

Operating System: Swapping Policies

Sang Ho Choi (shchoi@kw.ac.kr)
School of Computer & Information Engineering
KwangWoon University

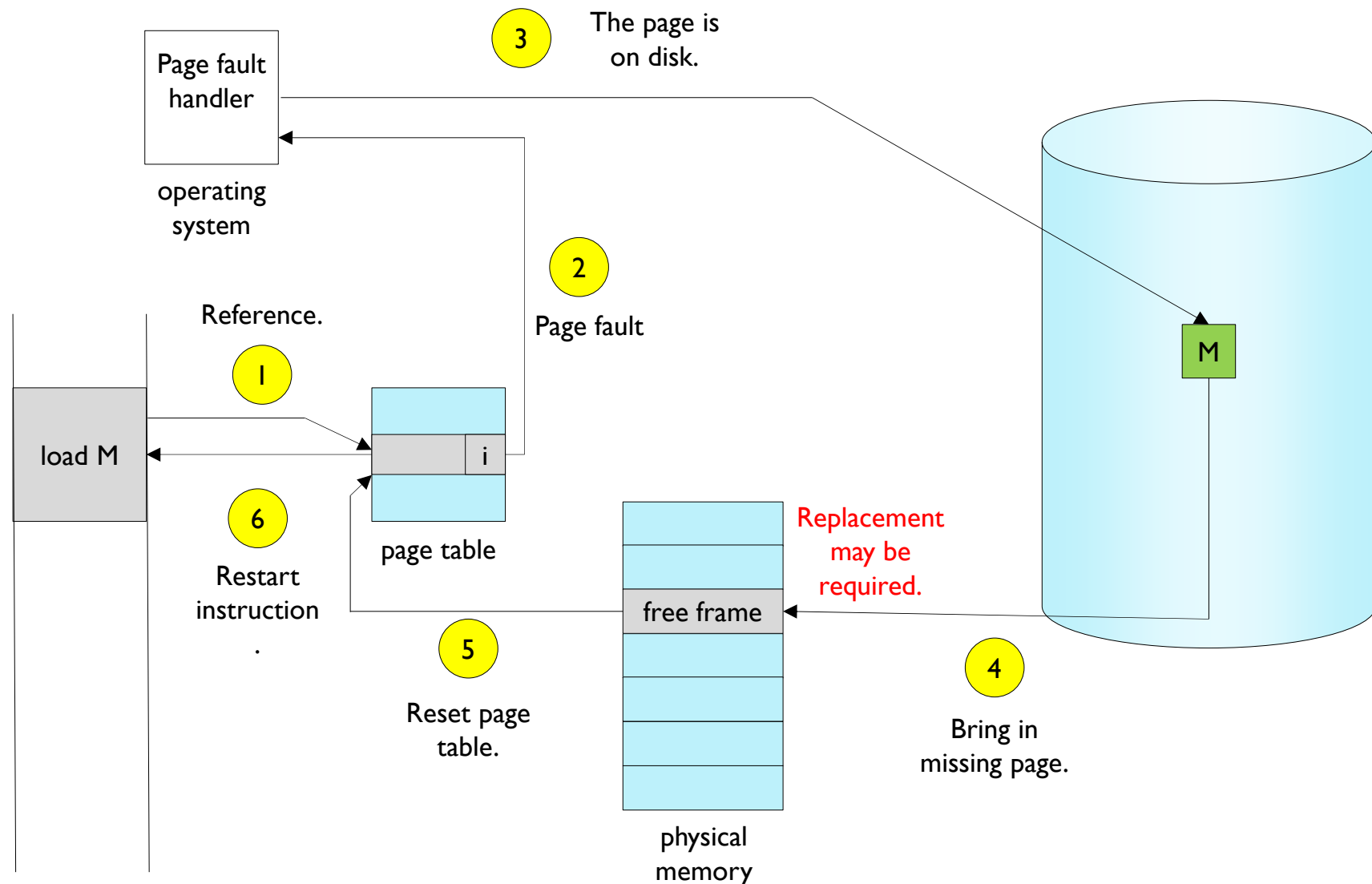
Beyond Physical Memory: Policies

- Memory pressure forces the OS to start **paging out** pages to make room for actively-used pages
- Deciding which page to evict is encapsulated within the replacement policy of the OS

어떤 놈을
내보낼지 알아?

Demand Paging (review)

- Page fault handling



Demand Paging (Cont.)

- Page Fault Rate $0 \leq p \leq 1.0$
 - if $p = 0$, no page faults
 - if $p = 1$, every reference is a fault

- Effective Access Time (EAT)

- $EAT = (1 - p) \times \text{memory access time} + p \times \text{page fault service time}$

- Example

- Memory access time = 200 ns
 - Average page fault service time = 8 ms (=8,000,000ns)
 - $EAT = (1 - p) \times 200 + p \times 8,000,000 = 200 + p \times 7,999,800$
 - If one access out of 1,000 causes a page fault, then
 - ✓ $EAT = 8,200$ ns
 - ✓ This is a slowdown by a factor of 40

- It is important to keep the page fault rate low
 - Good page replacement policy is required

여기서 하고 싶은 말은
page fault rate이
낮으면 낮을수록 EAT를
낮출 수 있으니 replacement policy가
중요하다는 것은 이 fault rate를
일차적으로
향상시키는 거임.



Page Replacement

- Page replacement
 - find some page not really in use → swap it out
 - Good page replacement algorithm
 - results in minimum number of page faults
- Locality of reference *Locality에 기반하여 일 쓰는 page를 예측*
 - Same pages may be brought into memory several times
 - A phenomenon observed in most programs in practice
 - A program behavior that intensively references only a small number of pages at a certain time
 - ex. loop
 - Reason for the better performance of the paging system

Page Replacement

- Ideal algorithm
 - gains the **lowest page-fault rate**
- Algorithm can be evaluated by
 - running it on a particular string of memory references,
 - **reference string** ~ 이진에시를 통해 평가
 - and computing **the number of page faults** on that string
- In some examples, the reference string is
 - 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

The Optimal Replacement Policy

이상적인 교체정책

- Leads to the fewest number of misses overall
 - Replaces the page that will be accessed furthest in the future 가장 나중에 방문할 페이지를 내쫓는 거임.
 - Resulting in the **fewest-possible** cache misses
결과적으로 가장 낮은 캐시 미스가 생기도록 되쫓게 이론적으로 가장 낮은 페이지 fault를 보이는 case를 만들고 이와 실제 구현되는 알고리즘과 비교하기 위함.
- Used for measuring how well your algorithm performs
 - Optimal algorithm presents **lower bound** of page
 - Serve only as a comparison point, to know how close we are to **perfect**

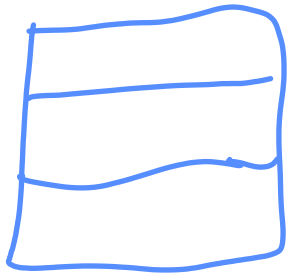
Tracing the Optimal Policy

빈통에 넣기 위해
조기에 생기는 미스~

Reference Row

0 1 2 0 1 3 0 3 1 2 1

cold-start miss



32바이트
22바이트

Access	Hit/Miss?	Evict	Resulting Cache State
0	Miss		0
1	Miss		0,1
2	Miss		0,1,2
0	Hit		0,1,2
1	Hit		0,1,2
3	Miss	2	0,1,3
0	Hit		0,1,3
3	Hit		0,1,3
1	Hit		0,1,3
2	Miss	3	0,1,2
1	Hit		0,1,2

Hit rate is $\frac{Hts}{Hts + Misses} = 54.6\%$

Future is not known



A Simple Policy: FIFO

- Pages were placed in a queue when they enter the system
- When a replacement occurs, the page on the tail of the queue(the "First-in" pages) is evicted
 - It is simple to implement, but can't determine the importance of blocks

Tracing the FIFO Policy

Reference Row

0 1 2 0 1 3 0 3 1 2 1



Access	Hit/Miss?	Evict	Resulting Cache State
0	Miss		0
1	Miss		0,1
2	Miss		0,1,2
0	Hit		0,1,2
1	Hit		0,1,2
3	Miss	0	1,2,3
0	Miss	1	2,3,0
3	Hit		2,3,0
1	Miss	2	3,0,1
2	Miss	3	0,1,2
1	Hit		0,1,2

cold-start miss 2회/4

$$\frac{4}{8} = 50\%$$

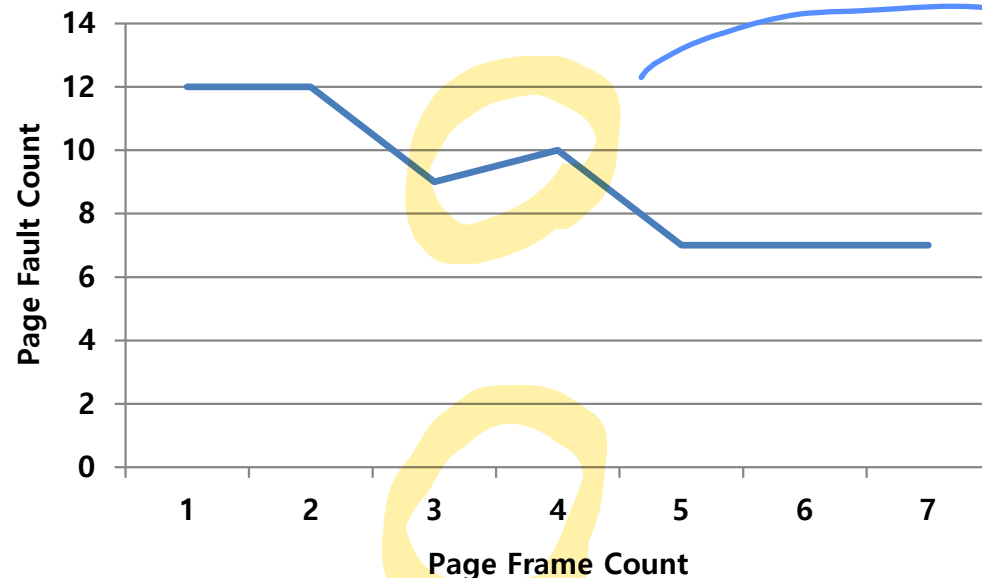
Hit rate is $\frac{H\text{ts}}{H\text{ts} + M\text{isses}} = 36.4\%$

Even though page 0 had been accessed a number of times, **FIFO still kicks it out**

BELADY'S ANOMALY

- We would expect the cache hit rate to **increase** when the cache gets larger. But in this case, with FIFO, it gets worse

Reference Row												
1	2	3	4	1	2	5	1	2	3	4	5	



Frame의 크기가
늘어남으로 fault가
늘어남
BELADY'S
ANOMALY
라고 함.



d) 이런 식의 일어나는 이유는 Stack에서는 Frame 수가 증가하면 그 증가된 Frame이 이전 크기의 Frame들 다 가지고 있는 형태임. 굳이 FIFO는 그렇지 않아서

1 2 3 4 5 생김.

frame이 개입을 거스르는 이전 크기의 윈도우가 2/3의 물체



Another Simple Policy: Random

가동 랜덤픽.

시스템관리 하원까지

안정성이 선호된
다른 관리정책에서는

그리 좋은
안고리점은

아님.

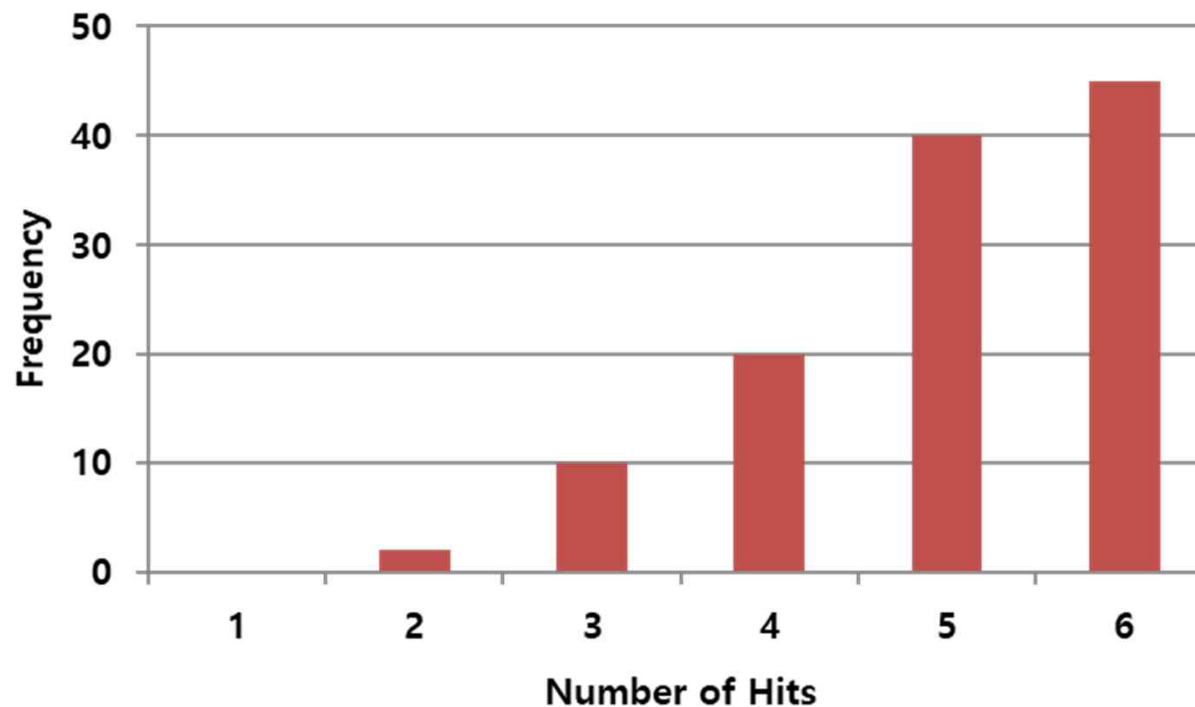
- Picks a random page to replace under memory pressure
 - It doesn't really try to be too intelligent in picking which blocks to evict
 - Random depends entirely upon how lucky Random gets in its choice

Access	Hit/Miss?	Evict	Resulting Cache State
0	Miss		0
1	Miss		0,1
2	Miss		0,1,2
0	Hit		0,1,2
1	Hit		0,1,2
3	Miss	0	1,2,3
0	Miss	1	2,3,0
3	Hit		2,3,0
1	Miss	3	2,0,1
2	Hit		2,0,1
1	Hit		2,0,1



Random Performance

- Sometimes, **Random** is as good as optimal, achieving 6 hits on the example trace



Random Performance over 10,000 Trials

Using History

이전을 보고

- Lean on the past and use history
 - Two type of historical information

Historical Information	Meaning	Algorithms
recency	The more recently a page has been accessed, the more likely it will be accessed again	LRU
frequency	If a page has been accessed many times, It should not be replcaed as it clearly has some value	LFU

가장 최근에 참조가 교체가 됨

least recent used

least frequently used

가장 자주 사용된 것이 교체가 됨



Using History : LRU

- Replaces the least-recently-used page

Reference Row

0 1 2 0 1 3 0 3 1 2 1

Access	Hit/Miss?	Evict	Resulting Cache State
0	Miss		0
1	Miss		0,1
2	Miss		0,1,2
0	Hit		1,2,0
1	Hit		2,0,1
3	Miss	2	0,1,3
0	Hit		1,3,0
3	Hit		1,0,3
1	Hit		0,3,1
2	Miss	0	3,1,2
1	Hit		3,2,1

Using History : LRU (Cont'd)

- Least Recently Used
 - Replace the page that has not been used for the longest time in the **past**
 - Use past to predict the future
 - cf. OPT wants to look at the future
 - With locality, LRU approximates OPT
 - “**Stack**” algorithm: does not suffer from Belady's anomaly 이거 안일어남.
 - Harder to implement: must track which pages have been accessed 접근했던 시간들 저장해야하니 구현이 어렵음.
 - Does not consider the frequency of page accesses
 - Does not handle all workloads well

Using History : LRU (Cont'd)

<Frame 745>



- Stack algorithms
 - Policies that guarantee increasing memory size does not increase the number of page faults (e.g. OPT, LRU, etc.)
 - Any page in memory with m frames is also in memory with $m+1$ frames (called **stack property**)

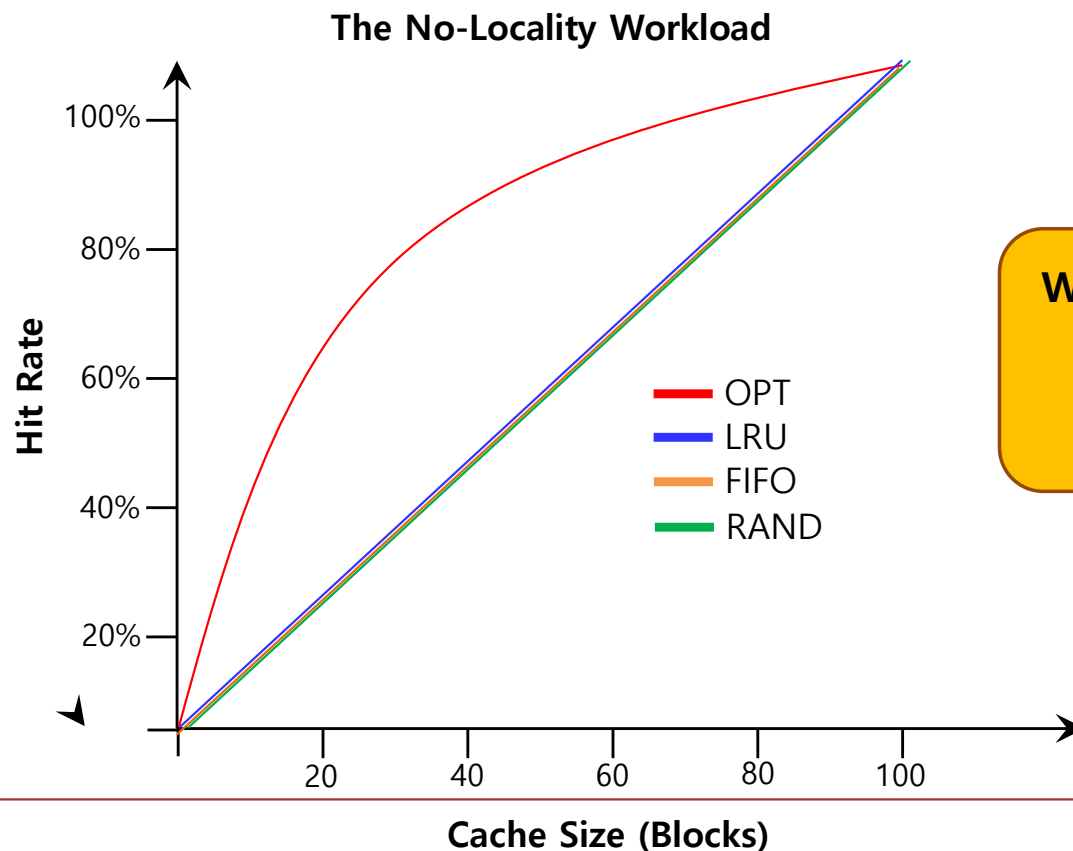
Reference:	1	2	3	4	1	2	5	1	2	3	4	5
<i>Stack distance:</i>	∞	∞	∞	∞	4	4	∞	3	3	5	5	5
	1	2	3	4	1	2	5	1	2	3	4	5
		1	2	3	4	1	2	5	1	2	3	4
			1	2	3	4	1	2	5	1	2	3
				1	2	3	4	4	4	5	1	2
							3	3	3	4	5	1
	Miss	Miss	Miss	Miss	Miss	Miss	Miss	Hit	Hit	Miss	Miss	Miss

PF rate
= 10 / 12



Workload Example : The No-Locality Workload

- Each reference is to a random page within the set of accessed pages
 - Workload accesses 100 unique pages over time
 - Choosing the next page to refer to at random

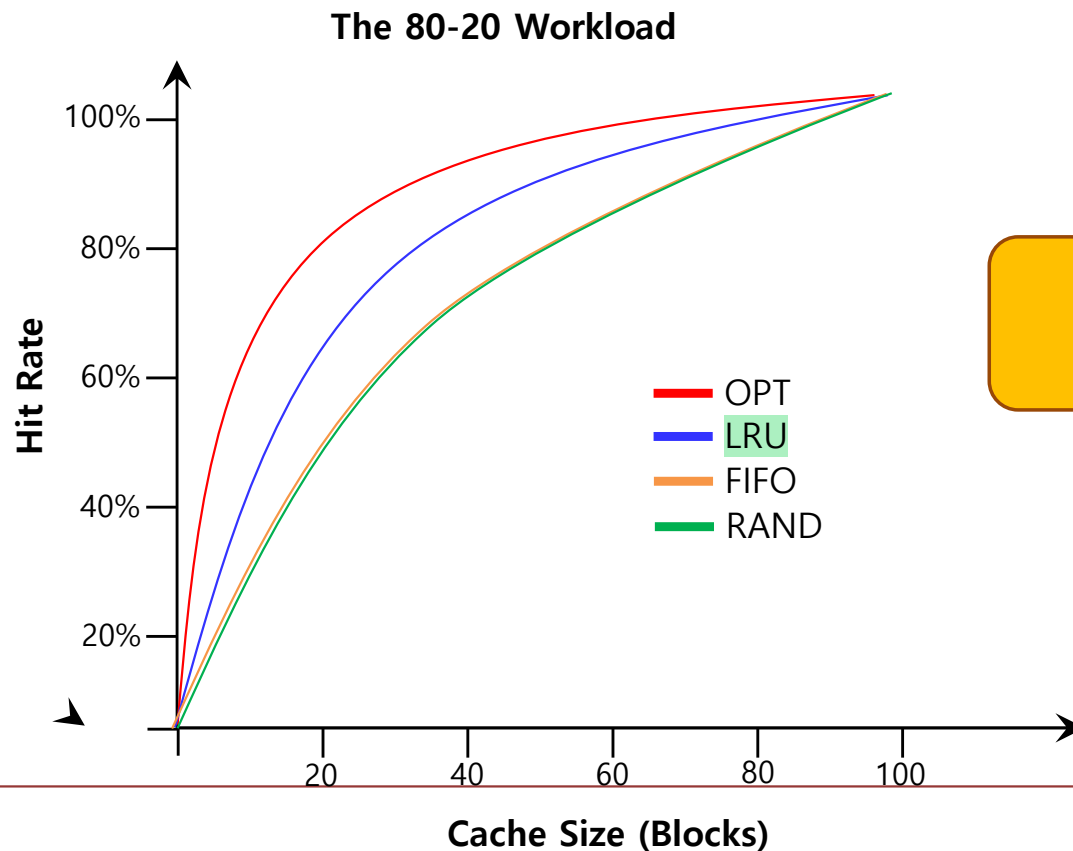


work load의 Locality가 없다면
인근 결과는 차이가 없다.

When the cache is large enough to fit the entire workload, it also **doesn't matter** which policy you use

Workload Example : The 80-20 Workload

- Exhibits locality: 80% of the **reference** are made to 20% of the page
- The remaining 20% of the **reference** are made to the remaining 80% of the pages



참조의 80%가 20%
page에/서있어난다
= Locality 고려.

LRU is more likely to
hold onto the **hot pages**

이런저런 LRU가 좋은
것임.

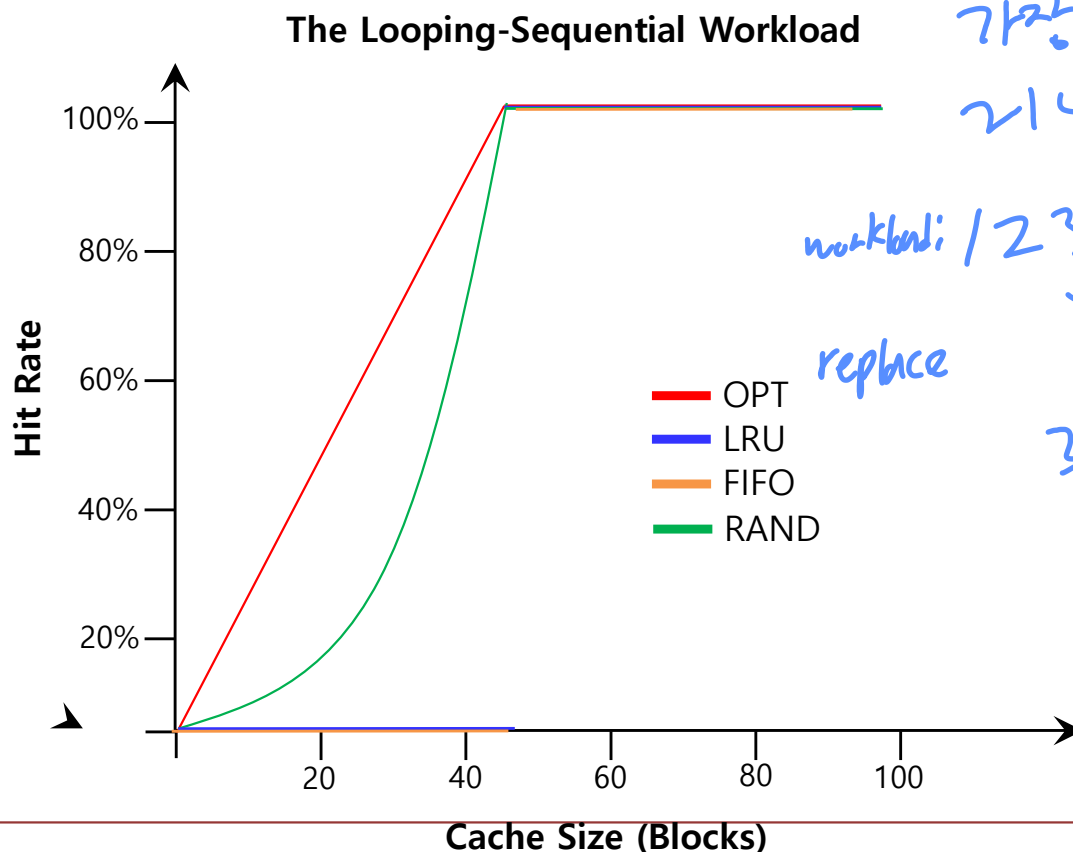
Workload Example : The Looping Sequential

- Refer to 50 pages in sequence

- Starting at 0, then 1, ... up to page 49, and then we Loop, repeating those accesses, for total of 10,000 accesses to 50 unique pages

workload에 따른 알고리즘의 선택이 필요.

주프를 순다고 생각하면 가장 예전 값을 계속 사용하는 리나 LRU 성능이 ↓



workload: 1 2 3 1 2 3 1 2 3 1 2 3

replace

1 2 3 1 2 3 1 2 3 1

주프를 순다고 생각하면 그 크기를 넘어서면

가진 0% 임. 슈팅.



Implementing Historical Algorithms

- To keep track of which pages have been least-and-recently used, the system has to do some accounting work on every memory reference
 - Add a little bit of hardware support

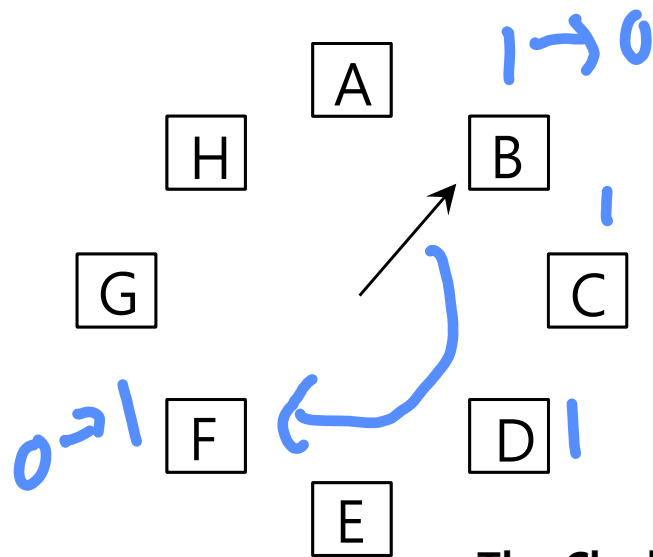
메모리 참조 기록을 저장할 비트
필요.

Approximating LRU

- Require some hardware support, in the form of a use bit
 - Whenever a **page is referenced**, the use bit is set by hardware to 1
 - Hardware **never** clears the bit, though; that is the responsibility of the OS
- Clock Algorithm
 - All pages of the system arranges in a circular list
 - A clock hand points to some particular page to begin with

Clock Algorithm

- The algorithm continues until it finds a use bit that is set to 0



시간 기록 X
참조 여부만

LRU와
동일 X

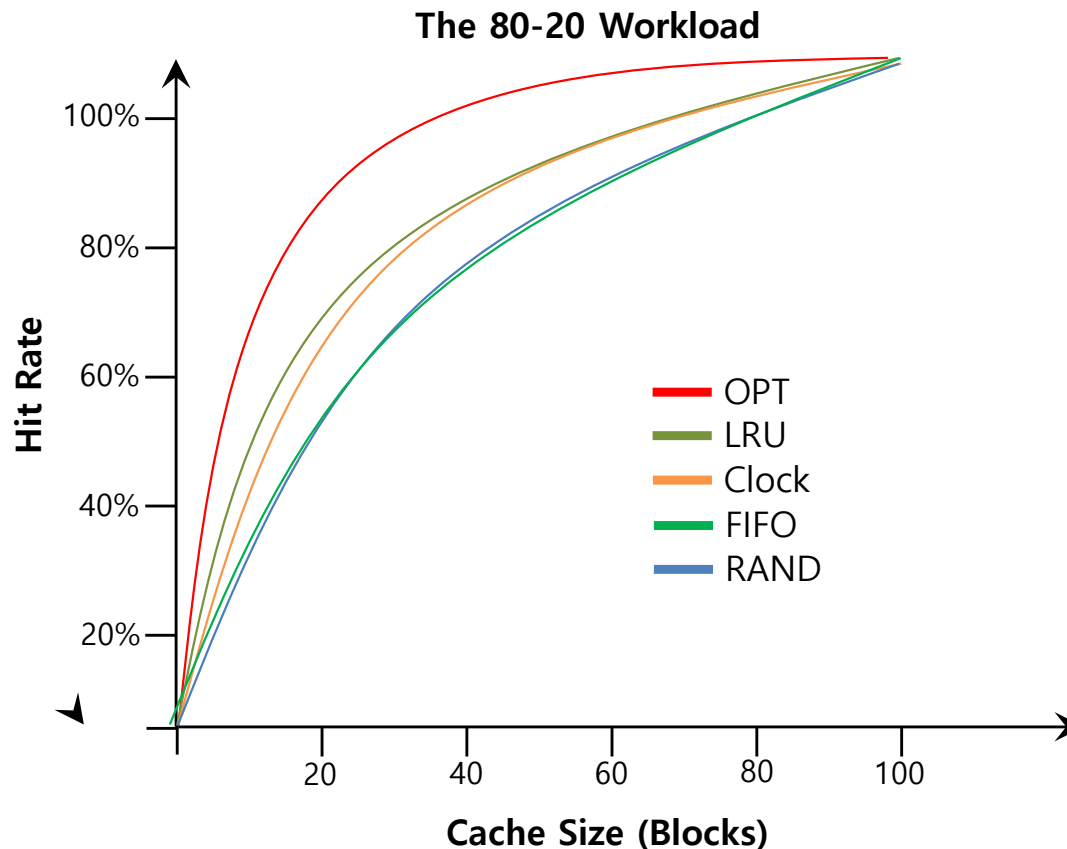
Use bit	Meaning
0	Evict the page
1	Clear Use bit and advance hand

The Clock page replacement algorithm

When a page fault occurs, the page the hand is pointing to is inspected.
The action taken depends on the Use bit

Workload with Clock Algorithm

- Clock algorithm doesn't do as well as perfect LRU, it does better than approach that don't consider history at all



Considering Dirty Pages

교재할때

이런비트
포함함.

- The hardware include a modified bit (a.k.a dirty bit)
 - Page has been modified and is thus dirty, it must be written back to disk to evict it
 - Page has not been modified, the eviction is free

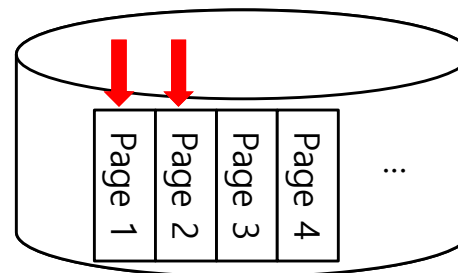
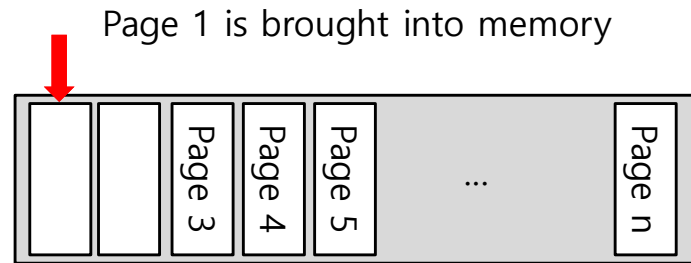


Page Selection Policy

- The OS has to decide when to bring a page into memory
- Presents the OS with some different options

Prefetching

- The OS guess that a page is about to be used, and thus bring it in ahead of time



Page 2 likely soon be accessed and thus should be brought into memory too

Locality 증가

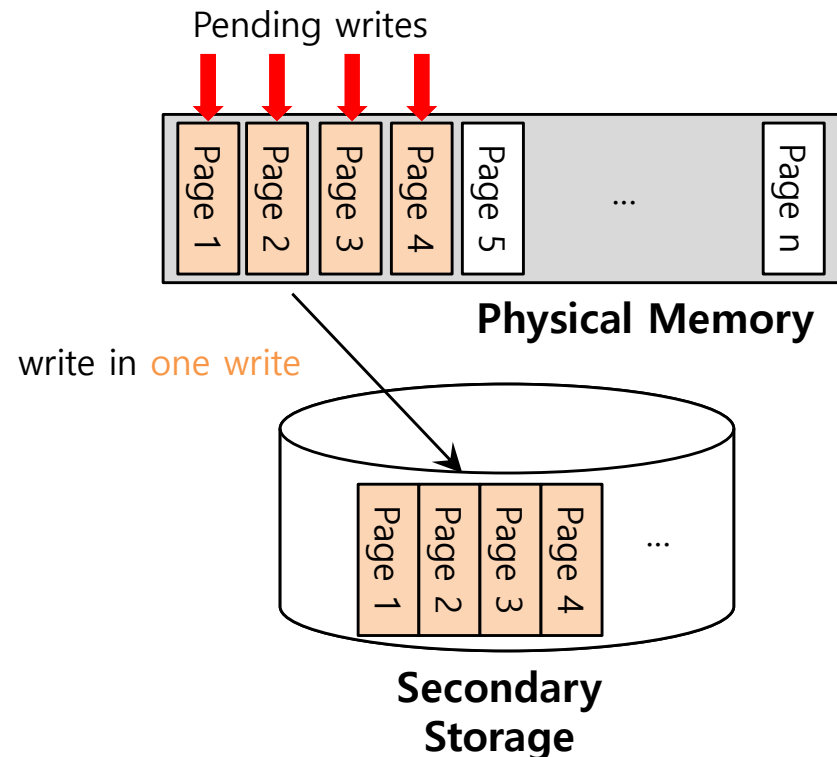
근처 page도

가져오는 것

이때 ~!

Clustering, Grouping

- Collect a number of **pending writes** together in memory and write them to disk in **one write**
 - Perform a single large write more efficiently than many small ones



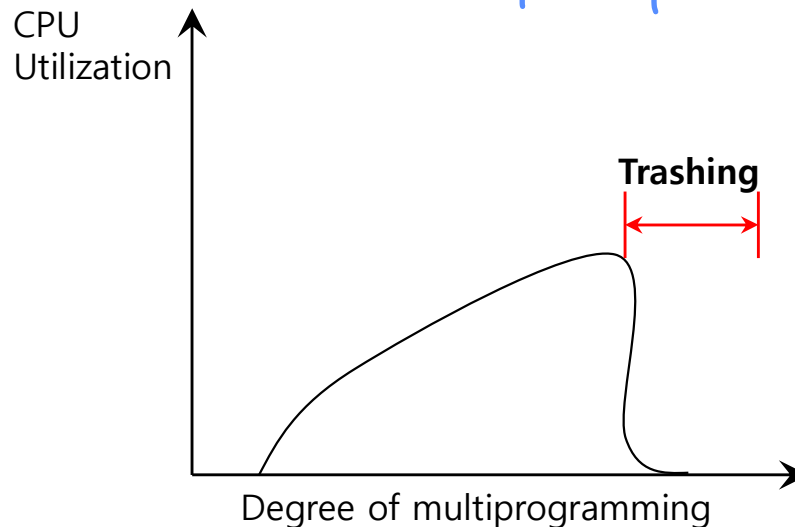
저장할 때도
그룹해서 저장.

Thrashing

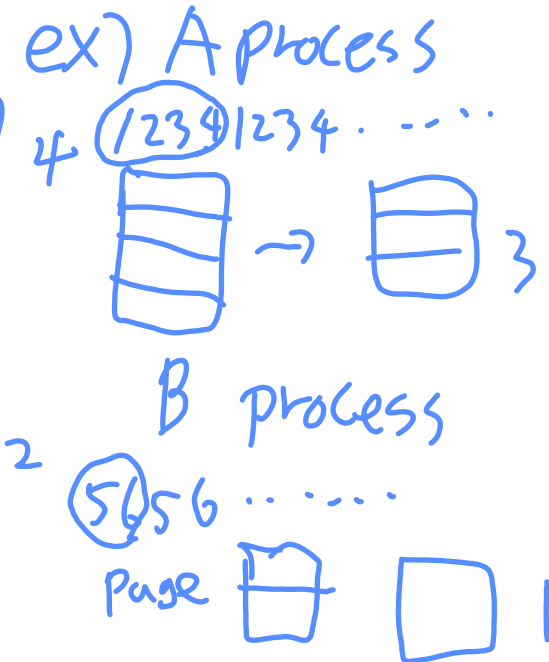
- If a process does not have "enough" page frames, page fault rate is very high
- **Thrashing**
 - A process is busy in swapping pages in and out
- Why does thrashing occur?
 - Σ size of locality > total memory size

$$4+2=6$$

한마디로 swapping
시간 쓰느라
CPU utilization ↓



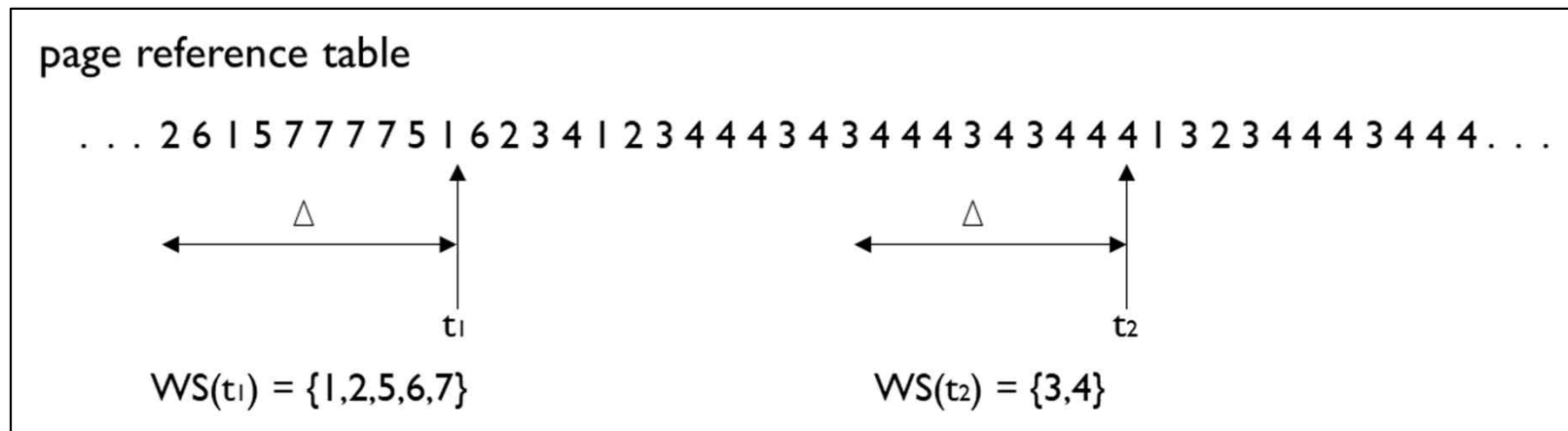
$$3+1=4$$



Working-set Model

특정시점에서의 이전 구간은 1
Locality를 window로 구분하기

- Working set model
 - is based on the assumption of **locality**
 - $\Delta \equiv$ **working-set window** \equiv a fixed number of page references
- WSS_i (working set of Process P_i)
 - total number of pages referenced in the most recent Δ
 - if Δ is too small, it will not encompass entire locality
 - if Δ is too large, it will encompass several localities
 - ✓ if $\Delta = \infty$, it will encompass entire program



- $D = \sum WSS_i \equiv$ total demand frames
 - If $D > m$, **Thrashing**