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## Rational speech act model (RSA) of pragmatic inference

rrrsa is an R package for running RSA models – Bayesian models of pragmatic inference. rrrsa was created by Ben Peloquin in collaboration with Michael C. Frank and has been optimized for analysis of experimental data such as those presented in Frank, et al. (Under Review) and Peloquin & Frank (2016). For other, more flexible variants of RSA models, please see <a href="http://forestdb.org/models/scalar-implicature.html">http://forestdb.org/models/scalar-implicature.html</a>.

#### Installation

You can install the latest version of rrrsa by installing devtools and running:

```
# install.packages("devtools")
# devtools::install_github("benpeloquin7/rrrsa")
```

#### What is RSA?

Rational speech act (RSA) models frame language understanding as a special case of social cognition in which speakers and listeners reason about one another recursively. A pragmatic listener  $P_{L_n}(m|u)$ , reasons about intended meaning m of an utterance u by a rational speaker  $P_{s_n}(u|m)$  who chooses an utterance according to the expected utility of an utterance U(m;u).  $\alpha$  is a decision noise parameter.

$$P_{L_n}(m|u) \propto P_{S_n}(u|m)P(m)$$
 
$$P_{S_n} \propto e^{U(m;u)}$$
 
$$U(m;u) = -\alpha(-\log(P_{L_{n-1}}(m|u)) - C(u))$$

See Frank & Goodman (2012) and Goodman & Stuhmuller (2013) for the original descriptions of the RSA framework. Frank, et al. (Under Review) also provides a comprehensive presentation and evaluation of RSA.

#### rrrsa includes empirical data

Data from "Rational speech act models of pragmatic reasoning in reference games" (Frank, et al., Under Review) and "Determining the alternatives in scalar implicature" (Peloquin & Frank, 2016) are also included in this package. Examples using data from these studies are included below.

#### rrrsa includes access to all model components

rrrsa provides users with access to all model components. The following sections demonstrate how this functionality can be used.

```
library(knitr)
library(ggplot2)
library(tidyr)
library(dplyr)
library(purrr)
library(rrrsa)
```

#### Calculating the informativity of an utterance with rsa.informativity()

rsa.informativity() takes three arguments, literal semantics  $P_{L_0}$ , alpha level (default 1), and cost (default 0). This function returns the surprisal of an utterance minus cost, multiplied by alpha.

```
rsa.informativity(0.4)

## [1] 0.4

rsa.informativity(rsa.informativity(0.4), alpha = 2, cost = 0.5)

## [1] 0.4349251
```

#### Calculating the utility of an utterance with rsa.utility()

rsa.utility takes an input vector of literal listener semantics and outputs a normalized vector of speaker likelihoods. If costs are not specified the default 0's vector is used. If alpha is not specified a default value of 1 is used.

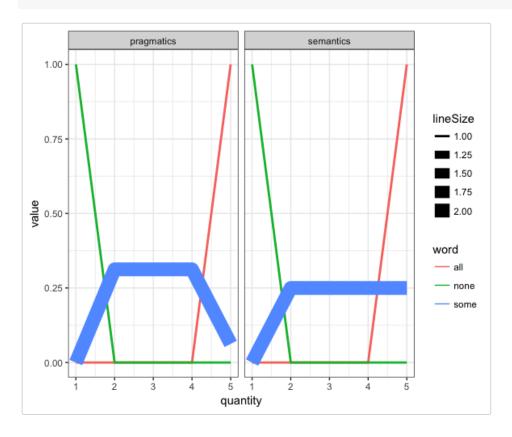
```
literalSemantics <- c(0.0, 0.0, 0.3, 0.3, 0.4)
costs <- c(0.0, 0.0, 0.2, 0.3, 0.4)
rsa.utility(items = literalSemantics, costs = costs, alpha = 3)
## [1] 0.0000000 0.0000000 0.1499485 0.2024093 0.6476421
```

#### Computing one full recursion with rsa.fullRecursion()

In the RSA framework one full recursion consists of a pragmatic listner  $P_{L_1}$  who reasons about a rational speaker  $P_{s_1}$  who reason about a literal listener  $P_{L_0}$ . Expected input is an m matrix of  $P_{L_0}$  literal listener values in which columns correspond to items (words) and rows correspond to semantic quantity (i.e. "stars" in Peloquin & Frank, 2016). Optional arguments include a costs vector which must be the same length as ncol and an optional priors vector which must be the same length as nrows. rsa.fullRecursion() provides safety checking for these cases. Output corresponds with pragmatic listener posterior predictions.

```
m \leftarrow matrix(data = c(1.0, 0.0, 0.0, 0.0, 0.0,
                      0.0, 0.25, 0.25, 0.25, 0.25,
                      0.0, 0.0, 0.0, 0.0, 1.0), \text{ nrow} = 5
colnames(m) <- c("none", "some", "all")</pre>
rownames(m) <- 1:5
# costs <- c("none" = 0, "some" = 0, "all" = 0)
\# priors \leftarrow rnorm(n = nrow(m), mean = 0.5, sd = 0.1)
res <- rsa.fullRecursion(m = m)</pre>
res <- as.data.frame(res) %>%
  mutate(quantity = rownames(.))
## Prep data
pragmaticsTidied <- res %>%
  gather(word, pragmatics, -quantity)
semanticsTidied <- as.data.frame(m) %>%
  mutate(quantity = rownames(.)) %>%
  gather(word, semantics, c(none, some, all))
fullData <- merge(pragmaticsTidied, semanticsTidied) %>%
  gather(type, value, c(pragmatics, semantics)) %>%
  mutate(quantity = as.numeric(quantity),
         lineSize = ifelse(word == "some", 2, 1))
## Visualize implicature
ggplot(fullData, aes(x = quantity, y = value, col = word)) +
  geom_line(aes(size=lineSize)) +
```

facet\_wrap(~type) +
theme\_bw()



#### Running multiple recursions with rsa.reason()

rsa.reason() is a wrapper function for rsa.fullRecursion which provides an additional depth parameter specifying the recursive depth during reasoning. If depth is not provided, default value is 1.

```
all(rsa.reason(m = m, depth = 2) == rsa.fullRecursion(rsa.fullRecursion(m = m)))
```

#### [1] TRUE

```
rsa.reason(m = m, depth = 2) %>%
kable()
```

none	some	all
1	0.0000000	0
0	0.3269231	0
0	0.3269231	0
0	0.3269231	0
0	0.0192308	1

#### Running data frames with rsa.runDf()

Run RSA on a tidied data frame and avoid running individual model components individually with rsa.runDf(). This is the primary workhorse function of rrrsa. An rrrsa-ready, tidied data frame must contain columns for semantic quantity, item and semantics, where each row corresponds with unique item/quantity combination. A user should specify their naming convention for these items in the quantityVarName, itemVarName and semanticsVarName arguments. The costVarName and priorsVarName arguments correspond with costs and/or priors data. Users can specify values for alpha and depth hyperparamenters. rsa.runDf() will return a data frame with model predictions 'preds' appended as a new column.

scales	stars	starsChar	words	listenerSemantics	preds
some_all	1	one	all	0.00	0.0000
some_all	2	two	all	0.00	0.0000
some_all	3	three	all	0.00	0.0000
some_all	4	four	all	0.00	0.0000
some_all	5	five	all	1.00	1.0000
some_all	1	one	some	0.00	0.0000
some_all	2	two	some	0.25	0.3125
some_all	3	three	some	0.25	0.3125
some_all	4	four	some	0.25	0.3125
some_all	5	five	some	0.25	0.0625
some_all	1	one	none	1.00	1.0000
some_all	2	two	none	0.00	0.0000
some_all	3	three	none	0.00	0.0000
some_all	4	four	none	0.00	0.0000
some_all	5	five	none	0.00	0.0000

Importantly, rsa.runDf() maintains all column naming and can handle multiple data types. For example, we can run rsa.runDf() with a character vector for quantity (contrast with the factor vector used above):

```
## [1] TRUE
```

A frequent use case for RSA will require running RSA over multiple groups of data. Rather than subsetting data frames and running RSA iteratively, we recommend using map\_df() from the purrr package. Here we split by the scales variable.

```
df$costs <- c(rep(3, 5), rep(4, 5), rep(9, 5), rep(4, 5))

## Using purrr::map_df() run rsa.runDf() over df subsets

df %>%
    split(.$scales) %>%
    map_df(~rsa.runDf()
    data=.x,
    quantityVarName="stars",
    semanticsVarName="listenerSemantics",
    itemVarName="words",
    costsVarName="costs",
    depth=2)) %>%
    head(n=10) %>%
    kable()
```

scales	stars	words	listenerSemantics	priors	costs	preds
good_excellent	1	excellent	0.00	0.2	9	0.0000000
good_excellent	2	excellent	0.00	0.2	9	0.0000000
good_excellent	3	excellent	0.00	0.2	9	0.0000000
good_excellent	4	excellent	0.00	0.2	9	0.0000000
good_excellent	5	excellent	1.00	0.2	9	1.0000000
good_excellent	1	good	0.00	0.2	4	0.0000000
good_excellent	2	good	0.25	0.2	4	0.3333329
good_excellent	3	good	0.25	0.2	4	0.3333329
good_excellent	4	good	0.25	0.2	4	0.3333329
good_excellent	5	good	0.25	0.2	4	0.0000013

### An example tuning hyperparameters using rsa.runDf() and purrr::map\_df() with empirical data from Peloquin & Frank (2016)

### Data description for Peloquin & Frank (2016) "Determining the alternatives for scalar implicature"

rrrsa includes empirical literal listener  $P_{L_0}$  which can be used as input to rrrsa as well as  $P_{L_1}$  pragmatic judgments for model tuning and comparison. Four data sets are included:

peloquinFrank\_2Alts: data set with entailment alternatives

peloquinFrank\_3Alts: data set with entailment alternatives + universal none

peloquinFrank\_4Alts: data set with entailment alternatives + top two empirically derived alts

peloquinFrank\_5Alts: data set with entailment alternatives + top two empirically derived alts + neutral valence alternative

```
str(peloquinFrank_2Alts) %>%
kable()
```

See  $?peloquinFrank\_2alts \ for \ data \ descriptions \ and \ [link \ to \ CogSci \ paper \ here...]$ 

RSA hyperparameters alpha and depth can be tuned using custom functions. Here we give a simple example of hyperparametr tuning with grid search using nested for loops. We examine empirical data from Peloquin & Frank (2016).

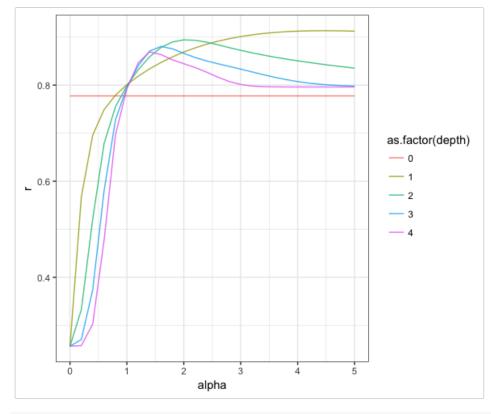
In this case our grouping variable is scales – we run rsa.runDf() on each scale subset for each alpha and depth we're interested in saving the results to res.

```
## hyperparams
depths \leftarrow seq(0, 4)
alphas \leftarrow seq(0, 5, by=0.2)
## Grid search using nested for-loops
res <- c()
for (alpha in alphas) {
  for (depth in depths) {
    curr_out <- peloquinFrank_5Alts %>%
      split(.$scale) %>%
      map_df(~rsa.runDf(
        data=.x,
          quantityVarName="stars",
          semanticsVarName="speaker.p",
          itemVarName="words",
          depth=depth,
          alpha=alpha)) %>%
      mutate(alpha=alpha,
             depth=depth)
    res <- rbind(res, curr_out)
}
```

In this case, we're only interested in how the model predicts some of the items (entailment items; see Peloquin & Frank, 2016).

How does the model respond to the hyperparameters?

```
ggplot(d_cors, aes(x=alpha, y=r, col=as.factor(depth))) +
geom_line(alpha=0.8) +
theme_bw()
```



max_r	max_alpha	max_depth
0.9133501	4.4	1

# Simulating pragmatic inference with data from "Rational speech act models of pragmatic reasoning in reference games" - Frank, et al. (Under Review)

#### Data description for Frank, et al. (Under Review)

rrrsa includes empirical data used in Frank et al. (Under Review) model simulations in the rrrsa::d\_pragmods data frame. We provide users with access to the data and include a short example of running simulations.

```
head(d_pragmods) %>%
kable()
```

X	cond	expt	matrix	prior	query	object	count	р	n	cil	cih	priorType	priorValu
1	0.33	baserate	simple	0.33- baserate	glasses	foil	1	0.0158730	63	0.0000285	0.0603907	foil	0.052631
2	0.33	baserate	simple	0.33- baserate	glasses	logical	11	0.1746032	63	0.0908007	0.2742637	logical	0.543859
3	0.33	baserate	simple	0.33- baserate	glasses	target	51	0.8095238	63	0.7070716	0.8969162	target	0.403508
4	0.33	baserate	simple	0.33- baserate	hat	foil	NA	NA	63	NA	NA	foil	0.052631

	cond	•	matrix	•	query	object	count	р	n	cil	cih	priorType	priorValu
5	0.33	baserate	simple	0.33- baserate	hat	logical	NA	NA	63	NA	NA	logical	0.543859
6	0.33	baserate	simple	0.33- baserate	hat	target	NA	NA	63	NA	NA	target	0.403508

You'll notice some NA values. This is because empirical data were not measured for all items, however we can supply the model with literal semantics for those items. These are present in the speaker.p column.

For more detailed information on the data included in d\_pragmods run.

```
?d_pragmods
```

#### **RSA** simulations

Unlike in the previous example, we'd like to run rsa via rsa.runDf() over individual experiments rather than scales. We've provided a grouping variable in the data frame (grouper) which allows us to do this.

We split by the grouping variable grouper and use purrr::map\_df() which allows us to run rsa.runDf() over subsets of the data frame. This equivalent to subsetting d\_pragmods by each factor in grouper, running rsa.runDf and rbind()ing the results.

```
d_preds_priors <- d_pragmods %>%
split(list(.$grouper)) %>%
map_df(~rsa.runDf(
   data=.x,
   quantityVarName="object",
   semanticsVarName="speaker.p",
   itemVarName="query",
   priorsVarName = "priorValue",
   depth=1,
   alpha=1)) %>%
mutate(keep_indices = ifelse(!is.na(count), "keep", "throwout")) %>%
filter(keep_indices=="keep")
```

```
## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector

## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector

## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector

## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector

## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector

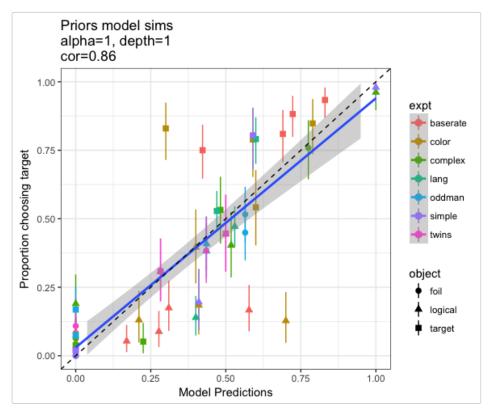
## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector

## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector
```

Notice that we're not interested in all the data here. We only collected empirical judgments for some of the items, but rrrsa requires that we include all the alternatives. We handle this by identifying all the rows that contain NAs after running the simulations and removing them.

How does our model do?

```
ggplot(d_preds_priors, aes(x=preds, y=p, col=expt, pch=object)) +
geom_pointrange(aes(ymin = cil, ymax = cih)) +
xlim(c(\emptyset,1)) + ylim(c(\emptyset,1)) +
```



This data corresponds with the second row of Table 2 in Frank, et al. (Under Review).

We can re-run without including priors by simply omitting the priors column name, in this case priorValue.

```
d_preds_no_priors <- d_pragmods %>%
    split(list(.$grouper)) %>%
    map_df(~rsa.runDf(
        data=.x,
        quantityVarName="object",
        semanticsVarName="speaker.p",
        itemVarName="query",
        # priorsVarName = "priorValue",
        depth=1,
        alpha=1)) %>%
    mutate(keep_indices = ifelse(!is.na(count), "keep", "throwout")) %>%
    filter(keep_indices=="keep")
```

```
## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector

## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector

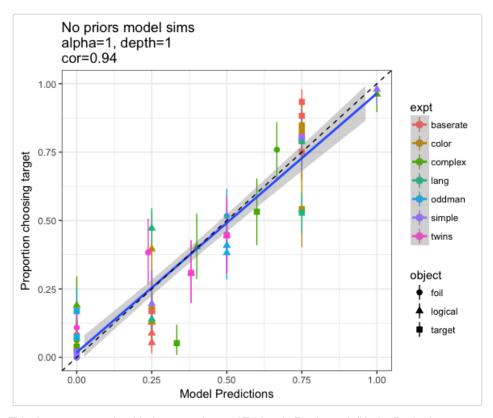
## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector

## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector

## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector
```

```
## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector

## Warning in bind_rows_(x, .id): binding character and factor vector,
## coercing into character vector
```



This data corresponds with the second row of Table 4 in Frank, et al. (Under Review).