

## Sheet 6 - Clustering

Please upload your solutions to  
<https://uni-bonn.sciebo.de/s/XfRkWhpWDEeR8Y7>  
by **Thu, November 28, 2019**.

Make sure to **list all group members** on all pages / source files.  
Theoretical solutions must be texed or scanned, **photos will not be accepted**.

### Practical Part

#### Assignment 1) Segmentation via k-means

(3+1+1=5Pts)

The k-means algorithm can be used for segmenting data points. In this exercise we will consider the applications of mesh segmentation and image segmentation.

**Please submit** the whole framework with its original folder structure and all files.



Figure 1: Result for  $k = 5$ .

- Implement a function `[index, centers] = my_kmeans(data, k)` which takes as an input the number of clusters `k` and an array of data points `data` (each point in a row). The function should return the cluster center positions (`centers`) and a label (assigned cluster, (`index`)) for each point.
- Complete `'image_segmentation'` and reconstruct the image `'lenna.png'` with 2, 5, and 15 colors. Plot reconstructions of the image using only the colors of the `k` cluster centers.
- Consider the application of k-means in mesh segmentation. Therefore we cluster points in the  $\mathbb{R}^3$  with their difference of normal vectors.

$$d(n_1, n_2) = \|n_1 - n_2\|^2$$

Complete `'mesh_segmentation'` to partition a mesh into 5 clusters. Display the clusters using multiple colors in the same plot.

## Theoretical Part

### Assignment 2) Convergence of k-means

(1+1+1 = 3Pts)

Consider the k-means algorithm discussed in the lecture. To analyze its convergence we define the current assignment error by the sum of the squared distances of the points  $p_i$  to their centers  $c_{\pi(i)}$ :

$$\mu[c_i, \pi] = \sum_i d^2(c_{\pi(i)}, p_i)$$

Show that on a finite number of points, the algorithm must converge after a finite number of iterations. Therefore show that,

- a) the error function  $\mu$  strictly decreases in each step
- b) there is only a finite number of point to cluster assignments
- c) the algorithm terminates after a finite number of steps

### Assignment 3) Expectation Maximization

(1+1+1+1=4Pts)

To understand the convergence of the EM-algorithm better, please discuss the following questions:

- a) How does the result and run-time of EM change over different initial cluster parameters (positions and variance)? Why is EM a local optimization?
- b) How does the result change for a different number of clusters  $k$ ?
- c) What methods can be used to gain better global convergence (i.e. to find the global optimum)?
- d) How does the result/run-time change for different samplings of the underlying distribution but the *same* initial starting conditions?

**Good luck!**