

# ECE353 Lectures

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# 1 Prologue

## Summary:

- This course will focus on planning
- Variables:
  - State:  $\mathbf{x}(t)$
  - Action(s):  $\mathbf{u}(t)$
  - Measurement:  $\mathbf{y}_k^{(i)}$
  - Context:  $\mathbf{z}_k^{(i)}$
  - Old Context:  $\mathbf{z}_{k-1}^{(i)}$
  - Plan:  $\mathbf{p}_k^{(i)}$
  - (i): Ith agent
- Conversion to DT is necessary because robots are digitalized system and then converted back to CT for execution.

## FAQ:

- What does the environment do?
- What is the joint action set?

## 1.1 Components of a Robotic System

### Summary:

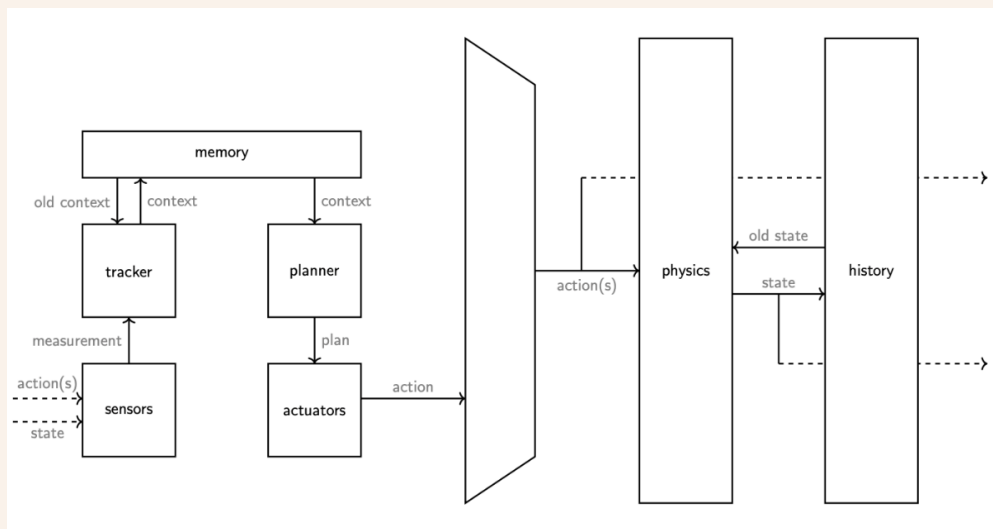


Figure 1: Components of a Robotic System (Words)

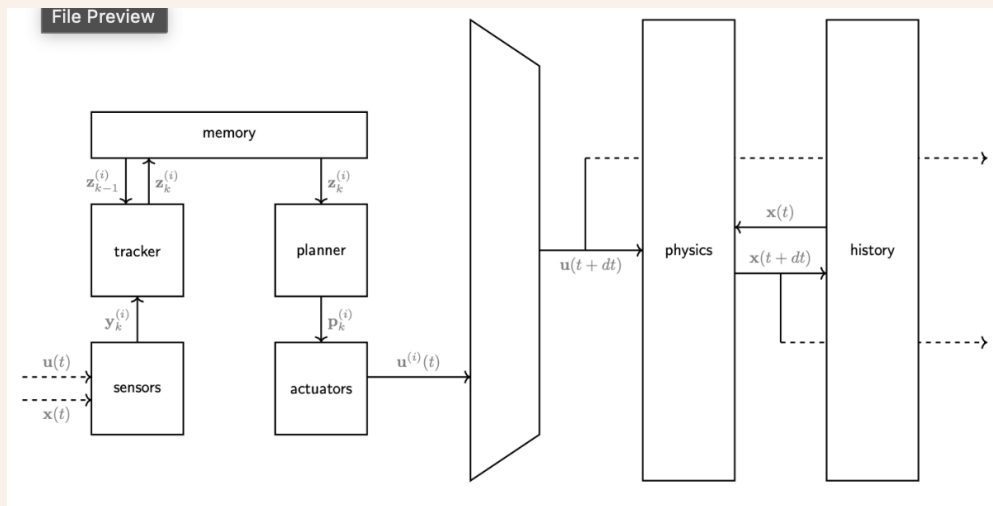


Figure 2: Components of a Robotic System (Math)

### 1.1.1 Overview (Robots, the Environment)

#### Definition:

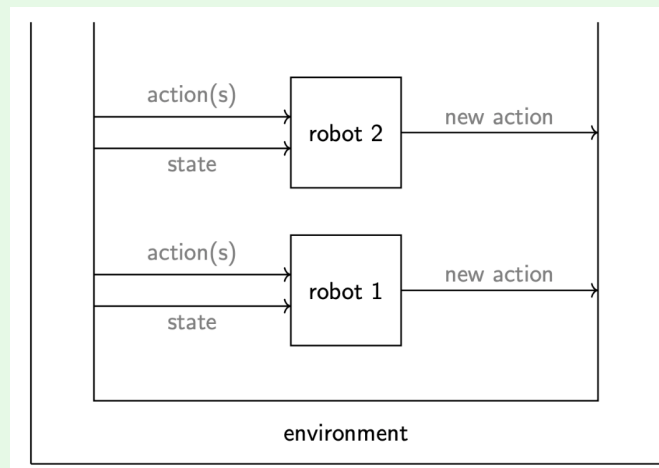


Figure 3: Overview (Robots, the Environment)

#### Notes:

- Environment  $\rightarrow$  previous actions + current state  $\rightarrow$  robot  $\rightarrow$  new action  $\rightarrow$  environment

### 1.1.2 Robot (Sensors, Actuators, the Brain)

#### Definition:

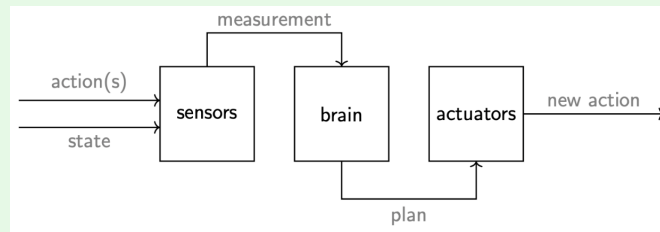


Figure 4: Robot (Sensors, Actuators, the Brain)

**Notes:**

- Measurements can be noisy and inaccurate if not a perfect sensor.
- Measurements go into the brain which can create a plan.

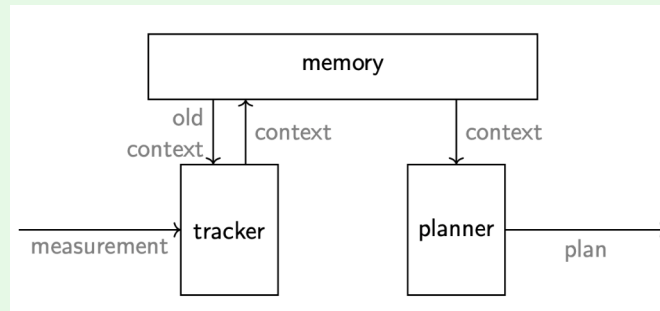
**1.1.3 Brain (Tracker, Planner, Memory)****Definition:**

Figure 5: Brain (Tracker, Planner, Memory)

**Notes:**

- The tracker takes in the measurements and old context and updates the context.
- The planner takes in the context and creates a plan.
- The memory stores the context.

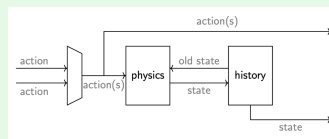
**1.1.4 Environment (Physics, State)****Definition:**

Figure 6: Environment (Physics, State)

**1.2 Equations of a Robotic System****1.2.1 Sensing****Definition:** Take a measurement:

$$\mathbf{y}^{(i)}(t) = \text{sns}^{(i)}(\mathbf{x}(t), \mathbf{u}(t), t)$$

Convert the measurement into a discrete-time signal using a sampling period of  $T^{(i)}$ :

$$\mathbf{y}_k^{(i)} = \text{dt}(\mathbf{y}^{(i)}(t), t, T^{(i)})$$

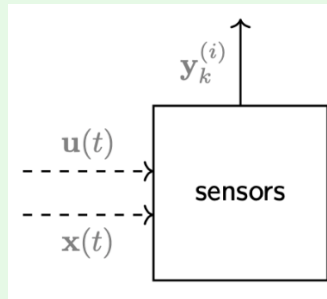


Figure 7: Sensing

### 1.2.2 Tracking

**Definition:** Track (update) the context:

$$\mathbf{z}_k^{(i)} = \text{trk}^{(i)}(\mathbf{z}_{k-1}^{(i)}, \mathbf{y}_k^{(i)}, k)$$

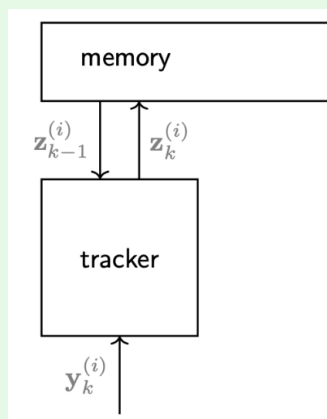


Figure 8: Tracking

### 1.2.3 Planning

**Definition:** Make a plan:

$$\mathbf{p}_k^{(i)} = \text{pln}^{(i)}(\mathbf{z}_k^{(i)}, k)$$

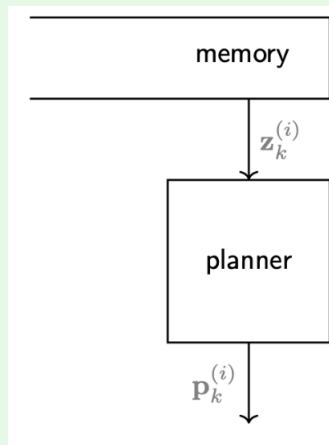


Figure 9: Planning

#### 1.2.4 Acting

**Definition:** Convert the plan into a continuous-time signal using a sampling period of  $T^{(i)}$ :

$$\mathbf{p}(t) = \text{ct}(\mathbf{p}_k^{(i)}, t, T^{(i)})$$

Execute the plan:

$$\mathbf{u}^{(i)}(t) = \text{act}^{(i)}(\mathbf{p}^{(i)}(t), t)$$

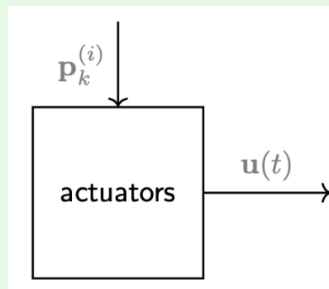


Figure 10: Acting

#### 1.2.5 Simulating

**Definition:** Simulate the environment's response:

$$\dot{\mathbf{x}}(t) = \text{phy}(\mathbf{x}(t), \mathbf{u}(t), t)$$

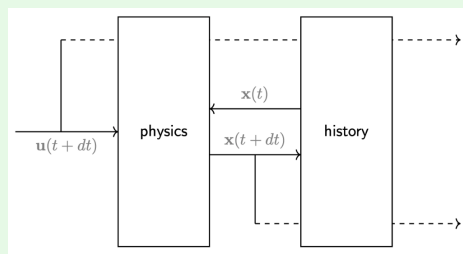


Figure 11: Simulating

### 1.3 Setup of Planning Problems

**Summary:** In a planning problem, it is assumed that:

- the environment is representable using a discrete set of states,  $\mathcal{S}$
- for each state,  $s \in \mathcal{S}$ , each agent,  $i$ , has a discrete set of actions,  $\mathcal{A}_i(s)$ , with  $\mathcal{A}(s) := \times_i \mathcal{A}_i(s)$
- a **move** is any tuple,  $(s, a)$ , where  $s \in \mathcal{S}$  and  $a \in \mathcal{A}(s)$
- a **transition** is any 3-tuple,  $(s, a, s')$ , where  $s, s' \in \mathcal{S}$  and  $a \in \mathcal{A}(s)$
- the transition resulting from a move may be deterministic/stochastic
- $reward_i(s, a, s')$  is agent  $i$ 's reward for the transition,  $(s, a, s')$
- a **path** is any sequence of transitions of the form

$$p = \langle (s^{(0)}, a^{(1)}, s^{(1)}), (s^{(1)}, a^{(2)}, s^{(2)}), \dots \rangle$$

- each agent wants to realize a path that maximizes its own reward

**Warning:**  $\mathcal{A}(s)$  is the joint action set of all agents at state  $s$ .

## 2 Search Problems

**Summary:**

- Not responsible for proofs, but know when to use each algorithm.

### 2.1 Setup

**Definition:** In a search problem, it is assumed that:

- There is only one agent (us).
- For each state,  $s \in \mathcal{S}$ , we have a discrete set of actions,  $\mathcal{A}(s)$ .
- The transition resulting from a move,  $(s, a)$ , is deterministic; the resulting state is  $tr(s, a)$ .
- $cst(s, a, tr(s, a))$  is our cost for the transition,  $(s, a, tr(s, a))$ .
- We want to realize a path that minimizes our cost.

A search problem may have no solutions, in which case, we define the solution as **NULL**.

### 2.2 Search Graphs

**Definition:** In a search graph (a graph representing a search problem):

- $\mathcal{S}$  is defined by the vertices.
- $\mathcal{G}$  is a subset of the vertices.
- $s^{(0)}$  is some vertex.
- $tr(\cdot, \cdot)$  and  $\mathcal{T}$  are defined by the edges.
- $cst(\cdot, \cdot, \cdot)$  is defined by the edge weights.

### 2.3 Path Trees

**Definition:** A search algorithm explores a tree of possible paths.

- In such a tree, each node represents the path from the root to itself.
  - The node may also include other info (such as the path's origin, cost, etc).

## 2.4 Search Algorithms

**Definition:** All search algorithms follow the template below:

```

1  $\mathcal{O} \leftarrow \{(\langle \rangle, 0)\}$  ▷ initialize a set of open nodes
2 SEARCH( $\mathcal{O}$ )

```

- $\langle \rangle$  is the empty path, and 0 is the cost of the empty path.

```

1 procedure SEARCH( $\mathcal{O}$ )
2   if  $\mathcal{O} = \emptyset$  then
3     return NULL ▷ the search algorithm failed to find a path to a goal
4    $n \leftarrow \text{REMOVE}(\mathcal{O})$  ▷ "explore" a node  $n$ 
5   if  $\text{DST}(n) \in \mathcal{G}$  then
6     return  $n$  ▷ the search algorithm found a path to a goal
7   for  $n' \in \text{CHL}(n)$  do
8      $\mathcal{O} \leftarrow \mathcal{O} \cup \{n'\}$  ▷ "expand"  $n$  and "export" its children
9   SEARCH( $\mathcal{O}$ )

```

- Explore: Remove a node from the open set.
- Expand: Generate the children of the node.
- Export: Add the children to the open set.

**Warning:** The key difference is in the order that REMOVE( $\cdot$ ) removes nodes.

### 2.4.1 Characteristics of a Search Algorithm

**Definition:** We want to choose REMOVE( $\cdot$ ) so that the algorithm exhibits the following characteristics:

Characteristic	Description
Halting	Terminates after finitely many nodes explored
Sound	Returned (possibly NULL) solution is correct
Complete	Halting and sound when a non-NULL solution exists
Optimal	Returns an optimal solution when multiple exist
Time Efficient	Minimizes the nodes <b>explored</b> /expanded/exported
Space Efficient	Minimizes the nodes simultaneously open

- Will be using explored for time efficiency.

The characteristics of the algorithm also depend on several properties of the path tree over which it searches. These properties include:

- Branching factor:  $b$  ( $b < \infty$ ), the maximum number of children a node can have.
- Depth:  $d$ , the length of the longest path.
- Length of the shortest solution:  $l^*$
- Cost of the cheapest solution:  $c^*$
- Cost of the cheapest edge:  $\epsilon$

We want to choose REMOVE( $\cdot$ ) so that the algorithm exhibits the aforementioned characteristics for as many path trees as possible.

### 2.4.2 Breadth First Search (BFS)

**Definition:** Explores the least-recently expanded open node first.



Property	Description
Halting	$d < \infty$ non-NULL
Sound	always
Complete	always
Optimal	constant cst
Time	$b^{l^*}$
Space	$b^{l^*+1}$

### 2.4.3 Depth First Search (DFS)

**Definition:** Explores the most-recently expanded open node first.

Property	Description
Halting	$d < \infty$
Sound	always
Complete	$d < \infty$
Optimal	never
Time	$b^d$
Space	$bd$

### 2.4.4 Iterative Deepening DFS (IDDFS)

**Definition:** Same as DFS but with iterative deepening.

Property	Description
Halting	always
Sound	always
Complete	always
Optimal	constant cst
Time	$b^{l^*}$
Space	$bl^*$

### 2.4.5 Cheapest-First Search (CFS)

**Definition:** Explores the cheapest open node first.

Property	Description
Halting	$d < \infty$ non-NULL
Sound	yes
Complete	$\epsilon > 0$
Optimal	$\epsilon > 0$
Time	$b^{c^*/\epsilon}$
Space	$b^{c^*/\epsilon+1}$

## 2.5 Modifications to Search Algorithms

### 2.5.1 Depth-Limiting

**Definition:** Depth limit of  $d_{\max}$  to any search algorithm by modifying SEARCH( $\cdot$ ) as follows:

```

1 procedure SEARCHDL( $\mathcal{O}$ ,  $d_{\max}$ ):
2   if  $\mathcal{O} = \emptyset$  then
3     return NULL
4    $n \leftarrow \text{REMOVE}(\mathcal{O})$ 
5   if  $\text{dst}(n) \in \mathcal{G}$  then
       $\triangleright$  the search algorithm failed to find a path to a goal
       $\triangleright$  "explore" a node,  $n$ 

```

```

6       return n
7   for  $n' \in \text{chl}(n)$  do
8       if  $\text{len}(n') \leq d_{\max}$  then
9            $\mathcal{O} \leftarrow \mathcal{O} \cup \{n'\}$ 
10      SEARCHDL( $\mathcal{O}, d_{\max}$ )

```

$\triangleright$  the search algorithm found a path to a goal  
 $\triangleright$  "expand"  $n$  and "export" its children  
 $\triangleright$  unless the child is too long

### 2.5.2 Iterative Deepening

**Definition:** Iteratively increase the depth-limit,  $d_{\max}$ , to any search algorithm w/ depth-limiting, by placing SEARCHDL( $\cdot$ ) in a wrapper, SEARCHID( $\cdot$ ):

```

1 procedure SEARCHID():
2      $n \leftarrow \text{NULL}$ 
3      $d_{\max} \leftarrow 0$ 
4      $\triangleright$  while a solution has not been found, reset the open set, run the search algorithm, then increase the
       depth-limit
5     while  $n = \text{NULL}$  do
6          $\mathcal{O} \leftarrow \{(\langle \rangle, 0)\}$ 
7          $n \leftarrow \text{SEARCHDL}(\mathcal{O}, d_{\max})$ 
8          $d_{\max} \leftarrow d_{\max} + 1$ 
9     return  $n$ 

```

**Warning:** Increasing  $d_{\max}$  can be done in different ways.

### 2.5.3 Cost-Limiting

**Definition:** Cost limit of  $c_{\max}$  to any search algorithm by modifying SEARCH( $\cdot$ ) as follows:

```

1 procedure SEARCHCL( $\mathcal{O}, c_{\max}$ ):
2     if  $\mathcal{O} = \emptyset$  then
3         return NULL
4      $n \leftarrow \text{REMOVE}(\mathcal{O})$ 
5     if  $\text{dst}(n) \in \mathcal{G}$  then
6         return  $n$ 
7     for  $n' \in \text{chl}(n)$  do
8         if  $\text{cst}(n') \leq c_{\max}$  then
9              $\mathcal{O} \leftarrow \mathcal{O} \cup \{n'\}$ 
10    SEARCHCL( $\mathcal{O}, c_{\max}$ )

```

$\triangleright$  the search algorithm failed to find a path to a goal  
 $\triangleright$  "explore" a node,  $n$   
 $\triangleright$  the search algorithm found a path to a goal  
 $\triangleright$  "expand"  $n$  and "export" its children  
 $\triangleright$  unless the child is too expensive

### 2.5.4 Iterative-Inflating

**Definition:** Iteratively increase the cost limit,  $c_{\max}$ , to any search algorithm with cost-limiting, by placing SEARCHCL( $\cdot$ ) in a wrapper, SEARCHII( $\cdot$ ):

```

1 procedure SEARCHII():
2      $n \leftarrow \text{NULL}$ 
3      $c_{\max} \leftarrow 0$ 
4      $\triangleright$  while a solution has not been found, reset the open set, run the search algorithm, then increase the
       cost-limit
5     while  $n = \text{NULL}$  do
6          $\mathcal{O} \leftarrow \{(\langle \rangle, 0)\}$ 
7          $n \leftarrow \text{SEARCHCL}(\mathcal{O}, c_{\max})$ 
8          $c_{\max} \leftarrow c_{\max} + \epsilon$ 
9     return  $n$ 

```

**Warning:** Increasing  $c_{\max}$  can be done in different ways.

### 2.5.5 Intra-Path Cycle Checking

**Definition:** Do not expand a path if it is cyclic. Modify  $\text{SEARCH}(\cdot)$  as follows:

```

1 procedure SEARCH( $\mathcal{O}$ ):
2   if  $\mathcal{O} = \emptyset$  then
3     return NULL
4    $n \leftarrow \text{REMOVE}(\mathcal{O})$ 
5   if  $\text{dst}(n) \in \mathcal{G}$  then
6     return  $n$ 
7   for  $n' \in \text{chl}(n)$  do
8     if not CYCLIC( $n'$ ) then
9        $\mathcal{O} \leftarrow \mathcal{O} \cup \{n'\}$ 
10  SEARCH( $\mathcal{O}$ )

```

▷ "expand"  $n$  and "export" its children  
▷ unless the child is cyclic

- Optimality of an algorithm is preserved provided  $\epsilon > 0$ .

### 2.5.6 Inter-Path Cycle Checking

**Definition:** We modify  $\text{SEARCH}(\cdot)$  as follows:

```

1 procedure SEARCH( $\mathcal{O}, \mathcal{C}$ ):
2   if  $\mathcal{O} = \emptyset$  then
3     return NULL
4    $n \leftarrow \text{REMOVE}(\mathcal{O})$ 
5    $\mathcal{C} \leftarrow \mathcal{C} \cup \{n\}$ 
6   if  $\text{dst}(n) \in \mathcal{G}$  then
7     return  $n$ 
8   for  $n' \in \text{chl}(n)$  do
9     if  $n' \notin \mathcal{C}$  then
10       $\mathcal{O} \leftarrow \mathcal{O} \cup \{n'\}$ 
11  SEARCH( $\mathcal{O}, \mathcal{C}$ )

```

▷ add  $n$  to the closed set  
▷ "expand"  $n$  and "export" its children  
▷ unless the child's destination is closed

and then call the algorithm as follows:

```

1  $\mathcal{O} \leftarrow \{(\langle \rangle, 0)\}$ 
2  $\mathcal{C} \leftarrow \emptyset$ 
3 SEARCH( $\mathcal{O}, \mathcal{C}$ )

```

▷ initialize a set of closed vertices

## 2.6 Informed Search Algorithms

**Motivation:** We want to somehow explore more "promising" paths first.

### 2.6.1 Estimated Cost

**Definition:**  $\text{ecst}(\cdot)$ , to estimate the total cost to a goal given a path,  $p$ , based on the following:

- Cost of path  $p$ :  $\text{cst}(p)$
- Estimate of the extra cost needed to get to a goal from  $\text{dst}(p)$ :  $\text{hur} : S \rightarrow \mathbb{R}_+$ 
  - $\text{hur}(s)$  estimates the cost to get to  $\mathcal{G}$  from  $s$  and  $\text{hur}(p)$  means  $\text{hur}(\text{dst}(p))$ .

**Example:** Some common choices for  $\text{ecst}(\cdot)$  include:

1.  $\text{ecst}(p) = \text{hur}(p)$ ; called nearest-first search (NFS)
2.  $\text{ecst}(p) = \text{cst}(p) + \text{hur}(p)$ ; called A\* (A-star)

**2.6.2 Heuristics****2.6.3 Heuristic-First Search (HFS)****2.6.4 A-Star Search (A\*)****2.6.5 Iterative Inflating A-Star Search (IIA\*)****2.6.6 Designing Heuristics via Problem Relaxation****2.6.7 Combining Heuristics****2.7 Characteristics of an Informed Search Algorithm****2.8 Anytime Search Algorithms****2.9 Formulating a Search Problem**