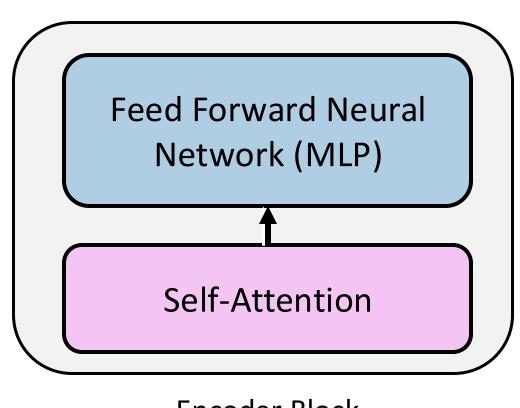


# Transformers

Rowel Atienza, Ph.D.
University of the Philippines
github.com/roatienza
2023

#### Transformer Encoder/Decoder Unit Details

Operations: Linear, Layer Norm, Activation, Tensor Multiply/Add, Softmax



**Encoder Block** 

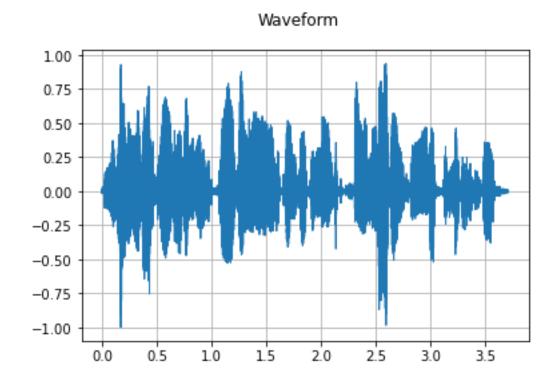
### Types of data that transformers can process



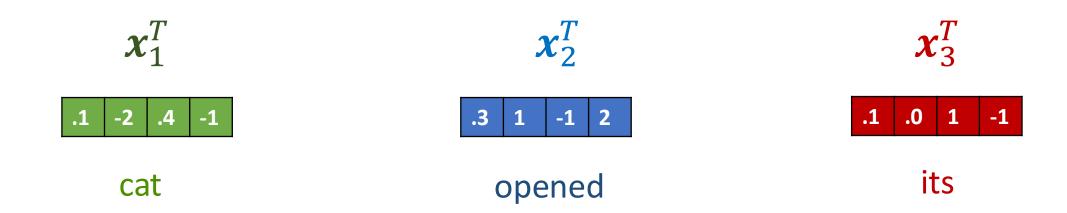
Any

COCO 2017 Keypoint Detection Task



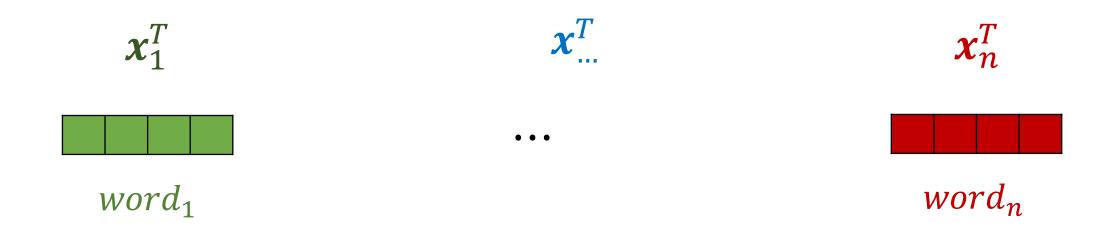


#### Input Embedding is an n - dim vector



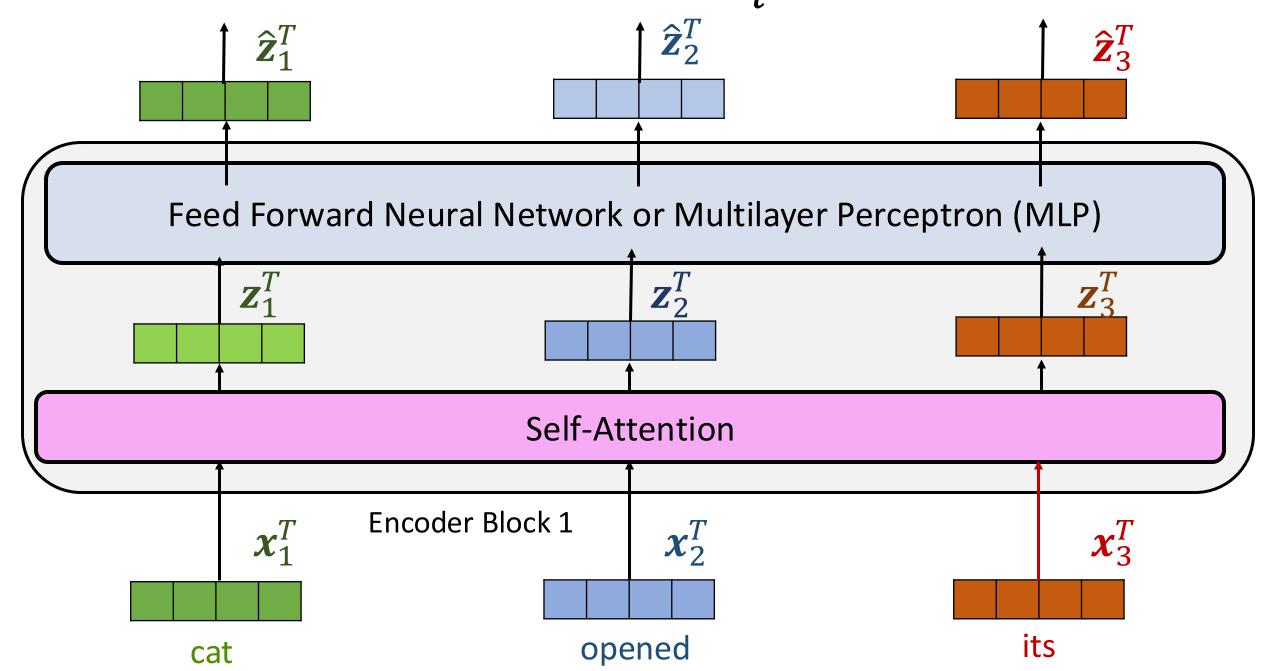
Example: Each word is converted into a 512-dim embedding vector. In the simple example above, it is 4-dim.

#### The Length of the Input is *n*

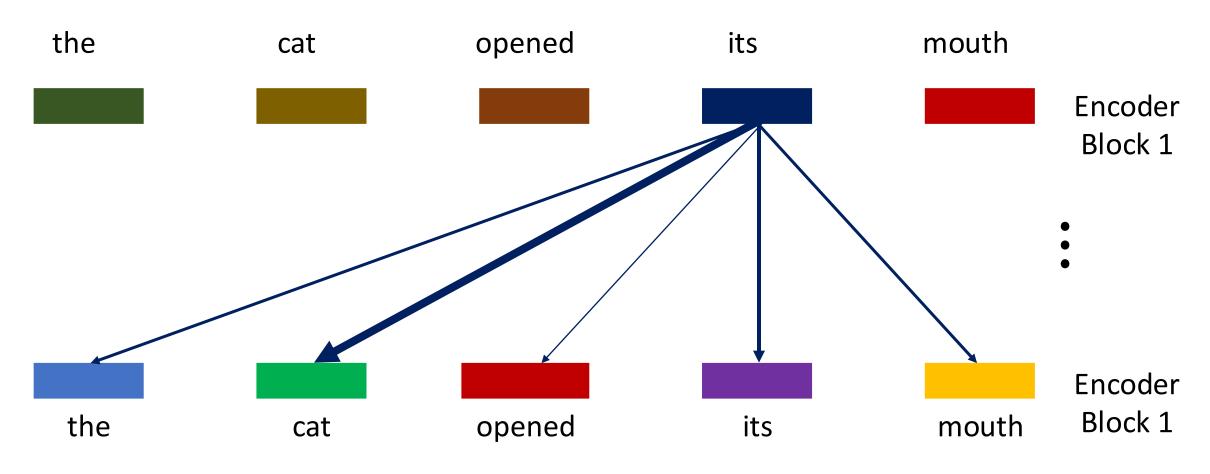


Example: n could be the maximum possible length of a sentence.

# Encoder with Latent Variables $oldsymbol{z}_i$



#### Attention between 2 words



Attention as measured by the width of the arrow

Query Key *Value* 

$$Attention(Q, K, V) = softmax \left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

$$d_k \text{ is keys/queries dim (e.g. 4)}$$

$$Attention = softmax \left( \frac{1}{\sqrt{d_k}} \right)$$

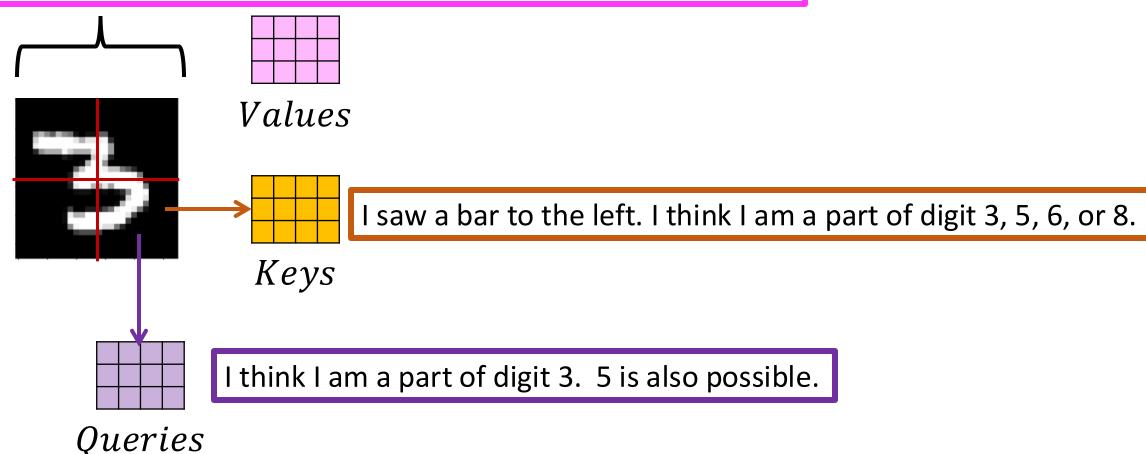
$$\left(\frac{1}{\sqrt{d_k}}\right)$$

$$Attention(Q, K, V) = Z = \Box$$

Values With all things considered, this is where the attention should be What I think the features should be Queries What I think the features should be Attention = softmaxAttention(Q, K, V) = Z =

# Consider an Attention Layer Examining a Digit

I can see everything that you can see. You are a part of digit 3.



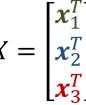
Example: Let us focus on the lower-right patch only

#### Self-Attention

#### **Embedding**







Encoder 1 Inputs

# cat

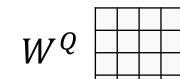
$$\boldsymbol{x}_1^T$$



$$\mathbf{x}_{2}^{T}$$



$$\boldsymbol{x}_3^T$$



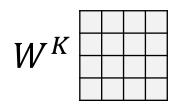
$$\boldsymbol{q}_1^T = \boldsymbol{x}_1^T W^Q$$

$$\boldsymbol{q}_2^T = \boldsymbol{x}_2^T W^Q$$

$$\boldsymbol{q}_3^T = \boldsymbol{x}_3^T W^Q$$

$$Q = XW^Q$$

Queries



Attention Layer 1 Learnable Parameters

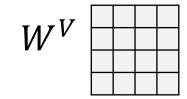


$$\boldsymbol{k}_1^T = \boldsymbol{x}_1^T W^K$$

$$\boldsymbol{k}_2^T = \boldsymbol{x}_2^T W^K$$

$$\boldsymbol{k}_3^T = \boldsymbol{x}_3^T W^K$$

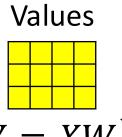
$$K = XW^K$$



$$\boldsymbol{v}_1^T = \boldsymbol{x}_1^T W^V$$

$$\boldsymbol{v}_2^T = \boldsymbol{x}_2^T W^V$$

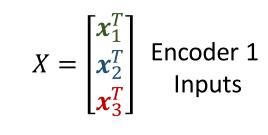
$$\boldsymbol{v}_3^T = \boldsymbol{x_3^T} W^V$$

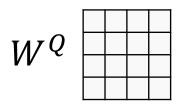


$$\mathbf{x}_1^T$$



its 
$$\boldsymbol{x}$$





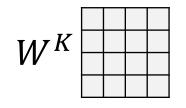
$$\mathbf{q}_1^T = \mathbf{x}_1^T W^Q$$

$$\boldsymbol{q}_2^T = \boldsymbol{x}_2^T W^Q$$

$$\boldsymbol{q}_3^T = \boldsymbol{x}_3^T W^Q$$



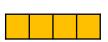
 $Q = XW^Q$ 



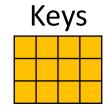
$$\boldsymbol{k}_1^T = \boldsymbol{x}_1^T W^K$$



$$\boldsymbol{k}_2^T = \boldsymbol{x}_2^T W^K$$



$$\boldsymbol{k}_3^T = \boldsymbol{x}_3^T W^K$$



$$K = XW^K$$



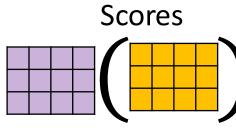
$$\begin{bmatrix} s_{11} = \boldsymbol{q}_1^T \boldsymbol{k}_1 \\ s_{12} = \boldsymbol{q}_1^T \boldsymbol{k}_2 \\ s_{13} = \boldsymbol{q}_1^T \boldsymbol{k}_3 \end{bmatrix}^T$$

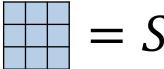


$$\begin{bmatrix} s_{21} = \boldsymbol{q}_2^T \boldsymbol{k}_1 \\ s_{22} = \boldsymbol{q}_2^T \boldsymbol{k}_2 \\ s_{23} = \boldsymbol{q}_2^T \boldsymbol{k}_3 \end{bmatrix}^T$$



$$\begin{bmatrix} s_{31} = \boldsymbol{q}_3^T \boldsymbol{k}_1 \\ s_{32} = \boldsymbol{q}_3^T \boldsymbol{k}_2 \\ s_{33} = \boldsymbol{q}_3^T \boldsymbol{k}_3 \end{bmatrix}^T$$

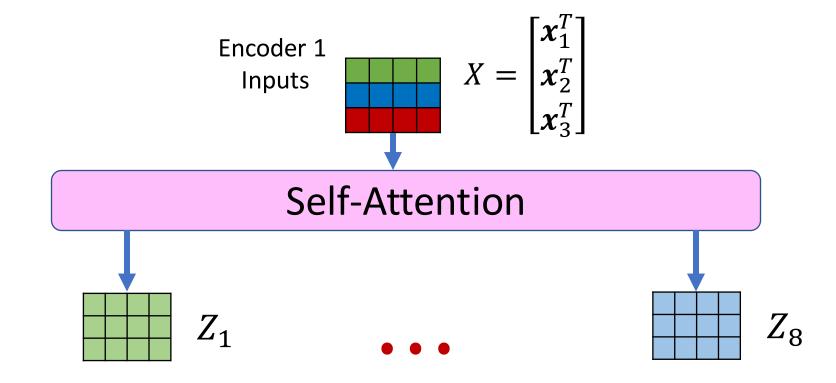


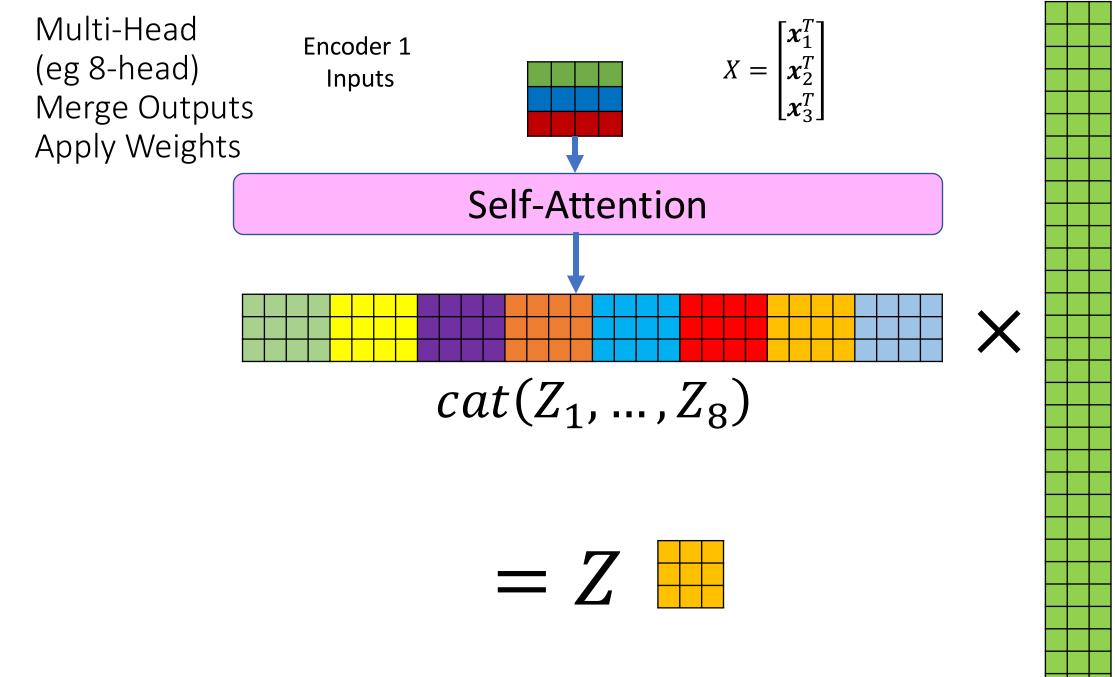


 $X_3^T X = \begin{bmatrix} x_1^T \\ x_2^T \\ x_3^T \end{bmatrix} Encoder 1$ Queries

Queries Multi-Head opened  $_{\boldsymbol{r}}$ its cat (eg 8-head) Queries  $Q_1 = XW_1^Q$  $Q_8 = XW_{\mathfrak{D}}^Q$  $W_1^Q$  $W_8^Q$ Keys Keys  $K_1 = XW_1^K$  $K_8 = XW_8^K$  $W_1^K$  $W_8^K$ **Values Values**  $V_1 = XW_1^V$  $V_8 = XW_8^V$  $W_1^V$  $W_8^V$ Head 1:  $Z_1$ Head 8:  $Z_8$ 

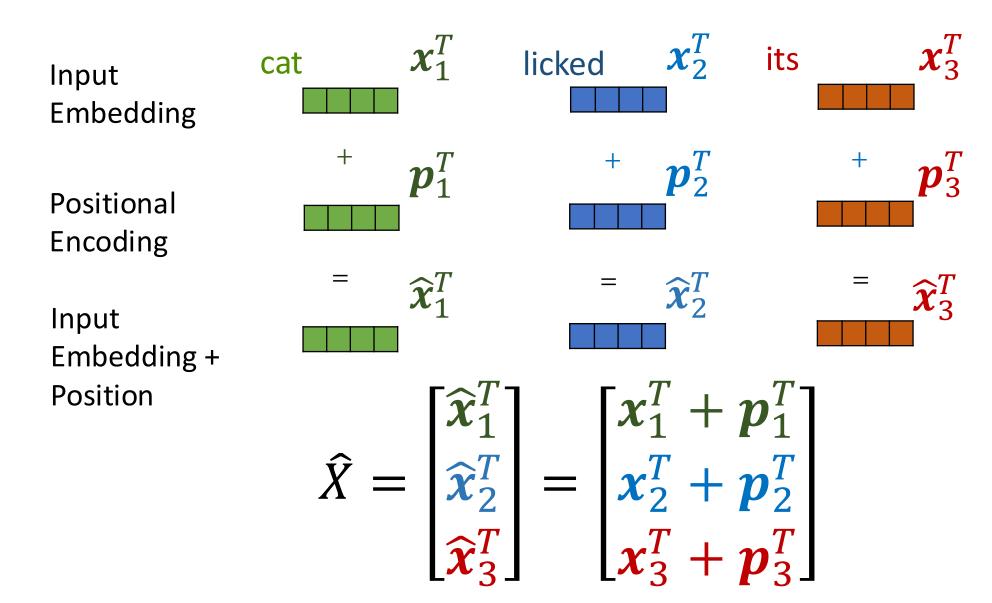
Multi-Head (eg 8-head)







## Adding Position Info to Inputs



## Positional Encoding

$$PE_{(pos,2i)} = \sin\left(\frac{pos}{10000^{\frac{2i}{d_k}}}\right) \quad dim = 2i \text{ is even}$$

$$PE_{(pos,2i+1)} = \cos\left(\frac{pos}{10000^{\frac{2i}{d_k}}}\right) \quad dim = 2i+1 \text{ is odd}$$

$$pos = 0,1, ... n_{pos-1}$$

$$dim = 0,1, ... n_{dim-1}$$

n = 10000,  $d_k$  is embedding dim

Other positional encoding methods: learnable

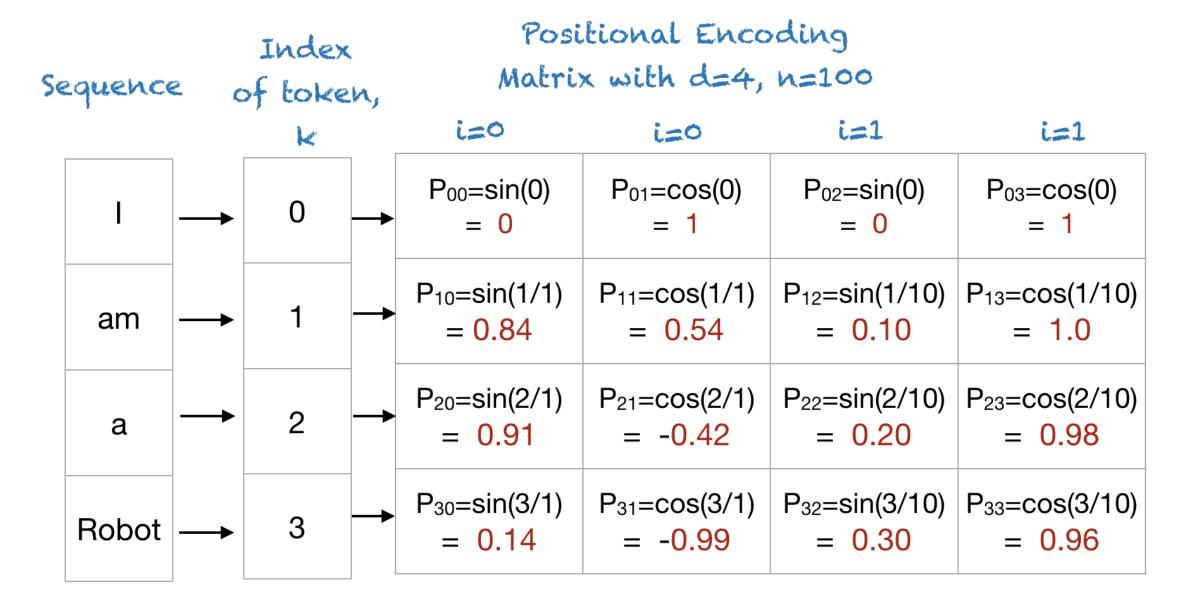
# Positional Encoding

$$PE_{(pos,2i)} = \sin\left(\frac{pos}{10000^{\frac{2i}{d_k}}}\right) \quad dim = 2i \text{ is even}$$

$$d_k = 4$$

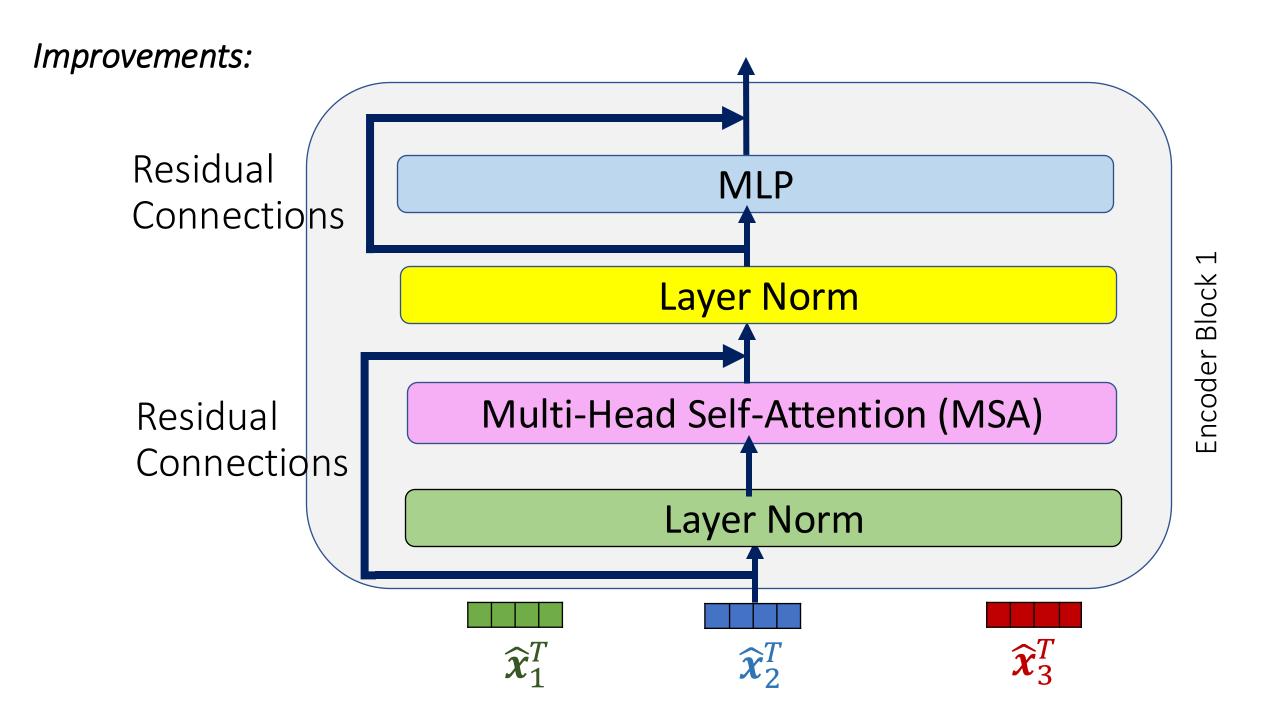
$$PE_{(pos,2i+1)} = \cos\left(\frac{pos}{10000^{\frac{2i}{d_k}}}\right) \quad dim = 2i + 1 \text{ is odd}$$

	i	0	0	1	1
	pos				
cat	0	$\sin\left(\frac{0}{10000^{\frac{2(0)}{d_k}}}\right)$	$\cos\left(\frac{0}{10000^{\frac{2(0)}{d_k}}}\right)$	$\sin\left(\frac{0}{10000^{\frac{2(1)}{d_k}}}\right)$	$\cos\left(\frac{0}{10000^{\frac{2(1)}{d_k}}}\right)$
opened	1	$\sin\left(\frac{1}{10000^{\frac{2(0)}{d_k}}}\right)$	$\cos\left(\frac{1}{10000^{\frac{2(0)}{d_k}}}\right)$	$\sin\left(\frac{1}{10000^{\frac{2(1)}{d_k}}}\right)$	$\cos\left(\frac{1}{10000^{\frac{2(1)}{d_k}}}\right)$
its	2	$\sin\left(\frac{2}{10000^{\frac{2(0)}{d_k}}}\right)$	$\cos\left(\frac{2}{10000^{\frac{2(0)}{d_k}}}\right)$	$\sin\left(\frac{2}{10000^{\frac{2(1)}{d_k}}}\right)$	$\cos\left(\frac{2}{10000^{\frac{2(1)}{d_k}}}\right)$



#### Positional Encoding Matrix for the sequence 'I am a robot'

https://machinelearningmastery.com/a-gentle-introduction-to-positional-encoding-in-transformer-models-part-1/



#### Layer Normalization vs Batch Normalization

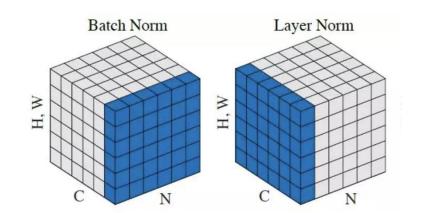
$$\mu = \frac{1}{d} \sum_{i}^{d} x_{i}$$

$$\sigma^2 = \frac{1}{d} \sum_{i}^{d} (x_i - \mu)^2$$

$$\hat{x}_i = \frac{x_i - \mu}{\sqrt{\sigma^2 + \epsilon}}$$

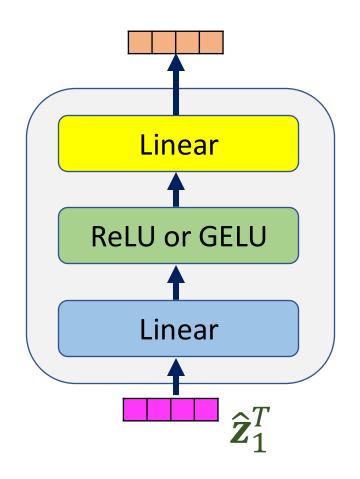
- Batch Normalization -d is across the entire batch
- ullet Layer Normalization d is across the layer

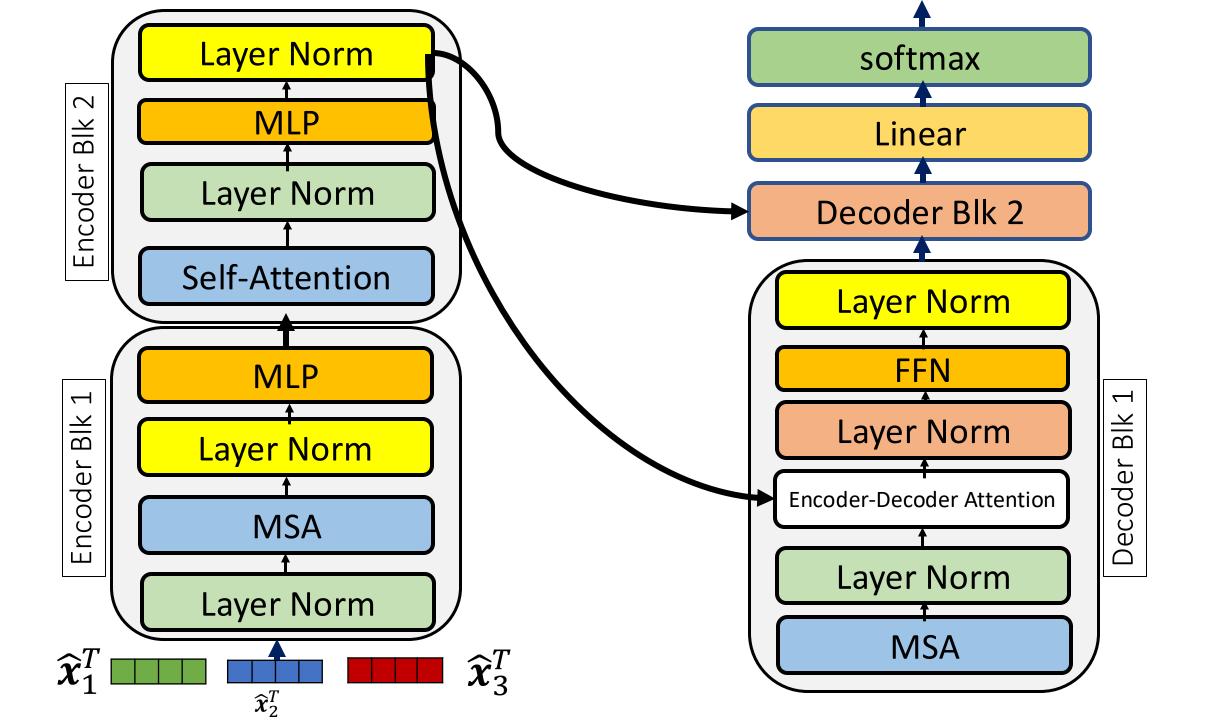
# $\widehat{\mathcal{X}}_i$ is the normalized feature

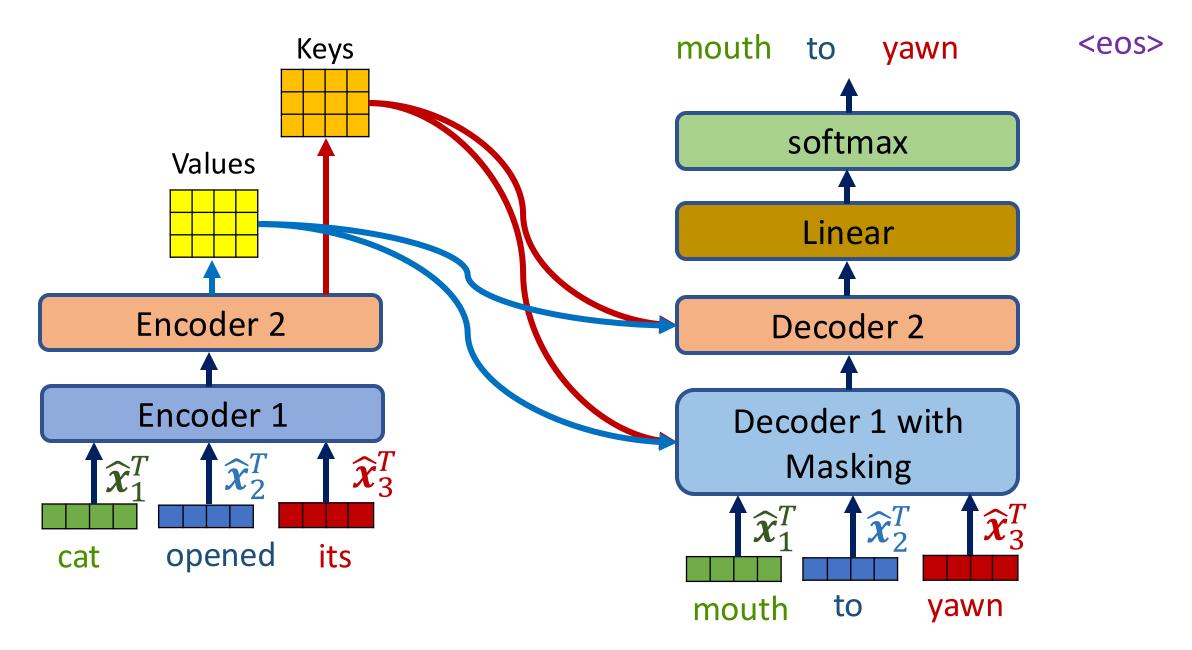


#### FFN: Feed Forward Neural Network (MLP)

$$MLP(x) = \max(0, xW_1 + b_1)W_2 + b_2$$







Masking prevents Decoder 1 from seeing the future. Decoder 1 relies only on the previous outputs.

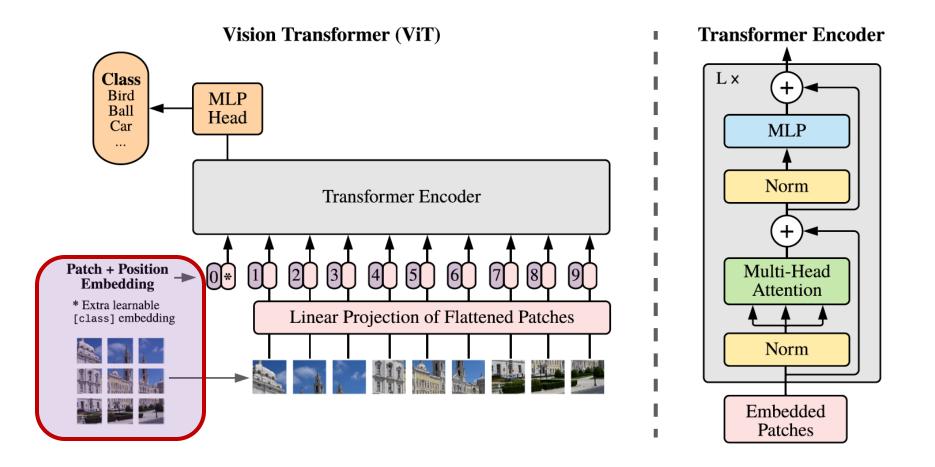


Figure 1: Model overview. We split an image into fixed-size patches, linearly embed each of them, add position embeddings to the resulting sequence of vectors, and feed the patches to a standard Transformer encoder. In order to perform classification, we use the standard approach of adding an extra learnable "classification token" to the sequence. The illustration of the Transformer encoder was inspired by Vaswani et al. (2017).

AN IMAGE IS WORTH 16X16 WORDS: TRANSFORMERS FOR IMAGE RECOGNITION AT SCALE, ICLR 2021

#### Inductive Bias

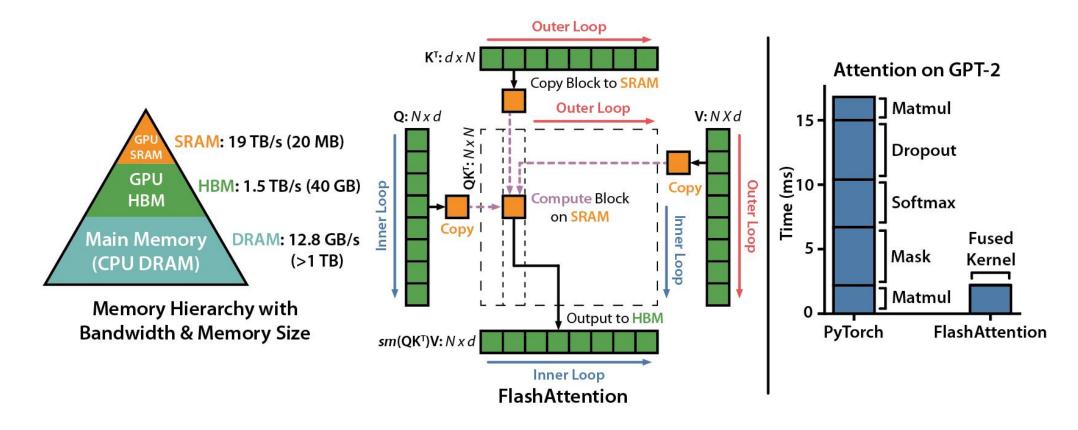
Transformers lack some inductive biases inherent to CNNs, such as translation equivariance and scale invariance (w/ maxpool), and therefore do not generalize well when trained on insufficient amounts of data.

However, the picture changes if we train the models on large datasets (14M-300M images). We find that large scale training trumps inductive bias.

#### Improvements on Transformers

- Flash Attention
- Rotary Positional Embedding

#### Flash Attention



Dao, Tri, et al. "Flashattention: Fast and memory-efficient exact attention with io-awareness NeuRIPS 2022 Dao, Tri. "Flashattention-2: Faster attention with better parallelism and work partitioning ICLR 2023

### Rotary Position Encoding

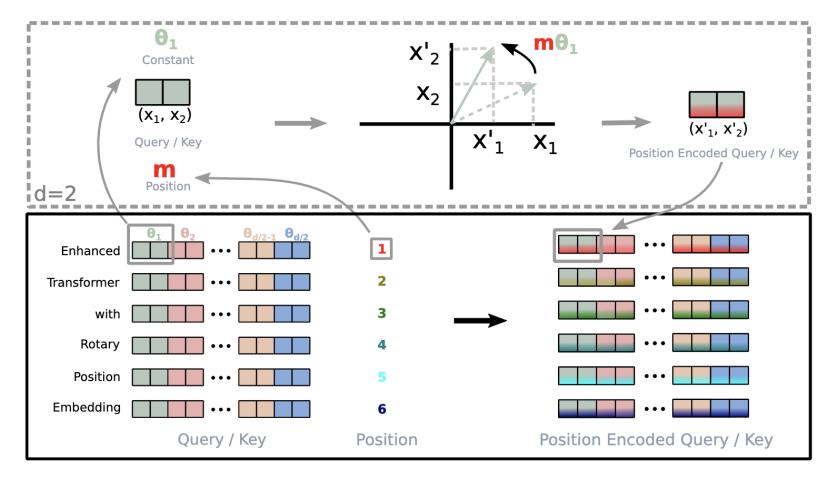


Figure 1: Implementation of Rotary Position Embedding(RoPE).

Su, Jianlin, et al. "Roformer: Enhanced transformer with rotary position embedding." Neurocomputing 568 (2024): 127063.

#### References

Vaswani, Ashish, et al. "Attention is all you need." *Advances in neural information processing systems*. 2017.

Dosovitskiy, Alexey, et al. "An image is worth 16x16 words: Transformers for image recognition at scale." *arXiv preprint arXiv:2010.11929* (2020). ICLR 2021.

Illustrated Transformer, <a href="http://jalammar.github.io/illustrated-transformer/">http://jalammar.github.io/illustrated-transformer/</a>

Transformers from Scratch, <a href="http://peterbloem.nl/blog/transformers">http://peterbloem.nl/blog/transformers</a>

Transformer Family, <a href="https://lilianweng.github.io/lil-log/2020/04/07/the-transformer-family.html">https://lilianweng.github.io/lil-log/2020/04/07/the-transformer-family.html</a>

#### In Summary

Transformers could be the most important breakthrough in the recent history of deep learning

Transformers have been used to produce state-of-the-art performances in language, vision, audio, and multi-modal domains

Expect more development in this field in the near future

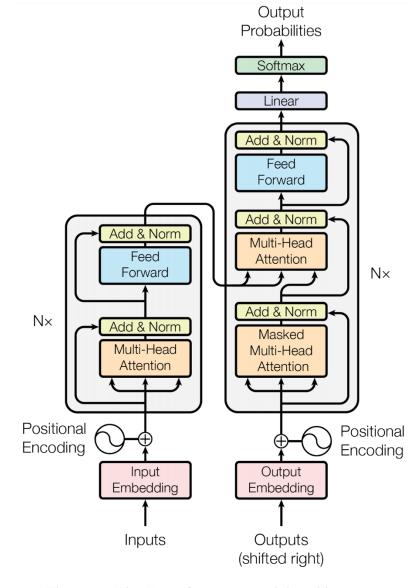


Figure 1: The Transformer - model architecture.

Vaswani, Ashish, et al. "Attention is all you need." *Advances in neural information processing systems*. 2017.

# Code demo is next