FRENCH VERSION BY BERTRAND TOEN

TRANSLATED BY LIND AXIAO

MASTERE COURSE ON ALGEBRAIC STACKS

Contents

Introduction

This sample book discusses the design of Edward Tufte's books[1] and the use of the `tufte-book` and `tufte-handout` document classes.

[1] Edward R. Tufte. *The Visual Display of Quantitative Information*. Graphics Press, Cheshire, Connecticut, 2001. ISBN 0-9613921-4-2; Edward R. Tufte. *Envisioning Information*. Graphics Press, Cheshire, Connecticut, 1990. ISBN 0-9613921-1-8; Edward R. Tufte. *Visual Explanations*. Graphics Press, Cheshire, Connecticut, 1997. ISBN 0-9613921-2-6; and Edward R. Tufte. *Beautiful Evidence*. Graphics Press, LLC, first edition, May 2006. ISBN 0-9613921-7-7

1

Reflections on the notion of space

1.1 *Lecture 1: Reflections on the notion of space I*

The goal of the first course is to understand the notion of manifold in different contexts (topological, differentiable, analytic...) We will start by looking at the case of topological manifolds.

Reminders on topological manifolds

Definition 1.1.1. *1. A **topological manifold** is a topological space X, which has an open cover $\{U_i\}_{i \in I}$ such that for each $i \in I$, there exists a homeomorphism between U_i and an open subset in $\mathbb{R}^{n_i}$*

2. the category of topological manifold is a subcategory of Top. *It is denoted as* VarTop.

Let X be a topological manifold and $\{U_i\}_{i \in I}$ is an open cover as in the definition above. We put, for i and j in I, $U_{i,j} := U_i \cap U_j$. We have a diagram of topological spaces

$$\coprod_{(i,j) \in I^2} U_{i,j} \rightrightarrows \coprod_{i \in I} U_i,$$

where the first morphism sends the component $U_{i,j}$ into U_i by the inclusion $U_{i,j} \hookrightarrow U_i$, and the second morphism sends $U_{i,j}$ into U_j by the inclusion $U_{i,j} \hookrightarrow U_j$. There also exists a morphism

$$\coprod_{i \in I} U_i \longrightarrow X$$

which restricts to inclusion $U_i \hookrightarrow X$ for all i, which equalizes the above two morphisms. We obtain also a well-defined morphism from

the coequalizer of the first diagram to X

$$\text{Colim}\left(\coprod_{(i,j)\in I^2} U_{i,j} \rightrightarrows \coprod_{i\in I} U_i\right) \longrightarrow X.$$

What makes it important is the following lemma.

Lemma 1.1.2. *The morphism*

$$\text{Colim}\left(\coprod_{(i,j)\in I^2} U_{i,j} \rightrightarrows \coprod_{i\in I} U_i\right) \longrightarrow X$$

is an isomorphism.

Proof. The lemma says that for a topological space Y, and a given morphism $f : X \longrightarrow Y$ is the same as giving for each $i \in I$ a morphism $f_i : U_i \longrightarrow Y$ so that $(f_i)|_{U_{i,j}} = (f_j)|_{U_{i,j}}$ for all $(i,j) \in I^2$. (This is a direct translation of the universal property of the coequalizer. Which is true by the gluing lemma of continuous maps)   □

The above lemma has to be interpreted in the following way: all topological manifold is obtained from a colimit of a diagram of open sets in $\mathbb{R}^n$ (for n variable). we can draw from it the following principle:

The category TopMfd *of the topological manifolds can be constructed from the category of open sets in $\mathbb{R}^n$ (with morphism continuous maps).*

We would explain the principle in the following section.

1.1.1   Manifold and sheaves

Let $\mathcal{C}$ be the full subcategory of TopMfd, of which the objects are open sets in $\mathbb{R}^n$ for some n. We denote $\text{Pr}(\mathcal{C})$ the category of presheaves of sets on $\mathcal{C}$, (also denoted as $\widehat{\mathcal{C}}$). We consider Yoneda embedding in the case of $\mathcal{C}$

$$h_\_ : \text{TopMfd} \longrightarrow \text{Pr}(\mathcal{C})$$

$$X \longmapsto h_X,$$

where the presheaf h_X is defined by

$$h_X(Y) := \text{Hom}_{\text{TopMfd}}(Y, X)$$

for all $Y \in \mathcal{C} \subset \text{TopMfd}$.

Lemma 1.1.3. *The functor $h_\_$ above is full and faithful.*

Proof. The functor is faithful: for two morphisms $f, g : X \longrightarrow X'$, we consider an open cover $\{U_i\}$ of X so that each $U_i \in \mathcal{C}$ this exists because X is a manifold). If $h_f = h_g$, for every $i \in I$, the two maps

$$h_f(U_i) = h_g(U_i) : \mathrm{Hom}(U_i, X) = h_X(U_i) \longrightarrow \mathrm{Hom}(U_i, X') = h_{X'}(U_i)$$

are equal. This means that $f|_{U_i} = g|_{U_i}$, for every i, hence that $f = g$.

The functor is full: Let X and X' be two topological manifolds and $u : h_X \longrightarrow h_{X'}$ is a morphism of in $\mathrm{Pr}(\mathcal{C})$. Let $\{U_i\}$ be an open cover of X with $U_i \in \mathcal{C}$. For all i, the morphism u induces a map

$$h_X(U_i) = \mathrm{Hom}(U_i, X) \longrightarrow h_{X'}(U_i) = \mathrm{Hom}(U_i, X').$$

This map send the inclusion $U_i \subset X$ to morphisms $f_i : U_i \longrightarrow X'$ for all i. For all i and j in I, the elements $(f_i)|_{U_{i,j}} \in h_{X'}(U_{i,j})$ agree because they are both images of the inclusion $U_{i,j} \hookrightarrow X$ because the morphism of presheaves u is compatible with the restriction maps. There the morphisms $f_i : U_i \longrightarrow X'$ give a continuous map $f : X \longrightarrow X'$. By construction $h_f = u$. □

The Lemma 1.1.3 is a good point remark, we know TopMfd can be identified with a full subcategory of $\mathrm{Pr}(\mathcal{C})$. We are now seeking to characterize the subcategory.

We start by making $\mathcal{C}$ a Grothendieck site. We say a collection of morphism $\{U_i \longrightarrow U\}_{i \in I}$ in $\mathcal{C}$ is a **covering family** if each morphism $U_i \longrightarrow U$ is an open immersion and if the map $\coprod_{i \in I} U_i \longrightarrow U$ is surjective. This define a pretopology on $\mathcal{C}$ (Exercise, verify this[1]), and we denote the associated topology τ.

Lemma 1.1.4. *For all $X \in$ TopMfd the presheaf $h_X \in \mathrm{Pr}(\mathcal{C})$ is a sheaf with respect to the topology τ.*

Proof. See the definition of a sheaf on a site. It is another way of saying for each topological manifold Y and an open cover $\{U_i \longrightarrow Y\}_{i \in I}$, to give a continuous map from Y to X is the same as to give a a collection of continuous map $f_i : U_i \longrightarrow X$ such that f_i and f_j coincide on $U_i \cap U_j$. □

As a result, the Lemma 1.1.4 implies that the there is a fully faithful functor

$$h_\_ : \mathsf{TopMfd} \longrightarrow \mathsf{Sh}(\mathcal{C}, \tau).$$

A sheaf isomorphic to h_X is called **representable** by X. In a general way, we identify the category of TopMfd with its image in $\mathsf{Sh}(\mathcal{C}, \tau)$.

[1] Check the covering family defined above satisfies the axioms of Grothendieck pretopology. And the Grothendieck topology τ on $\mathcal{C}$ is generated by union of covering families (or, the covering family gives a basis of topology τ)

To characterize the image, we put the following definition

Definition 1.1.5.

1. *A morphism $f : F \longrightarrow G$ in $\mathsf{Sh}(\mathcal{C}, \tau)$ is a **local homeomorphism** if for all $X \in \mathcal{C}$ and each morphism $h_X \longrightarrow G$, the sheaf $F \times_G h_X$ is representable by $Y \in \mathsf{TopMfd}$, and the induced morphism $Y \longrightarrow X$ by the projection $F \times_G h_X \simeq h_Y \longrightarrow h_X$ is a local homeomorphism as morphism in Top.*

2. *A morphism in $\mathsf{Sh}(\mathcal{C}, \tau)$ is an **open immersion** if it is a monomorphism and a local homeomorphism.*

It is easy to check that the open immersions in $\mathsf{Sh}(\mathcal{C}, \tau)$ are stable under composition. We can also verify that the local homeomorphisms are stable under composition, but it requires corollary 1.1.7 below. We also show that a morphism of topological manifolds $X \longrightarrow Y$ is a local homeomorphism of topological spaces if and only if the morphism of sheaves $h_X \longrightarrow h_Y$ is a local homeomorphism as defined above.

We therefore have the proposition below.

Proposition 1.1.6. *A sheaf $F \in \mathsf{Sh}(\mathcal{C}, \tau)$ is representable by one topological manifold iff there exists a family of objects $\{U_i\}_{i \in I}$ in $\mathcal{C}$, and a morphism of sheaves*

$$p : \coprod_{i \in I} h_{U_i} \longrightarrow F,$$

that satisfy the following two conditions

1. *The morphism p is an epimorphism of sheaves.*

2. *For each $i \in I$, the morphism $h_{U_i} \longrightarrow F$ is an open immersion.*

Proof. We start by supposing that F is representable by one topological manifold X. We choose an open cover $\{U_i\}_{i \in I}$ of X with $U_i \in \mathcal{C}$ and we consider the morphism

$$p : \coprod_{i \in I} h_{U_i} \longrightarrow F \simeq h_X$$

induced by the inclusion $U_i \subset X$. Explicitly, we have

$$p(W) : \left(\coprod_i h_{U_i} \right)(W) = \coprod_i h_{U_i}(W) \longrightarrow h_X(W).$$

For $Y \in \mathcal{C}$ and $f : Y \longrightarrow X$ an element in $h_X(Y) = \mathsf{Hom}(Y, X)$, we regard $\{f^{-1}(U_i)\}_{i \in I}$ as an open cover of Y. Furthermore, for each

$i \in I$, there exists a commutative diagram

$$
\begin{array}{ccc}
f^{-1}(U_i) & \longrightarrow & Y \\
\downarrow{\scriptstyle g} & & \downarrow{\scriptstyle f} \\
U_i & \longrightarrow & X
\end{array}
$$

which show that f is locally in the image of p in the sense that $f|_{f^{-1}(U_i)}$ is in the image of $p(U_i)$. By Tag ooWL, stacks-project, this implies that p is an epimorphism of sheaves. Moreover, for $Y \in \mathcal{C}$ and for all morphism $h_Y \longrightarrow h_X$, corresponding to a morphism $f : Y \longrightarrow X$, we have

$$
h_{U_i} \times_{h_X} h_Y \simeq h_{U_i \times_X Y} = h_{f^{-1}(U_i)},
$$

where the induced map $f^{-1}(U_i) \longrightarrow Y$ is the plain inclusion hence must be local homeomorphism in Top, which means $h_{U_i} \longrightarrow F$ is a local homeomorphism by definition. As $f^{-1}(U_i) \longrightarrow Y$ is an open immersion, we observe that every morphism $h_{U_i} \longrightarrow F$ is an open immersion. (h is fully faithful, therefore preserves limits and colimits, thus gives us the equality above. For the same reason h preserves monomorphisms, hence $h_{f^{-1}(U_i)} \longrightarrow h_Y$ is a monomorphism. Take the special case $Y = X$, $h_{U_i} \longrightarrow F$ is a monomorphism.)

Conversely, suppose F is a sheaf satisfying the two conditions in the proposition. We construct the topological space X in the following way: let $\{U_i\}_{i \in I}$ be a family of objects in the category $\mathcal{C}$ and $p : \coprod h_{U_i} \longrightarrow F$ is a morphism in the statement of the proposition. We set $U = \coprod_i U_i \in$ TopMfd. We remark that the morphism

$$
\coprod h_{U_i} \longrightarrow h_U
$$

is an isomorphism in $\mathsf{Sh}(\mathcal{C}, \tau)$ (Exercise, verify this[2].) We consider the two projections

$$
h_U \times_F h_U \rightrightarrows h_U.
$$

By hypothesis, we have

$$
h_U \times_F h_U = \coprod_i h_{U_i} \times_F \coprod_j h_{U_j} \simeq \coprod_{i,j} h_{U_{i,j}} \simeq h_R,
$$

where $R = \coprod_{i,j} U_{i,j}$, with $h_{U_{i,j}} \simeq h_{U_i} \times_F h_{U_j}$. ($U_{i,j}$ is the representing object of $h_{U_i} \times_F h_{U_j}$, is isomorphic to an open set in U_i and in U_j). The second isomorphism above is from the fact that finite limit commutes

[2] $h\_$ is a fully faithful functor, therefore maps isomorphisms to isomorphisms

with filtered colimit. By Lemma 1.1.3 and Lemma 1.1.4 the diagram

$$h_R \rightrightarrows h_U$$

is image by h of the diagram of topological manifolds $R \rightrightarrows U$. We set the

$$X := \mathrm{colim}(R \rightrightarrows U),$$

where the colimit is taken in the category Top. Note that R defines an equivalence relation on U and that X is the quotient space.

We further remark that X is a topological manifold. For it, observe by definition the morphism $U \longrightarrow X$ is surjective. More over, $U_i \longrightarrow X$ is an open immersion. Indeed, from the fact that $h_{U_i} \longrightarrow F$ is a monomorphism , we have $U_{i,i} = U_i$, which implies that $U_i \longrightarrow X$ is injective (Exercise, verify this [3]). Moreover, a subset $V \subset X$ is open iff its preimage in U by the projection $U \longrightarrow X$ is open. But the inverse image of $U_i \subset X$ by the projection is the subset $\coprod_j U_{i,j} \subset U$ which is indeed an open set. This shows that X is covered by the opens $U_i \in \mathcal{C}$, and therefore is a topological manifold.

It remains to show that F is isomorphic to h_X. There exists a morphism of sheaves

$$\mathrm{colim}(h_R \rightrightarrows h_U) \longrightarrow h_X.$$

Because $h_U \longrightarrow F$ is an epimorphism and that the epimorphism of sheaves are effective epimorphisms. [4] By the definition of effective epimorphism

$$\mathrm{colimit}(h_U \times_F h_U \rightrightarrows h_U) \simeq F$$

and because $h_R \simeq h_U \times_F h_U$ as described above, we have

$$F \simeq \mathrm{colimit}(h_R \rightrightarrows h_U).$$

It then remains to verify that $\mathrm{colim}(h_R \rightrightarrows h_U) \simeq h_X$. $h_U \longrightarrow h_X$ is also an epimorphism of sheaves (Exercise, verify this[5]), it remains to show that the morphism

$$h_R \longrightarrow h_U \times_{h_X} h_U$$

is an isomorphism.

Recall that h is a fully faithful functor, it suffices to verify that the morphism

$$R \longrightarrow U \times_X U$$

[3] See Vakil Exercise 1.3.W. It is an abstract nonsense that the fibered product of two identical monomorphisms $h_X \longrightarrow F$, is isomorphic to h_{U_i} itself. I.e.,

$$h_{U_i} \times_F h_{U_i} \cong h_{U_i}.$$

$U_{i,i}$ is defined to be the representing object of $h_{U_i} \times_F h_{U_i} \cong h_{U_i}$, which implies $U_i = U_{i,i}$ because $h_\_$ is fully faithful. In Top, the monomorphisms are exactly those injective continuous maps.
Claim: $U_{i,i} = h_{U_i} \times_X h_{U_i}$, this is proved in sidenote 6. Check that there is no circular reasoning.
This in return implies $U_i \longrightarrow X$ is a monomorphism (injective) in Top.

[4] References needed

[5] Because $h_\_$ is fully faithful and $U \longrightarrow X$ is epimorphism

is an isomorphism, which is true because the morphism $U_{i,j} \longrightarrow U_i \times_X U_j$ is an isomorphism. (It is bijective local homeomorphism[6])

$\square$

Corollary 1.1.7. *Let $X \in \mathsf{TopMfd}$, and $F \longrightarrow h_X$ a morphism of sheaves. If there exists an open covering $\{U_i\}_{i \in I}$ of X so that for all $i \in I$ the sheaf $F \times_{h_X} h_{U_i}$ is representable by a topological manifold, the sheaf F is representable by a topological manifold.*

Proof. For each $i \in I$, we choose $\{V_{i,j}\}_{j \in J}$ and $p_i : \coprod_j h_{V_{i,j}} \longrightarrow F \times_{h_X} h_{U_i}$ from the above proposition 1.1.6. We verify then

$$p : \coprod_{i,j} h_{V_{i,j}} \longrightarrow F$$

is a morphism satisfying the conditions from the proposition 1.1.6(Exercise, verify this[7])

$\square$

1.1.2 Quotient manifolds

Let G be a group (discrete) that operate on the topological manifold $X \in \mathsf{TopMfd}$. By functoriality, the group G acts on the sheaf h_X. Recall that the action G on X is free if for every $x \in X$ and $g \in G$, we have $(g \cdot x = x) \longrightarrow (g = e)$. Recall also the that the action of group G on X is totally discontinuous if all point $x \in X$ has an open neighborhood $U \subset X$ so that for all $g \in G$ we have

$$(g(U) \cap U \neq \varnothing) \Longrightarrow (g = e).$$

In the following statement, we should take care not to confuse the quotient sheaf h_X/G and the sheaf $h_{X/G}$ represented by the quotient topological manifold X/G[8].

Proposition 1.1.8.

1. *If the action of the group G on X is free, then the quotient morphism*
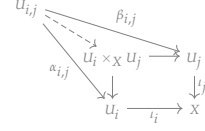
$$h_X \longrightarrow h_X/G$$

   is a local homeomorphism.

2. *If the group action G on X is totally discontinuous, then sheaf quotient $h_X/G \in \mathsf{Sh}(\mathcal{C}, \tau)$ is representable by a topological manifold.*

Proof. (1) Let $Y \in \mathcal{C}$ and $h_Y \longrightarrow h_X/G$ be a morphism of sheaves. We must firstly show that $h_X \times_{h_X/G} h_Y$ is a topological manifold. Since the

---

[6] Explicitly, $U_i \times_X U_j = \{(u,v) \in U_i \coprod U_j : \iota_i(u) = \iota_j(v)\}$

the dashed arrow is given by $z \longmapsto (\alpha_{i,j}(z), \beta_{i,j}(z))$, it is injective because $\alpha_{i,j}, \beta_{i,j}$ are injective. It is surjective because $X := \coprod_i U_i / \sim$, where $\iota_i(u) = \iota_j(v)$ iff $(u,v) = (\alpha_{i,j}(z), \beta_{i,j}(z))$ for some $z \in U_{i,j}$. And by hypothesis, $h_{U_i} \longrightarrow F$ is open immersion therefore is a local homeomorphism, we know $\alpha_{i,j}, \beta_{i,j}$ are local homeomorphism. Altogether we know $z \mapsto (\alpha_{i,j}(z), \beta_{i,j}(z))$ is a bijective local homeomorphism hence a homeomorphism.

[7] We need to check that the morphism p in the below diagram satisfies the two conditions (epi, open immersion)

<u>Claim</u>: pullback of an epimorphism of sheaves is an epimorphism.
 This can be checked at the level of stalks. Therefore p is the composition of two epimorphisms, which is again an epimorphism.
 <u>Claim</u>: pullback of an open immersion is an open immersion.
 It is easy to check that pullback of monomorphism is monomorphism. It remains to check that pullback of local homeomorphism is a local homeomorphism. $F \times_{h_X} h_{U_i} \longrightarrow F$ is a local homeomorphism because

$$(F \times_{h_X} h_{U_i}) \times_F h_Y \simeq h_{U_i} \times_{h_X} h_Y.$$

 Basically, this means $h_{U_i} \longrightarrow h_X$ induced by a covering family in $\mathcal{C}$ gives a "covering family" in $\mathsf{Sh}(\mathcal{C}, \tau)$ in that it is stale under pullback.

[8] We don't a priory know X/G is a well-defined. topological manifold

quotient morphism $h_X \longrightarrow h_X/G$ is an epimorphism, there exists an open cover $\{Y_i\}$ of Y and the the following diagram commutes[9]

$$
\begin{array}{ccc}
\coprod_i h_{Y_i} & \longrightarrow & h_Y \\
\downarrow & & \downarrow \\
h_X & \longrightarrow & h_X/G.
\end{array}
$$

Apply the Corollary 1.1.7 to the morphism

$$h_X \times_{h_X/G} h_Y \longrightarrow h_Y.$$

It suffices to show that each $h_X \times_{h_X/G} h_{Y_i}$ is representable by a topological manifold.[10]Note that we also have the composition of pullbacks.

$$h_X \times_{h_X/G} h_{Y_i} \simeq (h_X \times_{h_X/G} h_X) \times_{h_X} h_{Y_i}.$$

Now, $h_X \times_{h_X/G} h_X \simeq h_X \times h_G$ as can be verified at the level of presheaves of sets (Exercise, verify this[11]).

Thus, we have

$$h_X \times_{h_X/G} h_{Y_i} \simeq h_{Y_i} \times h_G \simeq h_{Y_i \times G}.$$

$Y_i \times G \in$ TopMfd, which finishes the proof that $h_X \times_{h_X/G} h_Y$ is representable by a topological manifold. Moreover, the morphism of manifolds

$$h_X \times_{h_X/G} h_Y \longrightarrow h_Y$$

is, after restricting to the cover $\{Y_i\}$, isomorphic to the projection

$$h_{Y_i} \times h_G \longrightarrow h_{Y_i}.$$

It follows that the morphism is local homeomorphism in Top, which implies the the morphism $h_X \longrightarrow h_X/G$ is local homeomorphism in TopMfd.

(2) For all $x \in X$ there is an open neighborhood U_x of x in X so that $g(U_x) \cap U_x = \emptyset$ for all $g \neq e$ in G. We consider the morphism

$$h_{U_x} \longrightarrow h_X/G.$$

By point (1), the morphism is a composition of local homeomorphisms $(h_{U_x} \longrightarrow h_X \longrightarrow h_X/G)$ and therefore is a local homeomorphism. Moreover, we have

$$h_{U_x} \times_{h_X/G} h_{U_x} \simeq (h_{U_x} \times_{h_X/G} h_X) \times_{h_X} h_{U_x} \simeq (h_{U_x} \times h_G) \times_{h_X} h_{U_x},$$

[9] Denote the natural transformation $\eta : h_X \longrightarrow h_X/G \ id_Y$ maps to equivalence class $[\eta(id_Y)] \in h_X/G(Y)$ and we can choose one element $f \in [\eta(id_Y)]$. Recall the stability axiom of Grothendieck pretopology, the pull back of the covering family $\{X_i \longrightarrow X\}$ gives a covering family $\{Y_i := f^*X_i \longrightarrow X\}$of Y

[10] The Corollary 1.1.7 says given $F \longrightarrow h_Z$ and $Z \in$ TopMfd, F is representable if $Y \in$ TopMfd and each $F \times_{h_Z} h_{Y_i}$ is representable. This is automatically implied if we can prove the representability of $h_X \times_{h_X/G} h_{Y_i}$ for the general case $Y \in \mathcal{C}$.

[11] We first loot at $(h_X/G)(U) = Hom(U, X)/ \sim$, where $f \sim h$ if $\exists \alpha \in G$ s.t. $f(\_) = h(\alpha^{-1}\_)$

$$
\begin{array}{ccc}
(h_X \times_{h_X/G} h_X)(U) & \longrightarrow & h_X(U) = Hom(U,X) \\
\downarrow & & \downarrow \\
h_X(U) = Hom(U,X) & \longrightarrow & (h_X/G)(U) = Hom(U,X)/\sim
\end{array}
$$

$h_X \times_{h_X/G} h_X)(U)$ is therefore the set $\{(f,h) \in h_X(U) \times h_X(U) | f \sim h\} \cong \{(f, f \circ \alpha^{-1}) \in h_X(U) \times h_X(U) | \forall \alpha \in G\} \cong (h_X \times h_G)(U)$. This bijection of sets relies on the fact that G action is free and totally discontinuous. Hence, we find the isomorphism of sheaves.

as we have seen before in the proof of point (1). Finally, we have

$$(h_{U_x} \times h_G) \times_{h_X} h_{U_x} \simeq h_{(U_x \times G) \times_X U_x}.$$

According to the choice of U_x, we have $(U_x \times G) \times_X U_x \simeq U_x$
(Exercise, verify this[12]). Hence, we have shown that the morphism

$$h_{U_x} \longrightarrow h_{U_x} \times_{h_X/G} h_{U_x}$$

is an isomorphism. Equivalently, the morphism

$$h_{U_x} \longrightarrow h_X/G$$

is an monomorphism. This shows that for all $x \in X$ the morphism

$$h_{U_x} \longrightarrow h_X/G$$

is an open immersion. Also recall that the total morphism

$$\coprod_{x \in X} h_{U_x} \longrightarrow h_X \longrightarrow h_X/G$$

is an epimorphism, which finishes the proof. □

The corollary above implies that the quotient X/G also exists in the category TopMfd (because h is fully faithful), but it is a stronger statement.

1.1.3 *Remarks on manifolds*

The Proposition 1.1.8 provide a good method for us to construct topological manifold by totally discontinuous group actions, while the quotient topological space X/G is in general very pathological. The sheaf quotient h_X/G has better properties (e.g. point (1) in Proposition 1.1.8), even if is no longer representable by topological manifold.

The following is a striking example: we make the discrete group $\mathbb{Q}$ (for additive law) act on the topological space $\mathbb{R}$ by the morphism

$$\mathbb{R} \times \mathbb{Q} \longrightarrow \mathbb{R}$$

by $(x, t) \longmapsto x + t$. It can be seen that the action is free, but not totally discontinuous. In addition, the morphism $\mathbb{R} \longrightarrow \mathbb{R}/\mathbb{Q}$ is not a local homeomorphism because it is not locally injective. In the end the quotient topology is given a "gross" topology (because the open

[12] we can easily check that $(U_x \times G) \times_X U_x = (\coprod_{g \in G} g(U_x)) \cap U_x$. On the other hand, U_x is chosen small enough such that $g(U_x) \cap U_x = \varnothing$ if $g \neq e$, we therefore know $U_x \times G) \times_X U_x = e(U_x) \cap U_x = U_x$

sets in $\mathbb{R}/\mathbb{Q}$ is the invariant open set in $\mathbb{R}$ under the group action).
This shows that the quotient $\mathbb{R}/\mathbb{Q}$ is not a reasonable object from a
geometric point of view. On the other hand, the quotient sheaf $h_\mathbb{R}/\mathbb{Q}$
seems more interesting, because the morphism $h_\mathbb{R} \longrightarrow h_\mathbb{R}/\mathbb{Q}$ being
a local homeomorphism allow us to legally think about $h_\mathbb{R}/\mathbb{Q}$ as
an open set in $\mathbb{R}$ in a certain way. The sheaf $h_\mathbb{R}/\mathbb{Q}$ is the very first
example of **geometric space**[13].

Definition 1.1.9. *A sheaf $F \in \mathsf{Sh}(\mathcal{C}, \tau)$ is a geometric space if there exists a*
family of objects $\{U_i\}_{i \in I}$ in $\mathcal{C}$, and a morphism of sheaves

$$p : \coprod_{i \in I} h_{U_i} \longrightarrow F$$

satisfying the following two conditions

1. *The morphism p is an epimorphism of sheaves.*

2. *For all $i \in I$ the morphism $h_{U_i} \longrightarrow F$ is a local homeomorphism (in the*
   sense of definition 1.1.5).

The Proposition 1.1.8 tells that the quotient sheaf h_X/G, for a free
action of group G on a topological manifold is a geometric space.
An example of geometric space is $h_\mathbb{R}/\mathbb{Q}$ described above. Another
example is $h_\mathbb{R}/\mathbb{Z} + \alpha\mathbb{Z}$, where $\alpha \in \mathbb{R} - \mathbb{Q}$.

The geometric spaces form a full subcategory of $\mathsf{Sh}(\mathcal{C}, \tau)$. This
category will studied in detail the next lecture.

1.2 *Lecture 2: Reflections on the notion of space II*

In the last lecture, we have seen how the category of topological
manifold can be reconstructed from the category $\mathcal{C}$ of open sets in
$\mathbb{R}^n$. To be more precise, we have used the category $\mathcal{C}$ together with
two additional structures: the topology τ and the notion of local
homeomorphism. In this lecture, we will formalize this, and we will
explain how to define manifold and geometric space in the abstract
contexts.

1.2.1 *Geometric contexts*

Let $\mathcal{C}$ be a category with a canonical (pre)topology τ. By Yoneda's
lemma we identifies then the category $\mathcal{C}$ with a full subcategory of
$\mathsf{Sh}(\mathcal{C}, \tau)$ of sheaves on $\mathcal{C}$. We denote the Yoneda embedding by

$$h : \mathcal{C} \longrightarrow \mathsf{Sh}(\mathcal{C}, \tau).$$

We single out a class of morphisms $\mathbf{P}$ in $\mathcal{C}$ (i.e. $\mathbf{P}$ is a subset of morphisms in $\mathcal{C}$). Recall the following notions:

1. We say that one morphism $f : X \longrightarrow Y$ in $\mathcal{C}$ is **carrable** if for every morphism $Z \longrightarrow Y$ in $\mathcal{C}$, the object $X \times_Y Z$ exists in $\mathcal{C}$.

2. We say that $\mathbf{P}$ is **stable by composition** if for two morphisms $X \xrightarrow{f} Y \xrightarrow{g} Z$ we have $f \in \mathbf{P}$ and $g \in \mathbf{P} \implies g \circ f \in \mathbf{P}$.

3. We say that $\mathbf{P}$ is **stable by change of basis** if for every Cartesian square in $\mathcal{C}$
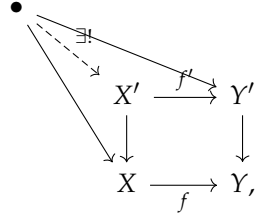
   we have $f \in \mathbf{P} \implies f' \in \mathbf{P}$.

4. We say that **P contains the identity** is for every object $X \in \mathcal{C}$, $id_X \in \mathbf{P}$.

We remark that if $\mathbf{P}$ is stable by change of basis and contains identity, $\mathbf{P}$ contains also all the isomorphisms (Exercises: verify this[1].
   We use the fact that the commutative squares of isomorphisms is Cartesian).
   We will also need the following notions.

1. A family of morphisms $\{X_i \longrightarrow X\}$ in $\mathcal{C}$ is a **P-covering** if each morphism $X_i \longrightarrow X$ belongs to $\mathbf{P}$ and the morphism

$$\coprod_i h_{X_i} \longrightarrow h_X$$

   is an epimorphism of sheaves.

2. A family of morphisms $\{X_i \longrightarrow X\}$ in $\mathcal{C}$ is a **P-open cover** if it is a **P**-cover and in addition, each morphism $X_i \longrightarrow X$ is a monomorphism.

3. We say that $\mathbf{P}$ is local for topology τ if the two following conditions are satisfied

   (a) Let $f : X \longrightarrow Y$ be a morphism in $\mathcal{C}$. If there exists a covering family $\{Y_i \longrightarrow Y\}$ such that each induced morphism $f_i : X \times_Y Y_i \longrightarrow Y$ belongs to $\mathbf{P}$, $f \in \mathbf{P}$.

---

[1] By definition, an isomorphism is both epimorphism and monomorphism.
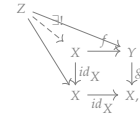
where f, g are inverse to each other. The commutative diagram of isomorphisms is Cartesian, checked by universal property. In particular $\mathbf{P}$ is stable under change of base and it contains id_X, hence contains f.

(b) Let $f : X \longrightarrow Y$ be a morphism in $\mathcal{C}$. If there exists a **P**-covering $\{X_i \longrightarrow X\}$ so that each induced morphism $X_i \longrightarrow X$ is in **P**, $f \in \mathbf{P}$.

4. We say that the triple $(\mathcal{C}, \tau, \mathbf{P})$ is compatible with finite coproduct if the following four conditions are satisfied

   (a) All finite coproduct in $\mathcal{C}$ exists.

   (b) For all finite collections of objects $\{X_i\}_{i \in I}$ in $\mathcal{C}$, the family of morphisms
   $$\{X_j \longrightarrow \coprod_{i \in I} X_i\}_{j \in I}$$
   is a **P**-covering.

   (c) The finite coproducts are disjoint in $\mathcal{C}$: for all family of objects $\{X_i\}_{i \in I}$ in $\mathcal{C}$, denote coproduct $X := \coprod_{i \in I} X_i$. For all $(j, k) \in I^2$, we have
   $$X_j \times_X X_k \simeq \varnothing \text{ if } j \neq k$$
   $$X_j \times_X X_j \simeq X_j.$$

   (d) For all $X \in \mathcal{C}$, if there exists two sheaves F, G so that $h_X \simeq F \coprod G$, there exists $Y \in \mathcal{C}$ with $h_Y \simeq F$

5. We say that the morphisms in **P have open images** if every morphism $f : X \longrightarrow Y$ in **P**, there exists a family of morphism $\{X_i' \longrightarrow Y\}$ in **P** that satisfies the following two conditions

   (a) The morphisms $X_i' \longrightarrow Y$ are monomorphisms.

   (b) The morphisms of sheaves $h_X \longrightarrow h_Y$ and $\coprod_i h_{X_i'} \longrightarrow h_Y$ have open images.

6. We say that the morphisms in **P** are **locally carrable** if for every morphism $f : X \longrightarrow Y$ in **P**, there exists a **P**-open covering $\{X_i \longrightarrow X\}_{i \in I}$ so that each morphism $X_i \longrightarrow Y$ are carrable.

We finally arrive at the key definition of this lecture.

Definition 1.2.1. *A **geometric context** means to give a category $\mathcal{C}$, a (pre)topology τ on $\mathcal{C}$, and class of morphisms **P** in $\mathcal{C}$ so that the following conditions are satisfied.*

1. *The topology τ is sub-canonical.*

2. *The morphism in **P** are locally carrable.*

3. **P** *is stable under composition and change of basis, contains identities and is local for topology τ.*

4. *The triple $(\mathcal{C}, \tau, \mathbf{P})$ is compatible with finite coproducts.*

5. *The morphism in* **P** *have open images.*

It is now important to note the following fact which will be used implicitly afterwards.

Lemma 1.2.2. *If $(\mathcal{C}, \tau, \mathbf{P})$ is a geometric context, the embedding*

$$h : \mathcal{C} \longrightarrow \mathsf{Sh}(\mathcal{C}, \tau)$$

commutes with finite coproduct.

Proof. For a family of objects $\{X_i\}_{i \in I}$ in $\mathcal{C}$, the coproduct $X := \coprod_i X_i$, it is necessary to show that the morphism of sheaves

$$\coprod_i h_{X_i} \longrightarrow h_X$$

is an isomorphism of sheaves. In order to prove this, we show that it both an epimorphism and a monomorphism.

To show that it is a monomorphism, we use fact that coproduct commutes with finite limits

$$\left(\coprod_i h_{X_i} \right) \times_{h_X} \left(\coprod_i h_{X_i} \right) \simeq \coprod_{i,j} (h_{X_i} \times_{h_X} h_{X_j}).$$

As the coproducts are disjoint in $\mathcal{C}$ we find

$$\left(\coprod_i h_{X_i} \right) \times_{h_X} \left(\coprod_i h_{X_i} \right) \simeq \coprod_i h_{X_i},$$

which implies that the morphism $\coprod_{i \in I} h_{X_i} \longrightarrow h_X$ is a monomorphism.

The fact that $\coprod_{i \in I} h_{X_i} \longrightarrow h_X$ is also an epimorphism is verified by the condition (b) of the definition of "compatible with finite coproduct". $\qquad \square$

In order to make the ideas clear, we give the following examples of geometric context (Exercises, verify they satisfy the definition)

Example 1.2.3.

(1) *We take $\mathcal{C}$ to be the category of topological space. The Grothendieck topology τ is a usual one: a collection of morphisms of topological*

spaces $\{X_i \longrightarrow X\}_{i \in I}$ is a covering family if for every i the morphism $X_i \longrightarrow X$ is an open immersion and the morphism $\coprod_i X_i \longrightarrow X$ is surjective. $\mathbf{P}$ is taken to be the local homeomorphisms.

(2) *We take $\mathcal{C}$ the full subcategory of topological spaces consisting of finite disjoint coproducts of open sets in $\mathbb{R}^n$ (n is not fixed). The topology τ is the topology as above in (1) and $\mathbf{P}$ are still take to be local homeomorphisms.*

(3) *$\mathcal{C}$ and τ are taken to be as in (2) above. $\mathbf{P}$ is taken to be the submersions in Top. WE recall that a continuous map $f : X \longrightarrow Y$ is a submersion if there exists an open cover $\{U_i\}$ of X and a collection of open sets $\{V_i\}_{i \in I}$ in Y and the diagram commutes*

$$
\begin{array}{ccc}
U_i & \xrightarrow{\;u_i\;} & V_i \times \mathbb{R}^{n_i} \\
{\scriptstyle q_i}\downarrow & & \downarrow{\scriptstyle p_i} \\
X & \xrightarrow[\;f\;]{} & Y,
\end{array}
$$

where the u_i are homeomorphisms , and q_i, p_i are respectively $U_i \subset X$ and $V_i \times \mathbb{R}^{n_i} \longrightarrow V_i \subset Y$.

(4) *The category $\mathcal{C}$ is defined in the following way: the objects are finite disjoint union of open sets in $\mathbb{R}^n$ (n is variable). The morphisms are those C^∞ (i.e. infinitely differentiable). The topology τ on $\mathcal{C}$ is still the usual topology: a collection of morphisms $\{X_i \longrightarrow X\}_{i \in I}$ in $\mathcal{C}$ is a covering family if for each i the morphism $X - i \longrightarrow X$ is an open C^∞ immersion (i.e. is injective and the derivative is injective on each point of X_i) and the morphism $\coprod_i X_i \longrightarrow X$ is surjective. $\mathbf{P}$ is taken to be the collection of local diffeomorphisms. (C^∞ maps of which the derivative is bijective at each point.)*

(5) *$\mathcal{C}$ and τ are taken as above in (4). $\mathbf{P}$ is taken to be smooth submersions (i.e. the C^∞ maps of which the derivative is surjective at each point).*

(6) *$\mathcal{C}$ is defined in the following way: the objects are the finite disjoint union of open sets in $\mathbb{C}^n$ with n variable. The morphism are the holomorphic maps (i.e. C^∞ and the derivative at each point is $\mathbb{C}$-linear). $\mathbf{P}$ is taken to be the non-degenerate holomorphic maps (i.e. holomorphic maps of which the differential is of maximal rank at every point).*

1.2.2   *Manifolds*

We fix a geometric context $(\mathcal{C}, \tau, \mathbf{P})$ in the sense of Definition 1.2.1.

Definition 1.2.4.

1. *Let $X \in \mathcal{C}$. A morphism of sheaves $f : F \longrightarrow h_X$ is an **open immersion** if it is a monomorphism and there exists a family of morphisms $\{X_i \longrightarrow X\}$ in **P** so that their image under f is the same as the image under $\coprod_i h_{X_i} \longrightarrow h_X$.*

2. *A morphism of sheaves $f : F \longrightarrow G$ is an open immersion if for all $X \in \mathcal{C}$ and all morphism $h_X \longrightarrow G$, the induced morphism*

$$F \times_G h_X \longrightarrow h_X$$

   is an open immersion in the sense defined above.

We remark that condition (5) in the definition 1.2.1 of geometric context implies that we can suppose each $X_i \longrightarrow X$ to be also a monomorphism in the above definition.

We can show that the open immersions are stable under composition and change of basis (Exercise). We can also show that for two sheaves F, G the morphism $F \longrightarrow F \coprod G$ is an open immersion (Exercise: use the fact that $(\mathcal{C}, \tau, \mathbf{P})$ is compatible with finite coproducts).

Definition 1.2.5. *A sheaf $F \in \mathsf{Sh}(\mathcal{C}, \tau)$ is a **manifold**[2] (respect to a context $(\mathcal{C}, \tau, \mathbf{P})$) if there exists a family of objects $\{U_i\}_{i \in I}$ in $\mathcal{C}$ and a morphism $p : \coprod_{i \in I} h_{U_i} \longrightarrow F$ such that the following two condition is satisfied.*

[2] In French, both algebraic variety and manifolds are called variété. I suppose it is just a convention.

1. *The morphism p is an epimorphism of sheaves.*

2. *For each $i \in I$, the morphism $h_{U_i} \longrightarrow F$ is an open immersion.*

*The assignment of U_i and morphism $p : \coprod_{i \in I} h_{U_i} \longrightarrow F$ is called an **open atlas** of F.*

The category of manifolds is the full subcategory of the category of sheaves $\mathsf{Sh}(\mathcal{C}, \tau)$ formed by manifolds.

Each geometric context in the Example 1.2.3 thus give rise to a notion of manifold. Here is the notion of manifolds in the geometric contexts. (Big exercise: demonstrate these assertions with the inspiration from context (2), which has been discussed in previous lectures).

1. The category of manifolds in context (1) is equivalent to the category of topological spaces

2. The category of manifolds in context (2) is equivalent to the category of topological manifolds(This has been demonstrated in previous lectures).

3. The category of manifolds in context (3) is equivalent to the category of topological manifolds (We remark that the open immersions are the same as in (2), although the **P**s are different).

4. The category of manifolds in context (4) is equivalent to the category of differentiable manifolds.

5. The category of manifolds in context (5) is equivalent to the category of differentiable manifolds.

6. The category of manifolds in context (6) is equivalent to the category of complex manifolds.

1.2.3 Geometric spaces

To illustrate the power of definition of geometric spaces, we must firstly extend the notion of morphism in **P** of objects in $\mathcal{C}$, to those on sheaves, as we have done for the notion of local homeomorphisms.

Definition 1.2.6.

1. *A morphism $f : F \longrightarrow G$ in $\mathsf{Sh}(\mathcal{C}, \tau)$ is **representable (by a manifold)** if for all object $X \in \mathcal{C}$ and all morphisms $h_X \longrightarrow G$ the fibered product $F \times_G h_X$ is a manifold.*

2. *A morphism $f : F \longrightarrow G$ in $\mathsf{Sh}(\mathcal{C}, \tau)$ **has the property P** (We also say the morphism belongs to **P**) if it is representable and if for every object $X \in \mathcal{C}$ and all morphism $h_X \longrightarrow G$ there exists an open atlas.*

$$p : \coprod_{i \in I} h_{U_i} \longrightarrow F \times_G h_X$$

   *so that each induced morphism $h_{U_i} \longrightarrow h_X$ corresponds to a morphism $U_i \longrightarrow X$ in $\mathcal{C}$ that belongs to **P**.*

As will be explained in the following important lemma, in particular, the notion of morphism of sheaves belongs to **P** is compatible with the notion already exists on $\mathcal{C}$.

Lemma 1.2.7.

1. *An open immersion in $\mathsf{Sh}(\mathcal{C}, \tau)$ has property **P**.*

2. *Let $X \in \mathcal{C}$ and $F \longrightarrow h_X$ be a morphism of sheaves. We suppose that there exists a covering family $\{X_i \longrightarrow X\}$ satisfying the following conditions.*

   (a) *Each morphism $X_i \longrightarrow X$ is a monomorphism and belongs to **P**.*

(b) *For each i, the sheaf $F \times_{h_X} h_{X_i}$ is a manifold.*

If so, we say the sheave F is a manifold.

3. *The morphisms having property* **P** *in the sense of definition 1.2.6 are stable under composition and change of basis.*

4. *Let $f : X \longrightarrow Y$ be a morphism in C. Then f is in* **P** *if and only if the morphism $h_f : h_X \longrightarrow h_Y$ has property* **P** *in the sense of definition 1.2.6.*

5. *A morphism $f : X \longrightarrow Y$ induces an open immersion $h_f : h_X \longrightarrow h_Y$ if and only if f belongs to* **P** *and if it is a monomorphism in C.*

Proof. (1) Let $f : F \longrightarrow G$ be an open immersion. Let $X \in C, h_X \longrightarrow G$ be a morphism, and consider the induced morphism

$$F' := F \times_G h_X \longrightarrow h_X.$$

There exists a family of morphisms $\{X_i \longrightarrow X\}$ in **P** so that F' identifies with the image of morphism $\coprod_i h_{X_i} \longrightarrow h_X$. With the useful fact that the morphism of **P** have open images, we can further suppose that each $h_{X_i} \longrightarrow h_X$ is a monomorphism. With the useful fact that the morphism in **P** are locally carrable, we can also suppose that the morphisms $X_i \longrightarrow X$ are carrable in C. The morphisms $h_{X_i} \longrightarrow h_X$ would factorize through F'. Moreover, we see easily (Exercise) that each morphism $h_{X_i} \longrightarrow F'$ is an open immersion. By hypothesis, the morphism $P : \coprod_i h_{X_i} \longrightarrow F'$ is an epimorphism, which implies that F' is a manifold. In addition, $p : \coprod_i h_{X_i} \longrightarrow F'$ is an open atlas that $h_{X_i} \longrightarrow h_X$ is in **P**. This shows that f is in **P**.

(2). Let $F_i := F \times_{h_X} h_{X_i}$. For all i, there exists an open atlas $\coprod_j V_{i,j} \longrightarrow F_i$. We verify then the morphism

$$\coprod_{i,j} V_{i,j} \longrightarrow F$$

is an open atlas.

(3) Exercise (use point (2))

(4) Let $f : X \longrightarrow Y$ in C. Suppose first that f is in **P**. Let $Z \longrightarrow Y$ be a morphism in C. We have to start by showing that the sheaf $h_X \times_{h_Y} h_Z$ is representable by a manifold. For this, we use the fact that the morphism in **P** are locally carrable. There exists an open **P**-cover $\{X_i \longrightarrow X\}$, so that each sheaf $h_{X_i} \times_{h_Y} h_Z$ is representable by an object U_i in C. By the point (2), this shows that $h_X \times_{h_Y} h_Z$ is a manifold. Moreover, the induced morphism $U - i \longrightarrow Z$ are in **P** because the

morphisms in **P** are stable under change of basis, which shows f is indeed in **P**.

Reciprocally, if h_f is in **P**, there exists a **P**-cover $\{X_i \longrightarrow X\}$ so that each morphism $X_i \longrightarrow Y$ is in **P**. Therefore **P** is local for τ, which implies f is in **P**.

(5) is induced from (4) plus the fact that a morphism f in $\mathcal{C}$ is a monomorphism if and only if the morphism h_f is a monomorphism in $\mathsf{Sh}(\mathcal{C}, \tau)$. □

2

Schemes and algebraic spaces

3
Stacks

4

On the Use of the `tufte-book` *Document Class*

Definition 4.0.1. *adas*

The Tufte-LATEX document classes define a style similar to the style Edward Tufte uses in his books and handouts. Tufte's style is known for its extensive use of sidenotes, tight integration of graphics with text, and well-set typography. This document aims to be at once a demonstration of the features of the Tufte-LATEX document classes and a style guide to their use.

4.1 Page Layout

4.1.1 Headings

This style provides A- and B-heads (that is, \section and \subsection), demonstrated above.

If you need more than two levels of section headings, you'll have to define them yourself at the moment; there are no pre-defined styles for anything below a \subsection. As Bringhurst points out in *The Elements of Typographic Style*,[1] you should "use as many levels of headings as you need: no more, and no fewer."

[1] Robert Bringhurst. *The Elements of Typography*. Hartley & Marks, 3.1 edition, 2005. ISBN 0-88179-205-5

The Tufte-LATEX classes will emit an error if you try to use \subsubsection and smaller headings.

IN HIS LATER BOOKS,[2] Tufte starts each section with a bit of vertical space, a non-indented paragraph, and sets the first few words of the sentence in SMALL CAPS. To accomplish this using this style, use the \newthought command:

[2] Edward R. Tufte. *Beautiful Evidence*. Graphics Press, LLC, first edition, May 2006. ISBN 0-9613921-7-7

```
\newthought{In his later books}, Tufte starts...
```

4.2   *Sidenotes*

One of the most prominent and distinctive features of this style is
the extensive use of sidenotes. There is a wide margin to provide
ample room for sidenotes and small figures. Any \footnotes will au-
tomatically be converted to sidenotes.[1] If you'd like to place ancillary
information in the margin without the sidenote mark (the superscript
number), you can use the \marginnote command.

The specification of the \sidenote command is:

\sidenote[⟨*number*⟩][⟨*offset*⟩]{*Sidenote text.*}

Both the ⟨*number*⟩ and ⟨*offset*⟩ arguments are optional. If you
provide a ⟨*number*⟩ argument, then that number will be used as the
sidenote number. It will change of the number of the current sidenote
only and will not affect the numbering sequence of subsequent
sidenotes.

Sometimes a sidenote may run over the top of other text or graph-
ics in the margin space. If this happens, you can adjust the vertical
position of the sidenote by providing a dimension in the ⟨*offset*⟩
argument. Some examples of valid dimensions are:

    1.0in   2.54cm   254mm   6\baselineskip

If the dimension is positive it will push the sidenote down the page;
if the dimension is negative, it will move the sidenote up the page.

While both the ⟨*number*⟩ and ⟨*offset*⟩ arguments are optional, they
must be provided in order. To adjust the vertical position of the
sidenote while leaving the sidenote number alone, use the following
syntax:

\sidenote[][⟨*offset*⟩]{*Sidenote text.*}

The empty brackets tell the \sidenote command to use the default
sidenote number.

If you *only* want to change the sidenote number, however, you may
completely omit the ⟨*offset*⟩ argument:

\sidenote[⟨*number*⟩]{*Sidenote text.*}

The \marginnote command has a similar *offset* argument:

\marginnote[⟨*offset*⟩]{*Margin note text.*}

4.3   *References*

References are placed alongside their citations as sidenotes, as well.
This can be accomplished using the normal \cite command.[1]

[1] This is a sidenote that was entered using the \footnote command.

This is a margin note. Notice that there isn't a number preceding the note, and there is no number in the main text where this note was written.

[1] The first paragraph of this document includes a citation.

The complete list of references may also be printed automatically by using the `\bibliography` command. (See the end of this document for an example.) If you do not want to print a bibliography at the end of your document, use the `\nobibliography` command in its place.

To enter multiple citations at one location,[2] you can provide a list of keys separated by commas and the same optional vertical offset argument: \cite{Tufte2006,Tufte1990}.

> \cite[⟨*offset*⟩]{*bibkey1,bibkey2,...*}

[2] Edward R. Tufte. *Beautiful Evidence*. Graphics Press, LLC, first edition, May 2006. ISBN 0-9613921-7-7; and Edward R. Tufte. *Envisioning Information*. Graphics Press, Cheshire, Connecticut, 1990. ISBN 0-9613921-1-8
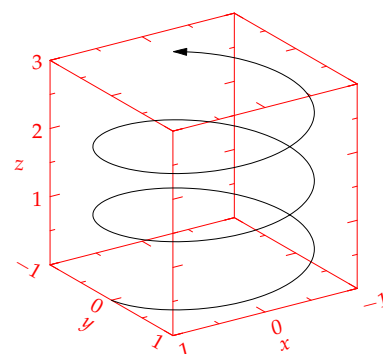
4.4 *Figures and Tables*

Images and graphics play an integral role in Tufte's work. In addition to the standard `figure` and `tabular` environments, this style provides special figure and table environments for full-width floats.

Full page–width figures and tables may be placed in `figure*` or `table*` environments. To place figures or tables in the margin, use the `marginfigure` or `margintable` environments as follows (see figure 4.1):

```
\begin{marginfigure}
    \includegraphics{helix}
    \caption{This is a margin figure.}
    \label{fig:marginfig}
\end{marginfigure}
```


Figure 4.1: This is a margin figure. The helix is defined by $x = \cos(2\pi z)$, $y = \sin(2\pi z)$, and $z = [0, 2.7]$. The figure was drawn using Asymptote (http://asymptote.sf.net/).

The `marginfigure` and `margintable` environments accept an optional parameter ⟨*offset*⟩ that adjusts the vertical position of the figure or table. See the "Sidenotes" section above for examples. The specifications are:

```
\begin{marginfigure}[⟨offset⟩]
    ...
\end{marginfigure}

\begin{margintable}[⟨offset⟩]
    ...
\end{margintable}
```

Figure 4.2 is an example of the `figure*` environment and figure 4.3 is an example of the normal `figure` environment.

As with sidenotes and marginnotes, a caption may sometimes require vertical adjustment. The `\caption` command now takes a second optional argument that enables you to do this by providing
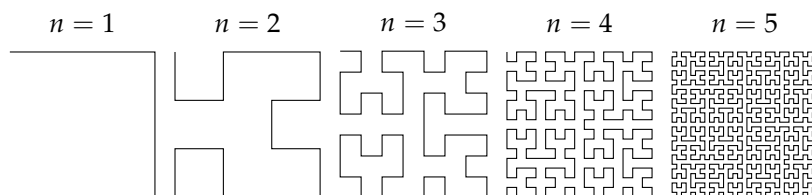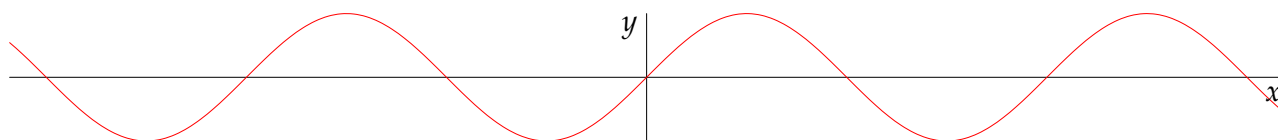
Figure 4.2: This graph shows $y = \sin x$ from about $x = [-10, 10]$. *Notice that this figure takes up the full page width.*

Figure 4.3: Hilbert curves of various degrees n. *Notice that this figure only takes up the main textblock width.*

a dimension ⟨*offset*⟩. You may specify the caption in any one of the following forms:

```
\caption{long caption}
\caption[short caption]{long caption}
\caption[][⟨offset⟩]{long caption}
\caption[short caption][⟨offset⟩]{long caption}
```

A positive ⟨*offset*⟩ will push the caption down the page. The short caption, if provided, is what appears in the list of figures/tables, otherwise the "long" caption appears there. Note that although the arguments ⟨*short caption*⟩ and ⟨*offset*⟩ are both optional, they must be provided in order. Thus, to specify an ⟨*offset*⟩ without specifying a ⟨*short caption*⟩, you must include the first set of empty brackets [], which tell \caption to use the default "long" caption. As an example, the caption to figure 4.3 above was given in the form

```
\caption[Hilbert curves...][6pt]{Hilbert curves...}
```

Table 4.1 shows table created with the booktabs package. Notice the lack of vertical rules—they serve only to clutter the table's data.

Margin	Length
Paper width	$8 1/2$ inches
Paper height	11 inches
Textblock width	$6 1/2$ inches
Textblock/sidenote gutter	$3/8$ inches
Sidenote width	2 inches

Table 4.1: Here are the dimensions of the various margins used in the Tufte-handout class.

OCCASIONALLY LATEX will generate an error message:

```
Error:  Too many unprocessed floats
```

LaTeX tries to place floats in the best position on the page. Until it's finished composing the page, however, it won't know where those positions are. If you have a lot of floats on a page (including sidenotes, margin notes, figures, tables, etc.), LaTeX may run out of "slots" to keep track of them and will generate the above error.

LaTeX initially allocates 18 slots for storing floats. To work around this limitation, the Tufte-LaTeX document classes provide a `\morefloats` command that will reserve more slots.

The first time `\morefloats` is called, it allocates an additional 34 slots. The second time `\morefloats` is called, it allocates another 26 slots.

The `\morefloats` command may only be used two times. Calling it a third time will generate an error message. (This is because we can't safely allocate many more floats or LaTeX will run out of memory.)

If, after using the `\morefloats` command twice, you continue to get the `Too many unprocessed floats` error, there are a couple things you can do.

The `\FloatBarrier` command will immediately process all the floats before typesetting more material. Since `\FloatBarrier` will start a new paragraph, you should place this command at the beginning or end of a paragraph.

The `\clearpage` command will also process the floats before continuing, but instead of starting a new paragraph, it will start a new page.

You can also try moving your floats around a bit: move a figure or table to the next page or reduce the number of sidenotes. (Each sidenote actually uses *two* slots.)

After the floats have placed, LaTeX will mark those slots as unused so they are available for the next page to be composed.

4.5   *Captions*

You may notice that the captions are sometimes misaligned. Due to the way LaTeX's float mechanism works, we can't know for sure where it decided to put a float. Therefore, the Tufte-LaTeX document classes provide commands to override the caption position.

Vertical alignment   To override the vertical alignment, use the `\setfloatalignment` command inside the float environment. For example:

```
\begin{figure}[btp]
    \includegraphics{sinewave}
    \caption{This is an example of a sine wave.}
    \label{fig:sinewave}
    \setfloatalignment{b}% forces caption to be bottom-aligned
\end{figure}
```

The syntax of the `\setfloatalignment` command is:

```
\setfloatalignment{⟨pos⟩}
```

where ⟨*pos*⟩ can be either b for bottom-aligned captions, or t for top-aligned captions.

Horizontal alignment   To override the horizontal alignment, use either the `\forceversofloat` or the `\forcerectofloat` command inside of the float environment. For example:

```
\begin{figure}[btp]
    \includegraphics{sinewave}
    \caption{This is an example of a sine wave.}
    \label{fig:sinewave}
    \forceversofloat% forces caption to be set to the left of the float
\end{figure}
```

The `\forceversofloat` command causes the algorithm to assume the float has been placed on a verso page—that is, a page on the left side of a two-page spread. Conversely, the `\forcerectofloat` command causes the algorithm to assume the float has been placed on a recto page—that is, a page on the right side of a two-page spread.

4.6   *Full-width text blocks*

In addition to the new float types, there is a `fullwidth` environment that stretches across the main text block and the sidenotes area.

```
\begin{fullwidth}
Lorem ipsum dolor sit amet...
\end{fullwidth}
```

Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Ut purus elit, vestibulum ut, placerat ac, adipiscing vitae, felis. Curabitur dictum gravida mauris. Nam arcu libero, nonummy eget, consectetuer id, vulputate a, magna. Donec vehicula augue eu neque. Pellentesque habitant morbi tristique senectus et netus et malesuada fames ac turpis egestas. Mauris ut leo. Cras viverra metus rhoncus sem. Nulla et lectus vestibulum urna fringilla ultrices. Phasellus eu tellus sit amet tortor gravida placerat. Integer sapien est, iaculis in, pretium quis, viverra ac, nunc. Praesent eget sem vel leo ultrices bibendum. Aenean faucibus. Morbi dolor nulla, malesuada eu, pulvinar at, mollis ac, nulla. Curabitur auctor semper nulla. Donec varius orci eget risus. Duis nibh mi, congue eu, accumsan eleifend, sagittis quis, diam. Duis eget orci sit amet orci dignissim rutrum.

4.7 Typography

4.7.1 Typefaces

If the Palatino, Helvetica, and Bera Mono typefaces are installed, this style will use them automatically. Otherwise, we'll fall back on the Computer Modern typefaces.

4.7.2 Letterspacing

This document class includes two new commands and some improvements on existing commands for letterspacing.

When setting strings of ALL CAPS or SMALL CAPS, the letterspacing—that is, the spacing between the letters—should be increased slightly.[1] The `\allcaps` command has proper letterspacing for strings of FULL CAPITAL LETTERS, and the `\smallcaps` command has letterspacing for SMALL CAPITAL LETTERS. These commands will also automatically convert the case of the text to upper- or lowercase, respectively.

The `\textsc` command has also been redefined to include letterspacing. The case of the \textsc argument is left as is, however. This allows one to use both uppercase and lowercase letters: THE INITIAL LETTERS OF THE WORDS IN THIS SENTENCE ARE CAPITALIZED.

[1] Robert Bringhurst. *The Elements of Typography*. Hartley & Marks, 3.1 edition, 2005. ISBN 0-88179-205-5

4.8 Document Class Options

The `tufte-book` class is based on the LaTeX book document class. Therefore, you can pass any of the typical book options. There are a few options that are specific to the `tufte-book` document class, however.

The `a4paper` option will set the paper size to A4 instead of the default US letter size.

The `sfsidenotes` option will set the sidenotes and title block in a sans serif typeface instead of the default roman.

The `twoside` option will modify the running heads so that the page number is printed on the outside edge (as opposed to always printing the page number on the right-side edge in `oneside` mode).

The `symmetric` option typesets the sidenotes on the outside edge of the page. This is how books are traditionally printed, but is contrary to Tufte's book design which sets the sidenotes on the right side

of the page. This option implicitly sets the twoside option.

The justified option sets all the text fully justified (flush left and right). The default is to set the text ragged right. The body text of Tufte's books are set ragged right. This prevents needless hyphenation and makes it easier to read the text in the slightly narrower column.

The bidi option loads the bidi package which is used with XǝLATEX to typeset bi-directional text. Since the bidi package needs to be loaded before the sidenotes and cite commands are defined, it can't be loaded in the document preamble.

The debug option causes the Tufte-LATEX classes to output debug information to the log file which is useful in troubleshooting bugs. It will also cause the graphics to be replaced by outlines.

The nofonts option prevents the Tufte-LATEX classes from automatically loading the Palatino and Helvetica typefaces. You should use this option if you wish to load your own fonts. If you're using XǝLATEX, this option is implied (*i.e.*, the Palatino and Helvetica fonts aren't loaded if you use XǝLATEX).

The nols option inhibits the letterspacing code. The Tufte-LATEX classes try to load the appropriate letterspacing package (either pdfTEX's letterspace package or the soul package). If you're using XǝLATEX with fontenc, however, you should configure your own letterspacing.

The notitlepage option causes \maketitle to generate a title block instead of a title page. The book class defaults to a title page and the handout class defaults to the title block. There is an analogous titlepage option that forces \maketitle to generate a full title page instead of the title block.

The notoc option suppresses Tufte-LATEX's custom table of contents (TOC) design. The current TOC design only shows unnumbered chapter titles; it doesn't show sections or subsections. The notoc option will revert to LATEX's TOC design.

The nohyper option prevents the hyperref package from being loaded. The default is to load the hyperref package and use the \title and \author contents as metadata for the generated PDF.

Bibliography

Robert Bringhurst. *The Elements of Typography*. Hartley & Marks, 3.1 edition, 2005. ISBN 0-88179-205-5.

Edward R. Tufte. *Envisioning Information*. Graphics Press, Cheshire, Connecticut, 1990. ISBN 0-9613921-1-8.

Edward R. Tufte. *Visual Explanations*. Graphics Press, Cheshire, Connecticut, 1997. ISBN 0-9613921-2-6.

Edward R. Tufte. *The Visual Display of Quantitative Information*. Graphics Press, Cheshire, Connecticut, 2001. ISBN 0-9613921-4-2.

Edward R. Tufte. *Beautiful Evidence*. Graphics Press, LLC, first edition, May 2006. ISBN 0-9613921-7-7.

Index