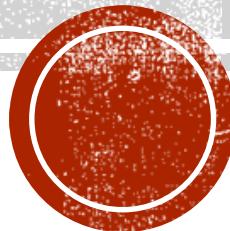


# Lect 02. Divide and Conquer

Spring, 2020



School of Computer Science & Engineering  
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# Divide and conquer

# Binary Search with Divide and Conquer

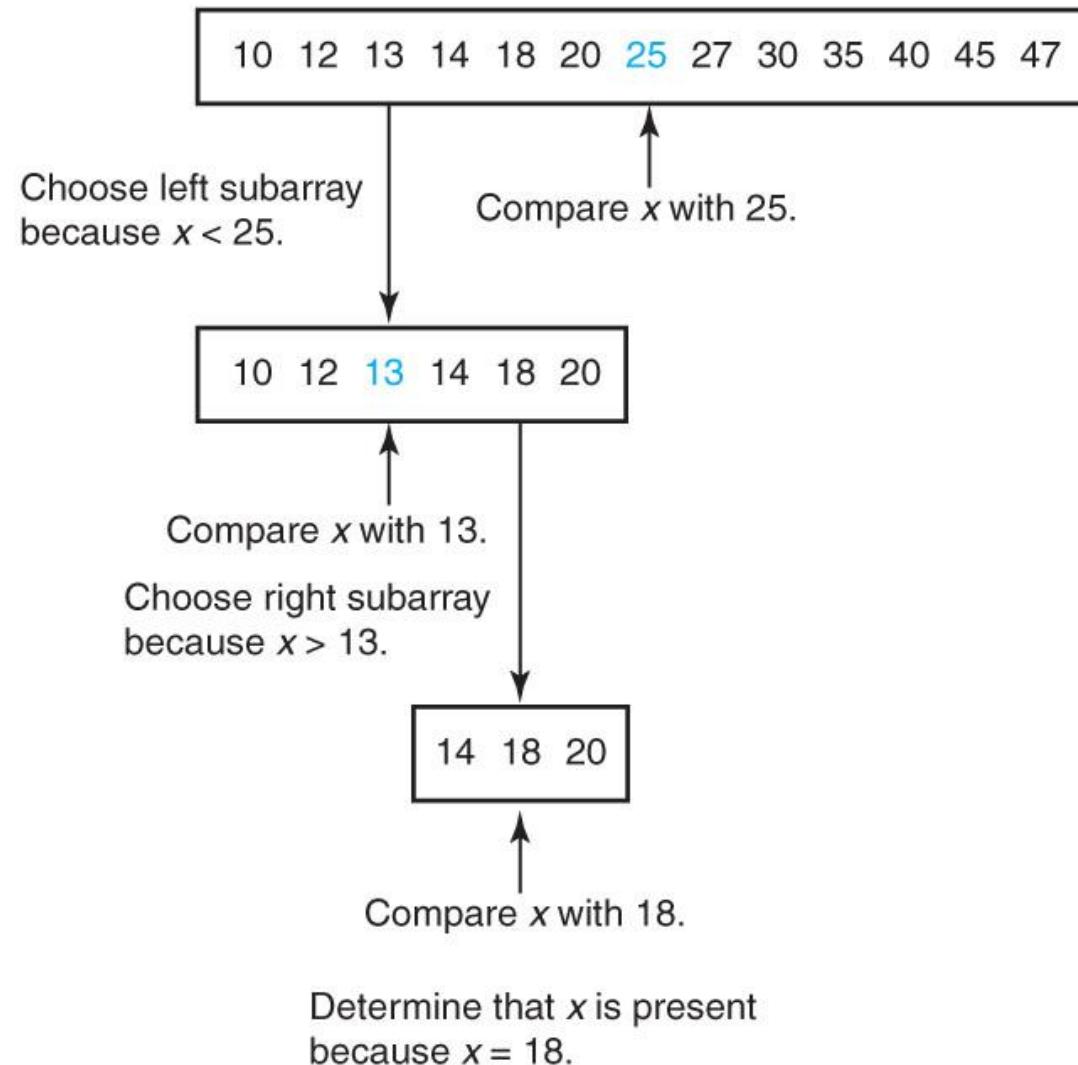


Figure 2.1 :The steps down by a human when searching with Binary Search. (Note:  $x = 18$ )

# **Divide-and-Conquer approach**

- Step 1: Divide an instance of a problem into one or more smaller instances.
- Step 2: Conquer solve each of the smaller instances(use recursion until the array is sufficiently small).
- Step 3: Combine the solutions of the smaller instances to obtain the solution of the original instance.

**-> Top-down approach**

# Binary Search : Recursive Algorithm

- Problem: Determine whether  $x$  is in the sorted array  $S$  of size  $n$ .
- Input : positive integer  $n$ , sorted(nondecreasing order) array of keys  $S$  indexed from 1 to  $n$ , a key  $x$
- Output : *location*, the location of  $x$  in  $S$  (0 if  $x$  is not in  $S$ ).
- method:
  - if  $x == \text{array}[\text{middle}]$ , then “Find” else :
  - **divide**: divide the array and check the value of  $\text{array}[\text{middle}]$ . If the value  $>x$  then select left of array, else select right of array
  - **conquer**: find  $x$  in selected half array.
  - **combine**: (if need)

# Binary Search : Recursive Algorithm

```
index location (index low, index high) {
    index mid;

    if (low > high)
        return 0;                      // fail
    else {
        mid = (low + high) / 2          // integer division()
        if (x == S[mid])
            return mid;                  // find
        else if (x < S[mid])
            return location(low, mid-1); // select left side
        else
            return location(mid+1, high); // select right side
    }
}

...
locationout = location(1, n);
...
```

# Example

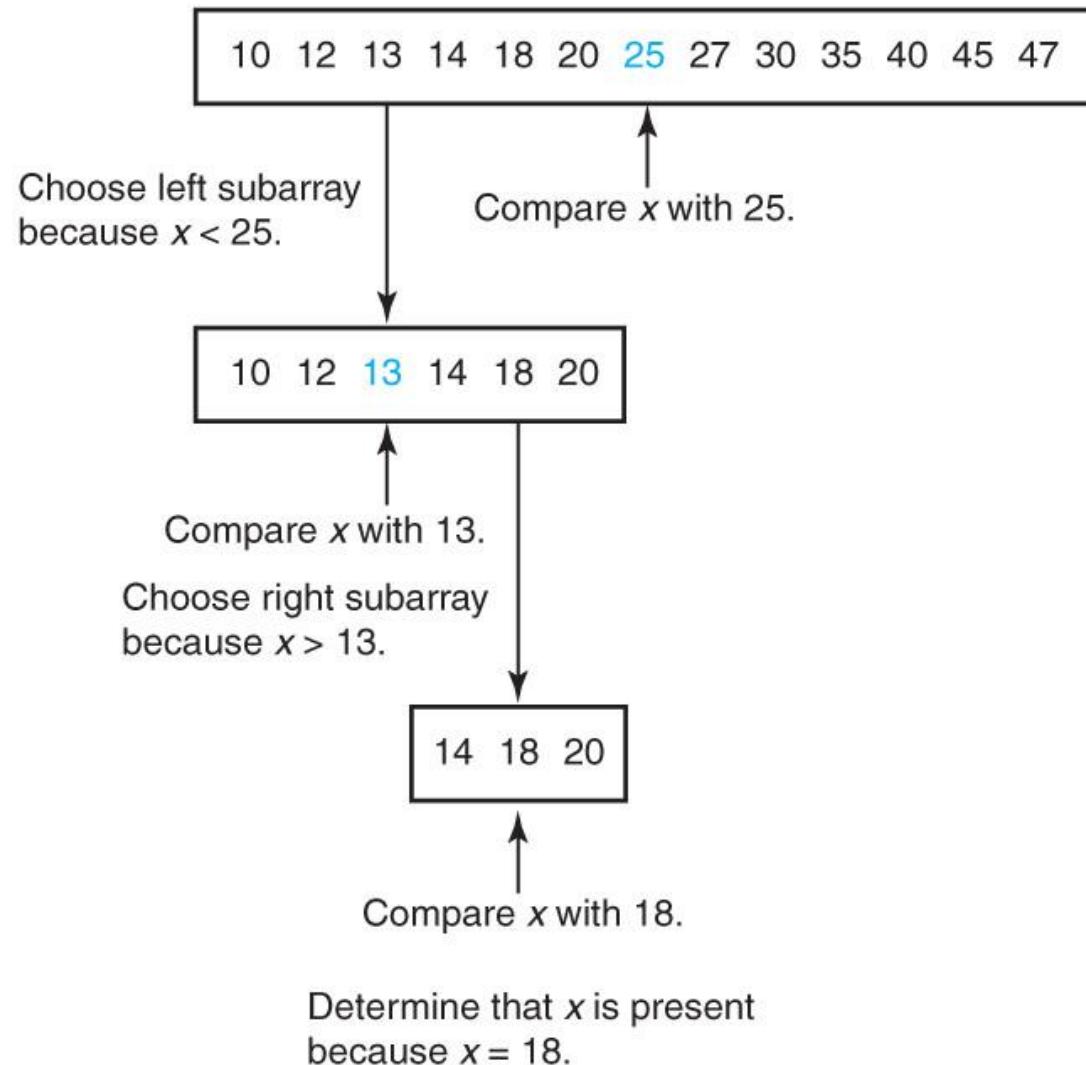


Figure 2.1 :The steps down by a human when searching with Binary Search. (Note:  $x = 18$ )

# Discussion

- Binary Search is the **simplest kind of divide-and-conquer** algorithm because the instance is broken down into only one smaller instance, so **there is no combination of outputs**. The solution of the original instance is the solution to the smaller instance.
- This recursive version of Binary Search employs that no operations are done after the recursive call (**tail-recursion**); it is straightforward to produce an iterative version.
- Indeed it is better to use iteration since iterative algorithms **execute faster** than recursive algorithms because **no stack** needs to be maintained.

# Worst-Case Time complexity

- **Basic operation:** the **comparison of  $x$  with  $S[mid]$**
- **Input size:**  **$n$** , the number of items in the array ( $= high - low + 1$ )

# Worst-Case Time Complexity

$$\frac{n}{2}$$

- **Case 1: n is a power of 2.**

we have the following recurrence:

$$W(n) = W\left(\frac{n}{2}\right) + 1, \text{ } n > 1 \text{ and } n = 2^k (k \geq 1)$$

$$W(1) = 1$$

The solution of the expression is the followings:

$$W(1) = 1$$

$$W(2) = W(1) + 1 = 2$$

$$W(4) = W(2) + 1 = 3$$

$$W(8) = W(4) + 1 = 4$$

$$W(16) = W(8) + 1 = 5$$

$$W(2^k) = k + 1..$$

$$W(n) = \lg n + 1$$

# Worst-Case Time Complexity

Proof: mathematical Induction:

i)  $n = 1, W(1) = 1 = \lg 1 + 1.$

ii) assume- positive integer  $n$  is a power of 2,  $W(n) = \lg n + 1$

iii) Induction: show that  $W(2n) = \lg(2n) + 1.$

Using the recurrence,

$$\begin{aligned} W(2n) &= W(n) + 1 && \text{by recurrence} \\ &= \lg n + 1 + 1 && \text{by induction} \\ &= \lg n + \lg 2 + 1 \\ &= \lg(2n) + 1 \end{aligned}$$

# Worst-Case Time Complexity

- **Case 2: in general – the size of half array is  $\left\lfloor \frac{n}{2} \right\rfloor$**
- **If  $n$  is a middle of index  $mid = \left\lfloor \frac{1+n}{2} \right\rfloor$ , the size of sub array is the followings respectively.**

| n    | Size of left sub-array | mid | Size of right sub-array |
|------|------------------------|-----|-------------------------|
| Even | $n/2 - 1$              | 1   | $n/2$                   |
| odd  | $(n-1)/2$              | 1   | $(n-1)/2$               |

By the above table, counter of item to find next step is at least  $\left\lfloor \frac{n}{2} \right\rfloor$ . Therefore the recurrence is the following:

$$W(n) = 1 + W\left(\left\lfloor \frac{n}{2} \right\rfloor\right) \quad n > 1$$

$$W(1) = 1$$

# Worst-Case Time Complexity

$$W(n) = W(n/2) + 1$$
$$W(n) = \lg n + 1$$

- $W(n) = \lfloor \lg n \rfloor + 1$  prof by mathematics induction for all  $n$ .

i)  $n = 1$ , true.  $\lfloor \lg n \rfloor + 1 = \lfloor \lg 1 \rfloor + 1 = 0 + 1 = 1 = W(1)$

ii)  $n > 1$ , for all  $k$  ( $1 < k < n$ ), we assume that  $W(k) = \lfloor \lg k \rfloor + 1$

iii) induction step -  $n$  : even ( $\lfloor \frac{n}{2} \rfloor = \frac{n}{2}$ )

$$\begin{aligned} W(n) &= 1 + W\left(\left\lfloor \frac{n}{2} \right\rfloor\right) && \text{by recurrence} \\ &= 1 + \left\lfloor \lg \left\lfloor \frac{n}{2} \right\rfloor \right\rfloor + 1 && \text{by induction} \\ &= 2 + \left\lfloor \lg \left\lfloor \frac{n}{2} \right\rfloor \right\rfloor \\ &= 2 + \left\lfloor \lg \frac{n}{2} \right\rfloor && n : \text{even} \\ &= 2 + \left\lfloor \lg n - 1 \right\rfloor \\ &= 2 + \left\lfloor \lg n \right\rfloor - 1 \\ &= 1 + \left\lfloor \lg n \right\rfloor \end{aligned}$$

# Worst-Case Time Complexity

- $n: \text{odd}(\left\lfloor \frac{n}{2} \right\rfloor = \frac{n-1}{2})$ ,

$$\begin{aligned} W(n) &= 1 + W\left(\left\lfloor \frac{n}{2} \right\rfloor\right) && \text{by recurrence} \\ &= 1 + \left\lfloor \lg \left\lfloor \frac{n}{2} \right\rfloor \right\rfloor + 1 && \text{by induction} \\ &= 2 + \left\lfloor \lg \left\lfloor \frac{n}{2} \right\rfloor \right\rfloor \\ &= 2 + \left\lfloor \lg \frac{n-1}{2} \right\rfloor && n \text{ odd} \\ &= 2 + \left\lfloor \lg(n-1) - 1 \right\rfloor \\ &= 2 + \left\lfloor \lg(n-1) \right\rfloor - 1 \\ &= 1 + \left\lfloor \lg(n-1) \right\rfloor \\ &= 1 + \left\lfloor \lg n \right\rfloor && n : \text{odd} \end{aligned}$$

therefore,  $W(n) = \left\lfloor \lg n \right\rfloor + 1 \in \Theta(\lg n)$ .

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# Merge Sort

# Merge Sort

- Using **two-way merging**, we can combine two sorted arrays into one array. By repeatedly applying the merging procedure, we can sort an array.
- Eventually the size of the subarrays will become 1, and an array of size 1 is trivially sorted.
- This procedure is called **mergesort**.

# Merge Sort

- Mergesort has three steps.
  - Step 1: Divide the array into 2 sub-arrays each of  $n/2$ .
  - Step 2: Solve each sub-array by sorting it (use recursion till array is sufficiently small).
  - Step 3: Combine solutions to the sub-arrays by merging them into a single sorted array.

# Mergesort

- Problem: Sort  $n$  keys in nondecreasing sequence.
- Inputs: positive integer  $n$ , array of keys  $S[1..n]$
- Outputs: the array  $S[1..n]$  containing the keys in nondescreasing order.
- Example : 27, 10, 12, 20, 25, 13, 15, 22

# Merge Sort

- Algorithm

```
void mergesort (int n, keytype S[]) {  
    const int h = n / 2, m = n - h;  
    keytype U[1..h], V[1..m];  
  
    if (n > 1) {  
        copy S[1] through S[h] to U[1] through U[h];  
        copy S[h+1] through S[n] to V[1] through V[m];  
        mergesort(h,U);  
        mergesort(m,V);  
        merge(h,m,U,V,S);  
    }  
}
```

# Merge

- Problem: Merged two sorted arrays into one sorted.
- Inputs: (1)positive integers  $h$  and  $m$ , (2)array of sorted keys  $U[1..h]$ ,  $V[1..m]$
- Outputs: an array  $S[1...h+m]$  containing the keys in  $U$  and  $V$  in a single sorted array.

- **Algorithm:**

```
void merge(int h, int m, const keytype U[], const keytype V[], const keytype S[]) {  
    index i, j, k;  
    i = 1; j = 1; k = 1;  
    while (i <= h && j <= m) {  
        if (U[i] < V[j]) {  
            S[k] = U[i];  
            i++;  
        }  
        else {  
            S[k] = V[j];  
            j++;  
        }  
        k++;  
    }  
    if (i > h)  
        copy V[j] through V[m] to S[k] through S[h+m];  
    else  
        copy U[i] through U[h] to S[k] through S[h+m];  
}
```

# Example

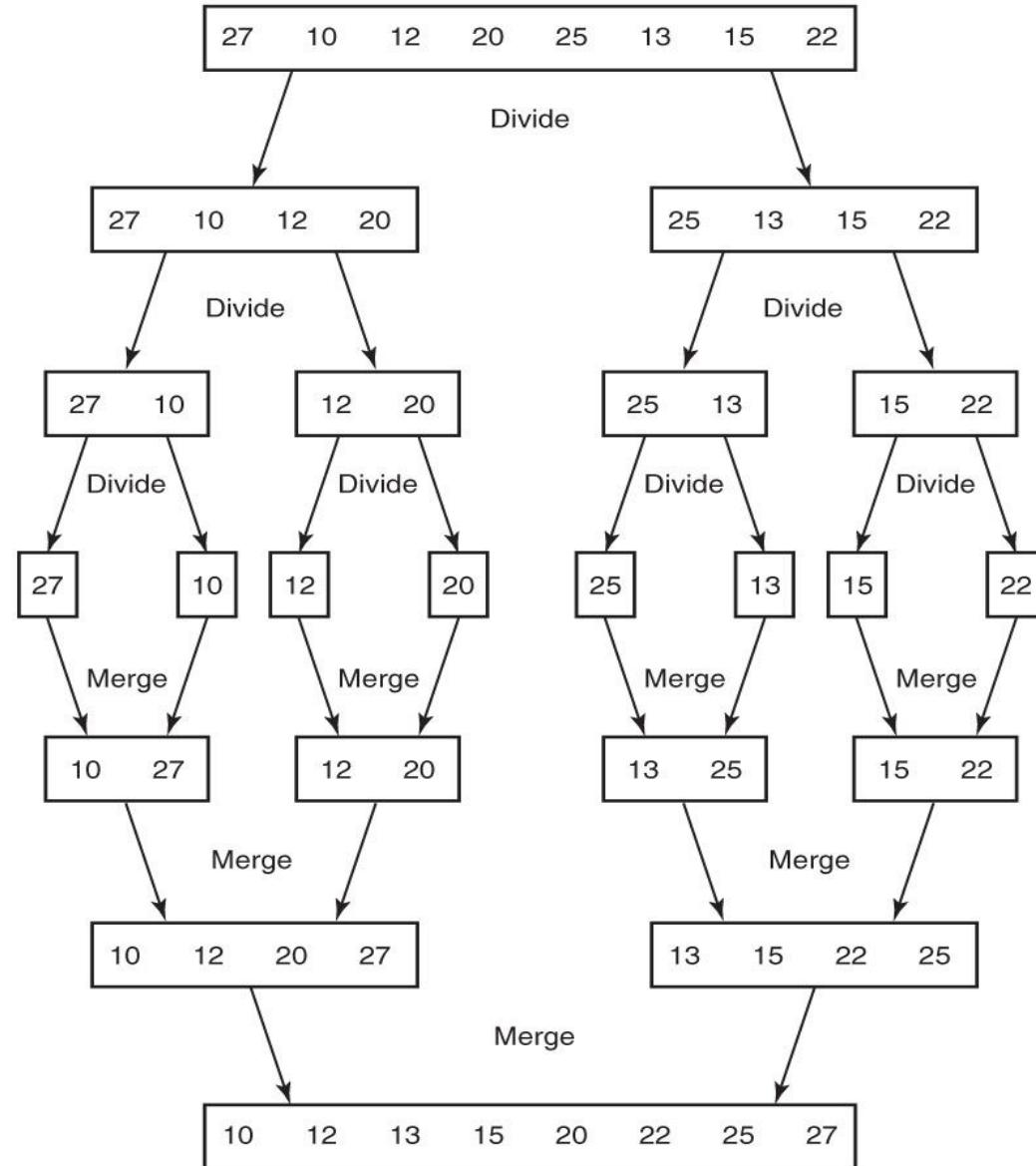


Figure 2.2: The steps done by a human when sorting with Mergesort.

# Example - merge

- Merging two arrays U and V into one array S

| k | U                  | V                  | S(result)                       |
|---|--------------------|--------------------|---------------------------------|
| 1 | <b>10 12 20 27</b> | <b>13 15 22 25</b> | 10                              |
| 2 | 10 <b>12 20 27</b> | <b>13 15 22 25</b> | 10 12                           |
| 3 | 10 12 <b>20 27</b> | <b>13 15 22 25</b> | 10 12 13                        |
| 4 | 10 12 <b>20 27</b> | <b>13 15 22 25</b> | 10 12 13 15                     |
| 5 | 10 12 <b>20 27</b> | 13 15 <b>22 25</b> | 10 12 13 15 20                  |
| 6 | 10 12 20 <b>27</b> | 13 15 <b>22 25</b> | 10 12 13 15 20 22               |
| 7 | 10 12 20 <b>27</b> | 13 15 22 <b>25</b> | 10 12 13 15 20 22 25            |
| - | 10 12 20 27        | 13 15 22 25        | 10 12 13 15 20 22 25 27 (final) |

# Merge Sort

- In Mergesort, we are concentrating on comparison. Suppose we have  $n$  keys we will not have more than  $n$  comparisons. In the worst case we will have  $n-1$  and in the normal case we will have  $C \leq n \log(n + 1)$ .  $C = O(n \log n)$ .
- An **in-place sort** is a sorting algorithm that does not use any extra space beyond that needed to store the input.

# Analysis of Time Complexity

## ■ Worst-Case Time Complexity(Mergesort)

- Basic operation: the comparison that takes place in merge.
- Input size:  $n$ , the number of items in the array S.
- Analysis:
  - The total # of comparisons
    - The # of comp. in the recursive call to *mergesort* with U + the # of comp. in the recursive call to *mergesort* with V + the # of comp. in the top-level call to *merge*.
  - $$W(n) = W(h) + W(m) + h+m-1$$

Time to sort U   Time to sort V   Time to merge

# Analysis of Time Complexity

- Case n : power of 2

- $h = \lfloor n/2 \rfloor = \frac{n}{2}$
- $m = n - h = n - \frac{n}{2} = \frac{n}{2}$
- $h + m = \frac{n}{2} + \frac{n}{2} = n.$
- $\therefore W(n) = W\left(\frac{n}{2}\right) + W\left(\frac{n}{2}\right) + n - 1$
- $= 2W\left(\frac{n}{2}\right) + n - 1.$
- When the input size is 1, the terminal condition is met and no merging is done.  $\therefore W(1) = 0.$

# Analysis of Time Complexity

- The recurrence
- $W(n) = 2W\left(\frac{n}{2}\right) + n - 1$ , for  $n > 1, n = 2^k$
- $W(1) = 0$
- Let  $n = 2^k, T(2^k) = 2T\left(\frac{2^k}{2}\right) + 2^k - 1$ 
  - $= 2T(2^{k-1}) + 2^k - 1.$
- Let  $t_k = T(2^k), t_k = 2t_{k-1} + 2^k - 1.$
- Apply the theorem(nonhomogeneous linear recurrence),  $t_k = c_1 + c_2 2^k + c_3 k 2^k.$
- $T(2^k) = c_1 + c_2 n + c_3 n \lg n.$
- $T(n) = c_1 + c_2 n + c_3 n \lg n.$
- $T(1) = c_1 + c_2 = 0, T(2) = c_1 + 2c_2 + c_3 2 \lg 2 = 1.$
- Let  $c_3 = 1$ , the constants are  $c_1 = 1, c_2 = -1$
- The solution is  $W(n) = n \lg n - (n - 1) \in \Theta(n \lg n).$

# Analysis of Time Complexity

- Case  $n \neq 2^k$ ,
  - $W(n) = W\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + W\left(\left\lceil \frac{n}{2} \right\rceil\right) + n - 1$
  - $W(n) \in \Theta(n \lg n)$ .

# Advanced Mergesort(space complexity)

- **Mergesort2**

- Problem: Sort n keys in nondecreasing sequence.
- Inputs: positive integer n, array of keys S[1..n]
- Outputs: the array S[1..n] containing the keys in nondecreasing order
- Algorithm:

```
void mergesort2 (index low, index high) {  
    index mid;  
    if (low < high) {  
        mid = (low + high) / 2;  
        mergesort2(low, mid);  
        mergesort2(mid+1, high);  
        mergesort2(low, mid, high);  
    }  
}  
...  
mergesort2(1, n);  
...
```

# Advanced Merge

- **Merge**
  - **Problem:** Merge the two sorted subarrays of  $S$  created in mergesort 2
  - **Inputs:** (1) indices  $low, mid, high$ , (2) subarray of  $S[low..high]$ , where  $S[low..mid]$  and  $S[mid+1..high]$  are already sorted.
  - **Outputs:** the subarray of  $S$  indexed from  $low$  to  $high$  containing the keys in nondecreasing order.

- **Algorithm:**

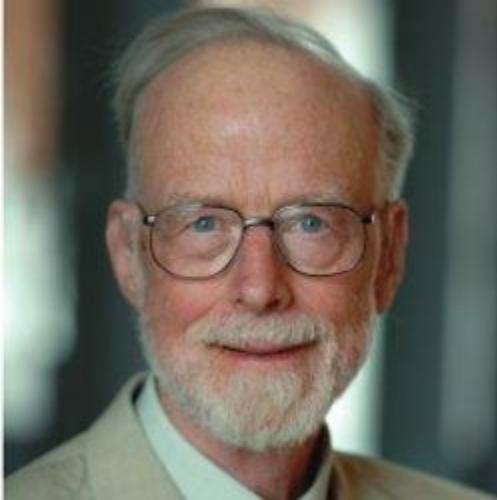
```
void merge2(index low, index mid, index high) {  
    index i, j, k; keytype U[low..high]; // a local array needed for the merging  
    i = low; j = mid + 1; k = low;  
    while (i <= mid && j <= high) {  
        if (S[i] < S[j]) {  
            U[k] = S[i];  
            i++;  
        }  
        else {  
            U[k] = S[j];  
            j++;  
        }  
        k++;  
    }  
    if (i > mid)  
        copy S[j] through S[high] to U[k] through U[high];  
    else  
        copy S[i] through S[mid] to U[k] through U[high];  
    copy U[low] through U[high] to S[low] through S[high];  
}
```

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# Quick Sort

# Quicksort(Partition Exchange Sort)

- Developed by C.A.R. Hoare(UK, 1962)
- Example: 15 22 13 27 12 10 20 25



# Ex

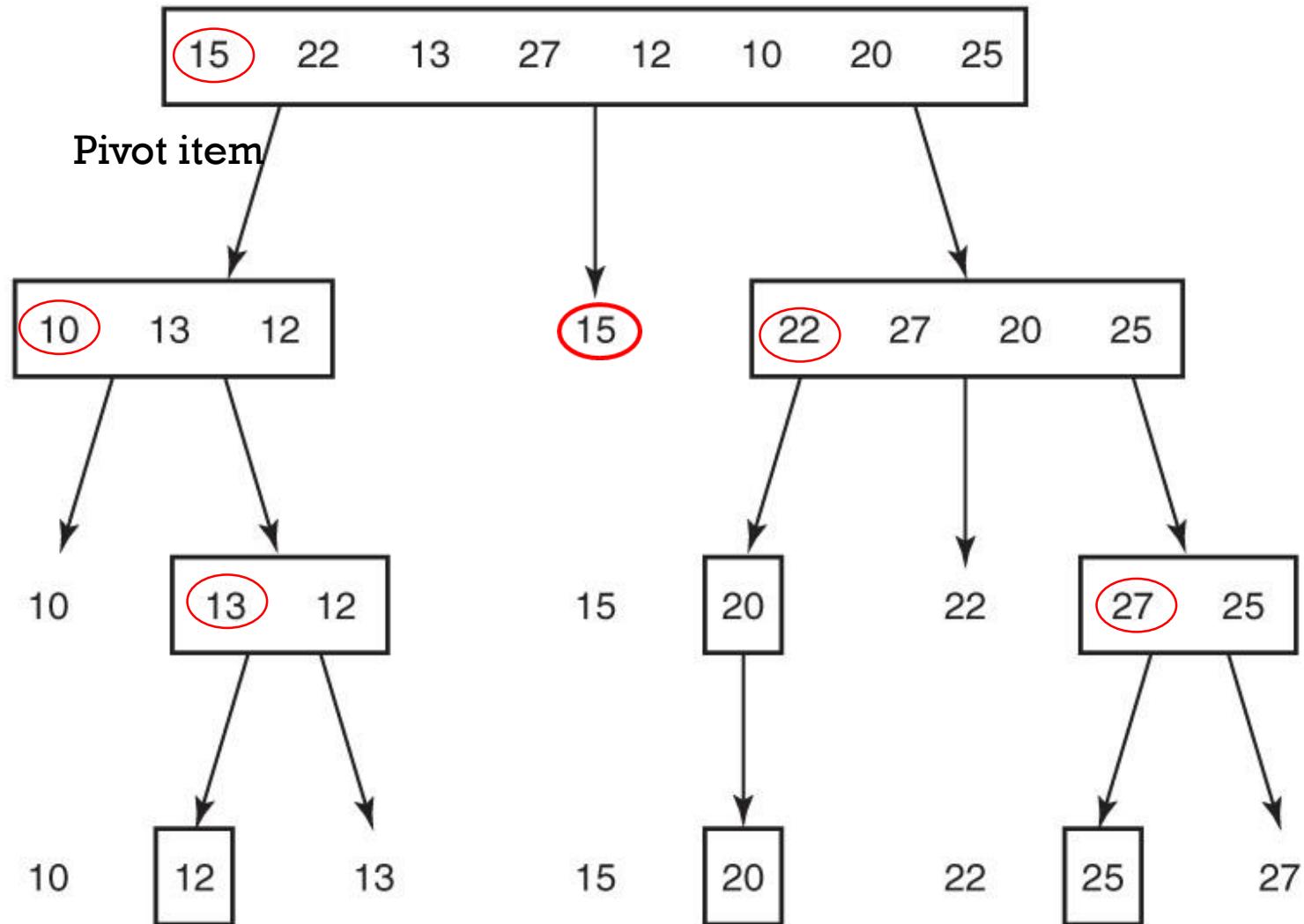


Figure 2.3: The steps done by a human when sorting with Quicksort. The subarrays are enclosed in rectangles whereas the pivot points are free.

# Quicksort algorithm

- Problem: Sort n keys in nondecreasing order
- Inputs: positive integer n, array of keys S[1..n]
- Outputs: the array S[1..n] containing the keys in nondecreasing order
- Algorithm:

```
void quicksort (index low, index high) {  
    index pivotpoint;  
    if (high > low) {  
        partition(low,high,pivotpoint);  
        quicksort(low,pivotpoint-1);  
        quicksort(pivotpoint+1,high);  
    }  
}
```

# Partition algorithm

- Problem : Partition the array S for Quicksort
- Inputs: (1) two indices low,high, (2) the subarray S[low ... high]
- Outputs: *pivotpoint*, the pivot point for the subarray indexed from *low* to *high*
- Algorithm:

```
void partition (index low, index high, index& pivotpoint) {  
    index i, j;  
    keytype pivotitem;  
    pivotitem = S[low];      //Choose first item for pivotitem  
    j = low;  
    for(i = low + 1; i <= high; i++)  
        if (S[i] < pivotitem) {  
            j++;  
            exchange S[i] and S[j];  
        }  
    pivotpoint = j;  
    exchange S[low] and S[pivotpoint]; // put pivotitem at pivotpoint  
}
```

# An example of procedure partition

| i | j | s[1] | s[2] | s[3] | s[4] | s[5] | s[6] | s[7] | s[8] |               |
|---|---|------|------|------|------|------|------|------|------|---------------|
| - | - | 15   | 22   | 13   | 27   | 12   | 10   | 20   | 25   | Initial value |
| 2 | 1 | 15   | 22   | 13   | 27   | 12   | 10   | 20   | 25   |               |
| 3 | 2 | 15   | 22   | 13   | 27   | 12   | 10   | 20   | 25   |               |
| 4 | 2 | 15   | 13   | 22   | 27   | 12   | 10   | 20   | 25   |               |
| 5 | 3 | 15   | 13   | 22   | 27   | 12   | 10   | 20   | 25   |               |
| 6 | 4 | 15   | 13   | 12   | 27   | 22   | 10   | 20   | 25   |               |
| 7 | 4 | 15   | 13   | 12   | 10   | 22   | 27   | 20   | 25   |               |
| 8 | 4 | 15   | 13   | 12   | 10   | 22   | 27   | 20   | 25   |               |
| - | 4 | 10   | 13   | 12   | 15   | 22   | 27   | 20   | 25   | Final Value   |

# Analysis-partition

- Basic operation : the comparison of  $S[i]$  with pivotitem
- Input size :  $n=high-low+1$ , the # of items in the subarray
  - Compared to first item  
 $T(n)=n-1$

# Analysis-worst-case Time complexity

- Basic Op. : the comparison of  $S[i]$  with *pivotitem* in *partition*.
- Input size :  $n$ , the # of items in the array  $S$ .
- Analysis

- Worst case : sorted items

- $T(n) = T(0) + T(n - 1) + n - 1$

| Time to sort<br>left subarray | Time to sort<br>right subarray | Time to<br>partition |
|-------------------------------|--------------------------------|----------------------|
| $T(n) = T(n - 1) +$           | $n - 1$                        | $for n > 0$          |

$$T(0) = 0$$

$$T(n) = T(n - 1) + n - 1$$

# Analysis-worst-case Time complexity

- $T(n) = T(n - 1) + n - 1$

$$T(n - 1) = T(n - 2) + n - 2$$

$$T(n - 2) = T(n - 3) + n - 3$$

...

$$T(2) = T(1) + 1$$

$$T(1) = T(0) + 0$$

$$T(0) = 0$$

$$T(n) = 1 + 2 + \dots + (n-1) = \frac{n(n-1)}{2}$$

$$\therefore T(n) = \frac{n(n-1)}{2}$$

- Show that, for all  $n$ ,  $W(n) \leq \frac{n(n-1)}{2}$

**Proof:** (mathematical induction)

**Induction base:**  $n = 0$ ,  $W(0) \leq \frac{0(0-1)}{2}$

**Induction hypothesis:** Assume that for  $0 \leq k < n$ ,  $W(k) \leq \frac{k(k-1)}{2}$

**Induction step:** show that  $W(n) \leq \frac{n(n-1)}{2}$

$W(n) \leq W(p-1) + W(n-p) + n-1$  by recurrence, pivotpoint =  $p$

$$\leq \frac{(p-1)(p-2)}{2} + \frac{(n-p)(n-p-1)}{2} + n-1 \text{ By induction hypothesis}$$

$$= \frac{p^2 - 3p + 2 + (n-p)^2 - n + p + 2n - 2}{2}$$

$$= \frac{p^2 + (n-p)^2 + n - 2p}{2}$$

$p = n-1$  : max, therefore  $\max_{1 \leq p \leq n-1} (p^2 + (n-p)^2) = 1^2 + (n-1)^2 = n^2 - 2n + 2$

$$W(n) \leq \frac{p^2 + (n-p)^2 + n - 2p}{2} \leq \frac{n^2 - 2n + 2 + n - 2}{2} = \frac{n^2 - n}{2} = \frac{n(n-1)}{2}$$

$$W(n) = \frac{n(n-1)}{2} \in \Theta(n^2)$$

# Analysis – Average case

- Basic Op. : the comparison of  $S[i]$  with *pivotitem* in *partition*.
- Input size :  $n$ , the # of items in the array  $S$ .
- Analysis

Probability pivotpoint is  
 $p$

$$\begin{aligned} A(n) &= \sum_{p=1}^n \frac{1}{n} [A(p-1) + A(n-p)] + \frac{n-1}{n} \\ &= \frac{2}{n} \sum_{p=1}^n A(p-1) + \frac{n-1}{n} \end{aligned}$$

Average time to sort subarrays  
When pivotpoint is p      Time to partition

$$\therefore \sum_{p=1}^n [A(p-1) + A(n-p)] = 2 \sum_{p=1}^n A(p-1).$$

Multiplying by  $n$ ,       $nA(n) = 2\sum_{p=1}^n A(p-1) + n(n-1)$     (1)

$n \rightarrow n - 1$ ,       $(n-1)A(n-1) = 2\sum_{p=1}^{n-1} A(p-1) + (n-1)(n-2)$     (2)

(1)- (2),       $nA(n) - (n-1)A(n-1) = 2A(n-1) + 2(n-1)$

Simplifies to     $\frac{A(n)}{n+1} = \frac{A(n-1)}{n} + \frac{2(n-1)}{n(n+1)}$

Let       $a_n = \frac{A(n)}{n+1}$

We have the recurrence.       $a_n = a_{n-1} + \frac{2(n-1)}{n(n+1)}, \quad n > 0 \quad a_0 = 0$

Then,       $a_n = a_{n-1} + \frac{2(n-1)}{n(n+1)} \quad a_{n-1} = a_{n-2} + \frac{2(n-2)}{(n-1)n} \dots \quad a_2 = a_1 + \frac{1}{3} \quad a_1 = a_0 + 0$

Therefore, the solution is

$$\begin{aligned}a_n &= \sum_{i=1}^n \frac{2(i-1)}{i(i+1)} \\&= 2\left(\sum_{i=1}^n \frac{1}{i+1} - \sum_{i=1}^n \frac{1}{i(i+1)}\right)\end{aligned}$$

where right term is ignore because it's too small.

$$\ln n = \log_e n,$$

$$\sum_{i=1}^n \frac{1}{i} = 1 + \frac{1}{2} + \cdots + \frac{1}{n} = \ln n$$

The solution is  $a_n \approx 2 \ln n$ . Therefore,  $a_n = \frac{A(n)}{n+1} \approx 2 \ln n$

$$\begin{aligned}A(n) &\approx (n+1)2 \ln n \\&= (n+1)2(\ln 2)(\lg n) \\&\approx 1.38(n+1)\lg n \\&\in \Theta(n \lg n)\end{aligned}$$

# Motivation: Master Theorem-Asymptotic Behavior of Recursive Algorithms

- When analyzing algorithms, recall that we only care about the asymptotic behavior
- Recursive algorithms are no different
- Rather than solving exactly the recurrence relation associated with the cost of an algorithm, it is sufficient to give an asymptotic characterization
- The main tool for doing this is the master theorem

# Master Theorem

- Let  $T(n)$  be a monotonically increasing function that satisfies

$$T(n) = a T(n/b) + f(n)$$

$$T(1) = c$$

where  $a \geq 1, b \geq 2, c > 0$ . If  $f(n)$  is  $\Theta(n^d)$  where  $d \geq 0$  then

$$T(n) = \begin{cases} \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^d \log n) & \text{If } a = b^d \\ \Theta(n^{\log_b a}) & \text{if } a > b^d \end{cases}$$

# Master Theorem: Pitfalls

- You **cannot** use the Master Theorem if

- $T(n)$  is not monotone, e.g.  $T(n) = \sin(x)$
- $f(n)$  is not a polynomial, e.g.,  $T(n)=2T(n/2)+2^n$
- $b$  cannot be expressed as a constant, e.g.

$$T(n) = T(\sqrt{n})$$

- Note that the Master Theorem does not solve the recurrence equation

# Master Theorem: Example 1

- Let  $T(n) = T(n/2) + \frac{1}{2} n^2 + n$ . What are the parameters?

$$a = 1$$

$$b = 2$$

$$d = 2$$

$$T(n) = \begin{cases} \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^d \log n) & \text{If } a = b^d \\ \Theta(n^{\log_b a}) & \text{if } a > b^d \end{cases}$$

Therefore, which condition applies?  $f(n) = \Theta(n^2)$ ,  $d = 2$

$1 < 2^2$ , case 1 applies

We conclude that  $T(n) \in \Theta(n^d) = \Theta(n^2)$

# Master Theorem: Example 2

- Let  $T(n) = 2 T(n/4) + \sqrt{n} + 42$ . What are the parameters?

$$a = 2$$

$$b = 4$$

$$d = 1/2$$

$$T(n) = \begin{cases} \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^d \log n) & \text{If } a = b^d \\ \Theta(n^{\log_b a}) & \text{if } a > b^d \end{cases}$$

Therefore, which condition applies?

$$2 = 4^{1/2}, \text{ case 2 applies}$$

We conclude that

$$T(n) \in \Theta(n^d \log n) = \Theta(\log n \sqrt{n})$$

# Master Theorem: Example 3

- Let  $T(n) = 3T(n/2) + 3/4n + 1$ . What are the parameters?

$$a = 3$$

$$b = 2$$

$$d = 1$$

$$T(n) = \begin{cases} \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^d \log n) & \text{If } a = b^d \\ \Theta(n^{\log_b a}) & \text{if } a > b^d \end{cases}$$

Therefore, which condition applies?

$3 > 2^1$ , case 3 applies

We conclude that

$$T(n) \in \Theta(n^{\log_b a}) = \Theta(n^{\log_2 3})$$

Note that  $\log_2 3 \approx 1.584\dots$ , can we say that  $T(n) \in \Theta(n^{1.584})$

No, because  $\log_2 3 \approx 1.5849\dots$  and  $n^{1.584} \notin \Theta(n^{1.5849})$

# Matrix Multiplication

## ■ Standard Matrix Multiplication Algorithm

- Problem: Determine the product of two  $n \times n$  matrices.
- Inputs: positive integer  $n$ , and two  $n \times n$  matrices  $A$  and  $B$ .
- Outputs: the product  $C$  of  $A$  and  $B$ .
- Algorithm:

```
void matrixmult (int n, const number A[][], const number B[][], number C[][]) {  
    index i, j, k;  
    for (i = 1; i <= n; i++)  
        for (j = 1; j <= n; j++) {  
            C[i][j] = 0;  
            for (k = 1; k <= n; k++)  
                C[i][j] = C[i][j] + A[i][k] * B[k][j];  
        }  
}
```

# Matrix Multiplication

- Time Complexity I:
  - Basic Operation: multiplication instruction in the innermost for loop
  - Input size:  $n$ , the number of rows and columns.
  - Time complexity analysis of all case : the total number of multiplication is  $T(n) = n \times n \times n = n^3 \in \Theta(n^3)$ .
  
- Time Complexity II:
  - Basic Operation : addition instruction in the innermost for loop
  - Input size:  $n$ , the number of rows and columns
  - Time complexity analysis of all case : the total number of addition is  $T(n) = (n - 1) \times n \times n = n^3 - n^2 \in \Theta(n^3)$ .

## $2 \times 2$ Matrix Multiplication: Strassen's Algorithm

- Problem: product  $C$  of two  $2 \times 2$  matrix  $A$  and  $B$

$$\begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \times \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}$$

- Strassen's Solution:

$$C = \begin{bmatrix} m_1 + m_4 - m_5 + m_7 & m_3 + m_5 \\ m_2 + m_4 & m_1 + m_3 - m_2 + m_6 \end{bmatrix}$$

where

$$m_1 = (a_{11} + a_{22}) \times (b_{11} + b_{22})$$

$$m_2 = (a_{21} + a_{22}) \times b_{11}$$

$$m_3 = a_{11} \times (b_{12} + b_{22})$$

$$m_4 = a_{22} \times (b_{21} + b_{11})$$

$$m_5 = (a_{11} + a_{12}) \times b_{22}$$

$$m_6 = (a_{21} - a_{11}) \times (b_{11} + b_{12})$$

$$m_7 = (a_{12} - a_{22}) \times (b_{21} + b_{22})$$

- Time Complexity Analysis :

- Standard method: multiplications – 8 times and additions/subtractions – 4 times.
- Strassen's method: multiplications- 7 and addition/subtractions- 18.
- At glance, it is not very impressive but Strassen's method is good according to the increasing input size.

## $n \times n$ Matrix Multiplication: Strassen's Algorithm

- Problem : Determine the product of two  $n \times n$  matrices where  $n$  is a power of 2. The product C of A and B is obtained by combining the four submatrices.

$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

- Strassen's Solution :

$$C = \begin{bmatrix} M_1 + M_4 - M_5 + M_7 & M_3 + M_5 \\ M_2 + M_4 & M_1 + M_3 - M_2 + M_6 \end{bmatrix}$$

$$M_1 = (A_{11} + A_{22}) \times (B_{11} + B_{22})$$

$$M_2 = (A_{21} + A_{22}) \times B_{11}$$

$$M_3 = A_{11} \times (B_{12} + B_{22})$$

$$M_4 = A_{22} \times (B_{21} + B_{11})$$

$$M_5 = (A_{11} + A_{12}) \times B_{22}$$

$$M_6 = (A_{21} - A_{11}) \times (B_{11} + B_{12})$$

$$M_7 = (A_{12} - A_{22}) \times (B_{21} + B_{22})$$

where

# Strassen's Algorithm

- Problem: Determine the product of two  $n \times n$  matrices where  $n$  is a power of 2.
- Inputs: an integer  $n$  that is a power of 2, and two  $n \times n$  matrices  $A$  and  $B$
- Outputs: the product  $C$  of  $A$  and  $B$ .
- Algorithm:

```
void strassen (int n, n*n_matrix A, n*n_matrix B, n*n_matrix& C) {  
    if (n <= threshold)  
        compute C = A x B using the standard algorithm ;  
    else {  
        partition A into four submatrices A11, A12, A21, A22 ;  
        partition B into four submatrices B11, B12, B21, B22 ;  
        compute C = A x B using Strassen's method ;  
        // example recursive call: strassen(n/2, A11+A12, B11+B22, M1)  
    }  
}
```

- **threshold** : the point at which we feel it is more efficient to use the standard algorithm than it would be to call procedure *strassen* recursively.

# Analysis

## ■ Time Complexity I

- Basic operation: one elementary multiplication
- Input sizes:  $n$ , the number of rows and columns in the matrices
- Analysis of time complexity in all cases: If threshold is 1, (threshold doesn't affect the order at all.)  
 $T(n) = 7T\left(\frac{n}{2}\right)$   $n > 10 \mid \exists, n = 2^k (k \geq 1)$

the recurrence is

$$T(1) = 1$$

$$T(n) = 7 \times 7 \times \cdots \times 7 \quad (k \text{ times})$$

$$= 7^k$$

$$= 7^{\lg n}$$

$$= n^{\lg 7}$$

$$= n^{2.81}$$

$$\in \Theta(n^{2.81})$$

we expand the recurrence,

The result is able to verify by mathematical induction. This recurrence can be verified by master theorem.

# Analysis

## ■ Analysis of time complexity II

- Basic operation: one elementary addition or subtraction
- Input size: n, the # of rows and columns in the matrices
- Analysis of time complexity in all cases: If threshold is 1, the recurrence is

$$T(n) = 7T\left(\frac{n}{2}\right) + 18\left(\frac{n}{2}\right)^2 \quad n > 1 \text{ and } n = 2^k \quad (k \geq 1)$$

$$T(1) = 0$$

By the master's theorem, it is solved easily the following:

$$T(n) = \Theta(n^{\lg_2 7}) = \Theta(n^{2.81})$$

# Discussion

- There is no algorithm whose time complexity is  $\Theta(n^2)$
- In addition, no one has proven that it is not possible to create such algorithm.

# When Not to Use Divide-and-Conquer

- Divide-and-conquer algorithms should be avoided in the following two cases:
  - 1. An instance of size  $n$  is divided into **two or more instances each almost of size  $n$** . => time complexity : exponential time
  - 2. An instance of size  $n$  is divided into **almost  $n$  instances of size  $n/c$** , where  $c$  is a constant. => time complexity : exponential time  $\Theta(n^{\lg n})$