

# طراحی الگوریتم ها

جلسه ۱۲ و ۱۳  
ملکی مجد

# مباحث

## Minimum Spanning Tree

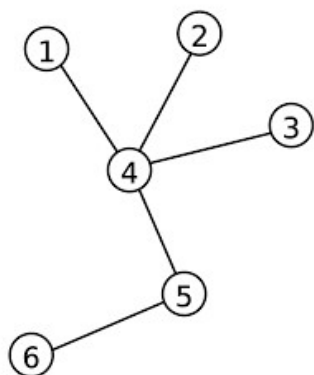
درخت پوشای کمینه

Kruskal •

Prim •

مبحث *MST* از فصل ۲۳ کتاب *CLRS* تدریس می شود.

• حداقل چند تا خط لازم داریم تا  $n$  تا نقطه را به هم وصل کنیم؟



- حداقل چند تا خط لازم داریم تا  $n$  تا نقطه را به هم وصل کنیم؟  $n-1$

- فرض کنید قرار است که  $n$  تا پین را با سیم به هم وصل کنیم. کمترین طول مورد نیاز سیم چقدر است؟

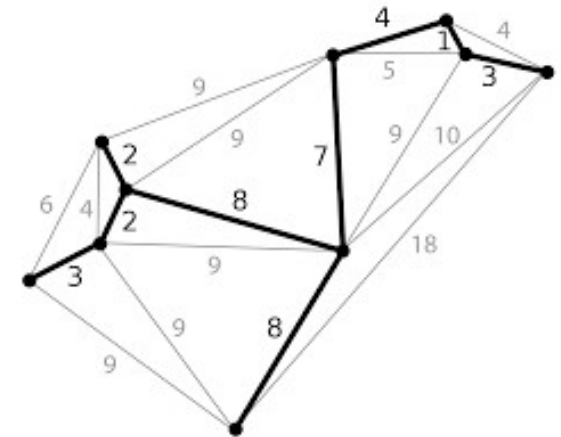
- حداقل چند تا خط لازم داریم تا  $n$  تا نقطه را به هم وصل کنیم؟  $n-1$

- فرض کنید قرار است که  $n$  تا پین را با سیم به هم وصل کنیم. کمترین طول مورد نیاز سیم چقدر است؟

موضوع درس است

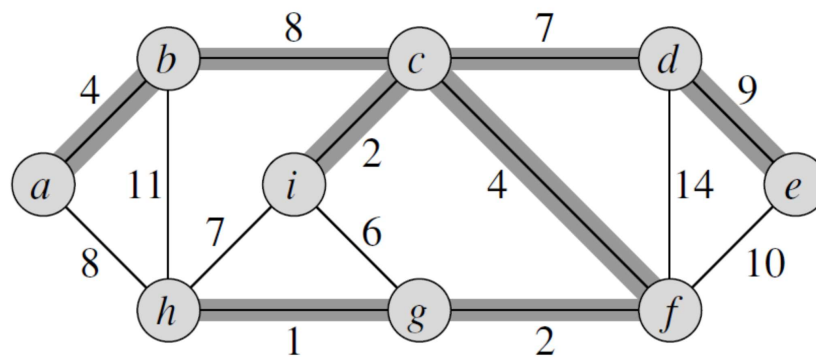
# Model the wiring problem

- Model with:
  - a **connected, undirected graph**  $G = (V, E)$ ,
  - where  $V$  is the set of pins
  - $E$  is the set of **possible interconnections** between pairs of pins
  - for each edge  $(u, v) \in E$ , we have a **weight  $w(u, v)$  specifying the cost** (amount of wire needed) to connect  $u$  and  $v$
- wish **to find an acyclic subset  $T \subseteq E$  that connects all of the vertices** and whose **total weight  $w(T)$  is minimized**.
  - $w(T) = \sum_{(u,v) \in T} w(u, v)$



# Minimum-Spanning-Tree (MST)

- Since  $T$  is acyclic and connects all of the vertices, it must form a **tree**, which we call a ***spanning tree*** since it “spans” the graph  $G$ .
- We call the problem of determining the tree  $T$  with *minimum weight* the ***minimum-spanning-tree problem***.



Here we focus on

# Two algorithms for solving the MST problem

Kruskal's algorithm

Prim's algorithm

These two algorithms are **greedy** algorithms

- At each step of an algorithm, one of several possible choices must be made (the choice that is the **best at the moment**)
- we can prove that certain greedy strategies do yield a spanning tree with minimum weight.
- Time complexity
  - using ordinary binary heaps : run in time  $O(E \lg V)$
  - using Fibonacci heaps: Prim's can be run in time  $O(E + V \lg V)$  (is an improvement if  $|V|$  is much smaller than  $|E|$ )



# In the following ...

- First
  - Learn a **generic minimum-spanning-tree algorithm** that grows a spanning tree by adding one edge at a time
- Second
  - Learn two ways to implement this generic algorithm
    1. Kruskal
    2. Prim

# Growing a minimum spanning tree

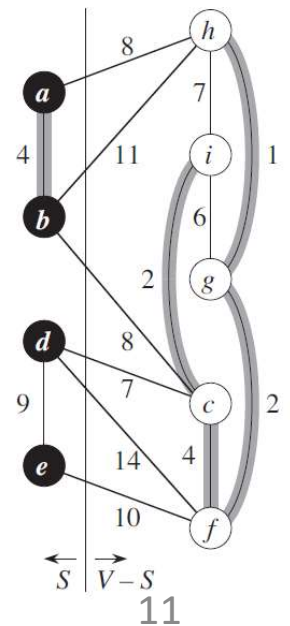
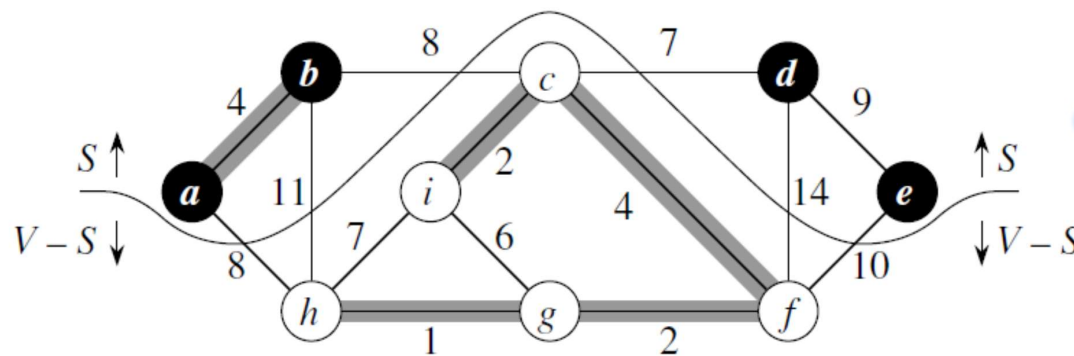
- Assumption
  - **Assume** that we have a connected, undirected graph  $G = (V, E)$  with a weight function  $w : E \rightarrow \mathbf{R}$ , and we wish to find a minimum spanning tree for  $G$ .

Greedy strategy grows the minimum spanning tree **one edge at a time**. Algorithm manages a set of edges  $A$

- loop invariant
  - Prior to each iteration,  $A$  is a **subset of some minimum spanning tree**.
- safe edge
  - At each step, we determine an edge  $(u, v)$  (**safe edge**) that can be **added to  $A$  without violating this invariant**, in the sense that  $A \cup \{(u, v)\}$  is also a subset of a minimum spanning tree.

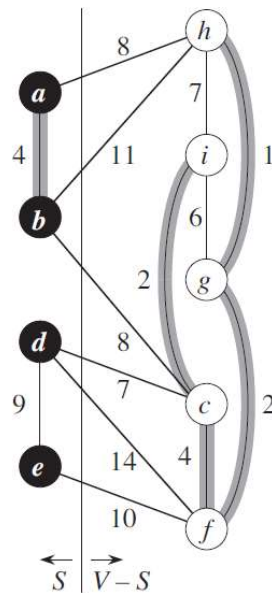
# CUT

- A **cut**  $(S, V - S)$  of an undirected graph  $G = (V, E)$  is a **partition of  $V$** 
  - We say that an edge  $(u, v) \in E$  **crosses** the cut  $(S, V - S)$  if one of its endpoints is in  $S$  and the other is in  $V - S$ .
  - We say that a cut **respects** a set  $A$  of edges if no edge in  $A$  crosses the cut.



# Light edge

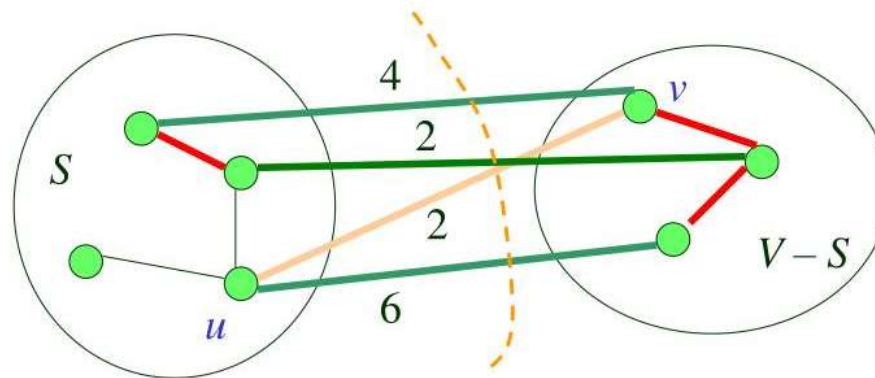
- An edge is a **light edge** crossing a cut if its weight is the minimum of any edge crossing the cut



## Recognizing **safe edges** (Theorem 23.1)

- Let  $G = (V, E)$  be a connected, undirected graph with a real-valued weight function  $w$  defined on  $E$ .
- Let  $A$  be a subset of  $E$  that is included in some minimum spanning tree for  $G$ ,
- Let  $(S, V - S)$  be any cut of  $G$  that respects  $A$ , and let  $(u, v)$  be a **light edge** crossing  $(S, V - S)$ .

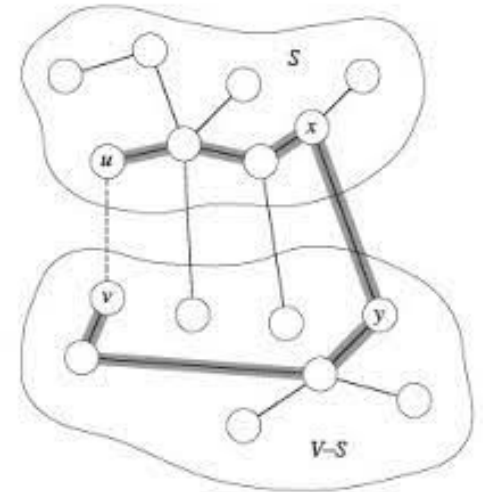
Then, **edge  $(u, v)$  is safe edge for  $A$**



# Recognizing **safe edges** (Theorem 23)

- Let  $G = (V, E)$  be a connected, undirected graph with a real-valued weight function  $w$  defined on  $E$ .
- Let  $A$  be a subset of  $E$  that is included in some minimum spanning tree for  $G$ ,
- Let  $(S, V - S)$  be any cut of  $G$  that respects  $A$ , and let  $(u, v)$  be a **light edge** crossing  $(S, V - S)$ .

Then, edge  $(u, v)$  is **safe edge** for  $A$



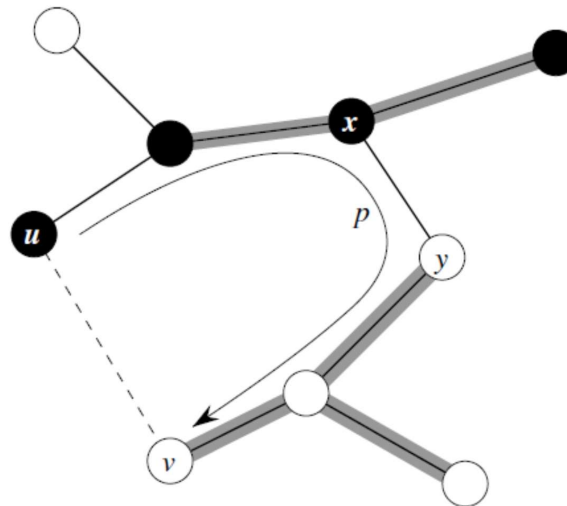
*Proof idea*

Edges of MST  $T$  are shown!

Highlighted edges are in  $A$

$(x, y)$  is an edge that contradicts  $(u, v)$

not a light edge!

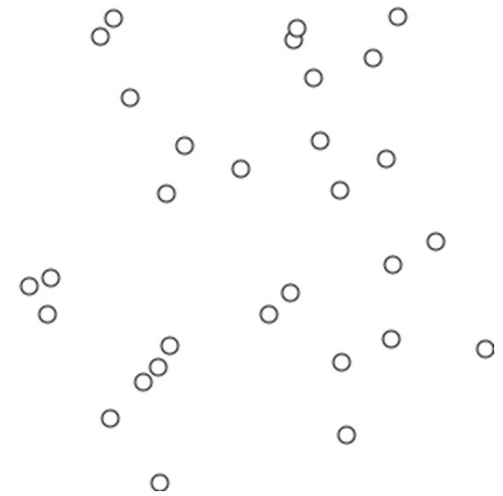


# GENERIC-MST

(Note it is [generic](#))

GENERIC-MST( $G, w$ )

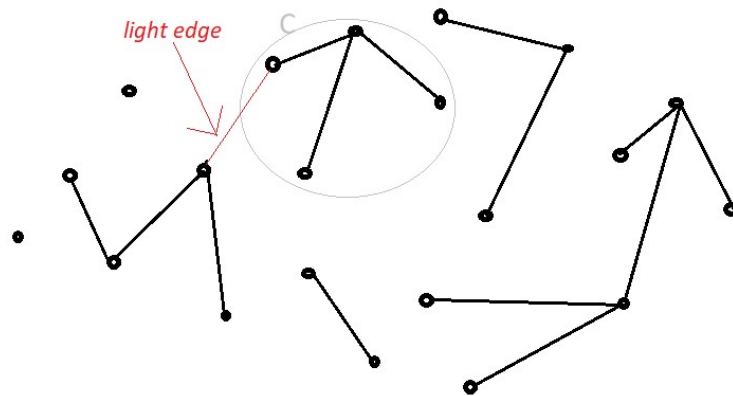
1.  $A \leftarrow \emptyset$
2. while  $A$  does not form a spanning tree
3.     do find an edge  $(u, v)$  that is safe for  $A$
4.      $A \leftarrow A \cup \{(u, v)\}$
5. return  $A$



- The loop in lines 2–4 of GENERIC-MST is executed  $|V|-1$  **times**
  - as each of the  $|V|-1$  edges of a minimum spanning tree is successively determined.
- Initially, when  $A = \emptyset$ , there are  $|V|$  trees in  $G_A$ , and each iteration reduces that number by 1.
- When the forest contains **only a single tree**, the algorithm terminates.

## Corollary 23.2

- Let  $G = (V, E)$  be a connected, undirected graph with a real-valued weight function  $w$  defined on  $E$
- Let  $A$  be a subset of  $E$  that is included in some minimum spanning tree for  $G$
- Let  $C = (V_C, E_C)$  be a connected component<sub>(tree)</sub> in the forest  $G_A = (V, A)$
- If  $(u, v)$  is a light edge connecting  $C$  to some other component in  $G_A$ , then  $(u, v)$  is safe for  $A$ .





Think together 😊

**23.1-1**

Let  $(u, v)$  be a minimum-weight edge in a connected graph  $G$ . Show that  $(u, v)$  belongs to some minimum spanning tree of  $G$ .

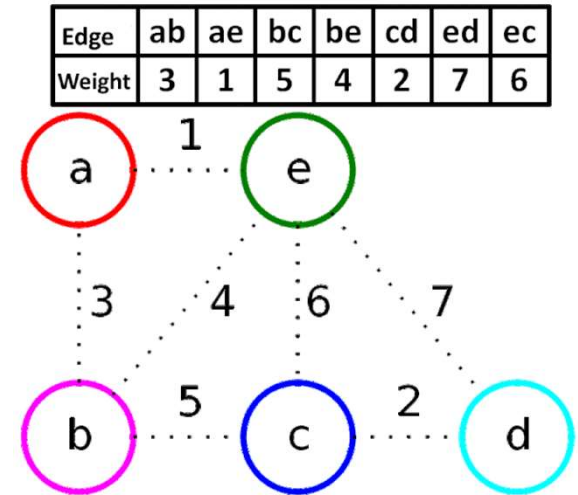
# Kruskal

- Consider GENERIC-MST
- The **set  $A$  is a forest**
- The safe edge added to  $A$  is always a **least-weight edge** in the graph that **connects two distinct components**.
- It uses a **disjoint-set data structure** to maintain several disjoint sets of elements (contains the **vertices in a tree**).

# MST-KRUSKAL

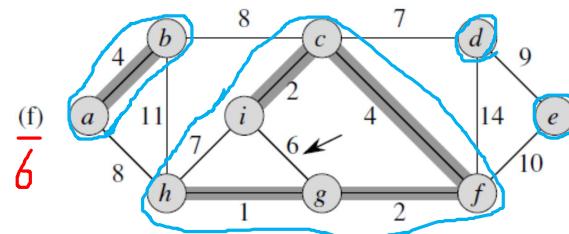
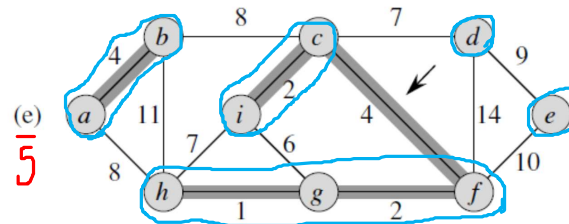
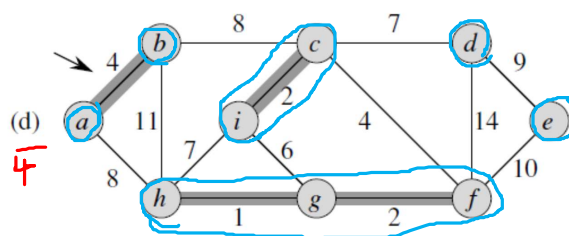
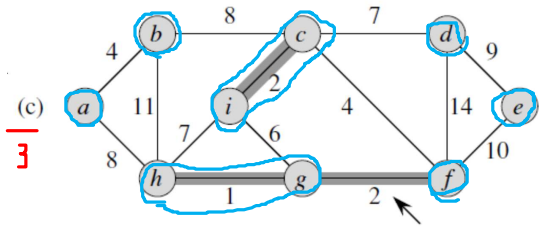
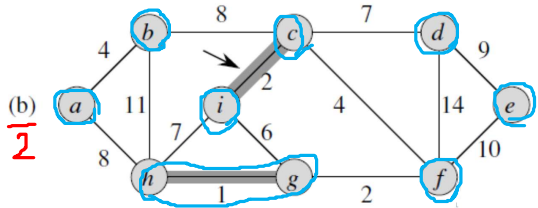
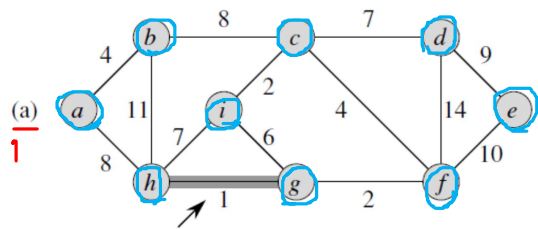
MST-KRUSKAL( $G, w$ )

1.  $A \leftarrow \emptyset$
2. for each vertex  $v \in V[G]$
3.     do  $MAKE-SET(v)$
4. sort the edges of  $E$  into non-decreasing order by weight  $w$
5. for each edge  $(u, v) \in E$ , taken in non-decreasing order by weight
6.     do if  $FIND-SET(u) \neq FIND-SET(v)$
7.         then  $A \leftarrow A \cup \{(u, v)\}$
8.          $UNION(SET(u), SET(v))$
9. return  $A$

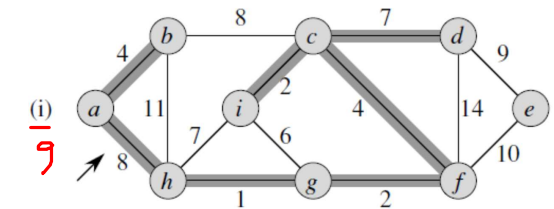
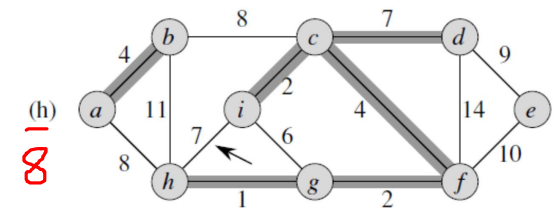
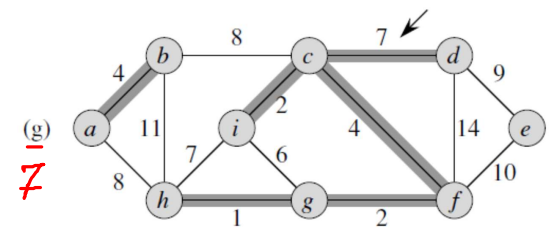


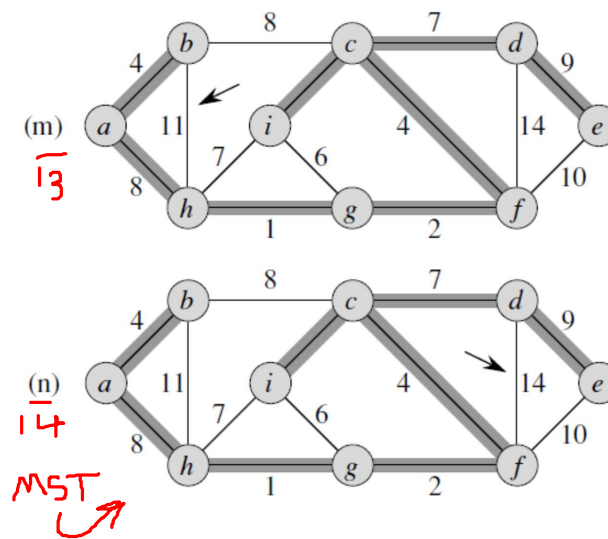
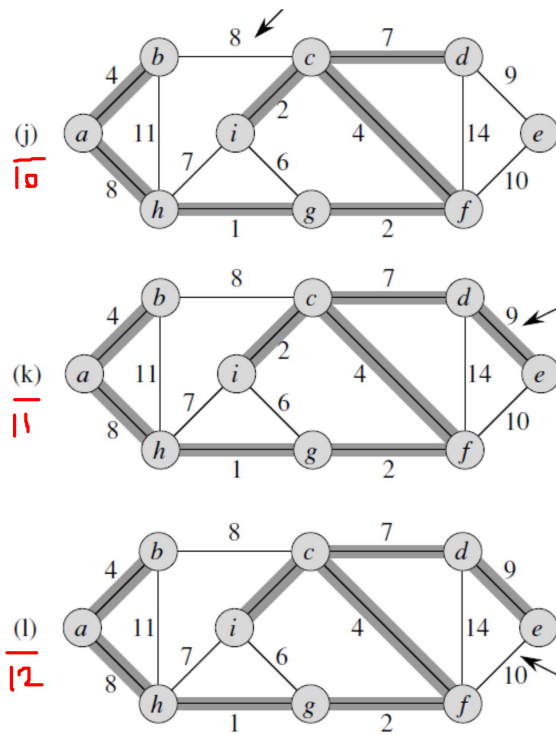
# Running time of Kruskal

- The running time of Kruskal's algorithm for a graph  $G = (V, E)$  depends on the implementation of the disjoint-set data structure.
- We shall assume the disjoint-set-forest implementation of Section 21.3 with the **union-by-rank** and **path-compression heuristics**, since it is the asymptotically fastest implementation known.
  - disjoint-set operations take  $O(E \alpha(V))$  time
  - since  $\alpha(|V|) = O(\lg V) = O(\lg E)$ ,
  - the running time of Kruskal's algorithm :  $O(E \lg V)$ .



same set





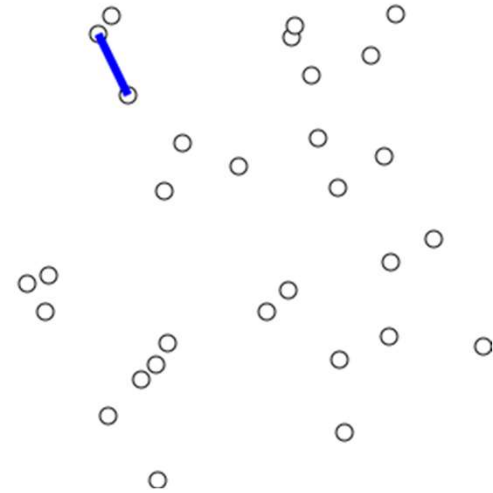
# Prim



- Consider GENERIC-MST
- The set  $A$  forms a **single tree**
- The tree **starts from an arbitrary root** vertex  $r$  and **grows** until the tree spans all the vertices in  $V$
- The safe edge added to  $A$  is always a **least-weight edge connecting the tree to a vertex not in the tree.**
- The key to implementing Prim's algorithm efficiently is to **make it easy to select a new edge** to be added to the tree formed by the edges in  $A$ .
  - **min-priority queue**( $key[v]$  is the minimum weight of any edge connecting  $v$  to a vertex in the tree)

# MST-PRIM( $G, w, r$ )

1. for each  $u \in V[G]$
2.     do  $key[u] \leftarrow \infty$
3.      $\pi[u] \leftarrow NIL$
4.  $key[r] \leftarrow 0$
5.  $Q \leftarrow V[G]$
6. while  $Q \neq \emptyset$
7.     do  $u \leftarrow EXTRACT - MIN(Q)$
8.     for each  $v \in Adj[u]$
9.         do if  $v \in Q$  and  $w(u, v) < key[v]$
10.             then  $\pi[v] \leftarrow u$
11.              $key[v] \leftarrow w(u, v)$





## MST-PRIM( $G, w, r$ )

1.   for each  $u \in V[G]$
2.     do  $key[u] \leftarrow \infty$
3.      $\pi[u] \leftarrow NIL$
4.    $key[r] \leftarrow 0$
5.    $Q \leftarrow V[G]$
6.   while  $Q \neq \emptyset$
7.     do  $u \leftarrow EXTRACT - MIN(Q)$
8.     for each  $v \in Adj[u]$
9.       do if  $v \in Q$  and  $w(u, v) < key[v]$
10.        then  $\pi[v] \leftarrow u$
11.         $key[v] \leftarrow w(u, v)$

When the algorithm terminates,

the min-priority queue  $Q$  is empty;

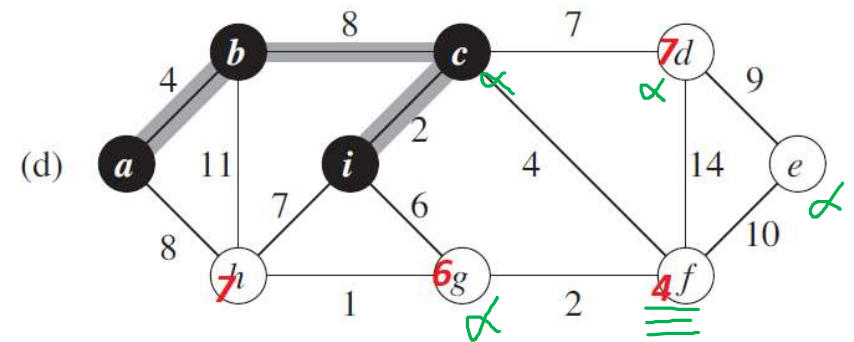
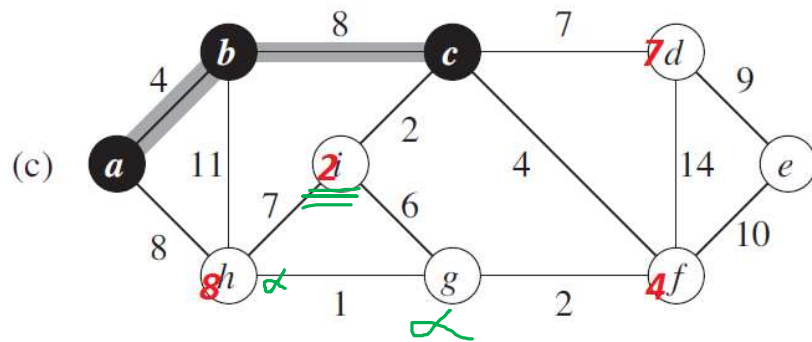
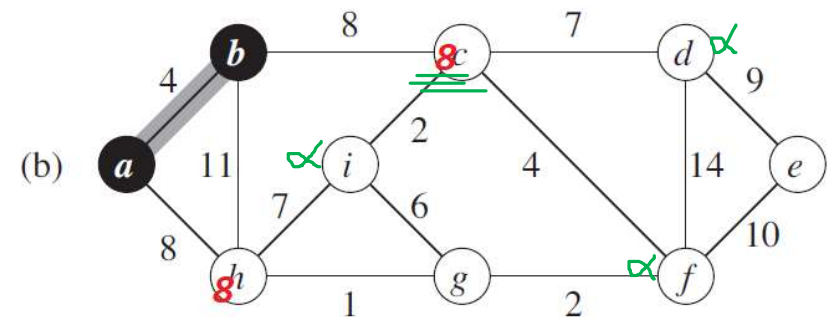
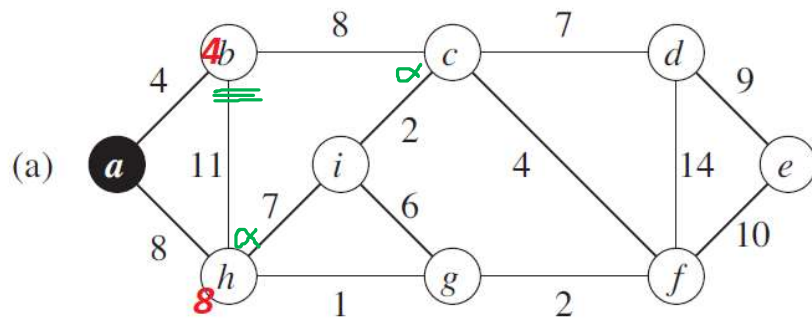
the minimum spanning tree  $A$  for  $G$  is thus

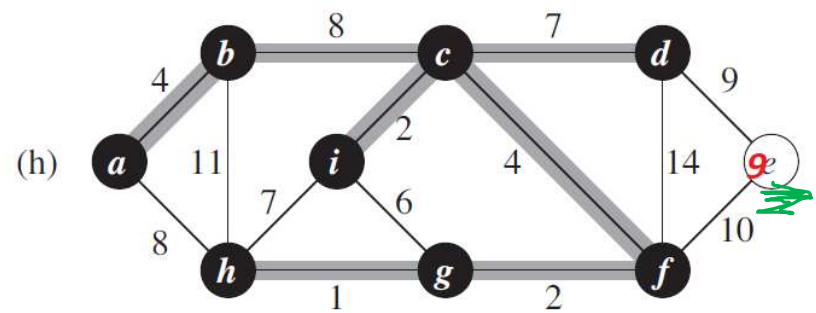
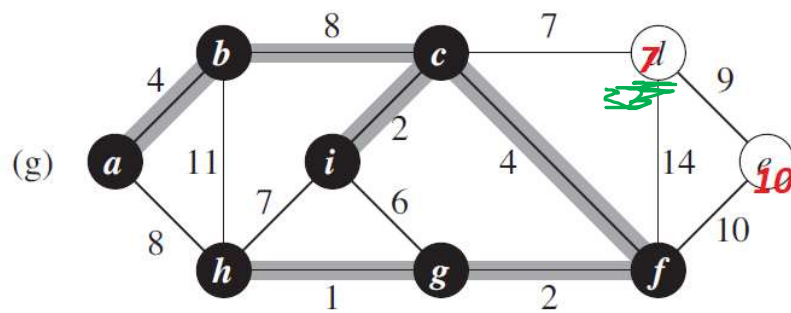
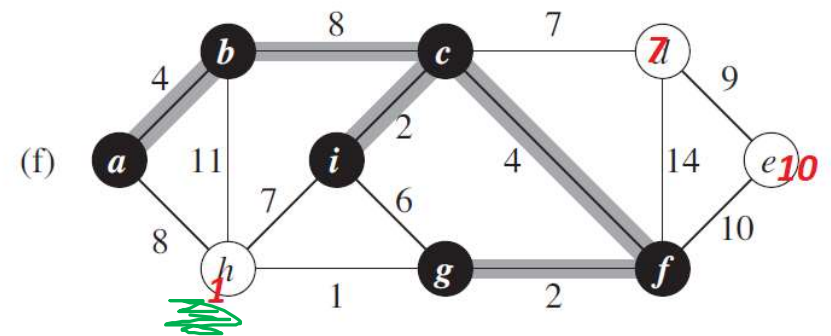
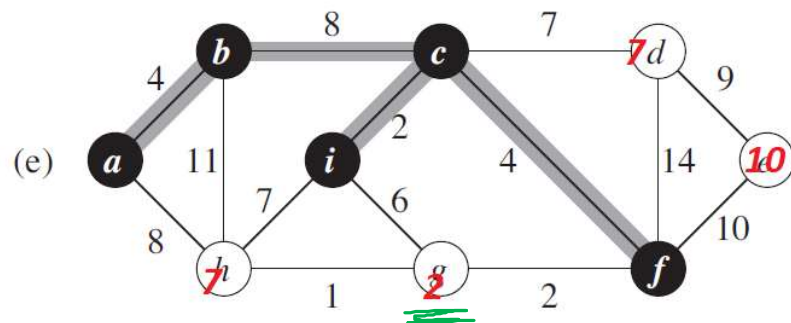
$$A = \{(v, \pi(v)) : v \in V - \{r\}\}$$

- Examine the time complexity of Prim when the min-priority-queue is implemented by an ordinary array?

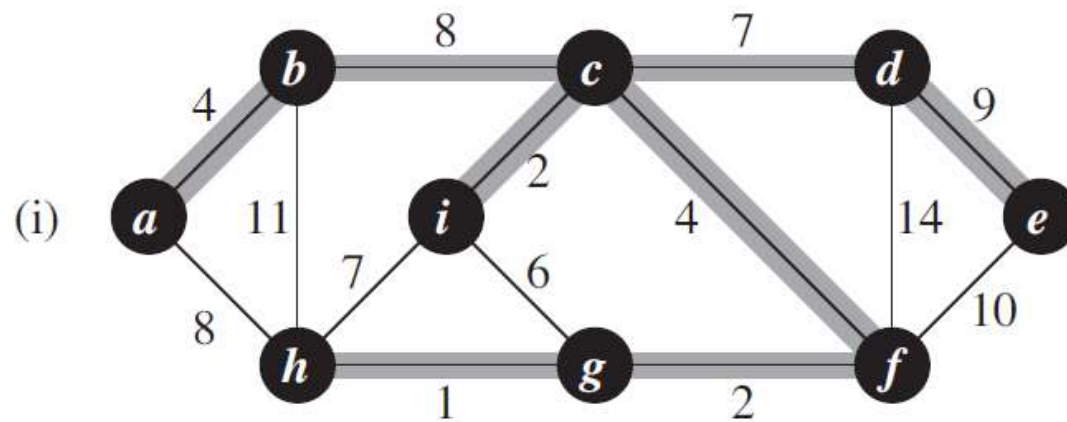
- Examine the time complexity of Prim when the min-priority-queue is implemented by an ordinary array?
  - $O(V * V + E)$

# Example: MST by Prim





Resulted MST:  
(Not unique!)



- The performance of Prim's algorithm depends on how we implement the min priority queue  $Q$ .
- Binary min-heap (at first min heap can be build in  $O(V)$ )
  - Each extract min and decrease key take  $O(\lg V)$  time
  - $O(V \lg V + E \lg V) = O(E \lg V)$
- Fibonacci heaps
  - Each extract min takes  $O(\lg V)$  amortized time, and each decrease key takes  $O(1)$  amortized time
  - $O(V \lg V + E)$
  - Fibonacci heaps use amortized analysis

### 23.2-8

Professor Borden proposes a new divide-and-conquer algorithm for computing minimum spanning trees, which goes as follows. Given a graph  $G = (V, E)$ , partition the set  $V$  of vertices into two sets  $V_1$  and  $V_2$  such that  $|V_1|$  and  $|V_2|$  differ by at most 1. Let  $E_1$  be the set of edges that are incident only on vertices in  $V_1$ , and let  $E_2$  be the set of edges that are incident only on vertices in  $V_2$ . Recursively solve a minimum-spanning-tree problem on each of the two subgraphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$ . Finally, select the minimum-weight edge in  $E$  that crosses the cut  $(V_1, V_2)$ , and use this edge to unite the resulting two minimum spanning trees into a single spanning tree.

Either argue that the algorithm correctly computes a minimum spanning tree of  $G$ , or provide an example for which the algorithm fails.



# Think together

## 23.2-4

Suppose that all edge weights in a graph are integers in the range from 1 to  $|V|$ . How fast can you make Kruskal's algorithm run? What if the edge weights are integers in the range from 1 to  $W$  for some constant  $W$ ?

## 23.2-5

Suppose that all edge weights in a graph are integers in the range from 1 to  $|V|$ . How fast can you make Prim's algorithm run? What if the edge weights are integers in the range from 1 to  $W$  for some constant  $W$ ?