

# An Overview of Atmospheric Analyses and Reanalyses for Climate

Kevin E. Trenberth

NCAR

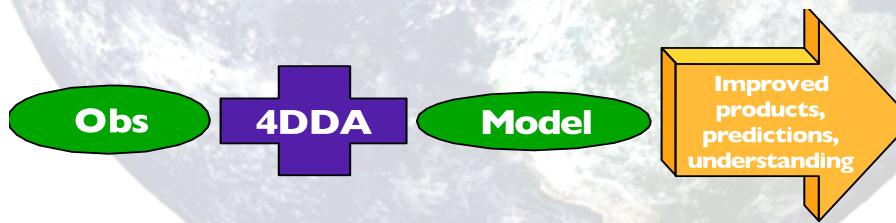
Boulder CO



**Data Assimilation** merges observations & model predictions to provide a superior state estimate.

$$\frac{\partial x}{\partial t} = dynamics + physics + \Delta x$$

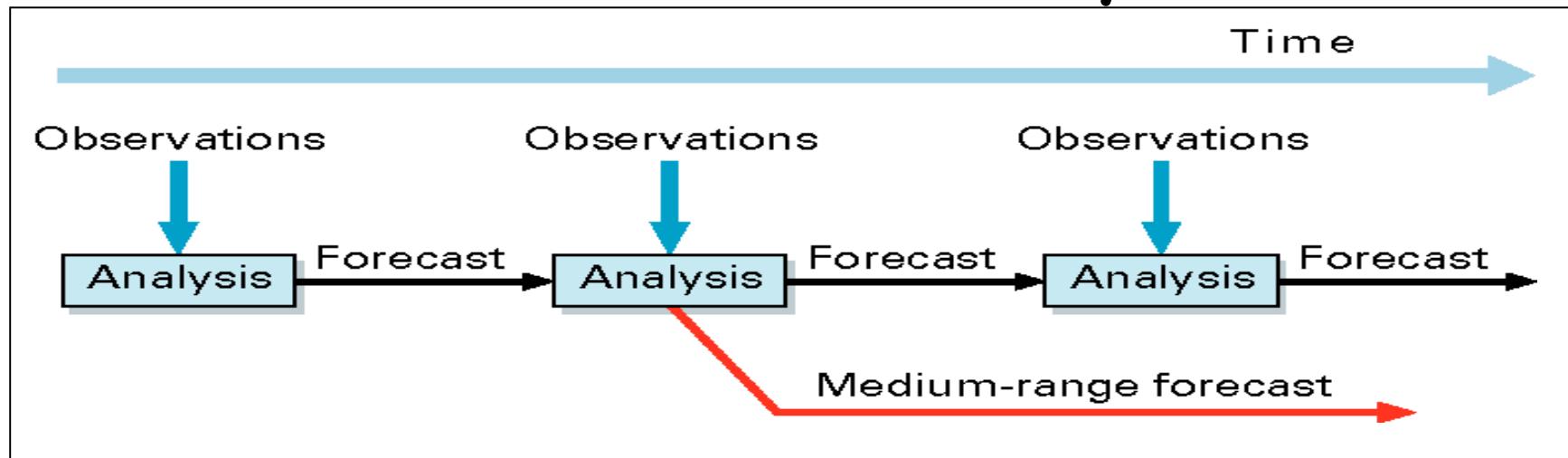
Observations of **state** and storage (**temperature, wind, soil moisture, etc**) are blended with the state of the system as forecast by a model based on the previous set of observations. It provides a dynamically-consistent estimate of the state of the system using the best blend of past, current, and perhaps future observations.



Experience mainly in atmosphere; developing in ocean and land surface.



# Data assimilation system



- ◆ The observations are used to correct errors in the short forecast from the previous analysis time.
- ◆ Every 12 hours ECMWF assimilates 7 - 9,000,000 observations to correct the 80,000,000 variables that define the model's virtual atmosphere.
- ◆ This is done by a careful 4-dimensional interpolation in space and time of the available observations; this operation takes as much computer power as the 10-day forecast.

**Operational four dimensional data assimilation continually changes as methods and assimilating models improve, creating huge discontinuities in the implied climate record.**

**Reanalysis is the retrospective analysis onto global grids using a multivariate physically consistent approach with a constant analysis system.**

**Reanalysis has been applied to atmospheric data covering the past five decades. Although the resulting products have proven very useful, considerable effort is needed to ensure that reanalysis products are suitable for climate monitoring applications.**

From: Executive Summary of "The Second Report on the Adequacy of The Global Observing Systems for Climate in Support of the UNFCCC".

## 1) Call for reanalysis:

Trenberth, K. E., and J. G. Olson, 1988: An evaluation and intercomparison of global analyses from NMC and ECMWF.  
*Bull. Amer. Meteor. Soc.*, 69, 1047-1057.

Bengtsson, L. and J. Shukla, 1988: Integration of space and in situ observations to study global climate change. *Bull. Amer. Meteor. Soc.*, 69, 1130-1143.

## 2) First generation

Schubert, S.D., R. B. Rood and J. Pfaendtner, 1993: An Assimilated Data Set for Earth Science Applications *Bull. Amer. Meteor. Soc.*, 74, 2331-2342.

Gibson, J.K., P. Kallberg, A. Nomura, and S. Uppala, 1994: The **ECMWF re-analysis** (ERA) project- Plans and current status. 10<sup>th</sup> Int. Conf. Interactive Inf. and Proc. Syst. Meteor., Oceanogr. and Hydrol., Nashville, TN, AMS, 164-167.

Kalnay, E., et al, 1996: The **NMC/NCAR** 40-year Reanalysis Project.  
*Bull. Amer. Meteor. Soc.*, 77, 437-471.

Kanamitsu, M, W. Ebisuzaki, J. Woollen, S-K Yang, J .J. Hnilo, M. Fiorino, and G. L. Potter, 2002: **NCEP-DOE** AMIP-II Reanalysis (R-2),. *Bull. Amer. Met. Soc.*, 83, 1631-1643.

## 3) Second generation ERA40, JRA

## 4) Next generation: MERRA, ERA-interim, CFSR



# Atmospheric Reanalyses

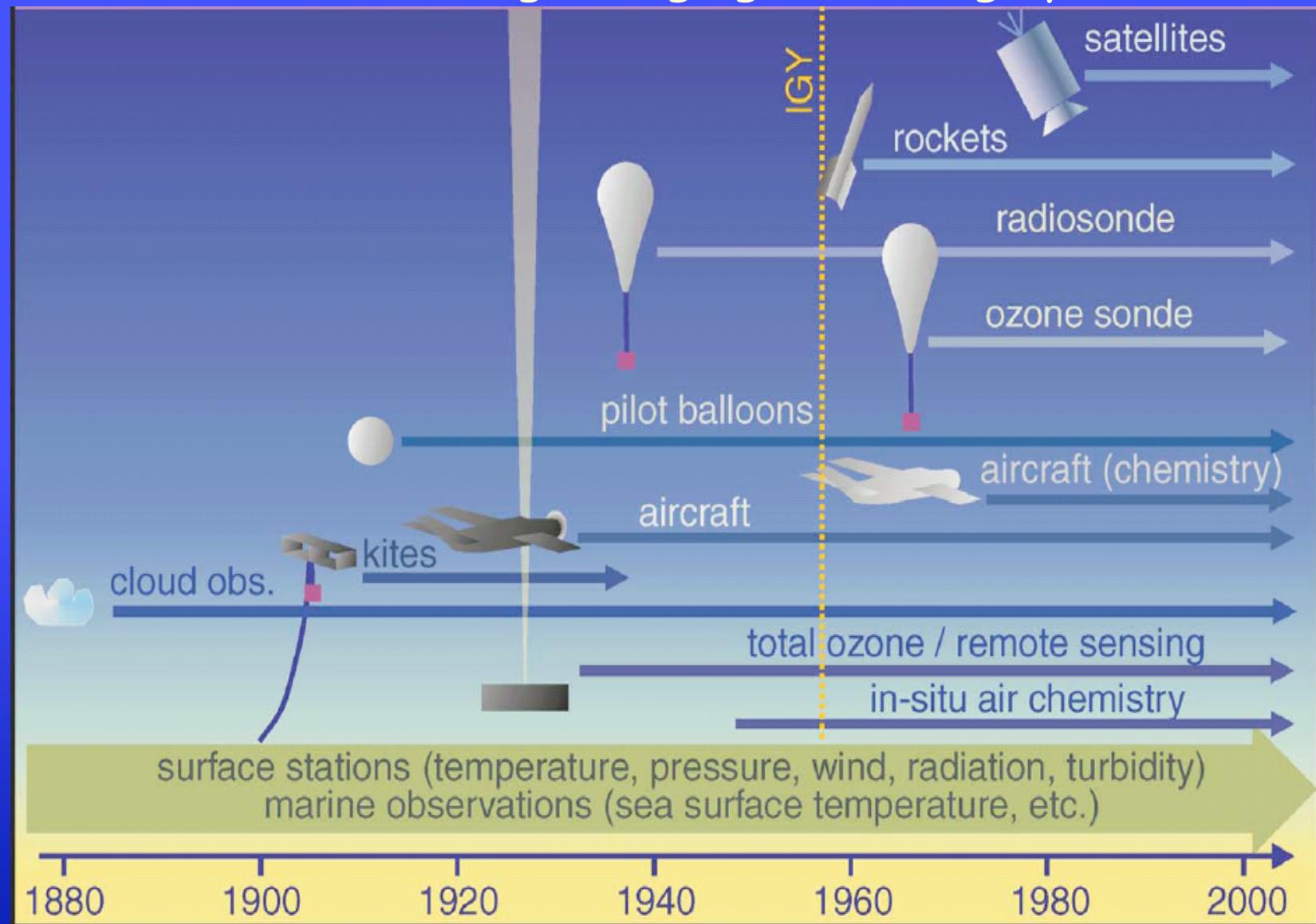
*Current atmospheric reanalyses, with the horizontal resolution (latitude; T159 is equivalent to about  $0.8^\circ$ ), the starting and ending dates, the approximate vintage of the model and analysis system, and current status.*

Reanalysis	Horiz.Res	Dates	Vintage	Status
NCEP/NCAR R1	T62	1948-present	1995	ongoing
NCEP-DOE R2	T62	1979-present	2001	ongoing
CFSR (NCEP)	T382	1979-present	2009	thru 2010, ongoing
C20r (NOAA)	T62	1875-2008	2009	Complete, in progress
ERA-40	T159	1957-2002	2004	done
ERA-Interim	T255	1979-present	2009	ongoing
JRA-25	T106	1979-present	2006	ongoing
JRA-55	T319	1958-2012	2009	underway
MERRA (NASA)	$0.5^\circ$	1979-present	2009	thru 2010, ongoing

# Reanalysis

A MAJOR challenge remains the continually changing observing system in spite of substantial improvements in bias correction in the latest generation of reanalyses

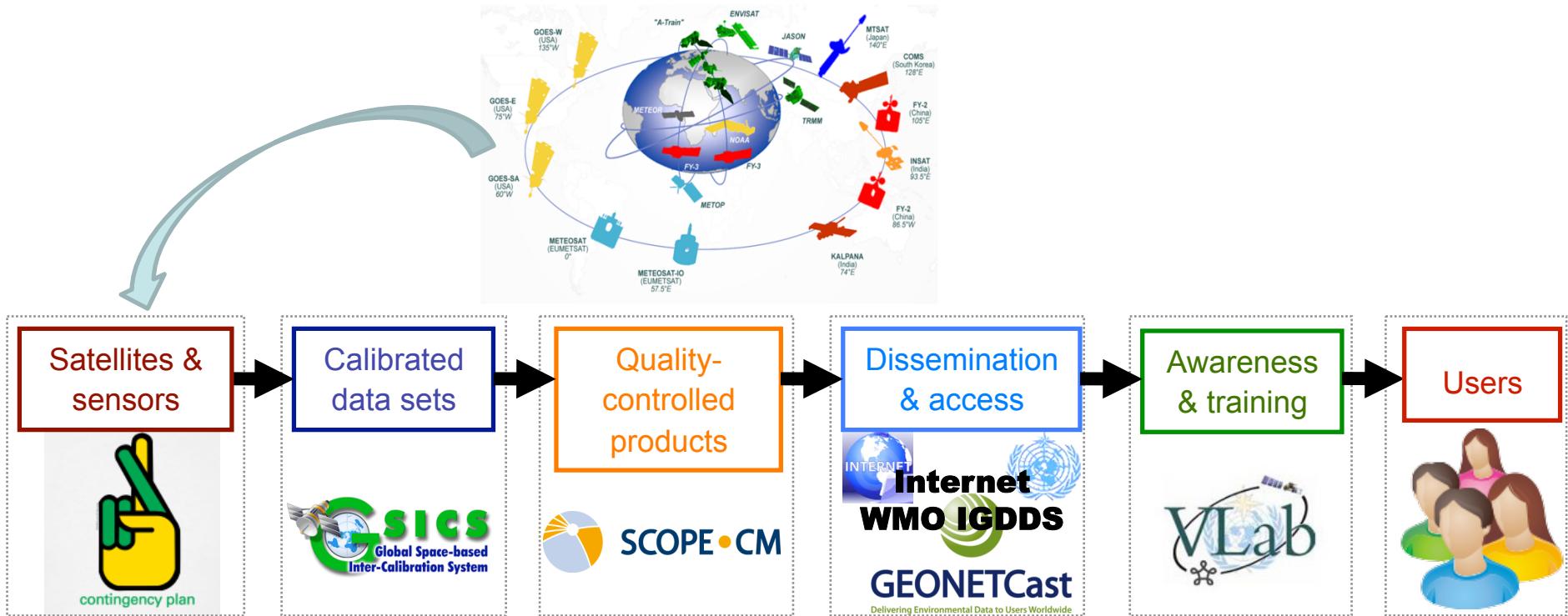
# The continuing changing observing system



# Space-based Global Observing System Schematic



# Information Value Chain



## Components

**GSICS:** Global Space-based Intercalibration System

**IGDDS:** WMO Integrated Global Data Dissemination Service

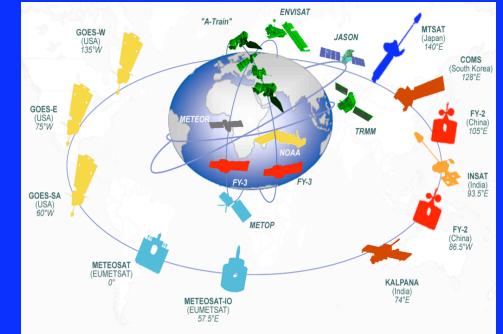
**SCOPE-CM:** Sustained Coordinated Processing of  
Environmental Satellite Data for Climate Monitoring

**VLab:** Virtual Laboratory for Training in Satellite Meteorology



## Given the observations:

Adequate analysis, processing, meta-data, archival, access, and management of the resulting data and the data products create further challenges in spite of the new computational tools.



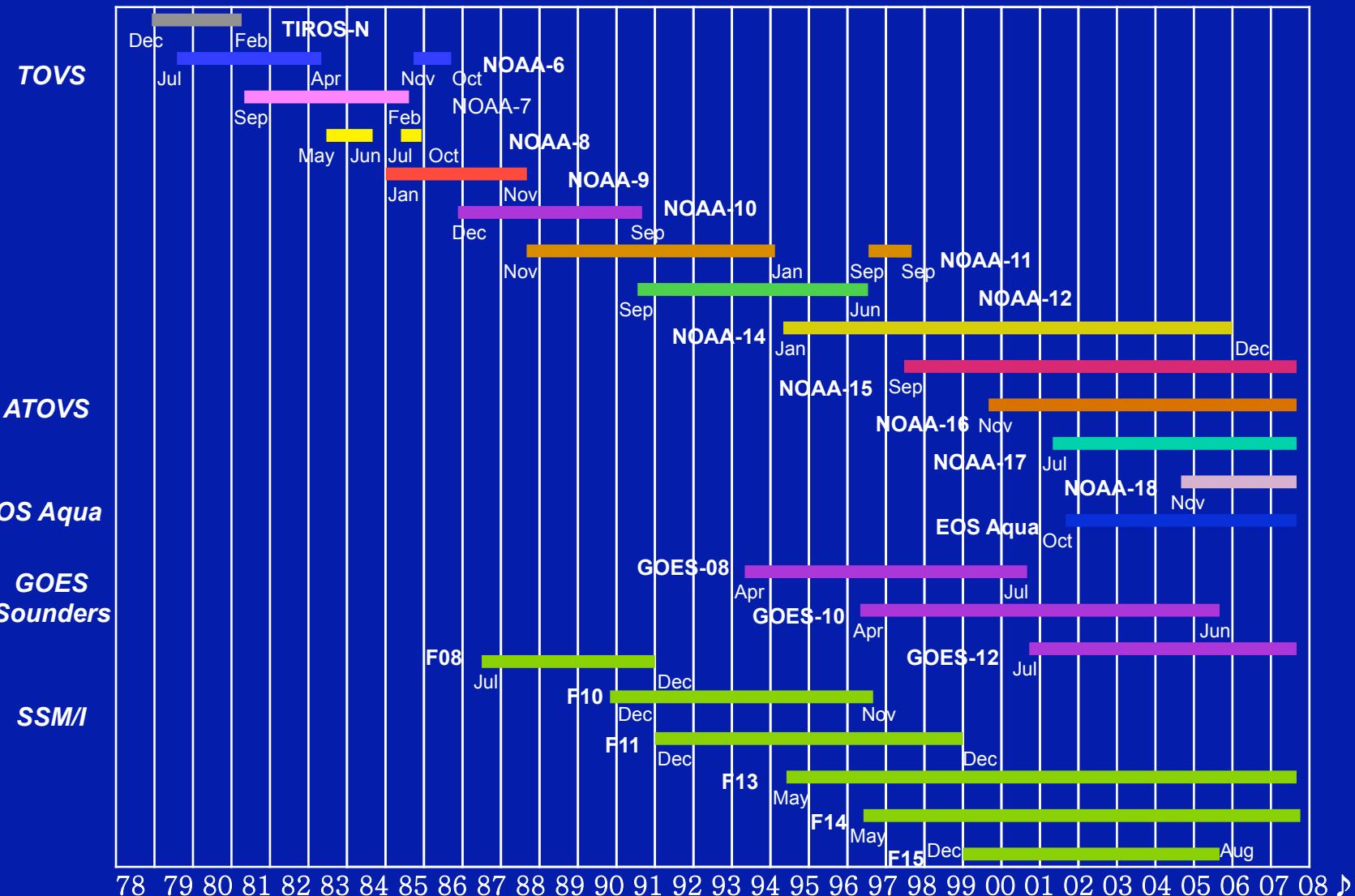
Volumes of data continue to grow and the challenge is to distill information out of the increasing numbers.

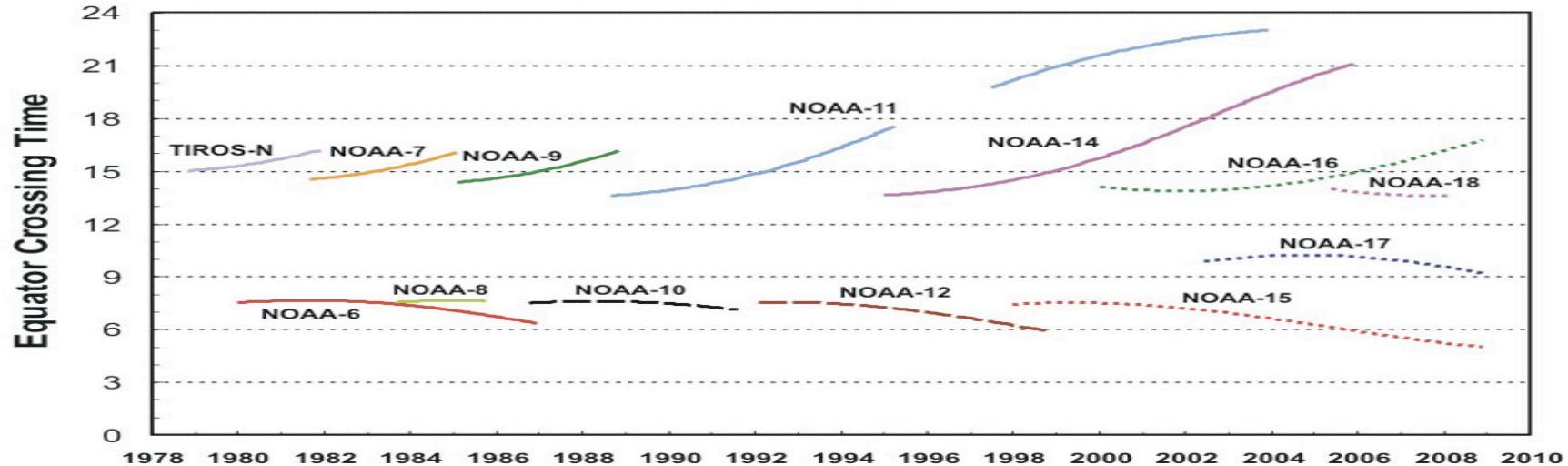


## Known issues

- Nearly all **satellite datasets** contain large spurious variability associated with changing instruments/ satellites, orbital decay and drift, calibration, and changing methods of analysis
- Only 2 datasets (**SSM/I** water vapor; **MSU** satellite temps) were used in AR4 IPCC to examine trends
- Once, the issue was getting a single time series. Now there is a **proliferation** and multiple datasets purporting to be the "one". All differ, often substantially.
- **Reprocessing** is essential and should be the hall mark of any **climate** observing system

# Satellite Data Streams assimilated





## Example: Satellite based observations

- Satellites typically last 3-5 years and have to be replaced
- Orbits decay
- Equator crossing times change
- New satellite orbits differ
- Instrument calibrations drift and can be changed by launch
- Interference can occur from other instruments
- Need is for stable orbits: has improved since 2002
- May require boosters
- Need sufficient sampling of diurnal cycle
- Launch on schedule, not on failure, to ensure overlap
- Calibrations required
- Ground truth validation required

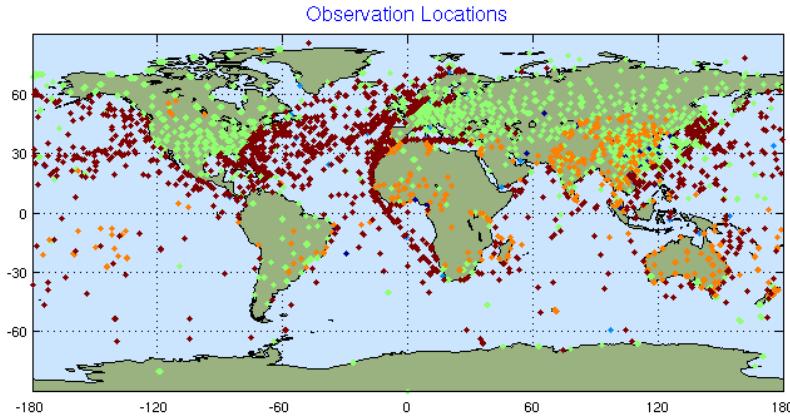
# The Changing Observing System

1973

77k/6h

07-Jan-1973 12UTC All data: 77098 observations

all lat; all lon; all lev; all kt; all kx; all qcx; all qch  
/data/austin/b500\_swp\_73/all\_ods\_workdir/SAVE\_ODS/b500\_swp\_73.ana.obs.19730107\_12z.ods

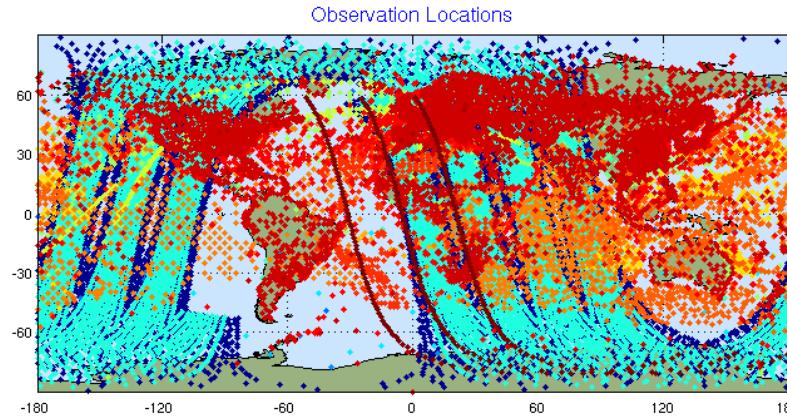


1979

324k

07-Jan-1979 12UTC All data: 325765 observations

all lat; all lon; all lev; all kt; all kx; all qcx; all qch  
/data/austin/b500\_swp\_73/all\_ods\_workdir/SAVE\_ODS/b500\_swp\_73.ana.obs.19790107\_12z.ods

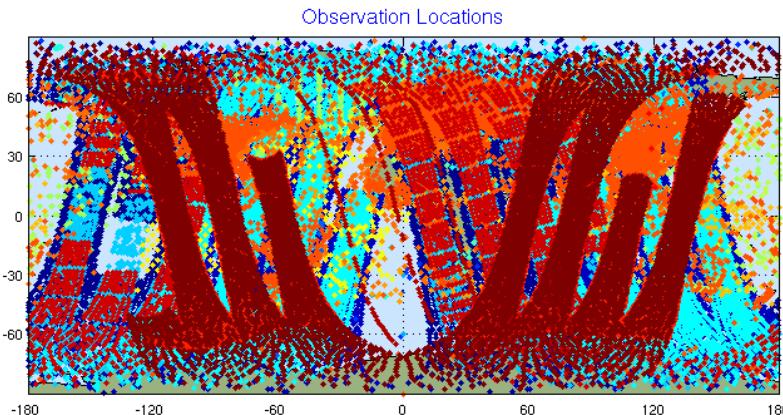


1987

550k

02-Aug-1987 12UTC All data: 550602 observations

all lat; all lon; all lev; all kt; all kx; all qcx; all qch  
/data/austin/b500\_b10p9\_84/all\_ods\_workdir/b500\_b10p9\_84.ana.obs.19870802\_12z.ods

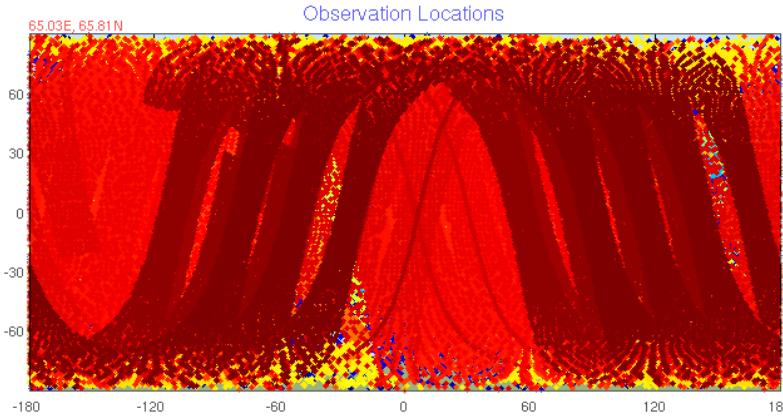


2006

4,220k

07-Jan-2006 12UTC All data: 4217655 observations

all lat; all lon; all lev; all kt; all kx; all qcx; all qch  
/data/austin/d5\_b10p9stab12\_jan06/all\_ods\_workdir/d5\_b10p9stab12\_jan06.ana.obs.20060107\_12z.ods

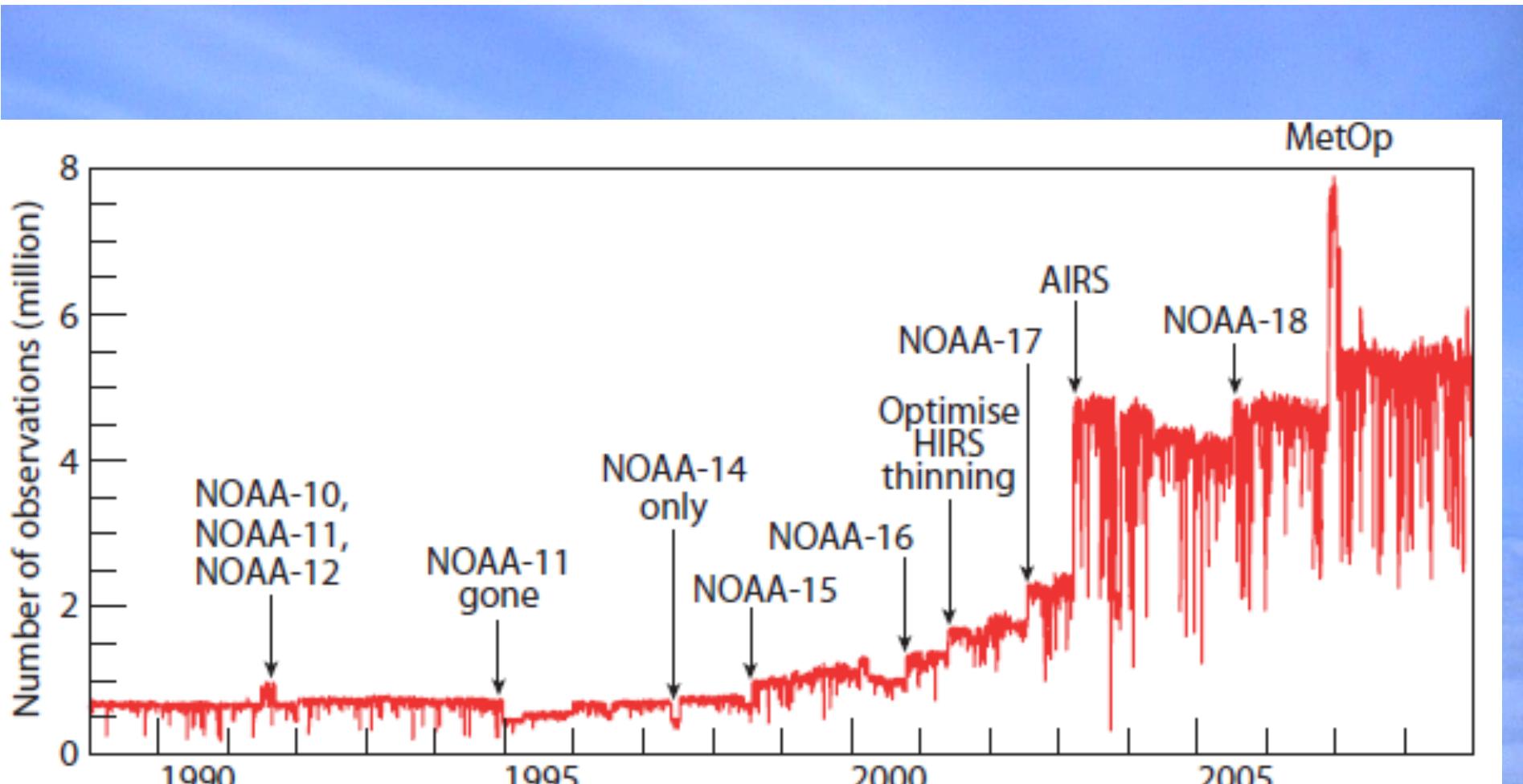


1979 – 325K Obs every 6hrs

2006 – 4.2M Obs every 6hrs

NCAR





The total number of observations (satellite and conventional) used in the ERA-Interim 12-hourly variational analysis for the period 1989–2008 exceeds  $29 \times 10^9$ . This is mainly due to a large increase in the availability of satellite observations in the 20-year period.

# ECMWF

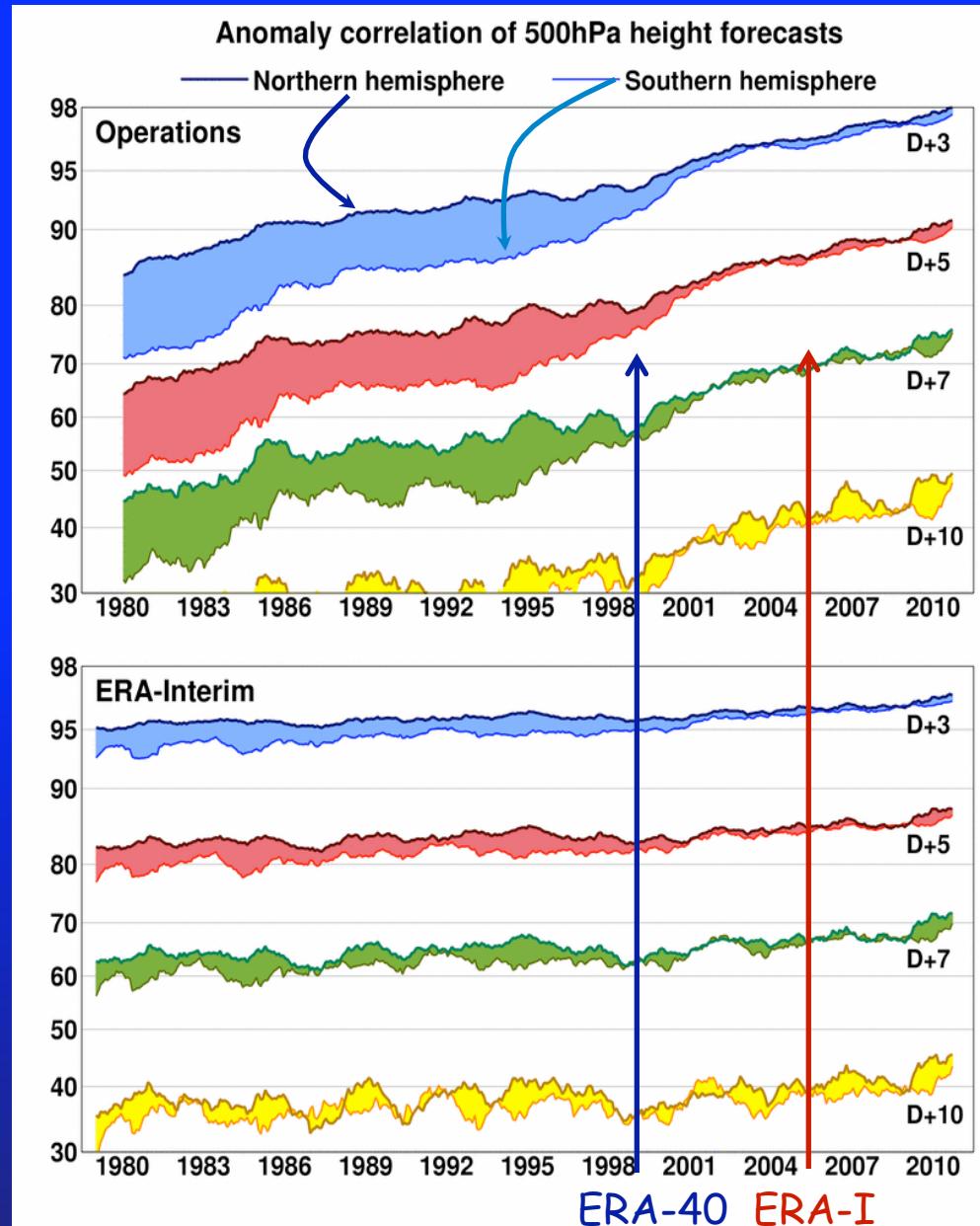
## Improvement in forecasts:

From 1980 to 2000 comes mostly from improvement to forecasting system

Correlation (%) of actual and predicted 500hPa height anomalies  
(12-month running means).

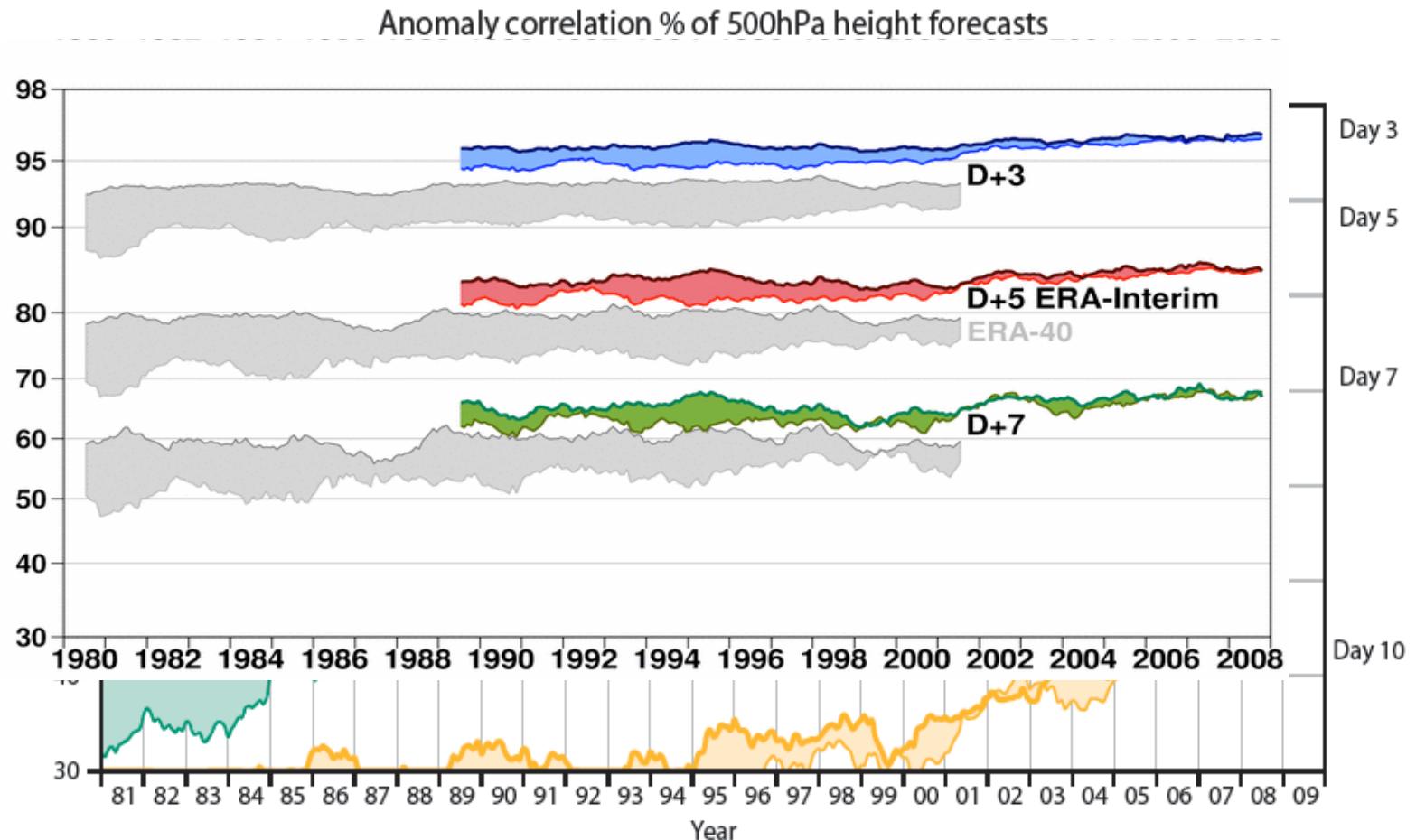
## Reanalysis

Improvement since 2000 comes from both forecasting system and observations



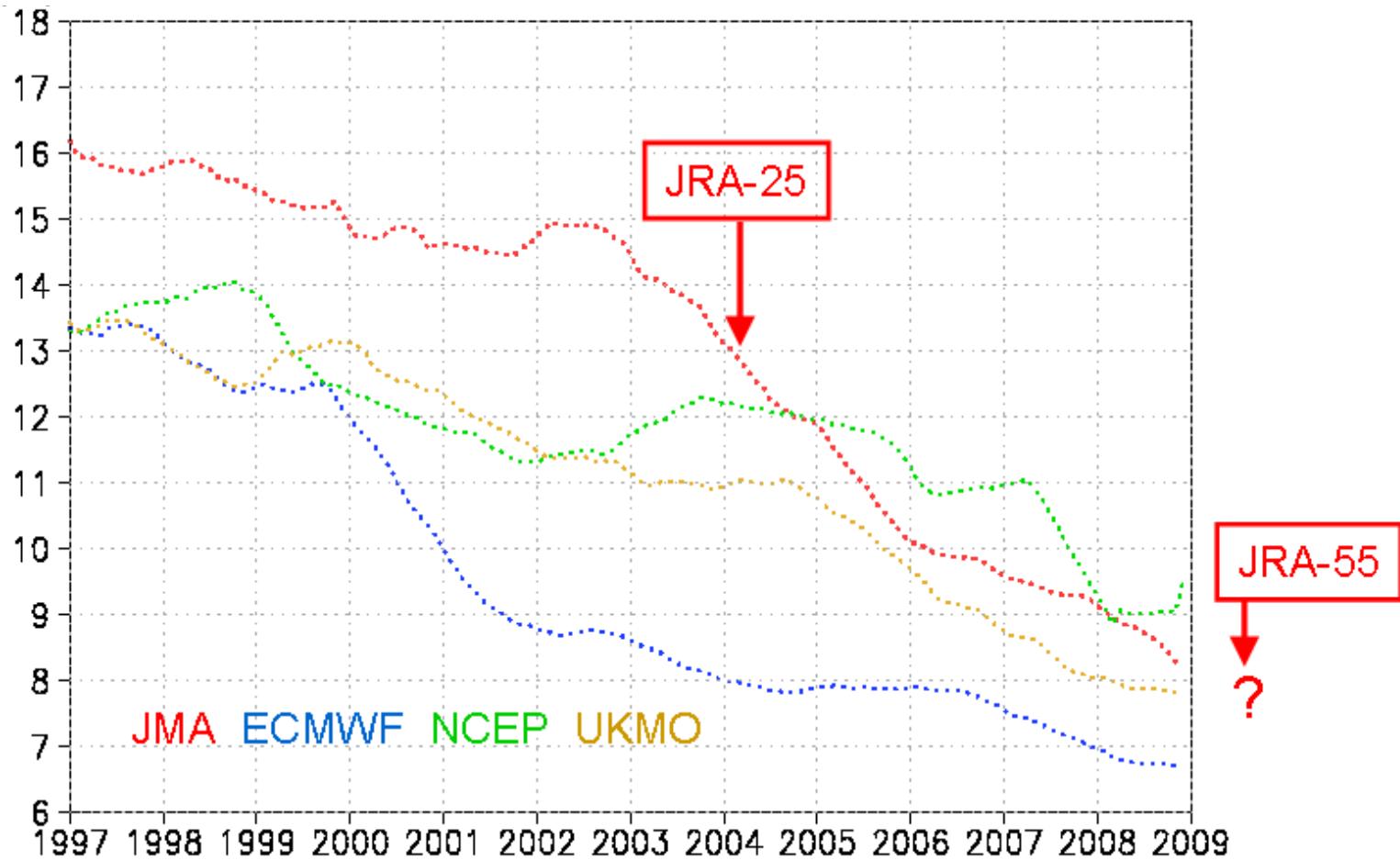
Courtesy Adrian Simmons

# NWP Forecast skill scores continue to improve



Extratropical NH and SH forecasts: 12 month means plotted at last month. Updated from Simmons and Hollingsworth 2002  
SH skill became comparable to NH after about 2002!





*Operational forecast scores of major NWP centers.  
RMSE of geopotential height at 500hPa in NH (m) for  
24-hour forecasts are displayed. The score of JMA  
forecast has improved rapidly in recent years.*

## What have we gained and what are the benefits?

Prior to reanalyses, the analyzed climate record was beset with **major discontinuities** from changes in the data assimilation systems. It was difficult, if not impossible, to reliably infer anomalies and to analyze climate variability.

The use of a **stable data assimilation system** has produced fairly reliable records for monitoring, research and improved prediction that have enabled :

- climatologies to be established
- anomalies to be reliably established
- time series, empirical studies and quantitative diagnostics
- exploration of, improved understanding of processes
- model initialization and validation
- test bed for model improvement on all time scales, especially seasonal-to-interannual forecasts
- Greatly improved basic observations and data bases.



# What have we learned?

Observing system changes affect variability

Trends and low frequencies unreliable

Exacerbated by model bias

Budgets don't balance

Impacts many diagnostic studies

Problems with hydrological cycle

Sensitivity to model physics (e.g., convection)

Exacerbated by insertion of observations

Problems with warm season continental climates

precipitation

diurnal cycle

Unrealistic surface fluxes

Ocean (radiative, freshwater)

Land (precipitation, radiative)

Limits usefulness for offline forcing; e.g. ocean modeling

Limits ability to do coupled assimilation

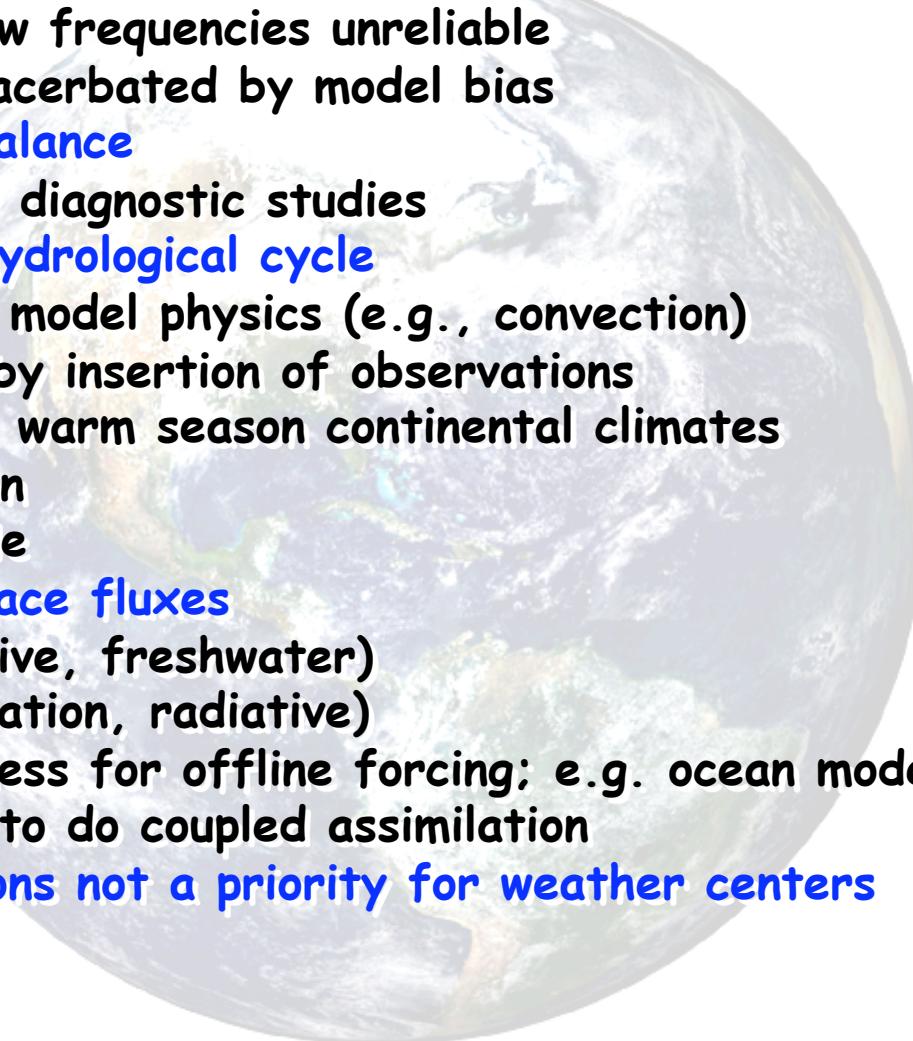
Quantities/regions not a priority for weather centers

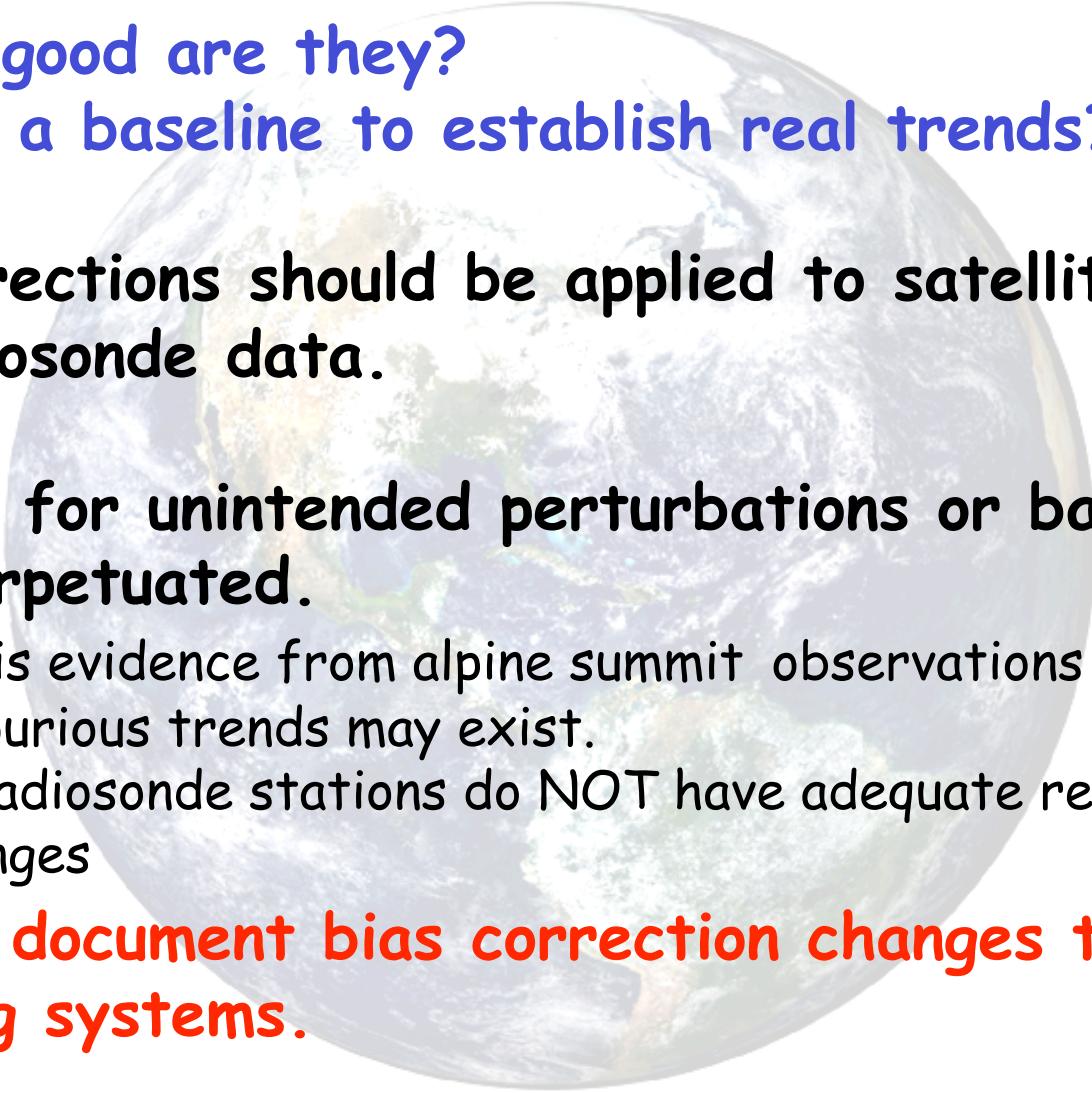
Surface

Stratosphere

Polar regions

Many aspects of tropics





**Bias Corrections are Needed  
But how good are they?  
Is there a baseline to establish real trends?**

**Bias corrections should be applied to satellite  
And radiosonde data.**

**Potential for unintended perturbations or bad data  
to be perpetuated.**

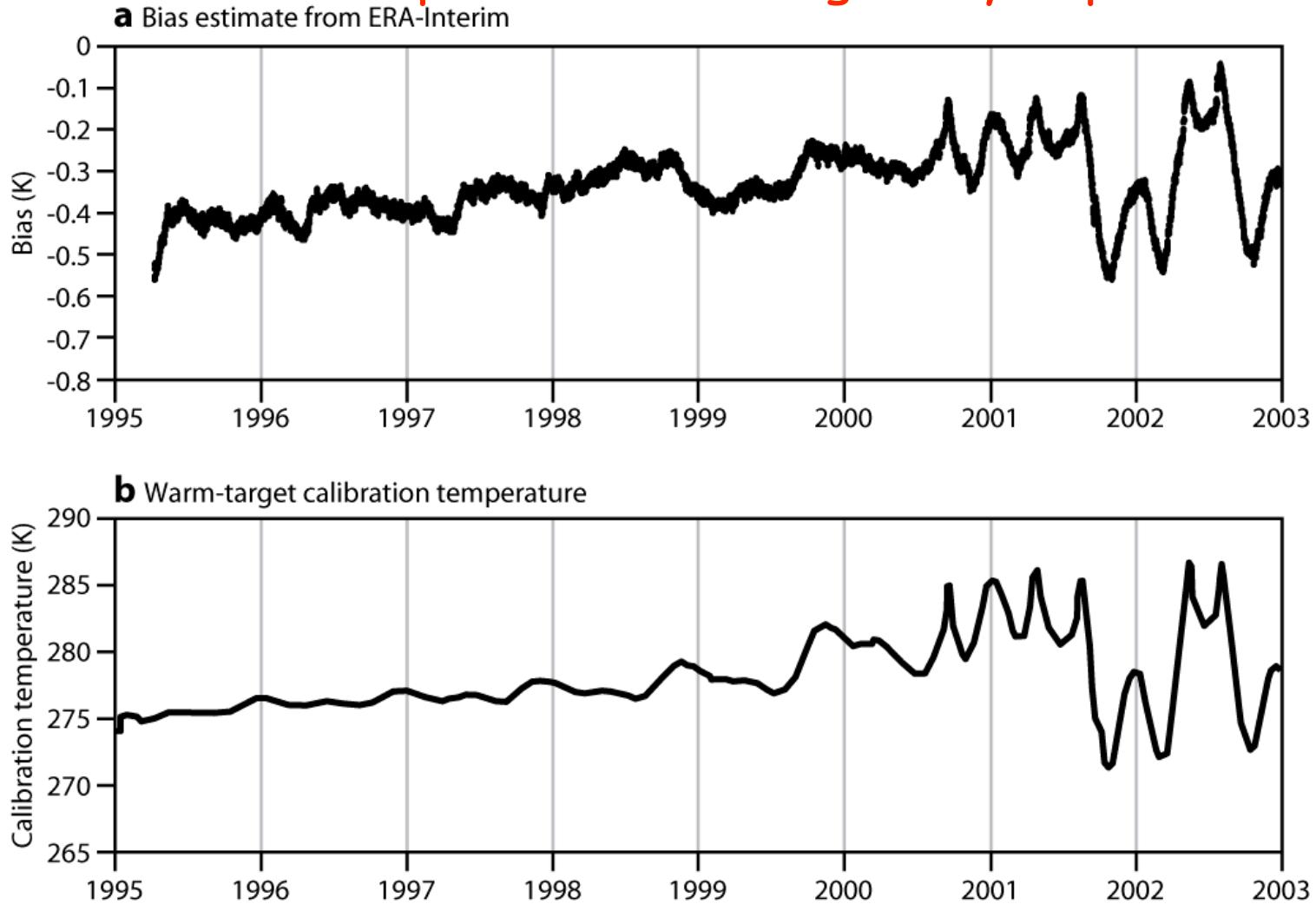
There is evidence from alpine summit observations  
that spurious trends may exist.

Most radiosonde stations do NOT have adequate records  
of changes

**Need to document bias correction changes to all  
observing systems.**



## Bias correction procedure have greatly improved



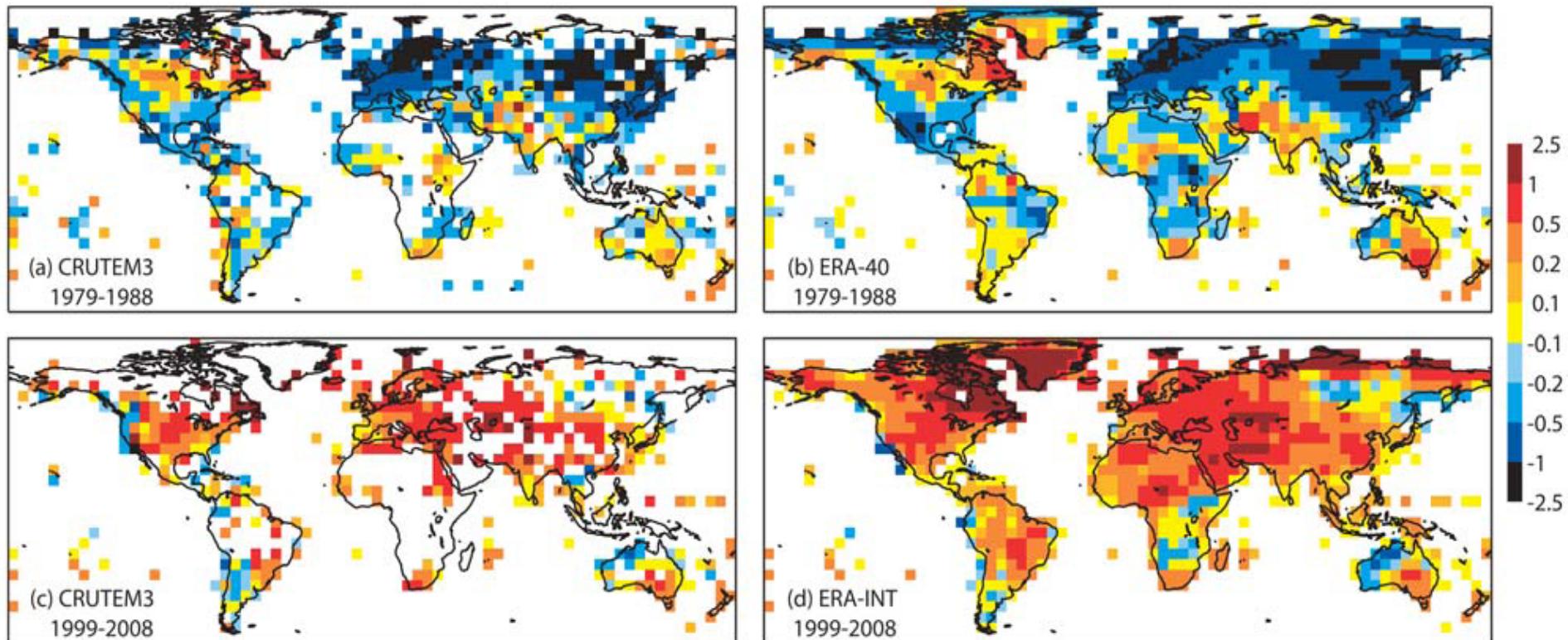
*Top: Global mean bias estimates for MSU channel 2 computed in ERA-Interim using new bias correction procedures (top) and recorded warm-target temperatures used for on-board instrument calibration (bottom) show remarkable agreement Dee et al 2009.*



# Examples of results from reanalyses



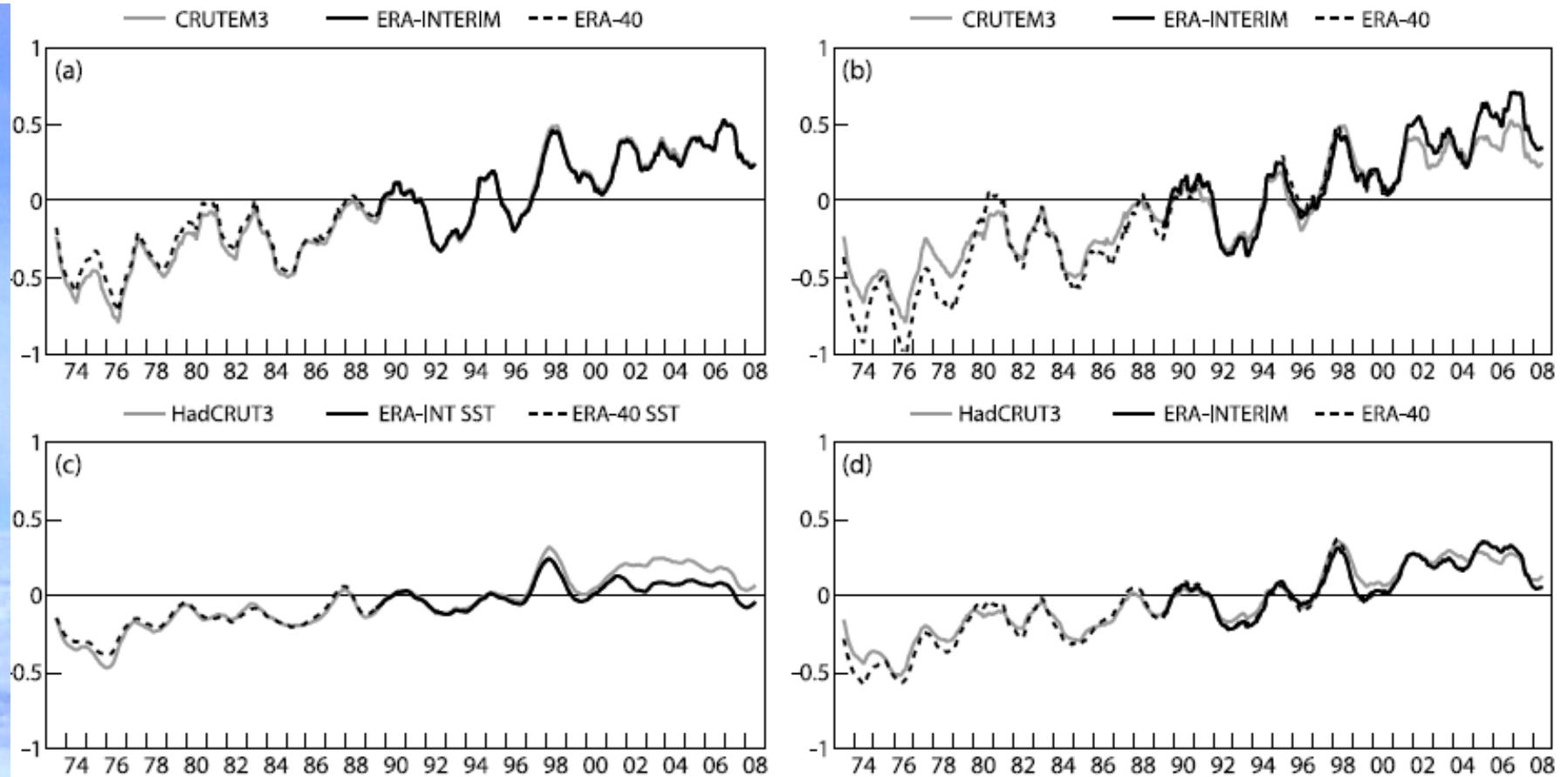
# Surface Temperature: filled in gaps



Ten year mean anomalies in 2 m temperature (K) relative to the 1989-1998 mean for (a) CRUTEM3 for 1979-1988, (b) ERA-40 for 1979-1988, (c) CRUTEM3 for 1999-2008, and (d) ERA Interim for 1999-2008. Reanalysis values are plotted for all 5 grid squares for which there are CRUTEM3 data and for all other grid squares with more than 10% land.

Simmons et al 2010.

Missing data for CRUTEM3 => underestimate trends vs full ERA



Twelve month running means of temperature anomalies (K) (a) 2mtemperatures land with the reanalyses sampled with the same coverage as CRUTEM3. (b) Same as a but with reanalyses averaged over for land. (c) SSTs, with reanalyses sampled with the same coverage as HadCRUT3. (d) Global ERA 2 m temperatures vs all HadCRUT3 values.

Time series are adjusted to have zero mean from 1989 to 1998, except ERA SSTs are adjusted the same as HadCRUT3.

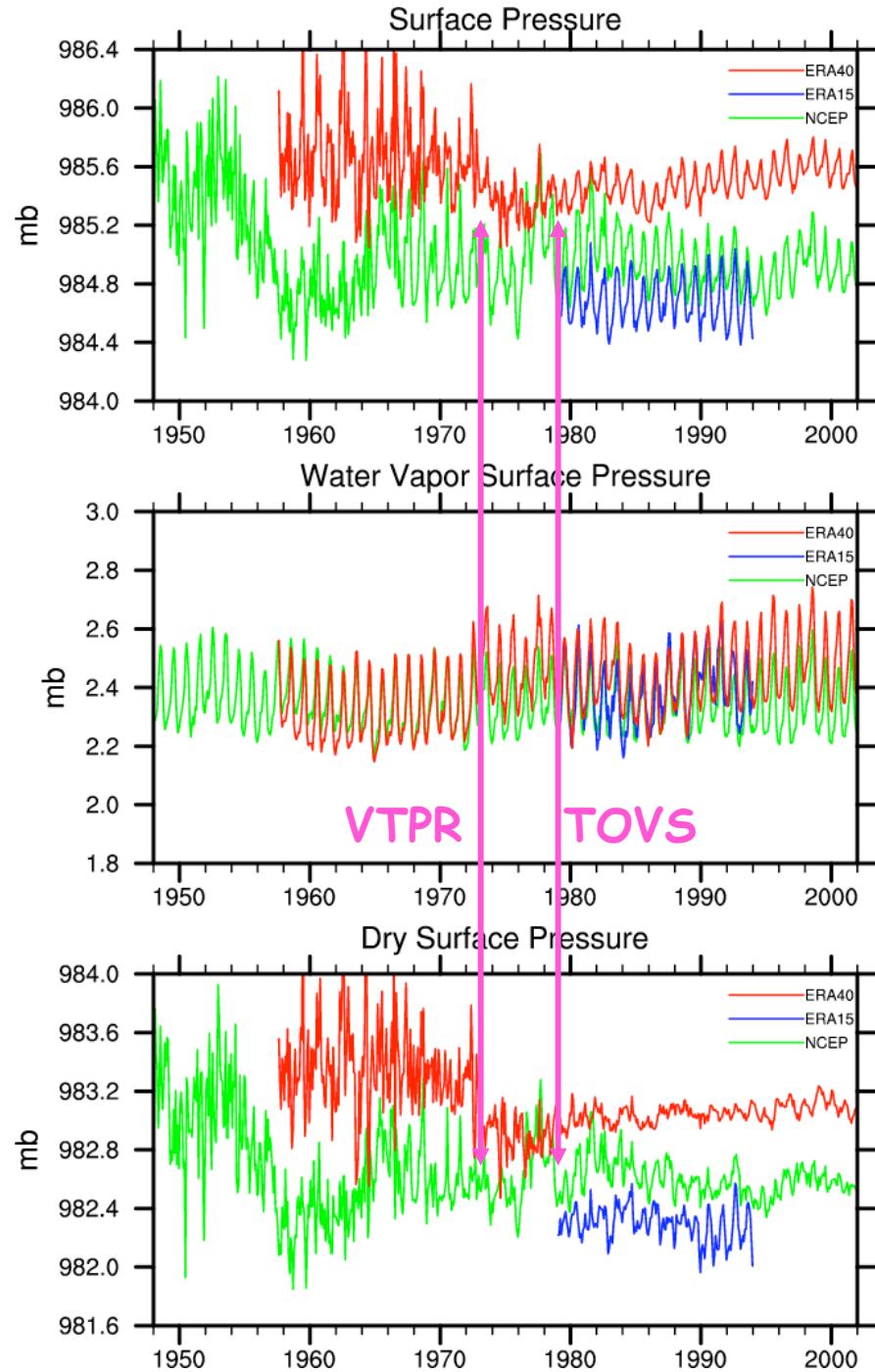
Simmons et al 2010

## Conservation of mass of dry air.

Both total surface pressure  $p_s$  and that due to moisture  $p_w$  are independent measures of the water vapor contribution. Their difference  $p_d$  should be constant.

Mean annual cycle is similar for  $p_s$  and  $p_w$ , except NCEP before 1966 and ERA-15 after 1989.

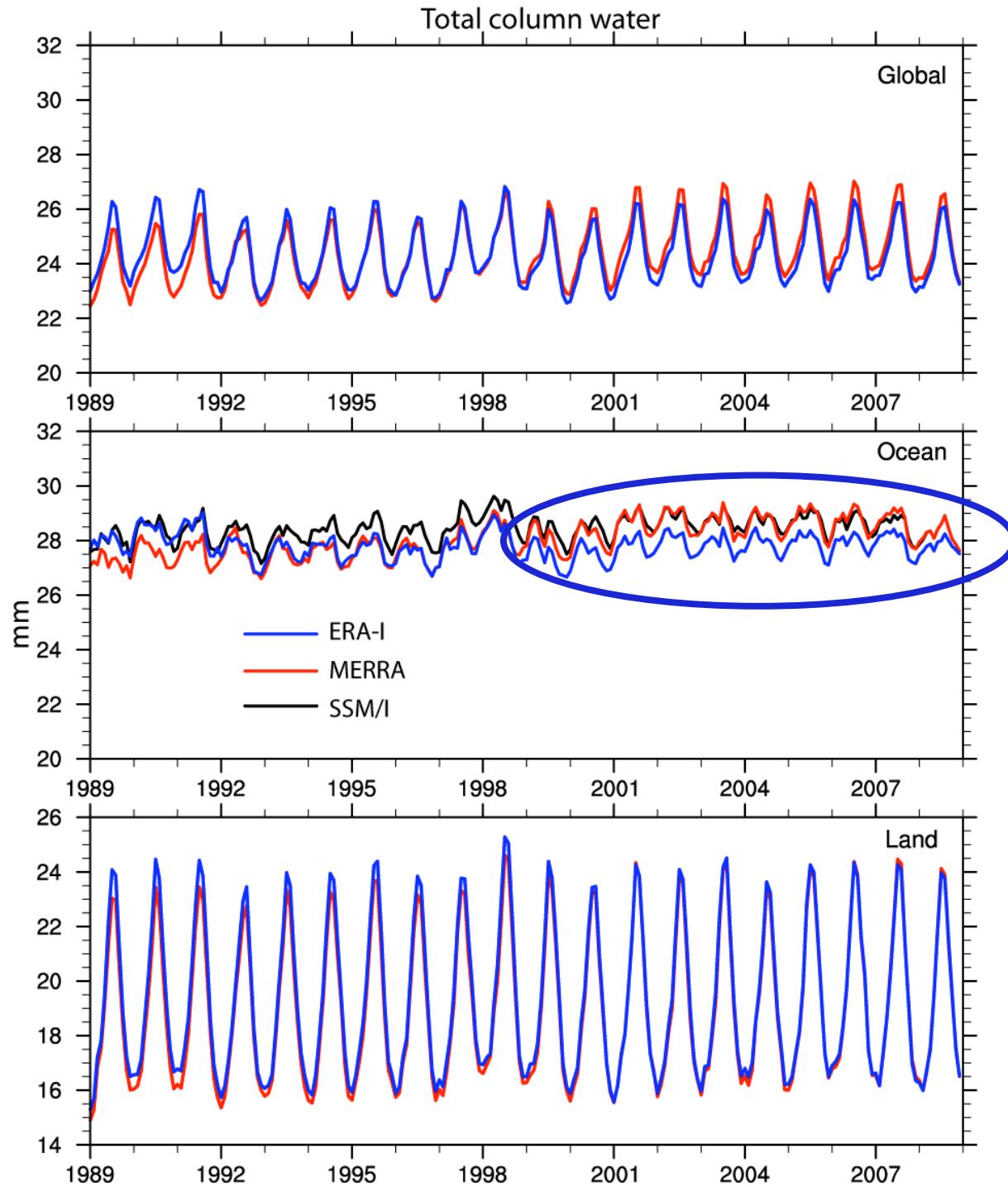
ERA40 offset from global topography 5.5 m lower, mostly Antarctica.





**Examples of results from reanalyses  
with emphasis on problems**

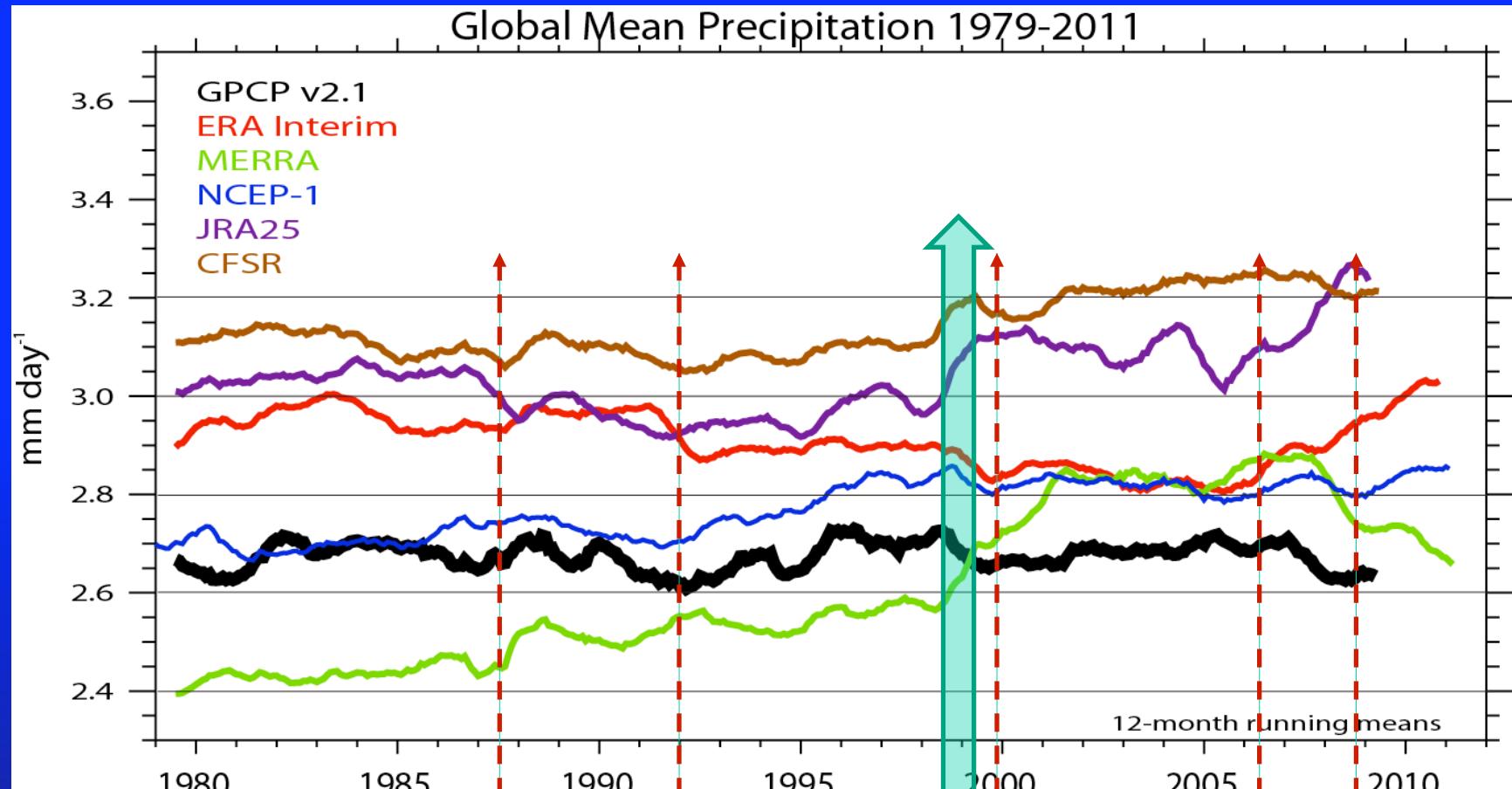




Focus on:  
**MERRA** and  
**ERA-I**

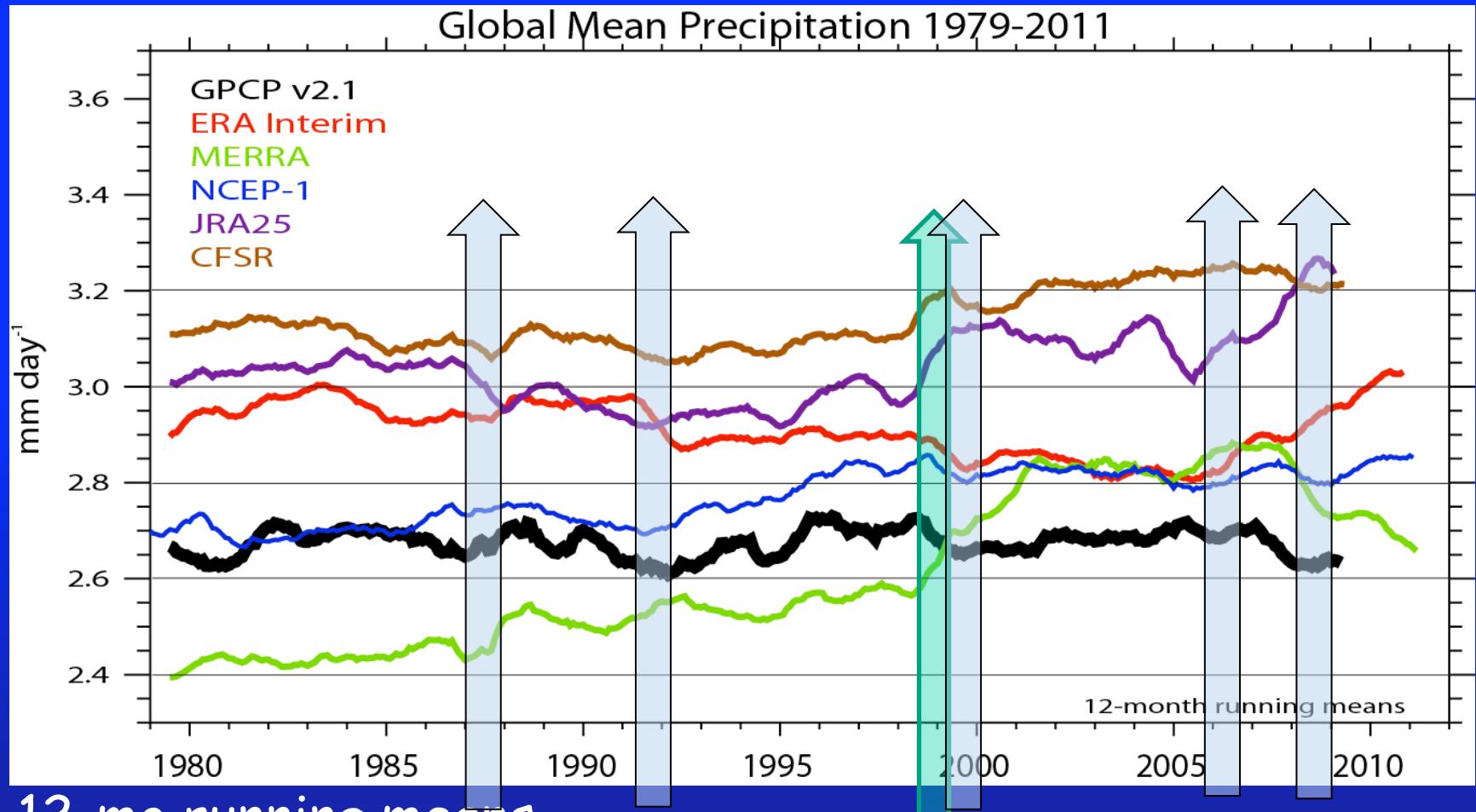
Which have  
smooth  
evolving  
moisture  
fields  
(no spinup):  
•4Dvar  
•nudging

# Global mean precipitation



Courtesy J Fasullo

# Global mean precipitation



Courtesy J Fasullo

# Precipitation errors in reanalyses

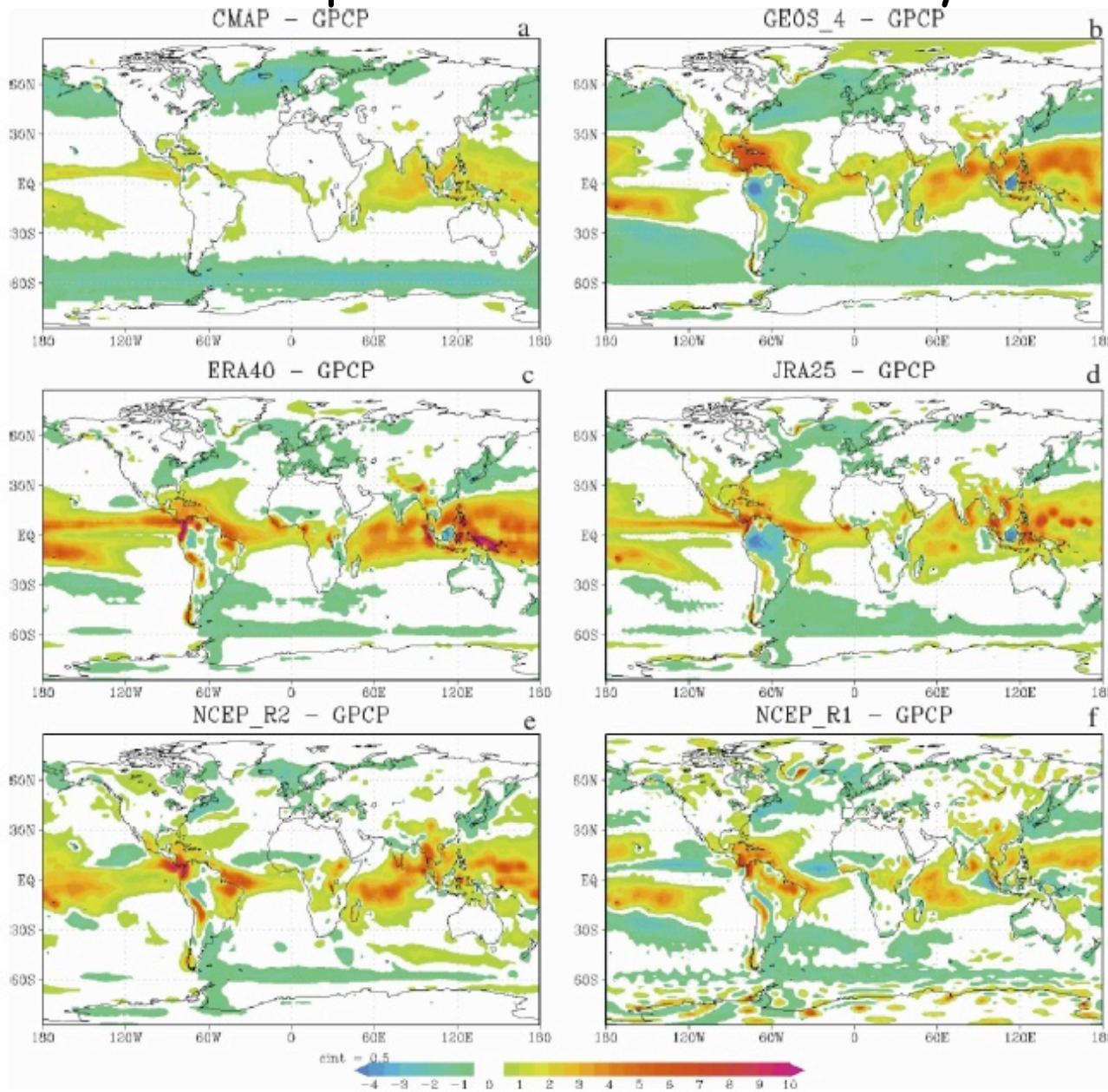
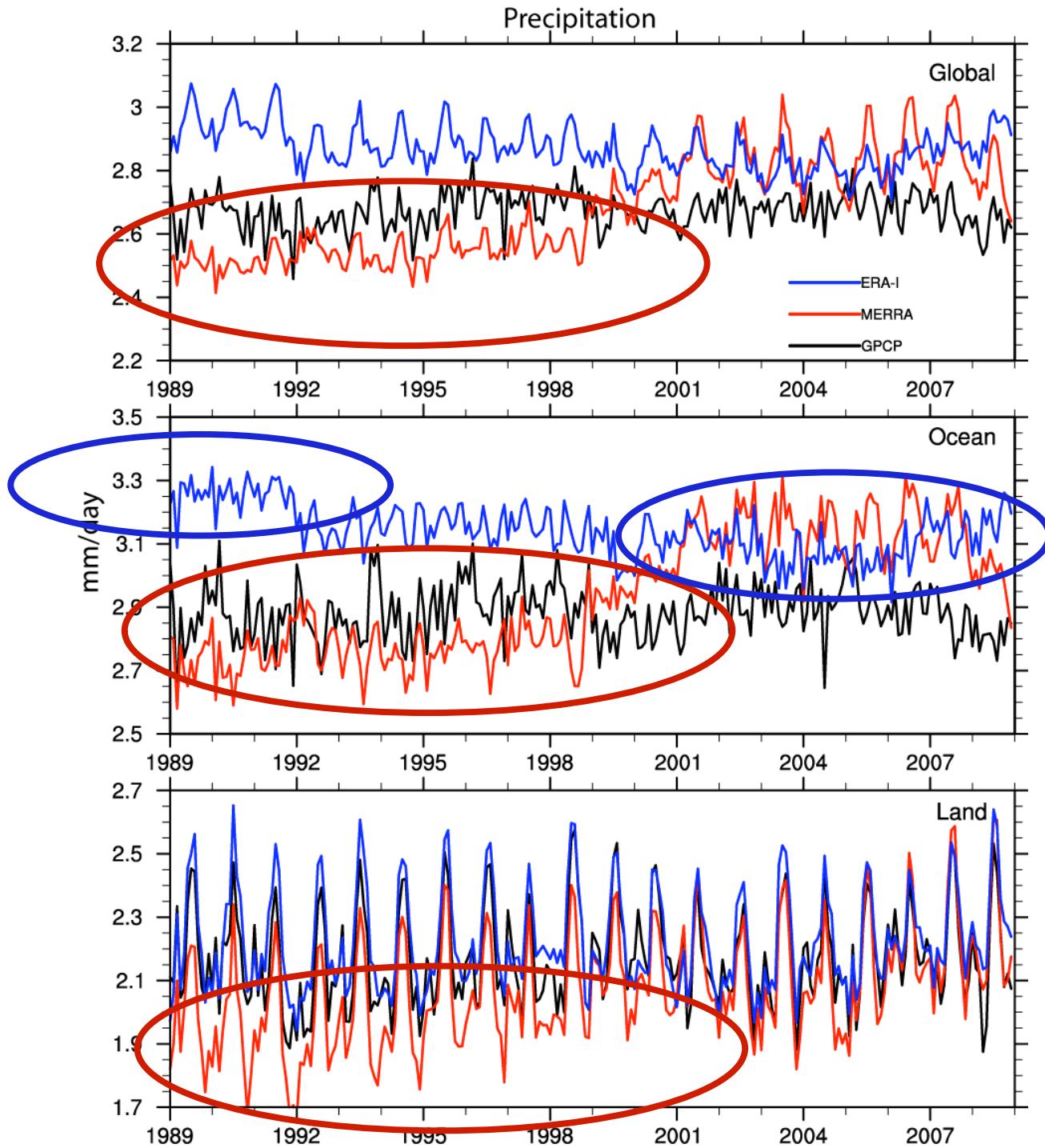


FIG. 2. Climate average (Jan 1979–Dec 2001) precipitation differences ( $\text{mm day}^{-1}$ ) for the CMAP merged product and the Bosilovich et al 2008

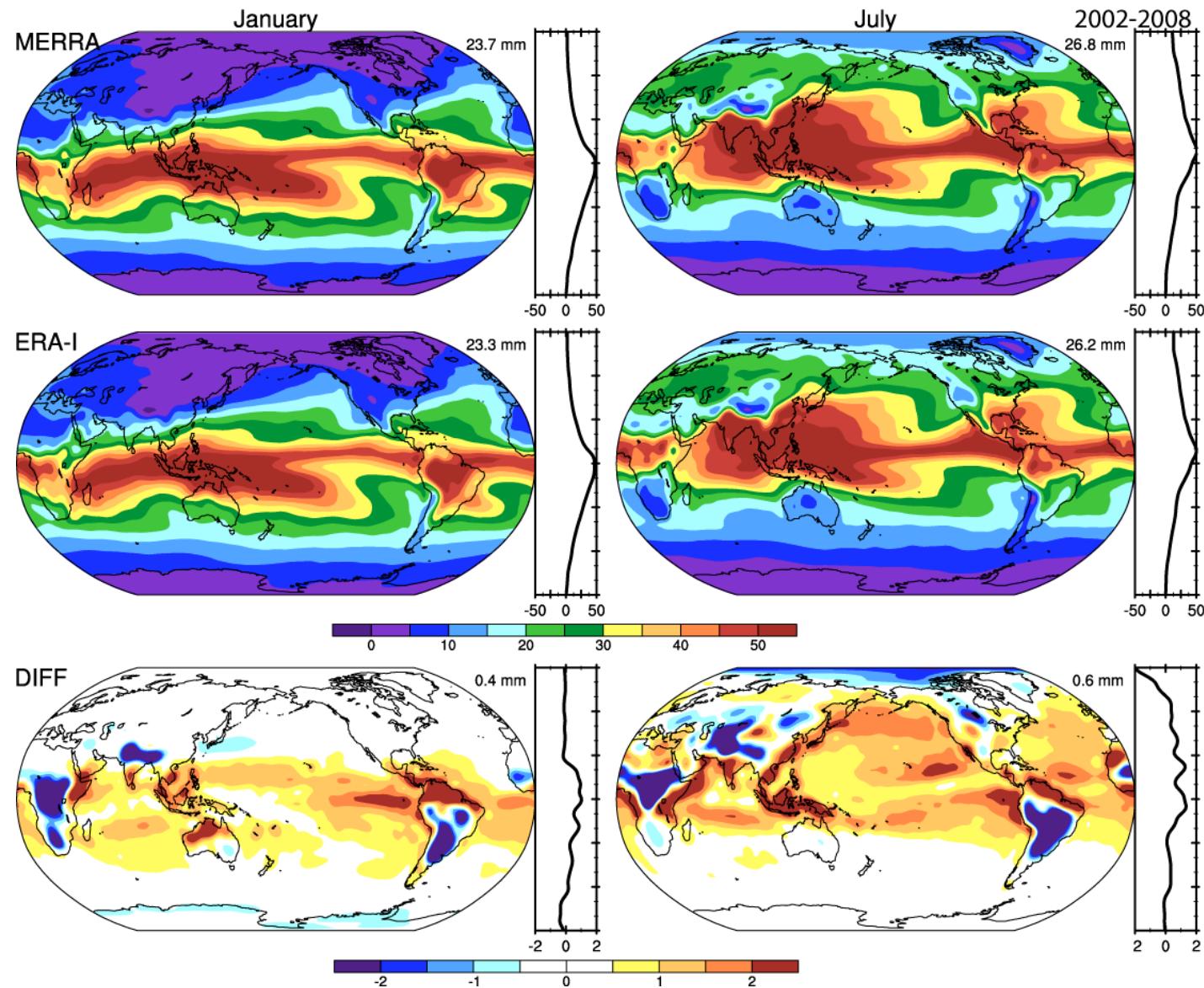


### Identifiable discons:

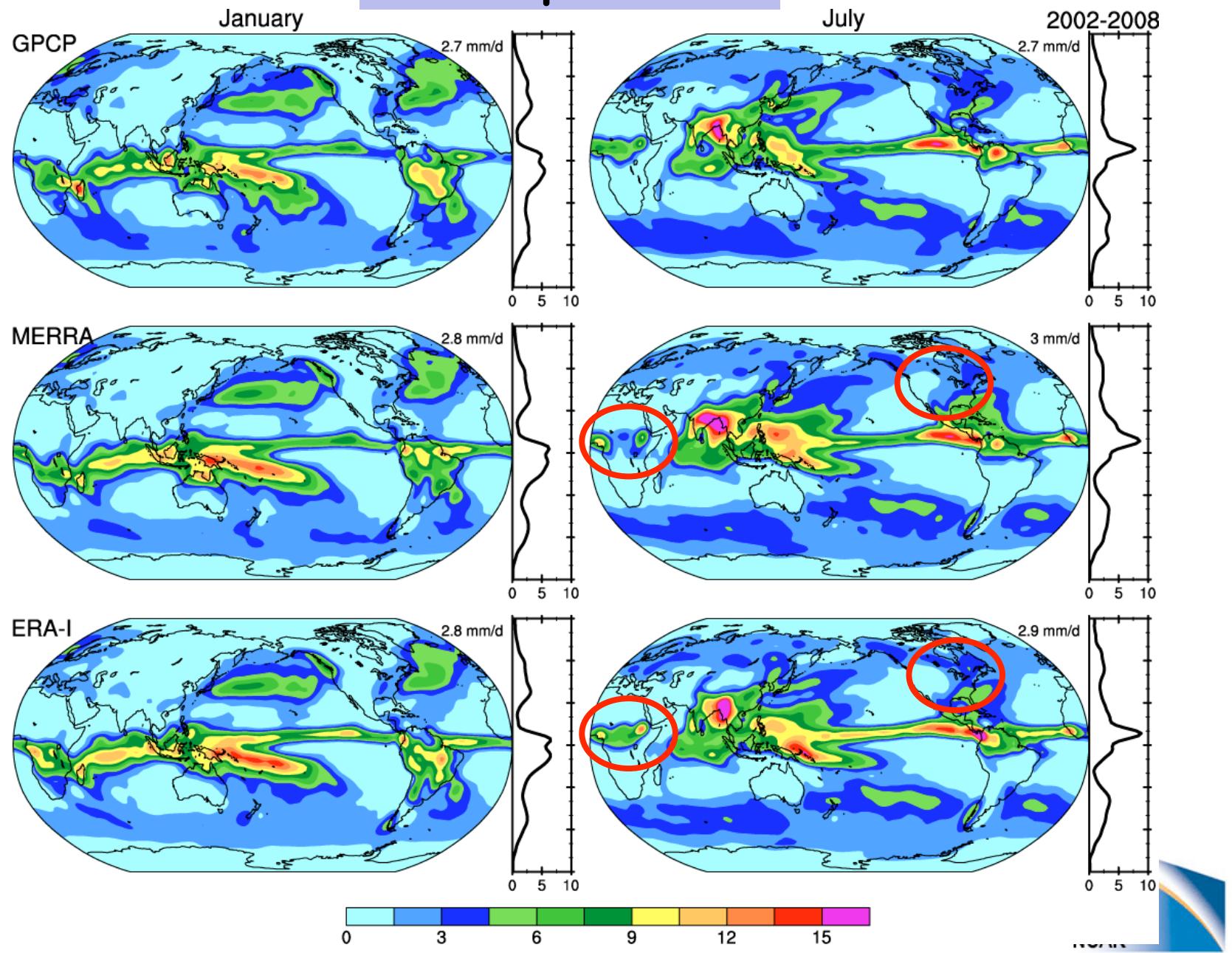
- SSM/I mid-1987,
- TOVS to ATOVS:  
AMSU-A,AMSU-B  
late 1998 to 2001  
(NOAA 15 =>NOAA 12  
NOAA 16 => NOAA 14, March  
20, 2001),

AIRS late 2002,  
GPS RO 2002 on,  
COSMIC April 2006.

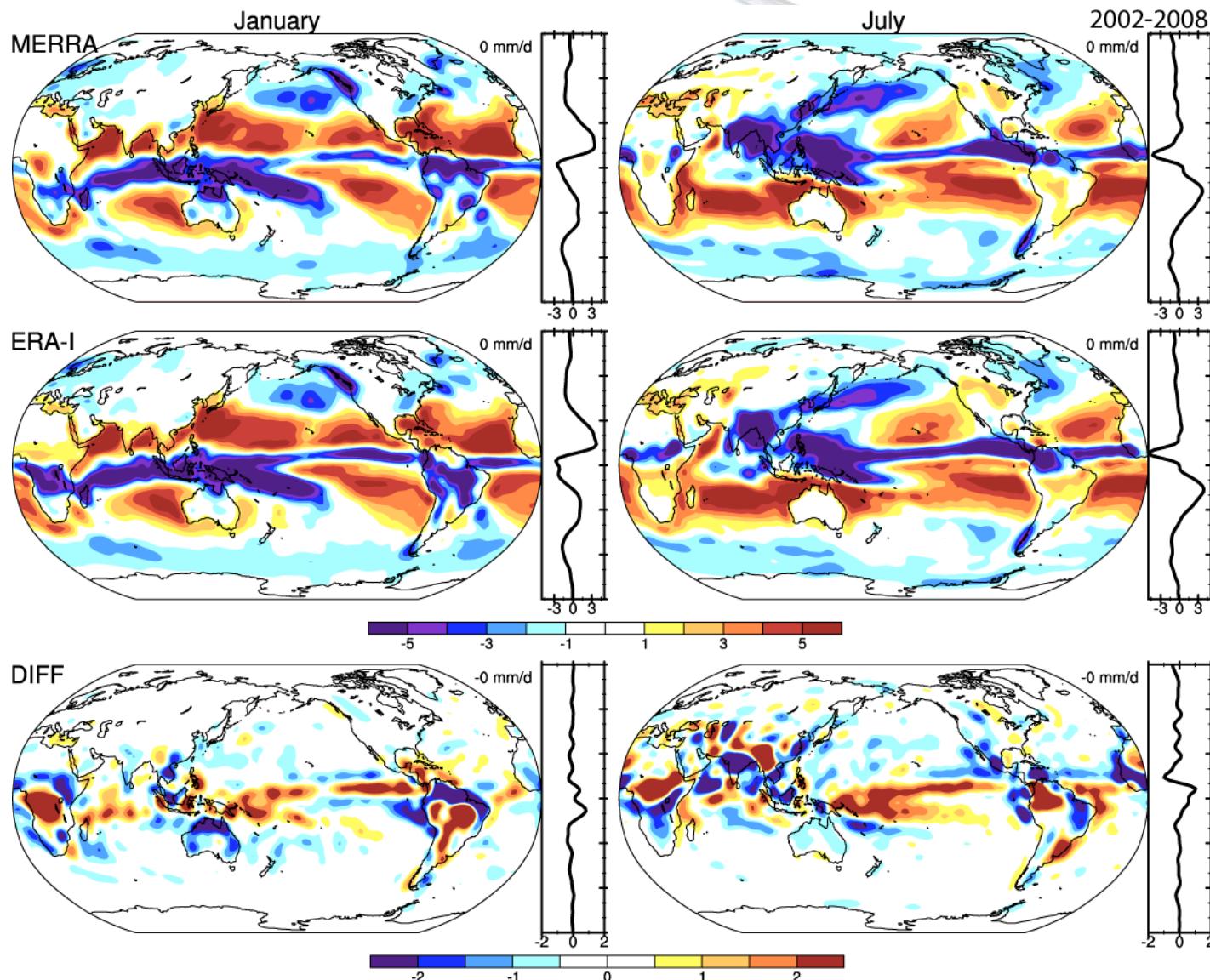
# Precipitable water



# Precipitation

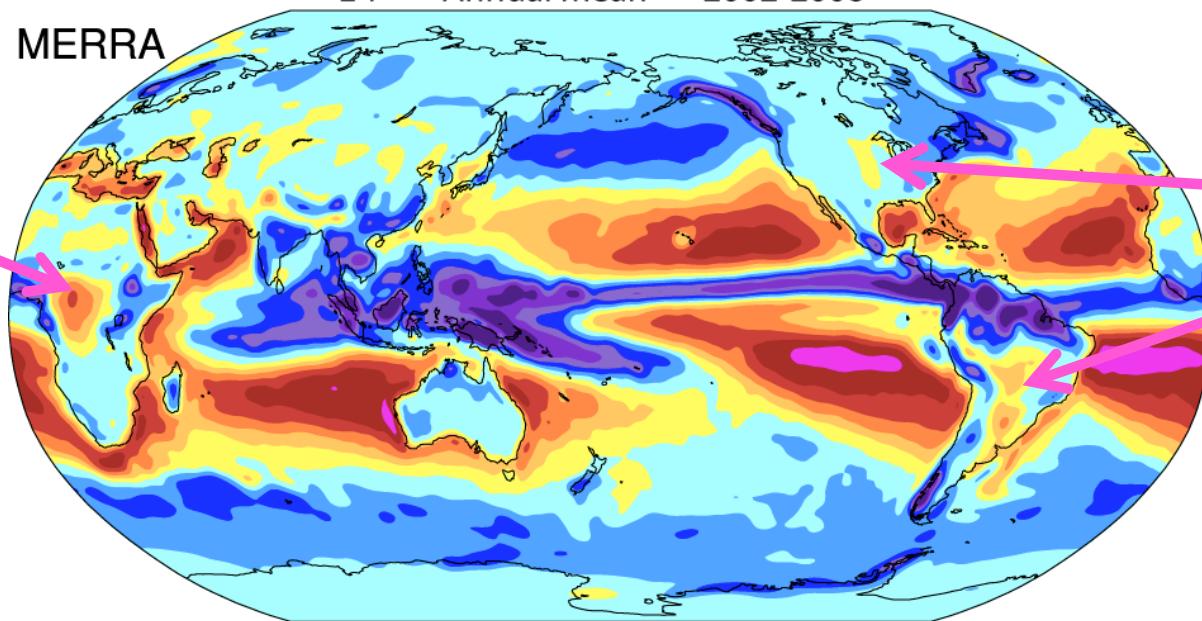


# Freshwater flux E-P From moisture budget

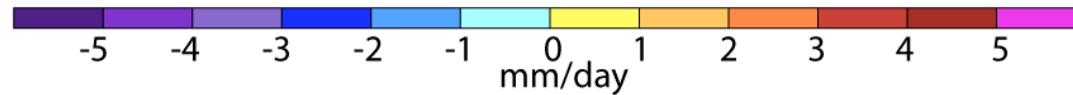
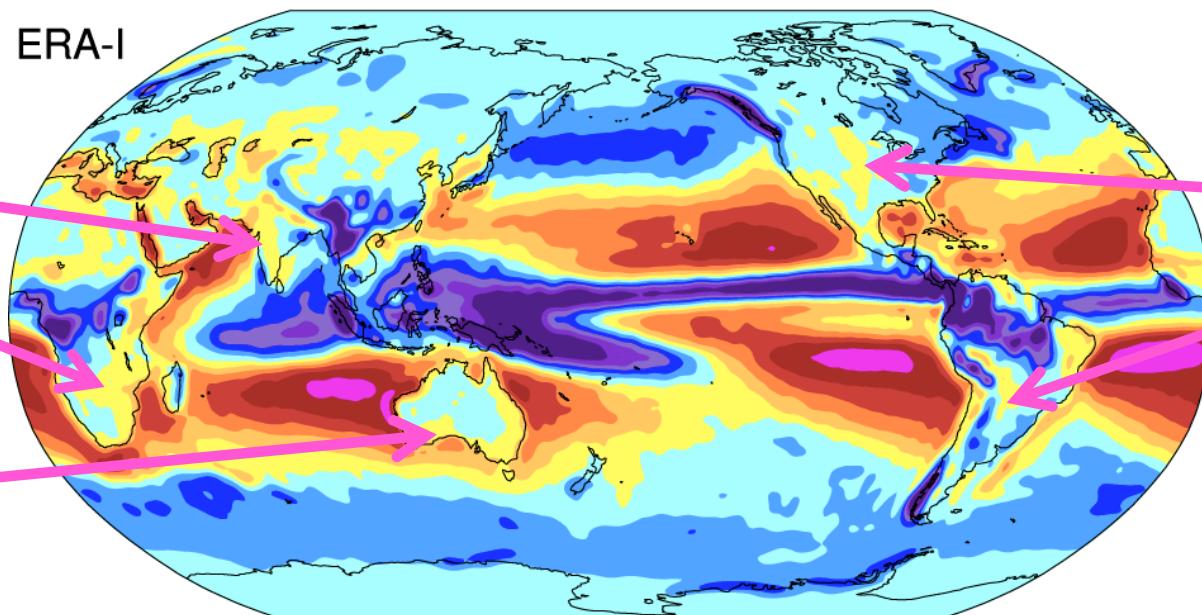


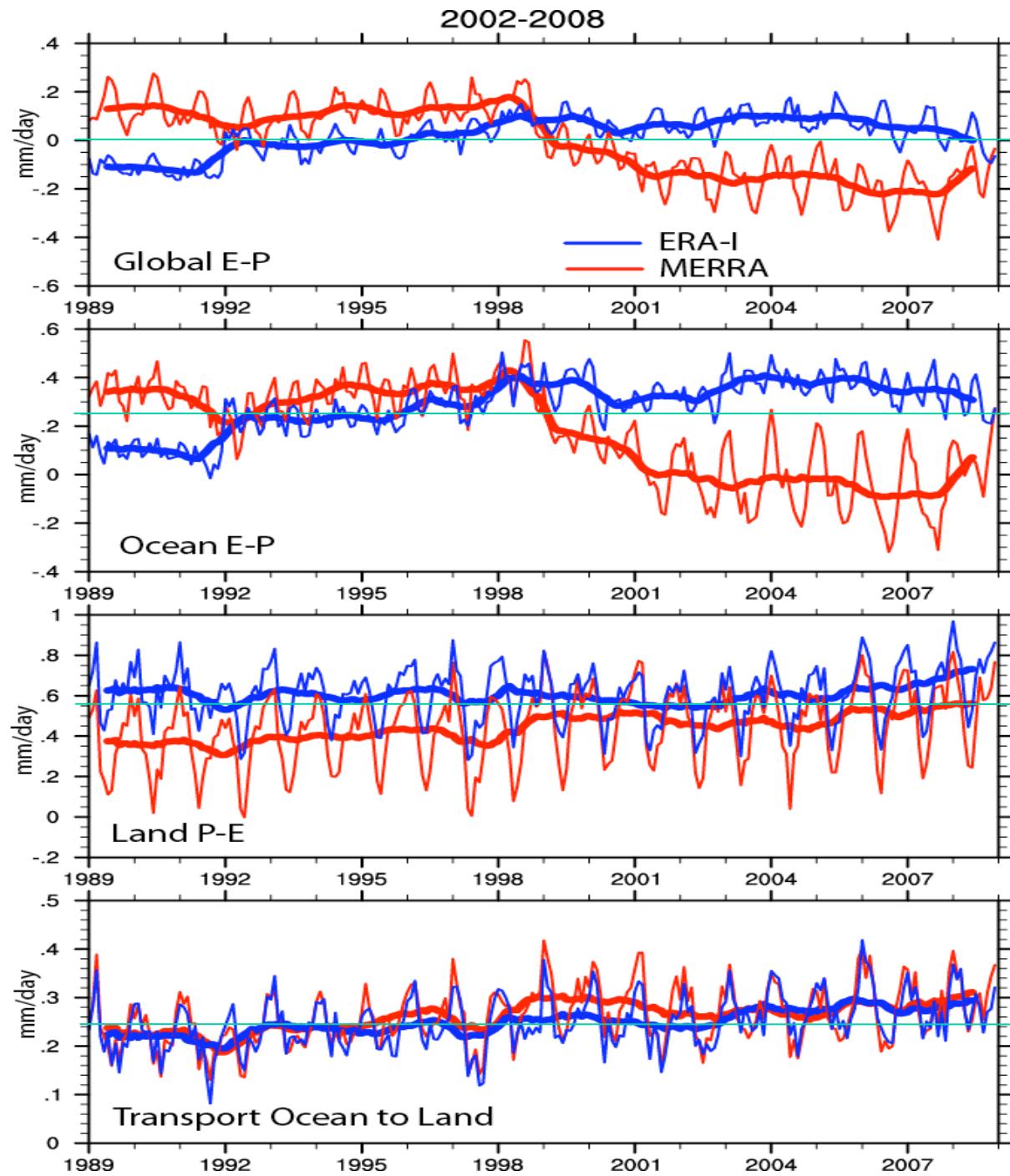
E-P   Annual mean   2002-2008

MERRA



ERA-I





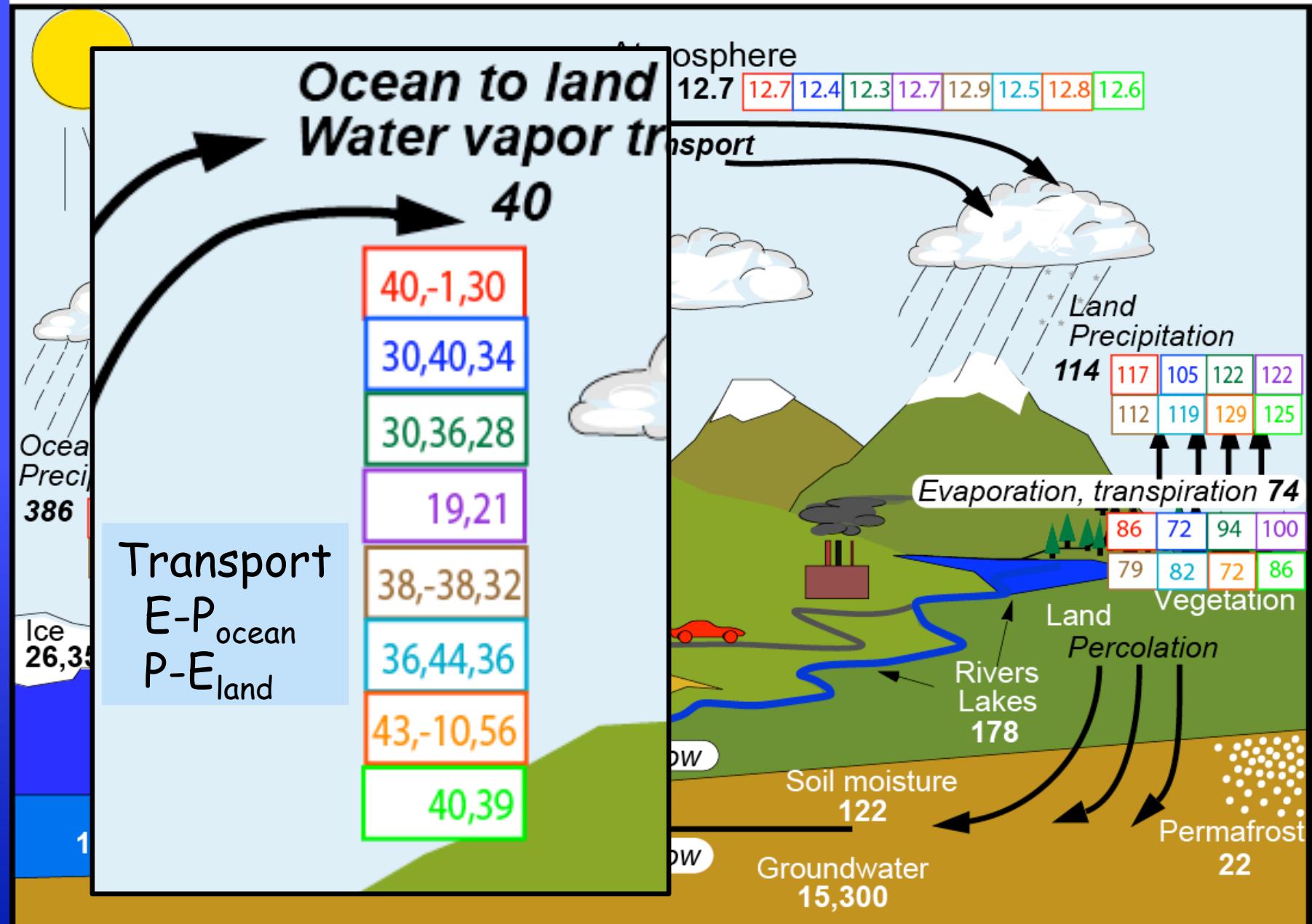
Correct value

For mean  
E-P  
Ocean = -Land  
Global = 0

Equiv values

# Hydrological Cycle: 2002-08

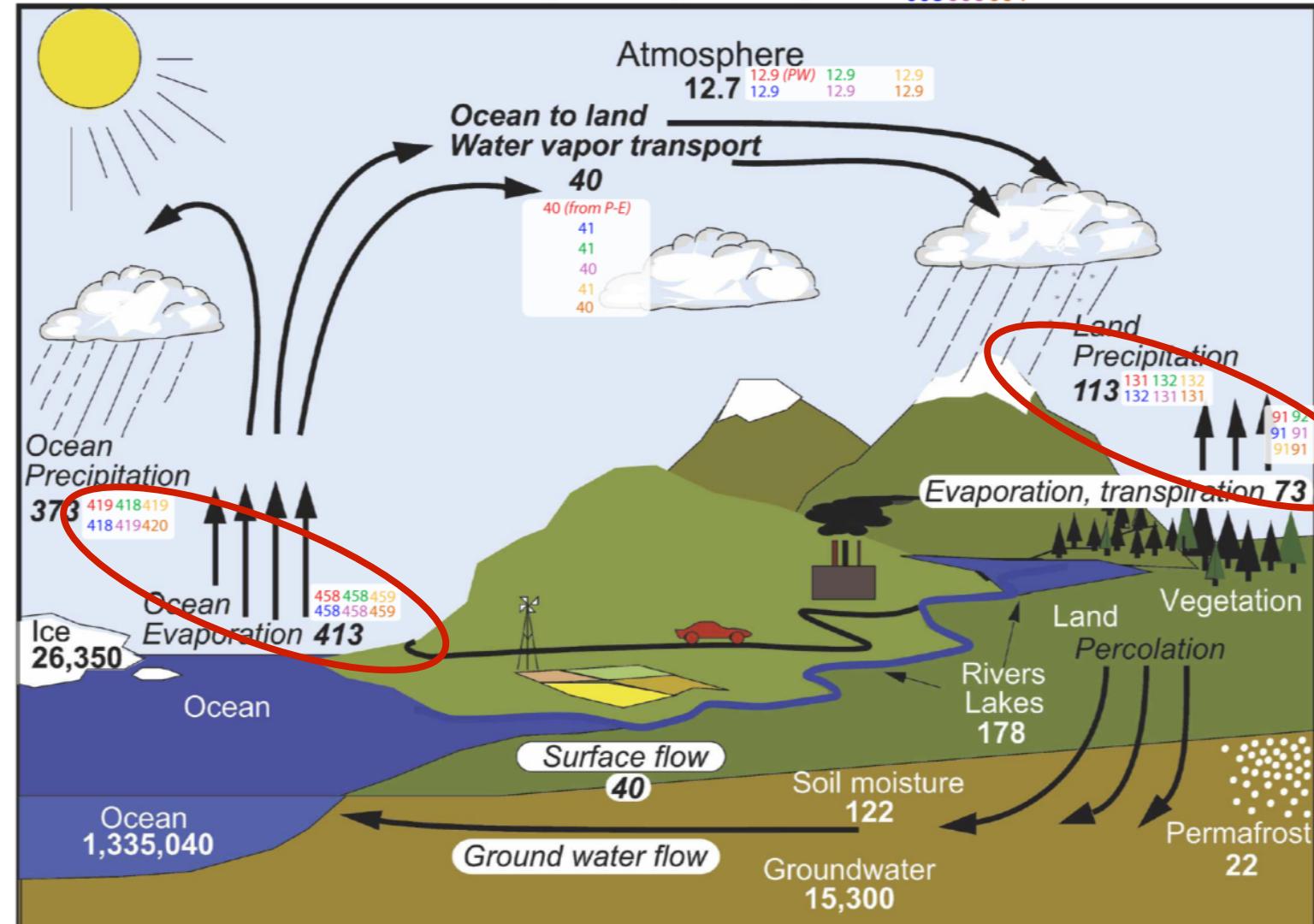
MERRA JRA R1 R2  
ERA-40\* ERA-I CFSR C20R



\*1990s

**CCSM4 20th Century Track 1 1-degree Runs**  
**1990s Hydrological Cycle**

009 007 005  
 008 006 004



Much too high

Units: Thousand cubic km for storage, and thousand cubic km/yr for exchanges

# Energy budget: Reanalyses

- At TOA, most climate models are tuned to get balance or replicate ERBE/CERES
- Depends on equilibrium simulation
- No longer works in reanalyses
  - Specified SSTs
- Global imbalances (hide even bigger local)

	NRA	ERA-40	ERA-I	JRA	MERRA	CFSR	
Resolution	<u>1.9°</u>	<u>0.8°</u>	<u>0.5°</u>	<u>1.1°</u>	<u>0.5°</u>	<u>0.5°</u>	
ASR	-14	-2	+4	+5	+4	+3	W m <sup>-2</sup>
OLR	-2	+6	+6	+15	+3	+4	
Net(TOA)	<u>-14</u>	<u>-8</u>	<u>-2</u>	<u>-9</u>	<u>+1</u>	<u>-1</u>	
Net (sfc)	+2	+3	+6	-9	+12	+8	

Mostly for 1979-2001 vs climatology

# TOA Radiation

CCSM4

TOA radiation and  
surface flux over ocean;  
Net 1990s  $0.6 \text{ W m}^{-2}$

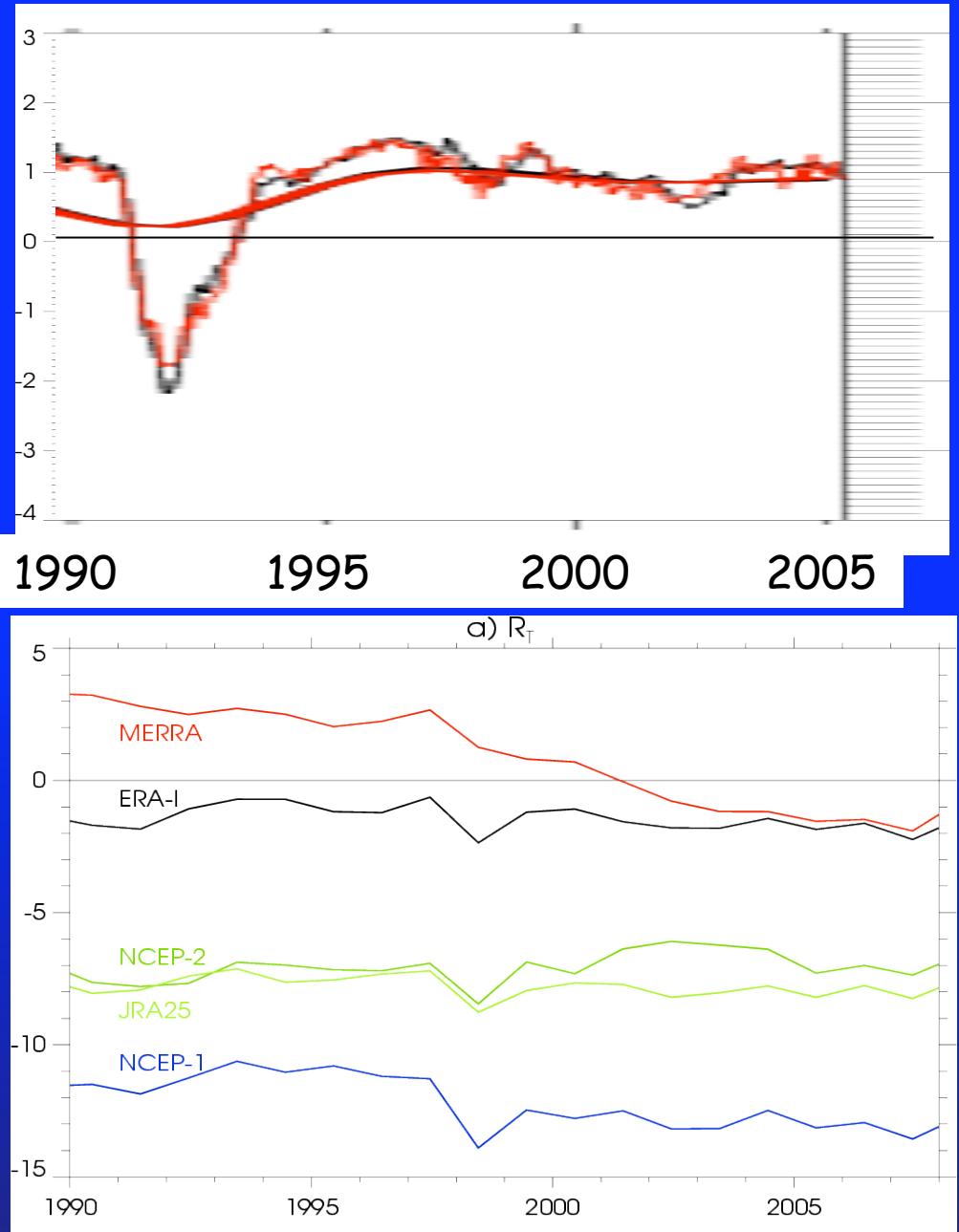
(Pinatubo knock down)

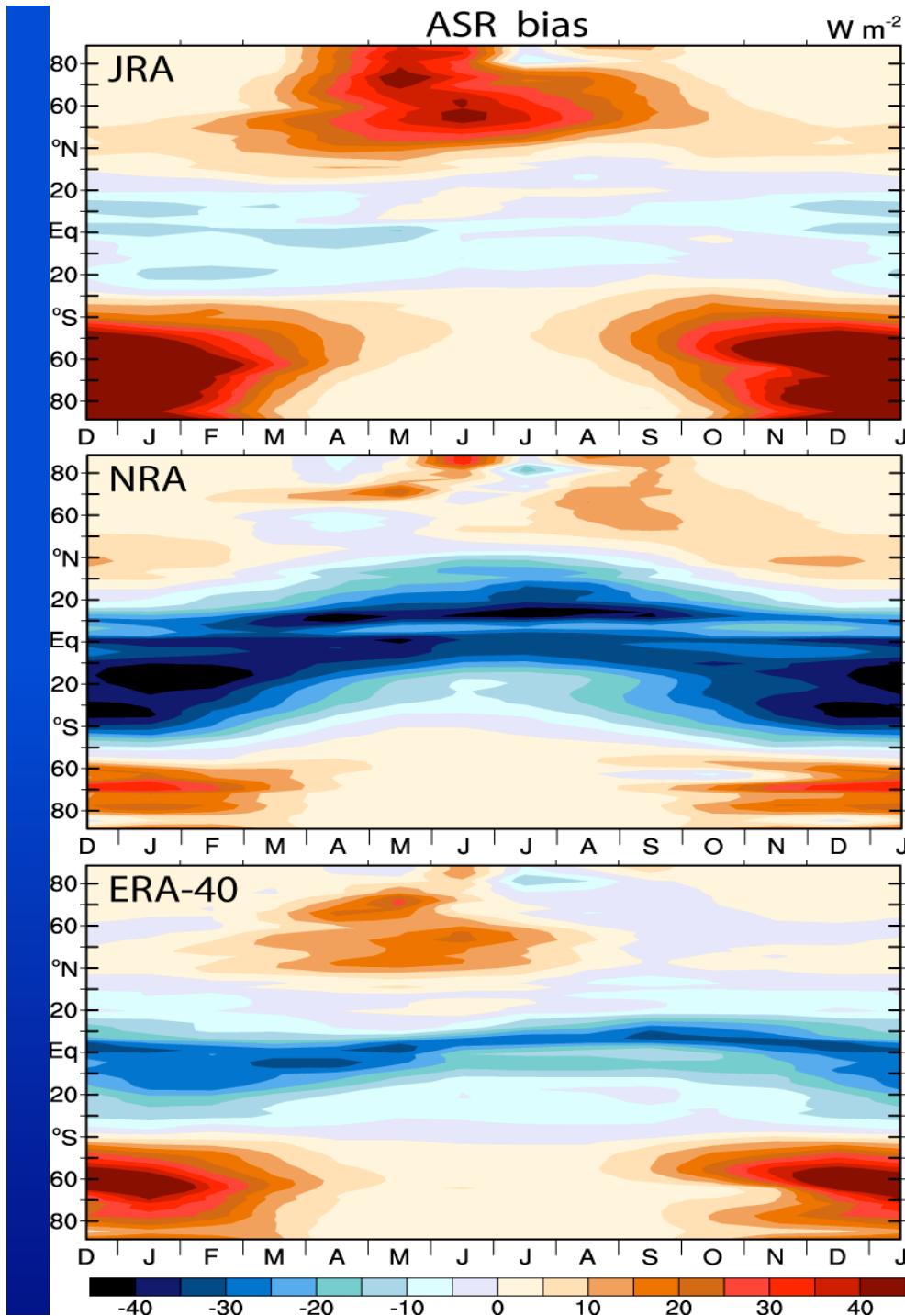
Net 2000s  $0.9 \text{ W m}^{-2}$

In a good model, the  
water and energy are  
conserved.

Reanalyses:  
TOA 1990-2008  
Net radiation

Trenberth et al 2009:  
 $0.9 \text{ W m}^{-2}$  for 2000s



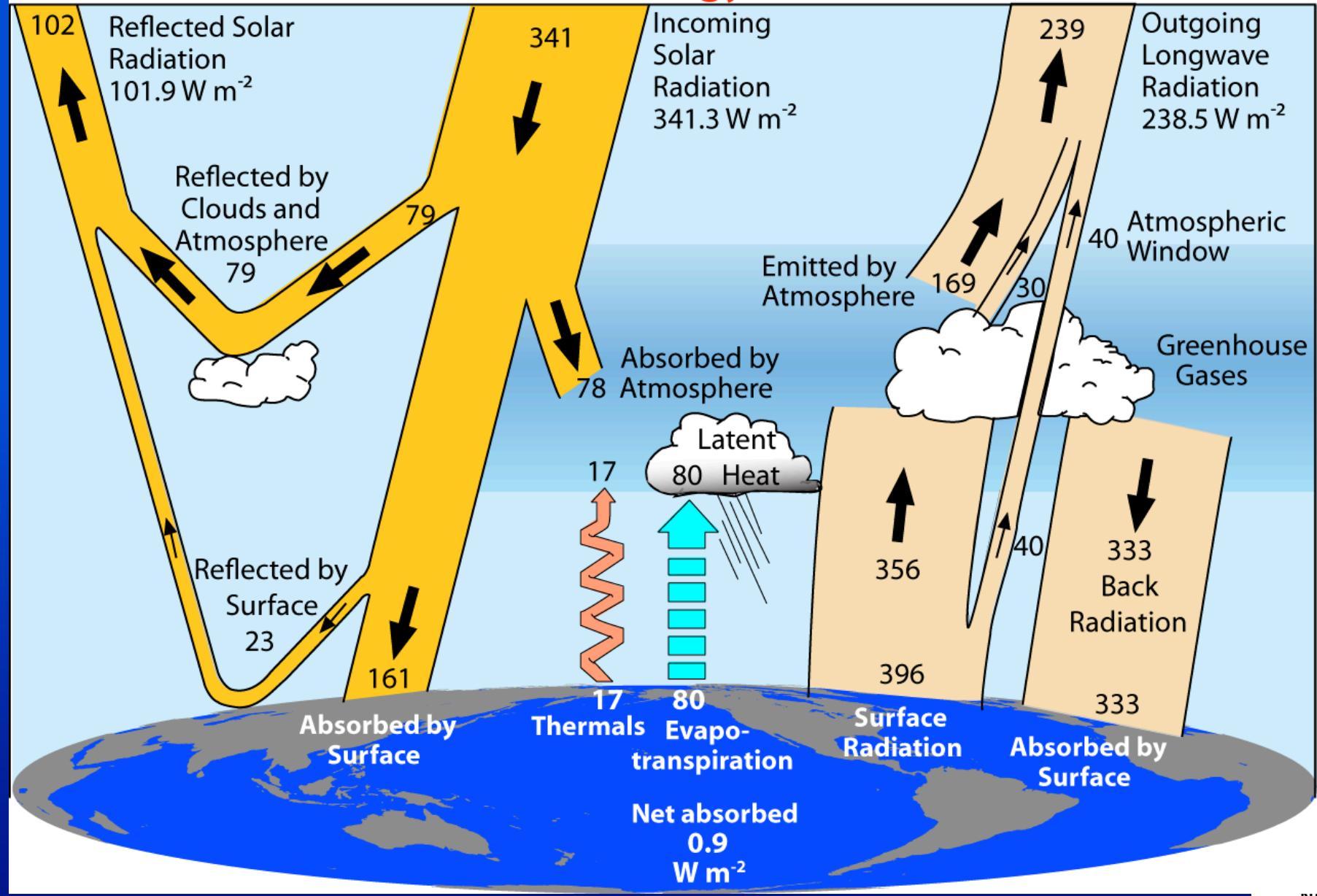


# Reanalyses

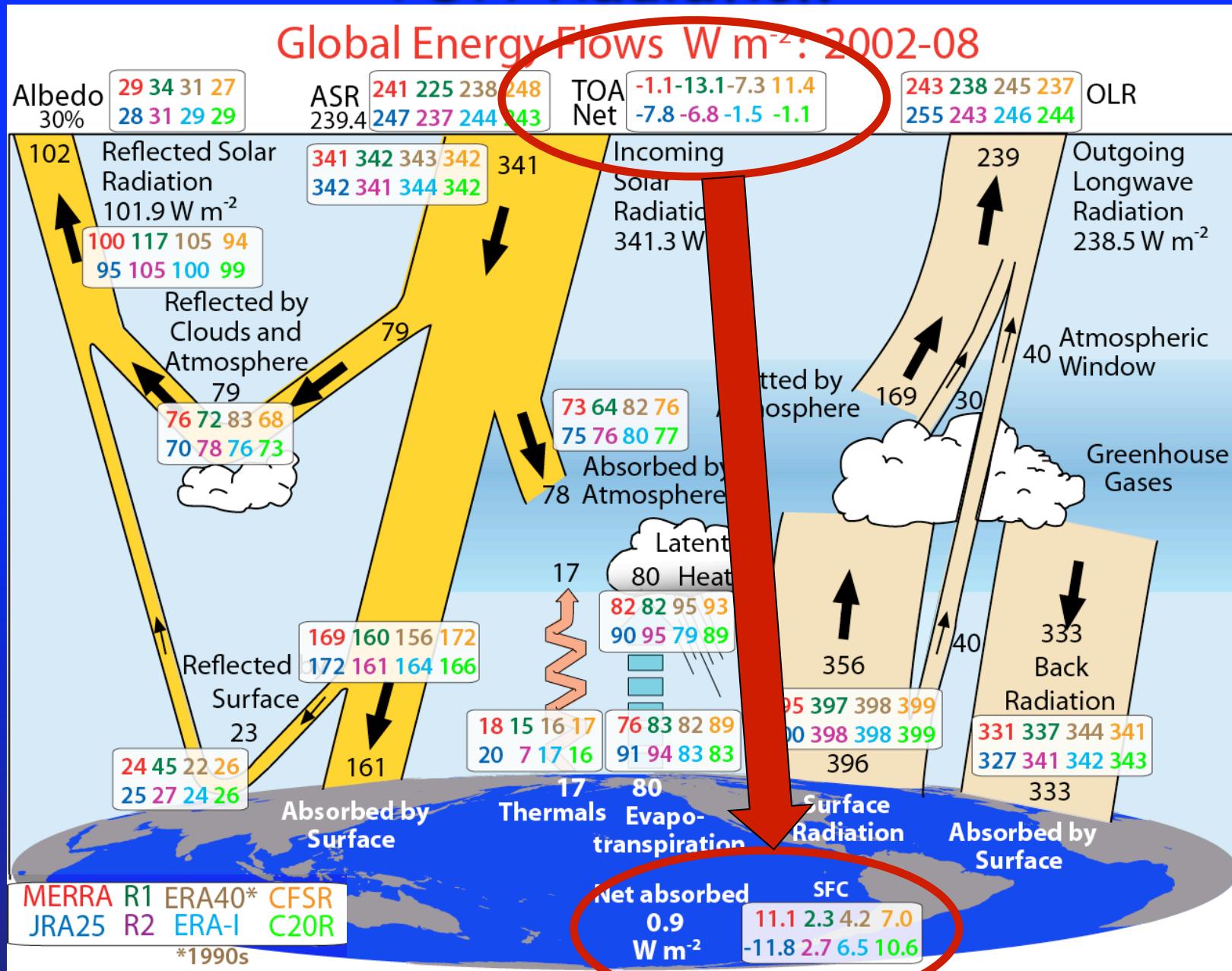
ASR bias 1990s  
Biggest in summer

- All reanalyses have too much incoming solar radiation in southern oceans
- Caused by too few clouds
- Implies too much heating of ocean which should diminish poleward heat transports when models are coupled
- Has implications for storm tracks and ocean transports

## Global Energy Flows $\text{W m}^{-2}$



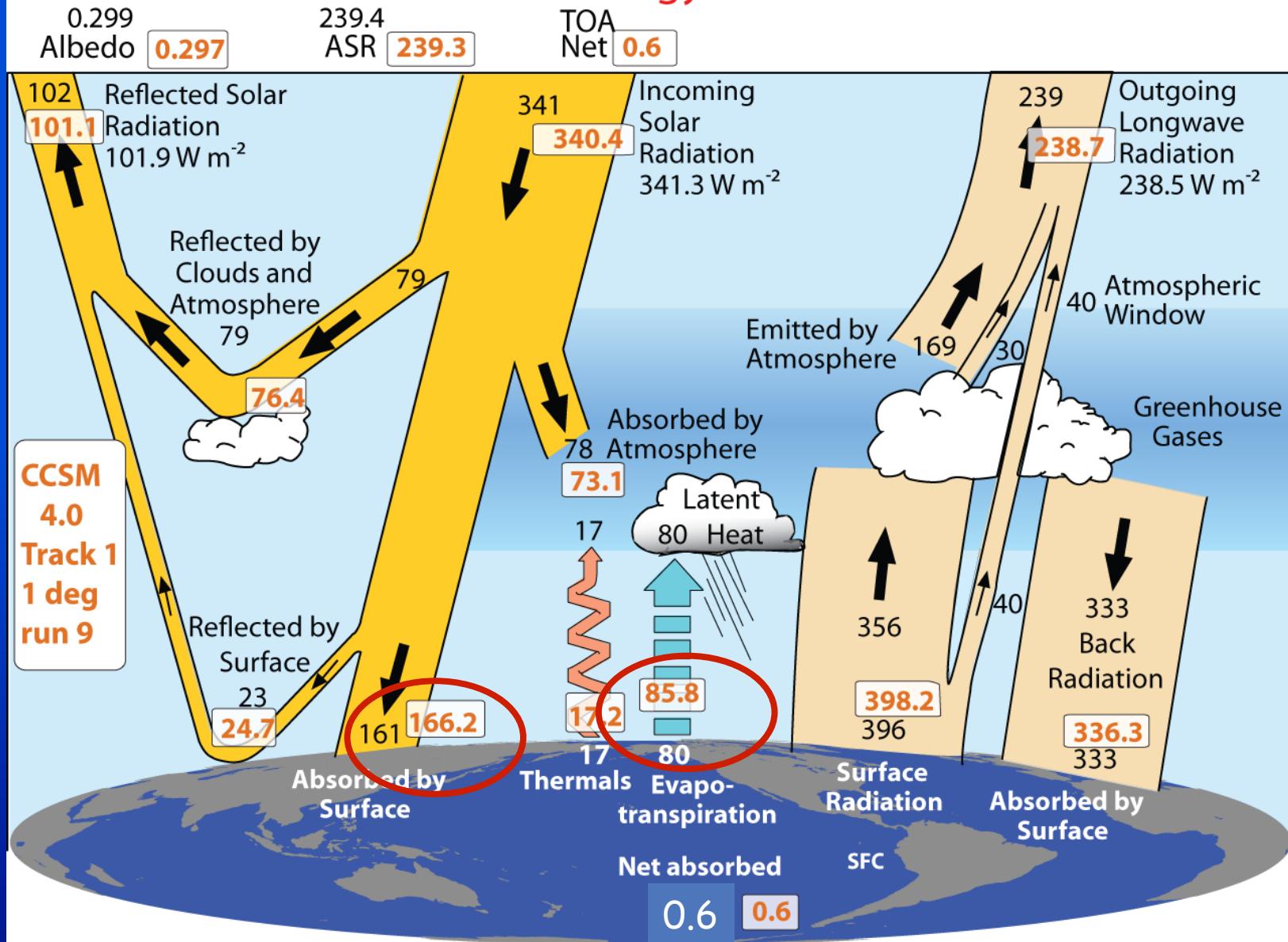
# TOA Radiation



Trenberth et al 2011 J Climate

# CCSM4

## Global Energy Flows W m<sup>-2</sup> : 1990s



# Reanalyses

- Even if the assimilating model has a balanced energy budget, when SSTs are specified there is an infinite heat and moisture source or sink
- There is no feedback on the SSTs from surface fluxes
- The result is potentially large energy imbalances at TOA and at surface
- The TOA and surface energy balances can be strong diagnostics of model bias problems

# Reanalysis

1. There is not a problem with lack of reanalyses, indeed there is a proliferation.  
The problems are:
  1. Lack of an end to end program with adequate vetting and evaluation of products (and the funding for that), and
  2. Reanalysis is done in a research domain and not sustained, so that key personnel can be lost.
  3. Lack of adequate vetting and diagnosis
2. Reanalysis is an essential part of climate services, especially in monitoring, attribution and prediction

# Implications

## Reanalyses

- Ocean E is generally too large, and P is too large except for MERRA
- The low value of P-E over land is consistent with the view that E is too large and P occurs prematurely, so that the role of advection from afar is too low.
- The lifetime of moisture is too short in models.
- In CFSR, the main balance is between the analysis increment and P, and the model can't stand having the observed amount of moisture, so it rains it out.
- The moisture budget provides better estimates and more stable estimates of E-P than model fluxes or E and P.

## CCSM4

- Transport onto land of water is about right.
- Absorption in atmosphere of energy low=> too much at surface => too much E.



# Climate Data Guide

*Climate data strengths, limitations, and applications*

Home About ▾ Data ▾ Processing ▾ Evaluation ▾ resources ▾ Search

search  
data sets



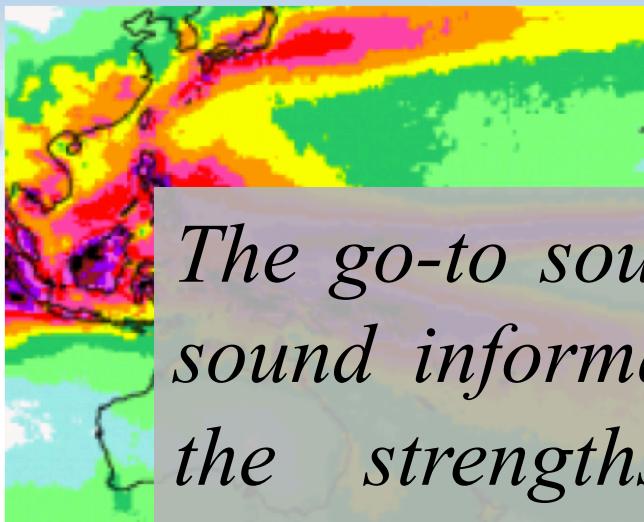
share  
your expertise



join  
the discussion



[Log in](#) | [Contact Us](#) | [RSS](#)



**Published a data set or evaluation lately?**

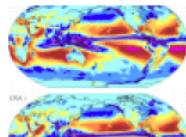
Publicize a data set and your perspective on its strengths and limitations and reach the Climate Data Guide's thousands of users

[Read more >](#)

*The go-to source for scientifically sound information and advice on the strengths, limitations and applications of climate data.*

[« prev](#) [1 of 8](#) [next »](#)

reanalysis



## Atmospheric Reanalysis: Overview & Comparison Tables

Reanalysis is a systematic approach to produce data sets for climate monitoring and research. Reanalyses are created via an unchanging

PIs: Clara Deser (lead), Aigo Dai, John Fasullo, Jim Hurrell, Dennis Shea, Kevin Trenberth

ERA-Interim

Staff: David Schneider (dschneid@ucar.edu), Dennis Shea (shea@ucar.edu)



Several of the inaccuracies exhibited by ERA-40 such...  
experts: [Dee](#), [Dick](#)

## What is the Climate Data Guide?

The Climate Data Guide is the go-to source for scientifically sound information and advice on the strengths, limitations, and

inaccuracies of climate data. Experts who construct, evaluate, and compare climate data sets contribute their perspectives and advice on climate data and analysis methods for a broad community of data

users. Users may participate by posting comments, questions, and links.

**climatedataguide.ucar.edu**  
climate indices

Produced by NCAR-NESL's Climate Analysis Section with funding  
from NSF