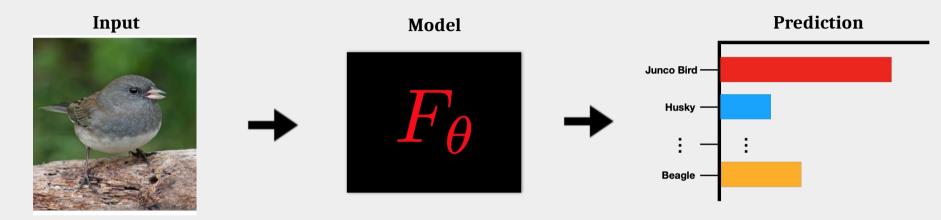
# Sanity Checks for Saliency Maps

#### **Overview**

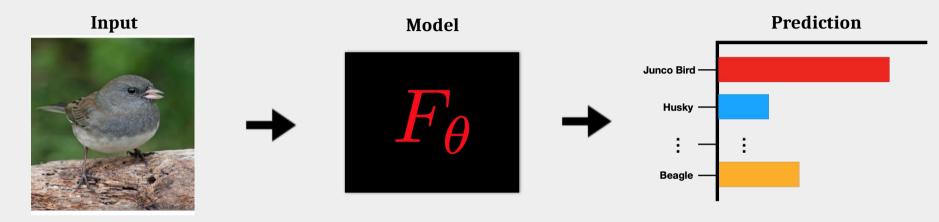
- Feature Attribution / Saliency Maps Setup
- Overview of Sanity Checks for Saliency Maps
- Follow-up work
- Parting thoughts / Q&A

#### Feature Attributions / Saliency Maps



What parts of the input are 'most important' for the model prediction Junco Bird?

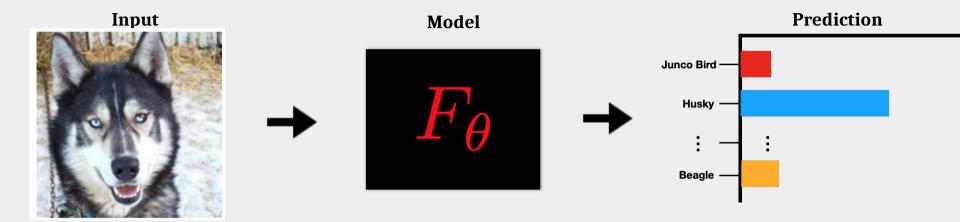
#### Feature Attributions / Saliency Maps



What parts of the input are 'most important' for the model prediction Junco Bird?

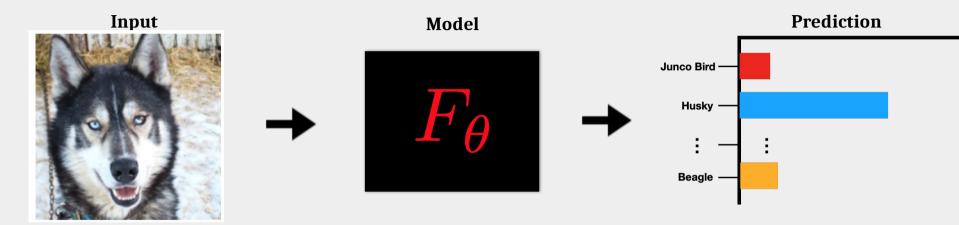


#### **Identifying Shortcuts**



What parts of the input are 'most important' for the model prediction Husky?

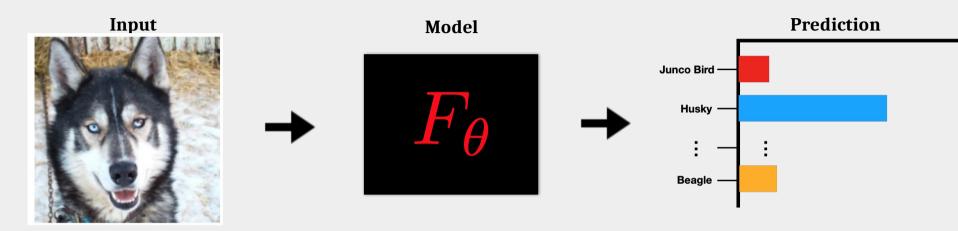
#### **Identifying Shortcuts**



What parts of the input are 'most important' for the model prediction Husky?



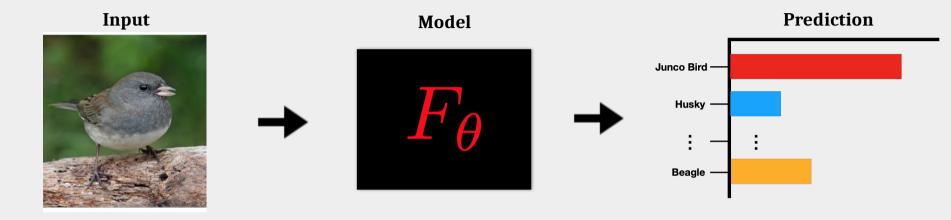
#### **Identifying Shortcuts**



What parts of the input are 'most important' for the model prediction Husky?

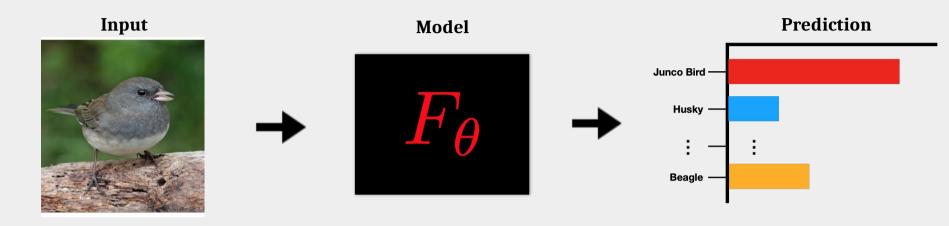


#### Feature Attributions / Saliency Maps



Feature attribution method: assigns an output 'relevance' score to each dimension of the input.

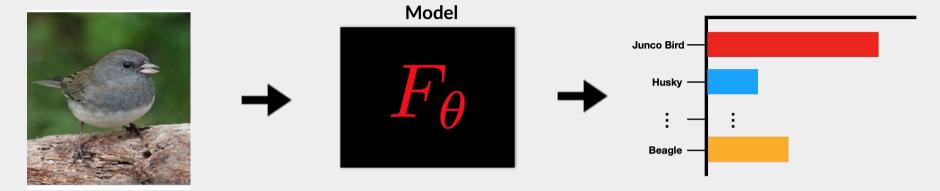
#### Feature Attributions / Saliency Maps



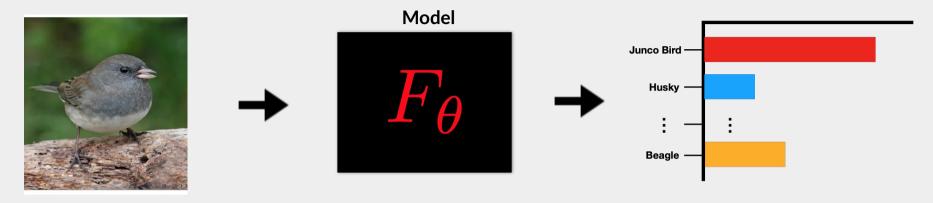
Feature attribution method: assigns an output 'relevance' score to each dimension of the input.

$$F_i: \mathbb{R}^d o \mathbb{R}^c$$
 Model  $F_i: \mathbb{R}^d o \mathbb{R}$  class specific logit

#### Input-Gradient / Saliency / Gradient



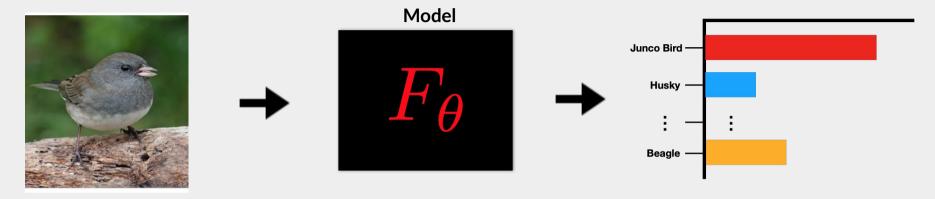
#### Input-Gradient / Saliency / Gradient

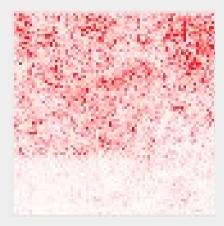




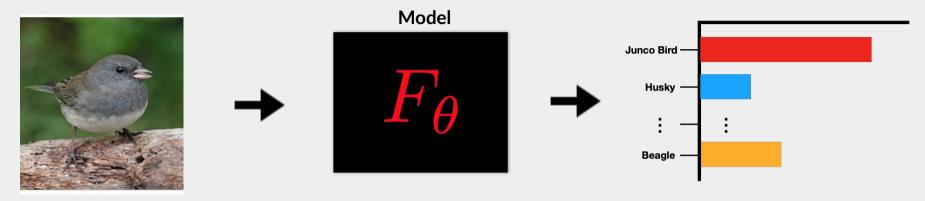


#### Input-Gradient / Saliency / Gradient





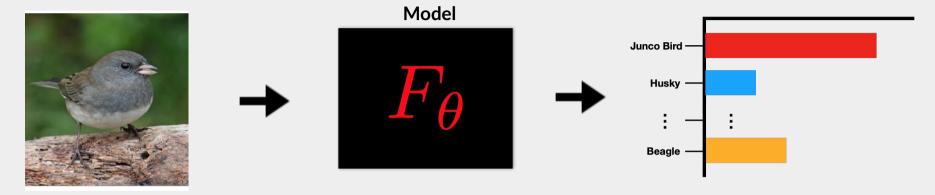
#### **Integrated Gradients**

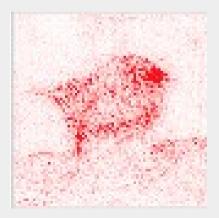


$$(x-\tilde{x})\times\int_{\alpha=0}^{1}\frac{\partial F(\tilde{x}+\alpha\times(x-\tilde{x}))}{\partial x} \quad \text{Path integral: 'sum' of interpolated gradients}$$

**Baseline input** 

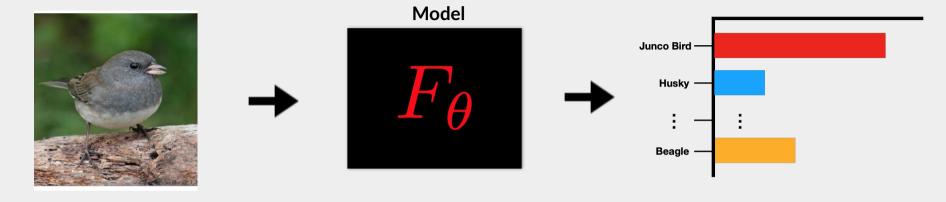
### **Integrated Gradients**





Sundararajan et. al. 2017

#### **SmoothGrad**

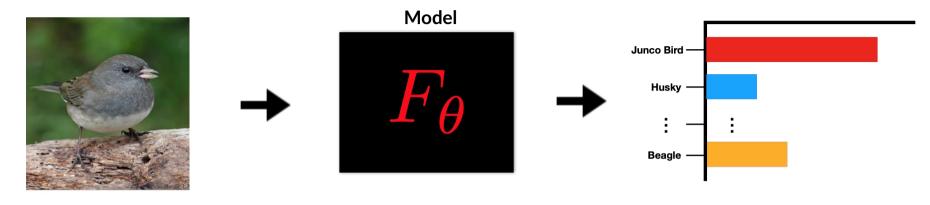


$$\frac{1}{N} \sum_{i}^{N} \nabla_{(x+\epsilon)} F_i(x+\epsilon)$$



Gaussian noise

### Guided Backprop: "Modified Backprop"

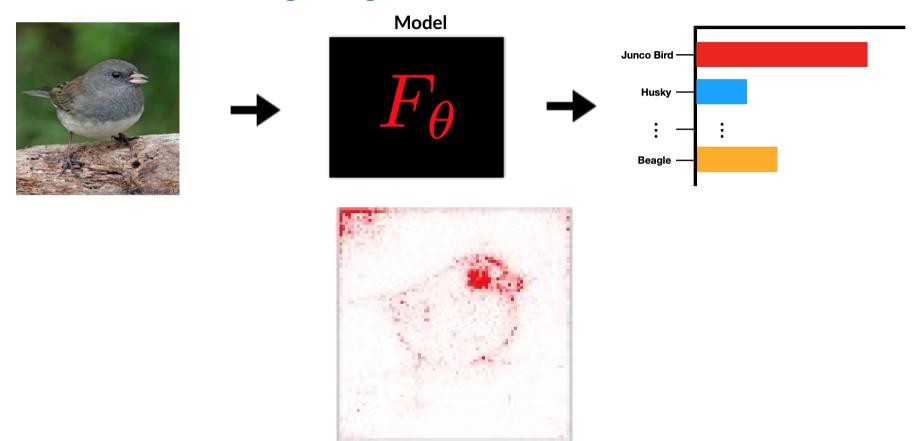


$$f_i^{l+1} = relu(f_i^l) = \max(f_i^l, 0)$$

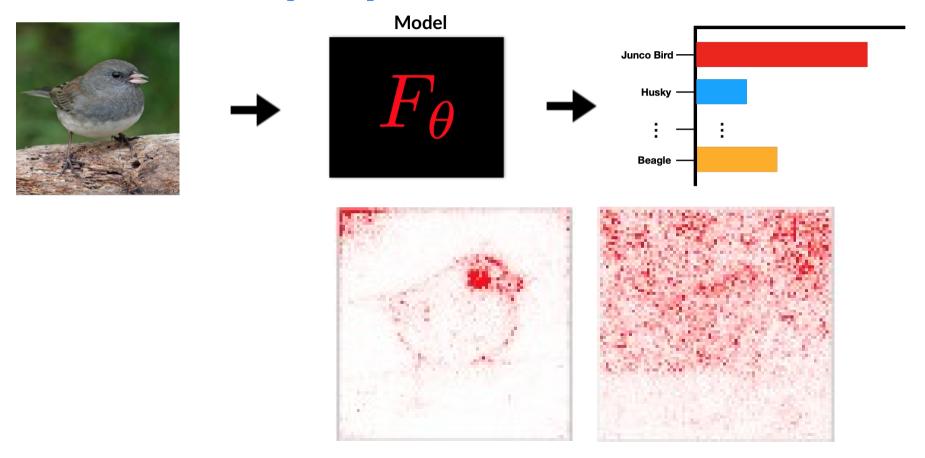
backpropagation: 
$$R_i^l = (f_i^l > 0) \cdot R_i^{l+1}$$
, where  $R_i^{l+1} = \frac{\partial f^{out}}{\partial f_i^{l+1}}$ 

guided 
$$R_i^l = (f_i^l > 0) \ \boxed{\left(R_i^{l+1} > 0\right)} \cdot R_i^{l+1}$$
 backpropagation:

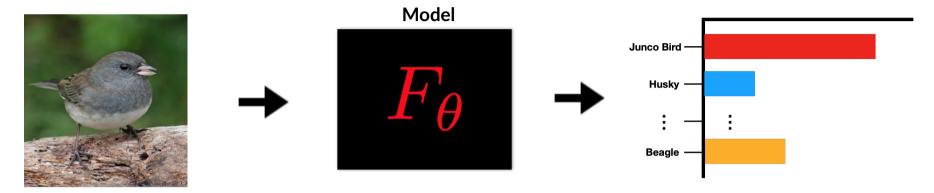
### **Guided Backprop**



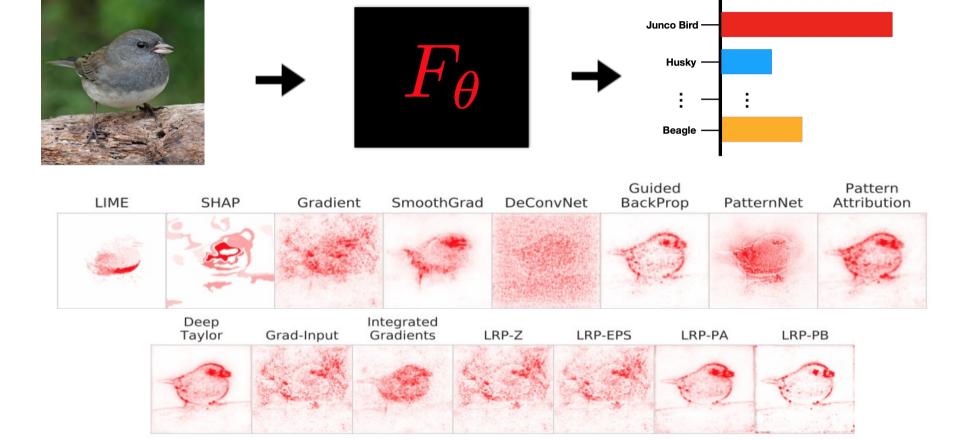
### **Guided Backprop**



## Recap



#### Recap



Model

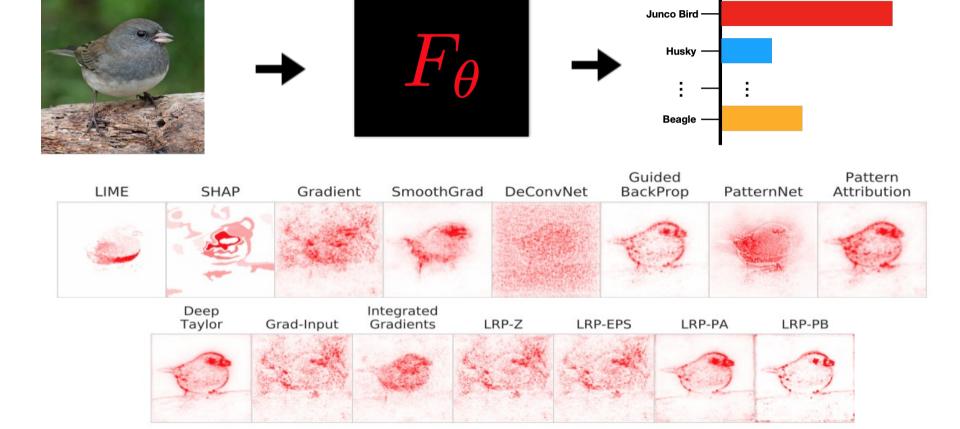
#### Recap

- Class Activation Mapping (Zhou et. al. 2016).
- Meaningful Perturbation (Fong et. al. 2017).
- **RISE** (Petsuik et. al. 2018).
- Extremal Perturbations (Fong & Patrick 2019).
- **DeepLift** (Shrikumar et. al. 2018).
- Expected Gradients (Erion et. al. 2019)
- Excitation Backprop (Zhang et. al. 2016)
- GradCAM (Selvaraju et. al. 2016)
- Guided GradCAM (Selvaraju et. al. 2016)
- Occlusion (Zeiler et. al. 2014).
- Prediction Difference Analysis (Gu. et. al. 2019).
- Internal Influence (Leino et. al. 2018).

See for additional methods: Samek & Montavon et. al. 2020

#### Recap: which method should you use?

Model

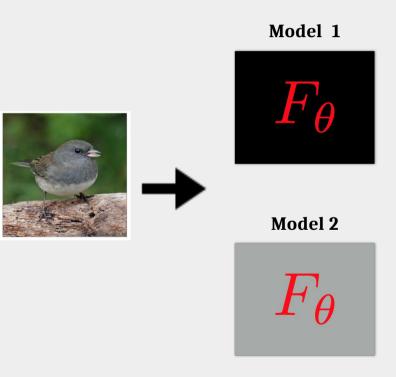


### 'Sanity Checks'

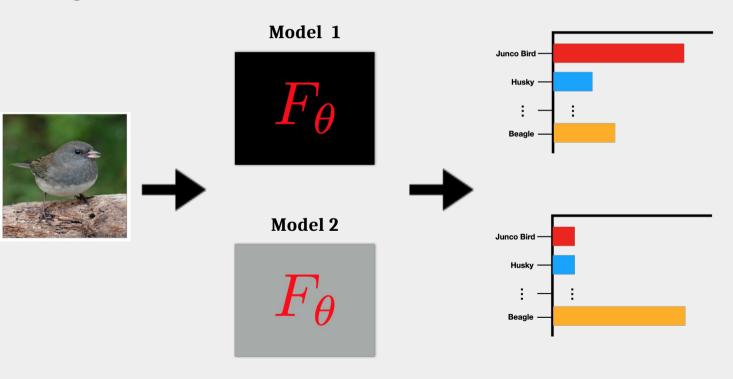
Intuitive 'principles' that an attribution method should satisfy.

- 'Model' Faithfulness: is the 'explanation' sensitive to model parameters?
  - Test: change the model weights and measure corresponding change in explanation.
  - Operationalize by reinitialization of model weights.
- Data Faithfulness: is the attribution sensitive to training data?
  - Test: change training label and measure corresponding change in explanation.
  - Operationalize by randomization labelling in training data.

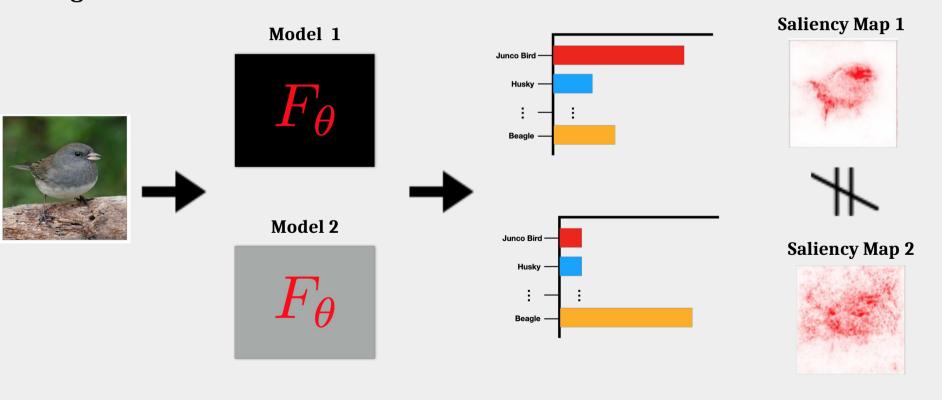
If the parameter settings change of model changes the saliency map should change.

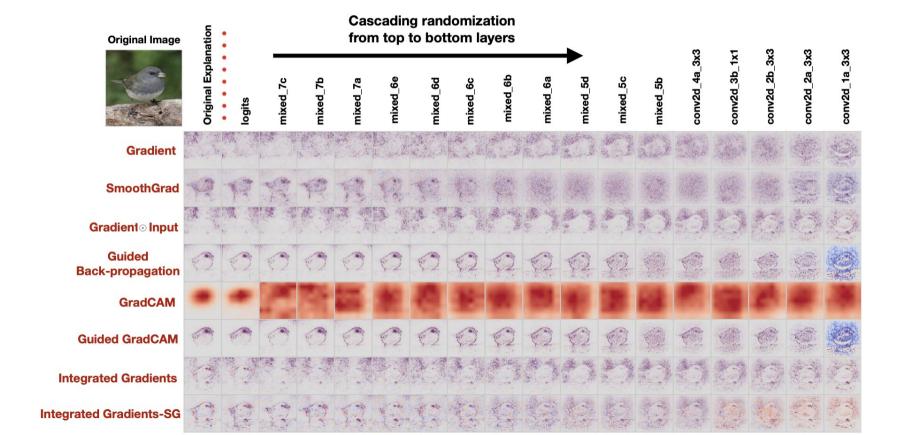


If the parameter settings change of model changes the saliency map should change.

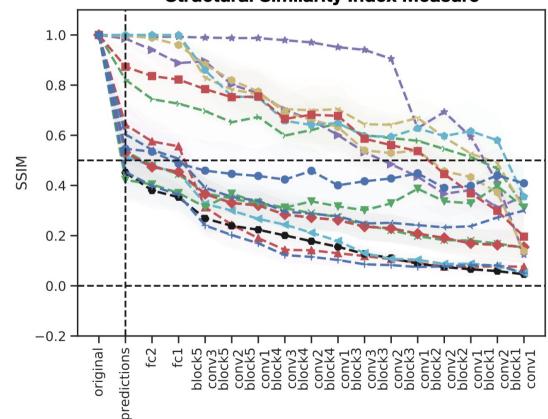


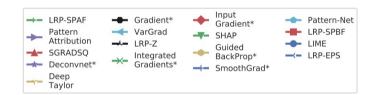
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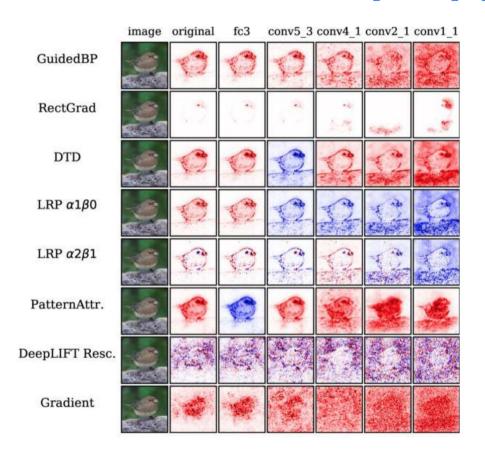






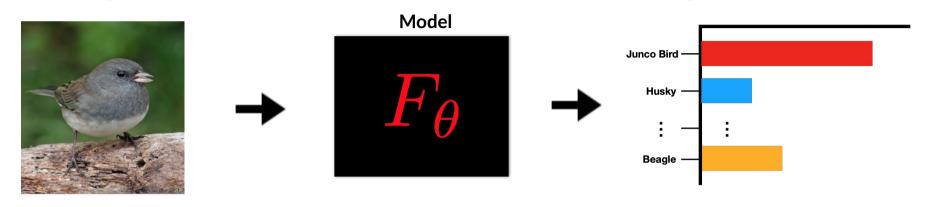


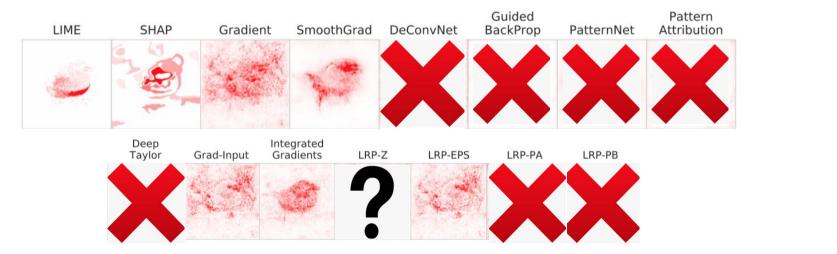
#### **Modified BackProp Approaches**



These modified backprop methods converge to a rank-1 matrix! This is because the product of a sequence of non-negative matrices (non-orthogonal columns) converges to a rank-1 matrix ( Theorem 1 in Sixt et. al. 202

#### Recap: which method should you use?





### **Some Takeaways**

- Identified certain classes of feature attribution methods that are invariant to higher layer weights.
- 'Sanity Checks' are actually 'weak' requirements, i.e., does not tell you whether a method is effective.

### Some objections

Causal reframing suggests that sanity checks results might be task specific.

**Revisiting Sanity Checks for Saliency Maps** 

**Gal Yona** Weizmann Institute of Science

Daniel Greenfeld Jether Energy Research

On the Relationship Between Explanation and Prediction: A Causal View

#### Some objections

Where you choose to perform randomization matters, and perhaps the weight randomization is not the best approach.

#### Shortcomings of Top-Down Randomization-Based Sanity Checks for Evaluations of Deep Neural Network Explanations

 $\begin{array}{c} {\rm Alexander~Binder^{1,2[0000-0001-9605-6209]},~Leander~Weber^3,~Sebastian~Lapuschkin^{3[0000-0002-0762-7258]},}\\ {\rm Gr\'{e}goire~Montavon^{4,5[0000-0001-7243-6186]},~Klaus-Robert~M\"{u}ller^{5,6,7,8},~and~Wojciech}\\ {\rm Samek^{3,5,6[0000-0002-6283-3265]}} \end{array}$ 

#### More recent observations: Spurious

Beyond faithfulness, it is unclear whether these feature attribution methods are effective for model debugging.

#### Do Feature Attribution Methods Correctly Attribute Features?

Yilun Zhou<sup>1</sup>, Serena Booth<sup>1</sup>, Marco Tulio Ribeiro<sup>2</sup>, Julie Shah<sup>1</sup>

<sup>1</sup>MIT CSAIL, <sup>2</sup>Microsoft Research <sup>1</sup>{yilun, serenabooth, julie\_a\_shah}@csail.mit.edu, <sup>2</sup>marcotcr@microsoft.com

#### More recent observations

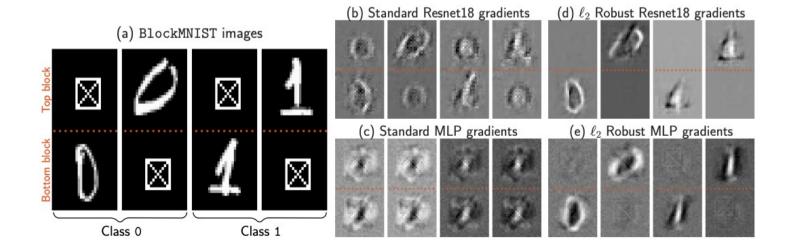
#### Do Input Gradients Highlight Discriminative Features?

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Praneeth Netrapalli\*
Microsoft Research India
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#### RETHINKING THE ROLE OF GRADIENT-BASED ATTRI-BUTION METHODS FOR MODEL INTERPRETABILITY

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### **Parting Thoughts**

Feature attribution is still important for applications, however, additional is needed to characterize the properties of DNN model training that will result in 'gradients' that capture discriminative signals.