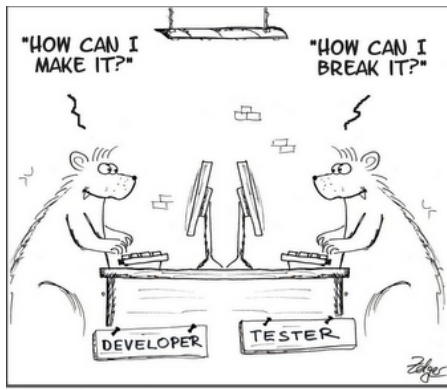


# Software Testing for Continuous Delivery

Seminar 12: Reliability (con't) & Types of Testing

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October 09, 2019



They are not so much different,  
but they have different path for the same goal,  
to improve quality!!

# Testing Perspectives

**“developer”** - person whose primary responsibility is to write source code - the output of the developers should be working software, not just something that compiles



## Developer Testing (developer mind-set)

taking ownership of the quality of the produced code, instead of expecting that someone else will test it

Developer testing is an umbrella term for all test-related activities a developer engages in

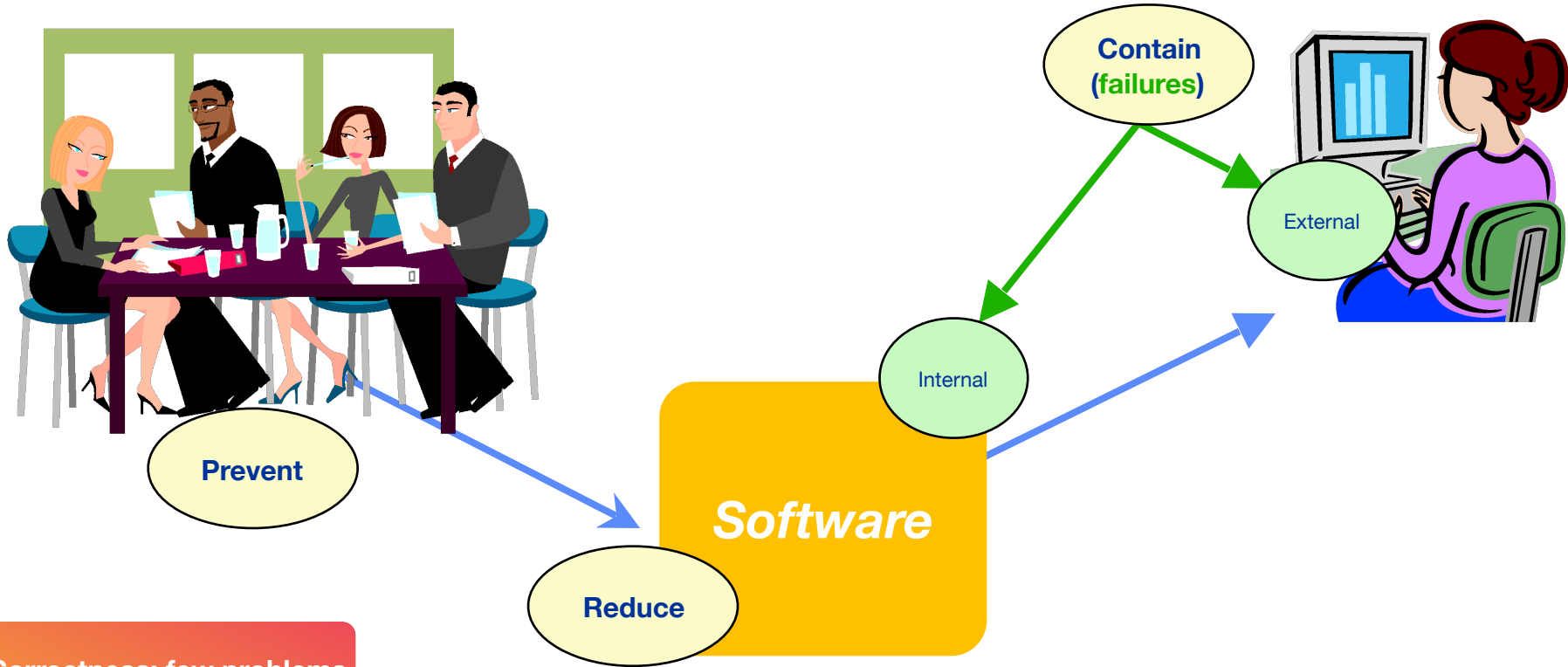
To build - **Testing to Support**



## Software Testing (tester mind-set)

investigating how the product might fail

To break - **Testing to Critique**



Correctness: few problems  
with limited damage to  
customers

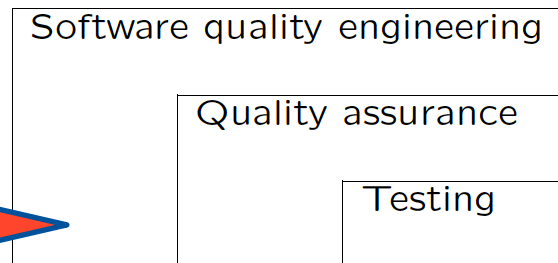
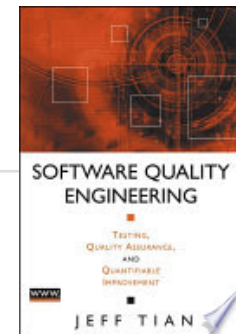
# Quality Assurance

To ensure that few, if any, defects remain in the software when it is delivered to its customers or released to the market

\*RECAP\*

# Software Quality Engineering

- Goal - Ensure software quality
- Premise - Quality cannot be achieved by assessing an already completed product. The aim, therefore, is to **prevent quality defects** or deficiencies in the first place, and to make the products assessable by quality assurance **measures**
  - Defined: **Quality assurance** is the systematic activities providing evidence of the fitness for use of the total software product
- QE Outcome
  - Learn from and package experiences to improve process



# Reliability

- **Reliability:** Probability of failure-free operation for a specific period or a given set of input under a specific environment
- **Accomplished through**
  - availability, latency, performance, efficiency, change management, monitoring, emergency response, and capacity planning
- **Key Measures - System Quality**
  - Mean-time-to-**Failure** (**MTTF**) - how often does the thing stop working
  - Mean-time-to-**Repair** (**MTTR**) - once it stops working, how long does it take until you fix it

# What is Site (system) Reliability Engineering?

- Assigned Reading:  
“What is Site Reliability Engineering?”
  - <https://landing.google.com/sre/interview/ben-treynor.html>

“Fundamentally, it’s what happens when you ask a software engineer to design an operations function.”

— Ben Treynor, VP Engineering, Google

# Defect Reduction: **Testing**

- **Execution of software and checking results**
  - Locates failures
  - Isolate and fix the fault(s) that led to the failure
- **When to test**
  - **Need some executable**
  - Unit tests --> components —> integration, system --> acceptance test of entire system
  - Alpha & Beta testing
  - Can also use prototypes
- **Questions:**
  - **What to test? When to stop? Lots more...**
  - checklists, coverage information, usage scenarios, reliability...others (*next topics*)

# Defect Resolution

- Actions agreed upon and impact / priority determined
- Defect logging & tracking
- Consistent defect interpretation & tracking
- Timely defect reporting (used to monitor and control projects)
- Learn from past problems (locations in the code, defect types, developer issues)
- Developer Issues - conceptual mistakes, unfamiliarity with domain, inexperience with methods,



# Ad-Hoc Testing

- Ad-hoc testing
  - “run-and-observe”
  - Implicit checklists may be involved
- Drawbacks
  - Lack of structure
  - Likely to miss
  - Likely to repeat oneself
  - In general, the whole process is hard to repeat
- One way to structure is to build a checklist

# Systematic Testing: Checklists

- “systematic” → process is explicitly defined
  - Recall: how to achieve software quality (SE)
- Testing with checklists
  - List of items that must be tested → Each item is “checked off” → When list is complete, testing is done
- Examples
  - Functional (black-box)
  - System elements (white-box)
  - Structures (implementation/white-box)
  - Properties (black-box or white-box)



# Functional Checklists: Exercise

- Function/feature (external) checklists
  - Black-box in nature
  - List of major functions that are expected
- An example high-level functional checklist for an ATM

- Card insertion & rejection
- Password management
- Envelope and printing
- Abnormal termination
- Backup and restore
- Commit and rollback
- Locking
- Logging and recovery
- Migration
- Stress
- ...

# Implementation Checklists: Example

- What are the different forms of implementation (internal) checklists
  - White-box in nature but at varying levels of abstraction
    - E.g., lists of modules/components/etc. - Module interaction patterns
- Example: coding standard
  - Naming conventions: to improve software maintainability
    - Functional (black-box)
  - E.g., standard items (in concurrency control)
    - ACID (atomicity, consistency, isolation, durability)
    - Locking (e.g., read-lock, write-lock, two-phase)
    - Optimistic vs. pessimistic
    - Serialization (timestamp ordering, commit ordering, etc.)



# Testing for Partition Coverage

## Sensitize test cases

- i.e., defining **specific input variables** and associated values to exercise certain parts of the program in the white-box view or to perform certain functions in the black-box view
- e.g., function `add(int a, int b)`
- considering valid/invalid input values of *a* and *b*
- How many cases are in exhaustive test?

Test Case	Condition		Input	
	<i>int a</i>	<i>int b</i>	<i>a</i>	<i>b</i>
1	False	False	3.2	-0.4
2	False	True	"UF"	2
3	True	False	7	3/4
4	True	True	-9	-2

# Partitions: Formal Definitions

- A set  $S$  contains a list of unique elements
- A partition of  $S$  creates subsets  $G_1, G_2, \dots, G_n$  such that

- Sets are mutually exclusive  $\forall i, j, i \neq j \Rightarrow G_i \cap G_j = \emptyset$

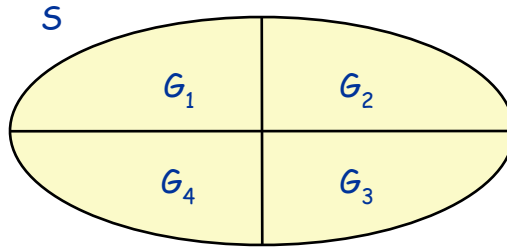
**Better efficiency**  
(eliminate “duplicates”)

- Sets are collectively exhaustive  $\bigcup_{i=1}^n G_i = S$

**Better coverage**  
(eliminate “holes”)

- Each  $G_1 \dots G_n$  in a partition is called an **equivalence class**, where the specific relation that is used to define the subsets is:
  - Reflexive - holds on every member by itself
  - Symmetric - holds if order is change
  - Transitive - holds in a relation chain

# Partitions



$$\forall i, j, i \neq j \Rightarrow G_i \cap G_j = \emptyset$$

$$\bigcup_{i=1}^n G_i = S$$

x is a natural number AND  $x \leq 100$  // x represents "grade"

If we're dealing with  
"A" students most  
of the time...

Are the following partitions?

$[0, 50)$  and  $[50, 100]$

$[0, 60)$ ,  $[60, 70)$ ,  $[70, 80)$ ,  $[80, 90)$ ,  $[90, 100]$

Subset-even =  $\{0, 2, 4, \dots, 100\}$  and Subset-odd =  $\{1, 3, 5, \dots, 99\}$

# Partitions-Based Testing

## ■ Basic ideas:

- Members in equivalence class are treated “equivalent” —> Defining meaningful partitions
- Sampling from partitioned subsets for different types of partitions
- Coverage of partitions: uniform

## ■ Different types of partitions

- Pure membership based partitions:
  - E.g., components in a subsystem
  - direct sampling, e.g., one component from each subsystem for coverage
- Properties/relations used in definitions:
  - direct predicates on logical variables, e.g.,  $P \ \&\& \ Q$
  - vs. operations on numerical variables, e.g.,  $x \leq 100$
- Combinations
  - E.g., non-negative integers less than 21



# UBST (Usage-Based Statistical Testing)

- UBST ensures reliability
- Reliability: Customer view of quality
  - Probability: statistical modeling
  - Time/input/environment: OP
- **OP: Operational Profile**
  - Quantitative characterization of the way a system will be used
  - Generate/execute test cases for UBST
  - Realistic reliability assessment - development decisions/priorities

# OP (Operational Profile)

- **Definition:** a list of disjoint set of operations and their associated probabilities of occurrence
- A quantitative way characterization of the way a software system is or will be used
- Obtained via measurement, survey, & expert opinion
- Operations: multiple possible test cases or multiple runs
  - Each operation corresponds to an individual sub-domain in domain partitions, thus representing a whole equivalence class.



John D. Musa (RE)  
Pioneer

## Telemetry

### Enable Crash Reporter

- ☒ Enable crash reports to be sent to a Microsoft online service. This option requires restart to take effect.

### Enable Telemetry

- ☒ Enable usage data and errors to be sent to a Microsoft online service.

Source: IEEE Software, <http://www.computer.org/portal/web/csdl/doi/10.1109/MS.2009.132> & Google Images

# Usage-Based (Statistical) Testing

- **Usage-based statistical testing (UBST)**

- Actual usage and scenario/information
- Captured in operational profiles (OPs)
- Simulated in testing environment
  - (too numerous → random sampling)
- Example: (myCourses / beta-testing: add a course; delete a course; produce report...

- **Applicability**

- Final stages of testing
- Particularly system/acceptance testing
- Use with software reliability engineering

- **Termination criteria: reliability goals**

# Coverage-Based Testing

- **Coverage-based testing (CBT)**

- Systematic testing based on formal models and techniques
- Testing models based on internal details or external expectations
- Coverage measures defined for models - Testing measured by coverage goals
- Example: (myCourses / unit-testing: post announcement & set receiver role(s); set up submission deadline; ...)

- **Applicability**

- All stages of testing - Particularly unit and component testing
- Later phases at high abstraction levels
- Termination criteria: coverage goals

# Comparing BBT with WBT

	BBT	WBT
<b>Perspective</b>	external behavior (functional)	internal implementation (structural)
<b>Defect Focus</b>	failures	faults
<b>Scale</b>	large software (as a whole)	small objects (looking inside)
<b>Timeline</b>	later (e.g., acceptance testing)	earlier (e.g., unit testing)
<b>Tester</b>	IV&V	developers themselves

# Comparing UBST with CBT

	UBST / BBT	CBT / WBT
<b>Perspective</b>	external behavior (functional)	internal implementation (structural)
<b>Stopping Criteria</b>	reliability goals	coverage goals
<b>Scale</b>	large software (as a whole)	small objects (looking inside)
<b>Timeline</b>	later (e.g., acceptance testing)	earlier (e.g., unit testing)
<b>Tester</b>	IV&V	developers themselves