

# Parallel and Distributed Computing

CS439: Principles of Computer  
Systems

April 20, 2014

# Last Time

## Network Programming:

- Client-Server Transactions
- Ports
  - Well-known, ephemeral
- Sockets
  - End point of communication
- Client-Side Programming
  - socket, connect
- Server-Side Programming
  - socket, bind, listen, accept
- Remote Procedure Calls (RPC)

# Today's Agenda

- Parallel and Distributed Computing
  - What
- Parallel Programming Models
  - Shared Memory
  - Message Passing
- Distributed Computing
  - Event Ordering
  - Atomicity of Transactions
    - Two Phase Commit (2PC)

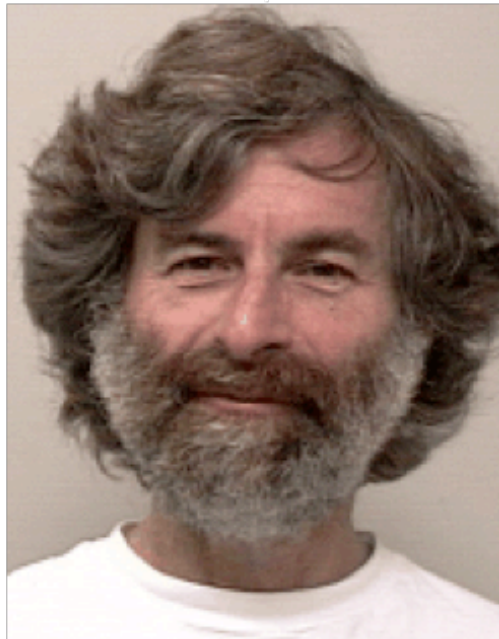
# Parallel and Distributed Computing

# What is a Distributed System?

“A distributed system is one in which the failure of a computer you didn’t even know existed can render your own computer unusable.”

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Leslie Lamport

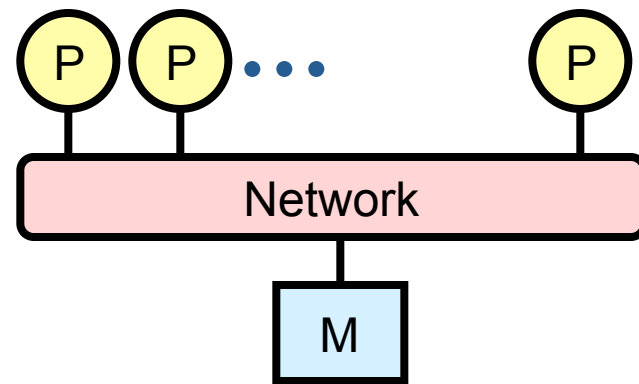


# Classification

- *A distributed system* is a set of physically separate processors connected by one or more communication links
- *Parallel Computing*: tightly-coupled systems
  - Processors share clock, memory, and run one OS
  - Frequent communication
- *Distributed Computing*: loosely-coupled systems
  - Each processor has its own memory
  - Each processor runs an independent OS
  - Communication should be less frequent than in parallel computing
  - Supercomputers, Clusters, Massively Parallel Machines
  - Email, File servers, Printer access, Backup over network, WWW

# Parallel Computing

- Several processors share one address space
- Communication through shared memory (typically)
  - Read and write accesses to shared memory locations



# Parallel Computing: Plain Text

- Several processors share one address space
  - Conceptually a shared memory
- Communication is through shared memory (typically)
  - Read and write accesses to shared memory locations
- Processors connected via a network (typically a bus)
- Network is connected to single shared memory

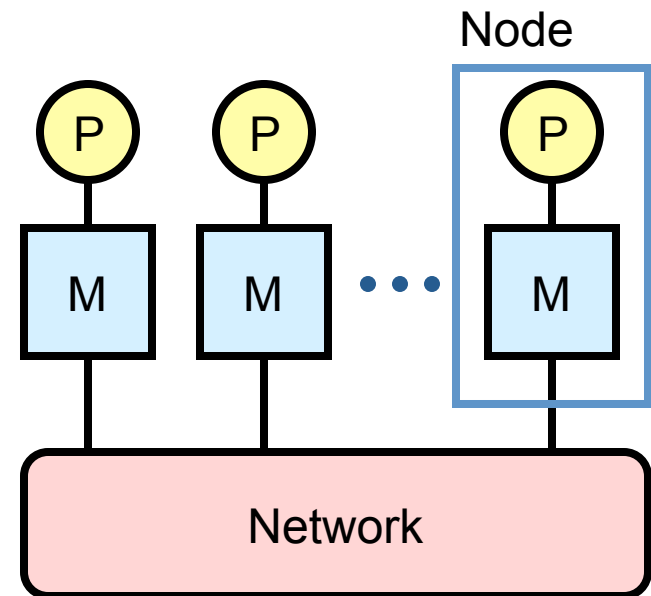


# The Architecture of Parallel Computing

- Two most prevalent:
  - SMP (Symmetric Multiprocessor):
    - *Multiprocessor*: two or more processors have a common RAM
    - *Symmetric* refers to the OS
      - One OS for all processors
      - Any processor can run it
  - Multicore: multiprocessors on the same chip
- Also combined
  - Each blade of Stampede has 2 8-core processors as an SMP unit and 1 61-core co-processor

# Distributed Computing

- A collection of computers (nodes) connected by a network
- Communication through message passing



# Distributed Computing: Plain Text

- A collection of computers (nodes) connected by a network
- Communication through message passing
- A node is a processor that is connected memory
- The nodes are then linked via the network

# The Architecture of Distributed Computing

- Nodes connected by a network
- Massively Parallel Machines
  - Nodes are greatly simplified and include only memory, processor(s), network card
  - Augmented with fast network interface
- Clusters
  - Network workstations with a fast network
    - Built of Common Off-The-Shelf (COTS) parts
  - Less specialized

# Very Distributed Computing

- Grid computing
  - Multiple locations
  - Heterogeneous architectures
  - Example: XSEDE
- Cloud computing

# Parallel Programming

(includes parallel and distributed architectures)

# Why a different type of programming?

Sequential programs get no benefit from multiple processors

- Key property is how much communication per unit of computation.
  - The less communication per unit computation the better the scaling properties of the algorithm.
- Sometimes, a multi-threaded design is good on uni- and multi-processors
  - e.g., throughput for a web server (that uses kernel threading)
- Many applications can be (re)designed/coded/compiled to generate cooperating, parallel instruction streams
  - Improving responsiveness/throughput with multiple processors.

# Parallel Programming

- Parallel programming involves:
  - Decomposing an algorithm into parts
  - Distributing the parts as tasks which are worked on by multiple processors simultaneously
  - Coordinating work and communication of those processors
    - Synchronization
- Parallel programming considerations:
  - Type of parallel architecture being used
  - Type of processor communications used
- No automated compiler/language exists to automate this “parallelization” process.

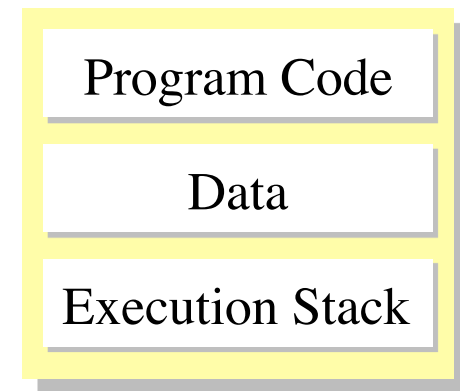


# Parallel Programming Models

Communication and synchronization based on either:

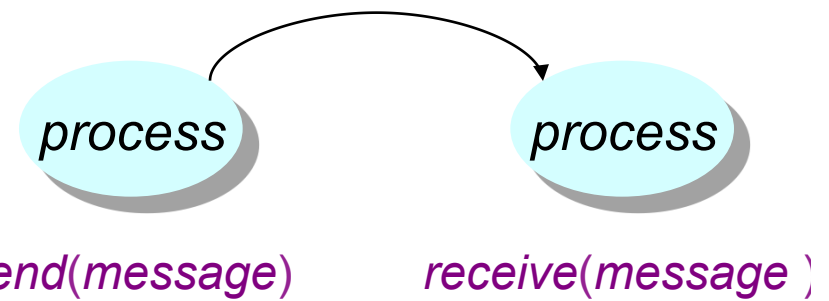
- Shared memory

- Interprocess communication is implicit
- Synchronization is explicit
- Assume processes/threads can read & write a set of shared memory locations
- Difficult to provide across machine boundaries



- Message passing

- Interprocess communication is explicit
- Synchronization is implicit
- Extensible to communication in distributed systems



# Parallel Programming Models: Plain Text

- Communication and synchronization based on either:
  - Shared memory
    - Interprocess communication is implicit
    - Synchronization is explicit
    - Assume processes/threads can read & write a set of shared memory locations
    - Difficult to provide across machine boundaries
    - Example:
      - One process with program code, data, execution stack
      - Two threads
  - Message passing
    - Interprocess communication is explicit
    - Synchronization is implicit
    - Extensible to communication in distributed systems
    - Example:
      - Two processes
      - Process A sends the message: `send(message)`
      - Process B receives the message: `receive(message)`

# Shared Memory Programming Model

- Programs/threads communicate/cooperate via loads/stores to memory locations they share.
- Communication is therefore at memory access speed (very fast) and is implicit.
- Cooperating pieces must all execute on the same system (computer).
- OS services and/or libraries used for creating tasks (processes/threads) and coordination (semaphores/barriers/locks.)

# Message Passing Programming Model

- “Shared” data is communicated using send/receive services (across an external network).
- Shared data must be formatted into message chunks for distribution
  - Unlike shared memory
- Coordination is also via sending/receiving messages.
- Program components can be run on the same or different systems, so can use many of processes.
- Standard libraries exist to encapsulate messages:
  - Parsoft's Express (commercial)
  - PVM (standing for Parallel Virtual Machine, non-commercial)
  - MPI (Message Passing Interface, also non-commercial).

# Message Passing Logistics: Synchronization

When do *send/receive* operations terminate?

Blocking (aka Synchronous):

Sender waits until its message is received

Receiver waits if no message is available

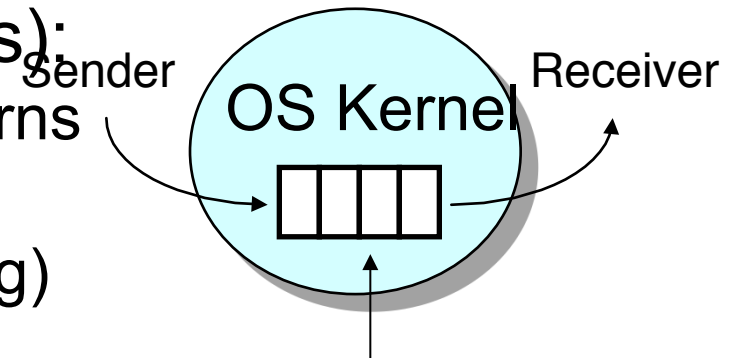
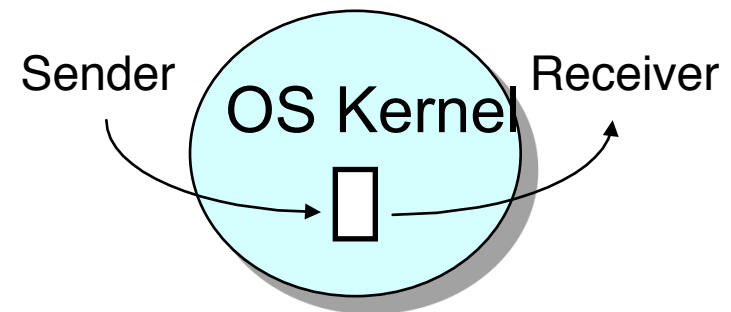
Non-blocking (aka Asynchronous):

Send operation “immediately” returns

Receive operation returns if message is available or not (polling)

Partially blocking/non-blocking:

`send()/receive()` with *timeout*



# Message Passing Logistics: Synchronization Plain Text

- When does a send/receive operation terminate?
  - Blocking (aka Synchronous):
    - Sender waits until its message is received
    - Receiver waits if no message is available
  - Non-blocking (aka Asynchronous):
    - Send operation “immediately” returns
    - Receive operation returns if message is available or not (polling)
  - Partially blocking/non-blocking
    - send()/ receive() with timeout
- The OS kernel has a buffer(s) to hold messages between the sender and the receiver
  - For blocking sends and receives, only one buffer is needed
  - For non-blocking, more than one is potentially needed, and the number of buffers is a design decision

# Limitations of Message Passing

Easy for OS, hard for programmer

- Programmer must code communication
- Programmer may have to code format conversions, flow control, error control
- No dynamic resource discovery

# Event Ordering



# Event Ordering

- Coordination of requests (especially in a fair way) requires events (requests) to be ordered.
- Stand-alone systems:
  - Shared Clock / Memory
  - Use a time-stamp to determine ordering
- Distributed Systems: What does time mean?
  - No global clock
  - Each clock runs at different speeds (clock drift)
- How do we order events running on physically separated systems?

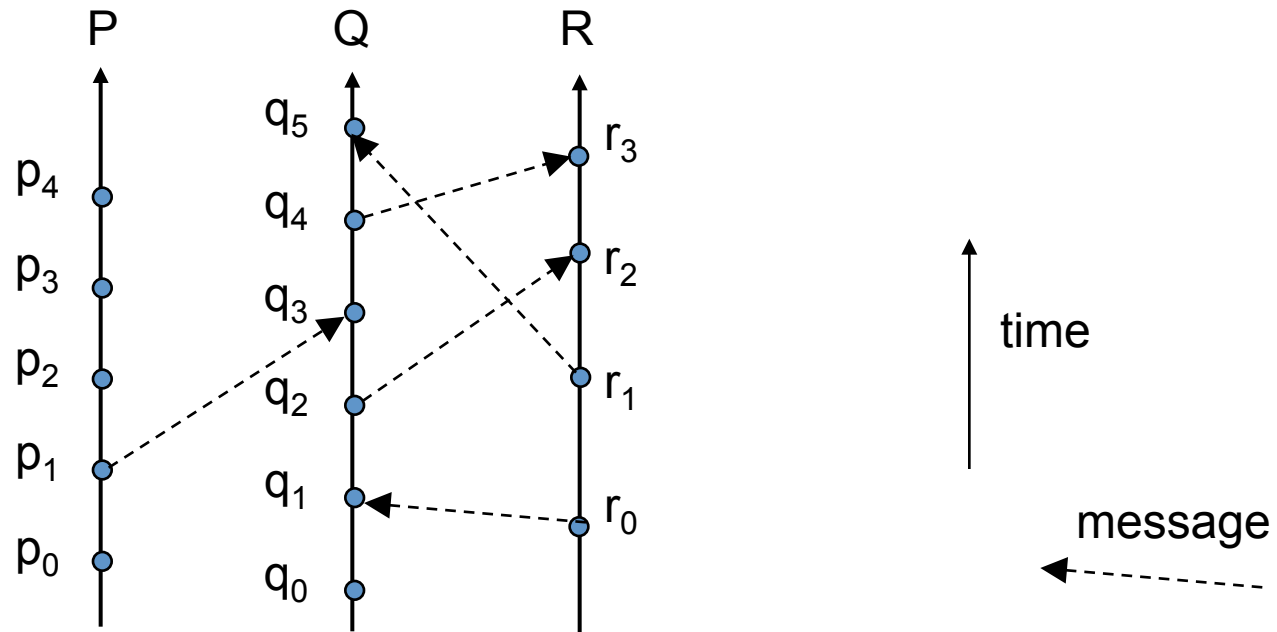
# Event Ordering: Distributed Systems

- Through message passing
- A message must be sent before it can be received
- Send/Receives can thus “synchronize” the clocks

# Event Ordering: Happened-Before Relation

1. If A and B are events in the same process, and A executed before B, then  $A \rightarrow B$ .
2. If A is a message send and B is when the message is received, then  $A \rightarrow B$ .
3.  $A \rightarrow B$ , and  $B \rightarrow C$ , then  $A \rightarrow C$

# Happened-Before Relationship



## Ordered events

$p_1$  precedes \_\_\_\_  
 $q_4$  precedes \_\_\_\_  
 $q_2$  precedes \_\_\_\_  
 $p_0$  precedes \_\_\_\_

## Unordered (Concurrent) events

$q_0$  is concurrent with \_\_\_\_  
 $q_2$  is concurrent with \_\_\_\_  
 $q_4$  is concurrent with \_\_\_\_  
 $q_5$  is concurrent with \_\_\_\_

# Happened-Before Relationship

- We are mapping the events of 3 different processes, P, Q, and R, over time
- For each process the events happen in numerical order
  - E.g., p0 happens before p1 which happens before p2, etc.
- We also map the messages sent by processes at certain events and received by others in order to determine the relative order of different events
- Events by process:
  - Process P: p0, p1, p2, p3 and p4
  - Process Q: q0, q1, q2, q3, q4 and q5
  - Process R: r0, r1, r2 and r3
- Messages between processes' events:
  - p1 sent a message to q3 (as in Process P sends a message to Process Q at event p1 and it is received at Q's event q3)
  - q2 sent a message to r2
  - q4 sent a message to r3
  - r0 sent a message to q1
  - r1 sent a message to q5
- Ordered events (fill in the blank)
  - p1 precedes
  - q4 precedes
  - q2 precedes
  - p0 precedes
- Unordered (concurrent) events (fill in the blank)
  - q0 is concurrent with
  - q2 is concurrent with
  - q4 is concurrent with
  - q5 is concurrent with

# Happened Before and Total Event Ordering

Define a notion of event ordering such that:

1. If  $A \rightarrow B$ , then A precedes B.
2. If A and B are concurrent events, then nothing can be said about the ordering of A and B.

Solution:

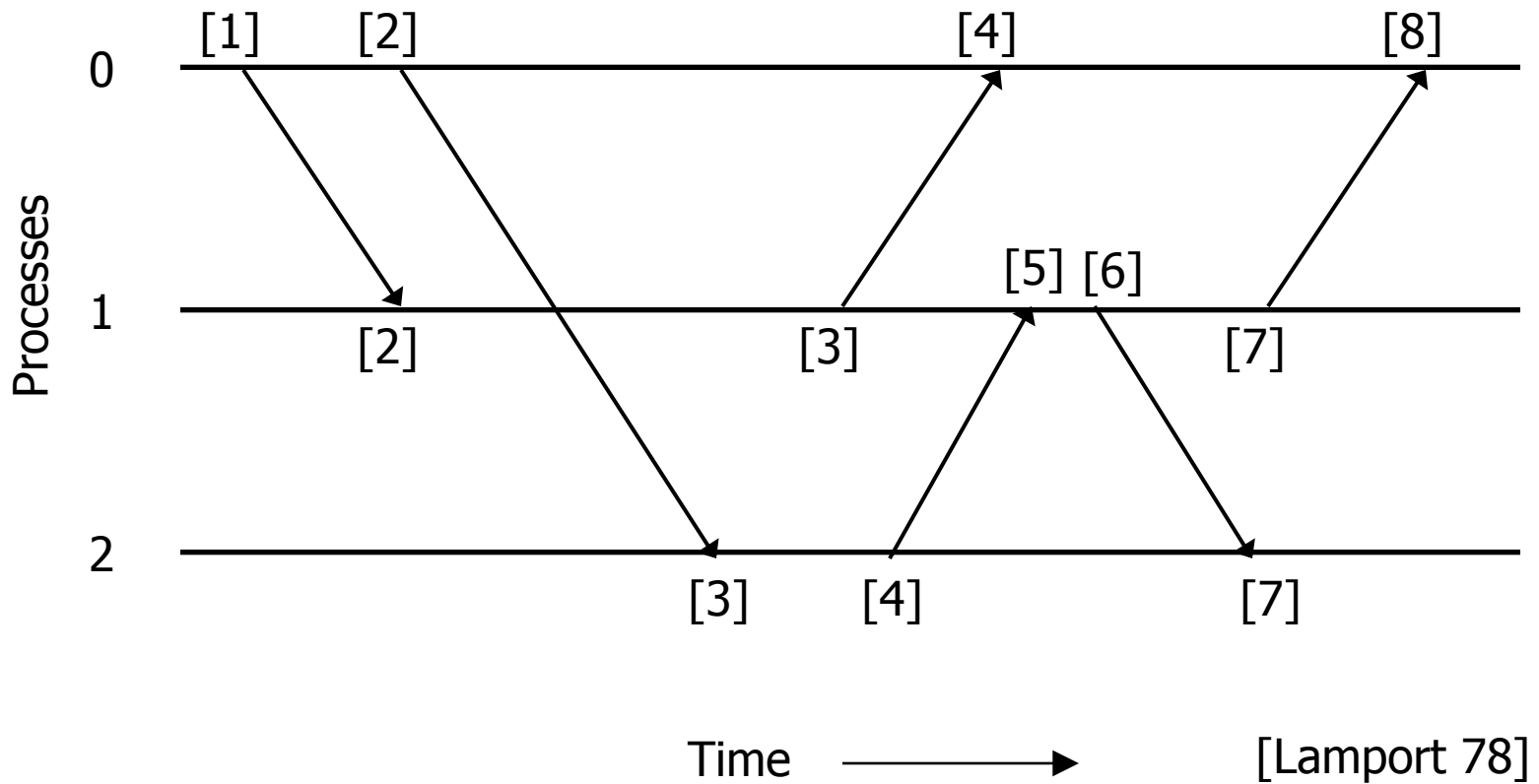
1. Each process  $i$  maintains a *logical clock*,  $LC_i$
2. When an event occurs locally,  $LC_i = LC_i + 1$
3. When process X sends a message to Y, it also sends a *time stamp*  $LC_x$  in the message.
4. When Y receives this message, it:

$$LC_y = LC_y + 1$$

if  $LC_y < (LC_x + 1)$  //if Y's clock is behind X's

$$LC_y = LC_x + 1; \text{ //set Y's to X's value + 1}$$

# Logical Clocks: Example



# Logical Clocks: Example

## Plain Text

- We have 3 different processes 0, 1, and 2, and we are determining their logical clock values at each send/receive
  - In this scenario, we are only concerned with the send and receive events---there are no other local events
- Different clock values indicate that the events must happen in that order based on their happened-before relationships
- Order of events in the system:
  1. Process 0 sends a message to Process 1
    - Send is Event 1 on Process 0
    - Receive is Event 2 on Process 1
    - Process 1 does not have an Event 1. Its logical clock's initial value is set according to Process 0's and the rules of logical clocks.
  2. Process 0 sends a message to Process 2
    - Send is Event 2 on Process 0
    - Receive is Event 3 on Process 2
    - Process 2 does not have Events 1 or 2. Its logical clock's initial value is set according to Process 0's and the rules of logical clocks.
  3. Process 1 sends a message to Process 0
    - Send is Event 3 on Process 1
    - Receive is Event 4 on Process 0
    - Process 0 does not have an Event 3. Its logical clock is updated according to the value of Process 1's clock and the rules of logical clocks.
  3. Process 2 sends a message to Process 1
    - Send is Event 4 on Process 2
    - Receive is Event 5 on Process 1
    - Process 1 does not have an Event 4. Its logical clock is updated according to the value of Process 2's clock and the rules of logical clocks.
  4. Process 1 sends a message to Process 2
    - Send is Event 6 on Process 1
    - Receive is Event 7 on Process 2
    - Process 2 does not have Events 5 or 6. Its logical clock is updated according to the value of Process 1's clock and the rules of logical clocks.
  5. Process 1 sends a message to Process 0
    - Send is Event 7 on Process 1
    - Receive is Event 8 on Process 0
    - Process 0 does not have Events 5, 6, or 7. Its logical clock is updated according to the value of Process 1's clock and the rules of logical clocks.



# iClicker Question

If  $A \rightarrow B$  and  $C \rightarrow B$  can we say  $A \rightarrow C$ ?

A. Yes

B. No

# Atomicity

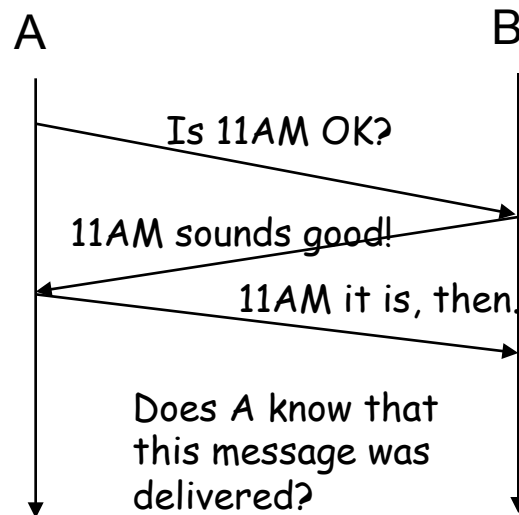
# Atomicity in Distributed Systems

- How can we atomically update state on two different systems?
- Examples:
  - Atomically move a file from server A to server B
  - Atomically move \$100 from one bank to another
- Issues:
  - Messages exchanged by systems can be lost
  - Systems can crash
- Can we use messages and retries over an unreliable network to synchronize the actions of two machines?

# The Generals' Paradox

## Problem:

- Two generals are on two separate mountains
- Can communicate only via messengers; but messengers can get lost or captured by enemy
- Goal is to coordinate their attack
  - If attack at different times → they lose !
  - If attack at the same time → they win !



Even if all previous messages get through, the generals still can't coordinate their actions, since the last message could be lost, always requiring another confirmation message.

# The Generals' Paradox: Plain Text

## Problem:

- 2 generals are on 2 separate mountains
- Can communicate only via messages; but messengers can get lost or captured by enemy
- Goal is to coordinate their attack
  - If attack at different times they both lose!
  - If attack at the same time they win!
- BUT how can they be really sure that the messages are being received?
  - Confirmation messages?
    - How do you know the last confirmation message was received?  
Results in infinite pinging back and forth
    - Even if all previous message get through, the generals still can't coordinate their actions, since the last message could be lost, always requiring another confirmation message

# iClicker Question

Distributed consensus in the presence of link failures is:

- A. Possible
- B. Not possible

# Distributed Consensus with Link Failures

- Problem:
  - Take any exchange of messages that solves the general's coordination problem.
  - Take the last message  $m_n$ . Since  $m_n$  might be lost, but the algorithm still succeeds, it must not be necessary.
  - Repeat until no messages are exchanged.
  - No messages exchanged can't be a solution, so our assumption that we have an algorithm to solve the problem must be wrong.
- Distributed consensus in the presence of link failures is impossible.
  - That is why timeouts are so popular in distributed algorithms.
  - Success can be probable, just not guaranteed in bounded time.

# Distributed Transactions

- Two machines agree to do something, or not do it, atomically
  - they are not necessarily agreeing to do it at the same time
- The *two-phase commit protocol* allows coordination under reasonable operating conditions
  - Uses log on each machine to track whether commit happened



# Two-Phase Commit Protocol:

## Phase 1

### Phase 1: Coordinator requests a transaction

- Coordinator sends a REQUEST to all participants for that transaction
  - Example: C → S1 : “delete foo from /”  
C → S2 : “add foo to /quux”
- On receiving request, participants perform these actions:
  - Execute the transaction locally
  - Write VOTE\_COMMIT or VOTE\_ABORT to their local logs
  - Send VOTE\_COMMIT or VOTE\_ABORT to coordinator

Failure case	Success case
S1 decides OK; writes “rm /foo; VOTE_COMMIT” to log; and sends VOTE_COMMIT	S1 and S2 decide OK and write updates and VOTE_COMMIT to log; send VOTE_COMMIT
S2 has no space on disk; so rejects the transaction; writes and sends VOTE_ABORT	

# Two-Phase Commit Protocol:

## Phase 1

### Plain Text

- Phase 1: coordinator requests a transaction
  - Coordinator sends a REQUEST to all participants for that transaction
    - Example:
      - C to S1: “delete foo from /”
      - C to S2: “add foo to /quux”
    - On receiving request, participants perform these actions
      - Execute the transaction locally
      - Write VOTE\_COMMIT or VOTE\_ABORT to their local logs
      - Send VOTE\_COMMIT or VOTE\_ABORT to coordinator
- Success case
  - S1 and S2 decided OK and write updates and VOTE\_COMMIT to log; send VOTE\_COMMIT
- Failure case:
  - S1 decides OK; writes “rm/foo;VOTE\_COMMIT” to log; and sends VOTE\_COMMIT
  - S2 has no space on disk; so rejects the transaction; write and sends VOTE\_ABORT

# Two-Phase Commit Protocol:

## Phase 2

Phase 2: Coordinator commits or aborts the transaction

- Coordinator decides
  - Case 1: Coordinator receives VOTE\_ABORT or times-out
    - Coordinator writes GLOBAL\_ABORT to log and sends GLOBAL\_ABORT to participants
  - Case 2: Coordinator receives VOTE\_COMMIT from all participants
    - Coordinator writes GLOBAL\_COMMIT to log and sends GLOBAL\_COMMIT to participants
- Participants commit the transaction
  - On receiving a decision, participants write GLOBAL\_COMMIT or GLOBAL\_ABORT to log

# Does Two-Phase Commit Work?

- Yes ... can be proved formally
  - Consider the following cases:
    - What if participant crashes during the request phase before writing anything to log?
      - On recovery, participant does nothing; coordinator will timeout and abort transaction; and retry!
    - What if coordinator crashes during phase 2?
- On restart:
- Case 1: Log does not contain GLOBAL\_\* → send GLOBAL\_ABORT to participants and retry
  - Case 2: Log contains GLOBAL\_ABORT → send GLOBAL\_ABORT to participants
  - Case 3: Log contains GLOBAL\_COMMIT → send GLOBAL\_COMMIT to participants

# iClicker Question

Can the Two-Phase Commit protocol fail to terminate?

A. Yes

B. No

# Limitations of Two-Phase Commit

- What if the coordinator crashes during Phase 2 (before sending the decision) and does not wake up?
  - All participants block forever!  
(They may hold resources – e.g. locks!)
- Possible solution:
  - Participant, on timing out, can make progress by asking other participants (if it knows their identity)
    - If any participant had heard GLOBAL\_ABORT → abort
    - If any participant sent VOTE\_ABORT → abort
    - If all participants sent VOTE\_COMMIT but no one has heard GLOBAL\_\* → can we commit?
      - NO – the coordinator could have written GLOBAL\_ABORT to its log (e.g., due to local error or a timeout)

# Two-Phase Commit: Summary

- Message complexity  $3(N-1)$ 
  - Request/Reply/Broadcast, from coordinator to all other nodes.
- When you need to coordinate a transaction across multiple machines ... Use two-phase commit
  - For two-phase commit, identify circumstances where indefinite blocking can occur
  - Decide if the risk is acceptable
- If two-phase commit is not adequate, then ...
  - Use *advanced distributed coordination techniques*
  - To learn more about such protocols, take a distributed computing course

The King has Died!  
Long Live the King!

Electing a Leader



# Who's in charge?

Many algorithms require a coordinator.

What happens when the coordinator dies  
(or at startup)?

Let's have an election!

- Bully algorithm

# Bully Algorithm

- Assumptions
  - Processes are numbered (otherwise impossible).
  - Using process numbers does not cause unfairness.
- Algorithm concept
  - If leader is not heard from in a while, assume s/he crashed.
  - Leader will be remaining process with highest rank.
  - Processes who think they are leader-worthy will broadcast that information.
  - During this “election campaign” processes who are near the top see if the process trying to grab power crashes (as evidenced by lack of message in timeout interval).
  - At end of time interval, if alpha-process has not heard from rivals, assumes s/he has won.
  - If former alpha-process arises from dead, s/he bullies their way to the top. (Invariant: highest # process rules)

# Bully Algorithm Details

- Algorithm starts with  $P_i$  broadcasting its desire to become leader.  $P_i$  waits  $T$  seconds before declaring victory.
- If, during this time,  $P_i$  hears from  $P_j$ ,  $j > i$ ,  $P_i$  waits another  $U$  seconds before trying to become leader again.  $U$ ?
  - $U \sim 2T$ , or  $T + \text{time to broadcast new leader}$
- If not, when  $P_i$  hears from only  $P_j$ ,  $j < i$ , and  $T$  seconds have expired, then  $P_i$  broadcasts that it is the new leader.
- If  $P_i$  hears from  $P_j$ ,  $j < i$  that  $P_j$  is the new leader, then  $P_i$  starts the algorithm to elect itself ( $P_i$  is a bully).
- If  $P_i$  hears from  $P_j$ ,  $j > i$  that  $P_j$  is the leader, it records that fact.

# Bully Algorithm: Last Note

When a process recovers and its rank is higher than that of the current leader, it begins the election algorithm and wrestles control away from the current leader.

# Summary

- Parallel Computing: tightly-coupled systems
- Distributed Computing: loosely-coupled systems
- Parallel Programming Models
  - Shared Memory (OpenMP)
    - Sharing is implicit, synchronization is explicit
  - Message Passing (MPI)
    - Sharing is explicit, synchronization is implicit
- Distributed systems may need to:
  - have a sense of time: use the *happened before* relationship to order events
  - provide atomicity: use two-phase commit protocol (at some level, the network is unreliable!)

# Announcements

- Project 4 (Last one!) due Friday, 5/8, 11:59p
  - No slip days!
- Homework 10 due Friday 8:45a
- If you have a conflict for the final, you should have already contacted me (email, please!)
  - Thursday, May 14, 7p-10p in UTC 2.102A