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# Chapter 1

## Idempotent Elements in Finite Semigroups

**Lemma 1** (Existence of Idempotent Powers). *In a finite semigroup, for any element  $x$ , there exists a positive integer  $m$  such that  $x^m$  is an idempotent. This idempotent power is unique. The existence is a consequence of the fact that in a finite semigroup, the sequence of powers of an element  $x, x^2, x^3, \dots$  must eventually repeat. From a repeating sequence, an idempotent can be constructed.*

*Proof.* Since the semigroup  $S$  is finite, for any  $x \in S$ , the set of its powers  $\{x^1, x^2, x^3, \dots\}$  must also be finite. By the pigeonhole principle, there must exist distinct positive integers  $m, n$  such that  $x^m = x^n$ . Let's assume  $m < n$ . Then we can write  $x^m = x^m x^{n-m}$ . This shows that powers of  $x$  eventually enter a cycle. From this cyclic part, we can extract a power  $k$  such that  $x^k$  is idempotent. The proof of uniqueness follows by showing that if  $x^a$  and  $x^b$  are both idempotents, then  $x^a = x^b$ .  $\square$

**Lemma 2** (Sandwich Property in Finite Monoids). *In a finite monoid  $M$ , if an element  $a$  satisfies the property  $a = xay$  for some  $x, y \in M$ , then there exist non-zero powers of  $x$  and  $y$ , say  $n_1$  and  $n_2$ , such that  $x^{n_1}a = a$  and  $ay^{n_2} = a$ .*

*Proof.* From  $a = xay$ , we can repeatedly substitute  $a$  into itself to get  $a = x^k ay^k$  for any  $k \geq 1$ . Since  $M$  is a finite monoid, there exists a non-zero power  $n_1$  such that  $x^{n_1}$  is an idempotent (by 1). Then we have  $a = x^{n_1} ay^{n_1}$ . Multiplying by  $x^{n_1}$  on the left gives  $x^{n_1}a = x^{2n_1} ay^{n_1}$ . Since  $x^{n_1}$  is idempotent,  $x^{2n_1} = x^{n_1}$ , so  $x^{n_1}a = x^{n_1} ay^{n_1} = a$ . A symmetric argument shows that  $ay^{n_2} = a$  for some idempotent power  $y^{n_2}$ .  $\square$

## Chapter 2

# Green's Relations

**Definition 3** (Green's Preorder and Equivalence Relations). In a semigroup  $S$ , Green's relations are a set of five equivalence relations that characterize the elements of  $S$  in terms of the principal ideals they generate. These relations are fundamental to the study of semigroups.

First, we define four preorder relations:  $\leq_{\mathcal{R}}$ ,  $\leq_{\mathcal{L}}$ ,  $\leq_{\mathcal{J}}$ , and  $\leq_{\mathcal{H}}$ . Let  $S^1$  be the monoid obtained by adjoining an identity element to  $S$  if it does not already have one. For any two elements  $x, y \in S$ :

- $x \leq_{\mathcal{R}} y$  if and only if the principal right ideal generated by  $x$  is a subset of the principal right ideal generated by  $y$  ( $xS^1 \subseteq yS^1$ ). In Lean, we use the equivalent definition that there must exist some  $z \in S^1$  such that  $x = yz$ .
- $x \leq_{\mathcal{L}} y$  if and only if the principal left ideal generated by  $x$  is a subset of the principal left ideal generated by  $y$  ( $S^1x \subseteq S^1y$ ). In Lean, we use the equivalent definition that there must exist some  $z \in S^1$  such that  $x = zy$ .
- $x \leq_{\mathcal{J}} y$  if and only if the principal two-sided ideal generated by  $x$  is a subset of the principal two-sided ideal generated by  $y$  ( $S^1xS^1 \subseteq S^1yS^1$ ). In Lean, we use the equivalent definition that there must exist some  $u, v \in S^1$  such that  $x = uyv$ .
- $x \leq_{\mathcal{H}} y$  if and only if  $x \leq_{\mathcal{R}} y$  and  $x \leq_{\mathcal{L}} y$ .

These four relations are preorders (they are reflexive and transitive).

From these preorders, we define the equivalence relations  $\mathcal{R}$ ,  $\mathcal{L}$ ,  $\mathcal{J}$ , and  $\mathcal{H}$  as the symmetric closures of their corresponding preorders. For example,  $x\mathcal{R}y$  if and only if  $x \leq_{\mathcal{R}} y$  and  $y \leq_{\mathcal{R}} x$ . The equivalence classes are denoted by  $[x]_{\mathcal{R}}$ ,  $[x]_{\mathcal{L}}$ , etc.

Finally, the  $\mathcal{D}$  relation is defined by composing  $\mathcal{R}$  and  $\mathcal{L}$ :  $x\mathcal{D}y$  if there exists an element  $z \in S$  such that  $x\mathcal{R}z$  and  $z\mathcal{L}y$ . It can be shown that  $\mathcal{D}$  is an equivalence relation and that it can also be defined by composing  $\mathcal{L}$  and  $\mathcal{R}$ .

**Lemma 4** (Multiplication Compatibility of Green's Relations). *The  $\mathcal{R}$  and  $\mathcal{L}$  relations exhibit compatibility with semigroup multiplication on one side. Specifically, the  $\mathcal{R}$ -preorder is compatible with left multiplication, and the  $\mathcal{L}$ -preorder is compatible with right multiplication. If  $x \leq_{\mathcal{R}} y$ , then for any  $z \in S$ , we have  $zx \leq_{\mathcal{R}} zy$ . This property extends to the equivalence relation  $\mathcal{R}$ . If  $x\mathcal{R}y$ , then  $zx\mathcal{R}zy$ . A similar argument holds for the  $\mathcal{L}$ -preorder and  $\mathcal{L}$ -equivalence, which are compatible with right multiplication. If  $x \leq_{\mathcal{L}} y$ , then  $xz \leq_{\mathcal{L}} yz$  for any  $z \in S$ .*

*Proof.* Let  $x, y, z \in S$ . To prove left compatibility for  $\leq_{\mathcal{R}}$ , assume  $x \leq_{\mathcal{R}} y$ . By definition, there exists  $a \in S^1$  such that  $x = ya$ . Multiplying by  $z$  on the left gives  $zx = z(ya) = (zy)a$ . This implies  $zx \leq_{\mathcal{R}} zy$ . For the equivalence  $x\mathcal{R}y$ , we have both  $x \leq_{\mathcal{R}} y$  and  $y \leq_{\mathcal{R}} x$ . Applying the result for preorders, we get  $zx \leq_{\mathcal{R}} zy$  and  $zy \leq_{\mathcal{R}} zx$ , which means  $zx\mathcal{R}zy$ . The proof for  $\leq_{\mathcal{L}}$  and  $\mathcal{L}$  with right multiplication is analogous.  $\square$

**Lemma 5** (Commutation of R and L Relations). *The composition of the relations  $\mathcal{R}$  and  $\mathcal{L}$  is commutative. That is, for any  $x, y \in S$ , there exists a  $z$  such that  $x\mathcal{R}z$  and  $z\mathcal{L}y$  if and only if there exists a  $w$  such that  $x\mathcal{L}w$  and  $w\mathcal{R}y$ . This can be written as  $\mathcal{R} \circ \mathcal{L} = \mathcal{L} \circ \mathcal{R}$ . This property is crucial for proving that the relation  $\mathcal{D} = \mathcal{R} \circ \mathcal{L}$  is symmetric, and therefore an equivalence relation.*

*Proof.* Suppose there exists  $z$  with  $x\mathcal{R}z$  and  $z\mathcal{L}y$ . From  $x\mathcal{R}z$ , we have  $x = za$  and  $z = xb$  for some  $a, b \in S^1$ . From  $z\mathcal{L}y$ , we have  $z = cy$  and  $y = dz$  for some  $c, d \in S^1$ . We need to find an element  $w$  such that  $x\mathcal{L}w$  and  $w\mathcal{R}y$ . The Lean proof shows that in the non-trivial case, the element  $dza$  can be used for  $w$ . This commutation is essential for establishing that  $\mathcal{D}$  is an equivalence relation, as it directly implies symmetry.  $\square$

**Lemma 6** (Closure of  $\mathcal{D}$  under R and L). *The  $\mathcal{D}$  relation is closed under composition with  $\mathcal{R}$  and  $\mathcal{L}$ . If  $x\mathcal{D}y$  and  $y\mathcal{L}z$ , then  $x\mathcal{D}z$ . Similarly, if  $x\mathcal{D}y$  and  $y\mathcal{R}z$ , then  $x\mathcal{D}z$ . This property is used to prove the transitivity of  $\mathcal{D}$ .*

*Proof.* Suppose  $x\mathcal{D}y$  and  $y\mathcal{L}z$ . By definition of  $\mathcal{D}$ , there exists an element  $w$  such that  $x\mathcal{R}w$  and  $w\mathcal{L}y$ . Since  $\mathcal{L}$  is an equivalence relation, from  $w\mathcal{L}y$  and  $y\mathcal{L}z$ , we can deduce  $w\mathcal{L}z$ . Now we have  $x\mathcal{R}w$  and  $w\mathcal{L}z$ , which by definition means  $x\mathcal{D}z$ . A similar argument holds for closure under  $\mathcal{R}$ . If  $x\mathcal{D}y$  and  $y\mathcal{R}z$ , we use the commutation of  $\mathcal{R}$  and  $\mathcal{L}$  (5).  $x\mathcal{D}y$  means there is a  $w$  with  $x\mathcal{L}w$  and  $w\mathcal{R}y$ . Since  $\mathcal{R}$  is an equivalence relation,  $w\mathcal{R}y$  and  $y\mathcal{R}z$  implies  $w\mathcal{R}z$ . So we have  $x\mathcal{L}w$  and  $w\mathcal{R}z$ , which means  $x\mathcal{D}z$ .  $\square$

## Chapter 3

# Basic Properties of Green's Relations

**Lemma 7** (Characterization of Elements Below Idempotents). *Let  $e$  be an idempotent element in a semigroup  $S$ . An element  $x \in S$  is  $\mathcal{R}$ -below  $e$  if and only if  $x = ex$ . Similarly,  $x$  is  $\mathcal{L}$ -below  $e$  if and only if  $x = xe$ . It follows that  $x$  is  $\mathcal{H}$ -below  $e$  if and only if both conditions hold, i.e.,  $x = ex$  and  $x = xe$ .*

*Proof.* For the  $\mathcal{R}$ -preorder, if  $x \leq_{\mathcal{R}} e$ , then  $x = ez$  for some  $z \in S^1$ . Since  $e$  is idempotent,  $e = e^2$ , so  $ex = e(ez) = e^2z = ez = x$ . Conversely, if  $x = ex$ , then  $x \leq_{\mathcal{R}} e$  by definition. The argument for the  $\mathcal{L}$ -preorder is analogous. The statement for  $\mathcal{H}$  follows directly from the definitions.  $\square$

**Lemma 8** (Preservation of Green's Relations by Morphisms). *Green's relations are preserved under semigroup morphisms. Let  $f : S \rightarrow T$  be a semigroup morphism. If two elements  $x, y \in S$  are related by any of Green's preorders or equivalence relations, then their images  $f(x), f(y)$  are related by the same relation in  $T$ .*

*Proof.* If  $x \leq_{\mathcal{R}} y$ , then  $x = yz$  for some  $z \in S^1$ . Applying the morphism  $f$  gives  $f(x) = f(yz) = f(y)f(z)$ , so  $f(x) \leq_{\mathcal{R}} f(y)$ . This extends to the equivalence relation: if  $x \mathcal{R} y$ , then  $x \leq_{\mathcal{R}} y$  and  $y \leq_{\mathcal{R}} x$ , which implies  $f(x) \leq_{\mathcal{R}} f(y)$  and  $f(y) \leq_{\mathcal{R}} f(x)$ , so  $f(x) \mathcal{R} f(y)$ .

A similar argument holds for  $\mathcal{L}$ . The preservation of  $\mathcal{J}$  and  $\mathcal{H}$  follows from their definitions. For  $\mathcal{D}$ , if  $x \mathcal{D} y$ , there exists  $z$  such that  $x \mathcal{R} z$  and  $z \mathcal{L} y$ . Then  $f(x) \mathcal{R} f(z)$  and  $f(z) \mathcal{L} f(y)$ , which implies  $f(x) \mathcal{D} f(y)$ .  $\square$

## Chapter 4

# Green's Relations in Finite Semigroups

**Lemma 9** (Equivalence of  $\mathcal{D}$  and  $\mathcal{J}$  Relations in Finite Semigroups). *In a finite semigroup, the  $\mathcal{D}$  and  $\mathcal{J}$  relations are equivalent. That is, for any two elements  $x, y \in S$ ,  $x\mathcal{D}y$  if and only if  $x\mathcal{J}y$ .*

*Proof.* The forward direction, that  $x\mathcal{D}y$  implies  $x\mathcal{J}y$ , holds in any semigroup, not just finite ones. This is because if  $x\mathcal{D}y$ , there exists  $z$  such that  $x\mathcal{R}z$  and  $z\mathcal{L}y$ . These relations imply  $x \leq_{\mathcal{J}} z$  and  $z \leq_{\mathcal{J}} y$ , and by transitivity,  $x \leq_{\mathcal{J}} y$ . A symmetric argument shows  $y \leq_{\mathcal{J}} x$ , so  $x\mathcal{J}y$ .

The reverse direction relies on the semigroup being finite. If  $x\mathcal{J}y$ , then  $x \leq_{\mathcal{J}} y$  and  $y \leq_{\mathcal{J}} x$ . This means there exist  $s, t, u, v \in S^1$  such that  $x = syt$  and  $y = uxv$ . Substituting these into each other shows that  $x$  is of the form  $axb$  for some  $a, b \in S$ . In a finite semigroup, this implies that some power of  $a$  and  $b$  will lead to an idempotent element related to  $x$ , which can be used to construct the intermediate element for the  $\mathcal{D}$  relation. This relies on the property that for any element  $a$  in a finite semigroup, the sequence  $a, a^2, a^3, \dots$  must contain an idempotent.  $\square$

**Lemma 10** (J-Equivalence Strengthening Preorders). *In a finite semigroup, if two elements are  $\mathcal{J}$ -equivalent, then a one-sided preorder implies the corresponding one-sided equivalence. Specifically, if  $x\mathcal{J}y$  and  $x \leq_{\mathcal{R}} y$ , then  $x\mathcal{R}y$ . Similarly, if  $x\mathcal{J}y$  and  $x \leq_{\mathcal{L}} y$ , then  $x\mathcal{L}y$ .*

*Proof.* Suppose  $x\mathcal{J}y$  and  $x \leq_{\mathcal{R}} y$ . Since we are in a finite semigroup,  $x\mathcal{J}y$  implies  $x\mathcal{D}y$  by 9. So there exists a  $z$  such that  $x\mathcal{R}z$  and  $z\mathcal{L}y$ . From  $x \leq_{\mathcal{R}} y$ , we can show that  $y \leq_{\mathcal{R}} x$ , which gives  $x\mathcal{R}y$ . The argument for  $\mathcal{L}$  is analogous.  $\square$

**Lemma 11** (H-Equivalence from Sandwiching). *In a finite semigroup, if an element  $x$  can be written as  $x = uxv$  for some  $u, v \in S$ , then  $x$  is  $\mathcal{H}$ -equivalent to both  $ux$  and  $xv$ .*

*Proof.* The condition  $x = uxv$  implies  $x \leq_{\mathcal{J}} ux$  and  $x \leq_{\mathcal{J}} xv$ . It also implies  $ux \leq_{\mathcal{R}} x$  and  $xv \leq_{\mathcal{L}} x$ . Using the property that  $\mathcal{J}$ -equivalence strengthens preorders to equivalences in finite semigroups (10), we can establish the  $\mathcal{R}$  and  $\mathcal{L}$  equivalences needed to show  $x\mathcal{H}ux$  and  $x\mathcal{H}xv$ .  $\square$