \in \mathbb{Z}$.

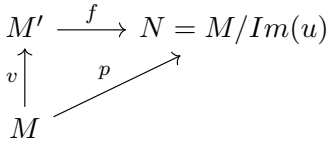
Conversely, for the "$\Longleftarrow$" part of the first statement, assume (*) is always exact. We want to show that $M' \xrightarrow{u} M \xrightarrow{v} M'' \longrightarrow 0$ is exact,

$$\begin{array}{ccccccc} 0 & \longrightarrow & Hom_{\mathcal{A}}(M'', N) & \xrightarrow{v^*} & Hom_{\mathcal{A}}(M, N) & \xrightarrow{u^*} & Hom_{\mathcal{A}}(M', N) \\ & & f & \longmapsto & f \circ v & & \\ & & & & g & \longmapsto & g \circ u \end{array}$$

1. Let $N = Coker(v)$ and $[p : M'' \longrightarrow Coker(v)] \in Hom(M'', N)$, then $v^*(p = p \circ v = 0)$. Since v^* is injective, we have $p = 0$, in other words $M'' = Ker(p) = Im(v)$ so v is surjective.

2. Take $N = M''$ and $f = Id_{M''}$, $(u^* \circ v^*)(f) = 0$ means $Id_{M''} \circ v \circ u = 0$ $\implies v \circ u = 0$, hence $Im(u) \subset Ker(v)$.

3. Take $N = M/Im(u)$, and $p : M \longrightarrow N$ projection, we have $u^*(p) = p \circ u = 0$. So $p \in Ker(u^*)$, so there exists $f \in Hom(M'', N)$ s.t. $v^*(f) = f \circ v = p$.



   Hence $Ker(v) \subset Ker(p)$ and $Ker(v) \subset Im(u)$, then we can conclude that $Ker(v) = Im(u)$.

The above steps proves the first statement and proof of the second statement is similar. □

Tensor Product

Definition 2.24. *M, N, P are $\mathcal{A}$-modules, A map $f : M \times N \longrightarrow P$ is called $\mathcal{A}$-**bilinear** if*

$$f(ax + by, z) = af(x, z) + bf(y, z)$$

$$f(x, ay + bz) = af(x, y) + bf(x, z)$$

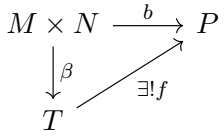
$$Bil_{\mathcal{A}}(M, N, P) = \{ \text{ all } \mathcal{A}\text{-bilinear maps form } M \times N \text{ to } P\}.$$

$Bil_{\mathcal{A}}(M, N, P)$ is an $\mathcal{A}$-module.

Definition 2.25. *M, N are $\mathcal{A}$-modules and the **tensor product** gives an $\mathcal{A}$-module $M \otimes_{\mathcal{A}} N$ such that $Bil_{\mathcal{A}}(M, N; P) = Hom_{\mathcal{A}}(M \otimes_{\mathcal{A}} N, P)$. $Bil_{\mathcal{A}}(M, N; P)$ is obviously an $\mathcal{A}$-module, with sum and scalar multiplication performed valuewise.*

Theorem 2.26. *M, N are $\mathcal{A}$-modules. There exists a pair (T, β) where T is an $\mathcal{A}$-module and $\beta : M \times N \longrightarrow T$ s.t. any $\mathcal{A}$-bilinear map $b : M \times N \longrightarrow P$*

factors through (T, β), i.e. there exists a unique $f : T \longrightarrow P$ s.t. the following diagram commutes.

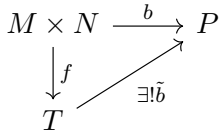


*This is what we call **universal property**. One can check that if it exists, it is unique.*

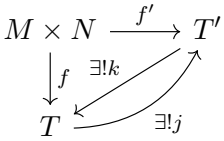
2.3 Lecture 5. Properties of Tensor Product

The motivation of tensor product is to "classify" bilinear/multilinear maps between modules over some ring $\mathcal{A}$.

Definition/Theorem 2.27. *M and N are $\mathcal{A}$-modules, **there exists a best possible bilinear map** $M \times N \to M \otimes N$. That is to say : there exists a module T (denoted $M \otimes N$ or $M \otimes_{\mathcal{A}} N$) and a bilinear map $f : M \times N \longrightarrow T$. By "best possible", we mean: For all module P and all bilinear map $b : M \times N \to P$, here exists a unique $\tilde{b} : T \longrightarrow P$ s.t. the following diagram commutes.*

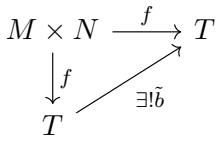


*What's more (T, f) is **strongly unique** which means **it is unique up to unique isomorphism***



Proof. **Uniqueness**
The uniqueness is just the direct result of universal property. By definition, f is bilinear. Apply the universal property with $P = T'$, $b = f'$, then we know $j := \tilde{b} : T \to T'$. Similarly, we can construct k by swapping T, T'.

Consider $k \circ j : T \to T$, apply the universal property with $P := T$, $b := f$



We know $\exists! \tilde{b}$ s.t. the diagram commutes. Then we have $\tilde{b} \circ f = f$, but another obvious map having this property is just id_T. Then, we get to the conclusion $k \circ j = id_T$ by the uniqueness of $\tilde{b}$. Similarly, we get $j \circ k = id_{T'}$. Altogether, we conclude that (T, f) is unique up to unique isomorphism.

Existence

Form the free module $C := \mathcal{A}^{M \times N}$, where

$$\mathcal{A}^{(M \times N)} = \left\{ \sum_{(x,y) \in M \times N} a_{(x,y)}(x, y) \middle| a_{(x,y)} \in \mathcal{A}, \text{almost all } a_{(x,y)} = 0 \right\}.$$

We'd better mention the universal property of the free module $\mathcal{A}^{(M \times N)}$, every map $q : M \times N \longrightarrow P$ can be extended to $\tilde{q} : \mathcal{A}^{(M \times N)} \longrightarrow P$

Let submodule $D \subseteq C$, then there is an induced map $\bar{g} : M \times N \longrightarrow C/D$ for defining map $g : M \times N \longrightarrow C$ of the free module. Then we consider a certain submodule D with the following two equivalent definitions

- D is the smallest submodule for which all the induced map $\bar{g} : M \times N \longrightarrow C/D$ is bilinear.
- D it the submodule generated by the following elements

$$\left\{ \begin{array}{l} (x + x', y) - (x, y) - (x', y) \\ (x, y + y') - (x, y) - (x, y') \\ a(x, y) - (ax, y) \\ a(x, y) - (x, ay) \end{array} \middle| \forall a \in \mathcal{A}, \forall x, x' \in M, \forall y, y' \in N \right\}$$

The equivalence of two definition can be explained by the definition of "bilinear maps".

We want to show that C/D is what we are looking for. First, we claim, for all bilinear mop $b : M \times N \to P$, $Ker(\tilde{b}) \supseteq D$.

The proof is to just check it by hand, e.g.

$$\begin{aligned}
&\tilde{b}((x+x',y)-(x,y)-(x',y)) \\
&=\tilde{b}((x+x',y))-\tilde{b}((x,y))-\tilde{b}((x',y)) \\
&=b(x+x',y)-b(x,y)-b(x',y) \\
&=0(\text{by } b \text{ is bilinear})
\end{aligned}$$

The characterization of $\tilde{b}$ determines its restriction of $g(M\times N)\subseteq T$. Clear by construction that $g(M\times N)$ generates T. We get the conclusion that $\bar{g}: M\times N\to C/D=T$. □

Also note that, in general

$$S:=\{m\otimes n|(m,n)\in M\times N\}\neq M\otimes N$$

, e.g. $\mathbb{Z}^n\otimes\mathbb{Z}/2\mathbb{Z}\cong\mathbb{Z}/2\mathbb{Z}$ but S generates $M\otimes N$ as we saw in the proof.

Example 2.28. *Natural isomorphisms, ∃! isomorphisms*

1. $M\otimes N\cong N\otimes M$
2. $(M\otimes N)\otimes P\cong M\otimes(N\otimes P)$
3. $M\otimes(N_1\oplus N_2)\cong(M\otimes N_1)\oplus(M\otimes N_2)$
4. $\mathcal{A}\otimes M\cong M$

Proof. we prove part 3. Consider a map:

$$\begin{aligned}
b: M\times(N_1\oplus N_2)&\to M\otimes N_1\oplus M\otimes N_2 \\
(m,(n_1,n_2))&\mapsto(m\otimes n_1, m\otimes n_2).
\end{aligned}$$

We can check that b is bilinear, for example

$$\begin{aligned}
&b(m+m',(n_1,n_2)) \\
&=((m+m')\otimes n_1,(m+m')\otimes n_2) \\
&=(m\otimes n_1+m'\otimes n_1, m\otimes n_2+m'\otimes n_2) \\
&=(m\otimes n_1,m\otimes n_2)+(m'\otimes n_1,m'\otimes n_2) \\
&=b(m,(n_1,n_2))+b(m',(n_1,n_2)).
\end{aligned}$$

As a result the bilinear map b must factor through $M\otimes(N_1\oplus N_2)$, and we denote the corresponding map $f: M\otimes(N_1\oplus N_2)\to M\otimes N_1\oplus M\otimes N_2$.

$$f(m\otimes(n_1,n_2))=(m\otimes n_1,m\otimes n_2).$$

We use the terminology **pure tensor** to name the tensors like $x \otimes y \in M \otimes N$, obviously, $M \otimes N$ is linearly generated by pure tensors. We want to show that f is an isomorphism. Need to find the inverse map g of f.

define

$$\begin{aligned} g_1 : M \otimes N_1 &\longrightarrow M \otimes (N_1 \oplus N_2) \\ (m \otimes n_1) &\longmapsto m \otimes (n_1, 0) \end{aligned}$$

similarly, we can construct

$$\begin{aligned} g_2 : M \otimes N_2 &\longrightarrow M \otimes (N_1 \oplus N_2) \\ (m \otimes n_2) &\longmapsto m \otimes (0, n_2) \end{aligned}$$

Then, we define $g = g_1 \oplus g_2$. We want to show $f \circ g = id, g \circ f = id$.

$$\begin{aligned} & f \circ g(m \otimes n, m' \otimes n_2) \\ &= f(m \otimes (n_1, 0) + m' \otimes (0, n_2)) \\ &= (m \otimes n_1, 0) + (0, m' \otimes n_2) \\ &= (m \otimes n_1, m' \otimes n_2) \end{aligned}$$

Then $f \circ g = id$ on pure tensors, hence it is identity on all tensors, because $f \circ g$ is linear, and pure tensor generates the whole tensor product module. $\square$

Consider $\mathcal{A}^m = \mathcal{A} \oplus \mathcal{A} \oplus ... \oplus \mathcal{A}$ (finite free module), by the isomorphism 4 in the above example

$$\begin{aligned} \mathcal{A} \otimes \mathcal{A} &\cong \mathcal{A} \\ x \otimes y &\mapsto xy \end{aligned}$$

also by iterating (3) and (4), we get

$$\mathcal{A}^m \otimes \mathcal{A}^n \cong \mathcal{A}^{mn},$$

compared to the known result

$$\mathcal{A}^m \oplus \mathcal{A}^n \cong \mathcal{A}^{m+n}.$$

More directly, if $e_1^{(1)}, ..., e_m^{(1)}$ standard basis for $\mathcal{A}^m$, $e_1^{(2)}, ..., e_n^{(2)}$ standard basis for $\mathcal{A}^n$, then

$$\left\{ e_i^{(1)} \otimes e_j^{(2)} \middle| , m \geq i \geq 1, n \geq j \geq 1 \right\}$$

form a basis of $\mathcal{A}^m \otimes \mathcal{A}^n$ and induces $\cong \mathcal{A}^{mn}$

To see this directly, consider a bilinear map $f : \mathcal{A}^m \times \mathcal{A}^n \longrightarrow P$, where P is some module.

$$\mathcal{A}^m \ni x = x_1 e_1^{(1)} + ... + x_m e_m^{(1)},\ x_i \in \mathcal{A}$$

$$\mathcal{A}^n \ni y = y_1 e_1^{(1)} + ... + y_m e_m^{(1)},\ y_i \in \mathcal{A}$$

Then

$$f(x,y) = \sum_{\substack{i=1...m \\ j=1...n}} x_i y_j f(e_i^{(1)} \otimes e_j^{(2)}),$$

where we can define $f(e_i^{(1)} \otimes e_j^{(2)}) =: a_{ij} \in P$ Generally, given an mn-tuple (a_{ij}) in P we may define a bilinear $f : \mathcal{A}^m \times \mathcal{A}^n \longrightarrow P$ by the above formula.

$$\begin{array}{ccc} & \overset{(e_i^{(1)}, e_j^{(2)}) \longmapsto e_i^{(1)} \otimes e_j^{(2)}}{} & \\ \mathcal{A}^m \times \mathcal{A}^n & \longrightarrow & \mathcal{A}^{\oplus\{e_i^{(1)} \otimes e_j^{(2)}\}} \\ \downarrow f & \swarrow_{\exists! \tilde{f}\ s.t.\ \tilde{f}(e_{ij}) = a_{ij}} & \\ P & & \end{array}$$

Remark 2.29. *More generally, we may define the n-fold tensor products* $M_1 \otimes ... \otimes M_n$.

$\{$*multilinear maps* $: M_1 \times ... \times M_n \longrightarrow P\} \leftrightarrow \{$*linear maps* $: M_1 \otimes ... \otimes M_n \longrightarrow P\}$

Let $V = \mathbb{R}^n$, *then*

$$\{\textit{inner products on } V\} \leftrightarrow \{\textit{linear functions on } V \otimes V\}$$

Remark 2.30. ***Extension of scalars*** *Consider a ring morphism* $f : \mathcal{A} \to \mathcal{B}$ *and an* $\mathcal{A}$*-module* M, *we can construct a* $\mathcal{B}$*-module*

$$M_{\mathcal{B}} := M \otimes_{\mathcal{A}} \mathcal{B},$$

where $\mathcal{B}$ *is regarded as an* $\mathcal{A}$*-module via* f, *i.e.* $a \cdot b = f(a)b$. *And the* $\mathcal{B}$ *action on* $M_{\mathcal{B}}$ *is like* $b \cdot (m \otimes z) := m \otimes bz$

Example 2.31.

- $M = \mathcal{A}^m \Longrightarrow M_{\mathcal{B}} = \mathcal{B}^m$
- $\mathcal{A} = \mathbb{R}, \mathcal{B} = \mathbb{C} \Longrightarrow (\mathbb{R}^n)_{\mathbb{C}} := (\mathbb{R}^n) \otimes_{\mathbb{R}} \mathbb{C} \cong \mathbb{C}^n$

2.4 Lecture 6. Flatness

The meaning of $x \otimes y$ depends on the modules to which we regard x and y are belonging. In fact, one can have $x \in M' \subseteq M$ and $y \in N' \subset N$ but

$$M' \otimes N' \ni x \otimes y \neq x \otimes y \in M \otimes N$$

Example 2.32. *$\mathcal{A} = \mathbb{Z}$, $M' = 2\mathbb{Z} \subseteq M = \mathbb{Z}$, $N' = \mathbb{Z}/2 = N$, then $2\mathbb{Z} \otimes \mathbb{Z}/2\mathbb{Z} \ni 2 \otimes 1 \neq 0$, but $\mathbb{Z} \otimes \mathbb{Z}/w\mathbb{Z} \ni 2 \otimes 1 = 0$*

In summary, we no $M' \subset M, N' \subset N$ does not indicate that $M' \otimes N' \subset M \otimes N$, which means the simple inclusion is not an injective morphism.

But $\otimes$ is indeed a **bifunctor**. Given module morphisms

$$\begin{aligned} f &: M' \longrightarrow M \\ g &: N' \longrightarrow N \\ \exists! f \otimes g &: M' \otimes N' :\longrightarrow M \otimes N \\ x \otimes y &\longmapsto f(x) \otimes g(y) \end{aligned}$$

and

$$(f \circ f') \otimes (g \circ g') = (f \otimes g) \circ (f' \otimes g')$$

For example, we alway consider the case $g = 1_N$ with N $\mathcal{A}$-module, then each morphism $f : M' \longrightarrow M$ is mapped to $f \otimes 1_N : M' \otimes N \longrightarrow M \otimes N$.

Definition 2.33. *N is **flat** if $\forall f : M' \longrightarrow M$ s.t.*

$$f : \textit{injective} \implies f \otimes 1_N \textit{ is injective}$$

In other words,

$$M' \subset M \implies \text{“}M' \otimes N \subset M \otimes N\text{”}$$

Example 2.34.

- *$\{0\}$ is a flat $\mathcal{A}$-module*
- *$\mathcal{A}$ is a flat $\mathcal{A}$-module, because $M \otimes_{\mathcal{A}} \mathcal{A} = M$ and $f = f \otimes 1_{\mathcal{A}}$*

Lemma 2.35. *Let $(N_i)_{i \in I}$ be a family of modules over $\mathcal{A}$, then $\oplus_{i \in I} N_i$ is flat iff each N_i is flat.*

Proof. Suppose each N_i is flat. Let $M' \xrightarrow{f} M$ be injective. Suppose,

$$M' \otimes (\oplus_i N_i) \xrightarrow{f\otimes 1} M \otimes (\oplus_i N_i)$$

is not injective, i.e. $z \in Ker(f \otimes 1_N) \neq 0$. Let N denote $\oplus_i N_i$ and the i-th projection $\pi_i : N \longrightarrow N_i$.

$$\begin{array}{ccccc} 0 \neq z & \in & \oplus_i(M' \otimes N_i) & \xrightarrow{\rho_i'} & M' \otimes N_i \\ & & \| & & \| \\ & & M' \otimes (\oplus_i N_i) & \xrightarrow{1_{M'}\otimes\pi_i} & M' \otimes N_i \\ & & \downarrow{\scriptstyle f\otimes 1_N} & & \downarrow{\scriptstyle f\otimes 1_{N_i}} \\ & & M \otimes (\oplus_i N_i) & \xrightarrow{1_M\otimes\pi_i} & M \otimes N_i \\ & & \| & & \| \\ & & \oplus_i(M \otimes N_i) & \xrightarrow{\rho_i} & M \otimes N_i \end{array}$$

$z \neq 0 \Longrightarrow \exists i \in I$ s.t. $\rho_i'(z) \neq 0 \Longrightarrow (f \otimes 1_{N_i})(\rho_i'(z)) \neq 0 \in M \otimes N_i$. But $(f \otimes 1_{N_i})(\rho_i'(z)) = \rho_i(f \otimes 1_N(z))$ is the i-th component of $(f \otimes 1_N)(z) = 0$ by assumption, which gives the contradiction. The converse is simpler. □

Corollary 2.36. *If M is a free $\mathcal{A}$-module, then it is a flat module.*

Proof. We already know $\mathcal{A}$ is flat, then by the previous lemma, we know $\oplus_{i\in I}\mathcal{A}$ is flat. □

Example 2.37. *Consider a system of linear equations*

$$S : f_1(x_1, ..., x_n) = ... = f_m(x_1, ..., x_n) = 0,$$

where these f_i's has coefficients in $\mathbb{R}$. Then S has solution over $\mathbb{R}$ iff S has solution over $\mathbb{C}$ (This claim works for any field extension L/K instead of $\mathbb{C}/\mathbb{R}$) A simple proof goes like: "$\Longrightarrow$" is trivial, for the converse, we take the real or the imaginary part of a complex solution.

For a second proof:

$$M' = \mathbb{R}^n \xrightarrow{f} M = \mathbb{R}^m,$$

where $f = (f_1, ..., f_m)$. $\mathcal{A} = \mathbb{R}$, $N = \mathbb{C} \cong \mathbb{R} \oplus \mathbb{R}i$ is free, then by the above corollary, we know N is flat. Then S has a solution over $\mathbb{R}$ iff $Ker(f) \neq 0$,

and S has a solution over $\mathbb{C}$ iff $Ker(f \otimes 1_{\mathbb{C}}) \neq 0$. If $f \otimes 1$ is not injective, by the definition of flat module, we know f is not injective, which conclude the proof. This second proof works for arbitrary field extension, because the field extensions are always free modules over the initial field.

Proposition 2.38. *(Right exactness of $\otimes N$)*
Consider an exact sequence of $\mathcal{A}$-modules

$$M' \xrightarrow{f} M \xrightarrow{g} M'' \longrightarrow 0$$

Then we have

$$M' \otimes N \xrightarrow{f\otimes 1} M \otimes N \xrightarrow{g\otimes 1} M'' \otimes N \longrightarrow 0$$

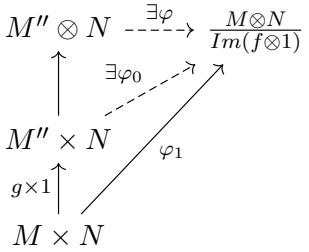
is exact for arbitrary $\mathcal{A}$-module N.

Proof. Obviously $g \otimes 1$ is surjective. We only need to prove the exactness at $M \otimes N$. As for the easier inclusion, $Im(f \otimes 1) \subseteq Ker(g \otimes 1)$ because $(g \otimes 1) \circ (f \otimes 1) = (g \circ f) \otimes 1 = 0$. Then it remains to show

$$\frac{M \otimes N}{Im(f \otimes 1)} \xrightarrow{\psi} M'' \otimes N$$

is an isomorphism. ψ is induced by $g \otimes 1$, well defined because $Im(f \otimes 1) \subseteq Ker(g \otimes 1)$.

Now, we construct a two-sided inverse φ of ψ.



Consider the map φ_1, it is the composition of the canonical projection and the defining map of tensor product. $\varphi_1(x, y) \mapsto x \otimes y + Im(f \otimes 1)$. Consider $(x'', y) \in M'' \times N$, which is the image of (x, y) under $g \times 1$. Then we can define $\varphi_0(x'', y) := \varphi_1(x, y)$. It is well-defined, because if there is another (x_1, y) also map to (x'', y), the difference

$$x - x_1 \in Ker(g) = Im(f),$$

hence $\exists z \in M'\ x - x_1 = f(z). \implies (x - x_1) \otimes y = (f \otimes 1)(z \otimes y)$ Then

$$\varphi_1(x, y) - \varphi(x_1, y) = (x - x_1) \otimes y + Im(f \otimes 1) = 0.$$

Then it remains to check φ_0 is bilinear so that φ_0 lifts to a φ on $M'' \otimes N$. Also we need to check the φ is indeed the two-sided inverse of ψ.

Consider $\varphi_0(x'', ay + bv)$ and $\varphi_0(ax'' + bw'', y)$. Chose x and w in the preimages $g^{-1}(x'')$ and $g^{-1}(w'')$. By the linearity of g, we can safely choose $ax + bw$ in the pre-image of $ax'' + bw''$ Knowing that φ_1 is bilinear (because the defining map of tensor product is bilinear and canonical projection is linear), we have

$$\begin{aligned}&\varphi_0(x'', ay + bv) = \varphi_1(x, ay + bv)\\ &= a\varphi_1(x, y) + b\varphi_1(x, v) = a\varphi_0(x'', y) + b\varphi_0(x'', v)\end{aligned}$$

and

$$\begin{aligned}&\varphi_0(ax'' + bw'', y) = \varphi_1(ax + bw, y)\\ &= a\varphi_1(x, y) + b\varphi(w, y) = a\varphi_0(x'', y) + b\varphi_0(w'', y).\end{aligned}$$

Explicitly, with $x \in g^{-1}(x'')$,

$$\varphi(x'' \otimes y) = x \otimes y + Im(f \otimes 1)$$

and

$$\psi(x \otimes y + Im(f \otimes 1)) = g(x) \otimes y$$

$\implies$

$\psi \circ \varphi(x'' \otimes y) = g(x) \otimes y = x'' \otimes y$

$\varphi \circ \psi(x \otimes y + Im(f \otimes 1)) = x_1 \otimes y + Im(f \otimes 1) = x \otimes y + Im(f \otimes 1),$

where in the last line x_1 is another representative in $g^{-1}(x'')$. □

Corollary 2.39. *N is flat iff $\otimes N$ preserves the exactness of any sequence of modules*

Proof. Any exact sequence can be split up into short exact sequence, and the flatness does indicate it preserve the exactness of short exact sequence. □

Example 2.40. *An ideal $\mathfrak{a} \subset \mathcal{A}$, and M is an $\mathcal{A}$-module,*

$$M \otimes_{\mathcal{A}} \mathcal{A}/\mathfrak{a} \cong M/\mathfrak{a}M,$$

where $\mathfrak{a}M := \{\sum x_i m_i | x_i \in \mathfrak{a}, m_i \in M\}$. $\mathfrak{a}M$ is a submodule of M.

Proof.

$$0 \longrightarrow \mathfrak{a} \longrightarrow \mathcal{A} \longrightarrow \mathcal{A}/\mathfrak{a} \longrightarrow 0$$

is an exact sequence (of A-modules). Tensorring it with M, we have

$$\mathfrak{a} \otimes M \xrightarrow{\psi} M \longrightarrow M \otimes \mathcal{A}/\mathfrak{a} \longrightarrow 0$$

is exact, where ψ is induced by the inclusion $\mathfrak{a} \hookrightarrow \mathcal{A}$, $\psi : x \otimes m \mapsto xm$. $Im(\psi) = \mathfrak{a}M$ Then by the exactness, we have

$$M \otimes \mathcal{A}/\mathfrak{a} \cong M/Im(\psi) = M/\mathfrak{a}M.$$

□

Example 2.41.

$$\mathbb{Z}/m\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}/gcd(m,n)\mathbb{Z}.$$

Pf. Take $M = \mathbb{Z}/m\mathbb{Z}$, $\mathcal{A} = \mathbb{Z}$, $\mathfrak{a} = n\mathbb{Z}$. *Then* $\mathfrak{a}M = (n\mathbb{Z} + m\mathbb{Z})/m\mathbb{Z} = gcd(m,n)\mathbb{Z}/m\mathbb{Z}$. $\mathcal{A}/\mathfrak{a} = \mathbb{Z}/n\mathbb{Z}$

Then by the result of Example 2.40, we have

$$M \otimes \mathcal{A}/\mathfrak{a} = \frac{\mathbb{Z}}{m\mathbb{Z}} \otimes_{\mathbb{Z}} \frac{\mathbb{Z}}{n\mathbb{Z}} \cong \frac{\mathbb{Z}/m\mathbb{Z}}{gcd(m,n)\mathbb{Z})/m\mathbb{Z}} = \frac{\mathbb{Z}}{gcd(m,n)\mathbb{Z}} = M/\mathfrak{a}M.$$

Let $n \in \mathbb{Z}$. Then $\mathbb{Z}/n\mathbb{Z}$ is flat iff $n = \pm 1, 0$, i.e. $\mathbb{Z}/n\mathbb{Z} = \{0\}$ or $\mathbb{Z}$. This is easy to prove, consider the following short exact sequence for $|n| \geq 2$,

$$0 \longrightarrow n\mathbb{Z} \longrightarrow \mathbb{Z} \longrightarrow \mathbb{Z}/n\mathbb{Z} \longrightarrow 0,$$

Suppose $\mathbb{Z}/n\mathbb{Z}$ is flat. Tensorring it with the above exact sequence, we get

$$0 \longrightarrow 0 \longrightarrow \mathbb{Z} \otimes \mathbb{Z}/n\mathbb{Z} \longrightarrow \mathbb{Z}/n\mathbb{Z} \longrightarrow 0,$$

which gives the contradiction.

Fact
Any finitely generated $\mathbb{Z}$-module is of the form

$$M = \mathbb{Z}^r \, (\oplus_{i \in I}(\mathbb{Z}/n_i\mathbb{Z}))$$

, the second part of M is denoted M_{tors}, then we get the corollary that a finitely generated $\mathbb{Z}$-module is flat iff M_{tors} vanishes.

Definition 2.42. *$\mathcal{A}$ a ring, M an $\mathcal{A}$-module, we call M* ***torsion free*** *if $\forall a \in \mathcal{A}$ non-zerodivisor. $m \in M$ $am = 0 \Longrightarrow m = 0$*

Theorem 2.43.

1. *M if flat $\Longrightarrow$ M is torsion free*
2. *If $\mathcal{A}$ is PID, M is torsion free $\Longrightarrow$ M is flat.*

Proof. Bosch section 4.2 □

Some other facts about tensor product

Example 2.44. *For $\mathcal{A} = \mathbb{F}$ being a field, V, W finite dimensional vector space over $\mathbb{F}$*

$$V^* \otimes W \cong Hom_{\mathbb{F}}(V, W)$$
$$l \otimes w \mapsto [v \mapsto l(v)w]$$

3 Localization

3.1 Lecture 7 : Localization of rings

Motivation For $\mathcal{A}$ an integral domain, we defined the quotients field $Frac(\mathcal{A})$. In general, one may want to invert part of $\mathcal{A}$. For example, we may consider $\mathbb{Z}[1/2] = \{a/(2^n) | a \in \mathbb{Z}, n \in \mathcal{N}\}$. Each $2^n \in \mathbb{Z}[1/2]$ is invertible. For a subset $0 \notin S \subseteq \mathcal{A}$, we can define $\mathcal{A}[1/S]$ to be the subring of $Frac(\mathcal{A})$ generated by $\mathcal{A}$ and $\{1/s | s \in S\}$.

Definition 3.1. *A set of $\mathcal{A}$, S is **multiplicatively closed** if*

- $1 \in S$
- $s, t \in S \Longrightarrow st \in S$

*For a set $S \subset \mathcal{A}$, we can define its **multiplicative closure***

$$\overline{S} := \left\{ s_I = \prod_{i_n} s_{i_n} \middle| I = (i_1, ..., i_n), \forall n, s_{i_n} \in S \right\}$$

A set S is multiplicatively closed iff $S = \overline{S}$. And we see that $\mathcal{A}[1/S] = \mathcal{A}[1/\overline{S}]$.

Definition 3.2. *Let $\mathcal{A}$ be a ring $S \subseteq \mathcal{A}$ a multiplicatively closed set, define a relation $\sim$ on $\mathcal{A} \times S$:*

$$(a, s) \sim (a', s') \Longleftrightarrow \exists t \in S \text{ s.t. } as't = a'st$$

Lemma 3.3. *"$\sim$" is indeed a equivalence relation.*

Proof. reflectivity and symmetricity are trivial, for the transtivity

$$(a,s) \sim (a',s') \sim (a'',s'')$$

$$\begin{aligned}
&\Longrightarrow \\
&\exists t \in S : as't = a'st \\
&\exists t' \in S : a's''t' = a''s't' \\
&as''(tt's') = as'ts''t' = a's''t'st = a''s(tt's') \\
&\Longrightarrow (a,s) \sim (a'',s'')
\end{aligned}$$

□

Definition 3.4. *We define $S^{-1}\mathcal{A} : (\mathcal{A} \times S/\sim)$. And we denote the equivalence class of (a,s) by a/s.*

Proposition 3.5. *There are well defined maps:*

$$+ : S^{-1}\mathcal{A} \times S^{-1}\mathcal{A} \longrightarrow S^{-1}\mathcal{A},\ (a/s, a'/s') \mapsto \frac{as' + a's}{ss'}$$

$$\cdot : S^{-1}\mathcal{A} \times S^{-1}\mathcal{A} \longrightarrow S^{-1}\mathcal{A},\ (\frac{a}{s}, \frac{a'}{s'}) \mapsto \frac{aa'}{ss'}$$

$$0_{S^{-1}\mathcal{A}} = \frac{0}{1} \text{ and } 1_{S^{-1}\mathcal{A}} = \frac{1}{1}$$

Then $(S^{-1}\mathcal{A}, 0_{S^{-1}\mathcal{A}}, 1_{S^{-1}\mathcal{A}}, +, \cdot)$ is a ring.

One can check that the above ring operation and $0, 1$ are well-defined. e.g.

$$\begin{aligned}
&\frac{a}{b} \cdot \frac{0}{1} \stackrel{?}{=} \frac{0}{1} \\
\Longleftrightarrow &\frac{a \cdot 0}{b \cdot 1} \stackrel{?}{=} \frac{0}{1} \\
\Longleftrightarrow &\frac{0}{b} \stackrel{?}{=} \frac{0}{1} \\
\Longleftrightarrow &\exists t \in S : 0 \cdot 1 \cdot t = 0 \cdot b \cdot t
\end{aligned}$$

We say $S^{-1}\mathcal{A}$ is **localization of $\mathcal{A}$ with respect to** S. When $\mathcal{A}$ is an integral domain, $S = \mathcal{A} - \{0\}$ is multiplicative closed, the $S^{-1}\mathcal{A} = Frac(\mathcal{A})$.

Lemma 3.6. *There exists a ring morphism ι from $\mathcal{A}$ to $S^{-1}\mathcal{A}$ s.t each $a \in \mathcal{A}$ maps to $a/1 \in S^{-1}\mathcal{A}$. It has to following property*

(a) $\iota(S) \subset (S^{-1}\mathcal{A})^{\times}$

(b) $Ker(\iota) = \{a \in \mathcal{A} | sa = 0 \text{ for some } s \in S\}$

(c) Suppose $\mathcal{A} \neq \{0\}$. Then ι is injective $\iff$ S contains no zero divisors.

(d) $S^{-1}\mathcal{A} = \{0\} \iff S \ni 0$

(e) ι is isomorphism $\iff S \subseteq \mathcal{A}^{\times}$

Proof. We can easily check that ι thus defined is indeed a ring morphism.

(a) $s \in S$. $\iota(s) = s/1$ and $s/1 \cdot 1/s = 1$, then s is a unit.

(b) $a \in Ker(\iota) = \{b \in \mathcal{A} | \frac{b}{1} = \frac{0}{1}\} \iff \exists t \in S : t(a1 - 01) = ta = 0 \iff a \in \{\text{Zero divisors in } \mathcal{A}\}$.

(c) derived from (a) and (b).

(d) $S^{-1}\mathcal{A} = \{0\} \iff \frac{0}{1} = \frac{1}{1} \iff$ there exists an element $t \in S$ s.t. $t \cdot 1 = 0$, $\iff S \ni 0$.

(e) "$\Longrightarrow$" Suppose $\mathcal{A} \neq \{0\}$, then ι is isomorphism $\iff \iota$ is surjective and injective $\iff \forall \frac{a}{s} \in S^{-1}\mathcal{A} : \exists c \in \mathcal{A}$ *s.t.* $\frac{a}{s} = \frac{c}{1}$ and S is has no zero divisors. Then we know, $\frac{1}{s} = \frac{c}{1} \Longrightarrow \exists t(s \cdot c - 1) = 0$, and by the fact S has no zero divisors $s \cdot c = 1$, which means $S \subseteq \mathcal{A}^{\times}$.
"$\Longleftarrow$" Assume $\mathcal{A} \neq \{0\}$. $S \subseteq \mathcal{A}^{\times}$, then S does not contain any zero divisors. $\forall \frac{a}{s} \in S^{-1}\mathcal{A}, \exists v \in S$ s.t. $sv = 1$. Then $\frac{a}{s} = \frac{av}{1} \in Im(\iota)$, because $asv = a$.

□

Example 3.7. *X any set $U \subseteq X$ any subset. $\mathcal{A} := \{$functions $f : X \longrightarrow \mathbb{R}\}$ is a ring of the the multiplication is defined value-wisely, $S := \{f \in \mathcal{A} | f(x) \neq 0, \forall x \in U\}$ is multiplicatively closed. Question, what is the localization $S^{-1}\mathcal{A}$?*

Lemma 3.8. *Let $B := \{$functions $U \longrightarrow \mathbb{R}\}$. Then the natural map $j : S^{-1}\mathcal{A} \longrightarrow B$ is an isomorphism $\frac{a}{s} \mapsto [U \ni x \mapsto \frac{a(x)}{s(x)} \in \mathbb{R}]$*

Proof. j is well-defined: Say $\frac{a}{s} = \frac{a'}{s'}$. Thus $\exists t \in S, as't = a'st/$. Then $(a(x)s(x) - a'(x)s'(x))t(x) = 0$, where $t(x) \neq 0 \forall x \in U$. Then by the properties of real numbers $\frac{a(x)}{s(x)} = \frac{a'(z)}{s'(x)}$.

Try defining $k : B \longrightarrow S^{-1}\mathcal{A},\ b \longmapsto \tilde{b}/1$, where

$$\tilde{b} : X \longrightarrow \mathbb{R}$$

$$\tilde{b} = \begin{cases} b(x),\ x \in U \\ 0,\ x \notin U \end{cases}$$

$j \circ k = 1$, $b \in B\ \frac{\tilde{b}(x)}{1(x)} = b(x) \forall x \in U$

$k \circ j = 1$ Say $b = j(\frac{a}{s})$, what we want is $\tilde{b}/1 = a/s$, i.e. $\exists t \in S : (a \cdot 1 - \tilde{b} \cdot s)t = 0$.

Take $t : 1_U = [x \mapsto 1$ for $1 \in U$ and 0 for $x \notin U]$ □

Universal property of localization

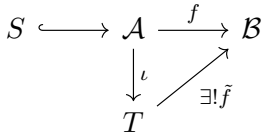
Recall $Hom(M \otimes N, P) \cong \{bilinear M \times N \longrightarrow P\}$ and $Hom(\oplus_i M_i, N) \cong \prod_i Hom(M_i, N)$.

Lemma 3.9. *$Hom(S^{-1}\mathcal{A}, \mathcal{B}) \cong \{f : \mathcal{A} \longrightarrow \mathcal{B}$ s.t. $f(S) \subseteq \mathcal{B}^\times\}$ where an element $\tilde{f} \in Hom(S^{-1}\mathcal{A}, \mathcal{B})$*

$$\tilde{f}\left(\frac{a}{s}\right) := f(a)f(s)^{-1}$$

$$f(a) := \tilde{f}\left(\frac{a}{1}\right).$$

i.e. every morphism $f : \mathcal{A} \longrightarrow \mathcal{B}$ s.t. $f(S) \subseteq \mathcal{B}^\times$, there exists a unique morphism $\tilde{f} : S^{-1}\mathcal{A} \longrightarrow \mathcal{B}$ s.t. $f = \tilde{f} \circ \iota$, where ι is the canonical morphism $\iota : \mathcal{A} \longrightarrow S^{-1}\mathcal{A} : a \mapsto \frac{a}{1}$.



This universal property of localization can serve as an alternative definition of localization. $S^{-1}\mathcal{A}$ is defined to be a pair (T, ι)

Proof. Want: $\forall f$ as above $\exists! \tilde{f}$ s.t. $\tilde{f} \circ \iota = f$

Uniqueness:

$\tilde{f}(a/s) = \tilde{f}(a/1)\tilde{f}(s/1)^{-1} = f(a)f(s)^{-1}$

Existence :

Take $\tilde{f}(a/s) := f(a)f(s)^{-1}$, check that it is well defined:

$$\frac{a}{s} = \frac{a'}{s'} \overset{?}{\Longrightarrow} f(a)f(s)^{-1} = f(a')f(s')^{-1}$$

This is guaranteed, $\exists t \in S : as't = a'st \Longrightarrow (f(a)f(s') - f(a')f(s))f(t) = 0$ and $f(t) \in \mathcal{B}^\times \Longrightarrow f(a)f(s') - f(a')f(s) = 0$ □

Example 3.10. *(Most Important Examples)*

- $\mathcal{A} \ni f$, $S_f := \{f^n | n \geq 0\}$ *is multiplicatively closed.* $\mathcal{A}_f := S_f^{-1}\mathcal{A}$
- $\mathfrak{p} \subset \mathcal{A}$ *is a prime ideal, then* $\mathcal{A} - \mathfrak{p}$ *is multiplicatively closed (By the definition of prime ideals). We can define (In fact then* $\mathcal{A} - \mathfrak{p}$ *is multiplicatively closed is equivalent to* $\mathfrak{p}$ *is prime)* $\mathcal{A}_\mathfrak{p} := S_\mathfrak{p}^{-1}\mathcal{A}$

Caution that if $\mathfrak{p} = (f)$*, usually* $\mathcal{A}_{(f)} \neq \mathcal{A}_f$

Consider $\varphi : \mathcal{A} \longrightarrow \mathcal{B}$ and $\mathfrak{a} \subseteq \mathcal{A}, \mathfrak{b} \subseteq \mathcal{B}$. We have defined the extension and contraction of ideals as $\mathfrak{b}^c = \varphi^*(\mathfrak{a}) := \varphi^{-1}(\mathfrak{b})$ and $\mathfrak{a}^c = \varphi_*(\mathfrak{a}) := \mathcal{B}\varphi(\mathfrak{a})$ **Notice that** $\mathfrak{q} \subseteq \mathcal{B}$ **prime** $\Longrightarrow \varphi^*(\mathfrak{q})$ **prime, thus** $\varphi^* : \mathbf{Spec}(\mathcal{B}) \longrightarrow \mathbf{Spec}(\mathcal{A})$.

Back to the special case $\iota : \mathcal{A} \longrightarrow S^{-1}\mathcal{A}$.

Proposition 3.11. *S is a multiplicative set in a ring $\mathcal{A}$, then for the canonical morphism $\iota : \mathcal{A} \longrightarrow S^{-1}\mathcal{A}$:*

(a) $\iota^* : Spec(S^{-1}\mathcal{A})) \longrightarrow \{\mathfrak{p} \in Spec(\mathcal{A}) | \mathfrak{p} \cap S = \emptyset\}$ *is a bijection.*

(b) For any ideal $\mathfrak{a} \subseteq \mathcal{A}$, $\iota_*(\mathfrak{a}) = \{a/s | a \in \mathfrak{a}, s \in S\}$

(c) $\iota_*(\mathfrak{a}) = S^{-1}\mathcal{A} \Longleftrightarrow \mathfrak{a} \cap S \neq \emptyset$

(d) For any ideal $\mathfrak{b} \subseteq S^{-1}\mathcal{A}$, $\varphi_*(\varphi^*(\mathfrak{b})) = \mathfrak{b}$

Proof.

(a) $\mathfrak{q} \subseteq S^{-1}\mathcal{A}$, $\iota^*(\mathfrak{q}) = \iota^{-1}(\mathfrak{q})$, $\iota(S) \subseteq (S^{-1}\mathcal{A})^\times, \Longrightarrow \iota(S) \cap \mathfrak{q} = \emptyset$ otherwise $1 \in \mathfrak{q}$ (In fact this part of proof also works for other ideals.)

(b) Just check that $S^{-1}\mathcal{A} \cdot V \subset V$

(c) $\iota_*(\mathfrak{a}) = S^{-1}\mathcal{A} \iff \exists a \in \mathfrak{a}, s \in S$ s.t. $a/s = 1/1 \iff \exists t \in S$ s.t. $\mathfrak{a} \ni ta = ts \in S$, then $\mathfrak{a} \cap S \neq \emptyset$. Conversely, $\mathfrak{a} \cap S \neq \emptyset$, any $a \in \mathfrak{a}, a = s \in S$, then $a/s = 1/1$.

(d) $\varphi_*(\varphi^*(\mathfrak{b})) \subset \mathfrak{b}$ in general. For the converse inclusion, if $a/s \in \mathfrak{b}$, then $a/s \cdot s/1 = a/1 \in \mathfrak{b}$, which means $a \in \varphi^*(\mathfrak{b}) \Longrightarrow a/s \in \varphi_*(\varphi^*(\mathfrak{b}))$.

□

3.2 Lecture 8: Properties of localization of rings and localization of module

Recall $\iota : \mathcal{A} \longrightarrow S^{-1}\mathcal{A}$

- $\iota_*(\mathfrak{a}) = \{\frac{a}{s} | a \in \mathfrak{a}, s \in S\}$
- $\iota_*\iota^*(\mathfrak{b}) = \mathfrak{b}, \forall \mathfrak{b} \subseteq S^{-1}\mathcal{A}$
- $\iota_*\mathfrak{a} = (1) \iff \mathfrak{a} \cap S \neq \emptyset$

Proposition 3.12.

$$\{\mathfrak{p} \in Spec(\mathcal{A}) | \mathfrak{p} \cap S = \emptyset \ (S \subseteq \mathcal{A} - \mathfrak{p})\} \longleftrightarrow \left\{Spec(S^{-1}\mathcal{A})\right\}$$

is bijection.

- $\mathfrak{q}_1 \subseteq \mathfrak{q}_2 \iff \iota^*\mathfrak{q}_1 \subseteq \iota^*\mathfrak{q}_2$
- $k(\mathfrak{p}) := Frac(\mathcal{A}/\mathfrak{p})$ is called the residue field of the prime ideal $\mathfrak{p}$.

Then the above bijection induces isomorphism $k(\iota^*\mathfrak{q}) \cong k(\mathfrak{q})$

Example 3.13. *$\mathcal{A} = \mathbb{Z}$, and $\mathfrak{p} = (p)$ where p is a prime number. $k(\mathfrak{p}) = Frac(\mathbb{Z}/p) = \mathbb{Z}/p$.*
If $\mathfrak{p} = (0)$, $k(\mathfrak{p}) = Frac(\mathbb{Z}) = \mathbb{Q}$.
If $\mathfrak{p} = \mathfrak{m}$ a maximal ideal.$\iff \mathcal{A}/\mathfrak{p}$ is a field and $k(\mathfrak{p}) = \mathcal{A}/\mathfrak{p}$

Example 3.14. $\mathfrak{p} = (y) \subseteq \mathcal{A} = \mathbb{C}[x, y]$, $\mathcal{A}/\mathfrak{p} \cong \mathbb{C}[x], k(\mathfrak{p}) \cong \mathbb{C}(x)$

Proof. (Proof of the proposition) the proof contains the following points

- $\mathfrak{p}$ prime $\iff \iota^*\mathfrak{p}$ prime

- $\iota^*\iota_*\mathfrak{p} = \mathfrak{p}$
- $\iota_*\iota^*\mathfrak{q} = \mathfrak{q}$ (true for any $\mathfrak{q}$, not necessarily prime)

$\iota^*\iota_*\mathfrak{p} \supseteq \mathfrak{p}$ is a general fact. For the converse inclusion, $\iota^*\iota_*\mathfrak{p} = \iota^{-1}(\iota_*\mathfrak{p}) \overset{?}{\subseteq} \mathfrak{p}$. For an $a \in \iota^{-1}(\iota_*\mathfrak{p})$, $\iota(a) = \frac{a}{1} \in \iota_*\mathfrak{p} \implies \exists b \in \mathfrak{p}, s \in S$ s.t. $\frac{a}{1} = \frac{b}{s} \implies ast = bt \in \mathfrak{p}$ and $s, t \in S \subseteq \mathcal{A} - \mathfrak{p} \implies a \in \mathfrak{p}$ because $\mathfrak{p}$ is a prime ideal.

$\mathfrak{p}$ prime $\overset{?}{\implies} \iota_*\mathfrak{p}$ prime. Consider $\frac{a}{s} \cdot \frac{b}{t} \in \iota_*\mathfrak{p}$, then $\frac{ab}{st} = \frac{c}{u}, c \in \mathfrak{p}, u \in S$, then $\exists v \in S : abuv = cstv$, where $uv \in S$ $cstv \in \mathfrak{p}$, $uv \notin \mathfrak{p} \implies ab \in \mathfrak{p} \implies$ at least one of $a, b \in \mathfrak{p} \implies$ at least one of $\frac{a}{s}, \frac{b}{t} \in \iota_*\mathfrak{p}$. □

Example 3.15. *$S = S_f = \{f^n : n \geq 0\} \implies S^{-1}\mathcal{A} = \mathcal{A}_f = \mathcal{A}[1/f]$. Let $\mathfrak{p} \cap S \neq \emptyset \iff$ some $f^n \in \mathfrak{p} \longleftrightarrow f \in \mathfrak{p}$. Then Spec$(\mathcal{A}_f) \cong \{\mathfrak{p} \in$ Spec$(\mathcal{A}) | f \in \mathfrak{p}\}$*

Example 3.16. *$\mathcal{A} = \mathbb{Z}, f = 2$, $\mathcal{A}_f = \mathbb{Z}[1/2]$*
$\{$primes in $\mathbb{Z}[1/2]\} \cong \{(0), (3), (5)....\} \subseteq$ Spec$(\mathbb{Z})$

Example 3.17. *$\mathcal{A} = \mathbb{C}[x, y]$, there is a bijection between $\{$maximal ideals in $\mathcal{A}\}$ and $\mathbb{C}^2$. The maximal ideal $\{f \in \mathbb{C}[x, y] | f(X_0, Y_0) = 0\} = (x - X_0, y - Y_0)$ corresponds to the point $(X_0, Y_0) \in \mathbb{C}^2$*
Fix $f \in \mathbb{C}[x, y], f \neq 0, e.g. f = u - x^2$ Then

$$\begin{aligned}
&\{\text{maximal ideals in } \mathcal{A}_f = \mathbb{C}[x, f, 1/f]\} \\
&\overset{bij}{\longleftrightarrow} \{\text{maximal ideal } \mathfrak{m} \in \mathbb{C}[x, y] s.t. f \notin \mathfrak{m}\} \\
&\overset{bij}{\longleftrightarrow} \{(X, Y) \in \mathbb{C}^2 | f(X, Y) \neq 0\}
\end{aligned}$$

Then we know that the Spm$(\mathcal{A}) \cong \mathbb{C}^2$ while Spm$(\mathcal{A}_f)$ is bijective to the complement of zero loci of f.

The localization at an element has the functorial property, for $f, g \in \mathcal{A}$

$$\mathcal{A} \longrightarrow \mathcal{A}_f \longrightarrow \mathcal{A}_{fg}$$

Example 3.18. *$\mathcal{A}$ an integral domain, $\mathcal{A}_f \subseteq \mathcal{A}_{fg}$ ($\frac{a}{(f)^n} = \frac{ag^n}{(fg)^n}$), $Frac(\mathcal{A}) = \cup_f \mathcal{A}_f$. For any $\mathfrak{p} \in$ Spec$(\mathcal{A}_f) \subseteq A$, $\mathcal{A}_f \implies k(\mathfrak{p})$*
$\{f \in \mathcal{A} : f \notin \mathfrak{p}\} = f \in \mathcal{A} : f(\mathfrak{p}) \neq 0\}$, where $f(\mathfrak{p}) \in k(\mathfrak{p})$ is the image of f.

Aside: $\mathcal{A}$ is a local ring $\iff \exists! \mathfrak{m} \in \mathrm{Spec}(\mathcal{A}) \iff \exists$ideal $\mathfrak{m}$ with $1+\mathfrak{m} \subseteq \mathcal{A}^\times, \mathfrak{m}$ maximal, $\iff \mathcal{A} - \mathfrak{m} \subseteq \mathcal{A}^\times$

$\mathfrak{p} \subseteq \mathcal{A}$ prime $\implies \mathcal{A}_\mathfrak{p} := S_\mathfrak{p}^{-1}\mathcal{A}$

Proposition 3.19.

(a) *Spec*$(\mathcal{A}_\mathfrak{p}) \cong \{\mathfrak{q} \in$ *Spec*$(\mathcal{A}) | \mathfrak{q} \subseteq \mathfrak{p}\}$

(b) *For* $\iota : \mathcal{A} \longrightarrow S_\mathfrak{p}^{-1}\mathcal{A}$, $\mathcal{A}_\mathfrak{p}$ *is a local ring with maximal ideal* $\mathfrak{p}_\mathfrak{p} := \iota_*(\mathfrak{p})$,

$\mathcal{A}_\mathfrak{p}$ *is called the* ***localization of*** $\mathcal{A}$ ***at*** $\mathfrak{p}$. ι_* *is inclusion preserving.*

Proof. By Proposition 3.12,

$$\mathrm{Spec}\left(S_\mathfrak{p}^{-1}\mathcal{A}\right) \overset{\iota_*}{\cong} \{\mathfrak{q} \in \mathrm{Spec}(\mathcal{A}) | \mathfrak{q} \cap S_\mathfrak{p} = \emptyset \ (\mathfrak{q} \subseteq \mathfrak{p})\},$$

which finishes the proof of part (a). On the other hand, ι_* is inclusion preserving, $\implies$ every prime ideal in $\mathcal{A}_\mathfrak{p}$ is contained in $\mathfrak{p}_\mathfrak{p}$. using this and the fact that any ideal is contained in some maximal ideal, we see that $\mathfrak{p}_\mathfrak{p} \subseteq \mathcal{A}_\mathfrak{p}$ is the maximal ideal. □

Example 3.20. $\mathfrak{p} = (p) \subseteq \mathbb{Z} = \mathcal{A}$, *then* $\mathcal{A}_\mathfrak{p} = \mathbb{Z}_{(\mathfrak{p})}$ *is local ring with maximal ideal* $\mathfrak{p}_\mathfrak{p}$ *generated by image of* $\mathfrak{p}$. *Spec*$(\mathbb{Z}_{(\mathfrak{p})}) \cong \{\mathfrak{q} \in$ *Spec*$)(\mathbb{Z}) | \mathfrak{q} \subseteq \mathfrak{p}\} = \{(0), (p)\}$)

For residue field $\mathbb{Z}_{(p)}/\mathfrak{p}_\mathfrak{p} \cong \mathbb{Z}/(p)$, *this isomorphism is by the first part of the first prop of today's lecture. And in general*

$$\mathcal{A}_\mathfrak{p}/\mathfrak{p}_\mathfrak{p} = k(\mathfrak{p})$$

Definition 3.21. *A* ***germ at*** p *is an equivalence class* $[(U, f)]$ *of pairs* (U, f), *where* $p \in U \subseteq \Omega$ *and* $f : U \longrightarrow \mathbb{C}$ *holomorphic. And* $(U_1, f_1) \sim (U_2, f_2)$ *iff* $f_1 = f_2$ *on some open neighborhood of* p *inside* $U_1 \cap U_2$

Lemma 3.22. $\Omega \subseteq \mathbb{C}$ *open* $\mathcal{A}$ *is the set of holomorphic germs* $f : \Omega \longrightarrow \mathbb{C}$. *Fix* $p \in \Omega$. *and set* $\mathfrak{p} = \{f \in \mathcal{A} | f(p) = 0\}$. *Then* $\mathcal{A}$ *is a local ring with maximal ideal* $\mathfrak{p}$

Proof. Want $\mathcal{A} - \mathfrak{p} \subseteq \mathcal{A}^\times$

This is just a way of saying : if $f(p) \neq 0$, then there is an open neighborhood of p on which $1/f$ is defined and holomorphic. □

Example 3.23. $\mathcal{A} = \mathbb{C}[x, y], \mathfrak{p} = (y)$

$$Spec(\mathcal{A}_\mathfrak{p}) \cong \{\mathfrak{q} \in Spec(\mathcal{A}) | \mathfrak{q} \subseteq (y)\}$$

Then, the only choice of $\mathfrak{q}$ *is just* $(y), (0)$. $\mathcal{A}_\mathfrak{p}$ *is a local ring with two primes, and residue field* $\mathbb{C}(x)$.

$\mathcal{A} = \mathbb{C}[x, y], \mathfrak{p} = (x, y)$

$$Spec(\mathcal{A}_\mathfrak{p}) \cong \{\mathfrak{q} \in Spec(\mathcal{A}) | \mathfrak{q} \subseteq (x, y)\}$$

Then

$$Spec(\mathcal{A}_\mathfrak{p}) \cong \{(x, y)\} \cup \{(f) : 0 \neq f \in \mathbb{C}[x, y] \text{ irreducible }, f(0, 0) = 0\} \cap \{(0)\}.$$

The second set is just the set of plane curves passing through 0

localization of module

Definition 3.24. $S \subseteq \mathcal{A}$ *and* M *is an* $\mathcal{A}$*-module. Then we define the* ***localization of module***

$$(m, s) \in M \times S, (m, s) \sim (m', s') \iff \exists t \in S : tsm' = ts'm$$

and we denote the equivalence class of (m, s) *by* $\frac{m}{s}$*, and we see that* $S^{-1}M$ *is in fact an* $S^{-1}\mathcal{A}$*-module:*

$$\frac{a}{s} \cdot \frac{m}{t} = \frac{am}{st}$$

Lemma 3.25. $S^{-1}\mathcal{A} \otimes_\mathcal{A} M \cong S^{-1}M$*, where the map is* $\frac{a}{s} \otimes m \mapsto \frac{am}{s}$

Proof. We can define the inverse

$$\frac{1}{s} \otimes m \longleftarrow \frac{m}{s}$$

and then check it is well-defined. □

Moreover, we can also define the localization of morphisms,

Definition 3.26. *Given* $f : M \longrightarrow N$ *a morphism of* $\mathcal{A}$*-module.* S^{-1}*. We define*

$$\begin{aligned} S^{-1}f : & S^{-1}M \longrightarrow S^{-1}N \\ & \frac{m}{s} \longmapsto \frac{f(m)}{s}. \end{aligned}$$

It is a well-defined morphism of $S^{-1}\mathcal{A}$-modules and it has the functorial property

$$S^{-1}(f \circ g) = S^{-1}f \circ S^{-1}g$$

e.g. $\mathfrak{p} \in Spec(\mathcal{A})$, then we have the localization $\mathcal{A}_\mathfrak{p}$ and the localization of module : $M_\mathfrak{p} := S_\mathfrak{p}^{-1}M \cong \mathcal{A}_\mathfrak{p} \otimes_\mathcal{A} M$.

Next time: we will focus other local properties i.e.properties of M that depends only on $M_\mathfrak{p}, \forall \mathfrak{p} \in \mathrm{Spec}(\mathcal{A})$

3.3 Lecture 9: Localization of Modules

$S \subseteq \mathcal{A}$ then we can define $S^{-1}\mathcal{A}$. Also we define **localization of modules:** $S^{-1}M \cong S^{-1}\mathcal{A} \otimes_\mathcal{A} M$. The localization of module defines a functor S^{-1}: $f : M \longrightarrow N$, induces a morphism of $S^{-1}\mathcal{A}$-modules $S^{-1}f : S^{-1}M \longrightarrow S^{-1}N$ and $S^{-1}(f \circ g) = S^{-1}f \circ S^{-1}g$. Moreover S^{-1} is an exact functor:

$$M' \xrightarrow{f} M \xrightarrow{g} M''$$

is exact, then so is

$$S^{-1}M \xrightarrow{S^{-1}f} S^{-1}M \xrightarrow{S^{-1}g} S^{-1}M''.$$

Proof. $g \circ f = 0 \Longrightarrow S^{-1}g \circ S^{-1}f = 0$, then we have $Ker(S^{-1}g) \supseteq Im(S^{-1}f)$. For the converse inclusion, consider an element $\frac{x}{s} \in Ker(S^{-1}g) | x \in Ms \in S$, $S^{-1}g(\frac{x}{s}) = \frac{g(x)}{s} = \frac{0}{1}$, $\Longrightarrow \exists t \in S$ *s.t.* $g(tx) = tg(x) = 0$. $Im(f) = Ker(g) \Longrightarrow \exists y : f(y) = tx$. Then we check that $\frac{x}{s} = (S^{-1}f)(\frac{y}{st} = \frac{f(y)}{st} = \frac{tx}{ts} = \frac{x}{s})$, which concludes the proof. □

Corollary 3.27. *$S^{-1}\mathcal{A}$ is flat $\mathcal{A}$-module.*

Proof. Let $0 \longrightarrow M' \longrightarrow M$ be injective(exact). What we want is

$$0 \longrightarrow S^{-1}\mathcal{A} \otimes_\mathcal{A} M' \longrightarrow S^{-1}\mathcal{A} \otimes_\mathcal{A} M$$

is exact because it is just

$$0 \longrightarrow S^{-1}M \longrightarrow S^{-1}M$$

□

Lemma 3.28. *S^{-1} commutes with:*

- *finite sums*
- *finite intersections*
- *Kernel*
- *quotients*

¿¿¿¿¿¿¿¿¿¿1

Local Properties

M is an $\mathcal{A}$-module

Lemma 3.29. ***Being zero is a local property*** *i.e. the followings are equivalent:*

(a) $M = 0$

(b) $M_{\mathfrak{p}} = 0 \forall \mathfrak{p} primes$

(c) $M_{\mathfrak{m}} = 0 \forall \mathfrak{m} maximals$

Claim 1
Let $x \in M$, then $x \neq 0 \iff Ann(x) : \{a \in \mathcal{A} | ax = 0\} \neq (1)$

Proof. $x \neq 0 \iff 1 \cdot x \neq 0 \iff 1 \notin Ann(x) \iff Ann(x) \neq (1)$ □

Calim2:
$\mathfrak{m}$ maximal $x \in M$ Then $x \notin Ker(M \longrightarrow M_{\mathfrak{m}})$ ¿¿¿¿¿¿¿¿¿¿¿???(absent)

Proof. $x \in Ker(M \longrightarrow M_{\mathfrak{m}}) \Longrightarrow \exists s \in \mathcal{A} - \mathfrak{m} : sx = 0$
$Ann(x) \subsetneq \mathfrak{m}$ □

The claim 2 means if $x \notin Ker(M \longrightarrow M_{\mathfrak{m}}) \Longrightarrow M_{\mathfrak{m}} \neq 0$

Proof. (of Lemma 3.29). It suffices to prove that (c)$\Longrightarrow$(a) Let $0 \neq x \in M \Longrightarrow Ann(x) \neq (1) \Longrightarrow \exists maxmal\ ideal\ \mathfrak{m} \supseteq Ann(x)$ It suffices to show that ¿¿¿¿¿¿¿¿ 2 □

Injectivity/Surjectivity are local M is an *cala*-module, then the following are equivalent.

Flatness is local
M is an $\mathcal{A}$-module, then the followings are equivalent.

(a) $\mathcal{A}$-module M is flat

(b) $\mathcal{A}_\mathfrak{p}$-module $M_\mathfrak{p}$ is flat $\forall \mathfrak{p}$ prime

(c) $A_\mathfrak{m}$ module $M_\mathfrak{m}$ is flat $\forall \mathfrak{m}$ maximal ideals.

Proof. (a)$\Longleftrightarrow$(b): Suppose $N \hookrightarrow P$, want $N \otimes M \hookrightarrow P \otimes M \Longleftrightarrow (N \otimes M)_\mathfrak{m} = (N_\mathfrak{m} \otimes_{\mathcal{A}_\mathfrak{m}} M_\mathfrak{m}) \hookrightarrow P_\mathfrak{m} \otimes_{\mathcal{A}_\mathfrak{m}} M_\mathfrak{m} (P \otimes M)_\mathfrak{m} \forall \mathfrak{m}$
$\Longleftarrow N_\mathfrak{m} \hookrightarrow P_\mathfrak{m} \forall \mathfrak{m}$
$\Longleftrightarrow N \hookrightarrow P$ as usual. □

Definition 3.30. *(Lemma)*

(a) $\mathcal{A}$ *satisfies the* ***ascending chain condition on ideals*** *(All the sequence* $\mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq ...$ *stabilizes* $\exists n_0$ *s.t.* $\mathfrak{a}_n = \mathfrak{a}_{n_0} \forall n \geq 0$*)*

(b) Every ideal of $\mathcal{A}$ *is finitely generated.*

(c) $\{$*ideals in* $\mathcal{A}\}$ *satisfies the* ***maximal property****: i.e. Every subset contains a maximal element. That is : For any nonempty collection* S *of ideals in* $\mathcal{A}$*,* $\exists \mathfrak{a} \in S$ *s.t.* $\forall \mathfrak{b} \in S \Longrightarrow \mathfrak{b} \not\supset \mathfrak{a}$

Then, $\mathcal{A}$ *is called* ***Noetherian***

Proof. (a)$\Longrightarrow$(b). Let $\mathfrak{a}$ ideal. we may assume that $\mathcal{A}$ is **NOT** finitely generated. Inductively construct $x_1, x_2, x_3... \in \mathfrak{a}$ such that $(x_1) \neq 0$ and $\mathfrak{a} \supsetneqq (x_1, x_2) \supsetneqq (x_1)$ an also $\mathfrak{a} \supsetneqq (x_1, x_2, x_3) \supsetneqq (x_1, x_2)$, but then this sequence contradict the **ACC**,

(a)$\Longrightarrow$(c)
Let $\emptyset \neq S \subseteq \{$ideals in $\mathcal{A}\}$. If S violates the maximal property, then we can find $\mathfrak{a}_1, \mathfrak{a}_2, ... \in S$ s.t. $\mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq \mathfrak{a}_3 \Longrightarrow$ ACC fails.

(c)$\Longrightarrow$(a), If ACC fails, $\exists \mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq ...$ Take $S := \{\mathfrak{a}_1, \mathfrak{a}_2, \mathfrak{a}_3...\}$. Then S violates Maximal property.

(b)$\Longrightarrow$(a),Let $\mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq ...$ Want to show that $\exists n_0 \mathfrak{a}_n = \mathfrak{a}_{a_0} \forall n \geq n_0$ $\mathfrak{a} := \cup_N \mathfrak{a}_n$. WE know that every ideal of $\mathcal{A}$ is finitely generated. Then $\mathfrak{a}$ is also finitely generated by ¿¿¿¿¿¿¿¿¿3 □

Definition 3.31. *(Lemma)*
M is an $\mathcal{A}$*-module. The followings are equivalent:*

(a) M ACC on submodules

(b) Every submodule of M s finitely generated

(c) M has the property of Maximal on submodules

Then, we call M a Noetherian $\mathcal{A}$-module.

Note that $\mathcal{A}$ Noetherian ring $\iff$ $\mathcal{A}$ is a Noetherian $\mathcal{A}$-module.

Lemma 3.32. *Let $0 \longrightarrow M' \longrightarrow M \longrightarrow M'' \longrightarrow 0$ be a short exact sequence of $\mathcal{A}$-modules. Then M is Noetherian $\iff$ both M', M'' Noetherian*

Proof. $\Longleftarrow$,Use ACC. Let $N_1 \subseteq N_2 \subseteq ...$ be submodules of M. Want to show that $\exists n_0 : (n \geq n_0) N_n = N_{n_0}$. Consider $N_j'' :=$ Image of N_j in M''. $N_1'' \subseteq N_2'' \subseteq ...$ By ACC of M'', $N_{n_0}'' = N_n'' \forall n \geq n_0$. Do the same for $N_j' := M' \cap N_j$ $(M' \hookrightarrow M)$
Need if $N_i \subseteq N_j \subseteq M$ and $N_i'' = N_j'', N_i' = N_j'$, then $N_i = N_j$. □

Theorem 3.33. *(Hilbert basis theorem) $\mathcal{A}$ Noetherian $\Longrightarrow$ $\mathcal{A}[X]$ is Noetherian.*

Corollary 3.34. *$\mathcal{A}$ Noetherian $\Longrightarrow$ $\mathcal{A}[x_1, ..., x-n]$ Noetherian $\mathcal{A}[x_1, ..., x_n]/\mathfrak{a}$ Noetherian $\forall \mathfrak{a} \subseteq \mathcal{A}[x_1, .., x_n]$*

Proof. Let $\mathfrak{a} \subseteq \mathcal{A}[x]$. We want to show $\mathfrak{a}$ finitely generated. Consider the ideal $\mathcal{L} \subseteq \mathcal{A}$ generated by leading coefficients of elements of $\mathfrak{a} : ax^n + ... \in \mathcal{L}$. Then $\mathcal{A}$ Noetherian $\Longrightarrow \mathcal{L} = (t_1, ..., t_r), t_i \in \mathcal{L}\ \exists f_1, ..., f_r \in \mathfrak{a} : f_j = t_j x^{n_j} + ...$ $N := max(n_1, .., n_r)$ and we construct $\mathcal{A}$-module $M : \oplus_{j=0}^{N} \mathcal{A} x^j \subseteq \mathcal{A}[x]$ $M \cap \mathfrak{a}$ is finitely generated. ¿¿¿¿¿¿¿¿¿¿4 □

4 Noetherian Ring and Nullstellensatz

4.1 Lecture 10

Recall:

Theorem 4.1. *$\mathcal{A}$ Noetherian $\Longrightarrow$ $\mathcal{A}[x]$ Noetherian.*

Proof. $\mathfrak{a} \subseteq \mathcal{A}[x]$ want to show that $\mathfrak{a}$ is finitely generated.

$$\begin{aligned} \mathfrak{a}' = &\{\text{Leading coefficients of } \mathfrak{a}\} \\ &\cup_{n \geq 0} \{\mathfrak{a} \in \mathcal{A} : \exists a x^n + ... \in \mathfrak{a}\} \end{aligned}$$

Because $\mathfrak{a}$ is Noetherian, $\mathfrak{a}'$ is finitely generated.

Let $f \in \mathfrak{a}$ with $f = ax^n + ...$, where $n \geq (n_1, ..., n_r)$.

$$\begin{aligned}
&\mathfrak{a}' = (a_1, ..., a_r) \\
&\quad \Longrightarrow a = c_1 a_1 + ... + c_r a_r \text{ with } c_1, ..., c_r \in \mathcal{A} \\
&\quad \Longrightarrow \exists f_1 = a_1 x^{n_1} +, ..., f_r = a_r x^{n_r} \in \mathfrak{a} \\
&\quad \text{know} f - (c_1 x^{n-n_1} f_1 + ... + c_r x^{n-n_r} f_r) = (a - \sum c_j a_j) x^n + ... \\
&\quad = 0 + \text{some terms of degree less than } n-1
\end{aligned}$$

Last time : we constructed $M_n := \oplus_{j=0}^{n} \mathcal{A} x^j \cap \mathfrak{a}$ is finitely generated $\mathcal{A}$-module. M_N is finitely generated. If we iterated it for $n, n-1, ..., N$, $\Longrightarrow \mathfrak{a} \subseteq (f_1, ..., f_r) + M_N \subseteq \mathfrak{a}$, then the equality holds and $\mathfrak{a}$ is finitely generated. □

Applications:

- $\mathcal{A}[x_1, ..., x_r]/\mathfrak{a}$ Noetherian if $\mathcal{A}$ is Noetherian.
- Recall that a variety $V \subseteq \mathbb{C}^d$ is a subset defined by polynomial equations, i.e. $V = V(S)$ for some $S \subseteq \mathbb{C}[x_1, ..., x_d] =: \mathcal{A}$. $V(S) = \{X \in \mathbb{C}^d : f(X) = 0 \forall f \in S\}$. Note $V(S) = V(\langle S \rangle)$, where $\langle S \rangle$ is the ideal generated by S. Hilbert basis theorem $\Longrightarrow \forall$varieties $V \exists$ finite $S \subseteq \mathbb{C}[x_1, ..., x_d]$ such that $V = V(S)$. **Any set of polynomial equations is the same as some finite system.**

  Proof. Given S, we have $\mathfrak{a} = \langle S \rangle$. By HIlbert basis theorem $\Longrightarrow \mathfrak{a}$ finitely generated $\Longleftrightarrow \mathfrak{a} = (f_1, ..., f_r)$ □

Non-Example:
$\mathcal{A} = \mathbb{C}[x_1, x_2, ...]$ is not Noetherian: $\mathfrak{m} := (x_1, x_2, ...)$ is Not finitely generated. If $S \subseteq \mathfrak{m}$ is finite, we may find some x_n not occurring in any element of S: $\Longrightarrow x_n \notin \langle S \rangle, x_n \in \mathfrak{m}$

Lemma 4.2. *$\mathcal{A}$ Noetherian $\Longrightarrow$ any homomorphic image of $\mathcal{A}$ is Noetherian:*

Proof. The image if of the form $\mathcal{A}/\mathfrak{a}$ for some $\mathfrak{a} \subseteq \mathcal{A}$. $0 \longrightarrow \mathfrak{a} \longrightarrow \mathcal{A} \longrightarrow \mathcal{A}/\mathfrak{a} \longrightarrow 0$. Because there is a one to one inclusion preserving correspondence between the {ideals in $\mathcal{A}$} and { ideals in $\mathcal{A}/\mathfrak{a}$}. The maximal condition also holds in $\mathcal{A}\mathfrak{a}$ □

Lemma 4.3. *Localization of Noetherian ring are Noetherian $S \subseteq \mathcal{A}$ is multiplicative set $S^{-1}\mathcal{A}$, e.g. $\mathcal{A}_{\mathfrak{p}}, \mathcal{A}_f$ are Noetherian if $\mathcal{A}$ is Noetherian.*

Proof. There is a one to one inclusion preserving correspondence between {ideals in $\mathcal{A}$} and {ideals in $S^{-1}\mathcal{A}$}. Then the maximal property is also inherited to $S^{-1}\mathcal{A}$ □

Definition 4.4. *An $\mathcal{A}$-**algebra** is a ring $\mathcal{B}$ together with a homomorphism $f : \mathcal{A} \longrightarrow \mathcal{B}$.*

Example 4.5. *$\mathcal{A}[x_1, ..., x_n]$ is an $\mathcal{A}$-algebra, with the obvious choice of f.*

Example 4.6.

Any ring is a $\mathbb{Z}$-algebra:

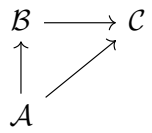
$$\mathbb{Z} \longrightarrow \mathcal{B}$$
$$n \longmapsto n \cdot 1_{\mathcal{B}}$$

Example 4.7. *If $\mathcal{A}$ is a field $\mathbb{F}$, any ring homomorphism between $\mathbb{F}$ and a nonzero ring $\mathcal{B}$ is injective, $\mathbb{F} \hookrightarrow \mathcal{B}$. Thus an $\mathbb{F}$-algebra $\mathcal{B}$ is "the same as" a ring $\mathcal{B}$ that contains $\mathbb{F}$ as a subfield*

Example 4.8. *Let $\mathcal{B}$ be any field of characteristic p, if $p = 0$, then $\mathcal{B}$ is a $\mathbb{Q}$-algebra, if $p > 0$, $\mathcal{B}$ is an $\mathbb{F}_p$-algebra.*

Definition 4.9. *WE say that an $\mathcal{A}$-algebra $\mathcal{B}$ is a finitely generated $\mathcal{A}$-algebra is there exists $x_1, ..., x_n \in \mathcal{B}$ s.t. $\mathcal{B}$ is generated by $f(\mathcal{A}), x_1, .., x_n$. By the Hilbert basis theorem, we know if $\mathcal{A}$ is Noetherian, the finitely generated $\mathcal{A}$-algebra $\mathcal{B}$ is Noetherian.*

Given two $\mathcal{A}$-algebra $\mathcal{A} \xrightarrow{f} \mathcal{B}$ and $\mathcal{A} \xrightarrow{g} \mathcal{C}$. A morphism of $\mathcal{A}$ -algebra is defined to be a ring homomorphism that commutes with f, g



Now we come back to the proof of the statement

Proof. $\mathcal{B}$ is a finitely generated $\mathcal{A}$-algebra

$$\iff \exists n \geq 0 \quad \exists h : \mathcal{A}[x_1, ..., x_n] \longrightarrow \mathcal{B}, h \text{ surjective}$$

then we have the derivation: $\mathcal{A}$ Noetherian $\implies \mathcal{A}[x_1, ..., x_n]$ Noetherian, it surjectively maps to $\mathcal{B}$, $\mathcal{B}$ is a homomorphism image of a Noetherian ring, then we have $\mathcal{B}$ is Noetherian. □

Definition 4.10. *Let $\mathcal{B}$ be an $\mathcal{A}$-algebra. We say that $\mathcal{B}$ is a **finite $\mathcal{A}$-algebra** if it is finitely generated as $\mathcal{A}$-module.*

¿¿¿¿¿¿¿¿¿1

Example 4.11.

$\mathcal{B}$	$finite$	$fintely\ generated$
$\mathbb{Z}$	T	T
$\frac{1}{2}\mathbb{Z}$	T	N/A
$\mathbb{Z}\left[\frac{1}{2}\right]$	F	T
$\mathbb{Q}$	F	F

Theorem 4.12. *Assume $\mathbb{K}$a field $\mathbb{K} \subseteq \mathbb{L}$, where $\mathbb{L}$ is also a field. Assume $\mathbb{L}$ is a finitely generated $\mathbb{K}$-algebra. Then $\mathbb{L}$ is a finite $\mathbb{K}$-algebra $\iff \mathbb{L}/\mathbb{K}$ is a finite field extension.*

Corollary 4.13. *The maximal ideal of $\mathcal{A} = \mathbb{C}[x_1, ..., x_d]$ are all of the form $\mathfrak{m}_X = (x_1 - X_1, ..., x_d - X_d)$ for some $X \in \mathbb{C}^d$.*

Proof. Thm $\implies$ Cor, Let $\mathfrak{m} \subseteq \mathcal{A}$ be any maximal ideal, then $\mathbb{L} = \mathcal{A}/\mathfrak{m}$ is a field.

$$\mathbb{C} \longrightarrow \mathbb{C}[x_1, ..., x_d] = \mathcal{A} \xrightarrow{q} \mathbb{L} = \mathcal{A}/\mathfrak{m}$$
$$\mathbb{C} \xrightarrow{\quad j \quad} \mathbb{L}$$

Note: $\mathbb{L}$ is a finitely generated $\mathbb{C}$-algebra, generated by $q(x_1), ..., q(x_d)$

$$\begin{aligned} Thm &\implies \mathbb{L}/j(\mathbb{C}) \text{ is finite field extension} \\ &\implies \mathbb{L} \cong \mathbb{C}(\mathbb{C} \text{ algebraically closed}) \end{aligned}$$

Set $X := (j^{-1}(q(x_1)), ..., j^{-1}(q(x_d))) \in \mathbb{C}^d$. Check $\mathfrak{m} = \mathfrak{m}_X$ □

Corollary 4.14. *Let $d \geq 1$. Then $\mathbb{C}(x_1, ..., x_d)$ is **NOT** a finitely generated $\mathbb{C}$-algebra.*

Proof. $\mathbb{K} = \mathbb{C}, \mathbb{L} = \mathbb{C}(x_1, .., x_d)$, then $\mathbb{L}/\mathbb{K}$ NOt finite (by thm) $\Longrightarrow$ $\mathbb{L}$ is NOT finitely generated $\mathbb{C}$-algebra.

This proof also works when $\mathbb{C}$ replaced with any field $\mathbb{K}$.

Alternatively, we can also prove this directly, Let $f_1, .., f_n \in \mathbb{K}(x_1, .., x_d)$, each $f_i = \frac{g_i}{h_i} \in \mathbb{C}[x_1, ..., x_d]$. Set $u := 1 + x_1 h_1 \cdot h_n$ ¿¿¿¿¿¿¿.? $\Longrightarrow 1/u \notin \mathbb{K}[f_1, .., f_n]$ because denominator is coprime to the denominators of the f_j. □

Then we come back to the proof of the theorem(about field extensions)

Proof. Any $\mathbb{L}$ generated by $x_1, ..., x_n$. Any $\mathbb{L}/\mathbb{K}$ NOT finite. Then the transcendence degree d is larger than $1 \Longleftrightarrow$ after reordering $x_1, ..., x_n$, $x_1, ..., x_d$ algebraically independent over $\mathbb{K}$ and $x_{d+1}, ..., x_n$ is algebraic over $\mathbb{K}(x_1, ..., x_d)$ ¿¿¿¿¿¿¿¿¿¿2 □

4.2 Lecture 11

Recall, $\mathbb{F}$ a field. V a vector space over $\mathbb{F}$. $S \subseteq \mathbb{F}$ is linear independent. $\forall$ distinct $\{s_1, ..., s_n\} \subseteq S$, $\forall c_1, ..., c_n \in \mathbb{F}$, $c_1 s_1 + ... + c_n s_n = 0 \Longrightarrow c_i = 0$

Theorem 4.15. *$S \subseteq V$, vector space over $\mathbb{F}$.*

*(a) Suppose S is linear independent. Then S is **maximal**$\Longleftrightarrow$ S spans V.*

(b) Suppose $\{v_1, ..., v_n\} \subseteq V$ is maximal linear independent =: "basis", Suppose $\{w_1, ..., w_m\} \subseteq V$ linearly independent. Then $m \leq n$

(c) Any two bases have the same cardinality(= the dimension of V).

(d) Every vector spaces has a basis.

(e) Every linearly independent subset $S \subseteq V$ extends to a basis.

(f) If $S \subseteq V$ spans V, then $\exists$ basis $T \subseteq S$

Then what will happen when we replace "linearly independent " by "algebraic independent"? Now let E/F be a field extension call $S \subseteq E$ **algebraically independent over** F, if $\forall$ distinct $\{s_1, ..., s_n\} \subseteq S$, $\forall p \in F[X_1, ..., X_n]$ $p(s_1, ..., s_n) = 0 \Longrightarrow p = 0$.

Theorem 4.16. *E/F field extension.*

(a) *Suppose $S \subseteq E$ is algebraic independent. Then S is maximal $\iff$ $E/F(S)$ is an algebraic field extension (Union of finite field extension).*

(b) *If $\{v_1, .., v_n\} \subseteq E$(algebraic independent maximal)=:"**transcendence basis**" and $\{w_1, ..., w_m\} \subseteq E$ algebraic independent then $m \leq n$*

(c) *Any two transcendence bases have the same cardinality(Then we can define the transcendence degree of E/F, denote it by $tr.deg(E/F)$)*

(d) *Every E/F has a transcendence basis.*

(e) *Any algebraic independent $S \subseteq E$ extends to a transcendence basis.*

(f) *If $S \subseteq E$ and $E/F(S)$ is algebraic, then exists transcendence basis T of E/F and $T \subseteq S$*

Proof. (a) " $\Longrightarrow$" Assume S maximal algebraic independent. Want: $E/F(S)$ is algebraic. Let $\alpha \in E$, want: $F(\alpha, S)/F(S)$ is finite. If $\alpha \in S$, then done. If not, $S \cup \{\alpha\}$ is not algebraic independent. So we can find $s_1, ..., s_n \in S$ and a nontrivial polynomial relation between $s_1, ..., s_n$. This relation must involve α. Then $\exists m \geq 1$, $p_0, ..., p_m \in F[X_1, ..., X_n]$ s.t $\alpha^m p_m(s_1, ..., s_n) + ... + \alpha p_1(s_1, ..., s_n) + p_0(s_1, ..., s_n) = 0$ with $p_m \neq 0$ $\Longrightarrow [F(\alpha, s_1, ..., s_n) : F(s_1, ..., s_n)] \leq m \Longrightarrow \alpha$ is algebraic over $F(S)$.

"$\Longleftarrow$", If $E/F(S)$ is algebraic, Want S maximal. Indeed, suppose otherwise $\exists \alpha \in E, \alpha \notin S$ s.t. $S \cup \{\alpha\}$ is algebraic independent. Then α is algebraic over $F(S)$, by assumption. $\exists m \geq 1$

$$\alpha^m + \frac{p_{m-1}(s_1, ..., s_n)}{q_{m-1}(s_1, .., s_n)} \alpha^{m-1} + ... = 0$$

for some $s_1, ..., s_n \in S, p_i, q_i \in F[X_1, ..., X_n]$ Multiply the denominators in the above equation, we get a nontrivial polynomial relation involving $s_1, ..., s_m, \alpha$. Contrary to the assumed algebraic independence of $S \cap \{\alpha\}$

□

Example 4.17.
$tr.deg(\overline{\mathbb{Q}}/\mathbb{Q}) = 0$
$tr.deg(\mathbb{C}/\mathbb{Q}) = \infty$
$tr.deg(F(t_1, ..., t_n)/F) = n$
If $E/F(t_1, ..., t_n)$ is algebraic, then $tr.deg(E/F)$ is n
$tr.deg(F/F) = 0 \iff (E/F)$ *is algebraic.*

And then we resume our goal in last lecture. Give a field extension L/K such that L is finitely generated as K-algebra, then L/K is finite.

Proof. Write, $L = \langle x_1, ..., x_n \rangle_{K-alg}$. $r := tr.deg(L/K)$. Conclusion $\iff r = 0$. Suppose not. Then $r \geq 1$. By part (f) of the Theorem 4.16 that after relabeling, $\{x_1, ..., x_r\}$ is a transcendence basis of L/K. Each $x_{r+1}, ..., x_n$ is algebraic over $K(x_1, .., x_r) =: M \implies L/M$ is finite. ¿¿¿¿¿¿¿¿¿¿1

Lemma 4.18. *Let $\mathcal{A} \subseteq \mathcal{B} \subseteq \mathcal{C}$ be rings s.t. $\mathcal{C}$ is finitely generated as $\mathcal{A}$-algebra and $\mathcal{C}$ is also finitely generated $\mathcal{B}$-module. Then $\mathcal{B}$ is a finitely generated $\mathcal{A}$-algebra.*

Proof. (Of Lemma) $C = \langle y_1, ..., y_m \rangle_{\mathcal{B}-mod}$ and $C = \langle x_1, ..., x_n \rangle_{\mathcal{A}-alg}$ write $x_i = \sum_j b_{ij} y_j$ for some $b_{ij} \in \mathcal{B}$. $y_i \cdot y_j = \sum_k b_{ijk} y_k$. $\mathcal{B}_0 := \mathcal{A}[\{b_{ij}\} \cup \{b_{ijk}\}] \subseteq \mathcal{B}$. $\mathcal{B}_0$ finitely generated $\mathcal{A}$-algebra $\implies$(Hilbert basis theorem) $\mathcal{B}_0$: Noetherian, $\mathcal{C} = \{$polynomials in $\{x_j\}$ with coefficients in $\mathcal{A}\}$ and by substitution if equals $\{$linear combinations of y_i with coefficients in $\mathcal{B}_0\} \implies$ $\mathcal{C}$ is a finite $\mathcal{B}_0$-module. $\implies \mathcal{C}$ is a Noetherian, $\mathcal{B}_0$-module. $\implies$ the $\mathcal{B}_0$-submodule $\mathcal{B} \subseteq \mathcal{C}$ is finitely generated. $\implies \mathcal{B}$ is finitely generated $\mathcal{A}$-algebra □

□

Relation to Nullstellensatz $rad(\mathfrak{a}) = I(V(\mathfrak{a}))$, where $K = \overline{K}$ field. $\mathfrak{a} \subseteq K[t_1, ..., t_d] = \mathcal{A}$. $V(\mathfrak{a}) : \{X \in K^d, f(X) = 0 \forall f \in \mathfrak{a}\}$. $I(S) = \{f \in \mathcal{A} : f(X) = 0 \forall X \in S\}$ and $rad(\mathfrak{a}) = r(\mathfrak{a}) = \{f \in \mathcal{A} : f^n \in \mathfrak{a}$ for some $n\}$

Proof. $r(\mathfrak{a}) \subseteq I(V(\mathfrak{a}))$, $f \in r(\mathfrak{a}) \implies f^n \in \mathfrak{a} \implies f^n|_{V(\mathfrak{a})=0}$, and K is an integral domain $\implies f|_{V(\mathfrak{a})} = 0 \implies f \in I(V(\mathfrak{a}))$.

For the converse inclusion recall that $r(\mathfrak{a}) = \cap_{\mathfrak{p} \supseteq \mathfrak{a}, prime} \mathfrak{p}$. suppose $f \notin r(\mathfrak{a})$. Want: $f \notin I(V(\mathfrak{a}))$.Choose $\mathfrak{p} \subseteq \mathfrak{a}, \mathfrak{p} \not\ni f$. Then $0 \neq \overline{f} \in \mathcal{A}/\mathfrak{p}$. $\implies (\mathcal{A}/\mathfrak{p})_{\overline{f}} = (\mathcal{A}/\mathfrak{p})[\frac{1}{\overline{f}}] \neq 0$. Choose a maximal ideal $\mathfrak{m} \subseteq (\mathcal{A}/\mathfrak{p})_{\overline{f}} =: \mathcal{B}$. Set $L := \mathcal{B}/\mathfrak{m}$ a field, L is finitely generated K-algebra. $\implies L/K$ is finite $\implies L = K$ because $\overline{K} = K$. Set $X = (X_1, ..., X_d)$, $X_j =$ image of t_j in L. Check that $f(X) \neq 0, X \in V(\mathfrak{a}) \implies f \notin I(V(\mathfrak{a}))$. □

Primary Decomposition

Consider $\alpha \in \mathcal{A}$ a PID. We may write uniquely $\alpha \epsilon (p_1)^{n_1} \cdots (p_k)^{n_k}$ where ϵ unit and p_j distinct primes and $(\alpha) = (p_1^{n_1}) \cap ... \cap (p_k^{n_k})$ We call this the primary decomposition of (α). What happens to a general ring?

$\mathcal{A}$ any ring.

Definition 4.19. *????????????*

¿¿¿¿¿¿¿¿¿¿¿¿2

Definition 4.20. *An ideal $\mathfrak{a} \subseteq \mathcal{A}$ is **decomposable** if we may write $\mathfrak{a} = \mathfrak{q}_1 \cap ... \cap \mathfrak{q}_n$, We call this a primary decomposition.*

Proposition 4.21. *$\mathcal{A}$ is Noetherian, $\implies$ every $\mathfrak{a} \subseteq \mathcal{A}$ is decomposable.*

As part of the proof, we discuss the **Noetherian induction** first.
recall the ideal of induction in general. **Induction:** $S \subseteq \mathbb{N}$
(I) S has a minimal element.
(II) $1 \in S$ and $(n \in S \implies n+1 \in S) \implies S = \mathbb{N}$
and **Noetherian Induction** $\mathcal{A}$ a Noetherian ring
(I)Every S a subset of the "set of all ideals" has minimal element.
(II) ¿¿¿¿¿¿¿¿¿¿3

4.3 Lecture 12

Lemma 4.22. *$\mathcal{A}$ is Noetherian, $\mathfrak{a} \subseteq \mathcal{A}$ is an ideal. $\implies$ $\mathfrak{a}$ decomposable: $\exists$ primary ideals $\mathfrak{q}_1, ..., \mathfrak{q}_n \subseteq \mathcal{A}$ s.t. $\mathfrak{a} = \mathfrak{q}_1 \cap ... \cap \mathfrak{q}_n$, where $\mathfrak{q}$ primary $\iff xy \in \mathfrak{q} \implies x \in \mathfrak{q}$ or $y^n \in \mathfrak{q}$ for some n*

Proof. Define: An ideal r is **irresducible** if whenever $r = r' \cap r''$, we have either $r = r'$ or $r = r''$. NOtice $(6) = (2)(3)$ is not irreducible.
Claim1: $\mathcal{A}$ Noetherian. Then irresucible $\implies$ primary.
Proof of Claim1
Let $\mathfrak{a}$ irreducible. Let $x, y \in \mathcal{A}$ with $xy \in \mathfrak{a}$. Assume $x \notin \mathfrak{a}$. Want $\exists n, y^n \in \mathfrak{a}$. For notational simplicity, we may replace $\mathcal{A}$ by $\mathcal{A}/\mathfrak{a}$ and reduce to the case $\mathfrak{a} = (0)$. (We want to construct a ascending sequence of ideals.) Consider the ideals $Ann(y^n)$. Theses ideals goes up as n increases $\implies$, $Ann(y^n) = Ann(y^{n+1})$ for some n because $\mathcal{A}$ is Noetherian. Then we know, $xy = 0$, $x \in Ann(y)$, $(x) \subseteq Ann(y)$.
subclaim: $Ann(y) \cap (y) = 0$.
Assuming the subclaim,(since (0) is irreducible) deduce that either $Ann(y) = (0) \implies x \in (0)$ or $(y^n) = (0) \implies y^n = 0$. Now we turn to prove the subclaim: Let $z \in Ann(y) \cap (y^n)$. Then $z = y^n t, t \in \mathcal{A}$ and $zy = 0 \implies ty^{n+1} = 0 \implies t \in Ann(y^{n+1}) = Ann(y^n) \implies z = ty^n = 0$. This finishes the proof of subclaim thus also the proof of Claim1.

Calim2: $\mathcal{A}$ Noetherian, $S :=$ {ideals in $\mathcal{A}$ that are finite intersection of irreducible *ideals*} $\Longrightarrow S =$ {ideals in $\mathcal{A}$}.
Proof of Claim2: Consider the complement $S^c =$ {idaels in $\mathcal{A}$ that are not finite intersections of irreducible ideals}. Want: $S^c = \emptyset$. If not, then it contains a maximal element $\mathfrak{a}$. Claim $\mathfrak{a} \neq (1)$, because $\mathfrak{a}$ not irreducible.

$\Longrightarrow \mathfrak{a} = \mathfrak{b} \cap \mathfrak{c}, \mathfrak{b} \supsetneq \mathfrak{a}, and \mathfrak{c} \supsetneq \mathfrak{a}$

$\mathfrak{a}$ maximal in S^c, $\mathfrak{b}, \mathfrak{c} \notin S^c$. $\Longrightarrow \mathfrak{b}, \mathfrak{c} \in S$. So $\mathfrak{b}$ and $\mathfrak{c}$ are finite intersections of irreducible ideals $\Longrightarrow \mathfrak{a} = \mathfrak{b} \cap \mathfrak{c}$ is a finite intersection of irreducible ideals. contradiction. Alternatively, by Noetherian induction, it suffice to show if $\mathfrak{a}$ has the property that **all strictly larger ideals $\mathfrak{b} \supsetneq \mathfrak{a}$ belongs to** S Then $\mathfrak{a} \in S$. If not, then$\mathfrak{a} = \mathfrak{b} \cap \mathfrak{c}, \mathfrak{c}, \mathfrak{b} \supsetneq \mathfrak{a} \Longrightarrow \mathfrak{b}, \mathfrak{c} \in S$. conclude as before. □

Basics on primary ideals:

Lemma 4.23. *Let $\mathfrak{q}$ primary. Then $\mathfrak{p} := rad(\mathfrak{q})$ is prime. It is the smallest prime containing $\mathfrak{q}$.*

Proof. It suffices to show $\mathfrak{p}$ is prime. ($\mathfrak{p}=$ intersection of all prime ideals containing $\mathfrak{q}$, hence contained in any such prime, hence is the minimal such prime.) Let $x, y \in \mathcal{A}$, $xy \in \mathfrak{p}, x \notin \mathfrak{p}$. Want $y \in \mathfrak{p}$.
$(xy)^n \in \mathfrak{q}$ for some n. $x^n \notin \mathfrak{q} \Longrightarrow (y^n)^m \in \mathfrak{q}$ for some $m \Longrightarrow y \in \mathfrak{p}$. □

Definition 4.24. *If $\mathfrak{q}$ is primary with radical $\mathfrak{p}$, we call $\mathfrak{q}$ $\mathfrak{p}$-**primary**.*

Lemma 4.25. *If $\mathfrak{q}_1, .., , \mathfrak{q}_n$, $\mathfrak{p}$-primary, then $\mathfrak{q} = \mathfrak{q}_1 \cap ... \cap \mathfrak{q}_n$ is $\mathfrak{p}$-primary.*

Proof. Read $\mathfrak{q}_1 \cap ... \cap \mathfrak{q}_n = rad(\mathfrak{q}_1) \cap ... \cap rad(\mathfrak{q}_n) = \mathfrak{p} \cap ... \cap \mathfrak{p} = \mathfrak{p}$. Then it left to show $\mathfrak{q}_1 \cap ... \cap \mathfrak{q}_n$ is primary.

Suppose $xy \in \mathfrak{q}, x \notin \mathfrak{p}$. Want $y \in \mathfrak{q}$. We have $xy \in \mathfrak{q}_i, x \notin \mathfrak{p} \Longrightarrow y \in \mathfrak{q}_i \forall i \Longrightarrow y \in \mathfrak{q}$ □

Let $\mathfrak{p}$ prime. In general, a $\mathfrak{p}$-primary ideal $\mathfrak{q}$ need not be a power of $\mathfrak{p}$, and a power of $\mathfrak{p}$ need not be primary. For example: If $\mathfrak{m}$ maximal ideal, $\mathfrak{q}$ any ideal, and $\mathfrak{m} = rad(\mathfrak{q})$, then $\mathfrak{q}$ is primary.

Proof. Then $\mathfrak{m}/\mathfrak{q} = Nil(\mathcal{A}/\mathfrak{q})$ is both a maximal ideal and the intersection of all prime ideals $\Longrightarrow \mathcal{A}/\mathfrak{q}$ has exactly one prime ideal, $\mathfrak{m}/\mathfrak{q}$. $(\mathcal{A}/\mathfrak{q}, \mathfrak{m}, \mathfrak{q})$ is a local ring. To show that $\mathfrak{q}$ is primary, we maust show any zero divisors in $\mathcal{A}/\mathfrak{q}$ is Nilpotent (belong s to $Nil(\mathcal{A}/\mathfrak{q}) = \mathfrak{m}/\mathfrak{q}$) In other words, want

if $x \in \mathcal{A}/\mathfrak{q}, x \notin \mathfrak{m}/\mathfrak{q}$, then x not a zero divisor. Because $x \in \mathcal{A}/\mathfrak{q} adnx \notin \mathfrak{m}/\mathfrak{q} \Longrightarrow \mathcal{A}/\mathfrak{q}$is local ring with unique prime $\mathfrak{m}/\mathfrak{q} \Longrightarrow x$ is a unit. $\square$

Lemma 4.26. *$\mathfrak{m}$ maximal,$\Longrightarrow$ $\mathfrak{m}^n$ is $\mathfrak{m}$-primary $\forall n$*

Example 4.27. *$\mathfrak{m} = (X, Y) \subseteq K[X, Y] \Longrightarrow \mathfrak{m}^n$ is primary.*

Example 4.28. *$\mathfrak{q} = (X^2, Y) \subseteq K[X, Y]$ is $\mathfrak{m}$-primary.*

Example 4.29. *$\mathfrak{a} = \prod_{j=1}^{J}(X - z_j)^{n_j} \subseteq \mathbb{C}[X]$ for some distinct $z_1, ..., z_J \in \mathbb{C}$. Then $\mathfrak{a} = \mathfrak{q}_1 \cap ... \cap \mathfrak{q}_J$, $\mathfrak{q}_j = ((X - z_j)^{n_j})$ $\mathfrak{p}_j = rad(\mathfrak{q}_j) = (X - z_j)$*

Example 4.30. *$\mathfrak{q}_1 = (X, Y)^2 = (X^2, XY, Y^2) \subseteq K[X, Y], \mathfrak{p} = (X, Y)$. $\mathfrak{q}_2 = (Y) \Longrightarrow \mathfrak{p}_2 = (Y)$*
$\mathfrak{a} = \mathfrak{q}_1 \cap \mathfrak{q}_2 = (XY, Y^2)$

How do we talk about the uniqueness of primary decomposition? Sometimes you shrink a primary decomposition $\mathfrak{q} = \mathfrak{q}_1 \cap ... \cap \mathfrak{q}_n$. $\mathfrak{p}_j = rad(\mathfrak{q}_j)$

(a) If $\mathfrak{p}_i = \mathfrak{p}_j$ for some $i \neq j$, then we can replace $\mathfrak{q}_i$ with $\mathfrak{q}_i \cap \mathfrak{q}_j$ and delete $\mathfrak{q}_j$.

(b) $\mathfrak{q}_j \supseteq \cap_{i:i\neq j}\mathfrak{q}_j$, then we can delete $\mathfrak{q}_j$.

Definition 4.31. *If we can't do (a) or (b), we call the resulting decomposition **minimal**. Let $\mathfrak{a}$ ideal, we define $Ass(\mathfrak{a}) := \{$prime ideals of the form $rad(\mathfrak{a} : x)$ for some $x \in \mathcal{A}\}$ to be **the set of associated ideals of** $\mathfrak{a}$.(recall $y \in (\mathfrak{a} : x) \Longleftrightarrow y$ maps x into $\mathfrak{a} \Longleftrightarrow yx \in \mathfrak{a}$)*

Theorem 4.32. *Let $\mathfrak{a} = \mathfrak{q}_1 \cap ... \cap \mathfrak{q}_n$ be a minimal primary decomposition. Then $\{\mathfrak{p}_1, ..., \mathfrak{p}_n\} = Ass(\mathfrak{a})$. In particular, the set $\{\mathfrak{p}_1, ..., \mathfrak{p}_n\}$ is independent of the choice of minimal primary decomposition.*

Lemma 4.33. *Let $\mathfrak{q}$ $\mathfrak{p}$-primary, $x \in \mathcal{A}$.*

$x \in \mathfrak{q} \Longrightarrow (\mathfrak{q} : x) = (1)$
$x \notin \mathfrak{q} \Longrightarrow (\mathfrak{q} : x)$ is $\mathfrak{p}$-primary.
$x \notin \mathfrak{p} \Longrightarrow (\mathfrak{q} : x) = \mathfrak{q}$

We first show that the lemma leads to the theorem.

Proof. $\{p_j\} \supseteq Ass(\mathfrak{a})$. Let $x \in \mathcal{A}$ s.t. $rad(\mathfrak{a} : x) = \mathfrak{p}$ is prime. want $\mathfrak{p}$ =some $\mathfrak{p} + j$. $rad(\mathfrak{a} : x) = \cap rad(\mathfrak{q}_j : x) = \cap_{x\notin\mathfrak{q}_j}\mathfrak{p}_j \Longrightarrow \mathfrak{p}$ =some $\mathfrak{q}_j$ ¿¿¿¿¿¿¿¿1 $\square$