

This module verifies the *SeekLowerBound* algorithm in the *go-immutable-radix* Go library (<https://github.com/hashicorp/go-immutable-radix>).

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MODULE *RadixSeekLowerBound*

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EXTENDS *FiniteSets*, *Integers*, *Sequences*, *TLC*

Set of characters to use for the alphabet of generated strings.

CONSTANT *Alphabet*

*CmpOp* is the comparison operator for ordered iteration. This should be TRUE if the first value is less than the second value. This is called on a single element of a sequence.

CONSTANT *CmpOp*( $\_, \_$ )

Length of input strings generated

CONSTANT *MinLength*, *MaxLength*

ASSUME

$\wedge \{MinLength, MaxLength\} \subseteq Nat$

$\wedge MinLength \leq MaxLength$

Number of unique elements to construct the radix tree with. This is a set of numbers so you can test with inputs of multiple sizes.

CONSTANT *ElementCounts*

ASSUME *ElementCounts*  $\subseteq Nat$

INSTANCE *RadixTrees*

INSTANCE *RadixIterator*

Inputs is the set of input strings valid for the tree.

*Inputs*  $\triangleq \text{UNION } \{[1 \dots n \rightarrow Alphabet] : n \in MinLength \dots MaxLength\}$

*InputSets* is the full set of possible inputs we can send to the radix tree.

*InputSets*  $\triangleq \{T \in \text{SUBSET } Inputs : Cardinality(T) \in ElementCounts\}$

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TRUE iff the sequence *s* contains no duplicates. Copied from *CommunityModules*.

*isInjective*(*s*)  $\triangleq \forall i, j \in \text{DOMAIN } s : (s[i] = s[j]) \Rightarrow (i = j)$

Converts a set to a sequence that contains all the elements of *S* exactly once.

Copied from *CommunityModules*.

*setToSeq*(*S*)  $\triangleq \text{CHOOSE } f \in [1 \dots Cardinality(S) \rightarrow S] : isInjective(f)$

*bytes.Compare* in Go

RECURSIVE *GoBytesCompare*( $\_, \_$ )

*GoBytesCompare*(*X*, *Y*)  $\triangleq$

CASE *X* = *Y*  $\rightarrow 0$

□ *Len*(*X*) = 0  $\rightarrow -1$

□ *Len*(*Y*) = 0  $\rightarrow 1$

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□ OTHER →
  IF  $X[1] = Y[1]$ 
    THEN  $GoBytesCompare(Tail(X), Tail(Y))$ 
    ELSE IF  $CmpOp(X[1], Y[1])$  THEN  $-1$  ELSE  $1$ 

```

$CmpSeq$  compares two full inputs whereas  $CmpOp$  compares only a single element of the alphabet.

$CmpSeq(X, Y) \triangleq GoBytesCompare(X, Y) \leq 0$

$CmpGte$  checks if  $X \geq Y$

$CmpGte(X, Y) \triangleq X = Y \vee \neg CmpOp(X, Y)$

Sorted edges based on  $CmpOp$

$SortedEdgeLabels(Node) \triangleq SortSeq(setToSeq(DOMAIN Node.Edges), CmpOp)$

Returns the index of the first edge

$GetLowerBoundEdgeIndex(Node, Label) \triangleq$

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IF  $\neg \exists e \in DOMAIN Node.Edges : e = Label \vee \neg CmpOp(e, Label)$  THEN 0
  if there is no lower bound edge, return 0

```

ELSE LET

$e \triangleq SortedEdgeLabels(Node)$

sorted edges

IN CHOOSE  $idx \in 1 .. Len(e) :$  find the index

$\wedge CmpGte(e[idx], Label)$   $\geq$  to our search label

$\wedge \vee idx = 1$  and its the first element that is gte

$\vee CmpOp(e[idx - 1], Label)$

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The expected value is the sorted set of all inputs where the element is greater than or equal to the given key.

EXPLANATION:

1. We convert the input set to a sequence
2. Sort the input sequence, this is all inputs sorted now.
3. Select the subset of the input sequence where it satisfies our comparison.

The sequence now only has elements greater than or equal to our key

$Expected(input, key) \triangleq$

$SelectSeq(SortSeq(setToSeq(input), CmpSeq), LAMBDA elem : CmpSeq(key, elem))$

**--algorithm** *seek\_lower\_bound*

**variables**

$stack = \langle \rangle,$

$input \in InputSets,$

$key \in Inputs,$

$root = RadixTree(input),$

$node = \{\},$

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search = {},
result = {},
prefixCmp = "UNSET" ;

```

This entire algorithm is almost 1:1 translated where possible from the actual implementation in *iter.go*. That's the point: we're trying to verify our algorithm is correct for all inputs.

Source: <https://github.com/hashicorp/go-immutable-radix/blob/f63f49c0b598a5ead21c5015fb4d08fe7e3c21ea/iter.go>  $\neq$  L77

**begin**

I could've just set these variables in the initializer above but to better closely match the algorithm, I reset them here.

*Begin:*

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stack := ⟨⟩ ;
node := root ;
search := key ;

```

*Seek:*

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while TRUE do
  if Len(node.Prefix) < Len(search) then
    prefixCmp := GoBytesCompare(node.Prefix, SubSeq(search, 1, Len(node.Prefix))) ;
  else
    prefixCmp := GoBytesCompare(node.Prefix, search) ;
  end if ;

  if prefixCmp < 0 then
    goto Result ;
  elsif prefixCmp > 0 then
    RecurseMin:
    while Len(node.Value) = 0 do
      with
        labels = SortedEdgeLabels(node),
        edges = [n ∈ 1 .. Len(labels) ↦ node.Edges[labels[n]]]
      do
        if Len(edges) > 0 then
          stack := stack ∘ SubSeq(edges, 2, Len(edges)) ;
          node := edges[1] ;
        else
          shouldn't be possible
          goto Result ;
        end if ;
      end with ;
    end while ;

    stack := stack ∘ ⟨node⟩ ;
    goto Result ;

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    end if ;

Search:
  if Len(node.Value) > 0 then
    if GoBytesCompare(node.Value, key) < 0 then
      goto Result ;
    end if ;

SearchMatch:
  stack := stack ◦ ⟨node⟩ ;
  goto Result ;
end if ;

Consume:
  if Len(node.Prefix) > Len(search) then
    search := ⟨⟩ ;
  else
    search := SubSeq(search, Len(node.Prefix) + 1, Len(search))
  end if ;

  with
    idx = GetLowerBoundEdgeIndex(node, search[1]),
    labels = SortedEdgeLabels(node),
    edges = [n ∈ 1 .. Len(labels) ↦ node.Edges[labels[n]]]
  do
    if idx = 0 then
      goto Result ;
    else
      if idx + 1 ≤ Len(edges) then
        stack := stack ◦ SubSeq(edges, idx + 1, Len(edges)) ;
        end if ;

        node := edges[idx] ;
      end if ;
    end with ;

  end while ;

Result:
  result := Iterate(stack) ;

CheckResult:
  assert result = Expected(input, key) ;
end algorithm ;

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!!! NOTE !!! The rest of the file is auto-generated based on the *PlusCal*

above. For those who are reading this to learn TLA+/*PlusCal*, you can stop reading here.

BEGIN TRANSLATION ( $chksum(pcal) = \text{"c021d80a"} \wedge chksum(tla) = \text{"20123e42"}$ )  
 VARIABLES *stack*, *input*, *key*, *root*, *node*, *search*, *result*, *prefixCmp*, *pc*

*vars*  $\triangleq \langle stack, input, key, root, node, search, result, prefixCmp, pc \rangle$

*Init*  $\triangleq$  **Global variables**  
 $\wedge stack = \langle \rangle$   
 $\wedge input \in InputSets$   
 $\wedge key \in Inputs$   
 $\wedge root = RadixTree(input)$   
 $\wedge node = \{\}$   
 $\wedge search = \{\}$   
 $\wedge result = \{\}$   
 $\wedge prefixCmp = \text{"UNSET"}$   
 $\wedge pc = \text{"Begin"}$

*Begin*  $\triangleq$   $\wedge pc = \text{"Begin"}$   
 $\wedge stack' = \langle \rangle$   
 $\wedge node' = root$   
 $\wedge search' = key$   
 $\wedge pc' = \text{"Seek"}$   
 $\wedge \text{UNCHANGED } \langle input, key, root, result, prefixCmp \rangle$

*Seek*  $\triangleq$   $\wedge pc = \text{"Seek"}$   
 $\wedge \text{IF } Len(node.Prefix) < Len(search)$   
     THEN  $\wedge prefixCmp' = GoBytesCompare(node.Prefix, SubSeq(search, 1, Len(node.Prefix)))$   
     ELSE  $\wedge prefixCmp' = GoBytesCompare(node.Prefix, search)$   
 $\wedge \text{IF } prefixCmp' < 0$   
     THEN  $\wedge pc' = \text{"Result"}$   
     ELSE  $\wedge \text{IF } prefixCmp' > 0$   
         THEN  $\wedge pc' = \text{"RecurseMin"}$   
         ELSE  $\wedge pc' = \text{"Search"}$   
 $\wedge \text{UNCHANGED } \langle stack, input, key, root, node, search, result \rangle$

*Search*  $\triangleq$   $\wedge pc = \text{"Search"}$   
 $\wedge \text{IF } Len(node.Value) > 0$   
     THEN  $\wedge \text{IF } GoBytesCompare(node.Value, key) < 0$   
         THEN  $\wedge pc' = \text{"Result"}$   
         ELSE  $\wedge pc' = \text{"SearchMatch"}$   
     ELSE  $\wedge pc' = \text{"Consume"}$   
 $\wedge \text{UNCHANGED } \langle stack, input, key, root, node, search, result, prefixCmp \rangle$

*SearchMatch*  $\triangleq$   $\wedge pc = \text{"SearchMatch"}$

$$\begin{aligned}
& \wedge stack' = stack \circ \langle node \rangle \\
& \wedge pc' = \text{"Result"} \\
& \wedge \text{UNCHANGED } \langle input, key, root, node, search, result, \\
& \quad prefixCmp \rangle \\
Consume & \triangleq \wedge pc = \text{"Consume"} \\
& \wedge \text{IF } Len(node.Prefix) > Len(search) \\
& \quad \text{THEN } \wedge search' = \langle \rangle \\
& \quad \text{ELSE } \wedge search' = SubSeq(search, Len(node.Prefix) + 1, Len(search)) \\
& \wedge \text{LET } idx \triangleq GetLowerBoundEdgeIndex(node, search'[1]) \text{IN} \\
& \quad \text{LET } labels \triangleq SortedEdgeLabels(node) \text{IN} \\
& \quad \text{LET } edges \triangleq [n \in 1 \dots Len(labels) \mapsto node.Edges[labels[n]]] \text{IN} \\
& \quad \text{IF } idx = 0 \\
& \quad \quad \text{THEN } \wedge pc' = \text{"Result"} \\
& \quad \quad \quad \wedge \text{UNCHANGED } \langle stack, node \rangle \\
& \quad \quad \text{ELSE } \wedge \text{IF } idx + 1 \leq Len(edges) \\
& \quad \quad \quad \quad \text{THEN } \wedge stack' = stack \circ SubSeq(edges, idx + 1, Len(edges)) \\
& \quad \quad \quad \quad \text{ELSE } \wedge \text{TRUE} \\
& \quad \quad \quad \quad \quad \wedge stack' = stack \\
& \quad \quad \quad \quad \wedge node' = edges[idx] \\
& \quad \quad \quad \quad \wedge pc' = \text{"Seek"} \\
& \wedge \text{UNCHANGED } \langle input, key, root, result, prefixCmp \rangle \\
RecurseMin & \triangleq \wedge pc = \text{"RecurseMin"} \\
& \wedge \text{IF } Len(node.Value) = 0 \\
& \quad \text{THEN } \wedge \text{LET } labels \triangleq SortedEdgeLabels(node) \text{IN} \\
& \quad \quad \text{LET } edges \triangleq [n \in 1 \dots Len(labels) \mapsto node.Edges[labels[n]]] \text{IN} \\
& \quad \quad \text{IF } Len(edges) > 0 \\
& \quad \quad \quad \text{THEN } \wedge stack' = stack \circ SubSeq(edges, 2, Len(edges)) \\
& \quad \quad \quad \quad \wedge node' = edges[1] \\
& \quad \quad \quad \quad \wedge pc' = \text{"RecurseMin"} \\
& \quad \quad \quad \text{ELSE } \wedge pc' = \text{"Result"} \\
& \quad \quad \quad \quad \wedge \text{UNCHANGED } \langle stack, node \rangle \\
& \quad \text{ELSE } \wedge stack' = stack \circ \langle node \rangle \\
& \quad \quad \wedge pc' = \text{"Result"} \\
& \quad \quad \wedge node' = node \\
& \wedge \text{UNCHANGED } \langle input, key, root, search, result, prefixCmp \rangle \\
Result & \triangleq \wedge pc = \text{"Result"} \\
& \wedge result' = Iterate(stack) \\
& \wedge pc' = \text{"CheckResult"} \\
& \wedge \text{UNCHANGED } \langle stack, input, key, root, node, search, prefixCmp \rangle \\
CheckResult & \triangleq \wedge pc = \text{"CheckResult"} \\
& \wedge Assert(result = Expected(input, key), \\
& \quad \quad \quad \text{"Failure of assertion at line 185, column 3."})
\end{aligned}$$

$$\wedge pc' = \text{"Done"}$$

$$\wedge \text{UNCHANGED } \langle stack, input, key, root, node, search, result, \\ prefixCmp \rangle$$

Allow infinite stuttering to prevent deadlock on termination.

$$Terminating \triangleq pc = \text{"Done"} \wedge \text{UNCHANGED } vars$$

$$Next \triangleq \text{Begin} \vee \text{Seek} \vee \text{Search} \vee \text{SearchMatch} \vee \text{Consume} \vee \text{RecurseMin} \\ \vee \text{Result} \vee \text{CheckResult} \\ \vee \text{Terminating}$$

$$Spec \triangleq \text{Init} \wedge \Box [Next]_{vars}$$

$$Termination \triangleq \Diamond (pc = \text{"Done"})$$

END TRANSLATION

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\ \* Modification History  
\ \* Last modified *Thu Jul 01 22:21:38 PDT 2021* by *mitchellh*  
\ \* Created *Thu Jul 01 10:43:00 PDT 2021* by *mitchellh*