# ASSIGNMENT 2: THEORY OF CNNS AND REGULARIZATION [IFT6135]

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### 1. Convolutions

#### 2. Convolutional Neural Networks

(a) Since we have one zero padding in layer three, so the size of layer 3 is  $128 \times 6 \times 6$ . The image has 3 color , therefore the last layer is a fully connected layer of the size

$$3.128.6.6 = 13824.$$

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(b) For the last convolution the size of kernel is  $4 \times 4$  and we have 128 kernels of 3 colors, so the number of parameters is as follows

$$#W = 4.4.128.3 = 6144.$$

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#### 3. Kernel Configurations for CNNs

(a): i: We denote the dimension of input as  $W_1 \times H_1$  and the dimension of output is  $W_2 \times H_2$ , the kernel size is  $K \times K$ , number of zero padding is P and stride size is S, then we easily can see that

(3.1) 
$$W_2 = \frac{W_1 - K + 2P}{S} + 1,$$

by using this formula we get

$$32 = \frac{64 - 8 + 2P}{S} + 1$$

. So if we set P=3 and S=2, the this convolution operation works.

ii: If we have dilatation of size D, then

(3.2) 
$$W_2 = \frac{W_1 - K + 2P + (W_1 - 1)D}{S} + 1,$$

So here we have

$$32 = \frac{64 - K + 2P + 63.6}{2} + 1,$$

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. So if we set K = 400 and P = 10, then our convolution operation works.

(b): For the pooling layer if the the kernel size is  $K = 4 \times 4$  with no overlapping which means the stride size is S = 4, Then the pooling operation works.

(c): Here we have K=8,  $W_1=32$  and S=4. By replacing the values in the formula (??), we have

$$W_2 = \frac{32 - K}{4} + 1 = 7$$

. So the output is of the size  $7 \times 7$ .

(d): i here we have  $W_2 = 4$ ,  $W_1 = 8$  and P = 0, so by using the formula in  $(\ref{eq:condition})$ , we get

$$4 = \frac{8 - K + 0}{S} + 1,$$

. By setting K=2 and S=2, the operation will work.

ii: we have  $W_2 = 4$ ,  $W_1 = 8$ , P = 2 and D = 1, so by applying (??), we obtain

$$4 = \frac{8 - K + 4 + 7}{S} + 1$$

by putting K = 13 and S = 2, the operation works.

iii: By substituting the values in (??), we have

$$4 = \frac{8 - K + 2}{S} + 1,$$

so we choose K=4 and S=2.

## 4. Dropout as Weight Decay

## 5. Dropout as a Geometric Ensemble

Consider the case of a single linear layer model with a softmax output. Prove that weight scaling by 0.5 corresponds exactly to the inference of a conditional probability distribution proportional to a geometric mean over all dropout masks.

First, observe the single linear layer with softmax output with n input variables represented by the vector v with dropout mask d:

(5.1) 
$$P(y = y|v; d) = \mathbf{softmax} \left( W^{T}(d \odot v) + b \right)_{y}$$

and the ensemble conditional probability distribution which represents the geometric mean over all dropout masks:

(5.2) 
$$p_{ens}(y = y|v;d) \propto \left(\prod_{i=1}^{N} \hat{y}_{v}^{(i)}\right)^{\frac{1}{N}}.$$

Aren't they nice? Recall the alternative formulation of the softmax:

$$\mathbf{softmax}_i = \frac{e^{x_i}}{\sum_{k=1}^K e^{x_k}}$$

Which we now rewrite, subbing in our vector representation of the softmax and replacing  $e^x$  with exp(x):

(5.4) 
$$\mathbf{softmax}_{y} = \frac{exp\left(W_{y}^{T}(d \odot v) + b\right)}{\sum_{k=1}^{K} exp\left(W_{y'}^{T}(d \odot v) + b\right)}$$

Now we show that the ensemble predictor is defined by re-normalizing the geometric mean over all the individual ensemble members' predictions:

(5.5) 
$$P_{ens}(y = y|v) = \frac{\tilde{P}_{ens}(y = y|v)}{\sum y'\tilde{P}_{ens}(y = y'|v)}$$

Where each  $\tilde{P}_{ens}$  is the geometric mean over all dropout masks for a single y:

(5.6) 
$$\tilde{P}_{ens}(y = y|v) = 2^n \sqrt{\prod_{d \in \{0,1\}^n} P(y = y|v;d)}.$$

Now we simply sub in our definition of softmax for P:

(5.7) 
$$\tilde{P}_{ens}(y = y|v) = 2^n \sqrt{\prod_{d \in \{0,1\}^n} \frac{exp\left(W_y^T(d \odot v) + b\right)}{\sum_{k=1}^K exp\left(W_{y'}^T(d \odot v) + b\right)}}.$$

Since the denominator is a constant under this normalization scheme we ignore it and simplify:

(5.8) 
$$\tilde{P}_{ens}(y = y|v) \propto 2^n \sqrt{\prod_{d \in \{0,1\}^n} exp\left(W_y^T(d \odot v) + b\right)}$$

We convert the product to the sum by taking exp of the entire equation:

(5.9) 
$$\tilde{P}_{ens}(y = y|v) \propto exp\left(\frac{1}{2^n} \sum_{d \in \{0,1\}^n} W_y^T(d \odot v) + b\right)$$

And finally the sum and exponent n cancel:

(5.10) 
$$\tilde{P}_{ens}(y = y|v) \propto exp\left(\frac{1}{2}W_y^T(d \odot v) + b\right)$$

Finally, we sub this back into our earlier formulation of the softmax to show that the weights W are scaled by  $\frac{1}{2}$ :

(5.11) 
$$\mathbf{softmax}_{y} = \frac{exp\left(\frac{1}{2}W_{y}^{T}(d\odot v) + b\right)}{\sum_{k=1}^{K} exp\left(\frac{1}{2}W_{y'}^{T}(d\odot v) + b\right)}$$

Therefore, weight scaling by 0.5 is exactly equivilant to a conditional probability distribution proportional to a geometric mean over all dropout masks.

#### 6. Normalization

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