# ECE353 Lectures

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#### 1 Prologue

## Summary:

• This course will focus on planning

• Variables:

- State:  $\mathbf{x}(t)$ 

- Action(s):  $\mathbf{u}(t)$ 

- Measurement:  $\mathbf{y}_k^{(i)}$ 

– Context:  $\mathbf{z}_k^{(i)}$ 

– Old Context:  $\mathbf{z}_{k-1}^{(i)}$ 

- Plan:  $\mathbf{p}_k^{(i)}$ - (i): Ith agent

• Conversion to DT is necessary because robots are digitalized system and then converted back to CT for execution.

#### Setup of Planning Problems 1.1

**Summary**: In a planning problem, it is assumed that:

- ullet the environment is representable using a discrete set of states,  ${\mathcal S}$
- for each state,  $s \in \mathcal{S}$ , each agent, i, has a discrete set of actions,  $\mathcal{A}_i(s)$ , with  $\mathcal{A}(s) := \times_i \mathcal{A}_i(s)$  (joint action set)
- a move is any tuple, (s, a), where  $s \in \mathcal{S}$  and  $a \in \mathcal{A}(s)$
- a transition is any 3-tuple, (s, a, s'), where  $s, s' \in \mathcal{S}$  and  $a \in \mathcal{A}(s)$ 
  - the transition resulting from a move may be deterministic/stochastic
- $\operatorname{rwd}_i(s, a, s')$  is agent i's reward for the transition, (s, a, s')
- a path is any sequence of transitions of the form

$$p = \langle (s^{(0)}, a^{(1)}, s^{(1)}), (s^{(1)}, a^{(2)}, s^{(2)}), \dots \rangle$$

• each agent wants to realize a path that maximizes its own reward

**Warning**: A(s) is the joint action set of all agents at state s.

# 1.2 Components of a Robotic System

# Summary:

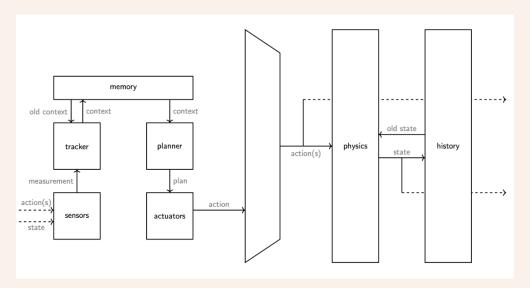


Figure 1: Components of a Robotic System (Words)

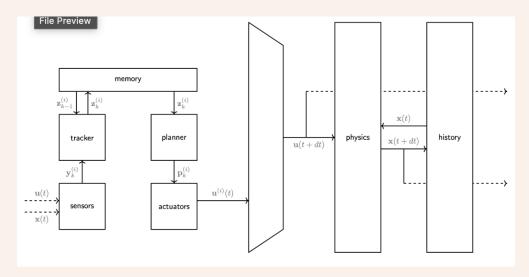


Figure 2: Components of a Robotic System (Math)

# 1.2.1 Overview (Robots, the Environment)

# **Definition**:

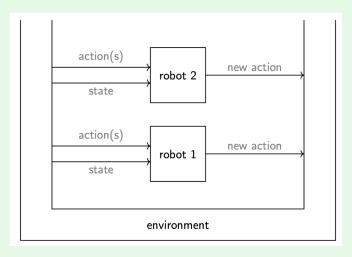


Figure 3: Overview (Robots, the Environment)

#### Notes:

 $\bullet$  Environment  $\to$  previous actions + current state  $\to$  robot  $\to$  new action  $\to$  environment

# 1.2.2 Robot (Sensors, Actuators, the Brain)

# **Definition**:

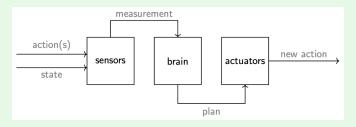


Figure 4: Robot (Sensors, Actuators, the Brain)

## Notes:

- $\bullet$  Measurements can be noisy and inaccurate if not a perfect sensor.
- Measurements go into the brain which can create a plan.

# 1.2.3 Brain (Tracker, Planner, Memory)

# **Definition**:

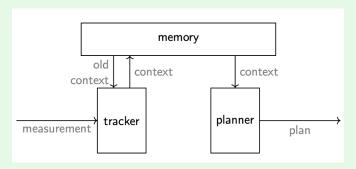


Figure 5: Brain (Tracker, Planner, Memory)

# Notes:

- The tracker takes in the measurements and old context and updates the context.
- The planner takes in the context and creates a plan.
- The memory stores the context.

# 1.2.4 Environment (Physics, State)

## **Definition**:

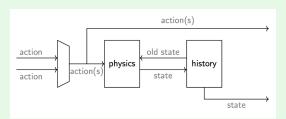


Figure 6: Environment (Physics, State)

# 1.3 Equations of a Robotic System

# 1.3.1 Sensing

**Definition**: Take a measurement:

$$\mathbf{y}^{(i)}(t) = \operatorname{sns}^{(i)}(\mathbf{x}(t), \mathbf{u}(t), t)$$

Convert the measurement into a discrete-time signal using a sampling period of  $T^{(i)}$ :

$$\mathbf{y}_k^{(i)} = \mathrm{dt}(\mathbf{y}^{(i)}(t), t, T^{(i)})$$

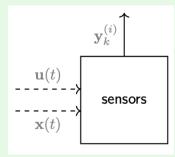


Figure 7: Sensing

# 1.3.2 Tracking

**Definition**: Track (update) the context:

$$\mathbf{z}_k^{(i)} = \operatorname{trk}^{(i)} \left( \mathbf{z}_{k-1}^{(i)}, \mathbf{y}_k^{(i)}, k \right)$$

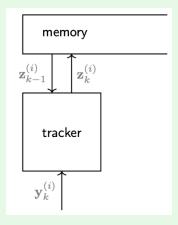


Figure 8: Tracking

# 1.3.3 Planning

**Definition**: Make a plan:



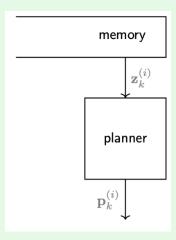


Figure 9: Planning

# 1.3.4 Acting

**Definition**: Convert the plan into a continuous-time signal using a sampling period of  $T^{(i)}$ :

$$\mathbf{p}(t) = \operatorname{ct}(\mathbf{p}_k^{(i)}, t, T^{(i)})$$

Execute the plan:

$$\mathbf{u}^{(i)}(t) = \cot^{(i)}(\mathbf{p}^{(i)}(t), t)$$

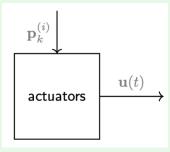


Figure 10: Acting

#### 1.3.5 Simulating

**Definition**: Simulate the environment's response:

$$\dot{\mathbf{x}}(t) = \text{phy}(\mathbf{x}(t), \mathbf{u}(t), t)$$

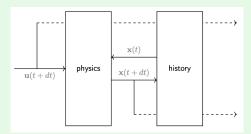


Figure 11: Simulating

# 2 Uninformed Search Algorithms

#### Summary:

• Not responsible for proofs, but know when to use each algorithm.

# 2.1 Setup

**Definition**: In a search problem, it is assumed that:

- There is only one agent (us).
- For each state,  $s \in S$ , we have a discrete set of actions,  $\mathcal{A}(s)$ .
- The transition resulting from a move, (s, a), is deterministic; the resulting state is tr(s, a).
- cst(s, a, tr(s, a)) is our cost for the transition, (s, a, tr(s, a)).
- We want to realize a path that minimizes our cost.

A search problem may have no solutions, in which case, we define the solution as NULL.

# 2.2 Search Graphs

**Definition**: In a search graph (a graph representing a search problem):

- S is defined by the vertices.
- $\mathcal{G}$  is a subset of the vertices.
- $s^{(0)}$  is some vertex.
- $tr(\cdot, \cdot)$  and  $\mathcal{T}$  are defined by the edges.
- $cst(\cdot, \cdot, \cdot)$  is defined by the edge weights.

# 2.3 Path Trees

**Definition**: A search algorithm explores a tree of possible paths.

- In such a tree, each node represents the path from the root to itself.
  - The node may also include other info (such as the path's origin, cost, etc).

# 2.4 Search Algorithms

**Definition**: All search algorithms follow the template below:

•  $\langle \rangle$  is the empty path, and 0 is the cost of the empty path.

```
procedure SEARCH(\mathcal{O})

if \mathcal{O} = \emptyset then

return NULL

n \leftarrow \text{REMOVE}(\mathcal{O})

if \text{DST}(n) \in \mathcal{G} then

return n

for n' \in \text{CHL}(n) do

\mathcal{O} \leftarrow \mathcal{O} \cup \{n'\}

SEARCH(\mathcal{O})

b the search algorithm failed to find a path to a goal

b "explore" a node n

b the search algorithm found a path to a goal

b "expand" n and "export" its children
```

- Explore: Remove a node from the open set.
- Exapnd: Generate the children of the node.
- Export: Add the children to the open set.

Warning: The key difference is in the order that  $Remove(\cdot)$  removes nodes.

#### 2.4.1 Characteristics of a Search Algorithm

**Definition**: We want to choose REMOVE(·) so that the algorithm exhibits the following characteristics:

Characteristic	Description
Halting	Terminates after finitely many nodes explored
Sound	Returned (possibly NULL) solution is correct
Complete	Halting and sound when a non-NULL solution exists
Optimal	Returns an optimal solution when multiple exist
Time Efficient	Minimizes the nodes <b>explored</b> /expanded/exported
Space Efficient	Minimizes the nodes simultaneously open

• Will be using explored for time efficiency.

The characteristics of the algorithm also depend on several properties of the path tree over which it searches. These properties include:

- Branching factor: b ( $b < \infty$ ), the maximum number of children a node can have.
- $\bullet$  Depth: d, the length of the longest path.
- Length of the shortest solution:  $l^*$
- Cost of the cheapest solution:  $c^*$
- Cost of the cheapest edge:  $\epsilon$

We want to choose REMOVE(·) so that the algorithm exhibits the aforementioned characteristics for as many path trees as possible.

#### 2.4.2 Breadth First Search (BFS)

**Definition**: Explores the least-recently expanded open node first.

Property	Description
Halting	$d < \infty$
	non-NULL
Sound	always
Complete	always
Optimal	constant cst
Time	$b^{l^*}$
Space	$b^{l^*+1}$

# 2.4.3 Depth First Search (DFS)

**Definition**: Explores the most-recently expanded open node first.

Property	Description
Halting	$d < \infty$
Sound	always
Complete	$d < \infty$
Optimal	never
Time	$b^d$
Space	bd

# 2.4.4 Iterative Deepening DFS (IDDFS)

**Definition**: Same as DFS but with iterative deepening.

Property	Description
Halting	always
Sound	always
Complete	always
Optimal	constant cst
Time	$b^{l^*}$
Space	$bl^*$

# 2.4.5 Cheapest-First Search (CFS)

**Definition**: Explores the cheapest open node first.

Property	Description
Halting	$d < \infty$
	non-NULL
Sound	yes
Complete	$\epsilon > 0$
Optimal	$\epsilon > 0$
Time	$b^{c^*/\epsilon}$
Space	$b^{c^*/\epsilon+1}$

# 2.5 Modifications to Search Algorithms

# 2.5.1 Depth-Limiting

Definition: Depth limit of  $d_{\max}$  to any search algorithm by modifying SEARCH(·) as follows:

```
procedure SEARCHDL(\mathcal{O}, d_{\max}):

if \mathcal{O} = \emptyset then

return NULL

n \leftarrow \text{REMOVE}(\mathcal{O})

if \text{dst}(n) \in \mathcal{G} then
```

#### 2.5.2 Iterative Deepening

**Definition**: Iteratively increase the depth-limit,  $d_{\text{max}}$ , to any search algorithm w/ depth-limiting, by placing SEARCHDL(·) in a wrapper, SEARCHID(·):

```
procedure SEARCHID(): n \leftarrow \text{NULL} \\ d_{\text{max}} \leftarrow 0 \\ \text{b while a solution has not been found, reset the open set, run the search algorithm, then increase the depth-limit <math display="block"> \text{while } n = \text{NULL do} \\ \mathcal{O} \leftarrow \{(\langle \rangle, 0)\} \\ n \leftarrow \text{SEARCHDL}(\mathcal{O}, d_{\text{max}}) \\ d_{\text{max}} \leftarrow d_{\text{max}} + 1 \\ \text{return } n
```

Warning: Increasing  $d_{\text{max}}$  can be done in different ways.

#### 2.5.3 Cost-Limiting

Definition: Cost limit of  $c_{\text{max}}$  to any search algorithm by modifying SEARCH(·) as follows:

```
procedure SEARCHCL(\mathcal{O}, c_{\max}):

if \mathcal{O} = \emptyset then

return NULL

n \leftarrow \text{REMOVE}(\mathcal{O})

if \text{dst}(n) \in \mathcal{G} then

return n

for n' \in \text{chl}(n) do

if \text{cst}(n') \leq c_{\max} then

\mathcal{O} \leftarrow \mathcal{O} \cup \{n'\}

SEARCHCL(\mathcal{O}, c_{\max})

b the search algorithm failed to find a path to a goal between the properties of the search algorithm found a path to a goal between the properties of the properties of the search algorithm found a path to a goal between the properties of the search algorithm found a path to a goal between the properties of the search algorithm found a path to a goal between the properties of the search algorithm found a path to a goal between the properties of the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm found a path to a goal between the search algorithm found a path to a goal between the search algorithm found a path to a goal between the search algorithm found a path to a goal between the search algorithm found a path to a goal between the search algorithm found a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the search algorithm failed to find a path to a goal between the searc
```

#### 2.5.4 Iterative-Inflating

**Definition**: Iteratively increase the cost limit,  $c_{\text{max}}$ , to any search algorithm with cost-limiting, by placing SEARCHCL(·) in a wrapper, SEARCHII(·):

```
procedure SEARCHII(): n \leftarrow \text{NULL}
c_{\text{max}} \leftarrow 0
\downarrow \text{ while a solution has not been found, reset the open set, run the search algorithm, then increase the cost-limit while <math>n = \text{NULL} do \mathcal{O} \leftarrow \{(\langle \rangle, 0)\}
n \leftarrow \text{SEARCHCL}(\mathcal{O}, c_{\text{max}})
c_{\text{max}} \leftarrow c_{\text{max}} + \epsilon
\text{return } n
```

Warning: Increasing  $c_{\text{max}}$  can be done in different ways.

#### 2.5.5 Intra-Path Cycle Checking

```
Definition: Do not expand a path if it is cyclic. Modify SEARCH(·) as follows:
```

```
procedure SEARCH(\mathcal{O}):

if \mathcal{O} = \emptyset then

return NULL

n \leftarrow \text{REMOVE}(\mathcal{O})

if \text{dst}(n) \in \mathcal{G} then

return n

for n' \in \text{chl}(n) do

if not CYCLIC(n') then

\mathcal{O} \leftarrow \mathcal{O} \cup \{n'\}

SEARCH(\mathcal{O})
```

• Optimately of an algorithm is preserved provided  $\epsilon > 0$ .

#### 2.5.6 Inter-Path Cycle Checking

```
Definition: We modify SEARCH(\cdot) as follows:
   procedure SEARCH(\mathcal{O}, \mathcal{C}):
          if \mathcal{O} = \emptyset then
                  return NULL
          n \leftarrow \mathtt{REMOVE}(\mathcal{O})
          \mathcal{C} \leftarrow \mathcal{C} \cup \{n\}
                                                                                                                                            \triangleright add n to the closed set
          if \mathtt{dst}(n) \in \mathcal{G} then
                 {\tt return}\ n
          for n' \in \operatorname{chl}(n) do
                                                                                                                        if n' \notin \mathcal{C} then

    □ unless the child's destination is closed

                        \mathcal{O} \leftarrow \mathcal{O} \cup \{n'\}
          SEARCH(O, C)
and then call the algorithm as follows:
   \mathcal{O} \leftarrow \{(\langle \rangle, 0)\}
  C \leftarrow \emptyset
                                                                                                                          ▷ initialize a set of closed vertices
   SEARCH (\mathcal{O}, \mathcal{C})
```

# 2.6 Informed Search Algorithms

#### 2.6.1 Estimated Cost

**Definition**:  $ecst(\cdot)$ , to estimate the total cost to a goal given a path, p, based on the following:

- Cost of path p: cst(p)
- Estimate of the extra cost needed to get to a goal from dst(p): hur:  $S \to \mathbb{R}_+$ 
  - $\operatorname{hur}(s)$  estimates the cost to get to  $\mathcal{G}$  from s and  $\operatorname{hur}(p)$  means  $\operatorname{hur}(\operatorname{dst}(p))$ .

```
Example: Some common choices for ecst(\cdot) include:

1. ecst(p) = hur(p); called nearest-first search (NFS)

2. ecst(p) = cst(p) + hur(p); called A* (A-star)
```

# 2.7 Characteristics of an Informed Search Algorithm

```
Definition:
1. Heuristic: hur(·)
2. Cost estimation: ecst(·)
```

- 2.7.1 Heuristics
- 2.7.2 Heuristic-First Search (HFS)
- 2.7.3 A-Star Search (A\*)
- 2.7.4 Iterative Inflating A-Star Search (IIA\*)
- 2.7.5 Designing Heuristics via Problem Relaxation
- 2.7.6 Combining Heuristics
- 2.8 Anytime Search Algorithms
- 2.9 Formulating a Search Problem

# 2.10 Canonical Examples

# Process: How to setup a search problem?

- 1. Givne a search graph, we need to define the following:
  - S: set of vertices
  - $\mathcal{G}$ : goal states (subset of  $\mathcal{S}$ )
  - $s^{(0)}$ : initial state
  - $\mathcal{T}$ : set of edges (defined by  $\operatorname{tr}(\cdot,\cdot)$ )
    - $-\operatorname{tr}(\cdot,\cdot)$ : transition function
  - $cst(\cdot,\cdot,\cdot)$ : cost function (defined by edge weights)

# Example:

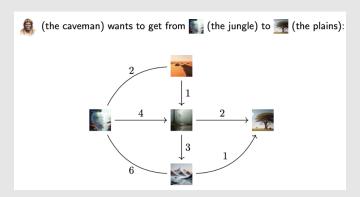


Figure 12



Figure 13

# Example:



His energy consumption for a given step depends on the terrain transition.

Figure 14



Figure 15

- $S = \{0, \dots, 4\}^2$   $G = \left\{ \begin{bmatrix} 1 \\ 4 \end{bmatrix} \right\}$   $s^{(0)} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$

# Process: How to setup a path tree? 1. Start at $s^{(0)}$

- 2. Choose a path until you reach a goal state.
- 3. Repeat until you have found all paths (probably infinite).

# Example: 6 Figure 16 Figure 17

# Process: When to use each algorithm?

- 1. Find properties needed for the problem and match them to the characteristics of the algorithm.
- 2. Choose the algorithm that best matches the properties.
  - BFS:
  - DFS:
  - IDDFS:
  - CFS:
  - A\*:

Example:

Process: How to Trace Through a Search Algorithm 1.

Example: BFS

Example: DFS

Example: IDDFS

 $\mathbf{Example} \colon \mathbf{CFS}$ 

Example: HFS

Example:  $A^*$ 

Example: IIA\*

Example: WA\*

Process: How to Figure Out Soln. w/o Performing Search Algorithm? 1.

Example:

## Process: How to Prove Consistent/Admissible Given a Search Graph?

#### Admissible:

- 1. Given hur(s) and search graph with cst(s, a, tr(s, a)) or rwd(s, a, tr(s, a)). If consistent, then it is admissible.
- 2. Check  $\forall s \in \mathcal{G}$ , hur(s) = 0. If not, then it is not admissible.
- 3. For each  $s \in \mathcal{S}$ , calculate hur\*(s) (i.e. actual cost of optimal soln.) using the search graph.
  - (a) Start at s and choose path that gives the lowest cost or highest reward to  $s \in \mathcal{G}$ .
- 4. Check if  $\operatorname{hur}(s) \leq \operatorname{hur}^*(s) \ \forall s \in \mathcal{S}$ . If not, then it is not admissible.
- 5. Repeat  $\forall s \in \mathcal{S}$ .
- 6. If all are true, then it is admissible.

#### Consistent:

- 1. Given hur(s) and search graph with cst(s, a, tr(s, a)) or rwd(s, a, tr(s, a)).
- 2. Check  $\forall s \in \mathcal{G}$ , hur(s) = 0. If not, then it is not consistent.
- 3. For each  $s \in \mathcal{S}$ , calculate hur(s) hur(tr(s, a)).
  - (a) check if it is  $\leq \operatorname{cst}(s, a, \operatorname{tr}(s, a))$  or  $\geq \operatorname{rwd}(s, a, \operatorname{tr}(s, a))$ . If not, then it is not consistent.
  - (b) Repeat  $\forall a \in \mathcal{A}(s)$
- 4. Repeat  $\forall s \in \mathcal{S}$ .
- 5. If all are true, then it is consistent.

Warning: Be careful of bidirectional edges be for consistency you need compute the cost of the heuristic edge in both directions.

#### Example:

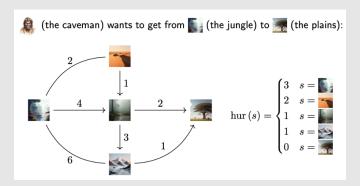


Figure 18: Jungle  $(s^{(0)})$ , Desert, Swamp, Mountain, Plains (Goal)

#### Admissible:

- 1. s =Plains: hur(Plains) = 0
- 2.  $s = Jungle: hur(Jungle) = 3 \le hur^*(Jungle) = 2 + 1 + 2 = 5$
- 3. s =**Desert:**  $hur(Desert) = 2 < hur^*(Desert) = 1 + 2$
- 4.  $s = \mathbf{Swamp}$ :  $\operatorname{hur}(\operatorname{Swamp}) = 1 \le \operatorname{hur}^*(\operatorname{Swamp}) = 2$
- 5.  $s = Mountain: hur(Mountain) = 1 \le hur^*(Mountain) = 1$
- 6. Therefore, it is admissible.

#### Consistent:

- 1. s =Plains: hur(Plains) = 0
- 2. s =Jungle:
  - (a)  $hur(Jungle) hur(Desert) = 3 2 = 1 \le cst(Jungle, \cdot, Desert) = 2$
  - (b)  $hur(Jungle) hur(Swamp) = 3 1 = 2 \le cst(Jungle, \cdot, Swamp) = 4$
  - (c)  $hur(Jungle) hur(Mountain) = 3 1 = 2 \le cst(Jungle, \cdot, Mountain) = 6$
- 3. s = Deserts
  - (a)  $hur(Desert) hur(Jungle) = 2 3 = -1 \le cst(Desert, \cdot, Jungle) = 2$
  - (b)  $hur(Desert) hur(Swamp) = 2 1 = 1 \le cst(Desert, \cdot, Swamp) = 1$
- 4.  $s = \mathbf{Swamp}$ :
  - (a)  $hur(Swamp) hur(Mountain) = 1 1 = 0 \le cst(Swamp, \cdot, Mountain) = 3$

- (b)  $hur(Swamp) hur(Plains) = 1 0 = 1 \le cst(Swamp, \cdot, Plains) = 2$
- 5. s = Mountain:
  - (a)  $hur(Mountain) hur(Jungle) = 1 3 = -2 \le cst(Mountain, \cdot, Desert) = 6$
  - (b)  $\operatorname{hur}(\operatorname{Mountain}) \operatorname{hur}(\operatorname{Plains}) = 1 0 = 1 \le \operatorname{cst}(\operatorname{Mountain}, \cdot, \operatorname{Plains}) = 1$
- 6. Therefore, it is consistent.

## **Process:** How to Design Heuristic via Problem Relaxation?

- 1. Make an assumption to simplify the problem as a relaxed problem.
- 2. Find the cost of the optimal solution of the relaxed problem,  $\operatorname{cst}_{\operatorname{rel}}(s)$ .
- 3. HOW TO FIND THE COST OF THE OPTIMAL SOLUTION?

#### Example:

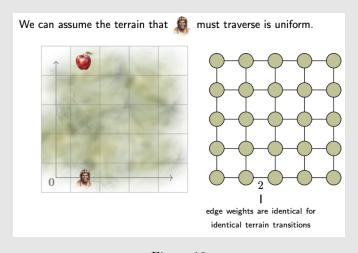


Figure 19

# 3 Informed Search Algorithms

## Summary:

**Example:** Different ways to formulate the CSP problem.

- How can you formulate the CSP problem in a different way? Can I get a specific example?
  - The domain could be set to everything, then set the constraints later.
- What is the constraint graph showing? Grouping the variables
- How do you check consistency in a CSP?
- Why can you use any search algorithm when you formulate this as a search problem?
- What does a node contain? A node contans a path.
  - How does that match the example on slide 10. It does.
- Why is formulalting a CSP problem as a search problem a bad idea? B/c you have to search through all possible combinations, but if you find a constraint then you can prune the search space.
  - A lot easier to see if there is a solution or not. But in a search problem, you see if there's a solution and how to get to it.

## 3.1 Admissible and Consistent

**Summary**: Want a way to learn heuristics.

# Process: How to Setup a CSP?

1

## Example:

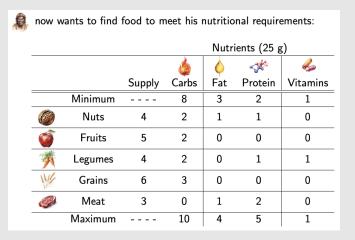


Figure 20

For our example, the variables could be:

Figure 21

Figure 22

# Process: How to build a hyper-graph?

1. Circle the variables that appear in constraint  $C_i$ .

# Example:

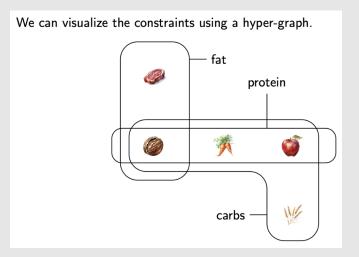


Figure 23

Process: How to build a path tree?

# Example:

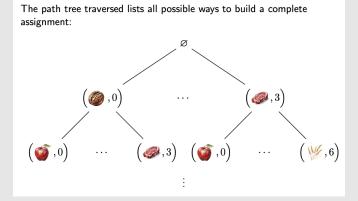


Figure 24

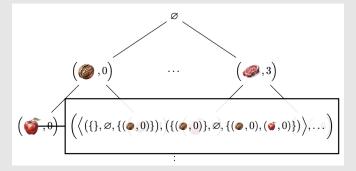


Figure 25

## Process: How to determine a solution to a CSP?

1

## Example:



Figure 26

#### Process: How to check k-Consistency? FIS

- 1. Given a set of variables  $\mathcal{V}$  w/ dom $(V) = \{v_1, \ldots, v_{\text{len}(V)}\} \ \forall V \in \mathcal{V}$  and a set of contraints  $\mathcal{C}$  w/ scp $(C) = \{V_1, \ldots, V_{\text{len}(C)}\} \ \forall C \in \mathcal{C}$ , check k-consistency.
- 2. For each  $C \in \mathcal{C}$ , do the following:
  - (a) For  $V \in \text{scp}(C)$ , fix V to a value in dom(V).
    - i. For the other  $V \in \operatorname{scp}(C)$ , check if the constraint is satisfied by trying all combinations.
  - (b) If there is one combination that doesn't satisfy the constraint, then the CSP is not k-consistent.
  - (c) Repeat  $\forall V \in \operatorname{scp}(C)$ .
- 3. Repeat  $\forall C \in \mathcal{C}$ .
- 4. If all constraints are satisfied, then the CSP is k-consistent.

#### **Process:** How to Enforce k-Consistency? FIX

- 1. Given a set of variables  $\mathcal{V}$  w/ dom $(V) = \{v_1, \ldots, v_{\text{len}(V)}\} \ \forall V \in \mathcal{V}$  and a set of contraints  $\mathcal{C}$  w/ scp $(C) = \{V_1, \ldots, V_{\text{len}(C)}\} \ \forall C \in \mathcal{C}$ , enforce k-consistency.
- 2. For each  $C \in \mathcal{C}$ , do the following:
  - (a) For  $V \in \text{scp}(C)$ , fix V to a value in dom(V).
    - i. For the other  $V \in \text{scp}(C)$ , check if the constraint is satisfied by trying all combinations. If the constraint is not satisfied, then remove the value from dom(V).
  - (b) Repeat  $\forall V \in \text{scp}(C)$ .
- 3. Check the resulting  $dom(V) = \{v_1, \dots, v_{len(V)}\} \ \forall V \in \mathcal{V} \ \text{w/ the other constraints.}$
- 4. Repeat  $\forall C \in \mathcal{C}$ .

## Example:



- dom  $(\ \ \ \ \ \ \ \ ) = \{1, 2, 3\}$
- dom  $(\%) = \{2, 3, 4\}$
- $C = \left\{ \underbrace{ + }_{C} = \right\}$

Figure 27

• dom 
$$\left( \right) = \{2, 3, \cancel{4}\}$$

• dom 
$$\left( \mathscr{D} \right) = \left\{ \mathscr{I}, \mathscr{Z}, 4 \right\}$$

Figure 28

# 4 Probability Review

# 5 Learning Problems

**Definition**: In a learning problem, we assume that there is some (unknown) relationship,

$$f: \mathcal{X} \to \mathcal{Y}$$

s.t.  $x \mapsto_f y$ 

Find  $h: \mathcal{X} \to \mathcal{Y}$  (hypothesis) s.t.  $h \approx f$ , given some data about f:

- $\operatorname{in}(\mathcal{D}) = \{x \text{ s.t. } (x, y) \in \mathcal{D}\}$
- out( $\mathcal{D}$ ) = {y s.t.  $(x, y) \in \mathcal{D}$ }

# 5.1 Classification vs. Regression Problems

#### **Definition:**

- Classification Problems:  $\mathcal{X} \subseteq \mathbb{R}^n$  and  $\mathcal{Y} \subseteq \mathbb{N}$
- Regression Problems:  $\mathcal{X} \subseteq \mathbb{R}^n$  and  $\mathcal{Y} \subseteq \mathbb{R}$

# 5.2 Feature Spaces

Definition: It is often easier to learn relationships from high-level features (instead of the raw input).

## 5.3 Feasibility of Learning

Motivation: More than one function (hypothesis) may be consistent with the data.

**Notes**: So it may appear that finding the correct one should be impossible.

## 5.3.1 Probably Approximately Correct (PAC) Estimations

**Example:** Take N i.i.d. samples (i.e. take out a ball from an urn, record its color, and put it back in).

•  $\nu \to \mu$  (empirical distribution  $\to$  true distribution) as  $N \to \infty$ 

# 5.3.2 Hoeffding's Inequality

**Definition**: Let  $\mu$  denote the probability of an event, and  $\nu$  denote its relative frequency in a sample size N. Then, for any  $\epsilon > 0$ ,

$$P(|\nu - \mu| > \epsilon) \le 2e^{-2\epsilon^2 N} \tag{1}$$

- $\nu$ : Relative frequency in the sample (known)
- μ: Probability of drawing a blue ball (unknown)
- $N \to \infty$ :  $\nu \to \mu$
- $\epsilon$ : How close we want  $\nu$  to be to  $\mu$
- $\epsilon \to 0$ : Probability will be 1
- $\epsilon \to \infty$ :  $\nu \to \mu$
- $\mu \approx \nu$ :  $\mu$  is probably approximately equal to nu.

Warning: We can approximate the true distribution with high probability by taking a large enough sample size, NOT guaranteeing that we can find the true distribution.

 $\bullet\,$  Don't need to know where this theorem comes from.

Consider determining the class of a randomly chosen target point. If we ask a K-ary question about the points in  $\mathcal D$ 

- 5.3.3 PAC Learning
- 5.4 Decision Trees
- 5.4.1 Structure of a Decision Tree
- 6 Probabilistic Inference Problems