

# Algorithms

G. E. FORSYTHE, Editor

## ALGORITHM 230

### MATRIX PERMUTATION

J. BOOTHROYD (Recd 18 Nov. 1963)

English Electric-Leo Computers, Kidsgrove, Stoke-on-Trent, England

```

procedure matrixperm(a,b,j,k,s,d,n,p); value n; real a,b;
integer array s,d; integer j,k,n,p;
comment a procedure using Jensen's device which exchanges
rows or columns of a matrix to achieve a rearrangement specified
by the permutation vectors s,d[1:n]. Elements of s specify the
original source locations while elements of d specify the desired
destination locations. Normally a and b will be called as sub-
scripted variables of the same array. The parameters j,k nomi-
nate the subscripts of the dimension affected by the permuta-
tion, p is the Jensen parameter. As an example of the use of this
procedure, suppose r,c[1:n] to contain the row and column sub-
scripts of the successive matrix pivots used in a matrix inver-
sion of an array a[1:n,1:n]; i.e. r[1], c[1] are the relative sub-
scripts of the first pivot r[2], c[2] those of the second pivot and
so on. The two calls
        matrixperm (a[j,p], a[k,p], j,k,r,c,n,p)
        and matrixperm (a[p,j], a[p,k], j,k,c,r,n,p)
will perform the required rearrangement of rows and columns
respectively;
begin integer array tag, loc[1:n]; integer i,t; real w;
comment set up initial vector tag number and address arrays;
for i := 1 step 1 until n do tag[i] := loc[i] := i;
comment start permutation;
for i := 1 step 1 until n do
    begin t := s[i]; j := loc[t]; k := d[i];
        if j ≠ k then begin for p := 1 step 1 until n do
            begin w := a; a := b; b := w end;
                tag[j] := tag[k]; tag[k] := t;
                loc[t] := loc[tag[j]]; loc[tag[j]] := j
            end j,k conditional
        end i loop
end matrixperm

```

## ALGORITHM 231

### MATRIX INVERSION

J. BOOTHROYD (Recd 18 Nov. 1963)

English Electric-Leo Computers, Kidsgrove, Stoke-on-Trent, England

```

procedure matrixinvert (a,n,eps,singular); value n,eps; ar-
ray a; integer n; real eps; label singular;
comment inverts a matrix in its own space using the Gauss-
Jordan method with complete matrix pivoting. I.e., at each
stage the pivot has the largest absolute value of any element in
the remaining matrix. The coordinates of the successive matrix
pivots used at each stage of the reduction are recorded in the
successive element positions of the row and column index
vectors r and c. These are later called upon by the procedure
matrixperm which rearranges the rows and columns of the

```

```

matrix. If the matrix is singular the procedure exits to an appro-
priate label in the main program;
begin integer i,j,k,l,pivi,pivj,p; real pivot; integer array
r,c[1:n];
comment set row and column index vectors;
for i := 1 step 1 until n do r[i] := c[i] := i;
comment find initial pivot; pivi := pivj := 1;
for i := 1 step 1 until n do for j := 1 step 1 until n do
    if abs (a[i,j]) > abs (a[pivi,pivj]) then begin pivi := i;
        pivj := j end;
comment start reduction;
for i := 1 step 1 until n do
    begin l := r[i]; r[i] := r[pivi]; r[pivi] := l; l := c[i];
        c[i] := c[pivj]; c[pivj] := l;
        if eps > abs (a[r[i],c[i]]) then
            begin comment here include an appropriate output pro-
            cedure to record i and the current values of r[1:n] and
            c[1:n]; go to singular end;
        for j := n step -1 until i+1, i-1 step -1 until 1 do a[r[i],c[j]]
            := a[r[i],c[j]]/a[r[i],c[i]]; a[r[i],c[i]] := 1/a[r[i],c[i]];
            pivot := 0;
        for k := 1 step 1 until i-1, i+1 step 1 until n do
            begin for j := n step -1 until i+1, i-1 step -1 until 1 do
                begin a[r[k],c[j]] := a[r[k],c[j]] - a[r[i],c[j]] × a[r[k],c[i]];
                    if k > i ∧ j > i ∧ abs (a[r[k],c[j]]) > abs (pivot) then
                        begin pivi := k; pivj := j;
                            pivot := a[r[k],c[j]] end conditional
                    end j loop;
                    a[r[k],c[i]] := -a[r[i],c[i]] × a[r[k],c[i]]
                end k loop
            end i loop and reduction;
comment rearrange rows; matrixperm (a[j,p], a[k,p], j,k,r,c,n,p);
comment rearrange columns;
        matrixperm (a[p,j], a[p,k], j,k,c,r,n,p)
end matrixinvert

```

[EDITOR'S NOTE. On many compilers *matrixinvert* would run much faster if the subscripted variables *r*[*i*], *c*[*i*], *r*[*k*] were replaced by simple integer variables *ri*, *ci*, *rk*, respectively, inside the *j* loop.—G.E.F.]

## ALGORITHM 232

### HEAPSORT

J. W. J. WILLIAMS (Recd 1 Oct. 1963 and, revised, 15 Feb. 1964)

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**comment** The following procedures are related to *TREESORT* [R. W. Floyd, Alg. 113, *Comm. ACM* 5 (Aug. 1962), 434; and A. F. Kaupe, Jr., Alg. 143 and 144, *Comm. ACM* 5 (Dec. 1962), 604] but avoid the use of pointers and so preserve storage space. All the procedures operate on single word items, stored as elements 1 to *n* of the array *A*. The elements are normally so arranged that *A*[*i*] ≤ *A*[*j*] for 2 ≤ *j* ≤ *n*, *i* = *j* ÷ 2. Such an arrange-

ment will be called a heap.  $A[1]$  is always the least element of the heap.

The procedure *SETHEAP* arranges  $n$  elements as a heap, *INHEAP* adds a new element to an existing heap, *OUTHEAP* extracts the least element from a heap, and *SWOPHEAP* is effectively the result of *INHEAP* followed by *OUTHEAP*. In all cases the array  $A$  contains elements arranged as a heap on exit.

*SWOPHEAP* is essentially the same as the tournament sort described by K. E. Iverson—*A Programming Language*, 1962, pp. 223–226—which is a top to bottom method, but it uses an improved storage allocation and initialisation. *INHEAP* resembles *TREESORT* in being a bottom to top method. *HEAP-SORT* can thus be considered as a marriage of these two methods.

The procedures may be used for replacement-selection sorting, for sorting the elements of an array, or for choosing the current minimum of any set of items to which new items are added from time to time. The procedures are the more useful because the active elements of the array are maintained densely packed, as elements  $A[1]$  to  $A[n]$ ;

```

procedure SWOPHEAP ( $A, n, in, out$ );
  value  $in, n$ ; integer  $n$ ; real  $in, out$ ; real array  $A$ ;
  comment SWOPHEAP is given an array  $A$ , elements  $A[1]$  to  $A[n]$  forming a heap,  $n \geq 0$ . SWOPHEAP effectively adds the element  $in$  to the heap, extracts and assigns to  $out$  the value of the least member of the resulting set, and leaves the remaining elements in a heap of the original size. In this process elements 1 to  $(n+1)$  of the array  $A$  may be disturbed. The maximum number of repetitions of the cycle labeled scan is  $\log_2 n$ ;
  begin integer  $i, j$ ; real  $temp, temp1$ ;
  if  $in \leq A[1]$  then  $out := in$  else
    begin  $i := 1$ ;
       $A[n+1] := in$ ; comment this last statement is only necessary in case  $j=n$  at some stage, or  $n=0$ ;
       $out := A[1]$ ;
      scan:  $j := i+1$ ;
      if  $j \leq n$  then
        begin  $temp := A[j]$ ;
           $temp1 := A[j+1]$ ;
          if  $temp1 < temp$  then
            begin  $temp := temp1$ ;
               $j := j+1$ 
            end;
          if  $temp < in$  then
            begin  $A[i] := temp$ ;
               $i := j$ ;
              go to scan
            end
          end;
           $A[i] := in$ 
        end
      end SWOPHEAP;
procedure INHEAP ( $A, n, in$ );
  value  $in$ ; integer  $n$ ; real  $in$ ; real array  $A$ ;
  comment INHEAP is given an array  $A$ , elements  $A[1]$  to  $A[n]$  forming a heap and  $n \geq 0$ . INHEAP adds the element  $in$  to the heap and adjusts  $n$  accordingly. The cycle labeled scan may be repeated  $\log_2 n$  times, but on average is repeated twice only;
  begin integer  $i, j$ ;
   $i := n := n+1$ ;
  scan: if  $i > 1$  then
    begin  $j := i \div 2$ ;
      if  $in < A[j]$  then
        begin  $A[i] := A[j]$ ;
           $i := j$ ;
          go to scan
        end
      end
    end
  end

```

```

    end
  end;
   $A[i] := in$ 
end INHEAP;
procedure OUTHEAP ( $A, n, out$ );
  integer  $n$ ; real  $out$ ; real array  $A$ ;
  comment given array  $A$ , elements 1 to  $n$  of which form a heap,  $n \geq 1$ , OUTHEAP assigns to  $out$  the value of  $A[1]$ , the least member of the heap, and rearranges the remaining members as elements 1 to  $n-1$  of  $A$ . Also,  $n$  is adjusted accordingly;
  begin SWOPHEAP ( $A, n-1, A[n], out$ );
     $n := n-1$ 
  end OUTHEAP;
procedure SETHEAP ( $A, n$ );
  value  $n$ ; integer  $n$ ; real array  $A$ ;
  comment SETHEAP rearranges the elements  $A[1]$  to  $A[n]$  to form a heap;
  begin integer  $j$ ;
     $j := 1$ ;
  L: INHEAP ( $A, j, A[j+1]$ );
    if  $j < n$  then go to L
  end SETHEAP

```

## ALGORITHM 233

### SIMPSON'S RULE FOR MULTIPLE INTEGRATION

FRANK OLYNYK\* (Recd 24 Dec. 1963)

Case Institute of Technology, Cleveland, Ohio

\*Partially sponsored by the National Science Foundation under Grant GP-642.

```

real procedure Simps ( $X, x1, x2, delta, f$ );
  value  $x1, x2, delta$ ; real  $X, x1, x2, delta, f$ ;
  comment This procedure calculates a single integral by Simpson's rule in such a way that it can be called recursively for the evaluation of an iterated integral.  $x1$  and  $x2$  are the lower and upper limits, respectively, which may be any mathematically meaningful expressions. Hence in using Simps for multiple integration the region is not limited to rectangular boxes. The algorithm terminates when two successive evaluations pass the test involving  $delta$ . The formal parameter  $f$  stands for the expression to be integrated.

```

As an example of the use of *Simps*,

$$\int_0^1 dx \int_0^{(1-x^2)^{1/2}} g(x, y) dy$$

would be evaluated by

*Simps*( $x, 0, 1, delta, Simps(y, 0, \text{sqrt}(1 - x^2), delta2, g(x, y))$ ).

*Simps* has been written and run in ALGOL 60 on the Univac 1107 at Case Institute.

[EDITOR'S NOTE. Experience of W. McKeeman suggests the wisdom of choosing  $delta2 < delta$ .—G.E.F.];

```

begin
  Boolean turing; real  $z1, z2, z3, h, k$ ;
  turing := false;
  if  $x1 = x2$  then begin  $z1 := 0$ ; go to box2 end;
  if  $x1 > x2$  then begin  $h := x1$ ;  $x1 := x2$ ;  $x2 := h$ ;
    turing := true end;
   $X := x1$ ;  $z1 := f$ ;  $X := x2$ ;  $z3 := z1 := z1 + f$ ;
   $k := x2 - x1$ ;
  box:
     $z2 := 0$ ;  $h := k/2$ ;
    for  $X := x1 + h$  step  $k$  until  $x2$  do  $z2 := z2 + f$ ;
     $z1 := z1 + 4 \times z2$ ;
    if  $h \times \text{abs}((z1 - 2 \times z3) / (\text{if } z1 = 0 \text{ then } 1.0 \text{ else } z1)) < delta$ 
      then go to box2
    else  $z3 := z1$ ;
     $z1 := z1 - 2 \times z2$ ;

```

```

k := h;
go to box;
box2:
  if turing then h := -h;
  Simps := h × z1/3
end Simps

```

CERTIFICATION OF ALGORITHM 40  
 CRITICAL PATH SCHEDULING [B. Leavenworth,  
*Comm. ACM* 4 (Mar. 1961), 152; 4 (Sep. 1961), 392;  
 5 (Oct. 1962), 513]

IRVIN A. HOFFMAN (Recd 7 Feb. 1964)  
 Woodward Governor Co., Rockford, Ill.

The Critical Path Scheduling algorithm was coded in FAST for the NCR315. The modifications suggested by Alexander [*Comm. ACM* 4 (Sept. 1961)] were included. Results were correct in all tested cases. However, the example of the  $I, J$  vectors given in the comment is incorrect, as it would cause the exit  $out3 - I_k$  missing.

[EDITOR'S NOTE. There are also two semicolons which should be removed from the comment of Algorithm 40.—G.E.F.]

CERTIFICATION OF ALGORITHM 201  
 SHELLSORT [J. BOOTHROYD, *Comm. ACM* 6 (Aug. 1963), 445]

M. A. BATTY (Recd 27 Jan. 1964)  
 English Electric Co., Whetstone, Nr. Leicester, England

This algorithm has been tested successfully using the DEUCE ALGOL Compiler. When the first statement of the algorithm was replaced by the statement

$$m := n;$$

to implement Shell's original choice of  $m_1 := n/2$ , a slight increase in sorting time was observed with most of the cases tested.

REMARK ON ALGORITHM 214  
 $q$ -BESSEL FUNCTIONS  $I_n(t)$  [J. M. S. Simões Pereira,  
*Comm. ACM* 6 (Nov. 1963), 662]

J. M. S. SIMÕES PEREIRA (Recd 6 Jan 1964)  
 Gulbenkian Scientific Computing Center, Lisbon, Portugal

Corrections:

1. Insert a dummy statement labeled  $C$  just before the final **end**.
2. Add a statement **go to**  $C$  just before the label  $B$ .
3. Add a colon in the clause **for**  $k := 1$  **step** 1 **until**  $j$  **do** ...

CERTIFICATION OF AND REMARK ON  
 ALGORITHM 220  
 GAUSS-SEIDEL [P. W. Shantz, *Comm. ACM* 6 (Dec. 1963), 739]

A. P. BATSON (Recd 6 Jan. 1964)  
 University of Virginia, Charlottesville, Va.  
 NIKLAUS WIRTH (Recd 6 Jan. 1964)  
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[EDITOR'S NOTE. Two substantially equivalent contributions were received on the same day, and so the editor has merged them.—G.E.F.]

The following errors were detected.

1. The procedure cannot communicate the solution to the outside block unless  $X$  (or  $Y$ ) is made a parameter of the procedure.

2. The identifier *GAUSS-SEIDEL* may not contain a hyphen.
3. In the fourth line after the label *START* change  $y[i]$  to  $Y[i]$ .

With the above errors corrected, *GAUSS SEIDEL* was successfully run on the Stanford 7090 computer in Wirth's Extended ALGOL, and on the Virginia ALGOL compiler for the Burroughs 205.

The following improvements would be desirable.

1. Avoid repeated reference to the subscripted variable  $Y[i]$  inside the  $j$  loop.
2. Permit the user to initialize the array  $X$  to an appropriate value at the start of the iteration.
3. Modify  $tol$  to be a relative error, rather than an absolute error.
4. Incorporate a guard against nonconvergence.

### Revised Algorithms Policy • May, 1964

A contribution to the Algorithms department must be in the form of an algorithm, a certification, or a remark. Contributions should be sent in duplicate to the editor, typewritten double-spaced in capital and lower-case letters. Authors should carefully follow the style of this department, with especial attention to indentation and completeness of references. Material to appear in **bold-face** type should be underlined in black. Blue underlining may be used to indicate *italic* type, but this is usually best left to the Editor.

An algorithm must be written in the ALGOL 60 Reference Language [*Comm. ACM* 8 (Jan. 1963), 1-17], and normally consists of a commented procedure declaration. Each algorithm must be accompanied by a complete driver program in ALGOL 60 which generates test data, calls the procedure, and outputs test answers. Moreover, selected previously obtained test answers should be given in comments in either the driver program or the algorithm. The driver program may be published with the algorithm if it would be of major assistance to a user.

Input and output should be achieved by procedure statements, using one of the following five procedures (whose body is not specified in ALGOL):  
**procedure** *inreal* (*channel*, *destination*); **value** *channel*; **integer** *channel*; **real** *destination*; **comment** the number read from channel *channel* is assigned to the variable *destination*; ...;  
**procedure** *outreal* (*channel*, *source*); **value** *channel*, *source*; **integer** *channel*; **real** *source*; **comment** the value of expression *source* is output to channel *channel*; ...;  
**procedure** *ininteger* (*channel*, *destination*); **value** *channel*; **integer** *channel*, *destination*; ...;  
**procedure** *outinteger* (*channel*, *source*); **value** *channel*, *source*; **integer** *channel*, *source*; ...;  
**procedure** *outstring* (*channel*, *string*); **value** *channel*; **integer** *channel*; **string** *string*; ...;

If only one channel is used by the program, it should be designated by 1. Examples:

```

outstring (1, 'x = '); outreal (1, x);
for i := 1 step 1 until n do outreal (1, A[i]);
ininteger (1, digit [17]);

```

It is intended that each published algorithm be a well-organized, clearly commented, syntactically correct, and a substantial contribution to the ALGOL literature. All contributions will be refereed both by human beings and by an ALGOL compiler. Authors should give great attention to the correctness of their programs, since referees cannot be expected to debug them. Because ALGOL compilers are often incomplete, authors are encouraged to indicate in comments whether their algorithms are written in a recognized subset of ALGOL 60.

Certifications and remarks should add new information to that already published. Readers are especially encouraged to test and certify previously uncertified algorithms. Rewritten versions of previously published algorithms will be refereed as new contributions, and should not be imbedded in certifications or remarks.

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