Implementing EKF on ESC model



- Refactor EKF code: represent real BMS implementation better
 - □ Initialization routine (initEKF.m), called once at startup
 - □ Update routine (iterEKF.m), called every sample interval
 - "Wrapper" code, coordinates the entire simulation process
- Wrapper code begins (load data, store in local variables):

```
% Load cell model, cell-test data (incl. variable "DYNData" of which the field
% "script1" is of interest). It has sub-fields time, current, voltage, soc.
                      % loads "model" of cell
load CellModel
T = 25; % Test temperature
      = DYNData.script1.time(:); deltat = time(2)-time(1);
      = time-time(1); % start time at 0
current = DYNData.script1.current(:); % discharge > 0; charge < 0.</pre>
voltage = DYNData.script1.voltage(:);
soc = DYNData.script1.soc(:);
```

Dr. Gregory L. Plett | University of Colorado Colorado Springs

Battery State-of-Charge (SOC) Estimation | Cell SOC estimation using an extended Kalman filter | 1 of 6

3.4.6: Introducing Octave code to initialize and control EKF for SOC estimation

Wrapper code (2)



 Wrapper code continues by reserving storage, initializing covariances, calling EKF initialization routine

```
% Reserve storage for computed results, for plotting
sochat = zeros(size(soc));
socbound = zeros(size(soc));
% Covariance values
SigmaXO = diag([1e-3 1e-3 1e-2]); % uncertainty of initial state
SigmaV = 2e-1; % uncertainty of voltage sensor, output equation
SigmaW = 1e1; % uncertainty of current sensor, state equation
\mbox{\%} Create ekfData structure and initialize variables using first
% voltage measurement and first temperature measurement
ekfData = initEKF(voltage(1),T,SigmaX0,SigmaV,SigmaW,model);
```

Dr. Gregory L. Plett | University of Colorado Colorado Springs

Battery State-of-Charge (SOC) Estimation | Cell SOC estimation using an extended Kalman filter | 2 of 6

3.4.6: Introducing Octave code to initialize and control EKF for SOC estimation

Wrapper code (3)



- Wrapper code continues by entering main simulation loop
 - "Measure" sensor readings; update EKF

```
\% Now, enter loop for remainder of time, where we update the EKF
% once per sample interval
hwait = waitbar(0, 'Computing...');
for k = 1:length(voltage),
 vk = voltage(k); % "measure" voltage
 ik = current(k); % "measure" current
                   % "measure" temperature
 Tk = T;
 % Update SOC (and other model states)
  [sochat(k),socbound(k),ekfData] = iterEKF(vk,ik,Tk,deltat,ekfData);
 % update waitbar periodically, but not too often (slow procedure)
 if mod(k,1000) == 0, waitbar(k/length(current), hwait); end;
close(hwait);
```

Wrapper code (4)



Wrapper code continues with plotting/analysis code

```
figure; plot(time/60,100*sochat,time/60,100*soc); hold on
plot([time/60; NaN; time/60],...
         [100*(sochat+socbound); NaN; 100*(sochat-socbound)]);
title('SOC estimation using EKF'); xlabel('Time (min)'); ylabel('SOC (%)');
legend('Estimate','Truth','Bounds'); grid on
fprintf('RMS SOC estimation error = %g%%\n', sqrt(mean((100*(soc-sochat)).^2)));
figure; plot(time/60,100*(soc-sochat)); hold on
plot([time/60; NaN; time/60],[100*socbound; NaN; -100*socbound]);
title('SOC estimation errors using EKF');
xlabel('Time (min)'); ylabel('SOC error (%)'); ylim([-4 4]);
legend('Estimation error', 'Bounds'); grid on
ind = find(abs(soc-sochat)>socbound);
fprintf('Percent of time error outside bounds = %g%%\n',...
       length(ind)/length(soc)*100);
```

Dr. Gregory L. Plett | University of Colorado Colorado Springs

Battery State-of-Charge (SOC) Estimation | Cell SOC estimation using an extended Kalman filter | 4 of 6

3.4.6: Introducing Octave code to initialize and control EKF for SOC estimation

EKF initialization code



The EKF initialization code is:

```
function ekfData = initEKF(v0,T0,SigmaX0,SigmaV,SigmaW,model)
 % Initial state description
 ir0 = 0;
hk0 = 0;
                                       ekfData.irInd = 1;
                                       ekfData.hkInd = 2;
 SOCO = SOCfromOCVtemp(v0,T0,model); ekfData.zkInd = 3;
 ekfData.xhat = [ir0 hk0 SOCO]'; % initial state
 % Covariance values
 ekfData.SigmaX = SigmaX0;
                                    ekfData.SigmaV = SigmaV;
 ekfData.SigmaW = SigmaW;
                                      ekfData.Qbump = 5;
 % previous value of current (and its sign)
 ekfData.priorI = 0;
                                      ekfData.signIk = 0;
 % store model data structure too
 ekfData.model = model;
end
```

Dr. Gregory L. Plett | University of Colorado Colorado Springs

Battery State-of-Charge (SOC) Estimation | Cell SOC estimation using an extended Kalman filter | 5 of 6

3.4.6: Introducing Octave code to initialize and control EKF for SOC estimation

Summary



- Implementation of EKF on ESC model refactors code
 - □ Initialization routine (initEKF.m), called once at startup
 - □ Update routine (iterEKF.m), called every sample interval
 - □ "Wrapper" code, coordinates the entire simulation process
- You have now seen the details of the initialization routine and the wrapper code
- Next lesson will present the update routine