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IN THE COURT OF APPEAL OF THE STATE OF CALIFORNIA
SECOND APPELLATE DISTRICT
DIVISION SIX

THE PEOPLE,

Plaintiff and Respondent,

v.

MICHAEL JOHN REA,

Defendant and Appellant.

2d Crim. No. B231363
(Super. Ct. No. 13474046)
(Ventura County)

Appellant appeals from the judgment entered following his court trial and conviction of battery causing injury on a peace officer (Pen. Code, § 243, subd. (c)(2));¹ sale or transportation of methamphetamine (Health & Saf. Code, § 11379, subd. (a)); possession of methamphetamine for sale (Health & Saf. Code, § 11378); driving while under the influence of a drug (Veh. Code, § 23152, subd. (a)); being under the influence of methamphetamine (Health & Saf. Code, § 11550, subd. (a)); and two counts of resisting arrest (§ 69). The court also found true section 12022.7, subdivision (a) allegations that he personally inflicted great bodily injury on the victim in committing battery and resisting arrest. Appellant admitted allegations that he had a prior conviction within the meaning of Health and Safety Code section 11370.2, subdivision (c), and served four prior prison terms within the meaning of section 667.5, subdivision (b). The

¹ All statutory references are to the Penal Code unless otherwise stated.

trial court sentenced him to serve 12 years 4 months in state prison.² Appellant contends that the trial court committed prejudicial error and violated his constitutional rights by denying his request to revoke his previously invoked *Faretta v. California* (1975) 422 U.S. 806 (*Faretta*) right to represent himself; by denying his request to continue the trial date; and by failing to make timely disclosure of the court's acquaintance with a witness. He also requests that we examine the record of his hearing pursuant to *Pitchess v. Superior Court* (1974) 11 Cal.3d 531. We conditionally reverse for the administration of the oath to the custodian of sheriff's records. (*People v. White* (2011) 191 Cal.App.4th 1333.) Should no records be found, as described in our dispositional order below, the judgment will be affirmed.

BACKGROUND

March 2010 Offenses

On March 27, 2010, in the early afternoon, Santa Barbara Deputy Sheriff Charles Anderson was in uniform, driving a marked patrol car through Los Olivos. He noticed appellant driving without a front license plate and stopped his car. Appellant spoke very rapidly, his hands were shaking, and he was fidgety. Anderson returned to the patrol car to check the Department of Motor Vehicles records, and noticed appellant shifting around in his seat.

Anderson returned to appellant's car, and asked him to remove his sunglasses, tilt his head back, and close his eyes. He complied. Anderson noticed that appellant had an "extreme amount of eyelid tremors," and might be under the influence of methamphetamine. Appellant said he had smoked marijuana several hours earlier.

² Appellant's sentence includes a three-year midterm for sale of a controlled substance, plus a three-year Health and Safety Code section 11370.2 enhancement; eight months for one resisting arrest offense (one-third the midterm of two years), with a one-year great bodily injury enhancement; eight months for a second resisting arrest offense; plus one additional year for each of appellant's four prior prison terms. The court stayed the sentence on the battery and possession for sale of a controlled substance offense pursuant to section 654. It imposed a concurrent one-year term for the misdemeanor conviction of being under the influence of a controlled substance. (Health & Saf. Code, § 11550, subd (a).)

Anderson returned to the patrol car, called and waited for back-up. At about 1:45 p.m., Santa Barbara Deputy Sheriff Robert Samaniego arrived, and Anderson asked appellant to step out of his car. He initially refused but complied. While taking him to a shady spot for field sobriety testing, Anderson noticed a crumbly, green substance that looked like marijuana on the front of appellant's shirt. During the sobriety testing, appellant had a very high pulse rate. Anderson concluded that he was under the influence of methamphetamine and marijuana, and told appellant he was arresting him. As he tried to handcuff him, appellant's fist or elbow struck Anderson's forehead, above his right eye.

Samaniego testified that appellant spun around when Anderson tried to arrest him. When Samaniego tried to assist, all three men fell to the ground, where appellant continued struggling and resisting. The deputies struggled with him for approximately four to six minutes before they could restrain him. During that time, they instructed him to give them his hands. Appellant said, "No," and managed to stand. After trying twice without success to restrain appellant with a taser, Samaniego struck him once on his left thigh with a baton. Using the taser, Samaniego managed to get appellant to the ground, where he and Anderson handcuffed him.

Two bystanders, Joseph Hoage and Sandra Clary, observed the struggle and testified at trial. Hoage recalled that just after Anderson asked him to place his right hand behind his back, appellant punched Anderson in the head. Anderson looked dazed. When Samaniego rushed over to help, Hoage said that a "full on brawl" broke out with appellant "100 percent resisting" because he did not want to be handcuffed. Hoage saw appellant fight with the deputies and ignore their orders. Clary testified that appellant failed to comply with the deputies' verbal orders and struggled with them.

After handcuffing appellant, Samaniego and other deputies searched his car. They recovered 2.41 grams of methamphetamine, some marijuana, plastic baggies, two digital scales, a book about marijuana cultivation, two cell phones, a pipe for smoking methamphetamine, and cigarette rolling paper. The seized methamphetamine would provide 24 usable doses with a total value of about \$240.

Based upon the quantity of methamphetamine, the presence of scales and baggies, and the contents of some text messages on the cell phones, Santa Barbara Deputy Sheriff Neil Gowing, a member of the narcotics unit, opined that appellant possessed the methamphetamine and the marijuana for purposes of sale.

Samaniego sustained bruises on his knees and right hands from struggling with appellant. Anderson suffered more serious injuries. He traveled from the scene of the incident to the hospital, by ambulance, and was discharged that evening. The initial blow to his forehead "dazed" him, made him "black out" and caused a concussion, with extreme swelling and vomiting. The day after the incident, his entire body was extremely sore, with multiple cuts and abrasions. The concussion affected his memory of the incident. His knees remained sore for about three months. At the time of trial, over eight months after the incident, he still had some swelling and numbness around his right eye.

Prior Resisting Arrest Incident

Grover Beach Police Sergeant Jerry Cornwell testified that on Christmas Day in 2008, he and two other uniformed officers spoke with appellant outside his front door. An officer advised him that they were arresting him and directed him to turn around and place his hands behind his back. Appellant failed to comply and moved toward his house. The three officers grabbed him and took him to the ground, where appellant physically resisted and failed to comply with the officers' repeated orders to put his hands behind his back.

Defense Evidence

Appellant testified that he was surprised when Anderson tried to handcuff him on March 27, 2010. He did not intend to attack anyone that day. He was "high on meth and on marijuana" at the time of the incident, and did not remember what happened after Anderson first grabbed him. He did remember pulling away from Anderson. Appellant admitted that he resisted Grover Beach Sergeant Cornell during a 2008 incident.

Defense investigator Ron Rose testified that he formerly worked as a police officer. He explained that a person typically draws inward when reacting to a surprise.

Rose believed that appellant resisted arrest but questioned whether he intended to hurt Anderson.

DISCUSSION

Appellant contends that the trial court committed prejudicial error by denying his request to revoke his *Faretta* waiver, or in the alternative, by denying a continuance to allow him to prepare for trial in pro per. (See *Faretta, supra*, 422 U.S. 806.) We disagree.

Procedural Background

On May 24, 2010, appellant filed a motion to substitute his appointed counsel under *People v. Marsden* (1970) 2 Cal.3d 118 (*Marsden*), before the preliminary hearing. The court denied his motion after conducting a *Marsden* hearing.

On September 20, 2010, appellant pled not guilty. The court set November 9, 2010 as the trial date.

On November 1, 2010, eight days before the scheduled trial date, appellant moved to represent himself pursuant to *Faretta*. He withdrew the motion on the following day.

On November 2, 2010, the trial court heard a defense continuance request. After initially opposing the continuance, the prosecutor agreed to continue the trial to November 30, 2010. Just before adjourning on November 2, the court reiterated that the case was set for jury trial on November 30, and admonished the parties to "[p]lan to start the jury trial at 9:30."

On November 12, 2010, appellant filed a hand-written *Marsden* motion. He also submitted a signed, completed form waiver of his right to counsel and election to act without a lawyer.

On November 15, 2010, the trial court conducted in-camera proceedings, and denied appellant's *Marsden* motion. It also considered his request to represent himself pursuant to *Faretta*. The court discussed the *Faretta* waiver form with him, and admonished him that he would be required to prepare his defense, do his own research, and conduct his own investigation, without the advice of a lawyer. Appellant informed

the court that the only things he had yet to do were to "talk to the witnesses," obtain some reports in possession of his counsel and view the COBAN.³ Defense counsel then stated that "if there [were] police reports [appellant did] not have yet," he would make attempts to get them that afternoon, or have them "delivered to the jail" the next day.

The trial court then admonished appellant that he "would be forced to be ready for trial on the 30th without really any more resources than [he had] at present except for the opportunity to present [his] own case." Counsel inquired whether the court would appoint an investigator to assist appellant despite the short notice. The court responded, "Well, we would attempt to do that, but there are time constraints here, including the Thanksgiving holiday. The only basis on which this motion would be granted is Mr. Rea's understanding that he is going to have to run--assume the responsibility for the trial at this point with whatever services we can provide to assist him. [¶] If you're saying you cannot be ready for trial without having an investigator appointed for you to do additional discovery, then I think what you're telling me then is the motion is not timely." Appellant then stated, "I didn't say that. My lawyer did. [¶] There's 10 maybe or so working days between now and then. Even if I filed the motion right now, we would be hearing that motion on the same day set for trial. I think I've been forced into this trial date. The suppression hearing was stretched out over 26 days it looks like or more or so, and the whole time that I was under the understanding that I was going to be able to file the writ of mandate, I think that forcing me into a trial right now is partial and not fair. [¶] As I understand it's--the DA wants to move forward with that. I would just say that underlines the unfairness and basically what I'm trying to get through, the whole point. [¶] The court responded, "Well, what I hear you saying is . . . you are not prepared to go to trial. You don't --" [¶] Appellant interjected, "Well, I have motions that I'm prepared to file right now today. If they would interfere with the date of the 30th which we --that's what --there ain't going to be a jury sworn in on that

³ COBAN is an audio and visual system that automatically records events occurring when the patrol vehicle lights are activated. An officer can manually turn on the COBAN system.

day, is there?" [¶] The court replied, "That's the hope we would begin the jury selection process on the 30th." [¶] Appellant then stated, "It's the beginning. I mean it's not like the witnesses have to be there on the 30th then to help me swear in a jury and pick a jury. And I have motions I want to file. I told my lawyer I wanted them filed. He hasn't filed them. So, you know, I think it's my constitutional right to be able to file them myself or have a lawyer that will."

The prosecutor advised the court that he would waive notice on the motions, and said it was fine, as long as he got the motions the day of trial. Appellant briefly described the motions. The court and appellant then resumed their dialogue:

"THE COURT: Are you representing to the court, Mr. Rea, that you will not seek a continuance of the trial date if you are representing yourself?

"[APPELLANT]: I'm saying that I would be ready to go forward if a continuance was denied. The part of the aspect of the motion to disqualify the District Attorney requires some affidavits, maybe even some testimony. I don't know I will be able to do that on my own with resources that I have. [¶] I think you're asking me if I'm--if I'm going to try to delay things. I'm not going to try to delay things. I just think things are going to unfold delayed.

"THE COURT: Well, I understand you have motions to change venue and motions to disqualify the District Attorney which if granted would result in necessary delays in the trial. But what I'm looking for is an assurance from you that you are prepared, I think I heard it, to go forward on the 30th if those motions are denied.

"[APPELLANT]: I would be prepared, but at the same time I would want to point out on --that it's fairly common to ask for a stay when somebody is appealing something, and I plan on appealing with the writ of mandamus and asking for the writ-- a stay--temporary stay on that, too. [¶] And it's my understanding I should be able to ask this court for a stay to get that paperwork ready. Now, I will be ready to go if everything is denied.

"THE COURT: And finally you have checked the box that you understand you will be--if you represent yourself up against an experienced, thoroughly prepared

prosecuting attorney who will give you no consideration whatsoever, and that you will likely be at an extreme disadvantage and could lose your case.

"[APPELLANT]: I'm already at an extreme disadvantage.

"THE COURT: Well, you have checked the box indicating you understand this. I'm just confirming it with you.

"[APPELLANT]: Yes, I do understand it.

"THE COURT: If you're inadequately represented at present, that may be . . . grounds for appeal. If you represent yourself, it may not be grounds for relief.

"[APPELLANT]: I didn't check the box that said the representation up until this point was adequate. I understand that my representation of myself after this point will not be something that's appealable. Is that what you mean?

"THE COURT: That's what I mean. [¶] All right. Then on the understanding no continuance would be required until the motions still to be filed are granted, the court relieves Mr. Carroll and permits Mr. Rea to act as his own attorney." Appellant did not object to the court's description of their understanding. "

On November 19, 2010, the trial court heard and denied several motions filed by appellant, including motions to disqualify the prosecutor, change venue, and reduce appellant's bail. His continuance motion cited several factors, including his former counsel's failure to adequately investigate evidence before the preliminary hearing. During the hearing, appellant complained about his limited access to resources in the jail and the fact that he had not received a prosecution expert report concerning excessive force. When he received the report later that afternoon, he complained that he would not be able to do anything with it because he was not allowed to make phone calls from jail. During the hearing, appellant denied having promised he would not to seek a continuance in order to obtain pro per status. Rather, he said, he had indicated that if the court denied his continuance, he "would be ready to go."

On November 22, 2010, the trial court stated that attorney Carroll had indicated that if he were reappointed to represent appellant, he would need at least a two-

week continuance to prepare for trial. The court then denied appellant's continuance request, without prejudice to renewing it on November 29th, if a new issue arose.

On November 29, 2010, the trial court stated that, given juror availability considerations, trial would begin on December 1st. It proposed that the parties return the following day to discuss any outstanding issues.

On November 30, 2010, the trial court announced that it had received a written request from appellant to withdraw his motion to represent himself and to reappoint Mr. Carroll, the same lawyer he had earlier asked the court to remove. The court expressed concern that granting the motion would result in delay and disruption because the case involved multiple witnesses and there was inherent difficulty in getting them lined up in a busy trial schedule. It noted that appellant's former counsel had informed the court that it would "take at least a couple of weeks for him to be up to speed from his point of view." The court concluded that it would be unreasonably disruptive to change course at that point and try to bring in appointed counsel at such a late stage, and denied appellant's motion to withdraw or revoke his *Faretta* waiver.

Appellant then waived his right to a jury trial, and inquired whether the absence of a jury might enable Carroll to prepare more quickly. Carroll advised the trial court that he would not be prepared to proceed without a continuance. Given his response, the court reaffirmed its ruling denying appellant's motion to withdraw his *Faretta* waiver. Appellant requested a continuance, and the court denied his request.

During trial, at the conclusion of Deputy Anderson's direct examination, appellant asked the court to allow him to begin cross-examination the following day. He said he was tired and had a headache. At his request, the trial court postponed Anderson's cross-examination. The prosecution called two other witnesses that afternoon. The next morning, a Friday, appellant said that he had another headache and asked that Anderson's cross-examination be continued so that he could prepare over the weekend. The court expressed its preference for Anderson's cross-examination to begin. Appellant responded, "I'm ready, then," and cross-examined him.

*The Trial Court Did Not Abuse Its Discretion in Denying
Appellant's Request to Revoke His Faretta Waiver*

A trial court has wide discretion in deciding whether to appoint counsel for a criminal defendant who previously invoked his right to represent himself pursuant to *Faretta*. (*People v. Lawrence* (2009) 46 Cal.4th 186, 191-192.) We review the court's ruling for an abuse of discretion. (*Id.* at p. 192.) In determining whether a trial court has abused its discretion in denying such a request, we consider factors such as (1) defendant's prior history in the substitution of counsel and in the desire to change from self-representation to counsel representation; (2) the reasons set forth in the request; (3) the length and stage of the proceedings; (4) the disruption or delay which reasonably might be expected to ensue from the granting of such motion; and (5) the likelihood of defendant's effectiveness in defending against the charges if required to continue to act as his own attorney. (*Ibid.*) However, we determine whether the court abused its discretion by considering the totality of facts and circumstances surrounding the request for reappointment of counsel, rather by a mechanical weighing of those factors. (*Ibid.*; *People v. Gallego* (1990) 52 Cal.3d 115, 164.) The trial court did not abuse its discretion in denying appellant's request to revoke his *Faretta* waiver.

In late November, appellant asked to revoke his *Faretta* waiver, barely two days before the date set for the trial to begin, and asked for the reappointment of attorney Carroll, despite his having made *Marsden* motions seeking Carroll's removal in May and on November 15, 2010. In addition, appellant had filed an earlier *Faretta* motion on November 1, which he withdrew the next day. Given those circumstances, the trial court could reasonably infer that he sought to revoke his *Faretta* waiver for purposes of delay and that he would not actually be satisfied if the court reappointed Carroll. Moreover, before granting appellant's *Faretta* request, the court admonished him, in writing, about the dangers of representing himself and he nevertheless chose to do so. The court also had required appellant's assurance that he would be prepared to start trial on schedule without a continuance. Before denying appellant's request to revoke his *Faretta* waiver,

the court expressed its concern about juror and witness availability, as well as the difficulties a delay would pose for other scheduled matters.⁴

Even assuming that the trial court somehow exceeded the bounds of reason in denying appellant's request to revoke his *Faretta* waiver, it is not reasonably probable that he would have obtained a more favorable outcome if the court had appointed counsel to represent him, in view of the overwhelming evidence of his guilt. (*People v. Watson* (1956) 46 Cal.2d 818, 836; *People v. Elliott* (1977) 70 Cal.App.3d 984, 998.) Four witnesses (two deputies and two bystanders) testified as to the battery and resisting arrest charges. In addition, a Grover City police officer testified that in 2008, appellant resisted the efforts of several officers who tried to arrest him. As the above background discussion explains, there was also compelling evidence that appellant transported methamphetamine, and possessed it for the purpose of sale, and strong evidence that he was under the influence of methamphetamine on March 27, 2010.

We reject appellant's claim that an attorney might have presented a successful voluntary intoxication defense to the two counts of resisting a peace officer. Because the prosecution relied on the resistance prong of section 69, the offense was a general intent crime rather than a specific intent crime. (*People v. Rasmussen* (2010) 189 Cal.App.4th 1411, 1420-1421; see *In re Manuel G.* (1997) 16 Cal.4th 805, 814.) As such, voluntary intoxication was not a defense. (§ 22.) We have considered appellant's other arguments regarding ways that an attorney could have presented his case more

⁴ The instant matter commenced on March 30. Over the ensuing months appellant twice sought to discharge his appointed counsel, twice sought to represent himself (and once to "unrepresent himself"), to disqualify not only his counsel but also the district attorney and the trial judge. After he was granted pro. per. status he made the court aware of his intention to bring "a writ of mandate" and other matters despite being on notice that the time for trial was fast approaching and that the court would be chary with the granting of continuances. In short, it appears that appellant was "gaming the system." (See *People v. Dale* (1978) 78 Cal.App.3d 722, 732.) As surely as the court has an obligation to insure defendant receives a fair trial it has a "duty to the public to prevent a defendant from playing games in court." (*Ibid.*)

effectively. We conclude that he was not prejudiced by the court's denial of his request to revoke his *Faretta* waiver, in view of the compelling evidence against him.

Continuance Request

Appellant further contends that the trial court abused its discretion and deprived him of due process by denying his requests to continue his trial. We disagree.

It is in the trial court's sound discretion to determine whether a continuance should be granted, but the court must not exercise its discretion to deprive the defendant of a reasonable opportunity to prepare. (*People v. Jackson* (2009) 45 Cal.4th 662, 677-678.) The trial court abuses its discretion if its ruling is unreasonable. (*People v. Beames* (2007) 40 Cal.4th 907, 920.) "The party challenging a ruling on a continuance bears the burden of establishing an abuse of discretion, and an order denying a continuance is seldom successfully attacked. [Citation.]" (*Ibid.*)

Section 1050, subdivision (b) provides that "[t]o continue any hearing in a criminal proceeding, including the trial, . . . a written notice shall be filed and served . . . at least two court days before the hearing sought to be continued, together with affidavits or declarations detailing specific facts showing that a continuance is necessary" A continuance shall not be granted absent good cause. (*Id.*, subd. (e).)

A court may condition the granting of the right of self- representation on defendant's waiver of a continuance. (*People v. Valdez* (2004) 32 Cal.4th 73, 103.) A "defendant who chooses to represent himself assumes the responsibilities inherent in the role which he has undertaken,' and 'is not entitled to special privileges not given an attorney' [Citations.]" (*People v. Barnum* (2003) 29 Cal.4th 1210, 1221.) "In determining whether a denial [of a request for continuance] was so arbitrary as to deny due process, the appellate court looks to the circumstances of each case and to the reasons presented for the request." (*People v. Smithey* (1999) 20 Cal.4th 936, 1011-1012.) We have already described the relevant circumstances and reasons surrounding appellant's request for a continuance. He has not met his burden of establishing that the lower court rulings exceeded "the bounds of reason, all circumstances being considered." (*People v. Beames, supra*, 40 Cal.4th at p. 920.)

Belated Disclosure of Trial Court's Prior Relationship with Prosecution Witness

Appellant further argues that the trial court committed prejudicial error by failing to make timely disclosure of his prior relationship with a prosecution witness. We disagree.

Grover Beach Police Sergeant Cornwell testified regarding appellant's 2008 prior resisting arrest incident. His testimony was limited to that event, which appellant did not dispute. Before Cornwell testified, upon seeing him in the courtroom, the trial court disclosed that his son and Cornwell's son had been friends. The court had not seen Cornwell for approximately 13 years before appellant's trial.

Appellant's specific claim is that the trial court did not reveal his connection to Cornwell before appellant decided to waive his right to a jury trial. But appellant gave another reason before trial for waiving that right-- he did not want to appear in front of a jury without a lawyer. He waived this meritless claim by failing to object during trial. He also concedes that the court disclosed his connection to Cornwell as soon as he saw him. Moreover, appellant has not established that he was prejudiced by the court's failure to make its disclosure earlier. There was independent compelling evidence of his guilt of the current offenses, and a jury may have been more likely than the court to convict appellant on the prior incident. (See *People v. Scott* (1997) 15 Cal.4th 1188, 1213-1214.)

Pitchess Motion

Pursuant to *Pitchess v. Superior Court, supra*, 11 Cal.3d 531, appellant moved for discovery of the officers' personal records. The trial court conducted an in camera review of the records and found no responsive complaints. At appellant's request, we have reviewed the sealed transcript of that proceeding. The trial court erred by failing to administer an oath to the records custodian who appeared and testified at appellant's *Pitchess* hearing. (*People v. Mooc* (2001) 26 Cal.4th 1216, 1229-1230, fn. 4; *People v. White, supra*, 191 Cal.App.4th at p. 1340.)⁵

⁵ The record presented contains all the documents that were presented to the court in the "Pitchess" hearing. Should the sworn testimony of the custodian demonstrate this is indeed the complete record and all appropriate documents had been produced, nothing

DISPOSITION

The judgment is conditionally reversed. The cause is remanded to the trial court with directions to hold a new *Pitchess* hearing in which any witnesses who testify shall be placed under oath. If the trial court finds there are discoverable records, they shall be produced and the court shall conduct such further proceedings as are necessary and appropriate. If the court finds there are no discoverable records, or that there is discoverable information but appellant cannot establish that he was prejudiced by the denial of discovery, the judgment shall be reinstated as of that date. (See *People v. White, supra*, 191 Cal.App.4th 1333, 1341.)

NOT TO BE PUBLISHED.

PERREN, J.

We concur:

GILBERT, P.J.

YEGAN, J.

further than the court's order would be necessary. (*People v White, supra*, 191 Cal.App.4th at p. 1341.)

Jed Beebe, Judge
Superior Court County of Ventura

John Derrick, under Appointment by the Court of Appeal, for Defendant and Appellant.

Kamala D. Harris, Attorney General, Dane R. Gillette, Chief Assistant Attorney General, Lance E. Winters, Senior Assistant Attorney General, Scott A. Taryle, Supervising Deputy Attorney General, and Michael C. Keller, Deputy Attorney General, for Plaintiff and Respondent.