

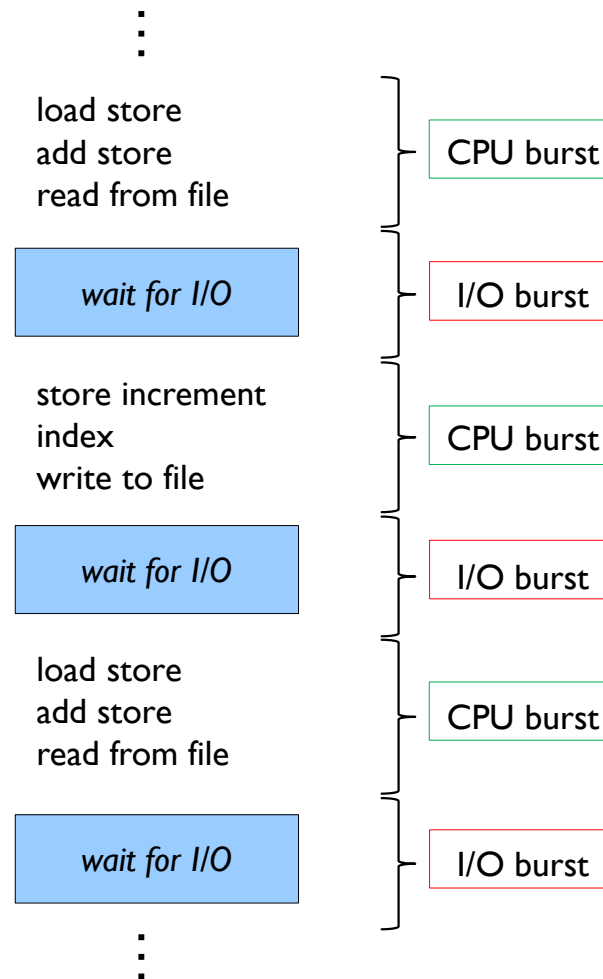
Operating System: CPU scheduling

Sang Ho Choi (shchoi@kw.ac.kr)

School of Computer & Information Engineering
KwangWoon University

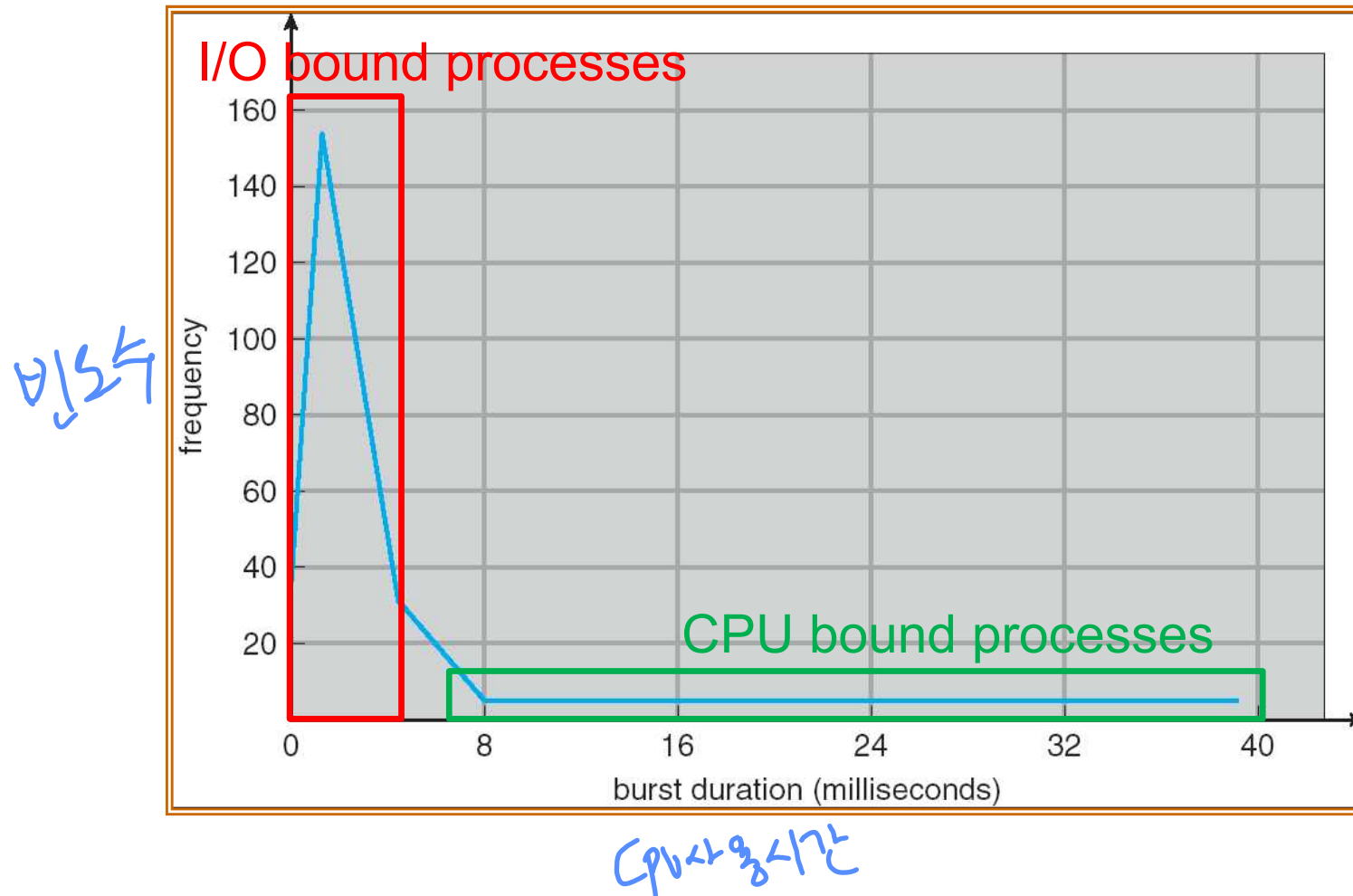
CPU-I/O Burst Cycle

- Alternating sequence of CPU bursts and I/O bursts



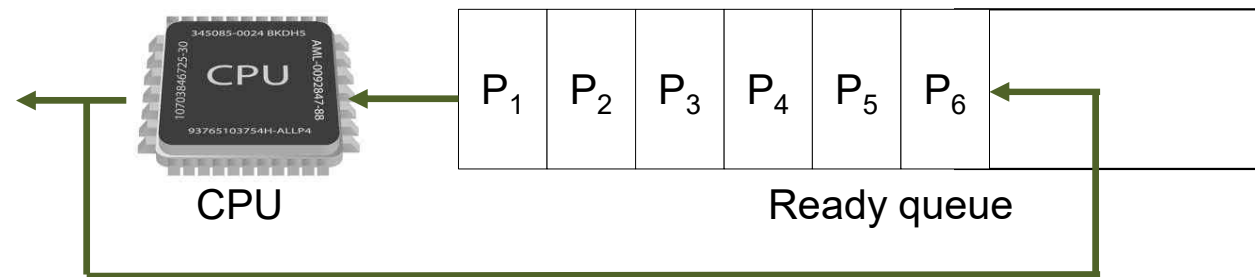
CPU-I/O Burst Cycle

- Histogram of CPU burst times



CPU Scheduler

- CPU scheduler
 - selects a process from the processes that are ready to execute



- CPU scheduling decisions may take place when
 - (1) a process switches from running to waiting state (e.g. I/O request),
 - (2) a process switches from running to ready state (e.g. time slice expiration),
 - (3) a process switches from waiting to ready (e.g. I/O completion), or
 - (4) a process terminates
 - ➔ Scheduling under (1) and (4) is non-preemptive
 - ➔ Scheduling under (2) and (3) is preemptive
- Handwritten notes in blue ink: 'CPU 자원 자판식 반납.' (CPU resource return) and '선점 - OS가 CPU 자원 배분 결정.' (Preemptive - OS decides CPU resource allocation).



- $\frac{1}{2} \pi \frac{1}{2} \pi$

Scheduling Criteria

- Scheduling algorithm's goals

- Maximize the CPU utilization

- Maximize the throughput

- Minimize the turnaround time

- Minimize the waiting time

- Minimize the response time

- It may be more important to minimize the variance than the average of response time

- E.g. interactive system

하자가 높아지면 다급하게
날아갈 수도 있어서
목표에 맞게 설계함.

평균보다 분산이 작을게
중요 (안고리즘 확인)

Scheduling: Introduction

- Workload assumptions:
 1. Each job runs for the same amount of time
 2. All jobs arrive at the same time
 3. All jobs only use the CPU (i.e., they perform no I/O)
 4. The run-time of each job is known

Scheduling Metrics

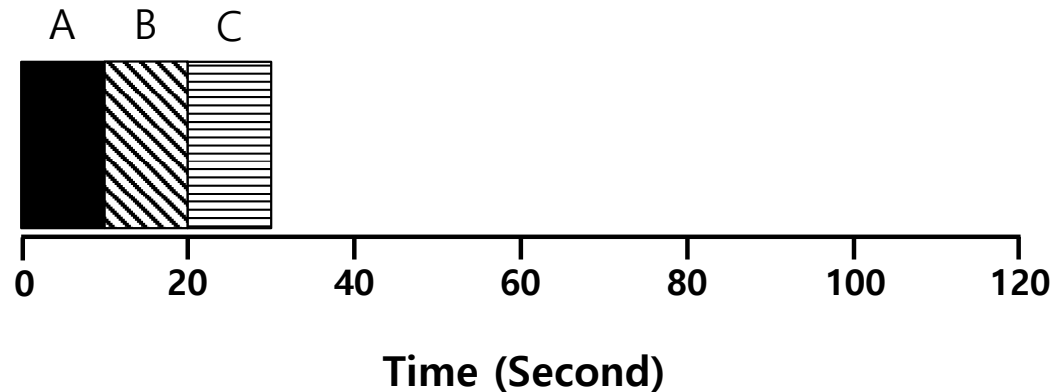
- Performance metric: **Turnaround time**
 - The time at which the job completes minus the time at which the job arrived in the system

$$T_{turnaround} = T_{completion} - T_{arrival}$$

- Another metric is **fairness**
 - Performance and fairness are often at odds in scheduling

First In, First Out (FIFO)

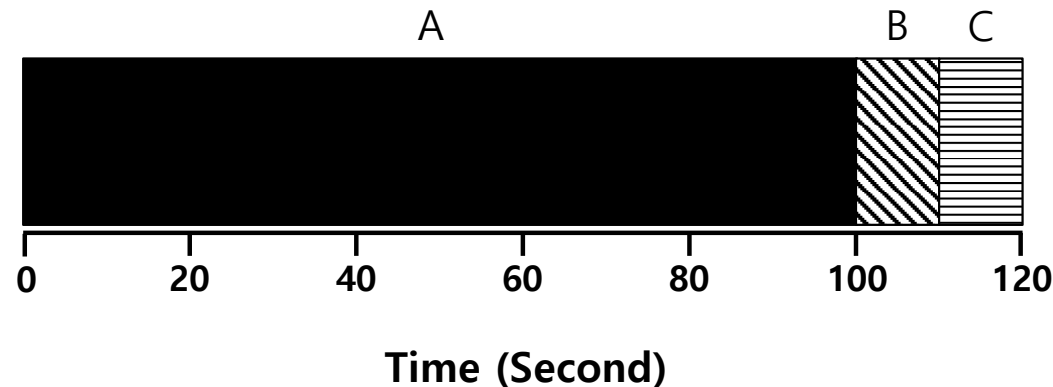
- First Come, First Served (FCFS)
 - Very simple and easy to implement
- Example:
 - A arrived just before B which arrived just before C
 - Each job runs for 10 seconds



$$\text{Average turnaround time} = \frac{10 + 20 + 30}{3} = 20 \text{ sec}$$

Why FIFO is not that great? - Convoy effect

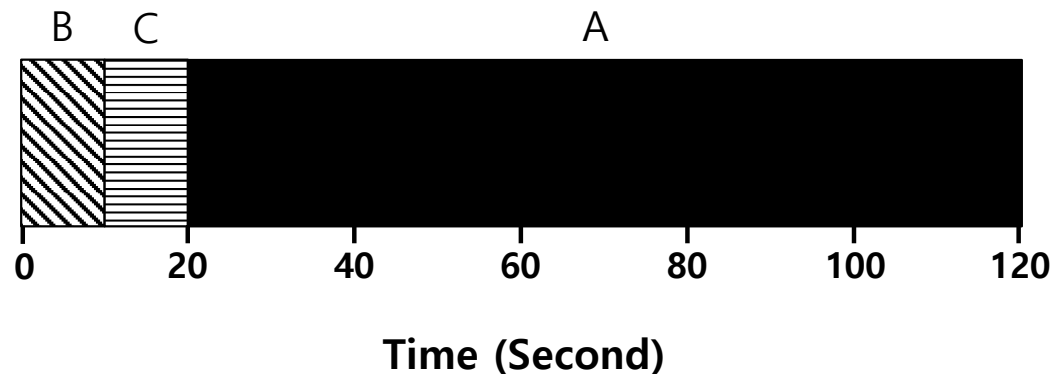
- Let's relax assumption 1: Each job no longer runs for the same amount of time
- Example:
 - A arrived just before B which arrived just before C
 - A runs for 100 seconds, B and C run for 10 each



$$\text{Average turnaround time} = \frac{100 + 110 + 120}{3} = 110 \text{ sec}$$

Shortest Job First (SJF)

- Run the shortest job first, then the next shortest, and so on
 - Non-preemptive scheduler
- Example:
 - A arrived just before B which arrived just before C
 - A runs for 100 seconds, B and C run for 10 each

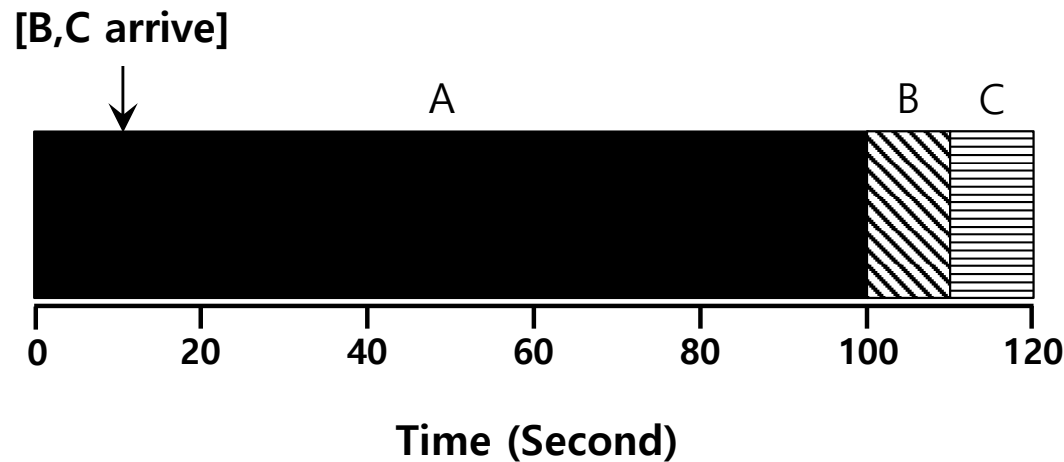


$$\text{Average turnaround time} = \frac{10 + 20 + 120}{3} = 50 \text{ sec}$$



SJF with Late Arrivals from B and C

- Let's relax assumption 2: Jobs can arrive at any time
- Example:
 - A arrives at $t=0$ and needs to run for 100 seconds
 - B and C arrive at $t=10$ and each need to run for 10 seconds



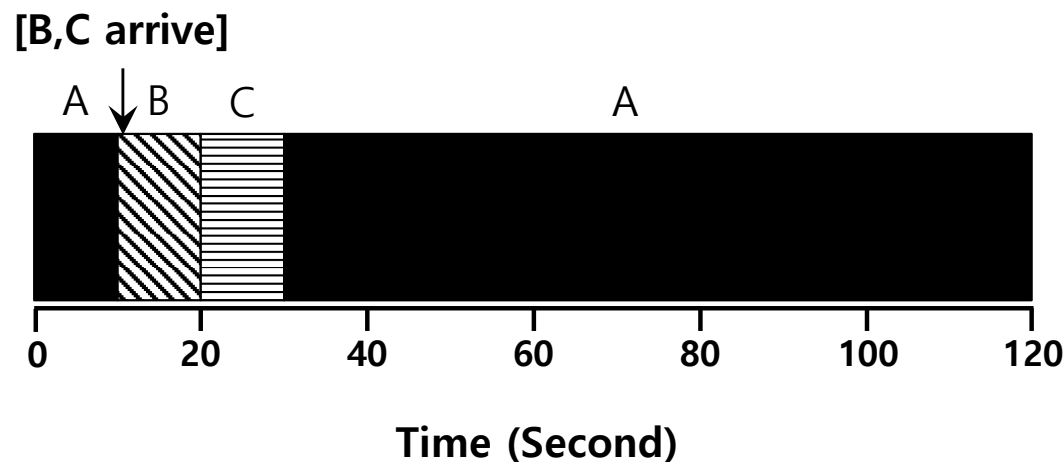
$$\text{Average turnaround time} = \frac{100 + (110 - 10) + (120 - 10)}{3} = 103.33 \text{ sec}$$

Shortest Time-to-Completion First (STCF)

- Add **preemption** to SJF
 - Jobs are not available simultaneously (Assumption 2 relaxed)
 - Preemptive version of SJF (Assumption 3 relaxed)
 - Also known as Preemptive Shortest Job First (PSJF) or Shortest Remaining Time First (SRTF)
- A new job enters the system:
 - Determine of the remaining jobs and new job
 - Schedule the job which has the least time left

Shortest Time-to-Completion First (STCF)

- Example:
 - A arrives at $t=0$ and needs to run for 100 seconds
 - B and C arrive at $t=10$ and each need to run for 10 seconds



$$\text{Average turnaround time} = \frac{(120 - 0) + (20 - 10) + (30 - 10)}{3} = 50 \text{ sec}$$

SJF Implementation

- Determining the lengths of next CPU bursts time is required
- Estimation by using the length of previous CPU burst times (exponential averaging)
 1. t_n = actual length of n^{th} CPU burst
 2. τ_{n+1} = predicted value for the next CPU burst
 3. $\alpha, 0 \leq \alpha \leq 1$ → 적응의 속도
 4. Define: $\tau_{n+1} = \alpha t_n + (1 - \alpha)\tau_n$

SJF Implementation (Cont.)

- Next CPU burst time

$$\tau_{n+1} = \alpha t_n + (1 - \alpha)\tau_n$$

- $\alpha = 0$

- $\tau_{n+1} = \tau_n$

- Recent history has no effect

- $\alpha = 1$

- $\tau_{n+1} = t_n$

- Only the most recent CPU burst matters

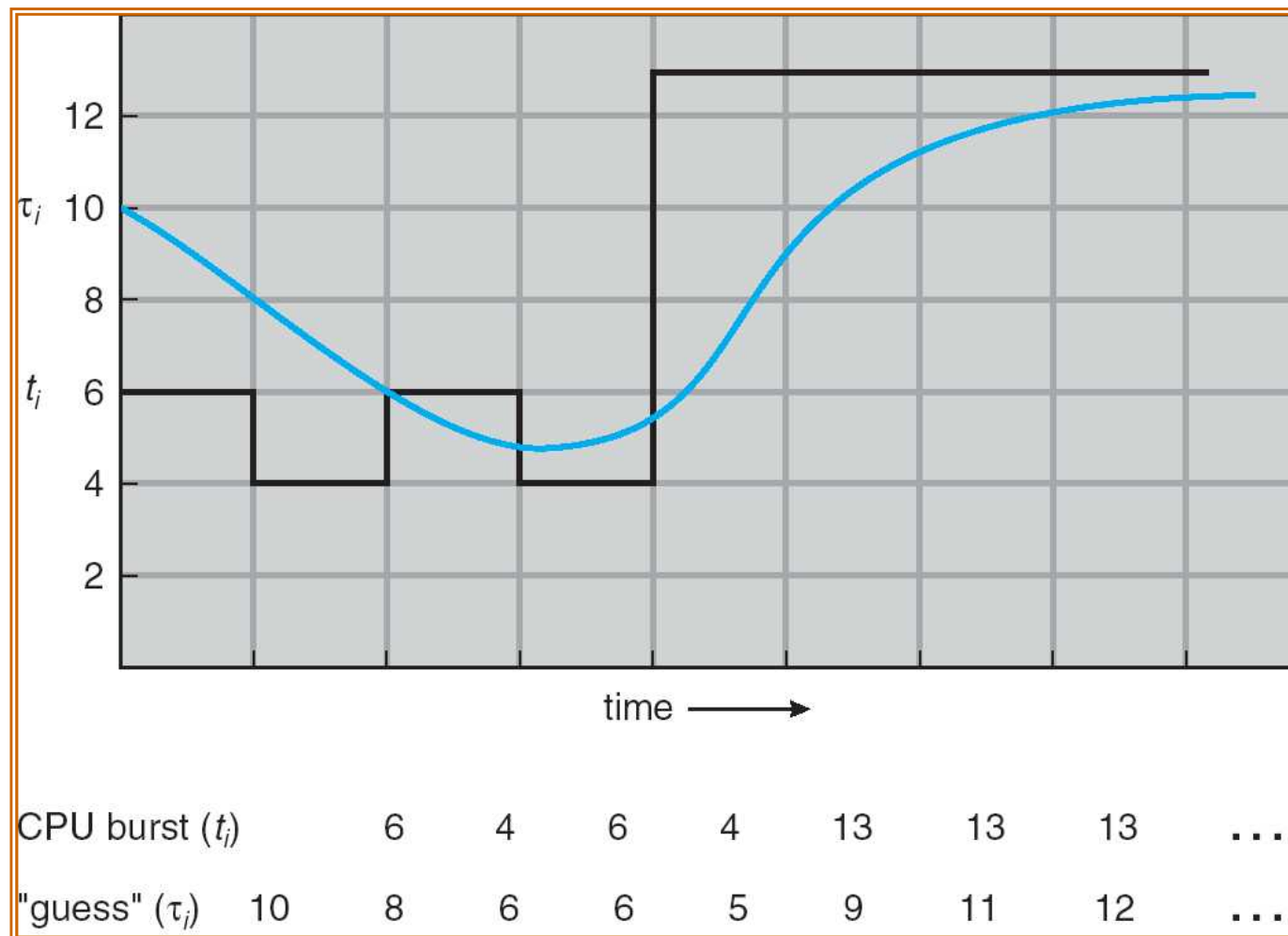
- If we expand the formula, we get

$$\begin{aligned}\tau_{n+1} = & \alpha t_n + (1 - \alpha)\alpha t_{n-1} + \dots \\ & + (1 - \alpha)^j \alpha t_{n-j} + \dots \\ & + (1 - \alpha)^{n+1} \tau_0\end{aligned}$$

- Since both α and $(1 - \alpha)$ are less than or equal to 1,
- Each successive term has less weight than its predecessor

SJF Implementation (Cont.)

- Prediction of the length of the next CPU burst time



New scheduling metric: Response time

- The time from when the job arrives to the first time it is scheduled

$$T_{response} = T_{firstrun} - T_{arrival}$$

- STCF and related disciplines are not particularly good for response time

How can we build a scheduler that is
sensitive to response time?

Round Robin (RR) Scheduling

- Time slicing Scheduling
 - Run a job for a **time slice** and then switch to the next job in the **run queue** until the jobs are finished
 - Time slice is sometimes called a scheduling quantum
 - It repeatedly does so until the jobs are finished
 - The length of a time slice must be *a multiple of* the timer-interrupt period

RR is fair, but performs poorly on metrics such as turnaround time

response time 인 것만

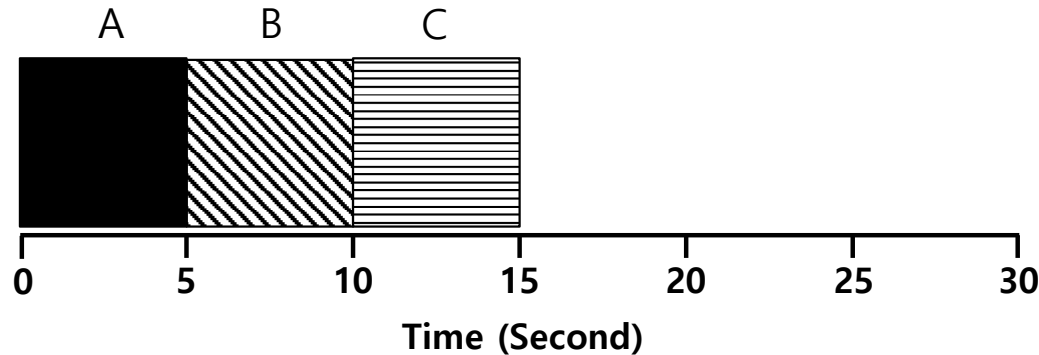
turnaround time

안 좋음.



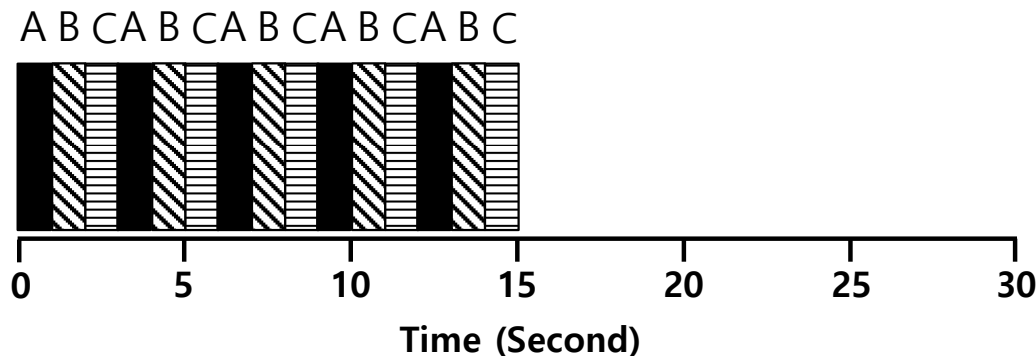
RR Scheduling Example

- A, B and C arrive at the same time
- They each wish to run for 5 seconds



SJF (Bad for Response Time)

$$T_{average\ response} = \frac{0 + 5 + 10}{3} = 5\text{sec}$$



RR with a time-slice of 1sec (Good for Response Time)

$$T_{average\ response} = \frac{0 + 1 + 2}{3} = 1\text{sec}$$

The length of the time slice is critical

- The shorter time slice
 - Better response time
 - The cost of context switching will dominate overall performance
- The longer time slice
 - Amortize the cost of switching
 - Worse response time

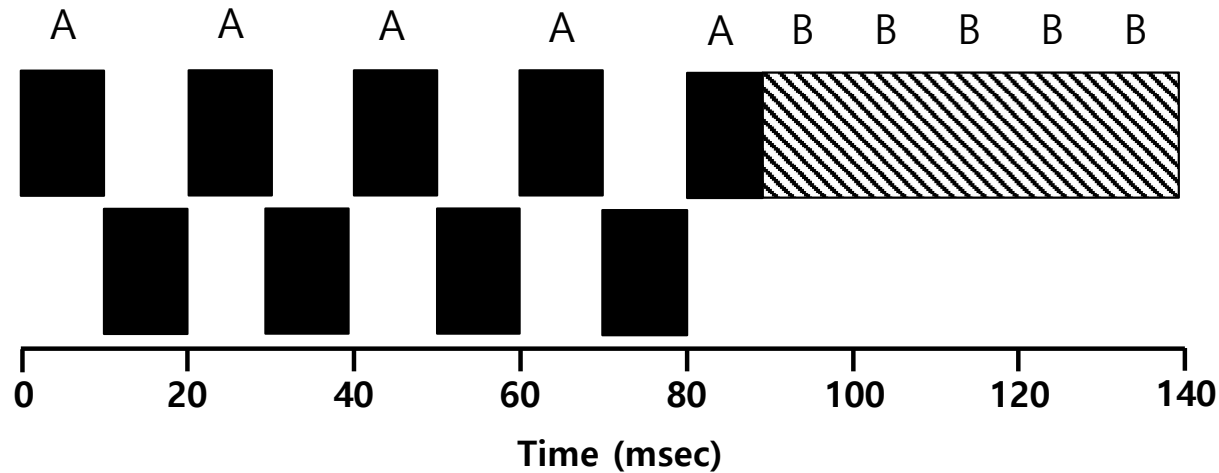
Deciding on the length of the time slice presents
a **trade-off** to a system designer

Incorporating I/O

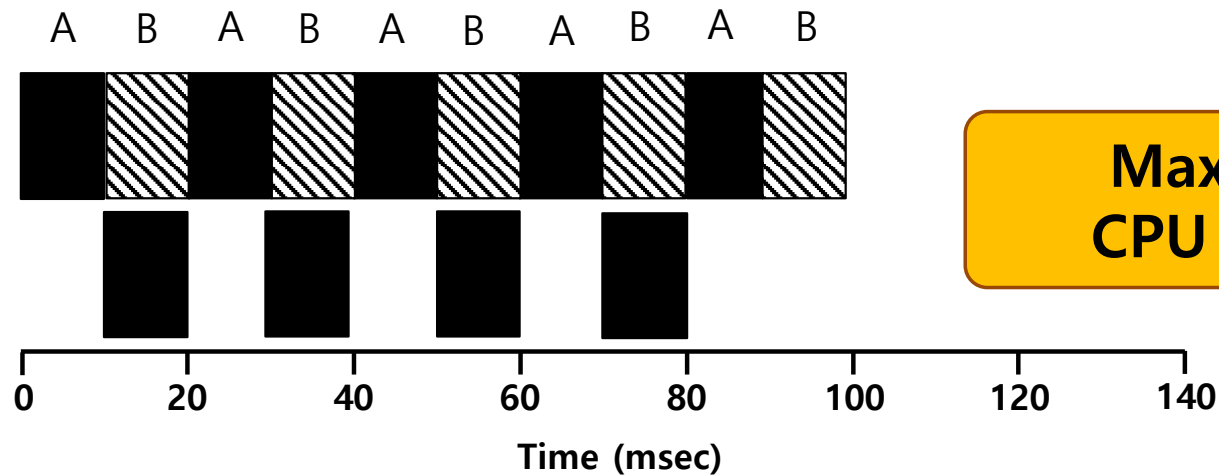
- Let's relax assumption 3: All programs perform I/O
- Example:
 - A and B need 50ms of CPU time each
 - A runs for 10ms and then issues an I/O request
 - I/Os each take 10ms
 - B simply uses the CPU for 50ms and performs no I/O
 - The scheduler runs A first, then B after

Incorporating I/O (Cont.)

CPU
I/O



Poor Use of Resources



**Maximize the
CPU utilization**

Overlap Allows Better Use of Resources

Incorporating I/O (Cont.)

- When a job initiates an I/O request
 - The job is blocked waiting for I/O completion
 - The scheduler should schedule another job on the CPU
- When the I/O completes
 - An interrupt is raised
 - The OS moves the process from blocked back to the ready state