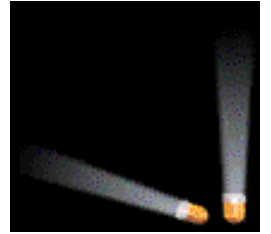
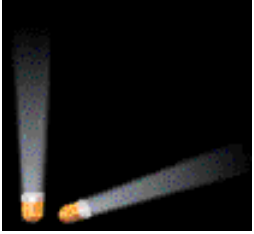
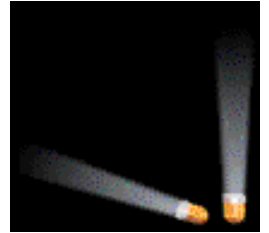
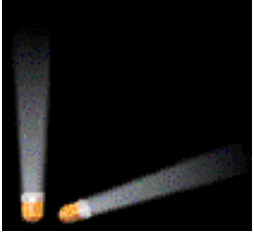


Hashing



- **The Dictionary**
 - a dictionary (table) is an abstract model of a database
 - a dictionary stores **key-element** pairs
 - the main operation supported by a dictionary is **searching by key**

Hashing



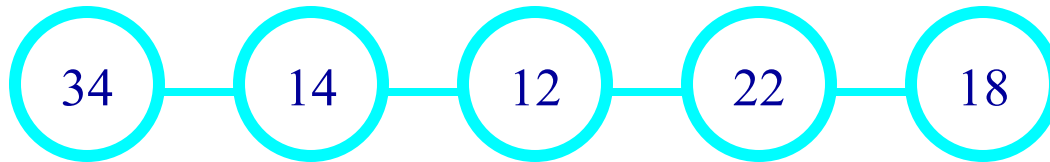
- Applications
 - Telephone directory
 - Library catalogue
 - Books in print: key ISBN
 - FAT (File Allocation Table)

ADT

```
template <class K, class E>
class Dictionary {
public:
    virtual bool IsEmpty () const = 0;
    virtual pair<K,E>* Get(const K&) const = 0;
    virtual void Insert(const pair<K,E>&) = 0;
    virtual void Delete(const K&) = 0;
};
```

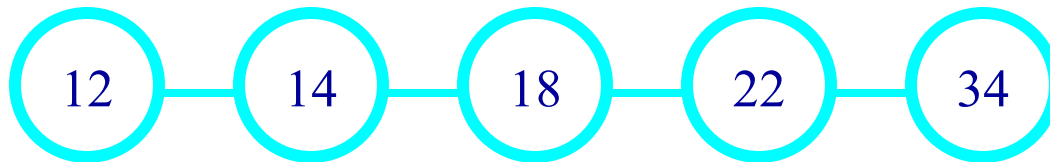
Implementing a Dictionary with a Sequence

- *unordered sequence*
 - searching and removing takes $O(n)$ time
 - inserting takes $O(1)$ time
 - applications to log files (frequent insertions, rare searches and removals) 34 14 12 22 18



Implementing a Dictionary with a Sequence

- *array-based ordered sequence*
(assumes keys can be ordered)
- - searching takes $O(\log n)$ time (*binary search*)
- inserting and removing takes $O(n)$ time
- application to look-up tables
(frequent searches, rare insertions and removals)



Other Implementations?

- Binary search tree
 - $O(h) \rightarrow O(n)$
- Balanced search trees
 - $O(\log N)$
- Key comparison based
- Can we do better?

Application

- China Telecom is a large phone company, and they want to provide enhanced caller ID capability:
 - given a phone number, return the caller's name
 - phone numbers are in the range 0 to $R = 10^{10}-1$
 - **n** is the number of phone numbers used
 - want to do this as efficiently as possible

Bucket Array

- Each cell is thought of as a bucket or a container
 - Holds key element pairs
 - In array A of size N , an element e with key k is inserted in $A[k]$.

(null)	(null)	...	Roberto	...	(null)
--------	--------	-----	---------	-----	--------

0000000000

4109321568

9999999999

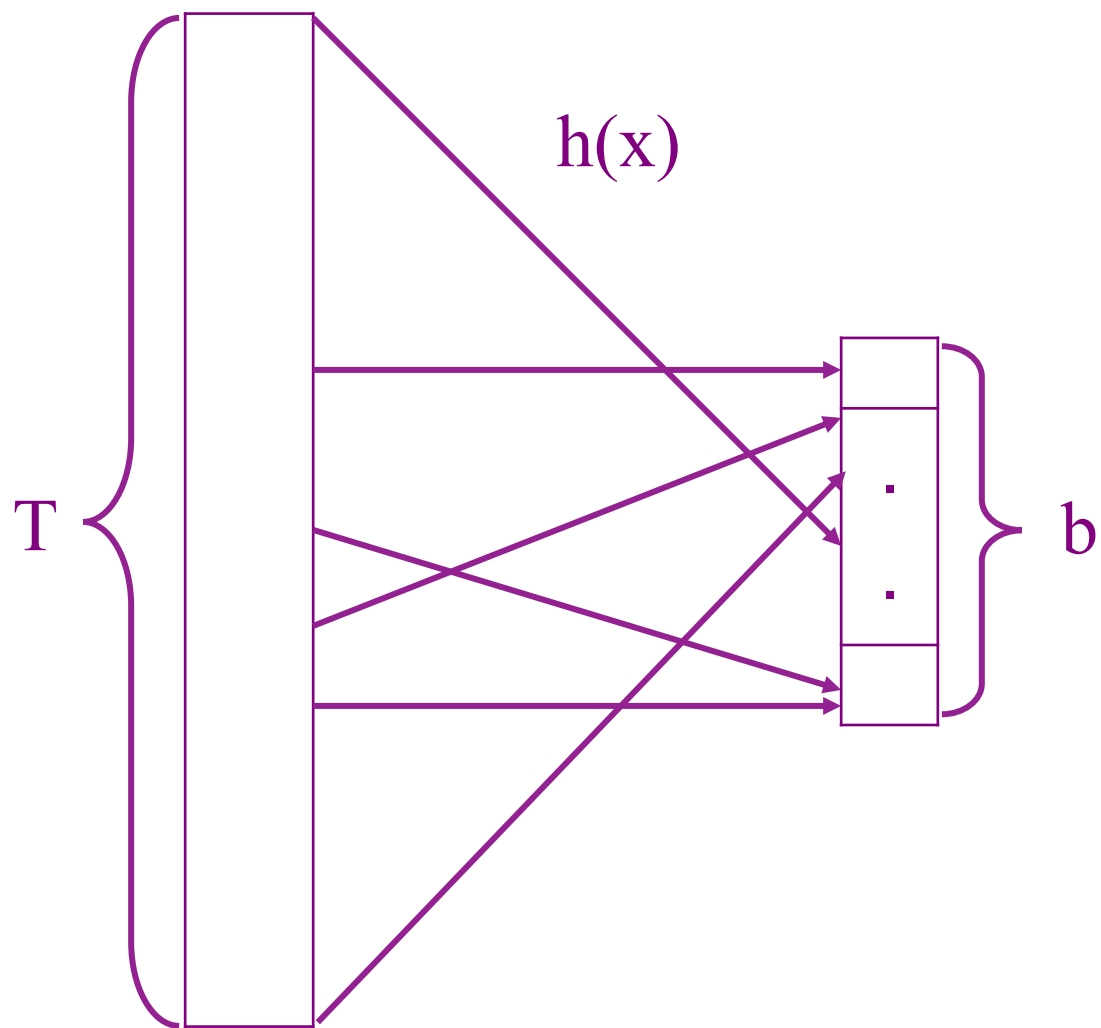
- *A bucket array* indexed by the phone number has optimal $O(1)$ query time
- There is a huge amount of wasted space

Bucket Array

- A data structure
- The location of an item is determined by:
 - directly as a function of the item itself: $f(\text{key}) = \text{key}$
 - Not by a sequence of trial and error comparisons
- Commonly used to provide faster searching
 - $O(n)$ for linear searches
 - $O(\log n)$ for binary search
 - $O(1)$ for hash table

Space Solution

- *A Hash Table* is an alternative solution with $O(1)$ expected query time and $O(n + N)$ space, where N is the size of the table
- Like an array, but with a function to map the large range of keys into a smaller one
 - e.g., take the original key, *mod* the size of the table, and use that as an index



Example

- Insert item (401-863-7639, Roberto) into a table of size 5
- $4018637639 \bmod 5 = 4$, so item (401-863-7639, Roberto) is stored in slot 4 of the table
- A lookup uses the same process: map the key to an index, then check the array cell at that index

				401- 863-7639 Roberto
0	1	2	3	4

Static hashing

- dictionary pairs are stored in a table, **ht**, called hash table
- **ht** is partitioned into **b** buckets: $ht[0:b-1]$
- **ht** is maintained in sequential memory
- each bucket holds **s** slots, each slot holds one pair, usually, $s = 1$
- the address of a pair with key k is determined by a hash function h , $h(k)$ is the hash or home address of k , $h(k) \in \{0, 1, \dots, b-1\}$

Notations

T --- the total number of possible keys.

n --- the number of pairs in the hash table.

Definition:

The **key density** of a hash table is the ratio **n/T** .

The **loading density (or factor)** of a hash table is **$\alpha = n/(s \times b)$** .

Usually, $n \ll T$, and $b \ll T$.

Notations

- 2 keys k_1 and k_2 are said to be **synonyms** with respect to h if **$h(k_1) = h(k_2)$** .
- a **collision** occurs when the home bucket for the new pair is not empty.
- an **overflow** occurs when a new pair is hashed into a full bucket.
- when $s=1$, collisions and overflows occur simultaneously.

An Example

- $b=26$, $s=2$, $n=10$, hence $\alpha = ?$
 - $10/52 = 0.19$
- Keys: GA, D, A, G, L, A2, A1, A3, A4, E
- $h(k)$ = the first character of k
 - A to Z corresponds to 0 to 25

- GA, D, A, G, L entered
- A2 entered
 - Collision
- A1 entered
 - Collision
 - Overflow

ht	Slot 1	Slot 2
0	A	A2
1		
2		
3	D	
4		
5		
6	GA	G
.	.	.
.	.	.
25		

Analysis

- No overflow
- Performance of insert, delete, search
 - Hash function
 - Searching within a bucket
 - Independent of **n**
- However, Overflow is happening
 - $T \gg b$

From Keys to Indices

- The mapping of keys to indices of a hash table is called a **hash function**
- A hash function is usually the composition of two maps:
 - **hash code map**: $\text{key} \diamond \text{integer}$
 - **compression map**: $\text{integer} \diamond [0, N - 1]$

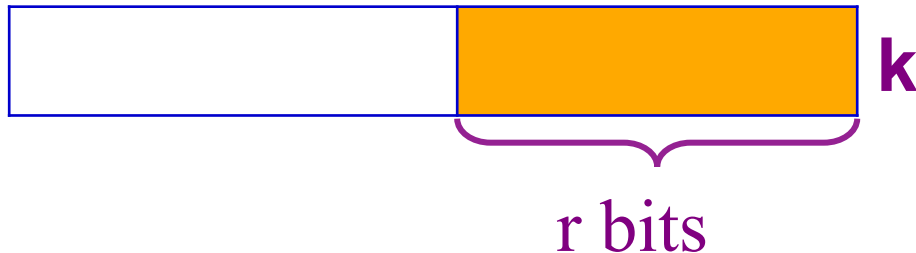
Hash function

- Essential requirement of the hash function
 - map **equal keys to equal indices**
- A “good” hash function
 - minimizes the **probability of collisions**
 - **Easy to compute**
- uniform hash function
 - If k is a key chosen at random from the key space, then the probability that $h(k)=i$ to be $1/b$ for all buckets i

Hash function

compression map

- Division
 - $h(k) = |k| \bmod N$
 - *Selection of N is critical*
 - $N=2^r$ is bad because not all the bits are taken into account



- the table size N is usually chosen as a **prime number**

Hash function

hash code map

- Mid-square
 - $h(k)$ is computed by using an appropriate number of bits from the middle of k^2 to obtain the bucket address.

If r bits used, $b = 2^r$



Hash function

hash code map

- Folding
 - k is partitioned into several parts, all but the last being of the same length
 - These partitions are then added together to obtain the hash address for k .

$k=12320324111220$ is partitioned into parts that are 3 decimal digits long.

$$P_1=123, P_2=203, P_3=241, P_4=112, P_5=20.$$

- shift folding

$$h(k)=123+203+241+112+20=699$$

- folding at the boundaries

$$h(k)=123+302+241+211+20=897$$

Hash function

hash code map

- Digit Analysis
 - each k is interpreted as a number using some radix r
 - the digits of each k are examined
 - digits having the most skewed distribution are deleted
 - until the number of digits left is small enough to give an address

Hash function

hash code map

- Converting Keys to integers
 - for strings of a natural language, combine the character values (ASCII or Unicode) $a_0 a_1 \dots a_{n-1}$ by viewing them as the coefficients of a polynomial: $a_0 + a_1 x + \dots + x_{n-1} a_{n-1}$

Overflow handling

- A key is mapped to an already occupied table location
 - what to do?!?
- Use a collision handling technique
 - *Open Addressing*
 - *Linear Probing*
 - *Quadratic probing*
 - *Double Hashing*
 - *Chaining*

Linear Probing

- $h_i(K) = (\text{hash}(K) + i) \bmod m$
- Insertion:
 - Let K be the new key to be inserted, compute $\text{hash}(K)$
 - For $i = 0$ to $m-1$
 - compute $L = (\text{hash}(K) + i) \bmod m$
 - $T[L]$ is empty, then we put K there and stop.
 - If we cannot find an empty entry to put K , it means that the table is full and we should report an error.

Quadratic Probing

- $h_i(K) = (\text{hash}(K) + i^2) \bmod m$
- Insertion:
 - Let K be the new key to be inserted, compute $\text{hash}(K)$
 - For $i = 0$ to $m-1$
 - compute $L = (\text{hash}(K) + i^2) \bmod m$
 - $T[L]$ is empty, then we put K there and stop.
 - If we cannot find an empty entry to put K , it means that the table is full and we should report an error.

Double Hashing

- Hash1(), Hash2(),,HashN()

An Open Hash Table

Hash (key) produces
an index in the range
0 to 6. That index is
the “home address”

Some insertions:

K1 --> 3

K2 --> 5

K3 --> 2

0		
1		
2	K3	K3info
3	K1	K1info
4		
5	K2	K2info
6		

key value

Handling Collisions

Some more insertions:

K4 --> 3

K5 --> 2

K6 --> 4

Linear probing collision
resolution strategy

0	K6	K6info
1		
2	K3	K3info
3	K1	K1info
4	K4	K4info
5	K2	K2info
6	K5	K5info

Search Performance

0	K6	K6info
1		
2	K3	K3info
3	K1	K1info
4	K4	K4info
5	K2	K2info
6	K5	K5info

Average number of probes needed to retrieve the value with key K?

<u>K</u>	<u>hash(K)</u>	<u>#probes</u>
K1	3	1
K2	5	1
K3	2	1
K4	3	2
K5	2	5
K6	4	4

$$14/6 = 2.33 \text{ (successful)}$$

unsuccessful search?

Chaining

- Linear probing performs poorly
 - the search for a key involves comparison with keys having different hash values
 - making a local collision a global one

A Chained Hash Table

insert keys:

K1 --> 3

K2 --> 5

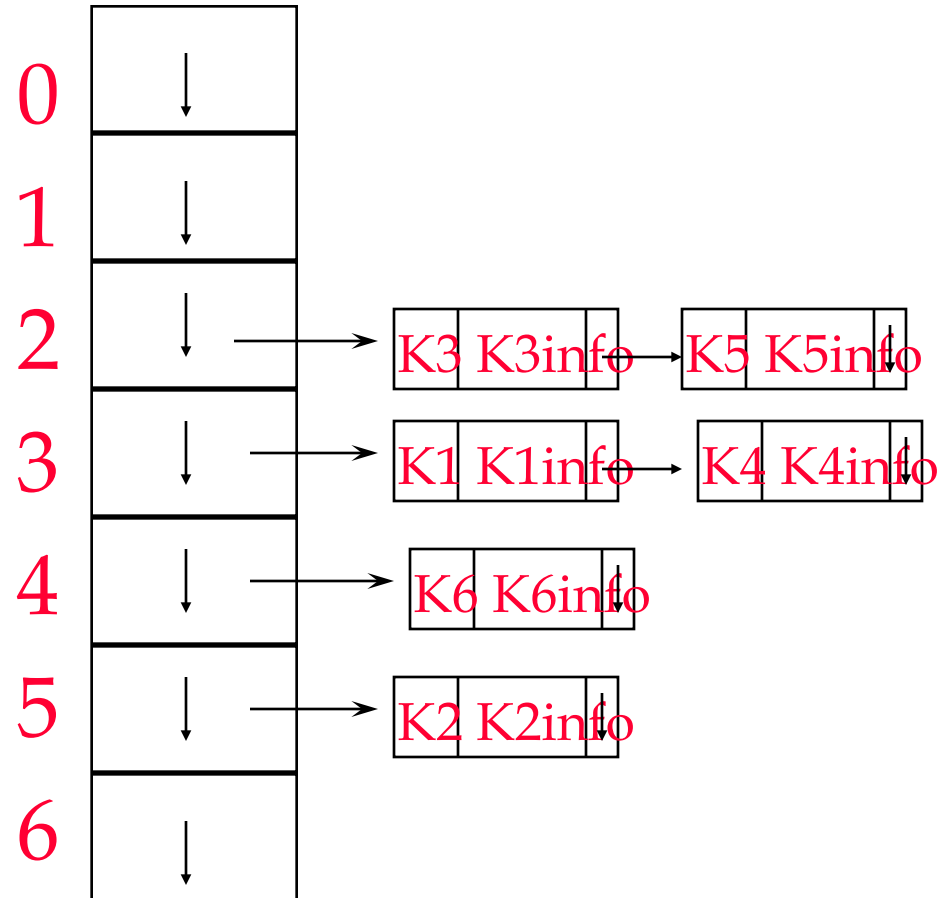
K3 --> 2

K4 --> 3

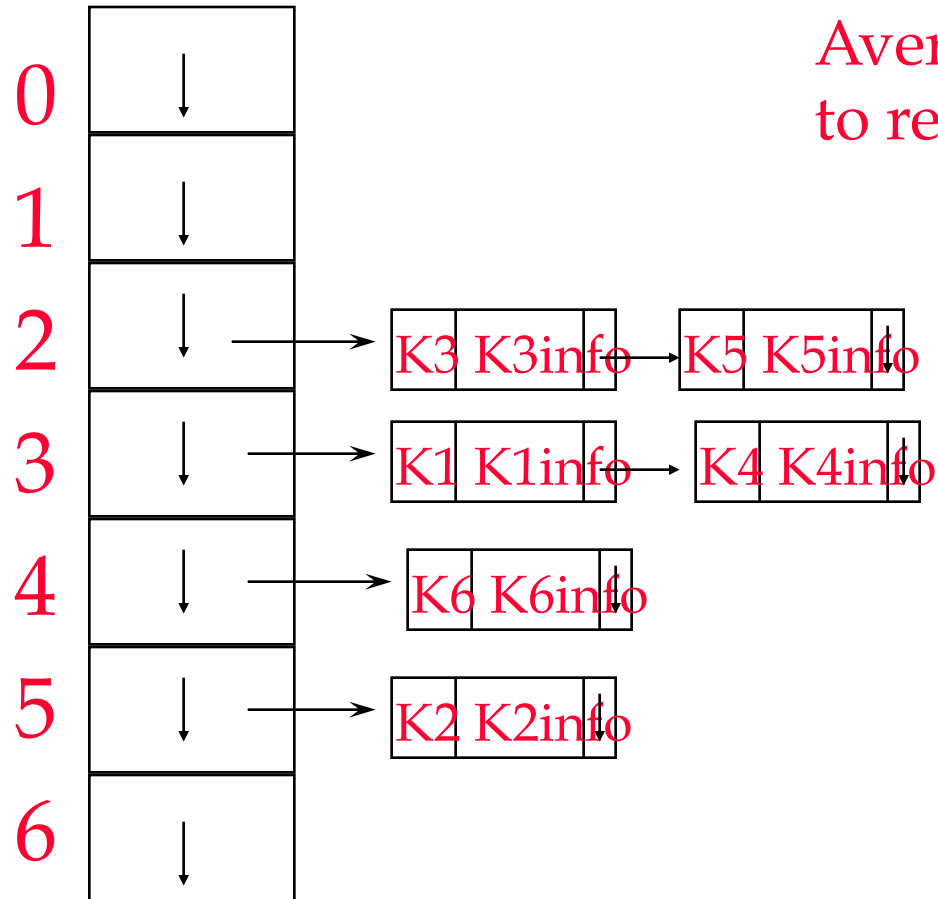
K5 --> 2

K6 --> 4

linked lists of synonyms



Search Performance



Average number of probes needed to retrieve the value with key K?

K	hash(K)	#probes
K1	3	1
K2	5	1
K3	2	1
K4	3	2
K5	2	2
K6	4	1

$$8/6 = 1.33 \text{ (successful)}$$

unsuccessful search?

successful search performance

load factor	open addressing (linear probing)	open addressing (double hashing)	chaining
0.5	1.50	1.39	1.25
0.7	2.17	1.72	1.35
0.9	5.50	2.56	1.45
1.0	----	----	1.50
2.0	----	----	2.00

Exercises: P475-3, 6