

# Transient Execution Emulator

Meltdown and Spectre Behind the Scenes

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- Topic
- Background
- Our task
- Our approach
- Implementation
- Demo
- Conclusion

- Lab builds on SCA lecture
- Meltdown and Spectre mostly patched
- Difficult to experiment with
  - Personal computer often times not usable
- Goal: Vulnerable CPU Emulator that runs on many systems
  - Should offer a gdb-like interface

## Background

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- Frontend:
  - Fetches/Decodes instructions, maintains queue
  - Branch prediction
- Execution Engine:
  - Multiple sets of execution units
- Memory Subsystem:
  - Handles memory operations
  - Maintains L1 cache
  - Ensures data is loaded from other caches/memory

# Out-of-order execution

- Independent instruction streams
- Tomasulo algorithm:
  - Reservation stations
  - Common Data Bus
- Rollbacks

## Speculative execution

- Predict results of branch instructions
- Prevent stalls
- BPU maintains counters
- Rollbacks

- Abuses out-of-order execution
- Meltdown-US-L1:
  - Define oracle array
  - Perform illegal read to steal secret
  - Embed secret-dependent oracle entry into cache
  - Await rollback and measure oracle access times
- Small time window



- Abuses speculative execution
- Different variations. Here: prediction of branch instrs.
- Spectre v1: Deliberately train BPU used by victim process
- Make victim leak secret into cache
- Direct consequence of speculative execution

## Mitigations: Meltdown

- Disable out-of-order execution
- Intel's microcode mitigation
  - Microprograms
- OS mitigations

## Mitigations: Spectre

- Disable speculative execution:
  - Completely disable
  - fence instructions
- Flush entire cache after rollback

# Our task

- Develop graphical CPU emulator vulnerable to:
  - Our version of Meltdown-US-L1
  - Spectre v1
- Must support single step, out-of-order, and speculative execution
- Implement Intel's microcode mitigation
- Other mitigations via microprograms
- Target audience: SCA students
  - Or anyone with basic knowledge of TE attacks

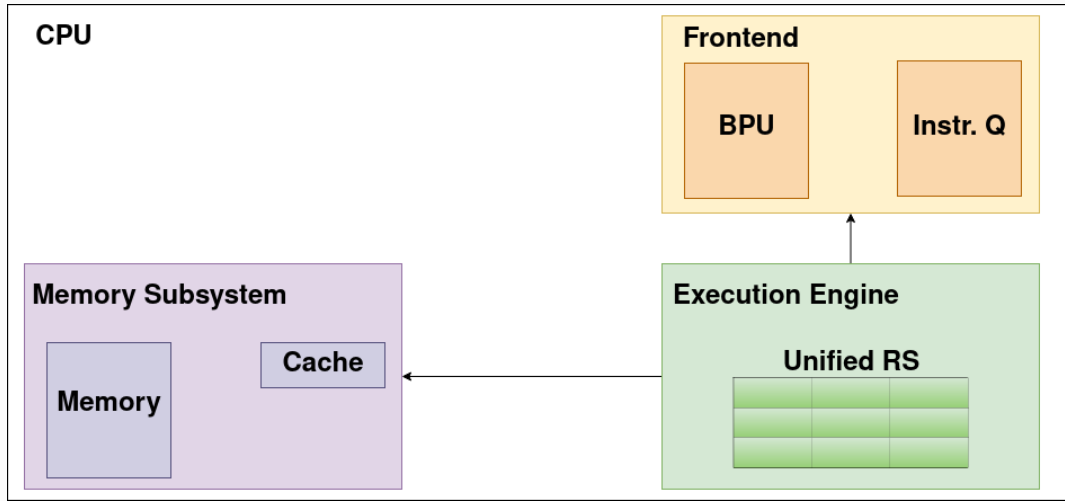
## Our approach

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## How we started

- Must-haves, nice-to-haves, future work
- At time of Meltdown/Spectre publication: Skylake
- Filter components needed for our Meltdown/Spectre versions
- Build simplified CPU

## Our version



## Implementation of our emulator

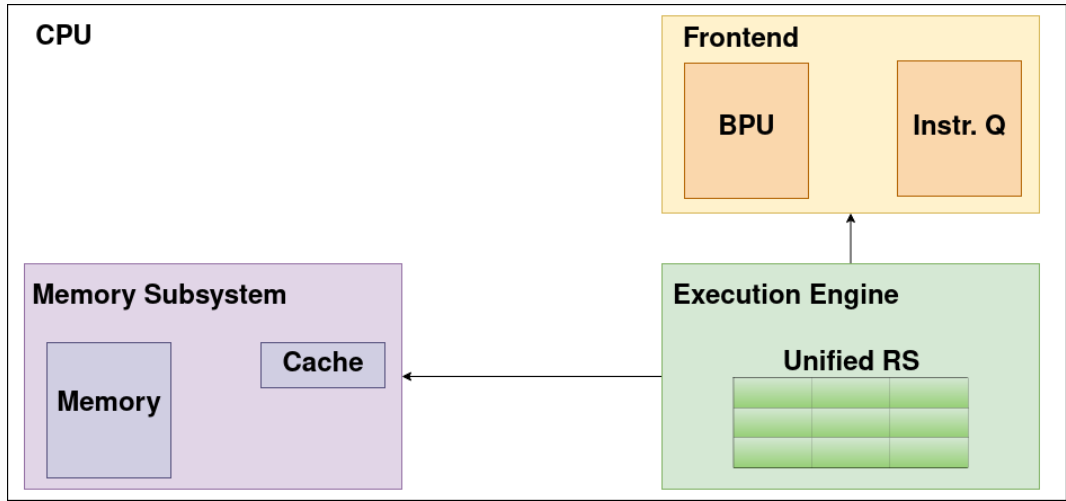
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# Implementation of our emulator

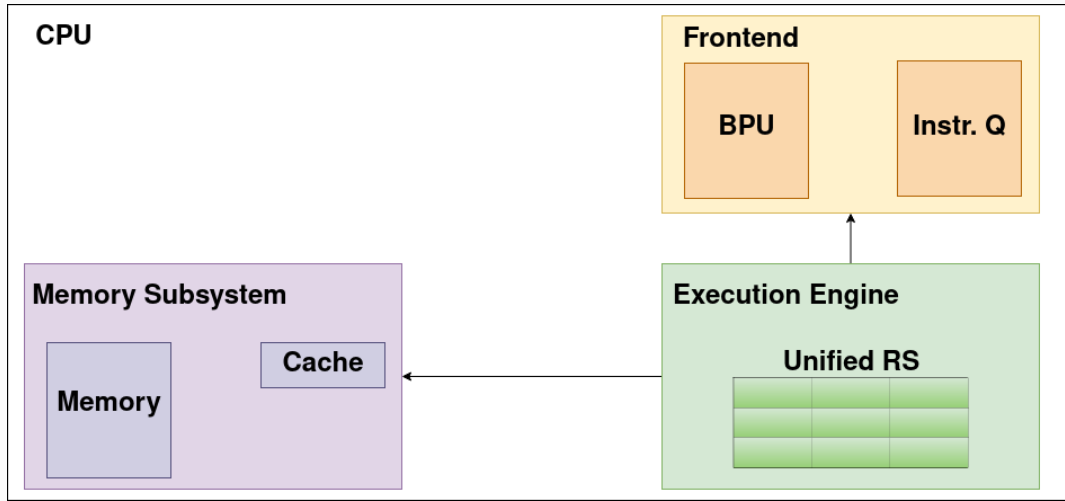
- overview over our whole emulator
- out-of-order execution
- speculative execution
- fault handling and rollbacks

# CPU class



- assembler style source code
- arithmetic, branch and memory instructions, fence, rtdsc
- provides an instruction list
- only one type of instructions

# CPU components



# Out-of-order execution

- Execution Engine
- Tomasulos algorithm
  - unified reservation station
  - instructions wait for their operands
  - keeping track of operands and results

## Issuing instructions

- resolve operands and target register
- two kinds of register values: Word and SlotID
- register state always reflects in-order register state with SlotIDs as placeholders

## Example Reservation Station

-----[ Reservation Stations ]-----					
0	addi	r1, r0, 0x3	0x0000	0x0003	<input checked="" type="checkbox"/>
1	slli	r2, r1, 0x8	0x0003	0x0008	<input type="checkbox"/>
2	addi	r2, r2, 0x42	RS 001	0x0042	<input type="checkbox"/>
3	sw	r2, r0, 0x4	RS 002	0x0000	<input type="checkbox"/>
4	lb	r4, r0, 0x5	0x0000	0x0005	<input type="checkbox"/>

## Issuing instructions

- resolve operands and target register
- two kinds of register values: Word and SlotID
- register state always reflects in-order register state with SlotIDs as placeholders



# Common Data Bus (CDB)

- execute instructions in reservation station
- broadcast the result over the CDB
  - registers
  - reservation station slots
  - at most once per cycle

# Speculative execution

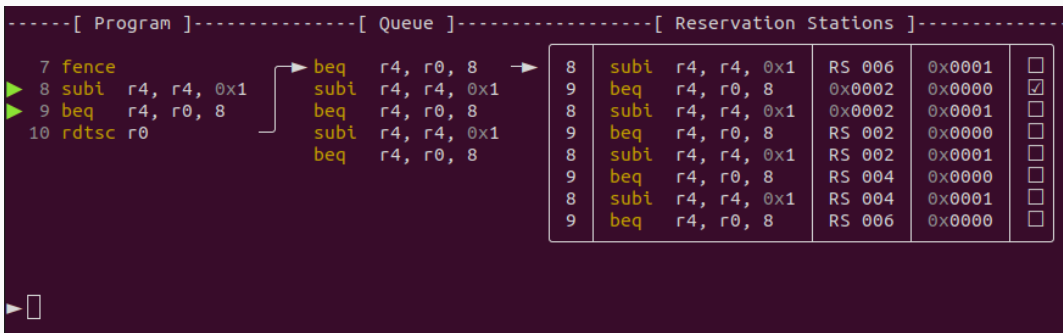
- predict outcome of branch instructions
- resume execution based on this prediction
- two central components
  - branch prediction unit (BPU)
  - CPU frontend with instruction queue

## Branch Prediction Unit (BPU)

- simplified version
- array of predictions
- 2-bit-saturating counter to handle predictions

# CPU frontend with instruction queue

- interface btw. instruction list and execution unit
- especially wrt speculative execution
- manages instruction queue

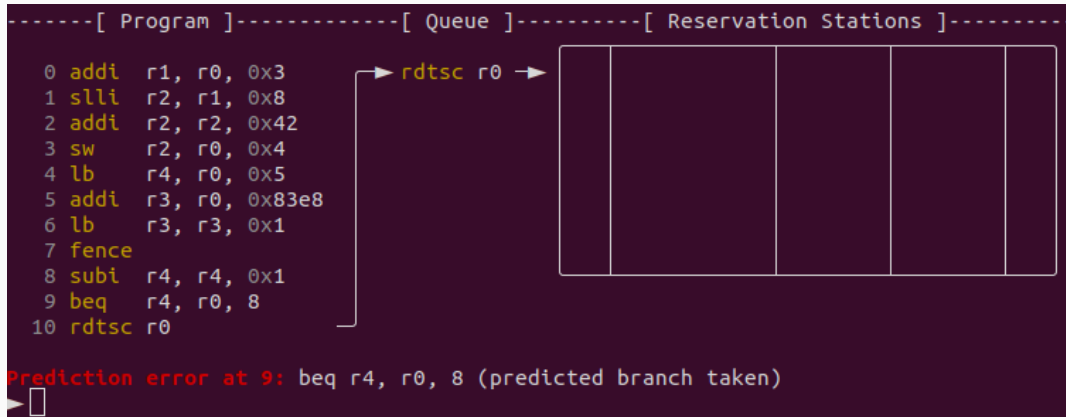


# Faults and Rollbacks

- microarchitectural fault situation that has to be handled before we can resume our execution
  - mispredicted branches
  - attempt to access inaccessible memory
- have to handle the effects of transient execution

- only rollback the register state and the memory contents
- no rollback in Cache and BPU
- restore register state via snapshots
- prevent memory rollbacks by executing stores in-order
- handle faults in program order

## Rollback after mispredicted branch



**Thank you for your attention**

Do you have any questions so far?



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