# HCMC UNIVERSITY OF TECHNOLOGY FACULTY OF COMPUTER SCIENCE & ENGINEERING

# Course: Operating Systems Assignment - Simple Operating System

## October 11, 2024

**Goal:** The objective of this assignment is the simulation of major components in a simple operating system, for example, scheduler, synchronization, related operations of physical memory and virtual memory.

**Content:** In detail, student will practice with three major modules: scheduler, synchronization, mechanism of memory allocation from virtual-to-physical memory.

- scheduler
- synchronization
- ullet the operations of mem-allocation from virtual-to-physical

**Result:** After this assignment, student can understand partly the principle of a simple OS. They can understand and draw the role of OS key modules.

CONTENTS

## Contents

1	Intr	ntroduction									
	1.1	An overview									
	1.2	Source Code									
	1.3	Processes									
	1.4	How to Create a Process?									
	1.5	How to Run the Simulation									
2	Imp	plementation									
	2.1	Scheduler									
	2.2	Memory Management									
		2.2.1 The virtual memory mapping in each process									
		2.2.2 The system physical memory									
		2.2.3 Paging-based address translation scheme									
		2.2.4 Multiple memory segments									
		2.2.5 Wrapping-up all paging-oriented implementations									
	2.3	Put It All Together									
3	Sub	lbmission									
	3.1	Source code									
	3.2	Requirements									
	3.3										
	3.4	Grading									
	2.5	Code of othics									

## 1 Introduction

#### 1.1 An overview

The assignment is about simulating a simple operating system to help student understand the fundamental concepts of scheduling, synchronization and memory management. Figure 1 shows the overall architecture of the *operating system* we are going to implement. Generally, the OS has to manage two *virtual* resources: CPU(s) and RAM using two core components:

- Scheduler (and Dispatcher): determines which process is allowed to run on which CPU.
- Virtual memory: isolates the memory space of each process from other. The physical RAM is shared by multiple processes but each process do not know the existence of other. This is done by letting each process has its own virtual memory space and the Virtual memory engine will map and translate the virtual addresses provided by processes to corresponding physical addresses.

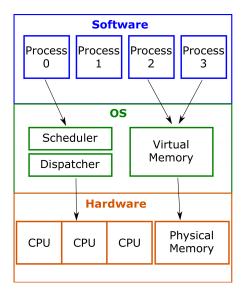


Figure 1: The general view of key modules in this assignment

Through those modules, the OS allows multi-processes created by users to share and use the *virtual* computing resources. Therefore, in this assignment, we focus on implementing scheduler/dispatcher and virtual memory engine.

#### 1.2 Source Code

After downloading the source code of the assignment in the *Resource* section on the portal platform and extracting it, you will see the source code organized as follows.

- Header files
  - timer.h: define the timer for the whole system.
  - cpu.h: define functions used to implement the virtual CPU.
  - queue.h: define functions used to implement queue which holds the PCB of processes.
  - sched.h: define functions used by the scheduler
  - mem.h: define unctions used by Virtual Memory Engine.

1.3 Processes 1 INTRODUCTION

- loader.h: (obsoleted) define functions used by the loader which load the program from disk to memory.
- common.h: define structs and functions used everywhere in the OS.
- bitopts.h: define operations on bit data.
- os-mm.h, mm.h: define the structure and basic data for Paging-based Memory Management.
- os-cfg.h: (Optional) define the constants used to switch the software configuration.

#### • Source files

- timer.c: implement the timer.
- cpu.c: implement the virtual CPU.
- queue.c: implement operations on (priority) queues.
- paging.c: (obsoleted) use to check the functionality of Virtual Memory Engine.
- os.c: contain the main function to start the whole OS system.
- loader.c: implement the loader
- sched.c: implement the scheduler
- mem.c: (obsoleted) implement the previous obsoleted version RAM and Virtual Memory.
- mm.c, mm-vm.c, mm-memphy.c: implement Paging-based Memory Management
- Makefile
- input the folder contains a set of inputs used for verification
- output sample outputs of the system.

## 1.3 Processes

We are going to build a multitasking OS which lets multiple processes run concurrently so it is worth to spend some space explaining the organization of processes. The OS manages processes through their PCB described as follows:

: process control block

```
// From include/common.h
struct pcb_t {
    uint32_t pid;
    uint32_t priority;
    uint32_t code_seg_t * code;
    addr_t regs[10];
    uint32_t pc;
#ifdef MLQ_SCHED
    uint32_t prio;
#endif
    struct page_table_t * page_table;
    uint32_t bp;
}
```

The meaning of fields in the struct:

- PID: Process's PID
- **priority**: Process priority, the lower value the higher priority the process has. This legacy priority depend on the process's properties and is fixed over execution session.

1.3 Processes 1 INTRODUCTION

• **code**: Text segment of the process (To simplify the simulation, we do not put the text segment in RAM).

- regs: Registers, each process could use up to 10 registers numbered from 0 to 9.
- **pc**: The current position of program counter.
- page\_table: The translation from virtual addresses to physical addresses (obsoleted, do not use).
- **bp**: Break pointer, use to manage the heap segment.
- prio: Priority on execution (if supported), and this value overwrites the default priority.

Similar to the real process, each process in this simulation is just a list of instructions executed by the CPU one by one from the beginning to the end (we do not implement jump instructions here). There are five instructions a process could perform:

• CALC: do some calculation using the CPU. This instruction does not have argument.

Annotation of Memory region: A storage area where we allocate the storage space for a variable, this term is actually associated with an index of SYMBOL TABLE and usually supports human-readable through variable name and a mapping mechanism. Unfortunately, this mapping is out-of-scope of this Operating System course. It might belong another couse which explains how the compiler do its job and map the label to its associated index. For simplicity, we refer here a memory region through its index and it has a limit on the number of variables in each program/process.

## phân bổ • ALLOC: Allocate some chunk of bytes on the main memory (RAM). Instruction's syntax:

```
alloc [size] [reg]
```

where **size** is the number of bytes the process want to allocate from RAM and **reg** is the number of register which will save the address of the first byte of the allocated memory region. For example, the instruction **alloc 124** 7 will allocate 124 bytes from the OS and the address of the first of those 124 bytes with be stored at register #7.

• MALLOC: Allocate some chunk of bytes on the dynamic allocating memory (heap). Instruction's syntax:

```
malloc [size] [reg]
```

where **size** is the number of bytes the process want to allocate from RAM and **reg** is the number of register which will save the address of the first byte of the allocated memory region. For example, the instruction **malloc 220 3** will allocate 220 bytes from the dynamic allocating memory and the address of the first of those 220 bytes with be stored at register #3.

• FREE Free allocated memory. Syntax:

```
free [reg]
```

where **reg** is the number of registers holding the address of the first byte of the memory region to be deallocated.

• **READ** Read a byte from memory. Syntax:

```
read [source] [offset] [destination]
```

Page 5 of 19

The instruction reads one byte memory at the address which equal to the value of register **source** + **offset** and saves it to **destination**. For example, assume that the value of register #1 is 0x123 then the instruction read 1 20 2 will read one byte memory at the address of 0x123 + 14 (14 is 20 in hexadecimal) and save it to register #2.

• WRITE Write a value register to memory. Syntax:

```
write [data] [destination] [offset]
```

The instruction writes **data** to the address which equal to the value of register **destination** + **offset**. For example, assume that the value of register #1 is 0x123 then the instruction **write 10 1 20** will write 10 to the memory at the address of 0x123 + 14 (14 is 20 in hexadecimal).

#### 1.4 How to Create a Process?

The content of each process is actually a copy of a program stored on disk. Thus to create a process, we must first generate the program which describes its content. A program is defined by a single file with the following format:

```
[priority] [N = number of instructions]
instruction 0
instruction 1
...
instruction N-1
```

where **priority** is the **default** priority of the process created from this program. It needs to remind that this system employs a dual priority mechanism.

The higher priority (with the smaller value) the process has, the process has higher chance to be picked up by the CPU from the queue (See section 2.1 for more detail).  $\mathbf{N}$  is the number of instructions and each of the next  $\mathbf{N}$  lines(s) are instructions represented in the format mentioned in the previous section. You could open files in **input/proc** directory to see some sample programs.

**Dual priority mechanism** Please remember that this default value can be overwrite by the *live* priority during process execution calling. For tackling the conflict, when it has priority in process loading (this input file), it will overwrite and replace the default priority in process description file.

#### 1.5 How to Run the Simulation

What we are going to do in this assignment is to implement a simple OS and simulate it over virtual hardware. To start the simulation process, we must create a description file in **input** directory about the hardware and the environment that we will simulate. The description file is defined in the following format:

```
[time slice] [N = Number of CPU] [M = Number of Processes to be run]
[time 0] [path 0] [priority 0]
[time 1] [path 1] [priority 1]
...
[time M-1] [path M-1] [priority M-1]
```

where **time slice** is the amount of time (in seconds) for which a process is allowed to run. **N** is the number of CPUs available and M is the number of processes to be run. The last parameter **priority** is the *live* priority when the process is invoked and this will overwrite the default priority in process description file (refers section 1.4).

Page 6 of 19

From the second line onward, each line represents the process arrival time, the path to the file holding the content of the program to be loaded and its priority. You could find configure files at input directory.

It's worth to remind that this system equips a **dual priority mechanism**. If you don't have the default priority than we don't have enough the material to resolve the conflict during the scheduling procedure. But, if this value is fixed, it limits the algorithms that the simulation can illustrate the theory. Verify with your real-life environment, there is different priority systems, one is about the system program vs user program while the other also allows you to change the *live* priority.

To start the simulation, you must compile the source code first by using Make all command. After that, run the command

```
./os [configure_file]
```

where **configure\_file** is the path to configure file for the environment on which you want to run and it should associated with the name of a description file placed in **input** directory.

## 2 Implementation

### 2.1 Scheduler

We first implement the scheduler. Figure 2 shows how the operating system schedules processes. The OS is designed to work on multiple processors. The OS uses multiple queue called **ready\_queue** to determine which process to be executed when a CPU becomes available. Each queue is associated with a fixed priority value. The scheduler is designed based on "multilevel queue" algorithm used in Linux kernel<sup>1</sup>.

According to Figure 2, the scheduler works as follows. For each new program, the loader will create a new process and assign a new PCB to it. The loader then reads and copies the content of the program to the text segment of the new process (pointed by **code** pointer in the PCB of the process - section 1.3). The PCB of the process is pushed to the associated **ready\_queue** having the same priority with the value *prio* of this process. Then, it waits for the CPU. The CPU runs processes in round-robin style. Each process is allowed to run in time slice. After that, the CPU is forced to enqueue the process back to it associated **priority ready\_queue**. The CPU then picks up another process from **ready\_queue** and continue running.

In this system, we implement the Multi-Level Queue (MLQ) policy. The system contains MAX\_PRIO priority levels. Although the real system, i.e. Linux kernel, may group these levels into subsets, we keep the design where each priority is held by one ready\_queue for simplicity. We simplify the add\_queue and put\_proc as putting the proc to appropriated ready queue by priority matching. The main design is belong to the MLQ policy deployed by get\_proc to fetch a proc and then dispatch CPU.

The description of **MLQ policy**: the traversed step of **ready\_queue list** is a fixed formulated number based on the priority, i.e. slot= (MAX\_PRIO - prio), each queue have only fixed slot to use the CPU and when it is used up, the system must change the resource to the other process in the next queue and left the remaining work for future slot even though it needs a completed round of ready\_ queue.

An example in Linux MAX\_PRIO=140, prio=0..(MAX\_PRIO - 1)

<sup>&</sup>lt;sup>1</sup>Actually, Linux supports the feedback mechanism which allow to move process among priority queues but we don't implement the feedback mechanism here

MLQ policy only goes through the fixed step to traverse all the queue in the priority ready\_queue list.

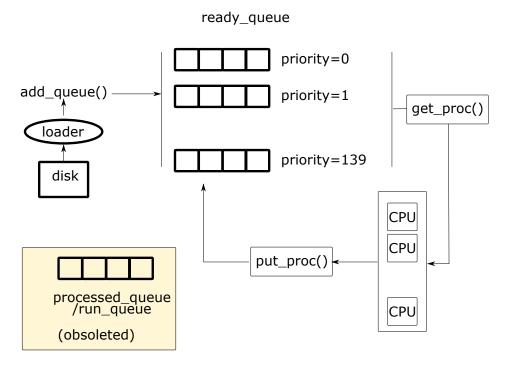


Figure 2: The operation of scheduler in the assignment

Your job in this part is to implement this algorithm by completing the following functions

- enqueue() and dequeue() (in queue.c): We have defined a struct (queue\_t) for a priority queue at queue.h. Your task is to implement those functions to help put a new PCB to the queue and get the next 'in turn' PCB out of the queue.
- get\_proc() (in sched.c): gets PCB of a process waiting from the ready\_queue system. The selected ready\_queue 'in turn' has been described in the above policy.

You could compare your result with model answers in **output** directory. Note that because the loader and the scheduler run concurrently, there may be more than one correct answer for each test.

*Note*: the run\_queue is something not compatible with the theory and has been obsoleted for a while. We don't need it in both theory paradigm and code implementation, it is such a legacy/outdated code but we still keep it to avoid bug tracking later.

**Question:** What are the advantages of using the scheduling algorithm described in this assignment compared to other scheduling algorithms you have learned?

### 2.2 Memory Management

#### 2.2.1 The virtual memory mapping in each process

The virtual memory space is organized as a memory mapping for each process PCB. From the process point of view, the virtual address includes multiple **vm\_areas** (contiguously). In the real world, each area can act as code, stack or heap segment. Therefore, the process keeps in its **pcb** a pointer of multiple contiguous memory areas.

Memory Area Each memory area ranges continuously in [vm\_start,vm\_end]. Although the space spans the whole range, the actual usable area is limited by the top pointing at sbrk. In the area between vm\_start and sbrk, there are multiple regions captured by struct vm\_rg\_struct and free slots tracking by the list vm\_freerg\_list. Through this design, we make the design to perform the actual allocation of physical memory only in the usable area, as in Figure 3.

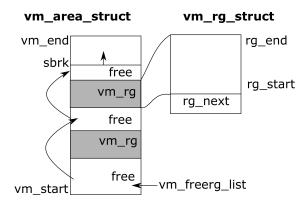


Figure 3: The structure of vm area and region

```
//From include/os-mm.h
       Memory region struct
   struct vm_rg_struct {
      unsigned long rq_start;
      unsigned long rg_end;
      struct vm_rg_struct *rg_next;
10
   };
       Memory area struct
   struct vm_area_struct {
15
      unsigned long vm_id;
      unsigned long vm_start;
      unsigned long vm_end;
      unsigned long sbrk;
20
    * Derived field
    * unsigned long vm_limit = vm_end - vm_start
      struct mm_struct *vm_mm;
      struct vm_rg_struct *vm_freerg_list;
      struct vm_area_struct *vm_next;
   };
```

**Memory region** As we noted in the previous section 1.3, these regions are actually acted as the variables in the human-readable program's source code. Due to the current out-of-scope fact, we simply touch in the concept of namespace in term of indexing. We have not been equipped enough the principle of the

compiler. It is, again, overwhelmed to employs such a complex symbol table in this OS course. We temporarily imagine these regions as a set of limit number of region. We manage them by using an array of symrgtbl[PAGING\_MAX\_SYMTBL\_SZ]. The array size is fixed by a constant, PAGING\_MAX\_SYMTBL\_SZ, denoted the number of variable allowed in each program. To wrap up, we use the struct vm\_rg\_struct symrgtbl to keep the start and the end point of the region and the pointer rg\_next is reserved for future set tracking.

```
//From include/os-mm.h
/*
  * Memory mapping struct
  */
struct mm_struct {
    uint32_t *pgd;
    struct vm_area_struct *mmap;

    /* Currently we support a fixed number of symbol */
    struct vm_rg_struct symrgtbl[PAGING_MAX_SYMTBL_SZ];

    struct pgn_t *fifo_pgn;
};
```

Memory mapping is represented by struct mm\_struct, which tracks all the mentioned memory regions in a separated contiguous memory area. In each memory mapping struct, many memory areas are pointed out by struct vm\_area\_struct \*mmap list. An important field is the pgd, which is the page table directory and contains all page table entries. Each entry maps the page number to the frame number in the paging memory management system. We provide a detailed page-frame mapping in the later section 2.2.3. The symrgtbl is a simple implementation of the symbol table. The other fields are mainly used to track specific user operations i.e. caller, fifo page (for referencing). We have included them for your use; you can utilize them as needed (or discard).

**CPU addresses** the address generated by CPU to access a specific memory location. In paging-based system, it is divided into:

- Page number (p): used as an index into a page table that holds the based address for each page in physical memory.
- Page offset (d): combined with base address to define the physical memory address that is sent to the Memory Management Unit

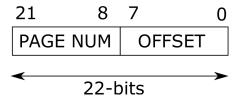


Figure 4: CPU address scheme

The physical address space of a process can be non-contiguous. We divide physical memory into fixed-sized blocks (the frames) with two sizes 256B or 512B. We proposed various setting combinations in Table 1 and ended up with the highlighted configuration. This is a referenced setting and can be modified or re-selected

in other simulations. Based on the configuration of 22-bit CPU and 256B page size, the CPU address is organized as in Figure 4.

In the VM summary, all structures supporting VM are placed in the module mm-vm.c.

Question: In this simple OS, we implement a design of multiple memory segments or memory areas in source code declaration. What is the advantage of the proposed design of multiple segments?

CPU bus	PAGE size	PAGE bit	No pg entry	PAGE Entry sz	PAGE TBL	OFFSET bit	PGT mem	MEMPHY	fram bit
20	256B	12	~4000	4byte	16KB	8	2MB	1MB	12
22	256B	14	$\sim 16000$	4byte	64KB	8	8MB	1MB	12
22	512B	13	~8000	4byte	32KB	9	4MB	1MB	11
22	512B	13	~8000	4byte	32KB	9	4MB	128kB	8
16	512B	8	256	4byte	1kB	9	128K	128kB	4

Table 1: Various CPU address bus configurations

#### 2.2.2 The system physical memory

Figure 1 shows that the memory hardware is installed in terms of the whole system. All processes own their separated memory mappings, but all mappings target a singleton physical device. There are two kinds of devices which are RAM and SWAP. They both can be implemented by the same physical device as in mm-memphy.c with different settings. The supported settings are random memory access, sequential/serial memory access, and storage capacity.

Despite the various possible configurations, the logical use of these devices can be distinguished. The RAM device, belonging to the primary memory subsystem, can be accessed directly from the CPU address bus, i.e., allowing it to be read from or written to using CPU CPU instructions. Meanwhile, SWAP is just a secondary memory device, and all data manipulation must be performed by moving them to the main memory. Since it lacks direct access from the CPU, the system usually equips a large SWAP at a low cost and may have more than one instance. In our settings, we support hardware configured with one RAM device and up to 4 SWAP devices.

The struct framephy\_struct is mainly used to store the frame number.

The struct memphy\_struct has basic fields storage and size. The rdmflg field defines the memory access is randomly or serially access. The fields free\_fp\_list and used\_fp\_list are reserved for retaining the unused and the used memory frames, respectively.

```
//From include/os-mm.h
/*
   * FRAME/MEM PHY struct
   */
struct framephy_struct {
    int fpn;
    struct framephy_struct *fp_next;
};

struct memphy_struct {
    /* Basic field of data and size */
    BYTE *storage;
    int maxsz;

/* Sequential device fields */
    int rdmflg;
    int cursor;
```

```
/* Management structure */
struct framephy_struct *free_fp_list;
struct framephy_struct *used_fp_list;
};
```

**Question:** What will happen if we divide the address to more than 2-levels in the paging memory management system?

## 2.2.3 Paging-based address translation scheme

The translation supports both segmentation and segmentation with paging. In this version, we develop a single-level paging system that leverages one RAM device and one SWAP instance hardware. We are prepared (coded) with the capability to handle multiple memory segments, but we mainly focus on the first segment of vm\_area (vmaid = 0). The further versions will take into account a sufficient paging scheme for multiple segments and the potential overlap/non-overlap between segments.

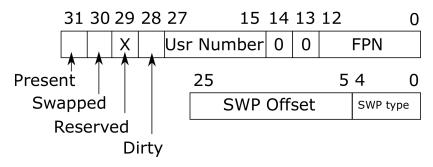


Figure 5: Page Table Entry Format.

**Page table** This structure allows a userspace process to determine which physical frame each virtual page is mapped to. It contains one 32-bit value for each virtual page, containing the following data:

```
* Bits 0-12 page frame number (FPN) if present
* Bits 13-14 zero if present
 Bits 15-27 user-defined numbering if present
             swap type if swapped
 Bits 0-4
 Bits 5-25
             swap offset if swapped
 Bit
       28
             dirty
 Bits 29
             reserved
 Bit
       30
             swapped
* Bit.
       31
             presented
```

The virtual space is isolated for each entity, so each **struct pcb\_t** has its own table. To work in paging-based memory system, we need to update this struct and the later section will discuss the required modification. In all cases, each process has a completely isolated and unique space, **N** processes in our setting result in **N** page tables. Each page must have all entries for the whole CPU address space. For each entry, the paging number may have an associated frame in MEMRAM or MEMSWP, or might have null value. The functionality of each data bit of the page table entry is illustrated in Figure 5. In our chosen highlighted setting in Table 1 we have 16.000-entry table each table cost 64 KB storage space.

In section 2.2.1, the process can access the virtual memory space in a contiguous manner of vm area structure. The remaining work deals with the mapping between page and frame to provide the contiguous memory space

#### ALLOC GET FREE ReGion in FREERG LIST INCREASE VM AREA LIMIT -GET VM AREA (address space) FIND FREE FRAME VMAP PAGE RANGE -GOT ReGion at new LIMIT INSERT PAGE-TABLE Entry PUT FREE ReGion in FREERG\_LIST READ/WRITE **GET PAGE** PAGE PRESENT -> got FRAMENUM PAGE NOT PRESENT SWAP FRAME [MRAM <-> MSWP] FIND VICTIM PAGE SWAP\_COPY\_FROM RAM TO SWP SWAP\_COPY\_FROM SWP TO RAM **OBTAIN FRAMENUM** IO MEMPHY ACCESS READ/WRITE DATA IO MEMPHY ACCESS MM-VM VIRTUAL MEMORY MM- MEMORY MANAGEMEN

#### PAGING-BASED MEMORY MANAGEMENT MODULES

Figure 6: Memory system modules

over discrete frame storing mechanism. This falls into two main approaches: memory swapping and basic memory operations, i.e. alloc/free/read/write, which mostly keep in touch with **pgd** page table structure.

Memory swapping We have been informed that a memory area (/segment) may not be used up to its limit storage space. It means that there are the storage spaces which aren't mapped to MEMRAM. Swapping can help moving the contents of physical frame between the MEMRAM and MEMSWAP. The swapping is the mechanism that performs copying the frame's content from outside to main memory (RAM). Swapping out, in reverse, attempts to move the content of a frame in MEMRAM to MEMSWAP. In a typical context, swapping help us gain the free frame of RAM since the size of SWAP device is usually large enough.

#### Basic memory operations in paging-based system

- ALLOC: in most cases, it fits into data segment area. If there is no suitable space, we need to expand the memory space by lift up the barrier set by sbrk. Since it have never been touched, it may needs provide some physical frames and then map them using Page Table Entry.
- MALLOC: in most cases, it fits into heap segment area. If there is no suitable space, we need to expand the memory space by moving sbrk and since it have never been touched, it may needs provide some physical frames and then map them using Page Table Entry.
- FREE: free the storage space associated with the region id. Since we cannot reclaim the taken physical frame which might cause memory holes, we just keep the collected storage space in a free list for future alloc/malloc request.
- READ/WRITE requires to get the page to be presented in the main memory. The most resource consuming step is the page swapping. If the page was in the MEMSWAP device, it needs to bring this page back to MEMRAM device (swapping in) and if it's lack of space, we need to give back some pages to MEMSWAP device (swapping out) to make more rooms.

To perform these operations, it needs a collaboration among the mm's modules as illustrated in Figure 6.

Question What is the advantage and disadvantage of segmentation with paging?

## 2.2.4 Multiple memory segments

The memory system supports two segments: data segment and heap segment.

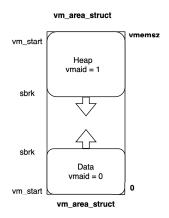


Figure 7: An illustration of the multi segment layout with the "godown" heap strategy

**Data segment** This area is used for (static) memory allocation. This segment is expanded by growing up from the address 0 to the maximum memory address.

**Heap segment** This area is used for dynamic memory allocation. This segment is expanded by going down from the maximum memory address to the address 0.

There are two strategies in heap growing: the "go up" and the "go down". The former, the 'go up' mechanism, can simplify certain operations by starting from the fixed mid point. However, it can lead to wasted space by limiting the upper bound of the data segment. The latter, the 'go down' mechanism, can be more complex to manage, but it provides unlimited memory space and makes the best use of the available memory.

The segment layout is illustrated in Figure 7. The vm\_area\_struct used to implemented data segment and heap segment are indexed by vmaid=0 annd vmaid=1 respectively.

Question What is the reason for data being separated into two different segments: data and heap?

## 2.2.5 Wrapping-up all paging-oriented implementations

Introduction to the configuration control using constant definition: <sup>2</sup> to make less effort on dealing with the interference among feature-oriented program modules, we apply the same approach as in the developer community by isolating each feature through a system of configuration. Leveraging this mechanism, we can maintain various subsystems separately, all existing in a single version of code. We can control the configuration used in our simulation program in the include/os-cfg.h file

```
// From include/os-cfg.h
#define MLQ_SCHED 1
#define MAX_PRIO 140

#define MM_PAGING
#define MM_PAGING_HEAP_GODOWN
#define MM_FIXED_MEMSZ
```

<sup>&</sup>lt;sup>2</sup>This section is applied mainly to paging memory management. If you are still working in scheduler section you should keep the default setting and avoid touching too many changes to these values

An example of MM\_PAGING setting: With this new modules of memory paging, we got a derivation of PCB struct added some additional memory management fields and they are wrapped by a constant definition. If we want to use the MM\_PAGING module then we enable the associated #define config line in include/os-cfg.h

Another example of MM\_PAGING\_HEAP\_GODOWN setting: Associated with the new version of heap segment, the structure of heap is expanding its size by going down from max memory address to 0 address. This might cause some troubles in technical implementation but it provides an unlimited memory size. It requires that the maximum size of the virtual memory is known in advance; therefore, the setting is used to isolate the **vmemsz** related section.

Another example of MM\_FIXED\_MEMSZ setting: Associated with the new verssion of PCB struct, the description file in input can keep the old setting with #define MM\_FIXED\_MEMSZ while it still works in the new paging memory management mode. This mode configuration benefits the backward compatible with old version input file. Enabling this setting allows the backward compatible.

New configuration with explicit declaration of the set of memory sizes (Be careful, this mode supports a custom memory size, which implies that we comment out or delete or disable the constant #define MM\_FIXED\_MEMSZ). If we are in this mode, the simulation program takes one additional line from the input file. This input line contains the system physical memory sizes: a MEMRAM and up to 4 MEMSWP. The size value requires a non-negative integer value. We can set the size equal 0, but that means the swap is deactivated. To keep a valid parameter, we must have a MEMRAM and at least 1 MEMSWAP, those values must be positive integers, the remaining values can be set to 0. The last configuration is the maximum size of virtual memory which is prerequisite for heap go down setting.

```
[time slice] [N = Number of CPU] [M = Number of Processes to be run]

[RAM.SZ] [SWP_SZ_0] [SWP_SZ_1] [SWP_SZ_2] [SWP_SZ_3] [VIRTUAL_MEM_SZ]

[time 0] [path 0] [priority 0]

[time 1] [path 1] [priority 1]

...

[time M-1] [path M-1] [priority M-1]
```

The highlighted input line is controlled by the constant definition. Double check the input file and the contents of include/os-cfg.h will help us understand how the simulation program behaves when there may be something strange.

## 2.3 Put It All Together

Finally, we combine scheduler and memory management to form a complete OS. Figure 8 shows the complete organization of the OS memory management. The last task to do is synchronization. Since the OS runs on multiple processors, it is possible that share resources could be concurrently accessed by more than one process at a time. Your job in this section is to find share resource and use lock mechanism to protect them.

Check your work by first compiling the whole source code

```
make all
```

and compare your output with those in output. Remember that as we are running multiple processes environment, there may be more than one correct result. All the outputs are used as samples and are not the restricted results. Your results only need to be explainable and be compared with **theoretical framework** and is not need to fit the output sample.

**Question:** What will happen if the synchronization is not handled in your simple OS? Illustrate the problem of your simple OS (assignment outputs) by example if you have any.

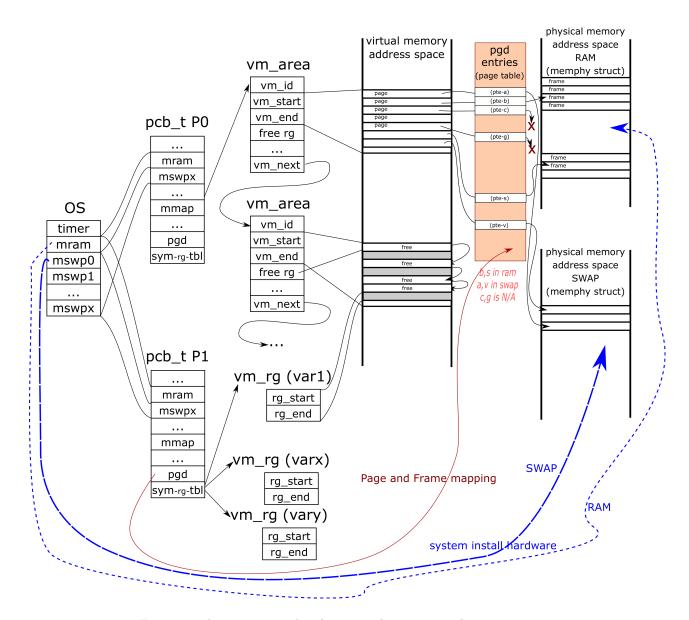


Figure 8: The operation related to virtual memory in the assignment

## 3 Submission

#### 3.1 Source code

**Requirement:** you have to code the system call followed by the coding style. Reference: https://www.gnu.org/prep/standards/html\_node/Writing-C.html

## 3.2 Requirements

**Scheduler** implement the scheduler that employs MLQ policy as described in section 2.1.

Memory Management implement the paging subsystem and focus on implementing the heap segment.

Questionnaire student need to answer all questions in the assignment description.

## 3.3 Report

Write a report that answer questions in implementation section and interpret the results of running tests in each section:

- Scheduling: draw Gantt diagram describing how processes are executed by the CPU.
- Memory: Show the status of the memory allocation in heap and data segments.
- Overall: student find their own way to interpret the results of simulation.

After you finish the assignment, moving your report to source code directory and compress the whole directory into a single file name assignment\_STUDENTID.zip and submit to BKEL.

## 3.4 Grading

You must carry out this assignment by groups of 4 or 5 students. The overall grade your group is a combination of two parts:

- Demonstration (7 points)
  - Scheduling: 3 points
  - MMU Paging: 2 points
  - Heap segment: 1 points
  - Heap godown strategy: 0.5 point
  - Heap and data free management: 0.5 point (statistics of free space, fragmentation, reference counting, how to keep track of free space, tracing etc.)
- Report (3 points)

## 3.5 Code of ethics

Faculty staff members involved in code development reserved all the copyright of the project source code. Source Code License Grant: Author(s) hereby grant(s) to Licensee a personal to use and modify the Licensed Source Code for the sole purpose of studying during attending the course CO2018 at HCMUT.

Revision History 3 SUBMISSION

## Revision History

Revision	Date	$\mathbf{Author}(\mathbf{s})$	Description
1.0	01.2019	pdnguyen, Minh Thanh CHUNG,	Created CPU, scheduling, memory
		Hai Duc NGUYEN	
1.1	09.2022	pdnguyen	Add Multilevel Queue (MLQ) CPU Scheduling
2.0	03.2023	pdnguyen	Initialize MM Paging Framework
2.1	10.2023	pdnguyen	Add Page Replacement
2.2	03.2024	pdnguyen	Add CPU Translation Lookaside Buffer (TLB)
2.3	10.2024	pdnguyen	Add heap segment