



# Graphs



- $G = (V, E)$
- $V$  is the vertex set.
- Vertices are also called nodes and points.
- $E$  is the edge set.
- Each edge connects two different vertices.
- Edges are also called arcs and lines.
- Directed edge has an orientation  $(u, v)$ .

$u \longrightarrow v$

# Graphs

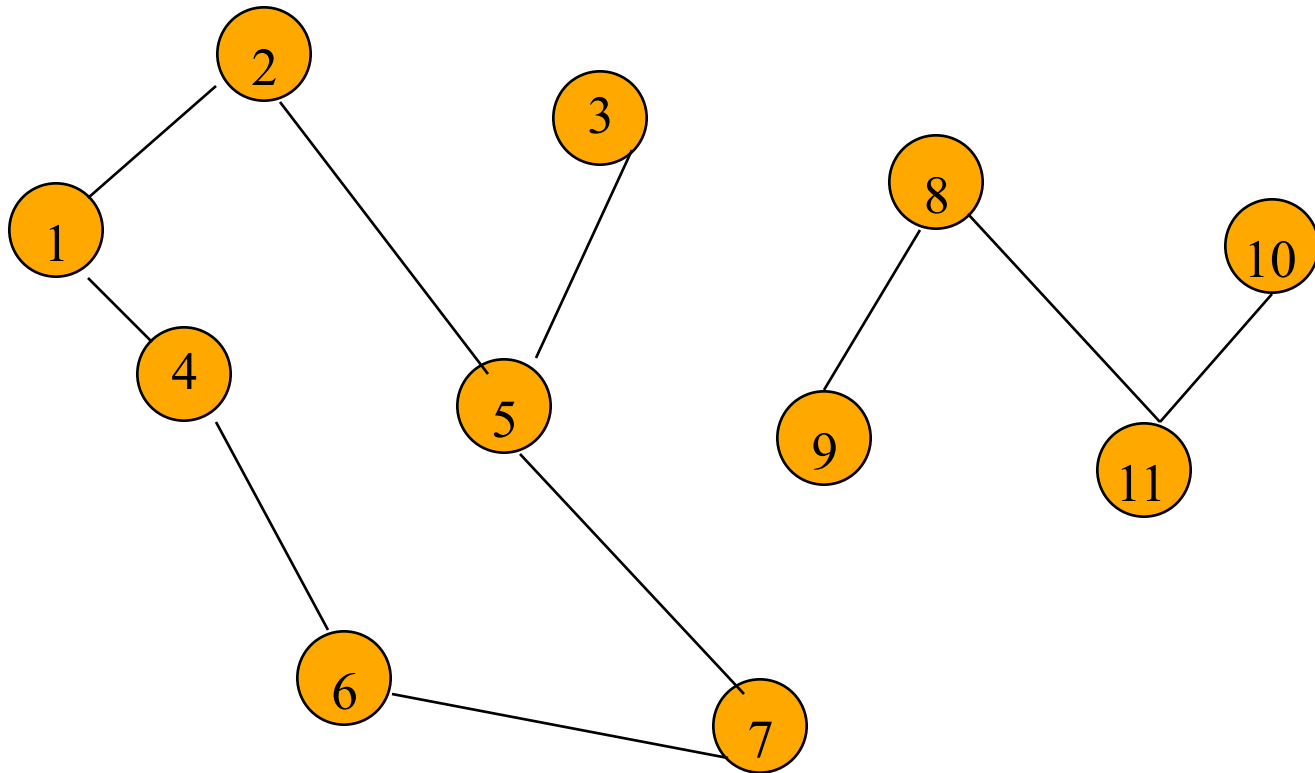
- Undirected edge has no orientation  $(u,v)$ .

$u \text{ --- } v$

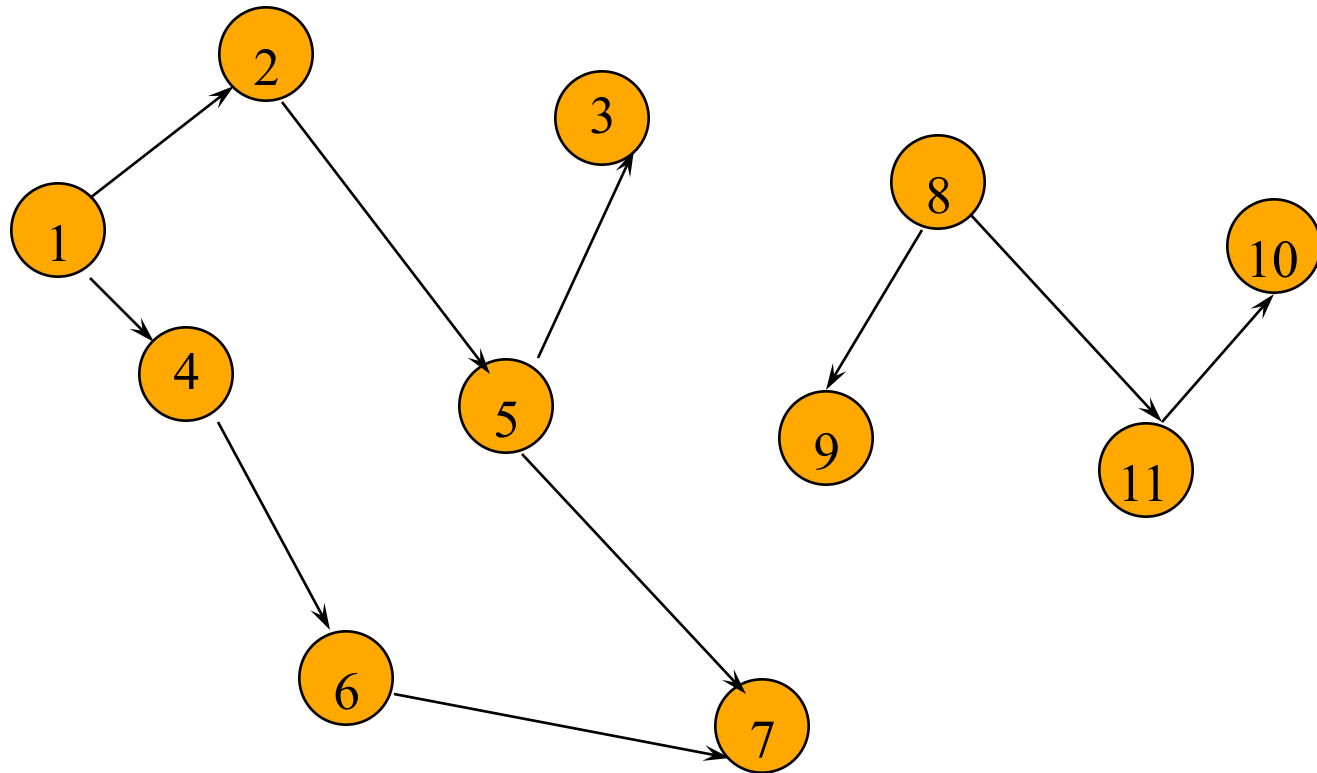
- Undirected graph  $\Rightarrow$  no oriented edge.
- Directed graph  $\Rightarrow$  every edge has an orientation.

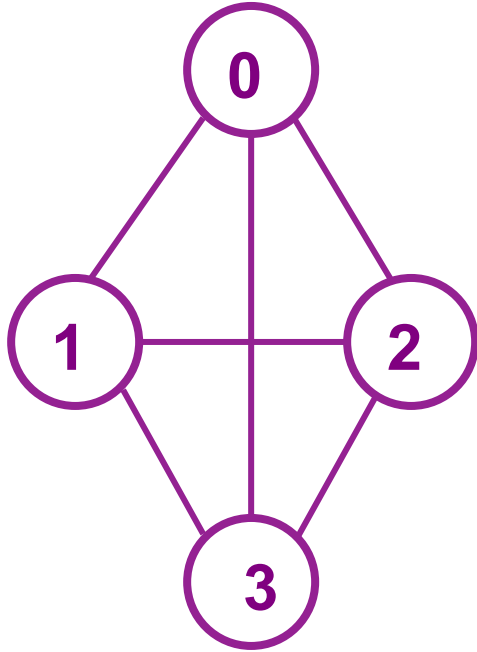
- If  $(u, v) \in E(G)$ , we say  $u$  and  $v$  are **adjacent** and edge  $(u, v)$  is **incident on** vertices  $u$  and  $v$ . If  $\langle u, v \rangle$  is a directed edge, then vertex  $u$  is **adjacent to**  $v$ , and  $v$  is adjacent from  $u$ ,  $\langle u, v \rangle$  is **incident to**  $u$  and  $v$

# Undirected Graph



# Directed Graph (Digraph)

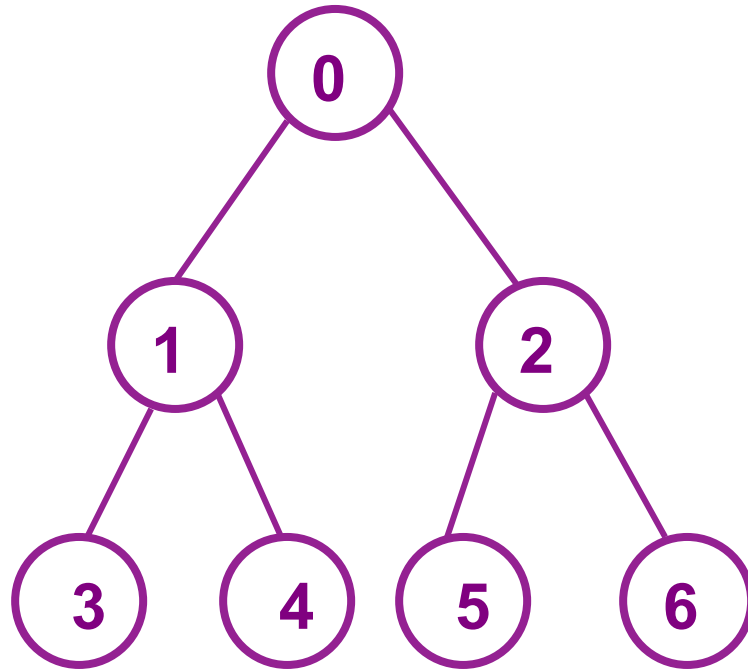




**$G_1$ :**

**$V(G_1) = \{0, 1, 2, 3\}$**

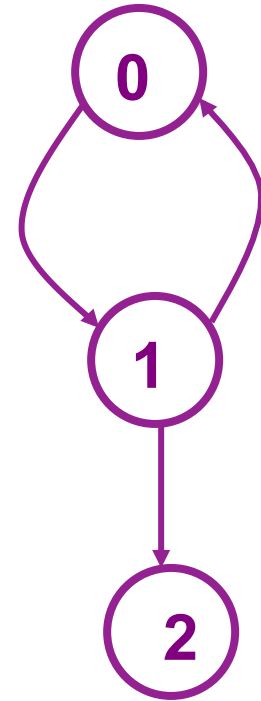
**$E(G_1) = \{(0, 1), (0, 2), (0, 3), (1, 2), (1, 3), (2, 3)\}$**



**$G_2$ :**

**$V(G_2) = \{0, 1, 2, 3, 4, 5, 6\}$**

**$E(G_2) = \{(0, 1), (0, 2), (1, 3), (1, 4), (2, 5), (2, 6)\}$**



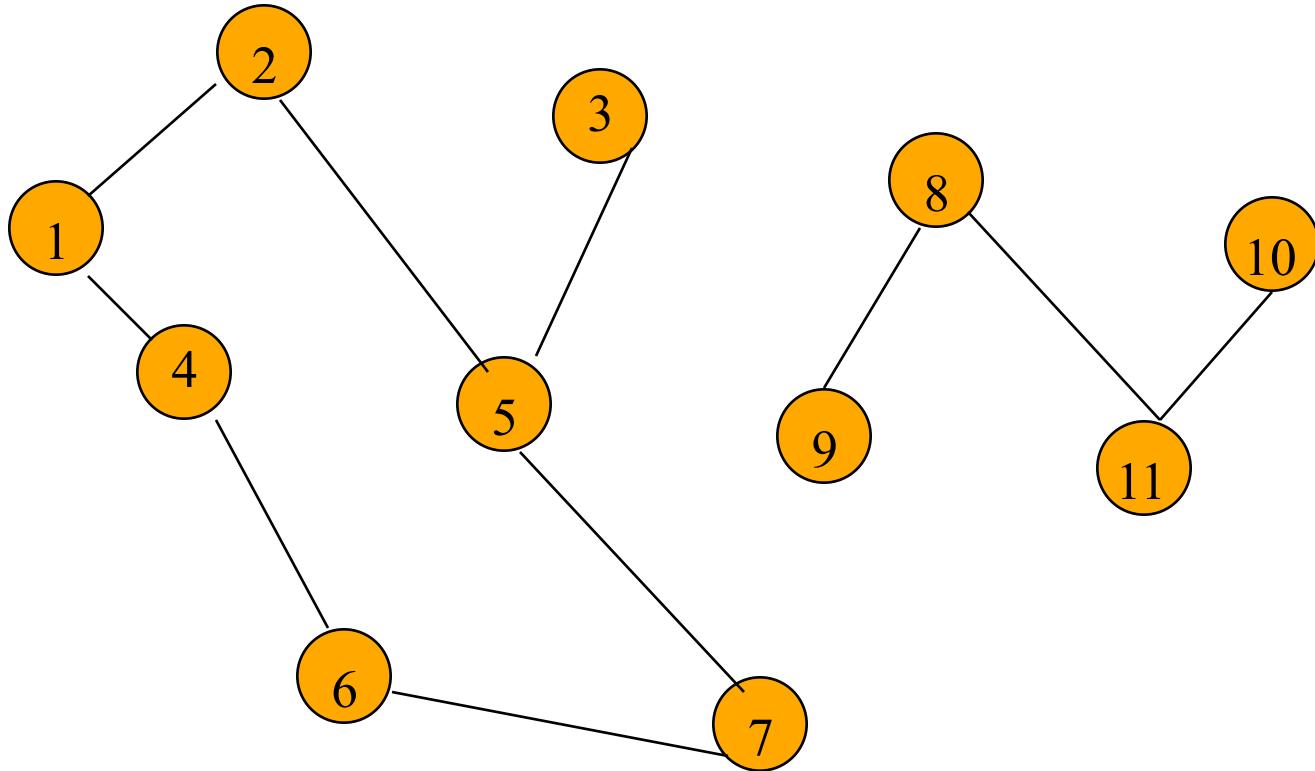
**$G_3$ :**

$$V(G_3) = \{0,1,2\}$$

$$E(G_3) = \{ \langle 0,1 \rangle, \langle 1,0 \rangle, \langle 1,2 \rangle \} \text{ (directed)}$$

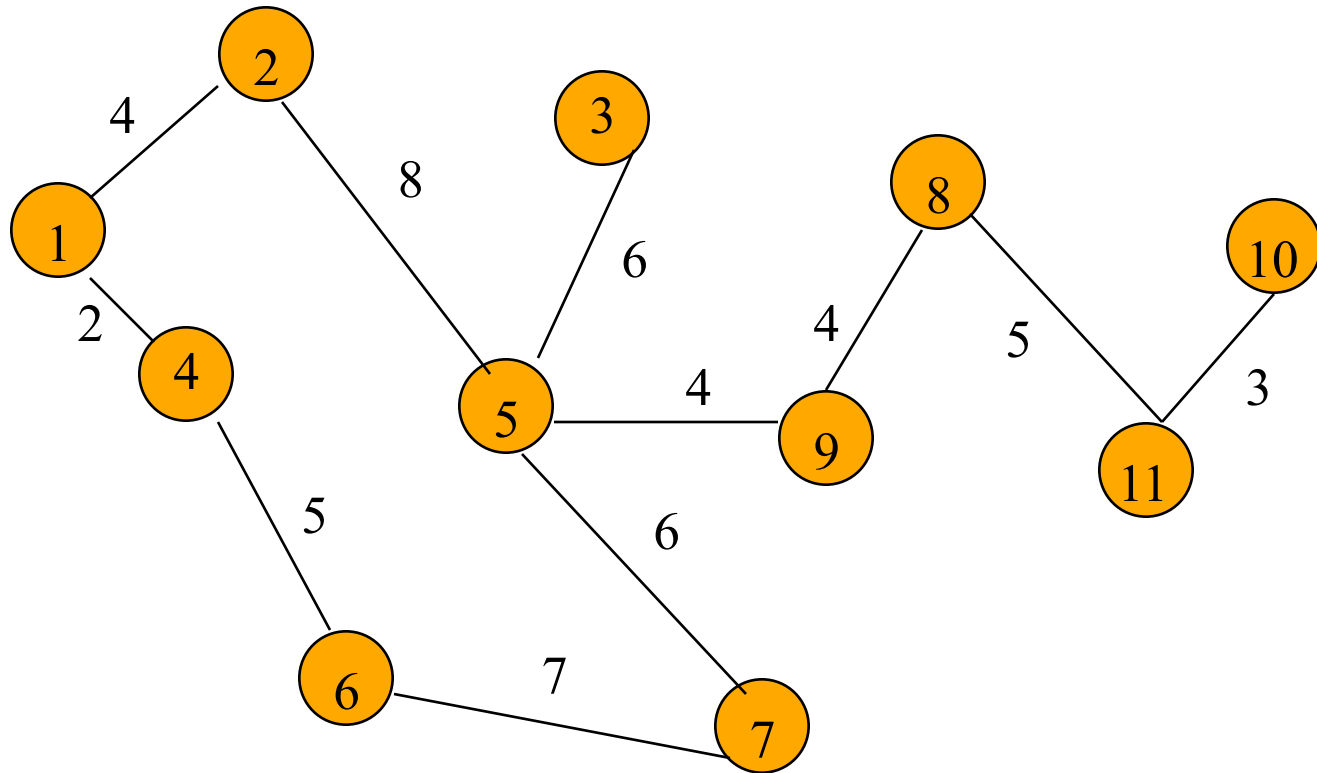


# Applications—Communication Network



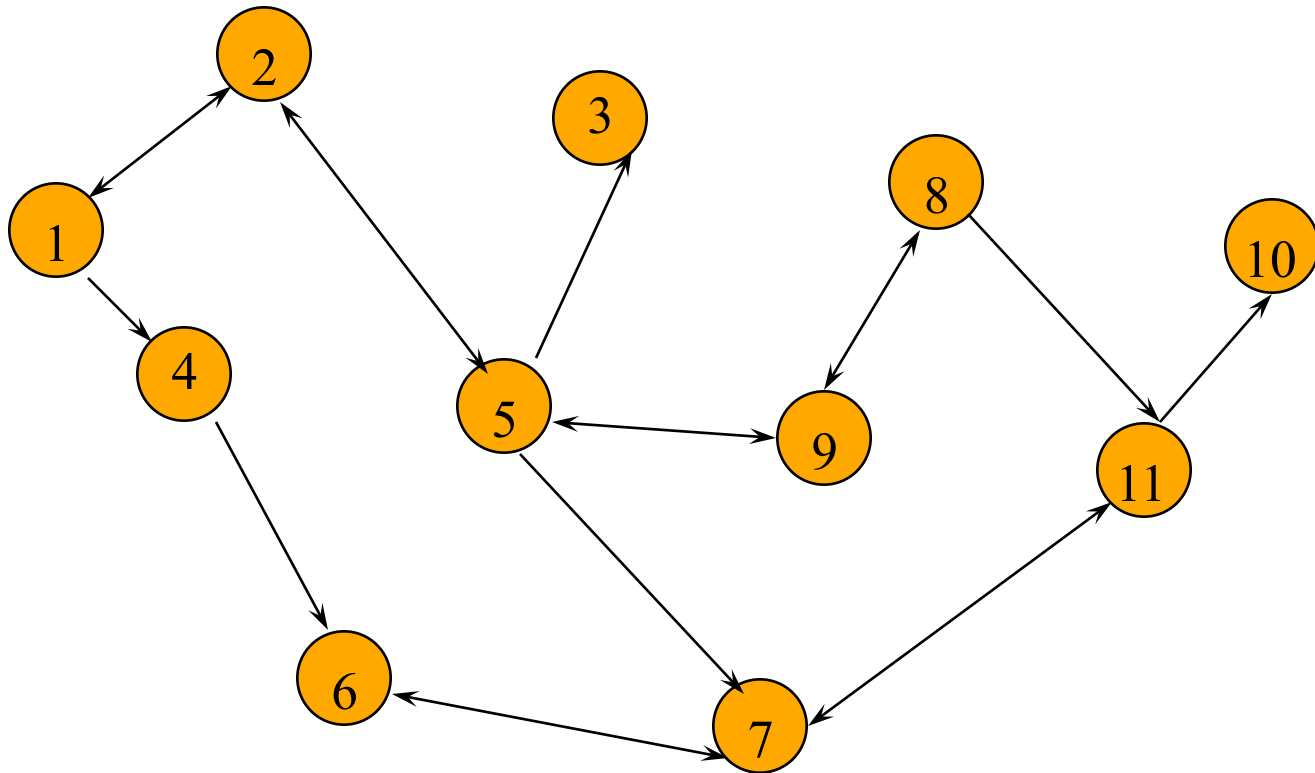
- Vertex = city, edge = communication link.

# Driving Distance/Time Map



- Vertex = city, edge weight = driving distance/time.

# Street Map



- Some streets are one way.

# Restrictions:

- $(v, v)$  or  $\langle v, v \rangle$  is not legal, such edges are known as **self edges**
- Multiple occurrences of the same edges are not allowed. If allowed, we get a **multigraph**

# Complete Undirected Graph

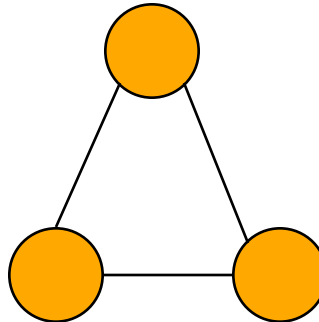
Has all possible edges.



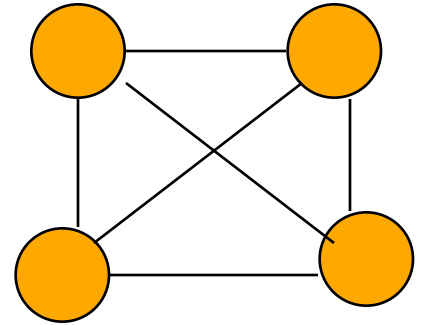
$n = 1$



$n = 2$



$n = 3$



$n = 4$

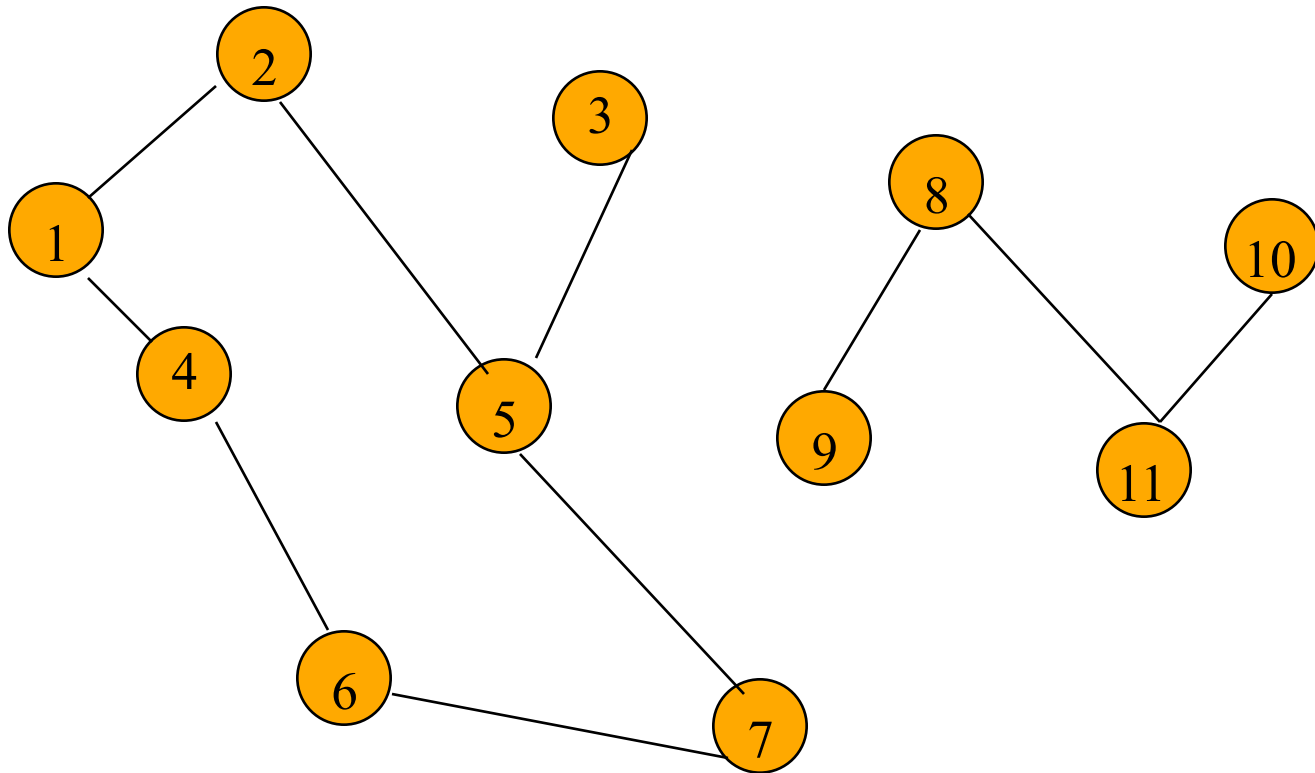
# Number Of Edges—Undirected Graph

- Each edge is of the form  $(u,v)$ ,  $u \neq v$ .
- Number of such pairs in an  $n$  vertex graph is  $n(n-1)$ .
- Since edge  $(u,v)$  is the same as edge  $(v,u)$ , the number of edges in a complete undirected graph is  $n(n-1)/2$ .
- Number of edges in an undirected graph is  $\leq n(n-1)/2$ .

# Number Of Edges—Directed Graph

- Each edge is of the form  $(u,v)$ ,  $u \neq v$ .
- Number of such pairs in an  $n$  vertex graph is  $n(n-1)$ .
- Since edge  $(u,v)$  is not the same as edge  $(v,u)$ , the number of edges in a complete directed graph is  $n(n-1)$ .
- Number of edges in a directed graph is  $\leq n(n-1)$ .

# Vertex Degree

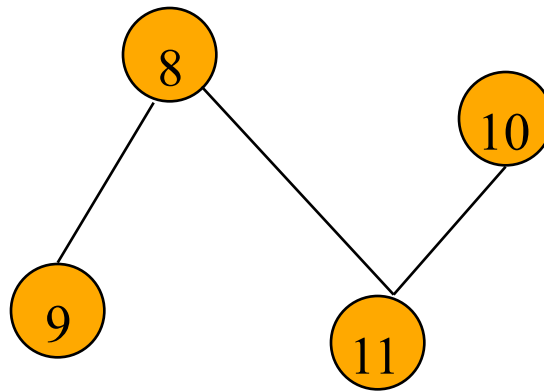


Number of edges incident to vertex.

$\text{degree}(2) = 2$ ,  $\text{degree}(5) = 3$ ,  $\text{degree}(3) = 1$

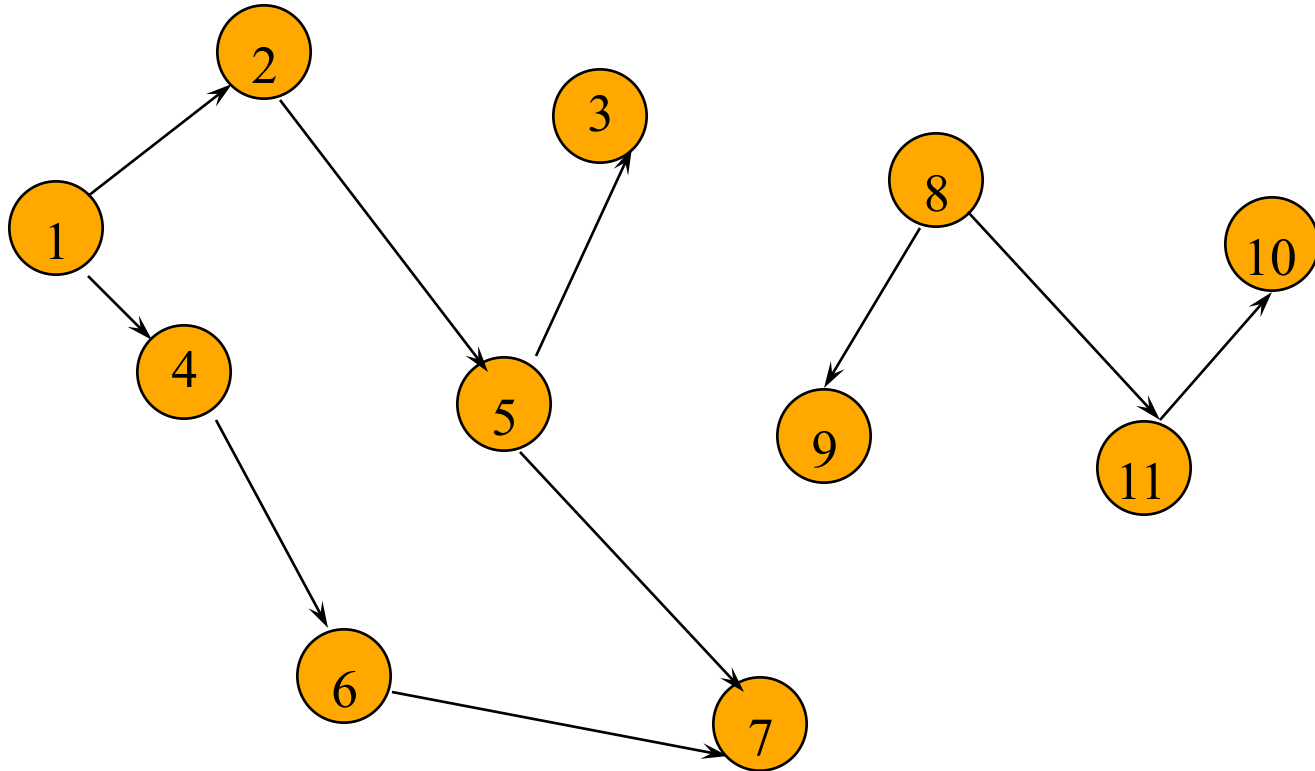


# Sum Of Vertex Degrees



Sum of degrees =  $2e$  ( $e$  is number of edges)

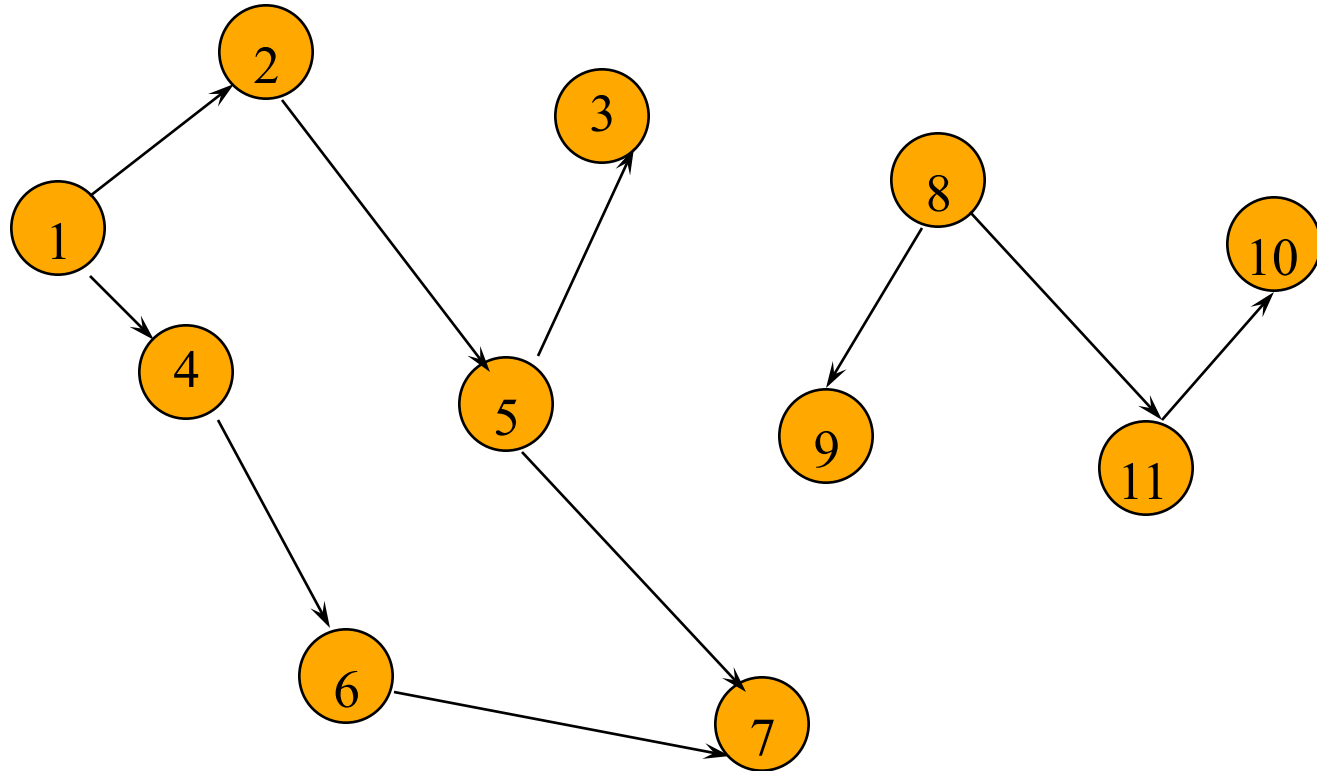
# In-Degree Of A Vertex



in-degree is number of incoming edges

$\text{indegree}(2) = 1, \text{indegree}(8) = 0$

# Out-Degree Of A Vertex



out-degree is number of outbound edges

$\text{outdegree}(2) = 1$ ,  $\text{outdegree}(8) = 2$

# Sum Of In- And Out-Degrees

each edge contributes **1** to the in-degree of some vertex and **1** to the out-degree of some other vertex

sum of in-degrees = sum of out-degrees = **e**,  
where **e** is the number of edges in the digraph

# Graph Operations And Representation



# Notations

- A **subgraph** of  $G$  is a graph  $G'$  such that  $V(G') \subseteq V(G)$  and  $E(G') \subseteq E(G)$ .
- A **path** from  $u$  to  $v$  in  $G$  is a sequence of vertices  $u, i_1, i_2, \dots, i_k, v$  such that  $(u, i_1), (i_1, i_2), \dots, (i_k, v)$  are edges in  $E(G)$ . If  $G'$  is directed, then  $\langle u, i_1 \rangle, \langle i_1, i_2 \rangle, \dots, \langle i_k, v \rangle$  are edges in  $E(G')$ .

# Notations

- A **simple path** is a path in which all vertices except possibly the first and last are distinct.
- A **cycle** is a simple path in which the first and last vertices are the same.
- For directed graph, we have **directed paths** and **cycles**.

# Notations

- The **length** of a path is the number of edges on it.
- The **length** of a path is the sum of weights of edges on it.

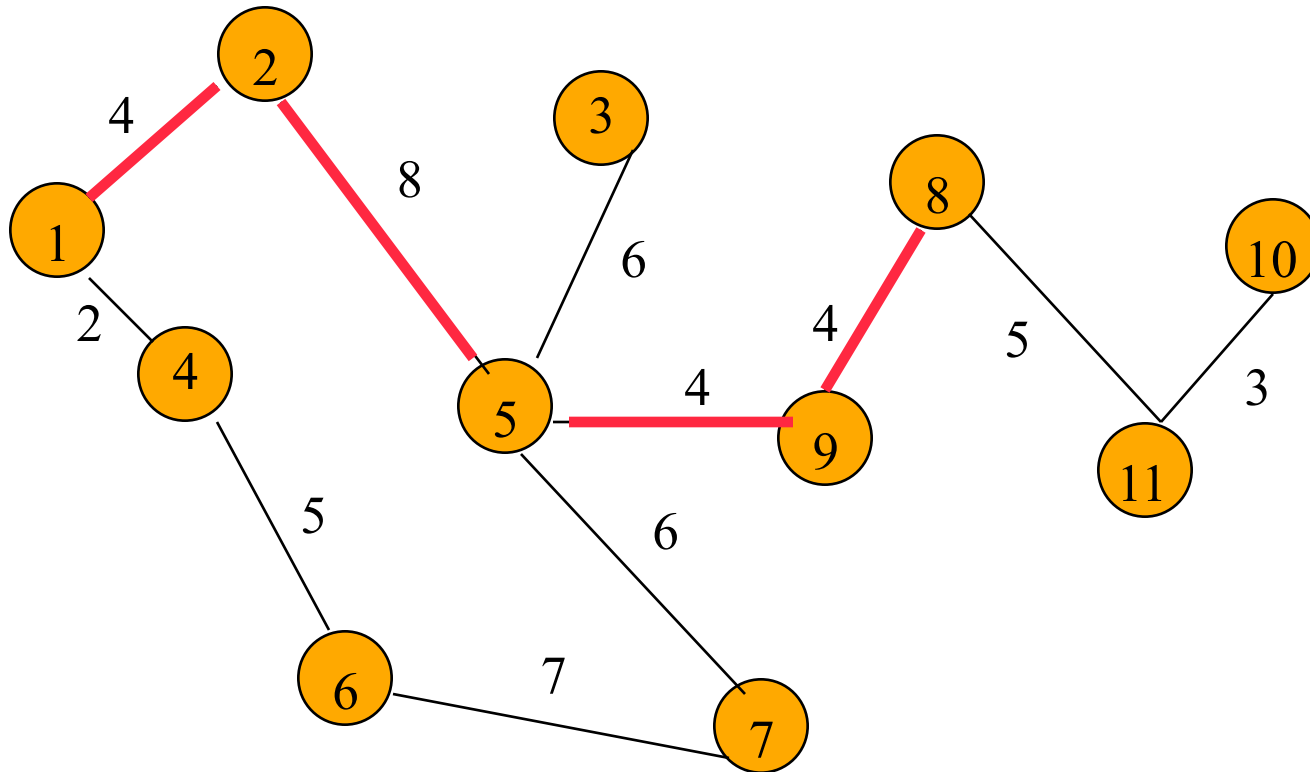


# Sample Graph Problems

- Path problems.
- Connectedness problems.
- Spanning tree problems.

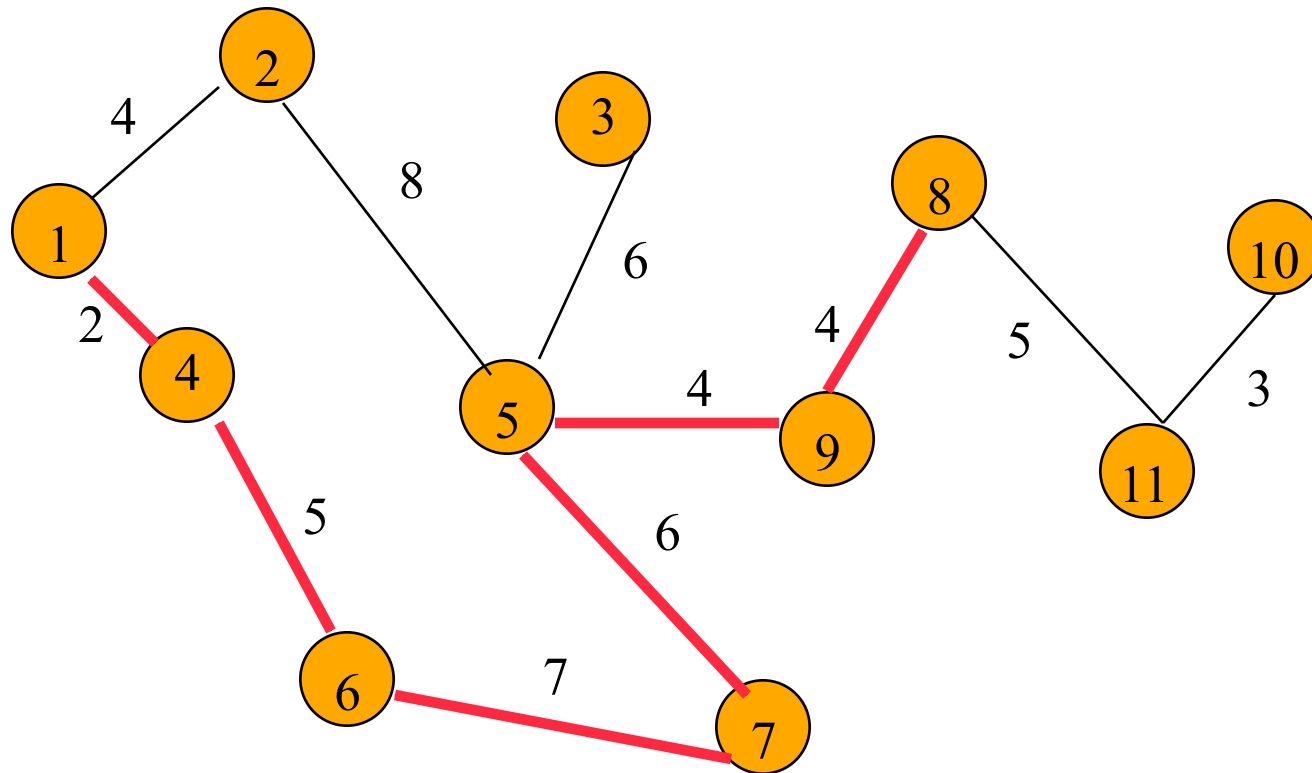
# Path Finding

Path between 1 and 8.



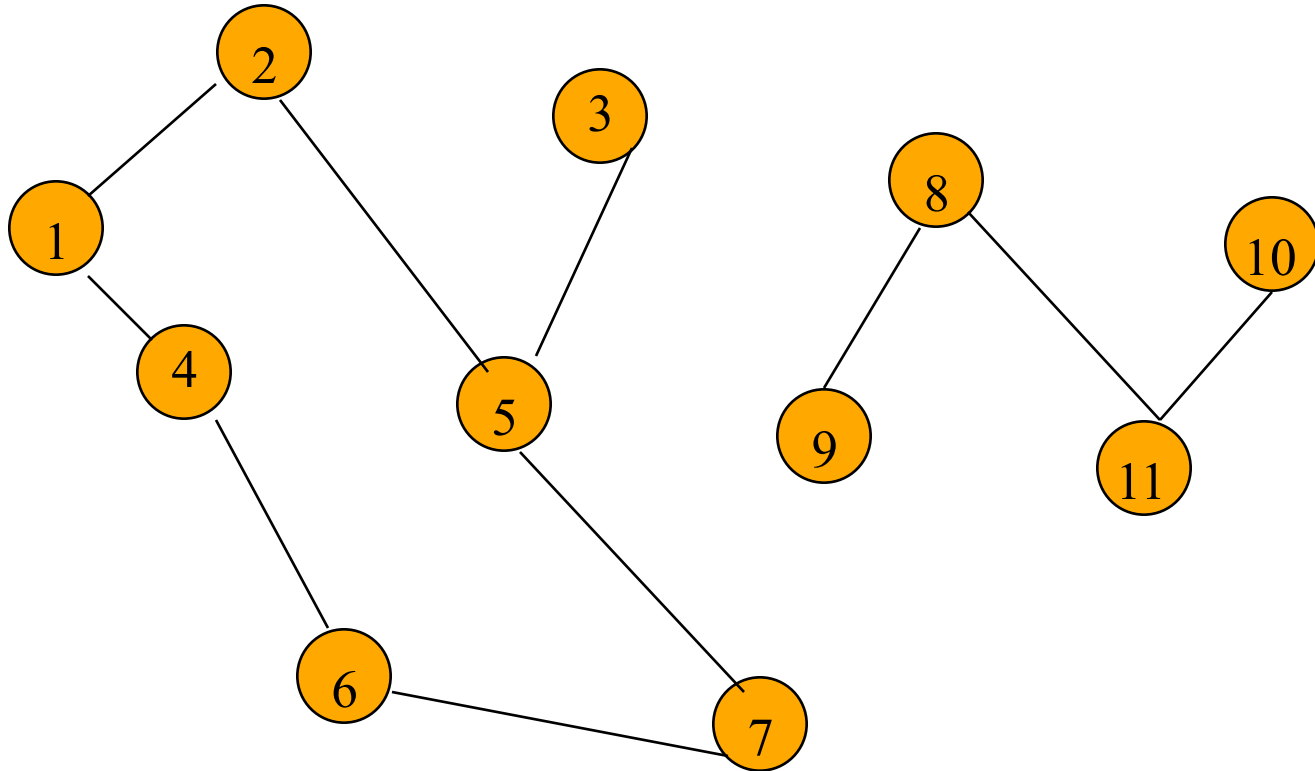
Path length is 20.

# Another Path Between 1 and 8



Path length is 28.

# Example Of No Path



No path between 2 and 9.

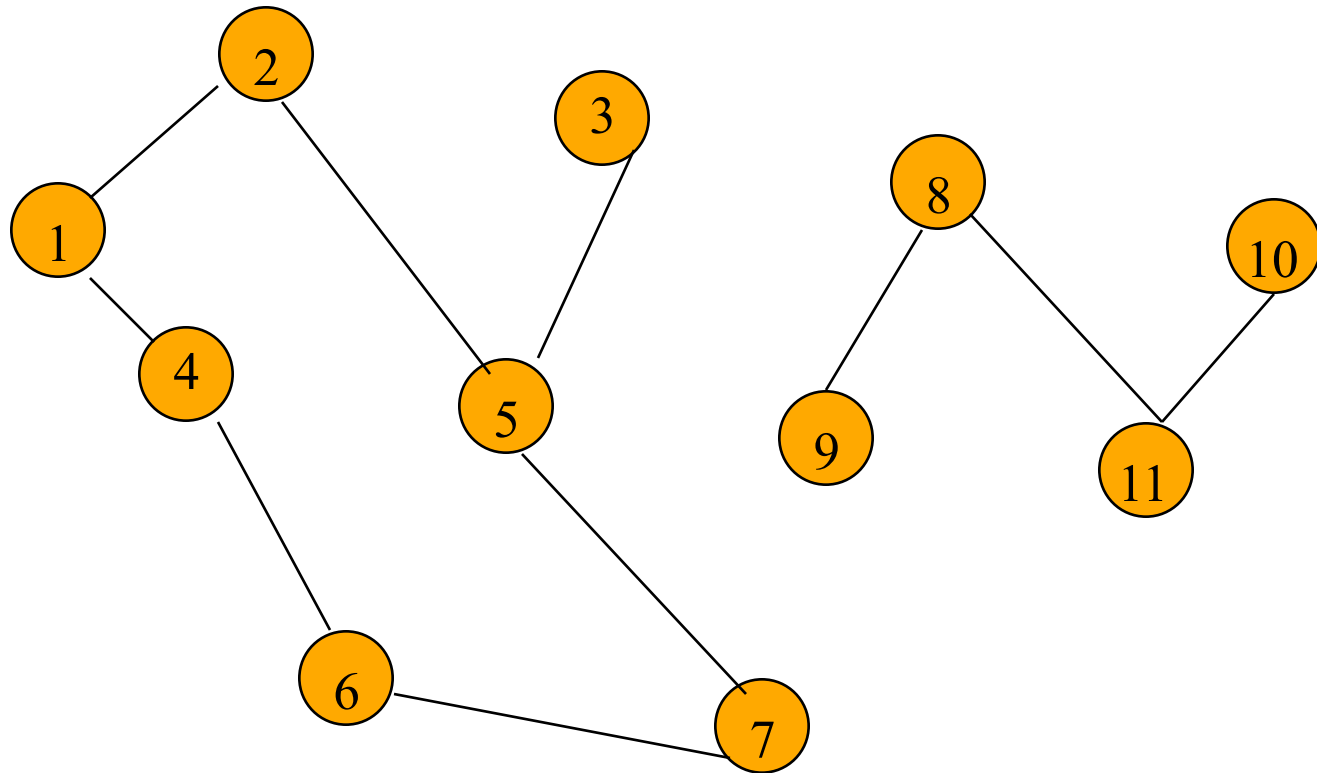
# Connected Graph

- Undirected graph.
- $u$  and  $v$  are **connected** iff there is a path in  $G$  from  $u$  to  $v$  (also from  $v$  to  $u$ )
- **Connected Graph**: There is a path between every pair of vertices.

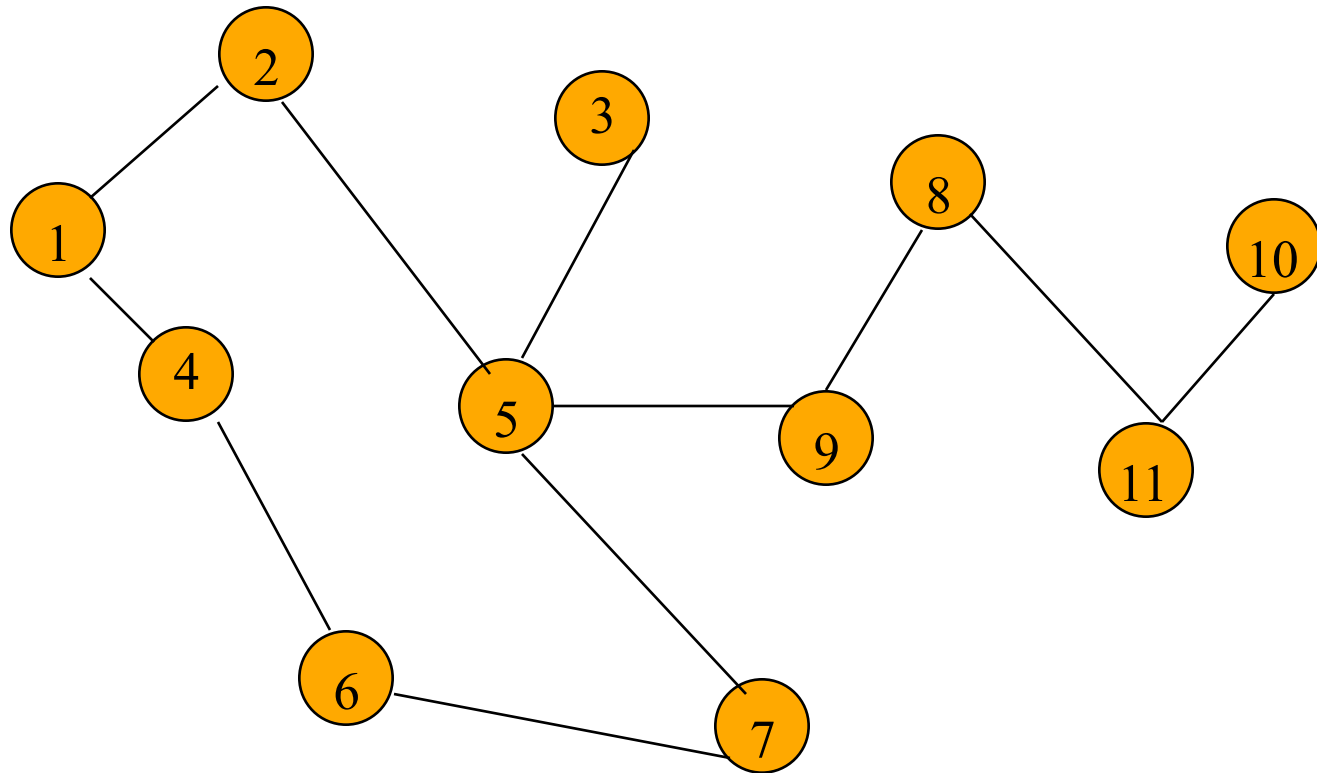
# Connected Graph

- Directed graph.
- A directed  $G$  is **strongly connected** iff for every pair of distinct  $u$  and  $v$  in  $V(G)$ , there is a directed path from  $u$  to  $v$  and also from  $v$  to  $u$ .
- A **strongly connected component** is a maximal subgraph that is strongly connected.

# Example Of Not Connected

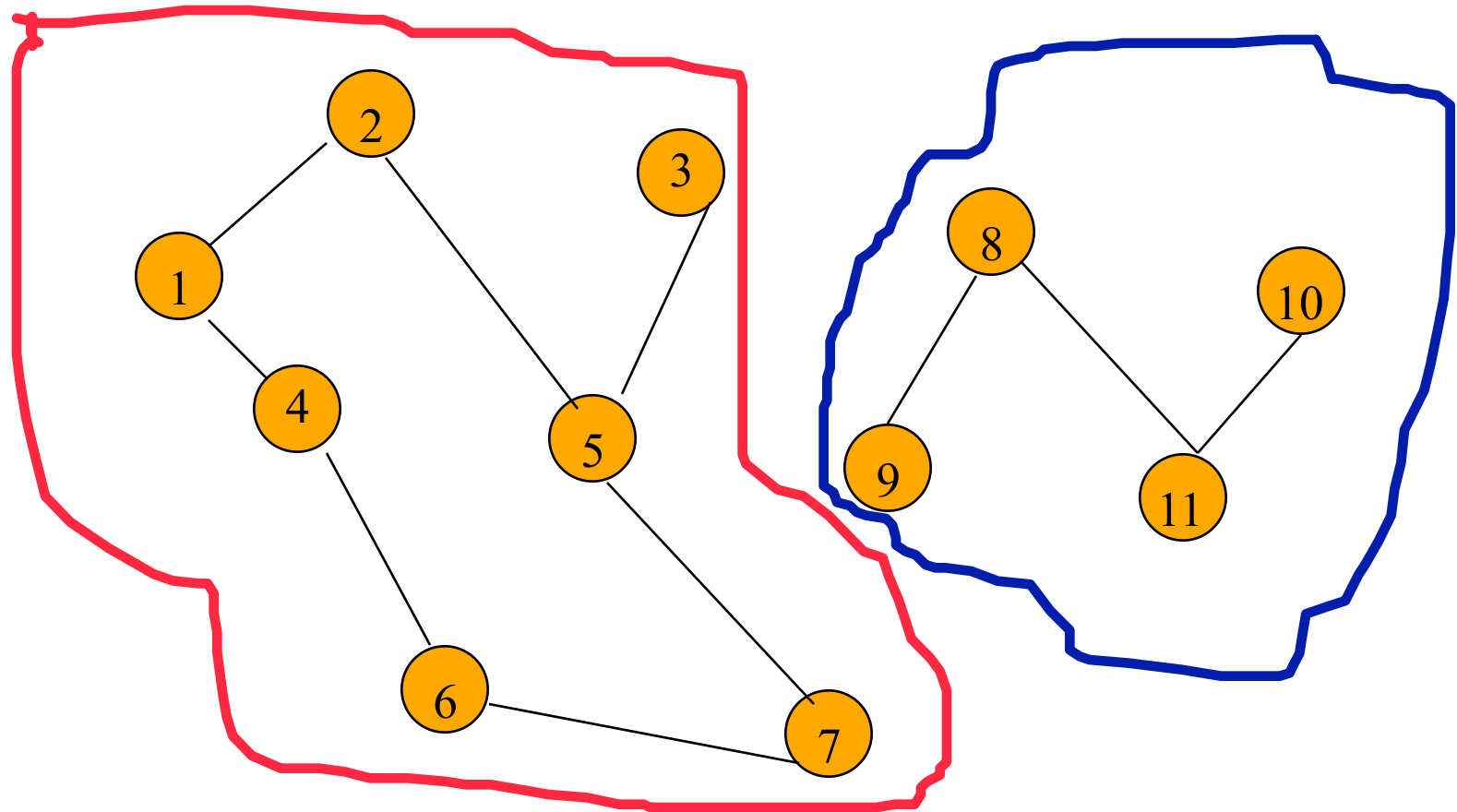


# Connected Graph Example





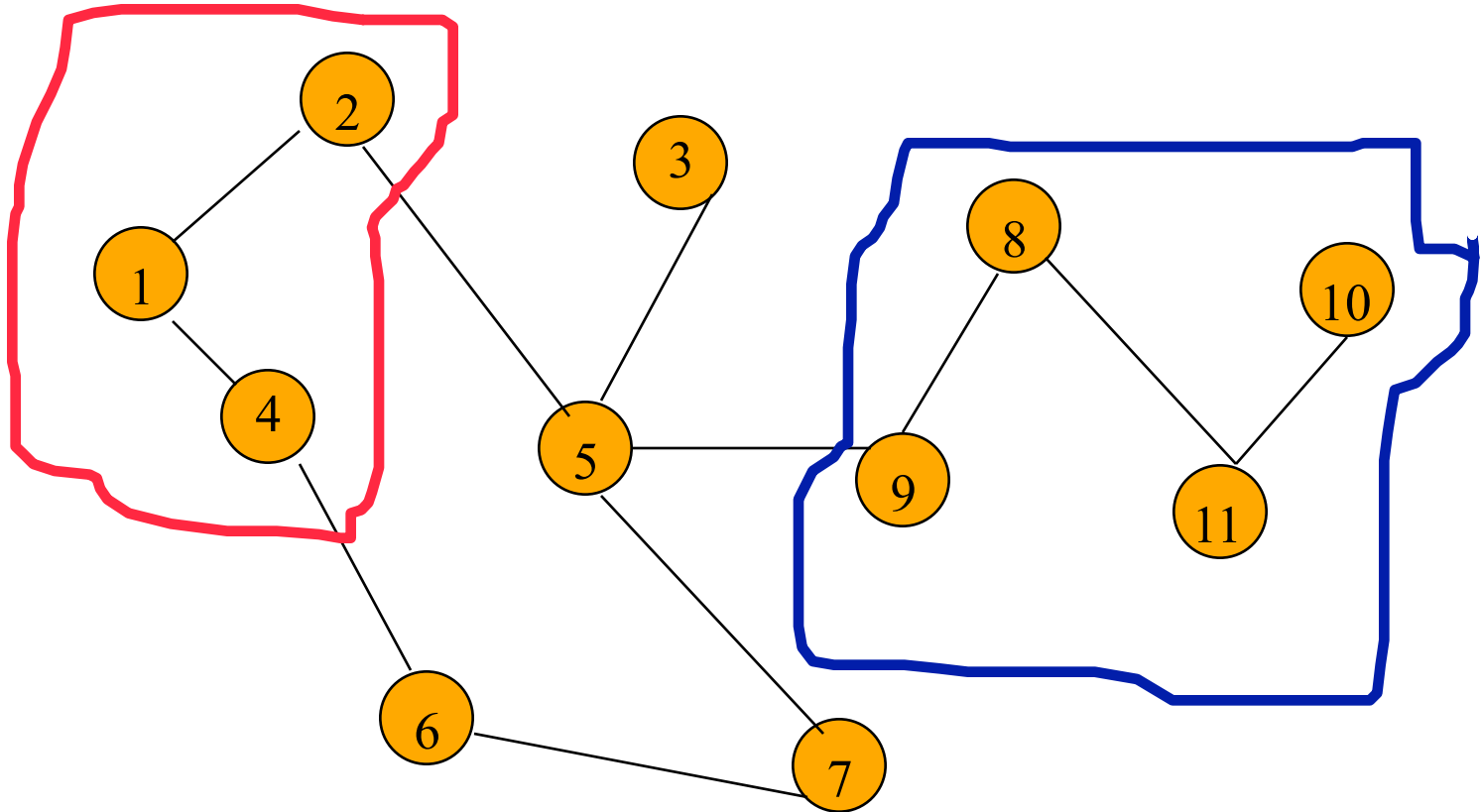
# Connected Components



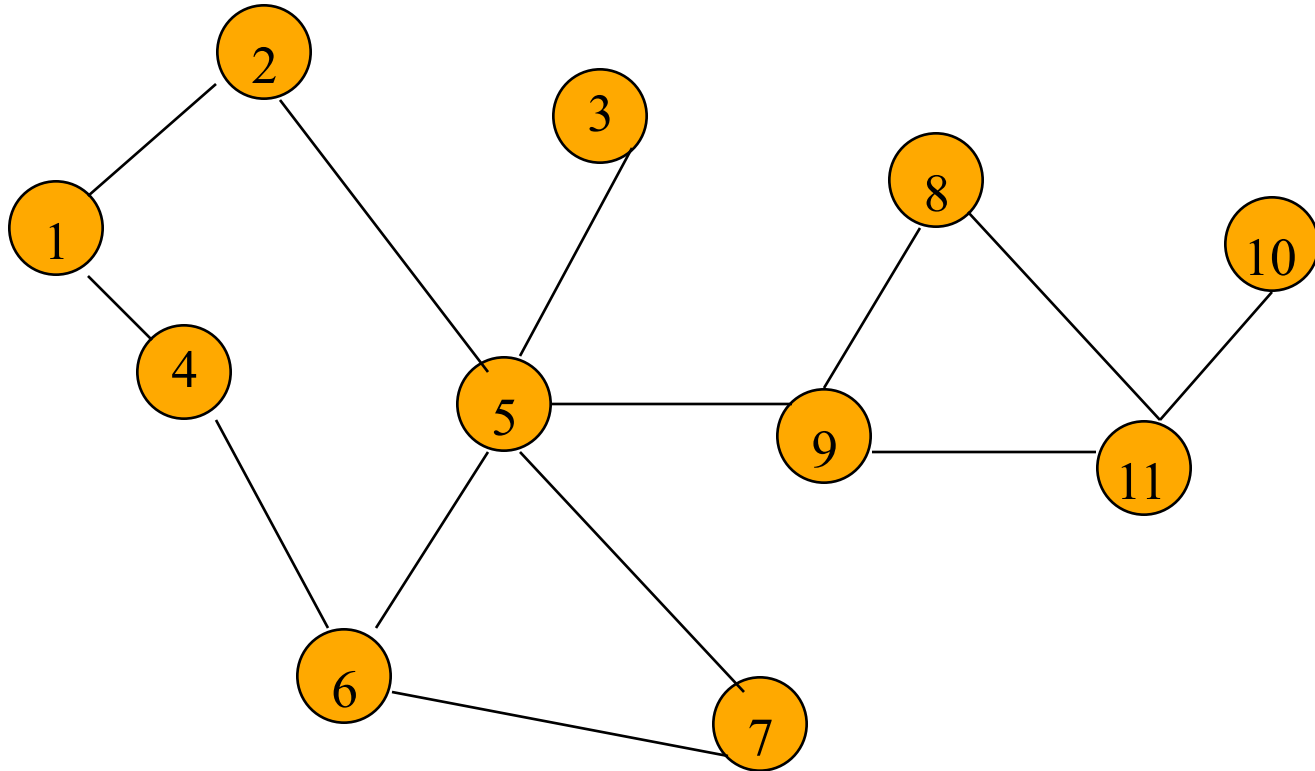
# Connected Component

- A maximal subgraph that is connected.
  - Cannot add vertices and edges from original graph and retain connectedness.
- A connected graph has exactly 1 component.

# Not A Component



# Communication Network

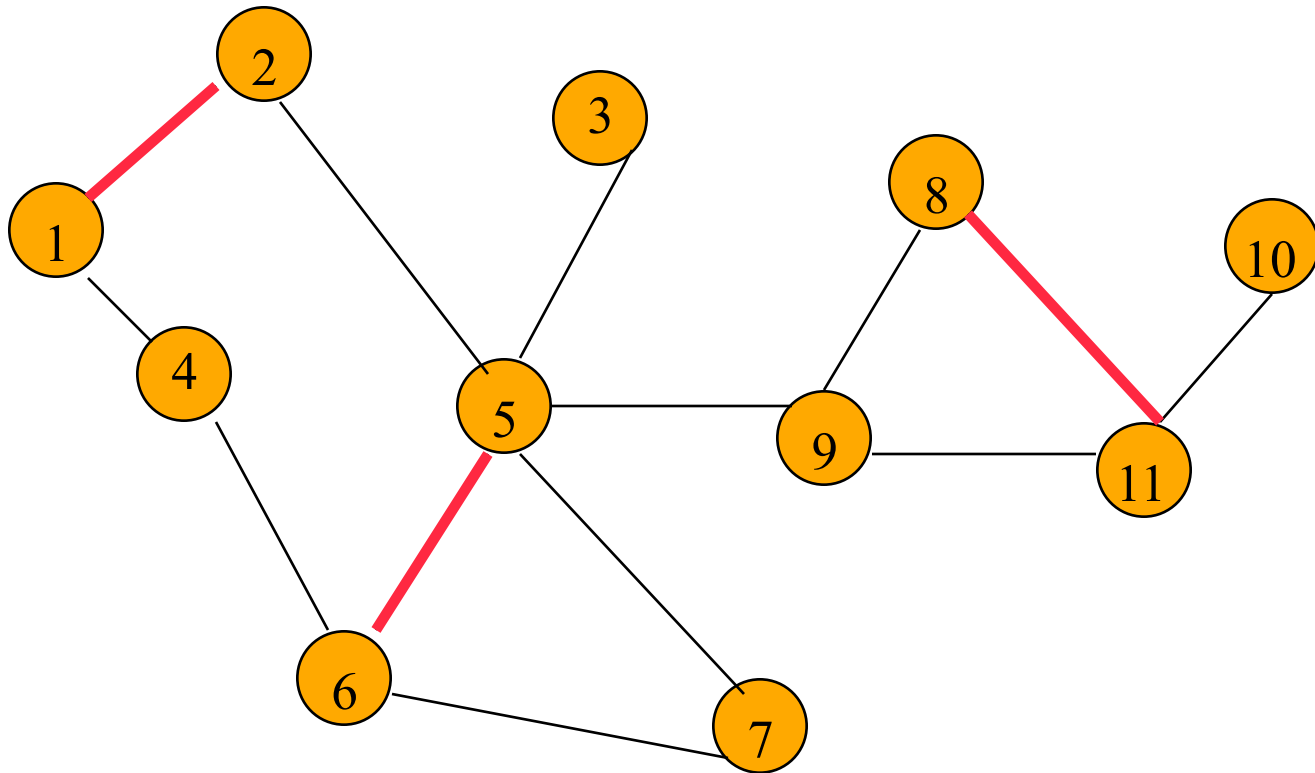


Each edge is a link that can be constructed  
(i.e., a feasible link).

# Communication Network Problems

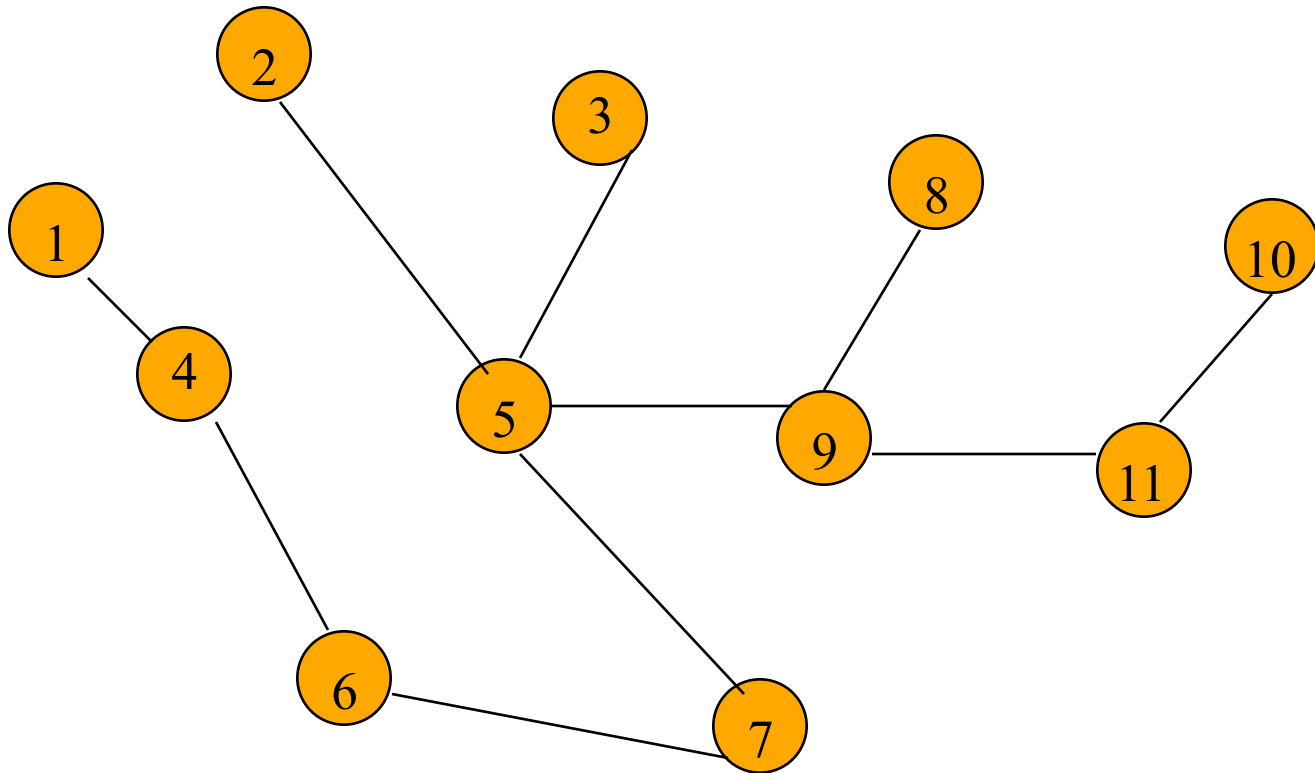
- Is the network connected?
  - Can we communicate between every pair of cities?
- Find the components.
- Want to construct smallest number of feasible links so that resulting network is connected.

# Cycles And Connectedness



Removal of an edge that is on a cycle does not affect connectedness.

# Cycles And Connectedness



Connected subgraph with all vertices and minimum number of edges has no cycles.



# Tree



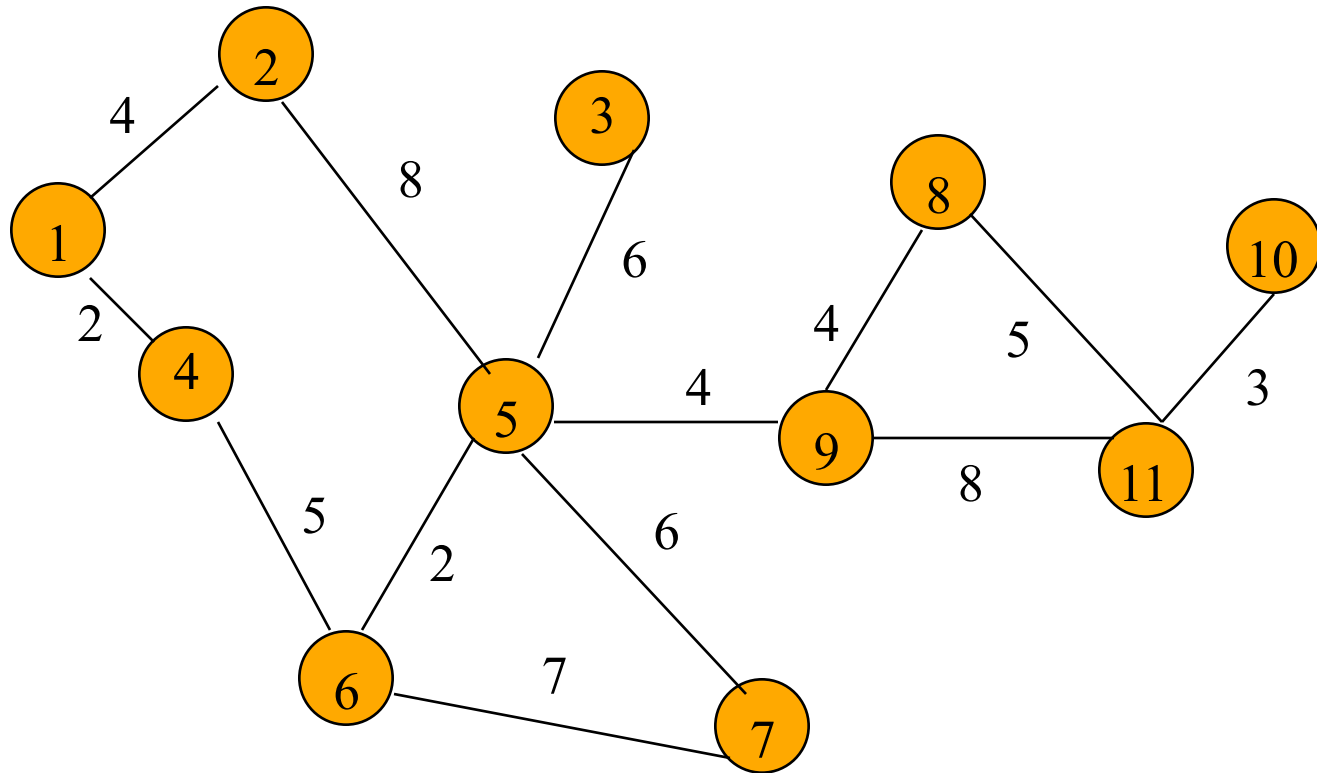
- Connected graph that has no cycles.
- $n$  vertex connected graph with  $n-1$  edges.



# Spanning Tree

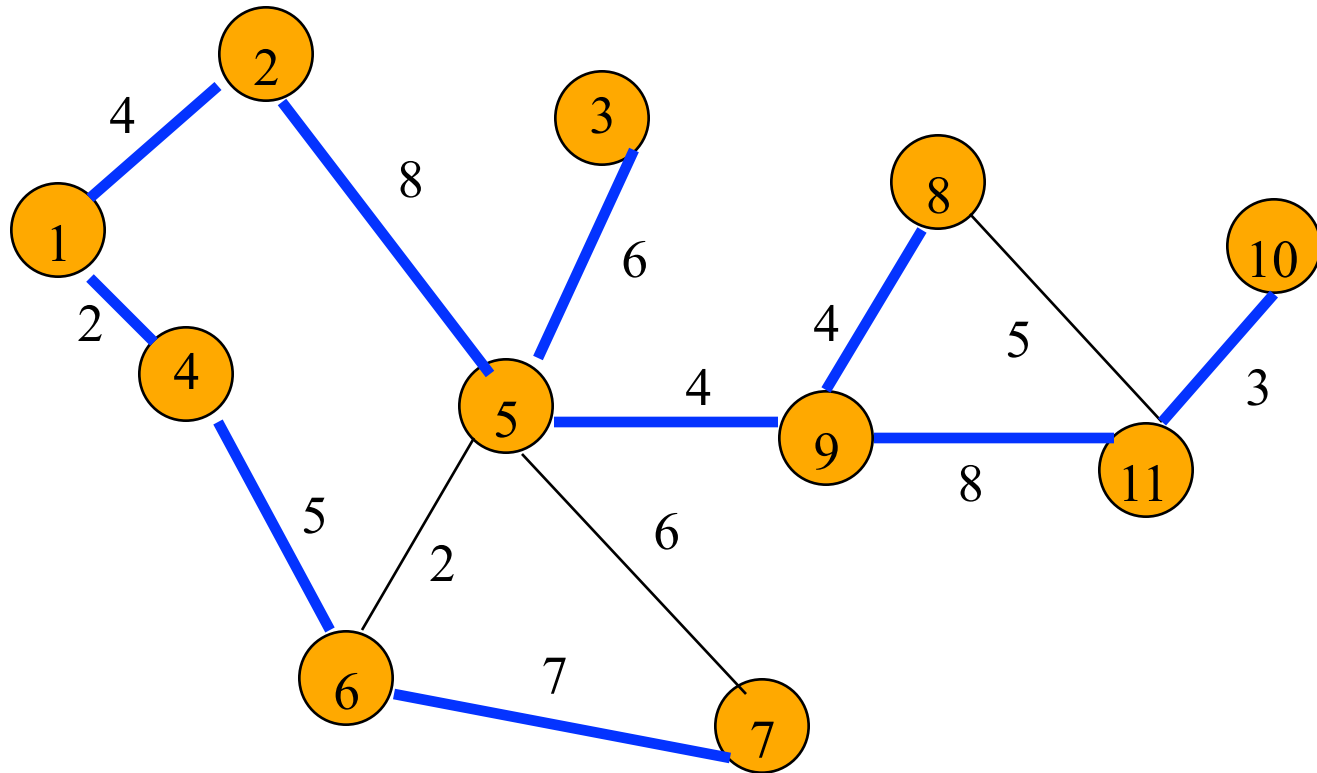
- Subgraph that includes all vertices of the original graph.
- Subgraph is a tree.
  - If original graph has  $n$  vertices, the spanning tree has  $n$  vertices and  $n-1$  edges.

# Minimum Cost Spanning Tree



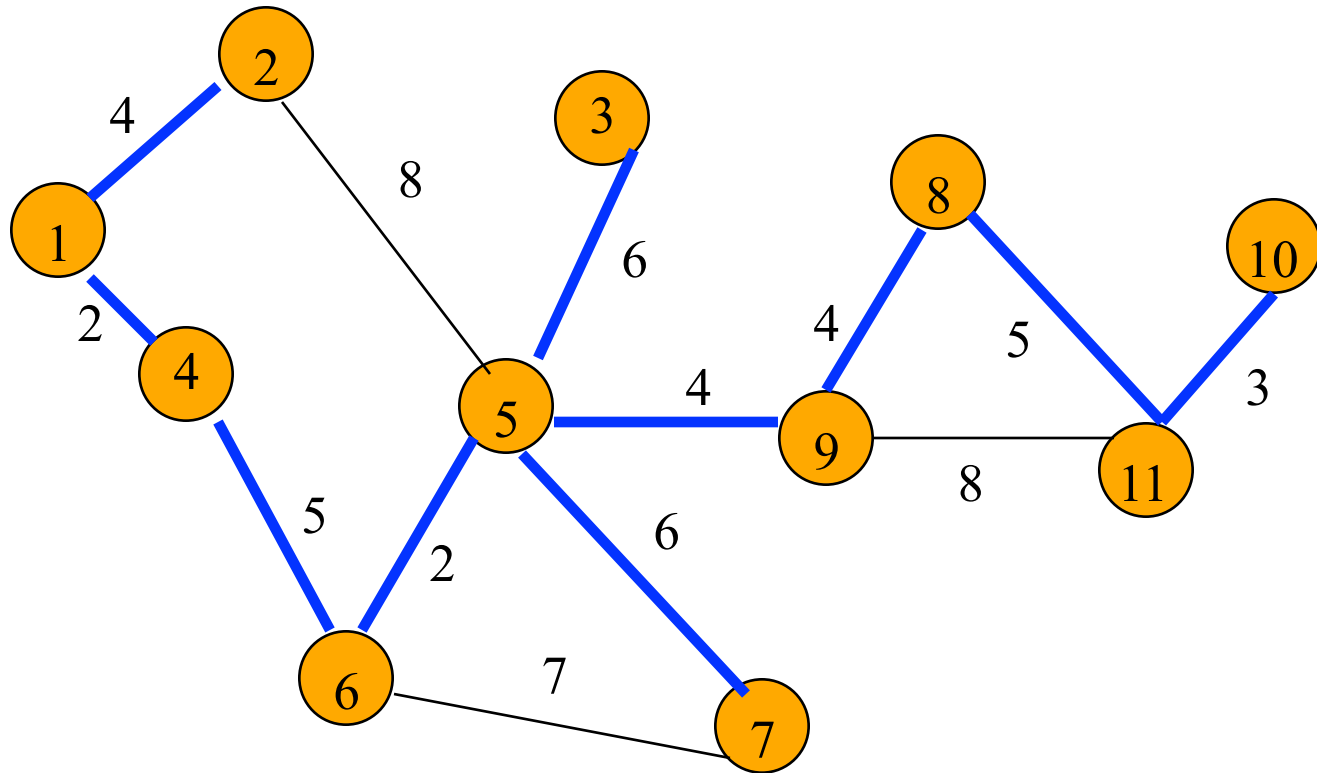
- Tree cost is sum of edge weights/costs.

# A Spanning Tree



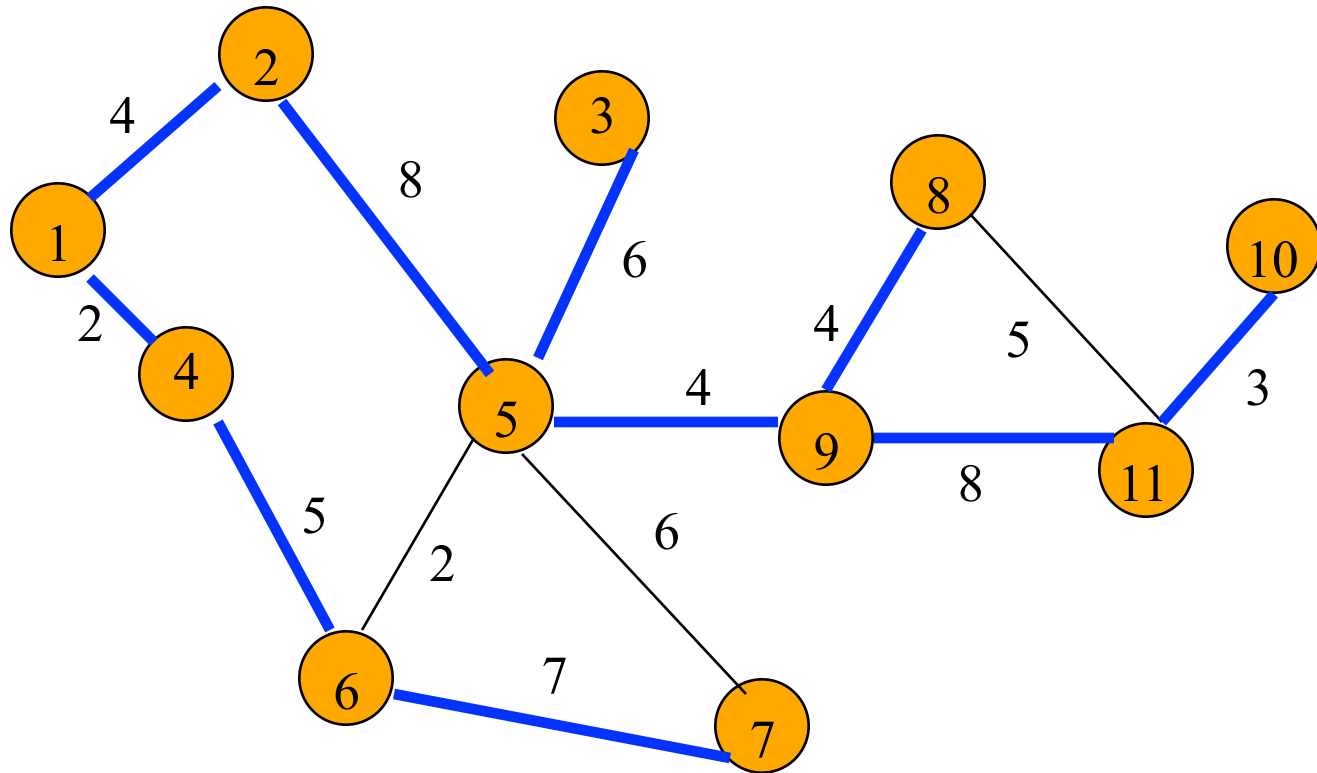
Spanning tree cost = 51.

# Minimum Cost Spanning Tree



Spanning tree cost = 41.

# A Wireless Broadcast Tree



Source = 1, weights = needed power.

Cost =  $4 + 8 + 5 + 6 + 7 + 8 + 3 = 41$ .

## ADT 6.1 Graph

**class** Graph

{ // A non empty set of vertices and a set of undirected  
// edges, where each edge is a pair of vertices.

**public:**

**virtual** ~Graph(){ };

// virtual destructor

**bool** IsEmpty() **const** {**return** n==0;};

// return **true** iff graph has no vertices

**int** NumberOfVertices() **const** {**return** n;};

// return the number of vertices in the graph

**int** NumberofEdges() **const** {**return** e;};

// return number of edges in the graph

**virtual int** Degree(**int** u) **const** =0;

// return number of edges incident to vertex u

```

virtual bool ExisteEdge(int u, int v) const =0;
    // return true iff graph has edge (u, v)
virtual void InsertVertex (int v) =0;
    // insert vertex v into graph, v has no incident edges
virtual void InsertEdge (int u, int v) =0;
    // insert edge (u, v) into graph
virtual void DeleteVertex (int v);
    // delete v and all edges incident to it
virtual void DeleteEdge (int u, int v) =0;
    // delete edge (u, v) from the graph
private:
    int n;    // number of vertices
    int e;    // number of edges
};

```

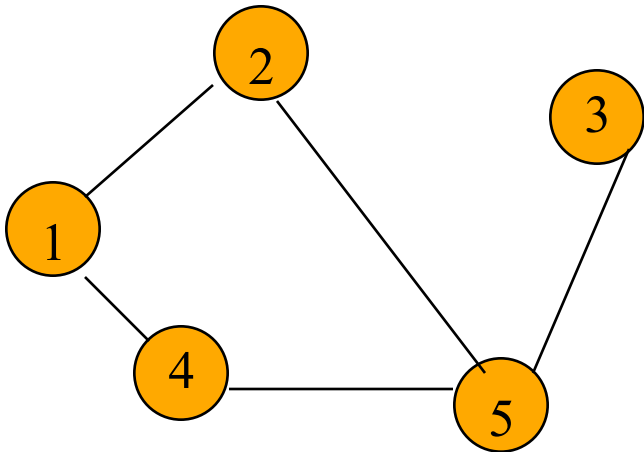
# Graph Representation

- Adjacency Matrix
- Adjacency Lists
  - Linked Adjacency Lists
  - Array Adjacency Lists



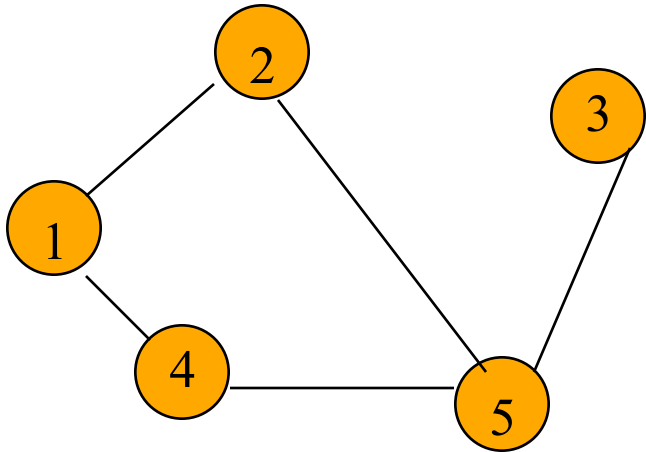
# Adjacency Matrix

- 0/1  $n \times n$  matrix, where  $n = \#$  of vertices
- $A(i,j) = 1$  iff  $(i,j)$  is an edge



	1	2	3	4	5
1	0	1	0	1	0
2	1	0	0	0	1
3	0	0	0	0	1
4	1	0	0	0	1
5	0	1	1	1	0

# Adjacency Matrix Properties

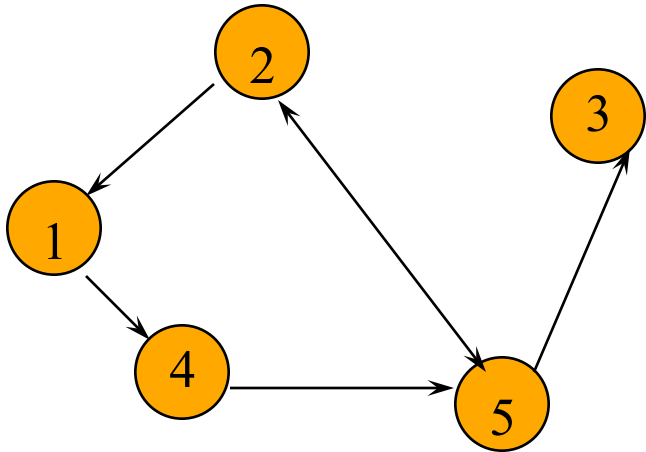


	1	2	3	4	5
1	0	1	0	1	0
2	1	0	0	0	1
3	0	0	0	0	1
4	1	0	0	0	1
5	0	1	1	1	0

- Diagonal entries are zero.
- Adjacency matrix of an undirected graph is symmetric.

▪  $A(i,j) = A(j,i)$  for all  $i$  and  $j$ .

# Adjacency Matrix (Digraph)



	1	2	3	4	5
1	0	0	0	1	0
2	1	0	0	0	1
3	0	0	0	0	0
4	0	0	0	0	1
5	0	1	1	0	0

- Diagonal entries are zero.
- Adjacency matrix of a digraph need not be symmetric.

# Adjacency Matrix

- $n^2$  bits of space
- For an undirected graph, may store only lower or upper triangle (exclude diagonal).
  - $(n-1)n/2$  bits
- time to find vertex degree and/or vertices adjacent to a given vertex?
  - $O(n)$

# Adjacency Matrix

- For an graph

- $d(i) = \sum_{j=0}^{n-1} a[i][j]$

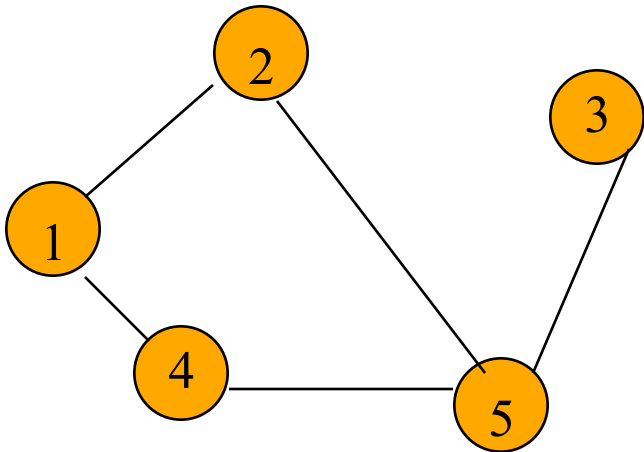
- For a digraph

- $\text{out-d}(i) = \sum_{j=0}^{n-1} a[i][j]$

- $\text{in-d}(j) = \sum_{i=0}^{n-1} a[i][j]$

# Adjacency Lists

- Adjacency list for vertex **i** is a linear list of vertices adjacent from vertex **i**.
- An array of **n** adjacency lists.



$\text{aList}[1] = (2,4)$

$\text{aList}[2] = (1,5)$

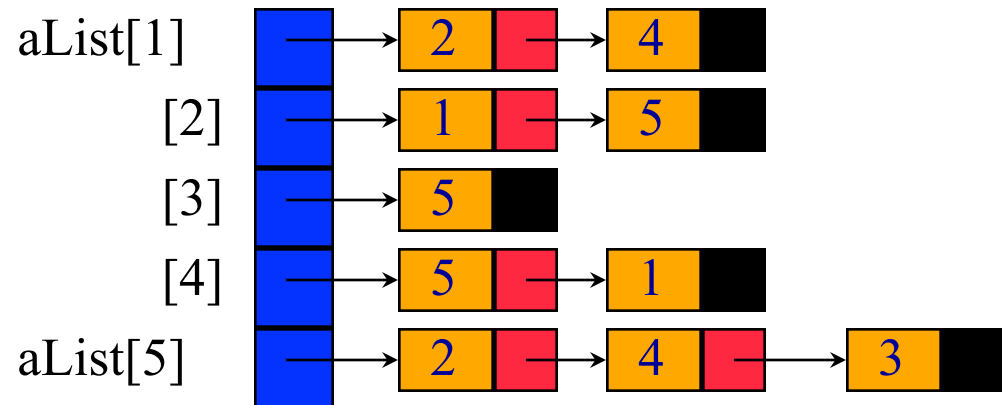
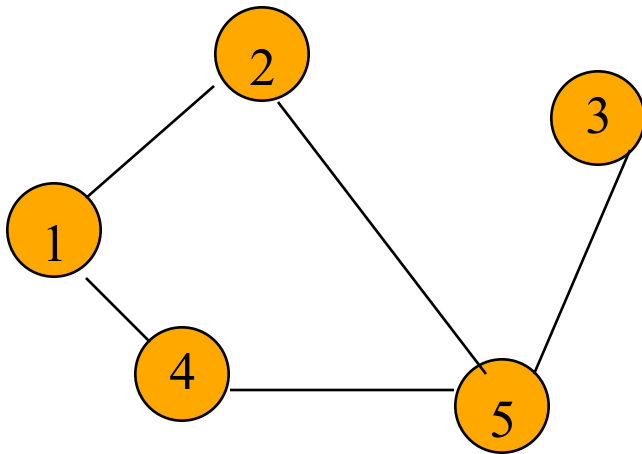
$\text{aList}[3] = (5)$

$\text{aList}[4] = (5,1)$

$\text{aList}[5] = (2,4,3)$

# Linked Adjacency Lists

- Each adjacency list is a chain.



Array Length =  $n$

# of chain nodes =  $2e$  (undirected graph)

# of chain nodes =  $e$  (digraph)

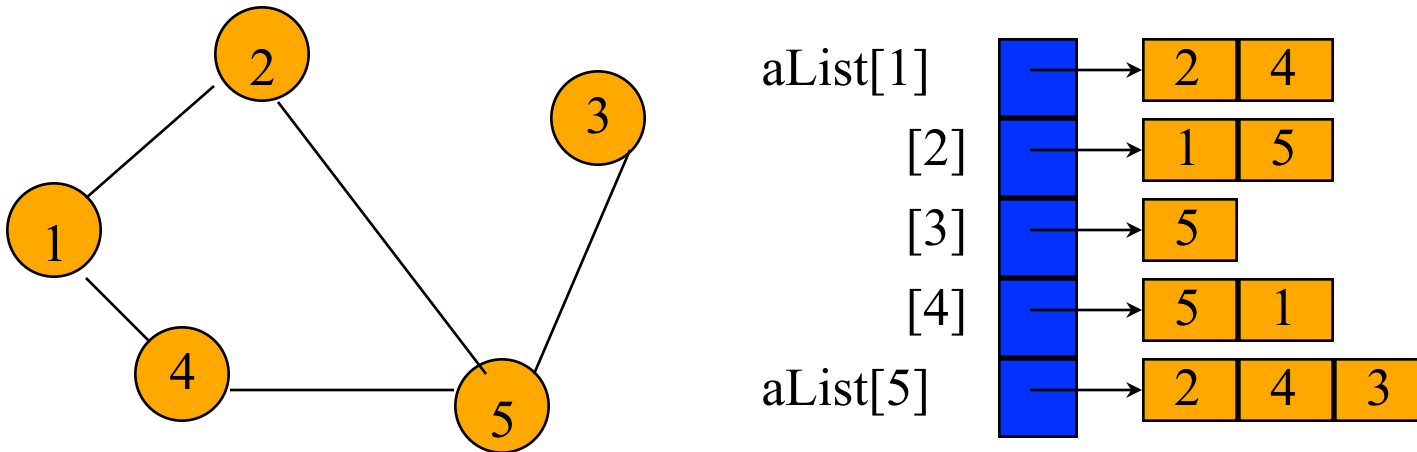
# Linked Adjacency Lists

- **class** LinkedGraph {
- **public:**
- LinkedGraph (**const int** vertices): e(0) {
- **if** (vertices < 1) **throw** “Number of vertices must be > 0”;
- n = vertices;
- adjLists = **new** Chain<**int**>[n];
- };
- **private:**
- Chain<**int**>\* adjLists;
- **int** n;
- **int** e;
- };



# Array Adjacency Lists

- Each adjacency list is an array list.



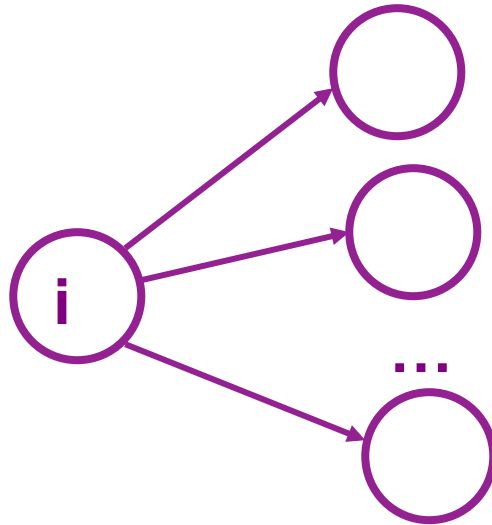
Array Length =  $n$

# of list elements =  $2e$  (undirected graph)

# of list elements =  $e$  (digraph)

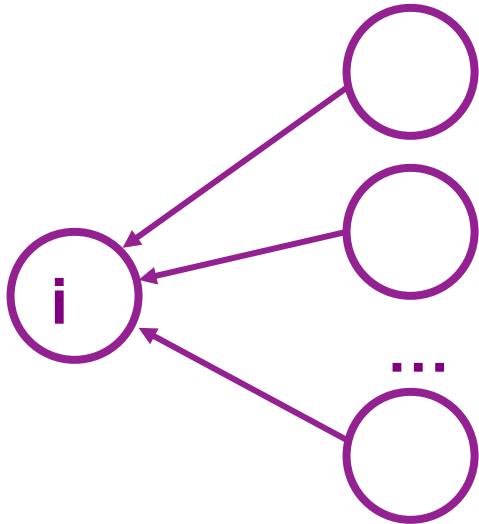
# Adjacency Lists

- Digraph

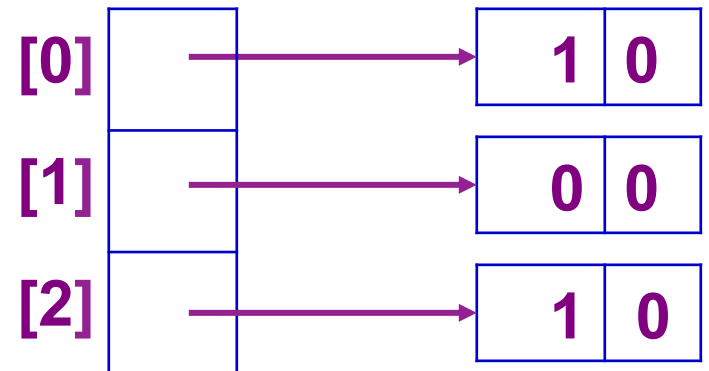


# Inverse Adjacency Lists

- Digraph



	0	1	2
0	0	1	0
1	1	0	1
2	0	0	0

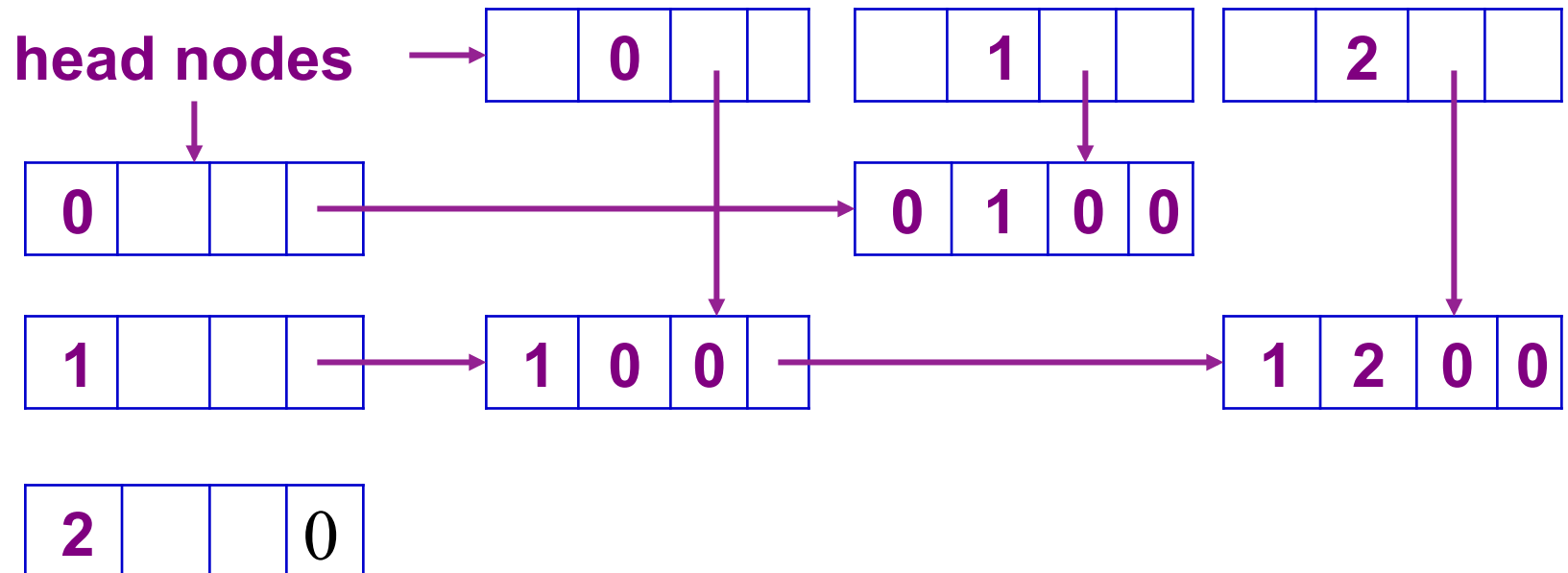


# Orthogonal Adjacency Lists

- Digraph

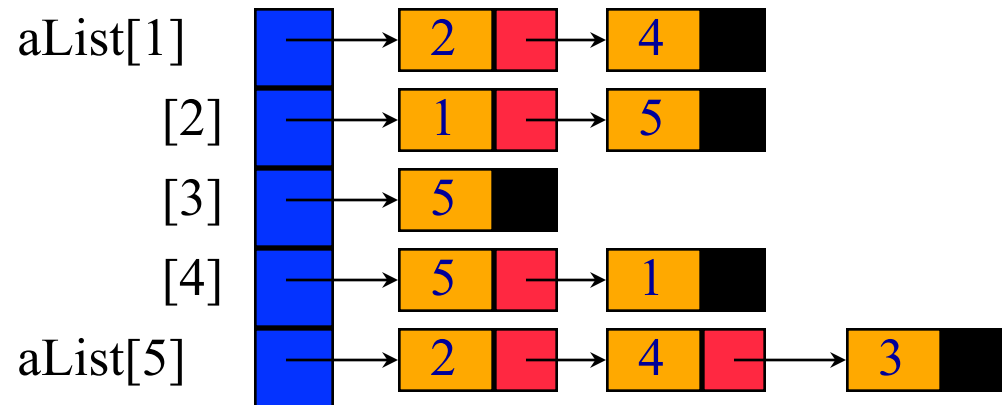
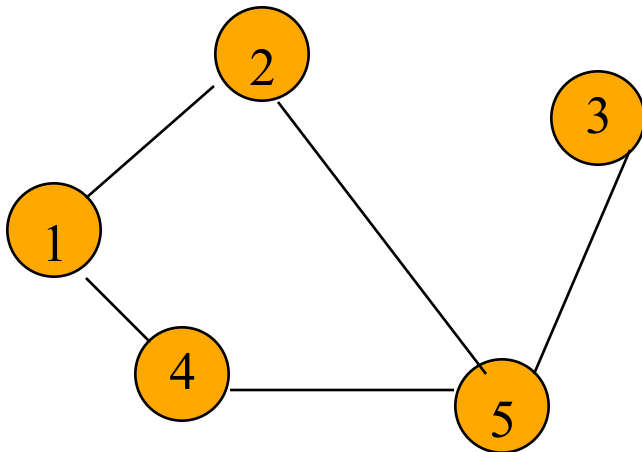
tail	head	column link	row link
------	------	-------------	----------

	0	1	2
0	0	1	0
1	1	0	1
2	0	0	0



# Adjacency Multilists

- Undirected graph



Each (u, v) is represented by 2 entries.

Visit an edge only once?

m	vertex1	vertex2	v1link	v2link
			path1	path2

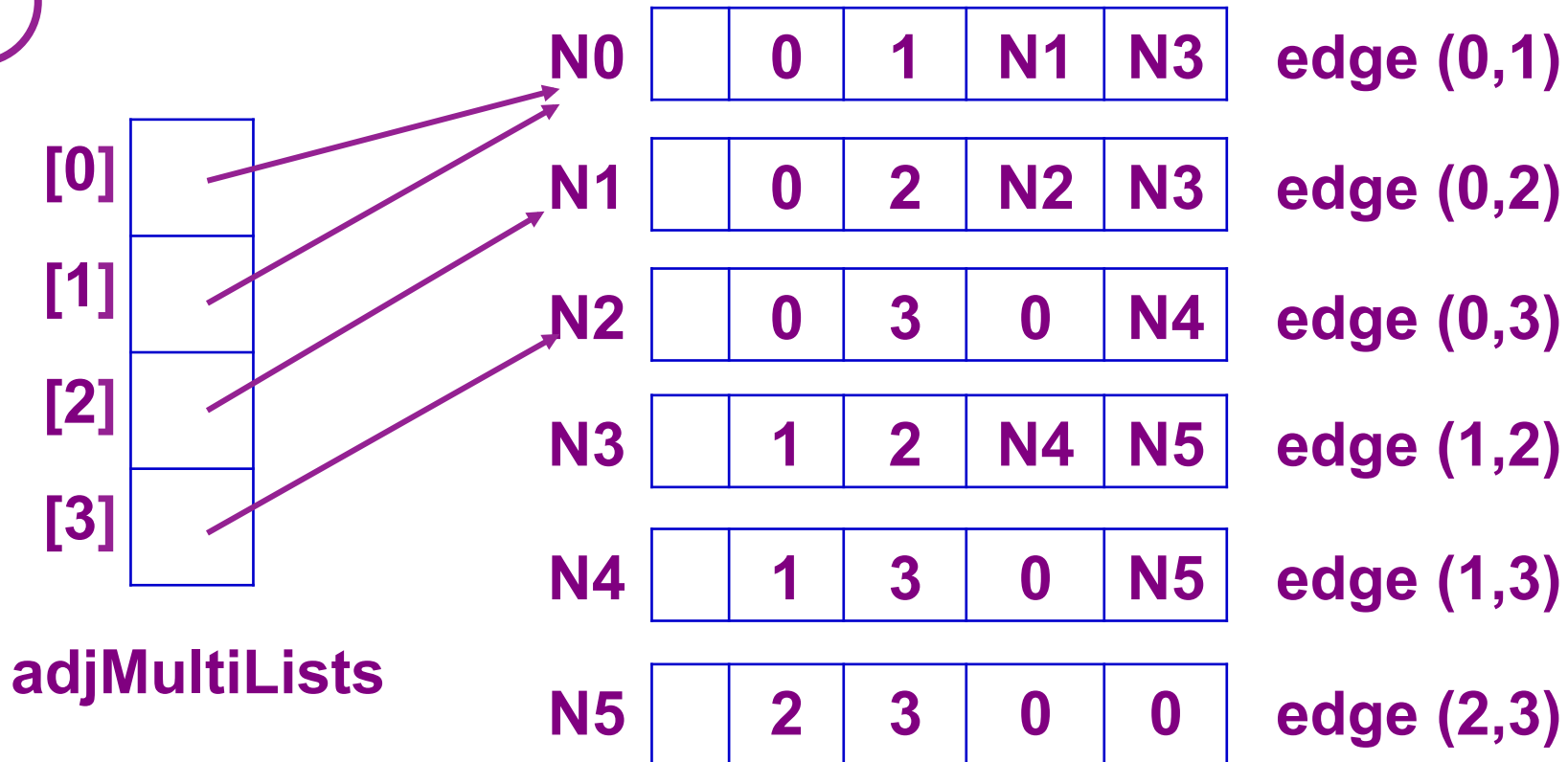
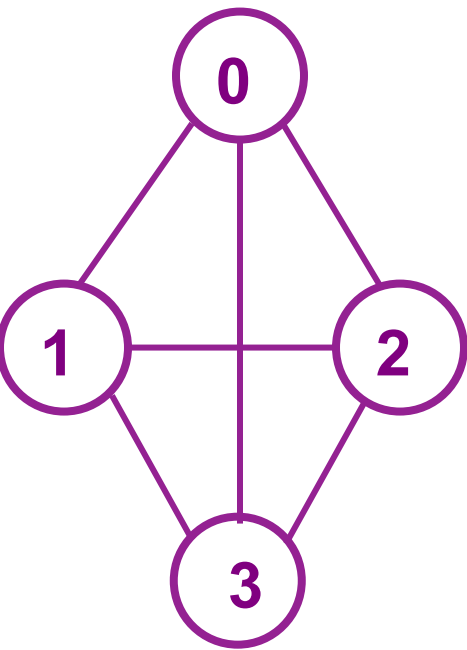
# Adjacency Multilists

- **class** MGraphEdge {
- **private:**
- **bool** m;
- **int** vertex1, vertex2;
- MGraphEdge \*path1, \*path2;
- };
- **typedef** MGraphEdge \*EdgePtr ;
- **class** MGraph {
- **public:**
- MGraph(**const int**);
- **private:**
- EdgePtr \*adjMultiLists;   **int** n;   **int** e;
- };

# Adjacency Multilists

- `MGraph::MGraph(const int vertices) : e(0)`
- `{`
- `if (vertices < 1) throw “Number of vertices must be > 0”;`
- `n = vertices;`
- `adjMultiLists = new EdgePtr[n];`
- `fill(adjMultiLists, adjMultiLists+n,0);`
- `}`

# Adjacency Multilists





# Adjacency Multilists

- If **p** points to an **MGraphEdge** representing (**u**, **v**), and given **u**, to get **v** we need the following test:
  - if (**p**→**vertex1** == **u**) **v** = **p**→**vertex2**;
  - else **v** = **p**→**vertex1**;
- And we can insert an edge in **O(1)**:
- **void MGraph::InsertEdge(int u, int v) {**
- **MGraphEdge \*p = new MGraphEdge;**
- **p**→**m** = **false**; **p**→**vertex1** = **u**; **p**→**vertex2** = **v**;
- **p**→**path1** = **adjMultiLists[u]**;
- **p**→**path2** = **adjMultiLists[v]**;
- **adjMultiLists[u] = adjMultiLists[v] = p;**
- **}**

# Weighted Graphs

- Cost adjacency matrix.
  - $C(i,j)$  = cost of edge  $(i,j)$
- Adjacency lists  $\Rightarrow$  each list element is a pair (adjacent vertex, edge weight)

# Number Of Classes Needed

- Graph representations
  - Adjacency Matrix
  - Adjacency Lists
    - Linked Adjacency Lists
    - Array Adjacency Lists
  - 3 representations
- Graph types
  - Directed and undirected.
  - Weighted and unweighted.
  - $2 \times 2 = 4$  graph types
- $3 \times 4 = 12$  classes

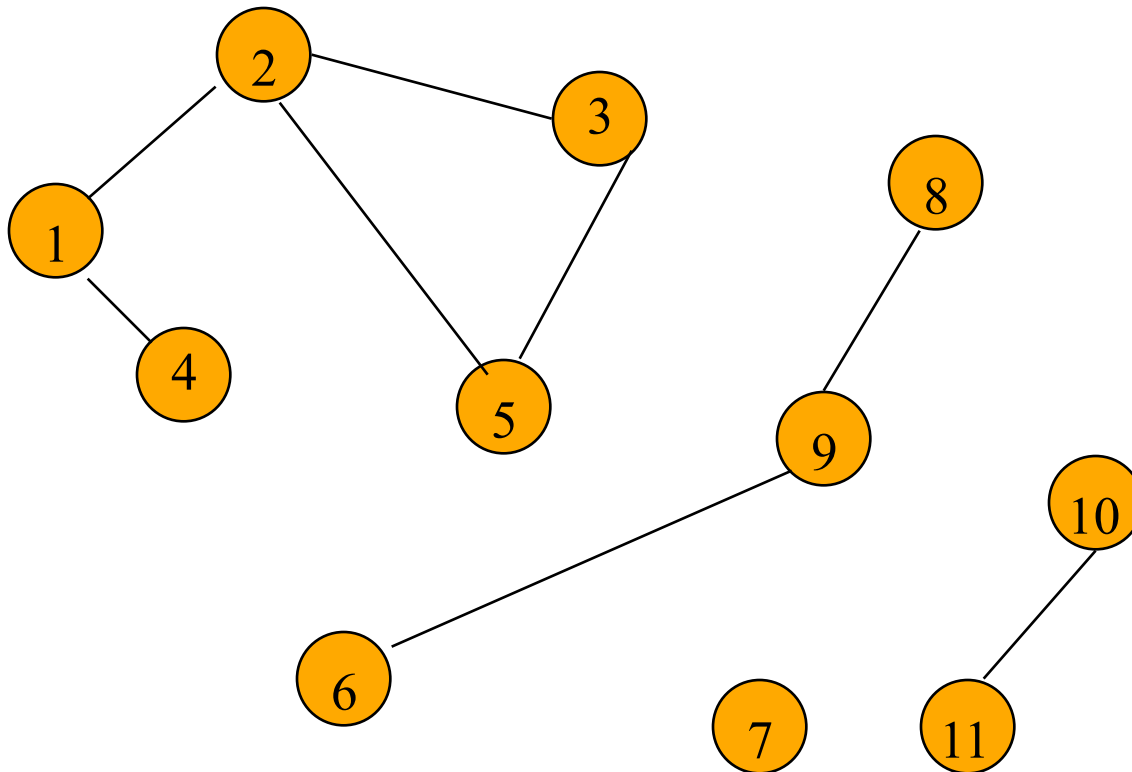
- **Exercises:** P340-5, 9

# Graph Search Methods

- Given  $G = (V, E)$ , and  $v$  in  $V(G)$ , we wish to visit all vertices in  $G$  that are reachable from  $v$ .
- In the following methods, we assume the graphs are undirected, although they work on the directed as well.

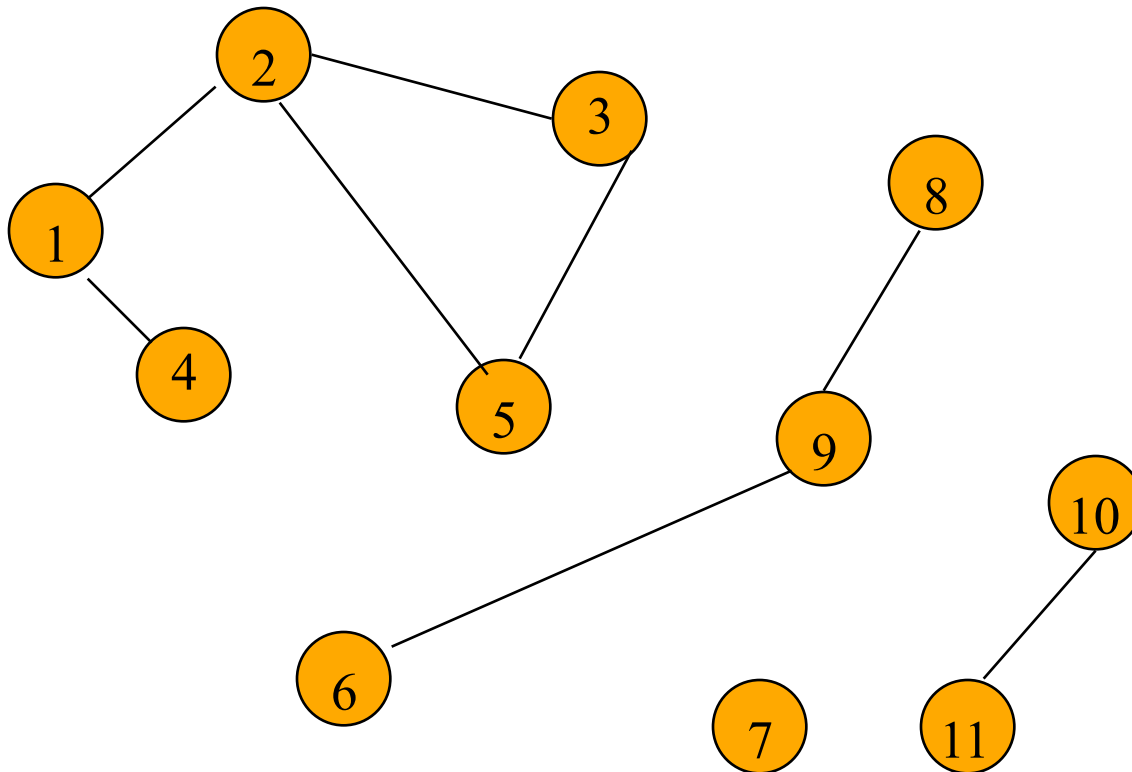
# Graph Search Methods

- A vertex **u** is **reachable** from vertex **v** iff there is a path from **v** to **u**.



# Graph Search Methods

- A search method starts at a given vertex **v** and visits/labels/marks every vertex that is reachable from **v**.



# Graph Search Methods

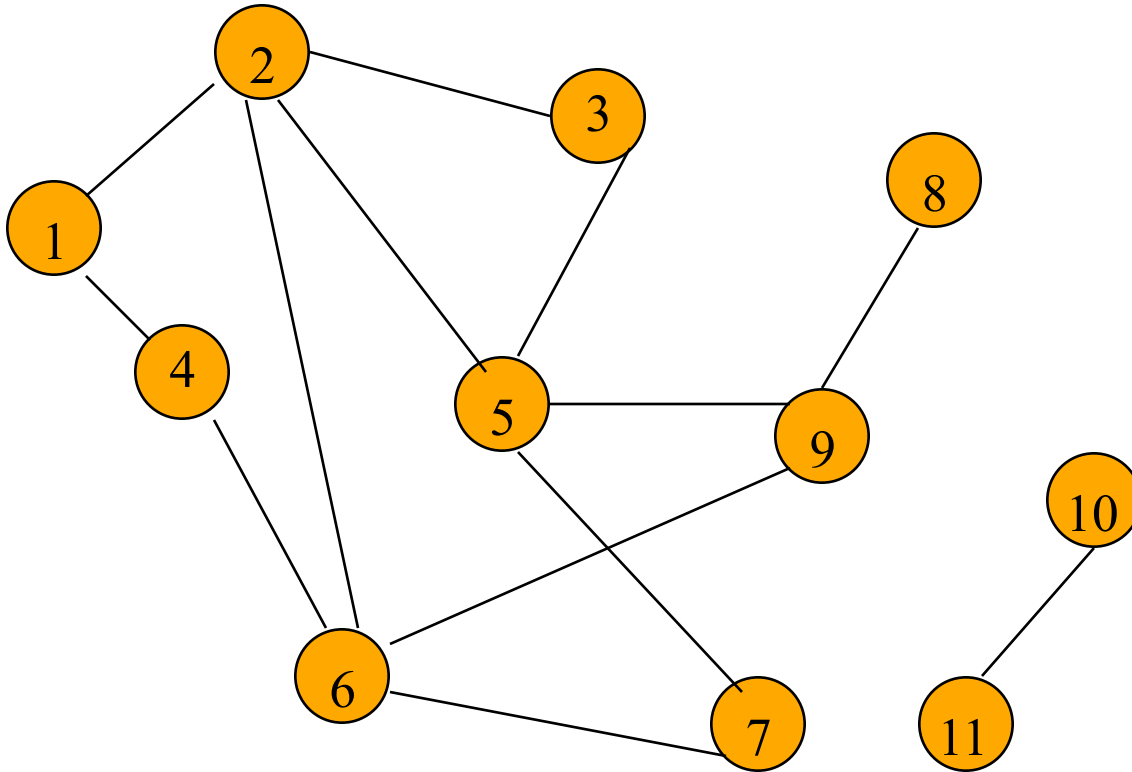
- Many graph problems solved using a search method.
  - Path from one vertex to another.
  - Is the graph connected?
  - Find a spanning tree.
  - Etc.
- Commonly used search methods:
  - Breadth-first search.
  - Depth-first search.



# Breadth-First Search

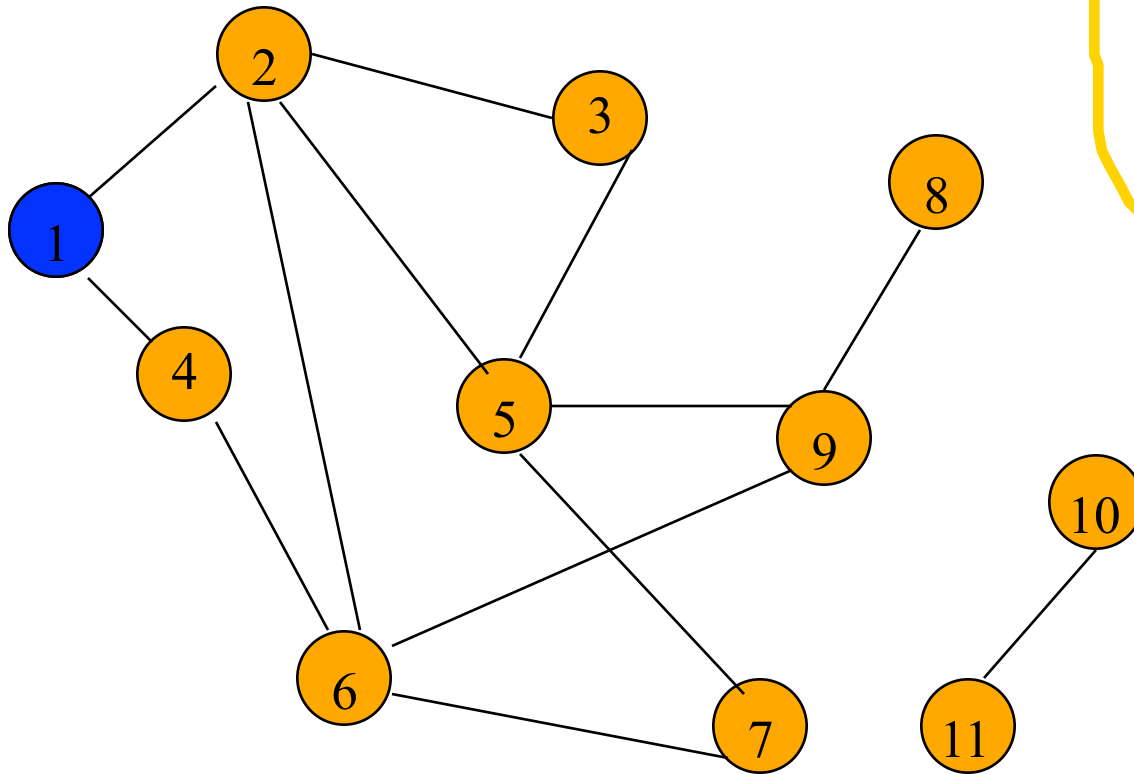
- Visit start vertex and put into a FIFO queue.
- Repeatedly remove a vertex from the queue, visit its unvisited adjacent vertices, put newly visited vertices into the queue.

# Breadth-First Search Example



Start search at vertex **1**.

# Breadth-First Search Example

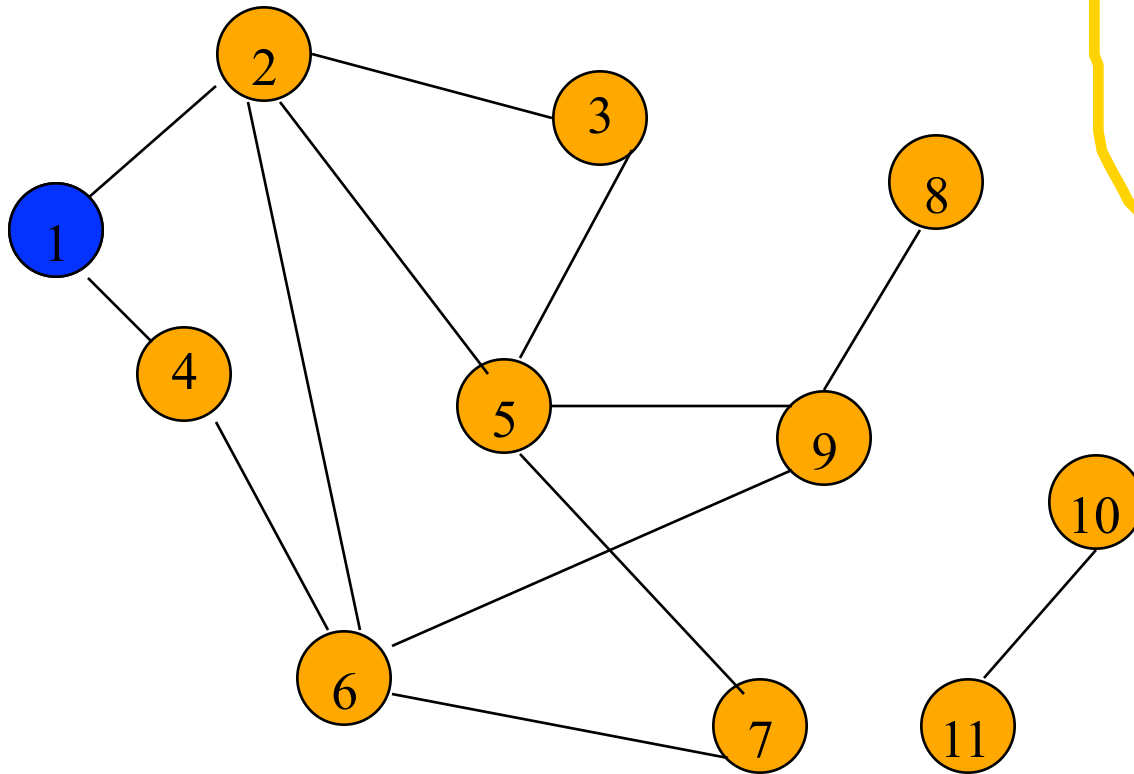


FIFO Queue

1

Visit/mark/label start vertex and put in a FIFO queue.

# Breadth-First Search Example

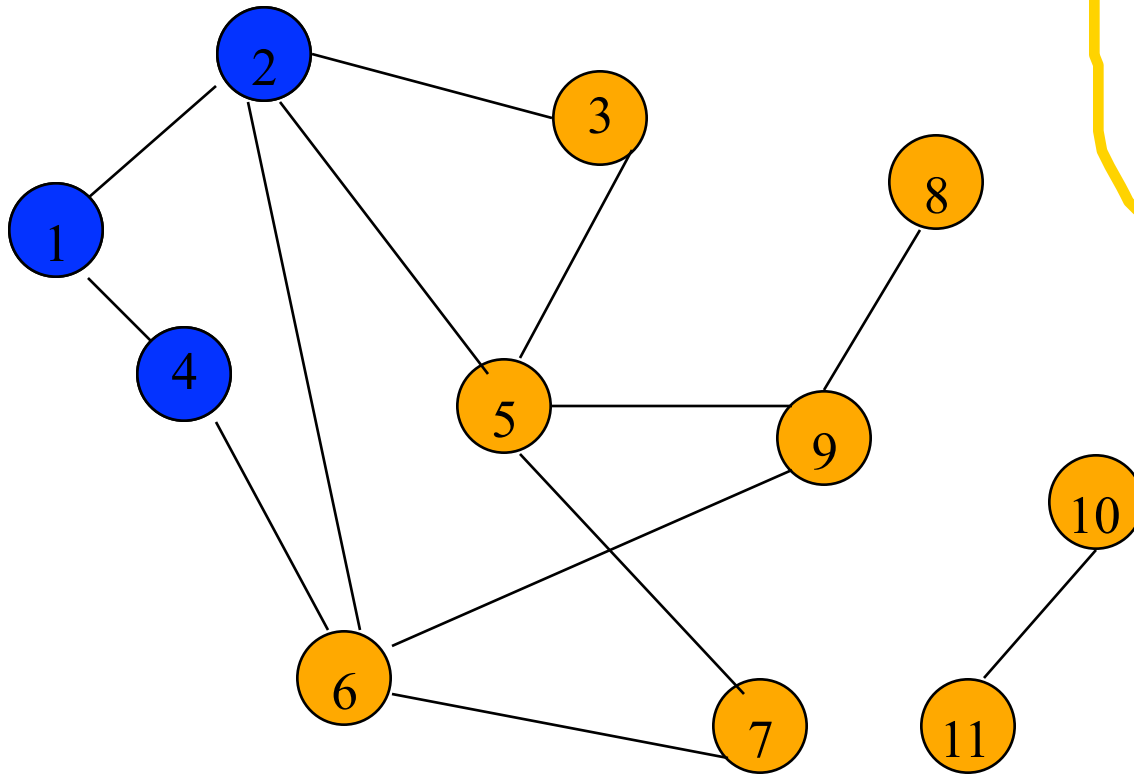


FIFO Queue

1

Remove 1 from  $Q$ ; visit adjacent unvisited vertices;  
put in  $Q$ .

# Breadth-First Search Example

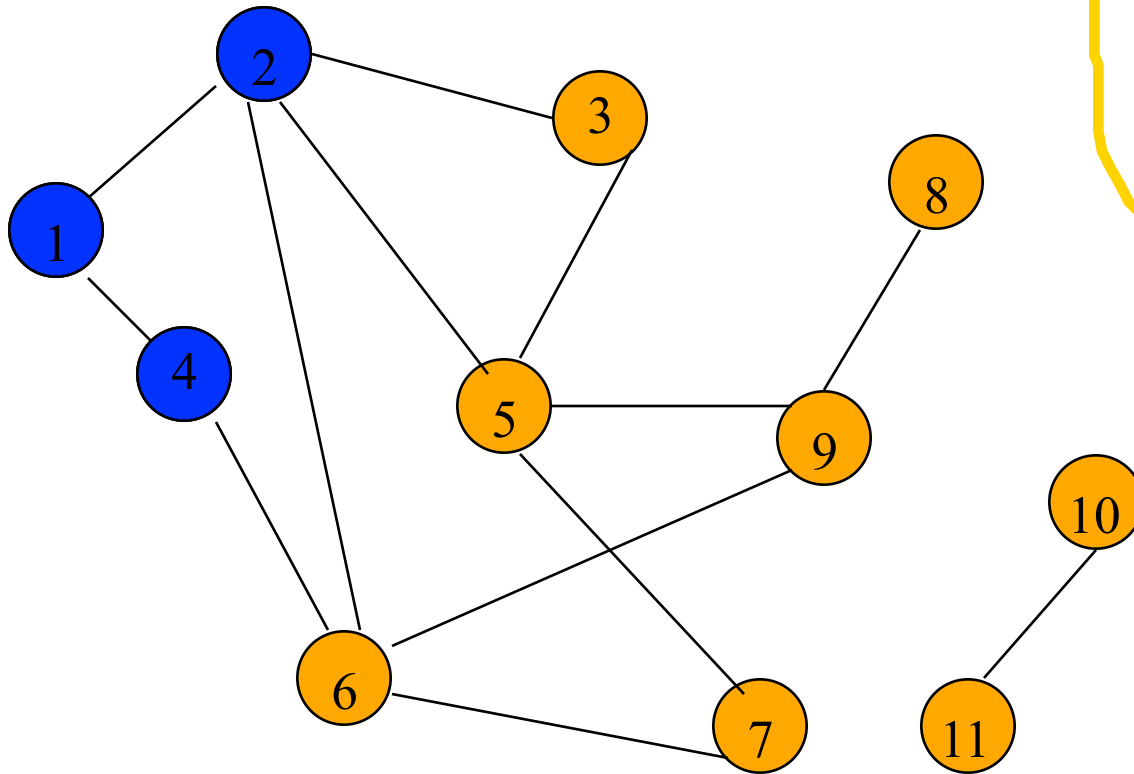


FIFO Queue

2 4

Remove **1** from **Q**; visit adjacent unvisited vertices;  
put in **Q**.

# Breadth-First Search Example

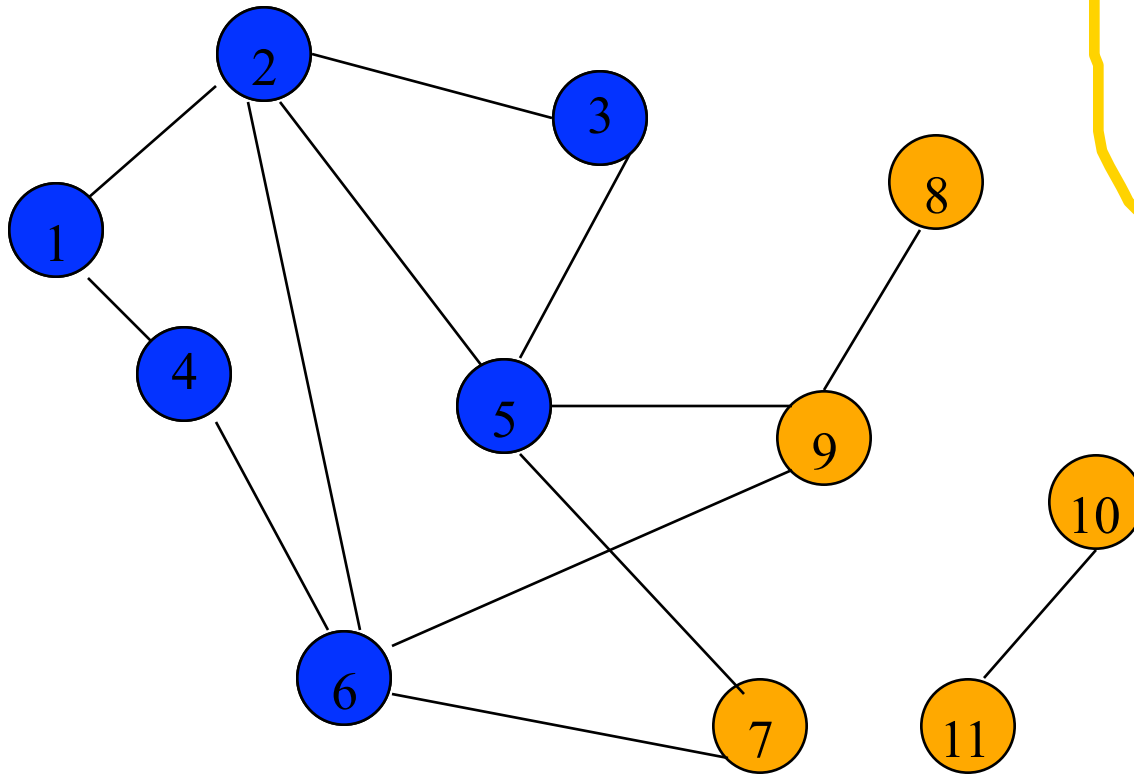


FIFO Queue

2 4

Remove 2 from  $Q$ ; visit adjacent unvisited vertices;  
put in  $Q$ .

# Breadth-First Search Example

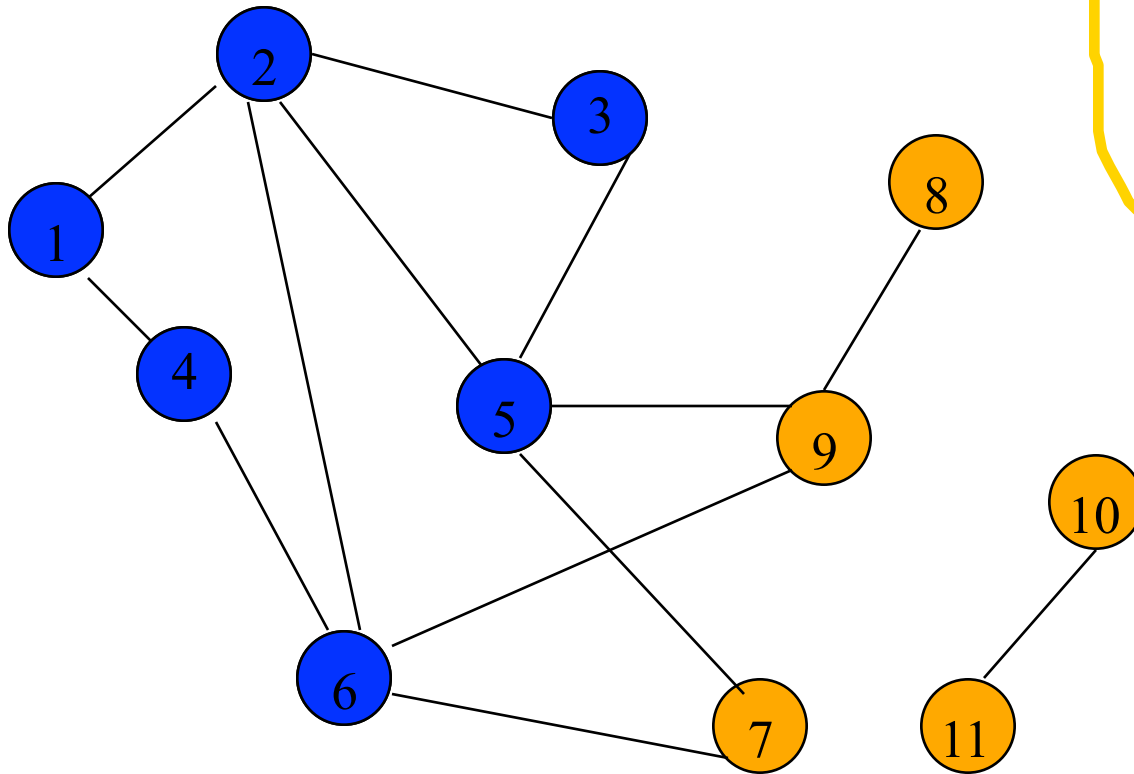


FIFO Queue

4 5 3 6

Remove **2** from **Q**; visit adjacent unvisited vertices;  
put in **Q**.

# Breadth-First Search Example



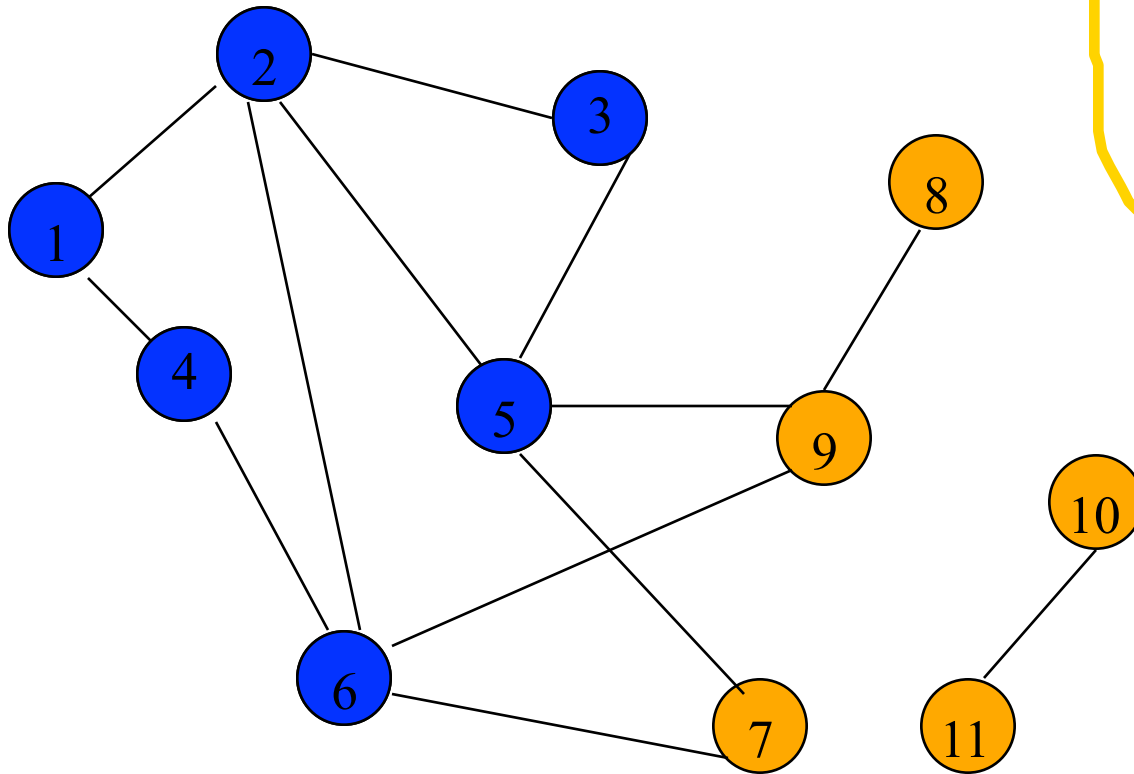
FIFO Queue

4 5 3 6

Remove 4 from  $Q$ ; visit adjacent unvisited vertices;  
put in  $Q$ .



# Breadth-First Search Example

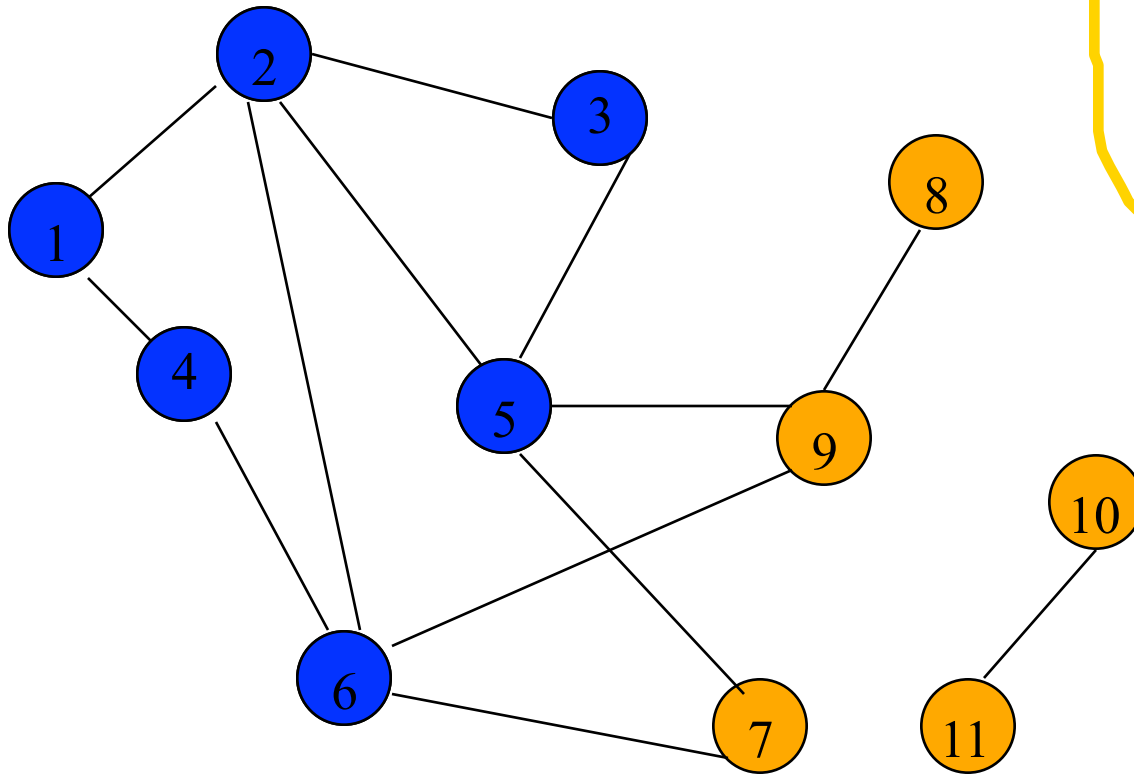


FIFO Queue

5 3 6

Remove 4 from  $Q$ ; visit adjacent unvisited vertices;  
put in  $Q$ .

# Breadth-First Search Example

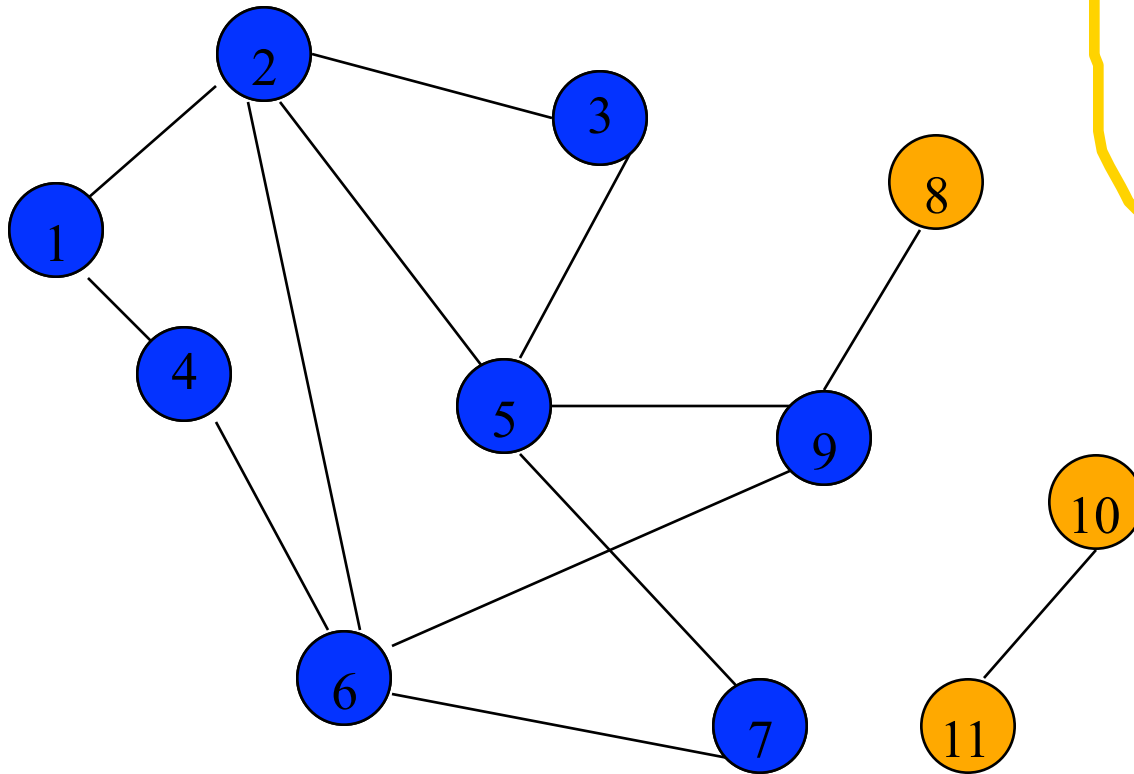


FIFO Queue

5 3 6

Remove **5** from **Q**; visit adjacent unvisited vertices;  
put in **Q**.

# Breadth-First Search Example

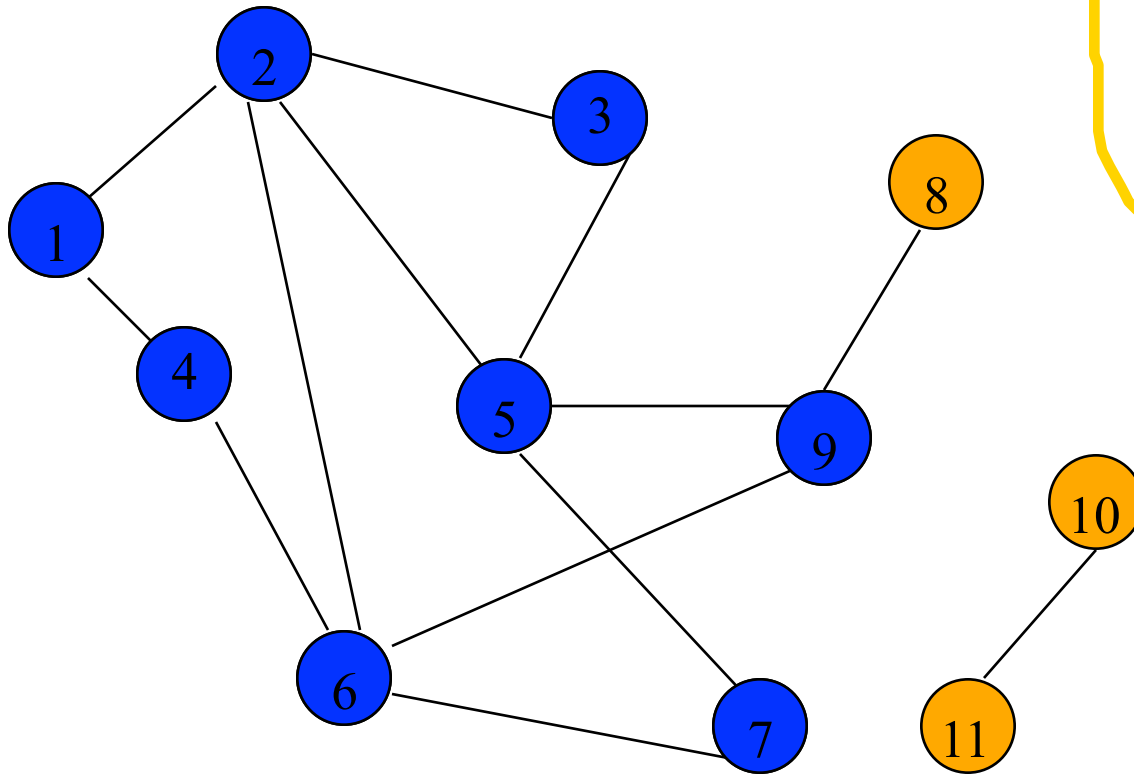


FIFO Queue

3 6 9 7

Remove **5** from **Q**; visit adjacent unvisited vertices;  
put in **Q**.

# Breadth-First Search Example

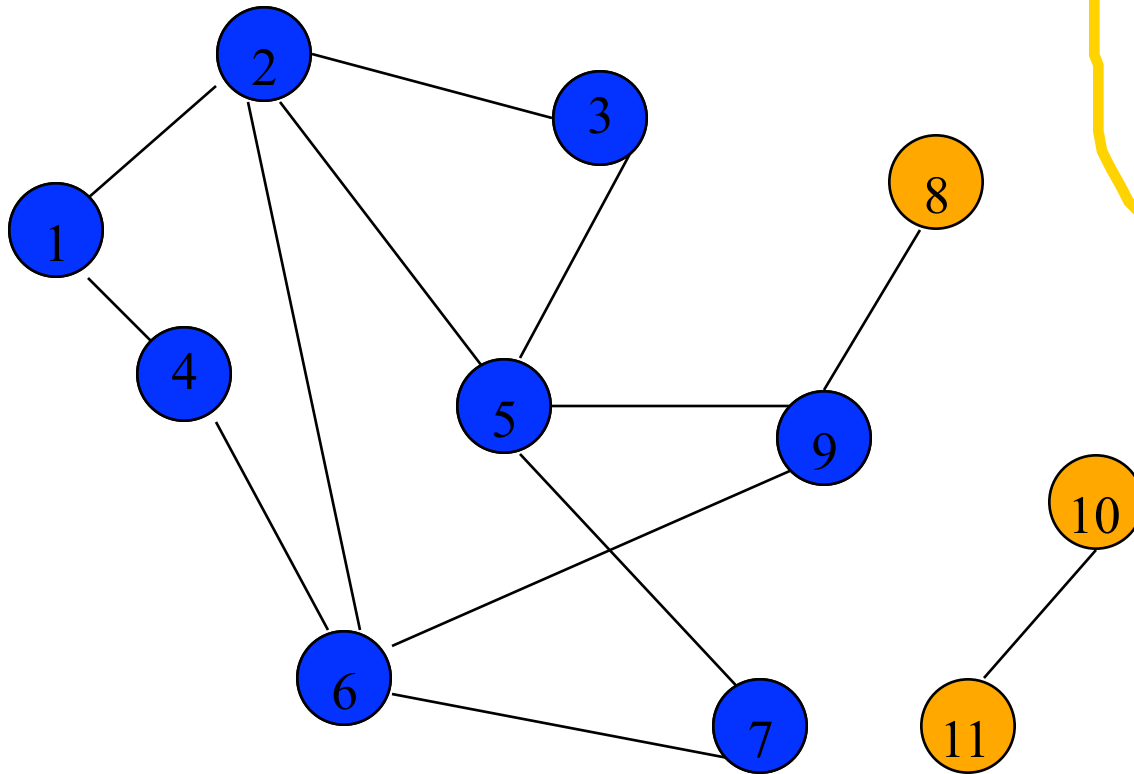


FIFO Queue

3 6 9 7

Remove 3 from  $Q$ ; visit adjacent unvisited vertices;  
put in  $Q$ .

# Breadth-First Search Example

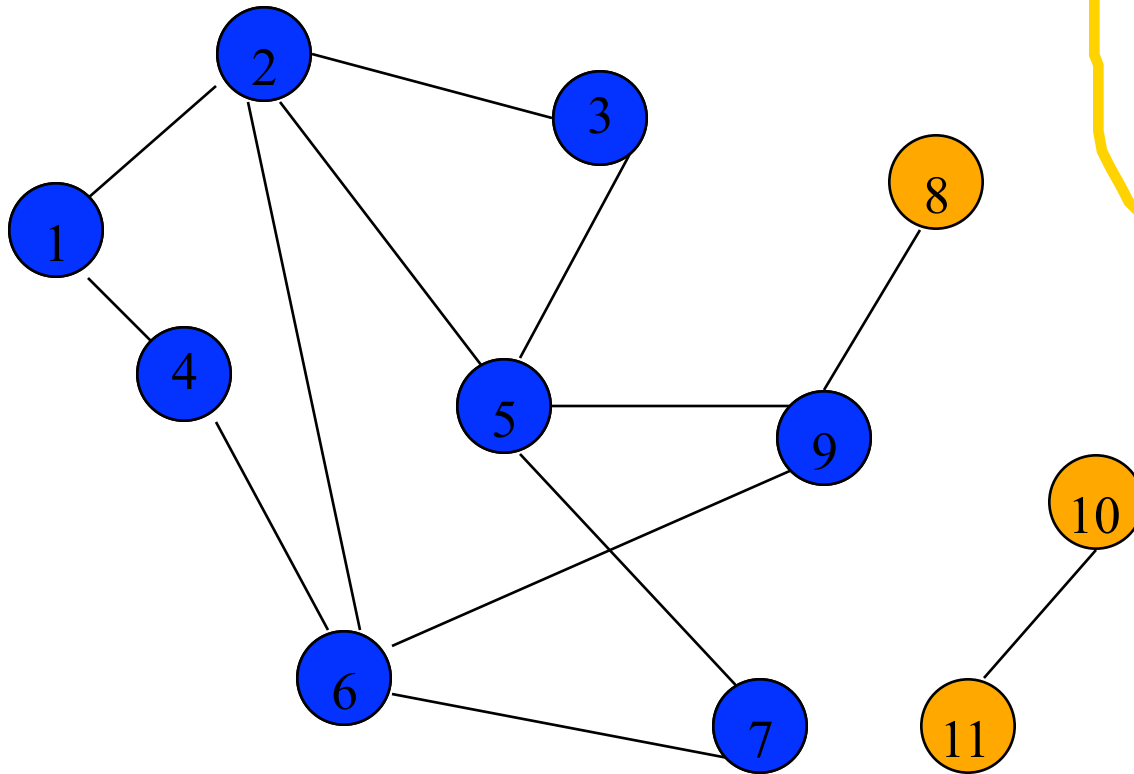


FIFO Queue

6 9 7

Remove 3 from  $Q$ ; visit adjacent unvisited vertices;  
put in  $Q$ .

# Breadth-First Search Example

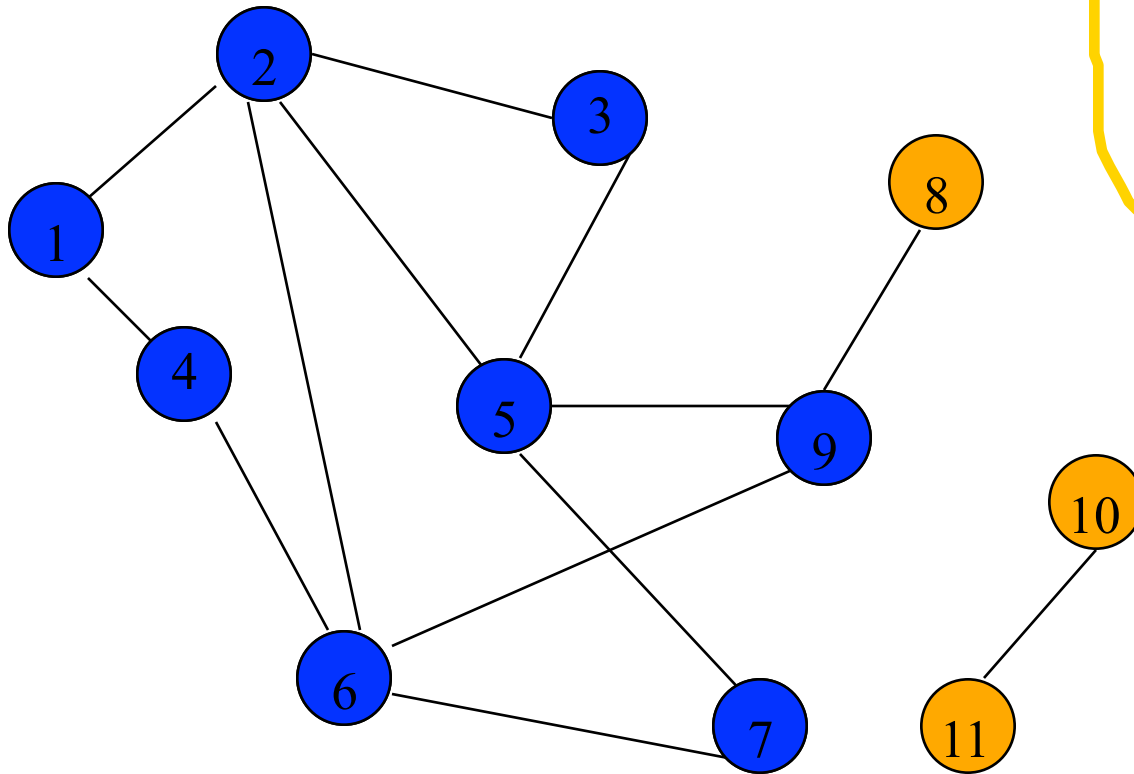


FIFO Queue

6 9 7

Remove **6** from **Q**; visit adjacent unvisited vertices;  
put in **Q**.

# Breadth-First Search Example

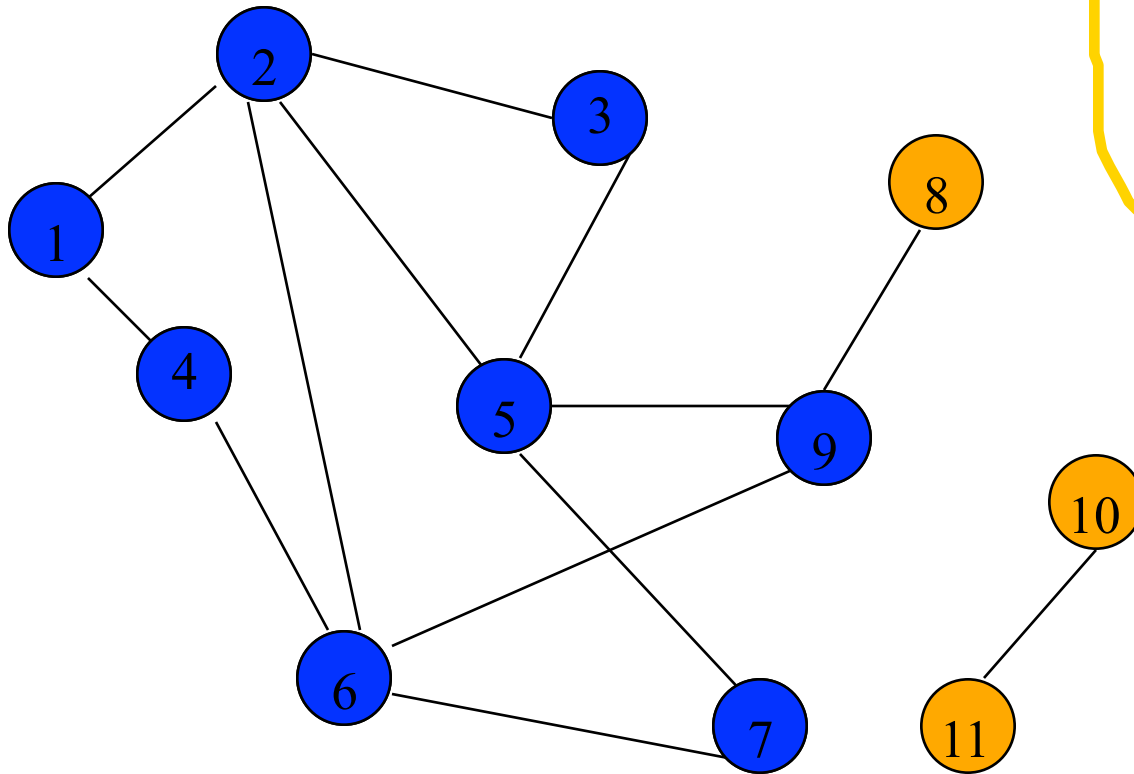


FIFO Queue

9 7

Remove **6** from **Q**; visit adjacent unvisited vertices;  
put in **Q**.

# Breadth-First Search Example



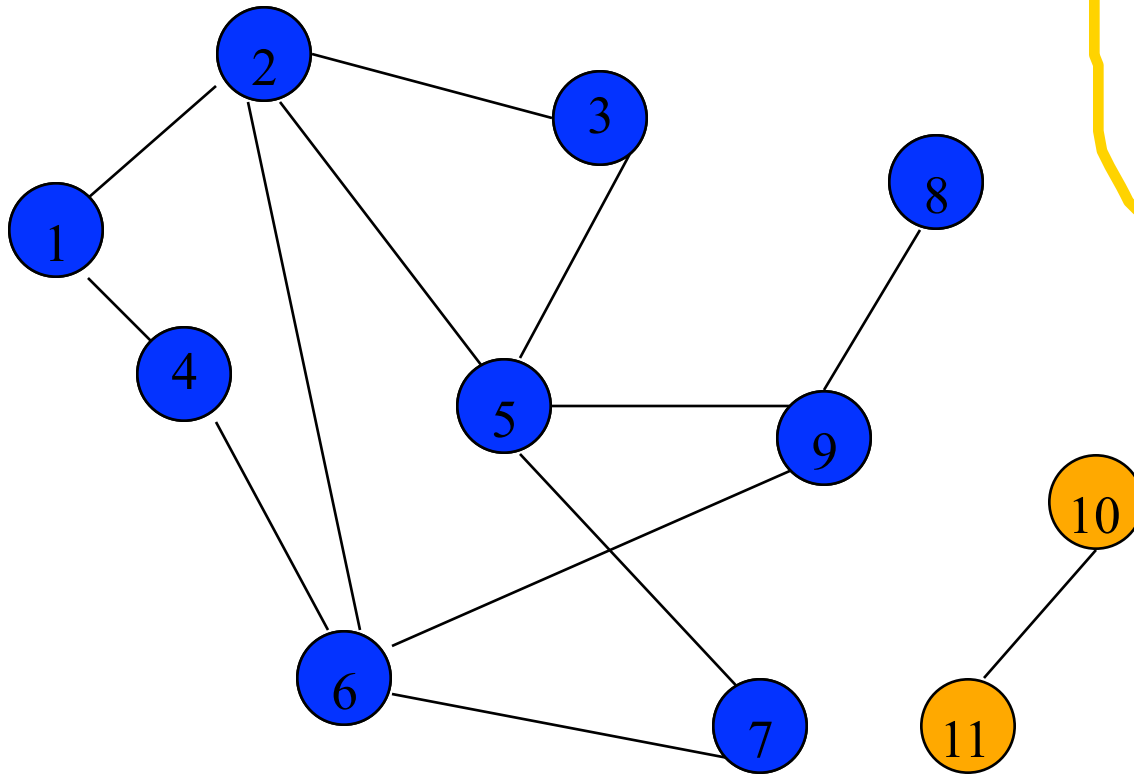
FIFO Queue

9 7

Remove 9 from  $Q$ ; visit adjacent unvisited vertices;  
put in  $Q$ .



# Breadth-First Search Example

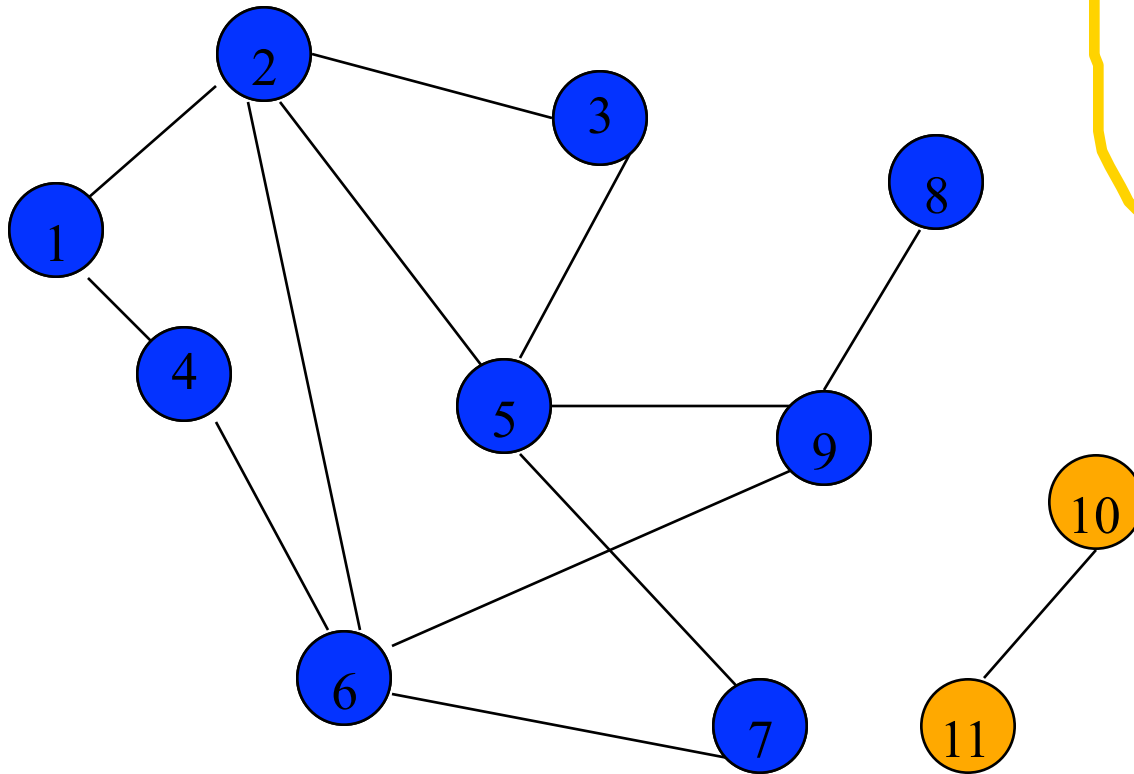


FIFO Queue

7 8

Remove 9 from  $Q$ ; visit adjacent unvisited vertices;  
put in  $Q$ .

# Breadth-First Search Example

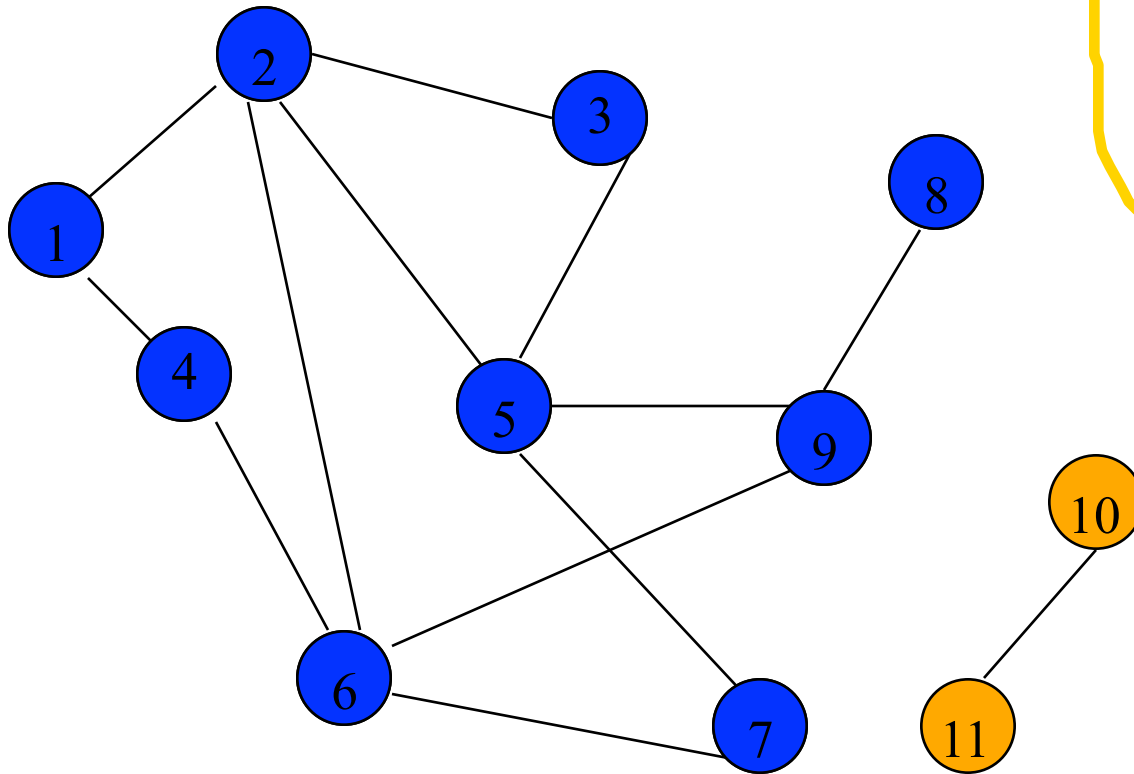


FIFO Queue

7 8

Remove **7** from **Q**; visit adjacent unvisited vertices;  
put in **Q**.

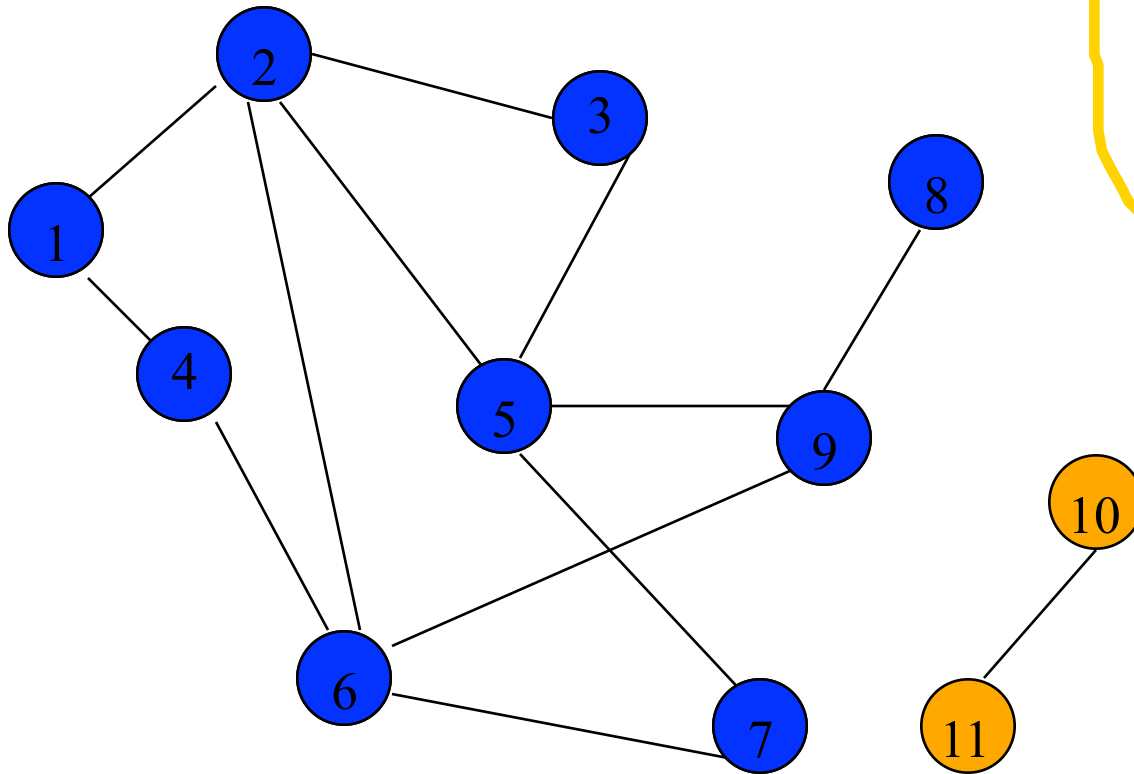
# Breadth-First Search Example



FIFO Queue  
8

Remove **7** from **Q**; visit adjacent unvisited vertices;  
put in **Q**.

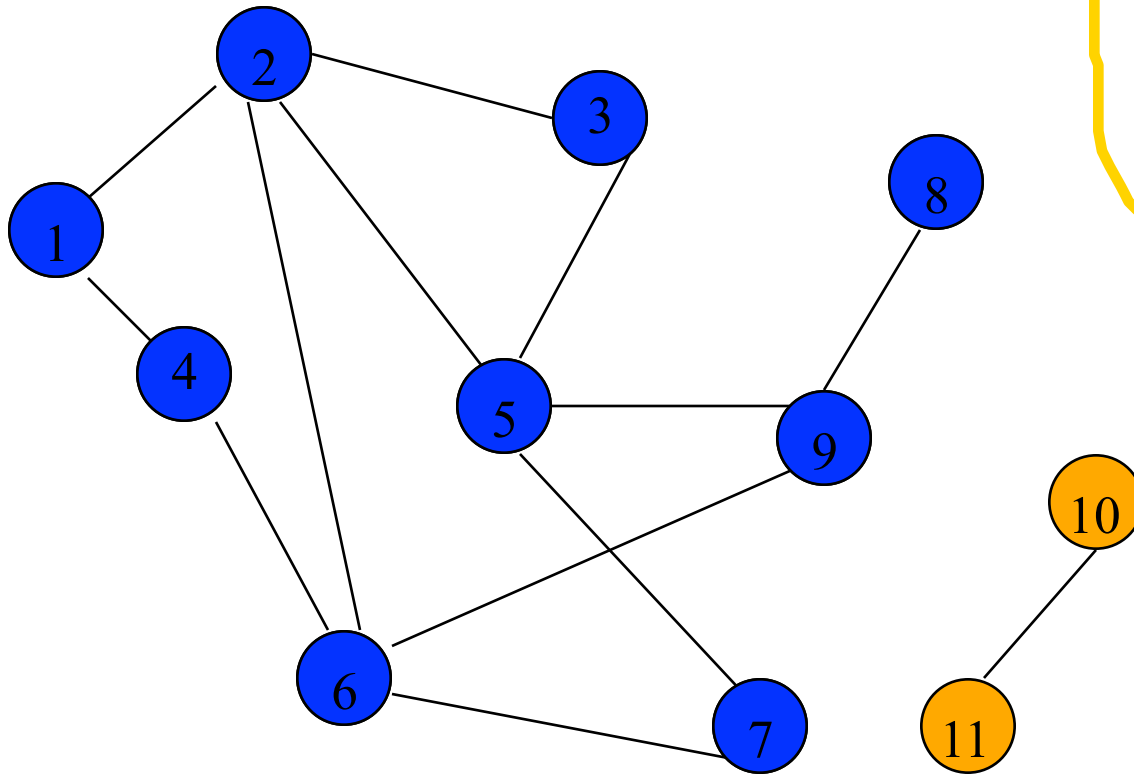
# Breadth-First Search Example



FIFO Queue  
8

Remove 8 from  $Q$ ; visit adjacent unvisited vertices;  
put in  $Q$ .

# Breadth-First Search Example



FIFO Queue

Queue is empty. Search terminates.

# Breadth-First Search Property

- All vertices reachable from the start vertex (including the start vertex) are visited.

- **virtual void** Graph::BFS (**int** v) {
- visited = **new bool**[n];   fill(visited, visited + n, **false**);
- visited[v] = **true**;
- Queue<**int**> q;
- q.Push(v);
- **while** ( !q.IsEmpty()) {
- v = q.Front();   q.Pop();
- **for** (all vertices w adjacent to v)
- **if** (!visited[w]) {
- visited[w] = **true**;
- q.Push(w);
- }
- }     // end of **while**
- **delete** [ ] visited;
- }

m	vertex1	vertex2	v1link	v2link
---	---------	---------	--------	--------

# Time Complexity



- Each visited vertex is put on (and so removed from) the queue exactly once.
- When a vertex is removed from the queue, we examine its adjacent vertices.
  - $O(n)$  if adjacency matrix used
  - $O(\text{vertex degree})$  if adjacency lists used
- Total time
  - $O(mn)$ , where  $m$  is number of vertices in the component that is searched (adjacency matrix)



# Time Complexity



- $O(n + \text{sum of component vertex degrees})$  (adj. lists)  
 $= O(n + \text{number of edges in component})$

# Path From Vertex $v$ To Vertex $u$

- Start a breadth-first search at vertex  $v$ .
- Terminate when vertex  $u$  is visited or when  $Q$  becomes empty (whichever occurs first).
- Time
  - $O(n^2)$  when adjacency matrix used
  - $O(n+e)$  when adjacency lists used ( $e$  is number of edges)

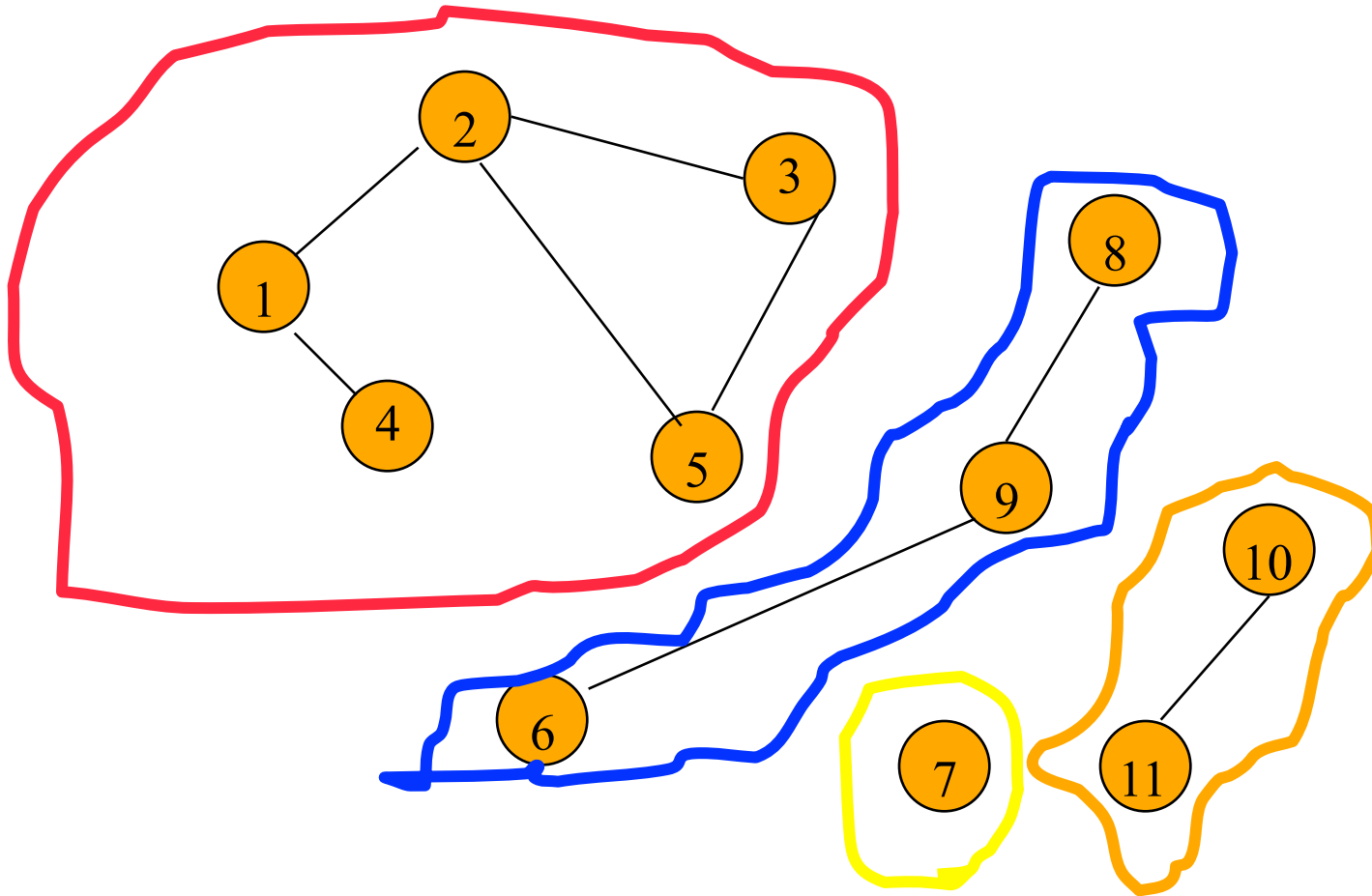
# Is The Graph Connected?

- Start a breadth-first search at any vertex of the graph.
- Graph is connected iff all  $n$  vertices get visited.
- Time
  - $O(n^2)$  when adjacency matrix used
  - $O(n+e)$  when adjacency lists used ( $e$  is number of edges)

# Connected Components

- Start a breadth-first search at any as yet unvisited vertex of the graph.
- Newly visited vertices (plus edges between them) define a component.
- Repeat until all vertices are visited.

# Connected Components



# Connected Components

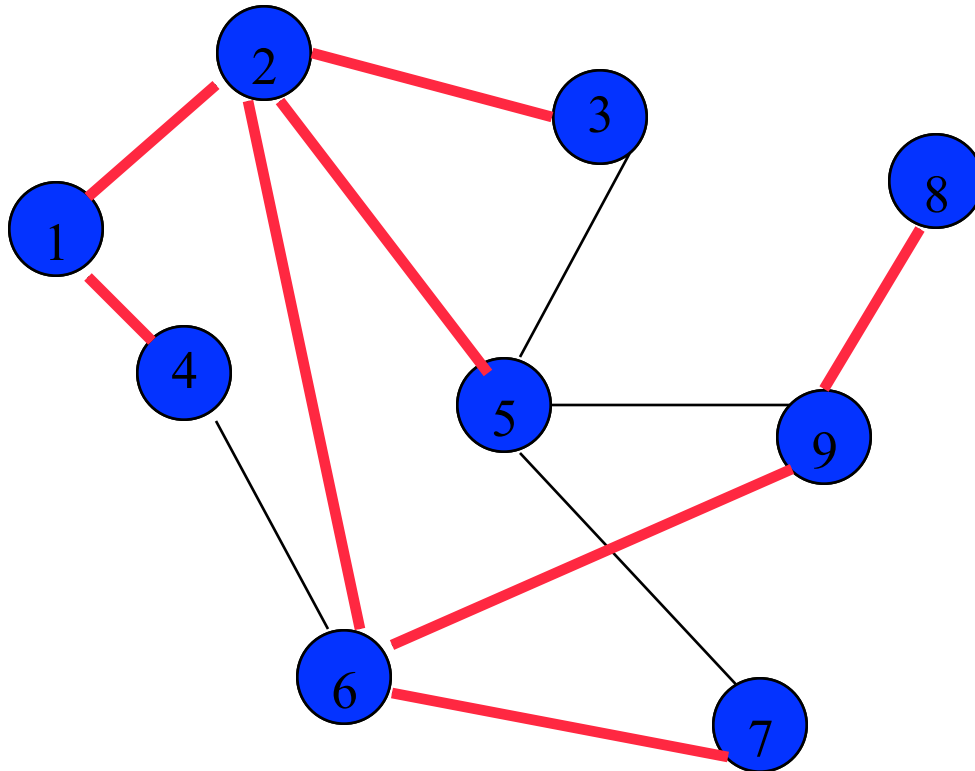
- **virtual void** Graph::Components(){
- **visited** = **new bool**[n];
- **fill**(visited, visited+n, **false**);
- **for** (**int** i=0; i<n; i++)
- **if** (!visited[i]) {
- BFS (i); // find a component
- OutputNewComponent();
- }
- **delete** [ ] visited;
- }

# Time Complexity



- $O(n^2)$  when adjacency matrix used
- $O(n+e)$  when adjacency lists used ( $e$  is number of edges)

# Spanning Tree



Breadth-first search from vertex **1**.

Breadth-first spanning tree.



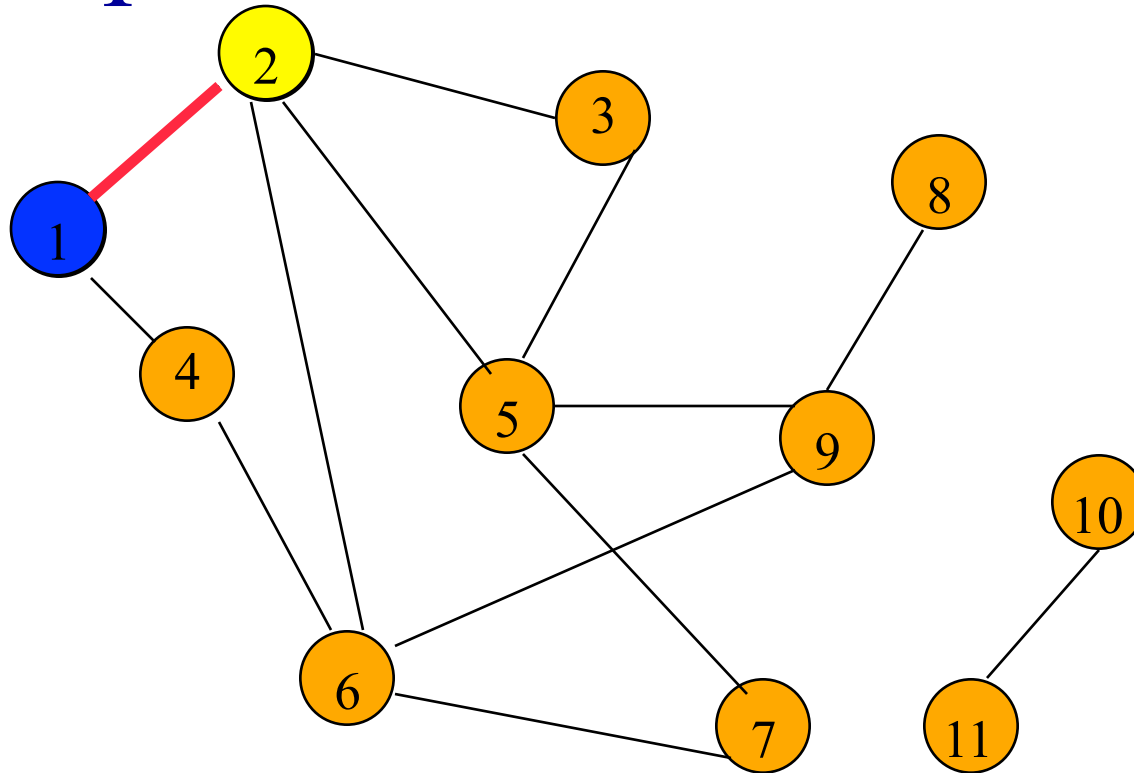
# Spanning Tree

- Start a breadth-first search at any vertex of the graph.
- If graph is connected, the  $n-1$  edges used to get to unvisited vertices define a spanning tree (breadth-first spanning tree).
- Time
  - $O(n^2)$  when adjacency matrix used
  - $O(n+e)$  when adjacency lists used ( $e$  is number of edges)

# Depth-First Search

```
depthFirstSearch(v)  
{  
    Label vertex v as reached.  
    for (each unreached vertex u  
        adjacent from v)  
        depthFirstSearch(u);  
}
```

# Depth-First Search Example

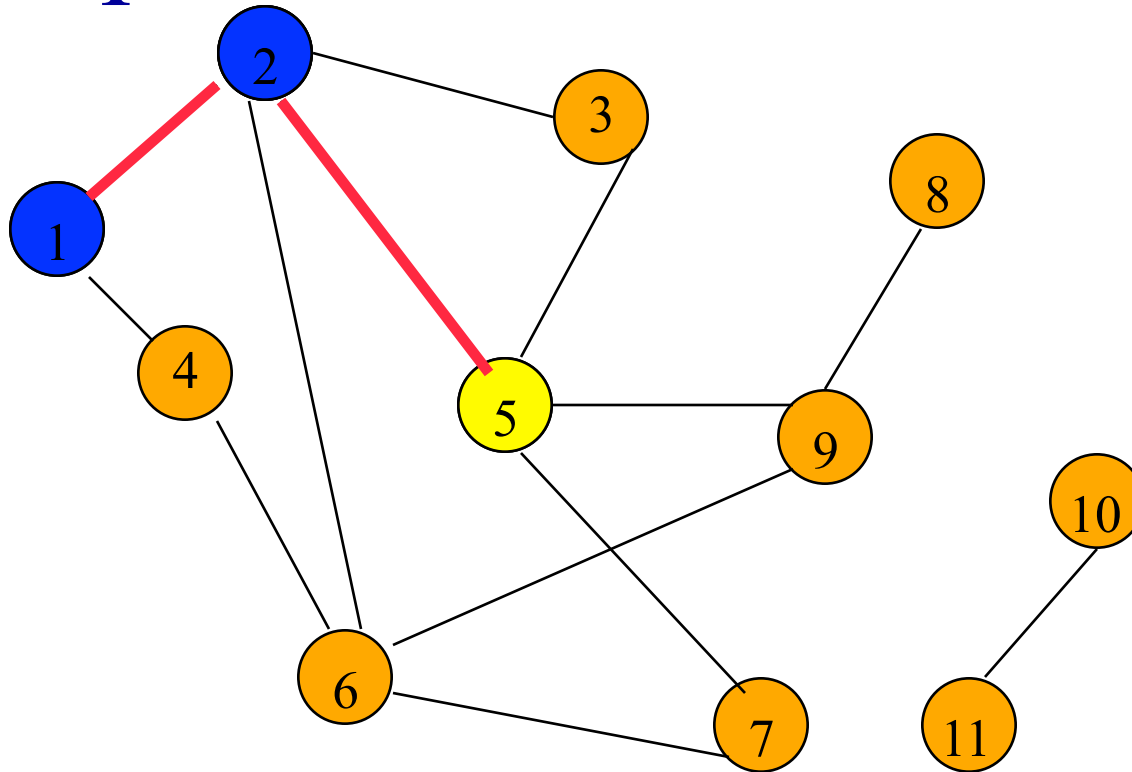


Start search at vertex **1**.

Label vertex **1** and do a depth first search  
from either **2** or **4**.

Suppose that vertex **2** is selected.

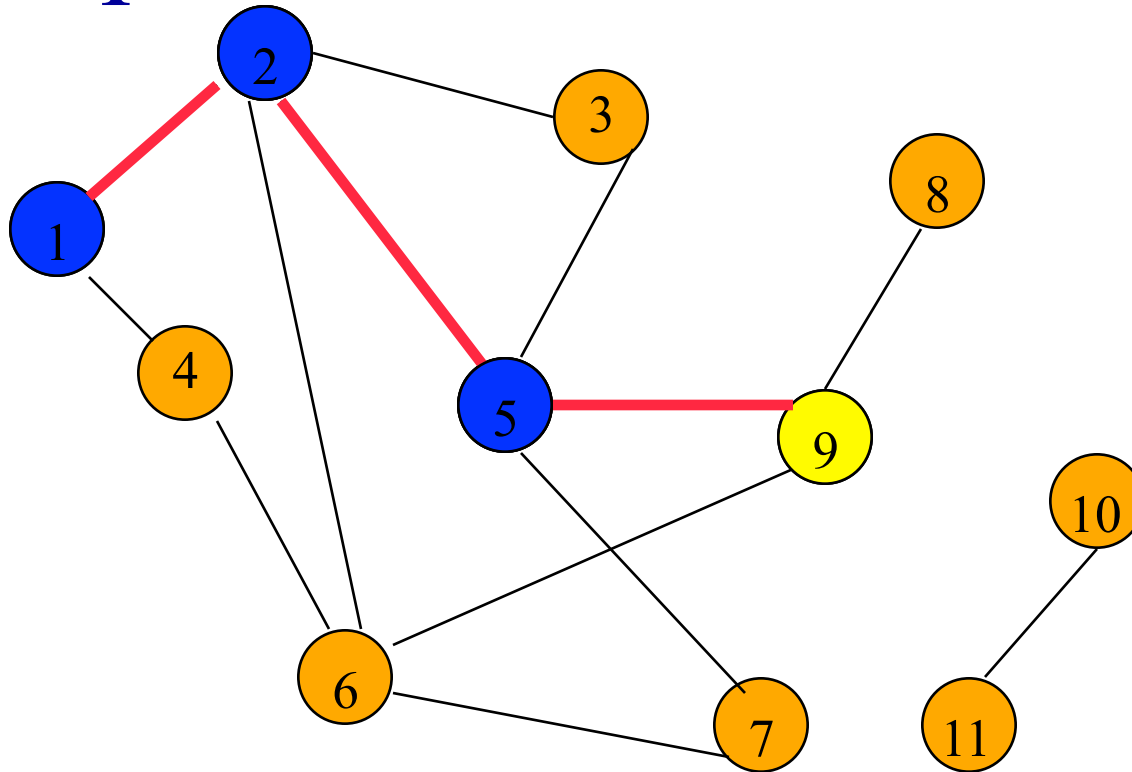
# Depth-First Search Example



Label vertex 2 and do a depth first search from either 3, 5, or 6.

Suppose that vertex **5** is selected.

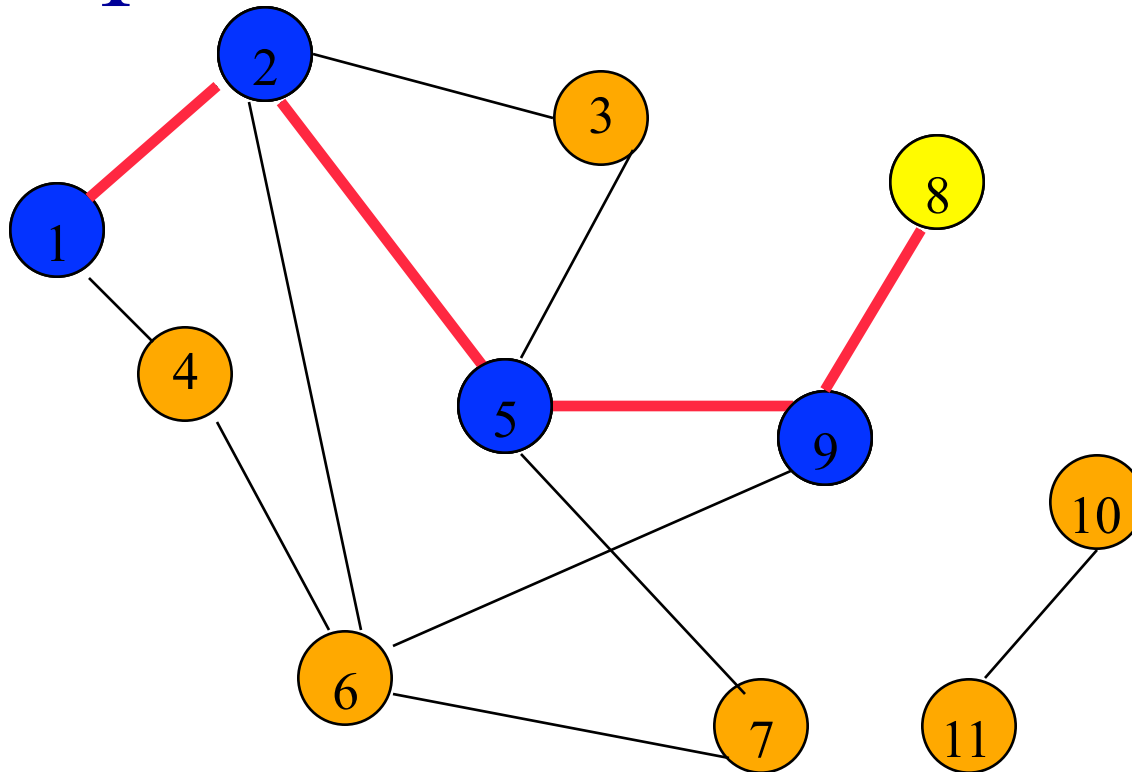
# Depth-First Search Example



Label vertex **5** and do a depth first search  
from either **3**, **7**, or **9**.

Suppose that vertex **9** is selected.

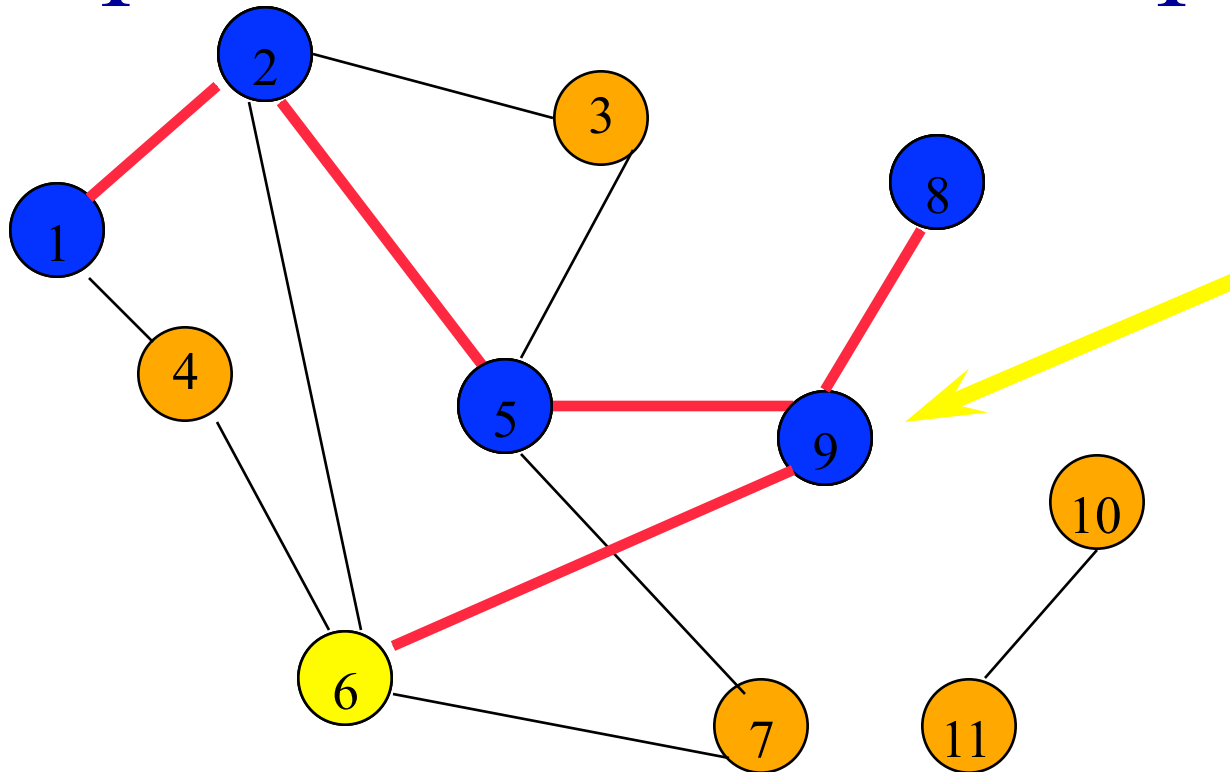
# Depth-First Search Example



Label vertex **9** and do a depth first search  
from either **6** or **8**.

Suppose that vertex **8** is selected.

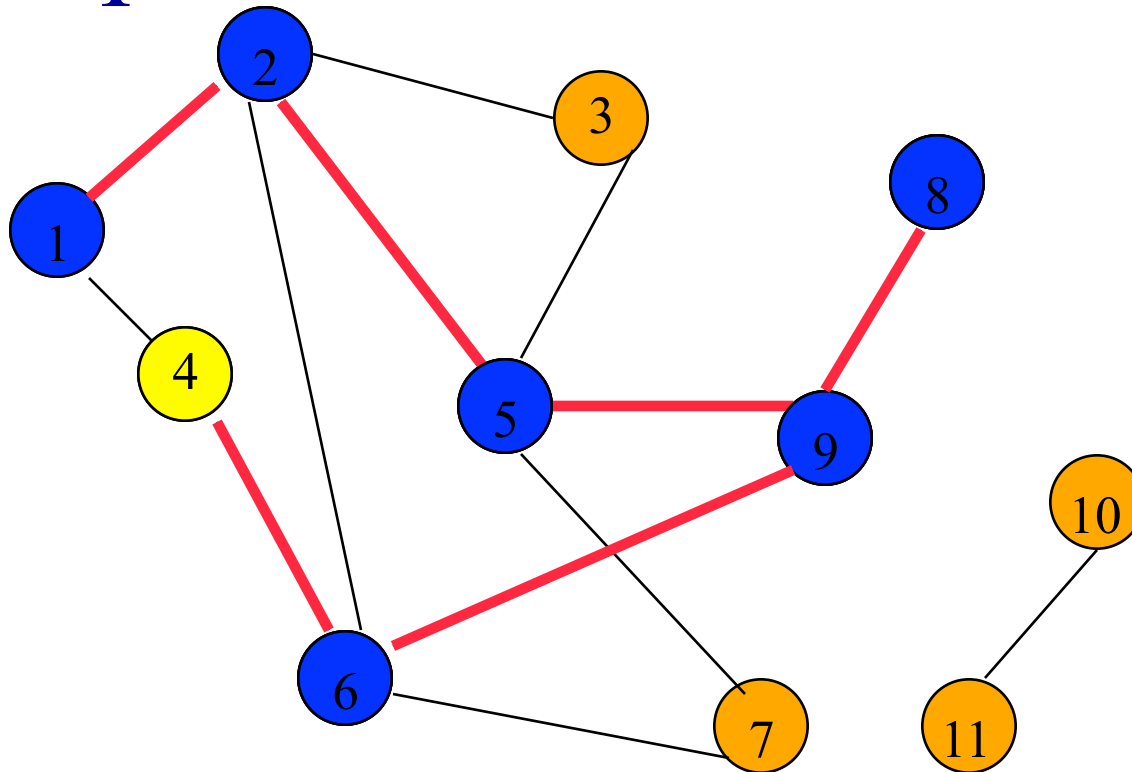
# Depth-First Search Example



Label vertex 8 and return to vertex 9.

From vertex 9 do a  $\text{dfs}(6)$ .

# Depth-First Search Example

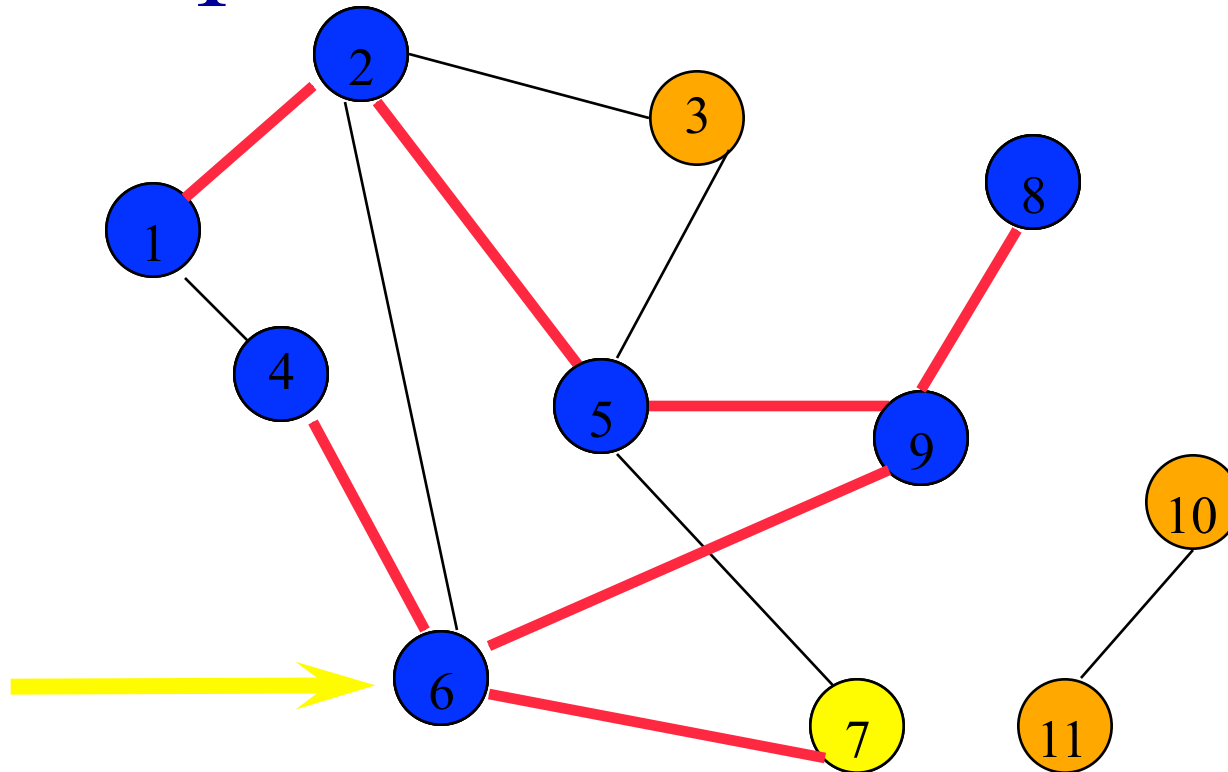


Label vertex **6** and do a depth first search from either **4** or **7**.

Suppose that vertex **4** is selected.



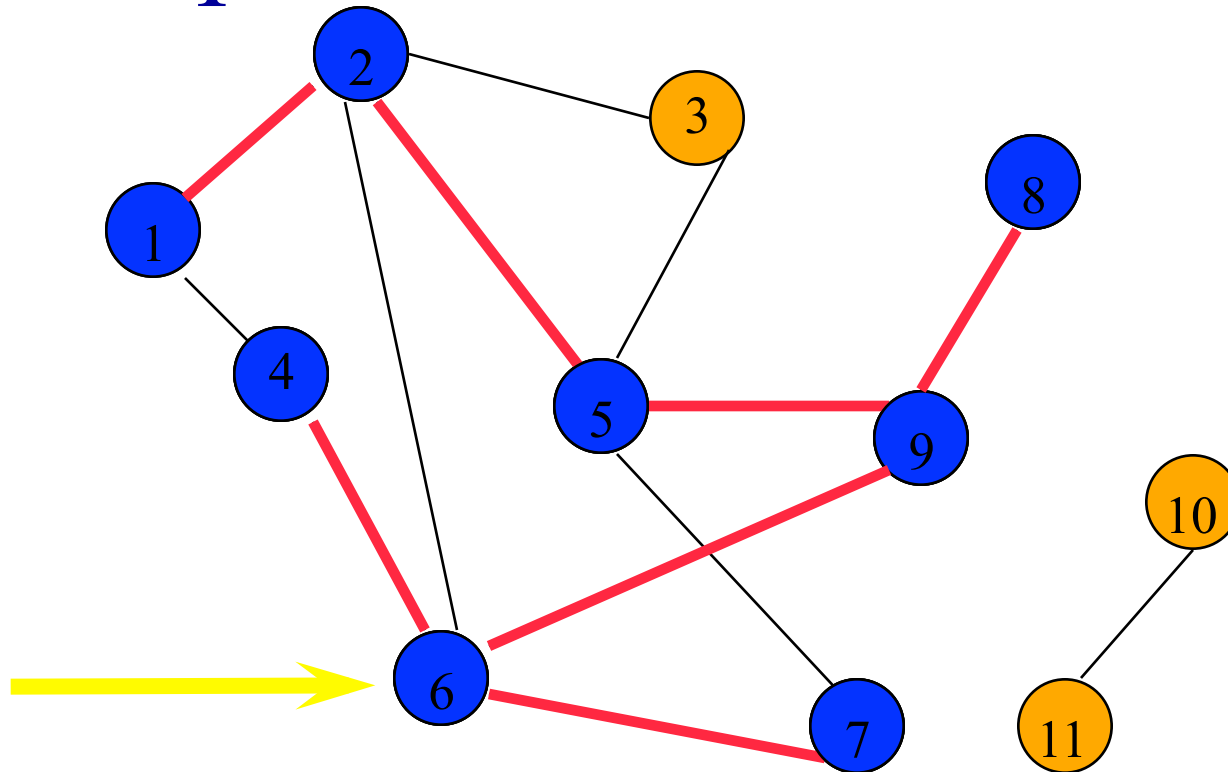
# Depth-First Search Example



Label vertex 4 and return to 6.

From vertex 6 do a  $\text{dfs}(7)$ .

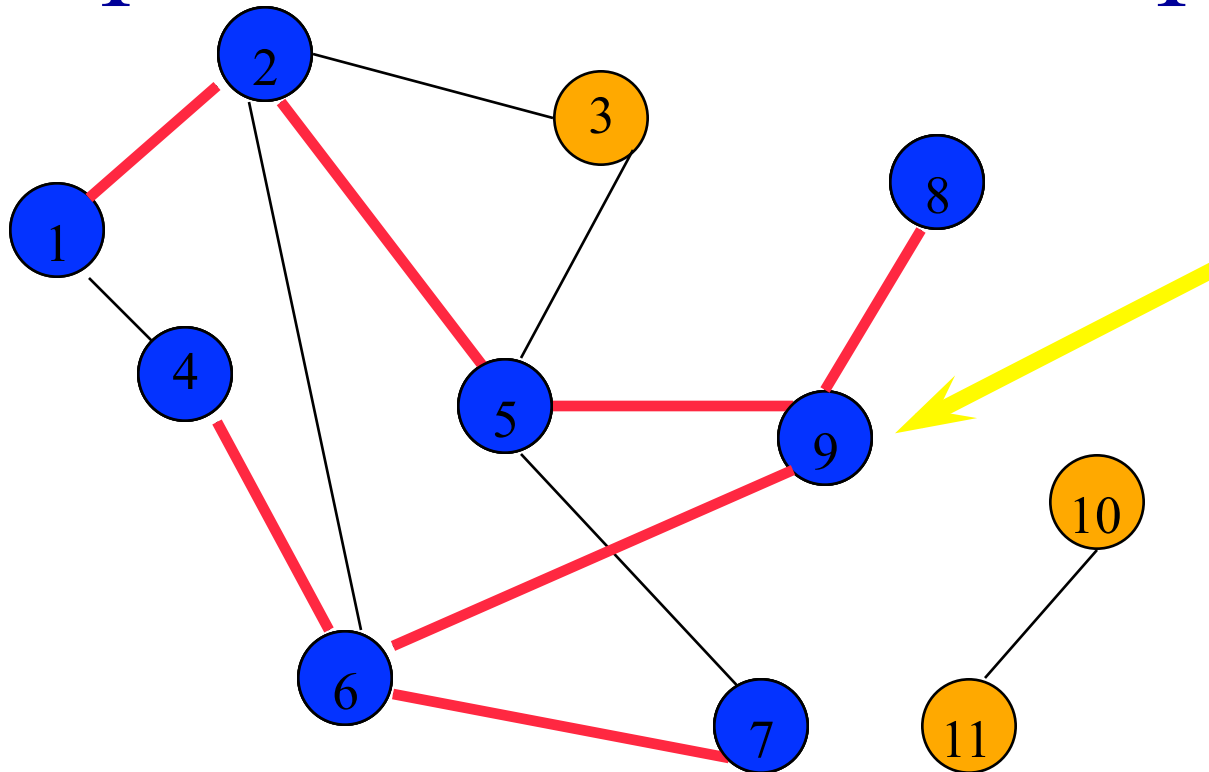
# Depth-First Search Example



Label vertex **7** and return to **6**.

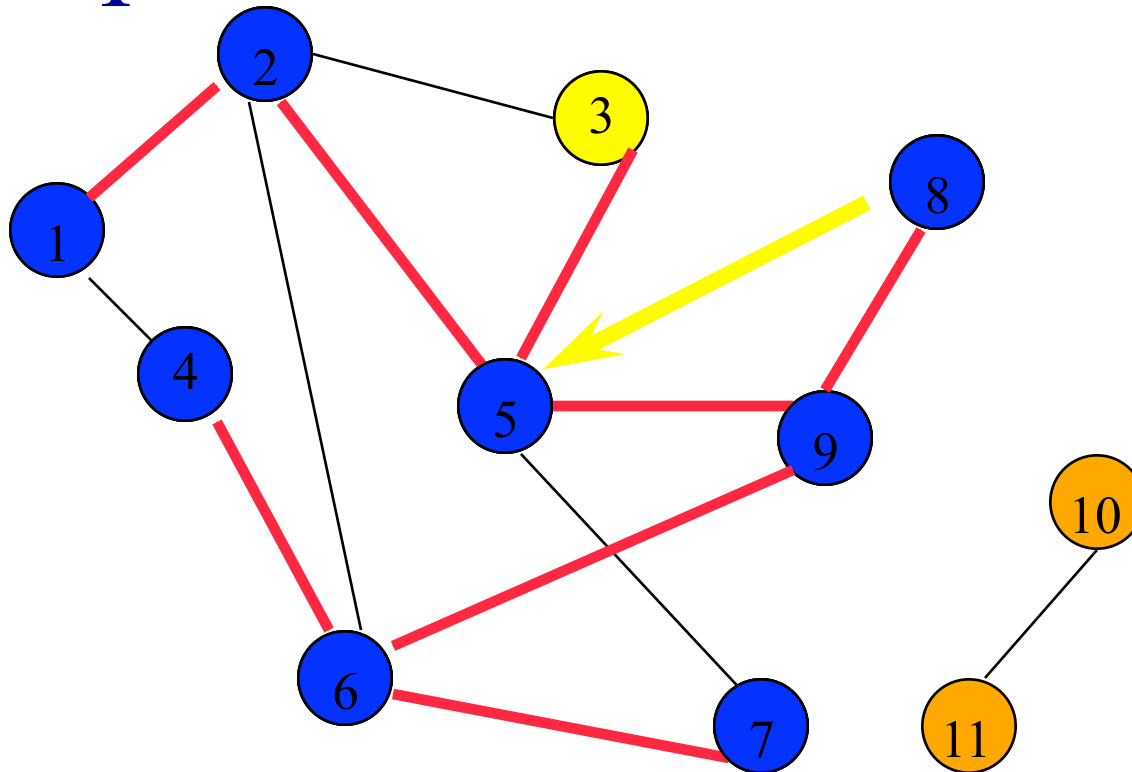
Return to **9**.

# Depth-First Search Example



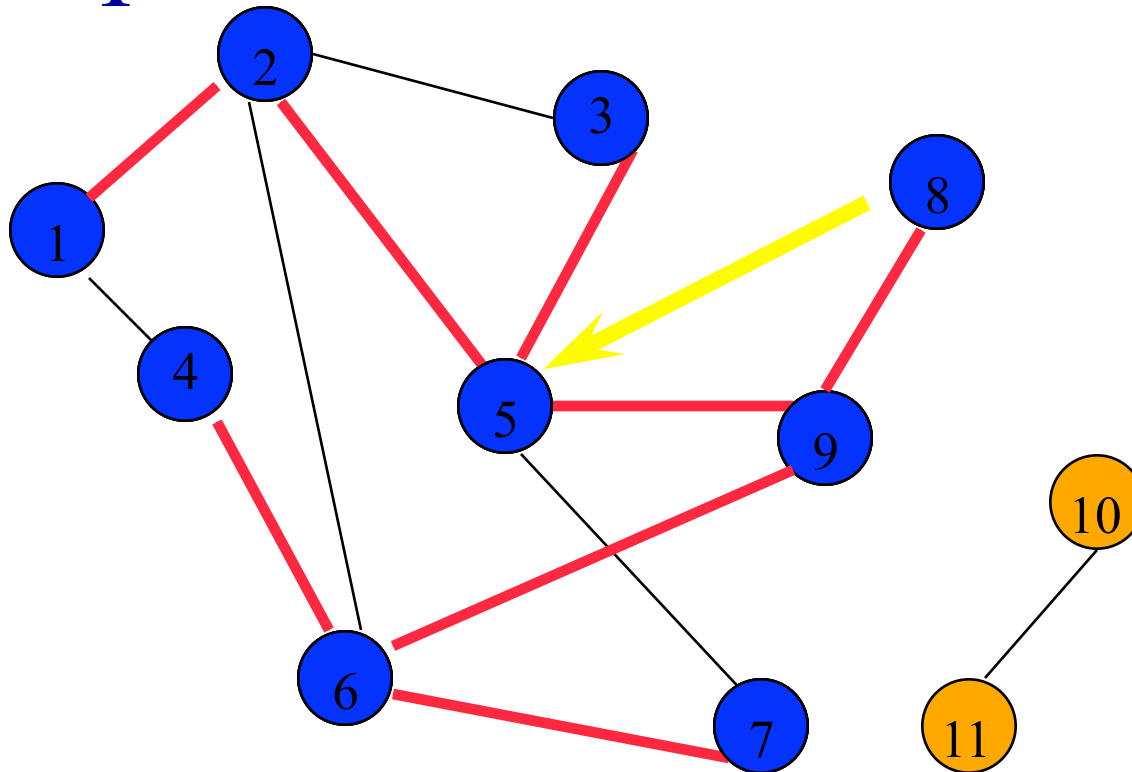
Return to 5.

# Depth-First Search Example



Do a  $\text{dfs}(3)$ .

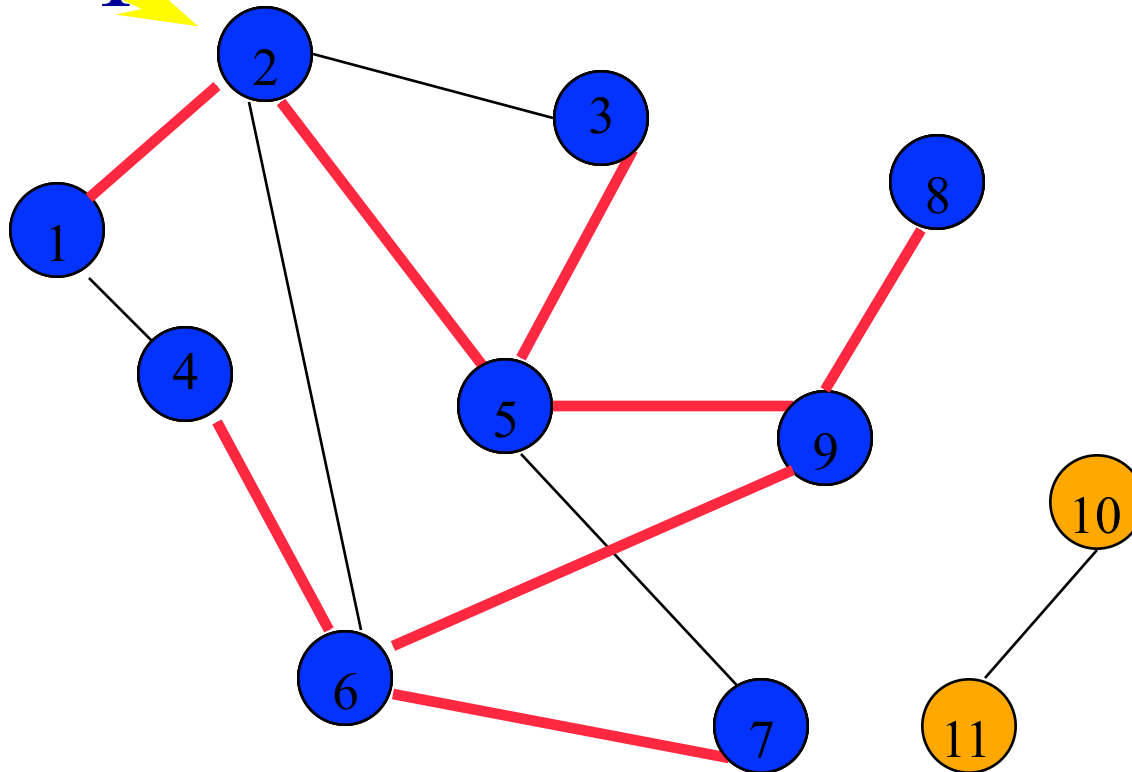
# Depth-First Search Example



Label **3** and return to **5**.

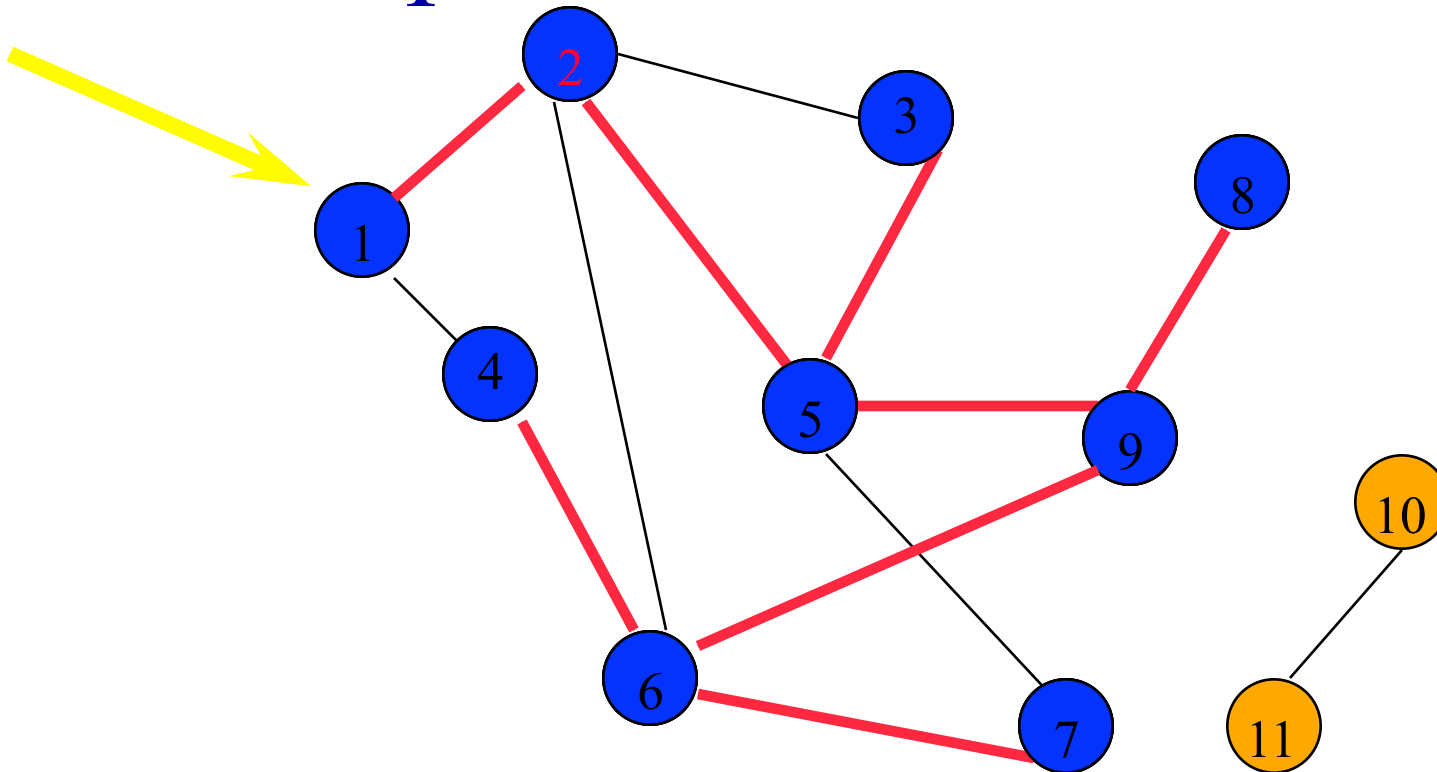
Return to **2**.

# Depth-First Search Example



Return to 1.

# Depth-First Search Example



Return to invoking method.

# Depth-First Search Properties

- Same complexity as BFS.
- Same properties with respect to path finding, connected components, and spanning trees.
- Edges used to reach unlabeled vertices define a depth-first spanning tree when the graph is connected.
- There are problems for which bfs is better than dfs and vice versa.

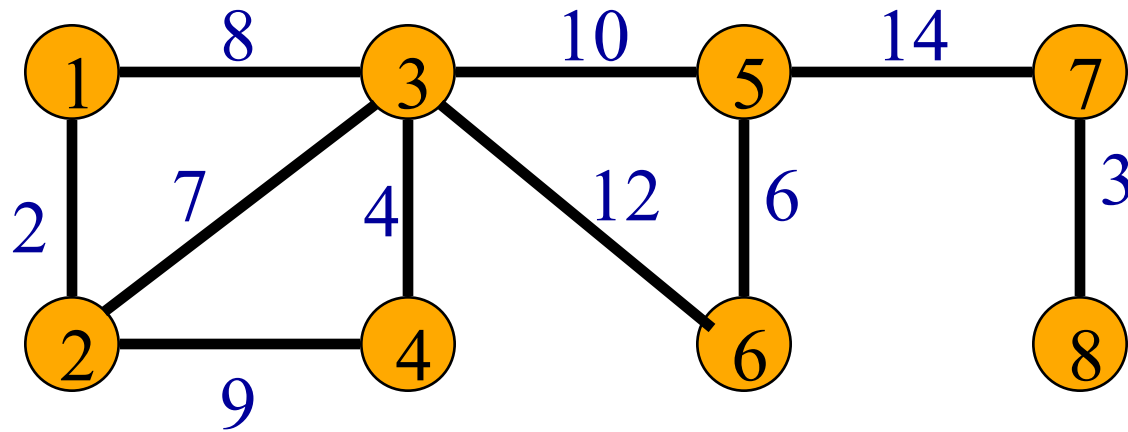


- **Exercises:** P352-3, 5, 6

# Minimum-Cost Spanning Tree

- weighted connected undirected graph
- spanning tree
- cost of spanning tree is sum of edge costs
- find spanning tree that has minimum cost

# Example



- Network has 10 edges.
- Spanning tree has only  $n - 1 = 7$  edges.
- Need to either select 7 edges or discard 3.

# Greedy Method

- Solve problem by making a sequence of decisions.
- Decisions are made one by one in some order.
- Each decision is made using a greedy criterion.
- A decision, once made, is (usually) not changed later.

# Edge Selection Greedy Strategies

- Start with an **n**-vertex **0**-edge forest.  
Consider edges in ascending order of cost.  
Select edge if it does not form a cycle together with already selected edges.
  - Kruskal's method.
- Start with a **1**-vertex tree and grow it into an **n**-vertex tree by repeatedly adding a vertex and an edge. When there is a choice, add a least cost edge.
  - Prim's method.

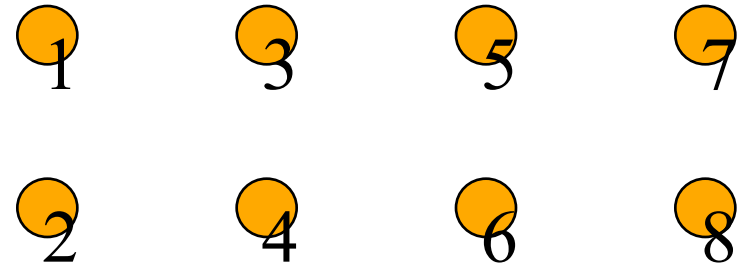
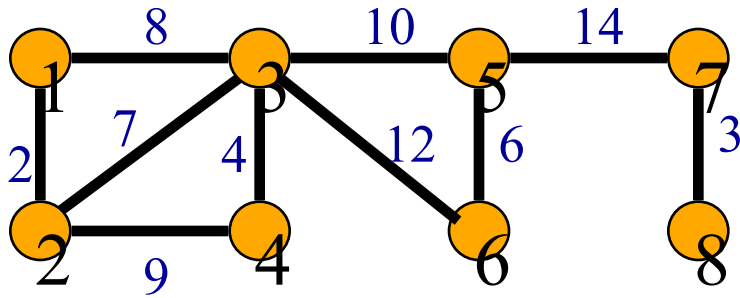
# Edge Selection Greedy Strategies

- Start with an **n**-vertex forest. Each component/tree selects a least cost edge to connect to another component/tree. Eliminate duplicate selections and possible cycles. Repeat until only **1** component/tree is left.
  - Sollin's method.

# Edge Rejection Greedy Strategies

- Start with the connected graph. Repeatedly find a cycle and eliminate the highest cost edge on this cycle. Stop when no cycles remain.
- Consider edges in descending order of cost. Eliminate an edge provided this leaves behind a connected graph.

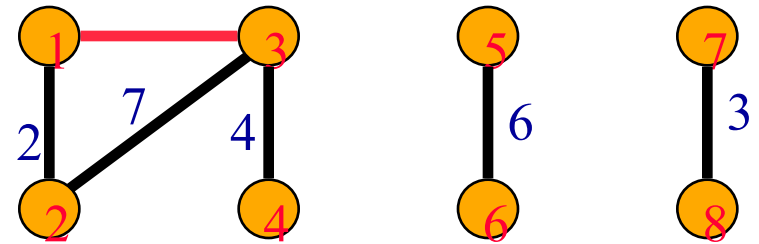
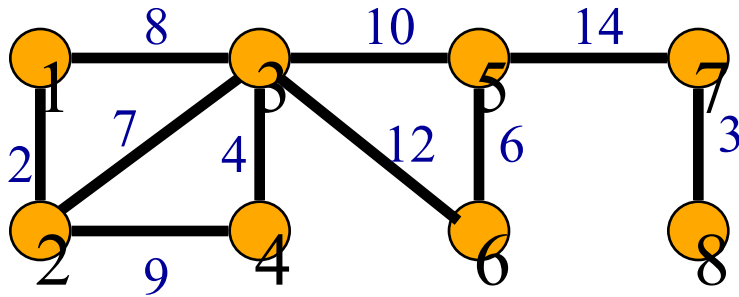
# Kruskal's Method



- Start with a forest that has no edges.
- Consider edges in ascending order of cost.
- Edge (1,2) is considered first and added to the forest.

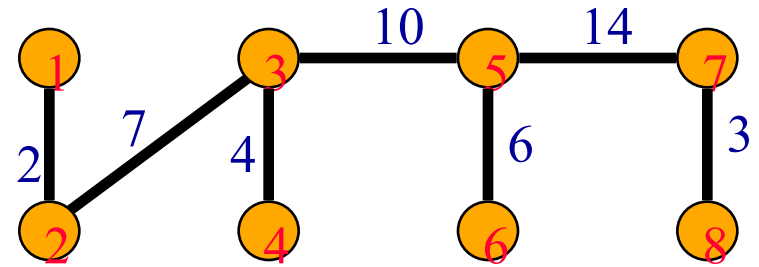
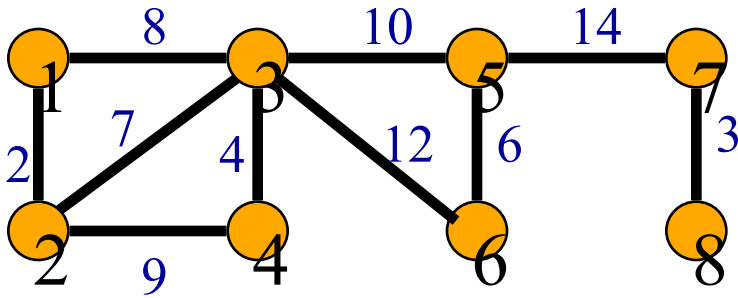


# Kruskal's Method



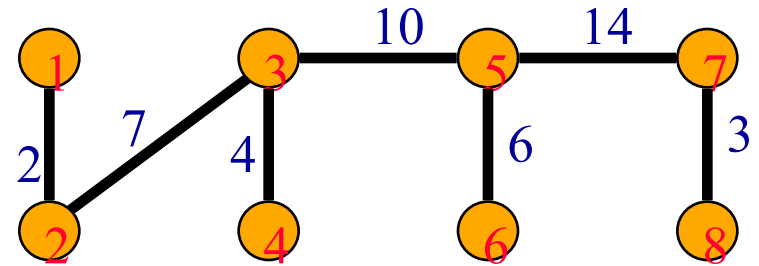
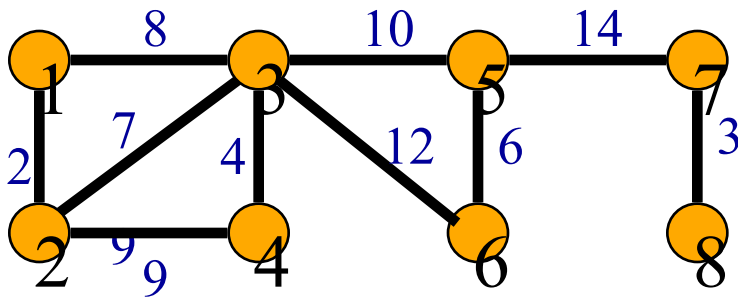
- Edge (7,8) is considered next and added.
- Edge (3,4) is considered next and added.
- Edge (5,6) is considered next and added.
- Edge (2,3) is considered next and added.
- Edge (1,3) is considered next and rejected because it creates a cycle.

# Kruskal's Method



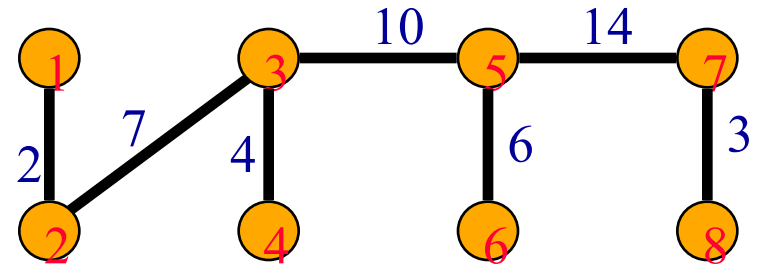
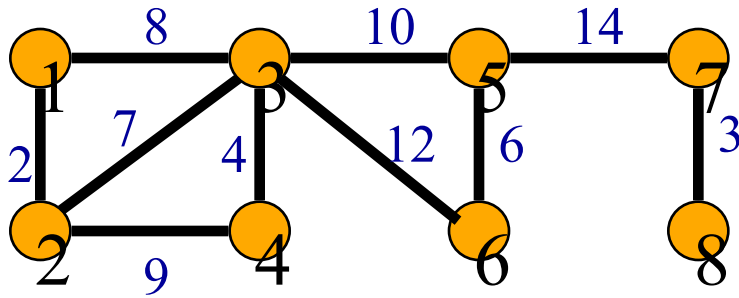
- Edge (2,4) is considered next and rejected because it creates a cycle.
- Edge (3,5) is considered next and added.
- Edge (3,6) is considered next and rejected.
- Edge (5,7) is considered next and added.

# Kruskal's Method



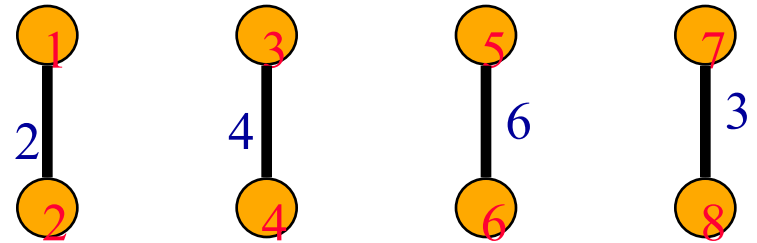
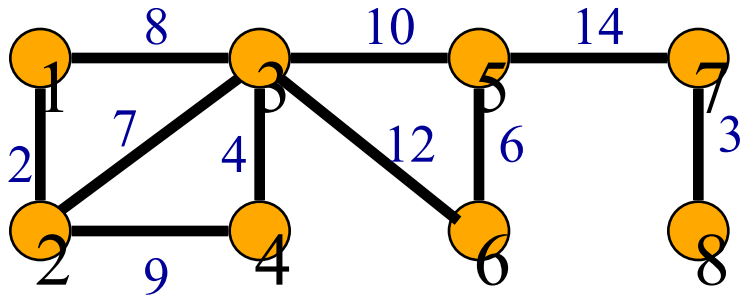
- $n - 1$  edges have been selected and no cycle formed.
- So we must have a spanning tree.
- Cost is 46.
- Min-cost spanning tree is unique when all edge costs are different.

# Prim's Method



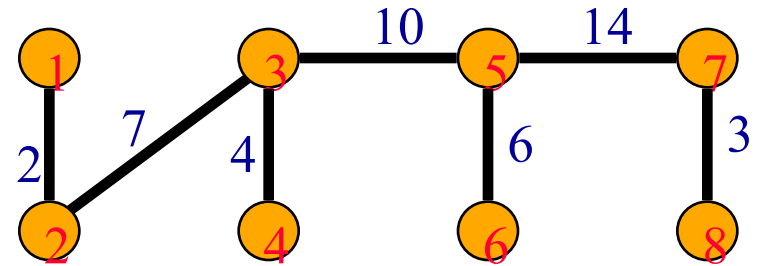
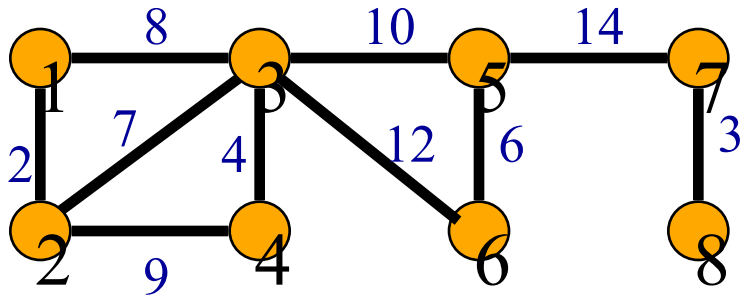
- Start with any single vertex tree.
- Get a **2**-vertex tree by adding a cheapest edge.
- Get a **3**-vertex tree by adding a cheapest edge.
- Grow the tree one edge at a time until the tree has  **$n - 1$**  edges (and hence has all  **$n$**  vertices).

# Sollin's Method



- Start with a forest that has no edges.
- Each component selects a least cost edge with which to connect to another component.
- Duplicate selections are eliminated.
- Cycles are possible when the graph has

# Sollin's Method



- Each component that remains selects a least cost edge with which to connect to another component.
- Beware of duplicate selections and cycles.

# Greedy Minimum-Cost Spanning Tree Methods

- Can prove that all result in a minimum-cost spanning tree.
- See Text Book

# Pseudocode For Kruskal's Method

Start with an empty set  $T$  of edges.

```
while ( $E$  is not empty &&  $|T| \neq n-1$ )  
{  
    Let  $(u,v)$  be a least-cost edge in  $E$ .  
     $E = E - \{(u,v)\}$ . // delete edge from  $E$   
    if  $((u,v)$  does not create a cycle in  $T$ )  
        Add edge  $(u,v)$  to  $T$ .  
}  
if ( $|T| == n-1$ )  $T$  is a min-cost spanning tree.  
else Network has no spanning tree.
```



# Data Structures For Kruskal's Method

Edge set  $E$ .

Operations are:

- Is  $E$  empty?
- Select and remove a least-cost edge.

Use a min heap of edges.

- Initialize.  $O(e)$  time.
- Remove and return least-cost edge.  $O(\log e)$  time.

# Data Structures For Kruskal's Method

Set of selected edges  $T$ .

Operations are:

- Does  $T$  have  $n - 1$  edges?
- Does the addition of an edge  $(u, v)$  to  $T$  result in a cycle?
- Add an edge to  $T$ .

# Data Structures For Kruskal's Method

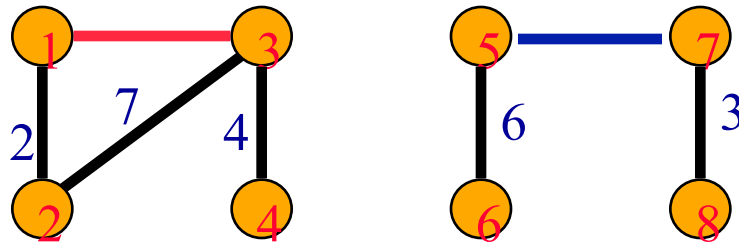
Use an array linear list for the edges of **T**.

- Does **T** have  **$n - 1$**  edges?
  - Check **size** of linear list.  **$O(1)$**  time.
- Does the addition of an edge  **$(u, v)$**  to **T** result in a cycle?
  - Not easy.
- Add an edge to **T**.
  - Add at right end of linear list.  **$O(1)$**  time.

Just use an array rather than **ArrayLinearList**.

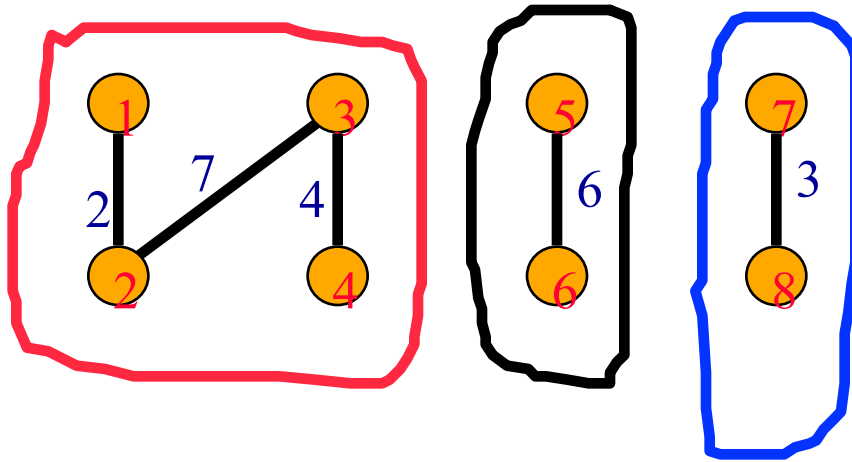
# Data Structures For Kruskal's Method

Does the addition of an edge  $(u, v)$  to  $T$  result in a cycle?



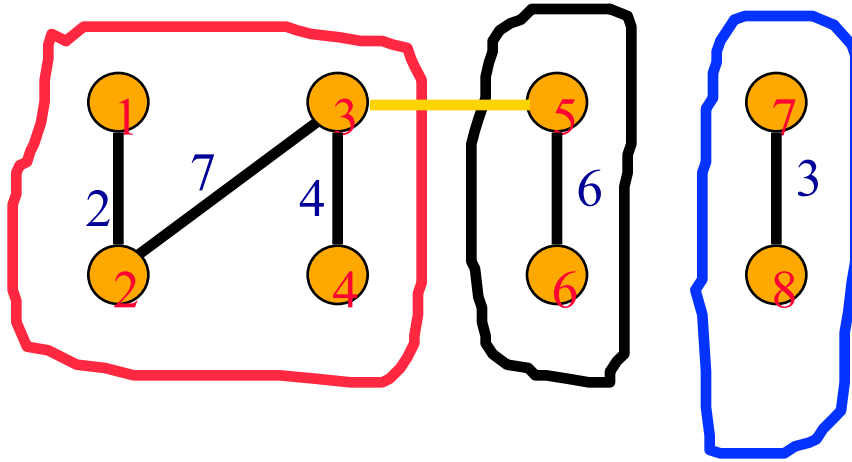
- Each component of  $T$  is a tree.
- When  $u$  and  $v$  are in the same component, the addition of the edge  $(u,v)$  creates a cycle.
- When  $u$  and  $v$  are in the different components, the addition of the edge  $(u,v)$  does not create a cycle.

# Data Structures For Kruskal's Method



- Each component of  $T$  is defined by the vertices in the component.
- Represent each component as a set of vertices.
  - $\{1, 2, 3, 4\}, \{5, 6\}, \{7, 8\}$
- Two vertices are in the same component iff they are in the same set of vertices.

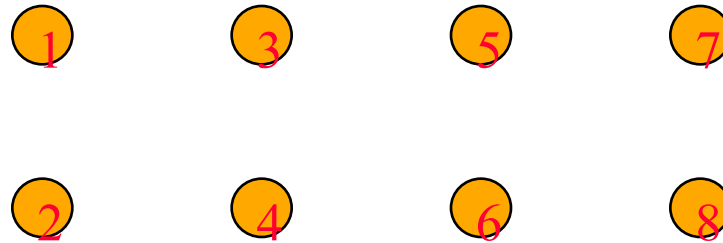
# Data Structures For Kruskal's Method



- When an edge  $(u, v)$  is added to  $T$ , the two components that have vertices  $u$  and  $v$  combine to become a single component.
- In our set representation of components, the set that has vertex  $u$  and the set that has vertex  $v$  are united.
  - $\{1, 2, 3, 4\} + \{5, 6\} \Rightarrow \{1, 2, 3, 4, 5, 6\}$

# Data Structures For Kruskal's Method

- Initially,  $T$  is empty.



- Initial sets are:
  - $\{1\} \{2\} \{3\} \{4\} \{5\} \{6\} \{7\} \{8\}$
- Does the addition of an edge  $(u, v)$  to  $T$  result in a cycle? If not, add edge to  $T$ .

$s1 = \text{find}(u); s2 = \text{find}(v);$

$\text{if } (s1 \neq s2) \text{ union}(s1, s2);$

# Data Structures For Kruskal's Method

- Use **FastUnionFind**.
- Initialize.
  - **$O(n)$**  time.
- At most  **$2e$**  finds and  **$n-1$**  unions.
  - Very close to  **$O(n + e)$** .
- Min heap operations to get edges in increasing order of cost take  **$O(e \log e)$** .
- Overall complexity of Kruskal's method is  **$O(n + e \log e)$** .

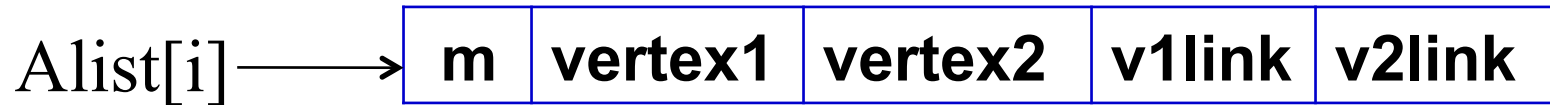


# Greedy Minimum-Cost Spanning Tree Methods

- Prim's method is fastest.
  - $O(n^2)$  using an implementation similar to that of Dijkstra's shortest-path algorithm.
  - $O(e + n \log n)$  using a Fibonacci heap.
- Kruskal's uses union-find trees to run in  $O(n + e \log e)$  time.

- **Exercises: P359-1**
- Implement a full version algorithm of Kruskal's Method (**Experiment**)
- Implement a BFS algorithm using Adjacency Multilists

# Adjacency Multilists



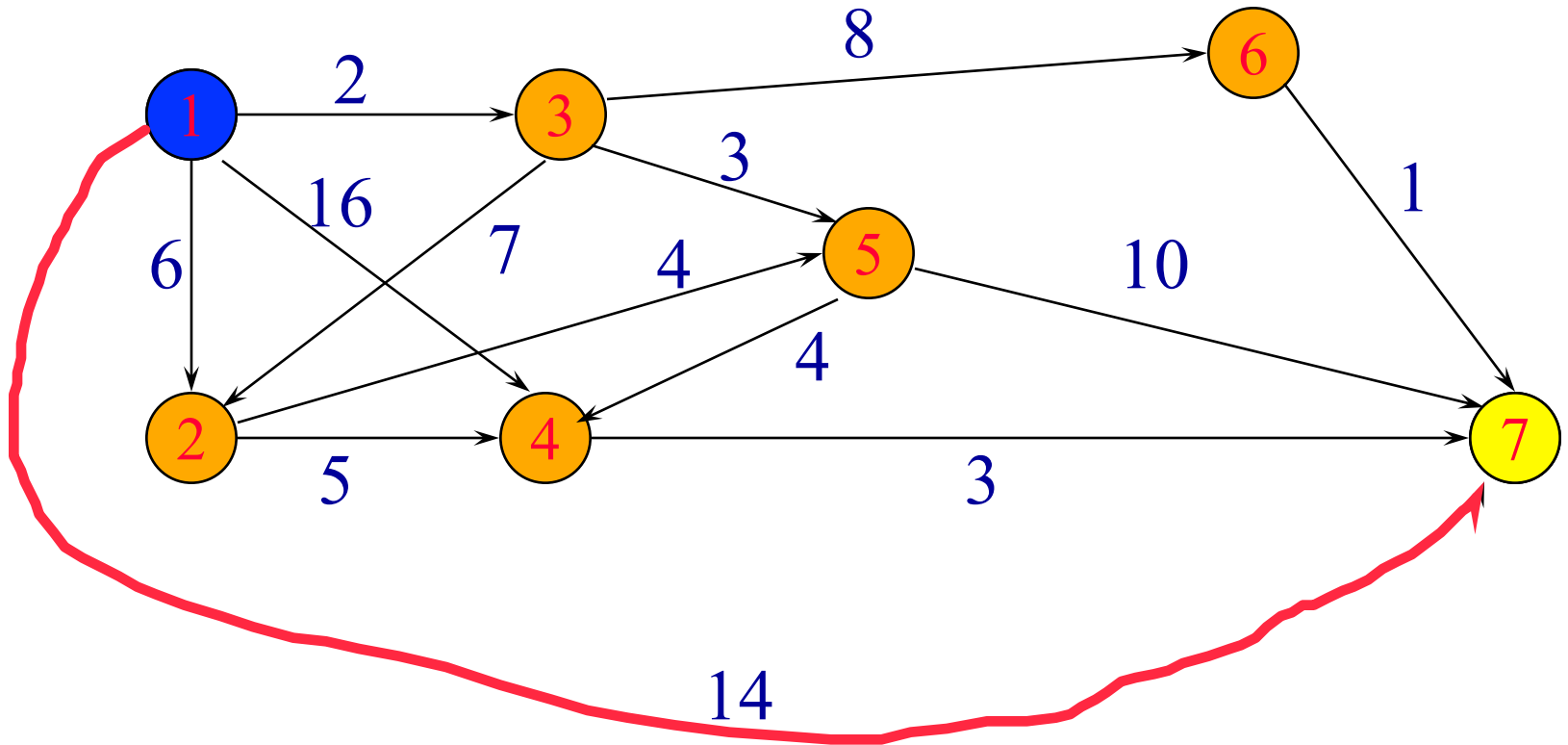
- **virtual void** Graph::BFS (**int** v) {
- visited = **new bool**[n];   fill(visited, visited + n, **false**);
- visited[v] = **true**;
- Queue<**int**> q;
- q.Push(v);
- **while** ( !q.IsEmpty()) {
- v = q.Front();   q.Pop();
- **ADNode \* p** = Alist[v];
- while(p != null){

- **int w ;**
- **if(p->v1 == v) {**
- **w = p->v2;**
- **p = p->v1link;}**
- **else{**
- **w = p->v1;**
- **p = p->v2link;}**
- **if (!visited[w]) {**
- **q.Push(w);**
- **visited[w] = true;}**
- **} // end of while(p)**
- **} // end of while(q)**
- **delete [ ] visited; }**

# Shortest Path Problems

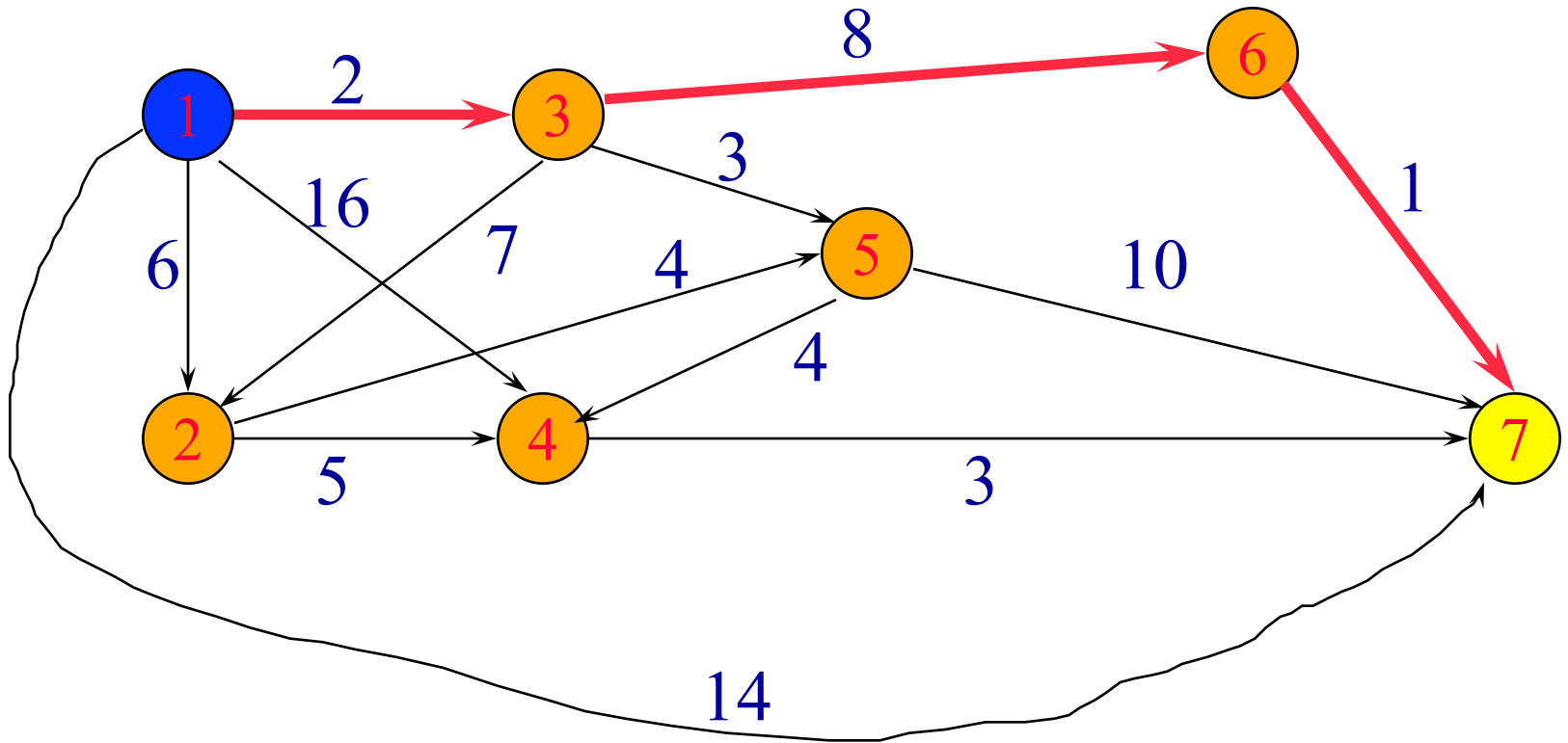
- Directed weighted graph.
- Path length is sum of weights of edges on path.
- The vertex at which the path begins is the **source** vertex.
- The vertex at which the path ends is the **destination** vertex.

# Example



A path from 1 to 7.  
Path length is 14.

# Example



Another path from 1 to 7.  
Path length is 11.

# Shortest Path Problems

- Single source single destination.
- Single source all destinations.
- All pairs (every vertex is a source and destination).

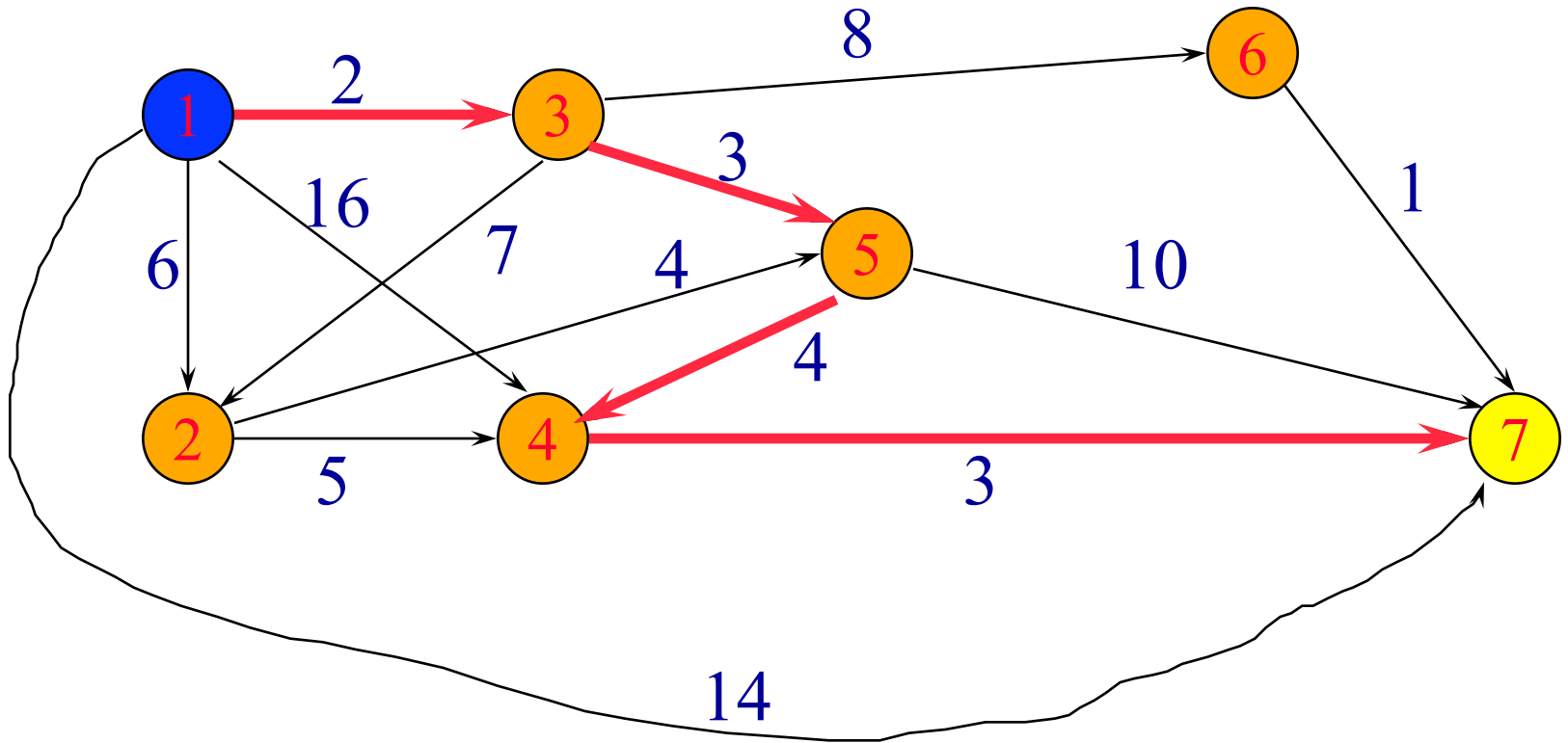


# Single Source Single Destination

Possible greedy algorithm:

- Leave source vertex using cheapest/shortest edge.
- Leave new vertex using cheapest edge subject to the constraint that a new vertex is reached.
- Continue until destination is reached.

# Greedy Shortest 1 To 7 Path



Path length is 12.

Not shortest path. Algorithm doesn't work!

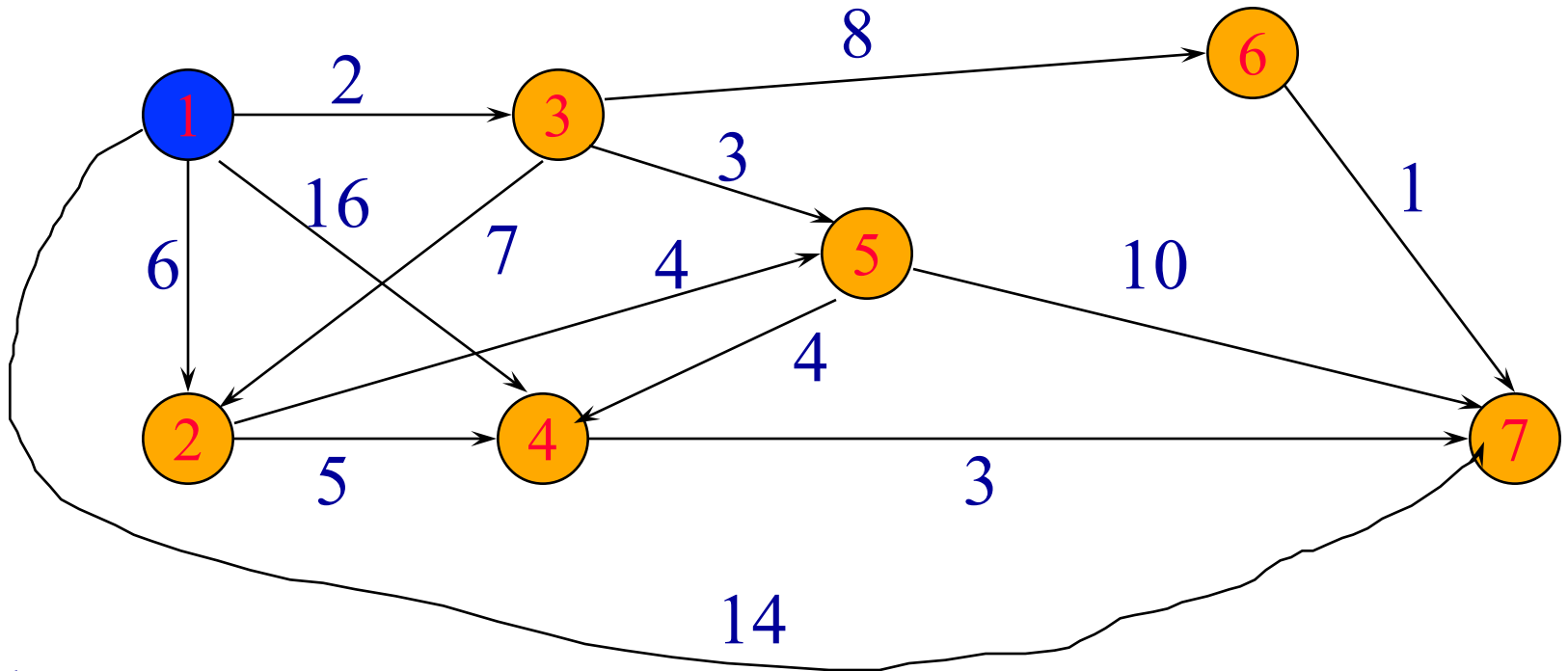
# Single Source All Destinations

Need to generate up to  $n$  ( $n$  is number of vertices) paths (including path from source to itself).

Greedy method:




















- Construct these up to  $n$  paths in order of increasing length.
- Assume edge costs (lengths) are  $\geq 0$ .
- So, no path has length  $< 0$ .
- First shortest path is from the source vertex to itself. The length of this path is  $0$ .

# Greedy Single Source All Destinations



Path	Length		
1	0	1 → 2	6
1 → 3	2	1 → 3 → 5 → 4	9
1 → 3 → 5	5	1 → 3 → 6	10
		1 → 3 → 6 → 7	11

# Greedy Single Source All Destinations

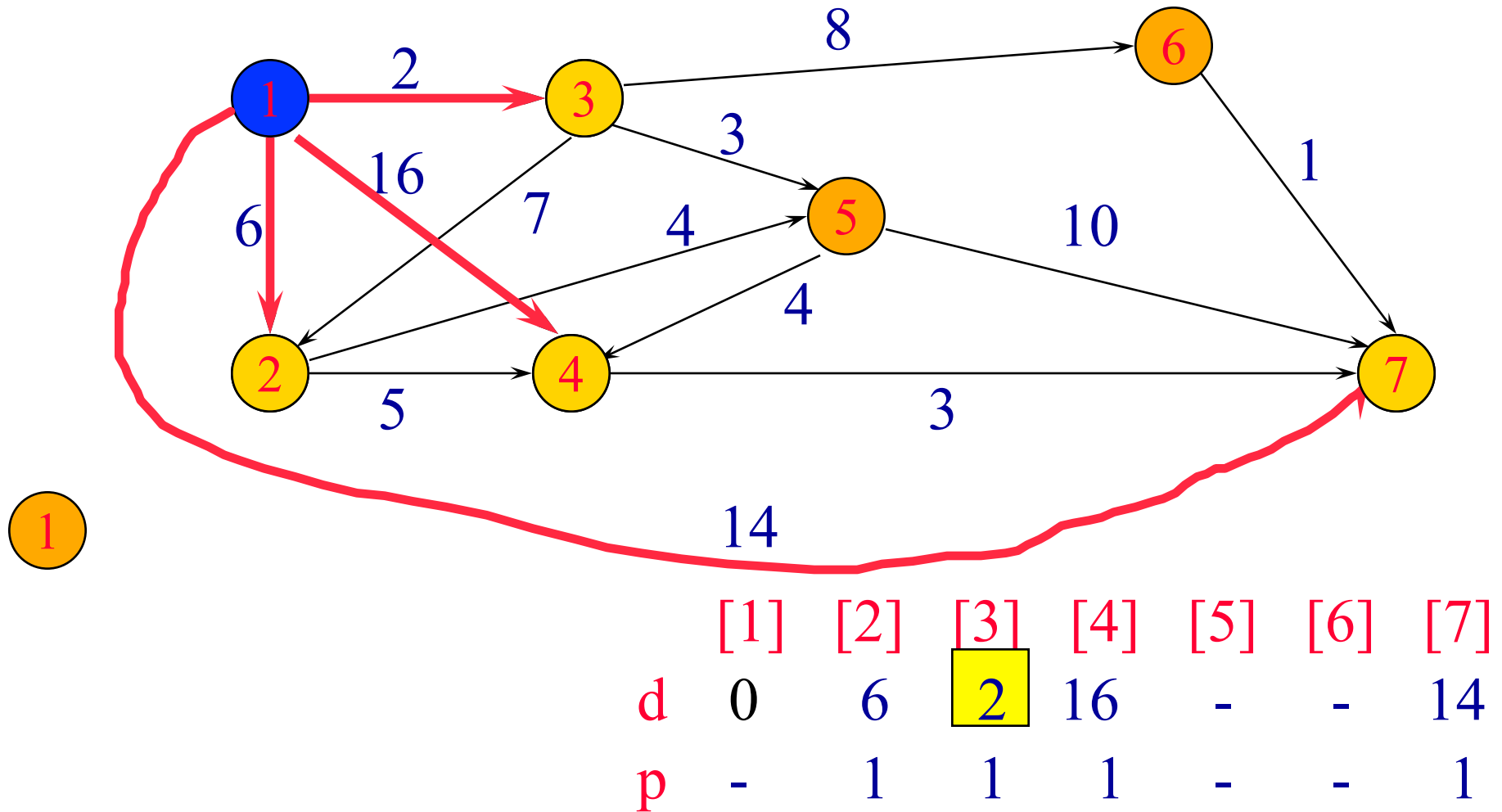
Path	Length
	0
 → 	2
 →  → 	5
 → 	6
 →  →  → 	9
 →  → 	10
 →  →  → 	11

- Each path (other than first) is a one edge extension of a previous path.
- Next shortest path is the shortest one edge extension of an already generated shortest path.

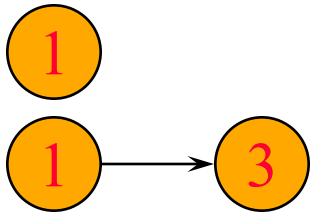
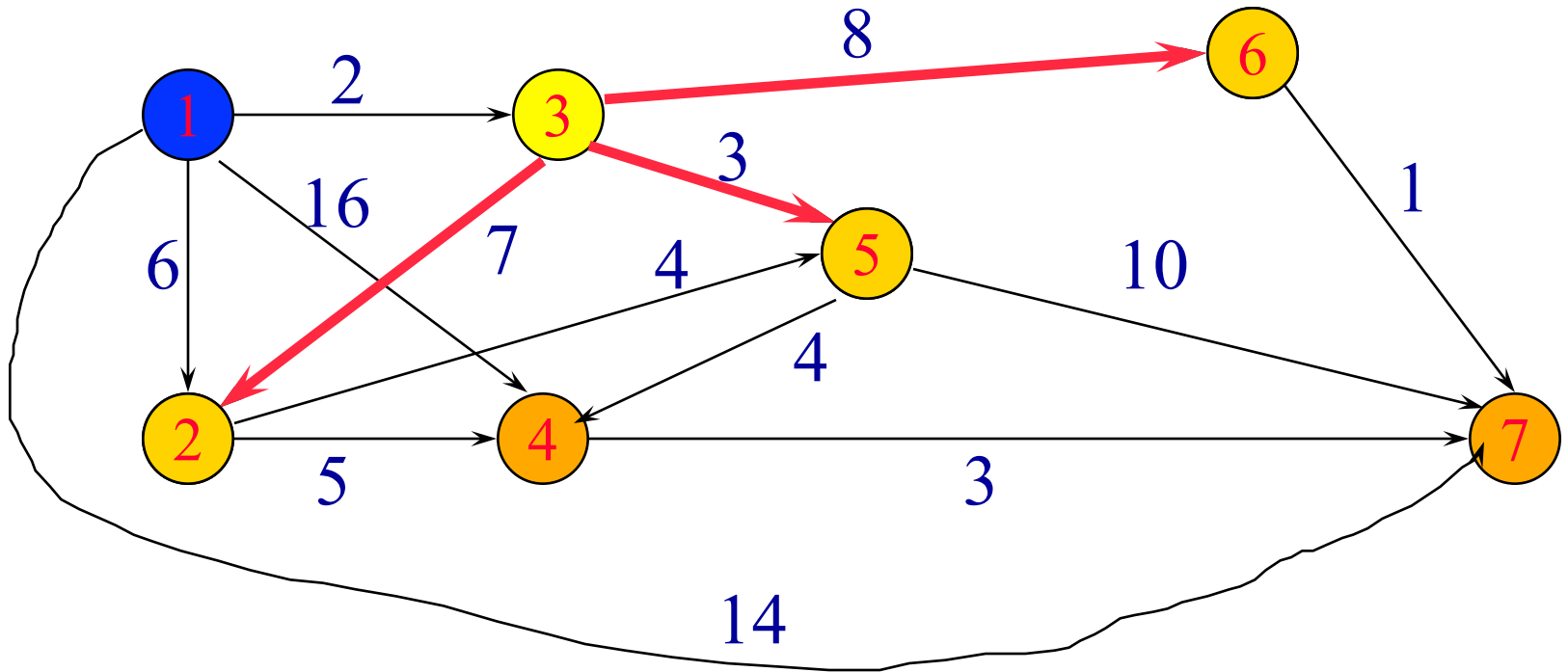
# Greedy Single Source All Destinations

- Let  $d(i)$  ( $\text{distanceFromSource}(i)$ ) be the length of a shortest one edge extension of an already generated shortest path, the one edge extension ends at vertex  $i$ .
- The next shortest path is to an as yet unreachable vertex for which the  $d()$  value is least.
- Let  $p(i)$  ( $\text{predecessor}(i)$ ) be the vertex just before vertex  $i$  on the shortest one edge extension to  $i$ .

# Greedy Single Source All Destinations



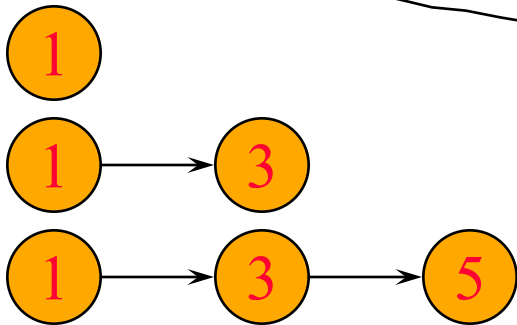
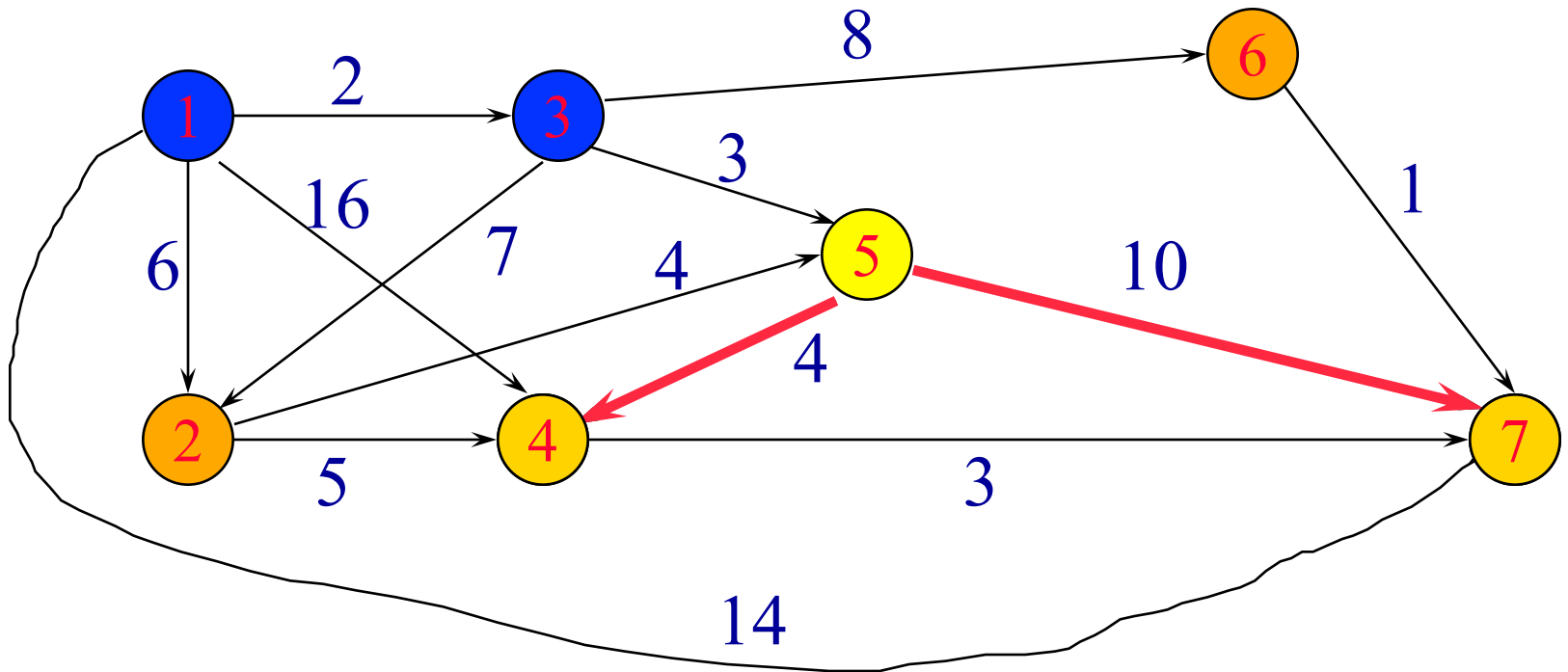
# Greedy Single Source All Destinations



	[1]	[2]	[3]	[4]	[5]	[6]	[7]
d	0	6	2	16	5	10	14
p	-	1	1	1	3	3	1

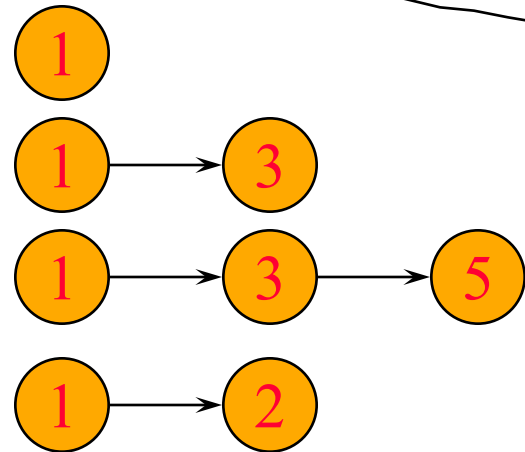
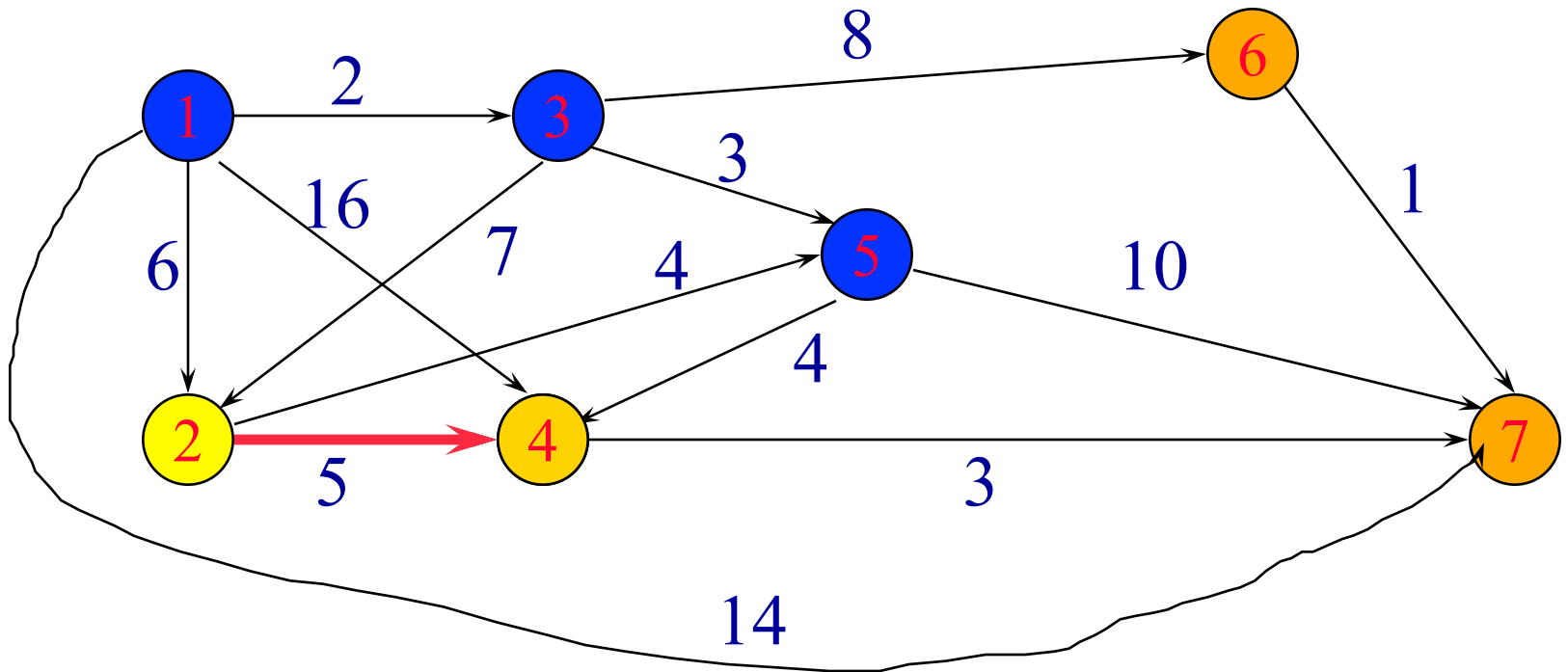


# Greedy Single Source All Destinations



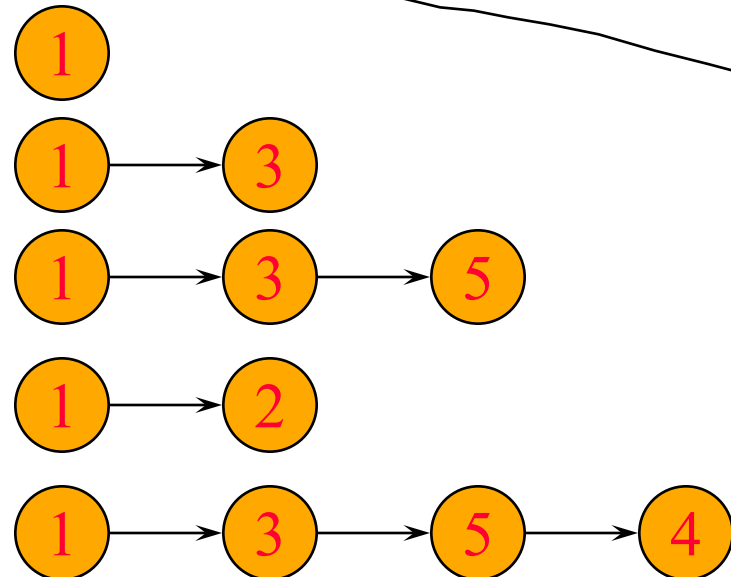
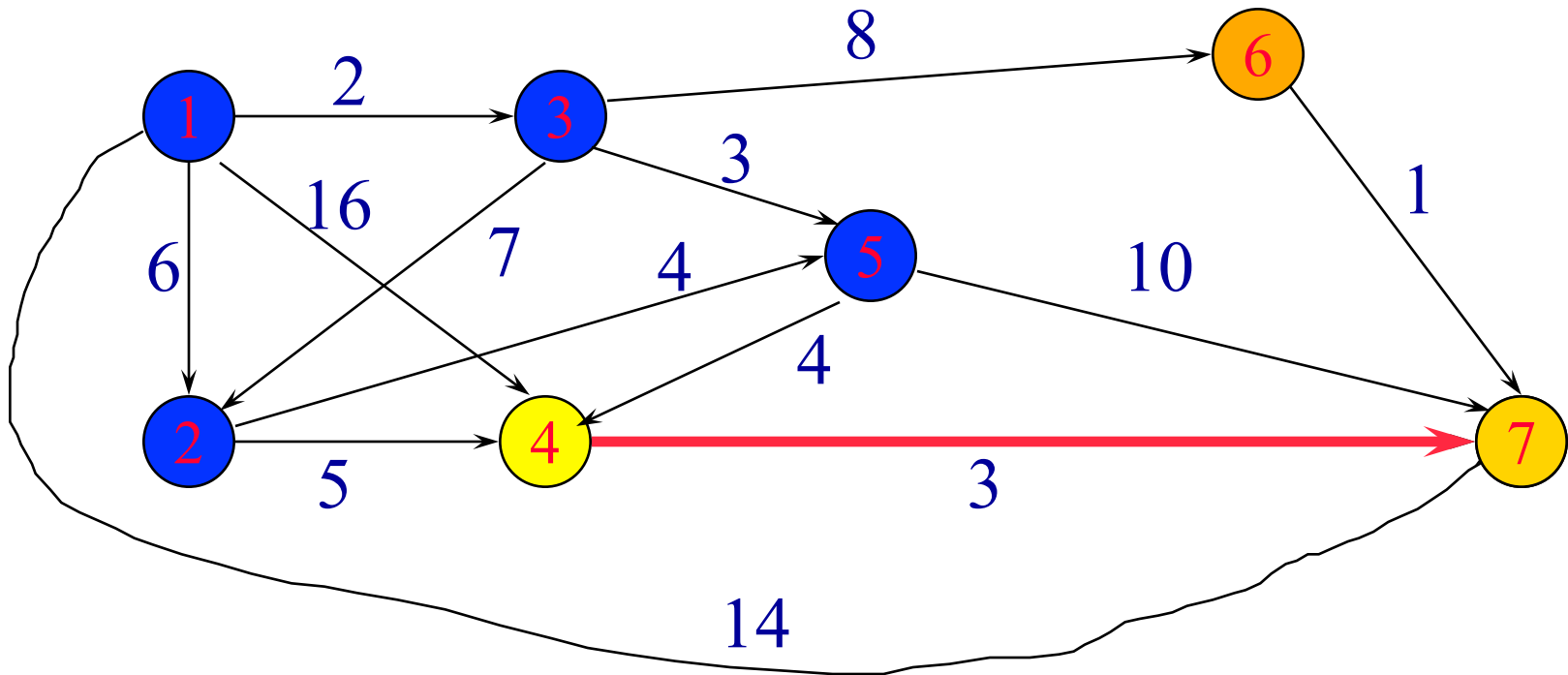
	[1]	[2]	[3]	[4]	[5]	[6]	[7]
d	0	6	2	9	5	10	14
p	-	1	1	5	3	3	1

# Greedy Single Source All Destinations



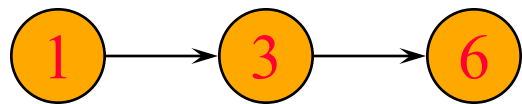
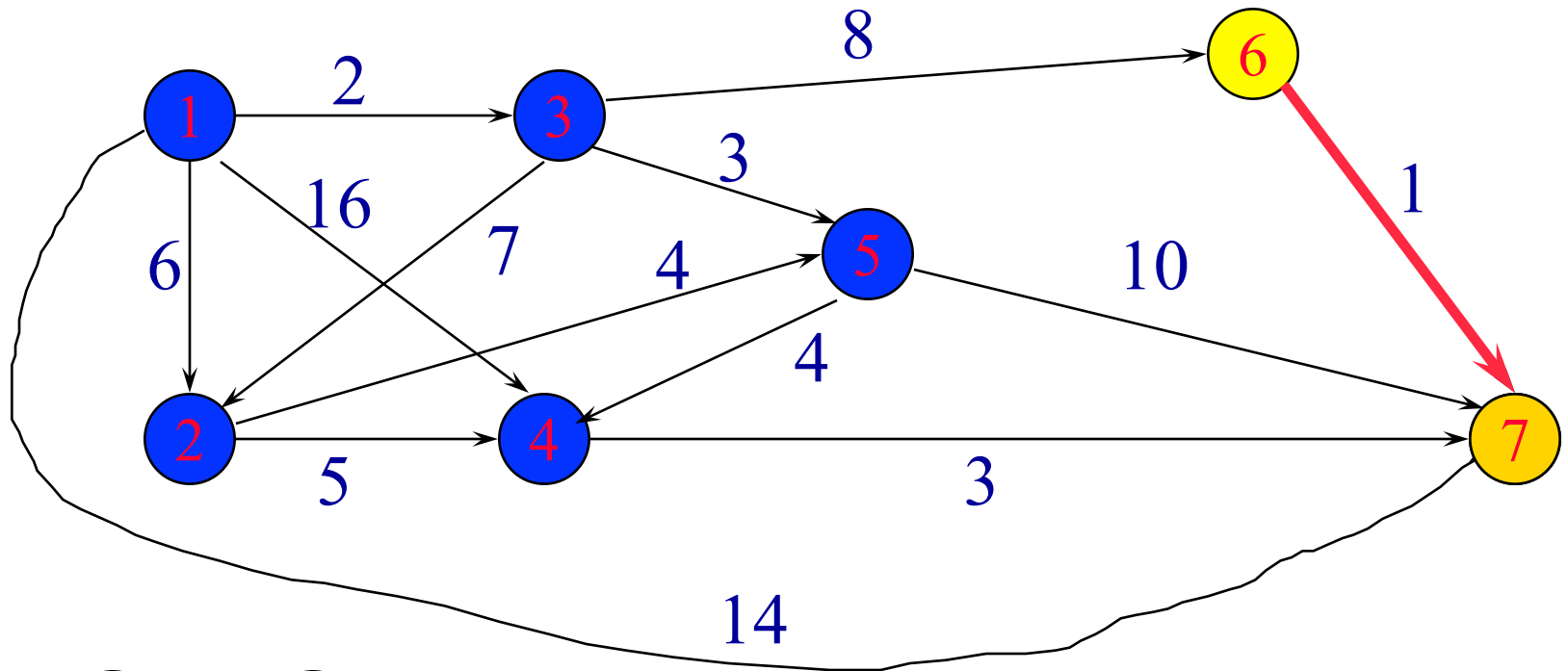
	[1]	[2]	[3]	[4]	[5]	[6]	[7]
d	0	6	2	9	5	10	14
p	-	1	1	5	3	3	1

# Greedy Single Source All Destinations






















	[1]	[2]	[3]	[4]	[5]	[6]	[7]
d	0	6	2	9	5	10	12
p	-	1	1	5	3	3	4

# Greedy Single Source All Destinations



	[1]	[2]	[3]	[4]	[5]	[6]	[7]
d	0	6	2	9	5	10	11
p	-	1	1	5	3	3	6

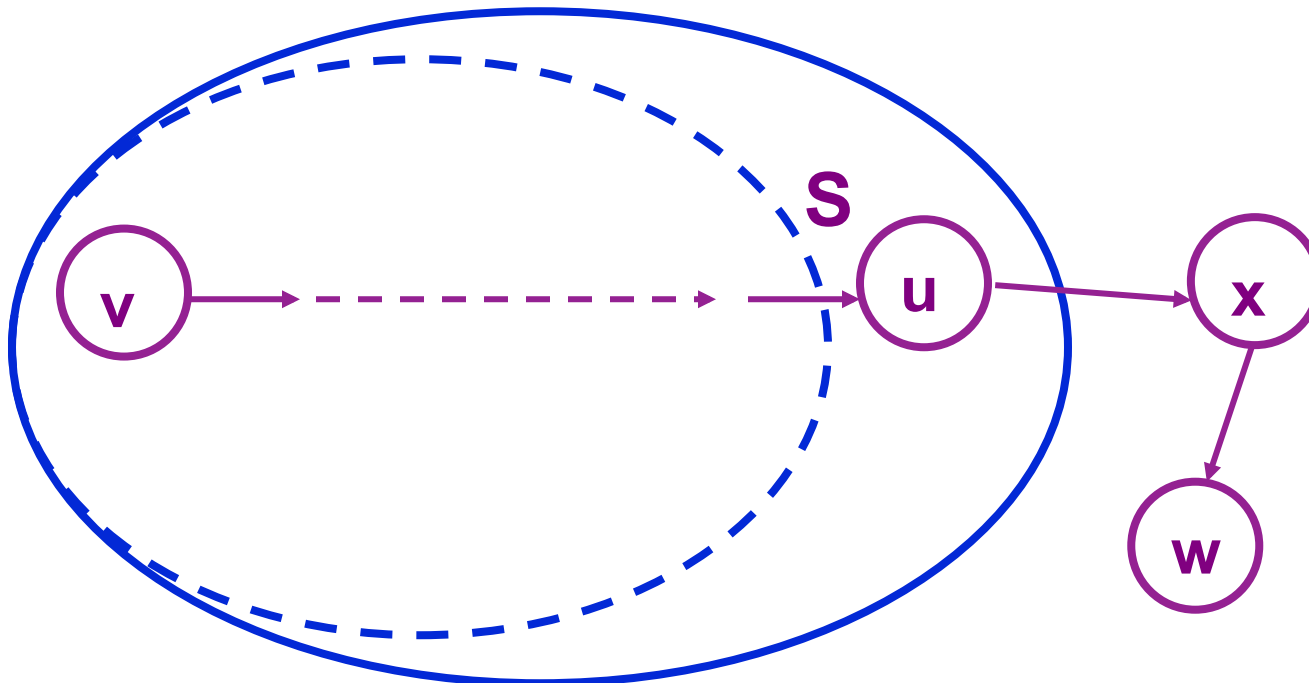
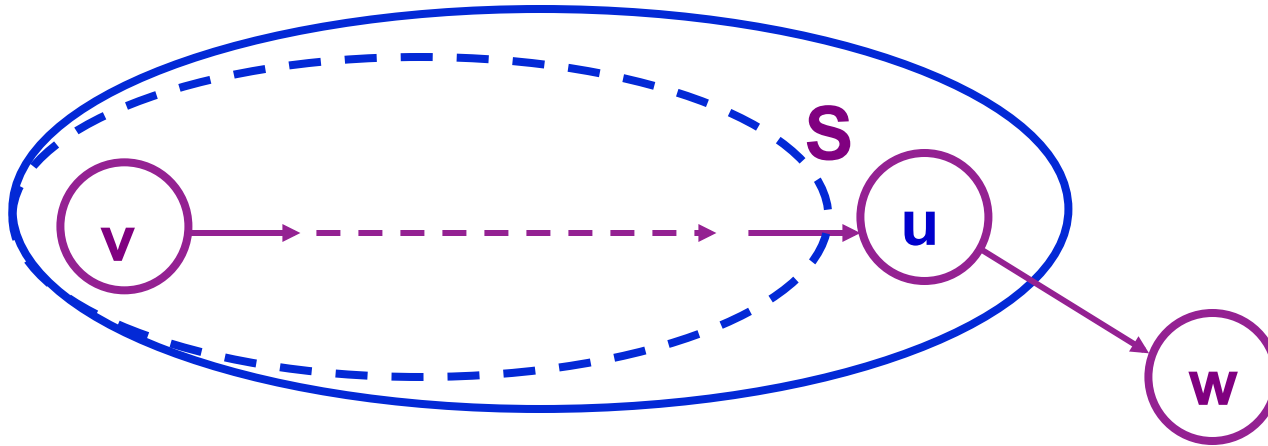
# Greedy Single Source All Destinations

Path	Length							
	0							
 → 	2							
 →  → 	5							
 → 	6							
 →  →  → 	9	[1]	[2]	[3]	[4]	[5]	[6]	[7]
 →  → 	10	0	6	2	9	5	10	11
 →  →  → 	11	-	1	1	5	3	3	6

# Single Source Single Destination

Terminate single source all destinations greedy algorithm as soon as shortest path to desired vertex has been generated.

# Correctness



# Data Structures For Dijkstra's Algorithm

- The greedy single source all destinations algorithm is known as Dijkstra's algorithm.
- Implement  $d()$  and  $p()$  as 1D arrays.
- Keep a linear list  $L$  of reachable vertices to which shortest path is yet to be generated.
- Select and remove vertex  $v$  in  $L$  that has smallest  $d()$  value.
- Update  $d()$  and  $p()$  values of vertices adjacent to  $v$ .



- 1 **void** MatrixDigraph::ShortestPath( **int** n, **int** v){
- 2     **for** (**int** i=0; i<n; i++) {
- 3         L[i]=**false**; dist[i]=length[v][i];}
- 4     L[v]=**true**;
- 5     dist[v]=0;
- 6     **for** (i=0; i<n-2; i++) { //determine n-1 paths from v
- 7         **int** u=choose(n); // **IMPORTANT**
- 8         L[u]= **true**;
- 9         **for** ( **int** w=0; w<n; w++)
- 10             **if** (!L[w] && dist[u]+length[u][w]<dist[w])
- 11                 dist[w]=dist[u]+length[u][w];
- 12     }
- 13 }

# Complexity



- $O(n)$  to select next destination vertex.
- $O(\text{out-degree})$  to update  $d()$  and  $p()$  values when adjacency lists are used.
- $O(n)$  to update  $d()$  and  $p()$  values when adjacency matrix is used.
- Selection and update done once for each vertex to which a shortest path is found.
- Total time is  $O(n^2 + e) = O(n^2)$ .

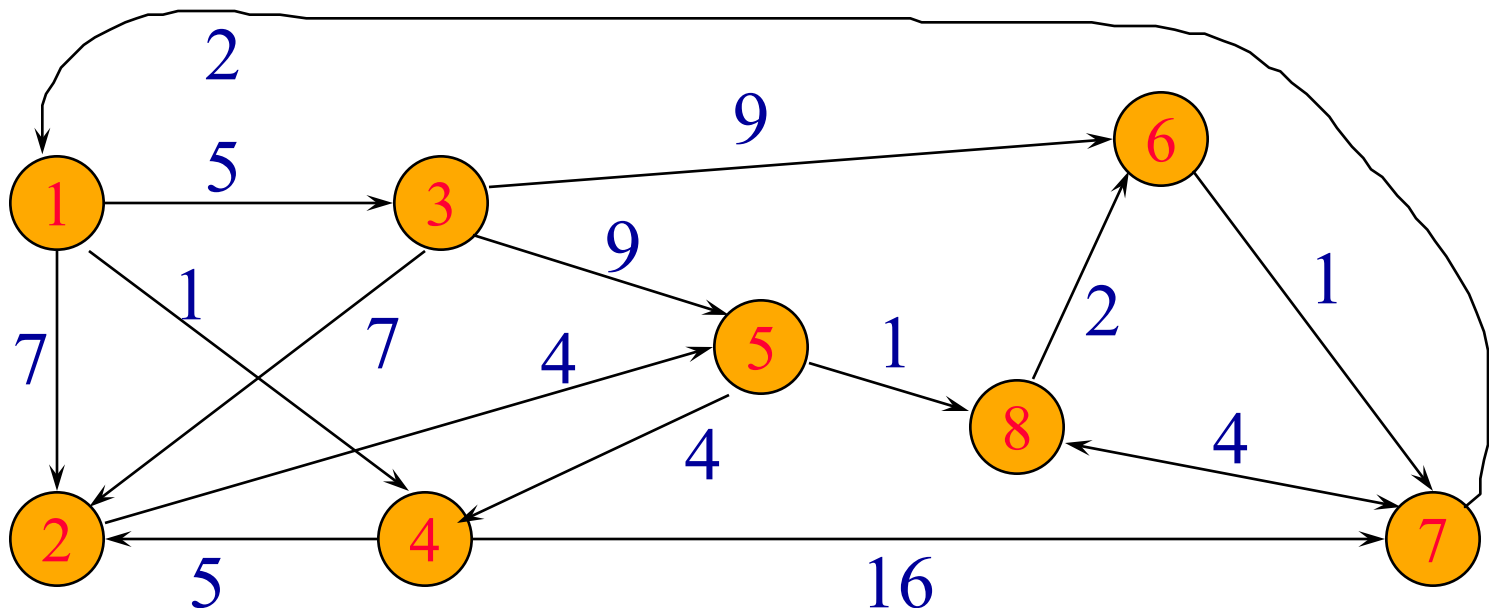
# Complexity



- When a min heap of  $d()$  values is used in place of the linear list  $L$  of reachable vertices, total time is  $O((n+e) \log n)$ , because  $O(n)$  remove min operations and  $O(e)$  change key ( $d()$  value) operations are done.
- When  $e$  is  $O(n^2)$ , using a min heap is worse than using a linear list.
- When a Fibonacci heap is used, the total time is  $O(n \log n + e)$ .

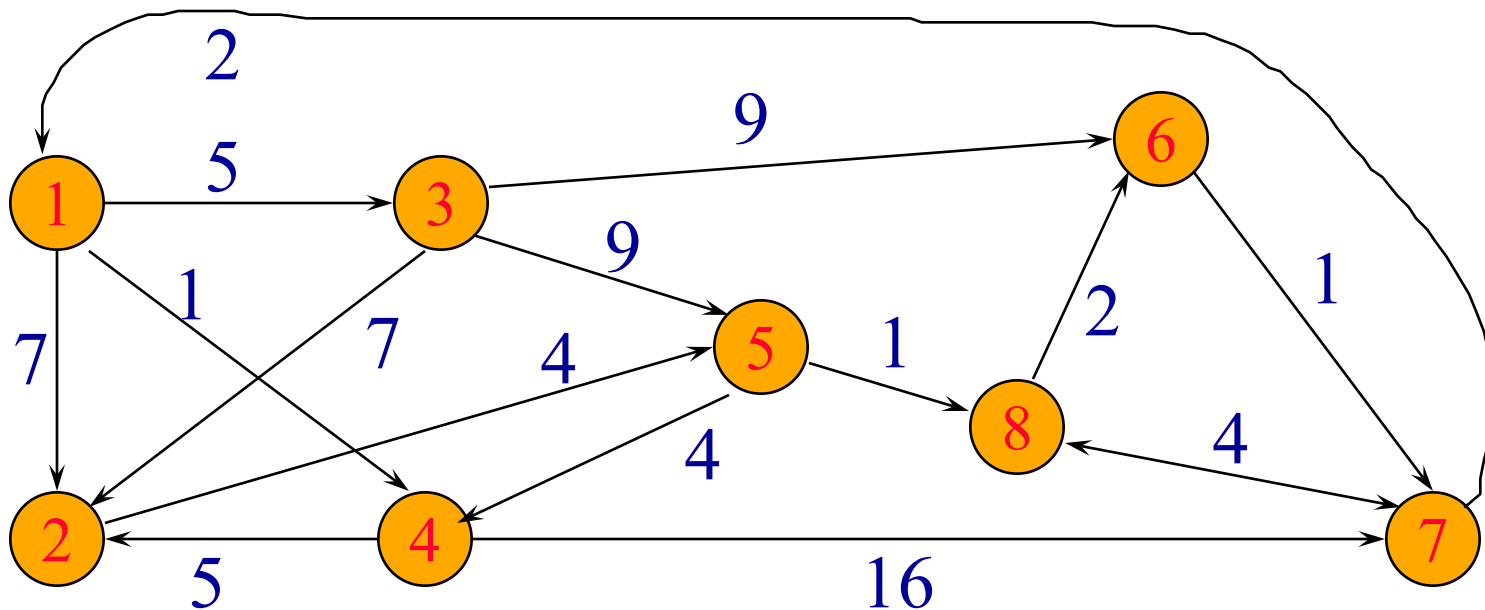
# All-Pairs Shortest Paths

- Given an  $n$ -vertex directed weighted graph, find a shortest path from vertex  $i$  to vertex  $j$  for each of the  $n^2$  vertex pairs  $(i,j)$ .

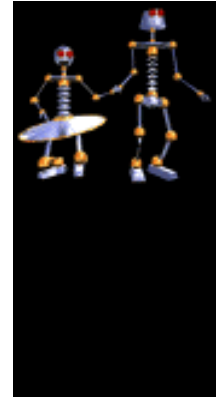


# Dijkstra's Single Source Algorithm

- Use Dijkstra's algorithm **n** times, once with each of the **n** vertices as the source vertex.



# Performance

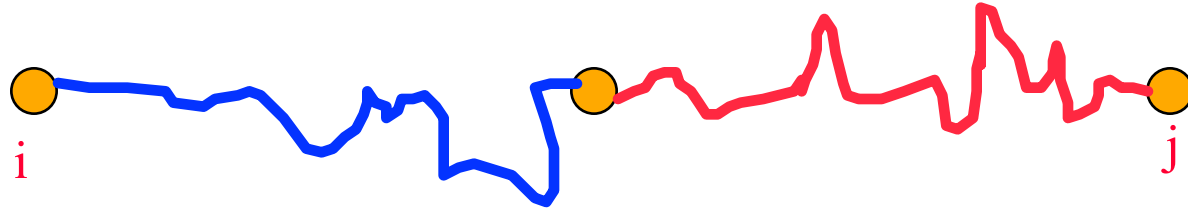


- Time complexity is  $O(n^3)$  time.
- Works only when no edge has a cost  $< 0$ .

# Dynamic Programming Solution

- Time complexity is  $\Theta(n^3)$  time.
- Works so long as there is no cycle whose length is  $< 0$ .
- When there is a cycle whose length is  $< 0$ , some shortest paths aren't finite.
  - If vertex 1 is on a cycle whose length is  $-2$ , each time you go around this cycle once you get a 1 to 1 path that is 2 units shorter than the previous one.
- Simpler to code, smaller overheads.
- Known as Floyd's shortest paths algorithm.

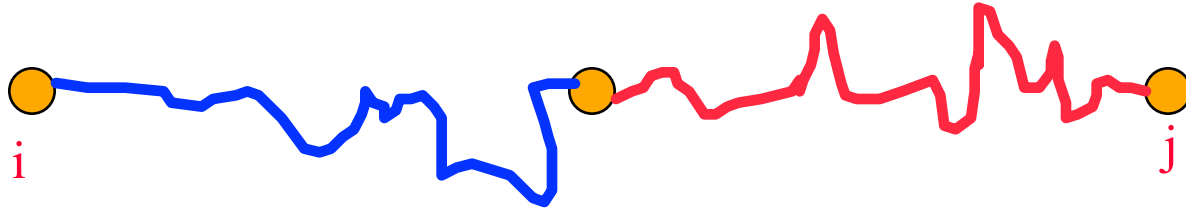
# Decision Sequence



- First decide the highest intermediate vertex (i.e., largest vertex number) on the shortest path from  $i$  to  $j$ .
- If the shortest path is  $i, 2, 6, 3, 8, 5, 7, j$ , the first decision is that vertex  $8$  is an intermediate vertex on the shortest path and no intermediate vertex is larger than  $8$ .
- Then decide the highest intermediate vertex on the path from  $i$  to  $8$ , and so on.

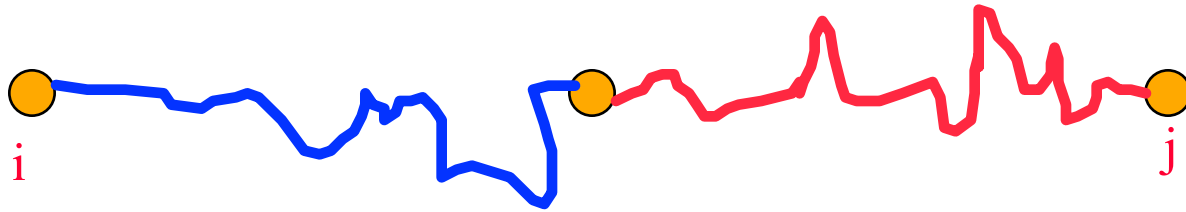


# Problem State



- $(i,j,k)$  denotes the problem of finding the shortest path from vertex  $i$  to vertex  $j$  that has no intermediate vertex larger than  $k$ .
- $(i,j,n)$  denotes the problem of finding the shortest path from vertex  $i$  to vertex  $j$  (with no restrictions on intermediate vertices).

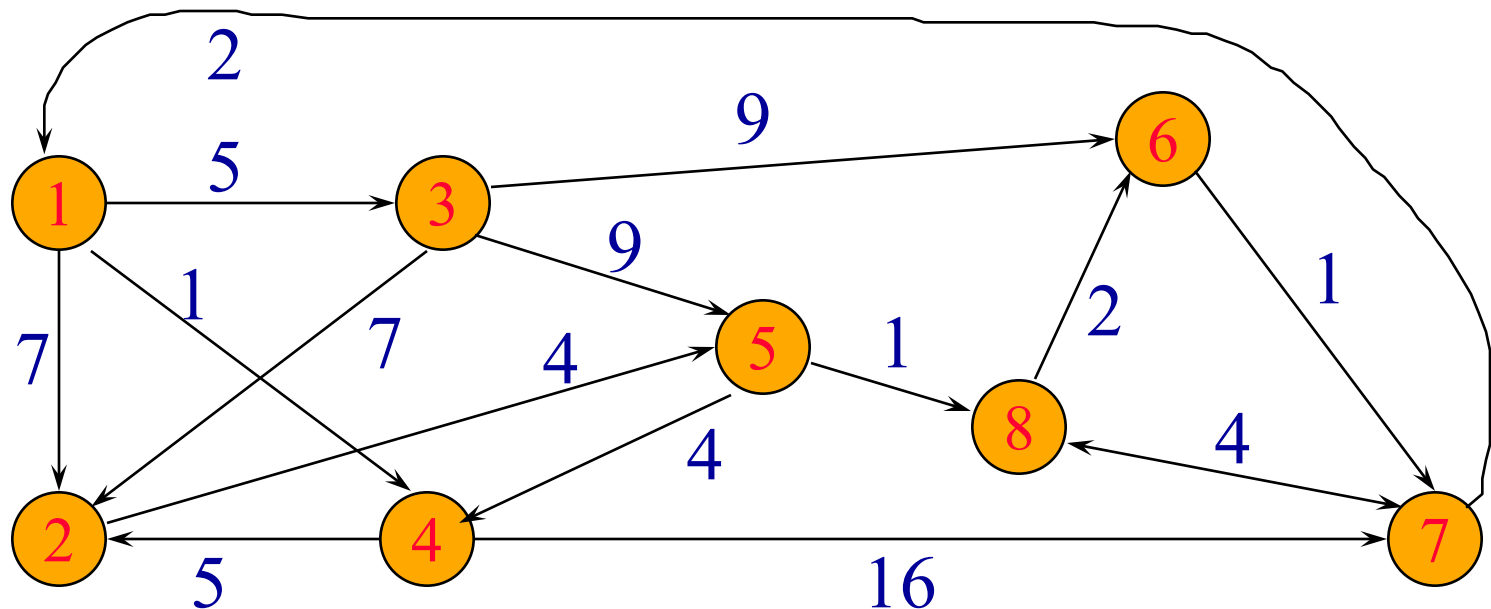
# Cost Function



- Let  $c(i,j,k)$  be the length of a shortest path from vertex  $i$  to vertex  $j$  that has no intermediate vertex larger than  $k$ .

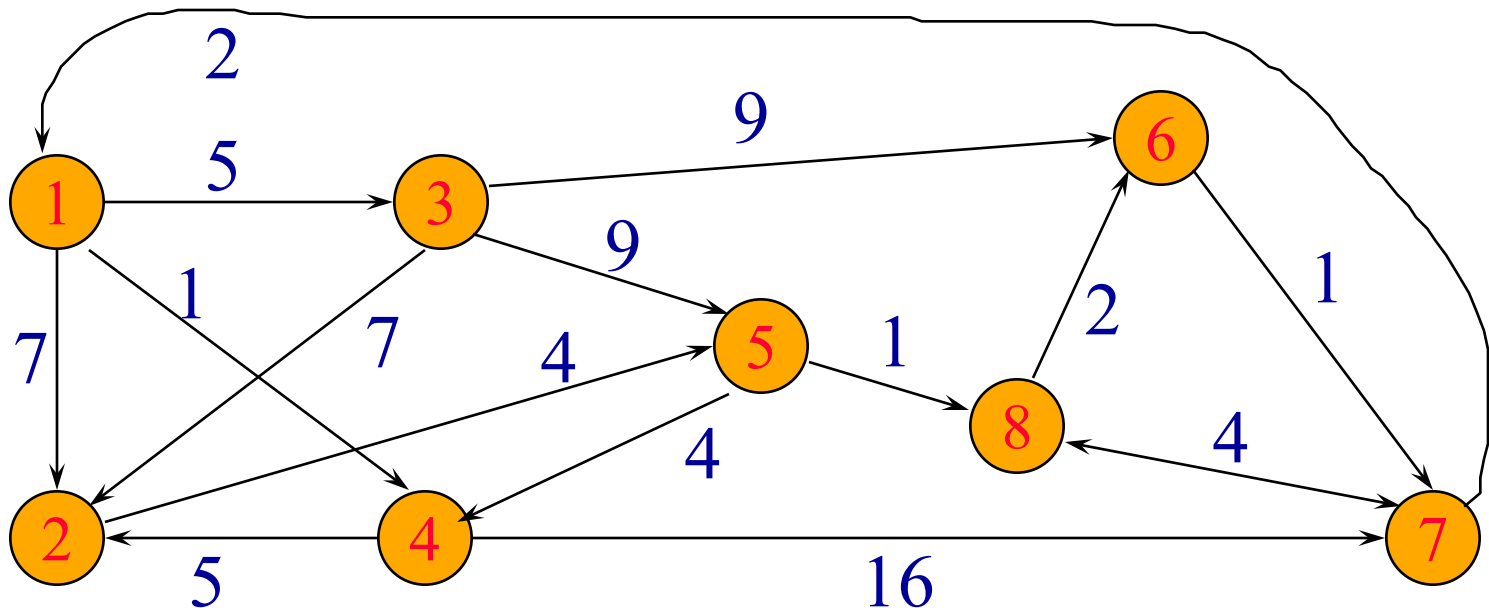
$$c(i,j,n)$$

- $c(i,j,n)$  is the length of a shortest path from vertex  $i$  to vertex  $j$  that has no intermediate vertex larger than  $n$ .
- No vertex is larger than  $n$ .
- Therefore,  $c(i,j,n)$  is the length of a shortest path from vertex  $i$  to vertex  $j$ .



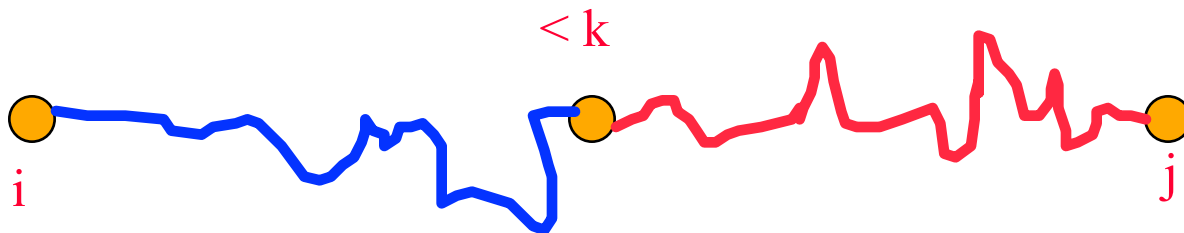
$$c(i,j,0)$$

- $c(i,j,0)$  is the length of a shortest path from vertex  $i$  to vertex  $j$  that has no intermediate vertex larger than  $0$ .
  - Every vertex is larger than  $0$ .
  - Therefore,  $c(i,j,0)$  is the length of a single-edge path from vertex  $i$  to vertex  $j$ .



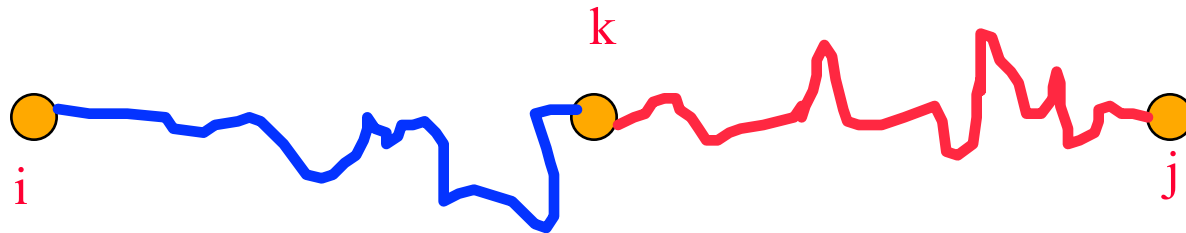
# Recurrence For $c(i,j,k)$ , $k > 0$

- The shortest path from vertex  $i$  to vertex  $j$  that has no intermediate vertex larger than  $k$  may or may not go through vertex  $k$ .
- If this shortest path does not go through vertex  $k$ , the largest permissible intermediate vertex is  $k-1$ . So the path length is  $c(i,j,k-1)$ .



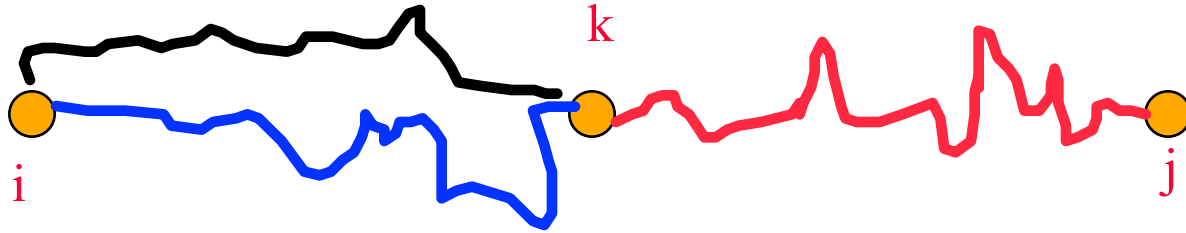
# Recurrence For $c(i,j,k)$ , $k > 0$

- Shortest path goes through vertex  $k$ .



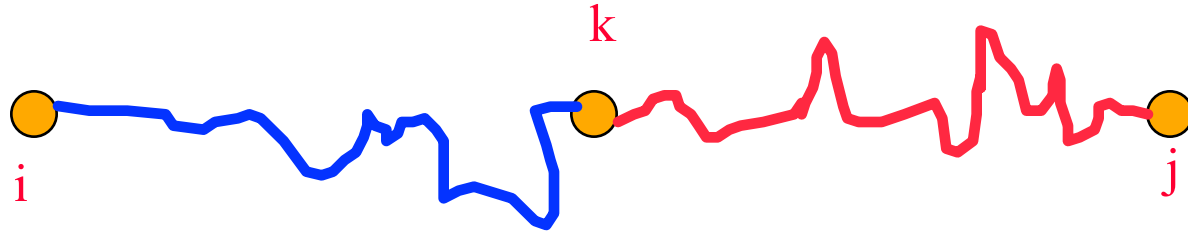
- We may assume that vertex  $k$  is not repeated because no cycle has negative length.
- Largest permissible intermediate vertex on  $i$  to  $k$  and  $k$  to  $j$  paths is  $k-1$ .

# Recurrence For $c(i,j,k)$ , $k > 0$



- $i$  to  $k$  path must be a shortest  $i$  to  $k$  path that goes through no vertex larger than  $k-1$ .
- If not, replace current  $i$  to  $k$  path with a shorter  $i$  to  $k$  path to get an even shorter  $i$  to  $j$  path.

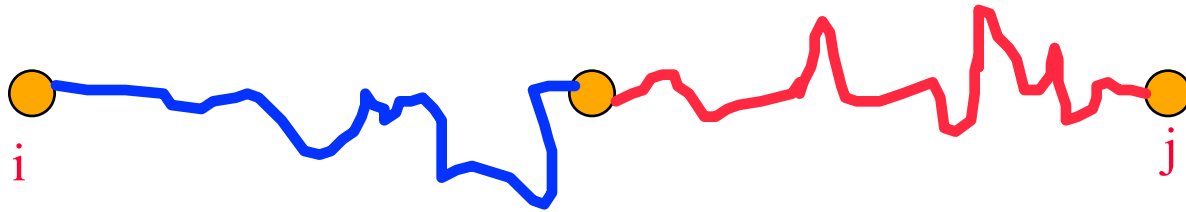
# Recurrence For $c(i,j,k)$ , $k > 0$



- Similarly,  $k$  to  $j$  path must be a shortest  $k$  to  $j$  path that goes through no vertex larger than  $k-1$ .
- Therefore, length of  $i$  to  $k$  path is  $c(i,k,k-1)$ , and length of  $k$  to  $j$  path is  $c(k,j,k-1)$ .
- So,  $c(i,j,k) = c(i,k,k-1) + c(k,j,k-1)$ .



# Recurrence For $c(i,j,k)$ ), $k > 0$



- Combining the two equations for  $c(i,j,k)$ , we get  $c(i,j,k) = \min \{c(i,j,k-1), c(i,k,k-1) + c(k,j,k-1)\}$ .
- We may compute the  $c(i,j,k)$ s in the order  $k = 1, 2, 3, \dots, n$ .

# Floyd's Shortest Paths Algorithm

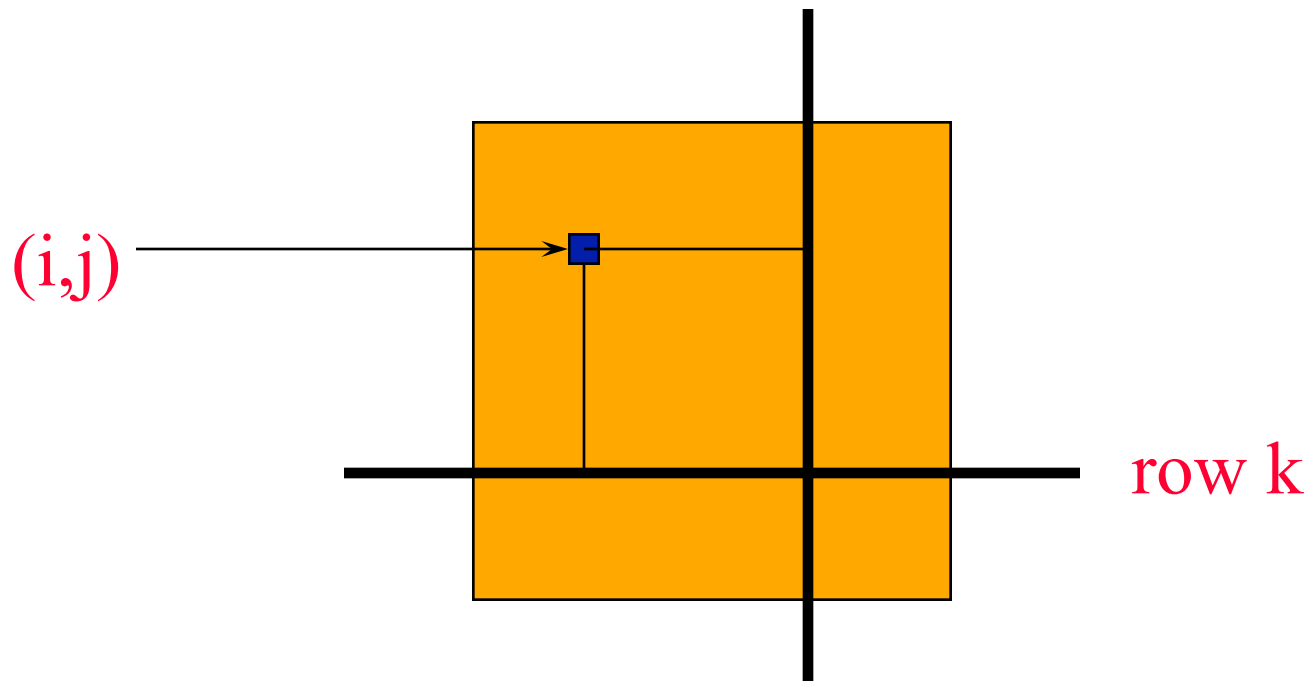
```
for (int k = 1; k <= n; k++)  
    for (int i = 1; i <= n; i++)  
        for (int j = 1; j <= n; j++)  
            c(i,j,k) = min { c(i,j,k-1),  
                             c(i,k,k-1) + c(k,j,k-1) };
```

- Time complexity is  $O(n^3)$ .
- More precisely  $\Theta(n^3)$ .
- $\Theta(n^3)$  space is needed for  $c(*,*,*)$ .



# Space Reduction

- $c(i,j,k) = \min \{c(i,j,k-1), c(i,k,k-1) + c(k,j,k-1)\}$
- When neither  $i$  nor  $j$  equals  $k$ ,  $c(i,j,k-1)$  is used only in the computation of  $c(i,j,k)$ .  
column  $k$



- So  $c(i,j,k)$  can overwrite  $c(i,j,k-1)$ .

# Space Reduction

- $c(i,j,k) = \min \{c(i,j,k-1), c(i,k,k-1) + c(k,j,k-1)\}$
- When  $i$  equals  $k$ ,  $c(i,j,k-1)$  equals  $c(i,j,k)$ .
  - $c(k,j,k) = \min \{c(k,j,k-1), c(k,k,k-1) + c(k,j,k-1)\}$   
 $= \min \{c(k,j,k-1), 0 + c(k,j,k-1)\}$   
 $= c(k,j,k-1)$
- So, when  $i$  equals  $k$ ,  $c(i,j,k)$  can overwrite  $c(i,j,k-1)$ .
- Similarly when  $j$  equals  $k$ ,  $c(i,j,k)$  can overwrite  $c(i,j,k-1)$ .
- So, in all cases  $c(i,j,k)$  can overwrite  $c(i,j,k-1)$ .

# Floyd's Shortest Paths Algorithm

```
for (int k = 1; k <= n; k++)  
    for (int i = 1; i <= n; i++)  
        for (int j = 1; j <= n; j++)  
             $c(i,j) = \min\{c(i,j), c(i,k) + c(k,j)\};$ 
```

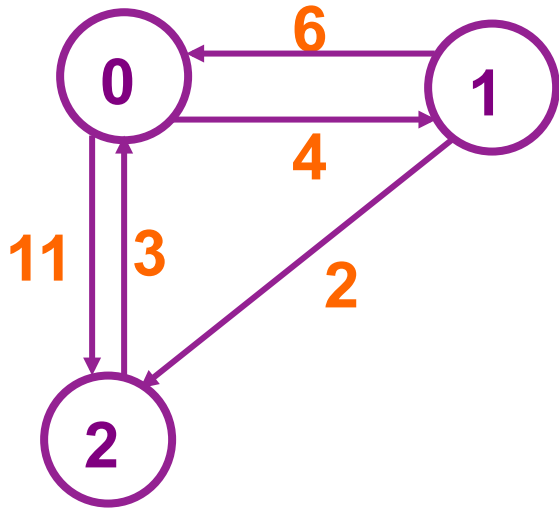
- Initially,  $c(i,j) = c(i,j,0)$ .
- Upon termination,  $c(i,j) = c(i,j,n)$ .
- Time complexity is  $\Theta(n^3)$ .
- $\Theta(n^2)$  space is needed for  $c(*,*)$ .



# Building The Shortest Paths

- Let  $\text{kay}(i,j)$  be the largest vertex on the shortest path from  $i$  to  $j$ .
- Initially,  $\text{kay}(i,j) = 0$  (shortest path has no intermediate vertex).

```
for (int k = 1; k <= n; k++)  
    for (int i = 1; i <= n; i++)  
        for (int j = 1; j <= n; j++)  
            if (c(i,j) > c(i,k) + c(k,j))  
                {kay(i,j) = k; c(i,j) = c(i,k) + c(k,j);}
```



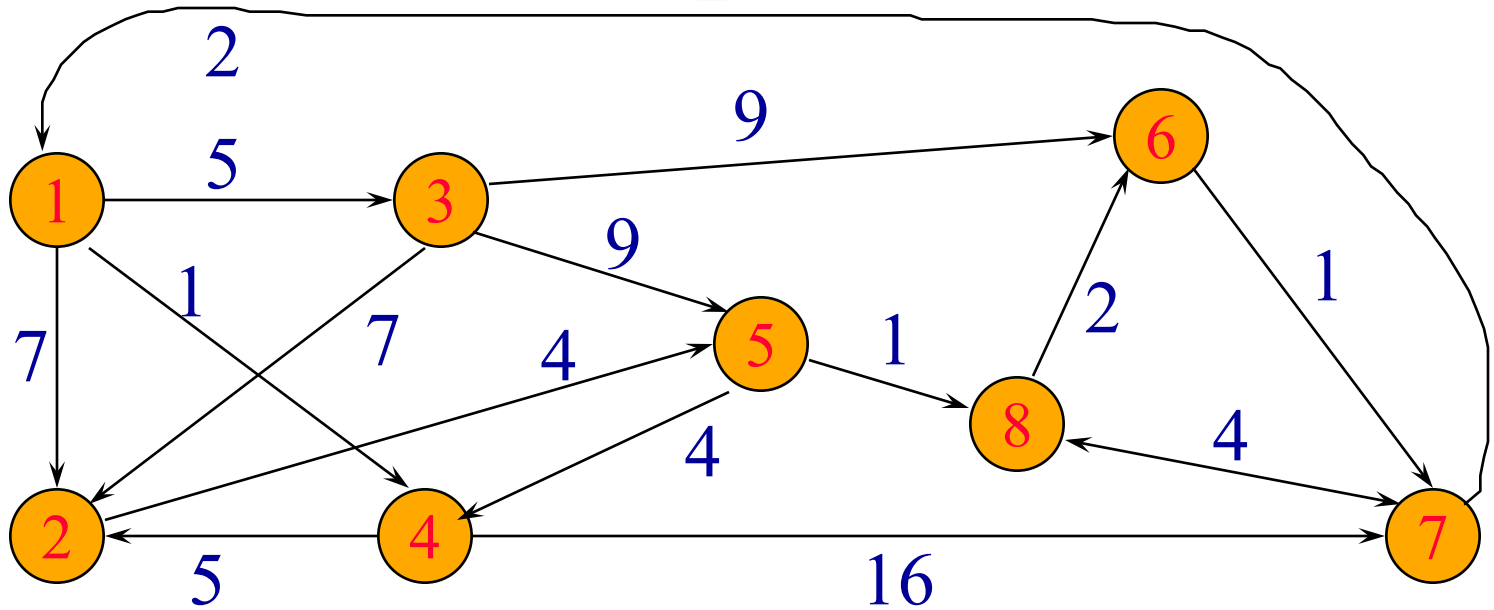
$A^{-1}$	0	1	2
0	0	4	11
1	6	0	2
2	3	$\infty$	0

$A^0$	0	1	2
0	0	4	11
1	6	0	2
2	3	7	0

$A^1$	0	1	2
0	0	4	6
1	6	0	2
2	3	7	0

$A^2$	0	1	2
0	0	4	6
1	5	0	2
2	3	7	0

# Example



-	7	5	1	-	-	-	-
-	-	-	-	4	-	-	-
-	7	-	-	9	9	-	-
-	5	-	-	-	-	16	-
-	-	-	4	-	-	-	1
-	-	-	-	-	-	1	-
2	-	-	-	-	-	-	4
-	-	-	-	-	2	4	-

Initial Cost Matrix

$$c(*,*) = c(*,*,0)$$



Final Cost Matrix  $c(*,*) = c(*,*,n)$

0	6	5	1	10	13	14	11
10	0	15	8	4	7	8	5
12	7	0	13	9	9	10	10
15	5	20	0	9	12	13	10
6	9	11	4	0	3	4	1
3	9	8	4	13	0	1	5
2	8	7	3	12	6	0	4
5	11	10	6	15	2	3	0

# kay Matrix

0 4 0 0 4 8 8 5

8 0 8 5 0 8 8 5

7 0 0 5 0 0 6 5

8 0 8 0 2 8 8 5

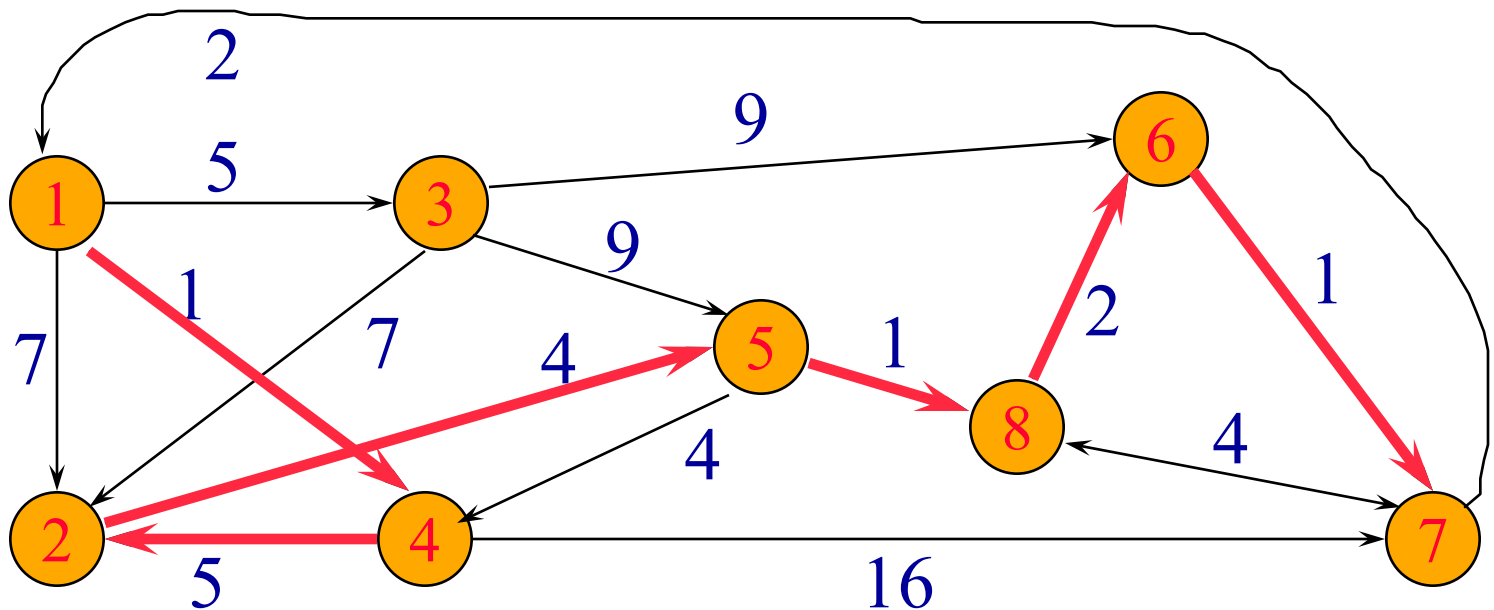
8 4 8 0 0 8 8 0

7 7 7 7 7 0 0 7

0 4 1 1 4 8 0 0

7 7 7 7 7 0 6 0

# Shortest Path



Shortest path from 1 to 7.

Path length is 14.

# Build A Shortest Path

0 4 0 0 4 8 8 5  
8 0 8 5 0 8 8 5  
7 0 0 5 0 0 6 5  
8 0 8 0 2 8 8 5  
8 4 8 0 0 8 8 0  
7 7 7 7 7 0 0 7  
0 4 1 1 4 8 0 0  
7 7 7 7 7 0 6 0

- The path is 1 4 2 5 8 6 7.

- $\text{kay}(1,7) = 8$

1  $\longrightarrow$  8  $\longrightarrow$  7

- $\text{kay}(1,8) = 5$

1  $\longrightarrow$  5  $\longrightarrow$  8  $\longrightarrow$  7

- $\text{kay}(1,5) = 4$

1  $\longrightarrow$  4  $\longrightarrow$  5  $\longrightarrow$  8  $\longrightarrow$  7

# Build A Shortest Path

0 4 0 0 4 8 8 5

8 0 8 5 0 8 8 5

7 0 0 5 0 0 6 5

8 0 8 0 2 8 8 5

8 4 8 0 0 8 8 0

7 7 7 7 7 0 0 7

0 4 1 1 4 8 0 0

7 7 7 7 7 0 6 0

- The path is 1 4 2 5 8 6 7.

1 → 4 → 5 → 8 → 7

- $\text{kay}(1,4) = 0$

1 4 → 5 → 8 → 7

- $\text{kay}(4,5) = 2$

1 4 → 2 → 5 → 8 → 7

- $\text{kay}(4,2) = 0$

1 4 2 → 5 → 8 → 7

# Build A Shortest Path

0 4 0 0 4 8 8 5

8 0 8 5 0 8 8 5

7 0 0 5 0 0 6 5

8 0 8 0 2 8 8 5

8 4 8 0 0 8 8 0

7 7 7 7 7 0 0 7

0 4 1 1 4 8 0 0

7 7 7 7 7 0 6 0

- The path is 1 4 2 5 8 6 7.

1 4 2  $\longrightarrow$  5  $\longrightarrow$  8  $\longrightarrow$  7

- $\text{kay}(2,5) = 0$

1 4 2 5  $\longrightarrow$  8  $\longrightarrow$  7

- $\text{kay}(5,8) = 0$

1 4 2 5 8  $\longrightarrow$  7

- $\text{kay}(8,7) = 6$

1 4 2 5 8  $\longrightarrow$  6  $\longrightarrow$  7

# Build A Shortest Path

0 4 0 0 4 8 8 5

8 0 8 5 0 8 8 5

7 0 0 5 0 0 6 5

8 0 8 0 2 8 8 5

8 4 8 0 0 8 8 0

7 7 7 7 7 0 0 7

0 4 1 1 4 8 0 0

7 7 7 7 7 0 6 0

- The path is 1 4 2 5 8 6 7.

1 4 2 5 8  $\rightarrow$  6  $\rightarrow$  7

- $\text{kay}(8,6) = 0$

1 4 2 5 8 6  $\rightarrow$  7

- $\text{kay}(6,7) = 0$

1 4 2 5 8 6 7

# Output A Shortest Path

```
void outputPath(int i, int j)
{ // does not output first vertex (i) on path
  if (i == j) return;
  if (kay[i][j] == 0) // no intermediate vertices on path
    print(j + " ");
  else { // kay[i][j] is an intermediate vertex on the path
    outputPath(i, kay[i][j]);
    outputPath(kay[i][j], j);
  }
}
```



# Time Complexity Of outputPath

$O(\text{number of vertices on shortest path})$

**Exercises: P372-1, P373-2, 5, P375-17**

# Directed Graphs Usage

- Directed graphs are often used to represent order-dependent tasks
- Cannot start a task before another task finishes
- Model this task dependent constraint using *arcs*
- An *arc*  $(i,j)$  means *task j* cannot start until *task i* is finished



Task **j** cannot start  
until task **i** is finished

- For the system not to hang, the graph must be acyclic.

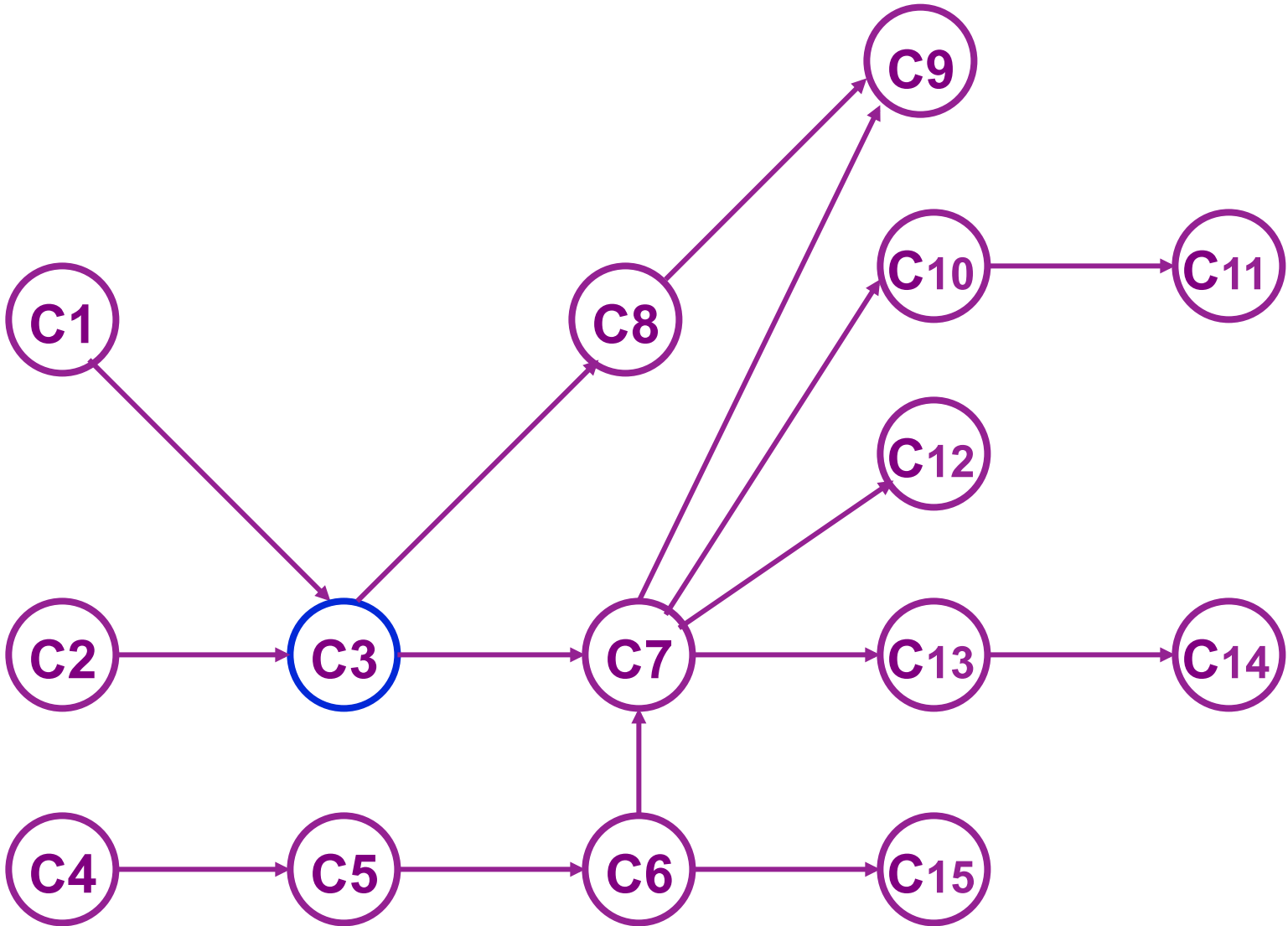
# Activity Networks

## Activity-on-Vertex (AOV) Networks

- A directed graph  $G$
- Vertices
  - Tasks or activities
- Edges
  - Precedence relations between tasks

<b>Course-No.</b>	<b>Course-Name</b>	<b>Prerequisites</b>
<b>C1</b>	<b>Programming I</b>	<b>None</b>
<b>C2</b>	<b>Discrete Mathematics</b>	<b>none</b>
<b>C3</b>	<b>Data Structures</b>	<b>C1, C2</b>
<b>C4</b>	<b>Calculus I</b>	<b>none</b>
<b>C5</b>	<b>Calculus II</b>	<b>C4</b>
<b>C6</b>	<b>Linear Algebra</b>	<b>C5</b>
<b>C7</b>	<b>Analysis of Algorithms</b>	<b>C3, C6</b>
<b>C8</b>	<b>Assembly Language</b>	<b>C3</b>
<b>C9</b>	<b>Operating System</b>	<b>C7, C8</b>
<b>C10</b>	<b>Programming Languages</b>	<b>C7</b>
<b>C11</b>	<b>Compiler Design</b>	<b>C10</b>
<b>C12</b>	<b>Artificial Intelligence</b>	<b>C7</b>
<b>C13</b>	<b>Computational Theory</b>	<b>C7</b>
<b>C14</b>	<b>Parallel Algorithm</b>	<b>C13</b>
<b>C15</b>	<b>Numerical Analysis</b>	<b>C5</b>

# AOV



# Definitions

- Vertex  $i$  in an AOV network  $G$  is a **predecessor** of  $j$  iff there is a directed path from  $i$  to  $j$ . If  $\langle i, j \rangle$  is an edge in  $G$  then  $i$  is an **immediate predecessor** of  $j$  and  $j$  **immediate successor** of  $i$ .
- A precedence relation that is both transitive and irreflexive is a **partial order**.
- A directed graph with no cycle is an **acyclic** graph.

# Problem

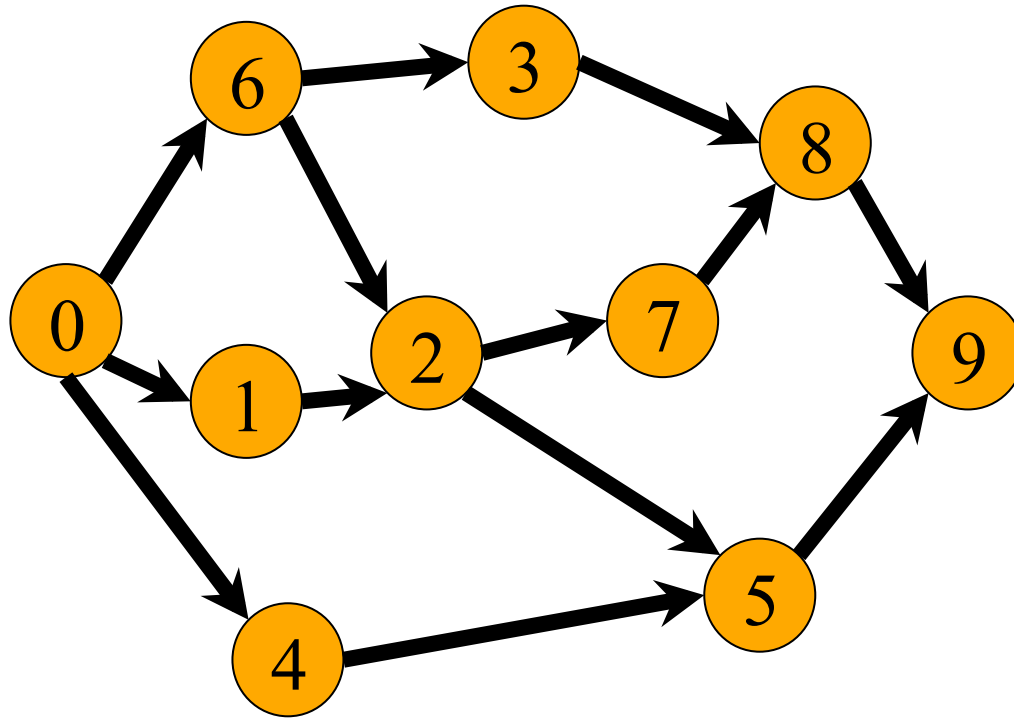
- Given an AOV network  $G$ 
  - whether or not it is irreflexive, i.e., acyclic.
- Solution
  - Generate the **topological order** of vertices in  $G$ .

# Topological order

- A topological order is a linear ordering of vertices of a graph
  - For any two vertices  $i$  and  $j$ , if  $i$  is a predecessor of  $j$  in the network, then  $i$  precedes  $j$  in the linear ordering
- It can be thought of as a way to linearly order the vertices so that the linear order respects the ordering relations implied by the arcs(edges)



# Topological order



For example:

0, 1, 2, 5, 9

0, 4, 5, 9

0, 6, 3, 7 ?

# Whether a Digraph is acyclic?

- Same to:
  - Does every task can be executed?
- Idea:
  - Tasks have no predecessor can be executed
  - Tasks with all predecessors finished can be executed
  - Starting point must have zero indegree!
  - If it doesn't exist, the graph would not be acyclic

# Whether a Digraph is acyclic?

- Vertices with zero *indegree*
  - Can start right away
  - Output it first in the linear order
- A vertex  $i$  is output
  - Its outgoing arcs  $(i, j)$  are no longer useful
  - Since tasks  $j$  does not need to wait for  $i$  anymore
    - Remove all  $i$ 's outgoing arcs
- Vertex  $i$  removed
  - new graph is still a directed acyclic graph
- Repeat step 1-2 until no 0-indegree vertex left

# Topological Sort

**Algorithm**  $TSort(G)$

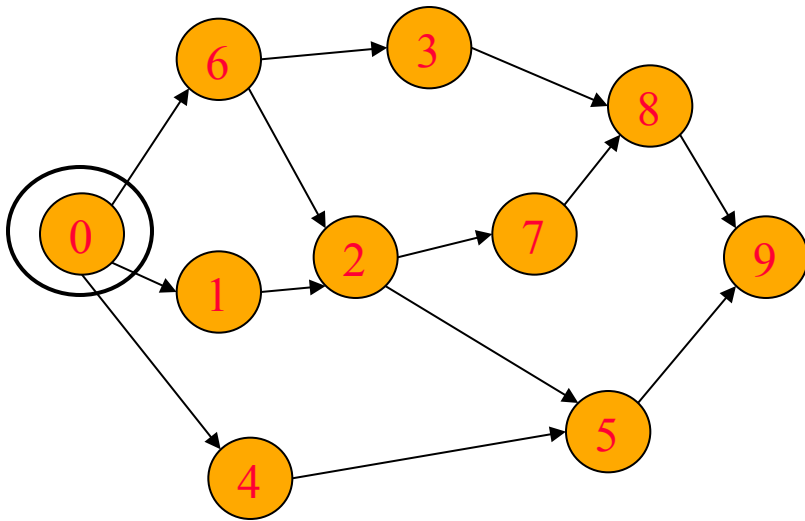
**Input:** a directed acyclic graph  $G$

**Output:** a topological ordering of vertices

1. initialize  $Q$  to be an empty queue;
2. **for** each vertex  $v$
3.     **do if**  $indegree(v) = 0$
4.         **then**  $enqueue(Q, v)$ ;
5. **while**  $Q$  is non-empty
6.     **do**  $v := dequeue(Q)$ ;
7.         output  $v$ ;
8.         **for** each arc  $(v, w)$
9.             **do**  $indegree(w) = indegree(w) - 1$ ;
10.             **if**  $indegree(w) = 0$
11.                 **then**  $enqueue(w)$ ;

The running time is  $O(n + m)$ .

# Example



$Q = \{ 0 \}$

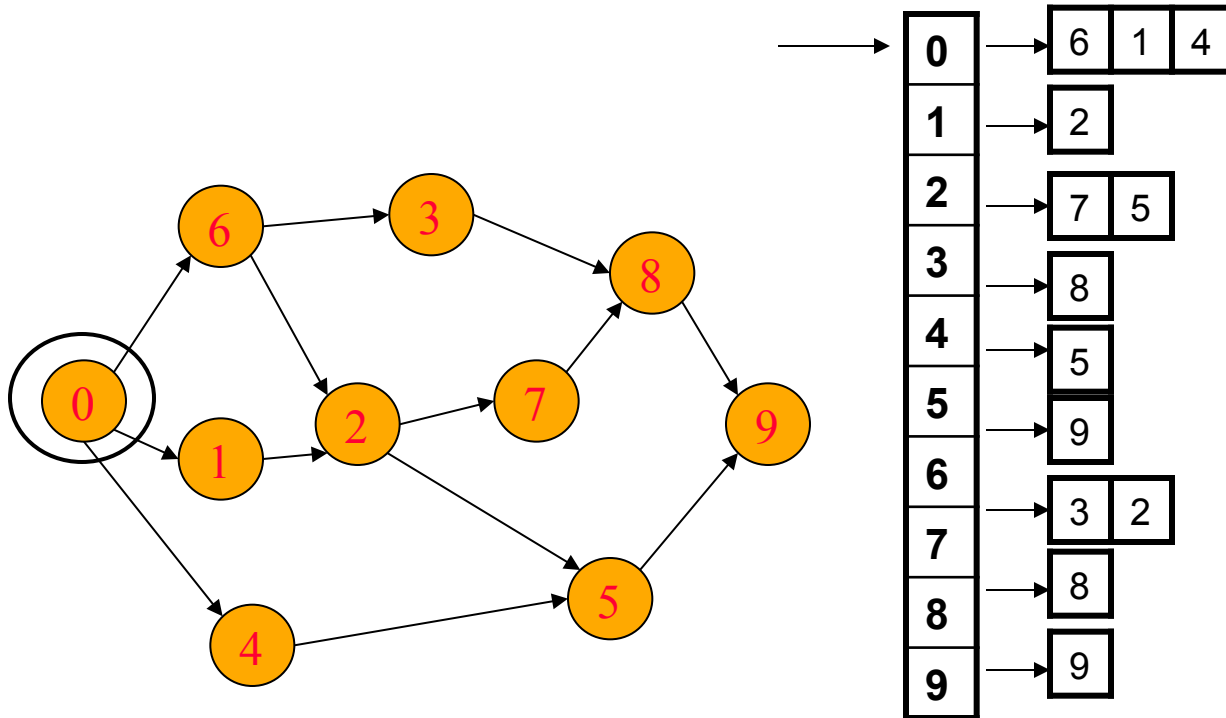
OUTPUT: 0

0	→	6	1	4
1	→	2		
2	→	7	5	
3	→	8		
4	→	5		
5	→	9		
6	→	3	2	
7	→	8		
8	→	8		
9	→	9		

Indegree

0	0	← start
1	1	
2	2	
3	1	
4	1	
5	2	
6	1	
7	1	
8	2	
9	2	

# Example



Indegree

0	0	
1	1	-1
2	2	
3	1	
4	1	-1
5	2	
6	1	-1
7	1	
8	2	
9	2	

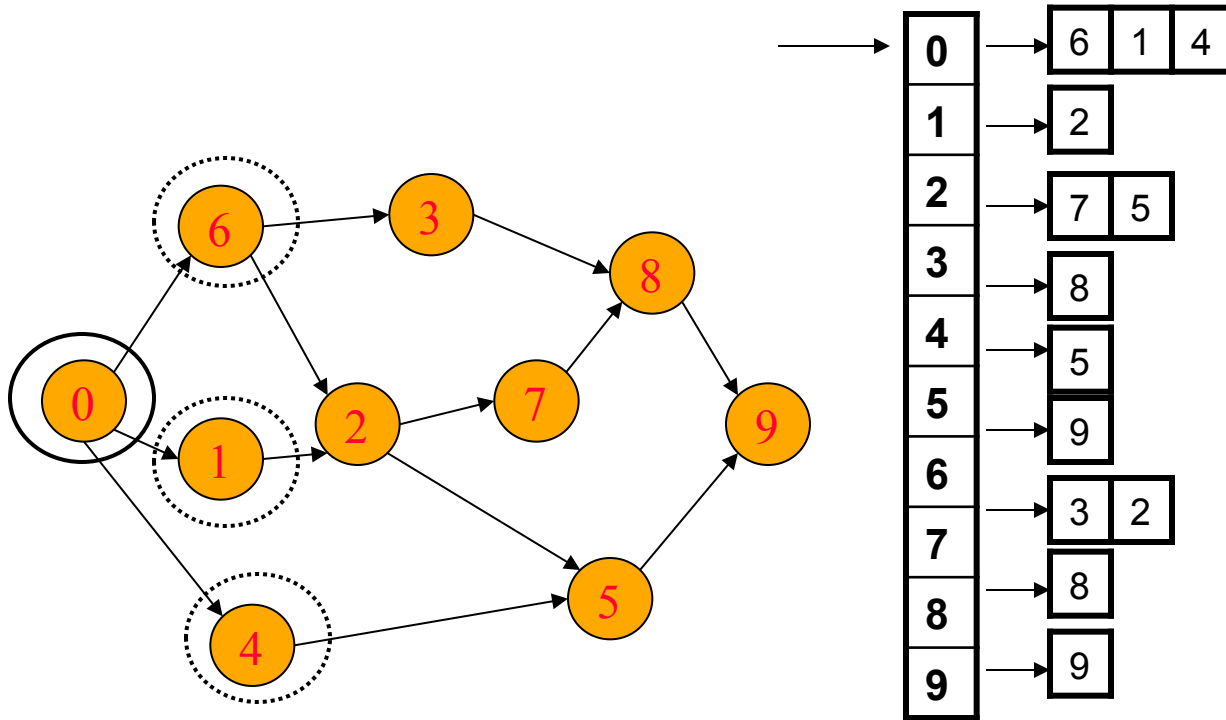
Dequeue 0  $Q = \{ \}$

-> remove 0's arcs – adjust  
indegrees of neighbors

Decrement 0's  
neighbors

OUTPUT:

# Example



Dequeue 0     $Q = \{ 6, 1, 4 \}$   
Enqueue all starting points

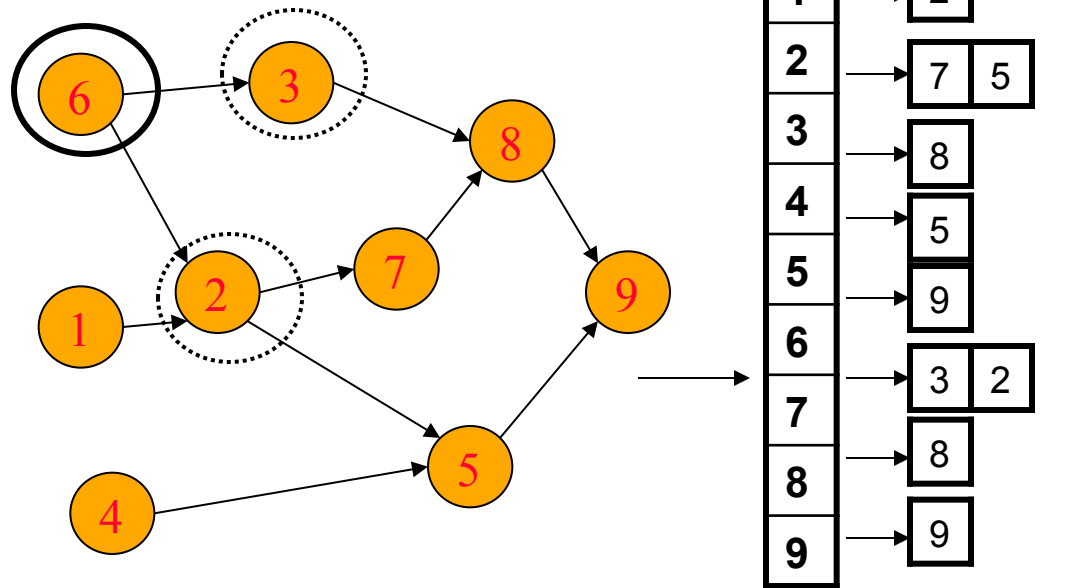
Indegree

0	0	
1	0	←
2	2	
3	1	
4	0	←
5	2	
6	0	←
7	1	
8	2	
9	2	

Enqueue all  
new start points

OUTPUT: 0

# Example



Indegree

0	0	
1	0	
2	2	-1
3	1	-1
4	0	
5	2	
6	0	
7	1	
8	2	
9	2	

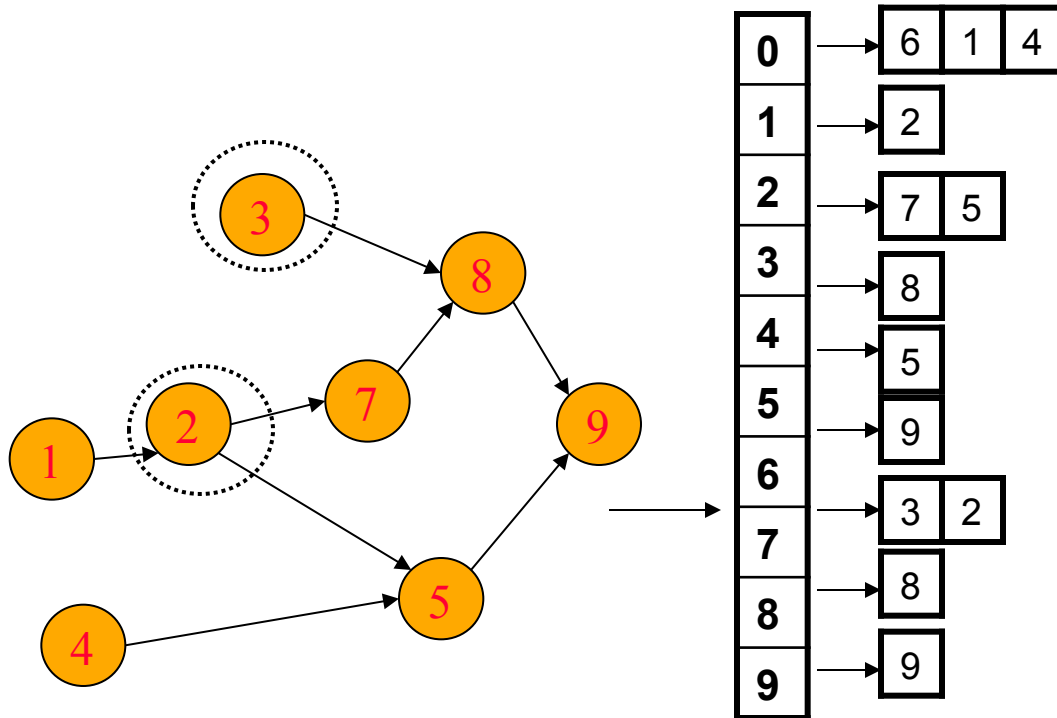
Dequeue 6  $Q = \{ 1, 4 \}$   
 Remove arcs .. Adjust indegrees  
 of neighbors

Adjust neighbors  
 indegree

OUTPUT: 0 6



# Example



Indegree

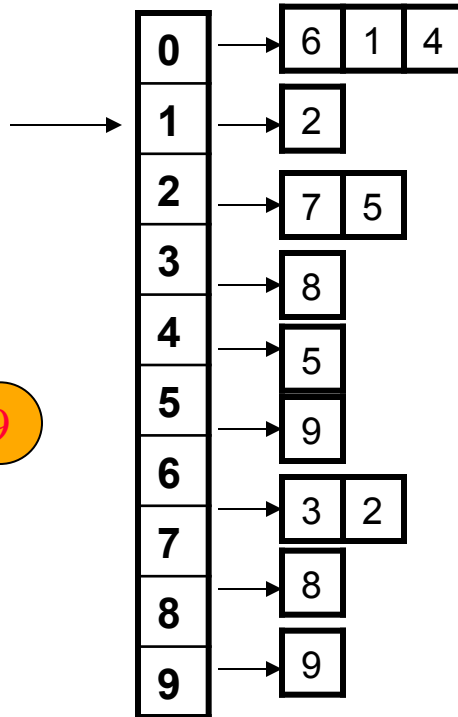
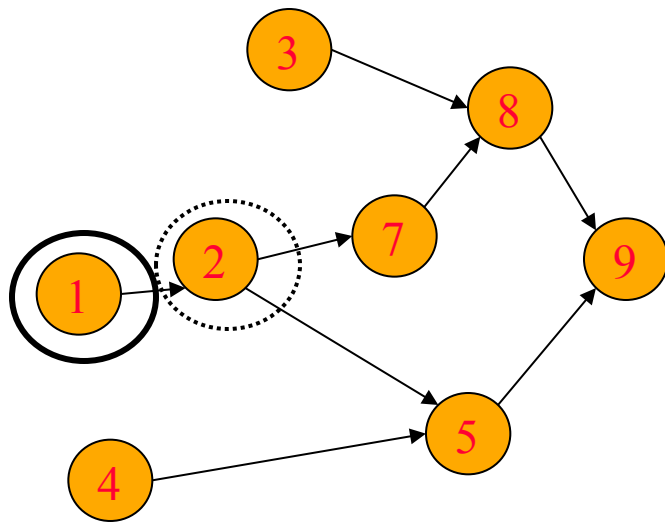
0	0	
1	0	
2	1	
3	0	←
4	0	
5	2	
6	0	
7	1	
8	2	
9	2	

Dequeue 6  $Q = \{ 1, 4, 3 \}$   
Enqueue 3

Enqueue new  
start

OUTPUT: 0 6

# Example



Indegree

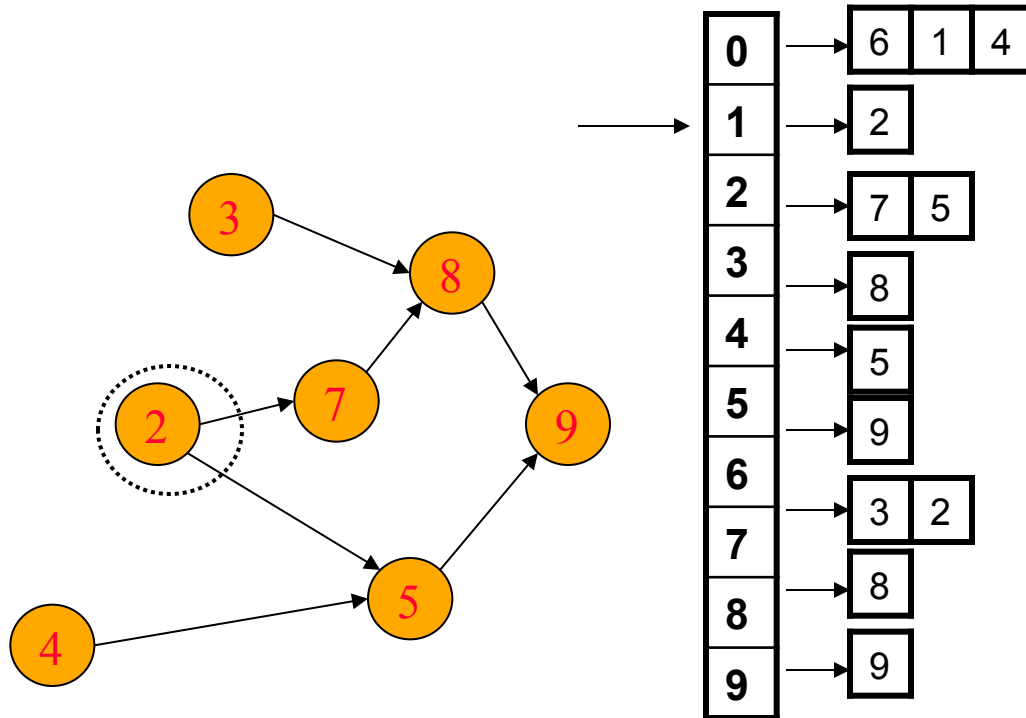
0	0	
1	0	
2	1	-1
3	0	
4	0	
5	2	
6	0	
7	1	
8	2	
9	2	

Dequeue 1  $Q = \{ 4, 3 \}$   
Adjust indegrees of neighbors

Adjust neighbors  
of 1

OUTPUT: 0 6 1

# Example



Indegree

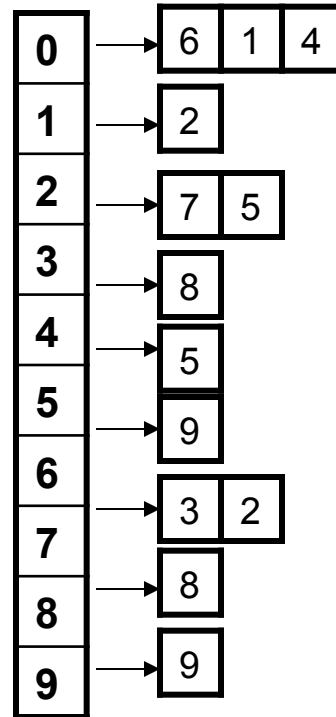
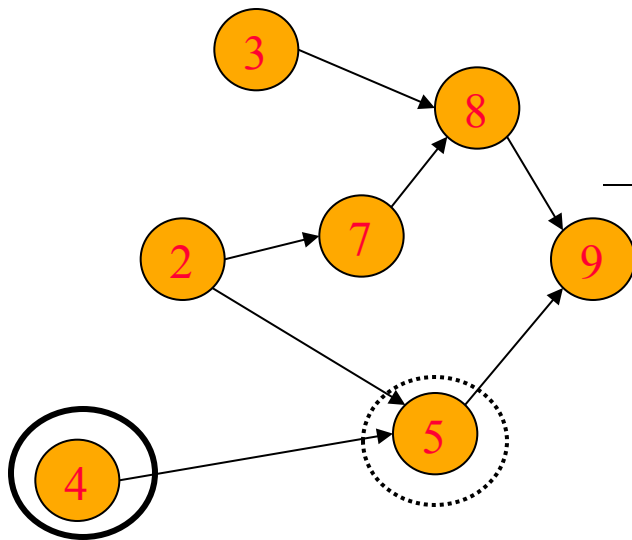
0	0	
1	0	
2	0	←
3	0	
4	0	
5	2	
6	0	
7	1	
8	2	
9	2	

Dequeue 1  $Q = \{ 4, 3, 2 \}$   
 Enqueue 2

Enqueue new  
 starting points

OUTPUT: 0 6 1

# Example



Indegree

0	0
1	0
2	0
3	0
4	0
5	2
6	0
7	1
8	2
9	2

-1

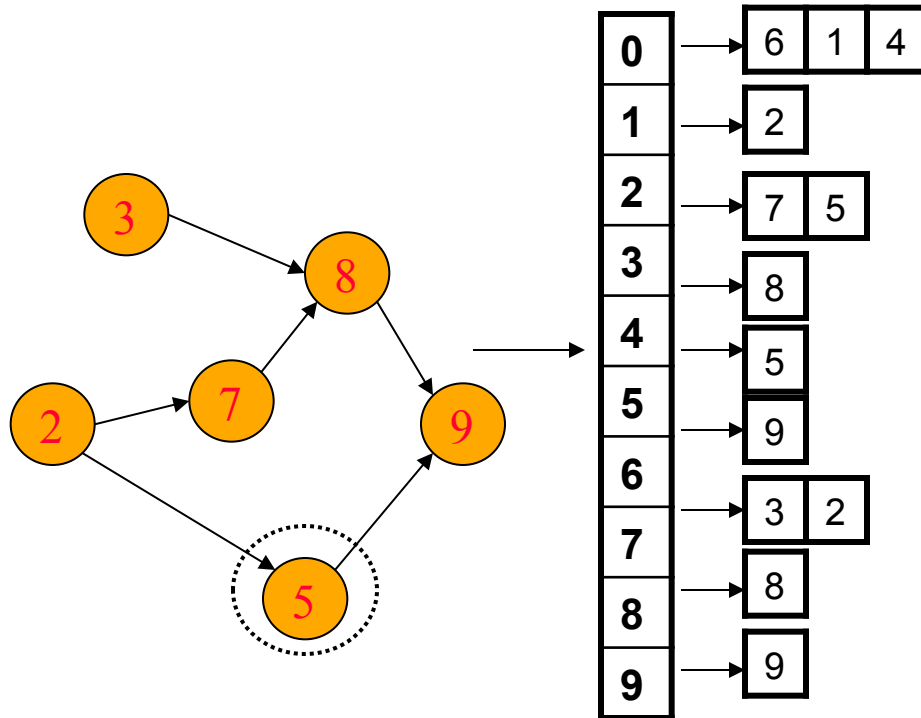
Dequeue 4  $Q = \{ 3, 2 \}$

Adjust indegrees of neighbors

Adjust 4's  
neighbors

OUTPUT: 0 6 1 4

# Example



Indegree

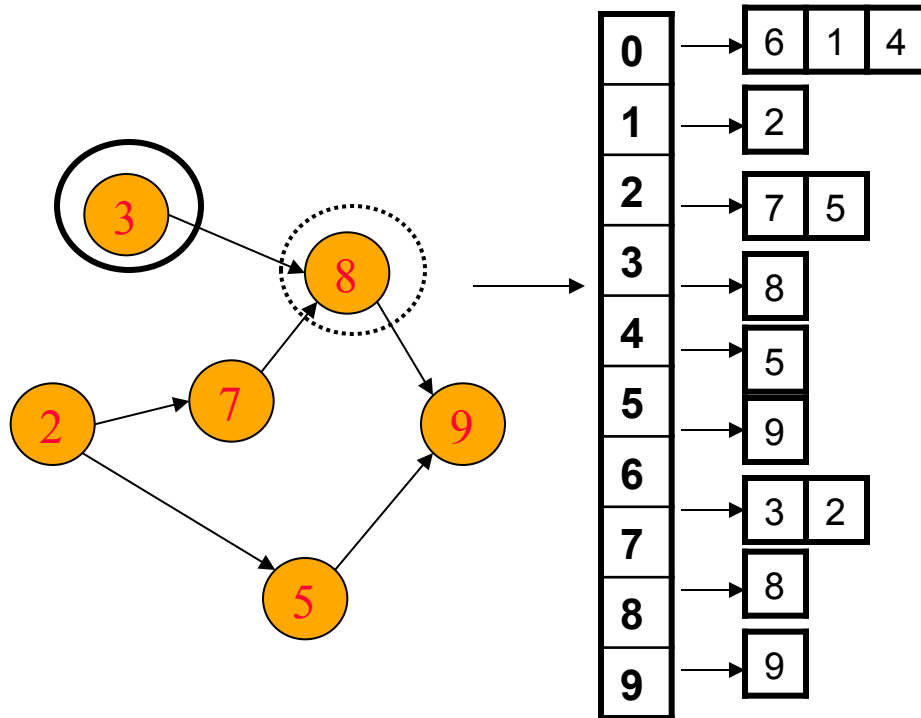
0	0
1	0
2	0
3	0
4	0
5	1
6	0
7	1
8	2
9	2

Dequeue 4  $Q = \{ 3, 2 \}$   
No new start points found

NO new start  
points

OUTPUT: 0 6 1 4

# Example



Indegree

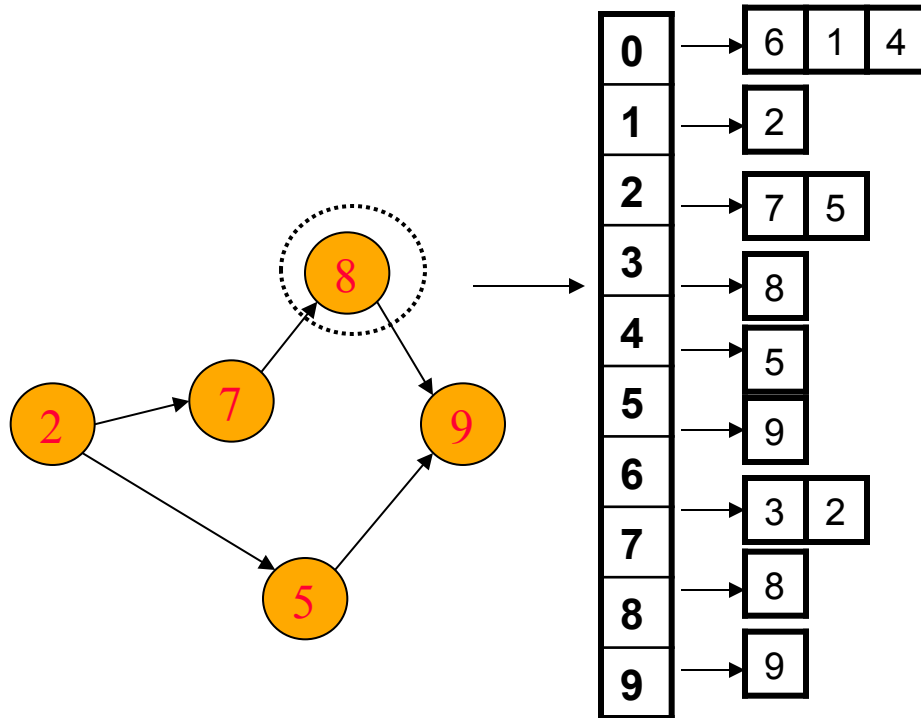
0	0
1	0
2	0
3	0
4	0
5	1
6	0
7	1
8	2
9	2

-1

Dequeue 3  $Q = \{ 2 \}$   
Adjust 3's neighbors

OUTPUT: 0 6 1 4 3

# Example



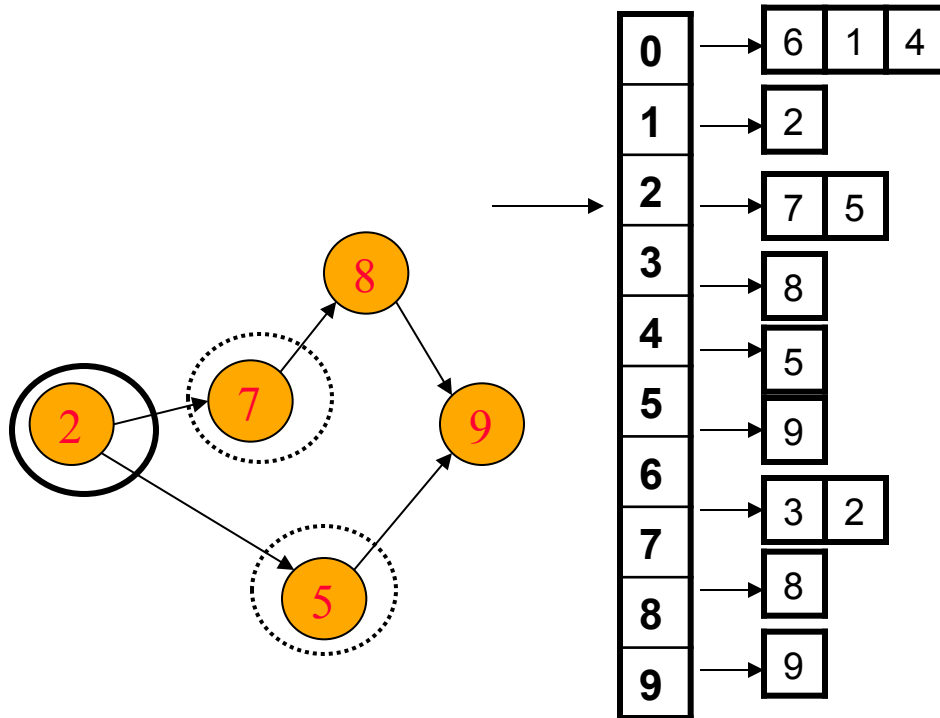
Indegree

0	0
1	0
2	0
3	0
4	0
5	1
6	0
7	1
8	1
9	2

Dequeue 3  $Q = \{ 2 \}$   
No new start points found

OUTPUT: 0 6 1 4 3

# Example



Indegree

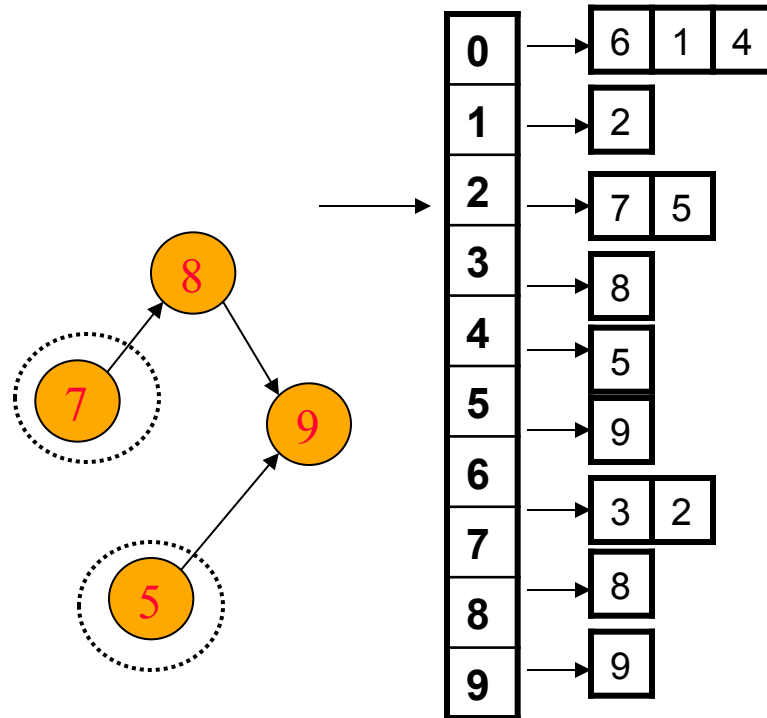
0	0	
1	0	
2	0	
3	0	
4	0	
5	1	
6	0	-1
7	1	
8	1	-1
9	2	

Dequeue 2  $Q = \{ \}$   
Adjust 2's neighbors

OUTPUT: 0 6 1 4 3 2



# Example



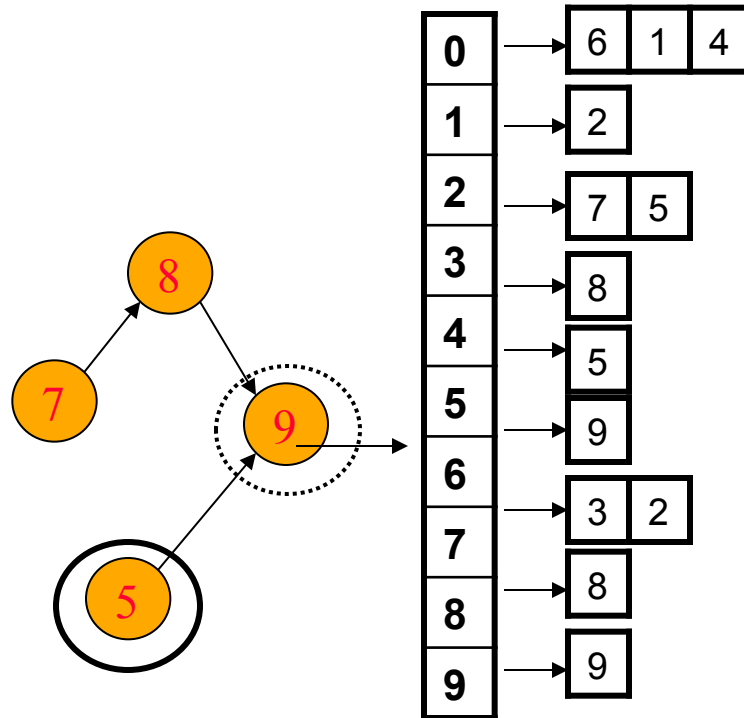
Indegree

0	0	
1	0	
2	0	
3	0	
4	0	
5	0	←
6	0	
7	0	←
8	1	
9	2	

Dequeue 2  $Q = \{5, 7\}$   
 Enqueue 5, 7

OUTPUT: 0 6 1 4 3 2

# Example



Indegree

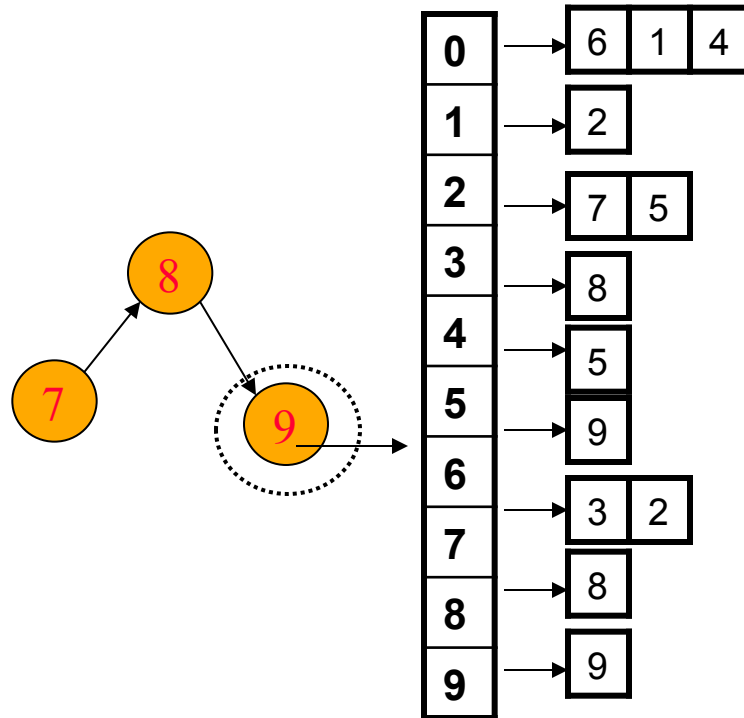
0	0
1	0
2	0
3	0
4	0
5	0
6	0
7	0
8	1
9	2

-1

Dequeue 5  $Q = \{ 7 \}$   
Adjust neighbors

OUTPUT: 0 6 1 4 3 2 5

# Example



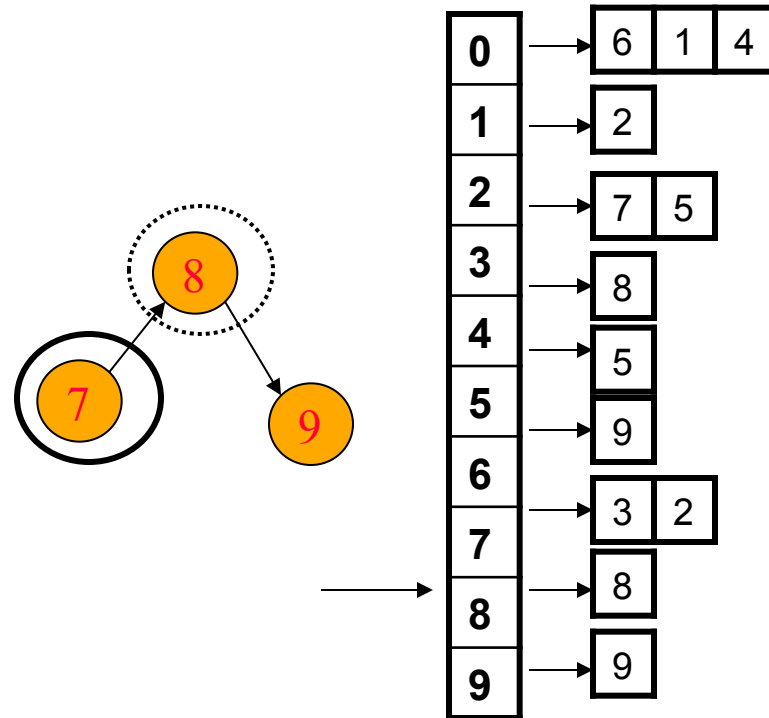
Indegree

0	0
1	0
2	0
3	0
4	0
5	0
6	0
7	0
8	1
9	1

Dequeue 5  $Q = \{ 7 \}$   
No new starts

OUTPUT: 0 6 1 4 3 2 5

# Example



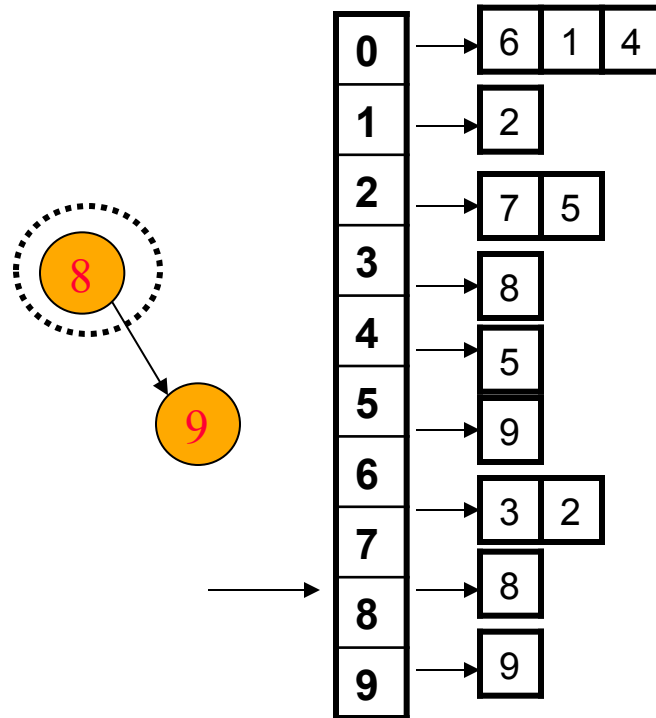
Indegree

0	0
1	0
2	0
3	0
4	0
5	0
6	0
7	0
8	1
9	1

Dequeue 7  $Q = \{ \}$   
Adjust neighbors

OUTPUT: 0 6 1 4 3 2 5 7

# Example



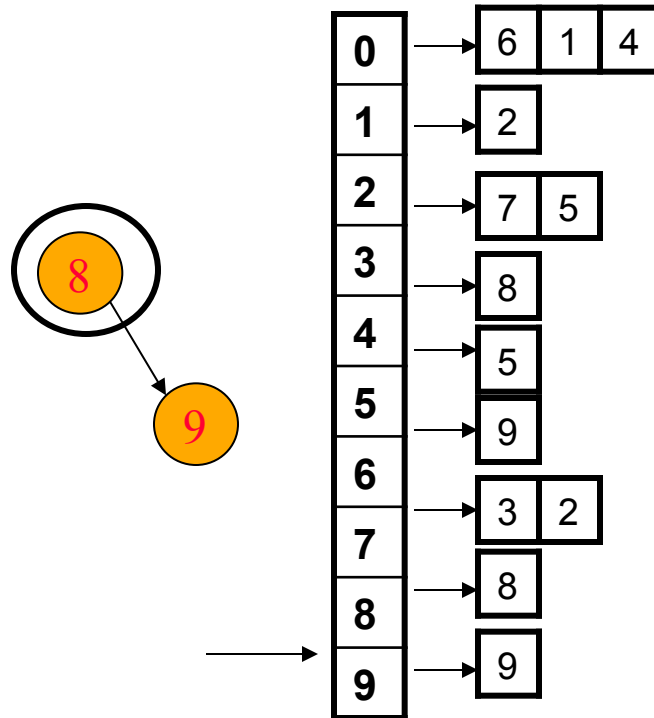
Indegree

0	0
1	0
2	0
3	0
4	0
5	0
6	0
7	0
8	0
9	1

Dequeue 7  $Q = \{ 8 \}$   
Enqueue 8

OUTPUT: 0 6 1 4 3 2 5 7

# Example



Indegree

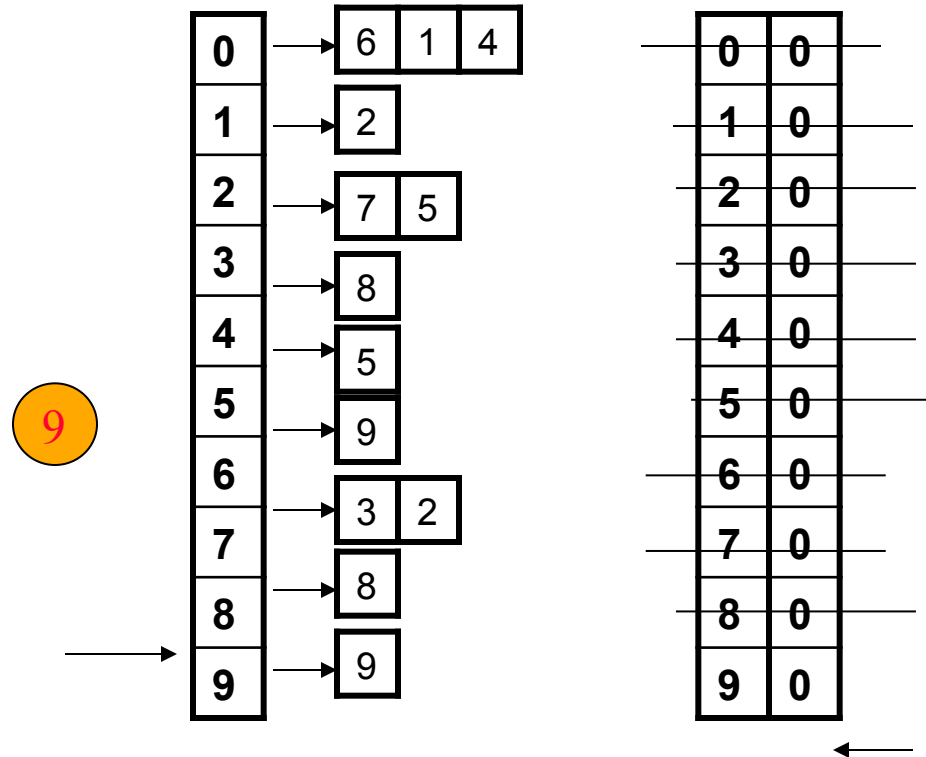
0	0
1	0
2	0
3	0
4	0
5	0
6	0
7	0
8	0
9	1

-1

Dequeue 8  $Q = \{ \}$   
Adjust indegrees of neighbors

OUTPUT: 0 6 1 4 3 2 5 7 8

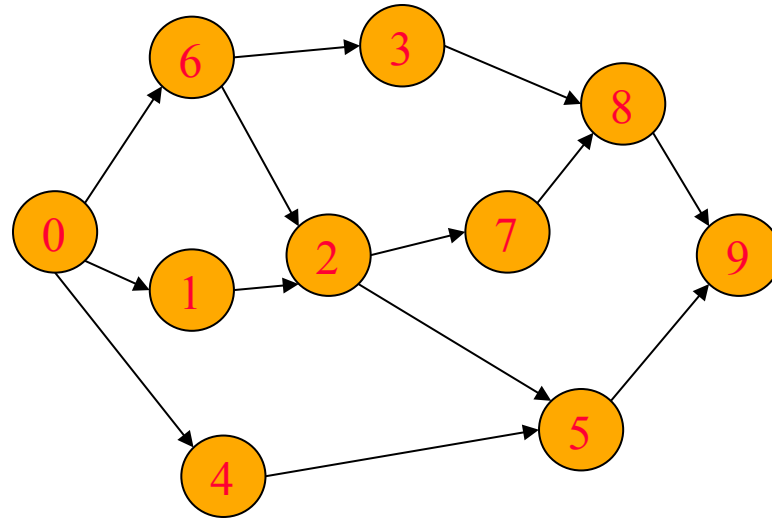
# Example



Dequeue 8  $Q = \{ 9 \}$   
Enqueue 9  
Dequeue 9  $Q = \{ \}$   
STOP – no neighbors

OUTPUT: 0 6 1 4 3 2 5 7 8 9

# Example



OUTPUT: 0 6 1 4 3 2 5 7 8 9

Is output topologically correct?



# Topological Sort: Complexity

- We never visited a vertex more than one time
- For each vertex, we had to examine all outgoing edges
  - $\sum \text{outdegree}(v) = m$
  - This is summed over all vertices, not per vertex
- So, our running time is exactly
  - $O(n + m)$
- Can we use a stack instead of a queue?

- 1 Input the AOV network, let  $n$  be the number of vertices;
- 2 **for** (**int**  $i=0$ ;  $i<n$ ;  $i++$ ) // output the vertices
- 3 {
- 4   **if** (every vertex has a predecessor) **return**;
- 5    // network has a cycle and is infeasible.
- 6   pick a vertex  $v$  that has no predecessors;
- 7   **cout** <<  $v$ ;
- 8   delete  $v$  and all edges leading out of  $v$  from the network;
- 9 }

- **void** LinkedGraph::TopologicalOrder() { // **count[i] = indegree(i)**
- **int** top = -1, pos = 0;
- **for** (**int** i=0; i<n; i++) //create a linked stack of vertices with
- **if** (count[i]==0) { count[i]=top; top=i;} //no predecessors
- **for** (i=0; i<n; i++)
- **if** (top==-1) **throw** "network has a cycle.";
- **int** j=top; top=count[top]; //unstack a vertex
- t[pos++] = j; // store vertex j in topological order
- Chain<**int**>::ChainIterator ji=adjLists[j].begin();
- **while** (ji != adjLists[j].end()) { // decrease the count of
- count[\*ji]--;                     // the successor vertices of j
- **if** (count[\*ji]==0) {count[\*ji]=top; top=\*ji;} //add to stack
- ji++; // next successor
- }
- }

# Project Planning Problem

- A project
  - Several tasks
  - Task time
  - Task dependencies
- Problem
  - How long at least to finish the project (all tasks)?
  - What tasks are critical to the finish time?

# An example

Tasks	Time	Succ
a1	6	a4
a2	4	a5
a3	5	a6
a4	1	a7 a8
a5	1	a7 a8
a6	2	a9

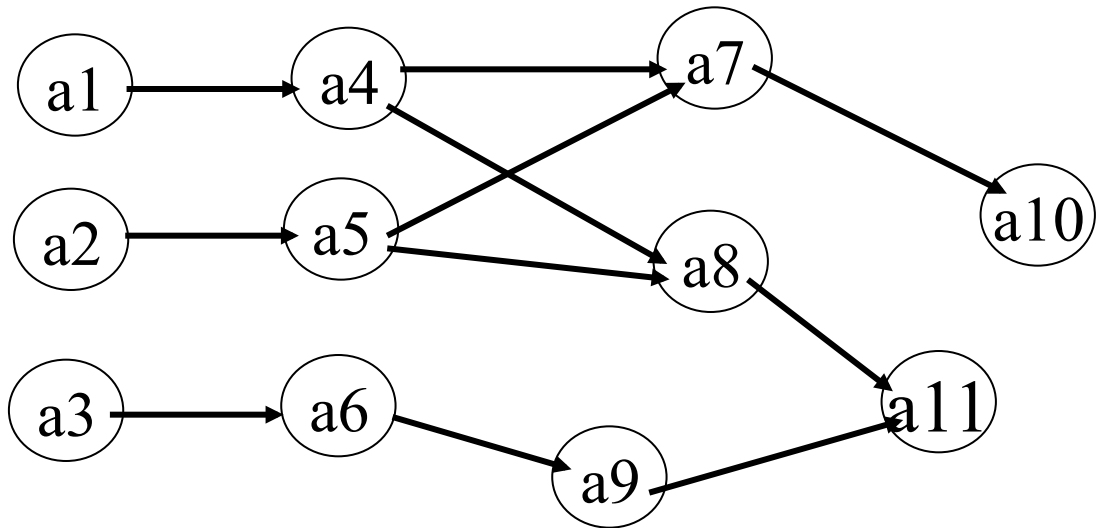
a7	9	a10
a8	7	a11
a9	4	a11
a10	2	
a11	4	

# Problem Analysis

- Problem
  - How long at least to finish the project (all tasks)?
  - What tasks are critical to the finish time?
- Key words
  - At Least
    - No delay
  - Critical
    - Delay is not allowed

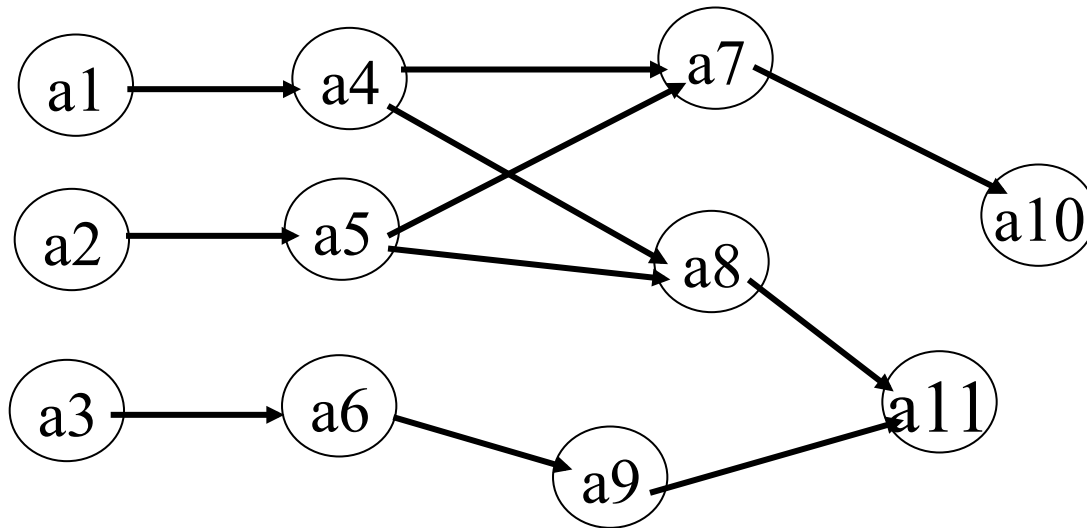
a1	6	a4
a2	4	a5
a3	5	a6
a4	1	a7 a8
a5	1	a7 a8
a6	2	a9
a7	9	a10
a8	7	a11
a9	4	a11
a10	2	
a11	4	

## AOV



- Problem
  - How long at least to finish the project (all tasks)?
  - What tasks are critical to the finish time?

# Possible Solution



- Topological Sort on AOV?
  - Output task
  - Does not know whether the project is finished or not



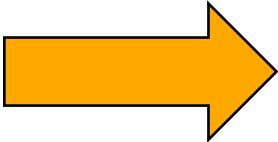
# Possible Solution

- Analysis
  - We should know what tasks are finished at a given time point
  - Time point
    - Project Phase
    - E.g : after phase 1, task1, 2, 3 are finished  
after phase 2, task1, 2, 3,4,5,6 are finished

# Possible Solution

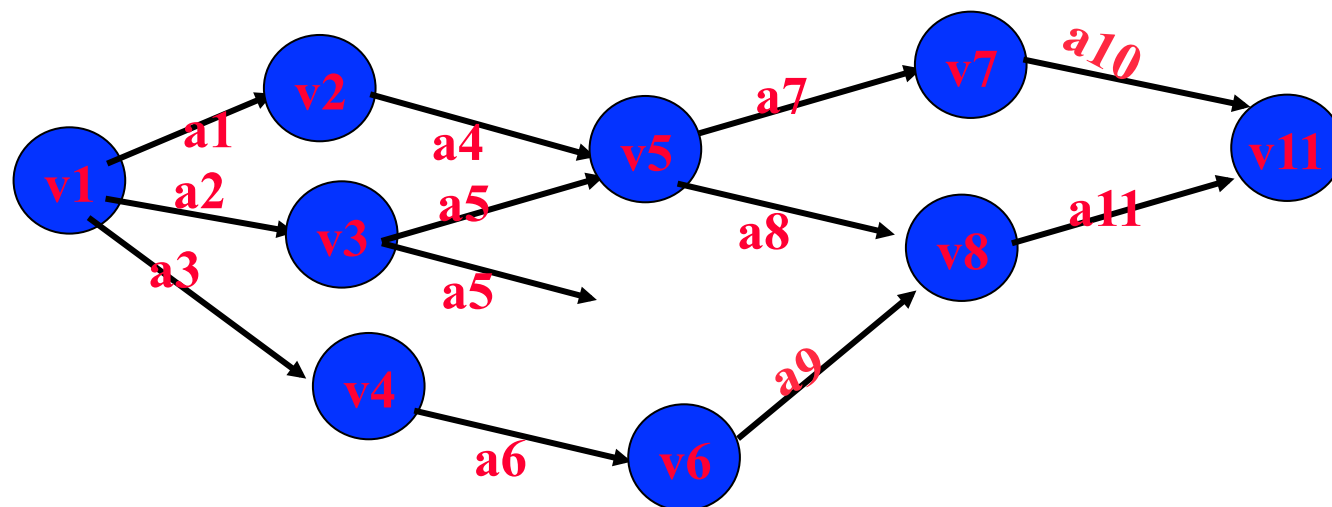
- If the outputs of topological sort are project phases...
  - We did it!
- How to make it happen
  - Network with project phase as vertex
  - Edges?
    - Tasks!

a1	6	a4
a2	4	a5
a3	5	a6
a4	1	a7 a8
a5	1	a7 a8
a6	2	a9
a7	9	a10
a8	7	a11
a9	4	a11
a10	2	
a11	4	



T	W	Pre	a7	9	a4
a1	6				a5
a2	4		a8	7	a4
a3	5				a5
a4	1	a1	a9	4	a6
a5	1	a2	a10	2	a7
a6	2	a3	a11	4	a8
					a9

T	W	Pre	a7	9	a4
a1	6				a5
a2	4		a8	7	a4
a3	5				a5
a4	1	a1	a9	4	a6
a5	1	a2	a10	2	a7
a6	2	a3	a11	4	a8
					a9



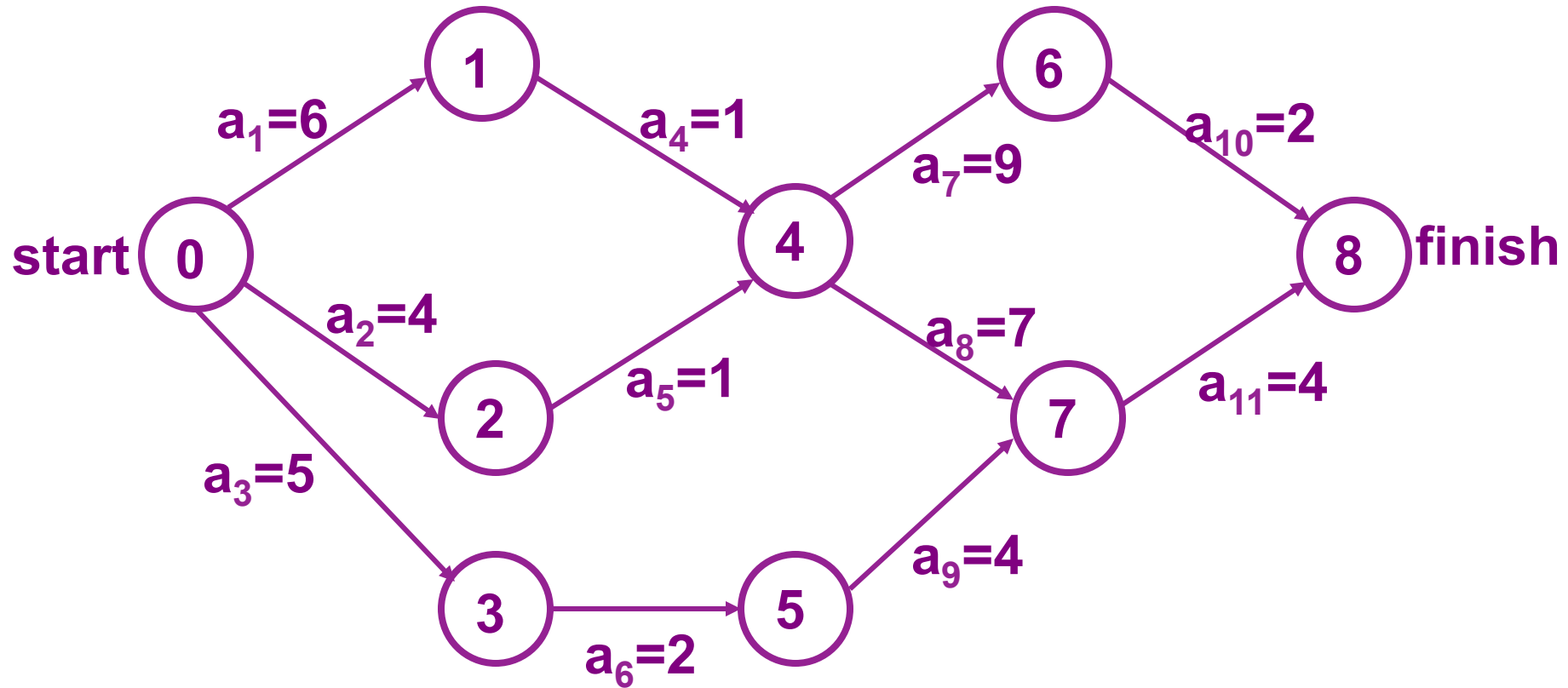
# Activity-on-Edge (AOE) Networks

- directed edges --- tasks to be performed
- vertices --- events, signaling the completion of certain activities.
- activities represented by edges leaving a vertex cannot be started until the event at that vertex has occurred.
- an event occurs only when all activities entering it have been completed.

# Revisit of Project planning

- Problem
  - How long at least to finish the project (all tasks)?
  - What tasks are critical to the finish time?
- Since activities in an AOE network can be carried out in parallel, the minimum time to complete the project is the length of the **longest path** from the start to the finish.
- A path of longest length is a **critical** path.

# Another example



- Path 0, 1, 4, 6, 8
- Path 0, 1, 4, 7, 8

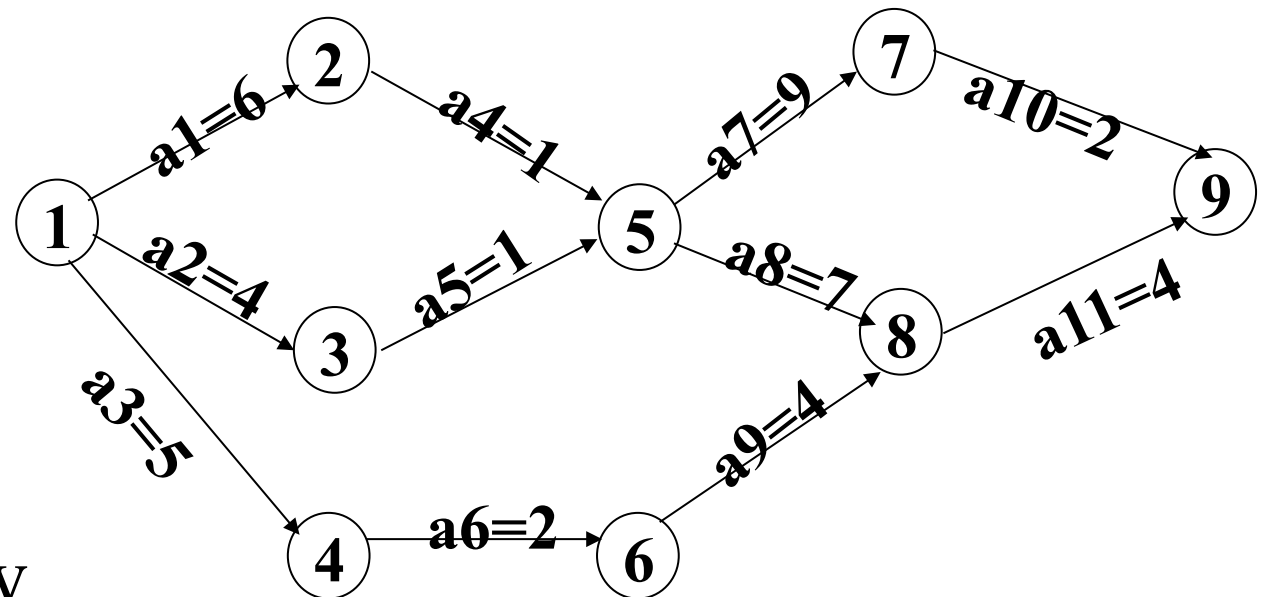
# Critical Activity

- Critical activity
  - Edges in a critical path
  - Cannot delay
  - Starts as soon as possible
- How to identify critical tasks?
  - Given a project time
  - An earliest start time
  - A latest start time
  - If  $e(i) == l(i)$ , then it is critical



# Calculation of Early Activity Times

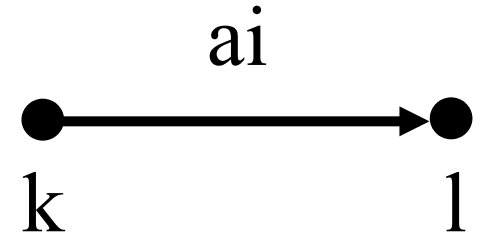
- How to obtain  $e(i)$  and  $l(i)$ ?



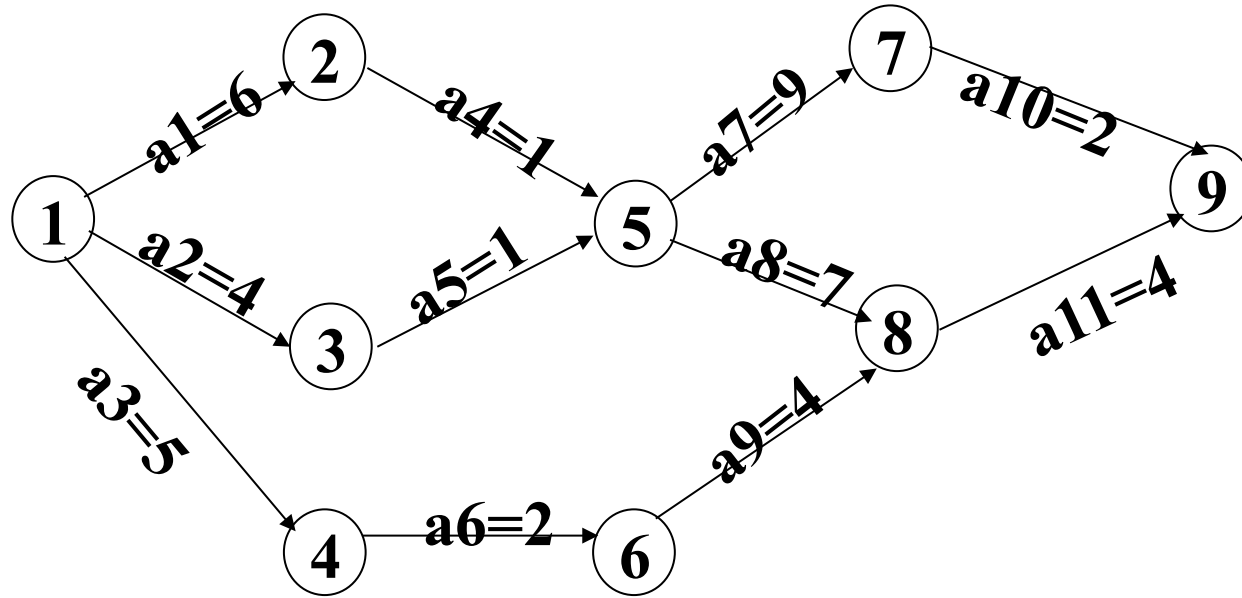
- $a_7$ ?
- If we know
  - Event 5's earliest time
  - Event 7's latest time

# Calculation of Early Activity Times

- If  $a_i$  is edge  $\langle k, l \rangle$ , then
- (1)  $e(i) =$
- $V_e(k)$
- (2)  $l(i) =$
- $V_l(l) - \text{dut}(\langle k, l \rangle)$



# Calculation of Event Times



- $E(1) = ?$

- 0

- $E(2) = ?$

- 6

- $E(3) = ?$

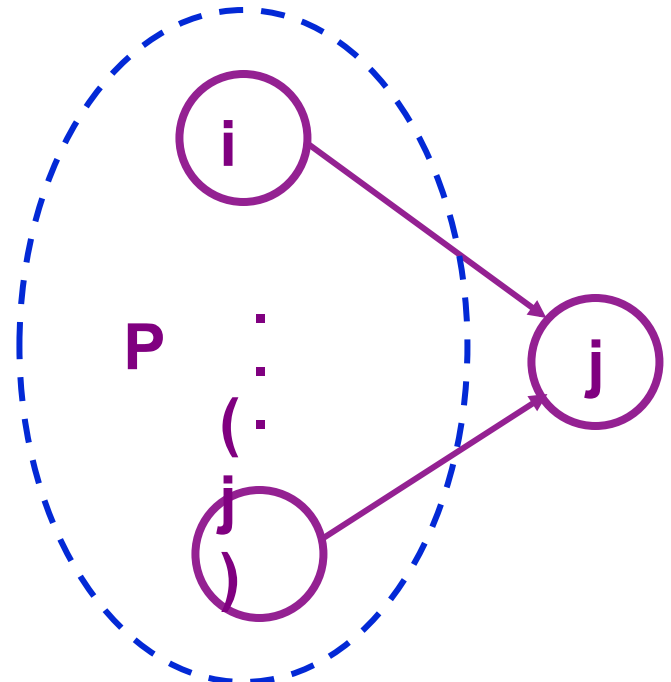
- 4

- $E(5) = ?$

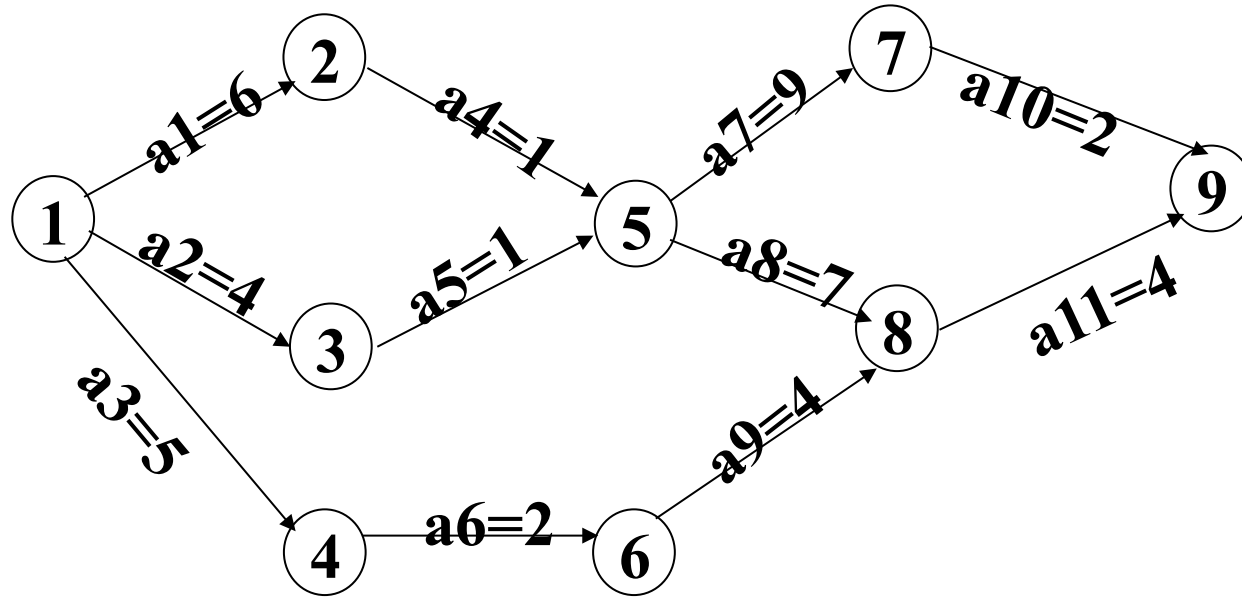
- 7

# Calculation of Event Times

- $P(j)$  is the set of all vertices adjacent to  $j$ .
- $ee[0]=0$  (suppose 0 is the start)
- $ee[j] = \max_{i \in P(j)} \{ee[i] + \text{duration of } \langle i, j \rangle\},$
- Topological Order!



# Calculation of Event Times

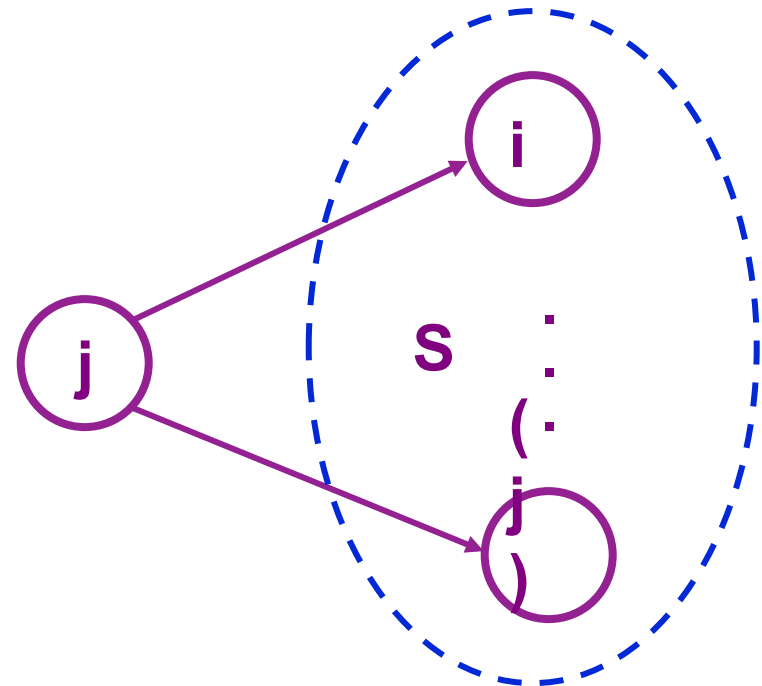


- $L(9) = ?$ 
  - $E(9)$
- $L(8) = ?$ 
  - $L(9) - a_{11}$
- $L(7) = ?$ 
  - $L(9) - a_{10}$
- $L(5) = ?$ 
  - $\text{Min}\{L(7) - a_7, L(8) - a_8\}$

# Calculation of Event Times

- $S(j)$  is the set of all vertices adjacent from  $j$ .
- $le[n-1]=ee[n-1]$  (suppose  $n-1$  is the finish)
- $le[j]= \min \{le[i]-\text{duration of } \langle j, i \rangle\}, i \in S(j)$

- Reverse Topological order!

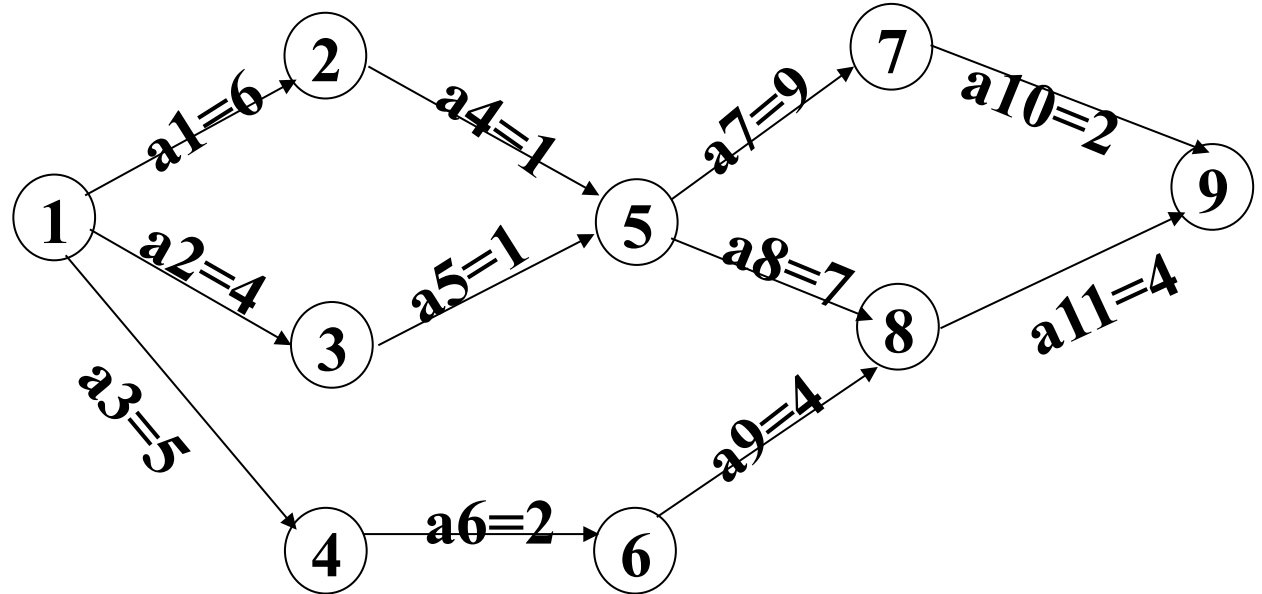


# Revisit of Project planning

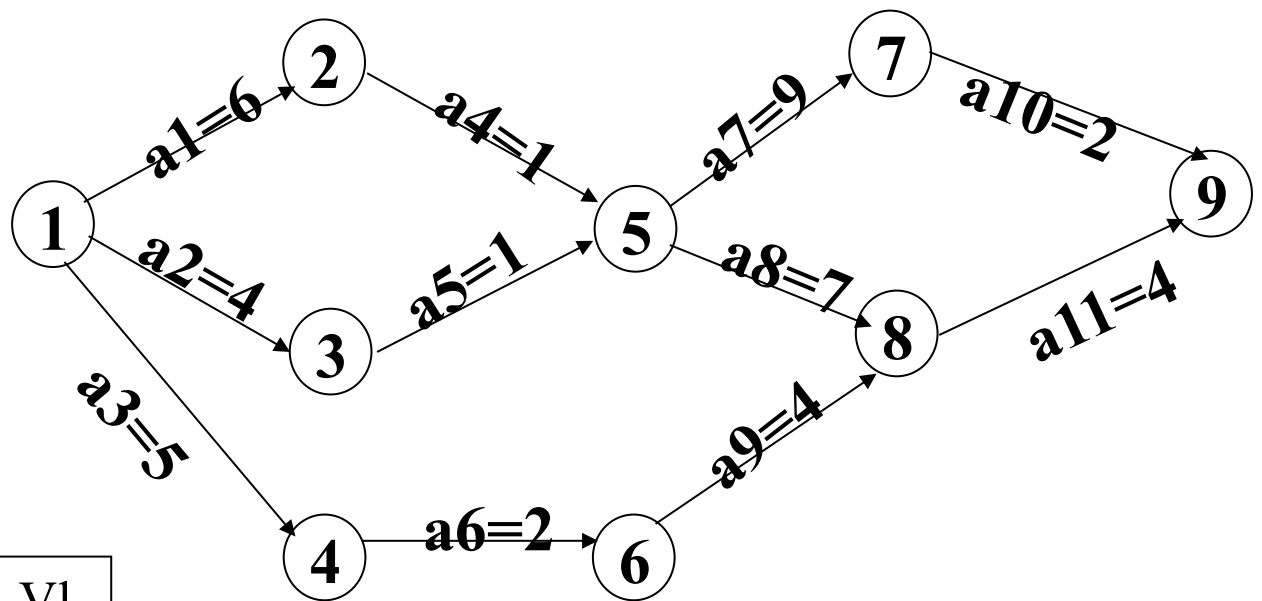
- Problem
  - How long at least to finish the project (all tasks)?
  - What tasks are critical to the finish time?
- **critical Path**
  - **Path length**
  - **Edges in path**

# Critical Path

- $Ve(i)$
- $Vl(i)$
- $E(i)$
- $L(i)$
- $L(i) - E(i)$







Vertex	Ve	Vl
V1	0	0
V2	6	6
V3	4	6
V4	5	8
V5	7	7
V6	7	10
V7	16	16
V8	14	14
V9	18	18

Activity	e	l	l-e
a1	0	0	0 ✓
a2	0	2	2
a3	0	3	3
a4	6	6	0 ✓
a5	4	6	2
a6	5	8	3
a7	7	7	0 ✓
a8	7	7	0 ✓
a9	7	10	3
a10	16	16	0 ✓
a11	14	14	0 ✓

- struct Pair
- {
- int vertex;
- int dur;     //activity duration
- };

- **class** LinkedGraph {
- **private:**
- Chain<Pair> \*adjLists;
- **int** \*count, \*t, \*ee, \*le;
- **int** n;
- **public:**
- LinkedGraph (**const int** vertices) : {
- **if** (vertices < 1) **throw** “Number of vertices must be > 0”;
- n = vertices;
- adjLists = **new** Chain<Pair>[n];
- count = **new int**[n]; t = **new int**[n];
- ee = **new int**[n]; le = **new int**[n];
- };
- **void** TopologicalOrder();
- **void** EarliestEventTime();
- **void** LatestEventTime();
- **void** CriticalActivities();
- };

- **void** LinkedGraph::EarliestEventTime()
- { // assume a topological order has already been in t,
- // compute ee[j] according to t
- fill(ee, ee+n, 0); // initialize ee
- **for** (i=0; i<n; i++) {
- **int** j=t[i];
- Chain<Pair>::ChainIterator ji=adjLists[j].begin();
- **while** (ji!=adjLists[j].end()) {
- **int** k=ji→vertex; //k is successor of j
- **if** (ee[k]<ee[j]+ji→dur) ee[k]=ee[j]+ji→dur;
- ji++;
- }
- }

- **void** LinkedGraph::LatestEventTime()
- { // assume a topological order in t, ee has
- // been computed, compute le[j] in the reverse order of t
- fill(le, le+n, ee[n-1]); // initialize le
- **for** (i=n-2; i>=0; i--) {
- **int** j=t[i];
- Chain<Pair>::ChainIterator ji=adjLists[j].begin();
- **while** (ji!=adjLists[j].end()) {
- **int** k=ji->vertex; //k is successor of j
- **if** (le[k]-ji->dur<le[j]) le[j]=le[k]-ji->dur;
- ji++;
- }
- }

- **void** LinkedGraph::CriticalActivities()
- { // compute e[i] and l[i], output critical activities
- **int** i=1; // the numbering counter for activities
- **int** u, v, e, l; // e, l are the earliest, latest start time of <u, v>
- **for** (u=0; u<n; u++) { // scan the adjacency lists.
- Chain<Pair>::ChainIterator ui=adjLists[u].begin();
- **while** (ui!=adjLists[u].end()) {
- **int** v=ui->vertex; // <u, v> is an edge numbered i
- e=ee[u]; l=le[v]-ui->dur;
- **if** (l==e) **cout** <<"a"<<i<<"<"<<u<<"<v<<">"
- <<"is a critical activity"<<**endl**;
- ui++; i++;
- }
- }
- }

**Exercises: P389-2, p390-5**

# Graph

- Definitions
- Representations
- Search algorithms
- Spanning tree
- Shortest path
- AOV
- AOE



