# ROB311 Quiz 3

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### Turn-Taking Multi-Agent Decision Algorithms

### 1 Zero-Sum Turn-Based Games

Summary: In a zero-sum turn-based games, we assume that

- Agents and Environment:
  - there are two agents, called the **maximizer** and **minimizer**
  - the environment is always in one of a discrete set of states,  $\mathcal{S}$
  - a subset of the states,  $\mathcal{T} \subseteq \mathcal{S}$ , are terminal states
  - there is only one decision maker for each non-terminal state,  $s \in \mathcal{S} \setminus \mathcal{T}$
  - For each non-terminal state,  $s \in \mathcal{S} \setminus \mathcal{T}$ , the decision-maker has a discrete set of actions,  $\mathcal{A}(s)$
- **Decision Process:** At time-step t, the decision-maker will:
  - **Observe:** Observe the state  $s_t$
  - Select: Select an action  $a_t \in \mathcal{A}(s_t)$
  - Move: Make the move  $(s_t, a_t)$
- State Transitions:
  - Environment transitions to a deterministic state,  $s_{t+1}$ , based on a stationary fn,

$$s_{t+1} = \operatorname{tr}(s_t, a_t)$$

- Once a terminal state is reached (if  $s_{t+1} \in \mathcal{T}$ ), the maximizer obtains a reward for the final transition based on a reward fn,  $r(\cdot, \cdot, \cdot)$ :

 $r(s_t, a_t, s_{t+1}) = \text{maximizer's reward for reaching state } s_{t+1}$ 

 $-r(s_t, a_t, s_{t+1}) = \text{minimizer's reward for reaching state } s_{t+1}$ 

#### Warning:

- Maximizer is trying to maximize the reward of agent 1
- Minimizer is trying to minimize the reward of agent 1 (i.e. maximize the reward of agent 2)

#### 1.1 $\alpha/\beta$ Pruning

Motivation: Don't explore the entire game tree by pruning branches that are unreachable under perfect play.

**Definition**: For each state s:

- $\alpha_s$ : Maximum value at s thus far (initially  $-\infty$ )
- $\beta_s$ : Minimum value at s thus far (initially  $+\infty$ )

#### 1.1.1 $\alpha$ Cuts

**Definition**: If the maximizer is the turn-taker at s, then  $\alpha_s$  increases to the maximum value of s's successors as they are explored, and  $\beta_s = \beta_{\text{parent}(s)}$ .

• If  $\alpha_s$  increases beyond  $\beta_s$ , then s unreachable under perfect play.

#### 1.1.2 $\beta$ Cuts

**Definition**: If the **minimizer** is the turn-taker at s, then  $\beta_s$  decreases to the minimum value of s's successors as they are explored, and  $\alpha_s = \alpha_{\text{parent}(s)}$ .

• If  $\beta_s$  decreases beyond  $\alpha_s$ , then s unreachable under perfect play.

#### 1.2 Monte-Carlo Tree Search (MCTS) Algorithm

#### Algorithm:

1. Selection: Traverse using an alternate policy until a node has unexplored children.

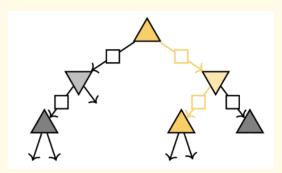


Figure 1

- Our Agent (Upper Triangle): Uses UCB to choose the next node to explore
- Other Agent (Down Triangle): Can't control their actions, so this agent picks w/ their own heuristic.
- Square Boxes: Estimated values (i.e. n and  $\hat{q}$ )
- Ends when there is at least one action that hasn't been explored yet. In this case, two actions ahven't been explored.
- Can skip expansion and simulation if the most recently expanded node is a terminal state.
- 2. Expansion: Expand an unexplored child; initialize n(a) and  $\hat{q}(s, a)$ .

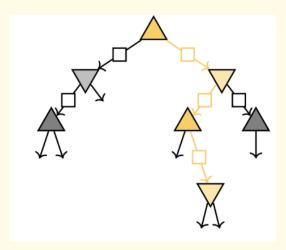


Figure 2

- $\hat{q}(s,a)$  is initialized to 0 and n(a) is initialized to 1 b/c we've visited this node once.
- Randomly pick an unexplored action unless there is only one action left.
- Can skip similuation if the most recently expanded node is a terminal state.
- 3. Simulation: Traverse using the random policy until a terminal node is reached.

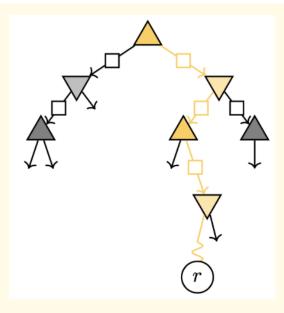


Figure 3

• Using random policy to simulate the game until a terminal state is reached (i.e. reward is obtained) 4. Back-propogation: Get the reward and reverse; update n(a) and  $\hat{q}(s, a)$ .

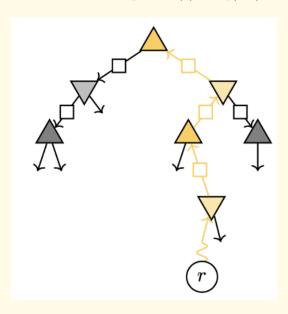


Figure 4

• Go up the path in yellow and update the values of n(a) and  $\hat{q}(s,a)$  for OUR agent only (i.e. the upper triangle)

#### Warning:

- Works for more than 2 agents.
- Don't need to know anyone else's reward function.
- Has to be turn taking but can be not alternating (i.e. immediate switch between agents)
- Can augment simultaneous actions
- Communication
- Works fo rnon-zero sum games.

### 1.3 Examples

#### 1.3.1 Zero Sum Turn-Based Games

#### Example:

- Given: Cavemen is injured from his hunt. He has extra food, but needs medicine.
  - He meets another caveman who is willing to trade.





Figure 6: Actions

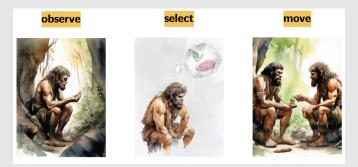


Figure 7: Decision Process

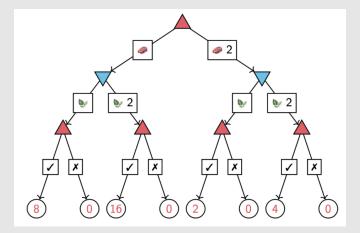


Figure 8: Game Tree

- States
  - \* Red triangle: Maximizing agent
  - \* Blue triangle: Minimizing agent
  - \* White circles with #s: terminal states
  - $\ast\,$  Rewards: In red b/c it's for the maximizer. The minimizer's reward is the negative of the maximizer's reward.

- Actions: Square boxes are actions
- Solution: Backtracking through the game tree, we can find the optimal path for the maximizer and minimizer.
  - Maximizer Turn: LL: Accept to get reward of 8, L: Accept to get reward of 16, R: Accept to get reward of 2, RR: Accept to get reward of 4
  - Minimizer Turn: LL: 1 medicine to make maximizer get reward of 8, R: 1 medicine to make maximizer
  - Maximizer Turn: 1 food to make maximizer get reward of 8 b/c going right will make maximizer get
  - Optimal Path: Therefore, the optimal path will be LLL b/c the maximizer will get a reward of 8, while the minimizer will reduce the reward from 16 to 8.
    - \* Assume boths agents play optimally, this will be the path taken.

#### 1.3.2 $\alpha$ Cuts

#### Example:

- Explored 14, 12 and now  $\beta_{parent(s)} = \beta_s = 5$ , so this will be compared for  $\alpha_s$  until  $\alpha_s > \beta_s$  b/c then s unreachable under perfect play.
- Iterate:
  - $-\alpha_s = -\infty < \alpha_s' = 2 \rightarrow \alpha_s = 2$ , but  $\alpha_s = 2 < \beta_s = 5$

  - $-\alpha_s = 2 < \alpha_s' = 4 \rightarrow \alpha_s = 4$ , but  $\alpha_s = 4 < \beta_s = 5$  $-\alpha_s = 4 < \alpha_s' = 9 \rightarrow \alpha_s = 9$ , and  $\alpha_s = 9 > \beta_s = 5$ , therefore, prune all the other branches that haven't been explored yet in the children of s paths

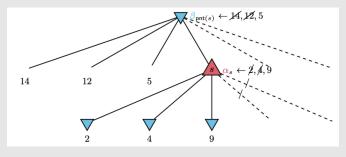


Figure 9

### 1.3.3 $\beta$ Cuts

#### Example:

- Explored 4,6, and now  $\alpha_{\text{parent}(s)} = \alpha_s = 7$ , so this will be compared for  $\beta_s$  until  $\beta_s < \alpha_s$  b/c then s unreachable under perfect play.
- Iterate:

  - Therefore,  $-\beta_s = +\infty > \beta_s' = 9 \rightarrow \beta_s = 9$ , but  $\beta_s = 9 > \alpha_s = 7$   $-\beta_s = 9 > \beta_s' = 8 \rightarrow \beta_s = 5$ , but  $\beta_s = 8 > \alpha_s = 7$   $-\beta_s = 8 > \beta_s' = 3 \rightarrow \beta_s = 3$ , and  $\beta_s = 3 < \alpha_s = 7$ , therefore, prune all the other branches that haven't been explored yet in the children of s paths

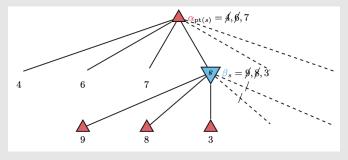


Figure 10

#### Alpha Beta Pruning

**Process:** 

1.

Example: Alpha-Beta Pruning Practice

1.

#### 1.3.5 Monte-Carlo Tree Search (MCTS) Algorithm

Example: