

# Lecture Notes for Algebraic Geometry I

Lecture delivered by Emmanuel Kowalski

Notes by Lin-Da Xiao

2018 ETH

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# 1 Feb 27th: Algebraic sets and morphisms

<https://imaginary.org/programs>

Recall:  $V(I) \subset \mathbb{A}^n = \{x | \forall f \in I, f(x) = 0\}$ .

**Theorem 1.1.** *Let  $Y_1 \subset \mathbb{A}^n, X_1, \dots, X_n, Y_2 \subset \mathbb{A}^m, T_1, \dots, T_m$  affine algebraic sets. There are bijections*

$$\begin{aligned} & \text{Hom}_{K\text{-alg}}(\mathcal{O}(Y_2), \mathcal{O}(Y_1)) \\ & \xleftrightarrow{(*)} \{(f_1, \dots, f_m) \in K[X]^m | \forall x \in Y_1, (f_1(x), \dots, f_m(x)) \in Y_2\} \\ & \xleftrightarrow{(**)} \{f : Y_1 \longrightarrow Y_2 | \forall \varphi \in \mathcal{O}(Y_2), \varphi \circ f \text{ is in } \mathcal{O}(Y_1)\} \end{aligned}$$

*Proof.* Key observation

To give  $(f_1, \dots, f_m) \in K[X]^m$  is “the same ” as giving a ring morphism  $g_0 : K[T] \longrightarrow K[X] : T_i \mapsto f_i$ , which gives by composition  $g_1 = \pi_1 \circ g_0$ , where  $\pi_1 : K[X] \longrightarrow \mathcal{O}(Y_1)$  is the canonical projection.

$$g_1 : K[T] \longrightarrow \mathcal{O}(Y_1)$$

which has a factorization

$$\begin{array}{ccc} K[T] & \xrightarrow{g_1} & \mathcal{O}(Y_1) \\ \downarrow \pi_2 & \nearrow g & \\ \mathcal{O}(Y_2) & & \end{array}$$

iff  $g_1(I(Y_2)) = 0$ , which means iff

$$g_1(\varphi) = \text{“replace } T_i \text{ by } f_i \text{ in } \varphi\text{”}$$

belongs to  $I(Y_1)$  if  $\varphi \in I(Y_2)$ , which means if  $x \in Y_1$ , then  $g_1(\varphi)(x) = 0$ . That means  $\varphi(f_1(x), \dots, f_m(x)) = 0$  for  $\varphi \in I(Y_2)$ , i.e.,  $(f_1(x), \dots, f_m(x)) \in Y_2$ . If  $x \in Y_1$ . In the statement, this gives the  $(*)$  bijection. Any  $k$ -algebra morphism  $\mathcal{O}(Y_1) \longrightarrow \mathcal{O}(Y_2)$  comes from  $K[T] \longrightarrow \mathcal{O}(Y_1)$  s.t. it vanishes on  $I(Y_2)$ .

For the bijection  $(**)$ , suppose

$$g : Y_1 \xrightarrow{g} Y_2 \xrightarrow{\varphi} K$$

sends  $\varphi(Y_2)$  to  $\varphi \circ g \in \mathcal{O}(Y_1)$ . Then we get

$$\begin{aligned} & \mathcal{O}(Y_2) \longrightarrow \mathcal{O}(Y_1) \\ & \varphi \longmapsto \varphi \circ g, \end{aligned}$$

which is a  $K$ -algebra morphism.

As for the reverse direction, given  $g$ . From  $\mathcal{O}(Y_2) \longrightarrow \mathcal{O}(Y_1)$  to get a  $g : Y_1 \longrightarrow Y_2$ . We get a  $\tilde{g} : Y_1 \longrightarrow Y_2$  in the second set

$$\tilde{g}(x) = (f_1(x), \dots, f_m(x))$$

then we have  $\varphi \circ g \in \mathcal{O}(Y_1)$  for  $\varphi \in \mathcal{O}(Y_2)$ . One checks that this shows that the first and third sets are the same.  $\square$

Define morphism  $Y_1 \longrightarrow Y_2$  by the second (and third) set. Composition in the obvious way and identity is a morphism.  $\implies$  get a category  $(\text{Alg}_K)$  of affine algebraic sets over  $K$ .

**Corollary 1.2.**  $Y \mapsto \mathcal{O}(Y)$ ,  $g \mapsto [\varphi \mapsto \varphi \circ g]$  is a functor:  $(\text{Alg}_K) \longrightarrow (K - \text{Alg})^{\text{opp}}$ .

Facts: The “image” of this functor is the category of finitely generated  $K$ -algebras which are reduced.

*Proof.* A finitely generated reduced  $K$ -algebra.  $(\exists n \geq 1, \text{ so that } K[X_1, \dots, X_n]/I \cong A)$ . Then “ $A$  is reduced”  $\iff I$  is radical ideal.  $\implies A = \mathcal{O}(V(I))$ , where  $V(I) \subset \mathbb{A}^n$ .  $\square$

**Corollary 1.3.** *There is a equivalence of categories between*

$$(\text{Alg}_K) \longleftrightarrow (\text{fin. gen. reduced. } K - \text{Algs.})$$

**Example 1.4.**

- (1)  $\mathbb{A}^1 \longrightarrow V(Y^2 - X^3 - X^2) \subset \mathbb{A}^2$ ,  $t \mapsto (t^2 - 1, t(t^2 - 1))$
- (2)  $\mathbb{A}^1 \longrightarrow V(Y^2 - X^3) \subset \mathbb{A}^2$ :  $t \mapsto (t^2, t^3)$  is a bijection but Not an isomorphism.
- (3) Assume  $K$  with characteristic  $p > 0$ ,  $K \supset \mathbb{F}_p$ .  $Y = V(f_1, \dots, f_m)$  where  $f_i \in \mathbb{F}_p[X] \subset K[X]$ . Consider the morphism:

$$\begin{aligned} Y &\longrightarrow Y \\ (x_1, \dots, x_n) &\longmapsto (x_1^p, \dots, x_n^p). \end{aligned}$$

*It is bijective and homeomorphism but not an isomorphism if  $\dim(Y) \geq 1$ .*

**Proposition 1.5.**  $Y = V(I) \subset \mathbb{A}^n$

(1) The points of  $Y$  are in bijection with maximal ideals  $I \subset \mathcal{O}(Y)$  by

$$Y \ni x \longmapsto \{f \in \mathcal{O}(Y) \mid f(x) = 0\}$$

(2) We have a bijection

$$\mathcal{O}(Y) \longleftrightarrow \text{Hom}_{\text{Alg}_K}(Y, \mathbb{A}^1)$$

*Proof.* (1)  $I_x := \text{Ker}(\mathcal{O}(Y) \longrightarrow K)$ ,  $f \mapsto f(x)$ , since the evaluation map is surjective [ $1 \mapsto 1$ ], we get an isomorphism

$$\mathcal{O}(Y)/I_x \xrightarrow{\sim} K,$$

so  $I_x$  is maximal in  $\mathcal{O}(Y)$ .

Conversely, if  $I \subset \mathcal{O}(Y)$  is maximal, we get  $I = I'/I(Y)$  for  $I' \subset K[X]$  maximal.

Nullstellensatz says  $\exists (x_1, \dots, x_n) \in \mathbb{A}^n$  s.t.,  $I' = (X_1 - x_1, \dots, X_n - x_n)$ .

Since  $I' \supset I(Y)$ , we get  $(x_1, \dots, x_n) \in Y$ . Then we check that  $\mathcal{O}(Y) \longrightarrow \mathcal{O}(Y)/I \cong K$  is just given by  $f \mapsto f(x_1, \dots, x_n)$ . That means  $I = I_x$ .

(2) We saw in 1.1, that there is a bijection between sets

$$\text{Hom}_{\text{Alg}_K}(Y, \mathbb{A}^1) \longleftrightarrow \text{Hom}_{K\text{-alg}}(\mathcal{O}(\mathbb{A}^1), \mathcal{O}(Y)).$$

But  $\text{Hom}_{K\text{-alg}}(\mathcal{O}(\mathbb{A}^1), \mathcal{O}(Y)) = \text{Hom}_{K\text{-alg}}(K[X], \mathcal{O}(Y)) \cong \mathcal{O}(Y)$  (by  $g : \mathcal{O}(\mathbb{A}^1) \longrightarrow \mathcal{O}(Y)$ ,  $g \mapsto g(X)$ )  $\square$

## Projective Algebraic sets

Projective sets can have a good notion of “compactness”.

N.B. Any  $Y \in (\text{Alg}_K)$  is **quasi-compact** (open cover have a finite subcover).

**Definition 1.6.**  $\mathbb{P}_K^n = \mathbb{P}^n$  can be either defined as

“the set of lines in  $\mathbb{A}^{n+1}$  that pass through the origin”

or

“the equivalence classes of points in  $K^{n+1} \setminus \{0\}$  with the equivalence relation  $x \sim y$  iff  $x = \lambda y$  for some  $\lambda \in K$ ” and we use the notion  $[x_0 : \dots : x_n]$  for the equivalence class of  $(x_0, \dots, x_n)$

These two definitions are equivalent:

Given a line  $l \in \mathbb{A}^1 \longleftrightarrow$  hyperplane in  $K^{n+1}$ , corresponds to a equation

$$a_0X_0 + \dots + a_nX_n = 0$$

with at least one of  $a_i$  non-zero.

Conversely, from  $[x_0 : \dots : x_n]$ , we get the corresponding hyperplane/line trivially.

Notes the following fact:

$$\mathbb{P}^n = \cup_{0 \leq i \leq n} H_i,$$

where  $H_i = \{[x_0, \dots, x_n] | x_i \neq 0\}$  and there is a bijection

$$\begin{aligned} H_i &\longrightarrow K^n \\ [x_0 : \dots : x_n] &\longmapsto \left( \frac{x_0}{x_i}, \dots, \frac{\widehat{x_i}}{x_i}, \dots, \frac{x_n}{x_i} \right) \\ [y_1 : \dots : y_{i-1} : 1 : y_i : \dots : y_n] &\longleftarrow (y_1, \dots, y_n) \end{aligned}$$

We define from linear algebra some notions in  $\mathbb{P}^n$  a line in  $\mathbb{P}^n$  is the image by the projection  $K^{n+1} \setminus \{0\} \longrightarrow \mathbb{P}^n$  of the two dimensional affine subspace.

**Example 1.7.**  $l_1, l_2 \subset \mathbb{P}^2$  lines  $l_1 \cap l_2$  is a line if  $l_1$  and  $l_2$  are identical and would be a single point otherwise.

Observation: If  $f \in K[X_0, \dots, X_{n+1}]$  is homogeneous, then for  $x \in \mathbb{P}^n$ , it makes no sense to speak of “ $f(x) \in K$ ”, but the zero-loci or the set where  $f(x) \neq 0$  does make sense.

**Definition 1.8.** A *projective algebraic set*  $S \subset \mathbb{P}^n$  is

$$S = \{x \in \mathbb{P}^n | f_1(x) = \dots = f_m(x) = 0\},$$

where  $f_1, \dots, f_m$  are homogeneous of some degrees.

Notation:  $V(f_1, \dots, f_n)$

**Example 1.9.**  $V(Y^2Z - X^3 - XZ^2) \subset \mathbb{P}^2$ .

Let  $0 \leq i \leq n$ , then  $S \cap H_i = \{[x_0 : \dots : x_n] \in S | x_i \neq 0\}$  is, via the bijection  $H_i \longrightarrow K^n$ , in bijection with an affine algebraic set  $S_1 \subset \mathbb{A}^n$  given by  $\tilde{f}_1(y) = \dots = \tilde{f}_m(y) = 0$ , where  $\tilde{f}_i(y_1, \dots, y_n) = f_i(y_1, \dots, y_{i-1}, 1, y_i, \dots, y_n)$