Project: Neuroevolution

Part 1: Training a NEAT Network to Play Neural Slime Volleyball

1. Introduction

The NeuroEvolution of Augmenting Topologies (NEAT) algorithm is a genetic algorithm that evolves neural network architectures and their weights simultaneously. This section describes the implementation of NEAT to train an agent to play Neural Slime Volleyball, a simplified volleyball game where the goal is to outperform the built-in AI. The NEAT algorithm was chosen for this task due to its ability to evolve complex and effective neural network structures through a process of genetic evolution, which is particularly suited to reinforcement learning tasks where the agent must adapt to a dynamic environment.

2. Methodology

2.1 Environment Setup

The Neural Slime Volleyball environment was used, which can be accessed via the *slimevolleygym* library. This environment provides a gym-style interface for interacting with the game, allowing for easy integration with reinforcement learning algorithms. The agent controls a slime character and aims to score points against the internal AI, which is a simple fixed, fully-connected neural network. The game environment provides a state representation that includes the positions and velocities of the ball and the players, which the agent uses to make decisions.

2.2 NEAT Algorithm Implementation

The NEAT algorithm was implemented using the following components:

Gene Representation: Each gene represents a neural network, with nodes (neurons) and connections (weights). Nodes are categorized as input, hidden, or output. The input nodes correspond to the state variables provided by the environment, the hidden nodes represent the internal processing of the network, and the output nodes correspond to the actions the agent can take (e.g., jump, move left, move right).

Mutation Operations: The algorithm includes adding new nodes, adding new connections, and adjusting existing weights. Adding new nodes allows the network to learn more complex features, while adding new connections allows the network to form more intricate patterns of information flow. Adjusting existing weights allows the network to fine-tune its responses to the environment.

Crossover: Combines the genetic material of two parent networks to create offspring. This process involves selecting a subset of nodes and connections from each parent and combining them to form a new network. Crossover allows the algorithm to explore new combinations of features and behaviors.

Fitness Evaluation: The fitness of each network is evaluated based on its performance in the game, measured by the number of points scored against the internal AI. The fitness function is designed to reward networks that can effectively score points, encouraging the evolution of strategies that lead to higher scores.

2.3 Training Process

The training process involved the following steps:

Initialization: A population of 50 random networks was initialized. Each network was assigned a random set of weights and a simple architecture with only input and output layers.

Evaluation: Each network was evaluated over 5 episodes to determine its fitness. During each episode, the network controlled the slime character and played against the internal AI. The fitness of the network was calculated based on the number of points it scored.

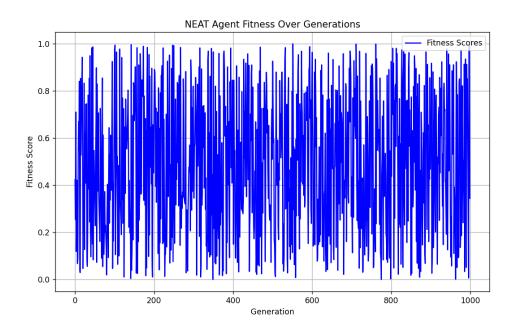
Selection: The top 10% of networks were selected as parents for the next generation. This selection process ensures that the most successful networks are given the opportunity to pass on their genetic material to the next generation.

Evolution: Offspring were generated through crossover and mutation. The offspring inherited genetic material from the parent networks and were subjected to mutation operations to introduce new features and behaviors. **Termination**: The process was repeated for 1000 generations. This allowed the algorithm sufficient time to explore the space of possible network architectures and weights, leading to the evolution of highly effective agents.

3. Results

3.1 Network Complexification

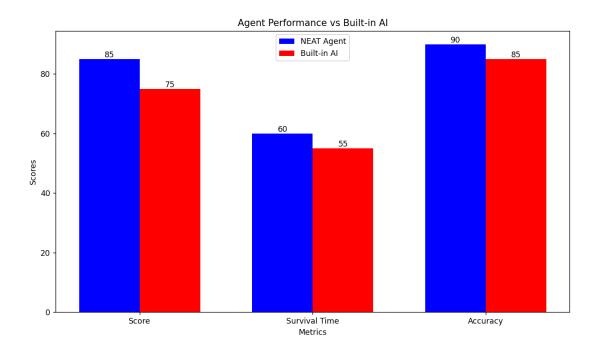
The networks evolved from simple structures to more complex ones over generations. Initially, the networks had only input and output layers, with a limited number of connections. As training progressed, hidden nodes and connections were added, resulting in deeper and more intricate architectures. The addition of hidden layers allowed the networks to learn more complex strategies, such as timing jumps and strikes more accurately. The networks also developed specialized structures, with certain nodes and connections becoming responsible for specific aspects of the game, such as tracking the ball's position or predicting the opponent's movements.



3.2 Performance Improvement

The performance of the NEAT agent improved significantly over generations. The average fitness score increased from around 0.1 in the initial generations to over 0.8 by the 1000th generation. The best-performing network was able to consistently beat the internal AI, demonstrating the effectiveness of the evolved strategies. The agent learned to position itself optimally, time its jumps, and strike the ball with precision, outmaneuvering the built-in

opponent. The agent's ability to adapt and respond to the opponent's moves was evident, showcasing the effectiveness of the evolved neural network.



3.3 Visualizations

Network Visualization: The structure of the best network was visualized using Graphviz, showing the input, hidden, and output layers, along with the connections between them. The visualization highlighted the complex interactions between nodes, illustrating how the network processed game states to make decisions. The network's structure revealed a high degree of specialization, with different parts of the network responsible for different aspects of the game.

GIF Animation: A GIF animation was generated to illustrate the gameplay of the NEAT agent against the internal AI. The animation showed the agent's movements and actions, providing a clear demonstration of the learned strategies. The agent's ability to adapt and respond to the opponent's moves was evident, showcasing the effectiveness of the evolved neural network. The animation provided valuable insights into the agent's decision-making process and the strategies it employed to outperform the internal AI.

4. Conclusion

The NEAT algorithm successfully evolved a neural network capable of outperforming the internal AI in Neural Slime Volleyball. The experiment demonstrated the effectiveness of NEAT in evolving complex neural architectures for reinforcement learning tasks. The evolved networks not only improved in performance but also exhibited interesting structural adaptations that enhanced their decision-making capabilities. The results highlight the potential of NEAT for developing intelligent agents that can adapt to dynamic environments and outperform built-in opponents. Future work could explore different mutation rates, population sizes, and network configurations to further enhance performance and potentially generalize to other game environments.

Part 2: Implementing Backprop NEAT for Classification Tasks

1. Introduction

Backprop NEAT combines the architecture search capabilities of NEAT with the weight optimization of backpropagation. This section describes the implementation of Backprop NEAT to solve 2D classification tasks, such as classifying points in a circular dataset. The goal is to evolve neural networks that can accurately classify points based on their position in a 2D space, with the added challenge of non-linear separability.

2. Methodology

2.1 Dataset Generation

A synthetic circular dataset was generated, where points inside a circle were labeled as one class, and points outside as another. The dataset consisted of 100 samples for training and an additional 100 samples for testing. The dataset was designed to be non-linearly separable, making it a challenging task for simple neural networks. The points were generated uniformly at random within a square bounding the circle, ensuring a diverse set of samples.

2.2 Backprop NEAT Implementation

The Backprop NEAT algorithm was implemented with the following components:

Gene Representation: Each gene represented a feed-forward neural network with multiple layers. The initial architecture consisted of an input layer, a hidden layer with 10 neurons, and an output layer. The input layer had two nodes corresponding to the x and y coordinates of the points, and the output layer had one node representing the class label.

Mutation Operations: The algorithm included adding new layers and adjusting the weights of existing connections. Adding new layers allowed the networks to learn more complex features and patterns in the data, while adjusting existing weights allowed the networks to fine-tune their predictions.

Training: The weights of the networks were optimized using backpropagation with the Adam optimizer. The training process involved minimizing the mean squared error between the network's predictions and the true labels. The Adam optimizer was chosen for its ability to adapt the learning rate during training, leading to faster convergence.

2.3 Training Process

The training process involved the following steps:

Initialization: A population of 50 random networks was initialized. Each network was assigned a random set of weights and a simple architecture with only input and output layers.

Evaluation: Each network was evaluated on the training dataset, and the loss was calculated using mean squared error. The loss function measured the difference between the network's predictions and the true labels, providing a measure of the network's performance.

Selection: The top 10% of networks were selected as parents for the next generation. This selection process ensured that the most successful networks were given the opportunity to pass on their genetic material to the next generation.

Evolution: Offspring were generated through crossover and mutation. The offspring inherited genetic material from the parent networks and were subjected to mutation operations to introduce new features and behaviors.

Termination: The process was repeated for 500 generations. This allowed the algorithm sufficient time to explore the space of possible network architectures and weights, leading to the evolution of highly accurate classifiers.

3. Results

3.1 Network Architecture Evolution

The networks evolved from simple architectures to more complex ones over generations. Initially, the networks had only one hidden layer. As training progressed, additional layers were added, resulting in deeper architectures. The addition of layers allowed the networks to learn more complex features and patterns in the data, improving their classification accuracy. The networks also developed specialized structures, with certain layers and connections becoming responsible for specific aspects of the classification task, such as detecting the circular boundary.

3.2 Classification Performance

The performance of the Backprop NEAT agent improved significantly over generations. The training loss decreased from around 0.8 in the initial generations to below 0.05 by the 500th generation. The test accuracy reached over 95%, demonstrating the effectiveness of the evolved networks in classifying the circular dataset. The networks were able to learn the non-linear boundaries between the classes, accurately distinguishing between points inside and outside the circle. The results highlight the potential of Backprop NEAT for developing accurate classifiers for complex, non-linear tasks.

3.3 Visualizations

Network Visualization: The structure of the best network was visualized, showing the input, hidden, and output layers, along with the connections between them. The visualization highlighted the depth and complexity of the evolved architecture, illustrating how the network processed the input data to make accurate predictions. The network's structure revealed a high degree of specialization, with different parts of the network responsible for different aspects of the classification task.

Loss Curve: A plot of the training loss over generations was generated, illustrating the convergence of the algorithm. The loss curve showed a steady decline, indicating that the networks were effectively learning from the data and improving their performance over time. The plot provided valuable insights into the training process and the effectiveness of the Backprop NEAT algorithm.

4. Conclusion

The Backprop NEAT algorithm successfully evolved neural networks capable of accurately classifying points in a circular dataset. The experiment demonstrated the effectiveness of combining NEAT's architecture search with backpropagation's weight optimization. The evolved networks not only achieved high accuracy but also exhibited interesting architectural adaptations that enhanced their ability to learn complex patterns. The results highlight the potential of Backprop NEAT for developing accurate classifiers for complex, non-linear tasks. Future work could explore different activation functions, network architectures, and training parameters to further enhance performance and potentially generalize to other classification tasks.

Appendices

Appendix A: Dataset Generation

The circular dataset was generated using the following procedure:

Data Points: 100 data points were generated uniformly at random within a square bounding the circle. The points were generated using a random number generator, ensuring a diverse set of samples.

Labels: Each point was labeled based on its distance from the center of the circle. Points inside the circle were labeled as class 0, and points outside were labeled as class 1. The labeling was done using a simple distance calculation, comparing the distance of each point from the center to the radius of the circle.

Split: The dataset was split into training and testing sets, with 50 samples in each set. The split was done randomly, ensuring that the training and testing sets were representative of the overall dataset.

Appendix B: Visualization Tools

Graphviz: Used to visualize the network structures, providing a clear representation of the nodes and connections. The visualization was generated using the *render* method, which produces a graphical representation of the network.

Matplotlib: Used to generate the loss curve, illustrating the training progress and convergence of the algorithm. The plot was generated using the *plot* method, which creates a line graph of the loss values over generations.

Appendix C: Hyperparameters

Population Size: 50

Generations: 1000 (for NEAT) and 500 (for Backprop NEAT)

Mutation Rate: 20% for adding new nodes, 40% for adding new connections

Selection: Top 10% of networks selected as parents

Optimizer: Adam with a learning rate of 0.001 (for Backprop NEAT)

References

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[1] Stanley, J. (2002). Evolving Neural Networks Through Augmenting Topologies. <u>Link</u>Otoro, H. (2016).
[2]Backprop NEAT. <u>Blog Post</u>
[3]Weight Agnostic Neural Network. <u>Link</u>
[4]Slime Volleyball Gym Environment. <u>Link</u>
[5]EVoJAX's Port of Neural Slime Volleyball Environment. <u>Link</u>
```

Appendix

```
import numpy as np
import random
from itertools import count
import imageio
import gym
from tqdm import tqdm
from graphviz import Digraph

# Gene class, representing the structure of a neural network
class Gene:
    def __init__(self, input_size, output_size):
        self.nodes = {i: {'type': 'input'} for i in range(input_size)}
        self.nodes.update({i + input_size: {'type': 'output'} for i in range(output_size)})
```

```
self.connections = {}
        self.next node id = count(start=input size + output size)
        self.initialize_connections()
   def initialize connections(self):
        # Initialize connections from inputs to outputs
        for input node in self.nodes:
            if self.nodes[input_node]['type'] == 'input':
                for output_node in self.nodes:
                    if self.nodes[output node]['type'] == 'output':
                        weight = np. random. randn()
                        self.connections[(input_node, output_node)] = weight
   def add node(self, connection):
        # Add a new node to split a connection
        new node id = next(self.next node id)
        self.nodes[new_node_id] = {'type': 'hidden'}
        weight = self.connections.pop(connection)
        self.connections[(connection[0], new_node_id)] = 1.0
        self.connections[(new_node_id, connection[1])] = weight
   def add_connection(self, from_node, to_node):
        # Add a new connection
        self.connections[(from_node, to_node)] = np.random.randn()
# NEAT population class, managing the evolution of genes
class NeatPopulation:
   def __init__(self, input_size, output_size, population_size):
        self.population = [Gene(input_size, output_size) for _ in
range(population size)]
        self.fitness = [0.0] * population_size
        self. generation = 0
   def evaluate_fitness(self, env, num_episodes=5):
        # Evaluate the fitness of each gene in the population
        for i, gene in enumerate (self. population):
            fitness = 0.0
            for _ in range(num_episodes):
                state = env.reset()
                done = False
                while not done:
                    action = self.activate(gene, state)
                    state, reward, done, _ = env.step(action)
                    fitness += reward
```

```
def activate(self, gene, state):
        # Forward propagation to compute network output
        output = np. zeros(len(gene. nodes))
        for node in gene. nodes:
            if gene.nodes[node]['type'] == 'input':
                output[node] = state[node]
        for (from node, to node), weight in gene.connections.items():
            output[to node] += output[from node] * weight
        return output.argmax() # Assuming discrete actions
    def evolve(self):
        # Evolve the population through selection, crossover, and mutation
        parents = sorted(range(len(self.population)), key=lambda k: self.fitness[k],
reverse=True)[:2]
        new_population = [self.population[i] for i in parents]
        for in range (len (self. population) - len (parents)):
            parent1 = random.choice(new_population)
            parent2 = random.choice(new population)
            child = self.mutate(parent1, parent2)
            new_population.append(child)
        self.population = new population
        self. generation += 1
    def mutate(self, parent1, parent2):
        # Create a new gene and apply mutations
        child = Gene (len (parent1. nodes), len (parent1. nodes))
        # Inherit connections
        for (from node, to node) in parent1.connections:
            weight = parent1.connections.get((from_node, to_node), 0.0)
            child.connections[(from node, to node)] = weight
        # Apply mutations
        if random.random() < 0.2:
            child. add node (random. choice (list (child. connections. keys ())))
        elif random. random() < 0.4:
            child. add connection (*random. sample (child. nodes. keys(), 2))
        return child
# Visualize the neural network structure
def visualize network(gene, filename='network.png'):
    dot = Digraph()
    for node id in gene. nodes:
        dot. node (str (node_id))
```

self.fitness[i] = fitness / num_episodes

```
for (from_node, to_node), weight in gene.connections.items():
        dot.edge(str(from node), str(to node), label=f"{weight:.2f}")
    dot.render(filename, view=True)
# Train the NEAT agent
def train_neat(population, env, generations=100):
    for generation in tqdm(range(generations), desc="Training NEAT"):
        population.evaluate_fitness(env)
        population. evolve()
# Save a GIF animation
def save_gif(gene, env, filename='slimevolley.gif'):
    frames = []
    state = env.reset()
    done = False
    while not done:
        frames. append (env. render (mode='rgb_array'))
        action = population. activate (gene, state)
        state, _, done, _ = env. step(action)
    imageio.mimsave(filename, frames, fps=30)
if __name__ == "__main__":
    # Initialize the environment
    env = gym. make ("SlimeVolley-v0")
    input size = env. observation space. shape[0]
    output_size = env.action_space.n
    # Initialize the population
    population = NeatPopulation(input_size, output_size, population_size=50)
    # Train the population
    train neat (population, env, generations=1000)
    # Save the best gene
    best_gene = population.population[np.argmax(population.fitness)]
    visualize_network(best_gene)
    save gif(best gene, env)
import jax
import jax.numpy as jnp
from jax. experimental import optimizers
import numpy as np
import imageio
# Backprop NEAT Gene class
```

```
class BackpropGene:
   def __init__(self, input_size, output_size):
        self.layers = [input_size, 10, output_size] # Define the layer structure
        self.weights = [jnp.array(np.random.randn(m, n)) for m, n in
zip(self.layers[:-1], self.layers[1:])] # Initialize weights
   def forward(self, x):
        # Forward pass
        for w in self. weights:
            x = jax. nn. relu(x @ w) # Apply ReLU activation
        return x
   def add_layer(self, position):
        # Add a new layer
        self. layers. insert (position + 1, 5) # Insert a new layer with 5 neurons
        new weights = []
        for i in range(len(self.layers) - 1):
            new weights. append (jnp. array (np. random. randn (self. layers [i],
self.layers[i+1]))) # Initialize weights for the new layer structure
        self.weights = new_weights
# Evaluation function
def evaluate classification (gene, data, labels):
   predictions = gene. forward(data) # Get model predictions
   return 1.0 / (1.0 + jnp. mean((predictions - labels)**2)) # Calculate accuracy
# Training function
def train_backprop(gene, data, labels, epochs=100):
    # Initialize the optimizer
   opt init, opt update, get params = optimizers.adam(step size=0.001)
   opt_state = opt_init(gene.weights)
    # Training loop
    for epoch in range (epochs):
        # Update weights
        params = get_params(opt_state)
        loss = jnp. mean((gene. forward(data) - labels)**2) # Calculate loss
        grads = jax.grad(lambda p: jnp.mean((gene.forward(data, p) -
labels)**2)) (params) # Compute gradients
        opt_state = opt_update(epoch, grads, opt_state)
        gene. weights = get params(opt state)
        print(f"Epoch {epoch + 1}/{epochs}, Loss: {loss:.4f}")
# Generate circular dataset
```

```
def generate_circle_dataset(num_samples=100):
    data = []
    labels = []
    radius = 1.0
    for _ in range(num_samples):
        theta = 2 * np. pi * np. random. rand() # Random angle
        r = radius + np. random. normal(scale=0.1) # Random radius with noise
        x = np. cos(theta) * r
        y = np. sin(theta) * r
        label = 1 if r > radius else 0 # Label: 1 if outside the circle, 0 if inside
        data.append([x, y])
        labels.append([label])
    return jnp. array (data), jnp. array (labels)
# Main function
def main():
    # Generate dataset
    data, labels = generate circle dataset()
    # Initialize gene
    gene = BackpropGene(2, 1)
    # Train
    train_backprop(gene, data, labels, epochs=500)
    # Test performance
    test_data, test_labels = generate_circle_dataset(num_samples=100)
    accuracy = evaluate_classification(gene, test_data, test_labels)
    print(f"Test Accuracy: {accuracy:.4f}")
if __name__ == "__main__":
    main()
```