ROB311 Quiz 2

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Single-Agent Decision Problems: Fully-Observable Single-Agent Decision Algorithms

1 Markov

1.1 General

1.1.1 Random Process

Definition: Time-varying random variables S_0, S_1, S_2, \ldots

1.1.2 Markov Process

Definition: Random process + depends on previous time step only (memoryless)

• w.l.o.g. states can contain history of previous states.

1.2 Markov Chains (MCs)

Summary: In a Markov Chain, we assume that:

- \bullet there are no agents
- state transitions occur automatically
- S_t is the state after transition t
- the state transition process is stochastic and memoryless:

$$S_t \perp S_0, \dots, S_{t-2} \mid S_{t-1}$$

- S_t is independent of all previous states given S_{t-1}

Name	Function:
initial state distribution	$p_0(s) := \mathbb{P}[S_0 = s]$
transition distribution	$p(s' s) := \mathbb{P}[S_{t+1} = s' S_t = s]$

transition distribution
$$p(s'|s) := \mathbb{P}[S_{t+1} = s'|S_t = s]$$
Prob. that state of the env. after T transitions is s
$$p_T(s) := \mathbb{P}[S_T = s]$$

$$= \sum_{s'} p_{T-1}(s')p(s|s')$$

- $p_{T-1}(s')$: Prob. s' at T-1 (given) - $p_0(s)$: Base case
- p(s|s'): Prob. s given s' (from graph)

1.2.1 Bayesian Network

Notes: S_0, S_1, S_2, \ldots form a Bayesian Network:

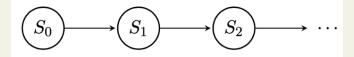


Figure 1

1.3 Markov Reward Processes (MRPs)

Summary: In a Markov Reward Process, we assume that:

- there is one agent
- state transitions occur automatically (i.e. agent has no control over actions)
- S_t is the state after transition t
- the state transition process is stochastic and memoryless:

$$S_t \perp S_0, \dots, S_{t-2} \mid S_{t-1}$$

- S_t is independent of all previous states given S_{t-1}
- R_t is the reward for transition t, i.e., $(S_{t-1}, \varnothing, S_t)$

Name	Function:
Initial state distribution	$p_0(s) := \mathbb{P}[S_0 = s]$
Transition distribution	$p(s' s) := \mathbb{P}[S_{t+1} = s' S_t = s]$
Reward function	$r(s, s') := \text{reward for transition } (s, \emptyset, s')$
Discount factor	$\gamma \in [0,1]$
Return after T transitions	$U_T = \sum_{t=1}^{T} \gamma^{t-1} R_t$ = $U_{T-1} + \gamma^{T-1} R_T$

- i.e. The (possibly discounted) sum of the rewards after T transitions (sequence of rewards)
- Why?
 - Future rewards are less valuable than immediate rewards.
 - Won't converge if sum goes to ∞ if $\gamma = 1$.

Expected return after
$$T$$
 transitions $\mathbb{E}[U_T] = \mathbb{E}[U_{T-1}] + \gamma^{T-1} \mathbb{E}[R_t]$
= $\mathbb{E}[U_{T-1}] + \gamma^{T-1} \sum_{s,s'} p_{T-1}(s) p(s'|s) r(s,s')$

- $p_{T-1}(s)p(s'|s)$: Prob. $s \to s'$
- r(s, s'): rwd $s \to s'$
- $\mathbb{E}[U_0] := 0$: Base case

1.3.1 Bayesian Network

Notes: $S_0, R_1, S_1, R_2, S_2, \ldots$ form a Bayesian Network:

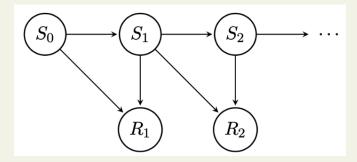


Figure 2

Markov Decision Processes (MDPs)

1.4.1 Setup

Summary: In a Markov Decision Process (MDP), we assume that:

- there is one agent
- state transitions occur manually (after each action)
- S_t is the state after transition t
- A_t is the action inducing transition t
- the state transition process is stochastic and memoryless:

$$S_t \perp S_0, A_1, \dots, S_{t-2}, A_{t-1} \mid S_{t-1}, A_t$$

- S_t is independent of all previous states and actions given S_{t-1} and A_t
- R_t is the reward for transition t, i.e., (S_{t-1}, A_t, S_t)

Name	Function:
initial state distribution	$p_0(s) := \mathbb{P}[S_0 = s]$
transition distribution	$p(s' s, a) := \mathbb{P}[S_t = s' A_t = a, S_{t-1} = s]$
reward function	r(s, a, s') := reward for transition (s, a, s')
a time-invariant policy for choosing actions	$\pi(a s) := \mathbb{P}[A_t = a S_t = s]$
Maximum number of transitions	$T_{ m max}$

- A Markov Decision Process can be either:
 - **Finite**: T_{max} is finite
 - **Infinite**: T_{max} is infinite
 - * For infinite MDPs, we must have $\gamma < 1$.

Prob. that state of the env. after T transitions is s

$$p_T(s) = \sum_{a,s'} p_{T-1}(s) \pi(a|s') p(s|s',a)$$

- $p_{T-1}(s)$: Prob. s' at T-1
- $\pi(a|s')$: Action a from s'
- p(s|s',a): Prob. s given s',a

Expected return after T transitions

$$\mathbb{E}_{\pi}[U_T] = \mathbb{E}_{\pi}[U_{T-1}] + \gamma^{T-1}\mathbb{E}_{\pi}[R_t]$$

- $\mathbb{E}_{\pi}[R_t] = \sum_{s,a,s'} p_{T-1}(s)\pi(a \mid s)p(s' \mid s,a)r(s,a,s')$
- $\mathbb{E}_{\pi}[U_0] = 0$: Base case.

Future return after τ transitions

$$G_{\tau} = \sum_{t=\tau+1}^{T} \gamma^{t-(\tau+1)} R_t$$
$$= R_{\tau+1} + \gamma G_{\tau+1}$$

• Starting at $\tau + 1$ for the future return.

 $\mathbb{E}_{\pi}[G_{\tau} \mid S_{\tau} = s] = \mathbb{E}_{\pi}[R_{\tau+1} \mid S_{\tau} = s] + \gamma \mathbb{E}_{\pi}[G_{\tau+1} \mid S_{\tau} = s]$ Expected future return after τ transitions given $S_{\tau} = s$ $= \sum_{a s'} \pi(a \mid s) p(s' \mid s, a) (r(s, a, s') + \gamma \mathbb{E}_{\pi}[G_{\tau+1} \mid S_{\tau+1} = s'])$

• $\mathbb{E}_{\pi}[G_{T_{\text{max}}} \mid S_{T_{\text{max}}} = s] = 0$: Base case.

Summary:

Name Function: $v_{\pi}(s,T) := \mathbb{E}_{\pi}[G_{T_{\max}-T} \mid S_{T_{\max}-T} = s]$ $= \sum_{a,s'} \pi(a \mid s)p(s' \mid s,a) \left(r(s,a,s') + \gamma v_{\pi}(s',T-1)\right)$

- Value of state s under the policy π with T transitions remaining.
 - i.e. How good the state is at time T (e.g. If v(s,T)=5, then the expected future return at T is 5).
- v(s,0) = 0 for all s: Base case

Optimal action
$$a^*(s,T) = \arg\max_{a \in \mathcal{A}(s)} \sum_{s'} p(s' \mid s,a) \left(r(s,a,s') + \gamma v_{\pi^*}(s',T-1) \right)$$
$$= \arg\max_{a \in \mathcal{A}(s)} q^*(s,a,T)$$

Optimal policy
$$\pi^*(a \mid s, T) = \arg\max_{\pi(a \mid s, T)} \mathbb{E}_{\pi}[G_{\tau} \mid S_{\tau} = s] = \begin{cases} 1 & \text{if } a = a^*(s, T) \\ 0 & \text{otherwise} \end{cases}$$

- Choose $\pi(\cdot \mid s)$ to maximize the expected future return after T transitions given $S_{\tau} = s$.
- Note: Policy always depends on transitions remaining so may omit.

Optimal value function
$$v^*(s,T) = \max_{a} \sum_{s'} p(s' \mid a,s) \left(r(s,a,s') + \gamma v^*(s',\tau+1) \right)$$

- Assume we use an optimal policy π^* .
- $v^*(s,0) = 0$ for all s: Base case.

Q function (quality)
$$q_{\pi}(s, a, T) := \mathbb{E}_{\pi}[G_{T_{\max}-T} \mid S_{T_{\max}-T} = s, A_{T_{\max}-(T-1)} = a]$$
$$= \sum_{s'} p(s' \mid s, a) \left(r(s, a, s') + \gamma \sum_{a'} \pi(a' \mid s') q_{\pi}(s', a', T-1) \right)$$

- Quality of move (s, a) under policy π with T transitions remaining.
- $q_{\pi}(s, a, 0) = 0$ for all s, a: Base case.

• $q^*(s, a, 0) = 0$ for all s, a: Base case.

Bayesian Network

Notes: $S_0, A_1, R_1, S_1, A_2, R_2, S_2, \ldots$ form a Bayesian Network:

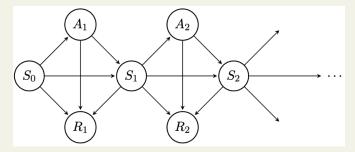


Figure 3

Intuition on Formulae 1.4.3

Notes:

$$\mathbb{E}_{\pi}[R_{\tau+1} \mid S_{\tau} = s] = \sum_{a,s'} \pi(a \mid s) p(s' \mid a, s) r(s, a, s')$$

- $\pi(a \mid s)p(s' \mid a, s)$: Prob. of getting to s' from s w/ action a
- r(s, a, s'): Reward of getting to s' from s w/ action a

$$\mathbb{E}_{\pi}[G_{\tau+1} \mid S_{\tau} = s] = \sum_{a,s'} \pi(a \mid s) p(s' \mid a, s) \mathbb{E}_{\pi}[G_{\tau+1} \mid S_{\tau+1} = s']$$

- $\pi(a \mid s)p(s' \mid a, s)$: Prob. of getting to s' from s w/ action a• $\mathbb{E}_{\pi}[G_{\tau+1} \mid S_{\tau+1} = s']$: Expected future return at $\tau+1$ from s' at $\tau+1$.
- ullet Sum over all possible future states and current actions to get expected future return at $\tau+1$ from s at

1.5 Canonical Examples

1.5.1 Markov Chains

Example:

1. Given: Caveman needs to predict the weather, W, which is either sunny or rainy. Suppose the weather tomorrow depends on the weather today:

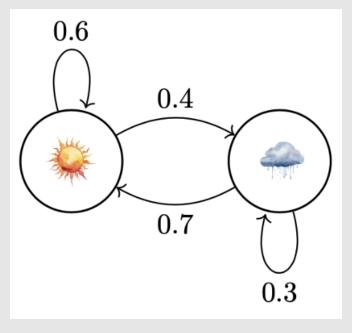


Figure 4

2. **Problem:** Caveman wants to predict the weather on a given day.

1.5.2 Markov Reward Processes

Example:

1. Given: Caveman needs to predict the weather, W, which is either sunny or rainy. Suppose the weather tomorrow depends on the weather today:

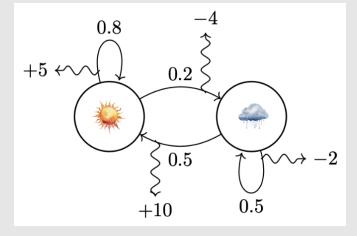


Figure 5

- Depending on the transition, caveman may feel happier/sadder. This is quantified w/ the rewards.
- 2. Problem: Caveman wants to predict the weather on a given day that maximizes his happiness.

1.5.3 Markov Decision Processes

Example:

1. Given:

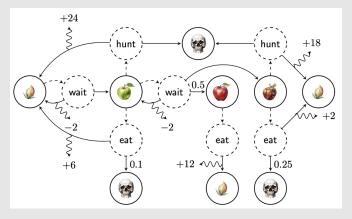


Figure 6

- Solid straight line: Outcome of action a from state s.
- \bullet Dotted straight line: Choice of action (policy) from state s.
 - If policy known, then reduced to MRP.
- Squiggly line: Reward for action a from state s to state s'.
- Assume uniform probability.
 - Since $\sum p = 1$, therefore count # of arrows going out of s and divide by 1 to get p.
- Same states have the same connections (i.e. all can use them just to hard to draw)
- 2. **Problem:** Find the optimal policy for $\gamma = 1$ and $T_{\text{max}} = 5$.
- 3. **Soln:**

Warning:

- Be careful with the problems. Verify the answers. Go up to at least 2 steps since that tests everything.
- Be able to go through the formula quickly.
- 1st question on the quiz.

Example:

$$T s a q^*(s, a, T) = \sum_{s'} p(s' \mid s, a) \left(r(s, a, s') + \gamma \max_{a'} q^*(s', a', T - 1) \right)$$

0 - - 0

• Best Action: $a^*(s,0) = NA$

1 seed wait
$$q^*(\text{seed, wait}, 1) = \underbrace{0.5(-2+0)}_{c'=\text{seed}} + \underbrace{0.5(0+0)}_{c'=\text{res}} = -1$$

• Best Action: $a^*(\text{seed}, 1) = \text{wait}$

1 ga wait
$$q^*(ga, wait, 1) = \underbrace{0.25(-2+0)}_{s'=ga} + \underbrace{0.5(0+0)}_{s'=rea} + \underbrace{0.25(0+0)}_{s'=rea} = -0.5$$
1 ga eat
$$q^*(ga, eat, 1) = \underbrace{0.1(0+0)}_{s'=dead} + \underbrace{0.9(6+0)}_{s'=seed} = 5.4$$
1 ga hunt
$$q^*(ga, hunt, 1) = \underbrace{0.5(24+0)}_{s'=dead} + \underbrace{0.5(0+0)}_{s'=seed} = 12$$

• Best Action: $a^*(ga, 1) = hunt$

1 rea eat
$$q^*(\text{rea}, \text{eat}, 1) = \underbrace{1(12+0)}_{\text{e}'=\text{read}} = 12$$

• Best Action: $a^*(rea, 1) = eat$

1 roa eat
$$q^*(\text{roa}, \text{eat}, 1) = \underbrace{0.25(0+0)}_{s'=\text{dead}} + \underbrace{0.75(2+0)}_{s'=\text{seed}} = 1.5$$
1 roa hunt $q^*(\text{roa}, \text{hunt}, 1) = \underbrace{0.5(0+0)}_{s'=\text{dead}} + \underbrace{0.5(18+0)}_{s'=\text{seed}} = 9$

• Best Action: $a^*(roa, 1) = hunt$

1 dead -
$$q^*(\text{dead}, -, 1) = \underbrace{1(0+0)}_{s'=\text{end}} = 0$$

• Best Action: $a^*(s,1) = -$

• Optimal Policy w/ 1 Transition Remaining: $\pi^*(a \mid s, 1) = \begin{cases} 1 & \text{if } a = a^*(s, 1) \\ 0 & \text{otherwise} \end{cases}$

Example:

$$T s a q^*(s, a, T) = \sum_{s'} p(s' \mid s, a) \left(r(s, a, s') + \gamma \max_{a'} q^*(s', a', T - 1) \right)$$

2 seed wait
$$q^*(\text{seed}, \text{wait}, 2) = \underbrace{0.5(-2-1)}_{s'=\text{seed}} + \underbrace{0.5(0+12)}_{s'=\text{ga}} = 4.5$$

• Best Action: $a^*(\text{seed}, 2) = \text{wait}$

2 ga wait
$$q^*(ga, wait, 2) = 0.25(-2 + 12) + 0.5(0 + 12) + 0.25(0 + 9) = 10.75$$

2 ga eat
$$q^*(ga, eat, 2) = 0.1(0+0) + 0.9(6-1) = 4.5$$

$$2 \quad \text{ga} \quad \text{hunt}$$

$$q^*(\text{ga}, \text{hunt}, 2) = \underbrace{0.5(24-1)}_{s' \text{ =seed}} + \underbrace{0.5(0+0)}_{s' \text{ =dead}} = 11.5$$

• Best Action: $a^*(ga, 2) = hunt$

2 rea eat
$$q^*(\text{rea}, \text{eat}, 2) = \underbrace{1(12-1)}_{s'=\text{seed}} = 11$$

• Best Action: $a^*(rea, 2) = eat$

2 roa eat
$$q^*(\text{roa}, \text{eat}, 2) = 0.25(0+0) + 0.75(2-1) = 0.75$$

2 roa hunt
$$q^*(\text{roa}, \text{hunt}, 2) = \underbrace{0.5(0+0)}_{s'-\text{dead}} + \underbrace{0.5(18-1)}_{s'-\text{seed}} = 8.5$$

• Best Action: $a^*(roa, 2) = hunt$

2 dead -
$$q^*(\text{dead}, -, 2) = \underbrace{1(0+0)}_{s'=\text{end}} = 0$$

• Best Action: $a^*(s,2) = -$

• Optimal Policy w/ 2 Transitions Remaining: $\pi^*(a \mid s, 2) = \begin{cases} 1 & \text{if } a = a^*(s, 2) \\ 0 & \text{otherwise} \end{cases}$

Example:

$$T s a q^*(s, a, T) = \sum_{s'} p(s' \mid s, a) \left(r(s, a, s') + \gamma \max_{a'} q^*(s', a', T - 1) \right)$$

3 seed wait
$$q^*(\text{seed, wait, 3}) = \underbrace{0.5(-2 + 4.5)}_{s' = \text{seed}} + \underbrace{0.5(0 + 11.5)}_{s' = \text{ga}} = 7$$

• Best Action: $a^*(\text{seed}, 3) = \text{wait}$

3 ga wait
$$q^*(ga, wait, 3) = 0.25(-2 + 11.5) + 0.5(0 + 11) + 0.25(0 + 8.5) = 10$$

3 ga eat
$$q^*(ga, eat, 3) = 0.1(0+0) + 0.9(6+4.5) = 9.45$$

3 ga hunt
$$q^*(ga, hunt, 3) = \underbrace{0.5(24 + 4.5)}_{s' = \text{seed}} + \underbrace{0.5(0 + 0)}_{s' = \text{dead}} = 14.25$$

• Best Action: $a^*(ga, 3) = hunt$

3 rea eat
$$q^*(\text{rea}, \text{eat}, 3) = \underbrace{1(12+4.5)}_{s' = \text{seed}} = 16.5$$

• Best Action: $a^*(rea, 3) = eat$

3 roa eat
$$q^*(\text{roa}, \text{eat}, 3) = 0.25(0+0) + 0.75(2+4.5) = 4.875$$

3 roa hunt
$$q^*(\text{roa}, \text{hunt}, 3) = \underbrace{0.5(0+0)}_{s'-\text{dead}} + \underbrace{0.5(18+4.5)}_{s'-\text{seed}} = 11.25$$

• Best Action: $a^*(roa, 3) = hunt$

3 dead -
$$q^*(\text{dead}, -, 3) = \underbrace{1(0+0)}_{s'=\text{end}} = 0$$

• Best Action: $a^*(s,3) = -$

• Optimal Policy w/ 3 Transitions Remaining: $\pi^*(a \mid s, 3) = \begin{cases} 1 & \text{if } a = a^*(s, 3) \\ 0 & \text{otherwise} \end{cases}$

Example:

$$T \qquad s \qquad \qquad a \qquad \qquad q^*(s, a, T) = \sum_{s'} p(s' \mid s, a) \left(r(s, a, s') + \gamma \max_{a'} q^*(s', a', T - 1) \right)$$

4 seed wait
$$q^*(\text{seed, wait}, 4) = \underbrace{0.5(-2+7)}_{s'=\text{seed}} + \underbrace{0.5(0+14.25)}_{s'=\text{ga}} = 9.625$$

• Best Action: $a^*(\text{seed}, 4) = \text{wait}$

4 ga wait
$$q^*(ga, wait, 4) = 0.25(-2 + 14.25) + 0.5(0 + 16.5) + 0.25(0 + 11.25) = 14.125$$

4 ga eat
$$q^*(ga, eat, 4) = 0.1(0+0) + 0.9(6+7) = 11.7$$

4 ga hunt
$$q^*(\mathrm{ga,hunt},4) = \underbrace{0.5(24+7)}_{s'=\mathrm{seed}} + \underbrace{0.5(0+0)}_{s'=\mathrm{dead}} = 15.5$$

• Best Action: $a^*(ga, 4) = hunt$

4 rea eat
$$q^*(\text{rea}, \text{eat}, 4) = \underbrace{1(12+7)}_{2'=\text{read}} = 19$$

• Best Action: $a^*(rea, 4) = eat$

4 roa eat
$$q^*(\text{roa}, \text{eat}, 4) = 0.25(0+0) + 0.75(2+7) = 6.75$$

4 roa hunt
$$q^*(\text{roa}, \text{hunt}, 4) = \underbrace{0.5(0+0)}_{s' = \text{dead}} + \underbrace{0.5(18+7)}_{s' = \text{seed}} = 12.5$$

• Best Action: $a^*(roa, 4) = hunt$

4 dead -
$$q^*(\text{dead}, -, 4) = \underbrace{1(0+0)}_{s'=\text{end}} = 0$$

• Best Action: $a^*(s,4) = -$

• Optimal Policy w/ 4 Transitions Remaining: $\pi^*(a \mid s, 4) = \begin{cases} 1 & \text{if } a = a^*(s, 4) \\ 0 & \text{otherwise} \end{cases}$

Example:

$$T s a q^*(s, a, T) = \sum_{s'} p(s' \mid s, a) \left(r(s, a, s') + \gamma \max_{a'} q^*(s', a', T - 1) \right)$$

5 seed wait
$$q^*(\text{seed, wait, 5}) = \underbrace{0.5(-2 + 9.625)}_{s' = \text{seed}} + \underbrace{0.5(0 + 15.5)}_{s' = \text{ga}} = 11.5625$$

• Best Action: $a^*(\text{seed}, 5) = \text{wait}$

5 ga wait
$$q^*(ga, wait, 5) = 0.25(-2 + 15.5) + 0.5(0 + 19) + 0.25(0 + 12.5) = 16$$

5 ga eat
$$q^*(ga, eat, 5) = 0.1(0+0) + 0.9(6+9.625) = 14.0625$$

5 ga hunt
$$q^*(ga, hunt, 5) = \underbrace{0.5(24 + 9.625)}_{s' = seed} + \underbrace{0.5(0 + 0)}_{s' = dead} = 16.8125$$

• Best Action: $a^*(ga, 5) = hunt$

5 rea eat
$$q^*(\text{rea}, \text{eat}, 5) = \underbrace{1(12 + 9.625)}_{\text{c'} - \text{eart}} = 21.625$$

• Best Action: $a^*(rea, 5) = eat$

5 roa eat
$$q^*(\text{roa}, \text{eat}, 5) = 0.25(0+0) + 0.75(2+9.625) = 8.71875$$

5 roa hunt
$$q^*(\text{roa}, \text{hunt}, 5) = \underbrace{0.5(0+0)}_{s'=\text{dead}} + \underbrace{0.5(18+9.625)}_{s'=\text{seed}} = 13.8125$$

• Best Action: $a^*(roa, 5) = hunt$

5 dead -
$$q^*(\text{dead}, -, 5) = \underbrace{1(0+0)}_{s'=\text{end}} = 0$$

• Best Action: $a^*(s,5) = -$

• Optimal Policy w/ 5 Transitions Remaining:
$$\pi^*(a \mid s, 5) = \begin{cases} 1 & \text{if } a = a^*(s, 5) \\ 0 & \text{otherwise} \end{cases}$$

Example: 1. Given:

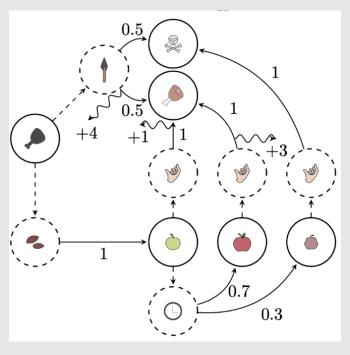


Figure 7

- 2. **Problem:** What is the optimal policy for cavemen with T=3 w/ $\gamma=1$?
- 3. Solution:

s	a	$q^*(s, a, 0)$	$q^*(s, a, 1)$	$q^*(s, a, 2)$	$q^*(s, a, 3)$	$a^*(s,2)$	$\pi^*(a \mid s, 2)$
empty empty	$\frac{\mathrm{hunt}}{\mathrm{seed}}$	0 0	2 0	2 1	$\begin{array}{c} 2 \\ 2.1 \end{array}$	seed	0 1
 q q q q	*(empty *(empty *(empty *(empty *(empty	(0, 800, 1) = 1 (0, 1, 1) = 1	(0+0) = 0 0.5(4+0) + (0+1 \cdot 1) =	$\underbrace{0.5(0+0)}_{s'=\text{dead}} =$	2		
ga ga	grab clock	0	1 0	1 2.1	1 2.1	clock	0 1
 q q q q	*(ga, cloo *(ga, gra *(ga, cloo *(ga, gra	(b, 2) = 1(1 - ck, 2) = 0.7(ck, 3) = 1(1 - ck, 3)	0+0) + 0.3(+0) = 1 0+3) + 0.3(+0) = 1	(0+0) = 0 $(0+0) = 2.1$ $(0+0) = 2.1$			
dead	_	0	$\frac{1}{2}$ =ra s'	=roa 0	0	_	1
• q	*(dead, -	(-,1) = 1(0 + (-,2) = 1(0 + (-,3) = 1(0 +	(-0) = 0				
full	-	0	0	0	0	-	1
• q	*(full, -,	$ \begin{array}{l} 1) = 1(0 + 0) \\ 2) = 1(0 + 0) \\ 3) = 1(0 + 0) \end{array} $	0) = 0				
ra	grab	0	3	3	3	grab	1
• q	*(ra, gra	$b, 1) = 1(3 + 3)$ $b, 2) = 1(3 + 3)$ $b, 3) = \underbrace{1(3 + 3)}_{s' = 1}$	$ \begin{array}{c} -0 \\ -0 \end{array} = 3 $				
roa	grab	0	0	0	0	grab	1
	*(roa gr	ab, 1) = 1(0	+0) = 0				