

# Lecture Notes for Algebraic Geometry I

Lecture delivered by Emmanuel Kowalski

Notes by Lin-Da Xiao

2018 ETH

## Contents

<b>1</b>	<b>Classical varieties</b>	<b>2</b>
1.1	Feb 27th: Algebraic sets and morphisms . . . . .	2
1.2	Mar 2nd: Projective algebraic sets and regular functions . . . . .	6
1.3	Mar 6th: Rational/birational maps . . . . .	9

## About the notes

In this notes we mainly focus on the algebraically closed fields.

## 1 Classical varieties

### 1.1 Feb 27th: Algebraic sets and morphisms

<https://imaginary.org/programs>

Recall:  $V(I) \subset \mathbb{A}^n = \{x \mid \forall f \in I, f(x) = 0\}$ .

**Definition 1.1.** Closed subspaces of  $\mathbb{A}^n$  are called **affine algebraic sets** and irreducible algebraic sets are called **affine algebraic varieties**

**Definition 1.2.** Given  $Y$  an affine algebraic set in  $\mathbb{A}^n$ , we define the **coordinate ring**  $\mathcal{O}(Y)$  as  $K[X_1, \dots, X_n]/I(Y)$

**Definition 1.3.** Let  $X \subset \mathbb{A}^m$  and  $Y \subset \mathbb{A}^n$  be affine algebraic sets. A **morphism**  $X \rightarrow Y$  of affine algebraic sets is a map  $f : X \rightarrow Y$  of the underlying sets such that there exist polynomials  $f_1, \dots, f_n \in k[T_1, \dots, T_m]$  with  $f(x) = (f_1(x), \dots, f_n(x))$  for all  $x \in X$ .

We denote the category of affine algebraic sets over  $K$  as  $Alg_K$

**Theorem 1.4.** Let  $Y_1 \subset \mathbb{A}^n, X_1, \dots, X_n, Y_2 \subset \mathbb{A}^m, T_1, \dots, T_m$  affine algebraic sets. There are bijections

$$\begin{aligned} & Hom_{K\text{-alg}}(\mathcal{O}(Y_2), \mathcal{O}(Y_1)) \\ & \xleftrightarrow{(*)} \{(f_1, \dots, f_m) \in K[X]^m \mid \forall x \in Y_1, (f_1(x), \dots, f_m(x)) \in Y_2\} \\ & \xleftrightarrow{(**)} \{f : Y_1 \rightarrow Y_2 \mid \forall \varphi \in \mathcal{O}(Y_2), \varphi \circ f \text{ is in } \mathcal{O}(Y_1)\} \\ & = Hom_{Alg_K}(Y_1, Y_2) \end{aligned}$$

*Proof.* Key observation

To give  $(f_1, \dots, f_m) \in K[X]^m$  is “the same” as giving a ring morphism  $g_0 : K[T] \rightarrow K[X] : T_i \mapsto f_i$ , which gives by composition  $g_1 = \pi_1 \circ g_0$ , where  $\pi_1 : K[X] \rightarrow \mathcal{O}(Y_1)$  is the canonical projection.

$$g_1 : K[T] \rightarrow \mathcal{O}(Y_1)$$

which has a factorization

$$\begin{array}{ccc} K[T] & \xrightarrow{g_1} & \mathcal{O}(Y_1) \\ \downarrow \pi_2 & \nearrow g & \\ \mathcal{O}(Y_2) & & \end{array}$$

iff  $g_1(I(Y_2)) = 0$ , which means iff

$$g_1(\varphi) = \text{“replace } T_i \text{ by } f_i \text{ in } \varphi\text{”}$$

belongs to  $I(Y_1)$  if  $\varphi \in I(Y_2)$ , which means if  $x \in Y_1$ , then  $g_1(\varphi)(x) = 0$ . That means  $\varphi(f_1(x), \dots, f_m(x)) = 0$  for  $\varphi \in I(Y_2)$ , i.e.,  $(f_1(x), \dots, f_m(x)) \in Y_2$ . If  $x \in Y_1$ . In the statement, this gives the  $(*)$  bijection. Any  $k$ -algebra morphism  $\mathcal{O}(Y_1) \rightarrow \mathcal{O}(Y_2)$  comes from  $K[T] \rightarrow \mathcal{O}(Y_1)$  s.t. it vanishes on  $I(Y_2)$ .

For the bijection  $(**)$ , suppose

$$g : Y_1 \xrightarrow{g} Y_2 \xrightarrow{\varphi} K$$

sends  $\varphi(Y_2)$  to  $\varphi \circ g \in \mathcal{O}(Y_1)$ . Then we get

$$\mathcal{O}(Y_2) \rightarrow \mathcal{O}(Y_1)$$

$$\varphi \mapsto \varphi \circ g,$$

which is a  $K$ -algebra morphism.

As for the reverse direction, given  $g$ . From  $\mathcal{O}(Y_2) \rightarrow \mathcal{O}(Y_1)$  to get a  $g : Y_1 \rightarrow Y_2$ . We get a  $\tilde{g} : Y_1 \rightarrow Y_2$  in the second set

$$\tilde{g}(x) = (f_1(x), \dots, f_m(x))$$

then we have  $\varphi \circ g \in \mathcal{O}(Y_1)$  for  $\varphi \in \mathcal{O}(Y_2)$ . One checks that this shows that the first and third sets are the same.  $\square$

Define morphism  $Y_1 \rightarrow Y_2$  by the second (and third) set. Composition in the obvious way and identity is a morphism.  $\implies$  get a category  $(Alg_K)$  of affine algebraic sets over  $K$ .

**Corollary 1.5.**  $Y \mapsto \mathcal{O}(Y)$ ,  $g \mapsto [\varphi \mapsto \varphi \circ g]$  is a functor:  $(Alg_K) \rightarrow (K-Alg)^{opp}$ .

Facts: The “image” of this functor is the category of finitely generated  $K$ -algebras which are reduced.

*Proof.* A finitely generated reduced  $K$ -algebra.  $(\exists n \geq 1, \text{ so that } K[X_1, \dots, X_n]/I \cong A)$ . Then “ $A$  is reduced”  $\iff I$  is radical ideal.  $\implies A = \mathcal{O}(V(I))$ , where  $V(I) \subset \mathbb{A}^n$ .  $\square$

**Corollary 1.6.** *There is an equivalence of categories between*

$$(\text{Algebraic sets over } K) \longleftrightarrow (\text{finitely generated reduced } K\text{-Algebras.})$$

**Example 1.7.**

- (1)  $\mathbb{A}^1 \longrightarrow V(Y^2 - X^3 - X^2) \subset \mathbb{A}^2$ ,  $t \mapsto (t^2 - 1, t(t^2 - 1))$
- (2)  $\mathbb{A}^1 \longrightarrow V(Y^2 - X^3) \subset \mathbb{A}^2$ :  $t \mapsto (t^2, t^3)$  is a bijection but Not an isomorphism.
- (3) Assume  $K$  with characteristic  $p > 0$ ,  $K \supset \mathbb{F}_p$ .  $Y = V(f_1, \dots, f_m)$  where  $f_i \in \mathbb{F}_p[X] \subset K[X]$ . Consider the morphism:

$$\begin{aligned} Y &\longrightarrow Y \\ (x_1, \dots, x_n) &\longmapsto (x_1^p, \dots, x_n^p). \end{aligned}$$

It is bijective and homeomorphism but not an isomorphism if  $\dim(Y) \geq 1$ .

**Proposition 1.8.**  $Y = V(I) \subset \mathbb{A}^n$ 

- (1) The points of  $Y$  are in bijection with maximal ideals  $I \subset \mathcal{O}(Y)$  by

$$Y \ni x \longmapsto \{f \in \mathcal{O}(Y) \mid f(x) = 0\}$$

- (2) We have a bijection

$$\mathcal{O}(Y) \longleftrightarrow \text{Hom}_{\text{Alg}_K}(Y, \mathbb{A}^1)$$

*Proof.* (1)  $I_x := \text{Ker}(\mathcal{O}(Y) \longrightarrow K)$ ,  $f \mapsto f(x)$ , since the evaluation map is surjective [ $1 \mapsto 1$ ], we get an isomorphism

$$\mathcal{O}(Y)/I_x \xrightarrow{\sim} K,$$

so  $I_x$  is maximal in  $\mathcal{O}(Y)$ .

Conversely, if  $I \subset \mathcal{O}(Y)$  is maximal, we get  $I = I'/I(Y)$  for  $I' \subset K[X]$  maximal.

Nullstellensatz says  $\exists (x_1, \dots, x_n) \in \mathbb{A}^n$  s.t.,  $I' = (X_1 - x_1, \dots, X_n - x_n)$ .

Since  $I' \supset I(Y)$ , we get  $(x_1, \dots, x_n) \in Y$ . Then we check that  $\mathcal{O}(Y) \longrightarrow \mathcal{O}(Y)/I \cong K$  is just given by  $f \mapsto f(x_1, \dots, x_n)$ . That means  $I = I_x$ .

- (2) We saw in 1.4, that there is a bijection between sets

$$\text{Hom}_{\text{Alg}_K}(Y, \mathbb{A}^1) \longleftrightarrow \text{Hom}_{K\text{-alg}}(\mathcal{O}(\mathbb{A}^1), \mathcal{O}(Y)).$$

But  $\text{Hom}_{K\text{-alg}}(\mathcal{O}(\mathbb{A}^1), \mathcal{O}(Y)) = \text{Hom}_{K\text{-alg}}(K[X], \mathcal{O}(Y)) \cong \mathcal{O}(Y)$  (by  $g : \mathcal{O}(\mathbb{A}^1) \longrightarrow \mathcal{O}(Y)$ ,  $g \mapsto g(X)$ ) □

## Projective Algebraic sets

Projective sets can have a good notion of “compactness”.

N.B. Any  $Y \in (\text{Alg}_K)$  is **quasi-compact**( open cover have a finite subcover).

**Definition 1.9.**  $\mathbb{P}_K^n = \mathbb{P}^n$  can be either defined as

“the set of lines in  $\mathbb{A}^{n+1}$  that pass through the origin”

or

“the equivalence classes of points in  $K^{n+1} \setminus \{0\}$  with the equivalence relation  $x \sim y$  iff  $x = \lambda y$  for some  $\lambda \in K$ ” and we use the notion  $[x_0 : \dots : x_n]$  for the equivalence class of  $(x_0, \dots, x_n)$

These two definitions are equivalent:

Given a line  $l \in \mathbb{A}^1 \longleftrightarrow$  hyperplane in  $K^{n+1}$ , corresponds to a equation

$$a_0 X_0 + \dots + a_n X_n = 0$$

with at least one of  $a_i$  non-zero.

Conversely, from  $[x_0 : \dots : x_n]$ , we we get the corresponding hyperplane/line trivially.

Notes the following fact:

$$\mathbb{P}^n = \cup_{0 \leq i \leq n} H_i,$$

where  $H_i = \{[x_0, \dots, x_n] | x_i \neq 0\}$  and there is a bijection

$$\begin{aligned} H_i &\longrightarrow K^n \\ [x_0 : \dots : x_n] &\longmapsto \left( \frac{x_0}{x_i}, \dots, \frac{\widehat{x_i}}{x_i}, \dots, \frac{x_n}{x_i} \right) \\ [y_1 : \dots : y_{i-1} : 1 : y_i : \dots : y_n] &\longleftarrow (y_1, \dots, y_n) \end{aligned}$$

We define from linear algebra some notions in  $\mathbb{P}^n$  a line in  $\mathbb{P}^n$  is the image by the projection  $K^{n+1} \setminus \{0\} \longrightarrow \mathbb{P}^n$  of the two dimensional affine subspace.

**Example 1.10.**  $l_1, l_2 \subset \mathbb{P}^2$  lines  $l_1 \cap l_2$  is a line if  $l_1$  and  $l_2$  are identical and would be a single point otherwise.

Observation: If  $f \in K[X_0, \dots, X_{n+1}]$  is homogeneous, then for  $x \in \mathbb{P}^n$ , it makes no sense to speak of “ $f(x) \in K$ ”, but the zero-loci or the set where  $f(x) \neq 0$  does make sense.

**Definition 1.11.** A **projective algebraic set**  $S \subset \mathbb{P}^n$  is

$$S = \{x \in \mathbb{P}^n \mid f_1(x) = \dots = f_m(x) = 0\},$$

where  $f_1, \dots, f_m$  are homogeneous of some degrees.

An irreducible projective algebraic set is called a **projective variety**

Notation:  $V(f_1, \dots, f_n)$

**Example 1.12.**  $V(Y^2Z - X^3 - XZ^2) \subset \mathbb{P}^2$ .

Let  $0 \leq i \leq n$ , then  $S \cap H_i = \{[x_0 : \dots : x_n] \in S \mid x_i \neq 0\}$  is, via the bijection  $H_i \rightarrow K^n$ , in bijection with an affine algebraic set  $S_1 \subset \mathbb{A}^n$  given by  $\tilde{f}_1(y) = \dots = \tilde{f}_m(y) = 0$ , where  $\tilde{f}_i(y_1, \dots, y_n) = f_i(y_1, \dots, y_{i-1}, 1, y_i, \dots, y_n)$

## 1.2 Mar 2nd: Projective algebraic sets and regular functions

Recall:  $\mathbb{P}_K^n = K^{n+1} - \{0\} / \sim$ , and  $H_i := \{[x_0 : \dots : x_n] \mid x_i \neq 0\}$  is in bijection with  $\mathbb{A}^n$ .  $V(f_1, \dots, f_m) = \{x \in \mathbb{P}^n \mid \forall i, f_i(x) = 0\}$ , where  $f_1, \dots, f_m$  are homogeneous.

More generally, we can define

$$V(I) = V(\text{homogeneous element of } I) = V(\cup_{d \geq 0} I_d)$$

where  $I$  is an homogeneous ideal of  $K[X_0, \dots, X_n]$  that is  $I = \oplus_{d \geq 0} I_d$ ,  $I_d$  the the degree  $d$  piece of  $K[X_0, \dots, X_n]$ .

Conversely, given  $S \subset \mathbb{P}^n$ , we can define

$I(S) :=$  ideal generated by homogeneous polynomials that vanishes on  $S$

**Lemma 1.13.** *This is a homogeneous ideal*

*Proof.*  $f \in I(S) \implies f = \sum_{i \in I} g_i f_i$ , where  $f_i$  is homogeneous and vanishes on  $S$ . We can expand each  $g_i$  as  $\sum_j g_{ij}$ , where each  $g_{ij}$  is homogeneous in  $I(S)$ . Then we know  $f \in \otimes I(S)_d$  and the converse is clear.  $\square$

**Lemma 1.14.** *The projective sets  $V(I)$  where  $I$  is homogeneous form the closed sets of a topology. It is called the Zariski topology (same name for the induced topology on projective sets).*

**Example 1.15.**  $H_0 \subset \mathbb{P}^n$  and  $\sigma : H_0 \cong \mathbb{A}^n$ . Under this bijection, the Zariski topologies correspond  $\sigma$  is a homeomorphism

$$f \in K[X_0, \dots, X_n] \text{ homogeneous} \rightsquigarrow V(f) \subset \mathbb{P}^n$$

$$\tilde{f} = f(1, X_1, \dots, X_n) \in K[X_1, \dots, X_n] \rightsquigarrow V(\tilde{f}) \subset \mathbb{A}^n$$

and  $\sigma(V(f)) = V(\tilde{f})$ .

**Definition 1.16.**  $Y \subset \mathbb{P}^n$  projective  $S(Y) = K[X_0, \dots, X_n]/I(Y)$ , **homogeneous coordinate ring**

Note elements in  $S(Y)$  are not functions on  $Y$ . The geometric meaning of  $S(Y)$  will be explained latter with the language of schemes.

We now want to define morphisms of projective algebraic sets. We have to look at it more carefully because we can not simply copy the affine definition.

**Definition 1.17.**  $Y \subset \mathbb{P}^n$  projective, let  $V \subset Y$  be an open subsets of  $Y$ .

- (1)  $f : V \longrightarrow K$  continuous is called **regular** on  $Y$  if  $\forall x \in Y$ ,  $\exists U$  open  $x \in U$ ,  $\exists f_1, f_2 \in K[X_0, \dots, X_n]$  homogeneous of same degree such that  $f_2(x) \neq 0$  for all  $x \in U$  and  $f(x) = \frac{f_1(x)}{f_2(x)}$  for  $x \in U \cap Y$
- (2)  $Y_1, Y_2$  are projective sets in  $\mathbb{P}^n, \mathbb{P}^m$ ,  $f : Y_1 \longrightarrow Y_2$  is a **morphism** if  $f$  is continuous and for any  $U \subset Y_1$  open and any  $\varphi : U \longrightarrow K$  regular, the composite  $\varphi \circ f : f^{-1}(U) \longrightarrow K$  is regular.

Note: IN (2), one can not restrict to  $\varphi$  regular on  $Y_2$  because often the space of such function is reduced to  $K$

**Proposition 1.18.** For  $\mathbb{P}^n$ , the space of functions regular on  $\mathbb{P}^n$  is  $K$ .

*Proof.* The case  $n = 1$  implies the general case: if  $f : \mathbb{P}^n \longrightarrow K$  regular, and  $x \neq y$  in  $\mathbb{P}^n$ , the line joining  $x$  to  $y$  in  $\mathbb{P}^n$  is “isomorphic” to  $\mathbb{P}^1$  and  $f|_L$  is regular so constant, hence  $f(x) = f(y)$ .

For  $n = 1$ , suppose  $x, y$  are arbitrary points and let  $U \ni x, V \ni y$  be open neighbourhoods such that  $f|_U = f_1(x)/f_2(x)$  and  $f|_V = g_1(x)/g_2(x)$  where  $f_1, f_2, g_1, g_2$  are homogeneous polynomials and  $f_1, f_2$  have the same degree as well as  $g_1, g_2$ . We may assume that  $f_1$  and  $f_2$  are coprime and also  $g_1, g_2$  are coprime. Hence on  $U \cap V$ ,

$$f_1 g_2 = g_1 f_2.$$

We know that  $U \cap V$  is infinite so this implies  $f_1 = g_1$  and  $f_2 = g_2$ . Since  $x$  and  $y$  were arbitrary points we conclude that  $f = f_1(x)/f_2(x)$  on all of  $\mathbb{P}^1$  hence  $f$  is a constant.  $\square$

Concretely: To say that  $f : Y_1 \subset \mathbb{P}^n \longrightarrow Y_2 \subset \mathbb{P}^m$  is a morphism of projective algebraic sets. It reduces to  $\forall x \in Y_1, \exists U$  open containing  $x$  s.t. there exists  $f_0, \dots, f_m \in K[X_0, \dots, X_{n+1}]$  homogeneous of same degree, with no common zero in  $U$ , such that  $\forall y \in U \cap Y_1, f(y) = [f_0(y) : \dots : f_m(y)]$ . It is easy to see that if  $f$  is of this form, then it is a morphism.

The converse is left as an exercise.

**Example 1.19.** (1) Let  $g \in GL_n(K)$ ,  $n \geq 1$ . Define

$$f_g : \mathbb{P}^n \longrightarrow \mathbb{P}^n$$

$$[x_0 : \dots : x_n] \longmapsto [g(x_0, \dots, x_n)]$$

is a morphism. In fact, it is an isomorphism.  $f_g^{-1} = f_{g^{-1}}$ . It also has some other properties:  $f_g = f_{\lambda g}$ ,  $\lambda \neq 0$  and we get an induced group morphism

$$\begin{array}{c} PGL_{n+1}(K) \longlongequal{\quad} GL_{n+1}(K)/K^\times \\ \downarrow \\ Aut_{proj}(\mathbb{P}^n) \end{array}$$

which is an isomorphism. A special case is  $Aut_{hol}(\mathbb{CP}^1) = PGL_2(\mathbb{C})$

$$g \longmapsto \left[ z \mapsto \frac{az + b}{cz + d} \right]$$

(2)  $K = \mathbb{C}$ . One can do holomorphic geometry (using holomorphic functions instead of polynomials). IN  $\mathbb{C}^n$ , we get a much more complicated picture [e.g.  $V(\sin z)$ ] is a an infinite sets in  $\mathbb{P}_{\mathbb{C}}^n$ , however Chow proved that the holomorphic sets and the projective algebraic sets are the same (Serre “GAGA” principle compares many different invariant of both categories.)

(3) Consider the map  $S := V(Y^2Z - X^3 - XZ^2) \xrightarrow{f} \mathbb{P}^1$ ,  $[x : y : z] \mapsto [y : z]$ .

Claim, this is a morphism of projective sets.

This means that there is no solution to  $Y^2Z - X^3 - XZ^2 = 0$  with  $Y = Z = 0$ . (But  $[x : y : z] \mapsto [x : z]$  is not a morphism because  $[0 : 1 : 0] \in S$ ).  $f$  is surjective but not injective  $[x : y : z]$  and  $[x : -y : z]$  have same image. This works in field  $k$  with char  $k \neq 2$ .

(4)  $\mathbb{P}^1 \xrightarrow{v} \mathbb{P}^2$ ,  $[x : y] \mapsto [x^2 : xy : y^2]$  (special case of Veronese embedding). This is a morphism. The image of  $v$  is equal to  $[y_0 : y_1 : y_2], \mathbb{P}^2$ .  $S = V(Y_1^2 - Y_0Y_2)$ . In fact,  $\sigma$  gives an isomorphism  $\sigma : \mathbb{P}^1 \longrightarrow S$  with inverse given by

$$\begin{array}{c} \tau : S \longrightarrow \mathbb{P}^1 \\ [y_0 : y_1 : y_2] \mapsto \begin{cases} [Y_1 : Y_2] & \text{if } Y_2 \neq 0 \\ [Y_0 : Y_1] & \text{if } Y_0 \neq 0 \end{cases} \end{array}$$



$\tau$  is a morphism defined on all of  $S$ , because if  $[y_0 : y_1 : y_2] \in S$  satisfies  $y_0 = y_2 = 0$ , it would imply  $y_1^2 = y_0 y_2 = 0 \implies y_1 = 0$

$$\tau \circ \sigma([x : y]) = \tau([x^2 : xy : y^2]) = \begin{cases} [xy : y^2] = [x : y], y \neq 0 \\ [x^2 : xy] = [x : y], x \neq 0 \end{cases}$$

therefore  $\tau \circ \sigma = id_{\mathbb{P}^1}$  and  $\sigma \circ \tau = id_S$  can be proved similarly

One can not find  $f_0 : f_1$  in  $K[Y_0, Y_1, Y_2]$  s.t.  $\tau([y_0 : y_1 : y_2]) = [f_0(Y) : f_1(Y)]$  for all  $Y \in S$

### 1.3 Mar 6th: Rational/birational maps

$Y \subset \mathbb{A}^n$  algebraic if  $Y$  is irreducible, then  $\mathcal{O}(Y)$  is an integral domain. Let  $K(Y)$  be its quotient field. What is the geometric meaning of  $K(Y)$ ? It is called the **function field** of  $Y$ .

We will see

**Theorem 1.20.** For  $Y_1, Y_2$  affine varieties (irreducible)  $K(Y_1) \cong K(Y_2)$  as fields  $\iff \exists U_1 \subset Y_1$  open dense subset and  $\exists U_2 \subset Y_2$  open dense subset such that  $U_1$  and  $U_2$  are isomorphic.

**Definition 1.21.** (Quasi-affine and quasi-projective) varieties)

1. **quasi-affine variety**  $V$  is an open subset  $V \subset Y$ , where  $Y \subset \mathbb{A}^n$  is an affine variety.  $[V \neq \emptyset \implies V$  dense in  $Y \implies V$  irreducible]. It is given by the Zariski's topology from  $Y$ .  
 (1')  $V \subset Y \subset \mathbb{P}^n$  where  $V$  is an open subset of  $Y$  is **quasi-projective**, where  $Y$  is projective variety.
2. A regular function  $f : V \longrightarrow K = \mathbb{A}^1$ , where  $V$  is quasi-affine is an  $f$  such that for all  $x \in V$ ,  $\exists U \subset V$  open containing  $x$  s.t.,  $\forall x \in U$ ,  $f(x) = \frac{f_1(x)}{f_2(x)}$  where  $f_1, f_2 \in \mathcal{O}(\mathbb{A}^n)$  and  $f_2(x) \neq 0$  on  $U$ .  
 (2')  $V$  is quasi-projective variety a regular function  $f$  is  $\frac{f_1(x)}{f_2(x)}$  homogeneous of same degrees.
3. If  $V_1, V_2$  are Varieties (of any of the four types), then  $f : V_1 \longrightarrow V_2$  is a **morphism** if for all open  $U \subset V_2$  all  $\varphi : U \longrightarrow K$  regular, the composition  $\varphi \circ f : f^{-1}U \longrightarrow K$  is also regular.

N.B.

1. This makes sense because if  $U \subset V_2$ , where  $V_2$  is quasi affine  $U$  open,  $\implies U \subset V_2 \subset Y$  so  $U$  is also quasi-affine in  $\mathbb{A}^n$
2. Exercise If  $f$  is regular on  $V$ , then  $f$  is continuous  $V \longrightarrow \mathbb{A}^1$ . (check that  $f^{-1}(\{a\})$  is closed, use that closedness is a local condition.)
3. In the (quasi)-affine case, it is enough to check that  $\varphi \circ f$  is regular on  $V_1$  for  $\varphi$  regular on  $V_2$ .
4. Notation:

$$\mathcal{O}(V) = \{f : V \longrightarrow K \mid \text{regular}\}$$

This is a ring of with unity, and because of the condition that for open  $V \subset Y$  in a variety  $Y$ , either  $\mathcal{O}(V) = 0, V \neq \emptyset$  or  $V$  is dense in  $Y$ ,  $\implies \mathcal{O}(V)$  integral domain.

**Example 1.22.**

1.  $GL_n(K) = \{x \in M_{n \times n}(K) \mid \det(x) \neq 0\} \subset \mathbb{A}^{n^2}$  is quasi-affine since  $\det : M_{n \times n}(K) \longrightarrow K$  is continuous and not emptyset.
2. In fact, for any  $0 \neq f \in \mathcal{O}(\mathbb{A}^n)$

$$U_f = \{x \in \mathbb{A}^n \mid f(x) \neq 0\}$$

is a quasi-affine variety.

Fact: There is an isomorphism

$$\sigma(x) = \begin{cases} U_f \xrightarrow{\sigma} Y = \{(x, y) \in \mathbb{A}^{n+1} \mid yf(x) = 1\} \\ x \longmapsto \left(x, \frac{1}{f(x)}\right) \end{cases}$$

with inverse  $(x, y) \xrightarrow{\pi} x$ . (Indeed,  $\pi \circ \sigma = Id_{U_f}$ ,  $\sigma \circ \pi = Id_Y$ ) and  $\pi$  is a morphism: Consider  $\varphi \in \mathcal{O}(U_f)$

$$Y \xrightarrow{u} U_f \xrightarrow{\varphi} K$$

then  $\varphi \circ \pi(x, y) = \varphi(x)$ .

Indeed, for any  $x \in U_f$ ,  $\exists f_1, f_2 \in \mathcal{O}(\mathbb{A}^2) \varphi(x) = \frac{f_1(x)}{f_2(x)}, f_2(x) \neq 0$ , one can show: assume  $f_2(x) = f(x)^d$  then

$$\varphi(x) = \frac{f_1(x)}{f(x)^d} = f_1(x)y^d$$

for  $(x, y) \in Y$ , so this is regular.

(2)  $\sigma$  is a morphism

$$U_f \xrightarrow{\sigma} Y \xrightarrow{\varphi} K$$

$$\varphi \in \mathcal{O}(Y) = K[X_1, \dots, X_n, Y]/(Yf(x) = 1)$$

$$\begin{aligned} \varphi \circ \sigma(x) &= \varphi(x, 1/f(x)) = \left( \sum_j a_j Y^j \right) |_{Y=1/f(x)} \\ &= \sum_j a_j(x)/f(x)^j \in \mathcal{O}(U_f) \end{aligned}$$

3.  $\mathbb{P}^n = \cup_{0 \leq i \leq n} H_i$ , with  $H_i = \{[x_0 : \dots : x_n] | x_i \neq 0\}$ ,  $H_i \subset \mathbb{P}^n\}$  open, so quasi-projective. The map

$$\begin{cases} H_i \xrightarrow{f_i} \mathbb{A}^n \\ [x_0 : \dots : x_n] \mapsto \left( \frac{x_0}{x_1}, \dots, \frac{x_{i-1}}{x_i}, \frac{x_{i+1}x_i}{x_i}, \dots, \frac{x_n}{x_i} \right) \end{cases}$$

is an isomorphism.

**Definition 1.23.**  $Y$  variety,  $K(Y) = \{(U, f) | \emptyset \neq U \subset Y \text{ open}, f \in \mathcal{O}(U)\} / \sim$ , where  $(U_1, f_1) \sim (U_2, f_2)$  iff  $f_1|_{U_1 \cap U_2} = f_2|_{U_1 \cap U_2}$

Fact:  $\sim$  is an equivalence relation. We define

$$(U_1, f_1) + (U_2, f_2) = (U_1 \cap U_2, f_1 + f_2)$$

$$0 := (Y, 0), \quad 1 := (Y, 1)$$

**Proposition 1.24.**  $Y$  is quasi-affine,  $U \subset Y$  open nonempty.

1.  $\mathcal{O}(Y) \hookrightarrow \mathcal{O}(U) \hookrightarrow K(Y)$   
 $f \mapsto f|_U \mapsto (U, f)$
2.  $K(Y)$  is a field, and identifies with the fraction field of  $\mathcal{O}(Y)$  and of  $\mathcal{O}(U)$ .
3. if  $Y$  is an affine variety, then  $\mathcal{O}(Y)$  as defined above coincides with  $\mathcal{O}(Y) = K[X_1, \dots, X_n]/I(Y)$  as defined in previous sections.

(3') If  $Y = U_f$  for  $0 \neq f$  in  $\mathcal{O}(\mathbb{A}^n)$ , then  $\mathcal{O}(Y) = \{f_1/f^d | f_1 \in \mathcal{O}(\mathbb{A}^n), d \geq 0\} = \mathcal{O}(\mathbb{A}^n)_f$  the localization at  $f$ .

*Proof.* (1), The morphism  $\mathcal{O}(Y) \longrightarrow \mathcal{O}(U) \longrightarrow K(Y)$  are injective because any  $U \subset Y, \neq \emptyset$  is dense.

(2) Let  $(U, f) \neq 0$  in  $K(Y)$ , then  $\exists x_0 \in U, f(x_0) \neq 0$  in a  $V \subset U, x_0 \in V$

$$f(x) = \frac{f_1(x)}{f_2(x)}, f_1, f_2 \in \mathcal{O}(\mathbb{A}^n), f_2 \neq 0 \text{ in } V$$

in particular,  $f_1(x_0) \neq 0$  and  $(U \cap \{f_1(x) \neq 0\}, \frac{f_2(x)}{f_1(x)}) \in K(Y)$ , where  $U \cap \{f_1(x) \neq 0\} \neq \emptyset$  is the inverse of  $(U, f)$  in  $K(Y)$ .

By (1),  $K(Y) \supset \mathcal{O}(Y)$ .

Let  $(U, f) \in K(Y)$ , pick  $x \in Y$  so that around  $x, f(x) = \frac{f_1}{f_2}, f_i \in \mathcal{O}(\mathbb{A}^n)$ , then  $(U, f) = \frac{(Y, f_1)}{(Y, f_2)}$ , so  $K(Y)$  is the fraction field of  $\mathcal{O}(Y)$ .

(3) Write  $\mathcal{O}'(Y) = K[X]/I(Y)$ . Note  $K[X, Y]/I(Y)$  identifies to a ring of functions on  $Y$ , the claim is that this ring is  $\mathcal{O}(Y)$ .

Observation: For  $x \in Y$ , to say that  $f : Y \longrightarrow K$  is “regular at  $x$ ” means precisely that  $f \in \mathcal{O}'(Y)_{I_x}$ , where  $I_x = \{f \in \mathcal{O}'(Y) | f(x) = 0\}$ . (Localization at a maximal ideal)

So

$$\begin{aligned} \mathcal{O}(Y) &= \bigcap_{x \in Y} \mathcal{O}'(Y)_{I_x} \\ &= \bigcap_{\mathfrak{m} \subset \mathcal{O}'(Y)} \mathcal{O}'(Y)_{\mathfrak{m}} \\ &= \mathcal{O}'(Y) \end{aligned}$$

the second equality from Nullstellensatz and the third from commutative algebra.

(3') Similarly, using characterization of maximal ideals in  $A_f, f \neq 0$  □

**Definition 1.25.**  $K(Y)$  is called the fraction or function field of  $Y$

**Example 1.26.**  $K(\mathbb{A}^n) = K(\mathbb{P}^n) = K(X_1, \dots, X_n)$

**Definition 1.27.** (Rational maps)  $Y_1, Y_2$  varieties. A **rational map**  $f : Y_1 \dashrightarrow Y_2$  is a pair  $(U, \tilde{f})$  where  $U \neq \emptyset$  in  $Y_1$  and  $\tilde{f} : U \longrightarrow Y_2$  is a morphism with  $(U, \tilde{f}) = (U', \tilde{f}')$  iff

$$\tilde{f}|_{U \cap U'} = \tilde{f}'|_{U \cap U'}$$

[Check: this is coherent, i.e., this is an equivalence relation]

**Definition 1.28.**  $f : Y_1 \dashrightarrow Y_2$  is a **dominant** if its image  $\tilde{f}(U) \subset Y_2$  is dense.

**Example 1.29.** (1) there is a bijection  $\{Y \dashrightarrow \mathbb{A}^1\} = K(Y)$

$$\begin{array}{ccc} (U, \tilde{f}) & & (U, f) \\ \tilde{f} : U \longrightarrow \mathbb{A}^1 \text{ morphism} & & f : U \longrightarrow K \text{ regular} \end{array}$$

So it is enough to check

$$\text{Hom}_{\text{Var}}(U, \mathbb{A}^1) = \mathcal{O}(U)$$

Left as exercise

(2)  $Y, f_1, f_2, f_3 \in \mathcal{O}(Y)$

$$\begin{cases} Y \dashrightarrow \mathbb{P}^2 \\ x \longmapsto [f_1(x) : f_2(x) : f_3(x)] \end{cases}$$

defined on  $\{x | f_i(x) \text{ are not all zero}\}$ , which is open if any of the 3 sections is non-zero.

**Theorem 1.30.**  $Y_1, Y_2$  varieties

$$\begin{array}{c} \exists \{Y_1 \xrightarrow{f} Y_2 | f \text{ dominant}\} \\ \xleftrightarrow{\text{bij}} \\ K(Y_2) \longrightarrow K(Y_1) \end{array}$$

**Corollary 1.31.**  $Y_1, Y_2$  varieties.  $Y_1$  and  $Y_2$  are birational

iff  $K(Y_1)$  is isomorphic to  $K(Y_2)$

iff  $\exists U \subset Y_1$  open  $\neq \emptyset$   $\exists V \subset Y_2$ , open  $\neq \emptyset$  so that  $U$  and  $V$  are isomorphic as varieties.

**Corollary 1.32.** Any variety  $Y$  of dimension  $d \geq 0$  is birational to a hypersurface  $V \subset \mathbb{P}^{d+1}$

*Proof.* (1) Given  $Y_1 \xrightarrow{f} Y_2$  dominant, we want a morphism  $K(Y_2) \longrightarrow K(Y_1)$ .

Let  $(U, \tilde{f}) = f, (V, \varphi), \varphi : V \longrightarrow K$  in  $K(Y_2)$

$$\varphi \circ f : \tilde{f}^{-1}(V) \longrightarrow K$$

is in  $K(Y_1)$ , provided  $\tilde{f}^{-1}(V)$  is dense, it is enough that  $\tilde{f}^{-1}(V) \neq \emptyset$ ,  $\tilde{f}(U) \cap V \neq \emptyset$ , since  $V$  is open and  $\tilde{f}(U)$  is dense.

(2) Given  $i : K(Y_2) \longrightarrow K(Y_1)$ . Let  $\tilde{Y}_2 \subset Y_2 \subset \mathbb{A}^n$  open quasi-affine so that  $K(Y_2) = K(\tilde{Y}_2) = \text{Frac}(\mathcal{O}(\tilde{Y}_2))$

Let  $X_1, \dots, X_n$  be the coordinates in  $\mathbb{A}^n$  as elements of  $\mathcal{O}(\tilde{Y}_2)$ , then let

$$f_j = i(X_j) \in K(Y_1)$$

$f_j \longleftrightarrow (U_j, \tilde{f}_j)$  with  $U_j \subset Y_1$  dense and  $\tilde{f}_j \in \mathcal{O}(U_j)$ . Then  $f_j \longleftrightarrow (U, \tilde{f}_j)$ ,  
 $U := U_1 \cap \dots \cap U_n$  still dense.

Define  $U \longrightarrow \tilde{Y}_2 \hookrightarrow Y_2$  by

$$x \longmapsto (\tilde{f}_1(x), \dots, \tilde{f}_n(x)).$$

This is a rational map  $Y_1 \dashrightarrow Y_2$

□