

**Modeling CS**  $u$ : control input,  $y$ : plant output  
**State variable**  $CS$  is in state variable form if  
 $\dot{x}_1 = f_1(t, x_1, \dots, x_n, u), \dots, \dot{x}_n = f_n(t, x_1, \dots, x_n, u)$   
 $y = h(t, x_1, \dots, x_n, u)$  is a collection of  $n$  1st order ODEs.  
**Time-Invariant (TI)**  $CS$  is TI if  $f_i(\cdot)$  does not depend on  $t$ .  
**State space (SS)** TI  $CS$  is in SS form if  $\dot{x} = f(x, u), y = h(x, u)$  where  $x(t) \in \mathbb{R}^n$  is called the state.  
**Single-input-single-output (SISO)**  $CS$  is SISO if  $u(t), y(t) \in \mathbb{R}$ .  
**LTI**  $CS$  in SS form is LTI if  $\dot{x} = Ax + Bu, y = Cx + Du$   
 $A \in \mathbb{R}^{n \times n}, B \in \mathbb{R}^{n \times 1}, C \in \mathbb{R}^{1 \times n}, D \in \mathbb{R}^{1 \times 1}$   
where  $x(t) \in \mathbb{R}^n, u(t) \in \mathbb{R}^m, y(t) \in \mathbb{R}^p$ .  
**Input-Output (IO)** LTI  $CS$  is in IO form if  
 $\frac{d^n y}{dt^n} + a_{n-1} \frac{d^{n-1} y}{dt^{n-1}} + \dots + a_1 \frac{dy}{dt} + a_0 y = b_m \frac{d^m u}{dt^m} + \dots + b_1 \frac{du}{dt} + b_0 u$   
where  $m \leq n$  (causality)

**IO to SS Model** 1. Define  $x$  s.t. highest order derivative in  $\dot{x}$   
2. Write  $\dot{x} = Ax + Bu = f(x, u)$  by isolating for components of  $\dot{x}$   
3. Write  $y = Cx + Du = h(x, u)$  by setting measurement output  $y$  to component of  $x$   
**Equilibria**  $y_d$  (steady state) b/c if  $y(0) = y_d$  at  $t = 0$ , then  $y(t) = y_d \forall t \geq 0$ .

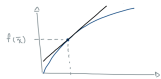
**Equilibrium pair** Consider the system  $\dot{x} = f(x, u)$ . The pair  $(\bar{x}, \bar{u})$  is an equilibrium pair if  $f(\bar{x}, \bar{u}) = 0$ .  
**Equilibrium point**  $\bar{x}$  is an equilibrium point w/ control  $u = \bar{u}$ .  
\*If  $u = \bar{u}$  and  $x(0) = \bar{x}$  then  $x(t) = \bar{x} \forall t \geq 0$  (i.e. a system that starts at equilibrium remains at equilibrium).  
**Find Equilibrium Pair/Point** 1. Set  $f(x, u) = 0$   
2. Solve  $f(x, u) = 0$  to find  $(x, u) = (\bar{x}, \bar{u})$ .  
3. If specific  $u = \bar{u}$ , then find  $x$  by solving  $f(x, \bar{u}) = 0$ .

**Linearization of Nonlinear System** Consider system  $\dot{x} = f(x, u)$  w/ equ. pair  $(\bar{x}, \bar{u})$ , then error coordinates around equ. pair  $\delta x = x - \bar{x}, \delta u = u - \bar{u}, \delta y = y - h(\bar{x}, \bar{u}), \delta \dot{x} = \dot{x} - f(\bar{x}, \bar{u})$  w/  
 $\delta \dot{x} = A\delta x + B\delta u, A = \frac{\partial f(\bar{x}, \bar{u})}{\partial x} \in \mathbb{R}^{n \times 1} \times n \times 1, B = \frac{\partial f(\bar{x}, \bar{u})}{\partial u} \in \mathbb{R}^{n \times 1},$   
 $\delta y = C\delta x + D\delta u, C = \frac{\partial h}{\partial x}(\bar{x}, \bar{u}) \in \mathbb{R}^{1 \times n \times 1}, D = \frac{\partial h}{\partial u}(\bar{x}, \bar{u}) \in \mathbb{R}$   
\*Only valid at equ. pairs.



**Linear Approx.** Given a diff. fcn.  $f: \mathbb{R} \rightarrow \mathbb{R}$ , its linear approx. at  $\bar{x}$  is  $f_{lin} = f(\bar{x}) + f'(\bar{x})(x - \bar{x})$ .

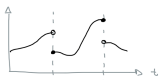
\*Remainder Thm:  $f(x) = f_{lin} + r(x)$  where  $\lim_{x \rightarrow \bar{x}} \frac{r(x)}{x - \bar{x}} = 0$ .



\*Note: Can provide a good approx. near  $\bar{x}$  but not globally.  
\*Gen.  $f: \mathbb{R}^{n_1} \rightarrow \mathbb{R}^{n_2}, f(x) = f(\bar{x}) + \frac{\partial f}{\partial x}(\bar{x})(x - \bar{x}) + R(x)$   
\*Jacobian:  $\frac{\partial f}{\partial x}(x) = \begin{bmatrix} \frac{\partial f_1}{\partial x_1}(x) & \dots & \frac{\partial f_{n_1}}{\partial x_{n_1}}(x) \end{bmatrix} \in \mathbb{R}^{n_2 \times n_1}$

**Linearization Steps** 1. Find equ. pair  $(\bar{x}, \bar{u})$   
2. Derive  $A, B, C, D$  and then evaluate at  $(\bar{x}, \bar{u})$   
3. Write  $\delta \dot{x} = A\delta x + B\delta u$  and  $\delta y = C\delta x + D\delta u$

**Laplace Transform** Given a fcn  $f: \mathbb{R}_+ \rightarrow [0, \infty) \rightarrow \mathbb{R}^n$ , its Laplace transform is  $F(s) = \mathcal{L}\{f(t)\} := \int_0^\infty f(t)e^{-st} dt, s \in \mathbb{C}$ .  
\* $\mathcal{L}: f(t) \mapsto F(s), t \in \mathbb{R}_+ \text{ (time dom.)} \& s \in \mathbb{C} \text{ (Laplace dom.)}$ .  
**P.W. CTS:** A fcn  $f: \mathbb{R}_+ \rightarrow \mathbb{R}^n$  is **p.w. cts** if on every finite interval of  $\mathbb{R}, f(t)$  has at most a finite # of discontinuity points ( $t_i$ ) and the limits  $\lim_{t \rightarrow t_i^+} f(t), \lim_{t \rightarrow t_i^-} f(t)$  are finite.



**Exp. Order** A function  $f: \mathbb{R}_+ \rightarrow \mathbb{R}^n$  is of **exp. order** if  $\exists$  constants  $K, \rho, T > 0$  s.t.  $\|f(t)\| \leq K e^{\rho t}, \forall t \geq T$ .  
**Existence of LT Thm** If  $f(t)$  is p.w. cts and of exp. order w/ constants  $K, \rho, T > 0$ , then  $F(\cdot)$  exists and is defined  $\forall s \in D := \{s \in \mathbb{C} : \text{Re}(s) > \rho\}$  and  $F(\cdot)$  is analytic on  $D$ .  
\*Analytic fcn iff differentiable fcn.  
\* $D$ : Region of convergence (ROC), open half plane.



**Unit Step**  $1(t) := \begin{cases} 1, & \text{if } t \geq 0 \\ 0, & \text{otherwise} \end{cases}$   
**Table of Common Laplace Transforms:**  $f(t) \mapsto F(s)$   
 $1(t) \mapsto \frac{1}{s}, t \cdot 1(t) \mapsto \frac{1}{s^2}, t^k \cdot 1(t) \mapsto \frac{k!}{s^{k+1}}, e^{at} \cdot 1(t) \mapsto \frac{1}{s-a}$   
 $t^n e^{at} \cdot 1(t) \mapsto \frac{n!}{(s-a)^{n+1}}, \sin(at) \cdot 1(t) \mapsto \frac{a}{s^2+a^2}$   
 $\cos(at) \cdot 1(t) \mapsto \frac{s}{s^2+a^2}, \frac{1}{2\omega} [\sin(\omega t) - \omega t \cos(\omega t)] \cdot 1(t) \mapsto \frac{1}{(s^2+\omega^2)^2}$

**Prop. of Laplace Transform Linearity:**  $\mathcal{L}\{cf(t) + g(t)\} = c\mathcal{L}\{f(t)\} + \mathcal{L}\{g(t)\}, c \sim \text{constant}$ .  
**Differentiation:** If the Laplace transform of  $f'(t)$  exists, then  $\mathcal{L}\{f'(t)\} = s\mathcal{L}\{f(t)\} - f(0^-)$ .  
If the Laplace transform of  $f^{(n)}(t) := \frac{d^n f}{dt^n}(t)$  exists, then  $\mathcal{L}\{f^{(n)}(t)\} = s^n \mathcal{L}\{f(t)\} - \sum_{i=1}^n s^{n-i} f(i-1)(0^-)$ .  
**Integration:**  $\mathcal{L}\left\{\int_0^t f(\tau) d\tau\right\} = \frac{1}{s} \mathcal{L}\{f(t)\}$ .  
**Convolution:** Let  $(f * g)(t) := \int_0^t f(\tau)g(t-\tau) d\tau = \int_0^t f(t-\tau)g(\tau) d\tau$ , then  $\mathcal{L}\{(f * g)(t)\} = \mathcal{L}\{f(t)\}\mathcal{L}\{g(t)\}$ .  
**Time Delay:**  $\mathcal{L}\{f(t-T)1(t-T)\} = e^{-Ts}\mathcal{L}\{f(t)\}, T \geq 0$ .  
**Multiplication by t:**  $\mathcal{L}\{t f(t)\} = -\frac{d}{ds}[\mathcal{L}\{f(t)\}]$ .  
**Shift in s:**  $\mathcal{L}\{e^{at} f(t)\} = \mathcal{L}\{f(t)\}_{s \rightarrow s-a} = F(s-a)$ , where  $F(s) = \mathcal{L}\{f(t)\} \& a$  const.

**Trig. Id.**  $2 \sin(a) \cos(b) = 2 \sin(a) \cos(b), \sin(a-b) = \sin(a) \cos(b) - \cos(a) \sin(b), \cos(a-b) = \cos(a) \cos(b) + \sin(a) \sin(b)$   
**Complete the Square:**  $ax^2 + bx + c = a(x + \frac{b}{2a})^2 - \frac{b^2}{4a} + c$   
**LT Steps:** 1. Write  $f(t)$  as a sum and use linearity  
\*Trig. id. may be useful.  
2. Use prop. of LT and common LT to find  $F(s)$

**Inverse Laplace Transform** Given  $F(s)$ , its inverse LT is  $f(t) = \mathcal{L}^{-1}\{F(s)\} := \frac{1}{2\pi} \int_{c-j\infty}^{c+j\infty} F(s)e^{st} ds$   
 $= \lim_{w \rightarrow \infty} \frac{1}{2\pi} \int_{c-jw}^{c+jw} F(s)e^{st} ds, c \in \mathbb{C}$  is selected s.t. the line  $L := \{s \in \mathbb{C} : s = c + j\omega, \omega \in \mathbb{R}\}$  is inside the ROC of  $F(s)$ .  
**Zero:**  $z \in \mathbb{C}$  is a zero of  $F(s)$  if  $F(z) = 0$ .  
**Pole:**  $p \in \mathbb{C}$  is a pole of  $F(s)$  if  $\frac{1}{F(p)} = 0$ .

**Cauchy's Residue THM** If  $F(s)$  is analytic (complex diff.) everywhere except at isolated poles  $\{p_1, \dots, p_N\}$ , then  $\mathcal{L}^{-1}\{F(s)\} = \sum_{i=1}^N \text{Res}[F(s)e^{st}, s = p_i] 1(t)$ ,  
\*Res  $[F(s)e^{st}, s = p_i]$ : Residue of  $F(s)e^{st}$  at  $s = p_i$ .  
**Residue Computation** Let  $G(s)$  be a complex analytic fcn w/ a pole at  $s = p, r$  be the multiplicity of the pole  $p$ . Then  $\text{Res}[G(s), s = p] = \frac{1}{(r-1)!} \lim_{s \rightarrow p} \frac{d^{r-1}}{ds^{r-1}} [G(s)(s-p)^r]$ .

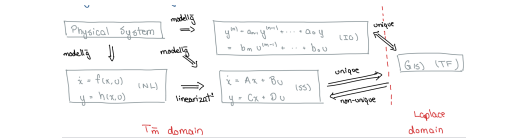
**Inv. LT Partial Frac.:** 1. Factorize  $F(s)$  into partial fractions.  
2. Find coefficients and use LT table to find inverse LT.  
\*Complete the square.  
**Inv. LT Residue:** 1. Find poles of  $F(s)$  and their residues.  
2. Use Cauchy's Residue THM to find inverse LT.  
\*Note: Complex Conjugate (CC) poles  $\rightarrow$  CC residues (use Euler).  
**Transfer Function:** Consider a CS in IO form. Assume zero initial conds.  $y(0) = \dots = \frac{d^{(n-1)}y}{dt^{(n-1)}}(0) = 0$  and

$u(0) = \dots = \frac{d^{(m-1)}u}{dt^{(m-1)}}(0) = 0$ . Then the TF from  $u$  to  $y$  is  $G(s) := \frac{y(s)}{U(s)} = \frac{b_m s^m + \dots + b_0}{s^n + a_{n-1} s^{n-1} + \dots + a_0}$ .  
\*0 Ini. Conds.:  $y_0(s) = G(s)u(s)$   
\* $\emptyset$  Ini. Conds.:  $y_0(s) = y_0(s) + \frac{\text{poly. based on initial conds.}}{s^n + a_{n-1} s^{n-1} + \dots + a_0}$

**TF Steps (IO to TF):** 1. Given IO form of CS, assume zero initial conds.  
2. Find  $G(s)$  by taking LT of IO form and forming  $Y(s)/U(s)$ .  
\*Careful:  $Y(s)/U(s) = G(s)$  not  $U(s)/Y(s) = G(s)$ .  
**Impulse Response:** Given CS modeled by TF  $G(s)$ , its IR is  $g(t) := \mathcal{L}^{-1}\{G(s)\}$ .  
\* $\mathcal{L}\{\delta(t)\} = 1$ , then if  $u(t) = \delta(t)$ , then  $Y(s) = U(s)G(s) = G(s)$ .  
**SS to TF:**  $G(s) = C(sI - A)^{-1}B + D$  s.t.  $y(s) = G(s)U(s)$ .  
\*Assume  $x(0) = 0 \in \mathbb{R}^n$  (zero initial conds.).  
\***LT:**  $G(s)$  of an LTI system is always a rational fcn.  
\***Not Invertible:** Values of  $s$  s.t.  $sI - A$  not invertible can correspond to poles of  $G(s)$ .

**Inverse:** 1. For  $A \in \mathbb{R}^{n \times n}$ , find  $[\text{cof}(A)]_{(i,j)} = (-1)^{i+j} \det(A_{(i,j)})$ .  
\* $A_{(i,j)}$ :  $A$  w/ row  $i$  and col.  $j$  removed.  
2. Assemble  $\text{cof}(A)$  and find  $\det(A) = \sum_{j=1}^n a_{ij} [\text{cof}(A)]_{(i,j)}$  w/ fixed  $i$  or  $\det(A) = \sum_{i=1}^n a_{ij} [\text{cof}(A)]_{(i,j)}$  w/ fixed  $j$ .  
3. Find  $A^{-1} = \frac{1}{\det(A)} \text{adj}(A) = \frac{1}{\det(A)} [\text{cof}(A)]^T$ .  
\* $2 \times 2 : A^{-1} = \frac{1}{\det(A)} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$   
**TF (SS to TF):** 1. Given SS form, assume zero initial conds.  
2. Solve  $G(s) = C(sI - A)^{-1}B + D$ .  
\*If  $C = [0 \quad I_k \quad 0]$  &  $B = [0 \quad I_j \quad 0]$ , then only need  $i$ th row

&  $j$ th col. of  $\text{adj}(sI - A)$  s.t.  $G(s) = \frac{[\text{adj}(sI - A)]_{(i,j)}}{\det(sI - A)} + D$ .  
\*Multiple  $i, j$  non-zero entries: Work it out using MM.  
**TF to SS:** Consider  $G(s) = \frac{b_m s^m + \dots + b_0}{s^n + a_{n-1} s^{n-1} + \dots + a_0} = \frac{N(s)}{D(s)}$ , where  $m < n$  (i.e.  $G(s)$  is strictly proper). Then the SS form is  
 $A = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 1 \\ -a_0 & -a_1 & -a_2 & \dots & -a_{n-1} \end{bmatrix}, B = \begin{bmatrix} 0 \\ \vdots \\ 0 \\ 1 \end{bmatrix}$   
 $C = [b_0 \quad \dots \quad b_m \quad 0 \quad \dots \quad 0], D = 0$ .  
\*Unique: State space of a TF is not unique.  
**Summary:**

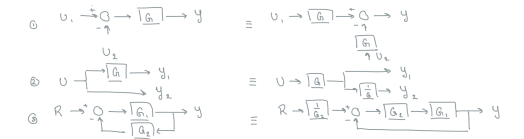


**Block Diagram Types of Blocks:**  
**Cascade:**  $y_2 = (G_1(s)G_2(s))U \stackrel{\text{SISO}}{=} y_2 = (G_2(s)G_1(s))U$   
 $U \mapsto \boxed{G_1} \xrightarrow{y_1} \boxed{G_2} \rightarrow y_2 \equiv U \mapsto \boxed{G_1 G_2} \rightarrow y_2$

**Parallel**  $y = (G_1(s) + G_2(s))U$   
 $U \mapsto \boxed{G_1} \xrightarrow{y_1} \boxed{G_2} \rightarrow y_2 \equiv U \mapsto \boxed{G_1 + G_2} \rightarrow y$

**Feedback**  $y = \left( \frac{G_1(s)}{1 + G_1(s)G_2(s)} \right) R$   
 $R \xrightarrow{u} \boxed{G_1} \xrightarrow{y_1} \boxed{G_2} \rightarrow y \equiv R \xrightarrow{u} \boxed{\frac{G_1}{1 + G_1 G_2}} \rightarrow y$

\*SC: Unity Feedback Loop (UFL) if  $G_2(s) = 1$ .  
**Manipulations:** 1.  $y = G(U_1 - U_2) = GU_1 + GU_2$   
2.  $y_1 = GU, y_2 = U \mid y_1 = GU, y_2 = G \frac{1}{G} U$   
3. From feedback loop to UFL.



**Find TF from Block Diagram:** 1. Start from in  $\rightarrow$  out, making simplifications using block diagram rules.

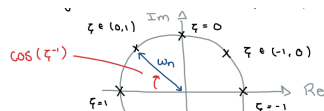
2. Simplify until you get the form  $U(s) \rightarrow \boxed{G(s)} \rightarrow Y(s)$ .  
**Time Response of Elementary Terms:**  $1(t) \leftarrow$  pole @ 0  
 $t^n 1(t) \leftarrow$  pole @ 0 w/ mult.  $n \mid e^{at} 1(t) \leftarrow$  pole @  $a$   
 $\sin(\omega t + \phi) 1(t) \leftarrow$  pole @  $\pm j\omega \mid \cos(\omega t + \phi) 1(t) \leftarrow$  pole @  $\pm j\omega$

**Real Pole:**  $y(s) = \frac{1}{s+a}$ , real pole at  $s = -a$ , then  $y(t) = e^{-at} 1(t)$ .  
1.  $a > 0 \Rightarrow \lim_{t \rightarrow \infty} y(t) = 0 \mid 2. a < 0 \Rightarrow \lim_{t \rightarrow \infty} y(t) = \infty$ .  
3.  $a = 0 \Rightarrow y(t) = 1(t)$  is constant.

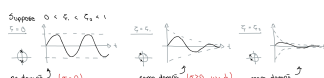


**Time Constant:**  $\tau = \frac{1}{a}$  of the pole  $s = -a$  for  $a > 0$   
**Pair of Comp. Conj. Poles:**

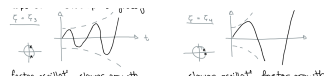
$y(s) = \frac{\omega_d^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} = \frac{\omega_d^2}{(s + \sigma)^2 + \omega_d^2}, |\zeta| < 1$ , then  
 $y(t) = \frac{\omega_n}{\sqrt{1-\zeta^2}} e^{-\sigma t} \sin(\omega_d t) 1(t)$   
\*Poles:  $s_{1,2} = -\zeta\omega_n \pm j\omega_n \sqrt{1-\zeta^2} = -\sigma \pm j\omega_d$   
\* $\zeta = \frac{\sigma}{\omega_n}$ : Damping ratio (or damping coefficient)  
\* $\sigma = \zeta\omega_n$ : Decay/growth rate  $\mid \omega_d$ : Freq. of oscillation  
\* $\omega_n = \sqrt{\sigma^2 + \omega_d^2} \left[ \frac{\text{radians}}{\text{seconds}} \right]$ : Undamped natural freq.  
\* $\omega_d = \omega_n \sqrt{1-\zeta^2} \left[ \frac{\text{radians}}{\text{seconds}} \right]$ : Damped natural freq.  
\* $\mid s_{1,2} \mid^2 = \omega_n^2$ : Mag. of poles is  $\omega_n$ .  
\* $\cos^{-1}(\zeta)$ : Angle of  $s_1$  on complex plane CW from -ve Re axis.



**Damping Ratio Effect:**  $0 < \zeta_1 < \zeta_2 < 1$ , then



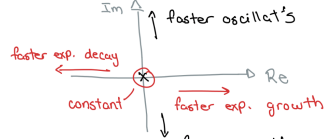
$-1 < \zeta_4 < \zeta_3 < 0$ , then  $\sigma = \zeta\omega_n < 0$ , (exp. envelop  $\uparrow$ )



**Class. of 2nd Order Sys.:**  $y(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$ , w/  $|\zeta| < 1$



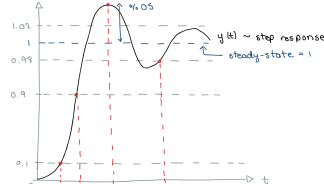
**Loc. of Poles and Behavior:**



**Control Spec. of 2nd Order Sys.: Step Response:** Given a TF  $G(s)$ , its SR is  $y(t)$  resulting from applying the input  $u(t) = 1(t)$ , i.e.  $\mathcal{L}^{-1}\{G(s)\frac{1}{s}\}$ .

**Control Spec.** A control spec. is a criterion specifying how we would like a CS to behave.

**2nd Order Sys. Metrics:**  $G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$  w/  $U(s) = \frac{1}{s}$   
\* $0 < \zeta < 1$  (i.e. 2 comp. conj. poles w/ Re(pole) < 0).



**Rise Time (RT):**  $T_r$  is the time it takes  $y(t)$  to go from 10% to 90% of its steady-state value.  
**RT:** 1. Find  $t_1 > 0$  s.t.  $y(t_1) = 0.1, t_2 > 0$  s.t.  $y(t_2) = 0.9$ .

3. Compute  $T_r = t_2 - t_1, T_r \approx \frac{1.8}{\omega_n}$ .

**Settling Time (ST):**  $T_s$  is the time required to reach and stay w/in 2% of the steady-state value.

**ST:** 1. Find when it's first that  $|y(t) - 1| \leq 0.02, T_s \approx \frac{4}{\zeta\omega_n}$ .

**Peak Time:**  $T_p$  is time req'd to reach the max (peak) value.

**Peak Time:** 1. Find the first time when  $\dot{y}(t) = 0$ .

\*  $T_p = \frac{\pi}{\omega_d} = \frac{\pi}{\omega_n \sqrt{1-\zeta^2}}$ .

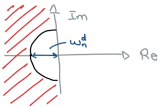
**% Overshoot:** %OS =  $\frac{[\text{peak value}] - [\text{steady-state value}]}{[\text{steady-state value}]} \times 100\%$

\*% OS = OS  $\times 100\%$ .

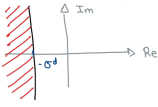
\* %OS =  $\exp\left(-\frac{\pi\zeta}{\sqrt{1-\zeta^2}}\right) \iff \zeta = \frac{-\ln(\text{OS})}{\sqrt{\pi^2 + (\ln(\text{OS}))^2}}$ .

**Transient Performance Sat.:** Given performance spec.  $T_r \leq T_r^d$ ,  $T_s \leq T_s^d$ ,  $OS \leq OS^d$ , find loc. of poles of  $G(s)$ .  
**\*Admissible region** for the poles of  $G(s)$  s.t. the step response meets all three spec. is the intersection of the above three regions.

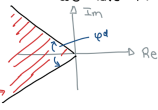
**Rise Time:**  $T_r \approx \frac{1.8}{\omega_n} \leq T_r^d \stackrel{\text{APP.}}{\Leftrightarrow} \omega_n \geq \frac{1.8}{T_r^d} \equiv \omega_n^d$



**Settling Time:**  $T_s \approx \frac{4}{\zeta \omega_n} = \frac{4}{\sigma} \leq T_s^d \stackrel{\text{APP.}}{\Leftrightarrow} \sigma \geq \frac{4}{T_s^d} \equiv \sigma^d$



**OS:**  $\exp\left(\frac{-\pi\zeta}{\sqrt{1-\zeta^2}}\right) \leq OS^d \stackrel{\text{APP.}}{\Leftrightarrow} \zeta \geq \frac{-\ln(OS^d)}{\sqrt{\pi^2+(\ln(OS^d))^2}} \equiv \zeta^d$



**Add. Poles & Zeros:** The analysis remains approx. correct under the following assumptions:

1. Any add. poles of  $G(s)$  have much more -ve real part (5-10 times) than the real part of the dom. complex conjugate poles.



**\*dominant poles, additional poles.**

2. Real part of zeros are -ve & very diff. from the real part of the two dom. poles.

**Internal Stability:**  $\dot{x} = Ax$  is

1. **Stable** if  $\forall x(0) \in \mathbb{R}^n$ , the soln.  $x(t)$  is bdd; that is,  $\exists M > 0$  s.t.  $\|x(t)\| \leq M \forall t \geq 0$ .

2. **Asymp. Stable** if it's stable &  $\forall x(0) \in \mathbb{R}^n$ , the soln.  $x(t)$  converges to the origin; that is,  $\lim_{t \rightarrow \infty} x(t) = 0$ .

3. **Unstable** if it's not stable; that is,  $\exists x(0) \in \mathbb{R}^n$  s.t.  $x(t)$  is not bdd.

**Asymptotic Stability Thm.**  $\dot{x} = Ax$  is A.S. iff  $\text{eig}(A) \subseteq \mathbb{C}^- \equiv \{s \in \mathbb{C} \mid \text{Re}(s) < 0\}$ , i.e. open left half plane (OLHP).

**Instability Thm.** If  $\exists$  an eigenvalue  $\lambda$  of  $A$  w/  $\text{Re}(\lambda) > 0$ , then  $\dot{x} = Ax$  is unstable.

**Fact:** Zeros of  $s^2 + a_1s + a_0$  are in  $\mathbb{C}^-$  iff  $a_1, a_0 > 0$ .

**Internal Stability** 1. Linearize around  $(\bar{x}, \bar{u})$  w/  $\bar{u} = 0$ .

2. Find  $A$  and determine  $\text{eig}(A) = \lambda$  s.t.  $\det(sI - A) = 0$ .

3. Check if  $\text{eig}(A) \subseteq \mathbb{C}^- \mid \text{Re}(\text{eig}(A)) > 0$ .

**BIBO Stability:** An LTI system w/ 0 i.c. is Bounded Input Bounded Output (BIBO) stable if for any bdd input  $u(t)$ , the output  $y(t)$  is also bdd.

**BIBO Unstable:** An LTI system w/ 0 i.c. is BIBO unstable if it's not BIBO stable; that is,  $\exists$  a bdd  $u(t)$  s.t.  $y(t)$  is not bdd.

**BIBO Stable Thm.** A system  $y(s) = G(s)U(s)$  is BIBO stable iff  $\text{poles}(G(s)) \subseteq \mathbb{C}^-$ .

**Lemma:** If  $p$  is a pole of  $G(s)$ , then  $p$  is an eig(A). I.e.  $\text{poles}(G(s)) = \{p \in \mathbb{C} \mid p \text{ is a pole of } G(s)\} \subseteq \text{eig}(A)$ .

**\*Pole-0 Cancellation:**  $\text{eig}(A)$  need not be a pole of  $G(s)$ .

**Thm.** If  $\text{eig}(A) \subseteq \mathbb{C}^-$ , then  $\forall B, C, D$  the TF  $G(s)$  is BIBO stable. That is, internal asymptotic stability  $\Rightarrow$  BIBO stability.

**BIBO Stability** 1. Find  $G(s)$  from SS form and determine poles.

2. Check if  $\text{poles}(G(s)) \subseteq \mathbb{C}^-$ .

**Routh-Hurwitz:** Consider  $a(s) = s^n + a_{n-1}s^{n-1} + \dots + a_0$ .

$*s^n$  | 1    $a_{n-2}$     $a_{n-4}$     $a_{n-6}$     $\dots$    0

$*s^{n-1}$  |  $a_{n-1}$     $a_{n-3}$     $a_{n-5}$     $a_{n-7}$     $\dots$    0

$*s^{n-2}$  |  $b_1$     $b_2$     $b_3$     $\dots$

$*s^{n-3}$  |  $c_1$     $c_2$     $\dots$

$\vdots$

$*s$  |  $*$    0

$*1$  |  $*$    0

$b_1 = -\frac{1}{a_{n-1}} \det \begin{bmatrix} 1 & a_{n-2} \\ a_{n-1} & a_{n-3} \end{bmatrix}$   $b_2 = -\frac{1}{a_{n-1}} \det \begin{bmatrix} 1 & a_{n-2} & a_{n-4} \\ a_{n-1} & a_{n-3} & a_{n-5} \end{bmatrix}$

$b_3 = -\frac{1}{a_{n-1}} \det \begin{bmatrix} 1 & a_{n-2} & a_{n-4} & a_{n-6} \\ a_{n-1} & a_{n-3} & a_{n-5} & a_{n-7} \end{bmatrix}$   $c_1 = -\frac{1}{b_1} \det \begin{bmatrix} a_{n-1} & a_{n-3} \\ b_1 & b_2 \end{bmatrix}$

$c_2 = -\frac{1}{b_1} \det \begin{bmatrix} a_{n-1} & a_{n-5} \\ b_1 & b_3 \end{bmatrix}$

**Routh-Hurwitz Stability Criterion:** The roots of  $a(s)$  are in  $\mathbb{C}^-$  iff the 1st col of Routh array has no sign changes. The # of sign changes is equal to the # of roots of  $a(s) \in \mathbb{C}^+ := \{s \in \mathbb{C} : \text{Re}(s) > 0\}$ .

**\*If** 1st element of a row is 0, Rooth array cannot be completed.

**FVT v1:** Suppose  $Y(s) = \mathcal{L}\{y(t)\}$  is a proper rational fcn. If  $y(\infty) := \lim_{t \rightarrow \infty} y(t)$  exists and is finite, then  $y(\infty) = \lim_{s \rightarrow 0} sY(s)$

**FVT v2:** Suppose  $Y(s) = \mathcal{L}\{y(t)\}$  is a proper rational fcn. Moreover, suppose either:

1.  $\text{poles}(Y(s)) \subseteq \mathbb{C}^-$

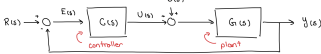
2.  $Y(s)$  has only one pole at  $s = 0$  and all other poles are in  $\mathbb{C}^-$ . Then  $y(\infty) := \lim_{t \rightarrow \infty} y(t)$  exists and is finite and satisfies  $y(\infty) = \lim_{s \rightarrow 0} sY(s)$ .

**FVT** 1. Does  $y(\infty)$  exist? Check if pole at  $s = 0$ , then compute Rooth Array to see if poles are in  $\mathbb{C}^-$ .

2. Compute  $\lim_{s \rightarrow 0} sY(s)$  if it exists.

## MIDTERM CUTOFF

### Standard Feedback Control Loop



$R(s)$ : Ref.,  $E(s) = R(s) - y(s)$ : Err.,  $C(s)$ : Controller,  $U(s)$ : Control input,  $D(s)$ : Dist.,  $G(s)$ : Plant,  $y(s)$ : Plant output.

**\*Assume:**  $R(s)$  and  $D(s)$  are strictly proper rational fcn's w/ a fixed set of poles but arbitrary zeros & gain.

**\* $\mathcal{R}, \mathcal{D}$ :** Classes of ref. and dist. satisfying the above assumption.

**Basic Control Prob.:** Design  $C(s)$  s.t. 3 spec. are met:

1. **Stability:**  $\forall$  bdd  $r(t), d(t)$ , we have  $u(t), e(t)$  bdd.

2. **Asymptotic Tracking:** When  $d(t) = 0 \forall t \geq 0$ , then  $\forall r(t) \in \mathcal{R}$ ,  $\lim_{t \rightarrow \infty} e(t) = \lim_{t \rightarrow \infty} r(t) - y(t) = 0$ .

3. **Disturbance Rejection:** When  $r(t) = 0 \forall t \geq 0$ , then  $\forall d(t) \in \mathcal{D}$ ,  $\lim_{t \rightarrow \infty} y(t) = 0$ .

**Open-Loop Control:** 1. Design  $u(t)$  s.t.  $y(t)$  tracks ref.  $y_r \in \mathbb{R}$ , i.e.  $\lim_{t \rightarrow \infty} y(t) = y_r$ .

2. Set  $u(t) = \gamma y_r 1(t)$  w/  $\gamma \in \mathbb{R}$  (const. scaling factor)

3. Apply FVT to find  $\gamma$  s.t.  $\lim_{t \rightarrow \infty} y(t) = y_r$ . 4. Determine  $\lim_{t \rightarrow \infty} e(t) = \lim_{t \rightarrow \infty} y_r - y(t)$

**Limitations:** 1. Req. perfect knowledge of plant paramters.

2. Not robust against parameter var./(unknown) dist.

3. Does not allow us to speed up convergence.

**Feedback Control:** 1. Design  $u(t)$  s.t.  $y(t)$  tracks ref.  $y_r \in \mathbb{R}$ , i.e.  $\lim_{t \rightarrow \infty} y(t) = y_r$ .

2. Set  $u(t) = Ke(t) = K(y_r - y(t))$  w/  $K > 0$  (const. gain).

3. Use block mani. to find  $y(s)$  in terms of input and  $G(s)$ .

4. Apply FVT to find  $K$  s.t.  $\lim_{t \rightarrow \infty} y(t) = y_r$ .

5. Determine  $\lim_{t \rightarrow \infty} e(t) = \lim_{t \rightarrow \infty} y_r - y(t)$

**Advantages:** 1. Doesn't req. perfect knowledge of plant param.

2. Robust against param. var./dist. by  $\uparrow K$ .

3. Allows us to speed up the rate of convergence by  $\uparrow K$ .

**Disadvantages:** 1. Feedback can introduce instability.

2. High-gain amplifies noise.

3. Asymptotic tracking doesn't occur.

**Integral Control:** 1. Design  $u(t)$  s.t.  $y(t)$  tracks ref.  $y_r \in \mathbb{R}$ , i.e.  $\lim_{t \rightarrow \infty} y(t) = y_r$ .

2. Set  $u(t) = \mathcal{L}^{-1}\{C(s)E(s)\} = Ke(t) + KT_I \int_0^t e(\tau) d\tau$  (prop. int. (PI) controller) w/  $K, T_I > 0$  (const. gains).

**\* $C(s) = K \left(1 + \frac{T_I}{s}\right)$**

3. Use block mani. to find  $y(s)$  in terms of input and  $G(s)$ .

4. Apply FVT to find  $\lim_{t \rightarrow \infty} y(t) = y_r$  as desired.

**BIBO Stability of Closed-Loop System: Gang of 4 TF:**

$$\begin{bmatrix} E(s) \\ U(s) \end{bmatrix} = \begin{bmatrix} \frac{1}{1+C(s)G(s)} & \frac{-G(s)}{1+C(s)G(s)} \\ \frac{C(s)}{1+C(s)G(s)} & \frac{-C(s)G(s)}{1+C(s)G(s)} \end{bmatrix} \begin{bmatrix} R(s) \\ D(s) \end{bmatrix}$$

**BIBO Stable of CLS:** The std. feedback control loop (CLS) is BIBO stable if all the Gang of 4 TFs are BIBO stable.

**CLS is BIBO Stable THM:** The CLS is BIBO stable iff

1. Poles of  $\frac{1}{1+C(s)G(s)} \subseteq \mathbb{C}^-$

2.  $C(s)G(s)$  has no pole-zero cancel. in  $\mathbb{C}^+ = \{s \in \mathbb{C} : \text{Re}(s) \geq 0\}$ .

**Practical Considerations:**

1. Don't cancel an unstable 0 of  $G(s)$  w/ an unstable pole in  $C(s)$ .

2. Don't cancel an unstable pole of  $G(s)$  w/ an unstable 0 in  $C(s)$ .

**Asymp. Tracking of Poly.** Suppose  $d(t) = 0$  & want to track a poly. ref. signal of the form:  $r(t) = \sum_{i=0}^{k-1} c_i t^i 1(t)$ , that is:

$R(s) = \frac{N_R(s)}{s^k}$ , w/  $N_R(0) \neq 0$  and  $\deg(N_R(s)) \leq k-1$ .

**\*GOAL:** Design  $C(s)$  to achieve  $\lim_{t \rightarrow \infty} e(t) = 0$ .

**Prop:** Suppose  $C(s)$  is designed so that:

1.  $\frac{1}{1+C(s)G(s)}$  is BIBO stable

2.  $C(s)G(s) = \frac{C'(s)G'(s)}{s^k}$  with  $C'(0)G'(0) \neq 0$ .

Then  $\frac{1}{s^k + C'(s)G'(s)}$  is BIBO stable.

**Asymp. Tracking of Poly. Thm** Suppose  $C(s)$  satisfies CLS is BIBO stable THM and  $d(t) = 0 \forall t \geq 0$ . For any poly. ref. signal

$r(t) = \sum_{i=0}^{k-1} c_i t^i 1(t)$ , the following hold:

a. If  $C(s)G(s)$  has  $k$  or more poles at  $s = 0$ , then  $\lim_{t \rightarrow \infty} e(t) = 0$ .

b. If  $C(s)G(s)$  has  $k-1$  poles at  $s = 0$ , then:

$$\lim_{t \rightarrow \infty} e(t) = \begin{cases} \frac{N_R(0)}{1+C'(0)G'(0)}, & \text{if } k = 1 \\ \frac{N_R(0)}{C'(0)G'(0)}, & \text{if } k \geq 2 \end{cases}$$

c. If  $C(s)G(s)$  has  $k-2$  or fewer poles at  $s = 0$ , then  $\lim_{t \rightarrow \infty} |e(t)| = \infty$ .

**Type k:** The TF  $C(s)G(s)$  is of type  $k$  if it has  $k$  poles at  $s = 0$ .

**Dist. Rejection:** Suppose  $r(t) = 0 \forall t \geq 0$  and  $d(t)$  is a poly. dist. signal of the form:  $d(t) = \sum_{i=0}^{k-1} c_i t^i 1(t)$ , that is:  $D(s) = \frac{N_D(s)}{s^k}$ , with  $N_D(0) \neq 0$  and  $\deg(N_D(s)) \leq k-1$ .

**\*GOAL:** Design  $C(s)$  to achieve  $\lim_{t \rightarrow \infty} e(t) = 0$ .

**Dist. Rejection Thm:** Suppose  $C(s)$  satisfies CLS is BIBO stable THM and  $r(t) = 0 \forall t \geq 0$ . For any poly. dist. signal

$d(t) = \sum_{i=0}^{k-1} c_i t^i 1(t)$ , the following hold:

a. If  $C(s)$  has  $k$  or more poles at  $s = 0$ , then  $\lim_{t \rightarrow \infty} e(t) = 0$ .

b. If  $C(s)$  has  $k-1$  poles at  $s = 0$ , then  $\lim_{t \rightarrow \infty} e(t) \neq 0$  exists.

c. If  $C(s)$  has  $k-2$  or fewer poles at  $s = 0$ , then  $\lim_{t \rightarrow \infty} |e(t)| = \infty$ .

**General Thm (Internal Model Principle):** Suppose  $R(s)$  and  $D(s)$  are strictly proper rational fns w/ poles in  $\mathbb{C}^+$ .  $C(s)$  solves the Basic Control Problem iff:

1)  $C(s)$  makes the CLS BIBO stable;

2)  $C(s)G(s)$  has the poles( $R(s)$ ) w/ at least same multiplicities;

3)  $C(s)$  has the poles( $D(s)$ ) w/ at least same multiplicities.

**Corollary:** If  $G(s)$  has zeros that are also poles of  $R(s)$  or  $D(s)$ , then the Basic Control Problem is unsolvable.

**Internal Model:** The IMP states if  $G(s)$  does not contain the poles of  $R(s)$  and  $D(s)$ , then  $C(s)$  must contain these poles. Since these poles enable  $C(s)$  to reproduce  $r(t)$  and  $d(t)$ , we say  $C(s)$  must contain an **internal model** of  $r(t)$  and  $d(t)$ .

**Proposition:** Suppose  $G(s)$  is BIBO stable. Let  $Y(s) = G(s)U(s)$ , where  $Y(s) = \mathcal{L}\{y(t)\}$  and  $U(s) = \mathcal{L}\{u(t)\}$ . If  $\lim_{t \rightarrow \infty} u(t) = 0$ ,

then  $\lim_{t \rightarrow \infty} y(t) = 0$ .

**\*Decaying input  $\Rightarrow$  decaying output so don't worry in IMP.**

**General Controller Design Procedure:** Given  $R(s) = \mathcal{L}\{r(t)\}$  and  $D(s) = \mathcal{L}\{d(t)\}$ :

1. **Feasibility:** Verify no zero of  $G(s)$  is an unstable pole of  $R(s)$  or  $D(s)$ .

2. **Internal Model:** Let  $p_1, \dots, p_k$  denote the unstable poles of  $R(s)$  or  $D(s)$  not in  $G(s)$ , accounting for multiplicities. Construct:

$$C(s) = C'(s) \cdot \frac{1}{(s-p_1) \dots (s-p_k)}$$

3. **Stability:** Design  $C'(s)$  so that the CLS is BIBO stable.

4. **Performance:** Tune controller parameters to achieve the desired performance specifications.