

# Yunwen Lei

## PERSONAL INFORMATION

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*Date of Birth:* 02/1986

*Nationality:* Chinese

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## EDUCATION

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**Wuhan University**

Wuhan, China

*PhD in Computer Science*

09/2008 - 06/2014

Advisor: Lixin Ding

**Hunan University**

Changsha, China

*BSc in Mathematics*

09/2004 - 06/2008

## PROFESSIONAL APPOINTMENTS

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**Department of Computer Science, University of Kaiserslautern**

Kaiserslautern, Germany

*Alexander von Humboldt Research Fellow*

09/2019 - present

Host: Marius Kloft

**Department of Computer Science and Engineering, SUSTech**

Shenzhen, China

*Research Assistant Professor*

09/2017 - 08/2019

**Department of Mathematics, City University of Hong Kong**

Hong Kong, China

*Postdoctoral Research Fellow*

03/2015 - 09/2017

Mentor: Ding-Xuan Zhou

**Department of Computer Science, University of Exeter**

Exeter, UK

*Visiting Student*

11/2013 - 02/2014

Mentor: Yiming Ying

## RESEARCH INTERESTS

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My research interests lie in the areas of machine learning and learning theory, with emphasis on the following topics: online learning, deep learning, optimization and extreme classification. In particular, I am interested in developing and analyzing scalable optimization methods for large-scale learning problems.

## AWARDS

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Academic Awards

◦ Alexander von Humboldt Research Fellowship

11/2018

◦ Hubei Province Excellent Doctoral Dissertation

12/2015

Programming Contest Awards

◦ “Star-Net Cup” Programming Contest of Wuhan University, First Prize

11/2012

◦ ACM International College Programming Contest (Asia Region), Silver Medal

11/2007

Mathematical Contest Awards

◦ Mathematical Contest of Hunan Province, Second Prize

07/2007

◦ Mathematical Contest of Hunan University, First Prize

03/2007

## RESEARCH GRANTS

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**Alexander von Humboldt Foundation, \$69,800**

PI

*Statistical Machine Learning Using Vector-valued Functions*

2019 - 2021

**National Natural Science Foundation of China, \$33,600**

PI

*Extreme Classification: Learning Theory and Methods*

2019 - 2021

**National Natural Science Foundation of China, \$67,200**

Member

*Statistical Learning Model and Theory for Massive Complex Data*

2018 - 2021

## TEACHING

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University of Kaiserslautern

- Optimization for Deep Learning, lecture course with exercises *Summer 2020*
- Machine Learning III: Mathematics of ML, lecture course with exercises *Winter 2019*

Southern University of Science and Technology

- Intelligent Data Analysis, lab class *Spring 2019*
- Artificial Intelligence, lab class *Autumn 2018*

## PROFESSIONAL SERVICES

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Journal Reviewer:

- Journal of Machine Learning Research
- Transactions on Information Theory
- Transactions on Neural Networks and Learning Systems
- Transactions on Pattern Analysis and Machine Intelligence
- Journal of Approximation Theory
- Journal of Complexity
- Analysis and Applications
- Machine Learning
- Neurocomputing
- Neural Networks

Conference Reviewer:

- AAAI Conference on Artificial Intelligence (AAAI 2019, 2020)
- Asian Conference on Machine Learning (ACML 2019, 2020)
- Artificial Intelligence and Statistics Conference (AISTATS 2016–2020)
- Annual Conference on Learning Theory (COLT 2018)
- International Conference on Learning Representations (ICLR 2018–2020)
- International Conference on Machine Learning (ICML 2018–2020)
- International Joint Conference on Artificial Intelligence (IJCAI 2019, 2020)
- Advances in Neural Information Processing Systems (NeurIPS 2016–2020)\*

Reviewer for Mathematical Reviews, zbMATH

## PUBLICATIONS

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Referred Journal Papers

1. **Yunwen Lei**, Ting Hu, Guiying Li and Ke Tang. “Stochastic Gradient Descent for Nonconvex Learning without Bounded Gradient Assumptions.” *IEEE Transactions on Neural Networks and Learning Systems*, doi: 10.1109/TNNLS.2019.2952219
2. **Yunwen Lei** and Ding-Xuan Zhou. “Convergence of Online Mirror Descent.” *Applied Computational and Harmonic Analysis*, 48(1):343–373, 2020.
3. Shao-Bo Lin, **Yunwen Lei**<sup>†</sup> and Ding-Xuan Zhou. “Boosted Kernel Ridge Regression: Optimal Learning Rates and Early Stopping.” *Journal of Machine Learning Research*, 20(46):1–36, 2019.
4. **Yunwen Lei**, Ürün Dogan, Ding-Xuan Zhou and Marius Kloft. “Data-dependent Generalization Bounds for Multi-class Classification.” *IEEE Transactions on Information Theory*, 65(5): 2995–3021, 2019.
5. Niloofar Yousefi, **Yunwen Lei**, Marius Kloft, Mansoor Mollaghasemi and Georgios Anagnostopoulos. “Local Rademacher Complexity-based Learning Guarantees for Multi-task Learning.” *Journal of Machine Learning Research*, 19(38):1–47, 2018.
6. **Yunwen Lei**, Lei Shi and Zheng-Chu Guo. “Convergence of Unregularized Online Learning Algorithms.” *Journal of Machine Learning Research*, 18(171):1–33, 2018.
7. **Yunwen Lei** and Ding-Xuan Zhou. “Learning Theory of Randomized Sparse Kaczmarz Method.” *SIAM Journal on Imaging Sciences*, 11(1):547–574, 2018.
8. Junhong Lin, **Yunwen Lei**<sup>†</sup>, Bo Zhang and Ding-Xuan Zhou. “Online Pairwise Learning Algorithms with Convex Loss Functions.” *Information Sciences*, 406–407(9):57–70, 2017.
9. **Yunwen Lei** and Ding-Xuan Zhou. “Analysis of Online Composite Mirror Descent Algorithm.” *Neural Computation*, 29(3):825–860, 2017.

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\*one of top reviewers in NeurIPS 2019

<sup>†</sup>corresponding author

10. **Yunwen Lei** and Yiming Ying. “Generalization Analysis of Multi-modal Metric Learning.” *Analysis and Applications*, 14(04):503–521, 2016.
11. **Yunwen Lei**, Lixin Ding and Wensheng Zhang. “Generalization Performance of Radial Basis Function Networks.” *IEEE Transactions on Neural Networks and Learning Systems*, 26(3):551–564, 2015.
12. **Yunwen Lei** and Lixin Ding. “Refined Rademacher Chaos Complexity Bounds with Applications to the Multi-Kernel Learning Problem.” *Neural Computation*, 26(4):739–760, 2014.

#### Referred Conference Papers

1. **Yunwen Lei**, Peng Yang, Ke Tang and Ding-Xuan Zhou. “Optimal Stochastic and Online Learning with Individual Iterates.” In *Advances in Neural Information Processing Systems*, pages 5416–5426, 2019. (**Spotlight, 2.97% of submissions**)
2. **Yunwen Lei** and Ke Tang. “Stochastic Composite Mirror Descent: Optimal Bounds with High Probabilities.” In *Advances in Neural Information Processing Systems*, pages 1526–1536, 2018.
3. **Yunwen Lei**, Shao-Bo Lin and Ke Tang. “Generalization Bounds for Regularized Pairwise Learning.” In *International Joint Conference on Artificial Intelligence*, pages 2376–2382, 2018.
4. **Yunwen Lei**, Alexander Binder, Ürün Dogan and Marius Kloft. “Localized Multiple Kernel Learning—A Convex Approach.” In *JMLR Conference and Workshop Proceedings: Asian Conference on Machine Learning*, 63:81–96, 2016.
5. **Yunwen Lei**, Ürün Dogan, Alexander Binder and Marius Kloft. “Multi-class SVMs: From Tighter Data-Dependent Generalization Bounds to Novel Algorithms.” In *Advances in Neural Information Processing Systems*, pages 2026–2034, 2015.

#### Submitted Manuscripts

1. **Yunwen Lei** and Yiming Ying “Fine-Grained Analysis of Stability and Generalization for Stochastic Gradient Descent.” Submitted.
2. **Yunwen Lei** and Ke Tang “Learning Rates for Stochastic Gradient Descent with Nonconvex Objectives.” *IEEE Transactions on Pattern Analysis and Machine Intelligence*, Major revision.
3. **Yunwen Lei** and Yiming Ying. “Stochastic Proximal AUC Maximization.” In *arXiv preprint arXiv:1906.06053*, 2019.
4. Antoine Ledent, **Yunwen Lei** and Marius Kloft “Norm-based Generalisation Bounds for Multi-class Convolutional Neural Networks.” In *arXiv preprint arXiv:1905.12430*, 2019.