# **Individual Final Report**

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#### Introduction

I was working on building model at tensorflow for original 100 classes dataset. For our dataset from kaggle, has the training data and test data, which test data is in HDF5 file. I split data as 0.8:0.2, training dataset and validation dataset. Check how well the model work and then save the model test it in the kaggle testing dataset.

#### Individual work

#### **TensorFlow**

I used original data from kaggle and resize it to 128\*128, designed 4 layers. Test on 5 categories.

#### **Model Summary**

Tensorflow Framework

4 Convolution/MaxPooling layers & 2 Fully Connected Layer with dropout layer

Number of layers & size of kernels limited by input image size

Kernel size = 5 for first 2 convolution layers, 3 for the last 2 convolution layers, 2 for all pooling

layer

Numbers of kernels: ranging from 64 to 128 for each layer

Batch size: 64 Epochs: 30

Cross Entropy Loss Adam optimizer

# Network / Model Design for pytorch

There is no generalized solution for designing a CNN. The design depends on the purpose of our project, like input characteristics, accuracy, training time, adaptation, computing resources ... We are focused on getting the highest accuracy. To begin, we approach the problem in an empirical way: initialize the network parameters with a lot of different numbers and adjust them to see what improves accuracy.

#### Things in model that affect our accuracy:

Number of Lavers:

Number of layers	2 layers, kernel size 3	4 layers, kernel size 3	6 layers, kernel size 3
Accuracy	6%	~40%	60%

With a data size of [48000, 3, 128, 128] (48,000 images of 3 color channels of 128x128 pixels), more than 6 layers will give us the best accuracy. After the 6<sup>th</sup> Convolution/Max Pooling layer, we reach the final output size of 2x2, a significant reduction in size from the 128x128 input images. Achieving 60% accuracy on the test set is a big improvement, so we decided that 6 layers are necessary in our model.

Kernel Size: With a small kernel size, the kernel can capture the outline of the images better. Imagining we have a very big kernel size, when the kernel slide on the picture, we may miss some features. With an 128x128 input size, we decided to try kernel size 5x5 and 10x10 at the first four layers, 4x4 at 5<sup>th</sup> layer and 2x2 at 6<sup>th</sup> layer. The reason we use a much smaller kernel size at the last two layers is because size of the feature map is getting smaller by going through the MaxPooling layer (with a kernel size 2x2, stride=2, padding=1, the size of feature map after pooling is half of the input size from convolution layer). Kernel size 5 gets slightly higher accuracy than kernel size 10. We decided to use kernel size 10, which is able to see the pattern of feature map better than smaller kernel size.

The calculation of output size is a big part for designing a model by Pytorch. We are using MaxPooling layer with a kernel size 2x2, stride=2, padding=1, which can give us the half of the input size from convolution layer. We want our output size from each layer is 64x64, 32x32.... To make this happen, we need to make the output size from convolution layer close to the input size from each layer. Then we decided to use padding=4 and stride=1, so we keep almost the same size as the input size.

See the example calculations as below: Equations to calculate output size after convolution:

$$w = (w + 2*PAD-kernel size) / STRIDE +1$$
  
  $h = (h + 2*PAD-kernel size) / STRIDE +1$ 

- 1st Convolution layer(kernel size=10, padding=4, stride=1)
- 1<sup>st</sup> MaxPolling layer(kernel size=2, padding=1, stride=2)

Conv1: 
$$w=(128+2*4-10)/1+1=127$$
  $h=(128+2*4-10)/1+1=127$  Pool1:  $w=(127+1)/2=64$   $h=(127+1)/2=64$ 

We use the same kernel size, padding size and stride size at the first 4 layer.

So the output size for each layer:

$$128 \rightarrow 64 \rightarrow 32 \rightarrow 16 \rightarrow 8$$

From the 5<sup>th</sup> layer, with an input size 8x8, we cannot use kernel size larger than 8. We picked kernel size as 4, at the same time, padding should not be bigger than kernel size.

- 5<sup>th</sup> Convolution layer (kernel size=4, padding=2, stride=1)
- 5<sup>th</sup> MaxPooling layer (kernel size=2, padding=1, stride=2)

Conv5: 
$$w=(8+2*2-4)/1+1=9$$
  $h=(8+2*2-4)/1+1=9$ 

Pool5: w=(9+1)/2=5 h=(9+1)/2=5

6<sup>th</sup> Convolution layer(kernel size=2, padding=0, stride=1) 6<sup>th</sup> MaxPooling layer(kernel size=2, padding=0, stride=2)

Conv6: w=(5+2\*0-2)/1+1=4 h=(5+2\*0-2)/1+1=4 Pool6: w=(4+0)/2=2 h=(4+0)/2=2

#### Number of Kernels:

#### Dropout layer:

With the new dataset having around 4,000 images per class and 48,000 total images, it is difficult to have underfitting in neural network model. Overfitting happens when the model begins to track variance in the data, so we added dropout on the fully connected layer with 0.5 dropout ratio (drop half of the neurons) to prevent overfitting in our CNN model.

#### SoftMax function at last layer:

Our goal is to do images classification for 12 classes. For more than 2 classes classification, SoftMax function suits our model. The output of the model is a vector of length 12 producing values ranging from 0 to 1, representing the probability that the observation is of a certain class. The class with the highest such probability is the predicted output of the network.

#### Adam optimizer:

We used this training function because it showed better performance than SGD. SGD uses a static learning rate, while Adam is using dynamic learning rate. When the optimizer reaches the local minimum, the momentum will make it keeping going and find the global minimum. Adam will work better for a complicated neural network like CNN.

#### Epochs:

For the first trial, we pick an epoch number as 50, which cost us 5 hours to train the model. The training loss is very low from 20 epochs.

#### **Model Summary**

Pytorch Framework

6 Convolution/MaxPooling layers & 1 Fully Connected Layer with dropout layer

Number of layers & size of kernels limited by input image size

Kernel size = 10 for first 4 layers, 4 for 5th layer, 2 for last convolution layer

Numbers of kernels: ranging from 64 to 384 for each layer

Batch size: 40 Epochs: 25

Cross Entropy Loss Adam optimizer

#### **Python Script Draw CNN Diagram**

For understanding the CNN better, I decided to draw a CNN Diagram either windows visio or by hand to show the input size, output size, and the CNN part

# The portion I did on the project in detail

### Tensorflow script cnn2.py

```
from skimage import io, transform
import glob
import tensorflow as tf
import numpy as np
path = '/home/ubuntu/Deep-Learning/cnn/data/'
w = 128
h=128
def read_img(path):
    cate=[path+x for x in os.listdir(path) if os.path.isdir(path+x)]
    imgs=[]
    labels=[]
    for idx, folder in enumerate(cate):
        for im in glob.glob(folder+'/*.jpg'):
            # print('reading the images:%s'%(im))
            img=io.imread(im)
            img=transform.resize(img,(w,h))
            imgs.append(img)
            labels.append(idx)
    return np.asarray(imgs,np.float32),np.asarray(labels,np.int32)
data,label=read_img(path)
```

```
num example=data.shape[0]
arr=np.arange(num example)
np.random.shuffle(arr)
data=data[arr]
label=label[arr]
s=np.int(num example*ratio)
x train=data[:s]
y_train=label[:s]
x_val=data[s:]
y val=label[s:]
x=tf.placeholder(tf.float32,shape=[None,w,h,c],name='x')
y =tf.placeholder(tf.int32,shape=[None,],name='y ')
conv1=tf.layers.conv2d(
      inputs=x,
filters=32,
      kerne_size=[5, 5],
padding="same",
      activation=tf.nn.relu,
      kernel_initializer=tf.truncated_normal_initializer(stddev=0.01))
pool1=tf.layers.max_pooling2d(inputs=conv1, pool_size=[2, 2], strides=2)
conv2=tf.layers.conv2d(
      inputs=pool1,
      filters=64,
      kernel_size=[5, 5],
padding="same",
      activation=tf.nn.relu,
      kernel_initializer=tf.truncated_normal_initializer(stddev=0.01))
pool2=tf.layers.max pooling2d(inputs=conv2, pool size=[2, 2], strides=2)
conv3=tf.layers.conv2d(
      inputs=pool2,
      filters=128,
      activation=tf.nn.relu,
      kernel_initializer=tf.truncated_normal_initializer(stddev=0.01))
pool3=tf.layers.max_pooling2d(inputs=conv3, pool_size=[2, 2], strides=2)
conv4=tf.layers.conv2d(
      inputs=pool3,
      filters=128,
      kernel_initializer=tf.truncated_normal_initializer(stddev=0.01))
pool4=tf.layers.max pooling2d(inputs=conv4, pool size=[2, 2], strides=2)
```

```
conv5=tf.layers.conv2d(
      inputs=pool4,
      filters=128,
      activation=tf.nn.relu.
      kernel initializer=tf.truncated normal initializer(stddev=0.01))
pool5=tf.layers.max pooling2d(inputs=conv5, pool size=[2, 2], strides=2)
re1 = tf.reshape(pool5, [-1, 8 * 8 * 128])
# explaining for presentation
dense1 = tf.layers.dense(inputs=re1,
                      units =1024, # feature maps size*feature maps number 128*
                      activation= tf.nn.relu,
=tf.truncated_normal_initializer(stddev=0.01),
kernel_regularizer =tf.contrib.layers.l2_regularizer(0.003))
dense2= tf.layers.dense(inputs=dense1,
                      kernel_initializer=tf.truncated_normal_initializer(stddev=0.01),
                      kernel_regularizer=tf.contrib.layers.l2_regularizer(0.003))
logits= tf.layers.dense(inputs=dense2,
kernel_initializer=tf.truncated_normal_initializer(stddev=0.01),
                        kernel_regularizer=tf.contrib.layers.l2_regularizer(0.003))
loss=tf.losses.sparse_softmax_cross_entropy(labels=y_,logits=logits)
train_op=tf.train.AdamOptimizer(learning_rate=0.001).minimize(loss)
correct_prediction = tf.equal(tf.cast(tf.argmax(logits,1),tf.int32), y_)
acc= tf.reduce mean(tf.cast(correct prediction, tf.float32))
def minibatches(inputs=None, targets=None, batch_size=None, shuffle=False):
    assert len(inputs) == len(targets)
    if shuffle:
        indices = np.arange(len(inputs))
        np.random.shuffle(indices)
    for start idx in range(0, len(inputs) - batch size + 1, batch size):
        if shuffle:
            excerpt = indices[start_idx:start_idx + batch_size]
            excerpt = slice(start idx, start idx + batch size)
        yield inputs[excerpt], targets[excerpt]
# train and test
n_epoch=30
batch_size=64
sess=tf.InteractiveSession()
sess.run(tf.global_variables_initializer())
for epoch in range(n epoch):
```

```
start_time = time.time()
    train_loss, train_acc, n_batch = 0, 0, 0
    for x_train_a, y_train_a in minibatches(x_train, y_train, batch_size,
shuffle=True):
         _,err,ac=sess.run([train_op,loss,acc], feed_dict={x: x_train_a, y_:
y train a})
        train_loss += err; train_acc += ac; n_batch += 1
    print(" train loss: %f" % (train_loss/ n_batch))
print(" train acc: %f" % (train_acc/ n_batch))
    val_loss, val_acc, n_batch = 0, 0, 0
    for x_val_a, y_val_a in minibatches(x_val, y_val, batch_size, shuffle=False):
        err, ac = sess.run([loss,acc], feed_dict={x: x_val_a, y_: y_val_a})
        val_loss += err; val_acc += ac; n_batch += 1
             validation loss: %f" % (val_loss/ n_batch))
    print("
             validation acc: %f" % (val_acc/ n_batch))
sess.close()
```

#### DRAW.py

```
import os
import numpy as np
import matplotlib.pyplot as plt
plt.rcdefaults()
from matplotlib.lines import Line2D
from matplotlib.patches import Rectangle
from matplotlib.collections import PatchCollection
NumConvMax = 8
NumFcMax = 20
White = 1.
Light = 0.7
Medium = 0.5
Dark = 0.3
Black = 0.
def add_layer(patches, colors, size=24, num=13,
              top_left=[0, 0],
              loc_diff=[3, -3],
    top_left = np.array(top_left)
    loc_diff = np.array(loc_diff)
    loc start = top_left - np.array([0, size])
    for ind in range(num):
        patches.append(Rectangle(loc_start + ind * loc_diff, size, size))
        if ind % 2:
            colors.append(Medium)
            colors.append(Light)
def add mapping(patches, colors, start ratio, patch size, ind bgn,
                top_left_list, loc_diff_list, num_show_list, size_list):
    start loc = top left list[ind bgn] \
```

```
+ (num_show_list[ind_bgn] - 1) * np.array(loc_diff_list[ind_bgn]) \
        + np.array([start_ratio[0] * size_list[ind_bgn],
                     -start_ratio[1] * size_list[ind_bgn]])
    end_loc = top_left_list[ind_bgn + 1] \
        + (num show list[ind bgn + 1] - 1) \
        * np.array(loc diff list[ind bgn + 1]) \
        + np.array([(start_ratio[0] + .5 * patch_size / size_list[ind_bgn]) *
                     size list[ind bgn + 1],
                     -(start_ratio[1] - .5 * patch_size / size_list[ind_bgn]) *
                     size_list[ind_bgn + 1]])
    patches.append(Rectangle(start_loc, patch_size, patch_size))
    colors.append(Dark)
    patches.append(Line2D([start_loc[0], end_loc[0]],
                            [start loc[1], end loc[1]]))
    colors.append(Black)
    patches.append(Line2D([start_loc[0] + patch_size, end_loc[0]],
                            [start_loc[1], end_loc[1]]))
    colors.append(Black)
    patches.append(Line2D([start_loc[0], end_loc[0]],
                            [start_loc[1] + patch_size, end_loc[1]]))
    colors.append(Black)
    patches.append(Line2D([start_loc[0] + patch_size, end_loc[0]],
                            [start_loc[1] + patch_size, end_loc[1]]))
    colors.append(Black)
def label(xy, text, xy_off=[0, 4]):
    plt.text(xy[0] + xy_off[0], xy[1] + xy_off[1], text,
if __name__ == '__main__':
    fc_unit_size = 2
    layer_width = 100
    patches = []
    colors = []
    fig, ax = plt.subplots()
   size_list = [128, 127, 64, 63, 32, 31, 16, 15, 8, 9, 5, 4, 2]
num_list = [3, 64, 64, 128, 128, 256, 256, 384, 384, 256, 256, 128, 128]
x_diff_list = [0, layer_width, layer_width, layer_width, layer_width,
layer_width, layer_width, layer_width, layer_width, layer_width,
layer width]
    text_list = ['Inputs'] + ['Feature\nmaps'] * (len(size_list) - 1)
    loc_diff_list = [[3, -3]] * len(size_list)
    num_show_list = list(map(min, num_list, [NumConvMax] * len(num_list)))
    top_left_list = np.c_[np.cumsum(x_diff_list), np.zeros(len(x_diff_list))]
    for ind in range(len(size_list)):
        add_layer(patches, colors, size=size_list[ind],
                   num=num_show_list[ind],
                   top left=top left list[ind], loc diff=loc diff list[ind])
```

```
label(top_left_list[ind], text_list[ind] + '\n{}@{}x{}'.format(
            num_list[ind], size_list[ind], size_list[ind]))
    start_ratio_list = [[0.4, 0.5], [0.4, 0.8], [0.4, 0.5], [0.4, 0.8], [0.4, 0.5],
[0.4, 0.8], [0.\overline{4}, 0.5], [0.4, 0.8], [0.4, 0.5], [0.4, 0.8], [0.4, 0.8], [0.4, 0.8], patch_size_list = [10, 2, 10, 2, 10, 2, 4, 2, 2, 2]
    ind_bgn_list = range(len(patch_size_list))
text_list = ['Convolution', 'Max-pooling', 'Convolution', 'Max-pooling', 'Convolution', 'Max-pooling', 'Convolution', 'Max-pooling', 'Convolution', 'Max-pooling', 'Convolution', 'Max-pooling', 'Convolution', 'Max-
    for ind in range(len(patch_size_list)):
        add mapping(patches, colors, start ratio list[ind],
                     patch_size_list[ind], ind,
                     top_left_list, loc_diff_list, num_show_list, size_list)
        label(top_left_list[ind], text_list[ind] + '\n{}x{} kernel'.format(
            patch_size_list[ind], patch_size_list[ind]), xy_off=[26, -65])
    size_list = [fc_unit_size, fc_unit_size]
    num_list = [512, 12]
    num_show_list = list(map(min, num_list, [NumFcMax] * len(num_list)))
    x_diff_list = [sum(x_diff_list) + layer_width, layer_width, layer_width]
    top_left_list = np.c_[np.cumsum(x_diff_list), np.zeros(len(x_diff_list))]
    loc_diff_list = [[fc_unit_size, -fc_unit_size]] * len(top_left_list)
    text_list = ['Hidden\nunits'] * (len(size_list) - 1) + ['Outputs']
    for ind in range(len(size_list)):
        label(top_left_list[ind], text_list[ind] + '\n{}'.format(
            num list[ind]))
    text_list = ['Flatten\n', 'Fully\nconnected', 'Fully\nconnected']
    for ind in range(len(size_list)):
        label(top left list[ind], text list[ind], xy off=[-10, -65])
    colors += [0, 1]
    collection = PatchCollection(patches, cmap=plt.cm.gray)
    collection.set array(np.array(colors))
    ax.add collection(collection)
    plt.tight_layout()
plt.axis('equal')
plt.axis('off')
    plt.show()
    fig.set_size_inches(8, 2.5)
    fig_dir = './'
    fig_ext = '.png'
```

#### Result

With 5 classes, 4 layers I got 63% accuracy; with 25 classes, 4 layers I got 36% accuracy.

validation toss: 1.020814
validation acc: 0.618750
train loss: 0.182965
train acc: 0.939516
validation loss: 1.973666
validation acc: 0.608333
train loss: 0.185969
train acc: 0.936240
validation loss: 1.811569
validation acc: 0.634375
train loss: 0.117678
train acc: 0.962198
validation loss: 2.233531

# **Summary and Conclusion**

For classified 100 classes, 1000 images per class definitely not going to work. The input size also could be bigger like 500x500. Images Pre-Processing will be very important: for food image, the color, outline and texture will be important. To maintain the same shape of the food, I should padding before resize keep the shape same proportion. And also do random cropping and flipping can help to generate significantly more data. Also the cost of time and memory of GPU will be another issue.

Tools: SIFT (Scale-Invariant Feature Transform) / Open CV Approach: Outline of the food Color Descriptor; Extract effective information (take out the plate or table in the image)

CNN parameters: Kernel size (smaller like 5 or 3); Number of Kernels(from high to low); Batch Normalization

Post-Processing:

work on the lowest accuracy category (relabel or go) More than 1 class in one picture

# The percentage of the code I found from the internet

cnn2.py (151-138)/(151+20)=7.6%

DRAW.py (153-43)/153=71%

# Reference

Python Script for illustrating CNN <a href="https://github.com/yu4u/convnet-drawer/blob/master/convnet\_drawer.py">https://github.com/yu4u/convnet-drawer/blob/master/convnet\_drawer.py</a>