

# Enable Machines to Understand Human Emotions and Mental States

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September 27, 2023

## Abstract

Comprehending the narrative of a movie necessitates a profound understanding of the emotions and mental states of its characters. To achieve this objective, we conceptualize emotion understanding as the task of predicting a diverse and multi-label spectrum of emotions, both at the level of individual movie scenes and for each character involved. Our solution, EmoTx, is a multimodal Transformer-based architecture capable of processing videos, multiple character inputs, and dialog utterances to make collective predictions. By harnessing the annotations from the MovieGraphs dataset, we aim to forecast not only classic emotions like happiness and anger but also other nuanced mental states such as honesty and helpfulness. Our experiments encompass assessments involving the most commonly occurring 10 and 25 labels, as well as a mapping that clusters 181 labels into 26 categories. Through ablation studies and comparisons with adapted state-of-the-art emotion recognition techniques, we establish the effectiveness of EmoTx. A closer examination of EmoTx's self-attention scores reveals that expressive emotions predominantly focus on character tokens, while other mental states rely more heavily on cues from video content and dialog.

## 1. Introduction

With the increasing application of LLM, the end-to-end NLP tasks in the past have become increasingly unified. But understanding the patterns of the world is multimodal, and a large part of it is CV. Due to the perception based low dimensional characteristics in the field of CV, it is very difficult to achieve multi task unity in a single visual mode. At this point, utilizing multimodality may be one of the ideas.

With the exponential growth in the availability of

audiovisual content and its accompanying consumption, it is necessary to have accurate content summaries and recommendations to help audiences make the right choices. If there is a better understanding of potential emotions, a better labeling system can be applied to online content, which in turn may lead to better recommendation systems. Film story analysis is a common field of application in this field. Film story analysis requires understanding the emotions and mental states of the task. To achieve this goal, emotional understanding is defined as predicting a diverse and multi labeled emotional set at the level of movie scenes and each character. Furthermore, if we can teach machines to accurately recognize human emotions, they can better understand and interact with humans.

I attempted to fuse on a multimodal Transformer based on pre trained model representation and achieve a unified understanding of textual and visual content. With EmoTx, a multimodal Transformer based architecture, it can combine videos, multiple characters, and conversations for joint prediction. By leveraging annotations from the MovieGraph dataset, the goal is to predict typical emotions (such as happiness, anger) and other mental states (such as honesty and helpfulness). I will compare some models and perform ablation experiments on the best performing EmoTx to demonstrate the effectiveness of the model.

## 2. Related Work

### 2.1. Movie Understanding

The comprehension of movies has undergone a transformation. In recent years, it has shifted from categorizing individuals and recognizing them to delving into the narrative itself. Tasks like scene identification, question answering, movie captions with names, modeling interactions and/or relationships, alignment of text and video storylines, and even long-form video

understanding have emerged as fascinating fields. Substantial advancements have been achieved thanks to datasets like Condensed Movie, MovieNet, the VALUE benchmark, and MovieGraphs. Building upon the annotations available in MovieGraph, we now pay our attention on an additional aspect of comprehending stories, one that complements the aforementioned directions: the identification of emotions and mental states exhibited by each character and the overall mood within a movie scene.

## 2.2. Visual Emotion Recognition

Historically relied on face-based recognition of Ekman’s six classic emotions and popularized by datasets like MMI, CK, and CK+, experienced a decade ago with the emergence of challenging in-the-wild benchmarks such as EmotiW, FER, and AFEW, coinciding with the integration of deep learning approaches that achieved notable performance. Deviating from this established paradigm, the Emotic dataset introduced a novel perspective by incorporating 26 emotion labels for image-based emotion comprehension, emphasizing the contextual dimension. This innovative approach involved the fusion of facial features and context using techniques like two-stream CNNs and person detections with depth maps. Other avenues in emotion recognition encompass estimating valence-arousal from faces with limited context, learning representations through webly supervised data to mitigate biases, or enhancing them further through a joint text-vision embedding space. In contrast to these trends, our research is uniquely focused on the recognition of multi-label emotions and mental states within the context of movies, harnessing multimodal context at both scene and character levels.

## 2.3. Multimodal Datasets for Emotion Recognition

Recent developments have witnessed the adoption of multimodal datasets for emotion recognition. The Acted Facial Expressions in the Wild dataset focuses on predicting emotions from facial expressions but lacks contextual information. On the other hand, the Stanford Emotional Narratives Dataset incorporates participant-shared narratives of positive and negative life events, offering a multimodal perspective, albeit differing significantly from our emphasis on edited movies and narratives. In the realm of Emotion Recognition in Conversations (ERC), the Multimodal EmotionLines Dataset (MELD) stands out by attempting to estimate emotions for each dialogue utterance in TV episodes from Friends. Distinguishing itself from MELD, our work operates within the time-scale of cohesive story units, specifically movie scenes. Lastly, the

Annotated Creative Commons Emotional Database (LIRIS-ACCEDE) is the closest to our endeavor, as it provides emotion annotations for short movie clips. However, these clips are relatively brief (8-12 seconds), and the annotations are derived from the continuous valence-arousal space. In contrast to the aforementioned works, we also aim to predict character-level mental states and demonstrate the significance of video and dialogue context in achieving such labels.

## 2.4. Multimodal Emotion Recognition Methods

RNNs have a historical presence in Emotion Recognition in Conversations (ERC), often employed alongside graph networks for their efficacy in amalgamating audio, visual, and textual data. Building upon recent breakthroughs, Transformer architectures have also found their place in ERC applications. Augmenting these developments, external knowledge graphs contribute valuable commonsense information, while the integration of topic modeling with Transformers has yielded improved outcomes. Efforts have been made to address multi-label prediction, including the exploration of a sequence-to-set approach, although scalability issues arise with a growing number of labels. Although our approach leverages a Transformer for comprehensive modeling, our specific objective, which is to predict emotions and mental states for movie scenes and characters, distinguishes our work from traditional ERC. We have adapted and conducted comparative experiments with some of the methods mentioned earlier. In a related context, MovieGraphs employs emotion annotations to depict the evolution of emotions throughout an entire movie and for Temporal Emotion Localization. However, it is important to note that the former focuses on tracking a single emotion within each scene, while the latter presents an alternative, albeit intriguing, direction of research.

## 3. Preliminary Plan

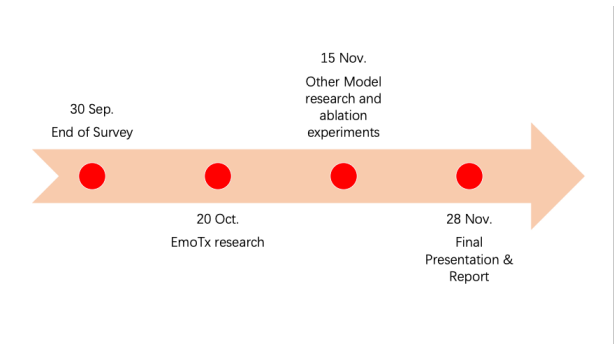


Figure 1. Plan of Project

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