

# Word Vectors

2023-03-28

- Task Introduction
- Algorithm Introduction
  - Skip-gram
  - Negative Sampling
- Some findings in the code
- Experiment Result
- Thoughts & Pretrained Model Results

# Outline

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# 1 Task Introduction



- Definition of **Word Vector Models**
- Part I
  - Train **Skip-gram** Word2Vec Model
- Part II
  - Sentiment Classification
  - With **Softmax Regression** & **SGD**

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## 2.1 Skip-gram

**Idea:** Use the **similarity** of the word to calculate the probability

$$P(o|c) = \frac{\exp(u_o^T v_c)}{\sum_{w \in V} \exp(u_w^T v_c)}$$

### Pros:

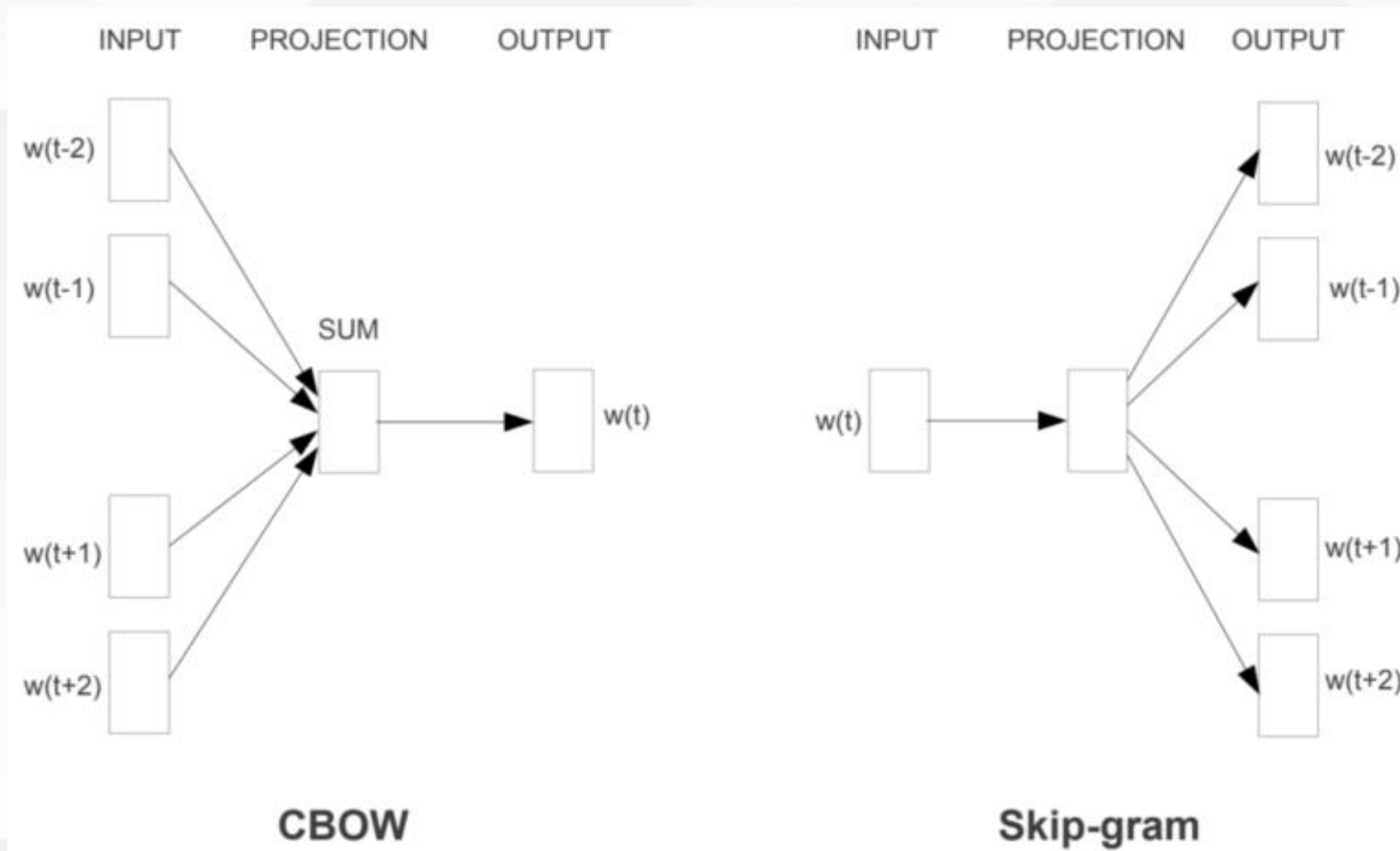
1. Maps discrete word symbols to **continuous** vectors in a relatively **low dimensional** space
2. allow the model to **capture similarity** between words

$$J(\theta) = -\frac{1}{T} \sum_{t=1}^T \sum_{-m \leq j \leq m, j \neq 0} \log P(w_{t+j} | w_t; \theta)$$

## 2.1 Skip-gram



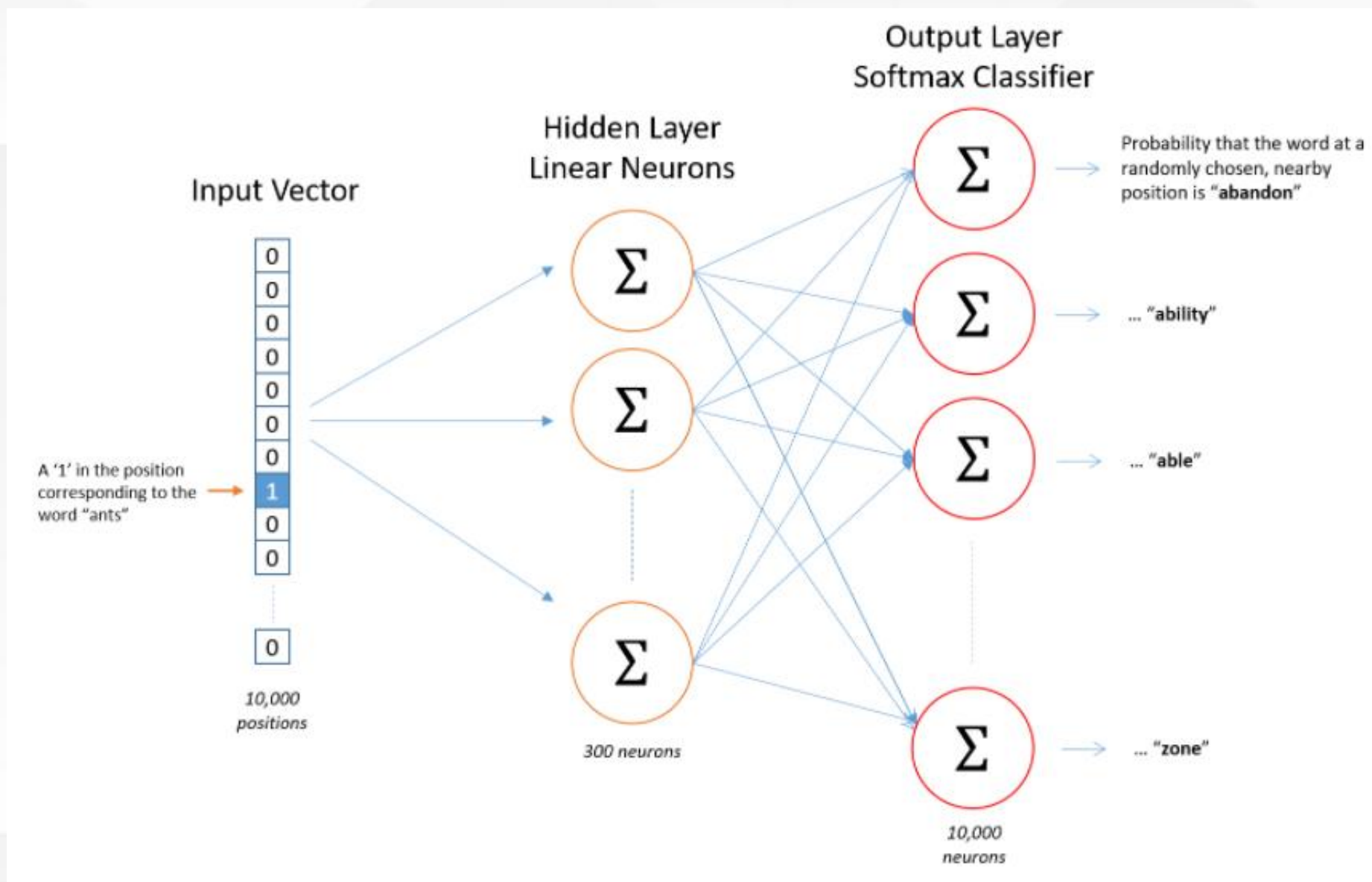
### CBOW V.S. Skip-gram



# 2.1 Skip-gram



## Architecture of the neural network

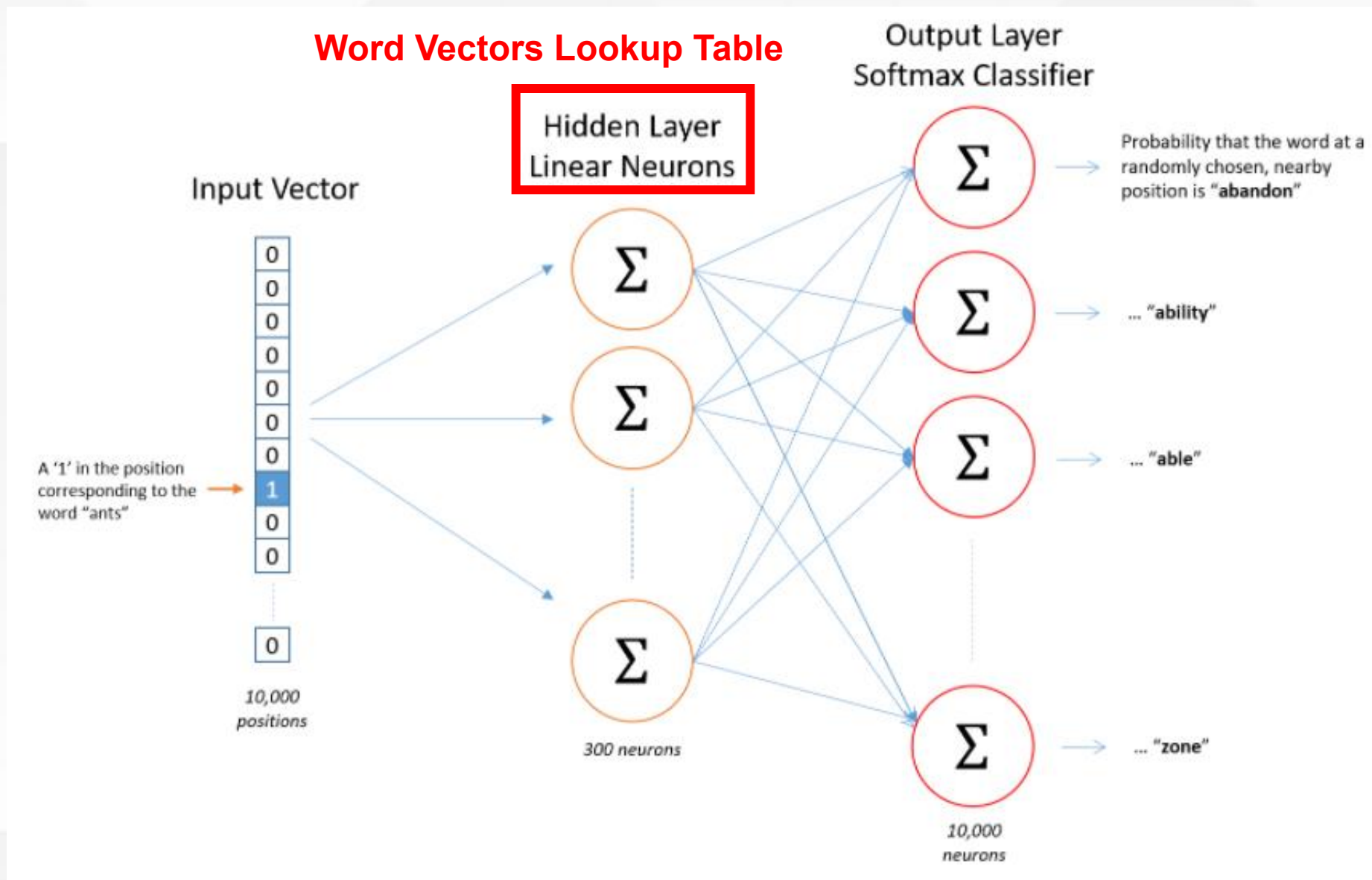




# 2.1 Skip-gram



## Architecture of the neural network



# 2.1 Skip-gram

## Gradients

Objective:

$$J(\theta) = -\frac{1}{T} \sum_{t=1}^T \sum_{-m \leq j \leq m, j \neq 0} \log P(w_{t+j} | w_t; \theta)$$

For center words:  
(center word)

$$\frac{\partial J(\theta)}{\partial V_c} = -u_o + \sum_{w=1}^V P(w|c) u_w$$

For other words:  
(context word)

$$\frac{\partial J(\theta)}{\partial u_w} = P(w|c) v_c = \frac{\exp(u_w^T v_c)}{\sum_{i=1}^V \exp(u_i^T v_c)} v_c$$

For outside words:  
(context word)

$$\frac{\partial J(\theta)}{\partial u_o} = P(o|c) v_c - v_c = \left( \frac{\exp(u_o^T v_c)}{\sum_{i=1}^V \exp(u_i^T v_c)} - 1 \right) v_c$$

## 2.2 Subsampling & Negative Sampling

### Problems:

Lots of weights to train → slow to train & data hungry

$$|V| \times d$$

V-the vocabulary size, d-the dimension of the vector

### Solutions:

#### 1. Subsampling Frequent Words

Delete a word in the training text by a chance related to its frequency

#### 2. Negative Sampling

Each training sample only modify a small percentage of the weights, rather than all of them

## 2.2.1 Subsampling

**Idea:** Delete a word in the training text by a chance related to its frequency

Source Text	Training Samples
<div>The quick brown fox jumps over the lazy dog.</div> <div>The quick brown fox jumps over the lazy dog.</div>	<div>(the, quick)</div> <div>(the, brown)</div>
<div>The quick brown fox jumps over the lazy dog.</div> <div>The quick brown fox jumps over the lazy dog.</div>	<div>(quick, the)</div> <div>(quick, brown)</div> <div>(quick, fox)</div>
<div>The quick brown fox jumps over the lazy dog.</div> <div>The quick brown fox jumps over the lazy dog.</div>	<div>(brown, the)</div> <div>(brown, quick)</div> <div>(brown, fox)</div> <div>(brown, jumps)</div>
<div>The quick brown fox jumps over the lazy dog.</div> <div>The quick brown fox jumps over the lazy dog.</div>	<div>(fox, quick)</div> <div>(fox, brown)</div> <div>(fox, jumps)</div> <div>(fox, over)</div>

Common words will:

1. Give less meanings
2. Appear too many times

## 2.2.1 Subsampling

**Idea:** Delete a word in the training text by a chance related to its frequency

The code given us has the sampling rate:

$$P_{reject}(w_i) = \max \left( 0, 1 - \sqrt{\frac{10^{-5} \times M}{freq(w_i)}} \right)$$

$M$ -the total number of the word tokens in the document  
 $freq(x)$ -the count of the word token in the document

## 2.2.2 Negative Sampling

**Idea:** train **binary logistic regressions** for a true pair (center word and word in its context window) versus several noise pairs (the center word paired with a random word)

$$J(\theta) = -\log(\sigma(u_o^T v_c)) - \sum_{w=1}^K \log(\sigma(-u_w^T v_c))$$

**Picking Probability:**

$$P(w_i) = \frac{f(w_i)^{3/4}}{\sum_{j=1}^M (f(w_j)^{3/4})}$$

$M$ -the total number of the word tokens in the document

$f(x)$ -the count of the word token in the document

## 2.2.2 Negative Sampling

### Gradients

Objective:

$$J(\theta) = -\log(\sigma(u_o^T v_c)) - \sum_{w=1}^K \log(\sigma(-u_w^T v_c))$$

For center words:  
(center word)

$$\frac{\partial J(\theta)}{\partial v_c} = [\sigma(u_o^T v_c) - 1] u_o + \sum_{w=1}^K [1 - \sigma(-u_w^T v_c)] u_w$$

For other words:  
(context word)

$$\frac{\partial J(\theta)}{\partial u_w} = [1 - \sigma(-u_w^T v_c)] v_c$$

For outside words:  
(context word)

$$\frac{\partial J(\theta)}{\partial u_o} = [\sigma(u_o^T v_c) - 1] v_c$$

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  - Algorithm Pipeline
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# 3.1 Findings in Algorithm Pipeline

**Preprocessing (pre-splitted data)**

**Word2Vec Training:**

**Minibatch (size-50)**

**Window Size resample (speed up training?)**

**Sentiment Classification:**

**Minibatch (size-50)**

**Find best regularization value**

## 3.2 Findings in the preprocess

### data\_utils.py

```
def getRandomContext(self, C=5):
    allsent = self.allSentences()
    sentID = random.randint(0, len(allsent) - 1)    # 随机选了一个句子
    sent = allsent[sentID]
    wordID = random.randint(0, len(sent) - 1)      # 随机选了一个单词

    context = sent[max(0, wordID - C):wordID]
    if wordID+1 < len(sent):
        context += sent[wordID+1:min(len(sent), wordID + C + 1)]

    centerword = sent[wordID]
    context = [w for w in context if w != centerword]    # 提取centerwords

    if len(context) > 0:
        return centerword, context
    else:
        return self.getRandomContext(C) # 为空则直接重新生成一遍
```

## 3.2 Findings in the preprocess

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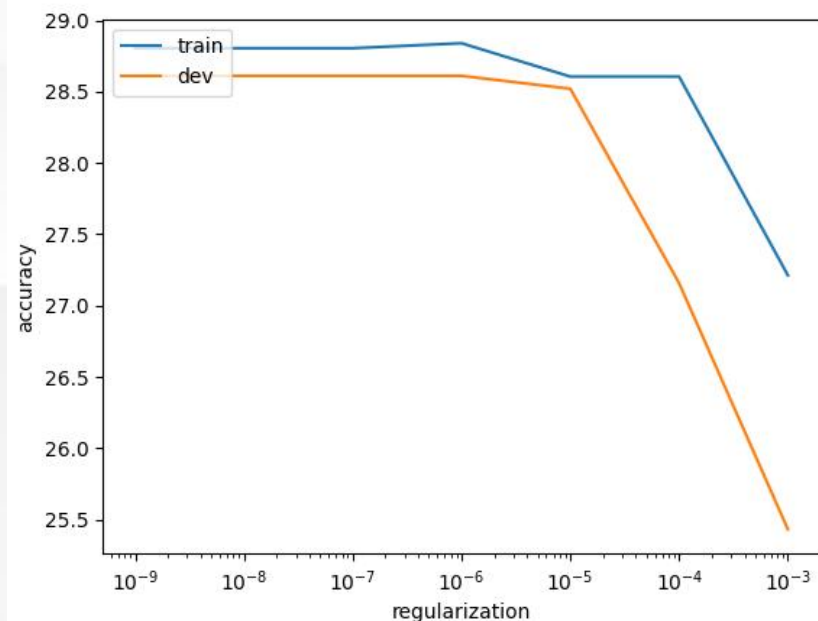
应该保留context word中和center word相同的词？

# Outline

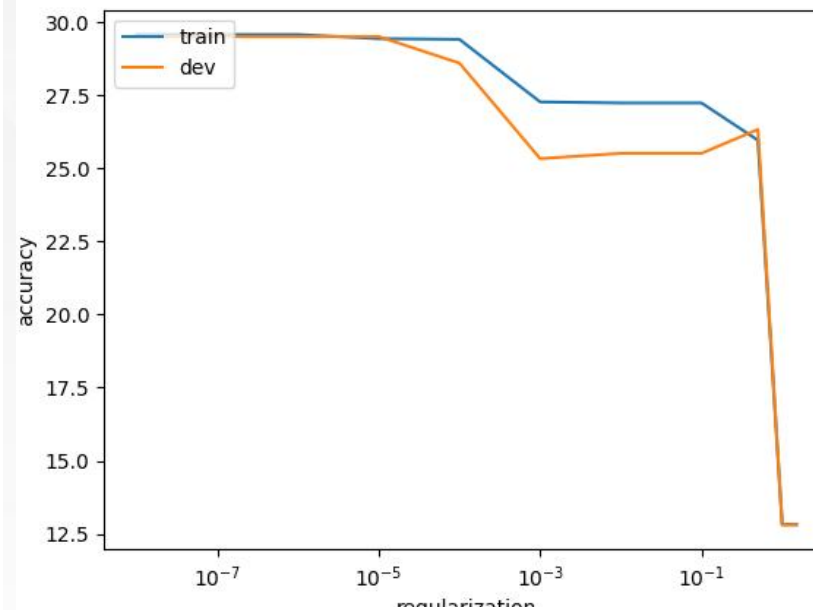
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# 4.1 Experiment Results

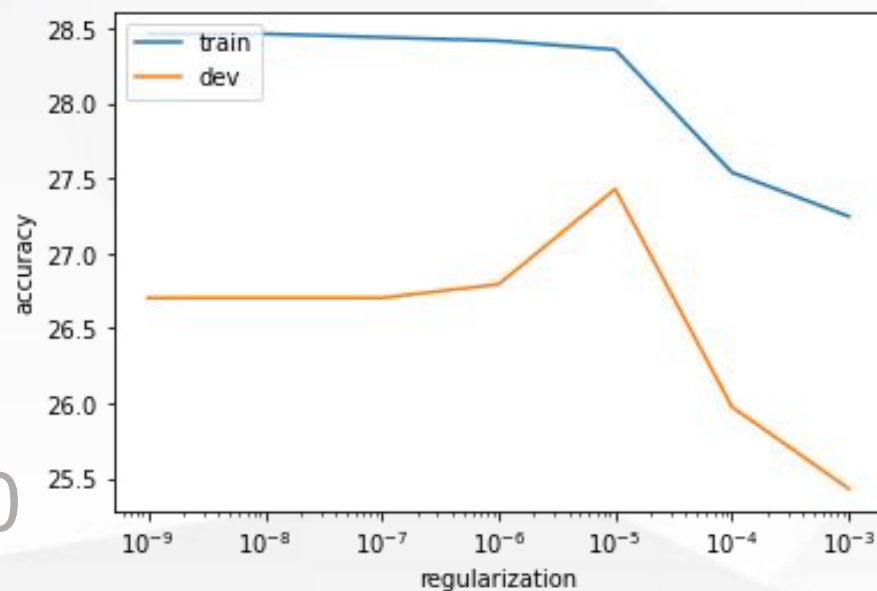
C 5 dim 10



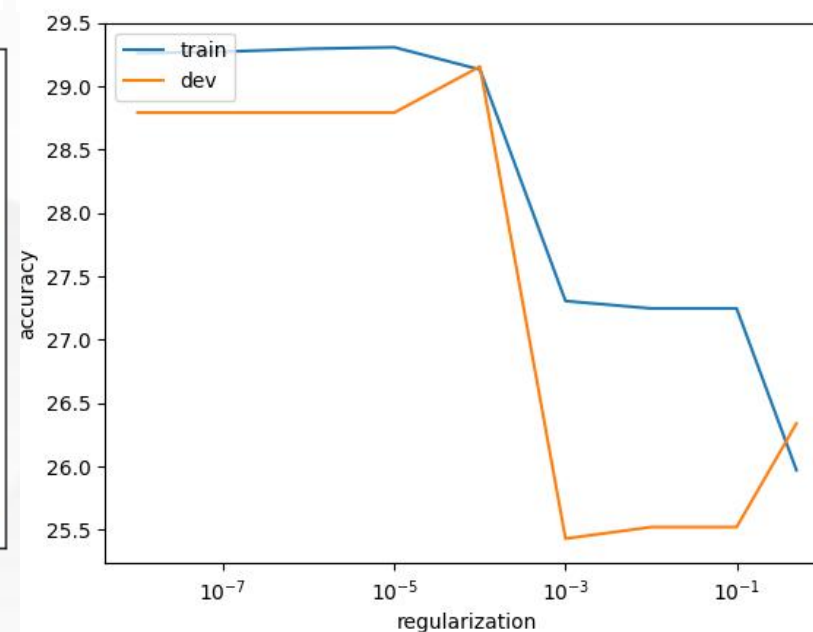
C 6 dim 10



C 9 dim 10

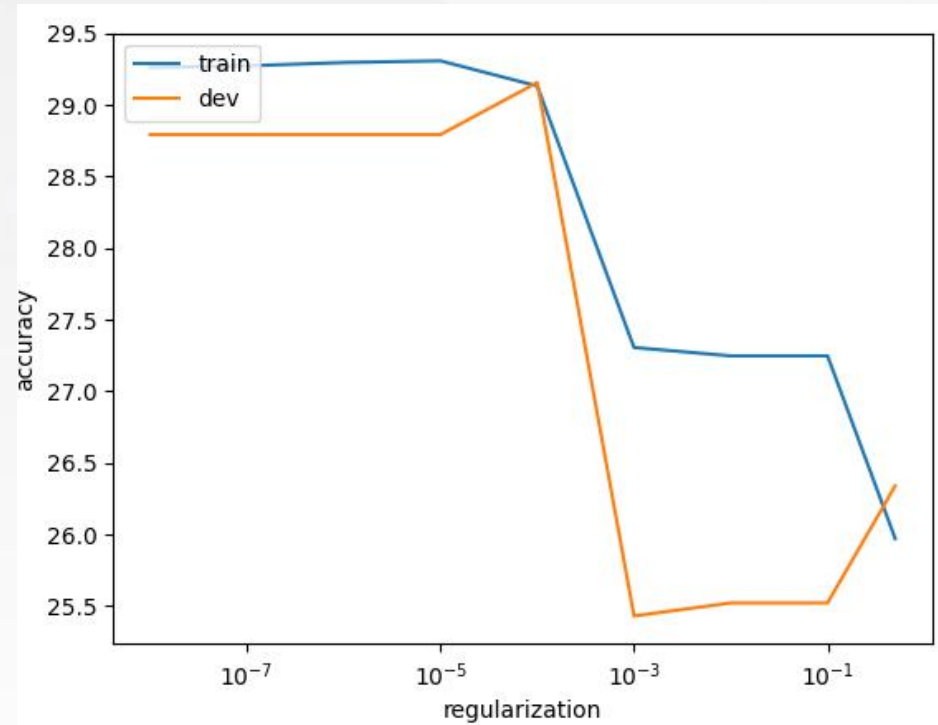


C 10 dim 10

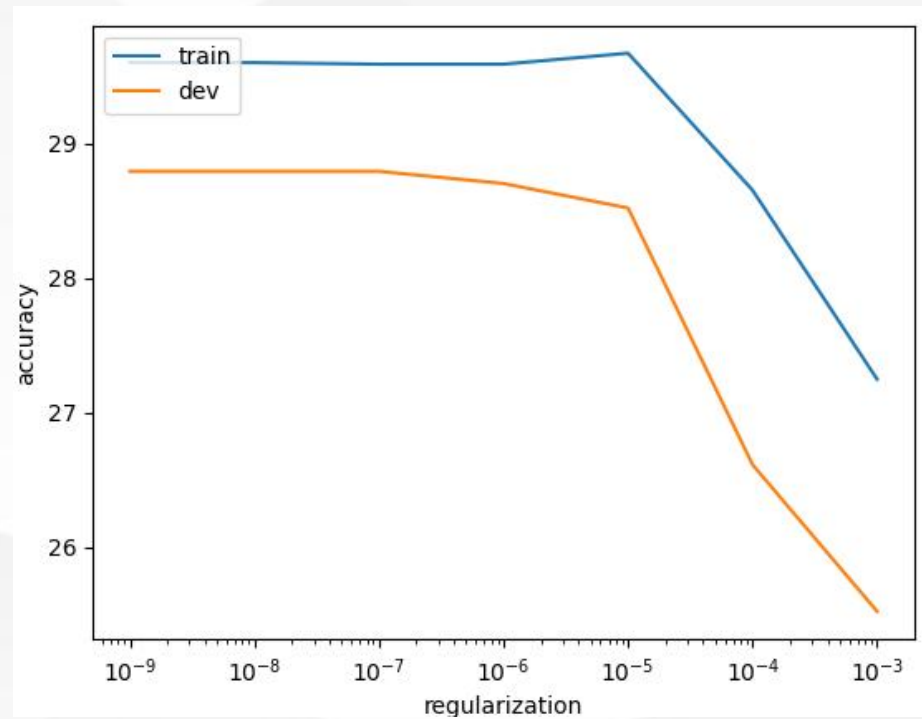


# 4.1 Experiment Results

C 10 dim 10

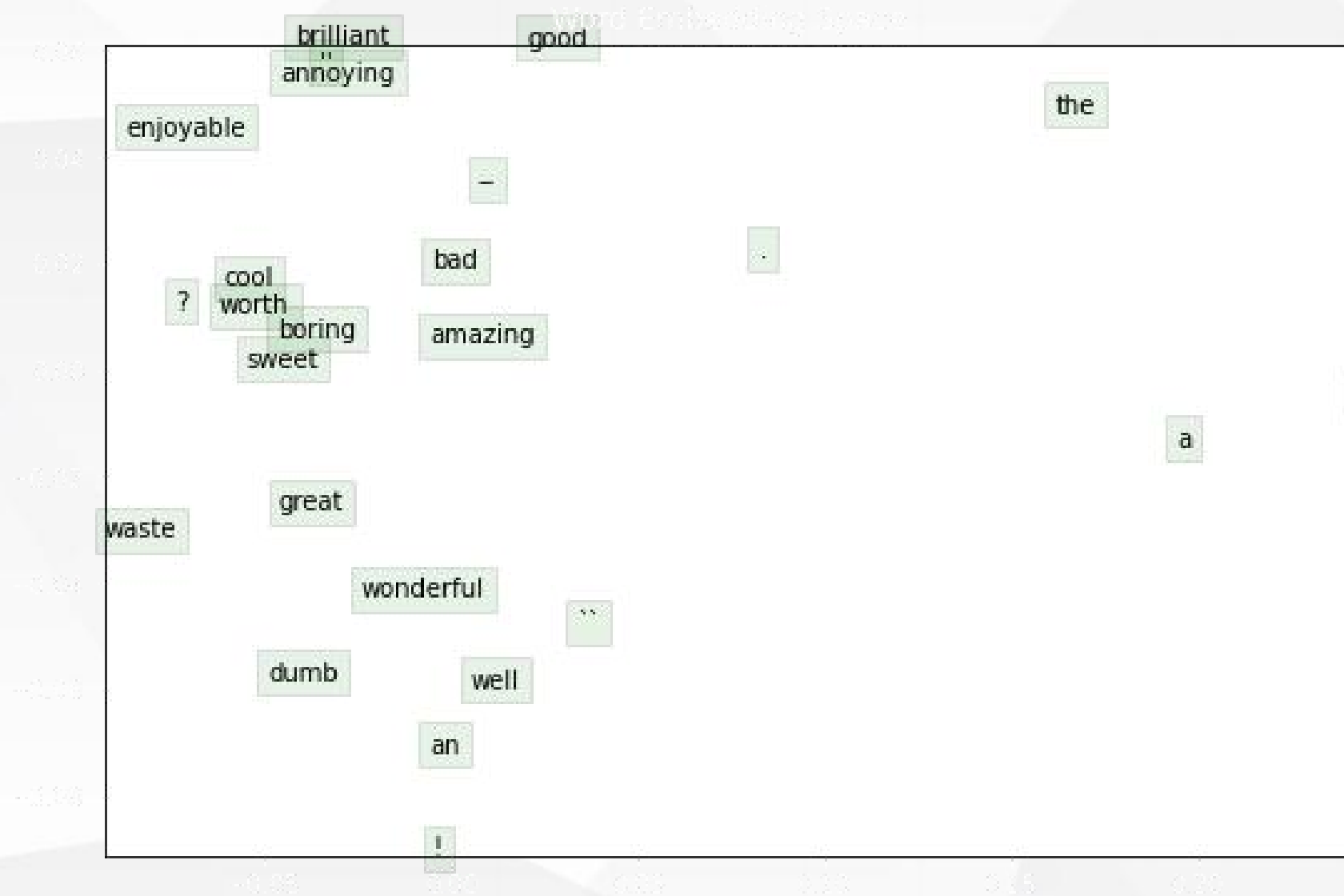


C 10 dim 20



# 4.1 Experiment Results

C 5 dim 10

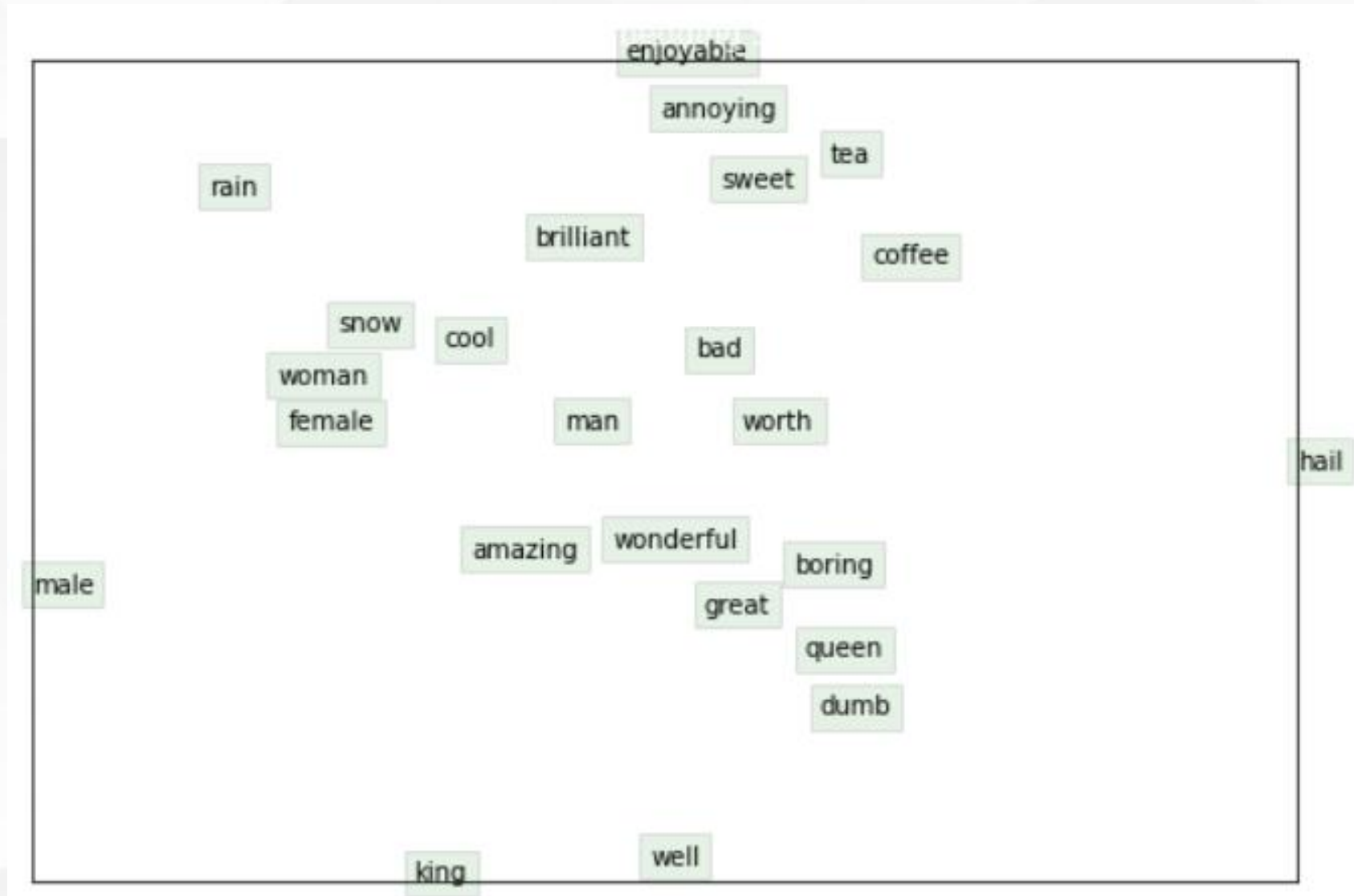




# 4.1 Experiment Results



C 7 dim 10

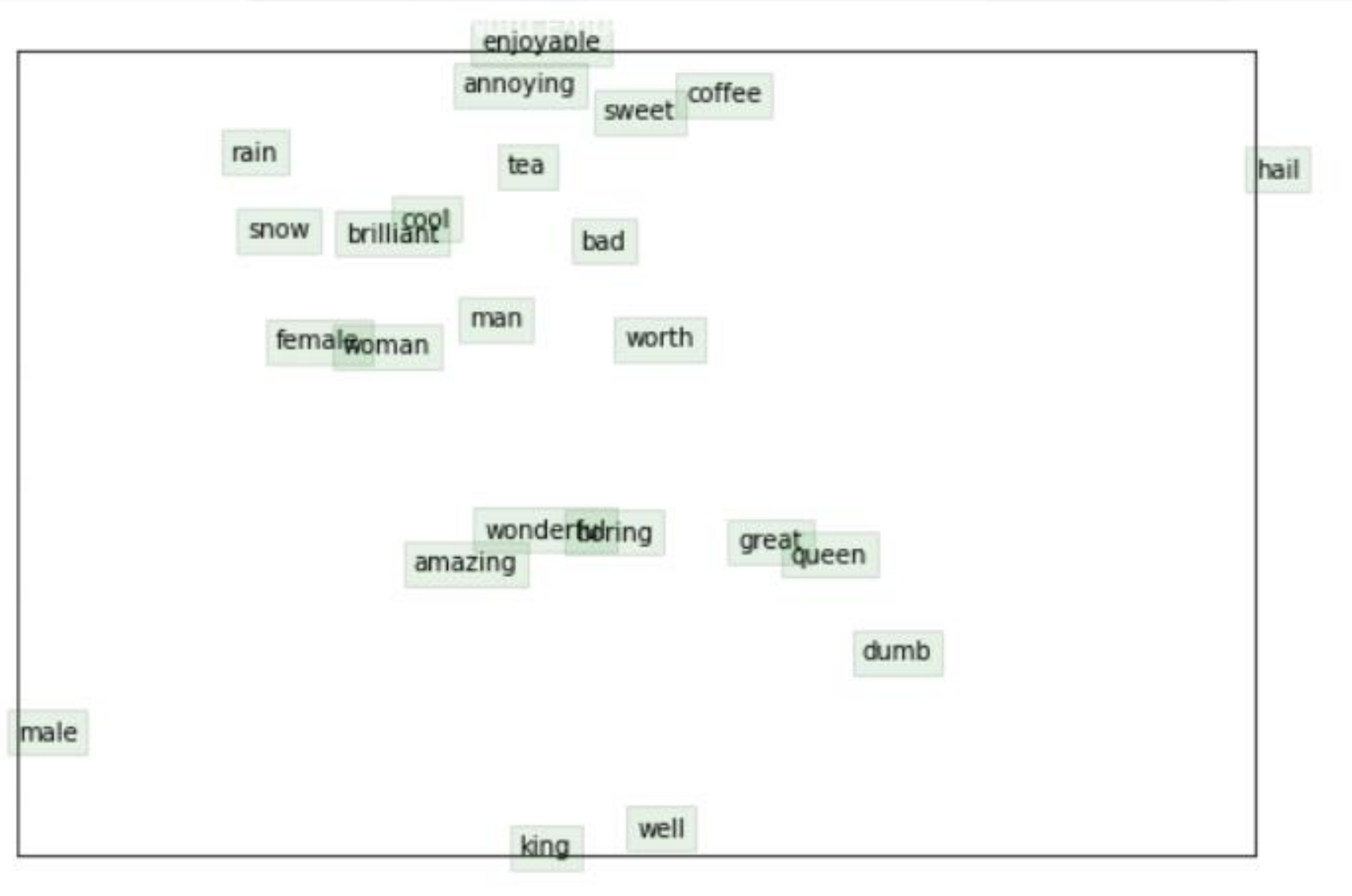




# 4.1 Experiment Results

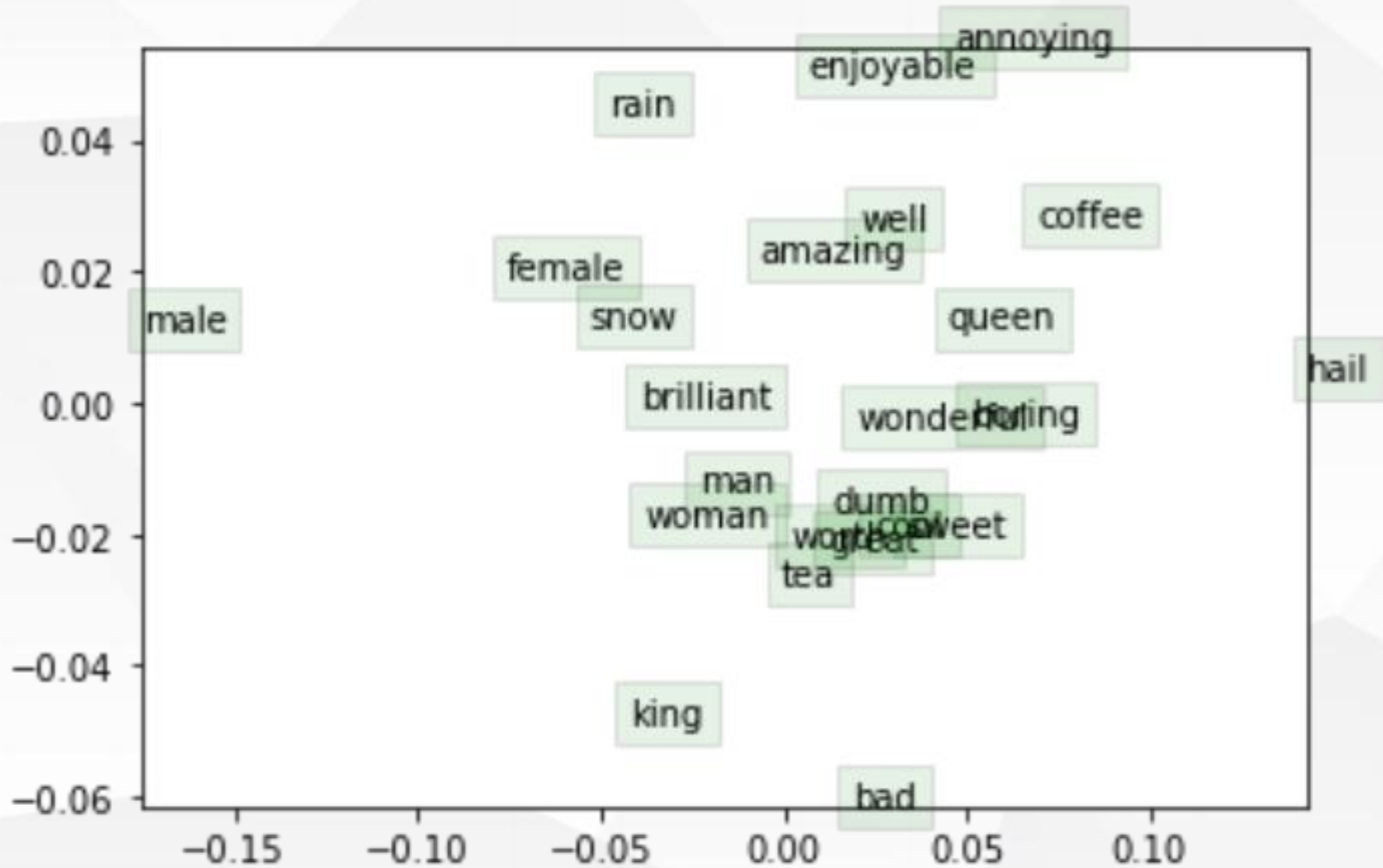


C 8 dim 10



# 4.1 Experiment Results

C 10 dim 20



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# 5.1 Thoughts

## Regularization Coefficient

Trade-off between fitting the data well and avoiding overfitting.

We use **L2-norm** as our regularization term, which shrinks the weights towards zero without necessarily making them zero.

Larger RCs **penalize** more on the **model complexity**, which is expected to gain better generalization performance.

# 5.1 Thoughts

## Why two vectors?

1. Simplify the calculation of the gradient for each word
2. Similarity representation: Vector Inner Product

## Why performed poorly?

Our model only trained small window size and small vector dimension.

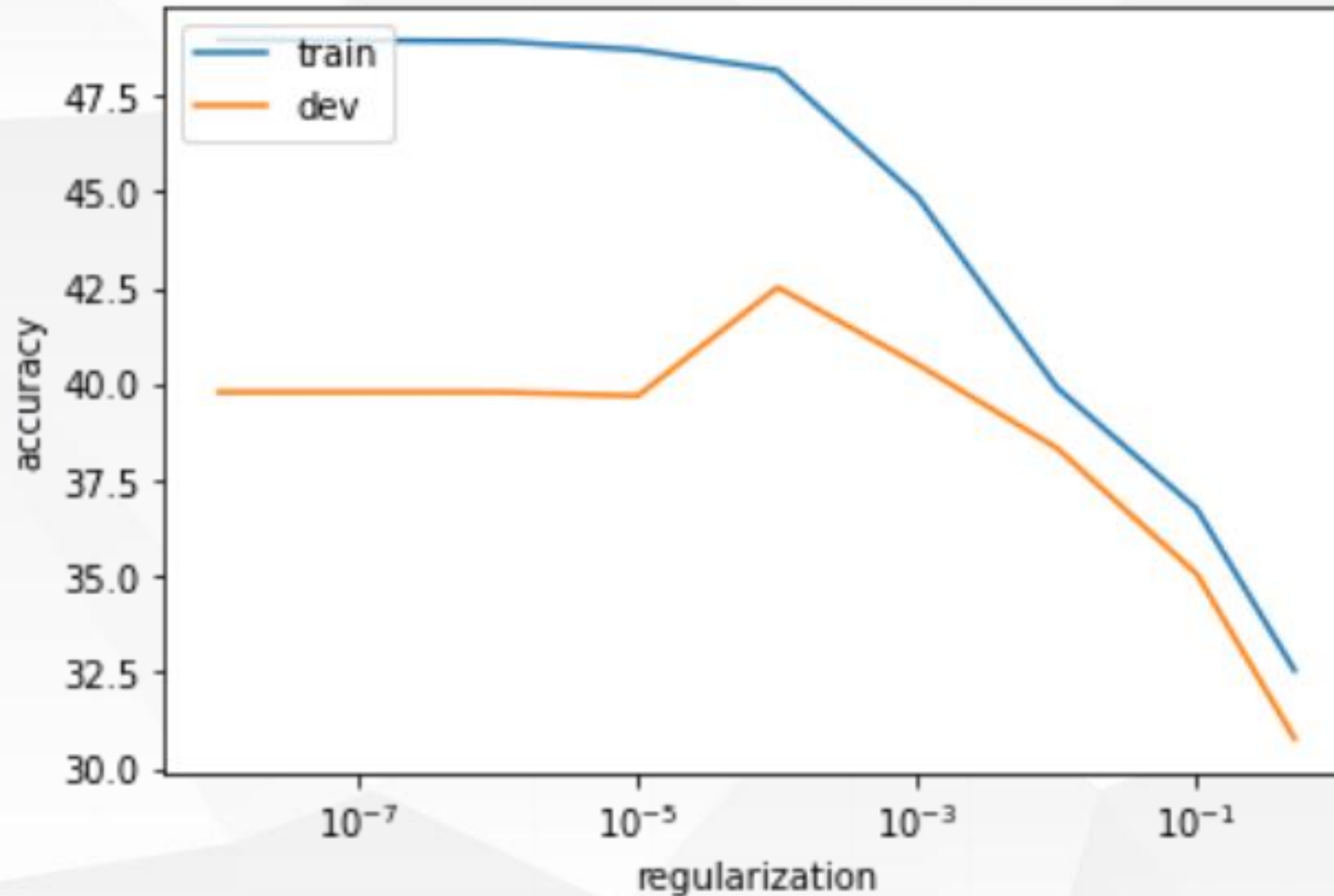
Other common word representations like BOW or N-gram models often have large vector dimension.

## Comparison Experiment:

Try Pre-trained Word2Vec vectors  
(or other vector models? E.g. GloVe)

## 5.2 Pretrained Model Results

Word2Vec (300 dimension) pre-trained on Google news



The background of the slide features a large, light blue watermark of the Tsinghua University seal. The seal is circular, with the English text "TSINGHUA UNIVERSITY" at the top and "1905" at the bottom. In the center, there are Chinese characters "清華大學" (Qinghua University).

Thanks