

# Introduction to Rust

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# Low-level programming

Introduction to  
Rust

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Some software requires a high level of control:

- over memory layout;
- over when memory is allocated and freed;
- over what computations are done and when...

The standard “answer” to these issues is C/C++.

These languages have shortcomings:

- very complicated semantics (pointer optimizations...),
- no safety guarantee,
- poor support for abstraction.

Basic types

Aliasing control

Ownership in Rust

Borrows and lifetimes

Unsafe Rust

Unsafe and aliasing

Safe abstractions

Interior mutability

Cell<T>

RefCell<T>

Rc<T>



A language initially developed by Mozilla for rewriting parts of Firefox

High performance, high level of control with safe abstraction mechanisms



A language initially developed by Mozilla for rewriting parts of Firefox

High performance, high level of control with safe abstraction mechanisms

## Zero-cost abstraction

Powerful abstraction mechanisms with no impact on performance:

- Sound type system
- Pointers: ownership + borrows with lifetimes
- Polymorphism with traits ( $\simeq$  type classes)

When the type system is not expressive enough, we can use **unsafe features** behind safe abstractions.

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# Why study Rust here?

Many concepts from type systems, adapted them to a new context:

- Polymorphism, type traits, closures, algebraic types...

New type system features:

- Ownership types, borrows, lifetimes,
- Concurrency: fine-grained tracking of thread-(un)safe types.

New challenges in type system meta-theory:

- ownership,
- unsafe code behind safe abstractions.

# What you will learn here

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My goal is that at the end of the semester, you will:

- know how to write simple Rust programs;
- roughly understand how the type system works,
  - both formally and how it can be implemented;
- have a glimpse of how to formally study Rust:
  - How to use the type system to verify Rust programs?
  - How to build a logical relation to prove soundness?

Today: learn a bit of the language

# Resources

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To learn Rust as a developer:

- Plenty of references here: <https://www.rust-lang.org/learn>
- My favorites:
  - [Rustlings](#) small exercises to get started;
  - [Rust 101](#) by Ralf Jung: accessible tutorial for the core language;
    - Many examples of this course taken from this tutorial
  - [Rust by example](#): learn by example;
  - [The Rust Book](#): comprehensive, but long, "official" tutorial for Rust and some of its ecosystem.

Metatheory of the type system:

- RustBelt: Securing the Foundations of the Rust Programming Language. Ralf Jung, Jacques-Henri Jourdan, Robbert Krebbers, Derek Dreyer. POPL 2018.

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# Homework

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During future courses, we will do some exercises together.

However, these exercises are a bit involved and expect you to know the basics of the language.

In order to practice, **please** do exercises 0-13 and 16 from [Rustlings](#) (<https://github.com/rust-lang/rustlings/>).

You have some time: the next Rust course is on February 4th, 2025.

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### 2 Aliasing control

- Ownership in Rust
- Borrows and lifetimes

### 3 Unsafe Rust

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#### Basic types

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# The minimum of a sequence of integers

```
enum NumberOrNothing {
    Number(i32), Nothing
}

fn vec_min(vec: Vec<i32>) -> NumberOrNothing {
    let mut res = NumberOrNothing::Nothing;
    for el in vec {
        match res {
            NumberOrNothing::Nothing => {
                res = NumberOrNothing::Number(el);
            },
            NumberOrNothing::Number(n) => {
                let m = if n < el { n } else { el };
                res = NumberOrNothing::Number(m);
            }
        }
    }
    return res
}
```

A function for computing the maximum of a sequence of 32-bits integers.

- Parameters and return types need to be annotated.
- Types for local variables are inferred (most often).

# The minimum of a sequence of integers

```
enum NumberOrNothing {  
    Number(i32), Nothing  
}
```

```
fn vec_min(vec: Vec<i32>) -> NumberOrNothing {  
    let mut res = NumberOrNothing::Nothing;  
    for el in vec {  
        match res {  
            NumberOrNothing::Nothing => {  
                res = NumberOrNothing::Number(el);  
            },  
            NumberOrNothing::Number(n) => {  
                let m = if n < el { n } else { el };  
                res = NumberOrNothing::Number(m);  
            }  
        }  
    }  
  
    return res  
}
```

Empty sequence  
⇒ no defined minimum

We use an algebraic data type

- 32 bits integer, or nothing
- pattern matching
- constructors

# The minimum of a sequence of integers

```
enum NumberOrNothing {
    Number(i32), Nothing
}

use NumberOrNothing::*;

fn vec_min(vec: Vec<i32>) -> NumberOrNothing {
    let mut res = Nothing;
    for el in vec {
        match res {
            Nothing => {
                res = Number(el);
            },
            Number(n) => {
                let m = if n < el { n } else { el };
                res = Number(m);
            }
        }
    }
    return res
}
```

Empty sequence  
⇒ no defined minimum

We use an algebraic data type

- 32 bits integer, or nothing
- pattern matching
- constructors
  - In a specific namespace, can be imported

# The minimum of a sequence of integers

```
enum NumberOrNothing {  
    Number(i32), Nothing  
}  
  
use NumberOrNothing;  
  
fn vec_min(vec:  
    let mut res =  
        for el in vec  
            match res {  
                res =  
                let m =  
                    res =  
                }  
            }  
  
        return res  
}
```

A value of type `NumberOrNothing` is a **sequence of bytes** (tag followed by `i32` payload).

- Control on memory representation, like in C/C++.
- Drawback: values have **sizes**, which the compiler need to know.
- In higher-level like OCaml, Java, Python, Haskell, ... this is different:
  - complex values are boxed;
  - values always use **one** memory word;
  - unavoidable implicit indirection.

# Using vec\_min

```
impl NumberOrNothing {
    fn print(self) {
        match self {
            Nothing =>
                println!("The number is: <nothing>"),
            Number(n) =>
                println!("The number is: {}", n),
        };
    }
}

fn main() {
    let v = vec![18,5,7,2,9,27];
    let min = vec_min(v);
    min.print()
}
```

We can define **associated functions** for types:

- like sealed methods in OO languages,
- no more than a function, but without polluting the global namespace.

# Records, tuples, arrays, newtype

We (of course!) have other convenient ways to build types:

- Tuples (`T1, T2, ...`):
  - construction: (`e1, e2, ...`)
  - projections: `t.0, t.1, ...`
- Records declared by `struct R { f1: T1, f2: T2, ... }`
  - construction: `R { f1: e1, f2: e2, ... }`
  - projections: `r.f1, r.f2, ...`
- Newtype (as in Haskell) declared by `struct NT(T)`
  - construction: `NT(e)`
  - projection: `nt.0`
- Fixed-length arrays [`T; 42`]
  - construction: `[e; 42]`
  - access: `a[i]`

# Records, tuples, arrays, newtype

We (of course!) have other convenient ways to build types:

- Tuples (`T1, T2, ...`):
  - construction: (`e1, e2, ...`)
  - projections: `t.0, t.1, ...`
- Records declared by `struct R { f1: T1, f2: T2, ... }`
  - construction: `R { f1: e1, f2: e2, ... }`
  - projections: `r.f1, r.f2, ...`
- Newtype (as in Haskell) declared by `struct NT(T)`
  - construction: `NT(e)`
  - projection: `nt.0`
- Fixed-length arrays [`T; 42`]
  - construction: `[e; 42]`
  - access: `a[i]`

Again, records, tuples, enum and arrays are stored with **no implicit pointer indirection**

- Values of tuples, records, arrays and enums types are the concatenation of their components.

## 1 Basic types

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- Ownership in Rust
- Borrows and lifetimes

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## 3 Unsafe Rust

- Unsafe and aliasing
- Safe abstractions

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# Ownership: counter example in C++

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```
void foo(std::vector<int> v) {  
    int *first = &v[0];  
    v.push_back(42);  
    *first = 1337;  
}
```

Where is the bug?

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# Ownership: counter example in C++

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```
void foo(std::vector<int> v) {  
    int *first = &v[0];  
    v.push_back(42);  
    *first = 1337;  
}
```

- `push_back` may **reallocate** the vector,
  - invalidating inner pointers such as `first`.
- Somewhat perverse bug:
  - most of the time, `push_back` does not reallocate the vector.
- We mutated memory through two aliased pointers, `first` and `v`.

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# Bugs caused by aliasing

## Iterator invalidation:

- Mutating a data structure invalidates its iterators.
  - In C++, the rule is complicated (depends on where you iterate and what mutation...).
  - Common source of bugs in C++/Java/...
  - Often undetected by the library: unexpected consequences.
  - Core of the problem: **mutation and aliasing at the same time**.

## Double free:

- Can lead to state inconsistency of the memory management library.
- Another form of simultaneous **mutation (free) and aliasing**.

## Data races:

- Particularly difficult to test/debug.
- By definition: **mutation and aliasing**.

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If we want to mutate, we need to have the **unique alias**.

- We are the **owner** of the memory location.
- We view memory as a **resource** which cannot be duplicated.

This also applies to **freeing** memory.

- There is no GC in Rust (better control of resources, better performances).

# Ownership in vec\_min

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```
impl NumberOrNothing {
    fn print(self) { ... }

    fn vec_min(vec: Vec<i32>) -> NumberOrNothing {
        ...
    }

    fn main() {
        let mut v = vec![18,5,7,2,9,27];
        vec_min(v).print();
    }
}
```

# Ownership in vec\_min

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```
impl NumberOrNothing {
    fn print(self) { ... }

    fn vec_min(vec: Vec<i32>) -> NumberOrNothing {
        ...
    }

    fn main() {
        let mut v = vec![18,5,7,2,9,27];
        vec_min(v).print();
        v.push(42);
        vec_min(v).print();
    }
}
```

```
impl NumberOrNothing {
    fn print(self) { ... }

}

fn vec_min(vec: Vec<i32>) -> NumberOrNothing {
    ...
}

fn main() {
    let mut v = vec![18, 5, 7, 2, 9, 27];
    vec_min(v).print();
    v.push(42);
    vec_min(v).print();
}

error[E0382]: borrow of moved value: 'v'
--> src/main.rs:37:3
   |
35 |     let mut v = vec![18, 5, 7, 2, 9, 27];
   |     ...
36 |     vec_min(v).print();
   |         - value moved here
37 |     v.push(42);
   |     ^^^^^^^^^ value borrowed here after move
```

# Ownership in vec\_min

```
impl NumberOrNothing {
    fn print(self) { ... }

    fn vec_min(vec: Vec<i32>) -> NumberOrNothing {
        ...
    }

    fn main() {
        let mut v = vec![18,5,7,2,9,27];
        vec_min(v).print();
        v.push(42);
        vec_min(v).print();
    }
}
```

Passing `v` as a parameter to `vec_min` triggered a **move**.

- We lost its ownership. We can no longer use it.
- That's fortunate, because `vec_min` automatically freed the vector.
  - RAII: destructor is called as soon as variable leaves its scope (except if already moved).

# The iconic ownership type: Box<T>

Box<T> is the type of owned pointers to T.

- Creation function:

```
fn new(x: T) -> Box<T>
```

- Move of x to dynamically allocated memory (i.e., malloc).
- Transfer of ownership.
- Can be dereferenced (for read/write): \*p.
- RAII: Automatically freed when leaves the scope.

Example of use: singly linked list:

```
type List = Option<Box<Node>>;
struct Node { elem: i32, next: List, }
```

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# Exception to ownership tracking

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Is ownership tracking a good thing for all variables?

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# Exception to ownership tracking

No! Some values are **bare data**, and can be copied freely.

- Examples: `i32`, `(i64, u64)`, `bool`, ...

We say that these types **implement the `Copy` trait**

- Tells the compiler to disable ownership tracking for values of these types.

By default, types declared with `struct` or `enum` are not `Copy`.

- Because they could be used to represent resources not known by the compiler.
- To make it so, this is easy:

```
#[derive(Copy, Clone)]
enum NumberOrNothing {
    Number(i32), Nothing
}
```

- (More explanations on traits later.)

# Shared borrows

Variant of `vec_min` to avoid the move:

```
fn vec_min(vec: &Vec<i32>) -> NumberOrNothing {  
    let mut res = Nothing;  
    for el in vec {  
        match res {  
            Nothing => { res = Number(*el); },  
            Number(n) => {  
                let m = if n < *el { n } else { *el };  
                res = Number(m);  
            }  
        }  
    }  
    return res  
}  
  
fn main() {  
    let mut v = vec![18,5,7,2,9,27];  
    vec_min(&v).print();  
    v.push(42);  
    vec_min(&v).print();  
}
```

Instead of passing the ownership of the vector we pass a **shared borrow** of it.

- At runtime: a pointer to `v`
- Here, the shared borrow is only valid for the duration of the function.
- `&T` implements `Copy`.
- `&T` does not allow mutation (usually... see next course).
- aka. **immutable borrow**, **immutable reference**.

# Shared borrows

Variant of `vec_min` to avoid the move:

```
fn vec_min(vec: &Vec<i32>) -> NumberOrNothing {
    let mut res = Nothing;
    for el in vec {
        match res {
            Nothing => { res = Number(*el); },
            Number(n) => {
                let m = if n < *el { n } else { *el };
                res = Number(m);
            }
        }
    }
    return res
}

fn main() {
    let mut v = vec![18,5,7,2,9,27];
    vec_min(&v).print();
    v.push(42);
    vec_min(&v).print();
}
```

What is the type of `el`? Why?

# Shared borrows

Variant of `vec_min` to avoid the move:

```
fn vec_min(vec: &Vec<i32>) -> NumberOrNothing {
    let mut res = Nothing;
    for el in vec {
        match res {
            Nothing => { res = Number(*el); },
            Number(n) => {
                let m = if n < *el { n } else { *el };
                res = Number(m);
            }
        }
    }
    return res
}

fn main() {
    let mut v = vec![18,5,7,2,9,27];
    vec_min(&v).print();
    v.push(42);
    vec_min(&v).print();
}
```

When we access elements of a shared borrow of a vector (e.g., by iterating with a `for` loop), we get **shared borrows of the content**, because, in general, we cannot transfer ownership out of the vector.

Thus we need to **dereference `el!`**

# Unique borrows

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```
fn vec_inc(vec: &mut Vec<i32>) {  
    for el in vec {  
        *el += 1  
    }  
  
    fn main() {  
        let mut v = vec![18,5,7,2,9,27];  
        vec_inc(&mut v);  
        v.push(42); /* ... */  
    }  
}
```

Unique borrows are similar to shared borrows.

Differences:

- Allows mutation.
- ...

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# Unique borrows

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```
fn vec_inc(vec: &mut Vec<i32>) {  
    for el in vec {  
        *el += 1  
    }  
  
    fn main() {  
        let mut v = vec![18,5,7,2,9,27];  
        vec_inc(&mut v);  
        v.push(42); /* ... */  
    }  
}
```

Unique borrows are similar to shared borrows.

Differences:

- Allows mutation.
- Does not implement `Copy`.
- aka. **mutable borrow**, **mutable reference**.

# Borrows and methods

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```
impl NumberOrNothing {
    fn print( self ) {
        match self {
            Nothing =>
                println!( "The number is: <nothing>" ),
            Number(n) =>
                println!( "The number is: {}", n ),
        }
    }
}
```

Call:

- copy of `self`
- full ownership transfer

Can we pass a borrow for `self`?

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# Borrows and methods

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```
impl NumberOrNothing {
    fn print(&self) {
        match self {
            Nothing =>
                println!("The number is: <nothing>"),
            Number(n) =>
                println!("The number is: {}", n),
        };
    }
}
```

We can tell that `print` takes a borrow to `self`.

Same syntax at call site:

```
let n = Nothing;
n.print();
```

Borrowing is automatic at call-site for `self`.

Of course, this also works with `&mut`.

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# Pointers in data structures

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Imagine we have a vector of non-`Copy` elements. Say, `Vec<Vec<i32>>`.  
How do we mutate the content?

One possibility:

```
fn set(i: i32, v: Vec<i32>, l: &mut Vec<Vec<i32>>)
```

The problem: cannot modify a `Vec<i32>` which is already in the vector!  
We would need to move out the `Vec<i32>` and then move it in back. Not very efficient.

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Imagine we have a vector of non-`Copy` elements. Say, `Vec<Vec<i32>>`.  
How do we mutate the content?

Another possibility

```
fn get_mut(i: i32, l: &mut Vec<Vec<i32>>) -> &mut Vec<i32>
```

- But I told you that borrows end when function terminate, which would mean that the return value cannot be used (it aliases the borrowed variable).
- The truth is more complex: borrows have **lifetimes**.

Borrows have **lifetimes**, noted `'a`, `'b`, `'c`...

- In general, for a borrow we write `&'a T` or `&mut 'a T`.
  - In some situations, this can be abbreviated to `&T` / `&mut T`.

Functions can be **lifetime polymorphic** to return inner pointer.

Example adapted from `Vec` library:

```
fn last_mut<'a, T>(v: &'a mut Vec<T>) -> Option<&'a mut T>
```

- Returns a borrow with the **same lifetime** as the parameter
- The calling function can update the vector through the returned reference, as long as it does not access the vector directly at the same time
  - This preserves the ownership invariant (mutation XOR aliasing)
- The lifetime is **automatically inferred** when calling the function

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# Lifetimes, example

```
fn last_mut<'a, T>(v: &'a mut Vec<T>)
-> Option<&'a mut T>
{ ... }

fn f() {
    let mut v: Vec<i32> = vec![1,2,2];

    let opt = last_mut(&mut v);

    match opt {
        Some(last) => *last = 3,
        None => panic!()
    }
}
```

- When creating a borrow (with `&mut v`), Rust creates a **lifetime variable** `'a`.
- `v` is marked as **mutably borrowed** for `'a`.
  - `v` cannot be used as long as `'a` is alive.
- This lifetime variable goes through type inference.  
⇒ variable `last` has type `&'a mut i32`
- We have only one constraint for `'a`: be alive when `last` is used  
⇒ `'a` is inferred to be the orange zone.

# Lifetimes, example

```
fn last_mut<'a, T>(v: &'a mut Vec<T>)
-> Option<&'a mut T>
{ ... }

fn f() {
    let mut v: Vec<i32> = vec![1,2,2];

    let opt = last_mut(&mut v);

    match opt {
        Some(last) => *last = 3,
        None => panic!()
    }

    v.push(4)
}
```

Let's add a mutation of `v` after the end of `'a`.

Rust checks the status of `v` at the call.  
⇒ The lifetime `'a` has ended, `v` is available.  
⇒ Call allowed.

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# Lifetimes, example

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```
fn last_mut<'a, T>(v: &'a mut Vec<T>)
-> Option<&'a mut T>
{ ... }

fn f() {
    let mut v: Vec<i32> = vec![1,2,2];

    let opt = last_mut(&mut v);

    v.push(42); // Danger!

    match opt {
        Some(last) => *last = 3,
        None => panic!()
    }
}
```

If we try to mutate `v` when it is still borrowed

Rust checks the status of `v` at the call.  
The lifetime **has NOT ended**, the call is impossible.

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# Lifetimes, example

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```
fn last_mut<'a, T>(v: &'a mut Vec<T>)
    -> Option<&'a mut T>
{ ... }
```

```
fn f() {
    let mut v: Vec<...> = ...;

    let opt = last_mut(v);
    v.push(42); // Danger!

    match opt {
        Some(last) => ...
        None => panic!("no last element")
    }
}
```

```
error[E0499]: cannot borrow 'v' as mutable more than once at a time
--> src/lib.rs:10:3
   |
8 |     let opt = last_mut(&mut v);
   |                         ----- first mutable borrow occurs here
9 |
10|     v.push(42); // Danger!
   |             ----- second mutable borrow occurs here
11|
12|     match opt {
   |             --- first borrow later used here
```

If we try to mutate `v` when it is still borrowed

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# Basic operations on borrows

Copying a shared borrow

(recall: `&T: Copy`):

```
let x = &1;
let y = x;
println!("{} {}", *x, *y);
```

Downcasting mutability:

```
let x = &mut 1;      // x: &'a mut i32
let y: &i32 = x;   // y: &'a i32
```

Splitting a borrow (shared or unique):

```
let x = &mut (1, 2); // x: &'a mut (i32, i32)
let (x1, x2) = x;  // x1: &'a mut i32  x2: &'b mut i32
```

Directly on a pair/record/enum:

```
let mut p = (42, 12);
let x = &mut p.0;
let y = &p.1; // Allowed even if p.0 is uniquely borrowed
let z = &p.1; // Allowed even if p.1 is already borrowed immutably
let t = p.1; // Idem
*x = 43; // x: &mut i32
println!("{} {} {}", *x, *y, *z); // y, z: &i32
```

# Reborrowing

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```
fn last_mut<'a, T>(v: &'a mut Vec<T>)
    -> Option<&'a mut T>
{ ... }

let v = &mut vec![1, 2, 3];
match last_mut(&mut(*v)) { ... }
match last_mut(&mut(*v)) { ... }
```

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Reborrowing creates a shorter borrow of the content of a borrow.  
The old borrow is reactivated when the new borrow ends.

- Allows using a mutable borrow several times in a row.
- Happens implicitly in the vast majority of cases.
- The lifetime of the original borrow needs to be **longer** than the reborrowing lifetime.

# Lifetime subtyping

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Reborrowing uses a notion of **lifetime inclusion**

- '**a** outlives '**b**, written '**a**: '**b**.

This order relation: **lifetime subtyping**.

- The only source of subtyping in Rust.
- Constrained lifetime polymorphism:

```
fn f<'a, 'b: 'a>(...) -> ... { ... }  
fn f<'a, 'b>(...) -> ... where 'b: 'a { ... }
```

- Exercise: what are the variances of &? &**mut**? **Box**< >? **Vec**< >?
  - With respect to the lifetime parameter?
  - With respect to the type parameter?

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Most of the lifetime information is inferred by the compiler.

- We only need to annotate function types (and type declarations).

Key component of `rustc`: the **borrow checker**.

- Runs after traditional type-checking, and uses information from it.
- Uses variable liveness information from dataflow analysis.
- Tracks ownership (e.g., `Box<T>` value used only once) and infers lifetimes.
- Implemented on **MIR**, an intermediate representation in CFG form.

# The borrow checker

## Lifetime inference

The borrow checker interprets each lifetime `'a` as a set  $\llbracket 'a \rrbracket$  containing:

- nodes of the CFG,
- elements `end('a)` for lifetimes `'a` generalized at the function's prototype.

Type-checking generates fresh lifetime variables and outlives constraints "`'a: 'b`:

- Examples: reborrowing, function calls, coercions, ...
- Interpreted as set inclusion  $\llbracket 'b \rrbracket \subseteq \llbracket 'a \rrbracket$

Further constraints are added:

- $L \in \llbracket 'a \rrbracket$  when `'a` appears in the type of a live variable at CFG node `L`;
- $\text{end}('a) \in \llbracket 'a \rrbracket$  for any universal variable `'a`;
- $L \in \llbracket 'a \rrbracket$  for any universal variable `'a` and any CFG node `L`.

Borrow checker finds the smallest solution of the set of constraints (fixpoint algorithm).

# The borrow checker

## Checking the program

Once sets  $\llbracket 'a \rrbracket$  are computed, it remains to check:

- that variables accesses are valid:
  - No read if not initialized or moved out.
  - No access if existing mutable loan with alive lifetime.
  - No write if existing immutable load with alive lifetime.
  - Question: when should borrowing be allowed?
- that outlives constraints at the function prototype are sufficient:
  - For any universally quantified lifetimes  $'a$  and  $'b$ , if  $\text{end}('a) \in \llbracket 'b \rrbracket$ , then outlives constraints should imply  $'b : 'a$ .

# The borrow checker

## Checking the program

Once sets `['a]`

- that variab

- No rea
- No acc
- No wr
- Questi

- that outlive

- For an
- constraints

Implementing the borrow checker for a much simplified version of Rust will be your **programming project!**

Additional complications in `rustc`:

- Instead of variables: **places**
  - E.g., `rustc` treats `p.0` and `p.1` independently.
- Language features: closures...
- Efficient algorithm for fixpoint computation.
- ...

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Rc<T>

Despite zero-cost abstractions, the requirement of safety has some costs:

- no loop in memory graph,
- shared ownership: restricted to borrows (statically known lifetime),
- linking to external libraries: not always follow ownership discipline,
- bounds checks for `Vec` accesses.

One can use **unsafe Rust** to avoid these costs.

- A set of features that extend Rust.
- Only available in `unsafe` blocks or `unsafe` functions.
- No guarantee of safety:
  - one should **encapsulate unsafety** behind **safe abstractions**,
  - or mark functions with unsafe behavior as `unsafe`.

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# Unsafe features

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- Dereference **raw pointers**.
- Call unsafe functions (e.g., accessing `Vec<T>` without bounds checks).
- Implement **unsafe** traits (e.g., `Send` and `Sync`, see next week).

And things we won't discuss:

- Mutate global variables (called **static** variables in Rust)
  - (because that can lead to data races).
- Access **union** types.

# Undefined behaviors

Using these features is **unsafe**.

⇒ the compiled program can crash even if type-checked!

It is important to know when a program triggers **undefined behavior**.

This is sometimes **very subtle**.

Rust provides an experimental **reference interpreter**, called **Miri**, which detects **some** undefined behavior.

- Can be used on concrete code for testing.

Still, writing unsafe code should be **reserved to experts**. There is a book dedicated to writing unsafe code: **Rustonomicon**.

So I will not teach this here. Instead, we will see why this is subtle.

# Unsafe and aliasing

Common use of unsafe code: weaken aliasing restrictions.

Raw pointers `*mut T` and `*const T`:

- have no statically checked aliasing restriction,
- can coerce from/to borrows (both shared and unique),
- can easily be used to break any aliasing policy.

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# Unsafe and aliasing

Common use of unsafe code: weaken aliasing restrictions.

Raw pointers `*mut T` and `*const T`:

- have no statically checked aliasing restriction,
- can coerce from/to borrows (both shared and unique),
- can easily be used to break any aliasing policy.

But the compiler may assume known aliasing properties on borrows to perform some optimizations:

```
fn test_noalias(x: &mut i32, y: &mut i32) -> i32 {  
    // x, y cannot alias: they are unique borrows  
    *x = 42;  
    *y = 37;  
    return *x; // must return 42 -- can be optimized  
}
```

⇒ The programmer should take care of not breaking these aliasing guarantees using raw pointers!

# Unsafe and aliasing

Common use of unsafe code: weaken aliasing restrictions.

Raw pointers `*mut T` and `*const T`:

- have no statically checked aliasing restriction,
- can coerce from/to borrows (both shared and unique),
- can easily be used to break any aliasing policy.

But the compiler may assume known aliasing properties on borrows to perform some optimizations:

```
fn test_unique(x: &mut i32) -> i32 {  
    *x = 42;  
    // unknown_function cannot have an alias to x  
    unknown_function();  
    return *x; // must return 42 -- can be optimized  
}
```

⇒ The programmer should take care of not breaking these aliasing guarantees using raw pointers!

# Unsafe and aliasing

Common use of unsafe code: weaken aliasing restrictions.

Raw pointers `*mut T` and `*const T`:

- have no statically checked aliasing restriction,
- can coerce from/to borrows (both shared and unique),
- can easily be used to break any aliasing policy.

But the compiler may assume known aliasing properties on borrows to perform some optimizations:

```
fn test_shared(x: &i32) -> i32 {  
    let y = *x;  
    // unknown_function cannot have a mutable alias to x  
    unknown_function();  
    return *x + y; // can be optimized to 2*y  
}
```

⇒ The programmer should take care of not breaking these aliasing guarantees using raw pointers!

# Undefined behavior and aliasing

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Some rules are needed to tell what one can do with raw pointers.

These rules must be a balance between:

- flexibility for the programmer of unsafe code;
- allowing optimizations.

Choosing these rules is still an [open problem](#).

The Miri interpreter implements two sets of rules called [Stacked Borrows](#) and [Tree Borrows](#):

- experimental and imperfect,
- but executable on concrete tests.

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# Undefined behavior and aliasing

Introduction to  
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Some rules are needed to tell what one can do with raw pointers.

These rules must be a balance between:

- flexibility for users
- allowing operations

If you write unsafe code, you need to follow rules like these.

Choosing these

The Miri interpreter

Borrows:

I told you, writing correct unsafe code is subtle...

- experimental and imperfect,
- but executable on concrete tests.

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How to benefit from the power of unsafe code without paying the cost?

Use **libraries** written using unsafe features but with safe interfaces.

Example: `Vec<T>`, resizable arrays:

- fully written in Rust with unsafe features,
- yet, most of the functions exposed by `Vec<T>` are safe!

# Example of a safe abstraction: a queue based on a linked list

Let's say we would like to implement a FIFO queue using a singly linked list.

We need a pointer both at the beginning (for `pop`) and at the end of the list (for `push`).

Aliasing rules are violated, we need unsafe code.

# Example of safe abstraction: Queue<T>

```
mod queue {  
    pub struct Queue<T> {  
        head: *mut Node<T>,  
        tail: *mut Node<T>  
    }  
    struct Node<T> {  
        elem: T,  
        next: *mut Node<T>,  
    }  
    ...  
}  
use queue::*;

fn (q: Queue<i32> /* Allowed */ {  
    ...  
    q.head /* Error */  
    ...  
    Queue { ... } /* Error */  
    ...  
    let x : Node<i32> /* Error */ = ... ;  
    ...  
}
```

We use **modules** as an encapsulation mechanism.

Some elements of the modules are marked with **pub**, they are public.

The other elements are private.

Fields of **struct** are also either public or private.

- Queue has no public field: **abstract type!**

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# Working around aliasing rules

Can we do better than `unsafe`?

On the one hand, Rust aliasing rules are strict;  
on the other hand, `unsafe` code seems too subtle to write...

Can we do better?

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# Working around aliasing rules

Can we do better than `unsafe`?

On the one hand, Rust aliasing rules are strict;  
on the other hand, `unsafe` code seems too subtle to write...

Can we do better?

We can use `interior mutability`:

- libraries that relax aliasing rules, `safely`,
- written with `unsafe` code, but safely encapsulated!
- Common feature: updating memory using a shared borrow, with appropriate restrictions.
  - (Uses special annotation to disable some optimizations.)

Any idea of an API with interior mutability?

# Cell<T>

```
pub struct Cell<T> { ... }

impl<T> Cell<T> {
    pub fn new(value: T) -> Cell<T> { ... }
    pub fn into_inner(self) -> T { ... }
    pub fn set(&self, val: T) { ... }
    pub fn replace(&self, val: T) -> T { ... }
    pub fn get(&self) -> T where T : Copy { ... }
}
```

Informally, why is this safe?

```
pub struct Cell<T> { ... }

impl<T> Cell<T> {
    pub fn new(value: T) -> Cell<T> { ... }
    pub fn into_inner(self) -> T { ... }
    pub fn set(&self, val: T) { ... }
    pub fn replace(&self, val: T) -> T { ... }
    pub fn get(&self) -> T where T : Copy { ... }
}
```

Informally, why is this safe?

From `&Cell<T>`, we can never get a (shared or mutable) borrow of the content. Hence, invariants on borrows of `T` cannot be violated.

We can only exchange values of type `T` or get a copy, but no internal borrow.

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```
pub struct Cell<T> { ... }

impl<T> Cell<T> {
    pub fn new(value: T) -> Cell<T> { ... }
    pub fn into_inner(self) -> T { ... }
    pub fn set(&self, val: T) { ... }
    pub fn replace(&self, val: T) -> T { ... }
    pub fn get(&self) -> T where T : Copy { ... }
}
```

Informally, why is this safe?

From `&Cell<T>`, we can never get a (shared or mutable) borrow of the content. Hence, invariants on borrows of `T` cannot be violated.

We can only exchange values of type `T` or get a copy, but no internal borrow.

And what if we **do want** an internal borrow?

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# RefCell<T> API(1/2)

## RefCell, RefMut

```

pub struct RefCell<T> { ... }
pub struct RefMut<'b, T> where T: 'b { ... }

impl<T> RefCell<T> {
    pub fn new(value: T) -> RefCell<T> { ... }
    pub fn into_inner(self) -> T { ... }

    /* Checks there is no borrow. Marks as uniquely borrowed. */
    pub fn borrow_mut<'a>(&'a self) -> RefMut<'a, T> { ... }
}

/* This DerefMut instance means RefMut<'b, T> can be used as &'b mut T*/
impl<'b, T> DerefMut for RefMut<'b, T> {
    fn deref_mut<'a>(&'a mut self) -> &'a mut T /* where 'b: 'a */ { ... }
}
/* This Deref instance means RefMut<'b, T> can be used as &'b T */
impl<'b, T> Deref for RefMut<'b, T> {
    type Target = T
    fn deref<'a>(&'a self) -> &'a T /* where 'b: 'a */ { ... }
}

/* Destructor. */
impl<'a, T> Drop for RefMut<'a, T> {
    /* Mark RefCell as not borrowed. */
    fn drop(&mut self) { ... }
}

```

# RefCell<T> Example

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```
fn use_refcell(x : &RefCell<Vec<i32>>) {  
    let mut v: RefMut<'_, Vec<i32>> = x.borrow_mut();  
    v.push(42); // v can be used just like a unique borrow  
  
    /* Panics: there already is a unique borrow. */  
    /* let v2 = x.borrow_mut().push(16); */  
  
    /* Implicit : v.drop(); */  
}  
  
/* The RefMut is dropped, I can create another one: */  
println!("{}", x.borrow_mut()[0]);  
}
```

## RefCell&lt;T&gt; API (2/2)

Ref

```
...
pub struct Ref<'b, T> where T: 'b { ... }

impl<T> RefCell<T> {
    ...
    /* Checks there is no unique borrow. Increments borrow count. */
    pub fn borrow<'a>(&'a self) -> Ref<'a, T> { ... }
}

/* This Deref instance means Ref<'b, T> can be used as &'b T */
impl<'b, T> Deref for Ref<'b, T> {
    type Target = T
    fn deref<'a>(&'a self) -> &'a T /* where 'b: 'a */ { ... }
}

/* Destructor. */
impl<'a, T> Drop for Ref<'a, T> {
    /* Decrement borrow count. */
    fn drop(&mut self) { ... }
}
```

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# Soundness

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Why is RefCell sound?

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Why is RefCell sound?

The aliasing rule (aliasing XOR mutation) is enforced dynamically, through an internal counter.

We can see RefCell as a non-concurrent reader/writer lock.

# Subtle API question regarding lifetimes

In the standard library:

```
impl<'b, T> RefMut<'b, T> {
    pub fn map<U, F>(orig: RefMut<'b, T>, f: F) -> RefMut<'b, U>
        where F: FnOnce(&mut T) -> &mut U
    { ... }
}

impl<'b, T> Ref<'b, T> {
    pub fn map<U, F>(orig: Ref<'b, T>, f: F) -> Ref<'b, U>
        where F: FnOnce(&T) -> &U
    { ... }
}
```

It can be used e.g., to transform a `RefMut<'b, T>` to a `RefMut` to one of the fields of `T`.

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# Subtle API question regarding lifetimes

In the standard library:

```
impl<'b, T> RefMut<'b, T> {
    pub fn map<U, F>(orig: RefMut<'b, T>, f: F) -> RefMut<'b, U>
        where F: FnOnce(&mut T) -> &mut U
    { ... }
}

impl<'b, T> Ref<'b, T> {
    pub fn map<U, F>(orig: Ref<'b, T>, f: F) -> Ref<'b, U>
        where F: FnOnce(&T) -> &U
    { ... }
}
```

It can be used e.g., to transform a `RefMut<'b, T>` to a `RefMut` to one of the fields of `T`.

Exercise: what are the lifetimes of borrows  used in closures?  
Give (counter-)examples.

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# Rc<T>

A pointer to T, with reference counting

```
struct Rc<T> { ... }

impl<T> Rc<T> {
    pub fn new(value: T) -> Rc<T> { ... }
}

/* This Deref instance means Rc<T> can be used as &T */
impl<T> Deref for Rc<T> {
    type Target = T
    fn deref<'a>(&'a self) -> &'a T { ... }
}

impl<T> Clone for Rc<T> {
    /* Copy the pointer, and increment the reference count. */
    fn clone(&self) -> Rc<T> { ... }
}

impl<T> Drop for Rc<T> {
    /* Drop the pointer, decrement the reference count, and recursively
       drop+deallocate if count is 0. */
    fn drop(&mut self) { ... }
}
```

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# Rc<T>

A pointer to T, with reference counting

```
struct Rc<T> { ... }

impl<T> Rc<T> {
    pub fn new(value: T) -> Rc<T> { ... }
}

/* This Deref implements Deref for Rc<T>
 * Copy the value
 */
impl<T> Deref for Rc<T> {
    type Target = T;
    fn deref<'a>(&'a self) -> &'a T {
        self.0
    }
}

impl<T> Clone for Rc<T> {
    fn clone(&self) -> Rc<T> {
        self.0.clone()
    }
}

impl<T> Drop for Rc<T> {
    /* Drop the pointer, decrement the reference count, and recursively
     * drop+deallocate if count is 0. */
    fn drop(&mut self) { ... }
}
```

This is typically used for implementing data structures with sharing.  
Example: purely functional maps, BDDs...

Why do I say this is interior mutability?

# Rc<T>

A pointer to T, with reference counting

```
struct Rc<T> { ... }

impl<T> Rc<T> {
    pub fn new(value: T) -> Rc<T> { ... }
}

/* This Deref implements Deref for Rc<T>
 * Copy the value
 */
impl<T> Deref for Rc<T> {
    type Target = T;
    fn deref<'a>(&'a self) -> &'a T {
        self.0.deref()
    }
}

impl<T> Clone for Rc<T> {
    /* Copy the value
     */
    fn clone(&self) -> Rc<T> {
        self.0.clone()
    }
}

impl<T> Drop for Rc<T> {
    /* Drop the pointer, decrement the reference count, and recursively
       drop+deallocate if count is 0. */
    fn drop(&mut self) { ... }
}
```

This is typically used for implementing **data structures with sharing**.  
Example: purely functional maps, BDDs...

Interior mutability is limited to the reference count.

# Getting mutable references

*A priori*, an `Rc<T>` can be aliased, so we don't have a `DerefMut` instance.

But we have:

```
impl<T> Rc<T> {
    /* Checks the count is equal to 1. */
    pub fn get_mut(this: &mut Rc<T>) -> Option<&mut T> { ... }

    /* Clone-on-write: clone the content to a fresh location if the count is not 1. */
    pub fn make_mut(this: &mut Rc<T>) -> &mut T
        where T : Clone { ... }
}
```

Of course, this prevents mutation and aliasing.

How would you get a functionality close to an OCaml's `ref` type?

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Answer: `Rc<RefCell<T>>`.

# A note on performances

Reference counting is sometimes considered slow.

This is because it usually requires a lot of updates to the reference counts (ex. parameter passing, assignments to a variable...)

In Rust, we can mix reference counting and borrowing:

- Use borrows when doing a read-only traversal of a data structure.
- Increment the count only when creating a new long-lived reference.

This gives better control, and better performances.