

# Personal Notes for Commutative Algebra

## by P. Nelson

Texed by Lin-Da Xiao

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## About the Course:

The course website is <https://metaphor.ethz.ch/x/2017/hs/401-3132-00L/>.

The topic includes

- Basics about rings, ideals and modules
- Localization
- Primary decomposition
- Integral dependence and valuations
- Noetherian rings
- Completions
- Basic dimension theory

Prerequisite:

Rings, homomorphism, ideals, quotient rings, zero divisors, prime/maximal ideals, fields.

Convention: Ring, we mean a commutative ring with identity. In particular for a ring homomorphism  $f : R \rightarrow S$ . We have  $f(1_R) = 1_S$ . Remark: we allow  $1=0$  but then  $R=0$ . Caution, by definition  $1 \neq 0$  in a field .

## 1 Rings, ideals, radicals

### 1.1 Lecture 1. Motivation and Basics by Paul Steinmann

In differential geometry, we have the theorem of level sets:

**Theorem 1.1.** *Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}$ . If  $0 \in \mathbb{R}^n$  is a regular value of  $f$  then  $f^{-1}(0)$  is a submanifold.*

In algebraic geometry, we look at  $f^{-1}(0)$  for polynomial  $f$ . More precisely, fix an algebraic-closed field  $\mathbb{K}$  and an integer  $n > 0$ , consider the ring  $R := \mathbb{K}[x_1, \dots, x_n]$ . Def: For a subset  $S \subset R$  we define the **affine algebraic variety** by

$$V(S) := \{x \in \mathbb{K}^n \mid \forall f \in S, f(x) = 0\} \subset \mathbb{K}^n \quad (1)$$

**Remark 1.2.** *With the affine algebraic varieties defined above, we have:*

- $V(\emptyset) = \mathbb{K}^n$
- $V(\{1\}) = \emptyset$
- For an non empty collection of subsets  $(S_i)_{i \in I}$   $S_i \subset R$  we have

$$\bigcap_{i \in I} V(S_i) = V(\bigcup_{i \in I} S_i)$$

- $S$  and  $S'$  are subsets in  $R$

$$V(S) \cup V(S') = V(\{fg | f \in S, g \in S'\})$$

as a consequence,  $(V(S))_{S \subset R}$  form the closed sets of a topology on  $\mathbb{K}^n$  called **Zariski topology**.

**Example 1.3.**  $n=2$ ,  $R = \mathbb{K}[X_1, X_2]$

$V(\{X_1\})$  is the  $X_2$  axis in  $\mathbb{K}^2$

$V(\{X_2 - X_1^2\})$  is the parabola in  $\mathbb{K}^2$

**Definition 1.4.** Conversely for all subset  $X \subset \mathbb{K}^n$ , consider

$$I(X) := \{f \in R | \forall x \in X : f(x) = 0\} \subset R.$$

**Remark 1.5.** Fact: For  $S$  in  $R$  and  $X$  subset in  $\mathbb{K}^n$ , we have,

- $S \subset I(V(S))$
- $X \subset V(I(X))$
- For  $S \subset S' \subset R$ , we have  $V(S) \supset V(S')$
- For  $X \subset X' \subset \mathbb{K}^n$ , we have  $I(X) \supset I(X')$
- $I(X) \subset R$  is an ideal.

**Definition 1.6.** The **radical of an ideal**  $\mathfrak{a} \subset R$  is  $\text{rad}(\mathfrak{a}) := \{a \in R | \exists n \geq 1 \text{ s.t. } a^n \in \mathfrak{a}\} \subset R$ . An ideal  $\mathfrak{a} \subset R$  with  $\text{rad}(\mathfrak{a}) = \mathfrak{a}$  is called **radical**.

**Remark 1.7.** Fact, for every ideal  $\mathfrak{a} \subset R$  we have  $\mathfrak{a} \subset \text{rad}(\mathfrak{a})$ .

$\text{rad}(\mathfrak{a})$  is an ideal, proof in exercise.

For  $X \subset \mathbb{K}^n$  the ideal  $I(X)$  is radical.

**Theorem 1.8.** (The Hilbert's Nullstellensatz) For any ideal  $\mathfrak{a} \subset R$  we have

$$I(V(\mathfrak{a})) = \text{rad}(\mathfrak{a}).$$

An important consequence of the theorem:

the maps  $V$  and  $I$  induce the one to one correspondence between

$$\{\text{radical ideals in the polynomial ring}\} \Longleftrightarrow \{\text{affine algebraic varieties}\}$$

and this correspondence inverse the inclusion.

**Example 1.9.** For any point  $x = (x_1, \dots, x_n) \in \mathbb{K}^n$  the ideal

$$I(x) = \mathfrak{m}_x := (X_1 - x_1, \dots, X_n - x_n)$$

is maximal.

*Proof.* If not, then there exists an ideal  $\mathfrak{a} \subset R$  s.t.

$$R \supsetneq \mathfrak{a} \supsetneq \mathfrak{m}_x,$$

but then by the Nullstellensatz,

$$\emptyset \subsetneq V(\mathfrak{a}) \subsetneq V(\mathfrak{m}_x) = \{x\},$$

which makes the contradiction.  $\square$

Weak Nullstellensatz the ideals  $\mathfrak{m}_x$  are precisely the maximal ideals of  $\mathbb{K}[x_1, \dots, x_n]$ , where  $\mathbb{K}$  needs to be algebraically closed

**Example 1.10.**  $\mathbb{K} = \mathbb{R}, n = 1$ .  $X^2 + 1$  is irreducible in  $\mathbb{R}[X]$ . And  $\mathbb{R}[X]/(X^2 + 1) \cong \mathbb{C}$  is maximal. Consequence, we have a bijection

$$\{\text{max ideals of } R \text{ polynomial ring } \mathbb{K}[X_1, \dots, X_n]\} \Longleftrightarrow \{\text{Points in } \mathbb{K}^n\}$$

Let  $A$  be a ring. Remember

An element  $a \in A$  is **nilpotent** if there  $\exists n > 1 \in \mathbb{Z}$  s.t.  $a^n = 0$ .

An element  $a \in A$  is a **zero divisor** if there is an element  $b \in A, b \neq 0$  s.t.  $ab = 0$ .

Fact: every nilpotent element is a zero divisor but not conversely.

**Example 1.11.** take  $(0, 1) \in A \times A$  then  $(0, 1) \cdot (1, 0) = (0, 0)$

**Definition 1.12.** The ideal  $N : \text{rad}((0))$  is called the **nil radical** of  $A$ .

Then we have:

1.  $\mathcal{N}$  is the set of all nilpotent elements of  $A$

2.  $A/\mathcal{N}$  has no nilpotent elements.

*Proof.* 1. From definitions. 2. Let  $x \in A$  s.t.  $\bar{x} \in A/\mathcal{N}$  is nilpotent. Let  $n > 0$  s.t.  $\bar{x}^n = 0$  then  $x^n \in \mathcal{N}$ . Thus there exists  $k > 0$  s.t.  $(x^n)^k = 0$  hence  $x^{nk} = 0$ ,  $x \in \mathcal{N}$ .  $\square$

**Proposition 1.13.** *The nil radical of  $A$  is the intersection of all prime ideals of  $A$ .*

*Proof.* Denote by  $\mathcal{N}'$  the intersection of all prime ideals of  $A$ . For any nilpotent element  $f \in A$  with  $n > 0$  s.t.  $f^n = 0$ , We have  $f^n \in \mathfrak{p}$  for every prime ideal  $\mathfrak{p}$ . Hence  $f \in \mathfrak{p}$ . We conclude  $f \in \mathcal{N}'$ . Conversely, suppose  $f \in A$  is not nilpotent. Define  $\Sigma := \{\mathfrak{a} \subset A \text{ ideals} \mid \forall n > 0 : f^n \notin \mathfrak{a}\}$ . We will apply Zorn's lemma. We have

1.  $(0) \in \Sigma$ , so  $\Sigma$  is nonempty,
2.  $\Sigma$  is partially ordered by inclusion.
3. For any chain  $(a_i)_{i \in I} \subset \Sigma$ , the set  $\mathfrak{a} := \cup_{i \in I} a_i$  is an ideal and for all  $n > 0$ , we have  $f^n \notin \mathfrak{a}$ , hence  $\mathfrak{a} \in \Sigma$ . By Zorn's lemma we conclude that there is a maximal element  $\mathfrak{p} \in \Sigma$ . We show that  $\mathfrak{p}$  is a prime ideal.

For any  $x, y \notin \mathfrak{p}$ , consider the ideals  $\mathfrak{p} + (x), \mathfrak{p} + (y)$ . They strictly contain  $\mathfrak{p}$  and are thus not in  $\Sigma$ . Let  $n, m > 0$  s.t.  $f^n \in (x), f^m \in (y)$ . We conclude that  $f^{n+m} \in \mathfrak{p} + (xy)$ , so  $\mathfrak{p} + (xy) \notin \Sigma$ . Hence  $xy \notin \mathfrak{p}$ , which means,  $\mathfrak{p}$  is a prime ideal so  $f \notin \mathcal{N}'$ .  $\square$

Remember let  $f : A \rightarrow B$  be a ring morphism. And  $\mathfrak{p} \subset B$  a prime ideal. Then  $f^{-1}(\mathfrak{p})$  is a prime ideal of  $A$ . Caution: Not true for maximal ideals in general.

**Proposition 1.14.** *Let  $\mathfrak{a} \subset A$  be an ideal,  $\pi : A \rightarrow A/\mathfrak{a}$ . There is a one to one correspondence between ideals of  $A/\mathfrak{a}$  and ideals in  $A$  which contain  $\mathfrak{a}$  via  $\mathfrak{c} = \pi^{-1}(\mathfrak{b})$*

**Corollary 1.15.** *Let  $\mathfrak{a} \subset A$  be an ideal, then  $\text{rad}(\mathfrak{a})$  is the intersection of all prime ideals which contain  $\mathfrak{a}$ .*

*Proof.* consider the homomorphism  $\pi : A \rightarrow A/\mathfrak{a}$ . Then  $\text{rad}(\mathfrak{a}) = \pi^{-1}(\mathcal{N}_{A/\mathfrak{a}})$ . By the above proposition  $\mathcal{N}_{A/\mathfrak{a}}$  is the intersection of all prime ideals of  $A/\mathfrak{a}$ . By the correspondence we conclude the statement.  $\square$

**Definition 1.16.** *The **Jacobson Radical**  $\mathcal{R}$  of  $A$  is the intersection of all maximal ideals in  $A$ .*

**Proposition 1.17.** *We have  $x \in \mathcal{R} \iff \forall y \in A : 1 - xy$  is a unit.*

*Proof.* “ $\implies$ ” let  $x \in \mathcal{R}$  and  $y \in A$  s.t.  $1 - xy$  is not a unit. Then  $1 - xy \in \mathfrak{m}$  for some maximal ideal  $\mathfrak{m} \subset A$ . But  $x \in \mathcal{R} \subset \mathfrak{m}$ , hence  $1 \in \mathfrak{m}$  contradiction.

“ $\impliedby$ ” let  $x \notin \mathcal{R}$  then  $x \notin \mathfrak{m}$  for some maximal ideal  $\mathfrak{m} \subset A$ . Since  $\mathfrak{m}$  is maximal we conclude that  $(x) + \mathfrak{m} = A$ . Hence there exists  $y \in A$ ,  $u \in \mathfrak{m}$  s.t.  $xy + u = 1$ . We conclude that  $1 - xy \in \mathfrak{m}$ , so in particular,  $1 - xy$  is not a unit.  $\square$

## 1.2 Lecture 2. local rings, coprime ideals, ideal quotients by Paul Steinmann

**Definition 1.18.** *A ring  $A$  is called a **local ring** if  $A$  admits precisely one maximal ideal;*

**Example 1.19.**

- *Every field is a local ring with maximal ideal  $\mathfrak{m} = 0$ , because every nonzero element is a unit.*
- *$\mathbb{K}[[X]]$  is the ring of formal power series over a field  $\mathbb{K}$ , it has a unique maximal ideal  $(X)$ . One can check that every element with nonzero constant term is invertible. i.e.  $(a_0(1 - g))^{-1} = a_0^{-1}(1 + g + g^2 + \dots)$*

**Proposition 1.20.**

- *Let  $A$  be a ring and  $\mathfrak{m} \neq (1)$  is an ideal of  $A$  s.t. every  $x \in A - \mathfrak{m}$  is a unit of  $A$ , then  $A$  is a local ring with maximal ideal  $\mathfrak{m}$ .*
- *Let  $A$  be ring and  $\mathfrak{m} \subset A$  is a maximal ideal s.t. any element of  $1 + \mathfrak{m} = \{1 + a | a \in \mathfrak{m}\}$  is a unit in  $A$ . Then  $A$  is a local ring.*

*Proof.* For first part, every proper ideal consists of non-units, hence is contained in  $\mathfrak{m}$ . In other words, an element is a unit iff it is not contained in any maximal ideal. For the second part, let  $x \in A - \mathfrak{m}$ . Since  $\mathfrak{m}$  is maximal, we have  $(x) + \mathfrak{m} = (1)$ , hence,  $\exists y \in A, t \in \mathfrak{m}$ , s.t.  $xy + t = 1$ , which implies  $xy = 1 - t \in 1 + \mathfrak{m}$ . Thus,  $xy$  is a unit which implies that  $x$  is a unit. Now use the first part.  $\square$

**Definition 1.21.** *A ring  $A$  is called **semilocal** if  $A$  admits finitely many maximal ideals.*

**Example 1.22.**

- $\mathbb{Z}$  is not semilocal.
- Let  $m \in \mathbb{Z}$ . Then  $\mathbb{Z}/(m\mathbb{Z})$  is a semilocal ring with maximal ideals  $d\mathbb{Z}/m\mathbb{Z}$  for prime number  $d|m$ .
- In particular, for  $p \in \mathbb{Z}$  prime,  $\mathbb{Z}/p\mathbb{Z}$  is local ring.

Reminder: Let  $\mathfrak{a}, \mathfrak{b} \subset A$  be ideals their sum is

$$\mathfrak{a} + \mathfrak{b} := \{a + b | a \in \mathfrak{a}, b \in \mathfrak{b}\},$$

Which is the smallest ideal containing  $\mathfrak{a} \cup \mathfrak{b}$ . Also infinite sums  $(\mathfrak{a}_i)_{i \in I} \subset A$  ideals,

$$\sum_{i \in I} \mathfrak{a}_i := \left\{ \sum_{i \in I} x_i | x_i \in \mathfrak{a}_i, x_i = 0 \text{ for almost all } i \right\}$$

And we also have

$$\mathfrak{a} \cdot \mathfrak{b} \text{ or } \mathfrak{a}\mathfrak{b} = \left\{ \sum_{i \in I} x_i y_i | x_i \in \mathfrak{a}, y_i \in \mathfrak{b}, \text{ all but finitely many terms are } 0 \right\}.$$

**Definition 1.23.** Two ideals  $\mathfrak{a}, \mathfrak{b} \subset A$  are called **coprime**<sup>1</sup> if  $\mathfrak{a} + \mathfrak{b} = (1)$

**Remark 1.24.** If  $\mathfrak{a}, \mathfrak{b} \subset A$  are coprime ideals then  $\mathfrak{a} \cap \mathfrak{b} = \mathfrak{a} \cdot \mathfrak{b}$ .

For general ideals  $\mathfrak{a}, \mathfrak{b} \subset A$ :

$$(\mathfrak{a} + \mathfrak{b}) \cdot (\mathfrak{a} \cap \mathfrak{b}) \subset \mathfrak{a} \cdot \mathfrak{b} \subset \mathfrak{a} \cap \mathfrak{b}.$$

However, for coprime ideals, we also have  $\mathfrak{a}\mathfrak{b} \supset \mathfrak{a} \cap \mathfrak{b}$ , because  $1 = a + b$  for  $a \in \mathfrak{a}, b \in \mathfrak{b}$ , then  $\forall x \in \mathfrak{a} \cap \mathfrak{b}$  we have  $x = x \cdot 1 = x(a + b) = xa + xb \in \mathfrak{a} \cdot \mathfrak{b}$ .

**Proposition 1.25.** Let  $\mathfrak{a}_1, \dots, \mathfrak{a}_n \subset A$  be ideals, denote  $\varphi : A \rightarrow \prod_{i \in I} (A/\mathfrak{a}_i)$  for the canonical homomorphism.

(i) if  $\mathfrak{a}_i, \mathfrak{a}_j$  are coprime for  $i \neq j$ , then  $\prod_{i=1}^n \mathfrak{a}_i = \cap_{i=1}^n \mathfrak{a}_i$ .

(ii)  $\varphi$  is surjective iff  $\mathfrak{a}_i, \mathfrak{a}_j$  are coprime for  $i \neq j$ .

(iii)  $\varphi$  is injective iff  $\cap_{i=1}^n \mathfrak{a}_i = (0)$ .

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<sup>1</sup>In some literature, it is called **comaximal**

*Proof.* (iii) Note that  $\ker\varphi = \cap_{i=1}^n \mathfrak{a}_i$ .

(i) by induction on  $n$ . For  $n = 2$  it is checked above. Suppose  $n > 2$  let  $\mathfrak{b} := \prod_{i=1}^{n-1} \mathfrak{a}_i = \cap_{i=1}^{n-1} \mathfrak{a}_i$ . Since  $\mathfrak{a}_i + \mathfrak{a}_n = (1)$  for  $1 \leq i \leq n-1$ . We have  $x_i + y_i = 1$  for some  $x_i \in \mathfrak{a}_i, y_i \in \mathfrak{a}_n$ . Thus  $\prod_{i=1}^{n-1} x_i = \prod_{i=1}^{n-1} (1 - y_i) \equiv 1 \pmod{\mathfrak{a}_n}$ . We conclude that  $\mathfrak{a}_n + \mathfrak{b} = (1)$ , s.t.

$$\prod_{i=1}^n \mathfrak{a}_i = \mathfrak{b}\mathfrak{a}_n = \mathfrak{a} \cap \mathfrak{a}_n = \cap_{i=1}^n \mathfrak{a}_i$$

(ii) “ $\implies$ ”, Suppose  $\varphi$  is surjective. Let  $i \neq j$ , There exists an element  $x \in A$  s.t.  $\varphi(x) = (0, \dots, 0, 1, 0, \dots, 0)$ , nonzero only at the  $i$ -th entry. Thus  $x \equiv 1 \pmod{\mathfrak{a}_i}$  and  $x \equiv 0 \pmod{\mathfrak{a}_j}$ . So  $1 = (1 - x) + x \in \mathfrak{a}_i + \mathfrak{a}_j$ .

“ $\impliedby$ ” We show that for all  $k \in \{1, \dots, n\}$  there exists an element  $x \in A$  s.t.  $\varphi(x) = (0, \dots, 0, 1, 0, \dots, 0)$ , nonzero at the  $k$ -th entry. Let  $k \in \{1, \dots, n\}$ . For every  $j \in \{1, \dots, n\} \setminus \{k\}$ . We have  $\mathfrak{a}_k + \mathfrak{a}_j = (1)$ , and thus there are elements  $u_j \in \mathfrak{a}_k, v_j \in \mathfrak{a}_j$  s.t.  $u_j + v_j = 1$ . Define  $x := \prod_{i \neq k} v_i$ . Then  $x \equiv 0 \pmod{\mathfrak{a}_j}, \forall j \neq k$  and  $x = \prod_{i \neq k} (1 - u_i) \equiv 1 \pmod{\mathfrak{a}_k}$ . Hence,  $\varphi(x) = (0, \dots, 0, 1, 0, \dots, 0)$  nonzero in the  $k$ -th entry.

As a result, if each pair  $\mathfrak{a}_i, \mathfrak{a}_j$  is coprime, we have

$$A / \left( \prod_{i=1}^n \mathfrak{a}_i \right) \cong \prod_{i=1}^n (A / \mathfrak{a}_i).$$

□

**Proposition 1.26.** *Let  $\mathfrak{a}, \mathfrak{b} \subset A$  be ideals s.t.  $\text{rad}(\mathfrak{a}), \text{rad}(\mathfrak{b})$  are coprime. Then  $\mathfrak{a}, \mathfrak{b}$  are coprime.*

*Proof.* In fact, we have

$$\text{rad}(\mathfrak{a} + \mathfrak{b}) = \text{rad}(\text{rad}(\mathfrak{a}) + \text{rad}(\mathfrak{b})) = \text{rad}((1)) = (1)$$

Details in the exercise sheet.

□

**Proposition 1.27.**

(i) Let  $\mathfrak{p}_1, \dots, \mathfrak{p}_n \subset A$  prime ideals and let  $\mathfrak{a} \subset A$  be an ideal which is contained in  $\cup_{i=1}^n \mathfrak{p}_i$  then  $\mathfrak{a} \subset \mathfrak{p}_j$  for some  $j$ .

(ii) Let  $\mathfrak{a}_1, \dots, \mathfrak{a}_n \subset A$  be ideals and  $\mathfrak{p} \subset A$  a prime ideal s.t.  $\mathfrak{p} \supset \cap_{i=1}^n \mathfrak{a}_i$ . Then  $\mathfrak{p} \supset \mathfrak{a}_i$  for some  $i$ . If  $\mathfrak{p} = \cap_{i=1}^n \mathfrak{a}_i$ , then  $\mathfrak{p} = \mathfrak{a}_i$  for some  $i$ .



*Proof.* Induction on  $n$ . For  $n = 1$ , easily checked. For  $n > 1$ . Assume that  $\mathfrak{a} \not\subset \mathfrak{p}_i$  for all  $1 \leq i \leq n$ . We show  $\mathfrak{a} \not\subset \cup_{i=1}^n \mathfrak{p}_i$ . By induction hypothesis we know that  $\forall k, \mathfrak{a} \not\subset \cup_{i \neq k}^n \mathfrak{p}_i$ , so there exists  $x_k \in \mathfrak{a}$  s.t.  $x_k \notin \mathfrak{p}_i, \forall i \neq k$ . We choose an  $x_k$  for each  $\mathfrak{p}_k$  in the above manner. If  $x_k \notin \mathfrak{p}_k$  for some  $k$ , then we are done. If not, then  $x_k \in \mathfrak{p}_k$  for all  $k$ . Consider  $y := \sum_{k=1}^n \prod_{j \neq k} x_j$ . We have  $y \in \mathfrak{a}$  and  $y \equiv \prod_{j \neq k} x_j \pmod{\mathfrak{p}_k}, \forall k$ . Since  $x_j \notin \mathfrak{p}_k$  for  $j \neq k$  and  $\mathfrak{p}_k$  is a prime ideal, we conclude that  $y \notin \mathfrak{p}_k$  for all  $k$  hence  $\mathfrak{a} \not\subset \cup_{i=1}^n \mathfrak{p}_i$ .  
(ii) Suppose for all  $i \in \{1, \dots, n\}$  we have  $\mathfrak{p} \not\supset \mathfrak{a}_i$ . Then there are  $x_i \in \mathfrak{a}_i$  with  $x_i \notin \mathfrak{p}$  for all  $i$ . And thus  $\prod_{i=1}^n x_i \in \prod_{i=1}^n \mathfrak{a}_i \subset \cap_{i=1}^n \mathfrak{a}_i$ . Since  $\mathfrak{p}$  is a prime ideal  $\prod_{i=1}^n x_i \notin \mathfrak{p}$ , hence  $\mathfrak{p} \not\supset \cap_{i=1}^n \mathfrak{a}_i$ . If  $\mathfrak{p} = \cap_{i=1}^n \mathfrak{a}_i \subset \mathfrak{a}_k$  for all  $k$ , which produce the last part.  $\square$

**Definition 1.28.** Let  $\mathfrak{a}, \mathfrak{b} \subset A$  be two ideals. Their **ideal quotient** is

$$(\mathfrak{a} : \mathfrak{b}) := \{x \in A \mid x\mathfrak{b} \subset \mathfrak{a}\}.$$

The **annihilator** of an ideal  $\mathfrak{a} \subset A$  is

$$\text{Ann}(\mathfrak{a}) := \{(0) : \mathfrak{a}\}.$$

Notation: For  $x \in A$  we write  $(a : x) := (a : (x))$ .

Fact: (i) The ideal quotient of two ideals is again an ideal.

(ii) The set of zero divisors of  $A$  is

$$D = \cup_{x \neq 0} \text{Ann}(x) = \cup_{x \neq 0} (\text{Ann}(x))$$

*Proof.* (i) (ii) The first equality is just by definition. The the second equality.

$$D = \text{rad}(D) = \text{rad}(\cup_{x \neq 0} \text{Ann}(x)) = \cup_{x \neq 0} \text{rad}(\text{Ann}(x)),$$

where we extend  $\text{rad}$  to arbitrary subsets.  $\square$

Properties: Let  $\mathfrak{a}, \mathfrak{b} \subset A$  be ideals

(i)  $\mathfrak{a} \subset (\mathfrak{a} : \mathfrak{b})$

(ii)  $(\mathfrak{a} : \mathfrak{b})\mathfrak{b} \subset \mathfrak{a}$

(iii)  $((\mathfrak{a} : \mathfrak{b}) : \mathfrak{c}) = (\mathfrak{a} : \mathfrak{b} \cdot \mathfrak{c}) = ((\mathfrak{a} : \mathfrak{c}) : \mathfrak{b})$

(iv) for ideals  $(\mathfrak{a}_i)_{i \in I} \subset A$ ,  $(\cap_{i \in I} \mathfrak{a}_i : \mathfrak{b}) = \cap_{i \in I} (\mathfrak{a}_i : \mathfrak{b})$

(v) for ideals  $(\mathfrak{b}_i)_{i \in I} \subset A$ ,  $(\mathfrak{a} : \sum_{i \in I} \mathfrak{b}_i) = \cap_{i \in I} (\mathfrak{a} : \mathfrak{b}_i)$ .

**Definition 1.29.** Let  $\mathfrak{a} \subset A$  be an ideal  $f : A \rightarrow B$  a ring homomorphism. We define the **extension** of  $\mathfrak{a}$  by  $f$  to be the ideal

$$\mathfrak{a}^e := f_*(\mathfrak{a}) := Bf(\mathfrak{a})$$

, Which is just the ideal in  $B$  generated by  $f(\mathfrak{a})$   
For an ideal  $\mathfrak{b} \subset B$ . We define the **contraction** of  $\mathfrak{b}$  via  $f$  to be the ideal

$$\mathfrak{b}^c := f^*(\mathfrak{b}) := f^{-1}(\mathfrak{b})$$

Properties: Let  $f : A \rightarrow B$  be a ring homomorphism ,  $\mathfrak{a} \subset A$   $\mathfrak{b} \subset B$  ideals. Then :

- (i)  $\mathfrak{a} \subset f^*f_*(\mathfrak{a}) = \mathfrak{a}^{ec}$ ,  $\mathfrak{b} \supset f_*f^*(\mathfrak{b}) = \mathfrak{b}^{ce}$ .
- (ii)  $f^*(\mathfrak{b}) = f^*f_*f^*(\mathfrak{b})$ ,  $f_*(\mathfrak{a}) = f_*f^*f_*(\mathfrak{a})$ .
- (iii) Denote by  $C$  the set of contracted ideals in  $A$  and by  $E$  the set of extended ideals in  $B$ , then

$$C = \{\mathfrak{a} \subset A | f^*f_*(\mathfrak{a}) = \mathfrak{a}\},$$

$$E = \{\mathfrak{b} \subset B | f_*f^*(\mathfrak{b}) = \mathfrak{b}\}.$$

And  $f_* : C \rightarrow E$  is a bijection with inverse  $f^*$ .

*Proof.* For (i),  $\mathfrak{a} \subset f^{-1}f(\mathfrak{a}) \subset f^{-1}f_*(\mathfrak{a}) = f^*f_*(\mathfrak{a})$ . For (ii)  $\mathfrak{b} \supset f(f^{-1}(\mathfrak{b}))$  and  $\mathfrak{b}$  is an ideal so  $\mathfrak{b} \supset f_*f^*(\mathfrak{b})$ . Part (iii) is left as an exercise.  $\square$

## 2 Modules

### 2.1 Lecture 3. Modules, Exact sequences by Professor Kowalski

Outline of this chapter

- Definition examples and Nakayama's Lemma
- exact sequences , snake lemma
- tensor products
- Algebra over a ring

Roughly speaking, module is “vector spaces for rings”. It is closely related to fibre bundles in geometry. For the convention, we still fix commutative ring  $\mathcal{A}$  with unit.

**Definition 2.1.** A **module**  $M$  over  $\mathcal{A}$  is an Abelian group with a linear action of  $\mathcal{A}$  on  $M$ , i.e.

$$\begin{aligned}\mathcal{A} \times M &\rightarrow M \\ (a, x) &\mapsto ax\end{aligned}$$

so that

$$\begin{aligned}a(x + y) &= ax + ay \\ (a + b)x &= ax + bx \\ a(bx) &= abx \\ 1x &= x\end{aligned}$$

**Example 2.2.** 1.  $\{0\}$  is an  $\mathcal{A}$ -module

2. if  $\mathcal{A}$  is a field  $\mathcal{A}$ -module is just  $\mathcal{A}$ -vector space.

3.  $I \subset \mathcal{A}$  ideal; then  $I$  is an  $\mathcal{A}$ -module (a submodule of  $\mathcal{A}$ )

4.  $\mathcal{A} = \mathbb{Z}$ , an  $\mathcal{A}$ -module is an abelian group.

**Definition 2.3.**  $M$  and  $N$  are  $\mathcal{A}$ -modules  $f : M \rightarrow N$  is  **$\mathcal{A}$ -linear** if  $f(ax + by) = af(x) + bf(y)$ . The set of such  $\rho : M \rightarrow N$  is denoted  $\text{Hom}_{\mathcal{A}}(M, N)$ . It is an  $\mathcal{A}$ -module with

$$\begin{aligned}(f + g)(x) &= f(x) + g(x), \\ (af)(x) &= af(x).\end{aligned}$$

If  $Q \xrightarrow{h} M \xrightarrow{f} N \xrightarrow{g} P$ , then  $g \circ f \in \text{Hom}_{\mathcal{A}}(M, P)$  and  $g \circ (f \circ h) = (g \circ f) \circ h$ . Also,  $\text{id}_M \in \text{Hom}_{\mathcal{A}}(M, M)$ . In other word,  $\mathcal{A}$ -module is a category.

**Definition 2.4.**  $f : M \rightarrow N$  is an **isomorphism** iff  $\exists g : N \rightarrow M$  s.t.  $g \circ f = \text{id}_M$  and  $f \circ g = \text{id}_N$ .

**Remark 2.5.**  $Q \rightarrow (h)M \rightarrow (f)N \rightarrow (g)P$ , then for any  $P$ , we get

$$\begin{aligned}f^* : \text{Hom}_{\mathcal{A}}(M, P) &\rightarrow \text{Hom}_{\mathcal{A}}(M, P) \\ g &\mapsto g \circ f\end{aligned}$$

and

$$\begin{aligned} f_* : \text{Hom}_{\mathcal{A}}(Q, M) &\rightarrow \text{Hom}_{\mathcal{A}}(Q, N) \\ h &\mapsto f \circ h \end{aligned}$$

They are  $\mathcal{A}$ -linear, because for example

$$\begin{aligned} (f^*(ah + bg))(x) &= ((ah + bg) \circ f)(x) \\ &= (ah + bg)(f(x)) \\ &= ah(f(x)) + bg(f(x)) \\ &= (af^*(h) + bf^*(g))(x). \end{aligned}$$

**Remark 2.6.** Suppose  $M$  is an  $\mathcal{A}$ -module and  $N \subset M$  as submodule, then  $M/N$  has the structure of  $\mathcal{A}$ -module such that the canonical projection  $\pi : M \rightarrow M/N$  is  $\mathcal{A}$ -linear.  $a(x + N) = ax + N$  is well defined because  $aN \subset N$ .

**Definition 2.7.**  $f : M \rightarrow N$  is a morphism of  $\mathcal{A}$ -modules.

- $\text{Ker}(f) = f^{-1}(\{0\}) \subset M$  is a submodule of  $M$ .
- $\text{Im}(f) = f(M) \subset N$  is a submodule of  $N$ .
- $\text{Coker}(f) = N/\text{Im}(f)$  is an  $\mathcal{A}$ -module.

**Remark 2.8.** 1.  $\text{ker}(f) = 0 \iff f$  is injective.

2.  $\text{coker}(f) = 0 \iff f$  is surjective.

3. if  $f : M \rightarrow N$  and  $M' \subset \text{ker}(f)$ , then we get an induced linear map  $\bar{f}$ , s.t the diagram

$$\begin{array}{ccc} M & \xrightarrow{f} & N \\ \downarrow \pi & \nearrow \bar{f} & \\ M/M' & & \end{array}$$

commutes. It properly defined by  $\bar{f}(x + M') = f(x)$  since  $f(M') = \{0\}$   
Then we have

$$\text{Im}(\bar{f}) = \text{Im}(f),$$

and

$$\text{Ker}(\bar{f}) = \text{Ker}(f)/M'.$$

In particular, if  $M' = \text{Ker}(f)$ , we get an isomorphism

$$M/\text{Ker}(f) \xrightarrow{\bar{f}} \text{Im}(f).$$

If  $M$  is an  $\mathcal{A}$ -module and  $(M_i)_{i \in I}$  a family of submodules then  $\cap_{i \in I} M_i$  is a submodule. If  $X \subset M$  be a subset then the intersection of all submodules containing  $X$  is a submodule containing  $X$ , called the submodule generated by  $X$ , denote it by  $\langle X \rangle$ . One checks that

$$\begin{aligned}\langle X \rangle &= \{\text{linear combination of elements of } X\} \\ &= \left\{ \sum_i a_i x_i \mid K \geq 0\mathbb{Z}, a_i \in \mathcal{A}, x_i \in X \right\}\end{aligned}$$

We write

$$\sum_{i \in I} M_i = \langle \cup_{i \in I} M_i \rangle$$

**Definition 2.9.** If  $M$  satisfies  $M = \langle X \rangle$  with  $X$  finite, then  $M$  is called *finitely generated*.

Warning: A submodule of a finitely generated module is not necessarily finitely generated.

**Example 2.10.**

$$A = \mathbb{C}[X_1, \dots, X_n, \dots].$$

$A$  is finitely generated by 1 however, the ideal  $I = (X_1, \dots, X_n, \dots)$  is not finitely generated

**Lemma 2.11.**

1.  $L \supset M \supset N$  are  $\mathcal{A}$ -modules, then there is an isomorphism

$$(L/N)/(M/N) \rightarrow L/M$$

$$(x + N) + M/N \mapsto x + M$$

Rigorously:  $\pi : L \rightarrow L/M$  is surjective

$\implies \bar{\pi} : L/N \rightarrow L/M$  is surjective

and  $\text{Ker}(\bar{\pi}) = M/N$  so

$$(L/N)/(M/N) \cong \text{Im}(\bar{\pi}),$$

by Remark 2.8.

2.  $(M_1 + M_2)/M_2 \cong M_1/(M_1 \cap M_2)$

**Definition 2.12.**  $I \subset A$  ideal;  $M$  module  $IM = \langle \{ax | a \in I, x \in M\} \rangle \subset M$  as a submodule.

$M/IM$  is naturally an  $\mathcal{A}/I$ -module.

**Definition 2.13.**  $(M_i)_{i \in I}$  is a family of  $\mathcal{A}$ -modules

1.  $\prod_{i \in I} M_i$  is an  $\mathcal{A}$ -module with  $a(x_i) = (ax_i)$ .
2.  $\oplus_{i \in I} M_i \subset \prod_{i \in I} M_i$  is the submodule of  $(x_i)_{i \in I}$  s.t.  $x_i = 0$  for all but finitely many  $i \in I$ .

Cartesian product and direct product are the same when there only finitely many summand. If  $M_i = M, \forall i \in I$ , we denote  $M^{(I)} := \oplus_i M_i$ . When  $I$  is finite, we denote it by  $M^I$ .

**Definition 2.14.** An  $\mathcal{A}$ -module  $M$  is called **free** if there exists a set  $I$  s.t.  $M$  is isomorphic to  $\mathcal{A}^{(I)}$ .

**Example 2.15.**

1. if  $\mathcal{A}$  is a field, then every  $\mathcal{A}$ -module is free.
2.  $\mathcal{A} = \mathbb{Z} : \mathbb{Z}/2\mathbb{Z}$  is not free.
3. **Warning!** A submodule of a free module is not necessarily free. (e.g. ideals in  $\mathcal{A}$ )
4. If  $\mathcal{A} \neq \{0\}$ ,  $n, m \geq 0$  are integer and  $\mathcal{A}^n \cong \mathcal{A}^m$  then  $n = m$ .  $I \subset \mathcal{A}$  maximal ideal, then we get an isomorphism of  $\mathcal{A}/I$ -vector spaces,

$$(\mathcal{A}/I)^n \cong (\mathcal{A}/I)^m \implies n = m.$$

This is called the **invariant basis number property**, all nontrivial commutative ring has the property.

**Proposition 2.16.** (Nakayama's lemma)

$M$  finitely generated  $\mathcal{A}$ -module,  $I \subset$  Jacobson radical of  $\mathcal{A}$ , which is just  $\bigcap_{\mathfrak{m} \subset \mathcal{A}} \mathfrak{m}$ , where  $\mathfrak{m}$  are maximal ideals in  $\mathcal{A}$ . If  $IM = M$ , then  $M = \{0\}$ . e.g.  $\mathcal{A}$  being a local ring and  $I = \mathfrak{m}$  the only maximal in  $\mathcal{A}$ .

*Proof.* Suppose  $M \neq 0$ , and let  $\{x_1, \dots, x_n\}$  be a generating set with  $n \geq 1$  minimal. Since  $IM = M$ , we have  $x_n \in IM$ , so

$$x_n = \sum_{i=1}^k a_i y_i, y_i \in M, a_i \in I$$

where  $y_i = \sum_j b_{ij} x_j$ . Then we have

$$x_n = \sum_{j=1}^n c_j x_j$$

$$c_j = \sum_i a_i b_{ij} \in I$$

$$\implies (1 - c_n)x_n = \sum_{j=1}^{n-1} c_j x_j$$

and  $(1 - c_n) \equiv 1 \pmod{I} \implies c_n \in \text{the Jacobson radical}$ , then  $1 - c_n$  is invertible by Proposition 1.17.

$$x_n = (1 - c_n)^{-1} \sum_{j=1}^{n-1} c_j x_j,$$

which contradict the minimality of the generating set.  $\square$

**Corollary 2.17.**  *$M$  fin. gen.  $\mathcal{A}$ -module,  $I \subset \text{Jacobson radical}$ ,  $N \subset M$ . If  $M = IM + N$ , then  $M = N$ .*

*Proof.*  $I(M/N) = (IM + N)/N = (M/N)$ , then by Nakayama's lemma we know

$$M/N = 0.$$

$\square$

**Corollary 2.18.**  *$\mathcal{A}$  local ring,  $\mathfrak{m} \subset \mathcal{A}$  the maximal ideal.  $M$  fin. gen. Then if  $(x_1, \dots, x_n) \in M$  are such that their classes modulo  $\mathfrak{m}$  form a basis of  $M/\mathfrak{m}M$  as  $\mathcal{A}/\mathfrak{m}$ -vector space, then they generate  $M$ .*

*Proof.*  $N = \langle x_1, \dots, x_n \rangle$  and apply Nakayama's lemma.  $\square$

## Exact sequence

**Definition 2.19.** (1)  $M' \rightarrow (f)M \rightarrow (g)M''$  is **exact** if  $\text{Im}(f) = \ker(g)$   
(2)  $M' \rightarrow (f_1)M \rightarrow (f_2)M'' \rightarrow \dots$  is **exact** if it is exact at each node.

**Example 2.20.**

1.  $0 \rightarrow M \xrightarrow{g} M''$  is exact, is equivalent to say that  $g$  is injective
2.  $M' \xrightarrow{f} M \rightarrow 0$  is exact, it is equivalent to say that  $f$  is surjective.
3. "Short exact sequence"  $0 \rightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0$  For instance,

$$\begin{array}{ccccccc} 0 & \longrightarrow & M' & \xrightarrow{f} & M' \oplus M'' & \xrightarrow{g} & M'' \longrightarrow 0 \\ & & x & \longmapsto & (x, 0) & & \\ & & & & (x, y) & \longmapsto & y \end{array}$$

the splitting sequence is exact. In fact short exact sequence of free modules always splits.

4.  $\mathcal{A} = \mathbb{Z}$ , for non-free modules, for example

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{Z}/2\mathbb{Z} & \longrightarrow & \mathbb{Z}/4\mathbb{Z} & \longrightarrow & \mathbb{Z}/w\mathbb{Z} \longrightarrow 0 \\ & & x & \longmapsto & 2x & & \\ & & & & x & \longmapsto & x \bmod 2 \end{array}$$

the exact sequence does not split.

## 2.2 Lecture 4. Snake Lemma, Tensor Product by Professor Kowalski

**Proposition 2.21.** (Snake Lemma) Suppose we have such a commutative diagram, each row is exact,

$$\begin{array}{ccccccc} 0 & \longrightarrow & M' & \longrightarrow & M & \longrightarrow & M'' \longrightarrow 0 \\ & & \downarrow f' & & \downarrow f & & \downarrow f'' \\ 0 & \longrightarrow & N' & \longrightarrow & N & \longrightarrow & N'' \longrightarrow 0 \end{array}$$

then we have a map  $\delta : \text{Ker}(f'') \rightarrow \text{Coker}(f')$  s.t.

$0 \rightarrow \text{Ker}(f') \rightarrow \text{Ker}(f) \rightarrow \text{Ker}(f'') \xrightarrow{\delta} \text{Coker}(f') \rightarrow \text{Coker}(f) \rightarrow \text{Coker}(f'') \rightarrow 0$   
is exact.



*Proof.* Consider the kernels and cokernels with the induced map between them. For notion consideration, we write  $Ker(f')$  as  $K'$  and  $Coker(f')$  as  $C'$  and so on. We have the extended commutative diagram:

$$\begin{array}{ccccccc}
0 & \longrightarrow & K' & \xrightarrow{\hat{u}} & K & \xrightarrow{\hat{v}} & K'' \\
& & \downarrow k' & & \downarrow k & & \downarrow k'' \\
0 & \longrightarrow & M' & \xrightarrow{u} & M & \xrightarrow{v} & M'' \longrightarrow 0 \\
& & \downarrow f' & & \downarrow f & & \downarrow f'' \\
0 & \longrightarrow & N' & \xrightarrow{u'} & N & \xrightarrow{v'} & N'' \longrightarrow 0 \\
& & \downarrow q' & & \downarrow q & & \downarrow q'' \\
& & C' & \xrightarrow{\bar{u}} & C & \xrightarrow{\bar{v}} & C'' \longrightarrow 0,
\end{array}$$

where the maps  $k', k, k''$  are inclusion of the kernels as submodules and  $q', q, q''$  are canonical projections, hence each column become exact now.  $\bar{u}, \bar{v}$  are the morphism induced on quotient modules while  $\hat{u}, \hat{v}$  are restrictions of  $u, v$  on submodules. One can check the induced maps on Cokernels are well defined, for example, for  $\bar{v}$  to be well defined, because  $q'' \circ v' \circ f = q'' \circ f'' \circ v = 0$ , thus  $Im(f) \subset Ker(q'' \circ v')$ . One can also check that the above diagram is commutative. For example  $x \in K'$ , we have  $f(\hat{u}(x)) = f(u(x)) = u'(f'(x)) = 0 \implies \hat{u}(x) \in K$ , then we have  $u \circ k' = k \circ \hat{u}$ .

1. Exactness at  $K'$

We already know  $\hat{u} = u|_{Ker(f')}$ ,  $u$  injective implies that  $\hat{u}$  is injective.

2. Exactness at  $K$

We easily check that  $Im(\hat{u}) \subset Ker(\hat{v})$ , because  $k'' \circ \hat{v} \circ \hat{u} = v \circ u \circ k' = 0$ , by the fact  $k''$  is injective, we know  $\hat{v} \circ \hat{u} = 0$ . For the converse inclusion, if  $x \in Ker(\hat{v}) = Ker(v) \cap Ker(f)$ , then  $x \in Im(u) \cap Ker(f)$ .  $\exists y \in M'$  s.t.  $u(y) = x \implies f(u(y)) = 0 \implies u'(f'(y)) = 0$ . Then because  $u'$  is injective,  $f'(y) = 0 \implies y \in K' \implies x = \hat{u}(y)$ . Then we conclude  $Ker(\hat{v}) \subset Im(\hat{u})$ , thus  $Ker(\hat{v}) = Im(\hat{u})$ .

3. Exactness at  $C''$

$q'' \circ v' = \bar{v} \circ q$ ,  $q'', v', q$  are all surjective, then we conclude that  $\bar{v}$  has to be surjective.

4. Exactness at  $C$

We easily verify that  $\bar{v} \circ \bar{u} = 0$ , i.e.  $\bar{v} \circ \bar{u} \circ q' = q'' \circ v' \circ u' = 0$  and

$q'$  is surjective  $\implies \bar{v} \circ \bar{u} = 0$ . For the converse inclusion, we choose  $x + \text{Im}(f) \in \text{Ker}(\bar{v})$ , where  $x \in N$ .  $\bar{v}(x + \text{Im}(f)) = 0 = q'' \circ v'(x)$ .  $v'(x) \in \text{Ker}(q'') = \text{Im}(f'')$ .  $\exists y \in M''$  s.t.  $f''(y) = v'(x)$ . On the other hand,  $v$  is surjective  $\implies \exists z \in M$  s.t.  $v(z) = y$ . Then, we have  $f''(v(z)) = v'(x) = v'(f(z))$ . Then we choose  $\tilde{x} = x - f(z)$ ,  $\implies x + \text{Im}(f) = \tilde{x} + \text{Im}(f)$  &  $v'(\tilde{x}) = 0$ . Then there exists  $w \in N'$  s.t.  $u'(w) = \tilde{x}$ . Then, we check that  $q \circ u'(w) = q(\tilde{x}) = \tilde{x} + \text{Im}(f)$ , thus  $\bar{u}(q(w)) = \tilde{x} + \text{Im}(f) \implies \bar{u}(w + \text{Im}(f')) = x + \text{Im}(f)$ . Then we conclude  $\text{Ker}(\bar{v}) \subset \text{Im}(\bar{u})$ .

5. Construct  $\delta$

$$\begin{array}{ccccccc}
0 & \longrightarrow & K' & \xrightarrow{\hat{u}} & K & \xrightarrow{\hat{v}} & K'' \\
& & \downarrow k' & & \downarrow k & & \downarrow k'' \\
0 & \longrightarrow & M' & \xrightarrow{u} & M & \xrightarrow{v} & M'' \longrightarrow 0 \\
& & \downarrow f' & & \downarrow f & & \downarrow f'' \\
0 & \longrightarrow & N' & \xrightarrow{u'} & N & \xrightarrow{v'} & N'' \longrightarrow 0 \\
& & \downarrow q' & & \downarrow q & & \downarrow q'' \\
& & C' & \xrightarrow{\bar{u}} & C & \xrightarrow{\bar{v}} & C'' \longrightarrow 0,
\end{array}$$

$\delta$

For an element  $x \in K''$ ,  $k''(x) = x \in M''$  and  $f''(x) = 0$ .  $\because v$  is surjective,  $\therefore \exists y \in M$  s.t.  $v(y) = x$ . Then  $f''(x) = f''(v(y)) = v'(f(y)) = 0 \implies f(y) \in \text{Ker}(v') = \text{Im}(u')$ . Therefore, there exists  $z \in N'$  s.t.  $u'(z) = f(y)$ . The choice of  $z$  is unique once we fix  $y$ , because  $u'$  is injective. **We define**  $\delta : K'' \longrightarrow C', x \mapsto [z] = z + \text{Im}(f')$ . For  $\delta$  to be well defined, it can not depend on the choice of  $y$  and  $z$ . Choose another  $\tilde{y} \in M$  and corresponding  $\tilde{z} \in N'$  s.t.  $v(\tilde{y}) = x$  and  $u'(\tilde{z}) = f(\tilde{y})$ . We have  $v(\tilde{y} - y) = 0$ ,  $\exists w \in M'$  s.t.  $u(w) = \tilde{y} - y$ . Then  $f(u(w)) = u'(f'(w)) = f(\tilde{y} - y) = f(\tilde{y}) - f(y)$ . Then we have  $u'(\tilde{z}) - u'(z) = u'(f'(w))$ . Since  $u'$  is injective, we have  $\tilde{z} = z + f'(w)$ , thus  $\tilde{z} + \text{Im}(f') = z + \text{Im}(f')$ . Then we conclude that  $\delta$  is well defined.

6. Exactness at  $K''$

For  $x \in K$ , we formally write

$$\begin{aligned}
\delta(\hat{v}(x)) &= u'^{-1}(f(v^{-1}(k''(\hat{v}(x)))) + \text{Im}(f')) \\
&= u'^{-1}(f(v^{-1}(v(k(x)))) + \text{Im}(f')) \\
&= u'^{-1}(f(k(x))) + \text{Im}(f') \\
&= 0 \text{ because } f \circ k = 0. \\
&\implies \text{Im}(\hat{v}) \subset \text{Ker}(\delta)
\end{aligned}$$

For the converse inclusion.  $\forall x \in \text{Ker}(\delta)$ , we trace back to the construction of  $\delta$ , and select the corresponding  $y \in M$ ,  $z \in N'$ , where  $v(y) = x$  and  $u'(z) = f(y)$ .  $\because x \in \text{Ker}(\delta), \therefore z \in \text{Im}(f')$ .  $\implies \exists w \in M'$  s.t.  $f'(w) = z$ . Then we choose another  $\tilde{y} = y - u(w)$ , one verifies that  $v(\tilde{y}) = v(y) - v(u(w)) = v(y) = x$ . (this is legal, because we know  $\delta$  does not depend on the choice of  $y$ ) Also, we know  $f(\tilde{y}) = f(y) - f(u(w)) = f(y) - u'(f'(w)) = f(y) - u'(z) = 0$ . Then we know  $\tilde{y} \in \text{Ker}(f) = K$ , we conclude that  $\hat{v}(\tilde{y}) = x$ , thus  $\text{Ker}(\delta) \subset \text{Im}(\hat{v})$ .

7. Exactness at  $C'$

For  $x \in K''$ , we formally write

$$\begin{aligned}
\bar{u}(\delta(x)) &= \bar{u}(u'^{-1}(f(v^{-1}(k''(x)))) + \text{Im}(f')) \\
&= (q \circ u')(u'^{-1}(f(v^{-1}(k''(x)))) \\
&= q(0 + f(v^{-1}(k''(x)))) \\
&= 0 \\
&\implies \text{Im}(\delta) \subset \text{Ker}(\bar{u})
\end{aligned}$$

For the converse inclusion, we choose an element  $z + \text{Im}(f') \in \text{Ker}(\bar{u})$ . Then  $\bar{u}(z + \text{Im}(f')) = q \circ u'(z) = 0$ , then we have  $\exists y \in M$  s.t.  $u'(z) = f(y)$ . Also we have  $v'(u'(z)) = v'(f(y)) = 0, \implies f''(v(y)) = 0$ .  $v(y) \in \text{Ker}(f'') = K''$ . We can check that  $\delta(v(y)) = z + \text{Im}(f')$ . Hence, we conclude that  $\text{Ker}(\bar{u}) \subset \text{Im}(\delta)$ .

□

**Example 2.22.** (*Application of snake lemma*) We have such a commutative diagram, each row is exact. Suppose the middle map is isomorphism.

$$\begin{array}{ccccccc}
0 & \longrightarrow & M' & \longrightarrow & M & \longrightarrow & M'' \longrightarrow 0 \\
& & \downarrow f' & & \downarrow f & & \downarrow f'' \\
0 & \longrightarrow & N' & \longrightarrow & N & \longrightarrow & N'' \longrightarrow 0
\end{array}$$

then we have a map  $\delta : Ker(f'') \longrightarrow Coker(f')$  s.t.

$$0 \longrightarrow Ker(f') \longrightarrow \{0\} \rightarrow Ker(f'') \xrightarrow{\delta} Coker(f') \longrightarrow \{0\} \longrightarrow Coker(f'') \longrightarrow 0$$

is exact. Thus we get  $\delta : Ker(f'') \longrightarrow Coker(f')$  is an isomorphism.

**Proposition 2.23.**

If  $0 \longrightarrow M' \xrightarrow{u} M \xrightarrow{v} M'' \longrightarrow 0$  is exact, then for any  $\mathcal{A}$ -module  $N$ ,

$$\begin{array}{ccccccc} 0 & \longrightarrow & Hom_{\mathcal{A}}(M'', N) & \xrightarrow{v^*} & Hom_{\mathcal{A}}(M, N) & \xrightarrow{u^*} & Hom_{\mathcal{A}}(M', N) \\ & & f & \longmapsto & f \circ v & & \\ & & & & g & \longmapsto & g \circ u \end{array} \quad (*)$$

is exact, in general  $u^*$  is not surjective. Also,

$$\begin{array}{ccccccc} Hom_{\mathcal{A}}(N, M'') & \xrightarrow{u_*} & Hom_{\mathcal{A}}(N, M) & \xrightarrow{v_*} & Hom_{\mathcal{A}}(N, M') & \longrightarrow & 0 \\ & & f & \longmapsto & u \circ f & & \\ & & & & g & \longmapsto & v \circ g \end{array} \quad (**)$$

is exact but  $u_*$  is in general not always injective.

More precisely, we have **right exactness of functor**  $Hom(\_, N)$ :

$$M' \xrightarrow{u} M \xrightarrow{v} M'' \longrightarrow 0 \text{ is exact} \iff (*) \text{ is exact for all } N$$

and **Left exactness of functor**  $Hom(N, \_)$ :

$$0 \longrightarrow M' \xrightarrow{u} M \xrightarrow{v} M'' \text{ is exact} \iff (**) \text{ is exact for all } N.$$

*Proof.* For “ $\implies$ ” part of the first statement, we assume  $M' \xrightarrow{u} M \xrightarrow{v} M'' \longrightarrow 0$  is exact. Let  $N$  be  $\mathcal{A}$ -module, then we check that:

$$1. \ u^* \circ v^* = 0$$

$$\text{Let } f : M'' \longrightarrow N, (u^* \circ v^*)(f) = f \circ v \circ u = f \circ (v \circ u) = 0$$

$$2. \ v^* \text{ is injective}$$

$$\begin{aligned} \text{Let } f : M'' \longrightarrow N \text{ be such that } v^*(f) = f \circ v = 0 &\implies f(Im(v)) = 0 \\ &\implies f = 0 \text{ because } v \text{ is surjective.} \end{aligned}$$

3.  $\text{Ker}(u^*) \subset \text{Im}(v^*)$

Let  $f : M \rightarrow N$  be such that  $u^*(f) = f \circ u = 0$ . Then  $f(\text{Im}(u)) = 0$  so  $f(\text{Ker}(v)) = 0$ , so there is  $\bar{f} : M/\text{Ker}(v) \rightarrow N$  s.t.  $\bar{f} \circ p = f$ .

$$\begin{array}{ccc} M & \xrightarrow{f} & N \\ \downarrow p & \nearrow \bar{f} & \\ M/\text{Ker}(v) & & \end{array}$$

We know that  $v$  induces an isomorphism

$$\begin{array}{ccccc} \text{Im}(v) = M'' & \xleftarrow{v} & M & \xrightarrow{f} & N \\ & \nwarrow \bar{v} & \downarrow p & \nearrow \bar{f} & \\ & & M/\text{Ker}(v) & & \end{array}$$

$\bar{v}^{-1}$  (curved arrow from  $M/\text{Ker}(v)$  to  $M''$ )

Let  $f' = \bar{f} \circ \bar{v}^{-1} \in \text{Hom}(M'', N)$ , we compute  $v^*(f') = f' \circ v = \bar{f} \circ \bar{v}^{-1} \circ v = \bar{f} \circ p = f$  thus  $f \in \text{Im}(v^*)$

We then give an example where the surjectivity of  $u^*$  fails

Consider  $\mathcal{A} = \mathbb{Z}$ ,  $0 \rightarrow \mathbb{Z} \xrightarrow{\times 2} \mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0$  is exact.

$$\begin{aligned} v^* : \text{Hom}(\mathbb{Z}, N) &\rightarrow \text{Hom}(\mathbb{Z}, N) \\ f &\mapsto f \circ (\times 2) \end{aligned}$$

is not surjective if  $N = \mathbb{Z}$ , because  $f = \text{Id}_{\mathbb{Z}}$ , we want to find a map  $g$  such that the following diagram commutes,

$$\begin{array}{ccccc} 0 & \longrightarrow & \mathbb{Z} & \xrightarrow{\times 2} & \mathbb{Z} \\ & & \downarrow \text{Id} & \nearrow ?g & \\ & & \mathbb{Z} & & \end{array}$$

but there is no  $g$  such that  $g \circ (\times 2) = \text{Id}_{\mathbb{Z}}$  because every morphism in  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z})$  is of the form  $\times q$ , where  $q \in \mathbb{Z}$ .

Conversely, for the “ $\Leftarrow$ ” part of the first statement, assume  $(*)$  is always exact. We want to show that  $M' \xrightarrow{u} M \xrightarrow{v} M'' \rightarrow 0$  is exact,

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Hom}_{\mathcal{A}}(M'', N) & \xrightarrow{v^*} & \text{Hom}_{\mathcal{A}}(M, N) & \xrightarrow{u^*} & \text{Hom}_{\mathcal{A}}(M', N) \\ & & f & \longmapsto & f \circ v & & \\ & & & & g & \longmapsto & g \circ u \end{array}$$

1. Let  $N = \text{Coker}(v)$  and  $[p : M'' \longrightarrow \text{Coker}(v)] \in \text{Hom}(M'', N)$ , then  $v^*(p) = p \circ v = 0$ . Since  $v^*$  is injective, we have  $p = 0$ , in other words  $M'' = \text{Ker}(p) = \text{Im}(v)$  so  $v$  is surjective.
2. Take  $N = M''$  and  $f = \text{Id}_{M''}$ ,  $(u^* \circ v^*)(f) = 0$  means  $\text{Id}_{M''} \circ v \circ u = 0 \implies v \circ u = 0$ , hence  $\text{Im}(u) \subset \text{Ker}(v)$ .
3. Take  $N = M/\text{Im}(u)$ , and  $p : M \longrightarrow N$  projection, we have  $u^*(p) = p \circ u = 0$ . So  $p \in \text{Ker}(u^*)$ , so there exists  $f \in \text{Hom}(M'', N)$  s.t.  $v^*(f) = f \circ v = p$ .

$$\begin{array}{ccc}
 M' & \xrightarrow{f} & N = M/\text{Im}(u) \\
 \uparrow v & \nearrow p & \\
 M & & 
 \end{array}$$

Hence  $\text{Ker}(v) \subset \text{Ker}(p)$  and  $\text{Ker}(v) \subset \text{Im}(u)$ , then we can conclude that  $\text{Ker}(v) = \text{Im}(u)$ .

The above steps proves the first statement and proof of the second statement is similar.  $\square$

## Tensor Product

**Definition 2.24.**  $M, N, P$  are  $\mathcal{A}$ -modules, A map  $f : M \times N \longrightarrow P$  is called  **$\mathcal{A}$ -bilinear** if

$$f(ax + by, z) = af(x, z) + bf(y, z)$$

$$f(x, ay + bz) = af(x, y) + bf(x, z)$$

$$\text{Bil}_{\mathcal{A}}(M, N, P) = \{ \text{all } \mathcal{A}\text{-bilinear maps form } M \times N \text{ to } P \}.$$

$\text{Bil}_{\mathcal{A}}(M, N, P)$  is an  $\mathcal{A}$ -module.

**Definition 2.25.**  $M, N$  are  $\mathcal{A}$ -modules and the **tensor product** gives an  $\mathcal{A}$ -module  $M \otimes_{\mathcal{A}} N$  such that  $\text{Bil}_{\mathcal{A}}(M, N; P) = \text{Hom}_{\mathcal{A}}(M \otimes_{\mathcal{A}} N, P)$ .  $\text{Bil}_{\mathcal{A}}(M, N; P)$  is obviously an  $\mathcal{A}$ -module, with sum and scalar multiplication performed value-wise.

**Theorem 2.26.**  $M, N$  are  $\mathcal{A}$ -modules. There exists a pair  $(T, \beta)$  where  $T$  is an  $\mathcal{A}$ -module and  $\beta : M \times N \longrightarrow T$  s.t. any  $\mathcal{A}$ -bilinear map  $b : M \times N \longrightarrow P$

factors through  $(T, \beta)$ , i.e. there exists a unique  $f : T \rightarrow P$  s.t. the following diagram commutes.

$$\begin{array}{ccc} M \times N & \xrightarrow{b} & P \\ \downarrow \beta & \nearrow \exists! f & \\ T & & \end{array}$$

This is what we call **universal property**. One can check that if it exists, it is unique.

### 2.3 Lecture 5. Properties of Tensor Product

The motivation of tensor product is to “classify” bilinear/multilinear maps between modules over some ring  $\mathcal{A}$ .

**Definition/Theorem 2.27.**  *$M$  and  $N$  are  $\mathcal{A}$ -modules, **there exists a best possible bilinear map**  $M \times N \rightarrow M \otimes N$ . That is to say : there exists a module  $T$  (denoted  $M \otimes N$  or  $M \otimes_{\mathcal{A}} N$ ) and a bilinear map  $f : M \times N \rightarrow T$ . By “best possible”, we mean: For all module  $P$  and all bilinear map  $b : M \times N \rightarrow P$ , there exists a unique  $\tilde{b} : T \rightarrow P$  s.t. the following diagram commutes.*

$$\begin{array}{ccc} M \times N & \xrightarrow{b} & P \\ \downarrow f & \nearrow \exists! \tilde{b} & \\ T & & \end{array}$$

What’s more  $(T, f)$  is **strongly unique** which means **it is unique up to unique isomorphism**

$$\begin{array}{ccc} M \times N & \xrightarrow{f'} & T' \\ \downarrow f & \nearrow \exists! k & \\ T & \xleftarrow{\exists! j} & \end{array}$$

*Proof.* **Uniqueness**

The uniqueness is just the direct result of universal property. By definition,  $f$  is bilinear. Apply the universal property with  $P = T'$ ,  $b = f'$ , then we know  $j := \tilde{b} : T \rightarrow T'$ . Similarly, we can construct  $k$  by swapping  $T, T'$ .

Consider  $k \circ j : T \rightarrow T$ , apply the universal property with  $P := T$ ,  $b := f$

$$\begin{array}{ccc} M \times N & \xrightarrow{f} & T \\ \downarrow f & \nearrow \exists! \tilde{b} & \\ T & & \end{array}$$

We know  $\exists! \tilde{b}$  s.t. the diagram commutes. Then we have  $\tilde{b} \circ f = f$ , but another obvious map having this property is just  $id_T$ . Then, we get to the conclusion  $k \circ j = id_T$  by the uniqueness of  $\tilde{b}$ . Similarly, we get  $j \circ k = id_T$ . Altogether, we conclude that  $(T, f)$  is unique up to unique isomorphism.

### Existence

Form the free module  $C := \mathcal{A}^{M \times N}$ , where

$$\mathcal{A}^{(M \times N)} = \left\{ \sum_{(x,y) \in M \times N} a_{(x,y)}(x, y) \left| a_{(x,y)} \in \mathcal{A}, \text{ almost all } a_{(x,y)} = 0 \right. \right\}.$$

We'd better mention the universal property of the free module  $\mathcal{A}^{(M \times N)}$ , every map  $q : M \times N \rightarrow P$  can be extended to  $\tilde{q} : \mathcal{A}^{(M \times N)} \rightarrow P$

Let submodule  $D \subseteq C$ , then there is an induced map  $\bar{g} : M \times N \rightarrow C/D$  for defining map  $g : M \times N \rightarrow C$  of the free module. Then we consider a certain submodule  $D$  with the following two equivalent definitions

- $D$  is the smallest submodule for which all the induced map  $\bar{g} : M \times N \rightarrow C/D$  is bilinear.
- $D$  is the submodule generated by the following elements

$$\left\{ \begin{array}{l} (x + x', y) - (x, y) - (x', y) \\ (x, y + y') - (x, y) - (x, y') \\ a(x, y) - (ax, y) \\ a(x, y) - (x, ay) \end{array} \right| \forall a \in \mathcal{A}, \forall x, x' \in M, \forall y, y' \in N \right\}$$

The equivalence of two definition can be explained by the definition of “bilinear maps”.

We want to show that  $C/D$  is what we are looking for. First, we claim, for all bilinear map  $b : M \times N \rightarrow P$ ,  $Ker(\tilde{b}) \supseteq D$ .



The proof is to just check it by hand, e.g.

$$\begin{aligned}
& \tilde{b}((x + x', y) - (x, y) - (x', y)) \\
&= \tilde{b}((x + x', y)) - \tilde{b}((x, y)) - \tilde{b}((x', y)) \\
&= b(x + x', y) - b(x, y) - b(x', y) \\
&= 0 \text{ (by } b \text{ is bilinear)}
\end{aligned}$$

The characterization of  $\tilde{b}$  determines its restriction of  $g(M \times N) \subseteq T$ . Clear by construction that  $g(M \times N)$  generates  $T$ . We get the conclusion that  $\bar{g} : M \times N \rightarrow C/D = T$ .  $\square$

Also note that, in general

$$S := \{m \otimes n \mid (m, n) \in M \times N\} \neq M \otimes N$$

, e.g.  $\mathbb{Z}^n \otimes \mathbb{Z}/2\mathbb{Z} \cong \mathbb{Z}/2\mathbb{Z}$  but  $S$  generates  $M \otimes N$  as we saw in the proof.

**Example 2.28.** *Natural isomorphisms,  $\exists!$  isomorphisms*

1.  $M \otimes N \cong N \otimes M$
2.  $(M \otimes N) \otimes P \cong M \otimes (N \otimes P)$
3.  $M \otimes (N_1 \oplus N_2) \cong (M \otimes N_1) \oplus (M \otimes N_2)$
4.  $\mathcal{A} \otimes M \cong M$

*Proof.* we prove part 3. Consider a map:

$$\begin{aligned}
b : M \times (N_1 \oplus N_2) &\rightarrow M \otimes N_1 \oplus M \otimes N_2 \\
(m, (n_1, n_2)) &\mapsto (m \otimes n_1, m \otimes n_2).
\end{aligned}$$

We can check that  $b$  is bilinear, for example

$$\begin{aligned}
& b(m + m', (n_1, n_2)) \\
&= ((m + m') \otimes n_1, (m + m') \otimes n_2) \\
&= (m \otimes n_1 + m' \otimes n_1, m \otimes n_2 + m' \otimes n_2) \\
&= (m \otimes n_1, m \otimes n_2) + (m' \otimes n_1, m' \otimes n_2) \\
&= b(m, (n_1, n_2)) + b(m', (n_1, n_2)).
\end{aligned}$$

As a result the bilinear map  $b$  must factor through  $M \otimes (N_1 \oplus N_2)$ , and we denote the corresponding map  $f : M \otimes (N_1 \oplus N_2) \rightarrow M \otimes N_1 \oplus M \otimes N_2$ .

$$f(m \otimes (n_1, n_2)) = (m \otimes n_1, m \otimes n_2).$$

We use the terminology **pure tensor** to name the tensors like  $x \otimes y \in M \otimes N$ , obviously,  $M \otimes N$  is linearly generated by pure tensors. We want to show that  $f$  is an isomorphism. Need to find the inverse map  $g$  of  $f$ .

define

$$\begin{aligned} g_1 : M \otimes N_1 &\longrightarrow M \otimes (N_1 \oplus N_2) \\ (m \otimes n_1) &\longmapsto m \otimes (n_1, 0) \end{aligned}$$

similarly, we can construct

$$\begin{aligned} g_2 : M \otimes N_2 &\longrightarrow M \otimes (N_1 \oplus N_2) \\ (m \otimes n_2) &\longmapsto m \otimes (0, n_2) \end{aligned}$$

Then, we define  $g = g_1 \oplus g_2$ . We want to show  $f \circ g = id, g \circ f = id$ .

$$\begin{aligned} f \circ g(m \otimes n, m' \otimes n_2) &= f(m \otimes (n_1, 0) + m' \otimes (0, n_2)) \\ &= (m \otimes n_1, 0) + (0, m' \otimes n_2) \\ &= (m \otimes n_1, m' \otimes n_2) \end{aligned}$$

Then  $f \circ g = id$  on pure tensors, hence it is identity on all tensors, because  $f \circ g$  is linear, and pure tensor generates the whole tensor product module.  $\square$

Consider  $\mathcal{A}^m = \mathcal{A} \oplus \mathcal{A} \oplus \dots \oplus \mathcal{A}$  (finite free module), by the isomorphism 4 in the above example

$$\begin{aligned} \mathcal{A} \otimes \mathcal{A} &\cong \mathcal{A} \\ x \otimes y &\mapsto xy \end{aligned}$$

also by iterating (3) and (4), we get

$$\mathcal{A}^m \otimes \mathcal{A}^n \cong \mathcal{A}^{mn},$$

compared to the known result

$$\mathcal{A}^m \oplus \mathcal{A}^n \cong \mathcal{A}^{m+n}.$$

More directly, if  $e_1^{(1)}, \dots, e_m^{(1)}$  standard basis for  $\mathcal{A}^m$ ,  $e_1^{(2)}, \dots, e_n^{(2)}$  standard basis for  $\mathcal{A}^n$ , then

$$\left\{ e_i^{(1)} \otimes e_j^{(2)} \mid m \geq i \geq 1, n \geq j \geq 1 \right\}$$

form a basis of  $\mathcal{A}^m \otimes \mathcal{A}^n$  and induces  $\cong \mathcal{A}^{mn}$

To see this directly, consider a bilinear map  $f : \mathcal{A}^m \times \mathcal{A}^n \longrightarrow P$ , where  $P$  is some module.

$$\mathcal{A}^m \ni x = x_1 e_1^{(1)} + \dots + x_m e_m^{(1)}, \quad x_i \in \mathcal{A}$$

$$\mathcal{A}^n \ni y = y_1 e_1^{(1)} + \dots + y_n e_n^{(1)}, \quad y_i \in \mathcal{A}$$

Then

$$f(x, y) = \sum_{\substack{i=1 \dots m \\ j=1 \dots n}} x_i y_j f(e_i^{(1)} \otimes e_j^{(2)}),$$

where we can define  $f(e_i^{(1)} \otimes e_j^{(2)}) =: a_{ij} \in P$ . Generally, given an  $mn$ -tuple  $(a_{ij})$  in  $P$  we may define a bilinear  $f : \mathcal{A}^m \times \mathcal{A}^n \longrightarrow P$  by the above formula.

$$\begin{array}{ccc} (e_i^{(1)}, e_j^{(2)}) & \mapsto & e_i^{(1)} \otimes e_j^{(2)} \\ \mathcal{A}^m \times \mathcal{A}^n & \longrightarrow & \mathcal{A}^{\oplus \{e_i^{(1)} \otimes e_j^{(2)}\}} \\ \downarrow f & \swarrow \exists! \tilde{f} \text{ s.t. } \tilde{f}(e_{ij}) = a_{ij} & \\ P & & \end{array}$$

**Remark 2.29.** More generally, we may define the  $n$ -fold tensor products  $M_1 \otimes \dots \otimes M_n$ .

$$\{\text{multilinear maps } :M_1 \times \dots \times M_n \longrightarrow P\} \leftrightarrow \{\text{linear maps } :M_1 \otimes \dots \otimes M_n \longrightarrow P\}$$

Let  $V = \mathbb{R}^n$ , then

$$\{\text{inner products on } V\} \leftrightarrow \{\text{linear functions on } V \otimes V\}$$

**Remark 2.30. Extension of scalars** Consider a ring morphism  $f : \mathcal{A} \rightarrow \mathcal{B}$  and an  $\mathcal{A}$ -module  $M$ , we can construct a  $\mathcal{B}$ -module

$$M_{\mathcal{B}} := M \otimes_{\mathcal{A}} \mathcal{B},$$

where  $\mathcal{B}$  is regarded as an  $\mathcal{A}$ -module via  $f$ , i.e.  $a \cdot b = f(a)b$ . And the  $\mathcal{B}$  action on  $M_{\mathcal{B}}$  is like  $b \cdot (m \otimes z) := m \otimes bz$

**Example 2.31.**

- $M = \mathcal{A}^m \implies M_{\mathcal{B}} = \mathcal{B}^m$
- $\mathcal{A} = \mathbb{R}, \mathcal{B} = \mathbb{C} \implies (\mathbb{R}^n)_{\mathbb{C}} := (\mathbb{R}^n) \otimes_{\mathbb{R}} \mathbb{C} \cong \mathbb{C}^n$

## 2.4 Lecture 6. Flatness

The meaning of  $x \otimes y$  depends on the modules to which we regard  $x$  and  $y$  are belonging. In fact, one can have  $x \in M' \subseteq M$  and  $y \in N' \subset N$  but

$$M' \otimes N' \ni x \otimes y \neq x \otimes y \in M \otimes N$$

**Example 2.32.**  $\mathcal{A} = \mathbb{Z}$ ,  $M' = 2\mathbb{Z} \subseteq M = \mathbb{Z}$ ,  $N' = \mathbb{Z}/2 = N$ , then  $2\mathbb{Z} \otimes \mathbb{Z}/2\mathbb{Z} \ni 2 \otimes 1 \neq 0$ , but  $\mathbb{Z} \otimes \mathbb{Z}/2\mathbb{Z} \ni 2 \otimes 1 = 0$

In summary, we no  $M' \subset M, N' \subset N$  does not indicate that  $M' \otimes N' \subset M \otimes N$ , which means the simple inclusion is not an injective morphism.

But  $\otimes$  is indeed a **bifunctor**. Given module morphisms

$$\begin{aligned} f : M' &\longrightarrow M \\ g : N' &\longrightarrow N \\ \exists ! f \otimes g : M' \otimes N' &\longrightarrow M \otimes N \\ x \otimes y &\longmapsto f(x) \otimes g(y) \end{aligned}$$

and

$$(f \circ f') \otimes (g \circ g') = (f \otimes g) \circ (f' \otimes g')$$

For example, we always consider the case  $g = 1_N$  with  $N$   $\mathcal{A}$ -module, then each morphism  $f : M' \longrightarrow M$  is mapped to  $f \otimes 1_N : M' \otimes N \longrightarrow M \otimes N$ .

**Definition 2.33.**  $N$  is **flat** if  $\forall f : M' \longrightarrow M$  s.t.

$$f : \text{injective} \implies f \otimes 1_N \text{ is injective}$$

In other words,

$$M' \subset M \implies "M' \otimes N \subset M \otimes N"$$

**Example 2.34.**

- $\{0\}$  is a flat  $\mathcal{A}$ -module
- $\mathcal{A}$  is a flat  $\mathcal{A}$ -module, because  $M \otimes_{\mathcal{A}} \mathcal{A} = M$  and  $f = f \otimes 1_{\mathcal{A}}$

**Lemma 2.35.** Let  $(N_i)_{i \in I}$  be a family of modules over  $\mathcal{A}$ , then  $\oplus_{i \in I} N_i$  is flat iff each  $N_i$  is flat.

*Proof.* Suppose each  $N_i$  is flat. Let  $M' \xrightarrow{f} M$  be injective. Suppose,

$$M' \otimes (\oplus_i N_i) \xrightarrow{f \otimes 1} M \otimes (\oplus_i N_i)$$

is not injective, i.e.  $z \in \text{Ker}(f \otimes 1_N) \neq 0$ . Let  $N$  denote  $\oplus_i N_i$  and the  $i$ -th projection  $\pi_i : N \rightarrow N_i$ .

$$\begin{array}{ccc} 0 \neq z & \in & \oplus_i (M' \otimes N_i) \xrightarrow{\rho'_i} M' \otimes N_i \\ & & \parallel \qquad \qquad \parallel \\ & & M' \otimes (\oplus_i N_i) \xrightarrow{1_{M'} \otimes \pi_i} M' \otimes N_i \\ & & \downarrow f \otimes 1_N \qquad \downarrow f \otimes 1_{N_i} \\ & & M \otimes (\oplus_i N_i) \xrightarrow{1_M \otimes \pi_i} M \otimes N_i \\ & & \parallel \qquad \qquad \parallel \\ & & \oplus_i (M \otimes N_i) \xrightarrow{\rho_i} M \otimes N_i \end{array}$$

$z \neq 0 \implies \exists i \in I$  s.t.  $\rho'_i(z) \neq 0 \implies (f \otimes 1_{N_i})(\rho'_i(z)) \neq 0 \in M \otimes N_i$ . But  $(f \otimes 1_{N_i})(\rho'_i(z)) = \rho_i(f \otimes 1_N(z))$  is the  $i$ -th component of  $(f \otimes 1_N)(z) = 0$  by assumption, which gives the contradiction. The converse is simpler.  $\square$

**Corollary 2.36.** *If  $M$  is a free  $\mathcal{A}$ -module, then it is a flat module.*

*Proof.* We already know  $\mathcal{A}$  is flat, then by the previous lemma, we know  $\oplus_{i \in I} \mathcal{A}$  is flat.  $\square$

**Example 2.37.** *Consider a system of linear equations*

$$S : f_1(x_1, \dots, x_n) = \dots = f_m(x_1, \dots, x_n) = 0,$$

where these  $f_i$ 's has coefficients in  $\mathbb{R}$ . Then  $S$  has solution over  $\mathbb{R}$  iff  $S$  has solution over  $\mathbb{C}$  (This claim works for any field extension  $L/K$  instead of  $\mathbb{C}/\mathbb{R}$ ) A simple proof goes like: " $\implies$ " is trivial, for the converse, we take the real or the imaginary part of a complex solution.

For a second proof:

$$M' = \mathbb{R}^n \xrightarrow{f} M = \mathbb{R}^m,$$

where  $f = (f_1, \dots, f_m)$ .  $\mathcal{A} = \mathbb{R}$ ,  $N = \mathbb{C} \cong \mathbb{R} \oplus \mathbb{R}i$  is free, then by the above corollary, we know  $N$  is flat. Then  $S$  has a solution over  $\mathbb{R}$  iff  $\text{Ker}(f) \neq 0$ ,

and  $S$  has a solution over  $\mathbb{C}$  iff  $\text{Ker}(f \otimes 1_{\mathbb{C}}) \neq 0$ . If  $f \otimes 1$  is not injective, by the definition of flat module, we know  $f$  is not injective, which conclude the proof. This second proof works for arbitrary field extension, because the field extensions are always free modules over the initial field.

**Proposition 2.38.** (Right exactness of  $\otimes N$ )

Consider an exact sequence of  $\mathcal{A}$ -modules

$$M' \xrightarrow{f} M \xrightarrow{g} M'' \longrightarrow 0$$

Then we have

$$M' \otimes N \xrightarrow{f \otimes 1} M \otimes N \xrightarrow{g \otimes 1} M'' \otimes N \longrightarrow 0$$

is exact for arbitrary  $\mathcal{A}$ -module  $N$ .

*Proof.* Obviously  $g \otimes 1$  is surjective. We only need to prove the exactness at  $M \otimes N$ . As for the easier inclusion,  $\text{Im}(f \otimes 1) \subseteq \text{Ker}(g \otimes 1)$  because  $(g \otimes 1) \circ (f \otimes 1) = (g \circ f) \otimes 1 = 0$ . Then it remains to show

$$\frac{M \otimes N}{\text{Im}(f \otimes 1)} \xrightarrow{\psi} M'' \otimes N$$

is an isomorphism.  $\psi$  is induced by  $g \otimes 1$ , well defined because  $\text{Im}(f \otimes 1) \subseteq \text{Ker}(g \otimes 1)$ .

Now, we construct a two-sided inverse  $\varphi$  of  $\psi$ .

$$\begin{array}{ccc} M'' \otimes N & \xrightarrow{\exists \varphi} & \frac{M \otimes N}{\text{Im}(f \otimes 1)} \\ \uparrow & \nearrow \exists \varphi_0 & \uparrow \\ M'' \times N & & \\ \uparrow g \times 1 & \nearrow \varphi_1 & \\ M \times N & & \end{array}$$

Consider the map  $\varphi_1$ , it is the composition of the canonical projection and the defining map of tensor product.  $\varphi_1(x, y) \mapsto x \otimes y + \text{Im}(f \otimes 1)$ . Consider  $(x'', y) \in M'' \times N$ , which is the image of  $(x, y)$  under  $g \times 1$ . Then we can define  $\varphi_0(x'', y) := \varphi_1(x, y)$ . It is well-defined, because if there is another  $(x_1, y)$  also map to  $(x'', y)$ , the difference

$$x - x_1 \in \text{Ker}(g) = \text{Im}(f),$$

hence  $\exists z \in M' \ x - x_1 = f(z) \implies (x - x_1) \otimes y = (f \otimes 1)(z \otimes y)$  Then

$$\varphi_1(x, y) - \varphi(x_1, y) = (x - x_1) \otimes y + \text{Im}(f \otimes 1) = 0.$$

Then it remains to check  $\varphi_0$  is bilinear so that  $\varphi_0$  lifts to a  $\varphi$  on  $M'' \otimes N$ . Also we need to check the  $\varphi$  is indeed the two-sided inverse of  $\psi$ .

Consider  $\varphi_0(x'', ay + bv)$  and  $\varphi_0(ax'' + bw'', y)$ . Chose  $x$  and  $w$  in the preimages  $g^{-1}(x'')$  and  $g^{-1}(w'')$ . By the linearity of  $g$ , we can safely choose  $ax + bw$  in the pre-image of  $ax'' + bw''$  Knowing that  $\varphi_1$  is bilinear (because the defining map of tensor product is bilinear and canonical projection is linear), we have

$$\begin{aligned} \varphi_0(x'', ay + bv) &= \varphi_1(x, ay + bv) \\ &= a\varphi_1(x, y) + b\varphi_1(x, v) = a\varphi_0(x'', y) + b\varphi_0(x'', v) \end{aligned}$$

and

$$\begin{aligned} \varphi_0(ax'' + bw'', y) &= \varphi_1(ax + bw, y) \\ &= a\varphi_1(x, y) + b\varphi_1(w, y) = a\varphi_0(x'', y) + b\varphi_0(w'', y). \end{aligned}$$

Explicitly, with  $x \in g^{-1}(x'')$ ,

$$\varphi(x'' \otimes y) = x \otimes y + \text{Im}(f \otimes 1)$$

and

$$\psi(x \otimes y + \text{Im}(f \otimes 1)) = g(x) \otimes y$$

$\implies$

$$\begin{aligned} \psi \circ \varphi(x'' \otimes y) &= g(x) \otimes y = x'' \otimes y \\ \varphi \circ \psi(x \otimes y + \text{Im}(f \otimes 1)) &= x_1 \otimes y + \text{Im}(f \otimes 1) = x \otimes y + \text{Im}(f \otimes 1), \end{aligned}$$

where in the last line  $x_1$  is another representative in  $g^{-1}(x'')$ .  $\square$

**Corollary 2.39.**  *$N$  is flat iff  $\otimes N$  preserves the exactness of any sequence of modules*

*Proof.* Any exact sequence can be split up into short exact sequence, and the flatness does indicate it preserve the exactness of short exact sequence.  $\square$

**Example 2.40.** *An ideal  $\mathfrak{a} \subset \mathcal{A}$ , and  $M$  is an  $\mathcal{A}$ -module,*

$$M \otimes_{\mathcal{A}} \mathcal{A}/\mathfrak{a} \cong M/\mathfrak{a}M,$$

where  $\mathfrak{a}M := \{\sum x_i m_i | x_i \in \mathfrak{a}, m_i \in M\}$ .  $\mathfrak{a}M$  is a submodule of  $M$ .

*Proof.*

$$0 \longrightarrow \mathfrak{a} \longrightarrow \mathcal{A} \longrightarrow \mathcal{A}/\mathfrak{a} \longrightarrow 0$$

is an exact sequence (of  $\mathcal{A}$ -modules). Tensoring it with  $M$ , we have

$$\mathfrak{a} \otimes M \xrightarrow{\psi} M \longrightarrow M \otimes \mathcal{A}/\mathfrak{a} \longrightarrow 0$$

is exact, where  $\psi$  is induced by the inclusion  $\mathfrak{a} \hookrightarrow \mathcal{A}$ ,  $\psi : x \otimes m \mapsto xm$ .  $\text{Im}(\psi) = \mathfrak{a}M$  Then by the exactness, we have

$$M \otimes \mathcal{A}/\mathfrak{a} \cong M/\text{Im}(\psi) = M/\mathfrak{a}M.$$

□

**Example 2.41.**

$$\mathbb{Z}/m\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}/\gcd(m, n)\mathbb{Z}.$$

*Pf.* Take  $M = \mathbb{Z}/m\mathbb{Z}$ ,  $\mathcal{A} = \mathbb{Z}$ ,  $\mathfrak{a} = n\mathbb{Z}$ . Then  $\mathfrak{a}M = (n\mathbb{Z} + m\mathbb{Z})/m\mathbb{Z} = \gcd(m, n)\mathbb{Z}/m\mathbb{Z}$ .  $\mathcal{A}/\mathfrak{a} = \mathbb{Z}/n\mathbb{Z}$

Then by the result of Example 2.40, we have

$$M \otimes \mathcal{A}/\mathfrak{a} = \frac{\mathbb{Z}}{m\mathbb{Z}} \otimes_{\mathbb{Z}} \frac{\mathbb{Z}}{n\mathbb{Z}} \cong \frac{\mathbb{Z}/m\mathbb{Z}}{\gcd(m, n)\mathbb{Z}/m\mathbb{Z}} = \frac{\mathbb{Z}}{\gcd(m, n)\mathbb{Z}} = M/\mathfrak{a}M.$$

Let  $n \in \mathbb{Z}$ . Then  $\mathbb{Z}/n\mathbb{Z}$  is flat iff  $n = \pm 1, 0$ , i.e.  $\mathbb{Z}/n\mathbb{Z} = \{0\}$  or  $\mathbb{Z}$ . This is easy to prove, consider the following short exact sequence for  $|n| \geq 2$ ,

$$0 \longrightarrow n\mathbb{Z} \longrightarrow \mathbb{Z} \longrightarrow \mathbb{Z}/n\mathbb{Z} \longrightarrow 0,$$

Suppose  $\mathbb{Z}/n\mathbb{Z}$  is flat. Tensoring it with the above exact sequence, we get

$$0 \longrightarrow 0 \longrightarrow \mathbb{Z} \otimes \mathbb{Z}/n\mathbb{Z} \longrightarrow \mathbb{Z}/n\mathbb{Z} \longrightarrow 0,$$

which gives the contradiction.

Fact

Any finitely generated  $\mathbb{Z}$ -module is of the form

$$M = \mathbb{Z}^r \oplus (\oplus_{i \in I} (\mathbb{Z}/n_i\mathbb{Z}))$$

, the second part of  $M$  is denoted  $M_{tors}$ , then we get the corollary that a finitely generated  $\mathbb{Z}$ -module is flat iff  $M_{tors}$  vanishes.

**Definition 2.42.**  $\mathcal{A}$  a ring,  $M$  an  $\mathcal{A}$ -module, we call  $M$  **torsion free** if  $\forall a \in \mathcal{A}$  non-zerodivisor.  $m \in M$   $am = 0 \implies m = 0$



**Theorem 2.43.**

1.  $M$  is flat  $\implies M$  is torsion free
2. If  $\mathcal{A}$  is PID,  $M$  is torsion free  $\implies M$  is flat.

*Proof.* Bosch section 4.2 □

Some other facts about tensor product

**Example 2.44.** For  $\mathcal{A} = \mathbb{F}$  being a field,  $V, W$  finite dimensional vector space over  $\mathbb{F}$

$$\begin{aligned} V^* \otimes W &\cong \text{Hom}_{\mathbb{F}}(V, W) \\ l \otimes w &\mapsto [v \mapsto l(v)w] \end{aligned}$$

### 3 Localization

#### 3.1 Lecture 7 : Localization of rings

**Motivation** For  $\mathcal{A}$  an integral domain, we defined the quotient field  $\text{Frac}(\mathcal{A})$ . In general, one may want to invert part of  $\mathcal{A}$ . For example, we may consider  $\mathbb{Z}[1/2] = \{a/(2^n) | a \in \mathbb{Z} \text{ and } n \in \mathbb{N}\}$ . Each  $2^n \in \mathbb{Z}[1/2]$  is invertible. For a subset  $0 \notin S \subseteq \mathcal{A}$ , we can define  $\mathcal{A}[1/S]$  to be the subring of  $\text{Frac}(\mathcal{A})$  generated by  $\mathcal{A}$  and  $\{1/s | s \in S\}$ .

**Definition 3.1.** A set of  $\mathcal{A}$ ,  $S$  is *multiplicatively closed* if

- $1 \in S$
- $s, t \in S \implies st \in S$

For a set  $S \subset \mathcal{A}$ , we can define its *multiplicative closure*

$$\overline{S} := \{s_I | I = (i_1, \dots, i_n), \forall n, s_{i_n} \in S\}$$

A set  $S$  is multiplicatively closed iff  $S = \overline{S}$ . And we see that  $\mathcal{A}[1/S] = \mathcal{A}[1/\overline{S}]$ .

**Definition 3.2.** Let  $\mathcal{A}$  be a ring  $S \subseteq \mathcal{A}$  a multiplicatively closed set, define a relation  $\sim$  on  $\mathcal{A} \times S$ :

$$(a, s) \sim (a', s') \iff \exists t \in S \text{ s.t. } as't = a'st$$

**Lemma 3.3.** “ $\sim$ ” is indeed an equivalence relation.

*Proof.* reflectivity and symmetricity are trivial, for the transtivity

$$(a, s) \sim (a', s') \sim (a'', s'')$$

$\implies$

$$\exists t \in S : as't = a'st$$

$$\exists t' \in S : a's''t' = a''s't'$$

$$as''(tt's') = as'ts''t' = a's''t'st = a''s(tt's')$$

$$\implies (a, s) \sim (a'', s'')$$

□

**Definition 3.4.** We define  $S^{-1}\mathcal{A} : (\mathcal{A} \times S / \sim)$ . And we denote the equivalence class of  $(a, s)$  by  $a/s$ .

**Proposition 3.5.** There are well defined maps:

$$+ : S^{-1}\mathcal{A} \times S^{-1}\mathcal{A} \longrightarrow S^{-1}\mathcal{A}, (a/s, a'/s') \mapsto \frac{as' + a's}{ss'}$$

$$\cdot : S^{-1}\mathcal{A} \times S^{-1}\mathcal{A} \longrightarrow S^{-1}\mathcal{A}, \left(\frac{a}{s}, \frac{a'}{s'}\right) \mapsto \frac{aa'}{ss'}$$

$$0_{S^{-1}\mathcal{A}} = \frac{0}{1} \text{ and } 1_{S^{-1}\mathcal{A}} = \frac{1}{1}$$

Then  $(S^{-1}\mathcal{A}, 0_{S^{-1}\mathcal{A}}, 1_{S^{-1}\mathcal{A}}, +, \cdot)$  is a ring.

One can check that the above ring operation and  $0, 1$  are well-defined.  
e.g.

$$\begin{aligned} \frac{a}{b} \cdot \frac{0}{1} &\stackrel{?}{=} \frac{0}{1} \\ \iff \frac{a \cdot 0}{b \cdot 1} &\stackrel{?}{=} \frac{0}{1} \\ \iff \frac{0}{b} &\stackrel{?}{=} \frac{0}{1} \\ \iff \exists t \in S : 0 \cdot 1 \cdot t &= 0 \cdot b \cdot t \end{aligned}$$

We say  $S^{-1}\mathcal{A}$  is **localization of  $\mathcal{A}$  with respect to  $S$** . When  $\mathcal{A}$  is an integral domain,  $S = \mathcal{A} - \{0\}$  is multiplicative closed, the  $S^{-1}\mathcal{A} = \text{Frac}(\mathcal{A})$ .

**Lemma 3.6.** There exists a ring morphism  $\iota$  from  $\mathcal{A}$  to  $S^{-1}\mathcal{A}$  s.t each  $a \in \mathcal{A}$  maps to  $a/1 \in S^{-1}\mathcal{A}$ . It has to following property

- (a)  $\iota(S) \subset (S^{-1}\mathcal{A})^\times$
- (b)  $\text{Ker}(\iota) = \{a \in \mathcal{A} \mid sa = 0 \text{ for some } s \in S\}$
- (c) Suppose  $\mathcal{A} \neq \{0\}$ . Then  $\iota$  is injective  $\iff S$  contains no zero divisors.
- (d)  $S^{-1}\mathcal{A} = \{0\} \iff S \ni 0$
- (e)  $\iota$  is isomorphism  $\iff S \subseteq \mathcal{A}^\times$

*Proof.* We can easily check that  $\iota$  thus defined is indeed a ring morphism.

- (a)  $s \in S$ .  $\iota(s) = s/1$  and  $s/1 \cdot 1/s = 1$ , then  $s$  is a unit.
- (b)  $a \in \text{Ker}(\iota) = \{b \in \mathcal{A} \mid \frac{b}{1} = \frac{0}{1}\} \iff \exists t \in S : t(a1 - 01) = ta = 0 \iff a \in \{\text{Zero divisors in } \mathcal{A}\}$ .
- (c) derived from (a) and (b).
- (d)  $S^{-1}\mathcal{A} = \{0\} \iff \frac{0}{1} = \frac{1}{1} \iff$  there exists an element  $t \in S$  s.t.  $t \cdot 1 = 0$ ,  $\iff S \ni 0$ .
- (e) “ $\implies$ ” Suppose  $\mathcal{A} \neq \{0\}$ , then  $\iota$  is isomorphism  $\iff \iota$  is surjective and injective  $\iff \forall \frac{a}{s} \in S^{-1}\mathcal{A} : \exists c \in \mathcal{A}$  s.t.  $\frac{a}{s} = \frac{c}{1}$  and  $S$  has no zero divisors. Then we know,  $\frac{1}{s} = \frac{c}{1} \implies \exists t(s \cdot c - 1) = 0$ , and by the fact  $S$  has no zero divisors  $s \cdot c = 1$ , which means  $S \subseteq \mathcal{A}^\times$ .  
“ $\impliedby$ ” Assume  $\mathcal{A} \neq \{0\}$ .  $S \subseteq \mathcal{A}^\times$ , then  $S$  does not contain any zero divisors.  $\forall \frac{a}{s} \in S^{-1}\mathcal{A}, \exists v \in S$  s.t.  $sv = 1$ . Then  $\frac{a}{s} = \frac{av}{1} \in \text{Im}(\iota)$ , because  $asv = a$ .

□

**Example 3.7.**  $X$  any set  $U \subseteq X$  any subset.  $\mathcal{A} := \{\text{functions } f : X \longrightarrow \mathbb{R}\}$  is a ring of the the multiplication is defined value-wisely,  $S := \{f \in \mathcal{A} \mid f(x) \neq 0, \forall x \in U\}$  is multiplicatively closed. Question, what is the localization  $S^{-1}\mathcal{A}$ ?

**Lemma 3.8.** Let  $B := \{\text{functions } U \longrightarrow \mathbb{R}\}$ . Then the natural map  $j : S^{-1}\mathcal{A} \longrightarrow B$  is an isomorphism  $\frac{a}{s} \mapsto [U \ni x \mapsto \frac{a(x)}{s(x)} \in \mathbb{R}]$

*Proof.*  $j$  is well-defined: Say  $\frac{a}{s} = \frac{a'}{s'}$ . Thus  $\exists t \in S, as't = a'st$ . Then  $(a(x)s(x) - a'(x)s'(x))t(x) = 0$ , where  $t(x) \neq 0 \forall x \in U$ . Then by the properties of real numbers  $\frac{a(x)}{s(x)} = \frac{a'(x)}{s'(x)}$ .

Try defining  $k : B \longrightarrow S^{-1}\mathcal{A}$ ,  $b \longmapsto \tilde{b}/1$ , where

$$\tilde{b} : X \longrightarrow \mathbb{R}$$

$$\tilde{b} = \begin{cases} b(x), & x \in U \\ 0, & x \notin U \end{cases}$$

$$j \circ k = 1, b \in B \frac{\tilde{b}(x)}{1(x)} = b(x) \forall x \in U$$

$k \circ j = 1$  Say  $b = j(\frac{a}{s})$ , what we want is  $\tilde{b}/1 = a/s$ , i.e.  $\exists t \in S : (a \cdot 1 - \tilde{b} \cdot s)t = 0$ .

Take  $t : 1_U = [x \mapsto 1 \text{ for } 1 \in U \text{ and } 0 \text{ for } x \notin U]$   $\square$

### Universal property of localization

Recall  $\text{Hom}(M \otimes N, P) \cong \{\text{bilinear } M \times N \longrightarrow P\}$  and  $\text{Hom}(\oplus_i M_i, N) \cong \prod_i \text{Hom}(M_i, N)$ .

**Lemma 3.9.**  $\text{Hom}(S^{-1}\mathcal{A}, \mathcal{B}) \cong \{f : \mathcal{A} \longrightarrow \mathcal{B} \text{ s.t. } f(S) \subseteq \mathcal{B}^\times\}$  where an element  $\tilde{f} \in \text{Hom}(S^{-1}\mathcal{A}, \mathcal{B})$

$$\tilde{f}\left(\frac{a}{s}\right) := f(a)f(s)^{-1}$$

$$f(a) := \tilde{f}\left(\frac{a}{1}\right).$$

i.e. every morphism  $f : \mathcal{A} \longrightarrow \mathcal{B}$  s.t.  $f(S) \subseteq \mathcal{B}^\times$ , there exists a unique morphism  $\tilde{f} : S^{-1}\mathcal{A} \longrightarrow \mathcal{B}$  s.t.  $f = \tilde{f} \circ \iota$ , where  $\iota$  is the canonical morphism  $\iota : \mathcal{A} \longrightarrow S^{-1}\mathcal{A} : a \mapsto \frac{a}{1}$ .

$$\begin{array}{ccc} S & \hookrightarrow & \mathcal{A} \xrightarrow{f} \mathcal{B} \\ & & \downarrow \iota \nearrow \exists! \tilde{f} \\ & & T \end{array}$$

This universal property of localization can serve as an alternative definition of localization.  $S^{-1}\mathcal{A}$  is defined to be a pair  $(T, \iota)$

*Proof.* Want:  $\forall f$  as above  $\exists! \tilde{f}$  s.t.  $\tilde{f} \circ \iota = f$

Uniqueness:

$$\tilde{f}(a/s) = \tilde{f}(a/1)\tilde{f}(s/1)^{-1} = f(a)f(s)^{-1}$$

Existence :

Take  $\tilde{f}(a/s) := f(a)f(s)^{-1}$ , check that it is well defined:

$$\frac{a}{s} = \frac{a'}{s'} \stackrel{?}{\implies} f(a)f(s)^{-1} = f(a')f(s')^{-1}$$

This is guaranteed,  $\exists t \in S : as't = a'st \implies (f(a)f(s') - f(a')f(s))f(t) = 0$   
and  $f(t) \in \mathcal{B}^\times \implies f(a)f(s') - f(a')f(s) = 0$   $\square$

**Example 3.10.** (*Most Important Examples*)

- $\mathcal{A} \ni f, S_f := \{f^n | n \geq 0\}$  is multiplicatively closed.  $\mathcal{A}_f := S_f^{-1}\mathcal{A}$
- $\mathfrak{p} \subset \mathcal{A}$  is a prime ideal, then  $\mathcal{A} - \mathfrak{p}$  is multiplicatively closed (By the definition of prime ideals). We can define (In fact then  $\mathcal{A} - \mathfrak{p}$  is multiplicatively closed is equivalent to  $\mathfrak{p}$  is prime)  $\mathcal{A}_{\mathfrak{p}} := S_{\mathfrak{p}}^{-1}\mathcal{A}$

Caution that if  $\mathfrak{p} = (f)$ , usually  $\mathcal{A}_{(f)} \neq \mathcal{A}_f$

Consider  $\varphi : \mathcal{A} \longrightarrow \mathcal{B}$  and  $\mathfrak{a} \subseteq \mathcal{A}, \mathfrak{b} \subseteq \mathcal{B}$ . We have defined the extension and contraction of ideals as  $\mathfrak{b}^c = \varphi^*(\mathfrak{a}) := \varphi^{-1}(\mathfrak{b})$  and  $\mathfrak{a}^c = \varphi_*(\mathfrak{a}) := \mathcal{B}\varphi(\mathfrak{a})$

**Notice that  $\mathfrak{q} \subseteq \mathcal{B}$  prime  $\implies \varphi^*(\mathfrak{q})$  prime, thus  $\varphi^* : \text{Spec}(\mathcal{B}) \longrightarrow \text{Spec}(\mathcal{A})$ .**

Back to the special case  $\iota : \mathcal{A} \longrightarrow S^{-1}\mathcal{A}$ .

**Proposition 3.11.**

- (a)  $\iota^* : \text{Spec}(S^{-1}\mathcal{A}) \longrightarrow \{\mathfrak{p} \in \text{Spec}(\mathcal{A}) | \mathfrak{p} \cap S = \emptyset\}$  is a bijection.
- (b) For any ideal  $\mathfrak{a} \subseteq \mathcal{A}$ ,  $\iota_*(\mathfrak{a}) = \{a/s | a \in \mathfrak{a}, s \in S\}$
- (c)  $\iota_*(\mathfrak{a}) = S^{-1}(\mathcal{A}) \iff \mathfrak{a} \cap S \neq \emptyset$
- (d) For any ideal  $\mathfrak{b} \subseteq S^{-1}\mathcal{A}$ ,  $\varphi_*(\varphi^*(\mathfrak{b})) = \mathfrak{b}$

*Proof.*

- (a)  $\mathfrak{q} \subseteq S^{-1}\mathcal{A}$ ,  $\iota^*(\mathfrak{q}) = \iota^{-1}(\mathfrak{q})$ ,  $\iota(S) \subseteq (S^{-1}\mathcal{A})^\times, \implies \iota(S) \cap \mathfrak{q} = \emptyset$  otherwise  $1 \in \mathfrak{q}$  (In fact this part of proof also works for other ideals.)
- (b) Just check that  $S^{-1}\mathcal{A} \cdot V \subset V$
- (c)  $\iota_*(\mathfrak{a}) = S^{-1}\mathcal{A} \iff \exists a \in \mathfrak{a}, s \in S$  s.t.  $a/s = 1/1 \iff \exists t \in S$  s.t.  $\mathfrak{a} \ni ta = ts \in S$ , then  $\mathfrak{a} \cap S \neq \emptyset$ . Conversely,  $\mathfrak{a} \cap S \neq \emptyset$ , any  $a \in \mathfrak{a}, a = s \in S$ , then  $a/s = 1/1$ .

- (d)  $\varphi_*(\varphi^*(\mathfrak{b})) \subset \mathfrak{b}$  in general. For the converse inclusion, if  $a/s \in \mathfrak{b}$ , then  $a/s \cdot s/1 = a/1 \in \mathfrak{b}$ , which means  $a \in \varphi^*(\mathfrak{b}) \implies a/s \in \varphi_*(\varphi^*(\mathfrak{b}))$ .

□

### 3.2 Lecture 8: Properties of localization of rings and localization of module

Recall  $\iota\mathcal{A} \longrightarrow S^{-1}\mathcal{A}$

- $\iota_*(\mathfrak{a}) = \{\frac{a}{s}, a \in \mathfrak{a}, s \in S\}$
- $\iota_*\iota^*(\mathfrak{b}) = \mathfrak{b} \forall \mathfrak{b} \subseteq S^{-1}\mathcal{A}$
- $\iota_*\mathfrak{a} = (1) \iff \mathfrak{a} \cap S \neq \emptyset$

**Proposition 3.12.**

$$\{\mathfrak{p} \in \text{Spec}(\mathcal{A}) \mid \mathfrak{p} \cap S = \emptyset \ (S \subseteq \mathcal{A} - \mathfrak{p})\} \longleftrightarrow \{\text{Spec}(S^{-1}\mathcal{A})\}$$

is bijection.

- $\mathfrak{q}_1 \subseteq \mathfrak{q}_2 \iff \iota^*\mathfrak{q}_1 \subseteq \iota^*\mathfrak{q}_2$
- $k(\mathfrak{p}) := \text{Frac}(\mathcal{A}/\mathfrak{p})$  is called the residue field of the prime ideal  $\mathfrak{p}$ .

Then the above bijection induces isomorphism  $k(\iota^*\mathfrak{q}) \cong k(\mathfrak{q})$

**Example 3.13.**  $\mathcal{A} = \mathbb{Z}$ , and  $\mathfrak{p} = (p)$  where  $p$  is a prime number.  $k(\mathfrak{q}) = \text{Frac}(\mathbb{Z}/p) = \mathbb{Z}/p$ .

If  $\mathfrak{p} = (0)$ ,  $k(\mathfrak{p}) = \text{Frac}(\mathbb{Z}) = \mathbb{Q}$ .

If  $\mathfrak{p} = \mathfrak{m}$  a maximal ideal.  $\iff \mathcal{A}/\mathfrak{p}$  is a field and  $k(\mathfrak{p}) = \mathcal{A}/\mathfrak{p}$

**Example 3.14.**  $\mathfrak{p} = (y) \subseteq \mathcal{A} = \mathbb{C}[x, y]$ ,  $\mathcal{A}/\mathfrak{p} \cong \mathbb{C}[x]$ ,  $k(\mathfrak{p}) \cong \mathbb{C}(x)$

*Proof.* (Proof of the proposition) the proof contains the following points

- $\mathfrak{p}$  prime  $\iff \iota^*\mathfrak{p}$  prime
- $\iota^*\iota_*\mathfrak{p} = \mathfrak{p}$
- $\iota_*\iota^*\mathfrak{q} = \mathfrak{q}$  (true for any  $\mathfrak{q}$ , not necessarily prime)

$\iota^* \iota_* \mathfrak{p} \supseteq \mathfrak{p}$  is a general fact. For the converse inclusion,  $\iota^* \iota_* \mathfrak{p} = \iota^{-1}(\iota_* \mathfrak{p}) \stackrel{?}{\subseteq} \mathfrak{p}$ . For an  $a \in \iota^{-1}(\iota_* \mathfrak{p})$ ,  $\iota(a) = \frac{a}{1} \in \iota_* \mathfrak{p} \implies \exists b \in \mathfrak{p}, s \in S$  s.t.  $\frac{a}{1} = \frac{b}{s} \implies ast = bt \in \mathfrak{p}$  and  $s, t \in S \subseteq \mathcal{A} - \mathfrak{p} \implies a \in \mathfrak{p}$  because  $\mathfrak{p}$  is a prime ideal.

$\mathfrak{p}$  prime  $\stackrel{?}{\implies} \iota_* \mathfrak{p}$  prime. Consider  $\frac{a}{s} \cdot \frac{b}{t} \in \iota_* \mathfrak{p}$ , then  $\frac{ab}{st} = \frac{c}{u}, c \in \mathfrak{p}, u \in S$ , then  $\exists v \in S : abuv = cstv$ , where  $uv \in S$   $cstv \in \mathfrak{p}$ ,  $uv \notin \mathfrak{p} \implies ab \in \mathfrak{p} \implies$  at least one of  $a, b \in \mathfrak{p} \implies$  at least one of  $\frac{a}{s}, \frac{b}{t} \in \iota_* \mathfrak{p}$ .  $\square$

**Example 3.15.**  $S = S_f = \{f^n : n \geq 0\} \implies S^{-1}\mathcal{A} = \mathcal{A}_f = \mathcal{A}[1/f]$ . Let  $\mathfrak{p} \cap S \neq \emptyset \iff \text{some } f^n \in \mathfrak{p} \iff f \in \mathfrak{p}$ . Then  $\text{Spec}(\mathcal{A}_f) \cong \{\mathfrak{p} \in \text{Spec}(\mathcal{A}) \mid f \in \mathfrak{p}\}$

**Example 3.16.**  $\mathcal{A} = \mathbb{Z}, f = 2, \mathcal{A}_f = \mathbb{Z}[1/2]$   
 $\{\text{primes in } \mathbb{Z}[1/2]\} \cong \{(0), (3), (5), \dots\} \subseteq \text{Spec}(\mathbb{Z})$

**Example 3.17.**  $\mathcal{A} = \mathbb{C}[x, y]$ , there is a bijection between  $\{\text{maximal ideals in } \mathcal{A}\}$  and  $\mathbb{C}^2$ . The maximal ideal  $\{f \in \mathbb{C}[x, y] \mid f(X_0, Y_0) = 0\} = (x - X_0, y - Y_0)$  corresponds to the point  $(X_0, Y_0) \in \mathbb{C}^2$   
Fix  $f \in \mathbb{C}[x, y], f \neq 0$ , e.g.  $f = u - x^2$  Then

$$\begin{aligned} & \{\text{maximal ideals in } \mathcal{A}_f = \mathbb{C}[x, y, 1/f]\} \\ & \xleftrightarrow{\text{bij}} \{\text{maximal ideal } \mathfrak{m} \in \mathbb{C}[x, y] \text{ s.t. } f \notin \mathfrak{m}\} \\ & \xleftrightarrow{\text{bij}} \{(X, Y) \in \mathbb{C}^2 \mid f(X, Y) \neq 0\} \end{aligned}$$

Then we know that the  $\text{Spec}(\mathcal{A}) \cong \mathbb{C}^2$  while  $\text{Spec}(\mathcal{A}_f)$  is bijective to the complement of zero loci of  $f$

The localization at an element has the functorial property, for  $f, g \in \mathcal{A}$

$$\mathcal{A} \longrightarrow \mathcal{A}_f \longrightarrow \mathcal{A}_{fg}$$

**Example 3.18.**  $\mathcal{A}$  an integral domain,  $\mathcal{A}_f \subseteq \mathcal{A}_{fg}$  ( $\frac{a}{(f)^n} = \frac{ag^n}{(fg)^n}$ ),  $\text{Frac}(\mathcal{A}) = \cup_f \mathcal{A}_f$ . For any  $\mathfrak{p} \in \text{Spec}(\mathcal{A}_f) \subseteq \mathcal{A}$ ,  $\mathcal{A}_f \implies k(\mathfrak{p})$

$\{f \in \mathcal{A} : f \notin \mathfrak{p}\} = f \in \mathcal{A} : f(\mathfrak{p}) \neq 0\}$ , where  $f(\mathfrak{p}) \in k(\mathfrak{p})$  is the image of  $f$ .

**Aside:**  $\mathcal{A}$  is a local ring  $\iff \exists 1\mathfrak{m} \in \text{Specm}(\mathcal{A}) \iff \exists \text{ideal } \mathfrak{m} \text{ with } 1 + \mathfrak{m} \subseteq \mathcal{A}^\times, \mathfrak{m} \text{ maximal, } \iff \mathcal{A} - \mathfrak{m} \subseteq \mathcal{A}^\times$   
 $\mathfrak{p} \subseteq \mathcal{A} \text{ prime} \implies \mathcal{A}_{\mathfrak{p}} := S_{\mathfrak{p}}^{-1}\mathcal{A}$

**Proposition 3.19.** (a)  $\text{Spec}(\mathcal{A}_{\mathfrak{p}}) \cong \{\mathfrak{q} \in \text{Spec}(\mathcal{A}) \mid \mathfrak{q} \subseteq \mathfrak{p}\}$

(b) For  $\iota : \mathcal{A} \longrightarrow S_{\mathfrak{p}}^{-1}\mathcal{A}$ ,  $\mathcal{A}_{\mathfrak{p}}$  is a local ring with maximal ideal  $\mathfrak{p}_{\mathfrak{p}}? : \iota_*(\mathfrak{p})$ ,  $\mathcal{A}_{\mathfrak{p}}$  is called the **localization of  $\mathcal{A}$  at  $\mathfrak{p}$** .  $\iota_*$  is inclusion preserving.

*Proof.*

$$S_{\mathfrak{p}}^{-1} \stackrel{\iota_*}{\cong} \{\mathfrak{q} \in \text{Spec}(\mathcal{A}) \mid \mathfrak{q} \cap S_{\mathfrak{p}} = \emptyset \ (\mathfrak{q} \subseteq \mathfrak{p})\}$$

.  $\iota_*$  is inclusion preserving,  $\implies$  every prime ideal in  $\mathcal{A}_{\mathfrak{p}}$  is contained in  $\mathfrak{p}_{\mathfrak{p}}$ . using this and the fact that any ideal is contained in some maximal ideal, we see that  $\mathfrak{p}_{\mathfrak{p}} \subseteq \mathcal{A}_{\mathfrak{p}}$  is the maximal ideal.  $\square$

**Example 3.20.**  $\mathfrak{p} = (p) \subseteq \mathbb{Z} = \mathcal{A}$ , then  $\mathcal{A}_{\mathfrak{p}} = \mathbb{Z}_{(p)}$  is local ring with maximal ideal  $\mathfrak{p}_{\mathfrak{p}}$  generated by image of  $\mathfrak{p}$ .  $\text{Spec}(\mathbb{Z}_{(p)}) \cong \{\mathfrak{q} \in \text{Spec}(\mathbb{Z}) \mid \mathfrak{q} \subseteq \mathfrak{p}\} = \{(0), (p)\}$

For residue field  $\mathbb{Z}_{(p)}/\mathfrak{p}_{\mathfrak{p}} \cong \mathbb{Z}/(p)$ , this isomorphism is by the first part of the first prop of today's lecture. And in general

$$\mathcal{A}_{\mathfrak{p}}/\mathfrak{p}_{\mathfrak{p}} = k(\mathfrak{p})$$

**Definition 3.21.** A germ at  $p$  is an equivalence class  $[(U, f)]$  of pairs  $(U, f)$ , where  $p \in U \subseteq \Omega$  and  $f : U \longrightarrow \mathbb{C}$  holomorphic. And  $(U_1, f_1) \sim (U_2, f_2)$  iff  $f_1 = f_2$  on some open neighborhood of  $p$  inside  $U_1 \cap U_2$

**Lemma 3.22.**  $\Omega \subseteq \mathbb{C}$  open  $\mathcal{A}$  is the set of holomorphic germs  $f : \Omega \longrightarrow \mathbb{C}$ . Fix  $p \in \Omega$ . and set  $\mathfrak{p} = \{f \in \mathcal{A} \mid f(p) = 0\}$ . Then  $\mathcal{A}$  is a local ring with maximal ideal  $\mathfrak{p}$

*Proof.* Want  $\mathcal{A} - \mathfrak{p} \subseteq \mathcal{A}^{\times}$

This is just a way of saying : if  $f(p) \neq 0$ , then there is an open neighborhood of  $p$  on which  $1/f$  is defined and holomorphic.  $\square$

**Example 3.23.**  $\mathcal{A} = \mathbb{C}[x, y], \mathfrak{p} = (y)$

$$\text{Spec}(\mathcal{A}_{\mathfrak{p}}) \cong \{\mathfrak{q} \in \text{Spec}(\mathcal{A}) \mid \mathfrak{q} \subseteq (y)\}$$

Then, the only choice of  $\mathfrak{q}$  is just  $(y), (0)$ .  $\mathcal{A}_{\mathfrak{p}}$  is a local ring with two primes, and residue field  $\mathbb{C}(x)$ .

$$\mathcal{A} = \mathbb{C}[x, y], \mathfrak{p} = (x, y)$$

$$\text{Spec}(\mathcal{A}_{\mathfrak{p}}) \cong \{\mathfrak{q} \in \text{Spec}(\mathcal{A}) \mid \mathfrak{q} \subseteq (x, y)\}$$



Then

$$\text{Spec}(\mathcal{A}_{\mathfrak{p}}) \cong \{(x, y)\} \cup \{(f) : 0 \neq f \in \mathbb{C}[x, y] \text{ irreducible}, f(0, 0) = 0\} \cap \{(0)\}.$$

The second set is just the set of plane curves passing through 0

### localization of module

**Definition 3.24.**  $S \subseteq \mathcal{A}$  and  $M$  is an  $\mathcal{A}$ -module. Then we define the **localization of module**

$$(m, s) \in M \times S, (m, s) \sim (m', s') \iff \exists t \in S : tsm' = ts'm$$

and we denote the equivalence class of  $(m, s)$  by  $\frac{m}{s}$ , and we see that  $S^{-1}M$  is in fact an  $S^{-1}\mathcal{A}$ -module:

$$\frac{a}{s} \cdot \frac{m}{t} = \frac{am}{st}$$

**Lemma 3.25.**  $S^{-1}\mathcal{A} \otimes_{\mathcal{A}} M \cong S^{-1}M$ , where the map is  $\frac{a}{s} \otimes m \mapsto \frac{am}{s}$

*Proof.* We can define the inverse

$$\frac{1}{s} \otimes m \longleftarrow \frac{m}{s}$$

and then check it is well-defined. □

Moreover, we can also define the localization of morphisms,

**Definition 3.26.** Given  $f : M \longrightarrow N$  a morphism of  $\mathcal{A}$ -module.  $S^{-1}$ . We define

$$S^{-1}f : S^{-1}M \longrightarrow S^{-1}N$$

$$\frac{m}{s} \longmapsto \frac{f(m)}{s}.$$

It is a well-defined morphism of  $S^{-1}\mathcal{A}$ -modules and it has the functorial property

$$S^{-1}(f \circ g) = S^{-1}f \circ S^{-1}g$$

e.g.  $\mathfrak{p} \in \text{Spec}(\mathcal{A})$ , then we have the localization  $\mathcal{A}_{\mathfrak{p}}$  and the localization of module :  $M_{\mathfrak{p}} := S_{\mathfrak{p}}^{-1}M \cong \mathcal{A}_{\mathfrak{p}} \otimes_{\mathcal{A}} M$ .

Next time: we will focus other local properties i.e. properties of  $M$  that depends only on  $M_{\mathfrak{p}}, \forall \mathfrak{p} \in \text{Spec}(\mathcal{A})$

### 3.3 Lecture 9: Localization of Modules

$S \subseteq \mathcal{A}$  then we can define  $S^{-1}\mathcal{A}$ . Also we define **localization of modules**:  $S^{-1}M \cong S^{-1}\mathcal{A} \otimes_{\mathcal{A}} M$ . The localization of module defines a functor  $S^{-1}: f: M \rightarrow N$ , induces a morphism of  $S^{-1}\mathcal{A}$ -modules  $S^{-1}f: S^{-1}M \rightarrow S^{-1}N$  and  $S^{-1}(f \circ g) = S^{-1}f \circ S^{-1}g$ . Moreover  $S^{-1}$  is an exact functor:

$$M' \xrightarrow{f} M \xrightarrow{g} M''$$

is exact, then so is

$$S^{-1}M \xrightarrow{S^{-1}f} S^{-1}M \xrightarrow{S^{-1}g} S^{-1}M''.$$

*Proof.*  $g \circ f = 0 \implies S^{-1}g \circ S^{-1}f = 0$ , then we have  $Ker(S^{-1}g) \supseteq Im(S^{-1}f)$ . For the converse inclusion, consider an element  $\frac{x}{s} \in Ker(S^{-1}g) | x \in Ms \in S, S^{-1}g(\frac{x}{s}) = \frac{g(x)}{s} = \frac{0}{1}, \implies \exists t \in S \text{ s.t. } g(tx) = tg(x) = 0. Im(f) = Ker(g) \implies \exists y : f(y) = tx. \text{ Then we check that } \frac{x}{s} = (S^{-1}f)(\frac{y}{st}) = \frac{f(y)}{st} = \frac{tx}{ts} = \frac{x}{s}$ , which concludes the proof.  $\square$

**Corollary 3.27.**  $S^{-1}\mathcal{A}$  is flat  $\mathcal{A}$ -module.

*Proof.* Let  $0 \rightarrow M' \rightarrow M$  be injective(exact). What we want is

$$0 \longrightarrow S^{-1}\mathcal{A} \otimes_A M' \longrightarrow S^{-1}\mathcal{A} \otimes_A M$$

is exact because it is just

$$0 \longrightarrow S^{-1}M \longrightarrow S^{-1}M$$

☐

**Lemma 3.28.**  $S^{-1}$  commutes with:

- *finite sums*
- *finite intersections*
- *Kernel*
- *quotients*

$$\partial\partial\partial\partial\partial\partial\partial\partial\partial 1$$

## Local Properties

$M$  is an  $\mathcal{A}$ -module

**Lemma 3.29.** *Being zero is a local property i.e. the followings are equivalent:*

- (a)  $M = 0$
- (b)  $M_{\mathfrak{p}} = 0 \forall \mathfrak{p} \text{ primes}$
- (c)  $M_{\mathfrak{m}} = 0 \forall \mathfrak{m} \text{ maximal}$

Claim 1

Let  $x \in M$ , then  $x \neq 0 \iff \text{Ann}(x) : \{a \in \mathcal{A} | ax = 0\} \neq (1)$

*Proof.*  $x \neq 0 \iff 1 \cdot x \neq 0 \iff 1 \notin \text{Ann}(x) \iff \text{Ann}(x) \neq (1)$   $\square$

Calim2:

$\mathfrak{m}$  maximal  $x \in M$  Then  $x \notin \text{Ker}(M \longrightarrow M_{\mathfrak{m}})$   $\text{????????????(absent)}$

*Proof.*  $x \in \text{Ker}(M \longrightarrow M_{\mathfrak{m}}) \implies \exists s \in \mathcal{A} - \mathfrak{m} : sx = 0$   
 $\text{Ann}(x) \not\subseteq \mathfrak{m}$   $\square$

The claim 2 means if  $x \notin \text{Ker}(M \longrightarrow M_{\mathfrak{m}}) \implies M_{\mathfrak{m}} \neq 0$

*Proof.* (of Lemma 3.29). It suffices to prove that (c) $\implies$ (a) Let  $0 \neq x \in M \implies \text{Ann}(x) \neq (1) \implies \exists \text{maximal ideal } \mathfrak{m} \supseteq \text{Ann}(x)$  It suffices to show that  $\text{????????} 2$   $\square$

**Injectivity/Surjectivity are local**  $M$  is an  $\mathcal{A}$ -module, then the following are equivalent.

**Flatness is local**

$M$  is an  $\mathcal{A}$ -module, then the followings are equivalent.

- (a)  $\mathcal{A}$ -module  $M$  is flat
- (b)  $\mathcal{A}_{\mathfrak{p}}$ -module  $M_{\mathfrak{p}}$  is flat  $\forall \mathfrak{p}$  prime
- (c)  $A_{\mathfrak{m}}$  module  $M_{\mathfrak{m}}$  is flat  $\forall \mathfrak{m}$  maximal ideals.

*Proof.* (a) $\iff$ (b): Suppose  $N \hookrightarrow P$ , want  $N \otimes M \hookrightarrow P \otimes M \iff (N \otimes M)_{\mathfrak{m}} = (N_{\mathfrak{m}} \otimes_{\mathcal{A}_{\mathfrak{m}}} M_{\mathfrak{m}}) \hookrightarrow P_{\mathfrak{m}} \otimes_{\mathcal{A}_{\mathfrak{m}}} M_{\mathfrak{m}} (P \otimes M)_{\mathfrak{m}} \forall \mathfrak{m}$   
 $\iff N_{\mathfrak{m}} \hookrightarrow P_{\mathfrak{m}} \forall \mathfrak{m}$   
 $\iff N \hookrightarrow P$  as usual.  $\square$

**Definition 3.30.** (Lemma)

- (a)  $\mathcal{A}$  satisfies the **ascending chain condition on ideals** (All the sequence  $\mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq \dots$  stabilizes  $\exists n_0$  s.t.  $\mathfrak{a}_n = \mathfrak{a}_{n_0} \forall n \geq 0$ )
- (b) Every ideal of  $\mathcal{A}$  is finitely generated.
- (c)  $\{\text{ideals in } \mathcal{A}\}$  satisfies the **maximal property**: i.e. Every subset contains a maximal element. That is : For any nonempty collection  $S$  of ideals in  $\mathcal{A}$ ,  $\exists \mathfrak{a} \in S$  s.t.  $\forall \mathfrak{b} \in S \implies \mathfrak{b} \not\supset \mathfrak{a}$

Then,  $\mathcal{A}$  is called **Noetherian**

*Proof.* (a) $\implies$ (b). Let  $\mathfrak{a}$  ideal. we may assume that  $\mathcal{A}$  is **NOT** finitely generated. Inductively construct  $x_1, x_2, x_3 \dots \in \mathfrak{a}$  such that  $(x_1) \neq 0$  and  $\mathfrak{a} \supsetneq (x_1, x_2) \supsetneq (x_1)$  and also  $\mathfrak{a} \supsetneq (x_1, x_2, x_3) \supsetneq (x_1, x_2)$ , but then this sequence contradicts the **ACC**,

(a) $\implies$ (c)

Let  $\emptyset \neq S \subseteq \{\text{ideals in } \mathcal{A}\}$ . If  $S$  violates the maximal property, then we can find  $\mathfrak{a}_1, \mathfrak{a}_2, \dots \in S$  s.t.  $\mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq \mathfrak{a}_3 \implies \text{ACC fails.}$

(c) $\implies$ (a), If ACC fails,  $\exists \mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq \dots$  Take  $S := \{\mathfrak{a}_1, \mathfrak{a}_2, \mathfrak{a}_3 \dots\}$ . Then  $S$  violates Maximal property.

(b) $\implies$ (a), Let  $\mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq \dots$  Want to show that  $\exists n_0 \mathfrak{a}_n = \mathfrak{a}_{n_0} \forall n \geq n_0$   
 $\mathfrak{a} := \cup_N \mathfrak{a}_n$ . WE know that every ideal of  $\mathcal{A}$  is finitely generated. Then  $\mathfrak{a}$  is also finitely generated by  $\dots\dots\dots 3$  □

**Definition 3.31.** (Lemma)

$M$  is an  $\mathcal{A}$ -module. The followings are equivalent:

- (a)  $M$  ACC on submodules
- (b) Every submodule of  $M$  is finitely generated
- (c)  $M$  has the property of Maximal on submodules

Then, we call  $M$  a Noetherian  $\mathcal{A}$ -module.

Note that  $\mathcal{A}$  Noetherian ring  $\iff \mathcal{A}$  is a Noetherian  $\mathcal{A}$ -module.

**Lemma 3.32.** Let  $0 \longrightarrow M' \longrightarrow M \longrightarrow M'' \longrightarrow 0$  be a short exact sequence of  $\mathcal{A}$ -modules. Then  $M$  is Noetherian  $\iff$  both  $M', M''$  Noetherian

*Proof.*  $\Leftarrow$ , Use ACC. Let  $N_1 \subseteq N_2 \subseteq \dots$  be submodules of  $M$ . Want to show that  $\exists n_0 : (n \geq n_0) N_n = N_{n_0}$ . Consider  $N_j'' := \text{Image of } N_j \text{ in } M''$ .  $N_1'' \subseteq N_2'' \subseteq \dots$ . By ACC of  $M''$ ,  $N_{n_0}'' = N_n'' \forall n \geq n_0$ . Do the same for  $N_j' := M' \cap N_j$  ( $M' \hookrightarrow M$ )  
Need if  $N_i \subseteq N_j \subseteq M$  and  $N_i'' = N_j'', N_i' = N_j'$ , then  $N_i = N_j$ .  $\square$

**Theorem 3.33.** (Hilbert basis theorem)  $\mathcal{A}$  Noetherian  $\implies \mathcal{A}[X]$  is Noetherian.

**Corollary 3.34.**  $\mathcal{A}$  Noetherian  $\implies \mathcal{A}[x_1, \dots, x_n]$  Noetherian  $\mathcal{A}[x_1, \dots, x_n]/\mathfrak{a}$  Noetherian  $\forall \mathfrak{a} \subseteq \mathcal{A}[x_1, \dots, x_n]$

*Proof.* Let  $\mathfrak{a} \subseteq \mathcal{A}[x]$ . We want to show  $\mathfrak{a}$  finitely generated. Consider the ideal  $\mathcal{L} \subseteq \mathcal{A}$  generated by leading coefficients of elements of  $\mathfrak{a} : ax^n + \dots \in \mathcal{L}$ . Then  $\mathcal{A}$  Noetherian  $\implies \mathcal{L} = (t_1, \dots, t_r), t_i \in \mathcal{L} \exists f_1, \dots, f_r \in \mathfrak{a} : f_j = t_j x^{n_j} + \dots$ .  $N := \max(n_1, \dots, n_r)$  and we construct  $\mathcal{A}$ -module  $M : \oplus_{j=0}^N \mathcal{A}x^j \subseteq \mathcal{A}[x]$   $M \cap \mathfrak{a}$  is finitely generated.  $\square$

## Lecture 10

Recall:

**Theorem 3.35.**  $\mathcal{A}$  Noetherian  $\implies \mathcal{A}[x]$  Noetherian.

*Proof.*  $\mathfrak{a} \subseteq \mathcal{A}[x]$  want to show that  $\mathfrak{a}$  is finitely generated.

$$\begin{aligned} \mathfrak{a}' &= \{\text{Leading coefficients of } \mathfrak{a}\} \\ &\cup_{n \geq 0} \{\mathfrak{a} \in \mathcal{A} : \exists ax^n + \dots \in \mathfrak{a}\} \end{aligned}$$

Because  $\mathfrak{a}$  is Noetherian,  $\mathfrak{a}'$  is finitely generated.

Let  $f \in \mathfrak{a}$  with  $f = ax^n + \dots$ , where  $n \geq (n_1, \dots, n_r)$ .

$$\begin{aligned} \mathfrak{a}' &= (a_1, \dots, a_r) \\ \implies a &= c_1 a_1 + \dots + c_r a_r \text{ with } c_1, \dots, c_r \in \mathcal{A} \\ \implies \exists f_1 &= a_1 x^{n_1} + \dots, f_r = a_r x^{n_r} \in \mathfrak{a} \\ \text{know } f - (c_1 x^{n-n_1} f_1 + \dots + c_r x^{n-n_r} f_r) &= (a - \sum c_j a_j) x^n + \dots \\ &= 0 + \text{some terms of degree less than } n - 1 \end{aligned}$$

Last time : we constructed  $M_n := \oplus_{j=0}^n \mathcal{A}x^j \cap \mathfrak{a}$  is finitely generated  $\mathcal{A}$ -module.  $M_N$  is finitely generated. If we iterated it for  $n, n-1, \dots, N$ ,  $\implies \mathfrak{a} \subseteq (f_1, \dots, f_r) + M_N \subseteq \mathfrak{a}$ , then the equality holds and  $\mathfrak{a}$  is finitely generated.  $\square$

### Applications:

- $\mathcal{A}[x_1, \dots, x_r]/\mathfrak{a}$  Noetherian if  $\mathcal{A}$  is Noetherian.
- Recall that a variety  $V \subseteq \mathbb{C}^d$  is a subset defined by polynomial equations, i.e.  $V = V(S)$  for some  $S \subseteq \mathbb{C}[x_1, \dots, x_d] =: \mathcal{A}$ .  $V(S) = \{X \in \mathbb{C}^d : f(X) = 0 \forall f \in S\}$ . Note  $V(S) = V(\langle S \rangle)$ , where  $\langle S \rangle$  is the ideal generated by  $S$ . Hilbert basis theorem  $\implies \forall$  varieties  $V \exists$  finite  $S \subseteq \mathbb{C}[x_1, \dots, x_d]$  such that  $V = V(S)$ . **Any set of polynomial equations is the same as some finite system.**

*Proof.* Given  $S$ , we have  $\mathfrak{a} = \langle S \rangle$ . By Hilbert basis theorem  $\implies \mathfrak{a}$  finitely generated  $\iff \mathfrak{a} = (f_1, \dots, f_r)$   $\square$

Non-Example:

$\mathcal{A} = \mathbb{C}[x_1, x_2, \dots]$  is not Noetherian:  $\mathfrak{m} := (x_1, x_2, \dots)$  is Not finitely generated. If  $S \subseteq \mathfrak{m}$  is finite, we may find some  $x_n$  not occurring in any element of  $S$ :  $\implies x_n \notin \langle S \rangle, x_n \in \mathfrak{m}$

**Lemma 3.36.**  $\mathcal{A}$  Noetherian  $\implies$  any homomorphic image of  $\mathcal{A}$  is Noetherian:

*Proof.* The image if of the form  $\mathcal{A}/\mathfrak{a}$  for some  $\mathfrak{a} \subseteq \mathcal{A}$ .  $0 \longrightarrow \mathfrak{a} \longrightarrow \mathcal{A} \longrightarrow \mathcal{A}/\mathfrak{a} \longrightarrow 0$ . Because there is a one to one inclusion preserving correspondence between the  $\{\text{ideals in } \mathcal{A}\}$  and  $\{\text{ideals in } \mathcal{A}/\mathfrak{a}\}$ . The maximal condition also holds in  $\mathcal{A}\mathfrak{a}$   $\square$

**Lemma 3.37.** Localization of Noetherian ring are Noetherian  $S \subseteq \mathcal{A}$  is multiplicative set  $S^{-1}\mathcal{A}$ , e.g.  $\mathcal{A}_{\mathfrak{p}}, \mathcal{A}_f$  are Noetherian if  $\mathcal{A}$  is Noetherian.

*Proof.* There is a one to one inclusion preserving correspondence between  $\{\text{ideals in } \mathcal{A}\}$  and  $\{\text{ideals in } S^{-1}\mathcal{A}\}$ . Then the maximal property is also inherited to  $S^{-1}\mathcal{A}$   $\square$

**Definition 3.38.** An  $\mathcal{A}$ -algebra is a ring  $\mathcal{B}$  together with a homomorphism  $f : \mathcal{A} \longrightarrow \mathcal{B}$ .

**Example 3.39.**  $\mathcal{A}[x_1, \dots, x_n]$  is an  $\mathcal{A}$ -algebra, with the obvious choice of  $f$ .

**Example 3.40.**

Any ring is a  $\mathbb{Z}$ -algebra:

$$\begin{aligned}\mathbb{Z} &\longrightarrow \mathcal{B} \\ n &\longmapsto n \cdot 1_{\mathcal{B}}\end{aligned}$$

**Example 3.41.** If  $\mathcal{A}$  is a field  $\mathbb{F}$ , any ring homomorphism between  $\mathbb{F}$  and a nonzero ring  $\mathcal{B}$  is injective,  $\mathbb{F} \hookrightarrow \mathcal{B}$ . Thus an  $\mathbb{F}$ -algebra  $\mathcal{B}$  is “the same as” a ring  $\mathcal{B}$  that contains  $\mathbb{F}$  as a subfield

**Example 3.42.** Let  $\mathcal{B}$  be any field of characteristic  $p$ , if  $p = 0$ , then  $\mathcal{B}$  is a  $\mathbb{Q}$ -algebra, if  $p > 0$ ,  $\mathcal{B}$  is an  $\mathbb{F}_p$ -algebra.

**Definition 3.43.** We say that an  $\mathcal{A}$ -algebra  $\mathcal{B}$  is a finitely generated  $\mathcal{A}$ -algebra if there exists  $x_1, \dots, x_n \in \mathcal{B}$  s.t.  $\mathcal{B}$  is generated by  $f(\mathcal{A}), x_1, \dots, x_n$ . By the Hilbert basis theorem, we know if  $\mathcal{A}$  is Noetherian, the finitely generated  $\mathcal{A}$ -algebra  $\mathcal{B}$  is Noetherian.

Given two  $\mathcal{A}$ -algebra  $\mathcal{A} \xrightarrow{f} \mathcal{B}$  and  $\mathcal{A} \xrightarrow{g} \mathcal{C}$ . A morphism of  $\mathcal{A}$ -algebra is defined to be a ring homomorphism that commutes with  $f, g$

$$\begin{array}{ccc}\mathcal{B} & \longrightarrow & \mathcal{C} \\ \uparrow & \nearrow & \\ \mathcal{A} & & \end{array}$$

Now we come back to the proof of the statement

*Proof.*  $\mathcal{B}$  is a finitely generated  $\mathcal{A}$ -algebra

$$\iff \exists n \geq 0 \quad \exists h : \mathcal{A}[x_1, \dots, x_n] \longrightarrow \mathcal{B}, h \text{ surjective}$$

then we have the derivation:  $\mathcal{A}$  Noetherian  $\implies \mathcal{A}[x_1, \dots, x_n]$  Noetherian, it surjectively maps to  $\mathcal{B}$ ,  $\mathcal{B}$  is a homomorphism image of a Noetherian ring, then we have  $\mathcal{B}$  is Noetherian.  $\square$

**Definition 3.44.** Let  $\mathcal{B}$  be an  $\mathcal{A}$ -algebra. We say that  $\mathcal{B}$  is a **finitely  $\mathcal{A}$ -algebra** if it is finitely generated as  $\mathcal{A}$ -module.

~~~~~1

**Example 3.45.**

| $\mathcal{B}$                        | <i>finite</i> | <i>finitely generated</i> |
|--------------------------------------|---------------|---------------------------|
| $\mathbb{Z}$                         | $T$           | $T$                       |
| $\frac{1}{2}\mathbb{Z}$              | $T$           | $N/A$                     |
| $\mathbb{Z}\left[\frac{p}{q}\right]$ | $F$           | $T$                       |
| $\mathbb{Q}$                         | $F$           | $F$                       |

**Theorem 3.46.** Assume  $\mathbb{K}$  a field  $\mathbb{K} \subseteq \mathbb{L}$ , where  $\mathbb{L}$  is also a field. Assume  $\mathbb{L}$  is a finitely generated  $\mathbb{K}$ -algebra. Then  $\mathbb{L}$  is a finite  $\mathbb{K}$ -algebra  $\iff \mathbb{L}/\mathbb{K}$  is a finite field extension.

**Corollary 3.47.** The maximal ideal of  $\mathcal{A} = \mathbb{C}[x_1, \dots, x_d]$  are all of the form  $\mathfrak{m}_X = (x_1 - X_1, \dots, x_d - X_d)$  for some  $X \in \mathbb{C}^d$ .

*Proof.* Thm  $\implies$  Cor, Let  $\mathfrak{m} \subseteq \mathcal{A}$  be any maximal ideal, then  $\mathbb{L} = \mathcal{A}/\mathfrak{m}$  is a field.

$$\begin{array}{c} \mathbb{C} \longrightarrow \mathbb{C}[x_1, \dots, x_d] = \mathcal{A} \xrightarrow{q} \mathbb{L} = \mathcal{A}/\mathfrak{m} \\ \searrow \quad \quad \quad \nearrow j \\ \quad \quad \quad \mathbb{C} \end{array}$$

Note:  $\mathbb{L}$  is a finitely generated  $\mathbb{C}$ -algebra, generated by  $q(x_1), \dots, q(x_d)$

$$\begin{aligned} \text{Thm} \implies \mathbb{L}/j(\mathbb{C}) &\text{ is finite field extension} \\ \implies \mathbb{L} &\cong \mathbb{C}(\mathbb{C} \text{ algebraically closed}) \end{aligned}$$

Set  $X := (j^{-1}(q(x_1)), \dots, j^{-1}(q(x_d))) \in \mathbb{C}^d$ . Check  $\mathfrak{m} = \mathfrak{m}_X$   $\square$

**Corollary 3.48.** Let  $d \geq 1$ . Then  $\mathbb{C}(x_1, \dots, x_d)$  is **NOT** a finitely generated  $\mathbb{C}$ -algebra.

*Proof.*  $\mathbb{K} = \mathbb{C}, \mathbb{L} = \mathbb{C}(x_1, \dots, x_d)$ , then  $\mathbb{L}/\mathbb{K}$  NOT finite (by thm)  $\implies \mathbb{L}$  is NOT finitely generated  $\mathbb{C}$ -algebra.

This proof also works when  $\mathbb{C}$  replaced with any field  $\mathbb{K}$ .

Alternatively, we can also prove this directly, Let  $f_1, \dots, f_n \in \mathbb{K}(x_1, \dots, x_d)$ , each  $f_i = \frac{g_i}{h_i} \in \mathbb{C}[x_1, \dots, x_d]$ . Set  $u := 1 + x_1 h_1 \cdot \dots \cdot h_n \implies 1/u \notin \mathbb{K}[f_1, \dots, f_n]$  because denominator is coprime to the denominators of the  $f_j$ .  $\square$

Then we come back to the proof of the theorem (about field extensions)



□