

ECE358 Cheatsheet

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Read the textbook for this course.

1 Asymptotics (Ch. 3.1-2 pg. 50-63, L2)

Asymptotic efficiency focuses on understanding how the running time of an algorithm grows with the input size, particularly for large inputs.

1.1 Big-O

Definition: $O(g(n)) = \{f(n): \exists \text{ positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \forall n \geq n_0\}$

- **Asymptotic upper bound:** Grows **no faster** than a certain rate, based on the highest-order term.

Warning: Every function $f(n)$ in the set $O(g(n))$ must be **asymptotically nonnegative** (i.e. $f(n)$ must be positive whenever n is sufficiently large).

1.2 Big-Omega

Definition: $\Omega(g(n)) = \{f(n): \exists \text{ positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \forall n \geq n_0\}$

- **Asymptotic lower bound:** Grows **at least as fast** as a certain rate, based on the highest-order term.

1.3 Big-Theta

Definition: $\Theta(g(n)) = \{f(n): \exists \text{ positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \forall n \geq n_0\}$

- **Asymptotically tight bounds:** Grows **precisely** at a certain rate, based on the highest-order term.
- **Constant factor:** Characterizes the rate of growth of the function to within a constant factor from above and below. These two constant factors need not be equal.

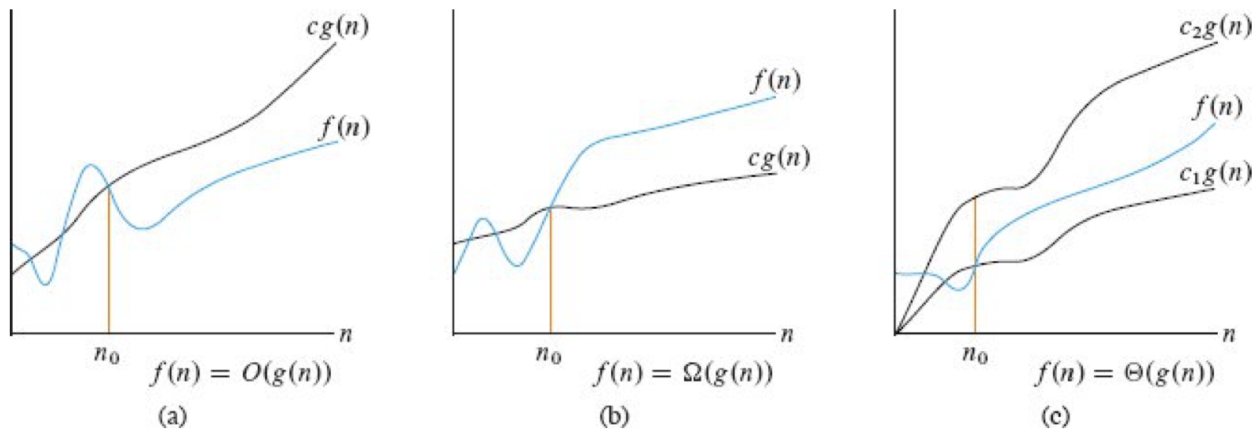


Figure 1: Graphical examples of the Big-O, Big-Omega, and Big-Theta.

Example: Find the Big-O, Big-Omega, and Big-Theta of the following function: $7n^3 + 100n^2 - 20n + 6$.

1. **Highest-order term:** $7n^3$
2. **Remove constants:** n^3
3. **Big-O notation:** $O(n^3)$
 - In general, $O(n^c)$ for any constant $c \geq 3$ because the function grows no faster than this.
4. **Big-Omega notation:** $\Omega(n^3)$
 - In general, $\Omega(n^c)$ for any constant $c \leq 3$ because the function grows at least as fast as this.
5. **Big-Theta:** Since O and Ω are the same, therefore, $\Theta(n^3)$ (by theorem)

Intuition/Tips: In all asymptotic notations, you are trying to describe a function after n_0 (i.e. ignore all fluctuation before).

1.4 Theorem 3.1

Theorem: For any two functions $f(n)$ and $g(n)$, we have $f(n) = \Theta(g(n))$ iff $f(n) = O(g(n))$ and $f(n) = \Omega(g(n))$.

1.5 Asymptotic notation and running times

Warning: Make sure that the asymptotic notation you use is as precise as possible without overstating which running time (i.e. worst-case, best-case, or any other-case) it applies to.

Example: What asymptotic notation should you use for insertion sort's worst-case, best-case, and general running time?

- **Worst-case:** $O(n^2)$, $\Omega(n^2)$, and $\Theta(n^2)$ can be used, but $\Theta(n^2)$ is the most precise.
- **Best-case:** $O(n)$, $\Omega(n)$, and $\Theta(n)$ can be used, but $\Theta(n)$ is the most precise.
- **General:** $O(n^2)$ because in all cases, its running time grows no faster than n^2 . Or $\Omega(n)$ because in all cases, its running time is at least as fast as n .

1.6 Abuses of asymptotic notation

Intuition/Tips:

- **Equality:**
 - When asymptotic notation stands alone on the RS of an equation (or inequality), then $=$ means \in .

- When asymptotic notation is in a formula, it is an anonymous function (AF) that we do not care to name.
- When asymptotic notation appears on the LS of an equation: No matter how the AF is chosen on the LS, there is a way to choose the AF on the RS to make the equation valid.
- **Variable tending toward ∞ must be inferred from context:**
 - e.g. $O(g(n))$, then we are interested in the growth of $g(n)$ as n grows.
 - e.g. $f(n) = O(1)$, then $f(n)$ is bounded from above by a constant as n goes to ∞ .
 - e.g. $T(n) = O(1)$ for $n < 3$ is that there exists a positive constant c such that $T(n) \leq c$ for $n < 3$.

1.7 Comparing function properties

Definition:

Transitivity:

- $f(n) = \Theta(g(n))$ and $g(n) = \Theta(h(n))$ imply $f(n) = \Theta(h(n))$
- $f(n) = O(g(n))$ and $g(n) = O(h(n))$ imply $f(n) = O(h(n))$
- $f(n) = \Omega(g(n))$ and $g(n) = \Omega(h(n))$ imply $f(n) = \Omega(h(n))$

Reflexivity:

- $f(n) = \Theta(f(n))$
- $f(n) = O(f(n))$
- $f(n) = \Omega(f(n))$

Symmetry:

- $f(n) = \Theta(g(n))$ iff $g(n) = \Theta(f(n))$.

Transpose symmetry:

- $f(n) = O(g(n))$ iff $g(n) = \Omega(f(n))$

Different functions:

- $n^a \in O(n^b)$, iff $a \leq b$.
- $\log_a(n) \in O(\log_b(n))$, for all a, b .
- $c^n \in O(d^n)$, iff $c \leq d$.
- If $f(n) \in O(f'(n))$ and $g(n) \in O(g'(n))$, then:
 - $f(n) \cdot g(n) \in O(f'(n) \cdot g'(n))$.
 - $f(n) + g(n) \in O(\max\{f'(n), g'(n)\})$.

Intuition/Tips:

- $f(n) = O(g(n))$ is like $a \leq b$
- $f(n) = \Omega(g(n))$ is like $a \geq b$
- $f(n) = \Theta(g(n))$ is like $a = b$

1.8 Polynomially-bounded

Definition: $f(n)$ is polynomially-bounded if $f(n) = O(n^k)$ for some real value of k .

Theorem: $f(n) = O(n^k)$ iff $\lg(f(n)) = O(\lg(n))$

1.9 Limit method

Definition: Find the asymptotic relationship between two functions for which you might not have any intuition about.

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 \implies f(n) = O(g(n)) \quad (1)$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c, 0 < c < \infty \implies f(n) = \Theta(g(n)) \quad (2)$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty \implies f(n) = \Omega(g(n)) \quad (3)$$

1.9.1 L'Hôpital's rule

Definition: If $\lim_{x \rightarrow c} f(x) = \lim_{x \rightarrow c} g(x) = 0$ or $\pm\infty$, then:

$$\lim_{x \rightarrow c} \frac{f(x)}{g(x)} = \lim_{x \rightarrow c} \frac{f'(x)}{g'(x)} \quad (4)$$

1.9.2 Logs of limits and limits of logs

Definition:

$$\lg \left(\lim_{x \rightarrow c} g(x) \right) = \lim_{x \rightarrow c} \lg(g(x)) \quad (5)$$

2 Logarithms, Summations (L7)

2.1 Logarithms (Ch. 3.3 pg. 66-7)

2.1.1 Definition and notation

Definition:

$$a = b^c \iff \log_b a = c \quad (6)$$

Notation:

- $\lg n = \log_2 n$
- $\ln n = \log_e n$
- $\lg^k n = (\lg n)^k$
- $\lg^{(2)} n = \lg \lg n = \lg(\lg n)$

2.1.2 Properties

Definition: \forall real $a > 0$, $b > 0$, $c > 0$, and n , we have

1. $a = b^{\log_b a}$
2. $\log_c(ab) = \log_c a + \log_c b$
3. $\log_b a^n = n \log_b a$
4. $\log_b a = \frac{\log_c a}{\log_c b}$
5. $\log_b \left(\frac{1}{a} \right) = -\log_b a$
6. $\log_b a = \frac{1}{\log_a b}$
7. $a^{\log_b c} = c^{\log_b a}$
8. $\log_b \frac{a}{c} = \log_b a - \log_b c$

2.2 Functional iteration

Definition: $f(n)$ iteratively applied i times to an initial value of n .

$$f^{(i)}(n) = \begin{cases} n & \text{if } i = 0, \\ f(f^{(i-1)}(n)) & \text{if } i > 0. \end{cases} \quad (7)$$

2.3 Iterated logarithm function

Definition: The minimum number of times i that the logarithm function must be applied to n for the result to be less than or equal to 1:

$$\lg^* n = \min \{i \geq 0 : \lg^{(i)} n \leq 1\} \quad (8)$$

Intuition/Tips:

- **Definition of $\lg^{(i)} n$:** The expression $\lg^{(i)} n$ denotes the logarithm function applied i times in succession.
 - If $i = 1$, then $\lg^{(1)} n = \lg n$. If $i = 2$, then $\lg^{(2)} n = \lg(\lg n)$, and so on.
 - This is different from $\lg^i n$, which would mean $(\lg n)^i$, i.e., raising $\lg n$ to the power i .
- **Conditions for Definition:** The iterated logarithm $\lg^{(i)} n$ is only defined if $\lg^{(i-1)} n > 0$. This constraint exists because the logarithm of a non-positive number is undefined in real numbers.
- **Useful formula:** $O(n \lg^* n) \approx O(n)$

Example: The iterated logarithm is a *very* slowly growing function:

- $\lg^* 2 = 1$ because one application of the logarithm to 2 results in a value less than or equal to 1.
- $\lg^* 4 = 2$
- $\lg^* 16 = 3$ because three applications of the logarithm to reach a value less than or equal to 1.
- $\lg^* 65536 = 4$
- $\lg^*(2^{65536}) = 5$

2.4 Fibonacci Numbers (Ch. 3.3)

2.4.1 Definition

Definition:

$$F_i = \begin{cases} 0 & \text{if } i = 0, \\ 1 & \text{if } i = 1, \\ F_{i-1} + F_{i-2} & \text{if } i \geq 2. \end{cases} \quad (9)$$

2.4.2 Golden ratio and its conjugate

Definition:

$$\phi = \frac{1 + \sqrt{5}}{2} \approx 1.61803 \dots \quad (10)$$

and its conjugate, by

$$\hat{\phi} = \frac{1 - \sqrt{5}}{2} \approx -0.61803 \dots \quad (11)$$

Specifically, we have

$$F_i = \frac{\phi^i - \hat{\phi}^i}{\sqrt{5}} \quad (12)$$

2.5 Summations (Ap. A.1 pg. 1140-51)

2.5.1 Arithmetic series

Definition:

$$\sum_{k=1}^n k = 1 + 2 + \dots + n = \frac{n(n+1)}{2} = \Theta(n^2) \quad (13)$$

2.5.2 General arithmetic series

Definition: For $a \geq 0$ and $b > 0$,

$$\sum_{k=1}^n (a + bk) = \Theta(n^2) \quad (14)$$

2.5.3 Sums of squares and cubes

Definition:

Sums of squares:

$$\sum_{k=1}^n k^2 = \frac{n(n+1)(2n+1)}{6} \quad (15)$$

Sums of cubes:

$$\sum_{k=1}^n k^3 = \frac{n^2(n+1)^2}{4} \quad (16)$$

2.5.4 Finite geometric series

Definition: For $x \neq 1$,

$$\sum_{k=1}^n x^k = 1 + x + \dots + x^n = \frac{x^{n+1} - 1}{x - 1} \quad (17)$$

2.5.5 Infinite decreasing geometric series

Definition: For $|x| < 1$,

$$\sum_{k=1}^{\infty} x^k = \frac{1}{1-x} \quad (18)$$

2.5.6 Harmonic series

Definition: For positive integers n , the n th harmonic number is

$$H_n = 1 + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \dots + \frac{1}{n} = \sum_{k=1}^n \frac{1}{k} = \ln n + O(1) \quad (19)$$

2.5.7 Telescoping series

Definition: For any sequence a_0, a_1, \dots, a_n ,

$$\sum_{k=1}^n (a_k - a_{k-1}) = a_n - a_0 \quad \text{OR} \quad \sum_{k=0}^{n-1} (a_k - a_{k+1}) = a_0 - a_n \quad (20)$$

- Each of the terms is added in exactly once and subtracted out exactly once.

2.5.8 Reindexing summations

Intuition/Tips:

$$\sum_{k=0}^n a_{n-k} = \sum_{j=0}^n a_j \quad (21)$$

- $j = n - k$
- If the summation index appears in the body of the sum with a minus sign, it's worth thinking about reindexing.

2.5.9 Products

Definition: The finite product $a_1 a_2 \cdots a_n$ can be expressed as:

$$\prod_{k=1}^n a_k \quad (22)$$

2.5.10 Product to summation

Definition:

$$\lg \left(\prod_{k=1}^n a_k \right) = \lg (a_1 \cdot a_2 \cdots a_n) = \lg(a_1) + \lg(a_2) + \dots + \lg(a_n) = \sum_{k=1}^n \lg(a_k) \quad (23)$$

3 Induction, Contradiction (L3)

3.1 Induction (Ap. A.2)

Motivation: The most basic way to evaluate a series is to use induction.

Process: Given proposition $P(n)$

1. Basis: Prove the base case $P(1)$
2. Inductive hypothesis: Assume true for $P(n)$
3. Inductive step: Use the hypothesis to show its true for $P(n) \rightarrow P(n+1)$

Therefore, $\forall n P(n)$.

Intuition/Tips: You don't always need to guess the exact value of a summation in order to use induction. Instead, use induction to prove an upper or lower bound on a summation.

Example: Prove $\sum_{k=1}^n k = 1 + 2 + \dots + n = \frac{n(n+1)}{2}$

1. **Basis:** $n = 1$, $\frac{1(1+1)}{2} = 1$
2. **Inductive hypothesis:** Assume true for n , $1 + 2 + \dots + n = \frac{n(n+1)}{2}$
3. **Inductive step:** Prove for $n+1$: $1 + 2 + \dots + n + (n+1) = \frac{n(n+1)}{2} + (n+1) = \frac{(n+1)(n+2)}{2}$

Therefore, we proved by induction that the formula, $\sum_{k=1}^n k = \frac{n(n+1)}{2}$ for $n+1$ is true for $n+1$.

Example: Prove the asymptotic upper bound $\sum_{k=0}^n 3^k = O(3^n)$ or $\sum_{k=0}^n 3^k \leq c3^n$ for some constant c .

1. **Basis:** $n = 0$: $\sum_{k=0}^0 3^k = 1 \leq c$ as long as $c \geq 1$
2. **Inductive hypothesis:** Assume that the bound holds for n .
3. **Inductive step:** Prove for $n+1$:

$$\begin{aligned} \sum_{k=0}^{n+1} 3^k &= \sum_{k=0}^n 3^k + 3^{n+1} \\ &\leq c3^n + 3^{n+1} \text{ by the inductive hypothesis} \\ &= \left(\frac{1}{3} + \frac{1}{c}\right) c3^{n+1} \text{ by factoring out } c3^{n+1} \\ &\leq c3^{n+1} \text{ since we are using the inequality it still holds true} \end{aligned}$$

Therefore, as long as $\left(\frac{1}{3} + \frac{1}{c}\right) \leq 1$ or $c \geq \frac{3}{2}$. Thus, $\sum_{k=0}^n 3^k = O(3^n)$.

Warning: Consider the following fallacious proof that $\sum_{k=1}^n k = O(n)$.

1. **Basis:** $\sum_{k=1}^1 k = O(1)$
2. **Inductive hypothesis:** Assume that the bound holds for n .
3. **Inductive step:** Prove for $n+1$:

$$\begin{aligned} \sum_{k=1}^{n+1} k &= \sum_{k=1}^n k + (n+1) \\ &= O(n) + (n+1) \\ &= O(n+1) \quad (\text{wrong!}) \end{aligned}$$

The bug in the argument is that the “constant” hidden by the “big-O” grows with n and thus is not constant. We have not shown that the same constant works for all n .

3.2 Contradiction

Process: Property $P(n)$ which you want to prove true, and it can be true or false.

1. If want to prove true, assume $\neg P(n)$.
2. Work towards a contradiction by working with the expression $\neg P(n)$ and prove this to be false.
3. If this resulted in a false statement then $P(n)$ is true.

Example: Prove that if $x^2 - 5x + 4 < 0$, then $x > 0$

1. **ATaC:** Assume towards a contradiction (ATaC) that $x^2 - 5x + 4 < 0$ but $x \leq 0$.
2. Analyze the quadratic expression:

$$x^2 - 5x + 4 = (x-1)(x-4)$$

Thus, the inequality becomes:

$$(x-1)(x-4) < 0$$

3. This inequality implies that x must lie between the roots 1 and 4, i.e., $1 < x < 4$.

4. **Contradiction:** However, the assumption $x \leq 0$ contradicts this because there are no values of $x \leq 0$ that satisfy $1 < x < 4$.

Therefore, the contradiction shows that the assumption $x \leq 0$ cannot be true if $x^2 - 5x + 4 < 0$. Hence, if $x^2 - 5x + 4 < 0$, it must be that $x > 0$.

Example: Prove $\sqrt{2}$ is irrational.

1. **ATaC:** Suppose $\sqrt{2}$ is rational. Then we can write:

$$\sqrt{2} = \frac{a}{b}$$

where a and b are integers with no common divisors other than 1 (i.e., the fraction is in its simplest form).

2. **Square Both Sides:**

$$2 = \frac{a^2}{b^2} \Rightarrow a^2 = 2b^2$$

This implies that a^2 is even (since it is twice an integer). Therefore, a must also be even (by a lemma which states that if a^2 is even, then a is even).

3. **Express a as an Even Number:**

$$a = 2k \text{ for some integer } k$$

Substitute $a = 2k$ into the equation:

$$(2k)^2 = 2b^2 \Rightarrow 4k^2 = 2b^2 \Rightarrow 2k^2 = b^2$$

This implies that b^2 is even, and thus b must also be even.

4. **Contradiction:** Since both a and b are even, they have a common factor of 2. This contradicts our initial assumption that $\frac{a}{b}$ is in its simplest form.

The contradiction shows that our assumption that $\sqrt{2}$ is rational is false. Therefore, $\sqrt{2}$ is irrational.

4 Recurrences (Ch. 2.3, L4)

4.1 Recurrences introduction (Ch. 4.1)

Divide-and-conquer method is useful to solve recurrences, which has three steps:

1. **Divide** the problem into one or more subproblems that are smaller instances of the same problem.
2. **Conquer** the subproblems by solving them recursively.
3. **Combine** the subproblem solutions to form a solution to the original problem.

Definition: A **recurrence** is an equation (or inequality) that describes a function in terms of its value on other, typically smaller, arguments.

- **Inequality:** You will use Ω (i.e. lower bound) or O (i.e. upper bound).

A recurrence $T(n)$ is **algorithmic** if, for every sufficiently large **threshold** constant $n_0 > 0$, the following two properties hold:

1. $\forall n < n_0, T(n) = \Theta(1)$ (i.e. $\exists c_1, c_2 \in \mathbb{R}$ s.t. $0 < c_1 \leq T(n) \leq c_2$ for $n < n_0$)

2. $\forall n \geq n_0$, every path of recursion terminates in a defined base case within a finite number of recursive invocations (prevents infinite recursive loop or failure to compute a solution).

Intuition/Tips: Whenever a recurrence is stated without an explicit base case, we assume that the recurrence is algorithmic.

- **Implication:** This means we can pick any sufficiently large threshold constant n_0 .

4.2 Mergesort

Definition: The Merge Sort algorithm is defined as:

$$\text{mergesort}(A, p, r) \rightarrow O(n \log n) \quad (24)$$

where A is the array to be sorted, p is the starting index, and r is the ending index.

```

1      def merge_sort(A, p, r):
2          if p >= r:                # zero or one element?
3              return
4
5          q = (p + r) // 2          # midpoint of A[p : r]
6          merge_sort(A, p, q)      # recursively sort A[p : q] --> T(n/2)
7          merge_sort(A, q + 1, r)  # recursively sort A[q + 1 : r] --> T(n/2)
8          # Merge A[p : q] and A[q + 1 : r] into A[p : r]
9          merge(A, p, q, r)        # --> O(n)
10

```

Listing 1: Merge Sort Pseudocode

The time complexity of mergesort is

$$T(n) = 2T\left(\frac{n}{2}\right) + O(n) \quad (25)$$

- $2T(n/2)$ is the recursive time complexity of handling a subproblem half the size.
- $O(n)$ is the linear time required to merge the results.

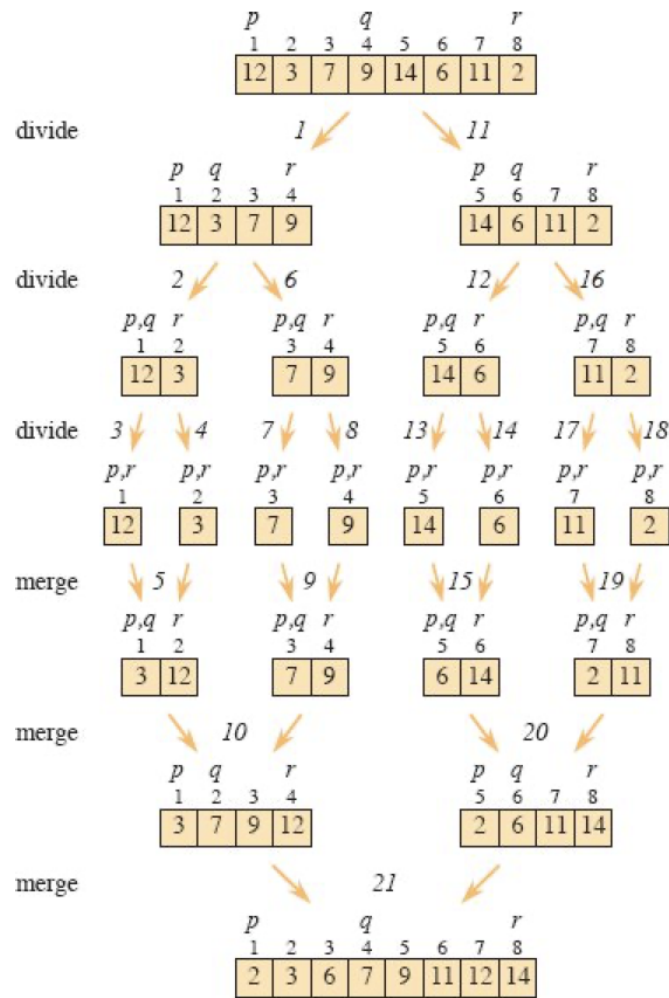


Figure 2: Merge sort visualization.

4.3 Master theorem (Ch. 4.5 pg. 101-6)

Theorem: Let $a \geq 1$, $b > 1$, and $f(n)$ be a function, so that the recurrence is

$$T(n) = aT\left(\frac{n}{b}\right) + f(n) \quad (26)$$

Then the asymptotic behavior of $T(n)$ is

1. If $f(n) = O\left(n^{\log_b(a)-\epsilon}\right)$ for $\epsilon > 0$, then $T(n) = \Theta\left(n^{\log_b a}\right)$.
2. If $f(n) = \Theta\left(n^{\log_b(a)}\right)$, then $T(n) = \Theta\left(n^{\log_b a} \log n\right)$.
3. If $f(n) = \Omega\left(n^{\log_b(a)+\epsilon}\right)$ for $\epsilon > 0$ and $af\left(\frac{n}{b}\right) \leq cf(n)$ for $0 < c < 1$, then $T(n) = \Theta(f(n))$.

Process:

1. Identify the recurrence relationship.
2. State a , b , and $f(n)$. Make sure the conditions are met.
3. Calculate $n^{\log_b a}$.
4. Compare $f(n)$ with $n^{\log_b a}$ to see which case the function applies too.
 - (a) If ϵ case is used, then apply an arbitrary value to see (usually natural numbers work well).
5. Write down the answer by applying the Master Theorem.

Example: Find the time complexity of Merge Sort using Master Theorem.

1. **Identify the Recurrence Relation:**

- The recurrence relation for the merge sort algorithm is given by:

$$T(n) = 2T\left(\frac{n}{2}\right) + O(n)$$

- This represents dividing the problem into two subproblems of half the size and then merging the results in linear time.

2. **State Parameters:**

- Compare the recurrence relation with the general form:

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

- For the given problem:
 - $a = 2$: The number of subproblems.
 - $b = 2$: The factor by which the problem size is divided.
 - $f(n) = O(n)$: The cost of dividing and merging the results.

3. **Calculate $n^{\log_b a}$:**

- Compute $\log_b a$:

$$\log_b a = \log_2 2 = 1$$

- Thus, $n^{\log_b a} = n^1 = n$.

4. **Compare $f(n)$ with $n^{\log_b a}$:**

- $f(n) = O(n)$ and $n^{\log_b a} = n$.
- Since $f(n) = O(n)$ and $f(n) = \Theta(n^{\log_b a}) = \Theta(n)$, this fits Case 2 of the Master Theorem.

5. **Apply the Master Theorem - Case 2:**

- Case 2 states: If $f(n) = \Theta(n^{\log_b a})$, then:

$$T(n) = \Theta(n^{\log_b a} \log n) = \Theta(n \log n)$$

- Therefore, the time complexity of merge sort is:

$$T(n) = \Theta(n \log n)$$

Example: Find the time complexity of this recurrence using Master Theorem.

1. **Identify the Recurrence Relation:**

- The recurrence relation is:

$$T(n) = 9T\left(\frac{n}{3}\right) + n$$

2. **State Parameters:**

- Comparing with the general form $T(n) = aT\left(\frac{n}{b}\right) + f(n)$, we have:
 - $a = 9$: Number of subproblems.
 - $b = 3$: Factor by which the problem size is divided.
 - $f(n) = n$: The cost of the work done outside the recursive calls.

3. **Calculate $n^{\log_b a}$:**

- Compute $\log_b a$:

$$\log_3 9 = 2$$

- Thus, $n^{\log_b a} = n^2$.

4. **Compare $f(n)$ with $n^{\log_b a}$:**

- Given $f(n) = n$, we have:

$$f(n) = n = O(n^{\log_b a - \epsilon}) = O(n^{2-1}) = O(n)$$

- Since $f(n) = O(n^{\log_b a - \epsilon})$, this fits Case 1 of the Master Theorem.

5. **Apply the Master Theorem - Case 1:**

- Case 1 states: If $f(n) = O(n^{\log_b a - \epsilon})$ for some $\epsilon > 0$, then:

$$T(n) = \Theta(n^{\log_b a}) = \Theta(n^2)$$

- Hence, the time complexity is:

$$T(n) = \Theta(n^2)$$

Example: Find the time complexity of this recurrence using Master Theorem.

1. **Identify the Recurrence Relation:**

- The recurrence relation is:

$$T(n) = 3T\left(\frac{n}{4}\right) + n \log(n)$$

2. **State Parameters:**

- Comparing with the general form $T(n) = aT\left(\frac{n}{b}\right) + f(n)$, we have:
 - $a = 3$: Number of subproblems.
 - $b = 4$: Factor by which the problem size is divided.
 - $f(n) = n \log(n)$: The cost of the work done outside the recursive calls.

3. **Calculate $n^{\log_b a}$:**

- Compute $\log_b a$:

$$\log_4 3 \approx 0.793$$

- Thus, $n^{\log_b a} = n^{0.793}$.

4. **Compare $f(n)$ with $n^{\log_b a}$:**

- $f(n) = n \log(n)$ is compared with $\Omega(n^{0.793+0.2})$, which implies:

$$n \log(n) = \Omega(n^{0.993})$$

- This indicates that $f(n)$ dominates $n^{\log_b a}$ with a polynomial difference, which suggests considering Case 3 of the Master Theorem.

5. **Verify Condition for Case 3 of the Master Theorem:**

- Check if $af\left(\frac{n}{b}\right) \leq cf(n)$ for some $c < 1$:

$$3\left(\frac{n}{4}\right) \log\left(\frac{n}{4}\right) \leq \frac{3}{4}n \log(n)$$

- This inequality is true for the chosen constants, satisfying Case 3.

6. **Apply the Master Theorem - Case 3:**

- Since $f(n) = \Omega(n^{\log_b a + \epsilon})$ and the regularity condition is satisfied, we conclude:

$$T(n) = \Theta(n \log n)$$

4.4 Substitution (Ch. 4.3 pg. 90-4)

Process:

- Guess the form of the solution for $T(n) = ?$
- Use induction to show that the solution works.
 - Basis: Find the base case using values of n that correspond (i.e. make sense) with the guessed solution.
 - Inductive hypothesis:
 - Inductive step:
- Find the constants.

Intuition/Tips:

- Bounds:** Rather than trying to prove Θ -bound directly, first prove an O -bound, and then prove an Ω -bound, then use Theorem 3.1.
- Making a good guess:**
 - See if the recurrence is similar to one you've seen before, then guessing a similar solution.
 - Determine loose upper and lower bounds on the recurrence and then reduce your range of uncertainty.
- Trick:** Subtract a lower-order term when the math fails to work out in the induction proof.
- Avoid:**
 - Don't use asymptotic notation in the inductive hypothesis for the sub-method.

- You must be careful that the constants hidden by any asymptotic notation are the same constants throughout the proof.

Example: Find the time complexity of the recurrence using sub-method.

1. **Guess the Form of the Solution:**

- Given recurrence relation:

$$T(n) = 2T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + n$$

- Guess: $T(n) = cn \log n$.

2. **Basis:**

- Check the base cases:

$$T(2) = 4, \quad T(3) = 5$$

- Both satisfy $T(n) = cn \log n$, verifying the base cases.

3. **Inductive Hypothesis:**

- Assume $T(k) \leq ck \log k$ for all $k < n$.
- Specifically, assume:

$$T\left(\frac{n}{2}\right) \leq c \cdot \frac{n}{2} \log\left(\frac{n}{2}\right)$$

4. **Inductive Step:**

- Show it holds for $T(n)$:

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

- Substitute the inductive hypothesis:

$$T(n) \leq 2\left(c \cdot \frac{n}{2} \log\left(\frac{n}{2}\right)\right) + n$$

- Simplify:

$$= cn \log\left(\frac{n}{2}\right) + n$$

- Use the logarithm property $\log(ab) = \log a + \log b$:

$$= cn(\log n - \log 2) + n$$

$$= cn \log n - cn \log 2 + n$$

- Factor n :

$$= n(c \log n - c \log 2 + 1)$$

5. **Find the Constant c :**

- To keep $T(n) \leq cn \log n$, ensure:

$$c \log n - c \log 2 + 1 \leq c \log n$$

- Simplifying, we need:

$$-c \log 2 + 1 \leq 0$$

- This implies:

$$c \geq \frac{1}{\log 2}$$

- Choose $c \geq 2$ (since $\log 2 \approx 0.693$), which satisfies the inequality $2 \geq 1.44$.

4.5 Recursion tree method (Ch. 4.4 pg. 95-101)

Definition: In a recursion tree, each node represents the cost of a single subproblem somewhere in the set of recursive function invocations.

Process:

1. Sum the costs within each level of the tree to obtain the per-level costs.

2. Sum all the per-level costs to determine the total cost of all levels of the recursion.
3. (1) Generate a good-guess, then verify using sub-method. (2) Use as a direct solution.

Intuition/Tips: How to make the recursion tree based on the recurrence.

Example:

1. **Given Recurrence Relation:**

- The recurrence relation is:

$$T(n) = T\left(\frac{n}{4}\right) + T\left(\frac{2n}{3}\right) + n$$

2. **Building the Recursion Tree:**

- The tree starts with $T(n)$ at the root.
- Each node $T(n)$ branches into two child nodes:

$$T\left(\frac{n}{4}\right) \quad \text{and} \quad T\left(\frac{2n}{3}\right)$$

- This branching continues recursively until the problem size becomes small (base case).

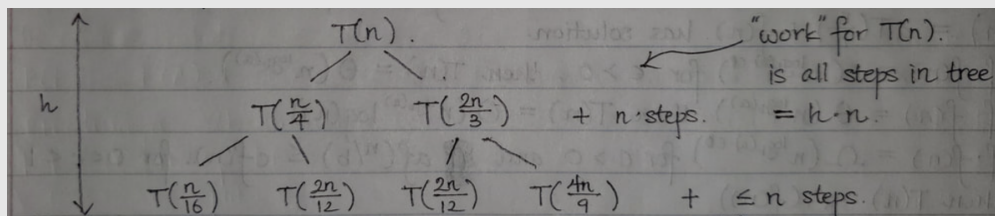


Figure 3: Recursion tree that is made by subbing in the $T(\#)$ into the recurrence relation to get the nodes below.

3. **Calculating Work Done at Each Level:**

- At the root, the work done is n .
- At the next level, the work is divided between:

$$T\left(\frac{n}{4}\right) \quad \text{and} \quad T\left(\frac{2n}{3}\right)$$

- This pattern continues, and the work at each level is the sum of the work done by each subproblem.
- The total work at each level is n , as shown by the distribution of the work across the nodes.

4. **Height of the Tree:**

- The longest path (height h) of the tree is determined by the rightmost path since $\frac{2}{3}$ is larger than $\frac{1}{4}$.
- The height h can be calculated using the formula:

$$\left(\frac{2}{3}\right)^h \cdot n = 1$$

- Solving for h :

$$h = \log_{3/2}(n)$$

5. **Total Work Done in the Tree:**

- The total work is the sum of the work done at each level times the height of the tree:

$$h \cdot n$$

- Substituting the value of h :

$$h \cdot n = \log_{3/2}(n) \cdot n$$

- This expression simplifies to:

$$O(n \log n)$$

- Therefore, the total work done by the recursion tree is $O(n \log n)$.

5 Graphs, Trees (Ap. B.4-5, L6)

5.1 Graphs

5.1.1 Directed and undirected graphs

Definition:

- **Directed graph (digraph):** G is a pair (V, E) , which are vertices V and edges E .
 - **Self-loop:** Edges from a vertex to itself.
- **Undirected graph:** $G = (V, E)$, where E consists of *unordered* pairs of vertices (i.e. direction doesn't matter)
 - **Self-loop:** Forbidden.

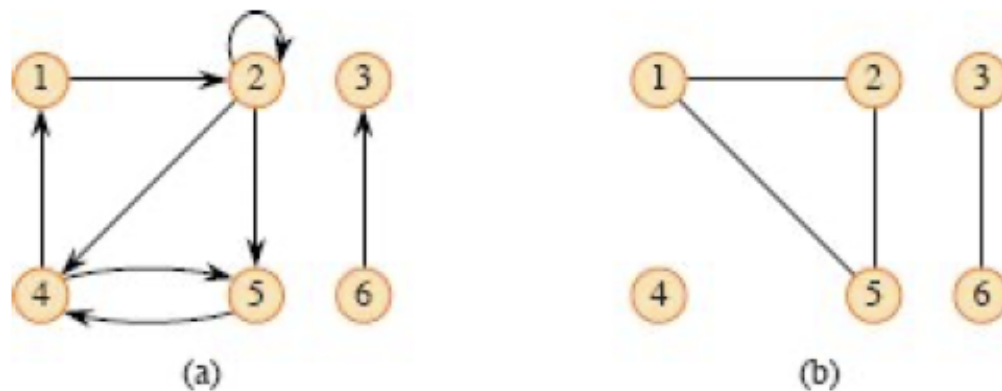


Figure 4: (a) Directed graph, (b) Undirected graph.

5.1.2 Terminology

Terminology:

- **Weighted G:** e.g. distance, cost, etc.
- **Path:** A sequence of vertices in which each vertex is adjacent to the next one.
- **Simple Path:** A path with no repetition of vertices.
- **Simple Cycle:** Simple path with same start/end vertex.
- **Acyclic Graph:** A graph with no cycles is an acyclic graph.
- **Directed Acyclic Graph:** A DAG is a directed acyclic graph.
- **Connected:** Two vertices are connected if there is a path between them.
- **Connected graph:** \exists path between \forall 2 vertices.
- **Degree of V (Undirected G):** Number of edges incident on it.
- **In/Out Degree of V (Directed G):** Out-degree is the # of edges leaving it, while in-degree is the # of edges entering it.
- **Degree of V (Directed G):** In-degree plus out-degree.
- **Degrees of all V:** $2E$
- **Bipartite Gs:** V can be partitioned into 2 sets V_1 and V_2 s.t. $V_1 \cap V_2 = \emptyset$ and $V_1 \cup V_2 = V$ and adjacencies only between elements of V_1 and V_2 .

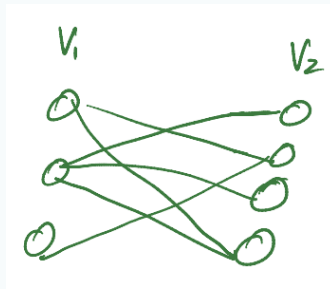


Figure 5: Bipartite graph.

- **Induced subgraph:** Subset of G and the associated edges.
- **Complete G (clique):** \exists edge between \forall 2 vertices.

5.1.3 Graph representation

Definition:

Adjacency matrix (AM): An $n \times n$ matrix where $M[i][j] = 1$ if there is an edge between v_i and v_j , and 0 otherwise.

Adjacency list (AL): For $n = |V|$ vertices, n linked lists. The i th linked list, $L[i]$ is a list of all the vertices that are adjacent to vertex i .

Is there an edge between v_i and v_j ?

- **AM:** $O(1)$
- **AL:** $O(d)$ where d is the maximum degree in the graph.

Find all vertices adjacent to v_i :

- **AM:** $O(|V|)$ where $|V|$ is the number of vertices in the graph.
- **AL:** $O(d)$

Space requirements:

- **AM:** $O(|V|^2)$
- **AL:** $O(|V| + |E|)$
 - AL is good for sparse G (i.e. $E \ll V^2$).

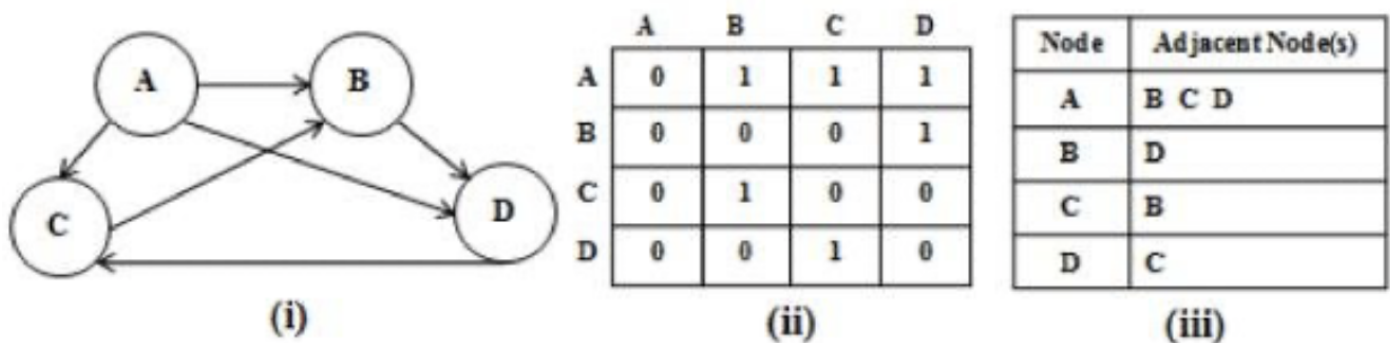


Figure 6: (i) Directed graph, (ii) Adjacency matrix, (iii) Adjacency list

5.1.4 Clique

Definition: Every two vertices have an edge.

$$\#edges = \frac{V(V-1)}{2}$$

5.2 Free trees

Definition: A free tree is a connected, acyclic, undirected graph.

5.2.1 Properties

Definition: Let $G = (V, E)$ be an undirected graph. The following statements are equivalent:

1. G is a free tree.
 2. Any two vertices in G are connected by a unique simple path.
 3. G is connected, but if any edge is removed from E , the resulting graph is disconnected.
 4. G is connected, and $|E| = |V| - 1$.
 5. G is acyclic, and $|E| = |V| - 1$.
 6. G is acyclic, but if any edge is added to E , the resulting graph contains a cycle.
- **Note:** There's a proof to show each of these statements are equivalent.

5.3 Forest

Definition: An undirected graph is acyclic but possibly disconnected.

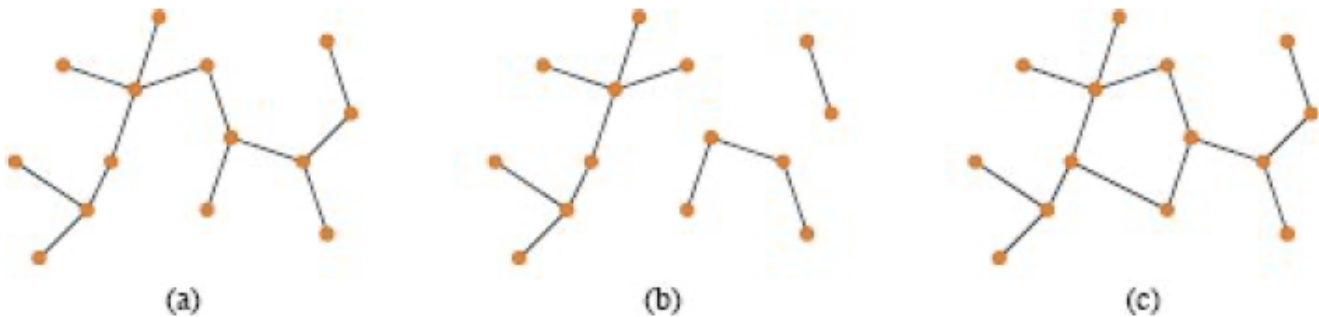


Figure 7: (a) A free tree, (b) A forest, (c) a graph that contains a cycle and is therefore neither a tree nor a forest.

5.4 Rooted and ordered trees

5.4.1 Rooted trees

Definition: A **rooted tree** is a free tree in which one of the vertices is distinguished from the others.

- **Root:** Distinguished vertex of the tree.
- **Node:** Vertex of a rooted tree.

5.4.2 Ordered trees

Definition: An **ordered tree** is a rooted tree in which the children of each node are ordered.

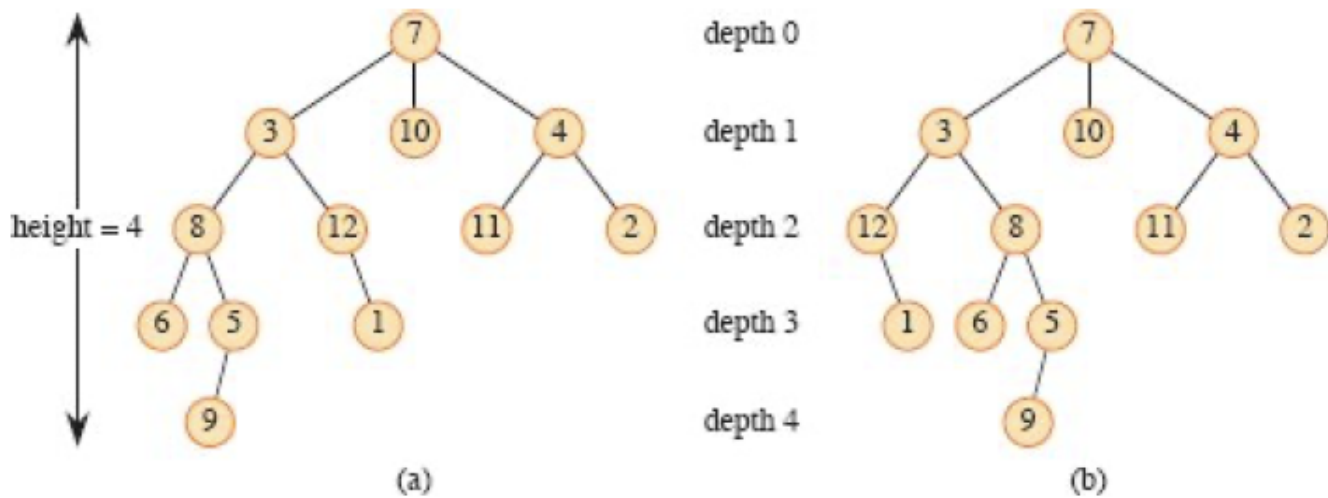


Figure 8: Rooted and ordered trees. If the tree is ordered, the relative left-to-right order of the children of a node matters, but if rooted, then they are the same tree.

5.4.3 Terminology

Terminology:

- **Parent:** A node y is the parent of node x if y is directly connected to x on the path from the root.
- **Child:** A node x is a child of node y if y is the parent of x .
- **Siblings:** Nodes are siblings if they share the same parent.
- **Leaf (or External Node):** A leaf is a node with no children.
- **Internal Node:** An internal node is a nonleaf node, which means it has at least one child.
- **Degree:** The degree of a node x is the number of children it has.
- **Depth:** The depth of a node x is the length of the path from the root to x .
- **Level:** A level of a tree consists of all nodes at the same depth.
- **Height:** The height of a node is the number of edges in the longest path from that node to a leaf.
 - **Height of tree:** From root to any leaf.

5.5 Binary and positional trees

5.5.1 Binary trees

Definition: A **binary tree** T is a structure defined on a finite set of nodes that either

- contains no nodes, or
- is composed of three disjoint sets of nodes: a **root** node, a **left subtree**, and a **right subtree**.

5.5.2 Terminology

Terminology:

- **Empty Tree:** A binary tree with no nodes.
- **Left and Right Child:** The roots of the non-empty left and right subtrees of the root.
- **Full Binary Tree:** Every node is either a leaf or has exactly two children.
- **Position Matters:** The distinction between left and right children is crucial in a binary tree, unlike in general ordered trees.

5.5.3 Positional trees

Definition: The children of a node are labeled with distinct positive integers.

5.5.4 K-ary trees

Definition: A positional tree in which each node $\leq k$ children. A binary tree has $k = 2$.

A **complete k-ary tree** is a k-ary tree in which all leaves have the same depth, and every internal node has exactly k children.

6 Permutations, Combinations (Ap. C.1, L5)

6.1 Rule of sum and product

Definition: If there are m -ways for event A to happen and n -ways for event B to happen then...

Rule of product: $\exists m \times n$ ways for A and B to happen.

Rule of sum: $\exists m + n$ ways for A or B to happen.

6.2 Permutations

Definition: Number of ways to pick r distinct objects out of n where *order matters* and *repetition isn't allowed*.

$$P(n, r) = n(n-1)(n-2) \cdots (n-r+1) = \frac{n!}{(n-r)!} \quad (27)$$

- n : total number of elements in the set.
- r : number of elements taken from the set.

6.3 Permutations with identical items

Definition: If there are m kinds of items and q_k , $k = 1, \dots, m$ of each kind, then total number of permutations where *order matters* is

$$\binom{n}{q_1, \dots, q_m} = \frac{n!}{q_1! q_2! \cdots q_m!} \quad (28)$$

- $\sum_{k=1}^m q_k = n$

6.4 Permutations with repetitions

Definition: Number of ways to arrange r -objects out of n objects with unlimited repetition is given by: n^r .

6.5 Combinations

Definition: Number of ways to choose r objects from n where *order doesn't matter*.

$$C(n, r) = \binom{n}{r} = \frac{P(n, r)}{r!} = \frac{n!}{r!(n-r)!} \quad (29)$$

6.6 Binomial theorem

Definition:

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k} \quad (30)$$

- $n \in \mathbb{N}$ and $x, y \in \mathbb{R}$

7 Probability (Ap. C.2, L8)

7.1 Sample space

Definition: The set of *all possible outcomes* of a statistical experiment, denoted by S .

7.2 Event

Definition: A subset of a sample space S . An event is any outcome or combination of outcomes.

7.3 Probability axioms

Definition: For $A, B \subseteq S$

1. $0 \leq P(A) \leq 1$
2. $P(S) = 1$
3. $P(A \cup B) = P(A) + P(B)$ for two mutually exclusive events A and B .

7.4 Additive rule

Definition:

$$P(A \cup B) = P(A) + P(B) - P(A \cap B) \quad (31)$$

7.5 Uniform distribution

Definition: If $\forall s \in S$ has probability $P(s) = \frac{1}{|S|}$, then it is a uniform distribution.

7.6 Independence

Definition: $P(A \cap B) = P(A)P(B)$ if A, B independent.

7.7 Bayes theorem

Definition: For events with $P(A) > 0$ and $P(B) > 0$, the probability A happens given B happens is:

$$P(B|A) = \frac{P(B \cap A)}{P(A)} = \frac{P(A|B)P(B)}{P(A)} \quad (32)$$

7.8 Bayes' rule with total probability

Definition: Suppose C_1, \dots, C_k is a partition. Then

$$P(B|A) = \frac{P(B)P(A|B)}{\sum_{i=1}^k P(C_i)P(A|C_i)} \quad (33)$$

Often B is an element of C_1, \dots, C_k , say $B = C_n$. Then

$$P(C_n|A) = \frac{P(C_n)P(A|C_n)}{\sum_{i=1}^k P(C_i)P(A|C_i)} \quad (34)$$

Process:

1. Write down all the probabilities.
2. Try solving the problem directly using definitions.

Intuition/Tips: If given $P(A|B)$ and want $P(B|A)$, then automatically use Bayes' Rule.

7.9 Discrete random variable

Definition: An RV is a function that associates a real number with each element of the sample space. Denote RVs with capital letters.

7.10 Probability mass function

Definition: The set of ordered pairs $(x, f(x))$ of the discrete RV X if, for each possible outcome x ,

1. $f(x) \geq 0$ for each outcome $X = x$
2. $\sum_x f(x) = 1$ (i.e. total probability sums to 1)
3. $f(x) = P(X = x)$ (i.e. probability of each outcome)

7.11 Expectation

Definition: Let X be an RV with distribution $f(x)$, then

$$E[X] = \sum_{x \in X} x f(x) \quad (35)$$

where the sum is taken over all possible values of X .

7.12 Properties of expectation

Definition:

1. $E[X + Y] = E[X] + E[Y]$ (linearity)
2. $E[\alpha X] = \alpha E[X]$ (linearity)
3. $E[XY] = E[X]E[Y]$ if independent

8 Heaps, Heapsort (Ch. 6, L9)

8.1 Intro to heapsort

8.1.1 In-place Sorting

Definition: Given an array A to sort the numbers, sorts within the array and uses a constant number of variables to do bookkeeping.

- **Time Complexity:** $O(n \log n)$.
- **Explanation:** Describe in terms of a tree.
- **Pseudo-code:** Uses the array representation.

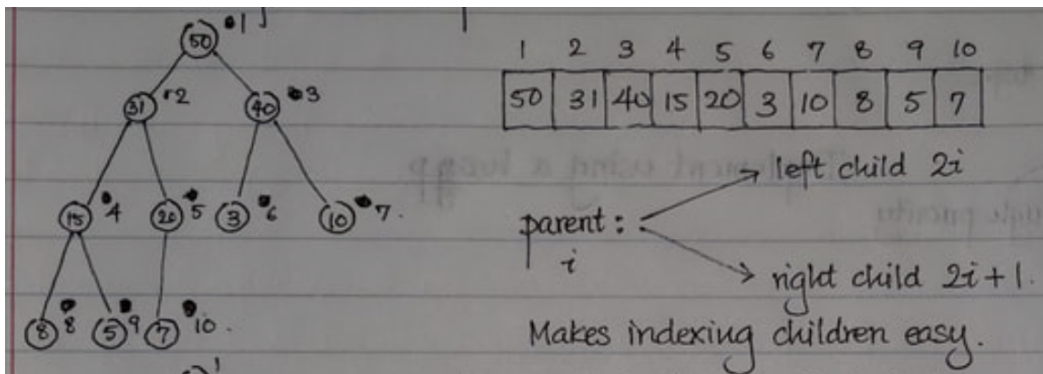


Figure 9: (Left) Tree format heap. (Right) Array format heap with formulas for parent and children.

8.1.2 Indexing

Definition:

1. **Parent:** $\left\lfloor \frac{i}{2} \right\rfloor$
2. **Left child:** $2i$
3. **Right child:** $2i + 1$

8.1.3 Heap-tree: (2 properties)

Definition:

- **Heap Shape:** A complete binary tree where the last level is not filled, but leaves are pushed to the left.
- **Heap Order (maxheap):** $A[\text{Parent}(i)] \geq A[i]$
- **Heap Order (minheap):** $A[\text{Parent}(i)] \leq A[i]$

8.1.4 Height

Definition: A heap of n elements is based on a complete binary tree, its height is $\Theta(\lg n)$.

8.2 Heap operations

8.2.1 Insert

Definition:

```

1      Insert:
2          A[length + 1] = new_key
3          length = length + 1
4          bubble_up(A, length)

```

```
5
```

- Time Complexity: $O(\lg n)$

8.2.2 Bubble up

Definition:

```
1 bubble_up (A, i):  
2     repeat  
3         swap (A[i] <=> A[floor(i/2)]) # comparing yourself with parent  
4         if A[i] is larger  
5
```

- Time Complexity: $O(\lg n)$

Intuition/Tips: Insert at the end and then bring up to its proper position.

8.2.3 Extract max

Definition:

```
1 Extract_Max (A):  
2     max = A[1]  
3     A[1] = A[length] # put last element at the top  
4     length = length - 1  
5     bubble_down(A[1]) # bubble down to the proper location  
6
```

- Time Complexity: $O(\lg n)$

8.2.4 Bubble down

Definition:

```
1 bubble_down (i):  
2     repeat  
3         compare A[i] with A[2i] and A[2i + 1]  
4         exit if A[i] is larger or A[i] is a leaf  
5         swap A[i] <=> swap(A[2i], A[2i + 1])  
6
```

- Time Complexity: $O(\lg n)$

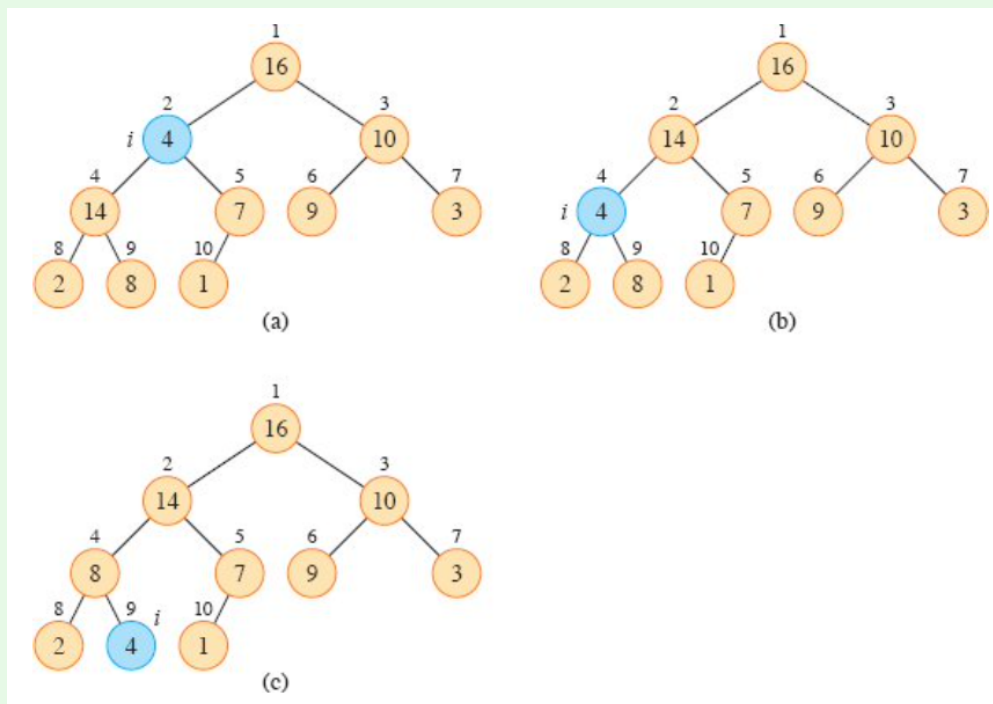


Figure 10: Bubble down.

8.3 Heapsort

Definition:

```

1  Heapsort (A):
2      Build-Heap(A)           #  $O(n)$ 
3      for  $i = 1$  to  $n - 1$       #  $O(n)$  iterations
4          Extract_Max(A)      #  $O(\log n)$ 
5

```

- Time Complexity: $O(n \lg n)$

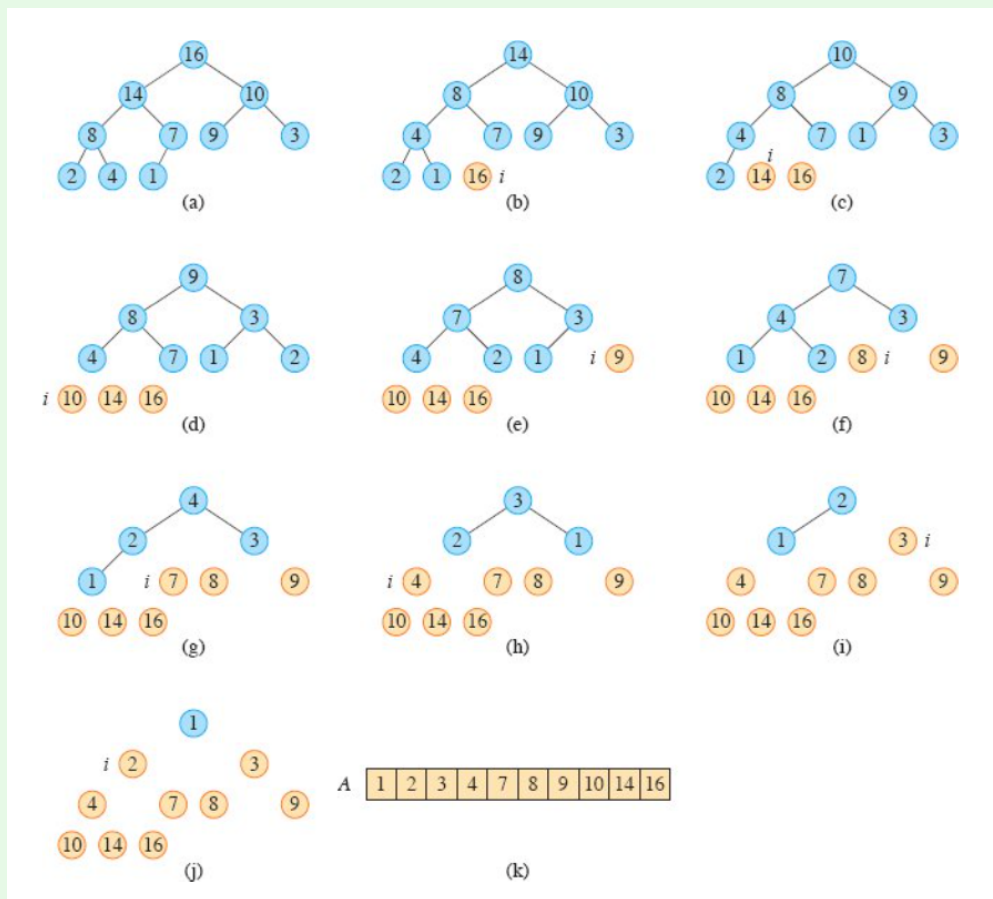


Figure 11: (a) Max-heap data structure after Build-Heap. (b)-(j) Extract max. (k) Sorted array.

8.3.1 Build heap

Definition:

```

1  Build_heap (A):
2      for i = floor(length / 2) down to 1
3          bubble_down(A, i)
4

```

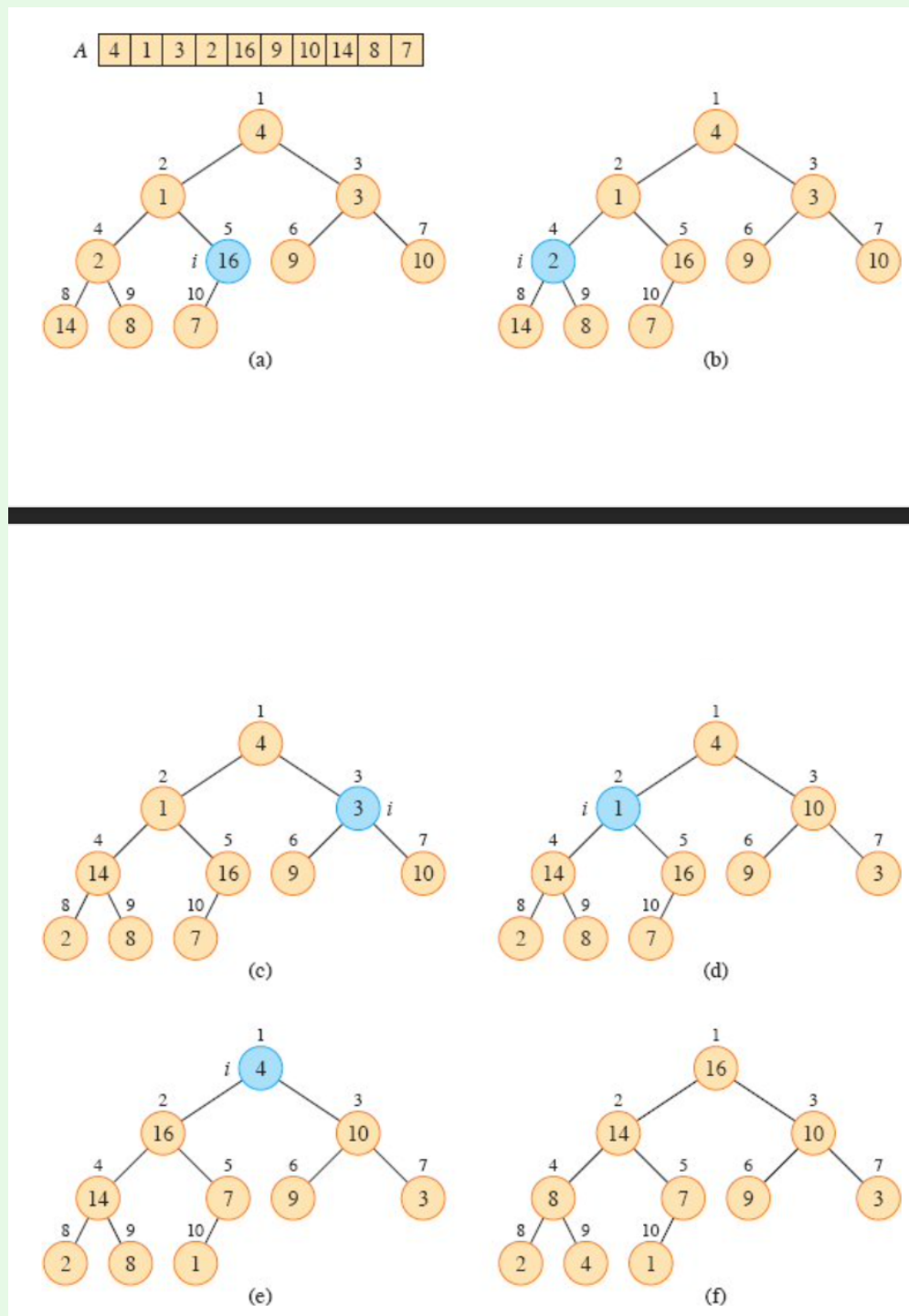


Figure 12: Build heap.

8.4 Heap runtime and priority queue

8.4.1 Tight bound for build heap

Definition: There are *at most* $\left\lceil \frac{n}{2^{h+1}} \right\rceil$ nodes of height h in a heap.

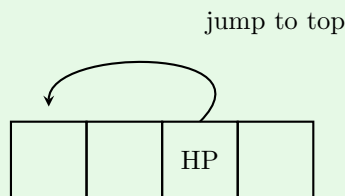
The tight bound is

$$\begin{aligned}
 \sum_{h=0}^{\log(n)} \# \text{ nodes at height } h \cdot \text{height of the node} &= \sum_{h=0}^{\log(n)} \left\lceil \frac{n}{2^{h+1}} \right\rceil \cdot h \\
 &\leq O\left(\frac{n}{2} \cdot \sum_{h=0}^{\infty} h \cdot \frac{1}{2^h}\right) \\
 &= O(n) \cdot \frac{\frac{1}{2}}{(1 - \frac{1}{2})^2} \\
 &= O(n) \cdot 2 = O(n)
 \end{aligned}$$

8.4.2 Priority queue

Definition: A queue where the first element dequeued is the one with the highest priority.

- Implement a PQ using a heap for efficient priority management.



9 Quicksort (Ch. 7, L10)

9.1 Intro

9.1.1 QS algorithm

Definition:

```

1      Quicksort (list in, int left, int right)
2          pivot = Partition(in, left, right)
3          if (pivot > left)
4              Quicksort(in, left, pivot)
5          if (pivot < right)
6              Quicksort(in, pivot + 1, right)
7

```

Listing 2: Quicksort Algorithm Pseudocode

9.1.2 Partition

Definition:

```

1      int Partition (in, left, right)
2          ls = left
3          pivot = in(left)
4          for i = left + 1 to right
5              if (in(i) <= pivot)
6                  ls = ls + 1
7                  swap(in(i), in(ls))
8          swap(in(left), in(ls))
9          return ls
10

```

Listing 3: Partition Function Pseudocode

Intuition/Tips:

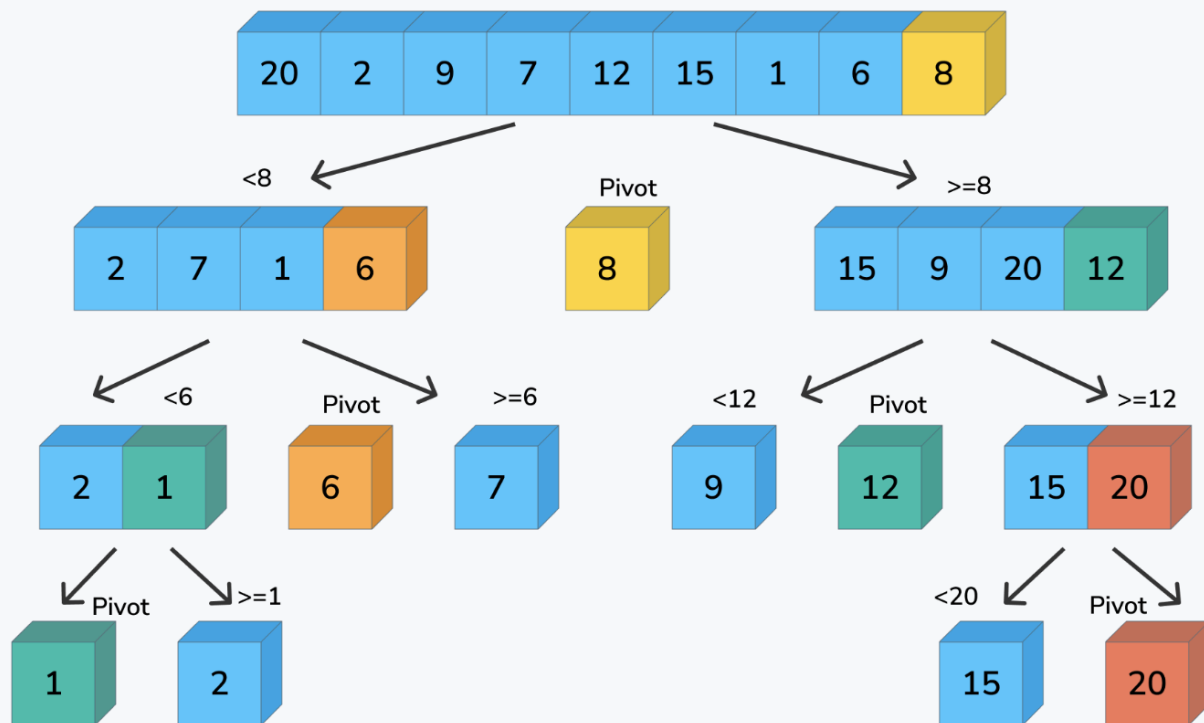


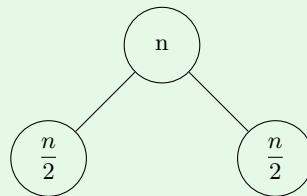
Figure 13: Quicksort example.

9.2 QS basic analysis

9.2.1 QS best case

Definition: The array is always split exactly in half, leading to a balanced partition. The recurrence relation for quicksort in this scenario is:

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$



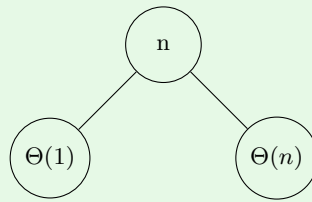
Now using the Master Theorem:

$$T(n) = \Theta(n \log n)$$

9.2.2 QS worst case

Definition: The array is already sorted (or reverse sorted) and we choose the first or last element as the pivot, the recurrence relation for quicksort is:

$$T(n) = T(n-1) + \Theta(n)$$



This recurrence relation expands as follows:

$$\begin{aligned}
 T(n) &= T(n-1) + \Theta(n) \\
 &= (T(n-2) + \Theta(n-1)) + \Theta(n) \\
 &= (T(n-3) + \Theta(n-2)) + \Theta(n-1) + \Theta(n) \\
 &= \dots \\
 &= \Theta\left(\sum_{i=1}^n i\right) \\
 &= \Theta\left(\frac{n(n+1)}{2}\right) \\
 &= \Theta(n^2)
 \end{aligned}$$

9.2.3 QS average case

Definition: In the average case, the recurrence relation for quicksort can be expressed as:

$$T(n) = T\left(\frac{n}{10}\right) + T\left(\frac{9n}{10}\right) + \Theta(n)$$

We can visualize this with a recursion tree:

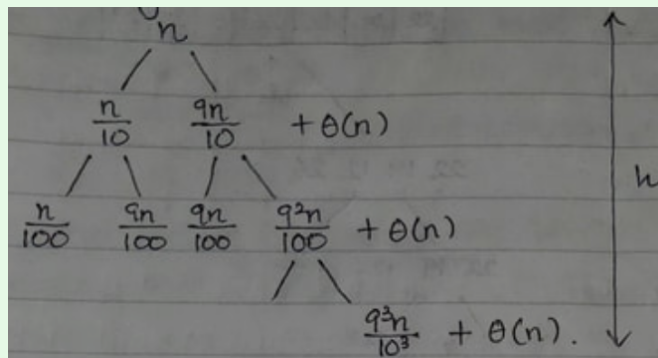


Figure 14: Quicksort average case in which each level is derived by subbing in the $T(\#)$ back into the equation above.

Based on the recursive tree structure and the average-case recurrence relation, we can derive the time complexity as follows:

$$T(n) = h \cdot \Theta(n)$$

Now, let's calculate the height h of the tree:

$$\left(\frac{9}{10}\right)^h n = 1$$

$$h = \log_{10/9}(n)$$

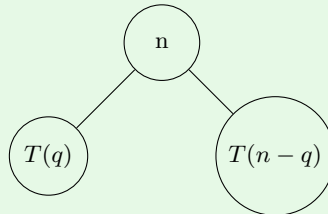
Substituting this back into the overall complexity:

$$\begin{aligned}
 T(n) &= h \cdot \Theta(n) \\
 &= \log_{10/9}(n) \cdot \Theta(n) \\
 &= \Theta(n \log n)
 \end{aligned}$$

9.3 Worst-case (formal)

Definition: The worst-case recurrence relation for quicksort can be expressed as:

$$T(n) = \text{time to QS } n\text{-elements} = \max_{1 \leq q \leq n-1} \{T(q) + T(n-q)\} + \Theta(n)$$



We use substitution to show that $T(n) \leq cn^2$ for some constant c .

1. Guess $T(n) \leq cn^2$.
- 2.

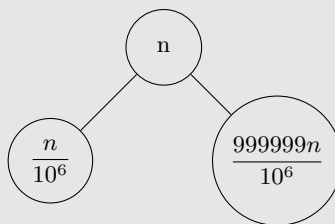
$$\begin{aligned}
 T(n) &\leq \max_{1 \leq q \leq n-1} \{cq^2 + c(n-q)^2\} + \Theta(n) \quad (\text{Achieves max at } q = 1 \text{ or } q = n-1) \\
 &= c \max_{1 \leq q \leq n-1} \{q^2 + (n-q)^2\} + \Theta(n) \quad (\text{As second derivative is positive, plug } q = 1) \\
 &\leq cn^2 - 2c(n-1) + \Theta(n) \quad (\text{We can pick a large } c \text{ to dominate the constant } \Theta(n)) \\
 &\leq cn^2
 \end{aligned}$$

Therefore, using the substitution method, we can show that $T(n) \leq cn^2$, confirming that the worst-case time complexity of the quicksort algorithm is $O(n^2)$.

9.4 Randomized QS

9.4.1 Motivation for randomized QS

Example:



In this example, the array of size n is split into highly unbalanced sub-arrays:

- One sub-array is $\frac{n}{10^6}$, very small compared to n .
- The other sub-array is $\frac{999999n}{10^6}$, almost the entire size of n .

This unbalanced split may lead to increased recursion depth and higher running times, potentially reaching the worst-case $O(n^2)$ complexity.

Motivation for Randomized QS:

- Avoiding worst-case scenarios
- Ensuring balanced splits

- Works against sorted and reverse sorted arrays.

9.4.2 Random partition

Definition:

```
1  Rand-Partition (list in, left, right)
2      i = random(left, right)
3      swap(in(left), in(i))
4      return Partition(in, left, right)
5
```

Listing 4: Rand-Partition Function Pseudocode

- 10 Counting sort, Radix sort (Ch. 8)
 - 10.1 Lower bound on sorting and counting sort
 - 10.2 Radix sort
- 11 Selection sort, Binary search trees (Ch. 12)
 - 11.1 Selection sort
 - 11.2 Binary search trees
- 12 Red black trees (Ch. 13)
 - 12.1 Properties
 - 12.2 Balance proof
 - 12.3 Operations
- 13 Hash tables, Hashing (Ch. 11)
 - 13.1 Motivation
 - 13.2 Resolution by chaining
 - 13.3 Resolution by open addressing
- 14 Dynamic programming (Ch. 14)
 - 14.1 DP matrix multiplication
 - 14.2 DP longest common subsequence
- 15 Greedy algorithms (Ch. 15)
- 16 Amortized analysis (Ch. 16)
- 17 Splay trees
- 18 Graph algorithms (Ch. 20)
 - 18.1 Intro
 - 18.2 Breadth-first search
 - 18.3 Depth-first search
- 19 Minimum spanning trees (Ch. 21)
- 20 Shortest paths (Ch. 22)
- 21 Maximum flow (Ch. 24)
- 22 P, NP, and NPC introduction (Ch. 34)
- 23 NPC (Ch. 34)