

# Automata and Grammars (BIE-AAG)

## 9. Transducers

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# Formal translations

## Definition

*Formal translation* is a binary relation  $Z \subseteq L \times V$ .

The *domain* is set  $L$  and the *range* is set  $V$ .

Relation  $Z$  maps a set of translations  $Z(w) \subseteq V$  to each element  $w$  of set  $L$ .

If  $Z(w)$  contains at most one element for every  $w \in L$ , set  $Z$  is a function (could be partial) and the translation is said to be unambiguous.

# Formal translations

## Definition

Let  $\Sigma$  and  $D$  be alphabets. A *homomorphism* is every mapping  $h$  from  $\Sigma$  to  $D^*$ . The domain of homomorphism  $h$  can be extended to  $\Sigma^*$  as follows:

$$h(\varepsilon) = \varepsilon,$$

$$h(xa) = h(x)h(a), \forall x \in \Sigma^*, \forall a \in \Sigma.$$

## Example

translation of a string of decimal digits to a string of binary digits

$a$	0	1	2	3	4	5	6	7	8	9
$h(a)$	0000	0001	0010	0011	0100	0101	0110	0111	1000	1001

$$h(1996) = 0001100110010110$$

# Formal translations

**Definition** (Prefix and postfix notation of expression)

*Prefix and postfix notation of an expression  $E$ :*

1. If  $E$  is a variable or a constant, then prefix notation and postfix notation of  $E$  is  $E$ .
2. If  $E$  is an expression of the form  $E_1 \text{ op } E_2$ , where  $\text{op}$  is a binary operator, then
  - (a)  $\text{op } E'_1 E'_2$  is prefix notation of  $E$ , where  $E'_1$  and  $E'_2$  are prefix notations of  $E_1$  and  $E_2$ , respectively.
  - (b)  $E''_1 E''_2 \text{ op}$  is postfix notation of  $E$ , where  $E''_1$  and  $E''_2$  are postfix notations of  $E_1$  and  $E_2$ , respectively.
3. If  $E$  is an expression of the form  $(E_1)$ , then
  - (a)  $E'_1$  is prefix notation of  $E$ , where  $E'_1$  is prefix notation of  $E_1$ ,
  - (b)  $E''_1$  is postfix notation of  $E$ , where  $E''_1$  is postfix notation of  $E_1$ .

# Formal translations

## Example

Infix notation:  $a * (b + c)$

Prefix notation:  $*a + bc$

Postfix notation:  $abc + *$

Example of a translation:

$\{(x, y) : x \text{ is an expression in infix notation, } y \text{ is the same expression in postfix notation}\}.$

# Translation grammars

## Definition

*Translation grammar* is  $TG = (N, \Sigma, D, R, S)$ , where

- $N$  is a finite set of nonterminal symbols,
- $\Sigma$  is a finite set of input symbols,
- $D$  is a finite set of output symbols,  $\Sigma \cap D = \emptyset$ ,  $(\Sigma \cup D) \cap N = \emptyset$ ,
- $R$  is a finite set of rules in the form  $A \rightarrow \alpha$ , where  $A \in N$ ,  
 $\alpha \in (N \cup \Sigma \cup D)^*$ ,
- $S \in N$  is the starting symbol.

# Translation grammars

## Definition

$TG = (N, \Sigma, D, R, S)$ ,  $\alpha, \beta \in (N \cup \Sigma \cup D)^*$ .

We say that  $\alpha$  derives *in one step*  $\beta$ ,  $\alpha \Rightarrow \beta$  if  $\exists \tau, \omega, \gamma \in (N \cup \Sigma \cup D)^*$ ,  
 $\exists A \in N$ ,  $\alpha = \tau A \omega$ ,  $\beta = \tau \gamma \omega$ ,  $(A \rightarrow \gamma) \in R$

We say that  $\alpha$  *derives*  $\beta$ ,  $\alpha \Rightarrow^* \beta$  if  $\exists \alpha_1, \alpha_2, \dots, \alpha_n \in (N \cup \Sigma \cup D)^*$ ,  $n \geq 1$ ,  
 $\alpha = \alpha_1 \Rightarrow \alpha_2 \Rightarrow \dots \Rightarrow \alpha_n = \beta$ .

The sequence  $\alpha_1, \alpha_2, \dots, \alpha_n$  is called translation derivation of length  $n$  of string  $\beta$  from string  $\alpha$ .

reflexive and transitive closure:  $\Rightarrow^*$

transitive closure:  $\Rightarrow^+$

# Translation grammars

## Example

$TG = (\{E\}, \{+, *, a\}, \{\oplus, \otimes, @\}, R, E)$ , where  $R$ :

$$(1) E \rightarrow +EE\oplus \quad (2) E \rightarrow *EE\otimes \quad (3) E \rightarrow a@$$

$$\begin{aligned} E &\Rightarrow +EE\oplus \\ &\Rightarrow +a@E\oplus \\ &\Rightarrow +a@ * EE\otimes\oplus \\ &\Rightarrow +a@ * a@E\otimes\oplus \\ &\Rightarrow +a@ * a@a@a\otimes\oplus \end{aligned}$$



# Translation grammars

**Definition** (Input/Output homomorphism)

$TG = (N, \Sigma, D, R, S)$ .

*Input homomorphism  $h_i^{TG}$ :*

$$h_i^{TG}(a) = \begin{cases} a, & \forall a \in \Sigma \cup N \\ \varepsilon, & \forall a \in D \end{cases}$$

$$h_i^{TG}(x) = h_i^{TG}(x_1).h_i^{TG}(x_2) \dots h_i^{TG}(x_n), \forall x = x_1x_2 \dots x_n \in (\Sigma \cup N \cup D)^*$$

*Output homomorphism  $h_o^{TG}$ :*

$$h_o^{TG}(a) = \begin{cases} \varepsilon, & \forall a \in \Sigma \\ a, & \forall a \in D \cup N \end{cases}$$

$$h_o^{TG}(x) = h_o^{TG}(x_1).h_o^{TG}(x_2) \dots h_o^{TG}(x_n), \forall x = x_1x_2 \dots x_n \in (\Sigma \cup N \cup D)^*$$

**Definition**

*Translation defined by translation grammar  $TG = (N, \Sigma, D, R, S)$ :*

$$Z(TG) = \{(h_i^{TG}(w), h_o^{TG}(w)) : w \in (\Sigma \cup D)^*, S \Rightarrow^* w\}.$$

# Translation grammars

## Example

$TG = (\{E\}, \{+, *, a\}, \{\oplus, \otimes, @\}, R, E)$ , where  $R$ :

$$(1) E \rightarrow +EE\oplus \quad (2) E \rightarrow *EE\otimes \quad (3) E \rightarrow a@$$

$TG$  generates a translation of expressions in prefix notation to expressions in postfix notation.

$$\begin{aligned} E &\Rightarrow +EE\oplus \\ &\Rightarrow +a@E\oplus \\ &\Rightarrow +a@ * EE\otimes\oplus \\ &\Rightarrow +a@ * a@E\otimes\oplus \\ &\Rightarrow +a@ * a@a@a\otimes\oplus \end{aligned}$$

Derivation generates a pair  $(+a * aa, @@@\otimes\oplus)$  that belongs to translation  $Z(TG)$ .

# Translation grammars

**Definition** (Input/Output grammar of translation grammar)

$TG = (N, \Sigma, D, R, S)$ .

*Input grammar of translation grammar  $TG$  is CFG  $G_i = (N, \Sigma, P_i, S)$ , where  $P_i = \{A \rightarrow h_i(\alpha) : (A \rightarrow \alpha) \in R\}$ .*

*Output grammar of translation grammar  $TG$  is CFG  $G_o = (N, D, P_o, S)$ , where  $P_o = \{A \rightarrow h_o(\alpha) : (A \rightarrow \alpha) \in R\}$ .*

**Definition** (Characteristic grammar/sentence/language)

CFG  $G = (N, \Sigma \cup D, R, S)$  is *characteristic grammar of translation grammar  $TG = (N, \Sigma, D, R, S)$ .*

$L(G)$  is *characteristic language of translation  $Z(TG)$ .*

$w \in L(G)$  is *characteristic sentence of pair  $(x, y)$ , where  $x = h_i(w)$ ,  $y = h_o(w)$ .*

# Translation grammars

**Definition** (Regular translation grammar)

$TG = (N, \Sigma, D, R, S)$  is *regular*, if all rules in  $R$  are of the form:

- $A \rightarrow axB$  or  $A \rightarrow ax$ , where  $A, B \in N, a \in \Sigma, x \in D^*$ , or
- $S \rightarrow \varepsilon$  in case  $S$  is not present in the right-hand side of no rule.

# Translation grammars

## Example

$RTG = (\{S, A, P, K\}, \{a, +, *\}, \{ @, \oplus, \otimes \}, R, S)$ , where  $R$ :

$$\begin{array}{ll} S \rightarrow a @ A & A \rightarrow * K \\ S \rightarrow a @ & A \rightarrow + P \\ K \rightarrow a @ \otimes A & P \rightarrow a @ \oplus A \\ K \rightarrow a @ \otimes & P \rightarrow a @ \oplus \end{array}$$

$$\begin{aligned} S &\Rightarrow a @ A \\ &\Rightarrow a @ + P \\ &\Rightarrow a @ + a @ \oplus A \\ &\Rightarrow a @ + a @ \oplus * K \\ &\Rightarrow a @ + a @ \oplus * a @ \otimes. \end{aligned}$$

Translation:  $(a + a * a, @ @ \oplus @ \otimes)$ .

# Finite transducers

## Definition

*Finite transducer*  $FT = (Q, \Sigma, D, \delta, q_0, F)$ , where

- $Q$  is a finite set of states,
- $\Sigma$  is a finite set of input symbols,
- $D$  is a finite set of output symbols,
- $\delta$  is a mapping from  $Q \times (\Sigma \cup \{\varepsilon\})$  into a set of finite subsets  $2^{Q \times D^*}$ ,
- $q_0 \in Q$  is the start state,
- $F \subseteq Q$  is the set of final states.

# Finite transducers

## Definition

*Configuration of finite transducer*  $FT = (Q, \Sigma, D, \delta, q_0, F)$  is a triple  $(q, x, y) \in Q \times \Sigma^* \times D^*$ .

$(q_0, x, \varepsilon)$  is the *initial configuration*.

$(q, \varepsilon, y)$ ,  $q \in F$  is the *final configuration*.

Let  $\vdash_{FT}$  is a relation over  $Q \times \Sigma^* \times D^*$  such that  $(q, aw, y) \vdash_{FT} (p, w, yz)$  iff  $\delta(q, a) = (p, z)$  for some  $a \in \Sigma \cup \{\varepsilon\}$ ,  $w \in \Sigma^*$ ,  $y, z \in D^*$ . An element of relation  $\vdash_{FT}$  is called a *move* in finite transducer  $FT$ .

$\vdash_{FT}^k$  – the  $k$ -th power of relation  $\vdash_{FT}$

$\vdash_{FT}^+$  – the transitive closure of relation  $\vdash_{FT}$

$\vdash_{FT}^*$  – the transitive and reflexive closure of relation  $\vdash_{FT}$

# Finite transducers

## Definition

*Translation defined by a finite transducer*  $FT = (Q, \Sigma, D, \delta, q_0, F)$ :  
 $Z(FT) = \{(u, v) : u \in \Sigma^*, v \in D^*, \exists q \in F, (q_0, u, \varepsilon) \vdash_{FT}^* (q, \varepsilon, v)\}$

## Definition

$FT$  is *deterministic*, if for all its states  $q \in Q$  it holds:

1.  $|\delta(q, a)| \leq 1, \forall a \in \Sigma$  and  $\delta(q, \varepsilon) = \emptyset$  or
2.  $|\delta(q, \varepsilon)| \leq 1$  and  $\delta(q, a) = \emptyset, \forall a \in \Sigma$ .



# Finite transducers

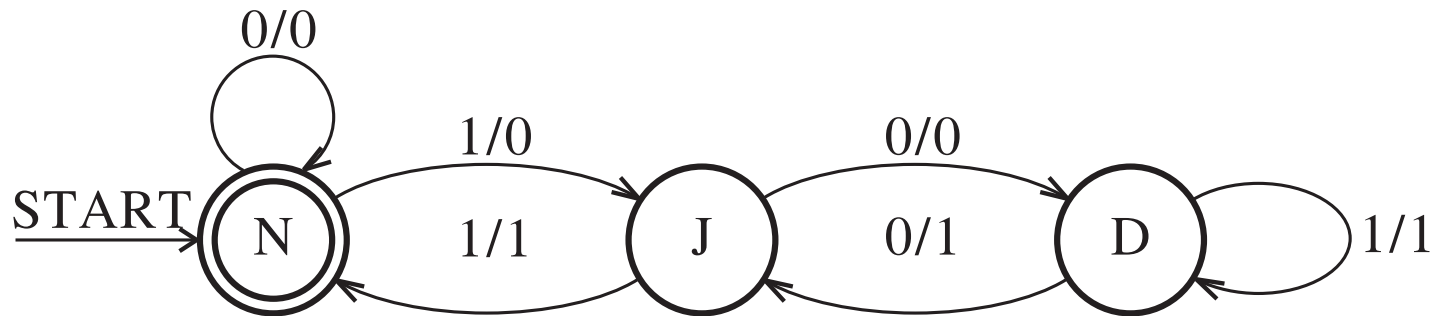
## Example

$FT$ , that divides by three binary numbers divisible by three.

$FT = (\{N, J, D\}, \{0, 1\}, \{0, 1\}, \delta, N, \{N\})$ , where  $\delta$ :

$$\begin{array}{lll} \delta(N, 0) = \{(N, 0)\} & \delta(J, 1) = \{(N, 1)\} & \delta(D, 0) = \{(J, 1)\} \\ \delta(N, 1) = \{(J, 0)\} & \delta(J, 0) = \{(D, 0)\} & \delta(D, 1) = \{(D, 1)\} \end{array}$$

$\delta$	0	1
$N$	$\{(N, 0)\}$	$\{(J, 0)\}$
$J$	$\{(D, 0)\}$	$\{(N, 1)\}$
$D$	$\{(J, 1)\}$	$\{(D, 1)\}$



# Transforming RTG to FT

## Theorem

Given  $RTG = (N, \Sigma, D, R, S)$ . There exists  $FT = (Q, \Sigma, D, \delta, q_0, F)$  such that  $Z(RTG) = Z(FT)$ .

## Proof

For a given  $RTG = (N, \Sigma, D, R, S)$  we create  $FT = (Q, \Sigma, D, \delta, q_0, F)$ , where  $Q = N \cup \{X\}$ ,  $X \notin N$ .

1. Mapping  $\delta$  is defined as ( $y \in D^*$ ,  $B, C \in N$ ):
  - (a)  $\delta(B, a) = \{(C, y) : (B \rightarrow ayC) \in R\} \cup \{(X, y) : (B \rightarrow ay) \in R\}$ ,  
 $\forall B \in N, \forall a \in \Sigma$
  - (b)  $\delta(X, a) = \emptyset, \forall a \in \Sigma$
2.  $q_0 = S$
3.  $F = \{S, X\}$ , if  $(S \rightarrow \varepsilon) \in R$   
 $F = \{X\}$ , if  $(S \rightarrow \varepsilon) \notin R$ .

Proof that  $Z(RTG) = Z(FT)$ : by induction by the length of derivative in  $RTG$  and the length of sequence of transitions in  $FT$ . □

# Transforming RTG to FT

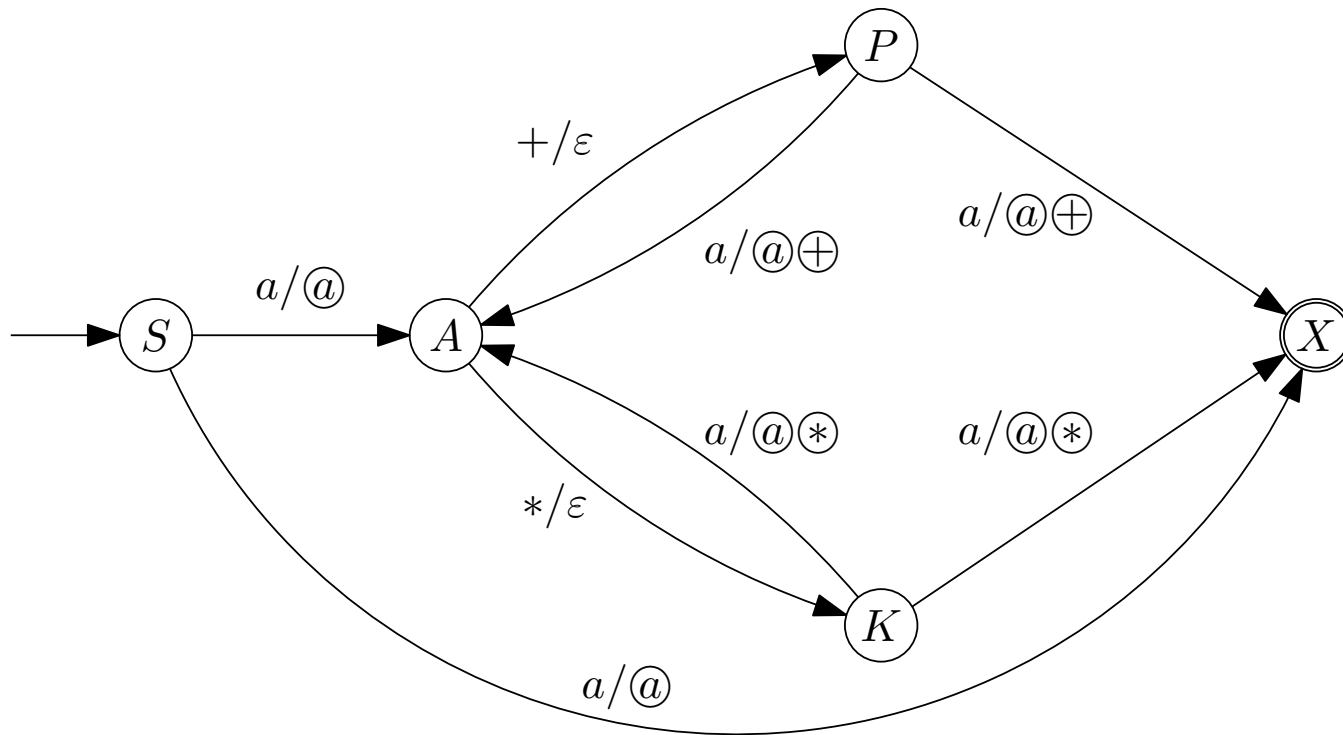
## Example

$RTG = (\{S, A, P, K\}, \{a, +, *\}, \{\textcircled{a}, \textcircled{+}, \textcircled{*}\}, R, S)$ , where  $R$ :

$S \rightarrow a\textcircled{a}A$        $A \rightarrow *K$        $P \rightarrow a\textcircled{a}\textcircled{+}A$        $K \rightarrow a\textcircled{a}\textcircled{*}A$

$S \rightarrow a\textcircled{a}$        $A \rightarrow +P$        $P \rightarrow a\textcircled{a}\textcircled{+}$        $K \rightarrow a\textcircled{a}\textcircled{*}$

$FT = (\{S, X, A, P, K\}, \{a, +, *\}, \{\textcircled{a}\textcircled{+}\textcircled{*}\}, \delta, S, \{X\})$



# Transforming FT to RTG

## Theorem

Given a finite transducer  $FT$ . There exists a regular translation grammar  $RTG$  such that  $Z(FT) = Z(RTG)$ .

## Proof

For a given  $FT = (Q, \Sigma, D, \delta, q_0, F)$  we construct  $RTG = (Q \cup \{S\}, \Sigma, D, R, S)$ , where  $S \notin Q$  as follows (Suppose that  $\Sigma \cap D = \emptyset$ ,  $(\Sigma \cup D) \cap Q = \emptyset$ ):

1.  $R \leftarrow \{B \rightarrow ayC : B, C \in Q, a \in \Sigma, y \in D^*, (C, y) \in \delta(B, a)\}$
2.  $R \leftarrow R \cup \{B \rightarrow ay : B \in Q, C \in F, a \in \Sigma, y \in D^*, (C, y) \in \delta(B, a)\}$
3.  $R \leftarrow R \cup \{S \rightarrow x : x \in (N \cup D \cup \Sigma)^*, (q_0 \rightarrow x) \in R\}$
4.  $R \leftarrow R \cup \{S \rightarrow \varepsilon\}, q_0 \in F$

The proof of equivalence  $Z(RTG) = Z(FT)$ : by induction on the length of derivation in  $RTG$  and on the length of sequence of transitions in  $FT$ .  $\square$

# Transforming FT to RTG

## Example

$FT = (\{N, J, D\}, \{0, 1\}, \{\textcircled{0}, \textcircled{1}\}, \delta, N, \{N\})$ , where  $\delta$ :

$$\begin{array}{lll} \delta(N, 0) = \{(N, \textcircled{0})\} & \delta(J, 0) = \{(D, \textcircled{0})\} & \delta(D, 0) = \{(J, \textcircled{1})\} \\ \delta(N, 1) = \{(J, \textcircled{0})\} & \delta(J, 1) = \{(N, \textcircled{1})\} & \delta(D, 1) = \{(D, \textcircled{1})\} \end{array}$$

$RTG = (\{S, N, J, D\}, \{0, 1\}, \{\textcircled{0}, \textcircled{1}\}, R, S)$ , where  $R$ :

$$\begin{array}{llll} N \rightarrow 0\textcircled{0}N & J \rightarrow 0\textcircled{0}D & D \rightarrow 0\textcircled{1}J & S \rightarrow 0\textcircled{0}N \\ N \rightarrow 1\textcircled{0}J & J \rightarrow 1\textcircled{1}N & D \rightarrow 1\textcircled{1}D & S \rightarrow 1\textcircled{0}J \\ N \rightarrow 0\textcircled{0} & J \rightarrow 1\textcircled{1} & S \rightarrow 0\textcircled{0} & S \rightarrow \varepsilon \end{array}$$

# Sequential mapping

## Definition

*Sequential mapping*  $S$  is a mapping such that:

1. preserves the length of the string, i.e.; if  $y = S(x)$ , then  $|x| = |y|$ ,
2. if two input strings have the same prefix of length  $k > 0$ , then also the corresponding output strings have identical prefixes of length at least  $k$ .  
That means, if  $S(xx_1) = y_1$ ,  $S(xx_2) = y_2$ , then there exist  $y, y'_1, y'_2$  such that  $|y| \geq |x|$ ,  $y_1 = yy'_1$ , and  $y_2 = yy'_2$ .

# Mealy/Moore automaton

## Definition

*Mealy automaton*  $M$  is a sextuple  $(Q, \Sigma, D, \delta, \lambda, q_0)$ , where

- $Q$  is a finite set of states,
- $\Sigma$  is a finite set of input symbols,
- $D$  is a finite set of output symbols,
- $\delta$  is a mapping from  $Q \times \Sigma$  into  $Q$  called *transition function*,
- $\lambda$  is a mapping from  $Q \times \Sigma$  into  $D$  called *output function*,
- $q_0 \in Q$  is the start state.

# Mealy/Moore automaton

## Definition

Moore automaton  $M$  is a sextuple  $(Q, \Sigma, D, \delta, \lambda, q_0)$ , where

- $Q$  is a finite set of states,
- $\Sigma$  is a finite set of input symbols,
- $D$  is a finite set of output symbols,
- $\delta$  is a mapping from  $Q \times \Sigma$  into  $Q$  called *transition function*,
- $\lambda$  is a mapping from  $Q$  into  $D$  called *output function*,
- $q_0 \in Q$  is the start state.

## Remark

For input  $w \in \Sigma^*$ ,  $n = |w|$ , a Mealy automaton outputs<sup>1</sup> a string of length  $n$ , and a Moore automaton outputs a string of length  $n + 1$ . In some sense, the output of the initial state of the Moore automaton is not meaningful because it does not depend on the input at all.

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<sup>1</sup>We suppose mapping  $\lambda$  to be total for both automata.



# Mealy/Moore automaton

## Definition (Equivalence of Mealy and Moore automata)

Let  $X$  is Mealy or Moore automaton. Denote by  $\Lambda_X(u)$ ,  $u \in \Sigma^*$  as output of automaton  $X$  for input  $u$  (i.e.,  $\Lambda_X(u) = v$ ,  $(u, v) \in Z(X)$ ,  $v \in D^*$ ).

We say a Moore automaton  $M$  is equivalent to a Mealy automaton  $M'$  (denoted as  $Z(M) = Z(M')$ ) if  $\Lambda_M(w) = \Lambda_M(\varepsilon) \cdot \Lambda_{M'}(w)$ ,  $\forall w \in \Sigma^*$ , i.e., their output strings are identical after we remove the first output symbol of Moore automaton.

## Definition (Extended transition function)

Let  $\delta$  be a transition function of Mealy or Moore automaton. Extended transition function is mapping  $\hat{\delta} : Q \times \Sigma^*$  into  $Q$  defined as follows:

1.  $\hat{\delta}(q, \varepsilon) = q$ ,  $\forall q \in Q$
2.  $\hat{\delta}(q, a) = \delta(q, a)$ ,  $\forall a \in \Sigma$ ,  $\forall q \in Q$
3.  $\hat{\delta}(q, ua) = \delta(\hat{\delta}(q, u), a)$ ,  $\forall a \in \Sigma$ ,  $u \in \Sigma^*$ ,  $\forall q \in Q$

# Mealy/Moore automaton

## Theorem

Let  $M = (Q, \Sigma, D, \delta, \lambda, q_0)$  be a Moore automaton. Then there exists Mealy automaton  $M'$  with the same number of states such that  $Z(M) = Z(M')$ .

## Proof

Mealy automaton  $M'$  is constructed as follows:

1.  $\lambda'(q, a) \leftarrow \lambda(\delta(q, a)), \forall q \in Q, \forall a \in \Sigma$
2.  $M' \leftarrow (Q, \Sigma, D, \delta, \lambda', q_0)$

If the input of  $M$  is  $w = a_1 a_2 \dots a_n, a_i \in \Sigma$ , then  $M'$  outputs

$\lambda'(q_0, a_1) \cdot \lambda'(\hat{\delta}(q_0, a_1), a_2) \cdot \lambda'(\hat{\delta}(q_0, a_1 a_2), a_3) \dots \lambda'(\hat{\delta}(q_0, a_1 a_2 a_3 \dots a_{n-1}), a_n)$ .

But by definition of  $\lambda'$ , this is

$\lambda(\hat{\delta}(q_0, a_1)) \cdot \lambda(\hat{\delta}(q_0, a_1 a_2)) \cdot \lambda(\hat{\delta}(q_0, a_1 a_2 a_3)) \dots \lambda(\hat{\delta}(q_0, a_1 a_2 a_3 \dots a_n))$ . □

# Mealy/Moore automaton

## Theorem

Let  $M' = (Q', \Sigma, D, \delta', \lambda', q'_0)$  be a Mealy automaton. Then there exists Moore automaton  $M$  with  $|Q'| \cdot |D|$  states such that  $Z(M) = Z(M')$ .

## Proof

Moore automaton  $M$  is constructed as follows:

1.  $Q \leftarrow Q' \times D$
2.  $\delta((q, b), a) \leftarrow (\delta'(q, a), \lambda'(q, a)), \forall q \in Q', \forall a \in \Sigma, \forall b \in D$
3.  $q_0 \leftarrow (q'_0, c)$ , for some arbitrary fixed  $c \in D$
4.  $\lambda((q, b)) \leftarrow b, \forall q \in Q', \forall b \in D$
5.  $M \leftarrow (Q, \Sigma, D, \delta, \lambda, q_0)$

If the input of  $M'$  is  $w = a_1 a_2 \dots a_n, a_i \in \Sigma$ , then  $M'$  enters the states  $q'_0, \hat{\delta}'(q'_0, a_1), \hat{\delta}'(q'_0, a_1 a_2), \dots, \hat{\delta}'(q'_0, a_1 a_2 a_3 \dots a_n)$  and outputs  $\lambda'(q'_0, a_1) \lambda'(\hat{\delta}'(q'_0, a_1), a_2) \dots \lambda'(\hat{\delta}'(q'_0, a_1 a_2 a_3 \dots a_{n-1}), a_n)$

If the input of  $M$  is  $w$ , then  $M$  enters the states

$(q'_0, c), (\hat{\delta}'(q'_0, a_1), \lambda'(q'_0, a_1)), (\hat{\delta}'(q'_0, a_1 a_2), \lambda'(\hat{\delta}'(q'_0, a_1), a_2)), \dots$   
 $(\hat{\delta}'(q'_0, a_1 a_2 \dots a_n), \lambda'(\hat{\delta}'(q'_0, a_1 a_2 \dots a_{n-1}), a_n))$  and outputs  
 $c. \lambda'(q'_0, a_1). \lambda'(\hat{\delta}'(q'_0, a_1), a_2) \dots \lambda'(\hat{\delta}'(q'_0, a_1 a_2 \dots a_{n-1}), a_n)$

□

# Pushdown Translation Automata

## Definition

*The pushdown translation automaton is an octuple*

$PTA = (Q, \Sigma, G, D, \delta, q_0, Z_0, F)$ , where

- $Q$  is a finite set of states,
- $\Sigma$  is a finite set of input symbols,
- $G$  is a finite set of symbols (*pushdown symbols*),
- $D$  is a finite set of output symbols,
- $\delta$  is a finite mapping from  $Q \times (\Sigma \cup \{\varepsilon\}) \times G^*$  to a set of subsets  $Q \times G^* \times D^*$ ,
- $q_0 \in Q$  is the start state,
- $Z_0 \in G$  is an initial symbol of the pushdown store,
- $F \subseteq Q$  is a set of final states.

# Pushdown Translation Automata

## Definition

*The configuration of a pushdown translation automaton*  
 $PTA = (Q, \Sigma, G, D, \delta, q_0, Z_0, F)$  is defined as a quadruple

$(q, x, \alpha, y) \in Q \times \Sigma^* \times G^* \times D^*$ .

$(q_0, x, Z_0, \varepsilon)$  – *the initial configuration* ( $q_0$  is the initial state and  $Z_0$  is the initial pushdown symbol)

Transition relation  $\vdash$ :  $(q, ax, u\gamma, y) \vdash (r, x, \alpha\gamma, yv)$ ,  $\forall a \in \Sigma \cup \{\varepsilon\}$ ,  $\forall x \in \Sigma^*$ ,  
 $\forall \gamma, \alpha \in G^*$ ,  $\forall y, u, v \in D^*$ ,  $\forall q \in Q$ ,  $\forall r \in Q$ ,  $(r, \alpha, v) \in \delta(q, a, u)$ .

# Pushdown Translation Automata

## Definition

*The translation defined by a pushdown translation automaton*

$PTA = (Q, \Sigma, G, D, \delta, q_0, Z_0, F)$  *by move into final state* is a set of pairs

$$Z(PTA) = \{(x, y) : x \in \Sigma^*, y \in D^*, \exists q \in F, \exists \alpha \in G^*, (q_0, x, Z_0, \varepsilon) \vdash^* (q, \varepsilon, \alpha, y)\}.$$

*The translation defined by a pushdown translation automaton*

$PTA = (Q, \Sigma, G, D, \delta, q_0, Z_0, \emptyset)$  *by move into configuration with empty pushdown store* is a set of pairs

$$Z_\varepsilon(PTA) = \{(x, y) : x \in \Sigma^*, y \in D^*, \exists q \in Q, (q_0, x, Z_0, \varepsilon) \vdash^* (q, \varepsilon, \varepsilon, y)\}.$$

# Pushdown Automata

## Example

$PTA = (\{q\}, \{a, +, *\}, \{+, *, E\}, \{a, +, *\}, \delta, q, E, \emptyset)$ , where  $\delta$ :

$$\delta(q, a, E) = \{(q, \varepsilon, a)\}$$

$$\delta(q, +, E) = \{(q, EE+, \varepsilon)\}$$

$$\delta(q, *, E) = \{(q, EE*, \varepsilon)\}$$

$$\delta(q, \varepsilon, +) = \{(q, \varepsilon, +)\}$$

$$\delta(q, \varepsilon, *) = \{(q, \varepsilon, *)\}.$$

The automaton makes the following moves for input  $+ * aaa$ :

$$\begin{array}{lcl} (q, + * aaa, E, \varepsilon) & \vdash & (q, \quad *aaa, \quad EE+, \quad \varepsilon) \\ & \vdash & (q, \quad aaa, \quad EE * E+, \quad \varepsilon) \\ & \vdash & (q, \quad aa, \quad E * E+, \quad a) \\ & \vdash & (q, \quad a, \quad *E+, \quad aa) \\ & \vdash & (q, \quad a, \quad E+, \quad aa*) \\ & \vdash & (q, \quad \varepsilon, \quad +, \quad aa * a) \\ & \vdash & (q, \quad \varepsilon, \quad \varepsilon, \quad aa * a+). \end{array}$$

# Pushdown Translation Automata

## Definition

*Pushdown translation automaton*  $PTA = (Q, \Sigma, G, D, \delta, q_0, Z_0, F)$  is called *deterministic* if:

1.  $|\delta(q, a, \gamma)| \leq 1, \forall q \in Q, \forall a \in (\Sigma \cup \{\varepsilon\}), \forall \gamma \in G^*$ .
2. If  $\delta(q, a, \alpha) \neq \emptyset, \delta(q, a, \beta) \neq \emptyset$  and  $\alpha \neq \beta$ , then  $\alpha$  is not a prefix of  $\beta$  and  $\beta$  is not a prefix of  $\alpha$  (i.e.,  $\gamma\alpha \neq \beta, \alpha \neq \gamma\beta, \gamma \in G^*$ ).
3. If  $\delta(q, a, \alpha) \neq \emptyset, \delta(q, \varepsilon, \beta) \neq \emptyset$ , then  $\alpha$  is not a prefix of  $\beta$  and  $\beta$  is not a prefix of  $\alpha$  (i.e.,  $\gamma\alpha \neq \beta, \alpha \neq \gamma\beta, \gamma \in G^*$ ).



# Pushdown Translation Automata

## Theorem

Let  $TG = (N, \Sigma, D, R, S)$  be a translation grammar. Then there exists pushdown translation automaton  $PTA$  such that  $Z_\varepsilon(PTA) = Z(TG)$ .

**Proof:** For given  $TG = (N, \Sigma, D, R, S)$  we construct  $PTA = (\{q\}, \Sigma, N \cup \Sigma \cup D, D, \delta, q, S, \emptyset)$ , where  $\delta$ :

1.  $\delta(q, \varepsilon, A) \leftarrow \{(q, \alpha, \varepsilon) : (A \rightarrow \alpha) \in R\}, \forall A \in N, \quad \triangleright \text{(expansion)}$
2.  $\delta(q, a, a) \leftarrow \{(q, \varepsilon, \varepsilon)\}, \forall a \in \Sigma, \quad \triangleright \text{(comparison)}$
3.  $\delta(q, \varepsilon, b) \leftarrow \{(q, \varepsilon, b)\}, \forall b \in D. \quad \triangleright \text{(output)}$

The proof that  $Z(TG) = Z_\varepsilon(PTA)$  will be made by induction on the length of derivation in grammar  $TG$  and on length of sequence of transitions of automaton  $PTA$ . □

# Pushdown Translation Automata

## Example

$TG = (\{E, T, F\}, \{+, *, (, ), a\}, \{\oplus, \otimes, @\}, R, E)$ , where  $R$ :

$$E \rightarrow E + T \oplus$$

$$E \rightarrow T$$

$$T \rightarrow T * F \otimes$$

$$T \rightarrow F$$

$$F \rightarrow (E)$$

$$F \rightarrow a @.$$

$PTA = (\{q\}, \{+, *, (, ), a\}, \{+, *, (, ), a, E, T, F, \oplus, \otimes, @\}, \{\oplus, \otimes, @\}, \delta, q, E, \emptyset)$ , where  $\delta$ :

$$\delta(q, \varepsilon, E) = \{(q, E + T \oplus, \varepsilon), (q, T, \varepsilon)\},$$

$$\delta(q, \varepsilon, T) = \{(q, T * F \otimes, \varepsilon), (q, F, \varepsilon)\},$$

$$\delta(q, \varepsilon, F) = \{(q, (E), \varepsilon), (q, a @, \varepsilon)\},$$

$$\delta(q, c, c) = \{(q, \varepsilon, \varepsilon)\}, \forall c \in \{+, *, (, ), a\},$$

$$\delta(q, \varepsilon, b) = \{(q, \varepsilon, b)\}, \forall b \in \{\oplus, \otimes, @\}.$$

# Pushdown Translation Automata

$(q, a + a * a, E, \varepsilon)$	$\vdash(q, a + a * a, E + T \oplus, \varepsilon)$
	$\vdash(q, a + a * a, T + T \oplus, \varepsilon)$
	$\vdash(q, a + a * a, F + T \oplus, \varepsilon)$
	$\vdash(q, a + a * a, a \textcircled{a} + T \oplus, \varepsilon)$
	$\vdash(q, +a * a, \textcircled{a} + T \oplus, \varepsilon)$
	$\vdash(q, +a * a, +T \oplus, \textcircled{a})$
	$\vdash(q, a * a, T \oplus, \textcircled{a})$
	$\vdash(q, a * a, T * F \textcircled{*} \oplus, \textcircled{a})$
	$\vdash(q, a * a, F * F \textcircled{*} \oplus, \textcircled{a})$
	$\vdash(q, a * a, a \textcircled{a} * F \textcircled{*} \oplus, \textcircled{a})$
	$\vdash(q, *a, \textcircled{a} * F \textcircled{*} \oplus, \textcircled{a})$
	$\vdash(q, *a, *F \textcircled{*} \oplus, \textcircled{a} \textcircled{a})$
	$\vdash(q, a, F \textcircled{*} \oplus, \textcircled{a} \textcircled{a})$
	$\vdash(q, a, a \textcircled{a} \textcircled{*} \oplus, \textcircled{a} \textcircled{a})$
	$\vdash(q, \varepsilon, \textcircled{a} \textcircled{*} \oplus, \textcircled{a} \textcircled{a})$
	$\vdash(q, \varepsilon, \textcircled{*} \oplus, \textcircled{a} \textcircled{a} \textcircled{a})$
	$\vdash(q, \varepsilon, \oplus, \textcircled{a} \textcircled{a} \textcircled{a} \textcircled{*})$
	$\vdash(q, \varepsilon, \varepsilon, \textcircled{a} \textcircled{a} \textcircled{a} \textcircled{*} \oplus).$