

Convolutional Neural Networks

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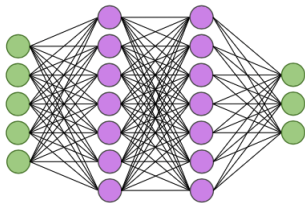
Slides adapted from R. Singh@Brown and K. Wong@UTK

Today's goal – learn about convolution

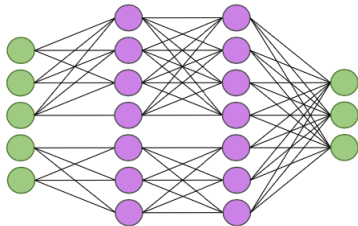
- **Intro to CNNs – Convolution**
- Convolution - Stride
- Learning in CNNs

Does a network have to be fully connected?

Fully Connected



Partially Connected?



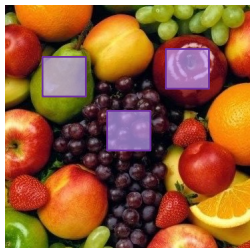
Why would you ever want to do this?

Advantages of Partial Connections

- Fewer connections -> fewer weights to learn
 - Faster training; more compact models; better generalization performance
- Can design connectivity pattern that exploits knowledge of the data (like connecting patterns in features)

When partially connected networks are useful

- **Observation:** Nearby pixels are more likely to be related
- **Assumption:** It is okay to only connect the nearby pixels
- Focusing on local patterns = partial connections
- How?



The Main Building Block: Convolution

- Convolution is an operation that takes two inputs:

(1) An image (2D – B/W)



(2) A filter (also called a kernel)

1	1	1
0	0	0
-1	-1	-1

2D array of numbers; could be any values

What Convolution Does (Visually)

image

2	0	1	3
7	1	1	0
0	2	5	0
0	5	1	4

filter/kernel

1	1	1
0	0	0
-1	-1	-1

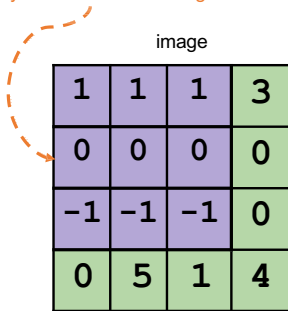
\otimes

(We use this symbol for convolution)
(The verb form is "convolve")

What Convolution Does (Visually)

Overlay the filter on the image

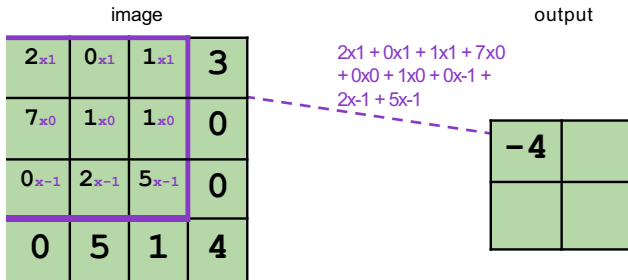
image



1	1	1	3
0	0	0	0
-1	-1	-1	0
0	5	1	4

What Convolution Does (Visually)

Sum up multiplied values to produce output value



What Convolution Does (Visually)

Move the filter over by one pixel

image

1	1	1	3
0	0	0	0
-1	-1	-1	0
0	5	1	4

output

-4	

What Convolution Does (Visually)

Move the filter over by one pixel

image

2	1	1	1
7	0	0	0
0	-1	-1	-1
0	5	1	4

output

-4	

What Convolution Does (Visually)

Repeat (multiply, sum up)

image

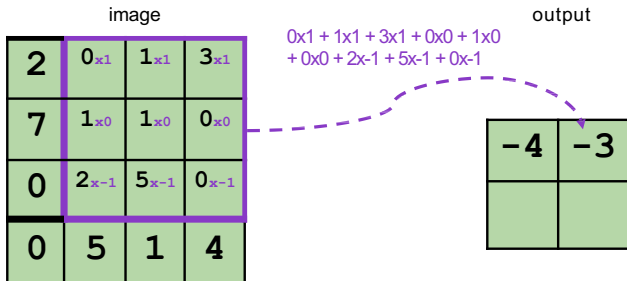
2	0×1	1×1	3×1
7	1×0	1×0	0×0
0	2×-1	5×-1	0×-1
0	5	1	4

output

-4	

What Convolution Does (Visually)

Repeat (multiply, sum up)



What Convolution Does (Visually)

Repeat...

image

2	0	1	3
7 _{x1}	1 _{x1}	1 _{x1}	0
0 _{x0}	2 _{x0}	5 _{x0}	0
0 _{x-1}	5 _{x-1}	1 _{x-1}	4

$$7 \times 1 + 1 \times 1 + 1 \times 1 + 0 \times 0 + 2 \times 0 \\ + 5 \times 0 + 0 \times -1 + 5 \times -1 + 1 \times -1$$

output

-4	-3
3	

What Convolution Does (Visually)

Repeat...

image

2	0	1	3
7	1×1	1×1	0×1
0	2×0	5×0	0×0
0	5×-1	1×-1	4×-1

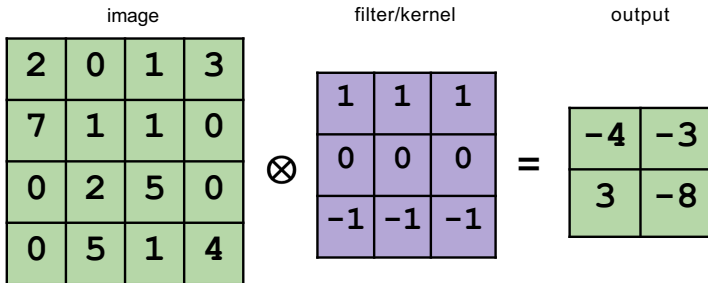
$$1 \times 1 + 1 \times 1 + 0 \times 1 + 2 \times 0 + 5 \times 0 \\ + 0 \times 0 + 5 \times -1 + 1 \times -1 + 4 \times -1$$

output

-4	-3
3	-8

What Convolution Does (Visually)

In summary:



Try it out yourself!

Convolve this
image

2	0	3	1
1	1	0	0
1	0	2	0
1	0	1	2



With this filter

1	0	-1
2	0	-2
1	0	-1

What Convolution Does (Mathematically)

$$V(x, y) = (I \otimes K)(x, y) = \sum_m \sum_n I(x + m, y + n) K(m, n)$$

The output at pixel (x, y)

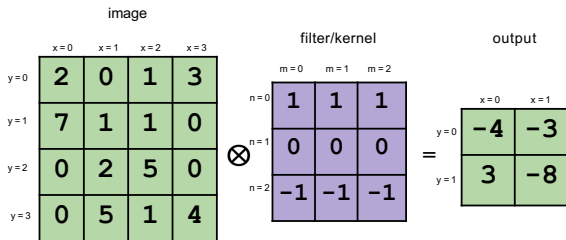
"Image I convolved with kernel K "

Sum over kernel columns

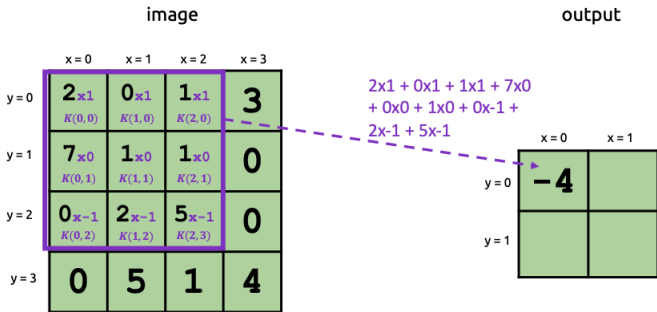
Sum over kernel rows

Multiply kernel value with corresponding image pixel value

What Convolution Does (Mathematically)

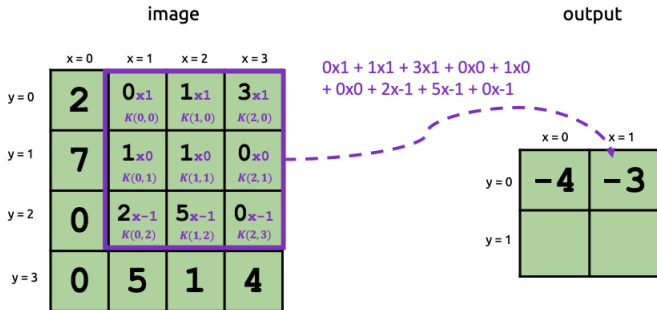


What Convolution Does (Mathematically)



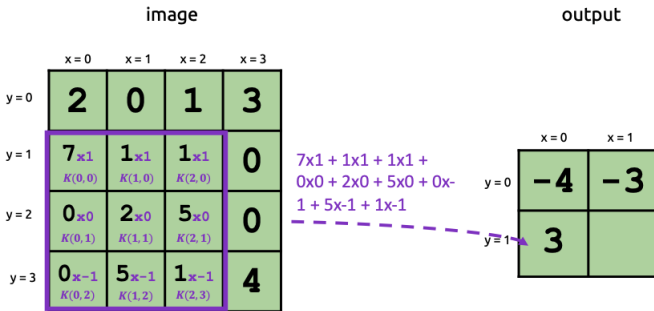
$$V(0,0) = (I \otimes K)(0,0) = \sum_{m=0}^2 \sum_{n=0}^2 I(0+m, 0+n) K(m,n)$$

What Convolution Does (Mathematically)



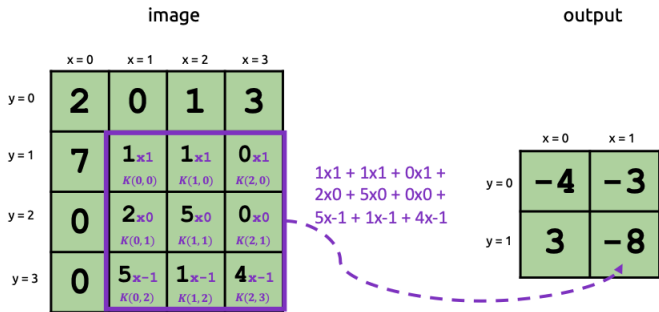
$$V(1, 0) = (I \otimes K)(1, 0) = \sum_{m=0}^2 \sum_{n=0}^2 I(1 + m, 0 + n) K(m, n)$$

What Convolution Does (Mathematically)



$$V(0,1) = (I \otimes K)(0,1) = \sum_{m=0}^2 \sum_{n=0}^2 I(0+m, 1+n) K(m,n)$$

What Convolution Does (Mathematically)



$$V(1, 1) = (I \otimes K)(1, 1) = \sum_{m=0}^2 \sum_{n=0}^2 I(1+m, 1+n) K(m, n)$$

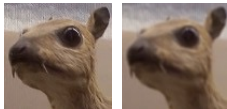
What Convolution Does (In Code)

```
// Input: Image I, Kernel K, Output V, pixel index x,y
// Assumes K is 3x3
function apply_kernel(I, K, V, x, y)
    for m = 0 to 2:
        for n = 0 to 2:
            V(x,y) += K(m,n) * I(m+x, n+y)
```


Different filters = different effects

Blur

1/9	1/9	1/9
1/9	1/9	1/9
1/9	1/9	1/9



Edge Detection / Outline Kernel

0	-1	0
-1	5	-1
0	-1	0



Shift

0	0	0
1	0	0
0	0	0



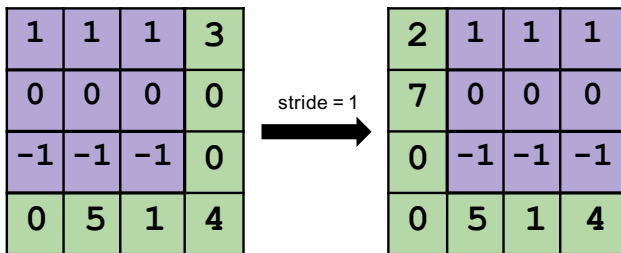
* exaggerated

Today's goal – learn about convolution

- Intro to CNNs – Convolution
- **Convolution - Stride**
- Learning in CNNs

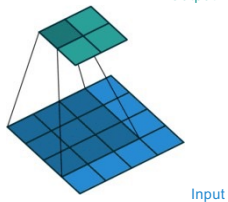
Stride

- We don't just have to slide the filter by one pixel every time
- The distance we slide a filter by is called stride
 - All the examples we have seen have been stride = 1

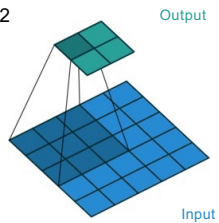


Stride in Action

Stride: 1



Stride: 2

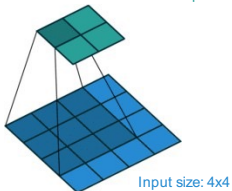


Why would we want stride > 1?

- Any connection between input and output size?
 - Larger stride turns a bigger input into the same size output
 - Larger stride turns the same size input into a smaller output
 - Use this to (controllably) decrease image resolution!

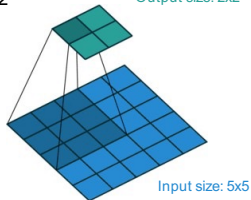
Stride: 1

Output size: 2x2



Stride: 2

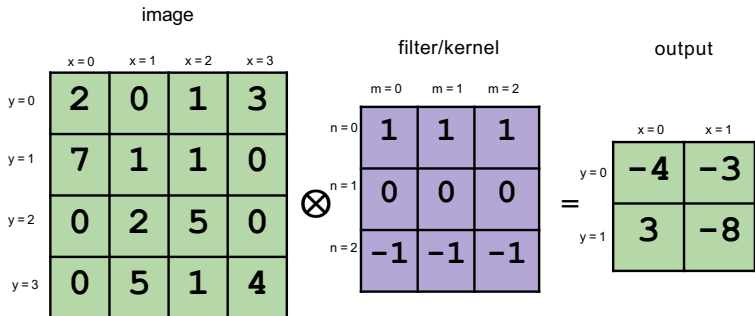
Output size: 2x2



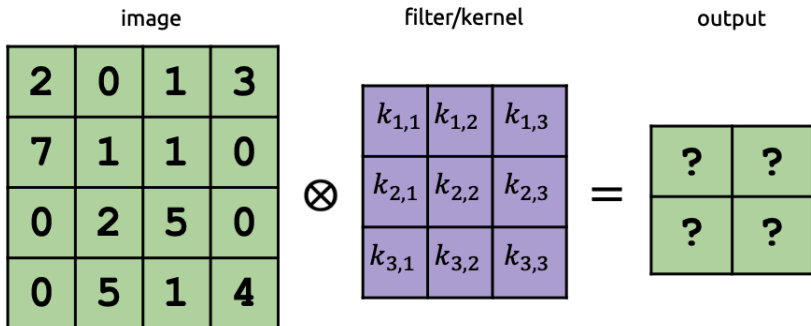
Today's goal – learn about convolution

- Intro to CNNs – Convolution
- Convolution - Stride
- **Learning in CNNs**

Key Idea 1: Filters are Learnable



Key Idea 1: Filters are Learnable

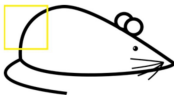


$k_{i,j}$ are learnable parameters

Detecting patterns using learned filters



Original image



Visualization of the filter on the image

Label="Mouse"

Detecting patterns using learned filters



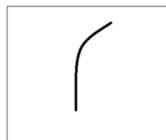
Original image



Visualization of the filter on the image

0	0	0	0	0	30	0
0	0	0	0	30	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	0	0	0	0

Pixel representation of filter



Visualization of a curve detector filter



Visualization of the receptive field

0	0	0	0	0	0	30
0	0	0	0	50	50	50
0	0	0	20	50	0	0
0	0	0	50	50	0	0
0	0	0	50	50	0	0
0	0	0	50	50	0	0
0	0	0	50	50	0	0

Pixel representation of the receptive field

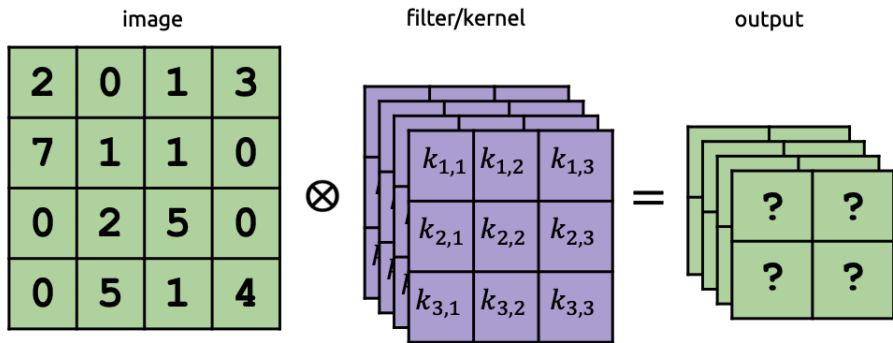
*

0	0	0	0	0	30	0
0	0	0	0	30	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0

Pixel representation of filter

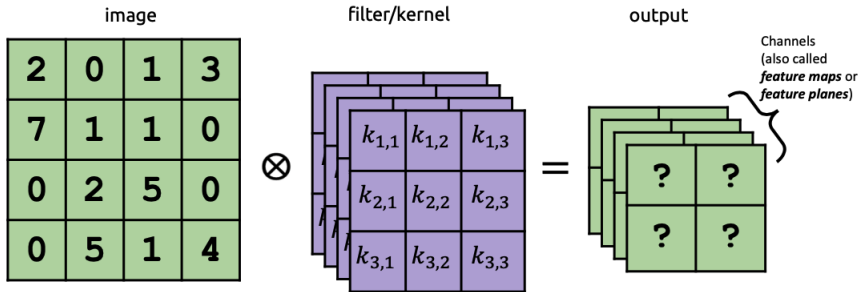
Multiplication and Summation = $(50 \times 30) + (50 \times 30) + (50 \times 30) + (20 \times 30) + (50 \times 30) = 6600$ (A large number!)

Key Idea 2: Learn many filters



This block of filters is called a ***filter bank***

Key Idea 2: Learn many filters



The output is now a multi-channel image

Key Idea 2: Learn many filters

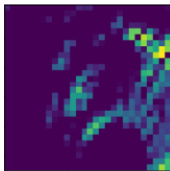
- Why are multiple filters a good idea?

Key Idea 2: Learn many filters

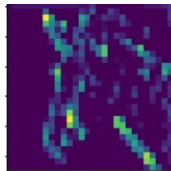
- Why are multiple filters a good idea?
- Can learn to extract different features of the image



Input image



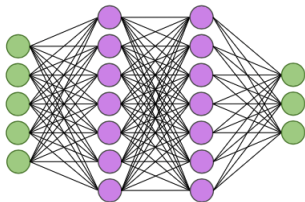
Output of filter 1



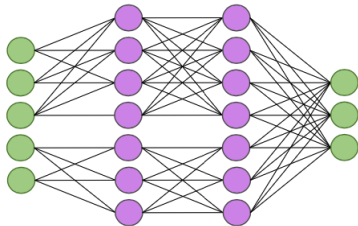
Output of filter 2

How is convolution “partially connected”?

Fully Connected



Partially Connected?



Why would you ever want to do this?

Only certain input pixels are “connected” to certain output pixels

image

2	0	1	3
7	1	1	0
0	2	5	0
0	5	1	4

Colored dots in the input pixels represent which output pixels that input pixel contributes to

If this were fully connected, every input pixel would have all four output colors

output

-4	-3
2	-9

Convolution in Tensorflow

```
tf.nn.conv2d(input, filter, strides, padding)
```



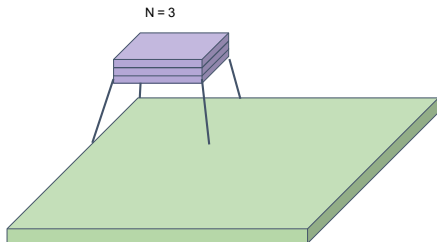
Can you guess the shape?

Input Image (4-D Tensor)
Shape:

```
[batchSz, input_height, input_width, input_channels]
```

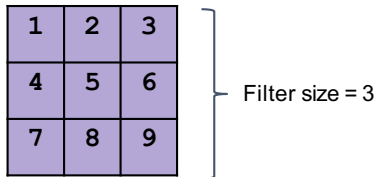
Output Size of a Convolution Layer

- The output size of a convolution layer depends on 4 Hyperparameters:
 - Number of filters, N



Output Size of a Convolution Layer

- The output size of a convolution layer depends on 4 Hyperparameters:
 - Number of filters, N
 - The size of these filters, F



Output Size of a Convolution Layer

- The output size of a convolution layer depends on 4 Hyperparameters:
 - Number of filters, N
 - The size of these filters, F
 - The stride, S


2	0	3	1	0
2	4	5	2	3
0	0	3	3	1
2	9	9	7	8
3	4	7	2	1



2	0	3	1	0
2	4	5	2	3
0	0	3	3	1
2	9	9	7	8
3	4	7	2	1

Convolution in Tensorflow

```
tf.nn.conv2d(input, filter, strides, padding)
```



List of ints of length 4
Represents the strides along each dimension of the input
`[batch_stride, stride_along_height, stride_along_width, stride_along_input_channels]`

Convolution in Tensorflow

```
tf.nn.conv2d(input, filter, strides, padding)
```



“Problem” With Convolution

- Output of convolution is always smaller than the input
- Why might we want the output size to be the same?
 - To avoid the filter “eating at the border” of the image when applying multiple conv layers

In summary:

image		filter/kernel		output																													
<table border="1"><tr><td>2</td><td>0</td><td>1</td><td>3</td></tr><tr><td>7</td><td>1</td><td>1</td><td>0</td></tr><tr><td>0</td><td>2</td><td>5</td><td>0</td></tr><tr><td>0</td><td>5</td><td>1</td><td>4</td></tr></table>	2	0	1	3	7	1	1	0	0	2	5	0	0	5	1	4	\otimes	<table border="1"><tr><td>1</td><td>1</td><td>1</td></tr><tr><td>0</td><td>0</td><td>0</td></tr><tr><td>-1</td><td>-1</td><td>-1</td></tr></table>	1	1	1	0	0	0	-1	-1	-1	=	<table border="1"><tr><td>-4</td><td>-3</td></tr><tr><td>3</td><td>-8</td></tr></table>	-4	-3	3	-8
2	0	1	3																														
7	1	1	0																														
0	2	5	0																														
0	5	1	4																														
1	1	1																															
0	0	0																															
-1	-1	-1																															
-4	-3																																
3	-8																																

Solution: Padding

- Apply the kernel to 'imaginary' pixels surrounding the image

?	?	?	?	?	?	?
?	2	0	3	1	1	?
?	1	1	0	0	2	?
?	4	3	2	0	1	?
?	1	0	5	2	0	?
?	0	1	0	3	0	?
?	?	?	?	?	?	?

What Values to Use For These Pixels?

- Standard practice: fill with zeroes

0	0	0	0	0	0	0
0	2	0	3	1	1	0
0	1	1	0	0	2	0
0	4	3	2	0	1	0
0	1	0	5	2	0	0
0	0	1	0	3	0	0
0	0	0	0	0	0	0

Padding Modes in Tensorflow

- 2 available options: 'VALID' and 'SAME':

Valid

Filter only slides over
"Valid" regions of the
data

2	0	1	3
0	1	1	0
0	0	2	0
0	1	1	1

Same

Filter slides over the bounds of the
data, ensuring output size is the
"Same" as input size (when stride = 1)

0	0	0	0	0	0
0	2	0	1	3	0
0	1	1	2	3	0
0	4	3	2	1	0
0	8	3	1	3	0
0	0	0	0	0	0

VALID Padding in Tensorflow

2	0	3	1
1	1	0	0
1	0	2	0
1	0	1	2

\otimes
"VALID"
Stride = 1

1	0	-1
2	0	-2
1	0	-1

=

0	1
-1	-1

SAME Padding in Tensorflow

```
tf.nn.conv2d(input, filter, strides,  
padding='SAME')
```

0	0	0	0	0	0
0	2	0	1	3	0
0	1	1	2	3	0
0	4	3	2	1	0
0	8	3	1	3	0
0	0	0	0	0	0

SAME Padding in Tensorflow

```
tf.nn.conv2d(input, filter, strides,  
padding='SAME')
```

0	0	0	0	0	0
0	2	0	1	3	0
0	1	1	2	3	0
0	4	3	2	1	0
0	8	3	1	3	0
0	0	0	0	0	0

SAME Padding in Tensorflow

```
tf.nn.conv2d(input, filter, strides,  
padding='SAME')
```

0	0	0	0	0	0
0	2	0	1	3	0
0	1	1	2	3	0
0	4	3	2	1	0
0	8	3	1	3	0
0	0	0	0	0	0

SAME Padding in Tensorflow

```
tf.nn.conv2d(input, filter, strides,  
padding='SAME')
```

0	0	0	0	0	0
0	2	0	1	3	0
0	1	1	2	3	0
0	4	3	2	1	0
0	8	3	1	3	0
0	0	0	0	0	0

SAME Padding example

2	0	3	1
1	1	0	0
1	0	2	0
1	0	1	2

\otimes
"Same"
Stride = 1

1	0	-1
2	0	-2
1	0	-1

=

-1	-1	-1	6
-2	0	1	5
-1	-1	-1	5
0	-1	-4	4

Output Size of a Convolution Layer

- The output size of a convolution layer depends on 4 Hyperparameters:
 - Number of filters, N
 - The size of these filters, F
 - The stride, S
 - The amount of padding, P

0	0	0	0	0	0
0	0	0	0	0	0
0	0	2	3	0	0
0	0	9	2	0	0
0	0	0	0	0	0
0	0	0	0	0	0

} Padding = 2

Output Size of a Convolution Layer

- Suppose we know the number of filters, their size, the stride, and padding (n,f,s,p).
- Then for a convolution layer with input dimension $w * h * d$, the output dimensions $w' * h' * d'$ are:
 - $w' = \frac{w-f+2p}{s} + 1$
 - $h' = \frac{h-f+2p}{s} + 1$
 - $d' = n$

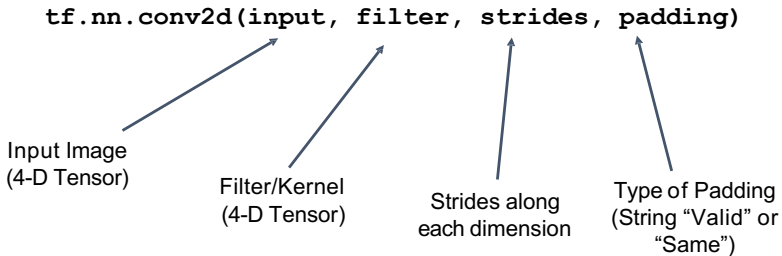
Output Size for “VALID” Padding

- $(n, f, s, p) = (1, 3, 1, 0)$
- If $w=4$, $w'=2$.

Output Size for “SAME” Padding

- $(n, f, s, p) = (1, 3, 1, 1)$
- If $w=4$, $w'=4$.
- We choose $p=1$ so output size is the same.

Convolution in Tensorflow

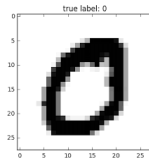


Application to Real World Data (MNIST)

```
# Should be of shape (batch_sz, 28, 28, 1) for MNIST
inputs = MNIST_image_batch
```

```
# Sets up a 5x5 filter with 1 input channels and 16 output channels
self.filter = tf.Variable(tf.random.normal([5, 5, 1, 16], stddev=0.1))
```

```
# Convolves the input batch with our defined filter
conv = tf.nn.conv2d(inputs, self.filter, [1, 2, 2, 1], padding="SAME")
```



Application to Real World Data (CIFAR)



```
# Should be of shape (batch_sz, 32, 32, 3) for CIFAR10
```

```
inputs = CIFAR_image_batch
```

```
# Sets up a 5x5 filter with 3 input channels and 16 output channels
```

```
self.filter = tf.Variable(tf.random.normal([5, 5, 3, 16], stddev=0.1))
```

```
# Convolves the input batch with our defined filter
```

```
conv = tf.nn.conv2d(inputs, self.filter, [1, 2, 2, 1], padding="SAME")
```