

# Rust and Multithreading

Jacques-Henri Jourdan

February 16th, 2022

# Abstract from last weeks

During the two last weeks, we learned:

- Basics of the Rust language.
- Type system enforces: “mutation XOR aliasing”,
  - with the use of lifetimes.
- Traits: an abstraction mechanism, sometimes at zero-cost.
- Unsafe blocks/functions: workaround strong static type-checking constraints,
  - but correct unsafe code: very subtle.
- Encapsulation: clients can safely use libraries written with unsafe code.
- Interior mutability (the ability to mutate through shared borrows): a typical example of well-encapsulated unsafe code.

How to write correct and efficient code with shared-memory  
concurrency in Rust?

# Table of Contents

## 1 Preliminaries

## 2 Threads in Rust

- Type of spawn?
- Send and Sync
- Type of spawn

## 3 Inter-thread communication

- `Mutex<T>`

### Preliminaries

#### Threads in Rust

Type of spawn?  
Send and Sync  
Type of spawn

#### Inter-thread communication

`Mutex<T>`

# A note on vocabulary

What is concurrency? What is parallelism?

## Preliminaries

### Threads in Rust

Type of spawn?

Send and Sync

Type of spawn

### Inter-thread communication

Mutex<T>

## Preliminaries

## Threads in Rust

Type of spawn?

Send and Sync

Type of spawn

Inter-thread  
communication

Mutex&lt;T&gt;

# A note on vocabulary

What is concurrency? What is parallelism?

## Concurrency

When **several tasks** are executed at the same time, sharing a **common resource**.

- The focus is on organizing the use of the common resource
- Example: scheduling, mutexes, ...

## Parallelism

When **several computations units** are used to execute one or several tasks.

- The focus is on the improvement of **performances**.

Questions:

- Example of a parallel but not concurrent program?
- Example of a concurrent but not parallel program?

# Threads

A **OS abstraction** allowing a program to create several tasks:

- running at the same time,
- sharing the same memory space.

Is this a concurrency or a parallelism concept?

## Preliminaries

### Threads in Rust

Type of spawn?

Send and Sync

Type of spawn

### Inter-thread communication

Mutex<T>

# Threads

## Preliminaries

### Threads in Rust

Type of spawn?

Send and Sync

Type of spawn

### Inter-thread communication

Mutex<T>

A **OS abstraction** allowing a program to create several tasks:

- running at the same time,
- sharing the same memory space.

A **concurrency** concept, providing parallelism.

- Initially used to design UIs (not for performances).



# Threads

## Preliminaries

### Threads in Rust

Type of spawn?

Send and Sync

Type of spawn

### Inter-thread communication

Mutex<T>

A **OS abstraction** allowing a program to create several tasks:

- running at the same time,
- sharing the same memory space.

A **concurrency** concept, providing parallelism.

- Initially used to design UIs (not for performances).

A threading library traditionally consists in:

- A way to create new threads, by providing a function to run (i.e., `pthread_create`).
- Synchronization primitives: organize the sharing of common resources (such as memory)
  - E.g., mutexes, atomics, ....

# Threads

## Preliminaries

### Threads in Rust

Type of spawn?

Send and Sync

Type of spawn

Inter-thread  
communication  
Mutex<T>

A **OS abstraction** allowing a program to create several tasks:

- running at the same time,
- sharing the same memory space.

A **concurrency** abstraction allowing a program to create several tasks:

- Initially used for parallelism.
- (In Rust: futures.)

A **threading library** providing a way to create threads.

- A way to create threads (e.g., `pthread_create`).
- Synchronization primitives: organize the sharing of common resources (such as memory)
  - E.g., mutexes, atomics, ....

Note: there also are language-level abstractions for concurrency.

They do not provide (multicore) parallelism on their own.  
This is not the scope of this course.

# Programming with threads

Why is efficiently programming with threads difficult?

## Preliminaries

### Threads in Rust

Type of spawn?

Send and Sync

Type of spawn

### Inter-thread communication

Mutex<T>

# Programming with threads

In C++:

```
int main() {  
    int x = 0;  
    std::thread t([&]() { x += 1; });  
    x += 1;  
    t.join();  
}
```

This is a **data race**:

- two **potentially simultaneous** memory accesses,
- using an **ordinary variable** (i.e., non-atomic),
- in **two different threads**,
- one of them is **a write**.

This is **undefined behavior**. The program can do anything.  
Common bug, subtle to find.

## Preliminaries

### Threads in Rust

Type of spawn?

Send and Sync

Type of spawn

### Inter-thread communication

Mutex<T>

# Table of Contents

## 1 Preliminaries

## 2 Threads in Rust

- Type of spawn?
- Send and Sync
- Type of spawn

## 3 Inter-thread communication

- `Mutex<T>`

### Preliminaries

### Threads in Rust

Type of spawn?  
Send and Sync  
Type of spawn

### Inter-thread communication

`Mutex<T>`

# A thread spawning function

Could you propose a type for a thread spawning function?

# A thread spawning function

Proposal 1:

```
fn spawn<F>(f: F) where F: FnOnce() -> ()
```

This is **unsound**. Why?

# A thread spawning function

## Proposal 1:

```
fn spawn<F>(f: F) where F: FnOnce() -> ()
```

This is **unsound** because **F** could contain references to the current stack frame:

```
fn f() {  
    let mut x = 0;  
    spawn(||{  
        let dt = std::time::Duration::from_millis(100);  
        std::thread::sleep(dt);  
  
        // The closure contains a borrow to x in the spawner's stack frame.  
        // So this results in dereferencing a dangling borrow!  
        x += 1  
    });  
}
```



# A thread spawning function

## Proposal 2:

```
fn spawn<F>(f: F) where F: FnOnce() -> (),  
                F: 'static
```

- The constraint `F: 'static` means the *type* of the closure `F` should outlive `'static`.
- `'static` is the never ending lifetime.
- So `F: 'static` means that the type `F` should be always valid.
- In particular, `F` cannot contain any “true” borrow.

In order to prevent the compiler to use borrows in closures: `move` keyword:

```
spawn(move ||{ .... })
```

Captured variables are moved/copied in the closure instead of being borrowed.

# A thread spawning function

Proposal 2:

```
fn spawn<F>(f: F) where F: FnOnce() -> (),  
              F: 'static
```

Is this sound?

Preliminaries

Threads in Rust

Type of spawn?

Send and Sync

Type of spawn

Inter-thread  
communication

Mutex<T>

# A thread spawning function

Proposal 2:

```
fn spawn<F>(f: F) where F: FnOnce() -> (),  
                F: 'static
```

This is **not sound**.

Because of interior mutability, we can create data races:

```
fn f() {  
    let x = Rc::new(Cell::new(42));  
    let y = x.clone();  
    // x and y are pointers referring to the same Cell  
    std::thread::spawn(move ||{  
        y.set(12);  
    });  
    x.set(13);  
}
```

We should not be allowed to share pointers to `Cell` across threads!

# The Sync trait

Sharing pointers (e.g., `&T` or `Rc<T>`) to a type across threads is not benign.  
It should **not** be allowed for some types (e.g., `Cell`).

# The Sync trait

Sharing pointers (e.g., `&T` or `Rc<T>`) to a type across threads is not benign. It should **not** be allowed for some types (e.g., `Cell`).

There is a **type trait** for this: `Sync`.

- `T`: `Sync` means that it is safe to share a pointer to `T` across threads.
- It is an **unsafe trait**: it is unsafe to implement it by hand.
- It is an **auto trait**: when this makes sense, Rust implements it automatically.
  - Example: `struct S<T, U> { t: T, u: U }` is `Sync` iff both `T` and `U` are `Sync`.
  - Closures are `Sync` iff their components are `Sync`.

Examples?

# The Sync trait

Sharing pointers (e.g., `&T` or `Rc<T>`) to a type across threads is not benign. It should **not** be allowed for some types (e.g., `Cell`).

There is a **type trait** for this: `Sync`.

- `T: Sync` means that it is safe to share a pointer to `T` across threads.
- It is an **unsafe trait**: it is unsafe to implement it by hand.
- It is an **auto trait**: when this makes sense, Rust implements it automatically.
  - Example: `struct S<T, U> { t: T, u: U }` is `Sync` iff both `T` and `U` are `Sync`.
  - Closures are `Sync` iff their components are `Sync`.

Examples:

- `i32`, `Box<i32>`, `&mut i32` are `Sync`.
  - A shared pointer to them only provides read access.
- `Cell<i32>` is **not** `Sync`.
  - A shared pointer can be used for writing.
- `Rc<i32>` and `RefCell<i32>` are **not** `Sync`.
  - Accesses to the internal counter would be racy.

# The Send trait

Similarly, it is not always safe to **move** a value to another threads.

There is a type trait for this: **Send**.

- **T**: **Send** means it is safe to move a value of type **x** to another thread.
- As **Sync**, this is an **auto** and **unsafe** trait.

Examples?

# The Send trait

Similarly, it is not always safe to **move** a value to another threads.

There is a type trait for this: **Send**.

- **T: Send** means it is safe to move a value of type **x** to another thread.
- As **Sync**, this is an **auto** and **unsafe** trait.

Examples:

- We have **T: Sync** iff **&T: Send**.
  - Specific instance of **Send** for **&T** in standard library.
  - So **&Cell<T>** is not **Send**.
- **i32**, **Box<i32>**, **&mut i32** are **Send**.
  - They don't have anything specific to one thread.
- **Rc<T>** is **never Send**.
  - Access to the internal counter would be a data race.
- **Cell<T>** and **RefCell<T>** are **Send** when **T: Send**.
  - When we have full ownership, nobody can access the counter.



# Send and Sync: recap

**T**: **Send** means we can **move** an object of type **T** to another thread.

**T**: **Sync** means we can **share** an object of type **T** with another thread.

- This is equivalent to **&T**: **Send**.

# A thread spawning function

## Proposal 3:

```
fn spawn<F>(f: F) where F: FnOnce() -> (),  
                F: Send + 'static
```

We require the closure to be `Send` and `'static` so that the captured values:

- cannot refer to the spawner's call frame;
- cannot contain possibly-terminating borrows;
- can be safely moved to another thread.
  - In particular, `Rc<RefCell<i32>>` is not `Send`!

# A thread spawning function

## Proposal 3:

```
fn spawn<F>(f: F) where F: FnOnce() -> (),  
                F: Send + 'static
```

We require the closure to be `Send` and `'static` so that the captured values:

- cannot refer to the spawner's call frame;
- cannot contain possibly-terminating borrows;
- can be safely moved to another thread.
  - In particular, `Rc<RefCell<i32>>` is not `Send`!

This is `sound`, but misses an important feature: get the result of concurrent computation.

# The actual type of spawn

```
struct JoinHandle<T> { ... }

pub fn spawn<F, T>(f: F) -> JoinHandle<T> where F: FnOnce() -> T,
                                             F: Send + 'static,
                                             T: Send + 'static { ... }

impl<T> JoinHandle<T> {
    /* Result<T> is like Option<T>. An error is returned if the thread panics. */
    pub fn join(self) -> Result<T>
    { ... }
}
```

# Table of Contents

## 1 Preliminaries

## 2 Threads in Rust

- Type of spawn?
- Send and Sync
- Type of spawn

## 3 Inter-thread communication

- `Mutex<T>`

### Preliminaries

### Threads in Rust

Type of spawn?

Send and Sync

Type of spawn

### Inter-thread communication

`Mutex<T>`

# How can threads communicate?

So far, communication is limited to creation and termination of a thread.  
But Rust also provides means of communication:

- concurrent FIFO queues,
- `Mutex`, `RwLock`,
- `Arc`: a thread-safe variant of `Rc`,
- atomic shared variables for numeric types,
- ...

Most of them use interior mutability.

# Mutex<T>: exclusive locks

Mutex<T> is a lock, explicitly protecting a variable of type T.

In many programming languages, mutexes are not explicitly associated with the resource they protect.

- In Rust, a Mutex<T> owns a value of type T.
- Another example of interior mutability, because after taking the lock we can mutate the protected value.

# Mutex<T>: API

```
pub struct Mutex<T> { ... }
pub struct MutexGuard<'a, T> { ... }

impl<T> Mutex<T> {
    pub fn new(t: T) -> Mutex<T> { ... }

    // Taking a lock, receiving a MutexGuard
    pub fn lock<'a>(&'a self) -> MutexGuard<'a, T> { ... }

    // These functions allow accessing the content of the mutex without locking.
    // This is safe because here we know we are the unique owner.
    pub fn into_inner(self) -> T { ... }
    pub fn get_mut(&mut self) -> &mut T
}

unsafe impl<T: Send> Send for Mutex<T> {}
unsafe impl<T: Send> Sync for Mutex<T> {}
```

Small lie: a mutex can be “poisoned” if a thread panicked while holding the lock... This technicality adds noise in the API, we erase it here.

Preliminaries

Threads in Rust

Type of spawn?

Send and Sync

Type of spawn

Inter-thread  
communication

Mutex<T>



# Mutex<T>: API for guard

```
// Guards can be used as a shared or mutable borrow
impl<'a, T> Deref for MutexGuard<'a, T> {
    type Target = T;
    fn deref(&self) -> &T { ... }
}

impl<'a, T> DerefMut for MutexGuard<'a, T> {
    fn deref_mut(&mut self) -> &mut T { ... }
}

// Dropping the guards unlocks the mutex
impl<'a, T> Drop for MutexGuard<'a, T> {
    fn drop(&mut self) { ... }
}

impl<'a, T: Sync> Sync for MutexGuard<'a, T> { ... }
// MutexGuard is not Send: cannot unlock in a different thread
```

# Conclusion

Multithreading in Rust is mostly a **library concern**.

Nothing in the language is designed explicitly for concurrency.

- Except perhaps the fact that **Send** and **Sync** are auto-traits. But this is a reusable mechanism.

Yet, Rust is particularly well-suited for multithreading!

# Next week

Next week, we will get an overview of how one can prove the **soundness of the type system** of Rust.

We will use a **logical relation** approach.

Get ready:

- revise logical relations,
- think about how to formalize the type system,
- think about how you would design the logical relation.