# Representing a Circle or a Sphere with NURBS

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# Contents

1	Intr	roduction	2				
2	Representing a Circle						
	2.1	Quarter of a Circle	3				
		2.1.1 Degree 2	3				
		2.1.2 Degree 4	3				
	2.2	Half a Circle	4				
	2.3	Full Circle	4				
3 Representing a Sphere							
	3.1	One Octant of a Sphere	6				
		3.1.1 Degree 2	6				
		3.1.2 Degree 4	6				
	3.2	A Hemisphere	7				
	2 2	Full Sphore	Q				

This is just a brief note on representing circles and spheres with NURBS. For more information about NURBS, a good engineering-style approach to NURBS is [1]. An excellent book covering the mathematics and modeling with splines is [2]. A more mathematically advanced presentation is [3].

# 1 Introduction

A NURBS curve in 2D is generated by a homogeneous B-spline curve in 3D,

$$(\bar{x}(u), \bar{y}(u), w(u)) = \sum_{i=0}^{n} N_{i,d}(u)w_i(\mathbf{C}_i, 1)$$
(1)

where the 3D curve has n+1 control points ( $\mathbf{C}_i$ , 1) [the  $\mathbf{C}_i$  are 2-tuples], n+1 weights  $w_i$ , and degree d with  $1 \leq d \leq n$ . The functions  $N_{i,d}(u)$  are the B-spline basis functions, which are defined recursively and require selection of a sequence of nondecreasing scalars  $u_i$  for  $0 \leq i \leq n+d+1$ . Each  $u_i$  is called a knot and the total sequence is called a knot vector. The basis function that starts the recursive definition is

$$N_{i,0}(u) = \begin{cases} 1, & u_i \le u < u_{i+1} \\ 0, & \text{otherwise} \end{cases}$$
 (2)

for  $0 \le i \le n + d$ . The recursion is

$$N_{i,j}(u) = \frac{u - u_i}{u_{i+j} - u_i} N_{i,j-1}(u) + \frac{u_{i+j+1} - u}{u_{i+j+1} - u_{i+1}} N_{i+1,j-1}(u)$$
(3)

for  $1 \le j \le d$  and  $0 \le i \le n + d - j$ . Equation (1) uses only the final evaluations in the recursion,  $N_{i,d}(u)$  for which j = d and  $0 \le i \le n$ . The 2D curve is obtained by the perspective division,

$$(x(u), y(u)) = (\bar{x}(u), \bar{y}(u))/w(u)$$
 (4)

In the section on circle representations, I will discuss NURBS curves that produce circular arcs; each curve will be listed with its n, d,  $u_i$ ,  $\mathbf{C}_i$ , and  $w_i$ .

A tensor-product NURBS surface in 3D is generated by a homogeneous B-spline surface in 4D,

$$(\bar{x}(u,v),\bar{y}(u,v),\bar{z}(u,v),w(u,v)) = \sum_{i_0=0}^{n_0} \sum_{i_1=0}^{n_1} N_{i_0,d_0}(u) N_{i_1,d_1}(v) w_{i_0i_1}(\mathbf{C}_{i_0i_1},1)$$
(5)

where the 4D surface has an  $(n_0 + 1) \times (n_1 + 1)$  array of control points  $(\mathbf{C}_{i_0 i_1}, 1)$  [the  $\mathbf{C}_{i_0 i_1}$  are 3-tuples], an  $(n_0 + 1) \times (n_1 + 1)$  array of weights  $w_{i_0 i_1}$ , and degrees  $d_0$  and  $d_1$  with  $1 \le d_0 \le n_0$  and  $1 \le d_1 \le n_1$ . The functions  $N_{i_0,d_0}(u)$  and  $N_{i_1,d_1}(v)$  each have a knot vector and are B-spline basis functions generated by equations (2) and (3). The 3D surface is obtained by the perspective division,

$$(x(u,v), y(u,v), z(u,v)) = (\bar{x}(u,v), \bar{y}(u,v), \bar{z}(u,v))/w(u,v)$$
(6)

In the section on sphere representations, I will discuss NURBS surfaces that produce spherical regions; each surface will be listed with its  $n_0$ ,  $n_1$ ,  $d_0$ ,  $d_1$ ,  $u_i$ ,  $v_i$ ,  $\mathbf{C}_{i_0i_1}$ , and  $w_{i_0i_1}$ .

# 2 Representing a Circle

Several representations are provided for a quarter of a circle in the first quadrant. A representation is also provided for half a circle. All these representation use the same knot pattern involving only the repeated zeros and ones at the beginning and end of the knot array. Finally, a representation for a full circle is created from that of the half circle by introducing a repeated knot of one-half that has the effect of splicing together two regular NURBS surfaces.

# 2.1 Quarter of a Circle

Consider the quarter circle in the first quadrant,  $x^2 + y^2 = 1$  with  $x \ge 0$  and  $y \ge 0$ .

#### 2.1.1 Degree 2

A quadrant of a circle can be represented as a NURBS curve of degree 2. The curve is  $x^2 + y^2 = 1$ ,  $x \ge 0$ ,  $y \ge 0$ . The general parameterization is

$$(x(u), y(u)) = \frac{w_0(1-u)^2(1,0) + w_1 2u(1-u)(1,1) + w_2 u^2(0,1)}{w_0(1-u)^2 + w_1 2u(1-u) + w_2 u^2}$$
(7)

for  $u \in [0, 1]$ . The requirement that  $x^2 + y^2 = 1$  leads to the weights constraint  $2w_1^2 = w_0w_2$ . The choice of weights  $w_0 = 1$ ,  $w_1 = 1$ , and  $w_2 = 2$  leads to a commonly mentioned parameterization

$$(x(u), y(u)) = \frac{(1 - u^2, 2u)}{1 + u^2} \tag{8}$$

If you were to tessellate the curve with an odd number of uniform samples of u, say  $u_i = i/(2n)$  for  $0 \le i \le 2n$ , then the resulting polyline is not symmetric about the midpoint u = 1/2. To obtain a symmetric tessellation you need to choose  $w_0 = w_2$ . The weight constraint then implies  $w_0 = w_1\sqrt{2}$ . The parameterization is then

$$(x(u), y(u)) = \frac{(\sqrt{2}(1-u)^2 + 2u(1-u), 2u(1-u) + \sqrt{2}u^2)}{\sqrt{2}(1-u)^2 + 2u(1-u) + \sqrt{2}u^2}$$
(9)

In either case we have a ratio of quadratic polynomials.

The NURBS parameters are the following. There are 3 control points, so n = 2. The degree is d = 2. The knot vector is  $\{u_0, u_1, u_2, u_3, u_4, u_5\} = \{0, 0, 0, 1, 1, 1\}$ . The control values are  $\mathbf{C}_0 = (1, 0)$ ,  $\mathbf{C}_1 = (1, 1)$ , and  $\mathbf{C}_2 = (0, 1)$ . The weights are  $w_0, w_1$ , and  $w_2$  subject to the constraint  $2w_1^2 = w_0w_2$ .

### 2.1.2 Degree 4

An algebraic construction of the same type produces a ratio of quartic polynomials. The control points and control weights are required to be symmetric to obtain a tessellation that is symmetric about its midpoint. By the homogeneity in the weights, we have one degree of freedom. We can choose  $w_0 = 1$ , effectively dividing the numerator and denominator by  $w_0$  if it were not 1.

$$(x(u),y(u)) = \frac{(1-u)^4(1,0) + 4(1-u)^3uw_1(x_1,y_1) + 6(1-u)^2u^2w_2(x_2,x_2) + 4(1-u)u^3w_1(y_1,x_1) + u^4(0,1)}{(1-u)^4 + 4(1-u)^3uw_1 + 6(1-u)^2u^2w_2 + 4(1-u)u^3w_1 + u^4}$$
(10)

Let the denominator of this fraction be named w(u). To be a circle, we need  $x(u)^2 + y(u)^2 = 1$ . Using Mathematica 10.1 [4], this equation forces  $x_1 = 1$  and generates 3 functionally independent equations in the 4 unknowns  $w_1$ ,  $w_2$ ,  $x_2$ , and  $y_1$ :

$$4w_1^2y_1^2 + 3w_2(x_2 - 1) = 0$$

$$1 - y_1 + 6w_2(1 - x_2 - x_2y_1) = 0$$

$$32w_1^2y_1 + 36w_2^2x_2^2 - 16w_1^2 - 18w_2^2 - 1 = 0$$
(11)

Thus, we have at least one more degree of freedom and there are infinitely many solutions. For example, if we choose  $w_1 = 1$ , then there are 2 possibilities for the remaining parameters:  $(y_1, x_2, w_2) = (1/(2\sqrt{2}), 1 - \sqrt{2}/8, 2\sqrt{2}/3)$  and  $(y_1, x_2, w_2) = (\sqrt{2}-1, (44\sqrt{2}-1)/79, (16-7\sqrt{2})/6)$ . With these choices, Mathematica 10.1 verified that  $x(u)^2 + y(u)^2 = 1$  and parametric plots of (x(u), y(u)) were indeed quarter circles. Mathematica 10.1 also symbolically generated solutions to the constraints when asked to solve for  $y_1, x_2$ , and  $w_2$  in terms of  $w_1$ .

The NURBS parameters are the following. There are 5 control points, so n = 4. The degree is d = 4. The knot vector has 10 components with  $u_i = 0$  for  $0 \le i \le 4$  and  $u_i = 1$  for  $5 \le i \le 9$ . Assuming the constraints of equation (11) are satisfied, the control values are  $\mathbf{C}_0 = (1,0)$ ,  $\mathbf{C}_1 = (x_1,y_1)$ ,  $\mathbf{C}_2 = (x_2,x_2)$ ,  $\mathbf{C}_3 = (y_1,x_1)$ , and  $\mathbf{C}_4 = (0,1)$  and the weights are  $w_0 = w_4 = 1$ ,  $w_2$ , and  $w_1 = w_3$ .

#### 2.2 Half a Circle

I discuss a symmetric parameterization of the half circle  $x^2 + y^2 = 1$  for  $x \ge 0$ . The NURBS curve has degree 3. The control values are chosen to be (0,1),  $(\alpha,1)$ ,  $(\alpha,-1)$  and (0,-1) and the weights are chosen to be  $w_0 = w_3 = 1$  and  $w_1 = w_2 = \omega$ , where  $\alpha$  and  $\omega$  must be chosen so that we indeed obtain a half circle. The curve is

$$(x(u), y(u)) = \frac{(1-u)^3(0,1) + 3u(1-u)^2\omega(\alpha, 1) + 3u^2(1-u)\omega(\alpha, -1) + u^3(0, -1)}{(1-u)^3 + 3u(1-u)^2\omega + 3u^2(1-u)\omega + u^3}$$
(12)

Symbolic manipulation of  $x(u)^2 + y(u)^2 = 1$  leads to the constraints  $\omega = 1/3$  and  $\alpha^2 = 4$ . Because we want the half circle where x > 0, we choose  $\alpha = 2$ .

The NURBS parameters are the following. There are 4 control points, so n=3. The degree is d=3. The knot vector has 8 components with  $u_i=0$  for  $0 \le i \le 3$  and  $u_i=1$  for  $4 \le i \le 7$ . The control values are  $\mathbf{C}_0=(1,0)$ ,  $\mathbf{C}_1=(2,1)$ ,  $\mathbf{C}_2=(2,-1)$ , and  $\mathbf{C}_3=(0,-1)$ . The weights are  $w_0=w_3=1$  and  $w_1=w_2=1/3$ .

#### 2.3 Full Circle

The full-circle curve can be obtained by splicing together two half-circle curves as constructed in the previous section (d=3). The 7 control values (n=6) are in the clockwise order (1,0), (2,1), (2,-1), (0,-1), (-2,-1), (-2,1), and (1,0). Observe that the first and last controls are duplicated in order to close the curve. The splicing occurs at the control value (0,-1). Rather than duplicate the control, the splicing is accomplished by choosing an interior knot of 1/2 with multiplicity 3; the knots are  $u_i = 0$  for  $0 \le i \le 3$ ,  $u_i = 1/2$  for 1/2 for 1/2 and 1/2 for 1/2 for

Equation (2) provides the initial functions for starting the recursion. These are  $N_{i,0}(u) = 0$  for  $i \in \{0, 1, 2, 4, 5, 7, 8, 9\}$  and

$$N_{3,0}(u) = \{1, u \in [0, 1/2); 0, u \in [1/2, 1)\}$$

$$N_{6,0}(u) = \{0, u \in [0, 1/2); 1, u \in [1/2, 1)\}$$
(13)

For j = 1, equation (3) produces  $N_{i,1}(u) = 0$  for  $i \in \{0, 1, 4, 7, 8\}$  and

$$N_{2,1}(u) = \{1 - 2u, u \in [0, 1/2); 0, u \in [1/2, 1)\}$$

$$N_{3,1}(u) = \{2u, u \in [0, 1/2); 0, u \in [1/2, 1)\}$$

$$N_{5,1}(u) = \{0, u \in [0, 1/2); 2 - 2u, u \in [1/2, 1)\}$$

$$N_{6,1}(u) = \{0, u \in [0, 1/2); 2u - 1, u \in [1/2, 1)\}$$

$$(14)$$

For j = 2, equation (3) produces  $N_{2,2}(u) = 0$ ,  $N_{7,2}(u) = 0$ , and

$$N_{1,2}(u) = \{(1-2u)^2, u \in [0,1/2); 0, u \in [1/2,1)\}$$

$$N_{2,2}(u) = \{2(2u)(1-2u), u \in [0,1/2); 0, u \in [1/2,1)\}$$

$$N_{3,2}(u) = \{(2u)^2, u \in [0,1/2); 0, u \in [1/2,1)\}$$

$$N_{4,2}(u) = \{0, u \in [0,1/2); (2-2u)^2, u \in [1/2,1)\}$$

$$N_{5,2}(u) = \{0, u \in [0,1/2); (2u-1)(2-2u), u \in [1/2,1)\}$$

$$N_{6,2}(u) = \{0, u \in [0,1/2); (2u-1)^2, u \in [1/2,1)\}$$

For j = 3, equation (3) produces

The last set of functions,  $N_{i,3}(u)$ , are what are used in the NURBS curve definition. Observe that the only function that has nonzero expressions for both  $u \in [0, 1/2)$  and  $u \in [1/2, 1)$  is  $N_{3,3}(u)$ . This is where the splicing occurs. The weights are the same as in the half-circle case. Table 1 summarizes the control points and weights.

**Table 1** The control points, weights, and intervals of the domain for the circle.

controls 
$$(0,1)$$
  $(2,1)$   $(2,-1)$   $(0,-1)$   $(-2,-1)$   $(-2,1)$   $(0,-1)$  weights  $1$   $1/3$   $1/3$   $1$   $1/3$   $1$   $1/3$   $1$   $1/3$   $1$   $1/3$   $1$   $1/3$   $1$   $1/3$   $1$   $1/3$   $1$   $1/3$   $1$   $1/3$   $1/3$   $1$   $1/3$   $1$ 

For  $u \in [0, 1/2)$ , the NURBS curve has nonzero weights only for the first four control points. For  $u \in [1/2, 1)$ , the NURBS curve has nonzero weights only for the last four control points.

# 3 Representing a Sphere

### 3.1 One Octant of a Sphere

#### 3.1.1 Degree 2

An octant of a sphere can be represented as a triangular NURBS surface patch of degree 4. A simple parameterization of  $x^2 + y^2 + z^2 = 1$  can be made by setting  $r^2 = x^2 + y^2$ . The sphere is then  $r^2 + z^2 = 1$ . Now apply the parameterization for a circle,

$$(r,z) = \frac{(1-u^2, 2u)}{1+u^2}$$

But  $(x/r)^2 + (y/r)^2 = 1$ , so another application of the parameterization for a circle is

$$\frac{(x,y)}{r} = \frac{(1-v^2,2v)}{1+v^2}$$

Combining these produces

$$(x(u,v),y(u,v),z(u,v)) = \frac{((1-u^2)(1-v^2),(1-u^2)2v,2u(1+v^2))}{(1+u^2)(1+v^2)}$$

The components are ratios of quartic polynomials. The domain is  $u \ge 0$ ,  $v \ge 0$ , and  $u+v \le 1$ . In barycentric coordinates, set w = 1 - u - v so that u + v + w = 1 with u, v, and w nonnegative. In this setting, you can think of the octant of the sphere as a mapping from the uvw-triangle with vertices (1,0,0), (0,1,0), and (0,0,1). Although a valid parameterization, a symmetric subdivision of the uvw-triangle does not lead to a symmetric tessellation of the sphere.

### **3.1.2** Degree 4

Another parameterization is provided in [3]. This one chooses symmetric control points and symmetric weights,

$$(x(u,v),y(u,v),z(u,v)) = \frac{\sum_{i=0}^{4} \sum_{j=0}^{4-i} w_{i,j,4-i-j} \mathbf{P}_{i,j,4-i-j} B_{i,j}(u,v)}{\sum_{i=0}^{4} \sum_{j=0}^{4-i} w_{i,j,4-i-j} B_{i,j}(u,v)}$$

where

$$B_{i,j}(u,v) = \frac{4!}{i!j!(4-i-j)!} u^i v^j (1-u-v)^{4-i-j}, \quad u \ge 0, \quad v \ge 0, \quad u+v \le 1$$

are the Bernstein polynomials. The control points  $\mathbf{P}_{i,j,k}$  are defined in terms of three constants  $a_0 = (\sqrt{3} - 1)/\sqrt{3}$ ,  $a_1 = (\sqrt{3} + 1)/(2\sqrt{3})$ , and  $a_2 = 1 - (5 - \sqrt{2})(7 - \sqrt{3})/46$ ,

The control weights  $w_{i,j,k}$  are defined in terms of four constants,  $b_0 = 4\sqrt{3}(\sqrt{3}-1)$ ,  $b_1 = 3\sqrt{2}$ ,  $b_2 = 4$ , and  $b_3 = \sqrt{2}(3+2\sqrt{2}-\sqrt{3})/\sqrt{3}$ ,

Both the numerator and denominator polynomial are quartic polynomials. Notice that each boundary curve of the triangle patch is a quartic polynomial of one variable that is exactly what was shown earlier for a quadrant of a circle.

### 3.2 A Hemisphere

The construction of a hemisphere as a NURBS surface of degree 3 in each of u and v is similar to that for the half circle. For the half circle, we had control points at the circular poles (0,1) and (0,-1), each with associated weight 1. We postulated two other control points,  $(\alpha, \pm 1)$  and determined that  $\alpha = 2$  and that the weight w = 1/3.

The idea extends to 3D. We will select two control points at the circular poles (0,0,1) and (0,0,-1). We can add control points of the form  $(2,0,\pm 1)$  to form a hemicircle; each point has an associated weight 1/3. Now we can add more control points of the form  $(2,\beta,\pm 1)$  to extrude the hemicircle to a hemisphere; each point has an associated weight w. To obtain a tensor product surface, we need a rectangular array of control points. The poles account for 2 and the other points account for 8. To pinch off the surface at the poles, we can require each pole to occur 4 times. We then have 16 control points to work with. Symbolic manipulation to force  $x(u)^2 + y(u)^2 + z(u)^2 = 1$  leads to  $\beta^2 = 16$  and w = 1/9. Choose the hemisphere where  $y \ge 0$ , so  $\beta = 4$ .

The knot vectors are the standard uniform ones,  $u_i = v_i = 0$  for  $0 \le i \le 3$  and  $u_i = v_i = 1$  for  $4 \le i \le 7$ . Table 2 lists the control points, weights, and basis functions.

**Table 2** The control points, weights, and basis functions for the half sphere. Each cell has the control point  $C_{ij}$  and the weight  $w_{ij}$ . The basis function corresponding to that term is formed from the polynomials that tag the row and column.

	$(1-u)^3$	$3(1-u)^2u$	$3(1-u)u^2$	$u^3$
$(1-v)^3$	(0,0,1), 1	(0,0,1), 1/3	(0,0,1), 1/3	(0,0,1), 1
$3(1-v)^2v$	(2,0,1), 1/3	(2,4,1), 1/9	(-2,4,1), 1/9	(-2,0,1), 1/3
$3(1-v)v^2$	(2,0,-1), 1/3	(2,4,-1), 1/9	(-2,4,-1), 1/9	(-2,0,-1), 1/3
$v^3$	(0,0,-1), 1	(0,0,-1), 1/3	(0,0,-1), 1/3	(0,0,-1), 1

Mathematica 10.1 was used to verify symbolically that  $x^2 + y^2 + z^2 = 1$ .

# 3.3 Full Sphere

A full sphere can be formed from two hemispheres by splicing in a manner similar to that for generating a circle from two half circles. The *u*-knot vector is the standard uniform one with  $u_i = 0$  for  $0 \le i \le 3$  and  $u_i = 1$  for  $4 \le 7$ . However, the *v*-knot vector has 11 elements with  $v_i = 0$  for  $0 \le i \le 3$ ,  $v_i = 1/2$  for  $4 \le i \le 6$ , and  $v_i = 1$  for  $7 \le i \le 10$ . Tables 3 and 4 show the control points, weights, and B-spline functions.

**Table 3** The control points, weights, and basis functions for the sphere when  $v \in [0, 1/2)$ . The basis function corresponding to that term is formed from the polynomials that tag the row and column.

	$(1-2v)^3$	$3(2v)(1-2v)^2$	$3(2v)^2(1-2v)$	$(2v)^3$	0	0	0
$(1-u)^3$	(0,0,1),1	(0,0,1),1/3	(0,0,1),1/3	(0,0,1),1	(0,0,1),1/3	(0,0,1),1/3	(0,0,1),1
$3(1-u)^2u$	(2,0,1),1/3,	(2,4,1),1/9	(-2,4,1),1/9	(-2,0,1),1/3	(-2, -4, 1), 1/9	(2, -4, 1), 1/9	(2,0,1),1/3
$3(1-u)u^2$	(2,0,-1),1/3,	(2,4,-1),1/9	(-2,4,-1),1/9	(-2,0,-1),1/3	(-2, -4, -1), 1/9	(2, -4, -1), 1/9	(2,0,-1),1/3
$u^3$	(0,0,-1),1	(0,0,-1),1/3	(0,0,-1),1/3	(0,0,-1),1	(0,0,-1),1/3	(0,0,-1),1/3	(0,0,-1),1

**Table 4** The control points, weights, and basis functions for the sphere when  $v \in [1/2, 1)$ . The basis function corresponding to that term is formed from the polynomials that tag the row and column.

	0	0	0	$(2-2v)^3$	$3(2v-1)(2-2v)^2$	$3(2v-1)^2(2-2v)$	$(2v-1)^3$
$(1-u)^3$	(0,0,1),1	(0,0,1),1/3	(0,0,1),1/3	(0,0,1),1	(0,0,1),1/3	(0,0,1),1/3	(0,0,1),1
$3(1-u)^2u$	(2,0,1),1/3,	(2,4,1),1/9	(-2,4,1),1/9	(-2,0,1),1/3	(-2, -4, 1), 1/9	(2, -4, 1), 1/9	(2,0,1),1/3
$3(1-u)u^2$	(2,0,-1),1/3,	(2,4,-1),1/9	(-2,4,-1),1/9	(-2,0,-1),1/3	(-2, -4, -1), 1/9	(2, -4, -1), 1/9	(2,0,-1),1/3
$u^3$	(0,0,-1),1	(0,0,-1),1/3	(0,0,-1),1/3	(0,0,-1),1	(0,0,-1),1/3	(0,0,-1),1/3	(0,0,-1),1

The control points (0,0,1) and (0,0,-1) each occur 7 times to pinch off the surface at the poles. The control points (2,0,1) and (2,0,-1) each occur 2 times to wrap the surface around the up-axis.

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