# Teach Me to Explain: A Review of Datasets for Explainable Natural Language Processing

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# **Abstract**

Explainable Natural Language Processing (EXNLP) has increasingly focused on collecting human-annotated textual explanations. These explanations are used downstream in three ways: as data augmentation to improve performance on a predictive task, as supervision to train models to produce explanations for their predictions, and as a ground-truth to evaluate model-generated explanations. In this review, we identify 65 datasets with three predominant classes of textual explanations (highlights, free-text, and structured), organize the literature on annotating each type, identify strengths and shortcomings of existing collection methodologies, and give recommendations for collecting ExNLP datasets in the future.

#### 1 Introduction

Interpreting supervised machine learning (ML) models is crucial for ensuring their reliability and trustworthiness in high-stakes scenarios. Models that produce justifications for their individual predictions (sometimes referred to as *local explanations*) can be inspected for the purposes of debugging, quantifying bias and fairness, understanding model behavior, and ascertaining robustness and privacy [83]. These benefits have led to the development of datasets that contain human justifications for the true label (overviewed in Tables 3–5). In particular, human justifications are used for three goals: (i) to aid models with additional training supervision [142], (ii) to train interpretable models that explain their own predictions [20], and (iii) to evaluate plausibility of model-generated explanations by measuring their agreement with human explanations [29].

Dataset collection is the most under-scrutinized component of the ML pipeline [93]—it is estimated that 92% of ML practitioners encounter data cascades, or downstream problems resulting from poor data quality [109]. It is important to constantly evaluate data collection practices critically and standardize them [13, 39, 95]. We expect that such examinations are particularly valuable when many related datasets are released contemporaneously and independently in a short period of time, as is the case with ExNLP datasets.

This survey aims to review and summarize the literature on collecting textual explanations, highlight what has been learned to date, and give recommendations for future dataset construction. It complements other explainable AI (XAI) surveys and critical retrospectives that focus on definitions, methods, and/or evaluation [33, 15, 77, 1, 103, 51, 42, 133, 26, 44, 82, 121, 12, 86, 54, 19], but not on datasets. We call such datasets ExNLP datasets, because modeling them for the three goals mentioned above requires NLP techniques. Datasets and methods for explaining fact checking [65] and reading comprehension [117] have been reviewed; we are the first to review all datasets with textual explanations regardless of task, comprehensively categorize them into three distinct classes, and provide critical retrospectives and best-practice recommendations.

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Instance	Explanation		
Premise: A white race dog wearing the number eight runs on the track.  Hypothesis: A white race dog runs around his yard.  Label: contradiction	(highlight) Premise: A white race dog wearing the number eight runs on the track. Hypothesis: A white race dog runs around his yard.		
	(free-text) A race track is not usually in someone's yard.		
Question: Who sang the theme song from Russia With Love? Paragraph:The theme song was composed by Lionel Bart of Oliver! fame and sung by Matt Monro  Answer: Matt Monro			

Table 1: Examples of explanation types discussed in §2. The first two rows show a highlight and free-text explanation for an E-SNLI instance [20]. The last row shows a (partial) structured explanation from QED for a NATURAL QUESTIONS instance [70].

Instance with Highlight	Highlight Type Clarification	
Review: this film is extraordinarily horrendous and I'm not going to waste any more words on it. Label: negative	(¬comprehensive) Review: this film is and I'm not going to waste any more words on it.	
Review: this film is extraordinarily horrendous and I'm not going to waste any more words on it. Label: negative	(comprehensive) Review: this film is and I'm not going to	
Premise: A shirtless man wearing white shorts. Hypothesis: A man in white shorts is running on the sidewalk. Label: neutral	(¬sufficient) Premise: Hypothesis: man running on the sidewalk.	

Table 2: Examples of highlights differing in comprehensiveness and sufficiency (discussed in §2, §4).

We first define relevant EXNLP terminology (§2) and overview 65 existing datasets (§3), accompanied with a live version of the tables as a website accepting community contributions: https://exnlpdatasets.github.io. We next analyze what can be learned from existing data collection methodologies. In §4 and §5, we highlight two points that we expect to be particularly important to the current ExnLP research. Specifically, §4 discusses the traditional process of collecting explanations by asking annotators to highlight parts of the input, and its discrepancies with evaluating model-generated highlight explanations. We also draw attention to how assumptions made for collecting free-text explanations (introduced in §2) influence their modeling, and call for better documentation of explanation collection. In §5, we illustrate that not all template-like free-text explanations are incorrect, and call for embracing the structure of an explanation when appropriate. Unlike discussions in §4–5 that are motivated by ExnLP modeling and evaluation choices, the rest of this paper reflects on relevant points from a broader NLP research. In §6, we present a proposal for controlling quality in explanation collection, and in §7, gather recommendations from related subfields to further reduce data artifacts by increasing diversity of collected explanations.

# 2 Explainability Lexicon

An explanation can be described as a "three-place predicate: *someone* explains *something* to *someone*" [50]. The *something* being explained in machine learning systems are task labels: explanations are implicitly or explicitly designed to answer the question "why is [input] assigned [label]?". However, collected explanations can vary in format. We identify three types in the ExNLP literature: *highlights*, *free-text*, and *structured* explanations. An example of each type is given in Table 1. Since a consensus on terminology has not yet been reached, we describe each type below.

**Highlights** are subsets of the input elements (words, phrases, or sentences) that explain a prediction. Lei et al. [73] coin them *extractive rationales*, or subsets of the input tokens of a textual task that satisfy two properties: (i) *compactness*, they are short and coherent, and (ii) *sufficiency*, they suffice for prediction as a substitute of the original text. Yu et al. [141] introduce a third criterion, (iii) *comprehensiveness*, that all the evidence that supports the prediction is selected, not just a sufficient set. Since the term "rationale" implies human-like intent, Jacovi and Goldberg [55] argue to call this type of explanation *highlights* to avoid inaccurately attributing human-like social behavior to AI systems. They are also called *evidence* in fact-checking and multi-document question answering (QA) [65]—a part of the source that refutes/supports the claim. To reiterate, highlights should be sufficient to explain a prediction and compact; if they are also comprehensive, we call them *comprehensive* 

Dataset	Task	Granularity	Collection	# Instances
MovieReviews [142]	sentiment classification	none	author	1,800
MovieReviews <sub><math>c</math></sub> [29]	sentiment classification	none	crowd	200 <sup>‡♦</sup>
SST [113]	sentiment classification	none	crowd	11,855♦
WikiQA [136]	open-domain QA	sentence	crowd + authors	1,473
WikiAttack [22]	detecting personal attacks	none	students	1089♦
E-SNLI <sup>†</sup> [20]	natural language inference	none	crowd	$\sim$ 569K (1 or 3)
Multirc [60]	reading comprehension QA	sentences	crowd	5,825
FEVER [118]	verifying claims from text	sentences	crowd	$\sim 136 \mathrm{K}^{\ddagger}$
НотротQA [137]	reading comprehension QA	sentences	crowd	112,779
Hanselowski et al. [47]	verifying claims from text	sentences	crowd	6,422 (varies)
NATURAL QUESTIONS [68]	reading comprehension QA	1 paragraph	crowd	n/a <sup>‡</sup> (1 or 5)
CoQA [104]	conversational QA	none	crowd	$\sim$ 127K (1 or 3)
COS-E v1.0 <sup>†</sup> [100]	commonsense QA	none	crowd	8,560
COS-E v1.11 <sup>†</sup> [100]	commonsense QA	none	crowd	10,962
BoolQ $_c$ [29]	reading comprehension QA	none	crowd	199 <sup>‡♦</sup>
EVIDENCEINFERENCE V1.0 [71]	evidence inference	none	experts	10,137
EvidenceInference v1.0 $_c$ [29]	evidence inference	none	experts	125 <sup>‡</sup>
EVIDENCEINFERENCE V2.0 [30]	evidence inference	none	experts	2,503
SciFact [123]	verifying claims from text	1-3 sentences	experts	995 <sup>‡</sup> (1-3)
Kutlu et al. [67]	webpage relevance ranking	2-3 sentences	crowd	700 (15)
SCAT [139]	document-level machine translation	none	experts	$\sim$ 14K
ECTHR [24]	alleged legal violation prediction	paragraphs	auto + expert	~11K
Hummingbird [48]	style classification	words	crowd	500
HATEXPLAIN [79]	hate-speech classification	phrases	crowd	20,148 (3)

Table 3: Overview of datasets with textual **highlights**. Values in parentheses indicate number of explanations collected per instance (if > 1). DeYoung et al. [29] collected or recollected annotations for prior datasets (marked with the subscript c).  $\diamondsuit$  Collected > 1 explanation per instance but only release 1. † Also contains free-text explanations. ‡ A subset of the original dataset that is annotated. It is not reported what subset of NATURALQUESTIONS has both a long and short answer.

highlights. Although the community has settled on criteria (i)–(iii) for highlights, the extent to which collected datasets (Table 3) reflect them varies greatly, as we will discuss in §4. Table 2 gives examples of sufficient vs. non-sufficient and comprehensive vs. non-comprehensive highlights.

**Free-text explanations** are free-form textual justifications that are not constrained to the words or modality of the input instance. They are thus more expressive and generally more readable than highlights. This makes them useful for explaining reasoning tasks where explanations must contain information outside the given input sentence or document [20, 128]. They are also called *textual* [62] or *natural language explanations* [20], terms that have been overloaded [98]. Synonyms, *free-form* [20] or *abstractive explanations* [87] do not emphasize that the explanation is textual.

Finally, **structured explanations** are explanations that are not entirely free-form although they are still written in natural language. For example, there may be constraints placed on the explanation-writing process, such as the required use of specific inference rules. We discuss the recent emergence of structured explanations in §5. Structured explanations do not have one common definition; we elaborate on dataset-specific designs in §3. An example is given in the bottom row of Table 1.

# **3** Overview of Existing Datasets

We overview currently available ExNLP datasets by explanation type: highlights (Table 3), free-text explanations (Table 4), and structured explanations (Table 5). Besides SCAT [139], to the best of our knowledge, all existing datasets are in English. The authors of  $\sim$ 66% papers cited in Tables 3–5 report the dataset license in the paper or a repository, and 45.61% use *common* permissive licenses; for more information see Appendix B. See Appendix C for collection details.

For each dataset, we report the number of instances (input-label pairs) and the number of explanations per instance (if > 1). The annotation procedure used to collect each dataset is reported as: crowd-annotated ("crowd"); automatically annotated through a web-scrape, database crawl, or merge of existing datasets ("auto"); or annotated by others ("experts", "students", or "authors"). Some authors perform semantic parsing on collected explanations (denoted with \*); we classify them by the dataset type before parsing and list the collection type as "crow + authors". Tables 3-5 elucidate that the dominant collection paradigm (>90%) is via human (crowd, student, author, or expert) annotation.

Dataset	Task	Collection	# Instances
Jansen et al. [56]	science exam QA	authors	363
Ling et al. [76]	solving algebraic word problems	auto + crowd	~101K
Srivastava et al. [115]*	detecting phishing emails	crowd + authors	7 (30-35)
BabbleLabble [46]*	relation extraction	students + authors	$200^{\ddagger\ddagger}$
E-SNLI [20]	natural language inference	crowd	$\sim$ 569K (1 or 3)
LIAR-PLUS [4]	verifying claims from text	auto	12,836
COS-E v1.0 [100]	commonsense QA	crowd	8,560
COS-E v1.11 [100]	commonsense QA	crowd	10,962
ECQA [2]	commonsense QA	crowd	10,962
SEN-MAKING [124]	commonsense validation	students + authors	2,021
CHANGEMYVIEW [10]	argument persuasiveness	crowd	37,718
WINOWHY [144]	pronoun coreference resolution	crowd	273 (5)
SBIC [111]	social bias inference	crowd	48,923 (1-3)
PUBHEALTH [64]	verifying claims from text	auto	11,832
Wang et al. [125]*	relation extraction	crowd + authors	373
Wang et al. [125]*	sentiment classification	crowd + authors	85
E-δ-NLI [18]	defeasible natural language inference	auto	92,298 (~8)
BDD-X <sup>††</sup> [62]	vehicle control for self-driving cars	crowd	$\sim$ 26K
VQA-E <sup>††</sup> [75]	visual QA	auto	$\sim$ 270K
VQA-X <sup>††</sup> [94]	visual QA	crowd	28,180 (1 or 3)
ACT-X <sup>††</sup> [94]	activity recognition	crowd	18,030 (3)
Ehsan et al. [34] <sup>††</sup>	playing arcade games	crowd	2000
VCR <sup>††</sup> [143]	visual commonsense reasoning	crowd	~290K
E-SNLI-VE <sup>††</sup> [32]	visual-textual entailment	crowd	$11,335(3)^{\ddagger}$
ESPRIT <sup>††</sup> [101]	reasoning about qualitative physics	crowd	2441 (2)
VLEP <sup>††</sup> [72]	future event prediction	auto + crowd	28,726
EMU <sup>††</sup> [27]	reasoning about manipulated images	crowd	48K

Table 4: Overview of ExNLP datasets with **free-text explanations** for textual and visual-textual tasks (marked with †† and placed in the lower part). Values in parentheses indicate number of explanations collected per instance (if > 1). ‡ A subset of the original dataset that is annotated. ‡‡ Subset publicly available. \* Authors semantically parse the collected explanations.

**Highlights (Table 3)** The granularity of highlights depends on the task they are collected for. The majority of authors do not place a restriction on granularity, allowing words, phrases, or sentences of the original input document to be selected. The coarsest granularity in Table 3 is one or more paragraphs in a longer document [68, 24]. We exclude datasets that include an associated document as evidence without specifying the location of the explanation within the document (namely document retrieval datasets). We exclude BEERADVOCATE [80] because it has been retracted.

Some highlights are re-purposed from annotations for a different task. For example, MULTIRC [60] contains sentence-level highlights that indicate justifications of answers to questions. However, they were originally collected for the authors to assess that each question in the dataset requires multi-sentence reasoning to answer. Another example is STANFORD SENTIMENT TREEBANK [SST; 113] which contains crowdsourced sentiment annotations for word phrases extracted from movie reviews [90]. Word phrases that have the same sentiment label as the review can be heuristically merged to get phrase-level highlights [23]. Other highlights in Table 3 are collected by instructing annotators. Instead of giving these instructions verbatim, their authors typically describe them concisely, e.g., they say annotators are asked to highlight words justifying, constituting, indicating, supporting, or determining the label, or words that are essential, useful, or relevant for the label. The difference in wording of these instructions affects how people annotate explanations. In §4, we discuss how one difference in annotation instructions (requiring comprehensiveness or not) can be important.

**Free-Text Explanations (Table 4)** This is a popular explanation type for both textual and visual-textual tasks, shown in the first and second half of the table, respectively. Most free-text explanations are generally no more than a few sentences per instance. One exception is LIAR-PLUS [5], which contains the conclusion paragraphs of web-scraped human-written fact-checking summaries.

**Structured Explanations (Table 5)** Structured explanations take on dataset-specific forms. One common approach is to construct a chain of facts that detail the reasoning steps to reach an answer

Dataset	Task	Explanation Type	Collection	# Instances
WORLDTREE V1 [57]	science exam QA	explanation graphs	authors	1,680
OPENBOOKQA [81]	open-book science QA	1 fact from WORLDTREE	crowd	5,957
Yang et al. [135] <sup>††</sup>	action recognition	lists of relations + attributes	crowd	853
WORLDTREE V2 [132]	science exam QA	explanation graphs	experts	5,100
QED [70]	reading comp. QA	inference rules	authors	8,991
QASC [61]	science exam QA	2-fact chain	authors + crowd	9,980
EQASC [58]	science exam QA	2-fact chain	auto + crowd	$9,980~(\sim 10)$
+ Perturbed	science exam QA	2-fact chain	auto + crowd	n/a <sup>‡</sup>
eobqa [58]	open-book science QA	2-fact chain	auto + crowd	n/a <sup>‡</sup>
Ye et al. [138]*	SQUAD QA	semi-structured text	crowd + authors	164
Ye et al. [138]*	NATURAL QUESTIONS QA	semi-structured text	crowd + authors	109
R <sup>4</sup> C [53]	reading comp. QA	chains of facts	crowd	4,588 (3)
StrategyQA [41]	implicit reasoning QA	reasoning steps w/ highlights	crowd	2,780 (3)
TRIGGERNER	named entity recognition	groups of highlighted tokens	crowd	$\sim$ 7K (2)

Table 5: Overview of ExNLP datasets with **structured explanations** (§5). Values in parentheses indicate number of explanations collected per instance (if > 1). †† Visual-textual dataset. \* Authors semantically parse the collected explanations. ‡ Subset of instances annotated with explanations is not reported. Total # of explanations is 855 for EQASC PERTURBED and 998 for EOBQA.

("chains of facts"). Another is to place constraints on the textual explanations that annotators can write, such as requiring the use of certain variables in the input ("semi-structured text").

The WORLDTREE datasets [57, 132] propose explaining elementary-school science questions with a combination of chains of facts and semi-structured text, termed "explanation graphs". The facts are individual sentences written by the authors that are centered around a set of shared relations and properties. Given the chain of facts for an instance (6.3 facts on average), the authors can construct an explanation graph by linking shared words in the question, answer, and explanation.

OPENBOOKQA [OBQA; 81] uses single WORLDTREE facts to prime annotators to write QA pairs. Similarly, each question in QASC [61] contains two associated science facts from a corpus selected by human annotators who wrote the question. Jhamtani and Clark [58] extend OBQA and QASC with two-fact chain explanation annotations, which are automatically extracted from a fact corpus and validated with crowdsourcing. The resulting datasets, EQASC and EOBQA, contain multiple valid and invalid explanations per instance, as well as perturbations for robustness testing (EQASC-PERTURBED).

A number of structured explanation datasets supplement datasets for reading comprehension. Ye et al. [138] collect semi-structured explanations for NATURALQUESTIONS [68] and SQUAD [102]. They require annotators to use phrases in both the input question and context, and limit them to a small set of connecting expressions. Inoue et al. [53] collect R<sup>4</sup>C, fact chain explanations for HOTPOTQA [137]. Lamm et al. [70] collect explanations for NATURALQUESTIONS that follow a linguistically-motivated form (see the example in Table 1). We discuss structured explanations further in §5.

# 4 Link Between ExNLP Data, Modeling, and Evaluation Assumptions

All three parts of the machine learning pipeline (data collection, modeling, and evaluation) are inextricably linked. In this section, we discuss what ExNLP modeling and evaluation research reveals about the qualities of available ExNLP datasets, and how best to collect such datasets in the future.

Highlights are usually evaluated following two criteria: (i) plausibility, according to humans, how well a highlight supports a predicted label [133, 29], and (ii) faithfulness or fidelity, how accurately a highlight represents the model's decision process [6, 127]. Human-annotated highlights (Table 2) are used to measure the plausiblity of model-produced highlights: the higher the overlap between the two, the more plausible model highlights are considered. On the other hand, a highlight that is both sufficient (implies the prediction, §2; first example in Table 2) and comprehensive (its complement in the input does not imply the prediction, §2; second example in Table 2) is regarded as faithful to the prediction it explains [29, 23]. Since human-annotated highlights are used only for evaluation of plausibility but not faithfulness, one might expect that the measurement and modeling of faithfulness cannot influence how human-authored explanations should be collected. In this section, we show that this expectation might lead to collecting highlights that are unfitting for the goals (ii) and (iii) in §1.

Typical instructions for collecting highlights encourage sufficiency and compactness, but not comprehensiveness. For example, DeYoung et al. [29] deem MovieReviews and EvidenceInference highlights non-comprehensive. Carton et al. [23] expect that FEVER highlights are non-comprehensive, in contrast to DeYoung et al. [29]. Contrary to the characterization of both of these work, we observe that the E-SNLI authors collect non-comprehensive highlights, since they instruct annotators to highlight only words in the hypothesis (and not the premise) for neutral pairs, and consider contradiction/neutral explanations correct if at least one piece of evidence in the input is highlighted. Based on these discrepancies in characterization, we first conclude that post-hoc assessment of comprehensiveness from a general description of data collection is error-prone.

Alternatively, Carton et al. [23] empirically show that available human highlights are not necessarily sufficient nor comprehensive for predictions of *highly accurate* models. This suggests that the same might hold for gold labels, leading us to ask: are gold highlights in existing datasets flawed?

Let us first consider insufficiency. Highlighted input elements taken together have to reasonably indicate the label. Otherwise, a highlight is an invalid explanation. Consider two datasets whose sufficiency Carton et al. [23] found to be most concerning: neutral E-SNLI pairs and no-attack WIKIATTACK examples. Neutral E-SNLI cases are not justifiable by highlighting because they are obtained only as an intermediate step to collecting free-text explanations, and only free-text explanations truly justify a neutral label [20]. Table 2 shows one E-SNLI highlight that is not sufficient. No-attack WIKIATTACK examples are not explainable by highlighting because the absence of offensive content justifies the no-attack label, and this absence cannot be highlighted. We recommend (i) avoiding human-annotated highlights with low sufficiency when evaluating and collecting highlights, and (ii) assessing whether the true label can be explained by highlighting.

Consider a highlight that is non-comprehensive because it is redundant with its complement in the input (e.g., a word appears multiple times, but only one occurrence is highlighted). Highlighting only one occurrence of "great" is a valid justification, but quantifying faithfulness of this highlight is hard because the model might rightfully use the unhighlighted occurrence of "great" to make the prediction. Thus, comprehensiveness is modeled to make faithfulness evaluation feasible. Non-comprehensiveness of human highlights, however, hinders evaluating plausibility of comprehensive model highlights since model and human highlights do not match by design. To be able to evaluate both plausibility and faithfulness, we should annotate comprehensive human highlights. We summarize these observations in Figure 2 in Appendix A.

Mutual influence of data and modeling assumptions also affects free-text explanations. For example, the E-SNLI guidelines have far more constraints than the COS-E guidelines, such as requiring self-contained explanations. Wiegreffe et al. [128] show that such data collection decisions can influence modeling assumptions. This is not an issue per se, but we should be cautious that ExNLP data collection decisions do not popularize explanation properties as *universally necessary* when they are not, e.g., that free-text explanations should be understandable without the original input or that highlights should be comprehensive. We believe this could be avoided with better documentation, e.g., with additions to a standard datasheet [39]. Explainability fact sheets have been proposed for models [114], but not for datasets. For example, an E-SNLI datasheet could note that self-contained explanations were required during data collection, but that this is not a necessary property of a valid free-text explanation. A dataset with comprehensive highlights should emphasize that comprehensiveness is required to simplify faithfulness evaluation.

#### **Takeaways**

- 1. It is important to precisely report how explanations were collected, e.g., by giving access to the annotation interface, screenshotting it, or giving the annotation instructions verbatim.
- 2. Sufficiency is necessary for highlights, and EXNLP researchers should avoid human-annotated highlights with low sufficiency for evaluating and developing highlights.
- 3. Comprehensiveness isn't necessary for a valid highlight, it is a means to quantify faithfulness.
- 4. Non-comprehensive human-annotated highlights cannot be used to automatically evaluate plausibility of highlights that are constrained to be comprehensive. In this case, ExNLP researchers should collect and use comprehensive human-annotated highlights.
- 5. Researchers should not make (error-prone) post-hoc estimates of comprehensiveness of human-annotated highlights from datasets' general descriptions.
- 6. EXNLP researchers should be careful to not popularize their data collection decisions as universally necessary. We advocate for documenting all constraints on collected explanations

in a datasheet, highlighting whether each constraint is necessary for explanation to be valid or not, and noting how each constraint might affect modeling and evaluation.

# 5 Rise of Structured Explanations

The merit of free-text explanations is their expressivity, which can come at the costs of underspecification and inconsistency due to the difficulty of quality control (stressed by the creators of two popular free-text explanation datasets: E-SNLI and COS-E). In this section, we highlight and challenge one prior approach to overcoming these difficulties: discarding template-like free-text explanations.

We gather crowdsourcing guidelines for the above-mentioned datasets in Tables 6–7 in Appendix and compare them. We observe two notable similarities between the guidelines for the above-mentioned datasets. First, both asked annotators to first highlight input words and then formulate a free-text explanation from them, to control quality. Second, template-like explanations are discarded because they are deemed uninformative. The E-SNLI authors assembled a list of 56 templates (e.g., "There is \( \triangle \triang

Despite re-annotating, Camburu et al. [21] report that E-SNLI explanations still largely follow 28 label-specific templates (e.g., an entailment template "X is another form of Y") even after re-annotation. Similarly, Brahman et al. [18] report that models trained on gold E-SNLI explanations generate template-like explanations for the defeasible NLI task. These findings lead us to ask: what are the differences between templates considered uninformative and filtered out, and those identified by Camburu et al. [21], Brahman et al. [18] that remain after filtering? Are *all* template-like explanations uninformative?

Although prior work indicates that template-like explanations are undesirable, most recently, structured explanations have been intentionally collected (see Table 5; §3). What these studies share is that they acknowledge structure as *inherent* to explaining the tasks they investigate. Related work [GLUCOSE; 85] takes the matter further, arguing that explanations should not be entirely free-form. Following GLUCOSE, we recommend running pilot studies to explore how people define and generate explanations for a task *before* collecting free-text explanations for it. If they reveal that informative human explanations are naturally structured, incorporating the structure in the annotation scheme is useful since the structure is natural to explaining the task. This turned out to be the case with NLI; Camburu et al. [21] report: "Explanations in E-SNLI largely follow a set of label-specific templates. This is a *natural consequence of the task* and dataset". We recommend embracing the structure when possible, but also encourage creators of datasets with template-like explanations to highlight in a dataset datasheet (§4) that template structure can influence downstream modeling decisions. There is no all-encompassing definition of explanation, and researchers could consult domain experts or follow literature from other fields to define an appropriate explanation in a task-specific manner, such as in GLUCOSE [85]. For conceptualization of explanations in different fields see Tiddi et al. [119].

Finally, what if pilot studies do not reveal any obvious structure to human explanations of a task? Then we need to do our best to control the quality of free-text explanations because low dataset quality is a bottleneck to building high-quality models. COS-E is collected with notably less annotation constraints and quality controls than E-SNLI, and has annotation issues that some have deemed make the dataset unusable [87]; see examples in Table 7 of Appendix A. As exemplars of quality control, we point the reader to the annotation guidelines of VCR [143] in Table 8 and GLUCOSE [84]. In §6 and §7, we give further task-agnostic recommendations for collecting high-quality ExNLP datasets, applicable to all three explanation types.

# **Takeaways**

- 1. EXNLP researchers should study how people define and generate explanations for the task before collecting free-text explanations.
- 2. If pilot studies show that explanations are naturally structured, embrace the structure.
- 3. There is no all-encompassing definition of explanation. Thus, EXNLP researchers could consult domain experts or follow literature from other fields to define an appropriate explanation form, and these matters should be open for debate on a given task.

# 6 Increasing Explanation Quality

When asked to write free-text sentences from scratch for a table-to-text annotation task outside ExNLP, Parikh et al. [92] note that crowdworkers produce "vanilla targets that lack [linguistic] variety". Lack of variety can result in annotation artifacts, which are prevalent in the popular SNLI [16] and MNLI [129] datasets [97, 45, 120], among others [40]. These authors demonstrate the harms of such artifacts: models can overfit to them, leading to both performance over-estimation and problematic generalization behaviors.

Artifacts can occur from poor-quality annotations and inattentive annotators, both of which have been on the rise on crowdsourcing platforms [25, 7, 87]. To mitigate artifacts, both increased **diversity of annotators** and **quality control** are needed. We focus on quality control here and diversity in §7.

## 6.1 A Two-Stage Collect-And-Edit Approach

While ad-hoc methods can improve quality [20, 143, 84], an effective and generalizable method is to collect annotations in two stages. A two-stage methodology has been applied by a small minority of ExNLP dataset papers [58, 144, 143], who first compile explanation candidates automatically or from crowdworkers, and secondly perform quality-control by having other crowdworkers assess the quality of the collected explanations (we term this Collect-And-Judge). Judging improves the overall quality of the final dataset by removing low-quality instances, and additionally allows authors to release quality ratings for each instance.

Outside EXNLP, Parikh et al. [92] use an extended version of this approach (that we term Collect And-Edit): they generate a noisy automatically-extracted dataset for the table-to-text generation task, and then ask annotators to edit the datapoints. Bowman et al. [17] use this approach to re-collect NLI hypotheses, and find, crucially, that having annotators edit rather than create hypotheses reduces artifacts in a subset of MNLI. In XAI, Kutlu et al. [67] collect highlight explanations for Web page ranking with annotator editing. We advocate expanding the Collect-And-Judge approach for explanation collection to Collect-And-Edit. This has potential to increase linguistic diversity via multiple annotators per-instance, reduce individual annotator biases, and perform quality control. Through a case study of two multimodal free-text explanation datasets, we will demonstrate that collecting explanations automatically without human editing (or at least judging) can lead to artifacts.

E-SNLI-VE [32] and VQA-E [75] are two visual-textual datasets for entailment and question-answering, respectively. E-SNLI-VE combines annotations of two datasets: (i) SNLI-VE [131], collected by replacing the textual premises of SNLI [16] with FLICKR30K images [140], and (ii) E-SNLI [20], a dataset of crowdsourced explanations for SNLI. This procedure is possible because every SNLI premise was originally the caption of a FLICKR30K photo. However, since SNLI's hypotheses were collected from crowdworkers who did not see the original images, the photo replacement process results in a significant number of errors [122]. Do et al. [32] re-annotate labels and explanations for the neutral pairs in the validation and test sets of SNLI-VE. However, it has been argued that the dataset remains low-quality for training models due to artifacts in the entailment and the neutral class' training sets [78]. With a full EDIT approach, we expect that these artifacts would be significantly reduced, and the resulting dataset could have quality on-par with E-SNLI. Similarly, the VQA-E dataset [75] converts image captions from the VQA v2.0 dataset [43] into explanations, but a notably lower plausibility compared to a carefully-crowdsourced VCR explanations is reported in [78].

Both E-SNLI-VE and VQA-E present novel and cost-effective ways to produce large ExNLP datasets for new tasks, but also show the quality tradeoffs of automatic collection. Strategies such as crowdsourced judging and editing, even on a small subset, can reveal and mitigate such issues.

#### 6.2 Teach and Test the Underlying Task

In order to both create and judge explanations, annotators must understand the underlying task and label-set well. In most cases, this necessitates teaching and testing the task. Prior work outside of ExNLP has noted the difficulty of scaling annotation to crowdworkers for complex linguistic tasks [106, 35, 99, 85]. To increase annotation quality, these works provide intensive training to crowdworkers, including personal feedback. Since label understanding is a prerequisite for explanation collection, task designers should consider relatively inexpensive strategies such as qualification tasks and checker questions. This need is correlated with the difficulty and domain-specificity of the task, as elaborated above.

Similarly, people cannot explain all tasks equally well and even after intensive training they might struggle to explain tasks such as deception detection and recidivism prediction [89]. Human explanations for such tasks might be limited in serving the three goals outlined in §1.

#### 6.3 Addressing Ambiguity

Data collectors often collect explanations post-hoc, i.e., annotators are asked to explain labels assigned by a system or other annotators. The underlying assumption is that the explainer believes the assigned label to be correct or at least likely (there is no task ambiguity). However, this assumption has been shown to be inaccurate (among others) for relation extraction [8], natural language inference [96, 88], and complement coercion [35], and the extent to which it is true likely varies by task, instance, and annotator. If an annotator is uncertain about a label, their explanation may be at best a hypothesis and at worst a guess. HCI research encourages leaving room for ambiguity rather than forcing raters into binary decisions, which can result in poor or inaccurate labels [108].

To ensure explanations reflect human decisions as closely as possible, it is ideal to collect both labels and explanations from the same annotators. Given that this is not always possible, including a checker question to assess whether an explanation annotator agrees with a label is a good alternative.

#### **Takeaways**

- 1. Using a COLLECT-AND-EDIT method can reduce individual annotator biases, perform quality control, and potentially reduce dataset artifacts.
- 2. Teaching and testing the underlying task and addressing ambiguity can improve data quality.

# 7 Increasing Explanation Diversity

Beyond quality control, increasing annotation diversity is another task-agnostic means to mitigate artifacts and collect more representative data. We elaborate on suggestions from related work (inside and outside ExNLP) here.

#### 7.1 Use a Large Set of Annotators

Collecting representative data entails ensuring that a handful of annotators do not dominate data collection. Outside ExNLP, Geva et al. [40] report that recruiting only a small pool of annotators (1 annotator per 100–1000 examples) allows models to overfit on annotator characteristics. Such small annotator pools exist in ExNLP—for instance, E-SNLI reports an average of 860 explanations written per worker. The occurrence of the incorrect explanation "rivers flow trough valleys" for 529 different instances in COS-E v1.11 is likely attributed to a single annotator. Al Kuwatly et al. [3] find that demographic attributes can predict annotation differences. Similarly, Davidson et al. [28], Sap et al. [110] show that annotators often consider African-American English writing to be disproportionately offensive.<sup>2</sup> A lack of annotator representation concerns ExNLP for three reasons: explanations depend on socio-cultural background [63], annotator traits should not be predictable [40], and the subjectivity of explaining leaves room for social bias to emerge.

On most platforms, annotators are not restricted to a specific number of instances. Verifying that no worker has annotated an excessively large portion of the dataset in addition to strategies from Geva

<sup>&</sup>lt;sup>2</sup>In another related study, 82% of annotators reported their race as white [111]. This is a likely explanation for the disproportionate annotation.

et al. [40] can help mitigate annotator bias. More elaborate methods for increasing annotator diversity include collecting demographic attributes or modeling annotators as a graph [3, 126].

# 7.2 Multiple Annotations Per Instance

HCI research has long considered the ideal of crowdsourcing a single ground-truth as a "myth" that fails to account for the diversity of human thought and experience [9]. Similarly, ExNLP researchers should not assume there is always one correct explanation. Many of the assessments crowdworkers are asked to make when writing explanations are subjective in nature, and there are many different models of explanation based on a user's cognitive biases, social expectations, and socio-cultural background [82]. Prasad et al. [98] present a theoretical argument to illustrate that there are multiple ways to highlight input words to explain an annotated sentiment label. Camburu et al. [20] find a low inter-annotator BLEU score [91] between free-text explanations collected for E-SNLI test instances.

If a dataset contains only one explanation when multiple are plausible, a plausible model explanation can be penalized unfairly for not agreeing with it. We expect that modeling multiple explanations can also be a useful learning signal. Some existing datasets contain multiple explanations per instance (last column of Tables 3–5). Future ExNLP data collections should do the same if there is subjectivity in the task or diversity of correct explanations (which can be measured via inter-annotator agreement). If annotators exhibit low agreement between explanations deemed as plausible, this can reveal a diversity of correct explanations for the task, which should be considered in modeling and evaluation.

# 7.3 Get Ahead: Add Contrastive and Negative Explanations

The machine learning community has championed modeling *contrastive explanations* that justify why a prediction was made *instead of* another, to align more closely with human explanation [31, 49, 82]. Most recently, methods have been proposed in NLP to produce contrastive edits of the input as explanations [107, 134, 130, 55]. Outside of ExNLP, datasets with contrastive edits have been collected to assess and improve robustness of NLP models [59, 38, 74] and might be used for explainability too.

Just as highlights are not sufficiently intelligible for complex tasks, the same might hold for contrastive input edits. To the best of our knowledge, there is no dataset that contains contrastive free-text or structured explanations. These could take the form of (i) collecting explanations that answer the question "why...instead of...", or (ii) collecting explanations for other labels besides the gold label, to be used as an additional training signal. A related annotation paradigm is to collect *negative explanations*, i.e., explanations that are invalid for an (input, gold label) pair. Such examples can improve EXNLP models by providing supervision of what is *not* a correct explanation [112]. A human JUDGE or EDIT phase automatically gives negative explanations: the low-scoring instances (former) or instances pre-editing (latter) [58, 144].

#### **Takeaways**

- 1. To increase annotation diversity, a large set of annotators, multiple annotations per instance, and collecting explanations that are most useful to the needs of end-users are important.
- 2. Reporting inter-annotator agreement with plausibility of annotated explanations is useful to known whether there is a natural diversity of explanations for the task and should the diversity be considered for modeling and evaluation.

# 8 Conclusions

We have presented a review of existing datasets for ExNLP research, highlighted discrepancies in data collection that can have downstream modeling effects, and synthesized the literature both inside and outside ExNLP into a set of recommendations for future data collection.

We note that a majority of the work reviewed in this paper has originated in the last 1-2 years, indicating an explosion of interest in collecting datasets for ExNLP. We provide reflections for current and future data collectors in an effort to promote standardization and consistency. This paper also serves as a starting resource for newcomers to ExNLP, and, we hope, a starting point for further discussions.

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# Checklist

- 1. For all authors...
  - (a) Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope? [Yes]
  - (b) Did you describe the limitations of your work? [Yes] We make a best-effort to justify our scope clearly in §1. We also include additional discussion of the definition of explanation in XAI and datasets not included under this definition in Appendix D.
  - (c) Did you discuss any potential negative societal impacts of your work? [Yes] In §6 and §7, we discuss reasons for dataset artifacts that are related to amplifying social bias. Namely, we acknowledge that social expectations and socio-cultural background influence how people explain and that the subjectivity of explaining might result in social bias. To alleviate this we call for using a large pool of annotators (implicitly increasing diversity), collecting multiple explanations, and collecting demographic attributes of annotators. In §4 and §7 we discuss factors that influence faithfulness, i.e., how accurately explanations represent the model's decision-making process. Unfaithful explanations are at odds with the objectives of XAI outlined in §1, one of which is quantifying bias and fairness.
  - (d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [Yes]
- 2. If you are including theoretical results...
  - (a) Did you state the full set of assumptions of all theoretical results? [N/A]
  - (b) Did you include complete proofs of all theoretical results? [N/A]
- 3. If you ran experiments (e.g. for benchmarks)...
  - (a) Did you include the code, data, and instructions needed to reproduce the main experimental results (either in the supplemental material or as a URL)? [N/A]
  - (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? [N/A]
  - (c) Did you report error bars (e.g., with respect to the random seed after running experiments multiple times)? [N/A]
  - (d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? [N/A]
- 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
  - (a) If your work uses existing assets, did you cite the creators? [Yes]
  - (b) Did you mention the license of the assets? [Yes] We include information on licenses of the datasets surveyed in Appendix B.
  - (c) Did you include any new assets either in the supplemental material or as a URL? [Yes] We created a living version of the surveyed datasets as a website, where pull requests and issues can be opened to add and update datasets: https://exnlpdatasets.github.io
  - (d) Did you discuss whether and how consent was obtained from people whose data you're using/curating? [N/A]
  - (e) Did you discuss whether the data you are using/curating contains personally identifiable information or offensive content? [N/A]
- 5. If you used crowdsourcing or conducted research with human subjects...
  - (a) Did you include the full text of instructions given to participants and screenshots, if applicable? [N/A]
  - (b) Did you describe any potential participant risks, with links to Institutional Review Board (IRB) approvals, if applicable? [N/A]
  - (c) Did you include the estimated hourly wage paid to participants and the total amount spent on participant compensation? [N/A]

# **A Complementing Information**

We provide the following additional illustrations and information that complement discussions in the main paper:

- Details of dataset licenses in Appendix B.
- Details of dataset collection in Appendix C.
- An illustration of connections between assumptions made in the development of selfexplanatory highlighting models (discussed in §4) is shown in Figure 2.
- Overviews of quality measures and outcomes in E-SNLI, COS-E, and VCR in Tables 6–8.
- A discussion of explanation and commonsense reasoning in Appendix D.

#### **B** Dataset Licenses

The authors of 33.96% papers cited in Tables 3–5 do **not** report the dataset license in the paper or a repository; 45.61% use *common* permissive licenses such as Apache 2.0, MIT, CC BY-SA 4.0, CC BY-SA 3.0, BSD 3-Clause "New" or "Revised" License, BSD 2-Clause "Simplified" License, CC BY-NC 2.0, CC BY-NC-SA, GFDL, and CC0 1.0 Universal. We overview the rest:

- WIKIQA: "Microsoft Research Data License Agreement for Microsoft Research WikiQA Corpus"
- MULTIRC: "Research and Academic Use License"
- Hanselowski et al. [47]: A data archive is under Copyright.
- CoQA: "Children's stories are collected from MCTest [105] which comes with MSR-LA license. Middle/High school exam passages are collected from RACE [69] which comes with its own license." The rest of the dataset is under permissive licenses: BY-SA 4.0 and Apache 2.0.
- Wang et al. [125]: The part of the dataset that is built on on TACRED [146] cannot be distributed (under "LDC User Agreement for Non-Members") and the license for the rest of dataset is not specified.
- BDD-X: "UC Berkeley's Standard Copyright and Disclaimer Notice"
- VCR: "Dataset License Agreement"
- VLEP: "VLEP Dataset Download Agreement"
- WORLDTREE V1: "End User License Agreement"
- WORLDTREE V2: "End User License Agreement"
- ECQA: "Community Data License Agreement Sharing Version 1.0"

# C Dataset Collection

To collect the datasets, we used our domain expertise, having previously published work using highlights and free-text explanations, to construct a seed list of datasets. In the year prior to submission, we augmented this list as we encountered new publications and preprints. We then searched the ACL Anthology (https://aclanthology.org) for the terms "explain", "interpret", "explanation", and "rationale", focusing particularly on proceedings from 2020 and onward, as the subfield has grown in popularity significantly in this timeframe. We additionally first made live the website open to public contributions 3.5 months prior to submission, and integrated all dataset suggestions we received into the tables.

# D Explanation and Commonsense Reasoning

The scope of our survey focuses on textual explanations that explain *human decisions* (defined in the survey as task labels). There has recently emerged a set of datasets at the intersection of commonsense

reasoning and explanation (such as GLUCOSE [85]). We class these datasets as explaining *observed* events or phenomena in the world, where the distinction between class label and explanation is not defined. For an illustration of the difference between these datasets and those surveyed in the main paper, see Figure 1.

Unlike the datasets surveyed in the paper, datasets that explain *observed events or phenomena* in the world (often in the form of commonsense inferences) do not fit the three main goals of EXNLP because they do not lend themselves to task-based explanation modeling. These datasets generally do not use the term "explanation" [52, 36, 37, *inter alia*], with two exceptions: ART [14] and GLUCOSE [85]. They produce tuples of the form (input, label), where the input is an event or observation and the label can possibly be seen as an explanation, rather than (input, label, explanation).

Some datasets surveyed in the paper fit both categories. For instance, SBIC [110] contains both human-annotated "offensiveness" labels and justifications of why social media posts might be considered offensive (middle of Fig. 1). Other examples include predicting future events in videos [VLEP; 72] and answering commonsense questions about images [VCR; 143]. Both collect observations about a real-world setting as task labels as well as explanations. We include them in our survey.

A side-note on the scope. We discuss some necessary properties of human-authored explanations (e.g., sufficiency in §4) and conditions under which they are necessary (e.g., comprehensiveness if we wish to evaluate plausibility of model highlights that are constrained to be comprehensive; §4), as well as properties that are previously typically considered as unwanted but we illustrate they are not necessarily inappropriate (e.g., template-like explanations in §5). However, there might be other relevant properties of human-annotated explanations that we did not discuss since we focus on discussing topics most relevant to the latest ExNLP and NLP research such as sufficiency, comprehensivness, plausibility, faithfulness, template-like explanations, and data artifacts. Moreover, as we highlight in §5, there is no all-encompassing definition of explanation and thus there we do not expect that there is universal criteria for an appropriate explanation.

What tense is this sentence in: "Jenny Is this post offensive: "We shouldn't lower How do I separate an egg forgot to lock her car at the grocery store"? our standards just to hire more women"? Answer: Past Answer: Squeeze the water Answer: Yes Explanation: "Forgot" is the past tense of bottle and press it against the Explanation: [the post] implies that the verb "to forget" yolk. Release, which creates women are less qualified suction and lifts the yolk. Explaining **Explaining** Human Decisions Observations about the World

Figure 1: Two classes of ExNLP datasets (§D). The shaded area is our scope.

#### EXPLAINING NATURAL LANGUAGE INFERENCE (E-SNLI:Camburu et al. 20)

# **General Constraints for Quality Control**

Guided annotation procedure:

- Step 1: Annotators had to highlight words from the premise/hypothesis that are essential for the given relation.
- Step 2: Annotators had to formulate a free-text explanation using the highlighted words.
- To avoid ungrammatical sentences, only half of the highlighted words had to be used with the same spelling.
- The authors checked that the annotators also used non-highlighted words; correct explanations needs articulate a link between the keywords.
- Annotators had to give self-contained explanations: sentences that make sense without the premise/hypothesis.
- Annotators had to focus on the premise parts that are *not* repeated in the hypothesis (non-obvious elements).
- In-browser check that each explanation contains at least three tokens.
- In-browser check that an explanation is not a copy of the premise or hypothesis.

# **Label-Specific Constraints for Quality Control**

- For entailment, justifications of all the parts of the hypothesis that do not appear in the premise were required.
- For neutral and contradictory pairs, while annotators were encouraged to state all the elements that contribute to the relation, an explanation was considered correct if at least one element is stated.
- For entailment pairs, annotators had to highlight at least one word in the premise.
- For contradiction pairs, annotators had to highlight at least one word in both the premise and the hypothesis.
- For neutral pairs, annotators were allowed to highlight only words in the hypothesis, to strongly emphasize the asymmetry in this relation and to prevent workers from confusing the premise with the hypothesis.

#### **Quality Analysis and Refinement**

- The authors graded correctness of 1000 random examples between 0 (incorrect) and 1 (correct), giving partial scores of k/n if only k out of n required arguments were mentioned.
- An explanation was rated as incorrect if it was template-like. The authors assembled a list of 56 templates that they used for identifying explanations (in the entire dataset) whose edit distance to one of the templates was <10. They re-annotated the detected template-like explanations (11% in total).

#### **Post-Hoc Observations**

- Total error rate of 9.62%: 19.55% on entailment, 7.26% on neutral, and 9.38% on contradiction.
- In the large majority of the cases, that authors report it is easy to infer label from an explanation.
- Camburu et al. [21]: "Explanations in e-SNLI largely follow a set of label-specific templates. This is a natural consequence of the task and the SNLI dataset and not a requirement in the collection of the e-SNLI. [...] For each label, we created a list of the most used templates that we manually identified among e-SNLI." They collected 28 such templates.

Table 6: Overview of quality control measures and outcomes in E-SNLI.

## EXPLAINING COMMONSENSE QA (COS-E; Rajani et al. 100)

#### **General Constraints for Quality Control**

Guided annotation procedure:

- Step 1: Annotators had to highlight relevant words in the question that justifies the correct answer.
- Step 2: Annotators had to provide a brief open-ended explanation based on the highlighted justification that could serve as the commonsense reasoning behind the question.
- In-browser check that annotators highlighted at least one relevant word in the question.
- In-browser check that an explanation contains at least four words.
- In-browser check that an explanation is not a substring of the question or the answer choices without any other extra words.

# **Label-Specific Constraints for Quality Control**

(none)

#### **Quality Analysis and Refinement**

- The authors did unspecified post-collection checks to catch examples that are not caught by their previous filters.
- The authors removed template-like explanations, i.e., sentences "(answer) is the only option that is correct obvious" (the only provided example of a template).

# **Post-Hoc Observations**

- 58% explanations (v1.0) contain the ground truth answer.
- The authors report that many explanations remain noisy after quality-control checks, but that they find them to be of sufficient quality for the purposes of their work.
- Narang et al. [87] on v1.11: "Many of the ground-truth explanations for CoS-E are low quality and/or nonsensical (e.g., the question "Little sarah didn't think that anyone should be kissing boys. She thought that boys had what?" with answer "cooties" was annotated with the explanation "american horror comedy film directed"; or the question "What do you fill with ink to print?" with answer "printer" was annotated with the explanation "health complications", etc.)"
- Further errors exist (v1.11): The answer "rivers flow trough valleys" appears 529 times, and "health complications" 134 times, signifying copy-paste behavior by some annotators. Uninformative answers such as "this word is the most relevant" (and variants) appear 522 times.

Table 7: Overview of quality control measures and outcomes in CoS-E.

#### EXPLAINING VISUAL COMMONSENSE REASONING (VCR; Zellers et al. 143)

#### **General Constraints for Quality Control**

• The authors automatically rate instance "interestingness" and collect annotations for the most "interesting" instances.

Multi-stage annotation procedure:

- Step 1: Annotators had to write 1-3 questions based on a provided image (at least 4 words each).
- Step 2: Annotators had to answer each question (at least 3 words each).
- Step 3: Annotators had to provide a rationale for each answer (at least 5 words each).
- Annotators had to pass a qualifying exam where they answered some multiple-choice questions and wrote a question, answer, and rationale for a single image. The written responses were verified by the authors.
- Authors provided annotators with high-quality question, answer, and rationale examples.
- In-browser check that annotators explicitly referred to at least one object detected in the image, on average, in the question, answer, or rationale.
- Other in-browser checks related to the question and answer quality.
- Every 48 hours, the lead author reviewed work and provided aggregate feedback to make sure the annotators were proving good-quality responses and "structuring rationales in the right way". It is unclear, but assumed, that poor annotators were dropped during these checks.

# **Label-Specific Constraints for Quality Control** (none)

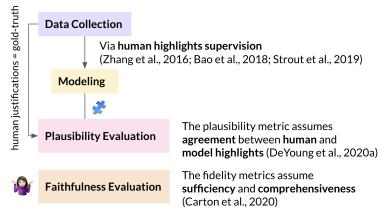
#### **Quality Analysis and Refinement**

• The authors used a second phase to further refine some HITs. A small group of workers who had done well on the main task were selected to rate a subset of HITs (about 1 in 50), and this process was used to remove annotators with low ratings from the main task.

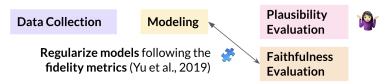
#### **Post-Hoc Observations**

- The authors report that humans achieve over 90% accuracy on the multiple-choice rationalization task derived from the dataset. They also report high agreement between the 5 annotators for each instance. These can be indicative of high dataset quality and low noise.
- The authors report high diversity—almost every rationale is unique, and the instances cover a range of commonsense categories.
- The rationales are long, averaging 16 words in length, another sign of quality.
- External validation of quality: Marasović et al. [78] find that the dataset's explanations are highly plausible with respect to both the image and associated question/answer pairs; they also rarely describe events or objects not present in the image.

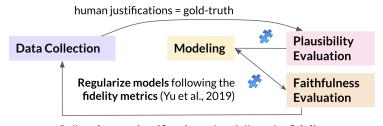
Table 8: Overview of quality control measures and outcomes for (the rationale-collection portion) of VCR. The dataset instances (questions and answers) and their rationales were collected simultaneously; we do not include quality controls placed specifically on the question or answer.



(a) Supervised models' development. When we use human highlights as supervision, we assume that they are the gold-truth and that model highlights should match. Thus, comparing human and model highlights for plausibility evaluation is sound. However, with this basic approach we do not introduce any data or modeling properties that help faithfulness evaluation, and that remains a challenge in this setting.



(b) Unsupervised models' development. In §4, we illustrate that comprehensiveness is not a necessary property of human highlights. Non-comprehensiveness, however, hinders evaluating plausibility of model highlights produced in this setting since model and human highlights do not match by design.



Collect **human justifications** that follow the **fidelity assumptions**: sufficiency and comprehensiveness

(c) Recommended unsupervised models' development. To evaluate both plausibility and faithfulness, we should collect comprehensive human highlights, assuming that they are already sufficient (a necessary property).

Figure 2: Connections between assumptions made in the development of self-explanatory **highlighting** models. The jigsaw icon marks a synergy of modeling and evaluation assumptions. The arrow notes the direction of influence. The text next to the plausibility / faithfulness boxes in the top figure hold for the other figures, but are omitted due to space limits. Cited: De Young et al. [29], Zhang et al. [145], Bao et al. [11], Strout et al. [116], Carton et al. [23], Yu et al. [141].