# ROB311 Quiz 3

### Hanhee Lee

## April 3, 2025

## Contents

1	Zer	o-Sum Turn-Based Games	2
	1.1	$\alpha/\beta$ Pruning	2
		1.1.1 $\alpha$ Cuts	2
		1.1.2 $\beta$ Cuts	
	1.2	Examples	3
		1.2.1 Min-Max Algorithm	3
		1.2.2 $\alpha$ Cuts	Ę
		1.2.3 $\beta$ Cuts	Ę
		1.2.4 Alpha Beta Pruning	6

### Turn-Taking Multi-Agent Decision Algorithms

### 1 Zero-Sum Turn-Based Games

Summary: In a zero-sum turn-based games, we assume that

- Agents and Environment:
  - there are two agents, called the **maximizer** and **minimizer**
  - the environment is always in one of a discrete set of states,  $\mathcal{S}$
  - a subset of the states,  $\mathcal{T} \subseteq \mathcal{S}$ , are terminal states
  - there is only one decision maker for each non-terminal state,  $s \in \mathcal{S} \setminus \mathcal{T}$
  - For each non-terminal state,  $s \in \mathcal{S} \setminus \mathcal{T}$ , the decision-maker has a discrete set of actions,  $\mathcal{A}(s)$
- **Decision Process:** At time-step t, the decision-maker will:
  - **Observe:** Observe the state  $s_t$
  - Select: Select an action  $a_t \in \mathcal{A}(s_t)$
  - Move: Make the move  $(s_t, a_t)$
- State Transitions:
  - Environment transitions to a deterministic state,  $s_{t+1}$ , based on a stationary fn,

$$s_{t+1} = \operatorname{tr}(s_t, a_t)$$

- Once a terminal state is reached (if  $s_{t+1} \in \mathcal{T}$ ), the maximizer obtains a reward for the final transition based on a reward fn,  $r(\cdot, \cdot, \cdot)$ :

 $r(s_t, a_t, s_{t+1}) = \text{maximizer's reward for reaching state } s_{t+1}$ 

 $-r(s_t, a_t, s_{t+1}) = \text{minimizer's reward for reaching state } s_{t+1}$ 

#### Warning:

- Maximizer is trying to maximize the reward of agent 1
- Minimizer is trying to minimize the reward of agent 1 (i.e. maximize the reward of agent 2)

#### 1.1 $\alpha/\beta$ Pruning

Motivation: Don't explore the entire game tree by pruning branches that are unreachable under perfect play.

**Definition**: For each state s:

- $\alpha_s$ : Maximum value at s thus far (initially  $-\infty$ )
- $\beta_s$ : Minimum value at s thus far (initially  $+\infty$ )

#### 1.1.1 $\alpha$ Cuts

**Definition**: If the maximizer is the turn-taker at s, then  $\alpha_s$  increases to the maximum value of s's successors as they are explored, and  $\beta_s = \beta_{\text{parent}(s)}$ .

• If  $\alpha_s$  increases beyond  $\beta_s$ , then s unreachable under perfect play.

#### 1.1.2 $\beta$ Cuts

**Definition**: If the **minimizer** is the turn-taker at s, then  $\beta_s$  decreases to the minimum value of s's successors as they are explored, and  $\alpha_s = \alpha_{\text{parent}(s)}$ .

• If  $\beta_s$  decreases beyond  $\alpha_s$ , then s unreachable under perfect play.

### 1.2 Examples

#### 1.2.1 Min-Max Algorithm

#### Example:

- Given: Cavemen is injured from his hunt. He has extra food, but needs medicine.
  - He meets another caveman who is willing to trade.



Figure 1: States



Figure 2: Actions

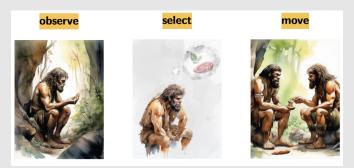


Figure 3: Decision Process

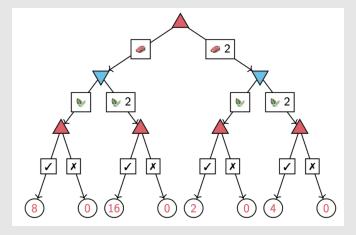


Figure 4: Game Tree

- States
  - $\ast\,$  Red triangle: Maximizing agent
  - \* Blue triangle: Minimizing agent
  - \* White circles with # s: terminal states
  - \* Rewards: In red b/c it's for the maximizer. The minimizer's reward is the negative of the maximizer's reward.

- Actions: Square boxes are actions
- Solution: Backtracking through the game tree, we can find the optimal path for the maximizer and minimizer.
  - Maximizer Turn:
    - \* Left Branch:
      - · Far Left: Accept to get reward of 8,
      - · Mid Left: Accept to get reward of 16,
    - \* Right Branch:
      - · Mid Left: Accept to get reward of 2,
      - · Far Left: Accept to get reward of 4
  - Minimizer Turn:
    - \* Left Branch:
      - · L: 1 medicine to make maximizer get reward of 8,
    - \* Right Branch:
      - · L: 1 medicine to make maximizer get reward of 2
  - Maximizer Turn: 1 food to make maximizer get reward of 8 b/c going right will make maximizer get reward of 2
  - Optimal Path: Therefore, the optimal path will be LLL b/c the maximizer will get a reward of 8, while the minimizer will reduce the reward from 16 to 8.
    - \* Assume boths agents play optimally, this will be the path taken.

#### 1.2.2 $\alpha$ Cuts

#### Example:

• Explored 14, 12 and now  $\beta_{parent(s)} = \beta_s = 5$ , so this will be compared for  $\alpha_s$  until  $\alpha_s > \beta_s$  b/c then s unreachable under perfect play.

• Iterate:

- $-\alpha_s = -\infty < \alpha_s' = 2 \rightarrow \alpha_s = 2$ , but  $\alpha_s = 2 < \beta_s = 5$
- $-\alpha_s = 2 < \alpha_s' = 4 \rightarrow \alpha_s = 4$ , but  $\alpha_s = 4 < \beta_s = 5$   $-\alpha_s = 4 < \alpha_s' = 9 \rightarrow \alpha_s = 9$ , and  $\alpha_s = 9 > \beta_s = 5$ , therefore, prune all the other branches that haven't been explored yet in the children of s paths

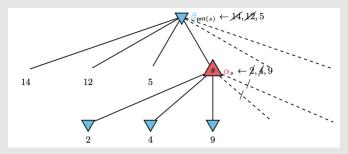


Figure 5

#### 1.2.3 $\beta$ Cuts

#### Example:

- Explored 4,6, and now  $\alpha_{\text{parent}(s)} = \alpha_s = 7$ , so this will be compared for  $\beta_s$  until  $\beta_s < \alpha_s$  b/c then s unreachable under perfect play.
- Iterate:
  - $-\beta_s = +\infty > \beta_s' = 9 \rightarrow \beta_s = 9$ , but  $\beta_s = 9 > \alpha_s = 7$

  - $-\beta_s = 9 > \beta_s' = 8 \rightarrow \beta_s = 5$ , but  $\beta_s = 8 > \alpha_s = 7$   $-\beta_s = 8 > \beta_s' = 3 \rightarrow \beta_s = 3$ , and  $\beta_s = 3 < \alpha_s = 7$ , therefore, prune all the other branches that haven't been explored yet in the children of s paths

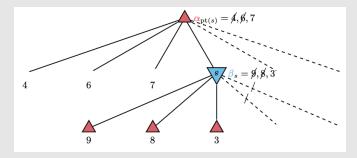


Figure 6

#### 1.2.4 Alpha Beta Pruning

#### **Process**:

- 1. Initialize  $\alpha = -\infty$  and  $\beta = +\infty$
- 2. Iterate through the game tree:
  - If the maximizer is the turn-taker, then update  $\alpha$  to the maximum value of s's successors as they are explored.
  - If the minimizer is the turn-taker, then update  $\beta$  to the minimum value of s's successors as they are explored.
- 3. Nodes are pruned if  $\alpha \geq \beta$

Example: Alpha-Beta Pruning Practice